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SPRUSON &amp; FERGUSON

AUSTRALIA

PATENTS ACT 1990

## PATENT REQUEST: STANDARD PATENT

I/We, the Applicant(s)/Nominated Person(s) specified below, request I/We be granted a patent for the invention disclosed in the accompanying standard complete specification.

## [70,71] Applicant(s)/Nominated Person(s):

Eli Lilly and Company, a corporation organised and existing under the laws of the State of Indiana, of Lilly Corporate Center, City of Indianapolis, State of Indiana, UNITED STATES OF AMERICA; The Arizona Board of Regents, of 1430 East Fort Lowell Road, Suite 200, City of Tucson, Arizona, UNITED STATES OF AMERICA

## [54] Invention Title:

Inhibition of Phosphatidylinositol 3-kinase With Wortmannin and Analogs Thereof

## [72] Inventor(s):

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## Details of Basic Application(s):

## [31] Appl'n No(s):

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## [33] Country:

US

## [32] Application Date:

19 July 1993

Basic Applicant(s): Rosanne Bonjouklian, Garth Powis and Chris John Vlahos

In accordance with Regulation 3.25, samples of materials deposited in accordance with the Budapest Treaty in relation to this Patent Request are only to be provided before: the patent is granted on the application; or the application has lapsed or been withdrawn or refused; to a person who is: a skilled addressee without an interest in the invention; and nominated by a person who makes a request for the furnishing of those samples.

DATED this EIGHTEENTH day of JULY 1994

Eli Lilly and Company, The Arizona Board of Regents

By:

\$ 047842 180794



Registered Patent Attorney

IRN: 273044

INSTR CODE: 53710

5845/2

SPRUSON &amp; FERGUSON

## Australia

Patents Act 1990

## Notice Of Entitlement

Arizona Board of Regents on behalf of  
The University of Arizona, *JK*  
We, Peter G Stringer, of Lilly Corporate Center, Indianapolis, Indiana 46285, United States  
of America, and Jeanne M Kleespie, of 1430 East Fort Lowell Road, Suite 200, Tucson,  
Arizona 85719, United States of America being authorised by the Applicants/Nominated  
Persons in respect of an application entitled:

**Inhibition of Phosphatidylinositol 3-kinase With Wortmannin and  
Analogues Thereof**

state the following:-

The Applicants/Nominated Persons have entitlement from the actual inventors as follows:-

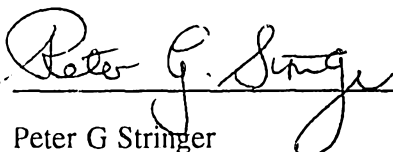
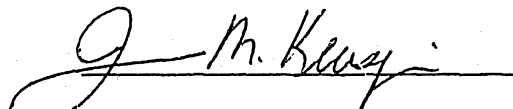
The Applicants/Nominated Persons are the assignees of the actual inventors.

The Applicants/Nominated Persons are entitled to rely on the basic application listed on the  
Patent Request as follows:

The Applicants/Nominated Persons are the assignees of the basic applicants.

The basic application listed on the Patent Request is the first application made in a Convention  
Country in respect of the invention.Eli Lilly and Company is the depositor of the following deposit with the Agricultural Research  
Service Culture Collection (NRRL), of 1815 North University Street, Peoria, Illinois 61604,  
United States of America and The Arizona Board of Regents have the consent of Eli Lilly and  
Company to rely on that deposit:

Microorganism	Deposit Date	Accession No.
Mold A24603.1	7 July 1993	NRRL 21122

Dated 09 August 1994Dated August 25, 1994 *JK*Arizona Board of Regents on behalf of  
The University of ArizonaPeter G Stringer  
Director, International PatentsJeanne M Kleespie  
Contracting Officer

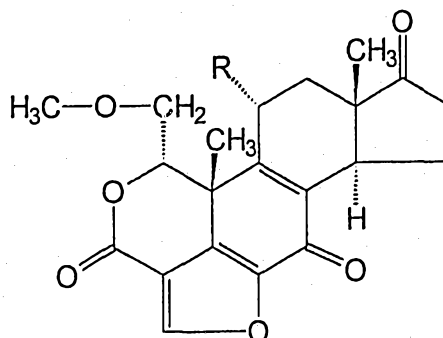


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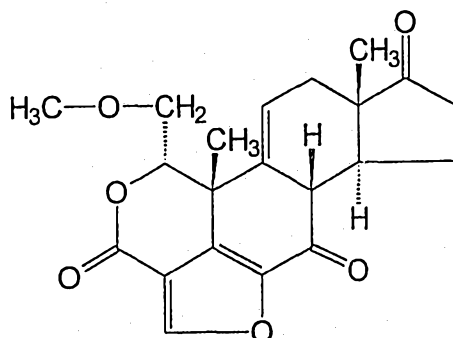
- (54) Title  
INHIBITION OF PHOSPHATIDYLINOSITOL 3-KINASE WITH WORTMANNIN AND ANALOGS THEREOF
- (51)<sup>5</sup> International Patent Classification(s)  
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- (71) Applicant(s)  
ELI LILLY AND COMPANY; THE ARIZONA BOARD OF REGENTS
- (72) Inventor(s)  
ROSANNE BONJOUKLIAN; GARTH POWIS; CHRIS JOHN VLAHOS
- (74) Attorney or Agent  
SPRUSON & FERGUSON, GPO Box 3898, SYDNEY NSW 2001
- (56) Prior Art Documents  
US 3668222
- (57) Claim

1. A method for inhibiting phosphatidylinositol 3-kinase in mammals in need of such inhibition comprising administering a compound from the group consisting of



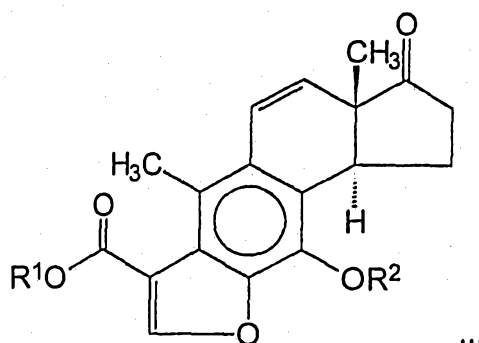
I

wherein R is H or acetoxy;



II

; and



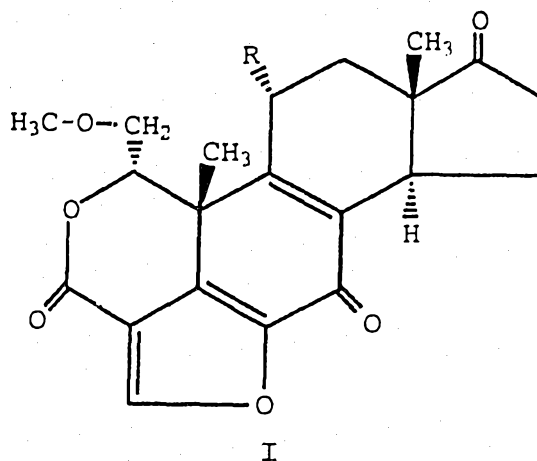
III

wherein

$\text{R}^1$  is H, methyl, or ethyl; and

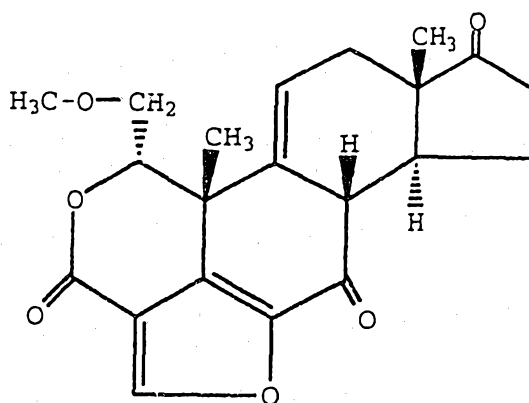
$\text{R}^2$  is H or  $\text{CH}_3$ , to an afflicted patient.

