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(54) Title: DOSAGE REGIMEN FOR ADMINISTERING AN EPCAMXCD3 BISPECIFIC ANTIBODY

(57) Abstract: The present invention relates to a method (dosage regimen) for administering an EpCAMxCD3 bispecific antibody to a human patient, comprising (a) administering continually a first dose of said antibody for a first period of time; and consecutively (b) administering continually a second dose of said antibody for a second period of time, wherein said second dose exceeds said first dose. The methods of the invention (and likewise the dosage regimen of the invention) are also suitable for treating EpCAM positive epithelial cancer cells in a human patient, or for ameliorating and/or preventing a medical condition mediated by the continued administration of an EpCAMxCD3 bispecific antibody to a human patient. The present invention also relates to the use of an EpCAMxCD3 bispecific antibody for the preparation of a pharmaceutical composition to be used in a method as defined in any one of the preceding claims. A pharmaceutical package or kit comprising the first dose and the second dose as defined in the methods/dosage regimen of the present invention is disclosed as well.



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**Dosage regimen for administering an EpCAMxCD3 bispecific antibody**

The present invention relates to a method (dosage regimen) for administering an EpCAMxCD3 bispecific antibody to a human patient, comprising (a) administering  
10 continually a first dose of said antibody for a first period of time; and consecutively (b) administering continually a second dose of said antibody for a second period of time, wherein said second dose exceeds said first dose. The methods of the invention (and likewise the dosage regimen of the invention) are also suitable for treating EpCAM positive epithelial cancer cells in a human patient, or for ameliorating and/or preventing a  
15 medical condition mediated by the continued administration of an EpCAMxCD3 bispecific antibody to a human patient. The present invention also relates to the use of an EpCAMxCD3 bispecific antibody for the preparation of a pharmaceutical composition to be used in a method as defined in any one of the preceding claims. A pharmaceutical package or kit comprising the first dose and the second dose as defined in the  
20 methods/dosage regimen of the present invention is disclosed as well.

Antibody-based cancer therapies require a target antigen firmly bound to the surface of cancer cells in order to be active. By binding to the surface target, the antibody can deliver a deadly signal to the cancer cell. In an ideal treatment scenario, a target antigen  
25 is abundantly present and accessible on every cancer cell and is absent, shielded or much less abundant on normal cells. This situation provides the basis for a therapeutic window in which a defined amount of the antibody-based therapeutic effectively hits cancer cells but spares normal cells.

EpCAM is found on most human adenocarcinoma, including cancers of colorectal, breast, lung, gastric, bladder, prostate, ovarian, and pancreatic origin. For instance, in  
30 colorectal cancer, more than 98% of patients show an intense and frequent expression of EpCAM on cancer cells in the primary tumor (P. Went et al., Br. J. Cancer 94: 128 (2006)). EpCAM is not lost from cancer cells when they de-differentiate and progress to the metastatic stage. In some cancers, such as breast, ovarian and certain squamous cell carcinomas, EpCAM expression is either de novo or highly upregulated compared to  
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normal epithelial tissues. When EpCAM expression is knocked down in cancer cells by anti-sense or siRNA, cells cease to proliferate, move and invasively grow in soft agar. Conversely, ectopic expression of EpCAM in quiescent cells confers these properties, and leads to their serum growth factor-independent growth (M. Münz et al., Oncogene 23: 5748 (2004)). EpCAM has now been added to the list of cancer stem cell markers (J.E. Visvader and G.J. Lindeman, Nat. Rev. Cancer 8: 755 (2008)). Cancer stem cells are thought to constantly repopulate tumors and to be responsible for chemoresistance and tumor relapse. EpCAM expression has been found on cancer stem cells derived from breast, colon, prostate, liver and pancreas tumors.

EpCAM is currently being targeted by several antibody-based therapeutic approaches, which are in different stages of clinical development. The following adverse events have been reported upon treatment of patients with these EpCAM antibodies.

Catumaxomab:

Systemic cytokine release causing pyrexia, tachycardia

Decrease in lymphocytes

Increase in liver parameters with transaminases up to Grade 4 at high dose with low dexamethasone

VB4-845

Mild fever, nausea, vomiting

Increase in transaminases

MT110 is a bispecific single chain antibody construct (BiTE) binding to epithelial cell adhesion molecule (EpCAM), expressed on most solid cancers of epithelial origin, and to CD3 on T cells. MT110 has shown high anti-tumor activity in various preclinical models including a human colorectal cancer (CRC) xenograft. Clinical proof of concept for BiTE antibodies has been demonstrated with blinatumomab (CD19xCD3 BiTE) in patients (pts) with B cell lymphoma (Bargou R et al. (2008) Science 321:9741). MT110 is presently under investigation in a dose-escalating phase 1 trial with (metastatic) gastrointestinal and lung cancer patients. In order to evaluate safety and tolerability of the anti-EpCAM x anti-CD3 bispecific single chain antibody, the compound has been administered by long-term continuous infusion. None of the patients developed fever,

chills or other infusion reactions after start of the infusion. No substantial systemic cytokine levels could be found. However, a transient elevation of liver enzymes has been observed upon start of infusion of the EpCAMxCD3 bispecific single chain antibody.

- 5 Evidently, it is difficult to design an anti-EpCAM-antibody based therapy, which does not affect liver parameters like liver enzymes etc. of the treated patients.

Thus, the technical problem underlying the present invention was to provide methods to overcome the above problem.

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The present invention addresses this need and thus provides embodiments concerning methods as well as dosage regimens for administering an EpCAMxCD3 bispecific antibody to a human patient.

- 15 These embodiments are characterized and described herein and reflected in the claims.

It must be noted that as used herein, the singular forms “a”, “an”, and “the”, include plural references unless the context clearly indicates otherwise. Thus, for example, reference to “a reagent” includes one or more of such different reagents and reference to “the method” includes reference to equivalent steps and methods known to those of ordinary skill in the art that could be modified or substituted for the methods described herein.

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All publications and patents cited in this disclosure are incorporated by reference in their entirety. To the extent the material incorporated by reference contradicts or is inconsistent with this specification, the specification will supersede any such material.

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Unless otherwise indicated, the term “at least” preceding a series of elements is to be understood to refer to every element in the series. Those skilled in the art will recognize, or be able to ascertain using no more than routine experimentation, many equivalents to the specific embodiments of the invention described herein. Such equivalents are intended to be encompassed by the present invention.

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Throughout this specification and the claims which follow, unless the context requires otherwise, the word “comprise”, and variations such as “comprises” and “comprising”,

will be understood to imply the inclusion of a stated integer or step or group of integers or steps but not the exclusion of any other integer or step or group of integer or step.

Several documents are cited throughout the text of this specification. Each of the documents cited herein (including all patents, patent applications, scientific publications, manufacturer's specifications, instructions, etc.), whether supra or infra, are hereby incorporated by reference in their entirety. Nothing herein is to be construed as an admission that the invention is not entitled to antedate such disclosure by virtue of prior invention.

In view of the adverse events, particularly the alarming increase in liver parameters such as ALT, AST, AP, etc. (the terms "AP, ALT and AST etc." are explained herein elsewhere), observed with EpCAM specific antibodies, the finding that the EpCAMxCD3 bispecific single chain antibody is well tolerated by the patients once it is administered in accordance with the dosage regimen as provided herein, is definitely remarkable.

Specifically, the present inventors observed that the serum level of liver enzymes increases significantly to an extent which is undesired since it may be an additional burden for patients which are subject to a treatment with an EpCAM specific antibody such as an EpCAMxCD3 bispecific antibody. However, strikingly, the increase in transaminases did not occur on re-exposure to an EpCAMxCD3 bispecific antibody, provided that the antibody was administered in accordance with the methods/dosage regimen as disclosed herein. In sum, the present inventors found that "adapting" a patient to an EpCAMxCD3 bispecific antibody prior to the therapy with an EpCAMxCD3 bispecific antibody is beneficial for avoiding undesired adverse effect (particularly the unwanted increase in liver parameters).

Accordingly, the present invention relates in a first aspect to a method (dosage regimen) for administering an EpCAMxCD3 bispecific antibody to a human patient, comprising:

- (a) administering continually a first dose of said antibody for a first period of time; and consecutively
- (b) administering continually a second dose of said antibody for a second period of time;

wherein said second dose exceeds said first dose.

It is preferred that in the context of the present invention the human patient comprises or is assumed to comprise EpCAM positive epithelial cancer cells.

- 5 It will be understood that in the context of the present invention, the term "method" includes a "dosage regimen" to be used in a method of the present invention.

In the context of the present invention "administration of an EpCAMxCD3 bispecific antibody" or "administering an EpCAMxCD3 bispecific antibody" or any other  
10 grammatical form thereof means that the EpCAMxCD3 antibody is in the form of a pharmaceutical composition, optionally comprising a pharmaceutically acceptable carrier. Accordingly, it is to be understood that a pharmaceutical composition comprising an EpCAMxCD3 bispecific antibody is administered to a human patient.

- 15 In the context of the present invention the term "patient" means a subject or individual in need of a treatment of EpCAM positive epithelial cancer cells. The patient is a mammal, preferably a human.

The term "administering" in all of its grammatical forms means administration of an  
20 EpCAMxCD3 bispecific antibody (in the form of a pharmaceutical composition) either as the sole therapeutic agent or in combination with another therapeutic agent.

It is thus envisaged that the pharmaceutical composition of the present invention are also employed in co-therapy approaches, i.e. in co-administration with other  
25 medicaments or drugs, for example, other medicaments for treating EpCAM positive epithelial cancer cells in a human patient and/or with glucocorticoids and/or any other therapeutic agent which might be beneficial in the context of the methods of the present invention.

- 30 The administration of a pharmaceutical composition referred to herein is preferably an intravenous administration. It follows that in the methods of the present invention the route of administration in step (a) and/or the route of administration in step (b) is intravenous.

The administration of an EpCAMxCD3 bispecific antibody (for example in the form of a pharmaceutical composition) is continually or as also used herein continuously. A continual administration refers to an administration which is essentially without interruption. "Essentially without interruption" includes a continual administration usually  
5 without an uninterrupted flow or spatial extension.

In a preferred embodiment of the present invention the second dose is therapeutically active. Preferably, an active dose effects activation of CD8+-T cells. Activated CD8+-T cells are preferably characterized by a CD25 and/or CD69 phenotype. The term  
10 "activated CD8+-T cells" and "CD25 and/or CD69 phenotype" are described herein elsewhere.

By "therapeutically effective amount" or "therapeutic active" is meant a dose of an EpCAMxCD3 bispecific antibody that produces the therapeutic effects for which it is  
15 administered.

The exact dose will depend on the purpose of the treatment, and will be ascertainable by one skilled in the art using known techniques. As is known in the art and described above, adjustments for age, body weight, general health, sex, diet, drug interaction and the severity of the condition may be necessary, and will be ascertainable with routine  
20 experimentation by those skilled in the art. "Therapeutically active" includes in the context of the present invention at least the above mentioned activation of CD8+-T cells, which is a prerequisite for a therapeutic approach. The therapeutic effect of the respective methods or method steps of the present invention is additionally detectable by all established methods and approaches which will indicate a therapeutic effect. It is,  
25 for example, envisaged that the therapeutic effect is detected by way of surgical resection or biopsy of an affected tissue/organ which is subsequently analyzed by way of immunohistochemical (IHC) or comparable immunological techniques. Alternatively it is also envisaged that the tumor markers in the serum of the patient (if present) are detected in order to diagnose whether the therapeutic approach is already effective or  
30 not. Additionally or alternatively it is also possible to evaluate the general appearance of the respective patient (fitness, well-being, decrease of tumor-mediated ailment etc.) which will also aid the skilled practitioner to evaluate whether a therapeutic effect is already there. The skilled person is aware of numerous other ways which will enable him or her to observe a therapeutic effect of the compounds of the present invention.

In a further aspect, the present invention relates to a method for treating EpCAM positive epithelial cancer cells in a human patient, said method comprising:

- 5 (a) administering continually a first dose of an EpCAMxCD3 bispecific antibody for a first period of time; and consecutively
- (b) administering continually a second dose of said antibody for a second period of time;

wherein said second dose exceeds said first dose.

- 10 In a still further aspect, the present invention relates to a method for ameliorating and/or preventing a medical condition, preferably an adverse effect, mediated by the continued (therapeutic) administration of an EpCAMxCD3 bispecific antibody to a human patient, said method comprising:

- 15 (a) administering continually a first dose of said antibody for a first period of time, and consecutively
- (b) administering continually a second dose of said antibody for a second period of time;

wherein said second dose exceeds said first dose. It is preferred that said human patient comprises or is assumed to comprise EpCAM positive epithelial cancer cells.

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In a preferred embodiment of the method for ameliorating or preventing a medical condition, preferably an adverse effect, mediated by the administration of an EpCAMxCD3 bispecific antibody to a human patient, said medical condition is characterized by an increase of the serum level of at least one liver enzyme.

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The increase of the serum level of said at least one liver enzyme is at its maximum up to grade 4 in accordance with the Common Terminology Criteria for Adverse Events v3.0 (CTCAE) which is further described herein below. Accordingly, the increase of the serum level of said at least one liver enzyme may also be up to grade 1, 2 or 3, while grade 4 is

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the maximum.  
The increase is preferably a transient one. "Transient" when used in the context of an increase of the serum level of said at least one liver enzyme means that the increase is not permanent, but disappears after treatment stop or during continued further infusion. It is also envisaged that the transient increase in the serum level of liver enzymes is not



necessarily accompanied by pathological findings in imaging, substantial tissue damage or impaired synthesis parameters of the liver. This is exemplarily shown in Figure 7 which depicts the liver parenchyma of a patient who was treated with MT110, i.e. an antibody of the invention. As can be seen, the liver parenchyma shows no signs of  
5 substantial cellular damage (HE staining) at peak of liver transaminases.

Generally spoken, the activity of a liver enzyme is commonly used as a “window” to the liver, since it provides guidance about the condition/state of the liver. For example, if the liver is damaged by, for example, alcohol or other medicaments, or has an abnormal  
10 function for any other reason, liver enzymes leak into the blood where they are normally not present.

Accordingly, the serum level of a liver enzyme can be measured by way of the activity of the liver enzyme. An activity of a liver enzyme that is above (i.e. increased or elevated) a  
15 commonly accepted reference value is usually indicative of a potential abnormal function and/or damage of the liver.

An activity of a liver enzyme is thus measurable by liver function tests (LFTs or LFs), i.e., clinical biochemistry laboratory blood assays designed to give information about the state of a patient's liver. For liver enzymes, reference values (normal values) are known  
20 and commonly accepted. A reference value is a set of values used by a health professional to interpret a set of medical test results. The reference value is usually defined as the set of values 95 percent of the normal population falls within, or two standard deviations from the mean. It is determined by collecting data from vast numbers of laboratory tests.

25 In case of liver enzymes the reference value is given as international units (IU). International units are based on measured biological activity or effect.

An increase (preferably transient) of the serum level of a liver enzyme is measured in  
30 multiples of the upper limit of normal (ULN). In accordance with the NCI Common Terminology Criteria for Adverse Events v3.0 (CTCAE) (Publish Date: December 12, 2003) the multiples of the ULN are categorized in grades. A Grade refers to the severity of the adverse effects. The CTCAE v3.0 displays grades 1 through 5 with unique clinical descriptions of severity for each adverse effects:

Grade 1: mild adverse effects

Grade 2: Moderate adverse effects

Grade 3: Severe adverse effects

Grade 4: Life-threatening or disabling adverse effects.

