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[Continued on next page]

(54) **Title:** EXTRACELLULAR TARGETED DRUG CONJUGATES

(57) **Abstract:** Extracellular drug conjugates (EDCs) targeting CD38 are useful in the treatment of diseases such as cancer and immune disorders, including asthma.

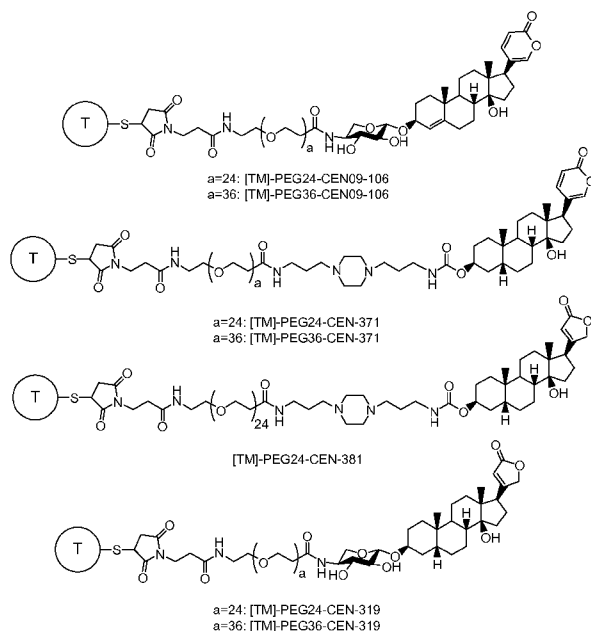


FIG. 1

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EXTRACELLULAR TARGETED DRUG CONJUGATES

PRIORITY CLAIMS

This application claims priority to U.S. Provisional Patent Application Serial No. 61/940,219, filed February 14, 2014, and U.S. Provisional Patent Application Serial No. 61/946,314, filed February 28, 2014, the entire contents of each of which are hereby incorporated by reference and relied upon.

FIELD OF THE DISCLOSURE

The present disclosure provides extracellular drug conjugates useful in the treatment of disease and also as tools for the evaluation of biological systems. The present disclosure relates to the fields of biology, chemistry, medicinal chemistry, medicine, molecular biology, and pharmacology.

BACKGROUND

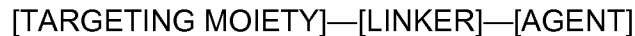
All fundamental biological processes, including development, immunity, and tumorigenesis, are related to the selective and differential expression of genes in different tissues and cell types. The formation of many malignant tumors has been shown to be associated with the production and/or expression or increased production and/or expression of certain specific cell surface signaling molecules. One of the goals of modern molecular medicine is to find ways to target drugs selectively to reduce or eliminate the drug's off target toxic effects. Delivering drugs to a specific target that is unique to or expressed at higher levels in diseased cells types using targeting moieties such as antibodies, peptides or aptamers has been investigated. Attaching these targeting moieties directly to the drug through linkers or to nanoparticles has also been investigated.

There remains a need for new extracellular-targeted drug conjugates (EDC) for the treatment of disease. The present disclosure meets this need. There also remains a need for methods and reagents to identify and evaluate protein-protein interactions between the Na,K-ATPase and cell signaling pathway proteins on the cell surface. The present disclosure also meets this need.

SUMMARY

The present disclosure generally relates to an extracellular-targeted drug conjugate (EDC) consistent with Formula (I) and comprising three portions: a targeting moiety portion (e.g., [TARGETING MOIETY] in Formula (I)), a non-cleavable linker portion (e.g., [LINKER] in Formula (I)), and a therapeutic or diagnostic agent portion (e.g., [AGENT] in

Formula (I)), wherein the three portions are generally associated as follows:



Formula (I)

In another aspect, the present disclosure provides compositions including pharmaceutical formulations and unit dose forms and drug delivery systems comprising EDC consistent with Formula (I) as disclosed herein that are useful in the treatment of disease.

These and other aspects and embodiments of the present disclosure are described in detail below.

BRIEF DESCRIPTION OF THE FIGURES

FIG. 1 shows structures for various EDCs of the present disclosure.

FIG. 2 is a comparison of anti-CD38 targeted EDCs of the present disclosure in SU-DHL-8 cells.

FIG. 3 is a comparison of anti-CD38 targeted EDCs of the present disclosure in SU-DHL-8 cells.

FIG. 4 is a comparison of anti-CD38 targeted EDCs of the present disclosure in Ramos cells.

FIG. 5 is a pharmacokinetic plot for one EDC (SUN4B7-PEG24-CEN09-106) according to the present disclosure.

FIG. 6 is a pharmacokinetic plot for one EDC (SUN4B7-PEG24-CEN371) according to the present disclosure.

FIG. 7 is a plot of the number of tumor-induced animals having tumors less than 2,000 mm³ in size as a function of the number of days post-tumor implant for various EDCs of the present disclosure.

FIG. 8 is a plot of mean tumor volume as a function of the number of days post-tumor implant for various EDCs of the present disclosure.

FIG. 9 is a comparison of in vitro activities for anti-CD38 targeted EDCs of the present disclosure in human acute myeloid leukemia cell line MV4-11 without the addition of ATRA.

FIG. 10 is a comparison of in vitro activities for anti-CD38 targeted EDCs of the present disclosure in cell line MV4-11 with the addition of ATRA.

DETAILED DESCRIPTION

The present disclosure provides Extracellular-targeted Drug Conjugates or EDC in

1 which the agent moiety (which may be a therapeutic agent such as a drug, a diagnostic agent,
2 or a derivative thereof) and targeting moiety (which may be an antibody targeting moiety
3 such as an anti-CD38 antibody or binding fragment thereof) bind to or act on complexes
4 containing the Na,K-ATPase (encoded by the ATP1 family of genes, including, for example
5 the ATP1A1, ATP1A2, ATP1A3, and ATP1A4 genes). The EDC are useful in a variety of
6 applications, particularly the treatment of human disease, such as cancer and lung disease,
7 including asthma and other diseases involving inflammation of the lung, and other medical
8 conditions.

9 The present disclosure provides EDC comprising a targeting moiety linked to an agent
10 via a stable or non-cleavable linker (*e.g.*, a linker that has to be intact or non-cleaved for the
11 EDC to exert its maximal therapeutic effect). In various embodiments, the targeting moiety
12 targets CD38 and is an antibody, *i.e.*, an anti-CD38 antibody or a binding fragment thereof.
13 These EDC act on complexes of the Na,K-ATPase and CD38. The EDC of the present
14 disclosure deliver the agent more selectively to target cells and tissues than the agent
15 administered alone. In many embodiments, the EDC contains a targeting moiety that binds
16 (*e.g.*, specifically binds) to CD38 when associated with the Na,K-ATPase in modulating a
17 cell signaling pathway and contains an agent, such as a cardiotonic steroid or cardiac
18 glycoside that binds to the Na,K-ATPase (or to a protein binding site that blocks interaction
19 with the Na,K-ATPase) that is attached to the targeting moiety via a stable or non-cleavable
20 linker. In various embodiments, the linker comprises one or more heteroatoms such as
21 nitrogen, or a glycoside such as an aminoglycoside.

22 The three portions of the EDC of the present disclosure can thus comprise, consist
23 essentially of or consist of: (1) a targeting moiety that binds to an extracellular target that is
24 not a Na,K-ATPase and that is associated with and in close proximity to the Na,K-ATPase in
25 the disease or other condition of interest, including but not limited to CD38; (2) a stable or
26 non-cleavable linker that connects the targeting moiety to the therapeutic and remains intact
27 (uncleaved) during the time needed for the EDC to bind to its target; and (3) a therapeutic (or
28 diagnostic) agent that acts on or binds to the Na,K-ATPase (or to a site on an associated
29 protein that controls association with the Na,K-ATPase), such as a cardiotonic steroid or
30 cardiac glycoside.

31 PCT Pub. Nos. 2010/017480; 2011/031870; 2012/122514; and 2012/178173, and all
32 other patents, patent applications, and references from the scientific literature cited herein, are

hereby incorporated by reference herein in their entireties.

I. Definitions

The term "antibody" refers to a protein or mixture of proteins that comprise one or more peptidic chains encoded by immunoglobulin genes or fragments thereof (including non-naturally occurring forms thereof produced by genetic engineering) that specifically bind and recognize an epitope of an antigen. The recognized immunoglobulin genes include the kappa, lambda, alpha, gamma, delta, epsilon, and mu constant region genes, as well as the myriad immunoglobulin variable region genes. Light chains are classified as either kappa or lambda. Heavy chains are classified as gamma, mu, alpha, delta, or epsilon, which in turn define the immunoglobulin classes, IgG, IgM, IgA, IgD and IgE, respectively. Typically, the antigen-binding region of an antibody will be most critical in specificity and affinity of binding. The antibodies comprise IgG (including IgG₁, IgG₂, IgG₃, and IgG₄), IgA (including IgA₁ and IgA₂), IgD, IgE, or IgM, and IgY. As used herein, the term "antibody" is meant to include whole antibodies, including single-chain antibodies, and antigen-binding fragments thereof. Antibodies can also be antigen binding antibody fragments and include, but are not limited to, Fab, Fab' and F(ab')₂, Fd, single-chain Fvs (scFv), single-chain antibodies, disulfide-linked Fvs (sdFv), diabodies, triabodies, tetrabodies, minibodies, and fragments comprising either a V_L or V_H domain, and Nanobodies (see PCT publication number WO 94/04678 and Nature Medicine, V9 (1) pp 129-134, 2003). An antibody can be from any animal origin including birds and mammals. Typically, antibodies in commercial or research use are human, murine, rabbit, goat, guinea pig, camelidae (*e.g.*, camel, llamas) horse, or chicken antibodies. "Antibodies", as used herein, includes monoclonal, immunoadsorbed polyclonal, chimeric, and humanized antibodies, as well as intact antibodies and isolated antibodies. Antibodies can be monospecific, bispecific, trispecific or greater multispecificity.

The term "antigen" refers to the substance or target that an antibody or targeting moiety binds. An antigen is characterized by its ability to be "bound" by the antibody or targeting moiety. Antigen can also mean the substance used to elicit the production of targeting moieties, such as the production of antigen specific antibodies through immunizing with the antigen. An antigen is, in many embodiments, a protein, including but not limited to a receptor.

The term "antigen binding site" or "epitope" refers to the portion of the antigen to which a targeting moiety, such as an antibody, binds.

1 The terms "bind," "binds," and "specifically binds" refers to the ability of a targeting
2 moiety to bind to an extracellular target with greater affinity than it binds to a non-target. In
3 certain embodiments, specific binding refers to binding for an extracellular target with an
4 affinity that is at least 10, 50, 100, 250, 500, or 1000 times greater than the affinity for a non-
5 target.

6 The term "binding affinity" refers to the strength of interaction between an antibody
7 (or other targeting moiety or drug or other agent) and its antigen (or target) as a function of its
8 association and dissociation constants. Higher affinities typically mean that the targeting
9 moiety has a fast on rate (association) and a slow off rate (dissociation). Binding affinities
10 can change under various physiological conditions due to changes that occur to the antigen or
11 antibody/targeting moiety under those conditions. Binding affinities of the targeting moiety
12 can also change when therapeutic agents and/or linkers are attached. Binding affinities can
13 also change when slight changes occur to the antigen, such as changes in the amino acid
14 sequence or glycosylation of the antigen. Generally, the targeting moieties and agents of the
15 EDCs of the present disclosure have high binding affinities for their respective targets.

16 The term "cancer" refers to any of a number of diseases characterized by
17 uncontrolled, abnormal proliferation of cells, the ability of affected cells to spread locally or
18 through the bloodstream and lymphatic system to other parts of the body (*e.g.*, metastasize),
19 as well as any of a number of characteristic structural and/or molecular features. A
20 "cancerous cell" or "cancer cell" is understood as a cell having specific structural properties,
21 which can lack differentiation and be capable of invasion and metastasis. Examples of
22 cancers are, breast, lung, brain, bone, liver, kidney, colon, and prostate cancer (see DeVita, V.
23 et al. (eds.), 2005, *Cancer Principles and Practice of Oncology*, 6th. Ed., Lippincott Williams
24 & Wilkins, Philadelphia, PA, incorporated herein by reference in its entirety for all purposes).

25 The term "chimeric antibodies" refers to antibodies in which the Fc constant region of
26 a monoclonal antibody from one species (typically a mouse) is replaced, using recombinant
27 DNA techniques, with an Fc region from an antibody of another species (typically a human).
28 For example, a cDNA encoding a murine monoclonal antibody is digested with a restriction
29 enzyme selected specifically to remove the sequence encoding the Fc constant region, and the
30 equivalent portion of a cDNA encoding a human Fc constant region is substituted. A CDR-
31 grafted antibody is an antibody in which at least one CDR of a so-called "acceptor" antibody
32 is replaced by a CDR "graft" from a so-called "donor" antibody possessing desirable antigen

1 specificity. Generally the donor and acceptor antibodies are monoclonal antibodies from
2 different species; typically the acceptor antibody is a human antibody (to minimize its
3 antigenicity in a human), in which case the resulting CDR-grafted antibody is termed a
4 "humanized" antibody. The graft may be of a single CDR (or even a portion of a single CDR)
5 within a single V_H or V_L of the acceptor antibody, or can be of multiple CDRs (or portions
6 thereof) within one or both of the V_H and V_L . Methods for generating CDR-grafted and
7 humanized antibodies are taught by Queen et al. U.S. Pat. No. 5,585,089, U.S. Pat. No.
8 5,693,761 and U.S. Pat. No. 5,693,762; and Winter U.S. Pat. No. 5,225,539, which are
9 incorporated herein by reference. Any reference to "antibody" implies a reference to a
10 chimeric antibody.

11 The term "close proximity" refers to two targets X and Y that are in physical
12 proximity such that, for example, when a targeting moiety (to X) and therapeutic agent (to Y)
13 are conjugated through a linker, and both X and Y are bound to their respective targets, the
14 conjugate induces a desired biological or medical response different from and superior to that
15 induced by either X or Y alone. In one embodiment, the biological or medical response
16 achieved is greater than that observed by either the targeting moiety or therapeutic agent
17 alone. In another embodiment, the biological or medical response achieved is greater than
18 that observed by the additive effects of the targeting moiety and therapeutic agent. For
19 example, when X and Y are located on different molecules, but the molecules are present in
20 the same multi-molecular complex, the targets are in "close proximity" as defined herein. In
21 another example, when X and Y are on the same cell within 200 or fewer Angstroms from
22 one another and act in concert to transmit a signal or otherwise generate a biochemical
23 response, the targets are in "close proximity" to one another as defined herein. When X and Y
24 are on different cells (and/or do not interact with one another), they are not in "close
25 proximity" as defined herein.

26 The term "effective amount" refers to an amount of EDC, either alone or as a part of a
27 pharmaceutical composition, that is capable of having any detectable, positive therapeutic
28 effect on any symptom, aspect, parameter or characteristics of a disease state or condition
29 when administered to a subject. Such effect need not be absolute to be beneficial.

30 The term "epitope" refers to groupings of molecules such as amino acid residues or
31 sugar side chains at the surface of antigens that usually have specific three dimensional
32 structural characteristics, as well as specific charge characteristics, and that are capable of

1 specific binding by a monoclonal antibody.

2 The terms “extracellular” and “cell surface” refers to proteins, antigens, or epitopes
3 located on the external portion of a cell membrane or in the fluids of the circulatory structure
4 (for example, angiotensin converting enzyme is an extracellular protein).

5 The term “extracellular target” refers to a target that is not a Na,K-ATPase, such as a
6 protein, ganglioside, antigen, and/or epitope located on the cell membrane or in the fluids of
7 the circulatory structure. For example and without limitation, the following are extracellular
8 targets: cell surface receptors, cell surface ion channels, CD (cluster of differentiation or
9 designation) abbreviated proteins. More specifically, and again without limitation, the CD38
10 is an extracellular targets.

11 The term “extracellular-targeted drug conjugate” or “EDC” refers to a drug conjugate
12 of the present disclosure in which an antibody or other targeting moiety that targets an
13 extracellular target is linked via a stable or non-cleavable linker to a drug or other agent that
14 binds to an extracellular target. In various embodiments of the present disclosure, the EDC
15 targets CD38 via a targeting moiety that is a CD38 antibody or binding fragment thereof and
16 targets the Na,K-ATPase via an agent that is a cariotonic steroid.

17 “Immune disorder” refers to any inflammatory disease or other disease or undesirable
18 condition in which the immune system is improperly functioning. The EDC of the present
19 disclosure are generally useful in treating immune disorders. Many cancers involve an
20 immune disorder. Other immune disorders include disease of the lung, in which inflammation
21 is a causative factor or undesired symptom. Various EDC of the present disclosure are useful
22 in treating immune disorders of the lung, including asthma.

23 The term "intact antibody" comprises at least two heavy (H) chains and two light (L)
24 chains inter-connected by disulfide bonds. Each heavy chain is comprised of a heavy chain
25 variable region (abbreviated herein as HCVR or V_H) and a heavy chain constant region. The
26 heavy chain constant region is comprised of three domains, CH_1 , CH_2 and CH_3 . Each light
27 chain is comprised of a light chain variable region (abbreviated herein as LCVR^X or V_L) and
28 a light chain constant region. The light chain constant region is comprised of one domain, C_L .
29 The V_H and V_L regions can be further subdivided into regions of hypervariability, termed
30 complementarity determining regions (CDR), interspersed with regions that are more
31 conserved, termed framework regions (FR). Each V_H and V_L is composed of three CDRs and
32 four FRs, arranged from amino-terminus to carboxyl-terminus in the following order: FR1,

CDR₁, FR₂, CDR₂, FR₃, CDR₃, FR₄. The variable regions of the heavy and light chains contain a binding domain that interacts with an antigen. The constant regions of the antibodies can mediate the binding of the immunoglobulin to host tissues or factors, including various cells of the immune system (*e.g.*, effector cells) and the first component (C1q) of the classical complement system. Examples of binding fragments include, but are not limited to, (i) a Fab fragment, a monovalent fragment consisting of the V_L, V_H, CL and CH₁ domains; (ii) a F(ab')₂ fragment, a bivalent fragment comprising two Fab fragments linked by a disulfide bridge at the hinge region; (iii) a Fd fragment consisting of the V_H and CH₁ domains; (iv) a Fv fragment consisting of the V_L and V_H domains of a single arm of an antibody, (v) a dAb fragment (Ward et al., Nature 341: 544-546, 1989), which consists of a V_H domain; and (vi) an isolated complementarily determining region (CDR). As used herein, any general reference to an antibody refers to an intact antibody of any type (naturally occurring, recombinant, chimeric, or humanized) as well as binding fragments.

The term "modified antibodies" refers to antibodies, such as monoclonal antibodies, chimeric antibodies, and humanized antibodies, which have been modified by, *e.g.*, deleting, adding, or substituting portions of the antibody. For example, an antibody can be modified by deleting the constant region and replacing it with a constant region meant to increase half-life, *e.g.*, serum half-life, stability or affinity of the antibody. Multiple molecules of a therapeutic agent or multiple different agents can be coupled to one antibody molecule. For example, different moieties can be coupled to an antibody molecule via the same linker, or multiple linkers that provide multiple sites for attachment (*e.g.*, dendrimers) can be used. Any general reference to "antibody" implies a reference to "a modified antibody".

The term "modulate" refers to an interaction of EDC with an extracellular target and the Na,K-ATPase so as to alter, either directly or indirectly, a cell signaling pathway including, for example, to limit or reduce (*e.g.*, inhibit) or increase the activity of the cell signaling pathway.

The term "monoclonal antibody" refers to a preparation of antibody molecules of single molecular composition. A monoclonal antibody composition displays a single binding specificity and affinity for a particular epitope. The term "human monoclonal antibody" refers to antibodies displaying a single binding specificity which have variable and constant regions (if present) derived from human germline immunoglobulin sequences. Human monoclonal antibodies can be produced by a hybridoma which includes a B cell obtained from a

transgenic non-human animal, *e.g.*, a transgenic mouse, having a genome comprising a human heavy chain transgene and a light chain transgene fused to an immortalized cell, although the term "monoclonal antibody" is not limited to antibodies produced through hybridoma technology. The term "monoclonal antibody" refers to an antibody that is derived from a single clone, including any eukaryotic, prokaryotic, or phage clone, and not the method by which it is produced. Monoclonal antibodies can be prepared using a wide variety of techniques known in the art including the use of hybridoma, recombinant, and phage display technology. Any reference to "antibody" implies a reference to "monoclonal antibody".

The terms "non-internalizing targeting moiety" or "non-internalizing antibody" refer to a targeting moiety or antibody, respectively, that has the property of reacting (binding) under physiological conditions (at 37°C and pH 7) *in vivo* or *in vitro*, to antigens outside of a cell, within the circulatory structure, or on a cell surface, and that, when bound to its target antigen, does not enter the cell and become degraded in the lysosome (see *Cancer Res* 2009;69(6) 2358-64). In this context, "internalizing" and "internalization" refer to the process by which materials enter cells and become degraded, releasing unconjugated agent. In one embodiment, the targeting moiety or antibody, when bound to its target antigen, does not enter the cell and become internalized in an endosome. The target of a "non-internalizing targeting moiety" or "non-internalizing antibody" is referred to herein as a "non-internalizing target," which is a target that does not get internalized into the lysosome as a result of binding to a targeting moiety or antibody. Non-internalizing targets may, however, become internalized into the cell in other biological processes. Examples of non-internalizing targets include, but are not limited to CD38.

The term "non-internalizing agent" refers to an agent (*e.g.*, a therapeutic agent such as a drug) that has the property of reacting in physiological conditions (at 37°C and pH 7) *in vivo* or *in vitro*, with its target (typically, via binding to its receptor) without being internalized into cells.

The terms "pharmaceutically effective amount" and "effective amount" in the context of an amount of drug delivered refer to an amount of a drug that can induce a desired biological or medical response in a tissue, system, animal, or human.

The term "polyclonal antibody" refers to a preparation of more than one (two or more) different antibodies to an antigen. Such a preparation includes antibodies binding to a range

1 of different antigen binding sites.

2 The term “receptor” refers to an extracellular target protein molecule, embedded in
3 either the plasma membrane or the cytoplasm of a cell, to which one or more specific kinds of
4 signaling molecules may bind. Each cell typically has many receptors, of many different
5 kinds.

6 The term “stable in the circulatory structure” refers to the property of a compound,
7 such as an EDC, to resist degradation and means that, for example, less than about 50%, or
8 less than about 20%, or typically less than about 2%, of the compound is degraded or cleaved
9 in the circulating blood at about 37°C for at least about 2 hours.

10 The term “substantially simultaneously” refers to two or more events that occur at the
11 same time or within a relatively narrow time frame. In various embodiments, substantially
12 simultaneously refers to two or more events that occur within about 60, about 40, about 30,
13 about 20, about 10, about 5, about 2 or about 1 second or less than about one second of each
14 other. For example, EDC of the present disclosure have properties such that targeting moiety
15 binding and agent (drug) action happen substantially simultaneously.

16 The term “synergistically” refers to an effect of two or more agents when used in
17 combination that is greater than the sum of the effects of both agents when used alone. For
18 example, in the EDC of the present disclosure, the combined therapeutic effects of the
19 interaction of the targeting moiety and the agent (drug) when linked through a linker are
20 greater than the combined individual effects of the targeting moiety and agent when used
21 alone. “Effects” can refer either to binding, therapeutic effect, and/or specificity.

22 The term “target” refers to the protein, glycoprotein, antigen, carbohydrate or nucleic
23 acid to which a targeting moiety binds and also refers to the protein, glycoprotein, antigen,
24 carbohydrate or nucleic acid to which a therapeutic agent binds. The agent and targeting
25 moiety may bind to different targets in a “target complex”, where “target complex” refers to
26 two or more molecules, such as the different subunits of a multi-subunit protein or two
27 different proteins in a multi-protein complex, that are in close physical proximity with one
28 another in vivo.

29 The term “target cells” refers to the cells that are involved in a pathology and so are
30 preferred targets for therapeutic activity. Target cells can be, for example and without
31 limitation, one or more of the cells of the following groups: primary or secondary tumor cells
32 (the metastases), stromal cells of primary or secondary tumors, neoangiogenic endothelial

1 cells of tumors or tumor metastases, macrophages, monocytes, polymorphonuclear
2 leukocytes and lymphocytes, and polynuclear agents infiltrating the tumors and the tumor
3 metastases.

4 The interchangeable terms "targeting moiety" and "targeting agent" refer to an
5 antibody, aptamer, peptide, or other substance that binds specifically to a target. A targeting
6 moiety may be an antibody targeting moiety (*e.g.* antibodies or fragments thereof that bind
7 specifically to a target (*i.e.*, binding fragments thereof) or a non-antibody targeting moiety
8 (*e.g.* aptamers, peptides, or other substances that bind specifically to a target).

9 The term "target tissue" refers to target cells (*e.g.*, tumor cells) and cells in the
10 environment of the target cells.

11 The terms "therapeutic agent" and "drug" and "agent" are used interchangeably herein
12 to refer to a compound that, when present in a therapeutically effective amount, upon binding
13 to a site of action, produces a therapeutic effect, and whose site of action is located or whose
14 effect will be exerted on the surface or inside target cells. By way of example, a therapeutic
15 agent may be a chemical agent, such as an antibiotic or anti-cancer agent, a polypeptide, a
16 protein, or a nucleic acid.

17 The term "therapeutic effect" refers to the reduction, elimination, and/or prevention of
18 a disease, symptoms of the disease, or side effects of a disease in a subject.

19 The term "to increase the half-life time" means to increase the mean residence time of
20 a compound, typically a therapeutic agent, in the blood or to reduce the blood or plasmatic
21 clearance compared to a reference compound.

22 The terms "treating" and "treatment" are used interchangeably to refer to the
23 administration of a therapeutic agent or composition to a patient who has a disease or
24 disorder (*e.g.*, cancer or metastatic cancer), a symptom of disease or disorder or a
25 predisposition toward a disease or disorder, with the purpose to cure, heal, alleviate, relieve,
26 alter, remedy, ameliorate, improve or affect the disease or disorder, the symptoms of the
27 disease or disorder, or the predisposition toward disease. "Treating" or "treatment" of cancer
28 or metastatic cancer refers to the treatment or amelioration or prevention of a cancer,
29 including any objective or subjective parameter such as abatement; remission; diminishing of
30 symptoms or making the disease condition more tolerable to the patient; slowing in the rate
31 of degeneration or decline; or making the final point of degeneration less debilitating. The
32 treatment or amelioration of symptoms can be based on objective or subjective parameters,

1 including the results of an examination by a physician. Accordingly, the term "treating"
2 includes the administration of a therapeutic agent to prevent or delay, to alleviate, or to arrest
3 or inhibit development of the symptoms or conditions associated with a disease, including but
4 not limited to neoplastic disease.

5 The term "tumor specific antigen" refers to proteins or other molecules that are
6 unique to a tumor or is at least more abundant on tumor cells, relative to normal cells.

7 II. Na,K-ATPase and Cell Signaling Pathways

8 The Na,K-ATPase functions as an ion channel and a signal transducer. The Na,K-
9 ATPase is an integral transmembrane protein enzyme that initially was only thought to
10 import potassium ions and export sodium ions against a concentration gradient but more
11 recently has been shown to also transmit signals across the cell membrane. The enzyme is
12 made of three subunits: the alpha, which is the catalytic core and the main target for steroidal
13 compounds; the beta subunit, which is believed to traffic the alpha subunit to specific cell
14 surface locations and is required for alpha subunit activity; and the gamma subunit, which is
15 an auxiliary subunit and which exists in a variety of cell type specific isotypes. The main
16 binding site of cardiac (cardioactive) glycosides to the Na,K-ATPase is located in a cavity
17 formed by the transmembrane helices M1, M2, M4, M5, and M6 [Proc. Natl. Acad. Sci.,
18 2009, 106, 13742-13747]. Cardiac glycosides target the Na,K-ATPase. The therapeutic
19 window of cardiac glycosides, however, is small. In fact, the approved cardiac glycosides
20 (*e.g.* digoxin or proscillaridin) can cause death in patients at only 2-3 times the level
21 approved for administration Anesth Prog. 2007 Spring; 54(1): 19-24.

22 The present disclosure arises in part from the discovery that the Na,K-ATPase closely
23 associates (complexes) with CD38 and acts in concert with it to modulate cell signaling
24 pathways and that EDC targeting such complex have remarkable therapeutic activity,
25 particularly in the treatment of cancer and immune disorders, such as asthma. Targeting
26 moieties useful in EDC of the present disclosure are described in the following section.

27 III. Targeting Moieties

28 In many embodiments of the EDC of the present disclosure, the targeting moiety may
29 be, without limitation, a human, murine, humanized, or chimeric antibody that does not
30 induce internalization upon target binding and thus is not internalized into a lysosome once
31 bound to its extracellular target.

32 The EDC of the present disclosure are more selective and/or less toxic than the drug

1 they contain. In the EDC of the present disclosure, the targeting moiety and/or linker can
2 effectively prevent or dramatically reduce the therapeutic (and so reduce toxic, off-target)
3 effect of the drug until the targeting moiety binds to its target. This is an especially important
4 aspect of the present disclosure, given the discovery that the Na,K-ATPase interacts with a
5 myriad of other signaling proteins, creating the potential for significant, undesired “off-
6 target” effects. Thus, the EDC present disclosure the present disclosure are primarily active
7 only when the targeting moiety is bound to its target and in close proximity to the therapeutic
8 agent’s target and when the EDC is intact. Taken together, these characteristics allow for
9 more specific and less toxic EDC because the potential of acting on the Na,K-ATPase is only
10 significantly high when the antibody binds to its target. The EDC of the present disclosure are
11 more selective, because both agent and antibody target sites need to be present, and in close
12 proximity to one another, for the EDC to exert a therapeutic effect. The EDC of the present
13 disclosure are less toxic because the agent is linked through a stable linker to the targeting
14 moiety that will selectively bind to its target, keeping the agent in close proximity and thus
15 only able to act on Na,K-ATPases in that close proximity

16 The targeting moieties of EDCs target antigens, such as CD38, that associate with the
17 Na,K-ATPase to modulate a cell signaling pathway.

