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17 Loyd Close, Abingdon Oxfordshire OX14 1XR (GB).  
LEWIS, David Richard [GB/GB]; Accentus plc, 3rd Floor, 11 Strand, London WC2N 5HR (GB).

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(74) Agent: RATCLIFFE, Susan, Margaret; Coller IP Management, Fugro House, Hethercroft Road, Wallingford Oxfordshire OX10 9RB (GB).

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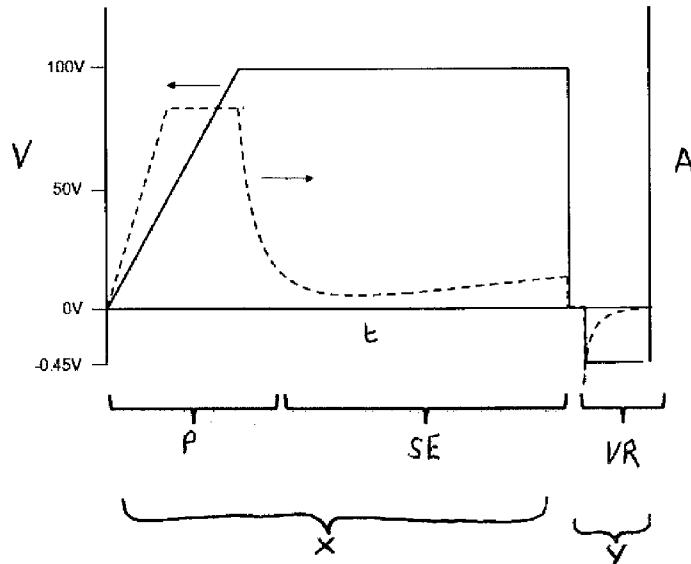
(71) Applicant (for all designated States except US): ACCENTUS PLC [GB/GB]; 3rd Floor, 11 Strand, London WC2N 5HR (GB).

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## (54) Title: METHOD OF MANUFACTURING METAL WITH BIOCIDAL PROPERTIES

Figure 1



WO 2009/044203 A1

(57) Abstract: Metal objects are treated by anodising the metal object in contact with an acidic solution, and then subjecting the anodised metal object to a reversed voltage (compared to the anodising voltage). The thus-treated metal object is then contacted with a biocidal metal-containing solution. Biocidal metal is deposited on the surface of the metal object, resulting in improved biocidal properties.

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## METHOD OF MANUFACTURING METAL WITH BIOCIDAL PROPERTIES

The present invention relates to a method of treatment of a metal to provide it with biocidal properties. In particular but not exclusively, the invention relates to treated metals that can be used to reduce irritation or infection in the body, when the body comes into contact with metal or metal objects placed on or in the body.

10

Metal materials come into contact with the body in numerous situations, for example in surgery, where implants are used, these implants being inserted into the tissue of the body, be this soft or hard tissue. In the case of cancer treatment of the bone for example, cancerous bone tissue is removed, and a prosthetic metal implant is used to replace that part of the bone that has been removed. Implants are also used for partial or full replacement of bones in joints (e.g. hips) and also in other fields such as dentistry and maxillofacial surgery. Implants for the foregoing (and other) uses may be of titanium metal or titanium alloy. Titanium metal and titanium alloy are biocompatible, and relatively strong and relatively light.

25

Further, metal comes into contact with the body in the jewellery industry. Much jewellery is made from metal alloys which are cheaper than using pure metals such as gold. However, in the case of jewellery, metal alloys have the disadvantage that they contain impurities, which may react with moisture in perspiration. Also, pitting of the metal alloy can occur due to the presence of chloride ions in the perspiration and this can cause a seat for bacteria to accumulate which can then result in skin infections if the metal alloy comes into contact with broken skin. Irritation and infection can occur not only

- 2 -

for jewellery that pierces the body but also for jewellery that sits next to the skin if the wearer has sensitive skin.

5 As can be seen, in both the medical and jewellery fields, the use of metal which comes into contact with body tissue runs the risk of introducing infection, or infection occurring. In both areas it has been suggested that metallic silver might be electroplated onto metal.

10 Silver is known to have biocidal properties and the silver controls infection without causing toxic effects to the subject. However such coatings may be undercut due to corrosion from body fluids and/or passivation of the implant surface, so that the coating may detach from

15 the metal, which may lead to increased wear and cause tissue damage from detached particles containing silver.

The present invention seeks to overcome the problems associated with the prior art by providing an anodised metal material having both hardwearing and biocidal properties, which can reduce the risk of infection. The invention can also be used in the prevention of biofilm formation. The invention has applications in a number of areas of technology, including the medical fields, the jewellery industry and in other areas where a metal may come into contact with the body, for example when an individual is using a pen, handling cutlery or other domestic or industrial articles, or wearing spectacles, and this can have further applications to the healthcare industry where the risk of infection needs to be minimized. In effect the invention has applications in all areas where a metal article having been anodised according to a method of the invention, comes into contact with the skin, or body tissue; and in particular the invention is applicable to metal articles formed of metals such as titanium, or their alloys.

- 3 -

According to a first aspect of the invention, there is provided a method treating a metal object so as to form thereon a surface layer which is integral with the metal object, and which includes a biocidal material, the 5 method comprising:

- (a) immersing the metal object, which is to provide a substrate for the surface layer, in an anodising electrolyte containing a solvent, and passivating the metal to form an anodised integral surface layer on the 10 metal object;
- (b) continuing the application of a potential to produce pits through the integral surface and into the substrate;
- (c) producing a hydrous metal oxide by (i) either applying a negative voltage to the metal object that has 15 been anodised during steps (a and b), while in contact with the anodising electrolyte or (ii) contacting the metal object that has been anodized during steps (a and b) and with an electrolyte solution containing a reducible soluble salt of titanium or the substrate metal 20 and applying a negative voltage or (iii) contacting the metal object with a chemical reducing agent after steps (a and b); and
- (d) removing or separating the anodised metal object resulting from step (c) from the anodising electrolyte, 25 the electrolyte solution or chemical reducing agent, and contacting the anodised metal object with a solution containing a biocidal material so as to incorporate said biocidal material into the surface layer.

30 It is envisaged that in step (c) that a phosphate may be produced in addition to or as an alternative to a hydrous metal oxide.

It is preferred that there is a rinsing of the 35 implant to remove anodizing electrolyte before contacting with a solution containing a biocidal material.

- 4 -

After anodization in steps (a) and (b), it is envisaged that the solution contained within the surface pits may contain a peroxy cationic complex of the substrate metal, which can be reduced electrochemically 5 in step (c) (i) to a hydrous metal oxide of limited solubility. It is also envisaged that the electrolyte solution in step (c) (ii) may contain a peroxy cationic complex, preferably a peroxytitanyl, which can be reduced electrochemically within the pits to hydrous titania. 10 Following the anodization of an object comprising for example Titanium, removal of the metal object from the anodizing solution, materials such as peroxytitanyl will be carried in the pit in the surface of the metal object and into the reducing solution where it will be reduced 15 to hydrous titania in step (c) (iii).

