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(54) Title: CARDIAC REPAIR BY REPROGRAMMING OF CARDIAC FIBROBLASTS INTO CARDIOMYOCYTES

(57) Abstract: The present invention involves the use of transcription factors including Tbx5, Mef2C, Hand2, myocardin and Gata4 to reprogram cardiac fibroblasts into cardiomyocytes, both *in vitro* and *in vivo*. Such methods find particular use in the treatment of patients post-myocardial infarction to prevent or limit scarring and to promote myocardial repair.

**DESCRIPTION****CARDIAC REPAIR BY REPROGRAMMING OF CARDIAC FIBROBLASTS INTO  
CARDIOMYOCYTES****BACKGROUND OF THE INVENTION**

5 This application claims benefit of priority to U.S. Provisional Application Serial No. 61/445,390, filed February 22, 2011, the entire contents of which are hereby incorporated by reference.

10 The invention was made with government support under grant no. 1U01 HL100401-01 awarded by the National Institutes of Health (NHLBI). The government owns certain rights in 10 the invention.

**1. Field of the Invention**

15 The present invention relates generally to the fields of cardiology, developmental biology and molecular biology. More particularly, it concerns gene regulation and cellular physiology in 15 cardiomyocytes. Specifically, the invention relates to the use various transcription factors to reprogram cardiac fibroblasts into cardiomyocytes and the use of such factors in the prevention of scarring and repair in post-myocardial infarction.

**2. Description of Related Art**

20 Myocardial infarction (MI) or acute myocardial infarction (AMI), commonly known as a heart attack, is the interruption of blood supply to a part of the heart, causing heart cells to die. 20 This is most commonly due to occlusion (blockage) of a coronary artery following the rupture of a vulnerable atherosclerotic plaque, which is an unstable collection of lipids (fatty acids) and white blood cells (especially macrophages) in the wall of an artery. The resulting ischemia (restriction in blood supply) and oxygen shortage, if left untreated for a sufficient period of time, 25 can cause damage or death (infarction) of heart muscle tissue (myocardium). Heart attacks are the leading cause of death for both men and women worldwide.

An MI is a medical emergency which requires immediate medical attention. Treatment attempts to salvage as much myocardium as possible and to prevent further complications, thus

the phrase “time is muscle.” Oxygen, aspirin, and nitroglycerin may be administered. Morphine was classically used if nitroglycerin was not effective; however, it may increase mortality in the setting of NSTEMI. Coronary intervention (PCI) or fibrinolysis are recommended in those with an STEMI. In people who have multiple blockages and who are relatively stable, or in a few emergency cases, bypass surgery may be an option. However, more effective treatment options are needed, particularly those preventing post-MI scarring that leads to loss of cardiac function.

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## SUMMARY OF THE INVENTION

Thus, in accordance with the present invention, there is provided a method of reprogramming a cardiac fibroblast comprising contacting the cardiac fibroblast with Tbx5, Mef2C and Hand2. Contacting may comprise delivering Tbx5, Mef2C and Hand2 proteins to the cardiac fibroblast. One or more of the Tbx5, Mef2C and Hand2 may comprise a heterologous cell permeability peptide (CPP). The method may further comprise contacting the cardiac fibroblast with Gata4, with myocardin, or with both Gata4 and myocardin. Contacting may comprise delivering Tbx5, Mef2C and Hand2 expression cassettes to the cardiac fibroblasts, such as expression cassettes comprised in replicable vectors, including viral vectors (e.g., adenoviral vectors or retroviral vectors) or non-viral vectors (optionally disposed in a lipid delivery vehicle). The method may further comprising contacting the cardiac fibroblast with a Gata4 expression cassette, a myocardin expression cassette or both a Gata4 and a myocardin expression cassette.

In another embodiment, there is provided a method of treating a subject having suffered a myocardial infarct (MI) comprising delivering to the subject the Tbx5 and Mef2C or Tbx5 and Mef2C expression cassettes. The method may comprise administration of Tbx5 and Mef2C proteins to the subject, for example, with Tbx5 and/or Mef2C comprising a heterologous cell permeability peptide (CPP). The method may further comprise delivering to the subject one, two or all three of Hand2, myocardin and/or Gata4 proteins. The method may alternatively comprise administration of Tbx5 and Mef2C expression cassettes to the subject, and may further comprise delivering to the subject one, two or all three of Hand2, myocardin and/or Gata4 expression cassettes. The expression cassettes are comprised in replicable vectors, such as viral vectors (e.g., adenoviral vectors or retroviral vectors), or non-viral vectors (optionally including those disposed in a lipid delivery vehicle). The Tbx5 and Mef2C or Tbx5 and Mef2C expression cassettes may be delivered 24 hours to one month following the MI, and may further comprise delivering Hand2, myocardin and/or Gata4 or Hand2, myocardin and/or Gata4 expression cassettes within 24 hours to one month following MI. The proteins or expression cassettes may be delivered multiple times, such as 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30 or 31 times. The proteins expression cassettes may be delivered daily. The proteins or expression cassettes may be delivered via intracardiac injection.

The subject may be further administered oxygen, aspirin, and or nitroglycerin, or further administered percutaneous coronary intervention, or further administered a fibrinolytic. The MI may be non-ST-elevated MI or ST-elevated MI.

In yet another embodiment, there is provided a method preventing or delaying development of cardiac hypertrophy or heart failure in a subject having suffered a myocardial infarct (MI) comprising providing to the subject the Tbx5 and Mef2C or Tbx5 and Mef2C expression cassettes. The method may further comprise administering to the subject a secondary anti-hypertrophic or heart failure therapy, such as a PKD inhibitor, a beta blocker, an ionotrope, a diuretic, ACE-I, AII antagonist, BNP, a  $\text{Ca}^{++}$ -blocker, or an HDAC inhibitor. Preventing or delaying may comprise preventing or delaying cardiac hypertrophy. Preventing or delaying may also comprise preventing or delaying one or more of decreased exercise capacity, decreased cardiac ejection volume, increased left ventricular end diastolic pressure, increased pulmonary capillary wedge pressure, decreased cardiac output or cardiac index, increased pulmonary artery pressures, increased left ventricular end systolic and diastolic dimensions, increased left and right ventricular wall stress, increased wall tension, decreased quality of life, and/or increased disease related morbidity or mortality. The method may further comprising administering Hand2, myocardin and/or Gata4 proteins or Hand2, myocardin and/or Gata4 expression cassettes to the subject.

Additional embodiments include:

a method of reducing decrease in exercise tolerance of a subject having suffered a myocardial infarction comprising administering to the subject Tbx5 and Mef2C or Tbx5 and Mef2C expression cassettes;

a method of reducing hospitalization of a subject having suffered a myocardial infarction comprising administering to the subject Tbx5 and Mef2C or Tbx5 and Mef2C expression cassettes;

a method of claim 52, further comprising administering Hand2, myocardin and/or Gata4 or Hand2, myocardin and/or Gata4 expression cassettes to the subject;

a method of improving quality of life of a subject having suffered a myocardial infarction comprising administering to the subject Tbx5 and Mef2C or Tbx5 and Mef2C expression cassettes;

a method of decreasing morbidity of a subject having suffered a myocardial infarction comprising administering to the subject Tbx5 and Mef2C or Tbx5 and Mef2C expression cassettes;

5 a method of decreasing mortality of a subject having suffered a myocardial infarction comprising administering to the subject Tbx5 and Mef2C or Tbx5 and Mef2C expression cassettes;

wherein each of the foregoing methods may rely upon and comprises any of the preceding embodiments, including in particular further comprising administering Hand2, myocardin and/or Gata4 or Hand2, myocardin and/or Gata4 expression cassettes to the subject.

10 It is contemplated that any method or composition described herein can be implemented with respect to any other method or composition described herein.

15 The use of the word “a” or “an” when used in conjunction with the term “comprising” in the claims and/or the specification may mean “one,” but it is also consistent with the meaning of “one or more,” “at least one,” and “one or more than one.” The word “about” means plus or minus 5% of the stated number.

20 Other objects, features and advantages of the present invention will become apparent from the following detailed description. It should be understood, however, that the detailed description and the specific examples, while indicating specific embodiments of the invention, are given by way of illustration only, since various changes and modifications within the spirit and scope of the invention will become apparent to those skilled in the art from this detailed description.

**BRIEF DESCRIPTION OF THE DRAWINGS**

The following drawings form part of the present specification and are included to further demonstrate certain aspects of the present invention. The invention may be better understood by reference to one or more of these drawings in combination with the detailed description of 5 specific embodiments presented herein.

**FIGS. 1A-D. Reprogramming fibroblasts toward a cardiac phenotype *in vitro* by GHMT.** (FIGS. 1A-B) Immunofluorescent staining for cardiac markers,  $\alpha$ MHC-GFP and  $\beta$ -actinin. Adult TTFs (FIG. 1A) and CFs (FIG. 1B) isolated from  $\alpha$ MHC-GFP reporter mice were 10 transduced by retroviruses carrying GHMT or vector. Immunocytochemistry was performed after 14 days of transduction. Sarcomeric structures were observed (bottom panel). White boxes are enlarged in insets. Scale bar, 40  $\mu$ m. (FIG. 1C) Measurement of calcium transients in the indicated cell types. Representative calcium transient traces depicted as Fura-2 Ratios (340/380 nm) in the indicated cell types. iCLMs were derived from adult CFs and display a pattern of 15 calcium transients most similar to neonatal ventricular cardiomyocytes. (FIG. 1D) Summary of reprogramming efficiency by GHMT or GMT.

**FIGS. 2A-I. Reprogramming CFs toward a cardiac fate *in vivo* by GHMT.** (FIG. 2A) LAD ligated hearts were injected with retroviral GHMT and retroviral Tomato marker. One month later, cardiomyocytes were isolated from injured hearts and visualized under a fluorescent 20 microscope. Upper panel shows tomato staining and lower panel shows phase contrast image. Scale bar, 40  $\mu$ m. (FIG. 2B) Lineage tracing of reprogrammed cells by GHMT in FSP1-cre; Rosa26-LacZ mice following MI.  $\beta$ -gal+ cardiomyocytes (blue) originate from fibroblasts. X-gal staining was performed 3 weeks post-MI.  $\beta$ -gal+ cells were barely observed in uninjured heart 25 (left). Sections from two different severities of MI with GFP-infection are shown; mild (second from the left) and severe (third from the left). While GFP-infected myocardium showed only  $\beta$ -gal+ non-cardiomyocytes (middle four panels), GHMT-infected myocardium showed extensive  $\beta$ -gal+ non-cardiomyocytes and cardiomyocytes (right). Black boxes in top panels are enlarged in lower panels. Scale bar, 100  $\mu$ m. (FIG. 2B) While no  $\beta$ -gal+ cells in GFP-infected injured hearts expressed cTnT (second from the left), a fraction of  $\beta$ -gal+ cells in GHMT-infected 30 injured hearts expressed cTnT (right four panels) and displayed organized sarcomeres (right).  $\beta$ -

gal+ cells marked by an indicated number in the upper panels represent cTnT+ cells marked by a corresponding number in lower panels. White boxes in right panels are enlarged in insets. Sections of injured hearts were at the border zone. The section of uninjured heart is from LV. Scale bar, 40  $\mu$ m. (FIG. 2D) Quantification of  $\beta$ -gal+ cardiomyocytes in LV per section in uninjured (56 sections from one mouse), GFP-infected injured (168 sections at 4 levels with an interval of 250  $\mu$ m below LAD ligation site from three mice) and GHMT-infected injured heart of FSP1-cre; Rosa26-LacZ mice (20 sections at 4 levels with an interval of 250  $\mu$ m below LAD ligation site from 2 mice). Data are presented as mean  $\pm$  std. (FIG. 2E) Tamoxifen treated Tcf21iCre; Rosa26RtdT mice were subjected to LAD ligation followed by injection of GFP or GHMT retroviruses. One month later, hearts were sectioned and stained for cTnT and visualized for tomato. 1, 2, and 3 show cardiomyocytes positive for tomato in the border zone. Scale bars, 40  $\mu$ m. (FIG. 2F) Quantification of tomato-positive cardiomyocytes per section in Tcf21 lineage-tagged hearts following the indicated treatments. Twenty sections from one uninjured heart, 12 sections at 4 levels with an interval of 250  $\mu$ m below LAD ligation site from 2 hearts, and 8 sections at 4 levels with an interval of 250  $\mu$ m below LAD ligation site from 1 heart, were examined. Data are presented as mean  $\pm$  std. (FIG. 2G) Formation of iCLMs in hindlimb following cardiotoxin injury. Sections were stained for cTnT (red) and wheat germ agglutinin (WGA) to visualize cell boundaries (green). Scale bar, 40  $\mu$ m. (FIG. 2H) Serial sections of injured skeletal muscle stained for cTnT (red), fast and slow skeletal muscle MHC (red) and WGA (green), as indicated. Scale bar, 40  $\mu$ m. (FIG. 2I) Quantification of iCLMs in injured mouse hindlimb. Percentage of cTnT+ myocytes in injured area = 100.(number of cTnT+ myocytes/(number of cTnT+ myocytes + number of myocytes with central nuclei). The injured area of the tibialis anterior muscle was immunostained for cTnT expression. Numbers of cTnT+ myocytes and myocytes with central nuclei were counted from 2 mice injected with GFP virus and 4 mice injected with GHMT viruses. cTnT positive myocytes were not observed in GFP-injected muscle. Data are presented as mean  $\pm$  std.

**FIGS. 3A-B. Functionality of induced cardiomyocytes *in vivo*.** (FIG. 3) Cx43 staining between  $\beta$ -gal+ and  $\beta$ -gal- cardiomyocytes at the border zone.  $\beta$ -gal+ cells marked by an indicated letter in the upper panel represent cTnT+ cells marked by a corresponding letter in the lower panel. Gap junctions (green) were observed between  $\beta$ -gal+ and  $\beta$ -gal- cardiomyocytes (A and B) and between  $\beta$ -gal+ cardiomyocytes (C and D). Scale bar, 40  $\mu$ m. (FIG. 3B) *In vivo*

reprogrammed cardiomyocytes ( $\beta$ -gal+-cardiomyocytes) demonstrating similar contractility and  $\text{Ca}^{2+}$  transients to *bona fide* ventricular cardiomyocytes ( $\beta$ -gal-cardiomyocytes). The  $\beta$ -gal+-cardiomyocytes were labeled with a fluorogenic  $\beta$ -gal substrate C12FDG in green under a fluorescence microscope. Representative traces of sarcomere shortening were recorded from 5 field-stimulated  $\beta$ -gal-cardiomyocyte (top) and  $\beta$ -gal+-cardiomyocytes (middle and bottom). Fifteen  $\beta$ -gal-cardiomyocytes (6 cardiomyocytes isolated from injured FSP1-Cre; Rosa26-LacZ mice and 9 cardiomyocytes isolated from uninjured wild type mice) and 7  $\beta$ -gal+-cardiomyocytes with striated morphology were examined. All  $\beta$ -gal-cardiomyocytes display 10 functional patterns as shown (top). Type A  $\beta$ -gal+-cardiomyocytes, 5 of 7 (71.4%) display a similar pattern of contractility and  $\text{Ca}^{2+}$  transients to *bona fide* ventricular cardiomyocytes, whereas Type B  $\beta$ -gal+-cardiomyocytes, 2 of 7 (28.6%) demonstrate immature contractility 15 (bottom).

**FIGS. 4A-D. Attenuation of cardiac injury by delivery of GHMT into noncardiomyocytes following MI.** (FIG. 4A) Evaluation of cardiac function by EF and FS 15 using echocardiography at 24 hours, 2 weeks, and 3 weeks post-MI. Mice at the age of 8 weeks were subjected to LAD ligation followed by intramyocardial injection of GFP or GHMT retroviruses. Data are presented as mean  $\pm$  std. ★:  $p<0.05$ , ★★:  $p<0.005$ , ns: not statistically significant. (FIG. 4B) Evaluation of cardiac function at 6 and 12 weeks post-MI by cardiac MRI. EF and stroke volume were assessed. Mice at the age of 8 weeks were ligated and injected with 20 retroviruses carrying either GFP or GHMT. Cardiac function of these mice 6 weeks and 12 weeks post-MI were examined by MRI. Data are presented as mean  $\pm$  std. ★:  $p<0.05$ , ★★:  $p<0.005$ . (FIG. 4C) Comparison of the extent of cardiac fibrosis and scar formation between 25 GFP and GHMT infected myocardium following MI. Cardiac fibrosis was evaluated at 5 different levels of the LV (L1-L5) by trichrome staining 4 weeks after LAD ligation. The ligation site was marked as X. The severity of cardiac fibrosis was classified as mild (fibrotic area <20%), moderate (fibrotic area 20-40%) or severe (fibrotic area >40%) in each group. The number indicates the number of hearts showing indicated severity out of total number of hearts 30 subjected to MI in each group. Scale bar, 1 mm. (FIG. 4D) Quantification of cardiac fibrosis in the heart sections displayed in FIG> 4B. Fibrotic area (%) = (the sum of fibrotic area at levels 3 and 4/the sum of myocardial area in the LV at levels 3 and 4)  $\times$  100. ★★:  $p<0.005$ .

**FIGS. 5A-D. Generation of a cardiomyocyte-specific reporter mouse line. (FIG. 5A)**

Transgenic mice harboring an  $\alpha$ MHC-GFP transgene, which is expressed specifically in cardiomyocytes, were generated. Adult fibroblasts isolated from tail-tip or heart were infected with retroviruses encoding candidate cardiac transcription factors. Cells were analyzed for the expression of GFP and/or cardiac markers by flow cytometry. (FIG. 5B) Schematic of  $\alpha$ MHC-GFP transgene. A 5.5 kb genomic fragment upstream of the mouse  $\alpha$ MHC gene and also encompassing exons 1-3 and intronic sequences, as described previously (Subramaniam *et al.*, 1991), was cloned upstream of a neomycin-resistance cassette followed by an Internal Ribosomal Entry Sequence (IRES) and a GFP reporter, followed by a human growth hormone (hGH) polyadenylation sequence. (FIG. 5C) Phase and fluorescent images of cells and tissues isolated from  $\alpha$ MHC-GFP transgenic mice demonstrating cardiomyocyte-specific expression of the transgene. (FIG. 5D) Phase contrast (upper panels) and GFP fluorescent images (lower panels) of a typical cardiomyocyte isolated from an adult  $\alpha$ MHC-GFP transgenic mouse (left panels) and GHMT-induced cardiac-like myocyte (iCLM) from CFs isolated from an  $\alpha$ MHC-GFP transgenic mouse (right panels). Intensity of GFP fluorescence is similar in cardiomyocyte and iCLM.

**FIG. 6. Generation of retroviruses encoding individual cardiac transcription factors.**

Mouse 10T1/2 fibroblasts were infected with retroviruses encoding the indicated transcription factors tagged with a Myc-epitope (MEF2C, Tbx5, Nkx2-5, HAND2, and GATA4) or FLAG-epitope (Mesp1) and protein expression was detected by Western blot analysis using anti-Myc or anti-FLAG antibodies with extracts from cells infected with each virus. A GFP-expressing retrovirus was used as a negative control and GAPDH was a loading control.

**FIGS. 7A-B. Screening for transcription factors able to reprogram adult TTFs toward a cardiac fate. (FIG. 7A)** Representative flow cytometry plots for analyses of  $\alpha$ MHC-GFP+ cells after 9 days of transduction. The indicated combinations of cardiac transcription factors were transduced into adult TTFs isolated from  $\alpha$ MHC-GFP reporter mice. Six factors include GATA4 (G), HAND2 (H), Tbx5 (T), MEF2C (M), Mesp1 (Ms), Nkx2-5 (N). Five factors are six factors minus Nkx2-5. The numbers in each plot indicate the percentage of  $\alpha$ MHC-GFP+ cells. Dead cells were excluded by propidium iodide (PI) staining. Cells transduced with empty vector retrovirus were used as a control. (FIG. 7B) Summary of flow cytometry analyses. Percentage of  $\alpha$ MHC-GFP+ cells following infection of TTFs with

retroviruses expressing 6 factors, 5 factors (GHMMsT) or 4 factors (5 factors minus one factor) (left panel); 4 factors (GHMT) or 4 factors minus one (middle panel); 3 factors (HMT), 3 factor minus one, or individual factors (G, H, M, T) (right panel). Data from two independent experiments are presented as mean  $\pm$  std.

**FIG. 8. Analysis of two cardiac markers in cardiac TTFs transduced with cardiac transcription factors.** TTFs were infected with retroviruses expressing the indicated combination of transcription factors and analyzed by flow cytometry for single ( $\alpha$ MHC-GFP+ or cTnT+) and double ( $\alpha$ MHC-GFP+ and cTnT+) cardiac markers. Data are shown as percentage of cells positive for cardiac markers. Three to four independent experiments are presented as mean  $\pm$  std.

**FIG. 9A-B. Time course of cardiac gene activation in response to GHMT.** (FIG. 9A) Flow cytometry plots for analysis of positive cells for two cardiac markers, cTnT and  $\alpha$ MHC-GFP, in adult TTFs at the indicated time points after retroviral transduction of GHMT (upper panel) or GMT (lower panel). Cells transduced with empty vector retrovirus were used as a control. (FIG. 9B) Summary of the flow cytometry analysis in FIG. 9A. The slight decline in the percentage of double-positive cells with time may be due to proliferation of uninduced fibroblasts.

**FIGS. 10A-B. Reprogramming adult mouse CFs toward a cardiac phenotype by GHMT.** (FIG. 10A) Flow cytometry plots for analyses of positive cells for two cardiac markers, cTnT and  $\alpha$ MHC-GFP, induced by GHMT or GMT in adult CFs after 7 days of transduction. Cells transduced with empty vector retrovirus were used as a control. (FIG. 10B) Flow cytometry plots for analyses of positive cells for two endogenous cardiac markers, cTnT and cTnI, induced by GHMT. Cells transduced with empty retrovirus were used as a control.

**FIG. 11. Induction of cardiomyocyte markers in adult CFs by GHMT.** Immunofluorescent staining for  $\alpha$ MHC-GFP and cTnT after 14 days of transduction was performed. Adult CFs isolated from  $\alpha$ MHC-GFP reporter mice were transduced by retroviruses carrying GHMT or empty vector. Sarcomeric structures were observed (bottom panel). White boxes in lower panels are enlarged in insets. Scale bar, 40  $\mu$ m.

**FIGS. 12A-C. Induction of sarcomere-like structures in adult fibroblasts with GHMT.** (FIG. 12A) Two types of  $\alpha$ -actinin positive induced cardiac-like myocytes (iCLMs) are observed in CFs and TTFs transduced with GHMT. The cell type on the left (referred to as cell

type A) displays highly organized sarcomeres, whereas the cell type on the right (referred to as cell type B) shows more diffuse  $\alpha$ -actinin staining. Scale bar, 40  $\mu$ m. (FIG. 12B) Quantification of iCLMs derived from adult CFs. Numbers of cell type A, B, and DAPI in 15 randomly chosen fields from two independent experiments were counted. Data are presented as mean  $\pm$  std. (FIG. 5 12C) Quantification of iCLMs derived from adult TTFs. Numbers of cell types A and B, and DAPI-stained cells in 20 randomly chosen fields from four independent experiments were counted. Data are presented as mean  $\pm$  std.

**FIGS. 13A-E. Gene expression profile in adult CFs transduced with GHMT.** (FIG. 13A) Experimental design. Adult CFs were transduced with empty vector or GHMT retroviruses 10 and harvested 2 or 4 weeks after infection. (FIG. 13B) Microarray design. RNA isolated from infected and uninfected CFs, and adult heart was analyzed for gene expression profile by microarray using illumina Mouse-6 Beadchip (Illumina) comprised of 45,281 probes. (FIG. 13C) Heatmap of microarray data illustrating differentially expressed genes in CFs, vector-transduced 15 CFs, GHMT-transduced CFs and adult heart. Red indicates upregulated genes whereas green indicates down-regulated genes. (FIG. 13D) Gene Ontology analysis by PANTHER Expression tool (world-wide-web at pantherdb.org) categorizes genes that are up-regulated in GHMT transduced CFs. (FIG. 13E) Heatmap of selected genes. Genes that encode for cardiac contractile 20 proteins, cardiac peptides,  $\text{Ca}^{2+}$  handling proteins, cardiac transcription factors and cardiac metabolism are up-regulated in GHMT transduced CFs, as seen in adult heart, compared to vector transduced CFs. In contrast, genes encoding non-myocyte markers are down-regulated. S100A4 (FSP1), COL16A1 and COL1A1 are fibroblast markers. TAGLN2 is a smooth muscle 25 marker. PLP2 is an epithelial-enriched gene.

**FIGS. 14A-B. Validation of cardiac and fibroblast gene expression by real-time PCR.** Expression of (FIG. 14A) cardiac and (FIG. 14B) fibroblast markers was quantified by 25 qPCR. UD undetectable.

**FIGS. 15A-C. Immunostaining for cardiac and fibroblast markers *in vitro*.** (FIG. 15A) Neonatal cardiomyocytes and fibroblasts immunostained for  $\alpha$ -actinin (red) and prolyl 4-hydroxylase, beta polypeptide (P4HB) (green), respectively. These two markers do not co-localize. Boxed area is enlarged in inset and shows sarcomeres. Nuclei were stained with DAPI. 30 Scale bar, 40  $\mu$ m. (FIG. 15B) Adult CFs transduced with empty vector or GHMT retroviruses were immunostained for  $\alpha$ -actinin (red) and P4HB (green). Nuclei were stained with DAPI

(blue). CFs transduced with empty vector stained P4HB-positive and  $\alpha$ -actinin negative (left panel). CFs transduced with GHMT retroviruses staining positive for  $\alpha$ -actinin were classified into three categories (A, B and C) based on the staining pattern of P4HB. A, P4HB positive staining; B, weak but detectable P4HB staining; and C, undetectable P4HB staining. Scale bar, 5 40  $\mu$ m. (FIG. 15C) Cells of each category (A, B and C) described in FIG. 15B were quantified as percentage of total  $\alpha$ -actinin $^+$  cells. Data are presented as mean  $\pm$  std.

**FIG. 16. GHMT reprogrammed adult CFs into functional iCLMs.** Representative action potentials recorded spontaneous beating iCLMs using a voltage-sensitive dye di-4-ANEPPS, as described in Methods.

10 **FIGS. 17A-B. Induction of iCLMs from CFs by inducible expression of GHMT.** (FIG. 17A) Experimental design and schematic diagrams of lentiviral vectors. CFs isolated from adult mice were transduced with tetracycline-inducible viruses encoding GMT described previously<sup>7</sup>, and HAND2 tagged with a Myc-epitope. Doxycycline (Dox) was added to induce expression of factors on day 2 and removed on day 12, as indicated. Expression of cardiac 15 markers was analyzed on day 30 by immunostaining for  $\alpha$ -actinin and Myc. (FIG. 17B) Immunostaining for  $\alpha$ -actinin (red) and Myc (green) in lentiviral GHMTtransduced CFs. Dox was present in the culture for 29 days (upper panels) or withdrawn at day 12 (lower panels). Boxed regions are enlarged to show sarcomere-like structures. Note that upon removal of Dox, 20 Myc staining disappeared but  $\alpha$ -actinin staining was maintained (lower panels). Nuclei were stained with DAPI (blue).

25 **FIGS. 18A-B. Induction of iCLMs by GHMT is cell-autonomous.** (FIG. 18A) Immunostaining for  $\alpha$ -actinin (red) and Myc (green) in retroviral GHMTtransduced CFs. Boxed regions are enlarged to show sarcomere-like structures. Nuclei were stained with DAPI (blue). (FIG. 18B) Quantification of percentage of  $\alpha$ -actinin positive cells in Myc positive cells.  $\alpha$ -actinin and Myc positive cells were counted in 37 randomly chosen fields from two independent experiments. Data are presented as mean  $\pm$  std.

30 **FIGS. 19A-D. Retrovirus infected non-cardiomyocytes in the ischemic area in the injured heart.** (FIG. 19A) Illustration of intramyocardial retrovirus injection at five separate areas of the border zone in an infarcted heart. (FIG. 19B) Four days after MI and subsequent GFP-retrovirus injection, the heart was harvested and sectioned. H&E staining and immunohistochemistry were performed. GFP expression (green) (right) was only detected in the

ischemic area surrounded with a white line (left). Staining with secondary antibody alone was used as a negative control. Scale bar, 100  $\mu$ m. (FIG. 19C) Immunohistochemistry of border zone showing expression of cTnT (red) and GFP (green) at different magnifications. GFP did not co-localize with cTnT expression Nuclei were stained with DAPI (blue). Scale bars, 40  $\mu$ m (upper), 5 20  $\mu$ m (lower). (FIG. 19D) Quantification of GFP+ cells per heart section. GFP+ cells were counted from 8 sections at 8 levels at intervals of 250  $\mu$ m below the LAD ligation site.

**FIGS. 20A-B. Reprogramming CFs to cardiomyocytes *in vivo* by GHMMsT and GHMMsNT.** (FIG. 20A) Three weeks after MI and subsequent GHMMsNT- or GHMMsT-retrovirus injection, the hearts of FSP1-cre/Rosa26-LacZ mice were harvested and stained with a 10  $\beta$ -gal substrate. GHMMsT-infected hearts showed more  $\beta$ -gal+-cardiomyocyte-like cells than GHMMsNT-infected hearts. Regions indicated by black box (left) were enlarged in middle and right panels. Scale bar, 40  $\mu$ m. (FIG. 20B) Quantification of  $\beta$ -gal+ cardiomyocytes in LV per section in uninjured (56 sections from one mouse), GFP-infected injured (168 sections from three mice), GHMMsNT (189 sections from four mice), GHMMsT (66 sections from two mice) 15 and GHMT-infected injured heart of FSP1-cre/Rosa26-LacZ mice (20 sections from 2 mice). All sections were taken at 4 levels with an interval of 250  $\mu$ m below LAD ligation site. Data are presented as mean  $\pm$  std.

**FIGS. 21A-E. Generation of Tcf21iCre/+ knockin mice and characterization of Tcf21 lineage tagged cells.** (FIG. 21A) Structure of Tcf21 locus and strategy for generation of 20 Tcf21iCre/+ knock-in mice. A targeting strategy to knock-in an inducible Cre (MerCreMer) into the Tcf21 locus to replace the first exon of the Tcf21 gene. Mice with the targeted allele were crossed with FLPe transgenic mice which removes the neomycin resistant cassette to generate Tcf21iCre/+ mice. The red and blue bars represent the 5' and 3' probes for Southern blot analysis, respectively. ♦ denotes FLP (Flippase Recognition Target) site. (FIG. 21B) 25 Confirmation of targeting by Southern blot analysis. Genomic DNA digested with Sca I was hybridized to 5' probe, shown in a. The wild-type (WT) band migrated at 7.7 kb, but the targeted band migrated at 12.7 kb. Genomic DNA digested with Hind III and hybridized to 3' probe, shown in a. The WT band migrated at 9.1 kb and the targeted band migrated at 5.4 kb. Genotypes were shown on top. (FIG. 21C) Schematic of experimental design to isolate Tcf21 30 lineage tagged cells in adult mouse heart. Tcf21iCre/+ (Tcf21-MerCreMer) mice were crossed with R26RtdT (Rosa26-Tomato) mice to obtain mice carrying Tcf21iCre/+: R26RtdT alleles.

Activation of Cre in Tcf21-expressing cells was induced by gavage of mice with tamoxifen for 3 consecutive days. One week later, hearts were digested and Tcf21 lineage tagged cells were sorted for Tomato expression. RNA was isolated from dissociated heart cells and Tomato+ cells. (FIG. 21D) qPCR was performed to detect expression of genes marking different cell types.

5 Fibroblast-specific markers are enriched in Tomato+ Tcf21-lineage tagged cells (upper panel). In contrast, cardiomyocyte-markers (CM) and vascular smooth muscle cellmarkers (VSMC) were undetectable or negligibly expressed (lower panel). These findings indicate that Tcf21 reliably marks cardiac fibroblasts in mouse hearts. Relative gene expression is determined by comparing expression of sorted Tomato+ cells to dissociated heart cells. (FIG. 21E) Immunohistochemistry  
10 for Tcf21 lineage tagged cells. Heart sections from tamoxifen-induced mice carrying Tcf21iCre/+: R26RtdT alleles were stained for P4HB (fibroblasts), SM22α (smooth muscle cells), isolectin B4 (endothelial cells), and cTnT (cardiomyocytes). Tcf21 lineage tagged cells co-stain with the cardiac fibroblast marker (P4HB) but not with cardiomyocyte or other non-cardiomyocyte markers. Scale bar, 40  $\mu$ m.

15 **FIG. 22. Experimental design for inducible labeling of CFs *in vivo*.** Mice harboring a Tcf21iCre/+: R26RtdT were treated with tamoxifen for three consecutive days to label CFs. Eight days after tamoxifen treatment (on day 11), LAD ligation was performed and hearts were injected with retrovirus encoding GFP or GHMT. Hearts were analyzed on day 31.

20 **FIGS. 23A-C. Using the inducible  $\alpha$ MHC-MerCreMer, Rosa26-LacZ mouse line to show that GHMT promotes the formation of new cardiomyocytes following MI.** (FIG. 23A) Schematic of genetic fate mapping study of cardiomyocytes.  $\alpha$ MHC-MerCreMer transgenic mice were crossed with Rosa26-LacZ reporter mice. Following administration of tamoxifen for 7 consecutive days, the LacZ reporter gene switches on in the majority of cardiomyocytes. LAD ligation was then performed 7 days after gavage of the last dose of tamoxifen and retroviruses  
25 encoding GFP or GHMT were injected into the heart. At day 45, mice were sacrificed and LacZ expression was determined in histological sections of the heart. The presence of a higher percentage of LacZ-negative cardiomyocytes in GHMT-injected hearts reflects the reprogramming of unlabeled cells to a cardiac fate. (FIG. 23B) Transverse sections of  $\alpha$ MHC-MerCreMer; Rosa26-LacZ hearts, either uninjured (left), or injured and infected with GFP retroviruses (center) or GHMT retroviruses (right) were stained to detect  $\beta$ -galactosidase activity (blue). The boxed regions are enlarged in the lower panels. Scale bar, 2 mm (upper), 40  $\mu$ m

(middle and lower). (FIG. 23C) Quantification of beta-galactosidase negative cardiomyocytes in the border zone of LAD ligated mice injected with GFP or GHMT retroviruses, as indicated. Three sections at three different levels with intervals of 250  $\mu$ m below LAD ligation site from each heart were examined. Data is presented as mean  $\pm$  std. The p-value was calculated with 5 two-tail t-test.

10 **FIG. 24. Retroviral delivery of GFP into mouse hindlimb.** Mouse hindlimbs were injected with cardiotoxin (50  $\mu$ l of 10  $\mu$ M) to induce myofiber injury. Twenty-four and 48 hours later, hindlimbs were injected with 50  $\mu$ l of retrovirus encoding GFP or GHMT. Three weeks later, tibialis anterior muscles were dissected, sectioned and stained for H&E (left panel) or 15 visualized for GFP (right panel). Scale bar, 0.1 mm.

20 **FIGS. 25A-B. Analysis of cardiac function in individual mice.** Mice were subjected to LAD ligation followed by intramyocardial injection with retroviruses encoding GFP or GHMT. Cardiac function was evaluated by (FIG. 25A) EF (FIG. 25B) stroke volume using cardiac MRI imaging 6 and 12 weeks later. Each pair of data points, connected by a line, represents data from 15 the same mouse. GHMMsT-infected myocardium following MI. Cardiac fibrosis was evaluated by trichrome staining 4 weeks after MI and GHMMsT retrovirus injection. The severity of cardiac fibrosis was classified as mild, moderate or severe as defined in FIGS. 4A-D. The number indicates the number of hearts showing the indicated severity out of total number of hearts subjected to MI. Five sections from each heart are shown. The ligation site is marked as X. 20 Scale bar, 1 mm.

25 **FIG. 26. Evaluation of cardiac fibrosis and scar formation in GHMMsT-infected myocardium following MI.** Cardiac fibrosis was evaluated by trichrome staining 4 weeks after MI and GHMMsT retrovirus injection. The severity of cardiac fibrosis was classified as mild, moderate or severe as defined in FIGS. 4A-D. The number indicates the number of hearts showing the indicated severity out of total number of hearts subjected to MI. Five sections from 25 each heart are shown. The ligation site is marked as X. Scale bar, 1mm.

30 **FIG. 27. Comparison of the effects of different combinations of cardiac transcription factors on cardiac function at 3 weeks post-MI.** Mice at the age of 8 weeks were subjected to LAD ligation and injection of retroviruses carrying GFP, GHMMsNT, GHMMsT, GHMT or GMT. Cardiac function of each mouse was assessed by FS at 3 weeks post-MI by echocardiography. FS of each mouse injected with a combination of cardiac

transcription factors was normalized by average FS of GFP group. Data are presented as mean  $\pm$  std. The p-values are calculated with two-tail t-test.

**FIG. 28. Analysis of vessel density by isolectin staining.** LAD ligation was performed on adult mice followed by injection with GFP or GHMT-expressing retroviruses. Three weeks later, hearts were harvested and sectioned. Vessel density was determined by isolectin staining of the border zone. Values represent the number of isolectin-positive vessel per  $\text{mm}^2$ . Data are presented as mean  $\pm$  std. The p-values are calculated with two-tail t-test.  $\star$ :  $p < 0.05$ .

**FIG. 29. Flow cytometry analyses to search for additional factors to enhance the expression of cardiac marker, cardiac Troponin T (cTnT) with GHMT in human neonatal foreskin fibroblasts.** G: GATA4, H: HAND2, M: MEF2C, T: TBX5 (Top). Summary of flow cytometry analyses (Bottom).

**FIG. 30. Flow cytometry analyses to search for additional factors to enhance the expression of cardiac marker, Tropomyosin with GHMT in human neonatal foreskin fibroblasts.** G: GATA4, H: HAND2, M: MEF2C, T: TBX5 (Top). Summary of flow cytometry analyses (Bottom).

**FIG. 31. Flow cytometry analyses to demonstrate the use of five factors (GHMT and MYOCD) to obtain optimal cardiac gene activation in human neonatal foreskin fibroblasts.** MYOCD: Myocardin

**FIG. 32. Flow cytometry analyses to demonstrate the use of five factors (GHMT and MRTF-A) to obtain optimal cardiac gene activation in human neonatal foreskin fibroblasts.** MRTF-A: Myocardin related transcription factor A.

**FIG. 33. Immunostaining of cells reprogrammed with GHMT and MYOCD.** Cardiac marker,  $\alpha$ -actinin, demonstrates sarcomere like structure on human foreskin derived reprogrammed cells with GHMT and MYOCD.

**FIG. 34. Flow cytometry showing activatin of cardiac gene expression.** Analyses to show the activation of Tropomyoson (Top) and cTnT (Bottom) in adult human cardiac fibroblasts with GHMT and MYOCD.

**FIG. 35. Immunostaining of cells reprogrammed with GHMT and MYOCD.** Cardiac marker,  $\alpha$ -actinin, demonstrates sarcomere like structure on adult human cardiac fibroblast derived reprogrammed cells with GHMT and MYOCD.

**DETAILED DESCRIPTION OF ILLUSTRATIVE EMBODIMENTS**

Heart failure is one of the leading causes of morbidity and mortality in the world. In the U.S. alone, estimates indicate that 3 million people are currently living with cardiomyopathy and another 400,000 are diagnosed on a yearly basis. Heart disease and its manifestations, including 5 coronary artery disease, myocardial infarction, congestive heart failure and cardiac hypertrophy, clearly presents a major health risk in the United States today. The cost to diagnose, treat and support patients suffering from these diseases is well into the billions of dollars.

One particularly severe manifestation of heart disease is myocardial infarction (MI). Typically, MI results from an acute thrombocytic coronary occlusion that occurs in a coronary 10 artery as a result of atherosclerosis and causes myocardial cell death, *i.e.*, an infarct. Because cardiomyocytes, the heart muscle cells, are terminally differentiated and generally incapable of cell division, they are generally replaced by scar tissue when they die during the course of an acute myocardial infarction. Scar tissue is not contractile, fails to contribute to cardiac function, and often plays a detrimental role in heart function by expanding during cardiac contraction, or 15 by increasing the size and effective radius of the ventricle, for example, becoming hypertrophic. Cardiac hypertrophy is an adaptive response of the heart to virtually all forms of cardiac disease, including myocardial infarction. While the hypertrophic response is initially a compensatory mechanism that augments cardiac output, sustained hypertrophy can lead to DCM, heart failure, and sudden death. In the United States, approximately half a million individuals are diagnosed 20 with heart failure each year, with a mortality rate approaching 50%.

Treatment with pharmacological agents still represents the primary mechanism for reducing or eliminating the manifestations of heart failure, including those resulting from MIs. Diuretics constitute the first line of treatment for mild-to-moderate heart failure. Unfortunately, many of the commonly used diuretics (*e.g.*, the thiazides) have numerous adverse effects. For 25 example, certain diuretics may increase serum cholesterol and triglycerides. Moreover, diuretics are generally ineffective for patients suffering from severe heart failure. If diuretics are ineffective, vasodilatory agents may be used; the angiotensin converting (ACE) inhibitors (*e.g.*, enalopril and lisinopril) not only provide symptomatic relief, they also have been reported to decrease mortality (Young *et al.*, 1989). Again, however, the ACE inhibitors are associated with 30 adverse effects that result in their being contraindicated in patients with certain disease states (*e.g.*, renal artery stenosis). Similarly, inotropic agent therapy (*i.e.*, a drug that improves cardiac

output by increasing the force of myocardial muscle contraction) is associated with a panoply of adverse reactions, including gastrointestinal problems and central nervous system dysfunction.

Thus, the currently used pharmacological agents have severe shortcomings in particular patient populations. More importantly, none of these agents are capable of reversing the damage caused by an acute coronary event, and thus are only compensatory, and only then to a certain degree. The prognosis for patients with DCM is variable, and depends upon the degree of ventricular dysfunction, with the majority of deaths occurring within five years of diagnosis. The present invention therefore provides a revolutionary way to address MI by preventing or limiting the damage that leads to loss of cardiac function. By providing a series of cardiac transcription factors, one can now convert cardiac fibroblasts, which are responsible for scarring in post-ischemic myocardium, into cardiomyocytes, which are capable of regenerating cardiac muscle, thereby replacing tissues lost due to the infarction as well as reducing function-impairing scarring. These and other aspects of the invention are described in detail below.

## 15 I. Transcription Factors

A transcription factor (sometimes called a sequence-specific DNA-binding factor) is a protein that binds to specific DNA sequences, thereby controlling the movement (or transcription) of genetic information from DNA to mRNA. Transcription factors perform this function alone or with other proteins in a complex, by promoting (as an activator), or blocking (as a repressor) the recruitment of RNA polymerase (the enzyme that performs the transcription of genetic information from DNA to RNA) to specific genes.

20 A defining feature of transcription factors is that they contain one or more DNA-binding domains (DBDs), which attach to specific sequences of DNA adjacent to the genes that they regulate. Additional proteins such as coactivators, chromatin remodelers, histone acetylases, deacetylases, kinases, and methylases, while also playing crucial roles in gene regulation, lack DNA-binding domains, and, therefore, are not classified as transcription factors.

25 The present invention involves the inventor's observation that certain well-known transcription factors can combine to reprogram cardiac fibroblasts into cardiomyocytes, and can do so *in situ* without the need for complicated *ex vivo* culturing steps and readministration. In particular, it is shown that Tbx5 and Mef2C are crucial to achieving the fibroblast-cardiomyocyte conversion, while Myocardin, Hand 2 and Gata4 are able to enhance the effect. Thus,

combinations including Tbx5 + Mef2C, Tbx5 + Mef2C + Hand2, Tbx5 + Mef2C + Gata4, Tbx5 + Mef2C + Gata4 + Hand2, Myocardin + Tbx5 + Mef2C, Myocardin + Tbx5 + Mef2C + Hand2, Myocardin + Tbx5 + Mef2C + Gata4 and Myocardin + Tbx5 + Mef2C + Gata4 + Hand2 are contemplated, as well as the addition of other factors.

5           **A. Tbx5**

T-box transcription factor TBX5 is a protein that in humans is encoded by the TBX5 gene. This gene is a member of a phylogenetically conserved family of genes that share a common DNA-binding domain, the T-box. T-box genes encode transcription factors involved in the regulation of developmental processes. This gene is closely linked to related family member 10 T-box 3 (ulnar mammary syndrome) on human chromosome 12. The encoded protein may play a role in heart development and specification of limb identity. Mutations in this gene have been associated with Holt-Oram syndrome, a developmental disorder affecting the heart and upper limbs. Several transcript variants encoding different isoforms have been described for this gene. See Basson *et al.* (1997) and Terrett *et al.* (1994).

15           TBX5 (T-box 5); mRNA = NM\_000192 (SEQ ID NO: 1); Protein = NP\_000183 (SEQ ID NO: 2).

**B. Mef2C**

Myocyte-specific enhancer factor 2C also known as MADS box transcription enhancer 20 factor 2, polypeptide C is a protein that in humans is encoded by the MEF2C gene. MEF2C is a transcription factor in the Mef2 family. The gene is located at 5q14.3 on the minus strand and is 200,723 bases in length. The encoded protein has 473 amino acids with a predicted molecular weight of 51.221 kD. Three isoforms have been identified. Several post translational 25 modifications have been identified including phosphorylation on serine-59 and serine-396, sumoylation on lysine-391, acetylation on lysine-4 and proteolytic cleavage. The mature protein is found in the nucleus and the gene's expression is maximal in the post natal period.

MEF2C has been shown to interact with MAPK7, EP300, Sp1 transcription factor, TEAD1, SOX18, HDAC4, HDAC7 and HDAC9. This gene is involved in cardiac morphogenesis and myogenesis and vascular development. It may also be involved in 30 neurogenesis and in the development of cortical architecture. Mice without a functional copy of the Mef2c gene die before birth and have abnormalities in the heart and vascular system. In

humans mutations of this gene have resulted in severe psychomotor retardation, periodic tremor and an abnormal motor pattern with mirror movement of the upper limbs observed during infancy, hypotonia, abnormal EEG, epilepsy, absence of speech, autistic behavior, bruxism, and mild dysmorphic features, mild thinning of the corpus callosum and delay of white matter myelination in the occipital lobes. See McDermott *et al.* (1993) and Molkentin *et al.* (1996).

5 MEF2C (myocyte enhancer factor 2C); mRNA = NM\_002397 (SEQ ID NO: 3); Protein = NP\_002388 (SEQ ID NO: 4).

### C. GATA4

10 Transcription factor GATA-4 is a protein that in humans is encoded by the *GATA4* gene. This gene encodes a member of the GATA family of zinc finger transcription factors. Members of this family recognize the GATA motif which is present in the promoters of many genes. This protein is thought to regulate genes involved in embryogenesis and in myocardial differentiation and function. Mutations in this gene have been associated with cardiac septal defects as well as 15 reproductive defects. GATA4 has been shown to interact with NKX2-5, TBX5, ZFPM2, Serum response factor, HAND2 and HDAC2. See White *et al.* (1995).

GATA4 (GATA binding protein 4); mRNA = NM\_002052 (SEQ ID NO: 5); Protein = NP\_002043 (SEQ ID NO: 6).

### D. Hand2

20 Heart- and neural crest derivatives-expressed protein 2 is a protein that in humans is encoded by the HAND2 gene. The protein encoded by this gene belongs to the basic helix-loop-helix family of transcription factors. This gene product is one of two closely related family members, the HAND proteins, which are asymmetrically expressed in the developing ventricular 25 chambers and play an essential role in cardiac morphogenesis. Working in a complementary fashion, they function in the formation of the right ventricle and aortic arch arteries, implicating them as mediators of congenital heart disease. In addition, this transcription factor plays an important role in limb and branchial arch development. See Russell *et al.* (1999).

