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(54) Title: METHOD AND DEVICE FOR LOSSY COMPRESS-ENCODING DATA AND CORRESPONDING METHOD AND DEVICE FOR RECONSTRUCTING DATA

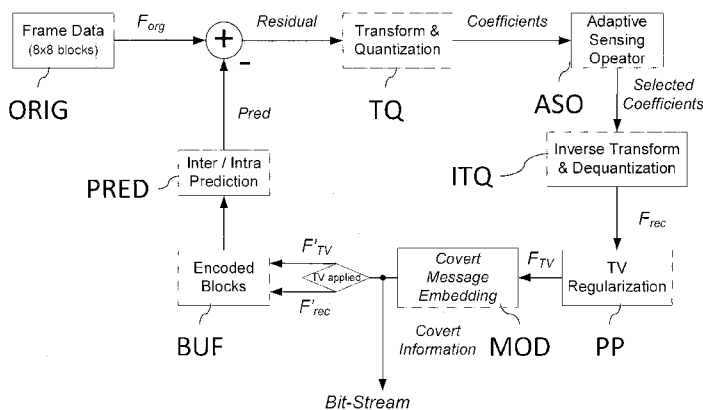


Fig. 1

(57) Abstract: The invention proposes modification of quantized coefficients for signalling of a post-processing method. Therefore, it is proposed a method for lossy compress-encoding data comprising at least one of image data and audio data. Said method comprises determining quantized coefficients using a quantization of a discrete cosine transformed residual of a prediction of said data. Said method further comprises modifying said quantized coefficients for minimizing rate-distortion cost wherein distortion is determined using a post-processed reconstruction of the data, the post-processed reconstruction being post-processed according to a post-processing method, and compress-encoding said modified coefficients. In said proposed method, the post-processing method is that one of n>1 different predetermined post processing method candidates whose position in an predetermined order of arrangement of the post processing method candidates equals a remainder of division, by n, of a sum of the modified coefficients. Doing so removes the overhead of flags in the bit stream.



**Method and Device for lossy compress-encoding data and  
corresponding method and device for reconstructing data**

5 TECHNICAL FIELD

The invention is made in the field of lossy compress-encoding data comprising at least one of image data and audio data.

BACKGROUND OF THE INVENTION

10 Lossy compress-encoding tries to represent data, e.g. audio or video data, with as few bits as possible while at the same time trying to allow the data to be reconstructed from the lossy compress-encoded representation as good as possible.

15 To achieve this goal, commonly a rate-distortion cost function is defined. Minimizing this function then allows for a lossy compression scheme which delivers the best trade-off between encoding costs in terms of bitrate and information loss in terms of distortion of reconstructed  
20 data with respect to original data.

Reconstructing the data may comprise post-processing. That is, first a preliminary reconstruction of the data is generated using the information contained in the compress-  
25 encoded data. Then, a post-processing method is applied for regaining that part of information which was removed from the original data by lossy compression.

An example thereof is the removal of film grain noise from image data in course of lossy compression and subsequent addition of simulated film grain noise to a preliminary

reconstruction obtained from the lossy compress-encoded image data.

Another exemplary source of distortion is quantization. For compressing video or audio data, the data is commonly  
5 predicted using already encoded data. The residual remaining from prediction is transformed from spatial and/or temporal domain to frequency domain using, for instance, discrete cosine transformation or wavelet transformation. The resulting coefficients then are  
10 quantized. Finally, the quantized coefficients are compress-encoded using, e.g., Huffman coding or arithmetic encoding.

Quantization can be non-linear such that the coefficients are thinned out or sparsified, i.e. only a sub-set of the  
15 frequency information is maintained. This is similar or identical to linear quantization combined with modification. E. Candes, J. Romberg, and T. Tao, "Robust uncertainty principles: Exact signal reconstruction from highly incomplete frequency information," IEEE Trans. on  
20 Information Theory, vol. 52, pp. 489 - 509, Feb. 2006, proved theoretically that, anyway, image can be exactly reconstructed from such sub-set using appropriate post-processing.

Y. Zhang, S. Mei, Q. Chen, and Z. Chen, "A novel  
25 image/video coding method based on compressed sensing theory," In Proceedings of IEEE ICASSP, pp. 1361-1364, Apr. 2008, proposed a method of image/video coding by employing transform coefficient subsampling and total variation (TV) minimization based post processing of preliminary block  
30 reconstruction in the residue domain.

