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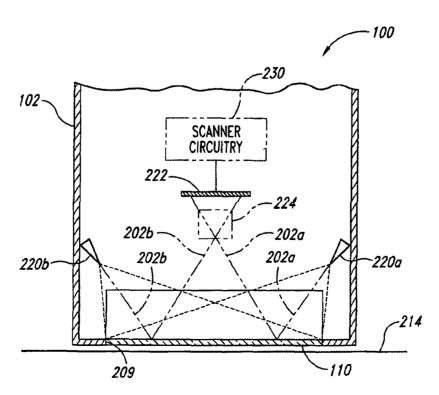
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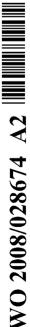
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[Continued on next page]

(54) Title: OPTICAL SCANNERS, SUCH AS HAND-HELD OPTICAL SCANNERS



(57) Abstract: A hand-held optical scanner is described. The hand-held optical scanner has an image sensor, as well as a scan window through which image light is directed toward the image sensor.



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# OPTICAL SCANNERS, SUCH AS HAND-HELD OPTICAL SCANNERS

CROSS-REFERENCE TO RELATED APPLICATIONS INCORPORATED BY REFERENCE

[0001] The present application claims priority to the following U.S. Provisional Patent Applications, each of which is hereby incorporated by reference in its entirety: U.S. Provisional Patent Application No. 60/843,362, filed on September 8, 2006, entitled OPTICAL SCANNERS, SUCH AS HAND-HELD OPTICAL SCANNERS, U.S. Provisional Patent Application No. 60/844,894, filed on September 15, 2006, entitled OPTICAL SCANNERS, SUCH AS HAND-HELD OPTICAL SCANNERS, and U.S. Provisional Patent Application No. 60/845,604, filed on September 18, 2006, entitled OPTICAL SCANNERS, SUCH AS HAND-HELD OPTICAL SCANNERS.

This application is also related to the following U.S. Patent Applications, [0002] each of which is hereby incorporated by reference in its entirety: U.S. Patent Application No. 11/004,637 filed on December 3, 2004, U.S. Patent Application No. 11/097,103, filed on April 1, 2005, entitled TRIGGERING ACTIONS IN RESPONSE TO OPTICALLY OR ACOUSTICALLY CAPTURING KEYWORDS FROM A RENDERED DOCUMENT, U.S. Patent Application No. 11/097,961, filed April 1, 2005, entitled METHODS AND SYSTEMS FOR INITIATING APPLICATION PROCESSES BY DATA CAPTURE FROM RENDERED DOCUMENTS, U.S. Patent Application No. 11/097,093, filed April 1, 2005, entitled DETERMINING ACTIONS INFORMATION AND **ELECTRONIC** INVOLVING CAPTURED ASSOCIATED WITH RENDERED DOCUMENTS, U.S. Patent Application No. 11/098,038, filed April 1, 2005, entitled CONTENT ACCESS WITH HANDHELD DOCUMENT DATA CAPTURE DEVICES, U.S. Patent Application No. 11/098,014, filed April 1, 2005, entitled SEARCH ENGINES AND SYSTEMS WITH HANDHELD DOCUMENT DATA CAPTURE DEVICES, U.S. Patent Application No. 11/098,043, filed April 1, 2005, entitled SEARCHING AND ACCESSING DOCUMENTS ON

PRIVATE NETWORKS FOR USE WITH CAPTURES FROM RENDERED DOCUMENTS, U.S. Patent Application No. 11/097,981, filed April 1, 2005, entitled INFORMATION GATHERING SYSTEM AND METHOD, U.S. Patent Application No. 11/097,089, filed April 1, 2005, entitled DOCUMENT ENHANCEMENT SYSTEM AND METHOD, U.S. Patent Application No. 11/097.835, filed April 1, 2005, entitled PUBLISHING TECHNIQUES FOR ADDING VALUE TO A RENDERED DOCUMENT, U.S. Patent Application No. 11/098,016, filed April 1, 2005, entitled ARCHIVE OF TEXT CAPTURES FROM RENDERED DOCUMENTS, U.S. Patent Application No. 11/097,828, filed April 1, 2005, entitled ADDING INFORMATION OR FUNCTIONALITY TO A RENDERED DOCUMENT VIA ASSOCIATION WITH AN ELECTRONIC COUNTERPART, U.S. Patent Application No. 11/097,833, filed April 1, 2005, entitled AGGREGATE ANALYSIS OF TEXT CAPTURES PERFORMED BY MULTIPLE USERS FROM RENDERED DOCUMENTS, U.S. Patent Application No. 11/097.836. filed April 1, 2005, entitled ESTABLISHING AN INTERACTIVE ENVIRONMENT FOR RENDERED DOCUMENTS, U.S. Patent Application No. 11/098,042, filed April 1, 2005, entitled DATA CAPTURE FROM RENDERED DOCUMENTS USING HANDHELD DEVICE, U.S. Patent Application No. 11/096,704, filed April 1, 2005, entitled CAPTURING TEXT FROM RENDERED DOCUMENTS USING SUPPLEMENTAL INFORMATION, U.S. Patent Application No. 11/110,353, filed April 19, 2005, entitled PROCESSING TECHNIQUES FOR VISUAL CAPTURE DATA FROM A RENDERED DOCUMENT, U.S. Patent Application No. 11/131,945, filed May 17, 2005, entitled PROCESSING TECHNIQUES FOR TEXT CAPTURE FROM A RENDERED DOCUMENT, U.S. Patent Application No. 11/185,908, filed July 19, 2005, entitled AUTOMATIC MODIFICATION OF WEB PAGES, U.S. Patent Application No. 11/208,408, filed August 18, 2005, entitled SCANNER HAVING CONNECTED AND UNCONNECTED OPERATIONAL BEHAVIORS, U.S. Patent Application No. 11/208,457, filed August 18, 2005, entitled LOCATING ELECTRONIC INSTANCES OF DOCUMENTS BASED ON RENDERED INSTANCES, DOCUMENT FRAGMENT DIGEST **DIGEST** BASED **DOCUMENT** FRAGMENT GENERATION. AND DETERMINATION, U.S. Patent Application No. 11/208,458, filed August 18, 2005, entitled METHODS, SYSTEMS AND COMPUTER PROGRAM PRODUCTS FOR

IN A DIGITAL AND HARD COPY DOCUMENT DATA GATHERING ENVIRONMENT, U.S. Patent Application No. 11/208,461, filed August 18, 2005, entitled APPLYING SCANNED INFORMATION TO IDENTIFY CONTENT, U.S. Patent Application No. 11/209,333, filed August 23, 2005, entitled A PORTABLE SCANNING DEVICE, U.S. Patent Application No. 11/210,260, filed August 23, 2005, entitled A METHOD AND SYSTEM FOR CHARACTER RECOGNITION, U.S. Patent Application No. 11/236,440, filed September 27, 2005, entitled SECURE DATA GATHERING FROM RENDERED DOCUMENTS, U.S. Patent Application No. 11/236,330, filed September 27, 2005, entitled HANDHELD DEVICE FOR CAPTURING TEXT FROM BOTH A DOCUMENT PRINTED ON PAPER AND A DOCUMENT DISPLAYED ON A DYNAMIC DISPLAY DEVICE, U.S. Patent Application No. 11/365,983, filed February 28, 2006, entitled ASSOCIATION OF A PORTABLE SCANNER WITH INPUT/OUTPUT AND STORAGE DEVICES, U.S. Patent Application No. 11/432,731, filed May 11, 2006, entitled A PORTABLE SCANNING AND MEMORY DEVICE, International Patent Application No. PCT/US05/11533, filed April 1, 2005, entitled A SYSTEM AND METHOD FOR RECOGNITION, International Patent Application No. CHARACTER PCT/US05/13586, filed April 6, 2005, entitled SCANNING APPARATUS AND RELATED TECHNIQUES, International Patent Application No. PCT/US05/12510, filed April 12, 2005, entitled ADDING VALUE TO A RENDERED DOCUMENT.