5 Grade 5: Death of the patient

10 Liver transaminases such as aspartate transaminase (AST) and alanine transaminase (ALT) provide for the state of cellular integrity of the liver, since in case of a liver damage or malfunction these enzymes leak from damaged or malfunctioning liver cells into the blood.

Accordingly, in the context of the methods of the present invention, AST and/or ALT are the preferred liver enzymes (liver markers). In some preferred embodiments said at least one liver enzyme comprises AST and/or ALT and optionally also GGT and/or AP.

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Aspartate transaminase (AST) also called Serum Glutamic Oxaloacetic Transaminase (SGOT) or aspartate aminotransferase (ASAT) is similar to Alanine transaminase (ALT) in that it is another enzyme associated with liver parenchymal cells. It is raised in acute liver damage, but is also present in red blood cells, and cardiac and skeletal muscle and  
20 is therefore not specific to the liver. The ratio of AST to ALT is sometimes useful in differentiating between causes of liver damage. Elevated AST levels are not specific for liver damage. A usual reference value for AST is 10 to 50 IU/l.

25 In accordance with the Common Terminology Criteria for Adverse Events v3.0 the grading for AST is as follows:

Grade 1	Grade 2	Grade 3	Grade 4	Grade 5
>ULN – 2.5 x ULN	>2.5 – 5.0 x ULN	5.0 – 20.0 x ULN	>20.0 x ULN	-

30 Alanine transaminase (ALT), also called Serum Glutamic Pyruvate Transaminase (SGPT) or Alanine aminotransferase (ALAT) is an enzyme present in hepatocytes (liver cells). When a cell is damaged, it leaks this enzyme into the blood, where it is measured.

ALT rises dramatically in acute liver damage, such as viral hepatitis or paracetamol (acetaminophen) overdose. A usual reference value for ALT is 5 to 50 IU/l.

In accordance with the Common Terminology Criteria for Adverse Events v3.0 the  
5 grading for ALT is as follows:

Grade 1	Grade 2	Grade 3	Grade 4	Grade 5
>ULN – 2.5 x ULN	>2.5 – 5.0 x ULN	>5.0 – 20.0 x ULN	>20.0 x ULN	-

Other liver enzymes such as gamma-glutamyl transferase (GGT) or alkaline phosphatase (AP) provide for conditions linked to the biliary tract.

10 Accordingly, it is also envisaged that as a further liver enzyme alkaline phosphatase (AP or ALP) the increase or decrease, respectively, could be measured in the context of the present invention. AP is an enzyme in the cells lining the biliary ducts of the liver. AP levels in plasma will rise with large bile duct obstruction, intrahepatic cholestasis or infiltrative diseases of the liver. AP is also present in bone and placental tissue, so it is  
15 higher in growing children (as their bones are being remodelled) and elderly patients with Paget's disease. A usual reference value for AP is 30 to 120 IU/l.

In accordance with the Common Terminology Criteria for Adverse Events v3.0 the  
20 grading for AP is as follows:

Grade 1	Grade 2	Grade 3	Grade 4	Grade 5
>ULN – 2.5 x ULN	>2.5 – 5.0 x ULN	>5.0 – 20.0 x ULN	>20.0 x ULN	-

Another liver enzyme the increase or decrease, respectively, could be measured in the context of the present invention is gamma glutamyl transpeptidase (GGT). It is known to be elevated with even minor, sub-clinical levels of liver dysfunction. It can also be helpful  
25 in identifying the cause of an isolated elevation in ALP. A usual reference value for GGT is 0 to 51 IU/l.

In accordance with the Common Terminology Criteria for Adverse Events v3.0 the grading for GGT is as follows:

Grade 1	Grade 2	Grade 3	Grade 4	Grade 5
>ULN – 2.5 x ULN	>2.5 – 5.0 x ULN	>5.0 – 20.0 x ULN	>20.0 x ULN	-

5 In one aspect of the methods of the present invention said second period of time exceeds said first period of time. The term “exceeds” means that the second period of time is longer than the first period of time, preferably at least one day longer. In another aspect of the methods/dosage regimen of the present invention, said first period of time exceeds or equates said second period of time.

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It is also envisaged that the first period of time and the second period of time are interrupted by a third period of time (i.e. a break between the first period of time and the second period of time). Said third period of time is preferably as short as possible (as the EpCAM-positive epithelial cancer might grow in the meantime) but may last for one or more days or even one or two or even more weeks depending on the circumstances. The aim of this interruption has to be seen as a recreational phase allowing the patient to recover from the increase of the serum level of said at least one liver enzyme, provided that this is necessary. In a preferred embodiment said third period of time (which is between the first and the second period of time) is two weeks or less, more preferably it is one week or less.

20

In another aspect of the present invention, it is envisaged that said first period of time is at least 1, 2, 3, 4, 5, 6, 7 days long, whereby even longer periods of time of for example 8, 9, 10, 11, 12, 13 or 14 days are not excluded. “Longer” is thereby not limited to a (one) complete day as the lowest time unit, i.e. ½ days, or fully hours are also conceivable. It is however preferred that the smallest time unit is one full day. In view of the results shown in the appended examples, it turns out that the first period of time is ideally between 7 and 9 days (7, 8, 9d), 7 days being preferred. Preferably said EpCAMxCD3 bispecific antibody is administered in that first period of time such that the serum level of at least one liver enzyme is increased to grade 4 or less (preferably to

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grade 3) and subsequently decreased to grade 2. This increase and decrease of a liver enzyme has been explained herein elsewhere.

As used herein, a time interval which is defined as "X to Y" equates with a time interval which is defined as "between X and Y". Both time intervals specifically include the upper  
5 limit and also the lower limit. This means that for example a time interval "1 to 4 days" or between "1 to 4 days" includes a period of time of one, two, three and/or four days.

As mentioned herein, the present inventors observed that "adapting" a human patient to the treatment with an EpCAMxCD3 bispecific antibody during a first period of time allows  
10 the treatment of the human patient with an increased second dose of the antibody for a second period of time, whereby adverse effects (increase of the serum level of at least one liver enzyme) can be better controlled, i.e., kept within an acceptable grade in accordance with the CTCAE.

However, for achieving this improvement it is required to "adapt" the human patient to  
15 the EpCAMxCD3 bispecific antibody by continually administering a first dose of the antibody for a first period of time (wherein said first dose is lower than the consecutive (second) dose).

That first period of time, in which a first dose of an EpCAMxCD3 bispecific antibody is  
20 continually administered to a human patient, is preferably characterized by an increase of the serum level of at least one liver enzyme up to grade 3 or 4 (thus including an increase of the serum level of at least one liver enzyme to grade 1 or 2). The increase is seen in relation to the serum level of said at least one liver enzyme at the start of the first period.

25 The "adaptation" phase (which includes the first period of time in which a first dose of an EpCAMxCD3 bispecific antibody is continually administered to a human patient) preferably persists until the increased serum level of said at least one liver enzyme is decreased to preferably grade 2 or even grade 1. Said adaptation phase may also  
30 include the above mentioned third period of time (provided that a third period of time is employed) which third period of time represents a recreational break allowing the patient to recover from the increase of the serum level of at least one liver enzyme (if necessary).

In sum, the first period of time in which a first dose of an EpCAMxCD3 bispecific antibody is continually administered to a human patient is characterized by an increase of the serum level of at least one liver enzyme and by a decrease of the serum level of at least one liver enzyme as described before. Said decrease may also take place during  
5 the third period of time, provided that a third period of time is employed. This increase and decrease thus characterizes the adaptation phase of a human patient to whom an EpCAMxCD3 bispecific antibody is to be administered, thereby allowing the continual administration of a second dose of an EpCAMxCD3 bispecific antibody for a second period of time without having an excess increase of the serum level of at least one liver  
10 enzyme.

Accordingly, the first period of time (or first and third period of time) is dependent on the time required for the increase and decrease and may thus vary from patient to patient. However, the skilled practitioner by applying the teaching of the present invention is readily in a position to determine the increase of the serum level of at least one liver  
15 enzyme by determining the serum level and comparing it to the serum level at the start of the "adaptation phase", i.e., at the start of the first period of time (and third period of time, if present) in which a first dose of an EpCAMxCD3 bispecific antibody is continually administered to a human patient.

Likewise, the skilled practitioner is readily in a position to determine the decrease of the  
20 serum level of at least one liver enzyme by determining the serum level after it has reached a maximum grade of 4 and evaluating whether it is then decreased.

In a particular preferred embodiment of the methods of the present invention the first period of time in which an EpCAMxCD3 bispecific antibody is continually administered to  
25 a human patient is equal to or less than 4 days (for example 3 days), provided that the serum level of said at least one liver enzyme does not increase during said period of time above grade 2.

Likewise the duration of the first period of time, the duration of the second period of time  
30 and the duration of the third period of time may be variable in view of, for example, the age, sex, body weight, etc. of the human patient.

The second period of time preferably persists until the CD8+-T-cells of said patient are being activated.

Activation of CD8+-T cells can be determined by means and methods known in the art, such as FACS-analysis by applying antibodies for CD8, thereby sorting for CD8+-T cells and/or by applying antibodies specific for cell surface markers which are indicative for the activation of CD8+-T cells.

Accordingly, CD8+-T cell activation is preferably characterized by an increase of a CD25 and/or CD69-positive phenotype of at least 20%, 30%, 40%, 50% or more of said CD8+-T-cells. The total number of CD8+-T cells can be determined by means and methods known in the art in, for example, by FACS-analysis of a sample of peripheral blood of said patient. Said increase during the second period is to be seen in relation to the CD25 and/or CD69-positive phenotype of CD8+-T cells of the patient prior to the first period or prior to the second period. Accordingly, it is envisaged that the phenotype of CD8+-T cells of the patient is determined prior to the first period or prior to the second period in order to have available a reference value.

In another embodiment of the methods of the present invention, said second period of time is at least 2 weeks, i.e. 2, 3, 4, 5, 6, 7, 8 or even more weeks, 3 weeks being preferred. It will be understood that the term "one week" means seven full days.

In a preferred embodiment, said second period of time is at least 19 days, i.e. 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, or even more days. It is preferred that said second period of time persists up to the detection of a therapeutic effect. "Therapeutic effect" includes in the context of the present invention at least the above mentioned activation of CD8+-T cells, which is a prerequisite for any therapeutic effect.

In a more preferred embodiment of the methods/dosage regimens of the present invention, said first period of time is between 1 and 10 days, and that second period of time is at least 19 days.

In an even more preferred embodiment, said first period of time is 7 to 9 days and that second period of time is 19 to 21 days. Particularly preferred is a first period of time of 7 days and a second period of time of 21 days. In another particularly preferred

embodiment, said first period of time is one week, said second period of time is at least three weeks and that third period of time is one or two weeks.

5 In some embodiments, said first dose is not therapeutically active. "Not therapeutically active" means in this context that the above described CD8+-T cell activation is preferably characterized by an increase of a CD25 and/or CD69-positive phenotype of CD8+-T cell of 20%, or below. The total number of CD8+-T cells can be determined by means and methods known in the art in, for example, by FACS-analysis of a sample of peripheral blood of said patient. Said increase is to be seen in relation to the CD25  
10 and/or CD69-positive phenotype of CD8+-T cells of the patient prior to the first period. Accordingly, it is envisaged that the phenotype of CD8+-T cells of the patient is determined prior to the first period in order to have available a reference value.

15 It is envisaged that second dose is therapeutically active. Therapeutic activity of said second dose is characterized by activated CD8+-T-cells as described herein elsewhere. Said activation is characterized by a CD25 and/or CD69-positive phenotype of more than 20% of said CD8+-T-cells (in relation to the CD25 and/or CD69-positive phenotype prior to the second period).

20 In another embodiment of the methods of the present invention, said first dose is such that the serum level of at least one liver enzyme increases to a serum level of grade 3 or 4 and decreases again to a serum level of grade 2 within the first period of time. The terms "liver enzyme", "grade" etc. are described elsewhere herein.

25 In a further aspect of the methods/dosage regimens of the present invention, said first dose is 1 to 6 µg/d, i.e. 1, 2, 3, 4, 5, or 6 µg/d (1 to 3 µg/d, i.e. 1, 2, or 3µg/d being preferred). "d" denotes one day. A dose of, for example, 1 µg/d means that 1µg of the EpCAMxCD3 bispecific antibody is administered evenly or continuously across one day. "Continuously across one day" refers to an infusion which is allowed to proceed  
30 permanently without interruption. It is also envisaged that the first dose is increased over time during said first period of time (i.e. the first period of time is split up into several steps which are characterized by an increase of the dose), wherein said increase ends up at a dose which as such is below said second dose. Said stepwise increase within the first period of time might occur from day to day or from week to week (see for



example Figure 8). It is thus envisaged that the first period of time starts with a “dose escalation” treatment/dosage regimen which is characterized by a stepwise adaptation of the patient to the treatment (for example one week 3µg/day followed by one week 6 or 12µg/day).

5

In a further aspect of the methods/dosage regimen of the present invention, said second dose is 10 to 120 µg/d, i.e. 10, 20, 30, 40, 50, 60, 70, 80, 90, 100, 110, or 120 µg/d, or even more.

10 The term “µg” includes “µg of the EpCAMxCD3 bispecific antibody preparation”. It is preferred that not more than 10% of said EpCAMxCD3 bispecific antibody preparation is incorrectly folded. It follows that in a preferred embodiment, 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98%, 99% or even 100% of the EpCAMxCD3 bispecific antibody is correctly folded. It is also conceivable that the antibody preparation may optionally  
15 comprise further ingredients, for example a lyoprotectant, a surfactant, a filler, a binder, and/or bulking agent etc.. The amount of such further ingredients is, preferably, not included in the term “µg” as used in the context of the “dose” and or methods of the present invention.

20 In a preferred embodiment, said first dose is 1 to 3 µg/d (i.e. 1, 2, or 3) and that second dose is 20 to 90 µg/d (i.e. 20, 30, 40, 50, 60, 70, 80, or 90).

It must be understood that the ranges given herein are illustrated by increments of ten. These ranges, however, also encompass smaller increments, for example those  
25 exemplified by increments of one (10 to 30 includes for example 10, 11, 12, 13, 13 etc. up to 30), or still smaller increments, for example values after the decimal point.

In a preferred embodiment, said dosage regimen is as depicted in Figure 8. Further details to these dosage regimens are depicted in Example 4 or 5 which comprises  
30 embodiments of the present invention.

As noted herein above, the present invention methods of treatment/dosage regimen which employ EpCAMxCD3 bispecific antibodies, comprising a first binding domain capable of binding to an epitope of human CD3 epsilon chain and a second binding

domain capable of binding to human EpCAM. Examples for bispecific molecules according to the methods of the invention are described in great detail in WO2005/040220 (PCT/EP2004/011646), which is incorporated herein by reference in its entirety. All the specific EpCAMxCD3 bispecific antibodies disclosed therein, including  
5 their variants, fragments, equivalents etc. are particularly preferred EpCAMxCD3 bispecific antibodies of the present invention.

