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(71) Applicant (for all designated States except US): CEL-GENE CORPORATION [US/US]; 86 Morris Avenue, Summit, NJ 07901 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): BERTLETT, Justin, B. [GB/US]; 25 Arrighi Drive, Warren, NJ 07059 (US). MULLER, George, W. [US/US]; 250 Windmill Court, Bridgewater, NJ 08807 (US). SCHAFER, Peter, H. [US/US]; 16 Block Court, Randolph, NJ 07869 (US). GALUTIAN, Christine [GB/GB]; 44 Park Hill Rise, Croydon Surrey CR0 5JD (GB). DALGLEISH, Angus, G. [GB/GB]; 7 Burdon Lane, Cheam SM2 7PP (GB). MEYER, Brendan [ZA/GB]; 88 Coleman Court, Kimber Road, London SW18 4PA (GB).

(74) Agents: INSOGNA, Anthony, M. et al.; Jones Day, 222 East 41st Street, New York, NY 10017-6702 (US).

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(54) Title: IMMUNOLOGICAL USES OF IMMUNODULATOR COMPOUNDS FOR VACCINE AND ANTI-INFECTIONS DISEASE THERAPY

(57) Abstract: Methods of enhancing immune response to an immunogen in a subject are disclosed. Also disclosed are methods of reducing the sensitivity to an allergen in a subject. The methods comprise the administration of an immunomodulatory compound in specific dosing regimens that result in enhanced immune response or reduced sensitivity.

**IMMUNOLOGICAL USES OF IMMUNOMODULATORY  
COMPOUNDS FOR VACCINE AND ANTI-INFECTIOUS DISEASE THERAPY**

**1. FIELD OF THE INVENTION**

This invention relates to the use of certain non-peptide small molecules known as immunomodulatory compounds or IMiDs® in various immunological applications, in particular as vaccine adjuvants, particularly anticancer vaccine adjuvants. The invention also relates to the uses of IMiDs® in combination with vaccines to treat or prevent cancer or infectious diseases. This invention also relates to other various uses of immunomodulatory compounds such as reduction or desensitization of allergic reactions.

**2. BACKGROUND**

**2.1 VACCINES**

Vaccines have traditionally consisted of live attenuated pathogens, whole inactivated organisms or inactivated toxins. In many cases, these approaches have been successful at inducing immune protection based on antibody mediated responses. However, certain pathogens, *e.g.*, HIV, HCV, TB, and malaria, require the induction of cell-mediated immunity (CMI). Non-live vaccines have generally proven ineffective in producing CMI. In addition, although live vaccines may induce CMI, some live attenuated vaccines may cause disease in immunosuppressed subjects. As a result of these problems, several new approaches to vaccine development have emerged, such as recombinant protein subunits, synthetic peptides, protein polysaccharide conjugates, and plasmid DNA. While these new approaches may offer important safety advantages, a general problem is that vaccines alone are often poorly immunogenic. Therefore, there is a continuing need for the development of potent and safe adjuvants that can be used in vaccine formulations to enhance their immunogenicity. See, *e.g.*, Edelman, *Molecular Biotech.* 21: 129-148 (2002); O'Hagan *et al.*, *Biomolecular Engineering*, 18: 69-85 (2001); Singh *et al.*, *Pharm. Res.* 19(6): 715-28 (2000) for detailed review of the state of the art in vaccine development.

Traditionally, the immunogenicity of a vaccine formulation has been improved by injecting it in a formulation that includes an adjuvant. Immunological adjuvants were initially described by Ramon (1924, *Ann. Inst. Pasteur*, 38: 1) "as substances used in combination with a specific antigen that produced a more robust immune response than the antigen alone." A wide variety of substances, both biological and synthetic, have been used as adjuvants. However, despite extensive evaluation of a large number of candidates over

many years, the only adjuvants currently approved by the U.S. Food and Drug administration are aluminum-based minerals (generically called Alum). Alum has a debatable safety record (see, e.g., Malakoff, *Science*, 2000, 288: 1323), and comparative studies show that it is a weak adjuvant for antibody induction to protein subunits and a poor adjuvant for CMI.

5 Moreover, Alum adjuvants can induce IgE antibody response and have been associated with allergic reactions in some subjects (see, e.g., Gupta *et al.*, 1998, *Drug Deliv. Rev.* 32: 155-72; Relyveld *et al.*, 1998, *Vaccine* 16: 1016-23). Many experimental adjuvants have advanced to clinical trials since the development of Alum, and some have demonstrated high potency but have proven too toxic for therapeutic use in humans. Thus, an on-going need exists for safe  
10 and potent adjuvants.

Cancer vaccines have been a subject of much attention. Recently, there appears to be an emergin consensus that cancer vaccines are less likely to be successful in the context of high tumor buden/load (see, e.g., *Nature Medicine Commentary*, 10(12): 1278 (2004) and *Cancer Immunol. Immunother.*, 53(10): 844-54 (2004)). This is attiributed to  
15 effective tumor-mediated immune suppression due to the secretion of IL-10, TGF-b, and PGE-2, among others.

On the other hand, recent evidence suggests that immediately after tumor resection or ablation, there is leakage of tumor cells in the peripheral blood. Therefore, the presence of tumor antigen in the context of low tumor burden, without associated immune suppression, may enable re-priming of the immune response. Thus, a need exists for an agent  
20 that promotes the long-term anti-tumor immunity, possibly through Th1 type cellular immune responses.

## 2.2 REGULATORY T CELLS (T<sub>reg</sub> Cells)

25 T<sub>reg</sub> cells refer to a population of specialized T cells that express CD4 and CD25. T<sub>reg</sub> cells are exceptional in that their main function appears to be suppression of function of other cells. In this regard, T<sub>reg</sub> cells are also referred to as "suppressor cells." It has been reported that a further defining characteristic of T<sub>reg</sub> cells is their expression of the transcription factor Foxp3.

30 Due to the variety of their effect, T<sub>reg</sub> cells have been a subject of a great deal of interest. It has been reported that T<sub>reg</sub> cells may influence the outcome of infection, autoimmunity, transplantation, cancer and allergy. It has been suggested that the modes of suppression employed by T<sub>reg</sub> cells range from the cytokines IL-10 and TGF- $\beta$  to cell-cell contact via the inhibitory molecule CTLA-4. Recently, it has been reported that dendritic

cells (DC) may induce the activation and proliferation of  $T_{reg}$  cells, although DC are recognized as powerful activators of immune response due, in part, to their potency as antigen presentation cells (APC). See Yamazaki *et al.*, *J. Exp. Med.*, 198: 235 (2003).

Generally, it is believed that  $T_{reg}$  cells suppress the immunity of the host, and 5 thus preventing an immunogen (e.g., a vaccine) from invoking effective immune response in the host. On the other hand, the absence of  $T_{reg}$  cells can lead to an outburst of immune response, often resulting in inflammation or autoimmunity. Therefore, to maximize the immunity acquired from an immunogen, a balance needs to be achieved with regard to the level or functionality of  $T_{reg}$  cells.

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### 2.3 GAMMA DELTA ( $\gamma\delta$ ) T CELLS

Human T cells bearing the  $\gamma\delta$  T cell receptor represent a unique lymphocyte population with characteristic tissue distribution, being present in organized lymphoid tissue as well as skin- and gut-associated lymphoid tissue.  $\gamma\delta$  T cells are activated in a non-MHC 15 restricted manner by small phosphorylated non-peptidic metabolites, including the prototypic ligand isopentenyl pyrophosphate (IPP). Some  $\gamma\delta$  T cell ligands are microbial intermediates from the farnesylypyrophosphate synthesis pathway, which is ubiquitous and essential for cell survival. This unique antigen specificity has been suggested to be best suited for activation 20 of sentinel cells independently of antigens derived from individual microbes (De Libero, *Immunology Today*, 18: 22-26 (1997)). Recent data suggest that  $\gamma\delta$  T cells play a role in tumor surveillance, for example, of spontaneous B cell lymphomas (Street *et al.*, *J Exp Med*, 199: 879-884(2004)), since these cells have been shown to recognize intermediates of the melavonate pathway, an essential pathway leading to cholesterol biosynthesis (Gober *et al.*, *J Exp Med*, 197: 163-168 (2003)). These  $\gamma\delta$  T cell tumor ligands can be enhanced by treatment 25 with amino-bisphosphonates (nitrogen containing bisphosphoante drugs include pamidronate and zolodronate and are used in myeloma treatment), suggesting that pretreatment with these drugs could sensitize tumor cells to  $\gamma\delta$  T cell-mediated killing.  $\gamma\delta$  T cells may also be able to augment anti-tumor immunity by enhancing dendritic cell maturation (Ismaili *et al.*, *Clin Immunol*, 103: 296-302 (2002)).

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In non-cancer settings,  $\gamma\delta$  T cells play a role in protection from viral infection, e.g., West Nile virus (Wang *et al.*, *J Immunol*, 171: 2524-2531 (2003)). Also, intraepithelial  $\gamma\delta$  T cells play a protective role in intestinal inflammation (Chen *et al.*, *Proc. Natl. Acad. Sci. U.S.A.*, 99: 14338-14343 (2002); and Inagaki-Ohara *et al.*, *J Immunol*, 173: 1390-1398

(2004)). Furthermore,  $\gamma\delta$  TCR-bearing dendritic epidermal cells play a role in wound repair (Jameson *et al.*, *Science*, 296: 747-749 (2002)).

## 2.4 IMMUNOMODULATORY COMPOUNDS

5 A number of studies have been conducted with the aim of providing compounds that can safely and effectively be used to treat diseases associated with abnormal production of TNF- $\alpha$ . *See, e.g.*, Marriott, J.B., *et al.*, *Expert Opin. Biol. Ther.* 1(4):1-8 (2001); G.W. Muller, *et al.*, *Journal of Medicinal Chemistry*, 39(17): 3238-3240 (1996); and G.W. Muller, *et al.*, *Bioorganic & Medicinal Chemistry Letters*, 8: 2669-2674 (1998). Some 10 studies have focused on a group of compounds selected for their capacity to potently inhibit TNF- $\alpha$  production by LPS stimulated PBMC. L.G. Corral, *et al.*, *Ann. Rheum. Dis.*, 58 (suppl I): 1107-1113 (1999). These compounds, which are referred to as IMiDs<sup>®</sup> (Celgene Corporation) or Immunomodulatory Drugs, show not only potent inhibition of TNF- $\alpha$  but also marked inhibition of LPS induced monocyte IL1 $\beta$  and IL12 production. LPS induced 15 IL6 is also inhibited by immunomodulatory compounds, *albeit* partially. These compounds are potent stimulators of LPS induced IL10. *Id.* Particular examples of IMiDs<sup>®</sup> include, but are not limited to, the substituted 2-(2,6-dioxopiperidin-3-yl) phthalimides and substituted 2-(2,6-dioxopiperidin-3-yl)-1-oxoisindoles described and claimed in United States Patent Nos. 6,281,230 and 6,316,471, both to G.W. Muller, *et al.*

20 3. SUMMARY OF THE INVENTION

This invention relates to immunological and other uses of IMiDs<sup>®</sup>. In particular, this invention encompasses the use of IMiDs<sup>®</sup> in combination of an immunogen (*e.g.*, a vaccine) in specific dosing regimen, providing an enhanced immune responses from 25 the immunogen as compared to the responses obtained when IMiDs<sup>®</sup> are not used.

This invention also encompasses methods of reducing or inhibiting proliferation or immuno-suppressive activity of T<sub>reg</sub> cells comprising contacting the T<sub>reg</sub> cell with an immunomodulatory compound of the invention.

30 This invention also encompasses methods of eliciting an enhanced immune response from an immunogen. This invention also encompasses methods of eliciting a reduced allergic response from an allergen. The methods comprise administering an immunomodulatory compound of the invention to a subject prior to the exposure of the

subject to an immunogen or an allergen. It should be noted that IMiDs® can be additionally administered during and/or after the subject's exposure to the immunogen or allergen.

Pharmaceutical compositions, dosing regimen, and combination therapies using an immunomodulatory compound are also encompassed by the invention.

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#### 4. BRIEF DESCRIPTION OF FIGURES

**FIG. 1** is a non-limiting list of vaccines that may be used in connection with methods of this invention.

**FIG. 2A** illustrates the effects of 4-(amino)-2-(2,6-dioxo(3-piperidyl))-isoindoline-1,3-dione on the function of regulatory T cells.

**FIG. 2B** illustrates the effects of 3-(4-amino-1-oxo-1,3-dihydro-isoindol-2-yl)-piperidine-2,6-dione on the function of regulatory T cells.

**FIG. 2C** illustrates the effects of thalidomide on the function of regulatory T cells.

**FIG. 3** illustrates the effects of immunomodulatory compounds of the invention and thalidomide on the expression of T<sub>reg</sub> marker Foxp3 (Fig. 3A-DMSO control; Fig. 3B-1µM 4-(amino)-2-(2,6-dioxo(3-piperidyl))-isoindoline-1,3-dione; Fig. 3C-0.01µM 4-(amino)-2-(2,6-dioxo(3-piperidyl))-isoindoline-1,3-dione; Fig. 3D-1µM 3-(4-amino-1-oxo-1,3-dihydro-isoindol-2-yl)-piperidine-2,6-dione; Fig. 3E-0.01µM 3-(4-amino-1-oxo-1,3-dihydro-isoindol-2-yl)-piperidine-2,6-dione; Fig. 3F-1µM thalidomide; and Fig. 3G-0.01µM thalidomide).

**FIG. 4** illustrates the effects of 4-(amino)-2-(2,6-dioxo(3-piperidyl))-isoindoline-1,3-dione and 3-(4-amino-1-oxo-1,3-dihydro-isoindol-2-yl)-piperidine-2,6-dione on the number of regulatory T cells.

**FIG. 5A** illustrates the effects of 3-(4-amino-1-oxo-1,3-dihydro-isoindol-2-yl)-piperidine-2,6-dione on the expression of γδ T cells in PMBC activated with IL-2 and IPP.

**FIG. 5B** illustrates the effects of 4-(amino)-2-(2,6-dioxo(3-piperidyl))-isoindoline-1,3-dione on the expression of γδ T cells in PMBC activated with IL-2 and IPP.

**FIG. 5C** illustrates the effects of 3-(4-amino-1-oxo-1,3-dihydro-isoindol-2-yl)-piperidine-2,6-dione on the expression of NKG2D in PMBC activated with IL-2 and IPP.

**FIG. 5D** illustrates the effects of 4-(amino)-2-(2,6-dioxo(3-piperidyl))-isoindoline-1,3-dione on the expression of NKG2D in PMBC activated with IL-2 and IPP.

**FIG. 6** illustrates the effects of 4-(amino)-2-(2,6-dioxo(3-piperidyl))-isoindoline-1,3-dione on the apoptosis in γδ T cells on day 4 (Fig. 6A), day 5 (Fig. 6B), day 6 (Fig. 6C), and day 7 (Fig. 6D) after the treatment..

**FIGs. 7A and 7B** illustrate the comparison of IFN- $\gamma$  production in cells treated with  $\alpha$ CD3 alone (Fig. 7A) and those treated with  $\alpha$ CD3 and 4-(amino)-2-(2,6-dioxo(3-piperidyl))-isoindoline-1,3-dione (Fig. 7B) in freshly prepared  $\gamma\delta$  T cells.

5 **FIGs. 7C and 7D** illustrate the comparison of TNF- $\alpha$  production in cells treated with  $\alpha$ CD3 alone (Fig. 7C) and those treated with  $\alpha$ CD3 and 4-(amino)-2-(2,6-dioxo(3-piperidyl))-isoindoline-1,3-dione (Fig. 7D) in freshly prepared  $\gamma\delta$  T cells.

**FIGs. 7E and 7F** illustrate the comparison of IFN- $\gamma$  production in cells treated with IPP alone (Fig. 7E) and those treated with IPP and 4-(amino)-2-(2,6-dioxo(3-piperidyl))-isoindoline-1,3-dione (Fig. 7F) in freshly prepared  $\gamma\delta$  T cells.

10 **FIGs. 7G and 7H** illustrate the comparison of TNF- $\alpha$  production in cells treated with IPP alone (Fig. 7G) and those treated with IPP and 4-(amino)-2-(2,6-dioxo(3-piperidyl))-isoindoline-1,3-dione (Fig. 7H) in freshly prepared  $\gamma\delta$  T cells.

**FIG. 8A** illustrates the IFN- $\gamma$  production in response to co-culture with RPMI cells with (tumor: $\gamma\delta$  T = 0.5:1 ratio) without preincubation with pamidronate.

15 **FIG. 8B** illustrates the IFN- $\gamma$  production in response to co-culture with RPMI cells with (tumor: $\gamma\delta$  T = 0.5:1 ratio) without preincubation with pamidronate, but with treatment with 4-(amino)-2-(2,6-dioxo(3-piperidyl))-isoindoline-1,3-dione.

**FIG. 8C** illustrates the IFN- $\gamma$  production in response to co-culture with RPMI cells with (tumor: $\gamma\delta$  T = 1:1 ratio) without preincubation with pamidronate.

20 **FIG. 8D** illustrates the IFN- $\gamma$  production in response to co-culture with RPMI cells with (tumor: $\gamma\delta$  T = 1:1 ratio) without preincubation with pamidronate, but with treatment with 4-(amino)-2-(2,6-dioxo(3-piperidyl))-isoindoline-1,3-dione.

**FIG. 8E** illustrates the IFN- $\gamma$  production in response to co-culture with RPMI cells with (tumor: $\gamma\delta$  T = 2:1 ratio) without preincubation with pamidronate.

25 **FIG. 8F** illustrates the IFN- $\gamma$  production in response to co-culture with RPMI cells with (tumor: $\gamma\delta$  T = 2:1 ratio) without preincubation with pamidronate, but with treatment with 4-(amino)-2-(2,6-dioxo(3-piperidyl))-isoindoline-1,3-dione.

**FIG. 8G** illustrates the IFN- $\gamma$  production in response to co-culture with RPMI cells with (tumor: $\gamma\delta$  T = 0.5:1 ratio) with preincubation with pamidronate.

30 **FIG. 8H** illustrates the IFN- $\gamma$  production in response to co-culture with RPMI cells with (tumor: $\gamma\delta$  T = 0.5:1 ratio) with preincubation with pamidronate and treatment with 4-(amino)-2-(2,6-dioxo(3-piperidyl))-isoindoline-1,3-dione.

**FIG. 8I** illustrates the IFN- $\gamma$  production in response to co-culture with RPMI cells with (tumor: $\gamma\delta$  T = 1:1 ratio) with preincubation with pamidronate.

**FIG. 8J** illustrates the IFN- $\gamma$  production in response to co-culture with RPMI cells with (tumor: $\gamma\delta$  T = 1:1 ratio) with preincubation with pamidronate and treatment with 4-(amino)-2-(2,6-dioxo(3-piperidyl))-isoindoline-1,3-dione.

5 **FIG. 8K** illustrates the IFN- $\gamma$  production in response to co-culture with RPMI cells with (tumor: $\gamma\delta$  T = 2:1 ratio) with preincubation with pamidronate.

**FIG. 8L** illustrates the IFN- $\gamma$  production in response to co-culture with RPMI cells with (tumor: $\gamma\delta$  T = 2:1 ratio) with preincubation with pamidronate and treatment with 4-(amino)-2-(2,6-dioxo(3-piperidyl))-isoindoline-1,3-dione.

10 **FIG. 9A** illustrates the effects of immunomodulatory compounds of the invention on the cytotoxicity of  $\gamma\delta$  T cells on MM cell lines where the compounds are preincubated with tumor cells.

15 **FIG. 9B** illustrates the effects of immunomodulatory compounds of the invention on the cytotoxicity of  $\gamma\delta$  T cells on MM cell lines where the compounds are not preincubated with tumor cells, but are added during the chromium release assay only.

## 5. DETAILED DESCRIPTION OF THE INVENTION

This invention is based, in part, on the inventors' discovery that pre-treatment with immunomodulatory compounds of the invention, before the introduction of an immunogen (e.g., a vaccine) results in an enhanced immune response in a host, as determined 20 by the experiments described herein. Without being limited by a particular theory, the invention encompasses administration of an immunomodulatory compound to a host, preferably prior to the introduction of an immunogen, to enhance the function of dendritic cells as antigen presentation cells and/or suppress the proliferation and/or function of  $T_{reg}$  cells, resulting in an enhanced immune response in the host. In addition, without being 25 limited by a particular theory, immunomodulatory compounds of the invention augment the innate anti-tumor activity of  $\gamma\delta$  T cells. Furthermore, without being limited by a particular theory, it is also believed that the immunomodulatory compounds of the invention promote successful Th1 type cellular immune responses necessary for efficient long-term anti-tumor activity, thereby delaying or prevent tumor recurrence.

30 Accordingly, this invention encompasses methods of reducing or inhibiting proliferation and/or immuno-suppressive activity of regulatory T cells comprising contacting the regulatory T cells with an immunomodulatory compound of the invention for a time sufficient for the reduction or the inhibition of proliferation and/or immuno-suppressive activity.

As used herein, and unless otherwise specified, the term “reducing or inhibiting the proliferation,” when used in connection with regulatory T cells, means that the number of regulatory T cells in a cell culture or a host treated with an immunomodulatory compound of the invention is less than the number of regulatory T cells in a cell culture or a host without the treatment with an immunomodulatory compound of the invention, as determined by methods known in the art, some of which are described herein. A typical method involves the staining of a marker and analysis of the stain using, for example, FACS analysis. Preferably, reduced proliferation means the number of T cells in immunomodulatory compound treated culture or host is about 10%, 20%, 30%, 50%, 70%, or 10 90% or less than those in the culture or host without such treatment.

As used herein, and unless otherwise specified, the term “reducing or inhibiting the immuno-suppressive activity,” when used in connection with regulatory T cells, means that the immuno-suppressive activity of regulatory T cells, when treated or contacted with an immunomodulatory compound of the invention, is lower than those 15 without such treatment or contact. The immuno-suppressive activity can be determined using methods known in the art including those described herein. Typically, the immuno-suppressive activity of regulatory T cells can be assessed by monitoring the proliferation of, for example, anti-CD3 stimulated CD25- cells in response to TCR signal. Preferably, reduced immuno-suppressive activity means the activity of regulatory T cells treated with an 20 immunomodulatory compound of the invention is about 10%, 20%, 30%, 50%, 70%, or 90% or less than the activity of those without such treatment.

This invention also encompasses methods of eliciting an enhanced immune response from an immunogen in a subject (*e.g.*, human) comprising administering to the subject an immunomodulatory compound of the invention prior to the administration of the 25 immunogen to the subject.

As used herein, and unless otherwise specified, the term “immunogen” means any substance or organism that provokes an immune response (produces immunity) when introduced to the body. In some embodiments, an immunogen can be used in therapeutic settings in a form of a vaccine.

As used herein, and unless otherwise specified, the term “enhanced immune response” means that, when an immunogen is administered in combination with an immunomodulatory compound according to methods of this invention, there is an increased antibody formation, measured using any standard methods known in the art or described herein, in a subject that receives such an administration as compared to a subject to which

same amount of the immunogen alone is administered. As used herein, the term "administration in combination with," used in connection with two or more therapeutic agents, means that such agents are administered simultaneously, concurrently, or sequentially using the same or different routes. Preferably, an enhanced immune response means about 5 10%, 20%, 30%, 50%, 70%, or 100% or greater increase in antibody formation.

In specific embodiments, an immunomodulatory compound is administered to a subject about 30 days, 20 days, 15 days, 12 days, 10 days, 7 days, 5 days, 3 days, 1 day, 12 hours, or 5 hours prior to the administration of the immunogen. In other embodiments, an immunomodulatory compound is administered from about 30 days to about 5 hours, from 10 about 20 days to about 5 hours, from about 15 days to about 12 hours, from about 12 days to about 5 hours, from about 10 days to about 12 hours, from about 7 days to about 12 hours, from about 5 days to about 12 hours, from about 5 days to about 1 day, from about 3 days to about 12 hours, or from about 3 days to about 1 day prior to the administration of an immunogen.

15 In other embodiments, methods of the invention further comprises a second administration of an immunomodulatory compound of the invention after the administration of an immunogen. Without being limited by a particular theory, it is believed that administering an immunomodulatory compound after the administration of an immunogen can enhance the immune response obtained from the immunogen by improving antigen 20 presentation of host cells, enhancing the activity of T cells (*e.g.*,  $\alpha\beta$  and  $\gamma\delta$  TCR positive), and generating cytotoxic effector response and long term memory (*e.g.*, Th1 type) immune response. In these embodiments, there are at least two administrations of an immunomodulatory compound of the invention -- one pre-immunogen and one post-immunogen.

25 In specific embodiments, an immunomodulatory compound of the invention is administered to a subject about 30 days, 20 days, 15 days, 12 days, 10 days, 7 days, 5 days, 3 days, 1 day, 12 hours, or 5 hours after the administration of the immunogen. In other embodiments, an immunomodulatory compound of the invention is administered from about 5 hours to about 30 days, from about 5 hours to about 20 days, from about 12 hours to about 30 15 days, from about 5 hours to about 12 days, from about 12 hours to about 10 days, from about 12 hours to about 7 days, from about 12 hours to about 5 days, from about 1 day to about 5 days, from about 12 hours to about 3 days, or from about 1 day to about 3 days after the administration of an immunogen.

In another aspect, this invention encompasses methods of eliciting a reduced allergic response in a subject comprising administering to the subject an immunomodulatory compound of the invention prior to the subject's exposure to an allergen. As used herein, the term "subject's exposure to allergen" encompasses a subject's exposure to an allergen which is foreseeable (e.g., intake of food or exposure to the naturally occurring allergens), as well as allergy vaccination where an allergen is administered to a subject according to a dosing scheme over a period of time. Without being limited by a particular theory, it is believed that immunomodulatory compounds not only preferentially induce Th1 immune response, but also inhibit and/or reverse Th2 differentiation, resulting in milder, non-acute immune response to an allergen mediated by Th1 cells.

In specific embodiments, an immunomodulatory compound is administered to a subject about 30 days, 20 days, 15 days, 12 days, 10 days, 7 days, 5 days, 3 days, 1 day, 12 hours, 5 hours, 2 hours, or 30 minutes prior to the subject's exposure to an allergen. In other embodiments, an immunomodulatory compound is administered from about 30 days to about 15 minutes, from about 20 days to about 1 hour, from about 15 days to about 1 hour, from about 12 days to about 30 minutes, from about 10 days to about 2 hours, from about 7 days to about 2 hours, from about 5 days to about 2 hours, from about 5 days to about 1 hour, from about 1 day to about 30 minutes, or from about 1 day to about 2 hours prior to the subject's exposure to an allergen.

In other embodiments, methods of the invention further comprises a second administration of an immunomodulatory compound of the invention after the subject's exposure to an allergen. Without being limited by a particular theory, it is believed that administering an immunomodulatory compound after the subject's exposure to an allergen can generate long term memory (e.g., Th1 type) immune response. In these embodiments, there are at least two administrations of an immunomodulatory compound of the invention -- one pre-allergen and one post-allergen.

In specific embodiments, an immunomodulatory compound of the invention is administered to a subject about 30 days, 20 days, 15 days, 12 days, 10 days, 7 days, 5 days, 3 days, 1 day, 12 hours, or 5 hours after the subject's exposure to an allergen. In other embodiments, an immunomodulatory compound of the invention is administered from about 5 hours to about 30 days, from about 5 hours to about 20 days, from about 12 hours to about 15 days, from about 5 hours to about 12 days, from about 12 hours to about 10 days, from about 12 hours to about 7 days, from about 12 hours to about 5 days, from about 1 day to

about 5 days, from about 12 hours to about 3 days, or from about 1 day to about 3 days after the subject's exposure to an allergen.

## 5.1 IMMUNOGENS AND VACCINES

5 Various immunogens may be used in connection with methods of this invention. The immunogens are usually administered to a subject in a form of an immunogenic composition (*e.g.*, a vaccine), but may be administered in any form that is acceptable for use in animals, in particular, humans.

### 10 5.1.1 Immunogens

15 Immunogens that may be used in the immunogenic compositions include antigens from an animal, a plant, a bacteria, a protozoan, a parasite, a virus or a combination thereof. Immunogens may be any substance that under appropriate conditions results in an immune response in a subject, including, but not limited to, polypeptides, peptides, proteins, glycoproteins, lipids, nucleic acids (*e.g.*, RNAs and DNAs) and polysaccharides.

An immunogenic composition may comprise one or more immunogens. The amount of the immunogen used in the compositions may vary depending on the chemical nature and the potency of the immunogen.