This application is further related to the following U.S. Provisional Patent Applications, each of which is hereby incorporated by reference in its entirety: Application No. 60/559,226 filed on April 1, 2004, Application No. 60/558,893 filed on April 1, 2004, Application No. 60/558,968 filed on April 1, 2004, Application No. 60/558,867 filed on April 1, 2004, Application No. 60/559,278 filed on April 1, 2004, Application No. 60/559,279 filed on April 1, 2004, Application No. 60/559,265 filed on April 1, 2004, Application No. 60/558,969 filed on April 1, 2004, Application No. 60/558,969 filed on April 1, 2004, Application No. 60/558,760 filed on April 1, 2004, Application No. 60/558,717 filed on April 1, 2004, Application No. 60/558,370 filed on April 1, 2004, Application No. 60/558,370 filed on April 1, 2004, Application No. 60/558,791 filed on April 1, 2004, Application No. 60/558,527 filed

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60/613,460 filed on September 27, 2004, Application No. 60/613,400 filed on September 27, 2004, Application No. 60/613,456 filed on September 27, 2004, Application No. 60/613,341 filed on September 27, 2004, Application No. 60/613,361 filed on September 27, 2004, Application No. 60/613,454 filed on September 27, 2004, Application No. 60/613,339 filed on September 27, 2004, Application No. 60/613,633 filed on September 27, 2004, Application No. 60/615,378 filed on October 1, 2004, Application No. 60/615,112 filed on October 1, 2004, Application No. 60/615,538 filed on October 1, 2004, Application No. 60/617,122 filed on October 7, 2004, Application No. 60/622,906 filed on October 28, 2004, Application No. 60/633,452 filed on December 6, 2004, Application No. 60/633,678 filed on December 6, 2004, Application No. 60/633,486 filed on December 6, 2004, Application No. 60/633,453 filed on December 6, 2004, Application No. 60/634,627 filed on December 9, 2004, Application No. 60/634,739 filed on December 9, 2004, Application No. 60/647,684 filed on January 26, 2005, Application No. 60/648,746 filed on January 31, 2005, Application No. 60/653,372 filed on February 15, 2005, Application No. 60/653,663 filed on February 16, 2005, Application No. 60/653,669 filed on February 16, 2005, Application No. 60/653,899 filed on February 16, 2005, Application No. 60/653,679 filed on February 16, 2005, Application No. 60/653,847 filed on February 16, 2005, Application No. 60/654,379 filed on February 17, 2005, Application No. 60/654,368 filed on February 18, 2005. Application No. 60/654,326 filed on February 18, 2005, Application No. 60/654,196 filed on February 18, 2005, Application No. 60/655,279 filed on February 22, 2005, Application No. 60/655,280 filed on February 22, 2005, Application No. 60/655,987 filed on February 22, 2005, Application No. 60/655,697 filed on February 22, 2005, Application No. 60/655,281 filed on February 22, 2005, and Application No. 60/657,309 filed on February 28, 2005; Application No. 60/811,623, filed June 6, 2006 and 60/833,131, filed July 24, 2006.

## TECHNICAL FIELD

**[0004]** The following disclosure relates generally to optical scanning devices and related systems.

## **BACKGROUND**

[0005] Optical scanning devices digitize images and text and translate the information into a machine-readable form a computer can use. The image data can then be used in a number of different ways. For example, the data can be used by a personal computer to reproduce an image of the scanned object on a display device or a printer. The data can also be used by a computer to find and retrieve an electronic version of all or a portion of the original document or source.

[0006] There are numerous types of optical scanners, including both fixed scanners (e.g., conventional copy machines) and portable scanners (e.g., hand-held scanning devices). As the name implies, a hand-held optical scanner can be held in a user's hand and moved over the text or image the user desires to scan. The image data can then be stored in scanner memory for later download, or downloaded directly to an associated computer or other processing device via a cable or wireless connection.

# **BRIEF DESCRIPTION OF THE DRAWINGS**

**[0007]** Figures 1A-1D are top, side, bottom, and end views, respectively, of a configuration of a hand-held optical scanner.

[0008] Figure 2 is a cross-sectional top view of a portion of the optical scanner of Figure 1, illustrating a scan window and other features of the optical scanner.

**[0009]** Figure 3 is a cross-sectional top view of a portion of an optical scanner configuration having internal light shields for minimizing or reducing specular reflection.

**[0010]** Figures 4A and 4B are cross-sectional top views of a portion of an optical scanner illustrating various stages in a method of sequential lighting, and Figures 4C and 4D are schematic diagrams illustrating various stages in a related method of image processing.

**[0011]** Figure 5 is a cross-sectional top view of a portion of an optical scanner configuration that utilizes one or more diffused light sources.

[0012] Figure 6 is a cross-sectional top view of a portion of an optical scanner configuration that utilizes one or more light polarizing filters.

- **[0013]** Figures 7A and 7B are cross-sectional top views of optical scanner configurations having scan windows with varying cross-sectional thicknesses.
- **[0014]** Figures 8A-8B are cross-sectional side views of optical scanner configurations having scan windows with varying cross-sectional thicknesses.
- **[0015]** Figure 9 is an isometric view of a hand-held optical scanner configuration having a first image sensor proximate a first facet and a second image sensor proximate a second facet.
- **[0016]** Figure 10 is a partially cut-away, cross-sectional top view taken through a side portion of the optical scanner of Figure 9, illustrating various features associated with the second image sensor.
- [0017] Figures 11A-11C are isometric, end, and cross-sectional side views, respectively, of another configuration of a hand-held optical scanner.
- [0018] Figure 12 is a cross-sectional top view of a portion of an optical scanner having a scan window and other features arranged in another configuration.
- [0019] Figures 13A and 13B are schematic diagrams of sequential scan images illustrating various stages in a method of image processing.
- [0020] Figure 14 is a schematic diagram of a processed image composed of portions of the scan images illustrated in Figures 13A and 13B.
- [0021] Figure 15 is a cross-sectional top view of a portion of an optical scanner having a scan window and other features arranged in yet another configuration.

## **DETAILED DESCRIPTION**

[0022] The following disclosure describes various embodiments of optical scanners, such as hand-held optical scanners, and related features. For example, many of the scanner configurations described below can include transparent or translucent scan windows through which internal light sources (e.g., LEDs) illuminate a scan region. Various features are also described below to minimize or

reduce specular reflection of light off the scan window, as this could adversely affect the scan image. These features can include partial light shields, sequential lighting/image processing, diffuse light sources, polarizing filters, etc.

[0023] Other scanner configurations described below can include scan windows which have contoured or shaped surfaces to magnify or reduce the scan image, thereby altering the field of view or otherwise enhancing the imaging characteristics of the scanner. Still other scanner configurations described below can include a first image sensor positioned toward one end of the scanner and a second image sensor positioned toward one side of the scanner. In one scanner configuration, for example, the first image sensor can be a two-dimensional image sensor for capturing a particular set of markings (e.g., a particular sentence), and the second image sensor can be a one-dimensional image sensor for capturing a broader portion of markings (e.g., a full paragraph or page of text) in a single pass of the scanner.

