An intervertebral disc prosthesis includes upper and lower rigid endplates and an elastomeric core structure located between the endplates and attached thereto, wherein the elastomeric core structure has a centroid positioned posterior to a centroid of at least one of the endplates.
FIG. 4

FIG. 5
ELASTOMERIC INTERVERTEBRAL DISC PROSTHESIS

CROSS REFERENCE TO RELATED APPLICATIONS

[0001] This application is a division of U.S. application Ser. No. 11/334,423 filed Jan. 19, 2006. This application also claims the benefit of U.S. Provisional Application No. 60/644,527, filed Jan. 19, 2005, the entire disclosure of which is incorporated herein by reference, and the benefit of U.S. Provisional Application No. 60/693,430, filed Jun. 24, 2005, the entire disclosure of which is incorporated herein by reference.

BACKGROUND OF THE INVENTION

[0002] 1. Field of the Invention

[0003] This invention relates to intervertebral disc prostheses and more particularly to intervertebral disc prostheses having rigid endplates and an elastomeric core.

[0004] 2. Background Art

[0005] Low back pain is a very common pathological condition, affecting approximately 80% of the general population at some time. Although most of patients experience the painful symptoms only occasionally and recover fully, approximately 10% of these patients come to experience chronic and disabling low back pain in spite of various medical treatments.

[0006] The most common cause of chronic disabling low back pain is degeneration of one or more of the intervertebral discs that are positioned between the vertebrae of the spine and permit the various natural movements of the spinal column. Such degenerative disc disease (DDD) may become intractable to non-surgical treatment and have to be treated by surgical intervention. Spinal fusion has been a traditional and generally effective treatment method for chronic disabling low back pain that is not responding to non-operative treatments. More recently, alternative treatments involving replacement of the entire disc or its nucleus have been developed for treatment of discogenic pain.

[0007] The first generation of prostheses for replacement of degenerated intervertebral discs has generally incorporated mutually sliding surfaces of relatively hard materials to provide for the required intervertebral motion in flexion, extension, lateral bending and torsion. Although such prostheses have been found to be helpful, improvements in shock absorption and replication of the natural motion of the intact intervertebral disc have been sought.

[0008] Accordingly, subsequently developed prostheses have incorporated elastomeric members in order to provide for the required motion and shock absorption. Such prostheses typically include relatively hard endplates for contacting the endplates of adjacent vertebrae and fixing the prosthesis thereto, together with an elastomeric disc core, positioned between the hard endplates and fastened thereto.

[0009] However, in conventional designs of such intervertebral disc prostheses, the bone-contacting members, i.e., rigid endplates, typically have a and shape and size in a horizontal plane that conforms generally to the shape and size of the vertebral endplate; and the elastomeric element, positioned between the prosthesis endplates, also typically has a similar and shape and size. When such a prosthesis is subjected to stresses induced by bending of the spinal column, e.g., flexion, the elastomeric material at the periphery of the prosthesis may be compressed between the hard endplates and caused to bulge outwardly. Such deformation of the elastomeric component in repeated flexion may lead to eventual failure of the prosthesis. In some known prostheses, the outer periphery of the elastomeric core is provided with a concavity of the lateral wall to reduce the fixation stress in the peripheral region where the elastomer interfaces with the rigid, e.g., metal, endplates. However, even such a structure may be subject to eventual failure.

[0010] The present invention has been devised in view of the above background.

SUMMARY OF THE INVENTION

[0011] According to the invention, an intervertebral prosthesis is provided having generally rigid endplates for fixation to the upper and lower vertebrae of a spinal motion segment and an elastomeric core fastened between the endplates wherein at least an antero-posterior dimension of the interface between the core and at least one of the endplates is less than the antero-posterior dimension of the endplate. The lateral dimension of the interface between the core and at least one of the endplates may also be made smaller than the lateral dimension of the endplate.

[0012] Accordingly, it is an object of the invention to provide an intervertebral disc prosthesis having rigid endplates and an elastomeric core.

[0013] A further object is to provide such an intervertebral disc prosthesis wherein stress between the elastomeric core and the rigid endplates is reduced.

[0014] A further object is to provide an intervertebral disc prosthesis which is less prone to failure in use.

[0015] A further object is to provide an intervertebral disc prosthesis wherein the resistance to motions in flexion-extension, lateral bending, and torsion may be readily controlled.