As used herein, an "EpCAMxCD3 bispecific single chain antibodies" denotes a single polypeptide chain comprising two binding domains. Such single chain antibodies are  
10 preferred in the context of the methods/dosage regimen of the present invention. Each binding domain comprises at least one variable region from an antibody heavy chain ("VH or H region"), wherein the VH region of the first binding domain specifically binds to the CD3 epsilon molecule, and the VH region of the second binding domain specifically binds to EpCAM. The two binding domains are optionally linked to one another by a  
15 short polypeptide spacer. A non-limiting example for a polypeptide spacer is Gly-Gly-Gly-Gly-Ser (G-G-G-G-S) and repeats thereof. Each binding domain may additionally comprise one variable region from an antibody light chain ("VL or L region"), the VH region and VL region within each of the first and second binding domains being linked to one another via a polypeptide linker, for example of the type disclosed and claimed in  
20 EP 623679 B1, but in any case long enough to allow the VH region and VL region of the first binding domain and the VH region and VL region of the second binding domain to pair with one another such that, together, they are able to specifically bind to the respective first and second binding domains. Such EpCAMxCD3 bispecific single chain antibodies are described in great detail in WO2005/040220 (PCT/EP2004/011646),  
25 which is incorporated herein by reference in its entirety.

The term "binding domain" characterizes in connection with the present invention a domain of a polypeptide which specifically binds to/interacts with a given target structure/antigen/epitope. Thus, the binding domain is an "antigen-interaction-site". The  
30 term "antigen-interaction-site" defines, in accordance with the present invention, a motif of a polypeptide, which is able to specifically interact with a specific antigen or a specific group of antigens, e.g. the identical antigen in different species. Said binding/interaction is also understood to define a "specific recognition". The term "specifically recognizing" means in accordance with this invention that the antibody molecule is capable of

specifically interacting with and/or binding to at least two, preferably at least three, more preferably at least four amino acids of an antigen, e.g. the human CD3 antigen as defined herein. Such binding may be exemplified by the specificity of a “lock-and-key-principle”. Thus, specific motifs in the amino acid sequence of the binding domain and  
5 the antigen bind to each other as a result of their primary, secondary or tertiary structure as well as the result of secondary modifications of said structure. The specific interaction of the antigen-interaction-site with its specific antigen may result as well in a simple binding of said site to the antigen. Moreover, the specific interaction of the binding domain/antigen-interaction-site with its specific antigen may alternatively result in the  
10 initiation of a signal, e.g. due to the induction of a change of the conformation of the antigen, an oligomerization of the antigen, etc. A preferred example of a binding domain in line with the present invention is an antibody. The binding domain may be a monoclonal or polyclonal antibody or derived from a monoclonal or polyclonal antibody. The term “antibody” comprises derivatives or functional fragments thereof which still  
15 retain the binding specificity. Techniques for the production of antibodies are well known in the art and described, e.g. in Harlow and Lane “Antibodies, A Laboratory Manual”, Cold Spring Harbor Laboratory Press, 1988 and Harlow and Lane “Using Antibodies: A Laboratory Manual” Cold Spring Harbor Laboratory Press, 1999. The term “antibody” also comprises immunoglobulins (Ig’s) of different classes (i.e. IgA, IgG, IgM, IgD and  
20 IgE) and subclasses (such as IgG1, IgG2 etc.).

The definition of the term “antibody” also includes embodiments such as chimeric, single chain and humanized antibodies, as well as antibody fragments, like, inter alia, Fab fragments. Antibody fragments or derivatives further comprise F(ab')<sub>2</sub>, Fv, scFv fragments or single domain antibodies, single variable domain antibodies or  
25 immunoglobulin single variable domain comprising merely one variable domain, which might be VH or VL, that specifically bind to an antigen or epitope independently of other V regions or domains; see, for example, Harlow and Lane (1988) and (1999), loc. cit. Such immunoglobulin single variable domain encompasses not only an isolated antibody single variable domain polypeptide, but also larger polypeptides that comprise one or  
30 more monomers of an antibody single variable domain polypeptide sequence.

As used herein, CD3 epsilon denotes a molecule expressed as part of the T cell receptor and has the meaning as typically ascribed to it in the prior art. In human, it encompasses in individual or independently combined form all known CD3 subunits, for example CD3

epsilon, CD3 delta, CD3 gamma, CD3 zeta, CD3 alpha and CD3 beta. The human CD3 epsilon is indicated in GenBank Accession No. NM\_000733.

The human EpCAM is indicated in GenBank Accession No. NM\_002354.

5

In a preferred embodiment of the methods/dosage regimen of the present invention, the bispecific single chain antibody construct is an EpCAM VL-EpCAM VH-CD3 VH- CD3 VL bispecific single chain antibody construct.

- 10 In a more preferred embodiment of the methods/dosage regimen of the present invention, the bispecific single chain antibody construct comprises CDR H1-3 as disclosed in SEQ ID NO. 88 (CDRH1), SEQ ID NO 92 (CDRH2), and SEQ ID NO 96 (CDRH3) disclosed in WO2005/040220 (PCT/EP2004/011646), and CDR L1-3 as disclosed in SEQ ID NO. 100 (CDRL1), SEQ ID NO 102 (CDRL2), and SEQ ID NO 104 (CDRL3) as disclosed in WO2005/040220 (PCT/EP2004/011646), i.e. the CDRs which
- 15 characterize MT110.

Said SEQ IDs are also depicted in the Table below:

SEQ ID NO. 88 (CDRH1)	<400> 88 Gly Tyr Thr Phe Thr Arg Tyr Thr Met His 1 5 10
SEQ ID NO 92 (CDRH2)	<400> 92 Tyr Ile Asn Pro Ser Arg Gly Tyr Thr Asn Tyr Ala Asp Ser Val Lys 1 5 10 15 Gly
SEQ ID NO 96 (CDRH3)	<400> 96 Tyr Tyr Asp Asp His Tyr Cys Leu Asp Tyr 1 5 10
SEQ ID NO. 100 (CDRL1)	<400> 100 Arg Ala Ser Gln Ser Val Ser Tyr Met Asn 1 5 10
SEQ ID NO 102 (CDRL2)	<400> 102 Asp Thr Ser Lys Val Ala Ser 1 5

SEQ ID NO 104 (CDRL3)	<400> 104 Gln Gln Trp Ser Ser Asn Pro Leu Thr 1 5
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In an even more preferred embodiment of the method of the invention, the EpCAMxCD3 bispecific single chain antibody construct comprises (or consists of) an amino acid sequence as set forth in SEQ ID NO. 63 as disclosed in WO2005/040220  
5 (PCT/EP2004/011646) and depicted below, or an amino acid sequence at least 90%, preferably 95% identical to said SEQ ID NO. 63 (which is also disclosed herein below). The EpCAMxCD3 bispecific single chain antibody construct which is characterized by that sequence is MT110.

#### 10 Amino acid sequence of MT110

<223> 5-10(VL-VH)xanti-CD3(VH(5)-VL(2))

<400> 63

Met Gly Trp Ser Cys Ile Ile Leu Phe Leu Val Ala Thr Ala Thr Gly  
1 5 10 15

Val His Ser Glu Leu Val Met Thr Gln Ser Pro Ser Ser Leu Thr Val  
20 25 30

Thr Ala Gly Glu Lys Val Thr Met Ser Cys Lys Ser Ser Gln Ser Leu  
35 40 45

Leu Asn Ser Gly Asn Gln Lys Asn Tyr Leu Thr Trp Tyr Gln Gln Lys  
50 55 60

Pro Gly Gln Pro Pro Lys Leu Leu Ile Tyr Trp Ala Ser Thr Arg Glu  
65 70 75 80

Ser Gly Val Pro Asp Arg Phe Thr Gly Ser Gly Ser Gly Thr Asp Phe  
85 90 95

Thr Leu Thr Ile Ser Ser Val Gln Ala Glu Asp Leu Ala Val Tyr Tyr  
100 105 110

Cys Gln Asn Asp Tyr Ser Tyr Pro Leu Thr Phe Gly Ala Gly Thr Lys  
115 120 125

## 21

Leu Glu Ile Lys Gly Gly Gly Gly Ser Gly Gly Gly Gly Ser Gly Gly  
 130 135 140

Gly Gly Ser Glu Val Gln Leu Leu Glu Gln Ser Gly Ala Glu Leu Val  
 145 150 155 160

Arg Pro Gly Thr Ser Val Lys Ile Ser Cys Lys Ala Ser Gly Tyr Ala  
 165 170 175

Phe Thr Asn Tyr Trp Leu Gly Trp Val Lys Gln Arg Pro Gly His Gly  
 180 185 190

Leu Glu Trp Ile Gly Asp Ile Phe Pro Gly Ser Gly Asn Ile His Tyr  
 195 200 205

Asn Glu Lys Phe Lys Gly Lys Ala Thr Leu Thr Ala Asp Lys Ser Ser  
 210 215 220

Ser Thr Ala Tyr Met Gln Leu Ser Ser Leu Thr Phe Glu Asp Ser Ala  
 225 230 235 240

Val Tyr Phe Cys Ala Arg Leu Arg Asn Trp Asp Glu Pro Met Asp Tyr  
 245 250 255

Trp Gly Gln Gly Thr Thr Val Thr Val Ser Ser Gly Gly Gly Gly Ser  
 260 265 270

Asp Val Gln Leu Val Gln Ser Gly Ala Glu Val Lys Lys Pro Gly Ala  
 275 280 285

Ser Val Lys Val Ser Cys Lys Ala Ser Gly Tyr Thr Phe Thr Arg Tyr  
 290 295 300

Thr Met His Trp Val Arg Gln Ala Pro Gly Gln Gly Leu Glu Trp Ile  
 305 310 315 320

Gly Tyr Ile Asn Pro Ser Arg Gly Tyr Thr Asn Tyr Ala Asp Ser Val  
 325 330 335

Lys Gly Arg Phe Thr Ile Thr Thr Asp Lys Ser Thr Ser Thr Ala Tyr  
 340 345 350

## 22

Met Glu Leu Ser Ser Leu Arg Ser Glu Asp Thr Ala Thr Tyr Tyr Cys  
                   355                                  360                                  365

Ala Arg Tyr Tyr Asp Asp His Tyr Cys Leu Asp Tyr Trp Gly Gln Gly  
           370                                  375                                  380

Thr Thr Val Thr Val Ser Ser Gly Glu Gly Thr Ser Thr Gly Ser Gly  
   385                                  390                                  395                                  400

Gly Ser Gly Gly Ser Gly Gly Ala Asp Asp Ile Val Leu Thr Gln Ser  
                                   405                                  410                                  415

Pro Ala Thr Leu Ser Leu Ser Pro Gly Glu Arg Ala Thr Leu Ser Cys  
                   420                                  425                                  430

Arg Ala Ser Gln Ser Val Ser Tyr Met Asn Trp Tyr Gln Gln Lys Pro  
           435                                  440                                  445

Gly Lys Ala Pro Lys Arg Trp Ile Tyr Asp Thr Ser Lys Val Ala Ser  
   450                                  455                                  460

Gly Val Pro Ala Arg Phe Ser Gly Ser Gly Ser Gly Thr Asp Tyr Ser  
   465                                  470                                  475                                  480

Leu Thr Ile Asn Ser Leu Glu Ala Glu Asp Ala Ala Thr Tyr Tyr Cys  
                                   485                                  490                                  495

Gln Gln Trp Ser Ser Asn Pro Leu Thr Phe Gly Gly Gly Thr Lys Val  
                   500                                  505                                  510

Glu Ile Lys  
           515

Thus, in a most preferred embodiment of the methods/dosage regimen of the present  
 45 invention said EpCAMxCD3 bispecific single chain antibody is MT110 as characterized  
 by the above indicated amino acid sequence.

The invention describes an EpCAMxCD3 bispecific single chain antibody molecule  
 comprising an amino acid sequence as depicted in SEQ ID NO. 63 above (or in  
 50 WO2005/040220 (PCT/EP2004/011646), as well as an amino acid sequence at least 90  
 % or preferably 95 % identical, most preferred at least 96, 97, 98, or 99 % identical to

said amino acid sequence. It is to be understood that the sequence identity is determined over the entire amino acid sequence. For sequence alignments, for example, the programs Gap or BestFit can be used (Needleman and Wunsch J. Mol. Biol. 48 (1970), 443-453; Smith and Waterman, Adv. Appl. Math 2 (1981), 482-489), which is  
5 contained in the GCG software package (Genetics Computer Group, 575 Science Drive, Madison, Wisconsin, USA 53711 (1991)). It is a routine method for those skilled in the art to determine and identify an amino acid sequence having e.g. 90%, 95%, 96%, 97%, 98% or 99% sequence identity to the amino acid sequences of the EpCAMxCD3 bispecific single chain antibody described herein (preferably MT110). For example,  
10 according to Crick's Wobble hypothesis, the 5' base on the anti-codon is not as spatially confined as the other two bases, and could thus have non-standard base pairing. Put in other words: the third position in a codon triplet may vary so that two triplets which differ in this third position may encode the same amino acid residue. Said hypothesis is well known to the person skilled in the art (see e.g.  
15 [http://en.wikipedia.org/wiki/Wobble\\_Hypothesis](http://en.wikipedia.org/wiki/Wobble_Hypothesis); Crick, J Mol Biol 19 (1966): 548-55). It is furthermore a routine procedure for those skilled in the art to determine cytotoxic activity of such an amino acid sequence having e.g. 90%, 95%, 96%, 97%, 98% or 99% sequence identity to the nucleotide or amino acid sequences of the EpCAMxCD3 bispecific single chain antibody described herein. Cytotoxic activity of the EpCAMxCD3  
20 bispecific single chain antibody or an antibody construct having e.g. 90%, 95%, 96%, 97%, 98% or 99% sequence identity to the amino acid sequences of the EpCAMxCD3 bispecific single chain antibody can be detected by methods as illustrated e.g. in WO2005/040220 (PCT/EP2004/011646).

25 It is also envisaged that the methods of the present invention are further characterized by the, preferably concomitant, administration of a glucocorticoid.

As it is shown in the appended Example 2, glucocorticoids were found to somewhat mitigate the above described increase in liver enzymes in the course of the methods of  
30 treatment of the present invention. It is therefore envisaged that the methods of the present invention (and thereby the dosage regimens of the present invention) are further characterized by the optional administration of at least one glucocorticoid. Said administration is preferably concomitant to the first and/or second period of time as defined herein. Glucocorticoids (GC) are a class of steroid hormones that bind to the



glucocorticoid receptor (GR), which is present in almost every vertebrate animal cell, including humans. These compounds are potent anti-inflammatory agents, regardless of the inflammation's cause. Glucocorticoids suppress, inter alia, the cell-mediated immunity by inhibiting genes that code for the cytokines IL-1, IL-2, IL-3, IL-4, IL-5, IL-6, IL-8 and IFN- $\gamma$ .

As used herein, the term "glucocorticoid" comprises at least cortisone, cortisol, cloprednol, prednisone, prednisolone, methylprednisolone, deflazacort, fluocortolone, triamcinolone, dexamethasone, and beatamethasone. Prednisone, prednisolone and/or methylprednisolone are thereby preferred.

EpCAM is a pan-epithelial differentiation antigen that is expressed on the (baso-lateral) cell surface of almost all carcinomas. A carcinoma thereby denotes any malignant cancer that arises from epithelial cells. Carcinomas invade surrounding tissues and organs and may metastasize, or spread, to lymph nodes and other sites. The term "EpCAM positive epithelial cancer cells" as used herein, therefore refers to all kinds of carcinomas, including single or metastatic cells thereof, which express EpCAM on their cell-surface. Examples of EpCAM positive epithelial cancer (cells) are gastrointestinal cancer (cells), lung cancer (cells), prostate cancer (cells) and ovarian cancer (cells). "Gastrointestinal" includes for example the esophagus, stomach (gastric), small and large intestines, bladder, gallbladder, liver and pancreas. The main types of lung cancer are small cell lung carcinoma and non-small cell lung carcinoma.