In the case of chemical reduction, where again a hydrous metal oxide is produced, the chemical reducing agent may be selected from one or more of the following 20 sodium sulphite, ferrous salts (chloride or sulphate), sodium nitrite, stannous chlorides or sulphates, chromous chlorides or sulphates, vanadous sulphates, hydrazine, borohydrides, or even acetone or formaldehyde under suitable conditions.

25

The use of the electrolyte solution with an added chemical reducing agent, like the voltage reversal, results in hydrous metal oxides being produced and these oxides have a high surface area. The high surface area 30 allows for increased ion exchange with materials such as silver, which can be used as biocidal materials.

35 Preferably, in step (b) the metal object is anodised until pits are formed through said surface layer into the substrate metal and in step (d) the biocidal material is incorporated in said pits. There is a two stage process

- 5 -

with step (a) comprising the initial process of passivation i.e. preparing the surface for pitting by growing a surface film and then (b) pitting itself.

5 The maximum voltage applied during anodization can define the thickness of the passive oxide film. Lower voltages applied subsequently may not affect the film thickness.

10 The voltage during passivation may be applied as a voltage increasing linearly with time to a limiting value or alternatively stepped voltages up to the maximum limit, or down to a lower subsequent value may be applied. It is also envisaged that multiple passivations 15 may be used, where a voltage is applied repeatedly to prepare the metal surface for pitting. These different types of applying voltage all come within the definition of applying a voltage.

20 Before moving on to step (d) there is rinsing of the anodised metal to remove residual electrolyte and then there is a subsequent contact with the solution containing the biocidal metals ions to maximise the incorporation of the biocidal metal ions in the surface 25 layer on the metal object. The rinsing may be by using water or any appropriate solvent.

During the anodising procedure of step (a and b), a positive voltage is applied to the metal. During step (c) 30 of process (i) or (ii), a voltage is applied to the metal in the opposite sense i.e is reversed, this being the negative voltage referred to herein in relation to step (c). It is preferred that the voltage reversal occurs after the end of step (b) that was used to create the 35 pits. By pits, we mean wells or reservoirs that are able to store the biocidal material. As a result of the

- 6 -

anodising and subsequent steps, the metal object has a hard outer surface formed of an anodised layer, grown out from the surface, (which can typically adsorb ~0.3-1.0 µg/cm<sup>2</sup> Ag) and dispersed within the surface of this layer 5 are pits that can receive additional ions of the biocidal material such as silver ions. The matrix contained within the pits receiving the biocidal material may be relatively soft and porous relative to the anodized surface, thus combining the optimal properties of higher 10 silver storage capacity with the material forming the harder anodized surface.

The biocidal material may comprise a biocidal metal and in particular, the biocidal metal is silver. It is 15 envisaged that a colloidal type biocidal material may be used for example a protein colloid adsorbed on the hydrous titania surface that could also release nutrients into a site in the body, which may assist in healing of the body where the implant is positioned.

20

The positive voltage in step (a) may be 15-200 V (volts) but typically is in the range of 30 V to 150 V or even up to 750 V or 2000 V in an electrolyte with a high breakdown potential, such as lithium borate. Voltages 25 that have been considered as useful are for example, 35 V or 100 V and these are particularly useful in the field of implants. After the growth of the passive layer (step a) of desired thickness, hardness and colour, pits may be grown in the surface in a different electrolyte, possibly 30 at a lower potential - for example 2.1 M HPO<sub>4</sub> at 100 V as a separate step, followed by the electroreduction step to form hydrous titania in-situ.

The magnitude of the negative voltage may be 35 maintained or regulated so as to be insufficient to cause electrolysis of the solvent. The magnitude of the

- 7 -

negative voltage affects the absorptive capacity of the surface through the passage of a reductive current for a given period of time such that the charge passed is directly related to the creation of an adsorber matrix

5 and hence the amount of biocidal material (e.g. metal, such as silver) which is subsequently incorporated into the surface of the metal object. If the magnitude of the negative voltage is too low over a given time period, the amount of biocidal metal subsequently incorporated may be

10 insufficient to provide a desired level of biocidal properties but the process can be allowed to go on for a longer period to overcome this. The balance is providing a negative voltage that produces the level of biocidal material required to produce a biocidal/bacteriostatic

15 effect and which includes the material within a time frame that is commercially viable. It is possible to determine the magnitudes of negative voltage which do not cause electrolysis of the electrolyte while enabling desired amounts of biocidal metal to be subsequently

20 incorporated into the surface of the metal object by monitoring the reduction current.

The negative voltage may be applied at least until the current through the metal object has caused the

25 passage of the sufficient charge to generate the desired adsorption capacity. Typically, this current will have fallen to less than 80% of its initial value, more typically to 60% or less of its initial value. However, values for the current of down to 20% may apply if the

30 process is allowed to carry on for a longer period of time, for example up to 2 minutes.

Preferably, the biocidal material (e.g. metal, such as silver) is provided in the solution in the form of

35 ions. The biocidal metal may be or may comprise silver,

- 8 -

although other metals may be used in addition to or as alternatives to silver.

The metal of the metal object may comprise titanium 5 or may comprise niobium, tantalum or zirconium, or an alloy comprising such a metal.

The anodising may be performed employing a liquid electrolyte preferably comprising phosphoric acid that 10 has been dissolved in a diluent to make a more dilute solution to control the solution pH to within the desired range. The electrolyte may comprise water as solvent. Other electrolytes such as sulphuric acid, phosphate salt 15 solutions or acetic acid may be used. Alkaline electrolytes such as sodium hydroxide may be used also. It is preferred that these electrolytes are in a diluted form for example 2.1 M  $H_3PO_4$ , 0.1 M  $H_2SO_4$ .

Preferably, movement or circulation of the 20 electrolyte during anodising relative to the surface of the metal object during the anodising step is suppressed or inhibited to the extent possible in practice, at least during the period when microscopic pits are being formed through the said surface layer (b), although gentle 25 agitation is desirable during the passivation phase (a) when high currents flow - thus minimising the generation of local heating effects. This is beneficial in improving process uniformity over both a single item, but also between an assembly of units being treated 30 simultaneously. For example, during the pit growth period (b), it is preferred that no stirring of the electrolyte should be performed, and/or means (such as baffles or additives, such as gelling agents, to increase the viscosity of the electrolyte) to prevent or reduce 35 electrolyte movement may be employed. It has been found that increased levels of hydrous metal oxide (e.g.

- 9 -

hydrous titanium oxide) are formed when the electrolyte is not moved or circulated relative to the surface of the metal object during the part of the anodising step (b) when microscopic pits are being formed through the 5 anodised surface layer into the substrate metal. It has also been found that higher levels of biocidal metal can be incorporated into sites on the thus anodised surface without giving rise to toxic effects when the resulting metal object is used.