4. A method for treating phosphatidylinositol 3-kinase-dependent conditions in mammals in need of such treatment comprising administering a compound selected from the group consisting of



I

wherein  $\text{R}$  is H or acetoxy;

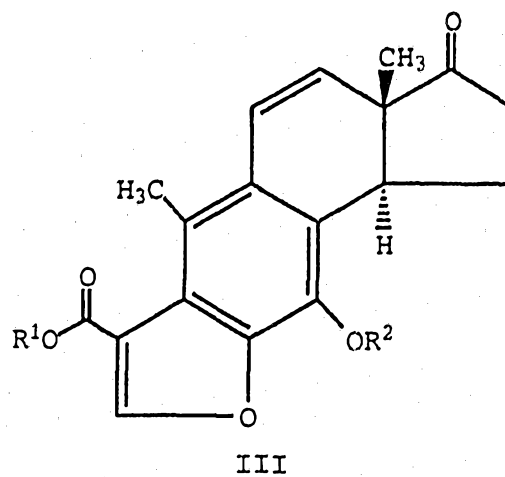


II

; and

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- 2 -



wherein

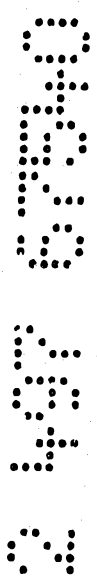
R<sup>1</sup> is H, methyl, or ethyl; and  
R<sup>2</sup> is H or CH<sub>3</sub>, to an afflicted patient.

AUSTRALIA  
PATENTS ACT 1990

COMPLETE SPECIFICATION

FOR A STANDARD PATENT

ORIGINAL



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Name and Address of Applicant(s):	Eli Lilly and Company, a corporation organised and existing under the laws of the State of Indiana, of Lilly Corporate Center, City of Indianapolis, State of Indiana, UNITED STATES OF AMERICA; The Arizona Board of Regents, of 1430 East Fort Lowell Road, Suite 200, City of Tucson, Arizona, UNITED STATES OF AMERICA
Actual Inventor(s):	Rosanne Bonjouklian, Garth Powis and Chris John Vlahos
Address for Service:	Spruson & Ferguson, Patent Attorneys Level 35, St Martins Tower, 31 Market Street Sydney, New South Wales, 2000, Australia
Invention Title:	Inhibition of Phosphatidylinositol 3-kinase With Wortmannin and Analogs Thereof

The following statement is a full description of this invention, including the best method of performing it known to me/us:-

## Inhibition of Phosphatidylinositol 3-kinase With Wortmannin and Analogues Thereof

The present invention relates to a method of inhibiting phosphatidylinositol 3-kinase (PI 3-kinase) in a lysed or whole cell by contacting the lysed or whole cell with a compound known as wortmannin or one of certain wortmannin analogues. Such compounds also can be used to selectively inhibit phosphatidylinositol 3-kinase in mammals, particularly humans, and to treat phosphatidylinositol 3-kinase-dependent conditions, particularly neoplasms, in humans.

The metabolism of inositolphospholipids is believed to be an essential part of the receptor-mediated signal transduction pathways in response to various hormones and growth factors [see, e.g., Berridge, M.J., *et al.*, *Nature*, 312: 315-321 (1984); Nishizuka, Y., *Science*, 225: 1365-1370 (1984)].

In this signalling pathway, two intracellular second messengers, inositol 1,4,5-trisphosphate and diacylglycerol are generated through the hydrolysis of phosphatidyl 4,5-bisphosphate by phospholipase C. Inositol 1,4,5-trisphosphate releases  $\text{Ca}^{2+}$  from intracellular  $\text{Ca}^{2+}$  stores leading to the activation of  $\text{Ca}^{2+}$ /calmodulin-dependent kinase; diacylglycerol activates protein kinase

C. Following breakdown, phosphatidylinositol 4,5-bisphosphate is rapidly resynthesized by stepwise phosphorylation of phosphatidylinositol by phosphatidylinositol 4-kinase and phosphatidylinositol-4-phosphate kinase. These 2 kinases appear to play important roles in the production of second messengers (see, e.g., Duell, T.F., US Pat. No. 5,001,064 (1991); Shibasaki, F., et al., J. Biol. Chem., 266 (13): 8108-8114 (1991)).

More recently, the existence of another phosphatidylinositol kinase has been identified and associated with certain activated tyrosine kinases [Courtneidge, S.A., et al., Cell, 50: 1031-1037 (1987); Kaplan, D.R., et al., Cell, 50: 1021-1029 (1987)]. This kinase, identified as phosphatidylinositol 3-kinase has been found to phosphorylate the 3-position of the inositol ring of phosphatidylinositol (PI) to form phosphatidylinositol 3-phosphate (PI-3P) [Whitman, D., et al., Nature, 332: 664-646 (1988)].

In addition to PI, this enzyme also can phosphorylate phosphatidylinositol 4-phosphate and phosphatidylinositol 4,5-bisphosphate to produce phosphatidylinositol 3,4-bisphosphate and phosphatidylinositol 3,4,5-trisphosphate (PIP<sub>3</sub>), respectively [Auger, K.R., et al., Cell, 57: 167-175 (1989)].

PI 3-kinase physically associates with tyrosine kinases such as pp60<sup>v-src</sup>, polyoma middle T/pp60<sup>c-src</sup>, platelet-derived growth factor receptor, colony stimulation factor-1 receptor, and insulin receptor (see, e.g., Shibasaki supra), suggesting it has important, but yet undefined roles in signal transduction and other cellular events involving protein tyrosine kinases that associate with and activate PI 3-kinase. PI 3-kinase activity also has been identified in association with G-protein receptors in neutrophils and platelets in neutrophils [Traynor-Kaplan, A.E., et al., Nature, 334:353-356 (1988); and Mitchell, C.A., et al., Proc. Nat. Acad. Sci., 87:9396-9400 (1990)]. However, activation of PI 3-kinase in the neutrophil occurs independently of tyrosine phosphorylation [Vlahos, C.J., et al., FEBS Letters, 309(3):242-248 (1992)].

PI 3-kinase exists as a tightly associated heterodimer of an 85 kDa regulatory subunit and an 110 kDa catalytic



subunit, and is found in cellular complexes with almost all ligand-activated growth factor receptors and oncogene protein tyrosine kinases [Cantley, L.C., et al., Cell, 64: 281-302 (1991)]. The 85 kDa regulatory subunit apparently acts as an adaptor protein which allows the 110 kDa catalytic subunit of PI 3-kinase to interact with growth factor receptors and tyrosine phosphorylated proteins [Margolis, C., Cell Growth Differ., 3:73-80 (1992)].

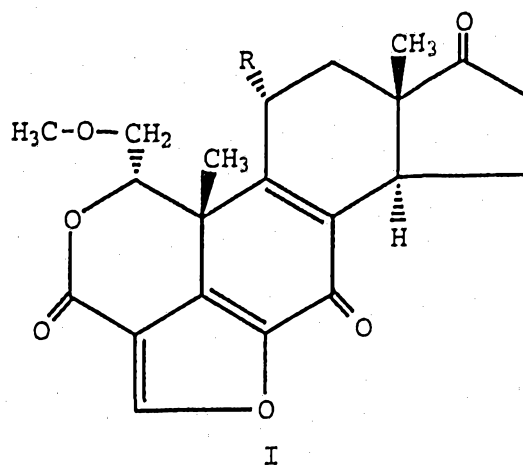
Although PI 3-kinase appears to be an important enzyme in signal transduction, only a limited number of compounds have been identified as having inhibitory activity against PI 3-kinase [see, e.g., Matter, W.F., et al., Biochem. Biophys. Res. Commun., 186: 624-631 (1992)]. Contrary to the selective PI 3-kinase activity of the compounds used in the methods of the present invention, the bioflavinoid compounds used by Matter, et al., particularly quercetin and certain analogs thereof, inhibit PI 3-kinase and other kinases such as protein kinase C and PI 4-kinase (Matter, et al., supra).

