## **PCT**

# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup>:

C12P 35/00

A1

(11) International Publication Number: WO 96/38580

(43) International Publication Date: 5 December 1996 (05.12.96)

(21) International Application Number: PCT/EP96/02434

(22) International Filing Date: 3 June 1996 (03.06.96)

(30) Priority Data:

95201455.3 2 June 1995 (02.06.95) EP
(34) Countries for which the regional or
international application was filed: NL et al.

(71) Applicant (for all designated States except US): GIST-BROCADES B.V. [NL/NL]; Wateringseweg 1, P.O. Box 1, NL-2600 MA Delft (NL).

(72) Inventors; and

- (75) Inventors/Applicants (for US only): BOVENBERG, Roelof, Ary, Lans [NL/NL]; 's-Gravenweg 121, NL-3062 ZD Rotterdam (NL). KOEKMAN, Bertus, Pieter [NL/NL]; Prunusstraat 8, NL-2636 BG Schipluiden (NL). SCHIPPER, Dirk [NL/NL]; Oostsingel 205, NL-2612 HL Delft (NL). VOLLEBREGT, Adrianus, Wilhelmus, Hermanus [NL/NL]; Bereklaw 13, NL-2671 WZ Naaldwijk (NL).
- (74) Agents: VISSER-LUIRINK, Gesina et al.; Gist-Brocades B.V., Patents and Trademarks Dept., Wateringseweg 1, P.O. Box 1, NL-2600 MA Delft (NL).

(81) Designated States: AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

#### **Published**

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: PROCESS FOR THE PRODUCTION OF 7-ADCA VIA EXPANDASE ACTIVITY ON PENICILLIN G

(57) Abstract

An overall process for the preparation and recovery of 7-aminodesacetoxycephalosporanic acid (7-ADCA) via enzymatic ring expansion activity on penicillin G, using a *Penicillium chrysogenum* transformant strain expressing expandase.

## FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
ΑT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgystan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic	SD	Sudan
CF	Central African Republic		of Korea	SE	Sweden
CG	Congo	KR	Republic of Korea	SG	Singapore
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LR	Liberia	SZ	Swaziland
CS	Czechoslovakia	LT	Lithuania	TD	Chad
CZ	Czech Republic	LU	Luxembourg	TG	Togo
DE	Germany	LV	Latvia	TJ	Tajikistan
DK	Denmark	MC	Monaco	TT	Trinidad and Tobago
EE	Estonia	MD	Republic of Moldova	UA	Ukraine
ES	Spain	MG	Madagascar	UG	Uganda
FI	Finland	ML	Mali	US	United States of America
FR	France	MN	Mongolia	UZ	Uzbekistan
GA	Gabon	MR	Mauritania	VN	Viet Nam

# PROCESS FOR THE PRODUCTION OF 7-ADCA VIA EXPANDASE ACTIVITY ON PENICILLIN G

# Field of the invention and brief description of the prior art

5

15

The present invention concerns a biosynthetic process for preparation and recovery of 7-aminodesacetoxycephalosporanic acid (7-ADCA).

B-Lactam antibiotics constitute the most important group of antibiotic compounds, with a long history of clinical use. Among this group, the prominent ones are the penicillins and cephalosporins. These compounds are naturally produced by the filamentous fungi Penicillium chrysogenum and Acremonium chrysogenum, respectively.

As a result of classical strain improvement techniques, the production levels of the antibiotics in Penicillium chrysogenum and Acremonium chrysogenum have increased dramatically over the past decades. With the increasing knowledge of the biosynthetic pathways leading to penicillins and cephalosporins, and the 20 advent of recombinant DNA technology, new tools for the improvement of production strains and for the in vivo derivatization of the compounds have become available.

Most enzymes involved in \$-lactam biosynthesis have been identified and their corresponding genes been cloned, as can be 25 found in Ingolia and Queener, Med. Res. Rev. <u>9</u> (1989), 245-264 (biosynthesis route and enzymes), and Aharonowitz, Cohen, and Martin, Ann. Rev. Microbiol. 46 (1992), 461-495 (gene cloning).

