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<p>(21) International Application Number: PCT/US98/03666</p> <p>(22) International Filing Date: 25 February 1998 (25.02.98)</p> <p>(30) Priority Data: 60/039,115 26 February 1997 (26.02.97) US</p> <p>(71) Applicants (for all designated States except US): ELI LILLY AND COMPANY [US/US]; Lilly Corporate Center, Indianapolis, IN 46285 (US). UNIVERSITY OF HAWAII [US/US]; Suite 280, 2800 Woodlawn Drive, Honolulu, HI 96822 (US). WAYNE STATE UNIVERSITY [US/US]; 4012 Faculty Administration Building, Detroit, MI 48202 (US).</p> <p>(72) Inventors; and</p> <p>(75) Inventors/Applicants (for US only): NORMAN, Bryan, H. [US/US]; 8648 Admirals Bay Drive, Indianapolis, IN 46236 (US). SHIH, Chuan [US/US]; 12532 Pebblepoint Pass, Carmel, IN 46033 (US).</p> <p>(74) Agents: BOUDREAUX, William, R. et al.; Eli Lilly and Company, Lilly Corporate Center, Indianapolis, IN 46285 (US).</p>	<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p>Published <i>With international search report.</i></p>	
<p>(54) Title: TRIPEPTIDE AND TETRAPEPTIDE PHARMACEUTICAL COMPOUNDS</p>		
<p>(57) Abstract</p> <p>The invention provides novel cryptophycin compounds which can be useful for disrupting the microtubulin system, as antineoplastic agents, and for the treatment of cancer. The invention further provides a formulation for administering the novel cryptophycin compounds.</p>		

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Title

TRIPEPTIDE AND TETRAPEPTIDE PHARMACEUTICAL COMPOUNDS

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Field Of The Invention

This invention relates to novel cryptophycin compounds useful as anti-microtubule agents.

Background Of The Invention

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Neoplastic diseases, characterized by the proliferation of cells not subject to the normal control of cell growth, are a major cause of death in humans and other mammals. Clinical experience in cancer chemotherapy has demonstrated that new and more effective drugs are desirable to treat these diseases. Such clinical experience has also demonstrated that drugs which disrupt the microtubule system of the cytoskeleton can be effective in inhibiting the proliferation of neoplastic cells.

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The presently claimed compounds, having an amide substitution in the cryptophycin ring, have surprisingly potent antimicrotubule activity while further having especially desired solubility properties. The compounds claimed herein address the need for compounds having acceptable solubility while retaining the desired antimicrotubule activity.

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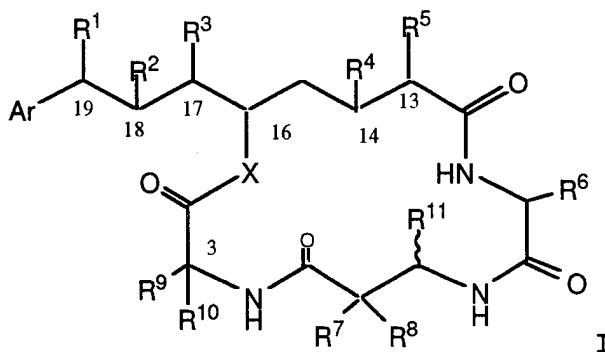
Further, such agents having the ability to disrupt the microtubule system can be useful for research purposes.

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The compounds claimed herein can be prepared using total synthetic methods and are therefore well suited for development as pharmaceutically useful agents.

Summary Of The Invention

The presently claimed invention provides novel cryptophycin compounds of Formula I



wherein

Ar is selected from the group consisting of phenyl, any simple unsubstituted aromatic, substituted aromatic, unsubstituted heteroaromatic, and substituted heteroaromatic group;

R^1 is selected from the group consisting of halogen, SH, amino, monoalkylamino, dialkylamino, trialkylammonium, alkylthio, dialkylsulfonium, sulfate, and phosphate;

R^2 is OH or SH; or

R^1 and R^2 may be taken together with C_{18} and C_{19} to form an epoxide ring, an aziridine ring, an episulfide ring, a sulfate ring, or monoalkylphosphate ring; or

R^1 and R^2 may be taken together to form a second bond between C_{18} and C_{19} ;

R^3 is a lower alkyl group;

R^4 is H or H_2 ;

R^5 is H or H_2 ;

R^4 and R^5 may be taken together to form a second bond between C_{13} and C_{14} ;

R^6 is selected from the group consisting of benzyl, hydroxybenzyl, alkoxybenzyl, halohydroxybenzyl, dihalohydroxybenzyl, haloalkoxybenzyl, and dihaloalkoxybenzyl group;

R^7 is H or a lower alkyl group;

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R⁸ is H or a lower alkyl group; or

R⁷ and R⁸ may optionally be taken together to form a cyclopropyl ring;

5 R⁹ is selected from the group consisting of H, a lower alkyl group, (C₁-C₃) alkylaryl, and aryl;

R¹⁰ is selected from the group consisting of H, a lower alkyl group, (C₁-C₃) alkylaryl, and aryl;

10 R¹¹ is selected from the group consisting of hydrogen, simple alkyl, OH, phenyl, substituted phenyl, benzyl, and substituted benzyl;

X is O, NH or alkylamino; or

a pharmaceutically acceptable salt or solvate thereof.

15 The present invention provides pharmaceutical formulations, a method for disrupting a microtubulin system using an effective amount of a compound of Formula I, a method for inhibiting the proliferation of mammalian cells comprising administering an effective amount of a compound of Formula I, and a method for treating neoplasia in a mammal comprising administering an effective amount of a
20 compound of Formula I.

Detailed Description of the Invention

25 As used herein, the term "simple alkyl" shall refer to C₁-C₇ alkyl wherein the alkyl may be saturated, unsaturated, branched, or straight chain. Examples include, but are in no way limited to, methyl, ethyl, n-propyl, iso-propyl, n-butyl, propenyl, sec-butyl, n-pentyl, isobutyl, tert-butyl, sec-butyl, methylated butyl groups, pentyl, tert
30 pentyl, sec-pentyl, methylated pentyl groups and the like.

As used herein, the term "substituted phenyl" shall refer to a phenyl group with from one to three non-hydrocarbon substituents which may be independently selected from the group consisting of simple alkyl, Cl, Br, F, and I.

35 As used herein, the term "substituted benzyl" shall refer to a benzyl group with from one to three non-

hydrocarbon substituents which may be independently selected from the group consisting of simple alkyl, Cl, Br, F, and I.

As used herein "Lower alkoxy group" means any alkyl group of one to five carbon atoms bonded to an oxygen atom. As used herein "lower alkyl group" means an alkyl group of one to five carbons and includes linear and non-linear hydrocarbon chains, including for example, but not limited to, methyl, ethyl, propyl, isopropyl, butyl, isobutyl, tert-butyl, sec-butyl, methylated butyl groups, pentyl, tert pentyl, sec-pentyl, and methylated pentyl groups. As used herein "allylically substituted alkene" means any alkene having from one to seven carbon atoms which contains an alkyl substitution on it.

As used herein "epoxide ring" means a three-membered ring whose backbone consists of two carbons and an oxygen atom. As used herein, "aziridine ring" means a three-membered ring whose backbone consists of two carbon atoms and a nitrogen atom. As used herein "sulfide ring" means a three-membered ring whose backbone consists of two carbon atoms and a sulfur atom. As used herein "episulfide ring" means a three-membered ring whose backbone consists of two carbon and a sulfur atom. As used herein "sulfate group" means a five membered ring consisting of a carbon-carbon-oxygen-sulfur-oxygen backbone with two additional oxygen atoms connected to the sulfur atom. As used herein, "monalkylphosphate ring" means a five membered ring consisting of a carbon-carbon-oxygen-phosphorous-oxygen backbone with two additional oxygen atoms, one of which bears a lower alkyl group, connected to the phosphorous atom.

