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(54) Title: THERMALLY CONDUCTIVE MATERIAL AND METHOD OF USING THE SAME

-200210

compound replaces air between "Nominally mating surfaces"

1000

compound

compound

carrier

1000-

"NOMINALLY MATING SURFACES" SHOWING HOW COMPOUND FILLS THE INTERSTICES.

#### (57) Abstract

A method for establishing a thermal interface (1000) between an electrical component (200) and a heat sink (100) including the selection of a compound having a melt temperature above the normal operating temperature of the component (200). The electrical component (200) initially reaches an initial operating temperature sufficient to deform or melt the compound and fill the spaces between the mating surfaces (210, 110) of the component (200) and heat sink (100). Upon cooling, a solidified thermal joint (1000) is permanently established which precludes the component (200) from heating beyond its normal operating temperature upon subsequent operation. As the normal operating temperature of the component (200) is below the melt point of the compound, the compound remains in a solid state. The compound is selected so as to deform only during initial component (200) operation so as to avoid the problems of liquefaction. An alternative compound is selected with the above attributes along with desired adhesive characteristics to fix the component (200) to the heat sink (100) with the compound therebetween at low closure forces.

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# THERMALLY CONDUCTIVE MATERIAL AND METHOD OF USING THE SAME

# 5 CROSS REFERENCE TO RELATED APPLICATIONS

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This application claims the benefit of the prior filed co-pending application Serial No. 09/276,064, filed March 25, 1999, which is a continuation-in-part of U. S. Patent No. 5,930,893, issued August 3, 1999, entitled "Thermally Conductive Material and Method of Utilizing the Same."

## BACKGROUND OF THE INVENTION

This invention relates to a thermally conductive compound and method of constructing a low impedance, thermal interface/joint between an electronic component and a heat sink the compound having desired adhesive and closure force characteristics.

Electrical components, such as semiconductors, transistors, etc., optimally operate at a pre-designed temperature which ideally approximates the temperature of the surrounding air. However, the operation of electrical components generates heat which, if not removed, will cause the component to operate at temperatures significantly higher than its normal operating temperature. Such excessive temperatures can adversely affect the optimal operating characteristics of the component and the operation of the associated device.

To avoid such adverse operating characteristics, the heat should be removed, one such method being a conduction of the heat from the operating component to a heat sink. The heat sink can then be cooled by conventional convection and/or radiation techniques. During conduction, the heat must pass from the operating component to the heat sink either by surface contact between the component and the heat sink or by contact of the component and heat sink surfaces with an intermediate

In some cases, an electrical insulator must be placed between the component and heat sink. Thus, a heat-conducting path must be established between the component and the heat sink surfaces with or without an electrical insulator The lower the thermal impedance of this heat therebetween. conducting path the greater the conductivity of heat from the component to the heat sink. This impedance depends upon the length of the thermal path between the component and heat sink as well as the degree of effective surface area contact therebetween.

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As the surfaces of the heat sink and component are not perfectly flat and/or smooth, a full contact of the facing/mating surfaces is not possible. Air spaces, which are poor thermal conductors, will appear between these irregular mating surfaces and thus increase the path's impedance to conduction. It is thus desirable to remove these spaces by utilizing a heat conducting medium, the medium designed to contact the mating surfaces and fill the resulting air spaces. The removal of these air spaces lowers the path's thermal impedance and increases the path's thermal conductivity. Thus, the conduct of heat along the thermal path is enhanced.

Mica insulators with silicone grease thereon, the silicone grease containing "heat conducting particles," such as a metallic oxide, have been inserted between the component and heat sink to establish a thermal path. The grease can also be applied directly to the mating surfaces in an attempt to fill the resulting voids therebetween. However, the non-soluble grease is messy and can contaminate the equipment, clothing and personnel.

Another proposed solution was to coat a polymeric insulating gasket with a metallic oxide thereon, the gasket being inserted between the component and heat sink during assembly. Such oxides can be expensive, toxic and adhesion to the gasket can be difficult. Moreover, the gasket may not

fully mesh with the irregular mating surfaces of the component and heat sink resulting in undesirable, inefficient air spaces therebetween.

The use of a compound comprising a paraffin wax with a softener such as petroleum jelly as the intermediate medium has been proposed in the Whitfield patents U. S. 4,299,715, 4,473,113, 4,466,483. The softener is intended to make the compound less brittle so it will not crack when coated onto the intermediate flexible insulator. However, this compound changes from a solid to a liquid state at the component's normal operating temperature which decreases its thermal conductivity. Also, the compound tends to flow away from the thermal path/joint which increases the impedance of the thermal path. Moreover, this flow can contaminate the surrounding surfaces.

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Also, the use of softeners makes the resulting compound more susceptible to abrasion or chemical solvents. Thus, the compound can be rubbed off its substrate carrier during handling or component cleaning. Also, the "blocking temperature" of the compound is lowered, i.e. the temperature at which the coated carriers will stick to each other. (If the blocking temperature is equal to or lower than the room temperature, the coated carriers will stick to each other.) Also, the softeners makes the compound stickier which makes it difficult to manipulate and susceptible to collection of foreign matters thereon. Such foreign materials can lead to component malfunctions, if not failure.

