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- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))

(54) Title: METHODS OF PREPARING INDOLINOBENZODIAZEPINE DERIVATIVES

(57) Abstract: The invention provides novel methods for preparing indolinobenzodiazepine monomer compounds and their synthetic precursors.



METHODS OF PREPARING INDOLINOBENZODIAZEPINE DERIVATIVES

RELATED APPLICATION

This application claims the benefit of the filing date, under 35 U.S.C. §119(e), of U.S. Provisional Application No. 62/487,695, filed on April 20, 2017, the entire contents of which are incorporated herein by reference.

FIELD OF THE INVENTION

The present invention relates to novel methods for preparing indolinobenzodiazepine derivatives.

BACKGROUND OF THE INVENTION

Benzodiazepine derivatives are useful compounds for treating various disorders, and include medicaments such as, antiepileptics (imidazo [2,1-b][1,3,5] benzothiadiazepines, U.S. Pat. No. 4,444,688; U.S. Pat. No. 4,062,852), antibacterials (pyrimido[1,2-c][1,3,5]benzothiadiazepines, GB 1476684), diuretics and hypotensives (pyrrolo(1,2-b)[1,2,5]benzothiadiazepine 5,5 dioxide, U.S. Pat. No. 3,506,646), hypolipidemics (WO 03091232), anti-depressants (U.S. Pat. No. 3,453,266); osteoporosis (JP 2138272).

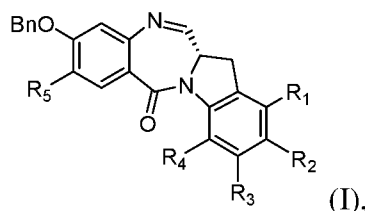
Recently, it has been shown that cell-binding agent conjugates of indolinobenzodiazepine dimers can inhibit tumor growth both *in vitro* and *in vivo* in animal models. See, example, WO 2010/091150, WO 2016/036801, WO 2016/036804. Further, cell-binding agent conjugates of indolinobenzodiazepine dimers that have one imine functionality and one amine functionality have been shown to display a much higher therapeutic index (ratio of maximum tolerated dose to minimum effective dose) *in vivo* compared to previously disclosed benzodiazepine derivatives having two imine functionalities. See, for example, WO 2012/128868.

Thus, there exists a need for improved methods for preparing the indolinobenzodiazepine monomer precursors that are more efficient and suitable for large scale manufacturing process for making the cytotoxic indolinobenzodiazepine dimer compounds.

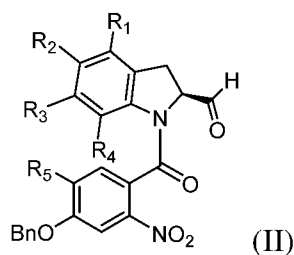
SUMMARY OF THE INVENTION

The present invention provides improved synthetic methods for preparing indolinobenzodiazepine monomer compounds and their synthetic precursors. Compared to the previously disclosed methods, the methods of the present invention are more suitable for large scale manufacturing process.

In one embodiment, the present invention provides a method of preparing a compound of formula (I):



or a salt thereof, comprising reacting the compound of formula (II):



or a salt thereof, with Fe in the presence of NH₄Cl, wherein:

R₁, R₂, R₃, and R₄ are each independently selected from -H, an optionally substituted linear, branched or cyclic alkyl, alkenyl or alkynyl having from 1 to 10 carbon atoms, - (CH₂CH₂X)_n-R^c, halogen, -NH(C=NH)NH₂, -OR, -NR'R'', -NCO, -NR'COR'', -SR, -SOR', -SO₂R', -SO₃H, -OSO₃H, -SO₂NR'R'', cyano, azido, -COR', -OCOR', and -OCONR'R'';

X is O, NH or S;

R₅ is -H, -R, -OR, -SR, -NR'R'', or halogen;

R, for each occurrence, is independently selected from -H, an optionally substituted linear, branched or cyclic alkyl, alkenyl or alkynyl having from 1 to 10 carbon atoms, a polyethylene glycol unit -(CH₂CH₂X)_n-R^c, an optionally substituted aryl having 6 to 18 carbon atoms, an optionally substituted 5- to 18-membered heteroaryl ring containing one or more heteroatoms independently selected from nitrogen, oxygen, and sulfur, or an optionally substituted 3- to 18-membered heterocyclic ring containing 1 to 6 heteroatoms independently selected from O, S, N and P;

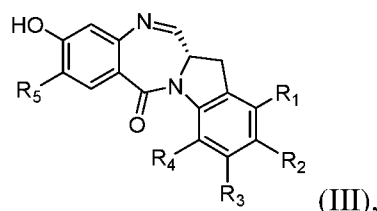
R' and R'' are each independently selected from -H, -OH, -OR, -NHR, -NR₂, -COR, an optionally substituted linear, branched or cyclic alkyl, alkenyl or alkynyl having from 1 to 10 carbon atoms, a polyethylene glycol unit -(CH₂CH₂X)_n-R^c, and an optionally substituted 3- to 18-membered heterocyclic ring having 1 to 6 heteroatoms independently selected from

5 O, S, N and P;

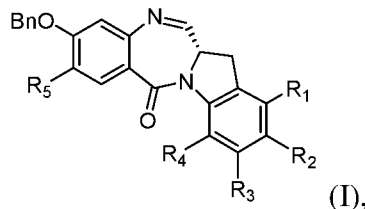
R^c is -H or a substituted or unsubstituted linear or branched alkyl having 1 to 4 carbon atoms; and

n is an integer from 1 to 24.

10 In another embodiment, the present invention provides a method of preparing a compound of formula (III):

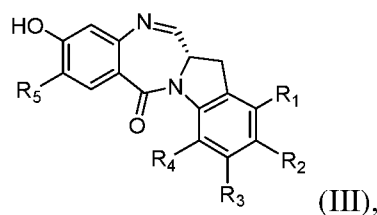


or a salt thereof, comprising reacting the compound of formula (I):



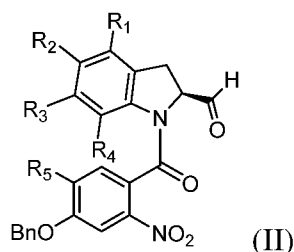
15 or a salt thereof, with a hydrogenation reagent in the presence of a palladium catalyst, wherein the variables are defined above.

In yet another embodiment, the present invention provides a method of preparing a compound of formula (III):

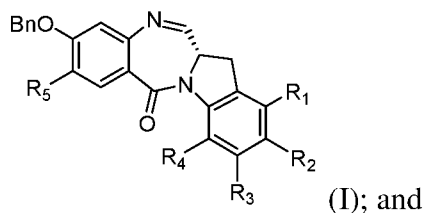


or a salt thereof, comprising the steps of:

20 a) reacting the compound of formula (II):



with Fe in the presence of NH_4Cl to form a compound of formula (I):



b) reacting the compound of formula (I) with a hydrogenation reagent in the presence of a palladium catalyst to form the compound of formula (III), wherein the variables are as defined above.

The present invention also provide compounds described herein, such as compounds of formula (IV), (V), (IVA), (VA) or a salt thereof.

10 DETAILED DESCRIPTION OF THE INVENTION

Reference will now be made in detail to certain embodiments of the invention, examples of which are illustrated in the accompanying structures and formulas. While the invention will be described in conjunction with the enumerated embodiments, it will be understood that they are not intended to limit the invention to those embodiments. On the contrary, the invention is intended to cover all alternatives, modifications, and equivalents which may be included within the scope of the present invention as defined by the claims. One skilled in the art will recognize many methods and materials similar or equivalent to those described herein, which could be used in the practice of the present invention.

It should be understood that any of the embodiments described herein can be combined with one or more other embodiments of the invention, unless explicitly disclaimed or improper. Combination of embodiments are not limited to those specific combinations claimed via the multiple dependent claims.

DEFINITIONS

“**Alkyl**” as used herein refers to a saturated linear or branched monovalent hydrocarbon radical. In preferred embodiments, a straight chain or branched chain alkyl has thirty or fewer carbon atoms (*e.g.*, C₁-C₃₀ for straight chain alkyl group and C₃-C₃₀ for branched alkyl), and more preferably twenty or fewer carbon atoms. Even more preferably, the straight chain or branched chain alkyl has ten or fewer carbon atoms (*i.e.*, C₁-C₁₀ for straight chain alkyl group and C₃-C₁₀ for branched alkyl). In other embodiments, the straight chain or branched chain alkyl has six or fewer carbon atoms (*i.e.*, C₁-C₆ for straight chain alkyl group or C₃-C₆ for branched chain alkyl). Examples of alkyl include, but are not limited to, methyl, ethyl, 1-propyl, 2-propyl, 1-butyl, 2-methyl-1-propyl, -CH₂CH(CH₃)₂, 2-butyl, 2-methyl-2-propyl, 1-pentyl, 2-pentyl, 3-pentyl, 2-methyl-2-butyl, 3-methyl-2-butyl, 3-methyl-1-butyl, 2-methyl-1-butyl, 1-hexyl, 2-hexyl, 3-hexyl, 2-methyl-2-pentyl, 3-methyl-2-pentyl, 4-methyl-2-pentyl, 3-methyl-3-pentyl, 2-methyl-3-pentyl, 2,3-dimethyl-2-butyl, 3,3-dimethyl-2-butyl, 1-heptyl, 1-octyl, and the like. Moreover, the term “alkyl” as used throughout the specification, examples, and claims is intended to include both “unsubstituted alkyls” and “substituted alkyls”, the latter of which refers to alkyl moieties having substituents replacing a hydrogen on one or more carbons of the hydrocarbon backbone. As used herein, (C_x-C_{xx})alkyl or C_{x-xx}alkyl means a linear or branched alkyl having x-xx carbon atoms.

“**Alkenyl**” as used herein refers to linear or branched-chain monovalent hydrocarbon radical of two to twenty carbon atoms with at least one site of unsaturation, *i.e.*, a carbon-carbon, double bond, wherein the alkenyl radical includes radicals having “cis” and “trans” orientations, or alternatively, “E” and “Z” orientations. Examples include, but are not limited to, ethylenyl or vinyl (-CH=CH₂), allyl (-CH₂CH=CH₂), and the like. Preferably, the alkenyl has two to ten carbon atoms. More preferably, the alkyl has two to four carbon atoms.

“**Alkynyl**” as used herein refers to a linear or branched monovalent hydrocarbon radical of two to twenty carbon atoms with at least one site of unsaturation, *i.e.*, a carbon-carbon, triple bond. Examples include, but are not limited to, ethynyl, propynyl, 1-butylnyl, 2-butylnyl, 1-pentylnyl, 2-pentylnyl, 3-pentylnyl, hexynyl, and the like. Preferably, the alkynyl has two to ten carbon atoms. More preferably, the alkynyl has two to four carbon atoms.

