



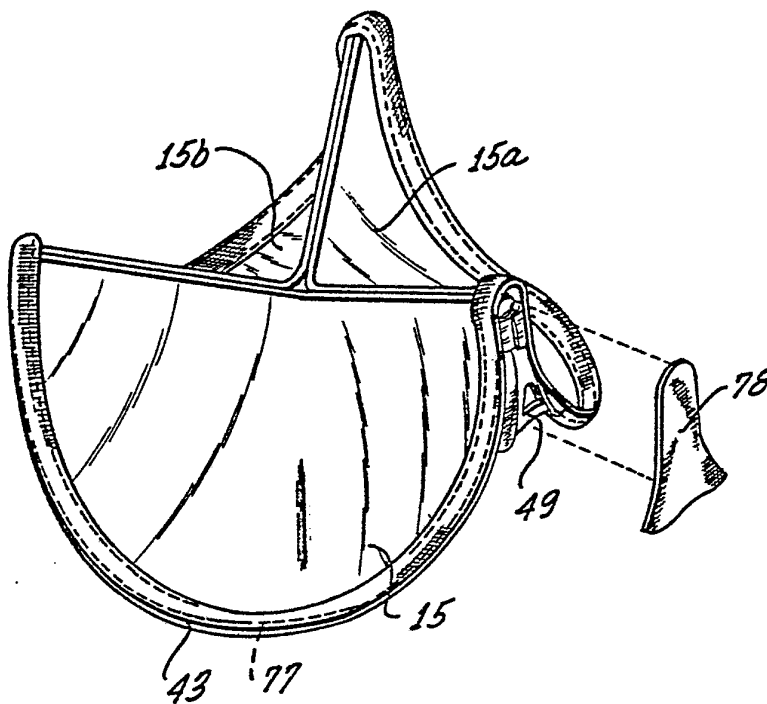
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification³ : A61F 1/22</p>	<p>A1</p>	<p>(11) International Publication Number: WO 83/ 00617 (43) International Publication Date: 3 March 1983 (03.03.83)</p>
<p>(21) International Application Number: PCT/US82/01030 (22) International Filing Date: 29 July 1982 (29.07.82) (31) Priority Application Number: 293,667 (32) Priority Date: 17 August 1981 (17.08.81) (33) Priority Country: US (71) Applicant: AMERICAN HOSPITAL SUPPLY CORPORATION [US/US]; One American Plaza, Evanston, IL 60201 (US). (72) Inventor: LANE, Ernest ; 16151 Chipper Lane, Huntington Beach, CA 92646 (US). (74) Agent: PETERSON, Gordon, L.; 610 Newport Center Drive, Suite 375, Newport Beach, CA 92660 (US).</p>		<p>(81) Designated States: AT (European patent), AU, BE (European patent), BR, CH (European patent), DE (European patent), FR (European patent), GB (European patent), JP, LU (European patent), NL (European patent), SE (European patent). Published <i>With international search report.</i></p>

(54) Title: **LEAFLET ATTACHMENT AND METHOD OF ATTACHMENT FOR PROSTHETIC HEART VALVES**

(57) Abstract

A prosthetic heart valve (11) including a frame (13) having a plurality of commissure supports (19, 21, 23), a plurality of resilient supports (49), and a plurality of valve leaflets (15, 15a, 15b). The valve leaflets (15, 15a, 15b) are attached to the resilient supports (49), and the resilient supports (49) lie radially outwardly of the commissure supports (19, 21, 23) respectively. When in use the valve (11) is subjected to forces which are used to clamp the valve leaflets (15, 15a, 15b) between the resilient supports (49) and the commissure supports (19, 21, 23) to augment whatever other leaflet attachment techniques may be used.



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	KP	Democratic People's Republic of Korea
AU	Australia	LI	Liechtenstein
BE	Belgium	LK	Sri Lanka
BR	Brazil	LU	Luxembourg
CF	Central African Republic	MC	Monaco
CG	Congo	MG	Madagascar
CH	Switzerland	MW	Malawi
CM	Cameroon	NL	Netherlands
DE	Germany, Federal Republic of	NO	Norway
DK	Denmark	RO	Romania
FI	Finland	SE	Sweden
FR	France	SN	Senegal
GA	Gabon	SU	Soviet Union
GB	United Kingdom	TD	Chad
HU	Hungary	TG	Togo
JP	Japan	US	United States of America

LEAFLET ATTACHMENT AND METHOD OF ATTACHMENT FOR PROSTHETIC
HEART VALVESBACKGROUND OF THE INVENTION

1
2
3 Prosthetic heart valves are used to replace
4 diseased natural heart valves in the aortic, mitral,
5 tricuspid and pulmonary positions in the heart. Exam-
6 ples of three such valves are shown in Carpentier et al
7 U.S. Patent No. 4,106,129, Ionescu et al U.S. Patent No.
8 4,084,268 and Davis et al U.S. Patent No. 4,192,020. As
9 shown by these patents, a prosthetic heart valve typical-
10 ly includes a frame formed of a wire or a shell and valve
11 leaflets attached to the frame.

12 One of the major problems with prosthetic leaf-
13 let heart valves, including bioprosthetic valves, is in-
14 sufficient long-term durability resulting from failure of
15 the valve leaflets in the area of their attachment to the
16 frame. Many different attachment techniques have been
17 attempted, including stitching, gluing, integral and dip
18 molding, clamping and combinations of these methods.

19 Stitching was the earliest method used to at-
20 tach the valve leaflets in a prosthetic heart valve; how-
21 ever, disruption of the leaflet material at the suture
22 lines produced a number of failures. Gluing has not prov-
23 ed reliable and it is generally used in conjunction with
24 other methods, such as clamping or stitching. Integral
25 molding has also proven to be not reliable, and dip mold-
26 ing is not preferred because it can only be used with

1 certain materials, such as polyurethane. An additional
2 disadvantage of integral molding is that it cannot be
3 used with biological materials because of the high tem-
4 peratures involved in the molding process.

5 One reason for failure of the valve leaflets
6 is that, during diastole in the aortic position and sys-
7 tole in the mitral position, a radial inward tensile
8 force is applied to the valve leaflets. This tensile
9 force imposes loads on whatever leaflet attachment means
10 is utilized and can ultimately be destructive. For ex-
11 ample, when stitching is utilized, the tensile force im-
12 poses high shear stress which can ultimately result in
13 valve leaflet failure.

14 SUMMARY OF THE INVENTION

15
16
17 This invention provides a clamping action on
18 the valve leaflet to augment whatever other leaflet at-
19 tachment means is used. The clamping action of this
20 invention enables various other leaflet attachment
21 means, such as sutures, to be used and increases the
22 life of the valve.

23 Because the clamping action is not the sole
24 means for leaflet retention, the clamping forces are
25 less than would be required if the clamping action were



1 the sole leaflet attachment means. Thus, this inven-
2 tion provides a reduced clamping force, and consequent-
3 ly, the leaflets do not take a permanent set, whereas
4 the leaflets would tend to take a permanent set if they
5 were permanently clamped with sufficient force to attach
6 the leaflets.

7 In addition, the clamping force varies with
8 forces applied to the valve when the valve is in use,
9 and preferably, there is essentially no clamping force
10 on the leaflets before implantation. This further re-
11 duces the likelihood of damage to the leaflets or of the
12 leaflets taking a permanent set in response to the clamp-
13 ing force.

14 To provide the clamping action, this invention
15 utilizes the tensile force which tends to cause failure
16 of the valve leaflet attachment means. This tensile force
17 reduces during valve opening, and this contributes to the
18 variable nature of the clamping force. The clamping force
19 can be applied over a large area of the valve leaflet to
20 further reduce the likelihood of damage to the leaflet.

21 This concept can be advantageously implement-
22 ed in a prosthetic heart valve which comprises a gener-
23 ally annular frame having a plurality of commissure sup-
24 ports, a resilient valve leaflet support attached to the
25 frame adjacent a first frame section, and valve leaflet

1 sheet material mounted on the frame to define a plural-
2 ity of valve leaflets which are movable between open
3 and closed positions. The first of the valve leaflets
4 is drivingly coupled to the resilient support so that
5 a radial inward force on the first valve leaflet deflects
6 the resilient support radially inwardly. A region of
7 the first valve leaflet extends between the resilient
8 support and the first frame section. The resilient sup-
9 port and the first frame section are located so that the
10 resilient support can deflect and press the region of
11 the first valve leaflet against the first frame section
12 in response to a force which deflects the support ra-
13 dially inwardly. Thus, the resilient support and the
14 frame cooperate to define a clamp which is operated by
15 the tensile force which occurs during valve closure.

