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(54) Title: HUMANIZED IMMUNOMODULATORY MONOCLONAL ANTIBODIES FOR THE TREATMENT OF NEOPLASTIC DISEASE OR IMMUNODEFICIENCY

(57) Abstract: The present invention provides to a humanized monoclonal antibody having immunostimulatory effects. This antibody binds specifically to B lymphoblastoid cells, induces proliferation and activation of peripheral blood lymphocytes, and is capable of eliciting an anti-tumor effect upon administration to subjects suffering from cancer.

**HUMANIZED IMMUNOMODULATORY MONOCLONAL ANTIBODIES FOR THE
TREATMENT OF NEOPLASTIC DISEASE OR IMMUNODEFICIENCY**

FIELD OF THE INVENTION

5 The present invention relates to the field of immunotherapy and more specifically concerns humanized monoclonal antibodies useful for therapy of a variety of indications, particularly in the treatment of cancer.

BACKGROUND OF THE INVENTION

10 Cancer in its different forms is a major cause of death in humans. The most widely used therapeutic treatments of cancer are surgery, radiation and chemotherapy. The rapid increase of knowledge in recent years about the molecular and cellular bases of immune regulation, particularly at the level of T-cell responses, provides a new arsenal of immunotherapeutic approaches including the development of tumor vaccines. Certain monoclonal antibodies
15 (mAbs) were shown to have immunomodulatory activity including the ability to bind determinants on the surface of T cells and to induce proliferation, activation or differentiation of these cells.

20 Monoclonal antibodies derived from mouse hybridomas contain substantial stretches of amino acid sequences that are immunogenic when injected into a human patient, often
25 eliminating the antibody's therapeutic efficacy after an initial treatment. While the production of so called "chimeric antibodies" (i.e., mouse variable regions joined to human constant regions) has proven somewhat successful, a significant immunogenicity impediment remains.

Recombinant DNA technology has been utilized to produce immunoglobulins containing human framework regions (FRs) combined with complementarity determining regions (CDRs)
25 from a donor mouse or rat immunoglobulin. These new proteins are called "reshaped" or "humanized" immunoglobulins and the process by which the donor immunoglobulin is converted into a human-like immunoglobulin by combining its CDRs with a human framework is called "humanization". Humanized antibodies are important because they bind to the same antigen as the original antibodies, but are less immunogenic when injected into humans.

30 US Patent No. 6,294,654 discloses a modified immunoglobulin molecule or functional fragment or part thereof (Ig), having an antigenic peptide foreign to the Ig incorporated in one or more non-CDR loops, and wherein the main outline of the constant domain framework is maintained. Further disclosed is the use of the modified antibody for therapeutic or prophylactic use.

US Patent No. 6,074,635 discloses a method for antigen independent activation of T cells in vitro comprising contacting T cells in the absence of antigen with a combination of at least two cytokines selected from the group consisting of interleukin-2, interleukin-6, and tumor necrosis factor alpha, or functionally equivalent fragments thereof.

5 US Patent No. 5,658,741 discloses a method of inducing the activation and proliferation of T-cells, said method comprising: (a) conjugating a plurality of T-cell specific monoclonal antibodies to an aminodextran molecule having 7-20% by weight amine groups and a molecular weight of at least 100,000 daltons, wherein the molar ratio of said antibodies to said aminodextran is greater than or equal to two; and (b) reacting said conjugate with a sample
10 containing said T-cells to effect the binding of said conjugated antibodies to said T-cells to induce activation and proliferation of said T-cells.

US Patent 5,585,089 of Queen et al. discloses a humanized immunoglobulin having complementarity determining regions (CDRs) from a donor immunoglobulin and heavy and light chain variable region frameworks from human acceptor immunoglobulin heavy and light
15 chains, which humanized immunoglobulin specifically binds to an antigen with an affinity constant of at least 10^7 M⁻¹ and no greater than about four-fold that of the donor immunoglobulin, wherein said humanized immunoglobulin comprises amino acids from the donor immunoglobulin framework outside the Kabat and Chothia CDRs, wherein the donor amino acids replace corresponding amino acids in the acceptor immunoglobulin heavy or light
20 chain frameworks, and each of said donor amino acids: (I) is adjacent to a CDR in the donor immunoglobulin sequence, or (II) contains an atom within a distance of 4Å of a CDR in said humanized immunoglobulin.

US Patent 5,225,539, of Winter, discloses an altered antibody or antigen-binding fragment thereof, wherein a variable domain of the antibody or antigen-binding fragment has the
25 framework regions of a first immunoglobulin heavy or light chain variable domain and the complementarity determining regions of a second immunoglobulin heavy or light chain variable domain, wherein said second immunoglobulin heavy or light chain variable domain is different from said first immunoglobulin heavy or light chain variable domain in antigen binding specificity, antigen binding affinity, species, class or subclass.

30 US 5,225,539 and US Patent 5,585,089 do not provide sufficient tools and comprehensive description for carrying out the synthesis of an altered antibody, particularly a humanized antibody, by a person skilled in the art.

US Patent No. 5,897,862 of one of the inventors of the present invention which is incorporated herein by reference, discloses a monoclonal antibody or an antigen binding

fragment thereof, wherein the monoclonal antibody: (i) is secreted by the hybridoma cell line deposited at the Collection Nationale de Cultures de Microorganismes (CNCM), under Accession No. I-1397, or (ii) recognizes the same antigenic epitope as the antibody under (i). The monoclonal antibody disclosed in US5,897,862 is directed against "Daudi" cells, a human B lymphoblastoid cell line, and was shown to stimulate murine lymphocytes and human peripheral blood T cells (Hardy et al, *Cell Immunol.* 118:22, 1989). This murine antibody is also termed mBAT-1 hereinafter. mBAT-1 also exhibits anti-tumor and immunostimulatory effects in various types of tumors (Hardy et al., *Int. J. Oncol.* 19:897, 2001) including tumors of human origin (Hardy et al., *Proc. Natl. Acad. Sci. USA* 94:5756, 1997).

10 International Patent Application WO 00/58363 of one of the inventors of the present invention which is incorporated herein by reference, discloses a monoclonal antibody having a variable region comprising the heavy chain variable region and/or the Kappa light chain variable region of mBAT-1 or a heavy chain variable region and/or a Kappa light chain variable region having at least 70% identity to the heavy chain variable region and/or the Kappa light chain 15 variable region of mBAT-1.

Nowhere in the background art is it taught or suggested that a humanized monoclonal antibody comprising CDRs of a murine origin and FRs of a human origin may elicit an immune response and may further exhibit anti-cancer activity. Moreover, there is an unmet need for reliable methods for designing functional humanized antibodies, as it is well known in the art 20 that the synthesis of the humanized antibody of the present invention cannot be predictably or routinely based on the background art.

SUMMARY OF THE INVENTION

It is an object of the present invention to provide a humanized monoclonal 25 immunomodulatory antibody, also termed hereinafter hBAT-1, which binds to B lymphoblastoid cells and induces proliferation and activation of peripheral blood lymphocytes. Said hBAT-1 is based on the previously known murine monoclonal immunomodulatory antibody, also termed herein mBAT-1, which binds to B lymphoblastoid cells and induces proliferation and activation of peripheral blood lymphocytes and further elicits an anti-tumor 30 effect when injected into a tumor-bearing subject.

The present invention provides a comprehensive description of the humanization process of mBAT-1 along with the rationale for each synthesis step. Thus, the description of the humanization process provided in the present invention is suitable for humanization of BAT antibodies other than mBAT-1, by a person skilled in the art.

The administration of humanized BAT-1 antibody offers a method for therapeutic prevention, detection or treatment of cancer. Treatment of a subject in need thereof with the humanized form of the BAT-1 antibody, as provided by the present invention, is considerably more efficient than treatment with a chimeric BAT-1 antibody, and avoids adverse 5 immunogenic responses.

The present invention is based in part on the unexpected finding that the humanized BAT-1 antibody appears to induce a greater anti-tumor effect than that induced by the parent murine BAT-1 antibody.

According to a first aspect, the present invention provides a humanized monoclonal 10 antibody comprising at least one CDR from a donor immunoglobulin and an FR from an acceptor immunoglobulin.

According to one embodiment, the present invention provides a humanized monoclonal immunomodulatory antibody comprising at least one CDR from a donor immunoglobulin and an FR from an acceptor immunoglobulin.

15 According to another embodiment, the present invention provides a monoclonal immunomodulatory antibody wherein the donor of CDRs is the murine monoclonal BAT-1 antibody (mBAT-1).

According to yet another embodiment, the present invention provides a monoclonal 20 immunomodulatory antibody wherein the acceptor from which the FR is derived is a human immunoglobulin.

According to yet another embodiment, the present invention provides a monoclonal immunomodulatory antibody comprising at least one CDR from a donor murine monoclonal 25 BAT-1 antibody (mBAT-1) and an FR derived from an acceptor human immunoglobulin wherein the humanized antibody retains the biological activity of mBAT-1 monoclonal antibody and is less immunogenic in a human subject than said murine antibody.

According to yet another embodiment, the light chain variable region of the humanized BAT-1 antibody is characterized by the formula:



wherein each FR is independently a framework region of a human antibody and each CDR is 30 independently a complementarity determining region of the monoclonal mBAT-1 antibody.

According to yet another embodiment, the heavy chain variable region of the humanized BAT-1 antibody is characterized by the formula:



wherein each FR is independently a framework region of a human antibody and each CDR is independently a complementarity determining region of the monoclonal mBAT-1 antibody.

According to a specific embodiment, the present invention provides a monoclonal antibody comprising FRs derived from the light chain variable region of the human TEL9 antibody.

According to another specific embodiment, the present invention provides a monoclonal antibody comprising FRs amino acid sequences derived from the light chain variable region of the human TEL9 antibody selected from the group consisting of: FR_{L1}, [EIVLT QSPSS LSASV GDRVITC; SEQ. ID NO. 1]; FR_{L2}, [W (F or Y) QQKPG KAPKL (W or L) IY; SEQ. ID NO. 2]; FR_{L3}, [GVPSR FSGSG SGT (D or S) (Y or F) (C or T) LTINS LQPED FATYY C; SEQ. ID NO. 3]; FR_{L4}, [FGGGT KLEIK; SEQ. ID NO. 4].

According to yet another specific embodiment, the present invention provides a monoclonal antibody comprising FRs derived from the heavy chain variable region of the human hsighv1295 antibody.

According to yet another specific embodiment, the present invention provides a monoclonal antibody comprising FRs amino acid sequences derived from the heavy chain variable region of the human hsighv1295 antibody selected from the group consisting of: FR_{H1}, [Q (I or V) QLV QSGSE LKKPG ASVKI SCKAS GY (T or S) F (T or S); SEQ. ID NO. 5]; FR_{H2}, [WV (R OR K) QAPGQ GL (Q or K) WMG; SEQ. ID NO. 6]; FR_{H3}, [RF (V or A) FSLDT SV (N or S) TAYLQ ITSL (T or N) AEDTG MYFC (V or A) (R or K); SEQ. ID NO. 7]; FR_{H4}, [WGQGT LVTVS S; SEQ. ID NO. 8].

According to yet another preferred embodiment, the present invention provides a monoclonal antibody comprising a light chain variable region comprising the amino acid sequence selected from the group consisting of: CDR_{L1} [SARSS VSYM; SEQ. ID NO. 9]; CDR_{L2} [RTSNL AS; SEQ. ID NO. 10]; CDR_{L3} [QQRSS FPLT; SEQ. ID NO. 11], wherein the CDRs are derived from the murine BAT-1 antibody and the subscripts "L" and "H" refer to light and heavy chain regions, respectively.

According to yet another specific embodiment, the present invention provides a monoclonal antibody comprising a heavy chain variable region comprising the amino acid sequence selected from the group consisting of: CDR_{H1} [NYGMN; SEQ. ID NO. 12]; CDR_{H2} [WINTD SGEST YAEEF KG; SEQ. ID NO. 13]; CDR_{H3} [VGVDY LDY; SEQ. ID NO. 14].

According to yet another embodiment, the humanized monoclonal antibody of the invention is selected from the group consisting of: a full length antibody having a human immunoglobulin constant region, a monoclonal IgG particularly of subclasses $\gamma 1$ or $\gamma 4$, a single

chain antibody, an antibody fragment including, but not limited to, an F(ab')₂ fragment or F(ab) or Fv, a labeled antibody, an immobilized antibody, an antibody conjugated with a heterologous compound.

According to yet another preferred embodiment, the present invention provides a 5 monoclonal antibody comprising a light chain variable region selected from the group consisting of: BATR_K_A (SEQ. ID NO. 15), BATR_K_B (SEQ. ID NO. 16), BATR_K_C (SEQ. ID NO. 17), BATR_K_D (SEQ. ID NO. 18).

According to yet another preferred embodiment, the present invention provides a 10 monoclonal antibody comprising a heavy chain variable region selected from the group consisting of: BATR_H_A (SEQ. ID NO. 20), BATR_H_B (SEQ. ID NO. 21), BATR_H_C (SEQ. ID NO. 22), BATR_H_D (SEQ. ID NO. 23) or BATR_H_E (SEQ. ID NO. 24).

According to yet another preferred embodiment, the present invention provides a 15 monoclonal antibody comprising a variable region selected from the group consisting of: BATR_H_A/BATR_K_A (SEQ. ID NO. 20/SEQ. ID NO. 15), BATR_H_B/BATR_K_A (SEQ. ID NO. 21/SEQ. ID NO. 15), BATR_H_B/BATR_K_B (SEQ. ID NO. 21/SEQ. ID NO. 16), BATR_H_C/BATR_K_B (SEQ. ID NO. 22/SEQ. ID NO. 16), BATR_H_B/BATR_K_D (SEQ. ID NO. 21/SEQ. ID NO. 18), or BATR_H_C/BATR_K_D (SEQ. ID NO. 22/SEQ. ID NO. 18).

According to yet another embodiment, the humanized monoclonal antibody of the 20 invention is generated by recombinant DNA technology, utilizing CDR grafting.

According to a second aspect, the present invention provides polynucleotides encoding the 25 humanized antibody of the invention or fragments thereof. The polynucleotides may encode the whole humanized antibody or the light chain variable region or the heavy chain variable region or both chains of the variable region of the humanized antibody. The invention further provides vectors comprising polynucleotides encoding the humanized antibody of the invention or fragments thereof. Consequently, the humanized BAT-1 antibody may be expressed in a host cell following co-transfection of the heavy and light chain vectors or by transfection of a single vector comprising both light and heavy chain polynucleotide sequences.

According to another embodiment, the present invention provides polynucleotide 30 sequences encoding the humanized monoclonal antibody of the invention or fragments thereof.

According to another preferred embodiment, the present invention provides a polynucleotide sequence encoding the kappa light chain variable region of the humanized antibody of the invention wherein the kappa light chain variable region is selected from the group consisting of: SEQ ID NO. 15, SEQ ID NO. 16, SEQ ID NO. 17, SEQ ID NO. 18.

According to another preferred embodiment, the polynucleotide sequence encoding the light chain of the humanized antibody of the invention is selected from the group consisting of: SEQ ID NO. 87, SEQ ID NO. 88, SEQ ID NO. 89.

According to another preferred embodiment, the present invention provides a 5 polynucleotide sequence encoding the heavy chain variable region of the humanized antibody of the invention wherein the heavy chain variable region is selected from the group consisting of: SEQ ID NO. 20, SEQ ID NO. 21, SEQ ID NO. 22, SEQ ID NO. 23, SEQ ID NO. 24.

According to yet another preferred embodiment, the polynucleotide sequences encoding the heavy chain of the humanized antibody of the invention are selected from the group 10 consisting of: SEQ ID NO. 90, SEQ ID NO. 91, SEQ ID NO. 92.

According to yet another embodiment, the present invention provides a vector comprising the polynucleotide sequence encoding the humanized BAT-1 antibody or fragments thereof.

According to yet another embodiment, the present invention provides a vector comprising 15 the polynucleotide sequence encoding the humanized antibody of the invention or fragments thereof.

According to yet another embodiment, the present invention provides a vector comprising the polynucleotide sequence encoding the humanized antibody of the invention or fragments thereof selected from the group consisting of: whole humanized antibody, the light chain variable region, the heavy chain variable region, both chains of the variable region.

According to yet another preferred embodiment, the present invention provides a vector 20 comprising a polynucleotide sequence encoding the kappa light chain variable region of the humanized antibody of the invention, wherein the kappa light chain variable region is selected from the group consisting of: SEQ ID NO. 15, SEQ ID NO. 16, SEQ ID NO. 17, SEQ ID NO. 18.

According to yet another embodiment, the vector further comprises at least one sequence 25 encoding a component selected from the group consisting of: resistance genes, promoter, signal peptide, polyA transcription terminator, selection markers, genomic human kappa constant region.

According to yet another preferred embodiment, the components of the vector are selected 30 from the group consisting of: Ampicillin resistance gene, Neomycin resistance gene, HCMV Immediate Early Promoter, the genomic human kappa constant region, a mouse immunoglobulin signal peptide sequence, Kozak sequence, a signal sequence intron, BGH polyA transcription terminator, a Neo/G418 selection marker, a hamster dhfr selection marker.

According to yet another preferred embodiment, the present invention provides a vector comprising a polynucleotide sequence encoding the heavy chain variable region of the humanized antibody of the invention, wherein the heavy chain variable region is selected from the group consisting of: SEQ ID NO. 20, SEQ ID NO. 21, SEQ ID NO. 22, SEQ ID NO. 23, 5 SEQ ID NO. 24.

According to yet another embodiment, the vector further comprises at least one sequence encoding a component selected from the group consisting of: resistance genes, promoter, signal peptide, polyA transcription terminator, selection markers, the genomic human Ig constant region.

10 According to yet another preferred embodiment, the components of the vector are selected from the group consisting of: Ampicillin resistance gene, Neomycin resistance gene, HCMV Immediate Early Promoter, the genomic human IgG1 constant region, a mouse immunoglobulin signal peptide sequence, Kozak sequence, a signal sequence intron, BGH polyA transcription terminator, a Neo/G418 selection marker, a hamster dhfr selection marker.

15 According to yet another preferred embodiment, the present invention provides a vector comprising a polynucleotide sequence encoding the kappa light chain variable region of the humanized antibody of the invention selected from the group consisting of: pKN110-BATR κ_A , pKN110-BATR κ_B and pKN110-BATR κ_D .

According to yet another preferred embodiment, the present invention provides a vector 20 comprising a polynucleotide sequence encoding the heavy chain variable region of the humanized antibody of the invention selected from the group consisting of: pG1D110-BATR H_A , pG1D110-BATR H_B , pG1D110-BATR H_C .

According to yet another preferred embodiment, the present invention provides a vector comprising a polynucleotide sequence encoding the complete humanized antibody of the 25 invention of SEQ ID NO. 93.

According to a third aspect, the present invention provides cells containing a vector comprising the polynucleotide sequence encoding the antibody of the invention or fragments thereof for the purposes of storage, propagation, antibody production and therapeutic applications.

30 According to another embodiment, the host cell may be selected from the group consisting of: CHO, CHO $dhfr$, NSO, COS, COS7.

According to yet another embodiment, the present invention provides a pharmaceutical composition comprising as an active ingredient the antibody of the invention, for use in diagnosis and therapy.

According to yet another embodiment, the pharmaceutical composition comprising as an active ingredient the antibody of the invention is preferably used for the treatment of cancer.

According to yet another embodiment, the pharmaceutical composition may be administered either following detection of primary or secondary tumors in a subject or as 5 preventive therapy of a subject having a high risk of developing cancers.

According to yet another preferred embodiment, the humanized antibody of the invention elicits anti-tumor effects in a variety of tumors.

According to yet another embodiment, the present invention provides a method for diagnosis or treatment of a disease or a disorder, particularly cancer, comprising administering 10 to a subject in need thereof, an effective amount of a pharmaceutical composition comprising the antibody of the invention as an active ingredient.

According to yet another embodiment, the antibody of the invention is administered together with, prior to, or following, the administration of other agents, which can act in an additive or synergistic manner with it.

15 According to yet another embodiment, the antibody of the invention is administered together with, prior to, or following, the administration of agents selected from the group consisting of: cytokines, IL-1 (Interleukin-1), IL-2, IL-6, IFN- α (Interferon- α), cell vaccines, antibodies, T-cell stimulatory antibodies, anti-tumor therapeutic antibodies.

According to a particular embodiment of the present invention the humanized BAT 20 monoclonal antibodies are identical in their function or activity to those produced by cells deposited under ATCC # (PTA-5189).

Other objects, features and advantages of the present invention will become apparent from the following detailed description and appended claims.

25 **BRIEF DESCRIPTION OF THE DRAWINGS**

FIGURE 1 shows the DNA and peptide sequences of the kappa light chain variable region (V_K) of the murine BAT-1 antibody.

FIGURE 2 depicts the canonical classes of CDRs in the murine BAT-1 V_K region. “Chothia Canonical Classes” indicates where the canonical classes as defined by Chothia and his 30 colleagues (Chothia et al., 1987, 1989, 1992 ibid; Tramontano et al., J. Mol. Biol. 215:175, 1990) were used while “Martin Canonical Classes” signifies where the canonical classes defined by Martin and Thornton (Martin et al., J. Mol. Biol. 263:800, 1996) were used. FR residues are highlighted in bold.

FIGURE 3 presents the DNA and peptide sequences of the heavy chain variable region (V_H) of the murine BAT-1 antibody.

5 **FIGURE 4** depicts the canonical classes of CDRs in the murine BAT-1 V_H region. “Chothia Canonical Classes” indicates where the canonical classes as defined by Chothia and his colleagues (Chothia et al., 1987, 1989, 1992 ibid; Tramontano et al., ibid) were used while “Martin Canonical Classes” signifies where the canonical classes defined by Martin and Thornton (Martin et al., ibid) were used. FR residues are highlighted in bold.

10 **FIGURE 5** shows the amino acid sequences of the various versions of the humanized BAT-1 V_K region that are proposed (SEQ ID NOS. 15-18). Where the BAT-1 V_K region residues and the human TEL9 V_K region sequence match a dot [.] is shown. Where no amino acid is present at a specific residue position a dash [-] is shown. Where an amino acid in the TEL9 FRs is changed in the humanized BAT-1 V_K region, it is highlighted in bold. The CDRs are described by the use of the nomenclature [==L1==]. The numbering used is as according to Kabat (Kabat et al., Sequences of proteins of immunological interest, Fifth Edition, U.S. Department of Health 15 and Human Services, U.S. Government Printing Office, 1991).

20 **FIGURE 6** presents the amino acid sequences of the various versions of the humanized BAT-1 V_H region that are proposed (SEQ ID NOS. 20-24). Where the BAT-1 V_H region residues and the human hsighv1295 V_H region sequence match a dot [.] is shown. Where no amino acid is present at a specific residue position a dash [-] is shown. Where an amino acid in the hsighv1295 FRs is changed in the humanized BAT-1 V_H region, it is highlighted in bold. The CDRs are described by the use of the nomenclature [==H1==], while [-----] denotes part of the H1 structural loop. The numbering used is as according to Kabat (Kabat et al., ibid).

25 **FIGURE 7** shows the DNA (SEQ ID NO. 87) and peptide (SEQ ID NO. 15) sequences of version A (BATR κ_A) of the reshaped human kappa light chain variable region of the humanized BAT-1 antibody.

FIGURE 8 shows the DNA (SEQ ID NO. 88) and peptide (SEQ ID NO. 16) of version B (BATR κ_B) of the reshaped human kappa light chain variable region of the humanized BAT-1 antibody.

30 **FIGURE 9** presents the DNA (SEQ ID NO. 89) and peptide (SEQ ID NO. 18) sequences of version D (BATR κ_D) of the reshaped human kappa light chain variable region of the humanized BAT-1 antibody.

FIGURE 10 is a diagrammatic representation of the pKN110-BATR κ_D vector construct.

FIGURE 11 is a diagrammatic representation of the BAT-1 light chain cassette inserted into BAT-1 light chain expression vectors.

FIGURE 12 presents the DNA (SEQ ID NO. 90) and peptide (SEQ ID NO. 20) sequences of version A (BATRH_A) of the reshaped human heavy chain variable region of the humanized BAT-1 antibody.

5 **FIGURE 13** shows the DNA (SEQ ID NO. 91) and peptide (SEQ ID NO. 21) sequences of version B (BATRH_B) of the reshaped human heavy chain variable region of the humanized BAT-1 antibody.

FIGURE 14 shows the DNA (SEQ ID NO. 92) and peptide (SEQ ID NO. 22) sequences of version C (BATRH_C) of the reshaped human heavy chain variable region of the humanized BAT-1 antibody.

10 **FIGURE 15** is a diagrammatic representation of the pG1D110.BAT-1.RH_C vector construct.

FIGURE 16 is a diagrammatic representation of the BAT-1 heavy chain cassette inserted into BAT-1 heavy chain expression vectors.

FIGURE 17 is a diagrammatic representation of the pG1D200 gamma-1 immunoglobulin heavy chain mammalian expression vector.

15 **FIGURE 18** is a diagrammatic representation of the pG1KD210.BAT-1.RHC/RKD single expression vector (SEQ ID NO. 93).

FIGURE 19 is a diagrammatic representation of the BATR_{KD}/BATRH_C heavy and light chains cassette inserted into a single expression vector for the expression of the complete BAT-1 antibody.

20 **FIGURE 20** shows a Daudi cell ELISA of humanized BATRH_B/BATR_{KB} variant against BAT-1 chimeric antibody.

FIGURE 21 shows a Daudi cell ELISA of humanized BATRH_B/BATR_{KA} and BATRH_A/BATR_{KA} variants against BAT-1 chimeric antibody.

25 **FIGURE 22** shows a Daudi cell ELISA of humanized BATRH_C/BATR_{KB} and BATRH_C/BATR_{KD} variants against BAT-1 chimeric antibody.

FIGURE 23 shows a Daudi cell ELISA of humanized BATRH_B/BATR_{KD} variant against BAT-1 chimeric antibody.

FIGURE 24 presents dose dependence binding curves to Daudi cells of the murine BAT-1 mAb and the humanized BATRH_C/BATR_{KD} γ 1 mAb.

30 **FIGURE 25** illustrates the dose-dependent anti-metastatic activity of the humanized BATRH_C/BATR_{KD} γ 1 mAb (hBAT) in murine B16 lung tumors, with respect to control (no treatment) and to treatment with the original murine BAT-1 mAb. All treatments were administered intravenously 14 days post tumor inoculation and lungs were examined 10 days post treatment.

FIGURE 26 represents the inhibitory effect of the humanized BATRH_C/BATR_{KD} γ 1 mAb on human melanoma (SK-28) in SCID mice engrafted with human lymphocytes. The effect of the humanized BAT-1 on tumor growth is compared with control (no treatment) or treatment with the murine BAT-1 mAb (mBAT-1).

5 **FIGURE 27** demonstrates the anti-metastatic activity of the humanized BATRH_C/BATR_{KD} γ 1 mAb in a Murine Tumor Model (HM7) implanted in BALB/c nude mice.

FIGURE 28 shows co-localization of the humanized BATRH_C/BATR_{KD} γ 1 mAb (hBAT) with CD4 (A) and CD8 (B) determined by flow cytometry on gated lymphocytes.

10 **FIGURE 29** presents binding of the humanized BATRH_C/BATR_{KD} γ 1 mAb to cellular markers CD19 (A) and CD20 (B) of B lymphocytes isolated from a normal donor.

FIGURE 30 represents the binding of the humanized BAT mAb to non-activated (day 0, A; day 5, C) and activated (2 days, B; 5 days, D) CD4+ T cells.

15 **FIGURE 31** shows the binding of the humanized BAT mAb to CD69⁺ T cells activated with beads conjugated to anti-CD3 and anti-CD28 in a dose-dependent manner (no activation, A; 0.25 μ l, B; 0.5 μ l, C).

FIGURE 32 presents co-localization of the humanized BATRH_C/BATR_{KD} γ 1 mAb with CD25 marker of T cells in a time dependent manner: day 0, A; day 2 and day 5 of activation, B and D respectively; day 5 of no activation, C.

20 **FIGURE 33** shows co-localization of the humanized BATRH_C/BATR_{KD} γ 1 mAb with CD40-Ligand marker of T cells in a time dependent manner: day 0, A; day 1, day 2 and day 5 of activation, B - C and E, respectively; day 5 of no activation, D.

FIGURE 34 describes hBAT induced increase in the number of viable CD4+ cells, isolated from two separate donors (A and B).

FIGURE 35 presents hBAT binding to Daudi (A) and Jurkat (B) cell lines.

25 **FIGURE 36** demonstrates hBAT binding to PBL of cancer patients.

DETAILED DESCRIPTION OF THE INVENTION

I. Definitions

For convenience certain terms employed in the specifications, examples and claims are 30 set forth.

The term "antibody" is used in the broadest sense and specifically covers monoclonal antibodies (including full length monoclonal antibodies) and antibody fragments so long as they exhibit the desired biological activity. "Antibody fragments" comprise a portion of a full length antibody, generally the antigen binding or variable region thereof. Examples of antibody

fragments include Fab, Fab', F(ab')₂, and Fv fragments; diabodies; linear antibodies; single-chain antibody molecules; and multispecific antibodies formed from antibody fragments.

5 The term "monoclonal antibody" as used herein refers to antibodies that are highly specific, being directed against a single antigenic site. The monoclonal antibodies to be used in accordance with the present invention may be made by recombinant DNA methods (see, e.g., U.S. Patent 4,816,567 of Cabilly et al.).

10 The term "framework region" or "FR" residues are those variable domain residues other than the hypervariable region residues as herein defined. The term "hypervariable region" when used herein refers to the amino acid residues of an antibody which are responsible for antigen binding. The hypervariable region comprises amino acid residues from a "complementarity determining region" or "CDR". The CDRs are primarily responsible for binding to an epitope of an antigen. The extent of FRs and CDRs has been precisely defined (see, Kabat et al., *ibid*).

15 As used herein, the term "humanized antibody" refers to an antibody comprising a framework region from a human antibody and one or more CDRs from a non-human (usually a mouse or rat) immunoglobulin. Parts of a humanized immunoglobulin, except possibly the CDRs, are substantially identical to corresponding parts of natural human immunoglobulin sequences. Importantly, the humanized antibody is expected to bind to the same antigen as the donor antibody that provides the CDRs. For further details, see e.g. U.S. Pat. No. 5,225,539 assigned to Medical Research Council, UK.

20 The expression "human antibody" is intended to mean an antibody encoded by a gene actually occurring in a human, or an allele, variant or mutant thereof.

As used herein, the term "donor" or "parental" immunoglobulin refers to the non-human immunoglobulin providing the CDRs.

25 As used herein, the term "acceptor" immunoglobulin refers to the human immunoglobulin providing the framework.

The term "expression vector" as used herein refers to a recombinant DNA molecule containing a desired coding sequence and appropriate nucleic acid sequences necessary for the expression of the operably linked coding sequence in a particular host cell. It is contemplated that the present invention encompasses expression vectors that are integrated into host cell 30 genomes, as well as vectors that remain unintegrated into the host genome.

The term "genetically modified cells" as referred to herein relates to cells being transfected or infected by a vector, as exemplified by a virus encoding a polypeptide of interest, said cells capable of expressing said polypeptide. Particularly in the context of this invention,

the genetically modified cells are capable of expressing and secreting the antibody of the invention.

The term "transfection" refers to the introduction of DNA into a host cell. It is contemplated that coding sequences may be expressed in transfected cells. Numerous methods 5 of transfection are known to the ordinary skilled artisan, for example, CaPO₄ and electroporation.

The term "anti-tumor effect" as used herein, refers to a biological effect which can be manifested by a decrease in tumor volume, a decrease in the number of tumor cells, a decrease 10 in the number of metastases, an increase in life expectancy, or amelioration of various physiological symptoms associated with the cancerous condition. An "anti-tumor effect" can also be manifested by the ability of the antibody of the invention in prevention of the occurrence of tumor in the first place. Given its properties, the antibody of the invention can be used both in the treatment of acute cancer as well as in cancer prophylaxis.

Herein the term "excipient" refers to an inert substance added to a pharmaceutical 15 composition to further facilitate administration of a compound. Examples, without limitation, of excipients include calcium carbonate, calcium phosphate, various sugars and types of starch, cellulose derivatives, gelatin, vegetable oils and polyethylene glycols. Pharmaceutical compositions may also include one or more additional active ingredients.

The term "Polymerase Chain Reaction" ("PCR") refers to the methods disclosed in U.S. 20 Pat. Nos. 4,683,195; 4,683,202, and 4,965,188.

II. Preferred modes for carrying out the invention

a. Antibody preparation

In order to humanize the BAT-1 antibody, the non-human antibody starting material, namely 25 mBAT-1 is prepared, following the design and preparation of the humanized variants. Some aspects of this invention, including the selection of a donor non-human antibody variable domain, humanizing an antibody gene sequence and producing a desired humanized antibody, are described in the following sections.

(i) Preparation of the non-humanized antibody

The murine BAT-1 monoclonal antibody was described previously in US Patent 30 5,897,862. Accordingly, a representative hybridoma cell line that produces monoclonal murine BAT-1 antibodies, was deposited at the Collection Nationale de Cultures de Microorganismes (CNCM), Institute Pasteur, 25, Rue du Docteur Roux, 75724, Paris, Cedex 15, under Deposit Accession No. I-1397, on Jan. 28, 1994.

Alternatively, the chimeric $\gamma 1/\kappa$ BAT-1 antibody as produced from the murine BAT-1 may be used for the preparation of a humanized BAT-1. The chimeric BAT-1 antibody and its production, have been described in PCT application No. WO 00/58363.

5 (ii) Design strategy of the humanized antibody

The present invention discloses procedures for humanization of BAT-1 antibody via a process in which the donor antibody, preferably mouse antibody, is converted into a human-like antibody by combining the CDRs of the donor antibody with a human framework. In certain embodiments, it may be desirable to generate amino acid sequence variants of the humanized antibody, particularly where these improve the binding affinity or other properties of the 10 humanized antibody. The methods applied to select sites for substitution, insertion or deletion, from both the donor BAT-1 antibody and the selected human acceptor antibody, including the selection of acceptor human antibodies are described in detail. The extensive analysis and guidelines for antibody humanization which is provided hereinbelow, is not disclosed in the background art and is crucial for the preparation of an active altered antibody.

15 The design of a humanized antibody is preferably initiated by sequence analysis of the heavy and light chains of the non-human antibody variable region, also termed hereinafter V_H and V_L , respectively. Such analysis includes a comparison between the amino acid sequence of V_L and V_H of the non-humanized antibody and other mouse variable regions. In a preferred embodiment, the comparison can be further conducted with consensus sequences of the 20 subgroups into which the variable regions were subdivided in the Kabat database (Kabat et al., ibid). The classification of the different elements of the variable region facilitates selection of immunoglobulin variable regions which are similar to the V_L and V_H of the non-humanized antibody of the present invention and are structurally solved.

Selection of the human kappa light chain variable region, also termed hereinafter V_K , and 25 of V_H that would serve as the basis of the variable region of the humanized antibody, also termed an acceptor antibody, is preferably initiated by classifying the V_L and V_H of the non human antibody according to consensus sequences of human immunoglobulins. Particularly, V_L of the non-humanized antibody is compared with and consequently categorized according to the consensus sequences of the four human kappa light chain variable region subgroups as defined 30 by Kabat (Kabat et al., ibid). Similarly, V_H of the non-humanized antibody is compared and categorized according to the consensus sequences of the three human heavy chain variable region subgroups.

The selection of the acceptor human V_K and V_H is preferably proceeded by conducting a comparison between V_L and V_H of the parental non-human antibody of the invention and all the

recorded examples of individual sequences of human variable regions publicly available. An appropriate human V_K and V_H are selected on the basis of closest match to the parental non-human antibody.

Analysis of the sequences of the donor and humanized antibodies and reference to appropriate molecular models can help to discern which residues might be involved in antigen binding or maintenance of proper antibody structure and which residues should be removed or substituted in order to improve the structure of the humanized antibody.

Molecular models of the variable regions of both the non-human and humanized antibodies are thus prepared to assist the design of the humanized antibody. The modeling of these structures are based on the classifications of the variable region elements that were determined in the analysis procedure and can be obtained, for example, by using homology and *ab initio* techniques. The corresponding X-ray crystallographic structures can be obtained from the Brookhaven database.

Elements within the variable region of the non-human antibody of the invention, such as FRs, CDRs, and loop structures, are modeled on elements from similar, structurally solved, immunoglobulin variable regions. Steric clashes are identified in the models and consequently mismatched side-chains are selected for substitution. A particularly preferred approach for structure conformation includes categorization of the structural elements according to canonical classes based on those described by Chothia and his colleagues (Chothia et al., 1987, 1989, 1992 *ibid*; Tramontano et al., *ibid*). A preferred approach for structure prediction includes a database search or CONGEN search (Bruccoleri, R.E. et al., *Biopolymers* **26**:137, 1987). The selected human V_K and V_H that would serve as the basis of the humanized antibody are similarly modeled and their amino acid sequences are studied to determine if any of their residues are likely to adversely influence binding specificity.

