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(71) Applicant (for all designated States except US): **SMITH & NEPHEW, INC.** [US/US]; 1450 Brooks Road, Memphis, TN 38116 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **JAMES, Anthony** [US/US]; 3605 Millie Drive, Bartlett, TN 38135 (US). **FERRANTE, Joseph** [US/US]; 5883 Peoplechase Drive, Bartlett, TN 38134 (US). **TORNETTA, Paul** [US/US] (US).

(74) Agent: **PRATT, John, S.**; Kilpatrick Stockton LLP, Suite 2800, 1100 Peachtree Street, Atlanta, GA 30309-4530 (US).

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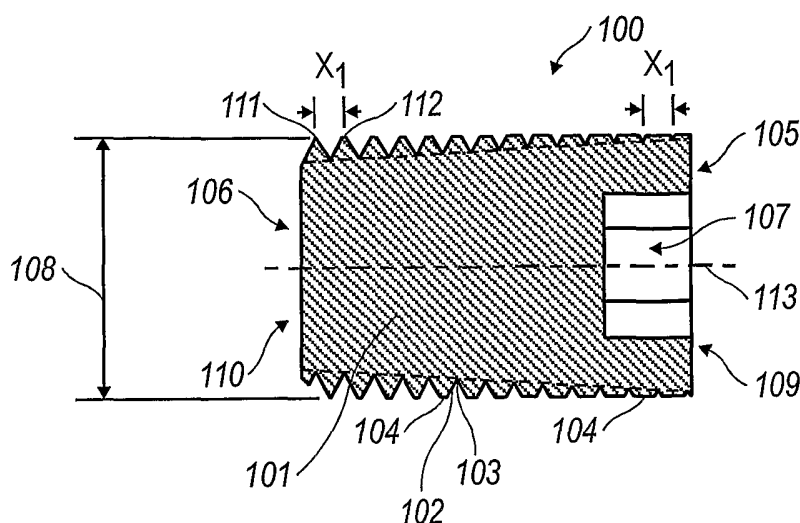
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(54) Title: MINIMAL THICKNESS BONE PLATE LOCKING MECHANISM



(57) Abstract: A locking fastener for use with a bone plate. The fastener has threads on its shank to engage bone and threads on its head to engage the internal threads of the bone plate. The threads in the head may have a constant major diameter and a tapered minor diameter that creates a radial interference fit. The threads in the head may also have a variable pitch that creates an axial interference fit. The head may have a low profile to reduce soft tissue irritation.

MINIMAL THICKNESS BONE PLATE LOCKING MECHANISM

This application claims the benefit of U.S. Provisional Application Serial
5 No. 60/607,630, filed September 7, 2004 and titled "Minimal Thickness Bone
Plate Locking Mechanism," the entire contents of which are hereby
incorporated by reference.

BACKGROUND

10 Fractures are often treated with bone plates and screws which are
used to secure and stabilize the fracture. Locking plates are bone plates that
provide a fixed angle between the plate and a locking screw. They minimize
the loosening of the screw and the plate as a result of dynamic loading or
changes in the bone. Locking plates have threaded holes that engage the
15 threads on the head of a locking screw.

Thin plates such as those used to treat peri-articular fractures present
unique challenges. Peri-articular locking plates are limited in thickness by the
locking mechanism. It is desirable to make peri-articular locking plates thin;
however, when the plate is very thin, such as between 1.016 mm to 1.524 mm
20 (.040 to .060 inches), typically the head of the locking screw protrudes beyond
the outer surface of the plate and causes soft tissue irritation. The thin plates
also reduce the locking strength of the plate because there is limited area for
the typical thread configuration of the head to mate with the internal threads of
the locking plate.

25 Accordingly, it is desirable to provide a minimal thickness bone plate
locking mechanism for use with thin bone plates that allows the overall profile
of the plate to remain thin and thereby reduce soft tissue irritation and yet
provide for an effective fixed angle screw design. Additionally, it is desirable
to have a screw that does not rely on an enlarged head to apply a generally
30 transverse force on the outer surface of the bone plate in order to secure the
screw to the plate; but rather, to have a screw that uses an interference fit
within the opening of the bone plate.

SUMMARY

Embodiments of the present invention include a fastener for use with an orthopedic device. The fastener may be, for example, a locking fastener and the orthopedic device may be, for example, a bone plate. The present invention is not limited to the thickness of the bone plate. The bone plate may be thin, especially for peri-articular applications, for example, between 1.016 mm to 1.524 mm (.040 to .060 inches), and even thinner. The bone plate, or orthopedic device generally, may be thicker, and indeed very thick, without limitation, in accordance with the present invention.