Cancer cells can break away, leak, or spill from a primary tumor, enter lymphatic and blood vessels, circulate through the bloodstream, and be deposited within normal tissue elsewhere in the body. Most malignant tumors and other malignant neoplasms can metastasize, although in varying degrees. These metastasizing cancer cells are sometimes also denoted "metastatic variants" or "metastases". The metastasizing cancer cells are specifically included in the scope of the present invention.

In a preferred embodiment of the methods of the present invention, said gastrointestinal cancer is gastric cancer, colorectal cancer, or metastatic variants thereof and said lung cancer is small lung cancer, non-small lung cancer (NSCLC), or metastatic variants thereof.

Also preferred are adenocarcinoma of the lung, adenocarcinoma of the gastro-esophageal junction, hormone-refractory prostate cancer, ovarian cancer and breast cancer to name some.

- 5 In another preferred embodiment of the methods of the invention, the method is for the treatment, amelioration or elimination of minimal residual disease (MRD) in a patient with (metastatic) EpCAM positive epithelial cancer cells. "MRD" is the name given, to small numbers of cancer cells that remain in the patient during treatment, or after treatment when the patient is in remission (i.e. when the patient shows no symptoms or signs of  
10 disease). It is the major cause of relapse in epithelial cancer and leukaemia.

In a further embodiment, the present invention relates to a method for:

- (i) administering an EpCAMxCD3 bispecific antibody to a human patient, or
- (ii) treating EpCAM positive epithelial cancer cells in a human patient; or
- 15 (iii) ameliorating or preventing a medical condition mediated by the administration of an EpCAMxCD3 bispecific antibody to a human patient;

said method comprising:

- (a) administering continually an EpCAMxCD3 bispecific antibody such that the serum level of at least one liver enzyme is increased to grade 4 or less  
20 (preferably to grade 3) and subsequently decreased to grade 2; and consecutively
- (b) administering said antibody such that it is therapeutically active.

- 25 In a further embodiment of the methods of the invention, one treatment cycle (including the first and second period of time, and optionally also the third period of time) is followed by one or more repeated cycle(s) after a treatment-free interval. In a preferred embodiment of the methods of the invention, one treatment cycle (including the first and second period of time, and optionally also the third period of time) is a 4 to 8 week continuous infusion, followed by repeated (a) cycle(s) after a 2 to 6 week treatment-free  
30 interval. It is also envisaged that said second treatment cycle differs from the 1<sup>st</sup> treatment cycle (the "first treatment cycle" denotes in this regard the treatment cycle which is directly prior to the "second treatment cycle") in that the first period of time and/or dosage of said second cycle is different and/or in that the second period of time and/or dosage is different when compared to the first treatment cycle. Likewise, it is

possible that the third period of time (if present in the first treatment cycle) might differ or even be absent from the second cycle (or vice versa. i.e. it might be present in the second cycle and absent from the first cycle). It is also envisaged, that the second treatment cycle merely consists of the second period of time, i.e. it is explicitly envisaged  
5 that the second treatment cycle directly starts with a therapeutically active dosage and leaves out the adaptation phase which is characterized by the herein described first dose for a first period of time (including the third period of time if present). Said therapeutically active dosage of the second treatment cycle is either identical to the dose which was used in the second period of time of the first treatment cycle or differs from it  
10 (preferably exceeds it). Dosage regimens as depicted in Figure 8 or exemplified in the appended examples are particularly preferred.

The present invention furthermore relates to an EpCAMxCD3 bispecific antibody for:

- (i) administering an EpCAMxCD3 bispecific antibody to a human patient, or
- 15 (ii) treating EpCAM positive epithelial cancer cells in a human patient; or
- (iii) ameliorating or preventing a medical condition mediated by the administration of an EpCAMxCD3 bispecific antibody to a human patient;

wherein said antibody is to be administered in accordance with a method or dosage regimen of the present invention.

20 The present invention also relates to the use of an EpCAMxCD3 bispecific antibody for the preparation/manufacture of a pharmaceutical composition to be used in a method as defined in any one of the preceding claims. The pharmaceutical composition of the present invention may optionally comprise a pharmaceutical carrier. Examples of  
25 suitable pharmaceutical carriers are well known in the art and include phosphate buffered saline solutions, sterile solutions etc. Intravenous vehicles include fluid and nutrient replenishers, electrolyte replenishers (such as those based on Ringer's dextrose), and the like. Preservatives and other additives may also be present such as, for example, antimicrobials, anti-oxidants, chelating agents, and inert gases and the like.  
30 Furthermore, the pharmaceutical composition of the invention may comprise further agents such as glucocorticoids as explained herein elsewhere.

In a further aspect, the present invention relates to a (pharmaceutical) kit or pharmaceutical package comprising the first dose and the second dose as defined

herein. Said first and second dose are thereby packaged together in one sealed pharmaceutical package or kit. It will be understood that the "first dose" and the second dose" encompasses in this regard the respective number of single doses which will be used for a given period of time (either the first or the second period of time). This means  
5 for example that the "first dose" or "second dose" which is comprised in the pharmaceutical package or kit of the present invention comprises, for example, 7 daily doses which are separated. The number of packaged daily doses thereby reflects the intended period of time (X daily doses if said period of time is X days, Y daily doses if the period of time is Y days and so on). In these embodiments, the (pharmaceutical) kit or  
10 pharmaceutical package comprises the daily dosages in separate containers, in a single package. As mentioned herein elsewhere, said separate containers might contain different doses, for example in the context of an increasing dosage during the 1<sup>st</sup> or 2<sup>nd</sup> period of time as described herein – the 1<sup>st</sup> containers might comprise a 3µg dosage per day (e.g. multiplied by seven) while further containers comprise 12µg/day (e.g. multiplied  
15 by seven) – all these containers, however, still form part of the "first dose").

Alternatively, it is also envisaged that the intended first dose and/or second dose is not separated into the respective number of daily doses but is contained, either in toto or in part, in one single container (for example an infusion bag), which comprises the required dose for either the first and/or the second period of time either in part (for example for 1  
20 to 3 days) or in *toto* (i.e. for the first or second period of time). This means that one single container comprises for example 7 daily doses for the "first dose" which is to be used during the first period of time etc.

It will be understood that the (pharmaceutical) kit or pharmaceutical package of the present invention may also comprises more or less daily doses as required for the  
25 respective period of time (either separated or not). Alternatively, the (pharmaceutical) kit or pharmaceutical package is prepared such that it contains the required number of daily doses (either separated or not) for the first and second period of time as defined herein, i.e. the "first dose" and the "second dose" in one single package. Such a package is ideally sufficient for one complete treatment of a patient (including the first and the  
30 second period of time). Parts of the kit and package of the invention can be packaged individually in vials or bottles or in combination in containers or multicontainer units. The manufacture of the kits follows preferably standard procedures which are known to the person skilled in the art.

Furthermore, the invention relates to a pharmaceutical package or kit comprising the first dose and the second dose as described hereinbefore as active ingredients and written instructions for the sequential use thereof in accordance with the methods of the present invention. Said pharmaceutical package or kit may further comprise a label or imprint  
5 indicating that the contents can be used for treating EpCAM positive epithelial cancer cells in a human patient; or for ameliorating or preventing a medical condition mediated by the administration of an EpCAMxCD3 bispecific antibody to a human patient.

It is also envisaged that the pharmaceutical package or kit of the present invention,  
10 further comprises means to administer the first and/or the second dose to a patient and/or buffers, vials, teflon bags or infusion bags which are normally used for the infusion of therapeutic agents. "Means" thereby includes one or more article(s) selected from the group consisting of a syringe, a hypodermic needle, a cannula, a catheter, an infusion bag for intravenous administration, intravenous vehicles, vials, buffers,  
15 stabilizers, written instructions which aid the skilled person in the preparation of the respective doses and infusions of the invention etc.

It is also envisaged that the pharmaceutical package or kit of the present invention further comprises a glucocorticoid.

20

In a further aspect, the present invention provides for a pharmaceutical package or kit, wherein said first and/or said second dose is arranged such, that it is suitable for administration/ a dosage regimen in accordance with a method of any one of the preceding claims. "Arranged such" includes that the daily doses are packaged apart from  
25 each other of together; and/or that the first and/or the second dose are packaged in toto, or combinations thereof.

The present invention also relates to the following items:

- 30 1. A method (dosage regimen) for administering an EpCAMxCD3 bispecific antibody to a human patient, comprising:
- (a) administering continually a first dose of said antibody for a first period of time; and consecutively

- (b) administering continually a second dose of said antibody for a second period of time;

wherein said second dose exceeds said first dose.

- 5     2.     A method for treating EpCAM positive epithelial cancer cells in a human patient, said method comprising:

- (a) administering continually a first dose of an EpCAMxCD3 bispecific antibody for a first period of time; and consecutively

- 10     (b) administering continually a second dose of said antibody for a second period of time;

wherein said second dose exceeds said first dose.

- 15     3.     A method for ameliorating and/or preventing a medical condition, preferably an adverse effect, mediated by the continued (therapeutic) administration of an EpCAMxCD3 bispecific antibody to a human patient, said method comprising:

- (a) administering continually a first dose of said antibody for a first period of time, and consecutively

- (b) administering continually a second dose of said antibody for a second period of time;

20     wherein said second dose exceeds said first dose.

4.     The method of item 1, 2 or 3, wherein said human patient comprises or is assumed to comprise EpCAM positive epithelial cancer cells.

- 25     5.     The method of any one of the preceding items, wherein the route of administration in step (a) and/or the route of administration in step (b) is intravenous.

- 30     6.     The method of item 3, wherein said medical condition, preferably said adverse effect, is characterized by an increase of the serum level of at least one liver enzyme.

7.     The method of any one of the preceding items, wherein said second period of time exceeds said first period of time.

8. The method of any one of the preceding items, wherein said first period of time is at least 1, 2, 3, 4, 5, 6, 7 days (or more), 7 days being preferred.
- 5 9. The method of any one of the preceding items, wherein said first period of time is characterized by an increase of the serum level of at least one liver enzyme up to grade 3 or 4.
- 10 10. The method of item 9, wherein said first period of time persists until the increased serum level of said liver enzyme is decreased to grade 2.
- 11 11. The method of any one of the preceding items, wherein the first period of time is 4 days, provided that the serum level of said at least one liver enzyme is grade 2 or below.
- 15 12. The method of any one of items 6 to 11, wherein said at least one liver enzyme is AST and/or ALT and optionally also GGT and/or AP.
- 20 13. The method of any one of the preceding items, wherein said second period of time persists at least until the CD8+-T-cells of said patient are activated.
14. The method of item 13, wherein said activation is characterized by a CD25 and/or CD69-positive phenotype of at least 20% of said CD8+-T-cells.
- 25 15. The method of any one of the preceding items, wherein said second period of time is at least 2, 3, 4, 5 or 6 weeks, 3 weeks being preferred.
16. The method of any one of the preceding items, wherein said second period of time is at least 19 days.
- 30 17. The method of any one of the preceding items, wherein said first period of time is between 1 and 10 days, and that second period of time is at least 19 days.

- 18      The method of item 17, wherein said first period of time is 7 to 9 days and that second period of time is 19 to 21 days
19.      The method of item 18, wherein said first period of time is 7 days and that second  
5      period of time is 21 days.
20.      The method of any one of the preceding items, wherein said second dose is therapeutically active.
- 10      21.      The method of item 20, wherein said therapeutic activity of said second dose is characterized by activated CD8+-T-cells.
22.      The method of item 21, wherein said activation is characterized by a CD25 and/or CD69-positive phenotype of at least 20% of said CD8+-T-cells (in relation  
15      to the CD25 and/or CD69-positive phenotype prior to the second period).
23.      The method of any one of the preceding items, wherein said first dose is such that the serum level of at least one liver enzyme increases to a serum level of grade 3 or 4 and decreases again to a serum level of grade 2 within the first  
20      period of time.
24.      The method of item 23 wherein said at least one liver enzyme is AST and/or ALT and optionally also GGT and/or AP.
- 25      25.      The method of any one of the preceding items, wherein said first dose is between 1 and 6 µg/d, 1 to 3 µg/d being preferred.
26.      The method of any one of the preceding items, wherein said second dose is between 10 and 120 µg/d (or more, if required under therapeutically relevant  
30      aspects).
27.      The method of any one of the preceding items, wherein said first dose is 1 to 3 µg/d and that second dose is 20 to 90 µg/d.



28. The method of any one of the preceding items, wherein said bispecific antibody is a single chain antibody.
29. The method of any one of the preceding items, wherein said antibody is MT110.
- 5 30. The method of any one of the preceding items, further characterized by the (concomitant) administration of a glucocorticoid.
- 10 31. The method of item 30, wherein said glucocorticoid is prednisone, prednisolone and/or methylprednisolone.
32. The method of item 1 or 4, wherein said EpCAM positive epithelial cancer cells are gastrointestinal and/or lung cancer cells.
- 15 33. The method of item 32, wherein said gastrointestinal cancer is gastric cancer, colorectal cancer, or metastatic variants thereof and said lung cancer is small lung cancer, non-small lung cancer, or metastatic variants thereof.

**Figures**

The figures show:

5    **Fig. 1**    T cell activating potency of the EpCAMxCD3 bispecific single chain antibody in accordance with Example 1

**Fig. 2**    Exemplary dosage regimen in accordance with Example 2

10   **Fig. 3**    Generation of MT110 and Mode of Action

**Fig. 4**    MT110 plasma levels for patients treated with MT110 maintenance doses of 10 or 12 µg/day in schedule A, B or C show a comparable profile

15   **Fig. 5A**   Lymphocytes re-distribution observed after start of MT110 infusion and after dose escalation on day 7 in patient 114-012 (cohort B2: 3 → 12 µg/d)

**Fig. 5B**   T cell activation observed after start of MT110 infusion and after dose escalation on day 7 in patient 114-012 (cohort B2: 3 → 12 µg/d)

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**Fig. 6A**   Resected lung lesion. Large number of CD3 positive lymphocytes (red arrows), necrotic tissue (green arrow), tumor cells (orange arrow).

**Fig. 6B**   Resected lung lesion. Infiltration of CD8 positive lymphocytes (red arrow).

25

**Fig. 7**    Liver parenchyma at peak of transaminase

**Fig. 8**    Specific dosage regime of the present invention

### **Examples**

The following examples illustrate the invention. These examples should not be construed as to limit the scope of this invention. The examples are included for purposes of illustration and the present invention is limited only by the claims.

#### **Example 1**

EpCAMxCD3 bispecific single chain antibody mediates T cell specific cytotoxicity to EpCAM positive target cells and thereby activation of T cells measurable by release of cytokines and upregulation of T cell surface markers. This process is strictly dose-dependent and relies completely on the presence of EpCAM positive target cells. The stringent biological effects of the EpCAMxCD3 bispecific single chain antibody MT110 on human T cells were analyzed *in vitro* as follows:

Human PBMC of random healthy donors were incubated with the indicated concentrations of the EpCAMxCD3 bispecific single chain antibody in the absence or presence of 0.2%, 2% or 10% Katolli cells, naturally expressing EpCAM. After incubation for 40 hours at 37°C in a humidified incubator cells were stained with fluorescence-labeled antibodies against CD4, CD8 and CD25 to identify effector cells (CD4 or CD8-positive) and their activation status (*de novo* expression of CD25) by flow cytometry. The percentage of CD25<sup>+</sup> T cells was plotted against the logarithm of BiTE concentration using Prism software (Graph Pad Software Inc., version 4.02). The resulting dose-response curves were analyzed with the integrated four-parameter nonlinear fit model also calculating concentrations of the half-maximal kill (EC<sub>50</sub>) as indicator for specific bioactivity. The supernatants of samples containing 100 ng/ml of the EpCAMxCD3 bispecific single chain antibody were analyzed for cytokine contents using the human Th1/Th2 cytometric bead array (CBA) kit (BD Bioscience) according to manufacturer's instructions.

