(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 17 April 2003 (17.04.2003)

PCT

(10) International Publication Number WO 03/032551 A1

- (51) International Patent Classification⁷: H04L 9/00, A63F 13/00
- H04K 1/00,
- (21) International Application Number: PCT/US02/31511
- (22) International Filing Date: 2 October 2002 (02.10.2002)
- (25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

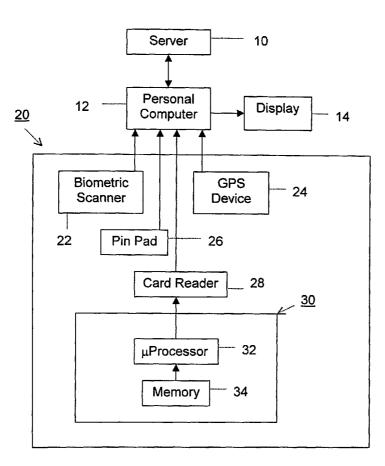
60/327,631 5 October 2001 (05.10.2001) US 10/101,307 19 March 2002 (19.03.2002) US

(71) Applicant: LITRONIC, INC. [US/US]; 17861 Cartwright, Irvine, CA 92614 (US).

- (72) Inventor: WINKLER, Marvin, J.; 25502 Rodeo Circle, Laguna Hills, CA 92653 (US).
- (74) Agent: STETINA BRUNDA GARRED & BRUCKER; 75 Enterprise, Suite 250, Aliso Viejo, CA 92656 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,

[Continued on next page]

(54) Title: COMPUTER NETWORK ACTIVITY ACCESS APPARATUS INCORPORATING USER AUTHENTICATION AND POSITIONING SYSTEM



(57) Abstract: An internet activity system, authenticating an internet activity by four factors, including something that the client has, something that the client knows, some place that the client is, and something that the client is. A server (10) of a casino provides an internet activity and determines authentication of a user who is requesting access to the internet activity. An internet activity access apparatus (20) is incorporated to provide the information of something that the client has, something that the client knows, some place that the client is, and something that the client is.

WO 03/032551 A1

WO 03/032551 A1



ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

Published:

with international search report

-1-

COMPUTER NETWORK ACTIVITY ACCESS APPARATUS INCORPORATING USER AUTHENTICATION AND POSITIONING SYSTEM

5

CROSS-REFERENCE TO RELATED APPLICATIONS

The subject application claims the benefit of provisional patent application serial no. 60/327,631 filed October 5, 2001 entitled COMPUTER NETWORK ACTIVITY ACCESS APPARATUS INCORPORATING USER AUTHENTICATION AND POSITIONING SYSTEM

STATEMENT RE: FEDERALLY SPONSORED RESEARCH/DEVELOPMENT (Not Applicable)

15

20

25

30

35

10

BACKGROUND OF THE INVENTION

The present invention relates generally to a computer network activity access apparatus, and more particularly to a global computer network, i.e., internet gaming access apparatus that authenticates the user by four factors, including something that the user has, something that user knows, some place that the user is, and something that the user is.

As internet communication has become more and more popular, a multitude of commercial activities are now readily performed on the internet. For example, one can purchase books, equipment, grocery and many other goods and/or services by providing financial information such as the credit/debit card number or the bank account number. Similarly, to provide mobility for amusement entertainment, internet gaming/gambling has recently been introduced allowing player/users to make wagers at remote locations from gaming casinos. However, to date, nearly all of such internet gaming has been based in locations foreign to the United States. Currently, only a very limited number of states allow internet gaming/gambling under specifically controlled conditions. In all such instances, the age and location of the gaming user must be

5

10

15

20

25

30

35

-2-

ascertained and verified prior to accepting any wager. Therefore, how to control the access via ascertaining the age and state location of a user has become a critical requirement for internet gaming applications.

Various approaches for authenticating the identity and thereby the age of a user including inputting the information known to the user, using an access object owned by the user, or comparing personal characteristics have been developed in the prior art. Information known to the user includes passwords, personal identification numbers (PIN) and personal details such as birthday, social security number and the like. Objects owned by the user include lock box key entry systems, credit card and/or integrated circuit smart cards. Personal characteristics of a user include biometric characteristics such as finger and thumb prints, hand geometry, voice prints, and/or retinal scans.

The above authentication mechanisms provide various means to attempt to authenticate the identity of the user. However, they fail to provide any mechanism to ascertain the physical location of the authenticated user.