[0024] Certain details are set forth in the following description to provide a thorough understanding of the different embodiments of the invention. However, other details describing well-known features, systems and methods often associated with optical scanners and related processing systems are not set forth below, to avoid unnecessarily obscuring the description of the various embodiments.

[0025] Many of the details, dimensions, angles and other features shown in the Figures are merely illustrative of particular embodiments described herein. Accordingly, other embodiments can have other details, dimensions, angles and features without departing from the scope of the present disclosure. Furthermore, additional embodiments can be practiced without several of the details described below.

[0026] In the Figures, identical reference numbers identify identical or at least generally similar elements. To facilitate the discussion of any particular element, the most significant digit or digits of any reference number refer to the Figure in which that element is first introduced. For example, element 110 is first introduced and discussed with reference to Figure 1.

[0027] Figures 1A-1D are related top, side, bottom, and end views, respectively, of a hand-held optical scanner 100. Referring first to Figure 1A, the hand-held optical scanner 100 ("scanner 100") includes a durable body 102 suitable for single-handed operation by a user (not shown). The body 102 can be formed from, e.g., aluminum, plastic, and/or other suitable materials. The scanner 100 also includes an operating button 104 conveniently disposed in a mid-portion of the body 102, and a transparent or translucent scan window 110 disposed toward an end portion of the body 102. The scan window 110 can be produced from a number of different materials, including various types of plastic, glass, and/or other materials known in the art that are suitably transparent or translucent.

[0028] In the illustrated embodiment, the top surface of the scanner 100 includes a scan diagnostics area 106 and a text area 108. The scan diagnostics area 106 can include various types of lights and/or other features to indicate the mode of operation or status of the scanner. For example, the scan diagnostics area 106 can include a light (e.g., a red light) that illuminates to indicate that scanning has begun. Additionally, such a light can be aligned with the scan window 110 to help the user center the scanner 100 over the text or other image he or she wishes to scan. In another aspect of this particular embodiment, the light on the scan diagnostics area can be illuminated in a different color (for example, green) to indicate that a scanned document has been identified.

[0029] The text area 108 can include, for example, an organic light emitting diode (OLED) for providing textual information about the operation of the scanner 100. For example, in one embodiment, the text area 108 can illuminate a "scanning" text message once scanning has begun, and a "document found" text message when the scanned document has been identified by an associated computer system. Although not illustrated in Figures 1A-1D, the scanner 100 can be operably connected to an associated computer or other suitable processing device via a wireless connection (e.g., a Bluetooth), or a cable connection (e.g., a mini-USB cable connection).

[0030] In addition to the foregoing features, the scanner 100 can also include a microphone (not shown) that is enabled when the user depresses the operating

button 104. With this feature, the user can record verbal notes with the scanner 100 by speaking into the microphone before, after, or while positioning the scan window 110 in view of text or other images.

[0031] In some embodiments, at times at which the scanner is enabled, such as subsequent to the button 104 being depressed, the scanner automatically determines whether the optical channel, the voice channel, or both are active. For example, in some embodiments, if the scanner is receiving consistently high-volume audio, the scanner determines that the audio channel is active, and records audio data received via the audio channel. In some embodiments, the scanner determines whether the optical sensor is receiving data corresponding to in-focus text that is moving through the field of view, and, if so, determines that the visual channel is active, and records image data received via the visual channel.

[0032] Referring next to Figure 1C, a capacity indicator 114 on the bottom surface of the scanner 100 can provide a visual indication of how much life remains in the scanner battery(ies). In addition, the bottom surface of the scanner 100 can also include a memory low indicator (not shown) that illuminates when storage capacity becomes low, or reads "memory full" when there is no more storage space available. Moving a hold switch 112 to an "off" position disables the scanner 100 and prevents inadvertently depressing the button 104 and turning the scanner 100 on during, e.g., transportation in the user's pocket.

[0033] Although various features of the scanner 100 have been described above for purposes of illustration and completeness, the various configurations and features disclosed herein are not limited to this particular scanner configuration. Indeed, many, if not all, of the inventive features described below can be incorporated into a wide variety of scanning devices, as will be clear to those of ordinary skill in the art.

[0034] Figure 2 is a cross-sectional top view of the scanner 100 taken substantially along line 2-2 in Figure 1D. In one aspect of this embodiment, the scanner 100 can include one or more light sources 220 (identified individually as a first light source 220a and a second light source 220b) positioned within the body 102. The light sources 220 can include various types of lights including, for

example, light emitting diodes (LEDs), incandescent lights, fluorescent lights, etc. During operation of the scanner 100, light from the sources 220 passes through the scan window 110 to illuminate text, images, and/or other markings (not shown) in a scan region on a page or other document 214. In the illustrated embodiment, an optical system 224 (shown schematically) directs image light from the illuminated scan region to an image sensor 222. Although not shown in detail, the optical system 224 can include one or more lenses to focus the image light on the sensor 222.

[0035] The image sensor 222 can include a coupled-charge device (CCD), a complementary metal oxide semiconductor (CMOS) device, a contact image sensor (CIS) device, and/or other suitable image sensing devices known in the art. The image sensor 222 is operably connected to scanner circuitry 230 (shown schematically) for, e.g., amplification, noise filtering, and/or analog-to-digital conversion of signals passing from the image sensor 222 to a scanner CPU (not shown). Although not shown, the scanner CPU can be operably connected to memory, one or more display devices, and/or one or more input/output devices associated with the scanner 100.

[0036] Although the scan window 110 is positioned slightly above the document 214 in Figure 2 for purposes of clarity, in practice the scanner 100 can have other positions relative to the surface of the document 214. For example, in one embodiment the scan window 110 can be positioned directly on the image surface. In other embodiments, the scan window 110 can be held further away from the image surface than depicted in Figure 2.

[0037] As mentioned above, during operation of the scanner 100, light from the light sources 220 passes through the scan window 110 to illuminate the adjacent portion of the document 214. In some instances, however, at least a portion of the light from the sources 220 may be reflected by the scan window 110, causing glare which strikes the image sensor 222 and adversely affects the resulting image. By way of example, this specular reflection can be illustrated by a first light ray 202a emitted from the first light source 220a, and a second light ray 202b emitted from the second light source 220b. Various approaches for minimizing or at least reducing

this specular reflection and its adverse effects are described in more detail below with reference to Figures 3-6.

[0038] Figure 3 is a cross-sectional top view of a portion of a scanner 300 that is at least generally similar in structure and function to this scanner 100 described above with reference to Figures 1A-2. In one aspect of this particular embodiment, however, the scanner 300 includes a first light shield 326a and a second light shield 326b positioned within the scanner body 102. Each of the light shields 326 is positioned to block the portion of light from the corresponding light source 220 that would otherwise reflect off the scan window 110 and adversely affect the resulting scan image. For example, in the illustrated embodiment, the first light shield 326a extends inwardly from the scanner body 102 to block the first light ray 202a from reaching the scan window 110. Similarly, the second light shield 326b extends inwardly from an opposing side of the scanner body 102 to block the second light ray 202b from striking the scan window 110. As a result, little or no specular reflection from the scan window 110 reaches the image sensor 222 to obscure the resulting scan image. Furthermore, although the light shields 326 block a portion of the light from the light sources 220, the light sources 220 together still provide enough illumination for a suitable scan of the document 214.