As used herein, "simple unsubstituted aromatic group" refers to common aromatic rings having $4n+2$ electrons in a monocyclic conjugated system, for example, but not limited to: furyl, pyrrolyl, thienyl, pyridyl and the like, or a bicyclic conjugated system, for example but not limited to indolyl or naphthyl.

As used herein "simple substituted aromatic group" refers to a phenyl group substituted with a single group selected from the group consisting of halogen and lower alkyl group.

5 As used herein the term "aryl" means an organic radical derived from an aromatic hydrocarbon by the removal of one atom; e.g., phenyl or naphthyl. Most preferably, aryl refers to C₆-C₁₀ aryl, wherein the aryl ring system, including any alkyl substitutions, comprises from 6 to 10
10 carbon atoms.

The term "C₁-C₃ alkylaryl" represents an (C₁-C₃) alkylaryl substituent wherein the alkyl group is linear, such as but not limited to, benzyl, phenethyl, 3-phenylpropyl, or phenyl-*t*-butyl; or branched. The alkylaryl
15 moiety is attached to the parent nucleus via the alkyl group.

As used herein, "alkylamino" has its common meaning. Thus, the phrase refers to N-R^{R'} wherein R^{R'} is C₁-C₃ alkyl. When the alkylamino group is contained within
20 the ring as when "X" and/or "Y" is alkylamino then such alkylamino group in the ring can be represented by the

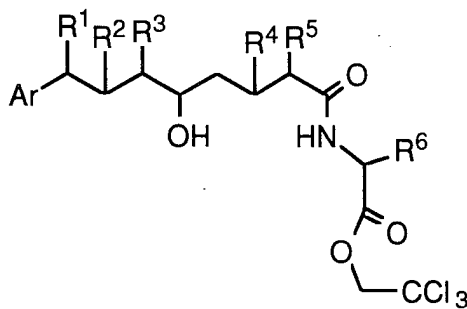
group: $\begin{array}{c} \text{R}^{\text{R}'} \\ | \\ \text{---N---} \end{array}$.

As used herein, "heteroaromatic group" refers to aromatic rings which contain one or more non-carbon
25 substituent selected from the group consisting of oxygen, nitrogen, and sulfur.

As used herein, "halogen" refers to those members of the group on the periodic table historically known as halogens. Methods of halogenation include, but are not
30 limited to, the addition of hydrogen halides, substitution at high temperature, photohalogenation, etc., and such methods are known to the skilled artisan.

As used herein, the term "crypto A-B-OCH₂CCl₃" or "cryptophycin A-B-trichloroethyl ester" shall mean a group
35 of the formula:

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As used herein, the term "mammal" shall refer to the Mammalia class of higher vertebrates. The term "mammal" includes, but is not limited to, a human. The term "treating" as used herein includes prophylaxis of the named condition or amelioration or elimination of the condition once it has been established. The cryptophycin compounds claimed herein can be useful for veterinary health purposes as well as for the treatment of a human patient.

Some preferred characteristics of this invention are set forth in the following tabular form wherein the features may be independently selected to provide preferred embodiments of this invention. The invention is in no way limited to the features described below:

- A) R⁸ is ethyl, propyl, isopropyl, butyl, isobutyl or isopentyl;
- B) R⁷ is ethyl, propyl, isopropyl, butyl, isobutyl, pentyl, or isopentyl;
- C) R⁷ is H, R⁸ is methyl, R³ is methyl, and X and Y are not both O;
- D) R³ is ethyl, propyl, isopropyl, butyl, isobutyl, pentyl or isopentyl;
- E) R⁹ is methyl, ethyl, propyl, butyl, isobutyl, pentyl, or isopentyl;
- F) R¹⁰ is methyl, ethyl, propyl, butyl, isobutyl, pentyl, or isopentyl;
- G) a cryptophycin compound wherein at least one of the groups selected from the group consisting of C-3, C-6, C-7, C-10, C-16, C-17, and C-18 has R

stereochemistry (numbering as set forth in claim 1 *infra.*);

5 H) a cryptophycin compound wherein at least one of the groups selected from the group consisting of C-3, C-6, C-7, C-10, C-16, C-17, and C-18 has *S* stereochemistry (numbering as set forth in claim 1 *infra.*);

10 I) Ar is phenyl with a substituent selected from the group consisting of hydrogen, halogen, and simple alkyl;

J) a compound wherein the C-7 substituent is *R* configuration;

K) a compound wherein the C-7 substituent is *S* configuration;

15 L) R⁷, R⁸ are each hydrogen;

M) R⁷ and R⁸ are each selected from hydrogen or OH;

N) R¹¹ is simple alkyl;

20 O) R is selected from the group consisting of methyl, ethyl, n-propyl, and phenyl;

P) R¹ and R² form an epoxide ring;

Q) both X and Y are O;

R) R⁴ and R⁵ form a double bond;

25 S) R⁶ is substituted benzyl wherein one substituent is a halogen and one is an OR¹² group wherein R¹² is lower alkyl;

T) a compound of Formula I is used for disruption of a microtubulin system;

30 U) a compound of Formula I is used as an anti-neoplastic agent;

V) a compound of Formula I is used for the treatment of cancer in a mammal;

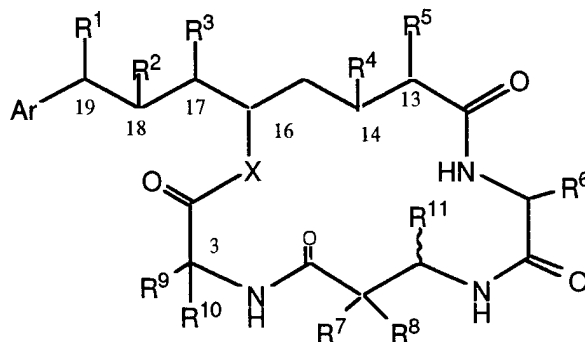
W) a compound wherein Y is selected from the group consisting of O, NH, S, SO and SO₂;

35 X) a compound wherein Y is C, R⁷, R⁸, R⁹, and R¹⁰ are each hydrogen; and R¹ and R² form an epoxide;

Y) R¹⁰ is hydrogen; and

Z) R³ is methyl.

Examples of some preferred compounds of this invention include, but are in no way limited to:



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wherein Ar is phenyl, R¹ and R² taken together form a three membered epoxide ring, R³ is methyl, R⁴ and R⁵ are taken together to form a double bond, R⁶ is a chloro-methoxybenzyl, R¹⁰ is hydrogen, and the remaining variables are as illustrated in the following table:

10

X	R ⁹	R ⁷	R ⁸	R ^{11*}
0	isobutyl	hydrogen	hydrogen	hydrogen
0	isobutyl	hydrogen	hydrogen	methyl
0	isobutyl	hydrogen	hydrogen	benzyl
0	isobutyl	hydrogen	hydrogen	phenyl
0	isobutyl	hydrogen	hydrogen	p-chloro-phenyl
0	isobutyl	hydrogen	hydrogen	ethyl
0	isobutyl	hydrogen	hydrogen	m-methyl-benzyl
0	isobutyl	hydrogen	hydrogen	p-methyl-phenyl
0	isobutyl	R ⁷ and R ⁸ form a cyclo-propyl	hydrogen	hydrogen
0	isobutyl	R ⁷ and R ⁸ form a cyclo-propyl	hydrogen	methyl
0	benzyl	R ⁷ and R ⁸ form a cyclo-propyl	hydrogen	benzyl
X	R ⁹	R ⁷	R ⁸	R ^{11*}
0	benzyl	hydrogen	hydrogen	hydrogen
0	benzyl	hydrogen	hydrogen	methyl