20 Immunogens may be any viral peptide, protein, polypeptide, or a fragment thereof, derived from a virus.

25 Immunogens used in methods of the invention may be an antigen of a pathogenic virus such as, but are not limited to: adenoviridae (*e.g.*, mastadenovirus and aviadenovirus), herpesviridae (*e.g.*, herpes simplex virus 1, herpes simplex virus 2, herpes simplex virus 5, and herpes simplex virus 6), leviviridae (*e.g.*, levivirus, enterobacteria phase MS2, allovirus), poxviridae (*e.g.*, chordopoxvirinae, parapoxvirus, avipoxvirus, capripoxvirus, leporipoxvirus, suipoxvirus, molluscipoxvirus, and entomopoxvirinae), papovaviridae (*e.g.*, polyomavirus and papillomavirus), paramyxoviridae (*e.g.*, paramyxovirus, parainfluenza virus 1, mobillivirus (*e.g.*, measles virus), rubulavirus (*e.g.*, mumps virus), pneumonovirinae (*e.g.*, pneumovirus, human respiratory syncytial virus), and 30 metapneumovirus (*e.g.*, avian pneumovirus and human metapneumovirus), picornaviridae (*e.g.*, enterovirus, rhinovirus, hepatovirus (*e.g.*, human hepatitis A virus), cardiovirus, and aphthovirus, reoviridae (*e.g.*, orthoreovirus, orbivirus, rotavirus, cypovirus, fijivirus, phytoreovirus, and oryzavirus), retroviridae (*e.g.*, mammalian type B retroviruses, mammalian type C retroviruses, avian type C retroviruses, type D retrovirus group, BLV-

HTLV retroviruses, lentivirus (e.g. human immunodeficiency virus 1 and human immunodeficiency virus 2), spumavirus, flaviviridae (e.g., hepatitis C virus), hepadnaviridae (e.g., hepatitis B virus), togaviridae (e.g., alphavirus, e.g., sindbis virus) and rubivirus (e.g., rubella virus), rhabdoviridae (e.g., vesiculovirus, lyssavirus, ephemeroavirus, cytorhabdovirus, and necleorhabdovirus), arenaviridae (e.g., arenavirus, lymphocytic choriomeningitis virus, Ippy virus, and lassa virus), and coronaviridae (e.g., coronavirus and torovirus).

Immunogens used in methods of this invention may be an infectious disease agent including, but not limited to, influenza virus hemagglutinin (Genbank Accession No. JO2132; Air, 1981, *Proc. Natl. Acad. Sci. USA* 78: 7639-7643; Newton *et al.*, 1983, *Virology* 128: 495-501), human respiratory syncytial virus G glycoprotein (Genbank Accession No. Z33429; Garcia *et al.*, 1994, *J. Virol.*; Collins *et al.*, 1984, *Proc. Natl. Acad. Sci. USA* 81: 7683), core protein, matrix protein or any other protein of Dengue virus (Genbank Accession No. M19197; Hahn *et al.*, 1988, *Virology* 162: 167-180), measles virus hemagglutinin (Genbank Accession No. M81899; Rota *et al.*, 1992, *Virology* 188: 135-142), herpes simplex virus type 2 glycoprotein gB (Genbank Accession No. M14923; Bzik *et al.*, 1986, *Virology* 155:322-333), poliovirus I VP1 (Emini *et al.*, 1983, *Nature* 304:699), envelope glycoproteins of HIV I (Putney *et al.*, 1986, *Science* 234: 1392-1395), hepatitis B surface antigen (Itoh *et al.*, 1986, *Nature* 308: 19; Neurath *et al.*, 1986, *Vaccine* 4: 34), diphtheria toxin (Audibert *et al.*, 1981, *Nature* 289: 543), streptococcus 24M epitope (Beachey, 1985, *Adv. Exp. Med. Biol.* 185:193), gonococcal pilin (Rothbard and Schoolnik, 1985, *Adv. Exp. Med. Biol.* 185:247), pseudorabies virus g50 (gpD), pseudorabies virus II (gpB), pseudorabies virus gIII (gpC), pseudorabies virus glycoprotein H, pseudorabies virus glycoprotein E, transmissible gastroenteritis glycoprotein 195, transmissible gastroenteritis matrix protein, swine rotavirus glycoprotein 38, swine parvovirus capsid protein, *Serpulina hydodysenteriae* protective antigen, bovine viral diarrhea glycoprotein 55, Newcastle disease virus hemagglutinin-neuraminidase, swine flu hemagglutinin, swine flu neuraminidase, foot and mouth disease virus, hog cholera virus, swine influenza virus, African swine fever virus, *Mycoplasma hyopneumoniae*, infectious bovine rhinotracheitis virus (e.g., infectious bovine rhinotracheitis virus glycoprotein E or glycoprotein G), or infectious laryngotracheitis virus (e.g., infectious laryngotracheitis virus glycoprotein G or glycoprotein I), a glycoprotein of La Crosse virus (Gonzales-Scarano *et al.*, 1982, *Virology* 120: 42), neonatal calf diarrhea virus (Matsuno and Inouye, 1983, *Infection and Immunity* 39: 155), Venezuelan equine encephalomyelitis virus (Mathews and Roehrig, 1982, *J. Immunol.* 129: 2763), punta toro virus (Dalrymple *et al.*, 1981, *in* Replication of Negative Strand Viruses, Bishop and Compans (eds.), Elsevier, NY,

p. 167), murine leukemia virus (Steeves *et al.*, 1974, *J. Virol.* 14:187), mouse mammary tumor virus (Massey and Schochetman, 1981, *Virology* 115: 20), hepatitis B virus core protein and/or hepatitis B virus surface antigen or a fragment or derivative thereof (see, e.g., U.K. Patent Publication No. GB 2034323A published June 4, 1980; Ganem and Varmus,

5 1987, *Ann. Rev. Biochem.* 56:651-693; Tiollais *et al.*, 1985, *Nature* 317:489-495), antigen of equine influenza virus or equine herpesvirus (e.g., equine influenza virus type A/Alaska 91 neuraminidase, equine influenza virus type A/Miami 63 neuraminidase, equine influenza virus type A/Kentucky 81 neuraminidase equine herpesvirus type 1 glycoprotein B, and equine herpesvirus type 1 glycoprotein D, antigen of bovine respiratory syncytial virus or 10 bovine parainfluenza virus (e.g., bovine respiratory syncytial virus attachment protein (BRSV G), bovine respiratory syncytial virus fusion protein (BRSV F), bovine respiratory syncytial virus nucleocapsid protein (BRSV N), bovine parainfluenza virus type 3 fusion protein, and the bovine parainfluenza virus type 3 hemagglutinin neuraminidase), bovine viral diarrhea virus glycoprotein 48 or glycoprotein 53.

15 Immunogens used in methods of this invention may also be a cancer antigen or a tumor antigen. Any cancer or tumor antigen known to one skilled in the art may be used in accordance with the immunogenic compositions of the invention including, but not limited to, KS 1/4 pan-carcinoma antigen (Perez and Walker, 1990, *J. Immunol.* 142: 3662-3667; Bumal, 1988, *Hybridoma* 7(4): 407-415), ovarian carcinoma antigen (CA125) (Yu *et al.*,

20 1991, *Cancer Res.* 51(2): 468-475), prostatic acid phosphate (Tailor *et al.*, 1990, *Nucl. Acids Res.* 18(16): 4928), prostate specific antigen (Henttu and Vihko, 1989, *Biochem. Biophys. Res. Comm.* 160(2): 903-910; Israeli *et al.*, 1993, *Cancer Res.* 53: 227-230), melanoma-associated antigen p97 (Estin *et al.*, 1989, *J. Natl. Cancer Instit.* 81(6): 445-446), melanoma antigen gp75 (Vijayasaradahl *et al.*, 1990, *J. Exp. Med.* 171(4): 1375-1380), high molecular 25 weight melanoma antigen (HMW-MAA) (Natali *et al.*, 1987, *Cancer* 59: 55-63; Mittelman *et al.*, 1990, *J. Clin. Invest.* 86: 2136-2144), prostate specific membrane antigen, carcinoembryonic antigen (CEA) (Foon *et al.*, 1994, *Proc. Am. Soc. Clin. Oncol.* 13: 294), polymorphic epithelial mucin antigen, human milk fat globule antigen, colorectal tumor-associated antigens such as: CEA, TAG-72 (Yokata *et al.*, 1992, *Cancer Res.* 52: 3402-

30 CO17-1A (Ragnhammar *et al.*, 1993, *Int. J. Cancer* 53: 751-758); GICA 19-9 (Herlyn *et al.*, 1982, *J. Clin. Immunol.* 2: 135), CTA-1 and LEA, Burkitt's lymphoma antigen-38.13, CD19 (Ghetie *et al.*, 1994, *Blood* 83: 1329-1336), human B-lymphoma antigen-CD20 (Reff *et al.*, 1994, *Blood* 83: 435-445), CD33 (Sgouros *et al.*, 1993, *J. Nucl. Med.* 34: 422-430), melanoma specific antigens such as ganglioside GD2 (Saleh *et al.*, 1993, *J. Immunol.*, 151,

3390-3398), ganglioside GD3 (Shitara *et al.*, 1993, *Cancer Immunol. Immunother.* 36: 373-380), ganglioside GM2 (Livingston *et al.*, 1994, *J. Clin. Oncol.* 12: 1036-1044), ganglioside GM3 (Hoon *et al.*, 1993, *Cancer Res.* 53: 5244-5250), tumor-specific transplantation type of cell-surface antigen (TSTA) such as virally-induced tumor antigens including T-antigen DNA 5 tumor viruses and Envelope antigens of RNA tumor viruses, oncofetal antigen-alpha-fetoprotein such as CEA of colon, bladder tumor oncofetal antigen (Hellstrom *et al.*, 1985, *Cancer. Res.* 45: 2210-2188), differentiation antigen such as human lung carcinoma antigen L6, L20 (Hellstrom *et al.*, 1986, *Cancer Res.* 46: 3917-3923), antigens of fibrosarcoma, human leukemia T cell antigen-Gp37 (Bhattacharya-Chatterjee *et al.*, 1988, *J. of 10 Immunospecifically.* 141: 1398-1403), neoglycoprotein, sphingolipids, breast cancer antigen such as EGFR (Epidermal growth factor receptor), HER2 antigen (p185<sup>HER2</sup>), polymorphic epithelial mucin (PEM) (Hilkens *et al.*, 1992, *Trends in Bio. Chem. Sci.* 17: 359), malignant human lymphocyte antigen-APO-1 (Bernhard *et al.*, 1989, *Science* 245: 301-304), differentiation antigen (Feizi, 1985, *Nature* 314: 53-57) such as I antigen found in fetal 15 erythrocytes, primary endoderm, I antigen found in adult erythrocytes, preimplantation embryos, I (Ma) found in gastric adenocarcinomas, M18, M39 found in breast epithelium, SSEA-1 found in myeloid cells, VEP8, VEP9, Myl, VIM-D5, D<sub>1</sub>56-22 found in colorectal cancer, TRA-1-85 (blood group H), C14 found in colonic adenocarcinoma, F3 found in lung adenocarcinoma, AH6 found in gastric cancer, Y hapten, Le<sup>y</sup> found in embryonal carcinoma 20 cells, TL5 (blood group A), EGF receptor found in A431 cells, E<sub>1</sub> series (blood group B) found in pancreatic cancer, FC10.2 found in embryonal carcinoma cells, gastric adenocarcinoma antigen, CO-514 (blood group Le<sup>a</sup>) found in Adenocarcinoma, NS-10 found in adenocarcinomas, CO-43 (blood group Le<sup>b</sup>), G49 found in EGF receptor of A431 cells, MH2 (blood group ALe<sup>b</sup>/Le<sup>y</sup>) found in colonic adenocarcinoma, 19.9 found in colon cancer, 25 gastric cancer mucins, T<sub>5</sub>A<sub>7</sub> found in myeloid cells, R<sub>24</sub> found in melanoma, 4.2, G<sub>D3</sub>, D1.1, OFA-1, G<sub>M2</sub>, OFA-2, G<sub>D2</sub>, and M1:22:25:8 found in embryonal carcinoma cells, and SSEA-3 and SSEA-4 found in 4 to 8-cell stage embryos. In one embodiment, the antigen is a T cell receptor derived peptide from a Cutaneous T cell Lymphoma (see, Edelson, 1998, *The Cancer Journal* 4: 62).

30 In a preferred embodiment, the immunogenic composition used in methods of this invention is a cancer vaccine. Examples of cancer vaccines include, but are not limited to: antigen modified dendritic cell (DC) vaccines such as, but not limited to, Provenge, Neuvenge, Immunovex, Telomerase vaccine, Uvidem, Collidem, DCVax-prostate, and DCVax-brain; peptide vaccines such as, but not limited to, Theratope, L-BLP25, Oncophage

(HSPPC-96), GTOPO-99, IGN-101, FavId, Panvac-VF, Prostvac-VF, Avicine, EP-2101, MyVax, Biovaxid, Mitumomab (IMC-BEC2), IMG-GP75, HER-2 DNA/Protein AutoVac, Zyc 300, and HER-2 protein AutoVac; whole tumor cell vaccines such as, but not limited to, Canvaxin, Ony-P, Melaccine, GVAX, GVAX and MDX-010, and Oncovax; and viral vector vaccines such as, but not limited to, ALVAC-CEA/B&1, Allovectin-7, ALVAC, Lovaxin C, AdhTAP(OS-1), TroVax, and MVA-MUC1-IL2 (TG4010). Characteristics of these vaccines are summarized in **Tables 1-4**.

10 Immunogens may comprise a virus, against which an immune response is desired. In certain cases, the immunogenic composition used in methods of this invention comprise recombinant or chimeric viruses. In other cases, the immunogenic composition comprises a virus which is attenuated. Production of recombinant, chimeric and attenuated viruses may be performed using standard methods known to one skilled in the art. This invention also encompasses a live recombinant viral vaccine or an inactivated recombinant viral vaccine to be formulated in accordance with the invention. A live vaccine may be  
15 preferred because multiplication in the host leads to a prolonged stimulus of similar kind and magnitude to that occurring in natural infections, and therefore, confers substantial, long-lasting immunity. Production of such live recombinant virus vaccine formulations may be accomplished using conventional methods involving propagation of the virus in cell culture or in the allantois of the chick embryo followed by purification.

20 Recombinant virus may be non-pathogenic to the subject to which it is administered. In this regard, the use of genetically engineered viruses for vaccine purposes may require the presence of attenuation characteristics in these strains. The introduction of appropriate mutations (*e.g.*, deletions) into the templates used for transfection may provide the novel viruses with attenuation characteristics. For example, specific missense mutations  
25 which are associated with temperature sensitivity or cold adaptation can be made into deletion mutations. These mutations should be more stable than the point mutations associated with cold or temperature sensitive mutants and reversion frequencies should be extremely low.

30 Alternatively, chimeric viruses with “suicide” characteristics may be constructed for use in the immunogenic compositions. Such viruses would go through only one or a few rounds of replication within the host. When used as a vaccine, the recombinant virus would go through limited replication cycle(s) and induce a sufficient level of immune response but it would not go further in the human host and cause disease.

Alternatively, inactivated (killed) virus may be formulated in accordance with the invention. Inactivated vaccine formulations may be prepared using conventional techniques to "kill" the chimeric viruses. Inactivated vaccines are "dead" in the sense that their infectivity has been destroyed. Ideally, the infectivity of the virus is destroyed without 5 affecting its immunogenicity. In order to prepare inactivated vaccines, the chimeric virus may be grown in cell culture or in the allantois of the chick embryo, purified by zonal ultracentrifugation, inactivated by formaldehyde or  $\beta$ -propiolactone, and pooled.

Completely foreign epitopes, including antigens derived from other viral or non-viral pathogens can also be engineered into the virus for use in immunogenic 10 compositions. For example, antigens of non-related viruses such as HIV (gp160, gp120, gp41) parasite antigens (e.g., malaria), bacterial or fungal antigens or tumor antigens can be engineered into the attenuated strain. Typically such methods include inoculating embryonated eggs, harvesting the allantoic fluid, concentrating, purifying and separating the whole virus, using for example zonal centrifugation, ultracentrifugation, ultrafiltration, and 15 chromatography in a variety of combinations.

Virtually any heterologous gene sequence may be constructed into the chimeric viruses for use in immunogenic compositions. Preferably, heterologous gene sequences are moieties and peptides that act as biological response modifiers. Preferably, epitopes that induce a protective immune response to any of a variety of pathogens, or 20 antigens that bind neutralizing antibodies may be expressed by or as part of the chimeric viruses. For example, heterologous gene sequences that can be constructed into the chimeric viruses include, but are not limited to, influenza and parainfluenza hemagglutinin neuraminidase and fusion glycoproteins such as the HN and F genes of human PIV3. In addition, heterologous gene sequences that can be engineered into the chimeric viruses 25 include those that encode proteins with immuno-modulating activities. Examples of immuno-modulating proteins include, but are not limited to, cytokines, interferon type 1, gamma interferon, colony stimulating factors, interleukin -1, -2, -4, -5, -6, -12, and antagonists of these agents.

Other heterologous sequences may be derived from tumor antigens, and the 30 resulting chimeric viruses be used to generate an immune response against the tumor cells leading to tumor regression *in vivo*. In accordance with the present invention, recombinant viruses may be engineered to express tumor-associated antigens (TAAs), including but not limited to, human tumor antigens recognized by T cells (Robbins and Kawakami, 1996, *Curr. Opin. Immunol.* 8:628-636, incorporated herein by reference in its entirety); melanocyte

lineage proteins, including gp100, MART-1/MelanA, TRP-1 (gp75) and tyrosinase; tumor-specific widely shared antigens, such as MAGE-1, MAGE-3, BAGE, GAGE-1, GAGE-1, N-acetylglucosaminyltransferase-V and p15; tumor-specific mutated antigens, such as  $\beta$ -catenin, MUM-1 and CDK4; non-melanoma antigens for breast, ovarian, cervical and 5 pancreatic carcinoma, HER-2/neu, human papillomavirus -E6, -E7, MUC-1.

### **5.1.2 Vaccines and Target Diseases**

A wide variety of vaccines may be used in connection with methods of this 10 invention. A non-limiting list of vaccines that can be used in connection with this invention is provided in **FIG. 1**. Target diseases for methods of the invention includes cancer, other infectious or inflammatory diseases.

Methods of the invention can be used in the treatment of cancers, including, but not limited to, neoplasms, tumors, metastases, or any disease or disorder characterized by uncontrolled cell growth. Specific examples of cancer include, but are not limited to: 15 cancers of the skin, such as melanoma; lymph node; breast; cervix; uterus; gastrointestinal tract; lung; ovary; prostate; colon; rectum; mouth; brain; head and neck; throat; testes; kidney; pancreas; bone; spleen; liver; bladder; larynx; nasal passages; and AIDS-related cancers. Methods of the invention are particularly useful for treating cancers of the blood and bone marrow, such as multiple myeloma and acute and chronic leukemias, for example, 20 lymphoblastic, myelogenous, lymphocytic, myelocytic leukemias, and myelodysplastic syndromes including but not limited to 5q minus syndrome, or myelodysplastic syndromes associated with other cytogenic abnormalities. The methods of the invention can be used for treating, preventing or managing either primary or metastatic tumors.

Other specific cancers include, but are not limited to, advanced malignancy, 25 amyloidosis, neuroblastoma, meningioma, hemangiopericytoma, multiple brain metastase, glioblastoma multiforms, glioblastoma, brain stem glioma, poor prognosis malignant brain tumor, malignant glioma, recurrent malignant glioma, anaplastic astrocytoma, anaplastic oligodendrolioma, neuroendocrine tumor, rectal adenocarcinoma, Dukes C & D colorectal cancer, unresectable colorectal carcinoma, metastatic hepatocellular carcinoma, Kaposi's 30 sarcoma, karotype acute myeloblastic leukemia, Hodgkin's lymphoma, non-Hodgkin's lymphoma, cutaneous T-Cell lymphoma, cutaneous B-Cell lymphoma, diffuse large B-Cell lymphoma, low grade follicular lymphoma, metastatic melanoma (localized melanoma, including, but not limited to, ocular melanoma), malignant mesothelioma, malignant pleural effusion mesothelioma syndrome, peritoneal carcinoma, papillary serous carcinoma,

gynecologic sarcoma, soft tissue sarcoma, scleroderma, cutaneous vasculitis, Langerhans cell histiocytosis, leiomyosarcoma, fibrodysplasia ossificans progressive, hormone refractory prostate cancer, resected high-risk soft tissue sarcoma, unresectable hepatocellular carcinoma, Waldenstrom's macroglobulinemia, smoldering myeloma, indolent myeloma, 5 fallopian tube cancer, androgen independent prostate cancer, androgen dependent stage IV non-metastatic prostate cancer, hormone-insensitive prostate cancer, chemotherapy-insensitive prostate cancer, papillary thyroid carcinoma, follicular thyroid carcinoma, medullary thyroid carcinoma, and leiomyoma. In a specific embodiment, the cancer is metastatic. In another embodiment, the cancer is refractory or resistance to chemotherapy or 10 radiation.

Infectious diseases are caused by infectious agents such as, but not limited to, viruses, bacteria, fungi, protozoa, helminths, and parasites.

Examples of viruses that have been found in humans include, but are not limited to, Retroviridae (e.g., human immunodeficiency viruses, such as HIV-1 (also referred to as HTLV-III, LAV or HTLV-III/LAV, or HTV-III; and other isolates, such as HIV-LP); Picornaviridae (e.g., polio viruses, hepatitis A virus; enteroviruses, human Coxsackie viruses, rhinoviruses, echoviruses); Calciviridae (e.g., strains that cause gastroenteritis); Togaviridae (e.g., equine encephalitis viruses, rubella viruses); Flaviridae (e.g., dengue viruses, encephalitis viruses, yellow fever viruses); Coronaviridae (e.g., coronaviruses); 20 Rhabdoviridae (e.g., vesicular stomatitis viruses, rabies viruses); Filoviridae (e.g., ebola viruses); Paramyxoviridae (e.g., parainfluenza viruses, mumps virus, measles virus, respiratory syncytial virus); Orthomyxoviridae (e.g., influenza viruses); Bungaviridae (e.g., Hantaan viruses, bunga viruses, phleboviruses and Nairo viruses); Arena viridae (e.g., hemorrhagic fever viruses); Reoviridae (e.g., reoviruses, orbiviruses and rotaviruses); 25 Birnaviridae; Hepadnaviridae (Hepatitis B virus); Parvoviridae (parvoviruses); Papovaviridae (papilloma viruses, polyoma viruses); Adenoviridae (most adenoviruses); Herpesviridae (herpes simplex virus (HSV) 1 and 2, varicella zoster virus, cytomegalovirus (CMV), herpes virus); Poxviridae (variola viruses, vaccinia viruses, pox viruses); and Iridoviridae (e.g., African swine fever virus); and unclassified viruses (e.g., the etiological agents of 30 Spongiform encephalopathies, the agent of delta hepatitis (thought to be a defective satellite of hepatitis B virus), the agents of non-A, non-B hepatitis (class 1=internally transmitted; class 2=parenterally transmitted, e.g., Hepatitis C); Norwalk and related viruses, and astroviruses.

Retroviruses that results in infectious diseases in animals and humans include both simple retroviruses and complex retroviruses. The simple retroviruses include the subgroups of B-type retroviruses, C-type retroviruses and D-type retroviruses. An example of a B-type retrovirus is mouse mammary tumor virus (MMTV). The C-type retroviruses 5 include subgroups C-type group A (including Rous sarcoma virus (RSV), avian leukemia virus (ALV), and avian myeloblastosis virus (AMV)) and C-type group B (including murine leukemia virus (MLV), feline leukemia virus (FeLV), murine sarcoma virus (MSV), gibbon ape leukemia virus (GALV), spleen necrosis virus (SNV), reticuloendotheliosis virus (RV) and simian sarcoma virus (SSV)). The D-type retroviruses include Mason-Pfizer monkey 10 virus (MPMV) and simian retrovirus type 1 (SRV-1). The complex retroviruses include the subgroups of lentiviruses, T-cell leukemia viruses and the foamy viruses. Lentiviruses include HIV-1, but also include HIV-2, SIV, Visna virus, feline immunodeficiency virus (FIV), and equine infectious anemia virus (EIAV). The T-cell leukemia viruses include HTLV-1, HTLV-II, simian T-cell leukemia virus (STLV), and bovine leukemia virus (BLV). 15 The foamy viruses include human foamy virus (HFV), simian foamy virus (SFV) and bovine foamy virus (BFV).

Examples of RNA viruses that are antigenic or immunogenic in vertebrate animals include, but are not limited to, the following: members of the family Reoviridae, including the genus Orthoreovirus (multiple serotypes of both mammalian and avian 20 retroviruses), the genus Orbivirus (Bluetongue virus, Eugenangee virus, Kemerovo virus, African horse sickness virus, and Colorado Tick Fever virus), the genus Rotavirus (human rotavirus, Nebraska calf diarrhea virus, murine rotavirus, simian rotavirus, bovine or ovine rotavirus, avian rotavirus); the family Picornaviridae, including the genus Enterovirus (poliovirus, Coxsackie virus A and B, enteric cytopathic human orphan (ECHO) viruses, 25 hepatitis A virus, Simian enteroviruses, Murine encephalomyelitis (ME) viruses, Poliovirus muris, Bovine enteroviruses, Porcine enteroviruses), the genus Cardiovirus (Encephalomyocarditis virus (EMC), Mengovirus), the genus Rhinovirus (Human rhinoviruses including at least 113 subtypes; other rhinoviruses), the genus Apthovirus (Foot and Mouth disease (FMDV); the family Calciviridae, including Vesicular exanthema of 30 swine virus, San Miguel sea lion virus, Feline picornavirus and Norwalk virus; the family Togaviridae, including the genus Alphavirus (Eastern equine encephalitis virus, Semliki forest virus, Sindbis virus, Chikungunya virus, O'Nyong-Nyong virus, Ross river virus, Venezuelan equine encephalitis virus, Western equine encephalitis virus), the genus Flavivirus (Mosquito borne yellow fever virus, Dengue virus, Japanese encephalitis virus, St. Louis

encephalitis virus, Murray Valley encephalitis virus, West Nile virus, Kunjin virus, Central European tick borne virus, Far Eastern tick borne virus, Kyasanur forest virus, Louping III virus, Powassan virus, Omsk hemorrhagic fever virus), the genus Rubivirus (Rubella virus), the genus Pestivirus (Mucosal disease virus, Hog cholera virus, Border disease virus); the 5 family Bunyaviridae, including the genus Bunyavirus (Bunyamwera and related viruses, California encephalitis group viruses), the genus Phlebovirus (Sandfly fever Sicilian virus, Rift Valley fever virus), the genus Nairovirus (Crimean-Congo hemorrhagic fever virus, Nairobi sheep disease virus), and the genus Uukuvirus (Uukuniemi and related viruses); the 10 family Orthomyxoviridae, including the genus Influenza virus (Influenza virus type A (many human subtypes), Swine influenza virus, and Avian and Equine Influenza viruses, influenza type B (many human subtypes), and influenza type C (possible separate genus)); the family paramyxoviridae, including the genus Paramyxovirus (Parainfluenza virus type 1, Sendai virus, Hemadsorption virus, Parainfluenza viruses types 2 to 5, Newcastle Disease Virus, Mumps virus), the genus Morbillivirus (Measles virus, subacute sclerosing panencephalitis 15 virus, distemper virus, Rinderpest virus), the genus Pneumovirus (respiratory syncytial virus (RSV), Bovine respiratory syncytial virus and Pneumonia virus of mice); forest virus, Sindbis virus, Chikungunya virus, O'Nyong-Nyong virus, Ross river virus, Venezuelan equine encephalitis virus, Western equine encephalitis virus), the genus Flavivirus (Mosquito borne yellow fever virus, Dengue virus, Japanese encephalitis virus, St. Louis encephalitis virus, 20 Murray Valley encephalitis virus, West Nile virus, Kunjin virus, Central European tick borne virus, Far Eastern tick borne virus, Kyasanur forest virus, Louping III virus, Powassan virus, Omsk hemorrhagic fever virus), the genus Rubivirus (Rubella virus), the genus Pestivirus (Mucosal disease virus, Hog cholera virus, Border disease virus); the family Bunyaviridae, including the genus Bunyavirus (Bunyamwera and related viruses, California encephalitis 25 group viruses), the genus Phlebovirus (Sandfly fever Sicilian virus, Rift Valley fever virus), the genus Nairovirus (Crimean-Congo hemorrhagic fever virus, Nairobi sheep disease virus), and the genus Uukuvirus (Uukuniemi and related viruses); the family Orthomyxoviridae, including the genus Influenza virus (Influenza virus type A, many human subtypes); Swine influenza virus, and Avian and Equine Influenza viruses; influenza type B (many human 30 subtypes), and influenza type C (possible separate genus); the family paramyxoviridae, including the genus Paramyxovirus (Parainfluenza virus type 1, Sendai virus, Hemadsorption virus, Parainfluenza viruses types 2 to 5, Newcastle Disease Virus, Mumps virus), the genus Morbillivirus (Measles virus, subacute sclerosing panencephalitis virus, distemper virus, Rinderpest virus), the genus Pneumovirus (respiratory syncytial virus (RSV), Bovine

respiratory syncytial virus and Pneumonia virus of mice); the family Rhabdoviridae, including the genus Vesiculovirus (VSV), Chandipura virus, Flanders-Hart Park virus), the genus Lyssavirus (Rabies virus), fish Rhabdoviruses, and two probable Rhabdoviruses (Marburg virus and Ebola virus); the family Arenaviridae, including Lymphocytic choriomeningitis virus (LCM), Tacaribe virus complex, and Lassa virus; the family 5 Coronoaviridae, including Infectious Bronchitis Virus (IBV), Mouse Hepatitis virus, Human enteric corona virus, and Feline infectious peritonitis (Feline coronavirus).