16
17 In a typical construction, the valve leaflet
18 sheet material includes a section of valve leaflet sheet
19 material for each of the valve leaflets, and the valve
20 leaflets are attached to each other. In a preferred
21 construction, the frame has two circumferentially spac-
22 ed frame sections defining an opening through which the
23 valve leaflets extend, and each of the frame sections
24 cooperates with the resilient support to clamp one of
25 the valve leaflets during diastole. For example, the

1 valve leaflets can be attached by sutures, and the clamp-
2 ing substantially reduces the shearing stresses in the
3 valve leaflets at the sutures.

4 Another advantage of this construction is that,
5 when the valve leaflets open abruptly, e.g., systolic
6 ejection through the aortic valve, the leaflet material
7 can separate uniformly and closely simulate the ideal
8 central flow orifice of a natural valve and distribute
9 the stresses uniformly along the free edges of the valve
10 leaflets. This is in contrast to valves which utilize a
11 tuck stitch to hold the valve leaflets together radially
12 inwardly of the frame at the commissures. The tuck
13 stitch, which is eliminated by this invention, induces
14 intensified stresses in the valve leaflet as the valve
15 leaflets fully open. It has been postulated that these
16 stresses can lead to early failure of the leaflet material,
17 Broom, Neil D. "Fatigue-Induced Damage In Gluteraldahyde-
18 Preserved Heart Valve Tissue," Journal of Thoracic And
19 Cardiovascular Surgery, 76 #2 August 1978.

20 This invention also provides a resilient wire
21 frame which maximizes the opening of the prosthetic
22 heart valve and which has no sharp bends or kinks of
23 the type which would reduce the valve opening or be in-
24 compatible with the tissue with which it will be in
25 contact during use. The frame of this invention is con-
26 structed of wire formed into an annular configuration

1 and having a plurality of circumferentially spaced apic-
2 al sections joined by curved sections, with each of the
3 apical sections including a reverse bend. The apical
4 sections extend in the same direction. To allow attach-
5 ment to the valve leaflets with a minimum of discon-
6 tinuities and to reduce the overall axial dimension of
7 the valve without sharp bends in the wire, each of the
8 curved sections is substantially elliptical as viewed
9 in side elevation and forms a portion of an ellipse.

10 The frame can advantageously be constructed
11 by a method which includes forming a wire into a gen-
12 erally flat pattern, with the wire having a plurality
13 of part-substantially elliptical sections joined by
14 apical sections and with each of the apical sections
15 including a reverse bend. The apical sections extend
16 in the same general direction, and the wire has oppo-
17 site ends. The flat pattern is then formed into an annu-
18 lar configuration, and the opposite ends of the wire are
19 joined to retain the wire in the annular configuration.

20 The means for joining the opposite ends of the
21 wire inherently provide a discontinuity in the frame.
22 To minimize the effect of this discontinuity, the wire
23 terminates in straight, tangent sections of one of the
24 apical sections, and the tangent sections are tangent to
25 the associated reverse bend and the associated elliptical

1 section, respectively. The joining means can advantage-
2 ously take the form of a splice which joins such tangent
3 sections.

4 The valve of this invention has other advan-
5 tageous features. For example, the valve can be con-
6 structed in two separate sub-assemblies, and then the
7 two sub-assemblies are attached. Thus, a fabric cover
8 is attached to the wire frame to form one sub-assembly,
9 and the valve leaflet sheet material is attached to
10 valve leaflet supports to form a second sub-assembly.
11 The second sub-assembly is then inserted up into re-
12 cesses formed by the first sub-assembly and attached
13 in place.

14 The invention, together with further features
15 and advantages thereof, may best be understood by refer-
16 ence to the following description taken in connection with
17 the accompanying illustrative drawing.

18

19 BRIEF DESCRIPTION OF THE DRAWING

20

21 Fig. 1 is a perspective view of a bioprosthe-
22 tic heart valve constructed in accordance with the teach-
23 ings of this invention.

24 Fig. 2 is a perspective view of a preferred
25 form of wire frame, with the outline of the valve being
26 shown in phantom lines.

1 Fig. 3 is a side elevational view of the wire
2 formed into a flat pattern in the first step of making
3 the wire frame.

4 Figs. 4-6 are line diagrams showing the center-
5 line configuration of the wire frame in front elevation,
6 side elevation and top plan, respectively.

7 Fig. 7 is a perspective view showing the com-
8 pleted wire frame formed into an annular, frusto-conical
9 configuration.

10 Fig. 8 is a fragmentary view partially in sec-
11 tion showing one form of splice for attaching the oppo-
12 site ends of the wire together.

13 Fig. 9 is a perspective view similar to Fig.
14 7 showing a subassembly which comprises the wire frame
15 and a fabric cover.

16 Fig. 10 is a fragmentary perspective view with
17 the fabric cover in section illustrating the fabric cov-
18 er and frame at one of the commissure supports.

19 Fig. 11 is a plan view of one form of valve
20 leaflet.

21 Fig. 12 is a perspective view of one form of
22 resilient support.

23 Fig. 13 is a perspective view of the resil-
24 ient support and a fabric skirt attached to the support.

25 Fig. 14 is a fragmentary perspective view of
26 the resilient support-valve leaflet subassembly.

1 Fig. 15 is a fragmentary perspective view of
2 the two subassemblies being stitched together, with
3 portions of the fabric cover being broken away.

4 Fig. 16 is a perspective view illustrating
5 the two subassemblies fully attached to each other.

6 Fig. 17 is a fragmentary sectional view taken
7 generally along line 17-17 of Fig. 1, with the valve
8 leaflets closed.

9 Fig. 18 is a fragmentary sectional view taken
10 along line 17-17 of Fig. 1 with the valve leaflets open.

11

12 DESCRIPTION OF THE PREFERRED EMBODIMENT

13

14 Fig. 1 shows a prosthetic heart valve 11
15 which generally comprises a frame 13 (Fig. 2), three
16 identical valve leaflets 15, 15a and 15b, and a suture
17 ring 17. In the embodiment illustrated, the suture
18 ring 17 is scalloped, and accordingly, the heart valve
19 11 is an aortic heart valve; however, this is merely
20 illustrative inasmuch as the features of this invention
21 are equally applicable to other heart valves which
22 would employ suture rings of other configurations.
23 Similarly, the valve leaflets 15, 15a and 15b may be
24 tissue or be a synthetic material, such as film poly-
25 tetrafluoroethylene.

1 The frame 13 is preferably constructed of a
2 wire; however, certain features of this invention, such
3 as the intermittent clamping feature, do not require
4 that the frame be constructed of wire. The frame may be
5 constructed of various biocompatible materials, such as
6 suitable metals, plastics or composite fibrous materials.
7 For example, a suitable cobalt alloy, a polyolefin or a
8 carbon reinforced, non-metallic material may be used.

9 According to a preferred method of making the
10 frame 13, the wire is formed into a flat pattern as shown
11 in Fig. 3. The wire has commissure supports 19, 21 and
12 23 integrally joined by curved sections 25, 27 and 29.
13 Each of the commissure supports is identical and extends
14 in the same direction. Each of the commissure supports
15 19, 21 and 23 may be considered as forming, or includ-
16 ing, an apical section, each of which includes a reverse
17 bend 31 and two tangents 33 joining the opposite ends of
18 the associated reverse bend to the adjacent curved sec-
19 tions. The wire terminates at opposite ends 35 and 37
20 at one of the tangents 33 of the commissure support 19.
21 Each of the tangents 33 is linear, and the tangents 33
22 of each of the commissure supports diverge as they ex-
23 tend away from the associated reverse bend 31.

1 Each of the curved sections 25, 27 and 29 is
2 identical and preferably forms a part of an ellipse.
3 For example, in the embodiment illustrated, each of the
4 curved sections extends for 170 degrees, and each of
5 the reverse bends 31 is circular and also extends for
6 170 degrees. The included angle formed by an extension
7 of the axes of the tangents 33 of each of the commissure
8 supports may be, for example, ten degrees. This speci-
9 fic dimensional data is given by way of illustration
10 only, and the concepts of this invention relating to
11 the frame do not require these particular dimensions.