In response thereto I have invented a method of selecting a compound for establishing an efficient thermal joint between the surfaces of an electrical component and heat sink. With cognizance of a normal operating temperature of a selected component, the compound is selected to melt only during initial component operation by either external heat or a component temperature well above the component's normal

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operating temperature. Once initially liquified sufficiently deformable, the clamping pressure of the component to the heat sink causes the compound to fill the spaces resulting in the thermal path between the heat sink and the This action presents a thermal component. path of impedance which initiates an effective conduct of the heat from the component to the heat sink. The component temperature then falls to a temperature below the compound melt temperature and to its normal operating temperature which causes the compound to resolidify. Upon subsequent operation of the component, the component reaches only the component's normal temperature as the previously established compound joint formed during initial component operation remains in a solid state. As the compound does not melt during subsequent component operation, a higher thermal conductivity is maintained. Moreover, as compounds of high molecular weight can be used in the above process, a higher thermal conductivity will result with or without the use of heat conductive particles.

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As above discussed, the shorter the path between the component and heat sink the lower the thermal resistance. Thus, the lower the force required to reduce the thickness of the thermal compound interface the easier to reduce the thermal resistance of this path. This force must be coordinated with the closure force. By closure force I mean the aforementioned clamping pressure/force needed to initially join the component, thermal interface and heat sink.

It is known to have a film, e.g., a diamond film, along the component interface which serves as a "heat spreader". Any localized heat on the component will be dispersed along the film in all directions (isotropic) which enhances the transmission of the heat from the component to the heat sink.

The diamond films may be rigid, inflexible and fragile. In order to manipulate these films the film must

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have a thickness of at least a few hundred microns. However, these films result from a slow chemical vapor deposition process. The deposit process is a slow one, i.e., only about one micron/hour. In order to take advantage of the basic thin film and its high thermal conductivity, it is desirable to have a thermal interface compound that can interface the film with the component and heat sink at a very low closure force. Otherwise, the film will break at a high closure force.

It is also desirable that the interface material become flowable during initial component operation and/or deformable under low closure forces but not so flowable as to migrate away from the interface area. However, the interface material should not be so viscous that it requires high closure forces for component mounting which could damage the component or any associated "heat spreader" film.

Thermal resistance and closure forces are thus related. Since thermal resistance is lower when the thermal path is reduced, it is desirable that a very thin interface be formed at low closure forces. Otherwise, a large closure force may damage the component and/or intermediate film.

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Most electrical components cannot withstand closure forces of more than 10-20 psi. The diamond film is even more sensitive to closure forces. Known interface materials require hundreds of psi to achieve a path having a low thermal resistance. Thus, a closure force problem exists. It is noted that the ASTM test standard on thermal phase change materials is done at 438 psi, well above the maximum closure force that should be applied to an electronic component. Thus, the problem may not be a recognized one.

It is desirable to have an interface material at room temperature that will change phase to a flowable state at elevated temperatures and/or deformable at low closure forces. The material should not be so viscous that it requires large closure forces to deform so as to obtain the desired component

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interface. The material should not migrate away from the component/heat sink under elevated temperatures or under closure forces. A very thin thermal interface at low closure forces should be created to preclude damage to the component and/or heat sink and/or any intermediate film therebetween.

The thermal material need not be used with a substrate carrier. A substrate increases the distance between the electrical component and the heat sink and thus increases the thermal resistance. Thus, the material should be free standing if a carrier is not desired.

Currently, pressure sensitive adhesive (PSA) strips along the edges of the thermal interface material adhere the thermal interface to the heat sink. However, these strips can only partially cover the interface material as the strips have high thermal impedance and increase the thermal path. At times these strips do not provide sufficient adhesion. Moreover, foreign matter can migrate between the PSA strips and the heat sink which increases thermal resistance.

Thus, the thermal interface material should be flexible, easy to handle at room temperature and dry to the touch. It also should flow at a temperature above room temperature and deform under low closure forces. The material should adhere to the heat sink and component surfaces but be removable therefrom by heat application. Also, the interface material should be able to be stored on the heat sink for transport and subsequent use.

In response thereto I have arrived at a process for selecting an interface compound that meets the above objectives as well as presents the following characteristics:

1. The interface material can be manufactured in sheet or roll form, cut to a desired shape and then placed on the heat sink for subsequent sandwiching between the

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electrical component and the heat sink or otherwise compressed on the heat sink for adherence upon cooling.

- 2. The interface material can be melted by either external heat or the heat generated by the initial component operation.
- 3. Upon cooling below its melt/phase change temperature, the material provides sufficient adhesion to maintain the electric component to the heat sink. Thus, mechanical fasteners, e.g., PSA strips, are not required.
- 4. As long as the operating temperature of the component remains below the melt/phase change temperature of the thermal interface material, the component remains firmly adhered to the heat sink.
- 5. The projection of external hot air onto the component will increase the thermal interface temperature so as to reduce the adhesive bond for component removal.