18 CD38 (also known as cyclic ADP ribose hydrolase) is a 300 amino acid (45kD)
19 glycoprotein found on the surface of many immune cells and is encoded by the CD38 gene.
20 CD38 is a type II transmembrane glycoprotein, the extracellular domain acting as an
21 ectoenzyme, catalyzing the conversion of nicotinamide adenine dinucleotide into
22 nicotinamide, adenosine diphosphate–ribose, and cyclic adenosine diphosphate–ribose. In
23 chronic lymphocytic leukemia (CLL), CD38 expression signifies a poor prognosis. CLL is a
24 deadly disease for which more effective treatments are needed. The present disclosure meets
25 this need. CD38 is upregulated in many hematopoietic malignancies and in cell lines derived
26 from various hematopoietic malignancies, including non-Hodgkin's lymphoma (NHL),
27 Burkitt's lymphoma (BL), multiple myeloma (MM), B chronic lymphocytic leukemia (B-
28 CLL), B and T acute lymphocytic leukemia (ALL), T cell lymphoma (TCL), acute myeloid
29 leukemia (AML), hairy cell leukemia (HCL), Hodgkin's Lymphoma (HL), and chronic
30 myeloid leukemia (CML). On the other hand, most primitive pluripotent stem cells of the
31 hematopoietic system are CD38 negative. CD38 expression in hematopoietic malignancies
32 and its correlation with disease progression makes CD38 an attractive target for antibody

1 therapy (J. Biol. Chem. 2011, 286:22170-22177).

2 CD38 has been reported to be involved in Ca^{2+} mobilization (M. Morra et al., 1998,
3 *FASEB J.*, 12: 581-592; M. T. Zilber et al., 2000, *Proc Natl Acad Sci USA*, 97: 2840-2845)
4 and in the signal transduction through tyrosine phosphorylation of numerous signaling
5 molecules, including phospholipase C- γ , ZAP-70, syk, and c-cbl, in lymphoid and myeloid
6 cells or cell lines (A. Funaro et al., 1993, *Eur J Immunol*, 23: 2407-2411; M. Morra et al.,
7 1998, *FASEB J.*, 12: 581-592; A. Funaro et al., 1990, *J Immunol*, 145: 2390-2396; M.
8 Zubiaur et al., 1997, *J Immunol*, 159: 193-205; S. Deaglio et al., 2003, *Blood* 102: 2146-
9 2155; E. Todisco et al., 2000, *Blood*, 95: 535-542; M. Konopleva et al., 1998, *J Immunol*,
10 161: 4702-4708; M. T. Zilber et al., 2000, *Proc Natl Acad Sci USA*, 97: 2840-2845; A.
11 Kitanaka et al., 1997, *J Immunol*, 159: 184-192; A. Kitanaka et al., 1999, *J Immunol*, 162:
12 1952-1958; R. Mallone et al., 2001, *Int Immunol*, 13: 397-409). On the basis of these
13 observations, CD38 was proposed to be an important signaling molecule in the maturation
14 and activation of lymphoid and myeloid cells during their normal development.

15 The exact role of CD38 in signal transduction and hematopoiesis is still not clear in
16 the literature, especially since most of these signal transduction studies have used cell lines
17 ectopically overexpressing CD38 and anti-CD38 monoclonal antibodies, which are non-
18 physiological ligands. Because the CD38 protein has an enzymatic activity that produces
19 cADPR, a molecule that can induce Ca^{2+} mobilization (H. C. Lee et al., 1989, *J Biol Chem*,
20 264:1608-1615; H. C. Lee and R. Aarhus, 1991, *Cell Regul*, 2: 203-209), it has been
21 proposed that CD38 ligation by monoclonal antibodies triggers Ca^{2+} mobilization and signal
22 transduction in lymphocytes by increasing production of cADPR (H. C. Lee et al., 1997, *Adv*
23 *Exp Med Biol*, 419: 411-419). Contrary to this hypothesis, the truncation and point-mutation
24 analysis of CD38 protein showed that neither its cytoplasmic tail nor its enzymatic activity is
25 necessary for the signaling mediated by anti-CD38 antibodies (A. Kitanaka et al., 1999, *J*
26 *Immunol*, 162: 1952-1958; F. E. Lund et al., 1999, *J Immunol*, 162: 2693-2702; S. Hoshino
27 et al., 1997, *J Immunol*, 158, 741-747).

28 CD38 knockout mice have been generated. These animals show an almost complete
29 loss of tissue associated NADase activity. Yet, these animals are viable, leading to the
30 conclusion that CD38 and its activities are not necessary for life. These mice do however
31 exhibit a defect in their innate immunity and a reduced T-cell dependent humoral response
32 due to a defect in dendritic cell migration (S. Partida-Sanchez et al., 2004, *Immunity*, 20: 279-

291; S. Partida-Sanchez et al., 2001, *Nat Med*, 7: 1209-1216).

In spite of the recent progress in the discovery and development of anti-cancer agents, many forms of cancer involving CD38-expressing tumors still have a poor prognosis. CD38 is expressed at high epitope density by a variety of lymphoid tumors, including most cases of myeloma some cases of AIDS-associated lymphoma and many cases of posttransplant lymphoproliferations. The marked quantitative differences in cell surface expression between normal cells and their leukemic counterparts made CD38 an attractive target for immunotherapy treatment.

CD38 is also involved in main features of asthma such as bronchial hyper-responsiveness and airway inflammation and could represent a new potential therapeutic target for asthma. Abnormal CD38 activity and/or expression may exaggerate airway narrowing observed in patients with asthma. CD38 may have a role in the regulation of different inflammatory genes, such as IL-6 and RANTES which are important in the pathogenesis of asthma. Pro-asthmatic cytokines such as TNF α and IFNs synergistically increase both CD38 protein and mRNA levels by NF- κ B activation. On the other hand, CD38 expression is decreased by anti-inflammatory glucocorticoids via inhibition of NF- κ B. CD38 expression is also involved in inflammation-associated steroid resistance. CD38 regulation and its correlation with asthma and other inflammatory diseases makes CD38 an attractive target for the development of novel CD38 therapies which could influence the pathophysiology of diseases beyond asthma, like chronic obstructive pulmonary disease and pulmonary fibrosis. There are currently no CD38 specific drugs for asthma at this time.

In certain embodiments, because EDC of the present disclosure target CD38 and can be linked to agents that act to decrease inflammation and/or kill cells expressing CD38, EDC of the present disclosure would be useful in treating patients with various types of asthma including exacerbated asthma that upregulate CD38 on their surfaces. In certain embodiments, because agents of the EDC of the present disclosure also block the activation of NF- κ B (nuclear factor kappa-light-chain-enhancer of activated B cells), EDCs of the present disclosure may have use in treating diseases such as those associated with inflammatory and autoimmune diseases, septic shock, viral infection, and improper immune development (2000 *Cancer Res.*60, pp 3838–3847 and (2003 *Biochem. Pharmacol.*66 pp2223–2239). In certain embodiments, because agents of the EDC of the present disclosure also reduce cytokine production such as interferon-beta, IL-1 and IL-8, EDCs of the present

1 disclosure can lead to treatment, prevention or amelioration of a number of disorders which
2 are characterized by elevated levels of such cytokines (Circulation. 1997; 96: 1501-1506).

3 According to certain embodiments, because agents of the EDC of the present
4 disclosure may also reduce inflammation, inflammation associated disorders or diseases
5 could also be treated using the EDC described herein (PNAS 2005 vol. 102 no. 27, 9631–
6 9636, US20140088056 A1). Such disorders or diseases characterized by inflammation may
7 comprise, but are not limited to, asthma, autoimmune diseases, chronic prostatitis,
8 glomerulonephritis, inflammatory bowel disease, pelvic inflammatory disease, reperfusion
9 injury, arthritis, silicosis, vasculitis, inflammatory myopathies, hypersensitivities, migraine,
10 psoriasis, gout, arteriosclerosis, and any combinations thereof.

11 Exemplary inflammatory diseases include, but are not limited to, rheumatoid arthritis,
12 inflammatory bowel disease, pelvic inflammatory disease, ulcerative colitis, psoriasis,
13 systemic lupus erythematosus, multiple sclerosis, type 1 diabetes mellitus, multiple sclerosis,
14 psoriasis, vaculitis, and allergic inflammation such as allergic asthma, atopic dermatitis, and
15 contact hypersensitivity. Other examples of auto-immune-related diseases or disorders,
16 include but should not be construed to be limited to, rheumatoid arthritis, multiple sclerosis
17 (MS), systemic lupus erythematosus, Graves' disease (overactive thyroid), Hashimoto's
18 thyroiditis (underactive thyroid), Type 1 diabetes mellitus, celiac disease, Crohn's disease and
19 ulcerative colitis, Guillain-Barre syndrome, primary biliary sclerosis/cirrhosis, sclerosing
20 cholangitis, autoimmune hepatitis, Raynaud's phenomenon, scleroderma, Sjogren's syndrome,
21 Goodpasture's syndrome, Wegener's granulomatosis, polymyalgia rheumatica, temporal
22 arteritis/giant cell arteritis, chronic fatigue syndrome (CFS), psoriasis, autoimmune Addison's
23 Disease, ankylosing spondylitis, Acute disseminated encephalomyelitis, antiphospholipid
24 antibody syndrome, aplastic anemia, idiopathic thrombocytopenic purpura, Myasthenia
25 gravis, opsoclonus myoclonus syndrome, optic neuritis, Ord's thyroiditis, pemphigus,
26 pernicious anaemia, polyarthritis in dogs, Reiter's syndrome, Takayasu's arteritis, warm
27 autoimmune hemolytic anemia, Wegener's granulomatosis, fibromyalgia (FM),
28 autoinflammatory PAPA syndrome, Familial Mediterranean Fever, familial cold
29 autoinflammatory syndrome, Muckle-Wells syndrome, and the neonatal onset multisystem
30 inflammatory disease.

31 As used herein, an anti-inflammation treatment aims to prevent or slow down (lessen)
32 an undesired physiological change or disorder, such as the development or progression of the

1 inflammation. Beneficial or desired clinical results include, but are not limited to, alleviation
2 of symptoms, diminishment of extent of disease, stabilized (i.e., not worsening) state of
3 disease, delay or slowing of inflammation disease progression, amelioration or palliation of
4 the disease state, and remission (whether partial or total), whether detectable or undetectable.
5 An anti-inflammation treatment can also mean prolonging survival as compared to expected
6 survival if not receiving treatment. An anti-inflammation treatment can also completely
7 suppress the inflammation response.

8 Multiple attempts to make and use antibodies (monoclonal and bispecific) as well as
9 antibody drug conjugates for treating cancer have been made and shown to be active at
10 killing certain types of human cancer cells (Pat. No. US8153765). Some of the anti-CD38
11 antibodies have been shown to be able to trigger apoptosis in CD38 positive cells but only in
12 the presence of stroma cells or stroma-derived cytokines. An agonistic anti-CD38 antibody
13 (IB4) has been reported to prevent apoptosis of human germinal center (GC) B cells (S. Zupo
14 et al. 1994, Eur J Immunol, 24: 1218-1222), and to induce proliferation of KG-1 and HL-60
15 AML cells (M. Konopleva et al. 1998, J Immunol, 161: 4702-4708), but induces apoptosis in
16 Jurkat T lymphoblastic cells (M. Morra et al. 1998, FASEB J, 12: 581-592). Another anti-
17 CD38 antibody T16 induced apoptosis of immature lymphoid cells and leukemic lymphoblast
18 cells from an ALL patient (M. Kumagai et al. 1995, J Exp Med, 181: 1101-1110), and of
19 leukemic myeloblast cells from AML patients (E. Todisco et al. 2000, Blood, 95: 535-542),
20 but T16 induced apoptosis only in the presence of stroma cells or stroma-derived cytokines
21 (IL-7, IL-3, stem cell factor). On the other hand, some CD38 specific antibodies induce
22 apoptosis after cross-linking, but are totally devoid of any apoptotic activity when incubated
23 alone (WO 2006/099875). The SAR650984 like antibodies are capable of killing CD38
24 positive cells by three different cytotoxic mechanisms: induction of apoptosis, ADCC, and
25 CDC (US20120156218).

26 In spite of some promising results, these investigations have yet to lead to clinical
27 applications. This is mainly due to negative therapeutic indices when antibody drugs were
28 administered to humans. These effects may be related to CD38's widespread distribution in
29 lymphoid, myeloid, and epithelial cells as well as in specialized tissues and organs including
30 the eyes. Combination therapies have been attempted to increase the therapeutic window (i.e.,
31 retinoic acid which up-regulates CD38) yet significant side effects in patients were observed.
32 Thus, if CD38 is to be used as a target for treating cancer, there needs to be a better

understanding of how it functions and the discovery of more precise methods of delivering drugs to it such that the drug targets specific diseased cells that express CD38. The EDCs described here where the targeting moiety is an antibody to a CD38 and the drug is a steroid show that certain cells that express CD38 are resistant to the EDC while other cells that also express CD38 are quite sensitive, thus giving evidence that the EDC has the ability to act precisely on cells expressing the complex between the two targets of the EDC.

In view of the multiple functions of human CD38 and the fact that naked CD38 antibodies alone do not provide specificity above the binding of various epitopes on CD38, there is a need for new therapeutics that more specifically modulate particular functions of CD38 on diseased cells.

There are a number of antibodies that bind CD38, some of which are currently being investigated in clinical trials. Table 1 provides a list, without limitation, of antibodies that target CD38, which antibodies, or fragments or derivatives thereof, may be useful in various embodiments of the present disclosure. Many more antibodies that can serve as CD38 targeting targeting moieties of various EDCs of the present disclosure can be found at: [<http://www99.mh-hannover.de/aktuelles/projekte/hlda7/hldabase/select.htm>] and a list of clinical antibodies at [<http://www.imgt.org/mAb-DB/index>].

Table 1.

Antibody Name	Target Protein	Potential Indications	Reference
HB7, OKT10, Daratumumab, SAR650984, IB4, SUN4B7, IB6, AT1, AT2, UM16, 5D2, BB51, GR7A4, HI157, HIT2, HIT3, MOR202, KKIB5, KK9H4	CD38	Cancer, autoimmune and (chronic) inflammatory diseases, such as Type 1 and 2 diabetes, thyroiditis, Graves disease, arthritis, neuroinflammation and asthma.	The Journal of Immunology, 2011, 186:1840–1848., Blood, 2004; 104 (13) 4269-78, US patent applications US20130209355, US20120156218, WO2006099875, EP20000202597 BMC Immunology 2004, 5:21

Other examples of antibodies that specifically bind CD38 include, without limitation, the antibodies listed above in Table 1, such as SUN4B7, OKT10, HB7, IB4, AT1, SAR650984 (or 38SB19), Daratumumab, IB6, AT2, UM16, 5D2, BB51, GR7A4, HI157, HIT2, HIT3, and MOR202. Table 2 below provides additional information about various

anti-CD38 antibodies listed in Table 1, including their CD38 epitope (binding site) and cross reactivity with macaque CD38.

Table 2.

Name	Type	CD38 Epitope (amino acids)	Cross-reacts with Macaque CD38?	Refs*
SUN4B7	IgG1	254-275	YES	1
OKT10	IgG1	280-298	YES	1
HB7	IgG1	254-275	NO	1,2
IB4	IgG2a	220-241 and 273-285	NO	1
IB6	IgG2b	ND*	NO	1
AT1	IgG1	254-275	YES	1
SAR650984 or 38SB19	IgG1(human)	107-120, 125, 146, 155, 189, 193, 194 and 226	ND*	3
Daratumumab	IgG1(human)	233-246 and 267-280	ND*	4
MOR202	IgG1(human)	ND*	ND*	5
Refs* = references; ND* = not determined; 1) Tissue Antigens 2000; 56: 539-547 and BMC Immunology 2004, 5:21; 2) J. Biol. Chem. 2011, 286:22170-22177; 3) US Patent 8,153,765 and Clin Cancer Res 2014;20(17); 4574-83; 4) J Immunol 2011; 186:1840-1848; 5) US Patent 8,877,899.				

Human CD38 consists of a short intracytoplasmic tail (21 amino acids), a transmembrane domain (23 amino acids) and a major extracellular domain (256 amino acids). The CD38 extracellular domain, where both receptor and enzymatic activities reside, harbours a twelve cysteine/six disulfide signature common to members of this family, which helps to stabilize the overall structure of the protein (see *BMC Immunol.* 2004;5:21). The six disulfides of CD38 have previously been shown to be important for its catalytic activities. An epitope map of human CD38 was previously generated using a panel of six specific anti-CD38 monoclonal antibodies, IB4, IB6, SUN4B7, OKT10, AT1, and AT2 (see *Tissue Antigens* 2000;56(6):539-47, which is hereby incorporated by reference in its entirety). Results indicated that the monoclonal antibodies may be separated into two broad groups, which recognize totally or partially overlapping epitopes. IB4, AT2 and IB6 antibodies bound one side of CD38, whereas, OKT10, SUN4B7 and AT1 bound the other side of CD38.

Characterization of human CD38 and cynomolgus macaque CD38 using monoclonal antibodies identified additional structural-functional characteristics of CD38 (see *BMC Immunol.* 2004;5:21, which is hereby incorporated by reference in its entirety). A panel of monoclonal antibodies raised against human CD38, including IB4, IB6, OKT10, SUN4B7,

AT1, and HB7, was assessed for binding to human CD38 and for cross-reactivity to cynomolgus macaque CD38 (*Macaca fascicularis*), which has 92% amino acid sequence identity and 94% similarity with human CD38. Results showed that IB4, IB6, OKT10, SUN4B7, AT1, and HB7 antibodies all bound human CD38. However, only OKT10, SUN4B7, and AT1 antibodies bound to cynomolgus CD38, whereas IB4, IB6 and HB7 antibodies did not bind cynomolgus CD38. The study identified two different epitopes on human CD38 located in two C-terminal disulfide loops. The OKT10 CD38 epitope binding site was mapped to the last (6th) disulfide loop of human CD38 involving residues Cys²⁸⁷-Cys²⁹⁶ of CD38. Whereas, the SUN4B7 and AT1 CD38 epitope binding site was mapped to the penultimate (5th) C-terminal disulfide loop involving residues Cys²⁵⁴-Cys²⁷⁵ of human CD38. A homology model of human CD38 derived from *Aplysia* ADPR cyclase was generated illustrating footprints of the antibodies near the two C-terminal disulfide loops. Other studies have identified additional CD38 epitopes that are recognized by different monoclonal antibodies.

According to certain embodiments, an example of a targeting moiety in the EDC may be an antibody targeting moiety that specifically binds CD38. The polynucleotide sequence of human CD38 is provided in SEQ ID NO: 1, which encodes the CD38 amino acid sequence provided in SEQ ID NO: 2. In certain embodiments, the targeting moiety in the EDC may be an antibody targeting moiety that specifically binds CD38, which is encoded by the polynucleotide sequence comprising, consisting of, or consisting essentially of SEQ ID NO: 1. In certain embodiments, the targeting moiety in the EDC may be an antibody targeting moiety that specifically binds CD38, which comprises, consists of, or consists essentially of SEQ ID NO: 2. In certain embodiments, the antibody targeting moiety may specifically bind to an epitope located in the extracellular domain of CD38 (i.e., SEQ ID NO: 2). In certain embodiments, the antibody targeting moiety may have the same binding specificity to CD38 (i.e., SEQ ID NO: 2) as any of the antibodies listed in Tables 1 or 2. In certain embodiments, the antibody targeting moiety may bind to the same or substantially similar CD38 epitope as any of the antibodies listed in Tables 1 or 2. Those of skill in the art will recognize that antibodies to be used in the EDCs described herein can be generated that bind to the same or substantially similar CD38 epitope as any of the antibodies listed in Tables 1 or 2. In various embodiments, the antibody targeting moiety in the EDC is an antibody that may be, for example, a monoclonal antibody. In certain embodiments, the antibody targeting moiety may

1 be, without limitation, a murine antibody, a human antibody, a chimeric antibody, or a
2 humanized antibody of one of the antibodies found in Table 1 or 2 above. In one
3 embodiment, the humanized antibody may be, for example, a humanized form of an anti-
4 CD38 antibody from any non-human source, e.g. murine. In one embodiment, the humanized
5 antibody may be, for example, a humanized form of one of the antibodies found in Table 1 or
6 2 above. In one embodiment, the humanized antibody may be constructed from heavy and
7 light chain variable sequences that recognizes CD38 on the cell surface. In one embodiment,
8 the antibody may be an antibody fragment, e.g. a Fab fragment. In various embodiments, the
9 antibody of the EDC binds specifically to the extracellular portion of a nucleotide-
10 metabolizing (ecto)-enzymes family member protein such as CD38.

11 In various embodiments, the EDCs described herein comprise a targeting moiety that
12 targets CD38. In various embodiments, the EDCs described herein comprise a targeting
13 moiety that is an antibody targeting moiety that specifically binds CD38. In various
14 embodiments, the antibody targeting moiety in the EDC is the anti-CD38 murine monoclonal
15 antibody SUN4B7 (IgG1, κ) (see *BMC Immunol.* 2004;5:21; *Tissue Antigens* 2000;56(6):539-
16 47). As shown in the Examples below, when comparing targeting moieties, EDCs constructed
17 using SUN4B7 displayed preferred activity since this targeting moiety produced EDCs with
18 the lowest comparable half maximal effective concentration (EC_{50}) values and the longest *in*
19 *vivo* half-life as determined by PK activity testing. The study provided in Example 5
20 demonstrates that SUN4B7 is an exemplary targeting moiety for the EDCs provided herein as
21 the EDCs produced with SUN4B7 were the most potent at inducing apoptosis specifically in
22 the cell lines expressing CD38. The SUN4B7 epitope binding site on human CD38 was
23 previously characterized in one study as mapping to the 5th C-terminal disulfide loop of
24 human CD38 involving Cys²⁵⁴-Cys²⁷⁵ (i.e., the disulfide loop includes amino acids 254-275)
25 of the extracellular domain of CD38 (i.e., SEQ ID NO: 2) (see *BMC Immunol.* 2004;5:21).
26 Additionally, this study indicated that SUN4B7 also bound cynomolgus macaque CD38. In
27 certain embodiments, the antibody targeting moiety in the EDC that specifically binds CD38
28 may specifically bind to an epitope comprising a disulfide bond of CD38 formed by amino
29 acids 254 (i.e., Cys²⁵⁴) and 275 (i.e., Cys²⁷⁵) of CD38 (i.e., SEQ ID NO: 2). In certain
30 embodiments, the epitope may comprise the 5th C-terminal disulfide loop of CD38
31 comprising amino acids 254-275 of CD38 (i.e., SEQ ID NO: 2). In certain embodiments, the
32 epitope may comprise one or more of amino acids 254-275 of CD38 (i.e., SEQ ID NO: 2). In

1 certain embodiments, the antibody targeting moiety may have the same binding specificity to
2 CD38 (i.e., SEQ ID NO: 2) as the SUN4B7 monoclonal antibody. In certain embodiments,
3 the antibody targeting moiety may bind to the same or substantially similar CD38 epitope as
4 SUN4B7. In certain embodiments, the antibody targeting moiety may specifically bind both
5 human CD38 (i.e., SEQ ID NO: 2) and cynomolgus macaque CD38 (i.e., SEQ ID NO: 3). In
6 all of the embodiments described herein, the antibody targeting moiety may be selected from,
7 without limitation, a murine, human, chimeric, humanized antibody or binding fragment
8 thereof.

9 In certain embodiments, the antibody in the EDC that specifically binds CD38 may be
10 AT1, a monoclonal antibody of the isotype subclass IgG1. The CD38 epitope that is
11 recognized by AT1 is the same epitope recognized by SUN4B7, which is located close to the
12 carboxyl terminus of CD38 mapping to the 5th C-terminal disulfide loop of human CD38
13 involving Cys²⁵⁴-Cys²⁷⁵ (i.e., the disulfide loop includes amino acids 254-275) of the
14 extracellular domain of CD38 (i.e., SEQ ID NO: 2) (see *BMC Immunol.* 2004;5:21). It was
15 previously shown that AT1 also bound cynomolgus CD38. *Id.* In certain embodiments, the
16 antibody targeting moiety that specifically binds CD38 may specifically bind to an epitope
17 comprising a disulfide bond of CD38 formed by amino acids 254 (i.e., Cys²⁵⁴) and 275 (i.e.,
18 Cys²⁷⁵) of CD38 (i.e., SEQ ID NO: 2). In certain embodiments, the epitope may comprise the
19 5th C-terminal disulfide loop of CD38 comprising amino acids 254-275 of CD38 (i.e., SEQ
20 ID NO: 2). In certain embodiments, the antibody targeting moiety may have the same binding
21 specificity to CD38 (i.e., SEQ ID NO: 2) as the AT1 monoclonal antibody. In certain
22 embodiments, the antibody targeting moiety may bind to the same or substantially similar
23 CD38 epitope as the AT1 monoclonal antibody. In certain embodiments, the antibody
24 targeting moiety may specifically bind both human CD38 (i.e., SEQ ID NO: 2) and
25 cynomolgus macaque CD38 (i.e., SEQ ID NO: 3). In all of the embodiments described
26 herein, the antibody targeting moiety may be selected from, without limitation, a murine,
27 human, chimeric, humanized antibody or binding fragment thereof.

28 As shown in the Examples below, EDCs having SUN4B7 as the antibody targeting
29 moiety provided optimal results. Those of skill in the art will recognize that antibodies can be
30 generated that bind to the same or substantially similar CD38 epitope as SUN4B7 (i.e., the
31 5th C-terminal disulfide loop of CD38 involving Cys²⁵⁴-Cys²⁷⁵ including amino acids 254-
32 275 of the extracellular domain of CD38 (i.e., SEQ ID NO: 2)). Additionally, in certain

embodiments, antibodies for use in the EDC may be generated that bind to the same or substantially similar CD38 epitope as SUN4B7 and also specifically bind cynomolgus macaque CD38 (i.e., SEQ ID NO: 3). In all of the embodiments described herein, the antibodies for use in the EDC may be selected from, without limitation, a murine, human, chimeric, humanized antibody or binding fragment thereof.

Other antibodies that are also useful in the present disclosure have been reported to have the same CD38 epitope binding site as SUN4B7 (i.e., the 5th C-terminal disulfide loop of human CD38 involving Cys²⁵⁴-Cys²⁷⁵), but do not bind cynomolgus macaque CD38 (i.e., SEQ ID NO: 3). For example, the specificity of HB7 for CD38 was previously established by x-ray crystallography and site-directed mutagenesis studies showing that HB7 directly binds an epitope on human CD38 mapping to a specific disulfide formed by residues Cys²⁵⁴ and Cys²⁷⁵ of CD38 (see *J Bio. Chem.* 2011; 286(25):22170-7, which is hereby incorporated by reference in its entirety), which is the same epitope binding site that was reported for SUN4B7. Additional studies showed that HB7 does not bind cynomolgus CD38 (see *BMC Immunol.* 2004;5:21). HB7 (Mouse IgG1, κ) is produced using the hybridoma cell line that has been deposited at the American Type Culture Collection (ATCC) under the deposit number HB-136 (see *Tissue Antigens.* 1984; 24(3):140-9). In certain embodiments, the antibody targeting moiety may have the same binding specificity to CD38 (i.e., SEQ ID NO: 2) as the HB7 monoclonal antibody. In certain embodiments, the antibody targeting moiety may bind to the same or substantially similar CD38 epitope as the HB7 monoclonal antibody. In certain embodiments, the antibody targeting moiety specifically binds human CD38 (i.e., SEQ ID NO: 2), but does not specifically bind cynomolgus macaque CD38 (i.e., SEQ ID NO: 3).

Antibodies useful in the EDCs provided herein may also include any antibody listed in Tables 1 and 2 or binding fragment thereof, or any antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as any of the antibodies listed in Tables 1 or 2. In all of the embodiments described herein, the antibody targeting moiety may be selected from, without limitation, a murine, human, chimeric, humanized antibody or binding fragment thereof.

In certain embodiments, the antibody in the EDC that specifically binds CD38 may be OKT10, a monoclonal antibody directed against CD38 that is produced using the hybridoma cell line that was deposited on November 21, 1979 at the ATCC under the deposit number

1 CRL-8022 (see U.S. Patent No. 4,364,935, which is hereby incorporated by reference in its
2 entirety, for a description of OKT10 and methods of producing OKT10). As shown in
3 Example 5 below, ATRA enhanced cell sensitivity of all cells expressing CD38 that were
4 tested with the EDC constructed with OKT10. Previous studies showed that the CD38
5 epitope that is recognized by OKT10 is located close to the carboxyl terminus of CD38 and
6 was mapped to the last (6th) disulfide loop of human CD38 involving residues 287-296 of
7 CD38 (see *Tissue Antigens* 2000;56(6):539-47; *BMC Immunol.* 2004;5:21). Additionally, it
8 was indicated that OKT10 also bound cynomolgus CD38. In certain embodiments, the
9 antibody targeting moiety may specifically bind to an epitope comprising a disulfide bond of
10 CD38 (i.e., SEQ ID NO: 2) formed by amino acids 287 (i.e., Cys²⁸⁷) and 296 (i.e., Cys²⁹⁶) of
11 CD38 (i.e., SEQ ID NO: 2). In certain embodiments, the antibody targeting moiety may
12 specifically bind to an epitope comprising the 6th C-terminal disulfide loop comprising
13 amino acids 287-296 of CD38 (i.e., SEQ ID NO: 2). In certain embodiments, the antibody
14 targeting moiety may have the same binding specificity to CD38 (i.e., SEQ ID NO: 2) as the
15 OKT10 monoclonal antibody. In certain embodiments, the antibody targeting moiety may
16 bind to the same or substantially similar CD38 epitope as the OKT10 monoclonal antibody.

