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#### (54) PREPARATION OF CONJUGATED DIENE POLYMERS BY USING AN IRON-BASED CATALAYST SYSTEM

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#### (57) ABSTRACT

A process for preparing conjugated diene polymers by polymerizing conjugated diene monomers in the presence of a catalyst composition that is formed by combining an iron-containing compound, a hydrogen phosphite, and an organoaluminum compound.

#### PREPARATION OF CONJUGATED DIENE POLYMERS BY USING AN IRON-BASED CATALAYST SYSTEM

#### PRIOR PATENT INFORMATION

**[0001]** This application is a continuation-in-part of U.S. Ser. Nos. 09/172,305, 09/173,956 and 09/439,861.

#### TECHNICAL FIELD OF THE INVENTION

**[0002]** The present invention is directed toward a process for producing conjugated diene polymers by polymerizing conjugated diene monomers in the presence of an iron-based catalyst composition that is formed by combining an ironcontaining compound, a hydrogen phosphite, and an organoaluminum compound. The preferred embodiments are directed toward the synthesis of polybutadiene polymers.

#### BACKGROUND OF THE INVENTION

[0003] Polybutadiene is the most common conjugated diene polymer. One type of polybutadiene is syndiotactic 1,2-polybutadiene, which is a crystalline thermoplastic resin that has a stereoregular structure in which the side chain vinyl groups are located alternately on the opposite sides in relation to the polymeric main chain. Syndiotactic 1,2-polybutadiene is a unique material that exhibits the properties of both plastics and rubber, and therefore it has many uses. For example, films, fibers, and various molded articles can be made from syndiotactic 1,2-polybutadiene. It can also be blended into and co-cured with natural or synthetic rubbers.

**[0004]** Syndiotactic 1,2-polybutadiene can be made by solution, emulsion, or suspension polymerization. Generally, syndiotactic 1,2-polybutadiene has a melting temperature within the range of about 195° C. to about 215° C., but due to processability considerations, it is generally desirable for syndiotactic 1,2-polybutadiene to have a melting temperature of less than about 195° C.

**[0005]** Various transition metal catalyst systems based on cobalt, titanium, vanadium, chromium, and molybdenum for the preparation of syndiotactic 1,2-polybutadiene have been reported. The majority of these catalyst systems, however, have no practical utility because they have low catalytic activity or poor stereoselectivity, and in some cases they produce low molecular weight polymers or partially crosslinked polymers unsuitable for commercial use.

**[0006]** The following two cobalt-based catalyst systems are well known for the preparation of syndiotactic 1,2-polybutadiene on a commercial scale: (1) a catalyst system containing cobalt bis(acetylacetonate), triethylaluminum, water, and triphenylphosphine (U.S. Pat. Nos. 3,498,963 and 4,182,813), and (2) a catalyst system containing cobalt tris(acetylacetonate), triethylaluminum, and carbon disulfide (U.S. Pat. No.3,778,424). These cobalt-based catalyst systems also have serious disadvantages.

**[0007]** The first cobalt catalyst system referenced above yields syndiotactic 1,2-polybutadiene having very low crystallinity. Also, this catalyst system develops sufficient catalytic activity only when halogenated hydrocarbon solvents are used as the polymerization medium, and halogenated solvents present toxicity problems.

**[0008]** The second cobalt catalyst system referenced above uses carbon disulfide as one of the catalyst components. Because of its low flash point, obnoxious smell, high

volatility, and toxicity, carbon disulfide is difficult and dangerous to use, and requires expensive safety measures to prevent even minimal amounts escaping into the atmosphere. Furthermore, the syndiotactic 1,2-polybutadiene produced with this cobalt catalyst system has a very high melting temperature of about 200-210° C., which makes it difficult to process the polymer. Although the melting temperature of the syndiotactic 1,2-polybutadiene produced with this cobalt catalyst system can be reduced by employing a catalyst modifier as a fourth catalyst component, the presence of this catalyst modifier has adverse effects on the catalyst activity and polymer yields. Accordingly, many restrictions are required for the industrial utilization of these cobalt-based catalyst systems.

**[0009]** Another useful polybutadiene is amorphous highvinyl polybutadiene, which is a rubbery elastomer that has a stereoirregular or atactic structure in which the vinyl groups as side chains are located randomly on the opposite sides in relation to the polymeric main chain. Amorphous high-vinyl polybutadiene rubber is utilized in a variety of applications. For example, amorphous high-vinyl polybutadiene rubber is useful in tire tread compositions because it provides both good traction and low rolling resistance.

**[0010]** Amorphous high-vinyl polybutadiene is commonly produced by anionic polymerization utilizing alkyllithium initiators which are modified with Lewis bases such as chelating diamines, ethers, tertiary amines, acetals, ketals, and compounds of similar structures. The vinyl content of polybutadiene prepared utilizing such Lewis base modifiers decreases drastically as the polymerization temperature is increased. For this reason, it is difficult to prepare high-vinyl polybutadiene at high polymerization temperatures utilizing Lewis base modifiers. Because high polymerization remperatures generally promote a higher polymerization rate, it is often desirable to utilize moderately high temperatures in commercial polymerizations in order to maximize productivity as well as to reduce the production cost.

[0011] Japanese patent JP-A-7306939 discloses a process for polymerizing 1,3-butadiene into amorphous 1,2-polybutadiene by using a catalyst system comprising a soluble chromium(III) compound, a trialkylaluminum compound, and a dialkyl hydrogen phosphite. The resulting polymer product has an extremely high molecular weight and contains a portion of gel.

**[0012]** U.S. Pat. No. 4,912,182 discloses a process for synthesizing amorphous high-vinyl polybutadiene by polymerizing 1,3-butadiene in the presence of a catalyst system comprising a molybdenum-containing compound prepared by modifying molybdenum pentachloride, molybdenum trichloride, or molybdenum tetrachloride with an alkyl carboxylic acid or an aryl carboxylic acid; and an aluminum-containing compound prepared by modifying a trialkylaluminum compound with 2-allylphenol. This molybdenum-based catalyst system only has only moderate activity, and the polymer yields are about 75%.

**[0013]** Coordination catalyst systems based on iron-containing compounds, such as the combination of iron(III) acetylacetonate and triethylaluminum, have been known for some time, but they have shown very low catalytic activity and poor stereoselectivity for the polymerization of conjugated dienes. The product mixture often contains oligomers, low molecular weight liquid polymers, and partially crosslinked polymers. Therefore, these iron-based catalyst systems have no industrial utility.

[0014] Because conjugated diene polymers are useful, and since the catalysts known heretofore in the art for polymer-

izing conjugated dienes have many shortcomings, it would be advantageous to develop a new and significantly improved catalyst composition that has high catalytic activity and stereoselectivity for polymerizing conjugated diene monomers, especially 1,3-butadiene, into conjugated diene polymers such as syndiotactic 1,2-polybutadiene and amorphous high-vinyl polybutadiene.

#### SUMMARY OF THE INVENTION

**[0015]** In general, the present invention provides a process for preparing conjugated diene polymers comprising the step of polymerizing conjugated diene monomers in the presence of a catalytically effective amount of a catalyst composition that is formed by combining (a) an iron-containing compound, (b) a hydrogen phosphite, and (c) an organoaluminum compound.

**[0016]** The present invention also provides a process for preparing amorphous high-vinyl polybutadiene rubber comprising the step of polymerizing 1,3-butadiene in the presence of a catalytically effective amount of a catalyst composition that is formed by combining (a) an iron-containing compound, (b) a hydrogen phosphite, and (c) an organoaluminum compound to the iron-containing compound is relatively low.

[0017] The present invention further provides a process for preparing syndiotactic 1,2-polybutadiene comprising the step of polymerizing 1,3-butadiene in the presence of a catalytically effective amount of a catalyst composition that is formed by combining (a) an iron-containing compound, (b) a hydrogen phosphite, and (c) an organoaluminum compound, where the molar ratio of the organoaluminum compound to the iron-containing compound is relatively high.