[0016] According to one of its principal aspects, the present invention provides an intervertebral disc prosthesis for implanting between adjacent vertebrae in a spinal motion segment. The prosthesis comprises an upper rigid prosthesis endplate for fixation to an adjacent upper vertebra, and having a periphery, an antero-posterior dimension, and a transverse dimension; a lower rigid prosthesis endplate for fixation to an adjacent lower vertebra, and having a periphery, an antero-posterior dimension, and a transverse dimension; and an elastomeric core structure located between the prosthesis endplates and attached to the endplates. The elastomeric core structure includes at least one core member and has a total cross-sectional area in a horizontal plane and durometer hardness sufficient to provide sufficient compressive strength to support physiological axial loads.

[0017] According to one preferred feature, the elastomeric core structure has at least an average antero-posterior dimension, sufficiently less than at least one of the upper prosthesis endplate antero-posterior dimension and the lower prosthesis endplate antero-posterior dimension, such that the elastomer core does not protrude beyond the periphery of one of the prosthesis endplates during normal flexion and extension of the spinal motion segment.

[0018] According to another preferred feature, the core member has an antero-posterior dimension not greater than three times an axial height dimension of the core member.

[0019] According to yet another preferred feature, the core member has at least an average antero-posterior dimension not greater than three times an axial height dimension of said core member.
According to another preferred feature, the core member has a minimum antero-posterior dimension in a horizontal plane located axially between the endplates, the minimum antero-posterior dimension being not greater than three times an axial height dimension of the said core member.

According to still another of its principal aspects, the present invention provides an intervertebral disc prosthesis for implanting between adjacent vertebrae in a spinal motion segment, comprising an upper rigid prosthesis endplate for fixation to an adjacent upper vertebra, and having a periphery, an antero-posterior dimension, and a transverse dimension; a lower rigid prosthesis endplate for fixation to an adjacent lower vertebra, and having a periphery, an antero-posterior dimension, and a transverse dimension; and an elastomeric core structure located between the prosthesis endplates and attached to the endplates, the elastomeric core structure including a first elastomeric core member and a second elastomeric core member disposed outside an outer periphery of the first elastomeric core member, with the first elastomeric core member having durometer hardness greater than said second elastomeric core member.

Further objects, aspects, and advantages of the invention will be apparent from the description of the invention which follows.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a schematic lateral view of an intervertebral disc of the prior art installed between adjacent vertebral bodies showing a median sagittal plane cross-sectional view of the prosthesis.

FIG. 2 is a schematic lateral view of another type of intervertebral disc of the prior art installed between adjacent vertebral bodies showing a median sagittal plane cross-sectional view of the prosthesis.

FIG. 3 is a sagittal sectional view of an embodiment of the invention.

FIG. 4 is a horizontal section taken through a central plane within the elastomeric core of an embodiment of the invention.

FIG. 5 is a horizontal section taken through a central plane within the elastomeric core of another embodiment of the invention, showing an elastomeric core having a generally elliptical cross-section.

FIG. 6A is a horizontal section taken through a central plane within the elastomeric core of another embodiment of the invention, showing an elastomeric core having a generally peanut shell-shaped cross-section positioned generally centrally in an antero-posterior dimension of the prosthesis.

FIG. 6B is a horizontal section taken through a central plane within the elastomeric core of another embodiment of the invention, showing an elastomeric core having a generally peanut shell-shaped cross-section such as illustrated in FIG. 6A, positioned somewhat more posteriorly in an antero-posterior dimension of the prosthesis.

FIG. 7 is a horizontal section taken through a central plane within the elastomeric core of another embodiment of the invention, showing an elastomeric core having a generally circular cross-section.

FIG. 8 is a horizontal section taken through a central plane within the elastomeric core of another embodiment of the invention, showing an elastomeric core comprising two elastomeric elements positioned laterally symmetrically with respect to a median sagittal plane.

FIG. 9 is a horizontal section taken through a central plane within the elastomeric core of another embodiment of the invention, showing a central elastomeric element having a generally elliptical cross-section and having a relatively hard durometer surrounded by a peripheral elastomeric element having a somewhat softer durometer.

FIG. 10 is a horizontal section taken through a central plane within the elastomeric core of another embodiment of the invention, showing a central elastomeric element having a generally peanut shell-shaped cross-section and having a relatively hard durometer surrounded by a peripheral elastomeric element having a somewhat softer durometer.

FIG. 11 is a horizontal section taken through a central plane within the elastomeric core of another embodiment of the invention, showing an elastomeric core comprising two elastomeric elements positioned laterally symmetrically with respect to a median sagittal plane and having a relatively hard durometer surrounded by a peripheral elastomeric element having a somewhat softer durometer.