17 In certain embodiments, the antibody in the EDC that specifically binds CD38 may be
18 the anti-CD38 antibody IB4 (see *J. Immunol.* 1997; 158(2):741-7) that is produced using the
19 hybridoma cell line that has been deposited at the ATCC under the deposit number HB-
20 10164. It was previously established that IB4 binds to an epitope located close to the carboxyl
21 terminus of CD38 spanning amino acids 273-285 of human CD38 (see *J. Immunol.* 1997;
22 158(2):741-7, which is hereby incorporated by reference) and also amino acids 220-241 of
23 human CD38 (see *Tissue Antigens* 2000;56(6):539-47, Table 1). Additional studies showed
24 that IB4 does not bind cynomolgus CD38 (see *BMC Immunol.* 2004;5:21). In certain
25 embodiments, the antibody targeting moiety may specifically bind to an epitope comprising
26 amino acids 220-241 of CD38 (i.e., SEQ ID NO: 2). In certain embodiments, the antibody
27 targeting moiety may specifically bind to an epitope comprising amino acids 273-285 of
28 CD38 (i.e., SEQ ID NO: 2). In certain embodiments, the antibody targeting moiety may
29 specifically bind to an epitope comprising amino acids 220-241 and 273-285 of CD38 (i.e.,
30 SEQ ID NO: 2). In certain embodiments, the antibody targeting moiety may have the same
31 binding specificity to CD38 (i.e., SEQ ID NO: 2) as the IB4 monoclonal antibody. In certain
32 embodiments, the antibody targeting moiety may bind to the same or substantially similar

1 CD38 epitope as the IB4 monoclonal antibody as described in *Tissue Antigens*
2 2000;56(6):539-47.

3 In certain embodiments, the antibody in the EDC that specifically binds CD38 may be
4 the anti-CD38 antibody IB6, that is of the isotype subclass, IgG2b (see *Tissue Antigens*
5 2000;56(6):539-47). A previous study showed that IB6 does not bind cynomolgus CD38 (see
6 *BMC Immunol.* 2004;5:21). In certain embodiments, the antibody targeting moiety may have
7 the same binding specificity to CD38 (i.e., SEQ ID NO: 2) as the IB6 monoclonal antibody.
8 In certain embodiments, the antibody targeting moiety may bind to the same or substantially
9 similar CD38 epitope as the IB6 monoclonal antibody.

10 In certain embodiments, the antibody in the EDC that specifically binds CD38 may be
11 SAR650984 (also known as 38SB19), a humanized monoclonal antibody directed against
12 CD38, which is currently in clinical development. SAR650984 is produced using the
13 hybridoma cell line that has been deposited at the ATCC under the deposit number PTA-7670
14 (*Clin Cancer Res* 2014; 20(17):4574-83, which is hereby incorporated by reference in its
15 entirety) (also see U.S. Patent No. 8,153,765, which is hereby incorporated by reference in its
16 entirety, for a description of the 38SB19 antibody and other anti-CD38 antibodies and their
17 sequences including the heavy chain, light chain, and CDR sequences and methods of
18 producing the antibodies). SAR650984 recognizes an epitope on CD38 comprising amino
19 acids 107-120, 125, 146, 155, 189, 193, 194 and 226 of CD38 (see *Clin Cancer Res*
20 2014;20(17); 4574-83, which is hereby incorporated by reference in its entirety). In certain
21 embodiments, the antibody targeting moiety may bind to an epitope comprising amino acids
22 107-120, 125, 146, 155, 189, 193, 194 and 226 of CD38 (i.e., SEQ ID NO: 2). In certain
23 embodiments, the antibody targeting moiety may bind to an epitope comprising one or more
24 of amino acids 107-120, 125, 146, 155, 189, 193, 194 and 226 of CD38 (i.e., SEQ ID NO: 2).
25 In certain embodiments, the antibody targeting moiety may have the same binding specificity
26 to CD38 (i.e., SEQ ID NO: 2) as the SAR650984 monoclonal antibody. In certain
27 embodiments, the antibody targeting moiety may bind to the same or substantially similar
28 CD38 epitope as the SAR650984 monoclonal antibody. In certain embodiments, the antibody
29 targeting moiety may have the same binding specificity to CD38 (i.e., SEQ ID NO: 2) as any
30 of the anti-CD38 antibodies set forth in U.S. Patent No. 8,153,765. In certain embodiments,
31 the antibody targeting moiety may comprise one or more of a heavy chain, light chain and
32 CDR of any of the anti-CD38 antibodies set forth in U.S. Patent No. 8,153,765.

1 In certain embodiments, the antibody in the EDC that specifically binds CD38 may be
2 daratumumab, a human monoclonal antibody directed against CD38 that is currently in
3 clinical development (see *J Immunol* 2011;186(3):1840-8, which is hereby incorporated by
4 reference in its entirety). Daratumumab recognizes an epitope on CD38 that is localized to
5 two beta strands in the extracellular domain of CD38 comprising amino acids 233-246 and
6 267-280 (see *J Immunol* 2011;186(3):1840-8). In certain embodiments, the antibody targeting
7 moiety may bind to an epitope comprising one or more of amino acids 233-246 and 267-280
8 of CD38 (i.e., SEQ ID NO: 2). In certain embodiments, the antibody targeting moiety may
9 have the same binding specificity to CD38 (i.e., SEQ ID NO: 2) as the daratumumab
10 monoclonal antibody. In certain embodiments, the antibody targeting moiety may bind to the
11 same or substantially similar CD38 epitope as the daratumumab monoclonal antibody.

12 In certain embodiments, the antibody in the EDC that specifically binds CD38 may be
13 MOR202, a fully human monoclonal antibody directed against CD38 (see U.S. Patent No.
14 8,877,899, which is hereby incorporated by reference in its entirety, for information regarding
15 the MOR202 antibody and other anti-CD38 antibodies and their sequences including the
16 heavy chain, light chain, and CDR sequences and methods of producing the antibodies). In
17 certain embodiments, the antibody targeting moiety may have the same binding specificity to
18 CD38 (i.e., SEQ ID NO: 2) as the MOR202 monoclonal antibody. In certain embodiments,
19 the antibody targeting moiety may bind to the same or substantially similar CD38 epitope as
20 the MOR202 monoclonal antibody. In certain embodiments, the antibody targeting moiety in
21 the EDC that specifically binds CD38 may comprise one or more of a heavy chain, a light
22 chain, and a CDR of the MOR202 antibody or other anti-CD38 antibodies as provided in U.S.
23 Patent No. 8,877,899.

24 Antibody targeting moieties in the EDC of the present disclosure typically retain the
25 antigen binding capability of their native, unconjugated counterparts. Thus, antibodies useful
26 in the EDC of the present disclosure are capable of binding specifically to antigens while
27 covalently linked to an agent that acts on the Na,K-ATPase (*e.g.* scillarenin) through a stable
28 (and, in some embodiments, non-cleavable) linker. Such antigens include proteins or targets
29 that are associated with and in close proximity to the Na,K-ATPase in cells or tissues being
30 targeted for therapeutic intervention (or diagnosis).

31 Various methods have been employed to produce monoclonal antibodies (MAbs), and
32 these methods are applicable to the production of antibodies for use in the EDC of the present

1 disclosure and so are briefly reviewed below. Hybridoma technology, which refers to a
2 cloned cell line that produces a single type of antibody, uses the cells of various species,
3 including mice (murine), hamsters, rats, and humans. Other methods to prepare MAbs,
4 including chimeric and humanized antibodies, employ genetic engineering, *e.g.* recombinant
5 DNA techniques.

6 Polyclonal antibodies may be raised in animals by multiple subcutaneous (sc) or
7 intraperitoneal (ip) injections of the relevant antigen and an adjuvant. Monoclonal antibodies
8 are obtained from a population of substantially homogeneous antibodies, *e.g.*, the individual
9 antibodies comprising the population are identical except for possible naturally occurring
10 mutations that may be present in minor amounts.

11 Human myeloma and mouse-human heteromyeloma cell lines also have been
12 described for the production of human monoclonal antibodies (Kozbor, (1984) J. Immunol.,
13 133:3001, and Brodeur et al., Monoclonal Antibody Production Techniques and Applications,
14 pp. 51-63 (Marcel Dekker, Inc., New York, 1987)). Culture medium in which hybridoma
15 cells are growing is assayed for production of monoclonal antibodies directed against the
16 antigen. Binding specificity of monoclonal antibodies produced by hybridoma cells may be
17 determined by immunoprecipitation or by an *in vitro* binding assay, such as
18 radioimmunoassay (RIA) or enzyme-linked immunoabsorbent assay (ELISA). The binding
19 affinity of the monoclonal antibody can, for example, be determined by the Scatchard
20 analysis of Munson et al (1980) *Analyt. Biochem.* 107:220.

21 DNA encoding the monoclonal antibodies is readily isolated and sequenced using
22 conventional procedures (*e.g.*, by using oligonucleotide probes that are capable of binding
23 specifically to genes encoding the heavy and light chains of murine antibodies). The
24 hybridoma cells serve as a source of such DNA. Once isolated, the DNA may be placed into
25 expression vectors, which are then transfected into host cells such as *E. coli* cells, simian
26 COS cells, Chinese Hamster Ovary (CHO) cells, or myeloma cells that do not otherwise
27 produce antibody protein, to obtain the synthesis of monoclonal antibodies in the
28 recombinant host cells (see U.S. Pat. App. Pub. Nos. US20050048572 and US20040229310).
29 Review articles on recombinant expression in bacteria of DNA encoding the antibody include
30 Skerra et al (1993) *Curr. Opinion in Immunol.* 5:256-262 and Pluckthun (1992) *Immunol.*
31 *Revs.* 130:151-188.

32 In a further embodiment, monoclonal antibodies or antibody fragments can be isolated

1 from antibody phage libraries generated using the techniques described in McCafferty et al
2 (1990) Nature 348:552-554; Clackson et al (1991) Nature 352:624-628; and Marks et al
3 (1991) J. Mol. Biol., 222:581-597 describe the isolation of murine and human antibodies,
4 respectively, using phage libraries. Subsequent publications describe the production of high
5 affinity (nM range) human antibodies by chain shuffling (Marks et al (1992) Bio/Technology
6 10:779-783), as well as combinatorial infection and in vivo recombination as a strategy for
7 constructing very large phage libraries (Waterhouse et al (1993) Nuc. Acids. Res. 21:2265-
8 2266). Thus, these techniques are viable alternatives to traditional monoclonal antibody
9 hybridoma techniques for isolation of monoclonal antibodies.

10 The DNA also may be modified, for example, by substituting the coding sequence for
11 human heavy chain and light chain constant domains in place of the homologous murine
12 sequences (U.S. Pat. No. 4,816,567); and Morrison et al (1984) Proc. Natl. Acad. Sci. USA
13 81:6851), or by covalently joining to the immunoglobulin coding sequence all or part of the
14 coding sequence for a non-immunoglobulin polypeptide.

15 Typically such non-immunoglobulin polypeptides are substituted for the constant
16 domains of an antibody, or they are substituted for the variable domains of one antigen-
17 combining site of an antibody to create a chimeric bivalent antibody comprising one antigen-
18 combining site having specificity for an antigen and another antigen-combining site having
19 specificity for a different antigen.

20 As an alternative to humanization, human antibodies can be generated. For example,
21 it is now possible to produce transgenic animals (*e.g.*, mice) that are capable, upon
22 immunization, of producing a full repertoire of human antibodies in the absence of
23 endogenous immunoglobulin production (Jakobovits et al., (1993) Proc. Natl. Acad. Sci.
24 USA, 90:2551; Jakobovits et al., (1993) Nature 362:255-258; Bruggermann et al., (1993)
25 Year in Immuno. 7:33; and U.S. Pat. Nos. 5,591,669; 5,589,369; and 5,545,807).

26 Alternatively, phage display technology (McCafferty et al., (1990) Nature 348:552-
27 553) can be used to produce human antibodies and antibody fragments in vitro, from
28 immunoglobulin variable (V) domain gene repertoires from unimmunized donors (Johnson et
29 al., (1993) Curr. Opin. Structural Biol. 3:564-571). A repertoire of V genes from
30 unimmunized human donors can be constructed and antibodies to a diverse array of antigens
31 (including self-antigens) can be isolated essentially (Marks et al., (1991) J. Mol. Biol.
32 222:581-597; Griffith et al., (1993) EMBO J. 12:725-734; U.S. Pat. Nos. 5,565,332 and

1 5,573,905). Human antibodies may also be generated by in vitro activated B cells (U.S. Pat.
2 Nos. 5,567,610 and 5,229,275). Human anti-ErbB2 antibodies have been described (U.S. Pat.
3 No. 5,772,997 and PCT Pub. No. WO 97/00271).

4 Various techniques have been developed for the production of antibody fragments.
5 Traditionally, these fragments were derived via proteolytic digestion of intact antibodies (see
6 Morimoto et al., (1992) J. Biochem. Biophys. Methods 24:107-117; and Brennan et al.,
7 (1985) Science 229:81). Antibody fragments can also be produced directly by recombinant
8 host cells and the antibody phage libraries discussed above. Fab'-SH fragments can be
9 directly recovered from E. coli and chemically coupled to form F(ab')₂ fragments (Carter et al
10 (1992) Bio/Technology 10:163-167). According to another approach, F(ab')₂ fragments can
11 be isolated directly from recombinant host cell culture. Other techniques for the production of
12 antibody fragments will be apparent to the skilled practitioner. In other embodiments, the
13 antibody of choice is a single chain Fv fragment (v (sFv) dimers (Gruber et al., (1994) J.
14 Immunol. 152:5368). Techniques for generating bispecific antibodies from antibody
15 fragments have also been described, such as using chemical linkage wherein intact antibodies
16 are proteolytically cleaved to generate F(ab')₂ fragments (Brennan et al., (1985) Science
17 229:81). Fab'-SH fragments can be recovered from E. coli and chemically coupled to form
18 bispecific antibodies (Shalaby et al., (1992) J. Exp. Med. 175:217-225. The "diabody"
19 technology provides an alternative method for making bispecific antibody fragments
20 (Hollinger et al., (1993) Proc. Natl. Acad. Sci. USA 90:6444-6448).

21 Antibodies with more than two valencies can be employed in various embodiments of
22 the EDC of the present disclosure. Multivalent, "Octopus" antibodies with three or more
23 antigen binding sites and two or more variable domains can be readily produced by
24 recombinant expression of nucleic acid encoding the polypeptide chains of the antibody (US
25 Pat. App. Pub. No. US2002/0004586 and PCT Pub. No. WO 01/77342). For example,
26 trispecific antibodies can be prepared (Tutt et al., (1991) J. Immunol. 147:60).

27 Amino acid sequence modification(s) of antibodies are contemplated by the present
28 disclosure. For example, mutants and various isoforms of antibodies which bind to tumor-
29 associated or other antigens are contemplated to improve the binding affinity and/or other
30 biological properties of the antibody and/or allow for site specific conjugation of the linker
31 and/or therapeutic agent to the antibody. Amino acid sequence variants of an antibody are
32 prepared by introducing appropriate nucleotide changes into the nucleic acid encoding the

1 antibody, or by peptide synthesis. Such modifications include, for example, deletions from,
2 and/or insertions into and/or substitutions of, residues within the amino acid sequences of the
3 antibody. Any combination of deletion, insertion, and substitution is made to arrive at the
4 final construct, provided that the final construct possesses the desired characteristics. The
5 amino acid changes also may alter post-translational processes of the antibody, such as
6 changing the number or position of glycosylation sites.

7 A useful method for identification of certain residues or regions of the antibody that
8 are preferred locations for mutagenesis is "alanine scanning mutagenesis" (Cunningham and
9 Wells (1989) Science 244:1081-1085) where an amino acid residue, or group of target
10 residues, are identified (*e.g.*, charged residues such as arg, asp, his, lys, and glu) and replaced
11 by a neutral or negatively charged amino acid, such as alanine or polyalanine, to optimize the
12 interaction of the amino acids with antigen. Amino acid sequence insertions include amino-
13 and/or carboxyl-terminal fusions ranging in length from one residue to polypeptides
14 containing a hundred or more residues, as well as intrasequence insertions of single or
15 multiple amino acid residues.

16 The amino acid sequence of an antibody is usually altered by altering the underlying
17 nucleic acid sequence. Nucleic acid molecules encoding amino acid sequence variants of the
18 antibody are prepared by a variety of methods known in the art. These methods include, but
19 are not limited to, isolation from a natural source (in the case of naturally occurring amino
20 acid sequence variants) or preparation by oligonucleotide-mediated (or site-directed)
21 mutagenesis, PCR mutagenesis, and cassette mutagenesis of an earlier prepared variant or a
22 non-variant version of the antibody. The sites of greatest interest for substitutional
23 mutagenesis include the hypervariable regions, but FR alterations are also contemplated.

24 Substantial modifications in the biological properties of the antibody are
25 accomplished by selecting substitutions that differ significantly in their effect on maintaining
26 (a) the structure of the polypeptide backbone in the area of the substitution, for example, as a
27 sheet or helical conformation, (b) the charge or hydrophobicity of the molecule at the target
28 site, or (c) the bulk of the side chain. Naturally occurring residues are divided into groups
29 based on common side-chain properties: (1) hydrophobic: norleucine, met, ala, val, leu, ile;
30 (2) neutral hydrophilic: cys, ser, thr; (3) acidic: asp, glu; (4) basic: asn, gln, his, lys, arg; (5)
31 residues that influence chain orientation: gly, pro; and (6) aromatic: trp, tyr, phe. Non-
32 conservative substitutions will entail exchanging a member of one of these classes for another

1 class.

2 Any cysteine residue not involved in maintaining the proper conformation of the
3 antibody also may be substituted, generally with serine, to improve the oxidative stability of
4 the molecule and prevent aberrant crosslinking. Conversely, cysteine bond(s) may be added
5 to the antibody to improve its stability (particularly where the antibody is an antibody
6 fragment such as an Fv fragment).

7 To increase the serum half life of the antibody, one may incorporate a salvage
8 receptor binding epitope into the antibody (especially an antibody fragment) as described in
9 U.S. Pat. No. 5,739,277, for example. As used herein, the term "salvage receptor binding
10 epitope" refers to an epitope of the Fc region of an IgG molecule (*e.g.*, IgG₁, IgG₂, IgG₃, or
11 IgG₄) that is responsible for increasing the *in vivo* serum half-life of the IgG molecule (see
12 US Pat. App. Pub. No. US20030190311, and U.S. Pat. Nos. 6,821,505; 6,165,745; 5,834,597;
13 5,648,260; and 5,624,821). PEGylation can also be used to increase the half life of an EDC of
14 the present disclosure.

15 Glycosylation variants of antibodies are variants in which the glycosylation pattern of
16 an antibody is altered. By altering is meant deleting one or more carbohydrate moieties found
17 in the antibody, adding one or more carbohydrate moieties to the antibody, changing the
18 composition of glycosylation (glycosylation pattern), or the extent of glycosylation.
19 Antibodies may be glycosylated at conserved positions (N-linked or O-linked) in their
20 constant regions (Hse et al., (1997) J. Biol. Chem. 272:9062-9070; Jefferis and Lund, (1997)
21 Chem. Immunol. 65:111-128; Wright and Morrison, (1997) TibTECH 15:26-32). The
22 oligosaccharide side chains of the immunoglobulins affect the protein's function (Boyd et al.,
23 (1996) Mol. Immunol. 32:1311-1318; Wittwe and Howard, (1990) Biochem. 29:4175-4180),
24 and the intramolecular interaction between portions of the glycoprotein which can affect the
25 conformation and presented three-dimensional surface of the glycoprotein (Hefferis and
26 Lund, *supra*; Wyss and Wagner (1996) Current Opin. Biotech. 7:409-416). Oligosaccharides
27 may also serve to target a given glycoprotein to certain molecules based upon specific
28 recognition structures (Malhotra et al., (1995) Nature Med. 1:237-243; Umana et al., (1999)
29 Nature Biotech. 17:176-180). Removal of the oligosaccharides may optimize antigen binding
30 and other properties of the antibody (Boyd et al., (1996) Mol. Immunol. 32:1311-1318).

31 Factors which affect glycosylation during recombinant production of antibodies
32 include growth mode, media formulation, culture density, oxygenation, pH, purification

1 schemes and the like (U.S. Pat. No. 5,047,335; 5,278,299; and 5,510,261). Glycosylation, or
2 certain types of glycosylation, can be enzymatically removed from the glycoprotein, for
3 example using endoglycosidase H (Endo H). In addition, the recombinant host cell can be
4 genetically engineered, *e.g.* make defective in processing certain types of polysaccharides.
5 These and similar techniques are well known in the art.

6 The glycosylation structure of antibodies can be readily analyzed by conventional
7 techniques of carbohydrate analysis, including lectin chromatography, NMR, Mass
8 spectrometry, HPLC, GPC, monosaccharide compositional analysis, sequential enzymatic
9 digestion, and HPAEC-PAD, which uses high pH anion exchange chromatography to
10 separate oligosaccharides based on charge. Methods for releasing oligosaccharides for
11 analytical purposes are also known, and include, without limitation, enzymatic treatment
12 (commonly performed using peptide-N-glycosidase F/endo-.beta.-galactosidase), elimination
13 using harsh alkaline environment to release mainly O-linked structures, and chemical
14 methods using anhydrous hydrazine to release both N- and O-linked oligosaccharides.

15 The antibodies in the EDC of the present disclosure can be for example and without
16 limitation monoclonal antibodies, polyclonal antibodies, modified antibodies, chimeric
17 antibodies or improved antibodies as described in the definitions provided above. For
18 example, modern alternative strategies now allow for the production of fully humanized
19 antibodies to reduce the immunogenicity of the antibody. In addition, smaller antibody
20 fragments can be engineered, including antigen binding Fabs, Fvs, scFv, and minibodies, and
21 the antibody can also be enhanced to increase the antibody's affinity, stability, and expression
22 level (see Nat Med. 2003 Jan;9(1):129-34).

23 In an alternative embodiment of the present disclosure, the targeting moiety of the
24 EDC of the present disclosure is not an antibody but is instead a peptide or protein or
25 peptidomimetic that is the functional equivalent, in terms of targeting, of an antibody. For
26 example and without limitation, the antibody can be replaced by any of a number of small
27 and robust non-immunoglobulin "scaffolds" that can be equipped with prescribed binding
28 functions using the methods of combinatorial protein design. Such scaffolds are described in
29 various reviews (see, *e.g.* "Engineered protein scaffolds as next-generation antibody
30 therapeutics" in Curr Opin Chem Biol. 2009 Jun;13(3):245-55 and "Engineered affinity
31 proteins for tumour-targeting applications" in Biotechnol Appl Biochem. 2009 May;53(Pt
32 1):1-29).

1 In another alternative embodiment of the present disclosure, the targeting moiety of
2 the EDC of the present disclosure is not an antibody but is instead a DNA, RNA, or
3 oligonucleotide mimetic that is the functional equivalent, in terms of targeting, of an
4 antibody. For example, SELEX methods can be used to identify DNA or RNA or
5 modifications thereof with prescribed binding functions. Aptamers are polymers of RNA or
6 DNA oligonucleotides or modifications thereof that are isolated by the systematic evolution
7 of ligands like the exponential enrichment SELEX process (see Hicke and Stephens, 2000,
8 "Escort Aptamers: A Delivery Service for Diagnosis and Therapy," J. Clin. Invest., 106(8),
9 pp. 923–928).

10 Typically, the targeting moiety (the anti-CD38 antibody or binding fragment thereof
11 or other targeting moiety) will be purified, often to greater than 95% by weight (as
12 determined, for example, by the Lowry method), and often to more than 99% by weight prior
13 to use in forming an EDC of the present disclosure. Once a targeting moiety or suitable
14 portion thereof for use in the desired synthetic route for the EDC of interest is available, it
15 can be linked to a therapeutic agent, which may be for example and without limitation a
16 cardiotonic steroid, by any of a variety of linkers and linking technologies, as discussed in the
17 following section.

18 IV. Linker Portion

19 In an EDC of the present disclosure, the agent is coupled to the targeting moiety
20 portion via a stable linker. The linker is long (generally at least about 50 Angstroms in length
21 and more typically 100 Angstroms in length, or as long as about 200 Angstroms or even 300
22 Angstroms in length or longer), flexible and extendable, and in some embodiments positively
23 charged, including by the presence of one or more heteroatoms (e.g., nitrogen) in an alkyl
24 chain that forms all or part of the linker portion and is attached to the agent. In one important
25 aspect, the present disclosure provides new linkers for linking anti-CD38 antibodies or other
26 targeting moieties to cardiotonic steroids, cardiac glycosides, and other agents that target the
27 Na,K-ATPase and other agents generally, and compositions and compounds in purified form
28 useful in their synthesis, methods for which are also provided by the present disclosure.
29 Coupling of a linker (e.g., as a completed linker portion) or formed step-wise, for example by
30 coupling a targeting moiety bound to a first portion of the linker and then with either further
31 portions of the linker or directly to an agent portion, which may optionally be in a synthetic
32 intermediate in which the agent is bound to a second portion of the linker.

1 The linkers employed in the EDC of the present disclosure are stable. For example,
2 after administration, the EDC is stable and remains intact, *e.g.* the targeting moiety remains
3 linked to the agent via the linker. The linkers are stable outside the target cell and remain
4 uncleaved for efficacy. An effective linker will: (i) maintain the specific binding properties of
5 the antibody; (ii) allow delivery of the conjugate or agent; (iii) remain stable and intact, *e.g.*
6 not cleaved, for as long as the antibody and/or agent remains stable and intact; and (iv)
7 maintain a cytotoxic, cell-killing effect or a cytostatic effect of the agent while the EDC is
8 intact. By way of example, stable linkers are those that, when in an EDC of the present
9 disclosure, show minimal (*e.g.*, less than 10%) cleavage while present in the circulatory
10 structure, at the surface of target tissue, at the surface of target cell, or in the extracellular
11 matrix for a period of at least 4 to 8 hours or longer, such as 8 to 24 hours, or 1 to 10 days or
12 longer; non-cleavable linkers are stable in these conditions for longer periods, including
13 periods as long as 20 days or longer (Durcy, L. et. al. Bioconjugate Chem. 2010, 21, 5–13).

14 The length of a suitable linker may be determined by experimental measurements, for
15 example by testing multiple linker lengths in the assay used to determine activity of the
16 resulting EDC of the present disclosure. For example, if linker length is too short (not
17 allowing the drug and targeting moiety to reach their binding sites simultaneously), one can
18 readily identify and correct the problem to provide an EDC of the present disclosure.
19 Typically, the linker length will be in the range of about 50 to about 300 Angstroms, or about
20 50 to about 200 Angstroms. The linker length and composition is selected to ensure that the
21 EDC remains stable in the circulatory structure where enzymes and other environmental
22 substances may otherwise break it down and to reflect the distance from where the targeting
23 moiety binds to its antigen and where the agent acts on its target. A wide variety of linkers
24 that comply with these requirements are available or can be synthesized, which creates a very
25 large class of EDC provided by the present disclosure, particularly when one considers the
26 wide variety of linkers, therapeutic agents and targeting moieties that can be employed in the
27 EDC of the present disclosure.

28 The linker, if conceptualized as a discrete entity instead of part of an EDC, is a
29 monofunctional or multifunctional moiety that can be used to link one or more drugs to an
30 antibody to form an EDC. EDC can be conveniently prepared using a linker having reactive
31 functionality for binding to the drug and to the antibody. For example, a cysteine thiol, or an
32 amine, *e.g.* a sulfur atom of a reduced disulfide bond, or an N-terminus or amino acid side

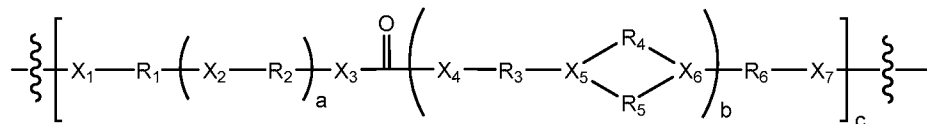
1 chain such as lysine or histidine, of an antibody can form a bond with a functional group of a
2 linker reagent or drug-linker reagent (composed of the agent and linker).

3 In some embodiments, the linker includes one or more ethylene glycol units (e.g., a
4 polyethylene glycol). Without wishing to be bound by theory, it is believed that the presence
5 of ethylene glycol units in the linker imparts flexibility, tunable spacing between the agent and
6 the targeting moiety, and desirable solubility characteristics to the EDCs. In some
7 embodiments, the linker includes the ethylene glycol unit(s) in close proximity to the
8 targeting moiety, for example bound directly to the targeting moiety or bound to the targeting
9 moiety by a short alkyl chain (e.g., a functionalized alkyl chain having from 2 to about 20
10 atoms). In some embodiments, the linker includes a polyethylene glycol sub-unit having
11 from about 6 to about 60 ethylene glycol repeat units, for example about 6, about 12, about
12 24, about 36, about 48, or about 60 ethylene glycol repeat units. In some preferred
13 embodiments, the linker includes 24 ethylene glycol repeat units (also referred to herein as
14 "PEG24"). In other preferred embodiments, the linker includes 36 ethylene glycol units
15 ("PEG36").

16 In some embodiments, the linker includes a second portion which includes at least one
17 heteroatom (e.g., nitrogen). Without wishing to be bound by theory, it is believed that the
18 presence of the heteroatom(s) such as nitrogen in the linker enhances the solubility properties
19 of the EDCs at physiological pH. Accordingly, the optimum linker, including the optimum
20 number of heteroatoms included therein, may vary for different combinations of agent
21 portions and targeting moiety portions.

22 In some embodiments, the heteroatom(s) are included in an alkyl chain (e.g., a
23 functionalized heteroalkyl chain). The heteroalkyl chain may be bound to the agent at any
24 suitable location such that the activity of the agent is not substantially diminished (e.g.,
25 through the C3 carbon when the agent is a cardiotonic agent). In some embodiments, the
26 heteroalkyl chain is bound to the targeting moiety directly, but in preferred embodiments the
27 heteroalkyl chain is bound to the targeting moiety by one or more ethylene glycol units (e.g.,
28 a polyethylene glycol such as PEG24). In some embodiments, the linker includes a least one
29 glycoside residue (e.g., an aminoglycoside), which is typically attached to the agent of the
30 EDC.

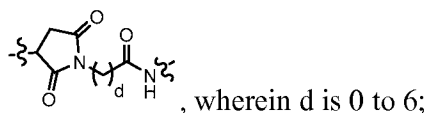
31 In some embodiments, linkers of the present disclosure have a formula consistent with
32 that of Formula (II):



Formula (II)

wherein:

X₁ is optionally present and, when present, may include (before coupling with the targeting moiety) a group reactive with a portion of the targeting moiety. For example and without limitation, when the targeting moiety is an antibody, antibody fragment, protein or peptide, the linker (before coupling with the targeting moiety) may include an electrophilic group, such as a maleimide, to enable coupling with the targeting moiety through a nucleophilic atom, such as a sulfur atom from a reduced disulfide bond, or a nitrogen atom from a lysine or histidine residue. Thus, in some embodiments, X₁ of Formula (II) may be



each of X₂, X₃ and X₄ may optionally be present and may individually be selected from alkyl, ketone, -C(O)NH-, -C(O)NR₈-, -O-, -S-, -NH-, -NR₉-, wherein R₈ and R₉ are individually selected from alkyl (e.g., methyl), heteroalkyl, aryl, and heteroaryl;

X₅ and X₆ are each individually selected from CR₁₀ and N, wherein R₁₀ is H, branched alkyl, unbranched alkyl, saturated alkyl, or unsaturated alkyl;

X₇ is optionally present and may be selected from -C(O)-, -OC(O)-, -NHC(O)-, -NR₁₁C(O)-, wherein R₁₁ is H, branched alkyl, unbranched alkyl, saturated alkyl, or unsaturated alkyl;

R₁ is optionally present and may be selected from branched alkyl, unbranched alkyl, saturated alkyl, or unsaturated alkyl;

each of R₂, R₃ and R₆ may optionally be present and may individually be selected from branched alkyl, unbranched alkyl, saturated alkyl, and unsaturated alkyl;

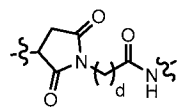
each of R₄ and R₅ are optionally present and may be selected from branched alkyl, unbranched alkyl, saturated alkyl, or unsaturated alkyl, with the proviso that at least one of R₄ and R₅ must be present;

a is 0 to 99;

b is 0 to 99; and

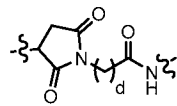
c is 0 to 99.

