



(51) International Patent Classification:

A61P 29/00 (2006.01) A61P 37/00 (2006.01)  
A61K 31/541 (2006.01) C07D 263/48 (2006.01)

(21) International Application Number:

PCT/EP2021/058864

(22) International Filing Date:

06 April 2021 (06.04.2021)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

2005114.0 07 April 2020 (07.04.2020) GB

(71) Applicant: **SAREUM LIMITED** [GB/GB]; Unit 2A, Langford Arch, London Road, Pampisford, Cambridge CB22 3FX (GB).

(72) Inventors: **READER, John Charles**; c/o Sareum Limited, Unit 2A, Langford Arch, London Road, Pampisford, Cambridge CB22 3FX (GB). **MARSHALL, Jamie Conor**; UK Dudley, Sterling Place, Dudley, Cramlington, Northumberland NE23 7QG (GB). **MYKYTIUK, John**; UK Dudley, Sterling Place, Dudley, Cramlington, Northumberland NE23 7QG (GB). **NORTHEN, Julian Scott**; c/o Onxy Scientific Limited, Units 97 & 98 Silverbriar, Sunderland En-

terprise Park East, Sunderland, Tyne and Wear SR5 2TQ (GB).

(74) Agent: **BAJJON, Alexander**; Schlich, 9 St Catherine's Road, Littlehampton, West Sussex BN17 5HS (GB).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DJ, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, IT, JO, JP, KE, KG, KH, KN, KP, KR, KW, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, WS, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

(54) Title: CRYSTALLINE FORMS OF A TYK2 INHIBITOR

(57) Abstract: The invention provides crystalline forms of a compound having the formula (I) along with methods of making the crystalline forms and pharmaceutical formulations comprising the crystalline forms.

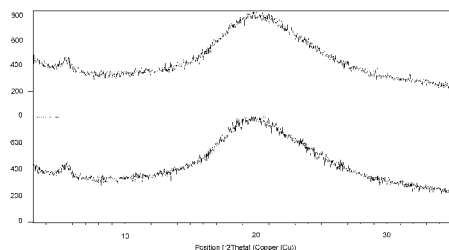
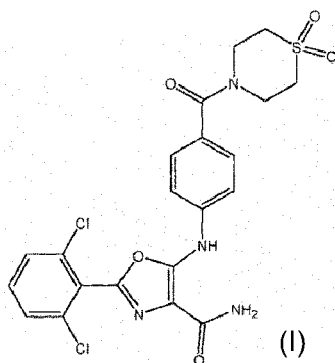


Figure 1



**Declarations under Rule 4.17:**

- *as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))*
- *as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))*

**Published:**

- *with international search report (Art. 21(3))*
- *with sequence listing part of description (Rule 5.2(a))*

## CRYSTALLINE FORMS OF A TYK2 INHIBITOR

This invention relates to crystalline forms of the compound 2-(2,6-dichlorophenyl)-5-[4-(1,1-dioxo-1,4-thiazinane-4-carbonyl)anilino]oxazole-4-carboxamide, pharmaceutical compositions containing them and their use in the treatment of various diseases such as autoimmune diseases.

**Background of the Invention**

Protein kinases constitute a large family of structurally related enzymes that are responsible for the control of a wide variety of signal transduction processes within the cell (Hardie and Hanks (1995) *The Protein Kinase Facts Book. I and II*, Academic Press, San Diego, CA). The kinases may be categorized into families by the substrates they phosphorylate (e.g., protein-tyrosine, protein-serine/threonine, lipids, etc.). Sequence motifs have been identified that generally correspond to each of these kinase families (e.g., Hanks and Hunter, *FASEB J.*, (1995) 9, 576-596; Knighton, *et al.*, *Science*, (1991) 253, 407-414; Hiles, *et al.*, *Cell*, (1992) 70, 419-429; Kunz, *et al.*, *Cell*, (1993) 73, 585-596; Garcia-Bustos, *et al.*, *EMBO J.*, (1994) 13, 2352-2361).

Protein kinases may be characterized by their regulation mechanisms. These mechanisms include, for example, autophosphorylation, transphosphorylation by other kinases, protein-protein interactions, protein-lipid interactions, and protein-polynucleotide interactions. An individual protein kinase may be regulated by more than one mechanism.

Kinases regulate many different cell processes including, but not limited to, proliferation, differentiation, apoptosis, motility, transcription, translation and other signalling processes, by adding phosphate groups to target proteins. These phosphorylation events act as molecular on/off switches that can modulate or regulate the target protein biological function. Phosphorylation of target proteins occurs in response to a variety of extracellular signals (hormones, neurotransmitters, growth and differentiation factors, etc.), cell cycle events, environmental or nutritional stresses, etc. The appropriate protein kinase functions in signalling pathways to activate or inactivate (either directly or indirectly), for example, a metabolic enzyme, regulatory protein, receptor, cytoskeletal protein, ion channel or pump, or transcription factor. Uncontrolled signalling due to defective control of protein phosphorylation has been implicated in a number of diseases, including, for example, inflammation, cancer, allergy/asthma, disease and conditions of the immune system, disease and conditions of the central nervous system, and angiogenesis.

The Janus kinase (JAK) family is a family of intracellular non-receptor tyrosine kinases, ranging in size from 120-140 kDa, that transduce cytokine-mediated signals via the JAK-STAT pathway. The JAK family plays a role in the cytokine-dependent regulation of proliferation and function of cells involved in immune response. Currently, there are four  
5 known mammalian JAK family members: JAK1, JAK2, JAK3 and TYK2. JAK1, JAK2 and TYK2 are ubiquitously expressed whereas JAK3 is expressed in the myeloid and lymphoid lineages. The JAK family members are non-receptor tyrosine kinases that associate with many hematopoietin cytokines, receptor tyrosine kinases and GPCR's.

Each JAK kinase protein has a kinase domain and a catalytically inactive pseudo-kinase  
10 domain. The JAK proteins bind to cytokine receptors through their amino-terminal FERM (Band-4.1, ezrin, radixin, moesin) domains. After the binding of cytokines to their receptors, JAKs are activated and phosphorylate the receptors, thereby creating docking sites for signalling molecules, especially for members of the signal transducer and activator of transcription (STAT) family (Yamaoka et al, 2004. The Janus kinases (Jaks).  
15 Genome Biology 5(12): 253).

In mammals, JAK1, JAK2 and TYK2 are ubiquitously expressed. TYK2 activates signal transducer and activator of transcription (STAT)-dependent gene expression and functional responses of interleukin-12, interleukin-23, and type I and III interferon receptors (Papp *et al.*, The New England Journal of Medicine, 12 September 2018, DOI:  
20 10.1056/NEJMoa1806382 and references cited therein) These cytokine pathways are involved in the pathologic processes associated with immune-mediated disorders, including psoriasis, and are reported (Papp *et al.*, *idem*) to be distinct from responses driven by Janus kinase (JAK) 1 (JAK1), JAK1 and JAK3 in combination, JAK2, or other signalling kinases.

Interleukin-23 (IL-23), composed of two subunits p19 and p40, is considered to be essential for the survival and expansion of Th17 cells which produce pro-inflammatory cytokines such as IL-17A, IL-17F, IL-6 and TNF $\alpha$  (see WO2014/07466 and references cited therein). These cytokines are reported as being critical in mediating the  
25 pathobiology of a number of autoimmune diseases including rheumatoid arthritis, multiple sclerosis, inflammatory bowel disease, and lupus.  
30

IL-23 acts through a heterodimeric receptor composed of IL-12R $\beta$ 1 and IL-23R.

IL-12, in addition to the p40 subunit in common with IL-23, contains a p35 subunit and acts through a heterodimeric receptor composed of IL-12R1 $\beta$  and IL-12R $\beta$ 2. IL-12 is essential for Th1 cell development and secretion of IFN $\gamma$ , a cytokine which plays a critical

role in immunity by stimulating MHC expression, class switching of B cells to IgG subclasses, and the activation of macrophages (Gracie, J. A. *et al.*, "Interleukin-12 induces interferon- gamma-dependent switching of IgG alloantibody subclass", *Eur. J. Immunol*, 26: 1217- 1221 (1996); Schroder, K. *et al.*, "Interferon-gamma: an overview of signals, mechanisms and functions", *J. Leukoc. Biol*, 75(2): 163-189 (2004)).

TYK2 associates with the IL-12R $\beta$ 1 subunit in the IL-12 and IL-23 receptors.

The importance of the p40-containing cytokines in autoimmunity is demonstrated by the discovery that mice deficient in either p40, p19, or IL-23R are protected from disease in models of multiple sclerosis, rheumatoid arthritis, inflammatory bowel disease, lupus and psoriasis, among others (Kyttaris, V.C. *et al.*, "Cutting edge: IL-23 receptor deficiency prevents the development of lupus nephritis in C57BL/6-lpr/lpr mice", *J. Immunol*, 184:4605-4609 (2010); Hong, K. *et al.*, "IL-12, independently of IFN-gamma, plays a crucial role in the pathogenesis of a murine psoriasis like skin disorder", *J. Immunol*, 162:7480-7491 (1999); Hue, S. *et al.*, "Interleukin-23 drives innate and T cell-mediated intestinal inflammation", *J. Exp. Med.*, 203:2473-2483 (2006); Cua, D.J. *et al.*, "Interleukin-23 rather than interleukin-12 is the critical cytokine for autoimmune inflammation of the brain", *Nature*, 421 :744-748 (2003); Murphy, C.A. *et al.*, "Divergent pro- and anti-inflammatory roles for IL-23 and IL-12 in joint autoimmune inflammation", *J. Exp. Med*, 198: 1951-1957 (2003)).

The role of TYK2 in the biological response to cytokines has been characterized using a mutant human cell line that was resistant to the effects of Type I interferons (IFNs) and by demonstrating that IFN $\alpha$  responsiveness could be restored by genetic complementation of TYK2 (Velazquez *et al.*, 1992. *Cell* 70, 313-322). Further *in vitro* studies have implicated TYK2 in the signalling pathways of multiple other cytokines involved in both innate and adaptive immunity. However, analysis of TYK2<sup>-/-</sup> mice revealed less profound immunological defects than were anticipated (Karaghiosoff *et al.*, 2000. *Immunity* 13, 549-560; Shimoda *et al.*, 2000. *Immunity* 13, 561-671). Surprisingly, TYK2 deficient mice display merely reduced responsiveness to IFN $\alpha/\beta$  and signal normally to interleukin 6 (IL-6) and interleukin 10 (IL- 10), both of which activate TYK2 *in vitro*. In contrast, TYK2 was shown to be essential for IL-12 signalling with the absence of TYK2 resulting in defective STAT4 activation and the failure of T cells from these mice to differentiate into IFN $\gamma$ -producing Th1 cells. Consistent with the involvement of TYK2 in mediating the biological effects of Type I IFNs and IL-12, TYK2<sup>-/-</sup> mice were more susceptible to viral and bacterial infections.

The first patient with an autosomal recessive TYK2 deficiency was described by Minegishi *et al*, 2006. *Immunity* 25, 745-755. The homozygous deletion of four base pairs (GCTT at nucleotide 550 in the TYK2 gene) and consequent frameshift mutation in the patient's coding DNA introduced a premature stop codon and resulted in the truncation of the TYK2 protein at amino acid 90. The phenotype of this null mutation in human cells was much more severe than predicted by the studies in murine cells lacking TYK2. The patient displayed clinical features reminiscent of the primary immunodeficiency hyper-IgE syndrome (HIES) including recurrent skin abscesses, atopic dermatitis, highly elevated serum IgE levels and susceptibility to multiple opportunistic infections.

Contrary to reports in TYK2<sup>-/-</sup> mice, signalling by a wide variety of cytokines was found to be impaired thus highlighting non-redundant roles for human TYK2 in the function of Type I IFNs, IL-6, IL-10, IL-12 and IL-23. An imbalance in T helper cell differentiation was also observed, with the patient's T cells exhibiting an extreme skew towards the development of IL-4 producing Th2 cells and impaired Th1 differentiation. Indeed, these cytokine signalling defects could be responsible for many of the clinical manifestations described, for example atopic dermatitis and elevated IgE levels (enhanced Th2), increased incidence of viral infections (IFN defect), infection with intracellular bacteria (IL-12/Th1 defect) and extracellular bacteria (IL-6 and IL-23/Th17 defect).

Seven further TYK2-deficient patients from five families and four different ethnic groups were identified by Kreins *et al.*, pages 1-22, *The Journal of Experimental Medicine*, published 24 August 2015. These patients were homozygous for one of five null mutations. By comparing the data obtained by Minegishi *et al.* with the data obtained for the seven further TYK2-deficient patients, Kreins *et al.* concluded that the core clinical phenotype of TYK2 deficiency is mycobacterial and/or viral infections, caused by impaired responses to IL-12 and IFN- $\alpha/\beta$  but that impaired IL-6 responses and HIES do not appear to be intrinsic features of TYK2 deficiency in humans.

Emerging evidence from genome-wide association studies suggests that single nucleotide polymorphisms (SNPs) in the TYK2 gene significantly influence autoimmune disease susceptibility.

Less efficient TYK2 variants are associated with protection against systemic lupus erythematosus (SLE) (TYK2 rs2304256 and rs12720270, Sigurdsson *et al*, 2005. *Am. J. Hum. Genet.* 76, 528-537; Graham *et al*, 2007. *Rheumatology* 46, 927-930; Hellquist *et al*, 2009. *J. Rheumatol.* 36, 1631-1638; Jarvinen *et al*, 2010. *Exp. Dermatol.* 19, 123-131) and multiple sclerosis (MS) (rs34536443, Ban *et al*, 2009. *Eur. J. Hum. Genet.* 17, 1309-1313; Mero *et al*, 2009. *Eur. J. Hum. Genet.* 18, 502-504), whereas predicted gain-of-

function mutations increase susceptibility to inflammatory bowel disease (IBD) (rs280519 and rs2304256, Sato *et al*, 2009. *J. Clin. Immunol.* 29, 815-825).

It has been reported (see WO2014074661 and references cited therein) that in humans, individuals expressing an inactive variant of TYK2 are protected from multiple sclerosis and possibly other autoimmune disorders, and that genome-wide association studies  
5 have shown other variants of TYK2 to be associated with autoimmune disorders such as Crohn's Disease, psoriasis, systemic lupus erythematosus, and rheumatoid arthritis, further demonstrating the importance of TYK2 in autoimmunity.

In support of the involvement of TYK2 in immunopathologic disease processes, it has  
10 been shown that B10.D1 mice harbouring a missense mutation in the pseudokinase domain of TYK2 that results in the absence of encoded TYK2 protein are resistant to both autoimmune arthritis (CIA) and experimental autoimmune encephalomyelitis (EAE) (Shaw *et al*, 2003. *PNAS* 100, 11594- 11599; Spach *et al*, 2009. *J. Immunol.* 182, 7776-7783). Furthermore, a recent study showed that TYK2<sup>-/-</sup> mice were completely resistant to MOG-  
15 induced EAE (Oyamada *et al*, 2009. *J. Immunol.* 183, 7539-7546). In these mice resistance was accompanied by a lack of CD4 T cells infiltrating the spinal cord, a failure to signal through IL-12R and IL-23R and hence the inability to upregulate encephalitogenic levels of IFN $\gamma$  and IL-17.

Overexpression of TYK2 kinase has been implicated in the development of some disease  
20 states. For example, elevated levels of TYK2 were found in patients suffering from progressive pulmonary sarcoidosis (Schischmanoff *et al.*, *Sarcoidosis Vasc. Diffuse.*, 2006, 23(2), 101-7).

Thus, the available evidence strongly indicates that TYK2 plays essential roles in both innate and adaptive immunity. A lack of TYK2 expression manifests in the attenuated  
25 signalling of multiple proinflammatory cytokines and a profound imbalance in T helper cell differentiation. Furthermore, evidence from genetic association studies supports that TYK2 is a shared autoimmune disease susceptibility gene. Taken together, these reasons suggest TYK2 as a target for the treatment of inflammatory and auto-immune diseases.

Several JAK family inhibitors have been reported in the literature which may be useful in  
30 the medical field (Ghoreschi *et al*, 2009. *Immunol Rev*, 228:273-287). It has been proposed that a selective TYK2 inhibitor that inhibits TYK2 with greater potency than JAK2 may have advantageous therapeutic properties, because inhibition of JAK2 can cause anemia (Ghoreschi *et al*, 2009. *Nature Immunol.* 4, 356-360).

Papp *et al.* (The New England Journal of Medicine, 12 September 2018, DOI: 10.1056/NEJMoa1806382) disclose the results obtained in Phase II clinical trials of the oral selective TYK2 inhibitor BMS-986165 in treating psoriasis and concluded that the results indicated a therapeutic benefit.

5 WO2014/074661 (Bristol-Myers Squibb) discloses a class of pyridazine and triazine amides as TYK2 inhibitors that are useful in the modulation of IL-12 IL-23 and/or IFN $\alpha$ . It is suggested that the compounds will be useful in the treatment of various inflammatory and autoimmune diseases.

10 WO2016/027195 (Pfizer) discloses a series of aminopyrimidinyl compounds having JAK kinase inhibiting activity, including activity against TYK2 kinase.

WO2012/000970 (Cellzome) discloses a series of triazolopyridines as TYK2 kinase inhibitors. WO2011/113802 (Roche) discloses a series of imidazopyridines as TYK2 kinase inhibitors. The properties of JAK kinases and their relevance as therapeutic targets are also disclosed in WO2008/156726, WO2009/155156, WO2010/005841 and  
15 WO2010/011375, all in the name of Merck.

WO2010/055304 and EP2634185 (both in the name of Sareum) disclose a family of substituted oxazole carboxamides for use in the prophylaxis or treatment of autoimmune diseases and in particular multiple sclerosis. The compounds disclosed in  
20 WO2010/055304 are described as being FLT3 kinase inhibitors. The kinase inhibiting effect of oxazole carboxamides is also disclosed in International patent application WO2008/139161 (Sareum).

WO2015/032423 (Sareum) discloses the use of a subset of oxazole carboxamide compounds as TYK2 kinase inhibitors. The compounds are described as being useful in the treatment of inflammatory and immunological disorders such as autoimmune  
25 diseases.

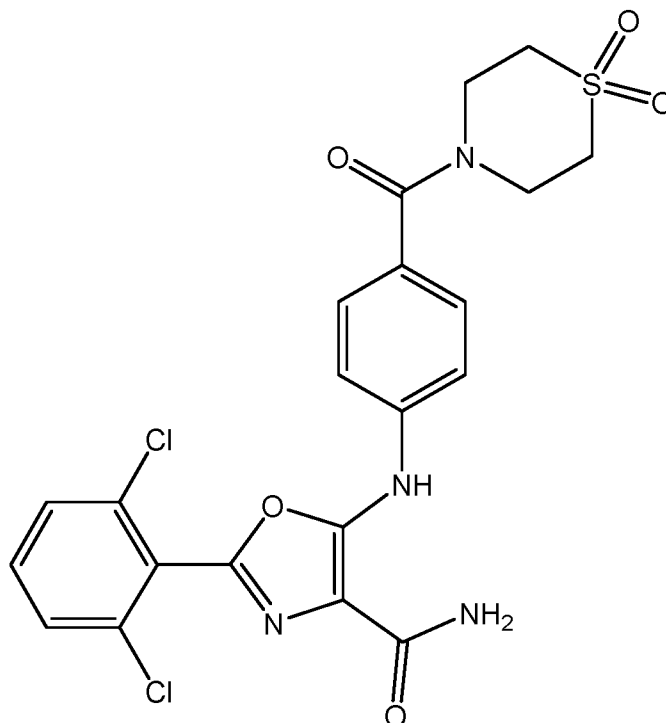
WO2018/073438 (Sareum) discloses the use of a subset of oxazole carboxamide compounds having TYK2 kinase inhibitory activity for use in treating T-cell lymphoblastic leukemias and cancers (such as hematopoietic cancers) which depend on the Janus kinase TYK2 for cancer cell survival.

30 Our earlier International patent application PCT/EP2019/077118 discloses the compound 2-(2,6-dichlorophenyl)-5-[4-(1,1-dioxo-1,4-thiazinane-4-carbonyl)anilino]oxazole-4-carboxamide as a TYK2 kinase inhibitor having improved potency against TYK2 and improved pharmacokinetic properties.

## The Invention

It has now been found that the compound of formula (1), 2-(2,6-dichlorophenyl)-5-[4-(1,1-dioxo-1,4-thiazinane-4-carbonyl)anilino]oxazole-4-carboxamide, can form several different crystalline forms.

- 5 Accordingly, in a first embodiment (Embodiment 1.0), the invention provides a substantially crystalline form of a compound having the formula **(1)**:



The crystalline form can be, for example, any one of crystalline forms A, B, C and D as defined herein.

- 10 The above compound may be referred to herein variously as “a compound having the formula **(1)**”, “the compound of formula **(1)**”, “compound (1)”, “compound of the formula (1)”, “Compound (1)” or “a compound of the invention”, or like terms, and these terms are used herein as synonyms.

- 15 In an amorphous solid, the three-dimensional structure that normally exists in a crystalline form does not exist and the positions of the molecules relative to one another in the amorphous form are essentially random, see for example Hancock *et al. J. Pharm. Sci.* (1997), 86, 1).

The term “substantially crystalline” refers to forms of the compound of formula (1) in which it is from 50% to 100% crystalline. Within this range, the compound of formula (1)

may be at least 55% crystalline, or at least 60% crystalline, or at least 70% crystalline, or at least 80% crystalline, or at least 90% crystalline, or at least 95% crystalline, or at least 98% crystalline, or at least 99% crystalline, or at least 99.5% crystalline, or at least 99.9% crystalline, for example 100% crystalline.

- 5 The crystalline form of the compound of formula (1) is preferably one having a crystalline purity of at least 90%, more preferably at least 95%; i.e. at least 90% (more preferably at least 95%) of the compound is of a single crystalline form (e.g. Form A or Form B).

The crystalline forms of the compound of the invention may be solvated (e.g. hydrated) or non-solvated (e.g. anhydrous).

- 10 The term "anhydrous" as used herein does not exclude the possibility of the presence of some water on or in the compound (e.g. a crystal of the compound). For example, there may be some water present on the surface of the compound (e.g. crystal), or minor amounts within the body of the compound (e.g. crystal). Typically, an anhydrous form contains fewer than 0.4 molecules of water per molecule of compound, and more  
15 preferably contains fewer than 0.1 molecules of water per molecule of compound, for example 0 molecules of water.

- Where the crystalline forms are hydrated, they can contain, for example, up to three molecules of water of crystallisation, more usually up to two molecules of water, e.g. one molecule of water or two molecules of water. Non-stoichiometric hydrates may also be  
20 formed in which the number of molecules of water present is less than one or is otherwise a non-integer. For example, where there is less than one molecule of water present, there may be for example 0.4, or 0.5, or 0.6, or 0.7, or 0.8, or 0.9 molecules of water present per molecule of compound (1).

- The crystalline forms described herein, crystals thereof and their crystal structure form  
25 further aspects of the invention.

- The crystalline forms can be characterised using a number of techniques including, X-ray powder diffraction (XRPD), single crystal X-ray diffraction, differential scanning calorimetry (DSC) and thermogravimetric analysis (TGA). The behaviour of the crystals under conditions of varying humidity can be analysed by gravimetric vapour sorption  
30 studies (such as dynamic vapour sorption (DVS)).

The crystalline structure of a compound can be analysed by the solid-state technique of X-ray Powder Diffraction (XRPD). XRPD can be carried out according to conventional

methods such as those described herein (see the Examples below) and in “Introduction to X-ray Powder Diffraction”, Ron Jenkins and Robert L. Snyder (John Wiley & Sons, New York, 1996). The presence of defined peaks (as opposed to random background noise) in an XRPD diffractogram indicates that the compound has a degree of crystallinity.

5 A compound's X-ray powder pattern is characterised by the diffraction angle ( $2\theta$ ) and interplanar spacing ( $d$ ) parameters of an X-ray diffraction spectrum. These are related by Bragg's equation,  $n\lambda=2d \sin \theta$ , (where  $n=1$ ;  $\lambda$ =wavelength of the X-ray radiation;  $d$ =interplanar spacing; and  $\theta$ =diffraction angle). XRPD data for each of the crystalline Forms A, B, C and D are set out below. The relative intensities given should not be strictly  
10 interpreted since they may vary depending on the direction of crystal growth, particle sizes and the measurement conditions. In addition, the diffraction angles usually mean those that coincide in the range of  $2\theta \pm 0.2^\circ$ .

#### Form A

Form A is typically formed when the compound is dispersed in an aqueous solvent and  
15 conditions are created to allow crystallisation into Form A to take place. For example, Form A can be prepared by dissolving or suspending amorphous compound in an aqueous solvent such as water, 4:1 MeCN/water or 4:1 THF/water, and then subjecting the mixture to one or more (e.g. two) heating and cooling cycles whereby the mixture is heated to a moderately elevated temperature such as  $50^\circ\text{C}$ , held at the moderately  
20 elevated temperature for a period of time (e.g. at least several hours), cooled to a lower temperature around room temperature (e.g. around  $25^\circ\text{C}$ ) and allowed to equilibrate for a period of time at the lower temperature. Form A can also be prepared by dissolving compound (1) in a water miscible solvent such as DMSO and then slowly adding water, e.g. over a period of at least an hour and more usually at least one and a half hours (e.g.  
25 approximately two hours).

Further methods of preparing crystalline Form A are set out below and in the Examples.

The XRPD diffractogram for Form A is shown in Figure 1.

The X-ray diffraction pattern of crystalline Form A of compound (1) exhibits peaks of greatest intensity at the diffraction angles ( $2\theta$ ) set out in Table A-1, i.e.  $23.1^\circ$ ,  $12.3^\circ$ ,  $16.7^\circ$ ,  
30  $20.7^\circ$  and  $13.7^\circ (\pm 0.2^\circ)$ .

<u>Table A-1</u>
------------------

Diffraction Angle (°)	Relative Intensity
23.1	100
12.3	89.3
16.7	80.1
20.7	57.6
13.7	51.4

Accordingly, in further embodiments, the invention provides:

- 1.1 A crystalline form according to Embodiment 1.0 which is of Form A as defined herein.
- 5 1.2 A substantially crystalline form (Form A) of the compound of formula **(1)** having an X-ray powder diffraction pattern characterised by the presence of major peaks at the diffraction angles ( $2\theta$ ) 23.1° and/or 12.3° and/or 16.7° and/or 20.7° and/or 13.7°.
- 1.3 A substantially crystalline form (Form A) of the compound of formula **(1)** having an X-ray powder diffraction pattern characterised by the presence of a major peak at the  
10 diffraction angle ( $2\theta$ ) 23.1° ( $\pm 0.2^\circ$ ).
- 1.4 A substantially crystalline form (Form A) of the compound of formula **(1)** having an X-ray powder diffraction pattern characterised by the presence of a major peak at the diffraction angle ( $2\theta$ ) 12.3° ( $\pm 0.2^\circ$ ).
- 1.5 A substantially crystalline form (Form A) of the compound of formula **(1)** having an  
15 X-ray powder diffraction pattern characterised by the presence of a major peak at the diffraction angle ( $2\theta$ ) 16.7° ( $\pm 0.2^\circ$ ).
- 1.6 A substantially crystalline form (Form A) of the compound of formula **(1)** having an X-ray powder diffraction pattern characterised by the presence of a major peak at the diffraction angle ( $2\theta$ ) 20.7° ( $\pm 0.2^\circ$ ).
- 20 1.7 A substantially crystalline form (Form A) of the compound of formula **(1)** having an X-ray powder diffraction pattern characterised by the presence of a major peak at the diffraction angle ( $2\theta$ ) 13.7° ( $\pm 0.2^\circ$ ).

1.8 A substantially crystalline form (Form A) of the compound of formula **(1)** having an X-ray powder diffraction pattern characterised by the presence of major peaks at two or more, e.g. three or more, or four or more, and in particular five diffraction angles ( $2\theta$ ) selected from 23.1°, 12.3°, 16.7°, 20.7° and 13.7° ( $\pm 0.2^\circ$ ).

- 5 The X-ray powder diffraction pattern of Form A of compound (1) may also have lesser peaks present at the diffraction angles ( $2\theta$ ) set out in Table A-2, i.e. 21.9°, 20.8°, 10.7°, 23.6° and 21.4° ( $\pm 0.2^\circ$ ).

Table A-2	
Diffraction Angle (°)	Relative Intensity
21.9	48.5
20.8	45.2
10.7	43.2
23.6	41.8
21.4	40.1

Therefore, in further embodiments, the invention provides:

- 10 1.9 A substantially crystalline form (Form A) of the compound of formula **(1)** having an X-ray powder diffraction pattern characterised by the presence of major peaks at the diffraction angles ( $2\theta$ ) 23.1° and/or 12.3° and/or 16.7° and/or 20.7° and/or 13.7° (e.g. at least four and more particularly at least five of the diffraction angles), and optionally one or more further peaks at diffraction angles ( $2\theta$ ) selected from 21.9°, 20.8°, 10.7°, 23.6°  
15 and 21.4° ( $\pm 0.2^\circ$ ).

- 1.10 A substantially crystalline form (Form A) of the compound of formula **(1)** having an X-ray powder diffraction pattern characterised by the presence of major peaks at the diffraction angles ( $2\theta$ ) 23.1° and/or 12.3° and/or 16.7° and/or 20.7° and/or 13.7° (e.g. at least four and more particularly at least five of the diffraction angles), and optionally one  
20 or more further peaks at diffraction angles ( $2\theta$ ) selected from 21.9°, 20.8° and 10.7° ( $\pm 0.2^\circ$ ).

1.11 A substantially crystalline form (Form A) of the compound of formula **(1)** having an X-ray powder diffraction pattern characterised by the presence of major peaks at the

diffraction angles ( $2\theta$ ) 23.1°, 12.3°, 16.7°, 20.7° and 13.7°, and one or more further peaks at diffraction angles ( $2\theta$ ) selected from 21.9°, 20.8° and 10.7° ( $\pm 0.2^\circ$ ).

The X-ray powder diffraction pattern may be further characterised by the presence of additional peaks at the diffraction angles ( $2\theta$ ) ( $\pm 0.2^\circ$ ) set out in Table A-3.