The terms “**cyclic alkyl**” and “**cycloalkyl**” can be used interchangeably. As used herein, the term refers to the radical of a saturated ring. In preferred embodiments, cycloalkyls have from 3-10 carbon atoms in their ring structure, and more preferably from 5-7 carbon atoms

in the ring structure. In some embodiments, the two cyclic rings can have two or more atoms in common, *e.g.*, the rings are "fused rings." Suitable cycloalkyls include cycloheptyl, cyclohexyl, cyclopentyl, cyclobutyl and cyclopropyl. In some embodiments, the cycloalkyl is a mono-cyclic group. In some embodiments, the cycloalkyl is a bi-cyclic group. In some embodiments, the
5 cycloalkyl is a tri-cyclic group.

The term "**cyclic alkenyl**" refers to a carbocyclic ring radical having at least one double bond in the ring structure.

The term "**cyclic alkynyl**" refers to a carbocyclic ring radical having at least one triple bond in the ring structure.

10 The term "**aryl**" as used herein, include substituted or unsubstituted single-ring aromatic groups in which each atom of the ring is carbon. Preferably the ring is a 5- to 7-membered ring, more preferably a 6-membered ring. Aryl groups include phenyl, phenol, aniline, and the like. The terms "aryl" also includes "polycyclyl", "polycycle", and
15 "polycyclic" ring systems having two or more rings in which two or more atoms are common to two adjoining rings, *e.g.*, the rings are "fused rings," wherein at least one of the rings is aromatic, *e.g.*, the other cyclic rings can be cycloalkyls, cycloalkenyls, cycloalkynyls. In some preferred embodiments, polycycles have 2-3 rings. In certain preferred embodiments, polycyclic ring systems have two cyclic rings in which both of the rings are aromatic. Each of the rings of the polycycle can be substituted or unsubstituted. In certain embodiments, each
20 ring of the polycycle contains from 3 to 10 atoms in the ring, preferably from 5 to 7. For example, aryl groups include, but are not limited to, phenyl (benzene), tolyl, anthracenyl, fluorenyl, indenyl, azulenyl, and naphthyl, as well as benzo-fused carbocyclic moieties such as 5,6,7,8-tetrahydronaphthyl, and the like

In some embodiments, the aryl is a single-ring aromatic group. In some embodiments,
25 the aryl is a two-ring aromatic group. In some embodiments, the aryl is a three-ring aromatic group.

The terms "**heterocycle**," "**heterocyclyl**," and "**heterocyclic ring**" as used herein, refers to substituted or unsubstituted non-aromatic ring structures of 3- to 18-membered rings, preferably 3- to 10-membered rings, more preferably 3- to 7-membered rings, whose ring
30 structures include at least one heteroatom, preferably one to four heteroatoms, more preferably one or two heteroatoms. In certain embodiments, the ring structure can have two cyclic rings. In some embodiments, the two cyclic rings can have two or more atoms in common, *e.g.*, the rings are "fused rings." Heterocyclyl groups include, for example, piperidine, piperazine, pyrrolidine, morpholine, lactones, lactams, and the like.

Heterocycles are described in Paquette, Leo A.; "Principles of Modern Heterocyclic Chemistry" (W. A. Benjamin, New York, 1968), particularly Chapters 1, 3, 4, 6, 7, and 9; "The Chemistry of Heterocyclic Compounds, A series of Monographs" (John Wiley & Sons, New York, 1950 to present), in particular Volumes 13, 14, 16, 19, and 28; and J. Am. Chem. Soc. (1960) 82:5566. Examples of heterocyclic rings include, but are not limited to, tetrahydrofuran, dihydrofuran, tetrahydrothiophene, tetrahydropyran, dihydropyran, tetrahydrothiopyran, thiomorpholine, thioxane, homopiperazine, azetidine, oxetane, thietane, homopiperidine, oxepane, thiepane, oxazepine, diazepine, thiazepine, 2-pyrroline, 3-pyrroline, indoline, 2H-pyran, 4H-pyran, dioxanyl, 1,3-dioxolane, pyrazoline, dithiane, dithiolane, dihydropyran, dihydrothiophene, dihydrofuran, pyrazolidinylimidazoline, imidazolidine, 3-azabicyclo[3.1.0]hexanyl, 3-azabicyclo[4.1.0]heptane, and azabicyclo[2.2.2]hexane. Spiro moieties are also included within the scope of this definition. Examples of a heterocyclic group wherein ring atoms are substituted with oxo (=O) moieties are pyrimidinone and 1,1-dioxo-thiomorpholine.

The term "**heteroaryl**" as used herein, refers to substituted or unsubstituted aromatic single ring structures, preferably 5- to 7-membered rings, more preferably 5- to 6-membered rings, whose ring structures include at least one heteroatom (e.g., O, N, or S), preferably one to four or one to 3 heteroatoms, more preferably one or two heteroatoms. When two or more heteroatoms are present in a heteroaryl ring, they may be the same or different. The term "heteroaryl" also includes "polycyclyl", "polycycle", and "polycyclic" ring systems having two or more cyclic rings in which two or more carbons are common to two adjoining rings, e.g., the rings are "fused rings," wherein at least one of the rings is heteroaromatic, e.g., the other cyclic rings can be cycloalkyls, cycloalkenyls, cycloalkynyls, aryls, and/or heterocyclyls. In some preferred embodiments, preferred polycycles have 2-3 rings. In certain embodiments, preferred polycyclic ring systems have two cyclic rings in which both of the rings are aromatic. In certain embodiments, each ring of the polycycle contains from 3 to 10 atoms in the ring, preferably from 5 to 7. For examples, heteroaryl groups include, but are not limited to, pyrrole, furan, thiophene, imidazole, oxazole, thiazole, pyrazole, pyridine, pyrazine, pyridazine, quinoline, pyrimidine, indolizine, indole, indazole, benzimidazole, benzothiazole, benzofuran, benzothiophene, cinnoline, phthalazine, quinazoline, carbazole, phenoxazine, quinoline, purine and the like.

In some embodiments, the heteroaryl is a single-ring aromatic group. In some embodiments, the heteroaryl is a two-ring aromatic group. In some embodiments, the heteroaryl is a three-ring aromatic group.

The heterocycle or heteroaryl groups may be carbon (carbon-linked) or nitrogen (nitrogen-linked) attached where such is possible. By way of example and not limitation, carbon bonded heterocycles or heteroaryls are bonded at position 2, 3, 4, 5, or 6 of a pyridine, position 3, 4, 5, or 6 of a pyridazine, position 2, 4, 5, or 6 of a pyrimidine, position 2, 3, 5, or 6 of a pyrazine, position 2, 3, 4, or 5 of a furan, tetrahydrofuran, thiofuran, thiophene, pyrrole or tetrahydropyrrole, position 2, 4, or 5 of an oxazole, imidazole or thiazole, position 3, 4, or 5 of an isoxazole, pyrazole, or isothiazole, position 2 or 3 of an aziridine, position 2, 3, or 4 of an azetidine, position 2, 3, 4, 5, 6, 7, or 8 of a quinoline or position 1, 3, 4, 5, 6, 7, or 8 of an isoquinoline.

By way of example and not limitation, nitrogen bonded heterocycles or heteroaryls are bonded at position 1 of an aziridine, azetidine, pyrrole, pyrrolidine, 2 pyrroline, 3-pyrroline, imidazole, imidazolidine, 2-imidazoline, 3-imidazoline, pyrazole, pyrazoline, 2-pyrazoline, 3-pyrazoline, piperidine, piperazine, indole, indoline, 1H-indazole, position 2 of a isoindole, or isoindoline, position 4 of a morpholine, and position 9 of a carbazole, or O-carboline.

The heteroatoms present in heteroaryl or heterocyclyl include the oxidized forms such as NO, SO, and SO₂.

The term “**halide**” or “**halogen**” refers to F, Cl, Br or I. In one embodiment, the halide is Cl.

The term “**compound**” is intended to include compounds for which a structure or formula or any derivative thereof has been disclosed in the present invention or a structure or formula or any derivative thereof that has been incorporated by reference. The term also includes, stereoisomers, geometric isomers, or tautomers. The specific recitation of “stereoisomers,” “geometric isomers,” “tautomers,” “salt” in certain aspects of the invention described in this application shall not be interpreted as an intended omission of these forms in other aspects of the invention where the term “compound” is used without recitation of these other forms.

The term “**precursor**” of a given group refers to any group which may lead to that group by any deprotection, a chemical modification, or a coupling reaction.

The term “**chiral**” refers to molecules which have the property of non-superimposability of the mirror image partner, while the term “achiral” refers to molecules which are superimposable on their mirror image partner.

The term “**stereoisomer**” refers to compounds which have identical chemical constitution and connectivity, but different orientations of their atoms in space that cannot be interconverted by rotation about single bonds.

“**Diastereomer**” refers to a stereoisomer with two or more centers of chirality and whose molecules are not mirror images of one another. Diastereomers have different physical properties, *e.g.* melting points, boiling points, spectral properties, and reactivities. Mixtures of diastereomers may separate under high resolution analytical procedures such as crystallization, electrophoresis and chromatography.

“**Enantiomers**” refer to two stereoisomers of a compound which are non-superimposable mirror images of one another.

Stereochemical definitions and conventions used herein generally follow S. P. Parker, Ed., McGraw-Hill Dictionary of Chemical Terms (1984) McGraw-Hill Book Company, New York; and Eliel, E. and Wilen, S., “Stereochemistry of Organic Compounds,” John Wiley & Sons, Inc., New York, 1994. The compounds of the invention may contain asymmetric or chiral centers, and therefore exist in different stereoisomeric forms. It is intended that all stereoisomeric forms of the compounds of the invention, including but not limited to, diastereomers, enantiomers and atropisomers, as well as mixtures thereof such as racemic mixtures, form part of the present invention. Many organic compounds exist in optically active forms, *i.e.*, they have the ability to rotate the plane of plane-polarized light. In describing an optically active compound, the prefixes D and L, or R and S, are used to denote the absolute configuration of the molecule about its chiral center(s). The prefixes d and l or (+) and (-) are employed to designate the sign of rotation of plane-polarized light by the compound, with (-) or l meaning that the compound is levorotatory. A compound prefixed with (+) or d is dextrorotatory. For a given chemical structure, these stereoisomers are identical except that they are mirror images of one another. A specific stereoisomer may also be referred to as an enantiomer, and a mixture of such isomers is often called an enantiomeric mixture. A 50:50 mixture of enantiomers is referred to as a racemic mixture or a racemate, which may occur where there has been no stereoselection or stereospecificity in a

chemical reaction or process. The terms “racemic mixture” and “racemate” refer to an equimolar mixture of two enantiomeric species, devoid of optical activity.

