### PCT

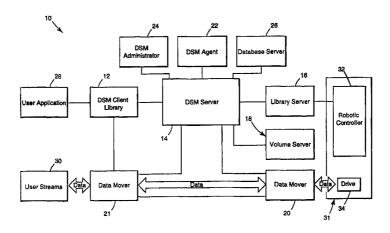
# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



#### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7: WO 00/04483 (11) International Publication Number: **A3** G06F 17/30 27 January 2000 (27.01.00) (43) International Publication Date: (81) Designated States: JP, European patent (AT, BE, CH, CY, DE, (21) International Application Number: PCT/US99/16051 DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). 15 July 1999 (15.07.99) (22) International Filing Date: Published With international search report. (30) Priority Data: 60/092,853 15 July 1998 (15.07.98) US (88) Date of publication of the international search report: 29 June 2000 (29.06.00) (71) Applicant: IMATION CORP. [US/US]; 1 Imation Place, P.O. Box 64898, Saint Paul, MN 55164-0898 (US). (72) Inventor: SITKA, Larry, R.; P.O. Box 64898, Saint Paul, MN 55164-0898 (US). (74) Agents: BAUER, William, D. et al.; Imation Legal Affairs, P.O. Box 64898, Saint Paul, MN 55164-0898 (US).

#### (54) Title: HIERARCHICAL DATA STORAGE MANAGEMENT



#### (57) Abstract

A system and method for managing the storage of files within an HSM system incorporate an architecture and methodology that facilitate the storage and retrieval of large image files as part of an overall image processing workflow. In particular, the system and method may find ready application in a workflow that involves the processing of groups of images associated with particular customers, projects, or transactions, and may act as a storage server for a client application that implements the workflow. The system and method may be useful, for example, in handling the storage of images uploaded from scanned photographic film, or digital images submitted to a photo–processing shop by amateur or professional photographers. In this case, the client application can be a photo–processing application that could provide for various media formats, sizes, and quantities of image reproductions for a consumer. As another example, the system and method may be useful in handling the storage of medical diagnostic images associated with a particular medical patient or study. In this case, the client application could be a picture archival communication system (PACS) that manages the archival of imagery for viewing by physicians. Further, the system and method may be useful in handling the storage of images associated with particular printing jobs, e.g., for publishers, advertising customers, and the like. In this case, the client application could be a digital prepress workflow application.

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#### INTERNATIONAL SEARCH REPORT

Interna al Application No PCT/US 99/16051

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 G06F17/30

According to International Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

 $\begin{array}{ll} \text{Minimum documentation searched (classification system followed by classification symbols)} \\ I\,PC\,\,\,7\,\,\,&\,\,\, G06\,F \end{array}$ 

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUM	NTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 474 395 A (IBM) 11 March 1992 (1992-03-11) page 4, line 45 -page 5, line 4	1,6,7
A	"GRAPHICAL USER INTERFACE FOR THE DISTRIBUTED COMPUTING ENVIRONMENT" IBM TECHNICAL DISCLOSURE BULLETIN, vol. 38, no. 1, 1 January 1995 (1995-01-01), page 409/410 XP000498815 ISSN: 0018-8689 page 409, line 27 - line 29/	1

X Further documents are listed in the continuation of box C.	X Patent family members are listed in annex.		
"A" document defining the general state of the art which is not considered to be of particular relevance  "E" earlier document but published on or after the international filing date  "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  "O" document referring to an oral disclosure, use, exhibition or other means  "P" document published prior to the international filing date but later than the priority date claimed	<ul> <li>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</li> <li>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</li> <li>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</li> <li>"&amp;" document member of the same patent family</li> </ul>		
Date of the actual completion of the international search  5 November 1999	Date of mailing of the international search report  1 0. 04. 00		
Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer FOURNIER, C		

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# INTERNATIONAL SEARCH REPORT

Intern Tal Application No
PCT/US 99/16051

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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	"HIERARCHICAL VIEW OF FILESETS" IBM TECHNICAL DISCLOSURE BULLETIN, vol. 36, no. 5, 1 May 1993 (1993-05-01), page 167 XP000408950 ISSN: 0018-8689 the whole document	1
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International application No. PCT/US 99/16051

# INTERNATIONAL SEARCH REPORT

Box i	Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)				
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:					
1.	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:				
	Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:				
3.	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).				
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)				
This Inte	ernational Searching Authority found multiple inventions in this international application, as follows:				
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2.	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.				
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4. X	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:  1-7				
Remar	The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.				

### FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

1. Claims: 1-7

Storage management method for files sharing common attributes

2. Claims: 8-12

Storage management method for files and corresponding file metadata

3. Claims: 13-18

Method for storing images files on a hierarchy of data storage media

4. Claims: 19-20

Web-based hierarchical storage management system

# INTERNATIONAL SEARCH REPORT

	4	mation on patent family mem	bers	1	PCT/US 99/16051		
Patent document cited in search report		Publication date	Patent family member(s)		Publication date		
EP 0474395	A	11-03-1992	US JP	5239647 A 6318168 A	24-08-1993 15-11-1994		