In an embodiment, the fastener is a screw. The fastener may also be a pin, peg, nail, or any other device, by any name that can generally be used to attach to an object or to connect objects. In an embodiment, the fastener has threads on its shank or shaft to engage bone and threads on its head to engage internal threads in the plate. The reference to the "head" of a fastener is intended to refer to the end, or portion of the fastener, that is closer to where force would be applied that imparts motion to the fastener. The "head" may also refer to that portion away from the portion that first enters an object. Some fasteners are commonly referred to as being "headless;" because they do not have a pronounced end portion that distinguishes the end portion from the rest of the fastener. Accordingly, the reference to a "head" of the fastener is not meant to limit the present invention in any way to a fastener with one portion that is distinguishable from the rest of the fastener.

In an embodiment, the head of a locking fastener has threads with a constant major diameter and a tapered minor diameter. The threads in the mating bone plate have a constant minor diameter. This design creates a radial interference fit between the bone plate and the expanding minor diameter of the head. The threads in the head and the plate may have multiple leads, for example, two leads, to minimize the height of the head. The head may also form part of the tapered shank. The fastener may be fixed at an angle with respect to the plate.

Another embodiment of the present invention provides for threads in the head of the fastener to have a variable pitch and the threads in the bone plate to have a constant pitch. This results in axial or in-line interference to

lock the bone plate to the fastener. The locking fastener may have an interrupted thread or a continuous variable pitch thread.

STATEMENT OF THE INVENTION

5 Accordingly, the present invention provides for a fastener for securing an orthopedic device to bone, the fastener including: a shaft having a first portion and a second portion; a central longitudinal axis of the shaft passing through the first portion and the second portion; the first portion having a first end configured for contact by a driving force for moving the fastener; the
10 second portion having a second end for engaging bone; at least one raised surface in the second portion having a crest and a distance extending radially from the central longitudinal axis to the crest; characterized in that the first portion is configured to have an interference fit within an opening in the orthopedic device; and the at least one raised surface in the second portion is
15 configured to pass through the opening in the orthopedic device and to engage the bone.

 Preferably, the fastener is further characterized in that the interference fit is radial.

 More preferably, the fastener is further characterized in that the shank
20 in the first portion is tapered.

 Also preferably, the fastener is further characterized in that the shank in the second portion is tapered.

 Even more preferably, the fastener is further characterized in that the fastener includes a raised surface in the first portion and an adjacent second
25 raised surface in the first portion wherein corresponding points on the adjacent raised surfaces in the first portion define a longitudinal distance in the first portion; and wherein the at least one raised surface in the second portion is adjacent to a second raised surface in the second portion wherein corresponding points on the adjacent raised surfaces in the second portion
30 define a longitudinal distance in the second portion that is generally equal to the longitudinal distance in the first portion.

 Also preferably, the fastener is further characterized in that the interference fit is axial.

More preferably, the fastener is further characterized in that the fastener includes a raised surface in the first portion and an adjacent second raised surface in the first portion wherein corresponding points on the adjacent raised surfaces in the first portion define a longitudinal distance in the first portion; and wherein the at least one raised surface in the second portion is adjacent to a second raised surface in the second portion wherein corresponding points on the adjacent raised surfaces in the second portion define a longitudinal distance in the second portion that is greater than the longitudinal distance in the first portion.

Also preferably, the fastener is further characterized in that the interference fit is axial and radial.

More preferably, the fastener is further characterized in that the first portion and the second portion are separated by a smooth shank portion.

Also preferably, the fastener is further characterized in that it includes a split collet in the first portion.

More preferably, the fastener is further characterized in that the first end is configured to be flush or within the opening in the orthopedic device when seated by the interference fit in the opening.

Also preferably, the fastener is further characterized in that the orthopedic device is a bone plate.

More preferably, the fastener is further characterized in that the orthopedic device is for a peri-articular application.

Also preferably, the fastener is further characterized in that the bone plate has a thickness between 1.016 mm to 1.524 mm (.040 and .060 inches).

Yet even more preferably, the fastener is further characterized in that the fastener is a screw.

The present invention also provides for a method for fracture fixation of bone using an orthopedic device and a fastener, the fastener including: a shaft having a first portion and a second portion; a central longitudinal axis of the shaft passing through the first portion and the second portion; the first portion having a first end configured for contact by a driving force for moving the fastener; the second portion having a second end for engaging bone; and at least one raised surface in the second portion having a crest and a distance extending radially from the central longitudinal axis to the crest; the method

characterized by inserting the fastener through an opening in the orthopedic device, the orthopedic device extending across the fracture, wherein the fastener includes the first portion being configured to have an interference fit within the opening in the orthopedic device; and the at least one raised surface in the second portion being configured to pass through the opening in the orthopedic device and to engage the bone.

Preferably, the method for fracture fixation is further characterized in that the interference fit is radial.

More preferably, the method for fracture fixation is further characterized in that the shaft in the first portion is tapered.

Also preferably, the method for fracture fixation is further characterized in that the interference fit is axial.

More preferably, the method for fracture fixation is further characterized in that the fastener further includes a raised surface in the first portion and an adjacent second raised surface in the first portion wherein corresponding points on the adjacent raised surfaces in the first portion define a longitudinal distance in the first portion; and wherein the at least one raised surface in the second portion is adjacent to a second raised surface in the second portion wherein corresponding points on the adjacent raised surfaces in the second portion define a longitudinal distance in the second portion that is greater than the longitudinal distance in the first portion.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows a cross sectional view of an embodiment of a locking fastener of the present invention.

