## (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization

International Bureau





(10) International Publication Number WO 2017/001907 A1

(43) International Publication Date 5 January 2017 (05.01.2017)

(51) International Patent Classification: C12P 7/02 (2006.01) C07C 43/178 (2006.01) C07C 41/00 (2006.01) C12P 41/00 (2006.01)

(21) International Application Number:

PCT/IB2016/000615

(22) International Filing Date:

22 April 2016 (22.04.2016)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 62/186,005

29 June 2015 (29.06.2015)

US

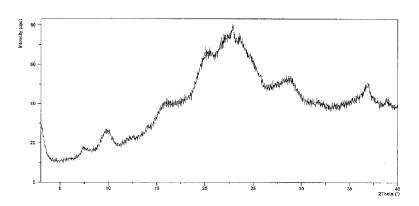
- (71) Applicant: TEVA PHARMACEUTICALS INTERNA-TIONAL GMBH [CH/CH]; Schlusselstrasse 12, 8645 Jone (CH).
- (72) Inventors: PAAL, Tihamer; Csemete Utca 1, 1/10, 4026 Debrecen (HU). BUCHLOVIC, Marian; Vajanskeho 2, 934 01 Levice (SK). CVAK, Ladislav; 6. Kvetna 6a, 64601 Opava Zlatniky (CZ). PROCHAZKOVA, Marketa; Nova 372, 691 27 Popice (CZ).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

#### Published:

— with international search report (Art. 21(3))

(54) Title: BIOCATALYTIC PROCESSES FOR THE PREPARATION OF VILANTEROL

Figure 5: a X-ray powder diffractogramfgenban of Vilanterol tartrate



(57) Abstract: A process for preparing Vilanterol includes a biocatalytic conversion of a ketone substrate to its corresponding alcohol, and then converting the obtained alcohol into Vilanterol. Polypeptides may be used for the biocatalytic conversion of the ketone substrate, such as 2-bromo-l-(2,2-dimethyl-4H-l,3-benzodioxin-6-yl)ethanone, to an enantiopure alcohol, (R)-2-bromo-l-(2,2-dimethyl-4H-l,3-benzodioxin-6-yl)ethanol for the preparation of Vilanterol. Also disclosed is vilanterol tartrate and solid state forms thereof for use as medicaments and for the preparation of other vilanterol salts, or of vilanterol, solid state forms and/or formulations thereof. Also disclosed is a process for the preparation of pharmaceutical formulations including vilanterol tartrate and solid state forms thereof, as well as a method of treating a person suffering from COPD and asthma by administering a therapeutically effective amount of any one or a combination of vilanterol tartrate and solid state forms thereof or a pharmaceutical composition and/or formulation comprising vilanterol tartrate and solid state forms thereof.



15

20

25

## BIOCATALYTIC PROCESSES FOR THE PREPARATION OF VILANTEROL

This application is related to, and claims the benefit or priorities of, U.S. Provisional Application No. 62/151,016, entitled BIOCATALYTIC PROCESSES FOR THE PREPARATION OF VILANTEROL, filed on 22 April 2015, and U.S. Provisional Application No. 62/186,005, entitled VILANTEROL TARTRATE, filed on 29 June 2015, all of the contents of which are incorporated herein by reference in their entirety for all purposes.

# Field of the Invention

This invention relates to highly efficient biocatalytic processes for the preparation of Vilanterol and intermediates in the preparation thereof.

# **Background of the Invention**

Vilanterol, 4-{(1R)-2-[(6-{2-[(2,6-Dichlorobenzyl)oxy]ethoxy}hexyl)amino]-1-hydroxyethyl}-2-(hydroxymethyl)phenol having the following chemical structure:

is reported to be a long-acting  $\beta$ 2-adrenoceptor agonist, administered as a dry powder formulation. Vilanterol trifenatate is available in combination with Umeclidinium bromide or Fluticasone furoate for the treatment of chronic obstructive pulmonary disease (COPD) and asthma

Vilanterol, as well as certain pharmaceutically acceptable salts thereof and processes for the preparation thereof, are described in WO2003024439 and in J. Med. Chem. 2010, 53 (4522-4530).

WO2014041565 describes a similar process to the process described in WO2003024439 for the preparation of Vilanterol and pharmaceutical acceptable salts thereof, by a process comprising reduction of ketone with borane.

WO2010/025085 describes engineered ketoreductase enzymes allegedly capable of reducing 5-((4S)-2-oxo-4-phenyl (1,3-oxazolidin-3-yl))-1-(4-fluorophenyl) pentane-1,5-dione to (4S)-3-[(5S)-5-(4-fluorophenyl)-5-hydroxypentanoyl]-4-phenyl-1,3-oxazolidin-2-one.

2-Bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanol is an alcohol intermediate that can be used to make Vilanterol.

Liu et al.; Tetrahedron: Asymmetry 2008, 19, 1824–2828 discloses an 30 enantioselective catalytic hydrogenation of the ketone - 2-bromo-1-(2,2-dimethyl-4H-

20

25

30

35

1,3-benzodioxin-6-yl)ethanone via a chiral Rh-complex yielding the enantiopure alcohol, 2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanol. The enantiomeric excess ("ee") of this process is 93%, and can be increased to 98% only when using PEGylated chiral ligands.

WO 2004/022547 and US 2005/0075394 describe other synthetic approaches which use 2-azido- or 2-(N-Boc)-amino moieties instead of the 2-bromo moiety. The reduction in the described processes is done with borane dimethylsulfide complex and CBS-borane catalyst. Use of borane and derivatives thereof requires stoichiometric amounts and borane is known to be toxic and not environmentally friendly.

Goswami et al.; Tetrahedron: Asymmetry 2000, 11, 3701–3709 and Goswami et al.; Tetrahedron: Asymmetry 2001, 12, 3343–3348 describe a microbial process, however, carried out under whole cell fermentation conditions. Whole cells (wet biomass) were used in a 50-fold mass excess over the substrate, thus require a large excess of whole cell while the substrate, 2-bromo-1-(4H-1,3-benzodioxin-6-yl)ethanone was used in 1 g/l concentration, which is considered very low. In these processes, a 4H-1,3-benzodioxin moiety is used, which has low sensitivity to acids; therefore its conversion to the corresponding diol requires harsh conditions of concentrated acids. Such conditions may result in water elimination or racemization, which adversely affects the purity and yield of the final product.

A biocatalytic preparation of other compounds such as (R)-2-azido-1-(4H-1,3-benzodioxin-6-yl)ethanol by whole cell reduction was also described in Procopiou et al.; Tetrahedron: Asymmetry 2001, 12, 2005-2008. These described reactions were also done with a 1 g/l substrate concentration and yielded only moderate R-selectivity: enantiomeric excess of 88%.

Moreover, the above described processes utilize intermediates that have limited stability and are oily substances with limited scale-up options and difficult to handle in an industrialized process. Further, the above described processes involve column chromatography for purification of the intermediates. For at least the above reasons, there is a need to have an improved, safe process with increased efficiency, that can be utilized on an industrial scale, for preparing 2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanol and subsequently Vilanterol in high enantiomeric excess (> 98% ee). The desired process comprises a high substrate concentration, allowing high space-time yields (defined as product amount produced per volume and per time, g/l/day or g/l/h). The enzyme (catalyst) concentration should be kept low to allow a commercially competitive process.

10

15

25

30

# **Summary of the Invention**

The present invention relates to a process for preparing Vilanterol, which comprises a biocatalytic conversion of a ketone substrate to its corresponding alcohol, and then converting the obtained alcohol into Vilanterol.

In one aspect the present invention relates to methods of using polypeptides for the biocatalytic conversion of the Ketone Substrate, as described herein below, to the corresponding alcohol, preferably wherein the Ketone Substrate is 2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanone and it is converted to enantiopure alcohol, (R)-2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanol. In particular, these methods may be utilized for the preparation of Vilanterol.

In a further aspect, the present invention provides use of compound of formula V, VI and VII and salts thereof of the following formula:

in the preparation of Vilanterol. The compounds V, VI and VII are used in the solid form as a salt.

In a further aspect, the present invention provides preparation of Vilanterol in the form of L-tartaric acid salt.

The present invention also encompasses the vilanterol tartrate and solid state forms 20 thereof for the preparation of other vilanterol salts, or of vilanterol, solid state forms and/or formulations thereof.

The present invention also encompasses the vilanterol tartrate and solid state forms thereof described herein for use as medicaments, particularly sole product or as a combination therapy with an inhaled corticosteroid for COPD and asthma.

The present invention also encompasses a process for the preparation of pharmaceutical formulations comprising combining vilanterol tartrate and solid state forms thereof, or a pharmaceutical composition comprising said vilanterol tartrate and solid state forms thereof and at least one pharmaceutically acceptable excipient.

The present invention also encompasses a method of treating a person suffering from COPD and asthma, comprising administering a therapeutically effective

20

25

amount of any one or a combination vilanterol tartrate and solid state forms thereof, or a pharmaceutical composition and/or formulation comprising vilanterol tartrate and solid state forms thereof described herein.

## **Brief Description of the Drawings**

Figure 1 shows a powder X-ray diffraction pattern ("powder XRD" or "PXRD") of (R)- 2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanol (Compound II).

Figure 2 shows a powder X-ray diffraction pattern of <u>6-(2-((2,6-dichlorobenzyl)oxy)ethoxy)hexan-1-amine L-tartaric acid salt (V L-tartrate).</u>

Figure 3 shows a powder X-ray diffraction pattern of (R)-N-(2-((tert-butyldimethylsilyl)oxy)-2-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethyl)-6-(2-((2,6-dichlorobenzyl)oxy)ethoxy)hexan-1-amine fumarate salt (VIa fumarate).

Figure 4 shows a powder X-ray diffraction pattern of (R)-2-((6-(2-((2,6-dichlorobenzyl)oxy)ethoxy))amino)-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethan-1-ol L-Tartaric acid salt (VII L-tartrate)

Figure 5 shows a powder X-ray diffraction pattern of Vilanterol L-tartrate.

## **Detailed Description of the Invention**

The present invention relates to highly efficient biocatalytic processes for the preparation of Vilanterol and Vilanterol intermediates.

The present invention provides an improved, safe process with increased efficiency, that can be utilized on an industrial scale, for preparing 2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanol and subsequently Vilanterol, both in high enantiomeric excess (> 98% ee) and/or optical purity (>99%).

Particularly, the present invention offers a desirable, well-controlled biocatalytic process, that may be adapted to a large industrial scale, and allows high substrate loadings (e.g., > 50 g/L), high percent conversion (e.g., > 90% in 24 h), high enantiomeric excess (e.g., at least about 99% ee) and low enzyme loading (e.g., less than 5 w/w%). In addition, the process provided in the present invention eliminates the need for an additional co-factor regenerating enzyme other than the ketoreductase enzyme/polypeptide or engineered ketoreductase polypeptide.

The present invention encompasses crystalline salts of compounds V, VI and VII 30 which are used as intermediates of Vilanterol synthesis.

The present invention encompasses vilanterol tartrate, solid state forms thereof and pharmaceutical compositions comprising one or more of the vilanterol salts and/or solid state forms thereof. The present invention also encompasses vilanterol tartrate

25

30

35

and solid state forms thereof for use in the preparation and purification of Vilanterol and Vilanterol salt, such as Vilanterol trifenatate.

As used herein "ketoreductase" refers to an enzyme/polypeptide that can catalyse the reduction of a ketone to form the corresponding alcohol. Ketoreductase enzymes include, for example, those classified under the E.C. (or IUBMB - International 5 Union of Biochemistry and Molecular Biology) no. 1.1.1 (i.e. 1.1.1.x) (see http://www.enzyme-database.org), as well as synthetic or engineered polypeptide variants thereof (i.e. polypeptides having ketoreductase activity. Ketoreductases (KREDs) are given various names in addition to ketoreductase, including, but not limited to, alcohol dehydrogenase, carbonyl reductase, lactate dehydrogenase, 10 hydroxyacid dehydrogenase, hydroxyisocaproate dehydrogenase, [beta]hydroxybutyrate dehydrogenase, steroid dehydrogenase, sorbitol dehydrogenase, aldoreductase, and the like. NADPH-dependent ketoreductases are classified under the IUBMB number of 1.1.1.2 and the CAS number of 9028-12-0. NADH-dependent ketoreductases are classified under the IUBMB number of 1.1.1.1 and the CAS number 15 of 9031 -72-5. Preferably, the ketoreductases useful for the processes of the present invention comprise enzymes from IUBMB or E.C. 1.1.1.1 and E.C. 1.1.1.2, more generally all enzymes belonging to E.C. 1.1.1 and engineered polypeptide variants

As used herein, the term "co-factor" refers to a non-protein compound that operates in combination with an enzyme which catalyzes the reaction of interest. Co-factors include, for example, nicotinamide co-factors such as nicotinamide adenine dinucleotide ('TSfAD") or a salt thereof, reduced nicotinamide adenine dinucleotide ("NADH") or a salt thereof, nicotinamide adenine dinucleotide phosphate ("NADP<+>"), reduced nicotinamide adenine dinucleotide phosphate ("NADPH"), and derivatives or analogs thereof. Reduced cofactors as NADPH or NADH function as intermediate hydrogen donors, and oxidized cofactors (NADP+ or NAD+) function as intermediate hydrogen acceptors in the catalytic mechanism of the enzymes. Examples of salts of the cofactors include NAD tetra(cyclohexyl ammonium) salt, NAD tetrasodium salt, NAD tetrasodium hydrate, NADP<+> phosphate hydrate, NADP<+> phosphate sodium salt, and NADH dipotassium salt. Preferably, in the processes of the present invention, the co-factor is NADP or NADPH.