It is therefore a general object of this invention to provide an improved compound and method of selecting the same for reducing the impedance to heat flow through a thermal joint established between an electrical component and a heat sink while providing an effective adhesive bond.

Another object of this invention is to provide a compound and method, as aforesaid, which is initially liquified/deformable during initial component operation but remains in a solid state during subsequent component use.

A further object of this invention is to provide a compound and method, as aforesaid, wherein the compound does not melt at a subsequent normal operating temperature of the component but can be removed upon the application of external heat at a higher temperature thereto.

A more particular object of this invention is to provide a compound, as aforesaid, which is easily coated onto a substrate carrier for placement between the component and heat sink.

Another object of this invention is to provide a compound and method, as aforesaid, which provides a high thermal conductivity relative to previous compounds utilizing material softeners.

A further object of this invention is to provide a compound, as aforesaid, which is easy to manipulate and does not contaminate surrounding personnel and equipment.

Another particular object of this invention is to provide a compound, as aforesaid, which includes a material therein so as to avoid the problems associated with material softeners.

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A further object of an embodiment of this invention is to provide a compound which initially adheres the electrical component to the heat sink at a low closure force.

Another object of this invention is to provide a compound, as aforesaid, which deforms under low closure forces of the component to the heat sink.

Still another object of this invention is to provide a compound, as aforesaid, which may be effectively utilized with "heat spreader" type films.

Another particular object of this invention is to provide a compound, as aforesaid, which can be used in sheet, roll or rod form and on printed circuit boards.

Other objects and advantages of this invention will become apparent from the following description taken in connection with the accompanying drawings, wherein is set forth by way of illustration and example, an embodiment of this invention.

# BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a diagrammatic view of the irregularities of the mating surfaces of an electrical component and a heat sink;

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Fig. 2 is a diagrammatic view, on an enlarged scale, of a semiconductor and a heat sink with a compound coated on an intermediate carrier substrate;

Fig. 3 is a diagrammatic view showing the compound, as coated on a carrier substrate, the substrate being positioned between the mating surfaces of an electrical component and heat sink; and

Fig. 4 illustrates first and second time/temperature curves of an electrical component in connection with using the selected thermally conductive material.

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### DESCRIPTION OF THE PREFERRED EMBODIMENT

Turning more particularly to the drawings, Fig. 1 diagrammatically shows a surface 210 of an electrical component 200, e.g. as a transistor, semiconductor, etc., facing a heat sink 100 surface 110. It is understood that such surfaces 110, 210 are not smooth, such irregularities being diagrammatically shown. Upon mating the surfaces 110, 210, air spaces will appear between these irregular surfaces. As air is a poor conductor of heat, it is desirable to fill these resulting voids with a heat conducting medium so as to lower the impedance of the thermal joint/path 1000 established between the component 200 and heat sink 100. The lower the impedance of the thermal path the more efficient the conduct of heat from component 200 to heat sink 100.

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Fig. 2 shows a compound carrier in the form of a flexible gasket 300 which may act as an electrical insulator between surfaces 110, 210. The gasket 300 may also be embedded with metallic oxide/heat conducting particles so as to enhance the heat conductivity along the thermal path 1000. However, as the gasket 300 alone may not fill all the air spaces appearing between the irregular mating surfaces 110, 210, the gasket 300 can act as a substrate carrier for a compound designed to fill these resulting air spaces.

I have devised a method of selecting a compound which can either be coated onto the facing surfaces of the component 200 or heat sink 100 or onto opposed surfaces of an intermediate carrier substrate 300 so as to optimally fill the resulting air spaces and present an efficient thermal joint between the component 200 and the heat sink 100.

onto a substrate 300 and inserted between the component 200 and heat sink 100 during assembly. As the compound is initially in a solid state, it does not fill all the resulting voids between the mating surfaces 110, 210. Thus, during initial component

200 operation, the thermal path is an inefficient one. inefficiency causes the component 200 to reach a temperature above its normal operating temperature as well as the melt temperature of the chosen compound. The operating component 200 will thus heat the compound to its melt temperature causing the compound to liquify or deform and fill the voids between the nominally mating surfaces 110, 210 of the component 200 and the heat sink 100. Once the voids are so filled an efficient thermal joint 1000 is established which enhances conductivity along the thermal joint 1000. In turn, more heat flows from the component 200 to the heat sink 100 such that the component temperature is reduced to its normal operating During this component cool down, the compound temperature drops below its melt temperature which returns the compound to its solid state, the previously established joint 1000 being maintained.

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Upon subsequent operation of the electrical component 200 the component 200 will heat only to its normal operating temperature as the previously established thermal joint 1000 conducts heat from component 200 to heat sink 100. The compound will not liquify/deform as the normal operating temperature of the component 200 remains below the compound's melt temperature. As the compound cannot liquify, it maintains a higher thermal conductivity relative to the conductivity of its liquid state. Moreover, as the compound will not flow away from the thermal joint the joint integrity is maintained.