The term “**tautomer**” or “**tautomeric form**” refers to structural isomers of different energies which are interconvertible via a low energy barrier. For example, proton tautomers (also known as prototropic tautomers) include interconversions via migration of a proton, such as keto-enol and imine-enamine isomerizations. Valence tautomers include interconversions by reorganization of some of the bonding electrons.

The phrase “**salt**” as used herein, refers to an organic or inorganic salts of a compound of the invention. Exemplary salts include, but are not limited, to sulfate, citrate, acetate, oxalate, chloride, bromide, iodide, nitrate, bisulfate, phosphate, acid phosphate, isonicotinate, lactate, salicylate, acid citrate, tartrate, oleate, tannate, pantothenate, bitartrate, ascorbate, succinate, maleate, gentisinate, fumarate, gluconate, glucuronate, saccharate, formate, benzoate, glutamate, methanesulfonate “mesylate,” ethanesulfonate, benzenesulfonate, p-toluenesulfonate, pamoate (*i.e.*, 1,1'-methylene-bis-(2-hydroxy-3-naphthoate)) salts, alkali metal (*e.g.*, sodium and potassium) salts, alkaline earth metal (*e.g.*, magnesium) salts, and ammonium salts. A salt may involve the inclusion of another molecule such as an acetate ion, a succinate ion or other counter ion. The counter ion may be any organic or inorganic moiety that stabilizes the charge on the parent compound. Furthermore, a salt may have more than one charged atom in its structure. Instances where multiple charged atoms are part of the salt can have multiple counter ions. Hence, a salt can have one or more charged atoms and/or one or more counter ion.

If the compound of the invention is a base, the desired salt may be prepared by any suitable method available in the art, for example, treatment of the free base with an inorganic acid, such as hydrochloric acid, hydrobromic acid, sulfuric acid, nitric acid, methanesulfonic acid, phosphoric acid and the like, or with an organic acid, such as acetic acid, maleic acid, succinic acid, mandelic acid, fumaric acid, malonic acid, pyruvic acid, oxalic acid, glycolic acid, salicylic acid, a pyranosidyl acid, such as glucuronic acid or galacturonic acid, an alpha hydroxy acid, such as citric acid or tartaric acid, an amino acid, such as aspartic acid or glutamic acid, an aromatic acid, such as benzoic acid or cinnamic acid, a sulfonic acid, such as p-toluenesulfonic acid or ethanesulfonic acid, or the like.

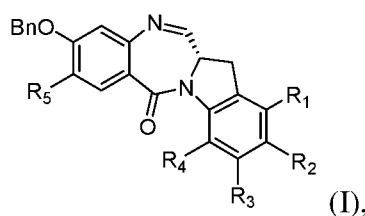
If the compound of the invention is an acid, the desired salt may be prepared by any suitable method, for example, treatment of the free acid with an inorganic or organic base, such as an amine (primary, secondary or tertiary), an alkali metal hydroxide or alkaline earth metal hydroxide, or the like. Illustrative examples of suitable salts include, but are not

limited to, organic salts derived from amino acids, such as glycine and arginine, ammonia, primary, secondary, and tertiary amines, and cyclic amines, such as piperidine, morpholine and piperazine, and inorganic salts derived from sodium, calcium, potassium, magnesium, manganese, iron, copper, zinc, aluminum and lithium.

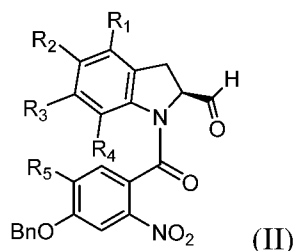
- 5 In certain embodiments, the salt is a pharmaceutically acceptable salt. The phrase “**pharmaceutically acceptable**” indicates that the substance or composition must be compatible chemically and/or toxicologically, with the other ingredients comprising a formulation, and/or the mammal being treated therewith.

METHODS OF THE PRESENT INVENTION

- 10 In a first embodiment, the present invention provides a method of preparing a compound of formula (I):



or a salt thereof, comprising reacting the compound of formula (II):



- 15 or a salt thereof, with Fe in the presence of NH_4Cl , wherein:

R_1 , R_2 , R_3 , and R_4 are each independently selected from -H, an optionally substituted linear, branched or cyclic alkyl, alkenyl or alkynyl having from 1 to 10 carbon atoms, - $(\text{CH}_2\text{CH}_2\text{X})_n\text{-R}^c$, halogen, - $\text{NH}(\text{C}=\text{NH})\text{NH}_2$, -OR, - $\text{NR}'\text{R}''$, -NCO, - $\text{NR}'\text{COR}''$, -SR, - SOR' , - $\text{SO}_2\text{R}'$, - SO_3H , - OSO_3H , - $\text{SO}_2\text{NR}'\text{R}''$, cyano, azido, -COR', -OCOR', and -OCONR'R'';

- 20 X is O, NH or S;

R_5 is -H, -R, -OR, -SR, - $\text{NR}'\text{R}''$, or halogen;

R, for each occurrence, is independently selected from -H, an optionally substituted linear, branched or cyclic alkyl, alkenyl or alkynyl having from 1 to 10 carbon atoms, a polyethylene glycol unit - $(\text{CH}_2\text{CH}_2\text{X})_n\text{-R}^c$, an optionally substituted aryl having 6 to 18

- 25 carbon atoms, an optionally substituted 5- to 18-membered heteroaryl ring containing one or

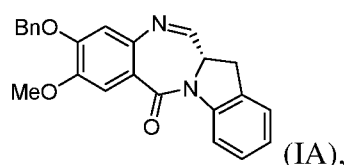
more heteroatoms independently selected from nitrogen, oxygen, and sulfur, or an optionally substituted 3- to 18-membered heterocyclic ring containing 1 to 6 heteroatoms independently selected from O, S, N and P;

R' and R'' are each independently selected from -H, -OH, -OR, -NHR, -NR₂, -COR, an optionally substituted linear, branched or cyclic alkyl, alkenyl or alkynyl having from 1 to 10 carbon atoms, a polyethylene glycol unit -(CH₂CH₂X)_n-R^c, and an optionally substituted 3- to 18-membered heterocyclic ring having 1 to 6 heteroatoms independently selected from O, S, N and P;

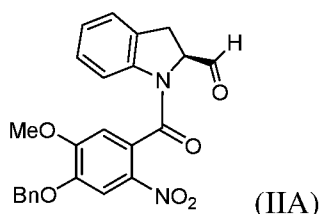
R^c is -H or a substituted or unsubstituted linear or branched alkyl having 1 to 4 carbon atoms; and

n is an integer from 1 to 24.

In a second embodiment, the present invention provide a method of preparing a compound of formula (IA):

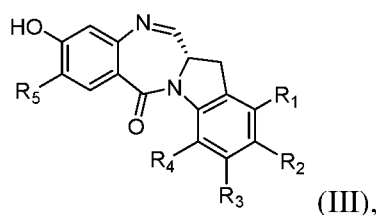


or a salt thereof, comprising reacting the compound of formula (IIA):

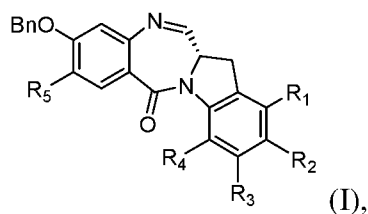


or a salt thereof, with Fe in the presence of NH₄Cl.

In a third embodiment, the present invention provides a method of preparing a compound of formula (III):



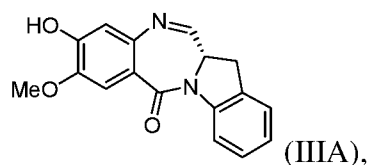
or a salt thereof, comprising reacting the compound of formula (I):



(I),

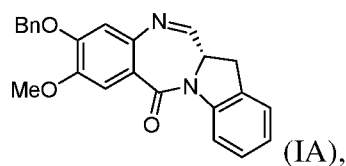
or a salt thereof, with a hydrogenation reagent in the presence of a palladium catalyst, wherein the variables are defined above in the first embodiment. The reaction of the compound of formula (I) with the hydrogenation reagent and the palladium catalyst de-
 5 benzylates the benzyl group to yield the compound of formula (III).

In a fourth embodiment, the present invention provides a method of preparing a compound of formula (IIIA):



(IIIA),

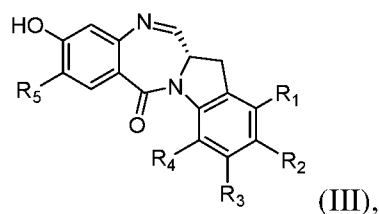
or a salt thereof, comprising reacting the compound of formula (IA):



(IA),

or a salt thereof, with a hydrogenation reagent in the presence of a palladium catalyst.

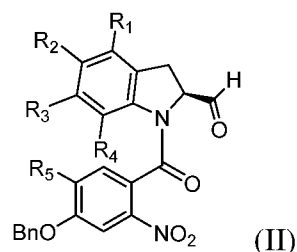
In a fifth embodiment, the present invention provides a method of preparing a compound of formula (III):



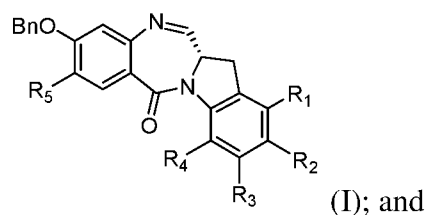
(III),

or a salt thereof, comprising the steps of:

a) reacting the compound of formula (II):

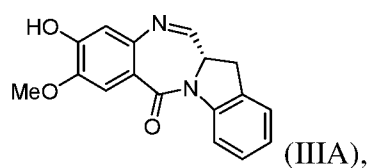


with Fe in the presence of NH_4Cl to form a compound of formula (I):



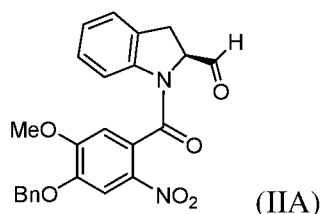
- b) reacting the compound of formula (I) with a hydrogenation reagent in the presence of a palladium catalyst to form the compound of formula (III), wherein the variables are as defined above in the first embodiment.

In a sixth embodiment, the present invention provides a method of preparing a compound of formula (IIIA):

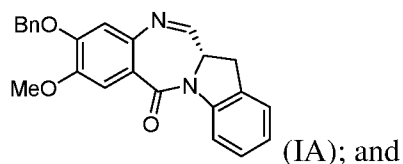


- or a salt thereof, comprising the steps of:

- a) reacting the compound of formula (IIA):



with Fe in the presence of NH_4Cl to form a compound of formula (IA):



- b) reacting the compound of formula (IA) with a hydrogenation reagent in the presence of a palladium catalyst to form the compound of formula (IIIA).