Illustrative DNA viruses that are antigenic or immunogenic in vertebrate animals include, but are not limited to: the family Poxviridae, including the genus 10 Orthopoxvirus (Variola major, Variola minor, Monkey pox Vaccinia, Cowpox, Buffalopox, Rabbitpox, Ectromelia), the genus Leporipoxvirus (Myxoma, Fibroma), the genus Avipoxvirus (Fowlpox, other avian poxvirus), the genus Capripoxvirus (sheeppox, goatpox), the genus Suipoxvirus (Swinepox), the genus Parapoxvirus (contagious postular dermatitis virus, pseudocowpox, bovine papular stomatitis virus); the family Iridoviridae (African swine 15 fever virus, Frog viruses 2 and 3, Lymphocystis virus of fish); the family Herpesviridae, including the alpha-Herpesviruses (Herpes Simplex Types 1 and 2, Varicella-Zoster, Equine abortion virus, Equine herpes virus 2 and 3, pseudorabies virus, infectious bovine keratoconjunctivitis virus, infectious bovine rhinotracheitis virus, feline rhinotracheitis virus, infectious laryngotracheitis virus), the Beta-herpesviruses (Human cytomegalovirus and 20 cytomegaloviruses of swine, monkeys and rodents), the gamma-herpesviruses (Epstein-Barr virus (EBV), Marek's disease virus, Herpes saimiri, Herpesvirus atelis, Herpesvirus sylvilagus, guinea pig herpes virus, Lucke tumor virus); the family Adenoviridae, including the genus Mastadenovirus (Human subgroups A, B, C, D, E and ungrouped; simian adenoviruses (at least 23 serotypes), infectious canine hepatitis, and adenoviruses of cattle, 25 pigs, sheep, frogs and many other species), the genus Aviadenovirus (Avian adenoviruses), and non-cultivable adenoviruses; the family Papoviridae, including the genus Papillomavirus (Human papilloma viruses, bovine papilloma viruses, Shope rabbit papilloma virus, and various pathogenic papilloma viruses of other species), the genus Polyomavirus (polyomavirus, Simian vacuolating agent (SV-40), Rabbit vacuolating agent (RKV), K virus, 30 BK virus, JC virus, and other primate polyoma viruses such as Lymphotrophic papilloma virus); the family Parvoviridae including the genus Adeno-associated viruses, the genus Parvovirus (Feline panleukopenia virus, bovine parvovirus, canine parvovirus, Aleutian mink disease virus, etc). Finally, DNA viruses may include viruses which do not fit into the above

families such as Kuru and Creutzfeldt-Jacob disease viruses and chronic infectious neuropathic agents.

Bacterial infections or diseases that can be treated by methods of the present invention are caused by bacteria including, but not limited to, bacteria that have an intracellular stage in its life cycle, such as mycobacteria (e.g., *Mycobacterium tuberculosis*, *M. bovis*, *M. avium*, *M. leprae*, or *M. africanum*), rickettsia, mycoplasma, chlamydia, and legionella. Other examples of bacterial infections contemplated include, but are not limited to, infections caused by Gram positive bacillus (e.g., *Listeria*, *Bacillus* such as *Bacillus anthracis*, *Erysipelothrix* species), Gram negative bacillus (e.g., *Bartonella*, *Brucella*, *Campylobacter*, *Enterobacter*, *Escherichia*, *Francisella*, *Hemophilus*, *Klebsiella*, *Morganella*, *Proteus*, *Providencia*, *Pseudomonas*, *Salmonella*, *Serratia*, *Shigella*, *Vibrio*, and *Yersinia* species), spirochete bacteria (e.g., *Borrelia* species including *Borrelia burgdorferi* that causes Lyme disease), anaerobic bacteria (e.g., *Actinomyces* and *Clostridium* species), Gram positive and negative coccal bacteria, *Enterococcus* species, *Streptococcus* species, *Pneumococcus* species, *Staphylococcus* species, *Neisseria* species. Specific examples of infectious bacteria include, but are not limited to: *Helicobacter pyloris*, *Borelia burgdorferi*, *Legionella pneumophila*, *Mycobacterium tuberculosis*, *M. avium*, *M. intracellulare*, *M. kansaii*, *M. gordonae*, *Staphylococcus aureus*, *Neisseria gonorrhoeae*, *Neisseria meningitidis*, *Listeria monocytogenes*, *Streptococcus pyogenes* (Group A Streptococcus), *Streptococcus agalactiae* (Group B Streptococcus), *Streptococcus viridans*, *Streptococcus faecalis*, *Streptococcus bovis*, *Streptococcus pneumoniae*, *Haemophilus influenzae*, *Bacillus anthracis*, *corynebacterium diphtheriae*, *Erysipelothrix rhusiopathiae*, *Clostridium perfringens*, *Clostridium tetani*, *Enterobacter aerogenes*, *Klebsiella pneumoniae*, *Pasturella multocida*, *Fusobacterium nucleatum*, *Streptobacillus moniliformis*, *Treponema pallidum*, *Treponema pertenue*, *Leptospira*, *Rickettsia*, and *Actinomyces israelli*.

Fungal diseases that can be treated by methods of the present invention include, but are not limited to, aspergillosis, cryptococcosis, sporotrichosis, coccidioidomycosis, paracoccidioidomycosis, histoplasmosis, blastomycosis, zygomycosis, and candidiasis.

Parasitic diseases that can be treated by methods of the present invention include, but are not limited to, amebiasis, malaria, leishmania, coccidia, giardiasis, cryptosporidiosis, toxoplasmosis, and trypanosomiasis. Also encompassed are infections by various worms such as, but not limited to, ascariasis, ancylostomiasis, trichuriasis, strongyloidiasis, toxocariasis, trichinosis, onchocerciasis, filaria, and dirofilariasis. Also

encompassed are infections by various flukes such as, but not limited to, schistosomiasis, paragonimiasis, and clonorchiasis. Parasites that cause these diseases can be classified based on whether they are intracellular or extracellular. An “intracellular parasite,” as used herein, is a parasite whose entire life cycle is intracellular. Examples of human intracellular parasites 5 include *Leishmania* spp., *Plasmodium* spp., *Trypanosoma cruzi*, *Toxoplasma gondii*, *Babesia* spp., and *Trichinella spiralis*. An “extracellular parasite,” as used herein, is a parasite whose entire life cycle is extracellular. Extracellular parasites capable of infecting humans include *Entamoeba histolytica*, *Giardia lamblia*, *Enterocytozoon bieneusi*, *Naegleria* and *Acanthamoeba* as well as most helminths. Yet another class of parasites is defined as being 10 mainly extracellular but with an obligate intracellular existence at a critical stage in their life cycles. Such parasites are referred to herein as “obligate intracellular parasites.” These parasites may exist most of their lives or only a small portion of their lives in an extracellular environment, but they all have at least one obligate intracellular stage in their life cycles. This latter category of parasites includes *Trypanosoma rhodesiense* and *Trypanosoma* 15 *gambiense*, *Isospora* spp., *Cryptosporidium* spp., *Eimeria* spp., *Neospora* spp., *Sarcocystis* spp., and *Schistosoma* spp.

## 5.2 ALLERGENS

This invention encompasses methods of reducing or inhibiting allergic 20 reaction to an allergen in a subject comprising administering to the subject an immunomodulatory compound of the invention prior to the subject's exposure to an allergen. Optionally, in addition to the administration before the exposure to an allegen, an immunomodulatory compound may be administered during and/or after the subject's 25 exposure to an allergen. It is contemplated that any types of exposure to allergens including, but not limited to, the subject's exposure to naturally occurring allergens, exposure by the intake of food, and exposure through allergy vaccine administration, are encompassed by methods of this invention.

Examples of allergens (e.g., naturally occurring or those contained in allergy vaccines) include, but are not limited to, allergens from:

30 mites such as, but not limited to, *Dermatophagoides farinae*, *Dermatophagoides pteronyssinus*, *Acarus siro*, *Blomia tropicalis*, *Chortoglyphus arcuatas*, *Euroglyphus maynei*, *Lepidoglyphus destructor*, *Tyrophagus putrescentiae*, and *Glyphagus domesticus*;

venoms such as, but not limited to, *Bombus spp.*, *Vespa crabro*, *Apis mellifera*, *Dolichovespula spp.*, *Polistes spp.*, *Vespula spp.*, *Dolichovespula maculata*, and *Dolichovespula arenaria*;

insects such as, but not limited to, *Camponotus pennsylvanicus*, *Solenopsis invicta*,

5 *Solenopsis richteri*, *Periplaneta americana*, *Blattella germanica*, *Blatta orientails*, *Tebanus spp.*, *Musca domestica*, *Ephemeroptera spp.*, *Culicidae sp.*, and *Heterocera spp.*;

epithelia, dander, hair and features such as, but not limited to, *Serinus canaria*, *Felis catus (domesticus)*, *Bos taurus*, *Gallus gallus (domesticus)*, *Canis familiaris*, *Anas platyrhynchos*, *Meriones unguiculatus*, *Capra hircus*, *Anser domesticus*, *Cavia porcellus*

10 *(cobaya)*, *Mesocrietus auratus*, *Sus scrofa*, *Equus caballus*, *Mus musculus*, *Psittacidae*, *Columba fasciata*, *Oryctolagus cuniculus*, *Rattus norvegicus*, and *Ovis aries*;

fungi such as, but not limited to, *Cephalosporium acremonium*, *Alternaria tenuis*, *Aspergillus glaucus*, *Aspergillus flavus*, *Aspergillus fumigatus*, *Aspergillus nidulans*, *Aspergillus niger*, *Aspergillus terreus*, *Aspergillus versicolor*, *Aureobasidium pullulans*

15 *(Pullularia pullulans)*, *Drechslera sorokiniana*, *Helminthosporium sativum*, *Botrytis cinerea*, *Candida albicans*, *Chaetomium globosum*, *Cladosporium herbarum*, *Cladosporium sphaerospermum (Homodendrum hordei)*, *Drechslera spicifera (Curvularia spicifera)*, *Epicoccum nigrum (Epicoccum purpurascens)*, *Epidermophyton floccosum*, *Fusarium moniliforme*, *Fusarium solani*, *Geotrichum candidum*, *Gliocladium viride*, *Helminthosporium*

20 *solani*, *Microsporum canis*, *Mucor circinelloides f. circinelloides*, *Mucor circinelloides f. lusitanicus*, *Mucor plumbeus*, *Mycogone perniciosa*, *Neurospora intermedia*, *Nigrospora oryzae*, *Paecilomyces variotii*, *Penicillium brevi-compactum*, *Penicillium camembertii*, *Penicillium chrysogenum*, *Penicillium digitatum*, *Penicillium expansum*, *Penicillium notatum*, *Penicillium roquefortii*, *Phoma beta*, *Phoma herbarum*, *Rhizopus oryzae*, *Rhizopus*

25 *stolonifer*, *Rhodotorula mucilaginosa*, *Saccharomyces cerevisiae*, *Scopulariopsis brevicaulis*, *Serpula lacrymans*, *Setosphaeria rostrata*, *Stemphylium botryosum*, *Stemphylium solani*, *Trichoderma harzianum*, *Trichophyton mentagrophytes*, *Trichophyton rubrum*, and *Trichothecium roseum*;

smuts such as, but not limited to, *Ustilago nuda*, *Ustilago cynodontis*, *Ustilago maydis*, *Sporisorium cruentum*, *Ustilago avenae*, and *Ustilago tritici*;

grasses such as, but not limited to, *Paspalum notatum*, *Cynodon dactylon*, *Poa compressa*, *Bromus inermis*, *Phalaris arundinacea*, *Zea mays*, *Elytrigia repens (Agropyron repens)*, *Sorghum haelpense*, *Poa pratensis*, *Festuca pratensis (elatior)*, *Avena sativa*, *Dactylis glomerata*, *Agrostis gigantea (alba)*, *Secale cereale*, *Leymus (Elymus) condensatus*,

*Lolium perenne* ssp. *multiflorum*, *Lolium perenne*, *Anthoxanthum odoratum*, *Phleum pratense*, *Holcus lanatus*, *Triticum aestivum*, and *Elymus (Agropyron) smithii*;  
weeds such as, but not limited to, *Atriplex polycarpa*, *Baccharis halimifolia*,  
*Baccharis sarothroides*, *Hymenoclea salsola*, *Amaranthus hybridus*, *Xanthium strumarium*  
5 (*commune*), *Rumex crispus*, *Eupathium capillifolium*, *Solidago* spp., *Amaranthus*  
*tuberculatus* (*Acnida tamariscina*), *Allenrolfea occidentalis*, *Chenopodium botrys*, *Kochia*  
*scoparia*, *Chenopodium album*, *Iva xanthifolia*, *Iva angustifolia*, *Chenopodium ambrosioides*,  
*Artemisia vulgaris*, *Artemisia ludoviciana*, *Urtica dioica*, *Amaranthus spinosus*, *Plantago*  
10 *lanceolata*, *Iva axillaris*, *Atriplex lentiformis*, *Ambrosia dumosa*, *Ambrosia acanthicarpa*,  
*Ambrosia trifida*, *Ambrosia artemisiifolia*, *Ambrosia confertiflora*, *Ambrosia bidentata*,  
*Ambrosia psilostachya*, *Salsola kali* (*pestifer*), *Artemisia californica*, *Artemisia frigida*,  
*Artemisia tridentata*, *Atriplex wrightii*, *Atriplex confertifolia*, and *Artemisia annua*;  
trees such as, but not limited to, *Acacia* spp., *Alnus glutinosa*, *Alnus rubra*, *Alnus*  
15 *incana* ssp. *rugosa*, *Alnus rhombifolia*, *Fraxinus velutina*, *Fraxinus pennsylvanica*, *Fraxinus*  
*latifolia*, *Fraxinus americana*, *Populus tremuloides*, *Myrica cerifera*, *Fagus grandifolia*  
(*americana*), *Casuarina equisetifolia*, *Betula lenta*, *Betula pendula*, *Betula nigra*, *Betula*  
*occidentalis* (*fontinalis*), *Betula populifolia*, *Acer negundo*, *Cryptomeria japonica*, *Juniperus*  
*ashei* (*sabinaoides*), *Juniperus virginiana*, *Tamarix gallica*, *Populus balsamifera* spp.  
20 *trichocarpa*, *Populus deltoides*, *Populus fremontii*, *Populus wislizeni*, *Populus monilifera*  
(*sargentii*), *Cupressus arizonica*, *Taxodium distichum*, *Cupressus sempervirens*, *Ulmus*  
*americana*, *Ulmus crassifolia*, *Ulmus pumila*, *Eucalyptus globulus*, *Celtis occidentalis*,  
*Corylus americana*, *Corylus avellana*, *Carya ovata*, *Carya laciniosa*, *Carya alba*, *Juniperus*  
*monosperma*, *Juniperus princhotii*, *Juniperus scopulorum*, *Juniperus occidentalis*, *Robinia*  
25 *pseudoacacia*, *Mangifera indica*, *Acer macrophyllum*, *Acer rubrum*, *Acer saccharum*,  
*Melaleuca quinquenervia* (*leucadendron*), *Prosopis glandulosa* (*juliflora*), *Broussonetia*  
*papyrifera*, *Morus rubra*, *Morus alba*, *Quercus gambelii*, *Quercus velutina*, *Quercus*  
*macrocarpa*, *Quercus kelloggii*, *Quercus agrifolia*, *Quercus lobata*, *Quercus ilex*, *Quercus*  
*stellata*, *Quercus rubra*, *Quercus dumosa*, *Quercus virginiana*, *Quercus nigra*, *Quercus*  
*garryana*, *Quercus alba*, *Olea europaea*, *Elaeagnus angustifolia*, *Citrus sinensis*, *Arecastrum*  
30 *romanoffianum* (*Cocos plumosa*), *Carya illinoensis*, *Schinus molle*, *Schinus terebinthifolius*,  
*Pinus taeda*, *Pinus strobus*, *Pinus palustris*, *Pinus ponderosa*, *Pinus elliottii*, *Pinus*  
*virginiana*, *Pinus monticola*, *Pinus echinata*, *Populus nigra*, *Populus alba*, *Ligustrum*  
*vulgare*, *Liquidambar styraciflua*, *Platanus occidentalis*, *Platanus orientalis*, *Platanus*

*racemosa, Platanus acerifolia, Juglans nigra, Juglans californica, Juglans regia, Salix lasiolepsis, Salix nigra, and Salix discolor;*

flowers such as, but not limited to, *Chrysanthemum leucanthemum, Taraxacum officinale, and Helianthus annuus*;

5 farm plants such as, but not limited to, *Medicago sativa, Ricinus communis, Trifolium pratense, Brassica spp., and Beta vulgaris*;

plant food such as, but not limited to, *Prunus dulcis, Malus pumila, Prunus armeniaca, Musa paradisiaca (sapientum), Hordeum vulgare, Phaseolus lunatus, Phaseolus vulgaris, Phaseolus sp., Phaseolus vulgaris, Rubus allegheniensis, Vaccinium sp., Brassica oleracea var. botrytis, Fagopyrum esculentum, Brassica oleracea var. capitata, Theobroma cacao, Cucumis melo, Daucus carota, Brassica oleracea var. botrytis, Apium graveolens var. dulce, Prunus sp., Cinnamomum verum, Coffea arabica, Zea mays, Vaccinium macrocarpon, Cucumis sativus, Allium sativum, Zingiber officinale, Vitis sp., Citrus paradisi, Humulus lupulus, Citrus limon, Lactuca sativa, Agaricus campestris, Brassica sp., Myristica fragrans, Avena sativa, Olea europaea, Allium cepa var. cepa, Citrus sinensis, Vigna unguiculata, Pisum sativum, Prunus persica, Pyrus communis, Piper nigrum, Capsicum annuum var. annuum, Ananas comosus, Ipomoea batatas, Solanum tuberosum, Rubus idaeus var. idaeus, Oryza sativa, Secale cereale, Sesamum orientale (indicum), Glycine max, Spinacia oleracea, Cucurbita pepo var. melopepo, Fragaria chiloensis, Lycopersicon esculentum (lycopersicum), Brassica rapa var. rapa, Vanilla planifolia, Citrullus lanatus var. lanatus, and Triticum aestivum*;

20 fish and shellfish such as, but not limited to, *Micropterus sp., Ictalurus punctatus, Mercenaria mercenaria, Gadus morhua, Callinectes sapidus, Platichthys sp., Hippoglossus sp., Homarus americanus, Scomber scombrus, Crassostrea virginica, Sebastes marinus, Salmo salar, Clupeiformes, Pecten magellanicus, Penaeus sp., Salvelinus sp., and Thunnus sp.*

25 animal foods such as, but not limited to, *Bos taurus, Ovis aries, and Sus scrofa*;

poultry products such as, but not limited to, chicken (*Gallus gallus*) products and turkey (*Meleagris gallopavo*) products;

30 dairy products such as, but not limited to, bovine casein and bovine milk;

nuts such as, but not limited to, *Bertholletia excelsa, Anacardium occidentale, Cocos nucifera, Corylus americana, Arachis hypogaea, Carya illinoensis, Juglans nigra, and Juglans regia*;

miscellaneous allergens such as, but not limited to, those from *Gossypium hirsutum*, *Linum usitatissimum*, *Acaia senegal*, *Sterculia urens*, *Astragalus gummifer*, *Ceiba pentandra*, *Iris germanica* var. *florentina*, *Chrysanthemum cinerariifolium*, *Bombyx mori*, and *Nicotiana tabacum*;

5 dust such as, but not limited to, barley grain dust, corn grain dust, house dust, mattress dust, oat grain dust, wheat grain dust, and upholstery dust.

### 5.3 IMMUNOMODULATORY COMPOUNDS

As used herein and unless otherwise indicated, the terms “immunomodulatory compounds of the invention” and “IMiDs®” (Celgene Corporation) encompass certain small organic molecules that inhibit LPS induced monocyte TNF- $\alpha$ , IL-1 $\beta$ , IL-12, IL-6, MIP-1 $\alpha$ , MCP-1, GM-CSF, G-CSF, and COX-2 production. Specific immunomodulatory compounds are discussed below.

15 TNF- $\alpha$  is an inflammatory cytokine produced by macrophages and monocytes during acute inflammation. TNF- $\alpha$  is responsible for a diverse range of signaling events within cells. Without being limited by a particular theory, one of the biological effects exerted by the immunomodulatory compounds of the invention is the reduction of myeloid cell TNF- $\alpha$  production. Immunomodulatory compounds of the invention may enhance the degradation of TNF- $\alpha$  mRNA.

20 Further, without being limited by theory, immunomodulatory compounds used in the invention may also be potent co-stimulators of T cells and increase cell proliferation dramatically in a dose dependent manner. Immunomodulatory compounds of the invention may also have a greater co-stimulatory effect on the CD8+ T cell subset than on the CD4+ T cell subset. In addition, the compounds preferably have anti-inflammatory properties against 25 myeloid cell responses, yet efficiently co-stimulate T cells to produce greater amounts of IL-2, IFN- $\gamma$ , and to enhance T cell proliferation and CD8+ T cell cytotoxic activity. Further, without being limited by a particular theory, immunomodulatory compounds used in the invention may be capable of acting both indirectly through cytokine activation and directly on Natural Killer (“NK”) cells and Natural Killer T (“NKT”) cells, and increase the NK cells’ 30 ability to produce beneficial cytokines such as, but not limited to, IFN- $\gamma$ , and to enhance NK and NKT cell cytotoxic activity.

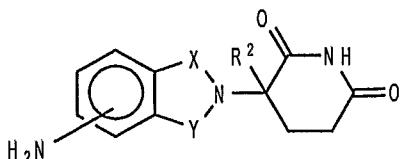
Specific examples of immunomodulatory compounds include cyano and carboxy derivatives of substituted styrenes such as those disclosed in U.S. patent no.

5,929,117; 1-oxo-2-(2,6-dioxo-3-fluoropiperidin-3-yl) isoindolines and 1,3-dioxo-2-(2,6-dioxo-3-fluoropiperidine-3-yl) isoindolines such as those described in U.S. patent nos. 5,874,448 and 5,955,476; the tetra substituted 2-(2,6-dioxopiperidin-3-yl)-1-oxoisooindolines described in U.S. patent no. 5,798,368; 1-oxo and 1,3-dioxo-2-(2,6-dioxopiperidin-3-yl) isoindolines (e.g., 4-methyl derivatives of thalidomide), substituted 2-(2,6-dioxopiperidin-3-yl) phthalimides and substituted 2-(2,6-dioxopiperidin-3-yl)-1-oxoisooindoles including, but not limited to, those disclosed in U.S. patent nos. 5,635,517, 6,281,230, 6,316,471, 6,403,613, 6,476,052 and 6,555,554; 1-oxo and 1,3-dioxoisooindolines substituted in the 4- or 5-position of the indoline ring (e.g., 4-(4-amino-1,3-dioxoisooindoline-2-yl)-4-carbamoylbutanoic acid) described in U.S. patent no. 6,380,239; isoindoline-1-one and isoindoline-1,3-dione substituted in the 2-position with 2,6-dioxo-3-hydroxypiperidin-5-yl (e.g., 2-(2,6-dioxo-3-hydroxy-5-fluoropiperidin-5-yl)-4-aminoisoindolin-1-one) described in U.S. patent no. 6,458,810; a class of non-polypeptide cyclic amides disclosed in U.S. patent nos. 5,698,579 and 5,877,200; and isoindole-imide compounds such as those described in U.S. patent publication no. 2003/0045552 published on March 6, 2003, U.S. patent publication no. 2003/0096841 published on May 22, 2003, and International Application No. PCT/US01/50401 (International Publication No. WO 02/059106). The entireties of each of the patents and patent applications identified herein are incorporated herein by reference. Immunomodulatory compounds do not include thalidomide.

20 Various immunomodulatory compounds of the invention contain one or more chiral centers, and can exist as racemic mixtures of enantiomers or mixtures of diastereomers. This invention encompasses the use of stereomerically pure forms of such compounds, as well as the use of mixtures of those forms. For example, mixtures comprising equal or unequal amounts of the enantiomers of a particular immunomodulatory compounds of the invention may be used in methods and compositions of the invention. These isomers may be asymmetrically synthesized or resolved using standard techniques such as chiral columns or chiral resolving agents. *See, e.g., Jacques, J., et al., Enantiomers, Racemates and Resolutions* (Wiley-Interscience, New York, 1981); Wilen, S. H., et al., *Tetrahedron* 33:2725 (1977); Eliel, E. L., *Stereochemistry of Carbon Compounds* (McGraw-Hill, NY, 1962); and Wilen, S. H., *Tables of Resolving Agents and Optical Resolutions* p. 268 (E.L. Eliel, Ed., Univ. of Notre Dame Press, Notre Dame, IN, 1972).

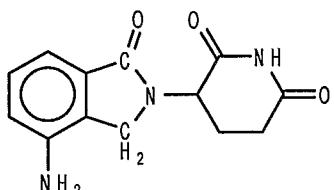
25 Preferred immunomodulatory compounds of the invention include, but are not limited to, 1-oxo-and 1,3 dioxo-2-(2,6-dioxopiperidin-3-yl) isoindolines substituted with

amino in the benzo ring as described in U.S. Patent no. 5,635,517 which is incorporated herein by reference. These compounds have the structure I:

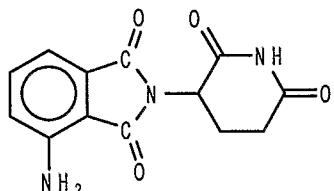


I

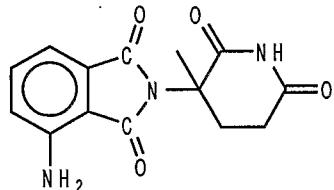
5 in which one of X and Y is C=O, the other of X and Y is C=O or CH<sub>2</sub>, and R<sup>2</sup> is hydrogen or lower alkyl, in particular methyl. Specific immunomodulatory compounds include, but are not limited to:



1-oxo-2-(2,6-dioxopiperidin-3-yl)-4-aminoisoindoline;



1,3-dioxo-2-(2,6-dioxopiperidin-3-yl)-4-aminoisoindoline;

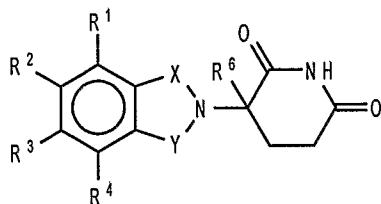


10 and 1,3-dioxo-2-(3-methyl-2,6-dioxopiperidin-3-yl)-4-aminoisoindole, and optically pure isomers thereof. The compounds can be obtained via standard, synthetic methods (see e.g., United States Patent No. 5,635,517, incorporated herein by reference). The compounds are also available from Celgene Corporation, Warren, NJ.

As used herein, and unless otherwise indicated, the term "optically pure" means a composition that comprises one optical isomer of a compound and is substantially free of other isomers of that compound. For example, an optically pure composition of a compound having one chiral center will be substantially free of the opposite enantiomer of the compound. An optically pure composition of a compound having two chiral centers will be substantially free of other diastereomers of the compound. A typical optically pure compound comprises greater than about 80% by weight of one enantiomer of the compound and less than about 20% by weight of other enantiomers of the compound, more preferably greater than about 90% by weight of one enantiomer of the compound and less than about

10% by weight of the other enantiomers of the compound, even more preferably greater than about 95% by weight of one enantiomer of the compound and less than about 5% by weight of the other enantiomers of the compound, more preferably greater than about 97% by weight of one enantiomer of the compound and less than about 3% by weight of the other enantiomers of the compound, and most preferably greater than about 99% by weight of one enantiomer of the compound and less than about 1% by weight of the other enantiomers of the compound.