FIG. 12 is a median sagittal plane cross-sectional view of a prosthesis of the invention implanted between two vertebrae of a spinal motion segment.

DETAILED DESCRIPTION OF THE INVENTION AND PREFERRED EMBODIMENTS

The present invention provides an intervertebral prosthesis that utilizes flexible elastomeric materials confined between hard, typically metallic, endplate components in order to secure mechanical properties that can adequately substitute for the properties of a natural intervertebral disc. The intervertebral prosthesis of the invention is capable of being configured with a diameter of freedom to control the motion of a spinal motion segment in flexion-extension, lateral bending, and torsion when implanted to replace a damaged or degenerated spinal disc in a spinal column of a human patient. The invention will be explained and discussed in connection with the accompanying drawings.

FIGS. 1 and 2 are partial sagittal sectional views of prior art illustrative examples wherein, as depicted in FIG. 1 the single elastomeric core 100 extends to the periphery of the hard endplates 101 and 102, and in FIG. 2 a multiple-durometer elastomeric core 200 is utilized, with the harder or reinforced elastomer 201 placed at the periphery of the softer elastomer 202. In both cases, the elastomer at the peripheral regions is highly compressed when the spinal motion segment is moved in flexion-extension and in lateral bending. Such prostheses, when exercised by such repeated compression, have been shown to exhibit failure due to both bulging and to impingement of the hard endplates 101 and 102 onto the bulging elastomer. Since the elastomeric core and hard endplate peripheries coincide, the centroids of area of the respective components in horizontal planes will coincide with a line.

FIG. 3 illustrates a typical configuration of the elements of a preferred embodiment of the invention. The preferred embodiment is illustrated as a sagittal plane (midline vertical plane) section with the elastomeric intervertebral disc prosthesis 300 implanted between adjacent vertebral bodies 301 and 302. The disc prosthesis 300 comprises a first or upper rigid plate 303, a second or lower rigid plate 304, and flexible elastomeric core 305 interposed between, and fixedly assembled to, the two rigid plates. For the disc prosthesis, the upper and lower rigid plates 303 and 304 are generally similar to each other, and the core 305 is symmetrically placed about
the midline vertical plane. Rigid plates 303 and 304 are provided for fixation of the prosthesis to the vertebral bone and are made of biocompatible material, preferably a metallic material such as Ti6Al4V. Conventional metal fabrication methods may be used to fabricate the rigid plates. The elastomeric core 305 is preferably made of polyurethane and is fixedly connected to the rigid endplates by mechanical or adhesive means. Width 309 is the maximum sagittal width of endplates 303 and 304. Width 307 is the minimum width of elastomer 305 in the sagittal plane. Axial thickness 308 is the thickness of the elastomeric core 305 at the anterior limit 1.0 of width 307. Plane 306 is the horizontal plane for the section view of FIG. 4. As clearly illustrated, according to the invention, dimension 307 is significantly reduced relative to dimension 309, thereby providing for the endplate anterior margins 310 to converge in deep flexion without severe compression of the elastomeric core.

FIG. 4 is a cross sectional view in the horizontal plane of disc prosthesis 300 at the level of plane 306 containing the limit position L of FIG. 3. The periphery 401 of endplate 303 is configured to be smaller but closely match the periphery 402 of the vertebral body endplate 302 since a large portion of the natural disc annulus is maintained during the surgical implantation of the disc prosthesis. Width 307 is the minimum width of elastomer 305 in the sagittal plane. Width 403 is the minimum width of elastomer 305 in the coronal plane. Lateral flexion stiffness of the normal lumbar disc is about double that of the anterior flexion, and it is desirable to maximize torsional stiffness. Thus, width 403 is advantageously equal or greater than 1.4 times width 307. Peripheral shape 404 of elastomer 305 is different from both peripheries 401 and 402. Shape 404 is a typical elastomeric shape for cervical disc applications where there are low torsional requirements for the proper functioning of the disc. Peripheral shape 405 is shown for purposes of comparison to shape 404 and depicts the elastomer shape of an alternate embodiment where higher torsional stiffness is desired, such as for the lumbar region.

In FIGS. 3 and 4, line 311 indicates a coronal plane containing the centroid Cx of the cross-sectional area of core 305 in plane 306. Line 312 indicates a coronal plane containing the centroids Cy (which are coincident in this example) of projected areas of the core contacting surfaces of endplates 303 and 304 onto plane 306. Such coronal planes shall be referred to hereinafter as coronal planes. The posterior placement of centroid Cx relative to projected centroids Cy allows the disc flexion axis to be closer to the normal anatomical center of rotation.