As used herein, the term "isolated" in reference to compounds described in the invention corresponds to a compound that is physically separated from the reaction mixture in which it is formed.

10

15

20

25

30

As used herein, the term "isolated" in reference to polypeptides/enzymes refers to polypeptides/enzymes at least partially separated from the environment in which they are formed, for example from the natural environment, e.g., from bacteria. Thus, use of the term "isolated" indicates that a naturally occurring or recombinant enzyme has been at least partially removed from its normal cellular or natural environment, e.g. from bacterial cells. Preferably, the isolated enzyme is in a cell-free system. The isolated enzyme can be crude or highly purified. The term "isolated" does not necessarily imply that the enzyme is the only enzyme present, but that it is the predominant enzyme present (at least 10-20% more than any other enzyme). As used herein, when applied to an enzyme, the term "synthesized" or "engineered" refers to an enzyme that is prepared by chemical synthesis, recombinant means, or the combination thereof. As used herein, when applied to an enzyme, the term "purified" refers to an enzyme that is essentially free (at least about 90-95% pure) of nonenzymatic material or other enzymes. The isolated enzyme can be a lysate or an enzyme powder obtained by lyophilization of cell lysates, which can contain isolated, but unpurified enzymes.

Preferably, in any aspect or embodiment of the present invention, the ketoreductase is isolated. The ketoreductase can be separated from any host, such as mammals, filamentous fungi, yeasts, and bacteria. The isolation, purification, and characterization of a NADH-dependent ketoreductase is described in, for example, in Kosjek et al, Purification and Characterization of a Chemotolerant Alcohol Dehydrogenase Applicable to Coupled Redox Reactions, Biotechnology and Bioengineering, 86:55-62 (2004). Preferably, the ketoreductase is synthesized or engineered. The ketoreductase can be synthesized chemically or using recombinant means. The chemical and recombinant production of ketoreductases is described in, for example, in US2016/0083759, WO2010025085, WO2011022548, and WO2009046153. Preferably, the ketoreductase is purified, preferably with a purity of about 90% or more, more preferably with a purity of about 95% or more. Preferably, the ketoreductase is substantially cell-free.

A thing, e.g., a reaction mixture, may be characterized herein as being at, or allowed to come to "room temperature, often abbreviated "RT." This means that the temperature of the thing is close to, or the same as, that of the space, e.g., the room or fume hood, in which the thing is located. Typically, room temperature is from about 20°C to about 30°C, or about 22°C to about 27°C, or about 25°C.

10

15

20

25

30

35

A process or step may be referred to herein as being carried out "overnight." This refers to a time interval, e.g., for the process or step, that spans the time during the night, when that process or step may not be actively observed. This time interval is from about 8 to about 20 hours, or about 10-18 hours, typically about 16 hours.

As used herein, the term "reduced pressure" refers to a pressure of about 10 mbar to about 50 mbar.

As used herein, the terms "vol." or "volume" can be used to refer to ml per gram of the corresponding Vilanterol salts. For example, a statement that 0.5 g of Vilanterol is dissolved in ten volumes of a Solvent X would be understood to mean that the 0.5 g of Vilanterol was dissolved in 5 ml of Solvent X.

A crystal form may be referred to herein as being characterized by graphical data "substantially as depicted in" a Figure. Such data include, for example, powder X-ray diffractograms and solid state NMR spectra. As is well-known in the art, the graphical data potentially provides additional technical information to further define the respective solid state form (a so-called "fingerprint") which can not necessarily be described by reference to numerical values or peak positions alone. In any event, the skilled person will understand that such graphical representations of data may be subject to small variations, e.g., in peak relative intensities and peak positions due to factors such as variations in instrument response and variations in sample concentration and purity, which are well known to the skilled person. Nonetheless, the skilled person would readily be capable of comparing the graphical data in the Figures herein with graphical data generated for an unknown crystal form and confirm whether the two sets of graphical data are characterizing the same crystal form or two different crystal forms. A crystal form of a Vilanterol salt referred to herein as being characterized by graphical data "substantially as depicted in" a Figure will thus be understood to include any crystal forms of the Vilanterol salt characterized with the graphical data having such small variations, as are well known to the skilled person, in comparison with the Figure.

As used herein, unless stated otherwise, the XRPD measurements are taken using copper  $K\alpha$  radiation wavelength (1.5418 Å). As used herein and unless indicated otherwise, the term "solvate" refers to a crystal form that incorporates a solvent in the crystal structure. When the solvent is water, the solvate is often referred to as a "hydrate." The solvent in a solvate may be present in either a stoichiometric or in a non-stoichiometric amount.

10

15

20

25

30

In one embodiment, the present invention relates to polypeptides having ketoreductase activity, and to methods of using the polypeptides for the biocatalytic conversion of the Ketone Substrate of the following structure:

$$G^{1}$$
 $G^{2}$ 
 $G^{2}$ 

Ketone Substrate

to the corresponding alcohol of the following structure:

## Alcohol Compound

wherein L is a leaving group, that may be selected for example from halogen containing groups, typically a chloro, bromo or iodo group; or a sulphonate group such as an alkylsulphonate (particularly  $C_{1-6}$  alkylsulphonates), typically methane sulphonate; or an aryl sulphonate (particularly  $C_{6-10}$  arylsulfonate) group, typically a toluenesulphonate group (e.g. para-toluenesulphonate);  $G^1$  and  $G^2$  may each independently be a hydroxyl-protecting group or a hydrogen.

Suitable hydroxyl-protecting protecting groups can be silyl-type protecting groups according to the formula  $-SiR^1R^2R^3$ , wherein  $R^1$ ,  $R^2$  and  $R^3$  are independently selected from: a C<sub>1</sub>-C<sub>15</sub> straight or branched alkyl group, a C<sub>1</sub>-C<sub>10</sub> cycloalkyl (preferably  $C_{3-10}$  cycloalkyl or  $C_{5-8}$  cycloalkyl) group, an optionally substituted  $C_6$ - $C_{10}$  aryl group and an optionally substituted  $C_7$ - $C_{12}$  arylalkyl group. Examples of preferred silyl-type protecting groups are t-butyldimethylsilyl (TBDMS), triethylsilyl (TES), tbutyldiphenylsilyl (TBDPS), and trimethylsilyl (TMS). Alternatively, the hydroxylprotecting groups  $G^1$ ,  $G^2$  may be independently selected from: ether groups (e.g.  $C_{1-10}$ alkyl or  $C_{5-10}$  cyclic ethers, preferably  $C_{5-8}$  cyclic ethers, methyl ethers or ethyl ethers) or ester groups (e.g.  $C_{1-10}$  alkyl esters, preferably  $C_{1-6}$  alkyl esters, or  $C_{6-10}$  aryl esters, or  $C_{7-11}$  araalkyl esters). Particularly, the hydroxyl protecting groups  $G^1$ ,  $G^2$  may be independently selected from methyl or substituted methyl groups, typically tetrahydropyranyl (THP), methoxymethyl (MOM), benzyloxymethyl; or ethyl or substituted ethyl groups, typically ethoxyethyl, benzyl or tert-butyl; or ester groups, typically acetate, or aryl substituted acetate groups, for example benzoate or substituted benzoate groups. Additional hydroxyl-protecting groups can be selected

15

20

25

from those described in Greene and Wuts "Greene's Protective Groups in Organic Synthesis", 4th Edition, publ. Wiley, 2006.

Preferably, hydroxyl-protecting groups  $G^1$  and  $G^2$  may together represent an group suitable for protection of 1,3 diols, for example cyclic acetal or ketal, typically methylene acetal, ethylidene acetal, isopropylidene acetal (acetonide). Preferably  $G^1$ ,  $G^2$  is isopropylidene acetal (acetonide).

Preferably,  $G^1$  and  $G^2$  of the Ketone Substrate together represent isopropylidene acetal (acetonide), and the Ketone Substrate is 2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanone, which is referred to as Substrate I.

Preferably, Substrate I is converted to enantiopure alcohol: (R)-2-bromo-1-(2,2- 10 dimethyl-4H-1,3-benzodioxin-6-yl)ethanol, which is referred to herein as Compound II.

Preferably, by "enantiopure", it is mean that the compound has an optical purity of :  $\geq 85\%$ ,  $\geq 90\%$ ,  $\geq 92\%$ ,  $\geq 94\%$ ,  $\geq 96\%$ ,  $\geq 98\%$ ,  $\geq 99\%$ ,  $\geq 99.5\%$ , or  $\geq 99.8\%$ , and more preferably an optical purity of  $\geq 99\%$ ,  $\geq 99.5\%$ , or  $\geq 99.8\%$ .

Compound II may be isolated, preferably it is crystalline. The invention also comprises crystalline Compound II, as described herein below.

In particular, the above described methods may be utilized for the preparation of Vilanterol.

The process can be illustrated by Scheme 1.

## Scheme 1:

G1 OH L KRED G1 OH L

 $\begin{array}{ccc}
& & & \\
NAD(P)H & & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& & & \\
& &$ 

wherein G<sup>1</sup>, G<sup>2</sup> and L are as defined above.

Particularly, when Substrate I is used to produce Compound II, as defined the process can be illustrated by the following scheme:

## Scheme 2:

Br KRED OH Br
NAD(P)H + NAD(P)+
H+

In another embodiment, the present invention comprises a process for biocatalytic reduction of the Ketone Substrate, as described above, to the

10

15

20

25

30

35

corresponding alcohol, as described above, preferably wherein the Ketone Substrate is Substrate I and it is converted to enantiomerically pure alcohol, Compound II. This process is done by using an isolated enzyme capable of keto-reductase activity.

Examples of enzymes capable of ketoreductase activity are engineered ketoreductase polypeptides such as those disclosed in US2016/0083759, WO2010025085, WO2011022548, and WO2009046153, herein incorporated by reference. Examples of suitable enzymes may be the commercially available enzymes such as: Codexis CDX-005 or Codexis KRED-P1-H01, or an equivalent enzyme thereof.

As used herein, the term equivalent enzyme refers to an enzyme with similar or identical enzymatic activity, which produces the product in the desired enantiomeric access and optical purity, as described in this invention.

According to the present invention, the effective amount of an enzyme (or combination of enzymes) may be any amount of the enzyme that is sufficient to achieve a desired degree of conversion of a substrate, for example, at least 90%, preferably at least 95%, more preferably at least 98% conversion of a substrate, during 24 hours of reaction time.

The above process typically allows utilizing substrate in a concentration of at least 20 g/l, preferably at least 40 g/l, more preferably at least 100 g/l.

Preferably the required quantities of the enzyme and the co-factor (NADP or NADPH) are dissolved in an aqueous buffer. The enzyme and substrate pair used for the regeneration of the co-factor (e.g. glucose dehydrogenase and glucose; formate dehydrogenase and sodium formate; phosphite dehydrogenase and sodium phosphite) are dissolved in the same aqueous solution and the pH is adjusted to the required value. Thereafter the substrate (2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanol) is dissolved separately in a water immiscible organic solvent, and a non-ionic tensioactive agent (surfactant) (preferably Triton® X-100) is dissolved in the solution. The solution of the substrate in organic solvent may be slowly added to the aqueous solution containing the enzyme and the co-factor under vigorous stirring. The pH of the reaction system may be kept constant during the enzymatic reaction by addition of an acid or a base if required.

The process may be done with a biphasic solvent system, i.e., comprising an aqueous buffer and a water immiscible solvent. Typically, the enzyme is dissolved in aqueous buffer. Examples of aqueous buffer includes potassium or sodium phosphate, hydrochloride or sulfate salts of tertiary amines, triethanolamine, TRIS (tris(hydroxymethyl)aminomethane), sodium- or potassium salts of MES (2-(N-

10

15

20

25

30

35

morpholino)ethanesulfonic acid), HEPES (4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid), MOPS (3-(N-morpholino)propanesulfonic acid), etc. Examples of water immiscible organic solvents include ethers, esters, aromatic and aliphatic hydrocarbons or their mixtures, preferably diisopropyl ether, methyl tert-butyl ether, ethyl acetate, isobutyl acetate, butyl acetate, ethyl butanoate, toluene, hexane, heptane or mixtures thereof.

Alternatively, the process can be done with a monophasic solvent system comprising an aqueous buffer and a water miscible organic solvent. Examples of water miscible solvents include alcohols, aprotic polar solvents or mixtures thereof, preferably isopropanol, ethanol, isobutanol, dimethyl sulfoxide, dimethyl formamide, dimethyl acetamide, acetonitrile or mixtures thereof.

Typically the amount of solvent utilized is an amount necessary to dissolve the enzyme and substrate. Typically, the amount of water immiscible organic solvent may be from about 20 to about 75 v/v%, from about 30 to about 65v/v% or from about 45 to about 55 v/v%. The amount of water miscible solvent may be from about 10 to about 75 v/v%, from about 25 to about 65 v/v%, or from about 50 to about 55v/v%.