12 The flat pattern shown in Fig. 3 contains no
13 kinks or sharp bends, and the tangents 33 blend smoothly
14 into the adjacent reverse bends and curved sections.
15 Similarly, the curved sections 25, 27 and 29 and the re-
16 verse bends 31 provide no kinks or sharp corners.

17 Next, the flat pattern of Fig. 3 is formed in-
18 to an annular, cylindrical configuration, and the oppo-
19 site ends 35 and 37 are joined together in any suitable
20 manner, such as by splicing, using a sleeve 39 which is a
21 cylindrical sleeve. Splicing together the opposite ends
22 of a wire frame for a heart valve is shown in Carpentier
23 et al U.S. Patent No. 4,106,129. However, the frame of
24 the present invention differs from the frame of the
25 Carpentier et al patent in that the wire terminates in

1 the ends 35 and 37 at the straight tangent 33 of the
2 commissure support 19. Accordingly, the presence of
3 the straight cylindrical sleeve 39 on the correspond-
4 ingly straight tangent 33 for splicing the ends 35 and
5 37 does not alter the configuration of the wire or cause
6 the wire to kink or form a sharp end. By way of con-
7 trast, if the wire terminated in the ends 35 and 37 in
8 one of the curved sections 25, 27 and 29, or one of the
9 reverse bends 31, the sleeve 39 would have to be care-
10 fully correspondingly curved, and crimping of the curved
11 sleeve to avoid kinks would be difficult. If a straight
12 sleeve were used, it would cause kinks in the wire,
13 which is undesirable. With this invention, the tangent
14 33 on which the sleeve 39 is carried, may be considered
15 as including tangent sections 41 and 43 which are splic-
16 ed together by the sleeve 39.

17 According to the preferred method, the final
18 step in constructing the frame 13 is to form the cylin-
19 drical annular configuration into a frusto-conical annu-
20 lar configuration as shown in Figs. 2 and 7. In this
21 configuration, the commissure supports 19, 21 and 23 are
22 inclined toward each other as they extend away from their
23 associated curved sections 25, 27 and 29. By way of ex-
24 ample, the configuration of the frame 13 in Fig. 2 is a
25 frustum of a right circular cone with each of the commis-
26 sure supports 19 being inclined radially inwardly at

1 about five degrees. Although the wire is formed from
2 the flat configuration of Fig. 3 to the frusto-conical
3 configuration of Fig. 2 (or Fig. 7), the curved sec-
4 tions 25, 27 and 29 remain in an elliptical configura-
5 tion as viewed in side elevation, the tangents 33 remain
6 straight and the reverse bends 31 remain part circular.
7 However, the aspect ratio of the partial ellipses form-
8 ed by the curved sections 25, 27 and 29 is altered some-
9 what. The frame 13, including the commissure supports
10 19, 21 and 23, is resiliently flexible and can better
11 accommodate shock loading.

12 Figs. 4-6 illustrate how the wire frame 13 pro-
13 vides a smoothly curved contour at all locations along
14 the frame, and in particular, along and adjacent the
15 commissure support 23, it being understood that the com-
16 missure supports 19 and 21 are identical to the commis-
17 sure support 23. Figs. 4-6 show the center line of the
18 wire in line diagram form, and it can be seen that smooth
19 curves exist in all three views. Fig. 4, which is a side
20 elevational view, illustrates one half of the part ellip-
21 tical configuration of the curved section 29.

22 Next, the frame 13 is covered with a fabric
23 cover 43, and the cover is attached to the frame in any
24 suitable manner, such as by sutures 45. This forms a
25 subassembly which has three recesses 47 which open away

1 from the associated reverse bend, i.e., downwardly as
2 viewed in Fig. 9. As shown in Fig. 10, the cover 43
3 completely encloses the wire frame 13 and has a pair
4 of flaps 48 which extend radially outwardly of the
5 frame.

6 The preferred method also includes forming a
7 second subassembly as shown in Fig. 14 and attaching
8 the two subassemblies as shown in Fig. 15. This latter
9 subassembly includes a plurality of identical, resil-
10 ient, valve leaflet supports 49 (Fig. 12). One of the
11 resilient supports 49 is provided for each of the com-
12 missure supports 19, 21 and 23, and accordingly, in the
13 embodiment illustrated, there are three of the resilient
14 supports 49. The resilient support 49 may be of various
15 different constructions provided that it is resilient
16 and that the valve leaflets 15-15b can be attached there-
17 to. In the embodiment illustrated, the resilient support
18 49 is constructed of a suitable plastic material, such as
19 Mylar, and it is elongated. The resilient support 49 is
20 of integral construction and has a relatively wide base
21 51, an elongated shank 53 with a curved upper end 55 and
22 suture holes 57, 59 and 61. As shown in Fig. 12, the
23 resilient support 49 is in the form of a relatively flat
24 sheet, with side edges 60 and a radial outer face 62.

1 Each of the resilient supports 49 is then cov-
2 ered with a fabric skirt 63, and the fabric skirt is at-
3 tached to the support in any suitable manner, such as by
4 a suture 65 which extends through the skirt 63 and one
5 or more of the suture holes 57, 59 and 61 to define a
6 seam 66 on the outer face 62.

7 The valve leaflets 15, 15a and 15b are formed
8 of suitable valve leaflet sheet material. In the embodi-
9 ment illustrated, each of the valve leaflets 15, 15a and
10 15b is made up from an individual section of the valve
11 sheet leaflet sheet material. Fig. 11 shows one form
12 that the valve leaflet 15 might assume when laid out
13 flat, it being understood that the other valve leaflets
14 may be identical. In the form shown in Fig. 11, the
15 valve leaflet 15 has attaching tabs 67, an elliptical
16 edge 69 extending between the tabs 67 and an edge 71
17 forming a shallow trough and extending from a lower (as
18 viewed in Fig. 11), central region outwardly to the tabs
19 67. The dashed line in Fig. 11 shows the original con-
20 figuration of the edge 71 before cutting.

21 To complete the subassembly shown in Fig. 14,
22 the valve leaflets 15, 15a and 15b are attached to the
23 resilient supports 49. As shown in Fig. 14, the valve
24 leaflets 15 and 15a are attached to one of the resilient
25 supports 49. In the embodiment illustrated, this is ac-
26 complished by wrapping one of the tabs 67 of each of

1 these two leaflets part-way around the resilient support
2 49 and then attaching these tabs to the support 49 in
3 any suitable manner, such as by sewing utilizing a su-
4 ture 73. The suture 73 extends through the tab 67, the
5 skirt 63 and the suture holes 57 and 59. This forms a
6 seam 75 which extends along the seam 66 on the outer
7 face 62 of the resilient support 49.

8 When mounted in this fashion, the edges 71
9 extend radially inwardly from a location adjacent the
10 upper end 55 of the support 49 to define commissures.
11 The elliptical edges 69 are draped over the side edges
12 60 of the support 49 and overlie portions of the outer
13 face 62 of the support 49.

14 The construction shown in Fig. 14 is typical
15 for the other two supports 49. Thus, the other tab 67
16 of the valve leaflet 15 is attached to a tab 67 of the
17 valve leaflet 15b at a second of the supports 49, and
18 the other tabs 67 of the valve leaflets 15a and 15b are
19 attached to the third resilient support 49.

20 The next step in the construction of the heart
21 valve 11 is the insertion of the subassembly of Fig. 14
22 into the recesses 47 of the subassembly of Fig. 9 as
23 shown in Figs. 15 and 16. Specifically, the supports 49
24 are inserted into the recesses 47, respectively, to a
25 location closely adjacent the reverse bends 31, with the

1 supports lying radially outwardly of the associated com-
2 missure supports. The valve leaflets 15 and 15a extend
3 from the support 49 to which they are attached radially
4 inwardly through the recess 47 and into the interior of
5 the frame 13.

6 With the two subassemblies in the position
7 shown in Fig. 15, they are attached to each other, and
8 this is preferably carried out in two stages. In the
9 first stage, the supports 49 are attached to the asso-
10 ciated commissure supports 19, 21 and 23 in any suitable
11 manner, such as by a suture 76 which is passed through
12 the tab 67 of the valve leaflets 15, 15a, the skirt 63,
13 the suture holes 57 and 59, and passed over the reverse
14 bend 31. This is done several times, and the suture is
15 then suitably tied off. This initial attachment step
16 holds the two subassemblies together in the proper orien-
17 tation so that a marginal portion of the valve leaflets
18 15, 15a and 15b lying along the elliptical edges 69 can
19 be attached to the cover 43 as by sewing utilizing a su-
20 ture 77 as shown in Fig. 16. To enable the heart valve
21 to be implanted in a heart, the suture ring 17 is then
22 attached to the frame 13 in any suitable manner, such as
23 by sewing it to the cover 43, the flaps 48 are sewed
24 closed, and woven cloth 78 (Fig. 16) is sewed to the out-
25 side faces of the flaps to form the configuration
26 of Fig. 1.