5 Other specific immunomodulatory compounds of the invention belong to a class of substituted 2-(2,6-dioxopiperidin-3-yl) phthalimides and substituted 2-(2,6-dioxopiperidin-3-yl)-1-oxoisindoles, such as those described in U.S. patent nos. 6,281,230; 10 6,316,471; 6,335,349; and 6,476,052, and International Patent Application No. PCT/US97/13375 (International Publication No. WO 98/03502), each of which is incorporated herein by reference. Representative compounds are of formula:



15 in which:

one of X and Y is C=O and the other of X and Y is C=O or CH<sub>2</sub>;

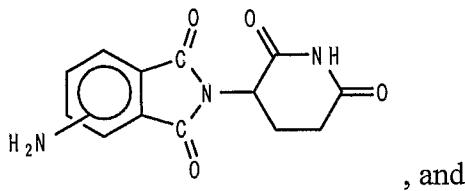
(i) each of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup>, independently of the others, is halo, alkyl of 1 to 4 carbon atoms, or alkoxy of 1 to 4 carbon atoms or (ii) one of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup> is -NHR<sup>5</sup> and the remaining of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup> are hydrogen;

20 R<sup>5</sup> is hydrogen or alkyl of 1 to 8 carbon atoms;

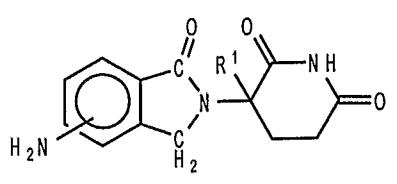
R<sup>6</sup> is hydrogen, alkyl of 1 to 8 carbon atoms, benzyl, or halo;

provided that R<sup>6</sup> is other than hydrogen if X and Y are C=O and (i) each of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup> is fluoro or (ii) one of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, or R<sup>4</sup> is amino.

Compounds representative of this class are of the formulas:

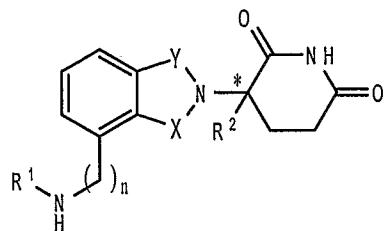


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wherein R<sup>1</sup> is hydrogen or methyl. In a separate embodiment, the invention encompasses the use of enantiomerically pure forms (e.g. optically pure (R) or (S) enantiomers) of these compounds.

Still other specific immunomodulatory compounds of the invention belong to 5 a class of isoindole-imides disclosed in U.S. Patent Application Publication Nos. US 2003/0096841 and US 2003/0045552, and International Application No. PCT/US01/50401 (International Publication No. WO 02/059106), each of which are incorporated herein by reference. Representative compounds are of formula II:



10

II

and pharmaceutically acceptable salts, hydrates, solvates, clathrates, enantiomers, diastereomers, racemates, and mixtures of stereoisomers thereof, wherein:

one of X and Y is C=O and the other is CH<sub>2</sub> or C=O;

R<sup>1</sup> is H, (C<sub>1</sub>-C<sub>8</sub>)alkyl, (C<sub>3</sub>-C<sub>7</sub>)cycloalkyl, (C<sub>2</sub>-C<sub>8</sub>)alkenyl, (C<sub>2</sub>-C<sub>8</sub>)alkynyl, 15 benzyl, aryl, (C<sub>0</sub>-C<sub>4</sub>)alkyl-(C<sub>1</sub>-C<sub>6</sub>)heterocycloalkyl, (C<sub>0</sub>-C<sub>4</sub>)alkyl-(C<sub>2</sub>-C<sub>5</sub>)heteroaryl, C(O)R<sup>3</sup>, C(S)R<sup>3</sup>, C(O)OR<sup>4</sup>, (C<sub>1</sub>-C<sub>8</sub>)alkyl-N(R<sup>6</sup>)<sub>2</sub>, (C<sub>1</sub>-C<sub>8</sub>)alkyl-OR<sup>5</sup>, (C<sub>1</sub>-C<sub>8</sub>)alkyl-C(O)OR<sup>5</sup>, C(O)NHR<sup>3</sup>, C(S)NHR<sup>3</sup>, C(O)NR<sup>3</sup>R<sup>3</sup>, C(S)NR<sup>3</sup>R<sup>3</sup> or (C<sub>1</sub>-C<sub>8</sub>)alkyl-O(CO)R<sup>5</sup>;

R<sup>2</sup> is H, F, benzyl, (C<sub>1</sub>-C<sub>8</sub>)alkyl, (C<sub>2</sub>-C<sub>8</sub>)alkenyl, or (C<sub>2</sub>-C<sub>8</sub>)alkynyl;

R<sup>3</sup> and R<sup>3</sup>' are independently (C<sub>1</sub>-C<sub>8</sub>)alkyl, (C<sub>3</sub>-C<sub>7</sub>)cycloalkyl, (C<sub>2</sub>-C<sub>8</sub>)alkenyl, 20 (C<sub>2</sub>-C<sub>8</sub>)alkynyl, benzyl, aryl, (C<sub>0</sub>-C<sub>4</sub>)alkyl-(C<sub>1</sub>-C<sub>6</sub>)heterocycloalkyl, (C<sub>0</sub>-C<sub>4</sub>)alkyl-(C<sub>2</sub>-C<sub>5</sub>)heteroaryl, (C<sub>0</sub>-C<sub>8</sub>)alkyl-N(R<sup>6</sup>)<sub>2</sub>, (C<sub>1</sub>-C<sub>8</sub>)alkyl-OR<sup>5</sup>, (C<sub>1</sub>-C<sub>8</sub>)alkyl-C(O)OR<sup>5</sup>, (C<sub>1</sub>-C<sub>8</sub>)alkyl-O(CO)R<sup>5</sup>, or C(O)OR<sup>5</sup>;

R<sup>4</sup> is (C<sub>1</sub>-C<sub>8</sub>)alkyl, (C<sub>2</sub>-C<sub>8</sub>)alkenyl, (C<sub>2</sub>-C<sub>8</sub>)alkynyl, (C<sub>1</sub>-C<sub>4</sub>)alkyl-OR<sup>5</sup>, benzyl, aryl, (C<sub>0</sub>-C<sub>4</sub>)alkyl-(C<sub>1</sub>-C<sub>6</sub>)heterocycloalkyl, or (C<sub>0</sub>-C<sub>4</sub>)alkyl-(C<sub>2</sub>-C<sub>5</sub>)heteroaryl;

R<sup>5</sup> is (C<sub>1</sub>-C<sub>8</sub>)alkyl, (C<sub>2</sub>-C<sub>8</sub>)alkenyl, (C<sub>2</sub>-C<sub>8</sub>)alkynyl, benzyl, aryl, or (C<sub>2</sub>-C<sub>5</sub>)heteroaryl;

each occurrence of  $R^6$  is independently H, (C<sub>1</sub>-C<sub>8</sub>)alkyl, (C<sub>2</sub>-C<sub>8</sub>)alkenyl, (C<sub>2</sub>-C<sub>8</sub>)alkynyl, benzyl, aryl, (C<sub>2</sub>-C<sub>5</sub>)heteroaryl, or (C<sub>0</sub>-C<sub>8</sub>)alkyl-C(O)O-R<sup>5</sup> or the R<sup>6</sup> groups can join to form a heterocycloalkyl group;

n is 0 or 1; and

\* represents a chiral-carbon center.

In specific compounds of formula II, when n is 0 then R<sup>1</sup> is (C<sub>3</sub>-C<sub>7</sub>)cycloalkyl, (C<sub>2</sub>-C<sub>8</sub>)alkenyl, (C<sub>2</sub>-C<sub>8</sub>)alkynyl, benzyl, aryl, (C<sub>0</sub>-C<sub>4</sub>)alkyl-(C<sub>1</sub>-C<sub>6</sub>)heterocycloalkyl, (C<sub>0</sub>-C<sub>4</sub>)alkyl-(C<sub>2</sub>-C<sub>5</sub>)heteroaryl, C(O)R<sup>3</sup>, C(O)OR<sup>4</sup>, (C<sub>1</sub>-C<sub>8</sub>)alkyl-N(R<sup>6</sup>)<sub>2</sub>, (C<sub>1</sub>-C<sub>8</sub>)alkyl-OR<sup>5</sup>, (C<sub>1</sub>-C<sub>8</sub>)alkyl-C(O)OR<sup>5</sup>, C(S)NHR<sup>3</sup>, or (C<sub>1</sub>-C<sub>8</sub>)alkyl-O(CO)R<sup>5</sup>;

R<sup>2</sup> is H or (C<sub>1</sub>-C<sub>8</sub>)alkyl; and

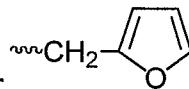
R<sup>3</sup> is (C<sub>1</sub>-C<sub>8</sub>)alkyl, (C<sub>3</sub>-C<sub>7</sub>)cycloalkyl, (C<sub>2</sub>-C<sub>8</sub>)alkenyl, (C<sub>2</sub>-C<sub>8</sub>)alkynyl, benzyl, aryl, (C<sub>0</sub>-C<sub>4</sub>)alkyl-(C<sub>1</sub>-C<sub>6</sub>)heterocycloalkyl, (C<sub>0</sub>-C<sub>4</sub>)alkyl-(C<sub>2</sub>-C<sub>5</sub>)heteroaryl, (C<sub>5</sub>-C<sub>8</sub>)alkyl-N(R<sup>6</sup>)<sub>2</sub>; (C<sub>0</sub>-C<sub>8</sub>)alkyl-NH-C(O)O-R<sup>5</sup>; (C<sub>1</sub>-C<sub>8</sub>)alkyl-OR<sup>5</sup>, (C<sub>1</sub>-C<sub>8</sub>)alkyl-C(O)OR<sup>5</sup>, (C<sub>1</sub>-C<sub>8</sub>)alkyl-O(CO)R<sup>5</sup>, or C(O)OR<sup>5</sup>; and the other variables have the same definitions.

In other specific compounds of formula II, R<sup>2</sup> is H or (C<sub>1</sub>-C<sub>4</sub>)alkyl.

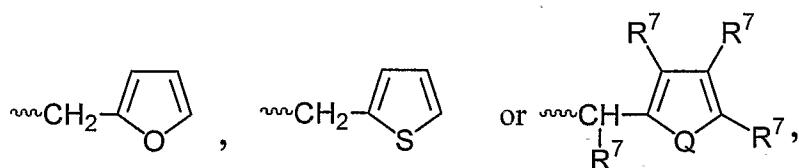
In other specific compounds of formula II, R<sup>1</sup> is (C<sub>1</sub>-C<sub>8</sub>)alkyl or benzyl.

In other specific compounds of formula II, R<sup>1</sup> is H, (C<sub>1</sub>-C<sub>8</sub>)alkyl, benzyl,

CH<sub>2</sub>OCH<sub>3</sub>, CH<sub>2</sub>CH<sub>2</sub>OCH<sub>3</sub>, or



In another embodiment of the compounds of formula II, R<sup>1</sup> is



wherein Q is O or S, and each occurrence of R<sup>7</sup> is independently H, (C<sub>1</sub>-C<sub>8</sub>)alkyl, (C<sub>3</sub>-C<sub>7</sub>)cycloalkyl, (C<sub>2</sub>-C<sub>8</sub>)alkenyl, (C<sub>2</sub>-C<sub>8</sub>)alkynyl, benzyl, aryl, halogen, (C<sub>0</sub>-C<sub>4</sub>)alkyl-(C<sub>1</sub>-C<sub>6</sub>)heterocycloalkyl, (C<sub>0</sub>-C<sub>4</sub>)alkyl-(C<sub>2</sub>-C<sub>5</sub>)heteroaryl, (C<sub>0</sub>-C<sub>8</sub>)alkyl-N(R<sup>6</sup>)<sub>2</sub>, (C<sub>1</sub>-C<sub>8</sub>)alkyl-OR<sup>5</sup>, (C<sub>1</sub>-C<sub>8</sub>)alkyl-C(O)OR<sup>5</sup>, (C<sub>1</sub>-C<sub>8</sub>)alkyl-O(CO)R<sup>5</sup>, or C(O)OR<sup>5</sup>, or adjacent occurrences of R<sup>7</sup> can be taken together to form a bicyclic alkyl or aryl ring.

In other specific compounds of formula II, R<sup>1</sup> is C(O)R<sup>3</sup>.

In other specific compounds of formula II, R<sup>3</sup> is (C<sub>0</sub>-C<sub>4</sub>)alkyl-(C<sub>2</sub>-C<sub>5</sub>)heteroaryl, (C<sub>1</sub>-C<sub>8</sub>)alkyl, aryl, or (C<sub>0</sub>-C<sub>4</sub>)alkyl-OR<sup>5</sup>.

In other specific compounds of formula II, heteroaryl is pyridyl, furyl, or

thienyl.

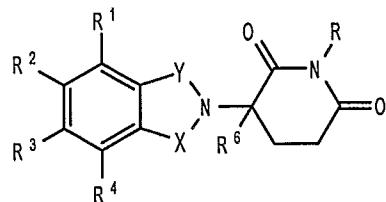
In other specific compounds of formula II, R<sup>1</sup> is C(O)OR<sup>4</sup>.

In other specific compounds of formula II, the H of C(O)NHC(O) can be replaced with (C<sub>1</sub>-C<sub>4</sub>)alkyl, aryl, or benzyl.

Further examples of the compounds in this class include, but are not limited

5 to: [2-(2,6-dioxo-piperidin-3-yl)-1,3-dioxo-2,3-dihydro-1H-isoindol-4-ylmethyl]-amide; (2-(2,6-dioxo-piperidin-3-yl)-1,3-dioxo-2,3-dihydro-1H-isoindol-4-ylmethyl)-carbamic acid  
*tert*-butyl ester; 4-(aminomethyl)-2-(2,6-dioxo(3-piperidyl))-isoindoline-1,3-dione; *N*-(2-(2,6-dioxo-piperidin-3-yl)-1,3-dioxo-2,3-dihydro-1H-isoindol-4-ylmethyl)-acetamide; *N*-{(2-(2,6-dioxo(3-piperidyl)-1,3-dioxoisoindolin-4-yl)methyl}cyclopropyl-carboxamide; 2-chloro-*N*-  
10 {(2-(2,6-dioxo(3-piperidyl))-1,3-dioxoisoindolin-4-yl)methyl}acetamide; *N*-(2-(2,6-dioxo(3-piperidyl))-1,3-dioxoisoindolin-4-yl)-3-pyridylcarboxamide; 3-{1-oxo-4-(benzylamino)isoindolin-2-yl}piperidine-2,6-dione; 2-(2,6-dioxo(3-piperidyl))-4-(benzylamino)isoindoline-1,3-dione; *N*-{(2-(2,6-dioxo(3-piperidyl))-1,3-dioxoisoindolin-4-yl)methyl}propanamide; *N*-{(2-(2,6-dioxo(3-piperidyl))-1,3-dioxoisoindolin-4-yl)methyl}-3-pyridylcarboxamide; *N*-{(2-(2,6-dioxo(3-piperidyl))-1,3-dioxoisoindolin-4-yl)methyl}heptanamide; *N*-{(2-(2,6-dioxo(3-piperidyl))-1,3-dioxoisoindolin-4-yl)methyl}-2-furylcarboxamide; {*N*-(2-(2,6-dioxo(3-piperidyl))-1,3-dioxoisoindolin-4-yl)carbamoyl}methyl acetate; *N*-(2-(2,6-dioxo(3-piperidyl))-1,3-dioxoisoindolin-4-yl)pentanamide; *N*-(2-(2,6-dioxo(3-piperidyl))-1,3-dioxoisoindolin-4-yl)-2-thienylcarboxamide; *N*-{[2-(2,6-dioxo(3-piperidyl))-1,3-dioxoisoindolin-4-yl]methyl}(butylamino)carboxamide; *N*-{[2-(2,6-dioxo(3-piperidyl))-1,3-dioxoisoindolin-4-yl]methyl}(octylamino)carboxamide; and *N*-{[2-(2,6-dioxo(3-piperidyl))-1,3-dioxoisoindolin-4-yl]methyl}(benzylamino)carboxamide.

Still other specific immunomodulatory compounds of the invention belong to  
25 a class of isoindole-imides disclosed in U.S. Patent Application Publication Nos. US  
2002/0045643, International Publication No. WO 98/54170, and United States Patent No.  
6,395,754, each of which is incorporated herein by reference. Representative compounds are  
of formula III:



and pharmaceutically acceptable salts, hydrates, solvates, clathrates, enantiomers, diastereomers, racemates, and mixtures of stereoisomers thereof, wherein:

one of X and Y is C=O and the other is CH<sub>2</sub> or C=O;

R is H or CH<sub>2</sub>OCOR';

5 (i) each of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, or R<sup>4</sup>, independently of the others, is halo, alkyl of 1 to 4 carbon atoms, or alkoxy of 1 to 4 carbon atoms or (ii) one of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, or R<sup>4</sup> is nitro or -NHR<sup>5</sup> and the remaining of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, or R<sup>4</sup> are hydrogen;

R<sup>5</sup> is hydrogen or alkyl of 1 to 8 carbons

R<sup>6</sup> hydrogen, alkyl of 1 to 8 carbon atoms, benzo, chloro, or fluoro;

10 R' is R<sup>7</sup>-CHR<sup>10</sup>-N(R<sup>8</sup>R<sup>9</sup>);

R<sup>7</sup> is m-phenylene or p-phenylene or -(C<sub>n</sub>H<sub>2n</sub>)- in which n has a value of 0 to 4;

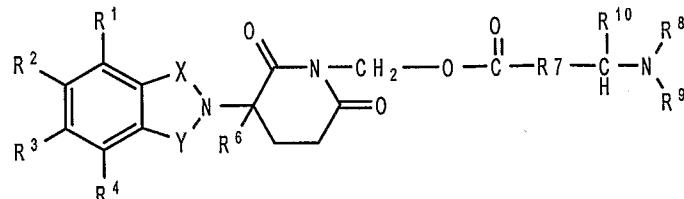
each of R<sup>8</sup> and R<sup>9</sup> taken independently of the other is hydrogen or alkyl of 1 to 8 carbon atoms, or R<sup>8</sup> and R<sup>9</sup> taken together are tetramethylene, pentamethylene,

15 hexamethylene, or -CH<sub>2</sub>CH<sub>2</sub>X<sub>1</sub>CH<sub>2</sub>CH<sub>2</sub>- in which X<sub>1</sub> is -O-, -S-, or -NH-;

R<sup>10</sup> is hydrogen, alkyl of to 8 carbon atoms, or phenyl; and

\* represents a chiral-carbon center.

Other representative compounds are of formula:



20 wherein:

one of X and Y is C=O and the other of X and Y is C=O or CH<sub>2</sub>;

(i) each of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, or R<sup>4</sup>, independently of the others, is halo, alkyl of 1 to 4 carbon atoms, or alkoxy of 1 to 4 carbon atoms or (ii) one of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup> is -NHR<sup>5</sup> and the remaining of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup> are hydrogen;

25 R<sup>5</sup> is hydrogen or alkyl of 1 to 8 carbon atoms;

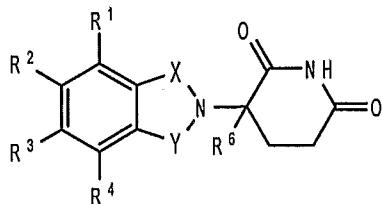
R<sup>6</sup> is hydrogen, alkyl of 1 to 8 carbon atoms, benzo, chloro, or fluoro;

R<sup>7</sup> is m-phenylene or p-phenylene or -(C<sub>n</sub>H<sub>2n</sub>)- in which n has a value of 0 to 4;

each of R<sup>8</sup> and R<sup>9</sup> taken independently of the other is hydrogen or alkyl of 1 to 8 carbon atoms, or R<sup>8</sup> and R<sup>9</sup> taken together are tetramethylene, pentamethylene,

hexamethylene, or  $-\text{CH}_2\text{CH}_2\text{X}^1\text{CH}_2\text{CH}_2-$  in which  $\text{X}^1$  is  $-\text{O}-$ ,  $-\text{S}-$ , or  $-\text{NH}-$ ; and  $\text{R}^{10}$  is hydrogen, alkyl of to 8 carbon atoms, or phenyl.

Other representative compounds are of formula:



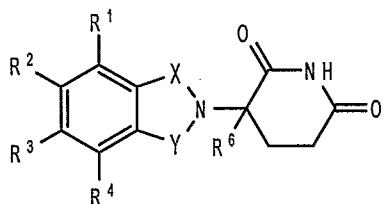
5 in which

one of X and Y is C=O and the other of X and Y is C=O or CH<sub>2</sub>;

each of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup>, independently of the others, is halo, alkyl of 1 to 4 carbon atoms, or alkoxy of 1 to 4 carbon atoms or (ii) one of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup> is nitro or protected amino and the remaining of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup> are hydrogen; and

10 R<sup>6</sup> is hydrogen, alkyl of 1 to 8 carbon atoms, benzo, chloro, or fluoro.

Other representative compounds are of formula:



in which:

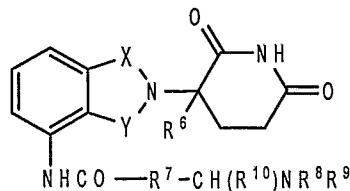
one of X and Y is C=O and the other of X and Y is C=O or CH<sub>2</sub>;

15 (i) each of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup>, independently of the others, is halo, alkyl of 1 to 4 carbon atoms, or alkoxy of 1 to 4 carbon atoms or (ii) one of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup> is  $-\text{NHR}^5$  and the remaining of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, and R<sup>4</sup> are hydrogen;

R<sup>5</sup> is hydrogen, alkyl of 1 to 8 carbon atoms, or CO-R<sup>7</sup>-CH(R<sup>10</sup>)NR<sup>8</sup>R<sup>9</sup> in which each of R<sup>7</sup>, R<sup>8</sup>, R<sup>9</sup>, and R<sup>10</sup> is as herein defined; and

20 R<sup>6</sup> is alkyl of 1 to 8 carbon atoms, benzo, chloro, or fluoro.

Specific examples of the compounds are of formula:



in which:

one of X and Y is C=O and the other of X and Y is C=O or CH<sub>2</sub>;

$R^6$  is hydrogen, alkyl of 1 to 8 carbon atoms, benzyl, chloro, or fluoro;

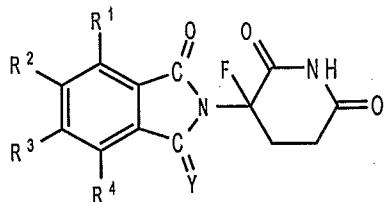
$R^7$  is m-phenylene, p-phenylene or  $-(C_nH_{2n})-$  in which n has a value of 0 to 4;

each of  $R^8$  and  $R^9$  taken independently of the other is hydrogen or alkyl of 1 to 8 carbon atoms, or  $R^8$  and  $R^9$  taken together are tetramethylene, pentamethylene, hexamethylene, or  $-$

5  $CH_2CH_2X^1CH_2CH_2-$  in which  $X^1$  is  $-O-$ ,  $-S-$  or  $-NH-$ ; and

$R^{10}$  is hydrogen, alkyl of 1 to 8 carbon atoms, or phenyl.

Other specific immunomodulatory compounds of the invention include, but are not limited to, 1-oxo-2-(2,6-dioxo-3-fluoropiperidin-3-yl) isoindolines and 1,3-dioxo-2-(2,6-dioxo-3-fluoropiperidine-3-yl) isoindolines such as those described in U.S. patent nos. 10 5,874,448 and 5,955,476, each of which is incorporated herein by reference. Representative compounds are of formula:



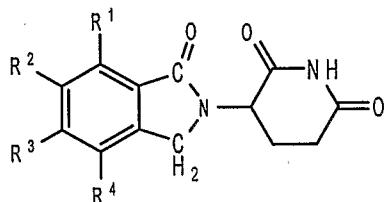
wherein:

$Y$  is oxygen or  $H^2$  and

15 each of  $R^1$ ,  $R^2$ ,  $R^3$ , and  $R^4$ , independently of the others, is hydrogen, halo, alkyl of 1 to 4 carbon atoms, alkoxy of 1 to 4 carbon atoms, or amino.

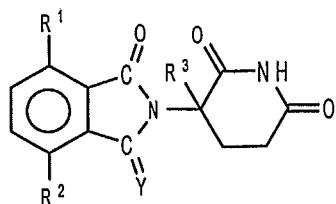
Other specific immunomodulatory compounds of the invention include, but are not limited to, the tetra substituted 2-(2,6-dioxopiperidin-3-yl)-1-oxoisoindolines described in U.S. patent no. 5,798,368, which is incorporated herein by reference.

20 Representative compounds are of formula:



wherein each of  $R^1$ ,  $R^2$ ,  $R^3$ , and  $R^4$ , independently of the others, is halo, alkyl of 1 to 4 carbon atoms, or alkoxy of 1 to 4 carbon atoms.

Other specific immunomodulatory compounds of the invention include, but 25 are not limited to, 1-oxo and 1,3-dioxo-2-(2,6-dioxopiperidin-3-yl) isoindolines disclosed in U.S. patent no. 6,403,613, which is incorporated herein by reference. Representative compounds are of formula:



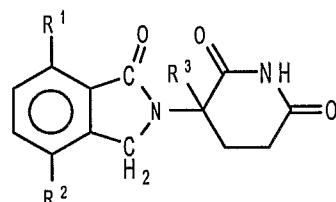
in which

Y is oxygen or H<sub>2</sub>,

5 a first of R<sup>1</sup> and R<sup>2</sup> is halo, alkyl, alkoxy, alkylamino, dialkylamino, cyano, or carbamoyl, the second of R<sup>1</sup> and R<sup>2</sup>, independently of the first, is hydrogen, halo, alkyl, alkoxy, alkylamino, dialkylamino, cyano, or carbamoyl, and

R<sup>3</sup> is hydrogen, alkyl, or benzyl.

Specific examples of the compounds are of formula:



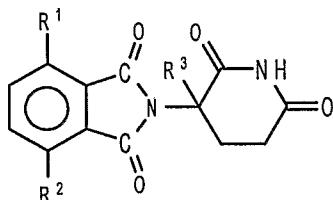
10 wherein

a first of R<sup>1</sup> and R<sup>2</sup> is halo, alkyl of from 1 to 4 carbon atoms, alkoxy of from 1 to 4 carbon atoms, dialkylamino in which each alkyl is of from 1 to 4 carbon atoms, cyano, or carbamoyl;

15 the second of R<sup>1</sup> and R<sup>2</sup>, independently of the first, is hydrogen, halo, alkyl of from 1 to 4 carbon atoms, alkoxy of from 1 to 4 carbon atoms, alkylamino in which alkyl is of from 1 to 4 carbon atoms, dialkylamino in which each alkyl is of from 1 to 4 carbon atoms, cyano, or carbamoyl; and

R<sup>3</sup> is hydrogen, alkyl of from 1 to 4 carbon atoms, or benzyl. Specific examples include, but are not limited to, 1-oxo-2-(2,6-dioxopiperidin-3-yl)-4-methylisoindoline.

20 Other representative compounds are of formula:



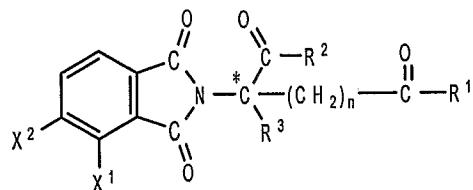
wherein:

a first of R<sup>1</sup> and R<sup>2</sup> is halo, alkyl of from 1 to 4 carbon atoms, alkoxy of from 1 to 4 carbon atoms, dialkylamino in which each alkyl is of from 1 to 4 carbon atoms, cyano, or carbamoyl;

5 the second of R<sup>1</sup> and R<sup>2</sup>, independently of the first, is hydrogen, halo, alkyl of from 1 to 4 carbon atoms, alkoxy of from 1 to 4 carbon atoms, alkylamino in which alkyl is of from 1 to 4 carbon atoms, dialkylamino in which each alkyl is of from 1 to 4 carbon atoms, cyano, or carbamoyl; and

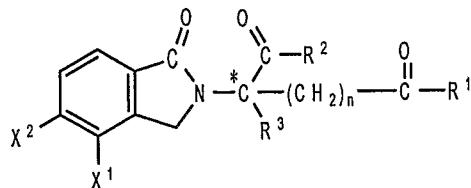
R<sup>3</sup> is hydrogen, alkyl of from 1 to 4 carbon atoms, or benzyl.

