

**(12) STANDARD PATENT**  
**(19) AUSTRALIAN PATENT OFFICE**

**(11) Application No. AU 2007315402 B2**

(54) Title  
**Enhancing pulmonary host defense via administration of granulocyte-macrophage colony stimulating factor**

(51) International Patent Classification(s)  
**A61K 38/19** (2006.01)

(21) Application No: **2007315402** (22) Date of Filing: **2007.11.02**

(87) WIPO No: **WO08/052567**

(30) Priority Data

(31) Number (32) Date (33) Country  
**60/864,190** **2006.11.03** **US**  
**60/947,511** **2007.07.02** **US**

(43) Publication Date: **2008.05.08**  
(44) Accepted Journal Date: **2013.05.16**

(71) Applicant(s)  
**Drugrecure ApS**

(72) Inventor(s)  
**Fiala, Kaare**

(74) Agent / Attorney  
**Peter Maxwell & Associates, Level 6 60 Pitt Street, Sydney, NSW, 2000**

(56) Related Art  
**BODEY G., et al, Role of Granulocyte-Macrophage Colony-Stimulating Factor as Adjuvant Therapy for Fungal Infection in Patients with Cancer, Clinical Infectious Diseases, October 1993, Vol. 17(4), pages 705-707**  
**BOOTS R., et al, Successful treatment of post-influenza pseudomembranous necrotising bronchial aspergillosis with liposomal amphotericin, inhaled amphotericin B, gamma interferon and GM-CSF, Thorax, 1999, Vol. 54, pages 1047-1049**  
**HUSTINX W., et al., Effect of granulocyte colony stimulating factor (G-CSF) treatment on granulocyte function and receptor expression in patients with ventilator dependent pneumonia, Clin. Exp. Immunol, 1998, Vol. 112, pg. 334-340**  
**BONIFIELD T., et al., Anti-GM-CSF titer predicts response to GM-CSF therapy in pulmonary alveolar proteinosis, Clinical Immunology, 2002, Vol. 105(3), pg. 342-350**  
**TAZAWA R., et al., Granulocyte-macrophage colony-stimulating factor inhalation therapy for patients with idiopathic pulmonary alveolar proteinosis, Respirology, 2006, Vol. 11 pages S61-S64**  
**WYLLAM M., et al, Aerosol granulocyte-macrophage colony-stimulating factor for pulmonary alveolar proteinosis, European Respiratory Journal, 2006, Vol. 27(3), pages 585-593**  
**PRICE A., et al., Pulmonary Alveolar Proteinosis Associated with Anti-GM-CSF Antibodies in a Child: Successful Treatment with Inhaled GM-CSF, Pediatric Pulmonology, 2006, Vol. 41, pages 367-370**

**ARAI T., et al., Serum neutralizing capacity of GM-CSF reflects disease severity  
in a patient with pulmonary alveolar proteinosis successfully treated with inhaled  
GM-CSF, Respiratory Medicine, 2004, Vol. (98), pages 1227-1230**

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



PCT

(43) International Publication Date  
8 May 2008 (08.05.2008)

(10) International Publication Number  
WO 2008/052567 A3

(51) International Patent Classification:  
A61K 38/19 (2006.01)

AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(21) International Application Number:  
PCT/DK2007/050161

(22) International Filing Date:  
2 November 2007 (02.11.2007)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/864,190 3 November 2006 (03.11.2006) US  
60/947,511 2 July 2007 (02.07.2007) US

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(71) Applicant (for all designated States except US): DRUGREASURE ApS [DK/DK]; Agern alle 3, DK-2970 Hørsholm (DK).

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments
- with sequence listing part of description published separately in electronic form and available upon request from the International Bureau

(72) Inventor; and

(75) Inventor/Applicant (for US only): FIALA, Kaare [DK/DK]; Egernvej 69, DK-2000 Frederiksberg (DK).

(74) Agent: HØIBERG A/S; St. Kongengade 59A, DK-1264 Copenhagen K (DK).

(88) Date of publication of the international search report:  
22 January 2009

A3

(54) Title: ENHANCING PULMONARY HOST DEFENSE VIA ADMINISTRATION OF GRANULOCYTE-MACROPHAGE COLONY STIMULATING FACTOR

(57) Abstract: The present invention provides methods for enhancing pulmonary host defense in a subject by administering via inhalation an effective amount of granulocyte-macrophage colony stimulating factor or a functional homologue thereof. Methods of the present invention are useful in alleviating symptoms and/or treating pulmonary diseases or conditions including, but not limited to lung cancer, pneumocystis carinii, pneumonia with or without bacterial, fungal and/or viral infection including but not limited to community acquired pneumonia, nosocomial pneumonia or ventilator associated pneumonia, and or cystic fibrosis with or without bacterial, fungal and/or viral infection or colonization, bronchitis, Bronchiectasis, Diffuse panbronchiolitis, Bronchiolitis, Bronchiolitis obliterans, Bronchiolitis obliterans organizing pneumonia (BOOP) and/or bacterial, fungal and/or viral colonization or infection.

WO 2008/052567 A3

**Enhancing Pulmonary Host Defense via Administration of Granulocyte-Macrophage Colony stimulating factor.**

5 All patent and non-patent references cited in the application, or in the present application, are also hereby incorporated by reference in their entirety.

**Field of invention**

10 The present invention provides a method for enhancing pulmonary host defense in a subject suffering from, for example, but not limited to, lung cancer, pneumonia, pneumocystis carinii or cystic fibrosis and or critically ill patients with bacterial, fungal and/or viral infection and/or bacterial, fungal and/or viral colonization by administering to the subject an effective amount of granulocyte-macrophage colony stimulating factor (GM-CSF) via pulmonary airway administration.

15

**Background of invention**

20 Granulocyte-macrophage colony stimulating factor, GM-CSF, was originally identified as a hemopoietic growth factor. Human GM-CSF stimulates the growth of myeloid and erythroid progenitors in vitro and activates monocytes, macrophages and granulocytes in several immune and inflammatory processes (Gasson et al., 1990b; Gasson et al., 1990a; Hart et al., 1988; Rapoport et al., 1992). It is produced by a number of cell types including lymphocytes, monocytes, endothelial cells, fibroblasts and some malignant cells (Metcalf et al., 1986; Clark and Kamen, 1987; Hart et al, 1988; Metcalf et al., 1986). In addition to having a function of growth stimulation and differentiation on hemopoietic precursor cells, GM-CSF also was discovered as having a variety of effects on cells of the immune system expressing the GM-CSF receptor (for review see: Hamilton, 2002; de Groot et al., 1998).

30 Granulocyte-macrophage colony stimulating factor inhalation therapy has been disclosed for treating patients suffering from idiopathic pulmonary alveolar proteinosis, a rare lung disease characterized by the accumulation of surfactant that fills the terminal airways and alveoli, thereby impairing respiratory function (Tazawa et al. Respirology 2006 11:S61-S64).

35

Published U.S. Application No. 2004024762 discloses kits for the preparation of liquid compositions which can be administered to humans as aerosols for the diagnosis, prevention and treatment of human diseases. Included in the list of compounds which can be provided in the kits is granulocyte-macrophage colony stimulating factor.

5      Included in a list of diseases or condition suggested to be treated with these kits are cystic fibrosis and pneumonia.

### **Summary of invention**

10     In one aspect, the present invention relates to a method for enhancing pulmonary host defense in a subject in need thereof which comprises administering to the subject via intratracheal, intrabronchial or bronchio-alveolar administration an effective amount of granulocyte-macrophage colony stimulating factor (GM-CSF).