10

The phosphoric acid may have a concentration in a range of from 0.01 M to 5.0 M, typically from 0.1 M to 3.0 M and in particular 2.0 M. An example of the concentration used in the medical field is 0.05 to 5.0 M, 15 e.g. from 1.0 to 3.0 M and in the jewellery industry from 0.01 M to 5.0 M. Preferably, the pH of the acidic electrolyte should be maintained within the range of 0.5<pH<2.0 - more ideally within the range 0.75<pH<1.75.

20 If an alkaline electrolyte is used the pH is greater than 7 and preferably is greater than 9 and more typically the pH is in the range of 10-14. The alkaline electrolyte can be a phosphate salt such as  $\text{Na}_3\text{PO}_4$ .

25 For example, in the case of a medical implant comprising titanium, when the molarity of the phosphoric acid is 2.0 M, the negative voltage may have a magnitude in a range of from -0.2 to -0.7 V with respect to an Ag/AgCl standard reference electrode. This voltage range 30 with respect to an Ag/AgCl standard reference electrode would be selected to avoid electrolysis of the water solvent at less than -0.7 V. A less negative voltage (e.g. -0.1 V) has the effect that less silver loading can be attained in the finished metal, reducing its biocidal 35 properties, due to the passage of a smaller reduction current.

-10-

In instances where other metal substrates or anodising electrolytes are used instead of phosphoric acid, sulphuric acid or acetic acid the magnitude of the negative (i.e. reverse) voltage may need to be adjusted 5 to provide the desired effects due to factors such as changes in pH, or even temperature.

The anodised metal object may be treated (e.g. rinsed) with a solvent (e.g. water) to remove electrolyte 10 and soluble cations prior to performing the said ion exchange.

The geometric surface area of the metal object can be determined by conventional means such as the use of 15 standard measuring devices such as Computer Aided Design (CAD), callipers, micrometers and rulers combined with a geometric model of the item being treated, or more advanced optical methods such as laser scanning. This measurement does not however take into account 20 microscopic surface features or surface roughness of the metal. This microscopic surface area is an important factor in determining and controlling how much charge is passed during the anodisation step e.g. coulomb/cm<sup>2</sup>. The microscopic surface area can be determined, for 25 example, by immersion of the metal object (such as an orthopaedic implant) in an electrolyte, and measuring the double layer capacitance and comparing this to calibrated standards under identical conditions of temperature and electrolyte concentration. The charge or current per 30 microscopic surface area e.g. coulomb/cm<sup>2</sup> or mA/cm<sup>2</sup> is therefore typically used in the control of the anodising process. The ratio of microscopic to geometric area is known as the surface roughness factor and can be used to convert one area to the other. For example, a 10 µg/cm<sup>2</sup> 35 silver loading on a geometric area basis would correspond to a 5 µg/cm<sup>2</sup> silver loading on a microscopic areas basis

-11-

for a roughness factor of 2. The silver loading per geometric area e.g.  $\mu\text{g}/\text{cm}^2$  is typically used for an orthopaedic implant.

5 The anodising may be performed with a maximum current density in a range of from 0.1 to 100  $\text{mA}/\text{cm}^2$ , preferably 0.1 to 50  $\text{mA}/\text{cm}^2$ , or more typically 1 to 10  $\text{mA}/\text{cm}^2$ , e.g. 5  $\text{mA}/\text{cm}^2$  or thereabouts. This determines the time taken for passivation - i.e. the raising of the  
10 applied potential from 0 to the maximum value (e.g. of 100V), when the current falls to a significantly lower value. Alternatively, an applied voltage linearly increasing with time or as voltage steps may be applied to control the passivation period, this in turn will have  
15 an influence on the subsequent pit growth phase (b). As an overview, typically, the initial value of the passive current density used in the pit-growth part of the process is typically in the range of 0.05-0.5  $\text{mA}/\text{cm}^2$  and the value for the current density at the end of this phase  
20 is typically in the range 0.2-2.0  $\text{mA}/\text{cm}^2$

The amount of charge employed for anodising (steps a and b) may be in a range of from 1 to 10 coulombs/ $\text{cm}^2$ , e.g. from 2 to 5 coulomb/ $\text{cm}^2$ . The anodising current may  
25 be passed during a period of from 0.5 to 8 hours, more particularly 1 to 6 hours, e.g. from 2 to 4 hours.

The negative voltage may be applied to the metal object at least until the current through the metal  
30 object falls to a lower value relative to the initial reduction current on its application, e.g. preferably no more than 20% of its initial value (e.g. converging on zero or substantially zero). As an overview, typically, the initial value of the reduction current density used  
35 in the process is in the range of 0.05-2.0  $\text{mA}/\text{cm}^2$  and the value for the current density at the end of the reduction

-12-

voltage phase is 0.01-0.4 mA/ cm<sup>2</sup>. The time over which the negative voltage is applied until the current falls to a suitably low value may be less than 300 s, and may usually be less than 120 s.

5

The present invention also provides methods of treating a metal object as specified in one or more of the claims following this description.

10 According to a further aspect of the invention, there is provided a metal object obtained by the methods described above and hereinafter.

15 The metal object may be in the form of an implant, a medical implement or device or jewellery. In particular, in the case of a medical implement or device, this could include any type of device or tool that comes into contact with the body, for example pace-makers, stents, skin staples, scalpels, trocars, pins for bones or even 20 medical implements such as scalpels or tissue clamps which are used during surgery.

25 The metal object has desirable biocidal properties to suppress and/or control infection without toxic effects on an individual, whether animal or human, that comes into contact with the material.

30 Implants according to the invention can be used for many medical and surgical purposes, including full and partial hip replacements, implants useful in maxillofacial, trauma, orthodontal and orthopaedic applications, arthroscopic devices, dental implants, neurological apparatus and parts (such as staples, nails and pins) used in cardiovascular and general surgery.

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-13-

The jewellery that can be made from the metal object according to the invention can include all types of jewellery. The jewellery can be conventional jewellery such as rings, necklaces and bracelets or the jewellery 5 can be of the type that is held within an aperture in the body, for example jewellery that is applied to the body transcutaneously, i.e. the jewellery pierces the body e.g. earrings, navel rings, rings to be inserted through other fleshy parts of the body such as the lips, cheeks 10 etc.

The metals that may be used to make the implants or jewellery according to the invention may be titanium or a titanium alloy. One standard alloy for this purpose is 15 titanium 90% with 6% aluminium and 4% vanadium (British Standard 7252). Alternatively the metal may comprise niobium, tantalum or zirconium, or alloys of these metals.

20 For an implant or jewellery for piercing the body, it may be desirable that the surface of the material is highly polished before production of the surface layer by anodising. In the case of implants, a highly polished surface reduces any tendency for local calcification when 25 the implant comes into contact with the bone. A polished surface also permits smooth movement of muscle and tissue over the surface with minimal fretting or wear. Suitable polishing may be attained by known techniques, such as (e.g.) mechanical polishing and/or electropolishing.