Table A-3	
Diffraction Angle (°)	Relative Intensity
21.1	36.7
26.0	29.6
24.7	28.9
15.9	25.8
17.9	25.3

5

Accordingly, in further embodiments, the invention provides:

1.12 A substantially crystalline form (Form A) of the compound of formula (1) having an X-ray powder diffraction pattern characterised by the presence of major peaks at the diffraction angles ( $2\theta$ ) 23.1°, 12.3°, 16.7°, 20.7° and 13.7°; one or more further peaks at  
10 diffraction angles ( $2\theta$ ) selected from 21.9°, 20.8°, 10.7°, 23.6° and 21.4° ( $\pm 0.2^\circ$ ); and optionally one or more further peaks at diffraction angles ( $2\theta$ ) selected from 21.1°, 26.0°, 24.7°, 15.9° and 17.9° ( $\pm 0.2^\circ$ ).

1.13 A substantially crystalline form (Form A) of compound (1) which exhibits peaks at the diffraction angles set forth in Table 3 in the Examples section herein which have a  
15 relative intensity of at least 15%.

1.14 A substantially crystalline form (Form A) of compound (1) which exhibits peaks at the diffraction angles corresponding to those of the X-ray powder diffraction pattern shown in Figure 3.

1.15 A substantially crystalline form (Form A) of compound (1) having an X-ray powder  
20 diffraction pattern substantially as shown in Figure 3.

The crystalline form of the invention can also be characterised by differential scanning calorimetry (DSC) and has been found to exhibit an endothermic event with an onset

temperature of about 182 °C and a peak at about 194 °C as shown in Figure 4 of the accompanying drawings.

Therefore, in a further embodiment, the invention provides:

5 1.16 A substantially crystalline form (Form A) of compound (1) according to any one of Embodiments 1.1 to 1.15 which has a DSC thermogram characterized by an endotherm with an onset and maxima at about an onset temperature of about 182 °C and a peak at about 194 °C.

10 1.17 A substantially crystalline form (Form A) of compound (1) according to any one of Embodiments 1.1 to 1.15 which has a DSC thermogram substantially as shown in Figure 4 of the drawings appended hereto.

The invention also provides methods for making crystalline Form A. Accordingly, in further embodiments, the invention provides:

15 1.18 A method for the preparation of a substantially crystalline form (Form A) of compound (1) as defined in any one of Embodiments 1.1 to 1.17, which method comprises:

- (i) dispersing an amorphous form of compound (1) in an aqueous solvent selected from water, water/acetonitrile (e.g. 4:1 MeCN/water) and water/THF (e.g. 4:1 THF/water) to form a mixture;
- (ii) heating the mixture to a moderately elevated temperature in the range from 45-65 °C and holding the mixture at the moderately elevated temperature for a period of at least 10 hours (for example from 10 to 25 hours, e.g. about 17 hours);
- (iii) cooling or allowing the cooling of the mixture from the moderately elevated temperature to a lower temperature in the range from 15-30 °C (e.g. 20-30 °C such as approximately 25 °C) and holding the mixture at the lower temperature for a period of at least 2 hours (e.g. 2 to 8 hours such as approximately 4.5 hours); and
- (iv) optionally subjecting the mixture to a further heating and cooling cycle comprising heating the mixture to a moderately elevated temperature in the range from 45-65 °C and holding the mixture at the moderately elevated temperature for a period of at least 10 hours (for example from 10 to 25 hours, e.g. about 16 hours); cooling the mixture to a lower temperature in the range from 15-30 °C (e.g. 20-30 °C such as approximately 25 °C) and optionally holding the mixture at the lower temperature for a period of at least half an hour (e.g. up to approximately 1 hour); and

(v) isolating (e.g. by filtration) the crystalline Form A of compound (1) thus formed.

1.19 A method for the preparation of a substantially crystalline form (Form A) of compound (1) as defined in any one of Embodiments 1.1 to 1.17, which method comprises:

- 5 (i) dissolving compound (1) in a mixture of a water miscible organic solvent (such as THF or acetonitrile) and water with heating to a moderately elevated temperature (e.g. from 50 °C to 70 °C, such as approximately 60 °C);
- (ii) adding an antisolvent such as a hydrocarbon or halogenated hydrocarbon (e.g. cyclohexane, heptane, benzotrifluoride and 2-chlorobutane) at the moderately elevated  
10 temperature; and
- (iii) cooling the resulting mixture over a period of at least one hour (more usually at least two hours, e.g. approximately three hours) to room temperature (e.g. approximately 25 °C); and
- (iv) optionally equilibrating the mixture over a further period of at least 2 hours, more  
15 usually at least five hours, and more particularly at least ten hours; and
- (v) isolating the crystalline Form A.

1.20 A method for the preparation of a substantially crystalline form (Form A) of compound (1) as defined in any one of Embodiments 1.1 to 1.17, which method comprises:

- 20 (i) dissolving the compound (1) in a water miscible organic solvent such as DMSO; and
- (ii) slowly (e.g. over a period of at least an hour, more usually at least 1.5 hours (e.g. approximately 2 hours) adding water to precipitate the compound (1) as crystalline Form A.

25 Form B

Form B can be formed by equilibration of amorphous compound in hydrocarbon solvents, some halogenated hydrocarbon solvents (e.g. chlorobenzene, 2-chlorobutane, benzotrifluoride), some alcohols (methanol and IPA), some ketones (MIBK and MEK), some ethers (CPME, TBME, THF) and some aliphatic esters (iPrOAc) following the  
30 procedures set out in the Examples.

Form B can also be formed by equilibration of crystalline Form A in methylethylketone (MEK) following the procedures set out in the Examples.

In a further method of preparing Form B, the compound is dissolved in THF, a hydrocarbon or halogenated hydrocarbon anti-solvent (e.g. cyclohexane, heptane, benzotrifluoride or 2-chlorobutane) is added and the mixture is subjected to equilibration as described in the Examples, to give Form B.

The XRPD diffractogram for Form B is shown in Figure 6.

The X-ray diffraction pattern of crystalline Form B of compound (1) exhibits peaks of greatest intensity at the diffraction angles ( $2\theta$ ) set out in Table B-1, i.e. 23.2°, 16.7°, 22.6°, 26.6° and 12.0° ( $\pm 0.2^\circ$ ).

Table B-1	
Diffraction Angle (°)	Relative Intensity
23.2	100
16.7	92.2
22.6	87.6
26.6	64.5
12.0	53.7

Accordingly, in further embodiments, the invention provides:

- 2.1 A crystalline form according to Embodiment 1.0 which is of Form B as defined herein.
- 2.2 A substantially crystalline form (Form B) of the compound of formula (1) having an X-ray powder diffraction pattern characterised by the presence of major peaks at the diffraction angles ( $2\theta$ ) 23.2° and/or 16.7° and/or 22.6° and/or 26.6° and/or 12.0°.
- 2.3 A substantially crystalline form (Form B) of the compound of formula (1) having an X-ray powder diffraction pattern characterised by the presence of a major peak at the diffraction angle ( $2\theta$ ) 23.2° ( $\pm 0.2^\circ$ ).

2.4 A substantially crystalline form (Form B) of the compound of formula **(1)** having an X-ray powder diffraction pattern characterised by the presence of a major peak at the diffraction angle ( $2\theta$ )  $16.7^\circ (\pm 0.2^\circ)$ .

5 2.5 A substantially crystalline form (Form B) of the compound of formula **(1)** having an X-ray powder diffraction pattern characterised by the presence of a major peak at the diffraction angle ( $2\theta$ )  $22.6^\circ (\pm 0.2^\circ)$ .

2.6 A substantially crystalline form (Form B) of the compound of formula **(1)** having an X-ray powder diffraction pattern characterised by the presence of a major peak at the diffraction angle ( $2\theta$ )  $26.6^\circ (\pm 0.2^\circ)$ .

10 2.7 A substantially crystalline form (Form B) of the compound of formula **(1)** having an X-ray powder diffraction pattern characterised by the presence of a major peak at the diffraction angle ( $2\theta$ )  $12.0^\circ (\pm 0.2^\circ)$

15 2.8 A substantially crystalline form (Form B) of the compound of formula **(1)** having an X-ray powder diffraction pattern characterised by the presence of major peaks at two or more, e.g. three or more, or four or more, and in particular five diffraction angles ( $2\theta$ ) selected from  $23.2^\circ$ ,  $16.7^\circ$ ,  $22.6^\circ$ ,  $26.6^\circ$  and  $12.0^\circ (\pm 0.2^\circ)$ .

The X-ray powder diffraction pattern of Form B of compound (1) may also have lesser peaks present at the diffraction angles ( $2\theta$ ) set out in Table B-2, i.e.  $23.4^\circ$ ,  $25.3^\circ$ ,  $7.1^\circ$ ,  $19.9^\circ$  and  $27.8^\circ (\pm 0.2^\circ)$ .

Diffraction Angle ( $^\circ$ )	Relative Intensity
23.4	42.9
25.3	42.6
7.1	30.0
19.9	27.8
27.8	27.4

20

Therefore, in further embodiments, the invention provides:

2.9 A substantially crystalline form (Form B) of the compound of formula **(1)** having an X-ray powder diffraction pattern characterised by the presence of major peaks at the diffraction angles ( $2\theta$ ) 23.2° and/or 16.7° and/or 22.6° and/or 26.6° and/or 12.0° (e.g. at least four and more particularly at least five of the diffraction angles), and optionally one or more further peaks at diffraction angles ( $2\theta$ ) selected from 23.4°, 25.3°, 7.1°, 19.9° and 27.8° ( $\pm 0.2^\circ$ ).

2.10 A substantially crystalline form (Form B) of the compound of formula **(1)** having an X-ray powder diffraction pattern characterised by the presence of major peaks at the diffraction angles ( $2\theta$ ) 23.2° and/or 16.7° and/or 22.6° and/or 26.6° and/or 12.0° (e.g. at least four and more particularly at least five of the diffraction angles), and optionally one or more further peaks at diffraction angles ( $2\theta$ ) selected from 23.4°, 25.3° and 7.1° ( $\pm 0.2^\circ$ ).

2.11 A substantially crystalline form (Form B) of the compound of formula **(1)** having an X-ray powder diffraction pattern characterised by the presence of major peaks at the diffraction angles ( $2\theta$ ) 23.2°, 16.7°, 22.6°, 26.6° and 12.0°, and one or more further peaks at diffraction angles ( $2\theta$ ) selected from 23.4°, 25.3° and 7.1° ( $\pm 0.2^\circ$ ).

The X-ray powder diffraction pattern may be further characterised by the presence of additional peaks at the diffraction angles ( $2\theta$ ) ( $\pm 0.2^\circ$ ) set out in Table B-3, i.e. 14.2°, 27.0°, 24.1°, 28.9° and 14.5°.

Diffraction Angle (°)	Relative Intensity
14.2	25.7
27.0	25,4
24.1	25.2
28.9	24.3
14.5	23.7

Accordingly, in further embodiments, the invention provides:

2.12 A substantially crystalline form (Form B) of the compound of formula **(1)** having an X-ray powder diffraction pattern characterised by the presence of major peaks at the

diffraction angles ( $2\theta$ ) 23.2°, 16.7°, 22.6°, 26.6° and 12.0°; one or more further peaks at diffraction angles ( $2\theta$ ) selected from 23.4°, 25.3°, 7.1°, 19.9° and 27.8° ( $\pm 0.2^\circ$ ); and optionally one or more further peaks at diffraction angles ( $2\theta$ ) selected from 14.2°, 27.0°, 24.1°, 28.9° and 14.5° ( $\pm 0.2^\circ$ ).

5 2.13 A substantially crystalline form (Form B) of compound (1) which exhibits peaks at the diffraction angles set forth in Table 4 in the Examples section herein which have a relative intensity of at least 15%.

2.14 A substantially crystalline form (Form B) of compound (1) which exhibits peaks at the diffraction angles corresponding to those of the X-ray powder diffraction pattern  
10 shown in Figure 6.

2.15 A substantially crystalline form (Form B) of compound (1) having an X-ray powder diffraction pattern substantially as shown in Figure 6.

The crystalline form of the invention can also be characterised by differential scanning calorimetry (DSC). The DSC thermogram of Form B is characterized by an endotherm  
15 with an onset and maxima at about 230 °C and about 233 °C as shown in Figure 7.

Therefore, in a further embodiment, the invention provides:

2.16 A substantially crystalline form (Form B) of compound (1) according to any one of Embodiments 2.1 to 2.15 which has a DSC thermogram characterized by an endotherm with an onset and maxima at about 230 °C and about 233 °C.

20 2.17 A substantially crystalline form (Form B) of compound (1) according to any one of Embodiments 2.1 to 2.15 which has a DSC thermogram substantially as shown in Figure 7 of the drawings appended hereto.

The invention also provides methods for making crystalline Form B. Accordingly, in further embodiments, the invention provides:

25 2.18 A method for the preparation of a substantially crystalline form (Form B) of compound (1) as defined in any one of Embodiments 2.1 to 2.17, which method comprises:

(i) dispersing an amorphous form of compound (1) in a solvent selected from hydrocarbon solvents, halogenated hydrocarbon solvents (other than dichloromethane),  
30 methanol, isopropyl alcohol, aliphatic ketones (e.g. C<sub>1-8</sub> ketones), non-aromatic ethers

(e.g. C<sub>3-6</sub> dialkyl and alkylcycloalkyl ethers and THF), and isopropylacetate to form a mixture;

- 5 (ii) heating the mixture to a moderately elevated temperature in the range from 45-65 °C and holding the mixture at the moderately elevated temperature for a period of at least 10 hours ( for example from 10 to 25 hours, e.g. about 17 hours);
- (iii) cooling or allowing the cooling of the mixture from the moderately elevated temperature to a lower temperature in the range from 15-30 °C (e.g. 20-30 °C such as approximately 25 °C) and holding the mixture at the lower temperature for a period of at least 2 hours (e.g. 2 to 8 hours such as approximately 4.5 hours); and
- 10 (iv) optionally subjecting the mixture to a further heating and cooling cycle comprising heating the mixture to a moderately elevated temperature in the range from 45-65 °C and holding the mixture at the moderately elevated temperature for a period of at least 10 hours ( for example from 10 to 25 hours, e.g. about 16 hours); cooling the mixture to a lower temperature in the range from 15-30 °C (e.g. 20-30 °C such as approximately 25
- 15 °C) and optionally holding the mixture at the lower temperature for a period of at least half an hour (e.g. up to approximately 1 hour); and
- (v) isolating (e.g. by filtration) the crystalline Form B of compound (1) thus formed.

2.19 A method for the preparation of a substantially crystalline form (Form B) of compound (1) as defined in any one of Embodiments 2.1 to 2.17, which method

20 comprises:

- (i) dispersing crystalline Form A of compound (1) in methylethylketone (MEK) to form a mixture;
- (ii) heating the mixture to a moderately elevated temperature in the range from 45-65 °C and holding the mixture at the moderately elevated temperature for a period of at least
- 25 10 hours ( for example from 10 to 25 hours, e.g. about 21 hours);
- (iii) cooling or allowing the cooling of the mixture from the moderately elevated temperature to a lower temperature in the range from 15-30 °C (e.g. 20-30 °C such as approximately 25 °C) and holding the mixture at the lower temperature for a period of at least 2 hours (e.g. 2 to 8 hours such as approximately 4 hours); and
- 30 (iv) optionally subjecting the mixture to a further heating and cooling cycle comprising heating the mixture to a moderately elevated temperature in the range from 45-65 °C and holding the mixture at the moderately elevated temperature for a period of at least 10 hours ( for example from 10 to 25 hours, e.g. about 16 hours); cooling the mixture to a

lower temperature in the range from 15-30 °C (e.g. 20-30 °C such as approximately 25 °C) and optionally holding the mixture at the lower temperature for a period of at least half an hour (e.g. up to approximately 1 hour); and

(v) isolating (e.g. by filtration) the crystalline Form B of compound (1) thus formed.

5 2.20 A method for the preparation of a substantially crystalline form (Form B) of compound (1) as defined in any one of Embodiments 2.1 to 2.17, which method comprises:

(i) dispersing a solid form of compound (1) in methylethylketone (MEK) to form a mixture;

10 (ii) subjecting the mixture to an equilibration procedure comprising periods of heating and cooling the mixture until a suspension of crystalline Form B is formed; and optionally further equilibrating the mixture until a desired level of polymorphic purity is achieved.

2.21 A method according to Embodiment 2.20 wherein the solid form of compound (1) dispersed in MEK is crystalline Form A.

## 15 Form C

Crystalline Form C of the compound (1) can be formed by dispersing amorphous compound (1) in a solvent selected from nitromethane, ethanol, dichloromethane and acetonitrile and subjecting the resulting mixture to an equilibration procedure as set out in Example 2.

20 The XRPD diffractogram for Form C is shown in Figure 9.

The X-ray diffraction pattern of crystalline Form C of compound (1) exhibits peaks of greatest intensity at the diffraction angles ( $2\theta$ ) set out in Table C-1, i.e. 12.8°, 17.8°, 22.9°, 24.3° and 8.5° ( $\pm 0.2^\circ$ ).

<u>Table C-1</u>	
<b>Diffraction Angle (°)</b>	<b>Relative Intensity</b>
12.8	100
17.8	43.4
22.9	41.4

24.3	34.1
8.5	32.7

Accordingly, in further embodiments, the invention provides:

- 3.1 A crystalline form according to Embodiment 1.0 which is of Form C as defined herein.
- 5 3.2 A substantially crystalline form (Form C) of the compound of formula **(1)** having an X-ray powder diffraction pattern characterised by the presence of major peaks at the diffraction angles ( $2\theta$ )  $12.8^\circ$  and/or  $17.8^\circ$  and/or  $22.9^\circ$  and/or  $24.3^\circ$  and/or  $8.5^\circ$ .
- 3.3 A substantially crystalline form (Form C) of the compound of formula **(1)** having an X-ray powder diffraction pattern characterised by the presence of a major peak at the  
10 diffraction angle ( $2\theta$ )  $12.8^\circ (\pm 0.2^\circ)$ .
- 3.4 A substantially crystalline form (Form C) of the compound of formula **(1)** having an X-ray powder diffraction pattern characterised by the presence of a major peak at the diffraction angle ( $2\theta$ )  $17.8^\circ (\pm 0.2^\circ)$ .
- 3.5 A substantially crystalline form (Form C) of the compound of formula **(1)** having an  
15 X-ray powder diffraction pattern characterised by the presence of a major peak at the diffraction angle ( $2\theta$ )  $22.9^\circ (\pm 0.2^\circ)$ .
- 3.6 A substantially crystalline form (Form C) of the compound of formula **(1)** having an X-ray powder diffraction pattern characterised by the presence of a major peak at the diffraction angle ( $2\theta$ )  $24.3^\circ (\pm 0.2^\circ)$ .
- 20 3.7 A substantially crystalline form (Form C) of the compound of formula **(1)** having an X-ray powder diffraction pattern characterised by the presence of a major peak at the diffraction angle ( $2\theta$ )  $8.5^\circ (\pm 0.2^\circ)$ .
- 3.8 A substantially crystalline form (Form C) of the compound of formula **(1)** having an X-ray powder diffraction pattern characterised by the presence of major peaks at two or  
25 more, e.g. three or more, or four or more, and in particular five diffraction angles ( $2\theta$ ) selected from  $12.8^\circ$ ,  $17.8^\circ$ ,  $22.9^\circ$ ,  $24.3^\circ$  and  $8.5^\circ (\pm 0.2^\circ)$ .

The X-ray powder diffraction pattern of Form C of compound (1) may also have lesser peaks present at the diffraction angles ( $2\theta$ ) set out in Table C-2, i.e. 13.0°, 20.1°, 16.5°, 26.1° and 22.4° ( $\pm 0.2^\circ$ ).

<u>Table C-2</u>	
<b>Diffraction Angle (°)</b>	<b>Relative Intensity</b>
13.0	31.4
20.1	29.6
16.5	28.2
26.1	25.9
22.4	17.8

5 Therefore, in further embodiments, the invention provides:

3.9 A substantially crystalline form (Form C) of the compound of formula (1) having an X-ray powder diffraction pattern characterised by the presence of major peaks at the diffraction angles ( $2\theta$ ) 12.8° and/or 17.8° and/or 22.9° and/or 24.3° and/or 8.5° (e.g. at least four and more particularly at least five of the diffraction angles), and optionally one or more further peaks at diffraction angles ( $2\theta$ ) selected from 13.0°, 20.1°, 16.5°, 26.1° and 22.4° ( $\pm 0.2^\circ$ ).

3.10 A substantially crystalline form (Form C) of the compound of formula (1) having an X-ray powder diffraction pattern characterised by the presence of major peaks at the diffraction angles ( $2\theta$ ) 12.8° and/or 17.8° and/or 22.9° and/or 24.3° and/or 8.5° (e.g. at least four and more particularly at least five of the diffraction angles), and optionally one or more further peaks at diffraction angles ( $2\theta$ ) selected from 13.0°, 20.1° and 16.5° ( $\pm 0.2^\circ$ ).

3.11 A substantially crystalline form (Form C) of the compound of formula (1) having an X-ray powder diffraction pattern characterised by the presence of major peaks at the diffraction angles ( $2\theta$ ) 12.8°, 17.8°, 22.9°, 24.3° and 8.5°, and one or more further peaks at diffraction angles ( $2\theta$ ) selected from 13.0°, 20.1° and 16.5° ( $\pm 0.2^\circ$ ).

The X-ray powder diffraction pattern may be further characterised by the presence of additional peaks at the diffraction angles ( $2\theta$ ) ( $\pm 0.2^\circ$ ) set out in Table C-3, i.e.  $23.1^\circ$ ,  $24.7^\circ$ ,  $18.8^\circ$ ,  $25.0^\circ$  and  $25.3^\circ$  ( $\pm 0.2^\circ$ ).

<u>Table C-3</u>	
<b>Diffraction Angle (<math>^\circ</math>)</b>	<b>Relative Intensity</b>
23.1	17.1
24.7	16.8
18.8	15.0
25.0	14.9
25.3	14.6

- 5 Accordingly, in further embodiments, the invention provides:
- 3.12 A substantially crystalline form (Form C) of the compound of formula (1) having an X-ray powder diffraction pattern characterised by the presence of major peaks at the diffraction angles ( $2\theta$ )  $12.8^\circ$ ,  $17.8^\circ$ ,  $22.9^\circ$ ,  $24.3^\circ$  and  $8.5^\circ$ ; one or more further peaks at diffraction angles ( $2\theta$ ) selected from  $13.0^\circ$ ,  $20.1^\circ$ ,  $16.5^\circ$ ,  $26.1^\circ$  and  $22.4^\circ$  ( $\pm 0.2^\circ$ ); and
- 10 optionally one or more further peaks at diffraction angles ( $2\theta$ ) selected from  $23.1^\circ$ ,  $24.7^\circ$ ,  $18.8^\circ$ ,  $25.0^\circ$  and  $25.3^\circ$  ( $\pm 0.2^\circ$ ).
- 3.13 A substantially crystalline form (Form C) of compound (1) which exhibits peaks at the diffraction angles set forth in Table 5 in the Examples section herein which have a relative intensity of at least 15%.
- 15 3.14 A substantially crystalline form (Form C) of compound (1) which exhibits peaks at the diffraction angles corresponding to those of the X-ray powder diffraction pattern shown in Figure 9.
- 3.15 A substantially crystalline form (Form C) of compound (1) having an X-ray powder diffraction pattern substantially as shown in Figure 9.
- 20 The crystalline form of the invention can also be characterised by differential scanning calorimetry (DSC). Crystalline Form C of compound (1) has been analysed by DSC and has been found to exhibit a thermogram as shown in Figure 10 of the accompanying drawings.

Form D

Crystalline Form D of the compound (1) can be formed by dispersing amorphous compound (1) in ethyl acetate and subjecting the resulting mixture to an equilibration procedure as set out in Example 2.

- 5 The XRPD diffractogram for Form D is shown in Figure 11.

The X-ray diffraction pattern of crystalline Form D of compound (1) exhibits peaks of greatest intensity at the diffraction angles ( $2\theta$ ) set out in Table D-1, i.e.  $13.9^\circ$ ,  $21.4^\circ$ ,  $21.2^\circ$ ,  $23.4^\circ$  and  $16.6^\circ$  ( $\pm 0.2^\circ$ ).

Table D-1	
Diffraction Angle ( $^\circ$ )	Relative Intensity
13.9	100
21.4	55.8
21.2	55.1
23.4	49.8
16.6	36.0

- 10 Accordingly, in further embodiments, the invention provides:

4.1 A crystalline form according to Embodiment 1.0 which is of Form D as defined herein.

- 4.2 A substantially crystalline form (Form D) of the compound of formula (1) having an X-ray powder diffraction pattern characterised by the presence of major peaks at the diffraction angles ( $2\theta$ )  $13.9^\circ$  and/or  $21.4^\circ$  and/or  $21.2^\circ$  and/or  $23.4^\circ$  and/or  $16.6^\circ$ .

4.3 A substantially crystalline form (Form D) of the compound of formula (1) having an X-ray powder diffraction pattern characterised by the presence of a major peak at the diffraction angle ( $2\theta$ )  $13.9^\circ$  ( $\pm 0.2^\circ$ ).

- 4.4 A substantially crystalline form (Form D) of the compound of formula (1) having an X-ray powder diffraction pattern characterised by the presence of a major peak at the diffraction angle ( $2\theta$ )  $21.4^\circ$  ( $\pm 0.2^\circ$ ).

4.5 A substantially crystalline form (Form D) of the compound of formula (1) having an X-ray powder diffraction pattern characterised by the presence of a major peak at the diffraction angle ( $2\theta$ )  $21.2^\circ (\pm 0.2^\circ)$ .

4.6 A substantially crystalline form (Form D) of the compound of formula (1) having an X-ray powder diffraction pattern characterised by the presence of a major peak at the diffraction angle ( $2\theta$ )  $23.4^\circ (\pm 0.2^\circ)$ .

4.7 A substantially crystalline form (Form D) of the compound of formula (1) having an X-ray powder diffraction pattern characterised by the presence of a major peak at the diffraction angle ( $2\theta$ )  $16.6^\circ (\pm 0.2^\circ)$

4.8 A substantially crystalline form (Form D) of the compound of formula (1) having an X-ray powder diffraction pattern characterised by the presence of major peaks at two or more, e.g. three or more, or four or more, and in particular five diffraction angles ( $2\theta$ ) selected from  $13.9^\circ$ ,  $21.4^\circ$ ,  $21.2^\circ$ ,  $23.4^\circ$  and  $16.6^\circ (\pm 0.2^\circ)$ .

The X-ray powder diffraction pattern of Form D of compound (1) may also have lesser peaks present at the diffraction angles ( $2\theta$ ) set out in Table D-2, i.e.  $16.1^\circ$ ,  $24.1^\circ$ ,  $18.0^\circ$ ,  $22.5^\circ$  and  $13.0^\circ (\pm 0.2^\circ)$ .

Table D-2	
Diffraction Angle ( $^\circ$ )	Relative Intensity
16.1	21.9
24.1	19.5
18.0	18.1
22.5	15.9
13.0	13.6

Therefore, in further embodiments, the invention provides:

4.9 A substantially crystalline form (Form D) of the compound of formula (1) having an X-ray powder diffraction pattern characterised by the presence of major peaks at the diffraction angles ( $2\theta$ )  $13.9^\circ$  and/or  $21.4^\circ$  and/or  $21.2^\circ$  and/or  $23.4^\circ$  and/or  $16.6^\circ$  as defined above (e.g. at least four and more particularly at least five of the diffraction

angles), and optionally one or more further peaks at diffraction angles ( $2\theta$ ) selected from 16.1°, 24.1°, 18.0°, 22.5° and 13.0° ( $\pm 0.2^\circ$ ).

4.10 A substantially crystalline form (Form D) of the compound of formula (1) having an X-ray powder diffraction pattern characterised by the presence of major peaks at the  
5 diffraction angles ( $2\theta$ ) 13.9° and/or 21.4° and/or 21.2° and/or 23.4° and/or 16.6° as defined above (e.g. at least four and more particularly at least five of the diffraction angles), and optionally one or more further peaks at diffraction angles ( $2\theta$ ) selected from 16.1°, 24.1° and 18.0° ( $\pm 0.2^\circ$ ).

4.11 A substantially crystalline form (Form D) of the compound of formula (1) having an  
10 X-ray powder diffraction pattern characterised by the presence of major peaks at the diffraction angles ( $2\theta$ ) 13.9°, 21.4°, 21.2°, 23.4° and 16.6°, and one or more further peaks at diffraction angles ( $2\theta$ ) selected from 16.1°, 24.1° and 18.0° ( $\pm 0.2^\circ$ ).

The X-ray powder diffraction pattern may be further characterised by the presence of additional peaks at the diffraction angles ( $2\theta$ ) ( $\pm 0.2^\circ$ ) set out in Table D-3, i.e. 19.9°, 6.9°,  
15 27.7°, 25.2° and 26.4°.

Diffraction Angle (°)	Relative Intensity
19.9	13.5
6.9	11.2
27.7	10.7
25.2	10.6
26.4	10.1

Accordingly, in further embodiments, the invention provides:

4.12 A substantially crystalline form (Form D) of the compound of formula (1) having an X-ray powder diffraction pattern characterised by the presence of major peaks at the  
20 diffraction angles ( $2\theta$ ) 13.9°, 21.4°, 21.2°, 23.4° and 16.6°; one or more further peaks at diffraction angles ( $2\theta$ ) selected from 16.1°, 24.1°, 18.0°, 22.5° and 13.0° ( $\pm 0.2^\circ$ ); and optionally one or more further peaks at diffraction angles ( $2\theta$ ) selected from 19.9°, 6.9°, 27.7°, 25.2° and 26.4° ( $\pm 0.2^\circ$ ).

4.13 A substantially crystalline form (Form D) of compound (1) which exhibits peaks at the diffraction angles set forth in Table 6 in the Examples section herein which have a relative intensity of at least 15%.

5 4.14 A substantially crystalline form (Form D) of compound (1) which exhibits peaks at the diffraction angles corresponding to those of the X-ray powder diffraction pattern shown in Figure 11.

4.15 A substantially crystalline form (Form D) of compound (1) having an X-ray powder diffraction pattern substantially as shown in Figure 11.

10 Crystalline Form D can also be characterised by differential scanning calorimetry (DSC) and has a thermogram substantially as shown in Figure 12.

### Isotopes

The crystalline forms of the compound of formula (1) as defined in any one of Embodiments 1.0 to 4.15 may contain one or more isotopic substitutions, and a reference to a particular element includes within its scope all isotopes of the element. For example, 15 a reference to hydrogen includes within its scope  $^1\text{H}$ ,  $^2\text{H}$  (D), and  $^3\text{H}$  (T). Similarly, references to carbon and oxygen include within their scope respectively  $^{12}\text{C}$ ,  $^{13}\text{C}$  and  $^{14}\text{C}$  and  $^{16}\text{O}$  and  $^{18}\text{O}$ .

