

(19) World Intellectual Property  
Organization  
International Bureau



(43) International Publication Date  
2 June 2005 (02.06.2005)

PCT

(10) International Publication Number  
**WO 2005/050672 A1**

(51) International Patent Classification<sup>7</sup>: **H01B 1/12**

(21) International Application Number:  
PCT/US2004/037371

(22) International Filing Date:  
10 November 2004 (10.11.2004)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/520,026 14 November 2003 (14.11.2003) US

(71) Applicant (for all designated States except US): **POLY-ONE CORPORATION** [US/US]; 33587 Walker Road, Avon Lake, Ohio 44012 (US).

(72) Inventor; and

(75) Inventor/Applicant (for US only): **HORTON, Stephen D.** [US/US]; 33486 Vineyard Park, Avon, Ohio 44011 (US).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Declarations under Rule 4.17:**

- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, ARIPO patent (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii)) for all designations
- of inventorship (Rule 4.17(iv)) for US only

**Published:**

- with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: DISPERSIONS OF INHERENTLY CONDUCTIVE POLYMER IN NON-IONIC WATERBORNE POLYMERS

(57) Abstract: A mixture of inherently conductive polymers and non-ionic waterborne polymers is disclosed. A preferred mixture is lignosulfonic acid-grafted polyaniline and a breathable polyurethane. Coatings of the mixture can be used as anti-fouling marine coatings; anti-static fabrics, coatings and packaging; batteries; conductive inks; conductive adhesives; EMI/RFI shielding articles, radar or microwave absorption articles, and sensors.



WO 2005/050672 A1

5                                   **DISPERSIONS OF INHERENTLY CONDUCTIVE POLYMER**  
  **IN NON-IONIC WATERBORNE POLYMERS**

Claim of Priority

                                  This application claims priority from U.S. Provisional Patent  
Application Serial Number 60/520,026 bearing Attorney Docket Number  
10   12003025 and filed on November 14, 2003.

Field of the Invention

                                  This invention relates to dispersions of inherently conductive polymer in  
waterborne polymers.

15

Background of the Invention

                                  Inherently conductive polymers have been found to be very useful in a  
variety of electrically active materials, such as anti-fouling marine coatings;  
anti-static fabrics, coatings and packaging; batteries; conductive inks;  
20   conductive adhesives; EMI/RFI shielding articles, radar or microwave  
absorption articles, and sensors.

                                  Inherently conductive polymers can be the matrix of the coating. More  
preferably because of cost, inherently conductive polymers are dispersed in an  
inert binder to serve as the matrix or continuous phase of the coating.

25

Summary of the Invention

                                  What the art needs is a dispersion of inherently conductive polymers in a  
binder that is environmentally friendly, relatively inexpensive, easy to apply,  
and good performing.

30

                                  The present invention solves the problem in the art by providing a  
mixture of inherently conductive polymer in a non-ionic waterborne polymer,

preferably a non-ionic waterborne polymer containing acrylic monomer or urethane monomer. More preferably, the non-ionic polymer is an aqueous "breathable" polyurethane binder.

Most particularly, the present invention uses a polyurethane binder that  
5 is an aliphatic polyether waterborne urethane polymer that has a high moisture vapor transmission rate (MVTR).

One aspect of the invention is a coatable mixture comprising inherently conductive polymer and a non-ionic waterborne polymer.

A feature of the invention is that the non-ionic polymer does not  
10 interfere with the conductive properties of the inherently conductive polymer.

An advantage of the present invention is the coatable mixture is stable under conventional storage and application conditions, is capable of renewing conductive polymeric properties of the inherently conductive polymer because the preferred non-ionic polyurethane has a high MVTR that causes rapid  
15 absorption of moisture by the conductive polymer which is important for good conductivity.

Other advantages of the invention will become apparent when considering the embodiments of the invention.

