

(19) World Intellectual Property
Organization
International Bureau



(43) International Publication Date
13 October 2005 (13.10.2005)

PCT

(10) International Publication Number
WO 2005/094486 A2

- (51) International Patent Classification: **Not classified**
- (21) International Application Number:
PCT/US2005/009651
- (22) International Filing Date: 23 March 2005 (23.03.2005)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
60/555, 721 23 March 2004 (23.03.2004) US
60/579, 577 14 June 2004 (14.06.2004) US
11/040, 433 21 January 2005 (21.01.2005) US
- (71) Applicant (for all designated States except US):
ISOFLUX, INC. [US/US]; 10 Vantage Point Drive,
Suite 4, Rochester, NY 14624 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): **GLOCKER, David, A.** [US/US]; 791 Rush-Henrietta Townline Road,
West Henrietta, NY 14586 (US). **ROMACH, Mark, M.**
[US/US]; 78 Ridge Meadows Drive, Spencerport, NY
14559 (US).
- (74) Agent: **SANKUS, Mauri, A.**; Jaeckle Fleischmann &
Mugel, LLP, 190 Linden Oaks, Rochester, NY 14625-2812
(US).
- (81) Designated States (unless otherwise indicated, for every
kind of national protection available): AE, AG, AL, AM,
AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN,
CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI,
GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE,
KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD,
MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG,
PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ,
TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA,
ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every
kind of regional protection available): ARIPO (BW, GH,
GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM,
ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),
European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI,
FR, GB, GR, HU, IE, IS, IT, LT, LU, MC, NL, PL, PT, RO,
SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN,
GQ, GW, ML, MR, NE, SN, TD, TG).
- Published:**
— without international search report and to be republished
upon receipt of that report
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(54) Title: RADIOPAQUE COATING FOR BIOMEDICAL DEVICES

(57) Abstract: A medical device has a porous radiopaque coating that can withstand the high strains inherent in the use of such devices without delamination. A coating of Ta is applied to a medical device, such as a stent, by vapor deposition so that the thermomechanical properties of the stent are not adversely affected. The coating preferable has high emissivity.

WO 2005/094486 A2

Radiopaque Coating for Biomedical Devices

Invented by

David A. Glocker

Mark M. Romach

5 Cross Reference To Related Application

This application claims the benefit of U.S. Provisional Application No's. 60/555,721 and 60/579,577 filed March 23, 2004 and June 14, 2004, respectively, and is a continuation-in-part of US patent application No. 11/040,433 filed January 21, 2005 that claims the benefit of U.S. Provisional Application No 60/538,749 filed January
10 22, 2004; the entire disclosures of which are incorporated herein by reference in their entirety for any and all purposes.

Technical Field

The present invention relates to medical devices.

Background

15 Stents have become extremely important devices in the treatment of cardiovascular disease. A stent is a small mesh "scaffold" that can be positioned in an artery to hold it open, thereby maintaining adequate blood flow. Typically a stent is introduced into the patient's system through the brachial or femoral arteries and moved into position using a catheter and guide wire. This minimally invasive procedure replaces surgery
20 and is now used widely because of the significant advantages it offers for patient care and cost.

In order to deploy a stent, it must be collapsed to a fraction of its normal diameter so that it can be manipulated into the desired location. Therefore, many stents and guide
25 wires are made of an alloy of nickel and titanium, known as nitinol, which has the unusual properties of superelasticity and shape memory. Both of these properties result from the fact that nitinol exists in a martensitic phase below a first transition temperature, known as M_f , and an austenitic phase above a second transition temperature, known as A_f . Both M_f and A_f can be manipulated through the ratio of
30 nickel to titanium in the alloy as well as thermal processing of the material. In the martensitic phase nitinol is very ductile and easily deformed, while in the austenitic phase it has a high elastic modulus. Applied stresses produce some martensitic material at temperatures above A_f and when the stresses are removed the material returns to its original shape. This results in a very springy behavior for nitinol,

35 referred to as superelasticity or pseudoelasticity. Furthermore, if the temperature is lowered below M_f and the nitinol is deformed, when the temperature is raised above A_f it will recover its original shape. This is described as shape memory.

Stents having superelasticity and shape memory can be compressed to small
40 diameters, moved into position, and deployed so that they recover their full size. By choosing an alloy composition having an A_f below normal body temperature, the stent will remain expanded with significant force once in place. Remarkably, during this procedure the nitinol must typically withstand strain deformations of as much as 8%.

45 Stents and similar intraluminal devices can also be made of materials like stainless steel and other metal alloys. Although they do not exhibit shape memory or superelasticity, stents made from these materials also must undergo significant strain deformations in use.

50 Figure 1 illustrates one of many stent designs that are used to facilitate this compression and expansion. This design uses ring shaped "struts" 12, each one having corrugations that allow it to be collapsed to a small diameter. Bridges 14, a.k.a. nodes, that also must flex in use connect the struts. Many other types of expandable geometries, such as helical spirals, braided and woven designs and coils,
55 are known in the field and are used for various purposes.

One disadvantage of stents made from nitinol and many other alloys is that the metals used often have low atomic numbers and are, therefore, relatively poor X-ray absorbers. Consequently, stents of typical dimensions are difficult or impossible to
60 see with X-rays when they are being manipulated or are in place. Such devices are called radio transparent. There are many advantages that would result from being able to see a stent in an X-ray. For example, radiopacity, as it is called, would result in the ability to precisely position the stent initially and in being able to identify changes in shape once it is in place that may reflect important medical conditions.

65

Many methods are described in the prior art for rendering stents or portions of stents radiopaque. These include filling cavities on the stent with radiopaque material (US 6,635,082; US 6,641,607), radiopaque markers attached to the stent (US 6,293,966;

US 6,312,456; US 6,334,871; US 6,361,557; US 6,402,777; US 6,497,671; US
70 6,503,271; US 6,554,854), stents comprised of multiple layers of materials with
different radiopacities (US 6,638,301; US 6,620,192), stents that incorporate
radiopaque structural elements (US 6,464,723; US 6,471,721; US 6,540,774; US
6,585,757; US 6,652,579), coatings of radiopaque particles in binders (US 6,355,058),
and methods for spray coating radiopaque material on stents (US 6,616,765).

75

All of the prior art methods for imparting radiopacity to stents significantly increase
the manufacturing cost and complexity and/or render only a small part of the stents
radiopaque. The most efficient method would be to simply apply a conformal coating
of a fully dense radiopaque material to all surfaces of the stent. The coating would
80 have to be thick enough to provide good X-ray contrast, biomedically compatible and
corrosion resistant. More challenging, however, it would have to be able to withstand
the extreme strains in use without cracking or flaking and would have to be ductile
enough that the important thermomechanical properties of the stent are preserved. In
addition, the coatings must withstand the constant flexing of the stent that takes place
85 because of the expansion and contraction of blood vessels as the heart pumps.

Physical vapor deposition techniques, such as sputtering, thermal evaporation and
cathodic arc deposition, can produce dense and conformal coatings of radiopaque
materials like gold, platinum, tantalum, tungsten and others. Physical vapor
90 deposition is widely used and reliable. However, coatings produced by these methods
do not typically adhere well to substrates that undergo strains of up to 8% as required
in this application. This problem is recognized in US 6,174,329, which describes the
need for protective coatings over radiopaque coatings to prevent the radiopaque
coatings from flaking off when the stent is being used.