**[0018]** The present invention also provides a polybutadiene polymer prepared by a process comprising the step of polymerizing 1,3-butadiene in the presence of a catalytically effective amount of a catalyst composition that is formed by combining (a) an iron-containing compound, (b) a hydrogen phosphite, and (c) an organoaluminum compound.

**[0019]** The present invention also provides an amorphous high-vinyl polybutadiene rubber prepared by a process comprising the step of polymerizing 1,3-butadiene in the presence of a catalytically effective amount of a catalyst composition that is formed by combining (a) an iron-containing compound, (b) a hydrogen phosphite, and (c) an organoaluminum compound to the iron-containing compound is relatively low.

**[0020]** The present invention further provides a syndiotactic 1,2-polybutadiene polymer prepared by a process comprising the step of polymerizing 1,3-butadiene in the presence of a catalytically effective amount of a catalyst composition that is formed by combining (a) an ironcontaining compound, (b) a hydrogen phosphite, and (c) an organoaluminum compound, where the molar ratio of the organoaluminum compound to the iron-containing compound is relatively high.

**[0021]** Advantageously, the catalyst composition utilized in the present invention has very high catalytic activity and stereoselectivity for polymerizing conjugated diene monomers such as 1,3-butadiene. This activity and selectivity, among other advantages, allows conjugated diene polymers such as syndiotactic 1,2-polybutadiene, amorphous highvinyl polybutadiene rubber, and mixtures thereof to be produced in very high yields with low catalyst levels after relatively short polymerization times. And, this catalyst composition is operational over a wide range of polymerization temperatures. Significantly, this catalyst composition is very versatile and capable of producing syndiotactic 1,2-polybutadiene with a wide range of melting temperatures without the need for a catalyst modifier that may have adverse effects on the catalyst activity and polymer yields. In addition, the catalyst composition utilized in this invention does not contain carbon disulfide. Therefore, the toxicity, objectionable smell, dangers, and expense associated with the use of carbon disulfide are eliminated. Further, this catalyst composition is iron-based, and iron compounds are generally stable, inexpensive, relatively innocuous, and readily available. Furthermore, the catalyst composition utilized in this invention has high catalytic activity in a wide variety of solvents including the environmentally-preferred nonhalogenated solvents such as aliphatic and cycloaliphatic hydrocarbons.

#### DETAILED DESCRIPTION OF ILLUSTRATIVE EMBODIMENTS

**[0022]** The present invention is generally directed toward a process for polymerizing conjugated diene monomers into conjugated diene polymers. It has now been found that conjugated diene monomers can be efficiently polymerized with an iron-based catalyst composition that is formed by combining an iron-containing compound, a hydrogen phosphite, and an organoaluminum compound.

[0023] Some specific examples of conjugated dienes that can be polymerized by using the catalyst composition described above include 1,3-butadiene, isoprene, 1,3-pentadiene, 1,3-hexadiene, 2,3-dimethyl-1,3-butadiene, 2-ethyl-1,3-butadiene, 2-methyl- 1,3-pentadiene, 3-methyl-1,3-pentadiene, 4-methyl-1,3-pentadiene, 2,4-hexadiene. Mixtures of two or more conjugated dienes may also be utilized in co-polymerization. The preferred conjugated dienes are 1,3butadiene, isoprene, 1,3-pentadiene, and 1,3-hexadiene. The most preferred conjugated diene is 1,3-butadiene inasmuch as the catalyst composition of this invention advantageously has very high catalytic activity and stereoselectivity for polymerizing 1,3-butadiene.

[0024] In the preferred embodiments of this invention, 1,3-butadiene is polymerized into polybutadiene. And, depending on the amount of each catalyst ingredient that is employed, it has been found that the characteristics of the resulting polymer can be controlled. For example, when the molar ratio of the organoaluminum compound to the ironcontaining compound is relatively low, amorphous highvinyl polybutadiene rubber can be prepared by polymerizing 1,3-butadiene. On the other hand, where the molar ratio of the organoaluminum compound to the iron-containing compound is relatively high, syndiotactic 1,2-polybutadiene can be prepared by polymerizing 1,3-butadiene. Further, by selecting an intermediate molar ratio of the organoaluminum compound to the iron-containing compound, it is also possible to prepare a blend of syndiotactic 1,2-polybutadiene and amorphous high-vinyl polybutadiene.

**[0025]** The catalyst composition utilized in the present invention is formed by combining (a) an iron-containing compound, (b) a hydrogen phosphite, and (c) an organoaluminum compound. In addition to the three catalyst ingredients (a), (b), and (c), other organometallic compounds or Lewis bases can also be added, if desired.

**[0026]** Various iron-containing compounds or mixtures thereof can be employed as ingredient (a) of the catalyst composition used in this invention. It is generally advantageous to employ iron-containing compounds that are soluble in a hydrocarbon solvent such as aromatic hydrocarbons, aliphatic hydrocarbons, or cycloaliphatic hydrocarbons. Hydrocarbon-insoluble iron-containing compounds however, can be suspended in the polymerization medium to form the catalytically active species and are therefore also useful.

**[0027]** The iron atom in the iron-containing compounds can be in various oxidation states including but not limited to the 0, +2, +3, and +4 oxidation states. It is preferable to use divalent iron compounds (also called ferrous compounds), wherein the iron is in the +2 oxidation state, and trivalent iron compounds (also called ferric compounds), wherein the iron is in the +3 oxidation state. Suitable types of iron-containing compounds that can be utilized include, but are not limited to, iron carboxylates, iron  $\beta$ -diketonates, iron alkoxides, iron aryloxides, and organoiron compounds.

**[0028]** Some specific examples of suitable iron carboxylates include iron(II) formate, iron(III) formate, iron(II) acetate, iron(III) acetate, iron(II) acrylate, iron(III) acrylate, iron(II) methacrylate, iron(III) methacrylate, iron(III) valerate, iron(III) valerate, iron(III) gluconate, iron(III) gluconate, iron(III) citrate, iron(III) citrate, iron(III) fumarate, iron(III) fumarate, iron(III) actate, iron(III) lactate, iron(III) maleate, iron(III) maleate, iron(III) oxalate, iron(III) oxalate, iron(II) 2-ethylhexanoate, iron(III) 2-ethylhexanoate, iron(III) naphthenate, iron decanoate, iron(III) neodecanoate, iron(III) stearate, iron(III) naphthenate, iron(III) stearate, iron(III) stearate, iron(III) benzoate, iron(III) picolinate, and iron(III) picolinate.

**[0029]** Some specific examples of suitable iron carbamates include iron(II) dimethylcarbamate, iron(III) dimethylcarbamate, iron(II) diethylcarbamate, iron(III) diethylcarbamate, iron(II) diisopropylcarbamate, iron(III) diisopropylcarbamate, iron(II) dibutylcarbamate, iron(III) dibutylcarbamate, iron(II) dibenzylcarbamate, and iron(III) dibenzylcarbamate.

**[0030]** Some specific examples of suitable iron dithiocarbamates include iron(II) dimethyldithiocarbamate, iron(III) dimethyldithiocarbamate, iron(II) diethyl-dithiocarbamate, iron(III) diethyldithiocarbamate, iron(II) diisopropyldithiocarbamate, iron(III) diisopropyldithiocarbamate, iron(II) dibutyldithiocarbamate, iron(III) dibutyldithiocarbamate, iron(II) dibenzyldithiocarbamate, and iron(III) di-benzyldithiocarbamate.

[0031] Some specific examples of suitable iron xanthates include iron(II) methylxanthate, iron(III) methylxanthate, iron(III) ethylxanthate, iron(III) ethylxanthate, iron(III) isopropylxanthate, iron(III) butylxanthate, iron(III) butylxanthate, iron(III) butylxanthate, iron(III) benzylxanthate, and iron(III) benzylxanthate.