20

### Embodiments of the Invention

#### Inherently Conductive Polymers

Inherently conductive polymers suitable for the invention include  
25 polymers having repeating monomeric units of aniline, thiophene, pyrrole, phenyl mercaptan, and the like. Other examples include a conducting polymer selected from the group consisting of substituted and unsubstituted polyparaphenylenevinylenes, substituted and unsubstituted polyanilines, substituted and unsubstituted polyazines, substituted and unsubstituted  
30 polythiophenes, substituted and unsubstituted polyparaphenylenes, substituted and unsubstituted poly-p-phenylene sulfides, substituted and unsubstituted

polyfuranes, substituted and unsubstituted polypyrroles, substituted and unsubstituted polyselenophene, substituted and unsubstituted polyacetylenes, mixtures thereof, and copolymers thereof. These conductive polymers are disclosed in a variety of patents, including U.S. Pat. Nos. 5,069,820 (Jen et al.); 5,160,457 (Elsenbaumer); 5,185,100 (Han et al.); 5,281,363 (Shacklette et al.); 5,378,403 (Shacklette); 5,422,423 (Shacklette et al.); 5,456,862 (Kwan-Yue et al.); 5,567,355 (Wessling et al.); 5,700,398 (Angelopoulos et al.) and 5,911,918 (Shacklette et al.). As described in these patents the inherently conductive polymer is often doped with an acid such as hydrochloric acid or p-toluene sulfonic acid.

Particularly preferred is a substituted polyaniline such as disclosed in U.S. Pat. No. 5,968,417 (Visawanathan) and more particularly that marketed by PolyOne Corporation as Teslart™ inherently conductive polymers. This substituted polyaniline is lignosulfonic acid-grafted polyaniline.

15

#### Non-Ionic Waterborne Polymers

Waterborne polymers are useful in the present invention because of the desire in the industry to avoid organic solvents. Non-ionic waterborne polymers provide a stable environment for the operation of the inherently conductive polymer. It has been found that ionic waterborne polymers, either cationic or anionic, adversely affect the conductivity of the inherently conductive polymer.

Commercially available non-ionic waterborne polymers are known to contain polymers with either acrylic monomers or urethane monomers. This type of polymer is emerging in industry as a useful non-ionic carrier for a variety of specialized additives for the coatings industry. As such new non-ionic waterborne polymers become available, one skilled in the art without undue experimentation will be able to determine the suitability of such new products for use in the present invention.

#### Polyurethane

Non-ionic polyurethanes are preferred for the present invention, especially those which are called "breathable" polyurethanes because they exhibit high MVTR properties.

Breathable polyurethanes comprise (a) poly(alkylene oxide) side-chain  
5 units in an amount comprising about 12 wt. % to about 80 wt. % of the polyurethane, wherein (i) alkylene oxide groups in said poly(alkylene oxide) side-chain units have from 2 to 10 carbon atoms and are unsubstituted, substituted, or both unsubstituted and substituted, (ii) at least about 50 wt. % of said alkylene oxide groups are ethylene oxide, and (iii) the amount of side-chain  
10 units is (i) at least about 30 wt. % when the molecular weight of side-chain units is less than about 600 grams/mole, (ii) at least about 15 wt. % when the molecular weight of side-chain units is from about 600 to about 1,000 grams/mole, and (iii) at least about 12 wt. % when the molecular weight of side-chain units is more than about 1,000 grams/mole, and (b) poly(ethylene oxide)  
15 main-chain units in an amount comprising less than about 25 wt. % of the polyurethane.

Such breathable polyurethanes are disclosed in detail in United States Patent Publication 20030195293 (Lubnin et al.).

Non-limiting commercial examples of polyurethanes suitable for the  
20 invention include Permax™ 200 and 220 urethane emulsions available from Noveon, Inc. of Brecksville, Ohio. Additional information can be found at [www.noveoncoatings.com](http://www.noveoncoatings.com).

#### Mixing of Inherently Conductive Polymers and Waterborne Polymers

25 Conventional mixing equipment is used to thoroughly mix the inherently conductive polymer into the non-ionic polymer emulsion.

The amount of inherently conductive polymer added to the polymer emulsion can range from about 5 to about 50 weight percent of total solids, and preferably from about 10 to about 25 weight percent of total solids of the  
30 mixture.