15     The method of the present invention is particularly useful in alleviating symptoms and/or treating pulmonary diseases including, but not limited to lung cancer, pneumocystis carinii, pneumonia with or without bacterial, fungal and/or viral infection including but not limited to community acquired pneumonia, nosocomial pneumonia or ventilator associated pneumonia, and or cystic fibrosis with or without bacterial, fungal and/or viral infection or colonization, bronchitis, Bronchiectasis, Diffuse panbronchiolitis, Bronchiolitis, Bronchiolitis obliterans, Bronchiolitis obliterans organizing pneumonia (BOOP) with or without bacterial, fungal and/or viral colonization and/or bacterial, fungal and/or viral infection or colonization.

20     Another aspect of the present invention relates to the use of GM-CSF for the manufacture of a medicament for intratracheal, intrabronchial or bronchio-alveolar administration to enhance pulmonary host defense in a subject in need thereof. GM-CSF via pulmonary administration is particularly useful in treating pulmonary diseases and/or alleviating symptoms including, but not limited to lung cancer, pneumonia, pneumocystis carinii and cystic fibrosis with bacterial, fungal and/or viral infection and/or bacterial, fungal and/or viral colonization.

25    

30

**Detailed description of the invention**

The present invention relates to the intratracheal, intrabronchial or bronchio-alveolar administration, by any appropriate method including, but not limited to, intratracheal, 5 intrabronchial or intraalveolar administration, to a human subject inclusive of both adults and children, of purified or concentrated natural human of granulocyte-macrophage colony stimulating factor (GM-CSF), or a functional homologue of thereof, however prepared, to enhance the pulmonary host defense.

10 Administration of an effective amount of GM-CSF or a functional homologue of thereof via intratracheal, intrabronchial or bronchio-alveolar administration is particularly useful in alleviating symptoms and/or treating subjects suffering from pulmonary conditions including, but not limited to lung cancer, both small cell lung cancer and squamous or non-small cell lung cancer, pneumonia, pneumocystis carinii and cystic fibrosis with 15 bacterial, fungal such as, but not limited to, *Candidiasis* species and *Aspergillus* species or other less common mycotic strains, and/or viral infection and/or bacterial, fungal such as, but not limited to, *Candidiasis* species and *Aspergillus* species, and/or viral colonization of the airways and/or lung parenchyma.

20 **GM-CSF**

Colony-stimulating factors are glycoproteins that stimulate the growth of hematopoietic progenitors and enhance the functional activity of mature effector cells. In brief, at the 25 level of immature cells, CSF's assure the self-renewal of the staminal pool and activate the first stage of hematopoietic differentiation; in the middle stage, when cell proliferation is associated to a progressive acquisition of characteristics of mature cells, they enormously enhance the number of differentiating cells; in the terminal stage they control the circulation and the activation of mature cells.

30 Mature GM-CSF is a monomeric protein of 127 amino acids with several potential glycosylation sites. The variable degree of glycosylation results in a molecular weight range between 14kDa and 35kDa. Non-glycosylated and glycosylated GM-CSF show similar activity in vitro (Cebon et al., 1990). The crystallographic analysis of GM-CSF revealed a barrel- shaped structure composed of four short alpha helices (Diederichs et 35 al., 1991). There are two known sequence variants of GM-CSF.

GM-CSF exerts its biological activity by binding to its receptor. The most important sites of GM-CSF receptor (GM-CSF-R) expression are on the cell surface of myeloid cells, like alveolar macrophages type I & II, epithelial pulmonary cells and endothelial 5 cells, whereas lymphocytes are GM-CSF-R negative. The native receptor is composed of at least two subunits, alpha and beta. The alpha subunit imparts ligand specificity and binds GM-CSF with nanomolar affinity (Gearing et al., 1989; Gasson et al., 1986). The beta subunit is also part of the interleukin-3 and interleukin-5 receptor complexes and, in association with the GM-CSF receptor alpha subunit and GM-CSF, leads to the 10 formation of a complex with picomolar binding affinity (Hayashida et al., 1990). The binding domains on GM-CSF for the receptor have been mapped: GM-CSF interacts with the beta subunit of its receptor via a very restricted region in the first alpha helix of GM-CSF (Shanafelt et al., 1991b; Shanafelt et al., 1991a; Lopez et al., 1991). Binding 15 to the alpha subunit could be mapped to the third alpha helix, helix C, the initial residues of the loop joining helices C and D, and to the carboxyterminal tail of GM-CSF (Brown et al., 1994).

Formation of the GM-CSF trimeric receptor complex leads to the activation of complex signaling cascades involving molecules of the JAK/STAT families, She, Ras, Raf, 20 the MAP kinases, phosphatidylinositol-3 -kinase and NFkB, finally leading to transcription of c-myc, c-fos and c-jun. Activation is mainly induced by the beta subunit of the receptor (Hayashida et al., 1990; Kitamura et al., 1991; Sato et al., 1993). The shared beta subunit is also responsible for the overlapping functions exerted by IL-3, IL-5 and GM- CSF (for review see: de Groot et al., 1998).

25 Apart from its hemopoietic growth and differentiation stimulating activity, GM-CSF functions especially as a proinflammatory cytokine. Macrophages, e.g. alveolar macrophages type I & II and monocytes as well as neutrophils and eosinophils become activated by GM-CSF, resulting in the release of other cytokines and chemokines, 30 matrix degrading proteases, increased HLA expression and increased expression of cell adhesion molecules or receptors for CC-chemokines which in turn, leads to increased chemotaxis of inflammatory cells into inflamed tissue.

35 Wong et al., Science Vol. 228, pp. 810-815 (1985) and Kaushansky et al., Proc. Natl. Acad. Sci. USA, Vol. 83, pp. 3101-3105 (1986) have described the production of

recombinant GM-CSF in mammalian cells. Burgess et al., Blood, Vol. 69, pp. 43-51 (1987) describes the purification of GM-CSF produced in Escherichia coli.

### Functional homologues

5

A functional homologue of GM-CSF is a polypeptide having at least 50 % sequence identity with SEQ ID NO. 1 and has one or more GM-CSF functions, such as the stimulation of the growth and differentiation of hematopoietic precursor cells from various lineages, including granulocytes, macrophages, eosinophils and erythrocytes.

10

GM-CSF regulates multiple functions of alveolar macrophages (AM). GM-CSF stimulation of AM has been documented to enhance alveolar macrophages selectively respond to noxious ingestants, i.e., stimulation of inflammation during bacterial phagocytosis, nonnoxious ingestants are generally mollified, i.e., antiinflammatory responses during phagocytosis of apoptotic cells. Further AM functions are enhanced by GM-CSF stimulation with subsequent proliferation, differentiation, accumulation and activation. Further these GM-CSF effects also encompasses cell adhesion, improved chemotaxis, Fc-receptor expression, complement- and antibody-mediated phagocytosis, oxidative metabolism, intracellular killing of bacteria, fungi, protozoa, and viruses, cytokine signaling, and antigen presentation. Further GM-CSF enhances defects in AM cell adhesion, pathogen associated molecular pattern receptors, like Toll-like receptors and TLR trans-membranous signaling, surfactant protein and lipid uptake and degradation (Trapnell BC and Whitsett JA. GM-CSF regulates pulmonary surfactant homeostasis and alveolar macrophage-mediated innate host defense. *Annu. Rev. Physiol.* 2002;64:775-802).