**[0032]** Some specific examples of suitable iron  $\beta$ -diketonates include iron(II) acetylacetonate, iron(III) acetylacetonate, iron(II) trifluoroacetylacetonate, iron(III) trifluoroacetylacetonate, iron(II) hexafluoroacetylacetonate, iron(III) hexafluoroacetylacetonate, iron(II) benzoylacetonate, iron(III) benzoylacetonate, iron(II) 2,2,6,6-tetramethyl-3,5heptanedionate, and iron(III) 2,2,6,6-tetramethyl-3,5-heptanedionate.

[0033] Some specific examples of suitable iron alkoxides or aryloxides include iron(II) methoxide, iron(III) methox-

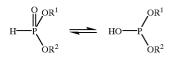
ide, iron(II) ethoxide, iron(III) ethoxide, iron(II) isopropoxide, iron(III) isopropoxide, iron(II) 2-ethylhexoxide, iron(III) 2-ethylhexoxide, iron(II) phenoxide, iron(III) phenoxide, iron(II) nonylphenoxide, iron(III) nonylphenoxide, iron(II) naphthoxide, and iron(III) naphthoxide.

[0034] The term organoiron compound refers to any iron compound containing at least one iron-carbon bond. Some specific examples of suitable organoiron compounds include bis(cyclopentadienyl)iron(II) (also called ferrocene), bis(pentamethylcyclopentadienyl)iron(II) (also called decamethylferrocene), bis(pentadienyl)iron(II), bis(2,4-dimethylpentadienyl)iron(II), bis(allyl)dicarbonyl-iron(II), (cyclopentadienyl)(pentadienyl)iron(II), tetra(1norbornyl)iron(IV),

(trimethylenemethane)tricarbonyliron(II), bis(butadiene)carbonyliron(0), butadienetricarbonyliron(0), and bis(cyclooctatetraene)iron(0).

**[0035]** Useful hydrogen phosphite compounds that can be employed as ingredient (b) of the catalyst composition utilized in this invention are acyclic hydrogen phosphites, cyclic hydrogen phosphites, or mixtures thereof.

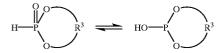
**[0036]** In general, the acyclic hydrogen phosphites may be represented by the following keto-enol tautomeric structures:



[0037] where  $R^1$  and  $R^2$ , which may be the same or different, are mono-valent organic groups. Preferably, R<sup>1</sup> and  $R^2$  are hydrocarbyl groups such as, but not limited to, alkyl, cycloalkyl, substituted cycloalkyl, alkenyl, cycloalkenyl, substituted cycloalkenyl, aryl, allyl, substituted aryl, aralkyl, alkaryl, or alkynyl groups, with each group preferably containing from 1 carbon atom, or the appropriate minimum number of carbon atoms to form the group, up to 20 carbon atoms. These hydrocarbyl groups may contain heteroatoms such as, but not limited to, nitrogen, oxygen, silicon, sulfur, and phosphorus atoms. The acyclic hydrogen phosphites exist mainly as the keto tautomer (shown on the left), with the enol tautomer (shown on the right) being the minor species. The equilibrium constant for the abovementioned tautomeric equilibrium is dependent upon factors such as the temperature, the types of  $R^1$  and  $R^2$  groups, the type of solvent, and the like. Both tautomers may be associated in dimeric, trimeric or oligomeric forms by hydrogen bonding. Either of the two tautomers or mixtures thereof can be employed.

[0038] Some representative and non-limiting examples of suitable acyclic hydrogen phosphites are dimethyl hydrogen phosphite, diethyl hydrogen phosphite, dibutyl hydrogen phosphite, didecyl hydrogen phosphite, dioctyl hydrogen phosphite, dioctadecyl hydrogen phosphite, dis(2,2,2-trifluoroethyl) hydrogen phosphite, diisopropyl hydrogen phosphite, bis(3,3-dimethyl-2-butyl) hydrogen phosphite, bis(2, 4-dimethyl-3-pentyl) hydrogen phosphite, di-t-butyl hydrogen phosphite, bis(2-ethylhexyl) hydrogen phosphite, dineopentyl hydrogen phosphite, bis(cyclopropylmethyl) hydrogen phosphite, bis(cyclopropylmethyl) hydrogen phosphite, bis(cyclopentylmethyl) hydrogen phosphite, bis(cyclopentylmethyl) hydrogen phosphite, bis(cyclohexylmethyl) hydrogen phosphite, dicyclobutyl hydrohydrogen gen dicyclopentyl phosphite, phosphite, dicyclohexyl hydrogen phosphite, dimethyl hydrogen phosphite, diphenyl hydrogen phosphite, dinaphthyl hydrogen phosphite, dibenzyl hydrogen phosphite, bis(1-naphthylmethyl) hydrogen phosphite, diallyl hydrogen phosphite, dimethallyl hydrogen phosphite, dicrotyl hydrogen phosphite, ethyl butyl hydrogen phosphite, methyl hexyl hydrogen phosphite, methyl neopentyl hydrogen phosphite, methyl phenyl hydrogen phosphite, methyl cyclohexyl hydrogen phosphite, methyl benzyl hydrogen phosphite, and the like. Mixtures of the above dihydrocarbyl hydrogen phosphites may also be utilized.

**[0039]** In general, cyclic hydrogen phosphites contain a divalent organic group that bridges between the two oxygen atoms that are singly-bonded to the phosphorus atom. These cyclic hydrogen phosphites may be represented by the following keto-enol tautomeric structures:



[0,040] where  $R^3$  is a divalent organic group. Preferably, R is a hydrocarbylene group such as, but not limited to, alkylene, cycloalkylene, substituted alkylene, substituted cycloalkylene, alkenylene, cycloalkenylene, substituted alkenylene, substituted cycloalkenylene, arylene, or substituted arylene groups, with each group preferably containing from 1 carbon atom, or the appropriate minimum number of carbon atoms to form the group, up to 20 carbon atoms. These hydrocarbylene groups may contain heteroatoms such as, but not limited to, nitrogen, oxygen, silicon, sulfur, and phosphorus atoms. The cyclic hydrogen phosphites exist mainly as the keto tautomer (shown on the left), with the enol tautomer (shown on the right) being the minor species. The equilibrium constant for the above-mentioned tautomeric equilibrium is dependent upon factors such as the temperature, the types of  $R^3$  group, the type of solvent, and the like. Both tautomers may be associated in dimeric, trimeric or oligomeric forms by hydrogen bonding. Either of the two tautomers or mixtures thereof can be used.

**[0041]** The cyclic hydrogen phosphites may be synthesized by the transesterification reaction of an acyclic dihydrocarbyl hydrogen phosphite (usually dimethyl hydrogen phosphite or diethyl hydrogen phosphite) with an alkylene diol or an arylene diol. Procedures for this transesterification reaction are well known to those skilled in the art. Typically, the transesterification reaction is carried out by heating a mixture of an acyclic dihydrocarbyl hydrogen phosphite and an alkylene diol or an arylene diol. Subsequent distillation of the side-product alcohol (usually methanol or ethanol) that results from the transesterification reaction leaves the newmade cyclic hydrogen phosphite.

[0042] Some specific examples of suitable cyclic alkylene hydrogen phosphites are 2-oxo-(2H)-5-butyl-5-ethyl-1,3,2dioxaphosphorinane, 2-oxo-(2H)-5,5-dimethyl-1,3,2-dioxaphosphorinane, 2-oxo-(2H)-1,3,2-dioxaphosphorinane, 2-oxo-(2H)-4-methyl-1,3,2-dioxaphosphorinane, 2-oxo-(2H)-5,5-diethyl-1,3,2-dioxaphosphorinane, 2-oxo-(2H)-5,5-diethyl-1,3,2-dioxaphosphorinane, 2-oxo-(2H)-5, methyl-5,5-dimethyl-1,3,2-dioxaphosphorinane, 2-oxo-(2H)-4isopropyl-5,5-dimethyl-1,3,2-dioxaphosphorinane, 2-oxo(2H)-4,6-dimethyl-1,3,2-dioxaphosphorinane, 2-oxo-(2H)-4-propyl-5-ethyl-1,3,2-dioxaphosphorinane, 2-oxo-(2H)-4methyl-1,3,2-dioxaphospholane, 2-oxo-(2H)-4,5-dimethyl-1,3,2-dioxaphospholane, 2-oxo-(2H)-4,4,5,5-tetramethyl-1, 3,2-dioxaphospholane, and the like. Mixtures of the above cyclic alkylene hydrogen phosphites may also be utilized.