**BLANK PAGE UPON  
FILING**

### Optional Ingredients

A variety of ingredients commonly used in the coatings industry can also be included in the mixture of the present invention. Non-limiting examples of such optional additives include slip agents, antiblocking agents, antioxidants, ultraviolet light stabilizers, quenchers, plasticizers, lubricants, antistatic agents, fire retardants, and fillers such as glass fibers, talc, chalk, or clay. Of these fillers, the properties of nanoclay can add stiffness, toughness, and charring properties for flame retardancy. Such optional additives can be included in the mixture of the present invention in an amount from about 0 to about 80, and preferably from about 0.1 to about 50 weight percent. Most preferably, the amount is about 1 to about 30 weight percent of the total solids of the mixture.

Any conventional colorant useful in coatings and paints is also acceptable for use in the present invention. Conventional colorants can be employed, including inorganic pigments such as titanium dioxide, iron oxide, chromium oxide, lead chromate, carbon black, silica, talc, china clay, metallic oxides, silicates, chromates, etc., and organic pigments, such as phthalocyanine blue, phthalocyanine green, carbazole violet, anthrapyrimidine yellow, flavanthrone yellow, isoindoline yellow, indanthrone blue, quinacridone violet, perylene reds, diazo red and others. The amount of colorant can range from none at all to about 30, and preferably from about 1.5 to about 10 weight percent of total solids of the mixture.

An especially desired optional ingredient is a non-ionic thickener or anti-settling agent to promote better retained dispersion of the inherently conductive polymer particles in the non-ionic waterborne polymer after initial mixing and when coating the mixture in larger film thicknesses. Commercially available thickeners or rheology modifiers are sold by Elementis Specialties of Hightstown, NJ under the Rheolate brand, some of which are believed to be non-ionic associative urethane thickeners. More information can be found at [www.elementis-specialties.com](http://www.elementis-specialties.com). The amount of thickener can range

from about none at all to about 5, and preferably from about 1 to about 3 weight percent of total solids of the mixture.

#### Usefulness of the Invention

5 All of the advantages and usefulness of a breathable urethane polymer as disclosed in United States Patent Publication 20030195293 (Lubnin et al.) are also present in the mixture of the present invention. But the inherently conductive polymer ingredient adds to those properties by making the mixture electrically active and capable of serving as an electron transfer agent.

10 Surface resistivities, using the Four Point Probe test (ASTMD-257-99) can range from about  $1.00 \times 10^3$  to about  $1.00 \times 10^{12}$  Ohms/square.

The coatable mixture can be prepared with approximately 30% solids and a Brookfield viscosity of about 125 Centapoise. This permits ease of application to a substrate via spray, brush, roll, knife, or other means of  
15 application.

Thus, mixtures of the present invention can be very useful in a variety of electrically active materials, such as anti-fouling marine coatings; anti-static fabrics, coatings and packaging; batteries; conductive inks; conductive adhesives; EMI/RFI shielding articles, radar or microwave absorption articles,  
20 and sensors.

While not being limited to a particular theory, it is believed that the non-ionic nature of waterborne polymers used in the present invention inhibits coagulation of the mixture that might otherwise be caused by protons present in the mixture due to the acidic nature of the inherently conductive polymer.

25 Moreover, it is believed that the high MVTR of the commercial Permax™ polyurethane material promotes rapid re-absorption of water from the environment by the inherently conductive polymer, which is important to good conductivity values for the inherently conductive polymer, after the mixture of the present invention is coated on a surface and dried.

30 Further embodiments are described in the following examples.



Examples

Table 1 shows the commercial ingredients used in Examples 1-5 and Comparison Example A.