[0039] Although one arrangement of the light sources 220 and the light shields 326 is shown in Figure 3 for illustrative purposes, various other arrangements of light sources and light shields can be used without departing from the scope of the present disclosure. For example, in other embodiments, a single light shield can be used to block specular reflection from two or more light sources. In some embodiments, light shields can be attached to one or both of the front or back walls of the scanner body 102, instead of the side wall as shown if Figure 3. In such embodiments, the light shield can extend in front of the light source to block the rays causing the specular reflection, while still allowing light to pass on either side of the light shield and illuminate the scan region. In some embodiments, opaque cylindrical sleeves surrounding all or a portion of the light source not facing the scan window are used as light shields. In some embodiments, light emitting diodes or

other light sources manufactured to emit light only at the end of their structure facing the scan window are used to create a similar effect.

[0040] Figures 4A and 4B are cross-sectional top views of a portion of a hand-held optical scanner 400. Many features of the scanner 400 are at least generally similar in structure and function to corresponding features of the scanner 100 described above with reference to Figures 1A-2. For example, the scanner 400 can include the light sources 220 for illuminating the scan region, the image sensor 222 for capturing the illuminated image, and scanner circuitry 430 for processing information from the image sensor 222.

In one aspect of this particular embodiment, however, the individual light sources 220 are operatively connected to a controller (not shown), and are sequentially cycled off and on in relatively short time intervals during operation of the scanner 400. More specifically, when the first light source 220a is cycled on as shown in Figure 4A, the second light source 220b is cycled off. Conversely, when the first light source 220a is cycled off as shown in Figure 4B, the second light source 220b is cycled on. When the first light source 220a is on, it can cause specular reflection that adversely affects the scan image on a first sensor region 402a. Conversely, when the second light source is on, it can cause specular reflection that adversely affects the scan image on a second sensor region 402b.

[0042] To minimize or reduce any adverse affects from the specular reflection described above, the scanner circuitry 430 is configured to only record a portion of the scan image received by the image sensor 222 at any given time. More specifically, when the first light source 220a is on and possibly causing specular reflection in the first sensor region 402a, then the scanner circuitry 430 only records the portion of the scan image corresponding to the second sensor region 402b. Similarly, when the second light source 220b is on and possibly causing specular reflection in the second sensor region 402b, then the scanner circuitry 430 only records the portion of the scan image corresponding to the first sensor region 402a. The recorded scan image portions are then assembled or "stitched" together by the scanner circuitry 430 to form a complete and unobscured scan image, as described in more detail below with reference to Figures 4C and 4D.

Figure 4C illustrates a scan image of the letter "d" captured by the image sensor 222 when the first light source 220a is on and the second light source 220b is off, as shown in Figure 4A. As shown in Figure 4C, the first light source 220a may produce some glare (represented by the "X") that obscures a portion of the scan image in the first sensor region 402a. At this time, the scanner circuitry 430 (Figures 4A and 4B) only records the portion of the scan image in the second sensor region 402b. For ease of reference, this recorded image portion is referred to herein as frame A. A fraction of a second later, the first light source 220a is turned off and the second light source is turned on. At that time, the scanner circuitry 430 only records the portion of the scan image in the first sensor region 402a. This results in frame B as shown in Figure 4D which the scanner circuitry 430 orients to frame A to form the complete letter "d." The foregoing process repeats as the scanner 400 moves across the page or other object it is scanning, thereby assembling an accurate representation of the scanned subject matter.

Figure 5 is a cross-sectional top view of a portion of a hand-held optical scanner 500. Many features of the scanner 500 are at least generally similar in structure and function to corresponding features of the scanner 100 described above with reference to Figures 1A-2. For example, the scanner 500 includes the scan window 110, the optical system 224, and the image sensor 222. In one aspect of this particular embodiment, however, the scanner 500 can include one or more diffuse light sources 540 (identified individually as a first diffuse light source 540a and a second diffuse light source 540b) for illuminating the scan region (not shown). The diffuse light sources 540 can be various types of light sources including, for example, fluorescent light sources. In other embodiments, the diffuse light sources 540 can be incandescent and/or LED light sources that utilize a suitable diffusing element to diffuse the light. One advantage of using diffuse light sources is that the diffuse light may produce little or no specular reflection off the scan window 110, thereby providing an unobscured view to the image sensor 222.

[0045] Figure 6 is a cross-sectional top view of a portion of an optical scanner 600. Many features of the scanner 600 are at least generally similar in structure and function to corresponding features of the scanner 100 described above with

reference to Figures 1A-2. In this particular embodiment, however, the scanner 600 can include one or more polarizing filters 650 (identified individually as a first polarizing filter 650a, a second polarizing filter 650b, and a third polarizing filter 650c) to reduce or eliminate any specular reflection from the light sources 220 off the scan window 110. For example, in the illustrated embodiment, the first polarizing filter 650a is positioned in front of the first light source 220a to polarize the light emitted from the first light source 220a. If, however, the first polarizing filter 650a is insufficient to eliminate the specular reflection caused by the first light source 220a, then the third polarizing filter 650c can be placed in front of the image sensor 222 to filter out any remaining glare.

[0046] In one embodiment, the first polarizing filter 650a can be configured to polarize the light emitted by the first light source 220a along a first axis (not shown), and the third polarizing filter 650c can be configured to cross-polarize the light entering the image sensor 222 along a second axis that is at least approximately perpendicular to the first axis. In other embodiments, other types of polarizing filters and other filter arrangements can be used to reduce or eliminate glare from the light sources 220. In one other embodiment, for example, a single polarizing filter in the position of the third polarizing filter 650c may be sufficient to reduce or minimize any adverse specular reflection off the scan window 110.

[0047] Figures 7A and 7B are cross-sectional top views of hand-held optical scanners 700a and 700b, respectively. In one aspect of these embodiments, each of the scanners 700 includes a scan window 710 that is shaped in one more dimensions to produce desirable scan image characteristics. The scan window 710a of Figure 7A, for example, has a convex inner surface 711a which results in a cross-sectional thickness Ta that increases toward a mid-portion of the scan window 710a and decreases toward the outer ends. This convex shape is illustrated by comparing the inner surface 711a to a constant thickness reference line 714a. Shaping the inner surface 711a as shown in Figure 7A can have the effect of enlarging or magnifying the scanned image in the W direction, thereby reducing the field of view in this direction. Such magnification may be desirable, for example, to increase the level of detail available for character recognition.

In contrast to the scan window 710a, the scan window 710b of Figure 7B has a concave inner surface 711b. As a result, the scan window 710b has a cross-sectional thickness Tb that decreases toward a mid-portion of the scan window and increases toward the outer ends. This concavity is illustrated by comparing the inner surface 711b to a constant thickness reference line 714b. Shaping the inner surface 711b in this manner can have the effect of reducing the size of the scan image in the W direction, thereby increasing the field of view in this direction. Increasing the field of view may be desirable, for example, to increase the amount of text imaged in a single pass of the scanner, or to reduce the depth or aperture of the optical system 224.

[0049] Figures 8A and 8B are cross-sectional side views of hand-held optical scanners 800a and 800b, respectively, taken at a location indicated by line 8-8 in Figure 1A. In Figures 8A and 8B, the scanners 800 are shown at an incline to the document 214 to illustrate one possible orientation during use. Use of the scanners 800, however, is not limited to this particular orientation. Indeed, the scanners 800 can be used in many other orientations including, for example, an orientation that is more perpendicular to the page 214.