10 Other specific immunomodulatory compounds of the invention include, but are not limited to, 1-oxo and 1,3-dioxoisooindolines substituted in the 4- or 5-position of the indoline ring described in U.S. patent no. 6,380,239 and co-pending U.S. application no. 10/900,270, filed July 28, 2004, which are incorporated herein by reference. Representative compounds are of formula:



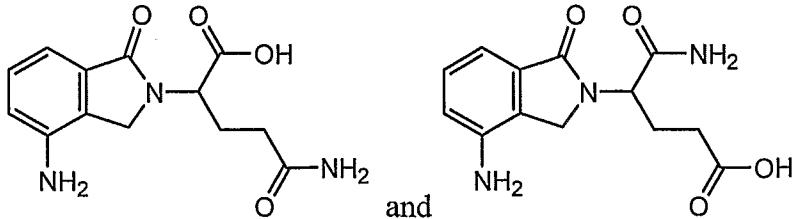
15 in which the carbon atom designated C\* constitutes a center of chirality (when n is not zero and R<sup>1</sup> is not the same as R<sup>2</sup>); one of X<sup>1</sup> and X<sup>2</sup> is amino, nitro, alkyl of one to six carbons, or NH-Z, and the other of X<sup>1</sup> or X<sup>2</sup> is hydrogen; each of R<sup>1</sup> and R<sup>2</sup> independent of the other, is hydroxy or NH-Z; R<sup>3</sup> is hydrogen, alkyl of one to six carbons, halo, or haloalkyl; Z is hydrogen, aryl, alkyl of one to six carbons, formyl, or acyl of one to six carbons; and n has a 20 value of 0, 1, or 2; provided that if X<sup>1</sup> is amino, and n is 1 or 2, then R<sup>1</sup> and R<sup>2</sup> are not both hydroxy; and the salts thereof.

Further representative compounds are of formula:

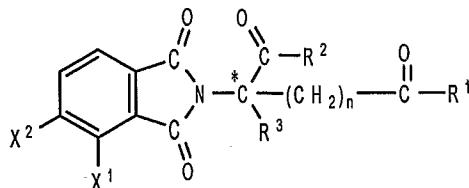


25 in which the carbon atom designated C\* constitutes a center of chirality when n is not zero and R<sup>1</sup> is not R<sup>2</sup>; one of X<sup>1</sup> and X<sup>2</sup> is amino, nitro, alkyl of one to six carbons, or NH-Z, and the other of X<sup>1</sup> or X<sup>2</sup> is hydrogen; each of R<sup>1</sup> and R<sup>2</sup> independent of the other, is hydroxy or NH-Z; R<sup>3</sup> is alkyl of one to six carbons, halo, or hydrogen; Z is hydrogen, aryl or an alkyl or acyl of one to six carbons; and n has a value of 0, 1, or 2.

Specific examples include, but are not limited to, 2-(4-amino-1-oxo-1,3-dihydro-isoindol-2-yl)-4-carbamoyl-butyric acid and 4-(4-amino-1-oxo-1,3-dihydro-isoindol-2-yl)-4-carbamoyl-butyric acid, which have the following structures, respectively, and pharmaceutically acceptable salts, solvates, prodrugs, and stereoisomers thereof:

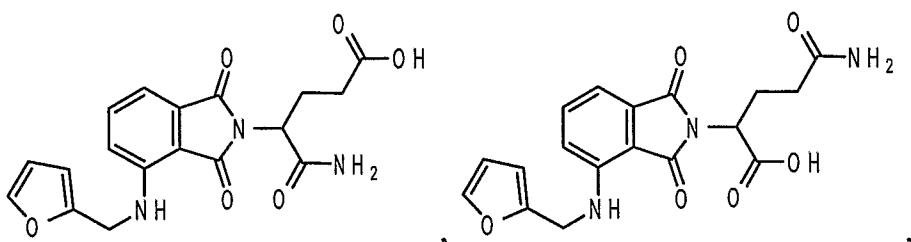


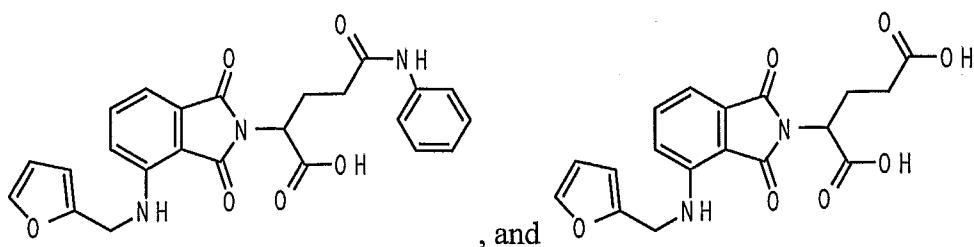
Other representative compounds are of formula:



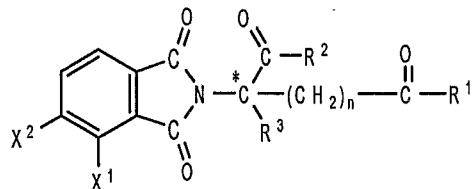
10 in which the carbon atom designated C\* constitutes a center of chirality when n is not zero and R<sup>1</sup> is not R<sup>2</sup>; one of X<sup>1</sup> and X<sup>2</sup> is amino, nitro, alkyl of one to six carbons, or NH-Z, and the other of X<sup>1</sup> or X<sup>2</sup> is hydrogen; each of R<sup>1</sup> and R<sup>2</sup> independent of the other, is hydroxy or NH-Z; R<sup>3</sup> is alkyl of one to six carbons, halo, or hydrogen; Z is hydrogen, aryl, or an alkyl or acyl of one to six carbons; and n has a value of 0, 1, or 2; and the salts thereof.

15 Specific examples include, but are not limited to, 4-carbamoyl-4-[(furan-2-yl-methyl)-amino]-1,3-dioxo-1,3-dihydro-isoindol-2-yl]-butyric acid, 4-carbamoyl-2-{4-[(furan-2-yl-methyl)-amino]-1,3-dioxo-1,3-dihydro-isoindol-2-yl}-butyric acid, 2-{4-[(furan-2-yl-methyl)-amino]-1,3-dioxo-1,3-dihydro-isoindol-2-yl}-4-phenylcarbamoyl-butyric acid, and 2-{4-[(furan-2-yl-methyl)-amino]-1,3-dioxo-1,3-dihydro-isoindol-2-yl}-pentanedioic acid, which have the following structures, respectively, and pharmaceutically acceptable salts, solvates, prodrugs, and stereoisomers thereof:





Other specific examples of the compounds are of formula:



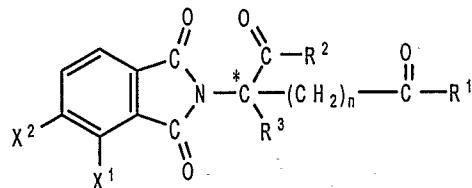
wherein:

5 one of  $X^1$  and  $X^2$  is nitro, or  $NH-Z$ , and the other of  $X^1$  or  $X^2$  is hydrogen;  
 each of  $R^1$  and  $R^2$ , independent of the other, is hydroxy or  $NH-Z$ ;  
 $R^3$  is alkyl of one to six carbons, halo, or hydrogen;  
 $Z$  is hydrogen, phenyl, an acyl of one to six carbons, or an alkyl of one to six carbons;

and

10  $n$  has a value of 0, 1, or 2; and  
 if  $-COR^2$  and  $-(CH_2)_nCOR^1$  are different, the carbon atom designated  $C^*$  constitutes a center of chirality.

Other representative compounds are of formula:



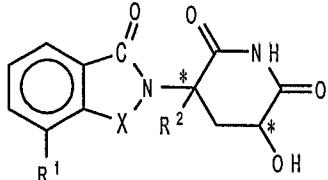
15 wherein:

one of  $X^1$  and  $X^2$  is alkyl of one to six carbons;  
 each of  $R^1$  and  $R^2$ , independent of the other, is hydroxy or  $NH-Z$ ;  
 $R^3$  is alkyl of one to six carbons, halo, or hydrogen;  
 $Z$  is hydrogen, phenyl, an acyl of one to six carbons, or an alkyl of one to six carbons;

20 and

$n$  has a value of 0, 1, or 2; and  
 if  $-COR^2$  and  $-(CH_2)_nCOR^1$  are different, the carbon atom designated  $C^*$  constitutes a center of chirality.

Still other specific immunomodulatory compounds of the invention include, but are not limited to, isoindoline-1-one and isoindoline-1,3-dione substituted in the 2-position with 2,6-dioxo-3-hydroxypiperidin-5-yl described in U.S. patent no. 6,458,810, which is incorporated herein by reference. Representative compounds are of formula:



5

wherein:

the carbon atoms designated \* constitute centers of chirality;

X is -C(O)- or -CH<sub>2</sub>-;

R<sup>1</sup> is alkyl of 1 to 8 carbon atoms or -NHR<sup>3</sup>;

10 R<sup>2</sup> is hydrogen, alkyl of 1 to 8 carbon atoms, or halogen; and

R<sup>3</sup> is hydrogen,

alkyl of 1 to 8 carbon atoms, unsubstituted or substituted with alkoxy of 1 to 8 carbon atoms, halo, amino, or alkylamino of 1 to 4 carbon atoms,

cycloalkyl of 3 to 18 carbon atoms,

15 phenyl, unsubstituted or substituted with alkyl of 1 to 8 carbon atoms, alkoxy of 1 to 8 carbon atoms, halo, amino, or alkylamino of 1 to 4 carbon atoms,

benzyl, unsubstituted or substituted with alkyl of 1 to 8 carbon atoms, alkoxy of 1 to 8 carbon atoms, halo, amino, or alkylamino of 1 to 4 carbon atoms, or -COR<sup>4</sup> in which

R<sup>4</sup> is hydrogen,

20 alkyl of 1 to 8 carbon atoms, unsubstituted or substituted with alkoxy of 1 to 8 carbon atoms, halo, amino, or alkylamino of 1 to 4 carbon atoms,

cycloalkyl of 3 to 18 carbon atoms,

phenyl, unsubstituted or substituted with alkyl of 1 to 8 carbon atoms, alkoxy of 1 to 8 carbon atoms, halo, amino, or alkylamino of 1 to 4 carbon atoms, or

25 benzyl, unsubstituted or substituted with alkyl of 1 to 8 carbon atoms, alkoxy of 1 to 8 carbon atoms, halo, amino, or alkylamino of 1 to 4 carbon atoms.

All of the compounds described can either be commercially purchased or prepared according to the methods described in the patents or patent publications disclosed herein. Further, optically pure compounds can be asymmetrically synthesized or resolved using known resolving agents or chiral columns as well as other standard synthetic organic chemistry techniques.

Compounds used in the invention may be small organic molecules having a molecular weight less than about 1,000 g/mol, and are not proteins, peptides, oligonucleotides, oligosaccharides or other macromolecules.

It should be noted that if there is a discrepancy between a depicted structure 5 and a name given that structure, the depicted structure is to be accorded more weight. In addition, if the stereochemistry of a structure or a portion of a structure is not indicated with, for example, bold or dashed lines, the structure or portion of the structure is to be interpreted as encompassing all stereoisomers of it.

10 **5.4 METHODS OF TREATMENT AND PREVENTION**

This invention encompasses methods of treating and/or preventing (e.g., prophylactic treatment such as vaccination) of various disorders using the dosing regimen involving an immunomodulatory compounds of the invention as described herein.

In one embodiment, this invention encompasses treatment or prevention of 15 cancer. Examples of cancer that can be treated or prevented using methods of the invention include those described in Section 5.1.2, above. In some embodiments, cancers to be treated or prevented using methods of the invention are metastatic. In other embodiment, specific cancers that can be treated or prevented using methods of the invention are sarcoma, carcinoma, melanoma, lymphoma and leukemia.

20 In another embodiment, this invention encompasses methods of vaccinating against cancer by reducing the inhibition of anti-tumor immune response in a subject (e.g., a human) comprising administering to the subject an immunomodulatory compound of the invention prior to the administration of a cancer vaccine. This invention also encompasses methods of enhancing immune response to a cancer vaccine in a subject comprising 25 administering to the subject an immunomodulatory compound of the invention prior to the administration of a cancer vaccine. Examples of cancer vaccines that can be used in connection with methods of the invention include those listed in **Tables 1-4**. In specific embodiment, cancers against which vaccination is performed are sarcoma, carcinoma, melanoma, lymphoma and leukemia. In another specific embodiment, the cancer vaccine is 30 an antigen modified dendritic cell vaccine, a peptide vaccine, a whole tumor cell vaccine, or a viral vector vaccine.

In another embodiment, this invention also encompasses treatment or prevention of an infectious disease. Examples of infectious diseases that can be treated or prevented using methods of the invention are described in Section 5.1.2, above. In some

embodiments, infectious diseases that can be treated or prevented using methods of the invention include those caused by viruses, bacteria, fungi, and parasites.

In another embodiment, this invention encompasses methods of vaccinating against an infectious disease by reducing the inhibition of immune response in a subject (e.g., 5 a human) comprising administering to the subject an immunomodulatory compound of the invention prior to the administration of a vaccine against an infectious disease. This invention also encompasses methods of enhancing immune response to a vaccine against an infectious disease in a subject comprising administering to the subject an immunomodulatory compound of the invention prior to the administration of the vaccine. Examples of infectious 10 diseases against which a subject can be vaccinated according to methods of the invention are described in Section 5.1.1, above. In a specific embodiment, infectious diseases are those caused by viruses, bacteria, fungi, and parasites. In a specific embodiment, the vaccine against an infectious disease is hepatitis B vaccine.

15           **5.5    METHODS OF ADMINISTRATION**

Methods encompassed by this invention comprise administering one or more immunomodulatory compounds, or a pharmaceutically acceptable salt, solvate, stereoisomer, or prodrug thereof, to a subject (e.g., a human) prior to the exposure to or administration of an immunogen or an allergen.

20           Any route of administration may be used. For example, an immunomodulatory compound can be orally, parenterally, transdermally, rectally, sublingually, mucosally, or nasally administered. In addition, an immunomodulatory compounds can be administered in a form of pharmaceutical composition and/or unit dosage form. Suitable dosage forms include, but are not limited to, capsules, tablets (including rapid 25 dissolving and delayed release tablets), powder, syrups, oral suspensions and solutions for parenteral administration. Pharmaceutical compositions may contain one or more pharmaceutically acceptable excipients. *See, e.g.,* Rowe *et al.*, Handbook of Pharmaceutical Excipients, 4<sup>th</sup> Ed. (2003), entirety of which is incorporated herein by reference. In addition, an immunomodulatory compound of the invention may be included in a kit, which may 30 comprise an immunogen or an allergen, one or more other active ingredients, and divices and directions for administration. Other ingredients (e.g., immunogen, allergen, and other active ingredients) may be included in the same formulation with the immunomodulatory compound of the invention, or in separate formulations.

The specific amount of the agent will depend on the specific agent used, the type of disease or disorder being treated or managed, and the amount(s) of an immunomodulatory compound of the invention and any optional additional agents concurrently administered to the patient. Typical dosage forms of the invention comprise an immunomodulatory compound of the invention or a pharmaceutically acceptable salt, solvate, stereoisomer, or prodrug thereof in an amount of from about 0.001 to about 150 mg. In particular, dosage forms comprise an immunomodulatory compound of the invention or a pharmaceutically acceptable salt, solvate, stereoisomer, or prodrug thereof in an amount of about 0.001, 0.01, 0.1, 1, 2, 5, 7.5, 10, 12.5, 15, 17.5, 20, 25, 50, 100, 150 or 200 mg. In a particular embodiment, a dosage form comprises 4-(amino)-2-(2,6-dioxo(3-piperidyl))-isoindoline-1,3-dione in an amount of about 0.001, 0.01, 0.1, 1, 2, 5, 10, 25 or 50 mg.

In some embodiments, this invention encompasses administration of racemic mixture, optically pure (R)-isomer, or optically pure (S)-isomer of 4-(amino)-2-(2,6-dioxo(3-piperidyl))-isoindoline-1,3-dione. In one specific embodiment, the racemic 4-(amino)-2-(2,6-dioxo(3-piperidyl))-isoindoline-1,3-dione is administered at an amount of 1, 2, 5, 10, or 25 mg per day. As (S)-isomer of 4-(amino)-2-(2,6-dioxo(3-piperidyl))-isoindoline-1,3-dione is reported to have a higher potency than the racemic mixture, a lower dose can be given when (S)-isomer is used. For examples, (S)- 4-(amino)-2-(2,6-dioxo(3-piperidyl))-isoindoline-1,3-dione can be administered at an amount of 0.01, 0.1, 1, 2.5, 5, or 10 mg per day. (R)-isomer of 4-(amino)-2-(2,6-dioxo(3-piperidyl))-isoindoline-1,3-dione can be administered at an amount comparable to the racemic mixture.

In a specific embodiment, a dosage form comprises 3-(4-amino-1-oxo-1,3-dihydro-isoindol-2-yl)-piperidine-2,6-dione in an amount of about 0.001, 0.01, 0.1, 1, 5, 10, 25 or 50 mg. Typical dosage forms comprise the second active ingredient in an amount of 1  $\mu$ g to about 1000 mg, from about 0.01 to about 500 mg, from about 0.1 to about 350 mg, or from about 1 to about 200 mg. This invention also encompasses the use of racemic mixture, (S)-isomer, and (R)-isomer of 3-(4-amino-1-oxo-1,3-dihydro-isoindol-2-yl)-piperidine-2,6-dione. Typically, racemic 3-(4-amino-1-oxo-1,3-dihydro-isoindol-2-yl)-piperidine-2,6-dione can be administered at an amount of 1, 5, 10, 15, 25, or 50 mg per day. Optical isomers also can be administered at an amount comparable to racemic mixture. Doses can be adjusted depending on the type of disease or disorder being treated, prevented or managed, and the amount(s) of an immunomodulatory compound of the invention and any optional additional agents concurrently administered to the patient, which are all within the skill of the art.

**6. EXAMPLES****6.1 EFFECTS OF IMiDs ON REGULATORY T CELLS**

An assay in which the ability of isolated  $T_{reg}$  to suppress anti-CD3 mAb activated CD4+CD25- cells was performed. Results showed that pre-incubation of  $T_{reg}$  with 5 4-(amino)-2-(2,6-dioxo(3-piperidyl))-isoindoline-1,3-dione (Actimid<sup>TM</sup>) and 3-(4-amino-1-oxo-1,3-dihydro-isoindol-2-yl)-piperidine-2,6-dione (lenalidomide), but not thalidomide, inhibits the suppressive function of these cells. The inhibition of T regulatory cell function and production by these compounds was shown to be not due to any cytotoxic or 10 apoptotic effects of the IMiDs on the cells, but the inhibition of function was associated with a decrease in FOXP3 expression in CTLA4+CD25<sup>high</sup>CD4+ cells.

**6.1.1 Effects on  $T_{reg}$  Function**

Regulatory T cells were isolated by the Dynal T regulatory cell isolation kit, and treated for 24 hours with varying concentrations of an immunomodulatory compound 15 (Actimid<sup>TM</sup> or lenalidomide) or DMSO. The cells were washed and incubated at a 1:2 ratio with CD25<sup>-</sup>CD4<sup>+</sup> cells, which were also isolated by the Dynal T regulatory cell isolation kit. Results were expressed as the mean % change in proliferation compared to the cpm's obtained from DMSO treated CD25<sup>+</sup> cells incubated with CD25<sup>-</sup> cells. As shown in FIG. 2, pre-treatment of CD25<sup>+</sup>CD4<sup>+</sup> cells with the IMiDs tested significantly increased the proliferation 20 of CD25<sup>-</sup> cells in the presence of CD25<sup>+</sup>CD4<sup>+</sup> cells as compared to the DMSO treated CD4<sup>+</sup>CD25<sup>+</sup> cells. Thalidomide showed little effect under these assay conditions. The results suggest that the IMiDs tested reduce or inhibit the suppressive activity of regulatory T cells.

25

**6.1.2 Effects on Foxp3 Expression**

CD4<sup>+</sup>CD25<sup>+</sup> cells were incubated for 24 hours with varying concentrations of DMSO, Actimid<sup>TM</sup>, lenalidomide, or thalidomide and then washed twice with RPMI medium. Cells were stained with CD152-PE, CD4-PERCP, and CD25-APC. Intracellular Foxp3 staining and CD152 staining were carried out after permeabilizing the CD4<sup>+</sup>CD25<sup>+</sup> cells. 30 Results were expressed as percentage of expression of Foxp3 in the CD4<sup>+</sup>CD25<sup>+</sup> population or the CD4<sup>+</sup>CD25<sup>-</sup> population. As shown in FIG. 3, cells pre-treated with the IMiDs showed inhibition of Foxp3 expression, while DMSO and thalidomide showed little effects. The results show that the inhibition of Treg cells by the IMiDs tested may be associated with the compounds' ability to inhibit Foxp3 expression.

### 6.1.3 Effects on Level of T<sub>reg</sub> Cells

PBMCs were treated with 150U/ml of IL-2. Some of the cultures were also treated with Actimid<sup>TM</sup> or lenalidomide. Cells were stained with CD25-FITC/CD152-

5 PE/CD4-PerCP/NKG2D-APC and analyzed using a FACSCalibur. As shown in FIG. 4, levels of CD4, CD25high, CD152high expressing cells are reduced in groups pre-treated with an IMiD as compared to the untreated group. The results suggest that the IMiDs of the invention also decrease the levels of regulatory T cells or inhibits the proliferation of such cells.

10

### 6.2 EFFECTS ON ACQUIRED ANTIBODY RESISTANCE

Rituximab-resistant cell lines (RRCL) were generated by chronic exposure of Raji cells to escalating doses of rituximab alone (2R) or along with human complement (4RH). Functional assays including antibody-dependant cellular cytotoxicity (ADCC) and

15 complement-mediated cytotoxicity (CMC) were performed to demonstrate resistance to rituximab. To study the effects of lenalidomide-priming of PBMC's against RRCL, peripheral blood mononuclear cells from healthy donors were cultured with either DMSO or lenalidomide (at final concentrations of 10 or 20 $\mu$ g/ml), with or without IL-2 (20IU/ml), over a 5-day period at 37°C, 5%CO<sub>2</sub>. Parental Raji, and RRCL (2R and 4RH) were labeled with 20 <sup>51</sup>Cr and exposed to either rituximab or trastuzumab (Isotype control at 20 $\mu$ g/ml) in the presence of an IMiD or control stimulated-PBMCs (Effector:Target ratio of 40:1). <sup>51</sup>Cr release was measured and the percentage of lysis was calculated. Statistical differences were analyzed by chi-square test.

*In vitro* exposure of PBMC to IMiD+/-IL-2 improved rituximab-associated 25 ADCC in RRCL. Exposure of PBMC to IMiD+/- IL-2 for 5 days led to a statistically significant increase in rituximab-mediated ADCC in 2R cells [IMiD mean % lysis 26.9+/- 1.18%] [IMiD+IL-2 mean % lysis 38.4+/-4.14%] when compared to control-stimulated PBMC's [mean % lysis 17.6+/-5.6%]. Similar effects were observed in 4RH cells. The mean % of lysis by ADCC for combination IMiD/IL-2 exposed PBMC's on 4RH cells was found 30 to be highest at 38.4+/-4.1%, as compared to IMiD (mean % lysis 26.5+/-1.83%) or vehicle exposed PBMC's (mean % lysis 17.6+/-5.69%) (P= 0.01). These results suggest that modulation (e.g., PBMC-priming) of the immune system by the IMiD of the invention (+/-

IL-2) improves rituximab anti-tumor activity and may partially overcome rituximab resistance in RRCL via augmentation of ADCC.

### 6.3 EFFECTS ON GROWTH ARREST AND APOPTOSIS

5 Direct effects of IMiDs on NHL tumor cells were tested by treating Raji cells with IMiDs alone, or in combination with anti CD20 antibodies B1 or rituxan. IMiD 1 alone caused up to 40% inhibition of proliferation at 10  $\mu$ M in Raji cells, which corresponded to G1 arrest. In combination with B1, Actimid<sup>TM</sup> showed a small additive effect at 10  $\mu$ M, while lenalidomide effects were minimal up to 10  $\mu$ M. In combination with rituxan, Actimid<sup>TM</sup> 10 showed a slight additive effect at 10  $\mu$ M, and lenalidomide showed the same at 50  $\mu$ M.

15 A co-culture assay of PBMC and NHL tumor cells were developed as an *in vitro* model of tumor-host immune system interaction, to further explore the anti-tumor potential of IMiDs in NHL cells. This assay is non-radioactive and flow cytometry based. Using Raji and PBMC, it was shown that pre-treatment of PMBC with an IMiD can enhance 20 the PBMC activity in inducing Raji cell apoptosis in a dose dependent manner. In addition, it was shown that pre-treatment of Raji cells with an IMiD can further enhance the apoptosis induced by PBMC pre-treated with an IMiD. These results suggest that the IMiDs of the invention directly induce NHL tumor cell growth arrest and effectively enhance tumor cell apoptosis induced by PBMC.

20

### 6.4 EFFECTS ON HSC EXPANSION

25 The ability of IMiDs to enhance the expansion of hematopoietic stem cells (HSC) *ex vivo* in combination with growth factors were tested. It was shown that the IMiDs of the invention dramatically enhance the expansion of CD34+ cells in a serum-free system, achieving up to 100-fold expansion after 14 days in culture. In addition, the IMiDs of the invention enabled a preferential expansion of CD34+CD38- cells, a more immature 30 phenotype.

IMiDs showed similar activities on HSC from all sources tested: bone marrow, cord blood and peripheral blood (steady-state or G-CSF-mobilized). It was also shown that 30 IMiDs can efficiently expand CD34+ cells isolated from frozen cord blood units.

Global gene expression (Affymetrix) analysis of IMiDs-expanded CD34+ cells revealed that the IMiDs of the invention modulate several genes involved in cell differentiation, cell adhesion and cell self-renewal. The IMiDs of the invention also upregulated many genes involved in immune responses and antigen presentation.

### 6.5 EFFECTS ON T CELL DIFFERENTIATION

Effects of IMiDs on T cell differentiation were investigated using various methods. It was demonstrated that, in combination with anti-CD3 stimulation, the IMiD of the invention directly increases expression of Th1 transcription factor T-bet via enhanced T-bet RNA transcription at 4 hours after stimulation. A concomitant decrease in expression of Th2 transcription factor GATA-3 was also observed. The regulation of two key transcription factors by the IMiD favors Th1 differentiation of human naive CD4<sup>+</sup> T cells. Enhancement of T-bet by the IMiD results in increased tyrosine phosphorylation of T-bet, increased expression of IL-12R $\beta$ 2, and increased IFN- $\gamma$  production, compared to treatment with anti-CD3 alone.

A similar effect of the IMiD on T-bet and GATA-3 was also observed in differentiated human Th2 cells *in vitro* under Th2 polarizing condition. The intracellular cytokine staining of IL-4 and IFN- $\gamma$  on re-stimulated Th2 cells showed that the IMiD reduced the number of IL-4 producing cells and increased the number of IFN- $\gamma$  producing cells in the presence of plate bound anti-CD3 antibody. The effect of the IMiD on polarized Th2 cells includes reversal of Th2 cell differentiation and enforcement of IFN- $\gamma$  expression in IL-4 positive cells, which is greatly enhanced by addition of exogenous IL-12. These results suggest that the IMiDs of the invention not only preferentially induce Th1 immune response by enhancing T-bet, but also inhibit Th2 lineage commitment by reducing GATA-3 expression.

### 6.6 EFFECTS ON T CELL ACTIVATION

The Gab proteins, including Gab1, Gab2 and Gab3 comprise a growing family of phospho-tyrosine regulated scaffolding molecules involved in RTK signal transduction. Phosphorylation of Gab1 in B cells is associated with PI3-kinase activity and cell proliferation. While Gab1 is expressed in B cells, only Gab2 is expressed in T cells. Although Gab2 is tyrosine phosphorylated upon TCR activation by ZAP-70, it functions as a negative regulator of TCR signaling via a Shp-2 dependent mechanism. Overexpression of Gab2 in T cells results in the inhibition of IL-2 production (Yamasaki *et al.*, *J. Biol. Chem.*, 2001). The effect of lenalidomide on Gab2 phosphorylation and activation in anti-CD3/CD28 stimulated Jurkat T cells was examined. Lenalidomide inhibited Gab2 phosphorylation dose-dependently (with approximately 50% inhibition at about 1  $\mu$ M) in a

manner that correlated with T cell costimulation and enhancement of IL-2 production. The results show that the mechanism of action of lenalidomide is therefore consistent with inhibition of phosphorylation of Gab2 in anti-CD3/CD28-stimulated T cells.

5           **6.7    EFFECTS ON  $\gamma\delta$  T CELLS**

**6.7.1   Materials and Methods**

**Phenotyping of PBMC preparations stimulated with IL-2 and IPP ± IMiDs:**

PBMC preparations were obtained and treated weekly with IL-2 and IPP (150 units/ml and 10 uM respectively). Expression of  $\delta\gamma$  TCR and NKG2D were measured by FACS over a period of three weeks.

**Generation of  $\gamma\delta$  T cells:** PBMC preparations were treated with IL-2 (150 units/ml) and IPP (25 uM) weekly. Cultures were split and replenished weekly with fresh IL-2 and IPP and %  $\gamma\delta$  TCR+ve cells determined by FACS. After 3-4 weeks  $\gamma\delta$  T cells were purified by negative magnetic separation using CD4+ and CD8+ Dynalbeads and maintained in IL-2.