<b>Table 1 -- Source of Ingredients</b>					
<b>Ingredient Name</b>	<b>Purpose</b>	<b>Brand Name</b>	<b>Generic Name</b>	<b>Source</b>	<b>Source Location</b>
Bayhydrol 110	Polymeric Binder	Bayhydrol	Ionic Waterborne Polyurethane Dispersion	Bayer	Pittsburgh, PA
Permax 220	Polymeric Binder	Permax	Non-Ionic Waterborne Polyurethane Dispersion	Noveon	Brecksville, OH
Teslart™ Wet Cake	Conductive Filler	Teslart™	Polyaniline chains grafted to ligno-sulfonic acid	PolyOne Corp.	Avon Lake, OH
Rheolate 300	Thickener Anti Settling Agent	Rheolate	Non-Ionic Thickener	Elementis Specialties	Hightstown, NJ

Table 2 shows the Recipes, Method of Preparation, and resulting Properties of Examples 1-5 of mixtures of the present invention, in comparison with Comparison Example A, which uses a polyurethane without high MVTR.

Table 2						
Recipes, Preparation, and Properties						
Ingredient	Example 1	2	3	4	5	A
<b>Recipes</b>						
Bayhydro 110	0%	0%	0%	0%	0%	89.0%
Permax 220	89.0%	87.2%	78.8%	71.8%	66.0%	0%
Teslart™ Wet Cake (28.5% Solids)	11.0%	10.7%	19.3%	26.5%	32.4%	11.0%
Rheolate 300	0%	2.1%	1.9%	1.7%	1.6%	0%
<b>Preparation</b>						
Mixing Equipment	Marine Prop	Marine Prop	Marine Prop	Marine Prop	Marine Prop	Marine Prop
Mixing Temp.	Room	Room	Room	Room	Room	Room
Mixing Speed	200-500 RPM	200-500 RPM	200-500 RPM	200-500 RPM	200-500 RPM	200-500 RPM
Order of Addition of Ingredients	Permax & Teslart, mixed well	Permax & Teslart, mixed well, then Rheolate	Permax & Teslart, mixed well, then Rheolate	Permax & Teslart, mixed well, then Rheolate	Permax & Teslart, mixed well, then Rheolate	Bayhydro & Teslart, mixed well
Form of Product After Mixing	Dispersion	Dispersion	Dispersion	Dispersion	Dispersion	Dispersion
<b>Properties</b>						
Time to Coagulation at Room Temp. (Days)	>90	>90	>90	>90	>90	<1
Surface Resistivity (ASTM D257-99) Ohms/sq (2 mils thick (0.05 mm) dry, 24hr @ 50% RH)	Not Measured – Too Much Settling	9 * 10E10	3 * 10E5	3 * 10E5	2 * 10E5	Not Measured – Dispersion Coagulated

Table 2 shows that all of Examples 1-5 using a non-ionic polyurethane do not coagulate within a day, as compared with use of an ionic polyurethane of Comparison A Example, does coagulate. Examples 3-5 perform better  
5 concerning surface resistivity than Example 2, indicating that a thickener or anti-settling agent is preferable in the mixture of the present invention. Also, surface resistivity decreases as loading of inherently conductive polymer increases, as shown by Example 5 having a lower surface resistivity than Example 3.

10 The invention is not limited to the above embodiments. The claims follow.

What is claimed is:

1. A coatable mixture, comprising:  
inherently conductive polymer, and  
a non-ionic waterborne polymer.
- 5 2. The mixture of Claim 1, wherein the inherently conductive polymer is polyaniline.
3. The mixture of Claim 2, wherein the inherently conductive polymer  
10 is lignosulfonic acid-grafted polyaniline.
4. The mixture of Claim 1, wherein the non-ionic waterborne polymer is selected from the group consisting of acrylic polymers and urethane polymers.
- 15 5. The mixture of Claim 4, wherein the urethane polymer is an aliphatic polyether polyurethane.
6. The mixture of Claim 5, wherein the polyurethane has a high MVTR.
- 20 7. The mixture of Claim 1, further comprising a non-ionic thickener.
8. The mixture of Claim 1, further comprising a non-ionic anti-settling agent.
- 25 9. A coating of the mixture of Claim 1.
10. An article protected by the coated mixture of Claim 9.
11. A method of using a coatable mixture of Claim 1, comprising  
30 applying the mixture to an article.