In a 1st specific embodiment, for the method of the first, second, fifth or sixth embodiment, the reaction of the compound of formula (II) or (IIA) and Fe/NH₄Cl is carried out in a solvent or a solvent mixture. Any suitable solvent or solvent mixtures can be used. Exemplary solvents include, but are not limited to, tetrahydrofuran (THF), 2-

5 methyltetrahydrofuran (MeTHF), N-methyl-2-pyrrolidone (NMP), methanol, ethanol, isopropanol, dichloromethane, dichloroethane, acetonitrile, dimethylformamide (DMF), dimethylacetamide, cyclopentyl methyl ether (CPME), ethyl acetate, water, and a combination thereof. In certain embodiment, the reaction is carried out in a mixture of water and one or more organic solvents. Any suitable organic solvents described above can be
10 used. In a more specific embodiment, the reaction is carried out in a mixture of THF, methanol and water.

In a 2nd specific embodiment, for the method of the first, second, fifth or sixth embodiment or the 1st specific embodiment, the reaction between the compound of formula (II) or (IIA) and Fe/NH₄Cl is carried out at a temperature between 0 °C and 100 °C, between
15 20 °C and 100 °C, between 40 °C and 90 °C, between 50 °C and 80 °C, or between 60 °C and 70 °C. In a more specific embodiment, the reaction is carried out at 65 °C.

As used herein, the term “**between** number1 **and** number2” means a number that is greater or equal to number1 and less or equal to number2.

As used herein, the term “number1 **to** number2” means a number that is greater or
20 equal to number1 and less or equal to number2.

In certain embodiments, for the method of the first, second, fifth or sixth embodiment or the 1st or 2nd specific embodiment, the reaction between the compound of formula (II) or (IIA) and Fe/NH₄Cl can be carried out for appropriate amount of time, such as 1 hour to 1 week, 4 hours to 72 hours, 10 hours to 72 hours, 24 hours to 72 hours, 4 hours to 10 hours, or
25 10 hours to 24 hours. In a specific embodiment, the reaction is carried out for 48 hours.

In certain embodiments, for the method of the first, second, fifth or sixth embodiment or the 1st or 2nd specific embodiment, the reaction between the compound of formula (II) or (IIA) and Fe/NH₄Cl is carried out under an inert atmosphere, such as under N₂, Ar etc. In a specific embodiment, the reaction is carried out under N₂ atmosphere.

30 In certain embodiments, for the method of the first, second, fifth or sixth embodiment or the 1st or 2nd specific embodiment, the compound of formula (I) or (IA) obtained from the reaction between the compound of formula (II) or (IIA) and Fe/NH₄Cl is purified. Any suitable purification methods, such as precipitation, re-crystallization, column

chromatography or a combination thereof, can be used. In certain embodiments, precipitation, re-crystallization, or a combination thereof can be used to purify the compound of formula (I) or (IA). Multiple (*e.g.*, two, three, four, etc.) precipitations or re-crystallizations or a combination thereof can be used to purify the compound of formula (I) or (IA).

As used herein, “**re-crystallization**” refers to a process for purifying a solid material, wherein the atoms, molecules or ions of the purified solid material obtained are arranged in highly organized structure(s), known as crystalline form(s). Re-crystallization can be achieved by various methods, such as cooling, evaporation, addition of a second solvent (*i.e.*, antisolvent), etc.

As used herein, “**precipitation**” refers to a purification process in which solid material forms from a solution having the solid material dissolved therein. Precipitation can often be achieved by cooling down the temperature of the solution or adding a second solvent (*i.e.*, antisolvent) that significantly reduce the solubility of the desired solid material in the solution. The solid material obtained from the precipitation process can be in one or more amorphous forms, one or more crystalline forms or a combination thereof.

In a 3rd specific embodiment, for the method of the first, second, fifth or sixth embodiment or the 1st or 2nd specific embodiment, the compound of formula (I) or (IA) obtained from the reaction between the compound of formula (II) or (IIA) and Fe/NH₄Cl is purified by re-crystallization or precipitation in a mixture of dichloromethane and ethanol. In a more specific embodiment, the volume ratio of dichloromethane and ethanol is between 5:1 and 1:2, between 4:1 and 1:1.5, between 3:1 and 1:1.5, or between 2:1 and 1:1.2. In a specific embodiment, the volume ratio of dichloromethane and ethanol is 1:1. In certain embodiments, the re-crystallization is carried out overnight.

Alternatively, the compound of formula (I) or (IA) is purified by re-crystallization or precipitation in a mixture of toluene and acetonitrile. In one embodiment, the compound of formula (I) or (IA) is dissolved in toluene at an elevated temperature, such as a temperature between 40 °C and 90 °C, between 50 °C and 90 °C, between 60 °C and 90 °C, between 70 °C and 90 °C, or between 75 °C and 85 °C. In another even more specific embodiment, the compound of formula (I) or (IA) is dissolved in toluene at 80 °C followed by addition of acetonitrile, to re-crystallize or precipitate the compound of formula (I) or (IA). Optionally, the compound of formula (I) or (IA) is filtered after dissolution in toluene before the addition of acetonitrile. In one embodiment, the volume ratio of toluene and acetonitrile is between

1:10 and 2:1, between 1:5 and 1:1, between 1:3 and 1:1, or between 1:2 and 1:1. In a specific embodiment, the volume ratio of toluene and acetonitrile is 1:1.5.

In a 4th specific embodiment, for the methods of the 3rd specific embodiment described above, the compound of formula (I) or (IA) is further purified by recrystallization or precipitation. In a more specific embodiment, the compound of formula (I) or (IA) is further purified by recrystallization or precipitation in a mixture of toluene and acetonitrile. In a even more specific embodiment, the compound of formula (I) or (IA) is dissolved in toluene at an elevated temperature, such as a temperature between 40 °C and 90 °C, between 50 °C and 90 °C, between 60 °C and 90 °C, between 70 °C and 90 °C, or between 75 °C and 85 °C. In another even more specific embodiment, the compound of formula (I) or (IA) is dissolved in toluene at 80 °C followed by addition of acetonitrile, to re-crystalize or precipitate the compound of formula (I) or (IA). Optionally, the compound of formula (I) or (IA) is filtered after dissolution in toluene before the addition of acetonitrile. In one embodiment, the volume ratio of toluene and acetonitrile is between 1:10 and 2:1, between 1:5 and 1:1, between 1:3 and 1:1, or between 1:2 and 1:1. In a specific embodiment, the volume ratio of toluene and acetonitrile is 1:1.5.

In a 5th specific embodiment, for the method of the third, fourth, fifth or sixth embodiment or the 1st, 2nd, 3rd or 4th specific embodiment, the de-benzylation reaction of the compound of formula (I) or (IA) is carried out in the presence of a Pd/Alox (also known as palladium on alumina (*i.e.*, aluminum oxide)) catalyst. Any suitable Pd/Alox catalysts can be used. Exemplary palladium/Alox catalysts include, but are not limited to, palladium on alumina 10% Pd basis (*i.e.*, 10 w.t.% Pd/Alox), such as Sigma-Aldrich® #76000, palladium on alumina 5% Pd basis (*i.e.*, 5 w.t.% Pd/Alox), such as Johnson Matthey 5R325 Powder, Johnson Matthey A302099-5, Noblyst® P1159, STREM 46-1960, 46-1951, palladium on alumina 0.5% Pd basis (*i.e.*, 0.5 w.t.% Pd/Alox), such as STREM 46-1920, Alfa Aesar #41383, #38786, #89114, #38289. In a more specific embodiment, the palladium catalyst is 5 w.t.% Pd/Alox (*i.e.*, palladium on alumina 5% Pd basis).

In a 6th specific embodiment, for the method of the third, fourth, fifth or sixth embodiment or the 1st, 2nd, 3rd or 4th specific embodiment, the de-benzylation reaction of the compound of formula (I) or (IA) is carried out in the presence of Pd/C (also known as palladium on carbon). Any suitable Pd/C catalysts can be used. Exemplary Pd/C catalysts include, but are not limited to, palladium on activated carbon 20% Pd basis (*i.e.*, 20 w.t.% Pd/C), such as STREM 46-1707, palladium on activated charcoal 10% Pd basis (*i.e.*, 10 w.t.%

Pd/C), such as Sigma-Aldrich® #75990, #75993, Johnson Matthey 10R39, 10R394, 10R487 Powder, 10R87L Powder, 10T755, Evonik Noblyst® P1070, STREM 46-1900, palladium on activated charcoal 5% Pd basis (*i.e.*, 5 w.t.% Pd/C), such as Sigma-Aldrich® #75992, #75991, Johnson Matthey 5R338M, 5R369, 5R374, 5R39, 5R395, 5R424, 5R434, 5R437, 5R440, 5R452, 5R487, 5R487 Powder, 5R58, 5R87L, 5T761, A102023-5, A103023-5, A105023-5, A302002-5, A302023-10, A302023-5, A402028-10, A405028-5, A405032-5, A405129-5, A501023-10, A503002-5, A503023-5, A503032-5, A702023-10, STREM 46-1890, 46-1908, 46-1909, 46-1911, Eonik Noblyst® P1086, P1090, P1092, P1109, palladium on activated carbon 3% Pd basis (*i.e.*, 3 w.t.% Pd/C), such as STREM 46-1907, palladium on activated carbon 0.5 % Pd basis (*i.e.*, 0.5 w.t.% Pd/Alox), such as Alfa Aesar #38289.

In a 7th specific embodiment, for the method of the 5th or 6th specific embodiment, the de-benzylolation reaction of the compound of formula (I) or (IA) is carried out in the presence of 0.05 to 0.5 equivalent of Pd for every 1 equivalent of the compound of formula (I) or (IA). In one embodiment, between 0.05 and 0.4, between 0.05 and 0.35, between 0.05 and 0.3, between 0.05 and 0.25, between 0.05 and 0.2, between 0.05 and 0.15, between 0.075 and 0.15, between 0.075 and 0.1, or between 0.08 and 0.1 equivalent of Pd catalyst is used for every 1 equivalent of the compound of formula (I). In a more specific embodiment, 0.09 or 0.1 equivalent of the Pd catalyst is used for every 1 equivalent of the compound of formula (I). In another embodiment, the amount of the palladium catalyst used depends on the type and manufacturer of the palladium catalyst used and the suitable amount of the palladium catalyst can be determined experimentally.