FIGS. 5, 6, 7, 8 present sectional views similar to that of FIG. 4, providing illustrative examples of alternate embodiments. In these embodiments, the design parameters of FIG. 3 and FIG. 4 with respect to the endplates and general structure of the prosthesis are held constant while the alternate embodiments relate to the shape of elastomeric core 305.

FIG. 5 illustrates elastomeric core 305 having an elliptical shape 501 and positioned so as to have coinciding endplate and elastomer centroidal planes 312 and 502. The elliptical shape illustrated will provide low flexion stiffness.

FIG. 6A illustrates elastomeric core 305 having peanut shell shape 601 and positioned so as to have coinciding endplate and elastomer centroidal planes 312 and 602.

FIG. 6B illustrates elastomeric core 305 having peanut shell shape 601 and positioned so as to have elastomer centroidal plane 602 posterior to endplate centroidal plane 312. Flexion stiffness is similar to FIG. 6A, but with higher lateral and torsional stiffness.

FIG. 7 illustrates elastomeric core 305 having a circular shape 701 and positioned so as to have elastomer centroidal plane 702 positioned posterior to the endplate centroidal plane 312. In this embodiment, the prosthesis will exhibit low torsion and equal anterior and lateral flexion stiffness.

FIG. 8 illustrates elastomeric core 305 having a two individual columns of circular shape 801 and 802 positioned so as to have elastomer centroidal plane 803 positioned posterior to the endplate centroidal plane 312. In this embodiment the prosthesis will exhibit high torsion and moderate lateral flexion stiffness.

FIGS. 9 to 11 refer to an alternate embodiment of the present invention wherein regions of the core element having different durometers are utilized to achieve desirable performance. Advantageously, lower durometer elastomer is used at the periphery of the elastomeric core where the largest deflections are experienced. For a higher durometer polymer, these larger deflections will result in higher stresses leading to a higher likelihood of debonding from the endplates. The higher durometer regions are introduced at regions of minimal deflection, normally relatively close to the anatomical center of motion. Higher durometer elastomers in such a central position provide for increased axial stiffness, and the peripheral lower durometer elastomers provide additional flexural stiffness allowing for the necessary deflections during normal disc range of motion.

FIGS. 9, 10, 11 show a view similar to that of FIG. 4 with illustrative examples of alternate embodiments. In these embodiments, the design parameters of FIG. 3 and FIG. 4 with respect to the endplates and general structure of the prosthesis are held constant, and the alternate embodiments relate to the shape and position of higher durometer regions within the elastomeric core 305 envelope.

FIG. 9 illustrates elastomeric core 901 having an elliptical shaped higher durometer region 902 and positioned internally of a lower durometer region 903 so as to have endplate centroidal plane 312 anterior to elastomer centroidal plane 904. Elastomer 902 provides additional axial and torsional stiffness with nominal contribution to additional flexion stiffness.

FIG. 10 illustrates elastomeric core 905 having a peanut-shell shaped higher durometer region 906 and positioned internally of a lower durometer region 907 so as to have endplate centroidal plane 312 and elastomer centroidal plane 908 somewhat posterior to the endplate centroidal plane. Elastomer 906 provides additional axial and lateral stiffness with respect to the example of FIG. 9 and with minimal contribution to additional flexion stiffness.

FIG. 11 illustrates elastomeric core 909 having a two individual columns constructed of higher durometer elastomer and having a circular shape 910 and 911 and positioned internally to the lower durometer region 912 having a combined elastomer centroidal plane 913 positioned posterior to the endplate centroidal plane 312. In an alternate embodiment, the regions 910 and 911 are constructed from lower durometer elastomer and region 912 from a higher durometer elastomer.

FIG. 12 shows a variation of the preferred embodiment of FIG. 4. It is illustrated in a sagittal plane section with the elastomeric intervertebral disc prosthesis 920 implanted...
between adjacent vertebral bodies \(921\) and \(922\). The disc prosthesis \(920\) comprises a first or upper rigid plate \(923\), a second or lower rigid plate \(924\), intermediate elastomeric plates \(925\) and \(926\) fixedly assembled to the rigid plates \(923\) and \(924\), and a flexible elastomeric core \(927\) interposed between and fixedly assembled to the intermediate plates \(925\) and \(926\). The intermediate plates provide for stress reduction transition between the very low modulus flexible elastomeric core and the extremely stiff hard endplates. Additionally, the transition plates provide for higher mechanical fixation strength to the typically metallic hard endplates as well as a stronger elastomer-to-elastomer adhesive bond to the elastomeric core side. The peripheral wall \(928\) of elastomeric core \(927\) advantageously forms a concavity so as to provide for additional fixation area to intermediate plates \(925\) and \(926\).