30 The metal object can initially be polished to provide a very smooth surface. Titanium alloy can be electro-polished using acetic acid, or a mixture of nitric and hydrofluoric acids. Alternatively the material 35 might be subjected to a combination of anodic passivation with mechanical polishing, which may be referred to as

-14-

electrolinishing, this process removing the oxide that protects surface roughness, the surface at that point then being electrochemically re-passivated, so producing a mirror-smooth finish. Various electrolytes are

5 suitable for this purpose, including nitric acid mixed with sulphuric acid, sodium hydroxide, sodium phosphate, acetic acid or sodium hydroxide mixed with sodium nitrate. Techniques such as grit blasting or shot blasting or shot peening may also be used to prepare the

10 surface (e.g. for subsequent application of hydroxyapatite by plasma spraying after biocidal ion loading, to stimulate localised bone attachment). Also, the surface may be spray coated with titanium to provide a rough surface.

15

After polishing or other treatment of the surface of the metal object, surface modification or conversion can take place. A layer of a hydrated metal oxide material which may include some phosphate is formed by anodising

20 in a suitable electrolyte, so that the resulting layer builds out from the surface of the metal. Biocidal metal species, e.g. ions, can then be absorbed or adsorbed into the oxide and/or phosphate matrix in a subsequent step by treating the anodised metal surface with an aqueous salt

25 solution. The biocidal metal species may be in the form of ions, for example silver ions (or  $Cu^{++}$ ), and these ions can then be absorbed/adsorbed by ion exchange into the oxide and/or phosphate matrix, or a mixture thereof.

Cations of palladium, platinum or even ruthenium could be

30 absorbed in a similar way. If desired, deposited silver, platinum or palladium ions could then be converted to metal, or deposited ruthenium ions converted to insoluble  $RuO_2$ , within the oxide or phosphate surface coating, this reaction being performed chemically or electrochemically

35 or by light.

-15-

The invention is further described with reference to the accompanying figures and with reference to an embodiment of the invention which is given by way of a non-limitative example only.

5

Figure 1: shows a diagrammatic representation of the voltages and currents used during surface coating according to an embodiment of the invention;

10 Figure 2 shows the use of current reversal using different types of acids during the passivation process;

Figure 3 shows a diagrammatic sectional view through part of the surface of a metal object in accordance with the  
15 invention.

Figure 4 shows a comparison of loading of silver in pits in three samples, with and without the use of a negative voltage.

20

Figure 1 illustrates typical current and voltage levels used in the anodizing of a titanium metal object. The voltage is shown as an unbroken line and the current as a broken line. The graph shows current (Amps) and  
25 voltage (V) applied over time (t). The voltage (not shown in scale) is increased, for example to 100V and at this stage passivation (shown as P) of the surface of the metal occurs, which results in a material that is integral with the titanium metal substrate. During the  
30 initial application of voltage the potential is normally controlled using a current limiter which could be in the range of 2.5-10 mA/cm<sup>2</sup> but higher levels can be used. During the current limited period the applied potential supplied from the power supply gradually increases as the  
35 thickness of the oxide film grows. The voltage is increased to a predetermined limit, which is selected

-16-

according to the properties required for the surface material of the metal. When the voltage limit is reached, for example to 100 V, the current falls back to a low level, for example less than 1 mA/cm<sup>2</sup> and this drop in 5 current level indicates that passivation has occurred. Once passivation occurs, the voltage is maintained to allow for surface engineering of the passivated metal surface (shown as SE) and pits are formed in the surface. The voltage level and the time selected for applying the 10 voltage can be chosen according to the coverage and dimensions of the pits required for the surface. This passivation and surface engineering of the metal surface is shown as step (x). Once the passivation and the 15 production of pits to a required format is complete, the metal object is subjected to a voltage reversal (shown as VR) which results in an observed negative current. The application of this negative voltage occurs at step (y) as shown. The voltage selected and the length of time the 20 voltage is applied can alter the loading of the metal surface with ionic material that can produce a biocidal effect.

Figure 2 shows traces of the changes in voltage and current levels over time when using a sulphuric (0.1 M) 25 or phosphoric acid (2.1 M) electrolyte solution in which the metal material is placed for anodization. As can be seen, the trace produced over time for each process follows a similar form.

30 As shown in Figure 3, the metal object is used for an implant, but the same process may also be used to produce jewellery or it may also be used to produce medical devices or implements.

35 The implant 30 is first cleaned. The cleaning process may be by ultrasonic cleaning using first acetone

as the liquid phase (or other degreasing solvent), then rinsed with fresh acetone (or other solvent) and followed by de-ionized water or any other suitable rinsing solution. The metal material may then be cleaned in a 1M 5 aqueous solution of sodium hydroxide (or other alkaline cleaner) and then rinsed in de-ionized water. The resulting cleaned metal material is then anodised in contact with an aqueous solution of phosphoric acid. The concentration of the phosphoric acid is preferably in a 10 range of from 0.5-5 M, more typically from 1 to 3 M, e.g. 2 M (or about 20 weight percent of solution). The implant is anodised using a voltage in the range from 15 to 150 V, more typically 50 to 150 V e.g. 100 V. The concentration of the anodising solution is 0.01 M to 5 M, 15 typically 0.1 M to 3 M and in particular 2 M and the voltage used is 15-200 V, but typically it is in the range of 30 V to 150 V for example 35 V. Such ranges may also apply to jewellery.

20 The voltage is preferably maintained until a desired growth of pits or pitted regions through the anodised surface layer into the substrate is attained. Preferably, the current density through the surface during anodising is monitored. A suitable current density limit during the 25 initial film growth period is typically about 5 mA/cm<sup>2</sup>, the voltage rising to a maximum constant value to produce a well anodised surface on the implant. The potential may be applied in a single step to its maximum value or it may be applied in steps, for example from 30 V to 80 V to 30 100 V. Alternatively, the potential may be applied increasing linearly to its maximum value at a controlled rate of 0.1-10 V/s, preferably 0.5-5 V/s, ideally 1-2 V/s. The desired degree of anodising is usually obtained after a charge of from 2 to 5 coulombs/cm<sup>2</sup> of surface area 35 of the implant has been passed. Preferably, the anodising process is carried out over a period of from 1 to 6

-18-

hours, e.g. from 2 to 4 hours. A suitable charge would be about 3.5 coulombs/cm<sup>2</sup>.

The surface of the thus-anodised implant based on 5 titanium comprises a hard layer 34 comprising a titanium oxide, and pits or pitted regions 36. The pits and/or pitted regions 36 are believed to contain titanium oxide and might also contain a soluble titanium compound. The pits typically have depths of up to 2 to 3 µm penetrating 10 through the passive layer of 0.14 µm (at 100 V) into the substrate and have diameters of up to 5 µm. The pits may occupy some 5 to 20% of the surface area, though preferably below 10%. However, depending on the voltage applied and the length of time of treatment, there may be 15 a range of depths and diameters for the pits, for example the depths may range from 1 to 5 µm, more typically 1 to 4 µm and the diameters may be anywhere between 0.1 to 20 more typically 1 to 10 µm, or 1 to 5 µm and these ranges can vary across the surface of the implant.