1 Figs. 17 and 18 further show the structural ori-
2 entation of the support 49 and the segments or tangents
3 33 of the frame and how they cooperate to provide a clamp-
4 ing force on the valve leaflets. The tangents 33 and the
5 surrounding portions of the cover 43 are spaced circumfer-
6 entially to define an opening which is a portion of the
7 recess 47. The support 49 is located radially outwardly
8 of the opening and the tangents. The seams 66 and 75 lie
9 radially outwardly of the support 49 along the outer face
10 62. Regions of the leaflets 15 and 15a extend from the
11 support 49 along a path which extends between the support
12 and the segments 33 and through the opening to the inter-
13 ior of the frame. Figs. 17 and 18 are taken at the junc-
14 ture of the tangents 33 and the associated bend 31, and
15 in that plane, the spacing between the covers 43, as mea-
16 sured along a line between the centers of the tangents,
17 equals twice the thickness of the leaflets 15 and 15a.

18 When the valve closes, a tensile force F acts
19 radially inwardly on the valve leaflets 15 and 15a. The
20 resilience of the support 49 is selected so that the ten-
21 sile force can resiliently deform the support 49 radially
22 inwardly to clamp regions of the valve leaflets 15 and 15a
23 between the support 49 and the tangents 33. More particu-
24 larly, these regions are clamped between the relatively
25 soft fabric cover 43 on the frame 13, and the relatively
26 soft fabric skirt 63 on the support 49. This clamping
27 action protects the seam 75. In other words, some of the

reaction force required to offset the tensile force F is provided by the clamping action to thereby reduce the stresses in the valve leaflets 15 and 15a along the seam 75. The resilience of the support 49 also prevents shock loading of the valve leaflets 15 and 15a. The commissure support 23 resiliently deforms radially inwardly so it does not form a hard stop which could provide shock loading, and the fabric cover 43 and the fabric skirt 63 form a cushion.

During operation of the valve 11, the forces on the valve leaflets, the segments 33 and the support 49 vary, and thus, the clamping force on the leaflets also varies as the clamping force is a function of whatever forces act on the segments 33 and the support 49 to move them to clamp or unclamp the leaflet. For example, when the leaflets 15 and 15a open as shown in Fig. 18, the tensile force F reduces, and the resilient support 49 resiliently moves toward its normal position in which there is sufficient space between it and the tangents 33 to reduce the clamping force and, if desired, by appropriately selecting the resilience of the supports 49 and the commissure supports, the clamping force can be periodically reduced to zero. Thus, the clamping force provided is variable and can be made intermittent if desired. In opening, the leaflets 15 and 15a separate uniformly and closely simulate the ideal central flow orifice of a natural valve and distribute the stresses uniformly along the free edges of the valve leaflets.

1 Although an exemplary embodiment of the inven-
2 tion has been shown and described, many changes, modi-
3 fications and substitutions may be made by one having
4 ordinary skill in the art without necessarily departing
5 from the spirit and scope of this invention.



CLAIMS

1 1. A prosthetic heart valve comprising:
2 means defining a plurality of valve leaf-
3 lets;
4 means for mounting said valve leaflets for
5 opening and closing movement and in a configuration
6 suitable for a prosthetic heart valve;
7 said mounting means including first means
8 for attaching one of the valve leaflets to another
9 member of the valve and second means responsive to a
10 force on the valve when in use for clamping a region
11 of said one valve leaflet to reduce the stress on said
12 first means.

1 2. A valve as defined in
2 claim 1 wherein said second means clamps said region
3 with a variable clamping force.

1 3. A valve as defined in claim 1 wherein
2 said mounting means includes a frame, said first means
3 includes first and second frame sections of said frame,
4 an opening between said frame sections, and a resilient
5 support adjacent said opening, said one valve leaflet

6 is on said resilient support and extends through said.
7 opening and between said resilient support and said
8 first frame section whereby said region of said one
9 valve leaflet can be clamped between said resilient
10 support and said first frame section.

1 4. A prosthetic heart valve comprising:
2 means defining a plurality of valve leaflets;
3 means for mounting said valve leaflets for
4 opening and closing movement and in a configuration
5 suitable for a prosthetic heart valve; and
6 said mounting means including first means for
7 clamping at least one of said valve leaflets with a
8 variable clamping force when the prosthetic heart valve
9 is in use.

1 5. A valve as defined in claim 4 wherein said
2 first means clamps said one valve leaflet intermittently
3 whereby the variable clamping force is an intermittent
4 clamping force.

1 6. A valve as defined in claim 4 wherein said
2 mounting means is adjacent the periphery of the heart
3 valve and said second means is responsive to an inward
4 force on said one valve leaflet for applying said clamp-
5 ing force to said one valve leaflet and is responsive to
6 said inward force diminishing for reducing the clamping
7 force on said one valve leaflet.

1 7. A prosthetic heart valve comprising:
2 a generally annular frame having a plurality
3 of commissure supports, at least one of said commissure
4 supports including a first frame section;
5 a resilient support;
6 means for attaching said resilient support to
7 said frame with said support being adjacent said first
8 frame section;
9 valve leaflet sheet material mounted on said
10 frame to define a plurality of valve leaflets which are
11 movable between open and closed positions, a first of
12 said valve leaflets being drivingly coupled to the re-
13 silient support so that a radial inward force on said
14 first valve leaflet deflects said resilient support ra-
15 dially inwardly;
16 a region of the first valve leaflet extending
17 between the resilient support and the first frame sec-
18 tion; and

19 said resilient support and said first frame
20 section being located so that the resilient support can
21 deflect and press said region of the first valve leaf-
22 let against said first frame section in response to a
23 force which deflects the resilient support radially in-
24 wardly.

1 8. A valve as defined in claim 7 including
2 suture means for attaching the first valve leaflet to
3 said resilient support.

1 9. A valve as defined in claim 7 wherein said
2 frame is resilient whereby the first frame section can
3 deflect when said region of the first valve leaflet is
4 pressed against it by the support.

1 10. A valve as defined in claim 7 wherein said
2 frame includes a second frame section circumferentially
3 spaced from said first frame section to define an open-
4 ing, said attaching means attaches the resilient support
5 to the frame with said support being adjacent said open-
6 ing, a second of said valve leaflets is drivingly coup-
7 led to the resilient support so that a radial inward force
8 on the second valve leaflet deflects said resilient sup-
9 port radially inwardly, a region of the second valve leaf-
10 let extends between the resilient support and the second

11 frame section, means for attaching said first and second
12 valve leaflets at a location intermediate said regions
13 and adjacent said support, said first and second valve
14 leaflets extend through said opening, and said resilient
15 support and said second frame section being located so
16 that the resilient support can deflect and press said
17 region of the second valve leaflet against said second
18 frame section in response to a force which deflects the
19 resilient support radially inwardly.

1 11. A valve as defined in claim 7 wherein
2 the commissure supports are resilient and covered with
3 fabric, said resilient support being covered with
4 fabric.

1 12. A valve as defined in claim 10 wherein
2 the attachment of the first and second valve leaflets
3 forms a composite valve leaflet, said resilient support
4 has a surface facing radially outwardly, said composite
5 valve leaflet extends along said surface of said support
6 and said attaching means for said first and second valve
7 leaflets includes suture means.

1 13. A valve as defined in claim 7 wherein said
2 frame includes a wire formed into an annular configura-
3 tion and defining a plurality of circumferentially spac-
4 ed apical sections joined by curved sections, each of
5 said commissure supports includes one of said apical sec-
6 tions, each of said curved sections is substantially el-
7 liptical in side elevation and forms a portion of an
8 ellipse.

1 14. A valve as defined in claim 7 wherein
2 said frame includes a wire formed into an annular config-
3 uration and having a plurality of circumferentially spac-
4 ed apical sections joined by curved sections, each of
5 said commissure supports includes one of said apical sec-
6 tions, each of said apical sections includes a reverse
7 bend and first and second tangents joining the opposite
8 ends of such reverse bend of such apical sections to the
9 adjacent curved sections, respectively, said wire having
10 ends at one of said tangents, and said valve including
11 means for joining said ends together at said one tangent.

