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(57) **Abrégé/Abstract:**

The disclosure provides a minimal antigen delivery system consists essentially of PEGylated stealth liposomes loaded with an immunogenic human leukocyte antigen (HLA) class restricted peptide and surface modified with a cell targeting peptide which mediates binding and internalization of the liposomes into a target cell. Further disclosed are a HLA class 1 restricted peptide that is measles virus hemagglutinin peptide H250, and a cell-targeting peptide that is H 1299.3 for targeting cancer cells.



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ANTIGEN DELIVERY SYSTEM

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Introduction

[01] Cell-mediated (CM) immunotherapies for cancer treatment are designed to activate the body's adaptive immune responses against a malignant growth (1,2). Generally, the goal of a CM response is to activate a cytotoxic T-cell response against a tumor to eliminate cancer cells. The principle of these treatments is straightforward, yet current work studying the complexity of the tumor micro- environment (2,3) as well as methods that attempt to directly activate T cells against tumor antigens (4-6) demonstrate the difficulty associated generating an immune response against a tumor.

[02] Several CM cancer immunotherapies exist today, including PD-1 inhibitors, injection of live virus or viral particles into tumors, and adoptive T-cell therapies (1,6-8). However, concerns regarding efficacy, safety, and/or cost have limited the use of many of these treatments. To address these concerns, we sought to develop a novel treatment based on developing a fully synthetic, minimal delivery system that facilitates presentation of human leukocyte antigen (HLA) class I restricted immunogenic peptides specifically on cancer cells without using live virus, viral subunits, or biologically derived material.

[03] Based on these requirements, we developed a liposomal based agent consisting of a neutral, stealth liposome that encapsulates a synthetically manufactured immunogenic HLA class I restricted peptide. In addition, the liposome has a targeting peptide on the external surface that both specifically accumulates in cancer cells and facilitates presentation of the immunogenic peptide in HLA class I molecules. Thus, this treatment is designed to generate a secondary CM immune response specifically against the tumor.

Summary of the Invention

[04] The invention provides a nanoparticle delivery system that facilitates presentation of an immunogenic measles antigen specifically in cancer cells. The delivery system does not contain

viral particles, toxins, or biologically derived material. Treatment with this system facilitates activation of a secondary immune response against cancer cells, bypassing the need to identify tumor-associated antigens or educate the immune system through a primary immune response. The delivery system is a three part modular vehicle, requiring only a stealth liposome displaying a cancer-specific targeting peptide on its exterior surface and encapsulating an immunogenic human leukocyte antigen class 1 restricted peptide. This targeted-nanoparticle facilitates presentation of the peptide in major histocompatibility complex class I molecules. Activation is dependent on the targeting peptide, previous antigen exposure, and utilizes a novel autophagy-mediated mechanism to facilitate presentation. Treatment with this liposome results in a significant reduction of tumor growth using an aggressive LLC1 model in vaccinated C57BL/6 mice. We demonstrate proof-of-principle for a novel cell-mediated immunotherapy that is scalable, contains no biologically derived material, and is an efficacious cancer therapy.

[05] The invention provides a minimal antigen delivery system consisting essentially of PEGylated stealth liposomes loaded with an immunogenic human leukocyte antigen (HLA) class 1 restricted peptide and surface-modified with a cell targeting peptide which mediates binding and internalization of the liposomes into a target cell. Hence, the systems consist or consist essentially of (exclude any additional components that would materially affect the basic and novel operability and function of the system) and/or requires, functionally depends upon, or includes no more than the three recited components: the stealth liposome, targeting peptide and HLA class 1 restricted peptide.

[06] The system is modular and applicable to alternative targeting and/or immunogenic peptides. A wide variety of cell targeting peptides are known in the art, and suitable peptides for targeting any of a variety of cells types are readily selected, such as described herein, wherein preferred target cells are pathogenic, such as cancer cells. Similarly, a wide variety of immunogenic peptides are known in the art, and suitable peptides of alternative HLA types and immunity/vaccination dependencies are readily selected, such as described herein. HLA typing is standardized in clinical practice, and alternative immunized (naturally or vaccinated) populations are readily identifiable; for example, we have similarly tested and validated an antigen derived from the smallpox virus (H-2Kd-restricted vaccinia-specific peptide, A5275–83, VACV-A52).