M.R. Dadkhah, S. Shirani, M.J. Deen, "Compressive sensing with modified total variation minimization algorithm", In Proceedings of IEEE ICASSP, pp. 1030 - 1033, Mar. 14 - 19,

2010, mention exploiting Norm-1 post-processing for image reconstruction.

Another example of the use of total variation-minimization-based post processing can be found in T.T.Do, X. Lu, J. Sole, "Compressive sensing with adaptive pixel domain reconstruction for block-based video coding", In Proceedings of ICIP, pp. 3377 - 3380 Sep. 26-29, 2010. Therein, a video encoder is proposed which selects between a new coding mode using adaptive total variation minimization block recovery and existing H.264 modes. An additional flag, denoted as CS-flag, is employed to mark the selected coding mode. The decoder reads the CS-flag and then executes the appropriate reconstruction algorithm corresponding to the CS mode or the normal modes.

#### 15 SUMMARY OF THE INVENTION

The inventors of the current invention identified the problem that transmission of a flag whether to perform post processing like total variation (TV) regularization results in significant overhead in the bit-stream, especially for the low bit-rate compression. This problem even intensifies in case several post-processing methods can be used and thus have to be signalled.

The inventors realized that the modification of quantized coefficients can be used for signalling the post-processing method.

Therefore, it is proposed a method according to claim 1 for lossy compress-encoding data comprising at least one of image data and audio data. Said method comprises determining quantized coefficients using a quantization of a discrete cosine transformed residual of a prediction of said data. Said method further comprises modifying said quantized coefficients for minimizing rate-distortion cost wherein distortion is determined using a post-processed

reconstruction of the data, the post-processed reconstruction being post-processed according to a post-processing method, and compress-encoding said modified coefficients. In said proposed method, the post-processing method is that one of  $n > 1$  different predetermined post processing method candidates whose position in an predetermined order of arrangement of the post processing method candidates equals a remainder of division, by  $n$ , of a sum of the modified coefficients.

10 Doing so removes the overhead of flags in the bit stream.

In an embodiment these steps are executed using processing means adapted correspondingly.

The inventors further propose non-transitory means at least partly dedicated for at least one of storage and transmission of a compress-encoded data comprising at least one of image data and audio data, the data being compress-encoded according to the said proposed method for lossy compress-encoding.

A corresponding method according to claim 7 for reconstructing data comprising at least one of image data and audio data, comprises compress-decoding coefficients, using processing means for determining a preliminary reconstruction of the data using the compress-decoded coefficients, and determining a reconstruction of the data by post-processing the preliminary reconstruction using that one of  $n > 1$  different predetermined post processing method candidates whose position in an order of arrangement of the post processing method candidates equals a remainder of division, by  $n$ , of a sum of the compress-decoded coefficients.

Furthermore, corresponding devices according to claims 12 and 13 are proposed.

The features of further advantageous embodiments are specified in the dependent claims.

#### BRIEF DESCRIPTION OF THE DRAWINGS

5 Exemplary embodiments of the invention are illustrated in the drawings and are explained in more detail in the following description. The exemplary embodiments are explained only for elucidating the invention, but not limiting the invention's disclosure or scope solely defined  
10 by the claims.

In the figures:

- Fig. 1 depicts an exemplary flow chart of the encoding procedure according to the invention;
- 15 Fig. 2 depicts an exemplary flow chart of embedding covertly information on post processing in a bit stream; and
- Fig. 3 depicts an exemplary flow chart of the decoding procedure according to the invention.

20

#### EXEMPLARY EMBODIMENTS OF THE INVENTION

The invention may be realized on any electronic device comprising a processing device correspondingly adapted. For instance, the invention may be realized in a television, a  
25 mobile phone, a personal computer, a digital still camera, a digital video camera, an mp3-player, a navigation system or a car audio system.

In an exemplary embodiment, the invention is used for encoding an image composed of image pixels. In said embodiment a residual between a block of image pixels yet-to-be encoded and a prediction of said block is determined.

5 The prediction is determined using already encoded image pixels. Next, a transformation from spatial domain to frequency domain, such as discrete cosine transform, is applied on the residual. From the transformation result a sequence of quantized coefficients is generated by

10 quantization and scanning according to a scan order wherein it is unimportant whether quantization or scanning occurs first.

Among the quantized coefficients, for further modification those are selected which are of reduced relevancy for the human visual system, e.g. coefficients associated with

15 frequencies above a threshold associated with human perceptive sensitivity. This ensures that subsequent modification does not lead to distortions of extreme saliency to the user.