**[0043]** Some specific examples of suitable cyclic arylene hydrogen phosphites are 2-oxo-(2H)-4,5-benzo-1,3,2-dioxaphospholane, 2-oxo-(2H)-4,5-(3'-methylbenzo)-1,3,2-dioxaphospholane, 2-oxo-(2H)-4,5-(4'-methylbenzo)-1,3,2-dioxaphospholane, 2-oxo-(2H)-4,5-(4'-tert-butylbenzo)-1,3,2dioxaphospholane, 2-oxo-(2H)-4,5-naphthalo-1,3,2dioxaphospholane, and the like. Mixtures of the above cyclic arylene hydrogen phosphites may also be utilized.

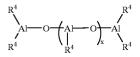
**[0044]** Ingredient (c) of the catalyst composition utilized in the present invention comprises an organoaluminum compound. As used herein, the term "organoaluminum compound" refers to any aluminum compound containing at least one aluminum-carbon bond. It is generally advantageous to employ organoaluminum compounds that are soluble in a hydrocarbon solvent.

[0045] A preferred class of organoaluminum compounds that can be utilized is represented by the general formula  $AlR_nX_{3-n}$ , where each R, which may be the same or different, is a mono-valent organic group that is attached to the aluminum atom via a carbon atom, where n is an integer of 1 to 3, and where each X is selected from a hydrogen atom, a carboxylate group, an alkoxide group, or an aryloxide group. Preferably, each R is a hydrocarbyl group such as, but not limited to, alkyl, cycloalkyl, substituted cycloalkyl, alkenyl, cycloalkenyl, substituted cycloalkenyl, aryl, allyl, substituted aryl, aralkyl, alkaryl, or alkynyl groups, with each group preferably containing from 1 carbon atom, or the appropriate minimum number of carbon atoms to form the group, up to about 20 carbon atoms. Also, these hydrocarbyl groups may contain heteroatoms such as oxygen, sulfur, nitrogen, silicon, and phosphorous atoms. Preferably, each X is a carboxylate group, an alkoxide group, or an aryloxide group, with each group preferably containing from 1 carbon atom, or the appropriate minimum number of carbon atoms to form the group, up to about 20 carbon atoms.

**[0046]** Thus, some suitable types of organoaluminum compounds that can be utilized include, but are not limited to, trihydrocarbylaluminum, dihydrocarbylaluminum hydride, hydrocarbylaluminum dihydride, dihydrocarbylaluminum carboxylate, hydrocarbylaluminum bis(carboxylate), dihydrocarbylaluminum alkoxide, hydrocarbylaluminum dialkoxide, dihydrocarbylaluminum aryloxide, hydrocarbylaluminum diaryloxide, and the like, and mixtures thereof. Trihydrocarbylaluminum compounds are generally preferred.

[0047] Some specific examples of organoaluminum compounds that can be utilized include trimethylaluminum, triethylaluminum, triisobutylaluminum, tri-n-propylaluminum, triisopropylaluminum, tri-n-butylaluminum, tri-nhexylaluminum, tri-n-octylaluminum, tricyclohexylalumitriphenylaluminum, num, tri-p-tolylaluminum, tribenzylaluminum, diethylphenylaluminum, diethyl-p-tolylaluminum, diethylbenzylaluminum, ethyldiphenylaluminum, ethyldi-p-tolylaluminum, ethyldibenzylaluminum, diethylaluminum hydride, di-n-propylaluminum hydride, diisopropylaluminum hydride, di-n-butylaluminum hydride, diisobutylaluminum hydride, di-n-octylaluminum hydride, diphenylaluminum hydride, di-p-tolylaluminum hydride, dibenzylaluminum hydride, phenylethylaluminum hydride, phenyl-n-propylaluminum hydride, phenylisopropylaluminum hydride, phenyl-n-butylaluminum hydride, phenylisobutylaluminum hydride, phenyl-n-octylaluminum hydride, p-tolylethylaluminum hydride, p-tolyl-n-propylaluminum hydride, p-tolylisopropylaluminum hydride, p-tolylp-tolylisobutylaluminum n-butvlaluminum hydride, hydride, p-tolyl-n-octylaluminum hydride, benzylethylaluminum hydride, benzyl-n-propylaluminum hydride, benzylisopropylaluminum hydride, benzyl-n-butylaluminum hydride, benzylisobutylaluminum hydride, and benzyl-noctylaluminum hydride, ethylaluminum dihydride, n-propylaluminum dihydride, isopropylaluminum dihydride, n-butylaluminum dihydride, isobutylaluminum dihydride, -octylaluminum dihydride, dimethylaluminum hexanoate, diethylaluminum octoate, diisobutylaluminum 2-ethylhexanoate, dimethylaluminum neodecanoate, diethylaluminum stearate, diisobutylaluminum oleate, methylaluminum bis(hexanoate), ethylaluminum bis(octoate), isobutylaluminum bis(2-ethylhexanoate), methylaluminum bis(neodecanoate), ethylaluminum bis(stearate), isobutylaluminum bis(oleate), dimethylaluminum methoxide, diethylaluminum methoxide, diisobutylaluminum methoxide, dimethylaluminum ethoxide, diethylaluminum ethoxide, diisobutylaluminum ethoxide, dimethylaluminum phenoxide, diethylaluminum phenoxide, diisobutylaluminum phenoxide, methylaluminum dimethoxide, ethylaluminum dimethoxide, isobutylaluminum dimethoxide, methylaluminum diethoxide, ethylaluminum diethoxide, isobutylaluminum diethoxide, methylaludiphenoxide, minum ethylaluminum diphenoxide, isobutylaluminum diphenoxide, and the like, and mixtures thereof.

**[0048]** Another class of organoaluminum compounds that can be employed as ingredient (c) of the catalyst composition utilized in this invention is aluminoxanes. Aluminoxanes are well known in the art and comprise oligomeric linear aluminoxanes that can be represented by the general formula:



**[0049]** and oligomeric cyclic aluminoxanes that can be represented by the general formula:



**[0050]** where x is an integer of 1 to about 100, preferably about 10 to about 50; y is an integer of 2 to about 100, preferably about 3 to about 20; and each  $\mathbb{R}^4$ , which may be the same or different, is a mono-valent organic group that is attached to the aluminum atom via a carbon atom. Preferably, each  $\mathbb{R}^4$  is a hydrocarbyl group such as, but not limited to, alkyl, cycloalkyl, substituted cycloalkenyl, aryl, alkenyl, cycloalkenyl, substituted cycloalkenyl, aryl, allyl, substituted aryl, aralkyl, alkaryl, or alkynyl groups, with each group preferably containing from 1 carbon atoms, or the appropriate minimum number of carbon atoms to form the

group, up to about 20 carbon atoms. These hydrocarbyl groups may contain heteroatoms such as, but not limited to, nitrogen, oxygen, silicon, sulfur, and phosphorus atoms. It should be noted that the number of moles of the aluminoxane as used in this application refers to the number of moles of the aluminum atoms rather than the number of moles of the oligomeric aluminoxane molecules. This convention is commonly employed in the art of catalysis utilizing aluminoxanes.

**[0051]** In general, aluminoxanes can be prepared by reacting trihydrocarbylaluminum compounds with water. This reaction can be performed according to known methods, such as (1) a method in which the trihydrocarbylaluminum compound is dissolved in an organic solvent and then contacted with water, (2) a method in which the trihydrocarbylaluminum compound is reacted with water of crystallization contained in, for example, metal salts, or water adsorbed in inorganic or organic compounds, and (3) a method in which the trihydrocarbylaluminum compound is added to the monomer or monomer solution that is to be polymerized, and then water is added.