The first two steps in the biosynthesis of penicillin in P. chrysogenum are the condensation of the three amino acids 30 L-5-amino-5-carboxypentanoic acid (L- $\alpha$ -aminoadipic acid) (A), L-cysteine (C) and L-valine (V) into the tripeptide LLD-ACV, followed by cyclization of this tripeptide to form isopenicillin N. This compound contains the typical β-lactam structure.

The third step involves the exchange of the hydrophillic side chain of L-5-amino-5-carboxypentanoic acid by a hydrophobic side chain by the action of the enzyme acyltransferase (AT). The enzymatic exchange reaction mediated by AT takes place inside a cellular organelle, the microbody, as has been described in EP-A-0448180.

Cephalosporins are much more expensive than penicillins.

One reason is that some cephalosporins (e.g. cephalexin) are made from penicillins by a number of chemical conversions. Another reason is that, so far, only cephalosporins with a D-5-amino-5-carboxypentanoyl side chain could be fermented. Cephalosporin C, by far the most important starting material in this respect, is very soluble in water at any pH, thus implying lengthy and costly isolation processes using cumbersome and expensive column technology. Cephalosporin C obtained in this way has to be converted into therapeutically used cephalosporins by a number of chemical and enzymatic conversions.

The methods currently favoured in industry to prepare the intermediate 7-ADCA involve complex chemical steps leading to the expansion and derivatization of penicillin G. One of the necessary chemical steps to produce 7-ADCA involves the expansion of the 5-membered penicillin ring structure to a 6-membered cephalosporin ring structure (see for instance US 4,003,894). This complex chemical processing is both expensive and noxious to the environment.

Consequently, there is a great desire to replace such chemical processes with enzymatical reactions such as enzymatic catalysis, preferably during fermentation. A key to the replacement of the chemical expansion process by a biological process is the central enzyme in the cephalosporin biosynthetic pathway, deacetoxycephalosporin C synthetase, or expandase.

The expandase enzyme from the bacterium <u>Streptomyces</u>

30 <u>Clavuligerus</u> was found to carry out <u>in vitro</u>, in some cases,
penicillin ring expansions (Baldwin <u>et al</u>., Tetrahedron <u>43(13)</u>,
3009 (1987)). In Cantwell <u>et al</u>. (Current Genetics, <u>17</u>, 213-221
(1990)), expression of <u>S. clavuligerus</u> expandase in <u>P. chrysogenum</u> is described. Espression of the expandase did not result

35 in formation of cephalosporins in a fermentation as suggested in the publications. Only when introduced into <u>P. chrysogenum</u> together with the isopenicillin N epimerase gene of <u>S. clavuli-</u>

10

- 3 -

gerus, conversion of the penicillin ring structure of penicillin N (its natural substrate) into the cephalosporin ring structure of desacetoxycephalosporin C (its natural product) was observed, as described in Cantwell et al., Proc. R. Soc. Lond. B. 248 5 (1992), 283-289. The expandase enzyme has been well characterized (EP-A-0366354) both biochemically and functionally, as has its corresponding gene. Both physical maps of the cefE gene (EP-A-0341892), DNA sequence and transformation studies in P. chrysogenum with cefE have been described.

Another source for a ring expansion enzyme is the bacterium Nocardia lactamdurans (formerly Streptomyces lactamdurans). Both the biochemical properties of the enzyme and the DNA sequence of the gene have been described (Cortés et al., J. Gen. Microbiol. 133 (1987), 3165-3174; and Coque et al., Mol. Gen. Genet. 15 <u>236</u> (1993), 453-458, respectively).