Thus, the present invention provides a method for inhibiting phosphatidylinositol 3-kinase in a lysed or whole cell with wortmannin or one of certain wortmannin analogs.

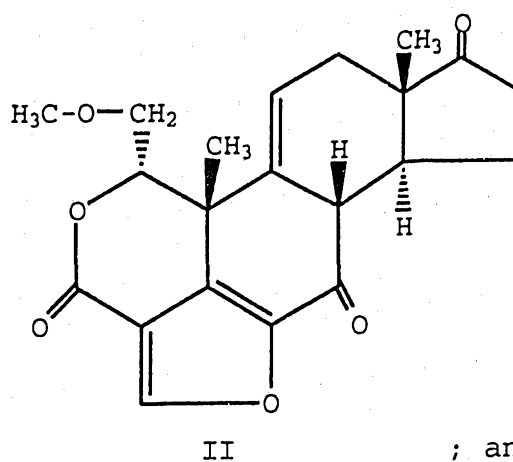
The present invention also provides a method for inhibiting phosphatidylinositol 3-kinase in mammals, particularly humans, using wortmannin or one of certain analogs thereof.

Furthermore, the present invention provides a method for treating phosphatidylinositol 3-kinase-dependent conditions, particularly neoplasms, in mammals.

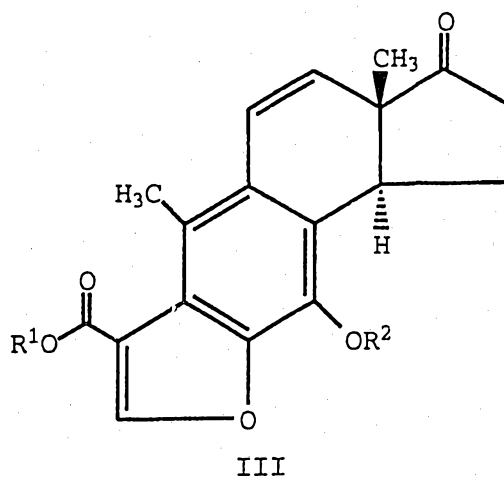
The present invention provides a method for inhibiting phosphatidylinositol 3-kinase in a lysed or whole cell comprising contacting a lysed or whole cell with a compound selected from the group consisting of



wherein R is H or acetoxy;



; and



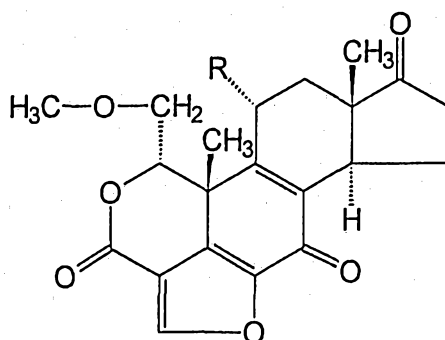
10 wherein

$R^1$  is H, methyl, or ethyl; and  
 $R^2$  is H or  $CH_3$ .

The present invention also provides a method for inhibiting phosphatidylinositol 3-kinase in a mammal in need of such inhibition comprising administering to said mammal a phosphatidylinositol 3-kinase inhibiting amount of a compound selected from the group consisting of compounds of formulae I, II, and III above.

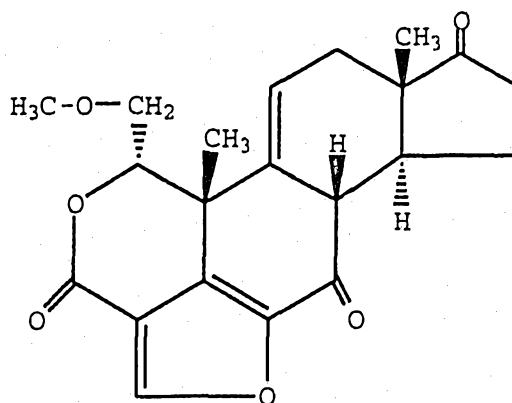
6 The present invention further provides a method for treating a phosphatidylinositol 3-kinase-dependent condition in a mammal in need of such treatment comprising administering to said mammal a phosphatidylinositol 3-kinase inhibiting amount of a compound selected from the group consisting of compounds of formulae I, II, and III above.

10 As mentioned above, the present invention relates to a method for inhibiting phosphatidylinositol 3-kinase in a lysed or whole cell comprising contacting said lysed or whole cell with a compound selected from the group consisting of



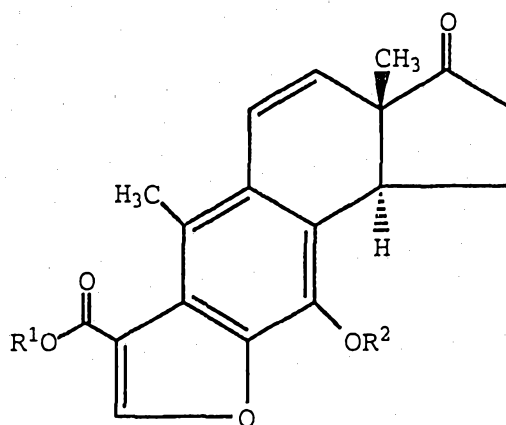
wherein R is H or acetoxy;





II

; and



III

wherein

R<sup>1</sup> is H, methyl, or ethyl; and  
R<sup>2</sup> is H or CH<sub>3</sub>.

The compounds of formulae I, II, and III are known in the art. Table 1 below shows the trivial names of the preferred compounds used in the methods of the present invention.

Table 1: Wortmannin and Preferred Wortmannin Analogs

Formula Designation	R	R <sup>1</sup>	R <sup>2</sup>	Trivial Name
Ia	acetoxy	NA	NA	wortmannin
Ib	H	NA	NA	11-desacetoxywortmannin
II	NA	NA	NA	$\Delta$ 9,11-dehydro-desacetoxywortmannin
IIIa	NA	H	H	opened A-ring acid of wortmannin
IIIb	NA	methyl	H	opened A-ring methyl ester of wortmannin

The biosynthetic production of wortmannin (Ia) is well known in the art. Typically, it is produced by the fermentation of any one of a number of previously disclosed microorganisms such as *Talaromyces wortmannii* [Nakanishi, *et al.*, *J. Biol. Chem.*, 267 5 (4): 2157-2163 (1992)]; and *Penicillium wortmannii*, *Myrothecium roridum*, and *Fusarium oxysporum* [Abbas, *et al.*, *Appl. Environ. Microbiol.*, 54(5): 1267-1274 (1988)]. Following fermentation, wortmannin is extracted and purified via known methods.

Preferably, wortmannin is microbially synthesised and isolated in substantially pure 10 form from a fermentation culture identified as A24603.1.

Culture A24603.1 was deposited in compliance with Budapest Treaty, and made part of the stock culture collection of the Midwest Area Northern Regional Research Center, Agricultural Research Service, United States Department of Agriculture, 1815 North University Street, Peoria, Illinois, 61604 on 7 July 1993 under accession no. 15 NRRL 21122.

Wortmannin is produced by culturing the above-referenced A24603.1 strain under submerged aerobic conditions in a suitable culture medium until a recoverable amount of wortmannin is produced. Wortmannin can be recovered using various isolation and purification procedures understood in the art.

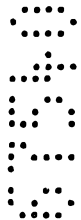
20 The medium used to grow the A24603.1 culture can be any one of a number of media. For economy in production, optimal yield, and ease of product isolation, however, preferred carbon sources in large-scale fermentation are glucose and soluble starch such as corn starch. Maltose, ribose, xylose, fructose, galactose, mannose, mannitol, potato dextrin, methyl oleate, oils such as soybean oil and the like can also be 25 used.

Preferred nitrogen sources are enzyme-hydrolysed casein and cottonseed flour, although pepsinised milk, digested soybean meal, fish meal, corn steep liquor, yeast extract, acid-hydrolysed casein, beef extract, and the like can also be used.