HAND2 (heart and neural crest derivatives expressed 2); mRNA = NM\_021973 (SEQ 30 ID NO: 7); Protein = NP\_068808 (SEQ ID NO: 8).

**E. Myocardin**

Myocardin is a protein that in humans is encoded by the MYOCD gene. Myocardin is a smooth muscle and cardiac muscle-specific transcriptional coactivator of serum response factor. When expressed ectopically in nonmuscle cells, myocardin can induce smooth muscle differentiation by its association with serum response factor (SRF).

MYOCD (myocardin); mRNA = NM\_001146312.1 (SEQ ID NO: 9); Protein = NP\_001139784.1 (SEQ ID NO: 10).

**III. Protein Delivery**

The present invention, in one aspect, relates to the production and formulation of transcription factors as well as their delivery to cells, tissues or subjects. In general, recombinant production of proteins is well known and is therefore no described in detail here. The discussion of nucleic acids and expression vectors, found below, is however incorporated in this discussion.

**A. Purification of Proteins**

It will be desirable to purify proteins according to the present invention. Protein purification techniques are well known to those of skill in the art. These techniques involve, at one level, the crude fractionation of the cellular milieu to polypeptide and non-polypeptide fractions. Having separated the polypeptide from other proteins, the polypeptide of interest may be further purified using chromatographic and electrophoretic techniques to achieve partial or complete purification (or purification to homogeneity). Analytical methods particularly suited to the preparation of a pure peptide are ion-exchange chromatography, exclusion chromatography; polyacrylamide gel electrophoresis; isoelectric focusing. A particularly efficient method of purifying peptides is fast protein liquid chromatography or even HPLC.

Certain aspects of the present invention concern the purification, and in particular embodiments, the substantial purification, of an encoded protein or peptide. The term "purified protein" as used herein, is intended to refer to a composition, isolatable from other components, wherein the protein or peptide is purified to any degree relative to its naturally-obtainable state. A purified protein or peptide therefore also refers to a protein or peptide, free from the environment in which it may naturally occur.

Generally, “purified” will refer to a protein composition that has been subjected to fractionation to remove various other components, and which composition substantially retains its expressed biological activity. Where the term “substantially purified” is used, this designation will refer to a composition in which the protein forms the major component of the composition, such as constituting about 50%, about 60%, about 70%, about 80%, about 90%, about 95% or more of the proteins in the composition.

Various methods for quantifying the degree of purification of the protein will be known to those of skill in the art in light of the present disclosure. These include, for example, determining the specific activity of an active fraction, or assessing the amount of polypeptides within a fraction by SDS/PAGE analysis. A preferred method for assessing the purity of a fraction is to calculate the specific activity of the fraction, to compare it to the specific activity of the initial extract, and to thus calculate the degree of purity, herein assessed by a “-fold purification number.” The actual units used to represent the amount of activity will, of course, be dependent upon the particular assay technique chosen to follow the purification and whether or not the expressed protein or peptide exhibits a detectable activity.

Various techniques suitable for use in protein purification will be well known to those of skill in the art. These include, for example, precipitation with ammonium sulphate, PEG, antibodies and the like or by heat denaturation, followed by centrifugation; chromatography steps such as ion exchange, gel filtration, reverse phase, hydroxylapatite and affinity chromatography; isoelectric focusing; gel electrophoresis; and combinations of such and other techniques. As is generally known in the art, it is believed that the order of conducting the various purification steps may be changed, or that certain steps may be omitted, and still result in a suitable method for the preparation of a substantially purified protein or peptide.

There is no general requirement that the protein always be provided in their most purified state. Indeed, it is contemplated that less substantially purified products will have utility in certain embodiments. Partial purification may be accomplished by using fewer purification steps in combination, or by utilizing different forms of the same general purification scheme. For example, it is appreciated that a cation-exchange column chromatography performed utilizing an HPLC apparatus will generally result in a greater “-fold” purification than the same technique utilizing a low pressure chromatography system. Methods exhibiting a lower degree of relative

purification may have advantages in total recovery of protein product, or in maintaining the activity of an expressed protein.

It is known that the migration of a polypeptide can vary, sometimes significantly, with different conditions of SDS/PAGE (Capaldi *et al.*, 1977). It will therefore be appreciated that 5 under differing electrophoresis conditions, the apparent molecular weights of purified or partially purified expression products may vary.

High Performance Liquid Chromatography (HPLC) is characterized by a very rapid separation with extraordinary resolution of peaks. This is achieved by the use of very fine particles and high pressure to maintain an adequate flow rate. Separation can be accomplished in 10 a matter of minutes, or at most an hour. Moreover, only a very small volume of the sample is needed because the particles are so small and close-packed that the void volume is a very small fraction of the bed volume. Also, the concentration of the sample need not be very great because the bands are so narrow that there is very little dilution of the sample.

Gel chromatography, or molecular sieve chromatography, is a special type of partition 15 chromatography that is based on molecular size. The theory behind gel chromatography is that the column, which is prepared with tiny particles of an inert substance that contain small pores, separates larger molecules from smaller molecules as they pass through or around the pores, depending on their size. As long as the material of which the particles are made does not adsorb 20 the molecules, the sole factor determining rate of flow is the size. Hence, molecules are eluted from the column in decreasing size, so long as the shape is relatively constant. Gel chromatography is unsurpassed for separating molecules of different size because separation is independent of all other factors such as pH, ionic strength, temperature, *etc.* There also is virtually no adsorption, less zone spreading and the elution volume is related in a simple matter 25 to molecular weight.

Affinity Chromatography is a chromatographic procedure that relies on the specific 30 affinity between a substance to be isolated and a molecule that it can specifically bind to. This is a receptor-ligand type interaction. The column material is synthesized by covalently coupling one of the binding partners to an insoluble matrix. The column material is then able to specifically adsorb the substance from the solution. Elution occurs by changing the conditions to those in which binding will not occur (alter pH, ionic strength, temperature, *etc.*).

A particular type of affinity chromatography useful in the purification of carbohydrate containing compounds is lectin affinity chromatography. Lectins are a class of substances that bind to a variety of polysaccharides and glycoproteins. Lectins are usually coupled to agarose by cyanogen bromide. Conconavalin A coupled to Sepharose was the first material of this sort to be 5 used and has been widely used in the isolation of polysaccharides and glycoproteins other lectins that have been include lentil lectin, wheat germ agglutinin which has been useful in the purification of N-acetyl glucosaminyl residues and *Helix pomatia* lectin. Lectins themselves are purified using affinity chromatography with carbohydrate ligands. Lactose has been used to purify lectins from castor bean and peanuts; maltose has been useful in extracting lectins from 10 lentils and jack bean; N-acetyl-D galactosamine is used for purifying lectins from soybean; N-acetyl glucosaminyl binds to lectins from wheat germ; D-galactosamine has been used in obtaining lectins from clams and L-fucose will bind to lectins from lotus.

The matrix should be a substance that itself does not adsorb molecules to any significant extent and that has a broad range of chemical, physical and thermal stability. The ligand should 15 be coupled in such a way as to not affect its binding properties. The ligand should also provide relatively tight binding. And it should be possible to elute the substance without destroying the sample or the ligand. One of the most common forms of affinity chromatography is immunoaffinity chromatography. The generation of antibodies that would be suitable for use in accord with the present invention is discussed below.

20

## B. Cell Permeability Peptides

The present invention contemplates the use of a cell permeability peptide (also called a cell delivery peptide, or cell transduction domain) linked to transcription factors. Such domains have been described in the art and are generally characterized as short amphipathic or cationic 25 peptides and peptide derivatives, often containing multiple lysine and arginine resides (Fischer, 2007). Other examples are shown in Table 1, below.

30

**TABLE 1 - CDD/CTD PEPTIDES**

	SEQ ID NO:		SEQ ID NO:
GALFLGWLGAAGSTMGAKKKRK V	9	QAATATRGRSAASRPTERPRAPARS ASRPRRPVE	31
RQIKIWFQNRRMKWKK	10	MGLGLHLLVLAAALQGAKSKRKV	32
RRMKWKK	11	AAVALLPAVLLALLAPAAANYKKP KL	33
RRWRRWWRRWWRRWRR	12	MANLGYWLLALFVTMWTDVGLCK KRPKP	34
RGGRLSYSRRRFSTSTGR	13	LGTYTQDFNKFHTFPQTAIGVGAP	35
YGRKKRRQRRR	14	DPKGDPKGVTVTVTVTGKGDPX PD	36
RKKRRQRRR	15	PPPPPPPPPPPPPPP	37
YARAARQARA	16	VRLPPPVRLLPPPVRLLPPP	38
RRRRRRRR	17	PRPLPPPRPG	39
KKKKKKKK	18	SVRRRPRPPYLPRLPRPRPPPFFPPRLPPR IPP	40
GWTLNSAGYLLGKINLKALAALA KXIL	19	TRSSRAGLQFPVGRVHRLLRK	41
LLILLRRRIRKQANAHSK	20	GIGKFLHSAKKFGKAFVGEIMNS	42
SRRHHCRSKAKRSRHH	21	KWKLFKKIEKVGQNI RDGIKAGPA VAVVGQATQIAK	43
NRARRNRRRVR	22	ALWMTLLKKVLKAAAKAALNAVL VGANA	44
RQLRIAGRRLRGRSR	23	GIGAVLKVLTTGLPALISWIKRKRQ Q	45
KLIKGRTPIKFGK	24	INLKALAALAKKIL	46
RRIPNRRPRR	25	GFFALIPKIISSPLPKTLLSAVGSLG GSGGQE	47
KLALKLALKALKAAALKLA	26	LAKWALKQGFAKLKS	48
KLAALKLAKKLA	27	SMAQDIISTIGDLVKWIIQTVNXFTK K	49
GALFLGFLGAAGSTNGAWSQPKK KRKV	28	LLGDFFRKSK EKIGKEFKRIVQRIKQ RIKDFLANLVPRTES	50
KETWWETWWTEWSQPKKKRKV	29	PAWRKAFRRAWRMLKCAA	51
LKKLLKKLLKKLLKKLLKKL	30	KLKLKLKLKLKLKLKL	52

**C. Protein Delivery**

In general, proteins are delivered to cells as a formulation that promotes entry of the proteins into a cell of interest. In a most basic form, lipid vehicles such as liposomes. For

example, liposomes, which are artificially prepared vesicles made of lipid bilayers have been used to delivery a variety of drugs. Liposomes can be composed of naturally-derived phospholipids with mixed lipid chains (like egg phosphatidylethanolamine) or other surfactants. In particular, liposomes containing cationic or neutral lipids have been used in the formulation of drugs. Liposomes should not be confused with micelles and reverse micelles composed of monolayers, which also can be used for delivery.

5 A wide variety of commercial formulations for protein delivery are well known including PULSin<sup>TM</sup>, Lipodin-Pro, Carry-MaxR, Pro-DeliverIN, PromoFectin, Pro-Ject, Chariot<sup>TM</sup> Protein Delivery reagent, BioPORTER<sup>TM</sup>, and others.

10 Nanoparticles are generally considered to be particulate substances having a diameter of 100 nm or less. In contrast to liposomes, which are hollow, nanoparticles tend to be solid. Thus, the drug will be less entrapped and more either embedded in or coated on the nanoparticle. Nanoparticles can be made of metals including oxides, silica, polymers such as polymethyl methacrylate, and ceramics. Similarly, nanoshells are somewhat larger and encase the delivered 15 substances with these same materials. Either nanoparticles or nanoshells permit sustained or controlled release of the peptide or mimetic, and can stabilize it to the effects of *in vivo* environment.

#### IV. Nucleic Acid Delivery

20 As discussed above, in certain embodiments, expression cassettes are employed to express a transcription factor product, either for subsequent purification and delivery to a cell/subject, or for use directly in a genetic-based delivery approach. Expression requires that appropriate signals be provided in the vectors, and include various regulatory elements such as enhancers/promoters from both viral and mammalian sources that drive expression of the genes 25 of interest in cells. Elements designed to optimize messenger RNA stability and translatability in host cells also are defined. The conditions for the use of a number of dominant drug selection markers for establishing permanent, stable cell clones expressing the products are also provided, as is an element that links expression of the drug selection markers to expression of the polypeptide.

### A. Regulatory Elements

Throughout this application, the term “expression cassette” is meant to include any type of genetic construct containing a nucleic acid coding for a gene product in which part or all of the nucleic acid encoding sequence is capable of being transcribed and translated, *i.e.*, is under the control of a promoter. A “promoter” refers to a DNA sequence recognized by the synthetic machinery of the cell, or introduced synthetic machinery, required to initiate the specific transcription of a gene. The phrase “under transcriptional control” means that the promoter is in the correct location and orientation in relation to the nucleic acid to control RNA polymerase initiation and expression of the gene. An “expression vector” is meant to include expression cassettes comprised in a genetic construct that is capable of replication, and thus including one or more of origins of replication, transcription termination signals, poly-A regions, selectable markers, and multipurpose cloning sites.

The term promoter will be used here to refer to a group of transcriptional control modules that are clustered around the initiation site for RNA polymerase II. Much of the thinking about how promoters are organized derives from analyses of several viral promoters, including those for the HSV thymidine kinase (*tk*) and SV40 early transcription units. These studies, augmented by more recent work, have shown that promoters are composed of discrete functional modules, each consisting of approximately 7-20 bp of DNA, and containing one or more recognition sites for transcriptional activator or repressor proteins.

At least one module in each promoter functions to position the start site for RNA synthesis. The best known example of this is the TATA box, but in some promoters lacking a TATA box, such as the promoter for the mammalian terminal deoxynucleotidyl transferase gene and the promoter for the SV40 late genes, a discrete element overlying the start site itself helps to fix the place of initiation.

Additional promoter elements regulate the frequency of transcriptional initiation. Typically, these are located in the region 30-110 bp upstream of the start site, although a number of promoters have recently been shown to contain functional elements downstream of the start site as well. The spacing between promoter elements frequently is flexible, so that promoter function is preserved when elements are inverted or moved relative to one another. In the *tk* promoter, the spacing between promoter elements can be increased to 50 bp apart before activity

begins to decline. Depending on the promoter, it appears that individual elements can function either co-operatively or independently to activate transcription.

In certain embodiments, viral promotes such as the human cytomegalovirus (CMV) immediate early gene promoter, the SV40 early promoter, the Rous sarcoma virus long terminal repeat, rat insulin promoter and glyceraldehyde-3-phosphate dehydrogenase can be used to obtain high-level expression of the coding sequence of interest. The use of other viral or mammalian cellular or bacterial phage promoters which are well-known in the art to achieve expression of a coding sequence of interest is contemplated as well, provided that the levels of expression are sufficient for a given purpose. By employing a promoter with well-known properties, the level and pattern of expression of the protein of interest following transfection or transformation can be optimized. Further, selection of a promoter that is regulated in response to specific physiologic signals can permit inducible expression of the gene product.

Enhancers are genetic elements that increase transcription from a promoter located at a distant position on the same molecule of DNA. Enhancers are organized much like promoters. That is, they are composed of many individual elements, each of which binds to one or more transcriptional proteins. The basic distinction between enhancers and promoters is operational. An enhancer region as a whole must be able to stimulate transcription at a distance; this need not be true of a promoter region or its component elements. On the other hand, a promoter must have one or more elements that direct initiation of RNA synthesis at a particular site and in a particular orientation, whereas enhancers lack these specificities. Promoters and enhancers are often overlapping and contiguous, often seeming to have a very similar modular organization.

Below is a list of promoters/enhancers and inducible promoters/enhancers that could be used in combination with the nucleic acid encoding a gene of interest in an expression construct (Table 2 and Table 3). Additionally, any promoter/enhancer combination (as per the Eukaryotic Promoter Data Base EPDB) could also be used to drive expression of the gene. Eukaryotic cells can support cytoplasmic transcription from certain bacterial promoters if the appropriate bacterial polymerase is provided, either as part of the delivery complex or as an additional genetic expression construct.

**TABLE 2**  
**Promoter and/or Enhancer**

Promoter/Enhancer	References
Immunoglobulin Heavy Chain	Banerji <i>et al.</i> , 1983; Gilles <i>et al.</i> , 1983; Grosschedl <i>et al.</i> , 1985; Atchinson <i>et al.</i> , 1986, 1987; Imler <i>et al.</i> , 1987; Weinberger <i>et al.</i> , 1984; Kiledjian <i>et al.</i> , 1988; Porton <i>et al.</i> ; 1990
Immunoglobulin Light Chain	Queen <i>et al.</i> , 1983; Picard <i>et al.</i> , 1984
T-Cell Receptor	Luria <i>et al.</i> , 1987; Winoto <i>et al.</i> , 1989; Redondo <i>et al.</i> ; 1990
HLA DQ $\alpha$ and/or DQ $\beta$	Sullivan <i>et al.</i> , 1987
$\beta$ -Interferon	Goodbourn <i>et al.</i> , 1986; Fujita <i>et al.</i> , 1987; Goodbourn <i>et al.</i> , 1988
Interleukin-2	Greene <i>et al.</i> , 1989
Interleukin-2 Receptor	Greene <i>et al.</i> , 1989; Lin <i>et al.</i> , 1990
MHC Class II 5	Koch <i>et al.</i> , 1989
MHC Class II HLA-DR $\alpha$	Sherman <i>et al.</i> , 1989
$\beta$ -Actin	Kawamoto <i>et al.</i> , 1988; Ng <i>et al.</i> ; 1989
Muscle Creatine Kinase (MCK)	Jaynes <i>et al.</i> , 1988; Horlick <i>et al.</i> , 1989; Johnson <i>et al.</i> , 1989
Prealbumin (Transthyretin)	Costa <i>et al.</i> , 1988
Elastase I	Ornitz <i>et al.</i> , 1987
Metallothionein (MTII)	Karin <i>et al.</i> , 1987; Culotta <i>et al.</i> , 1989
Collagenase	Pinkert <i>et al.</i> , 1987; Angel <i>et al.</i> , 1987a
Albumin	Pinkert <i>et al.</i> , 1987; Tronche <i>et al.</i> , 1989, 1990
$\alpha$ -Fetoprotein	Godbout <i>et al.</i> , 1988; Campere <i>et al.</i> , 1989
t-Globin	Bodine <i>et al.</i> , 1987; Perez-Stable <i>et al.</i> , 1990
$\beta$ -Globin	Trudel <i>et al.</i> , 1987
c-fos	Cohen <i>et al.</i> , 1987
c-HA-ras	Triesman, 1986; Deschamps <i>et al.</i> , 1985
Insulin	Edlund <i>et al.</i> , 1985

**TABLE 2**  
**Promoter and/or Enhancer**

Promoter/Enhancer	References
Neural Cell Adhesion Molecule (NCAM)	Hirsh <i>et al.</i> , 1990
$\alpha_1$ -Antitrypsin	Latimer <i>et al.</i> , 1990
H2B (TH2B) Histone	Hwang <i>et al.</i> , 1990
Mouse and/or Type I Collagen	Ripe <i>et al.</i> , 1989
Glucose-Regulated Proteins (GRP94 and GRP78)	Chang <i>et al.</i> , 1989
Rat Growth Hormone	Larsen <i>et al.</i> , 1986
Human Serum Amyloid A (SAA)	Edbrooke <i>et al.</i> , 1989
Troponin I (TN I)	Yutzey <i>et al.</i> , 1989
Platelet-Derived Growth Factor (PDGF)	Pech <i>et al.</i> , 1989
Duchenne Muscular Dystrophy	Klamut <i>et al.</i> , 1990
SV40	Banerji <i>et al.</i> , 1981; Moreau <i>et al.</i> , 1981; Sleigh <i>et al.</i> , 1985; Firak <i>et al.</i> , 1986; Herr <i>et al.</i> , 1986; Imbra <i>et al.</i> , 1986; Kadesch <i>et al.</i> , 1986; Wang <i>et al.</i> , 1986; Ondek <i>et al.</i> , 1987; Kuhl <i>et al.</i> , 1987; Schaffner <i>et al.</i> , 1988
Polyoma	Swartzendruber <i>et al.</i> , 1975; Vasseur <i>et al.</i> , 1980; Katinka <i>et al.</i> , 1980, 1981; Tyndell <i>et al.</i> , 1981; Dandolo <i>et al.</i> , 1983; de Villiers <i>et al.</i> , 1984; Hen <i>et al.</i> , 1986; Satake <i>et al.</i> , 1988; Campbell and/or Villarreal, 1988
Retroviruses	Kriegler <i>et al.</i> , 1982, 1983; Levinson <i>et al.</i> , 1982; Kriegler <i>et al.</i> , 1983, 1984a, b, 1988; Bosze <i>et al.</i> , 1986; Miksicek <i>et al.</i> , 1986; Celander <i>et al.</i> , 1987; Thiesen <i>et al.</i> , 1988; Celander <i>et al.</i> , 1988; Choi <i>et al.</i> , 1988; Reisman <i>et al.</i> , 1989
Papilloma Virus	Campo <i>et al.</i> , 1983; Lusky <i>et al.</i> , 1983; Spandidos and/or Wilkie, 1983; Spalholz <i>et al.</i> , 1985; Lusky <i>et al.</i> , 1986; Cripe <i>et al.</i> , 1987; Gloss <i>et al.</i> , 1987; Hirochika <i>et al.</i> , 1987; Stephens <i>et al.</i> , 1987
Hepatitis B Virus	Bulla <i>et al.</i> , 1986; Jameel <i>et al.</i> , 1986; Shaul <i>et al.</i> , 1987; Spandau <i>et al.</i> , 1988; Vannice <i>et al.</i> , 1988

**TABLE 2**  
**Promoter and/or Enhancer**

Promoter/Enhancer	References
Human Immunodeficiency Virus	Muesing <i>et al.</i> , 1987; Hauber <i>et al.</i> , 1988; Jakobovits <i>et al.</i> , 1988; Feng <i>et al.</i> , 1988; Takebe <i>et al.</i> , 1988; Rosen <i>et al.</i> , 1988; Berkhout <i>et al.</i> , 1989; Laspia <i>et al.</i> , 1989; Sharp <i>et al.</i> , 1989; Braddock <i>et al.</i> , 1989
Cytomegalovirus (CMV)	Weber <i>et al.</i> , 1984; Boshart <i>et al.</i> , 1985; Foecking <i>et al.</i> , 1986
Gibbon Ape Leukemia Virus	Holbrook <i>et al.</i> , 1987; Quinn <i>et al.</i> , 1989

**TABLE 3**  
**Inducible Elements**

Element	Inducer	References
MT II	Phorbol Ester (TFA) Heavy metals	Palmiter <i>et al.</i> , 1982; Haslinger <i>et al.</i> , 1985; Searle <i>et al.</i> , 1985; Stuart <i>et al.</i> , 1985; Imagawa <i>et al.</i> , 1987; Karin <i>et al.</i> , 1987; Angel <i>et al.</i> , 1987b; McNeall <i>et al.</i> , 1989
MMTV (mouse mammary tumor virus)	Glucocorticoids	Huang <i>et al.</i> , 1981; Lee <i>et al.</i> , 1981; Majors <i>et al.</i> , 1983; Chandler <i>et al.</i> , 1983; Ponta <i>et al.</i> , 1985; Sakai <i>et al.</i> , 1988
$\beta$ -Interferon	poly(rI)x poly(rc)	Tavernier <i>et al.</i> , 1983
Adenovirus 5 <u>E2</u>	E1A	Imperiale <i>et al.</i> , 1984
Collagenase	Phorbol Ester (TPA)	Angel <i>et al.</i> , 1987a
Stromelysin	Phorbol Ester (TPA)	Angel <i>et al.</i> , 1987b
SV40	Phorbol Ester (TPA)	Angel <i>et al.</i> , 1987b
Murine MX Gene	Interferon, Newcastle Disease Virus	Hug <i>et al.</i> , 1988
GRP78 Gene	A23187	Resendez <i>et al.</i> , 1988
$\alpha$ -2-Macroglobulin	IL-6	Kunz <i>et al.</i> , 1989
Vimentin	Serum	Rittling <i>et al.</i> , 1989
MHC Class I Gene H-2 $\kappa$ b	Interferon	Blanar <i>et al.</i> , 1989
HSP70	E1A, SV40 Large T Antigen	Taylor <i>et al.</i> , 1989, 1990a, 1990b
Proliferin	Phorbol Ester-TPA	Mordacq <i>et al.</i> , 1989
Tumor Necrosis Factor	PMA	Hensel <i>et al.</i> , 1989
Thyroid Stimulating Hormone $\alpha$ Gene	Thyroid Hormone	Chatterjee <i>et al.</i> , 1989

Of particular interest are muscle specific promoters, and more particularly, cardiac specific promoters. These include the myosin light chain-2 promoter (Franz *et al.*, 1994; Kelly *et al.*, 1995), the  $\alpha$ -actin promoter (Moss *et al.*, 1996), the troponin 1 promoter (Bhavsar *et al.*, 1996); the  $\text{Na}^+/\text{Ca}^{2+}$  exchanger promoter (Barnes *et al.*, 1997), the dystrophin promoter (Kimura *et al.*, 1997), the  $\alpha$ 7 integrin promoter (Ziober and Kramer, 1996), the brain natriuretic peptide promoter (LaPointe *et al.*, 1996) and the  $\alpha$ B-crystallin/small heat shock protein promoter (Gopal-Srivastava, 1995),  $\alpha$ -myosin heavy chain promoter (Yamauchi-Takahara *et al.*, 1989) and the ANF promoter (LaPointe *et al.*, 1988).

Where a cDNA insert is employed, one will typically desire to include a polyadenylation signal to effect proper polyadenylation of the gene transcript. The nature of the polyadenylation signal is not believed to be crucial to the successful practice of the invention, and any such sequence may be employed such as human growth hormone and SV40 polyadenylation signals. Also contemplated as an element of the expression cassette is a terminator. These elements can serve to enhance message levels and to minimize read through from the cassette into other sequences.

## B. Multigene Constructs and IRES

In certain embodiments of the invention, the use of internal ribosome binding sites (IRES) elements are used to create multigene, or polycistronic, messages. IRES elements are able to bypass the ribosome scanning model of 5' methylated Cap dependent translation and begin translation at internal sites (Pelletier and Sonenberg, 1988). IRES elements from two members of the picornavirus family (polio and encephalomyocarditis) have been described (Pelletier and Sonenberg, 1988), as well an IRES from a mammalian message (Macejak and Sarnow, 1991). IRES elements can be linked to heterologous open reading frames. Multiple open reading frames can be transcribed together, each separated by an IRES, creating polycistronic messages. By virtue of the IRES element, each open reading frame is accessible to ribosomes for efficient translation. Multiple genes can be efficiently expressed using a single promoter/enhancer to transcribe a single message.

Any heterologous open reading frame can be linked to IRES elements. This includes genes for secreted proteins, multi-subunit proteins, encoded by independent genes, intracellular or membrane-bound proteins and selectable markers. In this way, expression of several proteins

can be simultaneously engineered into a cell with a single construct and a single selectable marker.

### C. Delivery of Expression Vectors

There are a number of ways in which expression vectors may be introduced into cells. In 5 certain embodiments of the invention, the expression construct comprises a virus or engineered construct derived from a viral genome. The ability of certain viruses to enter cells via receptor-mediated endocytosis, to integrate into host cell genome and express viral genes stably and efficiently have made them attractive candidates for the transfer of foreign genes into mammalian cells (Ridgeway, 1988; Nicolas and Rubenstein, 1988; Baichwal and Sugden, 1986; 10 Temin, 1986). The first viruses used as gene vectors were DNA viruses including the papovaviruses (simian virus 40, bovine papilloma virus, and polyoma) (Ridgeway, 1988; Baichwal and Sugden, 1986) and adenoviruses (Ridgeway, 1988; Baichwal and Sugden, 1986). These have a relatively low capacity for foreign DNA sequences and have a restricted host 15 spectrum. Furthermore, their oncogenic potential and cytopathic effects in permissive cells raise safety concerns. They can accommodate only up to 8 kB of foreign genetic material but can be readily introduced in a variety of cell lines and laboratory animals (Nicolas and Rubenstein, 1988; Temin, 1986).

One of the preferred methods for *in vivo* delivery involves the use of an adenovirus 20 expression vector. “Adenovirus expression vector” is meant to include those constructs containing adenovirus sequences sufficient to (a) support packaging of the construct and (b) to express an antisense polynucleotide that has been cloned therein. In this context, expression does not require that the gene product be synthesized.

The expression vector comprises a genetically engineered form of adenovirus. Knowledge of the genetic organization of adenovirus, a 36 kB, linear, double-stranded DNA 25 virus, allows substitution of large pieces of adenoviral DNA with foreign sequences up to 7 kB (Grunhaus and Horwitz, 1992). In contrast to retrovirus, the adenoviral infection of host cells does not result in chromosomal integration because adenoviral DNA can replicate in an episomal manner without potential genotoxicity. Also, adenoviruses are structurally stable, and no genome rearrangement has been detected after extensive amplification. Adenovirus can infect 30 virtually all epithelial cells regardless of their cell cycle stage. So far, adenoviral infection appears to be linked only to mild disease such as acute respiratory disease in humans.

Adenovirus is particularly suitable for use as a gene transfer vector because of its mid-sized genome, ease of manipulation, high titer, wide target cell range and high infectivity. Both ends of the viral genome contain 100-200 base pair inverted repeats (ITRs), which are *cis* elements necessary for viral DNA replication and packaging. The early (E) and late (L) regions 5 of the genome contain different transcription units that are divided by the onset of viral DNA replication. The E1 region (E1A and E1B) encodes proteins responsible for the regulation of transcription of the viral genome and a few cellular genes. The expression of the E2 region (E2A and E2B) results in the synthesis of the proteins for viral DNA replication. These proteins are involved in DNA replication, late gene expression and host cell shut-off (Renan, 1990). The 10 products of the late genes, including the majority of the viral capsid proteins, are expressed only after significant processing of a single primary transcript issued by the major late promoter (MLP). The MLP, (located at 16.8 m.u.) is particularly efficient during the late phase of infection, and all the mRNA's issued from this promoter possess a 5'-tripartite leader (TPL) sequence which makes them preferred mRNA's for translation.

15 In one system, recombinant adenovirus is generated from homologous recombination between shuttle vector and provirus vector. Due to the possible recombination between two proviral vectors, wild-type adenovirus may be generated from this process. Therefore, it is critical to isolate a single clone of virus from an individual plaque and examine its genomic structure.

20 Generation and propagation of the current adenovirus vectors, which are replication deficient, depend on a unique helper cell line, designated 293, which was transformed from human embryonic kidney cells by Ad5 DNA fragments and constitutively expresses E1 proteins (Graham *et al.*, 1977). Since the E3 region is dispensable from the adenovirus genome (Jones and Shenk, 1978), the current adenovirus vectors, with the help of 293 cells, carry foreign DNA 25 in either the E1, the D3 or both regions (Graham and Prevec, 1991). In nature, adenovirus can package approximately 105% of the wild-type genome (Ghosh-Choudhury *et al.*, 1987), providing capacity for about 2 extra kb of DNA. Combined with the approximately 5.5 kb of DNA that is replaceable in the E1 and E3 regions, the maximum capacity of the current adenovirus vector is under 7.5 kb, or about 15% of the total length of the vector. More than 80% 30 of the adenovirus viral genome remains in the vector backbone and is the source of vector-borne cytotoxicity. Also, the replication deficiency of the E1-deleted virus is incomplete.

Helper cell lines may be derived from human cells such as human embryonic kidney cells, muscle cells, hematopoietic cells or other human embryonic mesenchymal or epithelial cells. Alternatively, the helper cells may be derived from the cells of other mammalian species that are permissive for human adenovirus. Such cells include, *e.g.*, Vero cells or other monkey 5 embryonic mesenchymal or epithelial cells. As stated above, the preferred helper cell line is 293.

Racher *et al.* (1995) disclosed improved methods for culturing 293 cells and propagating adenovirus. In one format, natural cell aggregates are grown by inoculating individual cells into 1 liter siliconized spinner flasks (Techne, Cambridge, UK) containing 100-200 ml of medium. Following stirring at 40 rpm, the cell viability is estimated with trypan blue. In another format, 10 Fibra-Cel microcarriers (Bibby Sterlin, Stone, UK) (5 g/l) is employed as follows. A cell inoculum, resuspended in 5 ml of medium, is added to the carrier (50 ml) in a 250 ml Erlenmeyer flask and left stationary, with occasional agitation, for 1 to 4 h. The medium is then replaced with 50 ml of fresh medium and shaking initiated. For virus production, cells are allowed to grow to about 80% confluence, after which time the medium is replaced (to 25% of the final 15 volume) and adenovirus added at an MOI of 0.05. Cultures are left stationary overnight, following which the volume is increased to 100% and shaking commenced for another 72 h.

Other than the requirement that the adenovirus vector be replication defective, or at least conditionally defective, the nature of the adenovirus vector is not believed to be crucial to the successful practice of the invention. The adenovirus may be of any of the 42 different known 20 serotypes or subgroups A-F. Adenovirus type 5 of subgroup C is the preferred starting material in order to obtain the conditional replication-defective adenovirus vector for use in the present invention. This is because Adenovirus type 5 is a human adenovirus about which a great deal of biochemical and genetic information is known, and it has historically been used for most constructions employing adenovirus as a vector.

25 As stated above, the typical vector according to the present invention is replication defective and will not have an adenovirus E1 region. Thus, it will be most convenient to introduce the polynucleotide encoding the gene of interest at the position from which the E1-coding sequences have been removed. However, the position of insertion of the construct within the adenovirus sequences is not critical to the invention. The polynucleotide encoding the gene 30 of interest may also be inserted in lieu of the deleted E3 region in E3 replacement vectors, as

described by Karlsson *et al.* (1986), or in the E4 region where a helper cell line or helper virus complements the E4 defect.

Adenovirus is easy to grow and manipulate and exhibits broad host range *in vitro* and *in vivo*. This group of viruses can be obtained in high titers, *e.g.*, 10<sup>9</sup>-10<sup>12</sup> plaque-forming units per ml, and they are highly infective. The life cycle of adenovirus does not require integration into the host cell genome. The foreign genes delivered by adenovirus vectors are episomal and, therefore, have low genotoxicity to host cells. No side effects have been reported in studies of vaccination with wild-type adenovirus (Couch *et al.*, 1963; Top *et al.*, 1971), demonstrating their safety and therapeutic potential as *in vivo* gene transfer vectors.

Adenovirus vectors have been used in eukaryotic gene expression (Levrero *et al.*, 1991; Gomez-Foix *et al.*, 1992) and vaccine development (Grunhaus and Horwitz, 1992; Graham and Prevec, 1991). Recently, animal studies suggested that recombinant adenovirus could be used for gene therapy (Stratford-Perricaudet and Perricaudet, 1991; Stratford-Perricaudet *et al.*, 1990; Rich *et al.*, 1993). Studies in administering recombinant adenovirus to different tissues include trachea instillation (Rosenfeld *et al.*, 1991; Rosenfeld *et al.*, 1992), muscle injection (Ragot *et al.*, 1993), peripheral intravenous injections (Herz and Gerard, 1993) and stereotactic inoculation into the brain (Le Gal La Salle *et al.*, 1993).

The retroviruses are a group of single-stranded RNA viruses characterized by an ability to convert their RNA to double-stranded DNA in infected cells by a process of reverse-transcription (Coffin, 1990). The resulting DNA then stably integrates into cellular chromosomes as a provirus and directs synthesis of viral proteins. The integration results in the retention of the viral gene sequences in the recipient cell and its descendants. The retroviral genome contains three genes, gag, pol, and env that code for capsid proteins, polymerase enzyme, and envelope components, respectively. A sequence found upstream from the gag gene contains a signal for packaging of the genome into virions. Two long terminal repeat (LTR) sequences are present at the 5' and 3' ends of the viral genome. These contain strong promoter and enhancer sequences and are also required for integration in the host cell genome (Coffin, 1990).

In order to construct a retroviral vector, a nucleic acid encoding a gene of interest is inserted into the viral genome in the place of certain viral sequences to produce a virus that is replication-defective. In order to produce virions, a packaging cell line containing the gag, pol,

and env genes but without the LTR and packaging components is constructed (Mann *et al.*, 1983). When a recombinant plasmid containing a cDNA, together with the retroviral LTR and packaging sequences is introduced into this cell line (by calcium phosphate precipitation for example), the packaging sequence allows the RNA transcript of the recombinant plasmid to be 5 packaged into viral particles, which are then secreted into the culture media (Nicolas and Rubenstein, 1988; Temin, 1986; Mann *et al.*, 1983). The media containing the recombinant retroviruses is then collected, optionally concentrated, and used for gene transfer. Retroviral vectors are able to infect a broad variety of cell types. However, integration and stable expression require the division of host cells (Paskind *et al.*, 1975).

10 A novel approach designed to allow specific targeting of retrovirus vectors was recently developed based on the chemical modification of a retrovirus by the chemical addition of lactose residues to the viral envelope. This modification could permit the specific infection of hepatocytes via sialoglycoprotein receptors.

15 A different approach to targeting of recombinant retroviruses was designed in which biotinylated antibodies against a retroviral envelope protein and against a specific cell receptor were used. The antibodies were coupled via the biotin components by using streptavidin (Roux *et al.*, 1989). Using antibodies against major histocompatibility complex class I and class II antigens, they demonstrated the infection of a variety of human cells that bore those surface antigens with an ecotropic virus *in vitro* (Roux *et al.*, 1989).

20 There are certain limitations to the use of retrovirus vectors in all aspects of the present invention. For example, retrovirus vectors usually integrate into random sites in the cell genome. This can lead to insertional mutagenesis through the interruption of host genes or through the insertion of viral regulatory sequences that can interfere with the function of flanking genes (Varmus *et al.*, 1981). Another concern with the use of defective retrovirus vectors is the 25 potential appearance of wild-type replication-competent virus in the packaging cells. This can result from recombination events in which the intact- sequence from the recombinant virus inserts upstream from the gag, pol, env sequence integrated in the host cell genome. However, new packaging cell lines are now available that should greatly decrease the likelihood of recombination (Markowitz *et al.*, 1988; Hersdorffer *et al.*, 1990).

30 Other viral vectors may be employed as expression constructs in the present invention. Vectors derived from viruses such as vaccinia virus (Ridgeway, 1988; Baichwal and Sugden,

1986; Coupar *et al.*, 1988) adeno-associated virus (AAV) (Ridgeway, 1988; Baichwal and Sugden, 1986; Hermonat and Muzycska, 1984) and herpesviruses may be employed. They offer several attractive features for various mammalian cells (Friedmann, 1989; Ridgeway, 1988; Baichwal and Sugden, 1986; Coupar *et al.*, 1988; Horwitz *et al.*, 1990).

5 In order to effect expression of sense or antisense gene constructs, the expression construct must be delivered into a cell. This delivery may be accomplished *in vitro*, as in laboratory procedures for transforming cells lines, or *in vivo* or *ex vivo*, as in the treatment of certain disease states. One mechanism for delivery is via viral infection where the expression construct is encapsidated in an infectious viral particle.

10 Several non-viral methods for the transfer of expression constructs into cultured mammalian cells also are contemplated by the present invention. These include calcium phosphate precipitation (Graham and Van Der Eb, 1973; Chen and Okayama, 1987; Rippe *et al.*, 1990) DEAE-dextran (Gopal, 1985), electroporation (Tur-Kaspa *et al.*, 1986; Potter *et al.*, 1984), direct microinjection (Harland and Weintraub, 1985), DNA-loaded liposomes (Nicolau and 15 Sene, 1982; Fraley *et al.*, 1979) and lipofectamine-DNA complexes, cell sonication (Fechheimer *et al.*, 1987), gene bombardment using high velocity microprojectiles (Yang *et al.*, 1990), and receptor-mediated transfection (Wu and Wu, 1987; Wu and Wu, 1988). Some of these techniques may be successfully adapted for *in vivo* or *ex vivo* use.

20 Once the expression construct has been delivered into the cell the nucleic acid encoding the gene of interest may be positioned and expressed at different sites. In certain embodiments, the nucleic acid encoding the gene may be stably integrated into the genome of the cell. This integration may be in the cognate location and orientation via homologous recombination (gene replacement) or it may be integrated in a random, non-specific location (gene augmentation). In 25 yet further embodiments, the nucleic acid may be stably maintained in the cell as a separate, episomal segment of DNA. Such nucleic acid segments or “episomes” encode sequences sufficient to permit maintenance and replication independent of or in synchronization with the host cell cycle. How the expression construct is delivered to a cell and where in the cell the nucleic acid remains is dependent on the type of expression construct employed.

30 In yet another embodiment of the invention, the expression construct may simply consist of naked recombinant DNA or plasmids. Transfer of the construct may be performed by any of the methods mentioned above which physically or chemically permeabilize the cell membrane.

This is particularly applicable for transfer *in vitro* but it may be applied to *in vivo* use as well. Dubensky *et al.* (1984) successfully injected polyomavirus DNA in the form of calcium phosphate precipitates into liver and spleen of adult and newborn mice demonstrating active viral replication and acute infection. Benvenisty and Neshif (1986) also demonstrated that direct 5 intraperitoneal injection of calcium phosphate-precipitated plasmids results in expression of the transfected genes. It is envisioned that DNA encoding a gene of interest may also be transferred in a similar manner *in vivo* and express the gene product.

In still another embodiment of the invention for transferring a naked DNA expression construct into cells may involve particle bombardment. This method depends on the ability to 10 accelerate DNA-coated microprojectiles to a high velocity allowing them to pierce cell membranes and enter cells without killing them (Klein *et al.*, 1987). Several devices for accelerating small particles have been developed. One such device relies on a high voltage discharge to generate an electrical current, which in turn provides the motive force (Yang *et al.*, 1990). The microprojectiles used have consisted of biologically inert substances such as 15 tungsten or gold beads.

Selected organs including the liver, skin, and muscle tissue of rats and mice have been bombarded *in vivo* (Yang *et al.*, 1990; Zelenin *et al.*, 1991). This may require surgical exposure of the tissue or cells, to eliminate any intervening tissue between the gun and the target organ, *i.e.*, *ex vivo* treatment. Again, DNA encoding a particular gene may be delivered via this method 20 and still be incorporated by the present invention.

In a further embodiment of the invention, the expression construct may be entrapped in a liposome. Liposomes are vesicular structures characterized by a phospholipid bilayer membrane and an inner aqueous medium. Multilamellar liposomes have multiple lipid layers separated by aqueous medium. They form spontaneously when phospholipids are suspended in an excess of 25 aqueous solution. The lipid components undergo self-rearrangement before the formation of closed structures and entrap water and dissolved solutes between the lipid bilayers (Ghosh and Bachhawat, 1991). Also contemplated are lipofectamine-DNA complexes.

Liposome-mediated nucleic acid delivery and expression of foreign DNA *in vitro* has been very successful. Wong *et al.*, (1980) demonstrated the feasibility of liposome-mediated 30 delivery and expression of foreign DNA in cultured chick embryo, HeLa and hepatoma cells. Nicolau *et al.*, (1987) accomplished successful liposome-mediated gene transfer in rats after

intravenous injection. A reagent known as Lipofectamine 2000<sup>TM</sup> is widely used and commercially available.

In certain embodiments of the invention, the liposome may be complexed with a hemagglutinating virus (HVJ). This has been shown to facilitate fusion with the cell membrane 5 and promote cell entry of liposome-encapsulated DNA (Kaneda *et al.*, 1989). In other embodiments, the liposome may be complexed or employed in conjunction with nuclear non-histone chromosomal proteins (HMG-1) (Kato *et al.*, 1991). In yet further embodiments, the liposome may be complexed or employed in conjunction with both HVJ and HMG-1. In that 10 such expression constructs have been successfully employed in transfer and expression of nucleic acid *in vitro* and *in vivo*, then they are applicable for the present invention. Where a bacterial promoter is employed in the DNA construct, it also will be desirable to include within 15 the liposome an appropriate bacterial polymerase.

Other expression constructs which can be employed to deliver a nucleic acid encoding a particular gene into cells are receptor-mediated delivery vehicles. These take advantage of the 15 selective uptake of macromolecules by receptor-mediated endocytosis in almost all eukaryotic cells. Because of the cell type-specific distribution of various receptors, the delivery can be highly specific (Wu and Wu, 1993).

Receptor-mediated gene targeting vehicles generally consist of two components: a cell receptor-specific ligand and a DNA-binding agent. Several ligands have been used for receptor-mediated gene transfer. The most extensively characterized ligands are asialoorosomucoid 20 (ASOR) (Wu and Wu, 1987) and transferrin (Wagner *et al.*, 1990). Recently, a synthetic neoglycoprotein, which recognizes the same receptor as ASOR, has been used as a gene delivery vehicle (Ferkol *et al.*, 1993; Perales *et al.*, 1994) and epidermal growth factor (EGF) has also been used to deliver genes to squamous carcinoma cells (Myers, EPO 0273085).

25

## V. Methods of Treating Myocardial Infarction

As discussed above, the present invention provides for new post-MI therapies. In one embodiment of the present invention, methods for the treatment of subjects following an MI provides for one or more of the following outcomes as compared to an untreated patient: 30 increased exercise capacity, increased blood ejection volume, decreased left ventricular end diastolic pressure, decreased pulmonary capillary wedge pressure, increased cardiac output,

improved cardiac index, decreased pulmonary artery pressures, decreased left ventricular end systolic and diastolic dimensions, and decreased left ventricular wall stress, decreased wall tension and decreased wall thickness-same for right ventricle. In addition, the treatment may prevent progression to cardiac hypertrophy and ultimately heart failure.

5 Treatment regimens would vary depending on the clinical situation. However, in general, the treatment would begin at a time following an MI when the patient has been stabilized, but before significant cardiac fibroblast mobilization and scarring has begun. The patient may or may not be undergoing one or more other therapies for either prevention or treatment of an MI, or prevention or treatment of MI-related sequelae. This would mean initiating a treatment within  
10 about 24, 36, 38, 72, 96 hours of an MI, or within about 5, 6, 7, 8, 9 or 10 days of an MI. The therapy may continue for as long as cardiac fibroblasts would be active within the ischemic zone, such as up to 7 days, 14 days 21 days, 28 days, 1 month, 2 months, 3 months or longer.

#### A. Combined Therapies

15 In another embodiment, it is envisioned to use the transcription therapy inhibitor of the present invention in combination with other MI and post-MI therapeutic modalities, such as those discussed above. Combinations may be achieved by contacting cardiac cells/patients with a single composition or pharmacological formulation that includes both agents, or by contacting the cell with two distinct compositions or formulations, at the same time, wherein one  
20 composition includes the expression construct and the other includes the agent. Alternatively, the therapy using transcription factors may precede or follow administration of the other agent(s) by intervals ranging from minutes to weeks. In embodiments where the other agent and transcription factors are applied separately to the cardiac cells/patient, one would generally ensure that a significant period of time did not expire between the time of each delivery, such  
25 that the agent and transcription factors would still be able to exert an advantageously combined effect on the cell. In such instances, it is contemplated that one would typically contact the cell with both modalities within about 12-24 hours of each other and, more preferably, within about 6-12 hours of each other, with a delay time of only about 12 hours being most preferred. In some situations, it may be desirable to extend the time period for treatment significantly,  
30 however, where several days (2, 3, 4, 5, 6 or 7) to several weeks (1, 2, 3, 4, 5, 6, 7 or 8) lapse between the respective administrations.

It also is conceivable that more than one administration of either transcription factors, or the other agent will be desired. In this regard, various combinations may be employed. By way of illustration, where the transcription factors are "A" and the other agent is "B," the following permutations based on 3 and 4 total administrations are exemplary:

5

A/B/A B/A/B B/B/A A/A/B B/A/A A/B/B B/B/B/A B/B/A/B

A/A/B/B A/B/A/B A/B/B/A B/B/A/A B/A/B/A B/A/A/B B/B/B/A

A/A/A/B B/A/A/A A/B/A/A A/A/B/A A/B/B/B B/A/B/B B/B/A/B

Other combinations are likewise contemplated.