95

Another important limitation of radiopaque coatings deposited by physical vapor
deposition is the temperature sensitivity of nitinol and other stent materials. As
mentioned, shape memory biomedical devices are made with values of A_f close to but
somewhat below normal body temperature. If nitinol is raised to too high a
100 temperature for too long its A_f value will rise and sustained temperatures above 300-
400 C will adversely affect typical A_f values used in stents. Likewise, if stainless
steel is raised to too high a temperature, it can lose its temper. Other stent materials

would also be adversely affected. Therefore, the time-temperature history of a stent during the coating operation is critical. In the prior art it is customary to directly control the temperature of a substrate in such a situation, particularly one with a very low thermal mass such as a stent. This is usually accomplished by placing the substrate in thermal contact with a large mass, or heat sink, whose temperature is controlled. This process is known as controlling the temperature directly or direct control. Because of its shape and structure, controlling the temperature of a stent directly during coating would be a challenging task. Moreover, the portion of the stent in contact with the heat sink would receive no coating and the resulting radiographic image could be difficult to interpret.

Accordingly, there is a need in the art for biomedical devices having radiopaque coatings thick enough to provide good x-ray contrast, biomedical compatibility and corrosion resistance. Further, the coating needs to withstand the extreme strains in use without cracking or flaking and be sufficiently ductile so that the thermo-mechanical properties of the device are preserved.

Summary

The present invention is directed towards a medical device having a radiopaque outer coating that is able to withstand the strains produced in the use of the device without delamination.

A medical device in accordance with the present invention can include a body at least partially comprising a nickel and titanium alloy and a Ta coating on at least a portion of the body; wherein the Ta coating is sufficiently thick so that the device is radiopaque and the Ta coating is able to withstand the strains produced in the use of the device without delamination. The Ta coating can consist primarily of the bcc crystalline phase. The coating thickness is preferably between approximately 3 and 10 microns. The device can be a stent or a guidewire, for example. The coating preferably is porous.

A process for depositing a Ta layer on a medical device consisting of the steps of: maintaining a background pressure of inert gas in a sputter coating system containing a Ta sputter target; applying a voltage to the Ta target to cause sputtering; and sputtering for a period of time to produce the desired coating thickness; wherein the

Ta layer preferably has an emissivity in the visible spectrum of at least 80%. The device preferably is not directly heated or cooled and the equilibrium temperature of the device during deposition is controlled indirectly by the process. The equilibrium
140 temperature preferably is between 150° and 450° C. A voltage, ac or dc, can be applied steadily or in pulses to the medical device during the process. An initial high voltage, preferably between 300 and 500 volts, can be applied to preclean the device for a first period of time, preferably between 1 minute and 20 minutes. A second, lower voltage, preferably between 50 and 200 volts, can be applied for a period of
145 time, preferably between 1 and 3 hours. Preferably, the inert gas is from the group comprising Ar, Kr and Xe. Preferably, the voltage on the target(s) produces a deposition rate of 1 to 4 microns per hour. The target preferably is a cylinder or a plate.

150 A medical device comprises a body having an outer layer and a radiopaque coating on at least a portion of the outer layer; wherein the coating is applied using a physical vapor deposition technique.

Brief Description of the Drawings

These and other features, aspects and advantages of the present invention will become
155 better understood with regard to the following description, appended claims, and accompanying drawings where:

Figure 1 illustrates a stent found in the prior art;

Figure 2 is a top view of a Ta target surrounding stents;

Figure 3 is a side cross-sectional view of the target surrounding stents of Fig.

160 2;

Figure 4 illustrates a cross section of a conformal coating of Ta on a strut 12 of a stent;

Figure 5 is a graph showing the reflectance of a Ta coating made according to the present invention with respect to wavelength;

165 Figure 6 is a graph showing the x-ray diffraction pattern of a Ta coating made according to the present invention;

Figure 7 is a side cross-sectional view of the target surrounding stents in position C of Figure 3 with a plate above the stents;

Figure 8 is a top view of a Ta target surrounding stents;

170 Figure 9 is a side cross-sectional view of the target surrounding stents of Fig.
8;

Figure 10 is a side elevation view of stents positioned beside a planar target at
a high angle of incidence; and

175 Figure 11 shows a scanning electron micrograph of the surface of a Ta coating
applied to a polished stainless steel surface.

Description

Tantalum has a high atomic number and is also biomedically inert and corrosion
180 resistant, making it an attractive material for radiopaque coatings in this application.
It is known that 3 to 10 microns of Ta is sufficiently thick to produce good X-ray
contrast. However, because Ta has a melting point of almost 3000 C, any coating
process must take place at a low homologous temperature (the ratio of the deposition
temperature to the melting temperature of the coating material in degrees Kelvin) to
185 preserve the A_f values of the stents as described previously. It is well known in the art
of physical vapor deposition that low homologous coating temperatures often result in
poor coating properties. Nevertheless, we have unexpectedly found that radiopaque
Ta coatings deposited under the correct conditions are able to withstand the strains
inherent in stent use without unacceptable flaking.

190 Still more remarkable is the fact that we can deposit these adherent coatings at high
rates with no direct control of the stent temperature without substantially affecting A_f .
Since normal body temperature is 37 C, the A_f value after coating should be less than
this temperature to avoid harming the thermomechanical properties of the nitinol.
195 The lower A_f is after coating the more desirable the process is.

For a thermally isolated substrate, the equilibrium temperature will be determined by
factors such as the heat of condensation of the coating material, the energy of the
atoms impinging on the substrate, the coating rate, the radiative cooling to the
200 surrounding chamber and the thermal mass of the substrate. It is surprising that this
energy balance permits high-rate coating of a temperature sensitive low mass object
such as a stent without raising the temperature beyond acceptable limits. Eliminating
the need to directly control the temperature of the stents significantly simplifies the

coating operation and is a particularly important consideration for a manufacturing
205 process.

This patent relates to coatings that render biomedical devices including intraluminal
biomedical devices radiopaque and that withstand the extremely high strains inherent
in the use of such devices without unacceptable delamination. Specifically, it relates
210 to coatings of Ta having these properties and methods for applying them that do not
adversely affect the thermomechanical properties of stents.

An unbalanced cylindrical magnetron sputtering system described in US 6,497,803
B2, which is incorporated herein by reference, was used to deposit the coatings.
215 Figures 2 and 3 illustrate the setup. Two Ta targets 20, each 34 cm in diameter and 10
cm high, separated by 10 cm, were used. They were driven with either DC power or
AC power at 40 kHz. Xenon or krypton was used as the sputter gas. The total power
to both cathodes was either 2 kW or 4 kW and a bias of either -50 V or -150 V was
applied to the stents during coating. Other devices well known to those in the art,
220 such as vacuum pumps, power supplies, gas flow meters, pressure measuring
equipment and the like, are omitted from Figures 2 and 3 for clarity.

In each coating run, stents 22 were placed at one of three positions, as shown in
Figures 2 and 3:

225
Position A- The stents were held on a 10 cm diameter fixture 24 that rotated about a
vertical axis, which was approximately 7 cm from the cathode centerline. The
vertical position of the stents was in the center of the upper cathode. Finally, each
stent was periodically rotated about its own vertical axis by a small "kicker", in a
230 manner well known in the art.

Position B- The stents 22 were supported from a rotating axis that was approximately
7 cm from the chamber centerline. The vertical position of the stents was in the
center of the upper cathode.

235
Position C- The stents 22 were on a 10 cm diameter fixture or plate 24 that rotated
about a vertical axis, which was approximately 7 cm from the cathode centerline. The

vertical position of the stents was in the center of the chamber, midway between the upper and lower cathodes. Finally, each stent was periodically rotated about its own
240 vertical axis with a “kicker.”

Prior to coating, the stents were cleaned with a warm aqueous cleaner in an ultrasonic bath. Crest 270 Cleaner (Crest Ultrasonics, Inc.) diluted to 0.5 pounds per gallon of water was used at a temperature of 55 C. This ultrasonic detergent cleaning was done
245 for 10 minutes. The stents were then rinsed for 2 minutes in ultrasonically agitated tap water and 2 minutes in ultrasonically agitated de-ionized water. The stents were then blown dry with nitrogen and further dried with hot air. The manner in which the stents were cleaned was found to be very important. When the stents were cleaned ultrasonically in acetone and isopropyl alcohol, a residue could be seen on the stents
250 that resulted in poor adhesion. This residue may be a consequence of material left after the electropolishing process, which is often done using aqueous solutions.