Energy minimization is preferably applied after adjusting the models for obvious steric clashes. Energy minimization is implemented here both to relieve unfavorable atomic contacts and to optimize van der Waals and electrostatic interaction.

As a result of the above design procedure the humanized antibody variants of BAT-1 may comprise additional, or substituted conservative amino acid residues which are not found in the recipient antibody or in the donor antibody. Deletion of amino acid residues included in the original acceptor or donor antibodies may also be applied. These modifications are made to refine antibody performance and have substantially no effect on antigen binding or other immunoglobulin functions. The sites of greatest interest for modifications include the hypervariable loops, but FR alterations are also contemplated. Hypervariable region residues or

FR residues involved in antigen binding are generally substituted in a relatively conservative manner. The conservative substitutions that may be applied in the present invention comprise the following options: Val, Ile; Ser, Thr; Lys, Arg; Phe, Tyr; Trp, Leu; Asp, Ser; Cys, Thr; Gln, Lys; Val, Ala; Asn, Ser; Thr, Asn.

5 (iii) Construction of the humanized antibody variants

Generally, the BAT-1 antibody variants are conventionally prepared in recombinant cell culture, as described in more detail below. Recombinant synthesis is preferred here but it is known to prepare peptides by chemical synthesis or to purify them from natural sources.

Molecular biology techniques and CDR grafting protocols suitable to carrying out the 10 invention as herein described are known to those skilled in the art. Suitable teachings are described in numerous manuals and primary publications, including *inter alia*, Sambrook et al., (Molecular Cloning: A Laboratory Manual, 2nd Ed., Cold Spring Harbor Laboratory Press, Cold Spring Harbor, New York, 1989); Ausubel et al., (Protocols In Molecular Biology, Green Publishing Associates and Wiley-Interscience, John Wiley and Sons, New York 1987, 1988, 15 1989); US Patent Nos. 5,225,539 and 5,585,089 which are herein incorporated by reference in their entirety including supplements.

The amino acid sequences of BAT-1 light and heavy chain CDRs are herein identified and illustrated in FIG. 5 and 6: CDR_{L1} (SEQ. ID NO. 9 and SEQ L1 in FIG.5): SARSS VSYMH; CDR_{L2} (SEQ. ID NO. 10 and SEQ L2 in FIG.5): RTSNL AS; CDR_{L3} (SEQ. ID NO. 11 and 20 SEQ L3 in FIG.5): QQRSS FPLT; CDR_{H1} (SEQ. ID NO. 12 and SEQ H1 in FIG.6): NYGMN; CDR_{H2} (SEQ. ID NO. 13 and SEQ H2 in FIG.6): WINTD SGEST YAEEF KG; CDR_{H3} (SEQ. ID NO. 14 and SEQ H3 in FIG.6): VGYDA LDY.

Using these amino acid sequences, oligonucleotides encoding these CDRs can be synthesized for use in the present invention. Also, the oligonucleotides may contain nucleotides 25 in addition to those of BAT-1 CDRs, to facilitate cloning or to introduce restriction sites, for instance. Oligonucleotide synthesis techniques suitable to this aspect of the invention are well known to the skilled artisan and may be carried out using any of several commercially available automated synthesizers. In addition, DNAs encoding the CDRs set forth herein can be obtained through the services of commercial DNA synthesis vendors. It is thus not necessary to reclone 30 BAT-1 CDRs from a natural source.

mBAT-1 CDRs are grafted into a human antibody to produce the humanized BAT-1 variants. It will be understood that human antibody in this context refers to any antibody that occurs in a human or an engineered antibody that has been designed, in some respect, to be

compatible with the human immune system. Particularly preferred for this purpose are antibodies that, broadly, do not engender an adverse immune response in a patient.

To construct CDR-grafted humanized BAT-1 antibodies, oligonucleotides encoding the BAT-1 CDRs can be integrated into other DNAs encoding antibody heavy and light chains and 5 fragments thereof, using well-known recombinant techniques such as those described in the above references. Particularly, BAT-1 CDRs can be introduced into practically any set of FRs in accordance with the present invention. A variety of human antibody genes are available in the form of publicly accessible deposits and suitable antibody genes can be synthesized from these sequences much as described above. Preferred techniques employed in this regard, for cloning 10 and manipulating polynucleotides are illustrated by the methods and examples set forth.

The amino acid sequences of mBAT-1 and reshaped BAT-1 light (FIG. 5) and heavy (FIG.6) chain FRs and modified FRs are herein identified: FR_{L1} (SEQ. ID NO. 1): EIVLT QSPSS LSASV GDRVIT ITC; FR_{L2} (SEQ. ID NO. 2): WXaaQQK PGKAP KLXbbLI Y, wherein Xaa = F, Y and Xbb = W, L; FR_{L3} (SEQ. ID NO. 3): GVPSR FSGSG SGTXaaXbb 15 XccLTIN SLQPE DFATY YC, wherein Xaa = D, S; Xbb = Y, F and Xcc= C, T; FR_{L4} (SEQ. ID NO. 4): FGGGT KLEIK; FR_{H1} (SEQ. ID NO. 5): QXaaQLV QSGSE LKKPG ASVKI SCKAS GYXbbFXcc, wherein Xaa = I, V; Xbb = T, S; Xcc = T, S; FR_{H2} (SEQ. ID NO. 6): WVRQA PGQGL XaaWMG, wherein Xaa = Q, K; FR_{H3} (SEQ. ID NO. 7): RFXaaFS LDTSV XbbTAYL 20 QITSL XccAEDT GMYFC XddXee, wherein Xaa = V, A; Xbb = N, S; Xcc = T, N; Xdd = V, A; Xee = R, K; FR_{H4} (SEQ. ID NO. 8): WGQGT LTVVS S.

The oligonucleotides encoding the BAT-1 CDRs and/or specific FR residues originated from human antibodies may be used to introduce codons into the DNA encoding V_κ or V_H of the humanized BAT-1 variants. In accordance with this aspect of the invention the additional codons may include those not derived from BAT-1 CDR as well as those that make up the CDR. 25 These additional bases may be included to facilitate joining the CDR to the FRs from a heterologous source. They may comprise restriction sites or overlapping complementary regions for this purpose. The template DNAs are typically single-stranded DNAs (ssDNAs) vectors.

The CDRs of the BAT-1 heavy and light chains may also be modified particularly after incorporation into a humanized antibody using well-known recombinant DNA techniques for 30 deleting, inserting and altering bases in a cloned or synthetic DNA or RNA. Site-specific mutagenesis techniques suitable to this end are well known to those of skill in the art, and are illustrated in the foregoing references on recombinant DNA techniques. These methods can be used to introduce practically any desired alteration into polynucleotides that encode the BAT-1 CDRs or into other regions of a closed heavy or light chain gene.

The synthesis of longer, double-stranded DNAs from shorter, overlapping, single-stranded DNAs is well known to those of skill in the art. Likewise, well known is the end-to-end joining of DNAs, including blunt-ended DNAs and those with at least partially overlapping complementary termini. These techniques are illustrated in the foregoing references on 5 recombinant DNA techniques, for instance.

The construction of all versions of the human BAT-1 variable region is preferably carried out as described by Stemmer (Stemmer et al., GENE 164:49, 1995). Essentially, this method is favored for the synthesis of long DNA sequences from large numbers of oligodeoxyribonucleotides (oligos). The method relies on DNA polymerase using conventional 10 PCR technique, to build increasingly longer DNA fragments during assembly process. Once the new variable region gene is synthesized it is preferentially subcloned into a vector which is transformed into competent cells as described in the above references. Putative positive clones can be identified by PCR-screening using appropriate primers and/or by restriction digest. Individual clones selected from the confirmed positive clones may be sequenced to double- 15 stranded-DNA (ds-DNA). Preferably, the resultant ds-DNAs can be rechecked for PCR-induced errors, by sequencing, and corrected by subcloning correct fragments from other clones.

DNA of selected clones, from the confirmed positive clone, containing the humanized V_K or V_H of the BAT-1 variant may be directly inserted into expression vectors which comprise human light and heavy constant regions, respectively. Once DNA encoding the humanized 20 BAT-1 CDR-grafted complete antibody variant, or the light or the heavy chain regions of the humanized BAT-1 CDR-grafted antibody, has been assembled, it may be inserted into a vector for propagation and expression by conventional techniques. In this manner desired amounts of the antibody may be obtained.

(iv) Expression of the humanized BAT-1 antibody variants

25 The invention also provides isolated polynucleotide sequences encoding the complete humanized BAT-1 antibody, the light chain complete or variable region, heavy chain complete or variable region sequence, as well as vectors and host cells comprising the coding nucleic acid.

For recombinant production of the BAT-1 antibody, the polynucleotide sequence encoding 30 said antibody or its fragments, is isolated and inserted into a replicable vector for further cloning, amplification or for expression. DNA encoding the antibody is readily isolated and sequenced using conventional procedures (e.g., by using oligonucleotide probes that are capable of binding specifically to genes encoding the heavy and light chains of the antibody). Many vectors are available which generally include, but are not limited to, one or more of the

following: a signal sequence, an origin of replication, one or more marker genes, an enhancer element, a promoter, and a transcription termination sequence.

For expression, the polynucleotide encoding the humanized BAT-1 antibody or fragments thereof, may be cloned into an expression vector. Such vectors are well known to those skilled in the art. An expression control sequence, such as an immunoglobulin or viral promoter, is introduced upstream of the polynucleotide. Selection markers such as the dhfr gene, or other suitable selectable marker well known to those skilled in the art, are included in the vector to allow selection of host cells which are expressing the said polynucleotide included on the vector.

In one embodiment, the host cell endogenously produces antibodies, while in an alternative embodiment, the cell is genetically modified to produce antibodies. Examples of cells that endogenously produce antibodies include, but are not limited to hybridomas, lymphomas, plasmacytomas and EBV transformed cells. A cell can be genetically modified to produce antibodies by conventional methods, such as by transfection with a vector encoding an antibody molecule.

In use, the expression vector comprising the polynucleotide encoding the humanized BAT-1 antibody or fragments thereof, is transfected into cells. Transfection methods are well known in the art and such methods are suitable for employment in the present invention. The cells expressing the expression vector are selected using the selectable marker incorporated into the expression vector or a vector used for co-transfection. Cells expressing the antibody can be screened by enzyme-linked immunoabsorbent assay (ELISA) assays or other suitable methods well known to those skilled in the art.

The humanized BAT-1 antibody variants are introduced into a host cell by transfection of a vector comprising polynucleotide encoding the complete or Fv fragment of the antibody. Humanized BAT-1 antibody variants is also introduced into a host cells by co-transfection of: (i) a vector comprising polynucleotide encoding the variable or complete light chain region of the antibody and (ii) a vector comprising polynucleotide encoding the variable or complete heavy chain region of the antibody.

In a most preferred embodiment, the antibody of the invention is produced by a transfection of a single vector comprising polynucleotide sequences encoding the light and heavy variable regions of the antibody. Most preferably, this vector further comprises two promoters, each operatively linked to the polynucleotide sequence encoding the light chain and the heavy chain regions of reshaped BAT-1. The resulting expression of the BAT-1 antibody is higher than its expression following co-transfection with two vectors, each encoding the light

chain or heavy chain regions, of the antibody, whereas the transfection and co-transfection being conducted in a similar host cell.

The humanized BAT-1 antibody variants can be expressed in any suitable cell type, including but not limited to mammalian, avian, insect, bacterial or yeast cells. Examples of 5 mammalian cells include, but are not limited to, human, rabbit, rodent (e.g., mouse, rat) and bovine cells. In preferred embodiments, the cell is a myeloma cell, a Chinese hamster ovary (CHO) cell, COS cell, COS7 cell or fibroblast.

Antibody-producing cell lines may be cultured using techniques well known to the skilled artisan. Such techniques are described in a variety of laboratory manuals and primary 10 publications. For instance, techniques suitable for use in the invention as described below are described in current protocols in immunology, Coligan et al., (Green Publishing Associates and Wiley-Interscience, John Wiley & Sons, N.Y. 1991) which is herein incorporated by reference in its entirety, including supplements.

The humanized monoclonal antibodies of the invention can be frozen or lyophilized for 15 storage and reconstituted in a suitable carrier prior to use. This technique has been shown to be effective with conventional immune globulins and art-known lyophilization and reconstitution techniques can be employed. It will be appreciated by those skilled in the art that lyophilization and reconstitution can lead to varying degrees of antibody activity loss and that use levels may have to be adjusted to compensate.

20 (v) Purification of humanized BAT-1 antibody

Using recombinant techniques, the antibody can be produced intracellularly, in the periplasmic space, or directly secreted into the medium. If the antibody is produced intracellularly, as a first step the particulate debris, either host cells or lysed fragments, is removed, for example, by centrifugation or ultrafiltration. Carter et al., (Biotechnology 10:163, 25 1992) describe a procedure for isolating antibodies which are secreted to the periplasmic space of *E. coli*. Briefly, cell paste is thawed in the presence of sodium acetate (pH 3.5), EDTA, and phenylmethylsulfonylfluoride (PMSF) over about 30 min. Cell debris can be removed by centrifugation.

In a most preferred embodiment, the antibody of the invention is secreted into the medium, 30 supernatants from such expression systems are generally first concentrated using a commercially available protein concentration filter, for example, an Amicon or Millipore ultrafiltration unit. A protease inhibitor may be included in any of the foregoing steps to inhibit proteolysis and antibiotics may be included to prevent the growth of adventitious contaminants.

The antibody composition prepared from the cells can be purified using methods well known in the art, for example, hydroxyapatite chromatography, gel electrophoresis, dialysis, and affinity chromatography, with affinity chromatography particularly with protein A, being a preferred purification technique. The matrix to which the affinity ligand is attached is most often 5 agarose, but other matrices are available. Mechanically stable matrices, such as controlled pore glass or poly(styrenedivinyl)benzene, allow for faster flow rates and shorter processing times than can be achieved with agarose. Where the antibody comprises a C_H3 domain, the Bakerbond ABX™ resin (J. T. Baker, Phillipsburg, N.J.) is useful for purification. Other techniques for protein purification such as fractionation on an ion-exchange column, ethanol precipitation, 10 reverse phase HPLC, chromatography on silica, chromatography on heparin SEPHAROSE™, chromatography on an anion or cation exchange resin (such as a polyaspartic acid column), chromatofocusing, SDS-page, and ammonium sulfate precipitation are also available depending on the antibody to be recovered.

(vi) Deposit Of Cell Line

15 According to a representative embodiment of the present invention the humanized BAT monoclonal antibodies are identical in their function or activity to those produced by cells deposited under ATCC # (PTA-5189), on May 9, 2003.

III. Pharmacology

20 (i) Pharmaceutical compositions

The invention also provides a composition comprising the antibody of the invention.

According to another embodiment, the present invention provides a pharmaceutical composition comprising as an active ingredient the antibody of the invention, for use in diagnosis and therapy. Said compositions may be in any pharmaceutical form suitable for 25 administration to a patient, including but not limited to solutions, suspensions, lyophilized powders for reconstitution with a suitable vehicle or dilution prior to usage, capsules and tablets. The pharmaceutical compositions disclosed in this invention may further comprise any pharmaceutically acceptable diluent or carrier to provide a physiologically acceptable conjugates comprising the antibodies with therapeutic agents for diagnosis, prognosis and 30 therapy, among others.

Pharmaceutical compositions of the present invention may be manufactured by processes well known in the art, e.g., by means of conventional mixing, dissolving, granulating, grinding, pulverizing, dragee-making, levigating, emulsifying, encapsulating, entrapping or lyophilizing processes.

Pharmaceutical compositions for use in accordance with the present invention thus may be formulated in conventional manner using one or more physiologically acceptable carriers comprising excipients and auxiliaries, which facilitate processing of the active compounds into preparations which, can be used pharmaceutically. Proper formulation is dependent upon the 5 route of administration chosen.

For injection, the compounds of the invention may be formulated in aqueous solutions, preferably in physiologically compatible buffers such as Hank's solution, Ringer's solution, or physiological saline buffer. For transmucosal administration, penetrants appropriate to the barrier to be permeated are used in the formulation. Such penetrants, for example polyethylene 10 glycol, are generally known in the art. Pharmaceutical compositions which can be used orally, include push-fit capsules.

For administration by inhalation, the molecules for use according to the present invention are conveniently delivered in the form of an aerosol spray presentation from a pressurized pack or a nebulizer with the use of a suitable propellant, e.g., dichlorodifluoromethane, 15 trichlorofluoromethane, dichloro-tetrafluoroethane or carbon dioxide. In the case of a pressurized aerosol, the dosage unit may be determined by providing a valve to deliver a metered amount. Capsules and cartridges of, e.g., gelatin for use in an inhaler or insufflator, may be formulated containing a powder mix of the polypeptide and a suitable powder base such as lactose or starch.

20 Pharmaceutical compositions for parenteral administration include aqueous solutions of the active ingredients in water-soluble form. Additionally, suspensions of the active compounds may be prepared as appropriate oily injection suspensions. Suitable natural or synthetic carriers are well known in the art. Optionally, the suspension may also contain suitable stabilizers or agents, which increase the solubility of the compounds, to allow for the preparation of highly 25 concentrated solutions. Alternatively, the active ingredient may be in powder form for reconstitution with a suitable vehicle, e.g., sterile pyrogen-free water, before use.

Pharmaceutical compositions suitable for use in context of the present invention include 30 compositions wherein the active ingredients are contained in an amount effective to achieve the intended purpose. All formulations for administration should be in dosages suitable for the chosen route of administration. More specifically, a "therapeutically effective" dose means an amount of a compound effective to prevent, alleviate or ameliorate symptoms of a disease of the subject being treated. Determination of a therapeutically effective amount is well within the capability of those skilled in the art, especially in light of the detailed disclosure provided herein.

Toxicity and therapeutic efficacy of the compositions described herein can be determined by standard pharmaceutical procedures in cell cultures or experimental animals, e.g., by determining the IC_{50} (the concentration which provides 50% inhibition) and the maximal tolerated dose for a subject compound. The data obtained from these cell culture assays and animal studies can be used in formulating a range of dosage for use in human. The dosage may vary depending upon the dosage form employed and the route of administration utilized. The exact formulation, route of administration and dosage can be chosen by the individual physician in view of the patient's condition. Depending on the severity and responsiveness of the condition to be treated, dosing can also be a single administration of a slow release composition, with course of treatment lasting from several days to several weeks or until cure is effected or diminution of the disease state is achieved. The amount of a composition to be administered will, of course, be dependent on the subject being treated, the severity of the affliction, the manner of administration, the judgment of the prescribing physician, and all other relevant factors.

15 (ii) Methods of treatment

Antibodies in accordance with the invention, while being useful for a variety of therapeutic indications, are used, in accordance with a currently preferred embodiment of the invention, for the treatment of cancer. It has been found that a monoclonal antibody in accordance with the invention elicits anti-tumor effects in a variety of tumors. Within the scope of the present invention, methods are provided for the use of the novel hBAT-1 for the treatment of tumor by administering to a subject an effective amount of the antibody of the invention. The term "effective amount" should be understood as meaning an amount of an antibody required to achieve a therapeutic effect. The effective amount required to achieve the therapeutic end result may depend on a number of factors including, for example, the tumor type and the severity of the patient's condition (i.e. the cancerous state), and whether the antibody is co-administered together with another agent which acts together with the antibody in an additive or synergistic manner. The antibody may be administered either following detection of primary or secondary tumors in the subject or, as preventive therapy of a subject having a high risk of developing cancers, such as an individual exposed to radiation or such having a genetic pre-disposition.

30 The invention additionally provides a method of treating a subject in need thereof, with a humanized BAT-1 antibody variant or with a composition that comprises said antibody as an active ingredient.

According to yet another embodiment, the present invention provides a method for diagnosis or treatment of a disease or a disorder, particularly cancer, comprising administering

to a subject in need thereof, an effective amount of a pharmaceutical composition comprising the antibody of the invention as an active ingredient.

The method of treatment comprises administering an antibody or composition of the invention to a subject. The method of treatment also comprises administration an antibody or composition of the invention to a subject in parallel to, prior to, or following treatment with an additional active composition comprising cytokines such as IL-1 (interleukin-1), IL -2, IL -6 and IFN- α (interferon- α) or other antibodies, such as any T-cell stimulatory antibody or other anti-tumor therapeutic antibody. In one embodiment, the subject is a human. In another embodiment the disease to be prevented, treated or detected is cancer.

10 The administration of said compositions can be typically achieved by means of parenteral administration, e.g., intravenously (i.v.) intraperitoneally (i.p.) or intramuscularly (i.m.). Methods of treatment may comprise pharmaceutical compositions of the antibodies according to the invention. Alternatively or additionally, methods of treatment may include cell therapy, ex-vivo or in-vivo wherein cells are autologous or allogeneic.

15 In order to boost the anti-tumor activity of the antibody, it is at times advantageous to administer the antibody of the invention together with, prior to, or following, the administration of other agents, which can act in an additive or synergistic manner with it. Examples comprise various cytokines, including but not limited to IL-1 (Interleukin-1), IL-2, IL-6 and IFN- α (Interferon- α), as well as cell vaccines or additional antibodies, including but not limited to T-cell stimulatory antibodies, or anti-tumor therapeutic antibodies.

20 The antibody of the invention may be useful in the therapy of a variety of diseases other than cancer where activation or other effects of the antibody on the immune system's proliferative, cytolytic or stimulatory activity may have a therapeutic effect, such as, for example, in early stages of HIV infection or in patients whose blood count shows a decrease in 25 CD4+ T cells (the causative virus of AIDS, Acquired Immune Deficiency Syndrome), in various autoimmune disorders, or in some cases of genetic or acquired immune deficiencies. In AIDS patients, the antibody may be administered to infected individuals, which have not yet developed any symptoms of the disease, or in individuals at early stages of the HIV infection process.

30 The dose of the antibody or composition to be administrated to a subject, in the context of the present invention should be sufficient to effect a beneficial therapeutic response in the subject over time, or to inhibit tumor growth. Thus, the antibody or composition may be administered to a subject in an amount sufficient to alleviate, reduce, cure or at least partially arrest the disease.

The dose will be determined by the activity of the therapeutic composition produced and the condition of the subject, as well as the body weight or surface area of the subject to be treated. The size of the dose and the dosing regimen also will be determined by the existence, nature, and extent of any adverse side effects that accompany the administration of a particular therapeutic composition in a particular subject. In determining the effective amount of the therapeutic composition to be administered, the physician needs to evaluate circulating plasma levels, toxicity, and progression of the disease.

Having now generally described the invention, the same will be more readily understood through reference to the following examples, which are provided by way of illustration and are not intended to be limiting of the present invention.

EXAMPLES

Example 1

15 Sequence analysis of the mouse BAT-1 kappa light chain variable region (V κ)

The DNA and amino acid sequences of the BAT-1 V κ region is shown in FIG. 1. The amino acid sequences were compared with other mouse variable regions and also with the consensus sequences of the subgroups that the variable regions were subdivided into in the Kabat database (Kabat et al., *ibid*). From this analysis the BAT-1 V κ region was found to most closely match the consensus sequences of both mouse kappa subgroup IV (Identity = 88.38%; Similarity = 92.45) and mouse kappa subgroup VI (Identity = 87.74%; Similarity = 89.62). When only the FRs of the BAT-1 kappa light chain variable region (i.e. without the amino acids in the CDRs) were compared to mouse subgroups IV and VI, percentage identity increased to exactly 90.00% for both, while percentage similarity rose to 92.50%, again for both consensus sequences. However, despite the close similarities to both Kabat subgroups, it was decided that the murine BAT-1 V κ region should be classed as mouse subgroup VI.

The reason for the selection of mouse subgroup VI was related to the canonical classes of the hypervariable loops of the BAT-1 V κ region, as defined by Chothia and his co-workers (Chothia et al., *J. Mol. Biol.* 196:901, 1987; *Nature* 34:877, 1989; *J. Mol. Biol.* 227:799, 1992; Tramontano et al., *ibid*). According to Chothia, each of the CDRs: CDR1 (L1), CDR2 (L2) and CDR3 (L3), were canonical class 1 (FIG. 2). Crucially, the 10 amino acid canonical class 1 L1 hypervariable loop was only seen in mouse V κ regions which fitted Kabat subgroup VI.

Most restrictive canonical classes for the CDR related loops structures have more recently been defined by Martin and Thornton (Martin et al, *ibid*) and these too are described in FIG. 2.

The utility of these new canonical class definitions lies in their stringency, which in turn is related to the presence of a greater number of so-called framework canonical residues in each class. The importance of these “extra”, potentially key, residues was later considered when designing the humanized BAT-1 antibody. Loops L1 and L2 were easily assigned to Martins 5 canonical classes 1/10A and 1/7A, respectively, however, the L3 loop did not perfectly match any of the classes available to it. The class that it most closely matched was class 1/9A, however, to fit this class there had to be residue at position 28 in the V κ region of BAT-1, which is not actually present. The closest mouse kappa light chain variable region germline gene to BAT-1 V κ was H4, which also contained a 10 amino acid L1 loop (Table 1). Only 12 10 mismatches were found between the H4 germline sequence and the BAT-1 V κ region. The majority of these mismatches were positioned in the CDRs with only four differences located in the FRs. Most of these mismatches were highly conservative changes, except for the cysteine at position 72 (Kabat numbering) in FR3. Its location immediately adjacent to an important canonical residue (position 71) suggested that the cysteine may have a key role in antigen 15 binding. Nevertheless, taken together, the above example clearly suggested that the BAT-1 sequence was typical of a mouse V κ variable region.

TABLE 1

Seq. Name	¹ Id. Res.	² Residues 1 – 50 of murine BAT V κ Vs. mouse germline V κ
BAT	106	QIVLTQSPAAMSASPGEKVITCSARS-----SVSYMHWQQKPGTSPKL
H4	83S...S.....Y.Y.....S...
H3/O	83M...S.....Y...S....
x1		
R9	83M...S.....I.....Y.....
H13	81L.....M...S.....Y.Y...RS...
H8	81L.....M...S.....X.....S...
H1	81M...S.....V.S..LY.Y.....S...
H9	81	..L.....M...S.....Y.....S...
R2	76	E.L.....IA.....S.....N.Y.....S...
T3B	75	---A..L....M...S.S.....V.S..L..Y...S....
R11	74	EN.....A.....M...S.S.....V.S.NL..Y...S...T.
H6/X	74	E.....TA..L.Q.....S.....Y...S....
24		
L8	74	EN.....A..L....M....S.S.....V.S..L..Y...S....
R1/s1	72	EN.....A..L.Q...M....S.S.....V.S..L..Y...S.A...
07b		
R13	72	EN.....L....MS.R.S.....N..Y.Y...SDA...
H2	67	G.....TT.T.F...N.....S.....IN.I..Y...S.NT..
Seq. Name	¹ Id. Res.	Residues 51 – 99 of murine BAT V κ Vs. mouse germline V κ

BAT	106	WIYRTSNLASGVPARFSGSGSGTSYCLTISRMEAEDAATYYCQQRSSFP
H4	83	P.....S.....S.....S.....YH.Y.
H3/O	83	R...D..K.....S.....S.....W..N.
x1		
R9	83	R...D..K.....S.....S.....H...Y.
H13	81	P...L.....S.....S.....W..N.
H8	81	...SI.....S.....SVK.....W..S.
H1	81	...S.....S.....S.....F...Y.QY.
H9	81	P...D.....F.....S.I..S.....H...Y.
R2	76	I...GI.....FSF..NS.....V.....Y.
T3B	75	P...G.....V.....S.....S.....W..Y.
R11	74	F.....E...P.....S.....SV.....W.GY.
H6/X	74	P...EI.K.....S.....S.....I.....WNYPL
24		
L8	74	...G.....A.I..S.....S...ND.....W.GY.
R1/s1	72	PL.H.....S.....SV...D.....W.GY.
07b		
R13	72	...Y.....P.....N..S....S..G.....FT.S.
H2	67	QX..K..D.P....TL.....S.....SV.....W.GY.

¹No. of identical residues to the BAT sequence.

² A dot [.] refers to a match between BAT V_k and the mouse germline V_k and a line [-] refers to the absence of amino acid

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Example 2

Sequence analysis of the mouse BAT-1 heavy chain variable region

The DNA and amino acid sequences of the BAT-1 V_H region is shown in FIG. 3. An analysis similar to that given in Example 1 was conducted for the BAT-1 V_H region which determined that it exhibited the closest match to the consensus sequence of the mouse heavy chain miscellaneous subgroup in the Kabat database (Kabat et al., 1991). Identity between the mouse heavy chain variable region amino acid sequence of mBAT-1 and the consensus sequences of the miscellaneous subgroup was measured at 60.64% while the similarity was calculated to be 69.23%, with the next closest Kabat subgroup consensus sequences being subgroup IIa (Identity = 59.83%; Similarity = 66.67%). However, when only the FRs of the BAT-1 V_H region was compared to mouse subgroup IIa, percentage identity decreased to 54.02% while the similarity dropped to 62.06%. Conversely, the same comparisons carried out against the mouse miscellaneous subgroup found the FRs of the BAT-1 V_H region exhibited a 65.52% identity and a 74.71% similarity.

When the canonical classes of the hypervariable loops of the BAT-1 V_H region, as defined by Chothia and his co-workers, were analyzed (FIG. 4) the CDR1 and CDR2 loops (H1) matched Chothia canonical class 1 loops. However, no class was assigned to the CDR3 loop structure (H3) due to the wide range of size and amino acid make-up that H3 loops can display.

Using the more stringent canonical classes for CDR loop structures defined by Martin and Thornton (Martin et al., *ibid*) it was a straight forward matter to determine that the H1 loop matched Martin canonical class 1/10A. However, for the H2 loop it was more difficult to assign class, although the closest Martin canonical class was Class 2/10A. Unfortunately, since the 5 amino acid Asp53 in the H2 loop did not match the expected residues for this position (i.e. Ala, Gly, Tyr, Ser, Lys, Thr or Asn), the match was also not perfect.

The closest mouse heavy chain variable region germline gene to mBAT-1 V_H identified was VMS2/VGK4 (Table 2). Thus the above example clearly suggested that the mBAT-1 sequence was typical of a mouse V_H variable region.

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TABLE 2

Seq. Name/ ¹ Id. Res.	² Residues 1 – 50 of murine BAT V _H Vs. mouse germline V _H	
BAT / 117	QIQLVQSGPELKKPGETVKISCKASGYTFTNYGMN	WVKQAPGKGLKWMG
VMS2/VGK4 / 92
VMS9/VGK1A/ 251 / 90
VGK6 / 89
VFM11/VGK1
B / 89
264 / 88	T..S.....
VFM1/281/VG	D.S.H.....
K7 / 87
VMS1/141/VG	A.H.....
K3 / 84
161 / 84
VGK5 / 79	---	TA..Q...QKM.....I.
VGK2 / 77	-----R	TA..Q...QKM.....I.
V104A/VAR10	V..Q.....VR..TS.....	LT.W.....XM..Q..E.I.
4A / 57
VH105 / 57	.V..Q.....V..AS.....	S.YIH.....R..Q..E.I.
VAR104 / 56	.V..Q.....VR..TS.....	LT.W.....XR.AQ..E.I.
J558-43y / 56	.V..Q.....V..AS.R.....	S.NIH.....R..Q..E.I.
J558-122B / 55	.V..Q.....VR..TS.....	IT.W.....XR..Q.XE.I.
37A11 / 55	.V..L..A..M..AS.....	T...SS.WIE.....R..H..E.I.
VH104A / 55	.V..Q.....VR..TS.....	LT.W.....XM..Q..E.I.
VAR100 / 54	.V..Q.....VR..LS..L.....	I.IT.W.....R..Q..E.I.
Seq. Name/ ¹ Id. Res.	² Residues 51 – 101 of murine BAT V _H Vs. mouse germline V _H	
BAT / 117	WINTDSG--ESTYAAEFKGRFAFSLETSANTAYLQINNLNNEDTATYFCVR	
VMS2/VGK4 / 92NT....P.....	S.....K.....A.
VMS9/VGK1A/ 251 / 90YT....P...DD.....	S.....K.....A.

VGK6 / 89ET....P...DD.....	S.....K.....--
VFM11/VGK1YT....P...DD.....	S.....K...M....A.
B / 89		
264 / 88Y....VP...DD.....	S.....K.....A.
VFM1/281/VGET....P...DD.....	S.....K.....A.
K7 / 87		
VMS1/141/VG	.KY.NT....P..GDD.....	S.....K...M....A.
K3 / 84		
161 / 84YT....P...DD.....	C.S.....K.Q.....----
VGK5 / 79H....VPK...D.....	S.....K...M.....--
VGK2 / 77H....VPK...D.....	S.....S.K.....--
V104A/VAR10	Q.FPA....STN.N.M...KATLTVD..SS...M.LSS.TS..S.V....A.	
4A / 57		
VH105 / 57	Y.YPRD...STN.N.K...KATLTAD..SS...M.LSS.TS..S.V....A.	
VAR104/ 56	Q.FPA....STN.N.M...KATLTVD..SS...M.LSS.TS..S.V....A.	
J558-43y / 56	..YPGD...NTK.N.K...KTTLTADK.SS...M.LSS.TS..S.V....A.	
J558-122B / 55	Q.FPA....STN.N.M...KATLTVD..SS...M.LSS.TS..S.VH...A.	
37A11 / 55	K.LPG....STN.N.K...KAK.TADI.S...M.LSS.TS..S.V.Y.A.	
VH104A / 55	A.FPAG...STN.NQM...KATLTVD..SS...M.LSS.TS..S.V....A.	
VAR100/ 54	Q.FPA....STN.N.M.E.KATLTVD..SS...M.LSS.TS..S.V.Y.A.	

¹No. of identical residues to the BAT sequence.

²A dot [.] refers to a match between BAT V_H and the mouse germline V_H and a line [-] refers to the absence of amino acid

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Example 3

Design of the humanized BAT-1 V_K antibody variants

The first step in the design of the humanized variable regions of the BAT-1 antibody was the selection of the human kappa light chain variable region that would serve as the basis of the 10 humanized BAT-1 V_K region. As an aid to this process the BAT-1 V_K region was initially compared to the consensus sequences of the four human kappa light chain variable region subgroups as defined by Kabat and his coworkers (Kabat et al., *ibid*).

The mouse BAT-1 light chain variable region was most similar to the consensus sequences of human kappa light chain subgroup I and human kappa light chain subgroup III. In the case of 15 human kappa light chain subgroup I the mouse BAT-1 V_K region displayed a 63.21% identity over the whole variable region and a 70.00% identity within the FRs alone. When measured with respect to similarity, these values increased to 71.70% overall and 80.00% within the FRs alone. In the case of human kappa light chain subgroup III the mouse BAT-1 V_K region displayed a 65.09% identity over the whole variable region and a 68.75% identity within the 20 FRs alone. When measured with respect to similarity, these values increased to 74.53% overall and 80.00% within the FRs alone. Consequently, it generally appeared to match well a broad range of human kappa light chain variable region sequences, however, with respect to FRs in

particular, it was marginally more identical to those found within human kappa light chain subgroup I.

The mouse BAT-1 V_{κ} region was then compared to all the recorded examples of individual sequences of human variable regions publicly available. Table 3 shows the best fifteen matches to the mouse BAT-1 V_{κ} region which were identified through this analysis. Overall, the search algorithm selected the human V_{κ} region from antibody TEL9 (Marks et al., *J. Mol. Biol.* **222**:581, 1991) as the closest match to the mouse BAT-1 V_{κ} region (Table 4). This human sequence had an overall identity to the BAT-1 V_{κ} region of 67.93% overall and 72.50% within the FRs alone. When measured with respect to similarity, these values increased to 77.36% overall and 82.50% within the FRs alone. Consequently, the TEL9 kappa light chain variable region FR was selected as the human acceptor sequence for the humanization of the BAT-1 antibody kappa light chain variable region. This then became the basis of the first humanized version of the BAT-1 kappa light chain (BATR κ_A), which essentially comprised the CDRs of the BAT-1 V_{κ} region and the FRs of the TEL9 V_{κ} region.