25

Further GM-CSF interacts with the AM's recognition receptors, the so-called toll like receptors (TLR). GM-CSF is important in the pulmonary host defense in pneumonia due to its interaction with the TLR's participation in the host defense resulting in enhanced clearance of the causative microorganism (Chen GH, Olszewski MA, McDonald RA, Wells JC, Paine R 3rd, Huffnagle GB, Toews GB. Role of granulocyte macrophage colony-stimulating factor in host defense against pulmonary Cryptococcus neoformans infection during murine allergic bronchopulmonary mycosis. *Am J Pathol.* 2007 Mar;170(3):1028-40). Lung has its own innate GM-CSF production, which is reduced in pneumonia and hyperoxia, in relation to high O<sub>2</sub> exposure as seen in, e.g.

ventilator associated pneumonia (VAP) contributing impairment of host defense secondary to apoptosis with poor response to infections. The hyperoxic injury seems to be counteracted by activation of alveolar macrophages with GM-CSF (Altemeier WA, Sinclair SE. Hyperoxia in the intensive care unit: why more is not always better. *Curr Opin Crit Care*. 2007 Feb;13(1):73-8. & Baleeiro CE, Christensen PJ, Morris SB, Mendez MP, Wilcoxon SE, Paine R. GM-CSF and the impaired pulmonary innate immune response following hyperoxic stress. *Am J Physiol Lung Cell Mol Physiol*. 2006 Dec;291(6):L1246-55. Epub 2006 Aug 4) with subsequent clearance of *P. aeruginosa* via expression of the TLR signaling pathway (Baleeiro CE, Christensen PJ, Morris SB, Mendez MP, Wilcoxon SE, Paine R. GM-CSF and the impaired pulmonary innate immune response following hyperoxic stress. *Am J Physiol Lung Cell Mol Physiol*. 2006 Dec;291(6):L1246-55. Epub 2006 Aug 4).

Finally GM-CSF produces in-vitro conversion of AM into immature dendritic cells (DC), which may further be matured with specific agents in respect to activate the homing of matured DC's to a specified receptor or target. (Zobywalski A, Javorovic M, Frankenberger B, Pohla H, Kremmer E, Bigalke I, Schendel DJ. Generation of clinical grade dendritic cells with capacity to produce biologically active IL-12p70. *J Transl Med*. 2007 Apr 12;5:18).

Preferably, evolutionary conservation between GM-CSF of different closely related species, e.g. assessed by sequence alignment, can be used to pinpoint the degree of evolutionary pressure on individual residues. Preferably, GM-CSF sequences are compared between species where GM-CSF function is conserved, for example but not limited to mammals including rodents, monkeys and apes. Residues under high selective pressure are more likely to represent essential amino acids that cannot easily be substituted than residues that change between species. It is evident from the above that a reasonable number of modifications or alterations of the human GM-CSF sequence does not interfere with the activity of the GM-CSF molecule according to the invention. Such GM-CSF molecules are herein referred to as functional equivalents of human GM-CSF, and may be such as variants and fragments of native human GM-CSF as described here below.

As used herein the expression "variant" refers to polypeptides or proteins which are homologous to the basic protein, which is suitably human GM-CSF, but which differs

from the base sequence from which they are derived in that one or more amino acids within the sequence are substituted for other amino acids. Amino acid substitutions may be regarded as "conservative" where an amino acid is replaced with a different amino acid with broadly similar properties. Non-conservative substitutions are where 5 amino acids are replaced with amino acids of a different type. Broadly speaking, fewer non-conservative substitutions will be possible without altering the biological activity of the polypeptide.

A person skilled in the art will know how to make and assess 'conservative' amino acid 10 substitutions, by which one amino acid is substituted for another with one or more shared chemical and/or physical characteristics. Conservative amino acid substitutions are less likely to affect the functionality of the protein. Amino acids may be grouped according to shared characteristics. A conservative amino acid substitution is a substitution of one amino acid within a predetermined group of amino acids for another 15 amino acid within the same group, wherein the amino acids within a predetermined groups exhibit similar or substantially similar characteristics. Within the meaning of the term "conservative amino acid substitution" as applied herein, one amino acid may be substituted for another within groups of amino acids characterised by having

20      i)      polar side chains (Asp, Glu, Lys, Arg, His, Asn, Gln, Ser, Thr, Tyr, and Cys,)

ii)      non-polar side chains (Gly, Ala, Val, Leu, Ile, Phe, Trp, Pro, and Met)

iii)      aliphatic side chains (Gly, Ala Val, Leu, Ile)

25      iv)      cyclic side chains (Phe, Tyr, Trp, His, Pro)

v)      aromatic side chains (Phe, Tyr, Trp)

30      vi)      acidic side chains (Asp, Glu)

vii)      basic side chains (Lys, Arg, His)

viii)      amide side chains (Asn, Gln)

- ix) hydroxy side chains (Ser, Thr)
- x) sulphur-containing side chains (Cys, Met), and
- 5 xi) amino acids being monoamino-dicarboxylic acids or monoamino-monocarboxylic-monoamidocarboxylic acids (Asp, Glu, Asn, Gln).

A functional homologue within the scope of the present invention is a polypeptide that exhibits at least 50% sequence identity with human GM-CSF as identified by SEQ ID 10 NO. 1, preferably at least 60%, 70% sequence identity preferably functional homologues have at least 75% sequence identity, for example at least 80% sequence identity, such as at least 85 % sequence identity, for example at least 90 % sequence identity, such as at least 91 % sequence identity, for example at least 91% sequence identity, such as at least 92 % sequence identity, for example at least 93 % sequence 15 identity, such as at least 94 % sequence identity, for example at least 95 % sequence identity, such as at least 96 % sequence identity, for example at least 97% sequence identity, such as at least 98 % sequence identity, for example 99% sequence identity with SEQ ID NO: 1.

20 Sequence identity can be calculated using a number of well-known algorithms and applying a number of different gap penalties. Any sequence alignment algorithm, such as but not limited to FASTA, BLAST, or GETSEQ may be used for searching homologues and calculating sequence identity. Moreover, when appropriate any 25 commonly known substitution matrix, such as but not limited to PAM, BLOSSUM or PSSM matrices, may be applied with the search algorithm. For example, a PSSM (position specific scoring matrix) may be applied via the PSI-BLAST program.

Moreover, sequence alignments may be performed using a range of penalties for gap opening and extension. For example, the BLAST algorithm may be used with a gap opening penalty in the range 5-12, and a gap extension penalty in the range 1-2.

30 Accordingly, a variant or a fragment thereof according to the invention may comprise, within the same variant of the sequence or fragments thereof, or among different variants of the sequence or fragments thereof, at least one substitution, such as a plurality of substitutions introduced independently of one another.

It is clear from the above outline that the same variant or fragment thereof may comprise more than one conservative amino acid substitution from more than one group of conservative amino acids as defined herein above.

5        Aside from the twenty standard amino acids and two special amino acids, selenocysteine and pyrrolysine, there are a vast number of "nonstandard amino acids" which are not incorporated into protein in vivo. Examples of nonstandard amino acids include the sulfur-containing taurine and the neurotransmitters GABA and dopamine. Other examples are lanthionine, 2-Aminoisobutyric acid, and dehydroalanine. Further  
10      non standard amino are ornithine and citrulline.

Non-standard amino acids are usually formed through modifications to standard amino acids. For example, taurine can be formed by the decarboxylation of cysteine, while dopamine is synthesized from tyrosine and hydroxyproline is made by a  
15      posttranslational modification of proline (common in collagen). Examples of non-natural amino acids are those listed e.g. in 37 C.F.R. section 1.822(b)(4), all of which are incorporated herein by reference.

20      Both standard and non standard amino acid residues described herein can be in the "D" or or "L" isomeric form.

It is contemplated that a functional equivalent according to the invention may comprise any amino acid including non-standard amino acids. In preferred embodiments a functional equivalent comprises only standard amino acids.

25      The standard and/or non-standard amino acids may be linked by peptide bonds or by non-peptide bonds. The term peptide also embraces post-translational modifications introduced by chemical or enzyme-catalyzed reactions, as are known in the art. Such post-translational modifications can be introduced prior to partitioning, if desired. Amino  
30      acids as specified herein will preferentially be in the L-stereoisomeric form. Amino acid analogs can be employed instead of the 20 naturally-occurring amino acids. Several such analogs are known, including fluorophenylalanine, norleucine, azetidine-2-carboxylic acid, S-aminoethyl cysteine, 4-methyl tryptophan and the like.