20 Then, among the selected coefficients, those are determined which are positive valued and do not exceed a positive threshold and which further are contained in contiguous sub-sequences of at least a positive number of Zero valued coefficients, i.e. each determined coefficient is the only

25 non-zero valued coefficient in the corresponding sub-sequence.

All the determined coefficients may be set to Zero which leads to compression without impacting image quality significantly. Or, rate-distortion cost optimization can be

30 used for identifying, among the determined coefficients, and setting to Zero those which, when set to zero, lead to an improvement of rate-distortion cost.

Doing so provides an adaptive compressive sensing based video coding scheme which adaptively selects the coefficients that are the most efficient in representing video frames.

5 Rate-distortion cost optimization can take into account one or more post-processing methods like total variation regularization, also called total variation minimization, or  $l_1$  minimization, also called Norm-1 minimization, the one or more post-processing methods being arranged,  
10 together with a dummy post-processing method representative of no post processing, in an order, i.e. each post-processing has an associated ordinal number.

Thus, in an embodiment it is determined whether post-processing improves the quality of the restored images as  
15 well as the post processing which improves quality the most.

Then, modification of the determined coefficients can be made such that a remainder of division, by  $n$ , of a sum of the all coefficients including the modified ones is equal  
20 to the ordinal number of that post processing method which is best suited for minimization of the distortion. For making easier achieving of this equality, even coefficients associated with frequencies below or at the perceptivity threshold can be modified. Further or as an alternative,  
25 achieving of this equality can be made in an iterative fashion, i.e. a preliminary suitable post-processing is determined, then coefficients are modified to achieve said equality, in response to which it is either verified that the preliminary determined post-processing is still  
30 suitable, or a new preliminary suitable post-processing is determined which triggers further modification.

In practice, it was found that a single iteration was sufficient in the rare cases where the verification of a first preliminary determined post-processing failed.

Finally, there is encoded the resulting coefficients  
5 together with information allowing a decoder to determine the prediction.

Doing so enables signalling, in a bit stream comprising compress encoded quantized coefficients determined using a quantization of a discrete cosine transformed residual of a  
10 prediction of a block of pixels of an image, a post processing method being the one of  $n > 1$  different, sorted and predetermined post processing method candidates which minimizes distortion when used for reconstructing the block using said encoded coefficients and said prediction.

15 That is, information is sent in a covert communication channel whether and/or which post processing improves image restoration best.

A varying quantization parameter can be used for quantization. In that case, at least one of the positive  
20 threshold and the minimum positive number of Zero-valued coefficients per sub-sequence can vary too in dependency on the quantization parameter.

For reconstructing a block of pixels of an image encoded in such way, coefficients and information allowing a decoder  
25 to determine the prediction are decoded. Next, a remainder of division, by a predetermined positive number  $n$ , of a sum of the decoded coefficients is determined. For reconstructing the residual, the decoded coefficients are de-quantized and inverse transformed and, for  
30 reconstructing the prediction the decoded information is used. Then, prediction and residual are combined. The remainder of the division is used for selecting a candidate post-processing which is then applied on the combination of

reconstructed residual and reconstructed prediction for determining the final reconstruction of the block.

An exemplary embodiment of an encoding device scans the coefficients after DCT and quantization of each block, and  
5 finds isolated small coefficients (e.g., an isolated 1 in the middle of a number of successive zeros) which do not contribute to the reconstruction quality significantly. Then such coefficients are discarded since this probably degrades the quality slightly but reduces the bit-rate  
10 much. Thus, only the significant coefficients are selected and written into the bit-stream.

Additionally or alternatively, the exemplary embodiment of the encoding device is capable of choosing adaptively among  $l_1$  minimization, total variation minimization and skipping  
15 post-processing and indicating the choice by Covert Communication.

In many cases, post-processing modes, e.g. total variation (TV) minimization, work well on compensating the distortion caused by quantization and/or coefficient discarding,  
20 meanwhile, sometimes they fail. The exemplary embodiment of the encoding device can process each block and computes the distortion, e.g. by computing PSNR. If the quality improves, it embeds the message of "to do TV regularization" into the bit-stream in a covert  
25 communication channel.

An instance of covert messages is that, if TV regularization is required at the decoder and TV regularization is the only available candidate post processing method besides no post processing, the sum of  
30 the coefficients shall be odd; otherwise, the sum shall be even. For the case of 3 available post-processing modes, modulus-3 will be used instead of parity-check.