10

## B. Standard MI Therapeutic Intervention

15

Therapies for acute myocardial infarction are designed to restore perfusion as soon as possible to rescue the infarcted myocardium. This is typically done by pharmaceutical intervention or by mechanical means, such as percutaneous coronary intervention (PCI) or coronary artery bypass grafting. Recent studies suggest that these treatments are more effective if the following guidelines are followed: <90 min for PCI and <30 min for lytics. Treatments outside these windows were associated with increased mortality and significantly increased risk of readmission for acute myocardial infarction or heart failure.

20

Thrombolytic therapy improves survival rates in patients with acute myocardial infarction if administered in a timely fashion in the appropriate group of patients. If PCI capability is not available within 90 minutes, then choice is to administer thrombolytics within 12 hours of onset of symptoms in patients with ST-segment elevation greater than 0.1 mV in 2 or more contiguous ECG leads, new left bundle-branch block (LBBB), or anterior ST depression consistent with posterior infarction. Tissue plasminogen activator (t-PA) is preferred over streptokinase as achieving a higher rate of coronary artery patency; however, the key lies in speed of the delivery.

25

Aspirin has been shown to decrease mortality and re-infarction rates after myocardial infarction. Again, delivery should be immediate, which should be chewed if possible. The treatment should continue indefinitely in the absence of obvious contraindication, such as a bleeding tendency or an allergy. Clopidogrel may be used as an alternative in cases of a

resistance or allergy to aspirin (dose of 300 mg), but a higher dose of clopidogrel may have added benefit.

5 Platelet glycoprotein (GP) IIb/IIIa-receptor antagonist is another therapy in patients with continuing ischemia or with other high-risk features and to patients in whom a percutaneous coronary intervention (PCI) is planned. Eptifibatide and tirofiban are approved for this use, and abciximab also can be used for 12-24 hours in patients with unstable angina or NSTEMI in whom a PCI is planned within the next 24 hours.

10 Heparin and other anticoagulant agents have an established role as adjunct agents in patients receiving t-PA, but not in patients receiving streptokinase. Heparin is also indicated in patients undergoing primary angioplasty. Low molecular-weight heparins (LMWHs) have been shown to be superior to UFHs in patients with unstable angina or NSTEMI. Bivalirudin, a direct 15 thrombin inhibitor, has shown promise in STEMI if combined with high-dose clopidogrel.

15 Nitrates have no apparent impact on mortality rate in patients with ischemic syndromes, but they are useful in symptomatic relief and preload reduction, so much so that all patients with acute myocardial infarction are given nitrates within the first 48 hours of presentation, unless contraindicated (*i.e.*, in RV infarction). Beta-blockers may reduce the rates of reinfarction and recurrent ischemia, and thus are administered to patients with MIs unless a contraindication is present.

20 ACE inhibitors reduce mortality rates after myocardial infarction and thus are administered as soon as possible as long as no contraindications are and the patient remains stable. ACE inhibitors have the greatest benefit in patients with ventricular dysfunction. Continue ACE inhibitors indefinitely after myocardial infarction. Angiotensin-receptor blockers may be used as an alternative in patients who develop adverse effects, such as a persistent cough, although initial trials need to be confirmed.

25

## **2. PCI and Other Surgical Intervention**

30 PCI is the treatment of choice in most patients with STEMI, assuming a door to balloon time of less than 90 minutes. PCI provides greater coronary patency (>96% thrombolysis), lower risk of bleeding, and instant knowledge about the extent of the underlying disease. Studies have shown that primary PCI has a mortality benefit over thrombolytic therapy. The choice of primary PCI should be individualized to each patient's presentation and timing. Primary PCI is also the

treatment of choice in patients with cardiogenic shock, patients in whom thrombolysis failed, and those with high risk of bleeding or contraindications to thrombolytic therapy.

Emergent or urgent coronary artery graft bypass surgery is indicated in patients in whom angioplasty fails and in patients who develop mechanical complications such as a VSD, LV, or 5 papillary muscle rupture.

### **C. Pharmacological Therapeutic Agents**

Pharmacological therapeutic agents and methods of administration, dosages, *etc.*, are well known to those of skill in the art (see for example, the “Physicians Desk Reference”, Klaassen’s 10 “The Pharmacological Basis of Therapeutics”, “Remington’s Pharmaceutical Sciences”, and “The Merck Index, Eleventh Edition”, incorporated herein by reference in relevant parts), and may be combined with the invention in light of the disclosures herein. Some variation in dosage will necessarily occur depending on the condition of the subject being treated. The person responsible for administration will, in any event, determine the appropriate dose for the 15 individual subject, and such individual determinations are within the skill of those of ordinary skill in the art.

In addition to the transcription factors of the present invention, it should be noted that any of the following may be used to develop new therapeutic regimens in combination with the transcription factors.

#### **20 1. Antihyperlipoproteinemics**

In certain embodiments, administration of an agent that lowers the concentration of one of more blood lipids and/or lipoproteins, known herein as an “antihyperlipoproteinemic,” may be combined with a cardiovascular therapy according to the present invention, particularly in treatment of atherosclerosis and thickenings or blockages of vascular tissues. In certain aspects, 25 an antihyperlipoproteinemic agent may comprise an aryloxyalkanoic/fibric acid derivative, a resin/bile acid sequesterant, a HMG CoA reductase inhibitor, a nicotinic acid derivative, a thyroid hormone or thyroid hormone analog, a miscellaneous agent or a combination thereof.

##### **a. Aryloxyalkanoic Acid/Fibric Acid Derivatives**

30 Non-limiting examples of aryloxyalkanoic/fibric acid derivatives include beclibrate, enzafibrate, binifibrate, ciprofibrate, clinofibrate, clofibrate (atromide-S), clofibrate acid,

etofibrate, fenofibrate, gemfibrozil (lobid), nicofibrate, pirifibrate, ronifibrate, simfibrate and theofibrate.

**b. Resins/Bile Acid Sequesterants**

5 Non-limiting examples of resins/bile acid sequesterants include cholestyramine (cholybar, questran), colestipol (colestid) and polidexide.

**c. HMG CoA Reductase Inhibitors**

10 Non-limiting examples of HMG CoA reductase inhibitors include lovastatin (mevacor), pravastatin (pravochol) or simvastatin (zocor).

**d. Nicotinic Acid Derivatives**

Non-limiting examples of nicotinic acid derivatives include nicotinate, acepimox, 15 nericinol, nicoclonate, nicomol and oxiniacic acid.

**e. Thyroid Hormones and Analogs**

Non-limiting examples of thyroid hormones and analogs thereof include etoroate, thyropropic acid and thyroxine.

**f. Miscellaneous Antihyperlipoproteinemics**

Non-limiting examples of miscellaneous antihyperlipoproteinemics include acifran, azacosterol, benfluorex,  $\beta$ -benzalbutyramide, carnitine, chondroitin sulfate, clomestrol, detaxtran, dextran sulfate sodium, 5,8, 11, 14, 17-eicosapentaenoic acid, eritadenine, furazabol, meglutol, melinamide, mytatrienediol, ornithine,  $\gamma$ -oryzanol, pantethine, pentaerythritol 25 tetraacetate,  $\alpha$ -phenylbutyramide, pirozadil, probucol (lorelco),  $\beta$ -sitosterol, sultosilic acid-piperazine salt, tiadenol, triparanol and xenbucin.

**2. Antiarteriosclerotics**

Non-limiting examples of an antiarteriosclerotic include pyridinol carbamate.

### 3. Antithrombotic/Fibrinolytic Agents

In certain embodiments, administration of an agent that aids in the removal or prevention of blood clots may be combined with administration of a modulator, particularly in treatment of atherosclerosis and vasculature (e.g., arterial) blockages. Non-limiting examples of 5 antithrombotic and/or fibrinolytic agents include anticoagulants, anticoagulant antagonists, antiplatelet agents, thrombolytic agents, thrombolytic agent antagonists or combinations thereof.

In certain aspects, antithrombotic agents that can be administered orally, such as, for example, aspirin and warfarin (coumadin), are preferred.

#### a. Anticoagulants

10 A non-limiting example of an anticoagulant include acenocoumarol, ancrod, anisindione, bromindione, clorindione, coumetarol, cyclocumarol, dextran sulfate sodium, dicumarol, diphenadione, ethyl biscoumacetate, ethylidene dicoumarol, fluindione, heparin, hirudin, lyapolate sodium, oxazidione, pentosan polysulfate, phenindione, phenprocoumon, phosvitin, picotamide, tioclofarol and warfarin.

#### 15 b. Antiplatelet Agents

Non-limiting examples of antiplatelet agents include aspirin, a dextran, dipyridamole (persantin), heparin, sulfinpyranone (anturane) and ticlopidine (ticlid).

#### c. Thrombolytic Agents

20 Non-limiting examples of thrombolytic agents include tissue plaminogen activator (activase), plasmin, pro-urokinase, urokinase (abbokinase) streptokinase (streptase), anistreplase/APSAC (eminase).

### 4. Blood Coagulants

25 In certain embodiments wherein a patient is suffering from a hemorrhage or an increased likelihood of hemorrhaging, an agent that may enhance blood coagulation may be used. Non-limiting examples of a blood coagulation promoting agent include thrombolytic agent antagonists and anticoagulant antagonists.

**a. Anticoagulant Antagonists**

Non-limiting examples of anticoagulant antagonists include protamine and vitamine K1.

**b. Thrombolytic Agent Antagonists and Antithrombotics**

5 Non-limiting examples of thrombolytic agent antagonists include amicaproic acid (amicar) and tranexamic acid (amstat). Non-limiting examples of antithrombotics include anagrelide, argatroban, cilostazol, dalteparin, defibrotide, enoxaparin, fraxiparine, indobufen, lamoparan, ozagrel, picotamide, plafibrate, tedelparin, ticlopidine and trifusal.

**10 5. Antiarrhythmic Agents**

Non-limiting examples of antiarrhythmic agents include Class I antiarrhythmic agents (sodium channel blockers), Class II antiarrhythmic agents (beta-adrenergic blockers), Class III antiarrhythmic agents (repolarization prolonging drugs), Class IV antiarrhythmic agents (calcium channel blockers) and miscellaneous antiarrhythmic agents.

**15 a. Sodium Channel Blockers**

Non-limiting examples of sodium channel blockers include Class IA, Class IB and Class IC antiarrhythmic agents. Non-limiting examples of Class IA antiarrhythmic agents include disopyramide (norpace), procainamide (pronestyl) and quinidine (quinidex). Non-limiting examples of Class IB antiarrhythmic agents include lidocaine (xylocaine), tocainide (tonocard) 20 and mexiletine (mexitol). Non-limiting examples of Class IC antiarrhythmic agents include encainide (enkaid) and flecainide (tambocor).

**b. Beta Blockers**

Non-limiting examples of a beta blocker, otherwise known as a  $\beta$ -adrenergic blocker, a 25  $\beta$ -adrenergic antagonist or a Class II antiarrhythmic agent, include acebutolol (setral), alprenolol, amosulalol, arotinolol, atenolol, befunolol, betaxolol, bevantolol, bisoprolol, bopindolol, bucumolol, bufetolol, bufuralol, bunitrolol, bupranolol, butidrine hydrochloride, butofenolol, carazolol, carteolol, carvedilol, celiprolol, cetamolol, cloranolol, dilevalol, epanolol, esmolol (brevibloc), indenolol, labetalol, levobunolol, mepindolol, metipranolol, metoprolol, 30 moperolol, nadolol, nadoxolol, nifenalol, nifradilol, oxprenolol, penbutolol, pindolol, practolol, pronethalol, propanolol (inderal), sotalol (betapace), sulfinalol, talinolol, tertatolol, timolol,

toliprolol and xibinolol. In certain aspects, the beta blocker comprises an aryloxypropanolamine derivative. Non-limiting examples of aryloxypropanolamine derivatives include acebutolol, alprenolol, arotinolol, atenolol, betaxolol, bevantolol, bisoprolol, bopindolol, bunitrolol, butofilolol, carazolol, carteolol, carvedilol, celiprolol, cetamolol, epanolol, indenolol, 5 mepindolol, metipranolol, metoprolol, moprolol, nadolol, nifradilol, oxprenolol, penbutolol, pindolol, propanolol, talinolol, tertatolol, timolol and toliprolol.

**c. Repolarization Prolonging Agents**

Non-limiting examples of an agent that prolong repolarization, also known as a Class III antiarrhythmic agent, include amiodarone (cordarone) and sotalol (betapace).

10

**d. Calcium Channel Blockers/Antagonist**

Non-limiting examples of a calcium channel blocker, otherwise known as a Class IV antiarrhythmic agent, include an arylalkylamine (e.g., bepridile, diltiazem, fendiline, gallopamil, 15 prenylamine, terodiline, verapamil), a dihydropyridine derivative (felodipine, isradipine, nicardipine, nifedipine, nimodipine, nisoldipine, nitrendipine) a piperazine derivative (e.g., cinnarizine, flunarizine, lidoflazine) or a miscellaneous calcium channel blocker such as bencyclane, etafenone, magnesium, mibebradil or perhexiline. In certain embodiments a calcium channel blocker comprises a long-acting dihydropyridine (nifedipine-type) calcium antagonist.

20

**e. Miscellaneous Antiarrhythmic Agents**

Non-limiting examples of miscellaneous antiarrhythmic agents include adenosine (adenocard), digoxin (lanoxin), acecainide, ajmaline, amoproxan, aprindine, bretylium tosylate, bunaftine, butobendine, capobernic acid, cifenline, disopyranide, hydroquinidine, indecainide, ipatropium bromide, lidocaine, lorajmine, lorcainide, meobentine, moricizine, pirmenol, 25 prajmaline, propafenone, pyrinoline, quinidine polygalacturonate, quinidine sulfate and viquidil.

**6. Antihypertensive Agents**

Non-limiting examples of antihypertensive agents include sympatholytic, alpha/beta blockers, alpha blockers, anti-angiotensin II agents, beta blockers, calcium channel blockers, 30 vasodilators and miscellaneous antihypertensives.

**a. Alpha Blockers**

Non-limiting examples of an alpha blocker, also known as an  $\alpha$ -adrenergic blocker or an  $\alpha$ -adrenergic antagonist, include amosulalol, arotinolol, dapiprazole, doxazosin, ergoloid mesylates, fenspiride, indoramin, labetalol, nicergoline, prazosin, terazosin, tolazoline, 5 trimazosin and yohimbine. In certain embodiments, an alpha blocker may comprise a quinazoline derivative. Non-limiting examples of quinazoline derivatives include alfuzosin, bunazosin, doxazosin, prazosin, terazosin and trimazosin.

**b. Alpha/Beta Blockers**

10 In certain embodiments, an antihypertensive agent is both an alpha and beta adrenergic antagonist. Non-limiting examples of an alpha/beta blocker comprise labetalol (normodyne, trandate).

**c. Anti-Angiotension II Agents**

15 Non-limiting examples of anti-angiotension II agents include include angiotensin converting enzyme inhibitors and angiotension II receptor antagonists. Non-limiting examples of angiotension converting enzyme inhibitors (ACE inhibitors) include alacepril, enalapril (vasotec), captopril, cilazapril, delapril, enalaprilat, fosinopril, lisinopril, moveltopril, perindopril, quinapril and ramipril.. Non-limiting examples of an angiotensin II receptor 20 blocker, also known as an angiotension II receptor antagonist, an ANG receptor blocker or an ANG-II type-1 receptor blocker (ARBS), include angiocandesartan, eprosartan, irbesartan, losartan and valsartan.

**d. Sympatholytics**

25 Non-limiting examples of a sympatholytic include a centrally acting sympatholytic or a peripherally acting sympatholytic. Non-limiting examples of a centrally acting sympatholytic, also known as an central nervous system (CNS) sympatholytic, include clonidine (catapres), guanabenz (wytensin) guanfacine (tenex) and methyldopa (aldomet). Non-limiting examples of a peripherally acting sympatholytic include a ganglion blocking agent, an adrenergic neuron 30 blocking agent, a  $\beta$ -adrenergic blocking agent or a alpha1-adrenergic blocking agent. Non-limiting examples of a ganglion blocking agent include mecamylamine (inversine) and

trimethaphan (arfonad). Non-limiting of an adrenergic neuron blocking agent include guanethidine (ismelin) and reserpine (serpasil). Non-limiting examples of a  $\beta$ -adrenergic blocker include acenitlol (sectal), atenolol (tenormin), betaxolol (kerlone), carteolol (cartrol), labetalol (normodyne, trandate), metoprolol (lopressor), nadanol (corgard), penbutolol (levatol), pindolol (visken), propranolol (inderal) and timolol (blocadren). Non-limiting examples of alpha-1-adrenergic blocker include prazosin (minipress), doxazocin (cardura) and terazosin (hytrin).

**e. Vasodilators**

In certain embodiments a cardiovascular therapeutic agent may comprise a vasodilator (e.g., a cerebral vasodilator, a coronary vasodilator or a peripheral vasodilator). In certain preferred embodiments, a vasodilator comprises a coronary vasodilator. Non-limiting examples of a coronary vasodilator include amotriphene, bendazol, benfurodil hemisuccinate, benziodarone, chloracizine, chromonar, clobenfurol, clonitrate, dilazep, dipyridamole, droprenilamine, efloxate, erythrityl tetranitrate, etafenone, fendiline, floredil, ganglefene, herestrol bis( $\beta$ -diethylaminoethyl ether), hexobendine, itramin tosylate, khellin, lidoflanine, mannitol hexanitrate, medibazine, nicorglycerin, pentaerythritol tetranitrate, pentrinitrol, perhexiline, pimefylline, trapidil, tricromyl, trimetazidine, trolnitrate phosphate and visnadine.

In certain aspects, a vasodilator may comprise a chronic therapy vasodilator or a hypertensive emergency vasodilator. Non-limiting examples of a chronic therapy vasodilator include hydralazine (apresoline) and minoxidil (loniten). Non-limiting examples of a hypertensive emergency vasodilator include nitroprusside (nipride), diazoxide (hyperstat IV), hydralazine (apresoline), minoxidil (loniten) and verapamil.

**f. Miscellaneous Antihypertensives**

Non-limiting examples of miscellaneous antihypertensives include ajmaline,  $\gamma$ -aminobutyric acid, bufeniode, cicletanine, ciclosidomine, a cryptenamine tannate, fenoldopam, flosequinan, ketanserin, mebutamate, mecamylamine, methyldopa, methyl 4-pyridyl ketone thiosemicarbazone, muzolimine, pargyline, pempidine, pinacidil, piperoxan, primaperone, a protoveratrine, raubasine, rescimetol, rilmenidene, saralasin, sodium nitrorusside, ticrynafen, trimethaphan camsylate, tyrosinase and urapidil.

In certain aspects, an antihypertensive may comprise an arylethanolamine derivative, a benzothiadiazine derivative, a *N*-carboxyalkyl(peptide/lactam) derivative, a dihydropyridine derivative, a guanidine derivative, a hydrazines/phthalazine, an imidazole derivative, a quaternary ammonium compound, a reserpine derivative or a sulfonamide derivative.

5 **Arylethanolamine Derivatives.** Non-limiting examples of arylethanolamine derivatives include amosulalol, bufuralol, dilevalol, labetalol, pronethalol, sotalol and sulfinalol.

10 **Benzothiadiazine Derivatives.** Non-limiting examples of benzothiadiazine derivatives include althizide, bendroflumethiazide, benzthiazide, benzylhydrochlorothiazide, buthiazide, chlorothiazide, chlorthalidone, cyclopenthiazide, cyclothiazide, diazoxide, epithiazide, ethiazide, fenquizone, hydrochlorothizide, hydroflumethizide, methyclothiazide, meticrane, metolazone, paraflutizide, polythizide, tetrachlormethiazide and trichlormethiazide.

15 ***N*-carboxyalkyl(peptide/lactam) Derivatives.** Non-limiting examples of *N*-carboxyalkyl(peptide/lactam) derivatives include alacepril, captopril, cilazapril, delapril, enalapril, enalaprilat, fosinopril, lisinopril, moveltipril, perindopril, quinapril and ramipril.

20 **Dihydropyridine Derivatives.** Non-limiting examples of dihydropyridine derivatives include amlodipine, felodipine, isradipine, nicardipine, nifedipine, nilvadipine, nisoldipine and nitrendipine.

25 **Guanidine Derivatives.** Non-limiting examples of guanidine derivatives include bethanidine, debrisoquin, guanabenz, guanacline, guanadrel, guanazodine, guanethidine, guanfacine, guanochlor, guanoxabenz and guanoxan.

**Hydrazines/Phthalazines.** Non-limiting examples of hydrazines/phthalazines include budralazine, cadralazine, dihydralazine, endralazine, hydracarbazine, hydralazine, pheniprazine, pildralazine and todralazine.

30 **Imidazole Derivatives.** Non-limiting examples of imidazole derivatives include clonidine, lofexidine, phentolamine, tiamenidine and tolonidine.

**Quaternary Ammonium Compounds.** Non-limiting examples of quaternary ammonium compounds include azamethonium bromide, chlorisondamine chloride, hexamethonium, pentacyinium bis(methylsulfate), pentamethonium bromide, pentolinium tartrate, phenactropinium chloride and trimethidinium methosulfate.

35 **Reserpine Derivatives.** Non-limiting examples of reserpine derivatives include bietaserpine, deserpidine, rescinnamine, reserpine and syrosingopine.

**Sulfonamide Derivatives.** Non-limiting examples of sulfonamide derivatives include ambuside, clopamide, furosemide, indapamide, quinethazone, triamamide and xipamide.

5 **g. Vasopressors**

Vasopressors generally are used to increase blood pressure during shock, which may occur during a surgical procedure. Non-limiting examples of a vasopressor, also known as an antihypotensive, include amezinium methyl sulfate, angiotensin amide, dimetofrine, dopamine, etifelmin, etilefrin, gepefrine, metaraminol, midodrine, norepinephrine, pholedrine and synephrine.

10

**7. Treatment Agents for Congestive Heart Failure**

Non-limiting examples of agents for the treatment of congestive heart failure include anti-angiotension II agents, afterload-preload reduction treatment, diuretics and inotropic agents.

15

**a. Afterload-Preload Reduction**

In certain embodiments, an animal patient that can not tolerate an angiotension antagonist may be treated with a combination therapy. Such therapy may combine administration of hydralazine (apresoline) and isosorbide dinitrate (isordil, sorbitrate).

20

**b. Diuretics**

Non-limiting examples of a diuretic include a thiazide or benzothiadiazine derivative (e.g., althiazide, bendroflumethiazide, benzthiazide, benzylhydrochlorothiazide, buthiazide, chlorothiazide, chlorothiazide, chlorthalidone, cyclopenthiazide, epithiazide, ethiazide, ethiazide, fenquizone, hydrochlorothiazide, hydroflumethiazide, methyclothiazide, meticrane, metolazone, 25 paraflutizide, polythiazide, tetrachloromethiazide, trichlormethiazide), an organomercurial (e.g., chlormerodrin, meralluride, mercamphamide, mercaptomerin sodium, mercumallylic acid, mercumatilin sodium, mercurous chloride, mersalyl), a pteridine (e.g., furterene, triamterene), purines (e.g., acefylline, 7-morpholinomethyltheophylline, pamobrom, protheobromine, theobromine), steroids including aldosterone antagonists (e.g., canrenone, oleandrin, 30 spironolactone), a sulfonamide derivative (e.g., acetazolamide, ambuside, azosemide, bumetanide, butazolamide, chloraminophenamide, clofenamide, clopamide, clorexolone,

diphenylmethane-4,4'-disulfonamide, disulfamide, ethoxzolamide, furosemide, indapamide, mefruside, methazolamide, piretanide, quinethazone, torasemide, triamterene, xipamide), a uracil (e.g., aminometradine, amisometradine), a potassium sparing antagonist (e.g., amiloride, 5 triamterene) or a miscellaneous diuretic such as aminozone, arbutin, chlorazanil, ethacrynic acid, etozolin, hydracarbazine, isosorbide, mannitol, metochalcone, muzolimine, perhexiline, ticrnafen and urea.

**c. Inotropic Agents**

Non-limiting examples of a positive inotropic agent, also known as a cardiotonic, include acefylline, an acetyl-digitoxin, 2-amino-4-picoline, amrinone, benfurodil hemisuccinate, 10 bucladesine, cerberosine, camphotamide, convallatoxin, cymarin, denopamine, deslanoside, digitalin, digitalis, digitoxin, digoxin, dobutamine, dopamine, dopexamine, enoximone, erythrophleine, fenalcomine, gitalin, gitoxin, glycocyamine, heptaminol, hydrastinine, ibopamine, a lanatoside, metamivam, milrinone, nerifolin, oleandrin, ouabain, oxyfedrine, 15 prenalterol, proscillaridine, resibufogenin, scillaren, scillarenin, strphanthin, sulmazole, theobromine and xamoterol.

In particular aspects, an intropic agent is a cardiac glycoside, a beta-adrenergic agonist or a phosphodiesterase inhibitor. Non-limiting examples of a cardiac glycoside includes digoxin (lanoxin) and digitoxin (crystodigin). Non-limiting examples of a  $\beta$ -adrenergic agonist include albuterol, bambuterol, bitolterol, carbuterol, clenbuterol, clorprenaline, denopamine, 20 dioxethedrine, dobutamine (dobutrex), dopamine (intropin), dopexamine, ephedrine, etafedrine, ethynorepinephrine, fenoterol, formoterol, hexoprenaline, ibopamine, isoetharine, isoproterenol, mabuterol, metaproterenol, methoxyphenamine, oxyfedrine, pirbuterol, procaterol, protokylol, reproterol, rimiterol, ritodrine, soterenol, terbutaline, tretoquinol, tulobuterol and xamoterol. Non-limiting examples of a phosphodiesterase inhibitor include amrinone (inocor).

25

**d. Antianginal Agents**

Antiangular agents may comprise organonitrites, calcium channel blockers, beta blockers and combinations thereof.

Non-limiting examples of organonitrates, also known as nitrovasodilators, include nitroglycerin (nitro-bid, nitrostat), isosorbide dinitrate (isordil, sorbitrate) and amyl nitrate (aspirol, vaporole).

#### **D. Surgical Therapeutic Agents**

5 In certain aspects, the secondary therapeutic agent may comprise a surgery of some type, such as PCI. Surgery, and in particular a curative surgery, may be used in conjunction with other therapies, such as the present invention and one or more other pharmacologic agents. Such surgical approaches for vascular and cardiovascular diseases and disorders are well known to those of skill in the art, and are described elsewhere in this document.

10

#### **E. Drug Formulations and Routes for Administration to Patients**

Where clinical applications are contemplated, pharmaceutical compositions will be prepared in a form appropriate for the intended application. Generally, this will entail preparing compositions that are essentially free of pyrogens, as well as other impurities that could be 15 harmful to humans or animals.

15

One will generally desire to employ appropriate salts and buffers to render drugs, proteins or delivery vectors stable and allow for uptake by target cells. Aqueous compositions of the present invention comprise an effective amount of the drug, vector or proteins, dissolved or dispersed in a pharmaceutically acceptable carrier or aqueous medium. The phrase 20 “pharmaceutically or pharmacologically acceptable” refer to molecular entities and compositions that do not produce adverse, allergic, or other untoward reactions when administered to an animal or a human. As used herein, “pharmaceutically acceptable carrier” includes solvents, buffers, solutions, dispersion media, coatings, antibacterial and antifungal agents, isotonic and absorption delaying agents and the like acceptable for use in formulating pharmaceuticals, such 25 as pharmaceuticals suitable for administration to humans. The use of such media and agents for pharmaceutically active substances is well known in the art. Except insofar as any conventional media or agent is incompatible with the active ingredients of the present invention, its use in therapeutic compositions is contemplated. Supplementary active ingredients also can be incorporated into the compositions, provided they do not inactivate the vectors or cells of the 30 compositions.

The active compositions of the present invention may include classic pharmaceutical preparations. Administration of these compositions according to the present invention may be via any common route so long as the target tissue is available via that route. This includes oral, nasal, or buccal. Alternatively, administration may be by intradermal, subcutaneous, 5 intramuscular, intraperitoneal or intravenous injection, or by direct injection into cardiac tissue. Such compositions would normally be administered as pharmaceutically acceptable compositions, as described *supra*.

The active compounds may also be administered parenterally or intraperitoneally. By way of illustration, solutions of the active compounds as free base or pharmacologically 10 acceptable salts can be prepared in water suitably mixed with a surfactant, such as hydroxypropylcellulose. Dispersions can also be prepared in glycerol, liquid polyethylene glycols, and mixtures thereof and in oils. Under ordinary conditions of storage and use, these preparations generally contain a preservative to prevent the growth of microorganisms.

The pharmaceutical forms suitable for injectable use include, for example, sterile aqueous 15 solutions or dispersions and sterile powders for the extemporaneous preparation of sterile injectable solutions or dispersions. Generally, these preparations are sterile and fluid to the extent that easy injectability exists. Preparations should be stable under the conditions of manufacture and storage and should be preserved against the contaminating action of microorganisms, such as bacteria and fungi. Appropriate solvents or dispersion media may 20 contain, for example, water, ethanol, polyol (for example, glycerol, propylene glycol, and liquid polyethylene glycol, and the like), suitable mixtures thereof, and vegetable oils. The proper fluidity can be maintained, for example, by the use of a coating, such as lecithin, by the maintenance of the required particle size in the case of dispersion and by the use of surfactants. The prevention of the action of microorganisms can be brought about by various antibacterial and 25 antifungal agents, for example, parabens, chlorobutanol, phenol, sorbic acid, thimerosal, and the like. In many cases, it will be preferable to include isotonic agents, for example, sugars or sodium chloride. Prolonged absorption of the injectable compositions can be brought about by the use in the compositions of agents delaying absorption, for example, aluminum monostearate and gelatin.

30 Sterile injectable solutions may be prepared by incorporating the active compounds in an appropriate amount into a solvent along with any other ingredients (for example as enumerated

above) as desired, followed by filtered sterilization. Generally, dispersions are prepared by incorporating the various sterilized active ingredients into a sterile vehicle which contains the basic dispersion medium and the desired other ingredients, *e.g.*, as enumerated above. In the case of sterile powders for the preparation of sterile injectable solutions, the preferred methods of preparation include vacuum-drying and freeze-drying techniques which yield a powder of the active ingredient(s) plus any additional desired ingredient from a previously sterile-filtered solution thereof.

The compositions of the present invention generally may be formulated in a neutral or salt form. Pharmaceutically-acceptable salts include, for example, acid addition salts (formed with the free amino groups of the protein) derived from inorganic acids (*e.g.*, hydrochloric or phosphoric acids, or from organic acids (*e.g.*, acetic, oxalic, tartaric, mandelic, and the like. Salts formed with the free carboxyl groups of the protein can also be derived from inorganic bases (*e.g.*, sodium, potassium, ammonium, calcium, or ferric hydroxides) or from organic bases (*e.g.*, isopropylamine, trimethylamine, histidine, procaine and the like.

Upon formulation, solutions are preferably administered in a manner compatible with the dosage formulation and in such amount as is therapeutically effective. The formulations may easily be administered in a variety of dosage forms such as injectable solutions, drug release capsules and the like. For parenteral administration in an aqueous solution, for example, the solution generally is suitably buffered and the liquid diluent first rendered isotonic for example with sufficient saline or glucose. Such aqueous solutions may be used, for example, for intravenous, intramuscular, subcutaneous and intraperitoneal administration. Preferably, sterile aqueous media are employed as is known to those of skill in the art, particularly in light of the present disclosure. By way of illustration, a single dose may be dissolved in 1 ml of isotonic NaCl solution and either added to 1000 ml of hypodermoclysis fluid or injected at the proposed site of infusion, (see for example, "Remington's Pharmaceutical Sciences" 15th Edition, pages 1035-1038 and 1570-1580). Some variation in dosage will necessarily occur depending on the condition of the subject being treated. The person responsible for administration will, in any event, determine the appropriate dose for the individual subject. Moreover, for human administration, preparations should meet sterility, pyrogenicity, general safety and purity standards as required by FDA Office of Biologics standards.

## VI. Examples

The following examples are included to further illustrate various aspects of the invention. It should be appreciated by those of skill in the art that the techniques disclosed in the examples which follow represent techniques and/or compositions discovered by the inventor to function well in the practice of the invention, and thus can be considered to constitute preferred modes for its practice. However, those of skill in the art should, in light of the present disclosure, appreciate that many changes can be made in the specific embodiments which are disclosed and still obtain a like or similar result without departing from the spirit and scope of the invention.

### Example 1 – Methods

10 Adult TTFs and CFs were isolated by an explanting method in which fibroblasts migrate from minced tissue and grow in fibroblast growth medium. Fibroblasts were transduced with a mixture of polybrene (Sigma; 6 µg/ml) and fresh retroviruses expressing transcription factors, made from Platinum E cells (Cell Biolabs). Twenty-four hours after viral transduction, the viral medium was changed to a cardiac induction medium. The medium was changed every two days.

15 Expression of cardiac genes was analyzed by flow cytometry, real-time PCR, and immunocytochemistry. Adult mice, 8-10 weeks old, underwent either a sham operation or ligation of the LAD at 1.5 mm distal to the left atrial appendage. Concentrated retroviruses (~10<sup>8</sup> pfu viruses) were injected into the border zone using a gastight 1710 syringe (Hamilton). Cardiac function was assessed using echocardiography and hearts were harvested from euthanized

20 animals for histological studies. Isolation of adult cardiomyocytes, electrophysiological measurements, histological and immunohistochemistry analysis were performed as described previously (19-22).

25 **Isolation of primary fibroblasts.** Tail-tip fibroblasts (TTFs): Adult mouse tails were skinned and cut into small pieces which were plated on tissue culture dishes and cultured in DMEM supplemented with 15% FBS and antibiotics. The medium was changed every 2 to 3 days. TTFs migrated out after 2 or 3 days. One week later, TTFs were frozen or replated for viral transduction. Cardiac fibroblasts (CFs): Adult (older than 4 weeks of age) mouse hearts were minced into small pieces and plated on tissue culture dishes. Three minutes later, culture medium (DMEM:199 (4:1), 15% FBS and antibiotics) was gently added to the dishes. CFs started to

migrate out of the minced heart tissue after two days. The medium was replaced every two days. Ten days later, CFs were frozen or replated for viral transduction.

**Generation of retroviruses.** Retroviral plasmid DNA was generated by subcloning EGFP, Myc-tagged Nkx2-5, GATA4, Tbx5, HAND2, MEF2C, and FLAG-tagged MesP1 5 cDNAs into the retroviral vector pBabe-X (Kitamura *et al.*, 1995). Ten micrograms of retroviral plasmid DNA was transfected using Fugene 6 (Roche) into the Platinum E cells (Cell Biolabs) which were plated on a 10-cm tissue culture dish at a density of  $3 \times 10^6$  per dish, 24 hours prior to transfection. Twelve hours after transfection, medium was changed to 12 ml of fresh medium (DMEM supplemented with 10% FBS and antibiotics). After 36 hours of transfection, viral 10 medium was harvested and filtered through a 0.45  $\mu$ m cellulose filter. The viral supernatant was mixed with polybrene (Sigma) to a final concentration of 6  $\mu$ g/ml.

**Viral transduction.** TTFs and CFs were plated on tissue culture dishes pre-coated with SureCoat (Cellutron) at a density of  $0.8 \times 10^4/\text{cm}^2$ . After 24 hours, the fibroblast growth medium was replaced with freshly made viral mixture with polybrene. Twenty four hours later, viral 15 medium was replaced with induction medium, composed of 10% of conditioned medium obtained from neonatal rat/mouse cardiomyocytes, DMEM/199 (4:1), 10% FBS, 5% horse serum, antibiotics, non-essential amino acids, essential amino acids, B-27, insulin-selenium-transferin, vitamin mixture, and sodium pyruvate (Invitrogen). Conditioned medium was filtered through a 0.22  $\mu$ m pore size cellulose filter. Medium was changed every two days until cells 20 were harvested. qPCR, Western blot analysis and immunocytochemistry Total RNA was extracted from cultured cells and cDNA was synthesized by reverse transcription. All qPCR probes were obtained from Applied Biosystems. Western blots were performed with anti-Myc (Santa Cruz, clone A-14 1:1000), and anti-FLAG (Sigma, 1:2000) antibody. For immunocytochemistry, cells were fixed in 4% paraformaldehyde and incubated with primary 25 antibodies: anti-GFP (Torrey Pines Biolabs 1:400), anti-cTnT (Thermo Scientific 1:400), anti-Myc (Santa Cruze, clone A-14 1:200), anti-P4HB (ProteinTech, 1:200), and anti- $\alpha$ -actinin (Sigma, 1:400). After washing with PBS, Alexa fluorogenic secondary antibodies (Invitrogen) were used to detect the signal.

**DNA microarray.** Total RNA was isolated from uninfected CFs, CFs transduced with either empty vector or GHMT retroviruses and adult heart. Microarray analysis was performed on the platform of illumina Mouse-6 Beadchip by the DNA Microarray Core Facility at University of Texas Southwestern Medical Center. Data were analyzed using GeneSpring GX 5 software (Agilent).

**Flow cytometry analysis.** For the initial assay to detect  $\alpha$ MHC-GFP expression, adherent cells were washed with PBS and detached from culture dish by treatment with accutase (Millipore) for 10 min at 37 °C. Cells were then washed with 2% FBS in PBS and filtered through a cell strainer. Cells were incubated with propidium iodide (1:1000 dilution in 1% FBS in PBS) for 15 min at room temperature. Dead cells were excluded by propidium iodide staining and live cells were analyzed for GFP expression using FACS Caliber (BD Sciences) and FlowJo software. For intracellular staining for cardiac specific markers, cells were fixed with 4% paraformaldehyde for 15 min after being harvested as described above. Fixed cells were washed with PBS and permeabilized with saponin for 10 min at room temperature. After being washed 10 with PBS, cells were incubated with 5% goat and donkey serum in PBS at room temperature for 15 30 min followed by incubation with primary antibodies (rabbit polyclonal anti-GFP antibody (Invitrogen) at a 1:100 dilution and mouse monoclonal anti-cTnT antibody (Thermo Scientific) at a 1:400 dilution in 0.2% goat and donkey serum in PBS) for 30 min at room temperature. After being washed 20 with PBS twice, cells were incubated with secondary antibodies for 30 min at room temperature. Secondary antibodies were goat anti-rabbit Alexa fluor 488 (Invitrogen) at a 1:200 dilution and donkey anti-mouse Cy5 (Jackson Laboratory) at a 1:400 dilution in PBS containing 0.2% goat and donkey serum. Cells were washed with PBS three times, and then 25 analyzed for GFP and cTnT expression using FACS Caliber (BD sciences) and FlowJo software.

**Sorting of TCF21-expressing cells and gene expression.** Three month-old *Tcf21iCre/+*: 25 *R26RtdT* mice were induced with 0.2 mg/gm tamoxifen for 3 consecutive days by gavaging and a week later, hearts were isolated and processed (atria and aorto-pulmonary trunk were removed) to generate single cell suspension for FACS sorting as described previously (Russell *et al.*, 2011). The suspension was filtered through tissue strainers, centrifuged at 400g for 5 minutes and resuspended in 10% CM media (10% Hyclone FBS, 3:1 DMEM/M-199, 1% 1 mol/L 30 HEPES, 1.2% antibiotic/antimycotic) before sorting with a MoFlo flow cytometer (Cytomation

Inc) using Summit software. For transcript analysis, sorted cells were collected into lysis buffer for RNA extraction (RNAqueous Micro kit from Ambion). A fraction of each sample was also collected into PBS for post sort assessment of purity. Complimentary DNA was synthesized using Superscript III reverse transcriptase (Invitrogen) and random hexamers (Roche). Gene expression profiles were generated using standard qPCR methods with iTAQ SYBR Green master mix (Bio-Rad) on a CFX96 instrument (Bio-Rad). Samples were run in triplicate and normalized to cyclophilin expression. -Fold enrichment was determined with respect to the unsorted population.

**MI-surgery and intramyocardial injection of retroviruses.** Mice were anesthetized with isoflurane, intubated with a polyethylene tube (size 60), and then ventilated with a volume-cycled rodent respirator with a 2–3 ml/cycle at a respiratory rate of 120 cycles/min. Thoracotomy was performed at the third intercostals space and self-retaining microretractors were placed to separate the third and fourth rib to visualize the LAD. A 7.0 prolene suture (Ethicon, Johnson & Johnson, Brussels, Belgium) was then passed under the LAD at 1.5 mm distal to the left atrial appendage, immediately after the bifurcation of the left main coronary artery. The LAD was doubly ligated. The occlusion was confirmed by the change of color (becoming paler) of the anterior wall of the left ventricle. Sham-operated mice underwent the same procedure without ligation. Immediately after ligation of the LAD, 50 µl of concentrated retrovirus were injected into the border zone of MI at 5 different areas using a gastight 1710 syringe (Hamilton). The chest wall was then closed with a 5.0 Dexon absorbable suture (Tyco Healthcare, United States Surgical, USA), and the skin was closed with Topical Tissue Adhesive (Abbott Laboratory, IL, USA). Mice were extubated and allowed to recover from surgery under a heating lamp. The mouse surgeon was blinded to the study. Mice with FS>30% 1 day post-MI were removed from the study.

**Transthoracic echocardiography.** Cardiac function was evaluated by two-dimensional transthoracic echocardiography on conscious mice using a VisualSonics Vevo2100 imaging system. Fractional shortening (FS) and ejection fraction (EF) were used as an index of cardiac contractile function. M-mode tracings were used to measure LV internal diameter at end diastole (LVIDd) and end systole (LVIDs). FS was calculated according to the following formula: FS (%) =

$$[(LVIDd - LVIDs)/LVIDd] \times 100$$

EF is estimated from (LVEDV-LVESV)/LVEDV 100%. Left ventricular end systolic, LVESV; end diastolic volume, LVEDV. All measurements were performed by an experienced operator blinded to the study.

5       **Cardiac MRI.** Six and twelve weeks after MI, the cardiac function of mice was re-evaluated by cardiac MRI using a 7T small animal MR scanner (Varian, Inc, Palo Alto, CA) with a 38 mm birdcage RF coil. Under anesthesia by inhalation of 1.5–2% isoflurane mixed in with medical-grade air via nose-cone, the animals were placed prone on a mouse sled, (Dazai Research Instruments) equipped with a pneumatic respiratory sensor and ECG electrodes for 10 cardiac sensing, head first with the heart centered with respect to the center of the RF coil. The mouse chests were shaved and a conducting gel was applied to optimize ECG contact between electrodes and mouse. All MRI acquisitions were gated using both cardiac and respiratory triggering. The bore temperature was kept at 35 °C to assure adequate and constant heart rate. 15 Two-dimensional (2D) gradient echo images on three orthogonal planes (transverse, coronal and sagittal) were acquired to determine the long-axis of the heart in each mouse. Axial images perpendicular to the long axis of the heart were chosen for cine-imaging. Cine images at 12 phases per cardiac cycle was obtained with an echo time of 2.75 ms, repetition time = EKG R-R interval /12, flip angle of 45°, and NEX= 4. Each scan consisted of seven to ten contiguous slices from apex to LV outflow with 1 mm thickness, a matrix size of 128 × 128, and a field of view of 20 30 x 30 mm. Epicardial and endocardial borders were manually traced for calculation of left ventricular end systolic and end diastolic volume (LVESV, LVEDV) using NIH ImageJ software. Total LV volumes were calculated as the sum of all slice volumes. Stroke volume was calculated by the equation, LVEDV-LVESV. EF was calculated by the equation, (LVEDV-LVESV)/LVEDV 100%. Investigators performing MRI acquisition and analysis were blinded to 25 the assignment of mice group.

**Induction of Cre by tamoxifen administration.** Tamoxifen (Sigma) was dissolved in sesame oil (90%) and ethanol (10%) at a concentration of 50 mg/ml. To induce Cre activity, tamoxifen (0.2 mg/g body weight) was administered by gavage with a 22-gauge feeding needle into mice bearing Tcf21iCre<sup>+/+</sup>:R26RtdT or  $\alpha$ MHC-MerCreMer (Sohal *et al.*, 2001); Rosa26-

LacZ for three to five or seven consecutive days, respectively. Mice were analyzed or subjected to MI surgery at day 8 post-oral gavage of the last dosage.

**Isolation of adult mouse cardiomyocytes, measurement of cardiomyocyte sarcomere shortening and  $\text{Ca}^{2+}$  transients.** Mouse cardiomyocytes were isolated using enzymatic digestion and mechanical dispersion methods previously described<sup>21</sup>. In brief, after retrograde perfusion with  $\text{Ca}^{2+}$ -free Krebs-Ringer buffer (KR, 35 mM NaCl, 4.75 mM KCl, 1.19 mM  $\text{KH}_2\text{PO}_4$ , 16 mM  $\text{Na}_2\text{HPO}_4$ , 134 mM sucrose, 25 mM  $\text{NaCO}_3$ , 10 mM Glucose, 10 mM HEPES, pH 7.4, with NaOH) and following collagenase solution (Collagenase II, 8 mg/mL), the LV myocytes were separated using a fine scalpel and scissors. After gentle trituration, cells were kept in KB solution (10 mM Taurine, 70 mM Glutamic acid, 25 mM KCl, 10 mM  $\text{KH}_2\text{PO}_4$ , 22 mM Glucose, 0.5 mM EGTA, pH 7.2 with KOH) and studied within 6 hrs at room temperature. To examine myocyte contractile capacity, isolated cardiomyocytes were incubated with 33  $\mu\text{m}$  C12FDG for 30 mins. The green C12FDG+ cardiomyocytes were identified by a fluorescence microscope. Adult cardiomyocytes from wild type mice incubated with C12FDG were used to determine autofluorescence of cardiomyocytes. C12FDG+ cardiomyocytes and C12FDG- cardiomyocytes were field stimulated at 1 Hz while being superfused with extracellular buffer at room temperature. Images were acquired at 240 Hz through a x60 microscope objective using a variable field rate CCD camera (IonOptix, Milton, MA). Cell length was measured by a video edge-detection system, using an IonOptix interface system. Real-time Fourier analyses of images of cardiomyocytes were performed to measure their sarcomere lengths and contraction profiles. The traces were recorded at steady-state conditions. These were achieved normally after 5 min of stimulation. Only rod-shaped, clearly striated cardiomyocytes that were  $\text{Ca}^{2+}$  tolerant were used in the experiments. Intracellular  $\text{Ca}^{2+}$  transients were measured as previously described (Tandan *et al.*, 2009; Laugwitz *et al.*, 2005; Luo *et al.*, 2005; Grynkiewicz *et al.*, 1985). Cells are loaded with 5  $\mu\text{M}$  fura-2-acetoxymethyl ester (Fura-2 AM) in extracellular buffer (140 mM NaCl, 5 mM KCl, 1.8 mM  $\text{CaCl}_2$ , 1 mM  $\text{MgCl}_2$ , 10 mM glucose and 10 mM Hepes) containing 0.1% BSA and 1% pyruvate for 30 min at room temperature while shielded from light, then cells are washed with extracellular buffer twice and kept in this buffer with 0.1% BSA and 1% pyruvate until use. Cells are plated on 0.01% polylysine plus 0.1% gelatin coated glass coverslips (Deckgläser, Germany). The glass coverslip is fit in the bottom of a perfusion chamber. The cells are perfused with extracellular buffer at room temperature. Fura-2 fluorescence is measured by illuminating

the cells with an alternating 340/380 nm light every 1–2s. Changes in intracellular  $\text{Ca}^{2+}$  concentration ( $[\text{Ca}^{2+}]$ ) are derived from changes in the ratio of fluorescence intensity at 340 and 380 nm. The fluorescence ratio  $R = (\text{fluorescence intensity at 340 nm excitation} - \text{background intensity at 340 nm excitation}) / (\text{fluorescence intensity at 380 nm} - \text{background intensity at 380 nm})$  were calibrated in  $[\text{Ca}^{2+}]$  using the following equation (Grynkiewicz *et al.*, 1985):

$$[\text{Ca}^{2+}] = \frac{K_d * \beta * (R - R_{\min})}{(R_{\max} - R)}$$

where  $R_{\min}$  and  $R_{\max}$  are the ratios obtained, respectively, in the absence of  $\text{Ca}^{2+}$  (solution devoid of  $\text{Ca}^{2+}$  and containing 10 mM EGTA, 10  $\mu\text{M}$  ionomycin and 40  $\mu\text{M}$  BAPTA-AM) and at saturating  $\text{Ca}^{2+}$  (solution containing 2 mM  $\text{Ca}^{2+}$  and 10  $\mu\text{M}$  ionomycin).  $K_d = 224 \text{ nM}$  is the 10 dissociation constant of Fura-2 and  $\beta = (\text{fluorescence intensity at 380 nm excitation in absence of } \text{Ca}^{2+} / \text{fluorescence intensity at 380 nm excitation at saturating } \text{Ca}^{2+})$ . Analyses were carried out with the specialized data analysis software (IonWizard, IonOptix Corp.).