15 The next step in the design process was to study the amino acid sequences of the human acceptor TEL9 V_K region FRs to determine if any of these amino acid residues were likely to adversely influence binding to antigen, either directly through interactions with antigen, or indirectly by altering the conformation or orientation of the CDR loops. This was a difficult process which was only made possible through the availability of a model of the BAT-1 variable 20 regions i.e. both the V_K and V_H regions. The modeling procedure will be given in detail in Example 5. Nevertheless, any amino acid in the mouse BAT-1 FRs which did appear to affect antigen binding were then considered for conservation in the humanized BAT-1 antibody. In deciding which murine residues to conserve the following points were addressed:

TABLE 3

LS1	62.4	E.....TL.L....RA.LS.R.SQ	SVS..LA.Y
TR1.21	63.0	<u>EL</u> .M.... <u>SSL</u> ...V.DR.....R.SQ	SIS..LN.Y
AC18	63.0	E.....TL.L....RA.LS.R.SQ	<u>SVSG</u> .LA.Y
19.E7	63.6	E.....TL.L....RA.LS.R.SQ	<u>SVS</u> ..LA.Y
STRAb SA-1A	63.0	D.QM.... <u>SSL</u> ...V.DR.....R.SQ	SIS..LN.Y
Vlclone49	62.4	D..M.... <u>SSL</u> ...V.DR.....R.SQ	SIS..LN.Y
MP6	62.4	D.QM.... <u>SSL</u> ...V.DR.....R.SQ	SIS..LN.Y
AC33	63.6	E.....TL.L....RA.LS.R.SQ	SVG.SLA.Y
<hr/>			
Residues			
37-80			
		4 5 6 7 8	
		78901234567890123456789012345678901234567890	
		vvvv==L2==	v v vv v
BAT	100	QQKPGTSPKLWIYRTSNLASGVPARFSGSGSGTSYCLTISRMEA	
TEL9	64.8KA...L..AA.T.Q...S..... <u>DFT</u> ... <u>NSLQP</u>	
Vlclone47	63.3KA...L..AA.S.Q...S..... <u>DFT</u> ... <u>SLQP</u>	
SiP055	63.3QA.R.L..DA..R.T.I..... <u>DFT</u> ... <u>SL.P</u>	
039741	63.9QA.R.L..DA..K.T..... <u>DFT</u> ... <u>SL.P</u>	
AC32	63.9QA.R.L..DA..R.T.I..... <u>DFT</u> ... <u>SL.P</u>	
AC21B	64.5QA.R.L..DA..R.T.I..... <u>DFT</u> ... <u>SL.P</u>	
B9601(Vg-Jk2)	62.7QA.R.L..DA..R.T.I..... <u>DFT</u> ... <u>SL.P</u>	
LS1	62.4	<u>R</u> ..QA.R.L..DA..R.T.I..... <u>DFT</u> ... <u>SL.P</u>	
TR1.21	63.0KA...L..AA...Q...S..... <u>DFT</u> ... <u>SLQP</u>	
AC18	63.0QA.R.L.. <u>D.F.R.T.I</u> <u>DFT</u> ... <u>SL.P</u>	
19.E7	63.6QA.R.L..DA..R.T.I..... <u>DFT</u> ... <u>SL.P</u>	
STRAb SA-1A	63.0KA...L..AA.S.Q...S..... <u>DFT</u> ... <u>SLQP</u>	
Vlclone49	62.4KA...L..AA.S.Q...S..... <u>DFT</u> ... <u>SLQP</u>	
MP6	62.4KA...L..AA.S.Q...S..... <u>DFT</u> ... <u>SLQP</u>	
AC33	63.6QA.R.L.. <u>D.V.D</u> ..R.T.I..... <u>DFT</u> ... <u>SL.P</u>	
<hr/>			
Residues			
81-107			
		scCCCCCcccccc	ccccCcscccccc
		9	10
		123456789012345ABCDEF67890123456A7	
		=====L3=====v	
BAT	100	EDAATYYCQ QRSSFP-----LTFGSGTKLEI-K	
TEL9	64.8	..F..... <u>TN</u>G.....
Vlclone47	63.3	..F..... <u>SY.T</u>G...V..
SiP055	63.3	..F.V..... <u>NW</u> .	R...Q.....
039741	63.9	..F.V..... <u>S.KW</u>G...V..
AC32	63.9	..F.V..... <u>NW</u> .PG...V..
AC21B	64.5	..F.V..... <u>NW</u>G...V..
B9601(Vg-Jk2)	62.7	..F.V..... <u>NW</u> .P	Y...Q.....
LS1	62.4	..F.V..... <u>NW</u>G...V..
TR1.21	63.0	..F..... <u>SY.T</u> .	F...G...V..
AC18	63.0	..F.V..... <u>Y.W.P</u>G...V..
19.E7	63.6	..F.V..... <u>NW</u>P...VD..
STRAb SA-1A	63.0	..F..... <u>SY.T</u>G...V..
Vlclone49	62.4	..F..... <u>SY.T</u> .	R...Q...V..
MP6	62.4	..F..... <u>SY.P.PV</u>	Y...Q.....
AC33	63.6	..F.D..... <u>EW</u>G...V..
<hr/>			

¹ID - percentage identity of the human V κ sequences to the murine BAT V κ region

²A dot [.] refers to a match between BAT V κ and the mouse germline V κ , a line [-] refers to the absence of amino acid, underlined residues in the human V κ sequences differ from their closest human V κ gene

³S/C refers to amino acids positioned within 5 \AA of a CDR on the Surface or Core of Fv and s/c to 5 amino acids positioned further away than 5 \AA of a CDR on the surface or core of Fv

⁴v refers to Vernier residues (Footer et al., J. Mol. Biol. 224:487, 1992) located in the FRs

TABLE 4

10

NAME	¹ ID	² All	³ Surface	Core	⁴ Kabat CDR	⁵ FR	³ FR Surface	⁴ FR Core	⁶ FR near CDR	
BAT V κ	100.0	106	23	81	26	80	17	63	32	
TEL9	64.8	70	11	59	13	58	10	50	26	
V1 clone47	63.3	69	11	59	13	57	10	50	26	
SiP055	63.3	69	11	59	13	57	10	50	26	
039741	63.9	69	11	59	13	57	10	50	26	
AC32	63.9	69	11	59	13	57	10	50	26	
AC21B	64.5	69	11	59	13	57	10	50	26	
B9601 (Vg- Jk2)	62.7	69	11	59	13	57	10	50	26	
LS1	62.4	68	11	59	13	57	10	50	26	
TR1.21	63.0	68	11	58	13	57	10	50	26	
AC18	63.0	68	11	58	13	57	10	50	26	
19.E7	63.6	68	11	58	12	57	10	50	26	
STRAb SA- 1A	63.0	68	11	58	12	57	10	49	26	
V1clone49	62.4	68	11	58	12	57	10	49	26	
MP6	62.4	68	11	58	12	57	10	49	25	
AC33	63.6	68	11	58	12	57	10	49	25	
NAME	⁷ Vernier	⁸ V κ	⁸ J	Close Human Germline	⁹ L1	L2	L3	¹⁰ L1	L2	L3
				Size	Size	Size	Size	Class	Class	Class
BAT V κ	14	94	12		10	7	9	?	1/7A	?
TEL9	12	60	10	DPK8- Vd+	11	Same	Same	2/11A	Same	?
V1 clone47	12	60	10	V3b+	11	Same	Same	2/11A	Same	1/9A
SiP055	12	60	10	3A7	11	Same	Same	2/11A	Same	1/9A
039741	12	59	10	3A7	11	Same	Same	2/11A	Same	1/9A
AC32	12	59	10	3A7	11	Same	10	2/11A	Same	?
AC21B	12	59	10	3A7	11	Same	Same	2/11A	Same	1/9A
B9601 (Vg-Jk2)	12	59	10	3A7	11	Same	10	2/11A	Same	?
LS1	12	59	10	3A7	11	Same	Same	2/11A	Same	1/9A
TR1.21	12	59	10	V3b+	11	Same	Same	?	Same	1/9A
AC18	12	59	10	3A7	11	Same	10	2/11A	Same	?
19.E7	12	59	10	3A7	11	Same	Same	2/11A	Same	1/9A
STRAb SA-1A	12	59	10	V3b+	11	Same	Same	2/11A	Same	1/9A

WO 03/099196										PCT/IL03/00425	
Vlclone49	12	59	10	V3b+	11	Same	Same	2/11A	Same	1/9A	
MP6	12	59	10	V3b+	11	Same	11	2/11A	Same	?	
AC33	12	59	10	3A7	11	Same	Same	2/11A	Same	1/9A	

¹ID - percentage identity of the human V κ sequences to the murine BAT V κ region ²All - number of identical residues in the whole of the human V κ region when compared to the whole of the murine BAT V κ region

5 ³Surface (FR Surface) - number of identical (FR) residues on the surface

⁴Core (FR Core) - number of identical residues within the (FR) core of the Fv domain

⁵CDR/FR - number of identical residues within the CDRs or the FRs;

⁶FR Near CDR - represents the number of identical residues amongst the FR amino acids within 5 \AA of a CDR;

10 ⁷Vernier - number of identical residues amongst the 14 Vernier amino acids (Foote et al., ibid);

⁸V κ (J Chain) - number of identical residues within the V κ (J Chain) gene

⁹L1 to L3 Size - number of residues in each CDR

15 ¹⁰L1 to L3 Class - Canonical class of the CDR according to Martin & Thornton (Martin et al., ibid)

a. It was of great importance that the canonical structures for the hypervariable loops (Chothia et al., 1987, 1989, 1992 ibid; Tramontano et al., ibid) were conserved. Consequently, it was crucial to conserve in the humanized BAT-1 variable regions all the mouse FR residues that were part of these canonical structures.

b. The sequences of the mBAT-1 antibody variable regions were compared to similar sequences from other mouse antibodies to identify unusual or rare residues - which may have indicated an important role in antigen binding. This was then investigated using the model of the BAT-1 variable region genes.

25 c. A direct analysis of the model was also made to try and predict whether any of the other mouse FR residues not present in the humanized FRs could influence antigen binding in some way.

d. Comparisons of the individual human acceptor sequences for the kappa light and heavy chain variable regions to the consensus sequence of human variable regions subgroups to which the acceptor sequences belonged were also made. The identification of any idiosyncratic amino acids in the human donor sequences was important as these could have adversely affected antigen binding.

30 e. Since the human light and heavy chain variable regions selected would be derived from two different human antibodies (see Example 4 for the selection of the human V H acceptor sequence), a careful analysis of the interdomain packing residues of both the donor and acceptor kappa light variable regions should be carried out. This was because any miss-

packing in this region could have had a dramatic affect upon antigen binding, irrespective of the conformation of the CDR loop structures of the humanized BAT-1 antibody.

f. By following this design process, a number of amino acids in the murine BAT-1 $V\kappa$ FRs were identified for conservation in the second version (BATR κ_B) of the humanized BAT-1 antibody (Table 5). Table 5 provides alignment of amino acid sequences leading to the design of the first (BATR κ_A) and second (BATR κ_B) reshaped human versions of the BAT-1 antibody kappa light chain variable region. There were 21 amino acid differences between the FRs of the donor mouse BAT-1 $V\kappa$ region and the acceptor human TEL9 $V\kappa$ region. However, there were only five residues in the humanized FRs where it was considered necessary to change the amino acid present in the human FRs to the amino acid present in the original mouse FRs.

The $V\kappa$ region amino acids, located at the $V\kappa/V_H$ interface as defined by Chothia and colleagues (Chothia et al., J. Mol. Biol. 186:651, 1985), were checked for unusual or rare residues. From this analysis, the only residue position that raised any level of concern was the Phe at position 36 (Phe36) in FR2. Tyr (as found in TEL9) was normally seen at this position, however, in mBAT-1 Phe was present. In addition, position 36 was a recognized position for a Vernier amino acid (Foote et al., ibid). Vernier residues were thought to be important for maintaining CDR loop conformation. Moreover, Phe was not commonly seen in Kabat mouse subgroup VI (21/153) while Tyr was very commonly seen in both mouse subgroup VI (131/153) and human subgroup I (66/74) (Kabat et al., ibid). Consequently, a Tyr36Phe change was thought to be appropriate, both to mimic the interdomain packing found in BAT-1, between the two heterologous human acceptor variable regions, and also to maintain CDR loop conformation.

TABLE 5

25

Kabat	#	FR or CDR	Mouse BAT $V\kappa$	Mouse κ -VI	Human κ -I	Human acceptor TEL9	Surface or Core	BAT R κ_A	BAT R κ_B	Comment
1	1	FR1	Q	Q	D	E	S	E	E	
2	2		I	I	I*	.	C	I	I	Chothia Canonical (L1); Martin Canonical (L1/L3); Vernier
3	3		V	V*	Q	.	S	V	V	Martin Canonical (L3);

4	4		L	L*	M	.	C	L	L	Chothia Canonical (L1/L3); Martin Canonical (L1/L3); Vernier
5	5		T	T	T*	.	C	T	T	
6	6		Q	Q*	Q	.	c	Q	Q	
7	7		S	S*	S*	.	c	S	S	
8	8		P	P*	P*	.	c	P	P	
9	9		A	A*	S*	S	s	<u>S</u>	<u>S</u>	
10	10		I	I*	S	S	C	<u>S</u>	<u>S</u>	
11	11		M	M	L	L	c	<u>L</u>	<u>L</u>	
12	12		S	S	S*	.	c	S	S	
13	13		A	A*	A	.	c	A	A	
14	14		S	S*	S	.	c	S	S	
15	15		P	P	V*	V	s	<u>V</u>	<u>V</u>	
16	16		G	G*	G*	.	c	G	G	
17	17		E	E	D	D	c	<u>D</u>	<u>D</u>	
18	18		K	K*	R	R	s	<u>R</u>	<u>R</u>	
19	19		V	V*	V	.	c	V	V	
20	20		T	T*	T*	.	c	T	T	
21	21		I	M	I*	.	c	I	I	
22	22		T	T*	T	.	C	T	T	
23	23	FR1	C	C*	C*	.	C	C	C	Martin Canonical (L1/L2)
24	24	CD R1	S	S	R	R	s	S	S	
25	25		A	A*	A	.	c	A	A	Chothia Canonical (L1); Martin Canonical (L1)
26	26		R	S*	S	S	s	<u>R</u>	<u>R</u>	
27	27		S	S*	Q	Q	s	<u>S</u>	<u>S</u>	
27A		-	-	-	S	-	s	-	-	
27B		-	-	-	L	-	c	-	-	
27C		-	-	-	V	-	s	-	-	
27D		-	-	-	X	-	c	-	-	
27E		-	-	-	X	-	s	-	-	
27F		-	-	-	-	-	s	-	-	
28		-	-	-	S	S	s	-	-	Martin Canonical (L3) ; There is no amino acid here in BAT V _K
29	28		S	S*	I	I	s	S	S	Martin Canonical (L3)

30	29		V	V	S	S	c	V	V	Chothia Canonical (L1); Martin Canonical (L1)
31	30		S	S	N	N	c	S	S	Martin Canonical (L3)
32	31		Y	Y*	Y	.	c	Y	Y	Martin Canonical (L3)
33	32		M	M	L*	L	c	M	M	Chothia Canonical (L1); Martin Canonical (L1/L3)
34	33	CD R1	H	H	A	N	c	H	H	Packing AA
35	34	FR2	W	W*	W*	.	C	W	W	Martin Canonical (L1); Vernier
36	35		F	Y	Y	Y	C	Y	F	Packing AA ; Vernier ; Mouse germline =Tyr; (Δ 1)
37	36		Q	Q*	Q	.	c	Q	Q	
38	37		Q	Q*	Q	.	c	Q	Q	Packing AA
39	38		K	K*	K	.	c	K	K	
40	39		P	S	P*	.	s	P	P	
41	40		G	G	G	.	s	G	G	
42	41		T	T	K	K	c	K	K	Mouse germline = Ser
43	42		S	S*	A	A	c	A	A	
44	43		P	P*	P*	.	C	P	P	Core packing AA
45	44		K	K*	K	.	s	K	K	
46	45		L	R	L	.	C	L	L	Vernier; Packing AA; Mouse germline = Pro
47	46		W	W	L*	L	C	L	W	Vernier ; (Δ 2)
48	47		I	I*	I*	.	C	I	I	Chothia Canonical (L2); Vernier
49	48	FR2	Y	Y*	Y	.	C			Vernier
50	49	CD R2	R	D	A	A	c	R	R	
51	50		T	T	A	A	c	T	T	Chothia Canonical (L2)
52	51		S	S*	S	.	c	S	S	Chothia Canonical (L2)
53	52		N	K	S	T	s	N	N	
54	53		L	L*	L*	.	c	L	L	
55	54		A	A	E	Q	c	A	A	
56	55	CD R2	S	S*	S	.	s	S	S	

57	56	FR3	G	G*	G*	.	S	G	G	
58	57		V	V*	V	.	C	V	V	
59	58		P	P*	P*	.	C	P	P	
60	59		A	A	S*	S	S	<u>S</u>	<u>S</u>	
61	60		R	R*	R*	.	c	R	R	
62	61		F	F*	F*	.	C	F	F	
63	62		S	S*	S	.	C	S	S	
64	63		G	G*	G*	.	C	G	G	Chothia Canonical (L2); Vernier
65	64		S	S*	S	.	C	S	S	
66	65		G	G*	G*	.	C	G	G	Vernier
67	66		S	S*	S	.	S	S	S	
68	67		G	G*	G*	.	C	G	G	Vernier
69	68		T	T	T	.	C	T	T	Vernier
70	69		S	S*	D	D	S	<u>D</u>	<u>D</u>	
71	70		Y	Y	F	F	C	<u>F</u>	Y	Chothia Canonical (L1); Martin Canonical (L1); Vernier; (Δ3)
72	71		C	S*	T	T	c	<u>T</u>	<u>T</u>	Mouse germline = Ser
73	72		L	L*	L	.	c	L	L	
74	73		T	T*	T	.	c	T	T	
75	74		I	I*	I	.	c	I	I	
76	75		S	S	S	N	c	<u>N</u>	<u>N</u>	
77	76		R	S	S	S	c	<u>S</u>	<u>S</u>	Mouse germline = Ser
78	77		M	M	L*	L	c	<u>L</u>	<u>L</u>	
79	78		E	E*	Q	Q	c	<u>Q</u>	<u>Q</u>	
80	79		A	A*	P	P	s	<u>P</u>	<u>P</u>	
81	80		E	E*	E	.	s	E	E	
82	81		D	D*	D	.	c	D	D	
83	82		A	A	F	F	c	<u>F</u>	<u>F</u>	
84	83		A	A*	A*	.	c	A	A	
85	84		T	T	T	.	c	T	T	
86	85		Y	Y*	Y*	.	c	Y	Y	
87	86		Y	Y*	Y*	.	C	Y	Y	Packing AA
88	87	FR3	C	C*	C*	.	C	C	C	Martin Canonical (L3)
89	88	CD R3	Q	Q	Q	.	c	Q	Q	Martin Canonical (L3); Packing AA
90	89		Q	Q*	Q	.	c	Q	Q	Chothia Canonical (L3); Martin Canonical (L3)
91	90		R	W	Y	T	c	R	R	Martin Canonical (L3); Packing AA

92	91		S	S	N	N	c	S	S	Martin Canonical (L3)
93	92		S	S	S	.	c	S	S	Martin Canonical (L3)
94	93		F	N	L	.	c	F	F	Martin Canonical (L3)
95	94		P	P	P	.	c	P	P	Chothia Canonical (L3); Martin Canonical (L3)
95A			-	P	E	-		-	-	
95B			-	M	-	-		-	-	
95C			-	P	-	-		-	-	
95D			-	-	-	-		-	-	
95E			-	-	-	-		-	-	
95F			-	-	E	-		-	-	
96	95		L	L	W	.	c	L	L	Martin Canonical (L3); Core packing AA
97	96	CD R3	T	T*	T	.	c	T	T	Martin Canonical (L3)
98	97	FR4	F	F*	F*	.	C	F	F	Martin Canonical (L3); Vernier; Core packing AA.
99	98		G	G*	G*	.	c	G	G	
100	99		S	A	Q	G	s	<u>G</u>	<u>G</u>	
101	100		G	G*	G*	.	c			
102	101		T	T*	T*	.	c			
103	102		K	K*	K	.	c	K	K	
104	103		L	L*	V	.	c	V	V	
105	104		E	E*	E	.	c	E	E	
106	105		I	L	I	.	c	I	I	
106 A			-	-	-	-		-	-	
107	106	FR4	K	K*	K	.	s	K	K	

A second change was also decided upon at position 47 in FR2. The highly conserved Leu found in the human TEL9 kappa light chain variable region was changed to a Trp, as found in the mouse BAT-1 kappa light chain variable region. Position 47 was another recognized Vernier residue position and was also located near the V_H interface according to the molecular model. In particular, it was close to Ala55 in H2 and may have been interacting with it. Therefore, although Trp was never seen at this core residue position in human V_H sequences, it was felt prudent to conserve it in BATR κ_B by making the Leu47Trp modification.

The third FR change introduced into BATR κ_B was located at position 71, which as well as being identified as a Vernier residue position (Foote et al., ibid), was also recognized as being

one of the important canonical residue positions for the L1 loop structure. These canonical residues were defined by Chothia and his co-workers (Chothia et al., 1987, 1989, 1992 *ibid*; Tramontano et al., *ibid*) as being vital for the conservation of the CDR loop structure. Many of the canonical amino acids were located within the CDRs, however, a number (such as 71Tyr) 5 were also positioned within the FRs. Although the amino acid change was conservative, the Phe71Tyr change was considered critical for the successful humanization of the BAT-1 kappa light chain.

Other versions of the humanized V_K region are:

BATR κ_C : Cys and Ser are similar in size and character, and from the model both amino 10 acids at position 72 in FR3 appeared to be reasonably buried and pointing away from the L1 loop. However, in the case of the Cys amino acid the sulphur side-chain is exposed, according to the model, whereas according to the Kabat database (Kabat et al., *ibid*) the presence of Cys at this position is a unique event and Ser is commonly seen at this position (⁴²¹/₁₂₃₄). Consequently, BATR κ_C contained the changes at Tyr36Phe, Leu47Trp and Phe71Tyr (as in BATR κ_B) plus the 15 Ser72Cys modification to the V_K FRs residues of the acceptor TEL9 antibody.

BATR κ_D : Evidence from the murine BAT-1 Fv model suggests that the surface exposed 20 70Ser is a residue which may interact with the L1 loop. In the human TEL9 kappa light chain the amino acid at this position is Asp, which is larger than Ser and positively charged. Ser is never seen at this position in human V_K regions (Asp being by far the most common amino acid). The proximity to the L1 loop and the surface exposed nature of 70Ser tentatively suggested that it may be either interacting with L1 or even the antigen directly. Consequently, it was decided to make the Asp70Ser change in BATR κ_D , which was otherwise identical to BATR κ_C .

A description of the amino acid sequences of all the humanized BAT-1 antibody V_K 25 region variants proposed above is given in FIG. 5.

Although potential N-linked glycosylation sites i.e. Asn-Xaa-(Ser/Thr)-Xaa (Gavel et al., Protein Eng. 3:43, 1990) were searched for in both the donor mouse and acceptor human V_K regions, as well as the humanized constructs themselves, none were identified.

30

Example 4

Design of the humanized BAT-1 V_H antibody variants

Again, the first step in the design of the humanized V_H region of the mouse BAT-1 antibody was the selection of the acceptor human heavy chain variable region that would serve as the basis of the humanized BAT-1 V_H region. When the mBAT-1 V_H region was initially

compared to the consensus sequences of the three human heavy chain variable region subgroups it was found to be most similar to the consensus sequence for human heavy chain subgroup I with a 61.54% identity overall and a 67.82% identity between the FRs alone. When measured with respect to similarity, these values also increased to 70.09% overall and 77.01% within the 5 FRs alone.

TABLE 6

NAME	ID	Murine BAT V _H Vs.most homologues 15 human V _H							
Residues		scsCcccsCscScsscccsccCcccccCSCCs				ccccCCCccssss			
1-43		1	2	3	4				
		12345678901234567890123456789012345AB67890123							
		v				-vvvv==H1==			
BAT	100	QIQLVQSGPELKKPGETVKISCKASGYTFTNYGMN--WVKQAPG							
hsighvl295	65.0	.V.....S.....AS.....S.SSHAI..R....							
R2C5H	60.3	.V.....S.....AS.....N..ST.AL..MRR...							
030805	56.8	.V.....A.V....AS..V.....S.DI..R..T.							
WIL2	57.7	.V.....A.V....AS..V..E..V...GHY.H..R....							
21/28	59.7	.V.....A.V....AS..V.....S.A.H..R....							
UC	57.7	.V.....A.V....AS..V..E.....GHY.H..R....							
030802	58.2	.V.....A.V....AS..V.....S.A.H..R....							
039734	57.7	.V.....A.V....AS..V..E.....GHY.H..G....							
030812	56.3	.V.....A.V....AS..V.....S.Y.H..R....							
030810	57.9	.V.....A.V....AS..V.....S.Y.H..R....							
4d275a	71.4	.V.....S.....AS..V.....S.A..G....							
030811	56.0	.V.....A.V....AS..V.....S.Y.H..R....							
IF10	59.3	.V.....A.V....AS..V.....S.DI..R....							
GD9	71.4	.V.....S.....AS..V.....S.A..R....							
039232	59.3	.VH.....S.F....AS..V.....SSVI..R....							
Residues		cCCCCCcccccsc csccccscssCCCCCCcSscCcCcCcCcsc				5	6	7	8
44-82		456789012ABC345678901234567890123456789012ABC				vvv=====H2=====Kabat-	v	v	v
BAT	100	KGLKWMGWINT-DSGESTYAEFKGRFAFSLETSANTAYLQINNL							
hsighvl295	65.0	Q..Q.....NT..SP...QG.T...V...D..VS.....TS..							
R2C5H	60.3	Q.....L NT..NP...QD.T...V...D..V..F...SS..							
030805	56.8	Q..E....M.P N..NTG..QK.Q..VTMTRN..IS...MELSS.							
WIL2	57.7	Q..E.....P N..GTN..K.Q..VTITRD..I....MELSR.							
21/28	59.7	QR.E.....A GN.NTK.SQK.Q..VTITRD..S...MELSS.							
UC	57.7	Q..E.....P N..GTN..QK.Q..VTITRD..I....MELSR.							
030802	58.2	QR.E.....A GN.NTK.SQK.Q..VTITRD..S...MELSS.							
039734	57.7	Q..E.....P N..GTN..QK.Q..VTITRD..I....MELSR.							
030812	56.3	Q..E...I..P SG.STS..QK.Q..VTMTRD..TS.V.MELSS.							
030810	57.9	Q..E...I..P SG.STS..QK.Q..VTMTRD..TS.V.MELSS.							
4d275a	71.4	Q..E.....NT.NP...QG.T...V...D..VS.....CS..							

030811	56.0	Q..E...I..P SG.STS..QK.Q..VTMTRD..TS.V.MELSS.
IF10	59.3	Q..E...M.P N..NTG..QK.Q..VTMTRN..IS...MELSS.
GD9	71.4	Q..E..... NT.DP...QG.T...V...D..VS.....SS.
039232	59.3	Q..E..... NT.NP...QG.T...V...D..VT.T.....S.

		cssccccccCCCCCccsscccccc cccc ccCcscCCCC
Residues		9 10 11
83-113		345678901234567890ABCDEFHIJK1234567890123
		VV=====H3=====V
BAT	100	NNEDTATYFCVRVGYDA-----LDYWGQGTSVTVSS
hsighvl295	65.0	TA...GM...AKESHSSALDL -----L.....
R2C5H	60.3	QA....V.Y.AKPKRGTYRRGYYYYP M.V.....T.....
030805	56.8	RS....V.Y.A.G..VWGSYRYTA AF.I.....M.....
WIL2	57.7	RSD...V.Y.A.AS.CGYDCYY FF.....L.....
21/28	59.7	RS....V.Y.A.G..YGSGS -N.....L.....
UC	57.7	RSD...V.Y.A.AS.CGYDCYY FF.....L.....
030802	58.2	RS....V.Y.A.KWEQPIDAP F.....L.....
039734	57.7	RSD...V.Y.A.AS.CGYDCYYF F.....L.....
030812	56.3	RS....V.Y.A.D..YYDSNGYYSG YF.....L.....
030810	57.9	RS....V.Y.A.QWLGLTGPN -----L.....
4d275a	71.4	KA....V.Y.A.-----
030811	56.0	RS....V.Y.A.D.IVVVPAAIPH YF.....L.....
IF10	59.3	RS....V.Y.A.NNGSY YF.....L.....
GD9	71.4	KA....V.Y.A.-----
039232	59.3	KA....V.Y.A.ELRNDHYVWXNYRPPLS-----

The mouse BAT-1 V_H region was then compared to all the recorded examples of individual sequences of human variable regions publicly available. Tables 6 and 7 show the best fifteen matches to the mouse BAT-1 V_H region which were identified through this analysis.

5 Overall, the search algorithm selected the human V_H region from antibody hsighvl295 (Fang et al., J. Exp. Med. 179:1445, 1994) as the closest match to the mouse BAT-1 V_H region. This human V_H region had an overall identity to the BAT-1 V_H region of 69.23% (Table 7), a value which increased to 74.71% when the FRs alone were compared. When measured with respect to similarity, these values increased to 75.21% overall and 79.31% within the FRs alone. This 10 human FR thus became the basis of the humanized version of the BAT-1 heavy chain.

TABLE 7

NAME	ID	All	Surface	Core	Kabat CDR	FR	FR Surface	FR Core	FR Near CDR
BAT V _H	100	117	26	86	30	87	18	68	27
Hsighvl2 95	65.0	78	17	63	17	65	14	51	22
R2C5H	60.3	76	16	59	17	64	14	49	20
030805	56.8	71	16	56	14	59	13	47	19
WIL2	57.7	71	15	56	13	59	13	46	19
21/28	59.7	71	15	55	13	59	13	46	19

WO 03/099196								PCT/IL03/00425	
UC	57.7	71	15	55	13	59	13	46	19
030802	58.2	71	15	55	13	59	13	46	19
039734	57.7	71	15	55	13	57	13	46	19
030812	56.3	71	15	55	13	58	13	46	18
030810	57.9	70	15	55	13	58	13	46	17
4d275a	71.4	70	15	54	13	58	13	46	18
030811	56.0	70	15	54	13	58	13	46	18
IF10	59.3	70	15	54	13	58	13	46	18
GD9	71.4	70	15	54	11	58	13	46	18
039232	59.3	70	15	54	13	58	13	46	18

NAME	Vernier	V _H	J	Close Human Germline	H1 Size	H2 Size	H3 Size	H1 Class	H2 Class
BAT V _H	16	98	19		5	17	8	?	?
Hsighv1295	13	70	14	VI-4.1b+	Same	Same	11	1/10A	2/10A
R2C5H	13	70	14	VI-4.1b+	Same	Same	17	Same	Same
030805	11	66	14	DP-15-V18+					
WIL2	11	65	14	DP-8+	Same	Same	14	1/10A	Same
21/28	11	64	14	DP-25-VI3b+	Same	Same	10	1/10A	Same
UC	11	62	14	DP-8+	Same	Same	14	1/10A	Same
030802	11	62	14	DP-25-VI3b+					
039734	11	62	14	DP-8+					
030812	11	60	14	hv1f10t					
030810	11	59	14	hv1f10t					
4d275a	11	59	14	DP-21-4d275a+	Same	Same	0	Same	Same
030811	11	59	14	hv1f10t					
IF10	11	59	14	DP-15-V18+	Same	Same	9	Same	Same
GD9	11	58	14	VI-4.1b+	Same	Same	0	Same	2/10A
039232	11	58	14	VI-4.1b+					

¹ID - percentage identity of the human V_H sequences to the murine BAT V_H region ²All - number of identical residues in the whole of the human V_H region when compared to the whole of the murine BAT V_H region

³Surface (FR Surface) - number of identical (FR) residues on the surface

⁴Core (FR Core) - number of identical residues within the (FR) core of the Fv domain

⁵CDR/FR - number of identical residues within the CDRs or the FRs;

⁶FR Near CDR - represents the number of identical residues amongst the FR amino acids within 5Å of a CDR;

⁷Vernier - number of identical residues amongst the 14 Vernier amino acids (Foote et al., *ibid*);

⁸V_H (J Chain) - number of identical residues within the V_H (J Chain) gene

⁹L1 to L3 Size - number of residues in each CDR

¹⁰L1 to L3 Class - Canonical class of the CDR according to Martin & Thornton (Martin et al., *ibid*)

The next step in the design process was to study the amino acid sequences of the human acceptor hsighv1295 V_H region FRs to determine if any of these amino acid residues were likely to adversely influence binding to antigen. Once again, the molecular models built by OML (see Example 5) were crucial to this design process, from which a number of amino acids in the

murine BAT-1 V_H region FRs were identified for conservation in the first (BATRH_A) and subsequent versions of the humanized BAT-1 antibody (Table 8). There were 22 amino acid differences between the FRs of the donor mouse BAT-1 and the acceptor human hsighv1295 V_H regions and up to nine murine residues were considered for conservation in the humanized FRs.

5 BATRH_A therefore consisted of the CDRs of the mouse BAT-1 antibody V_H region genetically inserted into the FRs of the human hsighv1295 antibody V_H region. This was the CDR-grafted version of the V_H region of the humanized BAT-1 antibody and contained no FR amino acid changes whatsoever.

In BATRH_B, the amino acids at positions 28 and 30 in FR1 of the mouse BAT-1 sequence (i.e. Thr and Thr, respectively) replaced the corresponding human hsighv1295 amino acids (i.e. Ser, and Ser, respectively) in the humanized BAT-1 heavy chain variable region. This was done because they represented some of the canonical residues important for the H1 hypervariable loop conformation (Chothia et al., 1992 *ibid*). Canonical residues were considered critical for the correct orientation and structure of hypervariable loops and were generally always conserved in a humanized variable region. Moreover, residue positions 27-30 were considered part of the H1 loop itself and so were even more critical to the correct conformation and orientation of this loop - justifying their conservation even more strongly. Thus, these two residue positions represented the sum of the changes made to the FRs of the human hsighv1295 sequence in BATRH_B.

20 The next step in the design process was to study the amino acid sequences of the human acceptor hsighv1295 V_H region FRs to determine if any of these amino acid residues were likely to adversely influence binding to antigen. Once again, the molecular models built by OML (see Example 5) were crucial to this design process, from which a number of amino acids in the murine BAT-1 V_H region FRs were identified for conservation in the first (BATRH_A) and subsequent versions of the humanized BAT-1 antibody (Table 8). There were 22 amino acid differences between the FRs of the donor mouse BAT-1 and the acceptor human hsighv1295 V_H regions and up to nine murine residues were considered for conservation in the humanized FRs.

25 BATRH_A therefore consisted of the CDRs of the mouse BAT-1 antibody V_H region genetically inserted into the FRs of the human hsighv1295 antibody V_H region. This was the CDR-grafted version of the V_H region of the humanized BAT-1 antibody and contained no FR amino acid changes whatsoever.

30 In BATRH_B, the amino acids at positions 28 and 30 in FR1 of the mouse BAT-1 sequence (i.e. Thr and Thr, respectively) replaced the corresponding human hsighv1295 amino acids (i.e. Ser, and Ser, respectively) in the humanized BAT-1 heavy chain variable region. This was done

because they represented some of the canonical residues important for the H1 hypervariable loop conformation (Chothia et al., 1992 *ibid*). Canonical residues were considered critical for the correct orientation and structure of hypervariable loops and were generally always conserved in a humanized variable region. Moreover, residue positions 27-30 were considered part of the H1 loop itself and so were even more critical to the correct conformation and orientation of this loop - justifying their conservation even more strongly. Thus, these two residue positions represented the sum of the changes made to the FRs of the human hsighv1295 sequence in BATRH_B.