Since the expandase catalyses the expansion of the 5membered thiazolidine ring of penicillin N to the 6-membered dihydrothiazine ring of deacetoxycephalosporin C this enzyme would be of course a logical candidate to replace the ring 20 expansion steps of the chemical process. Unfortunately, the enzyme works on the penicillin N intermediate of the cephalosporin biosynthetic pathway, but not on the readily available inexpensive penicillins as produced by P.chrysogenum, including penicillin G. Penicillin N is commercially not available and 25 even when expanded, its D-aminoadipyl side chain cannot be removed easily by penicillin acylases.

It has recently been found that the expandase enzyme is capable of expanding penicillins with particular side chains to the corresponding 7-ADCA derivative. In EP-A-268343 an in vitro 30 process of the expansion of a penicillin with a 3-carboxyphenylacetyl or adipoyl side chain by applying deacetoyxycephalosporin C synthetase has been described. Furthermore, this feature of the expandase has been exploited in the technology as disclosed in EP-A-0532341, EP-A-0540210, W095/04148 and W095/04149. In 35 these disclosures the conventional chemical conversion of penicillin G to 7-ADCA has been replaced by the in vivo conversion of certain 6-aminopenicillanic acid (6-APA) derivatives in recombinant <u>Penicillium</u> <u>chrysogenum</u> strains containing an expandase gene.

More particularly, EP-A-0532341 teaches the <u>in vivo</u> use of the expandase enzyme in <u>P. chrysogenum</u>, in combination with a 5-carboxypentanoyl side chain as a feedstock, which is a substrate for the acyltransferase enzyme in <u>P. chrysogenum</u>. This leads to the formation of 5-carboxypentanoyl-6-APA, which is converted by an expandase enzyme introduced into the <u>P. chrysogenum</u> strain to yield 5-carboxypentanoyl-7-ADCA. Finally, the removal of the 5-carboxypentanoyl side chain is suggested, yielding 7-ADCA as a final product.

٧,

In W095/04148 and W095/04149 it has been disclosed that 3'-carboxymethylthiopropionic acid and 3,3'-thiodipropionic acid, respectively were found to be substrates for the expandase, yielding 2-(carboxyethylthio) acetyl- and 3-(carboxymethylthio) - propionyl-7-ADCA.

However, the process of the present invention provides more advantages, because of the high pen G synthese capacity of penicillin producing strains and the more favorable process of extraction of phenylacetyl-7-ADCA acid. Furthermore the phenylacetyl side chain of penicillin G is very amenable to enzymatic cleavage, by penicillin G amidases produced by several types of microorganisms yielding 6-APA, for instance separase G as disclosed in EP-A-0453047.

Various publications have reported the expandase not to accept penicillin G as a substrate for expansion (Baldwin & Abraham (1988), Natural Product Reports, 5(2), p.129-145; Maeda et al. (1995), Enzyme and Microbial Technology, 17, 231-234; Crawford et al. (1995), Bio/technology, 13, p.58-61; Wu-Kuang Yeh et al., in 50 years Penicillin Application (editors Kleinkauf and Von Dohren), 209 (1991), see especially table 3A).

Surprisingly, however, it has now been found that penicillin G producing P.chrysogenum transformed with an expandase encoding gene is capable of producing phenylacetyl-desacetoxy-cephalosporanic acid.

- 5 -

#### Summary of the invention

15

The present invention provides a process for the preparation and recovery of 7-aminodesacetoxycephalosporanic acid (7-5 ADCA) by:

- a) transforming a <u>Penicillium chrysogenum</u> strain with an expandase gene, under the transcriptional and translational regulation of fungal expression signals;
- b) fermenting said strain in a culture medium and adding to said culture medium phenylacetic acid or a salt or ester thereof suitable to yield penicillin G, which is expanded to form phenylacetyl-7-ADCA;
  - c) recovering the phenylacetyl-7-ADCA from the fermentation broth;
    - d) deacylating phenylacetyl-7-ADCA; and
    - e) recovering the crystalline 7-ADCA.

Preferably, step (e) is a filtration step.

Preferably, phenylacetyl-7-ADCA is recovered from the fermentation broth by extracting the broth filtrate with an organic solvent immiscible with water at a pH of lower than about 4.5 and back-extracting the same with water at a pH between 4 and 10.