20

After the anodising step described above, a voltage is applied through the anodising solution in the reverse sense compared to the voltage (and therefore the current flow) during the anodising step. That is to say, the 25 implant is given a negative polarity. During treatment with (e.g.) a 2.0 M aqueous phosphoric acid solution, the "reverse-sense" voltage (i.e. reversed relative to voltage (and current flow) during the anodising step) is applied with a voltage in the range of from -0.2 to -0.7 30 V, e.g. -0.3 to -0.6 V, more specifically -0.40 to -0.55 V, and exemplified by about -0.45 V (as measured with respect to a Ag/AgCl standard reference electrode), to ensure that the solvent, water, is not electrolysed, but that a reduction process is able to take place. It is 35 believed that during the period of reversed voltage, certain titanium species are electrochemically reduced

-19-

within the pits to high surface area, low solubility, hydrous titanium oxide species. The latter have a relatively low solubility at the electrolyte pH within the range 0.5-2, and it is believed that, as a result, 5 the pits formed in the substrate metal through the anodised surface layer fill with this high surface area inorganic medium. As the reversed voltage is applied, the current through the implant drops from an initially high value, and eventually falls to zero or substantially 10 zero. It is believed that the fall in current is due to the depletion of the reducible titanium species which results in the formation of the low-solubility hydrous titania species in the pits. Substantially complete reduction to the hydrous titania is typically attained 15 after a cathodic charge in the range of from 0.005 to 0.2 coulomb/cm<sup>2</sup>, e.g. in the range of from 0.01 to 0.05 coulomb/cm<sup>2</sup>. When the reversed current has fallen sufficiently, e.g. to less than 60% and desirably to less than 20% of the peak value, preferably to zero or 20 thereabouts, the reversed potential is stopped. The fall in the reversed current part of the procedure may take from 60 to 180 s. The overall anodising process is satisfactorily effected in a time period in the range 1 to 5 hours, e.g. from 2 to 4 hours, typically 2.5 to 3.5 25 hours, e.g. 3.0 hours or thereabouts.

It is also possible for this potential reversal stage to take place in a solution of anodization electrolyte containing dissolved peroxytitanyl salts 30 synthesised chemically (e.g by dissolving Ti(OH)<sub>4</sub> in an acidic electrolyte solution containing hydrogen peroxide). (An equivalent process can be carried out using an alkaline electrolyte). No reduction reaction will take place at the passivated outer surface of the 35 anodized item due to the semiconductor properties of this film, although the electroactive pits engineered through

-20-

this film are able to permit the electroreduction process locally within the pit. For metal substrates - especially those non-titanium based materials e.g. Nb, Ta, Zr and their alloys - this may be a useful method of 5 introducing the hydrous titania adsorber medium into the surface, prior to subsequent biocide adsorption. The adsorber can be based on the metal from which the implant is to be made, for example, zirconium, but for cost effectiveness, titania is used as a preference.

10

The anodising process forms a hard surface that can have different coloured appearances due to optical interference effects. During the initial steps of anodising, the surface colour varies from gold to purple, 15 blue, through to colourless, green, yellow, orange and finally red/purple. Anodising at 100 V produces a film thickness of about 140 nm. Changing the voltage can alter the extent of anodising and hence the thickness of the hard surface, which in turn influences the colour formed. 20 Different voltages alter the colour produced, for example in 2.0 M phosphoric acid, approximately 20 V, up to 35 V will produce a blue colour on the metal, e.g. an implant or jewellery. Having different coloured articles, not only provides different aesthetic effects but also allows 25 for articles such as implants to be identified, for example, an implant for one purpose or from one manufacturer can be colour coded so that if it has to be removed or replaced, a medical practitioner can identify that implant as being of a certain type and they can then 30 replace it with another implant of the same type. In the case of jewellery, different colours provide different degrees of attractiveness and this is particularly applicable to titanium based jewellery.

35 Once pores/pits are formed in the surface of the metal, surface engineering of the metal surface may also

-21-

be employed to increase the loading of biocidal ions in the pits in the metal. Once the pits are formed, there may be surface engineering during step (b) where a subsequent increased voltage is applied to the metal or 5 its alloy, for example 75 V in the case of titanium, and this application of voltage results in breakdown of the surface in areas where there are defects in the surface or where there are local areas of small pits. The high voltage causes existing pits/defects in the surface to 10 grow by widening in diameter and deepening due to the fact that the walls of the pits remain electroactive. Increasing the voltage again, for example greater than 35 V and up to 75 V results in some degree of passivation of the pit walls such that any subsequent electrolytic 15 activity can be concentrated at the bottom of the pit, which prevents any ionic material that is deposited in the pit from protruding above the anodized surface.

When the anodising steps and reduction step have 20 been completed (and any surface engineering process as discussed above), the surface of the anodised implant is rinsed with de-ionised water to remove phosphoric acid residues and other soluble materials. The thus-cleaned implant is next immersed in a solution comprising the 25 biocidal material, which is silver in this example. The solution is an aqueous solution of silver nitrate having a silver concentration in the range of from 0.001 to 10 M, e.g. 0.01 to 1.0 M, for example, 0.1 M or thereabouts.

30 The treated implant may have a silver content of 0.5 to 40  $\mu\text{g}/\text{cm}^2$  or more typically from 2-20  $\mu\text{g}/\text{cm}^2$ . The silver is present initially mainly in ionic form but may be at least partially converted to atomic clusters of metal dispersed within the hydrous titania adsorption matrix as 35 a result of photo-reduction. Typically,  $\sim 0.3\text{-}1 \mu\text{g}/\text{cm}^2$  is

-22-

adsorbed on the outer passive layer, with the remainder stored within the hydrous titania-filled pits.

Figure 4 shows a graph for 6 samples where a reduced 5 voltage is applied and these are shown as R1, R2 and R5. There are also three samples where no reduced voltage is applied NR3, NR4 and NR6.

Discs of titanium were anodized in 2.1M of  $H_3PO_4$  to 10 an initial voltage of 100V with a current limit of  $5mA/cm^2$  at  $20^\circ C$ . The voltage was then reduced to -0.45V (levels of between -0.05V and -1.0V, more typically -0.1V and - 0.60V can be used. This step was omitted for the "NR" samples. The silver loading process involved placing the 15 metal in 0.1M silver nitrate for 1 hr. The silver loading (SL) is shown as  $\mu g/cm^2$  which relates to the geometric area. As can be seen, the loading of the pits where there is voltage reduction shows a significantly improved level of loading than when no voltage reduction is applied. The 20 increase in loading may be up to a factor of two.

It is thought that during anodization,  $TiO_2^{2+}$  is generated locally as the phosphate salt through titanium dissolution under the anodic conditions in the pits. On 25 subsequent reversal, this will be reduced to  $Ti(OH)_4$  (hydrous titania), which is essentially insoluble in the electrolyte above a pH of around 0.5, and so this material is retained in the pit/pore as a solid. The hydrous titania is an inorganic ion-exchange medium that 30 can become saturated with cations such as silver cations when contacted with silver nitrate,  $AgNO_3$ , solution and this results in an increased level of silver. Hydrous titania is also known to be a catalyst for the photoreduction of silver cations to the metallic species, 35 which may result in the conversion of some of the

adsorbed ionic silver to dispersed metallic silver within the adsorber matrix.