1 15. A method of making a frame for a prosthe-
2 tic heart valve comprising:



3 forming a wire into a generally flat pattern
4 with the wire having a plurality of part substantially
5 elliptical sections joined by apical sections and with
6 each of the apical sections including a reverse bend,
7 said apical sections extending in the same general dir-
8 ection and said wire having opposite ends;

9 forming the flat pattern into an annular con-
10 figuration; and

11 joining said opposite ends to retain the wire
12 in said annular configuration.

1 16. A method as defined in claim 15 wherein
2 a first of said apical sections has a tangent which is
3 tangent to the associated reverse bend and the associat-
4 ed elliptical section, said wire terminates in said tan-
5 gent, and said step of joining includes joining said
6 ends at said tangent.

1 17. A method as defined in claim 15 wherein
2 each of said apical sections has first and second tan-
3 gents joining the opposite ends of the reverse bend of
4 such apical section to the adjacent elliptical sections,
5 respectively, when the wire is in said annular config-
6 uration and said step of joining includes splicing said
7 opposite ends of said wire at one of said tangents.

1 18. A method as defined in claim 17 wherein
2 said step of forming the flat pattern includes forming
3 the wire into a frustum of a cone whereby said apical
4 sections extend toward each other as they extend away
5 from the associated elliptical sections, said step of
6 forming the wire forms said tangents of each of the api-
7 cal sections so that the tangents of each such apical
8 section extend away from each other as they extend from
9 the associated reverse bend toward the associated ellip-
10 tical sections, and there are three of said apical
11 sections.

1 19. A frame for a prosthetic heart valve com-
2 prising:
3 a wire formed into an annular configuration
4 and having a plurality of circumferentially space apical
5 sections joined by curved sections, each of the apical
6 sections including a reverse bend, said apical sections
7 extending in the same direction; and
8 each of said curved sections being substantial-
9 ly elliptical as viewed in side elevation and forming only
10 a portion of an ellipse.

1 20. A frame as defined in claim 19 wherein
2 a first of said apical sections has a tangent which is
3 tangent to the associated reverse bend and the associat-
4 ed curved section, said wire has opposite ends at said
5 tangent, and said frame includes means for joining said
6 opposite ends at said tangents.

1 21. A frame as defined in claim 19 wherein
2 said apical sections are resilient and are inclined in-
3 wardly as they extend away from the elliptical sections.

1 22. A frame for a prosthetic heart valve
2 comprising:
3 a wire formed into an annular configuration
4 and having a plurality of circumferentially spaced api-
5 cal sections joined by curved sections, each of said
6 apical sections including a reverse bend and first and
7 second tangents joining the opposite ends of the reverse
8 bend of such apical section to the adjacent curved sec-
9 tions, respectively;
10 said wire having opposite ends at one of said
11 tangents; and
12 means for splicing said opposite ends of said
13 wire together at said one of said tangents.

1 23. A prosthetic heart valve comprising:
2 a frame of generally annular configuration
3 having a plurality of commissure supports, each of said
4 commissure supports extending in the same general dir-
5 ection;
6 each of said commissure supports including seg-
7 ments spaced circumferentially to define an opening;
8 a plurality of valve leaflet supports for the
9 commissure supports, respectively;
10 means for attaching the valve leaflet supports
11 to the frame with each of the valve leaflet supports be-
12 ing positioned generally radially outwardly of the asso-
13 ciated commissure supports;
14 valve leaflet sheet material; and
15 means for attaching the valve leaflet sheet
16 material to the valve leaflet supports and to the frame
17 to define a plurality of valve leaflets which are mov-
18 able between open and closed positions with said valve
19 leaflet sheet material extending from each of the sup-
20 ports to which it is joined through the opening of the
21 associated commissure support.

1 24. A valve as defined in claim 23 includ-
2 ing a fabric cover on said frame, each of said commis-
3 sure supports and the associated fabric cover forming a
4 recess opening in a direction which is opposite to the
5 direction in which the commissure supports extend, and
6 said valve leaflet supports being received within said
7 recesses, respectively.

1 25. A valve as defined in claim 23 wherein
2 said valve leaflet sheet material includes a plurality
3 of sections of sheet material defining said valve leaf-
4 lets, respectively, means for attaching adjacent valve
5 leaflets together adjacent the valve leaflet supports,
6 respectively, each of said valve leaflet supports hav-
7 ing a surface facing radially outwardly, and said valve
8 leaflet sheet material including portions lying radial-
9 ly outwardly of each of said valve leaflet supports.

1 26. A valve as defined in claim 25 wherein
2 each of said valve leaflet supports is resilient and can
3 deflect toward the associated commissure support to
4 press regions of the valve leaflets against the commis-
5 sure supports.

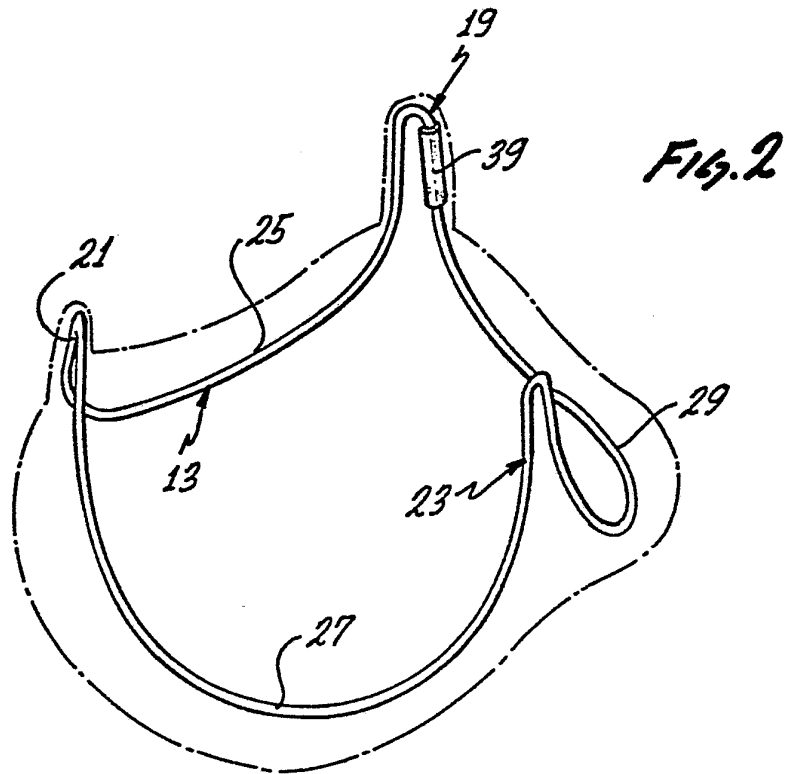
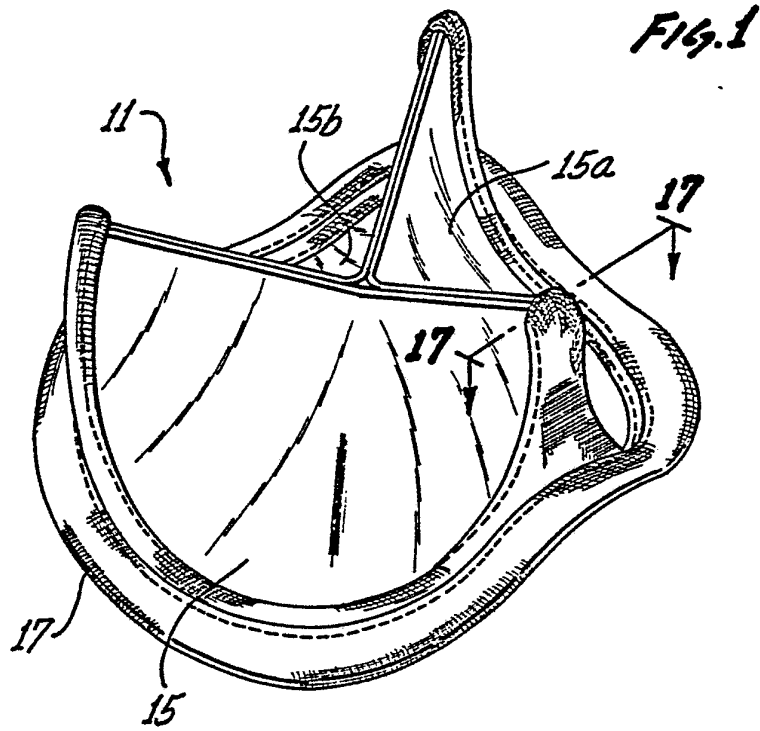
1 27. A valve as defined in claim 26 wherein
2 the frame includes wire having part elliptical curved
3 sections joining the commissure supports, at least one
4 of the commissure supports has a reverse bend and a
5 tangent joining the reverse bend to the adjacent curv-
6 ed section, said wire having opposite ends at said
7 tangent and means for splicing said opposite ends of
8 said wire together at said tangent.