[07] In exemplified embodiments, the HLA class 1 restricted peptide is measles virus hemagglutinin peptide H250, and/or the cell-targeting peptide is a cancer cell targeting peptide that is H1299.3.

[08] The invention also provides methods of making the subject antigen delivery systems, comprising the step(s) of: loading the PEGylated stealth liposomes by forming the liposomes in the presence of the class-1 restricted peptide; and/or surface modifying the PEGylated stealth liposomes loaded with the HLA class restricted peptide by conjugating to the surface the cell targeting peptide.

[09] The invention also provides methods of using the subject antigen delivery systems, comprising the step(s) of: introducing the antigen delivery system into a host in need thereof, and/or detecting a resultant immune response or inhibition of the target cell.

[10] The invention specifically provides all combinations of the recited embodiments, as if each had been laboriously individually set forth.

Detailed Description of Particular Embodiments and Examples Thereof

[11] In this proof-of-concept study, we synthesized a liposome that encapsulates H250 (1), an immunogenic HLA class 1 restricted peptide identified from measles hemagglutinin protein. The liposome is designed to specifically internalize in cancer cells by displaying the targeting peptide H1299.3 on the exterior surface (10). H1299.3 is a 20mer, cancer-specific targeting peptide identified using a novel phage display technique that allows for selection of cancer-specific targeting peptides that preferentially internalize in cancer cells via a defined mechanism of endocytosis. This peptide was dimerized on a lysine core and is fully functional outside the context of the phage particle. The H1299.3 peptide accumulates specifically in a panel of non-small cell lung cancer (NSCLC) cell lines compared to a normal bronchial epithelial cell control cell line via a clathrin-dependent mechanism of endocytosis. In this study, we demonstrate that H1299.3 facilitates functional presentation of an immunogenic antigen in both major histocompatibility complex (MHC) and HLA class I molecules as indicated by CD8+-specific interferon (IFN) γ secretion. In addition, H1299.3 facilitated presentation utilizes an autophagy-dependent mechanism. Finally, treatment with H1299.3 targeted liposomes containing H250 substantially reduces the growth rate of sub-cutaneous LLC1 tumors implanted in vaccinated C57BL/6 mice compared to treatment with vehicle control.

[12] Generating a targeted liposome for viral-antigen presentation specifically in cancer cells. The first goal of this study is to create a synthetic delivery system that is suitable for specific delivery of antigenic cargo into cancer cells. The vehicle needs to have high payload capacity and shield the immunogenic peptide cargo without modification as presentation in HLA class I molecules is restricted by size and position of amino acid residues (11). Therefore, we decided to utilize liposomes. Liposomes are readily manufactured from synthetic material, easily loaded

with synthetic peptide, and amenable to modification with targeting ligands (12). Further, liposomes accumulate passively in tumors based on the enhanced permeability and retention effect, potentially enhancing the specificity of the treatment (13). We manufactured 100-nm stealth liposomes that encapsulate a synthetically manufactured 9mer immunogenic peptide, H250 with a loading efficiency of approximately 60%. DSPE PEG2000 modified with maleimide is incorporated into the lipid formulation to allow for conjugation of a thiol containing targeting ligand to the liposome (12).

[13] The H1299.3 targeting ligand specifically accumulates in cancer and facilitates HLA class I presentation. In order to quantify the ability of the liposomal formulation to facilitate presentation of H250 immunogenic peptide, we first needed to develop a system to determine if H250 is present in the cleft of HLA class I molecules. H250 is an immunogenic peptide identified from sequencing peptides present in HLA A*0201 molecules following measles infection (1). Thus, we identified peripheral blood mononuclear cells (PBMCs) from anonymous donors that were HLA A*02 positive, and determined if these donors were vaccinated against measles by culturing donor PBMCs with free H250 peptide and measuring IFN γ secretion. We successfully identified two donors that were HLA A*02 positive and had previously been vaccinated against measles virus. PBMCs from these two donors, D4 and D9, were used in the subsequent assays to identify and characterize a cancer-specific targeting peptide that can facilitate HLA class I presentation. Similarly, an appropriate cancer cell line was needed to serve as the antigen-presenting cells. For this, we utilized the human NSCLC cell line, H1993, which we determined to be HLA A*02 positive.