Moreover, a recombinant DNA vector comprising the DNA encoding expandase, functionally linked to the transcriptional and translational control elements of a fungal gene, for instance <u>Aspergillus nidulans gpd</u>A gene, and the <u>Aspergillus niger glc</u>A gene and host cells transformed with the same, are provided.

#### 30 Detailed description of the invention

The present invention concerns the use of functional gene constructs in <u>P. chrysogenum</u> for the <u>in vivo</u> expansion of the penicillin G ring structure to form a derivative of a key intermediate in the cephalosporin biosynthesis, 7-aminodesacetoxy-cephalosporanic acid, or 7-ADCA. This derivative has a chemical

- 6 -

composition so as to allow efficient solvent extraction, thus providing an economically attractive recovery process.

Transformation of P. chrysogenum can, in principle, be achieved by different means of DNA delivery, like PEG-Ca medi-5 ated protoplast uptake, electroporation or particle gun techniques, and selection of transformants. See for example Van den Hondel en Punt, Gene Transfer and Vector Development for Filamentous Fungi, in: Applied Molecular Genetics of Fungi (Peberdy, Laten, Ogden, Bennett, eds.), Cambridge University 10 Press (1991). The application of dominant and non-dominant selection markers has been described (Van den Hondel, supra). Selection markers of both homologous (P. chrysogenum derived) and heterologous (non-P. chrysogenum derived) origin have been described (Gouka et al., J. Biotechnol. 20 (1991), 189-200).

The application of the different transformant selection markers, homologous or heterologous, in the presence or absence of vector sequences, physically linked or not to the nonselectable DNA, in the selection of transformants are well known.

15

20

The ring-expansion reaction, mediated by the expandase enzyme is introduced into and expressed in this way in-P. chrysogenum, for instance in strain Panlabs P14-B10, DS 18541 (deposited at CBS under accession number 455.95). It will be clear that in case the ring-expansion reaction is carried out 25 in mutants thereof, the medium conditions have to be slightly adapted to obtain an efficient growth.

Furthermore, the cefE gene is placed under the transcriptional and translational control of fungal (be they filamentous or not) gene control elements, preferably derived of 30 the P. chrysogenum gene Y (described in EP-A-0549062), the P.chrysogenum IPNS gene, the  $\beta$  tubulin gene, the Aspergillus nidulans gpdA gene, or the Aspergillus niger glcA gene.

In summary, the present invention teaches how the activity of an expandase enzyme introduced into P. chrysogenum can be 35 dedicated in vivo to the ring expansion of penicillin G.

In accordance with the present invention the B-lactam intermediate phenylacetyl-7-ADCA is produced in P. chrysogenum

- 7 **-**

by adding phenylacetic acid or a salt or an ester thereof to the medium. Suitable salts are for instance those of sodium or potassium. 7-ADCA is efficiently recovered from the medium through a simple solvent extraction, for instance, as follows:

The broth is filtered and an organic solvent immiscible with water is added to the filtrate. The pH is adjusted in order to extract the cephalosporin from the aqueous layer. The pH range has to be lower than 4.5; preferably between 4 and 1, more preferably between 2 and 1. In this way the cephalosporin is separated from many other impurities present in the fermentation broth. Preferably a small volume of organic solvent is used, giving a concentrated solution of the cephalosporin, so achieving reduction of the volumetric flow rates. A second possibility is whole broth extraction at a pH of 4 or lower. Preferably the broth is extracted between 4 and 1 with an organic solvent immiscible with water.

Any solvent that does not interfere with the cephalosporin molecule can be used. Suitable solvents are, for instance, butyl acetate, ethyl acetate, methyl isobutyl ketone, alcohols like butanol etc.. Preferably butylacetate is used.

Hereafter the cephalosporin is back extracted with water at a pH between 4 and 10, preferably between 6 and 9. Again the final volume is reduced drastically. The recovery can be carried out at temperatures between 0 and 50°C, and preferably at ambient temperatures.