Suitably variants will be at least 60% identical, preferably at least 70% and accordingly, variants preferably have at least 75% sequence identity, for example at least 80% sequence identity, such as at least 85 % sequence identity, for example at least 90 % sequence identity, such as at least 91 % sequence identity, for example at least 91% 5 sequence identity, such as at least 92 % sequence identity, for example at least 93 % sequence identity, such as at least 94 % sequence identity, for example at least 95 % sequence identity, such as at least 96 % sequence identity, for example at least 97% sequence identity, such as at least 98 % sequence identity, for example 99% sequence identity with the predetermined sequence of human GM-CSF.

10

Functional equivalents may further comprise chemical modifications such as ubiquitination, labeling (e.g., with radionuclides, various enzymes, etc.), pegylation (derivatization with polyethylene glycol), or by insertion (or substitution by chemical synthesis) of amino acids (amino acids) such as ornithine, which do not normally occur 15 in human proteins.

In addition to the peptidyl compounds described herein, sterically similar compounds may be formulated to mimic the key portions of the peptide structure and that such compounds may also be used in the same manner as the peptides of the invention. 20 This may be achieved by techniques of modelling and chemical designing known to those of skill in the art. For example, esterification and other alkylations may be employed to modify the amino terminus of, e.g., a di-arginine peptide backbone, to mimic a tetra peptide structure. It will be understood that all such sterically similar constructs fall within the scope of the present invention.

25

Peptides with N-terminal alkylations and C-terminal esterifications are also encompassed within the present invention. Functional equivalents also comprise glycosylated and covalent or aggregative conjugates formed with the same molecules, including dimers or unrelated chemical moieties. Such functional equivalents are 30 prepared by linkage of functionalities to groups which are found in fragment including at any one or both of the N- and C-termini, by means known in the art.

The term "fragment thereof" may refer to any portion of the given amino acid sequence. Fragments may comprise more than one portion from within the full-length protein, 35 joined together. Suitable fragments may be deletion or addition mutants. The addition

of at least one amino acid may be an addition of from preferably 2 to 250 amino acids, such as from 10 to 20 amino acids, for example from 20 to 30 amino acids, such as from 40 to 50 amino acids. Fragments may include small regions from the protein or combinations of these.

5

Suitable fragments may be deletion or addition mutants. The addition or deletion of at least one amino acid may be an addition or deletion of from preferably 2 to 250 amino acids, such as from 10 to 20 amino acids, for example from 20 to 30 amino acids, such as from 40 to 50 amino acids. The deletion and/or the addition may - independently of 10 one another - be a deletion and/or an addition within a sequence and/or at the end of a sequence.

Deletion mutants suitably comprise at least 20 or 40 consecutive amino acid and more preferably at least 80 or 100 consecutive amino acids in length. Accordingly such a 15 fragment may be a shorter sequence of the sequence as identified by SEQ ID NO: 1 comprising at least 20 consecutive amino acids, for example at least 30 consecutive amino acids, such as at least 40 consecutive amino acids, for example at least 50 consecutive amino acids, such as at least 60 consecutive amino acids, for example at least 70 consecutive amino acids, such as at least 80 consecutive amino acids, for 20 example at least 90 consecutive amino acids, such as at least 95 consecutive amino acids, such as at least 100 consecutive amino acids, such as at least 105 amino acids, for example at least 110 consecutive amino acids, such as at least 115 consecutive amino acids, for example at least 120 consecutive amino acids, wherein said deletion 25 mutants preferably has at least 75% sequence identity, for example at least 80% sequence identity, such as at least 85 % sequence identity, for example at least 90 % sequence identity, such as at least 91 % sequence identity, for example at least 91% sequence identity, such as at least 92 % sequence identity, for example at least 93 % sequence identity, such as at least 94 % sequence identity, for example at least 95 % sequence identity, such as at least 96 % sequence identity, for example at least 97% 30 sequence identity, such as at least 98 % sequence identity, for example 99% sequence identity with SEQ ID NO: 1.

It is preferred that functional homologues of GM-CSF comprises at the most 500, more preferably at the most 400, even more preferably at the most 300, yet more preferably

at the most 200, such as at the most 175, for example at the most 160, such as at the most 150 amino acids, for example at the most 144 amino acids.

The term "fragment thereof" may refer to any portion of the given amino acid sequence.

5       Fragments may comprise more than one portion from within the full-length protein, joined together. Portions will suitably comprise at least 5 and preferably at least 10 consecutive amino acids from the basic sequence. They may include small regions from the protein or combinations of these.

10

There are two known variants of human GM-CSF; a T115I substitution in variant 1 and a I117T substitution in variant 2. Accordingly, in one embodiment of the invention functional homologues of GM-CSF comprises a sequence with high sequence identity to SEQ ID NO: 1 or any of the splice variants.

15

Analogs of GM-CSF are for example described in U.S. Pat. Nos. 5,229,496, 5,393,870, and 5,391,485 to Deeley, et al. Such analogues are also functional equivalents comprised within the present invention.

20

***Recombinant production***

25

The present invention relates to the pulmonary administration, of granulocyte-macrophage colony stimulating factor (GM-CSF), or a functional homologue of thereof, however prepared, to enhance the pulmonary host defense. GM-CSF can be produced in various ways, such as isolation from for example human or animal serum or from expression in cells, such as prokaryotic cells, yeast cells, insect cells, mammalian cells or in cell-free systems.

30

In one embodiment of the invention, GM-CSF is produced recombinantly by host cells.

35

Thus, in one aspect of the present invention, GM-CSF is produced by host cells comprising a first nucleic acid sequence encoding the GM-CSF operably associated with a second nucleic acid capable of directing expression in said host cells. The second nucleic acid sequence may thus comprise or even consist of a promoter that will direct the expression of protein of interest in said cells. A skilled person will be

readily capable of identifying useful second nucleic acid sequence for use in a given host cell.

The process of producing recombinant GM-CSF in general comprises the steps of:

5

-providing a host cell

10 -preparing a gene expression construct comprising a first nucleic acid encoding GM-CSF operably linked to a second nucleic acid capable of directing expression of said protein of interest in the host cell

-transforming the host cell with the construct,

-cultivating the host cell, thereby obtaining expression of GM-CSF.

15

The recombinant GM-CSF thus produced may be isolated by any conventional method, such as any of the methods for protein isolation described herein below. The skilled person will be able to identify a suitable protein isolation steps for purifying GM-CSF.

20

In one embodiment of the invention, the recombinantly produced GM-CSF is excreted by the host cells. When GM-CSF is excreted the process of producing a recombinant protein of interest may comprise the following steps

-providing a host cell

25

-preparing a gene expression construct comprising a first nucleic acid encoding GM-CSF operably linked to a second nucleic acid capable of directing expression of said protein of interest in said host cell

30

-transforming said host cell with the construct,

-cultivating the host cell, thereby obtaining expression of GM-CSF and secretion of GM-CSF into the culture medium,

35

-thereby obtaining culture medium comprising GM-CSF.

The composition comprising GM-CSF and nucleic acids may thus in this embodiment of the invention be the culture medium or a composition prepared from the culture medium.

5

In another embodiment of the invention said composition is an extract prepared from animals, parts thereof or cells or an isolated fraction of such an extract.

10 In an embodiment of the invention, GM-CSF is recombinantly produced in vitro in host cells and is isolated from cell lysate, cell extract or from tissue culture supernatant. In a more preferred embodiment GM-CSF is produced by host cells that are modified in such a way that they express GM-CSF. In an even more preferred embodiment of the invention said host cells are transformed to produce and excrete GM-CSF.

15

### **The pulmonary host defense**

20 The respiratory system is the first line of defense against inhaled substances. The system comprises a complex and multilayered defense system involving mechanical, reflex, cellular mechanisms as well as producing local and systemically derived defense molecules.