Figure 2a shows a cross sectional, partial view of a portion of an opening in a bone plate according to an embodiment of the present invention.

Figure 2b shows a fastener and a plate attached to a bone.

Figure 3 shows a cross sectional view of a further embodiment of a locking fastener of the present invention.

Figure 4 shows a cross sectional view of a further embodiment of a locking fastener of the present invention.

Figure 5 shows a cross sectional view of a further embodiment of a locking fastener of the present invention.

Figure 6 shows a cross sectional, partial view of a portion of an opening in a bone plate according to an embodiment of the present invention.

5 Figure 7a shows a cross sectional view of a further embodiment of a locking fastener of the present invention.

Figure 7b shows a side view of the embodiment in Figure 7a.

DETAILED DESCRIPTION OF THE DRAWINGS

10 Embodiments of the present invention may be used to treat bone fractures, more particularly, but not by way of limitation, peri-articular fractures through the use of a thin bone plate and a minimal thickness bone plate locking mechanism. The present invention addresses the constraints that locking mechanisms place on how thin bone plates may be. Further, the
15 invention addresses soft tissue irritation that occurs when the head of a locking fastener projects beyond the outer surface of the bone plate, particularly with respect to thin peri-articular bone plates. Still further, the invention also addresses the limitations in the locking strength that thin plates present. The locking mechanism, such as a bone screw, can be placed at
20 fixed angle and could be used to treat fractures such as multi-fragmentary wedge fractures or B type fractures.

Although the locking fastener of the present invention is described with reference to a bone plate used in peri-articular applications, it should be understood that the fastener may be used with any number of devices at a
25 variety of bone sites, and may be used alone without the use of bone plates or other devices. The fasteners and orthopedic devices of the present invention may be constructed of titanium, stainless steel, or any number of a wide variety of materials possessing mechanical and biological properties suitable for attachment with bone, including absorbable material.

30 Reference will now be made to the figures. It should be noted that the figures are not drawn to scale. Also, a description of features that are common to multiple embodiments will not be repeated for each embodiment.

Figure 1 shows an example of a fastener of the present invention, in this case a locking fastener 100. Locking fastener 100 has a shank or shaft

101. At least one thread 102 is arranged in a generally curved configuration, for example, a helix configuration around the shank 101. The thread 102 extends from a root 103 to a crest 104. The distance between corresponding points on adjacent thread forms is the pitch. The distance between crests 111 and 112 represents the pitch X_1 . The embodiment shown in Figure 1 has a constant pitch. The shank 101 is at least partially threaded for engaging bone and for engaging an orthopedic device, such as a bone plate. The length of the shank 101 can be selected for the particular application. The shank 101 has a first portion 105 and a second portion 106. The first portion 105 may have a first surface 109 that is configured for contact with a tool used to impart motion to the fastener 100. The first surface 109 may be configured, for example, to have a hexagonal cavity 107 that receives a correspondingly shaped tool configuration, such as a hexagonal screwdriver. It should be noted that the tool may be used to impart an axial and/or a rotational force on the fastener 100. In Figure 1, the fastener 100 does not have a distinct transition along the shank 101 to distinguish the first portion 105 from the second portion 106. Further, in this embodiment, the first surface 109 is not raised and is at or below the outer surface of a bone plate when fully inserted, thereby reducing soft tissue irritation. The fastener 100 may be referred to as being "headless."

The second portion 106 may have a second face 110. The second face 110 may be flat, as shown, or may have a conical shape that forms a tip. Further, the second face 110 may be shaped to have a self-tapping and/or self-drilling tip to facilitate insertion into the bone. Shank 101 can also be cannulated for receiving a guide wire. The first portion 105 has thread forms that engage an orthopedic device, such as a bone plate. The second portion has thread forms that engage bone. A thread form is any portion of the thread 102.

The largest diameter of the thread is the major diameter 108. The embodiment in Figure 1 shows a fastener 100 wherein the largest diameter of the thread forms in the first portion 105 is generally equal to the largest diameter of the thread forms in the second portion 106. Although the largest diameter of the first portion 105 is generally equal to the largest diameter in the second portion 106, the cone-like shank 101 increases in diameter in the

direction from the second portion 106 to the first portion 105. Accordingly, the smallest or minor diameter of the thread forms in the first portion 105 is larger than the minor diameter of the thread forms in the second portion 106. Because of the taper of the shank 101 and the constant major diameter 108, the distance between the crest and the root increases in the direction toward the second portion 106. This may provide greater engagement and resistance to pull out in the bone.