Since sum of coefficients not necessarily is odd in case TV regularization is useful and not necessarily is even in case no post processing is preferable, coefficients sometimes need to be modified. This is best done in a way which reduces bit rate and minimizes distortion resulting from such modification, i.e. not only ensures sum of modified coefficients having correct parity but further minimizes rate-distortion cost.

Since human eye is far more sensitive to variations in lower frequency components the modification preferably is being carried out on higher frequency components above a threshold.

And, since encoding small and isolated coefficients requires comparably many bits the modification preferably is being carried out on small and isolated high frequency components.

Therefore, in an embodiment also comprising discarding of small and isolated high frequency components, anyway, sum of the coefficients can be controlled by not discarding all of the small and isolated coefficients and/or by not discarding but only reducing some or all of these coefficients.

The inventive principles set forth in the claims were tested in an exemplary encoder built upon the H.264 codec. For simplicity, only 8x8 transform was used, however, the proposed method is also suitable for other block sizes such as 4x4. Furthermore, only TV regularization was considered for post-processing.

The tested exemplary encoder goes through these steps:

Given the quantization parameter (QP) of H.264 compression, the tested exemplary encoder calculates at least the parameters Threshold\_Run, Threshold\_Level, TV\_lambda. These

calculated parameters satisfy that the Adaptive Sensing Operator or TV Regularization module can achieve the optimal compression at each QP. The parameters Threshold\_Level and Threshold\_Run have been optimized for  
5 each QP using a training set of various video sequences.

The tested exemplary encoder obtains the residual data by subtracting the inter/intra prediction from the original block **Forg**, which is then transformed, quantized, and arranged in a sequence by scanned according to a  
10 predetermined scan order, e.g. the various frequency components are zigzag reordered.

An Adaptive Sensing Operator **ASO** realized in the tested exemplary encoder then tries to represent the frame as accurate as possible at a relatively low bit cost. To do  
15 so, the coefficients with small magnitudes that consume many bits are examined as to whether they can be discarded. This is achieved by investigating the sequence of the coefficients. For each Coefficient C that stays ahead of a successive zeros and after b successive zeros, if  $C \leq$   
20 Threshold\_Level and  $a + b \geq$  Threshold\_Run, C is candidate to be set to zero.

Rate-Distortion optimization is employed by Adaptive Sensing Operator **ASO** to determine whether to set to zero the detected candidate coefficients.

25 In consideration of subjective quality, the Adaptive Sensing Operator **ASO** is adapted for excluding the beginning 25 coefficients which are sensitive to human eye from being set to zero.

For each candidate coefficient actually set to zero the  
30 bits for a level value and a run-length value are saved without degrading the quality significantly.

To alleviate the quality loss caused by quantization and coefficient dropping, TV minimization in principle is beneficial. But, although parameter TV\_lambda has been optimized for a given quantization parameter based on various videos, there is still a possibility that TV regularization actually degrades quality even.

In a post-processing module PP, the tested exemplary encoder therefore tentatively applies TV regularization on the reconstructed block **Frec**, obtaining block **FTV**. Then, the exemplary encoder evaluates the quality of **Frec** and **FTV** by comparison with the original data **Forg**. If the distortion of **FTV** is smaller, the tested exemplary encoder signals TV regularization to be used at decoder side for output as well for prediction.

The tested exemplary encoder therefore embeds the message of whether to use TV regularization into the bit-stream. If TV regularization makes quality better and thus is required, the sum of coefficients shall be odd; otherwise, the sum even. The tested exemplary encoder then computes the sum of coefficients and checks whether the parity follows the above rule, i.e. whether parity fits to applicability of post processing according to a rule present in encoder and decoder. If not, the tested exemplary encoder modifies, in module **MOD**, one of the remaining non-zero coefficients or one of the discarded small and isolated high frequency coefficients by 1 or -1 to meet this requirement.

For the sake of impact on visibility, the frequency of the modified coefficient shall be as high as possible. For the sake of bit rate, the frequency of the modified coefficient shall be as high as possible. Therefore, rate-distortion cost minimization can be used to determine which of the different frequency components to modify as well as how to modify.

As search space for this determination is large, the following prioritization is applied in the exemplary encoder tested:

If any discarded coefficients are odd: Restore the one  
5 associated with the lowest frequency or the one whose discarding resulted in greatest additional distortion.

Only, if the discarded coefficients are all even: Modify one of the discarded coefficients by  $\pm 1$ .

If no coefficient was discarded: Modify one of the nonzero  
10 coefficients by  $\pm 1$ .