It is thought that during exposure to body fluids, 5 there is a slow leaching of silver species from the anodised layer, so that the growth of microorganisms such as bacteria, yeasts or fungi in the vicinity of the metal object is inhibited. The leaching is thought to be effected by ion exchange of silver on the metal object 10 with sodium in the body fluids that contact the metal object. Other mechanisms such as the oxidation of the metallic silver to ionic species by means of the localised oxygen levels can occur to produce the released silver ions which can go on to kill or suppress the 15 growth of the microorganisms or the biofilm formation.

The method of the invention described hereinabove may be employed for the preparation of a range of metal objects which involve the treatment with an anodising 20 electrolyte. In particular, the invention has applications to metal articles that are formed of titanium or which are titanium alloys, and those of zirconium, niobium, tantalum or their alloys.

25 The silver incorporated in the surface by the method of the invention is at a more consistent concentration than previously attained by known methods and hence the biocidal properties of the novel implants according to the invention are enhanced compared to previous implants. 30 For example, for nine sample implants prepared under identical conditions according to the present invention, a mean silver loading of 9.8  $\mu\text{g Ag/cm}^2$  was obtained. The ratio of standard deviation of values to this mean was only 6%, demonstrating a very narrow spread of silver 35 loading attained by the method of this invention. This high consistency of biocidal metal loading is highly

-24-

desirable for implants. The method according to the present invention imparts a considerable improvement in the consistency of silver loading and a higher silver loading over known methods.

5

It is to be understood that references herein to silver as a biocidal metal also apply to other biocidal metals, such as copper, gold, platinum, palladium or mixtures thereof, either alone or in combination with 10 other biocidal metal(s).

It is also envisaged that a bone promoting material may be coated on the metal implant once the biocidal material has been introduced such as hydroxyapatite.

15

Although individual embodiments of the invention are discussed, it is to be understood that combinations of the individual embodiments fall within the scope of the invention as claimed and described.

CLAIMS

1. A method treating a metal object so as to form thereon a surface layer which is integral with the metal object, and which includes a biocidal material, the method comprising:
  - (a) immersing the metal object, which is to provide a substrate for the surface layer, in an anodising electrolyte containing a solvent, and passivating the metal to form an anodised integral surface layer on the metal object;
  - (b) continuing the application of a potential to produce pits through the integral surface and into the substrate;
  - (c) producing a hydrous metal oxide or phosphate by (i) either applying a negative voltage to the metal object that has been anodised during steps (a and b), while in contact with the anodising electrolyte or (ii) contacting the metal object that has been anodized during steps (a and b) with an electrolyte solution containing a reducible soluble salt of titanium or the substrate metal and applying a negative voltage or (iii) contacting the metal object with a chemical reducing agent after steps (a and b); and
  - (d) removing or separating the anodised metal object resulting from step (c) from the anodising electrolyte, the electrolyte solution or chemical reducing agent, and contacting the anodised metal object with a solution containing a biocidal material so as to incorporate said biocidal material into the surface layer.
2. The method of claim 1, wherein the potential applied in step (b) is either the same or a different potential as that applied during passivation.
3. The method of claim 1 or claim 2, wherein the electrolyte solution used in step (b) is either the same

-26-

anodizing electrolyte as used in step (a) or a different electrolyte.

4. The method of any preceding claim, wherein the  
5 electrolyte solution is a peroxy cationic complex of a metal of Groups IVa, Va and VIa of the Periodic Table.

5. The method of any preceding claim wherein the  
anodising of step (b) is continued until microscopic pits  
10 are formed through the said surface layer into the substrate metal.

6. The method of any preceding claim wherein the magnitude of the negative voltage is maintained or  
15 regulated so as to be insufficient to cause electrolysis of the solvent, but sufficient to reduce an electroactive species in the pits.

7. The method of claim 6 wherein the negative voltage  
20 is applied at least until the current through the metal object has fallen to less than 60% of its initial value.

8. The method of any of claims 1 to 4 wherein the biocidal material comprises a biocidal metal.

25 9. The method of claim 8 wherein the biocidal metal comprises silver.

10. The method of any of claims 1 to 9 wherein the metal  
30 of the metal object comprises titanium, niobium, tantalum, zirconium and/or an alloy.

11. The method of any preceding claim wherein the  
anodising is performed employing an electrolyte  
35 comprising phosphoric acid, sulphuric acid, acetic acid, their salts or an alkaline base.

-27-

12. The method of claim 11 wherein an aqueous solvent is added to the electrolyte.

13. The method of claim 11 or claim 12 wherein the  
5 phosphoric acid has a concentration in a range of from 0.01 to 5 molar.

14. The method of claim 11 or 12 wherein the phosphoric acid has a concentration range of from 1.0 to 3.0 molar.

10

15. The method of any of claims 1 to 14 wherein the negative voltage has a magnitude in a range of from -0.2 to -0.7 volt with respect to a Ag/AgCl reference electrode for a phosphoric acid concentration of  
15 substantially 2.0 molar.

20

16. The method of any of claims 1 to 15 wherein the negative voltage is applied to the metal object at least until the current through the object falls to a value no  
20 higher than 20% of its initial value.

25

17. The method of any of claims 1 to 16 wherein the anodised metal object is treated with a solvent to remove electrolyte and soluble cations prior to performing an ion exchange process.

18. The method of any of claims 1 to 17 wherein the anodising is performed with a current density in a range of from 0.1 to 25 mA/cm<sup>2</sup>.

30

19. The method of any of claims 1 to 17 wherein the anodising is performed at a voltage of 0.1-10V per second.

-28-

20. The method of any of claims 1 to 19 wherein the amount of charge employed for anodising is in a range of from 1 to 10 coulomb/cm<sup>2</sup>.

5 21. A method according to any preceding claim, wherein the positive voltage is selected from the range of 15 to 200 volts.

10 22. The method of any of claims 1 to 21 wherein the anodising is performed in the presence of an electrolyte, and movement and circulation of the electrolyte relative to the surface of the metal object is inhibited or suppressed, at least during the pit growth phase.

15 23. A method of treating a metal object adapted for use in a surgical procedure substantially as described herein and/or substantially as described with reference to the accompanying drawings.

20 24. A metal object produced by a method according to any preceding claim.