The Ta sputtering targets were preconditioned at the power and pressure to be used in that particular coating run for 10 minutes. During this step a shutter isolated the stents
255 from the targets. This preheating allowed the stents to further degas and approach the actual temperature of the coating step. After opening the shutter, the coating time was adjusted so that a coating thickness of approximately 10 microns resulted. At a power of 4 kW the time was 2 hours and 15 minutes and at a power of 2 kW the time was 4 hours and 30 minutes. These are very acceptable coating rates for a manufacturing
260 process. The stents were not heated or cooled directly in any way during deposition. Their time-temperature history was determined entirely by the coating process.

Figure 4 illustrates the cross section of a conformal coating of Ta 40 on a strut 12, shown approximately to scale for a 10-micron thick coating. Stents coated in this
265 manner were evaluated in several ways. First, they were pressed into adhesive tape to see if there was any flaking or removal when the tape was peeled away. Next, the stents were flexed to their maximum extent and examined for flaking. In all cases this flexing was done at least three times and in some cases it was done as many as ten times. Finally, the A_f values for the stents were measured by determining the
270 temperature at which they recovered their original shape using a water bath.

Table 1 summarizes the results. The level of flaking and A_f temperatures at positions A and B were very similar in the experiments and were averaged to produce the values shown. The level of flaking was ranked using the following procedure:

275

Level 5: Approximately 10% or more of the coated area flaked.

Level 4: Between approximately 5% and 10% of the coated area flaked.

Level 3: Between approximately 1% and 5% of the coated area flaked.

Level 2: Between approximately 0.1% and 1% of the coated area flaked.

280 Level 1: An occasional flake was observed, but less than approximately 0.1% of the coated area flaked.

Level 0: No flakes were observed.

Depending on the application, some level of flaking may be tolerated and we consider

285 Level 2, Level 1 or Level 0 flaking acceptable.

Table 1

Run No	Power	Gas	Bias	AC/DC	Flaking	A_f	Appearance
1	2 kW	Xe	50	AC	5	29	Dull mottled appearance
2	2 kW	Kr	150	AC	0	59	Shiny metallic appearance
3	4 kW	Kr	50	AC	4	57	Dull mottled appearance
4	4 kW	Xe	150	AC	0	60	Shiny metallic appearance
5	2 kW	Kr	50	DC	0	23	Black appearance
6	2 kW	Xe	150	DC	0	27	Dull mottled appearance
7	4 kW	Xe	50	DC	4	32	Shiny metallic appearance
8	4 kW	Kr	150	DC	1	38	Shiny metallic appearance

290

It can be seen from the results with respect to positions A and B that a major factor in determining adhesion is the bias voltage. A bias of -150 V produces much better adhesion overall than a bias of -50 V. This is consistent with many reports in the literature that higher substrate bias produces better adhesion in many applications. However, it also produces greater heating at a given power, as determined by the A_f values.

295

An obvious and important exception to the need for high bias to produce good
300 adhesion is Run Number 5, which has both excellent adhesion and the lowest value
for A_f among the coatings. Moreover, the coating appearance of Run Number 5 was
black, which could be appealing visually. This is indicative of a very high emissivity
in the visible spectrum, characteristic of a so-called black body. As charted in Figure
5, the reflectance was measured to be about 0.5% at a wavelength of 400nm and rises
305 to about 1.10% at 700nm. This is an emissivity of approximately 99% or greater
across the visible spectrum.

The combination of a very low A_f and excellent adhesion is very surprising. Without
being bound to this explanation, one possibility consistent with the observed results is
310 that the coating is very porous. Low homologous temperatures (the ratio of the
substrate temperature during coating to the melting point of the coating material, in
degrees Kelvin) are known to produce open, columnar coating structures. The
observed black appearance may be the result of an extremely porous coating. It is
also known in the art that such morphology is also associated with very low coating
315 stress, since the coating has less than full density. However, even if this explanation
is correct, the excellent adhesion is very surprising. Typically such porous coatings
have very poor adhesion and we were able to aggressively flex the coating with no
indication of flaking.

320 Another possible consequence of the high emissivity of the coating is the fact that the
radiative cooling of the stent during coating is more effective, thereby helping to
maintain a low coating temperature.

Furthermore, as described in Utility Patent Application Number 11/040,433, which is
325 incorporated herein by reference, sputtered Ta typically exists in one of two
crystalline phases, either tetragonal (known as the beta phase) or body centered cubic
(known as the alpha phase). The alpha phase of Ta is much more ductile than the beta
phase and can withstand greater strains. Therefore, the alpha phase of Ta is more
desirable in this application. Figure 6 is an X-ray diffraction pattern of a coating
330 made under the conditions of Run No. 5 described above, showing that the coating is
alpha tantalum. It is known in the art that sputtering Ta in Kr or Xe with substrate
bias can result in the alpha phase being deposited. See, for example, *Surface and*

335 *Coatings Technology* 146-147 (2001) pages 344-350. However, there is nothing in the prior art or in our experience to suggest that alpha Ta coatings of 10 microns thickness can withstand the very high strains inherent in the use of stents without delamination and coating failure. There is also nothing in the prior art to suggest that alpha Ta can be deposited in such an open, porous structure.

340 An open, porous structure may have other advantages as well. For example, the microvoids in the coating would permit the incorporation of drugs or other materials that diffuse out over time. In the art, drug-eluting coatings on stents are presently made using polymeric materials. A porous inorganic coating would allow drug-eluting stents to be made without polymeric overcoats.

345 Surprisingly, the stents at position C all had adhesion equal to or better than the stents at positions A and B, regardless of conditions. Table 2 illustrates the surprising results. (NA indicates coating runs for which no data was taken at those positions.) The stents at position C always had very little or no flaking, even under coating conditions where stents in positions A or B had significant flaking. As can be seen from Table 2, this is true over a wide range of coating conditions. The A_f values of the stents in position C were comparable to those in the other positions, and in the case of the AC coatings they were sometimes significantly lower. Stents in the C position that were sputter coated in Kr at a pressure of 3.4 mTorr, an AC power of 2 kW with -150 V bias (Run Nos. 2 and 3) had a metallic appearance and an A_f 350 between 38 and 42 C. Those coated in the C position using Kr at a pressure of 3.4 mTorr, a DC power of 2 kW and -50 V bias (Run No. 8) were black in appearance with an A_f of only 24 C. An A_f of 24 C is virtually unchanged from the A_f values before coating. Both the metallic and the black samples had excellent adhesion. The fact that position C is preferable for adhesion and A_f in virtually every case is 360 unexpected.

Table 2

Total Power	Gas	Bias	AC / DC	Position A	Position B	Position C
2 kW	Xe	50	AC	$A_f = 29$ 5	$A_f = 28$ 5	$A_f = 30$ 0
2 kW	Kr	150	AC	$A_f = 59$ 0	NA	$A_f = 42$ 0
				$A_f = 52$ C	$A_f = 45$ C	$A_f = 38$ C

2 kW	Kr	150	AC	0	0	0
4 kW	Kr	50	AC	Af = 56 C 4	Af = 58 C 4	NA
4 kW	Kr	150	AC	Af > 55 C 0	Af > 55 C 0	NA
4 kW	Kr	150	AC	NA	Af > 55 C 0	NA
4 kW	Xe	150	AC	NA	Af > 55 C 0	NA
2 kW	Kr	50	DC	Af = 25 C 0	Af = 22 C 0	Af = 24 C 0
4 kW	Xe	150	DC	Af = 37 C 1	Af = 37 C 5	Af = 38 C 0
4 kW	Xe	50	DC	Af = 32 C 3	Af = 33 C 5	Af = 31 C 1
4 kW	Kr	150	DC	Af = 38 C 1	Af = 38 C 0	Af = 49 C 0
2 kW	Xe	150	DC	Af = 25 C 0	Af = 29 C 0	Af = 25 C 1

365

Stents in position C receive a generally more oblique and lower energy coating flux than stents in positions A or B. By an oblique coating flux we mean that the majority of the depositing atoms arrive in directions that are not generally perpendicular to the surface being coated. Some of the atoms arriving at the surfaces of the stents in

370

position C from the upper and lower targets will have done so without losing significant energy or directionality because of collisions with the background sputter gas. Those atoms, most of which will come from portions of the targets close to the stents as seen in Figures 2 and 3, will create an oblique coating flux. Other atoms will undergo several collisions with the background gas and lose energy and directionality before arriving at the substrate surfaces. Those atoms, which will generally come from portions of the targets at greater distances, will form a low energy coating flux.