The T cell activating potency of the EpCAMxCD3 bispecific single chain antibody is shown in **Figure 1**. Addition of 10% EpCAM<sup>+</sup> cells to human PBMC led to activation of approximately 95% of CD8<sup>+</sup> and 85% of CD4<sup>+</sup> T cells in an antibody dose-dependent manner within 40 hours. Addition of 2% EpCAM<sup>+</sup> cells resulted in an intermediate activation of app. 50% of CD8<sup>+</sup> and 25% of CD4<sup>+</sup> T cells whereas addition of only 0.2% EpCAM<sup>+</sup> cells hardly induced CD25 expression on the effector cells for any concentration.

of the BiTE tested. The EC50 values increased with decreasing amount of target cells present and were for 10, 2 and 0.2 % KatoIII cells present 0.26, 0.99, and 5.98 ng/ml for CD8<sup>+</sup> T lymphocytes and 0.8, 2.35, and 3.50 ng/ml for CD4<sup>+</sup> T lymphocytes, respectively. The BiTE-mediated upregulation of CD25 was accompanied by release of IFN- $\gamma$ , TNF- $\alpha$  and IL-10 from human PBMC, which strength correlated well with the percentage of EpCAM positive target cells present. No cytokine release or upregulation of CD25 on CD8<sup>+</sup> or CD4<sup>+</sup> T cell was detected in the absence of EpCAM<sup>+</sup> target cells for any BiTE concentration evaluated. This might be indicative for high potency of the EpCAMxCD3 bispecific single chain antibody in an EpCAM-rich tumor environment and concurrent good tolerability in tissues where EpCAM is not directly accessible to T cells.

## **Example 2**

***Safety and pharmacology of the EpCAM/CD3-bispecific BiTE antibody MT110 in patients with metastatic colorectal, gastric or lung cancer***

### **1.1 Study Design**

- Primary Objectives:** To assess safety and tolerability
- Secondary Objectives:** To assess pharmacokinetics (PK), pharmacodynamics (PD) and anti-tumor activity of MT110
- Patients:** Locally advanced, recurrent or metastatic solid tumors known to widely express EpCAM
- Adenocarcinoma of the lung
  - Small cell lung cancer
  - Gastric cancer
  - Colorectal cancer
- Other Key Eligibility Criteria:**
- Standard therapeutic options are exhausted or declined
- At least one course of previous chemotherapy
- ECOG performance status  $\leq 2$
- Ability to understand the patient information and informed consent form

No evidence of CNS metastases on baseline CT or MRI scan or other history of CNS pathology

Neutrophil count  $< 1,500/\text{mm}^3$  ( $= 1.5 \times 10^9/\text{l}$ )

Platelet count  $< 100,000/\text{mm}^3$  ( $= 100 \times 10^9/\text{l}$ )

5 WBC  $< 3 \times 10^9/\text{l}$ ; hemoglobin  $< 9.0 \text{ g/dl}$

No abnormal renal or hepatic function

No O<sub>2</sub> saturation of  $< 92\%$  (under room air condition)

No concurrent anti-neoplastic therapy, except palliative radiotherapy

No presence of human anti-murine antibodies (HAMA)

10

## 1.2 MT110 treatment and dose escalation

MT110: Continuous intravenous infusion d1-28 Repeated cycles until disease progression with 2 to 4 weeks treatment break.

15

Dose cohorts: MT110 at 1/ 3/ 6/ 10/ 12 / 24 (currently ongoing)  $\mu\text{g}$  per day, further dose escalation in cohorts B/C planned (see **Figure 2**).

3+3 design depending on occurrence of DLTs, Decision on dose escalation after completion of the first cycle of each patient in the respective cohort.

20

Treatment performed with schedule A, B or C.

DLT criteria: Any grade 3 or 4 related AE that persists longer than pre-defined despite adequate patient management-

25

Concomitant Medication: Prior to MT110 infusion or dose escalation corticosteroid, antihistamine, antacid-  
Further supportive medication permitted-

## 30 1.3 Assessments

Safety: Continuous assessment of safety parameters (adverse event (AE) reporting according to CTCAE version 3.0), laboratory parameters

at least twice daily on days 1 and 2, once daily on days 3 and 5 in weeks 1 and 2; and twice weekly afterwards.

Anti-Tumor Activity: Assessment after each treatment cycle (according to RECIST version 1.0 in case of measurable lesions).

- 5 PK/PD: Samples for analysis of PK, cytokines, lymphocytes subpopulations, circulating tumor cells and immunogenicity are collected at pre-defined time points  
EpCAM expression is analyzed in paraffin-embedded tumor tissue

## 10 2. RESULTS

### 2.1 Patients and MT110 treatment

The data presented herein are as of Sep 10th, 2009, the study is ongoing.

- 15 • 22 patients have started MT110 therapy, the interim analysis includes:
- Demographic data of 20 patients
  - Safety and pharmacodynamic data of 20 patients

**Table 1. Patient demographics per dose cohort**

Characteristic	MT110 dose cohorts						Total
	A1: 1 µg/d	A2: 3 µg/d	A3: 10 µg/d	B1: 3/6 µg/d	B2: 3/12 µg/d	C1: 3break/ 12 µg/d	
	N=6	N=3	N=2	N=4	N=4	N=1	
Median age (years, range)	64	56	54	62	65	75	63 (44-85)
Gender male (n; %)	4	2	1	4	3	0	12 (67.0%)
Diagnosis							
CRC (n; %)	5	2	1	2	3	1	14 (70.0%)
Gastric cancer (n; %)	1	1	0	1	0	0	3 (15.0%)
NSCLC (n; %)	0	0	1	0	1	0	2 (10.0%)
SCLC (n; %)	0	0	0	1	0	0	1 (5.0%)
Prior lines of chemo (n; %)							
≥3	5	2	1	2	3	1	14 (70.0%)
<3	1	1	1	2	1	0	6 (30.0%)
Prior radiation (n; %)	1	2	0	2	1	0	6 (30.0%)

Prior surgery (n; %)	6	2	1	4	4	1	18 (90.0%)
Liver metastases (n; %)	3	2	0	3	4	1	13 (65.0%)
ECOG 0 / 1 / 2	1/5/0	2/0/1	1/1/0	2/2/0	1/3/0	0/0/1	7/11/2
Abnormal liver parameters at baseline	3	3	1	2	3	1	13 (65.0%)

## 2.2 Safety & Tolerability

### 5 Adverse Events Summary

- Out of 20 patients 17 were able to complete at least one cycle of 4 weeks MT110 intravenous infusion.
- Most of the observed clinical adverse events were related to the underlying disease.
- Non-hematological clinical adverse events related to MT110 consisted of mild pyrexia and fatigue in few patients, pyrexia was not associated with a first infusion reaction.
- Laboratory changes that were related to MT110, occurred primarily in the first week of infusion or after dose escalation, were of short duration and resolved during the course of infusion in most cases. Changes in liver parameters were also transient and asymptomatic; and were not accompanied by pathological findings in imaging, substantial tissue damage or impaired synthesis parameters of the liver.

**Table 2. Incidence of AEs regardless of relationship occurring in  $\geq 3$  patients**

CLINICAL ADVERSE EVENTS	Total, N=20			
	Grade 1/2		Grade 3/4	
	Pat.	(%)	Pat.	(%)
Abdominal pain	5	(25.0%)	0	(0.0%)
Pyrexia	8	(40.0%)	1	(5.0%)
Vomiting	6	(30.0%)	0	(0.0%)
Nasopharyngitis	5	(25.0%)	0	(0.0%)
Cough	4	(20.0%)	0	(0.0%)
Diarrhea	4	(20.0%)	0	(0.0%)
Edema peripheral	4	(20.0%)	0	(0.0%)
Fatigue	3	(15.0%)	0	(0.0%)

<b>LABORATORY CHANGES</b>				
Lymphocyte count decreased/ lymphopenia	2	(10.0%)	14	(70.0%)
Hemoglobin decreased	2	(10%)	1	(5%)
Gamma-glutamyltransferase increased	3	(15.0%)	13	(65.0%)
Aminotransferases increased	2	(10.0%)	9	(50.0%)
Blood glucose increased/hyperglycemia	10	(50.0%)	7	(35.0%)
Blood amylase increased	2	(10.0%)	3	(15.0%)
Lipase increased	1	(5.0%)	5	(25.0%)
C-reactive protein increased	4	(20.0%)	0	(0.0%)
Blood alkaline phosphatase increased	2	(10.0%)	1	(5.0%)
Blood bilirubin increased	4	(20.0%)	0	(0.0%)
Hypocalcemia	3	(15%)	0	(0.0%)
Blood lactate dehydrogenase increased	3	(15.0%)	1	(5.0%)

**Table 3. Incidence of adverse events related to MT110 occurring in >1 patient**

	<b>A1 (n=6)</b>		<b>A2 (n=3)</b>		<b>A3 (n=2)</b>		<b>B1 (n=4)</b>		<b>B2 (n=4)</b>	
	<b>G1/2</b>	<b>G3/4</b>	<b>G1/2</b>	<b>G3/4</b>	<b>G1/2</b>	<b>G3/4</b>	<b>G1/2</b>	<b>G3/4</b>	<b>G1/2</b>	<b>G3/4</b>
<b>CLINICAL ADVERSE EVENTS</b>										
Pyrexia	2	0	1	0	0	0	1	0	0	0
Fatigue	2	0	0	0	0	0	0	0	0	0
<b>LABORATORY CHANGES*</b>										
Lymphopenia / lymphocyte count decreased	1	4	0	3	0	2	0	4	1	0
Gamma-glutamyltransferase increased	2	3	0	2	0	2	1	2	0	3
Aminotransferases increased	2	2	0	2	0	2	0	3	0	1
Blood amylase increased	0	0	1	0	1	0	0	1	0	1
Lipase increased	1	0	0	1	0	1	0	1	0	1
Blood alkaline phosphatase increased	1	1	0	0	0	0	0	0	1	0
Blood bilirubin increased	2	0	0	0	0	0	0	0	1	0
Blood lactate dehydrogenase increased	1	0	0	1	0	0	1	0	0	0
Hypocalcemia	0	0	0	0	0	0	0	0	2	0

5

**Table 4. Reported dose-limiting toxicities**

<b>Patient</b>	<b>MT110 dose</b>	<b>Tumor</b>	<b>Event</b>	<b>Action</b>	<b>Outcome</b>
114-002 (A1)	1 µg/d	Gastric cancer	Grade 4 increase in AST + ALT	Corticosteroid administration	Resolved
114-007 (A3)	10 µg/d	NSCLC	Grade 3 ALT for >72 hrs	MT110 infusion discontinued	Resolved

10



## 2.3 Pharmacokinetic Profile

### Summary of PK Parameters

- The serum half-life for MT110 was determined with 3.5 – 6.8 hrs.
- After normalization for dose and body weight a dose linearity can be assumed for the dose levels tested to date.

## 2.4 Pharmacodynamic markers

- None of the patients showed a significant systemic cytokine release, low IL-6 levels were measurable at different time points and single measurable levels of IFN $\gamma$  and IL-10 were seen in some patients
- Re-distribution of lymphocytes was observed after start of MT110 infusion as well as after dose escalation (**Fig. 5a**) in all patients
- First signs of T cell activation were observed in patients with clinical benefit after first cycle (**Fig. 5b**)
- First analysis of circulating tumor cells via CellSearch method revealed up to 6 cells per 7.5 ml sample from CRC patients

## 2.5 Outcome of patients

### Tumor assessment according to RECIST criteria

- Disease stabilization was observed in 7 of 18 evaluable patients after first cycle with a median duration of 91 days (range 29+ to 150 days)

### Case Report: Evidence of biological antitumor activity

- Patient (female, 85 years) presented metastatic lesions in the lung of a CRC at study entry
- Treatment with MT110 of 1 $\mu$ g/d for 28 days
- Patient underwent surgical resection of a lung lesion 80 days after start of MT110, pathology revealed:
  - >70% of necrotic tissue in this lesion (**Fig. 6A**)

- High number of infiltrating T-lymphocytes including CD8 positive cells in and around tumor tissue (**Fig. 6B**)

### 3. SUMMARY

Twenty eligible patients were treated in five dose cohorts and received a total of twenty five MT110-cycles up to date:

- MT110 intravenous infusion over 28 days is clinically very well tolerated:
  - Mild pyrexia and fatigue occurred in few patients and were related to the study medication.
  - No signs of relevant systemic cytokine release was observed.
  - Besides initial transient lymphopenia, a transient asymptomatic increase in liver enzymes up to Grade 3/4, was the most frequent laboratory abnormality.
- First signs of biological activity
  - MT110 caused a rapid redistribution of lymphocytes shortly after start of infusion. Signs of T cell expansion/-activation were seen in patients with clinical benefit after 4 weeks.
  - Disease stabilization according to RECIST was confirmed in 7 of 18 patients, lasting 91 days in median.
  - In one patient, a lung metastasis was resected 11 weeks after start of MT110 treatment. Immunohistochemistry revealed tumor cell necrosis and a massive T cell infiltration as possible evidence of MT110 activity.
- None of the patients developed antibodies against MT110.

### 4. CONCLUSION

- MT110 can be safely administered intravenously to patients with advanced EpCAM-expressing solid tumors.
- First signs of biological activity have been observed at clinically well tolerated doses.
- Evaluation of BiTE antibody MT110 at escalating doses is currently ongoing.

**Example 3*****Comparison of changes in liver parameters in the first patient cohorts treated with MT110 in the study MT110-101***

5

In the following tables the increase of liver parameters, presented by the mean amplitudes of peak blood level (Table 1) and mean blood level values (Table 2), is analyzed for the respective patient group in dependence of the MT110 dose, treatment schedule and corticosteroid therapy. The aminotransferases AST and ALT were the liver parameters which were mainly affected by the MT110 infusion.

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Amplitudes of peak blood levels of the respective liver parameter were calculated by subtracting the baseline value measured at the screening assessment from the peak level measured in the first week of MT110 infusion at the respective dose for each patient in this group. The resulting amplitude values for each patient of a group were used to calculate the mean for the respective group.

15

Amplitudes of mean blood values were calculated by subtracting the baseline value measured at the screening assessment from the mean level calculated from all measurement values of the first week of MT110 infusion at the respective dose for each patient in this group. The resulting amplitude values for each patient of a group were used to calculate the mean for the respective group.

20

The comparison of the different groups can be summarized as follows:

- The additional concomitant corticosteroid therapy can reduce the increase in liver parameters (mainly AST and ALT) for patients treated with MT110 at 1 µg/d (group II compared to group I).
- With increasing MT110 dose the peak and mean blood levels of liver parameters show increasing values despite the concomitant corticosteroid therapy (group V and III compared to group II).

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30

Conclusion: The increase in liver parameters can not be prevented by concomitant corticosteroid therapy.

- A further increase of the corticosteroid dose can also not prevent the increase in liver parameters with increasing dose (group IV compared to group III).

- Start of the MT110 treatment at a low dose in the first week and at a higher dose starting week two results in an only mild increase in liver enzymes in the second week (group VII compared to group V).

Conclusion: Further dose escalation is possible with a low MT110 starting dose for the first week of the infusion.