The aqueous cephalosporin solution thus obtained is treated with a suitable enzyme in order to remove the phenylacetyl side chain and obtain the desired 7-ADCA. A suitable enzyme for the same is the penicillin G acylase as described in EP-A-0453047, also named penicillin amidase.

Preferably, an immobilized enzyme is used, in order to be able to use the enzyme repeatedly. The methodology for the preparation of such particles and the immobilization of the enzymes have been described extensively in EP-A-0222462. The pH of the aqueous solution has a value of, for example pH 4 to pH 9, at which the degradation reaction of cephalosporin is minimized and the desired conversion with the enzyme is optimized.

Thus, the enzyme is added to the aqueous cephalosporin solution while maintaining the pH at the appropriate level by, for instance, adding an inorganic base, such as a potassium hydroxide solution, or applying a cation exchange resin. When the reaction is completed the immobilized enzyme is removed by filtration. Another possibility is the application of the immobilized enzyme in a fixed or fluidized bed column, or using the enzyme in solution and removing the products by membrane filtration. Subsequently, the reaction mixture is acidified in the presence of an organic solvent immiscible with water.

After adjusting the pH to about 0.1 to 1.5, the layers are separated and the pH of the aqueous layer is adjusted to 2 to 5. The crystalline 7-ADCA is then filtered off.

The deacylation can also be carried out chemically as known in the prior art, for instance, via the formation of an iminochloride side chain, by adding phosphorus pentachloride at a temperature of lower than 10°C and subsequently isobutanol at ambient temperatures or lower.

The following examples are offered by way of illustration 20 and not by way of limitation.

#### Example 1

# Fermentative production of phenylacetyl- 7-ADCA

P. chrysogenum strain Panlabs P14-B10, deposited at CBS
 under the accession number 455.95, is used as the host strain for the expandase expression cassette constructs.

The expression cassette used containing the expandase gene under the <u>P. chrysogenum</u> IPNS gene transcriptional and translational regulation signals is described in Crawford <u>et al</u>.

(<u>supra</u>). Transformation and culturing conditions are as described in Crawford <u>et al</u>. (<u>supra</u>). Transformants are purified and analyzed for expression of the expandase enzyme by testing their capacity to produce adipoyl-7-ADCA as described by Crawford <u>et al</u>. (<u>supra</u>).

Adipoyl-7-ADCA producing transformants as for instance P. chrysogenum strain PC100, deposited with the ATCC under number 74182 are inoculated at 2.106 conidia/ml into a seed medium consisting of (g/l): glucose, 30; Pharmamedia (cotton seed meal), 10; Corn Steep Solids, 20; (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, 20; CaCO<sub>3</sub>, 5; KH<sub>2</sub>PO<sub>4</sub>, 0,5; lactose, 10; yeast extract, 10 at a pH before sterilisation of 5.6.

The seed culture (20 ml in 250 ml Erlemeyer closed with a cotton plug) is incubated at 25°C at 220 rpm. After 48 hours, 1 ml was used to inoculate 15 ml of production medium consisting of (g/l): KH<sub>2</sub>PO<sub>4</sub>, 0,5; K<sub>2</sub>SO<sub>4</sub>, 5; (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>, 17,5; lactose, 140; Pharmamedia, 20; CaCO<sub>3</sub>, 10; lard oil, 10 at a pH before sterilisation of 6.6.

After inoculation with the seed culture, 0,15 - 0,75 ml of 10% phenylacetic acid solution, adjusted to pH 7.0 with KOH, is added to the fermentation.

The production culture is inoculated at 25°C at 220 rpm for 168 hours in a 250 ml Erlemeyer flask closed with a milk filter. Evaporated water is replenished every other day.

At the end of the production fermentation, the mycelium is removed by centrifugation or filtration and penicillin G and phenylacetyl-7-ADCA are analyzed by HPLC.