The process is typically performed in the presence of a suitable co-factor as NADH or NADPH, and a co-factor regenerating system capable of converting NADP+ to NADPH, or NAD+ to NADH. Optionally, the reaction mixture further comprises a cofactor regenerating/recycling system. Typically, the co-factor regenerating/recycling system comprises a substrate and a dehydrogenase. The co-factor recycling system can comprise an additional enzyme and its substrate in more than 1 equivalent amount relative to Ketone Substrate I. The co-factor recycling system can comprise, for example, the following pairs of enzymes and substrates: glucose dehydrogenase and glucose, formate dehydrogenase and sodium formate or phosphite dehydrogenase and sodium phosphite, alcohol dehydrogenase and a secondary alcohol (e.g. isopropanol). In some of the examples subject to the scope of this invention the ketoreductase polypeptide (enzyme) can regenerate the co-factor itself, in the presence of isopropanol as water miscible co-solvent, or part of the water-miscible co-solvent mixture. Reductive equivalents are transferred from the isopropanol to the oxidized cofactor (NAD+ or NADP+), under the effect of the enzyme, while the co-factor is reduced (to NADH or NADPH) and isopropanol is oxidized to acetone.

In another embodiment, the present invention comprises a process for preparing Vilanterol, which comprises a biocatalytic conversion of a ketone substrate to its corresponding alcohol, and then converting the obtained alcohol into Vilanterol.

Such process may comprise:

- a) converting a Ketone Substrate, such as Substrate I, into enantiomerically pure alcohol, preferably Compound II; and
- a1) converting the formed alcohol, preferably Compound II, to Vilanterol trifenatate, according to the below scheme:

The process of step a) is preferably done by using an isolated enzyme capable of ketoreductase activity. Such enzyme may be as described above.

15

20

25

The amount of enzyme is typically as described above.

The above process typically allows utilizing substrate in the above described concentration.

The order of addition is typically as described above. Preferably, the process is done with a biphasic solvent system, i.e., comprising an aqueous buffer and a water immiscible solvent. Typically, the enzyme is dissolved in the aqueous buffer. Examples of aqueous buffers and of water immiscible solvents are described above. Alternatively the process can be done with a monophasic solvent system comprising an aqueous buffer and a water miscible organic solvent. Examples of water miscible solvent are described above.

Typically the amount of solvent(s) utilized and their ratio is the amount necessary to dissolve the enzyme and substrate, as described above.

The process of step a1) is preferably done by introduction of protecting group (PG) and preparation of O-protected compound III and compound VI. Suitable PGs are as described above.

In another embodiment, the present invention comprises crystalline Compound II. The crystalline form can be characterized by data selected from one or more of the following: an X-ray powder diffraction pattern having peaks at 9.8, 14.9, 15.9, 18.2 and 19.9 degrees two theta  $\pm$  0.2 degrees two theta; an X-ray powder diffraction pattern substantially as depicted in Figure 1; and combinations thereof.

The crystalline form of Compound II may be further characterized by an X-ray powder diffraction pattern having any one, two, three, four or five additional peaks selected from peaks at 8.0, 18.7, 21.9, 24.0 and 25.6 degrees two theta  $\pm$  0.2 degrees two theta. The full peak list of Compound II is presented in the following table (Table 1):

Table 1:

Pos.	Rel. Int.		
[°2θ]	[%]		
8.0	1		
9.8	6		
14.9	18		
15.9	83		
16.4	2		
17.9	4		
18.2	22		

18.7	19				
19.9	63				
21.6	6				
21.9	17				
22.6	1				
24.0	100				
24.3	28				
25.3	18				
25.6	27				
26.9	25				
27.1	3				
28.2	5				
28.9	9				
29.5	30				
30.3	3				
31.5	11				
31.8	9				
32.2	30				
33.6	9				
34.2	10				
35.5	3				
36.5	1				
37.2	13				
37.5	6				
38.3	1				
39.0	2				
39.8	10				
The entetal					

The crystalline form of Compound II may alternatively be characterized by the peaks presented in Table 1 above, optionally with the relative intensities.

In another embodiment, the present invention comprises the preparation of compound V from compound IV by reaction with ammonia. Compound V may be used in the process of the invention as free base or in the form of a salt. Suitable salts may include but are not limited to inorganic acid salts, for example hydrochloride, hydrobromide, phosphate or sulphate, or organic acid salts. A suitable organic acid can be selected from acetic acid and its derivatives, benzoic acid or substituted benzoic

10

15

20

25

30

35

acids, methanesulfonic acid, benzenesulfonic acid or substituted benzenesulfonic acid, citric acid, maleic acid, maleic acid, maleic acid, maleic acid, maleic acid, mandelic acid, succinic acid, fumaric acid, pyroglutamic acid, oxalic acid, tartaric acid or derivatives thereof. More preferably the suitable acid can be selected from tartaric acid, preferably in its optically pure form (preferably L-tartaric acid).

In another embodiment, the present invention comprises the preparation of compound VI by reaction of compound III and compound V. Compound VI may be used in the process of the invention as free base or in the form of a salt. Suitable salts may include but are not limited to inorganic acid salts, for example hydrochloride, hydrobromide, phosphate or sulphate, or organic acid salts. A suitable organic acid can be selected from acetic acid and its derivatives, benzoic acid or substituted benzoic acids, methanesulfonic acid, benzenesulfonic acid or substituted benzenesulfonic acid, citric acid, maleic acid, maleic acid, maleic acid, malonic acid, mandelic acid, succinic acid, fumaric acid, pyroglutamic acid, oxalic acid, tartaric acid or derivatives thereof. Preferably the suitable acid can be selected from fumaric acid or tartaric acid, preferably in its optically pure form (preferably L-tartaric acid), more preferably fumaric acid.

In another embodiment, the present invention comprises the preparation of compound VII from compound VI by selective deprotection of PG. Compound VII may be used in the process of the invention as free base or in the form of a salt.

Suitable salts may include but are not limited to inorganic acid salts, for example hydrochloride, hydrobromide, phosphate or sulphate, or organic acid salts. A suitable organic acid can be selected from acetic acid and its derivatives, benzoic acid or substituted benzoic acids, methanesulfonic acid, benzenesulfonic acid or substituted benzenesulfonic acid, citric acid, maleic acid, maleic acid, maleic acid, maleic acid, maleic acid, maleic acid, tartaric acid or derivatives thereof. More preferably the suitable acid can be selected from tartaric acid, preferably in its optically pure form (preferably L-tartaric acid).

In the preferred embodiment compound V is in the form of L-tartaric acid salt as crystalline compound, compound VI is in the form of fumaric acid salt as crystalline compound, compound VII is in a form of L-tartaric acid salt as crystalline compound.

In another embodiment, the present invention provides Vilanterol tartrate and solid state forms thereof, preferably in substantially pure form. In specific embodiments, the present invention comprises Vilanterol tartrate salt, particularly wherein the molar ratio between Vilanterol and tartaric acid can be 1.5:1 to 1:1,

15

20

25

30

preferably about 1:1, respectively. The above salts can be isolated. Preferably, the above salts can be in a solid form, more preferably in a crystalline form.

The Vilanterol tartrate may be in a crystalline form. According to one embodiment, the present invention comprises a crystalline form of Vilanterol tartrate. The crystalline form of Vilanterol tartrate can be characterized by data selected from one or more of the following: an X-ray powder diffraction pattern substantially as depicted in Figure 2; an X-ray powder diffraction pattern having broad peaks at 7.6, 9.8, 12.1, 20.2 and 28.9 degrees two theta  $\pm$  1.0 degree two theta; and combinations thereof.

The above described Vilanterol tartrate and solid state form thereof is particularly advantageous for the purification of the API Vilanterol. In some embodiments the present invention comprises a process for the purification of Vilanterol or Vilanterol salt, said process comprising preparing Vilanterol tartrate according to the process of the present invention and converting it to Vilanterol or to Vilanterol salt.

The above described Vilanterol tartrate and solid state form thereof can be used to prepare Vilanterol or other different salts of Vilanterol, as well as solid state forms thereof and/or pharmaceutical formulations comprising one or more of the salts and /or solid state forms thereof. Preferably, the above described Vilanterol tartrate and solid state form thereof can be used to prepare Vilanterol triphenylacetate (trifenatate) or Vilanterol tosylate. Particularly, Vilanterol tartrate and solid state forms thereof can be used to purify the API Vilanterol. The present invention also encompasses a process for preparing other Vilanterol salts. The process comprises preparing Vilanterol tartrate and solid state form thereof by the processes of the present invention, and converting that salt to said other Vilanterol salt. The conversion can be done, for example, by a process comprising basifying Vilanterol tartrate and solid state form thereof, and reacting the obtained Vilanterol with a suitable acid, to obtain the corresponding salt of vilanterol. Preferably, the obtained Vilanterol and subsequently the obtained Vilanterol salt, such as trifenatate salt, are chemically pure, i.e. having total impurity at amount of not more than 10%, preferably not more than 5%, more preferably not more than 0.5%. Specifically, current invention provides a process for preparation of Vilanterol substantially free from impurity A of the following formula:

10

15

20

25

Preferably, the obtained Vilanterol and subsequently the obtained Vilanterol salt, such as L-tartrate salt or trifenatate salt, are chemically pure, i.e. having content of impurity A at amount of not more than 0.25%, preferably not more than 0.15%, more preferably not more than 0.10%. Advantageously, the Vilanterol prepared by the processes of any aspect or embodiment of the present invention can achieve these chemical purities without requiring chromatographic procedures. Thus, in preferred embodiments of the present invention, the processes for preparing Vilanterol having the chemical and/or optical purities described herein, do not involve a chromatographic procedure (e.g. column chromatography).

The Vilanterol tartrate and solid state form thereof of the present invention can also be used as a medicament, preferably for the treatment of a person suffering from COPD or asthma as a sole product or in a combination therapy with an inhaled corticosteroid.

The present invention further encompasses 1) a pharmaceutical composition comprising Vilanterol tartrate and solid state form thereof, as described herein; 2) a pharmaceutical formulation comprising Vilanterol tartrate and solid state form thereof, as described herein, and at least one pharmaceutically acceptable excipient; and 3) a process to prepare such formulations comprising combining Vilanterol tartrate and solid state form thereof, or pharmaceutical compositions and at least one pharmaceutically acceptable excipient; 4) the use of Vilanterol tartrate and solid state form thereof in the manufacture of a pharmaceutical composition, and 5) a method of treating a person suffering from COPD or asthma, comprising administering a therapeutically effective amount of a pharmaceutical composition or formulation comprising Vilanterol tartrate and solid state form thereof as described herein.

Having described the invention with reference to certain preferred
embodiments, other embodiments will become apparent to one skilled in the art from
consideration of the specification. The invention is further illustrated by reference to the

following examples describing in detail the preparation of the composition and methods of use of the invention. It will be apparent to those skilled in the art that many modifications, both to materials and methods, may be practiced without departing from the scope of the invention.

## Examples

5

# Powder X-ray diffraction pattern ("powder XRD" or "PXRD") method

Powder X-ray Diffraction was performed on an X-Ray powder diffractometer Analytical X'pert Pro; CuKa radiation ( $\lambda = 1.541874$  Å?); X'Celerator detector with active length 2.122 degrees 2-theta; laboratory temperature 25  $\pm$  2 °C; zero background sample holders. Prior to analysis, the samples were gently ground using a mortar and pestle to obtain a fine powder. The ground sample was adjusted into a cavity of the sample holder and the surface of the sample was smoothed using a cover glass.