5

Table 1: Mean of amplitudes between peak and screening values of first treatment week at given dose without standard deviation

MT110 Dose Cohort	AST (U/l) peak w1 above Scr value	ALT (U/l) peak w1 above Scr value	GGT (U/l) peak w1 above Scr value	AP (U/l) peak w1 above Scr value	Bili (mg/dl) peak w1 above Scr value
I: 1µg+ 1x100mg d0 cort.	438.0	436.7	276.3	252.3	0.6
II: 1µg+ 2- 3x100mg cort. d0-2	7.8	24.3	79.3	30.3	0.2
III: 3µg+ 2- 3x100mg cort. d0-2	161.4	152.1	209.4	36.3	0.3
IV: 3µg+ 1g+2x500mg cort. d0-2	212.0	272.8	152.8	12.3	0.1
V: 10µg+ 2- 3x100mg cort. d0-2	540	510	627.5	136.5	0.6
IV: 6µg+ 1g+2x500mg cort. d0-2 after 1 wk low dose (3µg)	69.0	175.3	234.0	21.3	0.4
VII: 12µg+ 2- 3x100mg cort. d0-2 after 1 wk low dose (3µg)	94.7	155.7	378.7	60.0	0.7

Table 2: Mean of amplitudes between mean and screening values of first treatment week at given dose without standard deviation

MT110 Dose Cohort	AST (U/l) mean w1 above Scr value	ALT (U/l) mean w1 above Scr value	GGT (U/l) mean w1 above Scr value	AP (U/l) mean w1 above Scr value	Bili (mg/dl) mean w1 above Scr value
I: 1 $\mu$ g+ 1x100mg d0 cort.	132.2	235.4	185.4	160.2	0.3
II: 1 $\mu$ g+ 2- 3x100mg cort. d0-2	18.6	9.2	120.6	9.4	0.0
III: 3 $\mu$ g+ 2- 3x100mg cort. d0-2	50.5	70.1	113.4	14.5	0.1
IV: 3 $\mu$ g+ 1g+2x500mg cort. d0-2	64.6	120.1	72.1	2.0	0.0
V: 10 $\mu$ g+ 2- 3x100mg cort. d0-2	161.7	277.3	322.5	87.9	0.1
IV: 6 $\mu$ g+ 1g+2x500mg cort. d0-2 after 1 wk low dose (3 $\mu$ g)	13.0	105.2	163.1	0.0	0.2
VII: 12 $\mu$ g+ 2- 3x100mg cort. d0-2 after 1 wk low dose (3 $\mu$ g)	26.2	96.5	220.8	23.2	0.2

5

Wk: week  
W1: week 1  
Scr: screening assessment  
Cort: corticosteroid

**Example 4**

Characteristic	Dose Cohorts									Total
	A1:	A2:	A3:	B1:	B2:	B3:	C1:	C2:	D1:	
	1µg/d	3µg/d	10µg/d	3/ 6µg/d	3/ 12µg/d	3/ 24µg/d	3/ Break/ 12µg/d	3/ Break/ 24µg/d	Cyc 1= 3/ 12 Cyc 2= 24µg/d	
	N=6	N=3	N=2*	N=4+	N=4+	N=2++	N=4+	N=3	N=1++	N=29
Median Age (years, Range)	64	56	54	62	65	55	64	69	58	64 (37- 85)
Gender Male (n, %)	4	2	1	4	3	1	2	3	0	20 (69%)
ECOG 0 / 1 / 2	1/5/0	2/0/1	1/1/0	2/2/0	1/3/0	0/1/1	0/2/2	2/1/0	0/1/0	9/16/4
Diagnosis										
CRC (n, %)	5	2	1	2	3	1	3	3	1	21 (72%)
Gastric (n, %)	1	1	0	1	0	1	1	0	0	5 (17%)
NSCLC (n, %)	0	0	1	0	1	0	0	0	0	2 (7%)
SCLC (n, %)	0	0	0	1	0	0	0	0	0	1 (3%)
Prior lines of chemo (n, %)										
≥3	5	2	1	2	3	2	2	1	0	18 (62%)
<3	1	1	1	2	1	0	2	2	1	11 (38%)
Prior radiation (n, %)	1	2	0	2	1	1	1	2	1	11 (38%)
Prior surgery (n, %)	6	2	1	4	4	2	3	3	1	26 (90%)
Liver	3	2	0	3	4	1	4	2	1	20

metastases (n, %)										(69%)
Abnormal liver parameters at baseline	3	3	1	2	4	1	3	1	1	19 (66%)

\* Monitoring committee decision to open cohort B1 instead of finishing recruitment in A3

++ Ongoing cohort

+ One patient in cohort replaced

	A1 (n=6)		A2 (n=3)		A3 (n=2)		B1 (n=4)		B2 (n=4)		B3* (n=2)		C1 (n=4)		C2 (n=3)	
<b>DOSE-LIMITING TOXICITIES</b>	1				1						1				2	
<b>CLINICAL ADVERSE EVENTS</b>	<b>G1/G3/</b>	<b>G1/G3/</b>	<b>G1/G3/</b>	<b>G1/G3/</b>	<b>G1/G3/</b>	<b>G1/G3/</b>	<b>G1/G3/</b>	<b>G1/G3/</b>	<b>G1/G3/</b>	<b>G1/G3/</b>	<b>G1/G3/</b>	<b>G1/G3/</b>	<b>G1/G3/</b>	<b>G1/G3/</b>	<b>G1/G3/</b>	<b>G1/G3/</b>
	2	4	2	4	2	4	2	4	2	4	2	4	2	4	2	4
Pyrexia	1	0	1	0	0	0	1	0	0	0	1	0	3	0	2	0
Nausea	0	0	0	0	0	0	0	0	0	0	0	0	3	0	2	0
Vomiting	0	0	0	0	1	0	0	0	0	0	0	0	2	0	2	0
Diarrhea	0	0	0	0	0	0	0	0	0	0	0	0	2	0	0	1Δ
Fatigue	2	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0
<b>LABORATORY CHANGES</b>																
Lymphocyte count decreased/ Lymphopenia	1	4	0	3	0	2	0	4	1	1	0	1	0	4	0	3
Gammaglutamyltransferase increased	2	3	0	2	0	2	1	2	0	3	0	2	0	4	0	3
Aminotransferases increased	2	2Δ	0	2	0	2Δ	0	3	0	1	0	2Δ	1	3	1	2
Lipase increased	1	1	0	1	0	1	1	1	0	1	0	1	0	2	2	1
Blood bilirubin increased	2	0	0	0	0	0	0	1	0	0	1	1	2	0	2	1Δ

Blood amylase increased	0	1	1	0	1	0	0	1	0	1	1	0	0	0	1	1
Blood alkaline phosphatase increased	1	1	0	0	0	0	0	0	1	0	0	0	1	0	0	1
Blood lactate dehydrogenase increased	1	0	0	1	0	0	1	0	0	0	0	0	0	0	1	1
Glutamat dehydrogenase increased	0	1	0	0	0	0	0	1	0	0	0	0	0	1	0	2
Blood glucose increased/Hyperglycaemia	0	0	0	0	0	0	0	0	1	0	0	0	0	1	1	0
Hypoalbuminaemia	2	0	0	0	0	0	1	0	0	0	0	0	0	0	1	1
Fibrin D dimer increased	0	0	1	0	0	0	0	0	0	0	0	0	0	0	2	0

▲ Including one patient with dose limiting toxicity

#### Example 5:

Results of Different Strategies for Mitigation of Increase in Liver Parameters in Ongoing Study MT110-101							
Cohort MT110 Dose Prophylaxis	AST (U/l) vs BL	+/-	ALT (U/l) vs BL	+/-	Bili vs BL	+/-	Comment
I: All pts with same MT110 dose/corticosteroid schema <b>Target dose 1 µg 1x 100mg d0 cort.</b>	<b>438</b>	385	<b>437</b>	396	<b>0,7</b>	0,6	Single administration of corticosteroids at treatment start results in moderate increase in liver parameters
II: All pts with same MT110 dose/corticosteroid schema <b>Target dose 1 µg 2-3x 100mg d0-2 cort.</b>	<b>8</b>	5	<b>24</b>	16	<b>0,3</b>	0,2	Increase of corticosteroid dose to 2-3x 100mg on days 0 to 2 can mitigate the increase in liver parameters



<b>III: All pts with same MT110 dose/corticosteroid schema</b> <b>Target dose 10 µg</b> 2-3x 100mg d0-2 cort.	<b>540</b>	<i>220</i>	<b>510</b>	<i>41</i>	<b>0,7</b>	<i>0,0</i>	<u>Escalation of MT110 dose</u> leads to higher increase in liver parameters that can not be sufficiently mitigated by the corticosteroid dose of 2-3x 100mg on days 0 to 2
<b>IV: All pts with same MT110 start dose/corticosteroid schema</b> <b>Start dose 3 µg</b> 2-3x 100mg d0-2 cort.	<b>144</b>	<i>137</i>	<b>154</b>	<i>128</i>	<b>1,1</b>	<i>1,2</i>	<u>Low MT110 start dose of 3 µg/d</u> combined with the described corticosteroid schema leads to only mild increase of liver parameters and allows for further dose escalation steps
<b>V: All pts with same MT110 start dose/corticosteroid schema</b> <b>Start dose 3 µg</b> <b>1g+ 2x500mg d0-2 cort.</b>	<b>212</b>	<i>175</i>	<b>273</b>	<i>221</i>	<b>0,1</b>	<i>0,1</i>	<u>A further increase in corticosteroid dose</u> can not better mitigate the increase in liver parameters compared to the corticosteroid dose described in II
<b>VI: All pts with same MT110 start dose/corticosteroid schema and 6 µg in step 2</b> <b>Step 2: 6 µg</b> <b>1g+ 2x 500mg d0-2 cort.</b>	<b>69</b>	<i>71</i>	<b>175</b>	<i>138</i>	<b>0,4</b>	<i>0,3</i>	<u>A low dose pretreatment</u> as described in IV allows for further dose escalation that results in only mild increase of liver parameters
<b>VII: All pts with start dose 3 µg and 12 µg in step 2</b> <b>Step 2: 12 µg</b> 2-3x 100mg d0-2 cort.	<b>60</b>	<i>51</i>	<b>102</b>	<i>78</i>	<b>0,5</b>	<i>0,3</i>	
<b>VIII: All pts with 1 week break between start dose 3 µg and 12 µg in step 2</b> <b>Step 2: 12 µg</b> 2-3x 100mg d0-2 cort.	<b>160</b>	<i>116</i>	<b>192</b>	<i>96</i>	<b>1,5</b>	<i>0,7</i>	<u>Addition of treatment break</u> after start at low dose and before MT110 dose escalation results also in only a mild increase in liver parameters
<b>IX: All pts with start dose 3 µg and 24 µg in step 2</b> <b>Step 2: 24 µg</b> 2-3x 100mg d0-2 cort.	<b>305</b>	<i>181</i>	<b>475</b>	<i>216</i>	<b>2,9</b>	<i>1,7</i>	Dose-dependency of increase in liver parameters on MT110 dose is again seen with

							higher MT110 doses; further mitigation measures are necessary to prevent higher increase in liver parameters
<b>X:</b> All pts with 1 week break between start dose 3 µg and 24 µg in step 2 <b>Step 2: 24 µg</b> 2-3x 100mg d0-2 cort.	<b>630</b>	328	<b>683</b>	39	<b>3,8</b>	0,8	<u>One week break</u> is not enough to sufficiently mitigate increase in liver parameters at higher MT110 doses
<b>XI:</b> All pts with start dose 3 µg + 12 µg in step 2 of cycle 1; escalation step 3=start of cycle 2 <b>Step 3 = cycle 2: 24 µg</b> 2-3x 100mg d0-2 cort.	<b>366</b>	347	<b>308</b>	280	<b>1,9</b>	2,1	<u>Stepwise dose escalation</u> leads to only moderate increase in liver parameters; optimal lengths of break period and size of dose steps have to be identified

**Claims**

1. An EpCAMxCD3 bispecific antibody for use in a method for treating EpCAM  
5 positive epithelial cancer cells in a human patient, said method comprising:
  - (a) administering continually a first dose of an EpCAMxCD3 bispecific antibody for a first period of time; and consecutively
  - (b) administering continually a second dose of said antibody for a second period of time;  
10 wherein said second dose exceeds said first dose.
2. An EpCAMxCD3 bispecific antibody for use in a method for ameliorating and/or preventing a medical condition, preferably an adverse effect, mediated by the continued (therapeutic) administration of an EpCAMxCD3 bispecific antibody to a  
15 human patient, said method comprising:
  - (a) administering continually a first dose of said antibody for a first period of time, and consecutively
  - (b) administering continually a second dose of said antibody for a second period of time;  
20 wherein said second dose exceeds said first dose.
3. The EpCAMxCD3 bispecific antibody of claims 1 or 2, wherein said human patient comprises or is assumed to comprise EpCAM positive epithelial cancer cells.  
25
4. The EpCAMxCD3 bispecific antibody of any one of the preceding claims, wherein the route of administration in step (a) and/or the route of administration in step (b) is intravenous.
- 30 5. The EpCAMxCD3 bispecific antibody of claim 2, wherein said medical condition, preferably said adverse effect, is characterized by an increase of the serum level of at least one liver enzyme.

6. The EpCAMxCD3 bispecific antibody of any one of the preceding claims, wherein said second period of time exceeds said first period of time.
- 5 7. The EpCAMxCD3 bispecific antibody of any one of the preceding claims, wherein said first period of time is at least 1, 2, 3, 4, 5, 6, 7 days (or more), 7 days being preferred.
8. The EpCAMxCD3 bispecific antibody of any one of the preceding claims, wherein said first period of time is characterized by an increase of the serum level of at least one liver enzyme up to grade 3 or 4.  
10
9. The EpCAMxCD3 bispecific antibody of claim 8, wherein said first period of time persists until the increased serum level of said liver enzyme is decreased to grade 2.  
15
10. The EpCAMxCD3 bispecific antibody of any one of the preceding claims, wherein the first period of time is 4 days, provided that the serum level of said at least one liver enzyme is grade 2 or below.
- 20 11. The EpCAMxCD3 bispecific antibody of any one of claims 5 to 10, wherein said at least one liver enzyme is AST and/or ALT and optionally also GGT and/or AP.
12. The EpCAMxCD3 bispecific antibody of any one of the preceding claims, wherein said second period of time persists at least until the CD8+-T-cells of said patient are activated.  
25
13. The EpCAMxCD3 bispecific antibody of claim 12, wherein said activation is characterized by a CD25 and/or CD69-positive phenotype of at least 20% of said CD8+-T-cells.  
30
14. The EpCAMxCD3 bispecific antibody of any one of the preceding claims, wherein said second period of time is at least 2, 3, 4, 5 or 6 weeks, 3 weeks being preferred.

15. The EpCAMxCD3 bispecific antibody of any one of the preceding claims, wherein said second period of time is at least 19 days.
- 5 16. The EpCAMxCD3 bispecific antibody of any one of the preceding claims, wherein said first period of time is between 1 and 10 days, and that second period of time is at least 19 days.
- 17 The EpCAMxCD3 bispecific antibody of claim 16, wherein said first period of time is 7 to 9 days and that second period of time is 19 to 21 days
- 10 18. The EpCAMxCD3 bispecific antibody of claim 17, wherein said first period of time is 7 days and that second period of time is 21 days.
- 15 19. The EpCAMxCD3 bispecific antibody of any one of the preceding claims, wherein said second dose is therapeutically active.
- 20 20. The EpCAMxCD3 bispecific antibody of claim 19, wherein said therapeutic activity of said second dose is characterized by activated CD8+-T-cells.
21. The EpCAMxCD3 bispecific antibody of claim 20, wherein said activation is characterized by a CD25 and/or CD69-positive phenotype of at least 20% of said CD8+-T-cells (in relation to the CD25 and/or CD69-positive phenotype prior to the second period).
- 25 22. The EpCAMxCD3 bispecific antibody of any one of the preceding claims, wherein said first dose is such that the serum level of at least one liver enzyme increases to a serum level of grade 3 or 4 and decreases again to a serum level of grade 2 within the first period of time.
- 30 23. The EpCAMxCD3 bispecific antibody of claim 22 wherein said at least one liver enzyme is AST and/or ALT and optionally also GGT and/or AP.
24. The EpCAMxCD3 bispecific antibody of any one of the preceding claims, wherein said first dose is between 1 and 6 µg/d, 1 to 3 µg/d being preferred.