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O	benzyl	hydrogen	hydrogen	benzyl
O	benzyl	hydrogen	hydrogen	phenyl
O	benzyl	hydrogen	hydrogen	p-chloro-phenyl
O	benzyl	hydrogen	hydrogen	ethyl
O	benzyl	hydrogen	hydrogen	m-methyl-benzyl
O	benzyl	hydrogen	hydrogen	p-methyl-phenyl
O	benzyl	R ⁷ and R ⁸	form a	hydrogen
		cyclo-propyl		
O	benzyl	R ⁷ and R ⁸	form a	methyl
		cyclo-propyl		
O	isobutyl	hydrogen	hydrogen	hydrogen
O	isobutyl	hydrogen	hydrogen	methyl
O	isobutyl	hydrogen	hydrogen	benzyl
O	isobutyl	hydrogen	hydrogen	phenyl
O	isobutyl	hydrogen	hydrogen	p-chloro-phenyl
O	isobutyl	hydrogen	hydrogen	ethyl
O	isobutyl	hydrogen	hydrogen	m-methyl-benzyl
O	isobutyl	hydrogen	hydrogen	p-methyl-phenyl
O	isobutyl	R ⁷ and R ⁸	form a	hydrogen
		cyclo-propyl		
O	isobutyl	R ⁷ and R ⁸	form a	methyl
		cyclo-propyl		
NH	isobutyl	hydrogen	hydrogen	hydrogen
NH	isobutyl	hydrogen	hydrogen	methyl
NCH ₃	isobutyl	hydrogen	hydrogen	benzyl
NH	isobutyl	hydrogen	hydrogen	phenyl
NH	isobutyl	hydrogen	hydrogen	p-chloro-phenyl
NH	isobutyl	hydrogen	hydrogen	ethyl
NH	isobutyl	hydrogen	hydrogen	m-methyl-benzyl
NH	isobutyl	hydrogen	hydrogen	p-methyl-phenyl
NH	isobutyl	R ⁷ and R ⁸	form a	hydrogen
		cyclo-propyl		

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X	R ⁹	R ⁷	R ⁸	R ^{11*}
NH	isobutyl	R ⁷ and R ⁸ cyclo-propyl	form a	methyl
NH	benzyl	hydrogen	hydrogen	hydrogen
O	benzyl	hydrogen	hydrogen	methyl
O	benzyl	hydrogen	hydrogen	benzyl
O	benzyl	hydrogen	hydrogen	phenyl
O	benzyl	hydrogen	hydrogen	p-chloro-phenyl
O	benzyl	hydrogen	hydrogen	ethyl
O	benzyl	hydrogen	hydrogen	m-methyl-benzyl
O	benzyl	hydrogen	hydrogen	p-methyl-phenyl
O	benzyl	R ⁷ and R ⁸ cyclo-propyl	form a	hydrogen
O	benzyl	R ⁷ and R ⁸ cyclo-propyl	form a	methyl
O	isobutyl	methyl	hydrogen	hydrogen
O	isobutyl	methyl	hydrogen	methyl
O	isobutyl	methyl	hydrogen	benzyl
O	isobutyl	methyl	hydrogen	phenyl
O	isobutyl	methyl	hydrogen	p-chloro-phenyl
O	isobutyl	methyl	hydrogen	ethyl
O	isobutyl	methyl	hydrogen	m-methyl-benzyl
O	isobutyl	hydrogen	methyl	p-methyl-phenyl
O	isobutyl	hydrogen	methyl	hydrogen
O	isobutyl	hydrogen	methyl	methyl
O	benzyl	methyl	hydrogen	benzyl
O	benzyl	methyl	methyl	hydrogen
O	benzyl	methyl	hydrogen	methyl
O	benzyl	hydrogen	methyl	benzyl
O	benzyl	methyl	hydrogen	phenyl
O	benzyl	methyl	hydrogen	p-chloro-phenyl
O	benzyl	methyl	methyl	ethyl
O	benzyl	methyl	hydrogen	m-methyl-benzyl
O	benzyl	hydrogen	methyl	p-methyl-phenyl
O	benzyl	methyl	methyl	hydrogen
O	benzyl	methyl	methyl	methyl

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X	R ⁹	R ⁷	R ⁸	R ^{11*}
O	isobutyl	methyl	hydrogen	hydrogen
O	isobutyl	methyl	hydrogen	methyl
O	isobutyl	methyl	hydrogen	benzyl
O	isobutyl	hydrogen	methyl	phenyl
O	isobutyl	hydrogen	methyl	p-chloro-phenyl
O	isobutyl	methyl	hydrogen	ethyl
O	isobutyl	hydrogen	methyl	m-methyl-benzyl
O	isobutyl	methyl	hydrogen	p-methyl-phenyl
O	isobutyl	methyl	methyl	hydrogen
O	isobutyl	methyl	methyl	methyl
NH	isobutyl	methyl	hydrogen	hydrogen
NH	isobutyl	hydrogen	methyl	methyl
NCH ₃	isobutyl	hydrogen	methyl	benzyl
NH	isobutyl	methyl	hydrogen	phenyl
NH	isobutyl	methyl	hydrogen	p-chloro-phenyl
NH	isobutyl	methyl	hydrogen	ethyl
NH	isobutyl	methyl	hydrogen	m-methyl-benzyl
NH	isobutyl	hydrogen	methyl	p-methyl-phenyl
NH	isobutyl	methyl	methyl	hydrogen
NH	isobutyl	methyl	methyl	methyl
NH	benzyl	methyl	hydrogen	hydrogen
O	benzyl	hydrogen	methyl	methyl
O	benzyl	hydrogen	methyl	benzyl
O	benzyl	methyl	hydrogen	phenyl
O	benzyl	hydrogen	methyl	p-chloro-phenyl
O	benzyl	hydrogen	methyl	ethyl
O	benzyl	hydrogen	methyl	m-methyl-benzyl
O	benzyl	hydrogen	methyl	p-methyl-phenyl
O	benzyl	methyl	methyl	hydrogen
O	benzyl	methyl	methyl	methyl

The present invention provides a method of alleviating a pathological condition caused by hyperproliferating mammalian cells comprising administering to a subject an

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effective amount of a pharmaceutical or veterinary composition disclosed herein to inhibit proliferation of the cells. In a preferred embodiment of this invention, the method further comprises administering to the subject at least one additional therapy directed to alleviating the pathological condition. In a preferred embodiment of the present invention, the pathological condition is characterized by the formation of neoplasms. In a further preferred embodiment of the present invention, the neoplasms are selected from the group consisting of mammary, small-cell lung, non-small-cell lung, colorectal, leukemia, melanoma, pancreatic adenocarcinoma, central nervous system (CNS), ovarian, prostate, sarcoma of soft tissue or bone, head and neck, gastric which includes pancreatic and esophageal, stomach, myeloma, bladder, renal, neuroendocrine which includes thyroid and non-Hodgkin's disease and Hodgkin's disease neoplasms.

As used herein "neoplastic" refers to a neoplasm, which is an abnormal growth, such growth occurring because of a proliferation of cells not subject to the usual limitations of growth. As used herein, "anti-neoplastic agent" is any compound, composition, admixture, co-mixture, or blend which inhibits, eliminates, retards, or reverses the neoplastic phenotype of a cell.

Anti-mitotic agents or poisons may be classified into three groups on the basis of their molecular mechanism of action. The first group consists of agents, including colchicine and colcemid, which inhibit the formation of microtubules by sequestering tubulin. The second group consists of agents, including vinblastine and vincristine, which induce the formation of paracrystalline aggregates of tubulin. Vinblastine and vincristine are well known anticancer drugs: their action of disrupting mitotic spindle microtubules preferentially inhibits hyperproliferative cells. The third group consists of agents, including taxol, which promote the polymerization of tubulin and thus stabilizes microtubules.

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The exhibition of drug resistance and multiple-drug resistance phenotype by many tumor cells and the clinically proven mode of action of anti-microtubule agents against neoplastic cells necessitates the development of anti-microtubule agents cytotoxic to non-drug resistant neoplastic cells as well as cytotoxic to neoplastic cells with a drug resistant phenotype.