Utilizing the above principles various compounds can be selected so as to achieve an efficient thermal joint 1000.

As a first example, a compound comprises 95 parts of a paraffin wax having a 51°C melting point. To this paraffin I add five parts by weight a 28% ethylene/vinyl acetate copolymer hardener with a 74°C melting point. One such copolymer is an Elvax resin available from the Dupont Company,

Polymer Products Division of Wilmington, Delaware. The element proportions are selected so that the resulting compound will have a melt temperature above the normal operating temperature of the component. Upon heating the compound to a temperature beyond its melt temperature, i.e. approximately 52°C, the viscosity of the compound will decrease so that a carrier 300 can be dip coated into the compound.

The carrier can be a .002 inch thick polymer insulating material with or without heat conducting materials impregnated therein. The compound resolidifies into a thin layer about .001 inch thick on the opposed surfaces of the polyamide carrier 300.

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When the temperature of the electrical component 80°C, the compound is heated beyond reaches temperature, i.e. 52°C, so as to fill the empty spaces appearing between the heat sink 100 and component 200 surfaces. compound action will reduce the thermal impedance of the thermal joint 1000 between the component 200 and heat sink 100 such that the component 200 will eventually return to its normal operating temperature. (The thermal impedance of this compound is approximately .179C/W.) As the component must initially operate beyond the compound melt temperature so as to heat the compound to its melt temperature, it is understood that by choosing a wax and copolymer with specific melt temperatures, the melt temperature of the resulting compound can be varied and chosen according to the initial and normal operating temperatures of the component.

Fig. 4 diagrammatically relates the temperatures of the component 200 to the melt temperature of the compound. As shown in Fig. 4, the first heat up curve 900 of the component 200 shows the component reaching a maximum temperature at T1. Upon reaching this temperature, the compound will be heated beyond its melt temperature T2 so as to become sufficiently

deformable to fill the spaces between the component surface 210 and heat sink surface 110. Upon these spaces being filled, the thermal impedance of the path between the component and the heat sink is reduced which reduces the temperature of the component 200 to the desired component operating temperature T3, this temperature being below the chosen compound melt temperature T2.

Upon a subsequent operation of the component 200, the curve 950 shows the temperature of the component 200 reaching a maximum of T3, the component's normal operating temperature. As the temperature of the component 200 will not increase beyond T3, due to the previously established efficient thermal joint 1000, the component temperature T3 remains below the compound melt temperature T2. Thus the compound will remain in a solid state during normal operation of the component, it being understood that the compound will have a higher conductivity than when in a liquid state. Thus, a more efficient conduct of heat through thermal joint 1000 will occur as compared to the prior art in which the compound is designed to liquify.

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Moreover, as the resulting compound will remain in a generally solid state at the normal operating temperatures of the component 200 and not phase into a liquid state the problems with the prior art have also been addressed, e.g. the elimination of the messy liquids and a compound flow away from the thermal joint.

It is also noted that as the initial heat up curve allows the component to heat beyond its normal operating temperature, compounds having high melt temperatures can be used. Thus, compounds having high molecular weights can be used, it being understood that such compounds have a better conductivity as opposed to compounds of lower molecular weight.

For example, a synthetic wax having a melt point of 100°C and a molecular weight of approximately 1000 can be used,

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the wax being a type of wax known as a Fischer-Tropsch wax. The wax was coated onto a carrier 300 as above described. Upon initial operation of a semiconductor the semiconductor reached a temperature of 105°C which melted the wax. Upon the wax establishing the thermal joint the temperature of the semiconductor fell to 82°C. The thermal conductivity of the wax at 150°C is 0.191W/mK while at 82°C is 0.242W/mK. As the compound will not reach its melt temperature during subsequent use, the thermal conductivity of the joint 1000 will be greater than if the compound is liquified as found in the prior art.

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Accordingly, it is desirable to have the electrical component initially heat to a temperature considerably above its normal operating temperature so as to melt the compound. Thus, the addition of the Elvax to the wax or the use of a wax of a high molecular weight can be used which results in a material which initially presents an inefficient thermal joint. (It is noted that the compound should also be relatively hard the normal undeformable by mounting/clamping forces utilized in mounting the component to the heat sink.) inefficient thermal joint allows the component 200 to heat to a temperature which will melt the component so as to establish a thermal joint between the component 200 and heat sink 100. This joint will reduce the component temperature and allow the compound to resolidify. Due to this joint 1000 presence, the component 200 will not reach a temperature to subsequently melt the compound. Thus, the integrity of joint 1000 is maintained. Moreover, the use of the Elvax hardener in the compound or use of a medium of high molecular weight solves the problems associated with the prior art.

Although the above has been discussed without the use of any heat conducting particles in the compound or barrier, it is also understood that heat conducting particles

may also be used which may further decrease the thermal impedance of the thermal joint/path.