- 10 -

# Example 2 Analysis of phenylacetyl-7-ADCA production

Fermentation products from transformed Penicillium strains
were analyzed by high performance liquid chromatography (HPLC).
The HPLC system consisted of the following Spectra Physics components: P1500 solvent delivery system, AS 1000 injector,
UV1000 variable wavelength detector (set at 214 nm) and a ISM
100 integrator or similar. The stationary phase was a Chrompack
Chromspher C18 column. The mobile phase consisted of 75% phosphate buffer pH 2.6 and 25% acetonitril. The products were quantitated by comparison to a standard curve of phenylacetyl-7ADCA and penicillin G. The identity of the phenylacetyl-7-ADCA was established by 600 MHz NMR of a deutero-chloroform solution
obtained by acid extraction of the culture filtrate. The resonances of the phenylacetyl-7-ADCA in the acid extract proved to be identical with those of a synthetic sample.

BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

#### INTERNATIONAL FORM

Gist-brocades N.V.
Research & Development / Stamconservering
Postbus 1
2600 MA DELFT ,
Nederland

name and address of depositor

RECEIPT IN THE CASE OF AN ORIGINAL\_DEPOSIT issued pursuant to Rule 7.1 by the INTERNATIONAL DEPOSITARY AUTHORITY identified at the bottom of this page

I. IDENTIFICATION OF THE MICROORGANISM	
Identification reference given by the DEPOSITOR:	Accession number given by the INTERNATIONAL DEPOSITARY AUTHORITY:
DS18541	CBS 455.95
II. SCIENTIFIC DESCRIPTION AND/OR PROPO	SED TAXONOMIC DESIGNATION
The microorganism identified under I above was a a scientific description  X a proposed taxonomic designation  (mark with a cross where applicable)	nccompanied by:
III. RECEIPT AND ACCEPTANCE	
This International Depositary accepts the microcreceived by it on Friday, 2 June 1995	organism identified under I above, which was  (date of the original deposit) 1
IV. RECEIPT OF REQUEST FOR CONVERSION	
The microorganism identified under I above was a Authority on not applicable request to convert the original deposit to a depit on not applicable (decomposition)	(date of the original deposit) and a
V. INTERNATIONAL DEPOSITARY AUTHORITY	
Name: Centraalbureau voor Schimmelcultures	Signature(s) of person(s) having the power to represent the International Depositary Authority or of authorized official(s):
Address: Oosterstraat 1 P.O. Box 273 3740 AG BAARN The Netherlands	drs F.M. van Asma dr M.C. Agterberg  Date: Monday, 10 July 1995

Where Rule 6.4(d) applies, such date is the date on which the status of international depositary authority was acquired.

- 12 -

BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

## INTERNATIONAL FORM

Gist-brocades N.V.
Research & Development / Stamconservering
Postbus 1
2600 MA DELFT
Nederland

name and address of the party to whom the viability statement is issued

VIABILITY STATEMENT issued pursuant to Rule 10.2 by the INTERNATIONAL DEPOSITARY AUTHORITY identified on the following page

I. DEP	DSITOR	II.	IDENTIFICATION	OF	THE	MICROORGANISM		
Name: Address:	Gist-brocades N.V. Research & Development / Stamconservering Postbus 1 2600 MA DELFT Nederland	CB Date	ssion number given NATIONAL DEPOSITAL S 455.95 of the deposit or day, 2 June 1995	RY A	UTHOR			
III. VI	ABILITY STATEMENT							
on Mond	lity of the microorganism identified unapply, 10 July 1995 2. On that date, able	der II the s	above was tested	was				
one and	no longer viable							

Indicate the date of the original deposit or, where a new deposit or a transfer has been made, the most recent relevant date (date of the new deposit or date of the transfer).

 $<sup>^2</sup>$  In the cases referred to in Rule 10.2(a)(ii) and (iii), refer to the most recent viability test.

 $<sup>^{3}</sup>$  Mark with a cross the applicable box.