**Measurement of cytokine production in purified  $\gamma\delta$  T cells and fresh  $\gamma$  cells in PBMC preparations:** Purified  $\gamma\delta$  T cells were stimulated with IPP ± IMiDs (10 $\mu$ g/mL) or with the MM cell line RPMI-8226 ( $\pm$  IMiDs (10 $\mu$ g/mL)) in 24 well plates and were incubated 8-72 hours. Cell-free supernatants were collected and stored at -70°C until assayed by ELISA. IFN- $\gamma$ , TNF- $\alpha$  and IL-2 were measured by ELISA (BD pharmingen). For fresh  $\delta\gamma$  preps, PBMCs were stimulated with plate bound anti-CD3 (1.25  $\mu$ g/ml) for 48 hours and the expression of TNF- $\alpha$ , IFN- $\gamma$ , IL-2 and IL-4 were measured by intracellular FACS on cells stained for  $\gamma\delta$  TCR.

**Measurement of apoptosis in  $\delta\gamma$  cells:** Gamma delta T cells were treated with a single dose of 25  $\mu$ M IPP and weekly with 150U/ml of IL-2 for 4 weeks and 3 days. Cells were then either left untreated or treated with Actimid<sup>TM</sup>, IPP or Actimid<sup>TM</sup> and IPP. Apoptosis was assessed by staining of cells with annexin V PE and 7-AAD at various time points and analysis using a FACSCalibur.

**Cytotoxicity assays:** Gamma delta T cells were treated with a single dose of 25  $\mu$ M IPP and weekly with 150U/ml of IL-2 for 3 weeks and 1 day. RPMI-8226 target cells were incubated overnight with 50  $\mu$ M pamidronate then treated with 3MBq 51Cr. Target, and effector cells were incubated at different ratios and chromium release was assayed after 4 hours. To determine the effects of Actimid<sup>TM</sup>, the compound was either included in the 22

day preincubation before the assay and in the chromium release step, or was included during the chromium release assay.

#### **6.7.2 Effects on the Expression of $\gamma\delta$ T Cells and NKG2D**

5 PBMCs were treated with a single dose of 25  $\mu$ M IPP and then weekly with 150U/ml of IL-2. In addition, some cultures were treated with 10  $\mu$ M Actimid<sup>TM</sup> or lenalidomide. IL-2 treated cells were stained with CD25 FITC/CD4 PE/CD3 PerCP/NKG2D APC, and IL-2 plus IPP treated cells were stained with  $\delta\gamma$  TCR FITC/alpha beta TCR PE/CD3 PerCP/NKG2D APC and analysed using a FACSCalibur.

10 As shown in **FIG. 5**, cells treated with an immunomodulatory compound of the invention exhibited higher  $\gamma\delta$  T cells and NKG2D expression. The results show that immunomodulatory compounds of the invention enhance the expression of  $\gamma\delta$  T cells and NKG2D in PMBCs activated with IL-2 and IPP.

#### **6.7.3 Effects of Apoptosis of $\gamma\delta$ T Cells**

15 Gamma delta T cells were treated with a single dose of 25  $\mu$ M IPP and weekly with 150U/ml of IL-2 for 31 days. Cells were then either left untreated or treated with Actimid<sup>TM</sup>, IPP, or Actimid<sup>TM</sup> and IPP in combination. Apoptosis was assessed by staining of cells with annexin V PE and 7-AAD at the stated time points and analysis using a 20 FACSCalibur. Annexin V PE negative/7-AAD negative cells are designated live, annexin V PE positive/7-AAD negative early apoptotic, annexin V PE positive/7-AAD positive late apoptotic and annexin V PE negative/7-AAD positive dead.

25 As shown in **FIG. 6**, Actimid<sup>TM</sup> offered protection against apoptosis in  $\gamma\delta$  T cells with or without IPP. The results suggest that immunomodulatory compounds of the invention protect against apoptosis of  $\gamma\delta$  T cells.

#### **6.7.4 Effects on Cytokine Production by $\gamma\delta$ T Cells**

30 The effects of Actimid<sup>TM</sup> on IFN- $\gamma$ , TNF- $\alpha$ , and IL-4 were examined in freshly prepared  $\gamma\delta$  T cells and  $\gamma\delta$  T cell lines stimulated with IPP. As shown in **FIG. 7A**, Actimid<sup>TM</sup> enhanced the production of both IFN- $\gamma$  and TNF- $\alpha$  in TCR  $\gamma\delta$  cells from within a freshly prepared PMBC population. In addition, as shown in **FIG. 7B**, Actimid<sup>TM</sup> enhanced the production of IFN- $\gamma$ , but not IL-4, in  $\gamma\delta$  T cells stimulated with IPP. The results show that

immunomodulatory compounds of the invention stimulate the production of IFN- $\gamma$  and TNF- $\alpha$ , but not IL-4.

5            **6.7.5 Effects on IFN- $\gamma$  Production in Response to Varying Tumor to  $\gamma\delta$  T Cells Ratio**

Tumor cells pre-incubated with (FIG. 8B) or without (FIG. 8A) pamidronate were incubated with  $\delta\gamma$  T cells at different tumor (RPMI-8226 MM) to  $\gamma\delta$  T cells ratios as indicated in FIG. 8. Some of the cells were further treated by Actimid<sup>TM</sup>. Intracellular IFN-gamma production was measured by flow cytometry.

10            As shown in FIGs. 8A and 8B, Actimid<sup>TM</sup> augmented IFN- $\gamma$  production by  $\gamma\delta$  T cells. IFN- $\gamma$  production increased with increasing tumor to  $\gamma\delta$  T cells ratio. The results show that immunomodulatory compounds of the invention enhance the production of IFN- $\gamma$  by  $\gamma\delta$  T cells, and the effects increase in response to increasing tumor to  $\gamma\delta$  T cells ratio.

15            **6.7.6 Effects on Cytotoxicity of  $\gamma\delta$  T Cells**

Gamma delta cells were treated with a single dose of 25  $\mu$ M IPP and weekly with 150U/ml of IL-2 for 22 days. RPMI-8226 target cells were incubated overnight with 50  $\mu$ M pamidronate, then treated with 3MBq 51Cr. Target and effector cells were incubated at various ratios with fresh Actimid<sup>TM</sup> and chromium release assayed after 4 hours. Actimid<sup>TM</sup> was also added to some wells for the 22 day pretreatment with IL-2 and IPP (FIG. 9A) or just for the 4 hr chromium release assay (FIG. 9B).

20            As shown in FIG. 9, the addition of Actimid<sup>TM</sup> during either the pretreatment or the chromium relase assay enhanced the cytotoxicity of  $\gamma\delta$  T cells toward RPMI-8226 MM cell lines, although a better effect was observed with the addition of Actimid<sup>TM</sup> during the 25 pretreatment of period. The results suggest that immunomodulatory compounds of the invention enhance the cytotoxicity of  $\gamma\delta$  T cells toward tumor cells, and the effects may be improved by pretreating the tumor cells with the compounds of the invention.

6.8            **EFFECTS ON INVARIANT NKT CELLS**

30            The establishment of highly purified primary invariant NKT (iNKT) cell lines from health donors and multiple myeloma (MM) patients has been tested, and the effects of IMiD 2 on iNKT cells were further explored. iNKT cells derived from peripheral blood or bone marrow mononuclear cells were enriched with anti-TCRV $\alpha$  24 mAb or anti-6B11 mAb and further expanded by several rounds of stimulation with  $\alpha$ -GalCer-loaded dendritic cells.

Phenotype analysis confirmed 95% purity in expanded iNKT cell lines. No significant phenotypic difference was observed in iNKT cells between healthy donors and MM patients.

Majority of iNKT cells expressed CD161 and CD28, whereas CD56 expression was at very low level. Following anti-CD3 or  $\alpha$ -GalCer-loaded dendritic cells 5 stimulation, iNKT cells showed strong proliferative activity as measured by  $^3$ H-TdR incorporation assay and production of IFN-  $\gamma$  measured by ELISA.

Next, the effects of IMiD 2, which is known to enhance T cell costimulation and NK cell activity, on iNKT cells were evaluated. From the tests, it was observed that 10 IMiD 2 enhances anti-CD3 mediated proliferation of expanded iNKT cells by 1.4 fold, and the enhanced expression and fluorescent intensity of CD25 (MFI 68.6 versus 28.5) on iNKT cells treated with IMiD 2 compare to untreated iNKT cells. Additionally, compared to the control group stimulated with  $\alpha$ -GalCer-loaded dendritic cells alone, IMiD 2 plus  $\alpha$ -GalCer-loaded DC also enhanced the production of IL-2. These results provide the preclinical 15 feasibility and rationale to clinically evaluate the efficacy of adoptive transfer of iNKT cells in MM. Additionally, the results demonstrate the ability of the IMiDs of the invention to augment the immunoreactivity of iNKT cells, suggestive of their use in enhancing iNKT cell mediated immunotherapy in myeloma.

#### **6.9 USE WITH HEPATITIS B VACCINE**

20 A two-center, randomized, double-blind, placebo-controlled trial is designed. A single dose of Hepatitis B vaccine is administered to subjects. An IMiD or placebo is administered to 64 patients for 7 days prior to and 7 days after the vaccine. Collection of blood samples for immune analysis is performed prior to the initiation of the IMiD administration, at the time of vaccination, and 7, 14, and 28 days after vaccination. Safety 25 assessments is performed at day 14, the last day of study drug.

Subjects may opt for 2<sup>nd</sup> and 3<sup>rd</sup> doses of vaccine in order to complete the usual course of hepatitis B vaccination. Opting for additional vaccinations is not a requirement of this study. Patients opting to receive the second (day 28) and 3<sup>rd</sup> (6 month) vaccination may have their blood samples collected prior to the 2<sup>nd</sup> and 3<sup>rd</sup> and 1 month after 30 3<sup>rd</sup> vaccination. The 28 day blood draw serves as the blood draw prior to the 2<sup>nd</sup> dose of vaccine. The blood draws one month after the 2<sup>nd</sup> and 3<sup>rd</sup> dose are not required for subjects wishing to receive the 2<sup>nd</sup> and 3<sup>rd</sup> dose of vaccine.

The effect of the IMiD on the response to hepatitis B vaccine in subjects with plasma cell dyscrasias, as measured by change in antibody titer against hepatitis B surface

antigen (HbSAg), can be determined following the above procedures. In addition, serum and blood cells can be collected to: a) assess the development of T cell responses against HbSAg following vaccination; b) identify phenotypic changes in peripheral blood cells following the IMiD administration especially with regard to CD3, CD4, CD8 T cells, and NK and NKT cells; and c) determine changes in gene expression profile of immune cells before and after the treatment of the IMiD using micro array protocols.

#### 6.10 $T_{reg}$ CELL PHENOTYPING AND FUNCTIONAL ANALYSES FROM PATIENTS UNDERGOING LENALIDOMIDE TREATMENT

Patients with any malignancy which are selected for lenalidomide treatment in are asked to participate in this study. The cycle of dosing for the patients selected for lenalidomide treatment is 3 weeks of dosing with 25 mg lenalidomide daily, followed by 1 week without dosing, followed by three more weeks of dosing, in repeated cycles. Forty ml samples of blood are collected into heparin tubes and 5 mls into serum tubes at time points from 1 hour to 24 hrs before the first administration of lenalidomide (25 mg/dose) and at 21 days and 49 days after dosing.

The blood in the heparin tubes is layered onto histopaque and spun for 25 minutes at 600 g to separate the buffy coat layer. The buffy coat containing the peripheral blood mononuclear cells and malignant haematological cells is isolated. The cells isolated are subjected to the following procedures:

##### 6.10.1 Phenotype Analysis Using a FACscalibur Machine

Dominant phenotypes of the PBMCs freshly isolated from each patient are analyzed, and the percentage of cells in the patients that are of a regulatory T cell phenotype (CD4<sup>+</sup>CD25<sup>+</sup> positive cells, staining positive also for FOXP3 and CTLA-4) is measured.

##### 6.10.2 Isolation of CD4<sup>+</sup>CD25<sup>+</sup> cells from the patients PBMCs

CD4<sup>+</sup>CD25<sup>+</sup> cells and CD4<sup>+</sup>CD25<sup>-</sup> cells are isolated from the patients' PBMCs using standard magnetic bead kits (Invitrogen). The ability *in-vitro* of the CD4<sup>+</sup>CD25<sup>+</sup> cells to inhibit the proliferation of CD4<sup>+</sup>CD25<sup>-</sup> cells, upon stimulation with anti-CD3, is assessed.

### 6.10.3 Analysis of Serum

Serums are analysed for TGF-beta, IL-10, IL-4, IL-6, IFN- $\gamma$  and TNF- $\alpha$  concentrations, using methods described herein as well as those well-known in the art.

5                   All of the references cited herein are incorporated by reference in their entirety. While the invention has been described with respect to the particular embodiments, it will be apparent to those skilled in the art that various changes and modifications may be made without departing from the spirit and scope of the invention as recited by the appended claims.

10                  The embodiments of the invention described above are intended to be merely exemplary, and those skilled in the art will recognize, or will be able to ascertain using no more than routine experimentation, numerous equivalents of specific compounds, materials, and procedures. All such equivalents are considered to be within the scope of the invention and are encompassed by the appended claims.

15

55  
CLAIMS

What is claimed is:

1. A method of reducing or inhibiting the immuno-suppressive activity of a regulatory T cell comprising contacting the regulatory T cell with an immunomodulatory compound for a time sufficient for the reduction or inhibition of such suppressive activity.

2. A method of eliciting an enhanced immune response from an immunogen in a subject comprising administering to the subject an immunomodulatory compound prior to the introduction of the immunogen to the subject.

10

3. The method of claim 2, wherein the immunomodulatory compound is administered about from about 10 days to about 12 hours prior to the introduction of an immunogen.

15

4. The method of claim 2, wherein the immunomodulatory compound is administered from about 7 days to about 12 hours prior to the introduction of an immunogen.

5. The method of claim 2, wherein the immunomodulatory compound is administered from about 5 days to about 1 day prior to the introduction of an immunogen.

20

6. The method of claim 2, wherein the immunomodulatory compound is administered from about 3 days to about 1 day prior to the introduction of an immunogen.

25

7. The method of claim 2, which further comprises a second administration of an immunomodulatory compound after the introduction of an immunogen.

8. The method of claim 7, wherein the immunomodulatory compound is administered from about 12 hours to about 10 days after the introduction of an immunogen.

30

9. The method of claim 7, wherein the immunomodulatory compound is administered from about 12 hours to about 7 days after the introduction of an immunogen.

10. The method of claim 7, wherein the immunomodulatory compound is administered from about 1 day to about 5 days after the introduction of an immunogen.

11. The method of claim 7, wherein the immunomodulatory compound is administered from about 1 day to about 3 days after the introduction of an immunogen.

5 12. The method of claim 2, wherein the immunogen is introduced as a vaccine.

13. The method of claim 12, wherein the vaccine is a vaccine listed in FIG. 1.

10 14. A method of enhancing an immune response to a cancer vaccine in a subject comprising administering to the subject an immunomodulatory compound prior to the administration of the vaccine to the subject.

15 15. The method of claim 14, wherein the immunomodulatory compound is administered about from about 10 days to about 12 hours prior to the administration of the vaccine.

16. The method of claim 14, wherein the immunomodulatory compound is administered from about 7 days to about 12 hours prior to the administration of the vaccine.

20 17. The method of claim 14, wherein the immunomodulatory compound is administered from about 5 days to about 1 day prior to the administration of the vaccine.

18. The method of claim 14, wherein the immunomodulatory compound is administered from about 3 days to about 1 day prior to the administration of the vaccine.

25

19. The method of claim 14, which further comprises a second administration of an immunomodulatory compound after the administration of the vaccine.

30 20. The method of claim 19, wherein the immunomodulatory compound is administered from about 12 hours to about 10 days after the administration of the vaccine.

21. The method of claim 19, wherein the immunomodulatory compound is administered from about 12 hours to about 7 days after the administration of the vaccine.

22. The method of claim 19, wherein the immunomodulatory compound is administered from about 1 day to about 5 days after the administration of the vaccine.

23. The method of claim 19, wherein the immunomodulatory compound is  
5 administered from about 1 day to about 3 days after the administration of the vaccine.

24. The method of claim 14 or 19, wherein the vaccine is a vaccine against sarcoma, carcinoma, melanoma, lymphoma and leukemia.

10 25. The method of claim 14 or 19, wherein the vaccine is an antigen modified dendritic cell vaccine, a peptide vaccine, a whole tumor cell vaccine, or a viral vector vaccine.

26. The method of claim 25, wherein the vaccine is a vaccine listed in Tables 1-4.

15 27. A method of enhancing immune response to a vaccine against an infectious disease in a subject comprising administering to the subject an immunomodulatory compound prior to the administration of the vaccine to the subject.

20 28. The method of claim 27, wherein the immunomodulatory compound is administered about from about 10 days to about 12 hours prior to the administration of the vaccine.

25 29. The method of claim 27, wherein the immunomodulatory compound is administered from about 7 days to about 12 hours prior to the administration of the vaccine.

30 30. The method of claim 27, wherein the immunomodulatory compound is administered from about 5 days to about 1 day prior to the administration of the vaccine.

31. The method of claim 27, wherein the immunomodulatory compound is administered from about 3 days to about 1 day prior to the administration of the vaccine.

32. The method of claim 27, which further comprises a second administration of an immunomodulatory compound after the administration of the vaccine.

33. The method of claim 32, wherein the immunomodulatory compound is administered from about 12 hours to about 10 days after the administration of the vaccine.

34. The method of claim 32, wherein the immunomodulatory compound is administered from about 1 day to about 7 days after the administration of the vaccine.

35. The method of claim 32, wherein the immunomodulatory compound is administered from about 1 day to about 5 days after the administration of the vaccine.

36. The method of claim 32, wherein the immunomodulatory compound is administered from about 1 day to about 3 days after the administration of the vaccine.

37. The method of claim 27 or 32, wherein the infectious disease is a disease caused by a virus, a bacterium, a fungus, and a parasite.

38. The method of claim 37, wherein the infectious disease is hepatitis B.

39. A method of eliciting a reduced allergic response from an allergen in a subject comprising administering to the patient an immunomodulatory compound prior to the subject's exposure to an allergen.

40. The method of claim 39, wherein the immunomodulatory compound is administered from about 10 days to about 12 hours prior to the subject's exposure to an allergen.

41. The method of claim 39, wherein the immunomodulatory compound is administered from about 7 days to about 12 hours prior to the subject's exposure to an allergen.

42. The method of claim 39, wherein the immunomodulatory compound is administered from about 5 days to about 1 day prior to the subject's exposure to an allergen.

43. The method of claim 39, wherein the immunomodulatory compound is administered from about 3 days to about 1 day prior to the subject's exposure to an allergen.

44. The method of claim 39, which further comprises a second administration of an immunomodulatory compound after the subject's exposure to an allergen.

45. The method of claim 44, wherein the immunomodulatory compound is administered from about 12 hours to about 10 days after the subject's exposure to an allergen.

46. The method of claim 44, wherein the immunomodulatory compound is administered from about 12 hours to about 7 days after the subject's exposure to an allergen.

47. The method of claim 44, wherein the immunomodulatory compound is administered from about 1 day to about 5 days after the subject's exposure to an allergen.

48. The method of claim 44, wherein the immunomodulatory compound is administered from about 1 day to about 3 days after the subject's exposure to an allergen.

49. The method of any one of claims 1, 2, 14, 27, and 39, wherein the immunomodulatory compound is wherein the immunomodulatory compound is 4-(amino)-2-(2,6-dioxo(3-piperidyl))-isoindoline-1,3-dione.

50. The method of claim 49, wherein the immunomodulatory compound is enantiomerically pure.

51. The method of any one of claims 1, 2, 14, 26, and 38, wherein the immunomodulatory compound is 3-(4-amino-1-oxo-1,3-dihydro-isoindol-2-yl)-piperidine-2,6-dione.

52. The method of claim 51, wherein the immunomodulatory compound is enantiomerically pure.

## List of Vaccines

Product or trade name	Antigen(s)	Manufacturer (country)
A.D.T	Diphtheria, tetanus (adsorbed)	Commonwealth (Australia)
A.K.D.S.	Diphtheria, tetanus, pertussis	
AC Vax	Meningococcus (polysaccharide)	GSK (U.K.)
Acel-Imune <sup>X</sup>	Diphtheria, tetanus, (acellular) pertussis	WYE (U.S.)
ACTAcel	Diphtheria, tetanus, pertussis, Hib	AVP (Argentina)
ActHIB	Haemophilus influenzae type b (PRP-T)	AVP (U.S.)
Aimmugen	Hepatitis A (inactivated)	Chemo-Sero-Therapeutic ReshInst (Japan)
Aldiana	Diphtheria (absorbed)	Sevac (Czechoslovakia)
Alditeana	Diphtheria, tetanus (absorbed)	Sevac (Czechoslovakia)
Alditerpera	Diphtheria, tetanus (adsorbed), pertussis	Sevac (Czechoslovakia)
Amaril	Yellow fever	AVP (France)
AMC	Haemophilus influenzae, type b	
Anadifterall	Diphtheria (adsorbed)	CHIR (Italy)
Anatetall	Tetanus (adsorbed)	CHIR (Italy)
Arilvax	Yellow fever	MEDI (U.K.)
Attenuvax <sup>X</sup>	Measles (live, further attenuated)	MRK (U.S.)
AVAC-1, AVA	Anthrax	
AVAXIM	Hepatitis A	
B-CAPSA <sup>X</sup>	Haemophilus influenzae type b (polysaccharide, 1987 to 1989)	Mead Johnson (U.S.)
BayGam	Human immunoglobulin	Bayer Corporation (U.S.)
BayHep B	Hepatitis B immune globulin (human)	Bayer Corporation (U.S.)
BayRab	Rabies immune globulin	Bayer Corporation (U.S.)
BayTet	Tetanus immune globulin (human)	Bayer Corporation (U.S.)
BCG	Tuberculosis	Multiple manufacturers and countries
Begrivac	Influenza (split virus)	CHIR (Germany)
Biavax II <sup>X</sup>	Rubella, mumps (live)	MRK (U.S.)
Biavax <sup>X</sup>	Rubella, mumps (live)	MRK (U.S.)
BIG	Botulism immune globulin (not a vaccine)	
Biken-HB	Hepatitis B (recombinant)	BIK (Japan)
Bimmugen	Hepatitis B (recombinant, adsorbed, yeast derived)	Chemo-Sero-Therapeutic Resh Inst (Japan)

FIG. 1

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Product or trade name	Antigen(s)	Manufacturer (country)
BioThrax	Anthrax (adsorbed)	BPT (U.S.)
Biviraten Berna	Measles, mumps (live)	BER (Switzerland)
BVAC	Botulinum antitoxin	(for U.S. military use)
C.D.T.	Diphtheria, tetanus (pediatric, adsorbed)	Commonwealth (Australia)
Celluvax	Pertussis (acellular)	CHIR (Italy)
Cendevax <sup>x</sup>	Rubella (live) 3/70 to 1976	RIT/SmithKline & French (U.S.)
Certiva <sup>x</sup>	Diphtheria, tetanus, (acellular) pertussis	Baxter Hyland (U.S.)
Cocqueluchneau	Pertussis (adsorbed)	AVP (France)
Comvax	Hepatitis B, <i>Haemophilus influenza</i> type b	MRK (U.S.)
Daptacel	Diphtheria, tetanus, (acellular) pertussis	AVP (U.S.)
D.S.D.P.T.	Diphtheria, tetanus, pertussis (adsorbed)	Dong Shin Pharm (Korea)
D.T. Bis Rudivax	Diphtheria, tetanus, rubella	AVP (France)
Di Te Per Pol Impfstoff	Diphtheria, tetanus, pertussis, polio	BER (Switzerland)
Di-Te-Pol	Diphtheria, tetanus, polio	Statens Serum Institut (Denmark)
Dif-Tet-All	Diphtheria, tetanus	CHIR (Italy)
DIFTA VAX	Diphtheria, tetanus, polio	
DiTe Anatoxal	Diphtheria, tetanus (adsorbed)	BER (Switzerland)
Ditoxim	Diphtheria, tetanus (adsorbed)	Dong Shin Pharm (Korea)
Double Anigen B.I.	Diphtheria, tetanus	Bengal Immunity Co (India)
Dryvax	Smallpox	WYE (U.S.)
DT	Diphtheria, tetanus (for pediatric use)	AVP (U.S.)
DT <sup>x</sup>	Diphtheria, tetanus (for pediatric use)	WYE (U.S.)
DT TAB	Diphtheria, tetanus, <i>Salmonella typhi</i> , <i>Paratyphi A &amp; B</i>	AVP (France)
DTaP (generic)	Diphtheria, tetanus, (acellular) pertussis	AVP, WYE, GSK (U.S.)
DTwP (generic) <sup>x</sup>	Diphtheria, tetanus, (whole-cell) pertussis	AVP, WYE, GSK (U.S.)
Dual Antigen SII	Diphtheria, tetanus (adsorbed)	Serum Institute of India (India)
Ecolarix <sup>x</sup>	Measles, rubella (live)	RIT/SmithKline (U.S.)
eIPV	Polio (inactivated, enhanced potency)	AVP (U.S.)
Engerix-B	Hepatitis B	GSK (U.K., U.S.)
Epaxal Berna	Hepatitis A - virosomal vaccine	BER (Switzerland)
Erhevax RA 27/3	Rubella (live)	GSK (Belgium)
Flu Shield <sup>x</sup>	Influenza	WYE (U.S.)

FIG. 1 (Cont.)

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Product or trade name	Antigen(s)	Manufacturer (country)
Fluad, Agrippal-S I	Influenza	CHIR (Italy)
FluMist	Influenza (live, attenuated, intranasal)	MEDI (U.S.)
Fluogen	Influenza	PD (U.S.)
Fluvirin	Influenza	EVN (U.S.)
Fluzone	Influenza	AVP (U.S.)
Funed-CEME	Diphtheria, tetanus, pertussis	Belo Horizonte (Brazil)
GenHevac B Pasteur	Hepatitis B	
Gunevax	Rubella	CHIR (Italy)
Havrix	Hepatitis A	GSK (U.K., U.S.)
H-BIG	Hepatitis B immune globulin	NABI, Bayer Corporation (U.S.)
HbOC	Chemical abbreviation for HibTITER	WYE (U.S.)
HBY	Hepatitis B (recombinant)	KGC (Japan)
Heprecomb	Hepatitis B (yeast derived)	BER (Switzerland)
Heptavax B <sup>x</sup>	Hepatitis B (plasma-derived) 1982 to	MRK (U.S.)
Hevac B	Hepatitis B (plasma derived)	AVP (France)
Hexavac	Diphtheria, tetanus, pertussis, polio, hepatitis B, Hib	AVP (Europe)
HibTITER	<i>Haemophilus influenzae</i> type b (HbOC)	WYE (U.S.)
Hinkuys karokoe	Pertussis (adsorbed)	Natl. Public Health Institute (Finland)
HPV-77; DK-5	Rubella (live) 1969-1979	MRK (U.S.)
HPV-77; DK-12	Rubella (live) 19704973	MRK (U.S.)
HRIG	Rabies immune globulin	AVP; Bayer Corporation (U.S.)
Humotet-anti Tetanus	Tetanus	Wellcome (U.K.)
Hyper-Tet (now called "BayTet")	Tetanus immune globulin	Bayer Corporation (U.S.)
IBV	Polio (inactivated)	Statens Serum Institut (Denmark)
Immune Globulin Intramuscular (Human)	Broad-spectrum immune globulins	MA, BPT, New York Blood Ctr, Bayer Corporation, CEN (U.S.)
Imogam Rabies - HT	Rabies immune globulin	AVP (U.S.)
Imovax	Rabies	AVP (U.S.)
Imovax Parotiditis	Mumps	AVP (France)
Imovax Polio	Polio	AVP (France)
Imovax Sarampion	Measles	AVP (France)
Imovax D.T.	Diphtheria, tetanus	

FIG. 1 (Cont.)