In an analogous manner, a reference to a particular functional group also includes within its scope isotopic variations, unless the context indicates otherwise.

20 The isotopes may be radioactive or non-radioactive. In one general embodiment of the invention (Embodiment 5.1), the crystalline form of the compound of formula (1) as defined in any one of Embodiments 1.0 to 4.15 contains no radioactive isotopes. Such compounds are preferred for therapeutic use. In another embodiment (Embodiment 5.2), 25 however, the crystalline form of the compound of formula (1) as defined in any one of Embodiments 1.0 to 4.15 may contain one or more radioisotopes. Compounds containing such radioisotopes may be useful in a diagnostic context.

### Biological Activity

The crystalline forms of the compound of formula (1) as defined in any one of Embodiments 1.0 to 5.2 are potent and selective inhibitors of TYK2 kinase. The TYK2 30 kinase-inhibiting activities of the compounds can be determined using the assays described in the Examples below.

Experimental data obtained for compounds (1) demonstrate that the compound has significant advantages over the structurally most similar compound (Compound B) in WO2015/032423. Thus, compound (1) is more active than the closest known compound (Compound B) in the TYK2 kinase inhibition assay and both have greater selectivity for  
5 TYK2 versus JAK1, JAK2 and JAK3 kinases than Compound B. Moreover, Compound (1) has a reduced hERG liability compared to prior art comparative Compound B. Furthermore, in the hepatocyte stability assays, Compound (1) showed a reduced rate of clearance and a consequently longer half-life than comparative Compound B.

Taken together, the data indicate that Compound (1) is not only a more potent and more  
10 selective TYK2 kinase inhibitor than comparative Compound B but that, moreover, it has better pharmacokinetic properties than Compound B.

The TYK2 kinase-inhibiting activities of the crystalline forms of the compound of formula (1) can be made use of in various methods of treating diseases where TYK2 plays a part in the development or progression of the disease. The various uses of the compound of  
15 formula (1) typically involve bringing the compound into contact with a TYK2 kinase. The inhibition of the TYK2 kinase may take place either *in vitro* or *in vivo*.

Accordingly, in further embodiments, the invention provides:

6.1 A crystalline form of the compound of formula (1) as defined in any one of Embodiments 1.0 to 5.2 for use as an inhibitor of TYK2 kinase.

6.2 A crystalline forms of the compound of formula (1) as defined in any one of  
20 Embodiments 1.0 to 5.2 for use in medicine.

The inhibition of TYK2 kinase preferably takes place *in vivo* as part of a therapeutic treatment of a disease or condition in which TYK2 kinase is implicated.

The compound of formula (1) is a selective TYK2 inhibitor and is considerably more  
25 active against TYK2 than JAK2 and JAK3 kinases. The compound has relatively poor activity against a wide range of other kinases and, in particular, kinases that are generally recognised as targets for anti-cancer therapy. Thus, for example, the compounds have relatively little activity against Chk1 kinase, Aurora kinases, PKB (Akt) kinase and cyclin dependent kinases (CDK kinases) which are involved in cell cycle progression. A lack of  
30 activity against kinases typically considered to be anti-cancer targets is beneficial in compounds that may be used in chronic treatment of inflammatory and autoimmune diseases for example.

It is envisaged on the basis of their TYK2 inhibiting activity that the crystalline forms of the compound of formula (1) as defined in any one of Embodiments 1.0 to 5.2 will be useful in treating at least some of the diseases and disorders discussed below, including inflammatory diseases or conditions, immunological diseases or conditions, autoimmune  
5 diseases, allergic diseases or disorders, transplant rejections (allograft transplant rejections); Graft-versus host disease; treating sepsis and septic shock.

In the context of the present invention, an autoimmune disease is a disease which is at least partially provoked by an immune reaction of the body against its own components, for example proteins, lipids or DNA. Examples of organ-specific autoimmune disorders  
10 are insulin- dependent diabetes (Type I) which affects the pancreas, Hashimoto's thyroiditis and Graves' disease which affect the thyroid gland, pernicious anemia which affects the stomach, Cushing's disease and Addison's disease which affect the adrenal glands, chronic active hepatitis which affects the liver; polycystic ovary syndrome (PCOS), coeliac disease, psoriasis, inflammatory bowel disease (IBD), lupus nephritis (an  
15 inflammation of the kidney) and ankylosing spondylitis. Examples of non-organ-specific autoimmune disorders are rheumatoid arthritis, multiple sclerosis, systemic lupus erythematosus, and myasthenia gravis. Type I diabetes ensues from the selective aggression of autoreactive T-cells against insulin secreting beta-cells of the islets of Langerhans. Other inflammatory or immune diseases and disorders, sufferers from which  
20 may benefit from treatment with the compounds of the invention include skin inflammation due to radiation exposure; asthma; allergic inflammation; chronic inflammation; an inflammatory ophthalmic disease; dry eye syndrome (DES, also known as keratoconjunctivitis sicca or dysfunctional tear syndrome); uveitis (e.g. chronic progressive or relapsing forms of non-infectious uveitis); alopecia areata; primary biliary  
25 cirrhosis; and systemic sclerosis;

Rheumatoid arthritis (RA) is a chronic progressive, debilitating inflammatory disease that affects approximately 1% of the world's population. RA is a symmetric polyarticular arthritis that primarily affects the small joints of the hands and feet. In addition to inflammation in the synovium, the joint lining, the aggressive front of tissue called pannus  
30 invades and destroys local articular structures (Firestein 2003, Nature 423:356-361).

Inflammatory bowel disease (IBD) is characterized by a chronic relapsing intestinal inflammation. IBD is subdivided into Crohn's disease and ulcerative colitis phenotypes. Crohn's disease involves most frequently the terminal ileum and colon, is transmural and discontinuous. In contrast, in ulcerative colitis, the inflammation is continuous and limited

to rectal and colonic mucosal layers. In approximately 10% of cases confined to the rectum and colon, definitive classification of Crohn's disease or ulcerative colitis cannot be made and are designated 'indeterminate colitis'. Both diseases include extraintestinal inflammation of the skin, eyes, or joints. Neutrophil-induced injuries may be prevented by the use of neutrophil migration inhibitors (Asakura *et al.*, 2007, World J. Gastroenterol. 13(15):2145-9).

Psoriasis is a chronic inflammatory dermatosis that affects approximately 2% of the population. It is characterized by red, scaly skin patches that are usually found on the scalp, elbows, and knees, and may be associated with severe arthritis. The lesions are caused by abnormal keratinocyte proliferation and infiltration of inflammatory cells into the dermis and epidermis (Schon *et al.*, 2005, New Engl. J. Med. 352: 1899-1912).

Systemic lupus erythematosus (SLE) is a chronic inflammatory disease generated by T cell-mediated B-cell activation, which results in glomerulonephritis and renal failure. Human SLE is characterized at early stages by the expansion of long-lasting autoreactive CD4+ memory cells (D'Cruz *et al.*, 2007, Lancet 369(9561):587-596).

Transplant rejection (allograft transplant rejection) includes, without limitation, acute and chronic allograft rejection following for example transplantation of kidney, heart, liver, lung, bone marrow, skin and cornea. It is known that T cells play a central role in the specific immune response of allograft rejection. Hyperacute, acute and chronic organ transplant rejection may be treated. Hyperacute rejection occurs within minutes of transplantation. Acute rejection generally occurs within six to twelve months of the transplant. Hyperacute and acute rejections are typically reversible where treated with immunosuppressant agents. Chronic rejection, characterized by gradual loss of organ function, is an ongoing concern for transplant recipients because it can occur any time after transplantation.

Graft-versus-host disease (GVDH) is a major complication in allogeneic bone marrow transplantation (BMT). GVDH is caused by donor T cells that recognize and react to recipient differences in the histocompatibility complex system, resulting in significant morbidity and mortality.

Pulmonary sarcoidosis is a relatively rare inflammatory disorder of unknown cause, but which has been shown to be associated with elevated levels of TYK2, and which typically develops in adults of 20 to 50 years of age. Pulmonary sarcoidosis is characterised by small lumps, or granulomas in the lungs, which generally heal and disappear on their

own. However, for those granulomas that do not heal, the tissue can remain inflamed and become scarred, or fibrotic. Pulmonary sarcoidosis can develop into pulmonary fibrosis, which distorts the structure of the lungs and can interfere with breathing.

Accordingly, in further embodiments, the invention provides:

- 5 6.3 A method of treating a disease or condition in a subject in need thereof, wherein the disease or condition is selected from an autoimmune disease, an inflammatory disease or condition, an immunological disease or condition, an allergic disease or disorder, a transplant rejection and Graft-versus host disease, or a disease or condition selected from sepsis and septic shock, wherein the disease or condition is susceptible to  
10 TYK2 inhibition, which method comprises administering to the subject an effective TYK2 inhibiting amount of a crystalline form of the compound of formula (1) as defined in any one of Embodiments 1.0 to 5.2.
- 6.4 A crystalline form of the compound of formula (1) as defined in any one of Embodiments 1.0 to 5.2 for use in the treatment of a disease or condition wherein the  
15 disease or condition is selected from an autoimmune disease, an inflammatory disease or condition, an immunological disease or condition, an allergic disease or disorder, a transplant rejection and Graft-versus host disease; or for use in the treatment of sepsis or septic shock, wherein the disease or condition is susceptible to TYK2 inhibition.
- 6.5 The use of a crystalline form of the compound of formula (1) as defined in any one  
20 of Embodiments 1.0 to 5.2 for the manufacture of a medicament for the treatment of a disease or condition selected from an autoimmune disease, an inflammatory disease or condition, an immunological disease or condition, an allergic disease or disorder, a transplant rejection and Graft-versus host disease; or for use in the treatment of sepsis or septic shock, wherein the disease or condition is susceptible to TYK2 inhibition.
- 25 6.6 A method of treating an autoimmune disease in a subject in need thereof, which method comprises administering to the subject an effective TYK2 inhibiting amount of a crystalline form of the compound of formula (1) as defined in any one of Embodiments 1.0 to 5.2, so as to inhibit TYK2 kinase in the subject and thereby block or reduce the extent of an inflammatory process associated with the autoimmune disease.
- 30 6.7 A crystalline form of the compound of formula (1) as defined in any one of Embodiments 1.0 to 5.2, for use in a method of treating an autoimmune disease in a subject in need thereof, which method comprises administering to the subject an effective

TYK2 inhibiting amount of the said compound, so as to inhibit TYK2 kinase in the subject and thereby block or reduce the extent of an inflammatory process associated with the autoimmune disease.

- 5 6.8 The use of a crystalline form of the compound of formula (1) as defined in any one of Embodiments 1.0 to 5.2, for the manufacture of a medicament for treating an autoimmune disease in a subject in need thereof by administering to the subject an effective TYK2 inhibiting amount of the said compound, so as to inhibit TYK2 kinase in the subject and thereby block or reduce the extent of an inflammatory process associated with the autoimmune disease.
- 10 6.9 A method of treating a disease or condition in a subject in need thereof, wherein the disease or condition is one which is characterized or caused (at least in part) by or associated with overexpression (elevated expression) of TYK2 kinase, which method comprises administering to the subject an effective TYK2 inhibiting amount of a compound of any one of Embodiments 1.0 to 5.2.
- 15 6.10 A crystalline form of the compound of formula (1) as defined in any one of Embodiments 1.0 to 5.2, for use in treating a disease or condition in a subject in need thereof, wherein the disease is one which is characterized or caused (at least in part) by or associated with overexpression (elevated expression) of TYK2 kinase.
- 20 6.11 A method, compound for use or use according to any one of Embodiments 6.3 to 6.10 wherein the disease or condition is an autoimmune disease.
- 6.12 A method, compound for use or use according to any one of Embodiments 6.3 to 6.10 wherein the disease or condition is an autoimmune disease other than multiple sclerosis.
- 25 6.13 A method, compound for use or use according to any one of Embodiments 6.3 to 6.10 wherein the disease or condition is psoriasis.
- 6.14 A method, compound for use or use according to any one of Embodiments 6.3 to 6.10 wherein the disease or condition is psoriatic arthritis.
- 6.15 A method according to Embodiment 6.3 wherein the disease or condition is multiple sclerosis.

The activity of the compound of the formula (1) and its crystalline forms as TYK2 inhibitors can be measured using the assay set forth in the examples below and the level of activity exhibited by a given compound can be defined in terms of the IC<sub>50</sub> value. The compound of the formula (1) has an IC<sub>50</sub> value against TYK2 kinase of 1.9 nanomolar.

- 5 An advantage of the compound of the formula (1) is that it exhibits selectivity for TYK2 kinase compared to other kinases of the JAK family.

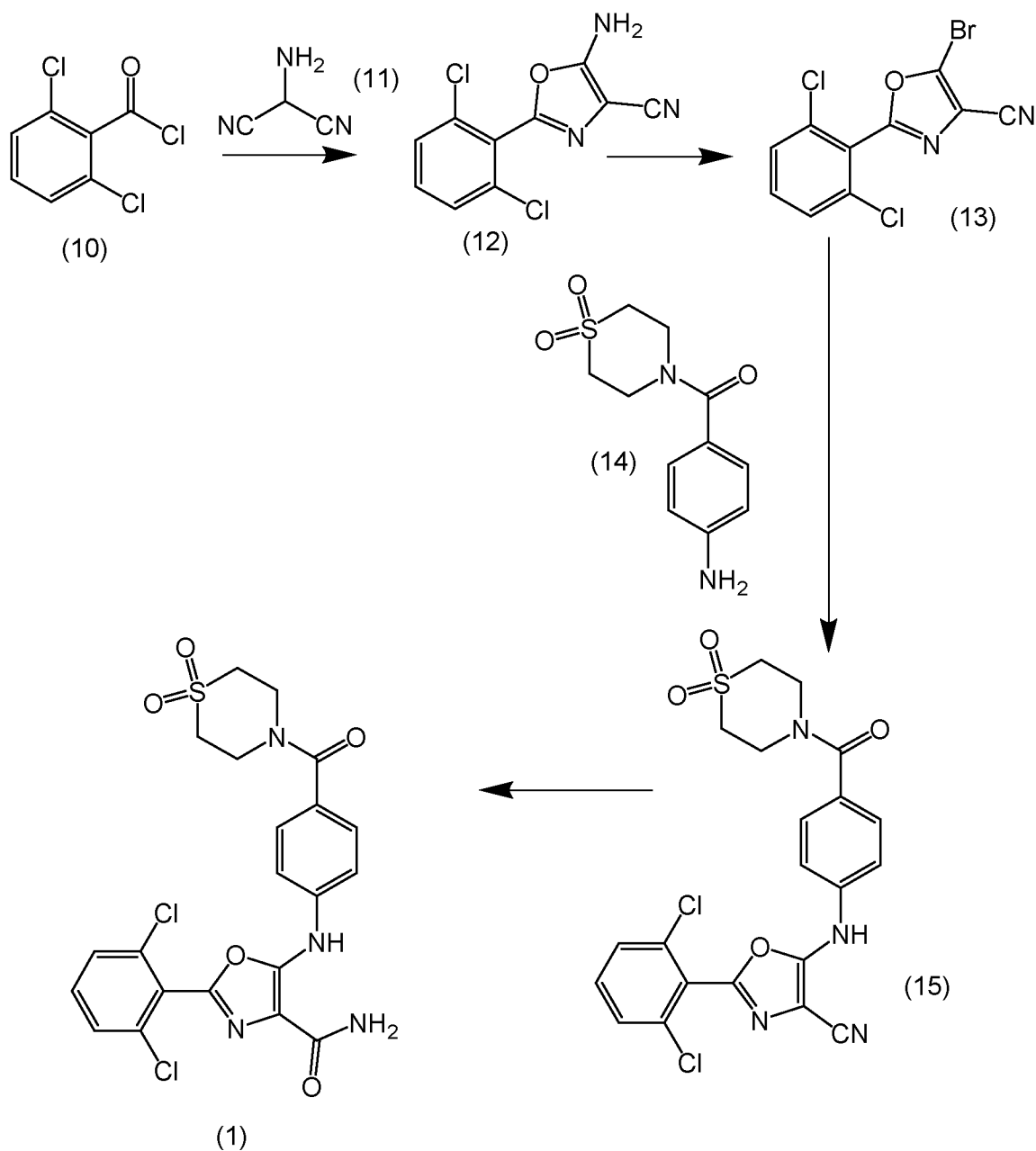
For example, in biochemical assays, the compound of formula (1) has approximately 25-fold selectivity for TYK2 compared to JAK2 and 110-fold selectivity for TYK2 compared to JAK3.

- 10 The suitability of the crystalline forms of the compound of formula (1) as defined in any one of Embodiments 1.0 to 5.2 for use in treating psoriasis can be determined by testing their effect on imiquimod-induced psoriasis-like skin inflammation in mice: see for example Mori *et al.*, Kobe J. Med. Sci., Vol. 62, No. 4, pp. E79-E88, 2016; van der Fits *et al.*, The Journal of Immunology, 2009; 182: 5836-5845; and Lin *et al.*, PLOS ONE | DOI:10.1371/journal.pone.0137890 September 10, 2015. Thus, imiquimod can be applied
- 15 topically to mice (for example to an ear of a mouse) to induce psoriasis-like inflammation and scaling, and a comparison made between the levels of inflammation and scaling in mice (or areas of the body of mice) that have also been treated with a crystalline form of the compound of formula (1) or a control containing no imiquimod.

20 **Methods for the Preparation of the Compound of Formula (1)**

The compound of the formula (1) can be prepared by the methods described in the following paragraphs and in the Examples below.

The compound of formula (1) can be prepared by the sequence of reactions shown in Scheme 1.

**Scheme 1**

In the first step of the reaction sequence, 2,6-dichlorobenzoyl chloride (10) is reacted with aminomalononitrile (11) (e.g. the p-toluenesulfonate salt thereof) in a polar aprotic solvent such as N-methylpyrrolidone (NMP) to give the amino-oxazole nitrile (12). The reaction is typically conducted at an elevated temperature, for example in the range from 90 °C to 115 °C.

The amino-oxazole nitrile (12) is converted to the corresponding bromo-compound (13) by a metal-free Sandmeyer procedure using tertiary butyl nitrite as a diazotizing agent in

the presence of a halogen-donating compound such as bromo-(trimethyl)silane in dibromomethane. The reaction is typically carried out under a protective (e.g. nitrogen) atmosphere at a temperature of about 0 °C. Alternatively, instead of using a metal-free Sandmeyer procedure, a copper catalyst can be used. For example, the tertiary butyl  
5 nitrite can be used in the presence of CuBr<sub>2</sub> instead of bromo-(trimethyl)silane.

The bromo-compound (13) is reacted with the substituted aniline (14) in a Buchwald-Hartwig palladium catalysed amination procedure to give the cyano-intermediate (15). The reaction makes use of a palladium(0) catalyst such as bis(dibenzylideneacetone)-palladium(0) (Pd(dba)<sub>2</sub>) in a polar aprotic solvent such as dioxane in the presence of a  
10 suitable phosphine ligand such as 1,1'-ferrocenediyl-bis(diphenyl-phosphine) (dppf) or (5-diphenyl-phosphanyl-9,9-dimethyl-xanthen-4-yl)-diphenyl-phosphane, and a base such as potassium carbonate or caesium carbonate. The reaction is typically carried out at an elevated temperature (for example from 95-125 °C), for example in a sealed tube, using microwave heating.

15 The cyano-intermediate (15) is hydrolysed under mild acidic conditions (for example using sulphuric acid, or a mixture of trifluoroacetic acid and sulphuric acid, at a temperature of around 0 °C to 20 °C) to give the compound of formula (1).

### **Pharmaceutical Formulations**

While it is possible for the active compound to be administered alone, it is preferable to  
20 present it as a pharmaceutical composition (e.g. formulation) comprising at least one active compound of the invention together with one or more pharmaceutically acceptable excipients such as carriers, adjuvants, diluents, fillers, buffers, stabilisers, preservatives, lubricants, or other materials well known to those skilled in the art, and optionally other therapeutic or prophylactic agents.

25 The term "pharmaceutically acceptable" as used herein refers to compounds, materials, compositions, and/or dosage forms which are, within the scope of sound medical judgment, suitable for use in contact with the tissues of a subject (e.g. human) without excessive toxicity, irritation, allergic response, or other problems or complication, commensurate with a reasonable benefit/risk ratio. Each excipient must also be  
30 "acceptable" in the sense of being compatible with the other ingredients of the formulation.

Accordingly, in further embodiments, the invention provides:

7.1 A pharmaceutical composition comprising a crystalline form of the compound of formula (1) as defined in any one of Embodiments 1.0 to 5.2 and a pharmaceutically acceptable excipient.

In further embodiments, there are provided:

- 5 7.2 A pharmaceutical composition according to Embodiment 7.1 which comprises from approximately 1% (w/w) to approximately 95% (w/w) of a crystalline form of the compound of formula (1) as defined in any one of Embodiments 1.0 to 5.2 and from 99% (w/w) to 5% (w/w) of a pharmaceutically acceptable excipient or combination of excipients and optionally one or more further therapeutically active ingredients.
- 10 7.3 A pharmaceutical composition according to Embodiment 7.2 which comprises from approximately 5% (w/w) to approximately 90% (w/w) of a crystalline form of the compound of formula (1) as defined in any one of Embodiments 1.0 to 5.2 and from 95% (w/w) to 10% of a pharmaceutically excipient or combination of excipients and optionally one or more further therapeutically active ingredients.
- 15 7.4 A pharmaceutical composition according to Embodiment 7.3 which comprises from approximately 10% (w/w) to approximately 90% (w/w) of a crystalline form of the compound of formula (1) as defined in any one of Embodiments 1.0 to 5.2 and from 90% (w/w) to 10% of a pharmaceutically excipient or combination of excipients.
- 20 7.5 A pharmaceutical composition according to Embodiment 7.4 which comprises from approximately 20% (w/w) to approximately 90% (w/w) of a crystalline form of the compound of formula (1) as defined in any one of Embodiments 1.0 to 5.2 and from 80% (w/w) to 10% of a pharmaceutically excipient or combination of excipients.
- 25 7.6 A pharmaceutical composition according to Embodiment 4.5 which comprises from approximately 25% (w/w) to approximately 80% (w/w) of a crystalline form of the compound of formula (1) as defined in any one of Embodiments 1.0 to 5.2 and from 75% (w/w) to 20% of a pharmaceutically excipient or combination of excipients.

It will be appreciated that pharmaceutical compositions comprising a crystalline form of the compound of formula (1) will typically be solid compositions such as tablets, capsules, caplets, pills, lozenges, sprays, powders, granules, sublingual tablets, wafers or patches  
30 and buccal patches, or liquid compositions such as suspensions where the active compound is in solid form.

Accordingly, in further embodiments, the invention provides:

7.7 A pharmaceutical composition according to any one of Embodiments 7.1 to 7.6 which is suitable for oral administration.

7.8 A pharmaceutical composition according to Embodiment 7.7 which is selected  
5 from tablets, capsules, caplets, pills, lozenges, powders, granules, suspensions, sublingual tablets, wafers or patches and buccal patches.

7.9 A pharmaceutical composition according to Embodiment 7.8 which is selected from tablets and capsules.

7.10 A pharmaceutical composition according to Embodiment 7.9 wherein the  
10 crystalline form of the compound of formula (1) is Form B as defined in any one of Embodiments 2.1 to 2.17.

7.11 A pharmaceutical composition according to any one of Embodiments 7.1 to 7.6 which is suitable for parenteral administration and is in the form of a suspension for injection or infusion.

7.12 A pharmaceutical composition according to Embodiment 7.11 wherein the  
15 crystalline form of the compound of formula (1) is Form A as defined in any one of Embodiments 1.1 to 1.17.

Pharmaceutical compositions (e.g. as defined in any one of Embodiments 7.1 to 7.12) containing a crystalline form of the compound of formula (1) as defined in any one of  
20 Embodiments 1.0 to 5.2 can be formulated in accordance with known techniques, see for example, Remington's Pharmaceutical Sciences, Mack Publishing Company, Easton, PA, USA.

Thus, tablet compositions (as in Embodiment 7.9) can contain a unit dosage of active compound together with an inert diluent or carrier such as a sugar or sugar alcohol, e.g.;  
25 lactose, sucrose, sorbitol or mannitol; and/or a non-sugar derived diluent such as sodium carbonate, calcium phosphate, talc, calcium carbonate, or a cellulose or derivative thereof such as methyl cellulose, ethyl cellulose, hydroxypropyl methyl cellulose, and starches such as corn starch. Tablets may also contain such standard ingredients as binding and granulating agents such as polyvinylpyrrolidone, disintegrants (e.g. swellable crosslinked  
30 polymers such as crosslinked carboxymethylcellulose), lubricating agents (e.g. stearates), preservatives (e.g. parabens), antioxidants (e.g. BHT), buffering agents (for example

phosphate or citrate buffers), and effervescent agents such as citrate/bicarbonate mixtures. Such excipients are well known and do not need to be discussed in detail here.

Capsule formulations (as in Embodiment 7.9) may be of the hard gelatin or soft gelatin variety and can contain the active component in solid, semi-solid, or liquid form. Gelatin capsules can be formed from animal gelatin or synthetic or plant derived equivalents thereof.

The solid dosage forms (e.g. tablets, capsules etc.) can be coated or un-coated, but typically have a coating, for example a protective film coating (e.g. a wax or varnish) or a release controlling coating. The coating (e.g. a Eudragit™ type polymer) can be designed to release the active component at a desired location within the gastro-intestinal tract. Thus, the coating can be selected so as to degrade under certain pH conditions within the gastrointestinal tract, thereby selectively releasing the compound in the stomach or in the ileum or duodenum.

Instead of, or in addition to, a coating, the drug can be presented in a solid matrix comprising a release controlling agent, for example a release delaying agent which may be adapted to selectively release the compound under conditions of varying acidity or alkalinity in the gastrointestinal tract. Alternatively, the matrix material or release retarding coating can take the form of an erodible polymer (e.g. a maleic anhydride polymer) which is substantially continuously eroded as the dosage form passes through the gastrointestinal tract.

Compositions for topical use include ointments, creams, sprays, patches, gels, liquid drops and inserts (for example intraocular inserts). Such compositions can be formulated in accordance with known methods.

Compositions for parenteral administration (as in Embodiments 7.11 to 7.12) are typically presented as sterile aqueous or oily fine suspensions, or may be provided in finely divided sterile powder form for making up extemporaneously with sterile water for injection.

Examples of formulations for rectal or intra-vaginal administration include pessaries and suppositories which may be, for example, formed from a shaped mouldable or waxy material containing the active compound.

Compositions for administration by inhalation may take the form of inhalable powder compositions or powder sprays, and can be administered in standard form using powder

inhaler devices or aerosol dispensing devices. Such devices are well known. For administration by inhalation, the powdered formulations typically comprise the active compound together with an inert solid powdered diluent such as lactose.

The crystalline forms of the compound of formula (1) as defined in any one of Embodiments 1.0 to 5.2 will generally be presented in unit dosage form and, as such, will typically contain sufficient compound to provide a desired level of biological activity. For example, according to any one of Embodiments 7.7 to 7.10), a composition intended for oral administration may contain from 2 milligrams to 200 milligrams of active ingredient, more usually from 10 milligrams to 100 milligrams, for example, 12.5 milligrams, 25 milligrams and 50 milligrams.

### **Methods of Treatment**

It is envisaged that the crystalline forms of the compound of formula (1) as defined in any one of Embodiments 1.0 to 5.2 will be useful in the prophylaxis or treatment of inflammatory diseases or conditions, immunological diseases or conditions, allergic diseases or disorders, transplant rejections and Graft-versus host disease. Examples of such disease states and conditions are set out above.

The crystalline forms of the compound of formula (1) will typically be administered in amounts that are therapeutically or prophylactically useful and which generally are non-toxic. However, in certain situations (for example in the case of life threatening diseases), the benefits of administering a crystalline form of the compound of formula (1) may outweigh the disadvantages of any toxic effects or side effects, in which case it may be considered desirable to administer compounds in amounts that are associated with a degree of toxicity.

The crystalline forms of the compound of formula (1) may be administered over a prolonged term to maintain beneficial therapeutic effects or may be administered for a short period only. Alternatively, they may be administered in a pulsatile or continuous manner.

The crystalline form of the compound of formula (1) as defined in any one of Embodiments 1.0 to 5.2 will generally be administered to a subject in need of such administration, for example a human patient.

A typical daily dose of the crystalline form of the compound of formula (1) can be up to 1000 mg per day, for example in the range from 0.01 milligrams to 10 milligrams per kilogram of body weight, more usually from 0.025 milligrams to 5 milligrams per kilogram

of body weight, for example up to 3 milligrams per kilogram of bodyweight, and more typically 0.15 milligrams to 5 milligrams per kilogram of bodyweight although higher or lower doses may be administered where required.

By way of example, an initial starting dose of 12.5 mg may be administered 2 to 3 times a  
5 day. The dosage can be increased by 12.5 mg a day every 3 to 5 days until the maximal tolerated and effective dose is reached for the individual as determined by the physician. Ultimately, the quantity of compound administered will be commensurate with the nature of the disease or physiological condition being treated and the therapeutic benefits and the presence or absence of side effects produced by a given dosage regimen, and will be  
10 at the discretion of the physician.

The crystalline form of the compound of formula (1) can be administered as the sole therapeutic agent or they can be administered in combination therapy with one or more other compounds such as steroids, interferons, apremilast (for psoriasis) or methotrexate (for rheumatoid arthritis).

## 15 **Methods of Diagnosis**

Prior to administration of a compound of the invention, a patient may be screened to determine whether a disease or condition from which the patient is or may be suffering is one which would be susceptible to treatment with a compound having activity against TYK2.

20 Accordingly, in further embodiments (8.1 to 8.3), the invention provides:

8.1 A crystalline form of the compound of formula (1) as defined in any one of Embodiments 1.0 to 5.2 for use in the treatment or prophylaxis of a disease state or condition in a patient who has been screened and has been determined as suffering from, or being at risk of suffering from, a disease or condition which would be susceptible  
25 to treatment with a compound having activity against a TYK2 kinase.

8.2 The use of a crystalline form of the compound of formula (1) as defined in any one of Embodiments 1.0 to 5.2 for the manufacture of a medicament for the treatment or prophylaxis of a disease state or condition in a patient who has been screened and has been determined as suffering from, or being at risk of suffering from, a disease or  
30 condition which would be susceptible to treatment with a compound having activity against TYK2 kinase.