Figure 2a is a partial cross sectional view of a bone plate 200 showing an opening 201 and an internal thread 202 in the opening 201. The opening 201 is oriented to allow the fastener 100 to be directed into the bone 204, as the fastener 100 passes from the outside surface 205 of the plate and then through the bone contacting surface 206 of the plate, as shown in Figure 2b. The bone plate may have any number of openings and can have a variety of shapes, sizes, and thicknesses for use in a variety of applications. Note that the drawing is not to scale. Also, the bone plate may have smooth openings, as well as, threaded openings. The smooth openings are generally used to receive non-locking fastener and the threaded openings are generally used to receive locking fasteners. Non locking fasteners are generally used to draw the bone transversely toward the plate or to move the bone laterally through the use of compression plates.

The opening in a bone plate may be cylindrical or conical in shape. The threads in the hole may have one, two or more leads. Multiple lead threads enable multiple threads to engage while maintaining a low profile. The internal thread 202 in the opening 201 has a pitch X_2 that corresponds to the pitch X_1 of the thread 102 of the fastener 100. The internal thread 202 of the opening 201 has a minor diameter 203 that represents the smallest diameter of the thread forms of the internal thread 202. In one embodiment, the minor diameter 203 is constant in the internal thread 202. The internal thread or threads need not be formed directly on the plate, but may be formed on a separate component that lines an opening within a plate.

When fastener 100 is inserted into the opening 201 of bone plate 200 and rotates into position, the fastener 100 is able to rotate until the minor diameter 203 of the bone plate 200 interferes with the tapered shank 101 or the root 103 of the threads, thereby resulting in a radial interference fit, locking

the bone fastener 100 in the bone plate 200. It can be said that the crest of the internal thread 202 contacts a root 103 of the thread 102 or contacts the tapered shank 101 of the fastener 100. It should be noted that the internal thread 202 in the bone plate and/or the opening 201 may be configured such that when the fastener 100 is inserted through the opening 201, the axis 113 along the shank 101 of the fastener 100 may be oriented in a particular direction.

Shown in Figure 3 is another embodiment of the present invention where the fastener 300 has a shank 301 with a first portion 302 and a second portion 303; however, in this embodiment, the diameter of the shank 301 in the second portion 303 is generally constant for most of the length of the second portion. It is in the first portion 302 that the shank 301 increases in diameter. Accordingly, the minor diameter in the thread forms in the first portion 302 is the same or larger than the minor diameter of the thread forms for most of the length in the second portion 303. The major diameter 306, of the thread forms in the first portion 302 is generally equal to the major diameter of the thread forms in the second portion 303.

With reference again to the cutaway section of the bone plate in Figure 2a (not to scale), the fastener 300 is inserted and rotated. The fastener 300 is able to rotate until the minor diameter 203 of the bone plate 200 contacts, for example, diameter 304 of the fastener 300. Pitch X_2 of the internal thread 202 of the bone plate 200 corresponds to the pitch X_3 of the thread 305 of the fastener 300. In this embodiment, the fastener 300 is locked within the bone plate 200 at diameter 304 of the fastener 300 due to a radial interference fit.

With further reference to the bone fastener 300 in Figure 3, other embodiments include a fastener 300 whereby the first portion 302 has multiple leads. A further embodiment includes a split collet 307 of the first portion 302 that allows for compression of the first portion 302 and forces an interference fit between the fastener 300 and plate 200. Another embodiment of the present invention includes a first portion 302 with no raised surfaces or threads on all or parts of the outer surface of the first portion 302. In an embodiment, the radial surface of the first portion 302 is smooth. An interference fit occurs because the split collet 302 allows for compression of the threadless surface of the first portion 302, causing an interference fit in the

orthopedic device or plate 200. The orthopedic device may or may not have internal threads.

The embodiments in Figure 4 and Figure 5 illustrate locking through an axial interference fit. In Figure 4, a fastener 400 has a shank 401 with a thread 402 about the shank 401. The shank 401 has a generally uniform diameter. The shank 401 has a first portion 403 and a second portion 404. The first portion 403 has thread forms of the thread 402 that engage at least one internal thread 601 of an orthopedic device, such as a bone plate 600 as depicted in Figure 6. The shank 401 has a second portion 404 with thread forms of the thread 402 that engage bone. The major diameter 405 of the thread forms in the first portion 403 is generally the same as the major diameter of the thread forms in the second portion 404; however, the pitch of the thread 402 varies. For example, the second portion may have a pitch X_4 which is larger than, for example, pitch $X_4 - .005$ which is in the first portion 403. In an embodiment of the present invention, the pitch gradually decreases by .0254 mm (.001 inches), for example, from X_4 to $X_4 - .001$, $X_4 - .002$, and then to $X_4 - .005$, as depicted in Figure 4.