Chemotherapy, surgery, radiation therapy, therapy with biological response modifiers, and immunotherapy are currently used in the treatment of cancer. Each mode of therapy has specific indications which are known to those of ordinary skill in the art, and one or all may be employed in an attempt to achieve total destruction of neoplastic cells. Moreover, combination chemotherapy, chemotherapy utilizing compounds of Formula I in combination with other neoplastic agents, is also provided by the subject invention as combination therapy is generally more effective than the use of a single anti-neoplastic agent. Thus, a further aspect of the present invention provides compositions containing a therapeutically effective amount of at least one compound of Formula I, including the non-toxic addition salts thereof, which serve to provide the above recited benefits. Such compositions can also be provided together with physiologically tolerable liquid, gel, or solid carriers, diluents, adjuvants and excipients. Such carriers, adjuvants, and excipients may be found in the U.S. Pharmacopeia, Vol. **XXII** and National Formulary vol **XVII**, U.S. Pharmacopeia Convention, Inc. Rockville, MD (1989). Additional modes of treatment are provided in AHFS Drug Information, 1993 e. by the American Hospital Formulary Service, pp. 522-660. Each of these references are well known and readily available to the skilled artisan.

The present invention further provides that the pharmaceutical composition used to treat neoplastic disease contains at least one compound of Formula I and at least one additional anti-neoplastic agent. Anti-neoplastic agents which may be utilized in combination with Formula I or

Formula III compounds include those provided in the Merck Index 11, pp 16-17, Merck & Co., Inc. (1989). The Merck Index is widely recognized and readily available to the skilled artisan.

5 In a further embodiment of this invention, antineoplastic agents may be antimetabolites which may include but are in no way limited to those selected from the group consisting of methotrexate, 5-fluorouracil, 6-mercaptapurine, cytosine, arabinoside, hydroxyurea, and 2-
10 chlorodeoxyadenosine. In another embodiment of the present invention, the anti-neoplastic agents contemplated are alkylating agents which may include but are in no way limited to those selected from the group consisting of cyclophosphamide, mephalan, busulfan, paraplatin,
15 chlorambucil, and nitrogen mustard. In a further embodiment, the anti-neoplastic agents are plant alkaloids which may include but are in no way limited to those selected from the group consisting of vincristine, vinblastine, taxol, and etoposide. In a further embodiment,
20 the anti-neoplastic agents contemplated are antibiotics which may include, but are in no way limited to those selected from the group consisting of doxorubicin, daunorubicin, mitomycin C, and bleomycin. In a further embodiment, the anti-neoplastic agents contemplated are
25 hormones which may include, but are in no way limited to those selected from the group consisting of calusterone, diomostavolone, propionate, epitiostanol, mepitiostane, testolactone, tamoxifen, polyestradiol phosphate, megestrol acetate, flutamide, nilutamide, and trilotane.

30 In a further embodiment, the anti-neoplastic agents contemplated include enzymes which may include, but are in no way limited to those selected from the group consisting of L-Asparaginase and aminoacridine derivatives such as, but not limited to, amsacrine. Additional anti-
35 neoplastic agents include those provided by Skeel, Roland T., "Antineoplastic Drugs and Biologic Response Modifier: Classification, Use and Toxicity of Clinically Useful

Agents" Handbook of Cancer Chemotherapy (3rd ed.), Little Brown & Co. (1991).

5 These compounds and compositions can be administered to mammals for veterinary use. For example, domestic animals can be treated in much the same way as a human clinical patient. In general, the dosage required for therapeutic effect will vary according to the type of use, mode of administration, as well as the particularized requirements of the individual hosts. Typically, dosages will range from about 0.001 to 1000 mg/kg, and more usually 10 0.01 to 10 mg/kg of the host body weight. Alternatively, dosages within these ranges can be administered by constant infusion over an extended period of time, usually exceeding 24 hours, until the desired therapeutic benefits are obtained. Indeed, drug dosage, as well as route of administration, must be selected on the basis of relative effectiveness, relative toxicity, growth characteristics of tumor and effect of Formula I or Formula III compound on cell cycle, drug pharmacokinetics, age, sex, physical condition of the patient and prior treatment. 15 20

The compound of Formula I or Formula III, with or without additional anti-neoplastic agents, may be formulated into therapeutic compositions as natural or salt forms. Pharmaceutically acceptable non-toxic salts include base addition salts which may be derived from inorganic bases 25 such as for example, sodium, potassium, ammonium, calcium, or ferric hydroxides, and such organic bases as isopropylamine, trimethylamine, 2-ethylamino ethanol, histidine, procaine, and the like. Such salts may also be formed as acid addition salts with any free cationic groups 30 and will generally be formed with inorganic acids such as for example, hydrochloric or phosphoric acids or organic acids such as acetic, oxalic, tartaric, mandelic, and the like. Additional excipients which further the invention are provided to the skilled artisan for example in the U.S. Pharmacopeia. 35

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The suitability of particular carriers for inclusion in a given therapeutic composition depends on the preferred route of administration. For example, anti-neoplastic compositions may be formulated for oral administration. Such compositions are typically prepared as liquid solution or suspensions or in solid forms. Oral formulation usually include such additives as binders, fillers, carriers, preservatives, stabilizing agents, emulsifiers, buffers, mannitol, lactose, starch, magnesium stearate, sodium saccharin, cellulose, magnesium carbonate, and the like. These compositions may take the form of solutions, suspensions, tablets, pills, capsules, sustained release formulations, or powders, and typically contain 1% to 95% of active ingredient. More preferably, the composition contains from about 2% to about 70% active ingredient.

Compositions of the present invention may be prepared as injectables, either as liquid solutions, suspensions, or emulsions; solid forms suitable for solution in or suspension in liquid prior to injection. Such injectables may be administered subcutaneously, intravenously, intraperitoneally, intramuscularly, intrathecally, or intrapleurally. The active ingredient or ingredients are often mixed with diluents, carriers, or excipients which are physiologically tolerable and compatible with the active ingredient(s). Suitable diluents and excipients are for example, water, saline, dextrose, glycerol, or the like and combinations thereof. In addition, if desired, the compositions may contain minor amounts of auxiliary substances such as wetting or emulsifying agents, stabilizing or pH buffering agents.

The invention further provides methods for using Formula I compounds to inhibit the proliferation of mammalian cells by contacting these cells with a Formula I compound in an amount sufficient to inhibit the proliferation of the mammalian cell. A preferred embodiment is a method to inhibit the proliferation of

hyperproliferative mammalian cells. For purposes of this invention "hyperproliferative mammalian cells" are mammalian cells which are not subject to the characteristic limitations of growth (programmed cell death for example).

5 A further preferred embodiment is when the mammalian cell is human. The invention further provides contacting the mammalian cell with at least one Formula I or Formula III compound and at least one anti-neoplastic agent. The types of anti-neoplastic agents contemplated are discussed *supra*.

10 The invention further provides methods for using a compound of Formula I to inhibit the proliferation of hyperproliferative cells with drug-resistant phenotypes, including those with multiple drug-resistant phenotypes, by contacting said cell with a compound of Formula I in an amount sufficient to inhibit the proliferation of a
15 hyperproliferative mammalian cell. A preferred embodiment is when the mammalian cell is human. The invention further provides contacting a Formula I compound and at least one additional anti-neoplastic agent, discussed *supra*.

20 The invention provides a method for alleviating pathological conditions caused by hyperproliferating mammalian cells for example, neoplasia, by administering to a subject an effective amount of a pharmaceutical composition containing Formula I or Formula III compound to
25 inhibit the proliferation of the hyperproliferating cells. As used herein "pathological condition" refers to any pathology arising from the proliferation of mammalian cells that are not subject to the normal limitations of growth. Such proliferation of cells may be due to neoplasms as
30 discussed *supra*.