# Optical purity measurement (Compound II)

15

10

Optical purity of compound II was determined on a chiral column (Chiralpak IF-3, 3.0  $\mu$ m particle size, 250  $\times$  4.6 mm) using the following measurement parameters:

Scan range

3 - 40 degrees 2-theta

Scan mode

continuous

Step size

0.0167 degrees

Step size

42 s

Sample spin

60 rpm

Sample holder

zero background silicon plate

Gradient elution:

eluent A: hexane/ethanol/methanol 98:1:1 (v/v)

eluent B: hexane/ethanol 1:1 (v/v)

Gradient program:

25

20

10

15

20

25

	Time (min)	%A	%B
1	0.00	100.0	0.0
2	35.0	100.0	0.0
3	36.0	0	100.0
4	37.0	0	100.0
5	37.5	100.0	0.0
6	40.0	100.0	0.0

- flow: 2 ml/min

25 °C column temperature

detection: UV, 232 nm

- injection volume: 20 μL

- analysis time: 40 minutes

- diluent for sample preparation: hexane/ethanol 1:1 (v/v)

# **Example 1: Screening of available Ketoreductases**

The study of enzymatic reactions was carried out with enzyme preparations purchased from different commercial sources. The enzymatic reactions were studied in biphasic systems (methyl-tert-butyl ether/water and toluene/water, tested in parallel), in 1200 microliter reaction volumes. The substrate 2-bromo-1-(2,2-dimethyl-4H-1,3benzodioxin-6-yl)ethanone was dissolved in the organic solvents (methyl-tert-butyl ether or toluene) in 20 g/l concentration (70.1 mmol/l). The aqueous phase consisted of buffered solutions of nicotinamide adenine dinucleotide (NAD, oxidized form, 5 mmol/l), nicotinamide adenine dinucleotide phosphate (NADP, oxidized form, 5 mmol/I), glucose dehydrogenase (3 g/I), glucose (500 mmol/I), emulsifier Triton® X-100 (0.5 w/w%). Buffers of potassium hydrogen phosphate (at pH = 7.00) and triethanolamine sulfate (at pH = 7.50) were used in the above reactions and tested in parallel. The enzymes tested in the form of lyophilisates were dissolved in the aqueous phase, in 2 g/l concentrations. Enzymes tested in the form of cell lysates (enzyme solutions obtained after the lysis of the biomass) were mixed with the mentioned aqueous phase in 4:1 v/v ratio. The enzymatic reactions were set up by mixing 600 microliter of substrate solution (in methyl-tert-butyl ether or toluene) with 600 microliter of enzyme solutions. The reactions were run in closed ampoules, under shaking (350 rpm), at room temperature. The reaction mixtures were analysed by HPLC for residual 2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanone

(substrate) content and 2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanol (product) content and for optical purities. CDX-005 and KRED-P1-H01, both enzymes available from Codexis, gave the highest conversions (67.1% and 95.9% conversions, respectively), the obtained bromoalcohol product had 98.5% and 99.2% optical purity (R enantiomer). The high majority of the tested enzymes was S-selective, as can be seen in the next table (Table 2):Table 2:

5

Sample number	Enzyme	Conversion (%)	Configuration	Optical purity (%)
07/02	CDX-005 from Codexis	67.13	R	98.5
07/31	Codexis KRED-P2-G03	89.40	S	97.9
07/05	CDX-023 from Codexis	1.67	S	72.4
07/06	CDX-025 from Codexis	73.98	S	91.2
07/15	CDX-078 from Codexis	40.65	S	97.9
07/16	CDX-051 from Codexis	99.90	S	99.7
07/17	PRZ-010 from Prozomix	13.90	S	60.2
07/42	PRZ-012 from Prozomix	14.84	S	96.5
07/19	PRZ-020 from Prozomix	37.19	S	95.5
07/44	PRZ-067 from Prozomix	54.06	S	99.0
07/45	PRZ-077 from Prozomix	38.29	S	98.6
07/28	LbADH Q03TF9	3.06	S	91.5
08/34	Leifsonia sp. Q4R1E0	8.44	S	95.50
08/05	Sporobolomyces salmonicolor Q9UUN9	19.12	S	96.80
08/15	Pseudomonas fluorescens Q8RTR1	2.36	R	90.70
08/44	Saccharomyces cerevisiae-YGL157W P53111	2.44	R	92.10
23/6	Codexis KRED-P1-H01	95.94	R	99.2

35

# Example 2: Preparative reaction for the synthesis of (R)-2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanol (Compound II)

Substrate 2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanone (5.00 g), having 84 Area% chromatographic purity, was dissolved in 125 ml diisopropyl ether (DIPE), Triton<sup>®</sup> X-100 emulsifier was added to the solution in 625 mg amount. A 5 buffered aqueous solution containing the alcohol dehydrogenase enzyme CDX-005 from Codexis, co-factor, glucose and glucose dehydrogenase was prepared separately: a 0.2 M PIPES buffer (pH = 7.0) was prepared in 100 ml amount, NADP (76.5 mg), glucose (4000 mg), glucose dehydrogenase (100 mg) and the alcohol dehydrogenase (750 mg lyophilizate) were dissolved in it and the pH was readjusted to 7.00 with potassium 10 hydroxide solution. The two solutions (in DIPE and in water) were mixed under vigorous stirring, the reaction was performed at 25 °C for 24 hours. In the meantime the pH of the reaction mixture was controlled and readjusted manually to 7.00. The reaction mixture was worked up by separating the organic phase, and extracting the remaining aqueous phase two times with 50 ml of methyl-tert-butyl ether. The unified 15 organic phases were dried on anhydrous sodium sulfate and the solvent was evaporated. According to the HPLC analysis of the obtained crude product, the conversion was 99.4% during the enzymatic reaction. The crude product was purified by column chromatography over neutral silica gel (40-60 micrometer particle size) with n-hexane/ethyl acetate 3:2 v/v as eluent. The fractions containing (R)-2-bromo-1-(2,2-20 dimethyl-4H-1,3-benzodioxin-6-yl)ethanol were unified and the solvent was evaporated under vacuum. In total, 3.52 g of purified (R)-2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanol were obtained, having 88.2 Area% chromatographic purity and 99.0% optical purity. The yield of obtained isolated material is 73.2% (according to chromatographic purities of substrate and product, in area%). 25

# Example 3: Scaled up preparative reaction for the synthesis of (R)-2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanol (Compound II)

Substrate 2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanone (25 .00 g,), having 84 Area% chromatographic purity, was dissolved in 625 ml diisopropyl ether (DIPE), Triton X-100 emulsifier was added to the solution in 3.125 g amount. A buffered aqueous solution containing the alcohol dehydrogenase enzyme CDX-005 purchased from Codexis, co-factor, glucose and glucose dehydrogenase was prepared separately: a 0.1 M triethanolamine hydrochloride buffer (pH = 6.75) was prepared in 500 ml amount, NADP (382.5 mg), glucose (20 g), glucose dehydrogenase (375 mg) and the alcohol dehydrogenase (2.50 g lyophilizate) were dissolved in it and the pH

10

15

20

25

30

was readjusted to 6.75 with potassium hydroxide solution. The two solutions (in DIPE and in water) were mixed under vigorous stirring, the reaction was performed at 25° C for 24 hours, the pH was adjusted continuously to 6.75 during the reaction by using a pH-stat equipment. After 24 hours of reaction time the reaction mixture was worked up similarly to Example 3, using ethyl acetate as solvent for extraction instead of methyltert-butyl ether. Conversion of 95.6% was obtained by the HPLC analysis of the isolated crude product, the optical purity of (R)-2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanol was 99.0%. The sample was analyzed by PXRD, the PXRD pattern is presented in Figure 1.

# Example 4 (comparative example): synthesis of (R)-2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanol, effect of enzyme addition order on the conversion

Substrate 2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanone (1.67 g,), having 84 Area% chromatographic purity, was dissolved in 42 ml of diisopropyl ether (DIPE), Triton X-100 emulsifier was added to the solution in 208.3 mg amount. A buffered aqueous solution containing co-factor and glucose was prepared separately: a 0.1 M triethanolamine sulfate buffer (pH = 6.75) was prepared in 33 ml amount, NADP (25.5 mg), glucose (1.33 g), glucose dehydrogenase (25 mg) were dissolved in it and the pH was readjusted to 6.75 with potassium hydroxide solution. The two solutions (in DIPE and in water) were mixed under vigorous stirring, thereafter the alcohol dehydrogenase identified in Example 1 (CDX-005 enzyme, purchased from Codexis) was added (in solid form – without prior dissolution) to the formed emulsion in 166.7 mg amount. The reaction was performed at 25 C for 24 hours, the pH was adjusted continuously to 6.75 during the reaction by using a pH-stat equipment. After 24 hours of reaction time the reaction mixture was analyzed by HPLC. Conversion of 6% was obtained by the HPLC analysis of the isolated crude product, the majority of the substrate remained unreacted in the reaction mixture.

# Example 5: Preparative reaction for the synthesis of (R)-2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanol (compound II), catalyzed by alcohol dehydrogenase KRED-P1-H01 from Codexis

Substrate 2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanone (starting material, 5.00 g, 15.87 mmol), having 90.5 Area% chromatographic purity, was dissolved in a mixture of 36 ml isopropanol (IPA) and 22 ml dimethyl sulfoxide (DMSO).

Separately a buffered aqueous solution of 50 ml final volume was prepared, containing MES buffer (100 mM concentration, 976.2 mg), caprylic acid (10 mM 35

10

15

20

30

35

concentration, 72.1 mg), beta-nicotinamide adenine dinucleotide phosphate monosodium salt (NADP+, oxidized form, 1 mM concentration, 38.3 mg). The pH of the aqueous solution was adjusted with NaOH 1 M solution to pH = 6.50 before the addition of the NADP\*. The solution was diluted to the final volume (50 ml) and 32 ml of it was added to the IPA-DMSO solution of the starting material, under stirring. The mixture was vigorously mixed by magnetic stirrer at 25 °C for 10 minutes. A slightly yellow suspension was obtained.

KRED-P1-H01 alcohol dehydrogenase purchased from Codexis (Ketoreductase Codex Panel variant plate 1, version 1, well H01, batch number D12071) was dissolved in 200 mg amount in 10 ml of the remained buffered aqueous solution containing caprylic acid and NADP+. The prepared enzyme solution was added under vigorous stirring, in one portion to the suspension containing the starting material, prepared as described above. The mixture was stirred for 24 hours at 25 °C temperature. The reaction reached 95.3% conversion and it was worked up by partial evaporation of IPA and extraction with toluene. The extract was dried over Na<sub>2</sub>SO<sub>4</sub>, then the solvent was evaporated. The crude product was obtained in 4.83 g as light yellow crystalline mass, having 80.2% assay and 99.6% optical purity.

# Example 6: Scaled up preparative reaction for the synthesis of (R)-2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanol (compound II), catalyzed by alcohol dehydrogenase KRED-P1-H01 from Codexis

Substrate 2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanone (starting material, 50.00 g, 158.7 mmol), having 90.5 Area% chromatographic purity, was dissolved in a mixture of 340 ml isopropanol (IPA) and 200 ml dimethyl sulfoxide (DMSO).

25 Separately a buffered aqueous solution of 500 ml final volume was prepared, containing MES buffer (100 mM concentration, 9762 mg), caprylic acid (10 mM concentration, 721 mg), beta-nicotinamide adenine dinucleotide phosphate monosodium salt (NADP+, oxidized form, 1 mM concentration, 383 mg). The pH of the aqueous solution was adjusted with NaOH 1 M solution to pH = 6.50 before the addition of the NADP<sup>+</sup>. The solution was diluted to the final volume (500 ml) and 360 ml of it was added to the IPA-DMSO solution of the starting material, under stirring. The mixture was vigorously mixed by magnetic stirrer at 25 °C for 10 minutes. A slightly yellow suspension was obtained.

KRED-P1-H01 alcohol dehydrogenase purchased from Codexis (Ketoreductase Codex Panel variant plate 1, version 1, well H01, batch number D12071) was dissolved

10

15

20

25

30

35

in 2.5 g amount in 100 ml of the remained buffered aqueous solution containing caprylic acid and NADP<sup>+</sup>. The prepared enzyme solution was added under vigorous stirring, in one portion to the suspension containing the starting material, prepared as described above. The mixture was stirred for 24 hours at 25 °C temperature. The reaction reached 98.5% conversion in 18 hours and >99.5% conversion after 24 hours, thereafter it was worked up by partial evaporation of IPA and extraction with toluene. The extract was dried over Na<sub>2</sub>SO<sub>4</sub>, then the solvent was evaporated. A light yellow crystalline mass was obtained as crude product. The crude product was triturated at room temperature with 1.2 volume of toluene:n-hexane 1:1 v/v mixture, thereafter filtered and dried in vacuum oven. The product was obtained in 31 g amount as offwhite crystalline powder, with 89.6% assay and 99.6% optical purity (first crop). The filtrate was partially evaporated under vacuum then let to cool down to room temperature. The product crystallized out and 5 grams of second crop was isolated by filtration (89.7% assay, 99.6% optical purity). Both crops contained (R)-2-chloro-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanol impurity in 10.5-11 area%, as carry over from the substrate.

# Example 7: 6-(2-((2,6-dichlorobenzyl)oxy)ethoxy)hexan-1-amine (V)

Compound IV (44.0 g, 0.115 mol) was charged into 1L autoclave and dissolved in 40 mL of MeOH, followed by addition of ammonia (7M solution in MeOH, 800 mL). The reactor was sealed and the content was heated to temp. 105-110° C and stirred at the same temp. for 1 hour. The mixture was then cooled down to room temp. The solvent was evaporated under reduced pressure at temp. 30-40° C. The residue was partitioned between EtOAc (400 mL) and water (400 mL). Water phase was separated and the organic phase was washed with water (200 mL). Combined water phases were treated with 20 % aqueous  $K_2CO_3$  solution (280 mL) followed extraction of the product to EtOAc (2 x 400 mL). The obtained extract was dried over MgSO<sub>4</sub> and evaporated to dryness to give the title product as slightly yellowish oil (30.28 g, 83 %).

# Example 8: 6-(2-((2,6-dichlorobenzyl)oxy)ethoxy)hexan-1-amine L-tartaric acid salt (V L-tartrate)

Compound IV (650 g) was charged into autoclave-type reactor and dissolved in 2-propanol (15 L). Afterwards, the reactor was sealed and ammonia gas (1400 g) was introduced while the temperature of the mixture was kept below 30 °C. The content of the reactor was then heated at temp. 85-90 °C for 3 hrs. at pressure 5-9 bars.