10

TABLE 8

Kabat	#	FR or CDR	Mouse BAT V _H	Mouse Misc.	Human I	Human Accep. hsighv 1295	Surf. Core	BAT RH _A	BAT RH _B	BAT RH _C	Comment
1	1	FR 1	Q	E	Q	.	s	Q	Q	Q	
2	2		I	V*	V	V	c	V	V	V	Martin Canonical (H1); Vernier
3	3		Q	Q	Q	.	s	Q	Q	Q	
4	4		L	L	L*	.	C	L	L	L	Martin Canonical (H1)
5	5		V	Q*	V	.	c	V	V	V	
6	6		Q	Q*	Q	.	c	Q	Q	Q	
7	7		S	S	S*	.	c	S	S	S	
8	8		G	G	G*	.	c	G	G	G	
9	9		P	A*	A	S	s	S	S	S	
10	10		E	E	E	.	c	E	E	E	
11	11		L	L*	V	.	S	L	L	L	
12	12		K	V*	K	.	c	K	K	K	
13	13		K	K	K*	.	s	K	K	K	
14	14		P	P*	P*	.	s	P	P	P	
15	15		G	G	G*	.	c	G	G	G	
16	16		E	A	A	A	c	A	A	A	
17	17		T	S*	S*	S	c	S	S	S	
18	18		V	V*	V	.	c	V	V	V	
19	19		K	K*	K	.	s	K	K	K	
20	20		I	L	V	.	c	I	I	I	Martin Canonical (H1)
21	21		S	S	S	.	c	S	S	S	
22	22		C	C	C*	.	C	C	C	C	Martin Canonical (H1)
23	23		K	T	K	.	c	K	K	K	
24	24		A	A*	A	.	c	A	A	A	Chothia Canonical (H1); Martin Canonical (H1)

25	25		S G	S G	S* G*	.	c c	S G	S G	S G	Chothia Canonical (H1); Martin Canonical (H1)
26	26										
27	27		Y	P*	Y	.	C	Y	Y	Y	Chothia Canonical (H1); Vernier
28	28		T	N	T	S	S <u>S</u>	T	T	T	Vernier; (Δ 1)
29	29		F	I*	F*	.	C	F	F	F	Chothia Canonical (H1); Martin Canonical (H1); Vernier
30	30	FR 1	T	K	T	S	C <u>S</u>	T	T	T	Vernier; (Δ 2)
31	31	CDR 1	N	D	S	S	s N	N	N	N	
32	32		Y	T	Y	H	c Y	Y	Y	Y	Martin Canonical (H1)
33	33		G	Y*	A	A	c G	G	G	G	Martin Canonical (H1/H2)
34	34		M	M	I	I	c M	M	M	M	Chothia Canonical (H1) Martin Canonical (H1)
35	35		N	H	S	.	c N	N	N	N	Martin Canonical (H1); Packing AA.
35a			-	-	-	-	-	-	-	-	
35b		CDR 1	-	-	-	-	-	-	-	-	
36	36	FR 2	W	W	W*	.	C W	W	W	W	Martin Canonical (H1)
37	37		V	V*	V	.	C V	V	V	V	Packing AA.
38	38		K	K	R*	R	C <u>R</u>	<u>R</u>	<u>R</u>	<u>R</u>	
39	39		Q	Q	Q*	.	c Q	Q	Q	Q	Packing AA.
40	40		A	R	A	.	c A	A	A	A	
41	41		P	P	P	.	s P	P	P	P	
42	42		G	E*	G*	.	s G	G	G	G	
43	43		K	Q*	Q	Q	s <u>Q</u>	<u>Q</u>	<u>Q</u>	<u>Q</u>	
44	44		G	G*	G	.	c G	G	G	G	
45	45		L	L	L*	.	C L	L	L	L	Core packing AA.
46	46		K	E	E*	Q	C <u>Q</u>	<u>Q</u>	<u>Q</u>	<u>Q</u>	
47	47		W	W	W*	.	C W	W	W	W	Martin Canonical (H2); Packing AA.
48	48		M	I*	M	.	C M	M	M	M	Martin Canonical (H1)

49	49	FR 2	G	G*	G*	.	C	G	G	G	
50	50	CDR 2	W	R	W	.	c	W	W	W	Martin Canonical (H2)
51	51		I	I	I	.	c	I	I	I	Martin Canonical (H1/H2)
52	52		N	D*	N	.	c	N	N	N	Martin Canonical (H2)
52a	53		T	P*	P	.	c	T	T	T	Chothia Canonical (H2) Martin Canonical (H2)
52b			-	-	Y	-		-	-	-	Martin Canonical (H2)
52c			-	-	-	-		-	-	-	Martin Canonical (H2)
53	54		D	A	G	N	s	D	D	D	Martin Canonical (H2)
54	55		S	N	N	T	c	S	S	S	Martin Canonical (H2)
55	56		G	G	G	.	c	G	G	G	Chothia Canonical (H2); Martin Canonical (H2)
56	57		E	N	D	S	s	E	E	E	Martin Canonical (H2)
57	58		S	T	T	P	c	S	S	S	
58	59		T	K	N	.	c	T	T	T	Martin Canonical (H2)
59	60		Y	Y	Y	.	c	Y	Y	Y	Martin Canonical (H2)
60	61		A	D	A	.	c	A	A	A	
61	62		E	P*	Q	Q	s	E	E	E	
62	63		E	K*	K	G	s	E	E	E	
63	64		F	F*	F	.	c	F	F	F	
64	65		K	Q*	Q	T	s	K	K	K	
65	66	CDR 2	G	G	G	.	s	G	G	G	
66	67	FR 3	R	K	R	.	C	R	R	R	
67	68		F	A	V	.	C	F	F	F	
68	69		A	T*	T	V	C	V	V	V	
69	70		F	I	I	.	C	F	F	F	Martin Canonical (H1/H2)
70	71		S	T*	T	.	C	S	S	S	
71	72		L	A*	A	.	C	L	L	L	Chothia Canonical (H2); Martin Canonical (H2)
72	73		E	D	D	D	c	D	D	D	

73	74		T	T*	T	.	S	T	T	T	
74	75		S	S*	S*	.	s	S	S	S	
75	76		A	S*	T	V	c	<u>V</u>	<u>V</u>	<u>V</u>	
76	77		N	N*	S	S	c	<u>S</u>	<u>S</u>	<u>N</u>	(Δ3)
77	78		T	T*	T	.	c	T	T	T	
78	79		A	A*	A	.	c	A	A	A	Martin Canonical (H1/H2)
79	80		Y	Y*	Y	.	c	Y	Y	Y	
80	81		L	L	M	.	c	L	L	L	Martin Canonical (H1)
81	82		Q	Q*	E	.	c	Q	Q	Q	
82	83		I	L*	L	.	c	I	I	I	
82a	84		N	S*	S	T	c	<u>T</u>	<u>T</u>	<u>T</u>	
82b	85		N	S*	S	S	s	<u>S</u>	<u>S</u>	<u>S</u>	
82c	86		L	L	L*	.	c	L	L	L	
83	87		N	T*	R	T	c	<u>T</u>	<u>T</u>	<u>T</u>	
84	88		N	S*	S	A	s	<u>A</u>	<u>A</u>	<u>A</u>	
85	89		E	E*	E	.	s	E	E	E	
86	90		D	D*	D*	.	c	D	D	D	
87	91		T	T*	T	.	c	T	T	T	
88	92		A	A	A	G	c	<u>G</u>	<u>G</u>	<u>G</u>	
89	93		T	V	V	M	c	<u>M</u>	<u>M</u>	<u>M</u>	
90	94		Y	Y	Y*	.	c	Y	Y	Y	Martin Canonical (H1)
91	95		F	Y*	Y	.	C	F	F	F	Packing AA.
92	96		C	C	C*	.	C	C	C	C	Martin Canonical (H1)
93	97		V	A	A*	A	C	<u>V</u>	<u>V</u>	<u>A</u>	Packing AA; (Δ4)
94	98	FR 3	R	R	R	K	C	<u>R</u>	<u>R</u>	<u>K</u>	Chothia Canonical (H1); Martin Canonical (H1); (Δ5)
95	99	CDR 3	V	G	A	E	c	V	V	V	Packing AA.
96	100		G	Y	P	S	c	G	G	G	
97	101		Y	L	G	H	s	Y	Y	Y	
98	102		D	R	Y	S	s	D	D	D	
99	103		A	R	G	S	c	A	A	A	
100			-	D	S	A		-	-	-	
100 a			-	-	G	L		-	-	-	
100 b			-	-	G	D		-	-	-	
100 c			-	-	G	-		-	-	-	
100 d			-	-	C	-		-	-	-	
100 e			-	-	Y	-		-	-	-	
100 f			-	-	R	-		-	-	-	
100 g			.	-	G	-		.	.	.	
100 h			.	-	D	-		.	.	.	
100 i			.	-	Y	-		.	.	.	
100 j			.	-	x	-		.	.	.	

100	104		L	-	F	.	c	L	L	L	Core packing AA.
101	105		D	D	D	.	c	D	D	D	
102	106	CDR 3	Y	Y	Y	.	c	Y	Y	Y	Martin Canonical (H1)
103	107	FR 4	W	W	W*	.	C	W	W	W	Core packing AA.
104	108		G	G	G	.	c	G	G	G	
105	109		Q	Q	Q	.	s	Q	Q	Q	
106	110		G	G	G*	.	c	G	G	G	
107	111		T	T*	T	.	c	T	T	T	
108	112		S	S	L	L	c	<u>L</u>	<u>L</u>	<u>L</u>	
109	113		V	V	V*	.	c	V	V	V	
110	114		T	T	T	.	c	T	T	T	
111	115		V	V*	V*	.		V	V	V	
112	116		S	S	S*	.		S	S	S	
113	117	FR 4	S	S	S*	.		S	S	S	

The third version of the humanized BAT-1 V_H region (BATRH_C) incorporated all the substitutions made in BATRH_A and, in addition, contained a further three murine amino acids, which were inserted into the human FRs in place of the corresponding human residues. The first of these was the Asn amino acid located at position 76 in FR3. According to the molecular model of the BAT-1 Fv region, the Asn residue was close to CDR H1 and may have been supporting the loop structure. In addition, in the mouse BAT-1 V_H region, the Asn was surface exposed and larger than the Ser in the human hsighv1295 FRs. Consequently, a Ser76Asn substitution was made to the FR.

A further change was made to the amino acid at position 94 in FR3 of the V_H region, a residue position which had been previously identified by Chothia et al. (Chothia et al., 1992 *ibid*) as well as by Martin and Thornton (Martin et al., *ibid*), as important for H3 loop conformation. Moreover, the molecular model indicated that the Arg94 could form a salt bridge with Asp101 in CDR H3, stabilizing the loop structure. Consequently, the Arg in the mouse replaced the Lys in the human at this residue position. A final modification was also made at position 93 in FR3 where the human Ala was replaced by the murine Val amino acid. This residue was considered a packing residue, as defined by Chothia (Chothia et al., 1985 *ibid*), important for the correct packing of the V_K and V_H regions. In addition, this was identified as a Vernier residue position, and therefore important for maintaining CDR loop conformation, a classification confirmed by an analysis of the molecular model. Taken together, all the data and molecular analysis suggested that it was appropriate to conserve these three murine residues in the humanized V_H region of BATRH_C, i.e. Ser76Asn, Ala93Val and Lys94Arg.

The construction of the next two humanized variants of the BAT-1 V_H region depended upon the binding affinity of these first three humanized versions i.e. BATRH_A, BATRH_B and BATRH_C. If all three failed to display an adequate level of binding, then versions BATRH_D and BATRH_E would be synthesized and tested.

5 Version D of the humanized BAT-1 V_H region (BATRH_D) incorporated all the substitutions made in BATRH_C and, in addition, contained one further mouse amino acid located at position 2 in FR1. This location was defined as both a canonical (Martin et al, *ibid*) and Vernier (Foote et al, *ibid*) residue position. In addition, from the model of the BAT-1 variable region, the murine Ile amino acid was close to Tyr27 in FR1, which is itself part of the
10 H1 loop structure. Conversely, the murine Ile and human Val amino acids, at this location in the mouse and human FRs, were similar in character and only slightly different in size, i.e. Ile has an extra methyl group. Therefore, it was decided to make the Val2Ile change only at this stage of the humanization procedure and incorporate the mutation into version BATRH_D.

15 The final version of the humanized BAT-1 heavy chain variable region (BATRH_E) incorporated all the mouse FR substitutions made in BATRH_D along with three additional amino acid changes at positions 38 (FR2), 46 (FR2) and 68 (FR3).

20 The Arg38Lys modification was made because the model suggested that the Arg, deeply buried in the core of the V_H region, was close to Phe63 in CDR H2. However, this was not a previously identified canonical or Vernier residue position. In addition, Arg and Lys are relatively similar in structure, although Arg is bulkier, and so the significance of any amino acid change was hard to judge. Consequently, this was considered as only a tentative possibility and the substitution was only going to be made if the binding affinity of the humanized BAT-1 antibody was found to be poor. The same rationale was also behind the selection of the Gln46Lys modification. The Lys amino acid was half-buried, according to the molecular model, but close to Glu62 and Phe63 in CDR H2. There was a faint possibility that the larger, charges Lys46 residue could interact with the antigen directly, therefore it was conserved in BATRH_E. The case for preserving the murine 68Ala amino acid was related to its proximity to CDR H2, particularly to residue Tyr59 in the H2 loop, and to the chance of it therefore influencing loop structure. The Ala was unlikely to be important due to its small size, however the larger Val, found in the human hsgihv1295 FRs could have adversely affected H2 loop structure, and so was replaced with the murine Ala residue.

30 A description of the amino acid sequences of all the humanized V_H region variants proposed above is given in FIG. 6.

Although potential N-linked glycosylation sites i.e. Asn-Xaa-(Ser/Thr)-Xaa (Gavel et al., *ibid*) were searched for in both the donor mouse and acceptor human V_H regions, as well as the humanized constructs themselves, none were identified.

5

Example 5

Molecular modeling of the murine and humanized BAT-1 Fv domain

To assist the design of the humanized variable regions of the BAT-1 antibody, a molecular model of the variable regions of both the murine and the humanized antibodies were built. The modeling of these structures was achieved using both the established methods of modeling by 10 homology and *ab initio* techniques. This was done using AbM molecular modeling package, which was supplied and utilized by Oxford Molecular Limited (OML). Antibody X-ray crystallographic structures from the Brookhaven database available were formatted to allow them to be used for modeling with *AbM*.

The FRs of the BAT-1 variable regions were modeled on FRs from similar, structurally solved immunoglobulin variable regions. While identical amino acid side-chains were kept in their original orientation, mismatched side-chains were substituted as in the original BAT-1 Fv region. The backbone atoms of the FAB17-IA V_K region were used for the model of the BAT-1 V_K region, while the FRs of the 409.5.3 V_H region were used to model the BAT-1 V_H region (Brookhaven PDB codes 1for and 1iai, respectively). These sequences both represented good 15 matches for the variable region sequences of murine BAT-1 antibody, and their humanized variants. The identities for the mBAT-1 and humanized sequences ranged from 73% to 92% for 20 V_K region sequences and between 65% and 79% for V_H region sequences. Testing of *AbM* with known structures has shown that FR backbone homology is an important factor in the quality of any model, since the use of FR structures that poorly match a sequence being modeled can 25 significantly and adversely affect the position and orientation of the CDR loop structure.

For the backbone structure of the L1 loop, the loop conformations of the murine BAT-1 V_K region and the humanized BATR_{KB} sequence (FIG. 5) were taken from canonical classes used by *AbM*. These canonical classes are based on those described by Chothia and his colleagues, but they have been modified to take into consideration structures that have become 30 available since the original articles were published (Chothia et al., 1987, 1989, 1992 *ibid*; Tramontano et al., *ibid*). Testing the performance of *AbM* predictions for known loop structures has shown that CDR loops which are created in this way are usually modeled very accurately, i.e. to within 1-1.5 Å RMS deviation. For the V_K region sequence BATR_{KA}, the substitution of Phe for Tyr at position 71 (in FR3) meant that it no longer fitted the canonical class (Class 1)

seen in the murine V_{κ} region and the humanized $BATR\kappa_B$ V_{κ} region. Tyr71 had an important role in the conformation of the L1 loop, however, analysis of the modeled structures suggested that it was the packing of the L1 loop against the aromatic ring of Tyr which was the key feature of the residue. Thus, there was reason to believe that Phe could also perform this function. In 5 addition, from the models there did not seem to be any strong interactions with the hydroxyl group of Tyr71. Consequently, there was a possibility that the substitution of Tyr with Phe could well have had no affect the actual conformation of the L1 loop.

For the backbone structures of CDRs L2, L3, H1 and H2, conformations for all the models were taken from canonical classes defined by *AbM* without modification.

10 The H3 loop in the BAT-1 V_H region was eight residues long, so two methods were used for predicting the H3 loop structure. A database search for the backbone conformations was used for both methods, but in addition, the conformation of the central five residues in the model were searched more thoroughly using a CONGEN search (Bruccoleri, *ibid*). Although this took longer to compute, it reassuringly produced a conformation which was very similar to those 15 identified from the database search.

After adjusting the whole of the model for obvious steric clashes it was finally subjected to energy minimization, as implemented in MACROMODEL, both to relieve unfavorable atomic contacts and to optimize van der Waals and electrostatic interactions.

20

Example 6

Construction of humanized BAT-1 light chain variants

As with all examples, a strict PCR-cloning and sequencing protocol was followed. This was done to minimize the possibility of introducing errors into the humanized versions. The construction of the humanized BAT-1 kappa light chain variable region genes (i.e. $BATR\kappa_A$, 25 $BATR\kappa_B$, and $BATR\kappa_D$) produced an approximately 425 bp product which was then subcloned in pCR2.1TM. The PCR reactions were set up using the primers described in Tables 9 and 10.

TABLE 9

Primer Name	SEQ ID NO	Oligonucleotide used in the construction of the various humanized versions of the BAT-1 antibody kappa light chain variable region gene (5' → 3')
BATR κ .1	30	CCCAAGCTGCCGCCACCATG GACATGAGGGTCCCCGCTCAG C
BATR κ .2	31	TCCTGGGGCTCCTGCTGCT GGCTCCCAGGTGCCAAATG
BATR κ .3	32	TGAAATTGTGTTGACGCAGTC TCCATCCTCCCTGTCTGCA
BATR κ .4	33	TCTGTAGGAGACAGAGTCACC ATCACTTGCAGTGCCAGGT
BATR κ .5	34	CAAGTGTAAAGTTACATGCACT GGTATCAGCAGAAACCAGG

BATR κ .6	35	GAAAGCCCCTAACGCTCCTGAT CTATAGGACATCCAACCTG
BATR κ .7	36	GCTTCTGGGGTCCCCTAGA TTCAGCGGCAGTGGATCTG
BATR κ .8	37	GGACAGATTCACTCTCACCA TCAACAGCCTGCAGCCTGA
BATR κ .9	38	AGATTTGCAACTTACTATTG CCAGCAAAGGAGTAGTTTC
BATR κ .10	39	CCACTCACGTTGGCGGAGGG ACCAAGCTGGAGATCAAACGT GAGTGGATCCGCG
BATR κ .11	40	GAGCAGCAGGAGCCCCAGGAG CTGAGCGGGGACCCTCATG
BATR κ .12	41	ACTGCGTCAACACAATTTCAC ATTGGCACCTGGGAGCCA
BATR κ .13	42	GTGACTCTGTCTCCTACAGAT GCAGACAGGGAGGATGGAG
BATR κ .14	43	GTGCATGTAACCTACACTGACCTGGCACTGCAAGTGTGATG
BATR κ .15	44	TCAGGAGCTAGGGCTTCCCTGGTTCTGCTGATACCA
BATR κ .16	45	CTAGATGGGACCCCAGAACGCCAGGTTGGATGTCCTATAGA
BATR κ .17	46	GGTGAGAGTGAATCTGTCCCAGATCCACTGCCGCTGAAT
BATR κ .18	47	AATAGTAAGTTGCAAAATCTTCAGGCTGCAGGCTGTTGAT
BATR κ .19	48	CCTCCGCCAACGTGAGTGGAAACTACTCCTTGCTGGC
BATR κ .20	49	CGCGGATCCACTCACGTTGATCTCCAGCTGGTC
BATR κ .5B	50	CAAGTGTAAAGTTACATGCACTGGTCCAGCAGAAACCAGG
BATR κ .6B	51	GAAAGCCCCTAACGCTCTGGATCTATAGGACATCCAACCTG
BATR κ .8B	52	GGACAGATTACACTCTCACCATCAACAGCCTGCAGCCTGA
BATR κ .15B	53	TCCAGAGCTAGGGCTTCCCTGGTTCTGCTGGAACCA
BATR κ .17B	54	GGTGAGAGTGAATCTGTCCCAGATCCACTGCCGCTGAAC
BATR κ .17D	55	GGTGAGACAGTAAGATGTCCCAGATCCACTGCCGCTGAAC
BATR κ .8D	56	GGACATCTTACTGTCTACCATCAACAGCCTGCAGCCTGA

TABLE 10

Humanized BAT-1 variant	Oligonucleotide primer ¹ combinations used for the construction of the kappa light chain of each variant ²				
BATRκA	BATR κ .1	BATR κ .2	BATR κ .3	BATR κ .4	BATR κ .5
	BATR κ .6	BATR κ .7	BATR κ .8	BATR κ .9	BATR κ .10
	BATR κ .11	BATR κ .12	BATR κ .13	BATR κ .14	BATR κ .15
	BATR κ .16	BATR κ .17	BATR κ .18	BATR κ .19	BATR κ .20
BATRκB	BATR κ .1	BATR κ .2	BATR κ .3	BATR κ .4	BATR κ .5B
	BATR κ .6B	BATR κ .7	BATR κ .8B	BATR κ .9	BATR κ .10
	BATR κ .11	BATR κ .12	BATR κ .13	BATR κ .14	BATR κ .15B
	BATR κ .16	BATR κ .17B	BATR κ .18	BATR κ .19	BATR κ .20
BATRκD	BATR κ .1	BATR κ .2	BATR κ .3	BATR κ .4	BATR κ .5B
	BATR κ .6B	BATR κ .7	BATR κ .8D	BATR κ .9	BATR κ .10
	BATR κ .11	BATR κ .12	BATR κ .13	BATR κ .14	BATR κ .15B
	BATR κ .16	BATR κ .17D	BATR κ .18	BATR κ .19	BATR κ .20

5 ¹Oligonucleotide sequences are given in Table 9.²Oligonucleotide primers BATR κ .1 and BATR κ .20 were also used as the outer amplification primers.

Putative positive transformants were identified using the PCR-screening assay, restriction digest and then ds-DNA sequenced. The humanized $V\kappa$ genes (FIGS. 7-9; SEQ ID NOS. 15-17) were then subcloned into expression plasmids.

The light chain pKN110 construct included Ampicillin and Neomycin resistance genes.

5 The humanized $V\kappa$ gene variants of BAT-1 (i.e. BATR κ_A , BATR κ_B and BATR κ_D) were inserted between the HCMV Immediate Early Promoter and the genomic human kappa constant region resulting in the following expression vectors: pKN110-BATR κ_A , pKN110-BATR κ_B and pKN110-BATR κ_D , respectively (see FIG. 10 for a representative pKN110-BATR κ_D vector).

The BAT-1 light chain expression cassette inserted into an expression vector included a DNA fragment encoding a mouse immunoglobulin signal peptide sequence, Kozak sequence and a signal sequence intron which was added to both sides of the humanized $V\kappa$ gene variants of BAT-1 (FIG. 11). This cassette was inserted between the HCMV Immediate Early Promoter and the genomic human kappa constant region. The complete light chain expression vector also included a BGH polyA transcription terminator and a Neo/G418 selection marker. All constructs were restriction enzyme digested and ds-DNA sequenced to confirm the presence of the correct insert.

Example 7

Construction of humanized BAT-1 heavy chain variants

20 The construction of the various versions of the reshaped human BAT-1 heavy chain variable region genes (i.e. BATRH $_A$, BATRH $_B$, BATRH $_C$) produced an approximately 450 bp product which was then subcloned into pCR2.1TM. The PCR reactions were set up using the primers described in Tables 11 and 12.

25 Putative positive transformants were again identified in a PCR screen and then ds-DNA sequenced. The humanized V_H genes (FIGS. 12-14; SEQ ID NOS. 18-20) were then subcloned into expression vectors.

The heavy chain pG1D110 construct included Ampicillin resistance gene and the hamster dhfr as the selectable marker. The humanized V_H gene variants of BAT-1 were inserted between the HCMV Immediate Early Promoter and the genomic human IgG1 constant region resulting in the following expression vectors: pG1D110-BATRH $_A$, pG1D110-BATRH $_B$, pG1D110-BATRH $_C$ (see FIG. 15 for a representative pG1D110.BAT-1.RH $_C$ vector).

The BAT-1 heavy chain expression cassette inserted into an expression vector which included a DNA fragment encoding a mouse immunoglobulin signal peptide sequence, Kozak sequence and a signal sequence intron which was added to both sides of the humanized $V\kappa$ gene

variants of BAT-1 (FIG. 16). This cassette was inserted between the HCMV Immediate Early Promoter and the genomic human IgG1 constant region. The complete light chain expression vector also included a BGH polyA transcription terminator and a dhfr selection marker.

The resulting expression vectors were restriction enzyme digested to confirm the presence

5 of the correct insert.

TABLE 11

Primer Name	SEQ ID NO	Oligonucleotide used in the construction of the various humanized BAT-1 antibody heavy chain variable region gene (5' → 3')
BATRH.1	57	CCCAAGCTGCCGCCACCATG GACTGGACCTGGAGGGATCC
BATRH.2	58	TCTTCTTGGTGGCAGCAGCAA CAGGTGCCACT
BATRH.3	59	CCCAGGTGCAGCTGGTCAAT CTGGGTCTGAGCTTAAGAA
BATRH.4	60	GCCTGGGGCCTCAGTGAAGAT CTCCTGCAAGGCTCTGGGA
BATRH.5	61	TATAGCTTCAGTAACATATGGA ATGAACCTGGGTGCGACAGG
BATRH.6	62	CCCTGGACAAGGGCTTCAGT GGATGGGATGGATAAACAC
BATRH.7	63	CGACAGTGGAGAGTCAACATA TGCTGAAGAGAGTTCAAGGGA
BATRH.8	64	CGGTTTGTCTCTCTGGAC ACCTCTGTCAAGCACGGCAT
BATRH.9	65	ATCTGCAGATCACCAGCCTCA CGGCTGAGGACACTGGCAT
BATRH.10	66	GTATTCTGTGCGAAAGTCGG CTACGATGCTTG
BATRH.11	67	ACTACTGGGGCAGGGAACCC TGTCACCGTCTCCTCAGGTG AGTGGATCCGCG
BATRH.12	68	TGCTGCCACCAAGAACAGAGGAT CCTTCAGGTGGAGTCATGG TGG
BATRH.13	69	TTGCACCAGCTGCACCTGGGA GTGGGCACCTGTTGC
BATRH.14	70	TCTTCACTGAGGCCAGGCT TCTTAAGCTCAGACCCAGA
BATRH.15	71	CCATAGTTACTGAAGCTATAT CCAGAACGCTTGAGGAGA
BATRH.16	72	CTGAAGCCCTGTCCAGGGC CTGTCGCACCCAGTTCAATT
BATRH.17	73	ATGTTGACTCTCCACTGTCGG TGTTTATCCATCCATCCA
BATRH.18	74	TCCAAGGAGAACAAACCGT CCCTGAACACTCTCAGCAT
BATRH.19	75	GAGGCTGGTGTGATCTGCAGATA TGCCGTGCTGACAGAGGTG
BATRH.20	76	CGACTTTCGCACAGAAATACA TGCCAGTGTCTCAGCCGT
BATRH.21	77	TTCCCTGGCCCCAGTAGTCCA AAGCATCGTAGC
BATRH.22	78	CGCGGATCCACTCACCTGAGG AGACGGTGACCAGGG
BATRH.5B	79	TATACTTCACAAACTATGGA ATGAACCTGGGTGCGACAGG
BATRH.15B	80	CCATAGTTGTGAAAGTATAT CCAGAACGCTTGAGGAGA
BATRH.8C	81	CGGTTGTCTCTCTGGAC ACCTCTGTCAACACGGCAT
BATRH.10C	82	GTATTCTGTGAGAGTCGG CTACGATGCTTG
BATRH.20C	83	CGACTCTCACACAGAAATACATG CCAGTGTCTCAGCCGT
BATRH.9C	84	ATCTGCAGATCACCAGCC TC AACGCTGAGGACACTGGCAT
BATRH.19C	85	GAGGCTGGTGTGATCTGCAGAT ATGCCGTGTTGACAGAGGTG
BATRH.5C	86	TATACTTCACAAACTATGG AATGAACCTGGGTGAAGCAGG

TABLE 12

Humanized BAT-1 variant	Oligonucleotide primer ¹ combinations used for the construction of the heavy chain of each variant ²				
BATRH_A	BATRH.1	BATRH.2	BATRH.3	BATRH.4	BATRH.5
	BATRH.6	BATRH.7	BATRH.8	BATRH.9	BATRH.10
	BATRH.11	BATRH.12	BATRH.13	BATRH.14	BATRH.15
	BATRH.16	BATRH.17	BATRH.18	BATRH.19	BATRH.20
	BATRH.21	BATRH.22			
BATRH_B	BATRH.1	BATRH.2	BATRH.3	BATRH.4	BATRH.5B
	BATRH.6	BATRH.7	BATRH.8	BATRH.9	BATRH.10
	BATRH.11	BATRH.12	BATRH.13	BATRH.14	BATRH.15B
	BATRH.16	BATRH.17	BATRH.18	BATRH.19	BATRH.20
	BATRH.21	BATRH.22			
BATRH_C	BATRH.1	BATRH.2	BATRH.3	BATRH.4	BATRH.5C
	BATRH.6	BATRH.7	BATRH.8C	BATRH.9	BATRH.10
	BATRH.11	BATRH.12	BATRH.13	BATRH.14	BATRH.15C
	BATRH.16	BATRH.17	BATRH.18	BATRH.19	BATRH.20
	BATRH.21	BATRH.22			

¹Oligonucleotide sequences are given in Table 11.

5 ²Oligonucleotide primers BATRH.1 and BATRH.22 were also used as the outer amplification primers.

Example 8

Construction of BAT-1 RH_C/R_{KD} γ1 complete antibody in a single expression vector

In order to maximize the achievable expression levels for the BAT-1 γ1 antibody it was 10 decided to remove an intron from the pG1D110.BAT-1.RH_C construct (described in Example 7, see FIG. 15) before making the BAT-1 γ1 single vector construct. This procedure was carried out as follows.

pG1D200 is another γ1 immunoglobulin heavy chain mammalian expression vector (AERES Biomedical ; FIG. 17). This vector is a V_H:C_H γ1 intron minus version of the pG1D110 15 vector (i.e. it does not have the 71bp intron at the V_H:C_H junction).

In order to convert the pG1D110.BAT-1.RH_C construct into a construct, a *Bst*EII fragment (219bp) was excised from the pG1D200 vector and gel purified using a Qiagen gel extraction/purification kit. This fragment contained the intron minus V_H:C_H junction.

The pG1D110.BAT-1.RH_C construct (FIG. 15) was also restriction digested with *Bst*EII, 20 releasing a 290bp fragment which contained the intron plus VH:CH junction. The remaining vector fragment (~7207bp) was gel purified using a Qiagen gel extraction/ purification kit.

The intron minus *Bst*EII fragment (219bp) from the pG1D200 vector digest was then ligated into the ~7207bp *Bst*EII digested pG1D110.BAT-1.RH_C vector. 2μl of ligated DNA was transformed into DH5α cells (Stratagene) according to the manufacturers instructions. Plasmid

DNA was prepared from 10 colonies and each plasmid DNA was analyzed for the presence of the correct *Bst*EII fragment by DNA sequence analysis.

Following identification of a perfect clone, the new intron minus construct (pG1D210.BAT-1.RH_C) and the light chain construct pKN110.BAT-1.RK_D (see FIG. 10) were used to construct the pG1KD210.BAT-1.RH_C/RK_D single expression vector (SEQ ID NO. 93).

The component of this pG1KD210.BAT-1.RH_C/RK_D single expression vector within SEQ ID NO 93 are localized as follows:

1. Nucleotide range: 1 to 2502 - pBR322 (pBR322 based sequence including the Amp-resistance gene and ColE1 origin plus the SV40 origin and crippled SV40 early promoter)

10 2. Nucleotide range: 206 to 1067 - Amp (Ampicillin resistance gene)

3. Position: 1824 - ColE1

4. Nucleotide range: 2502 to 3227 - DHFR (Dihydrofolate reductase gene)

5. Nucleotide range: 3233 to 4074 - SV40 polyA (SV40 poly A sequence etc)

15 6. Nucleotide range: 4109 to 5649 - HCMVi (HCMVi promoter)

7. Nucleotide range: 5662 to 6067- BAT rKd

Reshaped BAT kappa light chain variable region.

8. Nucleotide range: 6073 to 6720 - HuK (cDNA copy of human kappa constant region (Km(3)) gene)

20 9. Nucleotide range: 6726 to 6943 - spaC2 Artificial spaC2 termination sequence

10. Nucleotide range: 6949 to 8489- HCMVi (HCMVi promoter)

11. 12. Nucleotide range: 8502 to 8923 - BAT rHc

Reshaped BAT heavy chain variable region

13. Nucleotide range : 8924 to 10297 - HG1 (Human gamma-1 constant regions

25 preceded by a 60bp intron and followed by the 'Arnie' termination sequence)

The BAT-1 kappa light chain expression cassette which contained the HCMVi promoter, the BAT-1 kappa light chain variable region gene, and the kappa light chain constant region gene, was restriction enzyme digested (*Eco*RI /*Spe*I) out of the pKN110.BAT-1.RK_D construct and subsequently ligated into the pG1D210.BAT-1.RH_C construct via the unique *Eco*RI and *Spe*I restriction sites. This ligation resulted in the construction of the single expression vector pG1KD210.BAT-1.RH_C/RK_D, containing both the heavy and kappa light chains of the BAT-1 humanized antibody RH_C/RK_D (FIG. 18). 2μl of ligated DNA was transformed into DH5 α cells (Stratagene) according to the manufacturers instructions. Mini prep DNA was prepared from ten colonies and each plasmid DNA was analyzed for the presence of the correct single expression

construct by restriction digest analysis. One clone of a correct single expression construct was chosen for the transient expression of the BAT-1 gamma-1 antibody in COS cells as will be illustrated in Example 11.

5

Example 9

Construction of the BAT-1.RH_C/RK_D gamma-1 (γ 1) complete antibody variant in a single expression vector

The BATRH_C heavy chain variable region was transferred to the combined (single) expression vector as an *Xhol* to *Hind*111 fragment. The BATRK_D light chain variable region was transferred to the combined (single) expression vector as an *Xba*1 to *Bam*H1 fragment. The internal *Xba*1 site in the light chain gene was removed without changing the amino acid sequence. The sequences of the BAT-1.RK_D/BAT-1.RH_C heavy and light chain variable regions in this vector were confirmed. The vector includes genomic human IgG1 and Kappa constant regions. Both heavy and light chain genes were placed under the control of the HCMV Immediate Early promoter. The vector includes a mouse dhfr gene as the selectable marker (see FIG. 19). The same Kozak sequence, signal peptide sequence and intron were added as for the two vector expression system (see Examples 6 and 7).

Example 10

Construction of the BAT-1 gamma-4 (γ 4) PG4KD110.BAT-1. RH_C/RK_D in a single vector

The first step in the construction of the BAT-1 γ 4 single expression vector construct was the cloning of the modified BAT-1.RH_C gene out of the pG1D110.BAT-1.RH_C construct (FIG. 14) by *Bam*H1 and *Hind*III restriction digest, and ligation of this 430bp fragment into the gamma-4 immunoglobulin heavy chain expression vector pG4D110, again via *Bam*H1 and *Hind*III restriction sites.

2 μ l of ligated DNA was transformed into DH5 α cells (Stratagene) according to the manufacturers instructions. Plasmid DNA was prepared from 10 colonies and each plasmid DNA was analyzed for the presence of the correct BAT-1.RH_C *Bam*H1/*Hind*III fragment by DNA sequence analysis.

30 Following identification of a perfect clone, the new gamma-4 construct (pG4D110.BAT-1.RH_C) and the light chain construct pKN110.BAT-1.RK_D (FIG. 10) were used to construct the pG4KD110.BAT-1.RH_C/RK_D single expression vector in the following way.

The BAT-1 kappa light chain expression cassette which contained the HCMVi promoter, the BAT-1 kappa light chain variable region gene, and the kappa light chain constant region

gene, was restriction enzyme digested (*Eco*RI/*Spe*I) out of the pKN110.BAT-1.R_{KD} construct and subsequently ligated into pG4D110.BAT-1.RH_C construct via the unique *Eco*RI and *Spe*I restriction sites. This ligation resulted in the construction of a single expression vector construct pG4KD110.BAT-1.RH_C/R_{KD}, containing both the heavy and kappa light chains of the BAT-1 5 humanized antibody RH_C/R_{KD} variant. 2 μ l of ligated DNA was transformed into DH5 α cells (Stratagene) according to the manufacturers instructions. Mini prep DNA was prepared from ten colonies and each plasmid DNA was analyzed for the presence of the correct single expression vector construct by restriction digest analysis. The correct single expression vector construct digested with *Bam*HI and with *Hind*III released a 2864bp fragment and the *Hind*III digest 10 released a 2840bp fragment. One clone was chosen for the transient expression of the BAT-1 gamma-4 antibody in COS cells.

Example 11

Co-transfection of humanized BAT-1 light and heavy chain vectors, and transient expression of the humanized BAT-1 variants in COS7 cells

15 The humanized BAT-1 heavy (pG1D110) and light (pKN110; Example 7) chain expression vectors were co-transfected, at various combinations, into COS7 cells and after 72 hr incubation, the medium was collected, spun to remove cell debris, filtered and analyzed by ELISA for humanized antibody production. The concentration of humanized antibody in the 20 COS7 cell supernatants varied with each combination of reshaped human BAT-1 antibody constructs that were tested (Table 13). For example, version BATRH_B/BATR_{KA} expressed the highest antibody levels (4800 ng/ml) whilst the BATRH_B/BATR_{KD} version was the poorest expresser (357 ng/ml).

Example 12

Purification of the humanized BAT-1 variants from COS7 cells

25 Harvesting approximately 8 ml per co-transfection (see Example 11), a series of transfections were carried out until in excess of 200 ml of COS7 supernatant had been collected. The volume of this supernatant was reduced to 10 ml by passing the supernatant through a 30 stirred ultra-filtration cell with a PM30 filter membrane – which had a molecular weight cut-off of 30 kDa.

The Immunopure[®](A) IgG purification kit essentially comprised of a 2 ml column of immobilized Protein A Sepharose column. The antibody was eluted from the column with 5 ml of elution buffer, the eluate of which was collected in 1 ml fractions. The concentration of

humanized BAT-1 antibody in each fraction was then assayed using ELISA methods. Table 13 describes the final concentrations of the Protein A purified antibody constructs collected. On average the purification step increased the antibody concentration by approximately 150-fold.