In some preferred embodiments, the linker has a formula of Formula (II)



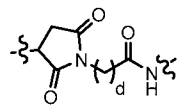
wherein X_1 is and d is 2; X_2 is -O-; X_3 is null; X_4 is -NH-; X_5 and X_6 are each N; X_7 is -NHC(O)-; R_1 is -CH₂CH₂-; R_2 is -CH₂CH₂-; R_3 and R_6 are each -CH₂CH₂CH₂-; R_4 and R_5 are each -CH₂CH₂-; a is 1-50; b is 1-10; and c is 1-10.

In one particularly preferred embodiment, the linker has a formula of Formula (II)



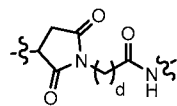
wherein: X_1 is and d is 2; X_2 is -O-; X_3 is null; X_4 is -NH-; X_5 and X_6 are each N; X_7 is -NHC(O)-; R_1 is -CH₂CH₂-; R_2 is -CH₂CH₂-; R_3 and R_6 are each -CH₂CH₂CH₂-; R_4 and R_5 are each -CH₂CH₂-; a is 24 or 36; b is 1; and c is 1.

In another particularly preferred embodiment, the linker has a formula of Formula (II)



wherein: X_1 is and d is 2; X_2 is -O-; X_3 is null; X_4 is -NH-; X_5 is -N(CH₃)-; X_6 is null; X_7 is -NHC(O)-; R_1 is -CH₂CH₂-; R_2 is -CH₂CH₂-; R_3 is -CH₂CH₂CH₂-; R_4 is null; R_5 and R_6 , taken together, are -CH₂CH₂CH₂-; a is 24 or 36; b is 1; and c is 1.

In another particularly preferred embodiment, the linker has a formula of Formula (II)



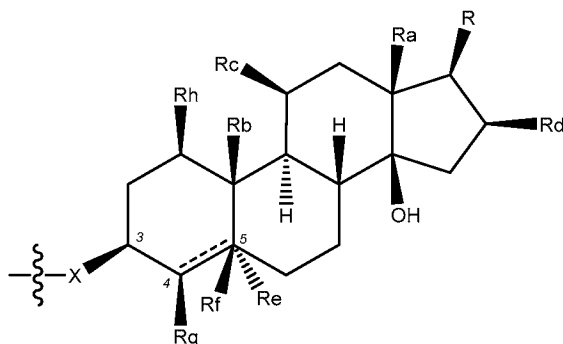
wherein: X_1 is and d is 2; X_2 is -O-; X_3 is null; X_4 and X_5 are each -NH-; X_6 is null; X_7 is -NHC(O)-; R_1 is -CH₂CH₂-; R_2 is -CH₂CH₂-; R_3 is -CH₂CH₂CH₂-; R_4 is null; R_5 and R_6 , taken together, are -CH₂CH₂CH₂-; a is 24 or 36; b is 1; and c is 1.

V. Agent Portion

A wide variety of agents are suitable for use in the EDC of the present disclosure. Generally, the agent binds to Na,K-ATPase, or is otherwise capable of affecting pump activity (e.g., reduces pump activity or stops pump activity). Typically, the agent is a “non-internalizing therapeutic agent” that acts directly on the Na,K-ATPase, e.g., at the alpha subunit. In other embodiments of the present disclosure, the agent acts to inhibit the interaction of the Na,K-ATPase and a cell surface pathway signaling protein associated therewith. In various embodiments of the present disclosure, the agent may be a steroid, a modified steroid, a steroid derivative (e.g., a functionalized steroid), a cardiotonic steroid, a

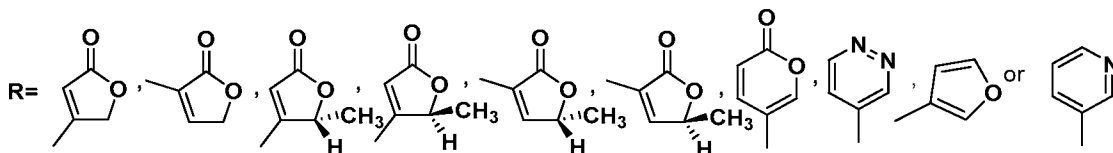
cardiac glycoside, or a cardenolide. In some embodiments, the agent is bufalin, scillarenin, or digitoxigenin. In other embodiments, the agent is a cardiac glycoside or an aglycone of a cardiac glycoside, such as digitoxin, digoxin, ouabain or proscillaridin.

In preferred embodiments of the present disclosure, the agent is a cardenolide, cardiotonic steroidal or cardiac glycoside of Formula (III) below:



Formula (III)

where the steroidal rings are either saturated, unsaturated or a combination thereof,



or R is a side chain found on various corticosteroids such as CHOCH_3 , O, OH or a branched alkane. R_a is CH_3 ; R_b is CH_3 , CH_2OH , or CHO ; R_c is H, OH or CH_3COO ; R_d is H, OH or CH_3COO ; R_e is H, or R_e is no group when R_f is H or OH or when a double bond exists between carbons C4 and C5; R_f is H or OH or, when R_e is H or a double bond exists between carbons C4 and C5, R_f is no group; R_g is H or, when R_e is H or a double bond exists between carbons C4 and C5, R_g is no group; R_h is H or OH; X has a general formula of “-Y-Z-” wherein Y is covalently bound to carbon C3 and is selected from O, S, $\text{N}(\text{OR}')$, $\text{N}(\text{SR}')$, and $\text{N}(\text{NR}')$, and Z is null or a glycoside such as a 3-amino-ribose, a 4-amino-ribose, a 3-amino-xyloside, and/or a 4-amino-xyloside; and R' is an alkyl or aryl group.

In some embodiments, the agent is a pharmaceutically acceptable ester, derivative, conjugate, hydrate, solvate, prodrug, or salt of a cardenolide, cardiotonic steroid, or cardiac glycoside of Formula (III), or mixture of any of the foregoing.

In some embodiments, the agent portion of an EDC is bufalin. In other embodiments, the agent portion of an EDC is scillarenin. In still other embodiments, the agent portion of an

EDC is digitoxigenin.

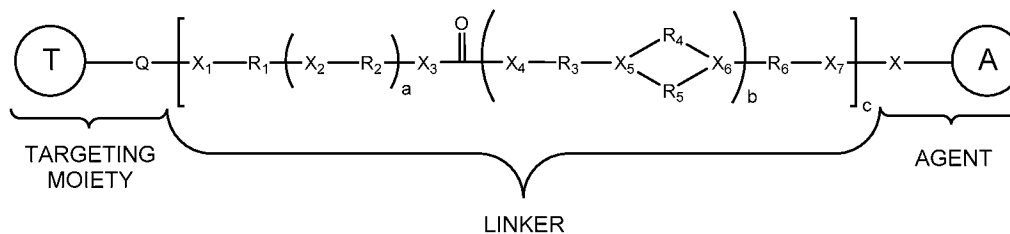
VI. EDC Construction, Screening, and Specific Embodiments

The present disclosure provides EDCs that generally comprise a targeting moiety, such as an anti-CD38 antibody, linked to an agent portion, such as a cardiotonic steroid, via a stable (and, in some embodiments, non-cleavable) linker.

Generally, in EDC of the present disclosure, the site where the agent portion is attached to the linker is at a position where the linker attachment only minimally interferes or does not interfere at all with the agent's desired activity in the EDC, e.g., binding to the Na,K-ATPase. While embodiments of the present disclosure illustrate the linker bound through C3 of these cardiotonic steroids, other attachments points are possible and within the scope of the present disclosure.

Generally, the linker portion is attached to the targeting moiety through a reactive group of the peptide, such as a thiol (e.g., obtained by first reducing a disulfide bond) or an amine (e.g., from a lysine or histidine residue). In some preferred embodiments, the reactive group or atom of the targeting moiety is a sulfur atom in a hinge region of the antibody (e.g., anti-CD38 antibody).

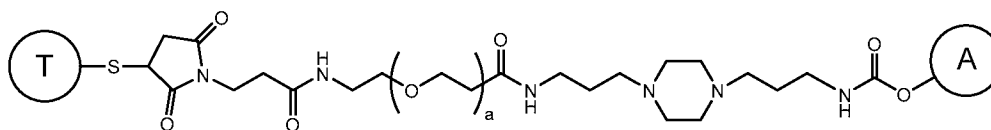
Accordingly, in some embodiments the EDCs of the present disclosure have a structure as shown in Formula (IV), below:



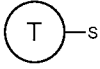

Formula (IV)

wherein Q is a reactive atom of the targeting moiety, such as a sulfur or a nitrogen atom, and wherein the linker and the agent (including substituent X of the agent) are as described above.

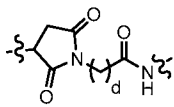
In some embodiments, the EDC has a structure as shown in Formula (IVa) below:



Formula (IVa)

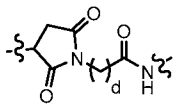
wherein  is the targeting moiety portion as described above,  is the agent portion as described above, and a is as defined above with respect to the linker portion.

In some embodiments, the present disclosure provides an EDC of Formula (IV) wherein the agent is bufalin; the linker has a structure of Formula (II), wherein: X_1 is



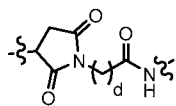
and d is 2; X_2 is -O-; X_3 is null; X_4 is -NH-; X_5 and X_6 are each N; X_7 is -NHC(O)-; R_1 , R_2 , R_4 and R_5 are each -CH₂CH₂; R_3 and R_6 are each -CH₂CH₂CH₂-; a is 24; b is 1; and c is 1; and the targeting moiety includes an antibody targeting moiety that binds a CD38 epitope selected from the group consisting of SUN4B7, HB7, OKT10, IB4, AT1, SAR650984, 38SB19, daratumumab, MOR202 antibodies and any binding fragment thereof. In some embodiments, the antibody targeting moiety is a human or chimeric SUN4B7 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as SUNB47. In some embodiments, the targeting moiety is an AT1 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as AT1.

In some embodiments, the present disclosure provides an EDC of Formula (IV) wherein the agent is bufalin; the linker has a structure of Formula (II), wherein: X_1 is



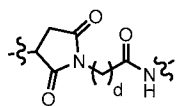
and d is 2; X_2 is -O-; X_3 is null; X_4 is -NH-; X_5 and X_6 are each N; X_7 is -NHC(O)-; R_1 , R_2 , R_4 and R_5 are each -CH₂CH₂; R_3 and R_6 are each -CH₂CH₂CH₂-; a is 36; b is 1; and c is 1; and the targeting moiety includes an antibody targeting moiety that binds a CD38 epitope selected from the group consisting of SUN4B7, HB7, OKT10, IB4, AT1, SAR650984, 38SB19, daratumumab, MOR202 antibodies and any binding fragment thereof. In some embodiments, the antibody targeting moiety is a human or chimeric SUN4B7 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as SUNB47. In some embodiments, the targeting moiety is an AT1 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as AT1.

In some embodiments, the present disclosure provides an EDC of Formula (IV) wherein the agent is bufalin; the linker has a structure of Formula (II), wherein: X_1 is



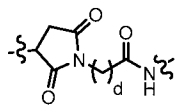
and d is 2; X₂ is -O-; X₃ is null; X₄ is -NH-; X₅ and X₆ are each N; X₇ is -NHC(O)-; R₁, R₂, R₄ and R₅ are each -CH₂CH₂; R₃ and R₆ are each -CH₂CH₂CH₂-; a is 24; b is 1; and c is 1; and the targeting moiety includes an antibody targeting moiety that binds a CD38 epitope selected from the group consisting of SUN4B7, HB7, OKT10, IB4, AT1, SAR650984, 38SB19, daratumumab, MOR202 antibodies and any binding fragment thereof. In some embodiments, the antibody targeting moiety is a human or chimeric SUN4B7 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as SUNB47. In some embodiments, the targeting moiety is an AT1 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as AT1.

In some embodiments, the present disclosure provides an EDC of Formula (IV) wherein the agent is digitoxigenin; the linker has a structure of Formula (II), wherein: X₁ is



and d is 2; X₂ is -O-; X₃ is null; X₄ is -NH-; X₅ and X₆ are each N; X₇ is -NHC(O)-; R₁, R₂, R₄ and R₅ are each -CH₂CH₂; R₃ and R₆ are each -CH₂CH₂CH₂-; a is 36; b is 1; and c is 1; and the targeting moiety includes an antibody targeting moiety that binds a CD38 epitope selected from the group consisting of SUN4B7, HB7, OKT10, IB4, AT1, SAR650984, 38SB19, daratumumab, MOR202 antibodies and any binding fragment thereof. In some embodiments, the antibody targeting moiety is a human or chimeric SUN4B7 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as SUNB47. In some embodiments, the targeting moiety is an AT1 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as AT1.

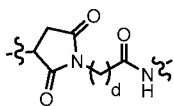
In some embodiments, the present disclosure provides an EDC of Formula (IV) wherein the agent is digitoxigenin; the linker has a structure of Formula (II), wherein: X₁ is



and d is 2; X₂ is -O-; X₃ is null; X₄ is -NH-; X₅ and X₆ are each N; X₇ is -NHC(O)-; R₁, R₂, R₄ and R₅ are each -CH₂CH₂R₃ and R₆ are each -CH₂CH₂CH₂-; a is 24; b is 1; and c is 1; and the targeting moiety includes an antibody targeting moiety that binds a

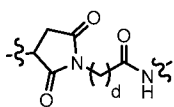
CD38 epitope selected from the group consisting of SUN4B7, HB7, OKT10, IB4, AT1, SAR650984, 38SB19, daratumumab, MOR202 antibodies and any binding fragment thereof. In some embodiments, the antibody targeting moiety is a human or chimeric SUN4B7 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as SUNB47. In some embodiments, the targeting moiety is an AT1 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as AT1.

In some embodiments, the present disclosure provides an EDC of Formula (IV) wherein the agent is scillarenin; the linker has a structure of Formula (II), wherein: X_1 is



and d is 2; X_2 is -O-; X_3 is null; X_4 is -NH-; X_5 and X_6 are each N; X_7 is -NHC(O)-; R_1 , R_2 , R_4 and R_5 are each -CH₂CH₂-; R_3 and R_6 are each -CH₂CH₂CH₂-; a is 36; b is 1; and c is 1; and the targeting moiety includes an antibody targeting moiety that binds a CD38 epitope selected from the group consisting of SUN4B7, HB7, OKT10, IB4, AT1, SAR650984, 38SB19, daratumumab, MOR202 antibodies and any binding fragment thereof. In some embodiments, the antibody targeting moiety is a human or chimeric SUN4B7 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as SUNB47. In some embodiments, the targeting moiety is an AT1 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as AT1.

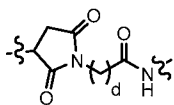
In some embodiments, the present disclosure provides an EDC of Formula (IV) wherein the agent is scillarenin; the linker has a structure of Formula (II), wherein: X_1 is



and d is 2; X_2 is -O-; X_3 is null; X_4 is -NH-; X_5 and X_6 are each N; X_7 is -NHC(O)-; R_1 , R_2 , R_4 and R_5 are each -CH₂CH₂-; R_3 and R_6 are each -CH₂CH₂CH₂-; a is 24; b is 1; and c is 1; and the targeting moiety includes an antibody targeting moiety that binds a CD38 epitope selected from the group consisting of SUN4B7, HB7, OKT10, IB4, AT1, SAR650984, 38SB19, daratumumab, MOR202 antibodies and any binding fragment thereof. In some embodiments, the antibody targeting moiety is a human or chimeric SUN4B7 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds

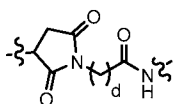
to the same or substantially similar CD38 epitope as SUNB47. In some embodiments, the targeting moiety is an AT1 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as AT1.

In some embodiments, the present disclosure provides an EDC of Formula (IV) wherein the agent is scillarenin; the linker has a structure of Formula (II), wherein: X_1 is



and d is 2; X_2 is -O-; X_3 is null; X_4 is -NH-; X_5 and X_6 are each N; X_7 is -NHC(O)-; R_1 , R_2 , R_4 and R_5 are each -CH₂CH₂; R_3 and R_6 are each -CH₂CH₂CH₂-; a is 24; b is 1; and c is 1; and the targeting moiety includes an antibody targeting moiety that binds a CD38 epitope selected from the group consisting of SUN4B7, HB7, OKT10, IB4, AT1, SAR650984, 38SB19, daratumumab, MOR202 antibodies and any binding fragment thereof. In some embodiments, the antibody targeting moiety is a human or chimeric SUN4B7 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as SUNB47. In some embodiments, the targeting moiety is an AT1 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as AT1.

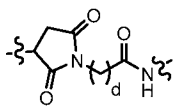
In some embodiments, the present disclosure provides an EDC of Formula (IV) wherein the agent is bufalin; the linker has a structure of Formula (II), wherein: X_1 is



and d is 2; X_2 is -O-; X_3 is null; X_4 is -NH-; X_5 is -N(CH₃)-; X_6 is null; X_7 is -NHC(O)-; R_1 is -CH₂CH₂-; R_2 is -CH₂CH₂-; R_3 is -CH₂CH₂CH₂-; R_4 is null; R_5 and R_6 , taken together, are -CH₂CH₂CH₂-; a is 24; b is 1; and c is 1; and the targeting moiety includes an antibody targeting moiety that binds a CD38 epitope selected from the group consisting of SUN4B7, HB7, OKT10, IB4, AT1, SAR650984, 38SB19, daratumumab, MOR202 antibodies and any binding fragment thereof. In some embodiments, the antibody targeting moiety is a human or chimeric SUN4B7 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as SUNB47. In some embodiments, the targeting moiety is an AT1 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as AT1.

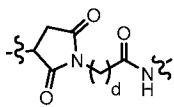
In some embodiments, the present disclosure provides an EDC of Formula (IV)

wherein the agent is bufalin; the linker has a structure of Formula (II), wherein: X_1 is



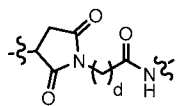
and d is 2; X_2 is -O-; X_3 is null; X_4 is -NH-; X_5 is -N(CH₃)-; X_6 is null; X_7 is -NHC(O)-; R_1 is -CH₂CH₂-; R_2 is -CH₂CH₂-; R_3 is -CH₂CH₂CH₂-; R_4 is null; R_5 and R_6 , taken together, are -CH₂CH₂CH₂-; a is 36; b is 1; and c is 1; and the targeting moiety includes an antibody targeting moiety that binds a CD38 epitope selected from the group consisting of SUN4B7, HB7, OKT10, IB4, AT1, SAR650984, 38SB19, daratumumab, MOR202 antibodies and any binding fragment thereof. In some embodiments, the antibody targeting moiety is a human or chimeric SUN4B7 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as SUN4B7. In some embodiments, the targeting moiety is an AT1 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as AT1.

In some embodiments, the present disclosure provides an EDC of Formula (IV) wherein the agent is scillarenin; the linker has a structure of Formula (II), wherein: X_1 is



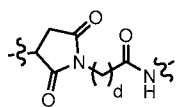
and d is 2; X_2 is -O-; X_3 is null; X_4 is -NH-; X_5 is -N(CH₃)-; X_6 is null; X_7 is -NHC(O)-; R_1 is -CH₂CH₂-; R_2 is -CH₂CH₂-; R_3 is -CH₂CH₂CH₂-; R_4 is null; R_5 and R_6 , taken together, are -CH₂CH₂CH₂-; a is 24; b is 1; and c is 1; and the targeting moiety includes an antibody targeting moiety that binds a CD38 epitope selected from the group consisting of SUN4B7, HB7, OKT10, IB4, AT1, SAR650984, 38SB19, daratumumab, MOR202 antibodies and any binding fragment thereof. In some embodiments, the antibody targeting moiety is a human or chimeric SUN4B7 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as SUN4B7. In some embodiments, the targeting moiety is an AT1 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as AT1.

In some embodiments, the present disclosure provides an EDC of Formula (IV) wherein the agent is scillarenin; the linker has a structure of Formula (II), wherein: X_1 is



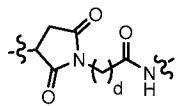
1 and d is 2; X₂ is -O-; X₃ is null; X₄ is -NH-; X₅ is -N(CH₃)-; X₆ is null;
 2 X₇ is -NHC(O)-; R₁ is -CH₂CH₂-; R₂ is -CH₂CH₂-; R₃ is -CH₂CH₂CH₂-; R₄ is null; R₅ and R₆,
 3 taken together, are -CH₂CH₂CH₂-; a is 36; b is 1; and c is 1; and the targeting moiety includes
 4 an antibody targeting moiety that binds a CD38 epitope selected from the group consisting of
 5 SUN4B7, HB7, OKT10, IB4, AT1, SAR650984, 38SB19, daratumumab, MOR202
 6 antibodies and any binding fragment thereof. In some embodiments, the antibody targeting
 7 moiety is a human or chimeric SUN4B7 antibody or binding fragment thereof, or an antibody
 8 or binding fragment thereof that binds to the same or substantially similar CD38 epitope as
 9 SUNB47. In some embodiments, the targeting moiety is an AT1 antibody or binding
 10 fragment thereof, or an antibody or binding fragment thereof that binds to the same or
 11 substantially similar CD38 epitope as AT1.

12 In some embodiments, the present disclosure provides an EDC of Formula (IV)
 13 wherein the agent is digitoxigenin; the linker has a structure of Formula (II), wherein: X₁ is



14 and d is 2; X₂ is -O-; X₃ is null; X₄ is -NH-; X₅ is -N(CH₃)-; X₆ is null;
 15 X₇ is -NHC(O)-; R₁ is -CH₂CH₂-; R₂ is -CH₂CH₂-; R₃ is -CH₂CH₂CH₂-; R₄ is null; R₅ and R₆,
 16 taken together, are -CH₂CH₂CH₂-; a is 24; b is 1; and c is 1; and the targeting moiety includes
 17 an antibody targeting moiety that binds a CD38 epitope selected from the group consisting of
 18 SUN4B7, HB7, OKT10, IB4, AT1, SAR650984, 38SB19, daratumumab, MOR202
 19 antibodies and any binding fragment thereof. In some embodiments, the antibody targeting
 20 moiety is a human or chimeric SUN4B7 antibody or binding fragment thereof, or an antibody
 21 or binding fragment thereof that binds to the same or substantially similar CD38 epitope as
 22 SUNB47. In some embodiments, the targeting moiety is an AT1 antibody or binding
 23 fragment thereof, or an antibody or binding fragment thereof that binds to the same or
 24 substantially similar CD38 epitope as AT1.

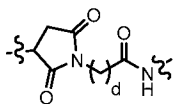
25 In some embodiments, the present disclosure provides an EDC of Formula (IV)
 26 wherein the agent is digitoxigenin; the linker has a structure of Formula (II), wherein: X₁ is



27 and d is 2; X₂ is -O-; X₃ is null; X₄ is -NH-; X₅ is -N(CH₃)-; X₆ is null;

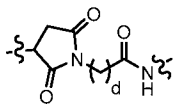
X₇ is -NHC(O)-; R₁ is -CH₂CH₂-; R₂ is -CH₂CH₂-; R₃ is -CH₂CH₂CH₂-; R₄ is null; R₅ and R₆, taken together, are -CH₂CH₂CH₂-; a is 36; b is 1; and c is 1; and the targeting moiety includes an antibody targeting moiety that binds a CD38 epitope selected from the group consisting of SUN4B7, HB7, OKT10, IB4, AT1, SAR650984, 38SB19, daratumumab, MOR202 antibodies and any binding fragment thereof. In some embodiments, the antibody targeting moiety is a human or chimeric SUN4B7 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as SUN4B7. In some embodiments, the targeting moiety is an AT1 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as AT1.

In some embodiments, the present disclosure provides an EDC of Formula (IV) wherein the agent is bufalin; the linker has a structure of Formula (II), wherein: X₁ is



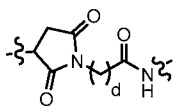
and d is 2; X₂ is -O-; X₃ is null; X₄ is -NH-; X₅ is -N(CH₃)-; X₆ is null; X₇ is -NHC(O)-; R₁ is -CH₂CH₂-; R₂ is -CH₂CH₂-; R₃ is -CH₂CH₂CH₂-; R₄ is null; R₅ and R₆, taken together, are -CH₂CH₂CH₂-; a is 24; b is 1; and c is 1; and the targeting moiety includes an antibody targeting moiety that binds a CD38 epitope selected from the group consisting of SUN4B7, HB7, OKT10, IB4, AT1, SAR650984, 38SB19, daratumumab, MOR202 antibodies and any binding fragment thereof. In some embodiments, the antibody targeting moiety is a human or chimeric SUN4B7 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as SUN4B7. In some embodiments, the targeting moiety is an AT1 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as AT1.

In some embodiments, the present disclosure provides an EDC of Formula (IV) wherein the agent is bufalin; the linker has a structure of Formula (II), wherein: X₁ is

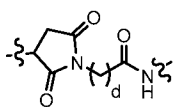


and d is 2; X₂ is -O-; X₃ is null; X₄ is -NH-; X₅ is -N(CH₃)-; X₆ is null; X₇ is -NHC(O)-; R₁ is -CH₂CH₂-; R₂ is -CH₂CH₂-; R₃ is -CH₂CH₂CH₂-; R₄ is null; R₅ and R₆, taken together, are -CH₂CH₂CH₂-; a is 24; b is 1; and c is 1; and the targeting moiety includes an antibody targeting moiety that binds a CD38 epitope selected from the group consisting of

In some embodiments, the present disclosure provides an EDC of Formula (IV) wherein the agent is scillarenin; the linker has a structure of Formula (II), wherein: X₁ is



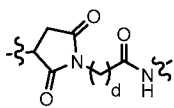
In some embodiments, the present disclosure provides an EDC of Formula (IV) wherein the agent is scillarenin; the linker has a structure of Formula (II), wherein: X₁ is



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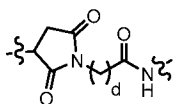
or binding fragment thereof that binds to the same or substantially similar CD38 epitope as SUNB47. In some embodiments, the targeting moiety is an AT1 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as AT1.

In some embodiments, the present disclosure provides an EDC of Formula (IV) wherein the agent is digitoxigenin; the linker has a structure of Formula (II), wherein: X_1 is



and d is 2; X_2 is -O-; X_3 is null; X_4 is -NH-; X_5 is -N(CH₃)-; X_6 is null; X_7 is -NHC(O)-; R_1 is -CH₂CH₂-; R_2 is -CH₂CH₂-; R_3 is -CH₂CH₂CH₂-; R_4 is null; R_5 and R_6 , taken together, are -CH₂CH₂CH₂-; a is 24; b is 1; and c is 1; and the targeting moiety includes an antibody targeting moiety that binds a CD38 epitope selected from the group consisting of SUN4B7, HB7, OKT10, IB4, AT1, SAR650984, 38SB19, daratumumab, MOR202 antibodies and any binding fragment thereof. In some embodiments, the antibody targeting moiety is a human or chimeric SUN4B7 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as SUNB47. In some embodiments, the targeting moiety is an AT1 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as AT1.

In some embodiments, the present disclosure provides an EDC of Formula (IV) wherein the agent is digitoxigenin; the linker has a structure of Formula (II), wherein: X_1 is



and d is 2; X_2 is -O-; X_3 is null; X_4 is -NH-; X_5 is -N(CH₃)-; X_6 is null; X_7 is -NHC(O)-; R_1 is -CH₂CH₂-; R_2 is -CH₂CH₂-; R_3 is -CH₂CH₂CH₂-; R_4 is null; R_5 and R_6 , taken together, are -CH₂CH₂CH₂-; a is 24; b is 1; and c is 1; and the targeting moiety includes an antibody targeting moiety that binds a CD38 epitope selected from the group consisting of SUN4B7, HB7, OKT10, IB4, AT1, SAR650984, 38SB19, daratumumab, MOR202 antibodies and any binding fragment thereof. In some embodiments, the antibody targeting moiety is a human or chimeric SUN4B7 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or substantially similar CD38 epitope as SUNB47. In some embodiments, the targeting moiety is an AT1 antibody or binding fragment thereof, or an antibody or binding fragment thereof that binds to the same or

1 substantially similar CD38 epitope as AT1.

2 An EDC of the present disclosure may be prepared step-wise by any of several routes,
3 employing organic chemistry reactions, conditions, and reagents known to those skilled in the
4 art, including: (1) reaction of the agent portion to a sub-unit of the linker including the
5 heteroatom(s) such as nitrogen, followed by reaction of the terminal portion of the linker sub-
6 unit to the remainder of the linker portion (e.g., to a polyethylene glycol) and finally reacting
7 the targeting moiety to the terminal end of the linker to form the EDV; (2) reaction of the
8 agent portion to a sub-unit of the linker including the heteroatom(s) such as nitrogen, reaction
9 of the targeting moiety to the remaining portion of the linker portion, and finally coupling the
10 terminal ends of the linker sub-units to form the EDC; (3) reaction of the targeting moiety
11 with a sub-unit of the linker portion (e.g., a PEG polymer) followed by reaction of the
12 terminal end of the linker sub-unit with the remaining sub-unit of the linker portion and
13 finally reaction with the agent portion to form the EDC; (4) reaction of the sub-unit of the
14 linker including the heteroatom(s) (e.g., nitrogen) with the PEG subunit to form the complete
15 linker portion, followed by reaction of the completed linker portion with the agent portion to
16 form an agent-linker sub-unit, and finally reaction of the agent-linker sub-unit with the
17 targeting moiety to form the EDC; and/or (5) reaction of the sub-unit of the linker including
18 the heteroatom(s) (e.g., nitrogen) with the PEG subunit to form the complete linker portion,
19 followed by reaction of the completed linker portion with the targeting moiety portion to
20 form an targeting moiety-linker sub-unit, and finally reaction of the targeting moiety-linker
21 sub-unit with the agent portion to form the EDC.