The fastener 400 is inserted into a threaded hole 602 of the bone plate 600 shown in Figure 6. The major diameter 603 of the internal thread 601 of the bone plate corresponds to the major diameter 405 of the fastener 400. The pitch X_6 of the internal thread 601 of the bone plate 600 may correspond to a pitch X_4 of the second portion 404 of the fastener 400. As the fastener 400 rotates through the hole 602, the internal thread 601 of the bone plate 600 eventually engage and locks in place in the first portion 403 of the fastener 400. Because the pitch X_6 of the internal thread 601 corresponds to pitch X_4 of the fastener 400, as the pitch decreases on the fastener 400, an axial interference occurs to lock the fastener 400 to the bone plate 600. Other embodiments combine both axial and radial interference to achieve locking pursuant to the discussion above. For example, the fastener may have a variable minor diameter as in Figure 1, but also have a variable pitch at the thread forms in the first portion.

Figure 5 is a further embodiment of the present invention. The fastener 500 has a first portion 501 and a second portion 502. The first portion 501 has a thread 506 and the second portion 502 has a thread 503. The first

portion 501 and the second portion 502 are separated by an area 505 on the shank 504 that does not have a thread. The pitch of the thread forms of the thread 503 in the second portion 502 may have a generally constant pitch X_5 . The thread forms of the thread 506 in the first portion 501 has a pitch that is less than the pitch in the second portion 502, for example, $X_5 - .005$. The thread 506 in the first portion 501 is clocked to match the thread 503 in the second portion 502.

Figure 7a is a further embodiment of the present invention. The fastener 700 has a first portion 701 and a second portion 702. The first portion 701 has a thread 703, and the second portion 702 has a thread 704. It should be noted that the first portion 701 and the second portion 702 may each have multiple threads or leads. In this embodiment, the major diameter 705 of the thread 703 in the first portion 701 is larger than major diameter 706 of the thread 704 in the second portion 702. Within the first portion 701, the major diameter 705 stays constant or generally the same. Likewise, within the second portion 702, the major diameter 706 is constant or generally the same. The thread 703 in the first portion 701 is for engaging an orthopedic device, such as, a bone plate. The thread 704 in the second portion 702 is for engaging bone. As detailed above, an interference fit may be created by varying the pitch of the thread 703 in the first portion 701 and/or by varying the minor diameter of the thread 703 in the first portion 701. Figure 7b is a side view of Figure 7a.

It should be understood that thread pitch and the number of leads may vary in accordance with the present invention. For example, because bone plates may be very thin, one embodiment of the present invention requires a minimum of two threads on the portion of the fastener that engages the internal threads of the plate.

Additionally, the interference fit between the fastener and the plate need not be limited to only mating threads but may also encompass threads that cross and do not mate, but still provide interference and locking. Further, the interference fit may involve a smooth shank without threads.

Changes and modifications, additions and deletions may be made to the structures and methods recited above and shown in the drawings without departing from the scope or spirit of the invention and the following claims.

What is claimed is:

1. A fastener for securing an orthopedic device to bone, the fastener including: a shaft having a first portion and a second portion; a central longitudinal axis of the shaft passing through the first portion and the second portion; the first portion having a first end configured for contact by a driving force for moving the fastener; the second portion having a second end for engaging bone; and at least one raised surface in the second portion having a crest and a distance extending radially from the central longitudinal axis to the crest; characterized in that:
 - the first portion is configured to have an interference fit within an opening in the orthopedic device; and
 - the at least one raised surface in the second portion is configured to pass through the opening in the orthopedic device and to engage the bone.
2. The fastener of claim 1, further characterized in that the interference fit is radial.
3. The fastener of claim 2, further characterized in that the shaft in the first portion is tapered.
4. The fastener of claim 3, further characterized in that the shaft in the second portion is tapered.
5. The fastener of claim 2, further characterized in that fastener includes:
 - a raised surface in the first portion and an adjacent second raised surface in the first portion wherein corresponding points on the adjacent raised surfaces in the first portion define a longitudinal distance in the first portion; and
 - wherein the at least one raised surface in the second portion is adjacent to a second raised surface in the second portion wherein corresponding points on the adjacent raised surfaces in the second portion define a longitudinal distance in the second portion that is generally equal to the longitudinal distance in the first portion.

6. The fastener of claim 1, further characterized in that the interference fit is axial.

5 7. The fastener of claim 6, further characterized in that the fastener includes:

a raised surface in the first portion and an adjacent second raised surface in the first portion wherein corresponding points on the adjacent raised surfaces in the first portion define a longitudinal distance in the first
10 portion; and

wherein the at least one raised surface in the second portion is adjacent to a second raised surface in the second portion wherein corresponding points on the adjacent raised surfaces in the second portion define a longitudinal distance in the second portion that is greater than the
15 longitudinal distance in the first portion.

8. The fastener of claim 1, further characterized in that the interference fit is axial and radial.

20 9. The fastener of claim 1, further characterized in that the first portion and the second portion are separated by a smooth shaft portion.

10. The fastener of claim 1, further characterized in that the fastener includes:

25 a split collet in the first portion.

11. The fastener of claim 1, further characterized in that the first end is configured to be flush or within the opening in the orthopedic device when seated by the interference fit in the opening.

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12. The fastener of claim 1, further characterized in that the orthopedic device is a bone plate.