In recent years, the general public has been given access to the NAVSTAR Global Positioning System of the United States Air Force (GPS) wherein a GPS receiver receives unique coded signals transmitted by the earth orbiting GPS satellites to derive the geographical position of the receiver. Recently, the costs of such GPS receivers has been substantially reduced allowing their implementation in various consumer products such as automotive vehicles.

Most recently, the use of GPS signals for generating a one-time locational signature to authenticate the location of a user at log-in has been developed as disclosed in United States Letters Patent No. 5,757,916 issued to MacDoran, et al., entitled Method and Apparatus for Authenticating the Location of Remote Users of Networked Computing Systems. However, the MacDoran methodology and apparatus is complicated and costly

-3-

requiring specific hardware at each user location. Further, the MacDoran method and apparatus is utilized as an alternative to user authentication and is not utilized in combination with other user authentication mechanisms. As such, although the prior art has recognized that GPS can be utilized to enable selected access to a computer system, the prior art is void of any teachings which provide a combined use of user authentication systems/ location systems to address the unique concerns of the gaming industry nor any such means which provide a convenient user friendly mechanism for doing the same.

5

10

15

20

25

30

35

As such, there exists a substantial need in the art for an economical and convenient network access system which authenticates the identity of the user as well as the physical location of the user for gaming applications.

SUMMARY OF THE INVENTION

To allow only people over a legal age to access a network or internet activity in certain states, the present invention provides an internet activity system that authenticates the identity and geographical location of the user by four factors. The four factors include something that the client has, something that the client knows, some place that the client is, and something that the client is.

The internet activity system of the present invention includes a server provided by a casino and an internet activity access apparatus for authenticating the client each time access to the internet game is attempted. The factor of something that the client has preferably include an access card, such as a smart card, issued to the client by the casino during a registration process. Any person that intends to communicate with the server for access to the internet activity requires the smart card issued by the casino. At the time of logging on to the server, the smart card is inserted into a card reader, which determines the validity of the smart card, for example, whether the smart card is issued by the casino for the purpose of access to the internet activity provided by the server. The card

5

10

15

20

25

30

35

-4-

reader also reads and retrieves the information pre-stored on the smart card, including something that the client knows, such as, the user-known information, and something that the client is, that is, the biometric characteristic The pre-stored information read by the of the client. smart card is then sent to the server via a personal The current user has to then input the userinformation to the server via a pin-pad or a keyboard. The access to the internet game is allowed only when the user-known information input by the current user identical to that pre-stored in the smart card. Otherwise, the access is denied. Regarding the factor of something that the client is, the biometric characteristic of the client is pre-scanned and pre-stored in the smart card at the time of casino registration to the server. When a current user attempts to access the internet game, a biometric scanner is then used to scan and obtain the biometric characteristic of the current user. The scanned biometric characteristic is compared to the one pre-stored in the smart card. Only when the scanned and pre-stored biometric characteristics are identical to each other, the access to the internet game is allowed. Again, both the pre-stored and the scanned biometric characteristics are sent to the server for comparison.

Alternatively, the pre-scanned biometric characteristics of the registered user can also be pre-stored in a database of the server. During authentication, the pre-stored biometric characteristics is retrieved from the database and compared to the biometric characteristic scanned from the current user.

Once the current user passes the examination of the above three factors, that is, once the current user is authenticated to be the registered user, the application at the client end is launched. As mentioned above, the geographical location of the current user has to be authenticated prior to the access of the internet game. Therefore the internet activity access apparatus further incorporates a GPS device for geographical location

5

10

15

20

25

30

-5-

authentication of the current user. The GPS device receive includes а GPS sensor to an encrypted latitude/longitude message from a GPS satellite. encrypted latitude/longitude message is then transferred to server, which then converts the encrypted latitude/longitude message into a geographic location, such as a state of the United States, so as to determine whether such state allows the internet game. If the state allows the internet game, the access is obtained. Otherwise, the access is denied even if the current user has been authenticated.

Accordingly, the gaming system of the present invention and an internet activity access includes a server The internet activity access apparatus apparatus. comprises a smart card, a card reader to check the validity of the smart card and to read the pre-stored information in the smart card, a pin pad or other data input device to key in the client-known information, a biometric scanner to obtain the biometric characteristic of the current user, and a GPS device to receive the encrypted latitude/ longitude message of where the logging user currently is. The internet activity access apparatus communicates to the server via a personal computer or a terminal. The personal computer has a monitor, such as a liquid crystal display to monitor the access to the internet game. The pre-stored and input information are sent to the server and compared to each other thereby, while the encrypted latitude/ longitude message is converted into a geographical location Whether the geographical location by the server. located in a states that allow the internet game That is, the server determined by the server. responsible for determining the authentication of all the above four factors.