**Calcium transient measurements in spontaneous beating cardiomyocytes.** For calcium imaging, beating iCLMs, cultured neonatal mouse atria myocytes, neonatal mouse ventricular cardiomyocytes, or isolated adult mouse ventricular cardiomyocytes were loaded with 5  $\mu\text{M}$  Fura-2 AM (Invitrogen) together with 0.1% Pluronic F-127 (Invitrogen) in modified Tyrode solution (140 mM NaCl, 5 mM KCl, 1.8 mM  $\text{CaCl}_2$ , 1 mM  $\text{MgCl}_2$ , 10 mM glucose, and 10 mM Hepes, pH 7.4) containing 0.1% BSA and 1% pyruvate for 30 min at 37 °C while shielded from light. Before imaging, the cells were washed and allowed to de-esterify the Fura-2 15 AM for 30 minutes in Tyrode solution at room temperature as described previously (Luo *et al.*, 2005).  $\text{Ca}^{2+}$  imaging was performed using the PTI (Photon Technology International)  $\text{Ca}^{2+}$  Imaging System (Birmingham, NJ) with an automated fluorescence microscope and a CCD camera. A glass coverslip was inserted into the bottom of a perfusion chamber. The cells were 20 perfused with modified Tyrode solution, and calcium transients in individual spontaneous beating cell were calculated by measurement of  $\text{Ca}^{2+}$ -induced fluorescence at both 340 and 380 25 nm.

### Example 2 - Results

A core set of evolutionarily conserved transcription factors (GATA4, HAND2, MEF2, MesP1, Nkx2-5, and Tbx5) controls cardiac gene expression and heart development (Olson, 2006; Wu, 2008). Recently, GATA4, MEF2C, and Tbx5 were reported to be capable of 5 converting fibroblasts to cardiomyocyte-like cells *in vitro*<sup>7</sup>. The inventors sought to define the optimal combination of core cardiac transcription factors necessary and sufficient for reprogramming of fibroblasts into beating cardiac-like myocytes and to determine if these factors could restore cardiac function to injured hearts through reprogramming of endogenous cardiac fibroblasts to cardiomyocyte-like cells *in vivo*. Toward this goal, the inventors generated 10 retroviruses to express each of the six core cardiac transcription factors (GATA4 (G), and HAND2 (H), MEF2C (M), MesP1 (Ms), Nkx2-5 (N), and Tbx5 (T)) in fibroblasts derived from mice bearing a cardiac-specific  $\alpha$ MHC-GFP transgene (FIGS. 5A-D and 6).

Potential cardiogenic activity of the above 6 factors (G, H, M, Ms, N, T) in adult mouse tail-tip fibroblasts (TTFs) was quantified by analysis of GFP+ cells by flow cytometry after 9 15 days of transduction. No GFP+ cells were observed in fibroblast cultures transduced with viral backbone or without infection. However, the 6 factors together generated a small population of cells (~6%) positive for GFP (FIGS. 7A-B). After several rounds of withdrawing one factor, the inventors identified multiple combinations, including GHMMsT, GHMT, HMMsT, GMT, HMT, and MT, that were capable of inducing a high percentage of  $\alpha$ MHC-GFP+ cells (FIGS. 7A-B). 20 The cooperativity amongst the different factors is consistent with their ability to synergistically activate cardiac gene expression and to activate each other's expression (Olson, 2006; Zang *et al.*, 2004; Dai *et al.*, 2002; Maitra *et al.*, 2009; Ghosh *et al.*, 2009).

To determine whether the above factor combinations could activate endogenous cardiac-specific genes in adult TTFs, the inventors examined expression of cardiac troponin T (cTnT) 25 and  $\alpha$ MHC-GFP by flow cytometry (FIG. 8). GHMT induced ~9.2% of cells to become positive for both  $\alpha$ MHC-GFP and cTnT. The inventors refer to cells expressing at least one endogenous cardiomyocyte marker, cTnT or  $\alpha$ -actinin, as induced cardiac-like myocytes (iCLMs). By comparison, GMT induced ~2.9% of cells to adopt a cTnT+/ $\alpha$ MHC-GFP+ phenotype (FIG. 8). The percentage of cTnT+/ $\alpha$ MHC-GFP+ iCLMs generated from adult TTFs by GHMT (9.2%) is 30 ~4-fold higher than previously reported for neonatal TTFs with GMT (2.5%)<sup>7</sup>. The percentage

of cells expressing cTnT and  $\alpha$ MHC-GFP reached a peak at day 7 of transduction, and declined with time due to overgrowth of non-reprogrammed fibroblasts (FIGS. 9A-B).

Cardiac fibroblasts (CFs) are the most prevalent interstitial cell type in adult mammalian hearts. To examine whether GHMT could activate cardiac gene expression in CFs, the inventors 5 transduced adult CFs with GHMT, GMT or empty viruses and expression of cardiac markers was analyzed by flow cytometry one week later. GHMT induced 6.8% of CFs to become cTnT+/ $\alpha$ MHC-GFP+, compared with 1.4% double-positive cells with GMT (FIG. 10A). GHMT induced cTnT and cTnI (cardiac Troponin I) in 7.5% of cells (FIG. 10B). Thus, GHMT represented the most optimal combination of factors for efficient initiation of cardiac gene 10 expression in adult fibroblasts.  $\alpha$ MHC-GFP+ cells derived from adult TTFs and CFs by GHMT transduction showed strong immunostaining of the sarcomeric proteins  $\alpha$ -actinin and cTnT (FIGS. 1A-B and 11). In the presence of GHMT, approximately 10% of CFs or 12% of TTFs became  $\alpha$ -actinin+ and ~12% or ~10%, respectively, of these displayed organized sarcomere-like structures (FIGS. 12A-C).

15 Microarray and qPCR analysis of gene expression patterns showed expression of a broad range of cardiac genes, indicative of a ventricular phenotype, and concomitant suppression of non-myocyte genes including FSP1 (fibroblast-specific protein 1/S100A4) in fibroblasts transduced with GHMT (FIGS. 13A-15C). Following maintenance of GHMT-transduced CFs or TTFs in culture for 5 weeks, the inventors observed spontaneous contractions, calcium transients 20 and action potentials in 0.1% - 1% of iCLMS that displayed sarcomeric structures (FIGS. 1C and 16). iCLMs displayed a pattern of calcium transients most similar to neonatal ventricular cardiomyocytes (FIG> 1C). Transduction with inducible expression vectors showed that the cardiomyocyte-like phenotype was stable following termination of exogenous GHMT expression (FIGS. 17A-B).

25 Co-staining for cardiomyocyte markers and Myc-tagged GHMT suggests that induction of the cardiomyocyte-like phenotype by GHMT is cell-autonomous (FIGS. 18A-B). Together, these results indicate that GHMT can activate cardiac gene expression in a sub-population of TTFs and CFs. The relatively small percentage of cells that adopts the cardiac-like phenotype

perhaps indicates a precise stoichiometry of the cardiac factors required for phenotypic conversion, which is achieved in only a fraction of cells (FIG. 1D).

Following MI or other forms of cardiac injury, cardiomyocytes are lost and CFs are activated to produce collagen and other extracellular matrix components, causing fibrosis and impaired cardiac function (Porter and Turner, 2009). To determine whether reprogramming CFs to a cardiomyocyte fate might blunt the decline in cardiac function post-MI, the inventors expressed the transcription factors in CFs and other dividing cells *in vivo* using a retrovirus expression system, which directs expression only in replicating cells (Miller *et al.*, 1990) (FIG. 19A). Adult mammalian cardiomyocytes do not divide and are therefore resistant to retroviral expression. The inventors confirmed the specificity of retroviral infection for replicating non-cardiomyocytes by injecting concentrated GFP retroviruses into injured hearts after ligation of the left anterior descending coronary artery (LAD), which induces MI. GFP expression was clearly detected in the ischemic area (FIG. 19B).

However, none of the GFP+ cells expressed the cardiac marker, cTnT, consistent with the specificity of retroviral infection for proliferating non-cardiomyocytes (FIG. 19C). 745.6 ± 430.7 GFP+ non-cardiomyocytes were detected per heart sections (FIG. 19D). Co-injection of GHMT viruses with a Tomato virus marker, followed by isolation of cardiomyocytes from hearts 30 days later, showed that Tomato was expressed in *bona fide* cardiomyocytes (FIG. 2A), indicative of newly formed cardiomyocytes induced by GHMT.

FSP1 is expressed in non-cardiomyocytes such as fibroblasts and transitioning epithelia (Bhowmick *et al.*, 2004; Zeisberg *et al.*, 2007; Schneider *et al.*, 2007). In mouse and human hearts, expression of FSP1 primarily colocalizes with markers of CFs and increases following MI (Schneider *et al.*, 2007). Non-cardiomyocytes in mice carrying alleles of FSP1-Cre and Rosa26-LacZ are specifically labeled with β-galactosidase (β-gal), providing a reliable marker for fibroblast lineage tracing (Bhowmick *et al.*, 2004). To trace the fate of cardiac fibroblasts expressing cardiac transcription factors *in vivo*, the inventors performed LAD ligation on FSP1-Cre/Rosa26-LacZ mice and injected concentrated retroviruses encoding GHMT or GFP into the border zone immediately following LAD ligation. The inventors analyzed β-galactosidase activity in histological sections of hearts. In uninjured hearts, less than one β-gal+ cardiomyocyte

per section was observed. After injury,  $\beta$ -gal expression was readily detected in CFs throughout the infarct zone (FIGS. 2B-C).

Between 0 and 5 sparsely distributed  $\beta$ -gal+ cardiomyocytes in myocardium of left ventricle (LV) per section were observed in injured hearts infected with GFP viruses ( $0.4 \pm 1.2$   $\beta$ -gal+ cardiomyocytes/section), which may be due to low level ectopic activation. In contrast, abundant clusters of intensely stained  $\beta$ -gal+ cardiomyocytes were observed throughout the infarct and border zone of injured hearts infected with the GHMT retrovirus cocktail ( $64.8 \pm 12.1$   $\beta$ -gal+ cardiomyocytes/section) (FIGS. 2B-C). As mentioned before, retrovirus infected ~745 non-cardiomyocytes per section (Supplementary FIG. 15d), suggesting that GHMT 5 reprogrammed ~8.7% of infected cells into  $\beta$ -gal+ cardiomyocytes *in vivo*. Generally, more  $\beta$ -gal+ cardiomyocytes were observed in the border zone adjacent to the infarct region, which may be due to intact vascular structures or higher viral infection in this region. Similar results were obtained upon injection with GHMMsT, whereas the inclusion of Nkx2-5 (GHMMsNT) 10 diminished the efficacy of the other five factors (FIGS. 20A-B), as seen *in vitro*.  $\beta$ -gal+ cardiomyocytes expressed cTnT and showed clear striations (FIG. 2C). 15

As an independent marker of cardiac fibroblasts, the inventors generated a strain of mice harboring an inducible MerCreMer expression cassette inserted by homologous recombination into the Tcf21 (capsulin/epicardin) locus (Tcf21iCre) (FIGS. 21A-B). Intercrossing of these mice with mice bearing R26RtdTomato showed specific expression of Tomato fluorescence 20 predominantly in cardiac fibroblasts (FIGS. 21C-E), but the inventors rarely observed Tomato expression in cardiomyocytes of normal hearts ( $0.4 \pm 0.8$  Tomato+ cardiomyocytes per section, FIG> 2F). Tcf21iCre is a valuable genetic tool for spatiotemporal lineage tracing cardiac fibroblasts (Acharya *et al.*, 2011). Tcf21iCre; R26RtdT mice were treated with tamoxifen for three days to mark Tcf21-expressing cells. Eight days after the last tamoxifen treatment, LAD 25 ligation was performed and animals were injected with GFP or GHMT viruses and analyzed 20 days later (FIG> 22).

Numerous Tomato+ cardiomyocytes were observed in GHMT-injected hearts ( $10.1 \pm 2.5$  Tomato+ cardiomyocytes per section) compared to GFP-injected ones ( $0.5 \pm 0.7$  Tomato+ cardiomyocytes per section) (FIGS. 2E-F). These findings suggest that forced expression of

GHMT in cardiac fibroblasts of the heart is sufficient to induce the expression of cardiac markers. To further test whether GHMT indeed promoted the formation of new cardiomyocytes following MI, the inventors utilized mice with an inducible  $\alpha$ MHC-MerCreMer transgene and floxed Rosa26-LacZ reporter. Gavage of these mice with tamoxifen for seven consecutive days 5 resulted in labeling of  $87.7 \pm 1.9\%$  of cardiomyocytes in LV myocardium. Following LAD ligation and injection of GFP retroviruses, the inventors observed a reduction in  $\beta$ -gal-labeled cardiomyocytes ( $83.2 \pm 4.6\%$ ) in the border zone adjacent to the infarct, suggesting replenishment of cardiomyocytes following injury, as described previously (Hsieh *et al.*, 2007; Loffredo *et al.*, 2011). Injection of GHMT retroviruses further reduced the percentage of  $\beta$ -gal- 10 positive cardiomyocytes in the border zone ( $76.0 \pm 5.2\%$ ) (FIG. 23).

These findings suggest that GHMT promotes the formation of new cardiomyocytes from a non- $\alpha$ MHC lineage *in vivo* following injury. These findings also argue against cell fusion as a possible artifact in interpreting the reprogramming results. The inventors also tested whether other non-myocyte populations were susceptible to reprogramming by GHMT *in vivo*. Injection 15 of cardiotoxin into skeletal muscle results in myofiber degeneration and activation of local fibroblasts, satellite cells and recruitment of inflammatory cells. Injection of GHMT retroviruses into cardiotoxin-injured hindlimb of mice led to efficient formation of iCLMs (FIG. 24 and FIGS. 3G-I).

In the heart, gap junctions composed of connexins ensure electrical and metabolic 20 coupling between cardiomyocytes and coordinate their contractility. To determine whether reprogrammed cardiomyocytes could couple with surrounding endogenous myocytes through gap junctions, the inventors performed immunostaining for Connexin 43 (Cx43), the major connexin in functional cardiomyocytes (Saffitz *et al.*, 2000). Gap junctions were observed between  $\beta$ -gal+ and  $\beta$ -gal- cardiomyocytes and between  $\beta$ -gal+ cardiomyocytes (FIG. 3A), 25 indicative of coupling of reprogrammed cardiomyocytes with surrounding myocytes. Reprogrammed  $\beta$ -gal+ cardiomyocytes were isolated from LV myocardium and identified by labeling with a fluorogenic, lipophilic  $\beta$ -gal substrate (C12FDG). These cells displayed a rod-like appearance characteristic of mature cardiomyocytes and 71.4% of reprogrammed  $\beta$ -gal+ cardiomyocytes (type A iCLMs) displayed a pattern of contractility and calcium transients 30 similar to normal ventricular cardiomyocytes in response to electrical pacing at 1 Hz (FIG. 3B),

indicating their functionality. However, 28.6% of reprogrammed  $\beta$ -gal+ cardiomyocytes (type B iCLMs) display immature functionality in response to electrical pacing at 1 Hz (FIG. 3B).

In a blinded analysis, the inventors examined whether forced expression of GHMT in noncardiomyocytes could lead to measurable improvement in function of ischemic hearts.

5 Cardiac function following MI was assessed by fractional shortening (FS), ejection fraction (EF), and stroke volume using echocardiography and magnetic resonance imaging (MRI). Twenty-four hours after LAD ligation, FS and EF assessed by echocardiography of all mice decreased by 40-50% relative to sham-operated mice. Thereafter, cardiac function of GFP-injected mice continued to decline, reaching a stable value 2 weeks post-MI; FS ~13% and EF ~ 30%. In  
10 contrast, infection of injured myocardium with GHMT retroviruses blunted further worsening of cardiac function 3 weeks post-MI; FS ~26% and EF ~51% (FIG. 4A). To determine whether this effect persists long-term, assessed cardiac function at 6 weeks and 12 weeks by EF and stroke volume using cardiac MRI. EF of GFP-injected mice decreased to reach a stable value of ~28% 6 weeks post-MI (FIG. 4B). In contrast, infection of injured myocardium with GHMT blunted  
15 worsening of EF 6 weeks post-MI (~49%) with further significant improvement at 12 weeks post-MI (~57%) (FIG. 4B). This long-term effect on EF by GHMT was accompanied by significant increases in stroke volume at 12 weeks compared to those values at 6 weeks (FIG. 4B). Individual mice in each group demonstrated similar functional changes in cardiac parameters, indicating the reliability of cardiac MRI to assess cardiac function (FIGS. 25A-B).  
20 These data suggest that expression of GHMT in non-cardiomyocytes in injured hearts can sustain cardiac function. Injection of GHMT into injured hearts continues to improve cardiac function after 6 weeks, which may be due to progressive maturation of iCLMs over time. Moreover, GHMT- and GHMMsT-infected hearts showed a pronounced reduction in fibrosis, compared with GFP-infected hearts after MI (FIGS. 4C-D and 26).

25 These results demonstrate that GATA4, HAND2, MEF2C, and Tbx5 (GHMT) can reprogram CFs into functional cardiomyocytes *in vitro* and *in vivo*. Exogenous GHMT expression in the heart post-MI reduces fibrosis and improves cardiac function. Improvement of cardiac function post-MI by different factor combinations correlated with their ability to convert fibroblasts into iCLMs *in vitro* (FIG. 27), suggesting that cardiac repair results, at least in part, 30 from reprogramming of non-cardiomyocytes toward a cardiomyocyte fate. However, it is also

conceivable that other mechanisms, such as a blockade to the activation of CFs, enhanced survival of cardiomyocytes, facilitated differentiation of activated cardiac progenitors into cardiomyocytes, as described previously (Hsieh *et al.*, 2007; Loffredo *et al.*, 2011), or improved angiogenesis (FIG. 28) contribute to the benefits observed upon expression of these factors in the heart post-MI. The reprogramming strategy presented here provides a potential means of improving cardiac function *in vivo*, bypassing some of the limitations of cellular transplantation.

FIG. 31 shows flow cytometry analyses that demonstrate the use of five factors (GHMT and MYOCD) to obtain optimal cardiac gene activation in human neonatal foreskin fibroblasts. FIG. 32 shows flow cytometry analyses that demonstrate the use of five factors (GHMT and MRTF-A) to obtain optimal cardiac gene activation in human neonatal foreskin fibroblasts. FIG. 10 33 shows immunostaining of cells reprogrammed with GHMT and MYOCD. The cardiac marker,  $\alpha$ -actinin, demonstrates sarcomere like structure on human foreskin derived reprogrammed cells with GHMT and MYOCD. FIG. 34 shows flow cytometry showing activatin of cardiac gene expression. Analyses show the activation of Tropomyoson (Top) and 15 cTnT (Bottom) in adult human cardiac fibroblasts with GHMT and MYOCD. FIG. 35 showsn immunostaining of cells reprogrammed with GHMT and MYOCD. Cardiac marker,  $\alpha$ -actinin, demonstrates sarcomere-like structure on adult human cardiac fibroblast derived reprogrammed cells with GHMT and MYOCD.

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All of the compositions and methods disclosed and claimed herein can be made and 25 executed without undue experimentation in light of the present disclosure. While the compositions and methods of this invention have been described in terms of preferred embodiments, it will be apparent to those of skill in the art that variations may be applied to the compositions and methods, and in the steps or in the sequence of steps of the methods described herein without departing from the concept, spirit and scope of the invention. More specifically, it will be apparent that certain agents which are both chemically and physiologically related may 30 be substituted for the agents described herein while the same or similar results would be achieved. All such similar substitutes and modifications apparent to those skilled in the art are deemed to be within the spirit, scope and concept of the invention as defined by the appended claims.

## VII. References

The following references, to the extent that they provide exemplary procedural or other details supplementary to those set forth herein, are specifically incorporated herein by reference.

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**WHAT IS CLAIMED IS:**

1. A method of reprogramming a cardiac fibroblast comprising contacting said cardiac fibroblast with Tbx5, Mef2C and Hand2.
2. The method of claim 1, wherein contacting comprises delivering Tbx5, Mef2C and Hand2 proteins to said cardiac fibroblast.
3. The method of claim 2, wherein Tbx5, Mef2C and Hand2 comprise a heterologous cell permeability peptide (CPP).
4. The method of claim 2, further comprising contacting said cardiac fibroblast with Gata4.
5. The method of claim 2, further comprising contacting said cardiac fibroblast with myocardin.
6. The method of claim 4, further comprising contacting said cardiac fibroblast with myocardin.
7. The method of claim 1, contacting comprises delivering Tbx5, Mef2C and Hand2 expression cassettes to said cardiac fibroblasts.
8. The method of claim 7, wherein said expression cassettes are comprised in replicable vectors.
9. The method of claim 8, wherein said replicable vectors are viral vectors.
10. The method of claim 9, wherein said viral vectors are adenoviral vectors or retroviral vectors.

11. The method of claim 8, wherein said replicable vectors are non-viral vectors.
12. The method of claim 11, wherein said non-viral vectors are disposed in a lipid delivery vehicle.
13. The method of claim 7, further comprising contacting said cardiac fibroblast with a Gata4 expression cassette.
14. The method of claim 7, further comprising contacting said cardiac fibroblast with a myocardin expression cassette.
15. The method of claim 13, further comprising contacting said cardiac fibroblast with a myocardin expression cassette.
16. A method of treating a subject having suffered a myocardial infarct (MI) comprising delivering to said subject said Tbx5 and Mef2C or Tbx5 and Mef2C expression cassettes.
17. The method of claim 16, wherein delivering comprises administration of Tbx5 and Mef2C proteins to said subject.
18. The method of claim 17, wherein Tbx5 and Mef2C comprise a heterologous cell permeability peptide (CPP).
19. The method of claim 17, further comprising delivering to said subject one, two or all three of Hand2, myocardin and/or Gata4 proteins.
20. The method of claim 16, delivering comprises administration of Tbx5 and Mef2C expression cassettes to said subject.

21. The method of claim 20, further comprising delivering to said subject one, two or all three of Hand2, myocardin and/or Gata4 expression cassettes.
22. The method of claim 20, wherein said expression cassettes are comprised in replicable vectors.
23. The method of claim 22, wherein said replicable vectors are viral vectors.
24. The method of claim 23, wherein said viral vectors are adenoviral vectors or retroviral vectors.
25. The method of claim 22, wherein said replicable vectors are non-viral vectors.
26. The method of claim 25, wherein said non-viral vectors are disposed in a lipid delivery vehicle.
27. The method of claim 16, wherein Tbx5 and Mef2C or Tbx5 and Mef2C expression cassettes are delivered 24 hours to one month following said MI.
28. The method of claim 27, further comprising delivering Hand2, myocardin and/or Gata4 or Hand2, myocardin and/or Gata4 expression cassettes within 24 hours.
29. The method of claim 16, wherein Tbx5 and Mef2C or Tbx5 and Mef2C expression cassettes are delivered multiple times.
30. The method of claim 29, further comprising delivering Hand2, myocardin and/or Gata4 or Hand2, myocardin and/or Gata4 expression cassettes multiple times.
31. The method of claim 16, wherein Tbx5 and Mef2C or Tbx5 and Mef2C expression cassettes are delivered 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30 or 31 times.

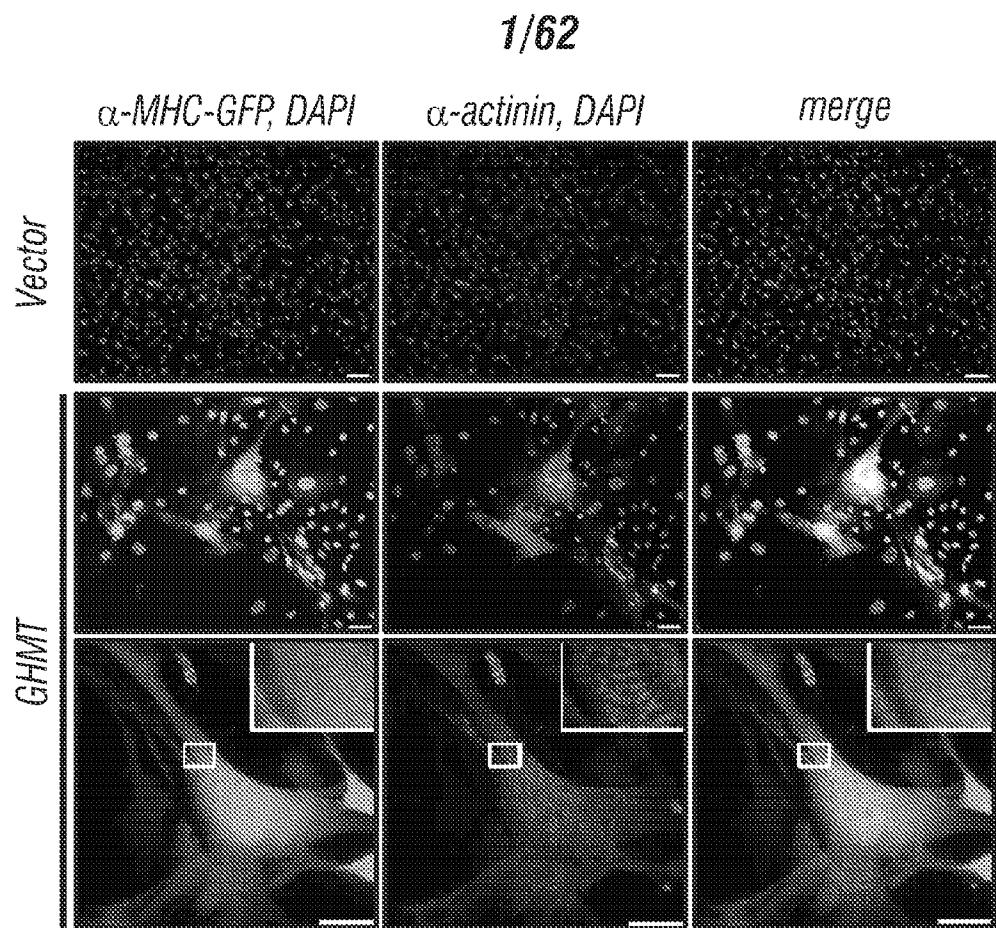
32. The method of claim 31, further comprising delivering Hand2, myocardin and/or Gata4 or Hand2, myocardin and/or Gata4 expression cassettes 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30 or 31 times.
33. The method of claim 16, wherein Tbx5 and Mef2C or Tbx5 and Mef2C expression cassettes are delivered daily.
34. The method of claim 33, further comprising delivering Hand2, myocardin and/or Gata4 or Hand2, myocardin and/or Gata4 expression cassettes daily.
35. The method of claim 16, wherein Tbx5 and Mef2C or Tbx5 and Mef2C expression cassettes are delivered via intracardiac injection.
36. The method of claim 16, wherein said subject is further administered oxygen, aspirin, and or nitroglycerin.
37. The method of claim 16, wherein said subject is further administered percutaneous coronary intervention.
38. The method of claim 16, wherein said subject is further administered a fibrinolytic.
39. The method of claim 16, wherein said MI is non-ST-elevated MI.
40. The method of claim 16, wherein said MI is ST-elevated MI.
41. A method preventing or delaying development of cardiac hypertrophy or heart failure in a subject having suffered a myocardial infarct (MI) comprising

providing to said subject said Tbx5 and Mef2C or Tbx5 and Mef2C expression cassettes.

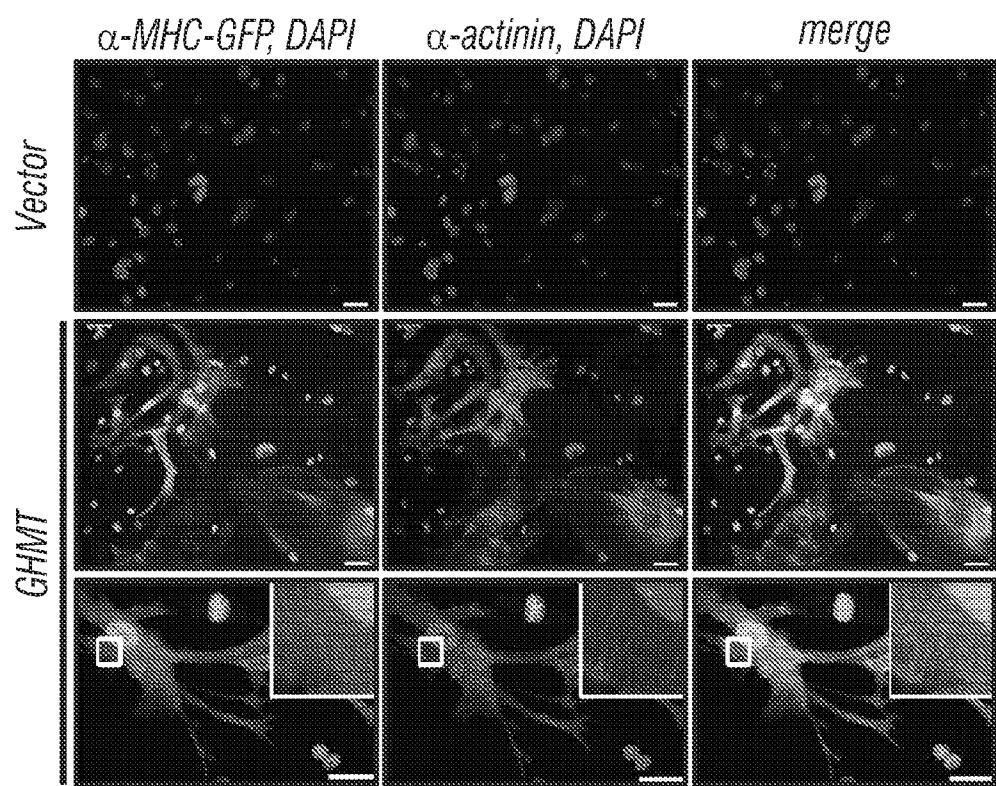
42. The method of claim 41, further comprising administering to said subject a secondary anti-hypertrophic or heart failure therapy.
43. The method of claim 41, wherein the secondary therapy is a PKD inhibitor, a beta blocker, an ionotrope, a diuretic, ACE-I, AII antagonist, BNP, a  $\text{Ca}^{++}$ -blocker, or an HDAC inhibitor.
44. The method of claim 41, wherein preventing or delaying comprises preventing or delaying cardiac hypertrophy.
45. The method of claim 41, wherein preventing or delaying comprises preventing or delaying one or more of decreased exercise capacity, decreased cardiac ejection volume, increased left ventricular end diastolic pressure, increased pulmonary capillary wedge pressure, decreased cardiac output or cardiac index, increased pulmonary artery pressures, increased left ventricular end systolic and diastolic dimensions, increased left and right ventricular wall stress, increased wall tension, decreased quality of life, and/or increased disease related morbidity or mortality.
46. The method of claim 41, wherein Tbx5 and Mef2C proteins are administered to said subject.
47. The method of claim 41, wherein Tbx5 and Mef2C expression cassettes are administered to said subject.
48. The method of claim 46, further comprising administering Hand2, myocardin and/or Gata4 proteins to said subject.

49. The method of claim 47, further comprising administering Hand2, myocardin and/or Gata4 expression cassettes to said subject.
50. A method of reducing decrease in exercise tolerance of a subject having suffered a myocardial infarction comprising administering to said subject Tbx5 and Mef2C or Tbx5 and Mef2C expression cassettes.
51. The method of claim 50, further comprising administering Hand2, myocardin and/or Gata4 or Hand2, myocardin and/or Gata4 expression cassettes to said subject.
52. A method of reducing hospitalization of a subject having suffered a myocardial infarction comprising administering to said subject Tbx5 and Mef2C or Tbx5 and Mef2C expression cassettes.
53. The method of claim 52, further comprising administering Hand2, myocardin and/or Gata4 or Hand2, myocardin and/or Gata4 expression cassettes to said subject.
54. A method of improving quality of life of a subject having suffered a myocardial infarction comprising administering to said subject Tbx5 and Mef2C or Tbx5 and Mef2C expression cassettes.
55. The method of claim 54, further comprising administering Hand2, myocardin and/or Gata4 or Hand2, myocardin and/or Gata4 expression cassettes to said subject.
56. A method of decreasing morbidity of a subject having suffered a myocardial infarction comprising administering to said subject Tbx5 and Mef2C or Tbx5 and Mef2C expression cassettes.

57. The method of claim 56, further comprising administering Hand2, myocardin and/or Gata4 or Hand2, myocardin and/or Gata4 expression cassettes to said subject.
58. A method of decreasing mortality of a subject having suffered a myocardial infarction comprising administering to said subject Tbx5 and Mef2C or Tbx5 and Mef2C expression cassettes.
59. The method of claim 58, further comprising administering Hand2, myocardin and/or Gata4 or Hand2, myocardin and/or Gata4 expression cassettes to said subject.



*FIG. 1A*



*FIG. 1B*

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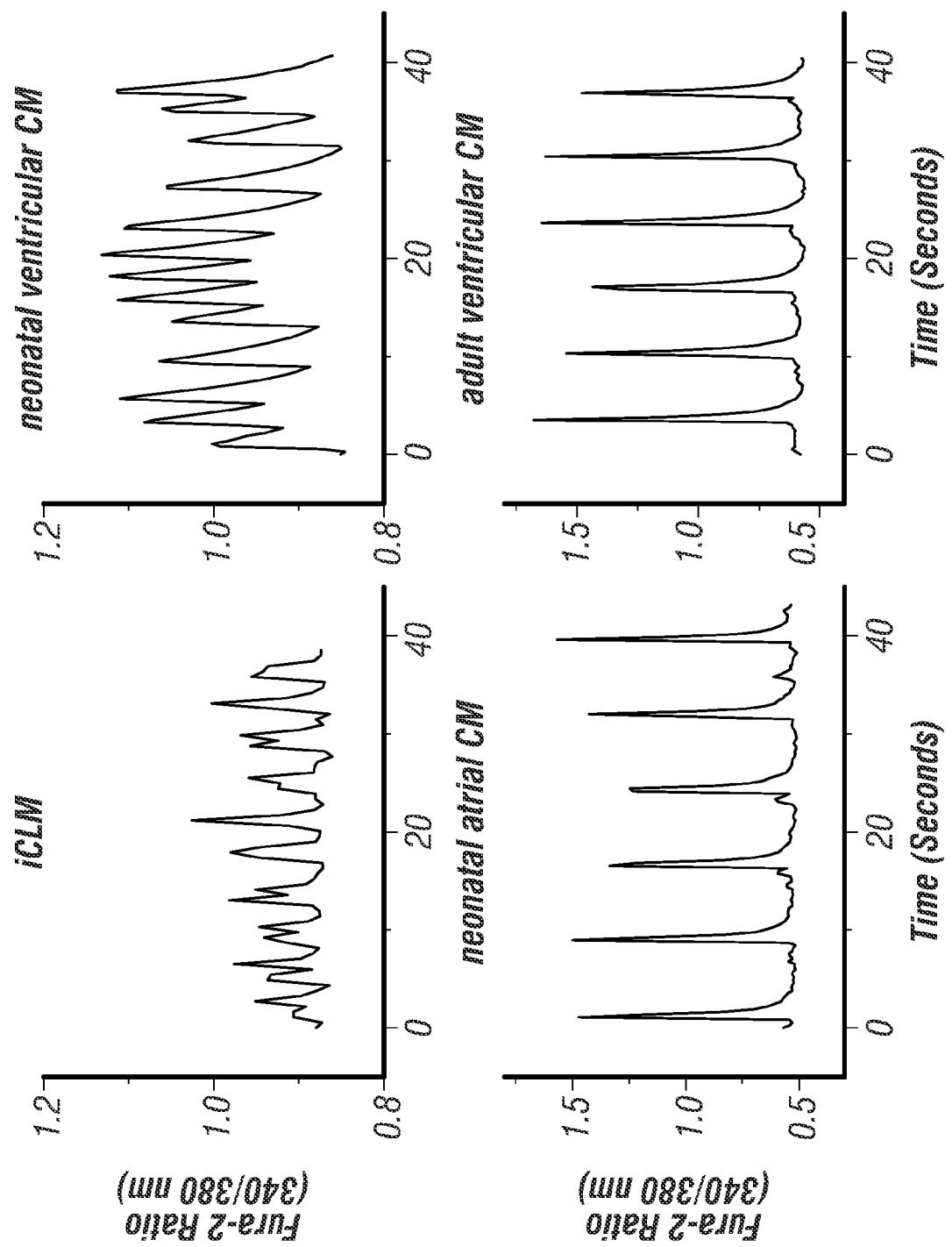


FIG. 1C

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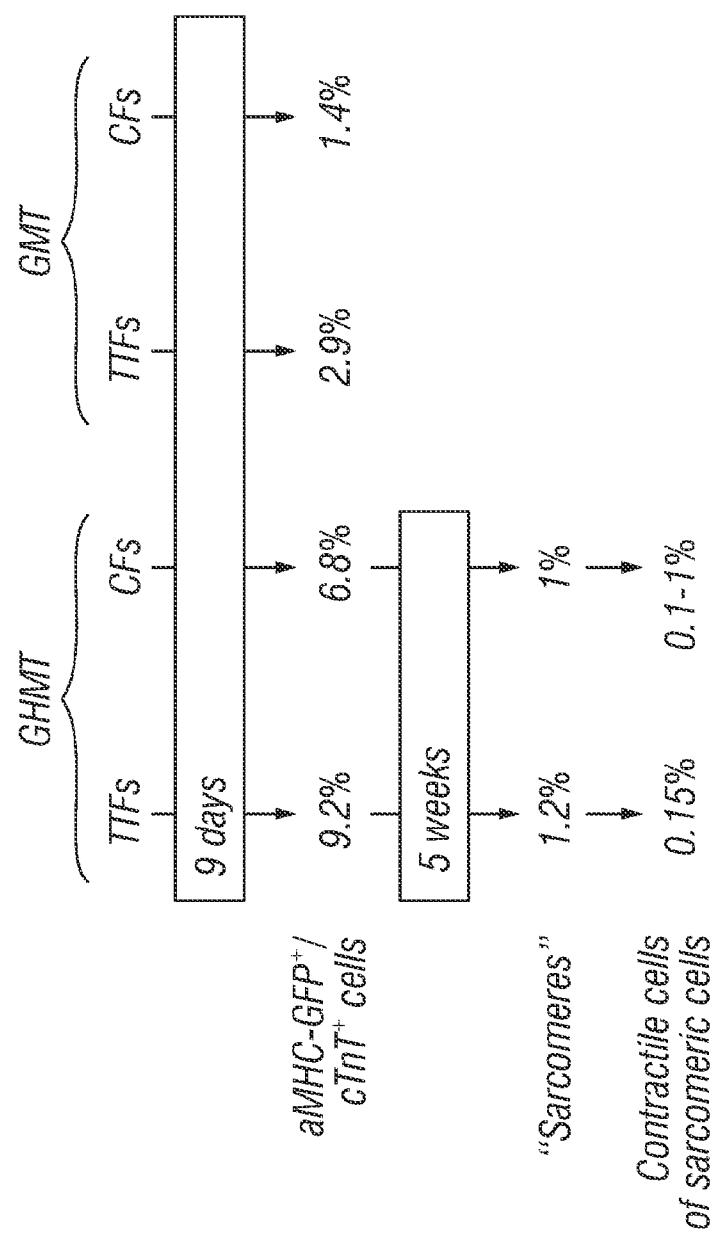


FIG. 10

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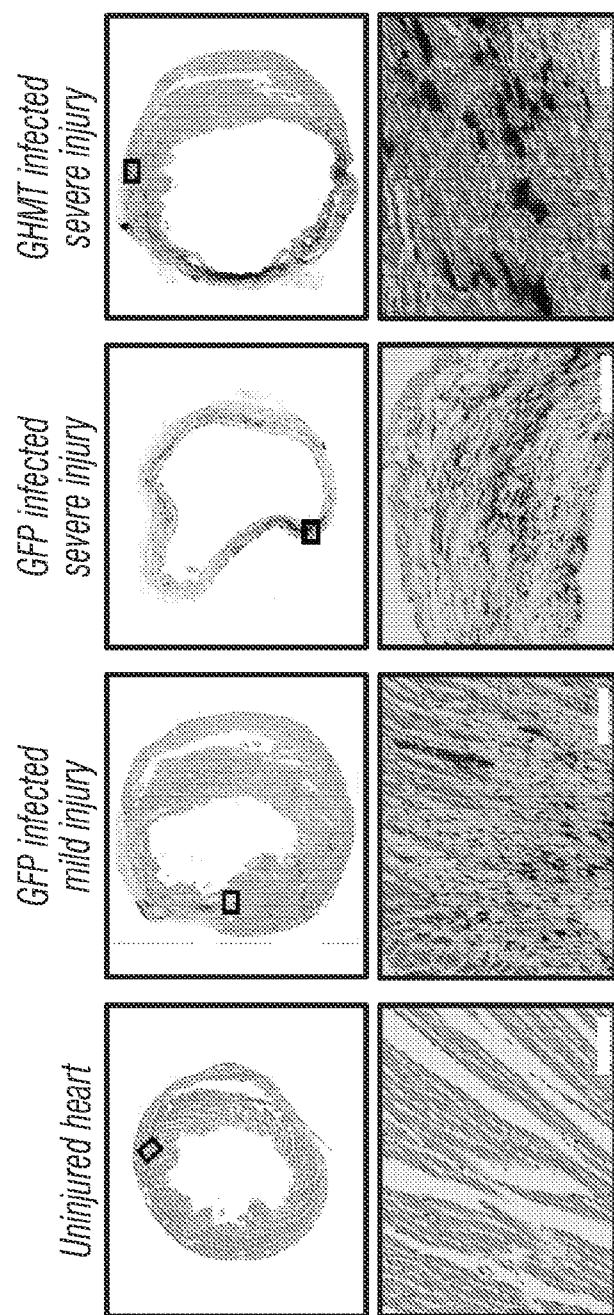


FIG. 2B

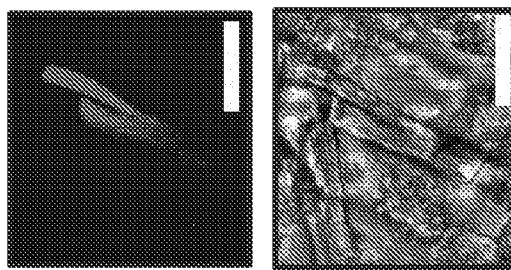


FIG. 2A

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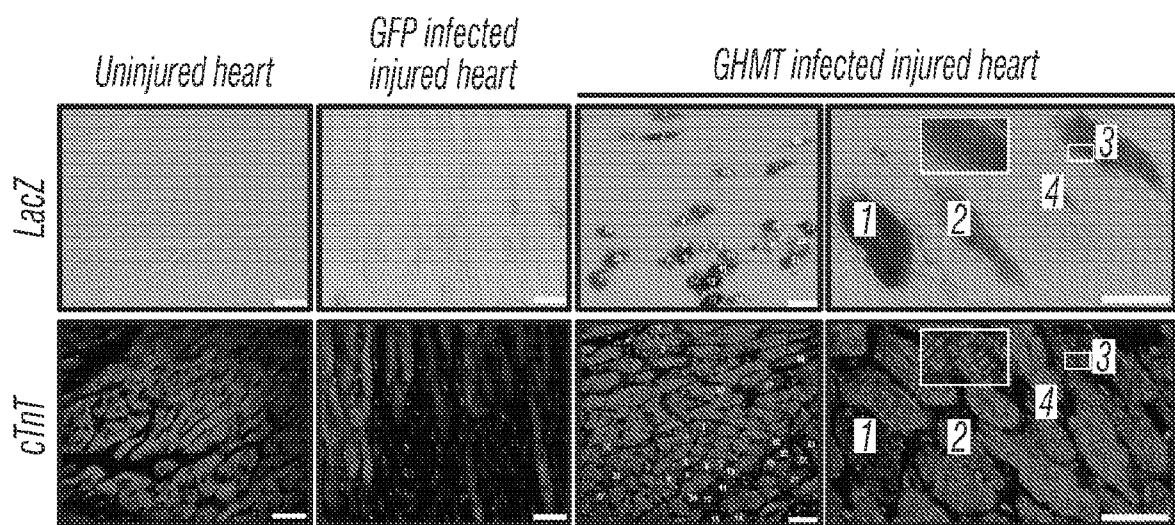