Among the nutrient inorganic salts which can be incorporated in the culture media 30 are the customary soluble salts capable of yielding calcium, magnesium, sodium, ammonium, chloride, carbonate, sulfate, nitrate, zinc, and like ions.

Essential trace elements necessary for the growth and development of the organism also should be included in the culture medium. Such trace elements commonly occur as impurities in other constituents of the medium in amounts sufficient to meet the growth requirements of the organism.

- 5 For production of substantial quantities of wortmannin, submerged aerobic fermentation in stirred bioreactors is preferred. Small quantities of wortmannin may be obtained by shake-flask culture. Because of the time-lag in production commonly associated with inoculation of large



bioreactors with the spore form of the organism, it is preferable to use vegetative inoculum. The vegetative inoculum is prepared by inoculating a small volume of culture medium with the spore form or mycelial fragments of the organism to obtain a fresh, actively growing culture of the organism. The vegetative inoculum medium can be the same as that used for larger fermentations, but other media are also suitable.

Wortmannin is produced by the A24603.1 organism when grown at temperatures between about 23° and 29°C. Optimum temperature for wortmannin production appears to be about 25° C.

As is customary in submerged aerobic culture processes, sterile air is blown into the vessels from the bottom while the medium is stirred with conventional turbine impellers. In general, the aeration rate and agitation rate should be sufficient to maintain a level of dissolved oxygen of at least 45% of air saturation with an internal vessel pressure of about 5 atmospheres.

Production of wortmannin can be observed during the fermentation by testing PI 3-kinase extracts from the broth. A PI 3-kinase assay system described infra is a useful assay for this purpose.

Following its production, wortmannin can be recovered from the fermentation medium by methods used in the art. The wortmannin produced during fermentation of the A24603.1 organism occurs mainly in the broth.

Typically, wortmannin can be recovered from the biomass by a variety of techniques. A preferred technique involves filtering whole fermentation broth with a ceramic filter. The filtrate is eluted with an organic solvent such as ethylacetate and concentrated. The concentrate is suspended in alcohol until crystallization occurs and the solution is filtered, washed and dried. For confirmation, the crystalline material is dissolved in an organic solvent and chromatographed on a reverse-phase silica gel absorbent (C<sub>8</sub> or C<sub>18</sub>). Fractions are eluted in an organic-aqueous buffer such as 60% acetonitrile.

11-deacetoxywortmannin (formula Ib) also is known in the art as are methods for its preparation. Generally, this

compound can be biosynthetically produced by fermenting a culture of *Penicillium funiculosum* Thom [see, e.g., Baggolini, et al., Exp. Cell Res., 169: 408-418 (1987)]; but, preferably, is chemically derived from wortmannin by the method disclosed by

5      Haeflinger, et al., Helv. Chem. Acta, 56(8): 2901-2904 (1973).

Similarly, the preparation of  $\Delta^9,11$ -dehydro-desacetoxwortmannin (formula II) is known in the art and is described by Haeflinger, et al., supra; and the preparation of compounds of formula III is described by MacMillan, J., et al.,

10      J. Chem. Soc., Perkin I: 2892-2898 (1972).

In the present method, compounds of formulae I, II, and III are effective for selectively inhibiting phosphatidylinositol 3-kinase in a lysed or whole cell. This method can be carried out *in vitro* or *in vivo* and can be

15      utilized as a pharmacological tool for studying, for example, the involvement of PI 3-kinase in mitogenesis, cellular proliferation, or cellular differentiation. The compounds of formulae I, II, and III also can be radiolabeled (e.g., tritiated), to provide for easier detection of such compounds in

20      cells.

When compounds of formulae I, II, or III are used for this method, such a compound is dissolved in an organic solvent such as dimethylsulfoxide (DMSO), and diluted with HEPES buffer (pH 7.5, containing 15 mM of  $MgCl_2$  and 1 mM of EGTA), to the

25      desired concentration. The resulting preparation is then placed in contact with purified PI 3-kinase or a cell according to methods well known in the art.

Another embodiment of the present invention provides a method for inhibiting phosphatidylinositol 3-kinase in a mammal, particularly humans, comprising administering to said mammals a phosphatidylinositol 3-kinase inhibiting amount of a compound selected from the group consisting of compounds of formula I, formula II, and formula III.

30

A preferred embodiment of the present invention includes a method for treating a phosphatidylinositol 3-kinase-dependent condition in a mammal comprising administering to said mammal a phosphatidylinositol 3-kinase inhibiting amount of a compound selected from the group consisting of compounds of

35



formula I, formula II, and formula III. PI 3-kinase-dependent conditions include biochemical processes relevant to pain, diabetes, inflammation, platelet aggregation, vascular diseases such as atherosclerosis, restenosis, and the like, and, particularly, abnormal cell growth as found in neoplasms.

Thus, an especially preferred embodiment of the present invention includes a method of treating phosphatidylinositol 3-kinase-dependent neoplasms, particularly various lymphosarcomas, with a compound selected from the group consisting of compounds of formulae I, II, and III. Other PI 3-kinase-dependent neoplasms include, for example, adenocarcinoma of the female breast, colon cancer, epidermid cancers of the head and neck, leukemia, melanoma, ovarian carcinoma, plasma cell myeloma, and squamous or small-cell lung cancer. For the treatment of these and other neoplastic PI 3-kinase-dependent conditions, the use of wortmannin is preferred.

For therapeutic treatment of the specified indications, a compound of formula I, II or III may be administered as such, or can be compounded and formulated into pharmaceutical compositions in unit dosage form for parenteral, transdermal, rectal, nasal or intravenous administration or, preferably, oral administration. Such pharmaceutical compositions are prepared in a manner well known in the art and comprise at least one active compound selected from the group consisting of compounds of formulae I, II, and III associated with a pharmaceutically carrier. The term "active compound", as used throughout this specification, refers to at least one compound selected from compounds of formula I, II, and III, or pharmaceutically acceptable salts thereof.

In such a composition, the active compound is known as "active ingredients". In making the compositions, the active ingredient will usually be mixed with a carrier, or diluted by a carrier, or enclosed within a carrier which may be in the form of a capsule, sachet, paper or other container. When the carrier serves as a diluent, it may be a solid, semisolid, or liquid material which acts as a vehicle, excipient of medium for the active ingredient. Thus, the composition can be in the form of tablets, pills, powders, lozenges, sachets, cachets, elixirs,

emulsions, solutions, syrups, suspensions, soft and hard gelatin capsules, sterile injectable solutions, and sterile packaged powders.

5 Some examples of suitable carriers, excipients, and diluents include lactose, dextrose, sucrose, sorbitol, mannitol, starches, gum acacia, calcium phosphate alginates, calcium salicate, microcrystalline cellulose, polyvinylpyrrolidone, cellulose, tragacanth, gelatin, syrup, methyl cellulose, methyl- and propylhydroxybenzoates, talc, magnesium stearate, water, and  
10 mineral oil. The formulations can additionally include lubricating agents, wetting agents, emulsifying and suspending agents, preserving agents, sweetening agents or flavoring agents. The compositions may be formulated so as to provide quick, sustained, or delayed release of the active ingredient after  
15 administration to the patient by employing procedures well known in the art.

For oral administration, a compound can be admixed with carriers and diluents, molded into tablets, or enclosed in  
20 gelatin capsules. The mixtures can alternatively be dissolved in liquids such as 10% aqueous glucose solution, isotonic saline, sterile water, or the like, and administered intravenously or by injection.

The compositions are preferably formulated in a unit dosage form, each dosage containing from about 1 to about 500 mg and, more frequently, from about 5 to about 300 mg of the active ingredient. The term "unit dosage form" refers to physically  
25 discreet units suitable as unitary dosages for human subjects and other mammals, each unit containing a predetermined quantity of active material calculated to produce the desired therapeutic effect, in association with the required pharmaceutically  
30 acceptable carrier. By "pharmaceutically acceptable", it is meant the carrier, diluent or excipient must be compatible with the other ingredients of the formulation and not deleterious to the recipient thereof.