-6-

BRIEF DESCRIPTION OF THE DRAWINGS

These, as well as other features of the present invention, will become more apparent upon reference to the drawings wherein:

Figure 1 is a block diagram showing gaming system that incorporates an internet gaming access apparatus provided by the invention.

5

10

15

20

25

30

35

DETAILED DESCRIPTION OF THE INVENTION

Figure 1 comprises a block diagram of the computer network and preferably an internet activity system for the present invention specifically directed toward gaming/wager applications. The internet activity system comprises a server 10 that provides the internet activity and an internet activity access apparatus 20 that provides the authentication information of the current user to the server 10. As shown in Figure 1, a terminal such as a personal computer 12 is used to communicate between the server 10 and the internet activity access apparatus 20.

In one application of the present invention, the server 10 includes a world wide web (www) server located at casino to provide the internet activity such gaming/gambling. The www server may be equipped with one or more SSP Cipher servers to provide a plurality of clients (gamblers) logging on at the same time. request for access to the internet activity is granted, the identity and the geographical location of the client(s) The internet activity access have to be authenticated. apparatus 20 provides the identity information and the position information of the client(s) to the server 10 via the personal computer 12, while the server is responsible for determining the authentication. personal computer 12 is preferably connected to a display 14 such as a liquid crystal display (LCD), so that the authentication process and the access of the internet activity can be monitored thereby.

The present invention preferably authenticates the clients by four factors, including something that the

5

10

15

20

25

30

35

-7-

has, something that the client knows (the user(client)-known information), some place that the client currently is, and something that the client is. embodiment of the invention, something that the client has includes an access card, such as a smart card. that the client knows, also referred as the user-known information, includes a pin number, a password, or the personal information such as birthday, social security number or other information. Some place that the client is includes the place where the user is at the time of logging to the server 10. Something that the client is preferably includes the biometric characteristic of the client.

To obtain the information of the above four factors the current user, the internet activity access apparatus 20 provided by the present invention includes a smart card 30, a card reader 28, a pin pad or a keyboard 26, a biometric scanner 22, and a GPS device 24. The smart card 30 is issued to the client at the time the client registers for gaming activity privileges with the casino. Any person attempting to log on to the server 10 for playing the game must possess a smart card 30 issued by the casino to initiate the access. As shown in Figure 1, when a user tries to log on to the server 10, the smart card 30 is inserted into a card reader 28 to determine the validity thereof, that is, whether such smart card 30 is issued by the casino for the purpose of access to the internet The server 10 then determines activity is determined. whether the access process will continue or be terminated based on the signal sent from the card reader 28.

Preferably, the smart card 30 includes a micro-processor 32 and a memory 34, in which the user-known information, that is, something that the client knows, is pre-stored at the time of casino registration. When the client tries to access the internet activity, the smart card 30 is inserted into a card reader 28, by which the pre-stored user-known information is read and sent to the server 10 via the personal computer 12. Meanwhile, the

5

10

15

20

25

30

35

current user must provide the user-known information to the server 10 to compare with the pre-stored one. The pin pad 26 is provided for the current user to input the user-known information. As shown in Figure 1, the pin pad 26 is connected to the server 10 via the personal computer 12. Once the user-known information is provided and input, the server 10 makes a comparison between the pre-stored and currently input user-known information to determine whether the access is continued or terminated. That is, when the input user-known information is identical to the pre-stored one, the access is continued. Otherwise, the access is denied.

-8-

In addition to the factors of something that the client has and knows, the present invention further determines access according to another factor of something that the client is. That is, the biometric characteristic that is less perceptible to misidentification is used to authenticate whether the current user is actually the A biometric scanner is used to scan the registered user. current user, so as to obtain a biometric characteristic the biometric characteristic of the thereof, while registered user has been pre-stored in the smart card 30. During the access process, the pre-stored and scanned biometric characteristics of the registered user and the current user are sent to the server 10 via the personal computer 12 to compare with the current input one. Again, the server 10 is then responsible for determining the authentication according to a comparison result between the pre-stored and scanned biometric characteristics of the registered and the current users, respectively. If both of the biometric characteristics are the same, the access is continued. Other, the access is denied. Alternatively, the pre-scanned biometric characteristics of the registered user can be pre-stored in a database of the server 10. During the access process, the pre-stored biometric characteristics of the registered is retrieved from the

database for authentication.