In a further preferred embodiment the neoplastic cells are human. The present invention provides methods of alleviating such pathological conditions utilizing a compound of Formula I in combination with other therapies,
35 as well as other anti-neoplastic agents.

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The effectiveness of the claimed compounds can be assessed using standard methods known to the skilled artisan.

5 The compounds are screened for minimum inhibitory concentrations against KB, a human nasopharyngeal carcinoma cell line, LoVo, a human colorectal adenocarcinoma cell line. The Corbett assay, see Corbett, T.H. et al. Cytotoxic Anticancer Drugs: Models and Concepts for Drug Discovery and
10 Development, pp 35-87, Kluwer Academic Publishers: Norwell, 1992. see also, Valeriote, et al. Discovery and Development of Anticancer Agents; Kluwer Academic Publishers, Norwell, 1993.

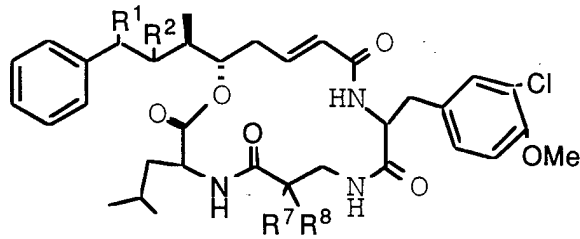
15 The most active compounds are further evaluated for cytotoxicity against four different cell types, for example a murine leukemia, a murine solid tumor, a human solid tumor, and a low malignancy fibroblast using the Corbett assay.

20 The compounds are further evaluated against a broad spectrum of murine and human tumors implanted in mice, including drug resistant tumors.

25 Tumor burden (T/C) (mean tumor burden in treated animals verses mena tumor burden in untreated animals) are used as a further assessment. T/C values that are less than 42% are considered to be active by National Cancer Institute Standards; T/C values less than 10% are considered to have excellent activity and potential clinical activity by National Cancer Institute standards.

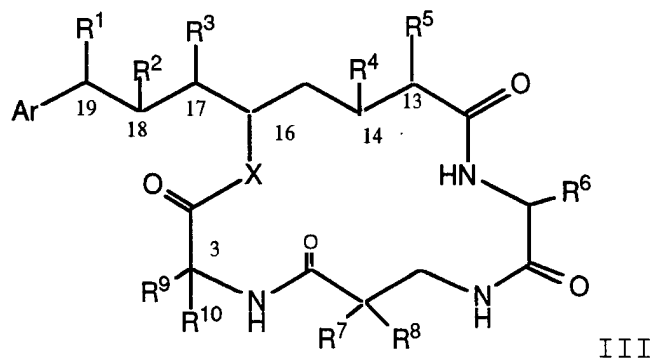
30 Evaluation of compounds of Formula I suggest that the compounds can be useful in the treatment methods claimed herein. Further, the compounds will be useful for disrupting the microtubule system.

 The results of testing compounds of this invention using the above-described assays are as follows:



	R ¹	R ²	R ⁷	R ⁸	IC ₅₀ <u> </u> nM
5	R ¹ and R ² Together form a double bond		CH ₃	CH ₃	3.0
	R ¹ and R ² Together form a double bond		H	H	0.77
10	R ¹ and R ² Together form an epoxide		CH ₃	CH ₃	0.014 (CCRF-CEM)
15	R ¹ and R ² Together form an epoxide		H	H	0.16 (CCRF-CEM)

Compounds of the Formula III may be preferred:



wherein

Ar is selected from the group consisting of phenyl, any simple unsubstituted aromatic, substituted aromatic, unsubstituted heteroaromatic, and substituted heteroaromatic group;

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R¹ is selected from the group consisting of halogen, SH, amino, monoalkylamino, dialkylamino, trialkylammonium, alkylthio, dialkylsulfonium, sulfate, and phosphate;

R² is OH or SH; or

5 R¹ and R² may be taken together with C₁₈ and C₁₉ to form an epoxide ring, an aziridine ring, an episulfide ring, a sulfate ring, or monoalkylphosphate ring; or

R¹ and R² may be taken together to form a second bond between C₁₈ and C₁₉;

10 R³ is a lower alkyl group;

R⁴ is H or H₂;

R⁵ is H or H₂;

R⁴ and R⁵ may be taken together to form a second bond between C₁₃ and C₁₄;

15 R⁶ is selected from the group consisting of benzyl, hydroxybenzyl, alkoxybenzyl, halohydroxybenzyl, dihalohydroxybenzyl, haloalkoxybenzyl, and dihaloalkoxybenzyl group;

R⁷ is H or a lower alkyl group;

20 R⁸ is H or a lower alkyl group; or

R⁷ and R⁸ may optionally be taken together to form a cyclopropyl ring;

R⁹ is selected from the group consisting of H, a lower alkyl group, (C₁-C₃) alkylaryl, and aryl;

25 R¹⁰ is selected from the group consisting of H, a lower alkyl group, (C₁-C₃) alkylaryl, and aryl;

X is O, NH or alkylamino; or

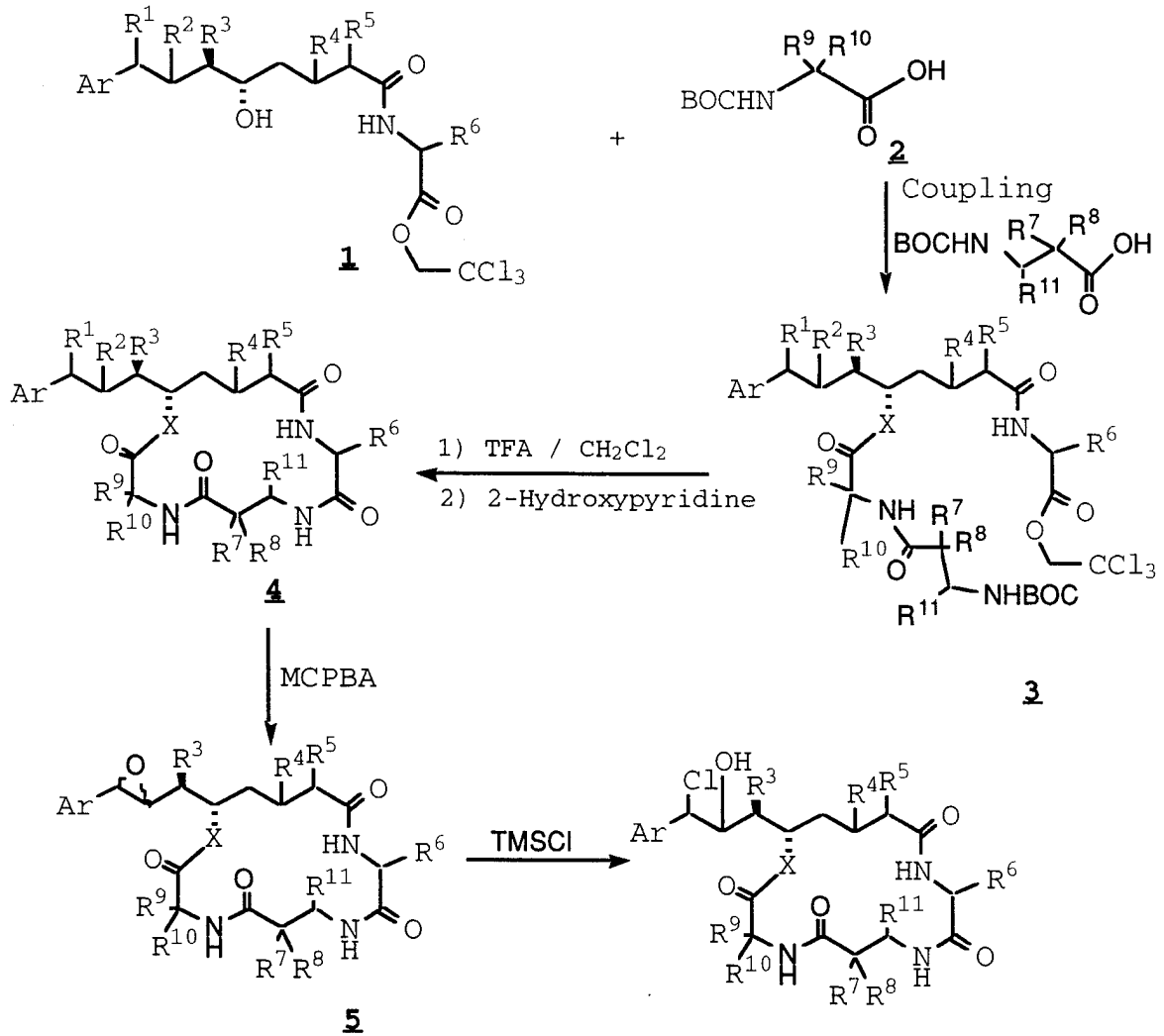
a pharmaceutically acceptable salt or solvate thereof.