Afterwards, the mixture was cooled to room temp. and concentrated under reduced pressure to volume about 5L. The residue was mixed with solution of potassium

10

15

20

25

30

hydroxide in MeOH (200 mL). The mixture was stirred at room temp. for 10 min. and then inorganic precipitates were filtered off. The filtrate was concentrated to 2.5 L at temp 80-90 °C followed by addition of Toluene (0.65 L). The temp. of the mixture was set to 65 °C, followed by the addition of L-tartaric acid (254 g). The mixture was then cooled to 0 °C and filtered. The filtration cake was washed with iPrOH and dried under flow of nitrogen to give title compound as white solid (yield about 75%). The sample was analyzed by PXRD, the PXRD pattern is presented in Figure 2.

Example 9: (R)-(2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethoxy)(tert-butyl)dimethylsilane (IIIa)

Compound II (10.0 g, 0.035 mol) was dissolved in dry DMF (60 mL), followed by addition of t-butyldimethylsilyl chloride (10.5 g, 0.070 mol) and imidazole (5.0 g, 0.073 mol). The mixture was heated at  $50^{\circ}$  C and stirred under inert atm. for 2.5 hrs. Afterwards, the mixture was cooled down to room temp. and partitioned between EtOAc (240 mL) and water (240 mL). The organic phase was separated, washed with water (2 x 100 mL), brine (100 mL), dried over MgSO<sub>4</sub> and evaporated to dryness to give compound IIIa a yellowish oil (16.7 g).

Example 10: (R)-N-(2-((tert-butyldimethylsilyl)oxy)-2-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethyl)-6-<math>(2-((2,6-dichlorobenzyl)oxy)ethoxy)hexan-1-amine (VIa)

Compound IIIa (16.4 g, obtained by procedure in Example 9) and compound V (22 g, obtained by procedure in Example 7) were mixed and heated at 85° C for 24 hours. The mixture was then cooled down to room temp. and partitioned between EtOAc (140 mL) and water (140 mL). Organic phase was separated and washed with water (140 mL), 10% aqueous HBr solution (120 mL), sat. NaHCO $_3$  solution (45 mL), water (45 mL), then dried over MgSO $_4$  and evaporated to dryness. The title compound VIa was obtained as yellow-brownish oil (26.6 g).

Example 11: (R)-N-(2-((tert-butyldimethylsilyl)oxy)-2-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethyl)-6-(2-((2,6-dichlorobenzyl)oxy)ethoxy)hexan-1-amine (VIa)

10

15

20

25

30

Compound IIIa (200 g, obtained by procedure in Example 9) and compound V (271 g, obtained by procedure in Example 7) were mixed and heated at 75° C for 13 hours. The mixture was then cooled down to room temp. and partitioned between EtOAc (1.6 L) and water (1.2 L). Organic phase was separated and washed with water (0.8 L), 3% aqueous HBr solution (2 x 0.8 L), 8% aq. NaHCO<sub>3</sub> solution (0.8 L), 2.5 % aq. NaCl solution (0.8 L), then dried over MgSO<sub>4</sub> and evaporated to dryness. The residue was purified by column chromatography on silicagel eluting with toluene / acetone (acetone gradient from 1% to 20%). The title compound VIa was obtained as yellow-brownish oil (205 g).

Example 12: (R)-N-(2-((tert-butyldimethylsilyi)oxy)-2-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethyl)-6-(2-((2,6-dichlorobenzyl)oxy)ethoxy)hexan-1-amine fumarate salt (VIa fumarate)

Compound V L-tartrate (500g, obtained by procedure in Example 8) was charged in a reactor and suspended in water (1.75 L). The suspension was tempered to 30°C and of aq. ammonia (25%, 375 mL) was added during 5 minutes. Afterwards, of toluene (1.25 L) was added and the mixture was stirred for 5 minutes. The stirring was stopped and the phases were separated. Water phase was extracted with another portion of toluene (1.25 L). Organic phases were combined and heated to 90°C, followed by evaporation of the solvent to a final volume about 2 l. Compound IIIa (155 g, obtained by procedure in Example 9) was then charged into a reactor followed by addition of DMSO (0.4 L). The mixture was then heated at 75-80° C for 15 hours. Afterwards, reaction mixture was cooled down to 30°C and toluene (1 L) and water (1L) were added with stirring. Organic phase was separated, washed by aq. HBr solution (21 mL of conc. hydrobromic acid diluted in 0.5 L of water), ag solution of potassium carbonate (33.9 g of potassium carbonate dissolved in 0.5 L of water) and finally washed by water. The organic extract was heated to 90 °C and concentrated under vacuum to final volume about 0.5 L. The solvent was then exchanged to 2propanol by subsequent addition and evaporation of 2-propanol (4 L) in several portions to reach final volume of the mixture about 1.6 L. The solution was then cooled down to 40 °C and fumaric acid (59.2 g). The mixture was cooled down to 30.5 °C and seeded with 2 g of the target product (VIa fumarate) suspended in 2-propanol (20 mL). The mixture was cooled to 5 °C, filtered and the filtration cake was washed with 2propanol to give the title compound (272 g, 70%). The sample was analyzed by PXRD, the PXRD pattern is presented in Figure 3.

10

15

20

25

30

35

# Example 13: (R)-2-((6-(2-((2,6-dichlorobenzyl)oxy)ethoxy)hexyl)amino)-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethan-1-ol (VII)

Compound VI (26.5 g, obtained by procedure in Example 9) was dissolved in THF (185 mL) followed by addition of tetrabutylammonium fluoride (100 mL, 1M solution in THF). The mixture was stirred at room temp. for 2 hours. Afterwards, the mixture was partitioned between EtOAc (300 mL) and water (300 mL). Organic phase was separated, washed with water (3 x 100 mL), dried over MgSO<sub>4</sub> and evaporated to dryness. The title compound VII was obtained as brownish oil (22.4 g).

# Example 14: (R)-2-((6-(2-((2,6-dichlorobenzyl)oxy)ethoxy)hexyl)amino)-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethan-1-ol L-Tartaric acid salt (VII L-tartrate)

Compound VI (190 g, obtained by procedure in Example 11) was charged into 2L jacketed reactor and dissolved in THF (572 mL) followed by addition of tetrabutylammonium fluoride trihydrate (155 g). The mixture was stirred at room temp. for 3 hours. Afterwards, the mixture was partitioned between EtOAc (760 mL) and 2.5% aq. NaCl solution (760 mL). Organic phase was separated and mixed with solution of L-tartaric acid (47 g) in EtOH (572 mL). The mixture was cooled to 0° C and stirred overnight, then cooled to -25 ° C and stirred for additional 2 days. The formed suspension was filtered and the filtration cake was washed with MTBE. The obtained solid was dried at room temp. under inert atmosphere. The title compound VII L-tartrate was obtained as white crystalline solid (142 g).

# Example 15: (R)-2-((6-(2-((2,6-dichlorobenzyl)oxy)ethoxy)hexyl)amino)-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethan-1-ol L-Tartaric acid salt (VII L-tartrate)

Compound VIa fumarate (190 g, obtained by procedure in Example 12) was charged into 2L jacketed reactor and dissolved in THF (475 mL) followed by addition of tetrabutylammonium fluoride trihydrate (126 g). The mixture was heated at 50 °C for 3 hours. Afterwards, the mixture was cooled to room temp. and was partitioned between EtOAc (760 mL) and 20% aq. potassium carbonate solution (400 mL). Organic phase was then washed with water (2 x 200 mL) and mixed with solution of L-tartaric acid (39 g) in EtOH (360 mL). The mixture was then heated to 40 °C and reputably evaporated with addition of EtOAc (800 mL) in several portions to get final volume of the mixture about 600 mL. Afterwards, additional EtOAc (1520 mL) was added and the mixture was cooled to 0°C and for 3hours at the same temp. then it was filtered and the filtration cake was washed with cold EtOAc. The obtained solid was dried at room

temp. under inert atmosphere. The title compound VII L-tartrate was obtained as white crystalline solid (142 g, chem. purity >99.5%, optical purity >99.85%). The sample was analyzed by PXRD, the PXRD pattern is presented in Figure 4.

# **Example 16: Vilanterol base**

Compound VII (5 g, obtained by procedure in Example 10) was dissolved in EtOH (50 mL), followed by addition of 1M HCl solution (50 mL). The mixture was stirred at room temp, for 90 minutes. Afterwards, pH of the mixture was adjusted to  $\sim$ 9 by addition of 20 %  $K_2CO_3$  solution (25 mL). The mixture was then extracted to dichloromethane (100 mL). Organic phase was washed with water (2 x 25 mL), dried over MgSO<sub>4</sub> and evaporated to dryness. The residue was purified by column chromatography, elution with mixture of dichloromethane/ethanol/ammonia (50/8/1) to give title compound as brownish slightly yellowish oil.

# Example 17: Vilanterol trifenatate

Vilanterol base (0.620 g) was dissolved in EtOH (6 mL). Triphenylacetic acid (0.370 g) was added and the mixture was heated to 50° C and stirred at the same temp. for 15 min. The mixture was then cooled to room temp., followed by cooling in ice-water bath for 90 minutes. The formed suspension was filtered, the filtration cake was washed with cold EtOH and dried at room temp. overnight.

# **Example 18: Preparation of Vilanterol base**

(1R)-2-[(6-{2-[(2,6-dichlorobenzyl)oxy]ethoxy}hexyl)amino]-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanol (15.5 g, obtained according to the procedure in US 2005/0075394, Example 77(iv)) was dissolved in EtOH (50 mL), followed by addition of 1M HCl solution (50 mL). The mixture was stirred at room temperature for 90 minutes. Afterwards, the pH of the mixture was adjusted to ~9 by addition of 20 %  $K_2CO_3$  solution (25 mL). The mixture was then extracted to dichloromethane (100 mL). The organic phase was washed with water (2 x 25 mL), dried over MgSO<sub>4</sub> and evaporated to dryness.

The crude vilanterol base (14.5 g, 90.9 % purity) was dissolved in dichloromethane and the solution was loaded on a column packed with 300 g Diol-silica in dichloromethane. The column was eluted with dichloromethane with gradient of ethanol (2-20 %). The chromatographic fractions were monitored by TLC. The fractions containing relatively pure vilanterol were joined and evaporated to dryness, obtaining 11.0 g of vilanterol with purity 97.1 %.

5

10

15

20

25

30

15

20

25

30

35

# **Example 19: Preparation of Vilanterol L-tartrate**

Crude vilanterol base (10.5 g, 88.9 % purity) was dissolved in ethanol (120 mL) and the solution was concentrated to 37 g. Then, 3.3 g of L-(+)-tartaric acid was added and the suspension was stirred at room temperature until dissolution. 140 ml of ethyl acetate was then added to the solution stepwise and the formed crystalline suspension was stirred for 1 hour at room temperature and the suspension was held 12 hours in the refrigerator. Then, the crystalline product was filtered off and the product was washed with ethyl acetate. The product was dried on filter and the mother liquors were concentrated to dryness. 11.2 g of crystalline vilanterol tartrate (purity was 95.7 %) and 2.4 g of dryness of mother liquors (content of vilanterol was 47.3 %).

# **Example 20: Preparation of Vilanterol L-tartrate**

Concentrated chromatographic fractions (11.0 g, 97.1 % purity) from the purification of Crude vilanterol base (90.9 % purity ) were dissolved in methanol and the solution was concentrated to 30 g. Then, 3.5 g of L-(+)-tartaric acid was added and the suspension was stirred at room temperature until dissolution. 150 ml of ethyl acetate was then added to the solution stepwise and the formed crystalline suspension was stirred for 1 hour at room temperature and then the suspension was held 12 hours in the refrigerator. Then the crystalline product was filtered off and the product was washed with ethyl acetate. The product was dried on filter and the mother liquors were concentrated to dryness. Obtained was 12.8 g of crystalline vilanterol tartrate (purity was 98.9 %) and 0.9 g of dryness of mother liquors (content of vilanterol was 82.3 %). The product was analyzed by PXRD; a PXRD pattern is shown in Figure 2.

# **Example 21: Preparation of Vilanterol L-tartrate**

EtOH (700 mL) was mixed with 1M aq. HCl acid (700 mL), the formed mixture was cooled to 5 °C, followed by addition of compound VII L-tartrate (100 g, obtained by procedure in Example 15). The mixture was stirred at 5 °C for 15 hours. Afterwards, DCM (500 mL) was added, the mixture was cooled to 0 °C and aq. Solution of  $K_2CO_3$  (130g of  $K_2CO_3$  in 200 mL of water) was then added drop wise to the stirred reaction mixture until pH 9 - 9.5 was obtained. Temp. during the addition was kept below 5 °C. The water phase was separated, and extracted with additional DCM (300 mL). Combined organic extracts were warmed to temp. 20-25 °C and washed with water (2 x 500 mL), 1% brine (500 mL) and 24% brine (500 mL). Afterwards, organic extract was mixed with solution of L-Tartaric acid (26.6 g) in EtOH (210 mL). The mixture was stirred for 10 min. at temp. 20-25 °C and then heated by setting the temp. of the

10

15

20

25

reactor jacket to 40°C. All DCM solvent was distilled off under vacuum to residual approximate 350 mL. The mixture was then cooled to 25°C, followed by addition of EtOAc (1.5 L). The mixture was stirred at 20-25 °C for 1 hour then cooled to -5 °C and stirred overnight. The product was separated by filtration, washed with cold EtOAc and dried under inert gas and room temp. Isolated yield 85%, chemical purity 99.8%, optical purity 99.93%. The sample was analyzed by PXRD, the PXRD pattern is presented in Figure 5.