[0050] As shown in Figure 8A, the scanner 800a includes a scan window 810a having an inner surface 811a and an outer surface 813a. In this particular embodiment, the outer surface 813a is at least approximately cylindrical, and the inner surface 811a moves gradually inward and away from a constant-thickness reference line 814a toward a mid-portion of the scan window 810a. This shape results in a cross-sectional thickness Ta that increases toward the mid-portion of the scan window 810a. One effect of varying the scan window thickness as illustrated in Figure 8A can be to magnify the scan image focused on the image sensor 222 (not shown in Figure 8A) in a height direction H. Such magnification or enlargement may be desirable to improve character recognition and/or resolution parameters.

[0051] In one embodiment, the cross-section of the scan window 810a illustrated in Figure 8A can be constant over the entire length of the scan window in the W direction (see Figure 7A). In other embodiments, the cross-section of the scan window 810a can vary over its length in the W direction. For example, in one

embodiment, the cross-section of the scan window 810a can vary over its length in the W direction in the manner illustrated by the scan window 710a of Figure 7A.

[0052] In contrast to the scan window 810a of Figure 8A, the scan window 810b of Figure 8B has an inner surface 811b that moves outwardly and away from a constant-thickness reference line 814b toward a mid-portion of the scan window. This increases the concavity of the inner surface 811b relative to an outer surface 813b, and results in a cross-sectional thickness Tb that decreases toward the mid-portion of the scan window 810b. One effect of varying the cross-sectional thickness of the scan window 810b as shown in Figure 8B can be to reduce the size of the scan image in the H direction. Such reduction may be advantageous if increasing the field of view in the H direction is desired.

[0053] In one embodiment, the scan window cross-section illustrated in Figure 8B can remain constant over the entire length of the scan window and the W direction. In another embodiment, the cross-section of the scan window 810b can vary over its length in the manner illustrated by the scan window 710b of Figure 7B.

Although various scan window shapes and surface contours have been [0054] discussed above with reference to Figures 7A-8B, other embodiments can include scan windows having other shapes and/or other surface contours. For example, other scan windows configured in accordance with the present disclosure can include combinations of the cross-sectional variations discussed above with reference to Figures 7A-8B. In yet other embodiments, the different thickness variations discussed above can be achieved by contouring the outer surface of the scan window, as opposed to the inner surface. In still further embodiments, the cross-sectional thickness of the scan window can be varied by contouring both the inner and outer surfaces to achieve favorable image magnification or reduction characteristics. Furthermore, the various scanner configurations disclosed herein are not limited to cylindrical, or generally cylindrical, scan windows, but instead can include other scan windows including, for example, flat windows, round windows, conical windows, parabolic windows, etc. Accordingly, those of ordinary skill in the art will appreciate that the various scanner features disclosed herein are not limited to use with the particular scan window configurations discussed above.

[0055] Figure 9 is an isometric view of a hand-held optical scanner 900. Many features of the scanner 900 can be at least generally similar in structure and function to corresponding features of the scanners described above with reference to Figures 1A-8B. For example, the scanner 900 includes a first image sensor 922 positioned toward one end of a body 902 that is at least generally similar in structure and function to the image sensor 222 described above with reference to Figure 2. In this regard, the scanner 900 also includes a scan window 910 that is at least generally similar in structure and function to one or more of the scan windows 110, 710 or 810 described above.

In one aspect of this particular embodiment, however, the scanner 900 further includes a second image sensor 924 positioned toward one side of the body 902. The body 902 includes an aperture 926 through which the second image sensor 924 can scan images. In one embodiment, the aperture 926 can be covered by a transparent or translucent window or a lens. In another embodiment, the aperture 924 can remain open and the image sensor 924 can be inset slightly from the aperture. In still further embodiments, the second image sensor 924 can be positioned at least generally flush with the side surface of the body 902 so that the second image sensor 924 is in contact (or near contact) with the surface of the document or other object it is scanning.

[0057] In one embodiment, the first image sensor 922 can be a two-dimensional (2D) image sensor for scanning text or other images in the manner described above with reference to Figures 1A-1D, and the second image sensor 924 can be a one-dimensional (1D) image sensor having a length L for scanning or copying broader portions of text or other images in a relatively fast manner. As used herein, the term 1D image sensor is used to refer to an image sensor having a generally linear array of sensing elements (e.g., pixels), although it will be understood by those of skill in the art that such a sensor will likely include a plurality of sensing elements (e.g., two or three rows of sensing elements) in the height direction H.

[0058] Figure 10 is a partially cut away, cross-sectional top view of the handheld optical scanner 900 of Figure 9. In this embodiment, the second image sensor

924 is inset slightly from the body aperture 926. In addition, an optical system 1024 comprising one or more of lenses can be positioned in front of the second image sensor 924 to focus the scanned image on the sensor. In other embodiments, a contact image sensor (CIS) may be used to focus the image of the illuminated scan region onto the surface of the image sensor 924. Although not shown in detail in Figure 10, the scanner 900 can include an arrangement of one or more light sources 1020 to illuminate the scan region. Like the scanner 100 described above with reference to Figure 2, the second image sensor 924 is operably connected to suitable scanner circuitry 1030 for processing the data signals from the image sensor 924 before transmission to the scanner CPU (not shown).

[0059] Although the second image sensor 924 is offset from the aperture 926 in the embodiment of Figure 10, as discussed above with reference to Figure 9, in other embodiments, the second image sensor 924 can be positioned closer to the aperture 926 so that it is in contact, or near-contact, with the surface of the document or other object being scanned.

[0060] The second image sensor 924 can be used to capture relatively large areas of text, images, or other markings in a single scanner pass. For example, this sensor can be employed when the user desires to scan a particular paragraph or larger section of text and identify the corresponding document (or version of the document) and/or the particular page. In contrast, the user may elect to use the first image sensor 922 when the user wishes to capture all or a portion of a particular sentence or other relatively small marking.

[0061] Figures 11A-11C are isometric, end, and cross-sectional side views, respectively, of a hand-held optical scanner 1100. Referring first to Figure 11A, the scanner 1100 includes a scan window 1110 positioned toward an end portion of a body 1102. Many features of the scanner 1100 can be at least generally similar in structure and function to corresponding features of the various hand-held optical scanners described above with reference to Figures 1A-10.

[0062] Figure 11C is a cross-sectional side view taken substantially along line C-C in Figure 11B. In the illustrated configuration, the scan window 1110 can include a curved lens (e.g., a curved clear lens) that is inset slightly from the end of

the scanner body 1102. In other configurations, however, the scan window can include other suitably transparent or translucent materials in other positions relative to the scanner body 1102. In one aspect of this particular configuration, the scanner 1100 includes a light turning or folding element 1125 (e.g., a light folding prism) which directs the image light from an optical system 1124 onto an image sensor 1122. The optical system 1124 can include an array of one or more lenses to suitably focus the image light onto the light folding element 1125. In another aspect of this configuration, the image sensor 1122 is oriented at an angle (e.g., a right angle or at least approximately 90 degrees) relative to the image light. The image sensor 1122 can be operatively connected to image circuitry 1130 for amplification, A/D conversion, and/or other processing of the signals from the image sensor 1122. In this regard, the scanner circuitry 1130 can include a printed circuit board assembly and/or other electrical/processing systems.