5

TABLE 13

Antibody chain		Chimeric and hBAT-1 antibody concentrations in COS7 cells supernatants (transient expression experiments)	
Heavy	Kappa Light	Raw supernatants (µg/ml)	After Protein A Purification (µg/ml)
BATCH	BATR κ	0.358	50
BATR H_A	BATR κ_A	2.350	110
BATR H_B	BATR κ_A	4.800	211
BATR H_B	BATR κ_B	0.757	149
BATR H_C	BATR κ_B	1.250	137
BATR H_B	BATR κ_D	0.357	112
BATR H_C	BATR κ_D	0.718	122

Example 13

10

Analysis of Daudi cell binding to the humanized BAT-1 variants produced in COS7 cells

Using the Daudi cell ELISA it was clear that the different versions of the Protein A purified humanized BAT-1 antibody bound to Daudi cells to various degrees. FIGS. 20-23 show typical examples for these binding experiments. Sigmoidal dose-response curves of Daudi cell 15 binding by the recombinant antibodies were also plotted and the hill slopes of these binding curves were calculated. The combination of the hill slope data and the positions of the dose-response curves relative to the chimeric antibody dose-response curves suggested a qualitative hierarchy with respect to Daudi cell binding among the various humanized BAT-1 antibody constructs tested (Table 14). At the top of this hierarchy was clearly construct 20 BATR H_C /BATR κ_D , which exhibited a hill slope (i.e. 0.8818 ± 0.1107) very similar to its chimeric BAT-1 antibody control (i.e. 0.8248 ± 0.1210) and closely tracked the dose-response curve of the chimeric control. Although construct BATR H_C /BATR κ_B displayed a steeper hill slope (i.e. 0.6408 ± 0.1622) than the same chimeric BAT-1 antibody control (i.e. 0.8248 ± 0.1210), as calculated from the available binding data, the difference was no statistically 25 significant. In addition, it is clear from FIG. 22 that the dose-response curve for this construct is not as good as for the BATR H_C /BATR κ_D construct and was therefore ranked second in the binding hierarchy.

Conversely, construct BATRH_A/BATR_{κ_A} clearly has the poorest binding characteristics of all the humanized BAT-1 antibody constructs tested (Table 14) and so was ranked sixth in the binding hierarchy. Although the calculated hill slope for this version (i.e. 1.2730 ± 0.2688) is apparently better than the very similar humanized construct BATRH_B/BATR_{κ_A} (i.e. 1.7710 ± 0.6461) this difference is again not statistically significant. In addition, it is clear from FIG. 21 that the CDR-grafted BATRH_A/BATR_{κ_A} BAT-1 antibody is reaching its maximum binding response at much lower level than the humanized construct BATRH_B/BATR_{κ_A} – which was ranked fifth in the binding hierarchy.

Constructs BATRH_B/BATR_{κ_B} (FIG. 20; ranked fourth) and BATRH_B/BATR_{κ_D} (FIG. 23; ranked third) display intermediate levels of binding between these two sets of extremes. Again these rankings were mainly based upon a subjective interpretation of the binding data available and previous experience.

TABLE 14

Relative binding affinities of Protein A purified humanized versus chimeric BAT-1 antibodies constructs harvested following transient expression in COS cells

Experiment Number	Heavy Chain	Kappa Light Chain	Hill slope \pm SEM ^a	Binding hierarchy from hill slope analysis
1	BATRH	BATR _κ	0.5422 ± 0.2911	-
	BATRH _A	BATR _{κ_A}	1.273 ± 0.2688	6
	BATRH _B	BATR _{κ_A}	1.771 ± 0.6461	5
2	BATRH	BATR _κ	0.8057 ± 0.0849	-
	BATRH _B	BATR _{κ_D}	0.6555 ± 0.1252	3
3	BATRH	BATR _κ	0.8248 ± 0.1210	-
	BATRH _C	BATR _{κ_B}	0.6408 ± 0.1622	2
	BATRH _C	BATR _{κ_D}	0.8818 ± 0.1107	1
4	BATRH	BATR _κ	0.7090 ± 0.2768	-
	BATRH _B	BATR _{κ_B}	0.7796 ± 0.3420	4

15 "Standard error mean of 3 duplicate Daudi cell ELISA calculated after fitting ELISA data onto a sigmoidal dose- response curve.

Example 14

20 **Transient expression of the BAT-1 R_{κ_D}/RH_C variant by co-transfection or by single transfection of COS cells**

The method of Kettleborough (Kettleborough et al., Eur. J. Immunol. 23:206, 1993) was followed to transfet the mammalian expression constructs into COS cells. Briefly, the DNA (10 μ g each of the kappa light chain expression construct pKN110.BAT-1.R_{κ_D} and the heavy chain expression construct pG1D210.BAT-1.RH_C, or 13 μ g of the single vector construct

pG1KD210.BAT-1.RH_C/R_{KD}) was added to a 0.7 ml aliquot of 10⁷ cells/ml in PBS and pulsed at 1900 V, 25 µF capacitance using a Bio-Rad Gene Pulser apparatus. Following a 10 minute recovery at room temperature, the electroporated cells were transferred to petri-dishes containing 8 ml of DMEM containing 10% FCS and incubated for 72 hrs in 5%CO₂ at 37°C.

5 After 72 hrs incubation, the medium was collected, spun to remove cell debris, and analyzed by capture ELISA for antibody production. The co-transfections, with light chain expression vector and heavy chain expression vector, and transfections with a single-vector expressing both light and heavy chains, were carried out in triplicate. The results are presented in Table 15. The results indicate that expression levels from the single vector are ~6 fold higher than the

10 expression levels observed for the co-transfections.

TABLE 15

Transfection no.	Construct transfected	Transient COS cell expression levels for the BAT-1 γ1 antibody (µg/ml)*
1	Single vector	55.451
2	“	49.009
3	“	66.018
1	Light and heavy chain vectors	9.06
2	“	10.232
3	“	9.536

*Trasfection levels of humanized RH_C/R_{KD} BAT-1 variant, from co-trasfection using pG1D110 and pKN110 vectors, were 0.718 µg/ml

Example 15

Stable transfection of CHOdhfr- mammalian cells with the single vector pG1KD210.BAT-1.RH_C/R_{KD} and production of stable cell lines

20 CHOdhfr- cells were propagated in a non-selective media consisting of α-MEM with ribonucleosides and deoxyribonucleosides, supplemented with 10% Fetal Clone II and 50µg/ml Gentamicin. Aliquot, 0.7 ml, of 10⁷ cells/ml in PBS was transfected with 13µg of pG1KD210.BAT-1.RH_C/R_{KD} at 1900 V, 25 µF capacitance using a Bio-Rad Gene Pulser. The cells were allowed to recover for 10 minutes at RT before being transferred to 10 cm petri-dishes in 8 ml of non-selective media and then incubated in 5% CO₂ at 37°C for 48 hours.

25 Two days after transfection, the cells were trypsinized, spun down and resuspended in 150 ml of prewarmed selective media (α-MEM without ribonucleosides and deoxyribonucleosides, supplemented with 10% dialyzed FBS and 50µg/ml Gentamicin, and containing either 10nM, 50nM, 100nM or 500nM Methotrxate) before being divided equally between fifteen 10cm petri-dishes. These were then incubated in 5% CO₂ at 37°C for 20-30 days, the selective media being

changed every 3-4 days until foci were clearly visible. After 2 weeks from the initial transfection, foci began to develop on the 10nM plates. Eight days later, one focus developed on the 50nM plates. No other foci developed after 35 days, on the 50nM plates and no foci developed on the 100nM or 500nM plates.

5 To "pick" foci, 1mm squares of Whatman 1MM filter paper were first immersed in 0.05% trypsin, 0.02% EDTA solution. The selective media was carefully removed from the culture dishes, which were then washed carefully with 5 ml of PBS. The PBS was then removed and, using sterile forceps, the squares of pre-soaked filter paper were carefully placed onto individual focus of cells. The squares were left on the foci for 15 seconds before being transferred into
10 individual wells of a 24-well tissue culture plate containing 1 ml of the appropriate selective media.

15 A total of 31 gamma-1 foci were picked, 30 were from the 10nM MTX plates and one was from the 50nM plates. These cells were allowed to grow in selective media until almost confluent and the media from individual wells was tested for antibody production. Those clones producing human antibody were then selected for expansion and specific production analysis. The results of the specific production assays are presented in Table 16.

TABLE 16

Clone	Specific CHO cell production levels for the RH _C /RK _D BAT-1 γ 1 whole antibody variant (ng/10 ⁶ cells/day)		
	Assay No. 1	Assay No. 2	Assay No. 3
γ 1 B9	3284.7	2921.5	1227.1
γ 1 B10	297	1288	268.3
γ 1 B13	12443	5425.2	7731.53
γ 1 B18	6.5	10.4	4.9
γ 1 B19	199.7	26.9	43
γ 1 B15	5978.6	1657.1	3015.43
γ 1 D6	2539.2	1605.5	2072.40

20 The three cell lines (B9, B13 and B15) which showed the best specific productivity levels were further analyzed and monitored for accurate doubling times. (see Table 17).

TABLE 17

Cell line	Production levels of the best $\gamma 1$ CHO cell lines ($\mu\text{g}/10^6 \text{ cells/day}$)	Doubling time of the best $\gamma 1$ CHO cell lines (hours)
B9	3.5	22.5
B13	7.7	31.5
B15	3	21

Based on specific productivity levels and doubling times it was decided to begin
5 production of the 500 μg quantity of the BAT-1 $\gamma 1$ antibody using the B15 cell line.

Example 16

Transient expression of BAT-1 $\gamma 4$ $\text{RH}_C/\text{R}_{KD}$ variant in COS cells by single- and co-transfections

10 The method of Kettleborough et al. was followed to transfect the mammalian expression constructs into COS cells. Briefly, the DNA (10 μg each of the kappa light chain expression construct pKN110.BAT-1.R_{KD} and the heavy chain expression construct pG4D110.BAT-1.RH_C, or 13 μg of the supervector construct pG4D110.BAT-1.RH_C/R_{KD}) was added to a 0.7 ml aliquot of 10⁷ cells/ml in PBS and pulsed at 1900 V, 25 μF capacitance using a Bio-Rad Gene Pulser apparatus. Following a 10 minute recovery at RT, the electroporated cells were transferred to petri-dishes containing 8 ml of DMEM containing 10% FCS and incubated for 72 hrs in 5%CO₂ at 37°C. After 72 hrs incubation, the medium was collected, spun to remove cell debris, and analyzed by capture ELISA for antibody production.

15

Both the co-transfections and single transfections were carried out in triplicate. The
20 results are presented in Table 18. The results indicate that expression levels from this single expression vector are ~4 fold higher than the expression levels observed for the co-transfections.

TABLE 18

Transfection No.	Construct transfected	Transient COS cell expression levels for the BAT-1 $\gamma 4$ antibody (ng/ml)
1	Single vector	519.3
2	"	522
3	"	567.2
1	Light and heavy chain vectors	65.6
2	"	152.3
3	"	129.9

Example 17**Stable transfection of CHOdhfr- mammalian cells with the single vector pG4KD210.BAT-****1.RH_C/RK_D and production of stable cell lines**

CHOdhfr- cells were propagated in a non-selective media consisting of α-MEM with 5 ribonucleosides and deoxyribonucleosides, supplemented with 10% Fetal Clone II and 50µg/ml Gentamicin. Aliquot, 0.7 ml, of 10⁷ cells/ml in PBS was transfected with 13µg of pG4KD110.BAT-1.RH_C/RK_D at 1900 V, 25 µF capacitance using a Bio-Rad Gene Pulser. The cells were allowed to recover for 10 minutes at room temperature before being transferred to 10cm petri-dishes in 8 ml of non-selective media and then incubated in 5% CO₂ at 37°C for 48 hours. 10 Two days following this incubation, the cells were trypsinized, spun down and resuspended in 150 ml of prewarmed selective media (α-MEM without ribonucleosides and deoxyribonucleosides, supplemented with 10% dialyzed FBS and 50µg/ml Gentamicin, and containing either 10nM, 50nM, 100nM or 500nM Methotrexate) before being divided equally between fifteen 10cm petri-dishes. These were then incubated in 5% CO₂ at 37°C for 20-30 15 days, the selective media being changed every 3-4 days until foci were clearly visible.

After 2 weeks, foci began to develop on the 10nM plates. No foci developed after 35 days on the 50nM plates and on the 100nM or 500nM plates. Foci were picked as described earlier (Example 15) and those selected clones producing human antibody were then selected for expansion and specific production analysis. The results of the specific production assays are 20 presented in Table 19.

TABLE 19

Clone	CHO cell production levels for the BAT-1 γ4 whole antibody (ng/10 ⁶ cells/day)		
	Assay No. 1	Assay No. 2	Assay No. 3
γ4 A9	4.8	6.08	5.7
γ4 A13	48.5	14.8	68.8
γ4 A12	60.7	77.0	52.7
γ4 C4	66.0	141.7	104.4
γ4 C8	41.7	52.4	77.6
γ4 C9	30.7	30.6	32.2
γ4 F2	40.7	17.9	29.30

25

Example 18**Co-transfection of NSO cells with BATH_C heavy chain and BAT_{KD} light chain amplification vectors and selection of antibody producing cell lines**

Expression vectors containing the BATRH_C heavy chain cassette (FIG. 16) and the BATRκ_D light chain cassettes (FIG. 11) were mixed and transfected into the NS0 host cell line by electroporation.

Transfected cells were distributed into 10 96-well plates in Dulbecco's Modified Eagles medium (DMEM) supplemented with 10% Foetal Bovine Serum (FBS) and 1 mg/ml G418 (Gentamicin) medium. After 10 to 14 days when colonies of transfected cells have developed, samples of conditioned medium from the wells were assayed for humanized BAT-1 antibody. Cells from the highest producing wells were picked, and expanded in medium including G418.

The transfection was repeated after one week as a back up and to provide more transfected cell clones for selection. After 10 days visible colonies of transfected cells had developed, and conditioned medium from the wells was screened for antibody production. ELISA plates were coated with sheep anti-human κ antibody. 25 μl samples of medium from the wells were transferred to the ELISA plate and diluted to 100μl in PBS Tween (PBST). The secondary antibody was HRP-conjugated sheep anti-human IgG (γ chain specific) and color was developed with o-Phenylenediamine (OPD). Positive wells were examined microscopically and the cells from the highest producing wells were picked into 1.5 ml of DMEM supplemented with 10% FBS and 1 mg/ml G418 in 24 well plates. A total of 15 high producing colonies were picked from the two transfections (Table 20). Two independent cell lines gave antibody production levels around 40μg/ml or greater.

For amplification using the *dhfr* gene in the heavy chain vector, an initial two high producing cell lines have been transferred to medium (DMEM with 10% FCS and 1mg/ml G418) with 0.02 μM Methotrexate added.

Example 19

25 Transfection of NS0 host cell line with a single amplification vector containing BAT-

1.RH_C/Rκ_D γ1 gene and selection of antibody producing cell lines

The combined (single) antibody expression vector described in Example 9, was transfected into the NS0 host cell line by electroporation.

Transfected cells were distributed into 10 96-well plates in DMEM with 10% FBS. After 30 2 days an equal amount of medium with 0.1μM Methotrexate was added. Half the medium was changed with the same volume of 0.1μM MTX-containing medium every 2 days until the 8th day post transfection. The transfection was repeated after one week as a back up and to provide more transfected cell clones for selection. After 14-21 days visible colonies of transfected cells had developed, and conditioned medium from the wells was screened for antibody production as

described in the above Example. Positive wells were examined microscopically and the cells from the highest producing wells were picked into 1.5 ml of DMEM supplemented with 10% FBS and 0.1 μ M Methotrexate in 24 well plates. A total of 13 high producing colonies were picked from the two transfections and kept frozen in liquid nitrogen (Table 20). Six 5 independent cell lines gave antibody production levels above 40 μ g/ml. Due to the different selection, the cell lines containing the single vector were slower to develop than those containing the antibody genes on 2 different vectors.

TABLE 20

Two Vector System		Single Vector System	
Cell line	Production level (μ g/ml)	Cell line	Production level (μ g/ml)
31E1	43	1B7	48
33E5	15	3E3	45
33B10	40	3H5	35
34F1	8	8H7	26
35C12	12.5	9D7	41
36G4	4	24B7	26
37H5	20	26A6	24
38E8	15	26D6	33
39A3	38	26E3	43
42G7	12	27B2	23
44F4	7	27C4	45
45C2	10	23E10	45
45H12	13	29E3	22
46A10	7		
49H2	15		

10

A representative example of the humanized BAT producing cells after transfection of an NSO host cell line with a single amplification vector containing BAT-1.RH_C/R_{KD} γ 1 gene and selection of antibody producing cell lines, i.e., cloned cell line 1B7, was deposited at the ATCC Cell Bank using the Budapest Treaty Deposit Form on May 9, 2003 under accession number 15 ATCC# (PTA-5189).

Example 20

Inhibition of mouse BAT-1 by humanized BAT-1.RH_C/R_{KD} γ 1 variant

To assure that the humanized BAT-1.RH_C/R_{KD} γ 1 variant can recognize the same epitope 20 as the original murine BAT-1, a competition assay of binding to Daudi cells that express the BAT-1-binding epitope was conducted.

Daudi cells were incubated with increasing amounts of the humanized BAT-1 or the mouse BAT-1 as control (0-80 µg/ml). Unbound antibody was discarded and biotinylated murine-BAT-1 (20 µg/ml) added to the cells and stained with streptavidin-FITC. Figure 24 depicts a decreased binding of murine BAT-1 in the presence of increasing concentrations of both the humanized 5 and original mouse mAb, supporting the recognition of the same epitope as expected. Both antibodies show a similar dose dependency, with an IC₅₀ of approximately 10 µg/ml, suggesting a similar affinity of antigen binding.

Example 21

10 In vivo effect of humanized BAT-1 in a murine tumor model

As shown in Example 20, CDR grafting resulting in the formation of the humanized BAT-1.RH_C/R_{KD} γ1 mAb retained recognition of BAT-1 antigen. To examine whether this binding can transmit the biological effects characteristic of murine BAT-1, the efficacy of the humanized 15 BAT-1 was studied in vivo. This is of particular importance in view of the isotype difference between the mouse and human mAbs.

C57BL mice were inoculated with B16 melanoma cells to induce lung metastases. Increasing amounts (1,10 and 20 µg) of humanized mAb were injected on day 12 post tumor-inoculation and compared to an optimal dose of 10 µg murine-BAT-1. Lung weight measured on Day 24 post tumor inoculation is depicted in FIG. 25 and corresponds to the establishment of a 20 tumor. Both non-treated mice and mice treated with an isotype-matched irrelevant human IgG1, had an average lung weight of 0.9 gr. The humanized BAT-1 exhibited a dose dependent inhibition of metastases growth with the highest inhibition occurring at a low dose of 1 µg/mouse. This resulted in a decrease of 67% in tumor mass and was similar to that achieved by an optimal 25 dose of murine BAT-1 (62%). Importantly, this maximal effect was achieved by a ten-fold lower dose of the humanized mAb, suggesting a higher therapeutic efficacy of this antibody in comparison to the original murine BAT-1 mAb.

Example 22

Inhibition of Human Melanoma (SK-28) in SCID Mice by hBAT-1

30 Mouse-BAT-1 mAb has been shown to inhibit the formation of human-tumor metastases in the presence of human peripheral blood lymphocytes (hPBL). To estimate the efficacy of humanized BAT-1.RH_C/R_{KD} γ1 mAb in inhibition of human cancer, the humanized antibody was studied in a model combining both tumors and lymphocytes of human origin. Severe combined immune-deficient mice (SCID) were engrafted with hPBL to restore immune-

competence. Mice were challenged with human melanoma cells (SK-28) and treated with increasing concentrations of the humanized antibody, administered in a single i.v. dose on day 11 post tumor inoculation. Fig 26 depicts lung weight that correlates with the number of metastases observed, as measured on day 23. Both concentrations of the humanized antibody induced tumor inhibition in the presence of hPBL. As observed in the mouse tumor model described above, the humanized antibody could more efficiently inhibit tumor growth *in vivo*, in comparison to mouse BAT-1. A single dose of 1 μ g of this humanized antibody inhibited tumor growth by 68% showing a higher efficacy than 10 μ g of the mouse BAT-1 antibody (30%).

10

Example 23

Immunotherapy of human colorectal cancer hepatic metastases by hBAT-1 monoclonal antibody in nude mice

LIM6 and HM7 are two sub-clones of the human CRC cell line LS174T that were selected for their high mucin synthesis and metastatic potential. The tumor cells were injected into the exposed spleen of anesthetized nude mice. After 1 minute, the spleens were removed and the excisions closed. Low doses of murine and humanized BAT-1 antibody were administered 12 days later and mice were sacrificed 35 days post tumor inoculation. The livers were weighed, the number of metastatic nodules was counted, and liver tissue was processed for histology and

20 Immunohistochemistry study.

Treatment with BAT-1, murine and humanized antibodies, was found efficient in inhibition of liver metastases establishment in the murine model. Mouse BAT-1 antibody treatment prevented LIM-6 xenografts development. The average weight of xenografts from BAT-1 treated mice and controls were of 0.14 \pm 0.17gr and 0.98 \pm 1.12gr, respectively (P=0.004).
25 HM7 cells injected to the nude mice resulted in large number of bulky metastatic lesions in the liver that were prevented by the single administration of murine BAT-1 and humanized BAT-1 (Fig. 27). A major (over 40%) decrease was observed in the number of metastatic nodules, namely from 134.5 \pm 34 in the control mice to 8.36 \pm 3 and 4.88 \pm 2 in mice treated with murine BAT-1 humanized BAT-1, respectively. Treatment with BAT-1 prevented the accumulation of 30 lymphocytes in the tumor edge. The role of lymphocyte infiltration around the metastatic nodule may be related to outcome of the cancer and may suggest a mechanism for BAT-1 therapy.

Example 24**Co-localization of hBAT with CD4 and CD8**

5 Mouse BAT-1 has been shown to bind human lymphocytes, recognizing both CD4+ and CD8+ subsets. To establish the binding specificity of the humanized BATRH_C/BATRK_D γ 1 mAb (hBAT), human Peripheral Blood Lymphocytes (PBL) were isolated from the blood of normal donors, as described hereinbelow, and analyzed for co-localization of hBAT with known lymphocyte markers.

10 Peripheral blood mononuclear cells (PBMC) were isolated by ficoll and incubated in tissue culture plates to remove adherent cells. Isolated PBL were gated on lymphocytes by size and granularity and on live cells by propidium iodine (PI) exclusion. Binding was performed at 4°C for 1 hr, and determined by flow cytometry on gated lymphocytes.

15 In all samples examined at least 20% of PBL exhibited binding to hBAT. Figure 28 depicts an example of binding to lymphocytes of a selected donor in which 50% of the isolated PBL were positive for hBAT, including both CD4+ cells (25%) and CD8+ cells (15%). Within these subpopulations, the majority of CD4+ as well as CD8+ cells bound the hBAT mAb (58% and 71% respectively).

Example 25**Binding of hBAT to B lymphocytes**

20 The humanized BATRH_C/BATRK_D γ 1 mAb (hBAT) was raised against the membranes of Daudi cells, a human B lymphoma cell-line. PBL from normal donors were isolated by ficoll, as described above, followed by adherence to tissue culture plates. Non-adherent cells were examined for the co-localization of hBAT with B-cell markers including CD19 and CD20. Binding was performed at 4°C for 1 hr, and determined by flow cytometry on gated lymphocytes. Figure 29 depicts the evaluation of binding to the cells of a representative normal donor.

25-29% of lymphocytes in the sample were positive for the humanized BAT mAb. These cells included the majority of B cells (70-75%) as demonstrated by both independent markers. 70% of CD20+ were positive for the humanized BAT mAb (Gated on R1 and PI negative; Fig. 30 29A) and 75% of CD19+ were positive for the humanized BAT mAb (Gated on R1 and PI negative). The results suggest that the BAT-binding moiety on the cell surface could be common to peripheral B cells.

Example 26**Binding of hBAT to CD4+ T cells increases upon activation of the cells**

Binding of the mouse BAT antibody has been formerly correlated with lymphocyte activation. This binding activity was further studied for the human mAb and the binding level of the human BAT mAb to human CD4+ T cells, subjected to activation, was examined. Cells were isolated from a normal donor by negative selection and stimulated with beads conjugated to anti-CD3 and anti-CD28 (5 μ l/ml). This treatment was selected in order to exert polyclonal activation through the T-cell receptor and co-stimulatory molecules.

Cells were examined for binding of the humanized BATRH_C/BATRK_D γ 1 mAb (hBAT) and anti-CD4 (4°C, 1 hr) on day 0, 2 and 5 following activation (Fig. 30A, B and D). Analysis was performed by flow cytometry on cells negative for PI staining. Quadrants were determined by isotype controls.

The binding of the humanized BATRH_C/BATRK_D γ 1 mAb to CD4+ cells increased dramatically following activation (Fig. 30). Whereas non-activated cells, at day 0 (Fig. 30A) and at day 5 (Fig. 30C), exhibited 17-20% positive binding to hBAT, 52% and 77% of CD4+ cells bound hBAT on day 2 (Fig. 30B) and day 5 (Fig. 30D) of activation, respectively. Similar results were obtained with multiple samples and could also be demonstrated for CD8+ cells. This demonstrates that hBAT binding to T cells is increased upon TCR activation.

The dose dependency of this activation was demonstrated by co-localization of hBAT with CD69. T cell activation is characterized by cell-surface expression of various molecules, some of which have been shown to be involved in the activation process. hBAT was studied for its co-expression with different markers including both early and late activation molecules. CD69, an early activation marker, is up-regulated on T cells upon activation. Four days following activation, cells were examined for binding of hBAT and anti-CD69 (4°C, 1 hr). Analysis was performed by flow cytometry on cells negative for PI staining. Quadrants were determined by isotype controls.

A dose-dependent activation of CD4+ T cells from a normal donor is demonstrated in figure 31. Upon strong activation (5 μ l/ml of beads conjugated to anti-CD3 and anti-CD28; Fig. 31B) most of the cells, which were capable of binding to hBAT (93%), were activated cells and were identified by CD69 expression. Increased time of activation also resulted in increase binding to hBAT beginning at day one of activation. Time dependency of activation could also be demonstrated and resulted in an increase in hBAT binding beginning at day one of activation. Interestingly, hBAT binding to both CD4+ and CD8+ cells remained high even after CD69 decrease (day 5) suggesting a correlation of binding with multiple stages of lymphocyte

activation. hBAT binding to CD69+ cells suggests that the expression of hBAT binding protein is correlated with early activation.

Example 27

5 Binding of hBAT to activated T cells expressing CD25 and CD40-Ligand

CD25, the high-affinity receptor for IL2, is vital for T-cell expansion and is typically increased on the surface of activated cells. Chronologically it follows the appearance of CD69 and its expression is extended several days after the down-regulation of CD69.

10 CD4+ T cells were isolated from a normal donor by negative selection and stimulated for several days with beads conjugated to anti-CD3 and anti-CD28 (5 μ l/ml). Cells were examined for binding of hBAT and anti-CD25 (4°C, 1 hr) on day 0 (Fig. 32A), day 1 (Fig. 32B), and day 5 (Fig. 32D) of activation with respect to controls (day 0, Fig. 32A and day 5 of no activation, Fig. 32C). Analysis was performed by flow cytometry on cells negative for PI staining. Quadrants were determined by isotype controls.

15 Both CD4+ and CD8+ T cells showed a time dependent increase in CD25 expression upon anti-CD3 and anti-CD28 stimulation, beginning at day 1 of stimulation. hBAT co-localized with CD25 on these activated cells (Fig. 32).

CD25 expression increased from 55% of the cells on day 1 (Fig. 32B) to 93% on day 5 (Fig. 32D) following activation. At both time points the majority of hBAT binding cells were 20 CD25+ (85% and 98% respectively).

Correlation with activation markers was further extended to the late activation marker CD40-Ligand (Fig. 33). hBAT binding positively correlated with the expression of CD40-Ligand in CD4+ (Fig. 33) and CD8+ T cells in a time dependent manner. The results culminate to suggest that activation of T cells induces the expression of the hBAT binding protein in a 25 manner that correlates with different activation stages.

Example 28

hBAT increases survival of activated CD4+ cells

To examine whether activated T cells can be further stimulated by the hBAT, human 30 CD4+ cells were isolated from normal donors by negative selection and activated with a suboptimal concentration (0.25 μ l/ml) of anti-CD3/CD28 beads (Fig. 34). hBAT (0.5 μ g/ml) was added 2 days following activation and its effect was evaluated by determining the number of viable cells. The results indicate that hBAT induced a significant increase in the number of viable CD4+ cells isolated from the two separate donors (Fig. 34A and B). Control 35 nonstimulated cells died within eight days of isolation whereas activated cells expanded in a

manner that is typical of lymphocytes, commencing with cell proliferation followed by a stage of stable cell number leading to a stage dominated by cell death. The addition of hBAT enhanced the expansion of CD4+ cell and increased the number of cells by 1.5 folds with respect to cells in the absence of the mAb.

5 The fact that the efficacy of BAT antibody in vivo is increased in the presence of tumor together with the results herein, suggests that the increased efficacy may depend on the presence of activated BAT target cells. Lymphocytes directed against tumor antigens have been observed in cancer patients, albeit inefficient in the inhibition of tumor growth, and may serve as target cells for BAT activity. Thus, in view of the results it may be implied that hBAT activates CD4+
10 cells by stimulating cell proliferation and/or by inhibiting cell death.

Example 29

Binding of hBAT to Daudi and Jurkat cell lines

Mouse BAT-1 was raised against membranes of the Daudi B-cell line and has been shown
15 to bind human T cells. To verify the specificity of the humanized antibody, hBAT was examined for its binding to two human cell lines of myeloid origin: Daudi cells - a human B cell lymphoma line and Jurkat cells - a human T cell leukemia line. hBAT conjugated to FITC was incubated with Daudi and Jurkat cells at a concentration of 150ug/ml (4°C for 1 hr). Binding was determined by flow cytometry.

20 Both cell lines, Daudi (Fig. 35A) and Jurkat (Fig. 35B) bound the humanized antibody. Moreover, most of the cells in culture of both lines were capable of binding the antibody. An isotype matched human-IgG1 served as a negative control (Fig. 35; isotype control) and established the reading threshold. Both cell lines demonstrated a similar intensity of antibody staining suggesting that they express a similar number of hBAT binding molecules.

25

Example 30

Binding of hBAT to PBL of cancer patients

Following the observation that hBAT is capable of binding human T cells from normal
30 donors, we compared its ability to bind lymphocytes collected from cancer patients. PBL were isolated from the blood of a prostate cancer patient by ficoll followed by adherence to tissue culture plates. Non-adherent cells were examined for binding of hBAT and lymphocyte markers. Binding was performed at 4°C for 1 hr, and determined by flow cytometry on gated lymphocytes. Isotype controls were used to determine the quadrants. These patients have formerly undergone therapy that often affects the presence and phenotype of lymphocytes.
35 hBAT binding to these cells is a pre-requisite for its activity and as depicted in figure 36,

resembles the binding to lymphocytes of normal donors. Although total lymphocyte numbers were low, hBAT could still bind a large proportion of the lymphocyte subpopulations which we examined including 39% of CD4+ cells, 60% of CD8+ cells and 68% of B cells.

5

Example 31

Cross reactivity of hBAT with human, primate and murine tissues

The purpose of this study was to examine the cross reactivity of hBAT-1 monoclonal antibody with a range of normal human tissue. This study involved immunohistochemical testing of the monoclonal antibody against a range of human tissues. A comparison of *in vitro* cross-reactivity in tissues from cynomologus monkey and CD-1 mice was also undertaken.

10 (i) Tissue source

The tissues used in this study were each obtained from three unrelated donors to minimize the chances of donor specific factors affecting antibody binding. The human tissue was provided by an ethical source. The primate and murine tissues used in this study were obtained from two 15 animals of each species, by an ethical source. The murine and primate are potential test systems that may be evaluated in pre-clinical toxicology Studies. The tissues selected were those specified in the FDA Points to Consider in the Manufacture and Testing of Monoclonal Antibody Products for Human Use (Office of Biologics Research and Review. Center for Biologics Evaluation and Research FDA. 1997) and the Rules Governing Medicinal Products in 20 the European Community Vol. 3a (Production and Quality Control of Monoclonal Antibodies Dec. 1994, 3AB4a). All tissues used in this investigation were snap frozen in liquid nitrogen and stored at or below -70°C until required. Cryostat sections were prepared at a nominal thickness of 5 µm to 8 µm. The positive control was Jurkat E6 cells. Samples of fresh blood were collected from 3 donors and smears prepared on the day of use.

25 (ii) FITC Conjugation

The humanized monoclonal hBAT-1 antibody was conjugated to FITC by the Custom Antibody Services Division of Serotec Ltd (ISO 9001, Certification) before the study was started. The final concentration of the conjugated antibody was 1.99 mg/ml.

Initial validation of the methodology was performed on control tissue (Jurkat E6 cell line) 30 to determine the titer concentration for antibody-tissue binding with frozen sections and other conditions relevant to the proper performance of the antibody-tissue binding. Slides were microscopically examined and scored subjectively against the antibody specificity (Table 21). Based on these data, the concentrations of hBAT-1 that were used throughout the study were 1:100, 1:250 and 1:500.

TABLE 21

hBAT-1 dilution	¹Specificity	Signal Strength	Background
1:25	3	+++	3
1:50	3	+++	2
1:100	3	+++	1
1:250	3	+++	0
1:500	2	++	0
1:1000	1	+	0
1:2000	0	0	0
1:4000	0	0	0
1:8000	0	0	0
Negative	0	0	0

¹Key: 3 refers to strong positive-staining, 2 refers to positive staining, 1 refers to weak positive staining and 0 refers to no staining/signal. +++ refers to strong visual signal, ++ refers to good visual signal and + refers to weak visual signal.

5 (iii) Controls.

Negative control reactions, in which the antibody was substituted with a buffer, were carried out for each tissue. Each detection reaction included positive control cells, Jurkat E6, reacted at the three predetermined dilutions of the antibody. This allowed the consistency of the reaction to be monitored. Sections of thyroid, incubated with anti actin antibody, were included 10 in each assay run as controls for the detection system.

(iv) Cross-reactivity Assessment

Sections of each tissue were stained with Haematoxylin and Eosin (H&E) to confirm their identity and suitability for the study. Sections were also incubated with anti smooth muscle actin (SMA; Table 22) or rabbit anti human transferrin control sera, which showed the tissues were 15 suitable for immunohistochemistry. Three sections of each of the tissues were prepared and incubated with the antibody, which had been conjugated to FITC, at concentrations of 1:100, 1:250 and 1:500 as determined during the validation phase. After washing in buffer and blocking with normal serum, the sections were incubated with the appropriate secondary and tertiary antibodies for alkaline-phosphatase detection, and counter-stained with haematoxylin 20 before microscopical examination to determine sites of binding.

The FITC -conjugated staining method with Alkaline Phosphatase detection contained the following steps:

- 25 1. Air dry cryostat sections.
2. Fix by immersion in acetone, 10 minutes at room temperature
3. Air dry.
4. Buffer wash.

5. Normal serum, 1:5, at least 20 minutes.
6. Buffer wash
7. 1022292 test FITC conjugated antibody at 1: 100, 1 :250 and 1 :500, : overnight at 2-8°C.
- 5 8. Buffer wash.
9. Monoclonal anti FITC antibody, 1:50,30 minutes.
10. Buffer wash.
11. Alkaline phosphatase conjugated antibody, 1 :200, 2 hours.
12. Buffer wash.
13. Vector red and levamisole, 20 minutes.
- 10 14. Buffer wash.
15. Counterstain and mount.

Endogenous alkaline phosphatase was minimized by using Levamisole incorporated into the chromogen. In tissues where endogenous alkaline phosphatase activity could not be suppressed (human colon, ileum, placenta and endothelium, murine colon and pancreas, primate stomach, ileum and prostate), horseradish peroxidase conjugated antibody at 1:200 for 2 hours was used, followed by Diaminobenzidine (DAB) reagent for 20 minutes.

15 (v) Results

Samples of individual tissues stained with H&E were examined for quality of tissue, presence of normal histological features and adequacy of preservation. All samples that were 20 tested were considered to be suitable for the purposes of this study. Positive staining was achieved in the Jurkat E6 cell line for hBAT-1 and in the thyroid sections treated with smooth muscle actin. As the controls gave the expected results, the test was considered valid.

Individual cross reactivity results for hBAT-1 and human tissues are shown in Tables 22. Positive staining was detected in blood vessels human endothelium at a dilution of 1:100 and 25 was probably a result of hBAT-1 binding to lymphocytes. Positive staining indicates probable tissue binding of the humanized monoclonal hBAT-1 antibody. No staining, i.e. cross reactivity with hBAT-1, was observed in spleen sections, blood smears or other human tissues (except human endothelium - blood vessels). None of the murine and primate tissues showed evidence of cross reactivity with hBAT-1.