**INTERNATIONAL SEARCH REPORT**

International Application No  
PCT/US2004/037371

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC 7 H01B1/12		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b>		
Minimum documentation searched (classification system followed by classification symbols) IPC 7 H01B		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal, WPI Data		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 497 514 A (AMERICHEM INC) 5 August 1992 (1992-08-05) claims 1,3,8,9	1-11
X	US 5 629 050 A (SILVIS H CRAIG ET AL) 13 May 1997 (1997-05-13) column 2, lines 8-11; claims 1,5,15 column 4, line 30 - column 5, line 26	1-11
X	US 5 783 111 A (IKKALA OLLI ET AL) 21 July 1998 (1998-07-21) column 5, line 40 - column 13, line 16; claims	1-11
X	US 5 068 060 A (SHACKLETTE LAWRENCE W ET AL) 26 November 1991 (1991-11-26) column 14, lines 21-62; claim 1	1-11
	-/--	
<input checked="" type="checkbox"/> Further documents are listed in the continuation of box C. <input checked="" type="checkbox"/> Patent family members are listed in annex.		
° Special categories of cited documents : *A* document defining the general state of the art which is not considered to be of particular relevance *E* earlier document but published on or after the international filing date *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) *O* document referring to an oral disclosure, use, exhibition or other means *P* document published prior to the international filing date but later than the priority date claimed *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. *&* document member of the same patent family		
Date of the actual completion of the international search  21 January 2005		Date of mailing of the international search report  28/01/2005
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016		Authorized officer  Frison, C

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US2004/037371

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 1 065 738 A (AD TECH CO LTD ; SAMHWA PAINTS IND CO LTD (KR)) 3 January 2001 (2001-01-03) paragraph '0001!; claims 1,2; example 2 -----	1-11
X	US 6 149 840 A (ARDAKANI ALI AFZALI ET AL) 21 November 2000 (2000-11-21) column 1, line 60 - column 2, line 67 column 5, line 4 column 6, lines 29-31 -----	1-11
A	US 6 017 997 A (DAME TINA R ET AL) 25 January 2000 (2000-01-25) all document -----	1-11

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US2004/037371

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
EP 0497514	A	05-08-1992	US 5217649 A	08-06-1993
			AT 150577 T	15-04-1997
			CA 2059945 A1	01-08-1992
			DE 69218274 D1	24-04-1997
			DE 69218274 T2	28-08-1997
			EP 0497514 A1	05-08-1992
			JP 4318069 A	09-11-1992
US 5629050	A	13-05-1997	AU 6902496 A	19-03-1997
			BR 9610102 A	17-02-1999
			CA 2229014 A1	06-03-1997
			DE 69606871 D1	06-04-2000
			DE 69606871 T2	07-12-2000
			EP 0850109 A1	01-07-1998
			JP 11512020 T	19-10-1999
			WO 9707901 A1	06-03-1997
US 5783111	A	21-07-1998	CN 1130390 A ,B	04-09-1996
			DE 69422108 D1	20-01-2000
			DE 69422108 T2	31-05-2000
			EP 0643397 A1	15-03-1995
			WO 9506685 A1	09-03-1995
			JP 7126384 A	16-05-1995
US 5068060	A	26-11-1991	US 5159040 A	27-10-1992
			CA 1304189 C	23-06-1992
			EP 0318518 A1	07-06-1989
			JP 2500195 T	25-01-1990
			US 5162473 A	10-11-1992
			WO 8800954 A1	11-02-1988
			US 5139703 A	18-08-1992
EP 1065738	A	03-01-2001	KR 2001004216 A	15-01-2001
			KR 2001035926 A	07-05-2001
			EP 1065738 A1	03-01-2001
			JP 2001055541 A	27-02-2001
			US 6355707 B1	12-03-2002
US 6149840	A	21-11-2000	EP 0528178 A2	24-02-1993
			JP 5262991 A	12-10-1993
			JP 8026231 B	13-03-1996
			US 6168732 B1	02-01-2001
US 6017997	A	25-01-2000	AT 214717 T	15-04-2002
			BR 9813344 A	22-08-2000
			DE 69804341 D1	25-04-2002
			DE 69804341 T2	31-10-2002
			EP 1027381 A1	16-08-2000
			JP 2001521953 T	13-11-2001
			WO 9923129 A1	14-05-1999