35 The following formulation examples are illustrative only and are not intended to limit the scope of the invention in any way. The meaning of the term "active ingredient" is as defined above.

Formulation 1

5 Hard gelatin capsules are prepared using the following ingredients:

	Quantity <u>(mg/capsule)</u>
Active ingredient	250
Starch, dried	200
Magnesium stearate	<u>10</u>
Total	460 mg

Formulation 2

10 A tablet is prepared using the ingredients below:

	Quantity <u>(mg/capsule)</u>
Active ingredient	250
Cellulose, microcrystalline	400
Silicon dioxide, fumed	10
Stearic acid	<u>5</u>
Total	665 mg

The components are blended and compressed to form tablets each weighing 665 mg.

Formulation 3

5 An aerosol solution is prepared containing the following components:

	<u>Weight</u>
Active ingredient	0.25
Ethanol	25.75
Propellant 22	
(Chlorodifluoromethane)	<u>70.00</u>
Total	100.00

10 The active compound is mixed with ethanol and the mixture added to a portion of the propellant 22, cooled to -30°C and transferred to a filling device. The required amount is then fed to a stainless steel container and diluted with the remainder of the propellant. The valve units are then fitted to the container.

15 Formulation 4

Tablets, each containing 60 mg of active ingredient, are made as follows:

Active ingredient	60 mg
Starch	45 mg
Microcrystalline cellulose	35 mg
Polyvinylpyrrolidone	
(as 10% solution in water)	4 mg
Sodium carboxymethyl starch	4.5 mg
Magnesium stearate	0.5 mg
Talc	<u>1 mg</u>
Total	150 mg

20 The active ingredient, starch and cellulose are passed through a No. 45 mesh U.S. sieve and mixed thoroughly. The

aqueous solution containing polyvinyl- pyrrolidone is mixed with the resultant powder, and the mixture then is passed through a No. 14 mesh U.S. sieve. The granules so produced are dried at 50°C and passed through a No. 18 mesh U.S. Sieve. The sodium carboxymethyl starch, magnesium stearate and talc, previously passed through a No. 60 mesh U.S. sieve, are then added to the granules which, after mixing, are compressed on a tablet machine to yield tablets each weighing 150 mg.

Formulation 5

Capsules, each containing 80 mg of active ingredient, are made as follows:

Active ingredient	80 mg
Starch	59 mg
Microcrystalline cellulose	59 mg
Magnesium stearate	<u>2 mg</u>
Total	200 mg

The active ingredient, cellulose, starch, and magnesium stearate are blended, passed through a No. 45 mesh U.S. sieve, and filled into hard gelatin capsules in 200 mg quantities.

Formulation 6

Suppositories, each containing 225 mg of active ingredient, are made as follows:

5

Active ingredient	225 mg
Saturated fatty acid glycerides	<u>2,000 mg</u>
Total	2,225 mg

The active ingredient is passed through a No. 60 mesh U.S. sieve and suspended in the saturated fatty acid glycerides previously melted using the minimum heat necessary. The mixture is then poured into a suppository mold of nominal 2 g capacity and allowed to cool.

Formulation 7

Suspensions, each containing 50 mg of active ingredient per 5 ml dose, are made as follows:

Active ingredient(s)	50 mg
Sodium carboxymethyl cellulose	50 mg
Syrup	1.25 mL
Benzoic acid solution	0.10 mL
Flavor	q.v.
Color	q.v.
Purified water to total	5 mL

The active ingredient is passed through a No. 45 mesh U.S. sieve and mixed with the sodium carboxymethyl cellulose and syrup to form a smooth paste. The benzoic acid solution, flavor and color are diluted with a portion of the water and added, with stirring. Sufficient water is then added to produce the required volume.

Formulation 8

An intravenous formulation may be prepared as follows:

Active ingredient	100 mg
Isotonic saline	1,000 mL

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Compounds of formulae I, II, and III are effective against PI 3-kinase and PI 3-kinase-dependent conditions over a wide dosage range. For example, daily dosages will normally fall within the range of about 0.1 mg/kg to about 50 mg/kg of body weight. In the treatment of adult humans, the dosage range from about 5 mg/kg to about 25 mg/kg, in single or divided doses, is preferred. However, it will be understood that the amount of the compound actually administered will be determined by a physician in light of the relevant circumstances including the relative severity of a disease state, the choice of compound to be administered, the age, weight, and response of the individual patient, and the chosen route of administration. Therefore, the above dosage ranges are not intended to limit the scope of this invention in any way.

Compounds of formulae I, II, and III have demonstrated selective activity against PI 3-kinase. The following is a description of the test systems used to demonstrate this activity.

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Purification of Phosphatidylinositol 3-Kinase

PI 3-kinase may be prepared by multiple methods. In one method, PI 3-kinase was prepared from confluent Swiss 3T3 cells obtained from the American Type Culture Collection, Rockville, MD. Prior to purification of PI 3-kinase, cells were maintained in bulk culture in Dulbecco's Modified Eagles Medium (DMEM; Sigma, St. Louis, MO) supplemented with 10% fetal calf serum and were passaged using 0.25% trypsin and 0.02% ethylenediaminetetracetic acid (EDTA). 24 x 10<sup>6</sup> cells on four, 100 mm culture plates were washed with 10 mL Hanks Balanced Salt

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Solution (HBSS; Sigma) pH 7.4, and the cells were left in DMEM without fetal calf serum for 1 hour before being stirred for 15 minutes with 100 ng/mL of the recombinant human BB homodimer of platelet derived growth factor (PDGF; Genzyme, Cambridge, MA). The medium was aspirated and the cells washed with 10 mL of HBSS before being lysed with 3 mL of 137 mM NaCl, 20 mM of Tris (pH 8.0) containing 1 mM of  $MgCl_2$ , 10% of glycerol, 1% of Triton X-100 (Rohm and Haas, Philadelphia, PA), 2  $\mu$ g/mL of leupeptin, 2  $\mu$ g/mL of aprotonin, 1 mM of phenylmethylsulfonyl fluoride (PMSF), and 1 mM of sodium orthovanadate. The cells were scraped free from the surface of the dish and centrifuged at 6,000 x g for 10 minutes. The supernatant was mixed with 50  $\mu$ L of washed IgG2bk antiphosphotyrosine antibody beads (Upstate Biotechnology Inc., Lake Placid, NY) in 1.5 mL tubes. The tubes were capped and rotated for 2 hours at 4° C and the beads were twice washed with 1 mL of HBSS containing 2  $\mu$ g/mL of leupeptin, 4  $\mu$ g/mL of aprotonin, 1 mM of PMSF, 200  $\mu$ M of adenosine, and 1 mM of sodium orthovanadate. The tyrosine phosphorylated PI 3-kinase was eluted from the beads with 200  $\mu$ L/tube of 10 mM Tris (pH 7.5), 2 M of NaCl, 1 mM of EDTA, 200  $\mu$ M of adenosine, and 10 mM of sodium phenylphosphate.

In another, preferred, method, PI 3-kinase was prepared from bovine brain. Two bovine brains (wet weight about 900 g) were obtained from a local slaughterhouse within minutes of slaughter, packed on ice, and homogenized within one hour. Brains were trimmed of excess fat and blood vessels and then homogenized using a Tekmar Tissuemizer (Cincinnati, OH) at 4° C in 20 mM of Tris (pH 8.3) containing 250 mM of sucrose, 6 mM of  $\beta$ -mercaptoethanol, 1  $\mu$ g/mL of leupeptin, 1  $\mu$ g/mL of pepstatin A, 0.4 mM of PMSF, and 1 mM of  $MgCl_2$ .