8.3 A method for the diagnosis and treatment of a disease state or condition mediated by TYK2 kinase, which method comprises (i) screening a patient to determine whether a disease or condition from which the patient is or may be suffering is one which would be susceptible to treatment with a compound having activity against the kinase; and (ii) where it is indicated that the disease or condition from which the patient is thus susceptible, thereafter administering to the patient an effective TYK2 inhibiting amount of a crystalline form of the compound of formula (1) as defined in any one of Embodiments 1.0 to 5.2.

A subject (e.g. patient) may be subjected to a diagnostic test to detect a marker indicative of the presence of a disease or condition in which TYK2 is implicated, or a marker indicative of susceptibility to the said disease or condition. For example, subjects may be screened for genetic markers indicative of a susceptibility to develop an autoimmune or inflammatory disease.

The genetic marker can comprise a particular allele or single nucleotide polymorphism of the TYK2 gene which is indicative of susceptibility to an autoimmune disease such as multiple sclerosis (see for example Ban *et al.*, *European Journal of Human Genetics* (2009), 17, 1309-1313) or an inflammatory bowel disease such as Crohn's disease (see Sato *et al.*, *J. Clin. Immunol.* (2009), 29:815-825). The genetic marker can, for example, be a single nucleotide polymorphism in the TYK2 gene, or it can be a haplotype comprising a single nucleotide polymorphism in the TYK2 gene and a polymorphism in another gene.

The diagnostic tests are typically conducted on a biological sample selected from blood samples, biopsy samples, stool biopsies, sputum, chromosome analysis, pleural fluid, peritoneal fluid, or urine.

Methods of identifying genetic markers such as single nucleotide polymorphisms are well known. Examples of suitable methods for identifying such markers are described in Ban *et al.* and Sato *et al.* above.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1 shows XRPD patterns for amorphous (2,6-dichlorophenyl)-5-[4-(1,1-dioxo-1,4-thiazinane-4-carbonyl)-anilino]oxazole-4-carboxamide. The upper trace is that of amorphous compound formed after drying *in vacuo* for an hour 45 °C and the lower trace

is that of amorphous compound subjected to drying *in vacuo* at 45 °C for about another 19¼ hours.

Figure 2 is a DSC and TGA thermograph overlay for the amorphous compound after initial drying *in vacuo* for an hour at 45 °C followed by further drying *in vacuo* at 45 °C for  
5 about another 19¼ hours. The upper line is the TGA thermograph and the lower line containing the peak is the DSC thermograph.

Figure 3 shows an XRPD pattern for crystalline Form A.

Figure 4 is an overlay of the DSC and TGA thermographs for crystalline Form A. The upper line is the TGA thermograph and the lower line containing the peak is the DSC  
10 thermograph.

Figure 5 is a DVS isotherm profile for crystalline Form A.

Figure 6 shows an XRPD pattern for crystalline Form B.

Figure 7 shows DSC thermographs of three samples of crystalline Form B, denoted by lines B-1, B-2 and B-3.

15 Figure 8 is a DVS isotherm profile for crystalline Form B.

Figure 9 shows an XRPD pattern for crystalline Form C.

Figure 10 shows a DSC thermograph comparison between crystalline Form A (line A), crystalline Form B (line B) and crystalline Form C (line C).

Figure 11 shows an XRPD pattern for crystalline Form D.

20 Figure 12 shows a DSC thermograph for crystalline form D.

## **EXAMPLES**

The invention will now be illustrated, but not limited, by reference to the specific embodiments described in the following examples.

### **Abbreviations**

25 In the Examples below, the following abbreviations are used:

ACN	acetonitrile
DCM	dichloromethane
DMF	dimethylformamide
DPPF	1,1'-bis(diphenylphosphino)ferrocene
EDCI	N-ethyl-N'-(3-dimethylaminopropyl)carbodiimide
Et <sub>3</sub> N	triethylamine
EtOAc	ethyl acetate
HOBt	hydroxybenzotriazole
HPLC	high performance liquid chromatography
LCMS	liquid chromatography - mass spectrometry
MeCN	acetonitrile
MeOH	methanol
mL	millilitres
mmol	millimoles
NMP	N-methylpyrrolidone
Pd(dba) <sub>2</sub>	bis(dibenzylideneacetone)palladium(0)
SiO <sub>2</sub>	silica
<i>tert</i> -BuONO	tertiary butyl nitrite
TFA	trifluoroacetic acid
TLC	thin layer chromatography

#### Analytical Conditions

NMR spectra were recorded on a Bruker 400 MHz instrument or on a JEOL ECX 400MHz spectrometer equipped with an auto-sampler. In Example 1, the NMR spectra were recorded on the Bruker instrument unless indicated otherwise.

- 5 HPLC separations were carried out using Phenomenex LUNA-C18(2) 5 $\mu$  particle size, 2 x 50mm columns.

#### X-Ray Powder Diffraction (XRPD)

X-Ray Powder Diffraction patterns were collected on a PANalytical diffractometer using Cu K $\alpha$  radiation (45kV, 40mA),  $\theta$  -  $\theta$  goniometer, focusing mirror, divergence slit (1/2"), soller slits at both incident and divergent beam (4mm) and a PIXcel detector. The software used for data collection was X'Pert Data Collector, version 2.2f and the data were presented using X'Pert Data Viewer, version 1.2d. XRPD patterns were acquired under ambient conditions via a transmission foil sample stage (polyimide - Kapton, 12.7 $\mu$ m thickness film) under ambient conditions using a PANalytical X'Pert PRO. The data collection range was 2.994 - 35 $^{\circ}$ 2 $\theta$  with a continuous scan speed of 0.202004 $^{\circ}$ s $^{-1}$ .

#### Differential Scanning Calorimetry (DSC)

DSC data were collected on a PerkinElmer Pyris 6000 DSC equipped with a 45-position sample holder. The instrument was verified for energy and temperature calibration using certified indium. A predefined amount of the sample, 0.5-3.0 mg, was placed in a pin holed aluminium pan and heated at 20  $^{\circ}$ C.min $^{-1}$  from 30 to 350  $^{\circ}$ C, or varied as experimentation dictated. A purge of dry nitrogen at 20 ml min $^{-1}$  was maintained over the sample. The instrument control, data acquisition and analysis were performed with Pyris Software v11.1.1 revision H.

#### Thermo-Gravimetric Analysis (TGA)

TGA data were collected on a PerkinElmer Pyris 1 TGA equipped with a 20-position auto-sampler. The instrument was calibrated using a certified weight and certified Alumel and Perkalloy for temperature. A predefined amount of the sample, 1-5mg, was loaded onto a pre-tared aluminium crucible and was heated at 20  $^{\circ}$ C.min $^{-1}$  from ambient temperature to 400  $^{\circ}$ C. A nitrogen purge at 20 ml.min $^{-1}$  was maintained over the sample. Instrument control, data acquisition and analysis were performed with Pyris Software v11.1.1 revision H.

#### Optical Microscopy

Optical microscopy examination was undertaken using a Leica DME polarised light microscope and an Infinity 1 digital video camera for image capture. A small amount of each sample was placed onto a glass slide and dispersed as best as possible. The samples were viewed with appropriate magnification and various images recorded. The image scale bar was calibrated against an external graticule, 0.1 mm/0.002 mm DIV.

#### Hot Stage Microscopy (HSM)

Hot Stage Microscopy was undertaken using a Leica DME polarised light microscope combined with a Mettler-Toledo MTFP82HT hot-stage and a digital video camera for image capture. A small amount of each sample was placed onto a glass slide with individual particles separated as best as possible. The sample was viewed with  
5 appropriate magnification and partially polarised light, whilst being heated from ambient temperature typically at 20 °C.min<sup>-1</sup> unless an alternate heating rate is stated.

#### Dynamic Vapour Sorption

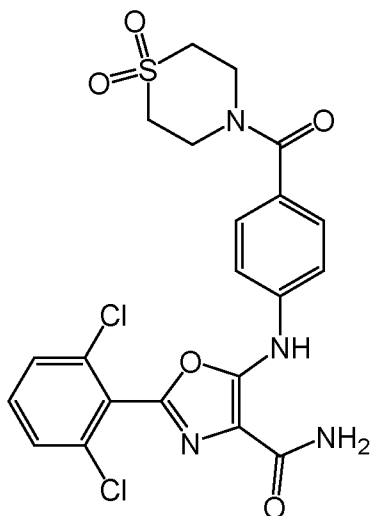
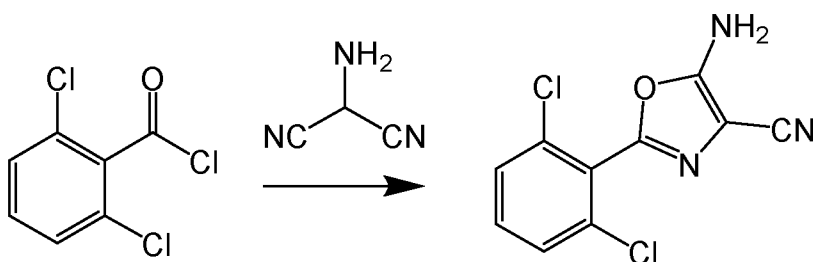
Sorption isotherms were obtained using a Hiden Isochema moisture sorption analyser (model IGAsorp), controlled by IGAsorp Systems Software V6.50.48. The sample was  
10 maintained at a constant temperature (25 °C) by the instrument controls. The humidity was controlled by mixing streams of dry and wet nitrogen, with a total flow of 250 ml.min<sup>-1</sup>. The instrument was verified for relative humidity content by measuring three calibrated Rotronic salt solutions (10 - 50 - 88%). The weight change of the sample was monitored as a function of humidity by a microbalance (accuracy +/- 0.005 mg). A defined amount of  
15 sample was placed in a tared mesh stainless steel basket under ambient conditions. A full experimental cycle typically consisted of three scans (sorption, desorption and sorption) at a constant temperature (25 °C) and 10% RH intervals over a 0 – 90% range (60 minutes for each humidity level). This type of experiment should demonstrate the ability of samples studied to absorb moisture (or not) over a set of well-determined humidity  
20 ranges.

#### Karl Fischer Titration

Water content in a sample was determined using a Mettler Toledo Volumetric Karl Fischer Titrator. The titrant was HYDRANAL composite 5 and the solvent was  
HYDRANAL Methanol dry. A sample mass of ca. 0.2 g was charged and mixed for 600  
25 seconds.

#### Mya4 Reaction Station

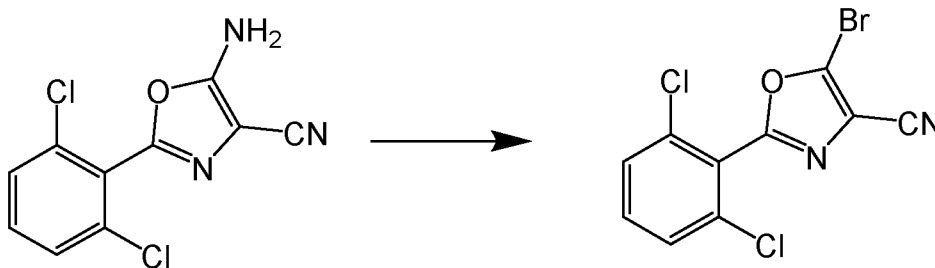
Equilibrations or crystallisations that require temperature control and/or defined heating/cooling profiles are performed in the Radley's Mya4 Reaction Station; a 4-zone reaction station with magnetic and overhead stirring capabilities and a temperature range  
30 of -30 to 180 °C on 2 to 400 ml scale mixtures. The reaction conditions required are programmed via the Mya 4 Control Pad. The temperature control is verified semi-annually in-house.

EXAMPLE 12-(2,6-dichlorophenyl)-5-[4-(1,1-dioxo-1,4-thiazinane-4-carbonyl)anilino]-oxazole-4-carboxamide5 1A. Preparation of 5-amino-2-(2,6-dichlorophenyl)-oxazole-4-carbonitrile

2,6-Dichlorobenzoyl chloride (10 g, 47.74 mmol) was added slowly to a solution of aminomalononitrile p-toluenesulfonate (13.3 g, 52.51 mmol) in NMP (50 mL). The reaction mixture was heated at 110 °C for 14 hours before quenching with water (100 mL) and the resulting solid was collected by filtration. The crude product was dissolved in ethyl acetate (100 mL) and washed with water (40 mL x 2), and the organic layer was dried over Na<sub>2</sub>SO<sub>4</sub>. The solvent was removed to give the title compound (19 g, crude) as a white solid.

<sup>1</sup>H NMR (400MHz, CDCl<sub>3</sub>): δ: 7.37 – 7.35 (m, 2H), 7.29-7.26 (m, 1H), 6.19 (s, 2H).

1B. Preparation of 5-bromo-2-(2,6-dichlorophenyl)-oxazole-4-carbonitrile

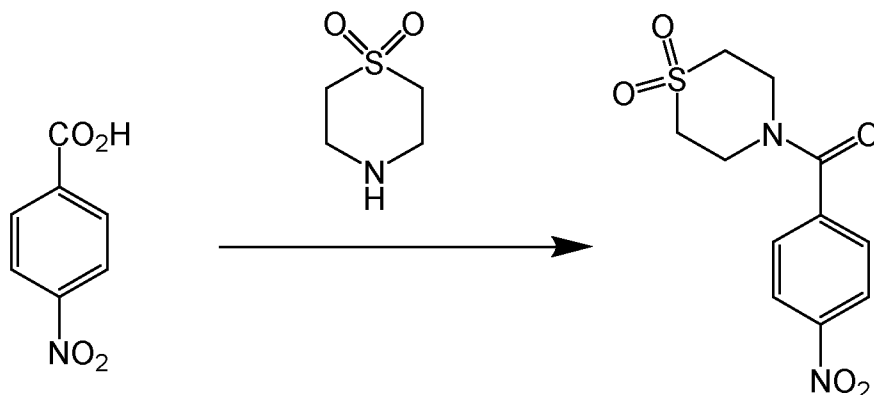


To a solution of 5-amino-4-cyano-2-(2,6-dichlorophenyl)-oxazole (9.0 g, 35.42 mmol) in  $\text{CH}_2\text{Br}_2$  (50 mL) was added bromo(trimethyl)silane (13.56 g, 88.55 mmol). *tert*-BuONO (36.53 g, 354.20 mmol) was then added very slowly at 0 °C under a protective  $\text{N}_2$  atmosphere and the mixture was stirred at 0 °C for 2.5 hour. The reaction mixture was then concentrated under reduced pressure to remove  $\text{CH}_2\text{Br}_2$ , water ( $\text{H}_2\text{O}$  100 mL) was added and the resulting mixture was extracted with DCM (100 mL x 3). The combined organic layers were dried over  $\text{Na}_2\text{SO}_4$ , filtered and concentrated under reduced pressure to give a residue which was purified by column chromatography ( $\text{SiO}_2$ , petroleum ether/ethyl acetate = 50/1 to 10:1). The title compound (8 g, 71.03% yield) was obtained as a white solid.

#### 1B(a). Alternative preparation of 5-bromo-2-(2,6-dichlorophenyl)-oxazole-4-carbonitrile

To  $\text{CuBr}_2$  (880 g, 3.94 mol) in a flask at room temperature under  $\text{N}_2$  was added MeCN (7.5 L) and the resulting mixture was cooled to 0 – 4 °C. *t*BuONO (90% active, 475 mL, 5.68 mol) was added followed by a solution of 5-amino-4-cyano-2-(2,6-dichlorophenyl)-oxazole (500 g, 1.97 mol) in MeCN (2.5 L) at 0 – 4 °C. The reaction mixture was stirred at 5 °C for 30 minutes and then allowed to warm to 10 °C over 1 hour, after which time LC showed the reaction to be complete. The reaction mixture was split into two equal portions (~5.5 L) for work-up. The first portion was quenched with 1M HCl (aq) (7.5 L) [exotherm 20 – 27 °C] and was extracted with EtOAc (2 x 6.0 L). The extraction procedure was repeated on the second portion and the organic phases were combined (~24 L) and dried over  $\text{MgSO}_4$ , reduced *in vacuo* and then azeotroped with IPA (4.8 L) to give 711 g of crude material. The crude material was slurried in IPA (0.63 L) at room temperature for 2 hours under  $\text{N}_2$ , filtered, washed (cold IPA, 2 x 0.1 L) and the resulting pale yellow solid was dried at 40 °C *in vacuo* for 18 hours to give 390 g (62% yield) at 87% by LC.

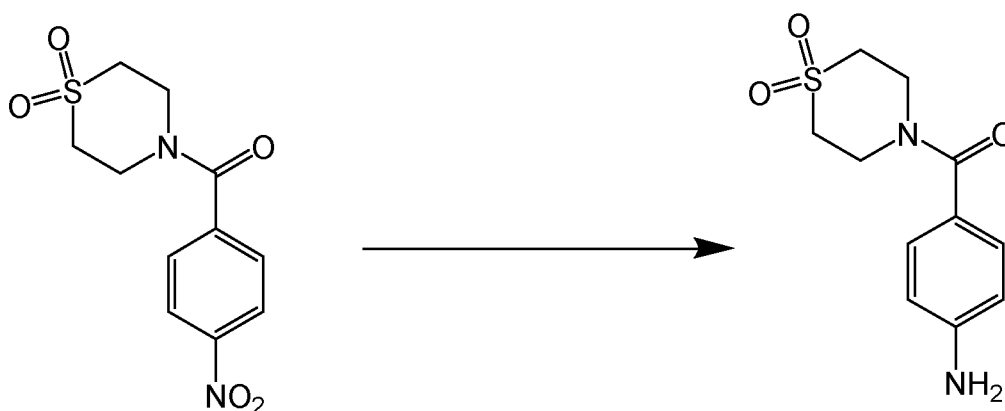
#### 1C. Preparation of 4-(4-nitrobenzoyl)-1,1-dioxo-1,4-thiazinane



To a mixture of 4-nitrobenzoic acid (5 g, 29.92 mmol) and 1,4-thiazinane 1,1-dioxide hydrochloride (5.1 g, 29.92 mmol) in DMF (50 mL) was added HOBT (6.1 g, 44.88 mmol), EDCI (8.6 g, 44.88 mmol),  $\text{Et}_3\text{N}$  (6.1 g, 59.84 mmol) in one portion at 15 °C under  $\text{N}_2$ . The mixture was stirred at 15 °C for 14 hours. The reaction mixture was diluted with saturated  $\text{Na}_2\text{CO}_3$  (300 mL) and extracted with EtOAc (150 mL x 3). The combined organic layers were dried over  $\text{Na}_2\text{SO}_4$ , filtered and concentrated under reduced pressure to give the title compound (6.5 g, crude) as a white solid.

$^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ ):  $\delta$  : 8.27 (d,  $J=8.8$  Hz, 2H), 7.55 (d,  $J=8.8$  Hz, 2H), 4.33 - 3.75 (m, 4H), 3.22 - 2.75 (m, 4H).

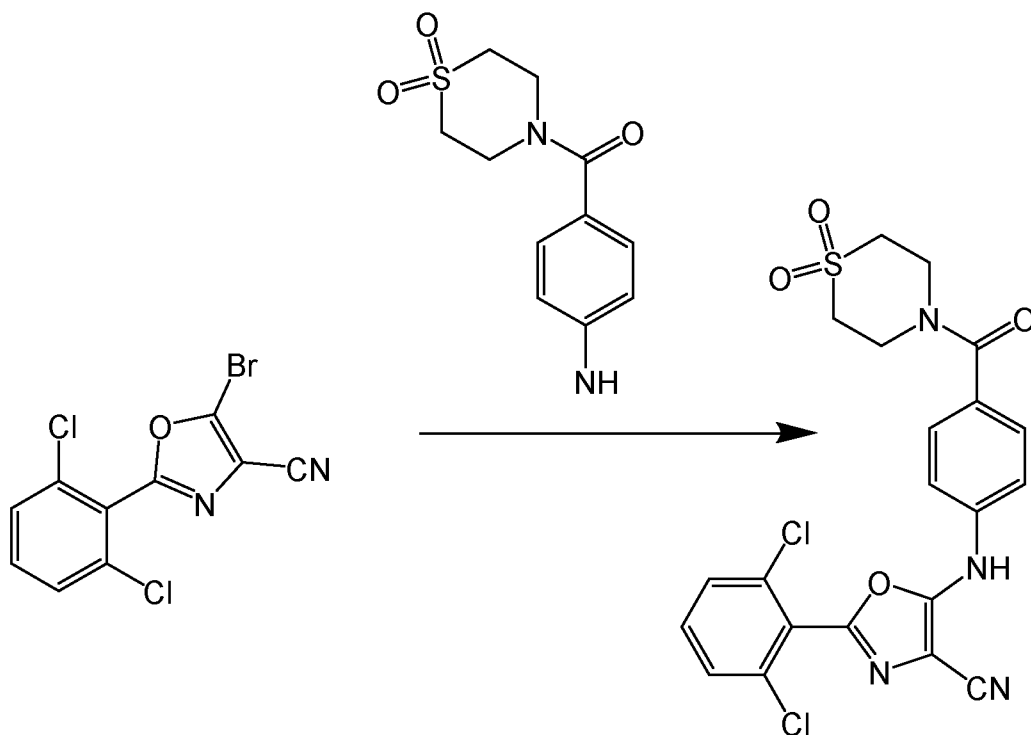
#### 1D. Preparation of 4-(4-aminobenzoyl)-1,1-dioxo-1,4-thiazinane



To a solution of 4-(4-nitrobenzoyl)-1,1-dioxo-1,4-thiazinane (5.5 g, 19.35 mmol) in MeOH (100 mL) was added Pd/C (1.0 g, 19.35 mmol) under  $\text{N}_2$ . The suspension was degassed under vacuum and purged with  $\text{H}_2$  several times, and then stirred under  $\text{H}_2$  (15 psi) at 15 °C for 14 hours. The reaction mixture was filtered and the filtrate was concentrated to give the title compound (4.5 g, 91.45% yield) as a white solid.

<sup>1</sup>H NMR (400MHz, (CDCl<sub>3</sub>): δ: 7.36 - 7.26 (m, 2H), 6.80 - 6.61 (m, 2H), 4.26 - 4.08 (m, 4H), 4.06 - 3.88 (m, 2H), 3.21 - 2.95 (m, 4H)

1E. Preparation of 2-(2,6-dichlorophenyl)-5-[4-(1,1-dioxo-1,4-thiazinane-4-carbonyl)anilino]oxazole-4-carbonitrile



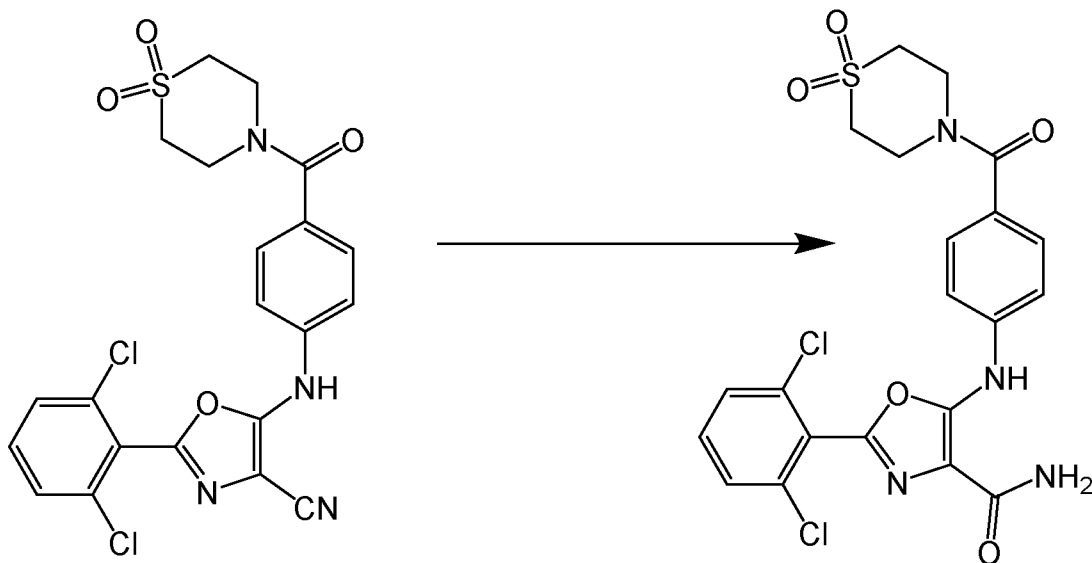
5

1,4-Dioxane (13 mL) was added to a mixture of 5-bromo-4-cyano-2-(2,6-dichlorophenyl)-oxazole (500 mg, 1.57 mmol), 4-(4-aminobenzoyl)-1,1-dioxo-1,4-thiazinane (399.25 mg, 1.57 mmol) and Pd(dba)<sub>2</sub> (90.28 mg, 157 μmol), DPPF (130.56 mg, 235.5 μmol), K<sub>2</sub>CO<sub>3</sub> (976.45 mg, 7.07 mmol) in a reaction tube which was sealed and subjected to microwave heating at 120 °C for 4 hours. The resulting reaction mixture was filtered and concentrated in vacuum, and water (30 mL) was added before extracting with DCM (50 mL x 3). The combined organic phases were dried over Na<sub>2</sub>SO<sub>4</sub>, filtered and concentrated under reduced pressure to give a residue which was purified by column chromatography (SiO<sub>2</sub>, petroleum ether/ethyl acetate = 10/1 to 2/3). The title compound (110 mg, 14.26% yield) was obtained as a brown solid.

10

15

1F. Preparation of 2-(2,6-dichlorophenyl)-5-[4-(1,1-dioxo-1,4-thiazinane-4-carbonyl)anilino]oxazole-4-carboxamide



A mixture of 2-(2,6-dichlorophenyl)-5-[4-(1,1-dioxo-1,4-thiazinane-4-carbonyl)anilino]oxazole-4-carbonitrile (100 mg, 203.52  $\mu\text{mol}$ ) in  $\text{H}_2\text{SO}_4$  (1 mL) at 0  $^\circ\text{C}$  was stirred at 15  $^\circ\text{C}$  for 2 hours under an  $\text{N}_2$  atmosphere. LCMS analysis after this time

5 indicated that the reaction had gone to completion and so the reaction mixture was quenched with ice at 0  $^\circ\text{C}$ , and then filtered. The filtrate was extracted with EtOAc (30mL: 10mL x 3), and the combined organic layers were dried over  $\text{Na}_2\text{SO}_4$ , filtered and concentrated under reduced pressure to give a residue which was purified by preparative HPLC (TFA conditions). The title compound, 2-(2,6-dichlorophenyl)-5-[4-(1,1-dioxo-1,4-

10 thiazinane-4-carbonyl)-anilino]oxazole-4-carboxamide (25 mg, 24% yield, 99.61% purity), was obtained as a yellow solid.

$^1\text{H}$  NMR (400MHz,  $\text{CDCl}_3$ ):  $\delta$ : 9.05 (s, 1H), 7.50-7.48 (m, 2H), 7.46-7.44 (m, 3H), 7.41 - 7.38 (m, 2H), 6.50 (s, 1H), 5.38 (s, 1H), 4.12 (s, 4H), 3.07 (s, 4H).

MS (ESI): mass calc'd. for  $\text{C}_{21}\text{H}_{18}\text{Cl}_2\text{N}_4\text{O}_5\text{S}$  508.0408.04 , m/z found , 509.0  $[\text{M}+\text{H}]^+$ .

15 1G. Preparation of amorphous 2-(2,6-dichlorophenyl)-5-[4-(1,1-dioxo-1,4-thiazinane-4-carbonyl)anilino]oxazole-4-carboxamide

HPLC purification of 2-(2,6-dichlorophenyl)-5-[4-(1,1-dioxo-1,4-thiazinane-4-carbonyl)-anilino]oxazole-4-carboxamide and subsequent rapid evaporation (e.g. by rotary evaporation) of solvent from eluent containing the compound gives rise to an amorphous

20 form of the compound.

A larger scale preparation of amorphous compound was carried out by dissolving 2-(2,6-dichlorophenyl)-5-[4-(1,1-dioxo-1,4-thiazinane-4-carbonyl)-anilino]oxazole-4-carboxamide

(1.436g) in 20 volumes of THF and swirling the mixture at 25 °C to form a solution within 15 minutes. The solution was clarified (0.45µm nylon filter) to afford a clear, yellow solution, leaving dark residue on the filter surface. The solution was reduced *in vacuo* at 40 °C to a yellow foamy solid within 2 minutes and dried *in vacuo* at 45 °C on a rotary evaporator for a further 1 hour.

A portion of the solid was sampled (Batch 1) and the bulk (Batch 2) was dried *in vacuo* at 45 °C for ca. 19¼ hours to afford 1.15g of yellow, brittle solid out of the vessel.

XRPD examination (Figure 1) revealed both the damp (Batch 1) and dry (Batch 2) lots to be amorphous.

10 Thermal examination (Figure 2) of Batch 2 revealed evidence of minor endotherms in the DSC thermograph at ca. 92 °C and 111 °C leading into a significant exotherm with an onset and peak minimum at ca. 162 °C and 188 °C respectively. The TGA thermograph demonstrated a distinct, initial mass loss of 1.052 wt% from ca. 25-70 °C and a broader mass loss of 4.851 wt% from ca. 78-160 °C leading into a third, broad mass loss of 5.787  
15 wt% from ca. 160-240 °C before the onset of decomposition.

Examination of Batch 2 by hot stage microscopy (HSM) revealed particle movement and solid contraction from ca. 83 °C, leading into the onset of melting by ca. 102 °C and melt completion by ca. 145 °C with no evidence of crystallisation from the melt. Increased discolouration in the melt was evident by ca. 175 °C.

20 <sup>1</sup>H NMR spectroscopy (Jeol) of Batch 1 revealed a residual THF and DMSO content of 7.19 and 0.50 wt% respectively. <sup>1</sup>H NMR spectroscopy of Batch 2 revealed a slightly reduced residual THF and DMSO content of 4.90 and 0.36 wt% respectively. A stoichiometric THF solvate would require ca. 12.4 wt% THF present.

The total solvent content (and potentially residual water) is considered to correspond to  
25 the first two mass loss steps from 25-70 °C and 78-160 °C in the TGA thermograph with the remaining mass loss due to decomposition in the melt.