25 The upper airways and the major bronchi are protecting the lungs with the anatomic barriers they represent associated with the cough reflex, the mucociliary apparatus and the secretory immunoglobulin A (IgA). Below, the superficial layers of the mucosa, a tight network of dendritic cells will sense and catch any invading organisms and bring them to the lymph nodes around the airways or in the hilum. In the respiratory units beyond the respiratory bronchioles particles will be caught by alveolar macrophages in a milieu rich in elements such as IgG, complement, surfactant and fibronectin. In these 30 units when needed various amounts of neutrophils and lymphocytes will be recruited.

35 The pulmonary epithelium in particular protects the airspace and preserves normal respiratory functions by providing a barrier function and by secreting substances which targets environmental challenges. The pulmonary epithelium can be injured by infection, such as infections by viruses, which permits bacterial attachment.

5 The cellular part of the pulmonary defense involves mucins, antibiotic substances and/or antioxidants such as surfactants, immunoglobulins and complement proteins and antiproteases. Collectins have also been implicated in the cellular pulmonary defense.

10 Inflammatory cells are recruited to the airways via chemoattractants secreted by the pulmonary epithelium, which in turn leads to the migration of inflammatory cells across the epithelium. The epithelium further releases cytokines to regulate the inflammatory cell activities. The epithelial cells release factors such as IL-8 and GM-CSF, whereas 15 macrophages release IL-1 and TNF, which further stimulates the epithelial cells.

15 For purposes of the present invention by "enhancing pulmonary host defense" it is meant any detectable change in the host which increase defense to infection in the pulmonary system of the host. Enhancement of the pulmonary host defense can be determined, for example, by monitoring local pulmonary host defense parameters such as changes in white cell count and/or cytokine release from tracheal spirate or obtained from bronchoalveolar lavage. Alternatively or in addition, enhancement of the 20 pulmonary host defense can be determined by flow cytometric analysis of cells from the lung such as alveolar macrophages with or without selected and/or specified surface receptors and/or subgroups recruited by, for example, tracheal aspirate and/or from bronchoalveolar lavage fluid. Methods for flow cytometric analysis of cells from the lung are described Garn et al. in Experimental and Toxicologic Pathology 2006 25 57:S2:21-24.

25

### **Medical indications**

30 Administration of an effective amount of GM-CSF or a functional homologue of thereof via intratracheal, intrabronchial or bronchio-alveolar administration is particularly useful in alleviating symptoms and/or treating subjects suffering from conditions including, but not limited to lung cancer, both small cell lung cancer and squamous or non-small cell lung cancer, pneumonia, pneumocystis carinii and cystic fibrosis with bacterial, fungal 35 or other less common mycotic strains, and/or viral infection and/or bacterial, fungal and/or viral colonization or the airways and/or lung parenchyma. The spectrum of

diseases encompasses the following pulmonary conditions and or infections like Bronchitis, Cystic fibrosis, Bronchiectasis, Diffuse panbronchiolitis, Bronchiolitis, Bronchiolitis obliterans, Bronchiolitis obliterans organizing pneumonia (BOOP), Pneumonia of any cause, including but not limited to Community acquired pneumonia, 5 Nosocomial pneumonia and Ventilator associated pneumonia (VAP).

Infections may for example be an infection by bacteria, fungi, viruses, parasites. For example infection by parasites such as plasmodium falciparum. For example infection by one or more bacteria selected from the group consisting of Achromobacter 10 xylosoxidans, Acinetobacter calcoaceticus, preferably A. anitratus, A. haemolyticus, A. alcaligenes, and A. Iwoffii, Actinomyces israelii, Aeromonas hydrophilia, Alcaligenes species, preferably A. faecalis, A. odorans and A. denitrificans, Arizona hinshawii, Bacillus anthracis, Bacillus cereus, Bacteroides fragilis, Bacteroides melaninogenicus, Bordetella pertussis, Borrelia burgdorferi, Borrelia recurrentis, Brucella species, 15 preferably B. abortus, B. suis, B. melitensis and B. canis, Calymmatobacterium granulomatis, Campylobacter fetus ssp. intestinalis, Campylobacter fetus ssp. jejuni, Chlamydia species, preferably C. psittaci and C. trachomatis, Chromobacterium violaceum, Citrobacter species, preferably C. freundii and C. diversus, Clostridium botulinum, Clostridium perfringens, Clostridium difficile, Clostridium tetani, 20 Corynebacterium diphtheriae, Corynebacterium, preferably C. ulcerans, C. haemolyticum and C. pseudotuberculosis, Coxiella burnetii, Edwardsiella tarda, Eikenella corrodens, Enterobacter, preferably E. cloacae, E. aerogenes, E. hafniae (also named Hafnia alvei) and E. agglomerans, Erysipelothrix rhusiopathiae, Escherichia coli, Flavobacterium meningosepticum, Francisella tularensis, 25 Fusobacterium nucleatum, Gardnerella vaginalis, Haemophilus ducreyi, Haemophilus influenzae, Helicobacter species, Klebsiella species, preferably K. pneumoniae, K. ozaenae og K. rhinoscleromatis, Legionella species, Leptospira interrogans, Listeria monocytogenes, Moraxella species, preferably M. lacunata and M. osloensis, Mycobacterium bovis, Mycobacterium leprae, Mycobacterium tuberculosis, 30 Mycoplasma species, preferably M. pneumoniae, Neisseria gonorrhoeae, Neisseria meningitidis, Nocardia species, preferably N. asteroides and N. brasiliensis, Pasteurella haemolytica, Pasteurella multocida, Peptococcus magnus, Plesiomonas shigelloides, Pneumococci, Proteus species, preferably P. mirabilis, P. vulgaris, P. rettgeri and P. morganii (also named Providencia rettgeri and Morganella morganii 35 respectively), Providencia species, preferably P. alcalifaciens, P. stuartii and P. rettgeri

(also named *Proteus rettgeri*), *Pseudomonas aeruginosa*, *Pseudomonas mallei*, *Pseudomonas pseudomallei*, *Rickettsia*, *Rochalimaia henselae*, *Salmonella* species, preferably *S. enteriditis*, *S. typhi* and *S. derby*, and most preferably *Salmonella* species of the type *Salmonella DT104*, *Serratia* species, preferably *S. marcescens*, *Shigella dysenteriae*, *S. flexneri*, *S. boydii* and *S. sonnei*, *Spirillum minor*, *Staphylococcus aureus*, *Staphylococcus epidermidis*, *Staphylococcus saprophyticus*, *Streptobacillus moniliformis*, *Streptococcus*, preferably *S. faecalis*, *S. faecium* and *S. durans*, *Streptococcus agalactiae*, *Streptococcus pneumoniae*, *Streptococcus pyogenes*, *Treponema carateum*, *Treponema pallidum*, *Treponema pertenue*, preferably *T. pallidum*, *Ureaplasma urealyticum*, *Vibrio cholerae*, *Vibrio parahaemolyticus*, *Yersinia enterocolitica*, and *Yersinia pestis*.

Infections also comprise protozoan infections such as, but not limited to, *Trichomonas* infections, such as *Pentatrichomonas* infections. For example *T. buccalis*, *T. tenax*, *T. foetus*, *T. galli'nae*, *T. gallina'rum*, *T. ho'minis*, *T. intestinalis*, *T. te'nax*, *T. vaginalis*.