FIG. 2C

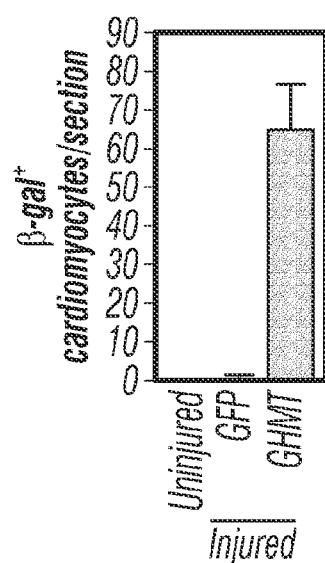


FIG. 2D

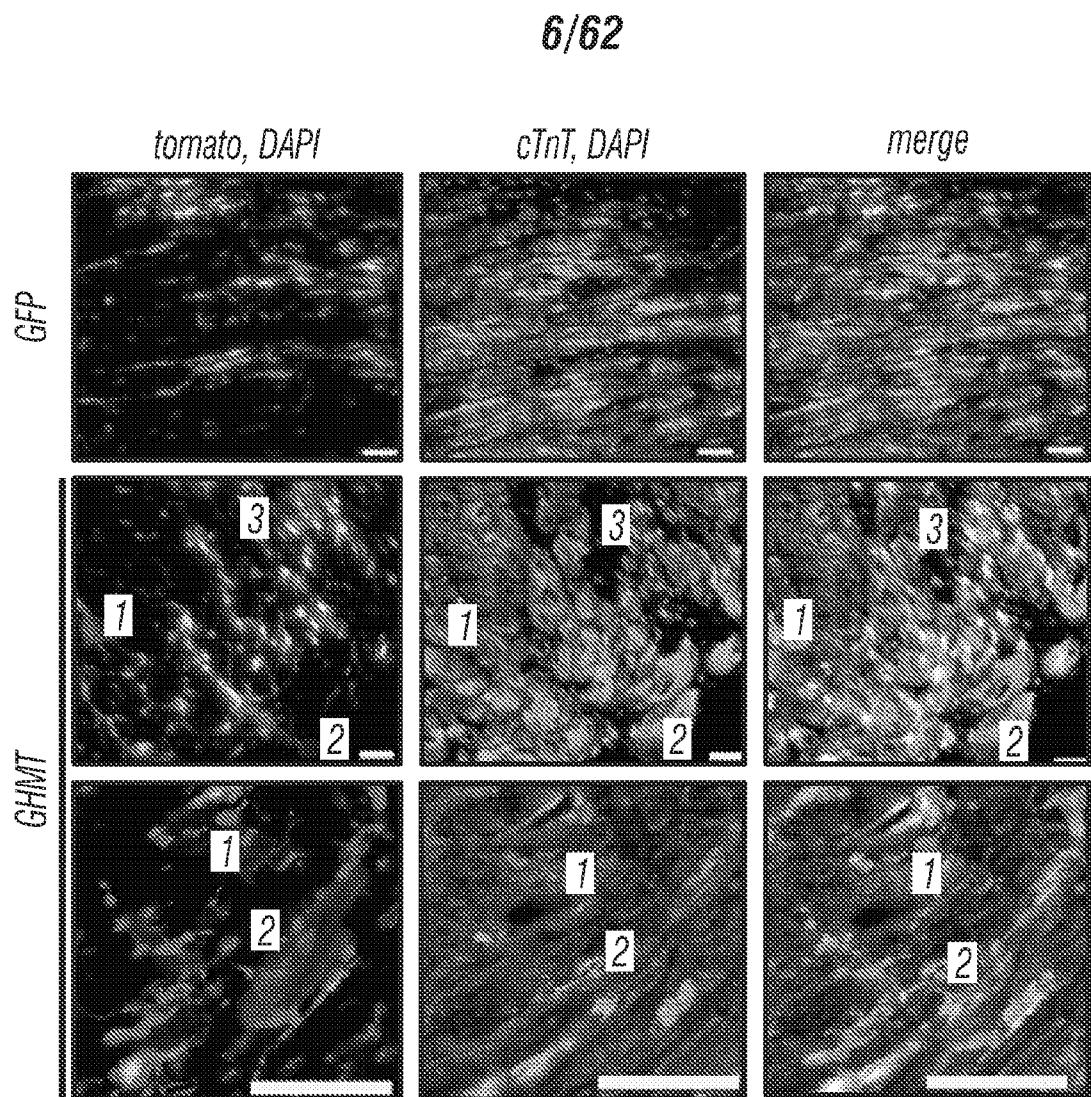


FIG. 2E

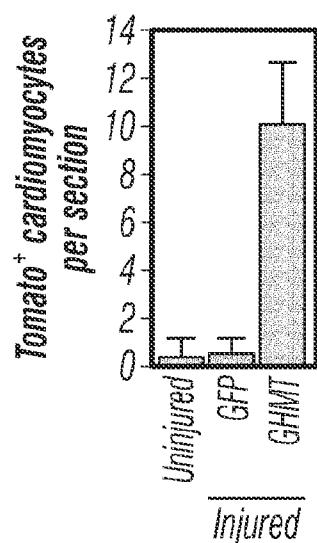


FIG. 2F

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WGA, cardiac troponin T

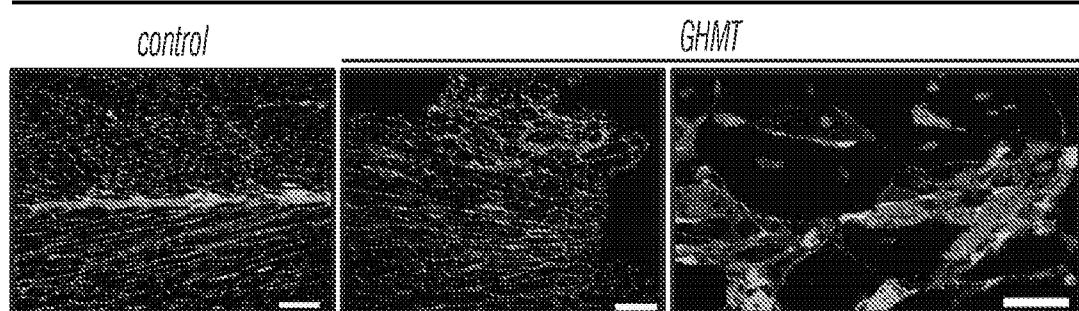


FIG. 2G

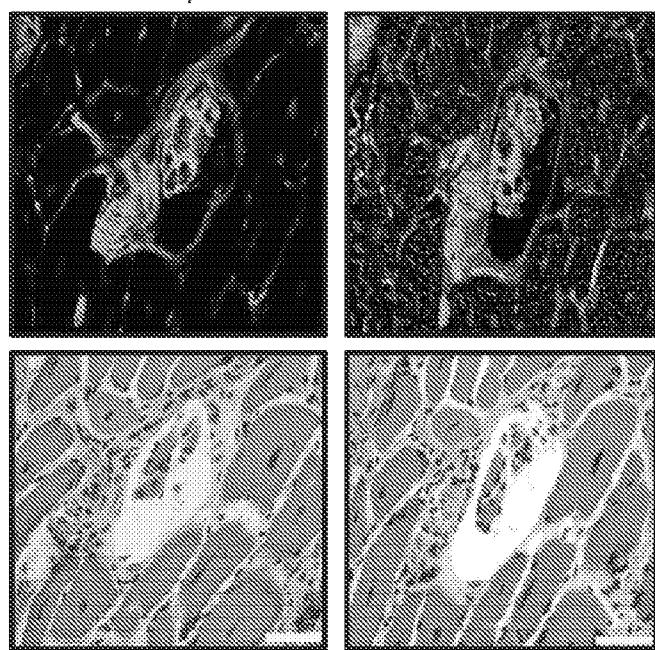
WGA,  
cardiac Troponin TWGA,  
skeletal muscle MHC

FIG. 2H

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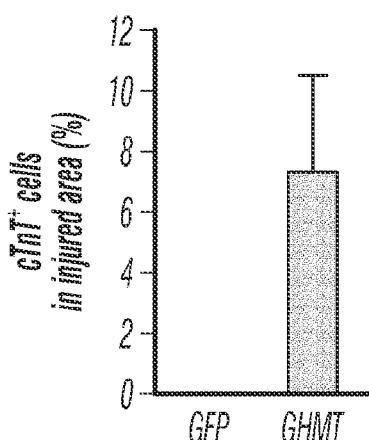


FIG. 2I

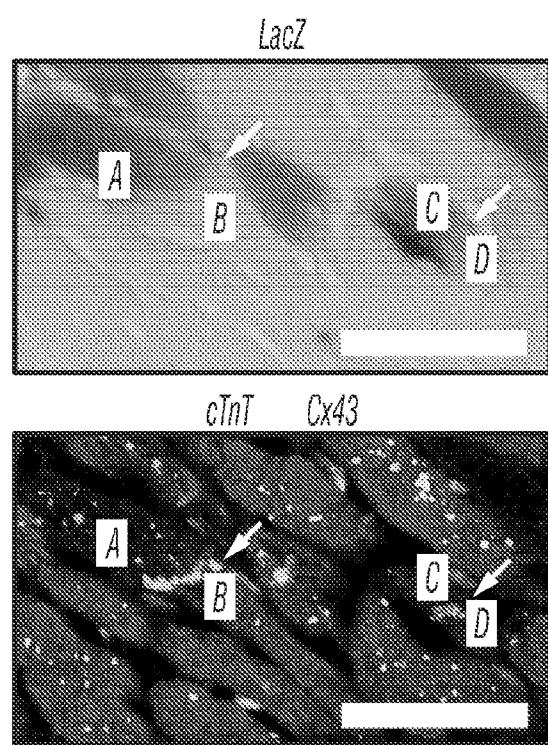
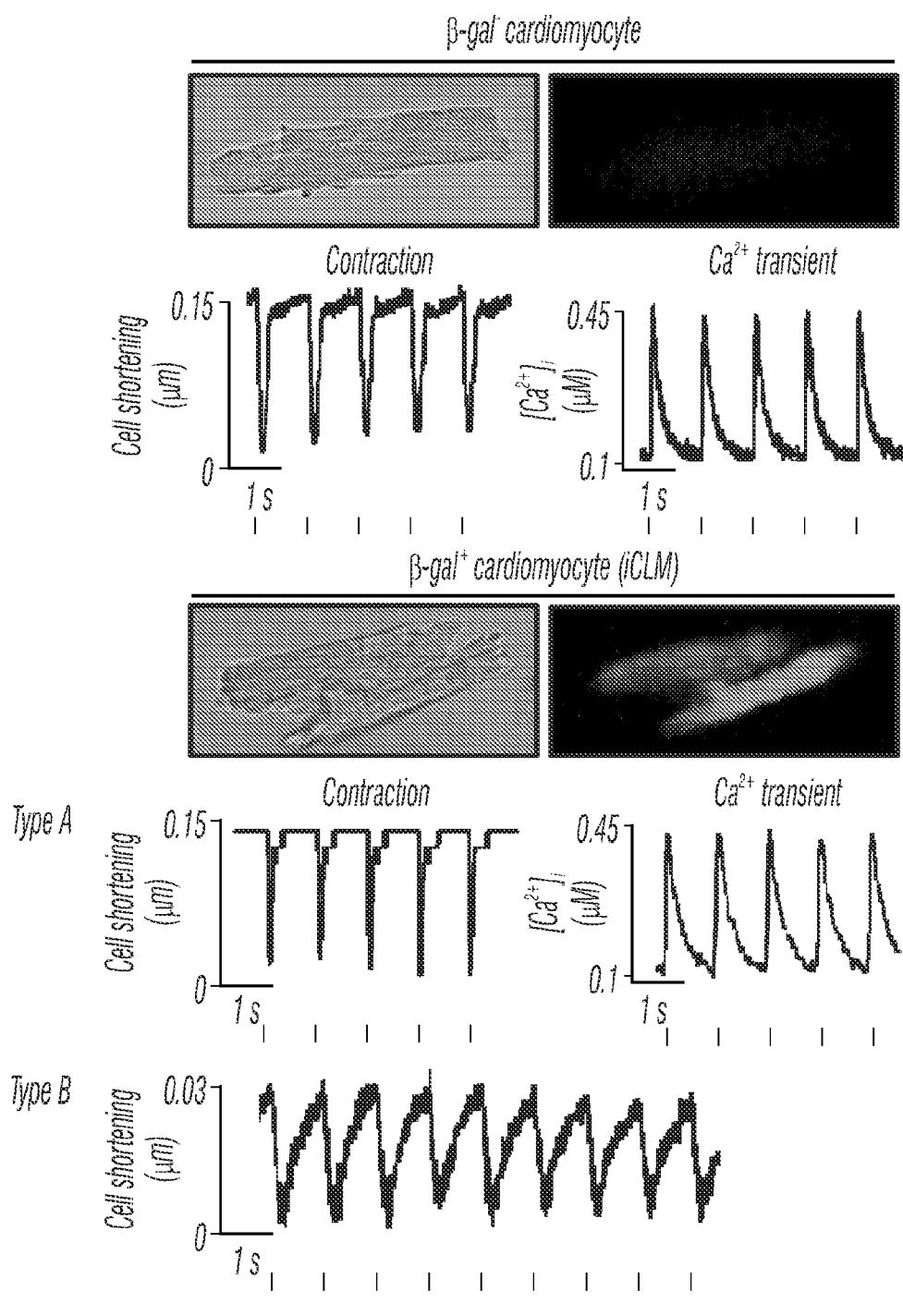


FIG. 3A

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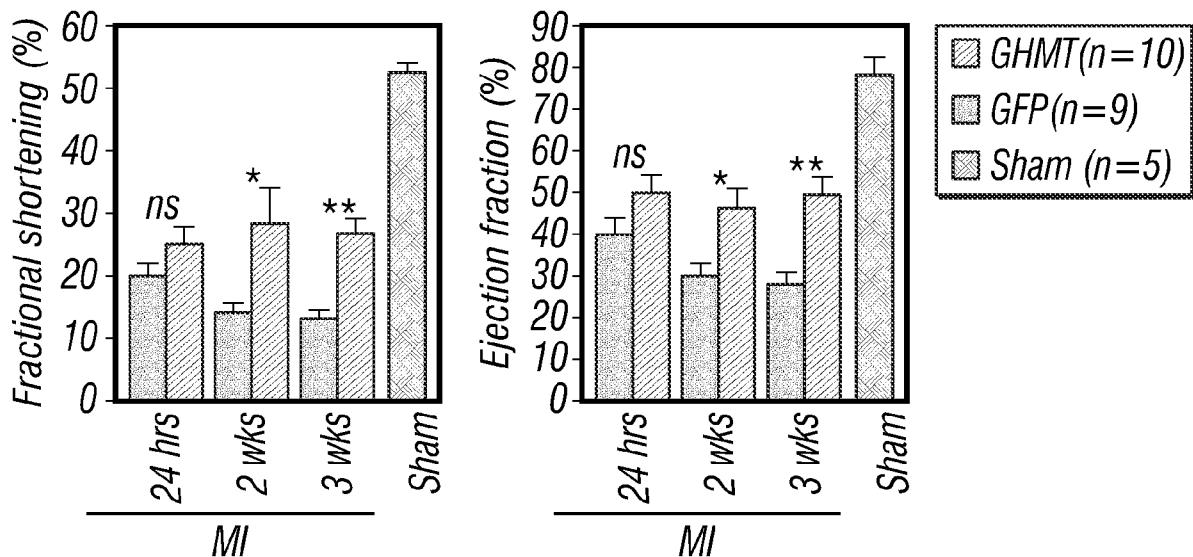


FIG. 4A

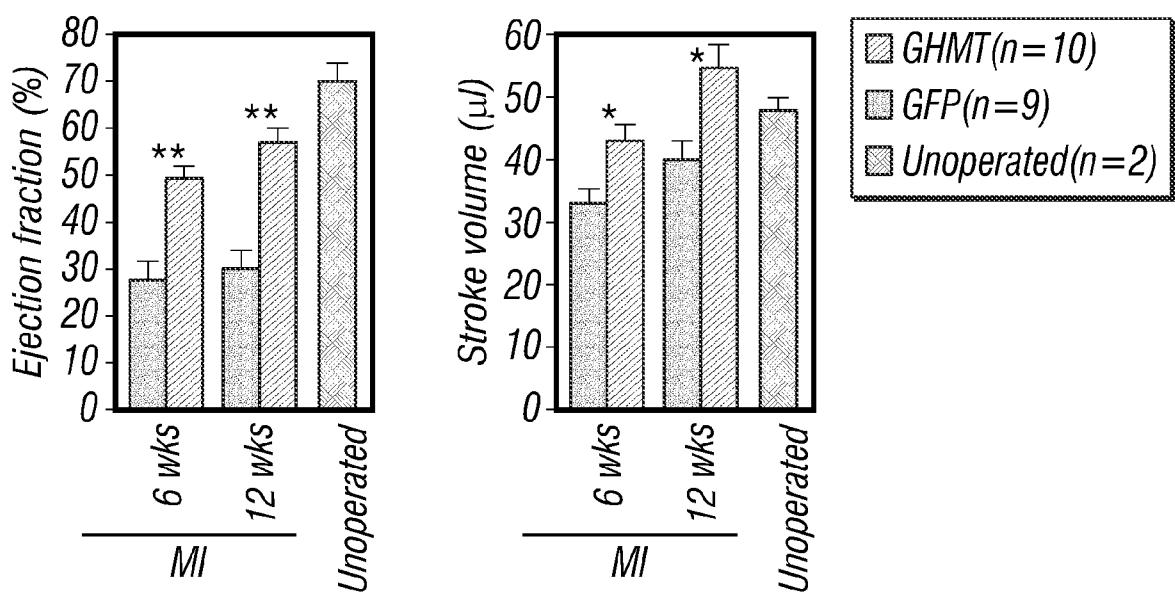


FIG. 4B

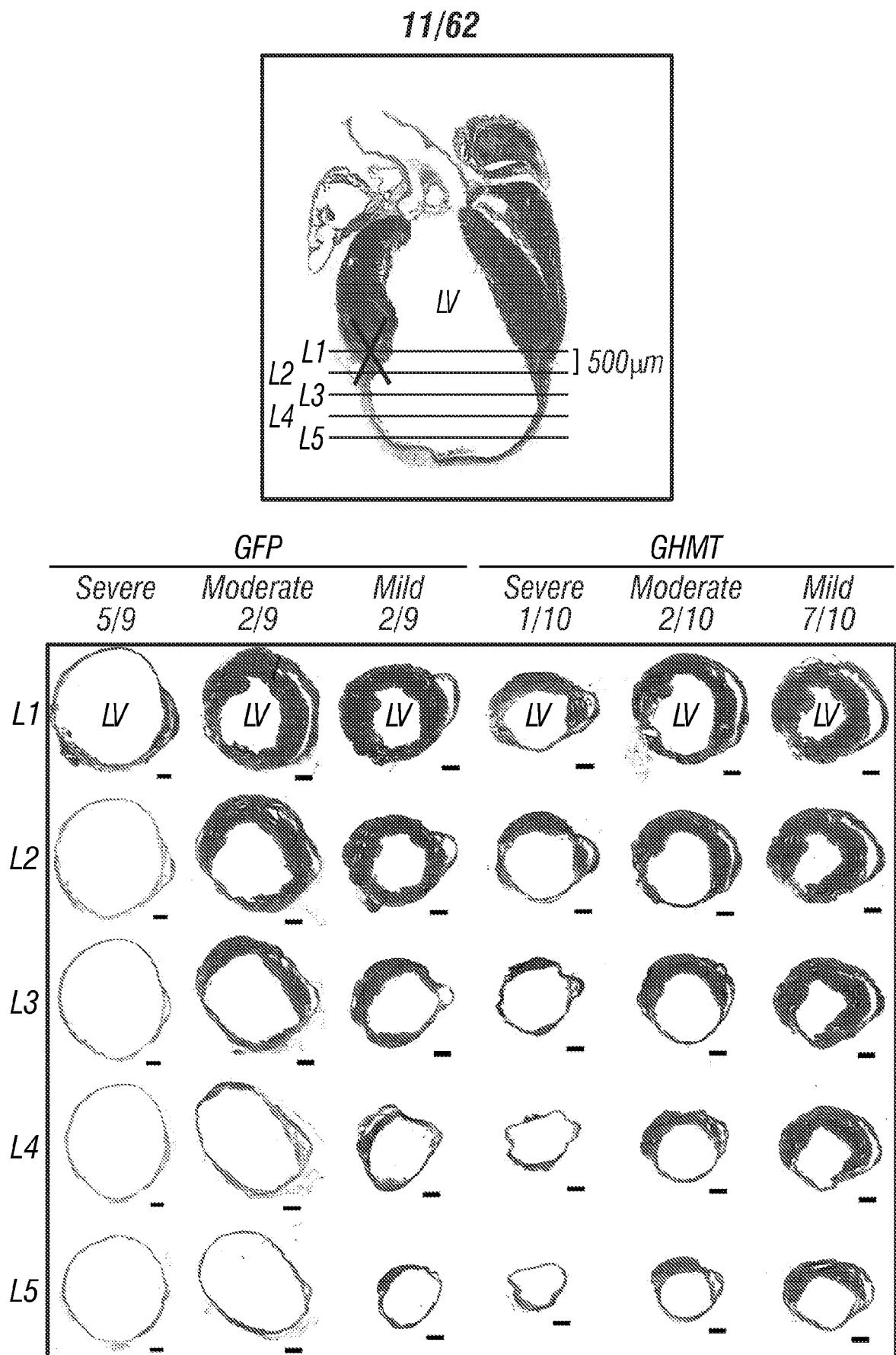


FIG. 4C

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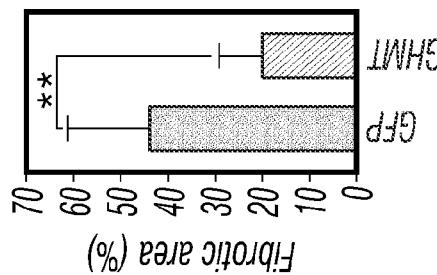


FIG. 4D

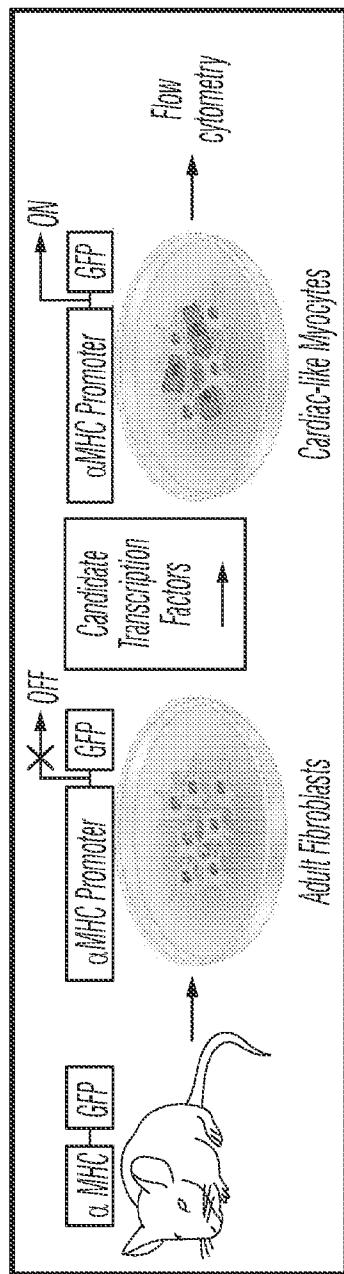


FIG. 5A

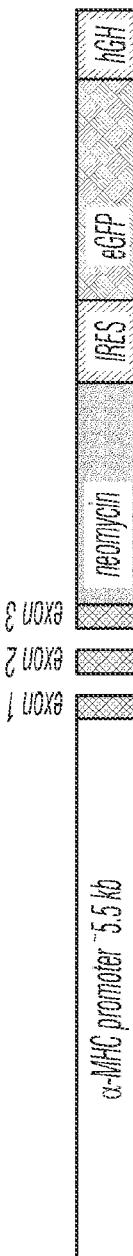


FIG. 5B

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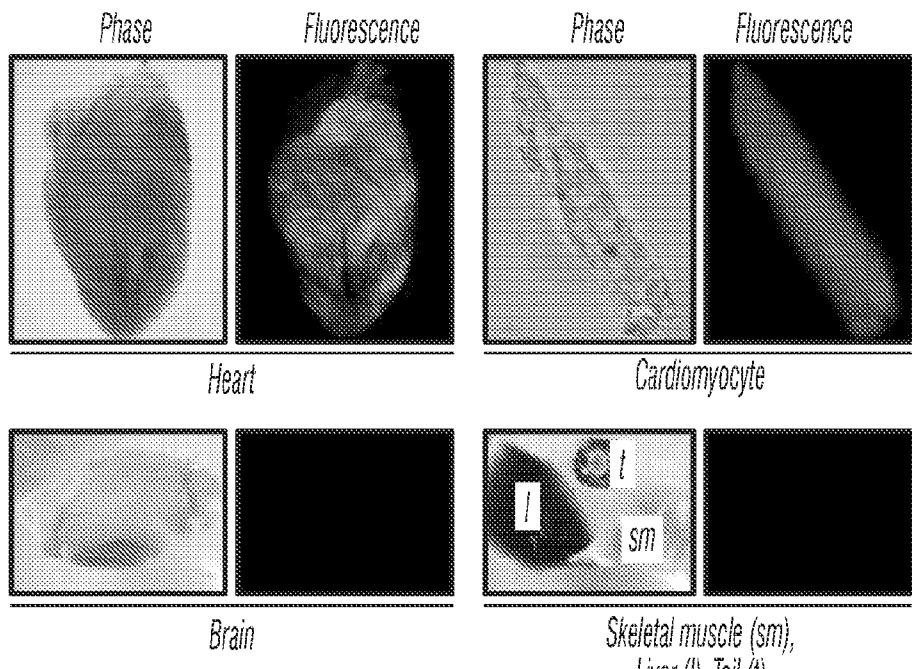


FIG. 5C

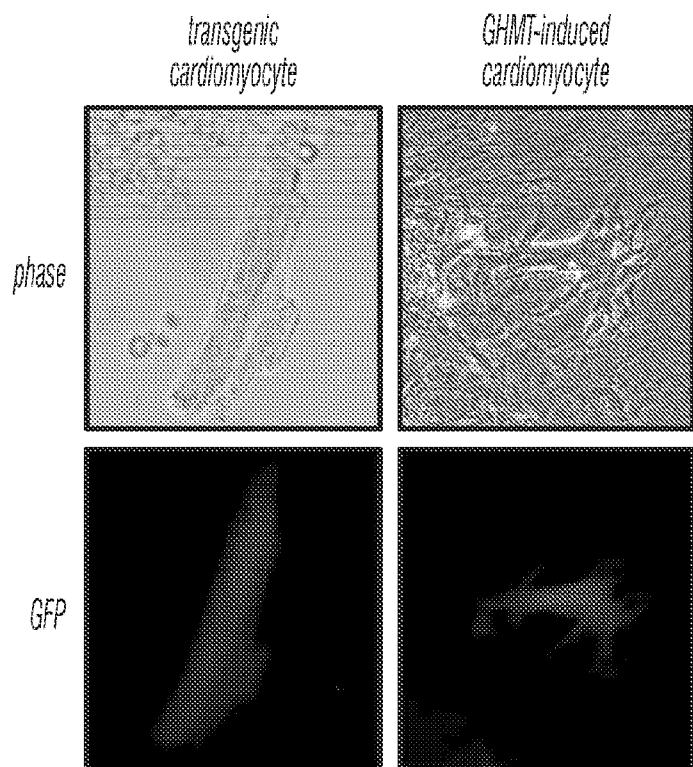


FIG. 5D

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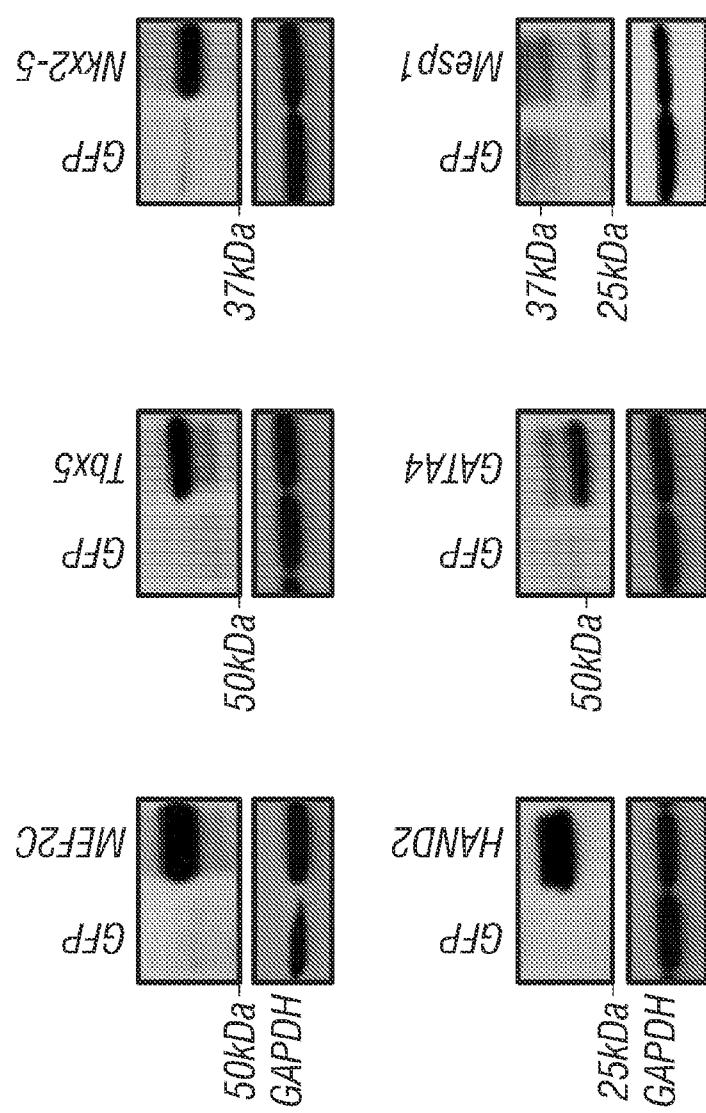


FIG. 6

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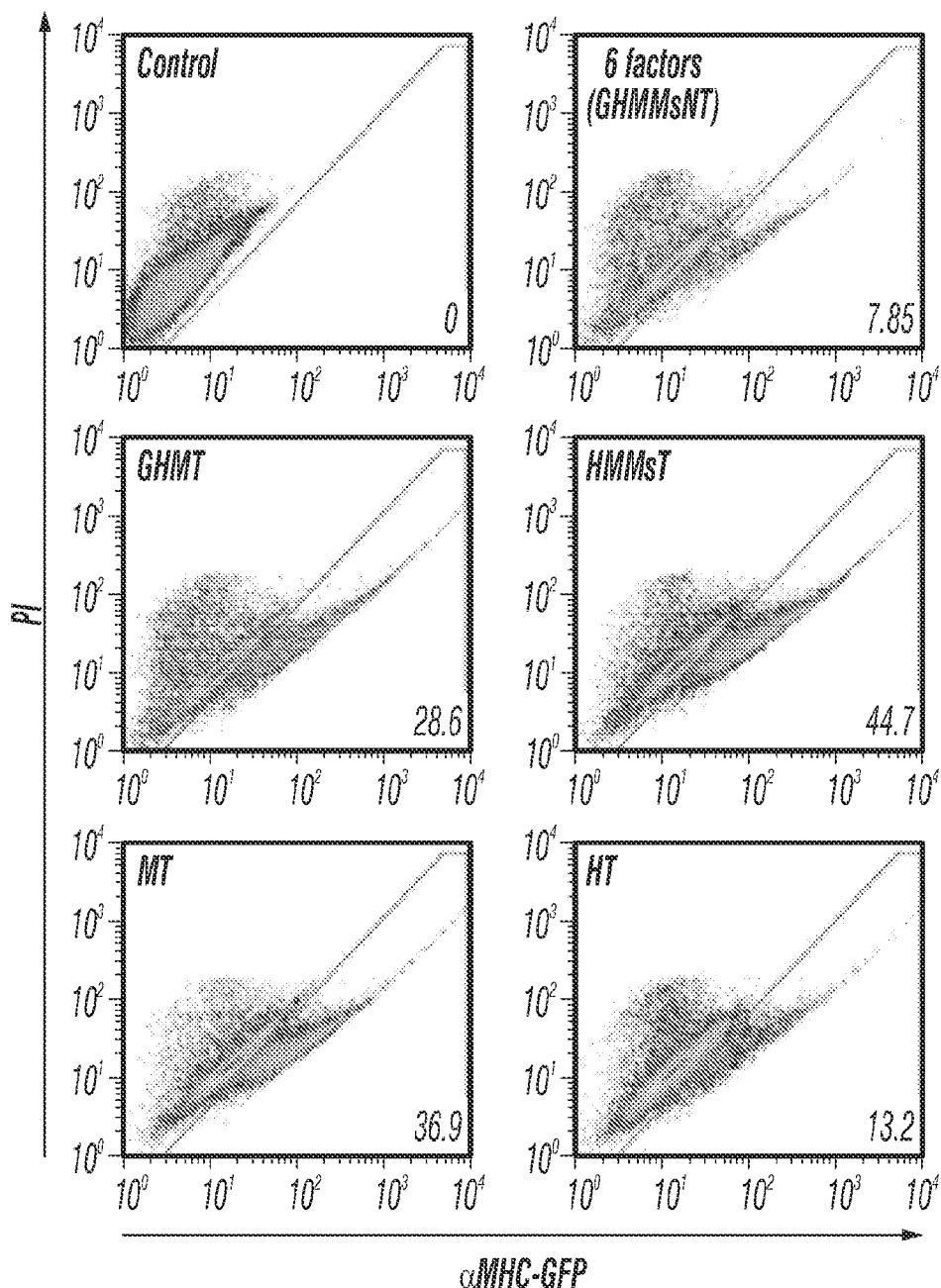


FIG. 7A

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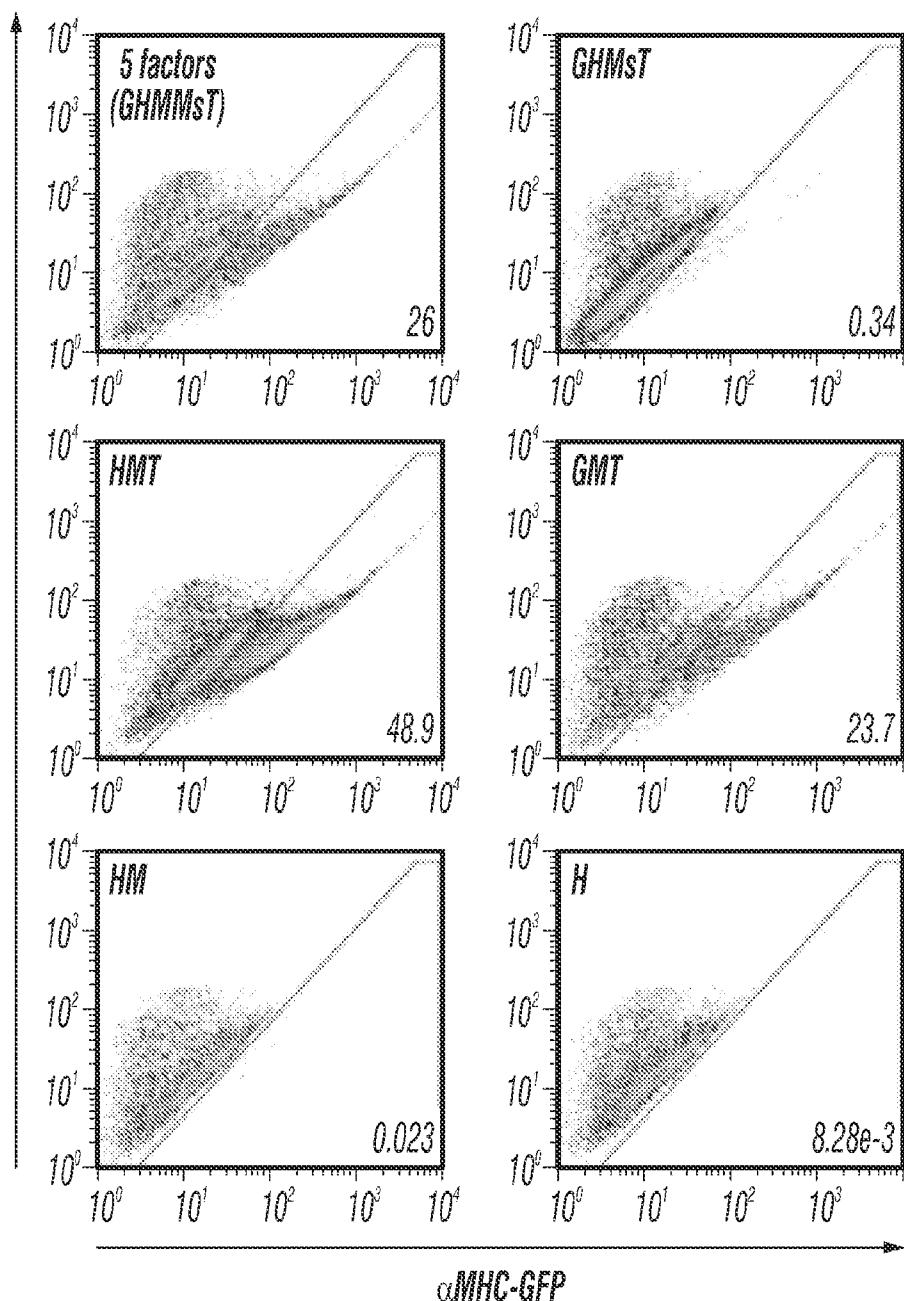


FIG. 7A (Cont'd)

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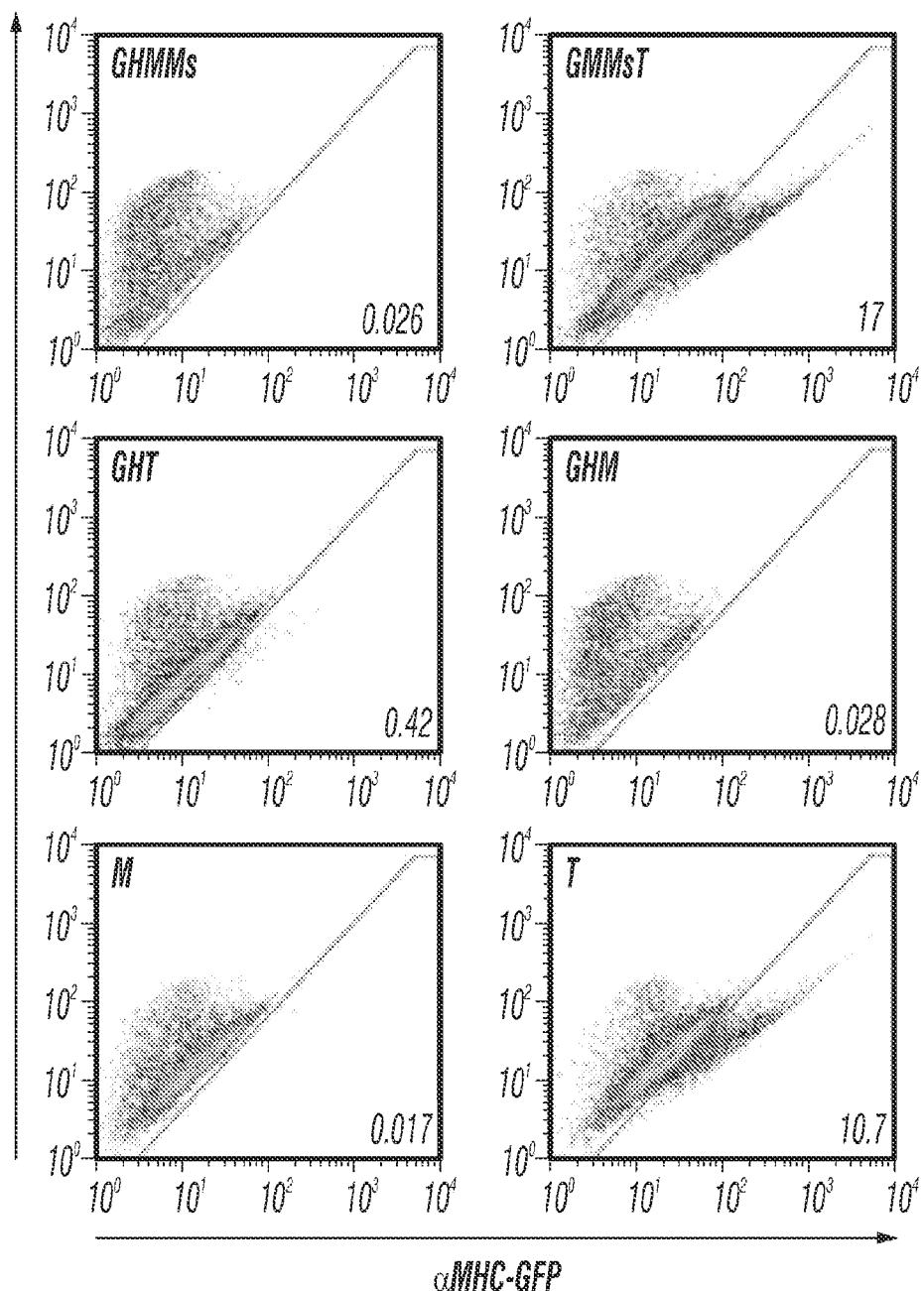


FIG. 7A (Cont'd)

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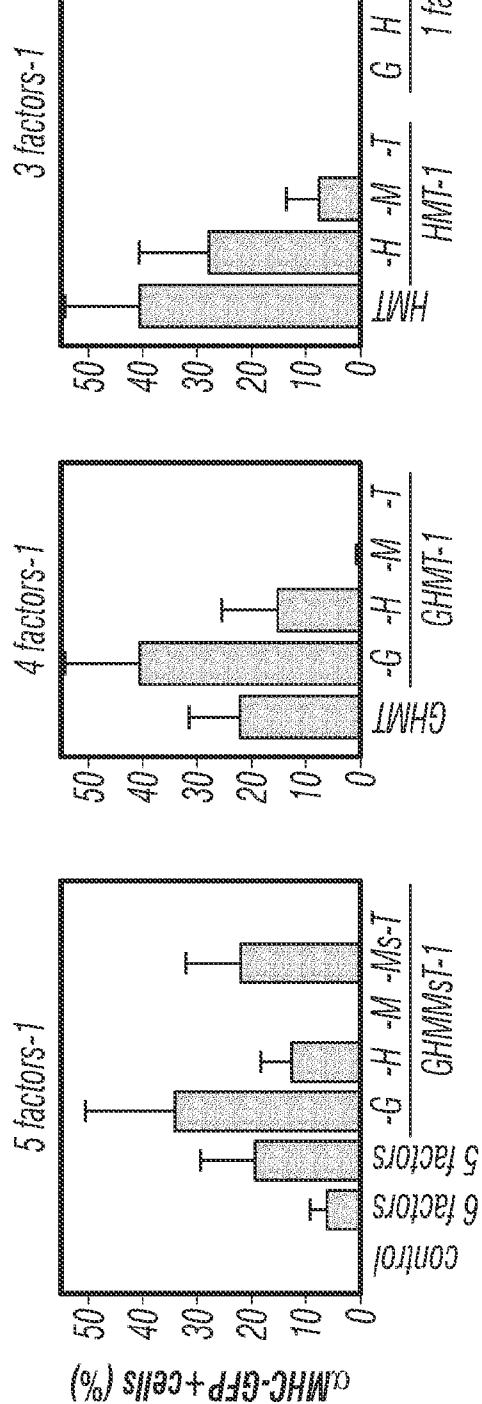


FIG. 7B

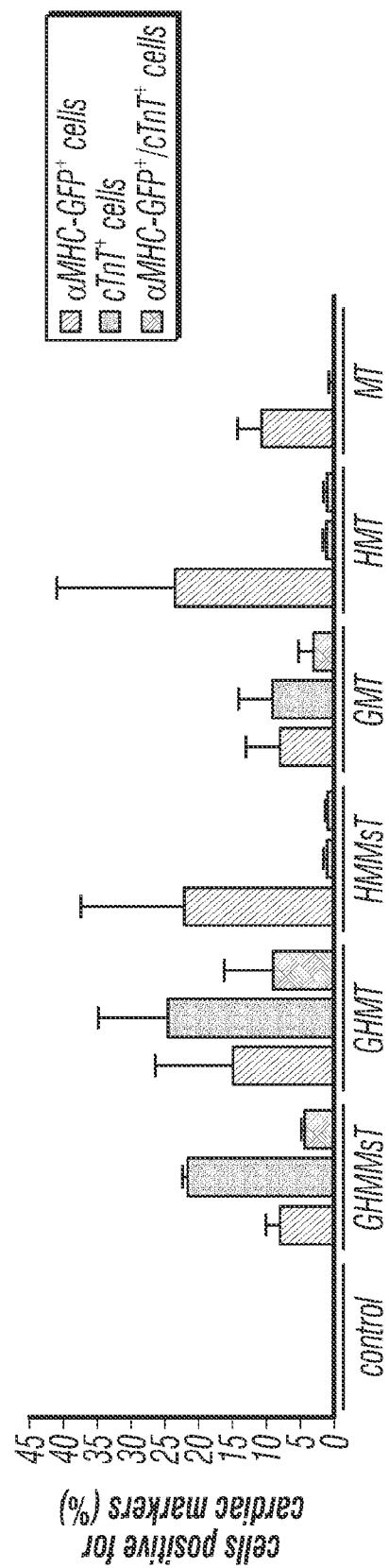


FIG. 8

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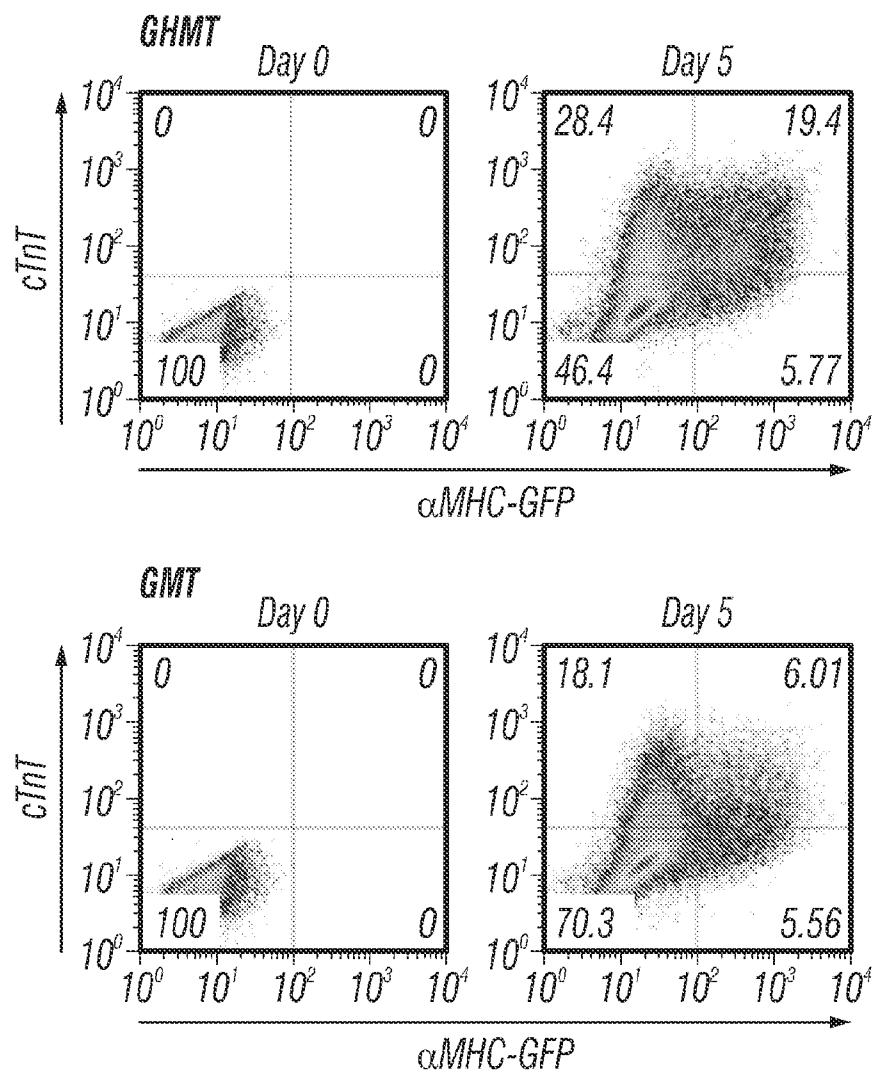