## EXAMPLE 2

Conversion of amorphous 2-(2,6-dichlorophenyl)-5-[4-(1,1-dioxo-1,4-thiazinane-4-carbonyl)anilino]oxazole-4-carboxamide into crystalline forms

Amorphous compound (Batch 2), 24 x ca. 40±2 mg, was weighed into 24 crystallisation tubes. A selection of 24 solvents, 5 vol., were charged to the solids at 25 °C and observations made. A significant number of mixtures demonstrated dissolution upon solvent addition before affording a suspension within 10-15 minutes which was

5 considered to be a consequence of the residual THF content and/or potentially indicative of form change and crystallisation. Where suspensions remained, additional solvent, 5 vol., was charged (10 vol. total) and observations made. The mixtures were heated to 50 °C (38 °C for DCM) and immediate observations noted. The mixtures were equilibrated at temperature for ca. 17 hours and observations noted. The mixtures were cooled to 25 °C

10 over ca. 2¼ hours, equilibrated for ca. 4½ hours and observations noted. The mixtures were heated to temperature, equilibrated for ca. 16 hours and observations noted. The mixtures were cooled to 25 °C over ca. 2¼ hours, equilibrated for ca. 1 hour, observations noted and the solids isolated.

Upon isolation, all solids were dried *in vacuo* under air for ca. 10 to 20 minutes and then

15 dried *in vacuo* at 45 °C for 68 hours.

Observations during the solvent addition and thermal modulation are summarised in Table 1. The crystal forms resulting from the different conditions are summarised in Table 2.

Table 1

ID	Solvent	25°C, 5 vol.		25°C, 10 vol.	1 <sup>st</sup> 50°C*1, T=15mins	1 <sup>st</sup> 50°C*1, T=17Hrs	1 <sup>st</sup> 25°C post- cool	2 <sup>nd</sup> 50°C*1, T=16Hrs	2 <sup>nd</sup> 25°C post- cool
		Immediate	10-15mins						
A	Cyclohexane	Susp.	Susp.	Susp.	Susp.	Susp. + YS	Susp. + YS	Susp. + YS	Susp. + YS
B	Chlorobenzene	Haze	Gum	Susp.	Susp.	Susp. + YS	Susp. + YS	Susp. + YS	Susp. + YS
C	2-Chlorobutane	Susp.	Susp.	Susp.	Susp.	Susp. + YS	Susp. + YS	Susp. + YS	Susp. + YS
D	Benzotrifluoride	Susp.	Susp.	Susp.	Susp.	Susp. + YS	Susp. + YS	Susp. + YS	Susp. + YS
E	Anisole	Dissolved	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.
F	Nitromethane	Dissolved	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.
G	CPME	Susp.	Susp.	Susp.	Susp.	Susp.	Susp. + YS	Susp. + YS	Susp. + YS
H	Heptane	Susp.	Susp.	Susp.	Susp.	Susp. + YS	Susp. + YS	Susp. + YS	Susp. + YS
I	TBME	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.
J	MIBK	Haze	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.
K	MEK	Dissolved	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.
L	iPrOAc	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.
M	EtOAc	Dissolved	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.
N	Toluene	Haze	Susp.	Susp.	Susp.	Susp. + YS	Susp. + YS	Susp. + YS	Susp. + YS
O	THF	Dissolved	Solution	N/A	Susp.*2	Susp.	Susp.	Susp.	Susp.
P	DCM (*138°C)	Dissolved	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.
Q	MeOH	Gum	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.
R	EtOH	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.
S	IPA	Susp.	Susp.	Susp.	Susp.	Susp.	Susp. + YS	Susp. + YS	Susp. + YS
T	MeCN	Dissolved	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.
U	Water	Immiscible	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.
V	4:1 MeCN/water	Dissolved	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.
W	4:1 THF/water	Dissolved	Solution	N/A	Solution	Susp.	Susp.	Susp.	Susp.
X	4:1 IPA/water	Gum	Gum	N/A	Gum	Susp.	Susp.	Susp.	Susp.

In Table 1:

\*1 Charged additional solvent up to 10vol.

Susp. = Suspension

Haze = Predominantly dissolved

5 YS = Yellow solid deposit above the mixture level

Table 2 - Form fate after equilibrations of amorphous compound at 25°C

ID	Solvent	Form fate from amorphous at 25 °C
A	Cyclohexane	Low cryst. Form B
B	Chlorobenzene	Low cryst. Form B
C	2-Chlorobutane	Low cryst. Form B
D	Benzotrifluoride	Low cryst. Form B
E	Anisole	Form E
F	Nitromethane	Form C
G	CPME	Low cryst. Form B
H	Heptane	Low cryst. Form B
I	TBME	Low cryst. Form B
J	MIBK	Low cryst. Form B
K	MEK	Low cryst. Form B
L	iPrOAc	Low cryst. Form B
M	EtOAc	Form D
N	Toluene	Form B
O	THF	Low cryst. Form B
P	DCM (*38°C)	Form C
Q	MeOH	Low cryst. Form B
R	EtOH	Form C
S	IPA	Low cryst. Form B
T	MeCN	Form C
U	Water	Form A
V	4:1 MeCN/water	Form A
W	4:1 THF/water	Form A
X	4:1 IPA/water	Form A + evidence of B

Of the twenty four solvents/solvent mixtures assessed, the amorphous compound demonstrated complete/significant dissolution upon addition of fifteen solvents, 5 vol.

10 However, within 10-15 minutes, twelve of these mixtures gave suspensions. Following extended equilibration at 50 °C, suspensions were afforded from all mixtures.

The predominant XRPD pattern of the dried solids was Form B (14 hits).

Form A was isolated only from the aqueous solvent mixtures.

Form C was isolated from nitromethane, DCM, EtOH and MeCN.

Equilibration in anisole afforded a new form, identified arbitrarily as Form E.

<sup>1</sup>H NMR spectroscopy (Jeol) of Form E demonstrated residual anisole, THF and DMSO contents of 1.14, 0.86 and 0.52 wt% respectively (17.5 wt% Anisole required for 1:1 solvate).

Thermal examination of Form E revealed two endotherms peaking at 187 and 226°C, not too dissimilar to main endotherms for Form A and Form B respectively.

Thermal manipulation by heating Form E to 200 °C, past the first endotherm, and then cooling, demonstrated removal of the first endotherm upon reheating. Insufficient solid remaining for XRPD examination of the thermally manipulated material.

HSM of Form E revealed particle excitement at 170 °C leading into contraction from 179 °C into a melt by 192 °C. Gradual crystallisation was observed in the melt from 192 °C until the crystals melted from 224 to 230 °C.

Equilibration of the amorphous compound in EtOAc afforded a new form, labelled as Form D.

<sup>1</sup>H NMR spectroscopy of Form D demonstrated a residual EtOAc and DMSO content of 4.30 and 0.15 wt% respectively (14.7 wt% EtOAc required for 1:1 solvate). There was no detectable THF observed.

Thermal examination of Form D (see Figure 12) revealed a complex DSC thermograph, with a broad endotherm-exotherm peaking at 171 °C and 179 °C respectively (the endotherm of which was similar to Form C) before two sharper endotherms peaking at 209 °C and 229 °C (the latter of which is similar to Form B).

Thermal manipulation by heating the solid to 180 °C, past the endo-exo, and then cooling, demonstrated removal of the endo-exo event upon reheating. The solid was heated to 215 °C, past the second endotherm, and then cooled before reheating, demonstrating a minor endotherm at ca. 145 °C but no other events until the Form B endotherm. Insufficient solid remained for XRPD examination of the thermally manipulated material.

HSM of Form D revealed particle excitement at 162 °C leading into contraction from 168 °C into a melt by 182 °C. Solids persisted and potentially crystallised within the melt until the crystals melted from 226 °C to 231 °C, similar to Form E.

### EXAMPLE 3

5 Alternative hydrolysis conditions for converting 2-(2,6-dichlorophenyl)-5-[4-(1,1-dioxo-1,4-thiazinane-4-carbonyl)anilino]oxazole-4-carbonitrile to 2-(2,6-dichlorophenyl)-5-[4-(1,1-dioxo-1,4-thiazinane-4-carbonyl)anilino]oxazole-4-carboxamide

In step 1F of Example 1, the partial hydrolysis of the nitrile to the carboxamide is carried out using sulphuric acid. In an alternative method, the partial hydrolysis step can be  
10 carried out using a mixture of trifluoroacetic acid (TFA) and sulphuric acid.

Accordingly, TFA (1166 mL) was added to 2-(2,6-dichlorophenyl)-5-[4-(1,1-dioxo-1,4-thiazinane-4-carbonyl)anilino]oxazole-4-carbonitrile (350 g, 7.12 mmol) and the mixture was stirred at room temperature for 30 minutes and then cooled to 0 – 10 °C.

Concentrated H<sub>2</sub>SO<sub>4</sub> (584 mL) was added to the mixture over 30 minutes [an exothermic  
15 process] during which time the temperature was maintained in the range 0 – 20 °C. After addition of the H<sub>2</sub>SO<sub>4</sub> was complete, the reaction mixture was warmed to 15 – 25 °C and stirred for 5 hours at room temperature, after which liquid chromatography showed 3% remaining starting material. The reaction was quenched [exothermic] by addition to a  
20 mixture of c.NH<sub>3</sub> (3.0 L) and ice (4.0 Kg) over 30 minutes, keeping the temperature under 25 °C [pH shown to be >10 after addition]. The resulting slurry was stirred for 15 minutes and then filtered, washed (H<sub>2</sub>O, 1.0 L) and pulled dry. The wet filtercake was reslurried in water (3.5 L) for 3 hours and re-filtered, washed (H<sub>2</sub>O, 1.8 L) and dried *in vacuo* at 50 °C overnight to give (2,6-dichlorophenyl)-5-[4-(1,1-dioxo-1,4-thiazinane-4-carbonyl)anilino]-oxazole-4-carboxamide (402g) at a purity of 93.2% with residual starting material at a  
25 level of 0.97% (by LC). The partially purified material was slurried in MeCN (1.5 L) for 1 hour at room temperature, filtered, washed (MeCN 0.5 L) and dried *in vacuo* at 50 °C overnight to afford 195 g of product. The product was further purified by dissolving in DMSO (500 mL) at room temperature, polish filtering and washing (DMSO, 80 mL), and then adding to H<sub>2</sub>O (3.8 L) over 2 hours keeping the temperature under 25 °C. The  
30 resulting slurry was stirred for 30 minutes and then filtered, washed (H<sub>2</sub>O, 2 x 900 mL) and dried *in vacuo* at 50 °C to give 170.8 g (44% yield) of (2,6-dichlorophenyl)-5-[4-(1,1-dioxo-1,4-thiazinane-4-carbonyl)anilino]oxazole-4-carboxamide at an activity of 95% by <sup>1</sup>H NMR assay and a purity of 97.1% by LC, 2.3% H<sub>2</sub>O by KF. Additionally, the MeCN

liquors (80.9% by LC) were reworked using column chromatography to give 61.1 g of product at 93.9% by LC and at 79% activity by <sup>1</sup>H NMR assay.

The aqueous work-up used in this method of preparing 2-(2,6-dichlorophenyl)-5-[4-(1,1-dioxo-1,4-thiazinane-4-carbonyl)anilino]oxazole-4-carboxamide gives the compound in crystalline Form A.

### Characterising Data for crystalline forms A, B, C and D

#### Form A

The XRPD pattern for crystalline Form A is shown in Figure 3.

The dataset for the XRPD pattern for Form A is set out in Table 3 below.

10 Table 3

#### Diffraction list for crystalline Form A

Pos. [°2Th.]	Height [cts]	FWHM [°2Th.]	d-spacing [Å]	Rel. Int. [%]
5.7688	68.62	0.8187	15.32049	3.88
6.8092	183.13	0.0768	12.98161	10.35
7.9153	104.54	0.1791	11.16996	5.91
10.6555	764.11	0.1023	8.30278	43.20
11.8866	174.60	0.1023	7.44547	9.87
12.3287	1580.11	0.1279	7.17948	89.33
13.7120	908.80	0.1791	6.45812	51.38
15.8998	455.66	0.1279	5.57408	25.76
16.3772	430.47	0.1023	5.41267	24.34
16.6586	1416.08	0.1279	5.32185	80.06
17.5788	439.57	0.1535	5.04531	24.85
17.9168	448.44	0.1535	4.95088	25.35
19.1711	84.18	0.1535	4.62969	4.76
19.6584	164.13	0.1791	4.51602	9.28
20.6540	1018.69	0.1535	4.30053	57.59
20.8459	798.79	0.0768	4.26137	45.16
21.1486	649.42	0.1279	4.20105	36.72
21.4463	708.71	0.1279	4.14340	40.07
21.8734	858.65	0.1791	4.06346	48.54
23.0784	1768.78	0.1791	3.85394	100.00
23.5779	740.25	0.1279	3.77341	41.85
24.1669	323.97	0.1791	3.68277	18.32
24.7073	512.14	0.1791	3.60344	28.95

25.9969	523.29	0.1791	3.42754	29.58
27.6769	413.00	0.1791	3.22318	23.35
28.4649	305.91	0.1535	3.13573	17.29
29.4695	152.94	0.1535	3.03107	8.65
30.1433	127.27	0.1791	2.96483	7.20
31.3029	117.38	0.2558	2.85760	6.64
32.5765	88.11	0.2558	2.74873	4.98
33.1996	261.01	0.1279	2.69856	14.76
34.5246	128.01	0.2558	2.59796	7.24

Thermal examination (Figure 4) revealed a distinct endotherm in the DSC thermograph with an onset and maxima at 181.72 and 193.65 °C respectively. Prior to the main endotherm, there was a broad endotherm peaking at ca. 100 °C. This broad endotherm corresponded to a mass loss of 2.582 wt% from 30 to 150 °C in the TGA thermograph and was therefore most likely water loss. A minor weight reduction of 0.148 wt% was coincident with the main endotherm. The onset of decomposition was observed from ca. 258 °C.

Thermal manipulation by holding at 150 °C for 1 minute, past the broad endotherm and mass loss, revealed a DSC thermograph that was similar to the input with little evidence of the broad endotherm shown in Figure 4. The thermal manipulation was considered to have annealed the material. The thermal manipulation had no clear impact on the XPRD diffraction pattern of the solid.

DVS examination of crystalline Form A (Figure 5) revealed a weight reduction of ca. 2.75 wt% upon the first desorption from 50 to 0% RH and a total weight gain of ca. 3.25 wt% upon sorption from 0 to 90% RH. Repeat desorption and sorption was similar to the first steps with little evidence of hysteresis. Weight change was relatively steady between 20 and 90% RH but increased significantly between 0 and 20% RH.

### **Form B**

The XRPD pattern for crystalline Form B is shown in Figure 6.

The dataset for the XRPD pattern for Form B is set out in Table 4 below.

Table 4

Diffraction list for crystalline Form B

Pos. [°2Th.]	Height [cts]	FWHM [°2Th.]	d-spacing [Å]	Rel. Int. [%]
--------------	--------------	--------------	---------------	---------------

5.5536	51.16	0.4093	15.91347	1.16
7.0873	1325.52	0.1023	12.47286	29.97
10.4640	43.68	0.3070	8.45431	0.99
11.2039	822.74	0.1023	7.89756	18.60
11.7715	761.48	0.0512	7.51803	17.21
11.9570	2374.37	0.1023	7.40181	53.68
12.5675	866.40	0.0768	7.04357	19.59
13.6281	81.45	0.2558	6.49769	1.84
14.2410	1135.66	0.1023	6.21941	25.67
14.4789	1048.35	0.1023	6.11774	23.70
14.8804	94.58	0.0768	5.95358	2.14
16.1468	782.83	0.0768	5.48940	17.70
16.7188	4080.27	0.1535	5.30285	92.24
17.3746	353.00	0.0768	5.10415	7.98
17.9708	250.49	0.1279	4.93611	5.66
18.6086	949.61	0.1279	4.76835	21.47
19.5972	161.12	0.1023	4.52999	3.64
19.9280	1231.16	0.1279	4.45552	27.83
20.1989	148.01	0.0768	4.39638	3.35
20.5954	146.21	0.1535	4.31262	3.31
21.1125	186.10	0.0768	4.20816	4.21
21.4420	200.12	0.1279	4.14422	4.52
22.0703	750.73	0.1279	4.02765	16.97
22.5561	3876.39	0.1279	3.94200	87.63
23.1885	4423.53	0.1279	3.83589	100.00
23.4376	1897.41	0.1023	3.79569	42.89
23.8914	771.49	0.0512	3.72461	17.44
24.0891	1116.32	0.1023	3.69449	25.24
24.6251	94.22	0.2047	3.61527	2.13
25.2600	1882.59	0.1535	3.52583	42.56
26.0016	388.63	0.1535	3.42692	8.79
26.6169	2851.42	0.1279	3.34909	64.46
27.0229	1125.13	0.1535	3.29968	25.44
27.8202	1212.70	0.1279	3.20691	27.41
28.6270	210.92	0.0768	3.11833	4.77
28.9129	1077.05	0.1791	3.08814	24.35
29.9652	206.97	0.1535	2.98206	4.68
30.8688	89.74	0.1279	2.89679	2.03
31.2086	146.41	0.1279	2.86602	3.31
31.8422	627.56	0.1279	2.81043	14.19
32.4630	121.11	0.2047	2.75809	2.74
32.9792	523.67	0.1535	2.71609	11.84
33.8821	381.43	0.1279	2.64575	8.62
34.4717	110.96	0.2047	2.60183	2.51

Figure 7 shows the DSC profiles of three samples of Form B prepared by different methods.

The DSC profile of a sample of crystalline Form B prepared by equilibration of Form A in nitromethane is represented by line B-1 in Figure 7. This Form, although predominantly  
 5 Form B was shown by XRPD (diffractogram not shown) to contain some Form A. DSC examination of this crystalline form shows a single endotherm with an onset and maxima at 228 °C and 232 °C respectively.

The DSC profile of a sample of crystalline Form B prepared by equilibration in MEK is represented by line B-2 in Figure 7. The DSC thermograph shows an endotherm with an  
 10 onset and maxima at 230 °C and 233 °C respectively with a preceding shoulder at 229 °C. Apart from the shoulder, the profile is similar to B-1.

The DSC profile of a third sample of Form B is shown by line B-3 and features an endotherm with an onset and maxima at similar temperatures as B-1 but with a bimodal peak at 236 °C.

15 DVS examination of Form B (see Figure 8) demonstrated a weight reduction of ca. 0.38 wt% upon the first desorption from 50 to 0% RH and a total weight gain of ca. 0.57 wt% upon sorption from 0 to 90% RH. Repeat desorption and sorption was similar to the first steps with hysteresis of ca. 0.11 wt%, most evident between 10 and 60% RH. Weight change was relatively steady between 0 and 90% RH. XRPD examination (diffractogram  
 20 not shown) of the solid isolated post-DVS at 0 and 90% RH demonstrated no clear evidence of form modification from the input version.

### **Form C**

The XRPD pattern for crystalline Form C is shown in Figure 9.

The dataset for the XRPD pattern for Form C is set out in Table 5 below.

25 **Table 5**

Pos. [°2Th.]	Height [cts]	FWHM [°2Th.]	d-spacing [Å]	Rel. Int. [%]
4.2224	394.34	0.0768	20.92706	10.84
5.5915	165.56	0.3070	15.80584	4.55
8.5015	1191.88	0.1023	10.40094	32.75
11.5069	503.74	0.1279	7.69028	13.84
12.7898	3639.38	0.1535	6.92167	100.00

13.0197	1142.87	0.0768	6.79993	31.40
14.4454	46.19	0.3070	6.13187	1.27
16.4998	1027.50	0.1279	5.37272	28.23
17.0824	215.07	0.2047	5.19077	5.91
17.8408	1579.57	0.1535	4.97180	43.40
18.0631	238.70	0.0768	4.91110	6.56
18.8181	546.23	0.1535	4.71573	15.01
20.1113	1078.39	0.1279	4.41533	29.63
20.4314	313.82	0.1023	4.34687	8.62
20.7041	233.04	0.1535	4.29023	6.40
21.3136	206.18	0.1535	4.16889	5.67
21.5831	176.93	0.0768	4.11744	4.86
22.4078	648.46	0.1279	3.96774	17.82
22.8623	1508.30	0.1279	3.88989	41.44
23.1421	622.67	0.1023	3.84348	17.11
23.6446	497.31	0.1023	3.76293	13.66
24.3253	1242.33	0.1279	3.65914	34.14
24.6942	611.51	0.0768	3.60531	16.80
24.9864	541.61	0.1023	3.56381	14.88
25.3479	531.15	0.1023	3.51380	14.59
25.7650	491.05	0.2047	3.45785	13.49
26.1378	943.67	0.1535	3.40938	25.93
27.1360	338.98	0.1279	3.28619	9.31
27.2833	314.48	0.0768	3.26878	8.64
27.7947	81.32	0.2047	3.20979	2.23
28.8544	220.37	0.3582	3.09427	6.06
29.7177	63.98	0.2047	3.00632	1.76
30.2648	230.51	0.1791	2.95321	6.33
31.1192	108.33	0.1535	2.87405	2.98
32.3467	66.62	0.1535	2.76773	1.83
33.4199	123.26	0.2558	2.68127	3.39
34.4181	156.93	0.1791	2.60576	4.31

Figure 10 shows a comparison of the DSC profiles of Forms A, B and C, represented respectively by lines A, B and C.

The Form C used in this DSC study was prepared by equilibration in THF at 25 °C using Form A as the starting material.

- The profile of Form C features a broad endotherm from 140 to 180 °C with a peak maximum at 173 °C before a Form B-similar endotherm with an onset at 224 °C and maximum at 232 °C.

HSM of Form C revealed particle excitement from ca. 147 °C, leading into a partial melt by 187 °C. Minor crystallisation was observed in the melt until ca. 210 °C. Contraction was observed from ca. 220 °C into melt completion by 237 °C.

- 5 The Form C sample was thermally manipulated by holding at 180 °C on the TGA. This revealed a mass loss of 0.42 and 2.08 wt% at 25-68 °C and 86-185 °C. DSC assessment of the manipulated material revealed a thermograph that was characteristic of Form B. XRPD examination (diffractogram not shown) revealed form conversion from Form C to Form B.

### **Form D**

- 10 The XRPD pattern for crystalline Form D is shown in Figure 11.

The dataset for the XRPD pattern for Form D is set out in Table 6 below.

**Table 6**

Pos. [°2Th.]	Height [cts]	FWHM [°2Th.]	d-spacing [Å]	Rel. Int. [%]
5.6206	64.42	0.6140	15.72399	2.10
6.9197	343.25	0.0768	12.77463	11.20
7.8376	303.12	0.1279	11.28042	9.89
10.4454	174.63	0.3070	8.46928	5.70
11.7494	175.66	0.6140	7.53214	5.73
12.9620	416.52	0.1023	6.83009	13.59
13.9079	3064.71	0.1023	6.36760	100.00
16.0891	670.11	0.2303	5.50894	21.87
16.6344	1104.35	0.1535	5.32955	36.03
17.9803	555.02	0.2303	4.93353	18.11
19.8856	413.47	0.1279	4.46494	13.49
21.1635	1689.30	0.1535	4.19813	55.12
21.3741	1708.82	0.1535	4.15724	55.76
22.4874	486.56	0.4093	3.95388	15.88
23.4275	1525.54	0.1535	3.79730	49.78
24.0956	598.82	0.3070	3.69351	19.54
25.1684	323.67	0.1535	3.53846	10.56
26.3897	310.41	0.2558	3.37740	10.13

27.6672	329.24	0.2558	3.22429	10.74
28.8165	131.44	0.1535	3.09825	4.29
29.7643	157.37	0.2558	3.00172	5.13
30.7387	148.30	0.3070	2.90875	4.84
31.9093	239.42	0.2558	2.80467	7.81
33.8982	184.26	0.3070	2.64453	6.01

Figure 12 shows a DSC thermograph of Form D. The Form D was prepared by equilibration of amorphous compound in ethyl acetate at 25 °C.

<sup>1</sup>H NMR spectroscopy (JEOL - spectrum not shown) of Form D demonstrated a residual EtOAc and DMSO content of 4.30 and 0.15wt% respectively (14.7wt% EtOAc required for 1:1 solvate).

Thermal examination (Figure 12) revealed a complex DSC thermograph, with a broad endotherm-exotherm peaking at 171 °C and 179 °C respectively (the endotherm of which was similar to Form C) before two sharper endotherms peaking at 209 °C and 229 °C (the latter of which is similar to Form B).

Thermal manipulation (DSC trace not shown) by heating the solid to 180 °C, past the endo-exo, and then cooling demonstrated removal of the endo-exo event upon reheating. The solid was heated to 215 °C, past the second endotherm, and then cooled before reheating, demonstrating a minor endotherm at ca. 145 °C but no other events occurred until the Form B endotherm. Insufficient solid remained for XRPD examination of the thermally manipulated material.

HSM revealed particle excitement at 162 °C leading into contraction from 168 °C into a melt by 182 °C. Solids persisted and potentially crystallised within the melt until the crystals melted from 226 °C to 231°C.

#### 20 EXAMPLE 4

Conversion of 2-(2,6-dichlorophenyl)-5-[4-(1,1-dioxo-1,4-thiazinane-4-carbonyl)anilino]oxazole-4-carboxamide Form A into other crystalline forms

Equilibration of Form A in various solvents gives very different outcomes from equilibration of amorphous compound in the same range of solvents.

Samples of crystalline Form A, 24 x ca. 50±2mg, were weighed into 24 crystallisation tubes. A selection of 24 solvents, 0.25ml, 5 vol., were charged to the solids at 25 °C and observations made. Where suspensions remained, additional solvent, 0.25ml, 5 vol., was charged up to 1ml (20 vol.) total with ca. 30 minutes to equilibrate between each solvent charge. The mixtures were heated to 50 °C (38 °C for DCM) and immediate observations noted. The mixtures were equilibrated at temperature for ca. 21 hours and observations noted. The mixtures were cooled to 25 °C over ca. 2½ hours, equilibrated for ca. 4 hours and observations noted. The mixtures were heated to temperature and equilibrated for ca. 16½ hours. Observations were noted and, where suspensions held, samples were taken. The mixtures were cooled to 25 °C over ca. 1½ hours, equilibrated for ca. 1 hour, observations noted and the solids isolated.

Upon isolation, all solids were dried *in vacuo* under air for ca. 10 to 20 minutes and then dried *in vacuo* at 45 °C for ca. 17 hours. Upon isolation at 25 °C, the filtrates were retained for compound solubility which was determined by HPLC.

Observations during the solvent addition and thermal modulation are summarised in Table 7. The form fate by XRPD at temperature and 25 °C, solubility and overall CP (at 25 °C) of the solids are summarised in Table 8.

Of the 24 solvents/solvent mixtures assessed, the compound remained as a suspension upon addition of 21 solvents. Of the 3 solvents where complete/partial dissolution occurred, CPME and THF gave suspensions following extended equilibration at 50 °C, leaving only THF/water, 4:1, as a solution that failed to return solid from 15 vol.

Moderate compound solubility (1 to 10 mg ml<sup>-1</sup>) was observed in half of the solvents with poor compound solubility (<1mg ml<sup>-1</sup>) observed in cyclohexane, 2-chlorobutane, heptane, TBME and water. Significant compound solubility (>10mg ml<sup>-1</sup>) was observed in anisole, nitromethane, THF, DCM and THF/water, 4:1.

The predominant XRPD pattern of the dried solids was Form A, the input version.

Equilibration in MEK gave Form B.

Nitromethane returned predominantly Form B with very minor evidence of Form A. Equilibration in EtOAc and MeCN returned Form A/B mixed versions.

The crystalline forms produced by equilibration in THF and DCM were found to be dependent on the temperatures. The use THF as an equilibration solvent at 50°C

afforded Form B but, upon cooling to 25°C, afforded Form C. Conversely, DCM at 38°C afforded Form C but, upon cooling to 25°C, afforded Form B.

Table 7

Solvents used during the equilibration of Form A and observations throughout

ID	Solvent	25°C, 5 vol.	25°C, 10 vol.	25°C, 15 vol.	25°C, 20 vol.	1 <sup>st</sup> 50°C*, T=15mins	1 <sup>st</sup> 50°C*, T=17Hrs	1 <sup>st</sup> 25°C post-cool	2 <sup>nd</sup> 50°C*, T=16½Hrs	2 <sup>nd</sup> 25°C post-cool
A	Cyclohexane	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.
B	Chlorobenzene	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp. + YS	Susp. + YS
C	2-Chlorobutane	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.
D	Benzotrifluoride	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.
E	Anisole	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.
F	Nitromethane	Susp.	Susp.	Susp.	Susp.	Susp.	Susp. + YS	Susp. + YS	Susp. + YS	Susp. + YS
G	CPME	Susp.	Susp.	Susp.	Susp.	Dissolved	Susp.	Susp.	Susp.	Susp.
H	Heptane	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.
I	TBME	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp. + YS	Susp. + YS
J	MIBK	Susp.	Susp.	Susp.	Susp.	Susp.	Susp. + YS	Susp. + YS	Susp. + YS	Susp. + YS
K	MEK	Susp.	Susp.	Susp.	Susp.	Susp.	Susp. + YS	Susp. + YS	Susp. + YS	Susp. + YS
L	iPrOAc	Susp.	Susp.	Susp.	Susp.	Susp.	Susp. + YS	Susp. + YS	Susp. + YS	Susp. + YS
M	EtOAc	Susp.	Susp.	Susp.	Susp.	Susp.	Susp. + YS	Susp. + YS	Susp. + YS	Susp. + YS
N	Toluene	Susp.	Susp.	Susp.	Susp.	Susp.	Susp. + YS	Susp. + YS	Susp. + YS	Susp. + YS

67

<b>O</b>	THF	Susp.	Susp.	Susp.	Dissolved	Solution	Haze + YS	Susp. + YS	Susp. + YS	Susp. + YS
<b>P</b>	DCM (*38°C)	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.
<b>Q</b>	MeOH	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp. + YS	Susp. + YS
<b>R</b>	EtOH	Susp.	Susp.	Susp.	Susp.	Susp.	Susp. + YS	Susp. + YS	Susp. + YS	Susp. + YS
<b>S</b>	IPA	Susp.	Susp.	Susp.	Susp.	Susp.	Susp. + YS	Susp. + YS	Susp. + YS	Susp. + YS
<b>T</b>	MeCN	Susp.	Susp.	Susp.	Susp.	Susp.	Susp. + YS	Susp. + YS	Susp. + YS	Susp. + YS
<b>U</b>	Water	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.
<b>V</b>	4:1 MeCN/water	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.	Susp.
<b>W</b>	4:1 THF/water	Susp.	Haze	Dissolved	N/A	Solution	Solution	Solution	Solution	Solution
<b>X</b>	4:1 IPA/water	Susp.	Susp.	Susp.	Susp.	Susp.	Susp. + YS	Susp. + YS	Susp. + YS	Susp. + YS

Susp. = Suspension Haze = Predominantly dissolved mixture YS = Yellow solid deposit observed above the mixture level

Table 7

Form fate, solubility and CP summary of Form A following equilibration of Form A in various solvents with thermal modulation

ID	Solvent	Form fate by XRPD at:		Solubility at 25°C (mg/ml)	CP by HPLC at 25°C (%)
		25°C	50°C*		
A	Cyclohexane	Form A	Form A	0.01	97.25
B	Chlorobenzene	Form A	Form A	1.87	97.63
C	2-Chlorobutane	Form A	Form A	0.29	97.26
D	Benzotrifluoride	Form A	Form A	0.16	96.81
E	Anisole	Form A	Form A	10.61	98.28
F	Nitromethane	Form B + evidence of A	Form B + evidence of A	20.52	98.42
G	CPME	Form A	Form A	1.87	97.81
H	Heptane	Form A	Form A	0*	97.06
I	TBME	Form A	Form A	0.70	97.52
J	MIBK	Form A	Form A	7.40	98.50
K	MEK	Form B	Form B	6.08	98.09
L	iPrOAc	Form A	Form A	6.96	98.57
M	EtOAc	Form A/B Mix	Form A/B Mix	6.64	97.90
N	Toluene	Form A	Form A	1.12	96.34
O	THF	Form C	Form B	33.30	98.54
P	DCM (*38°C)	Form B	Form C	22.83	98.26
Q	MeOH	Form A	Form A	2.52	98.26
R	EtOH	Form A	Form A	5.20	98.27
S	IPA	Form A	Form A	2.45	97.98
T	MeCN	Form A/B Mix	Form A/B Mix	9.16	97.55
U	Water	Form A	Form A	0.06	97.11

V	4:1 MeCN/water	Form A	Form A	19.78	99.24
W	4:1 THF/water	No solid isolated		>67	N/A
X	4:1 IPA/water	Form A	Form A	7.65	98.90

\* There was no peak detected in the chromatogram for the filtrate (x100 dilution)

#### EXAMPLE 5

Conversion of 2-(2,6-dichlorophenyl)-5-[4-(1,1-dioxo-1,4-thiazinane-4-carbonyl)anilino]oxazole-4-carboxamide Form A into other crystalline forms by dissolution/anti-solvent method

The equilibration of Form A in a range of solvents with thermal modulation indicated a range of suitable solvents and anti-solvents. Consequently, Form A was dissolved in a range of four solvents at an elevated temperature, clarified and charged with anti-solvent at the elevated temperature to assess the propensity of the compound towards anti-solvent-driven crystallisation.