375

Westwood has calculated ("Calculation of deposition rates in diode sputtering systems," W. D. Westwood, Journal of Vacuum Science and Technology, Vol. 15 page 1 (1978)) that the average distance a Ta atom goes in Ar at 3.4 mTorr before its

380

energy is reduced to that of the background gas is between about 15 and 30 cm. (The distance would be somewhat less in Kr and the exact value depends on the initial energy of the Ta atom.) Because our cylindrical targets have an inside diameter of approximately 34 cm, substrates placed in the planes of the targets (positions A and B) receive a greater number of high energy, normal incidence atoms than those placed between the targets (position C).

385

The geometry of the cylindrical magnetron arrangement shown in Figures 2 and 3 assures that atoms arriving at the surface of the stents in position C will do so either at relatively oblique angles or with relatively low energy. Referring to Figures 2 and 3, 390 when the stents are close to the targets, where the arriving Ta atoms have lost little energy, the atoms arrive at oblique angles. And when the stents move closer to the center of the chamber where the arrival angles are less oblique, they are farther from the target surface so that the arriving Ta atoms have lost energy through gas collisions.

395

It is widely known in the art that when the atoms in a PVD process arrive with low energies or at oblique angles to the substrate surface, the result is a coating that is less dense than a coating made up of atoms arriving at generally normal incidence or with higher energies. The black appearance of the low power DC coatings deposited with 400 low substrate bias (Run 5 in Table 1 and Run 8 in Table 2) may be the result of considerable coating porosity. Normally low-density PVD coatings are not desirable, but we have found that conditions that result in relatively low density or porous coatings produce very desirable results in this application.

405 Further evidence of the importance of the coating geometry is seen in the following experiment. A number of coatings were done in Kr at a pressure of 3.4 mTorr, a DC power of 2 kW and a bias of -50 V using the fixture shown in Figure 2 and 3 in position C. As before, the stents were rotating about the vertical rod as well as about their own vertical axes. The coated stents made this way were matte black at the 410 bottom but had a slightly shinier appearance at the top. In contrast, when coatings were done on stents 22 under identical conditions, except that a second plate 24 was placed above the stents as shown in Figure 7, the stents were a uniform black from bottom to top.

415 The non-uniformity in appearance that resulted with the fixturing shown in Figures 2 and 3 in position C indicates that the coating structure depends on the details of how the stents and sputter targets are positioned relative to one another. As discussed earlier, when the stents are in position C1 in Figure 3, they receive very oblique incidence material from portions of the targets that are close, while the coating 420 material that arrives from other portions of the target has to travel farther. Therefore,

all of the coating flux has arrived at high angles or has traveled a considerable distance and has lost energy and directionality through collisions with the sputtering gas. When the stents are in position C2 in Figure 3, however, they receive a somewhat less oblique coating from all directions. In the configuration shown in
425 Figure 3, position C the bottoms of the stents are shielded from the more direct flux from the bottom target by the plate that holds them, but the tops of the stents are not similarly shielded from the more direct flux coming from the top target. By adding the plate above the stents shown in Figure 7, the more direct coating flux is shielded at all points on the stents and the coating material either arrives at relatively oblique
430 incidence or after scattering from the background gas and losing energy and directionality. The plate above the stents restores the symmetry of the situation and the coatings on the stents become uniformly black overall.

Other methods of positioning and moving the substrates within the chamber can also
435 produce results similar to those described above and are within the scope of the invention. In another experiment three stents were located as shown in Figures 8 and 9. All three stents 22 were held fixed at their positions within the chamber and were rotated about their individual vertical axes during the coating run. The innermost stent was 3 cm from the cathode centerline, the middle stent was 7 cm from the
440 cathode centerline and the outermost stent was 11 cm from the cathode centerline. The deposition was done at a DC power of 2 kW, a Kr pressure of 3.4 mTorr and with the stents biased at -50 V. These are the same conditions used in Run No. 8 in Table 2. All three stents had a matte black appearance and exhibited excellent adhesion when tested. Therefore, stents placed at virtually any radial position within the
445 cathodes and rotating about their individual vertical axes will receive a satisfactory coating, provided they are located between the targets in the axial direction.

An alternative, although less desirable, approach to oblique incidence coatings or large target to substrate distances in order to reduce the energy of the arriving atoms
450 through collisions is to raise the pressure of the sputtering gas.

Sputtering takes place under conditions of continuous gas flow. That is, the sputtering gas is brought into the chamber at a constant rate and is removed from the chamber at the same rate, resulting in a fixed pressure and continuous purging of the

455 gas in the chamber. This flow is needed to remove unwanted gases, such as water
vapor, that evolve from the system during coating. These unwanted gases can
become incorporated in the growing coating and affect its properties.

The high vacuum pumps used in sputtering, such as diffusion pumps, turbomolecular
460 pumps and cryogenic pumps, are limited with respect to the pressure that they can
tolerate at their openings. Therefore, it is well known that in order to achieve high
sputtering pressures it is necessary to “throttle” such pumps, or place a restriction in
the pump opening that permits the chamber pressure to be significantly higher than
the pressure at the pump. Such “throttling” necessarily reduces the flow of gas
465 through the chamber, or gas throughput. Surprisingly, we have found that the
adherence of the coatings is improved at high gas throughputs.

In one experiment, a cylindrical magnetron cathode with an inside diameter of 19 cm
and length of 10 cm was used to coat a stent with Ta at a sputtering pressure of 30
470 mTorr in Ar. In order to achieve this pressure, it was necessary to throttle the
turbomolecular high vacuum pump on the vacuum system. The Ar flow during this
coating was 0.63 Torr-liters per second, corresponding to a throttled pumping speed
of 21 liters per second. The stent was placed in the center of the cathode,
approximately 9 cm from the target surface. The sputtering power to the cathode was
475 200 W. According to Westwood’s calculations, the average distance a Ta atom
travels in Ar at 30 mTorr before reaching thermal velocities is between 1.7 and 3.4
cm, depending on its initial energy. Therefore, these coating conditions should result
in a very low-density coating. The black appearance of the coated stent confirmed
that this was the case. However, the coating had very poor adhesion.

480

In another experiment, coatings were done on stents in the C position using the 34 cm
diameter dual cathode shown in Figures 2 and 3. The sputtering gas was Kr at a
pressure of 3.4 mTorr. A DC power of 2 kW was used together with a substrate bias
of - 50 V, the conditions of Run No. 8 in Table2. The Kr flow was 28 standard cubic
485 centimeters per minute, or 0.36 Torr-liters per second. At a pressure of 3.4 mTorr,
this corresponds to a throttled pumping speed of 104 liters per second during the
process. The resulting black coatings all flaked at levels between level 1 and level 3
when tested. The position of the pump throttle was then changed and the Kr flow was

increased to 200 standard cubic centimeters per minute or 2.53 Torr-liters per second.
490 Coatings were done on stents in the C position at the same power, pressure and bias
levels as before. The only difference was that the throttled pumping speed during this
process was 744 liters per second. In this case there were no flakes or cracks in the
coating evident after testing. A scanning electron micrograph of the surface of a
coating applied to a polished stainless steel surface under these conditions is shown in
495 Figure 11. The open, porous nature of the coating is clearly visible.