22 Methods of coupling targeting moiety portion (e.g., antibodies) to a PEG-type linker
23 sub-unit are known to those skilled in the art and can be found, for example, in the Examples
24 that follow and in WO 2012/178173, the contents of which are incorporated herein by
25 reference in their entirety.

26 In one embodiment, an EDC of the present disclosure is formed by reacting an
27 activated form of the agent portion (e.g., a steroid-type agent having a 4-nitrophenyl
28 carbamate group bound to the 3-hydroxyl oxygen atom) with an alkylamine sub-unit of the
29 linker, followed by coupling with a protected PEG polymer (e.g., MAL-PEG24-TFP ester,
30 product no. 10554, Quanta Biodesign Ltd, Plain City, Ohio, USA) to form the agent-
31 (protected)linker (e.g., MAL-PEG24-alkylamine-agent). The agent-(protected)linker is then
32 conjugated with the targeting moiety (e.g., with an antibody such as a murine, a human,

1 chimeric, or humanized anti-CD38 antibody or binding fragment thereof such as SUN4B7,
2 optionally reduced by reaction with a reducing agent such as tris(2-carboxyethyl)phosphine),
3 to form the EDC through nucleophilic addition to the maleimide portion of the agent-
4 (protected)linker.

5 Nucleophilic groups on antibodies and other targeting moieties for example include,
6 but are not limited to: (i) N-terminal amine groups, (ii) side chain amine groups, e.g. lysine,
7 (iii) side chain thiol groups, e.g. cysteine, and (iv) sugar hydroxyl or amino groups where the
8 antibody is glycosylated. Amine, thiol, and hydroxyl groups are nucleophilic and capable of
9 reacting to form covalent bonds with electrophilic groups on linker moieties and linker
10 reagents including: (i) active esters such as NHS esters, HOBt esters, haloformates, and acid
11 halides; (ii) alkyl and benzyl halides such as haloacetamides; (iii) aldehydes, ketones,
12 carboxyl, and maleimide groups. Certain antibodies have reducible interchain disulfides, i.e.
13 cysteine bridges. Antibodies may be made reactive for conjugation with linker reagents by
14 treatment with a reducing agent such as DTT (Cleland's reagent, dithiothreitol) or TCEP
15 (tris(2-carboxyethyl)phosphine hydrochloride; Getz et al (1999) Anal. Biochem. Vol 273:73-
16 80; Soltec Ventures, Beverly, Mass.). Each cysteine disulfide bridge will thus form,
17 theoretically, two reactive thiol nucleophiles. In addition, the disulfide bridge can be
18 crosslinked by the linker portion of the EDC of the present disclosure such that the linker-
19 agent is covalently attached to the antibody while maintaining a closed linked between the
20 two cysteines thus stabilizing the antibody (WO 2013/085925A1 and Bioconjugate Chem.,
21 Vol. 1, No. 1, 1990 pp 36 - 50). Additional nucleophilic groups can be introduced into
22 antibodies through the reaction of lysines with 2-iminothiolane (Traut's reagent) resulting in
23 conversion of an amine into a thiol.

24 EDC may also be produced by modification of the antibody to introduce electrophilic
25 moieties, which can react with nucleophilic substituents on the linker reagent or drug. The
26 sugars of glycosylated antibodies may be oxidized, e.g. with periodate oxidizing reagents, to
27 form aldehyde or ketone groups which may react with the amine group of linker reagents or
28 drug moieties. The resulting imine Schiff base groups may form a stable linkage, or may be
29 reduced, e.g. by borohydride reagents to form stable amine linkages. In one embodiment,
30 reaction of the carbohydrate portion of a glycosylated antibody with either galactose oxidase
31 or sodium meta-periodate may yield carbonyl (aldehyde and ketone) groups in the protein
32 that can react with appropriate groups on the drug (Hermanson, G. T. (1996) Bioconjugate

1 Techniques; Academic Press: New York, p234-242). In another embodiment, proteins
2 containing N-terminal serine or threonine residues can react with sodium meta-periodate,
3 resulting in production of an aldehyde in place of the first amino acid (Geoghegan & Strohm,
4 (1992) Bioconjugate Chem. 3:138-146; U.S. Pat. No. 5,362,852). Such aldehyde can be
5 reacted with a drug moiety or linker nucleophile.

6 Likewise, nucleophilic groups on a drug moiety include, but are not limited to: amine,
7 thiol, hydroxyl, hydrazide, oxime, hydrazine, thiosemicarbazone, hydrazine carboxylate, and
8 arylhydrazide groups capable of reacting to form covalent bonds with electrophilic groups on
9 linker moieties and linker reagents including: (i) active esters such as NHS esters, HOBt
10 esters, haloformates, and acid halides; (ii) alkyl and benzyl halides such as haloacetamides;
11 (iii) aldehydes, ketones, carboxyl, and maleimide groups.

12 Certain targeting moieties, such as antibodies, may include more than one site reactive
13 to the linker portion of the agent-linker sub-unit. In such embodiments, more than one agent
14 may be bound to a single targeting moiety. Agent loading refers to the average number of
15 agents per targeting moiety (e.g., antibody) in a EDC. Where each linker is linked to one
16 agent, the average number of agents will equal the average number of linkers on the targeting
17 moiety. Agent loading for EDCs of the present disclosure typically ranges from 1 to 8 agents
18 per targeting moiety, if the targeting moiety is an antibody (Ab), e.g. where 1, 2, 3, 4, 5, 6, 7,
19 or 8 therapeutic agents are covalently attached to the antibody. Thus, compositions of EDCs
20 include collections of antibodies conjugated with a range of drugs, from 1 to 8. The average
21 number of drugs per antibody in preparations of EDC from conjugation reactions may be
22 characterized by conventional means such as mass spectroscopy, ELISA assay,
23 electrophoresis, and HPLC. By ELISA, the averaged value of therapeutic agents in a
24 particular preparation of EDC may be determined (Hamblett et al (2004) *Clinical Cancer*
25 *Res.* 10:7063-7070; Sanderson et al (2005) *Clinical Cancer Res.* 11:843-852). However, it is
26 not possible to identify the location of therapeutic agents and/or linkers conjugated to
27 antibodies by ELISA based methods. In some instances, separation, purification, and
28 characterization of homogeneous EDC (where the number of therapeutic agents is the same
29 but the location on the antibody may be different) may be achieved by means such as reverse
30 phase HPLC or electrophoresis.

31 In some embodiments, the EDC consisting of an agent portion, a linker portion and a
32 targeting moiety portion is purified away from uncoupled agent, linker and/or targeting

1 moiety using standard affinity, size exclusion, filtration, or other methods known to one
2 skilled in the art.

3 In various embodiments, the EDC of the present disclosure are generally useful for
4 the treatment of cancer, immune disorders (e.g., asthma), and other diseases. Examples of
5 diseases for cancer treatment include breast cancer, colorectal cancer, liver cancer, lung
6 cancer, prostate cancer, ovarian cancer, brain cancer, and pancreatic cancer. Specifically,
7 treatment for one of the following tumor types can be effected: B-cell lymphoblastic
8 leukemia, T-cell lymphoblastic leukemia, lymphoma, including Hodgkin's lymphoma and
9 non-Hodgkin's lymphoma, follicular lymphoma, Burkitt lymphoma, melanoma, ocular
10 melanoma, cutaneous melanoma, colon adenocarcinomas, hepatocellular carcinomas, renal
11 cell carcinoma, ovarian carcinoma, prostate adenocarcinoma, liver carcinoma, transitional
12 cell carcinoma, pancreatic adenocarcinoma, lung carcinoma, breast carcinoma, and colon
13 carcinoma.

14 VII. Pharmaceutical Formulations

15 The administration of the compounds or formulations according to the present
16 disclosure (e.g., compounds or formulations comprising the disclosed EDC) can be done by
17 any of the administration methods accepted for the therapeutic agents and generally known in
18 the art. These processes include, but are not limited to, systemic administration, for example
19 by parenteral, oral, nasal, or topical administration (e.g., by patch). Parenteral administration
20 is done generally by subcutaneous, intramuscular or intravenous injection, or by perfusion. In
21 general, antibody based therapeutics are typically administered intravenously. The injectable
22 compositions can be prepared in standard forms, either in suspension or liquid solution or in
23 solid form that is suitable for an extemporaneous dissolution in a liquid. In one embodiment,
24 parenteral administration uses the installation of a system with slow release or extended
25 release that ensures the maintenance of a constant dose level. For intranasal administration, it
26 is possible to use suitable intranasal vehicles that are well known to those skilled in the art.
27 The oral administration can be done by means of tablets, capsules, soft capsules (including
28 formulations with delayed release or extended release), pills, powders, granules, elixirs, dyes,
29 suspensions, syrups and emulsions. This form of presentation is more particularly suited for
30 the passage of the intestinal barrier.

31 The dosage for the administration of compounds or formulations according to the
32 present disclosure is selected according to a variety of factors including the type, strain, age,

weight, sex and medical condition of the subject; the severity of the condition to be treated; the method of administration; the condition of the renal and hepatic functions of the subject and the nature of the particular compound or salt that is used and may be determined empirically using known testing protocols or by extrapolation from in vivo or in vitro test or diagnostic data. It is further understood that for any particular individual, specific dosage regimens should be adjusted over time according to the individual need and the professional judgment of the person administering or supervising the administration of the formulations. For example, a normally experienced doctor will easily determine and prescribe the effective amount of the desired compound to prevent, disrupt or stop the progress of the medical condition that is to be treated. By way of examples, when given parenterally, the effective levels of the compounds according to the present disclosure will be in the range of from about 0.002 to about 500 mg per kg of body weight, more particularly from about 0.02 mg to about 50 mg per kg of body weight and administered daily, weekly, or biweekly.

The compounds or formulations according to the present disclosure can be administered in the form of single daily doses, or the total daily dosage can be administered in doses (*e.g.*, divided doses) of two, three, four or more doses per day. Such dose(s) may be administered intermittently, *e.g.* every week or every three weeks (*e.g.* such that the patient receives from about two to about twenty, *e.g.* about six doses, of the composition or formulation). An initial higher loading dose, followed by one or more lower doses, may be administered. An exemplary dosing regimen comprises administering an initial loading dose followed by a weekly maintenance dose. However, other dosage regimens may be useful. More specifically, the dosage can in some embodiments be similar in the range of 1 - 20 mgs/meter squared (mgs/m^2) body surface area (bsa), and the doses can be administered weekly or every two weeks. For solid tumors the dosage may in some embodiments be higher, *e.g.*, an initial dose in the range of 200 to 600 mgs/m^2 bsa or ~ 0.01 to 20 mgs/kg (given, *e.g.*, through a 120-minute intravenous infusion) and 150 - 350 mgs/m^2 or 1 - 10 mgs/kg (given through 60-minute intravenous infusion). Therefore the dosing range of the compounds according to the present disclosure can be daily to weekly dosages of 1 mgs/m^2 to 500 mgs/m^2 bsa.

The compositions or formulations according to the present disclosure can be sterilized and/or can contain one or more of: non-toxic adjuvants and auxiliary substances such as agents for preservation, stabilization, wetting or emulsification; agents that promote

1 dissolution; and salts to regulate osmotic pressure and/or buffers. In addition, they can also
2 contain other substances that offer a therapeutic advantage. The compositions are prepared,
3 respectively, by standard processes of mixing, granulation or coating well known to those
4 skilled in the art.

5 The compounds or formulations of the present disclosure herein can be administered
6 concurrently, sequentially, or alternating with the second drug or upon non-responsiveness
7 with other therapy. Thus, the combined administration of a second drug includes co-
8 administration, using separate formulations or a single pharmaceutical formulation, and
9 consecutive administration in either order, wherein preferably there is a time period while
10 both (or all) therapies simultaneously exert their biological activities. Multiple second drugs
11 may be used in combination the compounds of the present disclosure.

12 In another embodiment of the present disclosure, articles of manufacture containing
13 materials useful for the treatment of the disorders described above are provided. In one
14 aspect, the article of manufacture comprises (a) a container comprising the compounds or
15 formulations herein (preferably the container comprises the EDC and a pharmaceutically
16 acceptable carrier or diluent within the container); and (b) a package insert with instructions
17 for treating the disorder in a patient.

18 Therapeutic EDC of the present disclosure may be administered by any route
19 appropriate to the condition to be treated. The EDC will typically be administered
20 parenterally, *e.g.* infusion, subcutaneous, intramuscular, intravenous, intradermal, intrathecal,
21 bolus, intratumor injection or epidural (Shire et al (2004) J. Pharm. Sciences 93(6):1390-
22 1402). Pharmaceutical formulations of EDC are typically prepared for parenteral
23 administration with a pharmaceutically acceptable parenteral vehicle and in a unit dosage
24 injectable form. An EDC having the desired degree of purity is optionally mixed with
25 pharmaceutically acceptable diluents, carriers, excipients or stabilizers, in the form of a
26 lyophilized formulation or an aqueous solution (Remington's Pharmaceutical Sciences (1980)
27 16th edition, Osol, A. Ed.).

28 Acceptable parenteral vehicles, diluents, carriers, excipients, and stabilizers are
29 nontoxic to recipients at the dosages and concentrations employed, and include buffers such
30 as phosphate, citrate, and other organic acids; antioxidants including ascorbic acid and
31 methionine; preservatives (such as octadecyldimethylbenzyl ammonium chloride;
32 hexamethonium chloride; benzalkonium chloride, benzethonium chloride; phenol, butyl or

benzyl alcohol; alkyl parabens such as methyl or propyl paraben; catechol; resorcinol; cyclohexanol; 3-pentanol; and m-cresol); low molecular weight (less than about 10 residues) polypeptides; proteins, such as serum albumin, gelatin, or immunoglobulins; hydrophilic polymers such as polyvinylpyrrolidone; amino acids such as glycine, glutamine, asparagine, histidine, arginine, or lysine; monosaccharides, disaccharides, and other carbohydrates including glucose, mannose, or dextrans; chelating agents such as EDTA; sugars such as sucrose, mannitol, trehalose or sorbitol; salt-forming counter-ions such as sodium; metal complexes (e.g. Zn-protein complexes); and/or non-ionic surfactants such as TWEEN™, PLURONICS™ or polyethylene glycol (PEG). For example, lyophilized anti-ErbB2 antibody formulations are described in WO 97/04801, expressly incorporated herein by reference. An exemplary formulation of an EDC contains about 100 mg/ml of trehalose (2-(hydroxymethyl)-6-[3,4,5-trihydroxy-6-(hydroxymethyl)tetrahydropyran-2-yl]oxy-tetrahydropyran-3,4,5-triol; C₁₂H₂₂O₁₁; CAS Number 99-20-7) and about 0.1% TWEEN™ 20 (polysorbate 20; dodecanoic acid 2-[2-[3,4-bis(2-hydroxyethoxy)tetrahydrofuran-2-yl]-2-(2-hydroxyethoxy)ethyl ester; C₂₆H₅₀O₁₀; CAS Number 9005-64-5) at approximately pH 6.

Pharmaceutical formulations of a therapeutic EDC may contain certain amounts of unreacted agent portion, targeting moiety-linker intermediate, and/or agent-linker intermediate, as a consequence of incomplete purification and separation of excess reagents, impurities, and by-products, in the process of making the EDC; or time/temperature hydrolysis or degradation upon storage of the bulk EDC or formulated EDC composition. For example, it may contain a detectable amount of agent-linker or various intermediates. Alternatively, or in addition to, it may contain a detectable amount of the un-linked free targeting moiety. An exemplary formulation may contain up to 10% molar equivalent of the agent of agent-linker as it was determined by the in vitro cellular proliferation assays that in some cases the agent-linker conjugate less potent in cell killing than free agent.

The active pharmaceutical ingredients may also be entrapped in microcapsules prepared, for example, by coacervation techniques or by interfacial polymerization, for example, hydroxymethylcellulose or gelatin-microcapsules and poly-(methylmethacrylate) microcapsules, respectively, in colloidal drug delivery systems (for example, liposomes, albumin microspheres, microemulsions, nano-particles and nanocapsules) or in macroemulsions. Such techniques are disclosed in Remington's Pharmaceutical Sciences 16th

1 edition, Osol, A. Ed. (1980).

2 Sustained-release preparations may be prepared. Suitable examples of sustained-
3 release preparations include semi permeable matrices of solid hydrophobic polymers
4 containing the EDC, which matrices are in the form of shaped articles, *e.g.* films, or
5 microcapsules. Examples of sustained-release matrices include polyesters, hydrogels (for
6 example, poly(2-hydroxyethyl-methacrylate), or poly(vinylalcohol)), polylactides (U.S. Pat.
7 No. 3,773,919), copolymers of L-glutamic acid and gamma-ethyl-L-glutamate, non-
8 degradable ethylene-vinyl acetate, degradable lactic acid-glycolic acid copolymers such as
9 the LUPRON DEPOT™ (injectable microspheres composed of lactic acid-glycolic acid
10 copolymer and leuprolide acetate), and poly-D-(-)-3-hydroxybutyric acid.

11 The formulations to be used for in vivo administration must be sterile, which is
12 readily accomplished by filtration through sterile filtration membranes.

13 The formulations include those suitable for the foregoing administration routes. The
14 formulations may conveniently be presented in unit dosage form and may be prepared by any
15 of the methods well known in the art of pharmacy. Techniques and formulations generally are
16 found in Remington's Pharmaceutical Sciences (Mack Publishing Co., Easton, Pa.). Such
17 methods include the step of bringing into association the active ingredient with the carrier
18 which constitutes one or more accessory ingredients. In general the formulations are prepared
19 by uniformly and intimately bringing into association the active ingredient with liquid
20 carriers or finely divided solid carriers or both, and then, if necessary, shaping the product.

21 Aqueous suspensions contain the active materials (EDC) in admixture with excipients
22 suitable for the manufacture of aqueous suspensions. Such excipients include a suspending
23 agent, such as sodium carboxymethylcellulose, croscarmellose, povidone, methylcellulose,
24 hydroxypropyl methylcellulose, sodium alginate, polyvinylpyrrolidone, gum tragacanth and
25 gum acacia, and dispersing or wetting agents such as a naturally occurring phosphatide (*e.g.*,
26 lecithin), a condensation product of an alkylene oxide with a fatty acid (*e.g.*, polyoxyethylene
27 stearate), a condensation product of ethylene oxide with a long chain aliphatic alcohol (*e.g.*,
28 heptadecaethyleneoxycetanol), a condensation product of ethylene oxide with a partial ester
29 derived from a fatty acid and a hexitol anhydride (*e.g.*, polyoxyethylene sorbitan
30 monooleate). The aqueous suspension may also contain one or more preservatives such as
31 ethyl or n-propyl p-hydroxy-benzoate, one or more coloring agents, one or more flavoring
32 agents and one or more sweetening agents, such as sucrose or saccharin.

1 The pharmaceutical compositions of EDC may be in the form of a sterile injectable
2 preparation, such as a sterile injectable aqueous or oleaginous suspension. This suspension
3 may be formulated according to the known art using those suitable dispersing or wetting
4 agents and suspending agents which have been mentioned above. The sterile injectable
5 preparation may also be a sterile injectable solution or suspension in a non-toxic parenterally
6 acceptable diluent or solvent, such as a solution in 1,3-butane-diol or prepared as a
7 lyophilized powder. Among the acceptable vehicles and solvents that may be employed are
8 water, Ringer's solution and isotonic sodium chloride solution. In addition, sterile fixed oils
9 may conventionally be employed as a solvent or suspending medium. For this purpose any
10 bland fixed oil may be employed including synthetic mono- or diglycerides. In addition, fatty
11 acids such as oleic acid may likewise be used in the preparation of injectables.

12 The amount of active ingredient that may be combined with the carrier material to
13 produce a single dosage form will vary depending upon the host treated and the particular
14 mode of administration. For example, an aqueous solution intended for intravenous infusion
15 may contain from about 3 to 500 μ g of the active ingredient per milliliter of solution in order
16 that infusion of a suitable volume at a rate of about 30 mL/hr can occur. Subcutaneous
17 (bolus) administration may be effected with about 1.5 ml or less of total volume and a
18 concentration of about 100 mg EDC per ml. For EDC that require frequent and chronic
19 administration, the subcutaneous route may be employed, such as by pre-filled syringe or
20 autoinjector device technology.

21 As a general proposition, the initial pharmaceutically effective amount of EDC
22 administered per dose will be in the range of about 0.01-100 mg/kg, namely about 0.1 to 20
23 mg/kg of patient body weight per day, with the typical initial range of compound used being
24 0.3 to 15 mg/kg/day. For example, human patients may be initially dosed at about 1.0 mg
25 EDC per kg patient body weight. The dose may be escalated to the maximally tolerated dose
26 (MTD). The dosing schedule may be about every 3 weeks, but according to diagnosed
27 condition or response, the schedule may be more or less frequent. The dose may be further
28 adjusted during the course of treatment to be at or below MTD which can be safely
29 administered for multiple cycles, such as about 4 or more.

30 Formulations suitable for parenteral administration include aqueous and non-aqueous
31 sterile injection solutions which may contain anti-oxidants, buffers, bacteriostats and solutes
32 which render the formulation isotonic with the blood of the intended recipient; and aqueous

1 and non-aqueous sterile suspensions which may include suspending agents and thickening
2 agents.

3 Although oral administration of protein therapeutics are generally disfavored due to
4 poor bioavailability due to limited absorption, hydrolysis or denaturation in the gut,
5 formulations of EDC suitable for oral administration may be prepared as discrete units such
6 as capsules, cachets or tablets each containing a predetermined amount of the EDC.

7 The formulations may be packaged in unit-dose or multi-dose containers, for example
8 sealed ampoules and vials, and may be stored in a freeze-dried (lyophilized) condition
9 requiring only the addition of the sterile liquid carrier, for example water, for injection
10 immediately prior to use. Extemporaneous injection solutions and suspensions are prepared
11 from sterile powders, granules and tablets of the kind previously described. Exemplary unit
12 dosage formulations contain a daily dose or unit daily sub-dose, or an appropriate fraction
13 thereof, of the active ingredient.

14 The present disclosure further provides veterinary compositions comprising at least
15 one active ingredient as above defined together with a veterinary carrier therefore. Veterinary
16 carriers are materials useful for the purpose of administering the composition and may be
17 solid, liquid or gaseous materials which are otherwise inert or acceptable in the veterinary art
18 and are compatible with the active ingredient. These veterinary compositions may be
19 administered parenterally, orally or by any other desired route.

20 The EDCs of the present disclosure may be used to treat various diseases or disorders,
21 such as cancer and autoimmune conditions or other immune disorders in human or animal
22 subjects. In one embodiment, the subject is a human. In another embodiment, the subject is a
23 non-human animal (e.g dog, cat, horse, bird, etc.). Exemplary conditions or disorders include
24 benign or malignant tumors; leukemia and lymphoid malignancies; other disorders such as
25 neuronal, glial, astrocytal, hypothalamic, glandular, macrophagal, epithelial, stromal,
26 blastocoelic, inflammatory, angiogenic and immunologic disorders, including but not limited
27 to asthma.

28 The EDC compounds which are identified in the animal models and cell-based assays
29 can be further tested in tumor-bearing higher primates and human clinical trials. Human
30 clinical trials can be designed similar to the clinical trials testing efficacy. The clinical trial
31 may be designed to evaluate the efficacy of an EDC in combination with known therapeutic
32 regimens, such as radiation and/or chemotherapy involving known chemotherapeutic and/or

cytotoxic agents (Pegram et al (1999) Oncogene 18:2241-2251). In one embodiment, the combination therapeutic agent is selected from ATRA, Velcade, Arsenic trioxide, Thalidomide, Buclisine, ABT-199, Geldanamycin, mTOR inhibitors, Butyrate, (MG132, velcade, other (proteasome inhibitors), Anisomycin, D-cAMP, Berberine, Calciferol or other vitamin D derivatives, Bafilomycin A1, (Rocaglamide or other flavaglines), Bevacizumab; Carboplatin; Cisplatin; Cyclophosphamide; Docetaxel injection; Doxorubicin; Etoposide; Etoposide Phosphate; Gemzar (gemcitabine HCL); Hycamtin (topotecan hydrochloride); Ifosfamide; Iressa (gefitinib); Irinotecan injection; Methotrexate injection; Mitomycin; Paclitaxel; Photofrin, QLT; Premetrexed; Procarbazine; Streptozocin; Tarceva (erlotinib); Vinblasine; Vincristine; and Vinorelbine tartrate.

Examples of cancer to be treated using EDCs of the present disclosure include, but are not limited to, carcinoma, lymphoma, blastoma, sarcoma, and leukemia or lymphoid malignancies. More particular examples of such cancers include squamous cell cancer (*e.g.* epithelial squamous cell cancer), lung cancer including small-cell lung cancer, non-small cell lung cancer, adenocarcinoma of the lung and squamous carcinoma of the lung, cancer of the peritoneum, hepatocellular cancer, gastric or stomach cancer including gastrointestinal cancer, gastrointestinal stromal tumor (GIST), pancreatic cancer, glioblastoma, cervical cancer, ovarian cancer, liver cancer, bladder cancer, hepatoma, breast cancer, colon cancer, rectal cancer, colorectal cancer, endometrial or uterine carcinoma, salivary gland carcinoma, kidney or renal cancer, prostate cancer, vulval cancer, thyroid cancer, hepatic carcinoma, anal carcinoma, penile carcinoma, as well as head and neck cancer.

For the prevention or treatment of disease, the appropriate dosage of an EDC will depend on the type of disease to be treated, as defined above, the severity and course of the disease, whether the molecule is administered for preventive or therapeutic purposes, previous therapy, the patient's clinical history and response to the antibody, and the discretion of the attending physician. The molecule is suitably administered to the patient at one time or over a series of treatments. Depending on the type and severity of the disease, about 1 µg/kg to 15 mg/kg (*e.g.*, 0.1-20 mg/kg including, for example, 1 mg/kg to 15 mg/kg) of molecule is an initial candidate dosage for administration to the patient, whether, for example, by one or more separate administrations, or by continuous infusion. A typical daily dosage might range from about 1 µg/kg to 100 mg/kg (*e.g.*, 1 mg/kg to 100 mg/kg) or more, depending on the factors mentioned above. An exemplary dosage of EDC to be administered to a patient is in

1 the range of about 0.1 to about 10 mg/kg of patient weight.

2 An EDC of the present disclosure may be combined in a pharmaceutical combination
3 formulation, or dosing regimen as combination therapy, with a second compound having anti-
4 cancer properties. The second compound of the pharmaceutical combination formulation or
5 dosing regimen preferably has complementary activities to the EDC of the combination such
6 that they do not adversely affect each other.

7 The second compound may be a chemotherapeutic agent, cytotoxic agent, cytokine,
8 growth inhibitory agent, anti-hormonal agent, aromatase inhibitor, protein kinase inhibitor,
9 lipid kinase inhibitor, anti-androgen, antisense oligonucleotide, ribozyme, gene therapy
10 vaccine, anti-angiogenic agent and/or cardioprotectant. Such molecules are suitably present in
11 combination in amounts that are effective for the purpose intended. A pharmaceutical
12 composition containing an EDC may also have a therapeutically effective amount of a
13 chemotherapeutic agent such as a tubulin-forming inhibitor, a topoisomerase inhibitor, or a
14 DNA binder.

15 Other therapeutic regimens may be combined with the administration of an anticancer
16 agent identified in accordance with this present disclosure. The combination therapy may be
17 administered as a simultaneous or sequential regimen. When administered sequentially, the
18 combination may be administered in two or more administrations. The combined
19 administration includes coadministration, using separate formulations or a single
20 pharmaceutical formulation, and consecutive administration in either order, wherein there is a
21 time period while both (or all) active agents simultaneously exert their biological activities.

22 In one embodiment, treatment with an EDC of the present disclosure involves the
23 combined administration of an anticancer agent identified herein, and one or more
24 chemotherapeutic agents or growth inhibitory agents, including coadministration of cocktails
25 of different chemotherapeutic agents. Chemotherapeutic agents include taxanes (such as
26 paclitaxel and doxorubicin) and/or anthracycline antibiotics. Preparation and dosing schedules
27 for such chemotherapeutic agents may be used according to manufacturers's instructions or as
28 determined empirically by the skilled practitioner. Preparation and dosing schedules for such
29 chemotherapy are also described in Chemotherapy Service Ed., M. C. Perry, Williams &
30 Wilkins, Baltimore, Md. (1992).

31 The anticancer agent may be combined with an anti-hormonal compound; *e.g.*, an
32 anti-estrogen compound such as tamoxifen; an anti-progesterone such as onapristone (EP

616812); or an anti-androgen such as flutamide, in dosages known for such molecules. Where the cancer to be treated is hormone independent cancer, the patient may previously have been subjected to anti-hormonal therapy and, after the cancer becomes hormone independent, the anti-ErbB2 antibody (and optionally other agents as described herein) may be administered to the patient. It may be beneficial to also coadminister a cardioprotectant (to prevent or reduce myocardial dysfunction associated with the therapy) or one or more cytokines to the patient. In addition to the above therapeutic regimes, the patient may be subjected to surgical removal of cancer cells and/or radiation therapy.

Suitable dosages for any of the above coadministered agents are those presently used and may be lowered due to the combined action (synergy) of the newly identified agent and other chemotherapeutic agents or treatments.

The combination therapy may provide an effect achieved when the active ingredients used together is greater than the sum of the effects that results from using the compounds separately. The effect may be attained when the active ingredients are: (1) co-formulated and administered or delivered simultaneously in a combined, unit dosage formulation; (2) delivered by alternation or in parallel as separate formulations; or (3) by some other regimen. When delivered in alternation therapy, an effect may be attained when the compounds are administered or delivered sequentially, *e.g.* by different injections in separate syringes. In general, during alternation therapy, an effective dosage of each active ingredient is administered sequentially, *e.g.* serially, whereas in combination therapy, effective dosages of two or more active ingredients are administered together.

Also falling within the scope of this disclosure are the *in vivo* metabolic products of the EDC compounds described herein, to the extent such products are novel and unobvious over the prior art. Such products may result for example from the oxidation, reduction, hydrolysis, amidation, esterification, enzymatic cleavage, and the like, of the administered compound. Accordingly, the present disclosure includes novel and unobvious compounds produced by a process comprising contacting a compound of this present disclosure with a mammal for a period of time sufficient to yield a metabolic product thereof.