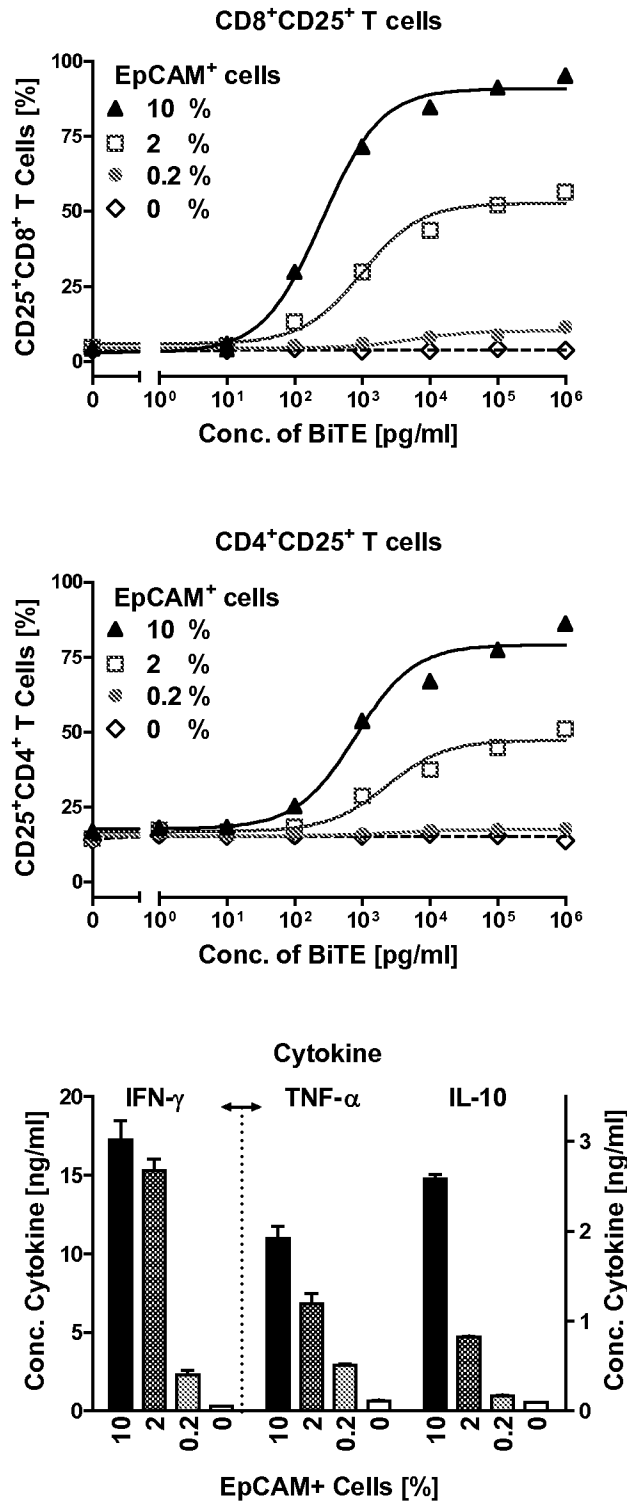
25. The EpCAMxCD3 bispecific antibody of any one of the preceding claims, wherein said second dose is between 10 and 120  $\mu\text{g/d}$  (or more, if required under therapeutically relevant aspects).
- 5 26. The EpCAMxCD3 bispecific antibody of any one of the preceding claims, wherein said first dose is 1 to 3  $\mu\text{g/d}$  and that second dose is 20 to 90  $\mu\text{g/d}$ .
- 10 27. The EpCAMxCD3 bispecific antibody of any one of the preceding claims, wherein said bispecific antibody is a single chain antibody.
28. The EpCAMxCD3 bispecific antibody of any one of the preceding claims, wherein said antibody is MT110.
- 15 29. The EpCAMxCD3 bispecific antibody of any one of the preceding claims, further characterized by the (concomitant) administration of a glucocorticoid.
30. The EpCAMxCD3 bispecific antibody of claim 29, wherein said glucocorticoid is prednisone, prednisolone and/or methylprednisolone.
- 20 31. The EpCAMxCD3 bispecific antibody of claim 1 or 3, wherein said EpCAM positive epithelial cancer cells are gastrointestinal and/or lung cancer cells.
- 25 32. The EpCAMxCD3 bispecific antibody of claim 31, wherein said gastrointestinal cancer is gastric cancer, colorectal cancer, or metastatic variants thereof and said lung cancer is small lung cancer, non-small lung cancer, or metastatic variants thereof.
- 30 33. A method for:
- (i) administering an EpCAMxCD3 bispecific antibody to a human patient, or
  - (ii) treating EpCAM positive epithelial cancer cells in a human patient; or
  - (iii) ameliorating or preventing a medical condition mediated by the administration of an EpCAMxCD3 bispecific antibody to a human patient;
- said method comprising:

- (a) administering continually an EpCAMxCD3 bispecific antibody such that the serum level of at least one liver enzyme is increased to grade 4 or less (preferably to grade 3) and subsequently decreased to grade 2; and consecutively
- 5 (b) administering said antibody such that it is therapeutically active.
34. An EpCAMxCD3 bispecific antibody for:
- 10 (i) administering an EpCAMxCD3 bispecific antibody to a human patient, or
- (ii) treating EpCAM positive epithelial cancer cells in a human patient; or
- (iii) ameliorating or preventing a medical condition mediated by the administration of an EpCAMxCD3 bispecific antibody to a human patient; wherein said antibody is to be administered in accordance with a dosage regimen as defined in any one of the preceding claims.
- 15
35. An EpCAMxCD3 bispecific antibody for:
- (i) administering an EpCAMxCD3 bispecific antibody to a human patient, or
- (ii) treating EpCAM positive epithelial cancer cells in a human patient; or
- 20 (iii) ameliorating or preventing a medical condition mediated by the administration of an EpCAMxCD3 bispecific antibody to a human patient wherein said antibody is to be administered in accordance with a method as defined in any one of the preceding claims.
36. Use of an EpCAMxCD3 bispecific antibody for the preparation of a pharmaceutical composition to be used in a method as defined in any one of the preceding claims.
- 25
37. A pharmaceutical package or kit comprising the first dose and the second dose as defined in any one of the preceding claims.
- 30
38. The pharmaceutical package or kit of claim 37, further comprising means to administer the first and/or the second dose to a patient.

39. The pharmaceutical package of claim 37 and 38, wherein said first and/or said second dose is arranged such, that it is suitable for administration/ a dosage regimen in accordance with a method of any one of the preceding claims.



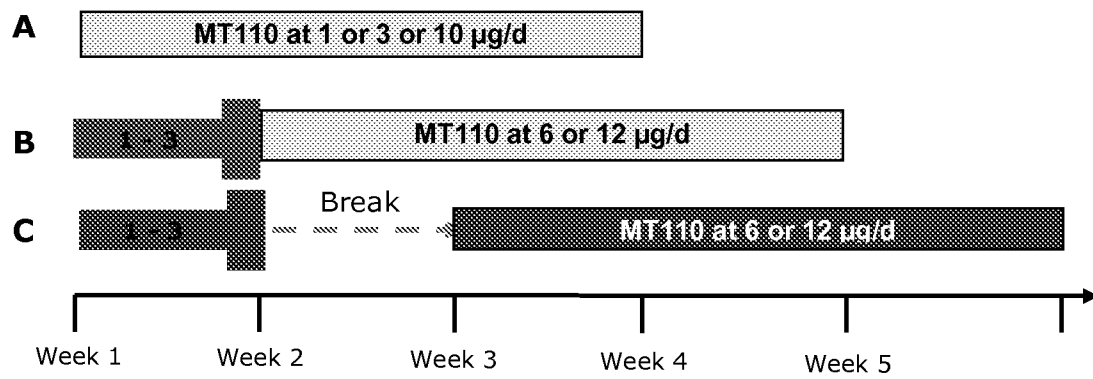
Figure 1



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Figure 2

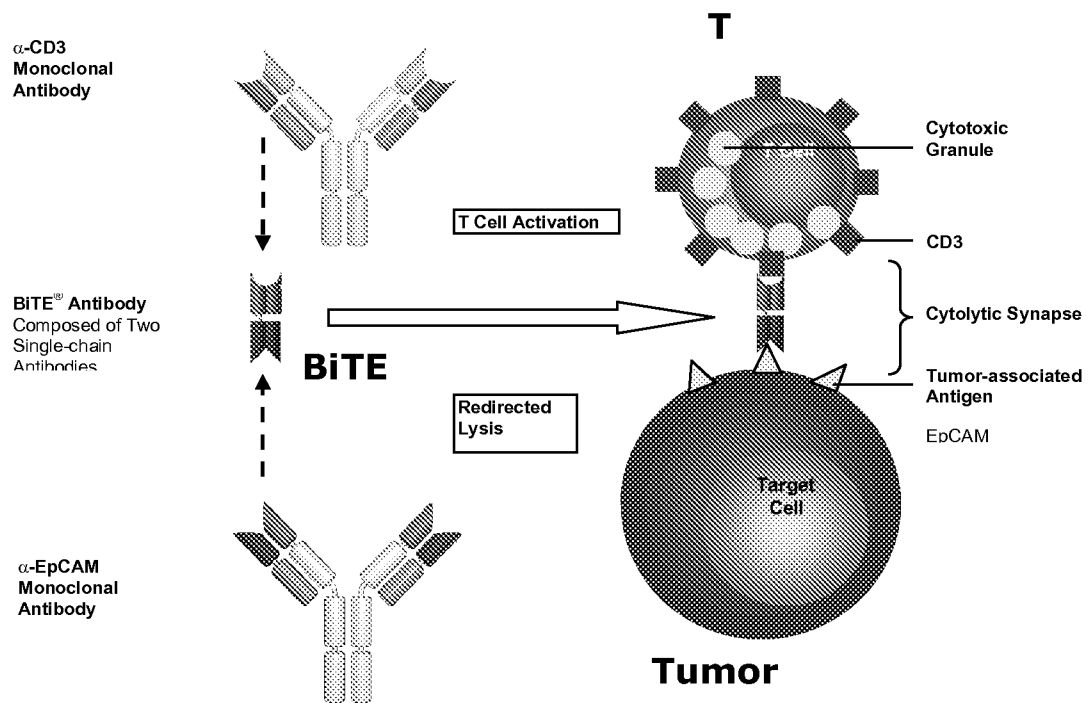
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Figure 3

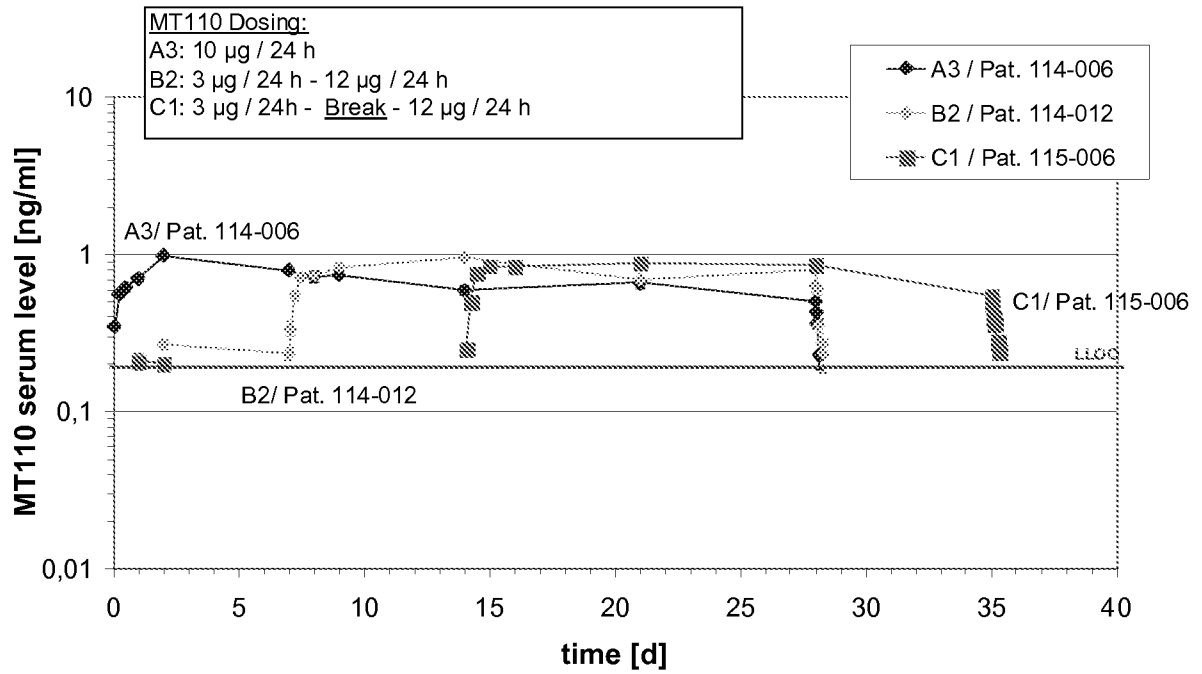
Fig. 3: Generation of MT110 and Mode of Action



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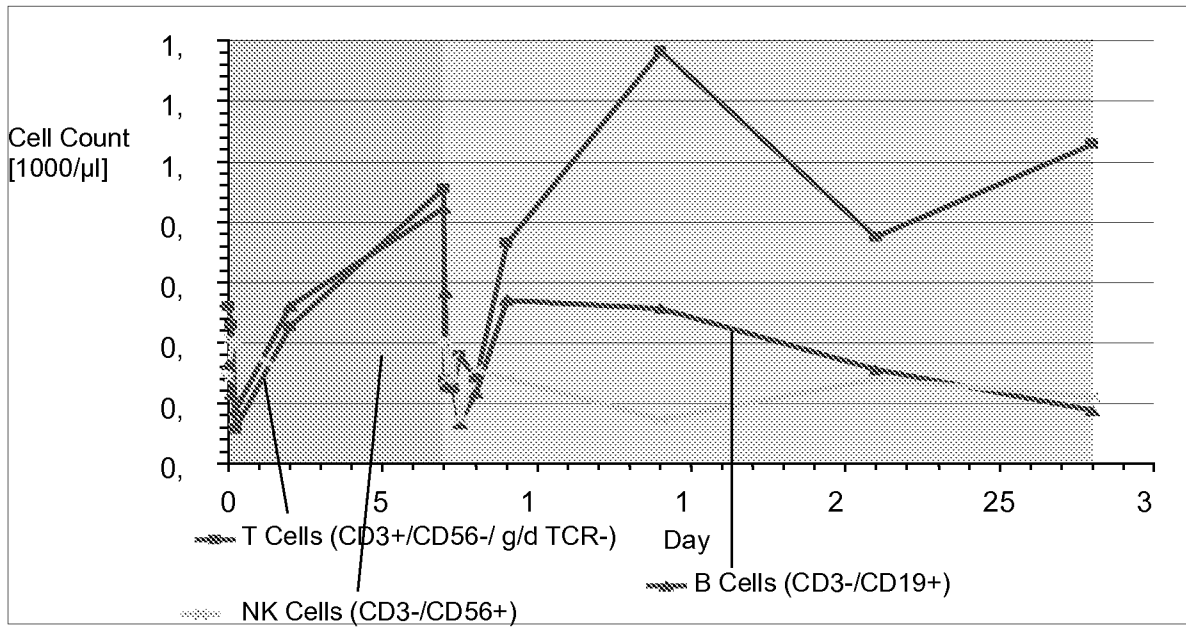
Figure 4