25. A medical implant or device produced by a method according to any of claims 1 to 22.

25

26. Jewellery produced by a method according to any of claims 1 to 22.

30

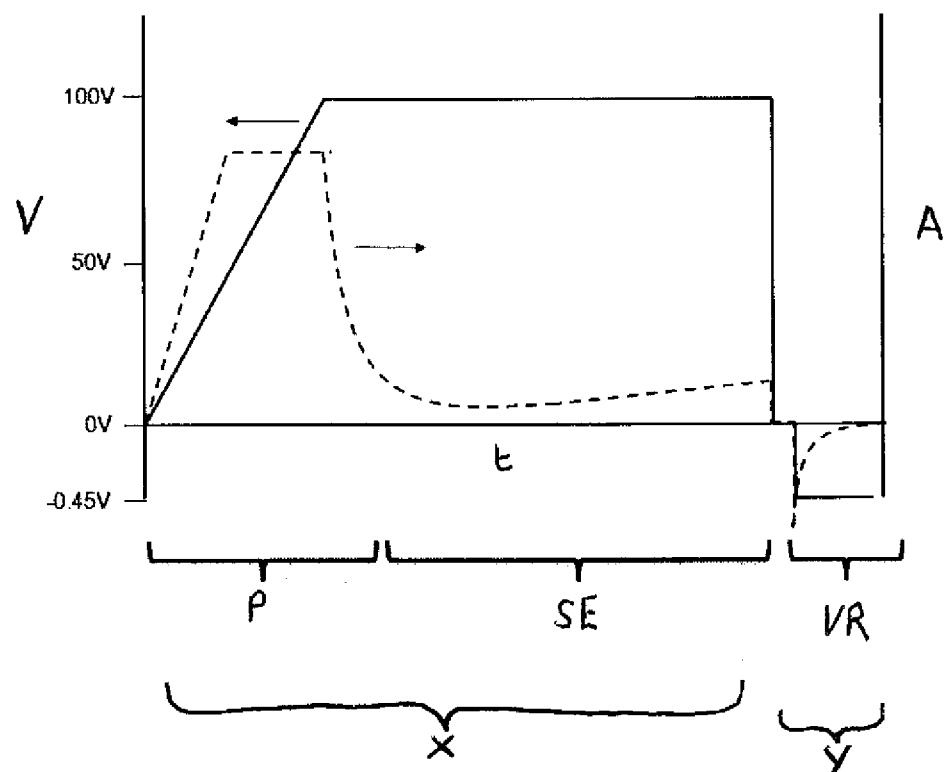


Figure 1

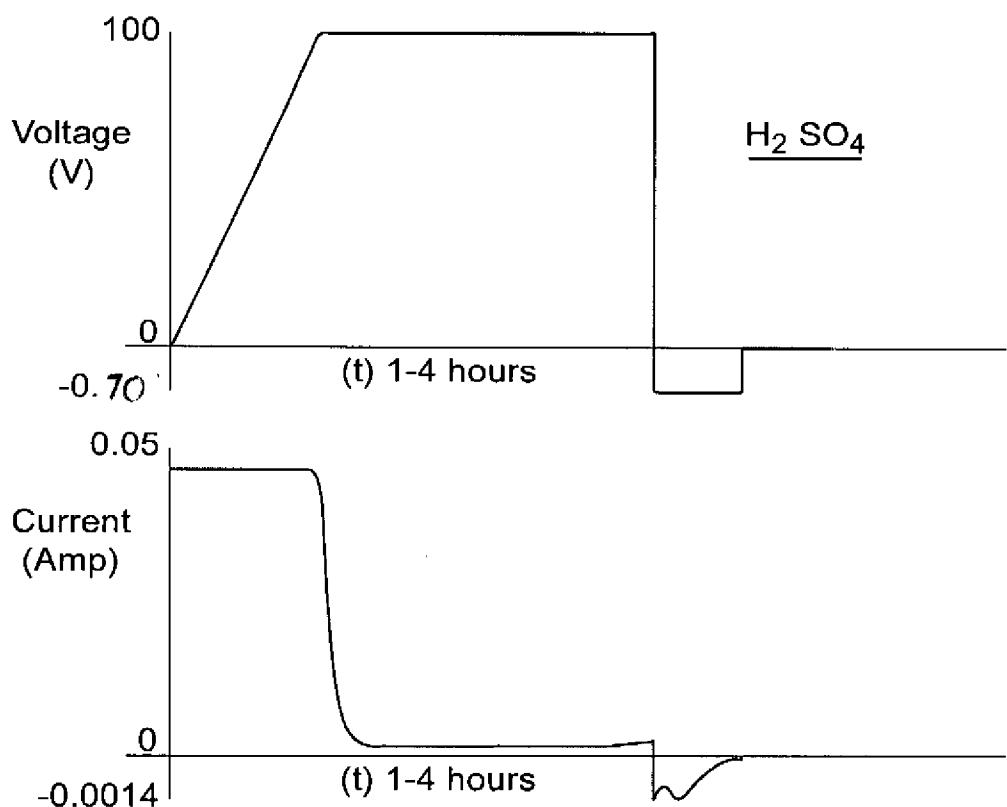
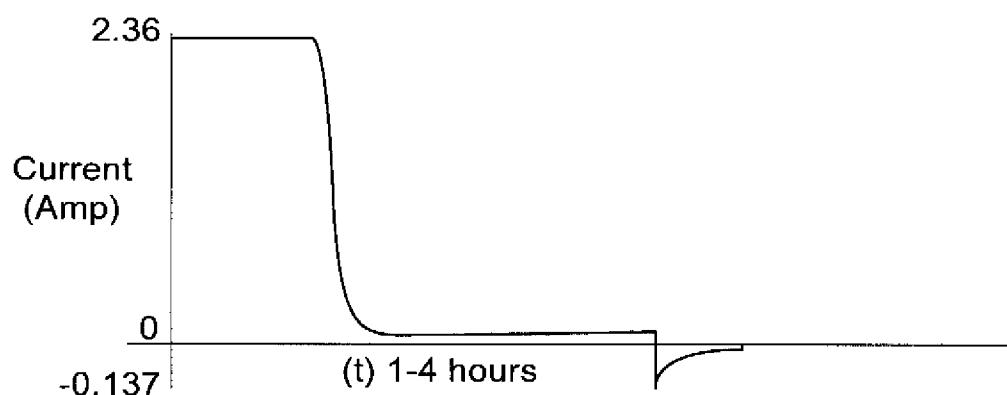
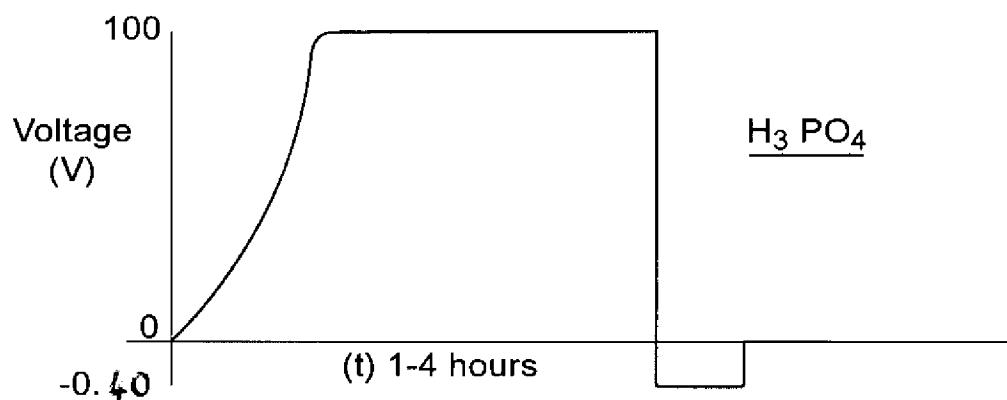


Figure 2.