**EXAMPLE**

[0051] This example illustrates the determination of a preferred ratio of anterior-posterior dimension to core height.

[0054] A series of experiments was conducted on a polycarbonate polyurethane disc of constant durometer (80 A). The ratio of the anterior-posterior width of the core to its height was varied, and the behavior of the disc as it was made to flex repeatedly to 10 degrees was examined. The results are shown below in Table 1.

<table>
<thead>
<tr>
<th>Ratio of anterior-posterior dimension to core height</th>
<th>1:1</th>
<th>2:1</th>
<th>3:1</th>
<th>4:1</th>
</tr>
</thead>
<tbody>
<tr>
<td>Effect of 10° flexion</td>
<td>Buckled inwards</td>
<td>Neutral</td>
<td>Slight bulging</td>
<td>Bulging and impingement of polymer on endplate</td>
</tr>
</tbody>
</table>

Test conditions:

[0055] Elastomer hardness: 80 A durometer
[0056] Disc height: 5 mm for all samples
[0057] Anterior-posterior dimension varied to produce varied ratio of core height to anterior-posterior (AP) dimension

[0058] The results suggest that a ratio of 3:1 (anterior-posterior dimension to core height) or less is required to ensure that impingement of the core on the endplates does not occur. Thus, on the basis of this data, a ratio of 2:1 would appear ideal to eliminate bulging and the danger of polymer impingement. However, the mechanical properties of elastomers, coupled with the desire to match the flexural stiffness of a natural disc, dictates maximizing the shape area of the device. Since the intervertebral height is a design envelope limiting factor in practice, for a given height \(308\), width \(307\) has a proportionally maximum value of three times height \(308\). For ratios of higher than three, impingement and bulging become detrimental to device integrity.

[0059] The invention having been described above in terms of certain embodiments, it will be apparent to those skilled in that that many changes and alterations can be made without departing from the spirit and principles of the invention.

13. An intervertebral disc prosthesis for implanting between adjacent vertebrae in a spinal motion segment, comprising:

an upper rigid prosthesis endplate for fixation to an adjacent upper vertebra, and having a periphery, an anteroposterior dimension, and a transverse dimension, a lower rigid prosthesis endplate for fixation to an adjacent lower vertebra, and having a periphery, an anteroposterior dimension, and a transverse dimension, and an elastomeric core structure located between said prosthesis endplates and attached to said endplates, said elastomeric core structure including at least one elastomeric core member and having a total cross-sectional area in a horizontal plane and durometer hardness effective to provide sufficient compressive strength to support physiological axial loads, wherein said elastomeric core structure has a centroid positioned posterior to a centroid of at least one of said upper prosthesis endplate and said lower prosthesis endplate.

14. The intervertebral disc prosthesis of claim 13, wherein said core structure has an anteroposterior dimension not greater than three times an axial height dimension of said core structure.

15. The intervertebral disc prosthesis of claim 13, wherein said core member has an anteroposterior dimension not greater than three times an axial height dimension of said core structure.

16. The intervertebral disc prosthesis of claim 13, wherein said elastomeric core member has a generally peanut shell-shaped cross-section.

17. The intervertebral disc prosthesis of claim 13, wherein said elastomeric core structure has at least an average anteroposterior dimension sufficiently less than at least one of said upper prosthesis endplate anteroposterior dimension and said lower prosthesis endplate anteroposterior dimension, such that said elastomeric core structure does not protrude beyond the periphery of said at least one of said prosthesis endplates during normal flexion and extension of said spinal motion segment.

18. The intervertebral disc prosthesis of claim 13, wherein said elastomeric core structure includes a first elastomeric core member and a second elastomeric core member disposed outside an outer periphery of said first elastomeric core member, said first elastomeric core member having a durometer hardness greater than that of said second elastomeric core member.

19. The intervertebral disc prosthesis of claim 18, wherein said core structure has an anteroposterior dimension not greater than three times an axial height dimension of said core structure.

20. The intervertebral disc prosthesis of claim 18, wherein said core structure has a minimum anteroposterior dimension in a horizontal plane located axially between said endplates, said minimum anteroposterior dimension being not greater than three times an axial height dimension of said core member.

21. The intervertebral disc prosthesis of claim 18, wherein said first elastomeric core member has a generally peanut shell-shaped cross-section.

* * * * *