It was determined advantageous if the absolute of the modified coefficient is reduced.

Since modification may affect the usefulness of TV regularization, evaluation of TV regularization's effect on  
15 distortion and modification of coefficients are re-done until parity of coefficients equals the preferable way of reconstruction.

Finally, the block reconstructed from the finally resulting coefficients is saved in the buffer as a candidate for  
20 prediction of blocks to-be-encoded; and the finally resulting coefficients are entropy encoded and written into the bit-stream, on a non-transitory storage medium or are transmitted as a signal.

An exemplary embodiment of a device for reconstructing data  
25 encoded as such receives the encoded coefficients and decodes them. Then the device determines parity of the coefficients. Parity being odd informs the exemplary decoder that TV regularization can be applied beneficially. Parity being even informs the exemplary decoder that  
30 computational effort of TV regularization can be omitted without impairing image quality. Next, the exemplary decoder applies inverse quantization and inverse

transformation on the coefficients. The resulting coefficients are arranged in a block corresponding to the predetermined scan order used at encoder side. This results in a reconstructed residual which is combined with the  
5 prediction resulting in a decoded block **FDEC**. Finally, post processing is applied or omitted depending on parity of the decoded coefficients.

CLAIMS:

1. Method for lossy compress-encoding data comprising at least one of image data or audio data, said method comprising
- 5 - determining quantized coefficients using a quantization of a discrete cosine transformed residual of a prediction of said data,
- modifying said quantized coefficients for minimizing rate-distortion cost wherein distortion is determined using a post-processed reconstruction of the data, the post-processed reconstruction being post-processed according to a post-processing method, and
- 10 - compress-encoding said modified coefficients wherein
- the post-processing method is that one of  $n > 1$  different predetermined post processing method candidates whose position in an predetermined order of arrangement of the post processing method candidates equals a remainder of division, by  $n$ , of a sum of the modified coefficients.
- 15
- 20 2. The method of claim 1, wherein the reconstruction is determined using said modified coefficients and said prediction, said prediction being determined using already compress-encoded data and a reference to the already compress-encoded data is further compress-encoded.
- 25
3. The method of one of claims 1 or 2, wherein the step of modifying said quantized coefficients comprises
- (a) Determining that a difference unequal to Zero exists between a remainder of division, by  $n$ , of a sum of the

quantized coefficients and the position of that one of  $n$   
different, ordered and predetermined post processing  
method candidates which minimizes distortion when used  
for reconstructing said block using said quantized  
5 coefficients and said prediction, and

- (b) modifying the quantized coefficients such that overall modification equals the non-Zero difference.

4. The method of claim 3, further comprising repeating  
10 steps (a) and (b) using in each repetition of step (a) the modified coefficients resulting from immediately preceding execution of step (b) until existence of a difference unequal to Zero is not determined.

15 5. The method of one of claims 1-4, wherein the data and the quantized coefficients are arranged as two-dimensional blocks and modifying the coefficients comprises:

- determining a sequence of coefficients by:
  - o scanning the quantized coefficients according to  
20 a scan order and using the sequence for determining those quantized coefficients which each:
    - represents a frequency above a predetermined frequency threshold,
    - 25 • does not exceed a predetermined positive threshold, and
    - is the only non-Zero coefficient contained in contiguous subsequence of at least a

predetermined positive number of quantized coefficients, and

- identifying, among the determined coefficients, those which, when set to zero, lead to minimization of rate-distortion cost and setting the identified coefficients to Zero.

6. The method of claim 5, further comprising determining a quantization parameter for quantization wherein at least one of the positive threshold and the positive number depends on the determined quantization parameter.

7. Method for reconstructing data comprising at least one of image data and audio data, said method comprising

- compress-decoding coefficients,
- determining a preliminary reconstruction of the data using the compress-decoded coefficients, and
- determining a reconstruction of the data by post-processing the preliminary reconstruction using that one of  $n > 1$  different predetermined post processing method candidates whose position in an order of arrangement of the post processing method candidates equals a remainder of division, by  $n$ , of a sum of the compress-decoded coefficients.

8. The method of one of claims 1-7, wherein one of the post processing method candidates is total variation regularization.

9. The method of one of claims 1-9, wherein one of the post processing method candidates is  $l_1$  minimization.

10. The method of one of claims 1-10, wherein one of the  
5 post processing method candidates is a dummy post processing method which does not process at all.