1 28. A method of making a prosthetic heart
2 valve comprising:
3 providing a first subassembly including an
4 annular wire frame having a cloth cover with the frame
5 having a plurality of circumferentially spaced commis-
6 sure supports joined by curved sections and with each of
7 the commissure supports including a reverse bend and cir-
8 cumferentially spaced wire segments joining such reverse
9 bend to the adjacent curved sections, respectively, to
10 form a recess at each commissure support which opens
11 away from the associated reverse bend;
12 attaching valve leaflet sheet material to a
13 plurality of valve leaflet supports with the number of
14 the valve leaflet supports corresponding to the number
15 of the commissure supports to form a second subassembly;

16 inserting the second subassembly into said re-
17 cesses to a location adjacent said reverse bends with
18 the valve leaflet supports lying radially outwardly of
19 the associated commissure supports and with the valve
20 leaflet sheet material extending from the associated
21 valve leaflet supports radially outwardly through the
22 adjacent recesses; and
23 attaching the subassemblies.

1 29. A method as defined in claim 28 wherein
2 there are three of said commissure supports, three of
3 said valve leaflet supports, and said valve leaflet
4 sheet material includes three sections of valve leaf-
5 let sheet material and including attaching adjacent
6 sections of the valve leaflet sheet material to each
7 other adjacent each of said supports prior to said step
8 of inserting.

1 30. A method as defined in claim 28 includ-
2 ing attaching a suturing ring to said cover.