[0063] Figure 12 is a cross-sectional top view of a scanner 1200 which is at least generally similar in structure and function to the scanner 200 described above with reference to Figure 2. For example, the scanner 1200 includes a transparent or translucent scan window 1210 positioned toward one end of a scanner body 1202. A plurality of light sources 1220 (identified individually as a first light source 1220a and a second light source 1220b) illuminate a region in front of the scan window 1210 during operation of the scanner 1200. An optical system 1224 (shown schematically) directs image light from the illuminated scan region to an image sensor 1222. The scan window 1210, the optical system 1224, the image sensor 1222 and associated scanner circuitry 1230 can be at least generally similar in structure and function to corresponding features of the scanner 200 described above.

[0064] The scanner configuration illustrated in Figure 12 differs from that shown in Figure 2 in that there is little or no space between the optical system 1224 and the scan window 1210. For example, in one configuration, the optical system 1224 can contact, or be in near contact with, the scan window 1210 as illustrated in Figure 12. In this configuration, the optical system 1224 (or image sensor 1222) can be positioned from about 0.0 inch to about 0.1 inch away from the inner surface of

the scan window 1210. In another configuration, the optical system 1224 (and/or image sensor 1222) can be positioned from about 0.0 inch to about 0.25 inch away from the inner surface of the scan window 1210. In other configurations, the optical system 1224 (and/or the image sensor 1222 and associated scanner circuitry 1230) can be inset from the scan window 1210, but not as far inset as the optical system 224 illustrated in Figure 2.

[0065] When the optical system 1224 and the image sensor 1222 move closer to the scan window 1210 as shown in Figure 12, the light sources 1220 can be suitably located in various positions to provide ample illumination of the scan region. For example, in one configuration the light sources 1220 can be positioned relatively close the scan window 1220 as illustrated in Figure 12. In other configurations, the light sources 1210 (or a single light source 1220) can be positioned in more inboard, or in more remote, locations than illustrated in Figure 12.

[0066] Figures 13A and 13B are schematic diagrams of successive scan images of a letter "B" captured by the image sensor 222 as the associated scanner (not shown) moves across a document (also not shown) in direction F. In this example, the cross-hatched regions 1302a and 1302b represent portions of the scan image that are obscured or otherwise adversely affected by glare from specular reflection. In the position of Figure 13A, the scanner circuitry records a first portion 1 and a second portion 2 of the first scan image. Shortly thereafter, the scanner moves to the position of Figure 13B and the scanner circuitry records a third portion 3 and a fourth portion 4 of the second scan image. As will be noted, the recorded portions of the scan images omit the regions 1302 which are adversely affected by specular reflection. Once the scanner circuitry has recorded the scan image portions 1-4 in the foregoing manner, the portions can be assembled or "stitched" together to form a complete and unobscured scanned image of the letter "B," as described in more detail below with reference to Figure 14.

[0067] Figure 14 is a schematic diagram of a composite image that the scanner circuitry has assembled from the scan image portions 1-4 discussed above. The first portion 1 and the second portion 2 of the image shown in Figure 14 were recorded from the scan image of Figure 13A. The third portion 3 and the fourth

portion 4 of the image shown in Figure 14 were recorded from the scan image of Figure 13B. The foregoing process illustrates one method for recording a complete scan image using portions of captured images that may include regions obscured from glare.

[0068] Figure 15 is a cross-sectional top view of a scanner 1500 which is at least generally similar in structure and function to the scanner 200 described above with reference to Figure 2. In this regard, the scanner 1500 includes a plurality of light sources 1520 (identified individually as a first light source 1520a and a second light source 1520b) which illuminate a region in front of a transparent or translucent scan window 1510 during operation of the scanner 1500. An optical system 1524 (shown schematically) directs image light from the illuminated scan region to an image sensor 1522.

In the illustrated configuration, the scan window 1510 has a thickness t which is relatively thick. For example, the thickness t can range from about .10 inch to about 1.5 inch. In another configuration, the thickness t can range from about .25 inch to about 1.25 inch; or from about .5 inch to about 1.0 inch. In a further configuration, the thickness t can range from about .75 inch to about 1.0 inch. In other configurations, the scan window 1510 can have other thicknesses depending on various factors such as optical quality, manufacturability, etc. In one aspect of this configuration, there is little or no space between the optical system 1524 and the inner surface of the scan window 1510. For example, in one configuration, the optical system 1524 can contact, or be in near contact with, the scan window 1510 as illustrated in Figure 15.

[0070] From the foregoing, it will be appreciated that various embodiments of hand-held optical scanners and related features have been described herein for purposes of illustration, but that various modifications may be made without deviating from the spirit and scope of the present disclosure. Accordingly, the invention is not limited, except as by the appended claims.

## **CLAIMS**

We claim:

[c1] 1. A hand-held optical scanner having:

an image sensor; and

a scan window through which image light is directed toward the image sensor.

- [c2] 2. The hand-held optical scanner of claim 1, further comprising:

  a light source to emit light through the scan window; and

  a light shield to block at least a portion of the light from the light source

  from reaching the scan window.
- [c3] 3. The hand-held optical scanner of claim 1, further comprising a diffuse light source to emit light through the scan window and illuminate an adjacent scan region.
- [c4] 4. The hand-held optical scanner of claim 1, further comprising: a light source to emit light through the scan window;
- a first light polarizing filter through which at least a portion of the light from the light source passes; and
- a second light polarizing filter through which at least a portion of the light reaching the image sensor passes, the first and second polarizing filters being rotationally aligned in a way that produces a cross-polarization effect.
- [c5] 5. The hand-held optical scanner of claim 1 wherein the scan window has an inner surface spaced apart from an outer surface to define a cross-sectional thickness of the scan window, and wherein the cross-sectional thickness of the scan window varies in a first direction.

[c6] 6. The hand-held optical scanner of claim 1 wherein the scan window has an inner surface spaced apart from an outer surface to define a cross-sectional thickness of the scan window, and wherein the cross-sectional thickness of the scan window varies in a first direction and a second direction.

- [c7] 7. The hand-held optical scanner of claim 1 wherein the scan window is configured to magnify at least a portion of a scan image.
- [c8] 8. The hand-held optical scanner of claim 1 wherein the scan window is configured to reduce the size of at least a portion of a scan image.
- [c9] 9. The hand-held optical scanner of claim 1 wherein the optical scanner has a roughly rectangular body having sides each having a first dimension and a second dimension, and wherein the image sensor is positioned to receive light through an aperture in a first side of the body, the aperture in the first side of the body occupying at least 75% of the larger of the first and second dimensions of the first side of the body,

the optical scanner further comprising a second image sensor positioned to receive light through an aperture in a second side of the body, the aperture in the second side of the body occupying at least 75% of the larger of the first and second dimensions of the second side of the body, the second side of the body being approximately perpendicular to the first side of the body, the larger of the first and second dimensions of the second side of the body being at least twice as large as the larger of the first and second dimensions of the first side of the body.

- [c10] 10. The hand-held optical scanner of claim 1 wherein the scan window has a thickness of from about .50 inch to about 1.0 inch.
- [c11] 11. An optical scanner comprising:
  an image sensor;
  a first light source to emit light and illuminate a scan image;
  a second light source to emit light and illuminate the scan image; and

a controller operatively connected to the first and second light sources, wherein the controller causes the first and second light sources to cycle on and off in sequence while the image sensor captures at least a portion of the scan image such that no more than one of the first and second light sources is on at any time.