13. The fastener of claim 12, further characterized in that the orthopedic device is for a peri-articular application.
14. The fastener of claim 12, further characterized in that the bone plate
5 has a thickness between 1.016 mm to 1.524 mm (.040 and .060 inches).
15. The fastener of claim 1, further characterized in that the fastener is a screw.
- 10 16. The fastener of claim 1, further characterized in that the first portion has thread forms with an outer diameter that is generally uniform.
17. The fastener of claim 16, further characterized in that the outer
diameter of the thread forms in the first portion is generally equal to an outer
15 diameter of thread forms in the second portion.
18. A method for fracture fixation of bone using an orthopedic device and a fastener, the fastener including: a shaft having a first portion and a second portion; a central longitudinal axis of the shaft passing through the first portion
20 and the second portion; the first portion having a first end configured for contact by a driving force for moving the fastener; the second portion having a second end for engaging bone; and at least one raised surface in the second portion having a crest and a distance extending radially from the central longitudinal axis to the crest; the method characterized by:
- 25 inserting the fastener through an opening in the orthopedic device, the orthopedic device extending across the fracture, wherein the fastener includes:
- the first portion being configured to have an interference fit within the opening in the orthopedic device; and
- 30 the at least one raised surface in the second portion being configured to pass through the opening in the orthopedic device and to engage the bone.

19. The method of claim 18, further characterized in that the interference fit is radial.

20. The method of claim 19, further characterized in that the shaft in the
5 first portion is tapered.

21. The method of claim 18, further characterized in that the interference fit is axial.

10 22. The method of claim 21, further characterized in that the fastener further includes:

a raised surface in the first portion and an adjacent second raised surface in the first portion wherein corresponding points on the adjacent raised surfaces in the first portion define a longitudinal distance in the first
15 portion; and

wherein the at least one raised surface in the second portion is adjacent to a second raised surface in the second portion wherein corresponding points on the adjacent raised surfaces in the second portion define a longitudinal distance in the second portion that is greater than the
20 longitudinal distance in the first portion.