IV.	CONDITIONS	UNDER	WHICH	THE	VIABILITY	HAS	BEEN	PERFORMED 4	
v. 11	NTERNATION	AL DEP	OSITARY	AU	THORITY	<del></del> -			
Name:	Centraal	bureau v	voor Sch	imme		repre	sent th	of person(s) hav ne International D c of authorized of	epositary
Addres	s: Oosterst P.O. Box 3740 AG	273	.r				~	n.c. Agles	drs F.M. van Asma dr M.C. Agterberg
	The Net					Dace:	Mond	lay, 10 July 1995	

 $<sup>^4</sup>$  Fill in if the information has been requested and if the results of the test were negative.

- 14 -

#### Claims

5

1. A process for the preparation and recovery of 7-amino-desacetoxycephalosporanic acid (7-ADCA) by:

- a) transforming a <u>Penicillium chrysogenum</u> strain with an expandase gene, under the transcriptional and translational regulation of fungal expression signals;
- b) fermenting said strain in a culture medium and adding to said culture medium phenylacetic acid or a salt or ester
   thereof suitable to yield penicillin G, which is expanded to form phenylacetyl-7-ADCA;
  - c) recovering the phenylacetyl-7-ADCA from the fermentation broth;
    - d) deacylating phenylacetyl-7-ADCA; and
    - e) recovering the crystalline 7-ADCA.
  - 2. A process according to claim 1, wherein step (e) is a filtration step.
- 3. A process according to anyone of the preceding claims, wherein step (c) is a filtration step, and by extracting the broth filtrate with an organic solvent immiscible with water at a pH of lower than about 4.5 and back-extracting the same with water at a pH between 4 and 10.

25

15

4. A process according to anyone of the preceding claims wherein the expandase gene is derived from <u>Streptomyces clavuligerus</u> or <u>Nocardia lactamdurans</u>.

# INTERNATIONAL SEARCH REPORT

Intr tional Application No PCI/EP 96/02434

A. CLASS IPC 6	SIFICATION OF SUBJECT MATTER C12P35/00		
According t	to International Patent Classification (IPC) or to both national clas	sification and IPC	
	S SEARCHED		
IPC 6	documentation searched (classification system followed by classification s	ation symbols)	
Documenta	ation searched other than minimum documentation to the extent tha	t such documents are included in the fields s	searched
Electronic o	data base consulted during the international search (name of data b	ase and, where practical, search terms used)	
C. DOCUM	MENTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with indication, where appropriate, of the	relevant passages	Relevant to claim No.
X	CURRENT GENTICS, vol. 17, pages 213-221, XP002014069 C. CANTWELL ET AL: "Cloning and expression of a hybrid Streptomy		1-4
	clavuligerus cefE gene in Penici chrysogenum" cited in the application see page 213, last paragraph - p paragraph 1 *discussion*		
Y	EP,A,0 540 210 (MERCK & CO INC) cited in the application see the whole document	5 May 1993	1-4
X Furt	ther documents are listed in the continuation of box C.	X Patent family members are listed	in annex.
'A' docum consid 'E' earlier filling 'L' docum which citatio 'O' docum other: 'P' docum later t	nent defining the general state of the art which is not dered to be of particular relevance document but published on or after the international date ent which may throw doubts on priority claim(s) or is cited to establish the publication date of another on or other special reason (as specified) ent referring to an oral disclosure, use, exhibition or means ent published prior to the international filing date but than the priority date claimed	"T" later document published after the into or priority date and not in conflict we cited to understand the principle or the invention of the cannot be considered novel or cannot involve an inventive step when the decannot be considered to involve an inventive step when the decannot be considered to involve an indocument is combined with one or ments, such combination being obvious in the art.  "&" document member of the same patent Date of mailing of the international set.  10. 2. 10. 96	ith the application but heory underlying the claimed invention to econsidered to occurrent is taken alone claimed invention heenive step when the lore other such docupus to a person skilled tramily
Name and	mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL - 2280 HV Rijswijk  Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl,  Fax (+ 31-70) 340-3016	Authorized officer  Van der Schaal, (	