**[0052]** Some specific examples of suitable aluminoxane compounds that can be utilized include methylaluminoxane (MAO), modified methylaluminoxane (MMAO), ethylaluminoxane, butylaluminoxane, isobutylaluminoxane, and the like, and mixtures thereof. Isobutylaluminoxane is particularly useful on the grounds of its availability and its solubility in aliphatic and cycloaliphatic hydrocarbon solvents. Modified methylaluminoxane can be formed by substituting about 20-80% of the methyl groups of methylaluminoxane with  $C_2$  to  $C_{12}$  hydrocarbyl groups, preferably with isobutyl groups, by using techniques known to those skilled in the art.

**[0053]** The catalyst composition employed in the present invention has a very high catalytic activity for polymerizing conjugated diene monomers into conjugated diene polymers over a wide range of total catalyst concentrations and catalyst ingredient ratios. The polymers having the most desirable properties, however, are obtained within a narrower range of total catalyst concentrations and catalyst ingredient ratios. Further, it is believe that the three catalyst ingredients (a), (b), and (c) interact to form an active catalyst species. Accordingly, the optimum concentration for any one catalyst ingredient is dependent upon the concentrations of the other two catalyst ingredients.

**[0054]** In one embodiment of the present invention, the molar ratio of the hydrogen phosphite to the iron-containing compound (P/Fe) can be varied from about 0.5:1 to about 50:1, more preferably from about 1:1 to about 25:1, and even more preferably from about 2:1 to about 10:1. The molar ratio of the organoaluminum compound to the iron-containing compound (Al/Fe) can be varied from about 1:1 to about 100:1, more preferably from about 2:1 to about 75:1, and even more preferably from about 2:1 to about 50:1.

[0055] In a preferred embodiment of the present invention where it is especially desirable to synthesize amorphous high-vinyl polybutadiene rubber, the molar ratio of the organoaluminum compound to the iron-containing compound (Al/Fe) should be relatively low. For purposes of this specification, the term "relatively low" generally refers to an Al/Fe molar ratio that can be varied from about 1:1 to about 10:1, more preferably from about 2:1 to about 8:1, even more preferably from about 3:1 to about 7:1, and still more preferably from about 4:1 to about 6:1, with it being understood that this ratio can vary as described hereinbelow.

[0056] In another preferred embodiment of the present invention where it is especially desirable to synthesize

syndiotactic 1,2-polybutadiene, the molar ratio of the organoaluminum compound to the iron-containing compound (Al/Fe) should be relatively high. For purposes of this specification, the term "relatively high" generally refers to an Al/Fe molar ratio that can be varied from about 10:1 to about 100:1, more preferably from about 13.1 to about 40:1, and even more preferably from about 14:1 to about 30:1, with it being understood that this ratio can vary as described hereinbelow.

**[0057]** In yet another preferred embodiment of the present invention where it is especially desirable to synthesize a blend of syndiotactic 1,2-polybutadiene and amorphous high-vinyl polybutadiene, the molar ratio of the organoaluminum compound to the iron-containing compound (Al/Fe) should be intermediate. For purposes of this specification, the term "intermediate." generally refers to an Al/Fe molar ratio that can be varied from about 9:1 to about 11:1, with it being understood that this ratio can vary as described hereinbelow.

**[0058]** As discussed above, the catalyst composition utilized in the present invention is preferably formed by combining the three catalyst ingredients (a), (b), and (c). Although an active catalyst species is believed to result from this combination, the degree of interaction or reaction between the various ingredients or components is not known with any great degree of certainty. Therefore, it should be understood that the term "catalyst composition" has been employed to encompass a simple mixture of the ingredients, a complex of the various ingredients that is caused by physical or chemical forces of attraction, a chemical reaction product of the ingredients, or a combination of the foregoing.

**[0059]** The catalyst composition utilized in the present invention can be formed by combining or mixing the catalyst ingredients or components by using, for example, one of the following methods:

**[0060]** First, the catalyst composition may be formed in situ by adding the three catalyst ingredients to a solution containing monomer and solvent, or simply bulk monomer, in either a stepwise or simultaneous manner. When adding the catalyst ingredients in a stepwise manner, the sequence in which the ingredients are added is not critical. Preferably, however, the iron-containing compound is added first, followed by the hydrogen phosphite, and finally followed by the organoaluminum compound.

[0061] Second, the three catalyst ingredients may be premixed outside the polymerization system at an appropriate temperature, which is generally from about  $-20^{\circ}$  C. to about 80° C., and the resulting catalyst composition is then added to the monomer solution.

[0062] Third, the catalyst composition may be pre-formed in the presence of monomer. That is, the three catalyst ingredients are pre-mixed in the presence of a small amount of monomer at an appropriate temperature, which is generally from about  $-20^{\circ}$  C. to about  $80^{\circ}$  C. The amount of monomer that is used for the catalyst pre-forming can range from about 1 to about 500 moles per mole of the ironcontaining compound, and preferably should be from about 4 to about 100 moles per mole of the iron-containing compound. The resulting catalyst composition is then added to the remainder of the monomer that is to be polymerized.

**[0063]** Fourth, the catalyst composition may be formed by using a two-stage procedure. The first stage involves combining the iron-containing compound and the organoalumi-

num compound in the presence of a small amount of monomer at an appropriate temperature, which is generally from about  $-20^{\circ}$  C. to about  $80^{\circ}$  C. In the second stage, the foregoing reaction mixture and the hydrogen phosphite are charged in either a stepwise or simultaneous manner to the remainder of the monomer that is to be polymerized.

[0064] Fifth, an alternative two-stage procedure may also be employed. An iron-ligand complex is first formed by pre-combining the iron-containing compound with the hydrogen phosphite. Once formed, this iron-ligand complex is then combined with the organoaluminum compound to form the active catalyst species. The iron-ligand complex can be formed separately or in the presence of the monomer that is to be polymerized. This complexation reaction can be conducted at any convenient temperature at normal pressure, but for an increased rate of reaction, it is preferable to perform this reaction at room temperature or above. The temperature and time used for the formation of the ironligand complex will depend upon several variables including the particular starting materials and the solvent employed. Once formed, the iron-ligand complex can be used without isolation from the complexation reaction mixture. If desired, however, the iron-ligand complex may be isolated from the complexation reaction mixture before use.

[0065] When a solution of the iron-based catalyst composition or one or more of the catalyst ingredients is prepared outside the polymerization system as set forth in the foregoing methods, an organic solvent or carrier is preferably employed. Useful solvents include hydrocarbon solvents such as aromatic hydrocarbons, aliphatic hydrocarbons, and cycloaliphatic hydrocarbons. Non-limiting examples of aromatic hydrocarbon solvents include benzene, toluene, xylenes, ethylbenzene, diethylbenzene, mesitylene, and the like. Non-limiting examples of aliphatic hydrocarbon solvents include n-pentane, n-hexane, n-heptane, n-octane, n-nonane, n-decane, isopentane, isohexanes, isopentanes, isooctanes, 2,2-dimethylbutane, petroleum ether, kerosene, petroleum spirits, and the like. Non-limiting examples of cycloaliphatic hydrocarbon solvents include cyclopentane, cyclohexane, methylcyclopentane, methylcyclohexane, and the like. Commercial mixtures of the above hydrocarbons may also be used. For environmental reasons, aliphatic and cycloaliphatic solvents are highly preferred. The foregoing organic solvents may serve to dissolve the catalyst composition or ingredients, or the solvent may simply serve as a carrier in which the catalyst composition or ingredients may be suspended.