11. Device for lossy compress-encoding data comprising at least one of image data and audio data, comprising

- 10 - processing means adapted for determining quantized coefficients using a quantization of a discrete cosine transformed residual of a prediction of said data,
- the processing means being further adapted for modifying said quantized coefficients for minimizing rate-  
15 distortion cost wherein distortion is determined using a reconstruction of the block post-processed according to a post-processing method,
- encoding means adapted for compress-encoding said modified coefficients wherein
- 20 - the post-processing method used for distortion determination is that one of  $n > 1$  different predetermined post processing method candidates whose position in an predetermined order of arrangement of the post processing method candidates equals a remainder of  
25 division, by  $n$ , of a sum of the modified coefficients.

12. Device for reconstructing data comprising at least one of image data and audio data, comprising

- decoding means adapted for compress-decoding coefficients, and
  - processing means adapted for determining a preliminary reconstruction of the data using the compress-decoded coefficients, wherein
  - the processing means are further adapted for determining a reconstruction of the block by post-processing the preliminary reconstruction using that one of  $n > 1$  different predetermined post processing method candidates whose position in an order of arrangement of the post processing method candidates equals a remainder of division, by  $n$ , of a sum of the compress-decoded coefficients.
- 15 13. Means at least partly dedicated for at least one of storage and transmission of a compress-encoded data comprising at least one of image data and audio data, the data being compress-encoded according to the method of one of claims 1-6.