Based on the foregoing results, we conclude that adequate adhesion does not result at
low gas throughputs, which are usually necessary to achieve high sputtering
500 pressures. The sputtering pressure and system geometry must be chosen together so
that the coating flux arrives at the substrate surface either at high angles of incidence
or after the sputtered atoms have traveled a sufficient distance from the target to
reduce their energies significantly.

505 While the geometry of a cylindrical magnetron makes this possible in an efficient
way, as we have shown, the same results can be accomplished using planar targets as
well. In the case of planar targets, the requirement is to place the substrates far
enough from the target surface(s) that a large target-to-substrate distance is achieved.
Alternatively, the substrates could be placed to the side of a planar target so that the
510 material arrives at high incidence angles. This configuration is illustrated in Figure
10. Of course, the stent positions 22 shown in the case of planar target 50 make
inefficient use of the coating material. Nevertheless, Figure 10 illustrates how the
inventive method could be used with geometries other than cylindrical magnetrons.

515

Although the present invention has been described in considerable detail with
reference to certain preferred versions thereof, other versions are possible. For
example, a device other than a stent can be coated with Ta or another radiopaque
520 material. Therefore, the spirit and scope of the appended claims should not be limited
to the description of the preferred versions contained herein.

All features disclosed in the specification, including the claims, abstract, and drawings, and all the steps in any method or process disclosed, may be combined in any combination, except combinations where at least some of such features and / or steps are mutually exclusive. Each feature disclosed in the specification, including the claims, abstract, and drawings, can be replaced by alternative features serving the same, equivalent or similar purpose, unless expressly stated otherwise. Thus, unless expressly stated otherwise, each feature disclosed is one example only of a generic series of equivalent or similar features.

Any element in a claim that does not explicitly state “means” for performing a specified function or “step” for performing a specified function should not be interpreted as a “means” or “step” clause as specified in 35 U.S.C. §112.

535

Claims

We claim:

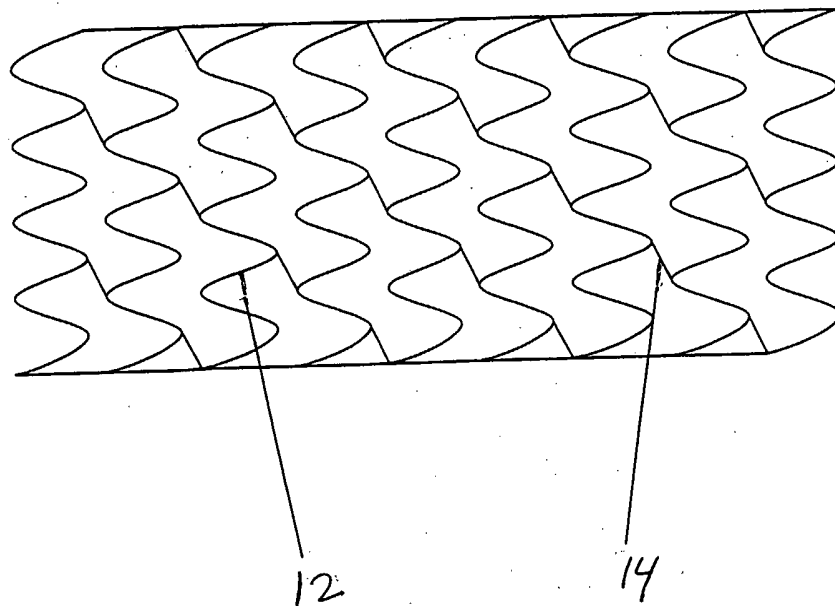
1. A medical device comprising:
 - 540 a. a body at least partially comprising a radio transparent material; and
 - b. a porous Ta coating on at least a portion of the body; wherein the Ta coating is sufficiently thick so that the device is radiopaque and the Ta coating is able to withstand the strains produced in the use of the device without unacceptable flaking.
- 545 2. The medical device of claim 1 in which said Ta coating consists primarily of the bcc crystalline phase.
3. The medical device of claim 1 in which said coating thickness is between approximately 3 and 10 microns.
4. The medical device of claim 1 in which said device is a stent.
- 550 5. The medical device of claim 1 in which said device is a guidewire.
6. The medical device of claim 1 wherein the device is an intraluminal device.
7. The medical device of claim 1 wherein the Ta coating is applied to the body by a physical vapor deposition process.
8. The medical device of claim 7 wherein the physical vapor deposition process
555 includes one of the group of sputtering, cathodic arc deposition or thermal evaporation.
9. The medical device of claim 1 further comprising a material in the Ta coating, wherein the material is intended to diffuse out over time.
10. A process for depositing a Ta layer on a medical device consisting of the steps
560 of:
 - a. maintaining a background pressure of inert gas in a sputter coating system containing at least one Ta sputter target;
 - b. applying a voltage to said Ta target to cause sputtering; and
 - c. sputtering for a period of time to produce the desired coating thickness;
565 wherein the Ta layer has an emissivity in the visible spectrum of at least 80%.
11. The process of claim 10 wherein the equilibrium temperature of said device during deposition is controlled indirectly by said process.

- 570 12. The process of claim 10 in which the equilibrium temperature is between 150 and 450 C.
13. The process of claim 10 in which a voltage is applied to said medical device during said process.
14. The process of claim 13 in which said voltage comprises an initial high voltage to preclean said device for a first period of time.
- 575 15. The process of claim 14 in which said initial high voltage is between 300 and 500 volts.
16. The process of claim 14 in which said first period of time is between 1 minute and 20 minutes.
17. The process of claim 13 in which said voltage comprises a second, lower
580 voltage applied for a second period of time.
18. The process of claim 17 in which said lower voltage is between 10 and 100 volts.
19. The process of claim 17 in which said second period of time is between 1 hour and 5 hours.
- 585 20. The process of claim 10 in which said inert gas is from the group comprising Ar, Kr and Xe.
21. The process of claim 10 in which said voltage produces a deposition rate of 1 to 5 microns per hour.
22. The process of claim 10 in which said voltage is dc.
- 590 23. The process of claim 10 in which said voltage is ac.
24. The process of claim 10 in which said voltage is applied in pulses.
25. The process of claim 10 in which said target is a cylinder.
26. The process of claim 10 in which said target is a plate.
27. The process of claim 10 wherein the Ta layer is porous.
- 595 28. The process of claim 27 further comprising the steps of incorporating a material into the pores, wherein the material is intended to diffuse out over time.
29. A medical device comprising:
- 600 a. a body having an outer layer; and
- b. a radiopaque coating on at least a portion of the outer layer; wherein the coating is applied using a physical vapor deposition process.
30. A medical device comprising:

605

- a. a body at least partially comprising a radio transparent material;
- b. a Ta coating on at least a portion of the body; wherein the Ta coating is able to withstand the strains produced in the use of the device without unacceptable flaking.