5

10

15

20

25

30

35

-9-

examples of such biometric Numerous identification and user identification systems exist such as those disclosed in United States Letters Patent No. 5,793,881 issued to Stiver, et al., entitled Identification System issued August 11, 1998, and United States Letters Patent No. 6,219,439 B1 issued to Burger on April 17, 2001 entitled Biometric Authentication System, the disclosures of which are expressly incorporated herein by reference. As is known, such biometric identification system utilizes single or multiple characteristic features of the human anatomy as a means of identifying an individual. advancements of the Stiver, et al., identification system utilizes a photographic, topographical map of a user's subcutaneous tissue approximately 3 mm into the user hand and compares it with a stored secure image previously obtained from the user and stored in memory. Such recent advancement is currently being developed by Advanced Biometrics, Inc., the Assignee of Stiver, et al., which biometric system is known as the SSP Solution Suite technology, the disclosure of which is expressly incorporated herein by reference.

In the preferred embodiment, the particular user specific biometric information is obtained from a user by way of the registration procedure at the casino; instance, a registration procedure at a particular casino offering such internet gaming. In such instance, the user interfaces with the biometric identification wherein the specific biometric information of the user is obtained and placed in memory in the server 10 of the casino and optionally within the memory 34 stored within the smart card 20. During such registration procedure, the age of the user will additionally be verified, instance, by conventional photo identification means, such as a driver's license and/or passport to ensure that the specific user and the user biometric identification information identifies a user over the legal gambling age used in a particular state. Additionally, during such

5

10

15

20

25

30

35

-10-

initial user registration, the user can use a biometric scanner 22 at any desired location remote to the casino.

The GPS device 24 is preferably implemented as a chip receiver which is preferably disposed within the smart card 30, the card reader 28, or individually to communicate with The GPS device 24 receives unique coded the server 10. signals transmitted by the earth orbiting GPS satellites. Preferably, the coded signals comprise encrypted and signed latitude, longitude and secure time stamp and are sent to the server 10 via the personal computer at the time of The server 10 converts the coded signals into a access. whether geographical location and determines geographical location is within an authorized States that allows the internet game. If the geographical location falls within the authorized States, the access to the internet game is allowed provided that the user has been authenticated. If the geographical location of the current user falls in a State that does not allow the internet gaming, the access is denied no matter whether the current user has been authenticated or not.

As the law restricts the age of the user to gamble or access certain kind of internet activity, and as a protection for the user's right, the identity of the user has to be authenticated. Therefore, the present invention authenticating the user by the above three factors provides a more secured to confirm the age of the user. addition, the current location (state) of the user can be If the state that the current user is located detected. does not allow internet gambling, the access is denied even the current user is identified as the registered one. the current state allows internet gambling provided that the current is identified as the registered one, the access is permitted. In this way, the entertainment does not have to be limited to a certain place, while the access is securely monitored.

Indeed, each of the features and embodiments described herein can be used by itself, or in combination with one or more of other features and embodiment. Thus, the invention

-11-

is not limited by the illustrated embodiment but is to be defined by the following claims when read in the broadest reasonable manner to preserve the validity of the claims.

What is Claimed is:

WO 03/032551

5

10

15

20

25

30

35

1. An internet activity system, comprising:

-12-

a server, to provide an internet activity and to determine authentication of a user who is requesting access to the internet activity; and

PCT/US02/31511

an internet activity access apparatus, to provide identity and geographical location information of the user to the server for authentication.

- 2. The internet activity system according to claim 1, wherein the identity information includes an access card issued to the user, a information known to the user prestored by the user, and a biometric characteristic prescanned from the user.
- 3. The internet activity access system according to claim 1, wherein the internet activity access apparatus further comprises:

a smart card issued to a registered client by the server at the time registering thereto, wherein an information is pre-stored in the smart card;

a pin pad, to input a user-known information of the user to the server;

a card reader, to read and send the information pre-stored in the smart card to the server;

a biometric scanner, to scan and input a biometric characteristic of the user to the server; and

a GPS device, to receive and input a message that contains latitude, longitude and secure time stamp of the user to the server.