FIG. 9A

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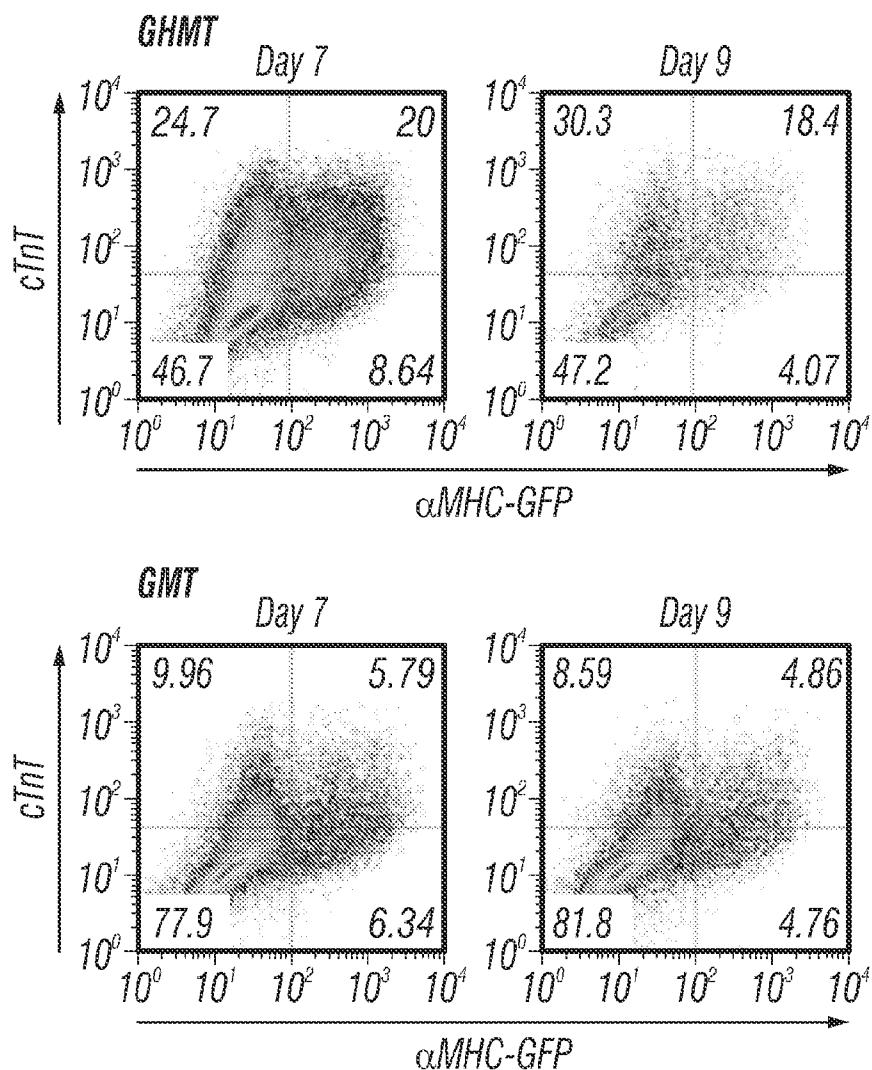


FIG. 9A (Cont'd)

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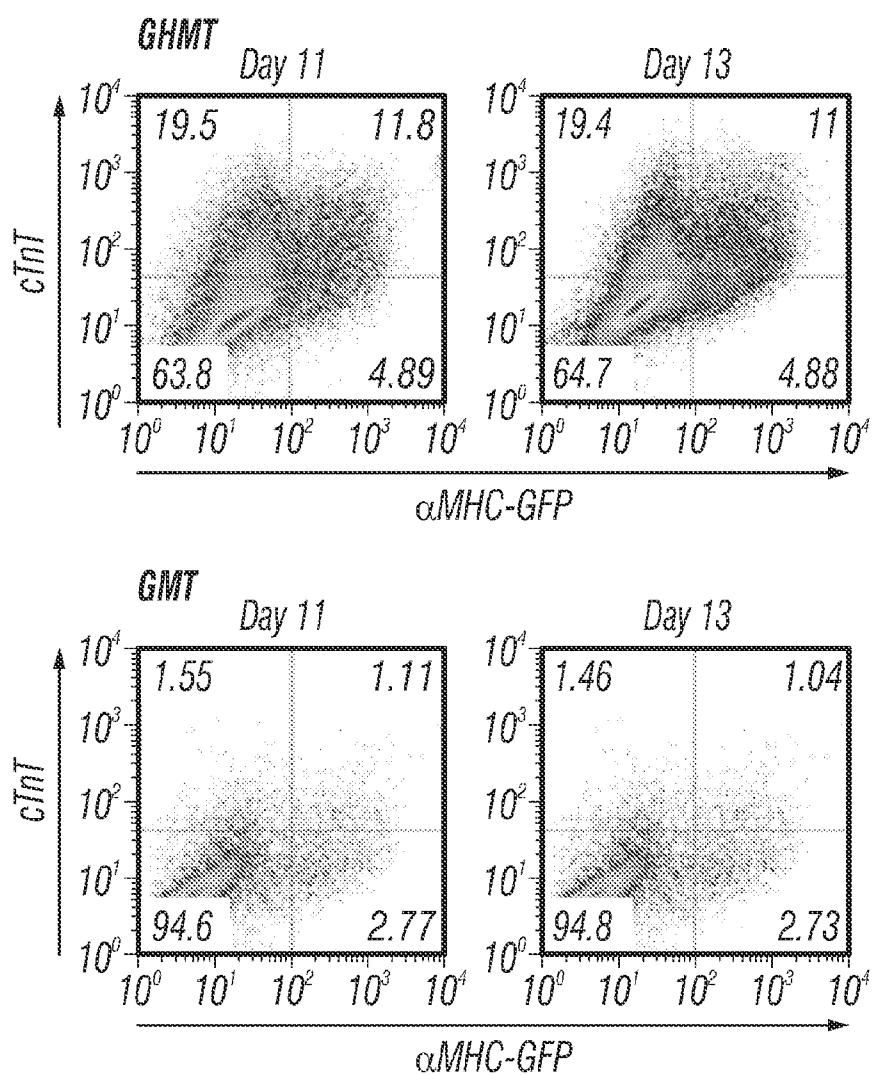


FIG. 9A (Cont'd)

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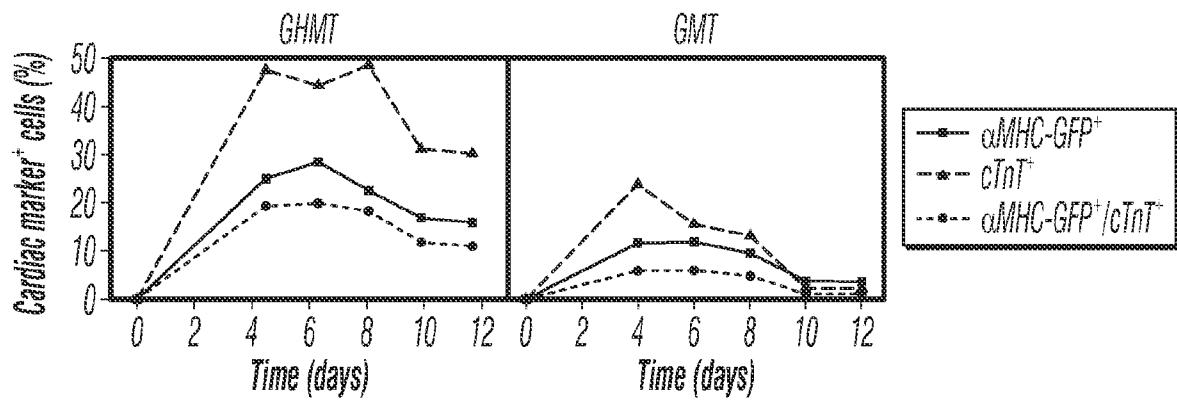


FIG. 9B

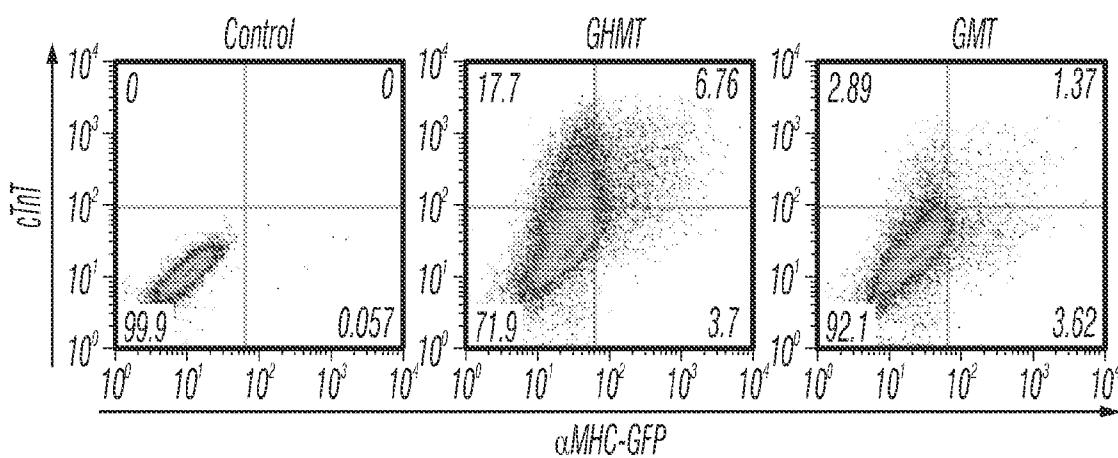


FIG. 10A

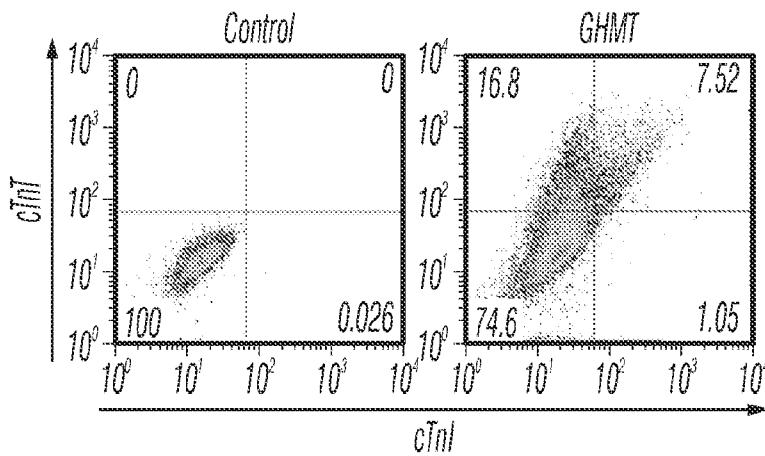


FIG. 10B

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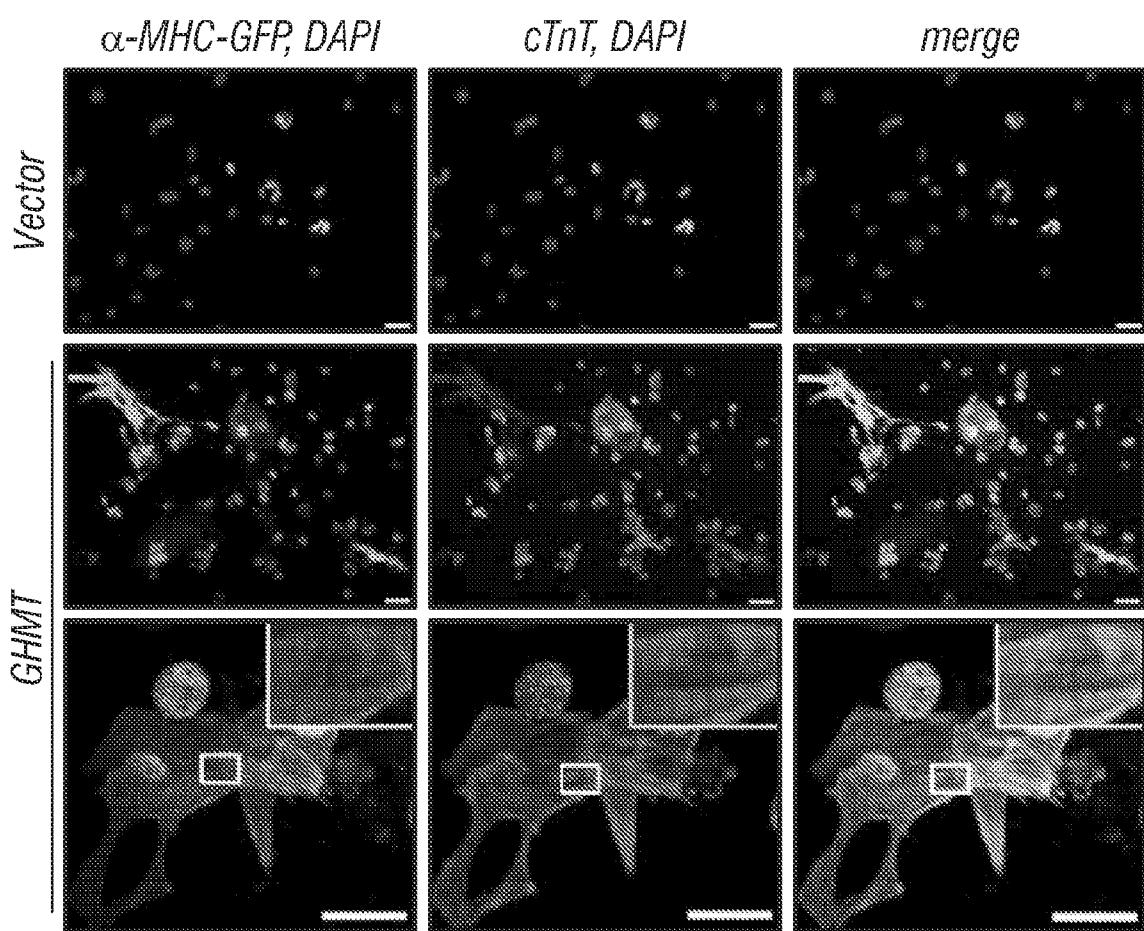


FIG. 11

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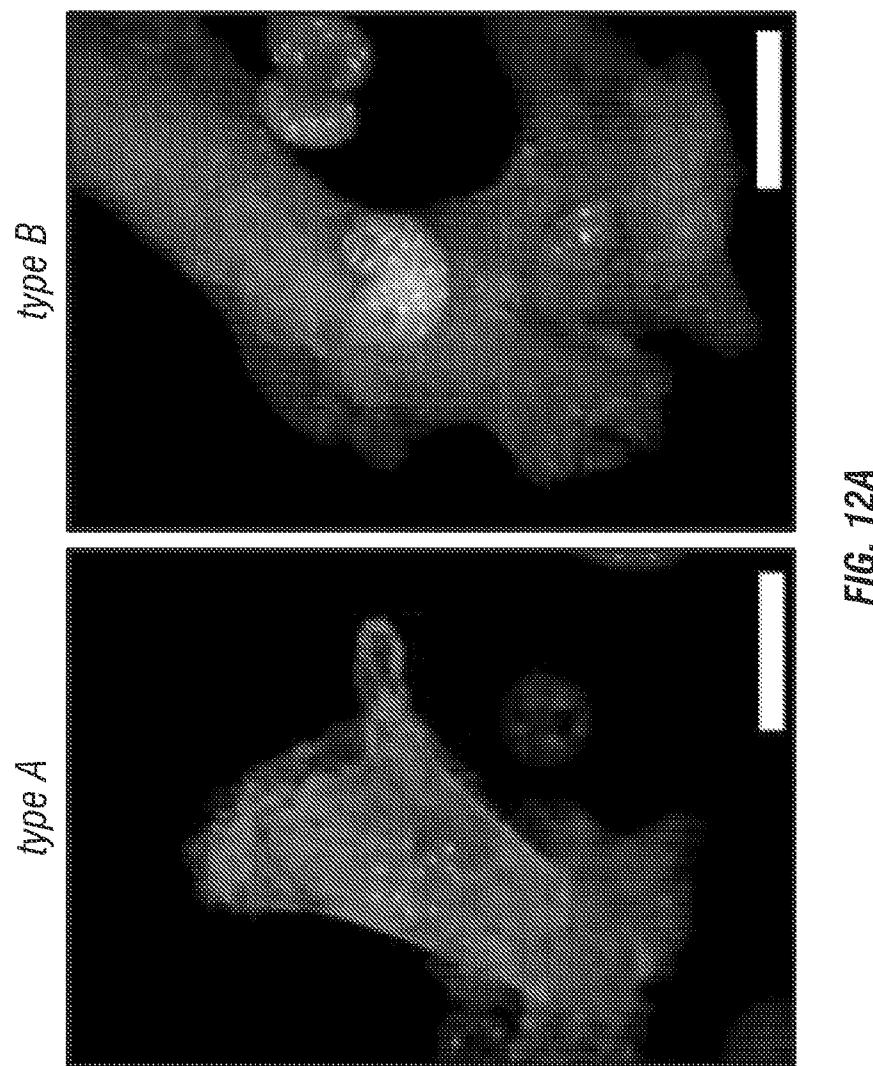


FIG. 12A

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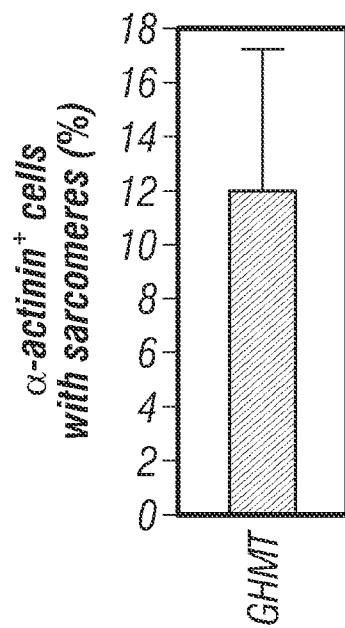
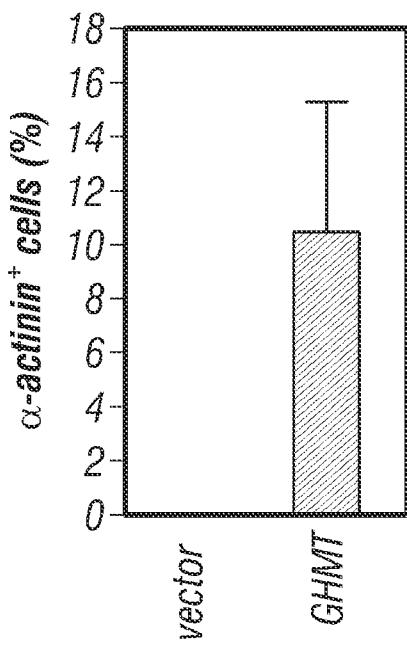


FIG. 12B

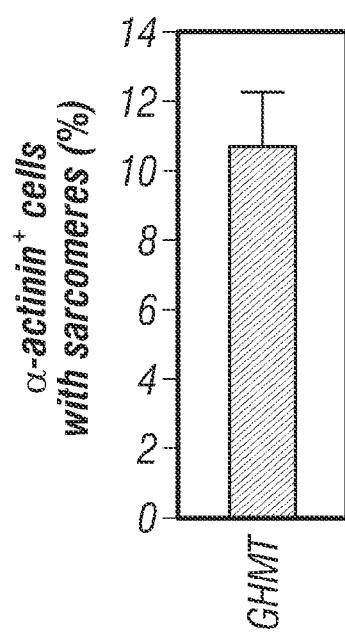
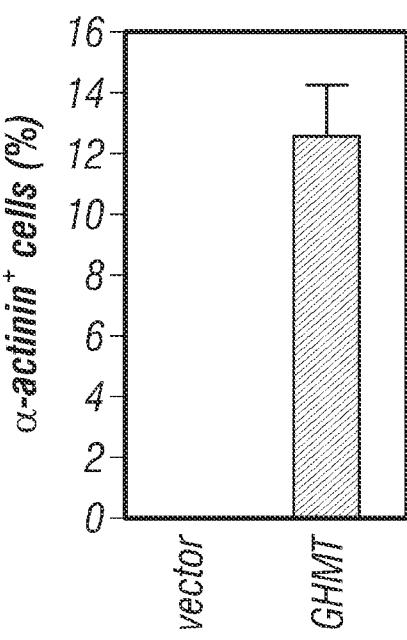


FIG. 12C

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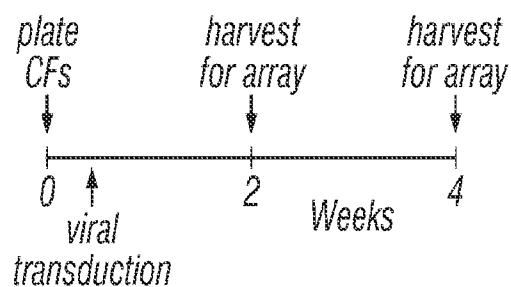


FIG. 13A

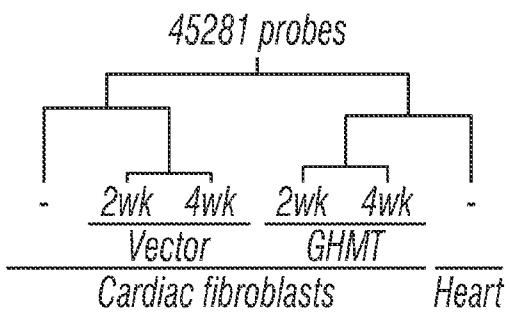


FIG. 13B

Gene Ontology Category	P-Value
muscle contraction	2.56E-16
mesoderm development	6.56E-16
muscle organ development	3.84E-12
heart development	6.78E-05

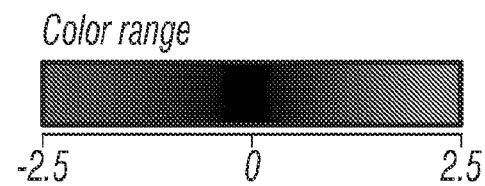


FIG. 13D

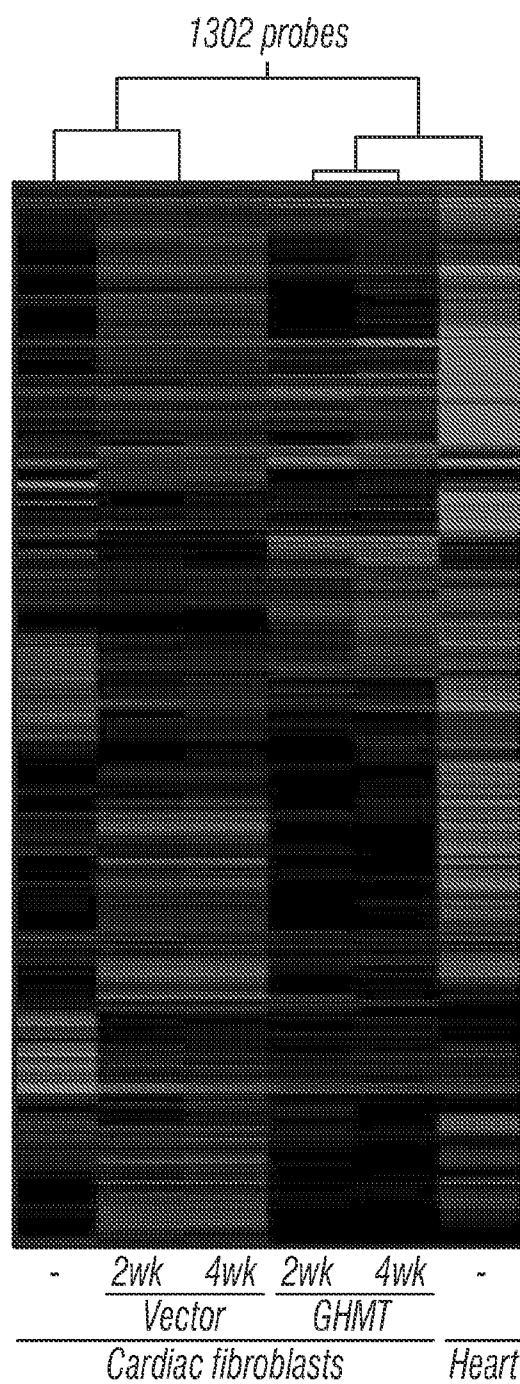


FIG. 13C

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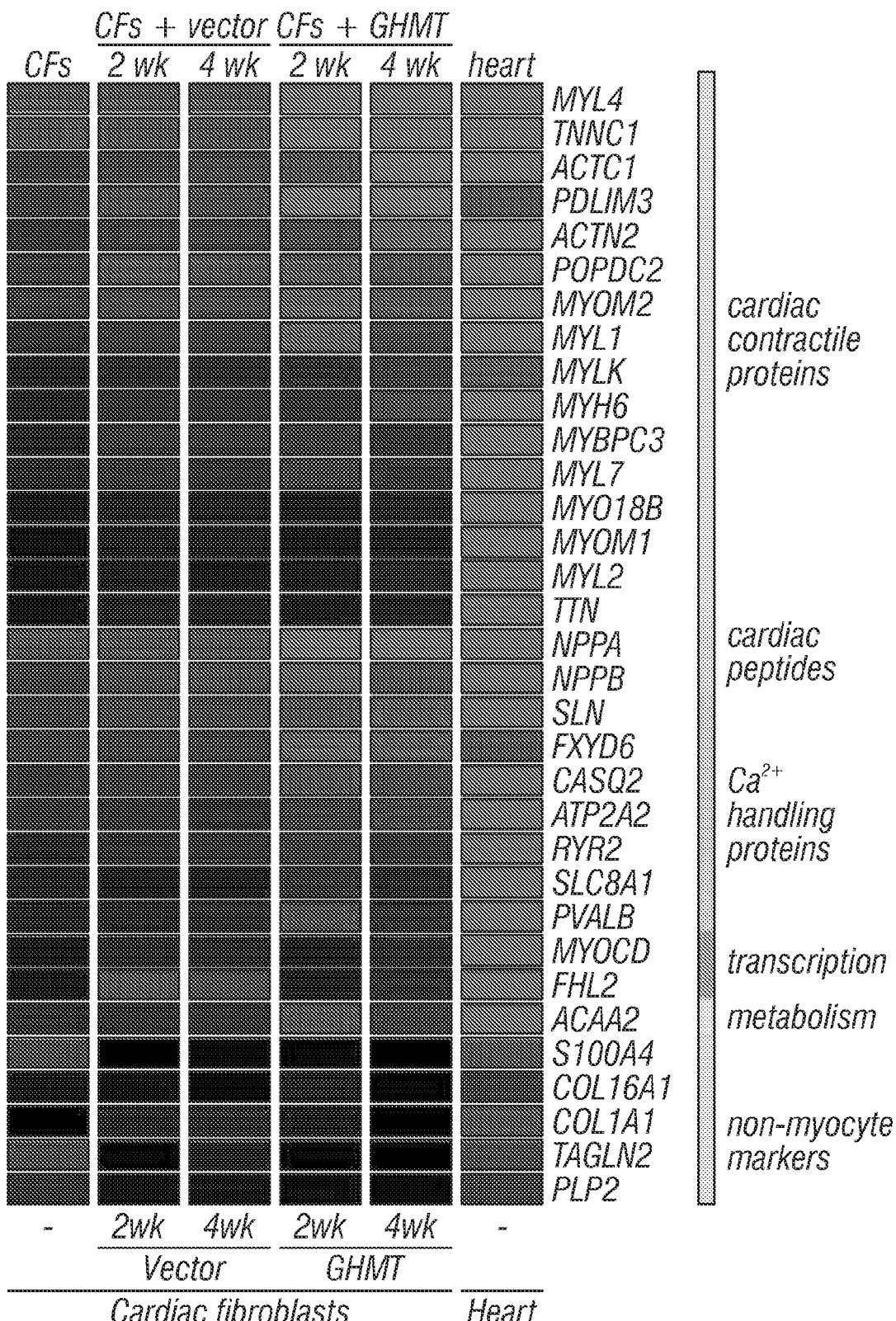


FIG. 13E

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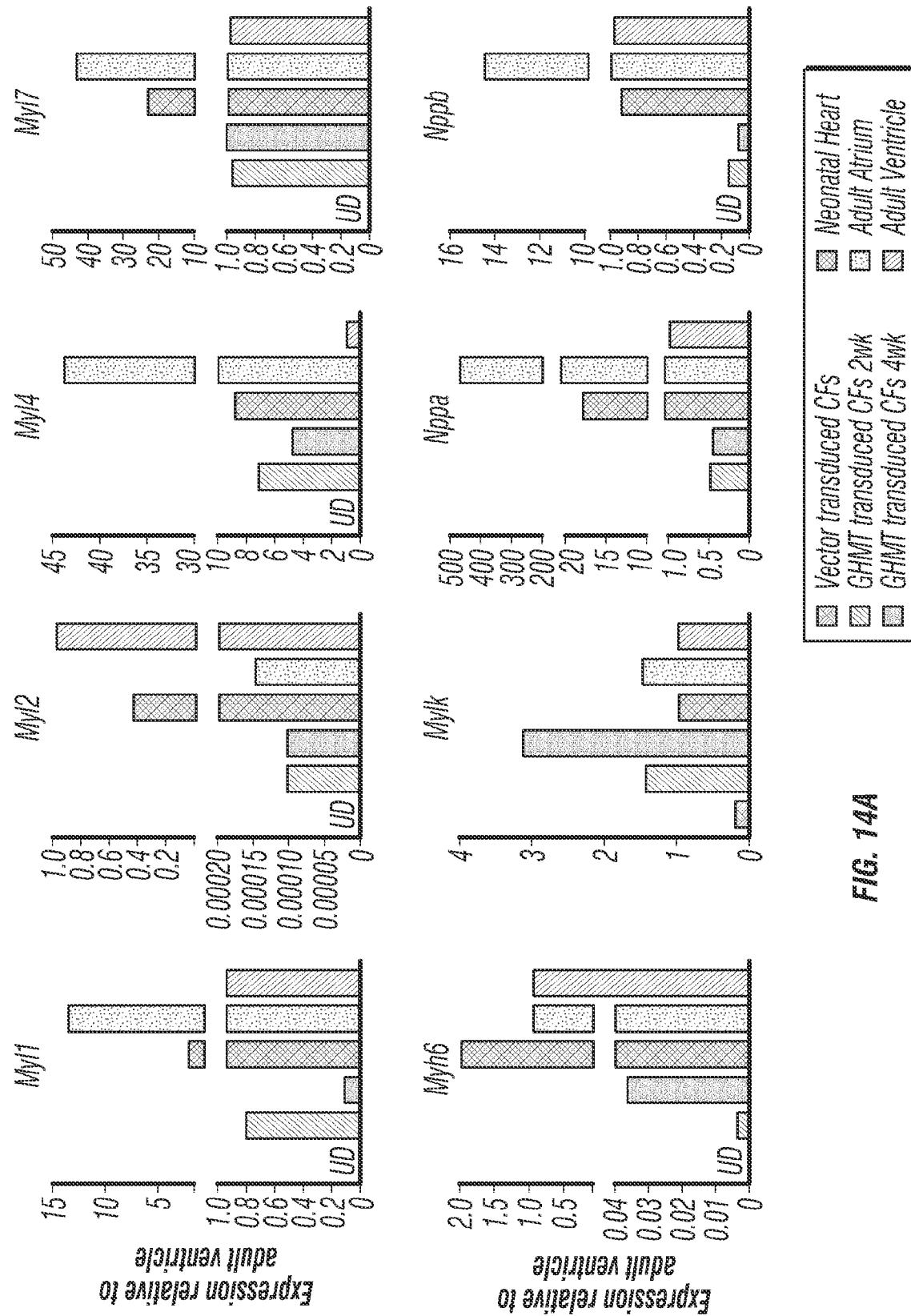


FIG. 14A

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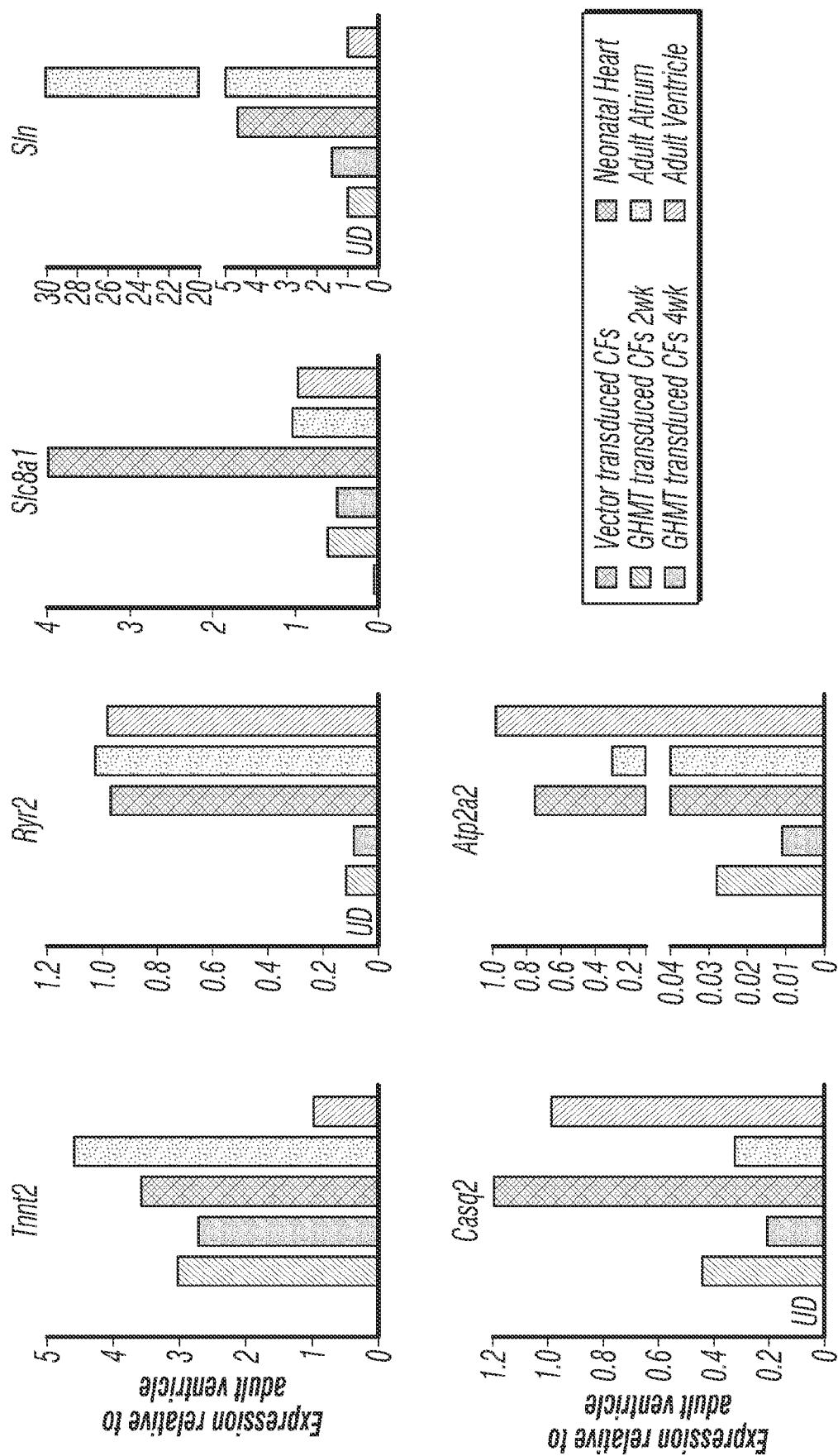
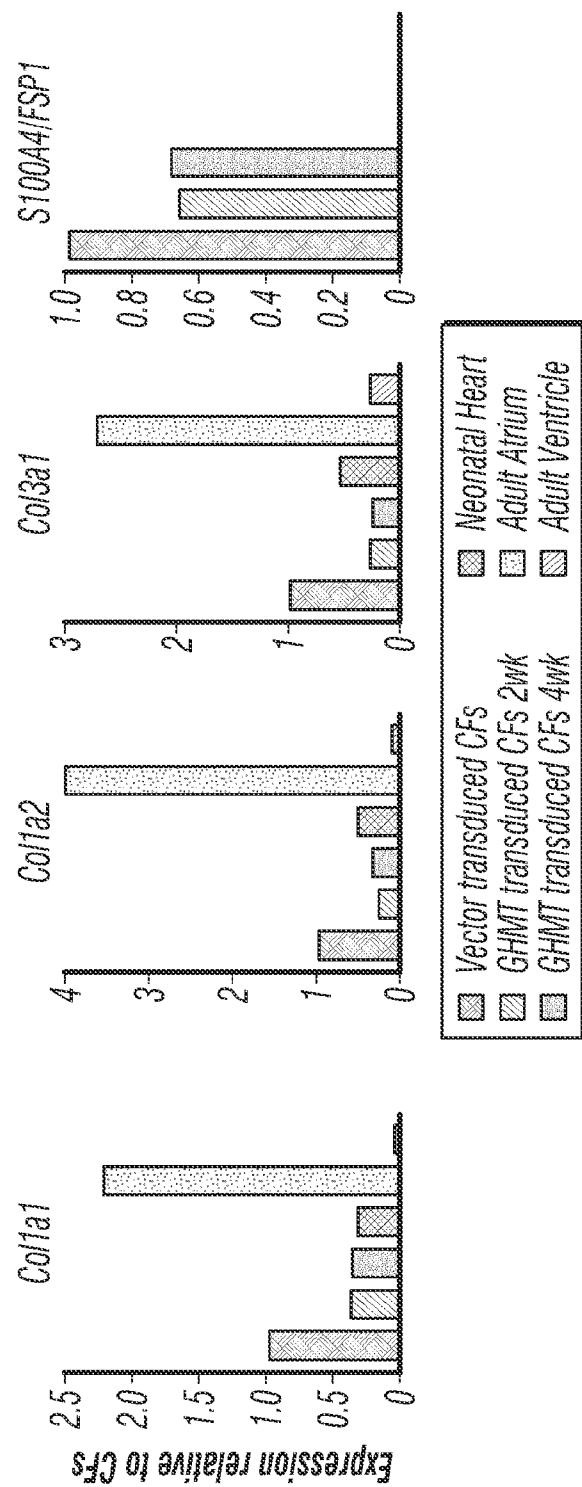


FIG. 14A (Cont'd)

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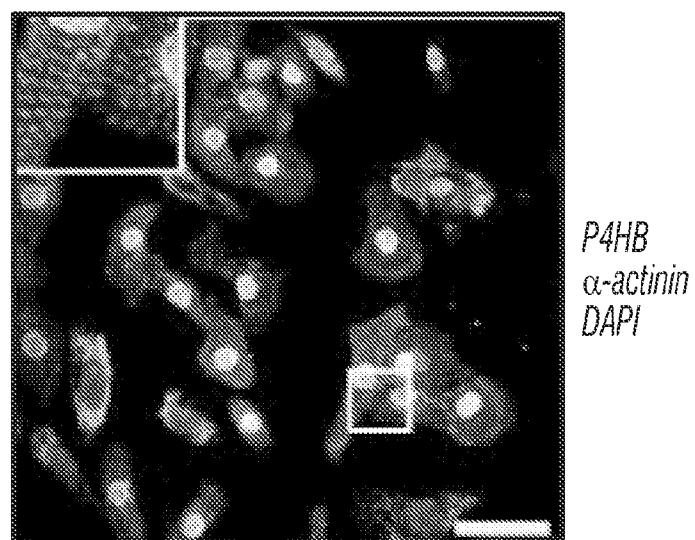


FIG. 15A

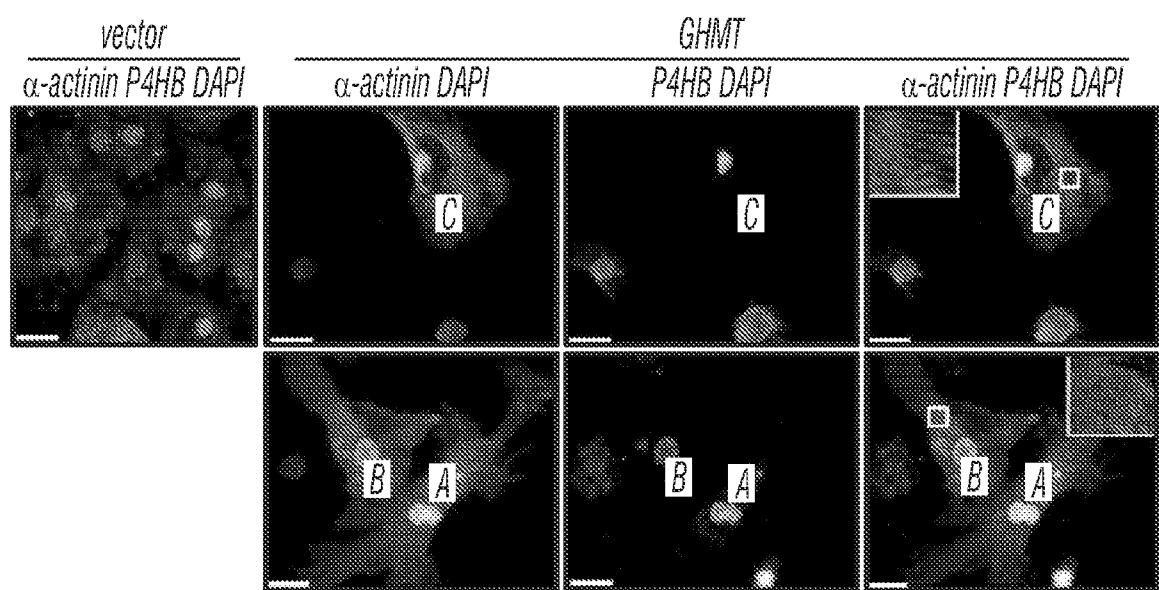


FIG. 15B

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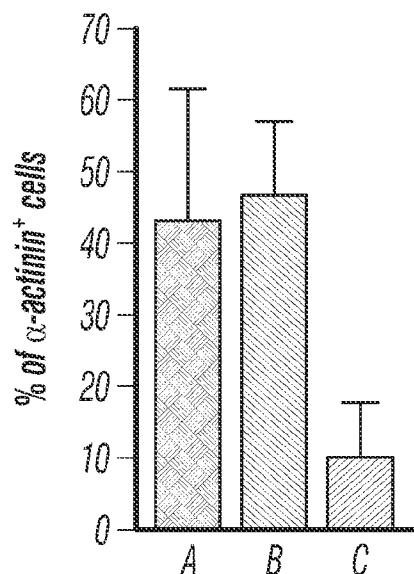


FIG. 15C

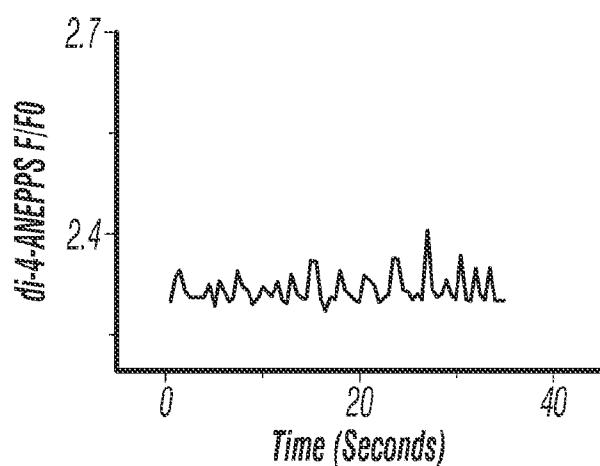


FIG. 16

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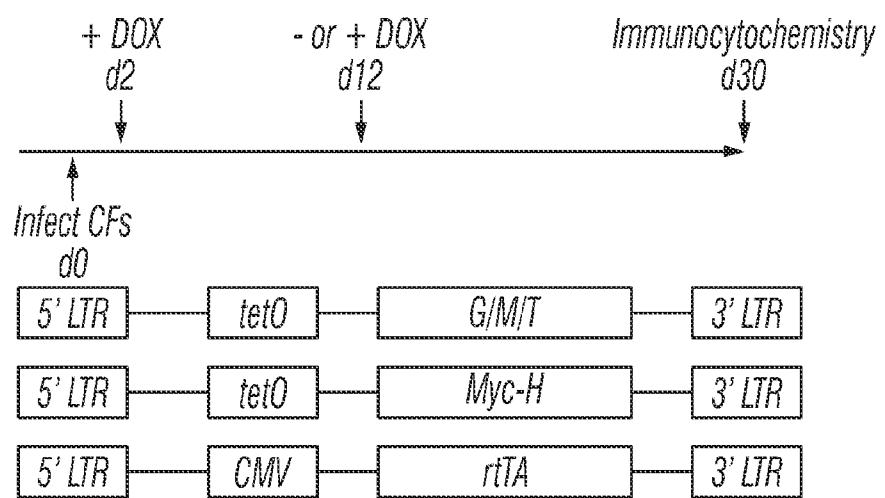


FIG. 17A

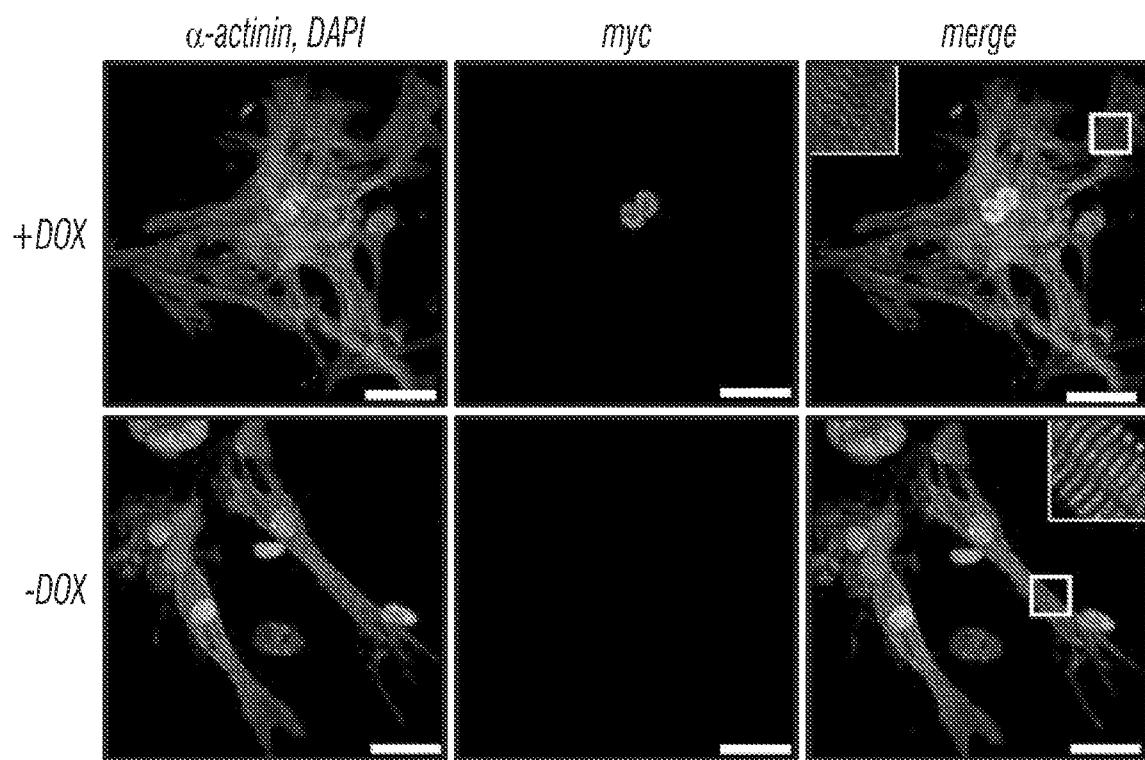


FIG. 17B

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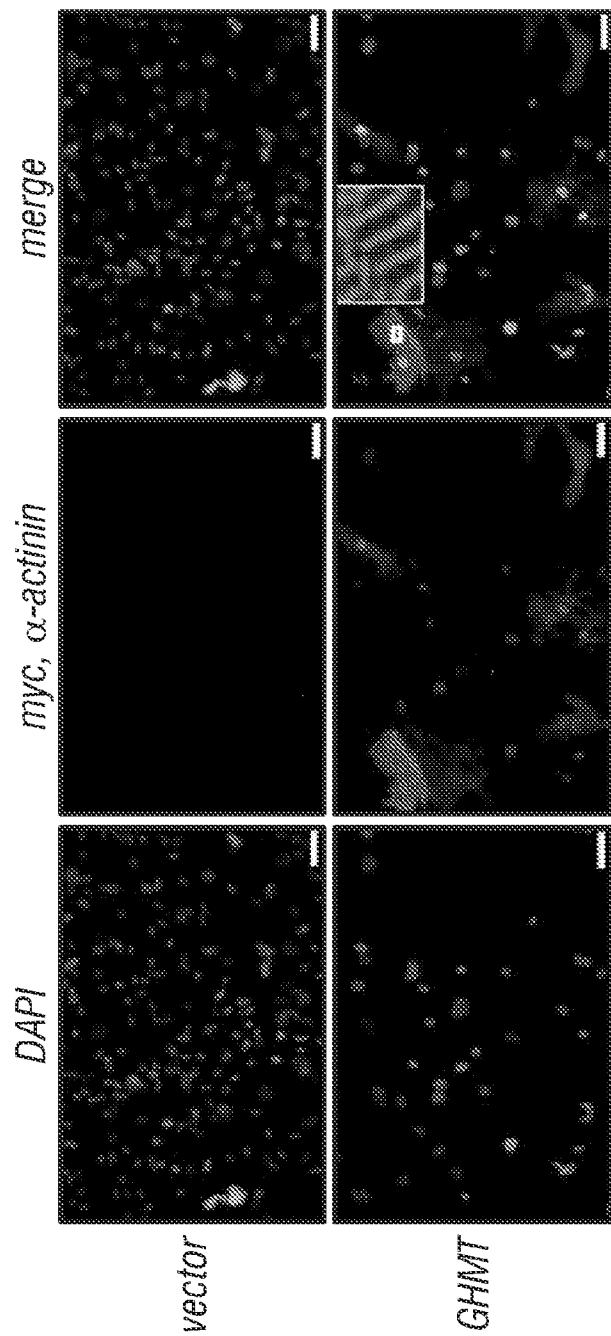