- [c12] 12. The optical scanner of claim 11 wherein the image sensor is operatively connected to scanner circuitry that selectively records a first portion of a first image captured by the image sensor when the first light source is on and the second light source is off, and a second portion of a second image captured by the image sensor when the second light source is on and the first light source is off.
- [c13] 13. A method for digitizing an image of a marking with an optical scanner, the method comprising:

capturing a first scan image of the marking when the optical scanner is in a first position relative to the marking, wherein the first scan image includes a first region obscured by glare;

capturing a second scan image of the marking when the optical scanner is in a second position relative to the marking, wherein the second scan image includes a second region obscured by glare; and

digitally assembling an unobscured image of the marking by combining at least a first portion of the first scan image with a second portion of the second scan image.

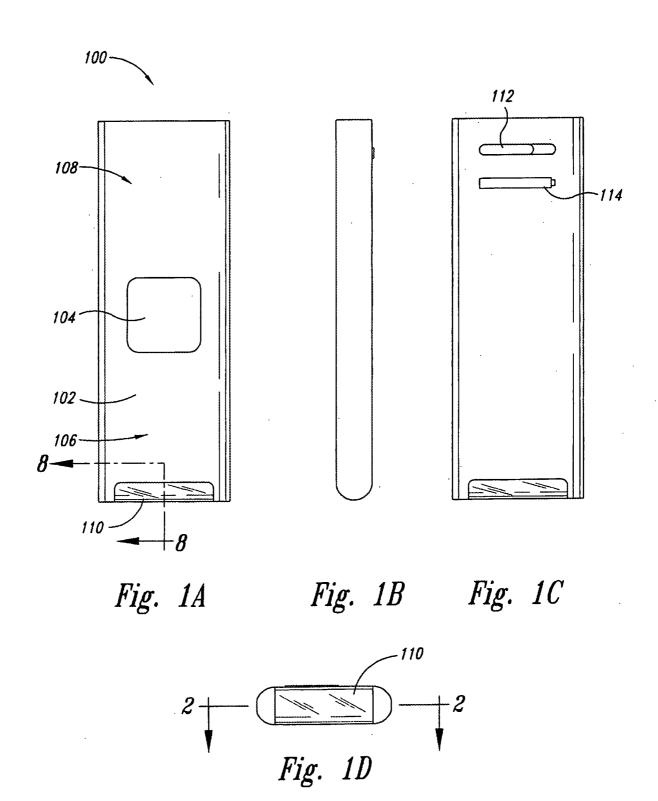
- [c14] 14. The method of claim 13, wherein the first portion of the first scan image does not include the first region obscured by glare, and the second portion of the second scan image does not include the second region obscured by glare.
- [c15] 15. One or more computer memories collectively storing an optical text capture result data structure comprising a sequence of captured images ordered in the order of their capture, each adjacent pair of images clearly showing in the first image of the pair of visual elements in a first subrange of the height of the

text and the second image of the pair clearly showing visual features in a second subrange of the height of the text that is distinct from the first subrange, such that the contents of the data structure may be used to composite a single image of the text appearing in the captured images in which all of the visual features of the text are clear.

[c16] 16. A method in a computing system for processing an ordered sequence of images produced by a text capture operation capturing a region of text, comprising:

generating a master image of the captured region of text by compositing a first half of the images of the sequence clearly portraying portions of an upper half of the captured regions and a second half of the images of the sequence clearly portraying a lower half of the captured region; and

storing the master image.



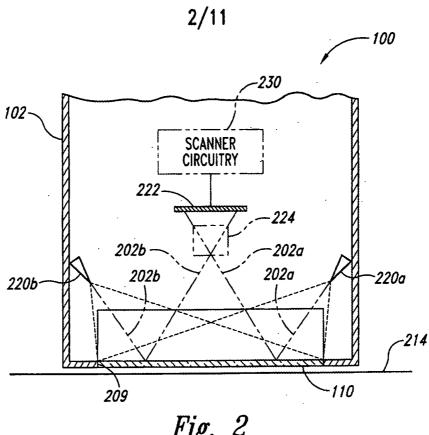


Fig. 2

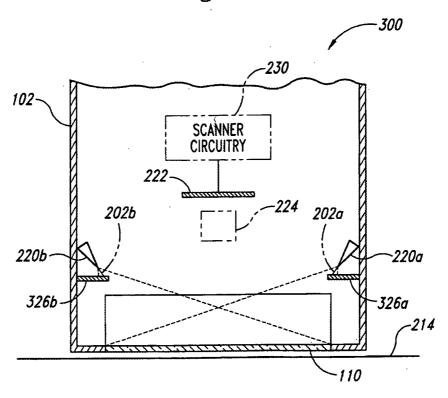


Fig. 3

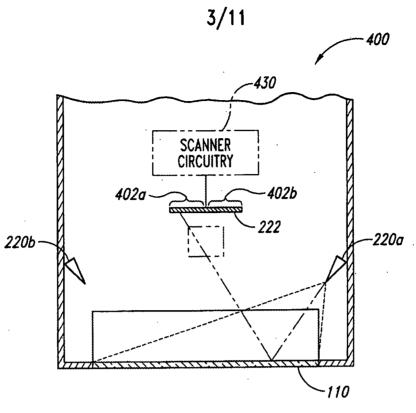


Fig. 4A

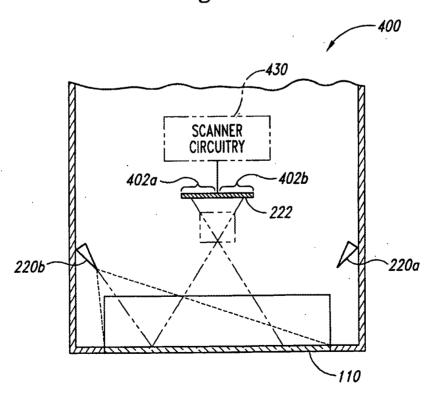


Fig. 4B

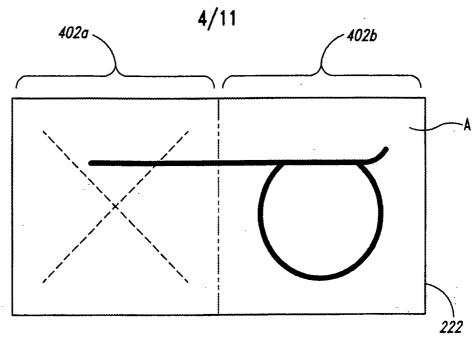


Fig. 4C

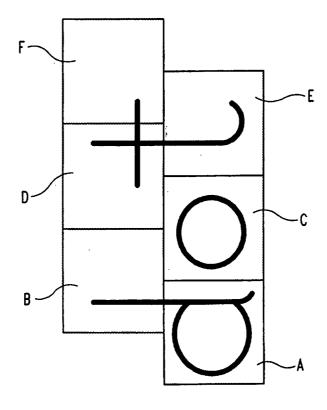
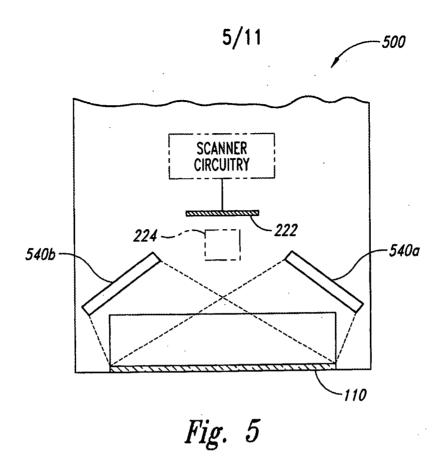
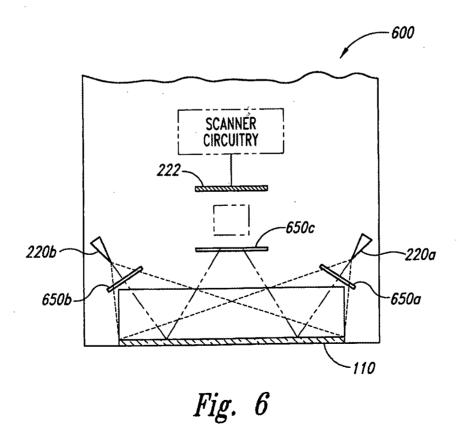