- 4. The internet activity system according to claim 3, wherein the information pre-stored in the smart card includes the information known to the user.
- 5. The internet activity system according to claim 3, wherein the information pre-stored in the smart card includes a biometric characteristic of the registered client.
- 6. The internet activity system according to claim 1, further comprising a personal computer to communicate

5

10

15

20

25

30

-13-

between the server and the internet activity access apparatus.

7. An internet activity access apparatus, to provide authentication information of a user who requests access to an internet activity provided by a server, comprises:

a smart card issued to a registered client by the server at the time registering thereto;

a pin pad, to input a user-known information of the user to the server;

a card reader, to determine the validity of the smart card, and to read and send information prestored in the smart card to the server;

a biometric scanner, to scan and input a biometric characteristic of the user to the server; and

a GPS device, to receive and input a message that contains latitude, longitude and secure time stamp of the user to the server.

- 8. The internet activity access apparatus according to claim 7, wherein the information pre-stored in the smart card includes a user-known information known to the registered client.
- 9. The internet activity access apparatus according to claim 7, wherein the information pre-stored in the smart card includes a biometric information of the registered client.
- 10. The internet activity access apparatus according to claim 7, wherein the server determines whether the access is granted according to the user-known information input by the pin-pad, the biometric characteristic input by the biometric scanner, and a geographical location information converted from the message received by the GPS device.
- 11. The internet activity access apparatus according to claim 7, wherein the smart card further comprises a microprocessor and a memory in which the information is pre-stored.

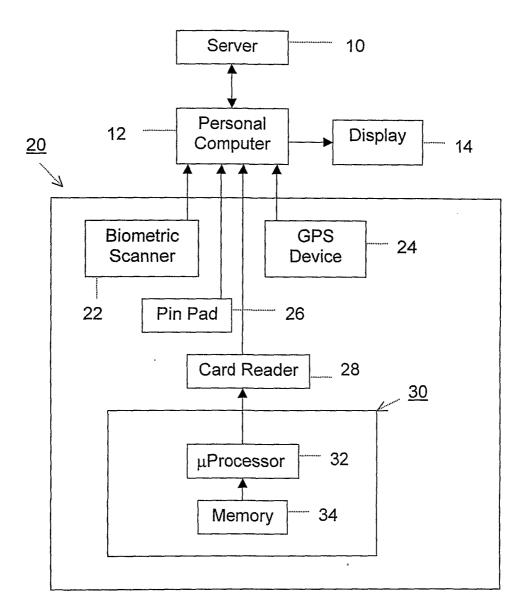


Fig. 1

International application No.

Jumes R. Matthew

INTERNATIONAL SEARCH REPORT PCT/US02/31511 CLASSIFICATION OF SUBJECT MATTER : H04K 1/00; H04L 9/00; A63F 13/00 713/185,186,202; 380/251.258; 463/29 US CL According to International Patent Classification (IPC) or to both national classification and IPC FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) U.S.: 713/185,186,202; 380/251.258; 463/29 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) EAST: card-based system, biometric information, GPS, password DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to claim No. Category * Citation of document, with indication, where appropriate, of the relevant passages US 5,768,382 A (SCHNEIER et al) 16 June 1998 (16.06.1998), Abstract, Figures 1A, 2,4A-B, 10A-B, column 7, lines 16-21, column 12, lines 6-25, column 14, lines 39-52, column 15 lines 2-15, 18-21, 32-43, column 23, lines 9-17, 24-29, column 27, lines 54-67, column 44, lines 58-67, column 46, lines 38-41, 50-59, column 49, lines 42-45. US 5,757,916 A (MACDORAN et al) 26 May 1998 (26.05.1998), column 1, lines 7-10, 1-5, 7-10 Α lines 28-55, column 2, lines 35-47. See patent family annex. Further documents are listed in the continuation of Box C. later document published after the international filing date or priority Special categories of cited documents: date and not in conflict with the application but cited to understand the document defining the general state of the art which is not considered to be "A" principle or theory underlying the invention of particular relevance document of particular relevance; the claimed invention cannot be "X" earlier application or patent published on or after the international filing date considered novel or cannot be considered to involve an inventive step "E" when the document is taken alone document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination "O" document referring to an oral disclosure, use, exhibition or other means being obvious to a person skilled in the art document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of mailing of the international search report Date of the actual completion of the international search 15 November 2002 (15.11.2002)

Gilberto Barron

Telephone No. 703-305-3900

Name and mailing address of the ISA/US

Washington, D.C. 20231

Box PCT

Facsimile No. (703)305-3230

Commissioner of Patents and Trademarks