As above set forth, I have described an interface compound in which the heat needed for melting may be generated by the component itself. Also, it is advantageous to use externally applied heat to either initially cause a flow and/or reflow the compound interface. The application of external heat to the compound interface can be utilized electrical components can withstand externally applied heat above its maximum operating temperature. It is also desirable that when the component cools and the compound interface returns to a solid state that it presents an adhesive characteristics sufficient to adhere the component and heat sink thereto.

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It is also advantageous that the compound be deformable at low closure forces so as to assist the migration of the compound into the air spaces whether prior to or after the compound is melted.

It is also advantageous to vary the compound interface formulation so that the compound interface can have various adhesion characteristics at different temperatures for use with various low closure forces.

In some applications the components and the heat sink must be separated by an electrically insulating medium. In other applications it is not required and in these cases a thermally conductive compound can also be electrically conductive.

To achieve these characteristics I have discovered one compound which comprises 25 parts of paraffin wax having a melting point of 51°C. To this paraffin I add six parts by weight of 28% ethylene/vinyl acetate copolymer having a melt temperature of approximately 74°C. Such copolymer is an Elvax resin available from the DuPont Company. To this mixture 69 parts by weight of zinc oxide heat conducting particles may be

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added. These ingredients are mixed together. These proportions are found to provide a compound having a melt temperature of about 57°C as well as provide the following values:

- 1. A thermoplastic material with sufficient cohesiveness which can be laminated, molded, die-cut and physically handled during normal installation without disintegrating.
- 2. A thermoplastic material which can firmly hold electric components to heat sinks. The adhesive bond between the components and the aluminum heat sink is about 25 psi.
  - 3. A thermoplastic material which is easily deformed under low closure forces on electronic components to form a very thin interface, the closure forces being below a fracture force damaging the component.
  - 4. A thermoplastic material which will not migrate away from the interface area during closure forces and subsequent component operation.
- I have simultaneously achieved the above characteristics with the last above-described formulation. It is noted that the reduction of the wax from the first described example from 95 parts to 25 parts along with an increase of the copolymer from five to six parts, increases the adhesion characteristic of the compound.
- My invention is, however, not to be limited to the above-described example as the materials of various melt temperature and proportions can be used to vary the compound melt temperature depending on the operating temperature of the component to be used thereon. The melt temperature in the latter example approaches that of the wax (51°C) as the proportion of wax is greater than the co-polymer. (Formulas for computing a compound melt temperature based on the melt temperatures of the compound parts are known.) For example:

 $MT = (MT1 \times %M1) + (MT2 \times %M2)$ 

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where MT = compound melt temperature

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MT1 = material 1 melt temperature

MT2 = material 2 melt temperature

Thus, various modifications may be made by choosing different characteristics, e.g., the melt points for the paraffin and the acetate copolymer. For example, if the wax and copolymer components had the same 74°C melt temperature, the compound will have a 74°C melt temperature. The adhesion will be about 25 psi up to this 74°C melt temperature. however, the melt temperature of the paraffin is considerably higher (100°C) than the copolymer, the adhesiveness of the compound would begin to diminish from about 25 psi at 74°C to almost 0 psi at 100°C as the adhesive quality decreases after the copolymer melt temperature is reached. Although an increase in the copolymer parts will increase the adhesion characteristics, this increase must be balanced against the increase in viscosity and stickiness of the compound. increase in the wax percentage in the compound must be balanced against the increase in fluidity of the resulting compound.

It is also understood that particles which are electrically conductive can be utilized. The use of the electrically conductive metal particles rather than electrically insulating metal oxide particles can enhance the heat transfer through the interface material. As such, I have used very small size metallic silver particles instead of the zinc oxide particles in the above example. The same volume as the volume of zinc oxide was used. In both examples, the above features were obtained.

As an example of use, a compound interface similar to the one above described (25 parts wax/six parts copolymer) was screen printed via a stencil of appropriate thickness onto a preheated aluminum heat sink to present a 0.005 inch pad. The heat sink was preheated to a temperature above the

reflow/melt temperature of the compound. When the heat sink cooled to room temperature the thermal pad was firmly adhered to the heat sink surface.

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The above compound interface can also be extruded in bulk, e.g., to form rods of material having various cross sections, e.g., various square, round, etc. shapes. The rod is pressed against a heat sink preheated above the compound melt This contact and removal of the rod deposits a temperature. pad of the thermal material thereon. The electric component is then pressed against the thermal pad which further flows the Upon cooling, a very low thermal resistance thermal material. of the thermal interface occurs between the heat sink and component with a bead of the compound interface formed around the exterior of the component at its juncture with the heat The compound interface firmly adheres the heat sink to sink. the component.

The above interface may be first applied to the heat sink for later connection of the component thereto upon heating the heat sink and interface material to the melt temperature with external heat or using the heat of component operation. The interface may be utilized with hot melt glue equipment as well as in computer controlled syringes for deposit on the desired heat sink surface and/or component surface. This resulting bead precludes dirt from entering the thermal interface.