Metabolite products may be identified by preparing a radiolabelled EDC, administering it parenterally in a detectable dose (*e.g.* greater than about 0.5 mg/kg) to an animal such as rat, mouse, guinea pig, monkey, or to man, allowing sufficient time for metabolism to occur (typically about 30 seconds to 30 hours) and isolating its conversion

1 products from the urine, blood or other biological samples. These products are easily isolated
2 since they are labeled (others are isolated by the use of antibodies capable of binding epitopes
3 surviving in the metabolite). The metabolite structures are determined in conventional
4 fashion, *e.g.* by MS, LC/MS or NMR analysis. In general, analysis of metabolites is done in
5 the same way as conventional drug metabolism studies well-known to those skilled in the art.
6 The conversion products, so long as they are not otherwise found in vivo, are useful in
7 diagnostic assays for therapeutic dosing of the EDC compounds.

8 Metabolites include the products of in vivo cleavage of the EDC where cleavage of
9 any bond occurs that links the drug moiety to the antibody. Metabolic cleavage may thus
10 result in the naked antibody, or an antibody fragment. The antibody metabolite may be linked
11 to a part, or all, of the linker. Metabolic cleavage may also result in the production a drug
12 moiety or part thereof. The drug moiety metabolite may be linked to a part, or all, of the
13 linker.

14 In another embodiment, an article of manufacture, or "kit", containing EDC and
15 materials useful for the treatment of the disorders described above is provided. The article of
16 manufacture comprises a container and a label or package insert on or associated with the
17 container. Suitable containers include, for example, bottles, vials, syringes, or blister pack.
18 The containers may be formed from a variety of materials such as glass or plastic. The
19 container holds an EDC composition which is effective for treating the condition and may
20 have a sterile access port (for example the container may be an intravenous solution bag or a
21 vial having a stopper pierceable by a hypodermic injection needle). At least one active agent
22 in the composition is an EDC. The label or package insert indicates that the composition is
23 used for treating the condition of choice, such as cancer. For example, the cancer may be one
24 which overexpresses one of the targets of the EDC of the present disclosure. The label or
25 package insert may also indicate that the composition can be used to treat cancer, wherein the
26 cancer is not characterized by overexpression of one of the targets of the EDC of the present
27 disclosure. In other embodiments, the package insert may indicate that the EDC composition
28 can be used also to treat hormone independent cancer, prostate cancer, colon cancer or
29 colorectal cancer.

30 The article of manufacture may comprise a container with a compound contained
31 therein, wherein the compound comprises an EDC of the present disclosure. The article of
32 manufacture in this embodiment may further comprise a package insert indicating that the

EDC can be used to treat cancer. Alternatively, or additionally, the article of manufacture may further comprise a second (or third) container comprising a pharmaceutically-acceptable buffer, such as bacteriostatic water for injection (BWFI), phosphate-buffered saline, Ringer's solution and dextrose solution. It may further include other materials desirable from a commercial and user standpoint, including other buffers, diluents, filters, needles, and syringes.

EXAMPLES

EXAMPLE 1: Synthesis of linker-ready therapeutic agents, preparation of EDCs, and assessment of biological activity.

This example describes the synthesis of the "linker-ready" agent **CEN010-105** in its thiol reactive form (Part A) and the conjugation of the steroid scillarenin to antibodies to form various EDCs of the present disclosure (Part B). This example also describes various methods that can be used to assess EDC activity (Part C).

Part A Synthesis of "linker-ready" agent

This example describes a synthetic protocol for attaching a steroid drug to a linker to produce a "linker-ready" agent that can be readily attached to an antibody, as described herein. By linking the amino acid cysteine to the thiol reactive form of the "linker-ready" agent, the capped "linker-ready" agent can also be used to investigate activity of a potential EDC breakdown product, as may be generated by EDC degradation by proteases in vivo.

CEN010-105 is a "linker-ready" scillarenin that comprises scillarenin, a linker and an active group used to form a covalent stable attachment to the antibody. The general synthetic steps for the preparation of **CEN010-105** are as follows.

2,3-di-O-benzoyl-4-azido-4-deoxy-L-xylopyranoside-1-trichloroacetimidate. 1-Allyl-2,3-di-O-benzoyl-4-azido-4-deoxy-L-ribopyranoside (11.9 g, 28.1 mmol) was dissolved in dichloromethane/methanol (80 mL, 90:10) under argon, and PdCl₂ (0.5 g, 2.8 mmol) was added to the solution. The mixture was stirred overnight at room temperature, filtered through a pad of Celite and concentrated under reduced pressure. The residue was filtered through a pad of silica gel (hexane/EtOAc, 70:30). The resulting compound (8.38 g, 21.83 mmol) was dissolved in dry dichloromethane (170 mL) under argon. CCl₃CN (21.9 mL, 218.3 mmol) was added, followed by dropwise addition of DBU (1.63 mL, 10.91 mmol) at 0°C. The reaction was stirred for 1 h at 0°C. The solvent was removed under reduced pressure. The crude product was filtered through a pad of silica gel (hexane/EtOAc, 60:40 to 40:60) to

1 afford 2,3-di-*O*-benzoyl-4-azido-4-deoxy-L-ribofuranoside-1-trichloroacetimidate as a yellow
2 oil (9.7 g, 65%). The compound was carried forward without further purification. R_f 0.37
3 (silica gel, hexane/EtOAc, 80:20).

4 **Scillarenin-2,3-di-*O*-benzoyl-4-azido-4-deoxy-L-xylopyranoside.** 2,3-di-*O*-benzoyl-4-
5 azido-4-deoxy-L-xylopyranoside-1-trichloroacetimidate (0.483 g, 0.915 mmol) was added to
6 a suspension of activated 4Å molecular sieves (90 mg) in dry dichloromethane (15 mL) under
7 argon at 0 °C. Scillarenin (0.182 g, 0.474 mmol) was then added to the mixture. After 5
8 minutes, Zn(OTf)₂ (17 mg, 0.047 mmol) was added and the reaction mixture was stirred for
9 an additional 30 minutes at 0°C. An additional amount of scillarenin (0.182 g, 0.474 mmol)
10 was added. The reaction mixture was stirred for 30 minutes at 0°C. The reaction was
11 quenched with few drops of Et₃N. The mixture was filtered and the solvent was removed
12 under reduced pressure. The crude product was purified by flash chromatography
13 (hexane/EtOAc, 75:25 to 50:50) to afford scillarenin-2,3-di-*O*-benzoyl-4-azido-4-deoxy-L-
14 xylopyranoside as a white powder (0.521 g, 76%) R_f 0.35 (silica gel, hexane/EtOAc, 50:50).
15 ¹H-NMR (300 MHz, CDCl₃) δ, 0.68 (s, 3H), 0.90-2.17 (m, 21 H), 2.39-2.44 (m, 1H), 3.47
16 (dd, 1H, J = 12.0, 9.5 Hz, H-5b), 3.79-3.87 (m, 1H, H-4), 4.17-4.22 (m, 2H, H-5a), 4.78 (d,
17 1H, J = 6.8 Hz, H-1), 5.26 (dd, 1H, J = 8.6, 6.8 Hz, H-2), 5.33 (s, 1H), 5.49 (dd, 1H, J = 8.7
18 Hz, H-3), 6.22 (dd, 1H, J = 9.7, 0.6 Hz), 7.18-7.19 (m, 1H), 7.33-7.39 (m, 4H), 7.47-7.53 (m,
19 2H), 7.80 (dd, 1H, J = 9.7, 2.6 Hz), 7.92-7.97 (m, 4H); ¹³C-NMR (75 MHz, CDCl₃) δ 16.7,
20 19.0, 21.4, 25.8, 28.7, 28.8, 32.4, 32.8, 35.2, 37.6, 40.8, 42.9, 48.4, 50.2, 51.2, 59.2, 63.1,
21 71.6, 72.9, 76.1, 85.2, 100.0, 115.5, 121.7, 122.8, 128.5, 128.6, 129.1, 129.5, 129.9, 130.1,
22 133.4, 133.6, 146.9, 147.6, 148.7, 162.5, 165.3, 165.7.

23 **Scillarenin-4-azido-4-deoxy-L-xylopyranoside.** Scillarenin-2,3-di-*O*-benzoyl-4-azido-4-
24 deoxy-L-xylopyranoside (0.351 g, 0.468 mmol) was dissolved in methanol (21 mL). Et₃N (7
25 mL) and H₂O (7 mL) were added. The reaction mixture was stirred for 2 days at room
26 temperature. The mixture was filtered and the solvent was stripped under reduced pressure.
27 The crude product was purified by flash chromatography (CH₂Cl₂/MeOH, 98:2 to 95:5) to
28 afford scillarenin-4-azido-4-deoxy-L-xylopyranoside as a yellow powder (40 mg, 24%) R_f
29 0.31 (CH₂Cl₂/MeOH, 95:5); ¹H-NMR (300 MHz, CD₃OD) δ, 0.74 (s, 3H), 1.03-2.21 (m,
30 21H), 2.52-2.57 (m, 1H), 3.12-3.20 (m, 2H), 3.40-3.44 (m, 2H), 3.87-3.92 (m, 1H), 4.17-4.23
31 (m, 1H), 4.31 (d, 1H, J = 7.7 Hz, H-1), 5.35 (s, 1H), 6.28 (dd, 1H, J = 9.7, 0.8 Hz), 7.43 (d,
32 1H, J = 1.5 Hz), 7.99 (dd, 1H, J = 9.7, 2.6 Hz).

Scillarenin-4-amino-4-deoxy-L-xylopyranoside.

Scillarenin-4-azido-4-deoxy-L-xylopyranoside (1.61 g, 2.34 mmol) was dissolved in THF/H₂O (2.8 mL, 90:10). PPh₃ polymer-bound (79 mg, 3 mmol.g⁻¹) was added. The reaction mixture was stirred for 2 hours at 40°C. The mixture was then filtered and the solvent was removed under reduced pressure. The crude product was purified by flash chromatography (CH₂Cl₂/MeOH, 90:10 to 80:20) to afford scillarenin-4-amino-4-deoxy-L-xylopyranoside as a yellow powder (23 mg, 58%) *R*_f 0.2 (CH₂Cl₂/MeOH, 80:20); ¹H-NMR (300 MHz, CD₃OD) δ, 0.74 (s, 3H), 1.06-2.19 (m, 21H), 2.52-2.57 (m, 1H), 2.75-2.86 (m, 1H, H-4), 3.14-3.24 (m, 2H, H-2, H-3), 3.64-3.72 (m, 1H, H-5b), 3.87-3.91 (m, 1H, H-5a), 4.19-4.24 (m, 1H), 4.36 (d, 1H, *J* = 7.1 Hz, H-1), 5.38 (s, 1H), 6.28 (dd, 1H, *J* = 9.7, 0.6 Hz), 7.42 (d, 1H, *J* = 1.6 Hz), 7.99 (dd, 1H, *J* = 9.7, 2.5 Hz); ¹³C-NMR (75 MHz, CD₃OD) δ 17.4, 19.6, 22.5, 26.8, 29.9, 30.1, 33.3, 33.6, 36.6, 38.8, 41.8, 43.5, 49.4, 51.7, 52.2, 75.3, 76.5, 78.9, 79.3, 79.8, 85.8, 103.7, 115.6, 123.4, 125.1, 148.4, 149.4, 150.5, 164.9.

CEN010-105. To a solution of Scillarenin-4-amino-4-deoxy-L-xylopyranoside (18.5 mg, 0.0359 mmol) in DMF (1 mL) at room temperature was added NHS-PEG₂₄-Maleimide (50 mg, 0.0359 mmol). Then Et₃N (0.025 mL, 0.18 mmol) was added. The reaction was stirred at room temperature for 2 hours. The solvent was removed under reduced pressure. The crude material was purified by flash chromatography (CH₂Cl₂/MeOH, 95:5 to 80:20) to afford **CEN010-105** as a yellow oil (48 mg, 75%) *R*_f 0.66 (CH₂Cl₂/MeOH, 80:20). HPLC analysis [Luna C18, 250 x 4,60 mm, 5μm, 5% to 95% ACN over 32 minutes, 1 mL.min⁻¹] indicated a product which was >95% pure. HRMS-ESI (*m/z*): calculated for C₈₇H₁₄₇N₃O₃₅ [M+K]⁺: 1832.9452, found 1832.9777.

Part B Preparation of immunoconjugates (EDCs and control)

The EDCs described in Examples 2 through 10 and a control conjugate (contains antibody 4F12, a mouse IgG kappa, which does not bind cell human cells) were prepared by the following method, involving reduction of antibody interchain disulfides. Briefly, antibody at concentrations between 1-10 mg/ml in PBS (20 mM sodium phosphate pH 7 and 150 mM NaCl) were reduced in the presence of 1 mM diethylenetriamine pentaacetic acid (DTPA) (MP Biomedical LLC) and 8 molar equivalents of tris(2-carboxyethyl)phosphine (TCEP) (cat. number: HR2-651, Hampton Research) at 37°C for 2 hours then transferred to wet ice. Then 9.6 equivalents of **CEN010-105** ("linker-ready" agent) were added and allowed to react for 30 min on ice. The reaction was quenched by the addition of 1.5 equivalents of L-cysteine

1 over **CEN010-105** and allowed to react 30 minutes at RT. The antibody conjugates were then
2 separated from unconjugated **CEN010-105** by repeated centrifugal concentration using
3 Amicon Ultra 30,000 MWCO (Millipore, Billerica, MA) and DPBS buffer exchange. The
4 conjugates were stored at 2-8°C in PBS at concentrations ranging from 1-10 mg/ml.

5 Agent loading for EDCs (number of agents per antibody) with scillarenin as the agent
6 is determined by the following method. Once the extinction coefficient of the agent is
7 determined at a wavelength outside ± 10 nm of 280 nm, this method can be used for any EDC
8 comprising a steroid drug. The method entails measuring absorbance of the conjugates, the
9 antibodies (Ab) and scillarenin (drug) at both 280 nm and, in the case of scillarenin, 299 nm.
10 First, the absorbance of free antibody is measured at both 280 nm (A_{280Ab}) and 299 nm
11 (A_{299Ab}) to determine antibody constant [Constant Ab]. Next, the absorbance of free drug is
12 measured at both 280 nm ($A_{280drug}$) and 299 nm ($A_{299drug}$) to determine drug constant
13 [Constant Drug]. Finally, the absorbance of antibody drug conjugate is measured [$A_{280Conj}$
14 and $A_{299Conj}$]. The antibody molar extinction coefficient at 280 nm = $204,000 \text{ M}^{-1}\text{cm}^{-1}$.

15 **Part C Cytotoxic Activity Assessment**

16 Cells and culture conditions: Cell lines H460, HT29, A549, PANC-1, MB231, FaDu,
17 H69 and H929 were obtained from the American Type Culture Collection (ATCC),
18 Manassas, VA. The malignant melanoma cell line LOX IMVI was obtained from the DCTD
19 Tumor Repository, National Cancer Institute, Frederick, Maryland. The cell lines were
20 maintained in the recommended media formulations and subcultured every 3 to 4 days. To
21 activate expression of certain targets to which the drug moiety bind and/or to form Na,K-
22 ATPase complexes with certain proteins, cells can be cultured in recommended media plus
23 additives such as phorbol esters, various growth factors and cytokines such as VEGF,
24 fibroblast growth factors, human growth factors, interleukins, and tumor necrosis factors. In
25 addition, cells can be cocultured with other cells like human fibroblasts. Additionally,
26 microtiter plates can be coated with various proteins like fibrinogen.

27 In vitro cytotoxicity assessment: Cells were plated at a density between 1250 and
28 3333 per well of a 384-well white tissue culture treated microtiter plate in 20 μl complete
29 media, then grown for 24 hour at 37°C with 7% CO_2 in a humidified incubator before
30 conjugate or small molecule agent addition. Cells were incubated in the presence of test
31 compound for 72 hr prior to cell viability testing. Cell viability testing was performed using
32 the CellTiter-Glo luminescent cell viability assay (Promega, Madison, WI). EC50 values of

the test compounds for each cell line were determined using GraphPad Prism 5 software.

Example 2: Synthesis of Various Agents and Agent-Linker Intermediates

i. 4-Nitrophenyl carbamate-3-O-bufalin Synthesis

36.08 (93.3 μ mol) of bufalin was weighed and dissolved in 2mL of DCE. 38 μ L (467 μ mol) of pyridine and 57.5mgs (285.3 μ mol) of 4-nitrophenyl chloroformate was added to the bufalin and stirred overnight (~16 hours). TLC showed the reaction was ~50% complete. An additional 38 μ L (467 μ mol) of pyridine and 68.5mgs (340 μ mol) of 4-nitrophenyl chloroformate was added along with 4Å Molecular Sieves. The reaction was stirred for 30 minutes at room temperature. 2mL of Glacial Acetic Acid was added to 198mL of nanopure water to create a 1% Acetic Acid solution. 60-70mL of DCM was added to the reaction and was poured into a separatory funnel. An extraction was performed by adding 50mL of the 1% acetic acid solution to the separatory funnel. This was repeated 3 more times. The aqueous layer was then discarded. 1.4g (11.63mmol) of MgSO₄ was added to the organic layer. The mixture was stirred for 20 minutes and gravity filtered, followed by rotary evaporation of the solvent. The material was dissolved in a minimal amount of DCM and a flash column was run using silica gel (Pore Size = 0.015-0.040 μ m). The appropriate fractions were collected and the solvent was evaporated off. 41.34mgs (74.67 μ mol) of product was obtained corresponding to an 80.03% yield. TLC: 75:25 DCM:EtOAc; R_f2 = 0.46; ¹H-NMR (400 MHz, DMSO-d₆): δ 8.3 (d, 2H, H23, 24), 7.92 (s, 1H, H21), 7.56 (d, 2H, H22,25), 7.51 (s, 1H, H14), 6.28 (d, 1H, H20), 4.17(s, 1H, H10), 4.13 (s, 1H, H13), 3.87 (s, 1H, H3), 2.1-1.1 (multiple peaks, 22H, H1,2,4,5,6,7,8,9,11,12,15,16,17), 0.89 (s, 3H, H18), 0.59 (s, 3H, H19). IUPAC Name: Unknown

ii. 4-Nitrophenyl carbamate-3-O-Digitoxigenin Synthesis

695.5mgs (3.45mmol) of 4-nitrophenyl chloroformate containing 2.914g of 4Å Molecular Sieves was dissolved in 12mL of DCE. 2.914g of 4Å Molecular Sieves and 461.2 μ L (5.702mmol) of pyridine was added to the reaction. 211.85mgs (570.2 μ mol) of digitoxigenin (3) was then added to the reaction and allowed to stir overnight (~16 hours). The solvent was decanted, placed in a round bottom flask and rotary evaporated off. The remaining solid was resuspended in DCM (12mL) and placed on a flash column (75:25 DCM:EtOAc; pore size = 0.015-0.040 μ m). The purified fractions were combined and the solvent was rotary evaporated off. 272mgs (505 μ mol) of 4-nitrophenyl carbamate-3-O-digitoxigenin was obtained for a % Yield of 88.56%. TLC: 75:25 DCM:EtOAc; R_f4 = 0.57. HPLC Analysis: C18 Column:

Atlantis®T3; 3µm; 4.6 x 150mm; Gradient: 0-2min = 90:20 (A:B); 1-16min = 0:100 (A:B); 16-25min = 0:100 (A:B); Flow Rate: 1 mL/min; Rt4= 21.22 min (λ_{max} = 271nm). IUPAC Name: Unknown

iii. CEN-371 Synthesis

10mgs (18.1µmol) of 4-nitrophenyl carbamate-3-O-bufalin was reacted with 200µL (971.5µmol) of 1,4-Bis(3-aminopropyl)piperazine by stirring at room temperature for 35 minutes. The material was purified by reverse-phase HPLC (Buffer A = 1% Acetic Acid in H₂O; Buffer B = 1% Acetic Acid in ACN). The solvent of the collected material was rotary evaporated off. The material was frozen and lyophilized overnight. 4.87mgs (7.95µmol) of CEN-371 was obtained for a % Yield of 43.9%. TLC: 75:25 DCM:EtOAc; RfCEN-371 = 0.0; Ninhydrin positive; HPLC Analysis: C18 Column: Atlantis®T3; 3µm; 4.6 x 150mm; Gradient: 0-1min = 80:20 (A:B); 1-19min = 10:90 (A:B); Flow Rate: 1 mL/min; RtCEN-371 = 9.27 min (λ_{max} = 300nm). ¹H-NMR (400 MHz, DMSO-d₆): δ 7.92 (d, 1H, H21), 7.52 (s, 1H, H14), 7.03 (s, 1H, H26), 6.28 (d, 1H, H20), 4.78 (s, 1H, H3), 4.13 (s, 1H, H13), 3-1.1 (multiple peaks, 44H, H1,2,4-9,11,12,15-17,27-37), 0.89 (s, 3H, H18), 0.59 (s, 3H, H19). ¹³C NMR (500 MHz, CDCl₃): δ 177.02, 162.37, 156.28, 148.51, 146.8, 122.67, 115.25, 85.26, 70.11, 57.46, 56.43, 52.8, 52.59, 51.20, 48.32, 42.29, 40.82, 40.40, 35.78, 35.15, 32.75, 30.73, 30.54, 28.70, 26.43, 25.81, 25.34, 23.83, 23.28, 22.93, 21.39, 21.29, 16.52. TOF-MS (ESI): MW_{obs} = 613.44 g/mol; MW_{calc.} = 613.84 g/mol. IUPAC Name: 4-(3-((1S,2S,5S,7R,10R,11S,14S,15R)-11-Hydroxy-2,5,14,15-tetramethyl-14-(6-oxo-3-pyranyl)tetracyclo[8.7.0.0.2,7.0.11,15]heptadec-5-yloxy-carbonylamino}propyl)-1-(3-aminopropyl)piperazine.

iv. CEN-372 Synthesis

31.8mgs (57.44µmol) of 4-nitrophenyl carbamate-3-O-bufalin was dissolved in 1mL of DCM and 1mL of MTBE. 46.3µL (287.2µmol) of 3,3'-diamino-N-methyldipropylamine was added and stirred at room temperature. After 90 minutes 4mL of MTBE was added and stirred for an additional 30 minutes. The solvent was decanted off, leaving a yellow precipitate behind. The precipitate was washed with a minimal amount of MTBE. The MTBE was decanted off and placed with the previously decanted material. The decanted solvent was rotary evaporated off. The material was washed 4 times with 10mL of hexanes. 5mL of H₂O was added and the precipitate was suction filtered. The precipitate was washed 3 times with 10-15mL of H₂O. To the precipitate (16.5mgs) was added 1mL of MeOH. The material was

centrifuged with the solvent being decanted off. The decanted material was rotary evaporated off. 14.21mgs (25.48 μ mol) of CEN-372 was obtained for a % Yield of 44.4%. TLC: 75:25 DCM:EtOAc; R_fCEN-372 = 0.0; Ninhydrin positive; HPLC Analysis: C18 Column: Atlantis®T3; 3 μ m; 4.6 x 150mm; Gradient: 0-2min = 90:10 (A:B); 2-15min = 0:100 (A:B); Flow Rate: 1 mL/min; R_tCEN-372= 11.60 min (λ_{max} = 300nm). ¹H-NMR (400 MHz, DMSO-d₆): δ 7.92 (d, 1H, H₂₁), 7.51 (s, 1H, H₁₄), 6.95 (s, 1H, H₂₆), 6.27 (d, 1H, H₂₀), 4.78 (s, 1H, H₃), 4.15 (s, 1H, H₁₃), 3-1.1 (multiple peaks, 39H, H_{1,2,4-9,11,12,15-17,27-34}), 0.87 (s, 3H, H₁₈), 0.59 (s, 3H, H₁₉). TOF-MS (ESI): M_Wobs = 558.39 g/mol; M_Wcalc. = 558.84 g/mol. IUPAC Name: 5-[(1S,2S,5S,7R,10R,11S,14S,15R)-5-{3-[N-Methyl(3-aminopropyl)amino]propylaminocarbonyloxy}-11-hydroxy-2,15-dimethyltetracyclo[8.7.0.02,7.011,15]heptadec-14-yl]-2-pyranone

v. CEN-373 Synthesis

21mgs (37.93 μ mol) of 4-nitrophenyl carbamate-3-O-bufalin was dissolved in 2mL of DCM and 1.4mL of MTBE. 26.6 μ L (190 μ mol) of Bis(3-aminopropyl)amine was added and stirred at room temperature. After 25 minutes 5mL of MTBE was added and stirred for an additional 30 minutes. The precipitate was suction filtered off and washed with 10mL MTBE. The precipitate was washed with 20mL of DCM. The filtrate was rotary evaporated off. 5mL H₂O was added and suction filtered. The precipitate was washed with 30mL of H₂O. The precipitate was dissolved in 1:1 DCM:MeOH mix (~5mL) and the solvent was evaporated off. 100 μ L of H₂O was added to the material which was frozen and placed on a lyophilizer overnight. 9.57mgs (17.6 μ mol) of CEN-373 was obtained for a percent yield of 46.4%. TLC: 75:25 DCM:EtOAc; R_fCEN-373 = 0.0; Ninhydrin positive; HPLC Analysis: C18 Column: Atlantis®T3; 3 μ m; 4.6 x 150mm; Gradient: 0-2min = 90:10 (A:B); 2-15min = 0:100 (A:B); Flow Rate: 1 mL/min; R_tCEN-373= 11.70 min (λ_{max} = 300nm). ¹H-NMR (400 MHz, DMSO-d₆): δ 7.92 (d, 1H, H₂₁), 7.51 (s, 1H, H₁₄), 6.99 (s, 1H, H₂₆), 6.28 (d, 1H, H₂₀), 4.79 (s, 1H, H₃), 4.15 (s, 1H, H₁₃), 3-1.1 (multiple peaks, 37H, H_{1,2,4-9,11,12,15-17,27-34}), 0.85 (s, 3H, H₁₈), 0.59 (s, 3H, H₁₉). TOF-MS (ESI): M_Wobs = 544.38 g/mol; M_Wcalc. = 544.82 g/mol. IUPAC Name: 5-[(1S,2S,5S,7R,10R,11S,14S,15R)-5-[3-(3-Aminopropylamino)propylaminocarbonyloxy]-11-hydroxy-2,15-dimethyltetracyclo[8.7.0.02,7.011,15]heptadec-14-yl]-2-pyranone.

vi. CEN-375 Synthesis (activated-PEG24-CEN-371)

4.05mgs (6.62 μ mol) of CEN-371 in 170 μ L of DMSO was added to 10.65mgs (7.28 μ mol) of

MAL-PEG24-TFP ester (e.g., MAL-dPEG₂₄-TFP ester, 2,3,5,6-tetrafluorophenyl 1-(2,5-dioxo-2,5-dihydro-1H-pyrrol-1-yl)-3-oxo-7,10,13,16,19,22,25,28,31,34,37,40,43,46,49,52,55,58,61,64,67,70,73,76-tetracosaoxa-4-azanonaheptacontan-79-oate, C₆₄H₁₀₈F₄N₂O₂₉, prod. no. 10554, Quanta Biodesign Ltd, Plain City, Ohio, USA) in 100μL of DMSO. The reaction was stirred at room temperature for 90 minutes. After 90 minutes, an additional 5mgs (3.42μmol) of MAL-PEG24-TFP ester was added and allowed to react overnight. 15mL of ether and 2mL of DCM was added to the reaction, followed by 25mL of hexanes. The reaction was placed at -20°C for 1 hour. The material did not precipitate, so the solvent was rotary evaporated off. The reaction was resuspended in DMSO and purified by reverse-phase HPLC (Buffer A = 1% Acetic Acid in H₂O; Buffer B = 1% Acetic Acid in ACN). The solvent of the collected material was rotary evaporated off. The material was frozen and lyophilized overnight. 6.21mgs (3.28μmol) of CEN-375 was obtained for a % Yield of 49.6%. HPLC Analysis: C18 Column: Atlantis®T3; 3um; 4.6 x 150mm; Gradient: 0-1min = 80:20 (A:B); 1-19min = 10:90 (A:B); Flow Rate: 1 mL/min; RtCEN-375= 12.87 min (λ_{max} = 301nm). ¹H-NMR (400 MHz, DMSO-d₆): δ 8.05, (s, 1H, H42), 7.92 (d, 1H, H21), 7.8 (s, 1H, H37), 7.52 (s, 1H, H14), 7.03 (s, 1H, H26), 6.7 (d, 2H, H45,46), 6.28 (d, 1H, H20), 4.78 (s, 1H, H3), 4.13 (s, 1H, H13), 3.7-3.2 (m, PEG peaks), 3-1.1 (multiple peaks, 44H, H1,2,4-9,11,12,15-17,27-37), 0.89 (s, 3H, H18), 0.59 (s, 3H, H19). ¹³C NMR (500 MHz, CDCl₃): δ 174.43, 173.28, 171.06, 170.73, 170.44, 169.59, 169.13, 162.29, 160.94, 156.24, 149.61, 148.49, 146.71, 134.17, 122.87, 122.60, 115.28, 86.07, 85.28, 78.63, 77.57, 70.6, 70.52, 70.47, 70.45, 70.34, 70.22, 70.19, 70.12, 69.61, 69.55, 68.81, 67.30, 64.32, 63.00, 56.95, 56.78, 53.40, 53.23, 53.06, 51.19, 49.71, 48.29, 44.83, 42.33, 42.01, 41.77, 40.82, 40.65, 40.50, 39.21, 38.60, 38.58, 38.37, 37.11, 36.92, 35.78, 35.67, 35.40, 35.15, 34.49, 34.26, 33.32, 32.75, 32.22, 30.75, 30.54, 28.68, 27.27, 26.41, 25.84, 25.81, 25.36, 25.32, 23.84, 21.39, 21.28, 21.23, 21.08, 16.50, 16.46. IUPAC Name: N/A.

vii. CEN-376 Synthesis (activated-PEG24-CEN-372)

8.2mgs (14.7μmol) of CEN-372 was dissolved in 300μL of DMSO. 26.77mgs (18.29μmol) of MAL-PEG24-TFP ester was added to the reaction which was stirred at room temperature for 80 minutes. 7.5mL of MTBE was added to the reaction along with 1mL DCM. The reaction was placed at -20°C. After 1 hour the solution was removed and the solvent was decanted into a round bottom flask and removed through rotary evaporation. 450μL of nanopure water was added to the material which contained unpurified product. This material

was frozen and placed on a lyophilizer overnight. The material was resuspended in acetonitrile and purified by reverse-phase HPLC (Buffer A = 1% Acetic Acid in H₂O; Buffer B = 1% Acetic Acid in ACN). The solvent of the collected material was rotary evaporated off. The material was frozen and lyophilized overnight. 0.89mgs (0.484 μ mol) of CEN-376 was obtained for a % Yield of 3.3%. HPLC Analysis: C18 Column: Atlantis®T3; 3 μ m; 4.6 x 150mm; Gradient: 0-2min = 90:10 (A:B); 2-15min = 0:100 (A:B); Flow Rate: 1 mL/min; RtCEN-376= 12.65 min (λ_{max} = 300nm). IUPAC Name: N/A.

viii. CEN-377 Synthesis (activated-PEG24-CEN-373)

4.0mgs (7.36 μ mol) of **CEN-373** in 200 μ L of DMSO was added to 12.0mgs (8.26 μ mol) of MAL-PEG24-TFP ester. The reaction was stirred at room temperature for 90 minutes. 7.5mL of MTBE was added to the reaction which was then placed at -20°C for 2 hours. The liquid was decanted off and the precipitate was washed 4 times with 10mL of MTBE. The solvent was rotary evaporated off and resuspended in 120 μ L DMSO. The material was purified by HPLC. The solvent of the collected fractions were removed through rotary evaporation. 400 μ L of H₂O was added. The solution was frozen and placed on a lyophilizer overnight. 0.6mgs (0.329 μ mol) of CEN-377 was obtained. for a % Yield of 4.47%. HPLC Analysis C18 Column: Atlantis®T3; 3 μ m; 4.6 x 150mm; Gradient: 0-2min = 90:10 (A:B); 2-15min = 0:100 (A:B); Flow Rate: 1 mL/min; RtCEN-377 = 12.47 min (λ_{max} = 300nm). IUPAC Name: N/A.

ix. CEN-381 Synthesis

161.35mgs (300 μ mol) of 4-nitrophenyl carbamate-3-O-digitoxigenin § was reacted with 88.94 μ L (432 μ mol) of 1,4-Bis(3-aminopropyl)piperazine by stirring at room temperature for 2 hours in 20.77mL DCE, 14.23mL DCM and 35mL of Hexanes. The reaction was placed at 4 °C for 2 days. The precipitate was removed through suction filtration and washed with ~20mL of hexanes. To the filtrate was added 24.94 μ L (432 μ mol) of glacial acetic acid. The solvent was rotary evaporated off and resuspended in MeOH. The material was purified by reverse-phase HPLC (Buffer A = 1% Acetic Acid in H₂O; Buffer B = 1% Acetic Acid in ACN). The solvent of the collected material was rotary evaporated off. The material was frozen and lyophilized overnight. 109.43mgs (182.13 μ mol) of CEN-381 was obtained for a % Yield of 60.71%. TLC: 75:25 DCM:EtOAc; RfCEN-381 = 0.0; Ninhydrin positive; HPLC Analysis: C18 Column: Atlantis®T3; 3 μ m; 4.6 x 150mm; Gradient: 0-2min = 90:10 (A:B); 2-14min = 0:100 (A:B); Flow Rate: 1 mL/min; RtCEN-381= 11.53 min (λ_{max} = 220nm).