Figure 1



Prior Art

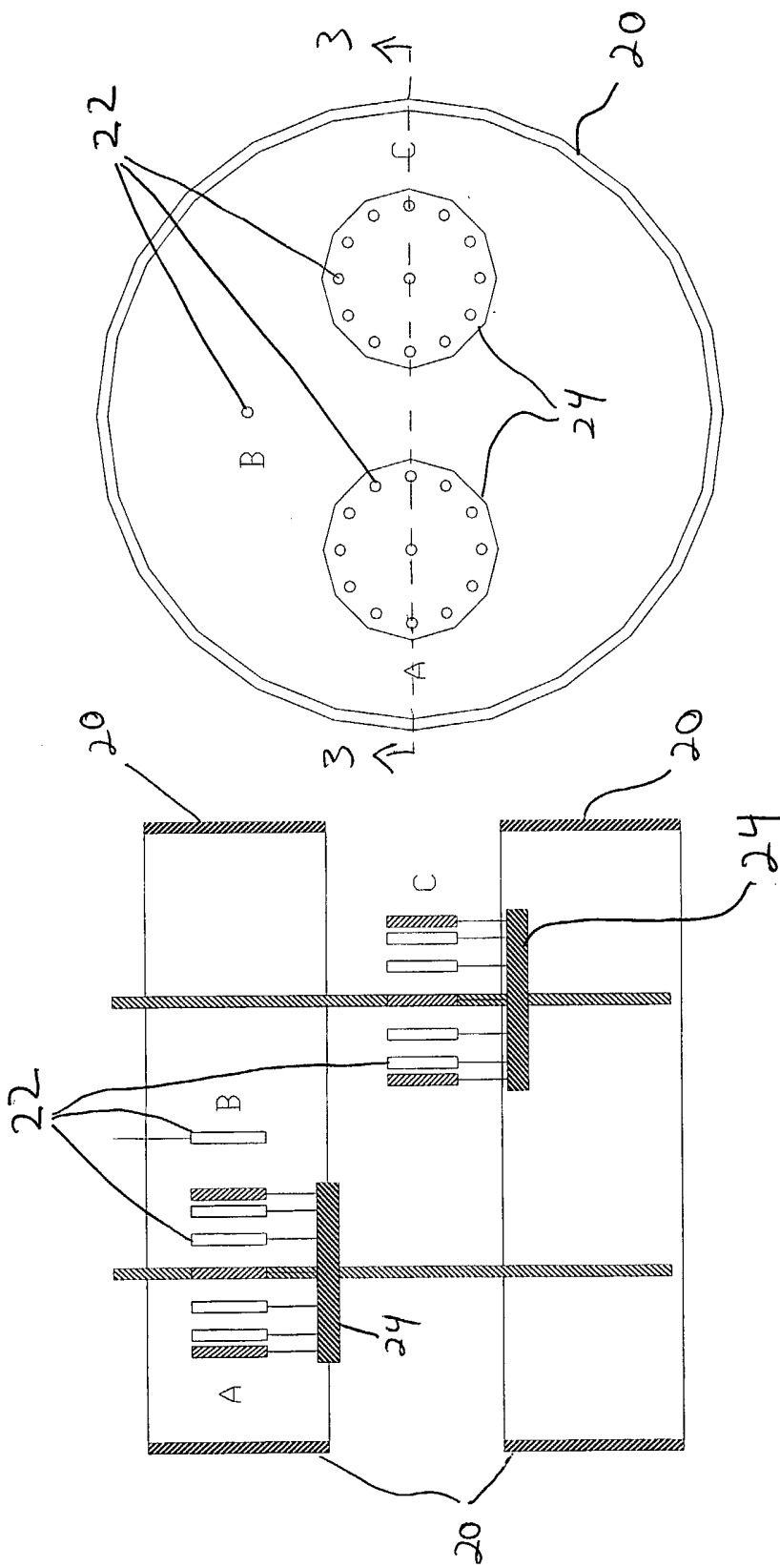
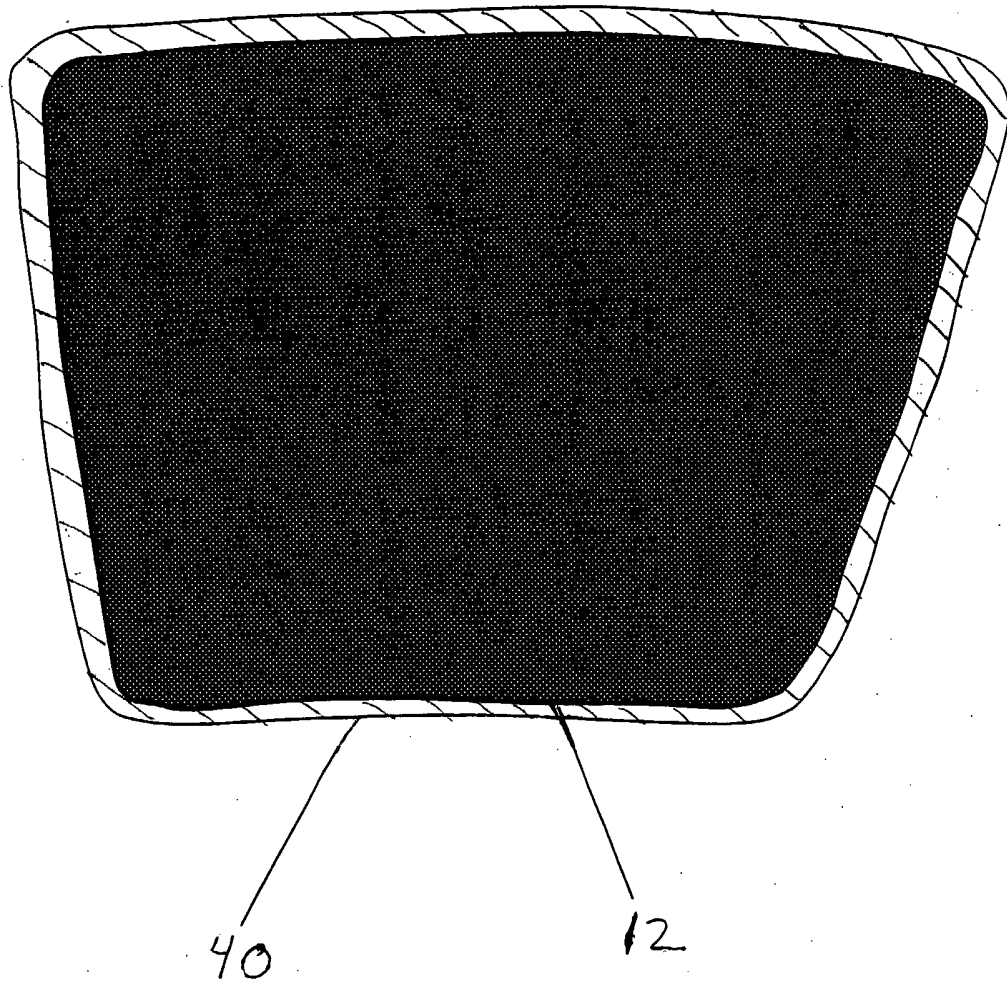