[0066] The production of conjugated diene polymers, such as polybutadiene, according to this invention is accomplished by polymerizing conjugated diene monomers in the presence of a catalytically effective amount of the foregoing catalyst composition. There are available a variety of methods for bringing the ingredients of the catalyst composition into contact with the conjugated diene monomers as described above. To understand what is meant by a catalytically effective amount, it should be understood that the total catalyst concentration to be employed in the polymerization mass depends on the interplay of various factors such as the purity of the ingredients, the polymerization temperature, the polymerization rate and conversion desired, and many other factors. Accordingly, specific total catalyst concentration cannot be definitively set forth except to say that catalytically effective amounts of the respective catalyst ingredients should be used. Generally, the amount of the iron-containing compound used can be varied from about 0.01 to about 2 mmol per 100 g of conjugated diene

monomers, with a more preferred range being from about 0.02 to about 1.0 mmol per 100 g of conjugated diene monomers, and a most preferred range being from about 0.05 to about 0.5 mmol per 100 g of conjugated diene monomers.

[0067] The polymerization of conjugated diene monomers according to this invention is preferably carried out in an organic solvent as the diluent. Accordingly, a solution polymerization system may be employed in which both the monomers to be polymerized and the polymer formed are soluble in the polymerization medium. Alternatively, a precipitation polymerization system may be employed by choosing a solvent in which the polymer formed is insoluble. In both cases, an amount of the organic solvent in addition to the organic solvent that may be used in preparing the iron-based catalyst composition is usually added to the polymerization system. The additional organic solvent may be either the same as or different from the organic solvent contained in the catalyst solutions. It is normally desirable to select an organic solvent that is inert with respect to the catalyst composition employed to catalyze the polymerization. Suitable types of organic solvents that can be utilized as the diluent include, but are not limited to, aliphatic, cycloaliphatic, and aromatic hydrocarbons. Some representative examples of suitable aliphatic solvents include n-pentane, n-hexane, n-heptane, n-octane, n-nonane, n-decane, isopentane, isohexanes, isopentanes, isooctanes, 2,2-dimethylbutane, petroleum ether, kerosene, petroleum spirits, and the like. Some representative examples of suitable cycloaliphatic solvents include cyclopentane, cyclohexane, methylcyclopentane, methylcyclohexane, and the like. Some representative examples of suitable aromatic solvents include benzene, toluene, xylenes, ethylbenzene, diethylbenzene, mesitylene, and the like. Commercial mixtures of the above hydrocarbons may also be used. For environmental reasons, aliphatic and cycloaliphatic solvents are highly preferred.

**[0068]** The concentration of conjugated diene monomers to be polymerized is not limited to a special range. Generally, however, it is preferred that the concentration of the monomers present in the polymerization medium at the beginning of the polymerization be in a range of from about 3% to about 80% by weight, more preferably from about 5% to about 50% by weight, and even more preferably from about 10% to about 30% by weight.

**[0069]** The polymerization of conjugated diene monomers according to this invention may also be carried out by means of bulk polymerization, which refers to a polymerization environment where no solvents are employed. Bulk polymerization can be conducted either in a condensed liquid phase or in a gas phase.

**[0070]** In performing the polymerization of conjugated diene monomers according to this invention, a molecular weight regulator may be employed to control the molecular weight of the polymers to be produced. As a result, the scope of the polymerization system can be expanded in such a manner that it can be used for the production of conjugated diene polymers having a wide range of molecular weights. Suitable types of molecular weight regulators that can be utilized include, but are not limited to,  $\alpha$ -olefins such as ethylene, propylene, 1-butene, 1-pentene, 1-hexene, 1-heptene, and 1-octene; accumulated diolefins such as allene and 1,2-butadiene; nonconjugated diolefins such as 1,6-octadiene, 5-methyl-1,4-hexadiene, 1,5-cyclooctadiene, 3,7-dimethyl-1,6-octadiene, 1,4-cyclohexadiene, 4-vinylcyclohex-

ene, 1,4-pentadiene, 1,4-hexadiene, 1,5-hexadiene, 1,6-1,2-divinylcyclohexane, 5-ethylidene-2heptadiene, 5-methylene-2-norbornene, norbornene, 5-vinyl-2norbornene. dicyclopentadiene, 1,2,4and trivinylcyclohexane; acetylenes such as acetylene, methylacetylene and vinylacetylene; and mixtures thereof. The amount of the molecular weight regulator used, expressed in parts per hundred parts by weight of the conjugated diene monomers (phm), is from about 0.01 to about 10 phm, preferably from about 0.02 to about 2 phm, and more preferably from about 0.05 to about 1 phm.

**[0071]** The molecular weight of the conjugated diene polymers produced according to the present invention can also be effectively controlled by polymerizing conjugated diene monomers in the presence of hydrogen gas. In this case, the preferable partial pressure of hydrogen gas is within the range of about 0.01 to about 50 atmospheres.

**[0072]** The polymerization of conjugated diene monomers according to this invention may be carried out as a batch process, a continuous process, or even a semi-continuous process. In the semi-continuous process, monomer is intermittently charged as needed to replace that monomer already polymerized. In any case, the polymerization is desirably conducted under anaerobic conditions by using an inert protective gas such as nitrogen, argon or helium, with moderate to vigorous agitation. The polymerization temperature employed in the practice of this invention may vary widely from a low temperature, such as -10° C. or below, to a high temperature such as 100° C. or above, with a preferred temperature range being from about 20° C. to about 90° C. The heat of polymerization may be removed by external cooling, cooling by evaporation of the monomers or the solvent, or a combination of the two methods. Although the polymerization pressure employed may vary widely, a preferred pressure range is from about 1 atmosphere to about 10 atmospheres.

[0073] Once a desired conversion is achieved, the polymerization can be stopped by the addition of a polymerization terminator that inactivates the catalyst. Typically, the terminator employed is a protic compound, which includes, but is not limited to, an alcohol, a carboxylic acid, an inorganic acid, water, or a mixture thereof. An antioxidant such as 2,6-di-tert-butyl-4-methylphenol may be added along with, before or after the addition of the terminator. The amount of the antioxidant employed is preferably in the range of 0.2% to 1% by weight of the polymer product. When the polymerization reaction has been stopped, the polymer can be recovered from the polymerization mixture by conventional procedures of desolventization and drying. For instance, the polymer may be isolated from the polymerization mixture by coagulation of the polymerization mixture with an alcohol such as methanol, ethanol, or isopropanol, or by steam distillation of the solvent and the unreacted monomer, followed by filtration. The polymer product is then dried to remove residual amounts of solvent and water.

[0074] Advantageously, the catalyst composition of this invention can be manipulated to vary the characteristics of the resulting conjugated diene polymers. Namely, the molar ratio of the organoaluminum compound to the iron-containing compound (Al/Fe) can be manipulated to alter the characteristics of the resulting polymer. For example, where 1,3-butadiene is polymerized, the resulting polymer will be an amorphous high-vinyl polybutadiene rubber when the Al/Fe molar ratio is relatively low, e.g., from about 1:1 to about 10:1. At relatively high Al/Fe molar ratios, e.g., from about 10:1 to about 100:1, the resulting polymer will be syndiotactic 1,2-polybutadiene. These Al/Fe molar ratios, however, are not always consistent inasmuch as it has been found that the type of organoaluminum compound, the type of hydrogen phosphite, and the molar ratio of the hydrogen phosphite to the iron-containing compound will alter the exact Al/Fe molar ratio required to produce either amorphous high-vinyl polybutadiene rubber or syndiotactic 1,2polybutadiene. And, it has been found that the transition from amorphous high-vinyl polybutadiene to syndiotactic 1,2-polybutadiene is gradual and that a blend of amorphous high-vinyl polybutadiene and syndiotactic 1,2-polybutadiene is produced when the Al/Fe molar ratio is in an intermediate range, e.g., from about 9:1 to about 11:1, with it being understood that this intermediate range may vary depending on the type of organoaluminum compound, the type of hydrogen phosphite, and the molar ratio of the hydrogen phosphite to the iron-containing compound that are used.