Following centrifugation for 60 minutes at 10,000 x g, the pH of the supernatant (about 1200 mL) was lowered to 5.75 using dropwise addition of 1M acetic acid at 4° C. After stirring for an additional 15 minutes at 4° C, the solution was centrifuged for 60 minutes at 13,500 x g. The supernatant was discarded. Pellets were resuspended in Buffer A (20 mM of Tris, pH 8.3, containing 6 mM of  $\beta$ -mercaptoethanol, 0.1 mM of ethylene glycol-bis( $\beta$ -aminoethyl ether) N,N,N',N'-tetraacetic acid (EGTA),



1  $\mu\text{g/mL}$  of leupeptin, 1  $\mu\text{g/mL}$  of pepstatin A. and 1 mM of  $\text{MgCl}_2$ ), and loaded onto a Fast Flow Q Sepharose column (300 ml) at a flow rate of 5 mL/min at 4° C. After loading, the column was washed with 3 volumes of Buffer A containing 0.1 M of KCl and the kinase was then eluted with a linear gradient of Buffer A/0.1M KCl to Buffer A/0.6 M KCl at 3 mL/min over 7 volumes.

Fractions were assayed for PI 3-kinase activity using 10  $\mu\text{L}$  of the fraction and phosphatidylinositol as substrate as described below. PI 4-kinase eluted in the breakthrough; PI 3-kinase eluted at approximately 0.3 M of KCl. The PI 3-kinase pool was subjected to a 40% ammonium sulfate precipitation. Following centrifugation (60 minutes at 13,500 x g), pellets were resuspended in Buffer B (10 mM of potassium phosphate, pH 7.4, containing 6 mM of  $\beta$ -mercaptoethanol, 1  $\mu\text{g/mL}$  of leupeptin, 1  $\mu\text{g/mL}$  of pepstatin A, and 1 mM of  $\text{MgCl}_2$ ), and loaded onto a 50 mL hydroxylapatite column (Calbiochem, Inc., La Jolla, CA) at 2.5 mL/minute. The column was washed with 150 mL Buffer B until the  $A_{280}$  baseline reached zero, and the kinase was then eluted with a linear gradient of 10-320 mM of  $\text{KH}_2\text{PO}_4$  at 1 mL/minute over 450 minutes.

Active fractions were pooled and then loaded at 3 mL/minute onto a MonoS column (8 ml) (Pharmacia, Inc., Piscataway, NJ) equilibrated in Buffer C (50 mM of MES, pH 6.2, containing 6 mM of  $\beta$ -mercaptoethanol, 0.1 mM of EGTA, 1  $\mu\text{g/mL}$  of leupeptin, 1  $\mu\text{g/mL}$  of pepstatin A, and 1 mM of  $\text{MgCl}_2$ ). PI 3-kinase was eluted with a linear gradient of 0-0.4 M KCl in Buffer C over 120 minutes. In assaying fractions, two pools of PI 3-kinase activity were routinely found. The bulk of the activity was found in the flow-through, while about 20% of the activity was eluted in the gradient. Although the material in the gradient had considerable PI 4-kinase activity, essentially no PI 4-kinase activity was associated with the PI 3-kinase eluted in the flow-through. Therefore, the MonoS flow-through was concentrated by tangential flow filtration on a Mini-Ultrasette Omega 50 K membrane (Filtron, Inc., Northborough, MA) and diluted in Buffer C to lower the conductivity. The material was then reloaded onto the MonoS column using the above conditions. The PI 3-kinase bound to the column during the wash and was eluted in

the gradient. Two pools of phosphatidylinositol kinase activity were obtained in the gradient; each was assayed for PI 3-kinase and PI 4-kinase activity. Pool I was found to contain 95% PI 3-kinase activity (and 5% PI 4-kinase) while Pool II contained predominantly PI 4-kinase activity.

Pool I from the MonoS column was diluted with Buffer A and chromatographed on MonoQ (1 ml) and eluted with a gradient of 0-0.4 M KCl in Buffer A. The final pool was assayed for PI 3-kinase and PI 4-kinase activity. The final product was found to contain greater than 99% PI 3-kinase activity.

#### Assay of Purified PI-3 Kinase Activity

PI 3-kinase activity was measured as previously described by Matter, W.F., et al., Biochemical and Biophysical Research Communications, 186: 624-631 (1992). Inhibitor candidates were initially dissolved in DMSO and then diluted 10-fold with 50 mM of HEPES buffer, pH 7.5, containing 15 mM of MgCl<sub>2</sub> and 1 mM of EGTA. Ten microliters of this solution were incubated with purified bovine brain PI 3-kinase (9 µL) and phosphatidylinositol (5 µL of a 2 mg/mL stock solution in 50 mM of HEPES buffer, pH 7.5, containing 1 mM of EGTA). The final reaction mixture contained 0.1-5 ng/mL of inhibitor and 3% of DMSO (v:v). This concentration of DMSO had no effect on PI 3-kinase activity; control reaction mixtures contained 3% of DMSO (v:v) without inhibitor. Reactants were preincubated 10 minutes at ambient temperature and then the enzyme reaction was started upon addition of 1 µL [ $\gamma$ -<sup>32</sup>P]ATP (2 mCi/mL, 500 µM of stock solution; 0.08 mCi/mL, 20 µM of final concentration; Dupont New England Nuclear, Boston, MA). The reaction was allowed to proceed for 10 minutes at ambient temperature with frequent mixing, after which time the reaction was quenched by addition of 40 µL of 1N HCl. Lipids were extracted with addition of 80 µL CHCl<sub>3</sub>:MeOH (1:1, v:v). The samples were mixed and centrifuged, and the lower organic phase was applied to a silica gel TLC plate (EM Science, Gibbstown, NJ), which was developed in CHCl<sub>3</sub>:MeOH:H<sub>2</sub>O:NH<sub>4</sub>OH (45:35:8.5:1.5, v:v). Plates were dried, and the kinase reaction visualized by autoradiography.

The phosphatidylinositol 3-monophosphate region was scraped from the plate and quantitated using liquid scintillation spectroscopy with ReadyProtein (Beckman Instruments, Inc., Fullerton, CA) used as the scintillation cocktail. The level of inhibition for wortmannin and analogs was determined as the percentage of [ $^{32}$ P]-counts per minute compared to controls.

Alternatively, products of the PI 3-kinase reaction were confirmed by HPLC as discussed by Whitman, M., Nature, 332: 644-646 (1988). Phospholipids were deacylated in methylamine reagent and separated using a Whatman Partisphere SAX anion exchange column as previously described by Auger, K.R., Cell, 57: 167-175 (1989). A Radiomatic Model A-140 Flo-One/Beta on-line radioactivity detector was used to monitor the deacylated [ $^{32}$ P]-enzyme products; deacylated [ $^3$ H]PI 4-monophosphate was added as an internal standard.

The inhibitory effect of wortmannin and its analogs on bovine brain purified PI 3-kinase is shown in Table 2.

Table 2  
Inhibition of Bovine Brain Purified Phosphatidylinositol 3-Kinase with Wortmannin and Wortmannin Analogs

<u>Formula</u>	<u>IC<sub>50</sub> (ng/mL)</u>
Ia	1.8 (4.2 nM)
Ib	6.2 (16.7 nM)
II	20.0 (59.0 nM)
IIIB	1500.0 (4.6 $\mu$ M)

In addition, wortmannin and the wortmannin analogs used in the methods of the present invention have no effect on PI 4-kinase, phospholipase C, c-src protein tyrosine kinase or protein kinase C, and have a potency up to one hundred-fold greater than the previously reported activity as an inhibitor of myosin light chain kinase [see, e.g., Nakanishi, S., et al., J. Biol. Chem., 267(4):2157-2163 (1992)]. Thus, wortmannin and its analogs are potent, highly selective inhibitors of PI 3-kinase.