Fig. 2

Fig. 3

Figure 4



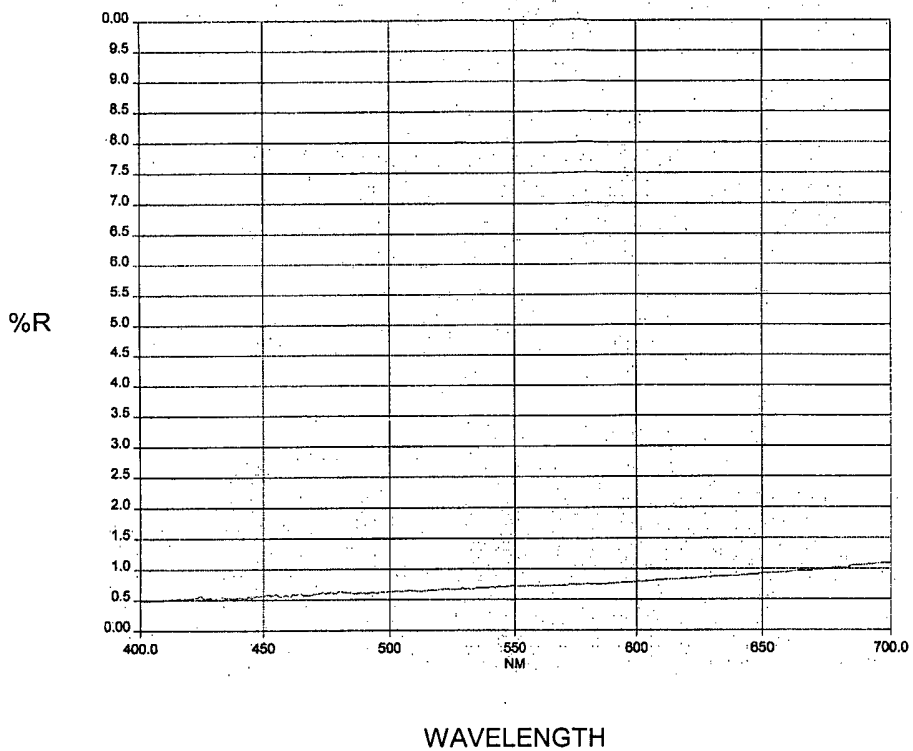


Fig. 5

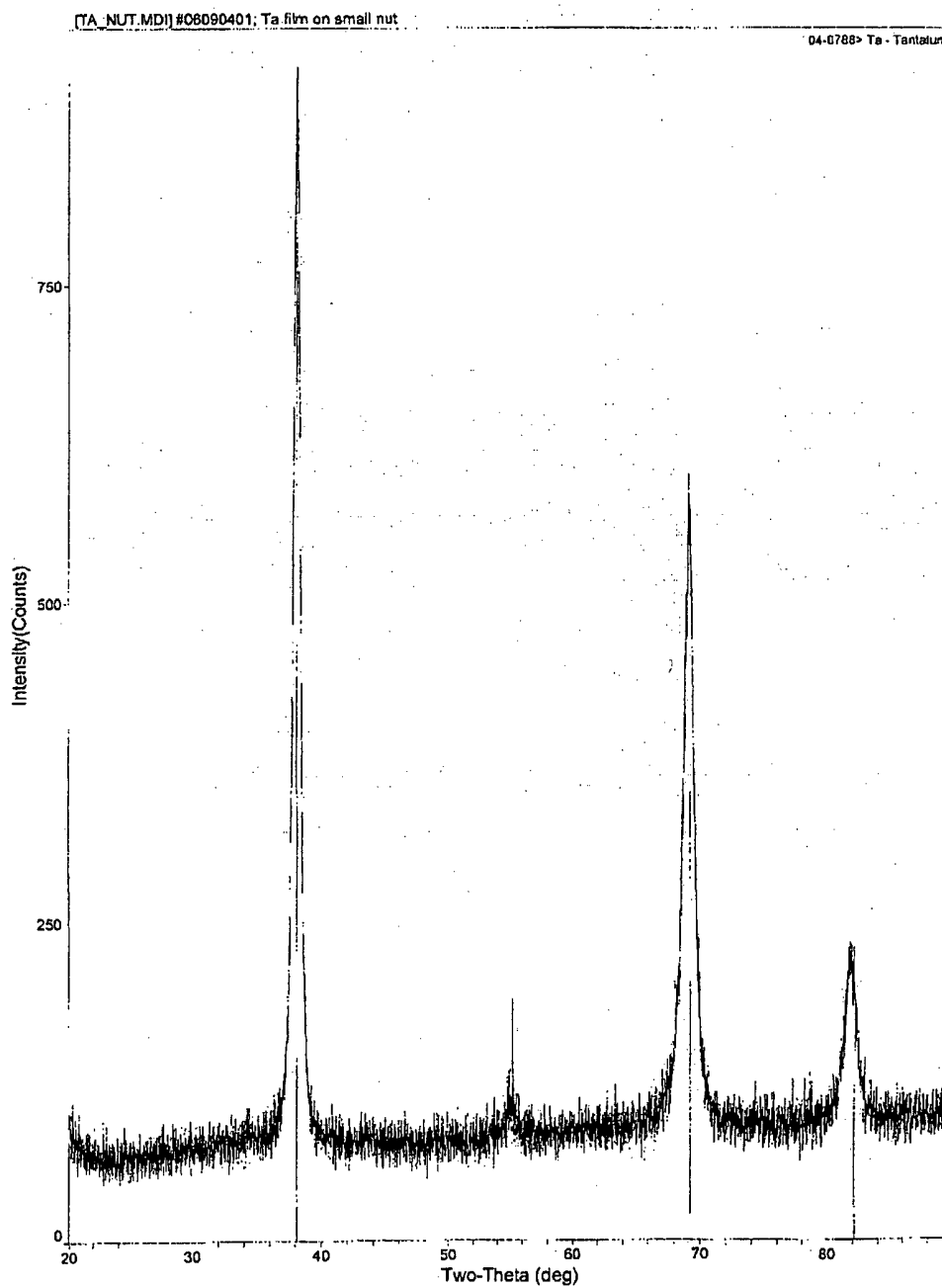


Fig. 6

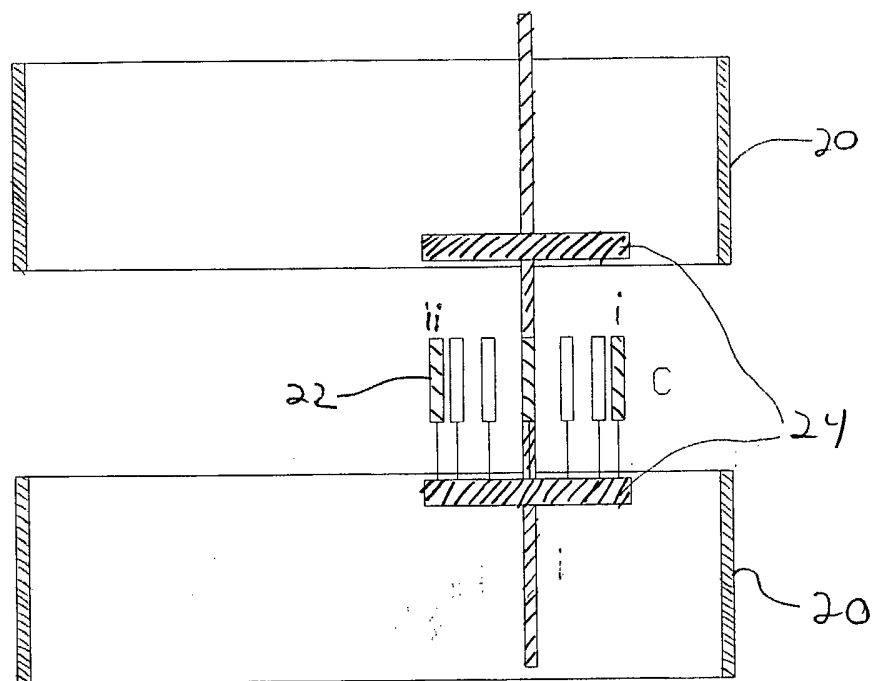


Figure 7