FIG. 18A

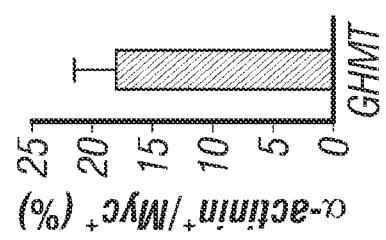


FIG. 18B

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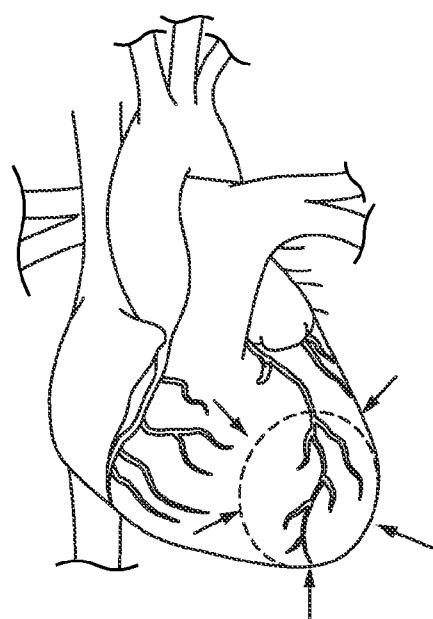


FIG. 19A

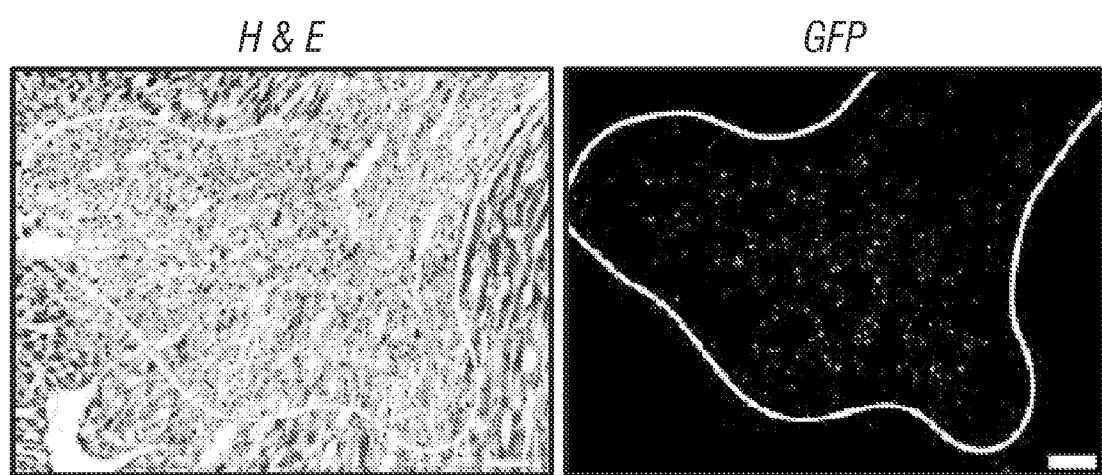


FIG. 19B

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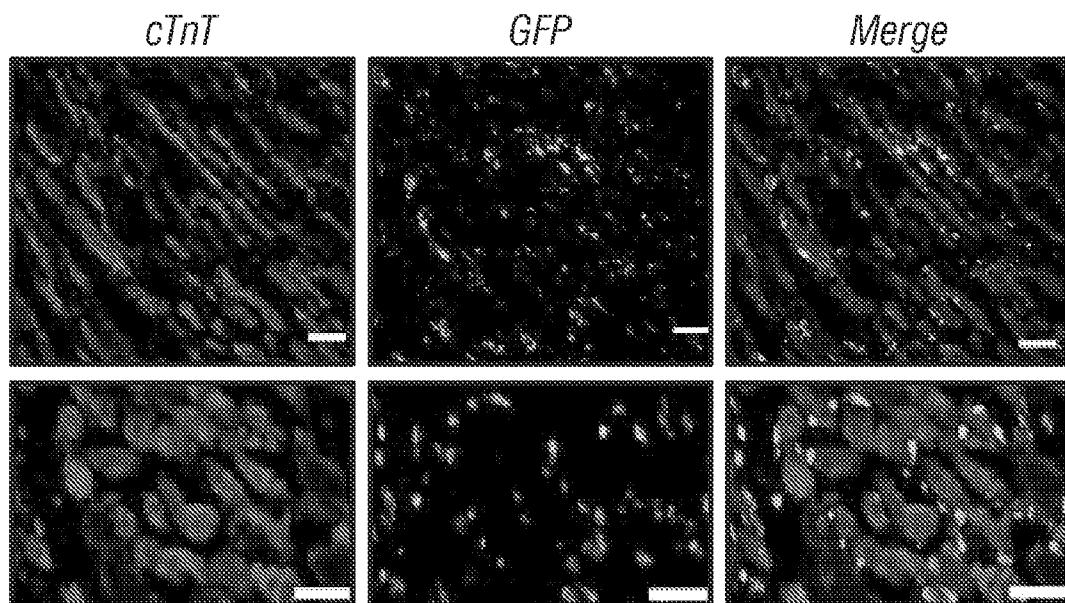


FIG. 19C

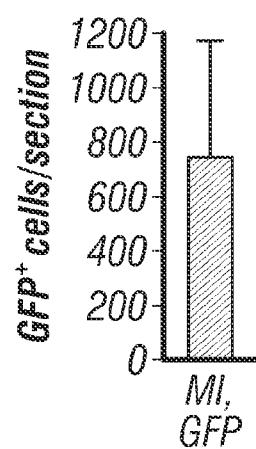


FIG. 19D

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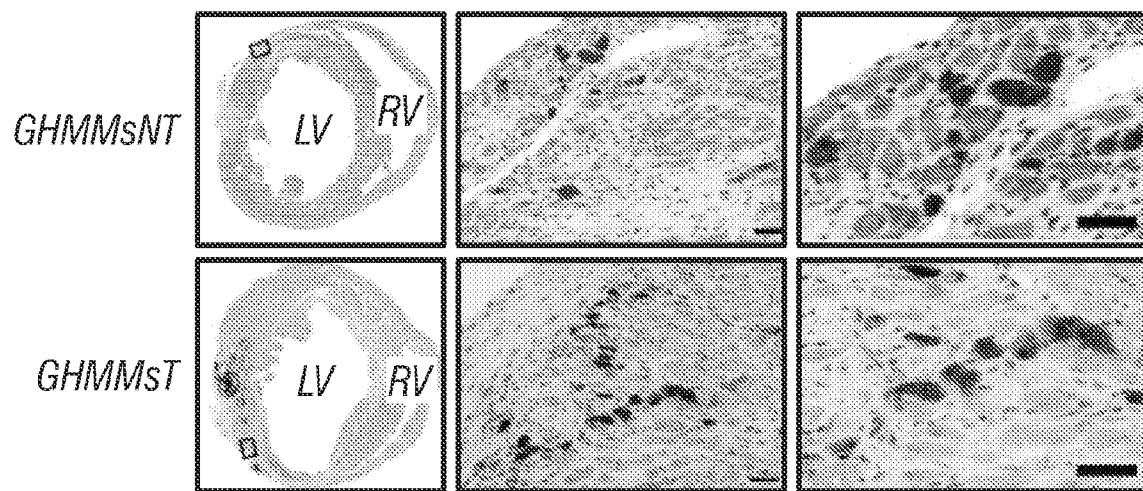


FIG. 20A

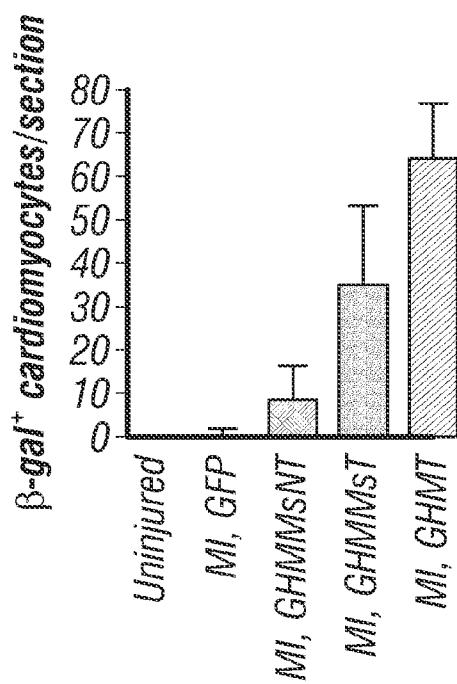


FIG. 20B

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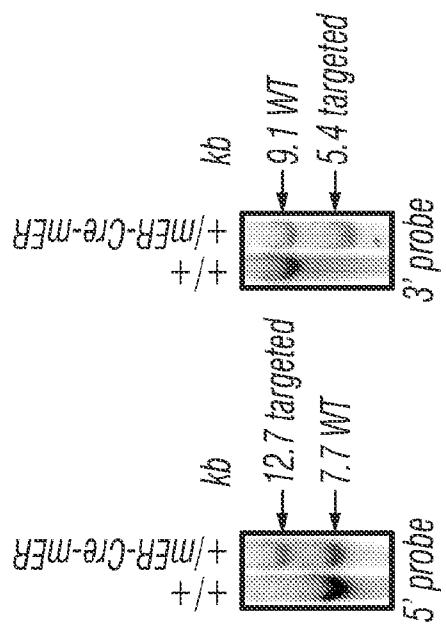


FIG. 21B

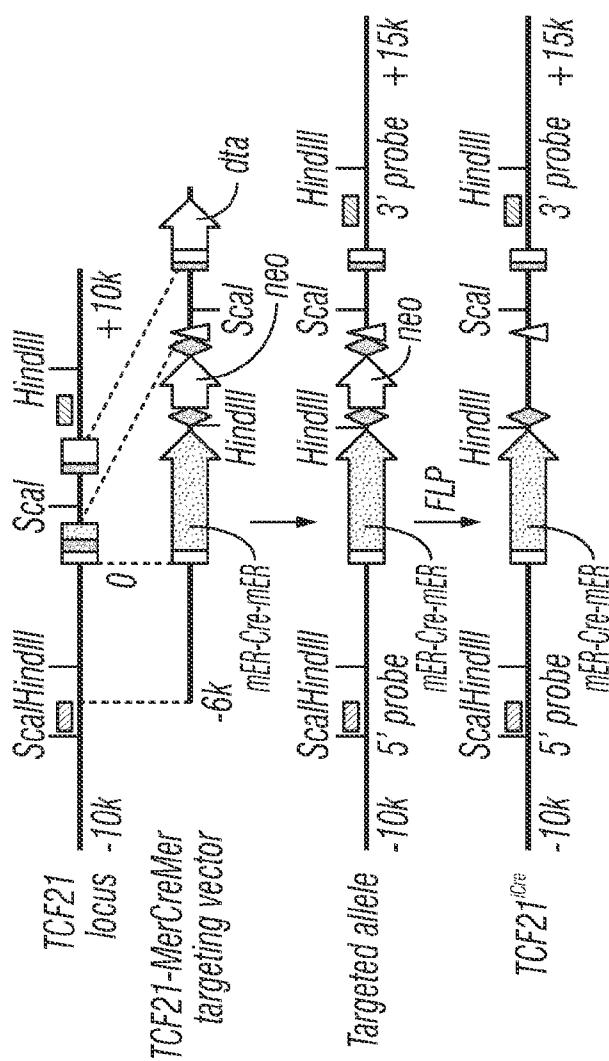


FIG. 21A

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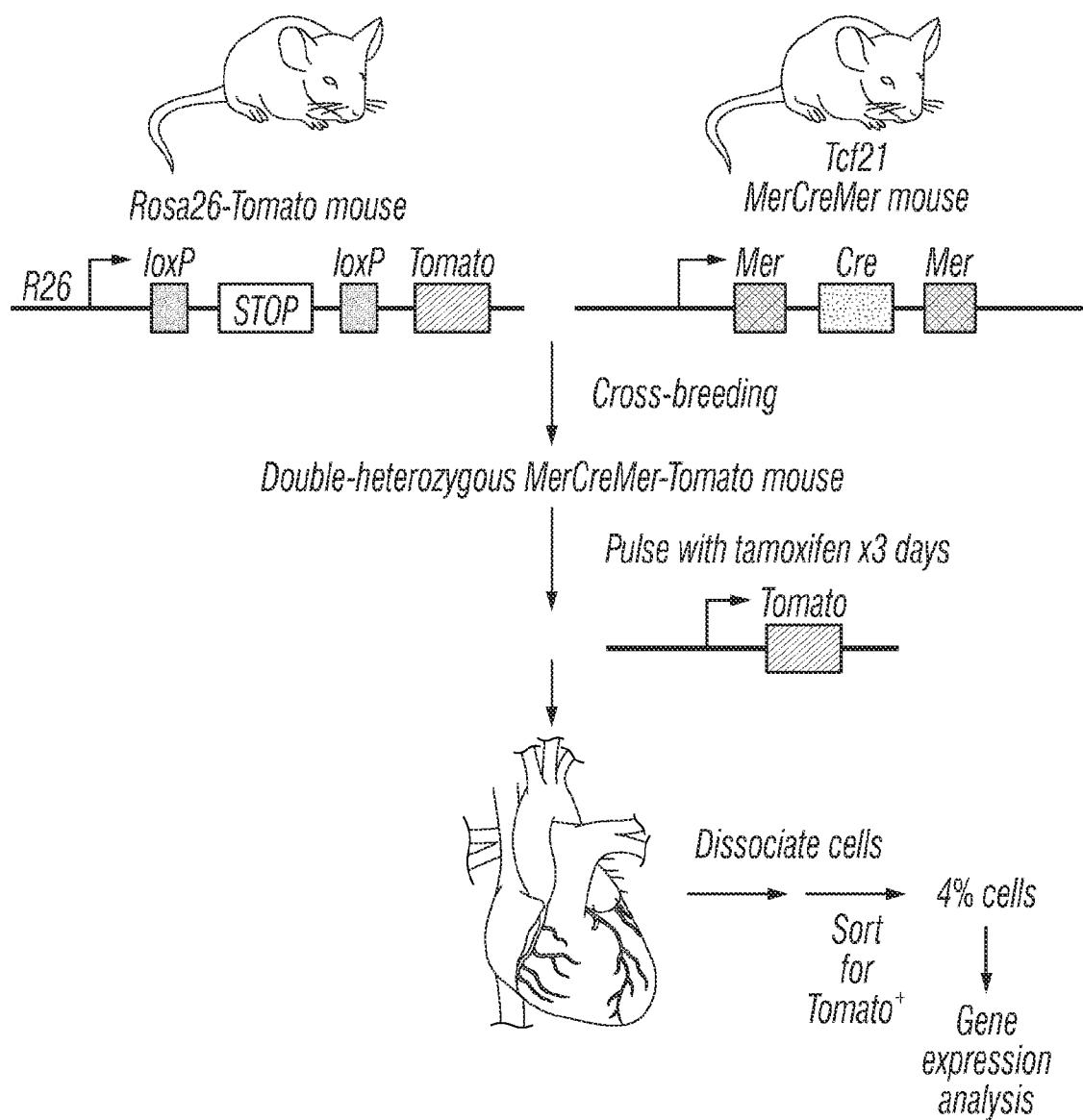


FIG. 21C

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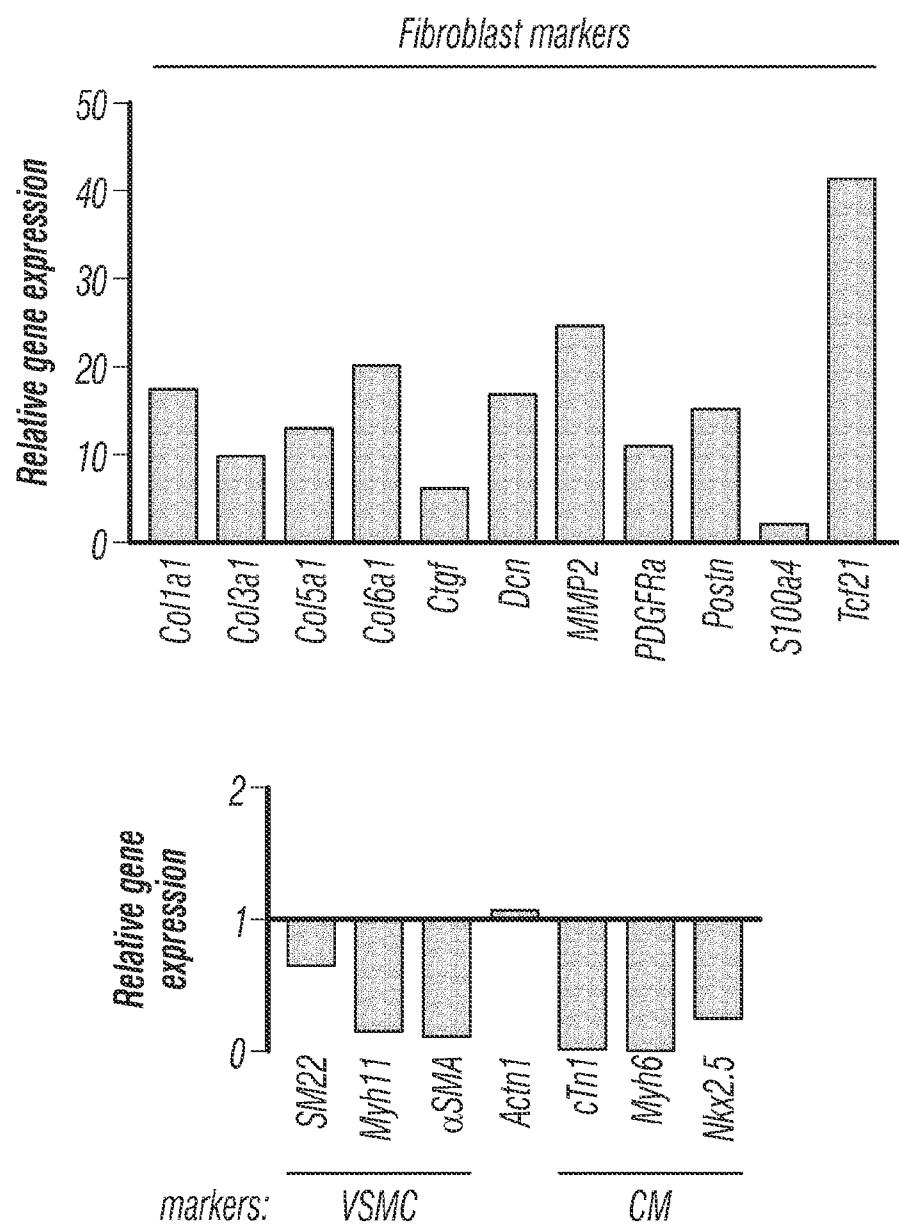


FIG. 21D

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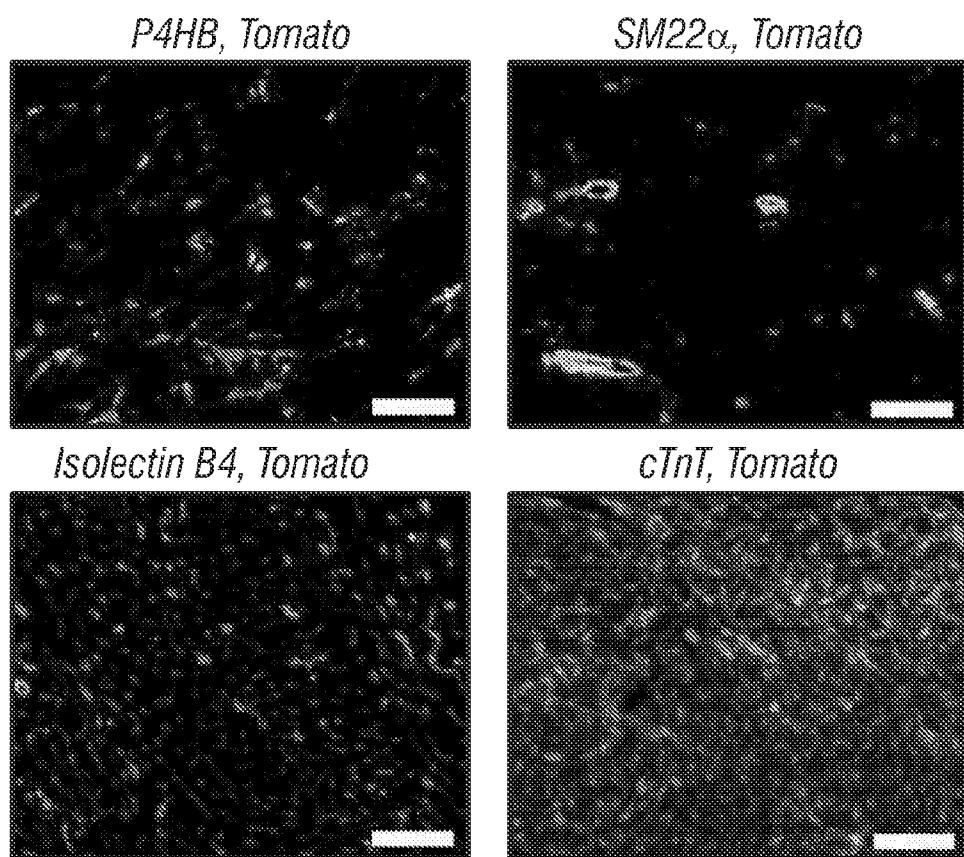


FIG. 21E

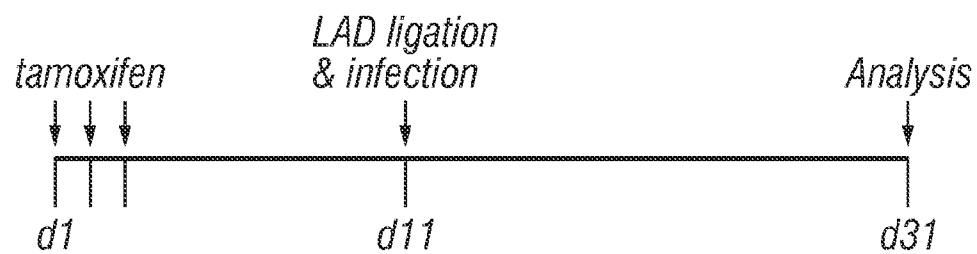
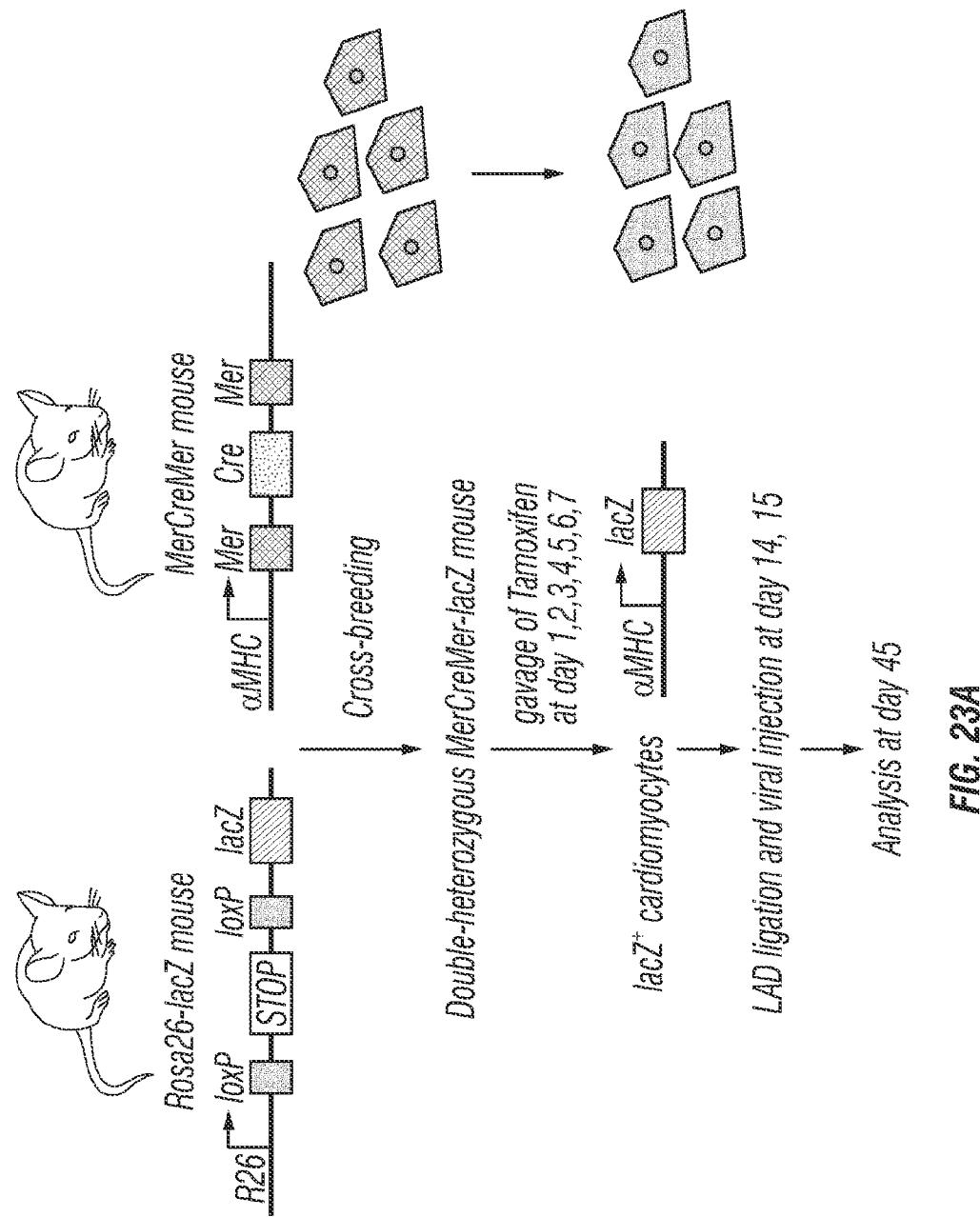


FIG. 22

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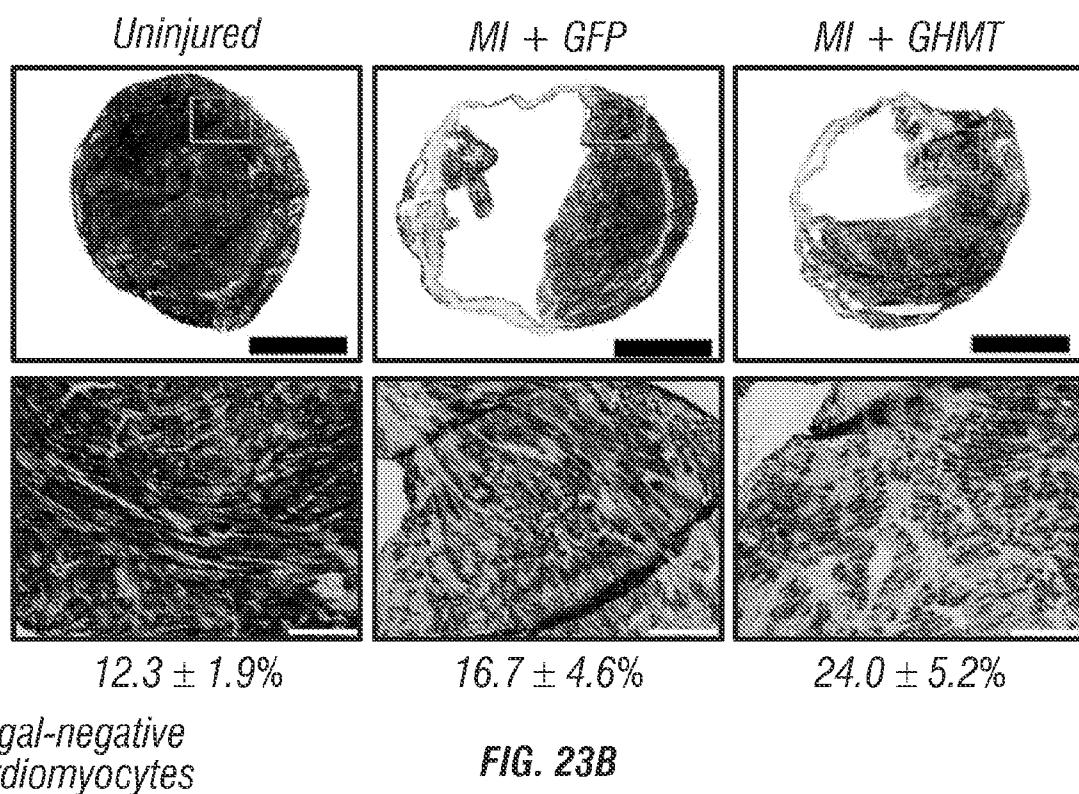


FIG. 23B

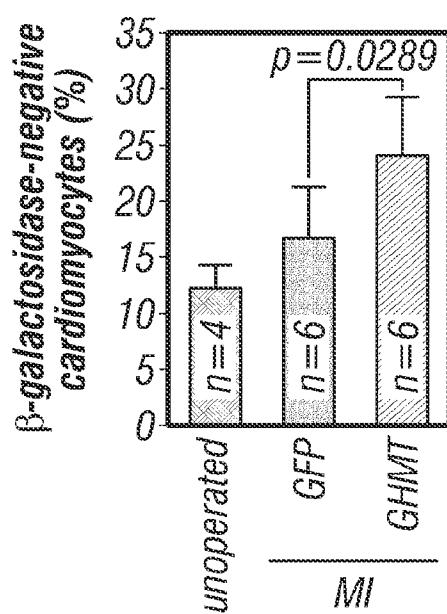
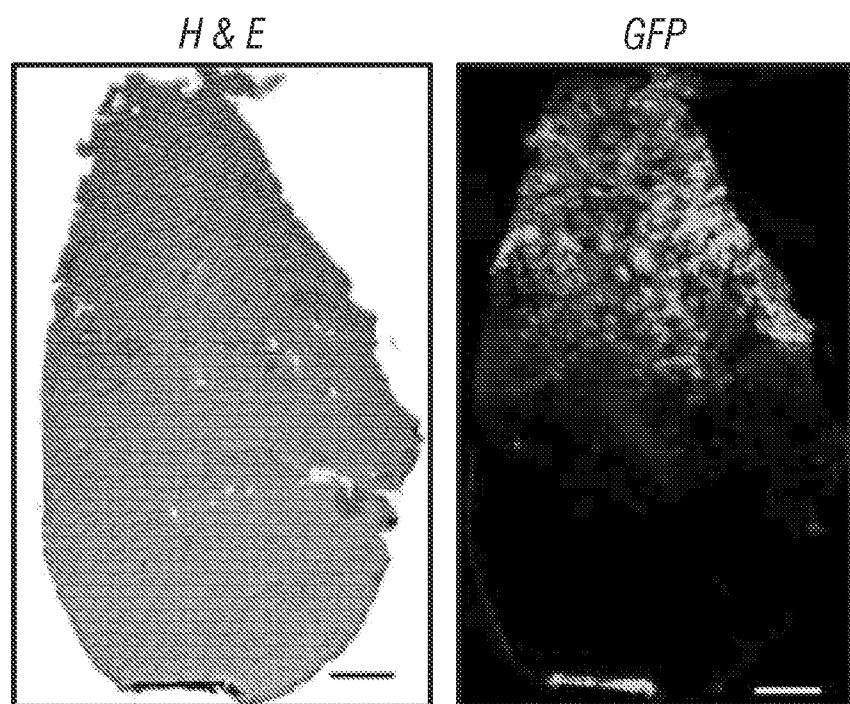


FIG. 23C

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*FIG. 24*

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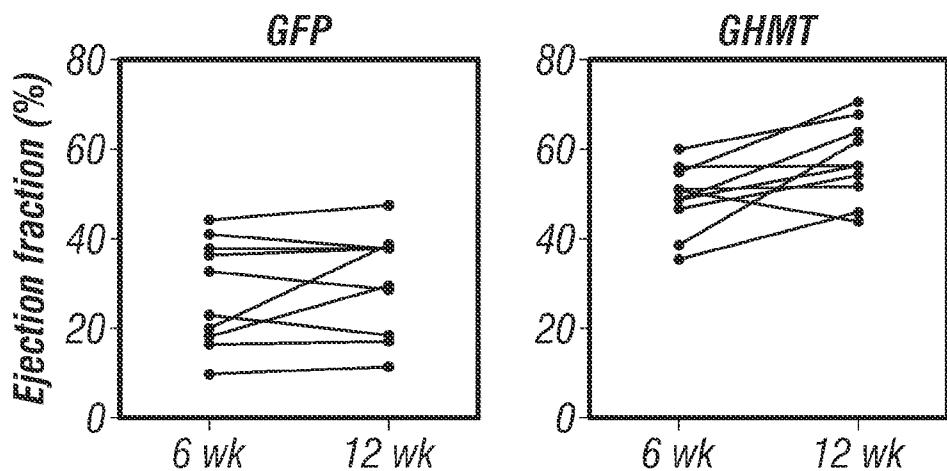


FIG. 25A

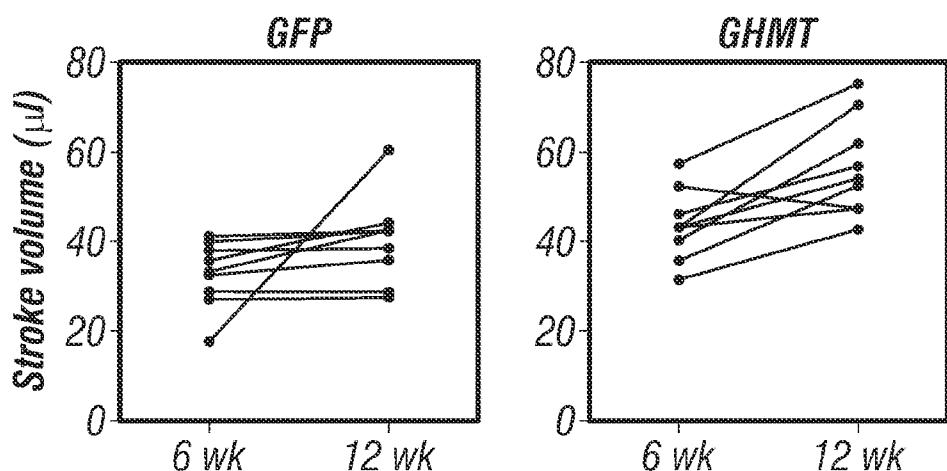


FIG. 25B

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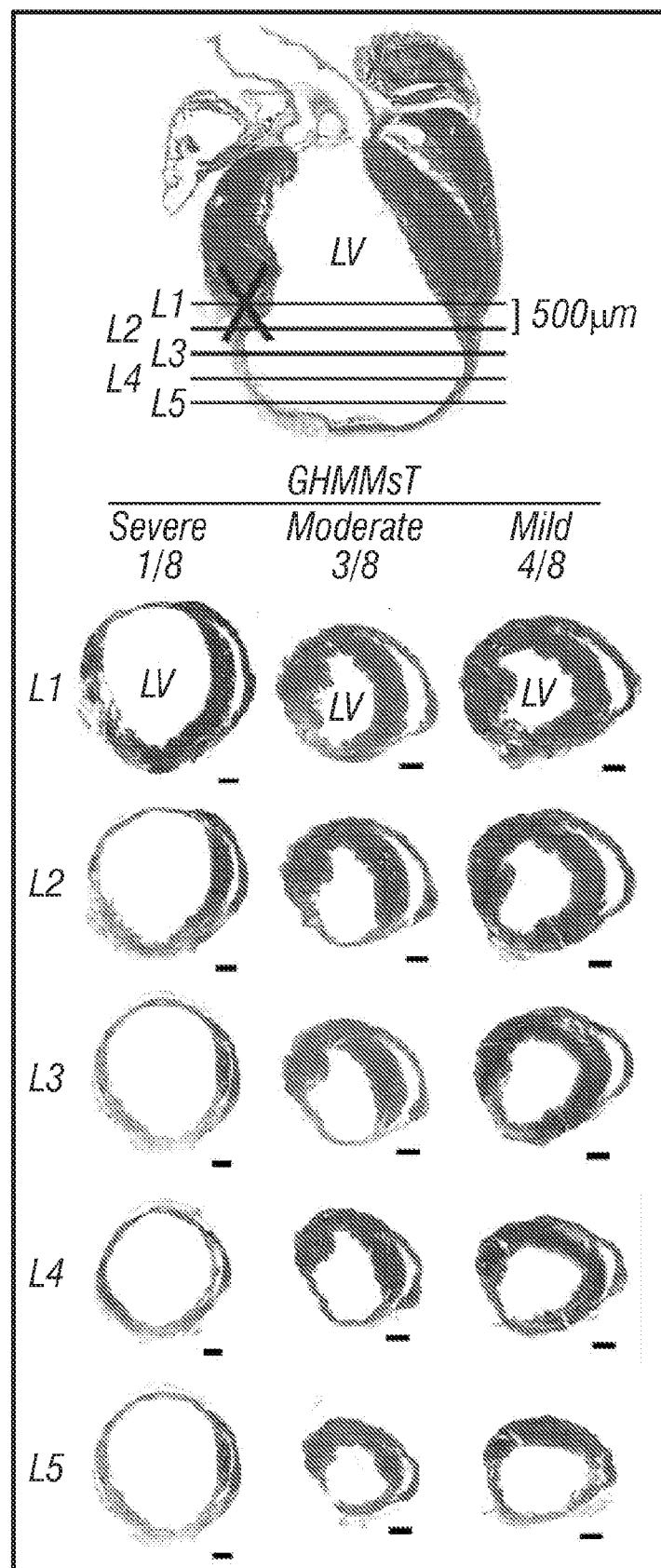


FIG. 26

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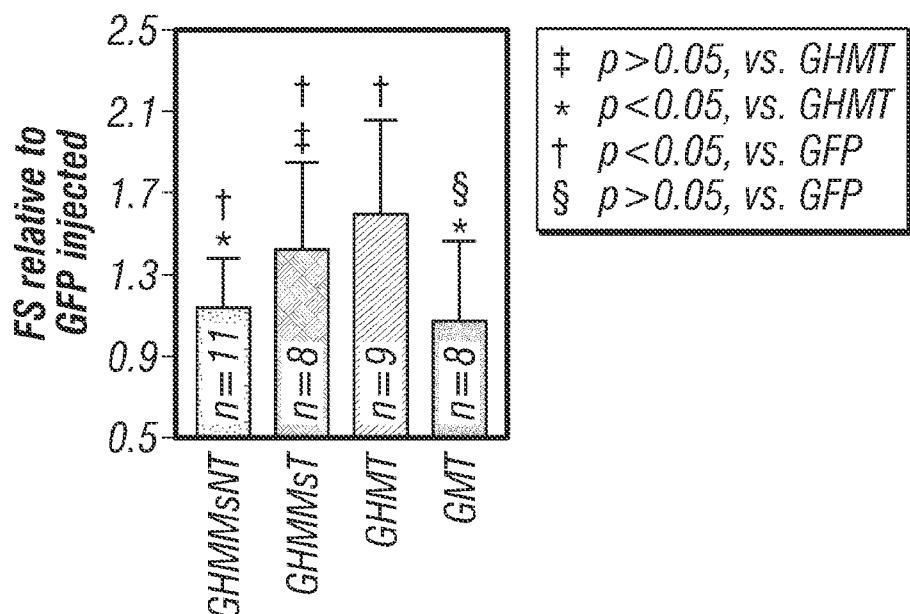


FIG. 27

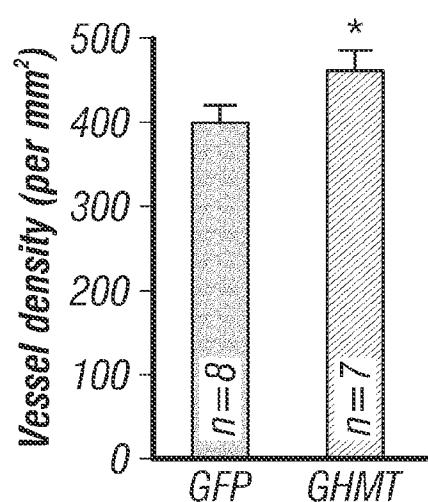


FIG. 28

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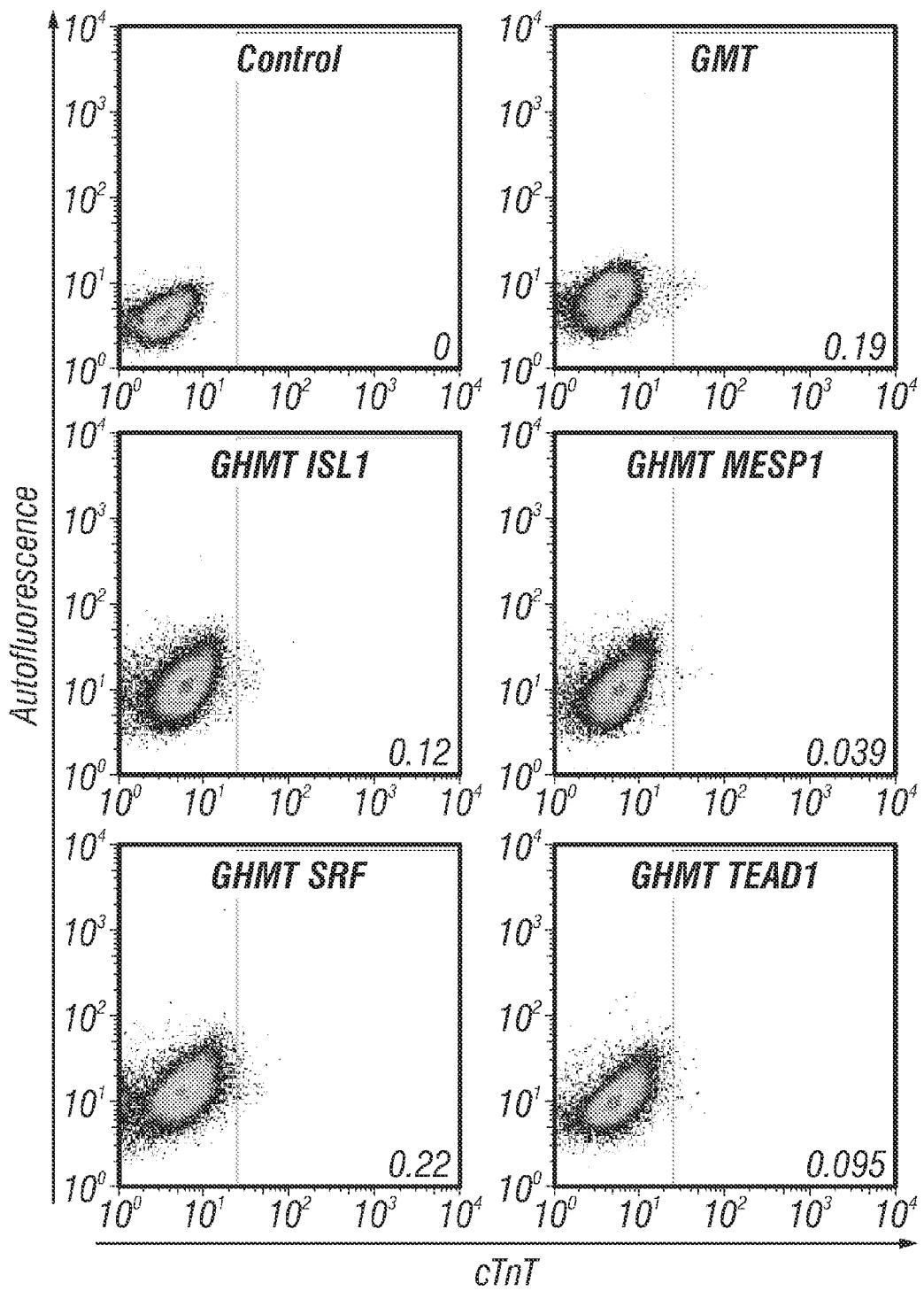


FIG. 29

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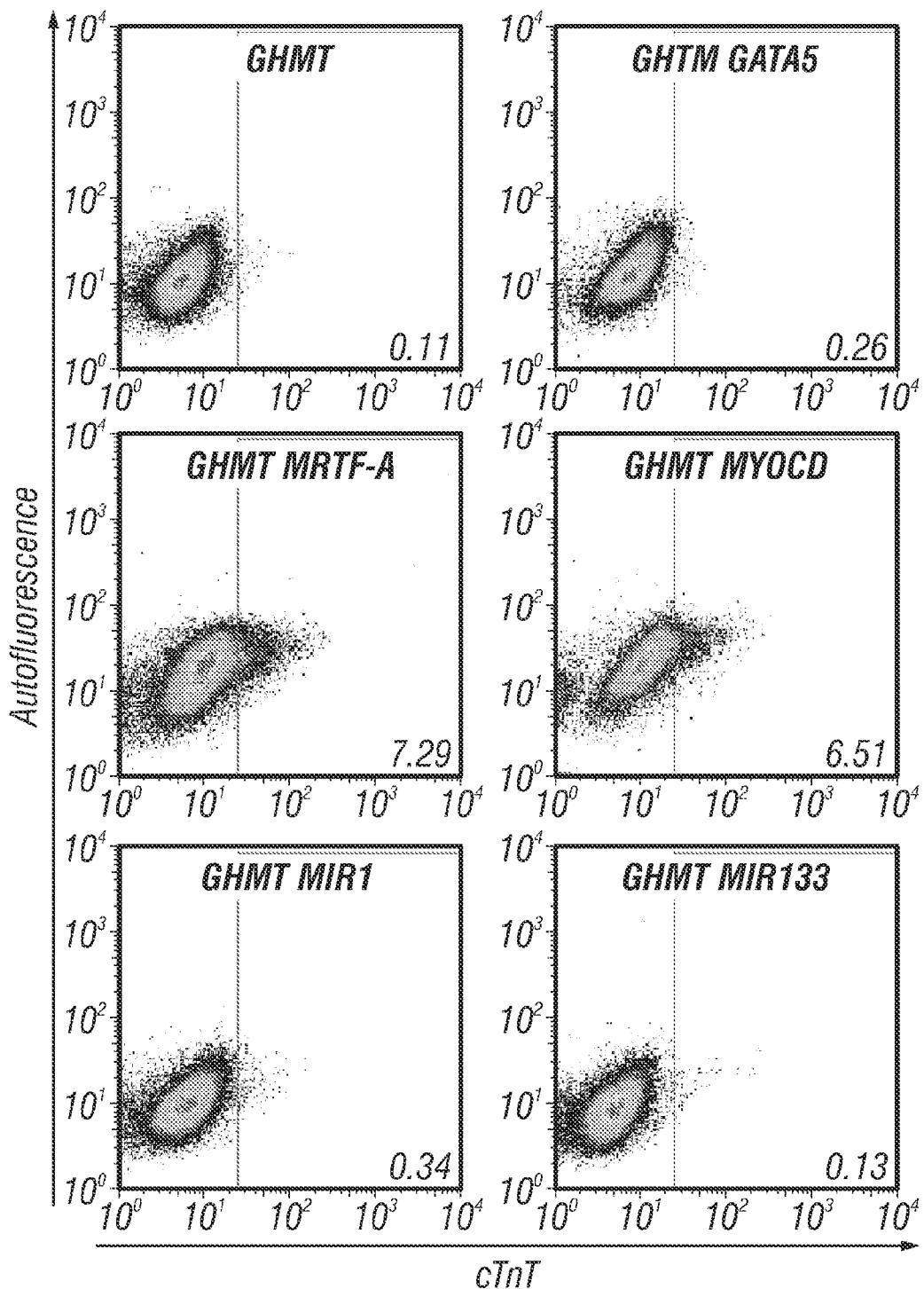