IUPAC Name: 4-(3-((1S,2S,5S,7R,10R,11S,14R,15R)-11-Hydroxy-2,5,14,15-tetramethyl-14-(5-oxo-2H-fur-3-yl)tetracyclo[8.7.0.02,7.011,15]heptadec-5-yloxycarbonylamino}propyl)-1-(3-aminopropyl)piperazine.

x. CEN-382 Synthesis (activated-PEG24-CEN-381)

15mgs (24.97 μ mol) of CEN-381 was dissolved in 1.6mL of DCM. 42.46mgs (29.01 μ mol) of MAL-PEG24-TFP ester was added to the reaction. The reaction was stirred at room temperature for 3 hours. An additional 2mgs (1.37 μ mol) of MAL-PEG24-TFP ester was added and allowed to react for 30 minutes. The reaction was heated to ~40-50°C and the cap removed to help facilitate evaporation of the DCM. The material was resuspended in 300 μ L of MeOH and purified by reverse-phase HPLC (Buffer A = 1% Acetic Acid in H₂O; Buffer B = 1% Acetic Acid in ACN). The solvent of the collected material was rotary evaporated off. The material was frozen and lyophilized overnight. 39.38mgs (20.94 μ mol) of CEN-382 was obtained for a % Yield of 83.86%. HPLC Analysis: C18 Column: Atlantis®T3; 3 μ m; 4.6 x 150mm; Gradient: 0-2min = 90:10 (A:B); 2-16min = 0:100 (A:B); Flow Rate: 1 mL/min; RtCEN-382= 13.20 min (λ _{max} = 218nm). IUPAC Name: N/A.

xi. Synthesis of SUN4B7-PEG24-CEN-371

SUN4B7 Buffer Exchange: ~0.8mL (1.2mgs) of SUN4B7 in PBS buffer was added to 30k MWCO centrifugal filters and spun down to ~35-45 μ L. The filtrate was discarded and 0.4mL of 150mM sodium acetate (pH=6.5) was added to the SUN4B7. The centrifugation process was repeated two more times, with the filtrate discarded each time. The SUN4B7 was removed and the filter was washed two times with ~60-70 μ L each time with 150mM sodium acetate (pH=6.5). The total working volume is ~140 μ L. UV-VIS determination showed a concentration of 8.80 mg/mL (~1.2mgs). 0.67 μ L of DTPA was added to the SUN4B7 followed by the addition of 140 μ L of 150mM sodium acetate/1.2M sodium citrate (pH=7.8). The final concentration of SUN4B7 was 4.40 mg/mL with a final buffer of 150mM sodium acetate/600mM sodium citrate (pH=7.5).

SUN4B7 Reduction: The SUN4B7 (4.40 mg/mL; 8.21nmol) was placed at 0-8 °C for ~5 minutes. 4.11 μ L (41.1nmol; 5eq to SUN4B7) of a 10mM TCEP solution in nanopure water was added followed by slight agitation for 5-10 seconds. The solution was heated to 37 °C over 30 minutes. When the solution had reached 37 °C, the reaction was allowed to react for an additional 2 hours. The reduced SUN4B7 was removed from 37 °C and placed at room temperature.

CEN-375 Conjugation to SUN4B7: 21 μ L (84nmol;10.2eq to SUN4B7) of a 4mM solution of CEN-375 in a solution of IPA:H₂O (1:1) containing 25mM sodium acetate (pH=5.5) was added to reduced SUN4B7. The reaction was agitated slightly for 5-10 seconds and allowed to sit for 2 hours at room temperature. 360 μ L of 150mM sodium acetate (pH=6.5) was added to the reaction and was allowed to sit for an additional 20 minutes. The reaction was placed into 30k MWCO centrifugal filters where it was spun down to ~35-40 μ L. 0.4mL of DPBS was added and centrifuged down to 35-40 μ L. This was repeated 4 more times. The final product was placed in a vial and the centrifugal filter was washed with ~340 μ L DPBS buffer. The final volume of SUN4B7(CEN-375) in DPBS was 360 μ L. UV-VIS analysis showed a drug-to-antibody ratio (DAR) of 5.02 at a concentration of 3.33 mg/mL (22.18 μ M). Hydrophobic Interaction Chromatography (HIC) analysis showed a DAR value of 5.36 with 4.86% consisting of unlabeled SUN4B7. UV-VIS (299/280) showed a DAR value of 5.02 at a concentration of 3.33 mg/mL (22.18 μ M).

CEN-382 Conjugation to SUN4B7: A similar procedure to "CEN-375 Conjugation to SUN4B7" described immediately above was performed with similar results.

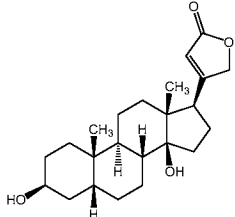
Example 3: Cytotoxicity of Agents and Agent-Linker Intermediates in *In Vitro* Models

Cytotoxicities of select agents and agent-linker intermediates were assessed using an *in vitro* cancer cell cytotoxicity analysis. Cells were plated in 384-well white tissue culture treated microtiter plates in 20 μ L of complete media with or without 250 nM all-*trans*-retinoic acid (ATRA) at the following densities: 2000 (Ramos, SU-DH-8, HL60) cells per well, 1500 (Hut78) cells per well, and 1333 (H929) cells per well. These cells were equilibrated for 24 hour at 37°C with 5% CO₂ in a humidified incubator before addition of test compounds. Compounds and/or mouse plasma samples were serially diluted in complete media at 5x final working concentrations, and 5 μ L of each were added to the cells used in the assay. Treated cells were incubated for 3 days before cell viability testing. Cell viability testing used the CellTiter-Glo luminescent cell viability assay (Promega, Madison, WI). EC50 values of the agents to each cell line were determined using GraphPad Prism 5 software and are shown in Table 3.

Table 3. Cytotoxicities of Select Agents and Agent-Linker Intermediates

Agent/ Agent- Linker Intermediate	Structure	EC50 in picoM (% viab.)	
		Ramos	SUDHL8

Agent/ Agent- Linker Intermediate	Structure	EC50 in picoM (% viab.)	
		Ramos	SUDHL8
CEN09-106		1,900 (0)	2,070 (0)
CEN-319		5,800 (0)	8,400 (ND)
CEN-371		7,805 (0)	11,000 (0)
CEN-372		3,600	4,400
CEN-373		4,000	5,900
CEN-381		>50,000	>50,000
Bufalin		7,300	16,000
Scillarenin		17,000	18,000

Agent/ Agent- Linker Intermediate	Structure	EC50 in picoM (% viab.)	
		Ramos	SUDHL8
Digitoxigenin		>50,000	>50,000

Example 4: Cytotoxicity of Select EDCs

Cytotoxicities of select EDCs of Formula (I) were assessed using an *in vitro* cancer cell cytotoxicity analysis. Structures for each of the EDCs listed in Table 4 are shown in FIG. 1. Agent loading was determined to be approximately 4. Cells were plated in 384-well white tissue culture treated microtiter plates in 20 μ L of complete media with or without 250 nM all-*trans*-retinoic acid (ATRA) at the following densities: 2000 (Ramos, SU-DH-8, HL60) cells per well, 1500 (Hut78) cells per well, 1333 (H929) and 1500 (MV-4-11) cells per well. These cells were equilibrated for 24 hour at 37°C with 5% CO₂ in a humidified incubator before addition of test compounds. Compounds and/or mouse plasma samples were serially diluted in complete media at 5x final working concentrations, and 5 μ L of each were added to the cells used in the assay. Treated cells were incubated for 3 days before cell viability testing. Cell viability testing used the CellTiter-Glo luminescent cell viability assay (Promega, Madison, WI). EC50 values of the agents to each cell line were determined using GraphPad Prism 5 software and are shown in Table 4. Select data is also shown graphically (logarithmic transform) in some cases compared to unconjugated SUN4B7, unconjugated therapeutic agents, unconjugated bufalin, and/or control EDC manufactured with antibody 4F12, in FIGs. 2, 3, 4, 9 and 10.

Table 4. Cytotoxicities of Select EDCs

Targeting Moieties	Linker-Agent Includes:	EC50 in picoM (% viab.)	
		Ramos	SUDHL8
SUN4B7	PEG24, CEN09-106	120 (0)	38 (0)
SUN4B7	PEG36, CEN09-106	43 (0)	14 (0)
SUN4B7	PEG24, CEN-319	1,400 (17)	280 (50)
SUN4B7	PEG36, CEN-319	160 (3)	45 (15)
SUN4B7	PEG24, CEN-371	90 (0)	139 (0)

Targeting Moiety	Linker-Agent Includes:	EC50 in picoM (% viab.)	
		Ramos	SUDHL8
SUN4B7	PEG24, CEN-381	3,300 (50)	6,200 (50)

Example 5: Cytotoxicity of Select EDCs to Cells Expressing CD38

To determine if the epitope for the targeting moiety is important for EDC of the present disclosure, and to determine if all-trans-retinoic acid (ATRA) can enhance the activity of EDC of the invention, the following studies were conducted. Four different anti-CD38 antibodies specific to different CD38 epitopes were conjugated to CEN09-106 via PEG36 containing linkers. The general structure for the resulting EDCs is shown in FIG. 1. Agent loading was determined to be about 4. Each EDC was tested on six human blood cancer cell types (HL60, U937, MV411, Hut78, H929, and RAMOS). All-trans retinoic acid (ATRA) is a known inducer of CD38 (Ferrero E, Malavasi F. (2002) A Natural History of the Human CD38 Gene. Kluwer Academic Publishers, Norwell, MA, pp. 81–99). Therefore these EDCs were tested for cytotoxic activity *in vitro* on a variety of cell types with and without ATRA induction and the EC50's in picomolar and the percent viable cells after 72 hours of EDC exposure are shown (see Table 5).

Table 5. EC50 Cytotoxicities of Select [TM]-PEG36-CEN09-106 EDCs

Cell Line	[TM] Targeting Moiety							
	SUN4B7		OKT10		IB4		HB7	
	NO ATRA	ATRA	NO ATRA	ATRA	NO ATRA	ATRA	NO ATRA	ATRA
HL60 (AML)	N/A	80(15)	N/A	800(20)	N/A	N/A	N/A	N/A
U937 (AML)	N/A	140 (5)	N/A	1090(10)	N/A	N/A	N/A	N/A
MV411 (AML)	N/A	100 (0)	ND*	ND*	ND*	ND*	ND*	ND*
Hut78 (CTCL)	N/A	120 (5)	N/A	310 (5)	N/A	210(10)	N/A	290(10)
H929 (MM)	140(10)	80(0)	1900(20)	440(0)	1300(30)	180(20)	49000(50)	330(30)
RAMOS	40(0)	40(0)	140(0)	120(0)	140(0)	120(0)	200(0)	160(0)
N/A = No activity observed. ND* = Not Determined. () = percent viable cells after exposure.								

In this study, all naked antibodies under all conditions were found to be inactive under all conditions. In this study, ATRA alone slowed cell growth on all cell lines tested except RAMOS and adding ATRA to RAMOS cells did not increase their sensitivity to an EDC tested. In this study and as determined by antibody cell staining, and with the exception of HL-60 cells, all cells expressed various levels of cell surface CD38 prior to ATRA addition. With the exception of RAMOS, all cell lines tested in this study increased CD38 expression and thus displayed enhanced activity to EDC (lower EC50 values and fewer remaining cells viable).

1 These data demonstrate that EDCs produced with SUN4B7 were the most potent at
2 inducing apoptosis in the cell lines expressing CD38 on the cell surface. These data also
3 demonstrate that ATRA can enhance cell sensitivity to the EDCs.

4 **Example 6: *In Vivo* Pharmacokinetics of Select EDCs**

5 To examine the pharmacokinetics of various EDCs of the present disclosure, three
6 EDCs were administered to laboratory mice and the blood examined for the presence of
7 active EDC at various time points post administration. EDCs SUN4B7-PEG24-CEN-319,
8 SUN4B7-PEG24-CEN09-106 and SUN4B7-PEG24-CEN-317 (agent loading: about 4 for
9 each EDC) were separately administered to 2 mice each at a single IP dose of 6 mg/kg and
10 the blood draw and various time points and tested for the presence of active EDC by *in vitro*
11 testing. The results of this study are shown graphically in FIGs. 5-6. The *in vivo* half-life of
12 SUN4B7-PEG24-CEN-319, SUN4B7-PEG24-CEN09-106 and SUN4B7-PEG24-CEN-317
13 were not able to be calculated, 37 hours or 69 hours, respectively. In addition the results
14 showed that SUN4B7-PEG24-CEN09-106 and SUN4B7-PEG24-CEN-317 maintained their
15 full activity (ability to kill >99% of the cells) during the time points tested, while SUN4B7-
16 PEG24-CEN-319 lost its activity after 24 hours (i.e., more than 30% of the cells remained
17 viable). These data indicate that SUN4B7-PEG24-CEN-317 is a preferred EDC. This means
18 that an EDC could be administered at 0.1 to 10 mgs/kg and as infrequently as once every two
19 weeks.

20 **Example 7: *In Vivo* Efficacy of Select EDCs**

21 To examine the *in vivo* efficacy of various EDCs disclosed herein, two EDCs
22 constructed using SUN4B7 as the targeting moiety (SUN4B7-PEG24-CEN-319 and
23 SUN4B7-PEG24-CEN09-106; agent loading: about 4 for each EDC) compared to
24 unconjugated SUN4B7, CHOP (a standard of care for lymphoma consisting of a mixture of
25 cyclophosphamide, doxorubicin, vincristine and prednisone), and a vehicle group.

26 Laboratory mice were implanted with Ramos tumor cells and bearing tumors
27 averaging in size around 250 mm³ were monitored. After tumors reached an average size of
28 250 mm³, five mice in each group were each administered an EDC (diluted in PBS and
29 administered at 10 mg/kg every 5 days four times), unconjugated SUN4B7 (diluted in PBS
30 and administered at 10 mg/kg every 5 days four times), vehicle (PBS administered at 10
31 mg/kg every 5 days four times), or CHOP one time at 30 mg/kg cyclophosphamide, 2.475
32 mg/kg doxorubicin, 0.375 mg/kg vincristine and P every day five times at 0.15 mg/kg

1 prednisone.

2 As shown in FIG. 7, when comparing animals in the five groups, groups receiving an
3 EDC bore tumors smaller than 2,000 mm³ after 45 days day than did groups receiving CHOP,
4 unconjugated SUN4B7 or vehicle. Similarly, as shown in FIG. 8, tumors grew much more
5 slowly in groups administered an EDC compared to groups administered CHOP,
6 unconjugated SUN4B7 or vehicle.

7 These data indicate that both EDCs reduce tumor growth in a superior manner when
8 compared to CHOP, the standard of care and administered at a safe dose to mice.

9 Cell lines and cell culture: Cell lines Ramos (ATCC number – CRL-1596), SU-DHL-
10 8 (ATCC number – CRL-2961), U937 (ATCC number – CRL-1596.2), Hut78 (ATCC
11 number – TIB-161), H929 (ATCC number – CRL-9078), HL60 (ATCC number – CCL-
12 240) and MV-4-11 (ATCC number CRL-9591) were maintained in complete media [RPMI
13 medium 1640 supplemented with 10% (wt/vol) fetal bovine serum and gentamycin (30
14 µg/ml)] at a density between 1x10⁵ and 1x10⁶ cells per mL at 37°C with 5% CO₂ in a
15 humidified incubator.

16 In vitro cancer cell cytotoxicity analysis: Cells were plated in 384-well white tissue
17 culture treated microtiter plates in 20 uls complete media with or without 250 nM all-trans-
18 retinoic acid (ATRA) at the following densities: 2000 (Ramos, SU-DH-8, HL60), 1500
19 (Hut78), 1333 (H929) and 1500 (MV-4-11) cells per well. These cells where equilibrated for
20 24 hour at 37°C with 5% CO₂ in a humidified incubator before addition of test compounds.
21 Compounds and/or mouse plasma samples were serially diluted in complete media at 5X
22 final working concentrations, and 5 µl added to the cells used in the assay. Treated cells were
23 incubated for 3 days before cell viability testing. Cell viability testing used the CellTiter-Glo
24 luminescent cell viability assay (Promega, Madison, WI). EC50 values of the agents to each
25 cell line were determined using GraphPad Prism 5 software.

26 Pharmacokinetics. The pharmacokinetics of SUN4B7-PEG-24-CEN-319, SUN4B7-
27 PEG-24-CEN09-106, and SUN4B7-PEG-24-CEN-371 (agent loading: about 4 for each EDC)
28 were evaluated in Balb/c mice. Balb /c mice (n = 2) were administered 10 mg/kg test material
29 (SUN4B7-PEG-24-CEN-319) or 6 mg/kg (SUN4B7-PEG-24-CEN09-106 and SUN4B7-
30 PEG-24-CEN-371) by intraperitoneal (IP) injection. Blood samples were collected from each
31 mouse via retro-orbital bleed using heparinized hematocrit tubes at 24 hours, 72 hours, 120
32 hours, and 168 hours after injection. Blood was centrifuged (5,000Xg, 5 minutes) to isolate

1 plasma. Persistence of active conjugate in mouse blood was assayed by establishing the
2 plasma dilution factor (for each bleed) that yielded an EC50 in a cytotoxicity assay of the B-
3 cell lymphoma cell line Ramos, half-life was then calculated as the time required for
4 cytotoxic activity of the of compound present in mouse blood to decrease by half.

5 Efficacy: Ramos lymphoma cells (10^7) in phosphate buffered saline were injected
6 subcutaneously into the flank of 5 to 7 week-old female Crl:SHO-PrkdcscidHrhr mice
7 (Charles River Laboratories, Wilmington, MA) in a volume of 0.1 mL/mouse. Treatment
8 was initiated when the tumor volume in groups of 5 animals averaged $\sim 250 \text{ mm}^3$ (18 days
9 post tumor implantation). SUN4B7, SUN4B7-PEG24-CEN-319, and SUN4B7-PEG24-
10 CEN09-106 were dosed at 10 mg/kg q5dx4. CHOP treatment consisted of a single
11 intraperitoneal injection of 30 mg/kg cyclophosphamide, 2.475 mg/kg doxorubicin, 0.375
12 mg/kg vincristine, and daily dosing of prednisone at 0.15 mg/kg for 5 days. Tumor volumes
13 were measured twice weekly for each group using vernier calipers and tumor volumes were
14 calculated using the formula $V = (W^2 \times L)/2$; where V is tumor volume, W is tumor width, L
15 is tumor length.

1 CLAIMS

2
3 1. An extracellular-targeted drug conjugate (EDC) comprising a targeting moiety
4 linked by a stable or non-cleavable linker to an agent, wherein the targeting moiety binds to
5 CD38, wherein the agent binds to or modifies the activity of a Na,K-ATPase.

6 2. The EDC of claim 1, wherein the targeting moiety includes an antibody
7 targeting moiety that binds a CD38 epitope selected from the group consisting of SUN4B7,
8 HB7, OKT10, IB4, AT1, SAR650984, 38SB19, daratumumab, MOR202 antibodies and any
9 binding fragment thereof.

10 3. The EDC of claim 1, wherein the targeting moiety is a SUN4B7 antibody or
11 binding fragment thereof, or an antibody or binding fragment thereof that binds to the same
12 or substantially similar CD38 epitope as SUNB47.

13 4. The EDC of claim 1, wherein the targeting moiety is an AT1 antibody or
14 binding fragment thereof, or an antibody or binding fragment thereof that binds to the same
15 or substantially similar CD38 epitope as AT1.

16 5. The EDC of any preceding claim, wherein the linker includes at least one
17 nitrogen heteroatom.

18 6. The EDC of claim 5, wherein the at least one nitrogen atom is a tertiary
19 nitrogen atom.

20 7. The EDC of any preceding claim, wherein the linker comprises at least one
21 ethylene glycol moiety.

22 8. The EDC of claim 7, wherein the polyethylene glycol is PEG24 or PEG36.

23 9. The EDC of any preceding claim, wherein the agent is a cardenolide or
24 cardiotonic steroid.

25 10. The EDC of claim 9, wherein the cardiotonic steroid is bufalin, digitoxigenin,
26 scillarenin, or a derivative of any of the foregoing.

11. An extracellular-targeted drug conjugate (EDC) of Formula (I):

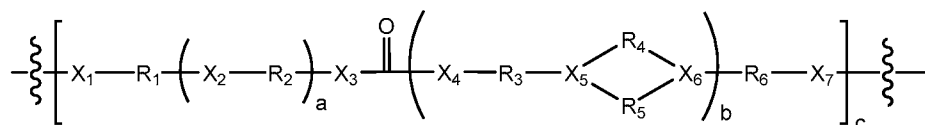


wherein:

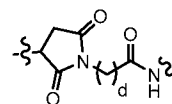
[Targeting Moiety] is an antibody that binds to CD38;

[Agent] is a cardiotonic steroid or a cardenolide; and

[Linker] has a formula of Formula (II):



Formula (II)



wherein: X₁ is optionally present and when present is to 6;

X₂, X₃ and X₄ are each optionally present and when present are individually selected from alkyl, ketone, -C(O)NH-, -C(O)NR₈-, -O-, -S-, -NH-, -NR₉-, wherein R₈ and R₉ are individually selected from alkyl (e.g., methyl), heteroalkyl, aryl, and heteroaryl;

X₅ and X₆ are each individually selected from CR₁₀ and N, wherein R₁₀ is H, branched alkyl, unbranched alkyl, saturated alkyl, or unsaturated alkyl;

X₇ is optionally present and when present is selected from -C(O)-, -OC(O)-, -NHC(O)-, -NR₁₁C(O)-, wherein R₁₁ is H, branched alkyl, unbranched alkyl, saturated alkyl, or unsaturated alkyl;

R₁ is optionally present and when present is selected from branched alkyl, unbranched alkyl, saturated alkyl, or unsaturated alkyl;

each of R₂, R₃ and R₆ is optionally be present and when present each is individually selected from branched alkyl, unbranched alkyl, saturated alkyl, and unsaturated alkyl;

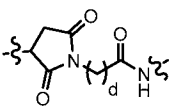
each of R₄ and R₅ is optionally present and when present is individually selected from branched alkyl, unbranched alkyl, saturated alkyl, or unsaturated alkyl, with the proviso that at least one of R₄ and R₅ must be present;

a is 0 to 99;

b is 0 to 99; and

1 c is 0 to 99.

2 12. The EDC of claim 11, wherein

3  and d is 2;

4 X₂ is -O-;

5 X₃ is null;

6 X₄ is -NH-;

7 X₅ and X₆ are each N;

8 R₁, R₂, R₄ and R₅ are each -CH₂CH₂-;

9 X₇ is -NHC(O)-;

10 R₃ and R₆ are each -CH₂CH₂CH₂-;

11 a is 24;

12 b is 1; and

13 c is 1.

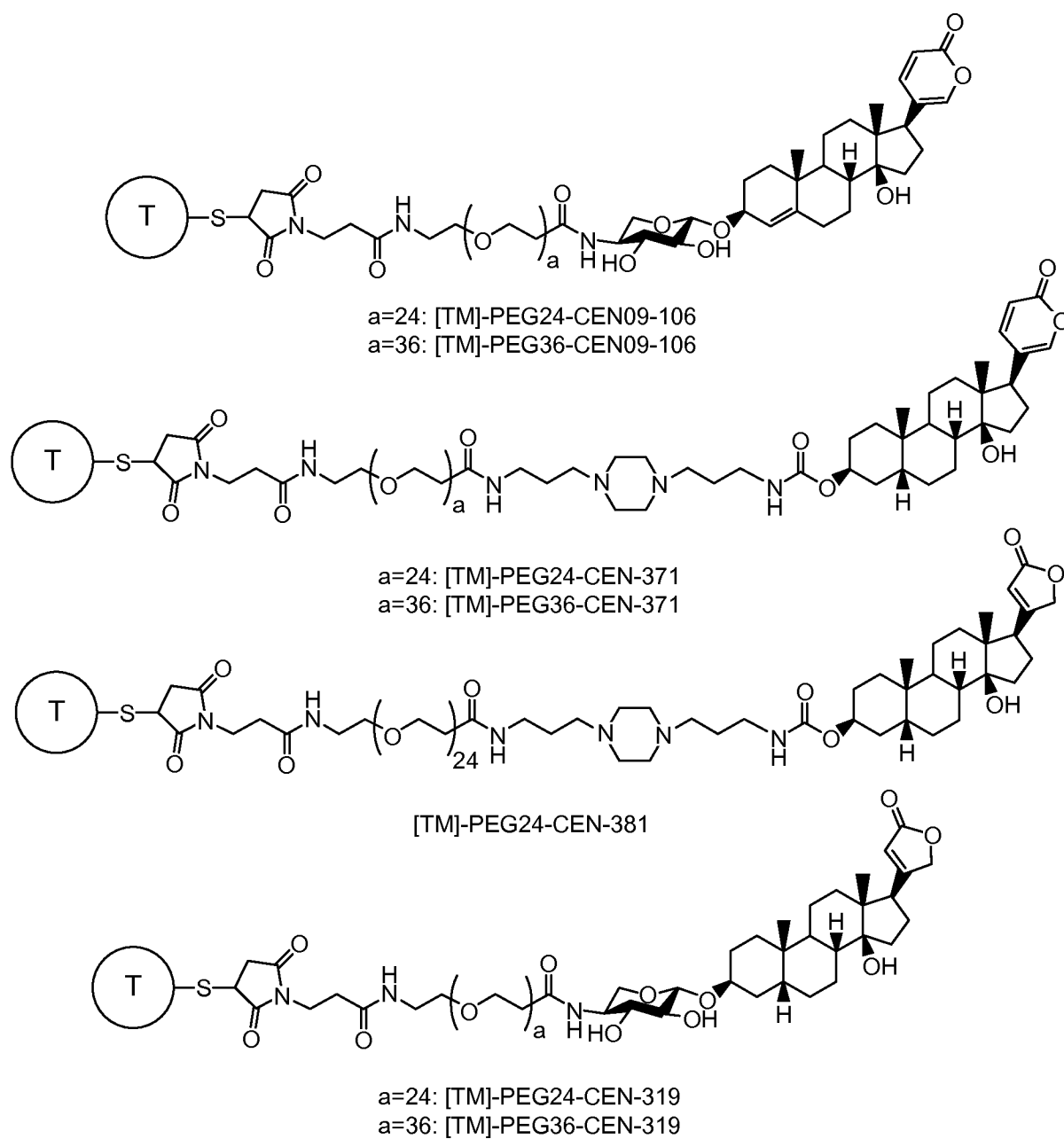
14 13. The EDC of claim 11 or 12, wherein the targeting moiety binds to the same or
15 substantially the same epitope of CD38 as SUN4B7.

16 14. The EDC of any one of claims 11 to 13, wherein the agent is bufalin.