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Product or trade name	Antigen(s)	Manufacturer (country)
Imovax Gripe	Influenza	
Imovax R.O.R.	Measles, rubella, mumps (live)	AVP (France)
Imovax Rubeola	Measles	AVP (International)
Imovax Mumps	Mumps	
Imovax Oreilions	Mumps	AVP (France)
Imovax Rabies I.D.	Rabies vaccine (HDCV)	AVP (U.S.)
Imovax Rabies I.M.	Rabies vaccine (HDCV)	AVP (U.S.)
Infanrix	Diphtheria, tetanus, (acellular) pertussis	GSK (Belgium, U.S.)
Ipad TP	Tetanus, polio	AVP (France)
IPOL	Polio (enhanced potency, inactivated)	AVP (U.S.)
IPV	Polio (inactivated)	General term for inactivated polio vaccine
Istivac	Influenza	
JE-VAX	Japanese encephalitis	AVP (U.S.)
Kaksoisrokote Dubbelvaccin	Diphtheria, tetanus (adsorbed)	Natl. Public Health Institute (Finland)
Kikhoste-Vaksine	Pertussis	Statens Institutt for Folkehelse (Norway)
Lancy Vaxina <sup>x</sup>	Smallpox	Swiss Serum and Vaccine Institute (Switzerland)
Lavantuu tirokote	Typhoid	Central Pub Health Lab (Finland)
Liovax <sup>x</sup>	Smallpox	CHIR (Italy)
Lirubel <sup>x</sup>	Measles, rubella (live) 4/74 to 6/78	Dow/PitneyMoore (U.S.)
Lirugen	Measles	AVP ant' I)
Lirugen <sup>x</sup>	Measles (live) 2/65 to 6/78	Dow (U.S.)
LM - 3 RIT	Measles, mumps, rubella (live)	Dong Shin Pharm (Korea)
LM - 2 RIT	Measles, mumps (live)	Dong Shin Pharm (Korea)
LTEANAS Imuna	Tetanus (adsorbed)	Imuna sp. (Slovakia)
LYMEmrix <sup>x</sup>	Lyme disease	GSK (U.S.)
Lyovac Attenuvax <sup>x</sup>	Measles (live, attenuated)	IVIRK (U.S.)
Lyovac Meruvax <sup>x</sup>	Rubella (live)	MRK (U.S.)
M-R Vax II <sup>x</sup>	Measles, rubella (live)	MRK (U.S.)
M-Vax <sup>x</sup>	Measles (live) 5/63 to 1979	WYE (U.S.)
Masern-Impfstoff SSW	Measles (live)	
Measles Vaccine DK3 <sup>x</sup>	Measles (live) 1964 to 1972	Philips Roxane, Inc. (U.S.)

FIG. 1 (Cont.)

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Product or trade name	Antigen(s)	Manufacturer (country)
Measles <sup>x</sup>	Measles (inactivated) 1963 to 1966 Measles (live) 12/64 to 1974	Eli Lilly (U.S.)
Mencevax A	Meningococcus (polysaccharide) (Group A)	SmithKline/RIT (Belgium)
Meningitec	Meningococcus (conjugate) (Group C)	WYE (U.K., Australia)
Menomune-A/C/Y/W-135	Meningococcus (polysaccharide) (Groups A, C, Y, W435)	AVP (U.S.)
Menpovax 4	Meningococcus (polysaccharide) (Groups A & C)	CHIR (Italy)
Menpovax A+C	Meningococcus (Groups A & C)	CHIR (Italy)
Meruvax <sup>x</sup>	Rubella (live) 6/69 to	MRK (U.S.)
Meruvax II	Rubella (live)	MRK (U.S.)
Mevilin-L <sup>x</sup>	Measles (live)	Glaxo Operations
MMR <sup>x</sup>	Measles, mumps, rubella (live) 6/71 to	(U.S.)
MMR (generic) <sup>x</sup>	Measles, mumps, rubella (live) 4/74 to 6178	Dow Chemical (U.S.)
M-M-R II	Measles, mumps, rubella (live)	MRK (U.S.)
Moniarix	Pneumococcal (polysaccharide)	SmithKline/RIT (Belgium)
Mopavac Sevac	Measles, mumps attenuated (live, )	Institute of Sera and vaccines Czechoslovakia
MOPV <sup>x</sup>	Polio (live, Sabin, monovalent types I, II, III)	WYE (U.S.)
Morbilvax	Measles (live, attenuated)	CHIR (Italy)
Morubel	Measles, rubella (live, attenuated)	CHIR (Italy)
Moruman Berne	Measles immunoglobulin	BER (Switzerland)
Morupar	Measles, mumps, rubella (live, attenuated)	CHIR (Italy)
Movivac	Measles (live, attenuated)	
M-R VAX <sup>x</sup>	Measles, rubella (live) 7/71 to	MRK (U.S.)
Mumaten Berne	Mumps (live)	BER (Switzerland)
Mumps (generic) <sup>x</sup>	Mumps (live) 4/74 to 6178	Dow Chemical (U.S.)
Mumps (generic) <sup>x</sup>	Mumps (inactivated)1950 to 1978	WYE (U.S.)
Mumps (generic) <sup>x</sup>	Mumps (inactivated)1950 to 1977	Eli Lilly (U.S.)
Mumpsvax <sup>x</sup>	Mumps (live)	MRK (U.S.)
Mutagrip	Influenza	
Nabi-HB	Hepatitis B immune globulin	NABI (U.S.)
Nothav	Hepatitis A	CHI (Italy)
OmniHIB <sup>x</sup>	<i>Haemophilus influenzae</i> type b (PRP-T)	GSK, AVP (U.S.)
OPV	General term for oral polio vaccine	

FIG. 1 (Cont.)

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Product or trade name	Antigen(s)	Manufacturer (country)
Orimune <sup>x</sup>	Polio vaccine (oral, trivalent)	WYE (U.S.)
Pariorix	Mumps (live)	SmithKline/RIT (Belgium)
Pavivac-Sevac	Mumps (live)	Institute of Immunology (Croatia)
PCV, PCV7	General term for pneumococcal conjugate (7-valent)	
Pediarix	Diphtheria, tetanus, (acellular) pertussis, hepatitis B, IPV	GSK (U.S.)
PedvaxHIB	<i>Haemophilus influenzae</i> type b (PRP-OMP)	MRK (U.S.)
Penta	Diphtheria, tetanus, (acellular) pertussis, Hib, IPV	AVP (Canada)
Pentacel	Diphtheria, tetanus, pertussis, polio, Hib	AVP (Canada)
Pentacoq	Diphtheria, tetanus, pertussis, polio, Hib	
PENTAct-HIB	Diphtheria, tetanus, pertussis, polio, Hib	
Pentavac	Diphtheria, tetanus, pertussis, polio, Hib	
Pentavalente	Diphtheria, tetanus, pertussis, hepatitis B, Hib	
Pfizer Vax-Measles K <sup>x</sup>	Measles (inactivated) 3/63 to 1970	Pfizer (U.S.)
Pfizer Vax-Measles L <sup>x</sup>	Measles (live) 2/65 to 1970	Pfizer (U.S.)
Pluserix	Measles, mumps, rubella	
Pneumovax 23	Pneumococcal (polysaccharide)	MRK (U.S.)
PNU-IMUNE 23 <sup>x</sup>	Pneumococcal (polysaccharide)	WYE (U.S.)
POLIAce1	Diphtheria, tetanus, pertussis, polio, HIB	AVP (Argentina)
PPV, PPV23	General term for pneumococcal polysaccharide (23-valent)	
Prevnar	Pneumococcal (7-valent, conjugate)	WYE (U.S.)
Priorix	Measles, mumps, rubella (live)	GSK (U.K.)
ProHIBiT <sup>x</sup>	<i>Haemophilus influenzae</i> type b (PRP-D)	AVP (U.S.)
PRP-OMP	Chemical abbreviation for PedvaxHIB	
PRP-T	Chemical abbreviation for ActHIB	
Purivax <sup>x</sup>	Polio (inactivated) 1956 to 1965	MRK (U.S.)
QUADRAcel	Diphtheria, tetanus, pertussis, polio	AVP (Argentina)
QUADRAcel/Hibest	Diphtheria, tetanus, pertussis, polio, Hib	AVP (Argentina)
QuadriGen <sup>x</sup>	DTP + polio (1959-1968)	PD (U.S.)
Quattro-Virelon	Diphtheria, tetanus, polio	CHI (Germany)
Quintuple	Diphtheria, tetanus, pertussis, Hib, Polio	GSK (Mexico)
R-HB Vaccine	Hepatitis B (recombinant)	Mitsubishi Chem Corp (Japan)

FIG. 1 (Cont.)

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Product or trade name	Antigen(s)	Manufacturer (country)
R-VAC	Rubella (live)	Serum Institute (India)
RA27/3	Rubella (live)	MRK (U.S.)
RabAvert	Rabies (PCEC)	CHI (U.S.)
Recombivax HB	Hepatitis B (recombinant)	MRK (U.S.)
Respigam, RSV-IVIG	Respiratory syncytial virus immune globulin (not a vaccine)	MEDI (U.S.)
RIG (generic)	Rabies immune globulin	Bayer Corporation, AVP (U.S.)
Rimevax	Measles (live)	SmithKline/RIT (Belgium)
Rimparix	Measles (live)	SmithKline/RIT
RIT - LM-2	Measles, mumps (live)	Dong Shin Pharm (Korea)
MT - LM-3	Measles, mumps, rubella (live)	Dong Shin Pharm (Korea)
RotaShield, RRV-TV <sup>x</sup>	Rotavirus – 8/98 to 7/99	WYE (U.S.)
Rouvax	Measles (live, attenuated)	AVP (France)
Rubeaten Berna	Rubella (live)	BER (Switzerland)
Rubella (generic) <sup>x</sup>	Rubella (live) 12/69 to 1972	Philips Roxane (U.S.)
Rubellovac	Rubella	CHIR (Germany)
Rubelogen <sup>x</sup>	Rubella (live) 12/69 to 1972	PD (U.S.)
Rubeovax <sup>x</sup>	Measles (live) 2/63 to 1971	MRK (U.S.)
Rudi-Rouvax	Measles, rubella (live)	AVP (France)
Rudivax	Rubella (live, attenuated)	AVP (France)
RVA (generic)	Rabies vaccine adsorbed	BP (U.S.)
Sabin	General term for oral (live) polio vaccine	
Sahia	Polio (live, oral)	Multiple manufacturers
Salk	General term for injectable (inactivated) polio vaccine	
Sandovac	Influenza	
Serobacterin <sup>x</sup>	Pertussis — 1945 to 1954	MRK (U.S.)
Sii Triple Antigen	Diphtheria, tetanus, pertussis	Serum Institute (India)
Stamaril	Yellow fever (live, attenuated)	AVP (France)
Synagis (palizivumab)	Respiratory syncytial virus immune globulin (not a vaccine)	MEDI (U.S.)
T. Polio	Tetanus toxoid, polio	AVP (Canada)
T.A.B.	Typhoid, paratyphoid (A & B)	- Institute Pasteur (Tunisia) - Pharmaceutical Industries Corp. (Burma)

FIG. 1 (Cont.)

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Product or trade name	Antigen(s)	Manufacturer (country)
T-immun	Tetanus (adsorbed)	
Td (generic)	Tetanus, diphtheria (adult formulation)	AVP, BP (U.S.)
Te/Vac/Ptap	Tetanus	
Te Anatoxal	Tetanus	BER (Europe)
Telvacptap	Tetanus	
Tetagrip	Tetanus, influenza	AVP (France)
Tetamun SSW	Tetanus nonadsorbed (fluid, )	Veb Sachsisches Serumwerk German
Tetamyn	Tetanus	Bioclon, S.A. De C.V. (Mexico)
Tetanol	Tetanus (adsorbed)	CHIR (Germany)
Tetasorbat SSW	Tetanus (adsorbed)	Veb Sachsisches Serumwerk (Germany)
Tetavax	Tetanus (adsorbed)	AVP (France)
Tetraoco 05	Diphtheria, tetanus, pertussis, polio	AVP (France)
TetrAct-HIB	Diphtheria, tetanus, pertussis, Hib	
Tetramune <sup>x</sup>	Diphtheria, tetanus, pertussis, Hib	WYE (U.S.)
Tetravac <sup>x</sup>	Diphtheria, tetanus, pertussis, polio -1959 to1965	MRK (U.S.)
Tice BCG	Bacillus Calmette-Gudrin vaccine (for TB)	OTC (U.S.)
TIG	Tetanus immune globulin (generic)	Bayer Corporation (U.S.)
TOPV	Trivalent oral polio vaccine	Multiple manufacturers and countries
Titifica	Typhoid and para typhoid	
Tresivac Lyophilized	Measles, mumps, rubella	Serum Institute (India)
Triacel	Diphtheria, tetanus, (acellular) pertussis	
Triacellularvax	Diphtheria, tetanus, (acellular) pertussis	CHIR (Europe)
TriHIBit	Diphtheria, tetanus, (acellular) pertussis, Hib	AVP (U.S.)
Tri-Immunol <sup>x</sup>	Diphtheria, tetanus, pertussis	WYE (U.S.)
Trimovax	Measles, mumps, rubella (live)	AVP (France)
Trinivac <sup>x</sup>	Diphtheria, tetanus, pertussis - 1952 to 1964	MRK (U.S.)
Tripacel	Diphtheria, tetanus, (acellular) pertussis	
Tripedia	Diphtheria, tetanus, (acellular) pertussis	AVP (U.S.)
Triple antigen	Diphtheria, tetanus, pertussis	- Chowgule & Co. (India) - CSL Limited (Australia)
Triple Sabin	Polio (live, oral)	
Triple	Diphtheria, tetanus, pertussis	

FIG. 1 (Cont.)

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Product or trade name	Antigen(s)	Manufacturer (country)
Triple Viral	Measles, mumps, rubella	
Trivacuna Leti	Diphtheria, tetanus (adsorbed), pertussis	Laboratory Leti (Spain)
Trivax	Diphtheria, tetanus (plain), pertussis	Wellcome (U.K.)
Trivax-ad	Diphtheria, tetanus (adsorbed), pertussis	- EVN (UK) - Wellcome (UK)
Trivax-Hib	Diphtheria, tetanus, pertussis, Hib	GSK (UK)
Trivb	Diphtheria, tetanus, pertussis	
Triviraten	Measles, mumps, rubella (live, attenuated)	BER (Switzerland)
Trivivac <sup>x</sup>	Diphtheria, tetanus, pertussis	MRK (U.S.)
Trivivac Sevac	Measles, mumps, rubella (live, attenuated)	Institute of Sera & Vaccines (Czechoslovakia)
TT	Tetanus toxoid (generic)	AVP (U.S.)
TT vaccine	Tetanus toxoid (adsorbed)	
Tussitrupin Forte	Pertussis	Staatliches Institut (Germany)
Twinrix	Hepatitis A & B (adult formulation)	GSK (U.K., U.S.)
Twinrix Junior	Hepatitis A & B (pediatric formulation)	GSK (U.S.)
Ty21a (Vivotif Berna)	Typhoid (live, oral, lyophilized)	BER (Switzerland)
Tyne	Tuberculosis (BCG)	Sweden
Typherix	Typhoid	GSK (U.K.)
Typhim Vi (ViCPs)	Typhoid (parenteral, injectable)	AVP (U.S., France)
Typhoid Vaccine <sup>x</sup>	Typhoid (inactivated, parenteral)	WYE (U.S.)
Typhopara-typhoidique	Typhoid and para typhoid	
VA-Mengoc-BC	Meningococcal (Groups B & C)	Finlay Vacunas y Seros Centro de Investigation (Cuba)
Vaccin Difteric Adsorbit	Diphtheria toxoid (adsorbed)	Cantacuzino Institute (Romania)
Vaccin Combinat Diftero-Tetanic	Diphtheria, tetanus (adsorbed)	Cantacuzino Institute (Romania)
Vaccinum Morbillorum Vivum	Measles (live)	Moscow Research Institute (Russia)
Vacina Triplice Viral	Measles, mumps, rubella	
Vacina Triplice	Diphtheria, tetanus, pertussis	Instituto Butantan (Brazil)
Vacina Dupla	Diphtheria, tetanus	Instituto Butantan (Brazil)
Vaksin Cacar	Smallpox	
Vaksin Serap	Diphtheria, tetanus, pertussis	Perum Bio Farma (Indonesia)
Vaksin Campak Kerig	Measles (live, attenuated)	Pasteur Institute (Indonesia)
Vaksin Kotipa	Cholera, typhoid and paratyphoid A, B & C	Perum Bio Farina (Indonesia)

FIG.1 (Cont.)

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Product or trade name	Antigen(s)	Manufacturer (country)
Vamoavax	Measles, mumps (live)	Institute of Immunology (Croatia)
Vaqta	Hepatitis A (inactivated)	MRK (U.S.)
Varicellon	Varicella zoster immunoglobulin	Behringwerke Aktiengesellschaft (Germany)
Varie	Smallpox (lyophilized)	Institute of Sera and Vaccine (Czechoslovakia)
Varilrix	Varicella (live, Oka strain)	GSK (Australia, Belgium)
Varivax	Varicella (live)	MRK (U.S.)
Vaxem-Hib	<i>Haemophilus influenzae</i> type b	CHIR (Italy)
Vaxicoq	Pertussis (adsorbed)	AVP (France)
Vaxigrip	Influenza	
Vaxipar	Mumps (live)	CHIR (Italy)
VCDT	Diphtheria, tetanus	Cantacuzino Institute (Romania)
VDA Vaccin Difteric Adsorb it	Diphtheria	Cantacuzino Institute (Romania)
ViCPs (Typhim Vi)	Typhoid (inactivated, injectable)	AVP (U.S.)
VIG	Variola (smallpox) immune globulin (not a vaccine)	Distributed by CDC
Virelon T 20	Polio (live, oral, trivalent)	Behringserke Aktiengesellschaft (Germany)
Virovac Massling, Perofid, Rubella	Measles, mumps, rubella	
Vivotif Berna (Ty21a)	Typhoid (oral, live)	BER (Switzerland)
VT (Vacina Triplice)	Diphtheria, tetanus, pertussis	Instituto Butantan (Brazil)
VTV (Vacina Triplice Viral)	Measles, mumps, rubella	
VVR	Measles (live, attenuated)	Cantacuzino Institute (Romania)
VZIG	Varicella zoster immune globulin (generic)	MA (U.S.)
Welltrivax trivaleente	Diphtheria, tetanus, pertussis	
YF-VAX	Yellow fever	AVP (U.S.)
Zaantide	Diphtheria anti-toxin	Inst. of Immunology (Croatia)
Zaantite	Tetanus anti-toxin	Inst. of Immunology (Croatia)
Zaditeadvax	Diphtheria, tetanus	Inst. of Immunology (Croatia)
Zaditevax	Diphtheria, tetanus	Inst. of Immunology (Croatia)
Zamevax A+C	Meningococcus (polysaccharide, Groups A & C)	Inst. of Immunology (Croatia)
Zamovax	Measles (live)	Inst. of Immunology (Croatia)

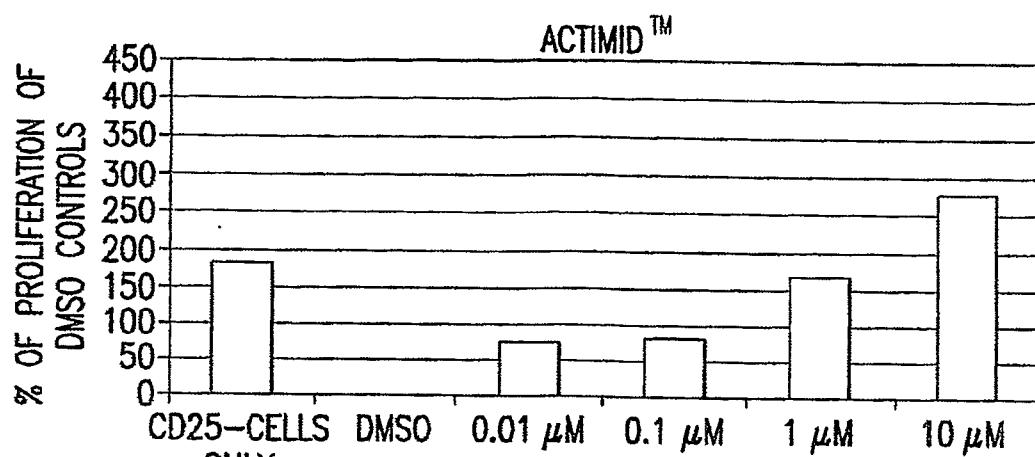
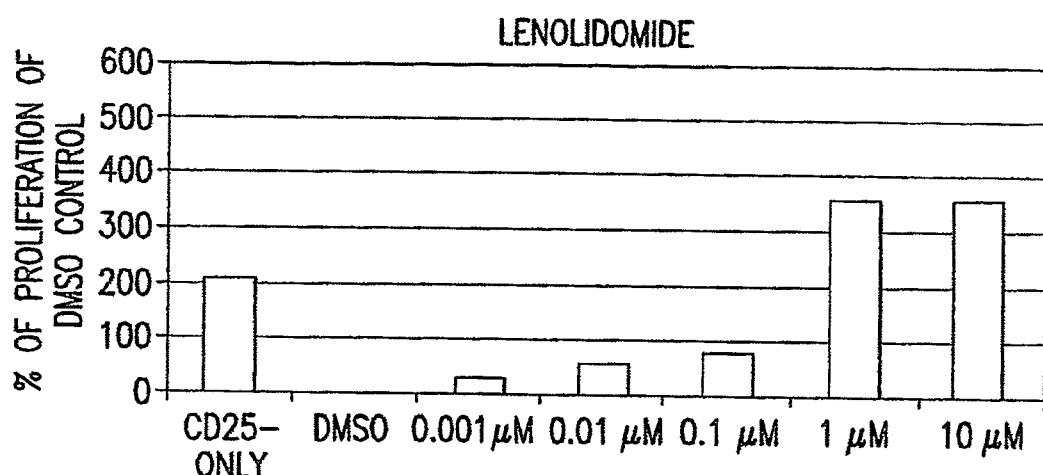
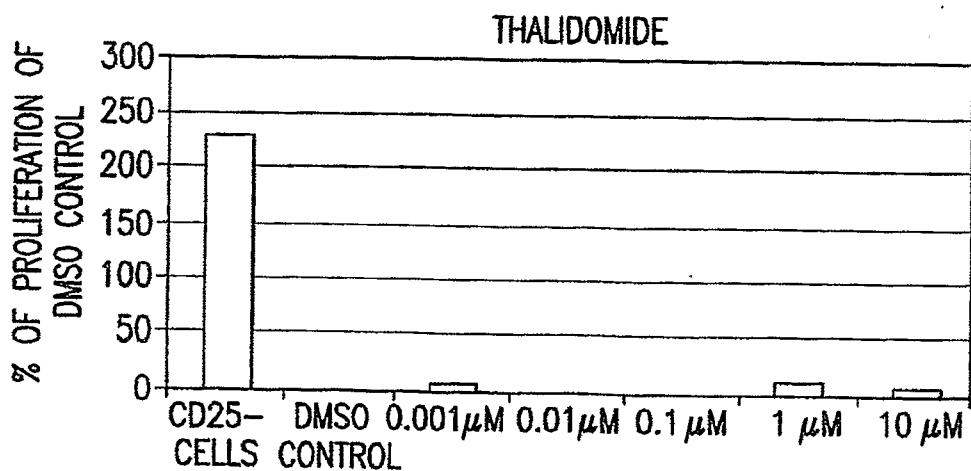
FIG. 1 (Cont.)

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Product or trade name	Antigen(s)	Manufacturer (country)
Zamruvax	Measles, rubella (live)	Inst. of Immunology (Croatia)
Zaruvax	Rubella (live)	Inst. of Immunology (Croatia)
Zafet travax	Diphtheria, tetanus, pertussis, parapertussis	Inst. of Immunology (Croatia)
Zatevax	Tetanus	Inst. of Immunology (Croatia)
Zatribavax	Diphtheria, tetanus, pertussis	Inst. of Immunology (Croatia)
Zatrivax	Measles, rubella, mumps (live)	Inst. of Immunology (Croatia)

**FIG. 1 (Cont.)**

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**FIG.2A****FIG.2B****FIG.2C**

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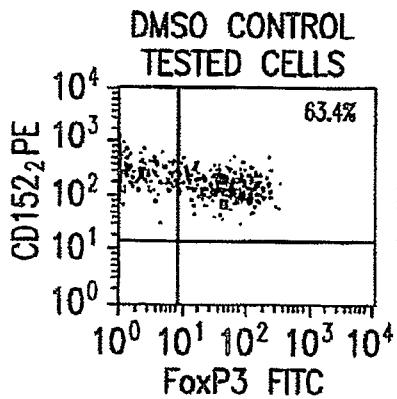


FIG. 3A

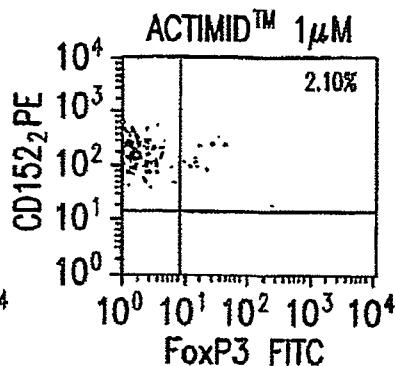


FIG. 3B

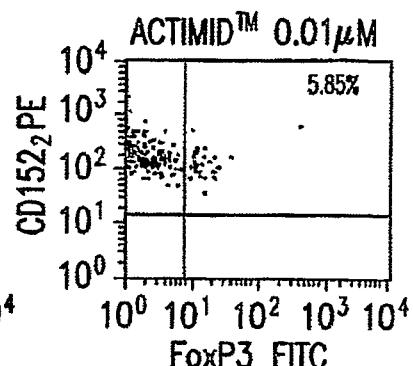


FIG. 3C

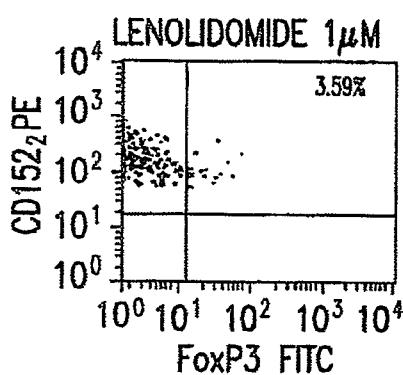


FIG. 3D

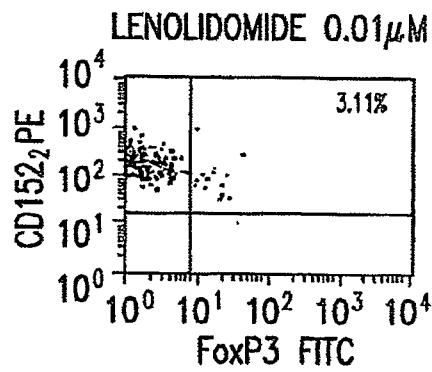


FIG. 3E

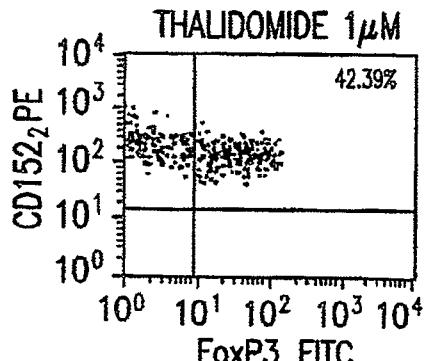


FIG. 3F

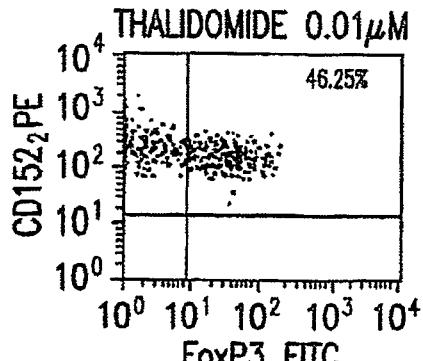


FIG. 3G

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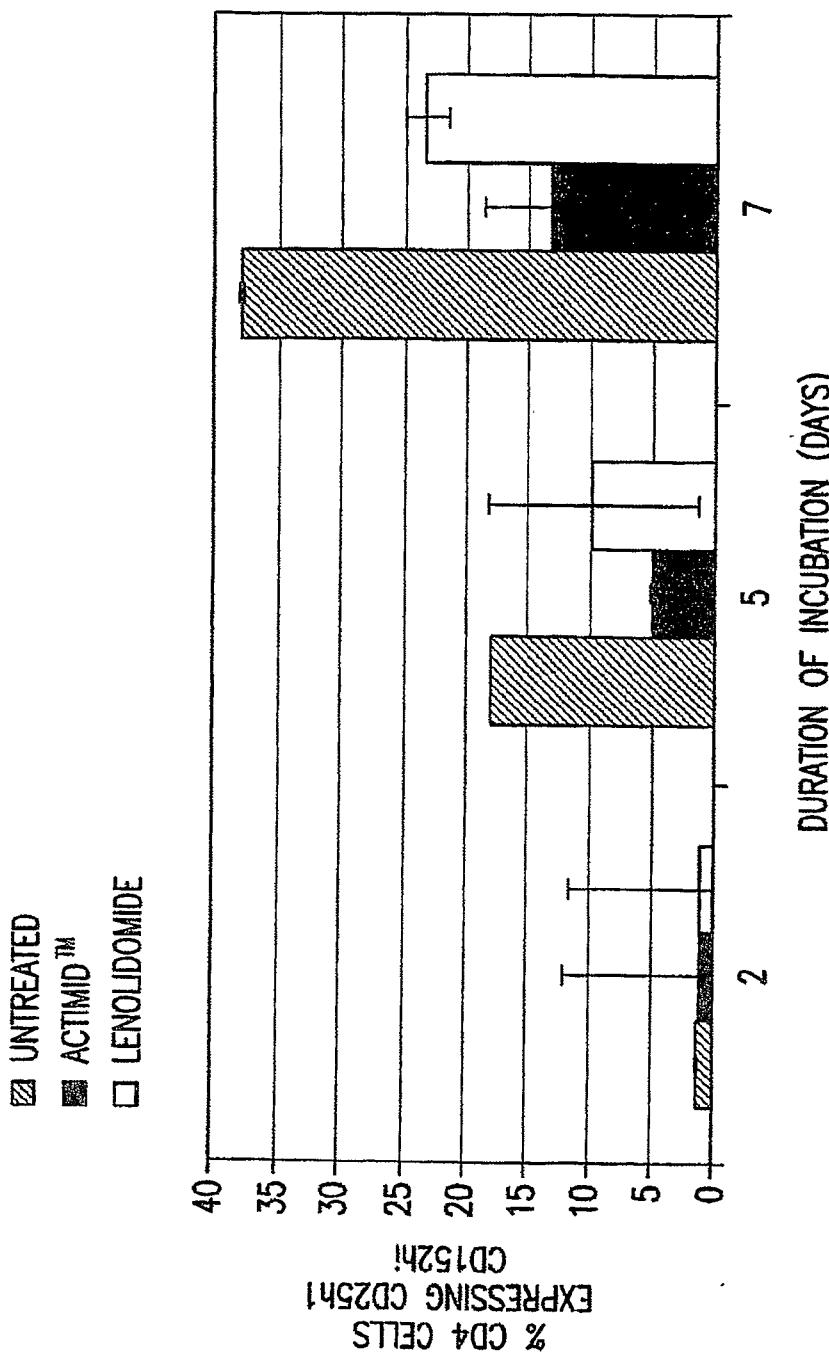