[14] Next, we screened known cancer-specific targeting peptides to identify a targeting peptide that can facilitate presentation of H250 from the targeted liposomal formulation in HLA class I on the external surface of the cell. Panels of cancer targeting peptides have been identified by our group and others; therefore, we identified three different cancer-specific targeting peptides that internalize into H1993 that have been previously published: H1299.2, H2009.1, and H1299.3. Each of these peptides specifically internalize in NSCLC cell lines compared to normal bronchial epithelial cells (14). The peptides were conjugated to the surface of the liposome by a thiol-ester linkage resulting from a Michael addition of a single sulfhydryl group on the targeting peptide to the maleimide present on the liposome. A peptide that does not internalize into H1993 cells, H460.1, was utilized as a control (14).

[15] To screen each targeting peptide, H1993 cells were treated with H1299.2, H2009.1, H460.1, or H1299.3 targeted liposomes containing H250 for 3 hours. The cells were then washed to eliminate noninternalized/bound liposomes and then cocultured with donor PBMCs for 72

hours. Cell culture supernatants were harvested and analyzed for IFN γ secretion via enzyme-linked immunosorbent assay (ELISA) as a measure of T-cell activation. Free H250 peptide served as a positive control in this assay. Only the H1299.3-targeted liposomes containing H250 resulted in a significant increase in IFN γ (Quench) and compared to the H1299.3 targeted liposomes containing H250 (H1299.3). Treated H1993 cells were cocultured with donor PBMC as described above. Once again, only H1299.3-targeted liposomes containing H250 resulted in a significant increase in IFN γ secretion. These data provide further support that presentation is dependent on both the H250 antigenic peptide and H1299.3 targeting peptide. These results were duplicated using a second PBMC donor, D9, demonstrating presentation is not patient specific. Depleting CD8 $^{+}$ T cells from the PBMC cultures results in loss of IFN γ secretion in both human samples. These data indicate that H250 is present in HLA class I molecules and the H1299.3-targeted liposomes containing H250 facilitate the activation of a CD8 $^{+}$ memory T-cell response in individuals previously vaccinated against measles.

[16] To further characterize the immune response generated by this treatment, we quantified the levels of secreted TNF α in coculture supernatants. Similar to the above mentioned data, we observed a significant increase in TNF α secretion in H1993 cells treated with H1299.3-targeted liposomes containing H250 relative to H1993 cells treated with blank liposomes in both human donors. Upon CD8 $^{+}$ depletion, a reduction in TNF α secretion is observed following treatment of H1993 cells with H1299.3 targeted liposomes containing H250.

[17] To extend the breadth of these studies, we identified a murine lung cancer cell line derived from C57BL/6 mice, Lewis Lung Carcinoma 1 (LLC1) that internalizes the H1299.3 targeting peptide. We then generated murine CD8 $^{+}$ T cells with TCR that recognizes MHC class I loaded H250 (1) by vaccinating C57BL/6 mice with an extended version of H250 and subsequently harvesting lymphocytes as a source of CD8 $^{+}$ T cells. LLC1 cells were treated with control or H1299.3-targeted liposomes containing H250, washed, and then incubated. Depleting CD8 $^{+}$ T cells from the lymphocyte pool resulted in significant loss of IFN γ secretion similar to the human data. Thus, the H1299.3-targeted liposome system is able to deliver H250 for functional presentation in both MHC and HLA class I molecules.

[18] H1299.3 facilitated HLA class I presentation requires autophagy. To determine the mechanism by which H1299.3 facilitates presentation of H250 in HLA class I molecules, we characterized the subcellular accumulation of the H1299.3 peptide. Previous data indicated the newly identified H1299.3 peptide colocalizes with Lamp-1 (10) whereas the other cancer-specific targeting ligands H1299.2 and H2009.1 accumulate in perinuclear regions (14). Therefore, we reasoned that the subcellular trafficking pattern is crucial to H1299.3 facilitated presentation (14).