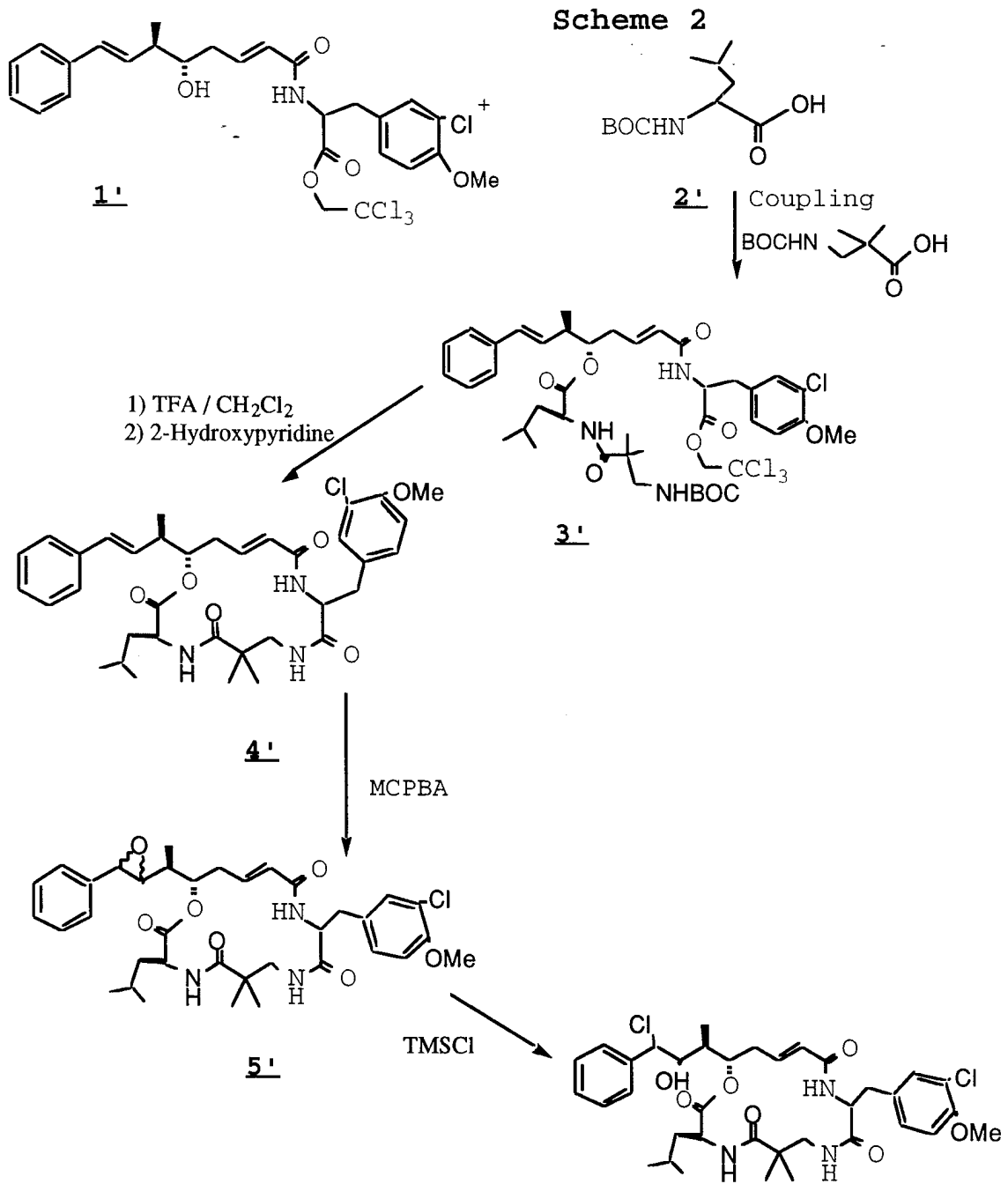
Further preferred compounds can be those wherein

30 R¹¹ is C₁-C₂ alkyl or benzyl.

Compounds of this invention can be prepared as illustrated using the following schemes.

Scheme 1

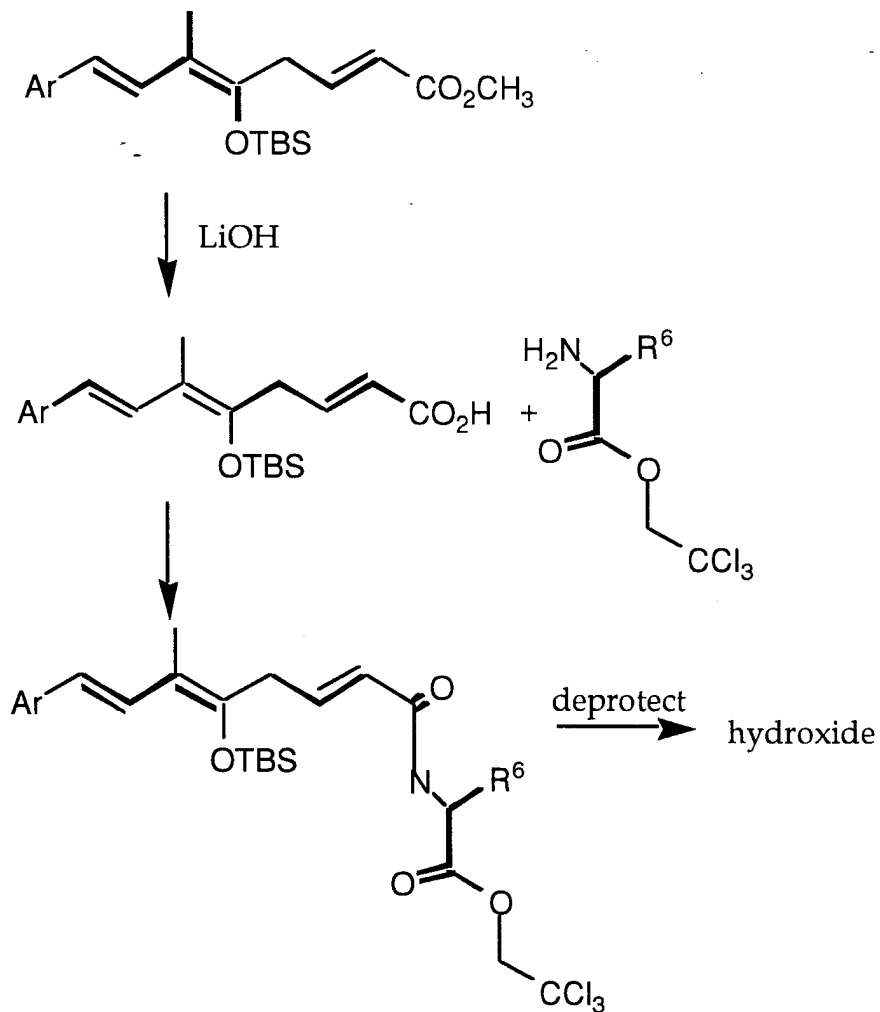




The cryptophycin A-B trichloroethyl ester can be prepared using information known in the literature; however, the following method is provided for the convenience of the artisan:

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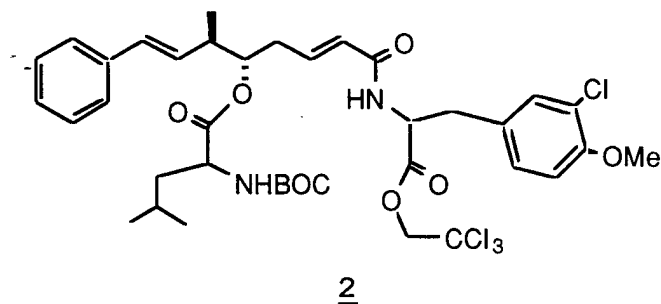
5 The artisan can utilize appropriate starting materials and reagents to prepare desired compounds using the guidance of the previous schemes and following examples.