Another example is that the compound interface can be screen printed onto circuit boards. The soldering paste can then be screen printed onto the board. A clamping device then clamps the components to the circuit board with the compound The closure force provided by the interface therebetween. to may initially cause deformation device clamping initial compound underlying compound to cause an The board can then be placed in a soldering migration/flow. oven which simultaneously solders the components and causes the

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compound interface to flow creating the desired thermal interface.

The above compound may also be utilized with computer controlled syringes or with melt glue equipment to deposit the compound interface on the desired surface.

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Other objects and advantages of the above embodiments will become apparent from the above description taken in connection with the accompanying drawings.

It is to be understood that while certain forms of this invention have been illustrated and described, it is not limited thereto, except in so far as such limitations are included in the following claims and equivalents thereof.

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#### CLAIMS

Having thus described the invention, what is claimed as new and desired to be secured by Letters Patent is as follows:

1. For use as a heat-conducting medium between mating surfaces of an electrical component and a heat sink, a material substance formulated to change state from a solid form to a deformable form only at a melt temperature above a predesigned normal operating temperature of the component, the deformable form of the material substance forming a thermal joint upon a transfer of heat to said substance causing said material substance to reach the melt temperature, said material substance changing state to a solid form upon a return to a temperature below said melt temperature, said material substance forming said thermal joint remaining in said solid form upon a subsequent operation of the component, said solid joint precluding said component from subsequently operating above said normal component operating temperature and at said material substance melt temperature

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2. The material as claimed in claim 1 wherein said material substance comprises a combination of paraffin and a copolymer hardener, the resulting melt point of said combination corresponding to said melt temperature.

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- 3. The material as claimed in claim 1 wherein said material substance in said deformable form adheres said component to said heat sink with said material substance therebetween in response to a force urging said component against said material substance.
- 4. The material as claimed in claim 3 wherein said force is below a fracture force of said component.
- 5. The material as claimed in claim 3 further comprising a film on said component for dissipation of forces therefrom, said force below a fracture force of said film.
- 6. The material as claimed in claim 1 wherein said 15 heat transfer is provided by an initial operation of said component.
- 7. The material as claimed in claim 1 wherein said material substance comprises a combination of a wax and copolymer having an adhesive characteristic for providing said component adherence.

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- 8. A method of establishing a thermal joint for conduct of heat between opposed surfaces of an electrical component and a heat sink, the electrical component having a normal operating temperature comprising the steps of:
- 5 choosing a compound having a melt temperature above a normal operating temperature of an electrical component;
  - inserting the compound between the component and a heat sink;
  - initially heating the compound to at least said compound melt temperature, the compound at said melt temperature deformable for flowable movement into air spaces between opposed surfaces of the component and associated heat sink to establish a thermal joint therebetween;
    - allowing the compound to return to a solid state, a subsequent operation of the component heating the component to only said normal operating temperature due to said thermal joint established between the component and heat sink, said compound in said joint remaining below said operating temperature in said solid state whereby to retain said established thermal joint.

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9. The method as claimed in claim 8 further comprising the step of urging said component at a selected force onto the heat sink with the component therebetween, said force initially urging the compound into the air spaces.

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10. The method as claimed in claim 9 wherein said urging step is performed after said heating step.

11. The method as claimed in claim 9 further comprising the step of positioning a film on the surface of the component for dissipation of heat therefrom, said urging step force below a fracture force of said film.

- 12. A method of establishing a thermal joint for conduct of heat between opposing surfaces of an electrical component and a heat sink, the electrical component having a normal operating temperature comprising the steps of:
- 5 choosing a compound having a melt temperature above a normal operating temperature of an electrical component;

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- clamping at a selected force a solidified form of the compound between the opposing surfaces of a component and a heat sink, said clamping force deforming said compound for migration into air spaces between the opposed surfaces of the component and associated heat sink;
- initially heating the compound to said melt temperature to cause the compound to deform for flowable movement into air spaces between said opposing surfaces of the component and heat sink;
- cooling the compound to a solid state to form said thermal joint, a subsequent operation of the component heating the component to only said normal operating temperature due to said thermal joint between the component and heat sink remaining below said melt temperature and in said solid state.

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13. The method as claimed in claim 12 further comprising the step of positioning said compound on opposed surfaces of a substrate, said substrate inserted between the component and heat sink prior to said clamping step.

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14. The method as claimed in claim 12 further comprising the step of positioning an isotropic film on said component surface, said clamping force below a fracture force of said film.

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- 15. The method as claimed in claim 12 wherein said clamping step is performed after said heating step.
- 16. The method as claimed in claim 12 wherein said compound has an adhesion characteristic sufficient to adhere to the heat sink and the component.
- 17. The method as claimed in claim 16 wherein said compound comprises a wax and copolymer, said copolymer 20 providing said adhesion characteristic.
  - 18. The method as claimed in claim 17 wherein the melt temperature of said copolymer is greater than the melt temperature of said compound containing said copolymer.