Similar to previous results, H1299.3 and Lamp-1 colocalize in both H1993 and LLC1 cell lines as determined by live cell laser scanning confocal microscopy. However, these data do not offer a clear explanation for mechanism of presentation. Lamp1 is a marker of both lysosomes and autolysosomes (15) raising the possibility that autophagy plays a role in this process. To test this hypothesis, the imaging experiments were repeated using LLC1 and H1993 cells that contain a GFP-LC3B construct which is a marker for autophagosomes. Clear colocalization with LC3B puncti is observed in both cell lines indicating H1299.3 accumulates autolysosomes. Importantly, treatment of the corresponding cells with a scrambled sequence version of H1299.3 results in no significant peptide internalization and consequently, no co-localization with either Lamp-1 or LC3B. Together, the data show sequence-dependent colocalization of the H1299.3 peptide in autophagosomes.

[19] If H1299.3 accumulates in autophagic vesicles, perturbing autophagy should result in loss of H250 presentation in MHC class I molecules following treatment with H1299.3-targeted liposomes. LLC1 cells were treated with H1299.3-targeted liposomes containing H250 in the presence of the inhibitors, chloroquine, with mouse lymphocytes in a similar manner to the human coculture assay presented above. Similar to the human data, treatment with H1299.3-targeted liposomes containing H250 resulted in a significant increase in IFN γ secretion compared to controls chlorpromazine, nystatin, and wortmannin. After allowing trafficking of the peptides to occur, the LLC1 cells were fixed and cocultured with lymphocytes as previously described. Treatment with known inhibitors of autophagy, including wortmannin and chloroquine, resulted in significant reduction in IFN γ secretion compared to controls. Chlorpromazine, an inhibitor of clathrin-mediated endocytosis, reduced presentation. This is consistent with our previous results demonstrating that the H1299.3 peptide is internalized by a clathrin-mediated mechanism, and cellular uptake of the peptide is reduced in the presence of chlorpromazine (10). Nystatin, an inhibitor of cholesterol-dependent endocytosis exhibits no effect.

[20] To further validate the role of autophagy in H1299.3 facilitated presentation, LLC1 cells were treated with siRNA targeting autophagy-related protein 7 (ATG7). ATG7-specific knockdown in LLC1 cells by siRNA is quantified via western blot using β -actin as loading control. Treatment with ATG7-specific oligos resulted in ~80% reduction in ATG7 protein levels whereas minimal decrease in ATG7 levels are observed using a control siRNA hairpin (16). Knockdown of ATG7 significantly reduced IFN γ secretion in LLC1 cells incubated with H1299.3 targeting liposomes containing H250 compared to LLC1 cells not treated with siRNA or LLC1 cells treated control siRNA. We repeated ATG7 knockdown assays in H1993 cells. Similar to LLC1 cells, we observed ~80% reduction in ATG7 protein levels in H1993 cells treated with

siRNA oligos targeting ATG7 via western blot. Treatment with control siRNA did not affect ATG7 levels. ATG7 knockdown in H1993 cells resulted a significant decrease in IFN γ secretion following treatment with H1299.3-targeted liposomes containing H250 and coculture with D9 PBMCs compared to controls. Thus, the microscopy and phenotype data imply that H1299.3 facilitates presentation of H250 via an autophagy-dependent mechanism.

[21] H1299.3-targeted liposomes encapsulating H250 reduce tumor burden in vivo. Next we utilized H1299.3-targeted liposomes containing H250 in a murine model to determine efficacy of this platform as a CM immunotherapy. C57BL/6 mice were vaccinated against H250 then LLC1 cells were implanted subcutaneously into the hind flank. LLC1 tumors were grown until palpable ($\sim 150 \text{ mm}^3$) at which point mice were treated six times intravenously (I.V.) with H1299.3-targeted liposomes containing H250 or vehicle that lacked the H250 immunogenic peptide. We observed a significant decrease in LLC1 tumor growth rate in the treated group following the third treatment. After explanting the tumors, we observed >2-fold reduction in tumor weight and volume.

[22] During the tumor efficacy studies, no significant difference in animal weight is observed between groups, and the animals demonstrate no weight loss. Together, these data indicate that the animals suffer no gross toxicity from the treatment. None-the-less, liposomes are cleared via the liver raising the possibility of liver toxicity. As such, we quantified serum levels of AST and ALT in nonvaccinated mice containing subcutaneous LLC1 tumors following three treatments with either H1299.3-targeted liposomes containing H250 or vehicle control. All values are within normal ranges indicting limited liver toxicity. Further, liver, kidney, heart, and lung tissues were harvested, sectioned, and stained with H&E. Sections from these organs identified no gross abnormalities in either the treated or vehicle groups.