Assay of Whole Cell PI 3-Kinase Activity

v-sis NIH 3T3 cells (National Cancer Institute, Bethesda, MD) were selected for measuring phosphatidylinositol-3-phosphate levels because they are known to exhibit constitutive as well as platelet derived growth factor-stimulated PI 3-kinase activity. v-sis NIH 3T3 cells in logarithmic growth in a 75 cm<sup>2</sup> culture flask were placed for two hours in DMEM without fetal calf serum. The cells were washed with phosphate-free DMEM and incubated in the same medium containing 0.1% fatty acid free bovine serum, albumin and 0.15 mCi/mL [<sup>32</sup>P]H<sub>3</sub>PO<sub>4</sub> (ICN Biomedicals, Irvine, CA) for 70 minutes. Inhibitor candidates were prepared using the above-stated procedure, and placed in contact with the cells for 10 minutes prior to their stimulation. The cells were stimulated with 100 ng/mL of PDGF for 10 minutes. To measure phosphatidylinositol-3-phosphates in the cells, the medium was removed and the cells washed once with phosphate buffered saline before adding 4 mL/flask of HCl:methanol (1:1 by volume). The cells were scraped from the flasks and total lipids were extracted by the method described by Folch, J., et al., J. Biol. Chem., 226: 497-509 (1957). Deacylated lipids were prepared using methylamine via the method described by Clark, N.G., et al., Biochem J., 195: 301-306, (1981), and separated by HPLC using a 10 cm RAC II Partisil 5 SAX column (Whatman, Kent, U.K.) eluted with an NH<sub>4</sub>H<sub>2</sub>PO<sub>4</sub> gradient at a flow of 0.8 mL/minute as described by Auger, K.R., et al., Methods in Inositide Research, pp. 159-166 [Irvine, R.F., Ed., Raven Press, Ltd., New York, NY (1990)]. Detection of the eluting peaks was by a radioactive flow detector (Flo-One Beta, Model A515, Radiomatic Instruments, Meriden, CT). The reference compounds employed were deacyl-[<sup>3</sup>H]phosphatidylinositol-4,5-bisphosphate and deacyl-[<sup>32</sup>P]phosphatidylinositol-3,4,5-trisphosphate.

Although inhibition of purified PI 3-kinase was substantially greater than with PI 3-kinase inhibition in whole cells, wortmannin at 1.3 μM provided almost complete inhibition of platelet derived growth factor stimulated phosphatidylinositol-3-phosphate formation in whole cells.

In order that the invention described herein may be more fully understood, the following examples are set forth. It should be understood, however, that these examples are only for illustrative purposes and are not to be construed as limiting the scope of this invention in any manner.

Example 1

Fermentation of Culture A24603.1

A. Shake-Flask

The culture A24603.1, either as a lyophilized pellet or as a suspension maintained in liquid nitrogen, is used to inoculate a vegetative medium having the following composition

Vegetative Medium

<u>Ingredient</u>	<u>Amount (g/L)</u>
Glucose	10.0
Glycerol	10.0
Cottonseed Flour <sup>a</sup>	25.0

Unadjusted pH=6.3; no adjustment

<sup>a</sup> PROFLO Flour (Traders Protein, Memphis, TN).

The inoculated vegetative medium was incubated in a 250 mL wide-mouth Erlenmeyer flask at 25° C for about 72 hours on a shaker orbiting in a two-inch (5.08 cm) circle at 250 rpm.

B. Tank Fermentation of Culture A24603.1

In order to provide a larger volume of inoculum, 10 mL of incubated shake-flask medium, prepared as described in Section A, was used to inoculate 400 mL of a second-stage vegetative medium having the same composition as described above. This second-stage medium was incubated in a 2-L wide-mouth Erlenmeyer flask at 25° C for about 23 hours on a shaker orbiting in a two-inch (5.08 cm) circle at 250 rpm.

This second-stage medium (400 mL) was used to inoculate 115 L of sterile production medium having the following composition.

Production Medium

<u>Ingredient</u>	<u>Amount (g/L)</u>
Glucose	25.0
Corn Starch	10.0
Lexein	10.0
Enzyme-hydrolyzed casein	4.0
Blackstrap molasses	5.0
MgSO <sub>4</sub> (anhydrous)	5.0
CaCO <sub>3</sub>	2.0
Deionized H <sub>2</sub> O	q.s. to 115 L

Unadjusted pH = 6.8; no adjustment.

Antifoam agent added: SAG 471<sup>b</sup> (0.2 gm/L).

<sup>a</sup> NZ Amine A (Sheffield Chemical Co., Norwich, NY).

<sup>b</sup> SAG 471 (Union Carbide, Sistersville, WV).

The inoculated production medium was allowed to ferment in a 115-L stirred fermentation tank for 4-5 days at a temperature of about 25° C. A dissolved oxygen level of about

45% of air saturation was maintained, as was a low rpm (180-330) in the stirred vessel.

### Example 2

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#### Isolation and Purification of Wortmannin

10 Fermentation broth from Example 1 was filtered through a ceramic filter (Membralox Systems, Illinois Water Treatment, Rockford, IL) to yield 175 L of filtrate containing wortmannin. The pH of the filtrate was adjusted to about 3.9 with 5N HCl. The filtrate was then eluted three times with one-half volumes of ethyl acetate to give a combined volume of 207 L which was concentrated to 6 L *in vacuo*.

15 The 6 L of ethyl acetate concentrate was further concentrated *in vacuo* to form a dark brown viscous oil to which 500 mL of methanol was added. The mixture was swirled until the resulting crystallization was complete, filtered, briefly washed with cold methanol and dried *in vacuo* to give 20.4 g of wortmannin.

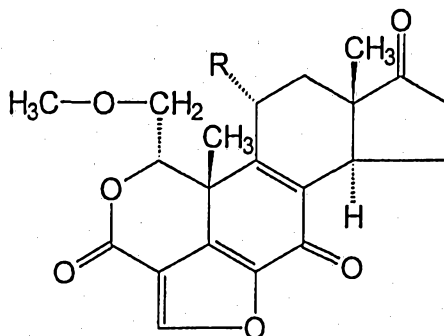
20 The methanol supernatant was reconcentrated *in vacuo* to form a viscous oil, dissolved in 180 mL of chloroform and applied to a 12 x 20 cm column of Woelm Grade 62 silica in chloroform. 5.0 L of chloroform wash was concentrated *in vacuo* to form a brown oil which was then dissolved in 250 mL of warm methanol. The resulting crystals were collected after 18 hours, via filtration, giving 4.2 g of wortmannin. The crystallization procedure was repeated on the remaining supernatant, yielding an additional 1.9 g of wortmannin. The identity of wortmannin was confirmed by HPLC.

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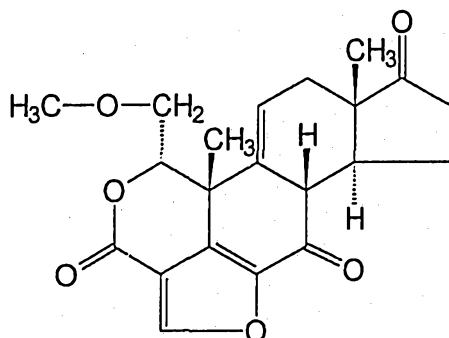
The claims defining the invention are as follows:

1. A method for inhibiting phosphatidylinositol 3-kinase in mammals in need of such inhibition comprising administering a compound from the group consisting of



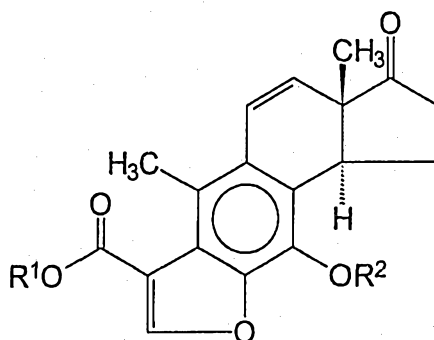
I

5 wherein R is H or acetoxy;



II

; and



III

wherein

R<sup>1</sup> is H, methyl, or ethyl; and

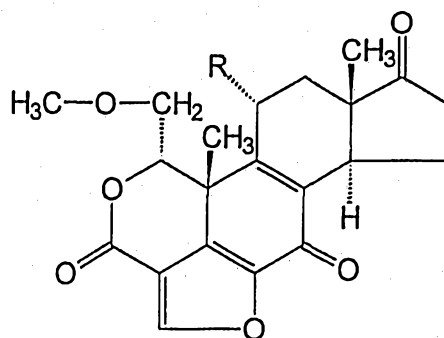
10 R<sup>2</sup> is H or CH<sub>3</sub>, to an afflicted patient.