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- 19. The method as claimed in claim 12 wherein said adhesion characteristic joins the component to the heat sink upon said component cooling.
- 20. The method as claimed in claim 12 wherein said clamping force presents a bead of said compound about a juncture of said component and said heat sink to form a seal therearound.
- 21. The method as claimed in claim 12 wherein said clamping force is below a fracture force of said component.
  - 22. The method as claimed in claim 19 further comprising the step of:
- applying external heat to said compound to raise the compound temperature above said melt point to allow removal of the component from said compound or said compound from the heat sink or both.

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- 23. A method of establishing a thermal joint for conduct of heat between opposing surfaces of an electrical component and a heat sink, the electrical component having a normal operating temperature comprising the steps of:
- 5 choosing a compound having a melt temperature above a normal operating temperature of an electrical component to be positioned on a heat sink, said compound having an adhesion characteristic below said normal operating temperature sufficient to adhere to the heat sink and the component;
  - heating the compound to at least said compound melt temperature, the compound at said melt temperature being in a deformable state;
  - urging at a selected force the component to the heat sink with the compound therebetween, the compound above said melt temperature flowing into air spaces between the component and associated heat sink to establish a thermal joint therebetween;
  - allowing the compound to return to a solid state, the adhesion characteristic of the compound securing the component to the heat sink subsequent operation of the component heating the component to only said normal operating temperature due to said thermal joint established between the component and heat sink, said compound in said joint remaining below said melt temperature in said solid state whereby to retain said established thermal joint and maintain the component to the heat sink.
- 30 24. The method as claimed in claim 23 wherein said step of heating the compound is provided by an initial operation of said component.

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- 25. The method as claimed in claim 23 wherein said step of heating the compound is provided by application of external heat on said compound.
- 5 26. The method as claimed in claim 23 wherein said selected force is below a fracture force of said component.

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thermal joint;

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- 27. A method of establishing a thermal joint on a support surface for a selected component comprising the steps of:
  - choosing a compound having a melt temperature above a highest normal operation of an electrical component to be placed on the support surface, said compound having an adhesion characteristic sufficient to adhere to the component and the support surface;
- applying said compound to the support surface at a point of subsequent juncture of said selected component with the support surface;
  - clamping said selected component to said support surface;
    heating said support surface to said compound melt
    temperature, the compound at said melt temperature
    flowable into spaces between said selected component
    and said compound and said support surface to form a
  - cooling said compound to a solid state, the adhesion characteristic of the compound joining the compound to the component and support surface.
- 28. The method as claimed in claim 27 wherein said clamping step is at a force sufficient to initially deform said compound, said force initially urging said compound into the spaces.
  - 29. The method as claimed in claim 28 wherein said support surface is a circuit board.

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30. A method of establishing a thermal joint on a heat sink comprising the steps of:

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choosing a compound having a melt temperature above a normal operating temperature of a selected electrical component and a desired adhesion characteristic;

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choosing a heat sink;

- elevating the temperature of the heat sink to at least said compound melt temperature;
- depositing a preselected amount of said compound on said heat sink;
- cooling said deposited compound whereby to adhere said compound to said heat sink corresponding to said adhesion characteristic.
- 31. The method as claimed in claim 30 wherein said compound is in a bulk form having a cross sectional area corresponding to a desired configuration of said compound deposit on said heat sink and wherein said depositing step comprises pressing said compound bulk against said preheated heat stick for a preselected time to deposit said compound amount thereon.

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32. The method as claimed in claim 31 further comprising the steps of:

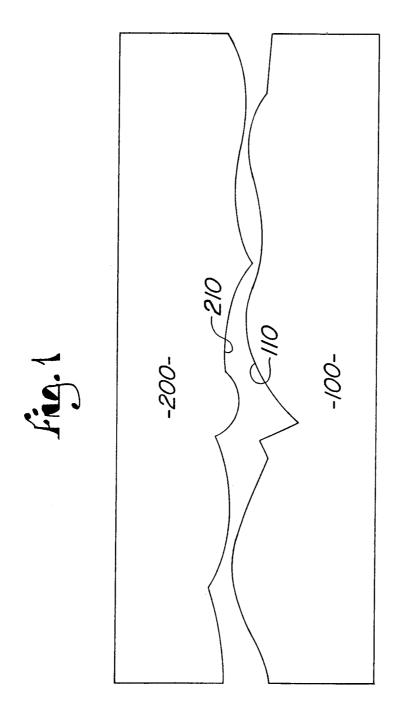
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displacing said compound bulk away from said heat sink; conveying said heat sink past said compound bulk into a position for subsequent performance of said pressing step.

- 33. The method as claimed in claim 30 further comprising the step of urging an electrical component against said compound deposit preceding said cooling step, whereby said compound adheres to said component upon said subsequent cooling.
- 34. The method as claimed in claim 33 wherein said urging step is at a force to present said compound at a desired thickness between said component and heat sink.
- 35. The method as claimed in claim 33 wherein said urging step is at a force below a fracture force of the component.
  - 36. The method as claimed in claim 33 wherein said component includes a heat dissipating film thereon, said urging step being at a force below a fracture force of a said film.