[23] Finally, to connect the in vitro data to the in vivo data, tumors were sectioned and stained for CD8+ cells. A 10-fold increase of CD8+ cells is observed in tumors treated with H1299.3-targeted liposomes compared to vehicle-treated tumors consistent with the in vitro data demonstrating a CD8+ T-cell response. To further support that the H1299.3-targeted liposome delivers H250 in vivo, nonvaccinated mice containing subcutaneous LLC1 tumors were treated three times with either H1299.3-targeted liposomes containing H250 or vehicle control. The tumor and liver tissues from these mice were then harvested and single cell suspensions of tumor cells and hepatocytes were generated. These primary cells were directly utilized as antigen-presenting cells (APCs) in the coculture assays outlined above using lymphocytes from vaccinated C57BL/6 mice. Tumor cells treated with H1299.3-targeted liposomes containing H250 induced a significant increase in IFN γ secretion compared to vehicle-treated tumor cells.

Thus, the H1299.3 liposomal formulation is able to deliver H250 to tumors and the H250 peptide is presented in MHC class I molecules on the tumor *in vivo*. By comparison, using the hepatocytes as the APC, there was no difference in IFN γ secretion levels in either group. Thus, even if the liposomes accumulate in the liver, H250 is not presented in MHC class I as measured by the lack of immune response generated against the liver cells. In sum, these data imply treatment with H1299.3-targeted liposomes containing H250 is nontoxic and H1299.3 can facilitate presentation of H250 preferentially in a tumor *in vivo*.

[24] We present a novel cancer immunotherapy based on developing a minimal delivery system to facilitate presentation of HLA class I restricted immunogenic peptide in cancer cells, resulting in a secondary immune response against a tumor. This approach bypasses the need to identify tumor-associated antigens or to generate a primary immune response against the tumor, which are major hurdles in cancer vaccine development. Unlike immunomodulators, the immune response generated by our liposomal delivery approach is antigen specific and does not involve an overall general activation of the immune response. This minimizes problems with autoimmune and off-target effects. This protocol also differs from current virus-based immunotherapies, as viral products or live viruses are not employed, reducing safety concerns associated with these types of therapies. A potential downside with our approach is that it is unlikely to generate inflammatory conditions that occur with viral infections, potentially reducing the potency of the immune response. In part, this problem is mitigated by utilizing a secondary immune response that requires less inflammatory inputs to generate an immune response. Furthermore, evidence exists that the tumor microenvironment exhibit inflammatory conditions in which case our therapy may be using this condition for cytokine inputs (3).

[25] Utilizing a delivery ligand to facilitate HLA class I presentation has previously been achieved using Cholera or Shiga toxins fused to class I restricted immunogenic peptides (17–19). Yet, these toxins accumulate indiscriminately in cells and are not targeted specifically to cancer cells. H1299.3 selectively accumulates in cancer cells and demonstrates limited binding to normal human bronchial epithelial cells thus potentially providing a greater therapeutic treatment window (20,21).

[26] Furthermore, manufacturing Cholera or Shiga toxin requires biological synthesis and concerns remain about immunogenicity of the toxin-carriers. In addition, this work differs from hapten painting or antibody-recruiting strategies in which a targeting agent delivers a hapten to the cell surface, resulting in antibody recruitment. The therapy presented in this manuscript is designed to directly activate T cells by specific presentation of HLA class I restricted antigens in

cancer cells via an internalization mechanism rather than generating antibody-dependent cellular cytotoxicity from hapten immobilization on the cell surface.22,23.

[27] H1299.3 utilizes an autophagy-dependent mechanism to facilitate presentation of immunogenic peptides. Coupled with previous data, H1299.3 appears to internalize via clathrin-mediated endocytosis and traffic to lysosomes or autolysosomes. Autophagy is appreciated to be involved in classical class II presentation but its role in class I antigen presentation is still emerging. Our data here along with recent reports indicate that autophagy can also participate in class I presentation (24,25). We hypothesize that H250 peptide is recycled back into the cytosol along with contents of the autolysosome thereby allowing for transport by TAP into the ER and subsequent presentation (15,26).