In a 8th specific embodiment, for the method of the third, fourth, fifth or sixth embodiment or the 1st, 2nd, 3rd, 4th, 5th, 6th or 7th specific embodiment, the de-benzylolation reaction of the compound of formula (I) or (IA) is carried out in the presence of 1,4-cyclohexadiene and a palladium catalyst (*e.g.*, those described in the 5th or 6th specific embodiment). In one embodiment, 1.0 to 5.0 equivalents of 1,4-cyclohexadiene is used for every 1 equivalent of the compound of formula (I) or (IA). In another embodiment, 1.0 to 4.5, 1.0 to 4.0, 1.0 to 3.5, 1.0 to 3.0, 1.0 to 2.5, 1.1 to 2.0, 1.3 to 1.8, or 1.5 to 1.7 equivalents of 1,4-cyclohexadiene is used for every 1 equivalent of the compound of formula (I) or (IA). In another embodiment, 1,4-cyclohexadiene was added portionwise. In another embodiment, 1,4-cyclohexadiene was added portionwise in 2 portions, in 3 portions, in 4 portions, or in 5 portions. In another embodiment, 1,4-cyclohexadiene was added portionwise in 2 portions, in 3 portions, in 4 portions. In another embodiment, 1,4-cyclohexadiene was added portionwise in 2 portions of 1.0 to 4.5, 1.0 to 4.0, 1.0 to 3.5, 1.0 to 3.0, 1.0 to 2.5, 1.1 to 2.0, 1.3 to 1.8, or

1.5 to 1.7 equivalents. In another embodiment, 1,4-cyclohexadiene was added portionwise in 2 portions of 1.0 to 2.0 equivalents. In another embodiment, 1,4-cyclohexadiene was added portionwise in 2 portions of 1.5 equivalents. In another embodiment, 1,4-cyclohexadiene was added portionwise in 3 portions of 1.0 to 4.5, 1.0 to 4.0, 1.0 to 3.5, 1.0 to 3.0, 1.0 to 2.5, 1.1 to 2.0, 1.3 to 1.8, or 1.5 to 1.7 equivalents. In another embodiment, 1,4-cyclohexadiene was added portionwise in 3 portions of 1.0 to 2.0 equivalents. In another embodiment, 1,4-cyclohexadiene was added portionwise in 3 portions of 1.5 equivalents.

In a 9th specific embodiment, for the method of the third, fourth, fifth or sixth embodiment or the 1st, 2nd, 3rd, 4th, 5th, or 6th specific embodiment, the de-benzylation reaction comprises reacting the compound of formula (I) or (IA) with 1,4-cyclohexadiene in the presence of a Pd/Alox catalyst (*e.g.*, 5% Pd/Alox), and wherein 1.1 to 2.0 equivalent of 1,4-cyclohexadiene and 0.05 to 0.25 equivalent of Pd are used for every 1 equivalent of the compound of formula (I) or (IA). In a more specific embodiment, 1.3 to 1.8 equivalent of 1,4-cyclohexadiene and 0.05 to 0.2 equivalent of a Pd/Alox catalyst (*e.g.*, 5% Pd/Alox) are used for every 1 equivalent of the compound of formula (I) or (IA). In another more specific embodiment, 1.5 to 1.7 equivalent of 1,4-cyclohexadiene and 0.075 to 0.15 equivalent of a Pd/Alox catalyst (*e.g.*, 5% Pd/Alox) are used for every 1 equivalent of the compound of formula (I) or (IA).

In a 10th specific embodiment, for the method of the third, fourth, fifth or sixth embodiment or the 1st, 2nd, 3rd, 4th, 5th, 6th, 7th, 8th or 9th specific embodiment, the de-benzylation reaction is carried out in a solvent or a mixture of solvents. Any suitable solvents described herein can be used. Exemplary solvents include, but are not limited to, tetrahydrofuran (THF), 2-methyltetrahydrofuran (MeTHF), N-methyl-2-pyrrolidone (NMP), methanol, ethanol, isopropanol, dichloromethane, dichloroethane, acetonitrile, dimethylformamide (DMF), dimethylacetamide, cyclopentyl methyl ether (CPME), ethyl acetate, water, and a combination thereof. In a more specific embodiment, the de-benzylation reaction is carried out in a solvent mixture comprising a Pd-catalyst poison such as lead, copper, sulfur, sulfur-containing compounds, nitrogen-containing heterocycles or amines. In some embodiments, the Pd-catalyst poison is a thiol, thophene, pyridine, quinoline, 3,6-dithia-1,8-octanediol or DMSO. In an even more specific embodiment, the de-benzylation reaction is carried out in a mixture of DMSO and ethanol. DMSO can be present in a very small amount. For example, the solvent mixture (*e.g.*, DMSO and ethanol) can have 0.01-1%, 0.05-0.75%, 0.1-0.5%, 0.1-0.3% or 0.1-0.2% by volume of DMSO.

In a 11th specific embodiment, for the method of the third, fourth, fifth or sixth embodiment or the 1st, 2nd, 3rd, 4th, 5th, 6th, 7th, 8th, 9th or 10th specific embodiment, the de-benzylation reaction is carried out at a temperature between 30 °C and 90 °C, between 40 °C and 70 °C, between 40 °C and 60 °C, or between 45 °C and 55 °C. In a more specific
5 embodiment, the reaction is carried out at 50 °C.

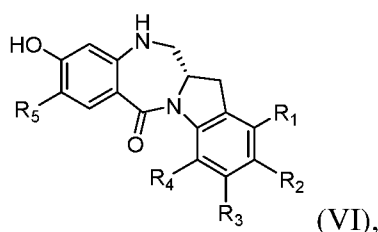
In a 12th specific embodiment, for the method of the third, fourth, fifth or sixth embodiment or the 1st, 2nd, 3rd, 4th, 5th, 6th or 7th specific embodiment, the de-benzylation reaction of the compound of formula (I) or (IA) is carried out in the presence of 1,4-cyclohexadiene and a palladium catalyst (*e.g.*, those described in the 5th or 6th specific
10 embodiment, such as a Pd/Alox catalyst (*e.g.*, 5% Pd/Alox)) and 1,4-cyclohexadiene is added portionwise. In a more specific embodiment, 1.1 to 2.0 equivalents (*e.g.*, between 1.4 to 1.6 equivalents, or 1.1, 1.2, 1.3, 1.4, 1.5, 1.6, 1.7, 1.8, 1.9 or 2.0 equivalents) of 1,4-cyclohexadiene is first added to the compound of formula (I) or (IA) in the presence of a
15 palladium catalyst (*e.g.*, 5% Pd/Alox) and the reaction mixture is heated to an elevated temperature (*e.g.*, between 40 and 60 °C) for 1 hour to 24 hours (*e.g.*, 1 hour, 2 hours, 3 hours, 4 hours, 5 hours, 10 hours, 15 hours, 20 hours or 24 hours). After cooling (*e.g.*, to room temperature), an additional 1.1 to 2.0 equivalents (*e.g.*, between 1.4 to 1.6 equivalents, or 1.1, 1.2, 1.3, 1.4, 1.5, 1.6, 1.7, 1.8, 1.9 or 2.0 equivalents) 1,4-cyclohexadiene is then added and the reaction mixture is heated to an elevated temperature (*e.g.*, between 40 and 60 °C) for
20 1 hour to 24 hours (*e.g.*, 1 hour, 2 hours, 3 hours, 4 hours, 5 hours, 10 hours, 15 hours, 20 hours or 24 hours). Optionally, a further 1.1 to 2.0 equivalents (*e.g.*, between 1.4 to 1.6 equivalents, or 1.1, 1.2, 1.3, 1.4, 1.5, 1.6, 1.7, 1.8, 1.9 or 2.0 equivalents) 1,4-cyclohexadiene can be added and the reaction mixture is heated to an elevated temperature (*e.g.*, between 40 and 60 °C) for 1 hour to 24 hours (*e.g.*, 1 hour, 2 hours, 3 hours, 4 hours, 5 hours, 10 hours, 15
25 hours, 20 hours or 24 hours). In an even more specific embodiment, the de-benzylation reaction is carried out in a mixture of DMSO and ethanol. DMSO can be present in a very small amount. For example, the solvent mixture (*e.g.*, DMSO and ethanol) can have 0.01-1%, 0.05-0.75%, 0.1-0.5%, 0.1-0.3% or 0.1-0.2% by volume of DMSO.

In a 13th specific embodiment, for the method of the third, fourth, fifth or sixth
30 embodiment, or the 1st, 2nd, 3rd, 4th, 5th, 6th, 7th, 8th, 9th, 10th, 11th or 12th specific embodiment, the compound of formula (III) or (IIIA) can be purified by precipitation. In a more specific embodiment, the compound is purified by precipitating the compound from an ethylacetate (EtOAc) solution containing the compound using water. In a even more specific

embodiment, the volume of water used for precipitation is 1-10 % (1-5%, 2-5%, 1%, 2%, 3%, or 4%) by volume of EtOAc.

In a 14th specific embodiment, for the method of the third, fourth, fifth or sixth embodiment, or the 1st, 2nd, 3rd, 4th, 5th, 6th, 7th, 8th, 9th, 10th, 11th, 12th or 13th specific

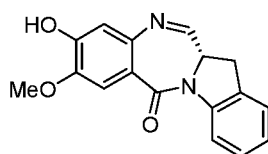
5 embodiment, the method further comprising reducing the compound of formula (III) to form a compound of formula (VI):



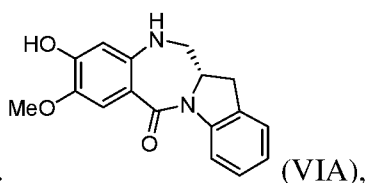
or a salt thereof. In some embodiment, the compound of formula (III) is reduced using a suitable reducing agent. Exemplary reducing agents include, but are not limited to sodium borohydride, sodium triacetoxy borohydride, sodium cyanoborohydride, lithium aluminum hydride (LiAlH₄), hydrogen gas, ammonium formate, borane (BH₃), diborane (B₂H₆), borane-dimethylsulfide (DMS) complex, borane-amine complexes (*e.g.*, ammonia borane (or borazane), borane trimethylamine complex, borane *N,N*-diisopropylethylamine complex, or borane *tert*-butylamine complex), 9-borabicyclo[3.3.1]nonane (9-BBN), diisobutylaluminum hydride (DIBAL), lithium borohydride (LiBH₄), potassium borohydride (KBH₄), sodium bis(2-methoxyethoxy)aluminumhydride (Red-Al), silicon-based reducing agent (*e.g.*, PhSiH₃, Ph₂SiH₂ or Et₃SiH). In some embodiments, the reduction is carried out in a presence of a catalyst, such as a ruthenium catalyst, a rhodium catalyst or an iridium catalyst, etc. In a more specific embodiment, the compound of formula (III) is reacted with BH₃ (*e.g.*, BH₃•THF solution) to form the compound of formula (VI) or a salt thereof. In an even more specific embodiment, excess amount of BH₃ relative to the compound of formula (III) is used. For example, 1.0 to 2.0 equivalents, 1.0 to 1.5 equivalents, or 1.1 to 1.3 equivalents of BH₃ can be used. In one embodiment, 1.2 equivalents of BH₃ is used. The reduction reaction can be carried out in any suitable organic solvent. In one embodiment, the reduction reaction is carried out in THF. The reaction can be carried out at a suitable temperature, for example, between 10 °C to 30 °C, or between 15 °C to 25 °C. In one embodiment, the reaction is carried out at 20 °C. The reduction reaction can be carried out for 10 minutes to 10 hours, for example, for 30 minutes to 5 hours, for 30 minutes to 3 hours, or for 30 minutes to 2 hours. In another embodiment, the reaction is carried out for 1 hour or 2 hours. In another embodiment, upon completion, the reaction is quenched with saturated NH₄Cl solution. In

yet another embodiment, the compound of formula (VI) or a salt thereof can be purified by precipitation of the compound from a 2-methyltetrahydrofuran (MeTHF) solution containing the compound using heptane. In some embodiment, the compound of formula (VI) can be purified by azeotropic distillation with MeTHF to remove water.