## **Example 22: Preparation of Vilanterol trifenatate**

Dichloromethane (256 mL) was mixed with water (256 mL), the formed mixture was cooled to 0 °C, followed by addition of Vilanterol L-tartrate (32 g, obtained by procedure in Example 21) and EtOH (64 mL). Afterwards, 25% aq. solution of ammonia (34 mL) was then added drop wise to the stirred mixture. Temp, during the addition was kept below 5 °C. The water phase was separated, and extracted with additional DCM (128 mL). Combined organic extracts were warmed to temp. 20-25 °C mixed with MTBE (220 mL), EtOH (64 mL). The obtained mixture was then washed with water (3 x 220 mL). Afterwards, the obtained organic extract was mixed with triphenylacetic acid (14.5 g) and stirred until complete dissolution at temp. 20-25°C. Then EtOH (96 mL) was added and the mixture was heated by setting the temp. of the reactor jacket to 40°C. Part of DCM solvent was distilled off under vacuum to residual approximate volume 220 mL. The mixture was then cooled to 25°C, followed by addition of MTBE (256 mL). The mixture was stirred at 20-25  $^{\circ}$ C for 1 hour then cooled to -5  $^{\circ}$ C and for additional 2 hours. The product was separated by filtration, washed with cold MTBE and dried under inert gas and room temp. Isolated yield 93%, chemical purity 99.8%, optical purity 99.93%.

Example 23: Comparison of different buffers in the enzymatic enantioselective reduction of 2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanone (compound I) to (R)-2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanone (compound II), catalyzed by ketoreductase CDX-005 from Codexis

Buffered cofactor solutions containing 1 mM beta-nicotinamide adenine 30 dinucleotide phosphate (NADP+, oxidized form) were prepared by dissolving 7.7 mg of beta-nicotinamide adenine dinucleotide phosphate monosodium salt (M = 765.39 g/mol) in 3.33 milliliters of the following buffers: triethanolamine sulfate (TEA-SO<sub>4</sub>) 0.30 M, pH = 7.50, 4-(2-hydroxyethyl)morpholine sulfate (HEM-SO<sub>4</sub>) 0.30 M, pH = 7.25. Three 35

10

15

20

solutions were prepared with each buffer (totally nine solutions). Water was added to each solution in 4 ml volume. The pH of the solutions were adjusted to 6.75, 7.00 and 7.25 in case of each buffer with the aid of diluted sulfuric or nitric acids or sodium hydroxide. The final volumes of each buffered cofactor solution were adjusted to 10-10 milliliters with the aid of distilled water.

Enzyme solutions were prepared by dissolving 50-50 milligrams of CDX-005 ketoreductase enzyme (purchased from Codexis) in 2.0 milliliters of each buffered cofactor solution prepared above.

A substrate solution containing 125 g/l 2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanone was prepared in a solvent mixture of isopropanol:dimethyl sulfoxide 4:1 v/v, by dissolving 3.125 grams of 2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanone in the solvent mixture and completing it to 25 milliliters with the same.

The reactions were started in vials of 4 milliliter volumes. In each reaction vial was pipetted 400-500  $\mu$ L of buffered cofactor solution with the desired pH and buffer type, according to the tables below. Isopropanol (IPA) and/or dimethyl sulfoxide (DMSO) were added in 0 or 100  $\mu$ L volumes, according to the tables. Substrate solution was added to each vial in 490  $\mu$ L volume and the content of the vial was homogenized by vigorous shaking. Finally 100  $\mu$ L of enzyme solution (with buffer constituent corresponding to the table below) was added to each vial. The vials were shaken for 24 hours at 22°C temperature.

Reactions with buffered cofactor solutions having pH = 6.75:

Reaction number	Tested buffer	Volume of buffered cofactor solution (µL)	Volume of added IPA (µL)	Volume of added DMSO (µL)	Conversion to Compound II (%)
46/25	HEM-SO₄	500	0	0	81.5
46/37	HEM-NO <sub>3</sub>	500	0	0	80.4
46/26	HEM-SO <sub>4</sub>	400	0	100	79.3
46/38	HEM-NO <sub>3</sub>	400	0	100	99.8
46/27	HEM-SO₄	400	100	0	65.0
46/39	HEM-NO <sub>3</sub>	400	100	0	99.0

Reactions with buffered cofactor solutions having pH = 7.00:

Reaction number	Tested buffer	Volume of buffered cofactor solution (µL)	Volume of added IPA (µL)	Volume of added DMSO (µL)	Conversion to Compound II (%)
46/13	TEA-SO₄	500	0	0	83.3
46/29	HEM-SO <sub>4</sub>	500	0	0	92.4
46/41	HEM-NO <sub>3</sub>	500	0	0	90.6
46/14	TEA-SO₄	400	0	100	78.4
46/30	HEM-SO₄	400	0	100	90.8
46/42	HEM-NO <sub>3</sub>	400	0	100	99.8
46/15	TEA-SO₄	400	100	0	61.5
46/31	HEM-SO <sub>4</sub>	400	100	0	74.1
46/43	HEM-NO <sub>3</sub>	400	100	0	99.7

# Reactions with buffered cofactor solutions having pH = 7.25:

Reaction number	Tested buffer	Volume of buffered cofactor solution (µL)	Volume of added IPA (µL)	Volume of added DMSO (µL)	Conversion to Compound II (%)
46/17	TEA-SO₄	500	0	0	90.6
46/33	HEM-SO₄	500	0	0	95.4
46/45	HEM-NO <sub>3</sub>	500	0	0	95.3
46/18	TEA-SO₄	400	0	100	88.5
46/34	HEM-SO₄	400	0	100	93.9
46/46	HEM-NO <sub>3</sub>	400	0	100	99.8
46/19	TEA-SO₄	400	100	0	68.3
46/35	HEM-SO <sub>4</sub>	400	100	0	73.4
46/47	HEM-NO <sub>3</sub>	400	100	0	99.8

The best conversions were obtained in 4-(2-hydroxyethyl)morpholine nitrate buffer. In most of the cases the difference between triethanolamine and 4-(2-hydroxyethyl)morpholine buffers was also significant when both buffers were used with

15

20

25

30

sulfate as counterion. Optical purities of 98.5–99.0 % were obtained for Compound II in 4-(2-hydroxyethyl)morpholine buffer.

# Example 24: Scaled up preparative reaction for the ketoreductase catalyzed synthesis of (R)-2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanone

Buffer solution was prepared by dissolving 1180.8 grams of 4-(2-hydroxyethyl)morpholine in 75 liters of water (purified by ion exchange) and adjusting the pH of the solution to 6.75 with nitric acid (20 m/v%).

A buffered cofactor solution of 90 liters was prepared by dissolving 35.432 grams of beta-nicotinamide adenine dinucleotide phosphate disodium salt (NADP+-Na<sub>2</sub>, oxidized form) in the full volume of the buffer prepared above. The pH of the solution was adjusted to 6.75 with the aid of 20% nitric acid, thereafter completed to 90 liters with water (purified by ion exchange).

Enzyme solution of 18 liters containing CDX-005 (ketoreductase purchased from Codexis) was prepared immediately before use, by dissolving 450 grams of CDX-005 in 18 liters of buffered cofactor solution prepared above. The remaining part of the buffered cofactor solution (~72 liters) was loaded in a tempered reactor and stored at 25°C.

Substrate solution was prepared immediately before use, by dissolving 9 kilograms of 2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanone (94% assay, 29.671 moles) in a solution prepared from 18 liters of dimethyl sulfoxide (DMSO) and 54 liters of isopropanol, by stirring at room temperature. The volume of the solution was completed to 90 liters with isopropanol.

The biocatalytic reaction mixture was prepared by adding the prepared substrate solution (90 liters) to the tempered reactor containing ~72 liters of buffer solution, under vigorous stirring. After proper homogenization the full volume of the enzyme solution (18 liters) was charged in one portion to the content of the reactor. The reaction temperature was maintained at 25°C and the reaction mixture was stirred for 24 hours. By analysis of the reaction mixture 99.7% conversion to Compound II was achieved. The reaction mixture was filtered through Perlite and the filtering layer was washed with 54 liters of toluene. The washing containing toluene was stored at 0-5°C and used during the extraction step.

10

15

20

The filtrate was partially evaporated under vacuum at 35°C to 110 liter volume. The evaporation was performed in 3 portions. The respective portions were stored at 0-5°C before or after evaporation.

The remaining volume obtained after partial evaporation of the filtrate ( $\sim 110$  liters) was extracted with 54 liters of toluene (obtained as filtrate after the washing of Perlite layer). Thereafter the remaining aqueous phase was extracted with  $2\times 27$  liters of toluene. The unified organic phases were extracted with 36 liters of 5% NaHCO<sub>3</sub> solution, thereafter with  $2\times 36$  liters of water.

The organic phase was partially evaporated under vacuum at 35°C, to 18 kg (in 3 portions). To the concentrated organic extract at 35°C 9 liters of n-hexane (heated to 35°C) were added under stirring. The mixture was stirred for further 10 minutes at 35°C, thereafter cooled to 0-5°C under 5 hours, and stirred for further 2 hours. The white crystals were filtered out and flushed with nitrogen. Thereafter the crystals were suspended two times with 9 liters of n-hexane and filtered out again, flushed with nitrogen. The collected crystalline material was dried under vacuum at 35°C, for 2 hours, under nitrogen atmosphere. The isolated amount of (R)-2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanol was 7.658 kg. The assay of the prepared material was 96.5% (25.736 moles, 86.7% yield). The optical purity of the isolated material was 99.7%.

10

15

20

25

#### **CLAIMS**

1. A process for the biocatalytic reduction of a ketone substrate of formula:

$$G^1$$
 $G^2$ 
 $G^2$ 

to the corresponding alcohol compound of formula:

$$G^1 \underset{G^2-O}{\overset{OH}{\longrightarrow}} L$$

wherein  $G^1$  and  $G^2$  each independently represents a hydroxyl protecting group or hydrogen, and L is a leaving group;

the process comprising using an enzyme capable of ketoreductase activity, wherein the enzyme is preferably isolated.

- 2. A process according to Claim 1 wherein the enzyme capable of ketoreductase activity is a synthesised or engineered, and/or purified ketoreductase.
- 3. A process according to Claim 1 or Claim 2, wherein the enzyme capable of ketoreductase activity is an NADH-dependent ketoreductase or an NADPH-dependent ketoreductase, or an engineered polypeptide variant thereof having ketoreductase activity.
- 4. A process according to any of Claim 1-3 wherein the enzyme capable of ketoreductase activity is a ketoreductase or engineered polypeptide variant thereof which is based on IUBMB classification E.C. 1.1.1, more preferably IUBMB classification E.C. 1.1.1.1 and E.C. 1.1.1.2, and more preferably, wherein the enzyme capable of ketoreductase activity is an engineered ketoreductase polypeptide.
- 5. A process according to Claim 4, wherein the engineered ketoreductase polypeptide having ketoreductase activity is CDX-005 or KRED-P1-H01.

10

15

20

30

A process according to any of Claims 1-5, wherein the reaction is stereoselective, and wherein the alcohol compound has the formula

$$G^1O$$
  $G^2O$   $G^1O$   $G^2O$   $G^2O$   $G^1O$   $G^2O$ 

and preferably, wherein the alcohol compound has the formula:

- 7. A process according to any of Claims 1-6, wherein the hydroxyl-protecting groups  $G^1$  and  $G^2$  are each independently selected from the group consisting of:
  - a silyl protecting group, preferably having the formula  $-SiR^1R^2R^3$ , wherein  $R^1$ ,  $R^2$  and  $R^3$  are independently selected from: alkyl, cycloalkyl, and optionally substituted aryl; more preferably a  $C_1$ - $C_{15}$  straight or branched alkyl group, a  $C_1$ - $C_{10}$  cycloalkyl (particularly  $C_{3-10}$  cycloalkyl or  $C_{5-8}$  cycloalkyl) group; optionally substituted  $C_6$ - $C_{10}$  aryl group and optionally substituted  $C_7$ - $C_{12}$  arylalkyl group; particularly wherein the silyl protecting group is selected from the group consisting of t-butyldimethylsilyl, triethylsilyl, t-butyldiphenylsilyl, and trimethylsilyl;
  - an ether protecting group, preferably an alkyl ether or a cyclic ether protecting group; more preferably  $C_{1-10}$  alkyl ethers or  $C_{5-10}$  cyclic ethers; most preferably preferably  $C_{5-8}$  cyclic ethers, methyl ethers or ethyl ethers; or
  - an ester protecting group, preferably an alkyl ester an aryl ester or an aralkyl ester; more preferably a  $C_{1-10}$  alkyl ester (particularly  $C_{1-6}$  alkyl ester), a  $C_{6-10}$  aryl ester, or a  $C_{7-11}$  aralkyl ester; and most preferably acetate, or aryl 25 substituted acetate groups (preferably benzoate or substituted benzoate groups)
  - a methyl or substituted methyl protecting group, an ethyl or substituted ethyl
    protecting group, preferably selected from the group consisting of:
    tetrahydropyranyl, methoxymethyl, benzyloxymethyl, ethoxyethyl, benzyl or
    tert-butyl; and