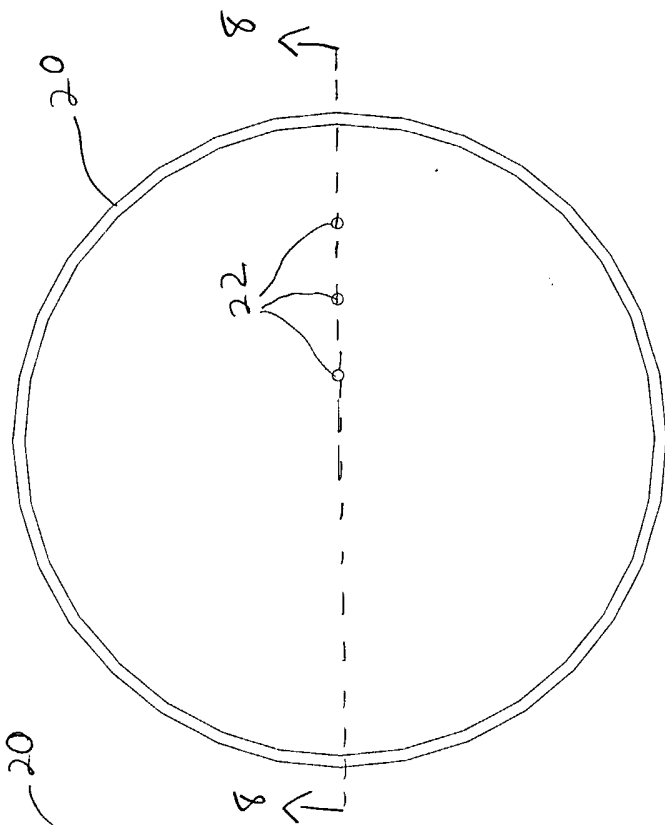


Fig. 8

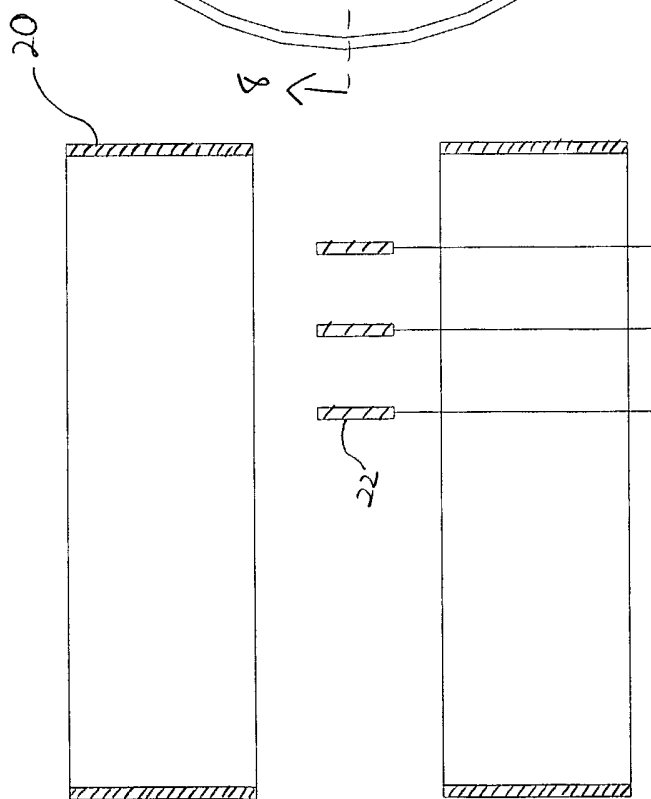
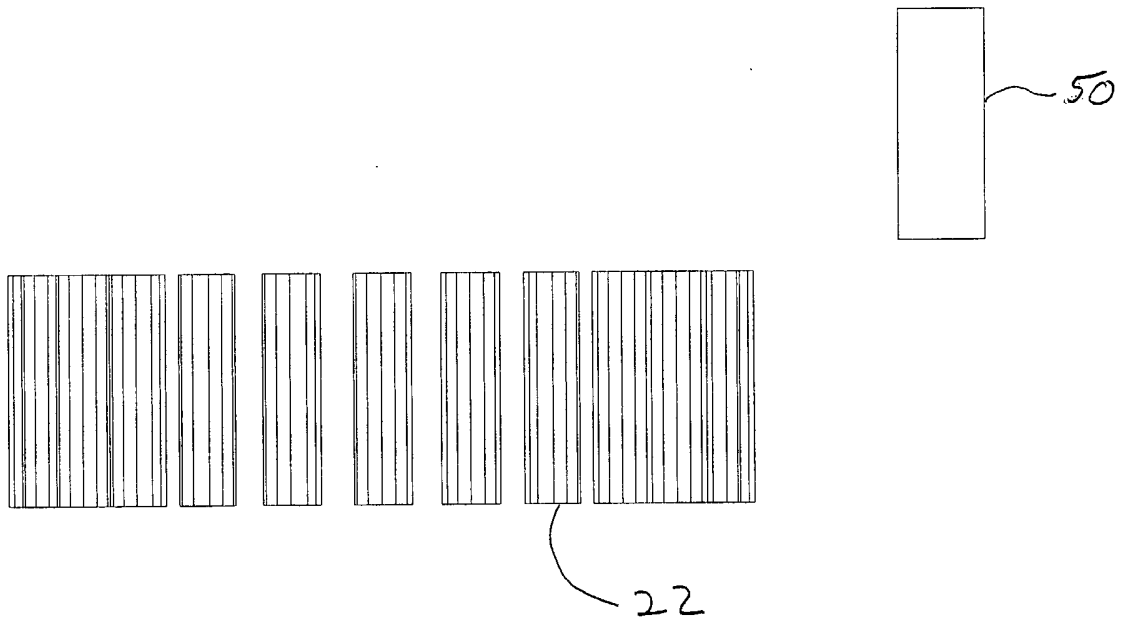


Fig. 9

Figure 10



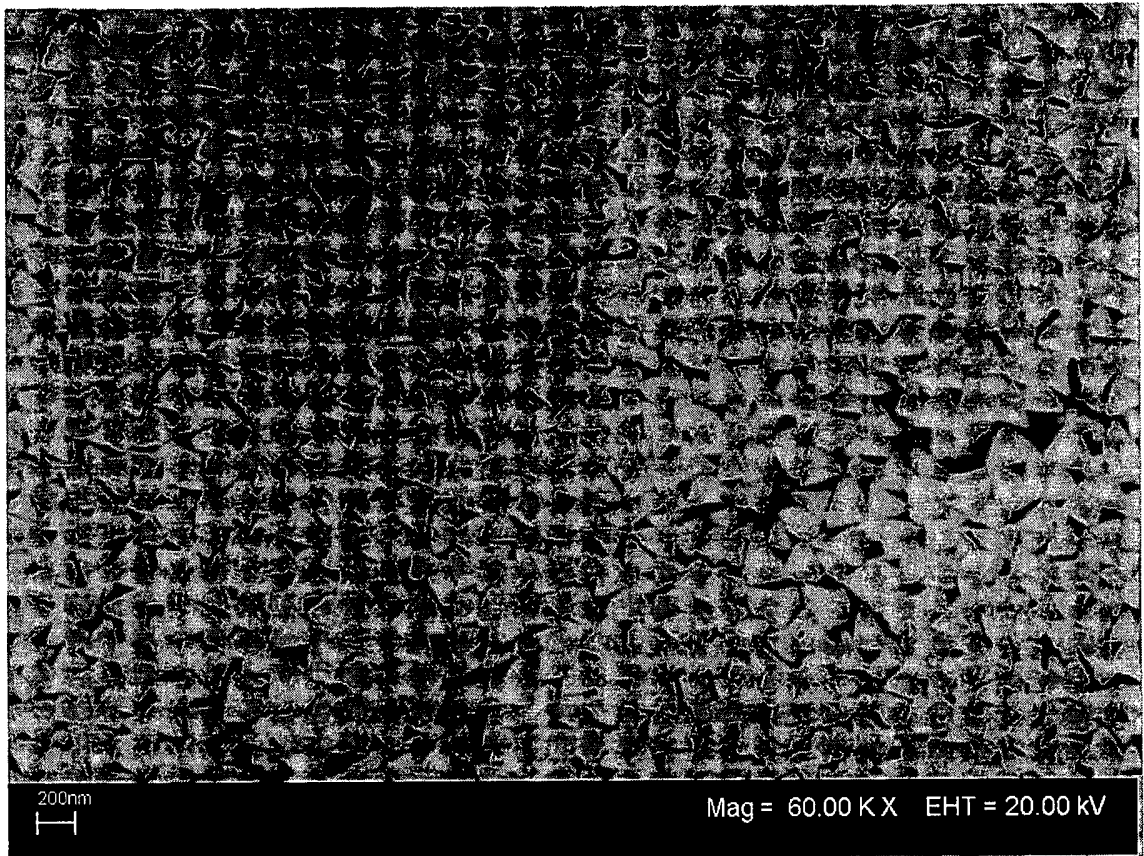


Figure 11