2. A method according to Claim 1 wherein said compound is a compound of formula I





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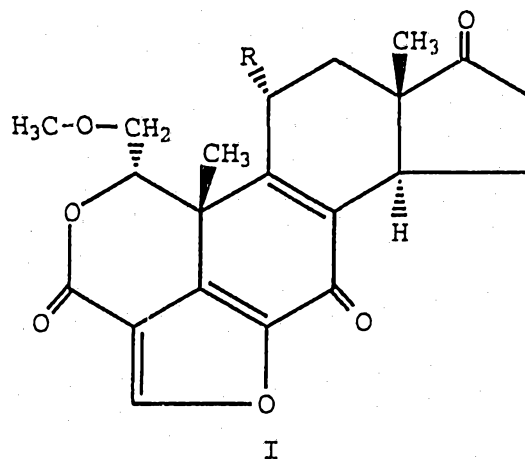
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wherein R is H or acetoxy.

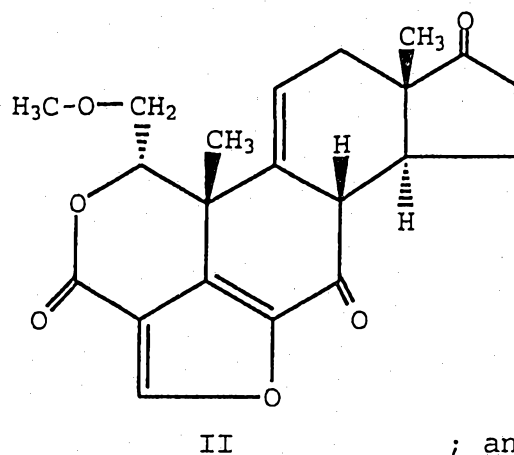
3. A method according to Claim 2 wherein said formula I compound is a compound wherein R is acetoxy.

5 4. A method for treating phosphatidylinositol 3-kinase-dependent conditions in mammals in need of such treatment comprising administering a compound selected from the group consisting of

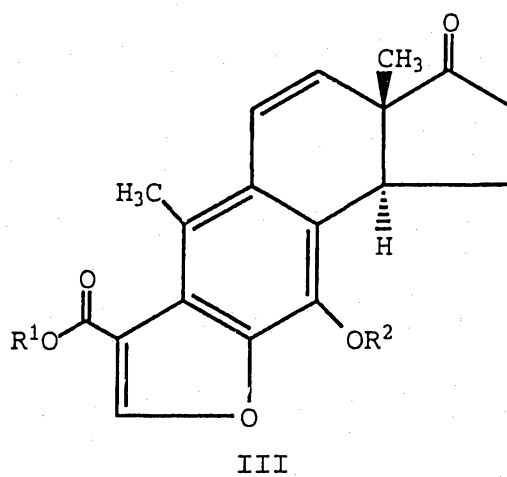




wherein R is H or acetoxy;



; and



wherein

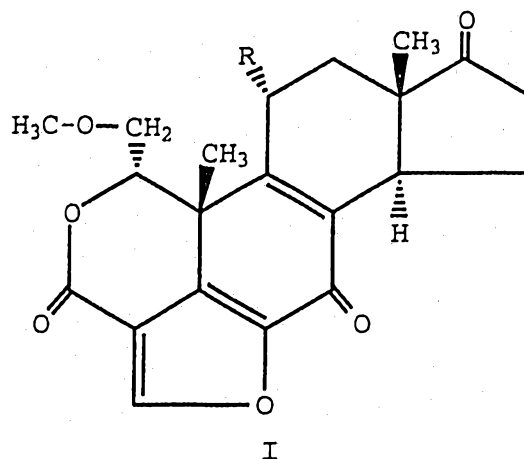
$R^1$  is H, methyl, or ethyl; and

$R^2$  is H or  $CH_3$ , to an afflicted patient.

5. A method according to Claim 4 wherein said phosphatidylinositol 3-kinase-dependent condition is a neoplasm.

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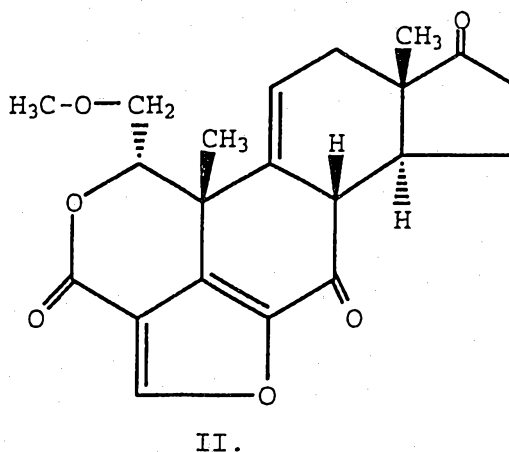
6. A method according to Claim 5 wherein said compound is a compound of formula I



wherein R is H or acetoxy.

7. A method according to Claim 6 wherein said formula I compound is a compound wherein R is acetoxy.

8. A method according to Claim 4 wherein said compound is a compound of formula II



9. A method for inhibiting phosphatidylinositol 3-kinase in a mammal in need of such inhibition, comprising administering to said mammal a compound, which compound is substantially as herein described with reference to Example 1 or 2.

10. A method for treating phosphatidylinositol 3-kinase-dependent conditions in a mammal in need of such treatment, comprising administering to said mammal a compound, which compound is substantially as herein described with reference to Example 1 or 2.

**Dated 27 March, 1997**

**Eli Lilly and Company**

**The Arizona Board of Regents**

**Patent Attorneys for the Applicants/Nominated Persons**

**SPRUSON & FERGUSON**

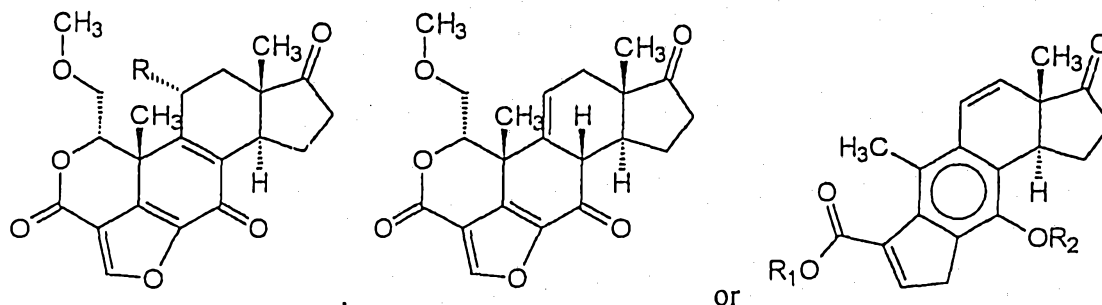
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# Inhibition of Phosphatidylinositol 3-kinase with Wortmannin and Analogos Thereof

## Abstract

The present invention provides a method for inhibiting phosphatidylinositol 3-kinase  
5 in a lysed or whole cells or in mammals, particularly humans, with wortmannin or one of  
certain wortmannin analogs of the formulae:



wherein R is H or acetoxy, R<sub>1</sub> is H, methyl, or ethyl; and R<sub>2</sub> is H or methyl.

Furthermore, the present invention provides a method for treating  
10 phosphatidylinositol 3-kinase-dependent conditions, particularly neoplasms, in mammals,  
particularly humans.