10

15

20

25

- G<sup>1</sup> and G<sup>2</sup> together represent a group suitable for protection of 1,3-diols, preferably wherein G<sup>1</sup> and G<sup>2</sup> taken together represent a cyclic acetal or ketal protecting group, preferably selected from the group consisting of: methylene acetal, ethylidene acetal, isopropylidene acetal (acetonide),
- 8. A process according to Claim 7 wherein  $G^1$  and  $G^2$  taken together represent isopropylidene acetal (acetonide).
- 9. A process according to any of Claims 1-8, wherein L is a leaving group selected from: halogen-containing groups (preferably halo, and more preferably chloro, bromo or iodo) or sulfonate (preferably an alkyl sulfonate, particularly  $C_{1-6}$  alkylsulphonate, and more particularly methane sulphonate), or aryl sulfonate (particularly  $C_{6-10}$  arylsulfonate, particularly toluene sulfonate or para-toluenesulphonate).
- 10. A process according to any of Claims 1-9, wherein  $G^1$  and  $G^2$  together represent a group suitable for protection of 1,3-diols, preferably wherein  $G^1$  and  $G^2$  taken together represent a cyclic acetal or ketal protecting group, preferably selected from the group consisting of: methylene acetal, ethylidene acetal, isopropylidene acetal (acetonide), and L is halo, preferably bromo.
- 11. A process according to any of Claims 1-10, wherein the ketone substrate is 2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanone (Substrate I) having the formula (I):

12. A process according to any of Claims 1-11, wherein the alcohol compound has the formula:

and preferably wherein the alcohol compound has the formula II or II\*:

- 13. A process according to any of Claims 1-12, wherein the alcohol compound is enantiopure, preferably having an optical purity of :  $\geq 85\%$ ,  $\geq 90\%$ ,  $\geq 92\%$ ,  $\geq 94\%$ ,  $\geq 96\%$ ,  $\geq 98\%$ ,  $\geq 99\%$ ,  $\geq 99.5\%$ , or  $\geq 99.8\%$ , more preferably having an optical purity of  $\geq 99\%$ ,  $\geq 99.5\%$ , or  $\geq 99.8\%$ .
- 14. A process according to any of Claims 1-13, wherein the alcohol compound is (R)-2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanol (Compound II) having the formula (II):

II

10

15

20

- 15. A process according to any of Claims 1-14, wherein the alcohol compound is enantiopure (R)-2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanol (Compound II) having an optical purity of  $\geq$  99%,  $\geq$  99.5%, or  $\geq$  99.8%, and preferably  $\geq$  99.5% or  $\geq$  99.8%.
- 16. A process according to any of Claims 1-15, wherein the alcohol compound is enantiopure (R)-2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanol (Compound II) having an enantiomeric excess of  $\geq$  95% ee,  $\geq$  96% ee,  $\geq$  98% ee, or  $\geq$  99% ee, preferably  $\geq$  98% ee, or  $\geq$  99% ee.
- 17. A process according to any of Claims 1-16, wherein the alcohol compound is isolated, preferably wherein the alcohol compound is crystalline.
- 18. A process according to any of Claims 1-17, comprising the steps of:
- (a) providing a mixture comprising the enzyme and a co-factor and co-factor recycling system in an aqueous buffer, optionally comprising a co-factor recycling system;
- (b) providing a solution comprising the ketone substrate in a solvent, optionally 25 comprising a non-ionic tensioactive agent;

- (c) stirring the mixture (a) with solution (b); and
- (d) optionally isolating the alcohol compound;
- 19. A process according to Claim 18, wherein the enzyme, co-factor, and co-factor recycling system are dissolved in the aqueous buffer.
- 20. A process according to Claim 18 or Claim 19, wherein the solvent in step (b) is a water-immiscible organic solvent (preferably an ether, an ester, aromatic and aliphatic hydrocarbon or mixtures thereof, more preferably diisopropyl ether, methyl tert-butyl ether, ethyl acetate, isobutyl acetate, butyl acetate, ethyl butanoate, toluene, hexane, heptane or mixtures thereof), thereby forming a biphasic system, and preferably wherein the solution in step (b) comprises a non-ionic tensioactive agent.
- 21. A process according to Claim 18 or Claim 19, wherein the solvent in step (b) is a water-miscible organic solvent (preferably an alcohol, aprotic polar solvent or mixtures thereof, more preferably isopropanol, ethanol, isobutanol, dimethyl sulfoxide, dimethyl formamide, dimethyl acetamide, acetonitrile or mixtures thereof.
- 22. A process according to any of Claims 18-21, wherein the solution formed in step (b) is added slowly to the solution formed in step (a) whilst stirring the mixture, and optionally adjusting the pH during the reaction.
- 23. A process according to any of Claims 18-22, wherein the co-factor is NADH or NADPH, capable of converting NADP+ to NADPH, or NAD+ to NADH.
- 24. A process according to any of Claims 18-23, wherein the co-factor recycling system comprises a dehydrogenase and a substrate, preferably wherein the co-factor recycling system comprises the following pairs: glucose dehydrogenase/glucose, formate dehydrogenase/sodium formate or phosphite dehydrogenase/sodium phosphite.
- 25. A process according to any of Claims 18-24, wherein the water-miscible organic 25 solvent comprises isopropanol as a co-solvent, whereby the enzyme capable of ketoreductase activity can regenerate the co-factor itself.
- 26. A process according to any of Claims 1-17 comprising the steps of:
- (a) providing a buffered aqueous solution, optionally comprising a co-factor
- (b) providing a solution comprising the ketone substrate in a water miscible organic 30 solvent, optionally further comprising a tensioactive agent and a substrate for co-factor regeneration;
- (c) homogenizing the buffered aqueous solution (a) with solution (b);

30

- (d) providing an aqueous mixture comprising the ketoreductase enzyme, and optionally further comprising a co-factor recycling enzyme, and optionally comprising the co-factor;
- (e) homogenizing the mixture from step (c) with the aqueous mixture (d);
- (f) if the cofactor has not been added at step (a) or step (d), adding the co-factor either in solid form or in an aqueous solution, and homogenized with the mixture obtained at step (e);
- (g) stirring the mixture obtained in (e) or (f); and
- (h) optionally isolating the alcohol compound.
- 27. A process according to Claim 26, wherein the substrate for cofactor regeneration 10 is a secondary alcohol, preferably isopropanol
- 28. A process according to Claim 26 or Claim 27, wherein the water miscible cosolvent comprises isopropanol , preferably in an amount of 35-50 v/v% of the reaction mixture, and more preferably 40-45%.
- 29. A process according to any of Claims 26-28, wherein the solution of step (b) is added slowly to buffered aqueous solution of step (a) whilst stirring.
- 30. A process according of any of Claims 26-29, wherein the co-factor is added in at least in one of steps (a)-(g), and preferably at least in one of steps (a), (d) or (f).
- 31. A process according to any of Claims 18-30, wherein the alcohol compound is (R)-2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanol (Compound II) having the formula (II):

H

preferably having an optical purity of  $\geq$  99%,  $\geq$  99.5%, or  $\geq$  99.8%, and preferably  $\geq$  99.5% or  $\geq$  99.8%, and preferably wherein the alcohol compound has an enantiomeric 25 excess of  $\geq$  95% ee,  $\geq$  96% ee,  $\geq$  98% ee, or  $\geq$  99% ee, preferably  $\geq$  98% ee, or  $\geq$  99% ee.

32. A process according to any of Claims 1-31, wherein the alcohol is (R)-2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanol (Compound II) having the formula (II):

П

preferably having an optical purity of  $\geq 99\%$ ,  $\geq 99.5\%$ , or  $\geq 99.8\%$ , and preferably  $\geq 99.5\%$  or  $\geq 99.8\%$ , and preferably wherein the alcohol compound has an enantiomeric excess of  $\geq 95\%$  ee,  $\geq 96\%$  ee,  $\geq 98\%$  ee, or  $\geq 99\%$  ee, preferably  $\geq 98\%$  ee, or  $\geq 99\%$  ee, and further comprising converting the alcohol to vilanterol or a solid state form thereof, or a vilanterol salt (preferably vilanterol tartrate or vilanterol trifenatate) or a solid state form thereof.

- 33. A process for preparing vilanterol or a salt thereof, comprising:
- (a) converting a ketone substrate of formula (I):

$$G^1$$
 $G^2$ 
 $G^2$ 

10

5

into an enantiomerically pure alcohol having the formula:

15

wherein  $G^1$  and  $G^2$  each independently represents a hydroxyl protecting group or hydrogen, and L is a leaving group;

(b) protecting the alcohol group of the enantiomerically pure alcohol with a protecting group, PG, to form a protected enantiomerically pure alcohol (III):

20

$$G^{1}O$$
 $G^{2}O$ 
III

(c) reacting the compound of formula (III) with a compound of formula V,

$$H_2N$$

V

preferably wherein formula V is in the form of a salt, preferably the L-tartrate salt, to form a compound of formula VI\*,

VI\*

and optionally converting VI\* to a salt, preferably a fumarate salt (VI fumarate);

(d) selectively removing the protecting group PG from the compound VI\* or a salt thereof, to form a compound of formula VII\*:

$$G^{1}O$$
 $G^{2}O$ 
 $CI$ 
 $CI$ 
 $CI$ 
 $CI$ 
 $CI$ 

VII\*

and optionally converting VII\* to a salt, preferably an L-tartrate salt (VII L-tartrate);

- (e) removing the protecting groups  $G^1$  and  $G^2$  from VII\* or a salt thereof, to form vilanterol;
- (f) optionally converting vilanterol to vilanterol L-tartrate:

HO HO OH HO OH OH

Vilanterol L-tartrate

; and

5

10

(g) optionally converting vilanterol or vilanterol L-tartrate to vilanterol trifenatate:

wherein step (a) is preferably carried out by a process according to any of Claims 1-32.

34. A process according to Claim 33, wherein the compound of formula V is prepared by reacting a compound of formula IV:

with ammonia.

5

10

15

- 35. A process according to Claim 33 or Claim 34, wherein  $G^1$  and  $G^2$  together represent isopropylidine acetal (acetonide), and L is halo, preferably bromo.
- 36. A process according to any of Claims 33-35, wherein compound V is in the form of a crystalline L-tartaric acid salt, compound VI is in the form of a crystalline fumaric acid salt and compound VII is in a form of a crystalline L-tartaric acid salt.
- 37. A process according to any of Claims 33-36 further comprising combining the vilanterol or a solid state form thereof, or a pharmaceutically acceptable salt of vilanterol salt (preferably vilanterol trifenatate) or a solid state form thereof with at least one pharmaceutically acceptable excipient to form a pharmaceutical composition.
- 38. Use of a process according to any of Claims 1-32 for preparing vilanterol or a solid state form thereof, or a vilanterol salt (preferably vilanterol tartrate or vilanterol trifenatate) or a solid state form thereof, or a pharmaceutical composition of vilanterol or a pharmaceutically acceptable salt of vilanterol (preferably vilanterol trifenatate).
- 39. Vilanterol tartrate, preferably Vilanterol L-tartrate, or a solid state form thereof, 25 preferably in substantially pure form.

- 40. Vilanterol tartrate salt according to Claim 39, wherein the molar ratio of vilanterol and tartaric acid is 1.5:1 to 1:1, preferably about 1:1.
- 41. Vilanterol tartrate, preferably vilanterol L-tartrate, according to Claim 39 or Claim 40 in crystalline form, preferably characterized by data selected from one or more of the following: an X-ray powder diffraction pattern substantially as depicted in Figure 2; an X-ray powder diffraction pattern having broad peaks at 7.6, 9.8, 12.1, 20.2 and 28.9 degrees two theta  $\pm$  1.0 degree two theta; and combinations thereof.
- 42. Use of a vilanterol tartrate salt as defined in any of Claims 39-41 for: the purification of vilanterol, the preparation of vilanterol, the preparation of other different salts of vilanterol, the purification of vilanterol API, for the preparation of solid state forms thereof, and/or for the preparation of pharmaceutical formulations comprising one or more of the salts and/or solid state forms thereof.
- 43. Use of a vilanterol tartrate salt as defined in any of Claims 39-41 for the preparation of vilanterol triphenylacetate (trifenatate) or vilanterol tosylate.
- 44. A process for the purification of vilanterol or vilanterol salt comprising preparing vilanterol tartrate as defined in any of Claims 39-41 and converting the vilanterol tartrate to vilanterol or to vilanterol salt.
- 45. A process according to Claim 44, wherein the vilanterol or vilanterol salt is substantially free from impurity A of the following formula:

- 46. A process according to Claim 44 or Claim 45, wherein the vilanterol or vilanterol salt (preferably L-tartrate salt or trifenatate salt) are chemically pure, preferably having content of impurity A at amount of not more than 0.25%, preferably not more than 0.15%, more preferably not more than 0.10%.
- 47. Vilanterol tartrate and solid state form thereof according to any of Claims 39-41, for use as a medicament, preferably for the treatment of a person suffering from COPD or asthma as a sole product or in a combination therapy with an inhaled corticosteroid.