2-(2,6-dichlorophenyl)-5-[4-(1,1-dioxo-1,4-thiazinane-4-carbonyl)anilino]oxazole-4-carboxamide Form A, 4 x 150-152 mg, was weighed into four crystallisation tubes. Four different solvents (see Table 8) were then charged to the solids and the resulting mixtures heated to 60 °C. Where suspensions persisted, additional solvent was charged to achieve dissolution. Upon dissolution of the four mixtures, the solutions were clarified and aliquots containing ca. 30 mg of the compound were charged to four crystallisation tubes.

To each of the four aliquots was charged an anti-solvent (see Table 8), ca. 5 vol., at 60 °C and immediate observations were made. Observations were made after equilibration for 1 hour before additional anti-solvent, 5 vol., was charged to all mixtures. Where suspensions or immiscible mixtures had formed, no further anti-solvent was charged. To all solutions and hazes, additional anti-solvent up to 20 vol. total charge was added.

The mixtures were equilibrated at 60 °C for another hour before cooling to 25 °C over ca. 3 hours and equilibrated for ca. 14½ hours. Most mixtures were observed to have afforded suspensions. Upon isolation, all solids were dried *in vacuo* under air for ca. 1 hour and then dried in the oven *in vacuo* at 45 °C for 70 hours.

Observations made during the anti-solvent addition and subsequent cooling operation are summarised in Table 8.

Table 8

## Solvents used and observations on outcome

ID	Solvent	Anti-solvent	60°C, 5 vol. anti-solvent		60°C, 10 vol. anti-solvent	60°C, 15 vol. anti-solvent	60°C, 20 vol. anti-solvent	25°C, 20 vol., 19Hrs
			Immediate	1Hr				
A1	THF/water, 4:1	Cyclohexane	Gum	Susp.	Susp.	N/A	N/A	Susp.
A2		Heptane	Gum	Susp.	Susp.	N/A	N/A	Gummy Susp.
A3	10 vol.	Benzotrifluoride	Susp.	Susp.	Susp.	N/A	N/A	Susp.
A4		2-Chlorobutane	Susp.	Susp.	Susp.	N/A	N/A	Susp.
B1	THF	Cyclohexane	Gum→Soln.	Susp.	Susp.	N/A	N/A	Susp.
B2		Heptane	Gum→Soln.	Susp.	Susp.	N/A	N/A	Susp.
B3	25 vol.	Benzotrifluoride	Soln.	Susp.	Susp.	N/A	N/A	Susp.
B4		2-Chlorobutane	Soln.	Susp.	Susp.	N/A	N/A	Susp.
C1	Nitromethane	Cyclohexane	Immiscible	Immiscible	Immiscible	N/A	N/A	Bi. Susp.
C2		Heptane	Soln.	Soln.	Soln.	Soln.	Soln.	Bi. Susp.
C3	50 vol.	Benzotrifluoride	Soln.	Soln.	Soln.	Soln.	Soln.	Susp.
C4		2-Chlorobutane	Soln.	Soln.	Soln.	Soln.	Soln.	Susp.
D1	MeCN/water, 4:1	Cyclohexane	Immiscible	Immiscible	Immiscible	N/A	N/A	Immiscible
D2		Heptane	Immiscible	Immiscible	Immiscible	N/A	N/A	Bi. Susp.
D3	55 vol.	Benzotrifluoride	Soln.	Soln.	Haze	Immiscible	Immiscible	Susp.
D4		2-Chlorobutane	Soln.	Soln.	Haze	Haze	Haze	Bi. Susp.

The forms produced by each of the sixteen solvent-antisolvent combinations are shown in Table 9 below. The forms were identified by their XRPD diffraction patterns.

Table 9 – Forms isolated after anti-solvent addition at temperature to solutions of the compound

ID	Solvent	Anti-solvent	Form fate by XRPD
A1	THF/water, 4:1 10 vol.	Cyclohexane	Form A
A2		Heptane	N/A – insufficient solid afforded
A3		Benzotrifluoride	Form A
A4		2-Chlorobutane	Form A
B1	THF 25 vol.	Cyclohexane	Form B
B2		Heptane	Form B
B3		Benzotrifluoride	Form B
B4		2-Chlorobutane	Form B
C1	Nitromethane 50 vol.	Cyclohexane	Form B + evidence of C
C2		Heptane	Form B
C3		Benzotrifluoride	Form B
C4		2-Chlorobutane	Form C + evidence of B
D1	MeCN/water, 4:1 55 vol.	Cyclohexane	N/A – no solid afforded
D2		Heptane	Form A
D3		Benzotrifluoride	Form A
D4		2-Chlorobutane	Form A

- 5 Form A was generated from the aqueous-based solvent mixtures whereas Form B was predominantly generated by non-aqueous mixtures with the exception of the nitromethane/cyclohexane solvent/anti-solvent combination (which produced Form B with evidence of Form C) and nitromethane/2-chlorobutane (which produced Form C with evidence of Form B).

10 Rapidly cooled, reverse anti-solvent addition crystallisation

Anti-solvent crystallisation was carried out by dissolving 2-(2,6-dichlorophenyl)-5-[4-(1,1-dioxo-1,4-thiazinane-4-carbonyl)anilino]oxazole-4-carboxamide in a solvent with heating, clarifying the solution and adding cold anti-solvent using the following method.

- 15 2-(2,6-dichlorophenyl)-5-[4-(1,1-dioxo-1,4-thiazinane-4-carbonyl)anilino]oxazole-4-carboxamide, 4 x 153-155 mg, was weighed into four crystallisation tubes and a different solvent (see Table 10) was charged into each. The mixtures were heated to 60 °C to achieve dissolution and then clarified.

Three anti-solvents (see Table 10), 1.5ml, were each charged to four crystallisation tubes and cooled to -10 °C.

- The clarified solutions of 2-(2,6-dichlorophenyl)-5-[4-(1,1-dioxo-1,4-thiazinane-4-carbonyl)anilino]oxazole-4-carboxamide at 60 °C were charged to the cold anti-solvents
- 5 in aliquots containing ca. 50 mg of the compound (into ca. 30 vol. anti-solvent) and immediate observations were noted.

The resulting mixtures were equilibrated at -10 °C for ca. 22 hours, observations were made and the solids were isolated. All isolated solids were dried *in vacuo* under air for ca. 20 minutes and then dried *in vacuo* at 45 °C for 23½ hours.

- 10 Observations made during the anti-solvent addition and subsequent cooling operation are summarised in Table 10. The crystalline forms (as determined by XRPD) arising from each solvent-anti-solvent combination are set out in in Table 11. For comparison purposes, the crystalline forms produced by addition of anti-solvent at 60 °C (see Table 10 above) are also included in Table 11.

- 15 Table 10 - Solvents and anti-solvents used during the rapidly cooled, reverse addition to anti-solvent at -10°C and observations throughout

ID	Solvent	Anti-solvent	-10°C, 30 vol. anti-solvent		
			Immediate	5 Hrs	22 Hrs
A1	THF/water, 4:1 10 vol.	Heptane	Gum	Suspension	Suspension
A2		Benzotrifluoride	Suspension	Suspension	Suspension
A3		2-Chlorobutane	Suspension	Suspension	Suspension
B1	THF 25 vol.	Heptane	Suspension	Suspension	Suspension
B2		Benzotrifluoride	Suspension	Suspension	Suspension
B3		2-Chlorobutane	Suspension	Suspension	Suspension
C1	Nitromethane 50 vol.	Heptane	Immiscible	Bi. Susp.	Bi. Susp.
C2		Benzotrifluoride	Solution	Solution	Suspension
C3		2-Chlorobutane	Solution	Solution	Haze
D1	MeCN/water, 4:1 55 vol.	Heptane	Immiscible	Bi. Susp.	Bi. Susp.
D2		Benzotrifluoride	Haze	Suspension	Suspension
D3		2-Chlorobutane	Haze	Suspension	Suspension

Haze = Predominantly solution Bi. Susp. = Biphasic with a susp. and clear solution layer

- 20 Table 11 – Comparison of crystalline forms of solids arising from anti-solvent addition at 60 °C (See also Table 10) and reverse addition to anti-solvent at -10 °C

Solvent	Anti-solvent	Crystalline Form fate by XRPD
---------	--------------	-------------------------------

		Addition at 60°C	Reverse addition to anti-solvent at -10°C
THF/water, 4:1 10 vol.	Heptane	N/A – insufficient solid	Low cryst. Form B
	Benzotrifluoride	Form A	Low cryst. Form B
	2-Chlorobutane	Form A	Low cryst. Form B
THF 25 vol.	Heptane	Form B	Low cryst. Form B + evidence of C
	Benzotrifluoride	Form B	Low cryst. Form B
	2-Chlorobutane	Form B	Low cryst. Form C
Nitromethane 50 vol.	Heptane	Form B	Form C
	Benzotrifluoride	Form B	Form C
	2-Chlorobutane	Form C + evidence of B	Form B/C mix
MeCN/water, 4:1 55 vol.	Heptane	Form A	Form A
	Benzotrifluoride	Form A	Form B
	2-Chlorobutane	Form A	Form B

Rapidly cooled, reverse anti-solvent addition and subsequent equilibration at -10 °C for ca. 22 hours predominantly resulted in the formation of Form B, but with some instances of Form C or Form B/C mixed versions and only one instance of Form A.

- 5 The results demonstrate that the crystalline forms of 2-(2,6-dichlorophenyl)-5-[4-(1,1-dioxo-1,4-thiazinane-4-carbonyl)anilino]oxazole-4-carboxamide arising from solvent/anti-solvent crystallisations depends not only on the natures of the solvents but also on the temperatures at which the crystallisations are carried out.

#### EXAMPLE 5

- 10 Conversion of Form A to Form B by equilibration in methylethylketone (MEK)

Crude crystalline Form A, 2.992g (2.84g active), and a crystalline Form B seed, ca. 3mg, ca. 0.1 wt%, were weighed into a vessel. MEK, 6 vol., was charged into the vessel and the mixture warmed to 50 °C. The resulting suspension was equilibrated at this temperature for ca. 19 hours and then cooled to 25 °C over 1½ hours. The solid was  
 15 isolated via filtration followed by an MEK, 1 vol., vessel and filter wash. The washed solid was dried *in vacuo* under air for 1 hour and *in vacuo* at 45 °C for 19½ hours to afford 2.639g of pale yellow solid, (88.2% mass recovery).

XRPD examination (diffractogram not shown) of the dried compound showed revealed a Form B diffraction pattern.

Thus, equilibration of crude crystalline Form A in MEK, 6 vol., at 50 °C successfully afforded Form B with a recovery of 88.2%, an increased active content of 97% (from 95%) and an improved CP of 98.85% (from 97.14%) on a 3g scale.

5 The purity of the crystalline Form B can be improved still further by first equilibrating the Form A starting material in MeCN/water, 4:1, 4 vol., at 50 °C, isolating and drying the purified Form A and then equilibrating the Form A in MEK as described above. In this way, crystalline Form B with an improved CP of 98.64%, and an active content of 99% can be obtained.

#### EXAMPLE 6

#### 10 Comparison of the compression stabilities of crystalline Form A and crystalline Form B

Compression stability tests were carried out on samples from two batches of crystalline Form A and samples from two batches of Crystalline Form B. One pair of samples of Form A and Form B was subjected to compression under  $10 \times 10^4$ N force for 23 and 23¾ hours respectively to assess the impact upon form fate and chemical stability

15 The other pair of samples of Form A and Form B was subjected to similar compression pressures over an extended duration of 7 days to assess the impact of prolonged compression force upon form fate and chemical stability.

20 XRPD examination of the Form A solids isolated post-compression demonstrated a diffraction pattern matching the input Form A but of reduced diffraction resolution following compression for both ca. 1 and 7 days, possibly due to limited sample or a reduction in the order of the solid.

25 XRPD examination of the Form B solids isolated post-compression demonstrated a diffraction pattern matching the input Pattern B but of reduced diffraction resolution following compression for both ca. 1 and 7 days, similar to Form A, possibly due to limited sample or a reduction in the order of the solid.

HPLC assessment of Form A, post-compression for 7 days, revealed significant chemical degradation. However, compression for ca. 1 day demonstrated no discernible chemical degradation, within experimental error.

30 HPLC assessment of Form B, post-compression for both ca. 1 and 7 days, revealed no change to the impurity profile of Pattern B, within experimental error.

The results of the tests showed that crystalline Form A was stable to relatively brief compression force, demonstrating no discernible chemical degradation but slightly reduced crystallinity by XRPD and DSC after 23 hours. However, compression for 7 days afforded significant chemical degradation.

- 5 On the other hand, crystalline Form B was stable to brief and prolonged compression force, demonstrating no discernible chemical degradation but slightly reduced crystallinity by XRPD and DSC.

Thus, Form B demonstrates improved stability to compression over Form A.

- 10 On the basis of the test results, it is considered that crystalline Form B would be better suited for solid formulations, and particularly those (such as tablets) that are prepared by processes involving compression steps.

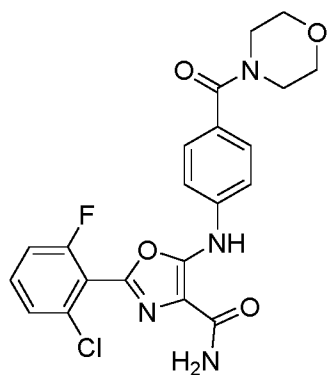
Form A on the other hand may be better suited to the preparation of formulations that do not involve a compression step.

#### EXAMPLE 7

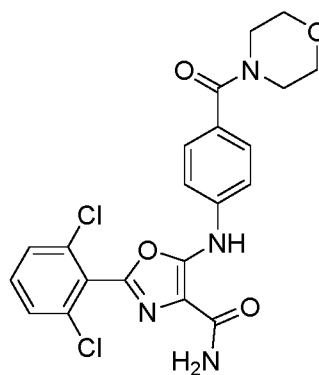
#### 15 Biological Activities

##### (i) TYK2 and JAK Kinase Inhibition Assays

- The compound of the formula (1) was assayed for its ability to inhibit TYK2 kinase and other JAK kinases. The activity of the compound was compared with the activities of  
20 Compound A (2-(2-chloro-6-fluoro-phenyl)-5-[4-(morpholine-4-carbonyl)-phenylamino]-oxazole-4-carboxylic acid amide) and Compound B (2-(2,6-dichloro-phenyl)-5-[4-(morpholine-4-carbonyl)-phenylamino]-oxazole-4-carboxylic acid amide):



Compound A



Compound B

which are the compounds of Examples 25 and 29 respectively in each of WO 2015/032423 and WO2018/073438.



JAK1	JAK1	pEY	NP_002218.2	P23458	aa 866- 1154	Baculovirus in Sf21 insect cells	N- terminal GST tag
JAK2	JAK2	pEY	NP_004963	O60674	aa 809- 1132 +g	Baculovirus in Sf21 insect cells	N- terminal GST tag
JAK3	JAK3	JAK3tide	NP_000206	P52333	aa 781- 1124	Baculovirus in Sf21 insect cells	N- terminal GST tag
TYK2	TYK2	AXLtide	NP_003322.2	P29597	Aa 833- 1187	Baculovirus in Sf21 insect cells	N- terminal GST tag

Substrates:

AXLtide = [KKSRRGDYMTMQIG]

JAK3tide = [Ac-GEEEEYFELVKKKK-NH<sub>2</sub>]

5 pEY = poly Glu-Tyr [Glu:Tyr (4:1), M.W. = 5,000 – 20,000]

The results are shown in Table 3 below.

Table 13

	IC <sub>50</sub> (nM)				Selectivity v TYK2			
	TYK2	JAK1	JAK2	JAK3	TYK2	JAK1	JAK2	JAK3
Comparative Compound A	10	49	87	290	1	4.9	8.7	29
Comparative Compound B	5.1	26	77	271	1	5.1	15.1	53.1
Compound (1) - Example 1	1.9	20	50	212	1	10.5	26.3	111.6

10 Although all tested compounds were shown to possess good TYK2 inhibitory activity, the data illustrate that the compound of the formula (1) is both more potent and more

selective with respect to TYK2 (particularly towards TYK2 over JAK2 and JAK3) than the prior art compounds A and B.

(ii) Cytochrome P450 inhibition assays

The susceptibility of Compound (1) to potential drug-drug interactions was tested by assaying their abilities to inhibit various cytochrome P450 isoforms. Prior art Compound B (see Example 7(i) above) was also tested as a comparative example.

Test compounds, prepared and serially diluted in DMSO, were incubated at six concentrations (1% DMSO final) with pooled human liver microsomes in the presence of probe substrate for each isoform, and their effects on the metabolism of probe substrates determined. Incubations (in 96-well plates) were carried out at 37 °C in 0.1M Tris buffer, pH 7.4, with reactions initiated by the addition of cofactor, NADPH (1 mM final concentration).

At the specified times, reactions were terminated with acetonitrile containing an analytical internal standard, samples were centrifuged and the supernatant fractions were analysed for probe substrate metabolites by mass spectrometry (LC-MS/MS). The instrument responses were normalised to internal standard and compared to the appropriate solvent controls to determine the amount of metabolite formed from the probe substrates relative to these “uninhibited” controls.

The results are reported as percentage inhibition and IC<sub>50</sub> values (concentration resulting in a 50% reduction in probe metabolite formation) were calculated using a non-linear sigmoidal dose response equation (BioBook):

$$\% \text{ inhibition} = \text{lowest value} + (\text{highest value} - \text{lowest value}) / (1 + 10^{((\text{Log IC}_{50} - X) * \text{HillSlope})})$$

where X = Log concentration.

The CYP450 isoforms studied, and their respective probe substrates are shown in Table 14.

Table 14

CYP450 isoform	Substrate
CYP1A2	Phenacetin
CYP2B6	Bupropion

CYP2C8	Amodiaquine
CYP2C9	Diclofenac
CYP2C19	S-(+)-Mephenytoin
CYP2D6	Dextromethorphan
CYP3A4	Midazolam
CYP3A4	Testosterone

The assay results are shown in Table 15.

Table 15

Compound	CYP 1A2	CYP 2B6	CYP 2C8	CYP 2C19	CYP 2C9	CYP 2D6	CYP 3A4 (MID)	CYP 3A4 (Test)
Comparative Compound B	>30	>30	>8.2	>30	4.4	>30	>30	>30
Compound (1)	>30	>30	>30	>30	26	>30	>30	>30

Although all tested compounds show good CYP inhibition profiles, the data illustrate that the compound of the formula (1) has better CYP inhibition profiles (i.e. inhibit the CYP  
5 isoforms tested to a lesser extent) than the Comparative Compound B, particularly with respect to CYP2C8 and CYP2D6.

(iii) hERG channel inhibition assay

The potential for compounds to inhibit the hERG potassium channel was determined using a hERG-HEK stably transfected cell line on the Sophion Qube automated  
10 electrophysiology platform. The assay was performed at room temperature and recordings of the hERG tail current from individual cells was made using single-hole QChips.

The potency ( $IC_{50}$ ) of test compounds to inhibit the hERG channel was determined from a concentration-response curve generated from 8 test compound concentrations with up to  
15 4 replicates per concentration.

The compound concentration was added to the test well twice to ensure complete exchange of the external buffer with the test compound. In total, compound was applied to the well for >7 minutes.

Results are shown in Table 16 below.

5 Table 16

Compound	IC <sub>50</sub> (uM)	Max Conc. (uM)	% inhibition at Max. Conc.
Comparative Compound B	~30	30	50.5
Compound (1)	>30	30	38.6

All three tested compounds show relatively low hERG activity, but the results demonstrate that the compound of the formula (1) has an even lower hERG liability compared to prior art comparative Compound B.

(iv) Hepatocyte Stability Assay

- 10 The compound (1) and prior art comparative Compound B were tested in hepatocyte stability assays which were performed using pooled hepatocytes from mouse (male CD-1), rat (male Sprague-Dawley), dog (male Beagle) and human (mixed gender). Test and control compounds were incubated with hepatocytes at 37 °C. Aliquots were removed at 6 timepoints over a one hour period. Samples were centrifuged and the supernatant
- 15 fractions analysed for parent compound by mass spectrometry (LC-MS/MS).

The amount of compound remaining (expressed as %) was determined from the MS response in each sample relative to that in the T=0 samples, and used to determine the half-life and intrinsic clearance of the compound.

Results are shown in Table 17 below.

20 Table 17

Compound	Mouse		Rat		Dog		Human	
	CL <sub>int</sub> μL/min /10 <sup>6</sup> cells	T <sub>1/2</sub> mins.	CL <sub>int</sub> μL/min /10 <sup>6</sup> cells	T <sub>1/2</sub> mins.	CL <sub>int</sub> μL/min /10 <sup>6</sup> cells	T <sub>1/2</sub> mins.	CL <sub>int</sub> μL/min /10 <sup>6</sup> cells	T <sub>1/2</sub> mins.
Comparative Compound B	40.5	27	103.7	14.8	29.8	53.5	9.0	124

Compound (1)	16.8	65.3	50.0	30.6	<3.0	>460	<3.0	>460
--------------	------	------	------	------	------	------	------	------

While comparative Compound B exhibits a good half-life in humans (over 2 hours), the data in Table 7 indicate that compound (1) has a significantly reduced rate of clearance in all four hepatocyte stability assays compared to prior art comparative Compound B and that, consequently, the half life ( $T_{1/2}$ ) of the compound of the formula (1) is even longer in all four assays than the half life of the comparative Compound B.

#### (v) pSTAT3 Inhibition

The compound (1) and prior art comparative Compounds A and B were tested for pSTAT3 inhibition in response to IL-22 stimulation in serum starved HT29 cells.

HT29 cells were serum starved overnight before the four test compounds were diluted to generate a 9-point semi-log dose dilution with a top concentration of 10  $\mu$ M, plus vehicle control. HT29 cells were incubated with the test compounds for 20 minutes at 37 °C. HT29 cells were incubated for a further 15 minutes with 10 ng/ml human IL-22 before cells were fixed with 4% PFA for 10 minutes, and 90% methanol for 30 minutes before being labelled with a phospho-STAT3Y705 antibody (CST #9145). Cells were rinsed three times using 0.5% BSA/PBS solution before being incubated with Alexa-488 anti-rabbit secondary antibodies.

Mean fluorescence intensity of phospho-STAT3 in single cells was analysed by flow cytometry using an Intellicyt iQue instrument and FlowJo software. The  $IC_{50}$  was determined using a four-parameter analysis following removal of background signal and normalisation to the DMSO control.

Results are shown in Table 18 below.

Table 18

Test Compound	$IC_{50}$ (nM)	%pSTAT3 inhibition at 10 $\mu$ M
Comparative Compound A	170	96.9
Comparative Compound B	53	97.9
Compound (1)	16	95.9

While both comparative Compound B and Compound (1) were shown to have IC<sub>50</sub> values against pSTAT3 inhibition of less than 100nM, the IC<sub>50</sub> value for Compound (1) was significantly lower than for comparative Compound B.

(vi) Human Primary CD4CD45RO+ Cells Assay

- 5 Inhibition of IL-17F production and STAT3 phosphorylation by Compound (1) and Comparative Compound B were measured in Th17 cells derived from human peripheral blood CD4CD45RO+ cells.

Fresh human Peripheral blood CD4CD45RO+ cells were purchased commercially (Generon, UK); 3 separate vials from 3 different volunteers for experimental replicates.

- 10 Cells were grown in T-cell medium (Thermo Fisher) containing 10ng/ml recombinant human IL-1B (R&D Systems), IL-23 (R&D Systems), TGF-B1 (R&D Systems) and 50ng/ml IL-6 (R&D Systems) together with anti-CD3/CD28 magnetic Dynabeads (Thermo Fisher). These were grown for 11 days to induce expansion of Th17 cells. Prior to plating for assays cells were grown overnight in T-cell medium supplemented with human serum  
15 (1%) overnight. Media was removed and replaced with unsupplemented RPMI for 4h prior to assay.

- To measure IL-17F levels, 200,000 cells were plated into a 96 well plate and preincubated with compounds for 30 minutes followed by stimulation with recombinant IL-23 at 6.25ng/ml and recombinant human IL-1B at 0.1ng/ml for 48h. Supernatants were  
20 removed and IL-17F levels measured using a commercially available ELISA kit (Thermo Fisher; BMS2037-2).

- To measure pSTAT3 levels, 200,000 cells were plated into a 96 well plate and preincubated with compounds for 30 minutes followed by stimulation with recombinant IL-23 at 12.5ng/ml for 15 minutes then lysed using cell lysis buffer. pSTAT3 levels in the  
25 lysates were measured using a commercially available ELISA kit (Thermo Fisher; 85-86102-11).

ELISAs were carried out according to manufacturers' instructions and absorbance read using a microplate reader (Thermo Fisher; Varioskan). Data were normalised to the response in untreated samples using the formula:

- 30 
$$\% \text{ of control} = ((\text{Stimulated sample Conc.} - \text{unstimulated sample Conc.}) \times 100) / (\text{Control stimulated Conc.} - \text{control unstimulated Conc.})$$

Graphpad Prism 8.1.0 was used to calculate IC<sub>50</sub> values using a Nonlinear 4 parameter logistic regression model (4PL).

The results are shown in Tables 19A and 19B below:

Table 19A – IL17-F Production Inhibition

Compound	Donor 1	Donor 2	Donor 3	Average (nM)	SD
Comparative Compound B	243	217	148	203	49
Compound (1)	117	134	64	105	37

5 Table 19B – Inhibition of STAT3 phosphorylation

Compound	Donor 1	Donor 2	Donor 3	Average (nM)	SD
Comparative Compound B	111	17	54	61	47
Compound (1)	69	29	55	51	20

While all tested compounds showed inhibition of IL17-F production and STAT3 phosphorylation, in both assays Compound (1) was shown to be more active than comparative Compound B.

#### Comparative Data - Conclusions

- 10 The data obtained from assays (i) to (vi) above indicate that the compound of the formula (1) has significant advantages over the structurally most similar compound (Compound B) in WO2015/032423.

Thus, compound (1) is more active than Compound B in the TYK2 kinase inhibition assay and has greater selectivity for TYK2 versus JAK1, JAK2 and JAK3 kinases than Compound B.

5 Compound (1) has slightly advantageous properties compared to prior art comparative Compound B in the cytochrome P450 assays, notably in the CYP2C8 and CYP2C9 assays.

Compound (1) has a reduced hERG liability compared to prior art comparative Compound B.

10 In the hepatocyte stability assays, Compound (1) showed a reduced rate of clearance and a consequently longer half life than comparative Compound B.

In addition, Compound (1) is more potent in inhibiting phosphorylation of STAT3 in IL-22 stimulated HT29 cells and Th17 cells compared to comparative Compound B.

Finally, Compound (1) shows a greater inhibition of IL-17F production in Th17 cells compared to comparative Compound B.

15 Taken together, the data indicate that Compound (1) is a highly potent and selective TYK2 kinase inhibitors and has excellent pharmacokinetic properties.

## EXAMPLE 7

### Pharmaceutical Formulations

#### (i) Tablet Formulation

20 A tablet composition containing a crystalline form of the compound of the formula (1) as defined herein is prepared by mixing 50mg of the compound with 197mg of lactose (BP) as diluent, and 3mg magnesium stearate as a lubricant and compressing to form a tablet in a known manner.

#### (ii) Capsule Formulation

25 A capsule formulation is prepared by mixing 100mg of a crystalline form of the compound of the formula (1) as defined herein with 100mg lactose and filling the resulting mixture into standard opaque hard gelatin capsules.