"NOMINALLY MATING SURFACES"



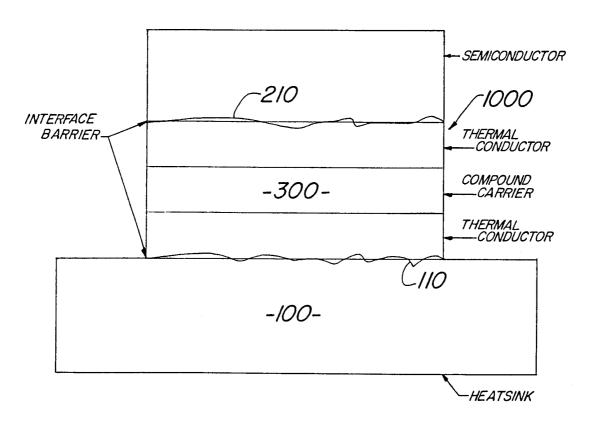


ILLUSTRATION OF THERMAL INTERFACE BARRIERS BETWEEN SEMICONDUCTOR AND THERMAL COMPOUND AND BETWEEN THERMAL COMPOUND AND HEATSINK BEFORE THE MATERIAL HAS BEEN DEFORMED AND/OR FLOWED INTO THE INTERSTICES.

Fig. 3

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INDICATE SURFACES AIR BETWEEN "NOMINALLY MATING SURFACES"

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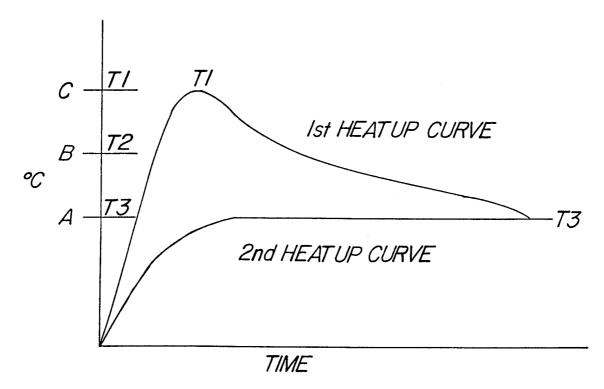
COMPOUND
CARRIER

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"NOMINALLY MATING SURFACES" SHOWING HOW COMPOUND FILLS THE INTERSTICES.

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A: DESIRED SEMICONDUCTOR OPERATING TEMP.

B: COMPOUND MELT TEMP. >65°C

C: HIGHEST TEMP. ATTAINED BY SEMICONDUCTOR

# INTERNATIONAL SEARCH REPORT

International application No. PCT/US00/07412

A. CLASSIFICATION OF SUBJECT MATTER  IPC(7) :F28F 7/00  US CL :29/890.03; 165/185; 361/704								
According to International Patent Classification (IPC) or to both national classification and IPC								
B. FIELDS SEARCHED								
Minimum documentation searched (classification system followed by classification symbols)								
U.S. : 29/890.03; 165/185; 361/704								
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched NONE								
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) WEST								
C. DOCUMENTS CONSIDERED TO BE RELEVANT								
Category*	Citation of document, with indication, where app	propriate, of the relevant passages	Relevant to claim No.					
X  Y	US 4,471,837 A (LARSON) 18 Septer 33).	mber 1984, col. 4, lines 30-	1,3-6,8-10,12- 13,19,23,25,27- 28,30-34					
			11,14-16,21- 22,26,35-36					
X	US 4,193,445 A (CHU et al) 18 March	n 1980, col. 3, lines 21-28.	1,3-6,30					
Y	US 5,141,050 A (SCHUFT) 25 Augus	t 1992, see front page.	20					
Y	US 4,979,074 A (MORLEY et al) 18 page.	3 December 1990, see front	29					
☐ Furtl	her documents are listed in the continuation of Box C	. See patent family annex.						
"A" do	pecial categories of cited documents:	"T" later document published after the int date and not in conflict with the app the principle or theory underlying th	lication but cited to understand					
	be of particular relevance  rlier document published on or after the international filing date	"X" document of particular relevance; th	ne claimed invention cannot be					
"L" do	ocument which may throw doubts on priority claim(s) or which is	considered novel or cannot be considered to involve an inventive step when the document is taken alone						
	ted to establish the publication date of another citation or other secial reason (as specified)	"Y" document of particular relevance; the	ne claimed invention cannot be					
	ocument referring to an oral disclosure, use, exhibition or other eans	considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art						
*P* do	ocument published prior to the international filing date but later than e priority date claimed	*&* document member of the same patent family						
Date of the	e actual completion of the international search  2000	Date of mailing of the international search report 2 4 AUG 2000						
Commission Box PCT	mailing address of the ISA/US oner of Patents and Trademarks on, D.C. 20231	LEONARD R. LEO						
Facsimile N		Telephone No. (703) 308-0861	X					