[0075] The conjugated diene polymers produced with the process of the present invention have many uses. For example, the amorphous high-vinyl polybutadiene can be utilized in rubber compositions that are used to manufacture tire treads having the optimum balance of traction, wear, and rolling resistance. The syndiotactic 1,2-polybutadiene can be blended with various rubbers in order to improve the properties thereof. For example, the syndiotactic 1,2-polybutadiene can be incorporated into elastomers in order to improve the green strength of those elastomers, particularly in tires. The supporting or reinforcing carcass of tires is particularly prone to distortion during tire building and curing procedures. For this reason, the incorporation of the syndiotactic 1,2-polybutadiene into rubber compositions that are utilized in the supporting carcass of tires has particular utility in preventing or minimizing this distortion. In addition, the incorporation of the syndiotactic 1,2-polybutadiene into tire tread compositions can reduce the heat build-up and improve the tear and wear characteristics of tested as described in the General Experimentation Section disclosed hereinbelow. The examples should not, however, be construed as limiting the scope of the invention. The claims will serve to define the invention.

#### GENERAL EXPERIMENTATION

#### Example 1

[0077] An oven-dried 1-liter glass bottle was capped with a self-sealing rubber liner and a perforated metal cap. After the bottle was thoroughly purged with a stream of dry nitrogen gas, the bottle was charged with 27 g of hexanes and 223 g of a 1,3-butadiene/hexanes blend containing 22.4% by weight of 1,3-butadiene. The following catalyst components were added to the bottle in the following order: (1) 0.050 mmol of iron(III) 2-ethylhexanoate, (2) 0.20 mmol of bis(2-ethylhexyl) hydrogen phosphite, and (3) 0.20 mmol of triisobutylaluminum. The bottle was tumbled for 4 hours in a water bath maintained at 65° C. The polymerization was terminated by addition of 10 mL of isopropanol containing 1.0 g of 2,6-di-tert-butyl-4-methylphenol. The polymerization mixture was coagulated with 3 liters of isopropanol. The resulting amorphous high-vinyl polybutadiene was dried to a constant weight under vacuum at 60° C. The yield of the polymer was 47.5 g (95%). As measured by differential scanning calorimetry (DSC), the polymer had a glass transition temperature of  $-30^{\circ}$  C. and had no melting temperature. The infrared spectroscopic analysis of the polymer indicated a 1,2-linkage content of 66.2%, a cis-1,4-linkage content of 29.0%, and a trans-1,4-linkage content of 4.8%. As determined by gel permeation chromatography (GPC), the polymer had a weight average molecular weight  $(M_w)$  of 131,000, a number average molecular weight (M<sub>n</sub>) of 62,000, and a polydispersity index  $(M_w/M_n)$  of 2.1. The monomer charge, the amounts of the catalyst ingredients, and the properties of the resulting amorphous high-vinyl polybutadiene are summarized in Table I.

TABLE I

Example No.	1	2	3	4
Hexanes (g)	27	27	27	27
22.4% 1,3-butadiene/hexanes (g)	223	223	223	223
Fe(2-EHA) <sub>3</sub> (mmol)	0.050	0.050	0.050	0.050
HP(O)(OCH <sub>2</sub> CH(Et)(CH <sub>2</sub> ) <sub>3</sub> CH <sub>3</sub> ) <sub>2</sub> (mmol)	0.20	0.20	0.20	0.20
i-Bu <sub>3</sub> Al (mmol)	0.20	0.25	0.30	0.35
Fe/P/Al molar ratio	1:4:4	1:4:5	1:4:6	1:4:7
Polymer yield (%) after 4 hr at 65° C.	95	94	94	94
Glass transition temperature (° C.)	-30	-32	-32	-23
Polymer microstructure:				
1,2-linkage content (%)	66.2	68.0	70.3	74.5
cis-1,4-linkage content (%)	29.0	27.4	25.9	22.3
trans-1,4-linkage content (%)	4.8	4.6	3.8	3.2
M <sub>w</sub>	131,000	148,000	170,000	301,000
Mn	62,000	64,000	64,000	152,000
$\dot{M_w}/M_n$	2.1	2.3	2.7	2.0

tires. The syndiotactic 1,2-polybutadiene is also useful in the manufacture of films and packaging materials and in many molding applications.

**[0076]** In order to demonstrate the practice of the present invention, the following examples have been prepared and

### Examples 2-4

**[0078]** In Examples 2-4, the procedure described in Example 1 was repeated except that the catalyst ingredient ratio was varied as shown in Table I. The monomer charge,

the amounts of the catalyst ingredients, and the properties of the amorphous high-vinyl polybutadiene produced in each example are summarized in Table I.

**[0079]** As can be seen in Table I, as the molar ratio of the organoaluminum compound to the iron-containing compound is increased, the 1,2-linkage content of the resulting amorphous high-vinyl polybutadiene increases, accompanied by a decrease in the cis-1,4-linkage content and the trans-1,4-linkage content.

#### Examples 5-7

**[0080]** In Examples 5-7, the procedure described in Examples 1-4 was repeated except that higher molar ratios of triisobutylaluminum to iron(III) 2-ethylhexanoate were used, as shown in Table II. In these experiments, crystalline syndiotactic 1,2-polybutadiene instead of amorphous high-vinyl polybutadiene rubber was obtained. The DSC analysis of the polymer showed a melting temperature. The monomer charge, the amounts of the catalyst ingredients, and the properties of the syndiotactic 1,2-polybutadiene produced in each example are summarized in Table II.

TABLE II

Example No.	5	6	7
Hexanes (g)	27	27	27
22.4% 1,3-Bd/hexanes (g)	223	223	223
Fe(2-EHA) <sub>3</sub> (mmol)	0.050	0.050	0.050
HP(O)(OCH <sub>2</sub> CH(Et)-	0.20	0.20	0.20
$(CH_2)_3CH_3)_2$ (mmol)			
i-Bu <sub>3</sub> Al (mmol)	0.70	0.75	0.80
Fe/P/Al molar ratio	1:4:14	1:4:15	1:4:16
Polymer yield (%) after	97	97	97
4 hr at 65° C.			
Melting temperature (° C.)	185	185	186

TABLE II-continued

Example No.	5	6	7
M <sub>w</sub> M <sub>n</sub>	1,011,000 602.000	930,000 476,000	895,000 327,000
M <sub>n</sub> M <sub>w</sub> /M <sub>n</sub>	1.7	2.0	2.7

**[0081]** Comparison of the results obtained in Examples 5-7 with the results obtained in Examples 1-4 shows that the microstructure of the polymer synthesized with the catalyst composition of this invention can be controlled by varying the molar ratio of the organoaluminum compound to the iron-containing compound. As a general rule, lower molar ratios of the organoaluminum compound to the iron-containing compound promote the formation of amorphous high-vinyl polybutadiene, whereas higher molar ratios of the organoaluminum compound to the iron-containing compound promote the formation of amorphous high-vinyl polybutadiene, whereas higher molar ratios of the organoaluminum compound to the iron-containing compound promote the formation of crystalline syndiotactic 1,2-polybutadiene.

#### Examples 8-14

**[0082]** In Examples 8-14, the procedure described in Examples 1-4 was repeated except that dineopentyl hydrogen phosphite was substituted for bis(2-ethylhexyl) hydrogen phosphite, higher molar ratios of triisobutylaluminum to iron(III) 2-ethylhexanoate were used, and the polymerization was carried out at 50° C. for 4 hour. In these experiments, crystalline syndiotactic 1,2-polybutadiene instead of amorphous high-vinyl polybutadiene rubber was obtained. The DSC analysis of the polymer showed a melting temperature. The monomer charge, the amounts of the catalyst ingredients, and the properties of the syndiotactic 1,2-polybutadiene produced in each example are summarized in Table III.