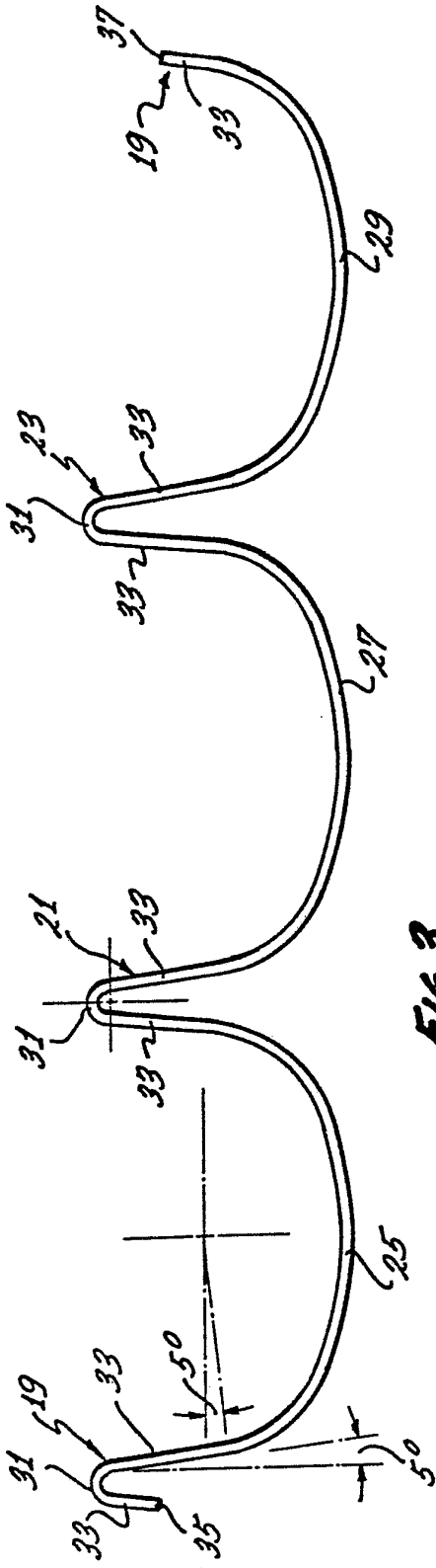


Fig. 3

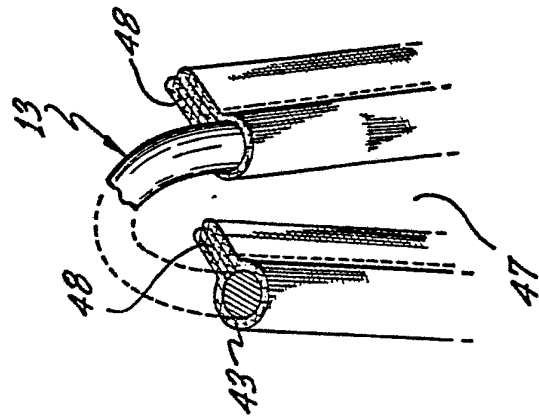


Fig. 10

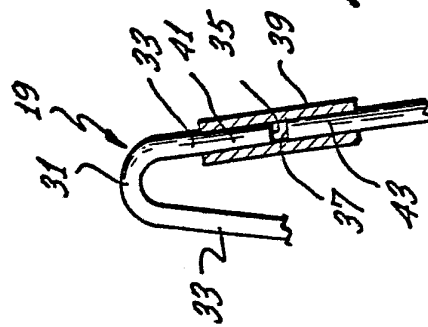


Fig. 8

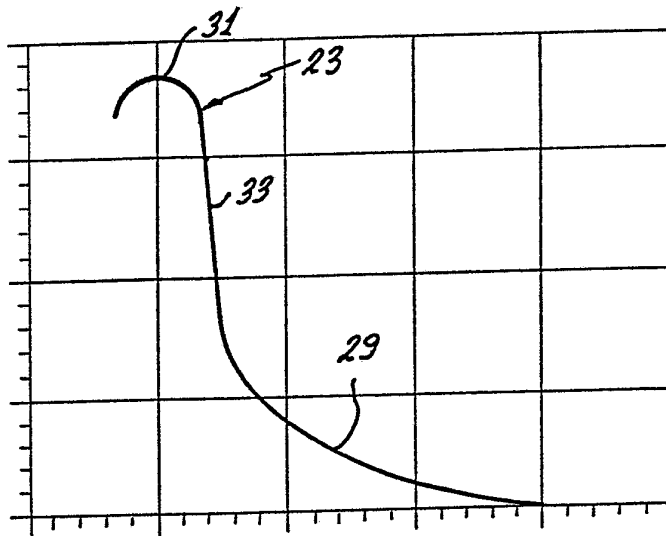


Fig. 4

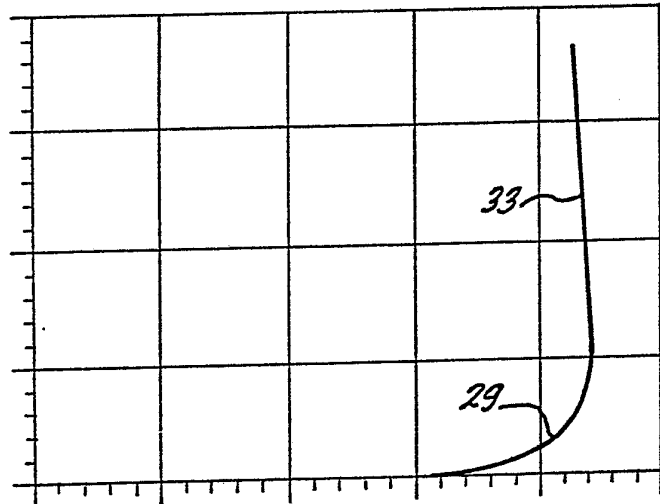


Fig. 5

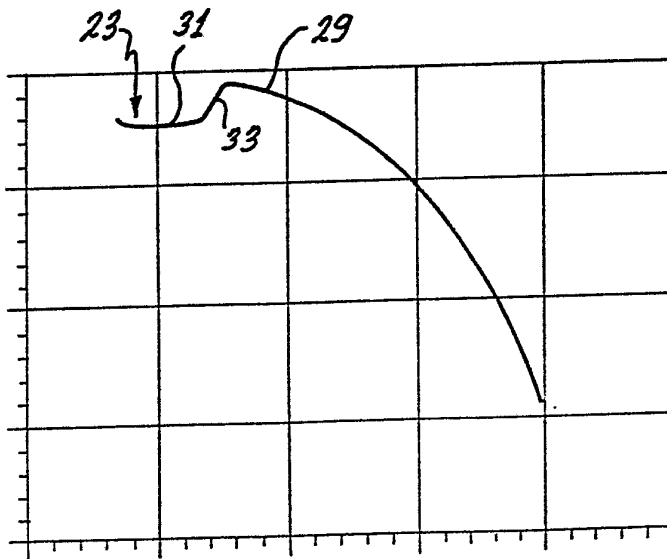


Fig. 6

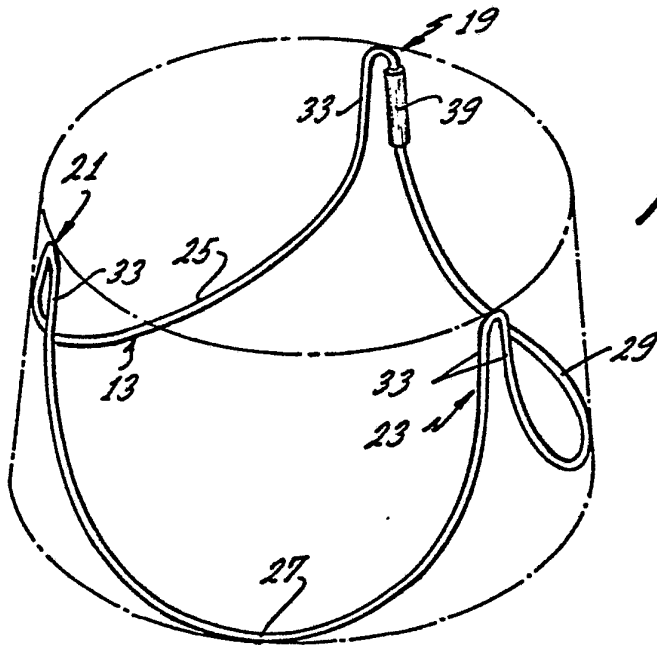


Fig. 7

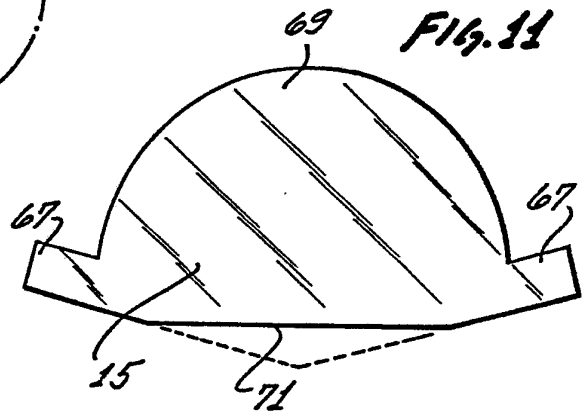


Fig. 11

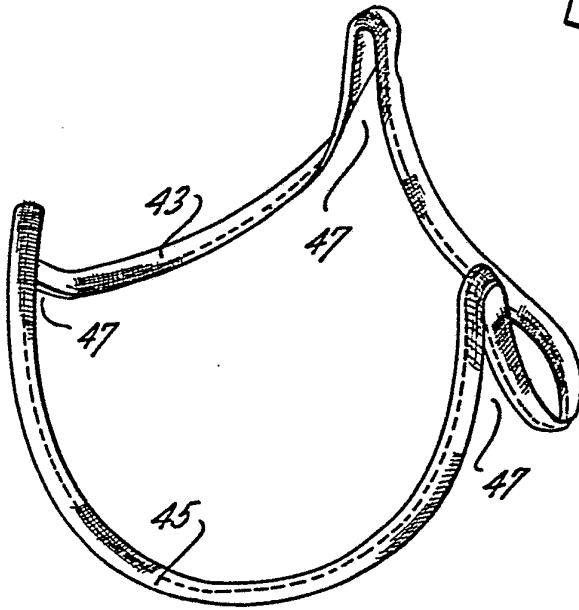


Fig. 9

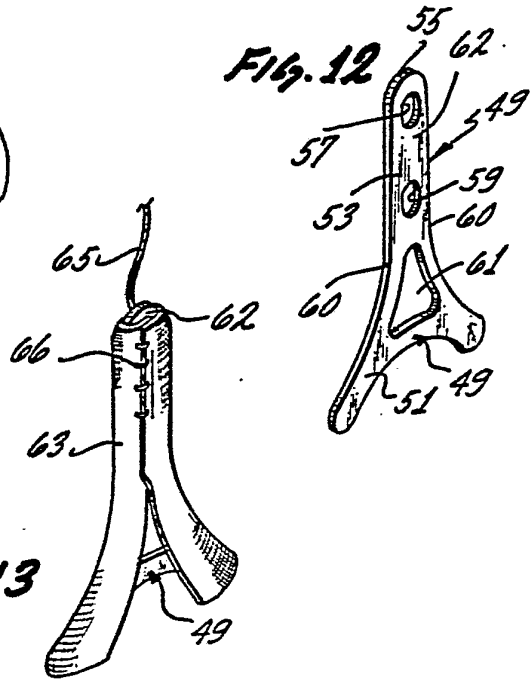


Fig. 12

Fig. 13

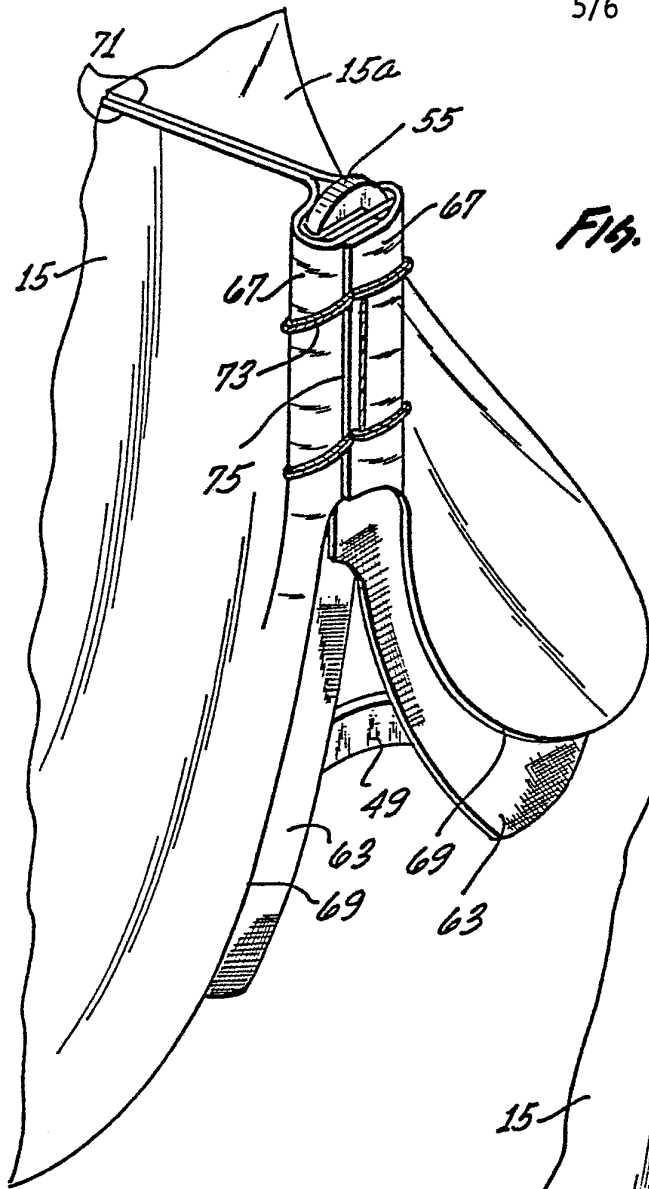


Fig. 14

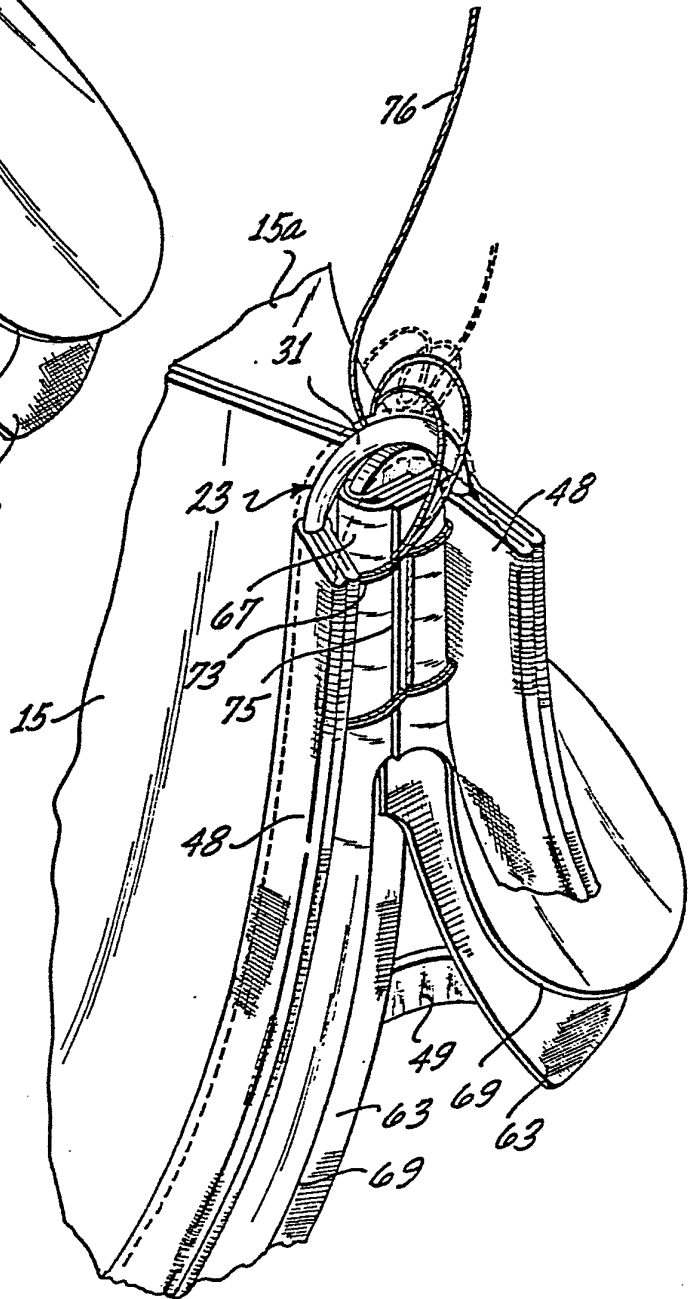
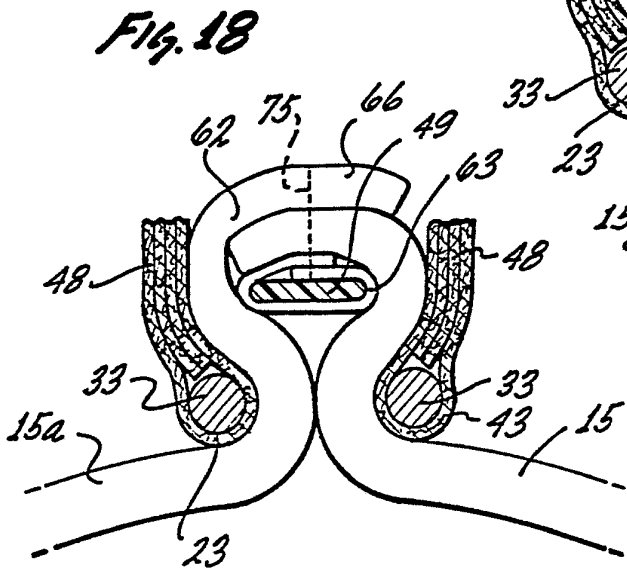
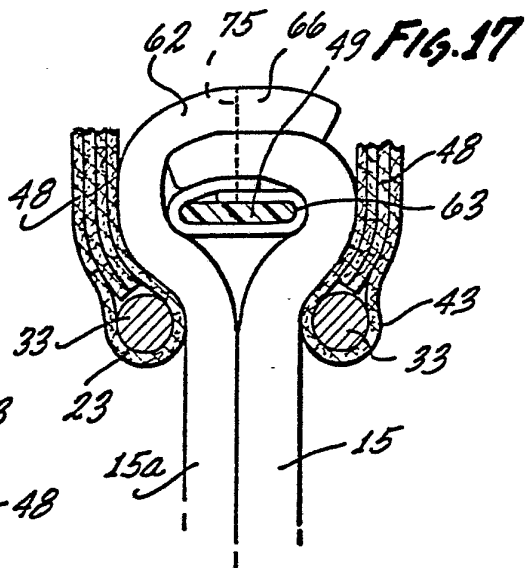
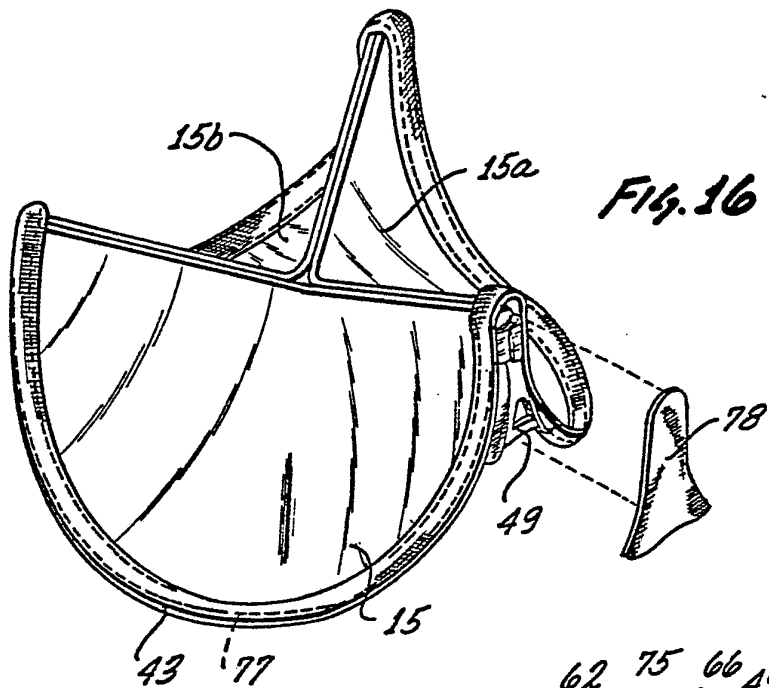


Fig. 15



INTERNATIONAL SEARCH REPORT

International Application No PCT/US82/01030

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) ³				
According to International Patent Classification (IPC) or to both National Classification and IPC				
INT. CL. A61F 1-22				
U.S. CL. 3-1.5				
II. FIELDS SEARCHED				
Minimum Documentation Searched ⁴				
Classification System	Classification Symbols			
U.S.	3/1.5 3/1			
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched ⁵				
III. DOCUMENTS CONSIDERED TO BE RELEVANT ¹⁴				
Category *	Citation of Document, ¹⁶ with indication, where appropriate, of the relevant passages ¹⁷	Relevant to Claim No. ¹⁸		
X	US, A, 4,106,129 Published 15 August 1978 Carpentier, et al.	1-30		
X	US, A, 3,755,823 Published 04 September 1973 See Column 6, lines 43-56 Hancock	1-3,7-14		