• 1

## INTERNATIONAL SEARCH REPORT

In' stional Application No
PuT/EP 96/02434

	PuT/EP 96/02434
ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
BIOTECHNOLOGY, vol. 13, pages 58-62, XP002014070	1-4
L. CRAWFORD ET AL: "Production of cephalosporin intermediates by feeding adipic acid to recombinant Penicillium chrysogenum strains expressing ring	•
expansion activity" cited in the application see the whole document	
EP,A,O 268 343 (UNIV OXFORD) 25 May 1988 cited in the application see the whole document	1-4
WO,A,95 04149 (GIST BROCADES NV ;BOVENBERG ROELOF ARY LANS (NL); KOEKMAN BERTUS P) 9 February 1995 cited in the application see the whole document	1-4
WO,A,95 04148 (GIST BROCADES NV ;BOVENBERG ROELOF ARY LANS (NL); KOEKMAN BERTUS P) 9 February 1995 cited in the application see the whole document	1-4
EP,A,O 341 892 (LILLY CO ELI) 15 November 1989 see page 10, line 7 - line 12	
TETRAHEDRON, vol. 43, no. 13, pages 3009-3014, XP002014071 J. BALDWIN ET AL: "The enzymatic ring expansion of penicillins to cephalosporins: side chain specificity" cited in the application see the whole document	
	BIOTECHNOLOGY, vol. 13, pages 58-62, XP002014070 L. CRAWFORD ET AL: "Production of cephalosporin intermediates by feeding adipic acid to recombinant Penicillium chrysogenum strains expressing ring expansion activity" cited in the application see the whole document  EP,A,0 268 343 (UNIV OXFORD) 25 May 1988 cited in the application see the whole document  WO,A,95 04149 (GIST BROCADES NV ;BOVENBERG ROELOF ARY LANS (NL); KOEKMAN BERTUS P) 9 February 1995 cited in the application see the whole document  WO,A,95 04148 (GIST BROCADES NV ;BOVENBERG ROELOF ARY LANS (NL); KOEKMAN BERTUS P) 9 February 1995 cited in the application see the whole document  WO,A,95 04148 (GIST BROCADES NV ;BOVENBERG ROELOF ARY LANS (NL); KOEKMAN BERTUS P) 9 February 1995 cited in the application see the whole document  EP,A,0 341 892 (LILLY CO ELI) 15 November 1989 see page 10, line 7 - line 12  TETRAHEDRON, vol. 43, no. 13, pages 3009-3014, XP002014071 J. BALDWIN ET AL: "The enzymatic ring expansion of penicillins to cephalosporins: side chain specificity" cited in the application

# INTERNATIONAL SEARCH REPORT

information on patent family members

Into tional Application No
PUT/EP 96/02434

Patent document cited in search report	Publication date	Patent : memb		Publication date
EP-A-0540210	05-05-93	AU-B- AU-A- BG-A- CA-A- CN-A- CZ-A- FI-A- HU-A- JP-A- NO-A- NZ-A- WO-A- ZA-A-	657800 2701692 98714 2080573 1074484 9400884 941730 69783 6113884 941345 244714 9308287 9207906	23-03-95 22-04-93 28-02-95 16-04-93 21-07-93 15-03-95 14-04-94 28-09-95 26-04-94 15-06-94 25-03-94 29-04-93 03-06-94
EP-A-0268343	25-05-88	DE-A- ES-T- JP-A-	3779403 2042549 63129995	02-07-92 16-12-93 02-06-88
WO-A-9504149	09-02-95	CA-A- EP-A- PL-A-	2168004 0711348 312747	09-02-95 15-05-96 13-05-96
WO-A-9504148	09-02-95	CA-A- EP-A- PL-A-	2168431 0716698 312746	09-02-95 19-06-96 13-05-96
EP-A-0341892	15-11-89	US-A- AU-B- AU-A- HU-B- JP-A-	5070020 622662 3408289 209581 2027984	03-12-91 16-04-92 09-11-89 29-08-94 30-01-90