- 5 In one embodiment, for the method described in the 14th specific embodiment above, the compound of formula (III) is represented by formula (IIIA):



and the method comprising reducing the compound of formula (IIIA) to form the compound of formula (VIA):

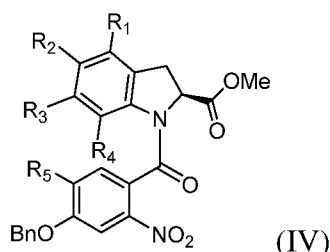


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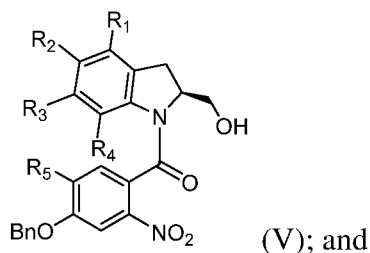
or a salt thereof.

In a 15th specific embodiment, for the method of the first or fifth embodiment, or the 1st, 2nd, 3rd, 4th, 5th, 6th, 7th, 8th, 9th, 10th, 11th, 12th, 13th or 14th specific embodiment, the compound of formula (II) is prepared by a method comprising the following steps:

- 15 a) reducing the compound of formula (IV):



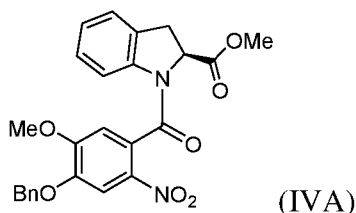
with a reducing agent to form the compound of formula (V):



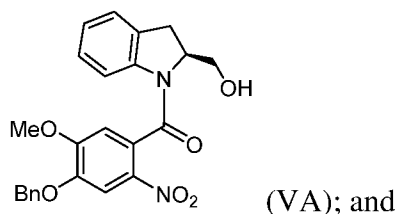
- 20 b) oxidizing the compound of formula (V) with an oxidizing agent to form the compound of formula (II).

Also in the 15th specific embodiment, for the method of the second or sixth embodiment, or the 1st, 2nd, 3rd, 4th, 5th, 6th, 7th, 8th, 9th, 10th, 11th, 12th, 13th or 14th specific embodiment, the compound of formula (IIA) is prepared by a method comprising the following steps:

- 5 a) reducing the compound of formula (IVA):



with a reducing agent to form the compound of formula (VA):



- 10 b) oxidizing the compound of formula (VA) with an oxidizing agent to form the compound of formula (IIA).

In a 16th specific embodiment, for the method described in the 15th specific embodiment, the reducing agent in the reaction of step a) is a hydride reducing agent. In another embodiment, the reducing agent is sodium borohydride, sodium triacetoxy borohydride, sodium cyanoborohydride, lithium aluminum hydride, hydrogen gas, ammonium formate, borane, 9-borabicyclo[3.3.1]nonane (9-BBN), diisobutylaluminum hydride (DIBAL), lithium borohydride (LiBH₄), potassium borohydride (KBH₄), or sodium bis(2-methoxyethoxy)aluminumhydride (Red-Al). In a more specific embodiment, the reducing agent is sodium borohydride.

In one embodiment, excess amount of the reducing agent relative to the compound of formula (IV) or (IVA) can be used. For example, 1.1 to 10 equivalents, 1.5 to 5 equivalents, 2.0 to 4.0 equivalents, or 2.5 to 3.5 equivalents of the reducing agent can be used for every 1 equivalent of the compound of formula (IV) or (IVA).

The reduction reaction of step a) can be carried out in a suitable solvent or solvent mixtures described herein. In one embodiment, the reaction is carried out in the mixture of THF and ethanol.

The reduction reaction can be carried out at a suitable temperature, for example, at a temperature between 0 °C to 50 °C, between 0 °C to 30 °C, or between 10 °C to 25 °C. In one embodiment, the reduction reaction is carried out at room temperature or 20 °C.

5 In a 14th specific embodiment, for the method described in the 12th or 13th specific embodiment, the oxidizing agent in the reaction of step b) is Dess–Martin periodinane (DMP), 2-iodoxybenzoic acid, Collins reagent (CrO₃•Py₂), pyridinium dichromate (PDC), pyridinium chlorochromate (PCC), tetrapropylammonium perruthenate (TPAP)/*N*-methylmorpholine *N*-oxide (NMO), (2,2,6,6-tetramethylpiperidin-1-yl)oxyl (TEMPO)/NaClO, DMSO/oxalyl chloride, DMSO/carbodiimide or DMSO/SO₃•Py. In a
10 more specific embodiment, the oxidizing agent is DMP.

In one embodiment, excess amount of the oxidizing agent relative to the compound of formula (V) can be used. For example, 1.01 to 10 equivalent, 1.01 to 5 equivalent, 1.05 to 2.0 equivalent, or 1.1 to 1.5 equivalent of the oxidizing agent can be used for every 1 equivalent of the compound of formula (V).

15 The oxidation reaction of step b) can be carried out in a suitable solvent or solvent mixtures described herein. In one embodiment, the reaction is carried out in dichloromethane.

The oxidation reaction can be carried out at a suitable temperature, for example, at a temperature between 0 °C to 50 °C, between 0 °C to 30 °C, or between 10 °C to 25 °C. In
20 one embodiment, the oxidation reaction is carried out at room temperature or 20 °C.

COMPOUNDS OF THE INVENTION

The present invention also provides compounds described herein. In one embodiment, the present invention is directed to compounds of formula (IV), (IVA), (V) or (VA) or a salt thereof.

25 All references cited herein and in the examples that follow are expressly incorporated by reference in their entirety.

EXAMPLES

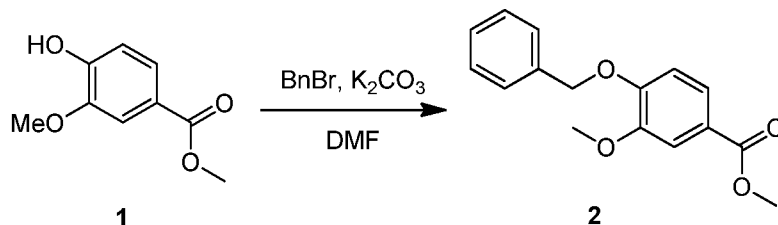
The invention will now be illustrated by reference to non-limiting examples. Unless otherwise stated, all percentages, ratios, parts, *etc.* are by weight.

30 The following solvents, reagents, protecting groups, moieties and other designations may be referred to by their abbreviations in parenthesis:

- aq = aqueous
Bn = benzyl
BnBr = benzyl bromide
CH₃CN = acetonitrile
- 5 DCM or CH₂Cl₂ = dichloromethane
DMF = dimethylformamide
DMP = Dess–Martin periodinane
EtOAc = ethylacetate
Et₃N = triethylamine
- 10 g = grams
h = hour
HPLC = high-performance liquid chromatography
LC = liquid chromatography
LCMS = liquid chromatography mass spectrometry
- 15 min = minutes
mg = milligrams
mL = milliliters
mmol = millimoles
mol = moles
- 20 Me = methyl
MeOH = methanol
MS = mass spectrometry
NMR = nuclear magnetic resonance spectroscopy
RT or rt = room temperature (ambient, about 25 °C)
- 25 sat or sat'd = saturated
SFC = supercritical fluid chromatography
THF = tetrahydrofuran
TLC = thin layer chromatography

Example 1. Synthesis of Compound IIIA

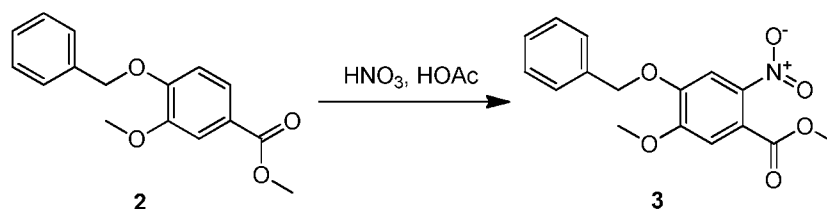
Step 1:



To a solution of compound **1** (500 g, 2.74 mol) in DMF (2.50 L) was added K_2CO_3 (757.39 g, 5.48 mol) in one portion, following BnBr (702.93 g, 4.11 mol, 488.15 mL) was added to the mixture in portions, the mixture was stirred at 20 °C for 72 h. TLC (petroleum ether: ethyl acetate = 5:1) showed that the reaction was completed. The mixture was quenched by pouring into ice-water (3 L) and the precipitated solid was collected by filtration, the filter cake was triturated with petroleum (500 mL x 2). Filtered and dried under vacuum to give compound **2** (1.00 kg, crude) as a white solid which was used in the next step without any further purification.

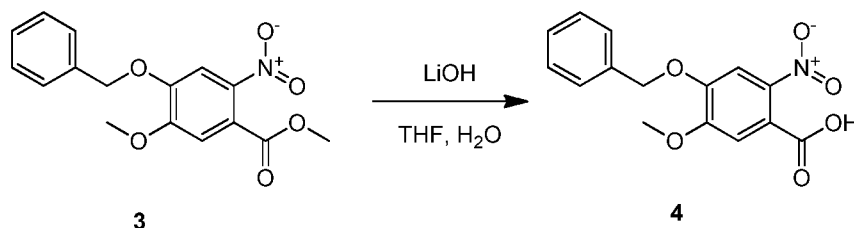
^1H NMR: (CDCl_3 400MHz): δ 7.62-7.59 (dd, $J_1 = 2.0$ Hz, $J_2 = 8.4$ Hz, 1H), 7.57-7.56 (d, $J = 2.0$ Hz, 1H), 7.44-7.26 (m, 5H), 6.91-6.89 (d, $J = 8.4$ Hz, 1H), 5.21 (s, 2H), 3.94 (s, 3H), 3.88 (s, 3H).