30

TABLE 22

Tissue	SMA	hBAT-1 Antibody			
		1:100	1:250	1:500	1:100
Adrenal	+	-	-	-	-
Bladder	+	-	-	-	-
Blood Cells	¹ N/A	-	-	-	-
Blood Vessel	+	+	-	-	-

(endothelium)					
Bone Marrow	N/A	-	-	-	-
Breast	+	-	-	-	-
Cerebellum	+	-	-	-	-
Cerebral Cortex	+	-	-	-	-
Colon	+	-	-	-	-
Eye (Retina)	+	-	-	-	-
Fallopian tube	+	-	-	-	-
Heart	+	-	-	-	-
Ileum (GI tract)	+	-	-	-	-
Kidney	+	-	-	-	-
Liver	+	-	-	-	-
Lung	+	-	-	-	-
Lymph node	+	-	-	-	-
Ovary	+	-	-	-	-
Pancreas	+	-	-	-	-
Parathyroid	+	-	-	-	-
Parotid	+	-	-	-	-
Pituitary	+	-	-	-	-
Placenta	+	-	-	-	-
Prostate	+	-	-	-	-
Skin	+	-	-	-	-
Spinal cord	+	-	-	-	-
Spleen	+	-	-	-	-
Stomach	+	-	-	-	-
Striated muscle	+	-	-	-	-
Testes	+	-	-	-	-
Thymus	+	-	-	-	-
Thyroid	+	-	-	-	-
Tonsil	+	-	-	-	-
Ureter	+	-	-	-	-
Uterus-cervix	+	-	-	-	-
Uterus- endometrium	+	-	-	-	-

¹N/A – result not applicable

The foregoing description of the specific embodiments will so fully reveal the general nature of the invention that others can, by applying current knowledge, readily modify and/or adapt for various applications such specific embodiments without undue experimentation and without departing from the generic concept, and, therefore, such adaptations and modifications should and are intended to be comprehended within the meaning and range of equivalents of the disclosed embodiments. It is to be understood that the phraseology or terminology employed herein is for the purpose of description and not of limitation. The means, materials, and steps for carrying out various disclosed functions may take a variety of alternative forms without departing from the invention. Thus the expressions "means to..." and "means for...", or any

method step language, as may be found in the specification above and/or in the claims below, followed by a functional statement, are intended to define and cover whatever structural, physical, chemical or electrical element or structure, or whatever method step, which may now or in the future exist which carries out the recited function, whether or not precisely equivalent to the embodiment or embodiments disclosed in the specification above, i.e., other means or steps for carrying out the same functions can be used; and it is intended that such expressions be given their broadest interpretation.

CLAIMS

1. An humanized monoclonal antibody having at least one complementarity determining region (CDR) of murine monoclonal antibody BAT-1 (mBAT-1) and a framework region (FR) derived from an acceptor human immunoglobulin wherein the humanized antibody retains the anti-tumor activity of mBAT-1 monoclonal antibody and is less immunogenic in a human subject than said murine antibody.
5
2. The humanized monoclonal antibody of claim 1 wherein the humanized antibody induces a greater anti-tumor effect than that induced by the parent murine BAT-1 antibody.
10
3. The humanized antibody of claim 1 comprising the complementarity-determining regions (CDRs) of murine monoclonal antibody BAT-1 (mBAT-1), wherein said humanized antibody comprises:
 - a. light chain variable regions of the formula:
$$FR_{L1}-CDR_{L1}-FR_{L2}-CDR_{L2}-FR_{L3}-CDR_{L3}-FR_{L4}$$
wherein each FR is independently a framework region of a human antibody, and each CDR is a complementarity determining region, derived from mBAT-1;
15
 - b. the heavy chain variable regions of the formula:
$$FR_{H1}-CDR_{H1}-FR_{H2}-CDR_{H2}-FR_{H3}-CDR_{H3}-FR_{H4}$$
wherein each FR is separately a framework region of a human antibody, and each CDR is a complementarity determining region, form mBAT-1;
20
4. A monoclonal antibody having a genetically modified Fab region comprising the complementarity-determining regions (CDRs) of murine monoclonal antibody BAT-1 (mBAT-1), wherein the genetically modified antibody retains the biological activity of said mBAT-1 and wherein said genetically modified monoclonal antibody comprises an amino acid sequence selected from:
25
 - (i) a heavy chain variable region comprising the amino acid sequences of: CDR_{H1} (SEQ ID NO:12); CDR_{H2} (SEQ ID NO:13); CDR_{H3} (SEQ ID NO:14); and a light chain variable region comprising the amino acid sequences of: CDR_{L1} (SEQ ID NO:9); CDR_{L2} (SEQ ID NO:10); CDR_{L3} (SEQ ID NO:11);
 - (ii) heavy chain and light chain variable regions comprising the amino acid sequences having greater than about 80 percent similarity to all or part of the sequences of: CDR_{H1} (SEQ ID NO:12); CDR_{H2} (SEQ ID NO:13); CDR_{H3} (SEQ ID NO:14); CDR_{L1} (SEQ ID NO:9); CDR_{L2} (SEQ ID NO:10); CDR_{L3} (SEQ ID NO:11);
30

(iii) an antibody of (i) or (ii) in which one or more amino acid residues have been added, deleted, replaced or chemically modified without substantially affecting the biological activity or binding specificity of the antibody.

5 5. The monoclonal antibody of Claim 4, wherein the framework regions (FRs) are derived from a human antibody.

6. The humanized monoclonal antibody of claim 3 comprising complementarity-determining regions (CDRs) of murine monoclonal antibody BAT-1 (mBAT-1), wherein said humanized antibody comprises:

10 a. light chain variable regions of the formula:
$$\text{FR}_{L1}\text{-CDR}_{L1}\text{-FR}_{L2}\text{-CDR}_{L2}\text{-FR}_{L3}\text{-CDR}_{L3}\text{-FR}_{L4}$$
wherein each FR is independently a framework region of a human antibody, and each CDR is a complementarity determining region, wherein the amino acid sequence of DR_{L1} is SARSSVSYM (SEQ. ID NO. 9); CDR_{L2} is RTSNLAS (SEQ. ID NO. 10); CDR_{L3} is QQRSSFPLT (SEQ. ID NO. 11);

15 b. the heavy chain variable regions of the formula:
$$\text{FR}_{H1}\text{-CDR}_{H1}\text{-FR}_{H2}\text{-CDR}_{H2}\text{-FR}_{H3}\text{-CDR}_{H3}\text{-FR}_{H4}$$
wherein each FR is separately a framework region of a human antibody, and each CDR is a complementarity determining region, wherein the amino acid sequence of: CDR_{H1} is NYGMN (SEQ. ID NO. 12); CDR_{H2} is WINTDSGESTYAAEFG (SEQ. ID NO. 13); CDR_{H3} is VGYDALDY (SEQ. ID NO. 14).

20 7. The humanized antibody of Claim 6, wherein said humanized antibody induces a greater antitumor effect than murine BAT-1 monoclonal antibody.

8. The humanized antibody of Claim 6, wherein said humanized antibody induces a greater anti-metastatic effect than murine BAT-1 monoclonal antibody.

25 9. The humanized antibody of claim 6, wherein the antibody is a full length antibody.

10. The humanized antibody of Claim 9, wherein the antibody is of isotype IgG.

11. The humanized antibody of Claim 10, wherein said isotype subclass is selected from IgG1 or IgG4.

30 12. The humanized antibody of Claim 6, wherein the FR of the heavy chain variable region are derived from the FRs of the heavy chain variable region of the human hsgv1295 antibody.

13. The humanized antibody of Claim 6, wherein the FRs of the kappa light chain variable region are based on the FRs of the kappa light chain variable region of the human TEL9 antibody.
14. The humanized antibody of claim 6, having a human kappa constant region.
- 5 15. An antibody fragment derived from humanized antibody of claim 6, wherein the antibody fragment is selected from the group consisting of: Fv, F(ab'), F(ab')₂, a single chain antibody.
16. The humanized antibody of claim 6, wherein the antibody is further labeled with a detectable label, immobilized on a solid phase, or conjugated to a heterologous compound.
- 10 17. The humanized antibody of claim 6, wherein said humanized monoclonal antibody light chain variable regions are selected from the group consisting of: BATR_{κA} (SEQ. ID NO. 15), BATR_{κB} (SEQ. ID NO. 16), BATR_{κC} (SEQ. ID NO. 17), BATR_{κD} (SEQ. ID NO. 18), and the heavy chain variable regions are selected from the group consisting of: BATR_H_A (SEQ. ID NO. 20), BATR_H_B (SEQ. ID NO. 21), BATR_H_C (SEQ. ID NO. 22), BATR_H_D (SEQ. ID NO. 23) or BATR_H_E (SEQ. ID NO. 24).
- 15 18. The humanized antibody of claim 6, wherein said humanized monoclonal antibody variable regions are selected from the group consisting of: BATR_H_A/BATR_{κA} (SEQ. ID NO. 20/SEQ. ID NO. 15), BATR_H_B/BATR_{κA} (SEQ. ID NO. 21/SEQ. ID NO. 15), BATR_H_B/BATR_{κB} (SEQ. ID NO. 21/SEQ. ID NO. 16), BATR_H_C/BATR_{κB} (SEQ. ID NO. 22/SEQ. ID NO. 16), BATR_H_B/BATR_{κD} (SEQ. ID NO. 21/SEQ. ID NO. 18), or BATR_H_C/BATR_{κD} (SEQ. ID NO. 22/SEQ. ID NO. 18).
- 20 19. The antibody of Claim 6 generated by recombinant DNA technology, utilizing CDR grafting.
- 25 20. An isolated polynucleotide construct encoding any of the monoclonal antibodies of Claims 1-19 or fragments thereof.
21. The isolated polynucleotide construct according to Claim 20 encoding a kappa light chain variable region selected from the group consisting of: SEQ ID NO. 15, SEQ ID NO. 16, SEQ ID NO. 17, SEQ ID NO. 18.
22. The isolated polynucleotide construct according to Claim 21 selected from the group consisting of: SEQ ID NO. 87, SEQ ID NO. 88, SEQ ID NO. 89.
- 30 23. The isolated polynucleotide construct according to Claim 20 encoding a heavy chain variable region selected from the group consisting of: SEQ ID NO. 20, SEQ ID NO. 21, SEQ ID NO. 22, SEQ ID NO. 23, SEQ ID NO. 24.

24. The isolated polynucleotide construct according to Claim 23 selected from the group consisting of: SEQ ID NO. 90, SEQ ID NO. 91, SEQ ID NO. 92.
25. A vector comprising any of the polynucleotides of Claim 20 to 24.
26. The vector of Claim 25, further comprising at least one polynucleotide sequence encoding a component selected from the group consisting of: a promoter operatively linked to the polynucleotide encoding the antibody, one or more resistance gene, a Kozak sequence, an origin of replication, one or more selection marker genes, an enhancer element, transcription terminator, a signal peptide, genomic human kappa constant region, genomic human IgG constant region.
- 10 27. The vector of Claim 26, wherein the vector is a plasmid or a virus.
28. The vector of Claim 27, selected from the group comprising: pKN110, pG1D200, pG1KD210, pUC or pBR322.
29. The vector of Claim 25, comprising the polynucleotide sequence of SEQ ID NO. 93.
30. A host cell comprising the vector of any of Claims 23-27.
- 15 31. The host cell of Claim 30, capable of expressing an antibody or fragments thereof.
32. The host cell Claim 30, wherein the cell is selected from eukaryotic and prokaryotic.
33. The host cell of Claim 30, selected from the group consisting of: CHO, CHOD_{hfr}, NSO, COS or COS7 cells.
34. A pharmaceutical composition comprising as an active ingredient the antibody or antibody fragments of any of Claims 1 – 19.
- 20 35. The pharmaceutical composition of Claim 34, further comprising a physiologically acceptable carrier, diluent, or stabilizer.
36. A method for treating a subject in need thereof, comprising the step of treating said subject with a therapeutically effective amount of a pharmaceutical composition containing as an active ingredient the antibody or antibody fragments of any of Claims 1-19.
- 25 37. The method for treating a subject of Claim 36 further comprising treatment in conjunction with additional therapeutic agents selected from, cytokines, IL-1 (interleukin-1), IL-2, IL-6, IFN- α (interferon- α), cell vaccines, antibodies, T-cell stimulatory antibody, and anti-tumor therapeutic antibody.
38. The method for treating a subject of Claim 37 wherein the treatments are administered substantially at the same time.
39. The method of Claim 37 wherein the treatments are co-administered in a single composition.
40. The method of Claim 37 wherein the treatments are administered in separate compositions.

41. The method for treating a subject of Claim 37 wherein the treatments are administered sequentially.
42. The method of any of Claim 36, further comprising cell therapy.
43. The method for treating a subject of Claim 42 wherein the treatments are administered substantially at the same time.
44. The method of Claim 42 wherein the treatments are co-administered in a single composition.
45. The method of Claim 42 wherein the treatments are administered in separate compositions.
46. The method for treating a subject of Claim 47 wherein the treatments are administered sequentially.
47. The method of any one of Claims 42 through 46 wherein the cells are selected from autologous and allogeneic cells.
48. The method of any of Claim 36 through 47, wherein the treatments are independently selected from ex vivo and in vivo.
49. The method of any of Claim 36 through 47, for treating cancer.
50. The method of Claim 49, wherein the cancer is selected from melanoma, lung tumors, colorectal cancer or hepatic metastasis.
51. A method for producing the antibody of any of Claims 1-19, comprising:
 - (i) transfecting a host cell with a vector comprising a polynucleotide sequence encoding said antibody, or co-transfected the host cell with 2 vectors each comprising a polynucleotide sequence encoding the heavy or light chain regions of said antibody;
 - (ii) culturing the host cell of (i) so that said antibody is expressed; and
 - (iii) recovering the antibody from the host cells culture of (ii).

ATGGATTACAGGTGCAGATTATCAGCTTCTGCTAATCAGTGCCTCAGTCATAATGTCC
 1 - - - + - - - + - - - + - - - + - - - + - - - + - - - 60
 TACCTAAATGTCCACGTCTAATAGTCGAAGGACGATTAGTCACGGAGTCAGTATTACAGG
 M D L Q V Q I I S F L L I S A S V I M S

AGAGGACAAATTGTTCTACCCAGTCTCCAGCAATCATGTCTGCATCTCCAGGGAGAAG
 61 - - - + - - - + - - - + - - - + - - - + - - - + - - - 120
 TCTCCTGTTAACAGAGTGGGTAGAGGTCGTTAGTACAGACGTAGAGGTCCCCTTTC
 R G Q I V L T Q S P A I M S A S P G E K

GTCACCATAACCTGCAGTGCAGGTCAAGTGTAAAGTTACATGCACTGGTCCAGCAGAAG
 121 - - - + - - - + - - - + - - - + - - - + - - - + - - - 180
 CAGTGGTATTGGACGTACGGTCCAGTTCACATTCAATGTACGTGACCAAGGTCGTCTTC
 V T I T C S A R S S V S Y M H W F Q Q K

CCAGGCACTTCTCCAAACTCTGGATTATAGGACATCCAACCTGGCTTCTGGAGTCCTC
 181 - - - + - - - + - - - + - - - + - - - + - - - + - - - 240
 GGTCCCGTGAAGAGGGTTGAGACCTAAATATCCTGTAGGTTGGACCGAAGACCTCAGGGA
 P G T S P K L W I Y R T S N L A S G V P

GCTCGCTTCAGTGGCAGTGGATCTGGACCTCTTACTGTCTCACAAATCAGCCGAATGGAG
 241 - - - + - - - + - - - + - - - + - - - + - - - + - - - 300
 CGAGCGAAGTCACCGTCACCTAGACCCCTGGAGAATGACAGAGTGTAGTCGGCTTACCTC
 A R F S G S G S G T S Y C L T I S R M E

GCTGAAGATGCTGCCACTTATTACTGCCAGCAAAGGAGTAGTTCCACTCACGTTGGC
 301 - - - + - - - + - - - + - - - + - - - + - - - + - - - 360
 CGACTTCTACGACGGTGAATAATGACGGTCGTTCTCATCAAAGGGTGAGTGCAAGCCG
 A E D A A T Y Y C Q Q R S S F P L T F G

TCGGGGACAAAGTTGGAAATAAAA
 361 - - - + - - - - + - - 384
 AGCCCCTGTTCAACCTTATTAA
 S G T K L E I K

FIGURE 1

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Chothia Canonical Classes

L1 (10 amino acids) = Class 1 *
 Key Residues: 2(I); 25(A); 29(VIL);
 33(LM); 71(YF)

L2 (7 amino acids) = Class 1
 Key Residues: 48(IV); 51(AT); 52(ST);
 64(G)

L3 (9 amino acids) = Class 1
 Key Residues: 90(QNH); 95 (P)

Martin Canonical Classes

L1 (10 amino acids) = Class undefined (though most similar to
 Class 1/10A*)
 Key Residues: 2(I); 4(L); 23(C); 25(A);
 30(V); 33(LM); 35(W); 71(Y);
 88(C); 90(Q); 93(SYR)

L2 (7 amino acids) = Class 1/7A
 Key Residues: 23(C)

L3 (9 amino acids) = Class undefined (though most similar to
 Class 1/9A)
 Key Residues: 2(ILV); 3(VQLE); 4(ML);
 28(SNDTE) *;
 30(DLYVISNFHGT);
 31(SNTKG); 32(FYNAHSR);
 33(MLVIF); 88(C);
 89(QSGFL); 90(QNH);
 91(NFGSRDHTYV);
 92(NYWTSRQHAD);
 93(ENGHTSRAQHAD);
 94(DYTVLHNIWPS) †;
 95(P);
 96(PLYRIWF); 97(T); 98(E)

- * This class is seen only in Kabat mouse kappa subgroup VI.
- ⌘ Since the L1 loop is only 10 amino acids long, there is no residue at this position in the BAT V_K region.
- ✧ The amino acid Phe (F) is found at this position in the BAT V_K region.

FIGURE 2

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ATGGCTTGGGTGTGGACCTTGCTATTCTGATGGCAGCTGCCAAAGTATCCAAGCACAG
 1 - - - + - - - + - - - + - - - + - - - + - - - + - - - 60
 TACCGAACCCACACCTGGAACGATAAGGACTACCGTCGACGGGTTCATAGGTTCGTGT
 M A W V W T L L F L M A A A Q S I Q A Q

ATCCAGTTGGTGCAGTCTGGACCTGAGTTGAAGAAGCCTGGAGAGACAGTCAGATCTCC
 61 - - - + - - - + - - - + - - - + - - - + - - - + - - - 120
 TAGGTCAACCACGTCAGACCTGGACTCAACTTCTCGGACCTCTGTCAAGTTCTAGAGG
 I Q L V Q S G P E L K K P G E T V K I S

TGCAAGGCTTCTGGATATACTTCACAAACTATGGAATGAACACTGGGTGAAGCAGGCTCCA
 121 - - - + - - - + - - - + - - - + - - - + - - - + - - - 180
 ACGTTCCGAAGACCTATATGAAAGTGTGATACCTTACTTGACCCACTCGTCCGAGGT
 C K A S G Y T F T N Y G M N W V K Q A P

GGAAAGGGTTAAAGTGGATGGCTGGATAAACACCGACAGTGGAGAGTCACACATATGCT
 181 - - - + - - - + - - - + - - - + - - - + - - - + - - - 240
 CCTTTCCCAAATTCACCTACCCGACCTATTGTTGGCTGTCACCTCTCAGTTGTATACGA
 G K G L K W M G W I N T D S G E S T Y A

GAAGAGTTCAAGGGACGGTTGCCTCTTGGAAACCTCTGCCAACACTGCCATTG
 241 - - - + - - - + - - - + - - - + - - - + - - - + - - - 300
 CTTCTCAAGTTCCCTGCCAACCGGAAGAGAAACCTTGGAGACGGTTGTGACGGATAAAC
 E E F K G R F A F S L E T S A N T A Y L

CAGATCAACAAACCTCAACAATGAGGACACGGCTACATATTCTGTGTGAGAGTCGGCTAC
 301 - - - + - - - + - - - + - - - + - - - + - - - + - - - 360
 GTCTAGTTGGAGTTGTTACTCCTGTGCCGATGTATAAAGACACACTCTCAGCCGATG
 Q I N N L N N E D T A T Y F C V R V G Y

GATGCTTGGACTACTGGGGTCAAGGAACCTCAGTCACCGTCTCCTCA
 361 - - - + - - - + - - - + - - - + - - - + - - - 408
 CTACGAAACCTGATGACCCCCAGTTCCCTGGAGTCAGTGGCAGAGGAGT
 D A L D Y W G Q G T S V T V S S

FIGURE 3

Chothia Canonical Classes

H1 (10 amino acids*) = Class 1

Key Residues: 24(TAVGS); 26(G);
27(FYTG); 29(FLIV);
34(MIVLT); 94(RTKHGL)

H2 (17 amino acids) = Class 2

Key Residues: 52a(PTA); 55(GS); 71(ALT)**Martin Canonical Classes**

H1 (10 amino acids*) = Class 1/10A

Key Residues: 2(VIG); 4(LV); 20(LIMV);
22(C); 24(TAVGS); 26(G);
29(IFLS); 32(IHYFTNCED);
33(YAWGTLV); 34(IVMW);
35(HENQSYT); 36(W);
48(IMLV); 51(LIVTSN);
69(ILFMV); 78(ALVYF);
80(LM); 90(YF); 92(C);
94(RKGSHN); 102(YHVISDG)

H2 (10 amino acids*) = Class undefined (though most similar to Class 2/10A)

Key Residues: 33(YWGATL); 47(WY);
50(REWYGQVLNKA); 51(LI);
52(DLNSY); 53(AGYSKTN) \diamond ;
54(NSTKDG); 56(YREDGVSA);
58(KNTSDRGFY); 59(Y);
69(IFLM); 71(VAL); 78(ALV)

* The H1 loop actually consists of 5 amino acids of CDR1 and 5 amino acids of the N-terminal end of FR1.

\boxtimes AbM defines the H2 loop as only 10 amino acids long, i.e. 7 amino acids shorter than the Kabat definition for CDR2.

\diamond The amino acid Asp (D) is found at this position in the BAT V_H region.

FIGURE 5

CDRs Kabat Numbers		H1		H2		H3	
Mouse	BATV _H	123456789012345678901234567890123456789012345678901234567890123456789012ABC	1	2	3	4	5
hsighv	1295 V _H	QIQLVQSGPELKKPGETVKISCKASGYTFTNYGMNWVKQAPGKGLKWMGWIN	7	QIQLVQSGPELKKPGETVKISCKASGYTFTNYGMNWVKQAPGQGLQWMGWIN	6	QIQLVQSGPELKKPGETVKISCKASGYTFTNYGMNWVKQAPGQGLQWMGWIN	7
Variant	SEQ ID NO.	QIQLVQSGSESELKKPGASVKISCKASGYFSFSNYGMNWVRQAPGQGLQWMGWIN	QIQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLQWMGWIN	QIQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLQWMGWIN	QIQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLQWMGWIN	QIQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLKWMGWIN	QIQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLKWMGWIN
BATRH _A	20	QVQLVQSGSESELKKPGASVKISCKASGYFSFSNYGMNWVRQAPGQGLQWMGWIN	QVQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLQWMGWIN	QVQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLQWMGWIN	QVQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLQWMGWIN	QVQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLKWMGWIN	QVQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLKWMGWIN
BATRH _B	21	QVQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLQWMGWIN	QVQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLQWMGWIN	QVQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLQWMGWIN	QVQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLQWMGWIN	QVQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLKWMGWIN	QVQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLKWMGWIN
BATRH _C	22	QVQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLQWMGWIN	QVQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLQWMGWIN	QVQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLQWMGWIN	QVQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLQWMGWIN	QVQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLKWMGWIN	QVQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLKWMGWIN
BATRH _D	23	QIQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLQWMGWIN	QIQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLQWMGWIN	QIQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLQWMGWIN	QIQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLQWMGWIN	QIQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLKWMGWIN	QIQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLKWMGWIN
BATRH _E	24	QIQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLQWMGWIN	QIQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLQWMGWIN	QIQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLQWMGWIN	QIQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLQWMGWIN	QIQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLKWMGWIN	QIQLVQSGSESELKKPGASVKISCKASGYTFTNYGMNWVKQAPGQGLKWMGWIN
CDRs Kabat Numbers		H3					
Mouse	BATV _H	789012ABC345678901234567890ABC1234567890123	8	9	10	11	
hsighv	1295 V _H	TAYLQINNLNNEDTATYFCVVRVGYDAL---DYWGQGTTSVTVSS	
Variant	SEQ ID NO.	
BATRH _A	20	TAYLQITSLTAEDTGMYFCAKVGYDAL---DYWGQGTILTVSS					
BATRH _B	21	TAYLQITSLTAEDTGMYFCAKVGYDAL---DYWGQGTILTVSS					
BATRH _C	22	TAYLQITSLTAEDTGMYFCV R VGYDAL---DYWGQGTILTVSS					
BATRH _D	23	TAYLQITSLTAEDTGMYFCV R VGYDAL---DYWGQGTILTVSS					
BATRH _E	24	TAYLQITSLNAEDTGMYFCV R VGYDAL---DYWGQGTILTVSS					

FIGURE 6

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1 AAGCTTGCCTGCCACCATGGACATGAGGGTCCCCGCTCAGCTCCTGGGGCTCTGCTGCTC 60
 1 TTCGAACGGCGGTGGTACCTGTACTCCCAGGGCGAGTCGAGGACCCGAGGACGACGAG
 M D M R V P A Q L L G L L L
 61 TGGCTCCCAGGTGCCAAATGTGAAATTGTGTTGACGCAGTCTCCATCCTCCCTGTCTGCA 120
 61 ACCGAGGGTCCACGGTTACACTTAACACAACGTCAGAGGTAGGAGGGACAGACGT
 W L P G A K C E I V L T Q S P S S L S A
 121 TCTGTAGGAGACAGAGTCACCATCACTGCCAGTCAAGTGTAAAGTTACATGCAC 180
 121 AGACATCCTCTGTCTCAGTGGTAGTGAACGTCACGGTCCAGTTCACATTCAATGTACGTG
 S V G D R V T I T C S A R S S V S Y M H
 181 TGGTATCAGCAGAAACCAGGGAAAGCCCCTAAGCTCCTGATCTAGGACATCCAACCTG 240
 181 ACCATAGTCGTCTTGGTCCCTTCGGGATTGAGGACTAGATATCCTGTAGGTTGGAC
 W Y Q Q K P G K A P K L L I Y R T S N L
 241 GCTTCTGGGGTCCCCTAGATTCAAGCGGCAGTGGATCTGGGACAGATTTCACTCTCACC 300
 241 CGAAGACCCCAGGGTAGATCTAAGTCGCCGTACCTAGACCCCTGTCTAAAGTGAGAGTGG
 A S G V P S R E S G S G S G T D F T L T
 301 ATCAACAGCCTGCAGCCTGAAGATTTGCAACTTACTATTGCCAGCAAAGGAGTAGTTTC 360
 301 TAGTTGTCGGACGTGGACTTCTAAACGTTGAATGATAACGGTCGTTCCATCAAAG
 I N S L Q P E D F A T Y Y C Q Q R S S F.
 361 CCACTCACGTTGGCGGGACCAAGCTGGAGATCAAACGTGAGTGGATCC 412
 361 GGTGAGTGCAAGCCGCTCCCTGGTTCGACCTCTAGTTGCACTCACCTAGG
 P L T F G G G T K L E I K

FIGURE 7

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1 AAGCTTGGCGCCACCATGGACATGAGGGTCCCCGCTCAGCTCTGGGCTCCTGCTGCTC 60
 1 TTCGAACGGCGGTGGTACCTGTACTCCCAGGGCGAGTCGAGGACCCGAGGACGACGAG
 M D M R V P A Q L L G L L L L
 61 TGGCTCCCAGGTGCCAAATGTGAAATTGTGTTGACGCAGTCTCCATCCTCCCTGTCTGCA 120
 61 ACCGAGGGTCCACGGTTACACTTAAACACAACACTGCGTCAGAGGTAGGAGGGACAGACGT
 W L P G A K C E I V L T Q S P S S L S A
 121 TCTGTAGGAGACAGAGTCACCATCACTTGCAGTGCAGGTCAAGTGTAAAGTTACATGCAC 180
 121 AGACATCCTCTGTCTCAGTGGTAGTGAACGTCACGGTCCAGTTCACATTCAATGTACGTG
 S V G D R V T I T C S A R S S V S Y M H
 181 TGGTTCCAGCAGAAACCAGGGAAAGCCCTAAAGCTCTGGATCTATAGGACATCCAACCTG 240
 181 ACCAAGGTCGTCTTGGTCCCTTCGGGATTGAGACCTAGATATCCTGTAGGTTGGAC
 W F Q Q K P G K A P K L W I Y R T S N L
 241 GCTTGTGGGTCCCATCTAGATTAGCAGCGGAGTGGATCTGGACAGATTACACTCTCACC 300
 241 CGAAGACCCCAGGGTAGATCTAAGTCGCCGTACCTAGACCCGTCTAAATGTGAGAGTGG
 A S G V P S R F S G S G S G T D Y T L T
 301 ATCAACAGCCTGCAGCCTGAAGATTGCAACTTACTATTGCCAGCAAAGGAGTAGTTTC 360
 301 TAGTTGTGGACGTGGACTTCTAAACGTTGAATGATAACGGTCGTTCCATCAAAG
 I N S L Q P E D F A T Y Y C Q Q R S S F
 361 CCACTCACGTTGGGGAGGGACCAAGCTGGAGATCAAACGTGAGTGGATCC 412
 361 GGTGAGTGCAAGCCGCTCCCTGGTTCGACCTCTAGTTGCACTCACCTAGG
 P L T F G G G T K L E I K

FIGURE 8

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1 AAGCTTGCCTGCCACCATGGACATGAGGGTCCCCGCTCAGCTCCTGGGGCTCCTGCTGCTC 60
 1 TTCGAACGGCGGTGGTACCTGTACTCCCAGGGCGAGTCGAGGACCCGAGGACGACGAG
 M D M R V P A Q L L G L L L L

 61 TGGCTCCCAGGTGCCAAATGTGAAATTGTGTTGACGCAGTCTCCATCCTCCCTGTCTGCA 120
 61 ACCGAGGGTCCACGGTTACCTTAACACAACTGCGTCAGAGGTAGGAGGGACAGACGT
 W L P G A K C E I V L T Q S P S S L S A

 121 TCTGTAGGAGACAGAGTCACCATCACTGCAGTGCCAGGTCAAGTGTAAAGTTACATGCAC 180
 121 AGACATCCTCTGTCTCAGTGGTAGTGAACGTCACGGTCCAGTTCACATTCAATGTACGTG
 S V G D R V T I T C S A R S S V S Y M H

 181 TGGTTCCAGCAGAAACCAGGGAAAGCCCCTAAGCTCTGGATCTATAGGACATCCAACCTG 240
 181 ACCAAGGTCGTCTTGGTCCCTTCGGGGATTGAGACCTAGATATCCTGTAGGTTGGAC
 W F Q Q K P G K A P K L W I Y R T S N L

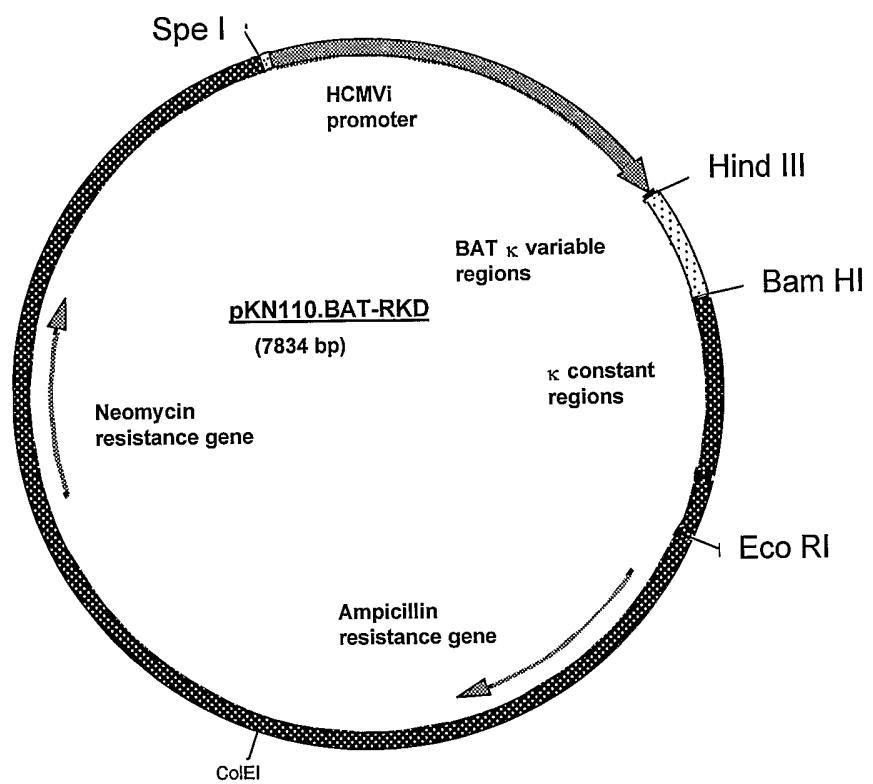
 241 GCTTCTGGGTCCCATCTAGATTCAAGCGGCAGTGGATCTGGACATCTTACTGTCTCACC 300
 241 CGAAGACCCAGGGTAGATCTAAGTCGCCGTACCTAGACCCCTGTAGAATGACAGAGTGG
 A S G V P S R F S G S G S G T S Y C L T

 301 ATCAACAGCCTGCAGCCTGAAGATTTGCAACTTACTATTGCCAGCAAAGGAGTAGTTTC 360
 301 TAGTTGTCGGACGTGGACTTCTAAACGTTGAATGATAACGGTCGTTCCATCAAAG
 I N S L Q P E D F A T Y Y C Q Q R S S F

 361 CCACTCACGTTGGCGGAGGGACCAAGCTGGAGATCAAACGTGAAGTGGATCC 412
 361 GGTGAGTGAAGCCGCCTCCCTGGTCACCTCTAGTTGCACTCACCTAGG
 P L T F G G G T K L E I K

FIGURE 9

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**FIGURE 10**

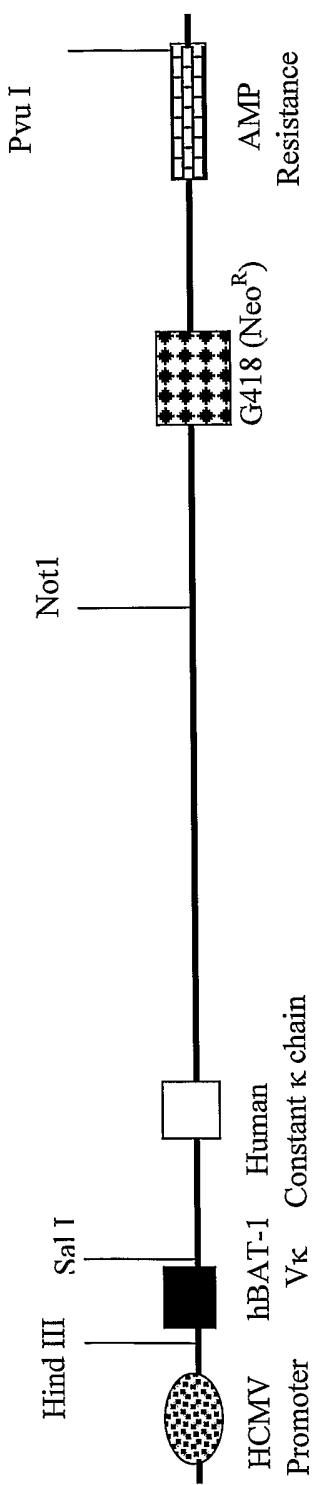


FIGURE 11

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1 AAGCTTGCCTGCCACCATGGACTGGACCTGGAGGGATCCTCTTCTTGGTGGCAGCAGCAACA
 1-----+-----+-----+-----+-----+-----+-----+-----+-----+ 60
 1 TTCGAAACGGCGGTGGTACCTGACCTGGACCTCCTAGGAGAAGAACCAACCGTCGTCGTTGT
 1 M D W T W R I L F L V A A A T

 61 GGTGCCCACTCCCAGGTGCAGCTGGTCAATCTGGTCTGAGCTTAAGAACGCCTGGGGCC
 61-----+-----+-----+-----+-----+-----+-----+-----+-----+ 120
 61 CCACGGGTGAGGGTCCACGTCGACCACTGTTAGACCCAGACTCGAATTCTCGGACCCCGG
 61 G A H S Q V Q L V Q S G S E L K K P G A

 121 TCAGTGAAGATCTCCTGCAAGGCTCTGGATATCTTCTCAAACATATGGAATGAACTGG
 121-----+-----+-----+-----+-----+-----+-----+-----+-----+ 180
 121 AGTCACCTCTAGAGGACGTTCCGAAGACCTATATGAAAGTGTGTTGATACCTTACTTGACC
 121 S V K I S C K A S G Y S F S N Y G M N W

 181 GTCCGACAGGCCCTGGACAAGGGCTTCAGTGGATGGATGGATAAACACCGACAGTGG
 181-----+-----+-----+-----+-----+-----+-----+-----+-----+ 240
 181 CACGCTGTCCGGGGACCTGTTCCGAAGTCACCTACCCCTACCTATTGTGGCTGTCACCT
 181 V R Q A P G Q G L Q W M G W I N T D S G