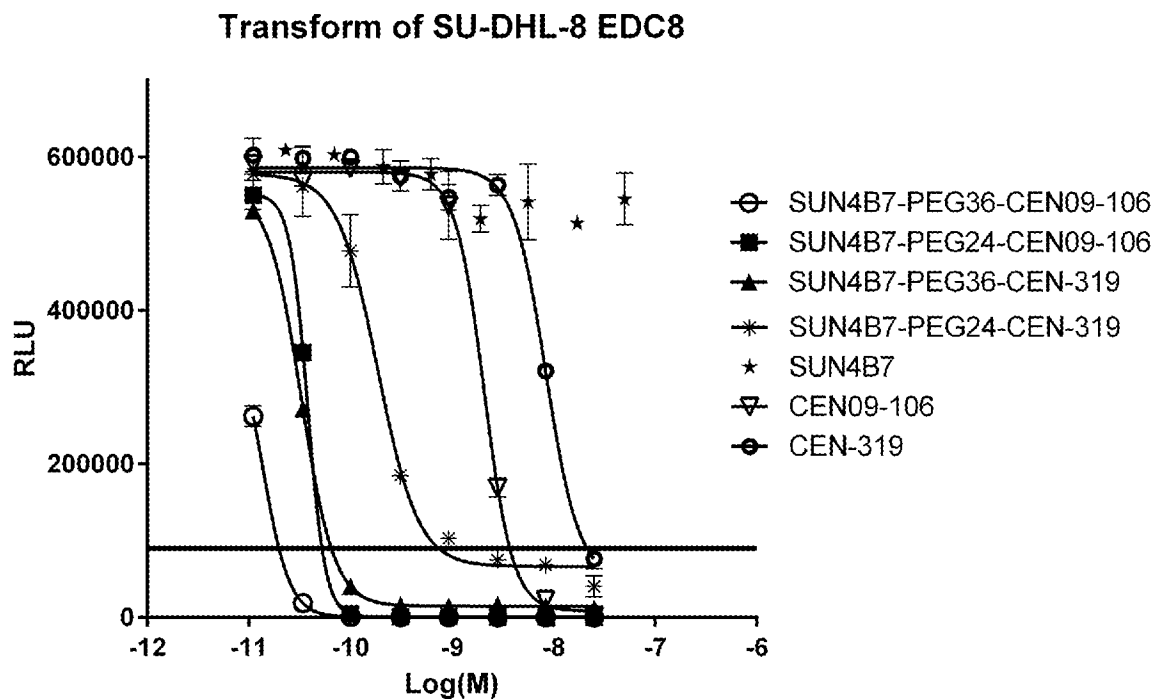
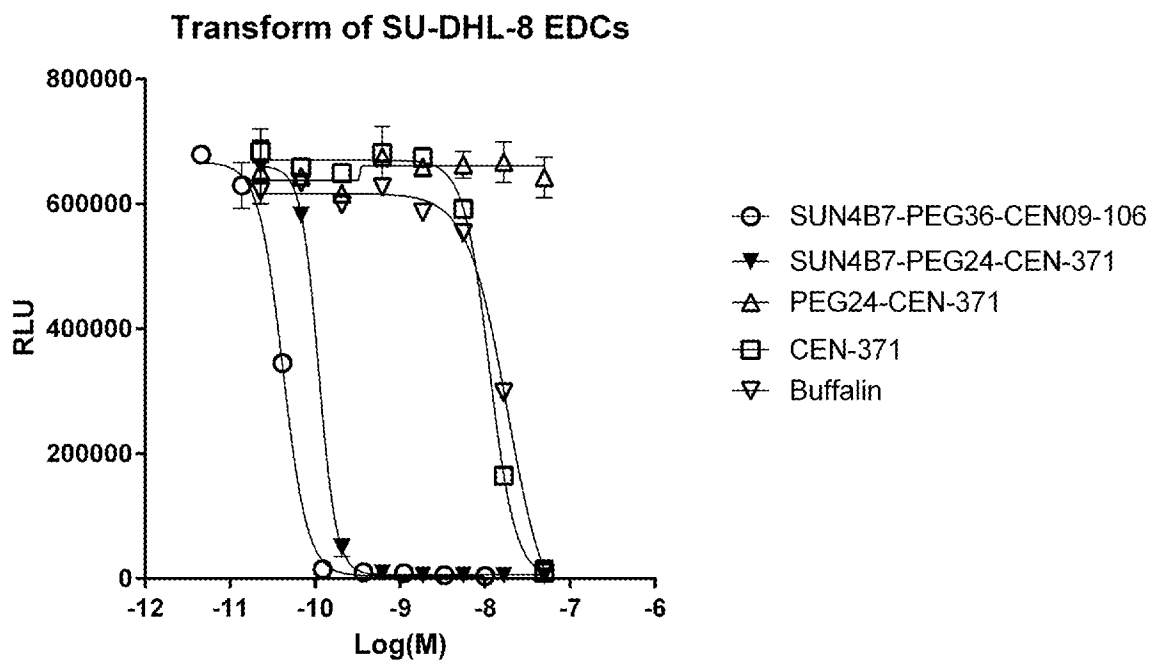
17 15. A method for treating a disease comprising administering to a subject in need
18 of treatment for said disease a therapeutically effective amount of the EDC of any one of
19 claims 1 to 14, wherein the disease is optionally an immune disease such as asthma.

20

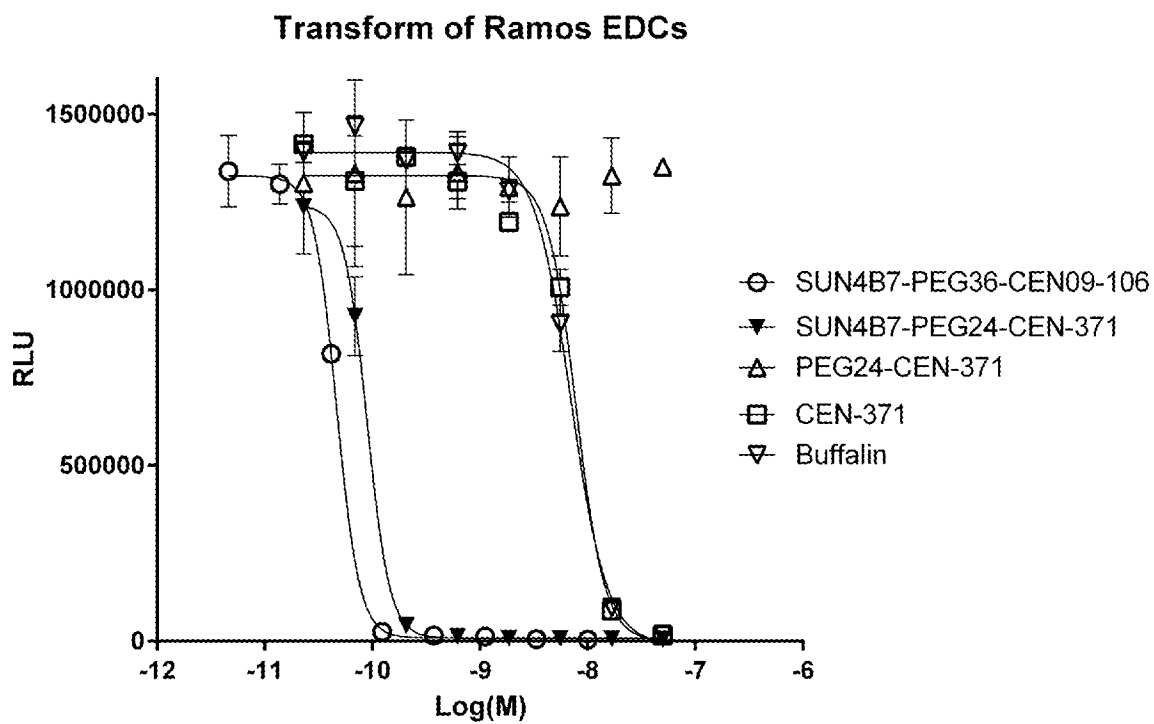
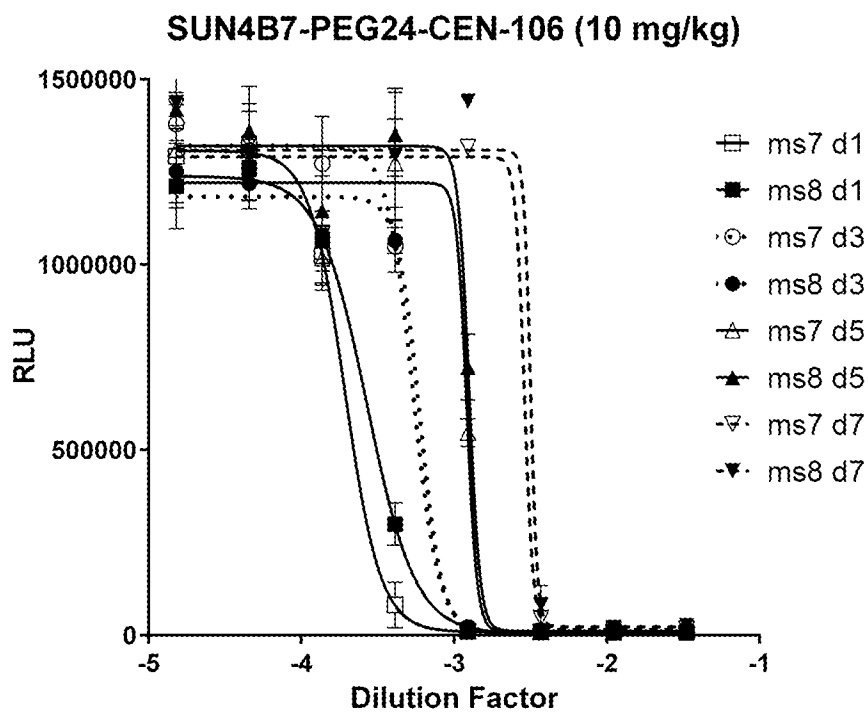
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**FIG. 1**

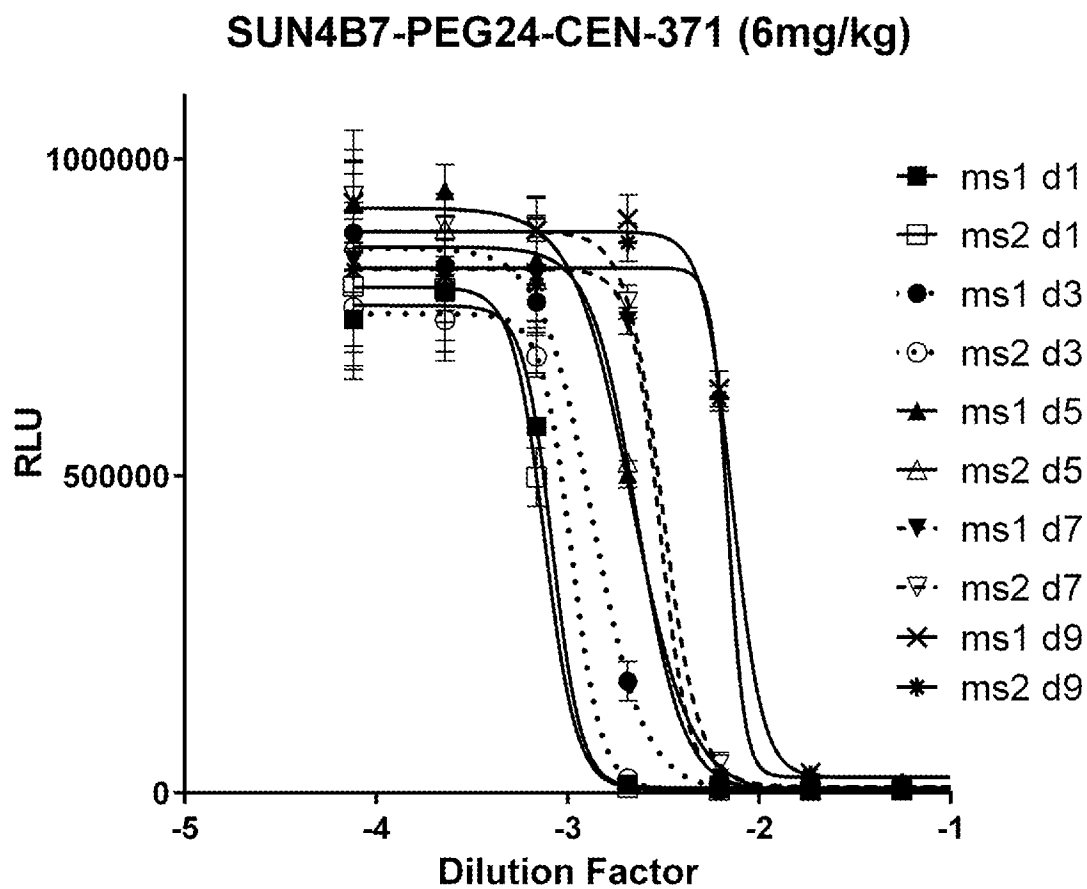
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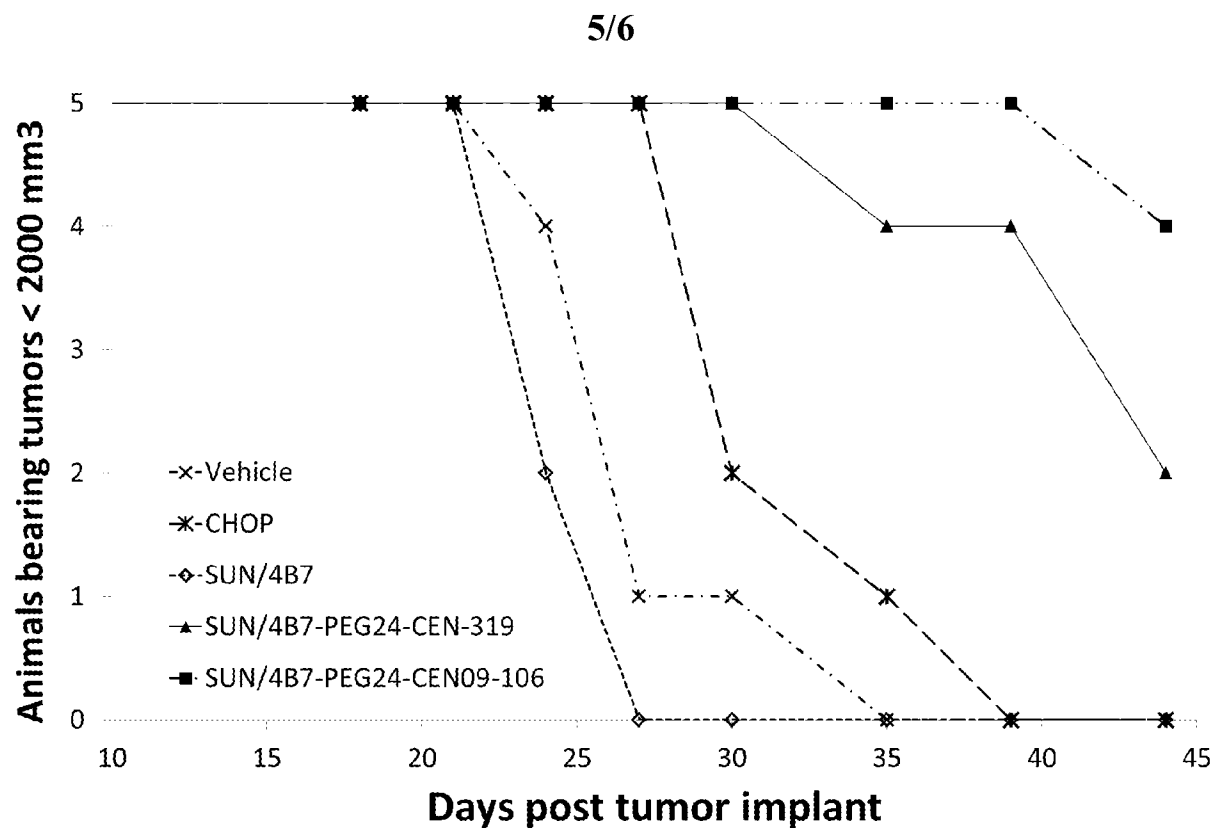
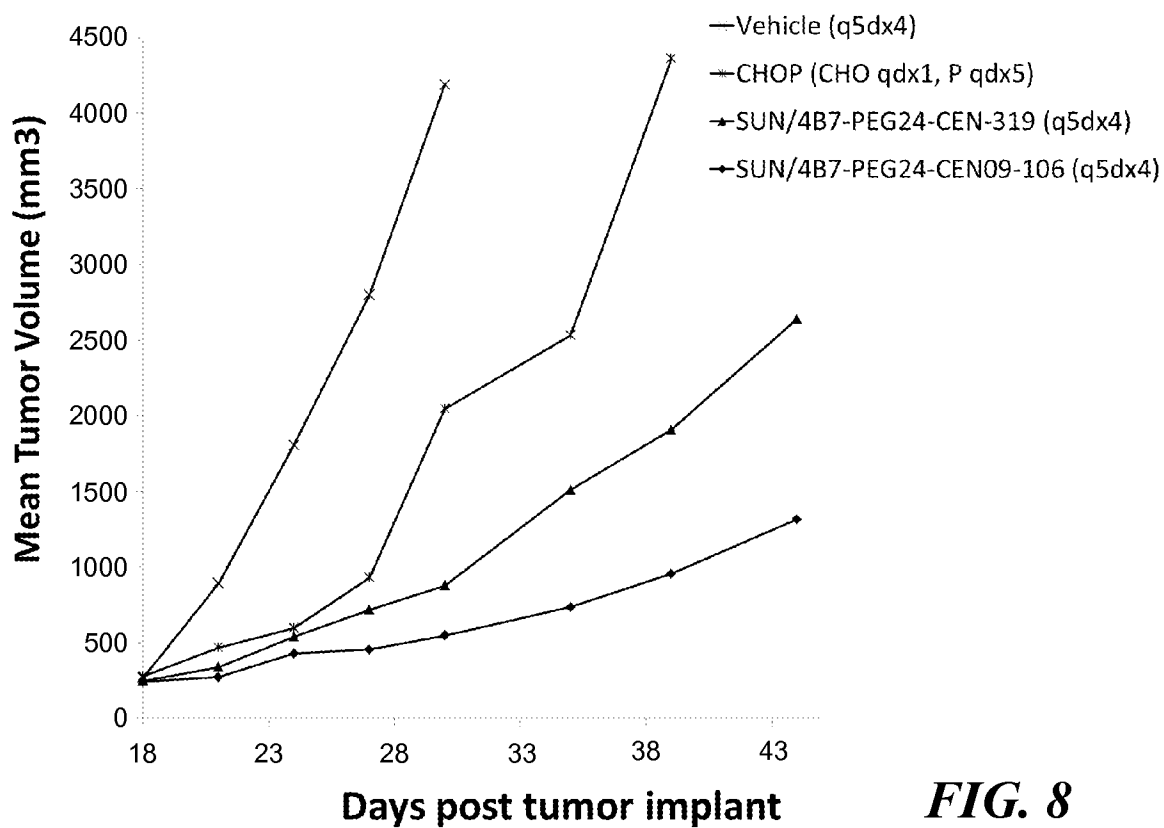
**FIG. 2****FIG. 3**

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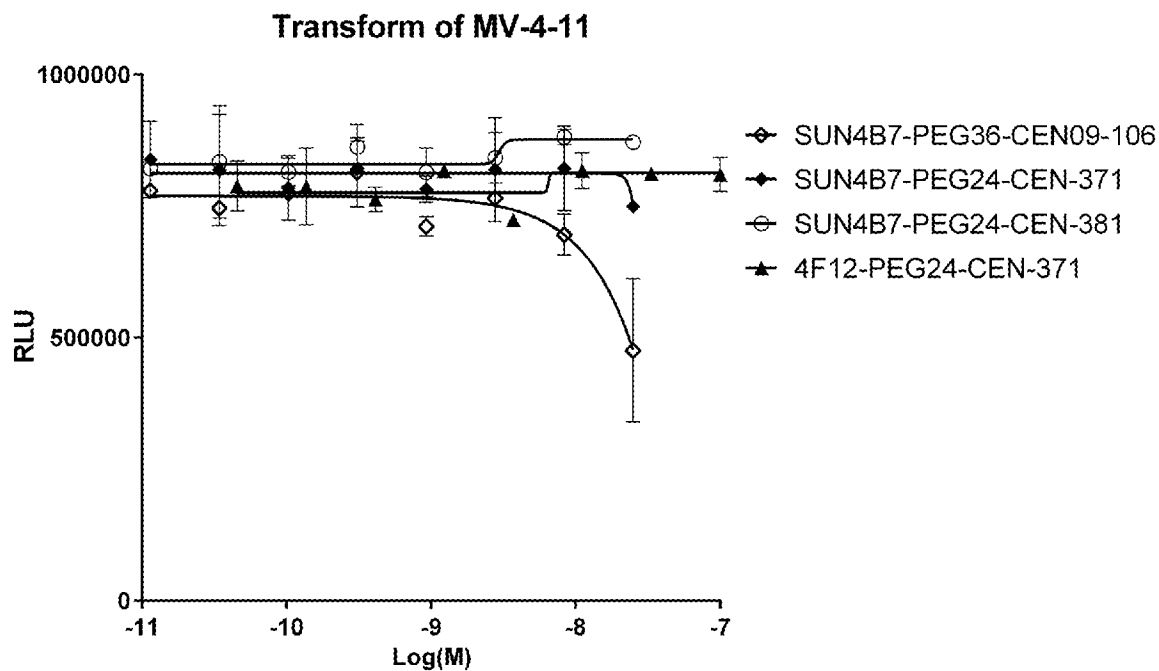
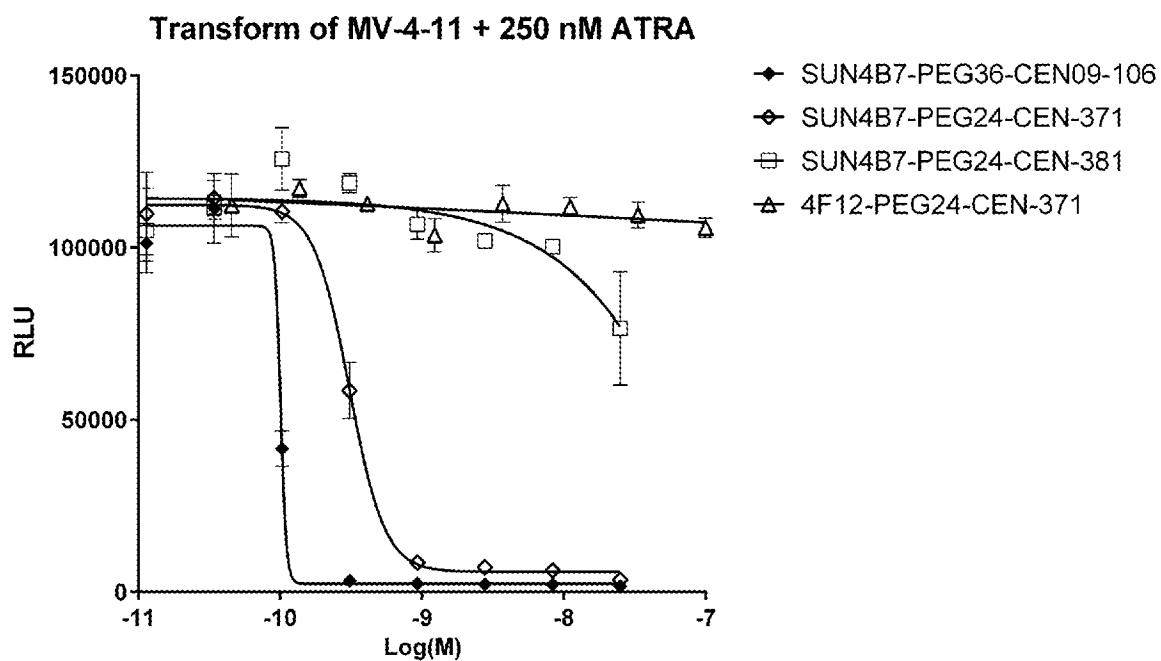
**FIG. 4****FIG. 5**

4/6

**FIG. 6**

**FIG. 7****FIG. 8**

6/6

**FIG. 9****FIG. 10**

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 15/16212

A. CLASSIFICATION OF SUBJECT MATTER

IPC(8) - A61K 39/00, C07K 16/00 (2015.01)

CPC - A61K 38/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC(8)- A61K 39/00, C07K 16/00 (2015.01)

CPC- A61K 38/00

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
USPC- USPC- 424/178.1, 530/391.7 (keyword search, terms limited)

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

PubWEST (USPT, PGPB, EPAB, JPAB), Google Patents/Scholar

Search Terms Used: Anti-CD38 conjugate, Na,K-ATPase, stable linker, non-cleavable linker, tertiary nitrogen, extracellular conjugate, lipid raft, caveolin

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 2012/178173 A1 (Prudent) 27 December 2012 (27.12.2012) pg 2, para 3, pg 3, para 3, pg 4, para 2, pg 24, para 2	1-6
Y	Tian et al. "The Na-K-ATPase and Calcium-Signaling Microdomains" Physiology 23: 205-211, 2008; pg 206, fig. 1, pg 208, col 1, para 2, pg 209, col 1, para 2	1-6
Y	Deaglio et al. "CD38/CD19: a lipid raft - dependent signaling complex in human B cells" Blood, 15 June 2007, 109:5390-5398; abstract, Fig 1B, 2A, legend	1-6
Y	US 2009/0285780 A1 (Lee) 19 November 2009 (19.11.2009) abstract, claims 13, 15	5-6
A	Jia et al. "Formation and function of ceramide-enriched membrane platforms with CD38 during M1-receptor stimulation in bovine coronary arterial myocytes" Am J Physiol Heart Circ Physiol 295: H17437H1752, 2008; abstract	1

☐ Further documents are listed in the continuation of Box C.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

30 June 2015 (30.06.2015)

Date of mailing of the international search report

24 JUL 2015

Name and mailing address of the ISA/US

Mail Stop PCT, Attn: ISA/US, Commissioner for Patents
P.O. Box 1450, Alexandria, Virginia 22313-1450

Facsimile No. 571-273-8300

Authorized officer:

Lee W. Young

PCT Helpdesk: 571-272-4300

PCT OSP: 571-272-7774

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 15/16212

Box No. I Nucleotide and/or amino acid sequence(s) (Continuation of item 1.c of the first sheet)

1. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international search was carried out on the basis of a sequence listing:

- a. ☒ forming part of the international application as filed:
☒ in the form of an Annex C/ST.25 text file.
☒ on paper or in the form of an image file.
- b. ☐ furnished together with the international application under PCT Rule 13ter.1(a) for the purposes of international search only in the form of an Annex C/ST.25 text file.
- c. ☐ furnished subsequent to the international filing date for the purposes of international search only:
☐ in the form of an Annex C/ST.25 text file (Rule 13ter.1(a)).
☐ on paper or in the form of an image file (Rule 13ter.1(b) and Administrative Instructions, Section 713).
2. ☐ In addition, in the case that more than one version or copy of a sequence listing has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that forming part of the application as filed or does not go beyond the application as filed, as appropriate, were furnished.

3. Additional comments:

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 15/16212

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☒ Claims Nos.: 7-10, 14, 15
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:
This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.

Group I: Claims 1-6, directed to an extracellular-targeted drug conjugate (EDC) comprising a targeting moiety linked by a stable or non-cleavable linker to an agent, wherein the targeting moiety binds to CD38, wherein the agent binds to or modifies the activity of a Na,K-ATPase.

Group II: claim 11-13, drawn to an extracellular-targeted drug conjugate (EDC) of Formula I, [TARGETING MOIETY]-[LINKER]-[AGENT] wherein [Linker] has formula II.

- Please see extra sheet for continuation -

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☒ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
1-6

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 15/16212

Continuation of:

Box NO III. Observations where unity of invention is lacking

The inventions listed as Groups I and II do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons:

Special Technical Features

Group I includes the special technical feature of a stable or non-cleavable linker, not required by Group II.

Group II includes the special technical feature of a [Linker] of formula II, not required by Group I.

Common Technical Features

Groups I and II share the technical feature of an extracellular-targeted drug conjugate (EDC) comprising a targeting moiety linked via a linker to an agent, wherein the targeting moiety binds to CD38, wherein the agent binds to or modifies the activity of a Na,K-ATPase.

However, these shared technical feature do not represent a contribution over prior art in view of WO 2012/178173 A1 (Prudent), the article entitled "The Na-K-ATPase and Calcium-Signaling Microdomains" by Tian et al. (hereinafter 'Tian') (Physiology 23: 205-211, 2008) and the article entitled "Formation and function of ceramide-enriched membrane platforms with CD38 during M1-receptor stimulation in bovine coronary arterial myocytes" to Jia et al. (hereinafter 'Jia') (Am J Physiol Heart Circ Physiol 295: H1743?H1752, 2008).

Prudent teaches an extracellular-targeted drug conjugate (EDC) comprising a targeting moiety linked via a linker to an agent, wherein the targeting moiety binds to an extracellular target that is not a Na,K-ATPase, wherein the agent binds to or modifies the activity of a Na,K-ATPase (pg 2, para 3). Prudent further teaches that the targeting moiety's target is distinct from the target of the therapeutic (or diagnostic) agent, but the two targets exist within close proximity such that the targeting moiety and agent act in concert or even synergistically with one another. Thus, the EDC of the invention is generally only therapeutically effective when both the targeting moiety's and agent's targets are in close proximity to one another on the cell, tissue, or organ to which the therapy is targeted (pg 3, para 3). In one specific embodiment, Prudent listed caveolin as the target for the targeting moiety (pg 24, para 2). Tian teaches that caveolin is a structural protein of caveolae and plays a role in targeting the Na,K-ATPase into caveolae (pg 209, col 1, para 2) wherein Na,K-ATPase represents a highly abundant caveolar membrane protein (pg 208, col 1, para 2, pg 206, Fig.1). Jia teaches that CD38 contains an ADP ribosylcyclase domain and the localization of CD38 in a special lipid raft form contributes to CD38 activation to produce cADPR in response to muscarinic tyoe1 receptor stimulation (abstract)[NOTE, caveolae is one type of lipid raft]. One of ordinary skill in the art would have been motivated to prepare an EDC construct comprising a targeting moiety linked via a linker to an agent, wherein the targeting moiety binds to CD38; and wherein the agent binds to or modifies the activity of a Na,K-ATPase, since Tian, in view of Jia, teaches that CD38 may be brought to the close proximity of Na,K-ATPase, in a lipid raft/caveolae microdomain. Consequently, one of ordinary skill in the art would have substituted the antibody to caveolin with the antibody to CD38, as the targeting moiety in an EDC construct. As said technical feature was known in the art at the time of the invention, this cannot be considered special technical feature that would otherwise unify the groups.

Groups I and II therefore lack unity under PCT Rule 13 because they do not share a same or corresponding special technical feature.