Fig. 4D





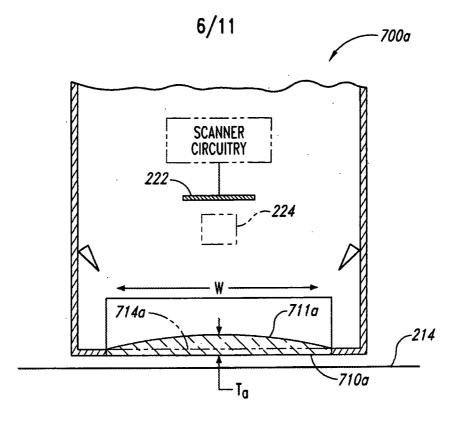
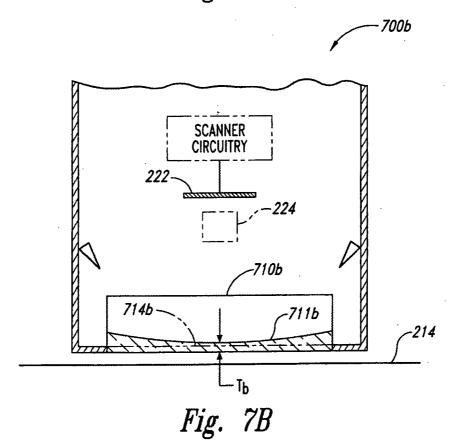
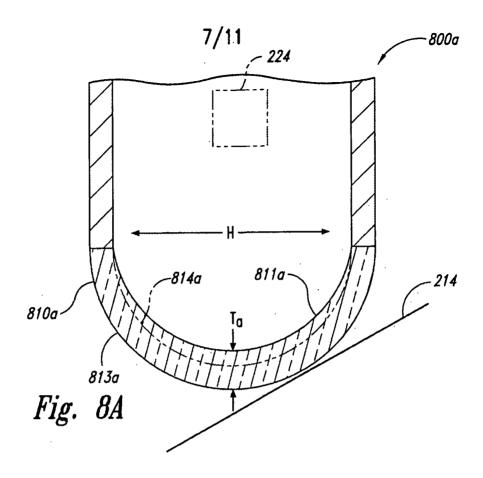
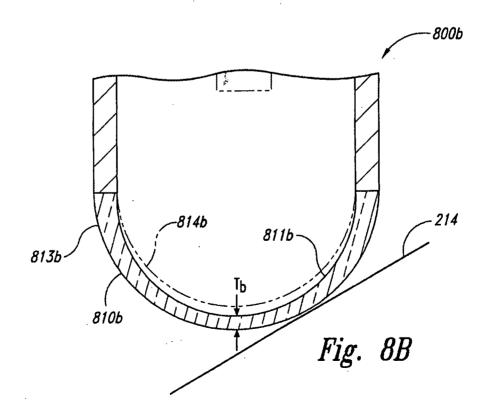
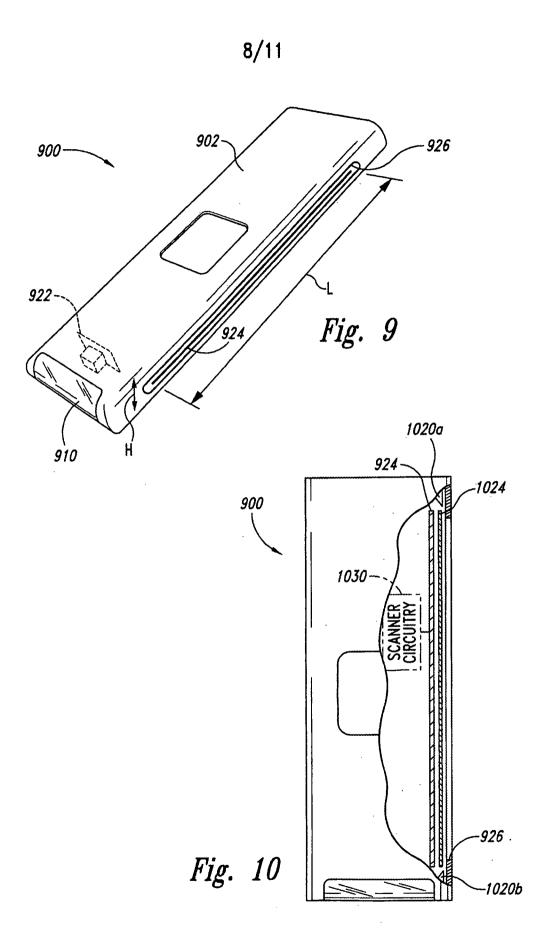


Fig. 7A









9/11

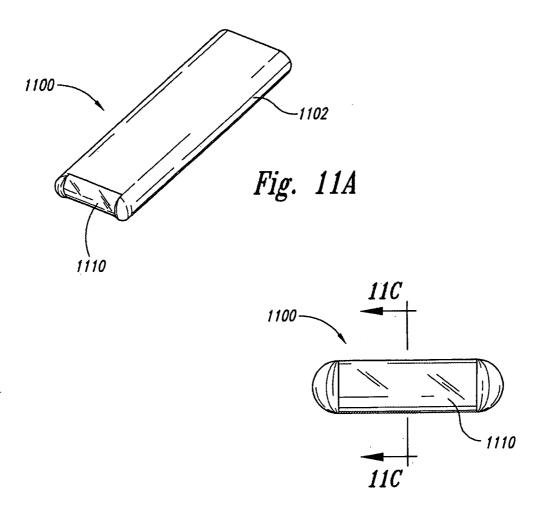
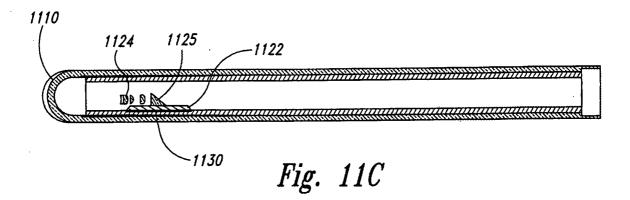


Fig. 11B



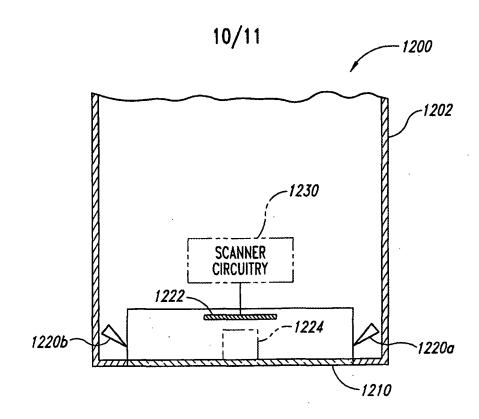


Fig. 12