 241 GAGTCAACATATGCTGAAGAGTTCAAGGGACGGTTGTCTTCTCCTGGACACCTCTGTC
 241-----+-----+-----+-----+-----+-----+-----+-----+-----+ 300
 241 CTCAGTTGTATACGACTCTCAAGTCCCTGCCAACAGAAGAGGAACCTGTGGAGACAG
 241 E S T Y A E E F K G R F V F S L D T S V

 301 AACACGGCATATCTGCAGATCACCAGCCTCACGGCTGAGGACACTGGCATGTATTCCTGT
 301-----+-----+-----+-----+-----+-----+-----+-----+-----+ 360
 301 TTGTGCCGTATAGACGTCTAGTGGTCGGAGTGGCAGCTCTGTGACCGTACATAAGACA
 301 S T A Y L Q I T S L T A E D T G M Y F C

 361 GCGAAAGTCGGCTACGATGCTTGGACTACTGGGGCCAGGGAACCTGGTCACCGTCTCC
 361-----+-----+-----+-----+-----+-----+-----+-----+-----+ 420
 361 CACTCTCAGCCGATGCTACGAAACCTGATGACCCCGGTCCCTGGGACCAAGTGGCAGAGG
 361 A K V G Y D A L D Y W G Q G T L V T V S

 421 TCAGGTGAGTGGATCC
 421-----+-----+-----+-----+-----+-----+-----+-----+-----+ 436
 421 AGTCCACTCACCTAGG
 421 S

FIGURE 12

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1 AAGCTTGCAGGCCACCATGGACTGGACCTGGAGGATCTCTTCTGGTGGCAGCAGCAACA 60
 1- -+-----+-----+-----+-----+-----+-----+
 1 TTCGAACGGCGGTGGTACCTGACCTGGACCTCCTAGGAGAAGAACCCACCGTCGTTGT
 1 M D W T W R I L F L V A A A T

 61 GGTGCCCACTCCCAGGTGCAGCTGGTCAATCTGGTCTGAGCTTAAGAACGCCTGGGCC 120
 61- -+-----+-----+-----+-----+-----+
 61 CCACGGGTGAGGGTCCACGTCGACCACGTTAGACCCAGACTCGAATTCTCGGACCCGG
 61 G A H S Q V Q L V Q S G S E L K K P G A

 121 TCAGTGAAGATCTCCTGCAAGGCTCTGGATATACTTACAAACTATGGAATGAACTGG 180
 121- -+-----+-----+-----+-----+-----+
 121 AGTCACTTCTAGAGGACGTTCCGAAGACCTATATGAAAGTGTGATACCTTACGGAC
 121 S V K I S C K A S G Y T F T N Y G M N W

 181 GTGCGACAGGCCCTGGACAAGGGCTTCAGTGGATGGATGGATAAACACCGACAGTGG 240
 181- -+-----+-----+-----+-----+-----+
 181 CACGCTGTCCGGGACCTGTTCCGAAGTCACCTACCCCTACCTATTGTGGCTGTCACCT
 181 V R Q A P G Q G L Q W M G W I N T D S G

 241 GAGTCAACATATGCTGAAGAGTTCAAGGGACGGTTGTCTTCTCTGGACACCTCTGTC 300
 241- -+-----+-----+-----+-----+
 241 CTCAGTTGTATACGACTTCTCAAGTTCCCTGCCAACAGAAGAGGAACCTGTGGAGACAG
 241 E S T Y A E E F K G R F V F S L D T S V

 301 AGCACGGCATATCTGCAGATCACCAGCCTCACGGCTGAGGACACTGGCATGTATTCGT 360
 301- -+-----+-----+-----+-----+
 301 TCGTGCCGTATAGACGTCTAGTGGTCGGAGTGCGACTCCTGTGACCGTACATAAAGACA
 301 S T A Y L Q I T S L T A E D T G M Y F C

 361 GAGAGAGTCGGCTACGATGCTTGGACTACTGGGGCCAGGGAACCCCTGGTCACCGTCTCC 420
 361- -+-----+-----+-----+-----+
 361 CGCTTTCAGCCGATGCTACGAAACCTGATGACCCCGTCCCTGGGACCGTGGCAGAGG
 361 V R V G Y D A L D Y W G Q G T L V T V S

 421 TCAGGTGAGTGGATCC 436
 421- -+-----+
 421 AGTCCACTCACCTAGG

 S

FIGURE 13

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1 AAGCTTGCCGCCACCATGGACTGGACCTGGAGGATCCTCTTGGTGGCAGCAGCAACA 60
 1 - +-----+-----+-----+-----+-----+-----+
 1 TTCAACGGCGGTGGTACCTGACCTGGACCTCCTAGGAGAAGAACCAACCGTCGTTGT
 M D W T W R I L F L V A A A T

 61 GGTGCCCACTCCCAGGTGCAGCTGGTGCATCTGGGTCTGAGCTTAAGAACGCTGGGGCC 120
 61 - +-----+-----+-----+-----+-----+-----+
 61 CCACGGGTGAGGGTCCACGTCGACCACGTTAGACCCAGACTCGAATTCTGGACCCCGG
 G A H S Q V Q L V Q S G S E L K K P G A

 121 TCAGTGAAGATCTCCTGCAAGGCTCTGGATATACTTCACAAACTATGGAATGAACTGG 180
 121 - +-----+-----+-----+-----+-----+-----+
 121 AGTCACTTCTAGAGGAACGTTCCGAAGACCTATATGAAAGTGTGATAACCTTACTTGACC
 S V K I S C K A S G Y T F T N Y G M N W

 181 GTGCGACAGGCCCTGGACAAGGGCTTCAGTGGATGGATGGATAAACACCGACAGTGG 240
 181 - +-----+-----+-----+-----+-----+-----+
 181 CACGCTGTCCGGGACCTGTTCCGAAGTCACCTACCCCTACCTATTGTGGCTGTCACCT
 V R Q A P G Q G L Q W M G W I N T D S G

 241 GAGTCAACATATGCTGAAGAGTTCAAGGGACGGTTGTCTTCTCCTGGACACCTCTGTC 300
 241 - +-----+-----+-----+-----+-----+-----+
 241 CTCAGTTGTATACGACTTCTCAAGTTCCCTGCCAACAGAAGAGGAACCTGTGGAGACAG
 E S T Y A E E F K G R F V F S L D T S V

 301 AACACGGCATATCTGCAGATCACCAGCCTCACGGCTGAGGACACTGGCATGTATTCCTGT 360
 301 - +-----+-----+-----+-----+-----+-----+
 301 TTGTGCCGTATAGACGTCTAGTGGTGGACTCCCTGTGACCGTACATAAGACA
 N T A Y L Q I T S L T A E D T G M Y F C

 361 GCGAAAGTCGGCTACGATGCTTGGACTACTGGGGCCAGGGAACCTGGTACCGTCTCC 420
 361 - +-----+-----+-----+-----+-----+-----+
 361 CACTCTCAGCCGATGCTACGAAACCTGATGACCCCGTCCCTGGGACCGAGTGGCAGAGG
 A K V G Y D A L D Y W G Q G T L V T V S

 421 TCAGGTGAGTGGATCC 436
 421 - +-----+-----+-----+
 421 AGTCCACTCACCTAGG
 S

FIGURE 14.

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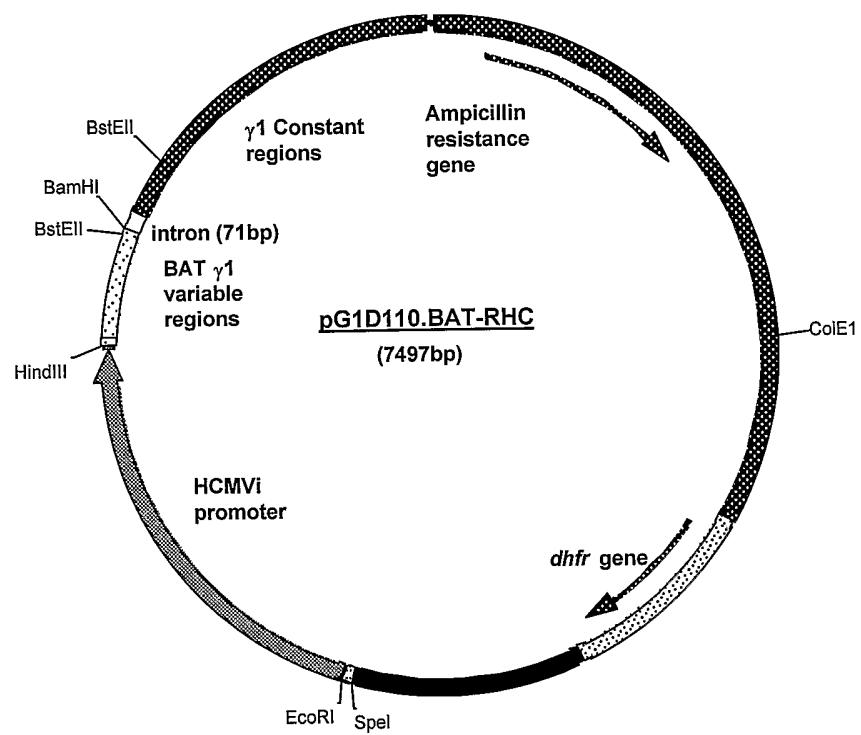


FIGURE 15

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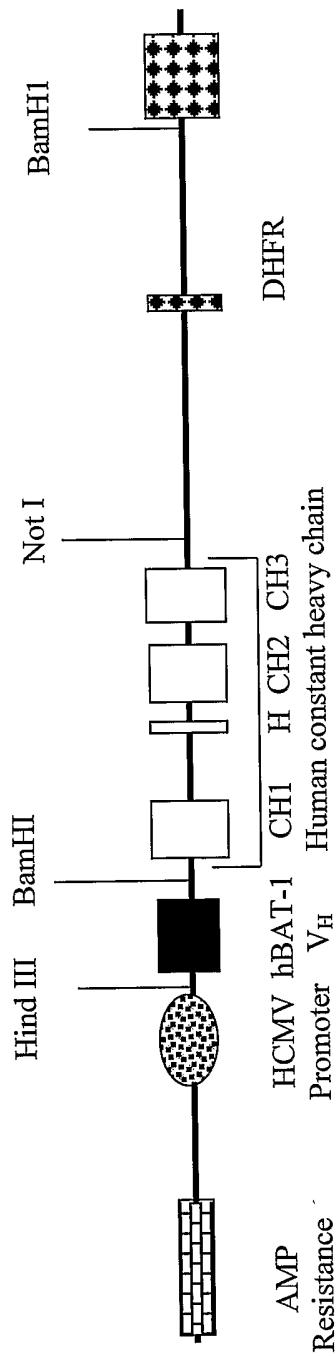


FIGURE 16

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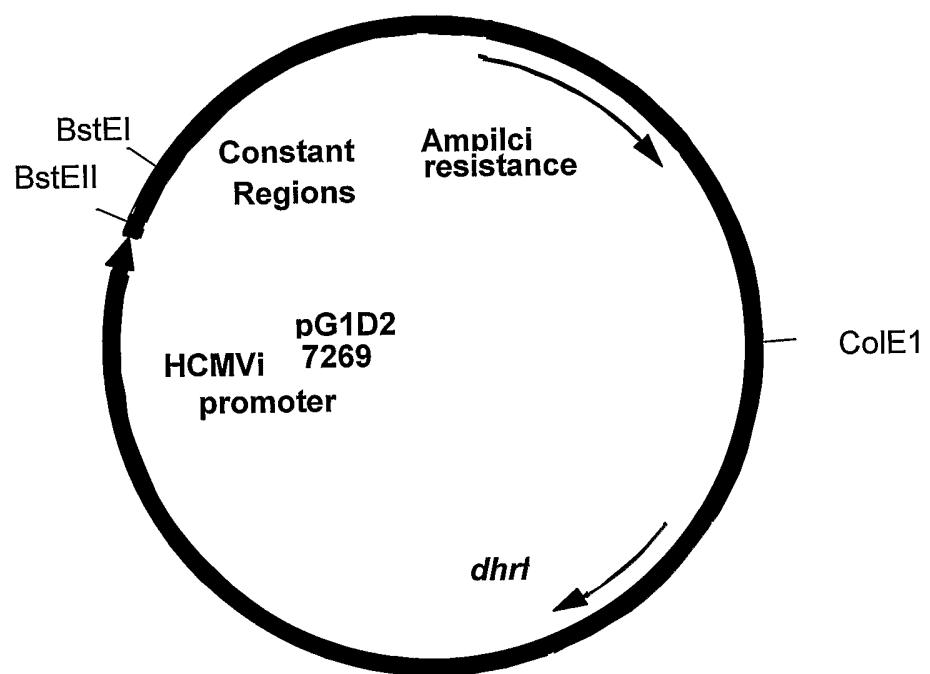


FIGURE 17

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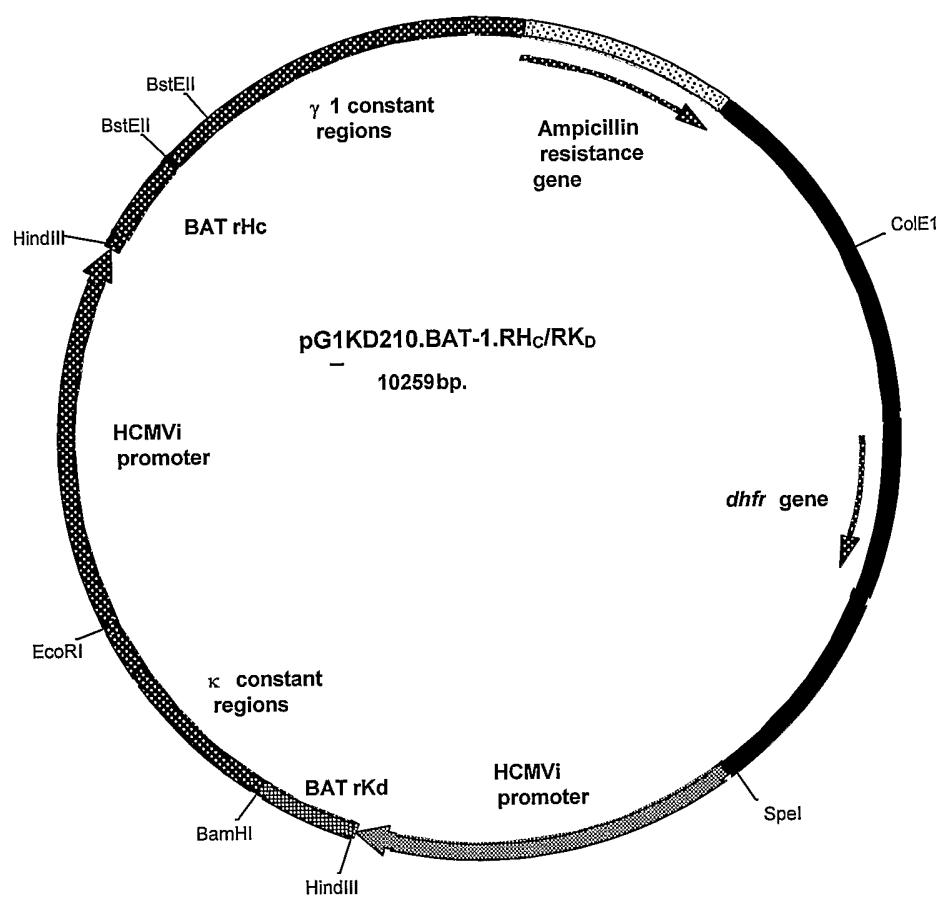
**FIGURE 18**



FIGURE 19

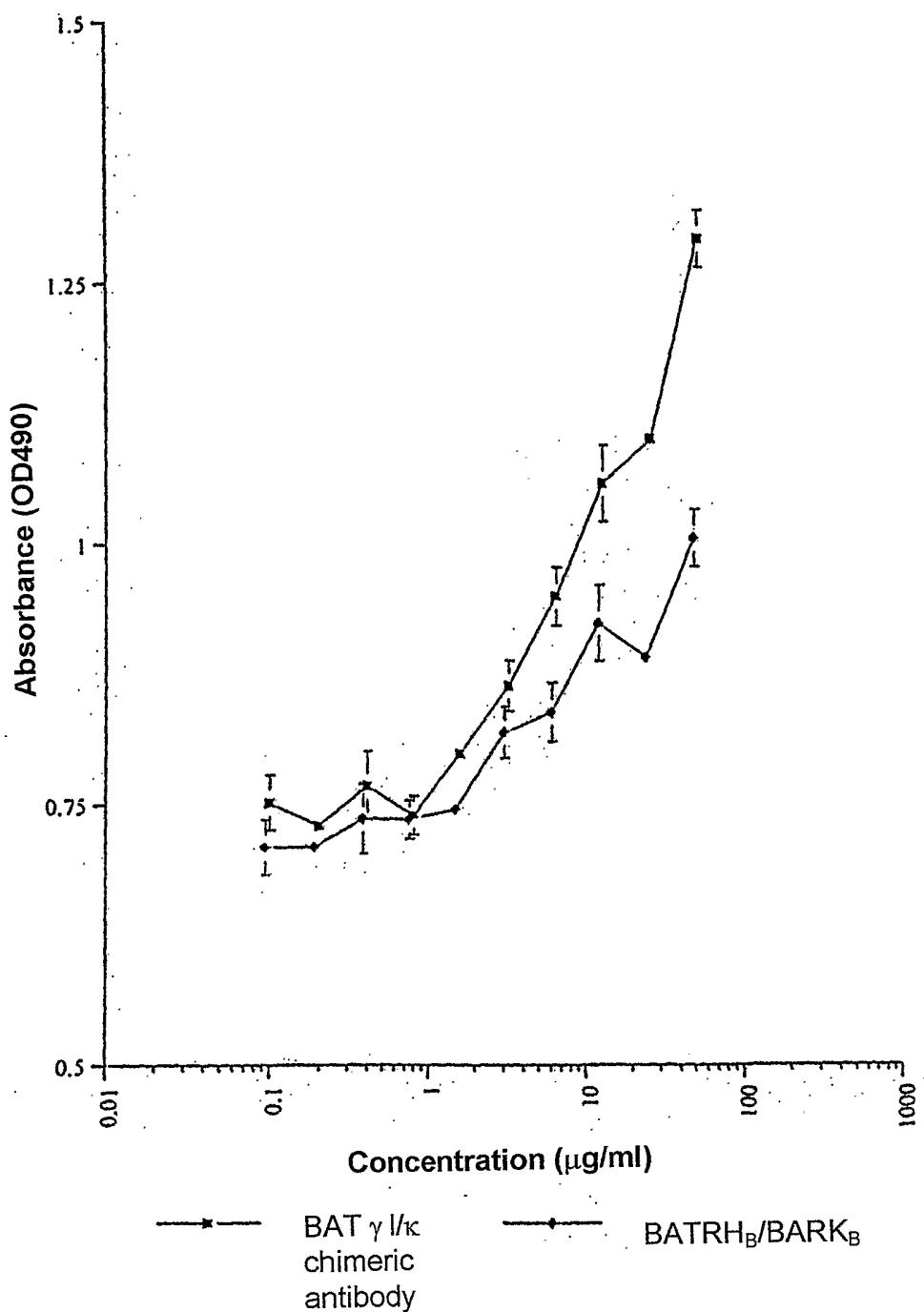


FIGURE 20

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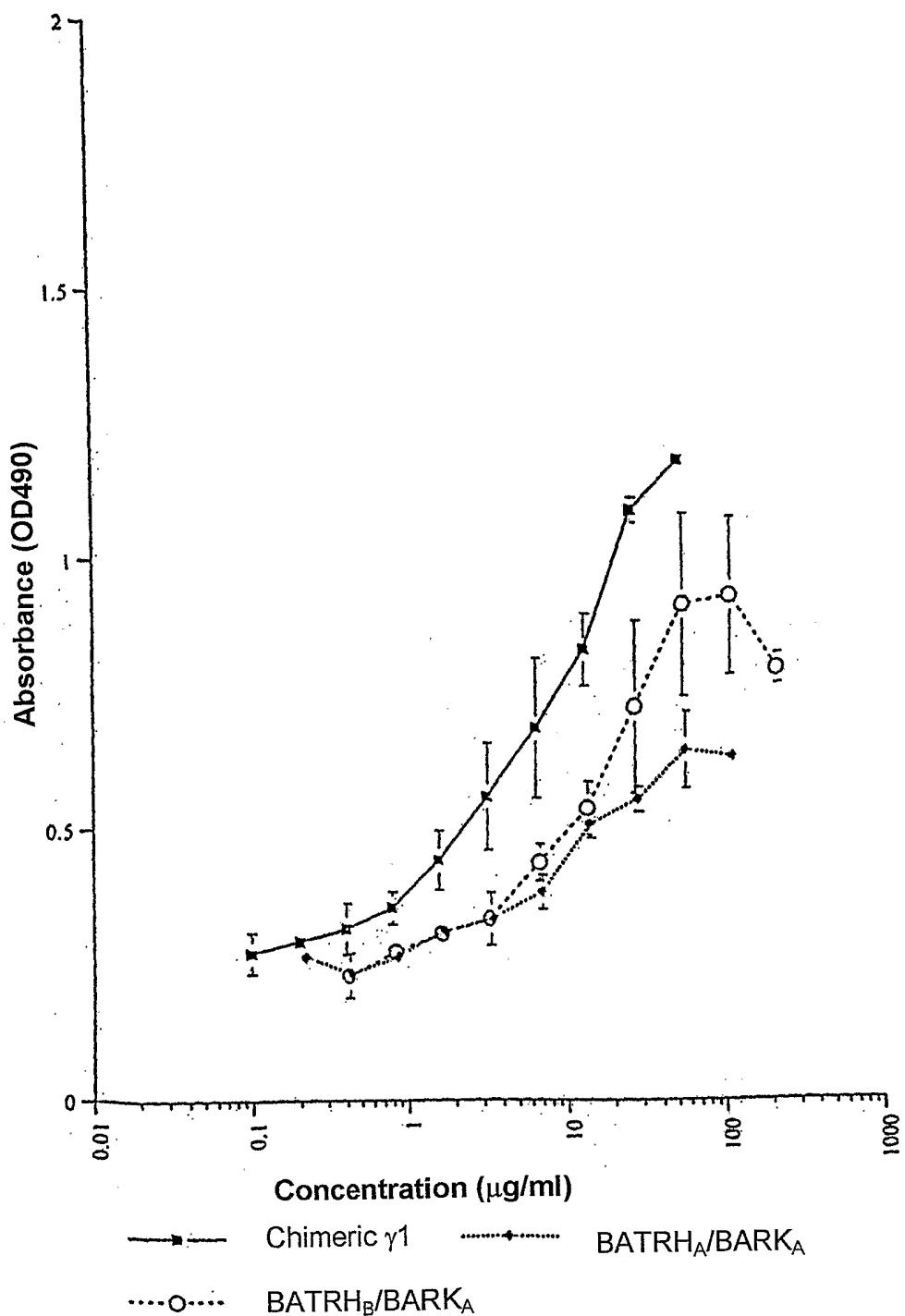


FIGURE 21

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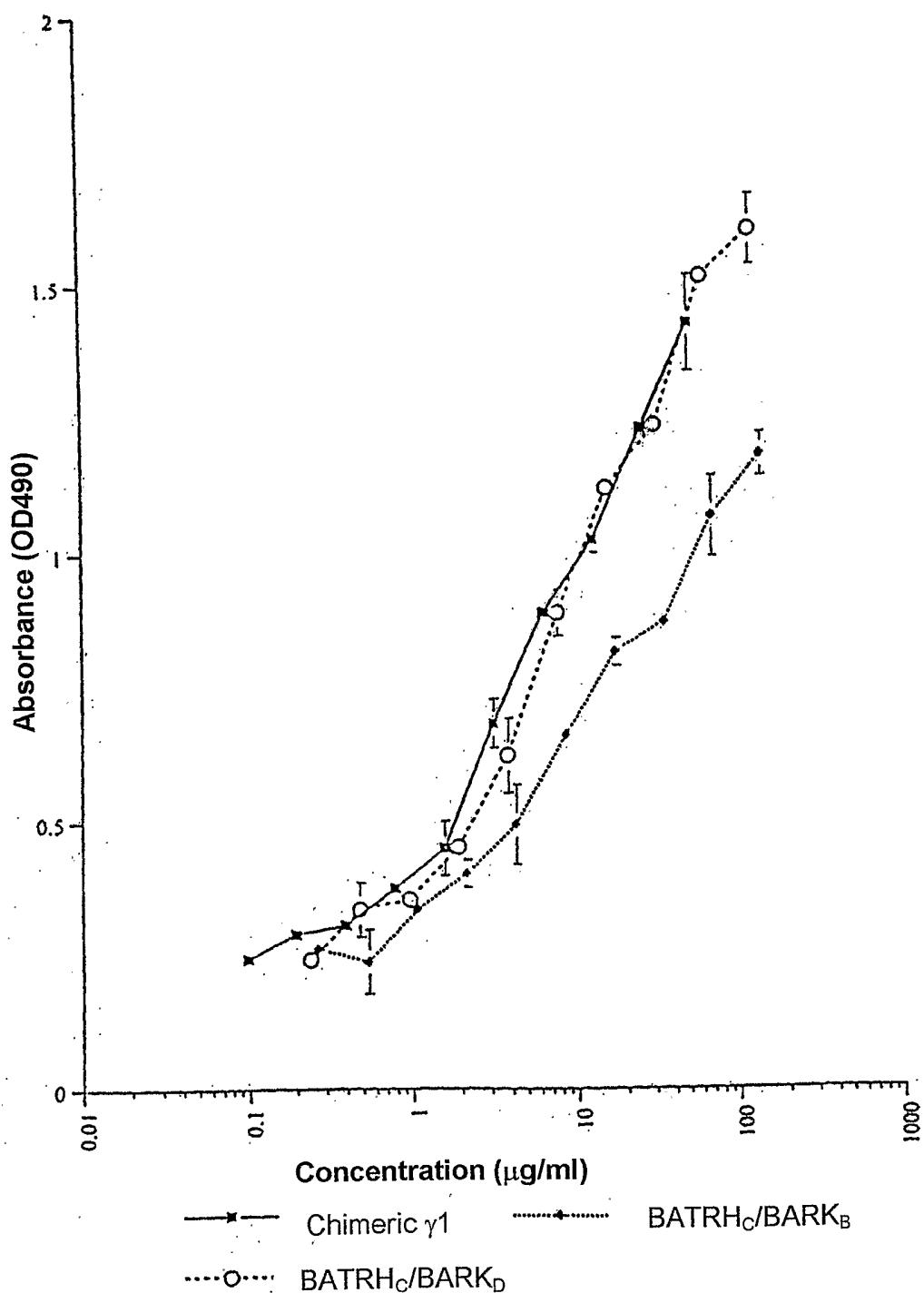


FIGURE 22

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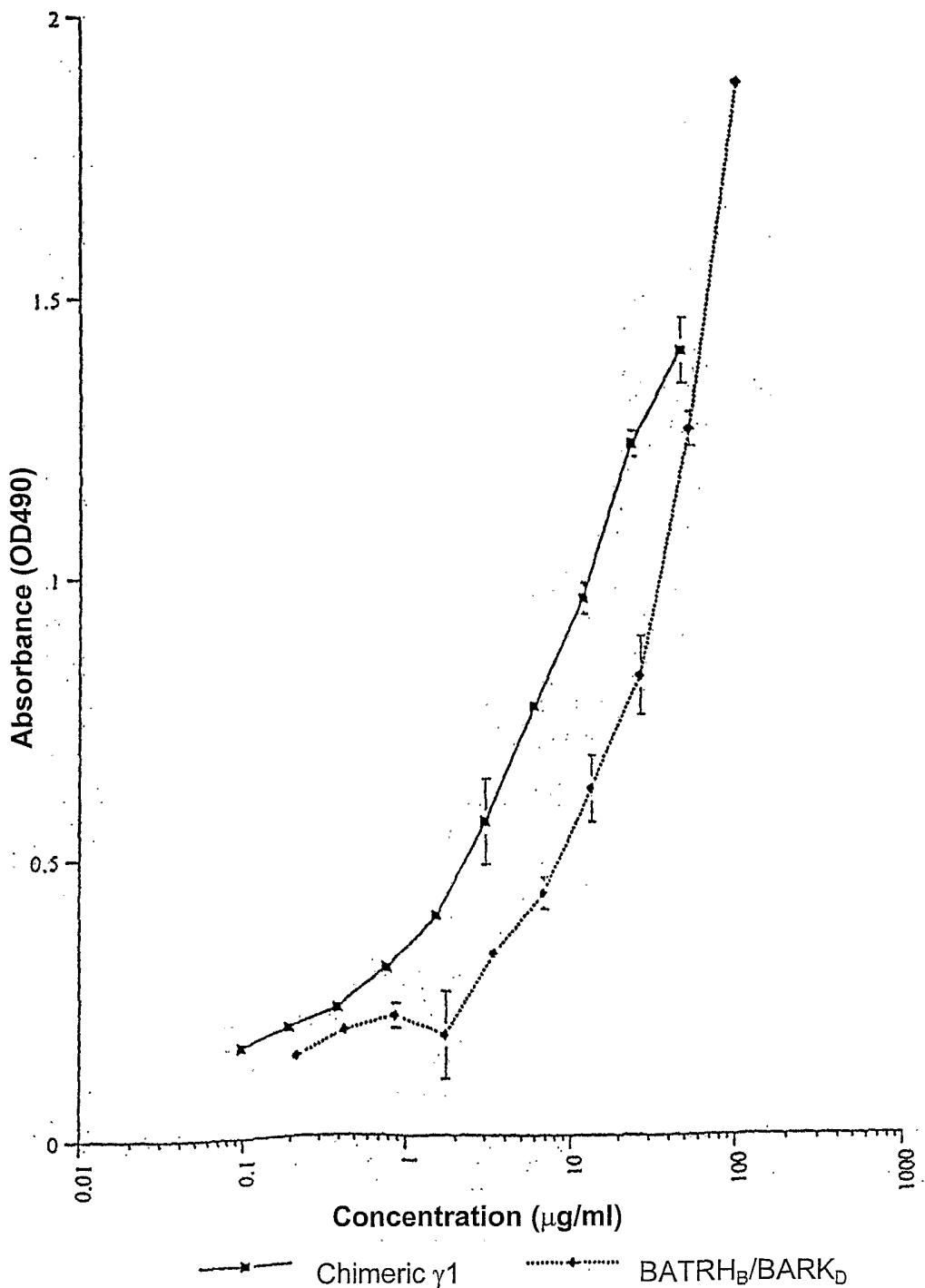


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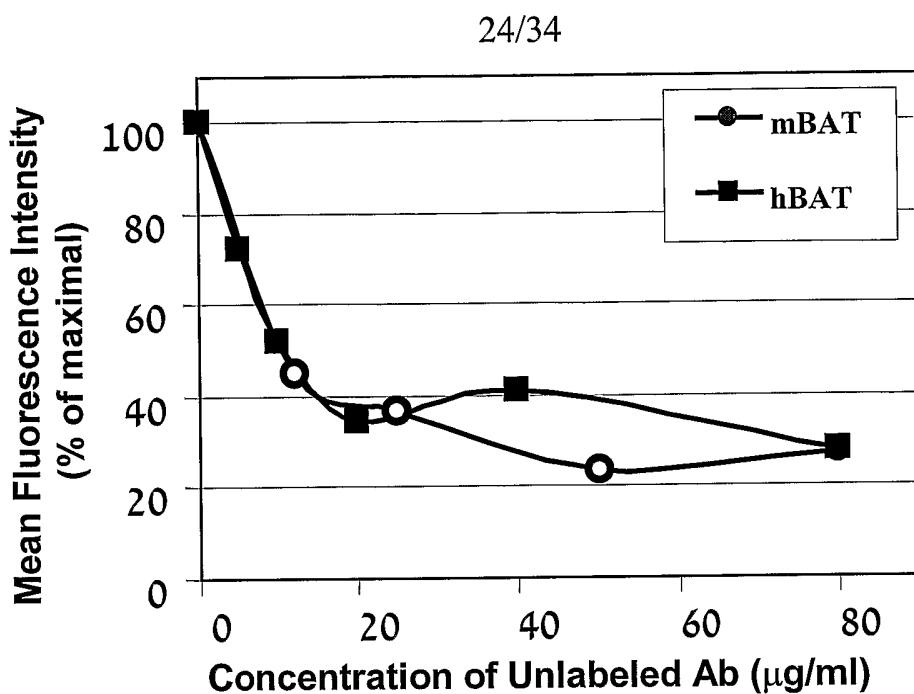


FIGURE 24

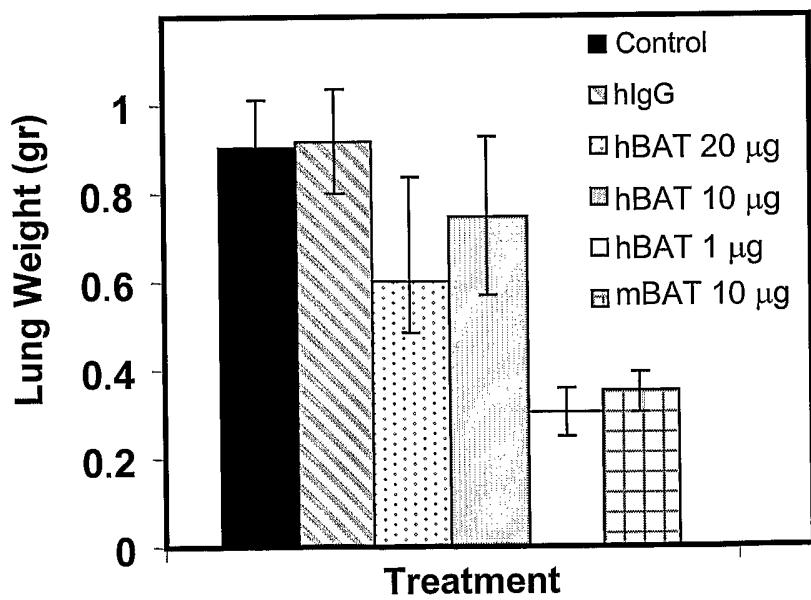


FIGURE 25

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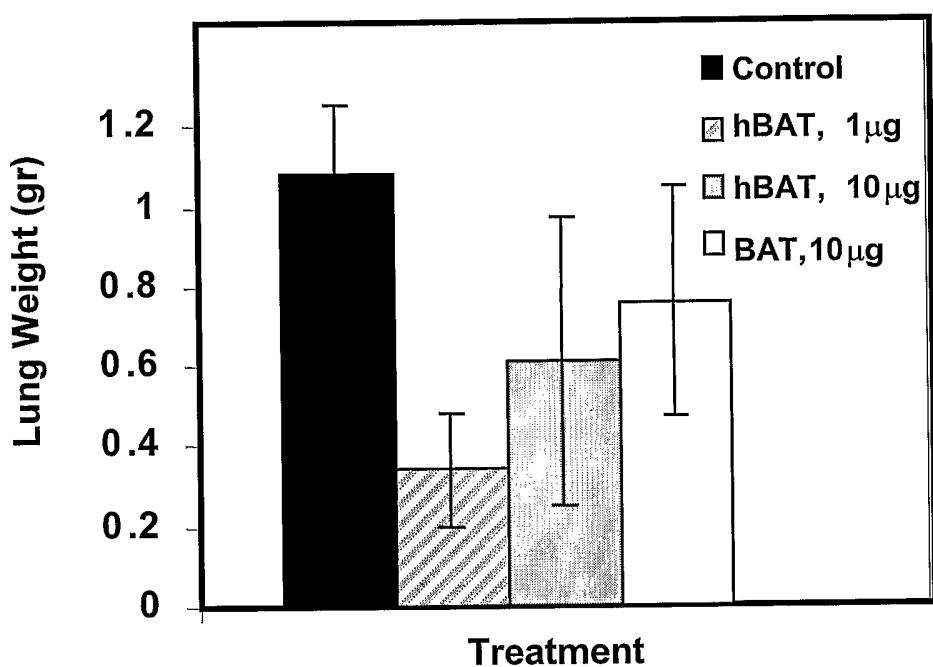