TABLE III

Example No.	8	9	10	11	12	13	14
Hexanes	64	64	64	64	64	64	64
26.9% 1,3-Bd/hexanes (g)	186	186	186	186	186	186	186
Fe(2-EHA) <sub>3</sub> (mmol)	0.050	0.050	0.050	0.050	0.050	0.050	0.050
HP(O)(OCH <sub>2</sub> CMe <sub>3</sub> ) <sub>2</sub> (mmol)	0.20	0.20	0.20	0.20	0.20	0.20	0.20
i-Bu <sub>3</sub> Al (mmol)	0.55	0.60	0.65	0.70	0.75	0.80	0.85
Fe/P/Al molar ratio	1:4:11	1:4:12	1:4:13	1:4:14	1:4:15	1:4:16	1:4:17
Polymer yield (%) after 4 hr at $50^{\circ}$ C	95	97	95	96	95	95	92
Melting point (° C.)	168	183	188	191	191	191	191
M <sub>w</sub>	704,000	1,027,000	1,050,000	956,000	1,185,000	1,190,000	1,198,000
M <sub>n</sub>	228,000	564,000	609,000	419,000	657,000	623,000	592,000
M <sub>w</sub> /M <sub>n</sub>	3.1	1.8	1.7	2.3	1.8	1.9	2.0

#### Examples 15-20

**[0083]** In Examples 15-20, the procedure described in Examples 1-4 was repeated except that 2-oxo-(2H)-5-butyl-5-ethyl-1,3,2-dioxaphosphorinane was substituted for bis(2-ethylhexyl) hydrogen phosphite, higher molar ratios of triisobutylaluminum to iron (III) 2-ethylhexanoate were used, and the polymerization was carried out at 50° C. for 5 hour. In these experiments, crystalline syndiotactic 1,2-polybutadiene instead of amorphous high-vinyl polybutadi

TABLE IV

Example No.	15	16	17	18	19	20
Hexanes	64	64	64	64	64	64
26.9% 1,3-Bd/hexanes (g)	186	186	186	186	186	186
Fe(2-EHA) <sub>2</sub> (mmol)	0.050	0.050	0.050	0.050	0.050	0.050
Cyclic hydrogen phosphite* (mmol)	0.20	0.20	0.20	0.20	0.20	0.20
i-Bu <sub>3</sub> Al (mmol)	0.60	0.65	0.70	0.75	0.80	0.85
Fe/P/Al molar ratio	1:4:12	1:4:13	1:4:14	1:4:15	1:4:16	1:4:17
Polymer yield (%) after 5 hr at $50^{\circ}$ C.	97	95	91	90	87	84
Melting point (° C.)	158	158	158	158	158	158
M <sub>w</sub>	641,000	635,000	574,000	628,000	647,000	619,000
M <sub>n</sub>	346,000	280,000	274,000	304,000	313,000	310,000
$M_w/M_n$	1.9	2.3	2.1	2.1	2.1	2.0

**[0084]** Although the present invention has been described in the above examples with reference to particular means, materials and embodiments, it would be obvious to persons skilled in the art that various changes and modifications may be made, which fall within the scope claimed for the invention as set out in the appended claims. The invention is therefore not limited to the particulars disclosed and extends to all equivalents within the scope of the claims.

What is claimed is:

**1**. A process for preparing conjugated diene polymers comprising the step of:

- polymerizing conjugated diene monomers in the presence of a catalytically effective amount of a catalyst composition that is formed by combining:
  - (a) an iron-containing compound;
  - (b) a hydrogen phosphite; and
  - (c) an organoaluminum compound.

**2**. The process of claim 1, where the molar ratio of the organoaluminum compound to the iron-containing compound is from about 1:1 to about 100:1.

**3**. The process of claim 2, where the molar ratio of the hydrogen phosphite to the iron-containing compound is from about 0.5:1 to about 50:1.

4. The process of claim 1, where the conjugated diene monomers are 1,3-butadiene, isoprene, 1,3-pentadiene, 1,3-hexadiene, 2,3-dimethyl-1,3-butadiene, 2-ethyl-1,3-butadiene, 2-methyl-1,3-pentadiene, 3-methyl-1,3-pentadiene, 4-methyl-1,3-pentadiene, 2,4-hexadiene monomers, or mixtures thereof.

5. The process of claim 4, where the conjugated diene monomers are 1,3-butadiene monomers.

**6**. The process of claim 5, where said step of polymerizing is conducted in the presence of from about 0.01 to about 2 mmol of the iron-containing compound per 100 g of the 1,3-butadiene.

7. The process of claim 5, where the molar ratio of the organoaluminum compound to the iron-containing compound is from about 1:1 to about 100:1.

**8**. The process of claim 7, where the molar ratio of the organoaluminum compound to the iron-containing compound is relatively low.

**9**. The process of claim 7, where the molar ratio of the organoaluminum compound to the iron-containing compound is relatively high.

**10.** The process of claim 7, where the molar ratio of the organoaluminum compound to the iron-containing compound is intermediate.

11. The process of claim 1, where the iron atom in the iron-containing compound has an oxidation state of 0, +2, +3, or +4.

12. The process of claim 1, where the iron-containing compound is an iron carboxylate, iron carbamate, iron dithiocarbamate, iron xanthate, iron  $\beta$ -diketonate, iron alkoxide, iron aryloxide, organoiron compound, or a mixture thereof.

**13**. The process of claim 1, where the hydrogen phosphite is an acyclic hydrogen phosphite defined by the following keto-enol tautomeric structures:



or a cyclic hydrogen phosphite defined by the following keto-enol tautomeric structures:



or a mixture thereof, where  $R^1$  and  $R^2$ , which may be the same or different, are mono-valent organic groups, and where  $R^3$  is a divalent organic group.

14. The process of claim 13, where  $R^1$  and  $R^2$  are alkyl, cycloalkyl, substituted cycloalkyl, alkenyl, cycloalkenyl, substituted alkenyl, aryl, allyl, substituted aryl, aralkyl, alkaryl, or alkynyl groups, with each group containing up to about 20 carbon atoms, and where  $R^3$  is an alkylene, cycloalkylene, substituted alkylene, substituted cycloalkylene, alkenylene, cycloalkenylene, substituted alkenylene, substituted alkenylene,

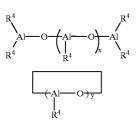
substituted cycloalkenylene, arylene, or substituted arylene group, with each group containing up to about 20 carbon atoms.

15. The process of claim 1, where the organoaluminum compound comprises at least one compound defined by the formula  $AIR_nX_{3-n}$ , where each R, which may be the same or different, is a mono-valent organic group, where each X, which may be the same or different, is a hydrogen atom, a carboxylate group, an alkoxide group, or an aryloxide group, and where n is an integer including 1, 2 or 3.

16. The process of claim 15, where each R is an alkyl, cycloalkyl, substituted cycloalkyl, alkenyl, cycloalkenyl, substituted cycloalkenyl, aryl, allyl, substituted aryl, aralkyl, alkaryl, or alkynyl group, with each group containing up to about 20 carbon atoms, and where each X is a carboxylate group, an alkoxide group, or an aryloxide group, with each group containing up to about 20 carbon atoms.

17. The process of claim 1, where the organoaluminum compound comprises trihydrocarbylaluminum, dihydrocarbylaluminum hydride, hydrocarbylaluminum dihydride, dihydrocarbylaluminum carboxylate, hydrocarbylaluminum bis(carboxylate), dihydrocarbylaluminum alkoxide, hydrocarbylaluminum dialkoxide, dihydrocarbylaluminum aryloxide, hydrocarbylaluminum diaryloxide, or mixtures thereof.

**18**. The process of claim 1, where the organoaluminum compound comprises an aluminoxane defined by one of the following formulas:



where x is an integer of 1 to about 100, y is an integer of 2 to about 100, and each  $R^4$ , which may be the same or different, is a mono-valent organic group.

19. The process of claim 18, where each  $\mathbb{R}^4$  is an alkyl, cycloalkyl, substituted cycloalkyl, alkenyl, cycloalkenyl, substituted cycloalkenyl, aryl, allyl, substituted aryl, aralkyl, alkaryl, or alkynyl group, with each group containing up to about 20 carbon atoms.

**20**. A polybutadiene polymer that is prepared by a process comprising the step of:

polymerizing 1,3-butadiene monomer with a catalyst composition that is formed by combining (a) an ironcontaining compound, (b) a hydrogen phosphite, and (c) an organoaluminum compound.

\* \* \* \* \*