The artisan can utilize appropriate starting materials and reagents to prepare desired compounds using the guidance of the previous schemes and following examples.

10 To further illustrate the invention the following examples are provided. The scope of the invention is in no way to be construed as limited to or by the following examples.

15

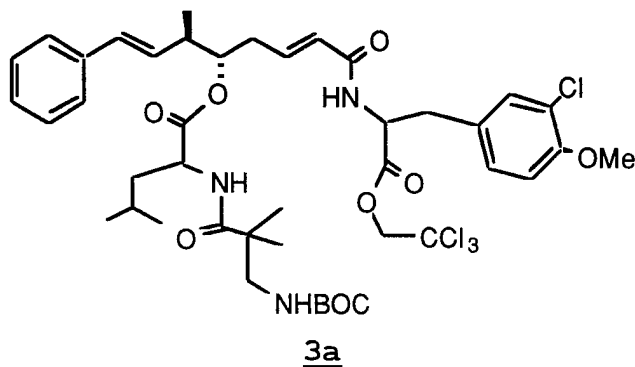
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PREPARATION 12

5

To a solution containing 100 mg (0.221 mmol) of Cryptophycin A-B trichloroethyl ester and 82 mg (0.332 mmol) of *N*-BOC-leucine in 5 ml of methylene chloride was added 68 mg (0.332 mmol) of dicyclohexylcarbodiimide (DCC) and 5 mg of *N,N*-4-dimethylaminopyridine (DMAP). The reaction was stirred at 25°C for 30 minutes, then diluted with 50 mL of ethyl acetate. The organic solution was washed with 0.5 N HCl and saturated sodium bicarbonate solution, dried over sodium sulfate and concentrated *in vacuo*. The crude material was purified by flash chromatography using 25% ethyl acetate-hexane as the eluent. The major fraction was concentrated *in vacuo* to give 110 mg (62%) of a white amorphous solid, which was characterized as 2. Mass Spec. (FD⁺) m/e 802 (M⁺).

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PREPARATION 23a

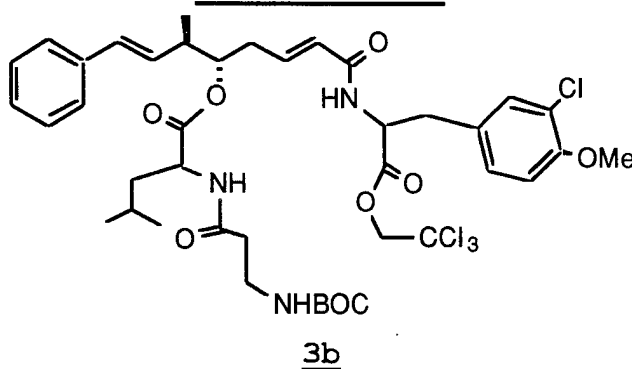
25

To a solution containing 92 mg (0.115 mmol) of 2 in 2 mL of methylene chloride was added 2 mL of trifluoroacetic

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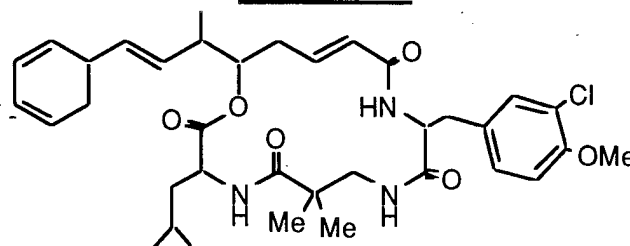
acid (TFA). The reaction was stirred at 25°C for 1 hour and concentrated *in vacuo* to give 100 mg of the corresponding TFA salt, which was used without further purification. To a solution containing 53 mg (0.244 mmol) of *N*-BOC-2,2-dimethyl-b-alanine in 10 mL 50% THF-DMF was added 41 mg (0.305 mmol) of *N*-hydroxybenzotriazole (HOBt) and 52 mg (0.244 mmol) of 1-ethyl-3-(3-dimethylaminopropyl)-carbodiimide (EDC). The reaction was stirred at 25°C for 15 min., after which a solution containing the TFA salt and 20 mL (0.183 mmol) of *N*-methyilmorpholine (NMM) in 5 mL of DMF was added. The reaction was stirred at 25°C for 15 hours, diluted with 100 mL of ethyl acetate and the organic solution washed twice with 0.5 N HCl, twice with saturated sodium bicarbonate solution and twice with brine. The organic layer was dried over sodium sulfate and concentrated *in vacuo* to give 105 mg (95%) of a white amorphous solid, which was characterized as 3a. Mass Spec. (FD⁺) m/e 901 (M⁺).

PREPARATION 3

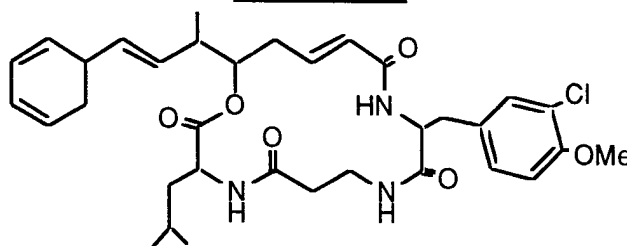


3b was prepared according to the method for the preparation of 3a. Mass Spec. (FD⁺) m/e 873 (M⁺).

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EXAMPLE 14a

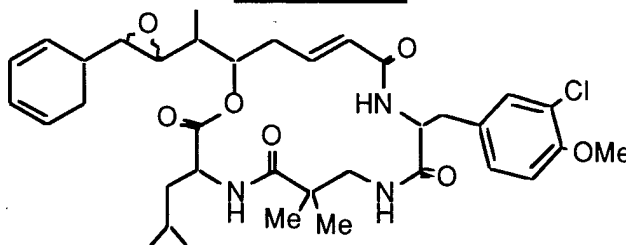
5 To a solution of 105 mg (0.116 mmol) of 3a in 2 mL
CH₂Cl₂ was added 2 mL of trifluoroacetic acid (TFA). The
reaction was stirred at 25°C for 30 min, after which it was
poured into 25 mL of a saturated aqueous sodium bicarbonate
10 solution. An additional 25 mL of CH₂Cl₂ was added and the
organic phase was washed with 1N NaOH, dried over sodium
sulfate and concentrated *in vacuo*. This crude material was
dissolved in 10 mL of toluene and stirred at 25°C as 11 mg
(0.116 mmol) of 2-hydroxypyridine was added. The reaction
was stirred at 25°C for 18 h. The reaction was diluted with
15 50 mL of ethyl acetate and the organic phase washed twice
with 1N HCl, twice with brine and twice with saturated
sodium bicarbonate solution. The organic solution was dried
over sodium sulfate and concentrated *in vacuo*. The crude
material was purified by flash chromatography on silica gel
20 using 50% ethyl acetate-hexane as the eluent. The major
fraction was concentrated *in vacuo* to give 27 mg (36%) of a
white amorphous solid, which was characterized as 4a. Mass
Spec. (FD⁺) m/e 651 (M⁺).