FIGURE 26

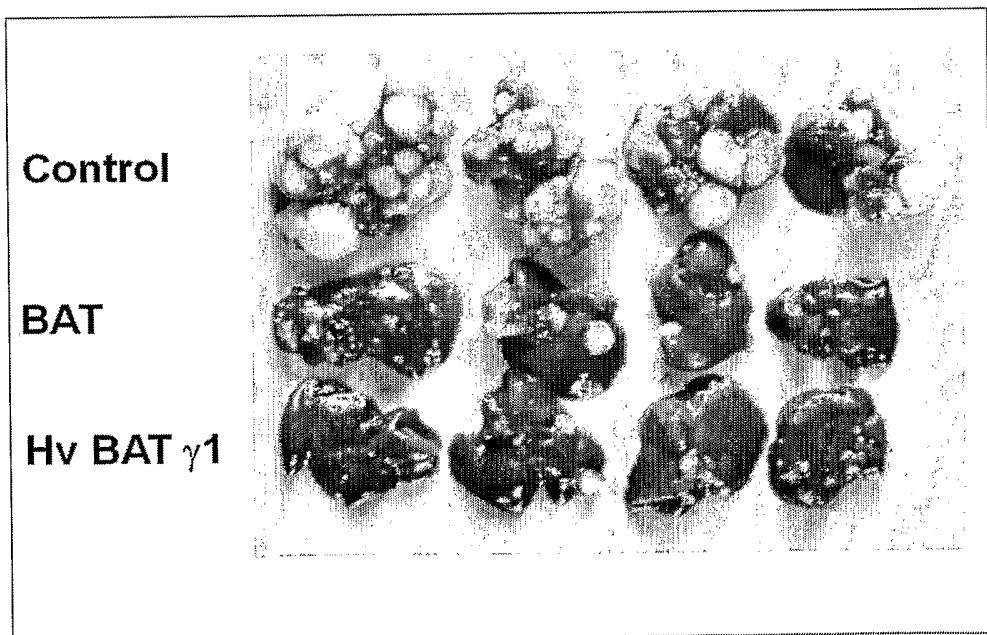


FIGURE 27

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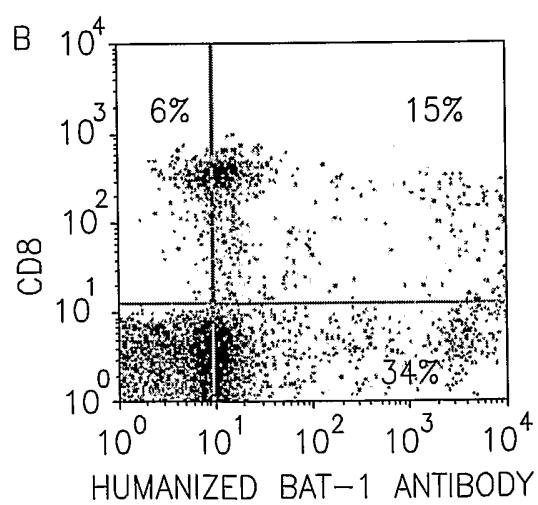
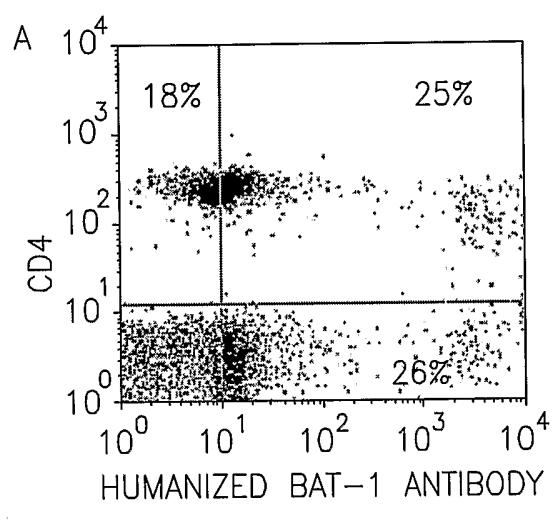


FIGURE 28

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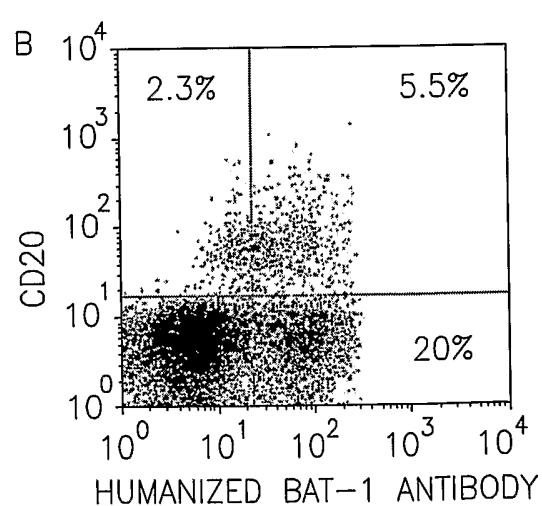
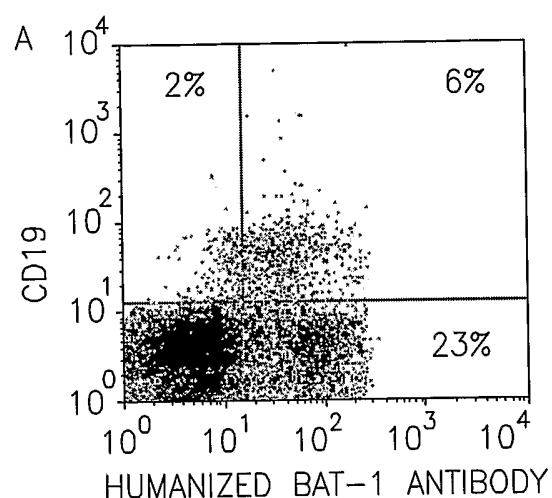


FIGURE 29

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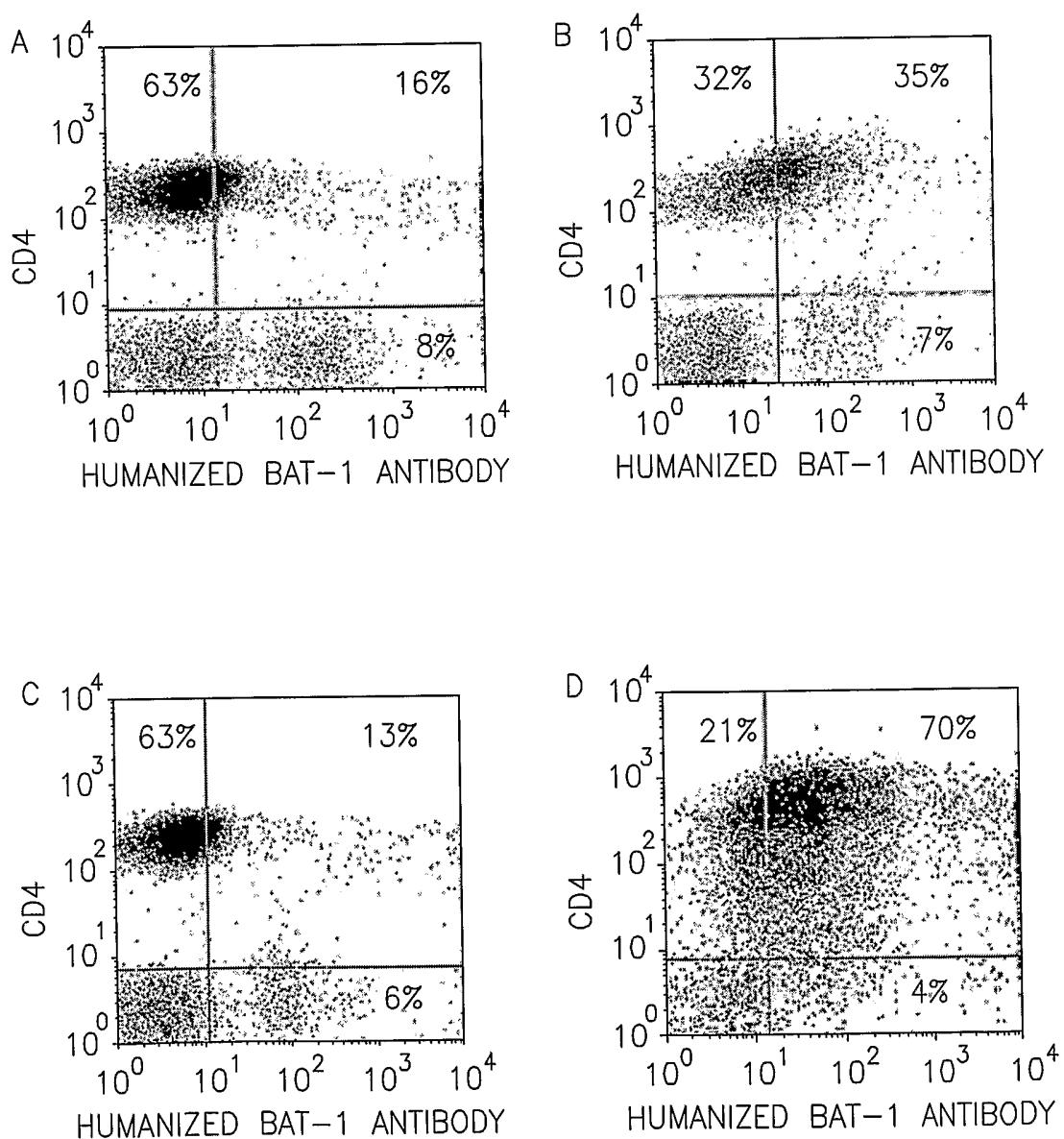


FIGURE 30

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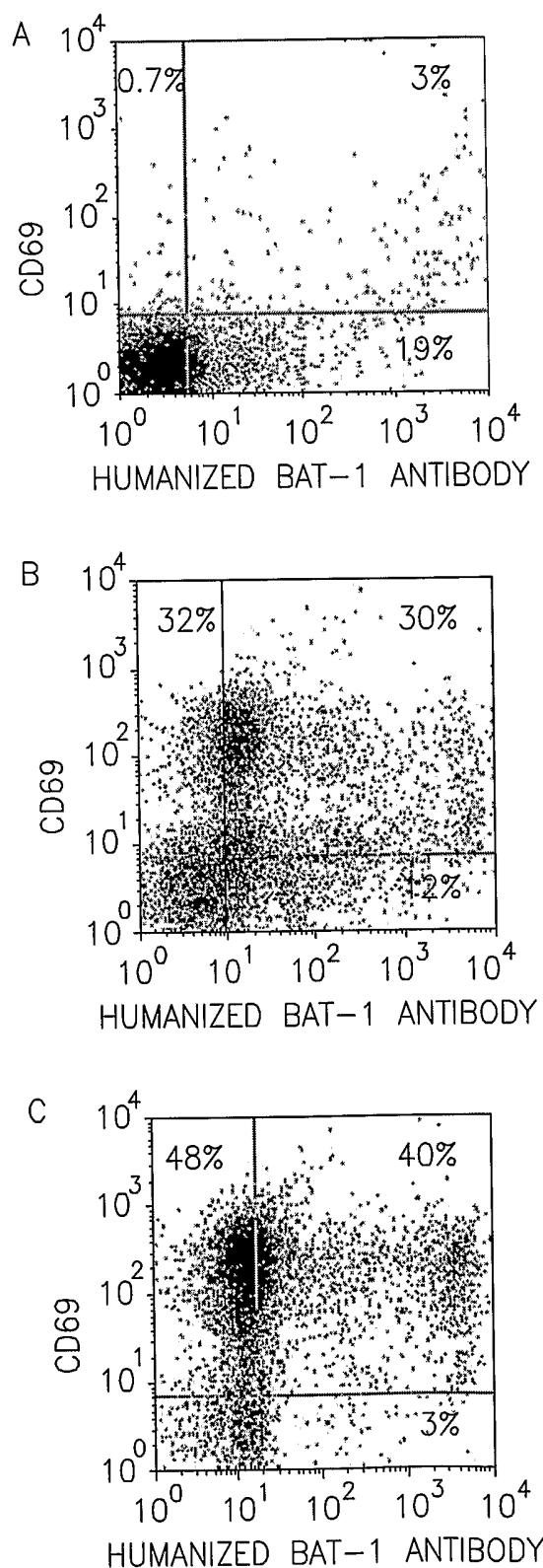


FIGURE 31

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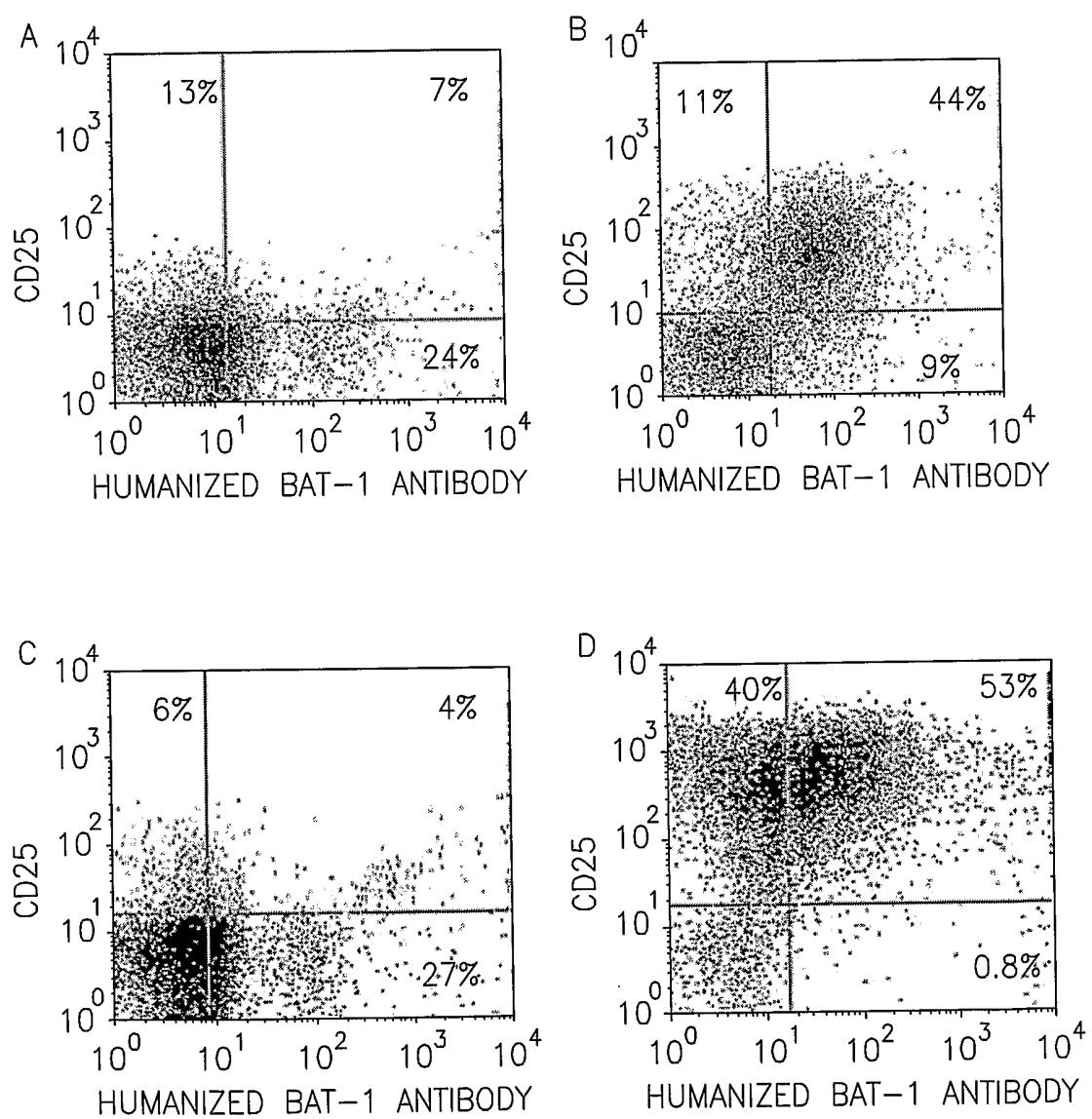


FIGURE 32

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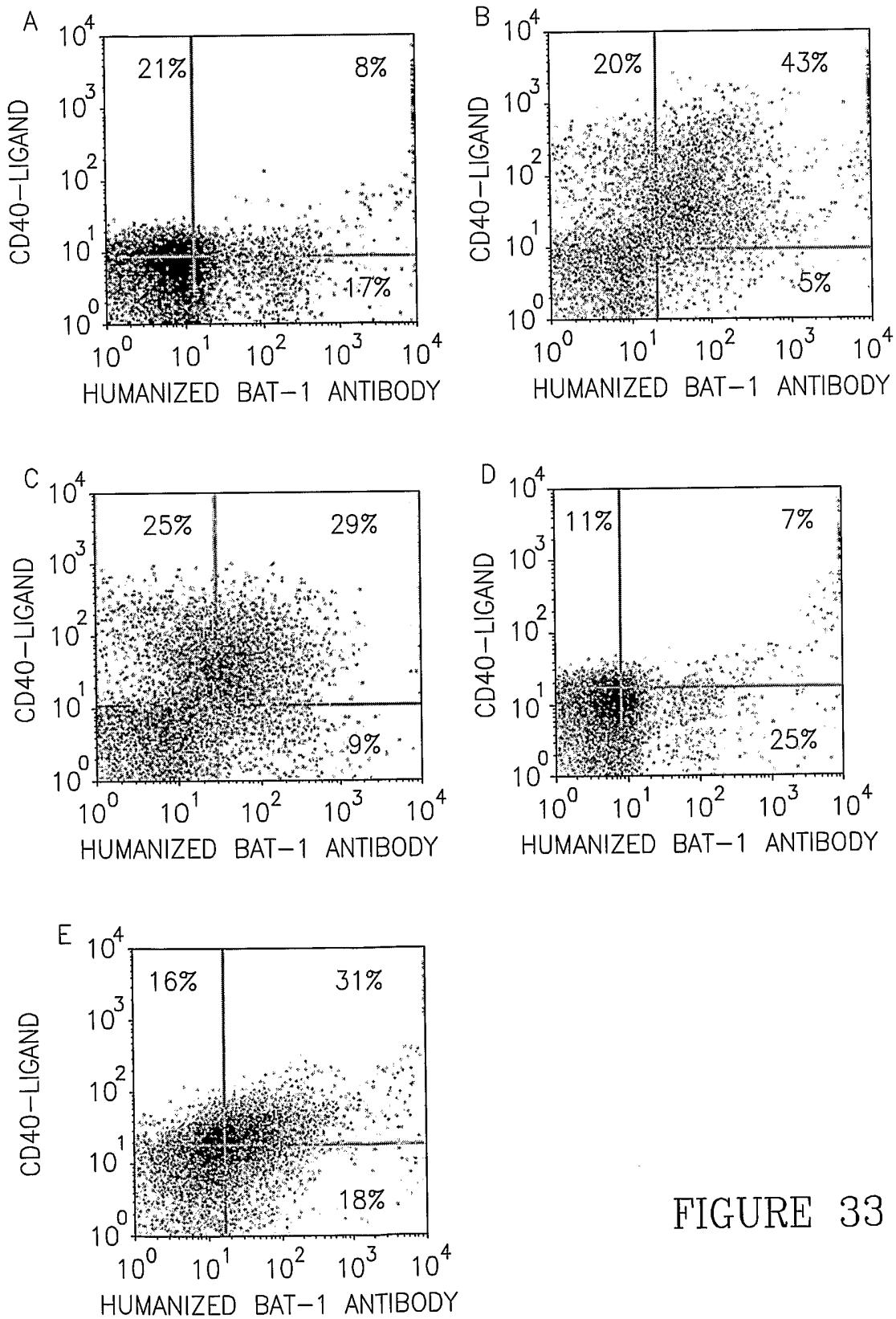
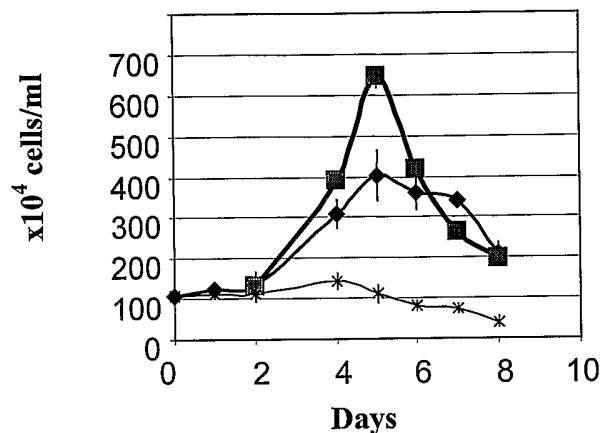


FIGURE 33

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A



B

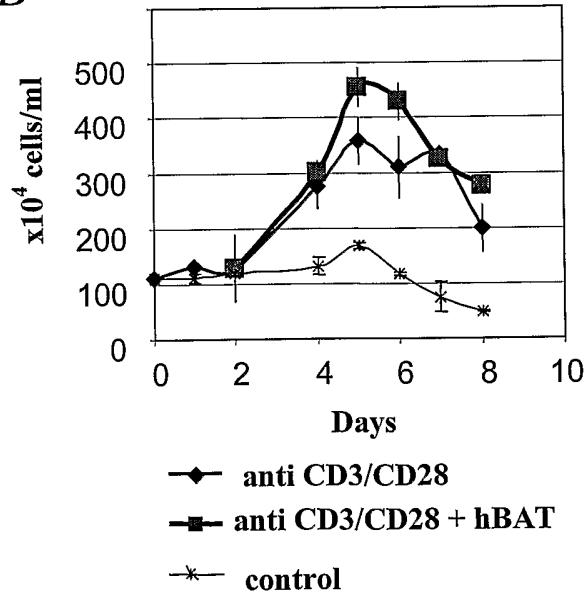


FIGURE 34

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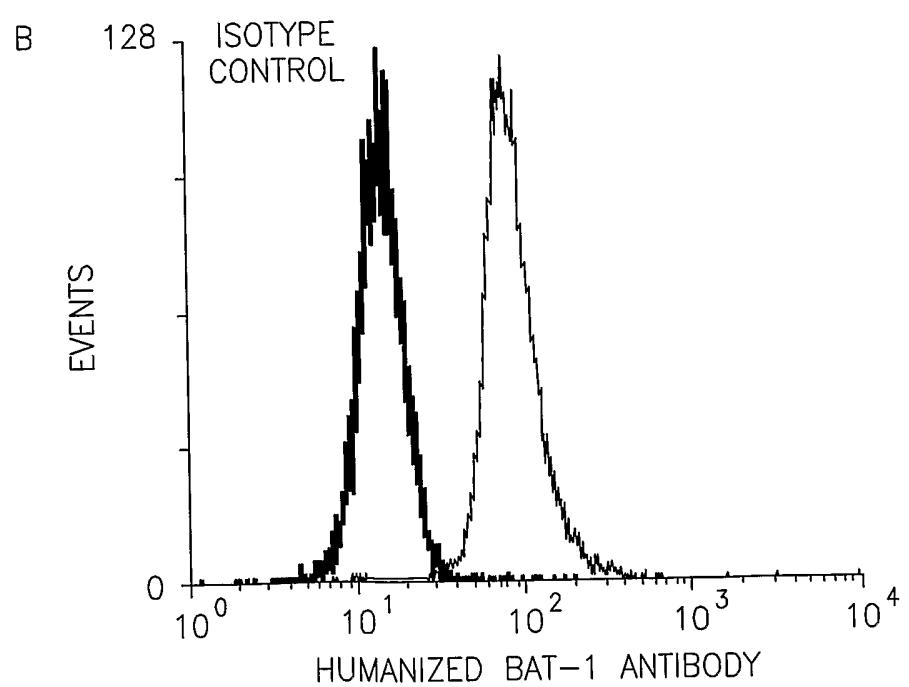
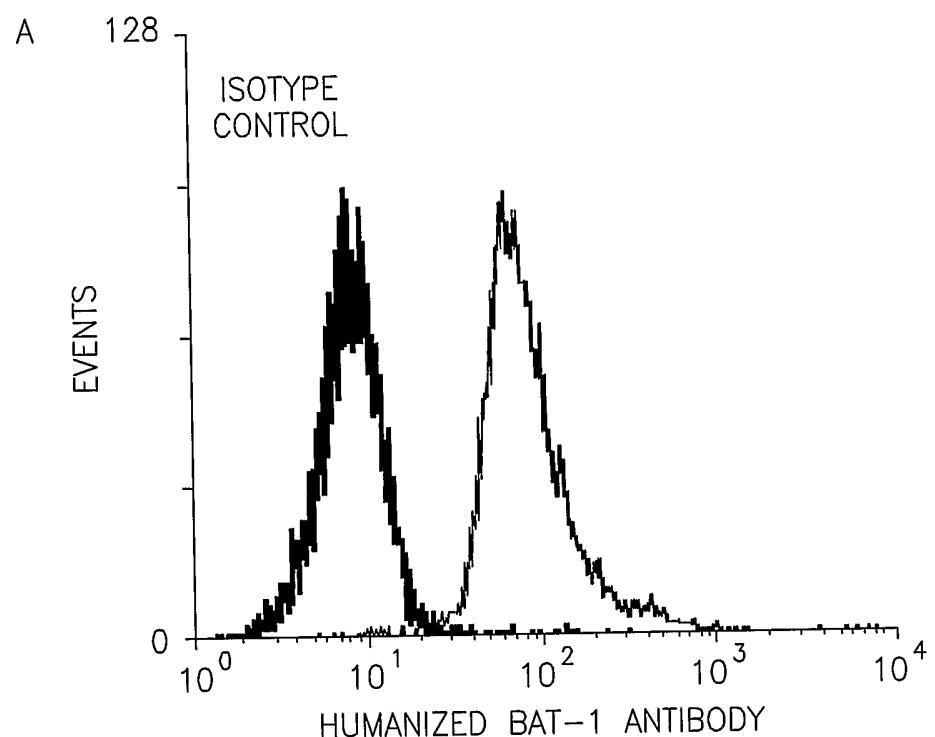


FIGURE 35

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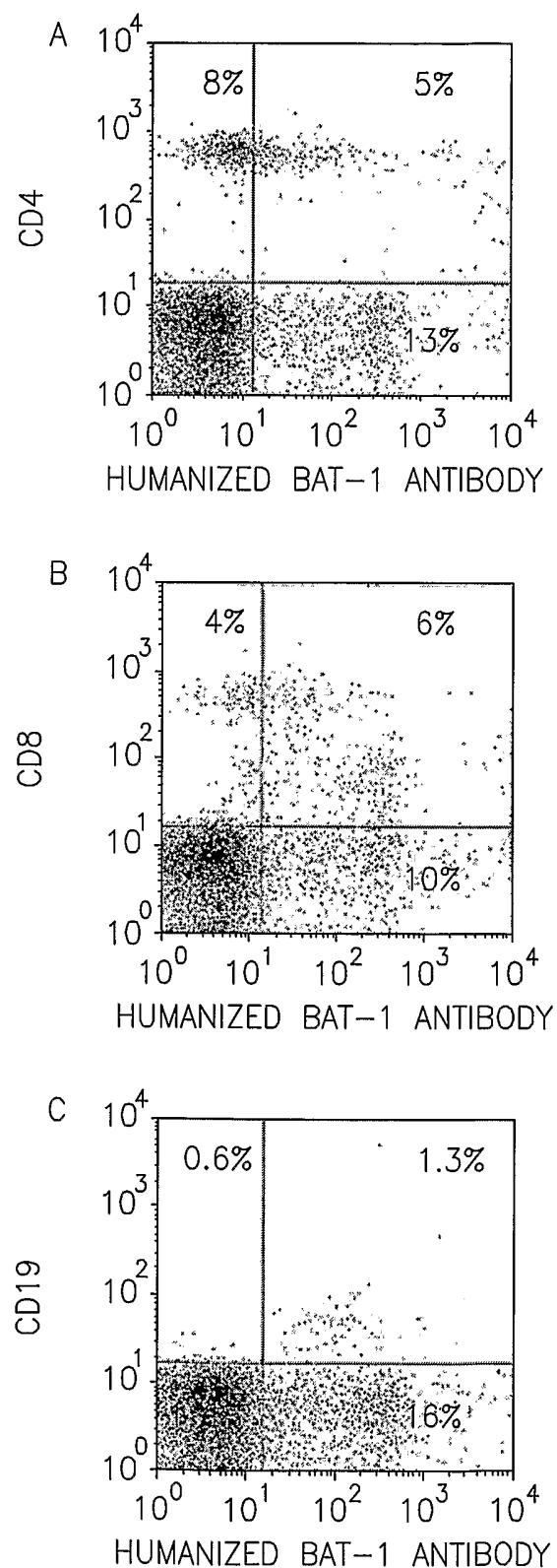


FIGURE 36

SEQ LIST CT004 PCT
SEQUENCE LISTING

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MOR - RESEARCH APPLICATIONS LTD.

<120> HUMANIZED IMMUNOMODULATORY MONOCLONAL ANTIBODIES FOR THE TREATMENT OF NEOPLASTIC DISEASE OR IMMUNODEFICIENCY

<130> CT/004 PCT

<150> IL 149820
<151> 2002-05-23

<160> 93

<170> PatentIn version 3.1

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Ile Thr Ser Leu Xaa Ala Glu Asp Thr Gly Met Tyr Phe Cys Xaa Xaa
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35 40 45

Arg Thr Ser Asn Leu Ala Ser Gly Val Pro Ser Arg Phe Ser Gly Ser
50 55 60

Gly Ser Gly Thr Asp Phe Thr Leu Thr Ile Asn Ser Leu Gln Pro Glu
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Phe Gly Gly Gly Thr Lys Leu Glu Ile Lys
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35 40 45

Arg Thr Ser Asn Leu Ala Ser Gly Val Pro Ser Arg Phe Ser Gly Ser
50 55 60

Gly Ser Gly Thr Asp Tyr Thr Leu Thr Ile Asn Ser Leu Gln Pro Glu
Page 5

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Phe Gly Gly Gly Thr Lys Leu Glu Ile Lys
100 105

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Asp Arg Val Thr Ile Thr Cys Ser Ala Arg Ser Ser Val Ser Tyr Met
20 25 30

His Trp Phe Gln Gln Lys Pro Gly Lys Ala Pro Lys Leu Trp Ile Tyr
35 40 45

Arg Thr Ser Asn Leu Ala Ser Gly Val Pro Ser Arg Phe Ser Gly Ser
50 55 60

Gly Ser Gly Thr Asp Tyr Cys Leu Thr Ile Asn Ser Leu Gln Pro Glu
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Asp Phe Ala Thr Tyr Tyr Cys Gln Gln Arg Ser Ser Phe Pro Leu Thr
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Phe Gly Gly Gly Thr Lys Leu Glu Ile Lys
100 105

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20 25 30

His Trp Phe Gln Gln Lys Pro Gly Lys Ala Pro Lys Leu Trp Ile Tyr
35 40 45

Arg Thr Ser Asn Leu Ala Ser Gly Val Pro Ser Arg Phe Ser Gly Ser
50 55 60

SEQ LIST CT004 PCT

Gly Ser Gly Thr Asp Tyr Cys Leu Thr Ile Asn Ser Leu Gln Pro Glu
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Phe Gly Gly Gly Thr Lys Leu Glu Ile Lys
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Met Ser Ala Ser Pro Gly Glu Lys Val Thr Ile Thr Cys Ser Ala Arg
35 40 45

Ser Ser Val Ser Tyr Met His Trp Phe Gln Gln Lys Pro Gly Thr Ser
50 55 60

Pro Lys Leu Trp Ile Tyr Arg Thr Ser Asn Leu Ala Ser Gly Val Pro
65 70 75 80

Ala Arg Phe Ser Gly Ser Gly Thr Ser Tyr Cys Leu Thr Ile
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Ser Arg Met Glu Ala Glu Asp Ala Ala Thr Tyr Tyr Cys Gln Gln Arg
100 105 110

Ser Ser Phe Pro Pro Leu Thr Phe Gly Ser Gly Thr Lys Leu Glu Ile
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Lys

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Ser Val Lys Ile Ser Cys Lys Ala Ser Gly Tyr Ser Phe Ser Asn Tyr
Page 7

SEQ LIST CT004 PCT
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Gly Trp Ile Asn Thr Asp Ser Gly Glu Ser Thr Tyr Ala Glu Glu Phe
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Lys Gly Arg Phe Val Phe Ser Leu Asp Thr Ser Val Ser Thr Ala Tyr
65 70 75 80

Leu Gln Ile Thr Ser Leu Thr Ala Glu Asp Thr Gly Met Tyr Phe Cys
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Ala Lys Val Gly Tyr Asp Ala Leu Asp Tyr Trp Gly Gln Gly Thr Leu
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Val Thr Val Ser Ser
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20 25 30

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35 40 45

Gly Trp Ile Asn Thr Asp Ser Gly Glu Ser Thr Tyr Ala Glu Glu Phe
50 55 60

Lys Gly Arg Phe Val Phe Ser Leu Asp Thr Ser Val Ser Thr Ala Tyr
65 70 75 80

Leu Gln Ile Thr Ser Leu Thr Ala Glu Asp Thr Gly Met Tyr Phe Cys
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Ala Lys Val Gly Tyr Asp Ala Leu Asp Tyr Trp Gly Gln Gly Thr Leu
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35 40 45

Gly Trp Ile Asn Thr Asp Ser Gly Glu Ser Thr Tyr Ala Glu Glu Phe
50 55 60

Lys Gly Arg Phe Val Phe Ser Leu Asp Thr Ser Val Asn Thr Ala Tyr
65 70 75 80

Leu Gln Ile Thr Ser Leu Thr Ala Glu Asp Thr Gly Met Tyr Phe Cys
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Val Arg Val Gly Tyr Asp Ala Leu Asp Tyr Trp Gly Gln Gly Thr Leu
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Val Thr Val Ser Ser
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Ser Val Lys Ile Ser Cys Lys Ala Ser Gly Tyr Thr Phe Thr Asn Tyr
20 25 30

Gly Met Asn Trp Val Arg Gln Ala Pro Gly Gln Gly Leu Gln Trp Met
35 40 45

Gly Trp Ile Asn Thr Asp Ser Gly Glu Ser Thr Tyr Ala Glu Glu Phe
50 55 60

Lys Gly Arg Phe Val Phe Ser Leu Asp Thr Ser Val Asn Thr Ala Tyr
65 70 75 80

Leu Gln Ile Thr Ser Leu Thr Ala Glu Asp Thr Gly Met Tyr Phe Cys
85 90 95

SEQ LIST CT004 PCT
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Val Thr Val Ser Ser
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<400> 24

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Gly Met Asn Trp Val Lys Gln Ala Pro Gly Gln Gly Leu Lys Trp Met
 35 40 45

Gly Trp Ile Asn Thr Asp Ser Gly Glu Ser Thr Tyr Ala Glu Glu Phe
 50 55 60

Lys Gly Arg Phe Ala Phe Ser Leu Asp Thr Ser Val Asn Thr Ala Tyr
 65 70 75 80

Leu Gln Ile Thr Ser Leu Asn Ala Glu Asp Thr Gly Met Tyr Phe Cys
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Val Arg Val Gly Tyr Asp Ala Leu Asp Tyr Trp Gly Gln Gly Thr Leu
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Val Thr Val Ser Ser
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SEQ LIST CT004 PCT

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Pro Gly Glu Thr Val Lys Ile Ser Cys Lys Ala Ser Gly Tyr Thr Phe
35 40 45

Thr Asn Tyr Gly Met Asn Trp Val Lys Gln Ala Pro Gly Lys Gly Leu
50 55 60

Lys Trp Met Gly Trp Ile Asn Thr Asp Ser Gly Glu Ser Thr Tyr Ala
65 70 75 80

Glu Glu Phe Lys Gly Arg Phe Ala Phe Ser Leu Glu Thr Ser Ala Asn
85 90 95

Thr Ala Tyr Leu Gln Ile Asn Asn Leu Asn Asn Glu Asp Thr Ala Thr
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Tyr Phe Cys Val Arg Val Gly Tyr Asp Ala Leu Asp Tyr Trp Gly Gln
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Gly Thr Ser Val Thr Val Ser Ser
130 135

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 <211> 408
 <212> DNA
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gaagagttca	agggacggtt	tgccttctct	ttggaaacct	ctgccaacac	tgcctatttg	300
cagatcaaca	acctcaacaa	tgaggacacg	gctacatatt	tctgtgtgag	agtccggctac	360
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SEQ LIST CT004 PCT

<400> 28

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20 25 30

Leu Asn Trp Tyr Gln Gln Lys Pro Gly Lys Ala Pro Lys Leu Leu Ile
35 40 45

Tyr Ala Ala Ser Thr Leu Gln Ser Gly Val Pro Ser Arg Phe Ser Gly
50 55 60

Ser Gly Ser Gly Thr Asp Phe Thr Leu Thr Ile Asn Ser Leu Gln Pro
65 70 75 80

Glu Asp Phe Ala Thr Tyr Tyr Cys Gln Gln Thr Asn Ser Phe Pro Leu
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Thr Phe Gly Gly Thr Lys Leu Glu Ile Lys
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<211> 120

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<400> 29

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1 5 10 15

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20 25 30

Ala Ile Asn Trp Val Arg Gln Ala Pro Gly Gln Gly Leu Gln Trp Met
35 40 45

Gly Trp Ile Asn Thr Asn Thr Gly Ser Pro Thr Tyr Ala Gln Gly Phe
50 55 60

Thr Gly Arg Phe Val Phe Ser Leu Asp Thr Ser Val Ser Thr Ala Tyr
65 70 75 80

Leu Gln Ile Thr Ser Leu Thr Ala Glu Asp Thr Gly Met Tyr Phe Cys
85 90 95

Ala Lys Glu Ser His Ser Ser Ala Leu Asp Leu Asp Tyr Trp Gly Gln
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SEQ LIST CT004 PCT

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