In other embodiments of the invention GM-CSF may be used for the treatment of any condition caused by fungal infections including, but not limited to : *Aspergillosis*, *Blastomycosis*, *Candidiasis*, *Coccidioidomycosis*, *Cryptococcosis*, *Histoplasmosis*, *Paracoccidiomycosis*, *Sporotrichosis*, *Zygomycosis*, *pneumocystis carinii*. The composition may also be used to treat fungal infections in conditions such as *Chromoblastomycosis*, *Mycotic keratitis*, *Endogenous oculomycosis*, *Extension oculomycosis*, *Lobomycosis*, *Mycetoma*, *Nail*, *Hair*, and *Skin* diseases (for example *Onychomycosis* (*Tinea unguium*), *Piedra*, *Pityriasis versicolor*, *Tinea barbae*, *Tinea capititis*, *Tinea corporis*, *Tinea cruris*, *Tinea favosa*, *Tinea nigra*, *Tinea pedis*), *Otomycosis*, *Phaeohyphomycosis*, *Rhinosporidiosis*.

### **Administration**

Methods of intratracheal, intrabronchial or bronchio-alveolar administration include, but are not limited to, spraying, lavage, inhalation, flushing or installation, using as fluid a physiologically acceptable composition in which GM-CSF have been dissolved. When used herein the terms "intratracheal, intrabronchial or intraalveolar administration" include all forms of such administration whereby GM-CSF is applied into the trachea,

the bronchi or the alveoli, respectively, whether by the instillation of a solution of GM-CSF, by applying GM-CSF in a powder form, or by allowing GM-CSF to reach the relevant part of the airway by inhalation of GM-CSF as an aerosolized or nebulized solution or suspension or inhaled powder or gel, with or without added stabilizers or 5 other excipients.

Methods of intrabronchial/alveolar administration include, but are not limited to, bronchoalveolar lavage (BAL) according to methods well known to those skilled in the art, using as a lavage fluid a physiologically acceptable composition in which GM-CSF 10 has been dissolved or indeed by any other effective form of intrabronchial administration including the use of inhaled powders containing GM-CSF in dry form, with or without excipients, or the direct application of GM-CSF, in solution or suspension or powder form during bronchoscopy. Methods for intratracheal administration include, but are not limited to, blind tracheal washing with a similar solution of dissolved GM-CSF or a GM- 15 CSF suspension, or the inhalation of nebulized fluid droplets containing dissolved GM-CSF or a GM-CSF suspension obtained by use of any nebulizing apparatus adequate for this purpose.

In another embodiment, intratracheal, intrabronchial or intraalveolar administration 20 does not include inhalation of the product but the instillation or application of a solution of GM-CSF or a powder or a gel containing GM-CSF into the trachea or lower airways.

Other preferred methods of administration may include using the following devices:

1. Pressurized nebulizers using compressed air/oxygen mixture
2. Ultrasonic nebulizers
3. Electronic micropump nebulizers (e.g. Aeroneb Professional Nebulizer)
4. Metered dose inhaler (MDI)
5. Dry powder inhaler systems (DPI),

30 The aerosol may be delivered by via a) facemasks or b) via endotracheal tubes in intubated patients during mechanical ventilation (device 1, 2 and 3). The devices 4 and 5 can also be used by the patient without assistance provided that the patient is able to self-activate the aerosol device.

Preferred concentrations for a solution comprising GM-CSF and/or functional homologues or variants of GM-CSF are in the range of 0.1 $\mu$ g to 10000  $\mu$ g active ingredient per ml solution. The suitable concentrations are often in the range of from 0.1  $\mu$ g to 5000  $\mu$ g per ml solution, such as in the range of from about 0.1  $\mu$ g to 3000  $\mu$ g per ml solution, and especially in the range of from about 0.1  $\mu$ g to 1000  $\mu$ g per ml solution, such as in the range of from about 0.1  $\mu$ g to 250  $\mu$ g per ml solution. A preferred concentration would be from about 0.1 to about 5.0 mg, preferably from about 0.3 mg to about 3.0 mg, such as from about 0.5 to about 1.5 mg and especially in the range from 0.8 to 1.0 mg per ml solution.

10

### **Pharmaceutical composition**

Pharmaceutical compositions or formulations for use in the present invention include GM-CSF or functional homologue thereof combination with, preferably dissolved in, a pharmaceutically acceptable carrier, preferably an aqueous carrier or diluent, or carried to the lower airways as a pegylated preparation or as a liposomal or nanoparticle preparation administered as an aerosol via inhalation, or as a lavage fluid administered via a bronchoscope as a bronchoalveolar lavage or as a blind intratracheal wash or lavage. A variety of aqueous carriers may be used, including, but not limited to 0.9% saline, buffered saline, physiologically compatible buffers and the like. The compositions may be sterilized by conventional techniques well known to those skilled in the art. The resulting aqueous solutions may be packaged for use or filtered under aseptic conditions and freeze-dried, the freeze-dried preparation being dissolved in a sterile aqueous solution prior to administration

25

In one embodiment a freeze-dried GM-CSF preparation may be pre-packaged for example in single dose units. In an even more preferred embodiment the single dose unit is adjusted to the patient.

30

The compositions may contain pharmaceutically acceptable auxiliary substances or adjuvants, including, without limitation, pH adjusting and buffering agents and/or tonicity adjusting agents, such as, for example, sodium acetate, sodium lactate, sodium chloride, potassium chloride, calcium chloride, etc.

The formulations may contain pharmaceutically acceptable carriers and excipients including microspheres, liposomes, microcapsules, nanoparticles or the like.

Conventional liposomes are typically composed of phospholipids (neutral or negatively charged) and/or cholesterol. The liposomes are vesicular structures based on lipid bilayers surrounding aqueous compartments. They can vary in their physiochemical properties such as size, lipid composition, surface charge and number and fluidity of the phospholipids bilayers. The most frequently used lipid for liposome formation are:

5 1,2-Dilauroyl-*sn*-Glycero-3-Phosphocholine (DLPC), 1,2-Dimyristoyl-*sn*-Glycero-3-Phosphocholine (DMPC), 1,2-Dipalmitoyl-*sn*-Glycero-3-Phosphocholine (DPPC), 1,2-Distearoyl-*sn*-Glycero-3-Phosphocholine (DSPC), 1,2-Dioleoyl-*sn*-Glycero-3-Phosphocholine (DOPC), 1,2-Dimyristoyl-*sn*-Glycero-3-Phosphoethanolamine (DMPE),

10 1,2-Dipalmitoyl-*sn*-Glycero-3-Phosphoethanolamine (DPPE), 1,2-Dioleoyl-*sn*-Glycero-3-Phosphoethanolamine (DOPE), 1,2-Dimyristoyl-*sn*-Glycero-3-Phosphate (Monosodium Salt) (DMPA), 1,2-Dipalmitoyl-*sn*-Glycero-3-Phosphate (Monosodium Salt) (DPPA), 1,2-Dioleoyl-*sn*-Glycero-3-Phosphate (Monosodium Salt) (DOPA), 1,2-Dimyristoyl-*sn*-Glycero-3-[Phospho-*rac*-(1-glycerol)] (Sodium Salt) (DMPG), 1,2-Dipalmitoyl-*sn*-Glycero-3-[Phospho-*rac*-(1-glycerol)] (Sodium Salt) (DPPG), 1,2-Dioleoyl-*sn*-Glycero-3-[Phospho-*rac*-(1-glycerol)] (Sodium Salt) (DOPG), 1,2-Dimyristoyl-*sn*-Glycero-3-[Phospho-L-Serine] (Sodium Salt) (DMPS), 1,2-Dipalmitoyl-*sn*-Glycero-3-[Phospho-L-Serine] (Sodium Salt) (DPPS), 1,2-Dioleoyl-*sn*-Glycero-3-[Phospho-L-Serine] (Sodium Salt) (DOPS), 1,2-Dioleoyl-*sn*-Glycero-3-Phosphoethanolamine-N-(glutaryl) (Sodium Salt) and 1,1',2,2'-Tetramyristoyl Cardiolipin (Ammonium Salt). Formulations composed of DPPC in combination with other lipids or modifiers of liposomes are preferred e.g. in combination with cholesterol and/or phosphatidylcholine.