Step 2:



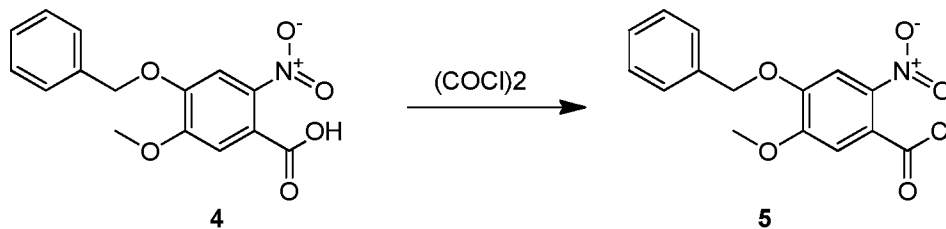
To a solution of compound **2** (200 g, 734.48 mmol) in CH_3COOH (1.0 L) was slowly added HNO_3 (92.56 g, 1.47 mol, 66.11 mL) at 0-10 °C cooling with an ice bath. After the addition, concentrated H_2SO_4 (108.06 g, 1.10 mol, 58.73 mL) was drop wise added to the mixture at 0-10 °C until yellow solid precipitated. The mixture was then allowed to be warmed to 20 °C and stirred for 3 h. TLC (petroleum ether: ethyl acetate = 5:1) showed that the reaction was completed. The mixture was slowly poured into a stirred ice-water (3 L) to give a slurry. The solid was collected by filtration. Dried under vacuum to give compound **3** (250 g, crude) as a yellow solid.

Step 3:



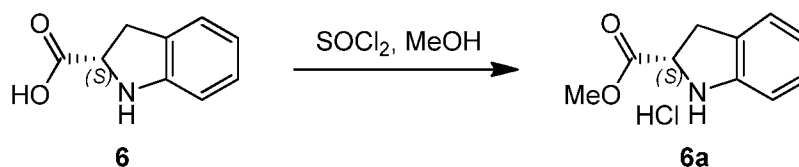
To a solution of compound **3** (2.50 kg, 7.93 mol) in THF (20 L) and H₂O (20 L) was added LiOH·H₂O (332.68 g, 7.93 mol) in one portion at 20 °C to give a suspension, the reaction was stirred at this temperature for 16 h. TLC (petroleum ether: ethyl acetate = 2:1) showed that the reaction was completed. The solvent was evaporated under vacuum to remove THF. The residue was acidified with 2N HCl until pH = 2 to give a yellow precipitated. The solid was collected by filtration, washed with H₂O (10 L), the filtrate cake was dissolved in CH₂Cl₂ (15L) and THF (3L), separated to removed H₂O, dried over Na₂SO₄, concentrated under high vacuum to give compound **4** (1.5 kg, 62.39% yield) as a yellow solid. ¹H NMR: (DMSO-d₆ 400MHz): δ 7.69 (s, 1H), 7.47-7.36 (m, 5H), 7.30 (s, 1H), 5.23 (s, 2H), 3.91 (s, 3H).

Step 4:



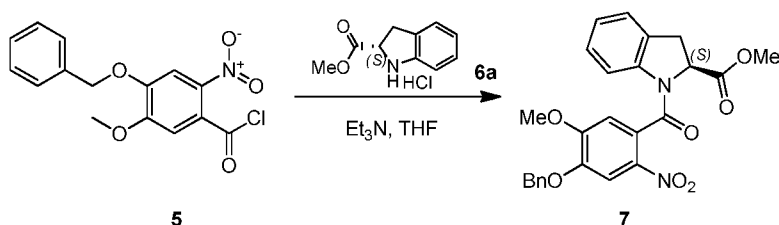
To a solution of compound **4** (500 g, 1.65 mol) in CH₂Cl₂ (2.0 L) and THF (500 mL) was added DMF (6.03 g, 82.50 mmol), cooled with ice-water bath to 0-10 °C. (COCl)₂ (418.54 g, 3.30 mol, 288.65 mL) was drop wise added to the mixture maintaining the temperature between 0-10 °C. The mixture was then warmed to 20 °C and stirred at this temperature for 16 h. TLC (petroleum ether: ethyl acetate = 2:1) showed that the reaction was completed. The solvent was removed under vacuum to give compound **5** (550 g, crude) as a yellow solid.

Step 5:



To a mixture of compound **6** (1.00 kg, 6.13 mol) in MeOH (10 L) was added SOCl₂ (1.46 kg, 12.26 mol, 889.37 mL) drop wise at 0 °C. After the addition, the result mixture was stirred at 25 °C for 16 h. TLC (petroleum ether: ethyl acetate = 1:1, R_f = 0.47) showed the reaction was completed. 5 batches of such reaction mixture were combined and concentrated under reduced pressure to dryness. Compound **6a** (5.21 kg, 89.50% yield, HCl) was obtained as grey solid.

Step 6:

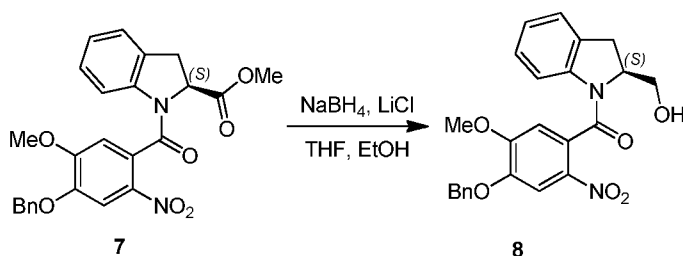


A solution of compound **6a** (2.71 kg, 12.68 mol) in THF (8.0 L) was cooled to 0-10 °C, added Et₃N (4.01 kg, 39.63 mol, 5.49 L) in portions maintaining the temperature below 10 °C. The mixture was stirred at this temperature for 30 min, a solution of compound **5** (4.40 kg, 13.21 mol) in THF (16.0 L) was added dropwise at 0-10 °C. After the addition, the result reaction mixture was allowed to be warmed to 20 °C and stirred for 16 h. TLC (petroleum ether: ethyl acetate = 2:1) showed that the reaction was completed. The mixture was quenched with H₂O (10 L), THF was removed under vacuum, the aqueous layer was extracted with EtOAc (10 L x 3), the combined organic layers were and washed with brine (10 L), dried over Na₂SO₄, concentrated to give a black brown oil. The oil was recrystallized with MeOH (15 L), filtered and dried under vacuum to give compound **7** (3.38 kg, 55.34% yield) as a yellow solid.

¹H NMR: (DMSO-*d*₆, 400 MHz): δ 8.12-7.91 (m, 1H), 7.52-6.89 (m, 8H), 5.33-5.29 (m, 2H), 5.1-4.7 (m, 1H), 3.94-3.91 (m, 2H), 3.76-3.64 (m, 2H), 3.61 (s, 3H), 3.19-3.13 (m, 1H).

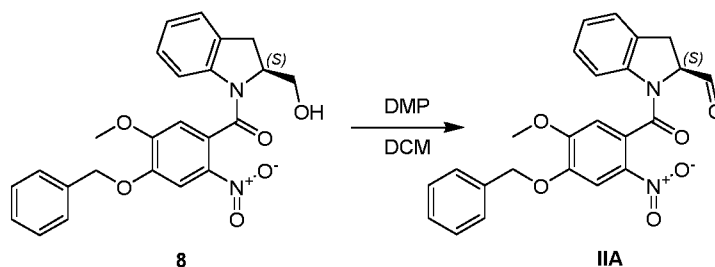
HPLC: 99.16%. **LC-MS:** MS (ESI, *m/z*): 485.1 (M + 23)⁺. **SFC:** 100%ee

Step 7:



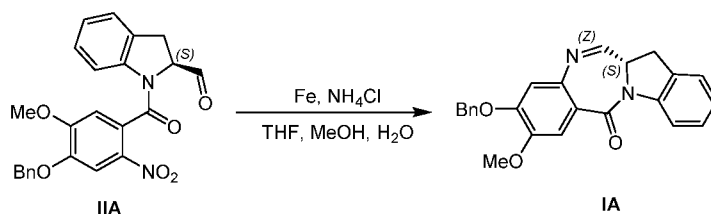
To a mixture of THF (17 L) and EtOH (17 L) was added compound **7** (1.69 kg, 3.65 mol), cooled to 0-10 °C. LiCl (309.45 g, 7.30 mol) was added to the mixture in one portion. NaBH₄ (345.20 g, 9.13 mol) was added portionwise to the mixture maintaining the temperature at 0-10 °C. After the addition, the mixture was warmed to 20 °C and stirred for 16 h. TLC (petroleum ether: ethyl acetate = 1:1) showed that the reaction was completed. The mixture was poured into H₂O (10 L), the solvent was evaporated under vacuum to remove most of THF and EtOH. The residue was extracted with CH₂Cl₂ (10 L x 3), the organic layers were combined and dried over Na₂SO₄, concentrated to give a yellow solid. The crude product was purified on silica gel by column chromatography (eluted from petroleum ether/ethyl acetate/dichloromethane = 50/12/1 to dichloromethane/methanol = 10/1) to give a yellow solid. The solid was triturated with EtOAc (5 L) for 16 h. Filtrated and dried under vacuum to give compound **8** (2.11 kg, 66.21% yield) as a yellow solid. ¹H NMR: (DMSO-*d*₆, 400 MHz): δ 8.04-7.85 (m, 2H), 7.51-7.36 (m, 7H), 7.32-7.22 (m, 2H), 7.12-7.09 (m, 1H), 6.96-6.80 (m, 1H), 5.31-5.25 (m, 2H), 5.03-4.79 (m, 2H), 3.98-3.95 (m, 3H), 3.32-2.95 (m, 4H). HPLC: 98.51%. LCMS: (ESI, m/z): 457 (M + H)⁺. SFC: 100%ee.

Step 8:



To a solution of compound **8** (4.30 kg, 9.90 mol) in DCM (25 L) was added NaHCO₃ (557.11 g, 6.63 mol) in one portion. DMP (5.04 kg, 11.88 mol) was added in portions and the result mixture was stirred at 20 °C for 16 h. TLC (petroleum ether: ethyl acetate = 1:1) showed that the reaction was completed. The mixture was poured into sat. Na₂S₂O₃ (7.5 kg in 25 L) to consume the excess DMP. The solvent was separated and the aq. layer was extracted with DCM (5 L x 3), the combined organic layers were washed with brine (10 L x 3), separated and dried over Na₂SO₄, concentrated to give black brown oil. The crude oil was triturated with EtOAc (5 L). Filtrated and dried under vacuum to give compound **IIA** (3.50 kg, 81.76% yield) as a yellow solid. ¹H NMR: (CDCl₃, 400 MHz): δ 10.00-9.44 (m, 1H), 7.92-7.77 (m, 2H), 7.49-6.82 (m, 9H), 5.77-5.22 (m, 3H), 4.12-3.93 (m, 3H), 3.50-3.25 (m, 2H). HPLC: 92.15%. SFC: 100%ee.