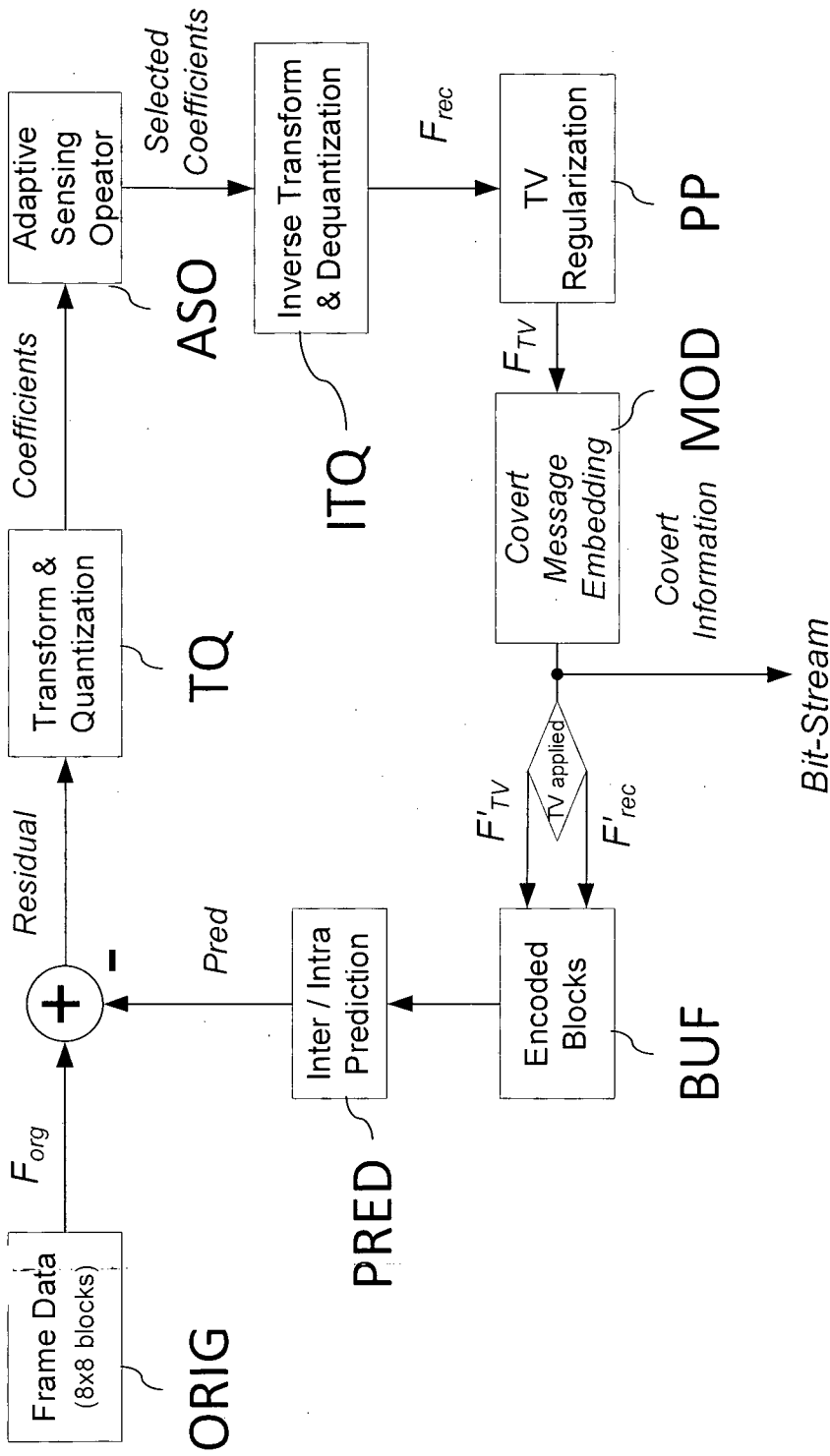


Fig. 1

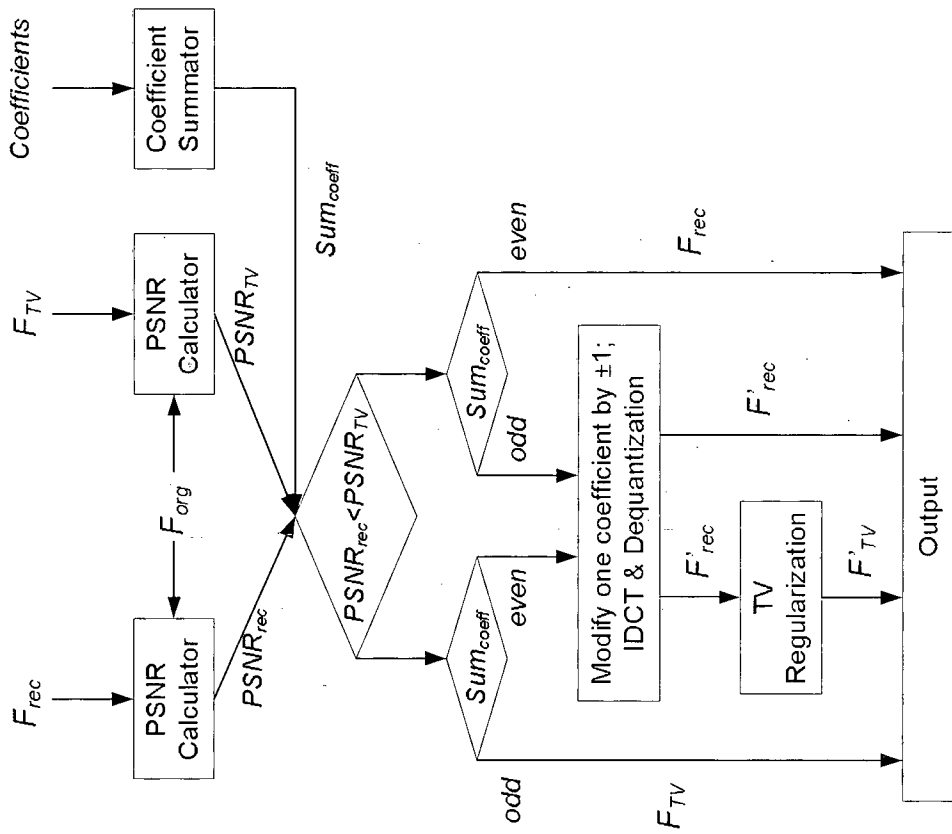


Fig. 2

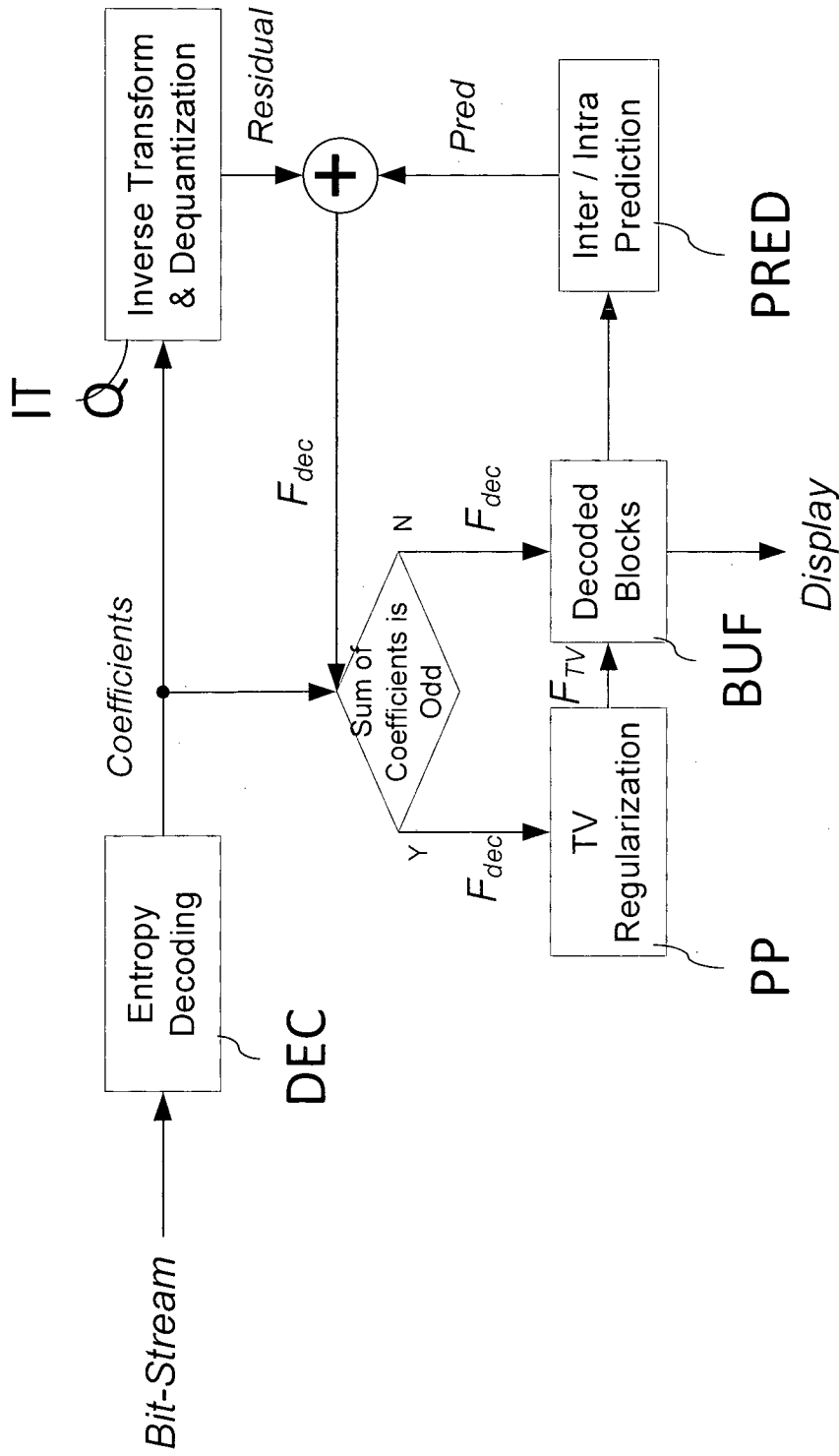


Fig. 3

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/CN2011/000705

## A. CLASSIFICATION OF SUBJECT MATTER

Refer to extra sheet

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC: H04N7/46, H04N7/32, H04N7/50, H04N7/26, H04N7/24, H04N7/-, H04N1/41, H04N1/-

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

DWPI, SIPOABS, CNABS, CNKI: modify+, quantized W coefficient?, remov+ 5W flags, signalling, rate 2D distortion, reconstruct+ or represent+, remainder, division, divid+, slect+, choos+, post, process+

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US2009/0110066A1(WANG Limin et al.)30 Apr.2009(30.04. 20090) paragraphs 8, 36, 43—45, 64, 72-85, FIG. 3	1-13
A	WO2008/063334A2(CALISTA TECHNOLOGIES) 29 May 2008(29.05. 2008) the whole document	1-13
A	US2002/0080408A1(BUDGE Scott E. et al.)27 Jun. 2002(27.06.2002) the whole document	1-13
A	WO99/29115A1(ROCKWELL INTERNATIONAL CORPORATION)10 Jun.1999(10.06.1999) the whole document	1-13

Further documents are listed in the continuation of Box C.

See patent family annex.

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# INTERNATIONAL SEARCH REPORT

International application No.

PCT/CN2011/000705

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US5321522A(ESCHBACH Reiner) 14 Jun.1994(14.06.1994) the whole document	1-13

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

International application No.  
PCT/CN2011/000705

Patent Documents referred in the Report	Publication Date	Patent Family	Publication Date
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# INTERNATIONAL SEARCH REPORT

International application No.

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## A. CLASSIFICATION OF SUBJECT MATTER

H04N7/46 (2006.01) i

H04N7/50 (2006.01) i