[28] Multiple subcellular locations feed into the HLA class I pathway including cytosol, endoplasmic reticulum, and secretory network. Of note, the previously mentioned Cholera toxin B presentation strategy uses the Golgi secretory network (20,27). This implies that ligands which traffic to multiple subcellular location maybe suitable for use in this CM immunotherapy. However, our data indicate that the immunogenic peptide needs to feed into the HLA class I presentation pathway to be effective. Case in point, other NSCLC-targeting peptides that bind to and are internalized into H1993 cells did not facilitate presentation (14).

[29] These peptides accumulate and remain sequestered in a perinuclear compartment; thus intracellular delivery of the antigenic peptide does not necessarily result in presentation of the antigen. This treatment is designed to elicit a secondary immune response that allows for a more rapid and potentially efficacious immune response against the tumor. However, it also necessitates that the therapy is both HLA type and vaccination status dependent (1,28). HLA typing is standardized in clinical practice; however, immunogenic peptides that bind to different HLA types will need to be identified. In addition, although ~95% of the United States is vaccinated against measles for many patients that may have been years ago implying the need to quantitate immune response to measles virus for efficacious treatment (29) Although this treatment is dependent on several variables, it is also modular in nature, and can be applied to alternative targeting and/or immunogenic peptides.

[30] Treatment of vaccinated mice with H1299.3-targeted liposomes containing H250 resulted in a significant reduction in LLC1 subcutaneous tumor growth compared to H1299.3-targeted liposomes lacking H250. This is an aggressive cancer line indicating the strong potential of an efficacious therapy. Increased presence of CD8+ T cells in tumor section and ex vivo activation of CD8+ T cells using APCs from mice treated with H1299.3-targeted liposomes containing H250 implies that this treatment works by generating a cytotoxic T-cell response against the

LLC1 tumor cells in vivo and is consistent with the in vitro murine and human data presented. Other CM therapies that generate Th1-like responses and increase levels of CD8+ infiltrates have demonstrated efficacy in both animal and human trials (4,6,7,30). Thus, the therapy presented here achieves similar beneficial immune activation in a safer and cost-effective manner compared to current CM therapies.

[31] In conclusion, this study presents a novel cancer immunotherapy based on developing the minimal delivery platform to generate pseudoinfected cancer cells. We demonstrate proof of principle that a targeting liposome containing immunogenic peptide can facilitate presentation in HLA class 1 molecules, and that this treatment may be efficacious as a novel cancer immunotherapy.

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[33] The invention encompasses all combinations of recited particular and preferred embodiments. It is understood that the examples and embodiments described herein are for illustrative purposes only and that various modifications or changes in light thereof will be suggested to persons skilled in the art and are to be included within the spirit and purview of this application and scope of the appended claims. All publications, patents, and patent applications cited herein, including citations therein, are hereby incorporated by reference in their entirety for all purposes.

WHAT IS CLAIMED IS:

1. A minimal antigen delivery system consisting essentially of PEGylated stealth liposomes loaded with an immunogenic human leukocyte antigen (HLA) class restricted peptide and surface-modified with a cell targeting peptide which mediates binding and internalization of the liposomes into a target cell.
2. The system of claim 1, wherein the HLA class 1 restricted peptide is measles virus hemagglutinin peptide H250.
3. The system of claim 1, wherein the cell-targeting peptide is a cancer cell targeting peptide that is H1299.3.
4. The system of claim 1, wherein the HLA class 1 restricted peptide is measles virus hemagglutinin peptide H250, and the cell-targeting peptide is a cancer cell targeting peptide that is H1299.3.
5. A method of making an antigen delivery system of claim 1, comprising the step(s) of:
surface modifying the PEGylated stealth liposomes loaded with the HLA class restricted peptide by conjugating to the surface the cell targeting peptide.
6. A method of making an antigen delivery system of claim 1, comprising the step(s) of:
loading the PEGylated stealth liposomes by forming the liposomes in the presence of the class restricted peptide; and
surface modifying the PEGylated stealth liposomes loaded with the HLA class restricted peptide by conjugating to the surface the cell targeting peptide.
7. A method of using the antigen delivery system of claim 1, comprising the step(s) of:
introducing the antigen delivery system into a host in need thereof.
8. A method of using the antigen delivery system of claim 1, comprising the step(s) of:
introducing the antigen delivery system into a host in need thereof, and
detecting a resultant immune response or inhibition of the target cell.