#### (iii) Sub-cutaneous Injection Formulation

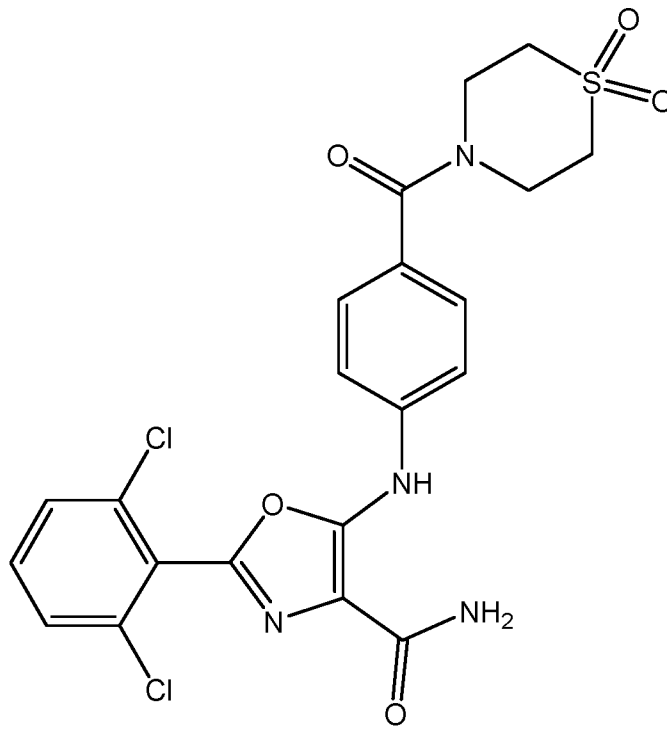
A composition for sub-cutaneous administration is prepared by mixing a crystalline form of the compound of the formula (1) as defined herein with pharmaceutical grade corn oil to give a concentration of 5mg/mL. The composition is sterilised and filled into a suitable container.

## 5 Equivalents

The foregoing examples are presented for the purpose of illustrating the invention and should not be construed as imposing any limitation on the scope of the invention. It will readily be apparent that numerous modifications and alterations may be made to the specific embodiments of the invention described above and illustrated in the examples  
10 without departing from the principles underlying the invention. All such modifications and alterations are intended to be embraced by this application.

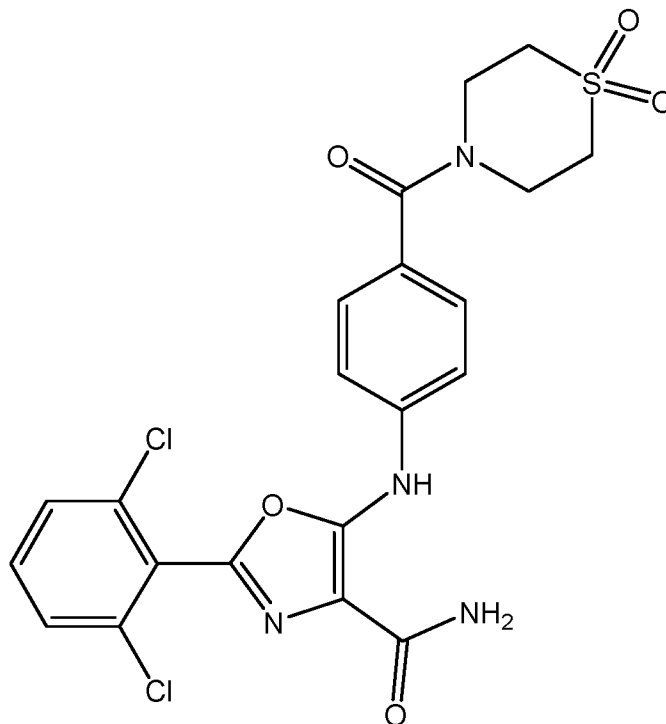
**CLAIMS**

1. A crystalline form of a compound having the formula **(1)**:



wherein the crystalline form has a crystalline purity of at least 90%, more preferably at  
5 least 95%.

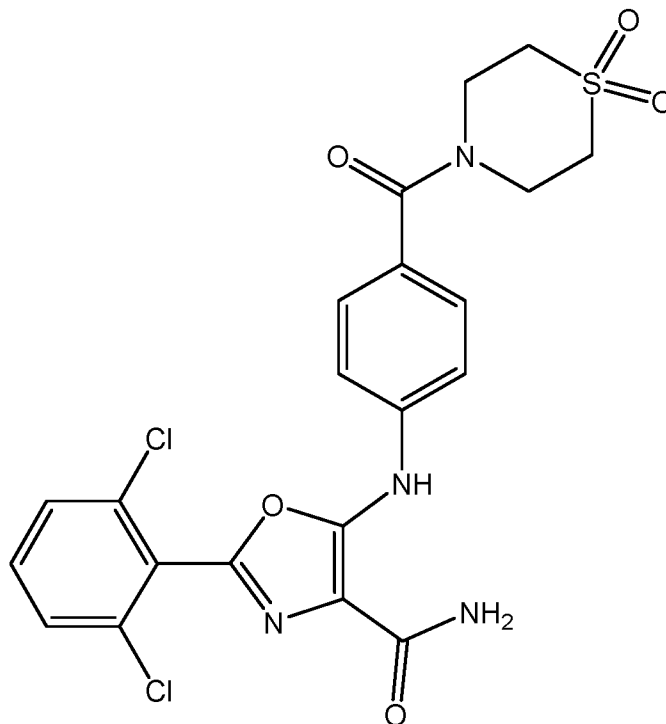
2. A crystalline form of a compound having the formula **(1)**:



which is of Form A as defined herein.

3. A substantially crystalline form (Form A) of the compound of formula **(1)** according to claim 2 having an X-ray powder diffraction pattern characterised by the presence of major peaks at the diffraction angles ( $2\theta$ ) 23.1° and/or 12.3° and/or 16.7° and/or 20.7° and/or 13.7°.
4. A substantially crystalline form (Form A) of the compound of formula **(1)** according to claim 3 having an X-ray powder diffraction pattern characterised by the presence of major peaks at two or more, e.g. three or more, or four or more, and in particular five diffraction angles ( $2\theta$ ) selected from 23.1°, 12.3°, 16.7°, 20.7° and 13.7° ( $\pm 0.2^\circ$ ).
5. A substantially crystalline form (Form A) of the compound of formula **(1)** according to any one of claims 2 to 4 having an X-ray powder diffraction pattern characterised by the presence of major peaks at the diffraction angles ( $2\theta$ ) 23.1° and/or 12.3° and/or 16.7° and/or 20.7° and/or 13.7° (e.g. at least four and more particularly at least five of the diffraction angles), and optionally one or more further peaks at diffraction angles ( $2\theta$ ) selected from 21.9°, 20.8°, 10.7°, 23.6° and 21.4° ( $\pm 0.2^\circ$ ).
6. A method for the preparation of a substantially crystalline form (Form A) of compound (1) as defined in any one of claims 2 to 5 which method comprises:

- (i) dispersing an amorphous form of compound (1) in an aqueous solvent selected from water, water/acetonitrile (e.g. 4:1 MeCN/water) and water/THF (e.g. 4:1 THF/water) to form a mixture;
- (ii) heating the mixture to a moderately elevated temperature in the range from 45-65 °C and holding the mixture at the moderately elevated temperature for a period of at least 10 hours ( for example from 10 to 25 hours, e.g. about 17 hours);
- (iii) cooling or allowing the cooling of the mixture from the moderately elevated temperature to a lower temperature in the range from 15-30 °C (e.g. 20-30 °C such as approximately 25 °C) and holding the mixture at the lower temperature for a period of at least 2 hours (e.g. 2 to 8 hours such as approximately 4.5 hours); and
- (iv) optionally subjecting the mixture to a further heating and cooling cycle comprising heating the mixture to a moderately elevated temperature in the range from 45-65 °C and holding the mixture at the moderately elevated temperature for a period of at least 10 hours ( for example from 10 to 25 hours, e.g. about 16 hours); cooling the mixture to a lower temperature in the range from 15-30 °C (e.g. 20-30 °C such as approximately 25 °C) and optionally holding the mixture at the lower temperature for a period of at least half an hour (e.g. up to approximately 1 hour); and
- (v) isolating (e.g. by filtration) the crystalline Form A of compound (1) thus formed.
7. A crystalline form of a compound having the formula **(1)**:



which is of Form B as defined herein.

8. A substantially crystalline form (Form B) of the compound of formula (1) having an X-ray powder diffraction pattern characterised by the presence of major peaks at the diffraction angles ( $2\theta$ ) 23.2° and/or 16.7° and/or 22.6° and/or 26.6° and/or 12.0°.
9. A substantially crystalline form (Form B) of the compound of formula (1) according to claim 7 having an X-ray powder diffraction pattern characterised by the presence of major peaks at two or more, e.g. three or more, or four or more, and in particular five diffraction angles ( $2\theta$ ) selected from 23.2°, 16.7°, 22.6°, 26.6° and 12.0° ( $\pm 0.2^\circ$ ).
10. A substantially crystalline form (Form B) of the compound of formula (1) according to claim 9 having an X-ray powder diffraction pattern characterised by the presence of major peaks at the diffraction angles ( $2\theta$ ) 23.2° and/or 16.7° and/or 22.6° and/or 26.6° and/or 12.0° (e.g. at least four and more particularly at least five of the diffraction angles), and optionally one or more further peaks at diffraction angles ( $2\theta$ ) selected from 23.4°, 25.3°, 7.1°, 19.9° and 27.8° ( $\pm 0.2^\circ$ ).
11. A method for the preparation of a substantially crystalline form (Form B) of compound (1) as defined in any one of claims 7 to 10, which method comprises:
- (i) dispersing an amorphous form of compound (1) in a solvent selected from hydrocarbon solvents, halogenated hydrocarbon solvents (other than dichloromethane), methanol, isopropyl alcohol, aliphatic ketones (e.g. C<sub>1-8</sub> ketones), non-aromatic ethers

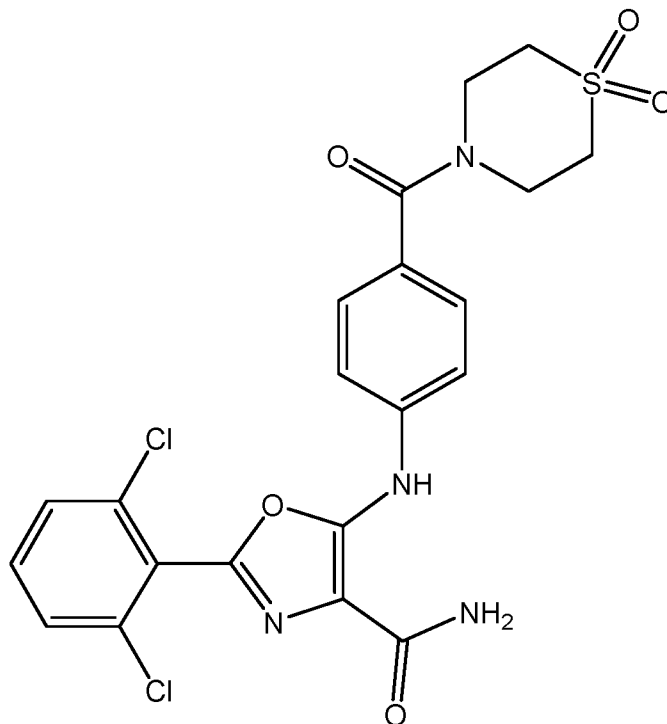
(e.g. C<sub>3-6</sub> dialkyl and alkylcycloalkyl ethers and THF), and isopropylacetate to form a mixture;

- 5 (ii) heating the mixture to a moderately elevated temperature in the range from 45-65 °C and holding the mixture at the moderately elevated temperature for a period of at least 10 hours ( for example from 10 to 25 hours, e.g. about 17 hours);
- (iii) cooling or allowing the cooling of the mixture from the moderately elevated temperature to a lower temperature in the range from 15-30 °C (e.g. 20-30 °C such as approximately 25 °C) and holding the mixture at the lower temperature for a period of at least 2 hours (e.g. 2 to 8 hours such as approximately 4.5 hours); and
- 10 (iv) optionally subjecting the mixture to a further heating and cooling cycle comprising heating the mixture to a moderately elevated temperature in the range from 45-65 °C and holding the mixture at the moderately elevated temperature for a period of at least 10 hours ( for example from 10 to 25 hours, e.g. about 16 hours); cooling the mixture to a lower temperature in the range from 15-30 °C (e.g. 20-30 °C such as approximately 25
- 15 °C) and optionally holding the mixture at the lower temperature for a period of at least half an hour (e.g. up to approximately 1 hour); and
- (v) isolating (e.g. by filtration) the crystalline Form B of compound (1) thus formed.

12. A method for the preparation of a substantially crystalline form (Form B) of compound (1) as defined in any one of claims 7 to 10, which method comprises:

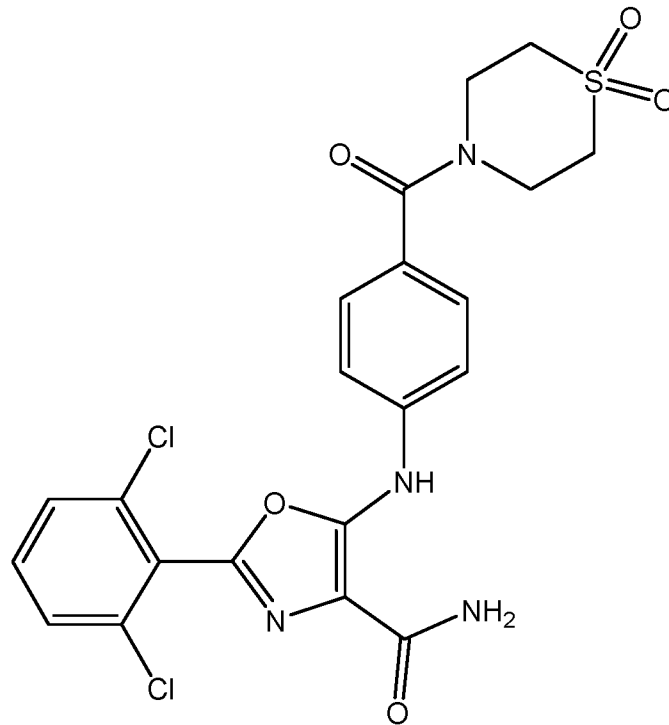
- 20 (i) dispersing a solid form of compound (1) in methylethylketone (MEK) to form a mixture;
- (ii) subjecting the mixture to an equilibration procedure comprising periods of heating and cooling the mixture until a suspension of crystalline Form B is formed; and optionally further equilibrating the mixture until a desired level of polymorphic purity is achieved.

25 13. A crystalline form of a compound having the formula **(1)**:



which is of Form C as defined herein.

14. A substantially crystalline form (Form C) of the compound of formula **(1)** according to claim 13 having an X-ray powder diffraction pattern characterised by the presence of major peaks at the diffraction angles ( $2\theta$ ) 12.8° and/or 17.8° and/or 22.9° and/or 24.3° and/or 8.5°.
15. A substantially crystalline form (Form C) of the compound of formula **(1)** according to claim 14 having an X-ray powder diffraction pattern characterised by the presence of major peaks at the diffraction angles ( $2\theta$ ) 12.8° and/or 17.8° and/or 22.9° and/or 24.3° and/or 8.5° (e.g. at least four and more particularly at least five of the diffraction angles), and optionally one or more further peaks at diffraction angles ( $2\theta$ ) selected from 13.0°, 20.1°, 16.5°, 26.1° and 22.4° ( $\pm 0.2^\circ$ ).
16. A crystalline form of a compound having the formula **(1)**:



which is of Form D as defined herein.

17. A pharmaceutical composition comprising a crystalline form of a compound having the formula **(1)** as defined in any one of claims 1 to 5, 7 to 10 and 13 to 16 and a pharmaceutically acceptable excipient.
- 5
18. An invention as defined in any one of Embodiments 1.0 to 1.20, 2.1 to 2.21, 3.1 to 3.15, 4.1 to 4.15, 5.1, 5.2, 6.1 to 6.15, 7.1 to 7.12, and 8.1 to 8.3.