FIG. 4

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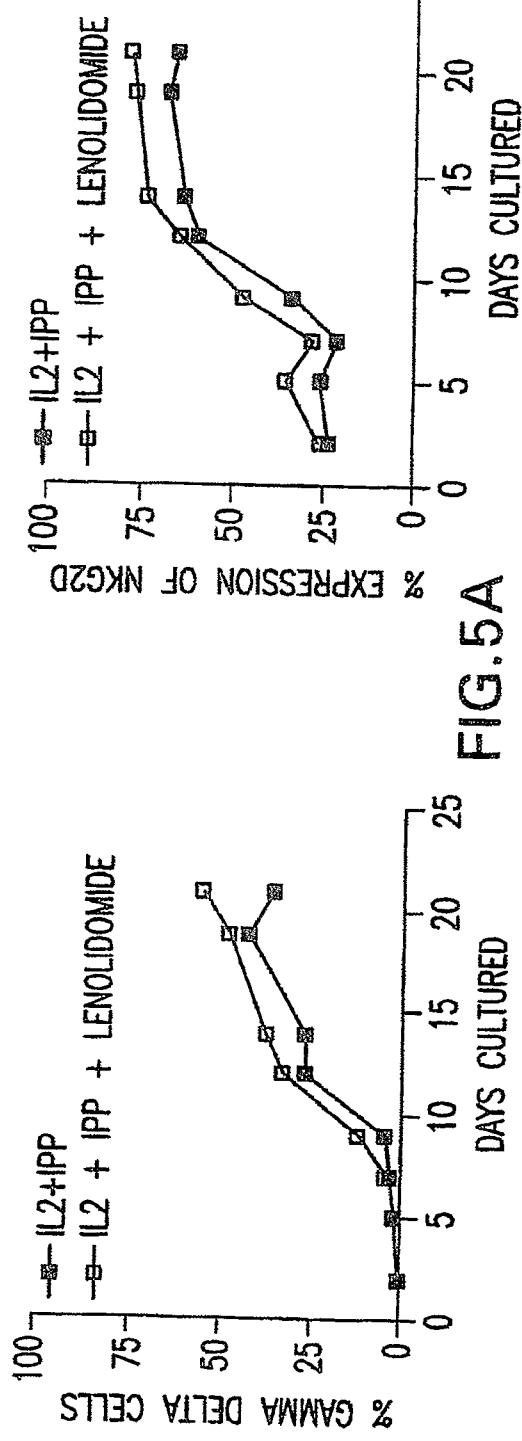


FIG. 5A

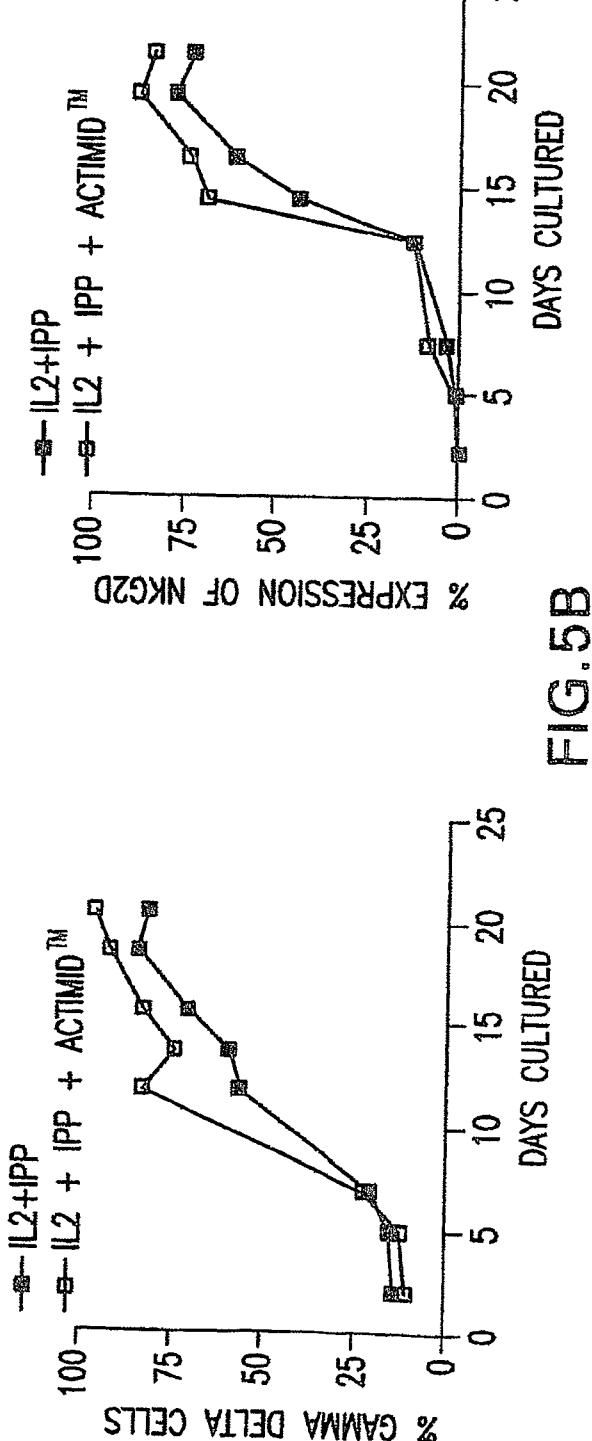
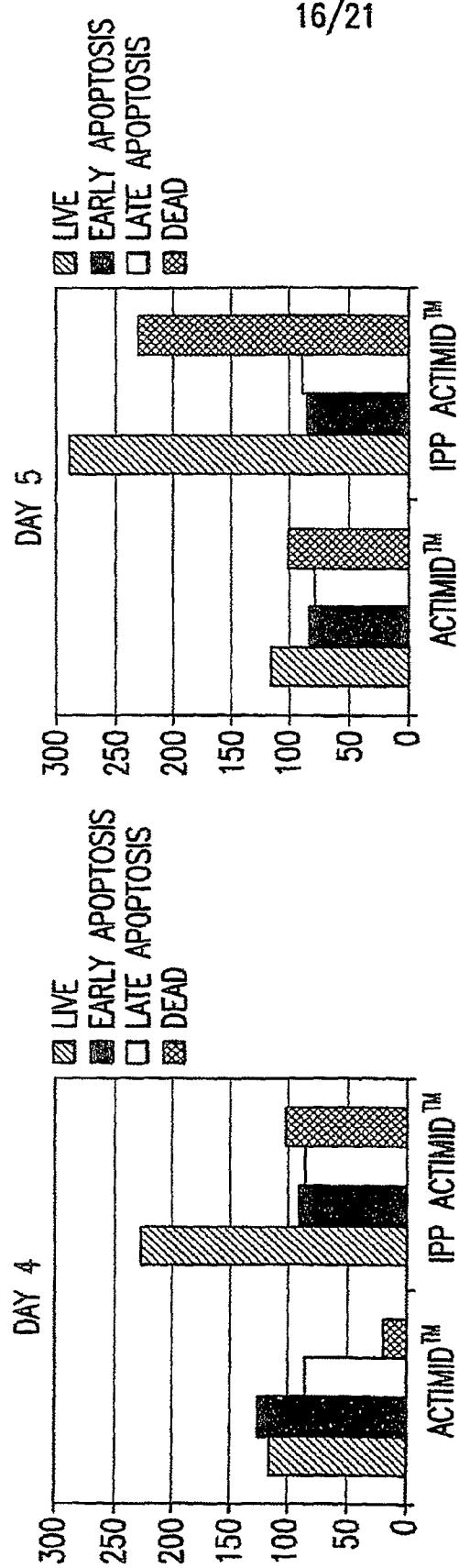


FIG. 5B

FIG. 5C

FIG. 5D

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FIG. 6A  
FIG. 6B

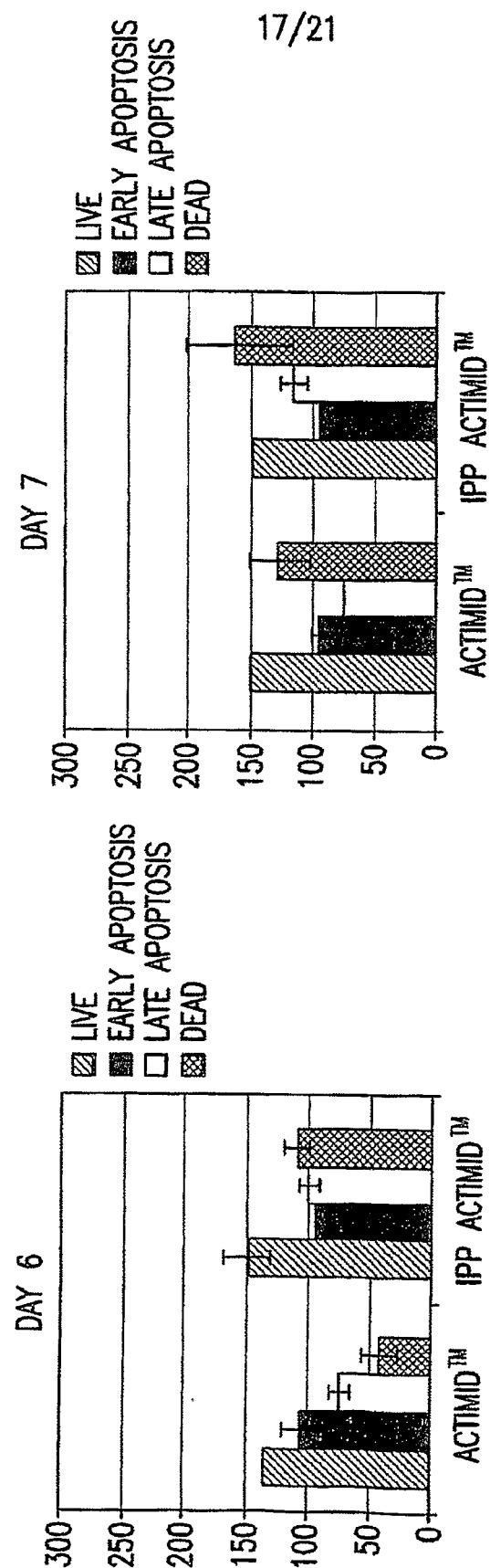


FIG. 6C  
FIG. 6D

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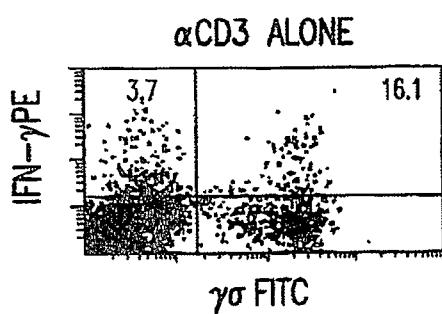


FIG. 7A

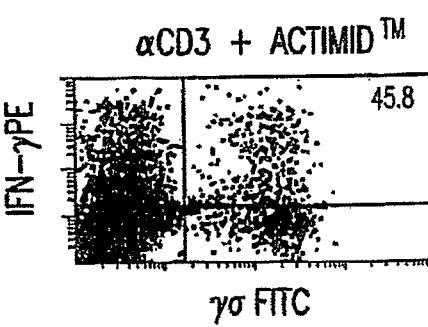


FIG. 7B

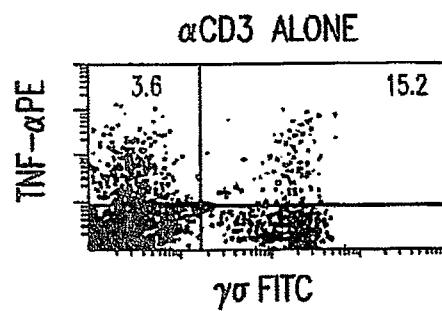


FIG. 7C

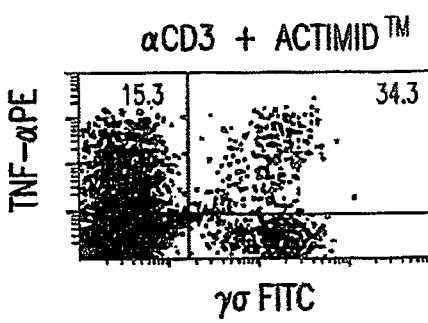


FIG. 7D

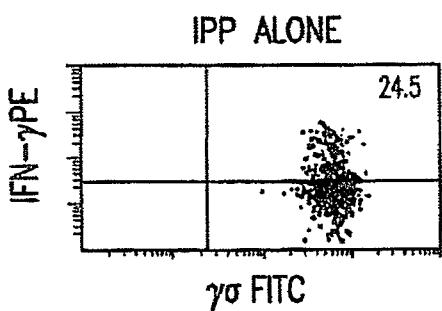


FIG. 7E

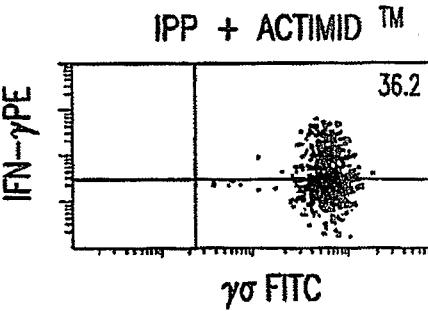


FIG. 7F

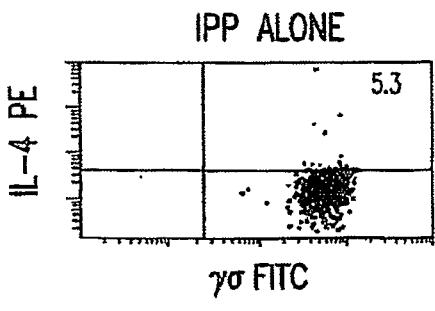


FIG. 7G

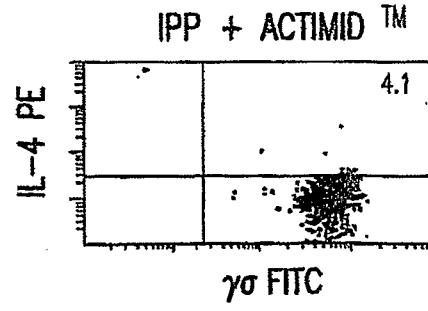
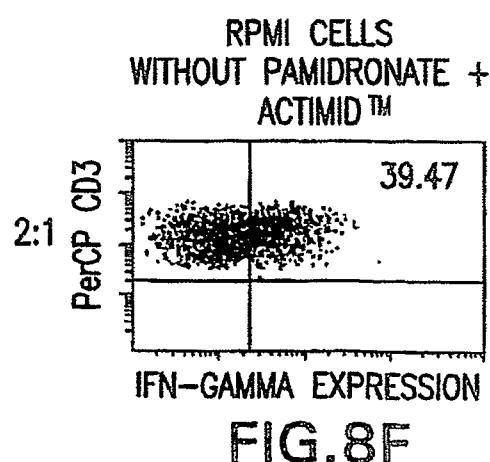
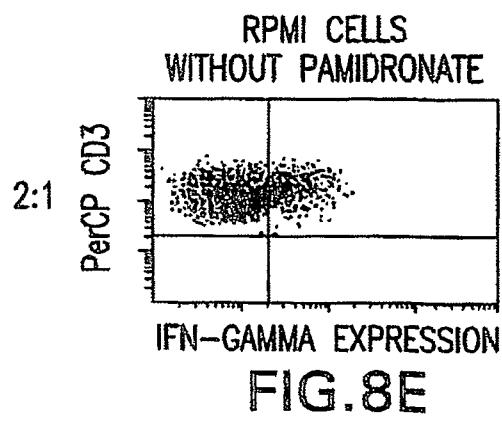
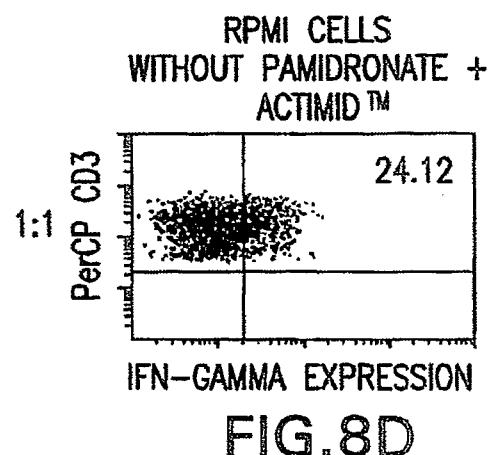
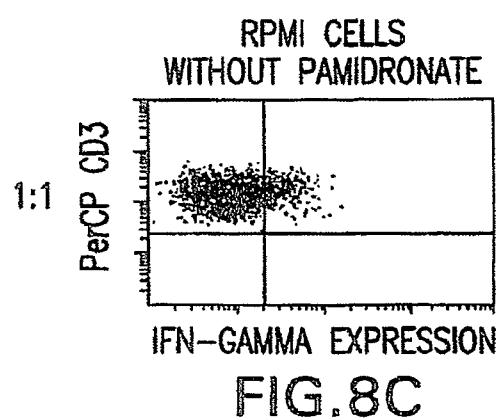
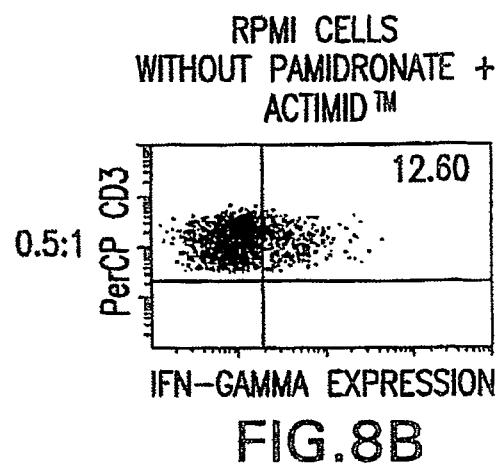
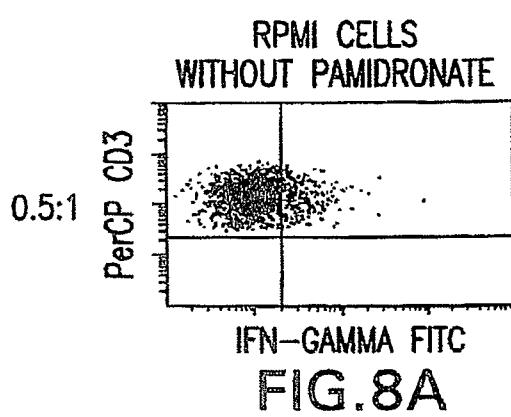


FIG. 7H

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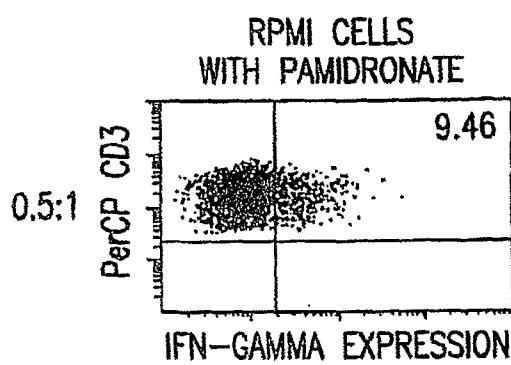


FIG. 8G

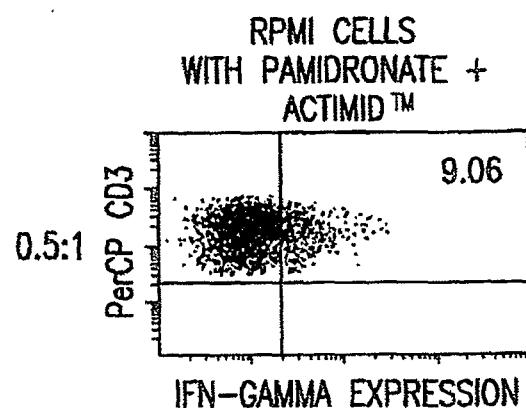


FIG. 8H

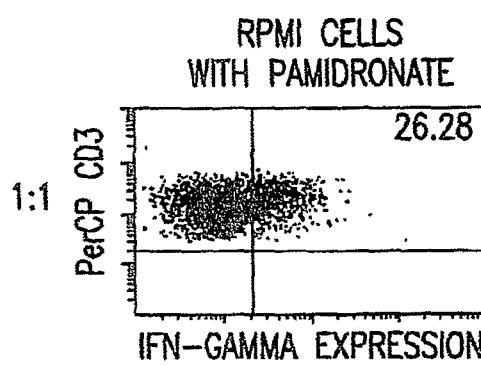


FIG. 8I

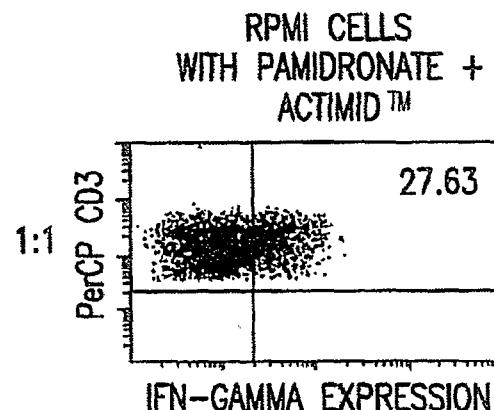


FIG. 8J

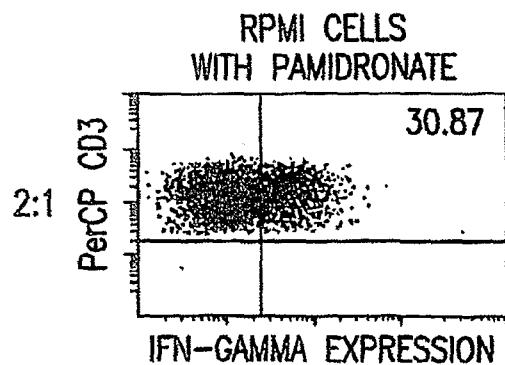


FIG. 8K

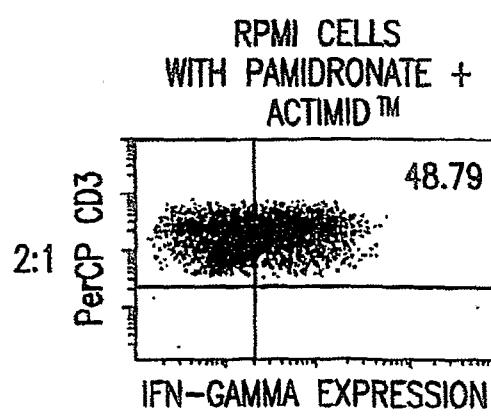


FIG. 8L

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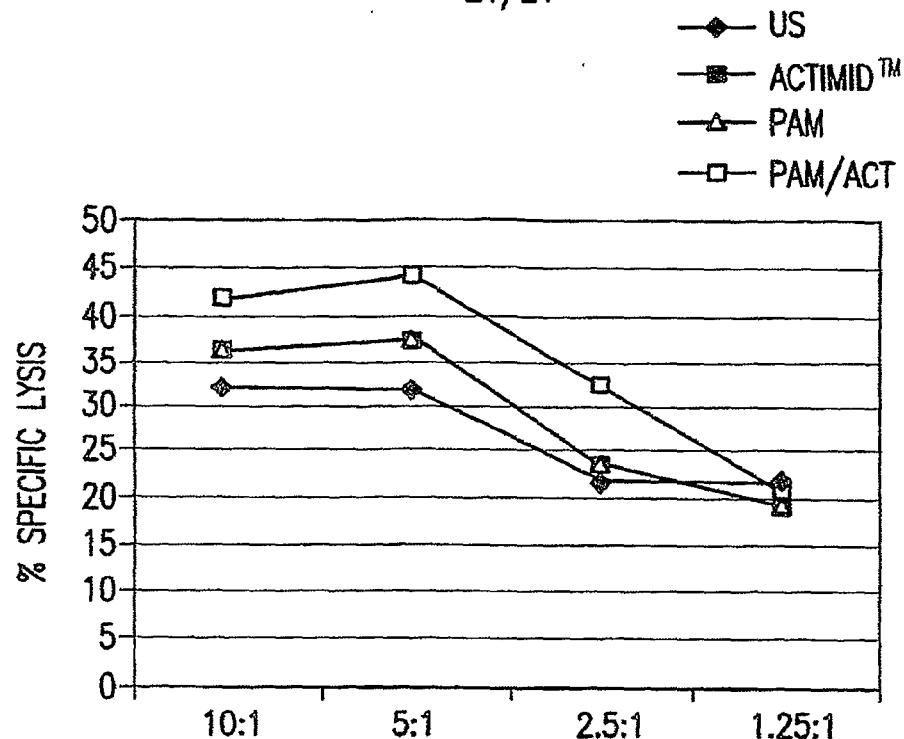


FIG. 9A

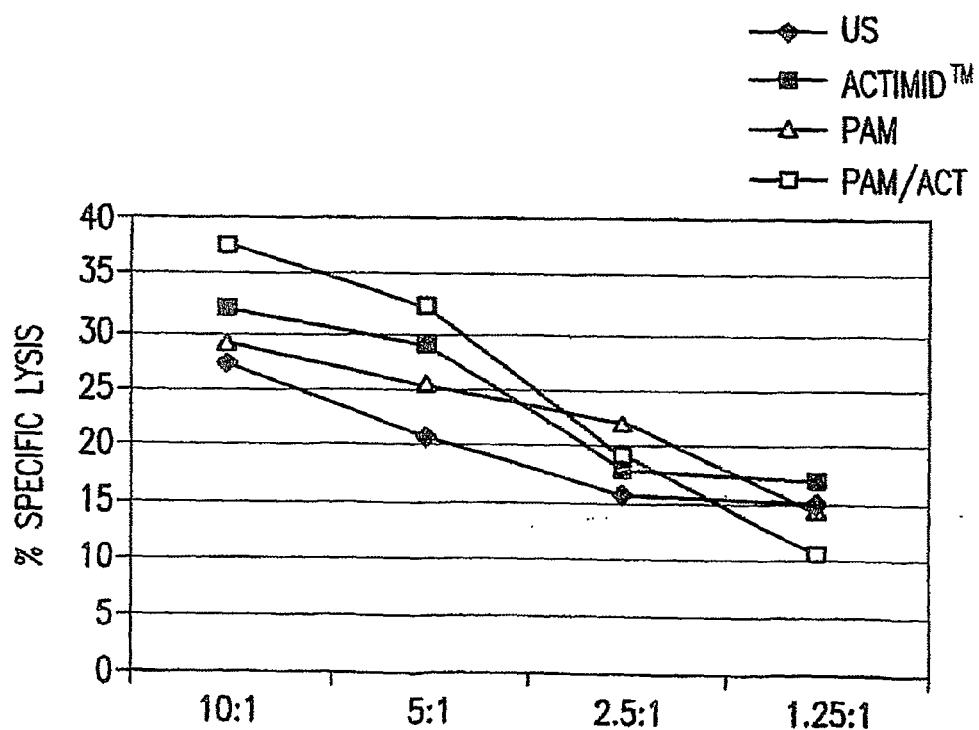


FIG. 9B