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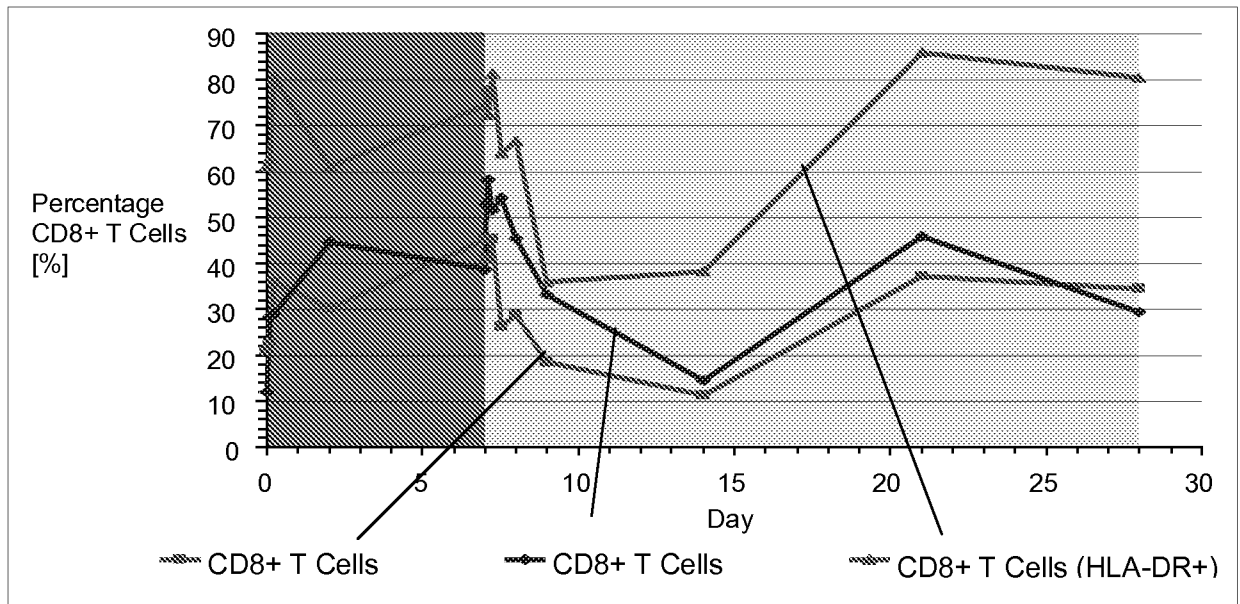
5/10

Figure 5A



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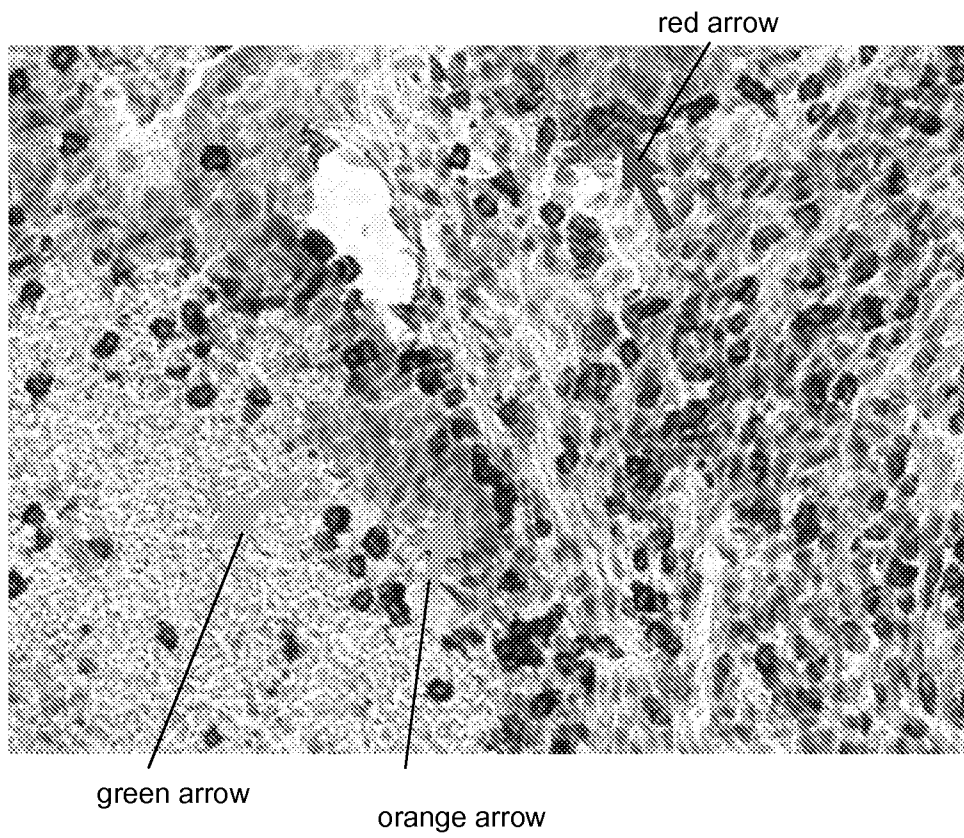
Figure 5B



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Figure 6A

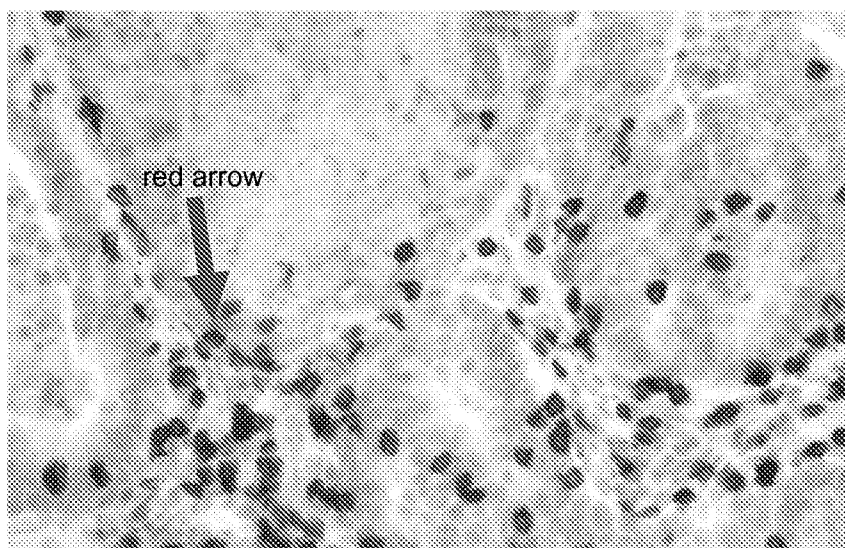
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Figure 6B

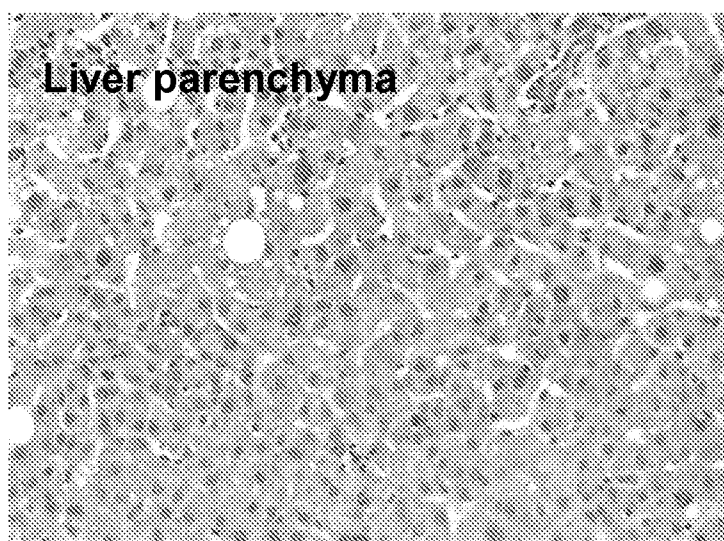
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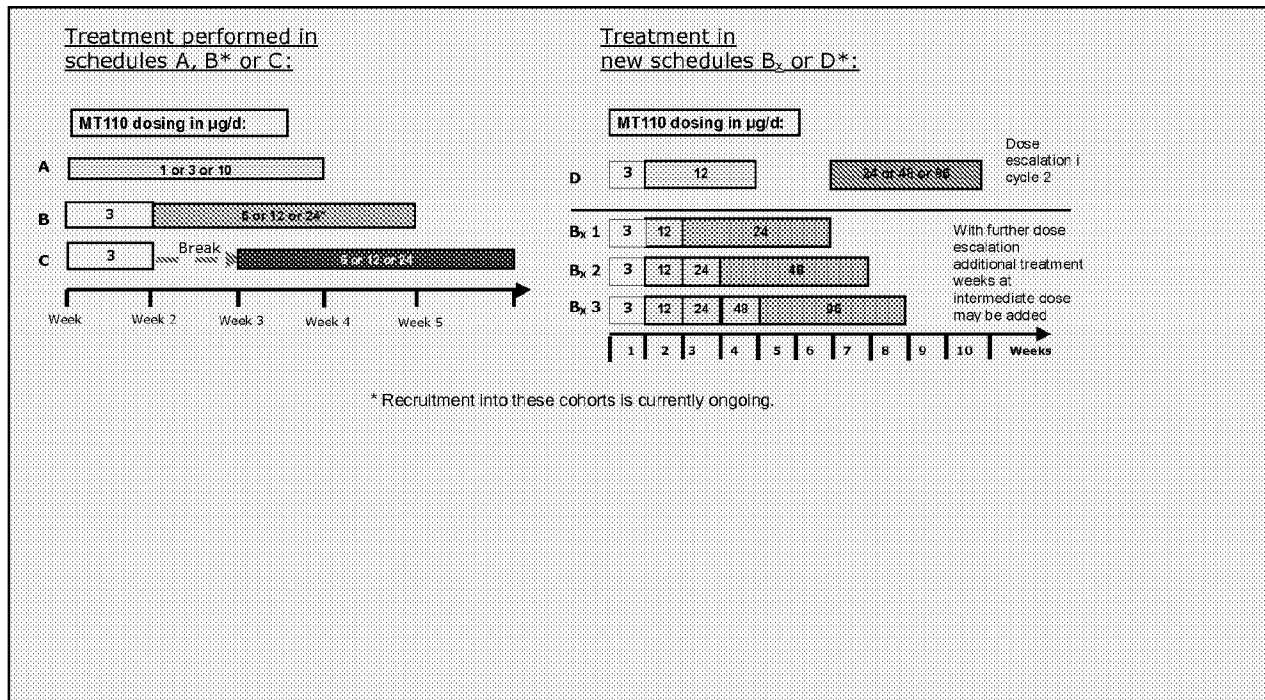
9/10

**Figure 7**



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Figure 8



# INTERNATIONAL SEARCH REPORT

International application No  
PCT/EP2010/063795

## A. CLASSIFICATION OF SUBJECT MATTER

INV. A61K39/395 A61P35/00 C07K16/28 C07K16/30 C07K16/46  
ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

A61K A61P C07K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EP0-Internal, BIOSIS, EMBASE

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>SEBASTIAN MARTIN ET AL: "Treatment of Malignant Pleural Effusion With the Trifunctional Antibody Catumaxomab (Removab) (Anti-EpCAM x Anti-CD3) Results of a Phase 1/2 Study", JOURNAL OF IMMUNOTHERAPY, vol. 32, no. 2, February 2009 (2009-02), pages 195-202, XP008129953, ISSN: 1524-9557 page 201, left-hand column; tables 1-3</p> <p style="text-align: center;">----- -/-</p>	1-39

☒ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

2 December 2010

Date of mailing of the international search report

20/12/2010

Name and mailing address of the ISA/

European Patent Office, P.B. 5818 Patentlaan 2  
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Fax: (+31-70) 340-3016

Authorized officer

Cilensek, Zoran

# INTERNATIONAL SEARCH REPORT

International application No

PCT/EP2010/063795

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>AMANN MARIA ET AL: "Antitumor Activity of an EpCAM/CD3-bispecific BiTE Antibody During Long-term Treatment of Mice in the Absence of T-cell Anergy and Sustained Cytokine Release", JOURNAL OF IMMUNOTHERAPY, vol. 32, no. 5, June 2009 (2009-06), pages 452-464, XP008129954, ISSN: 1524-9557 page 463, left-hand column; figures 1-8</p>	1-39
X	<p>FIEDLER W ET AL: "1254 Safety and pharmacology of the EpCAM/CD3-bispecific BiTE antibody MT110 in patients with metastatic colorectal, gastric or lung cancer", EUROPEAN JOURNAL OF CANCER. SUPPLEMENT, PERGAMON, OXFORD, GB, vol. 7, no. 2, 1 September 2009 (2009-09-01), pages 136-137, XP026689272, ISSN: 1359-6349, DOI: DOI:10.1016/S1359-6349(09)70466-5 [retrieved on 2009-09-01] the whole document</p>	1-39
T	<p>SEIMETZ D ET AL: "Development and approval of the trifunctional antibody catumaxomab (anti-EpCAMxanti-CD3) as a targeted cancer immunotherapy", CANCER TREATMENT REVIEWS, SAUNDERS, US, vol. 36, no. 6, 1 October 2010 (2010-10-01), pages 458-467, XP027256157, ISSN: 0305-7372 [retrieved on 2010-09-06] the whole document</p>	1-39
A	<p>HERBERT RIECHELMANN ET AL: "Adoptive therapy of head and neck squamous cell carcinoma with antibody coated immune cells: a pilot clinical trial", CANCER IMMUNOLOGY, IMMUNOTHERAPY, SPRINGER, BERLIN, DE, vol. 56, no. 9, 2 February 2007 (2007-02-02), pages 1397-1406, XP019514185, ISSN: 1432-0851, DOI: DOI:10.1007/S00262-007-0283-6 the whole document</p>	1-39
A	<p>WO 2005/040220 A1 (MICROMET AG [DE]; HOFMEISTER ROBERT [DE]; KOHLEISEN BIRGIT [DE]; LENKK) 6 May 2005 (2005-05-06) the whole document</p>	1-39

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/EP2010/063795

### Box No. I Nucleotide and/or amino acid sequence(s) (Continuation of item 1.b of the first sheet)

1. With regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed invention, the international search was carried out on the basis of:

a. (means)

☒

on paper

☒

in electronic form

b. (time)

☐

in the international application as filed

☐

together with the international application in electronic form

☒

subsequently to this Authority for the purpose of search

2. ☒ In addition, in the case that more than one version or copy of a sequence listing and/or table relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.

3. Additional comments:

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/EP2010/063795

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 2005040220 A1	06-05-2005	AU 2004283850 A1	06-05-2005
		BR PI0415457 A	05-12-2006
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