25

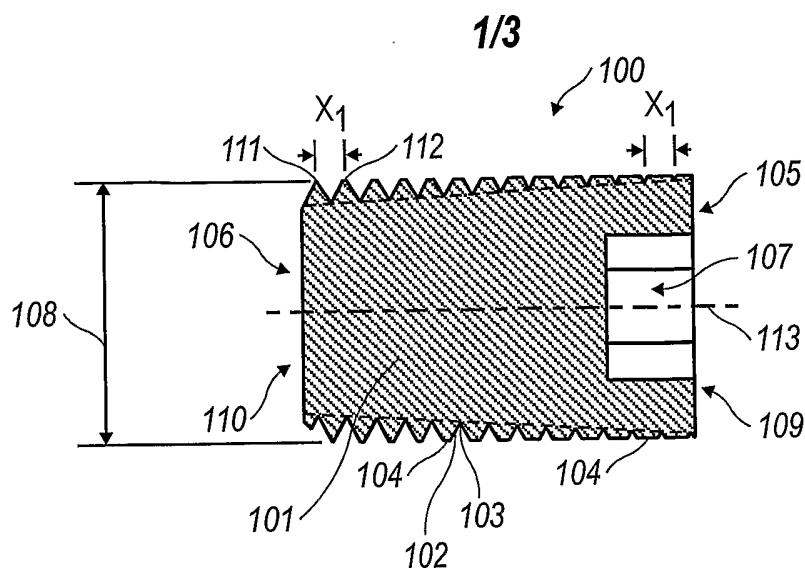


FIG. 1

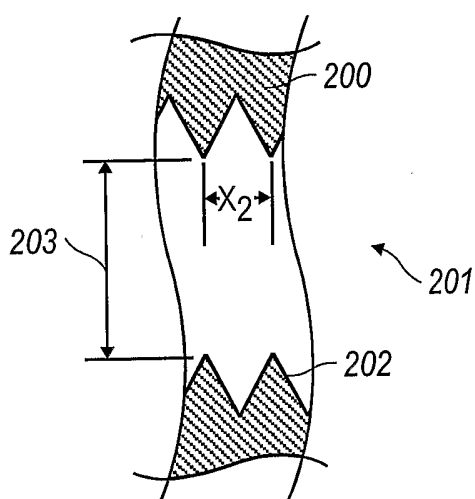


FIG. 2a

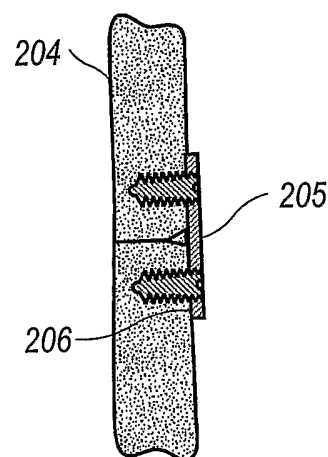


FIG. 2b

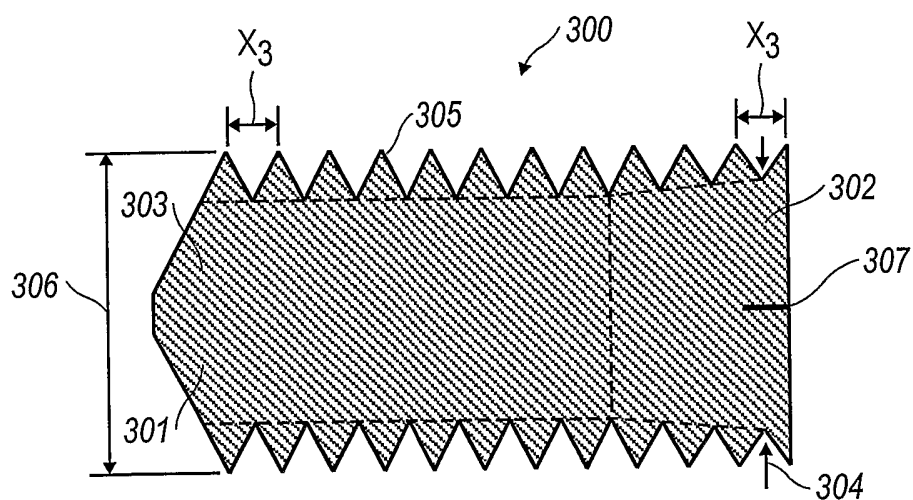


FIG. 3

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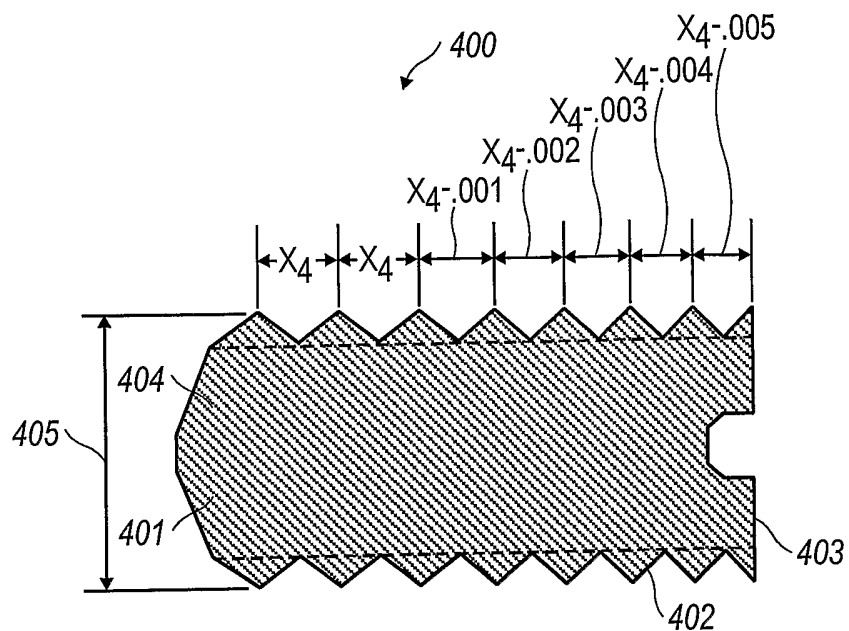


FIG. 4

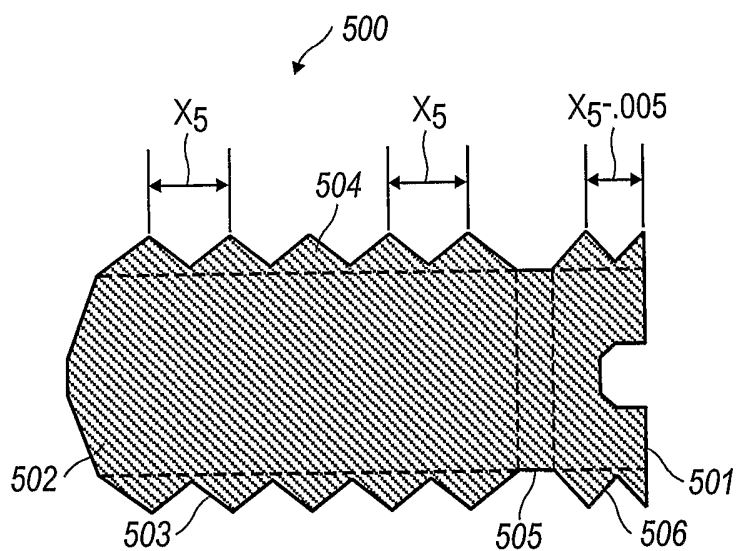


FIG. 5

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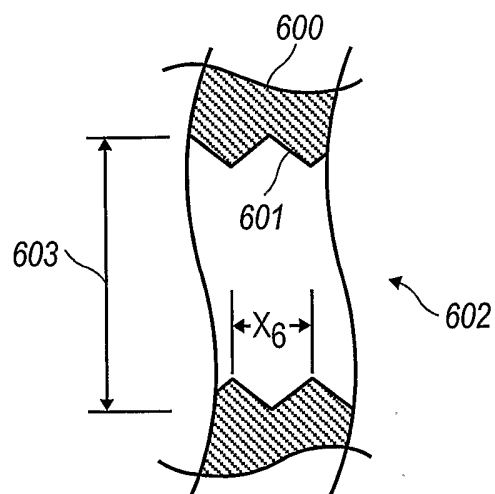