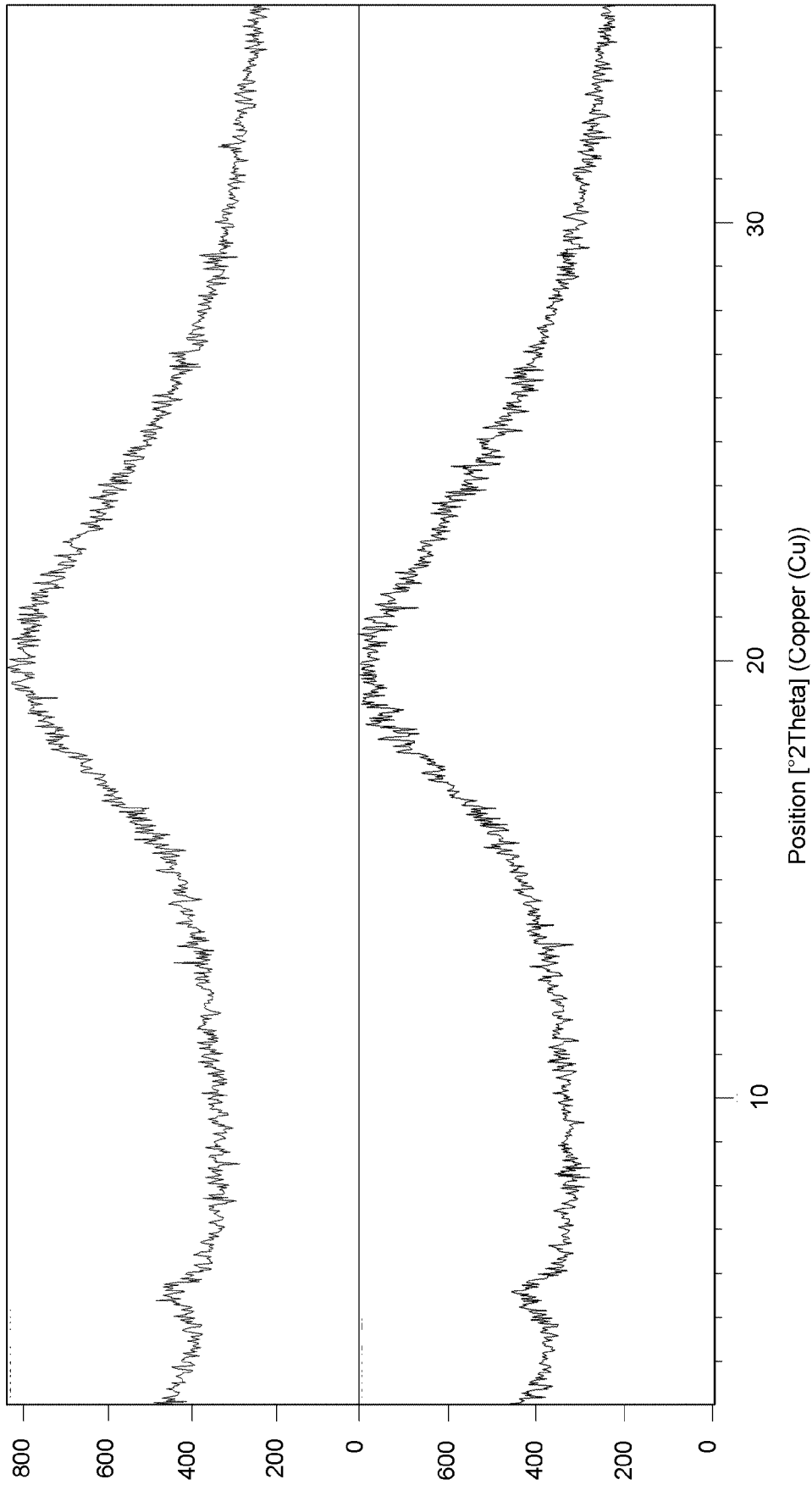


Figure 1

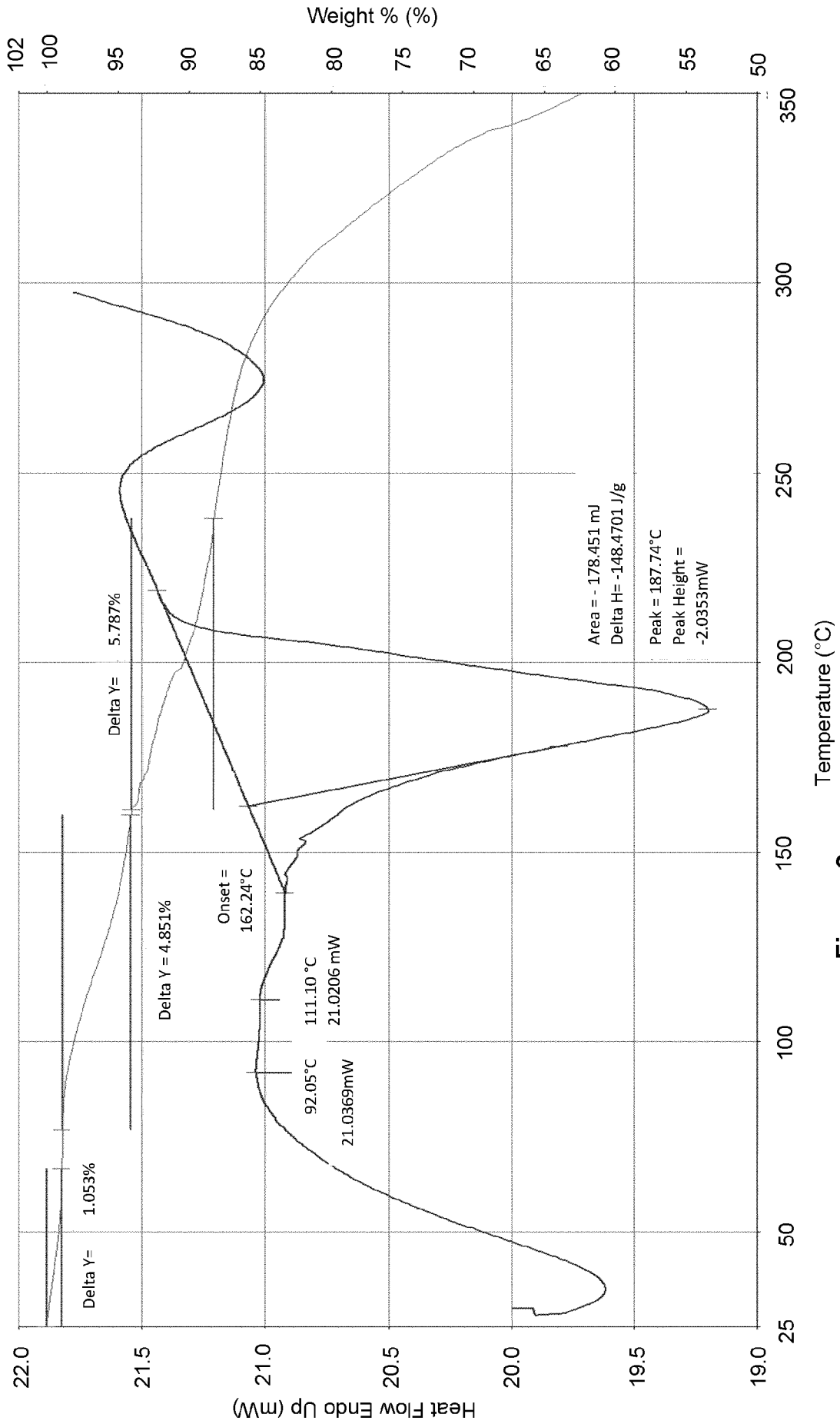


Figure 2

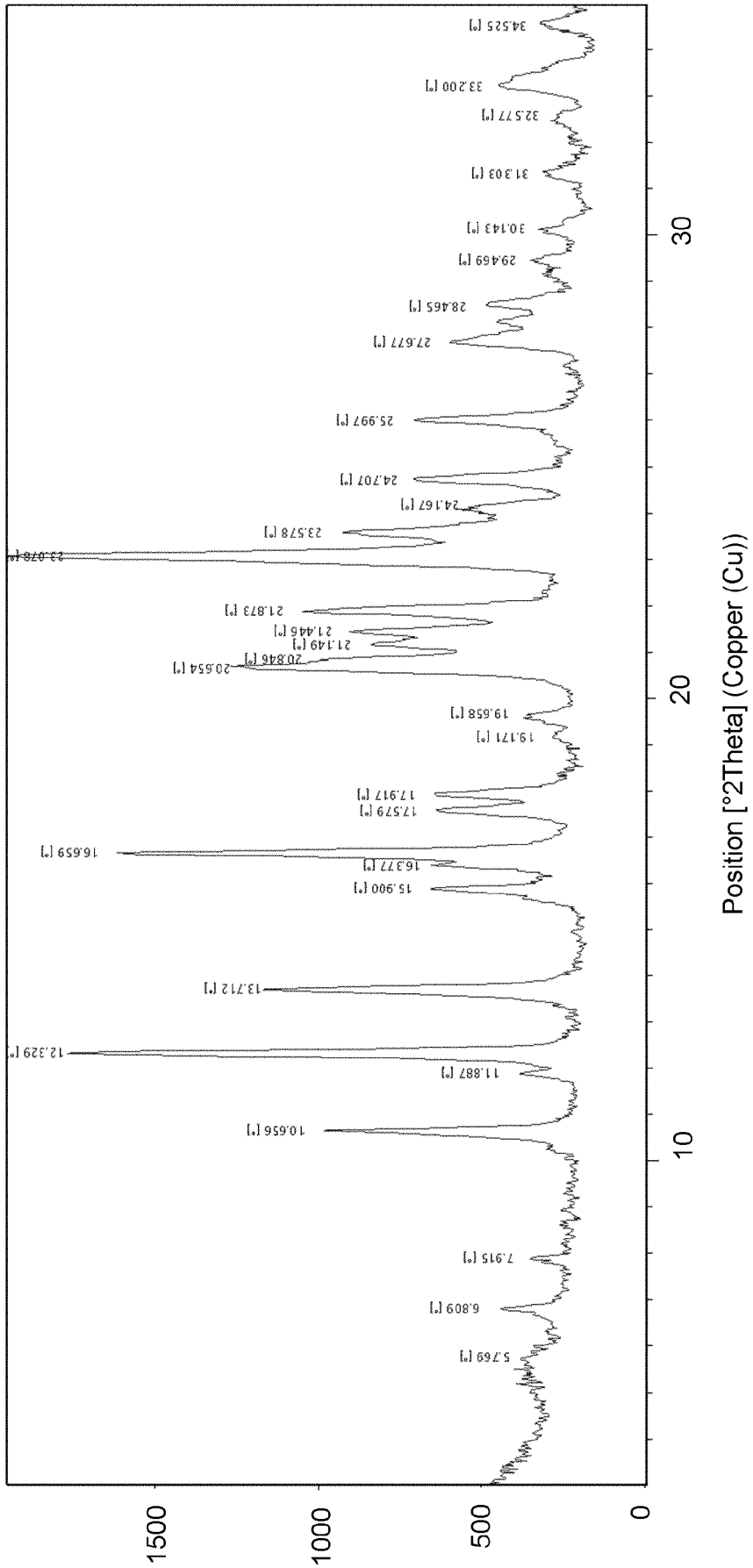


Figure 3

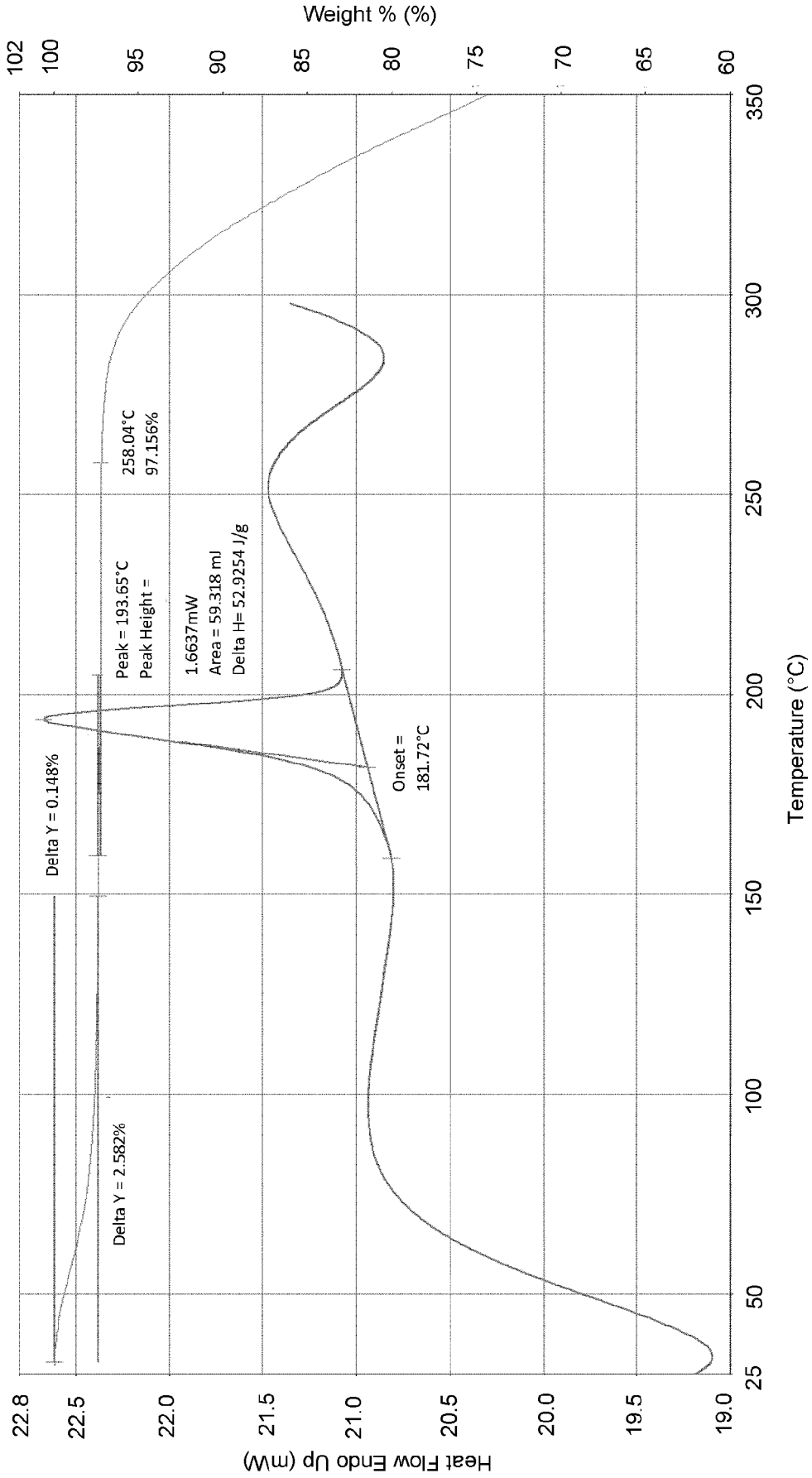


Figure 4

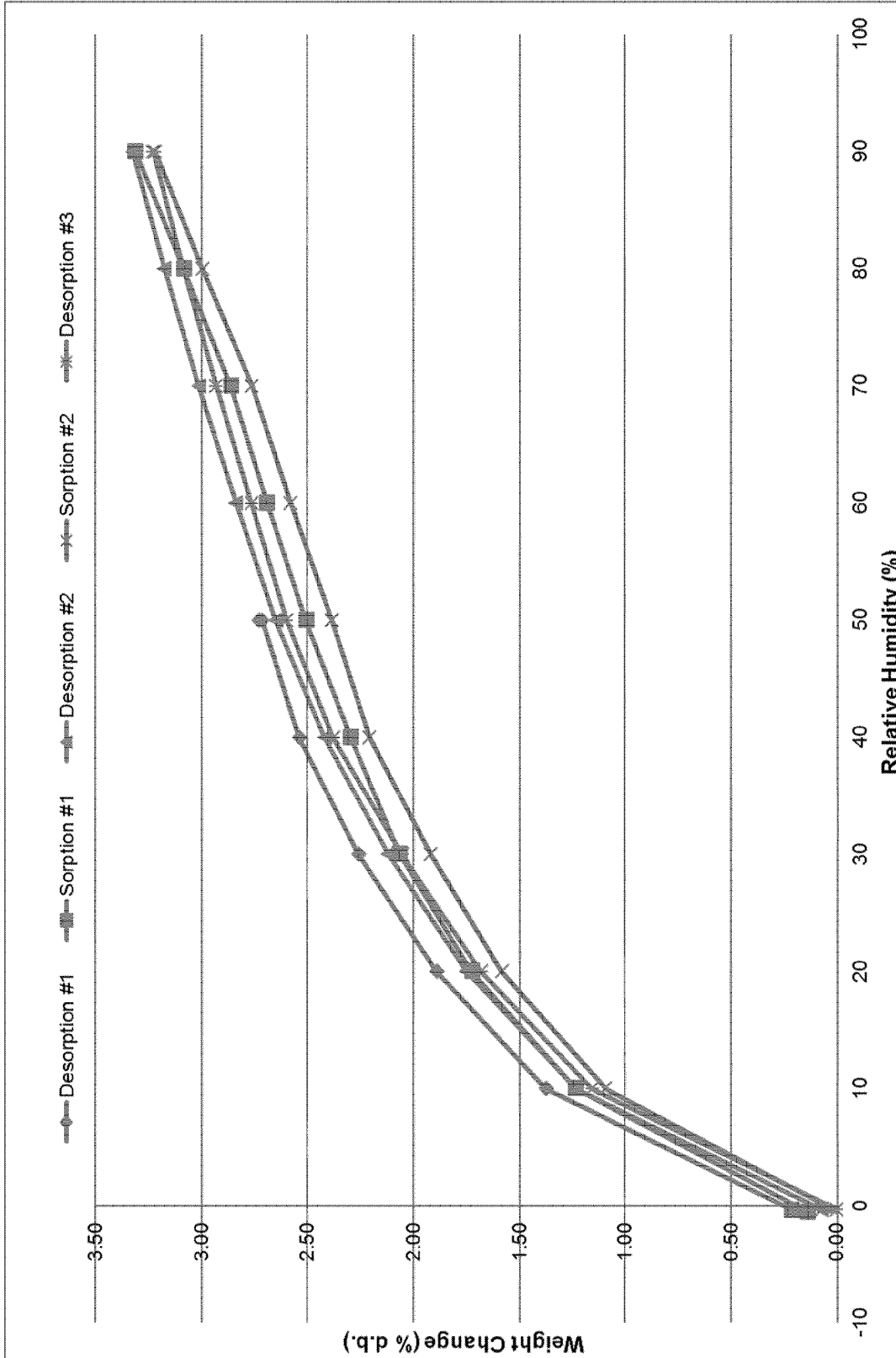


Figure 5

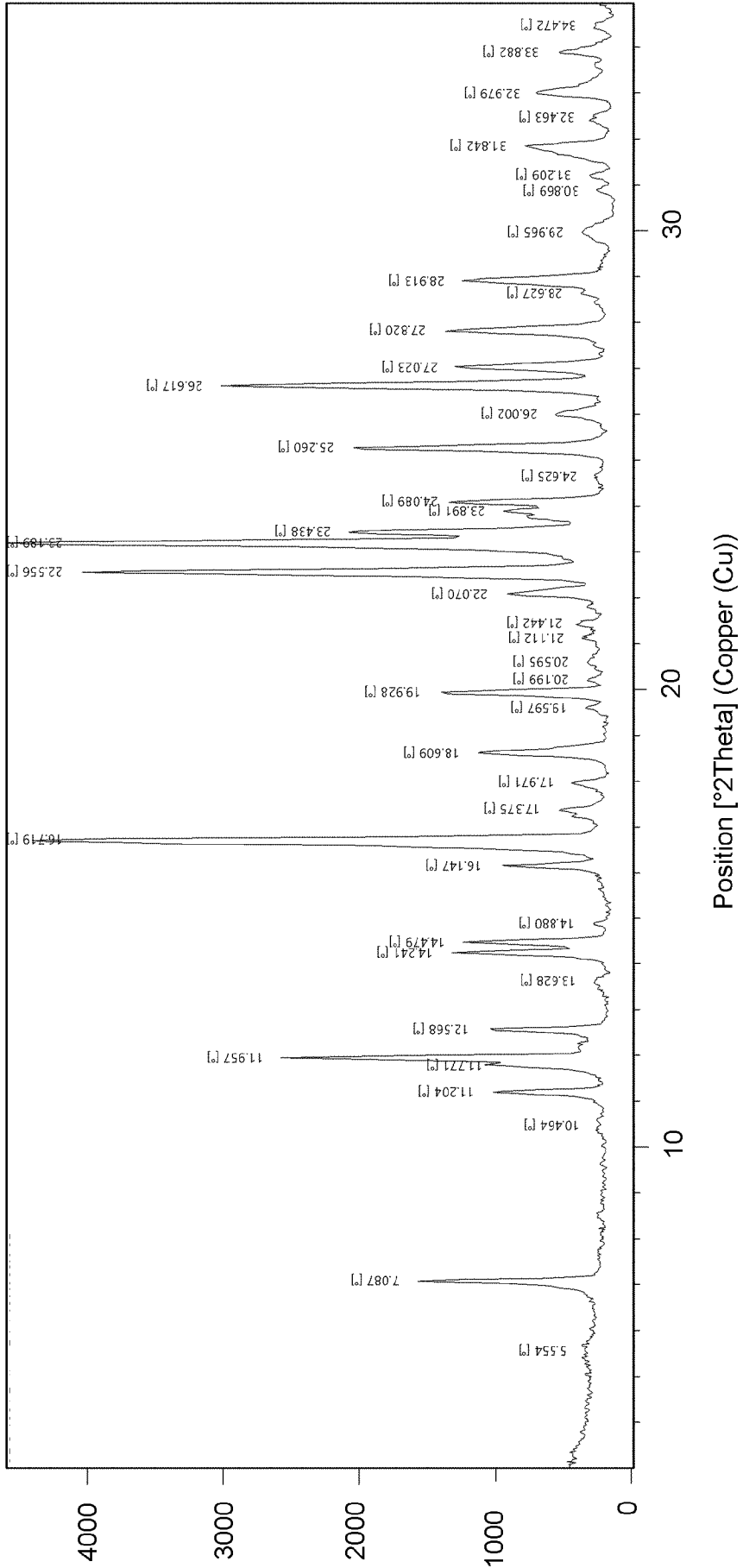


Figure 6

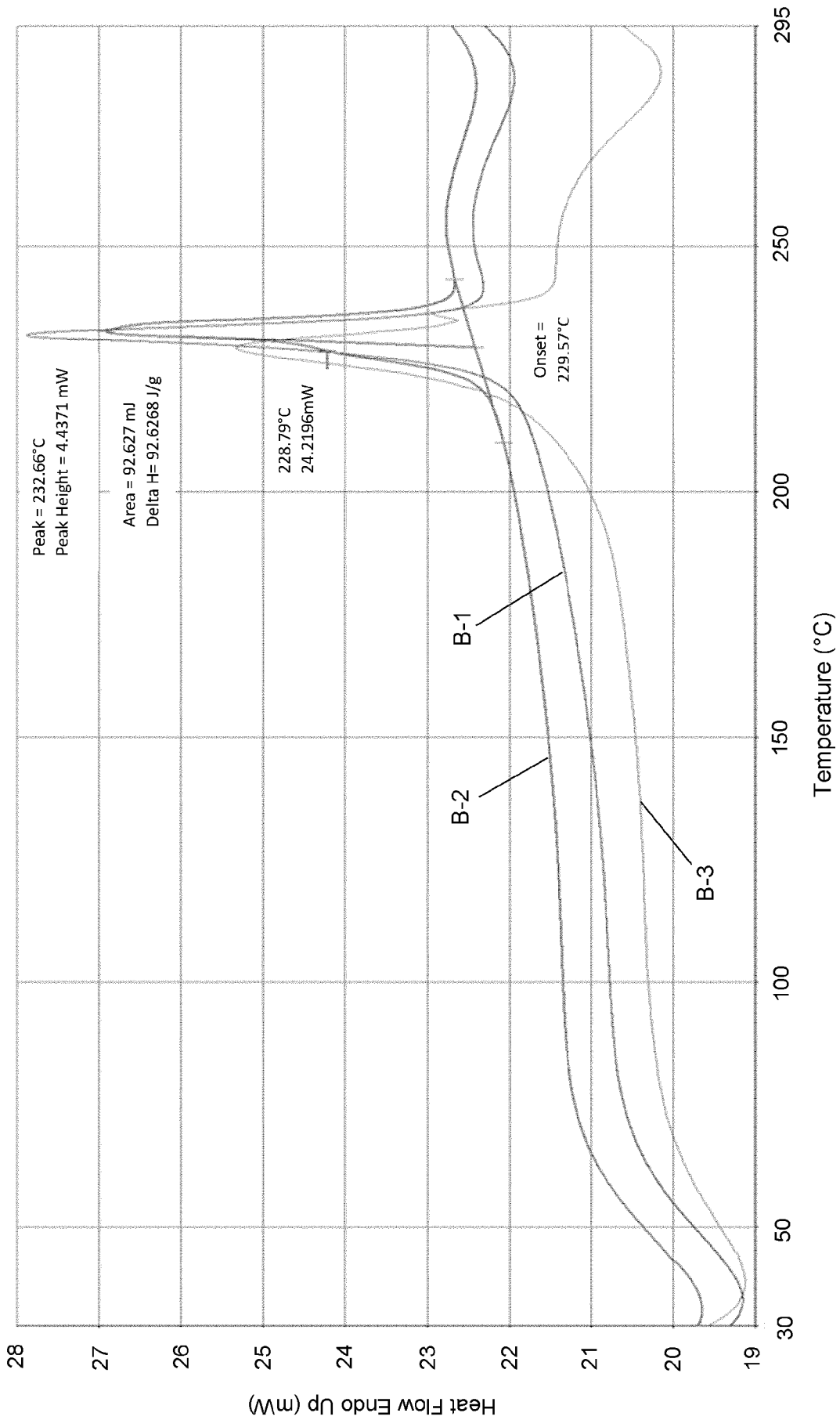


Figure 7

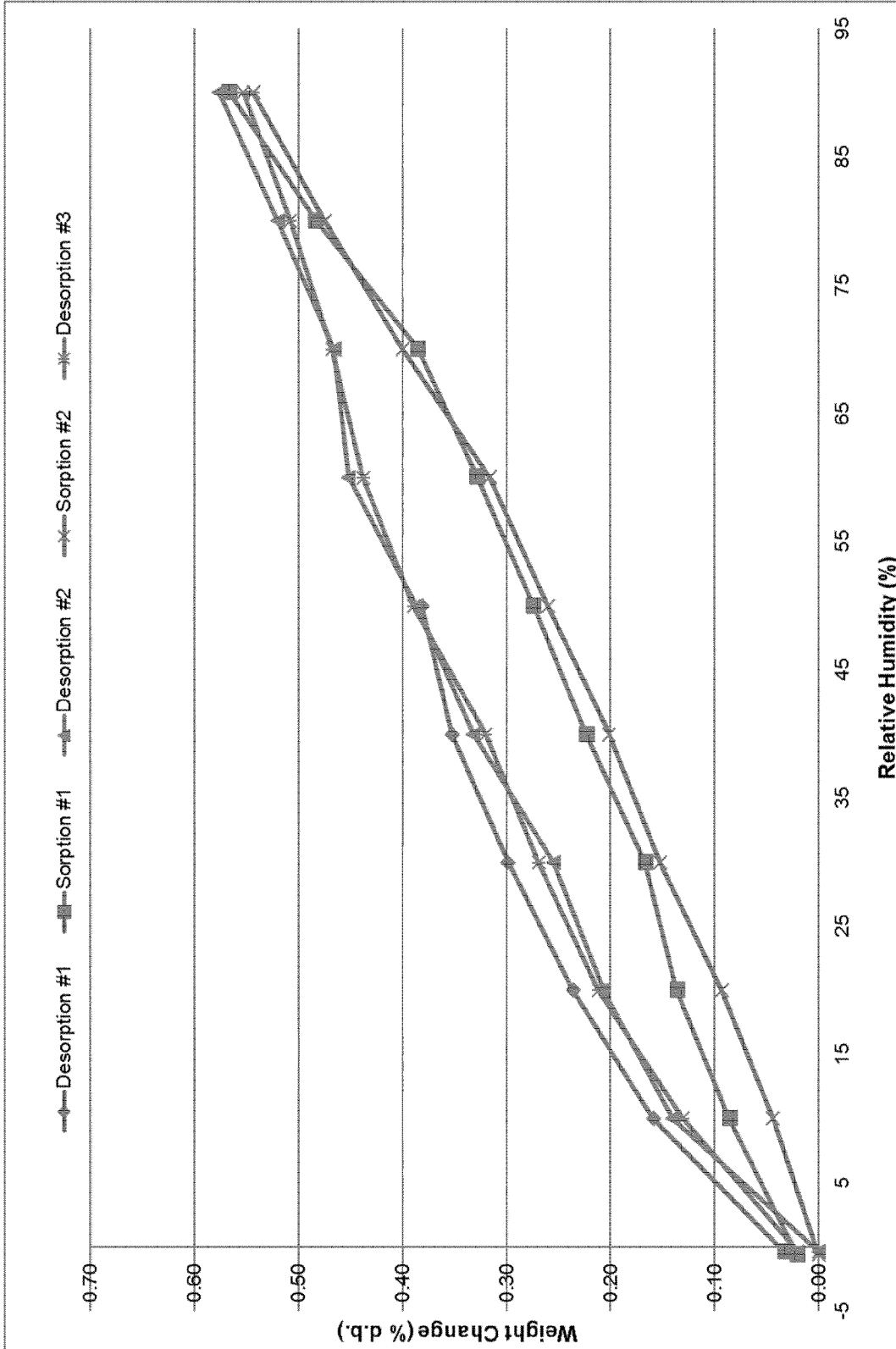
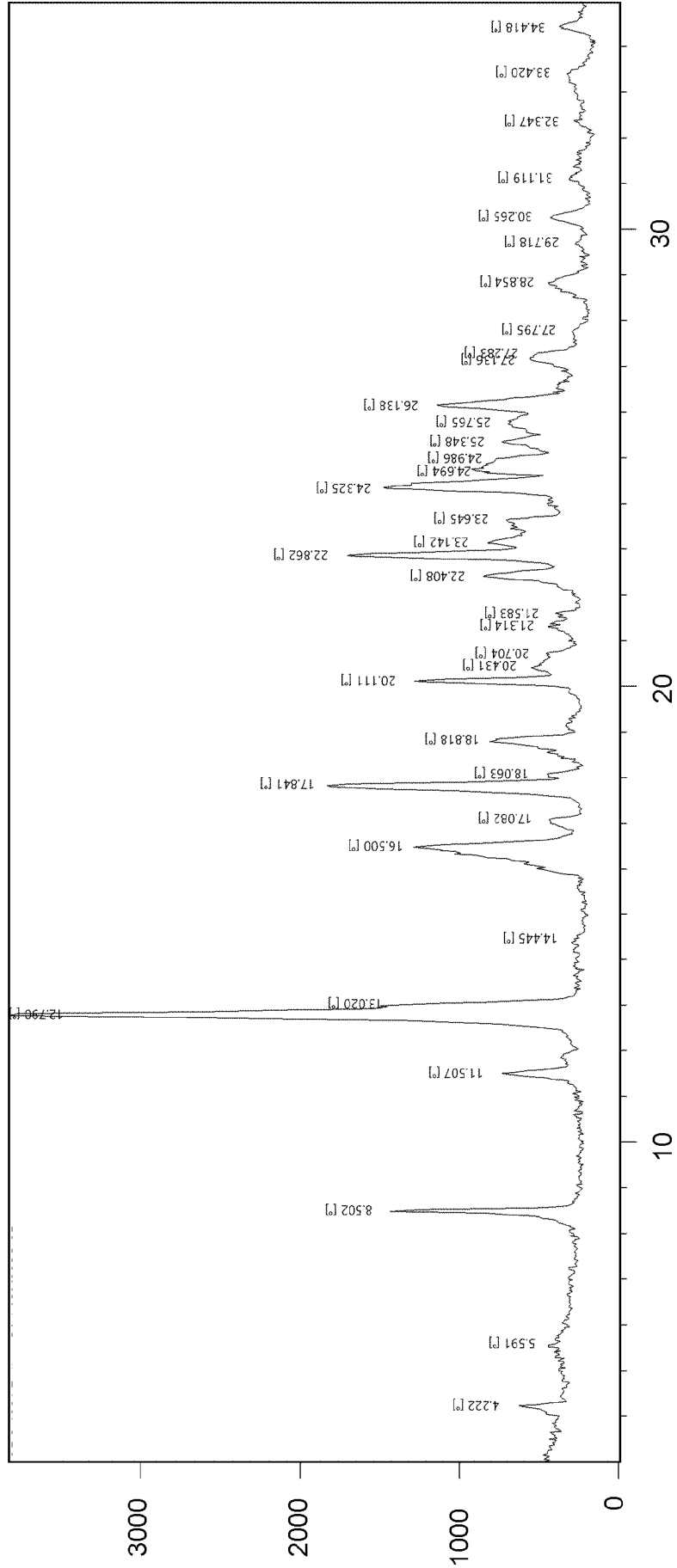


Figure 8



Position [°2Theta] (Copper (Cu))

Figure 9

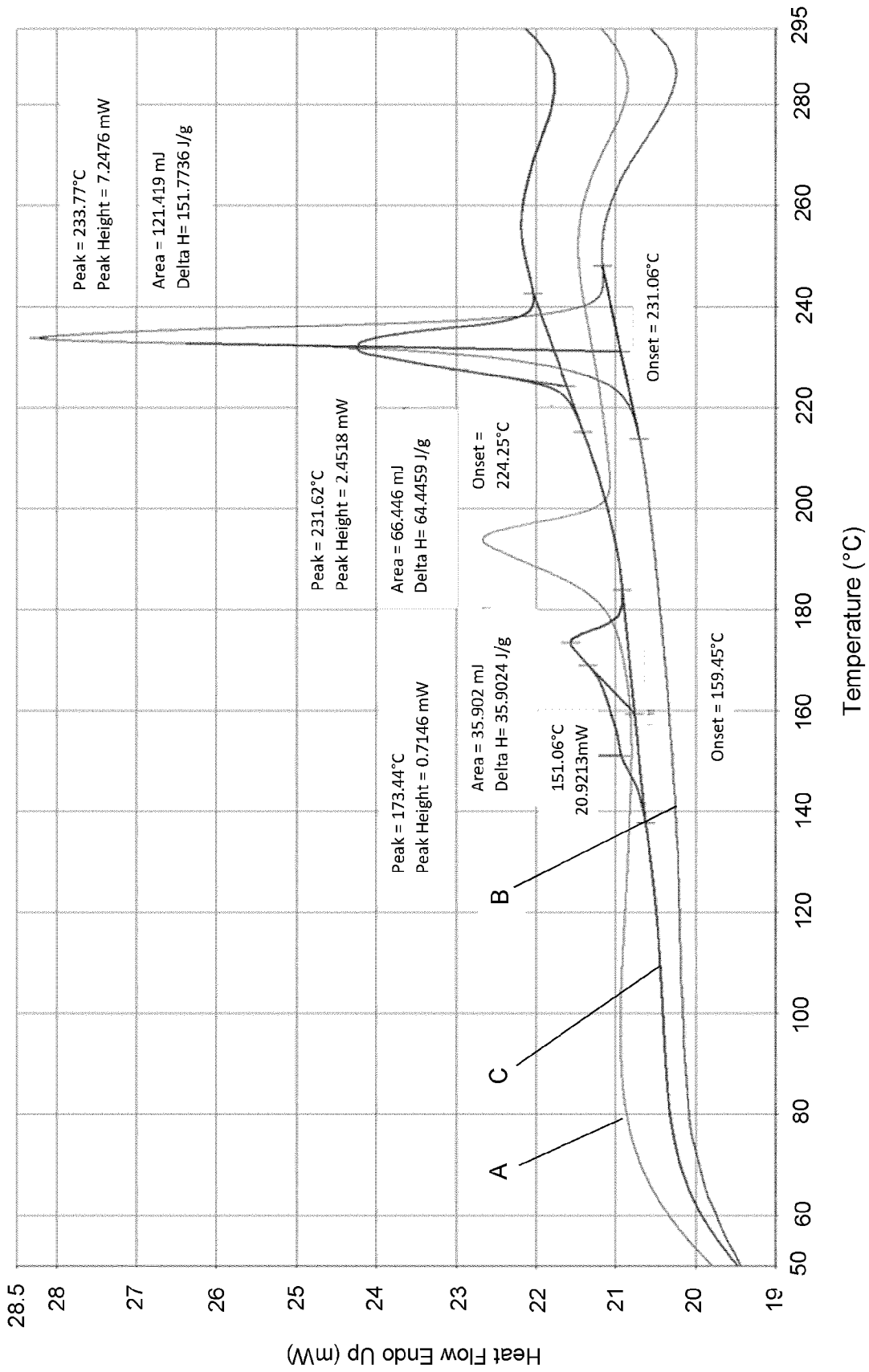


Figure 10

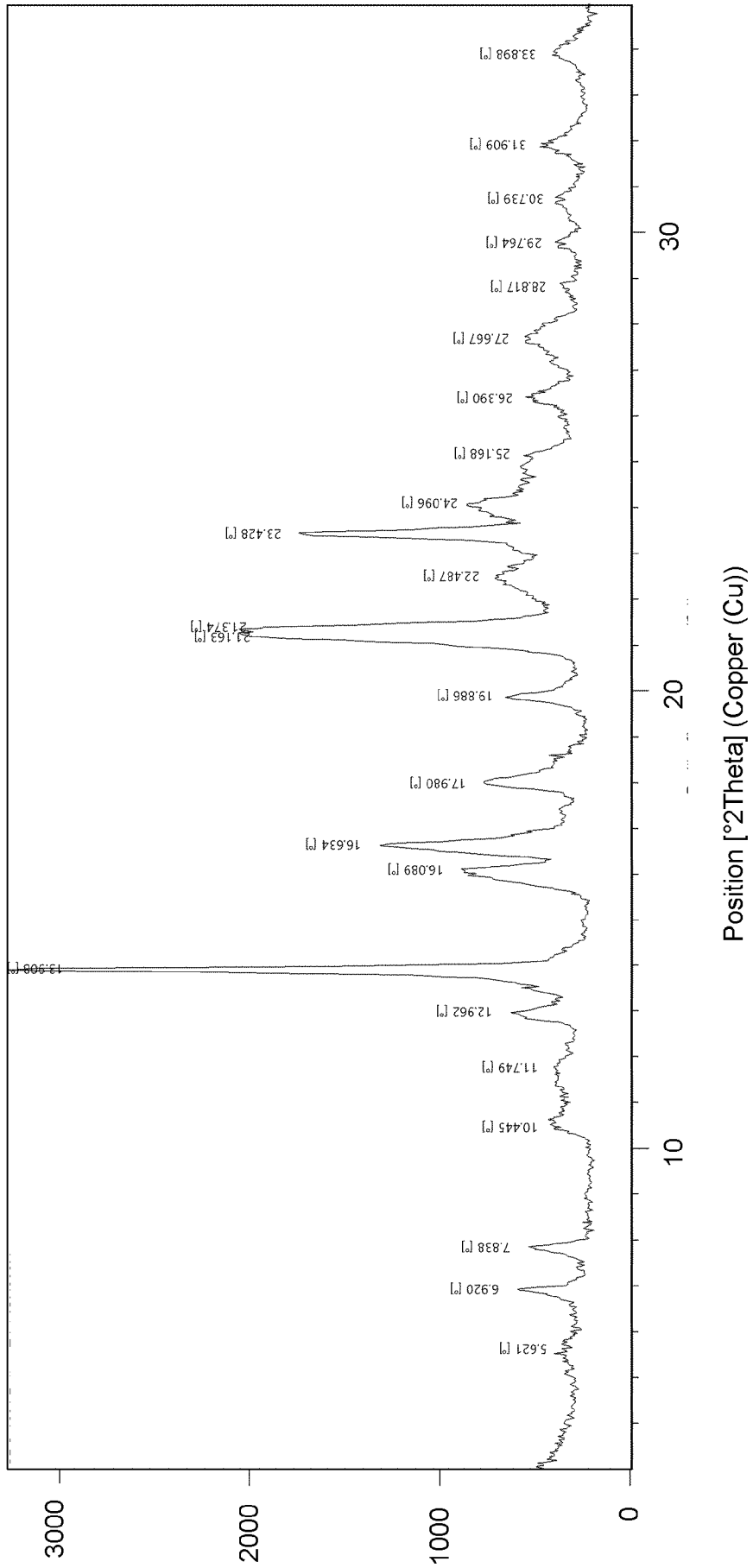


Figure 11

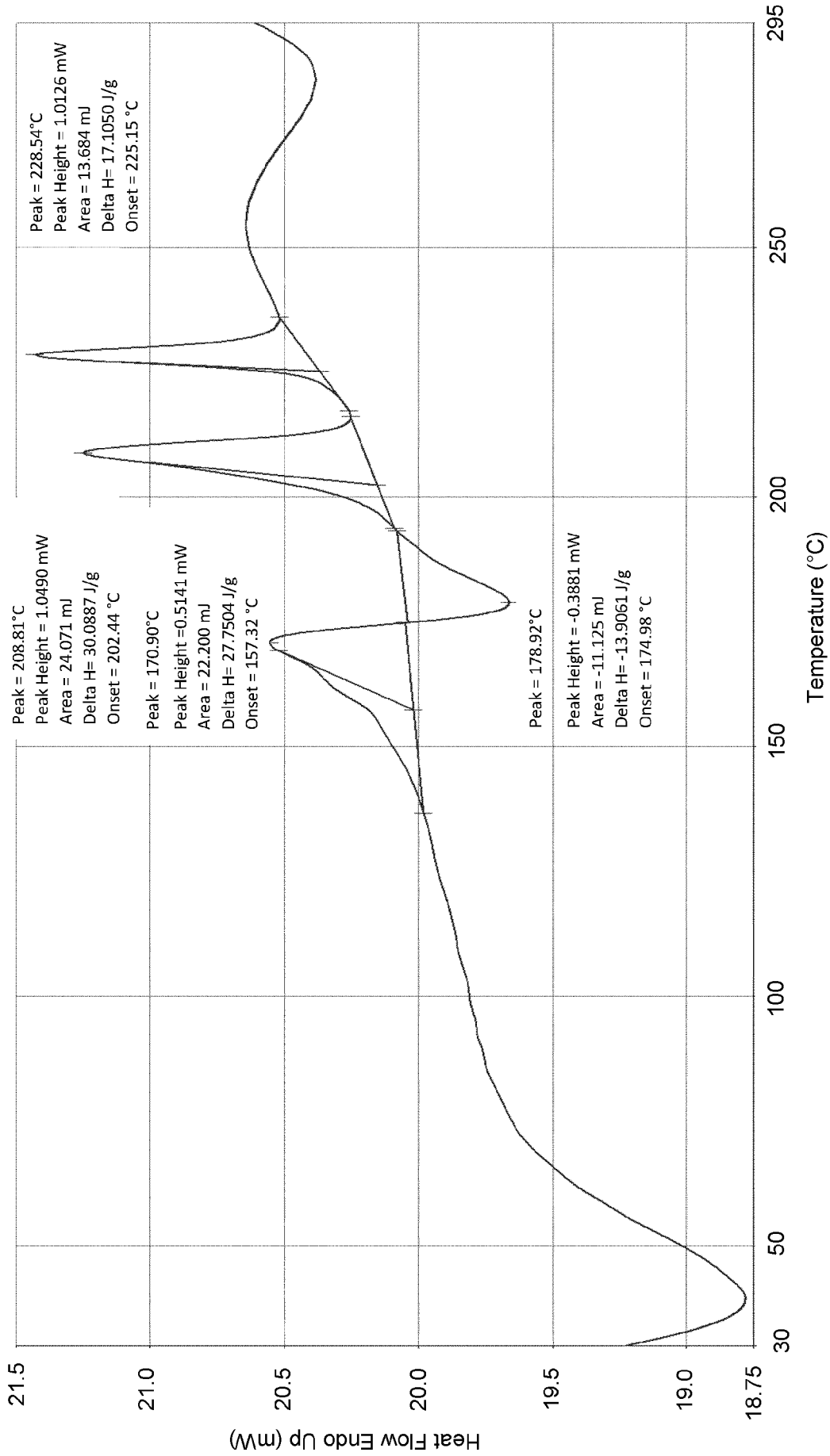


Figure 12

SEQUENCE LISTING

<110> Sareum Limited

<120> CRYSTALLINE FORMS OF A PHARMACEUTICAL COMPOUND

<130> P40693WO

<150> GB 2005114.0

<151> 2020-04-07

<160> 2

<170> PatentIn version 3.5

<210> 1

<211> 13

<212> PRT

<213> N/K

<400> 1

Lys Lys Ser Arg Gly Asp Tyr Met Thr Met Gln Ile Gly  
1 5 10

<210> 2

<211> 14

<212> PRT

<213> N/K

<400> 2

Gly Glu Glu Glu Glu Tyr Phe Glu Leu Val Lys Lys Lys Lys  
1 5 10