FIG. 29 (Cont'd)

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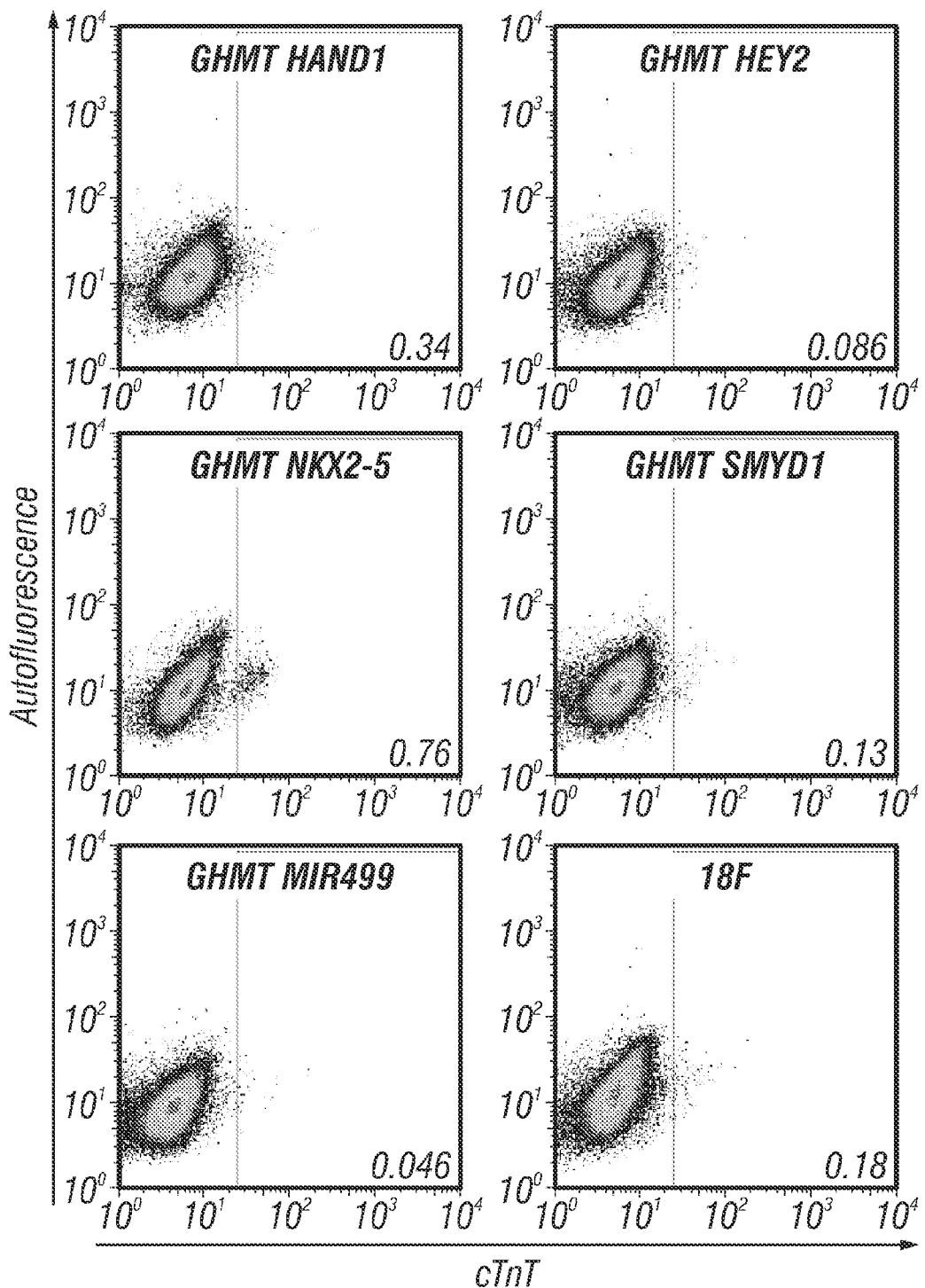


FIG. 29 (Cont'd)

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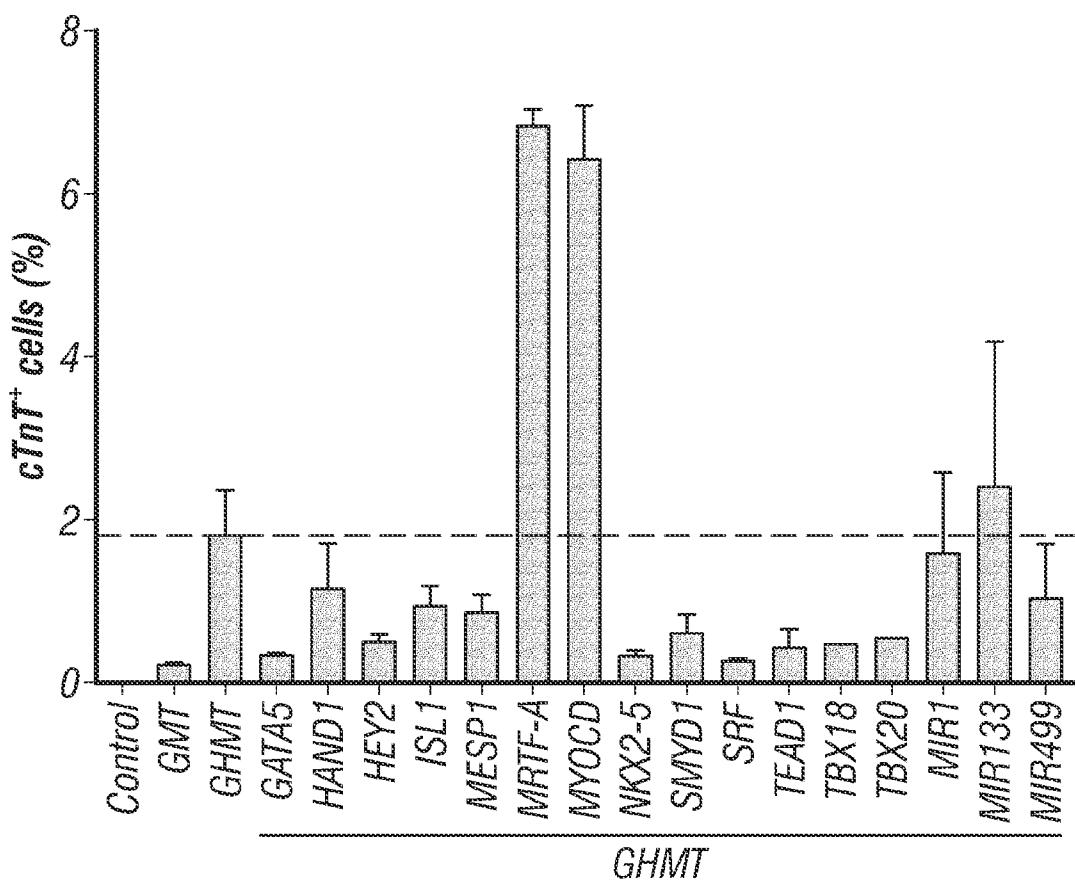


FIG. 29 (Cont'd)

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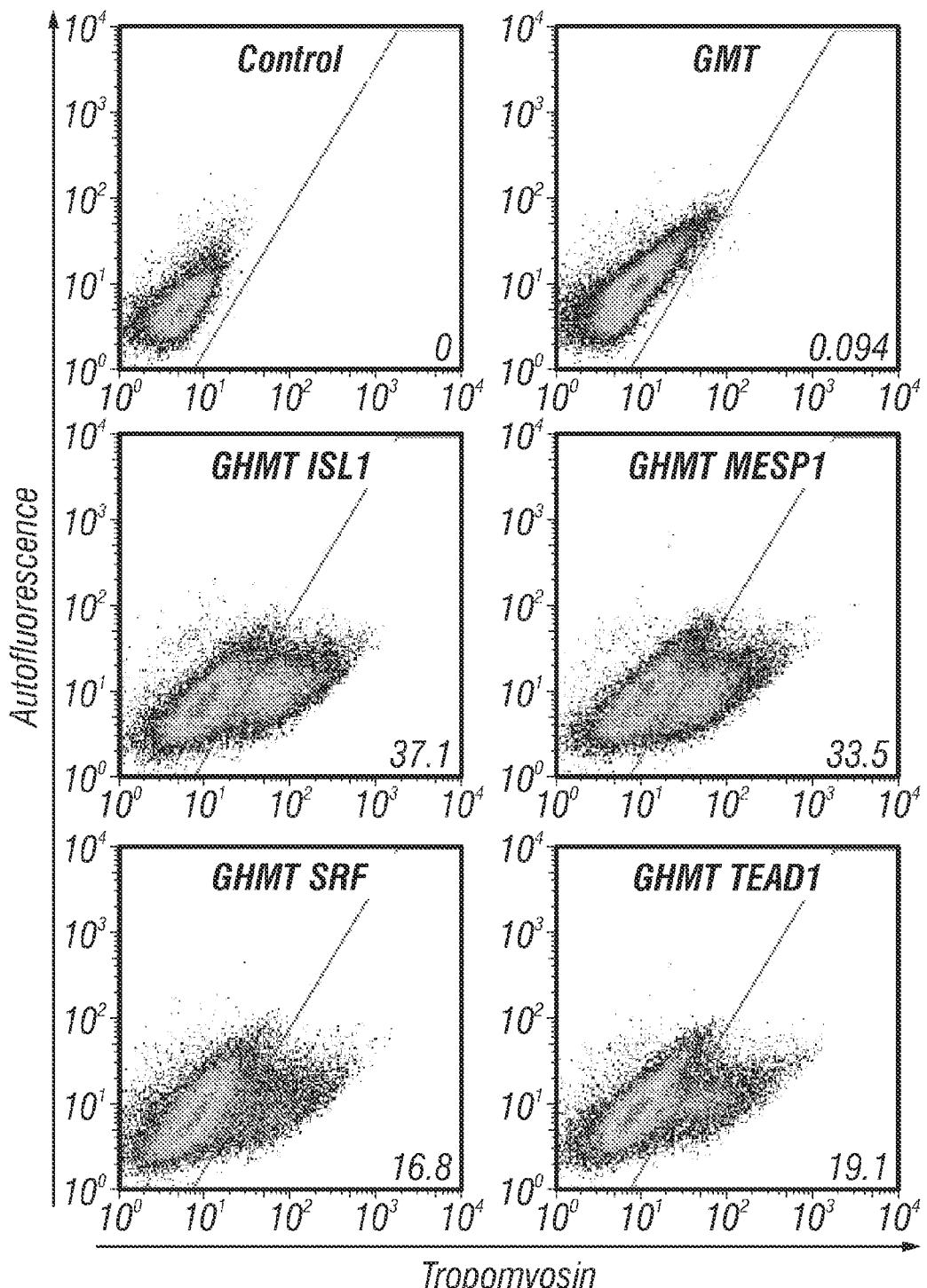


FIG. 30

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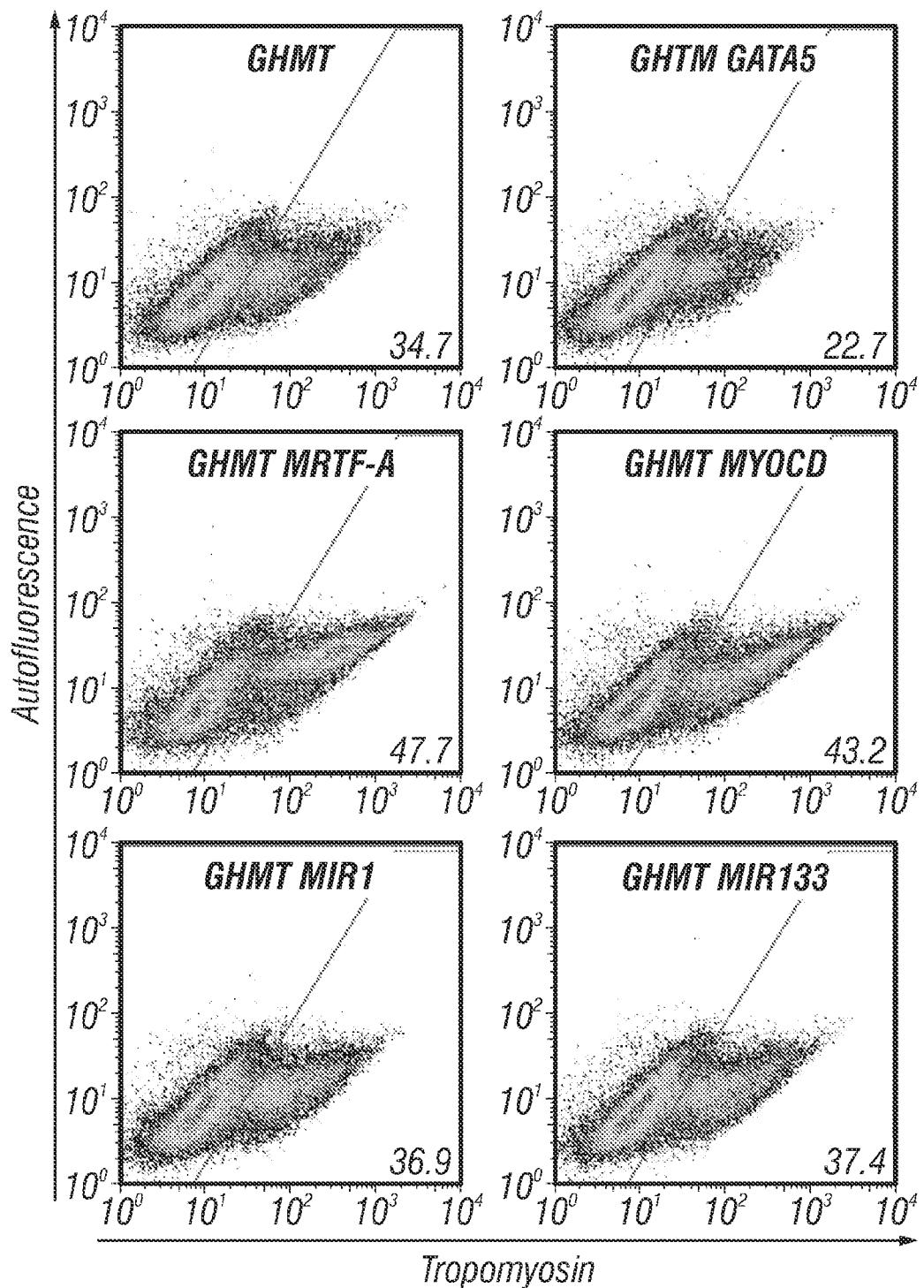


FIG. 30 (Cont'd)

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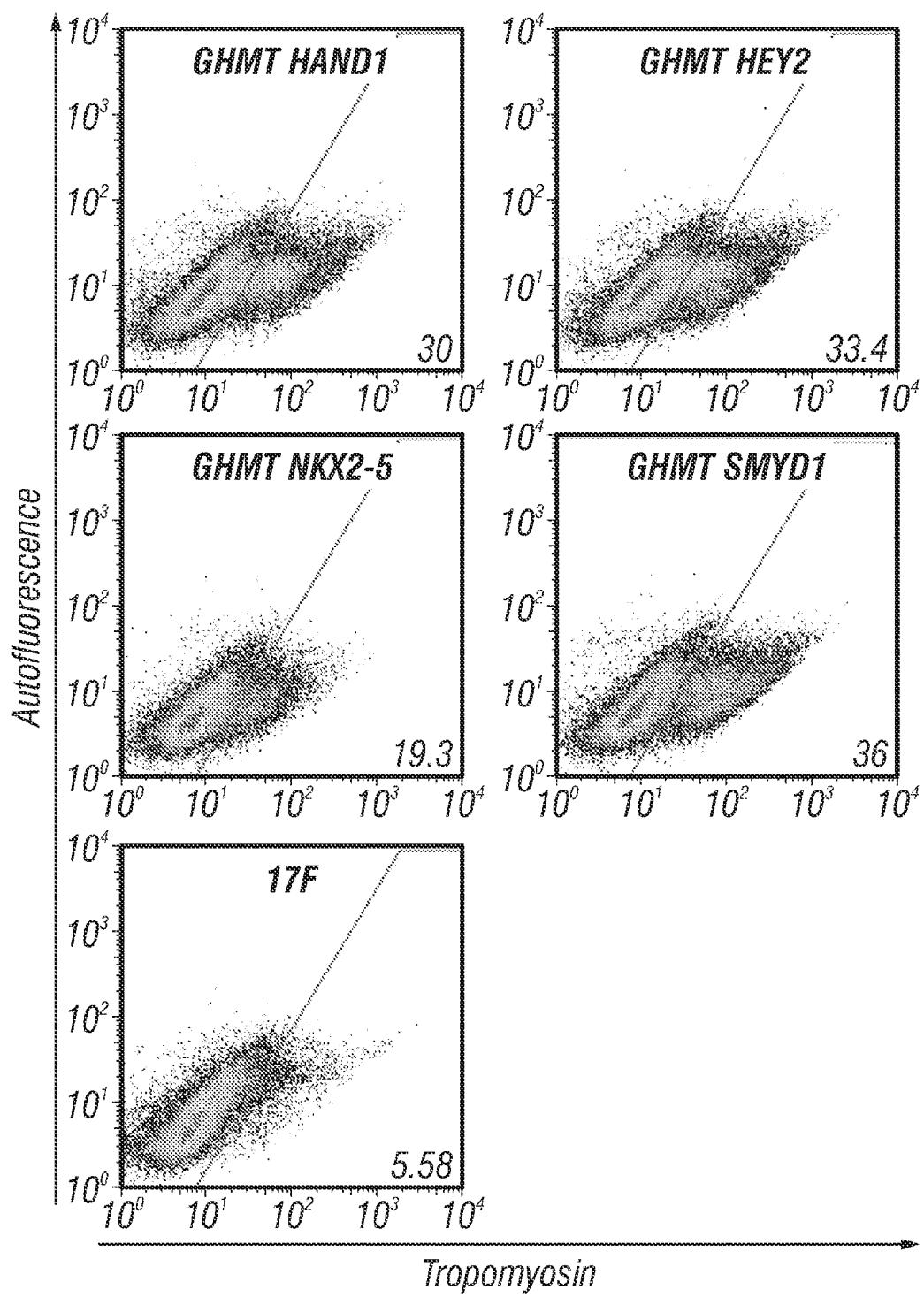


FIG. 30 (Cont'd)

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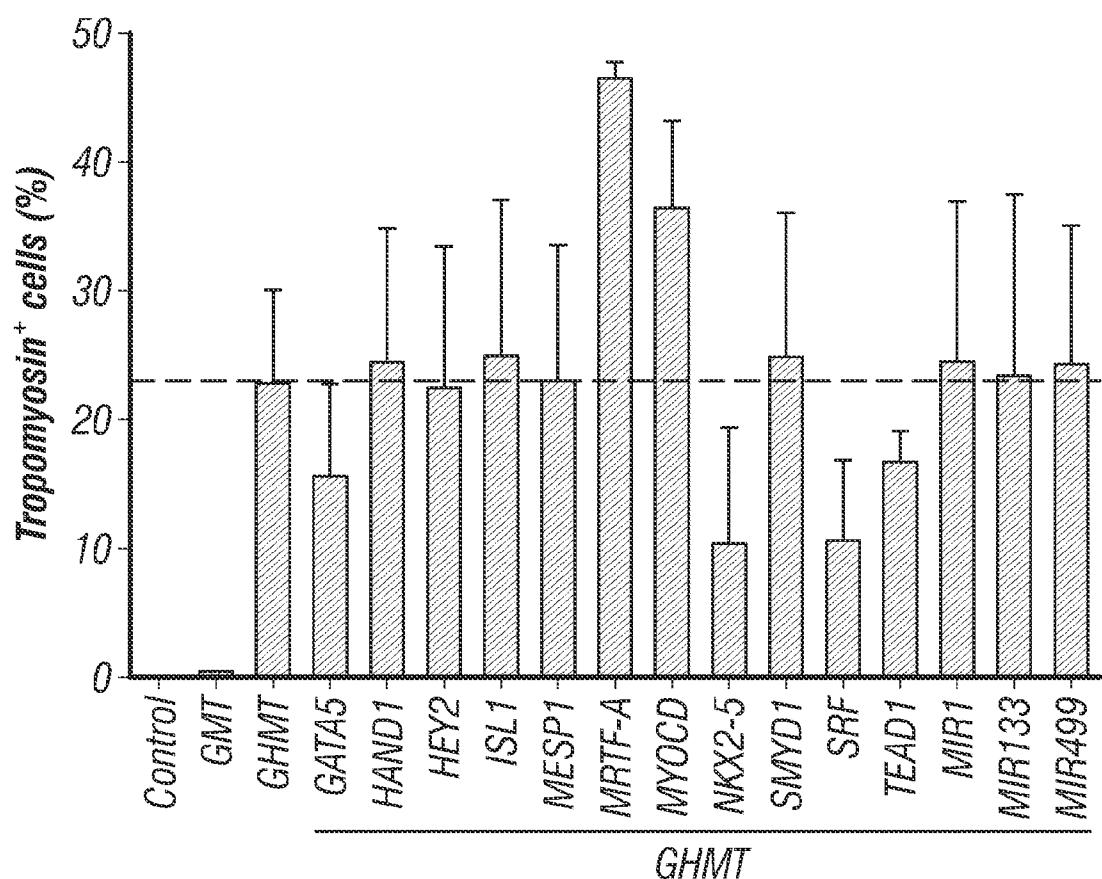


FIG. 30 (Cont'd)

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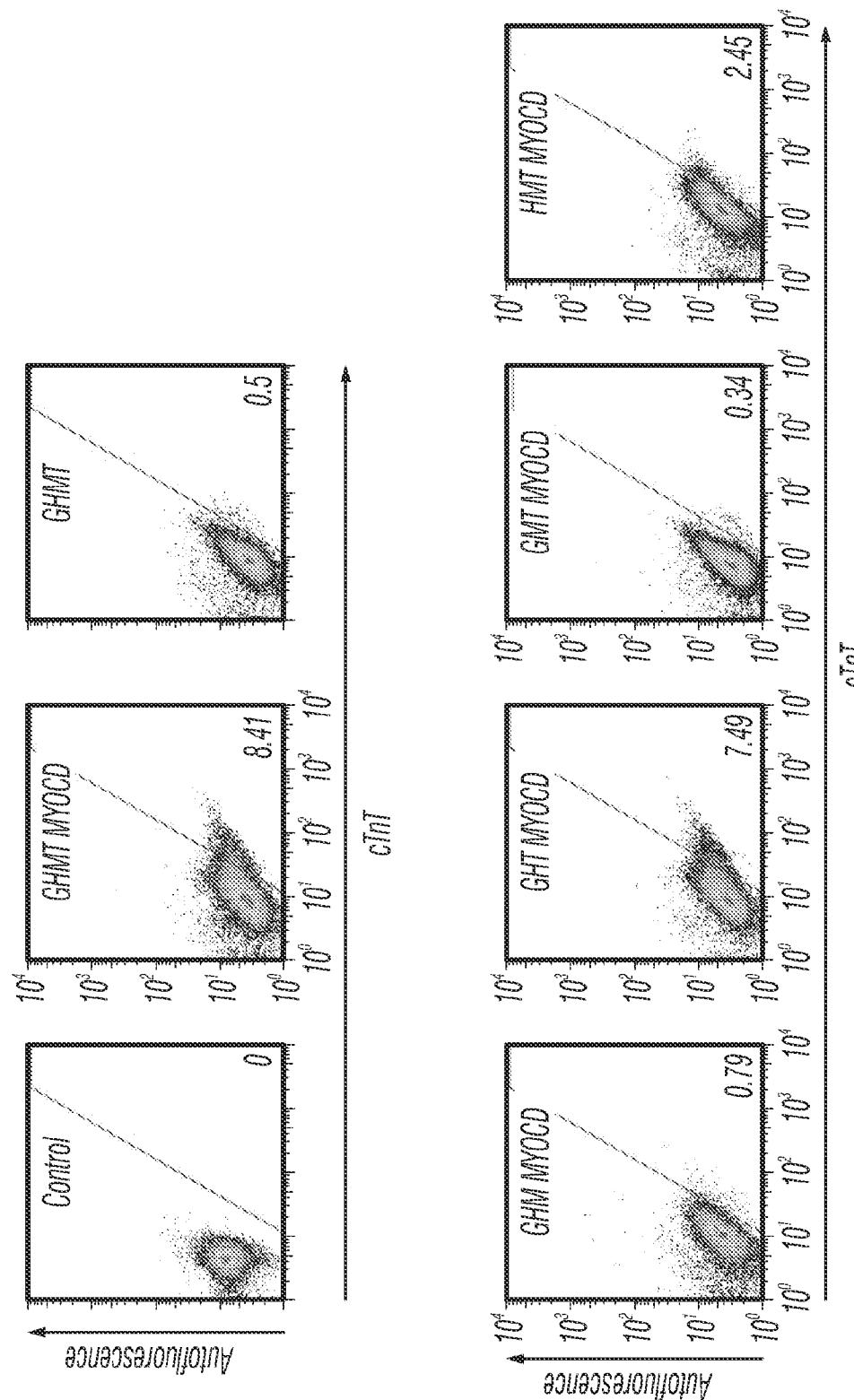


FIG. 31

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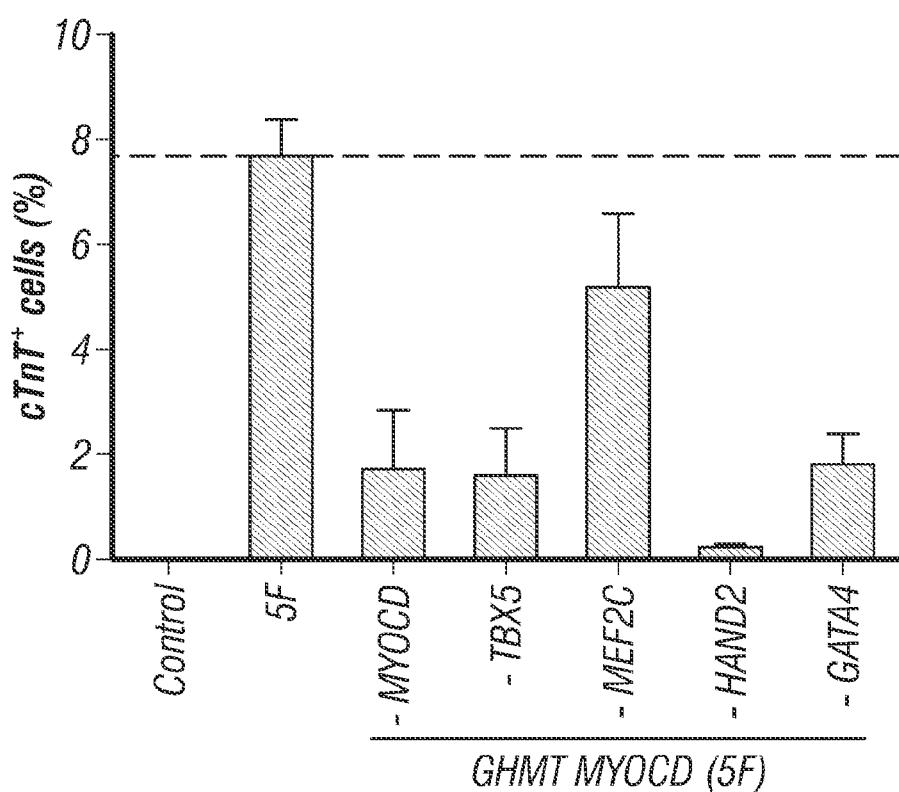


FIG. 31 (Cont'd)

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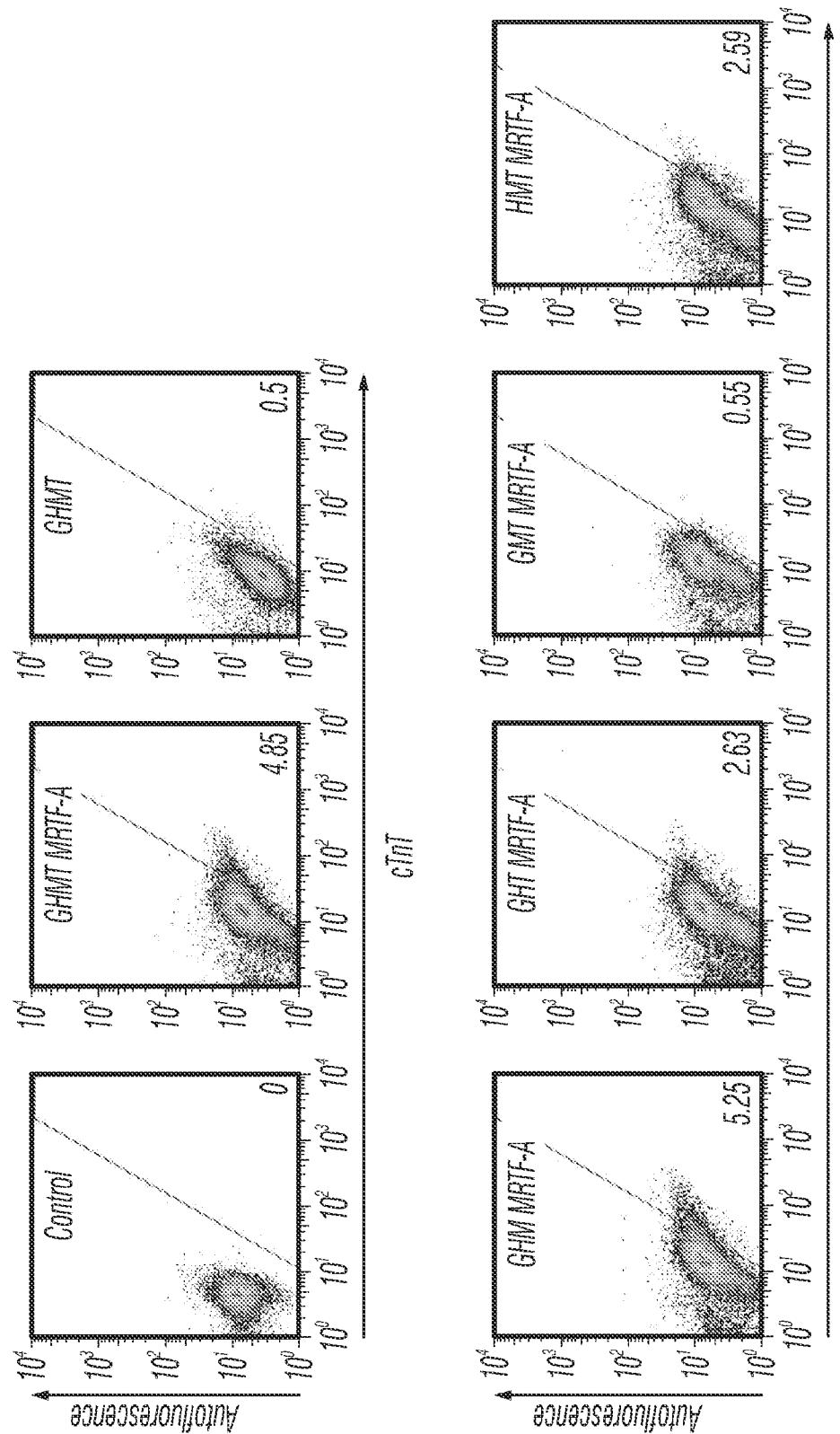


FIG. 32

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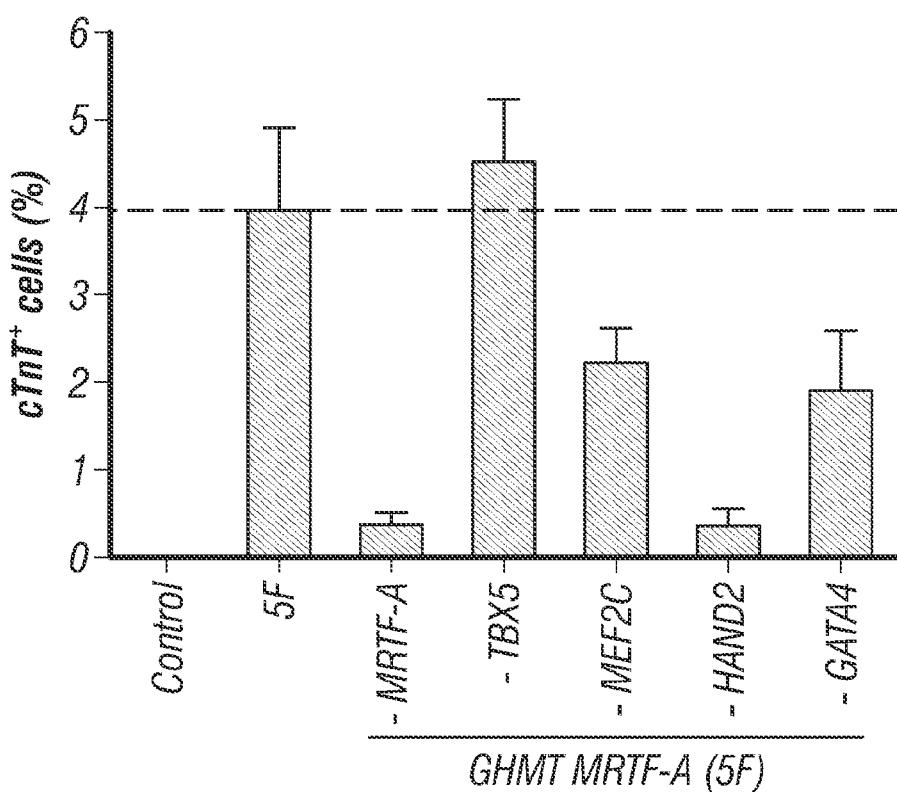
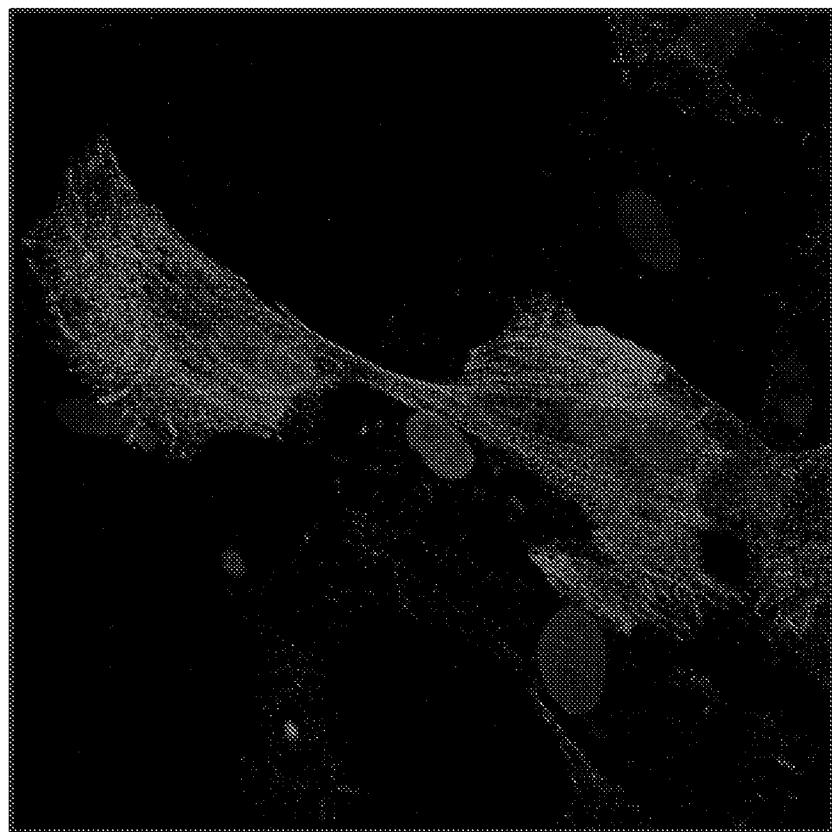


FIG. 32 (Cont'd)

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*FIG. 33*

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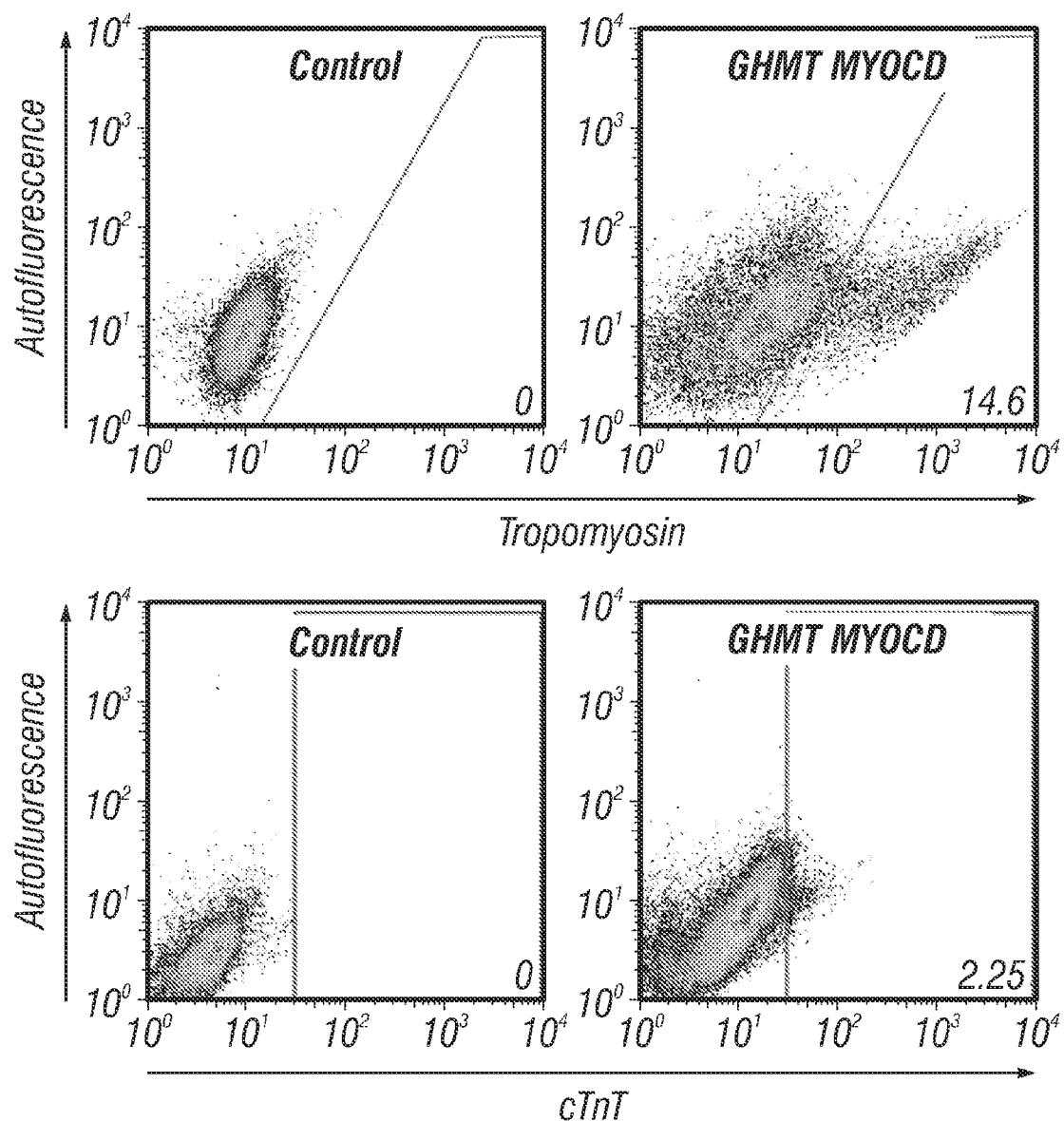
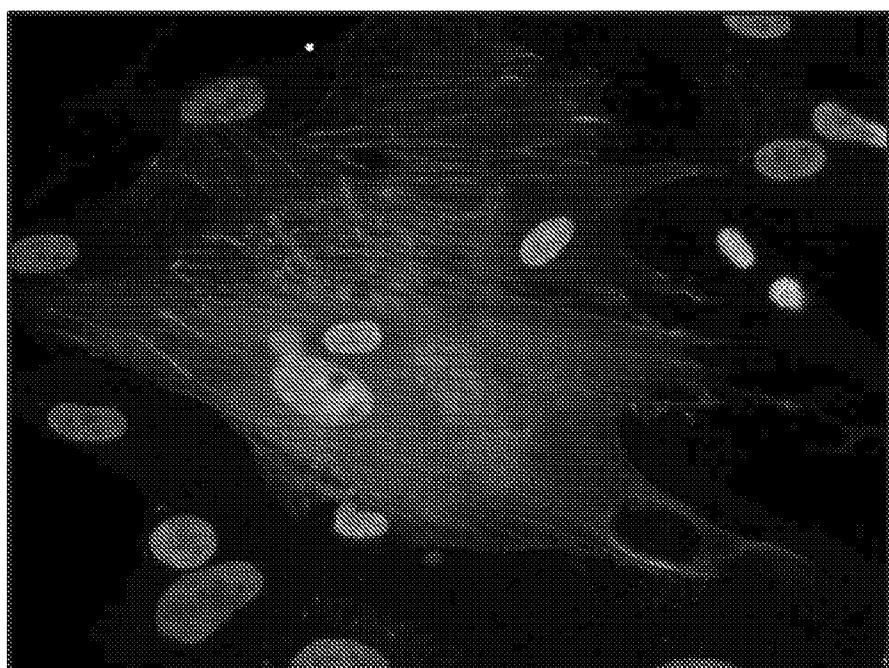


FIG. 34

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*FIG. 35*

# INTERNATIONAL SEARCH REPORT

International application No  
PCT/US2012/026113

A. CLASSIFICATION OF SUBJECT MATTER		
INV. C07K14/47 A61K38/17 A61K48/00 C12N5/077 ADD.		
According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED		
Minimum documentation searched (classification system followed by classification symbols) C07K C12N A61K		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) EPO-Internal, WPI Data, BIOSIS		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>MASAKI IEDA ET AL: "Direct Reprogramming of Fibroblasts into Functional Cardiomyocytes by Defined Factors", CELL, vol. 142, no. 3, 1 August 2010 (2010-08-01), pages 375-386, XP55027656, ISSN: 0092-8674, DOI: 10.1016/j.cell.2010.07.002 page 377, left-hand column, paragraph second - paragraph third; figures 1-3, 5, 6 page 382, paragraph first - page 385; figure 7</p> <p>-----</p> <p style="text-align: center;">-/-</p>	1-59
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C.		<input checked="" type="checkbox"/> See patent family annex.
<p>* Special categories of cited documents :</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier application or patent but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"&amp;" document member of the same patent family</p>		
Date of the actual completion of the international search	Date of mailing of the international search report	
23 May 2012	04/06/2012	
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer  Schulz, Regine	

**INTERNATIONAL SEARCH REPORT**

International application No PCT/US2012/026113	
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**C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>GHOSH TUSHAR K ET AL: "Physical Interaction between TBX5 and MEF2C Is Required for Early Heart Development", MOLECULAR AND CELLULAR BIOLOGY, AMERICAN SOCIETY FOR MICROBIOLOGY, WASHINGTON, US, vol. 29, no. 8, 1 April 2009 (2009-04-01), pages 2205-2218, XP002592557, ISSN: 0270-7306, DOI: 10.1128/MCB.01923-08 [retrieved on 2009-02-09] page 2206, left-hand column, paragraph 1</p> <p>-----</p> <p>ALEXANDER J M ET AL: "Lessons for cardiac regeneration and repair through development", TRENDS IN MOLECULAR MEDICINE, ELSEVIER CURRENT TRENDS, GB, vol. 16, no. 9, 1 September 2010 (2010-09-01), pages 426-434, XP027253352, ISSN: 1471-4914 [retrieved on 2010-08-31] page 429, right-hand column, paragraph 3 - page 432, right-hand column, paragraph first; figures 2, 3</p> <p>-----</p> <p>E. N. OLSON: "Gene Regulatory Networks in the Evolution and Development of the Heart", SCIENCE, vol. 313, no. 5795, 29 September 2006 (2006-09-29), pages 1922-1927, XP55027138, ISSN: 0036-8075, DOI: 10.1126/science.1132292 page 1923 - page 1926; figures 3, 4</p> <p>-----</p>	16-59
A	WO 99/62940 A2 (COLLATERAL THERAPEUTICS [US]; ENGLER ROBERT L [US] COLLATERAL THERAPEU) 9 December 1999 (1999-12-09) page 61, line 3 - line 10; claims 1-4, 9, 14, 16, 17, 23-25,; examples 1, 2	1-15
X, P	<p>QIAN LI ET AL: "In vivo Reprogramming of Murine Cardiac Fibroblasts into Induced Cardiomyocytes", CIRCULATION, vol. 124, no. 21, Suppl. S, November 2011 (2011-11), XP008151995, &amp; SCIENTIFIC SESSIONS OF THE AMERICAN-HEART-ASSOCIATION/RESUSCITATION SCIENCE SYMPOSIUM; ORLANDO, FL, USA; NOVEMBER 12 -16, 2011 the whole document</p> <p>-----</p>	16-59
		-/-

## INTERNATIONAL SEARCH REPORT

International application No PCT/US2012/026113
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## C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X, P	INAGAWA KOHEI ET AL: "Direct Conversion of Cardiac Fibroblasts into Cardiomyocyte-Like Cells in vivo", CIRCULATION, vol. 124, no. 21, Suppl. S, November 2011 (2011-11), XP008151969, & SCIENTIFIC SESSIONS OF THE AMERICAN-HEART-ASSOCIATION/RESUSCITATION SCIENCE SYMPOSIUM; ORLANDO, FL, USA; NOVEMBER 12 -16, 2011 the whole document -----	16-59
X, P	WO 2011/163531 A2 (VIVOSCRIPT INC [US]; ZHU YONG [US]; WU SHILI [US]; BAO JUN [US]) 29 December 2011 (2011-12-29) claims 34, 35; figure 18 -----	16-59
T	FRANCESkos LOFFREDO ET AL: "Bone Marrow-Derived Cell Therapy Stimulates Endogenous Cardiomyocyte Progenitors and Promotes Cardiac Repair", CELL STEM CELL, CELL PRESS, US, vol. 8, no. 4, 28 January 2011 (2011-01-28), pages 389-398, XP028186794, ISSN: 1934-5909, DOI: 10.1016/J.STEM.2011.02.002 [retrieved on 2011-02-17] -----	

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International application No

PCT/US2012/026113

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
W0 9962940	A2 09-12-1999	AU	770384 B2	19-02-2004
		AU	4321299 A	20-12-1999
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		CA	2329934 A1	09-12-1999
		EP	1085910 A2	28-03-2001
		WO	9962940 A2	09-12-1999
W0 2011163531	A2 29-12-2011	NONE		