25

Long-circulating liposomes are characterized by their ability to extravasate at body sites where the permeability of the vascular wall is increased. The most popular way of producing long-circulating liposomes is to attach hydrophilic polymer polyethylene glycol (PEG) covalently to the outer surface of the liposome. Some of the preferred lipids are: 1,2-Dipalmitoyl-*sn*-Glycero-3-Phosphoethanolamine-N-[Methoxy(Polyethylene glycol)-2000] (Ammonium Salt), 1,2-Dipalmitoyl-*sn*-Glycero-3-Phosphoethanolamine-N-[Methoxy(Polyethylene glycol)-5000] (Ammonium Salt), 1,2-Dioleoyl-3-Trimethylammonium-Propane (Chloride Salt) (DOTAP).

30

5 Possible lipids applicable for liposomes are supplied by Avanti, Polar Lipids, Inc, Alabaster, AL. Additionally, the liposome suspension may include lipid-protective agents which protect lipids against free-radical and lipid-peroxidative damage on storage. Lipophilic free-radical quenchers, such as alpha-tocopherol and water-soluble iron-specific chelators, such as ferrioxanine, are preferred.

A variety of methods are available for preparing liposomes, as described in, e.g., Szoka et al., Ann. Rev. Biophys. Bioeng. 9:467 (1980), U.S. Pat. Nos. 4, 235,871, 4,501,728 and 4,837,028, all of which are incorporated herein by reference. Another method 10 produces multilamellar vesicles of heterogeneous sizes. In this method, the vesicle-forming lipids are dissolved in a suitable organic solvent or solvent system and dried under vacuum or an inert gas to form a thin lipid film. If desired, the film may be redissolved in a suitable solvent, such as tertiary butanol, and then lyophilized to form a more homogeneous lipid mixture which is in a more easily hydrated powder-like form. 15 This film is covered with an aqueous solution of the targeted drug and the targeting component and allowed to hydrate, typically over a 15-60 minute period with agitation. The size distribution of the resulting multilamellar vesicles can be shifted toward smaller sizes by hydrating the lipids under more vigorous agitation conditions or by adding solubilizing detergents such as deoxycholate.

20 Micelles are formed by surfactants (molecules that contain a hydrophobic portion and one or more ionic or otherwise strongly hydrophilic groups) in aqueous solution.

25 Common surfactants well known to one of skill in the art can be used in the micelles of the present invention. Suitable surfactants include sodium laurate, sodium oleate, sodium lauryl sulfate, octaoxyethylene glycol monododecyl ether, octoxynol 9 and PLURONIC F-127 (Wyandotte Chemicals Corp.). Preferred surfactants are nonionic polyoxyethylene and polyoxypropylene detergents compatible with IV injection such as, TWEEN-80, PLURONIC F-68, n-octyl-beta-D-glucopyranoside, and the like. In 30 addition, phospholipids, such as those described for use in the production of liposomes, may also be used for micelle formation.

In some cases, it will be advantageous to include a compound, which promotes delivery of the active substance to its target.

**Dose**

By "effective amount" of GM-CSF it is meant a dose, which, when administered via pulmonary administration, achieves a concentration in the subject's airways and/or lung 5 parenchyma which enhances pulmonary host defense.

The preparations are administered in a manner compatible with the dosage formulation, and in such amount as will be therapeutically effective. The quantity to be administered depends on the subject to be treated, including, e.g. the weight and age 10 of the subject, the disease to be treated and the stage of disease. Suitable dosage ranges are per kilo body weight normally of the order of several hundred  $\mu$ g active ingredient per administration with a preferred range of from about 0.1 $\mu$ g to 10000  $\mu$ g per kilo body weight. Doses expected to provide an effective amount of GM-CSF comprise GM-CSF are often in the range of from 0.1  $\mu$ g to 5000  $\mu$ g per kilo body 15 weight, such as in the range of from about 0.1  $\mu$ g to 3000  $\mu$ g per kilo body weight, and especially in the range of from about 0.1  $\mu$ g to 1000  $\mu$ g per kilo body weight, preferably in the range of 5  $\mu$ g to 500  $\mu$ g, even more about 50  $\mu$ g to about 200  $\mu$ g administered via inhalation once or twice daily.

20 Suitable daily dosage ranges are per kilo body weight per day normally of the order of several hundred  $\mu$ g active ingredient per day with a preferred range of from about 0.1 $\mu$ g to 10000  $\mu$ g per kilo body weight per day. Using monomeric forms of the compounds, the suitable dosages are often in the range of from 0.1  $\mu$ g to 5000  $\mu$ g per kilo body weight per day, such as in the range of from about 0.1  $\mu$ g to 3000  $\mu$ g per kilo 25 body weight per day, and especially in the range of from about 0.1  $\mu$ g to 1000  $\mu$ g per kilo body weight per day, when based on monomeric forms having a sequence identical to sequence ID NO: 1, for functional homologues and fragments the dose is calculated based on the molecular weight of the monomeric form to the molecular weight of the homologues or fragments.

30 Duration of dosing will typically range from 1 day to about 4 months, such as 2 days to about 3 months, for example in the range of 1-2 days to 2 months, such as in the range of 1-2 days to 1 month.

**Medical packaging**

The compounds used in the invention may be administered alone or in combination with pharmaceutically acceptable carriers or excipients, in either single or multiple 5 doses. The formulations may conveniently be presented in unit dosage form by methods known to those skilled in the art.

It is preferred that the compounds according to the invention are provided in a kit. Such 10 a kit typically contains an active compound in dosage forms for administration. A dosage form contains a sufficient amount of active compound such that a desirable effect can be obtained when administered to a subject.

Thus, it is preferred that the medical packaging comprises an amount of dosage units 15 corresponding to the relevant dosage regimen. Accordingly, in one embodiment, the medical packaging comprises a pharmaceutical composition comprising a compound as defined above or a pharmaceutically acceptable salt thereof and pharmaceutically acceptable carriers, vehicles and/or excipients, said packaging comprising from 1 to 7 dosage units, thereby having dosage units for one or more days, or from 7 to 21 dosage units, or multiples thereof, thereby having dosage units for one week of 20 administration or several weeks of administration.

The dosage units can be as defined above. The medical packaging may be in any 25 suitable form for intratracheal, intrabronchial or intraalveolar administration. In a preferred embodiment the packaging is in the form of a vial, ampule, tube, blister pack, cartridge or capsule.

When the medical packaging comprises more than one dosage unit, it is preferred that the medical packaging is provided with a mechanism to adjust each administration to one dosage unit only.

30 Preferably, a kit contains instructions indicating the use of the dosage form to achieve a desirable affect and the amount of dosage form to be taken over a specified time period. Accordingly, in one embodiment the medical packaging comprises instructions for administering the pharmaceutical composition.

Even more preferably a freeze-dried GM-CSF preparation may be pre-packaged for example in single dose units. In an even more preferred embodiment the single dose unit is adjusted to the patient.

## 5 Examples

### Example 1: Inhaled GM-CSF in a CF-patient

10 Inhaled GM-CSF was given to a CF-patient with a chronic pulmonary infection with mycobacterium abscessus since april 2000. When the infection started, the patient was 13.5 years old. Over the next 2 years, forced expiratory volume in first second (FEV<sub>1</sub>) dropped from 80% predicted to 35% predicted.

15 In 2002 the patient subsequently also acquired chronic pulmonary infection with *Pseudomonas aeruginosa* and *Achromobacter xylosoxidans*. Treated with GM-CSF intra-muscularly for about 1 year from 2002-2003. During this treatment pulmonary function increased to a FEV<sub>1</sub> of 55% predicted.