EXAMPLE 24b

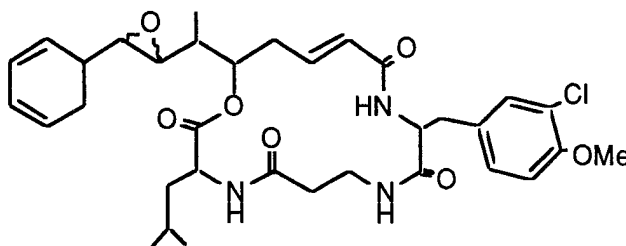
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-27-

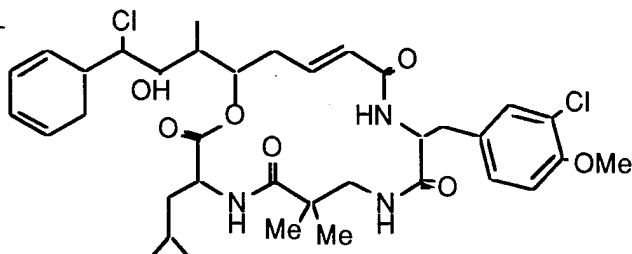
4b was prepared according to the method for the preparation of 4a. Mass Spec. (FD⁺) m/e 623 (M⁺).

EXAMPLE 35a

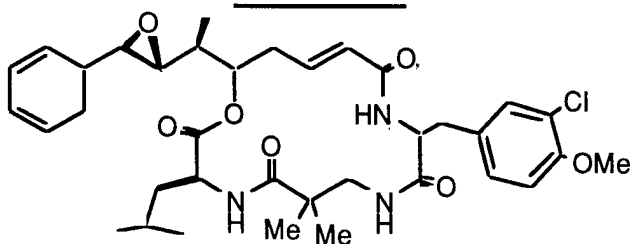
To a solution containing 20 mg (0.031 mmol) of 4a in 5 mL of CH₂Cl₂ was added 6.3 mg (0.037 mmol) of *m*-chloroperbenzoic acid (mCPBA). The reaction was stirred at 25°C and monitored by HPLC (reverse phase, C₁₈, 70% acetonitrile-water). After about 5 h, the reaction slowed and an additional 5 mg mCPBA was added. The reaction was stirred at 25°C and after another 12 h, was complete. The reaction was diluted with 25 mL CH₂Cl₂ and washed twice with a saturated sodium meta-bisulfite solution and twice with a saturated sodium bicarbonate solution. The organic layer was dried and concentrated *in vacuo* to give 18 mg (85%) of a white amorphous solid, which was characterized as a 2:1 mixture of diastereomeric epoxides of 5a. Mass Spec. (FD⁺) m/e 667 (M⁺).

EXAMPLE 45b

5b was prepared according to the method for the preparation of 5a. Mass Spec. (FD⁺) m/e 639 (M⁺).

EXAMPLE 56

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 10
 15
 A solution containing 50 mg (0.075 mmol) of 5a in 2 mL chloroform was cooled to -60°C and 47 mL (0.375 mmol) of chlorotrimethylsilane (TMSCl) was added. The reaction was warmed to 25°C and poured into 20 mL of water. An additional 20 mL of chloroform was added and the organic layer was separated, dried over sodium sulfate and concentrated *in vacuo* to give a mixture of chlorohydrins. The major chlorohydrin was purified by flash chromatography on silica gel using ethyl acetate as the eluent. The major fraction was concentrated *in vacuo* to give 19 mg (54%) of a white amorphous solid, which was characterized as 6. Mass Spec. (FD⁺) m/e 704 (M⁺).

EXAMPLE 67

20
 25
 To a solution of 5.0 mg (0.0071 mmol) of 6 in 2 mL of 50% acetonitrile:water was added 2 mg of sodium carbonate. The reaction was stirred at 25°C for 1 hour, then diluted with 10 mL ethyl acetate. The organic solution was washed once with water, dried over sodium sulfate and concentrated *in vacuo* to give 4.0 mg (85%) of a white amorphous solid,

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which was characterized as pure epoxide 7. Mass Spec. (FD⁺)
m/e 667 (M⁺).

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R⁹ is selected from the group consisting of H, a lower alkyl group, (C₁-C₃) alkylaryl, and aryl;

R¹⁰ is selected from the group consisting of H, a lower alkyl group, (C₁-C₃) alkylaryl, and aryl;

5 R¹¹ is selected from the group consisting of hydrogen, simple alkyl, phenyl, substituted phenyl, benzyl, and substituted benzyl;

X is O, NH or alkylamino; or

a pharmaceutically acceptable salt or solvate thereof.

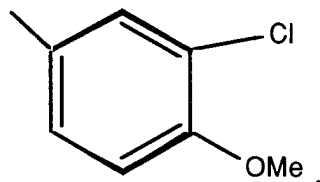
10

2. A compound of **Claim 1** wherein R¹¹ is hydrogen.

3. A compound of **Claim 2** wherein X is O.

15

4. A compound of **Claim 3** wherein R⁶ is a group of the formula:



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5. A compound of **Claim 4** wherein R⁸ and R⁷ are each methyl.

6. A compound of **Claim 5** wherein R⁹ is isobutyl and R¹⁰ is hydrogen.

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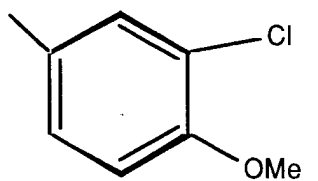
7. A compound of **Claim 6** wherein R¹ and R² form an epoxide group.

8. A compound of **Claim 6** wherein R¹ is Cl and R² is OH.

30

9. A compound of **Claim 1** wherein X is O.

10. A compound of **Claim 9** wherein R⁶ is a group of the formula:



5 11. A compound of **Claim 10** wherein R⁸ and R⁷ are each methyl.

12. A compound of **Claim 11** wherein R⁹ is isobutyl and R¹⁰ is hydrogen.

10 13. A compound of **Claim 12** wherein R¹¹ is C₁-C₄ alkyl.

14. A compound of **Claim 12** wherein R¹¹ is benzyl.

15 15. A compound of **Claim 14** wherein R¹ and R² form an epoxide group.

20 16. A compound of **Claim 14** wherein R¹ is Cl and R² is OH.

25 17. A method for disrupting microtubule binding in a mammal comprising administering an effective amount of a compound of **Claim 1**.

18. A method for disrupting microtubule binding in vitro comprising administering an effective amount of a compound of **Claim 1**.

30 19. A method for treating a neoplasm in a mammal comprising administering an effective amount of a compound of **Claim 1** to a patient in need thereof.

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20. A formulation comprising a compound of **Claim 1** and one or more pharmaceutically acceptable diluents or carriers therefor.

5 21. A compound as claimed by Claim 1 or a pharmaceutically acceptable salt thereof, for use as a pharmaceutical.

10 22. A pharmaceutical formulation comprising as an active ingredient a compound of Claim 1 or a pharmaceutically acceptable salt thereof, associated with one or more excipients or carriers therefor.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US98/03666

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :C07D 273/00; A61K 31/395
US CL :540/454, 460; 514/183; 530/330, 331, 332

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 540/454, 460; 514/183; 530/330, 331, 332

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

CAS ONLINE

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5,254,682 A (DHANOA et al.) 19 October 1993, entire document	1-22
A	US 5,225,528 A (BOCK et al.) 06 July 1993, entire document	1-22
A	US 5,194,605 A (GREENLEE et al.) 16 March 1993, entire document	1-22

Further documents are listed in the continuation of Box C. See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
A document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
E earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Z* document member of the same patent family
O document referring to an oral disclosure, use, exhibition or other means	
P document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

24 APRIL 1998

Date of mailing of the international search report

03 JUN 1998

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