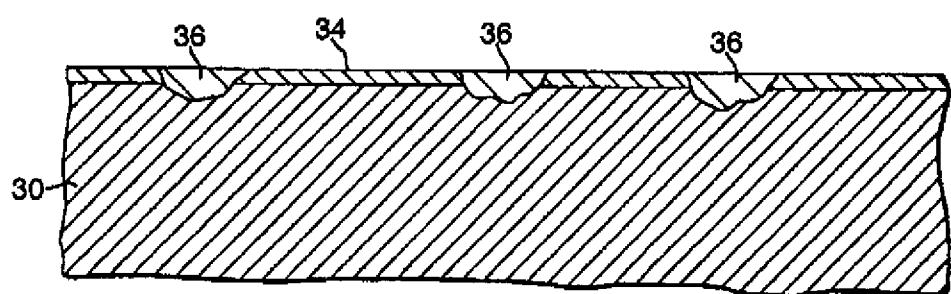


Figure 3

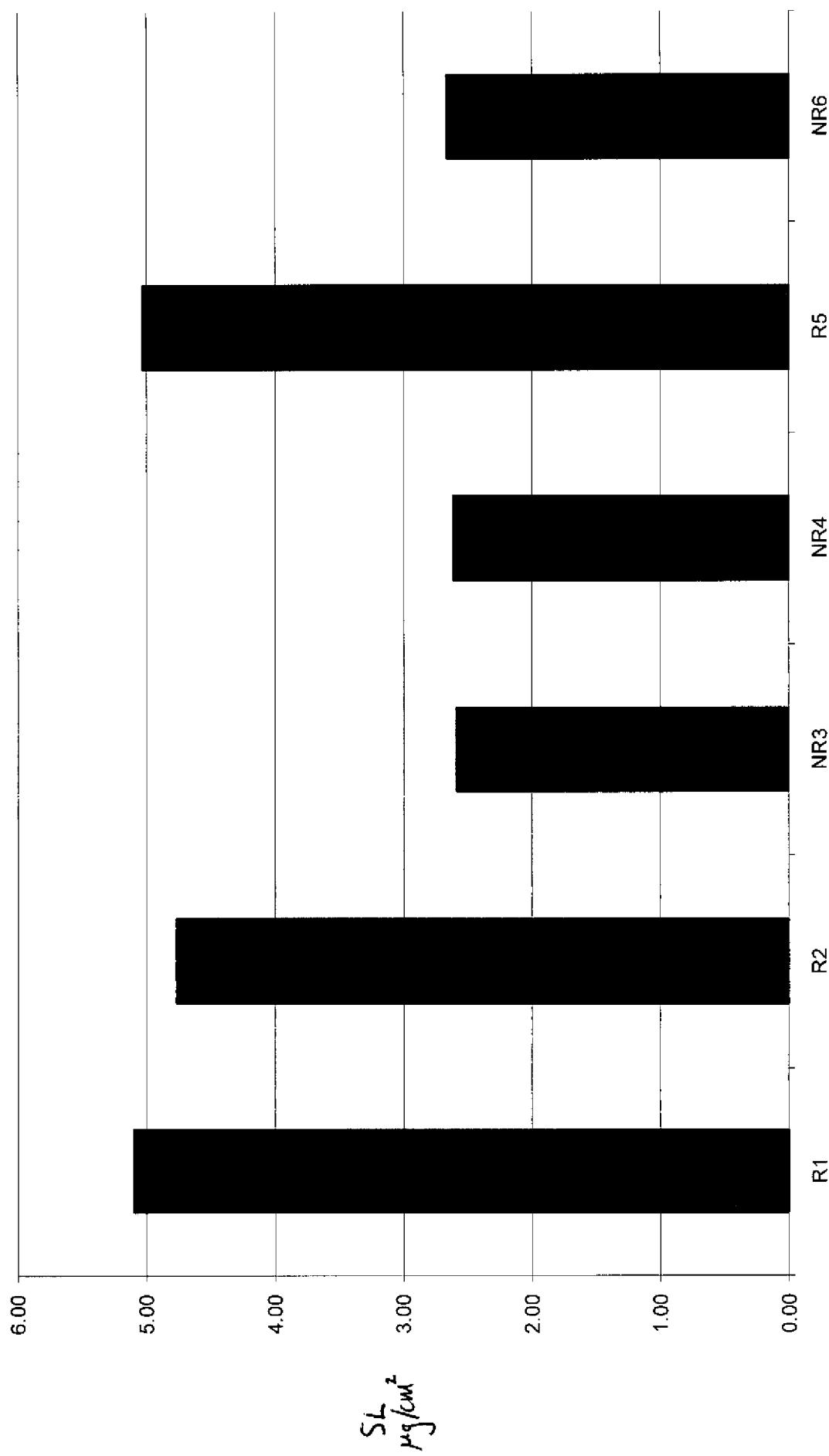


Figure 4

# INTERNATIONAL SEARCH REPORT

International application No

PCT/GB2008/050894

**A. CLASSIFICATION OF SUBJECT MATTER**  
INV. C25D11/26 A61L27/16

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
C25D A61F A61L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 2005/087982 A (ACCENTUS PLC [GB]; PICKFORD MARTIN EDWARD LEE [GB]; LEWIS DAVID RICHARD) 22 September 2005 (2005-09-22) page 3, line 21 – page 4, line 16 page 4, line 34 – page 7, line 2 abstract	1-25
A	-----	26
X	WO 03/094774 A (PLASMA COATINGS LTD [GB]; ANDERSON DUNCAN M [GB]) 20 November 2003 (2003-11-20)	23-25
Y	claims 1-6, 10-18 ----- -----	1-22 -/-

Further documents are listed in the continuation of Box C.

See patent family annex.

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\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

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Date of the actual completion of the international search

9 December 2008

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Name and mailing address of the ISA/

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040,  
Fax: (+31-70) 340-3016

Authorized officer

Haering, Christian

## INTERNATIONAL SEARCH REPORT

International application No
PCT/GB2008/050894

## C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 2006/104644 A (SDGI HOLDINGS INC [US]; ISTEPHANOUS NAIM S [US]; ROULEAU JEFFREY P [US] 5 October 2006 (2006-10-05) abstract claims 1-11 figures 1-4 -----	23-25
Y	US 2007/187253 A1 (GILBERT JEREMY [US] ET AL) 16 August 2007 (2007-08-16) figures 1,3 paragraphs [0003], [0012], [0021], [0024] - [0029] claims 1-10,16-18 -----	1-22

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International application No

PCT/GB2008/050894

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