X	US, A, 3,714,671 Published 06 February 1973 See Figure 5, Edwards, et al.	25		
T	US, A, 4,343,048 Published 10 August 1982 Ross, et al.			
A	US, A, 4,172,295 Published 30 October 1979 Batten			
A	U.S.S.R 0, 577, 022 Published 30 October 1979, Clinical Exptl Surg.			
A	US, A, 3,744,062 Published 10 July 1973 Parsonnet			
Y	US, A, 4,084,268 Published 18 April 1978 Ionescu,			
A	US, A, 4,192,020 Published 11 March 1980 Davis, et al.			
<table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none; vertical-align: top;"> <p>* Special categories of cited documents: ¹⁵</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </td> <td style="width: 50%; border: none; vertical-align: top;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&" document member of the same patent family</p> </td> </tr> </table>			<p>* Special categories of cited documents: ¹⁵</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p>	<p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&" document member of the same patent family</p>
<p>* Special categories of cited documents: ¹⁵</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p>	<p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&" document member of the same patent family</p>			
IV. CERTIFICATION				
Date of the Actual Completion of the International Search ²	Date of Mailing of this International Search Report ²			
14 October 1982	09 NOV 1982			
International Searching Authority ¹	Signature of Authorized Officer ²⁰			
ISA/US	