20 Since December 2006 the patient was treated with inhaled granulocyte macrophage colony stimulating factor (GM-CSF), in a dose of 250 mikro-gram b.i.d. every other week for twelve weeks. During this treatment, FEV<sub>1</sub> increased around 5-6% predicted. The patient did not experience any adverse events. Treatment with inhaled GM-CSF was restarted in September 2007 and FEV<sub>1</sub> has increased around 5% predicted during the first month. All infections have been treated with inhaled antibiotics continuously 25 and with intravenous antibiotics for 2 weeks 4-6 times a year.

THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

1. A method for treating a subject, or alleviating symptoms in a subject, said subject suffering from pulmonary disease associated with bacterial, fungal and/or viral infection or colonization, comprising pulmonary administration of GM-CSF, or a functional homologue thereof having at least 75% sequence identity to SEQ ID NO:1.
2. The method of claim 1, wherein the pulmonary disease is selected from the group of pneumonia with bacterial, fungal and/or viral infection or colonization, pneumocystis carinii pneumonia, community acquired pneumonia, nosocomial pneumonia and ventilator associated pneumonia; cystic fibrosis with bacterial, fungal and/or viral infection or colonization; bronchitis with bacterial, fungal and/or viral infection or colonization; Bronchiectasis with bacterial, fungal and/or viral infection or colonization; Bronchiolitis with bacterial, fungal and/or viral infection or colonization, Diffuse panbronchiolitis, Bronchiolitis obliterans and Bronchiolitis obliterans organizing pneumonia (BOOP) with bacterial, fungal and/or viral infection or colonization.
3. The method of claim 1 or claim 2, wherein the effective amount of GM-CSF or a functional homologue thereof having at least 75% sequence identity to SEQ ID NO:1 is administered by intratracheal, intrabronchial or intraalveolar administration.
4. The method of any of claims 1 to 3, wherein the subject is administered a solution of GM-CSF, or a functional homologue thereof having at least 75% sequence identity to SEQ ID NO:1, via bronchoalveolar lavage.

5. The method of any of claims 1 to 3, wherein the subject is administered a solution of GM-CSF, or a functional homologue thereof having at least 75% sequence identity to SEQ ID NO:1, via blind tracheal washing.
6. The method of any of claims 1 to 3, wherein the subject is administered a nebulized solution or a suspension of GM-CSF, or a functional homologue thereof having at least 75% sequence identity to SEQ ID NO:1.
7. The method of any of claims 1 to 3, wherein the subject is administered a nebulized aerosol or inhaled powder form of GM-CSF, or a functional homologue thereof having at least 75% sequence identity to SEQ ID NO:1.
8. The method of any of claims 1 to 3, wherein the subject is administered a pegylated, liposomal or nanoparticle prepared form of GM-CSF, or a functional homologue thereof having at least 75% sequence identity to SEQ ID NO:1.
9. The method of any of claims 1 to 3, wherein the subject is administered GM-CSF by direct application of GM-CSF, or a functional homologue thereof having at least 75% sequence identity to SEQ ID NO:1, during bronchoscopy.
10. The method of any of claims 1 to 9, wherein the subject is a mammal.
11. The method of claim 10, wherein the mammal is a human.
12. The method of any of claims 1 to 11, wherein the GM-CSF enhances pulmonary host defence in said subject.

13. The use of GM-CSF or a functional homologue thereof having at least 75% sequence identity to SEQ ID NO:1, in the manufacture of a medicament for treatment of a subject or alleviating symptoms in a subject, said subject suffering from pulmonary disease associated with bacterial, fungal and/or viral infection or colonization.
14. The use of claim 13, wherein the pulmonary disease is selected from the group of pneumonia with bacterial, fungal and/or viral infection or colonization, pneumocystis carinii pneumonia, community acquired pneumonia, nosocomial pneumonia and ventilator associated pneumonia; cystic fibrosis with bacterial, fungal and/or viral infection or colonization; bronchitis with bacterial, fungal and/or viral infection or colonization; Bronchiectasis with bacterial, fungal and/or viral infection or colonization; Bronchiolitis with bacterial, fungal and/or viral infection or colonization, Diffuse panbronchiolitis, Bronchiolitis obliterans and Bronchiolitis obliterans organizing pneumonia (BOOP) with bacterial, fungal and/or viral infection or colonization.
15. The use of claim 13 or claim 14, wherein the effective amount of GM-CSF or a functional homologue thereof having at least 75% sequence identity to SEQ ID NO:1 is administered by intratracheal, intrabronchial or intraalveolar administration.
16. The use of any of claims 13 to 15, wherein the subject is administered a solution of GM-CSF, or a functional homologue thereof having at least 75% sequence identity to SEQ ID NO:1, via bronchoalveolar lavage.

17. The use of any of claims 13 to 15, wherein the subject is administered a solution of GM-CSF, or a functional homologue thereof having at least 75% sequence identity to SEQ ID NO:1, via blind tracheal washing.
18. The use of any of claims 13 to 15, wherein the subject is administered a nebulized solution or a suspension of GM-CSF, or a functional homologue thereof having at least 75% sequence identity to SEQ ID NO:1.
19. The use of any of claims 13 to 15, wherein the subject is administered a nebulized aerosol or inhaled powder form of GM-CSF, or a functional homologue thereof having at least 75% sequence identity to SEQ ID NO:1.
20. The use of any of claims 13 to 15, wherein the subject is administered a pegylated, liposomal or nanoparticle prepared form of GM-CSF, or a functional homologue thereof having at least 75% sequence identity to SEQ ID NO:1.
21. The use of any of claims 13 to 15, wherein the subject is administered GM-CSF by direct application of GM-CSF, or a functional homologue thereof having at least 75% sequence identity to SEQ ID NO:1, during bronchoscopy.
22. The use of any of claims 13 to 21, wherein the subject is a mammal.
23. The use of claim 22, wherein the mammal is a human.

24. The use of any of claims 13 to 23, wherein the GM-CSF enhances pulmonary host defence in said subject.

Dated this 25<sup>th</sup> day of March 2013

**Drugrecure ApS**  
Patent Attorneys for the Applicant  
PETER MAXWELL AND ASSOCIATES

GM-CSF sequence listing\_ST25 (2).txt  
SEQUENCE LISTING

&lt;110&gt; Drugrecure AS

<120> Enhancing Pulmonary Host Defense via Administration of  
Granulocyte-Macrophage Colony stimulating factor

&lt;130&gt; P1590 PC00

&lt;160&gt; 1

&lt;170&gt; PatentIn version 3.4

&lt;210&gt; 1

&lt;211&gt; 144

&lt;212&gt; PRT

&lt;213&gt; Homo Sapiens

&lt;400&gt; 1

Met Trp Leu Gln Ser Leu Leu Leu Leu Gly Thr Val Ala Cys Ser Ile  
1 5 10 15Ser Ala Pro Ala Arg Ser Pro Ser Pro Ser Thr Gln Pro Trp Glu His  
20 25 30Val Asn Ala Ile Gln Glu Ala Arg Arg Leu Leu Asn Leu Ser Arg Asp  
35 40 45Thr Ala Ala Glu Met Asn Glu Thr Val Glu Val Ile Ser Glu Met Phe  
50 55 60Asp Leu Gln Glu Pro Thr Cys Leu Gln Thr Arg Leu Glu Leu Tyr Lys  
65 70 75 80Gln Gly Leu Arg Gly Ser Leu Thr Lys Leu Lys Gly Pro Leu Thr Met  
85 90 95Met Ala Ser His Tyr Lys Gln His Cys Pro Pro Thr Pro Glu Thr Ser  
100 105 110Cys Ala Thr Gln Ile Ile Thr Phe Glu Ser Phe Lys Glu Asn Leu Lys  
115 120 125Asp Phe Leu Leu Val Ile Pro Phe Asp Cys Trp Glu Pro Val Gln Glu  
130 135 140