FIG. 6

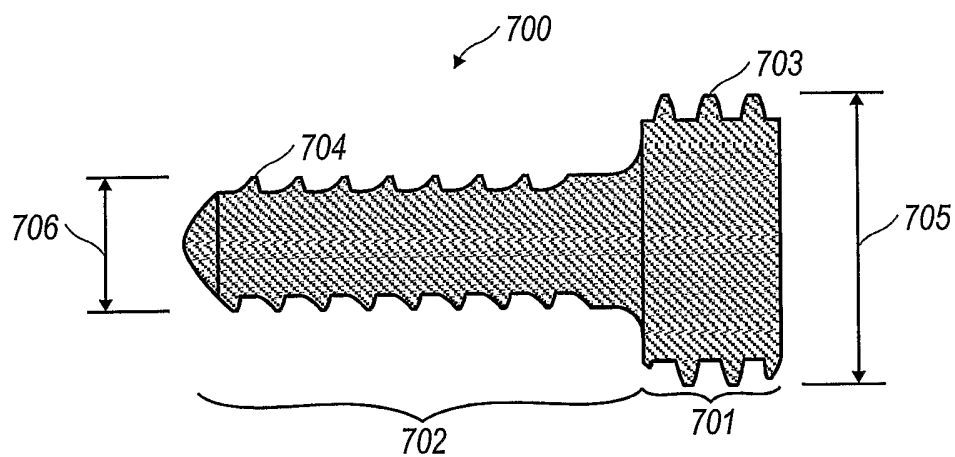


FIG. 7a

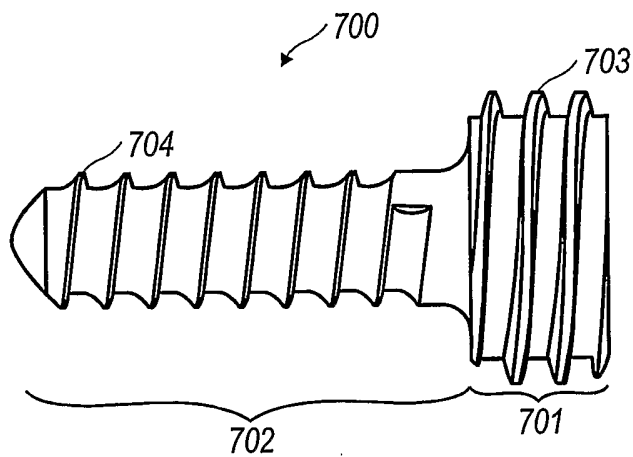


FIG. 7b

INTERNATIONAL SEARCH REPORT

International application No
PCT/US2005/032012

A. CLASSIFICATION OF SUBJECT MATTER

A61B17/86 A61B17/80

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6 129 730 A (BONO ET AL) 10 October 2000 (2000-10-10) figures column 1, line 35 - line 54	1-17
X	US 2003/018335 A1 (MICHELSON GARY K) 23 January 2003 (2003-01-23) figures	1
X	US 2004/044345 A1 (DEMOSS RICHARD MARSHAL ET AL) 4 March 2004 (2004-03-04) figures	1
X	US 6 306 140 B1 (SIDDIQUI IMRAN TAUHID) 23 October 2001 (2001-10-23) figures	1
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☒ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

* Special categories of cited documents :

A document defining the general state of the art which is not considered to be of particular relevance

E earlier document but published on or after the international filing date

L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

O document referring to an oral disclosure, use, exhibition or other means

P document published prior to the international filing date but later than the priority date claimed

T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

* & * document member of the same patent family

Date of the actual completion of the international search

30 January 2006

Date of mailing of the international search report

06/02/2006

Name and mailing address of the ISA/

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Held, G

INTERNATIONAL SEARCH REPORT

International application No
PCT/US2005/032012

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6 355 043 B1 (ADAM MICHAEL) 12 March 2002 (2002-03-12) figures -----	1
X	US 2004/073218 A1 (DAHNERS LAURENCE E) 15 April 2004 (2004-04-15) figures -----	1
X	US 6 730 091 B1 (PFEFFERLE JOACHIM ET AL) 4 May 2004 (2004-05-04) figures -----	1
X	US 5 536 127 A (PENNIG ET AL) 16 July 1996 (1996-07-16) figures -----	1

INTERNATIONAL SEARCH REPORT

national application No.
PCT/US2005/032012

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 18-22
because they relate to subject matter not required to be searched by this Authority, namely:
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery
2. ☐ Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers allsearchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search reportcovers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/US2005/032012

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US 5536127	A	16-07-1996	NONE	