5

10

15

10

15

20

25

- 48. A pharmaceutical composition comprising vilanterol tartrate or a solid state form thereof according to any of Claims 39-41.
- 49. A pharmaceutical formulation comprising vilanterol tartrate or a solid state form thereof according to any of Claims 39-41 and at least one pharmaceutically acceptable excipient.
- 50. A process for preparing a pharmaceutical composition according to Claim 48 or a formulation according to Claim 49 comprising combining the vilanterol tartrate or a solid state form thereof, or, and at least one pharmaceutically acceptable excipient.
- 51. Use of vilanterol tartrate or a solid state form thereof according to any of Claims 39-41 in the manufacture of a pharmaceutical composition.
- 52. A method of treating a person suffering from COPD or asthma, comprising administering a therapeutically effective amount of a pharmaceutical composition or formulation comprising Vilanterol tartrate and solid state form thereof as defined in any of Claims 39-41.
- 53. A crystalline form of Compound II:

OH Br

Π

which is preferably characterized by data selected from one or more of the following: an X-ray powder diffraction pattern having peaks at 9.8, 14.9, 15.9, 18.2 and 19.9 degrees two theta  $\pm$  0.2 degrees two theta; an X-ray powder diffraction pattern substantially as depicted in Figure 1; and combinations thereof.

- 54. A crystalline form of Compound II according to Claim 53, further characterized by an X-ray powder diffraction pattern having any one, two, three, four or five additional peaks selected from peaks at 8.0, 18.7, 21.9, 24.0 and 25.6 degrees two theta  $\pm$  0.2 degrees two theta.
- 55. A crystalline form of Compound II according to Claim 53 or 54, characterized by an x-ray powder diffraction pattern having peaks at 8.0, 9.8, 14.9, 15.9, 16.4, 17.9, 18.2, 18.7, 19.9, 21.6, 21.9, 22.6, 24.0, 24.3, 25.3, 25.6, 26.9, 27.1, 28.2, 28.9, 29.5, 30.3, 31.5, 31.8, 32.2, 33.6, 34.2, 35.5, 36.5, 37.2, 37.5, 38.3, 39.0 and 39.8 degrees two theta  $\pm$  0.2 degrees two theta.

10

15

20

56. A crystalline salt of:

compound V:

$$H_2N$$

V ;

compound VI\*:

$$G^{1}O$$
 $G^{2}O$ 
 $G$ 

VI\* ; or

a compound VII\*:

 $G^1O$   $G^2O$  VII\*

preferably wherein compound V is in the form of a crystalline L-tartaric acid salt, compound VI is in the form of a crystalline fumaric acid salt or compound VII is in a form of a crystalline L-tartaric acid salt.

57. Use of a crystalline salt as defined in Claim 56 as an intermediate for the preparation of vilanterol, a vilanterol salt, or solid state forms of vilanterol or a vilanterol salt, preferably vilanterol, vilanterol L-tartrate, or vilanterol trifenatate or solid state forms thereof.

Figure 1: Crystalline (R)- 2-bromo-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethanol (Compound II)

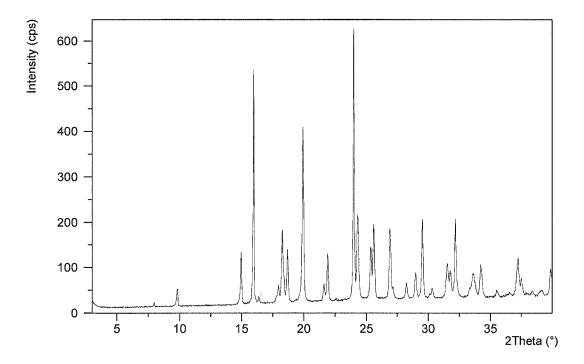
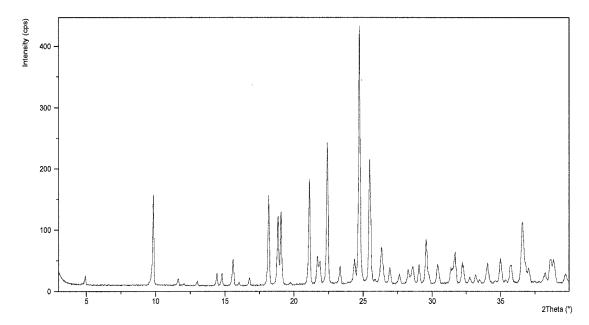


Figure 2: Crystalline 6-(2-((2,6-dichlorobenzyl)oxy)ethoxy)hexan-1-amine L-tartaric acid salt (V L-tartrate)



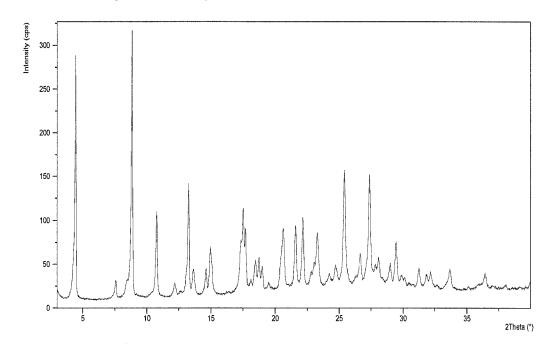


Figure 4: Crystalline (R)-2-((6-(2-((2,6-dichlorobenzyl)oxy)ethoxy)hexyl)amino)-1-(2,2-dimethyl-4H-1,3-benzodioxin-6-yl)ethan-1-ol L-Tartaric acid salt (VII L-tartrate)

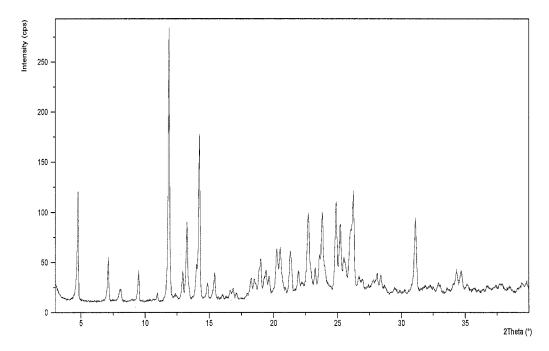
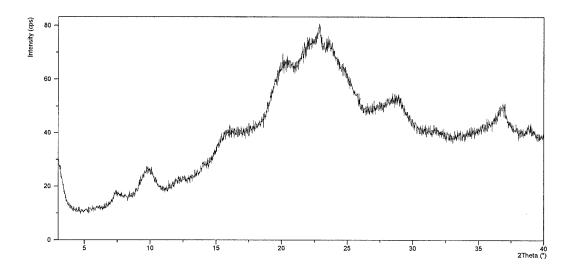


Figure 5: a X-ray powder diffractogramfgenban of Vilanterol tartrate



International application No PCT/IB2016/000615

A. CLASSIFICATION OF SUBJECT MATTER INV. C12P7/02 C07C41/00

C07C43/178

C12P41/00

ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

#### **B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

C12P C07C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-Internal, WPI Data

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	LIU J ET AL: "A convenient synthesis of (R)-salmeterol via Rh-catalyzed asymmetric transfer hydrogenation", TETRAHEDRON ASYMMETRY, PERGAMON PRESS LTD, OXFORD, GB, vol. 19, no. 15, 8 August 2008 (2008-08-08), pages 1824-1828, XP023902368, ISSN: 0957-4166, DOI: 10.1016/J.TETASY.2008.07.021 [retrieved on 2008-08-09]	53-55
Υ	page 1827, left-hand column	1-32,38
X	US 8 969 571 B2 (MAMMEN MATHAI [US] ET AL) 3 March 2015 (2015-03-03) "item (d)*; column 75	53-55

LX	Further documents are listed in the continuation of Box C.	X	See patent family annex.
* Sn	onial automorion of aitod documento :		

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier application or patent but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other
- document published prior to the international filing date but later than the priority date claimed
- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of the actual completion of the international search Date of mailing of the international search report 9 August 2016 10/10/2016 Name and mailing address of the ISA/ Authorized officer

European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016

Boeker, Ruth

International application No
PCT/IB2016/000615

	ation). DOCUMENTS CONSIDERED TO BE RELEVANT	T
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2004/242622 A1 (MAMMEN MATHAI [US] ET AL) 2 December 2004 (2004-12-02) paragraphs [0774], [0775]	53-55
Α	WO 2014/041565 A2 (LAURUS LABS PRIVATE LTD [IN]) 20 March 2014 (2014-03-20) cited in the application claims 21, 29	1
Υ	WO 2008/038050 A2 (ALMAC SCIENCES LTD [GB]; WIFFEN JONATHAN [GB]; ADGER BRIAN [GB]) 3 April 2008 (2008-04-03) claims 1, 4, 5	1-32,38
Υ	GOSWAMI A ET AL: "Microbial reduction of omega-bromoacetophenones in the presence of surfactants", TETRAHEDRON ASYMMETRY, PERGAMON PRESS LTD, OXFORD, GB, vol. 11, no. 18, 22 September 2000 (2000-09-22), pages 3701-3709, XP004224153, ISSN: 0957-4166, DOI: 10.1016/S0957-4166(00)00341-4 cited in the application page 3702	1-32,38
A	PROCOPIOU P A ET AL: "Enantioselective synthesis of (S)-salmeterol via asymmetric reduction of azidoketone by Pichia angusta", TETRAHEDRON ASYMMETRY, PERGAMON PRESS LTD, OXFORD, GB, vol. 12, no. 14, 14 August 2001 (2001-08-14), pages 2005-2008, XP004307294, ISSN: 0957-4166, DOI: 10.1016/S0957-4166(01)00350-0 cited in the application the whole document	

International application No. PCT/IB2016/000615

# **INTERNATIONAL SEARCH REPORT**

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)
This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
2. Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
see additional sheet
As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.
3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:  1-32, 38, 53-55
The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.  The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.  No protest accompanied the payment of additional search fees.

# FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-32, 38, 53-55

Process for the biocatalytic reduction of a ketone with an enzyme capable of ketoreductase activity and Compound of structure II

---

2. claims: 33-37

Process for preparing vilanterol or a salt thereof

\_\_\_

3. claims: 39-43, 47-52

Vilanterol tartrate, use thereof and medical treatment

---

4. claims: 44-46

Purification of Vilanterol

\_\_\_

5. claims: 56, 57(partially)

Compound of formula V

- - -

6. claims: 56, 57(partially)

Compound of formula VI\*

---

7. claims: 56, 57(partially)

Compound of formula VI\*

---

Information on patent family members

International application No
PCT/IB2016/000615

	T			17/182010/000013
Patent document cited in search report	Publication date		Patent family member(s)	Publication date
US 8969571 B2	2 03-03-2015	AR	043176 A	1 20-07-2005
	. 55 55 2515	AT	482934 T	
		ΑÜ	2004213411 A	
		AU	2010219338 A	
		BR	PI0407508 A	
		CA	2515777 A	
		CY	1111276 T	
		DK	1615889 T	
		DK	2246345 T	
		EP	1592685 A	
		ΕP	1594860 A	
		EP	1615889 A	
		EP	2246345 A	
		EP	3012254 A	1 27-04-2016
		ES	2566155 T	3 11-04-2016
		HK	1086266 A	1 31-12-2010
		HK	1149548 A	
		ΙL	169922 A	
		IS	7964 A	
		JP	4555283 B	
		JP	5247757 B	
		JP	5436503 B	
		JP	2006517971 A	
		JP	2006517978 A	
		JP	2006518739 A	
		JP	2007119496 A	
		JP JP	2010159291 A 2011225617 A	
		KR	2011225617 A 20050102114 A	
		KR	20110053393 A	
		KR	201100333333 A	
		MX	PA05008528 A	
		MY	148487 A	
		NO	331947 B	
		NO	333394 B	
		ΝZ	541579 A	
		PE	09502004 A	
		PL	216397 B	
		PT	1615889 E	
		RU	2330841 C	
		SI	1615889 T	
		SI	2246345 T	
		TW	I331995 B	
		US	2004167167 A	
		US	2004209860 A	
		US	2004209915 A	
		US US	2006223858 A 2006223859 A	
		US	2006223860 A	
		US	2006223360 A	
		US	2007037984 A	
		US	2007088054 A	
		US	2007208176 A	
		US	2007276003 A	
		US	2008015220 A	
		US	2011312994 A	
		ÜS	2013150404 A	
		US	2014235864 A	

Information on patent family members

International application No
PCT/IB2016/000615

Patent document cited in search report	Publication date		Patent family member(s)	Publication date
		W0 W0 W0	2004074246 A2 2004074276 A1 2004074812 A2	02-09-2004 02-09-2004 02-09-2004
US 2004242622	A1 02-12-200	4 AT EP ES JP JP US US US	435862 T 1626970 A1 2329133 T3 4616264 B2 2007501862 A 2004242622 A1 2008139603 A1 2010197922 A1 2004106333 A1	15-07-2009 22-02-2006 23-11-2009 19-01-2011 01-02-2007 02-12-2004 12-06-2008 05-08-2010 09-12-2004
WO 2014041565	A2 20-03-201	4 US WO	2015239862 A1 2014041565 A2	27-08-2015 20-03-2014
WO 2008038050	A2 03-04-200	8 NONE	: :	