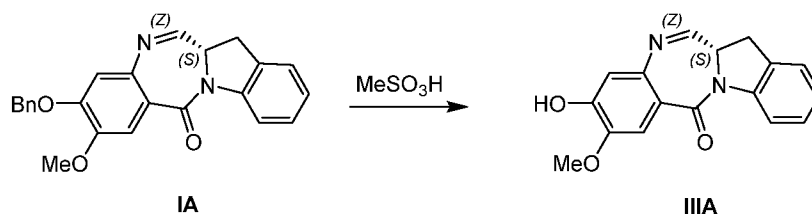
Step 9:



To a solution of compound **IIA** (1.10 kg, 2.54 mol) in THF (3.30 L), MeOH (16.50 L) and H₂O (3.30 L) was added NH₄Cl (1.36 kg, 25.40 mol) in one portion at 20 °C. Fe (425.58 g, 7.62 mol) was added portion wise to the mixture at 20 °C. After the addition, the mixture was heated to 65 °C and stirred under N₂ atmosphere for 48 h. HPLC showed that the reaction was completed. The mixture was cooled to 20 °C and poured into DCM (10 L) to give a black suspension, filtrated on celite; the filtrate was evaporated under high vacuum to remove THF and MeOH. The filter cake was dispersed in DCM (15 L x 2) and stirred at 45 °C for 1 h. Filtrated and the filtrate was combined with the a.q. layer. The combined layers were washed with H₂O (10 L), brine (10 L), separated and dried over Na₂SO₄, concentrated to give a brown solid. The solid was recrystallized in DCM/EtOH (1/1, 5 L) overnight, filtrated and dried under vacuum to give compound **IA** (720 g, 71.63% yield, 97.15% purity) as a brown solid. The crude was re-crystallized following below procedure. 300 g crude was dissolved in toluene (1.20 L) and heated to 80 °C rapidly, the mixture was stirred at 80 °C for 10 min and filtrated when hot, CH₃CN (1.80 L) was slowly added to the mixture within 10 min and large amount solid precipitated, the temperature was cooled to 60 °C after the addition. The mixture was cooled to 20 °C, stirred at this temperature for 16 h. Two batches of such solid was filtrated and washed with CH₃CN (200 mL x 3), dried under high vacuum to give compound **IA** (465.85 g, 1.21 mol, 77.64% yield) as an off white solid.

¹H NMR: (CDCl₃, 400 MHz) : δ 8.28-8.26 (d, J = 8.0 Hz, 1H), 7.86-7.85 (d, J = 4.0 Hz, 1H), 7.58 (s, 1H), 7.47-7.25 (m, 7H), 7.13-7.09 (m, 1H), 6.87 (s, 1H), 5.27-5.19 (m, 2H), 4.49-4.44 (m, 1H), 3.98 (s, 3H), 3.74-3.67 (m, 1H), 3.51-3.46 (m, 1H). **HPLC purity:** 96.38 %.

Step 10:



To a 100 mL round-bottom flask charged with methanesulfonic acid (67.50 g, 702.32 mmol, 50.0 mL) was added compound **IA** (5.0 g, 13.01 mmol, 18 batches) in one portion, the mixture was stirred at 20 °C for 1h. TLC (petroleum ether: ethyl acetate = 1:1) showed that the reaction was completed. The mixture was poured into sat. CH₃COONa (8 kg in 40 L water) to adjust pH = 6-7 with yellow solid precipitated. The solid was collected by filtration to give compound **IIIA** (60.00 g, crude) as a yellow solid. The crude was re-crystallized following below procedure. (55 g, 374.76 mmol, 2 batches) was dissolved into 5 L DCE (heated to 100 °C), filtrated while hot, the filtrate was concentrated under vacuum to give a yellow solid. The solid was re-dissolved into DCM (500 mL), H₂O (500 mL) was added to the solution and large amount of yellow solid was precipitated out, filtrated and the filter cake was triturated with CH₃CN (500 mL) for 1 h. Filtrated and dried under high vacuum to give compound **IIIA** (90.0 g, 81.82% yield) as an off white solid. ¹H NMR: (CDCl₃ 400MHz): δ 8.30-8.28 (d, *J* = 8.0 Hz, 1H), 7.91-7.90 (d, *J* = 4.0 Hz, 1H), 7.58 (s, 1H), 7.32-7.28 (m, 2H), 7.15-7.11 (m, 1H), 6.94 (s, 1H), 6.16 (s, 1H), 4.52-4.47 (m, 1H), 4.00 (s, 3H), 3.76-3.69 (m, 1H), 3.55-3.50 (m, 1H). HPLC: 96.79%. SFC: 100%ee.

Example 2. Debenzylation of Compound IA

To a suspension of (12aS)-9-benzyloxy-8-methoxy-12a,13-dihydroindolo[2,1-c][1,4]benzodiazepin-6-one (compound **IA**, 80 g, 188.02 mmol) and DMSO (1.32 g, 16.92 mmol, 1.32 mL, 0.09 *eq*) in EtOH (200mL) were added Pd/Alox (40.02 g, 18.80 mmol, 5% purity, 0.10 *eq*) and cyclohexa-1,4-diene (25 g, 312.12 mmol, 29.42 mL, 1.66 *eq*) at 25 °C under N₂ atmosphere. After the addition, the reaction was heated to 50 °C and stirred at 50 °C for 2 h under N₂ atmosphere. HPLC analysis showed the starting material was consumed completely.

After cooling, the reaction mixture was filtered and the filter cake was washed with EtOH (100 mL x 4). The combined 2 batches of filtrate was concentrated under reduced pressure to give a residue.

The residue was dissolved in EtOAc (160 mL) and stirred at 25 °C for 15 min. Then, H₂O (5 mL) was slowly added to the mixture within 5 min and a large amount of solid precipitated. After addition, the reaction mixture was stirred at 25 °C for 120hr. The solid was filtered and washed with EtOAc (50 mL x 2) dried under high vacuum to give compound **IIIA** as a white solid (105 g, 337.87 mmol, 89.85% yield, 94.7% purity).

A suspension of compound **IIIA** (5.0 g, 17.0 mmol, 1.0 *eq*) in dichloroethane (75 mL) was heated to 100 °C and stirred at 100 °C for 1 h under N₂ atmosphere. After cooling to 25 °C, the suspension was filtered and washed with dichloroethane (20 mL x 2) dried under high vacuum to give compound **IIIA** (3.5 g, 75.0% yield) as a white solid.

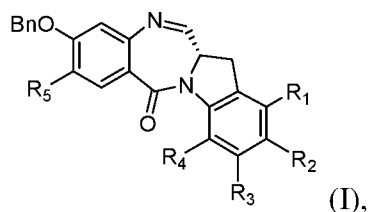
5 In another experiment, compound **IA** (5.09 g, 1.0 *eq*) was suspended in EtOH (50.9 mL, 10 V) and DMSO (87 µL, 0.017 V) was added. 5% Pd/Alox was charged followed by adding cyclohexa-1,4-diene (1.7 mL, 1.5 *eq*) dropwise at room temperature. The reaction mixture was heated at 50 °C for 1 hour. After cooling down the reaction mixture, another portion of cyclohexa-1,4-diene (1.7 mL, 1.5 *eq*) was added and the mixture was allowed to
10 warm up to 50 °C for 1 hour. After cooling down the reaction mixture, another portion of cyclohexa-1,4-diene (1.7 mL, 1.5 *eq*) was added and the mixture was allowed to warm up to 50 °C for 1 hour. The reaction mixture was cooled to room temperature and filtered over 0.45 µm filter cartridge and rinsed with EtOH (3 x 10 V). The organic solution was concentrated to dryness to afford a yellow foam. The foam was dissolved in EtOAc (10 V)
15 and stored below -15 °C. To the EtOAc solution of crude compound **IIIA** (4.04 g) was added water (0.3 V) and the resulting mixture was stirred at 20 °C. The product precipitated out of the solution rapidly and the resulting thin yellow suspension was stirred. Filtration, rinsing with EtOAc (2 V) and drying under vacuum at 30 °C to give the final product (2.7 g, 97% purity).

Example 3. Reduction of Compound **IIIA**

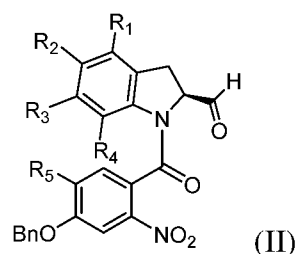
Compound **IIIA** (23.25 g, 1 *eq*) was suspended in THF (233 mL, 10 V). BH₃•THF (1.05 M, 90.3 mL, 1.2 *eq*) was slowly added at ~21 °C. The reaction mixture was stirred at
25 20 °C±5 °C for 1 h. The reaction mixture was quenched by addition of saturated NH₄Cl (5 V) followed by water (5 V). The organic phases were washed with 15% NaCl (2 x 5 V). The organic layer was then diluted with Me-THF (40 V) and washed with water (5 V). The organic layer was isolated and concentrated under vacuum down to 10 V. Co-evaporation with MeTHF was carried out to remove water (3 x 10 V). The resulting suspension was
30 concentrated down to 5 V, followed by addition of heptane (40 V). The resulting slurry was stirred at 20 °C, then filtered, rinsed with heptane and dried under vacuum at 30 °C to yield pale yellow solid (19.5 g, 99.14% purity).

CLAIMS

1. A method of preparing a compound of formula (I):



or a salt thereof, comprising reacting the compound of formula (II):



or a salt thereof, with Fe in the presence of NH_4Cl , wherein:

R_1 , R_2 , R_3 , and R_4 are each independently selected from -H, an optionally substituted linear, branched or cyclic alkyl, alkenyl or alkynyl having from 1 to 10 carbon atoms, - $(\text{XCH}_2\text{CH}_2)_n\text{-R}^c$, halogen, - $\text{NH}(\text{C}=\text{NH})\text{NH}_2$, -OR, - $\text{NR}'\text{R}''$, -NCO, - $\text{NR}'\text{COR}''$, -SR, -SOR', - $\text{SO}_2\text{R}'$, - SO_3H , - OSO_3H , - $\text{SO}_2\text{NR}'\text{R}''$, cyano, azido, -COR', -OCOR', and -OCONR'R'';

X is selected from O, NH and S;

R_5 is -H, -R, -OR, -SR, - $\text{NR}'\text{R}''$, or halogen;

R, for each occurrence, is independently selected from -H, an optionally substituted linear, branched or cyclic alkyl, alkenyl or alkynyl having from 1 to 10 carbon atoms, a polyethylene glycol unit $-(\text{CH}_2\text{CH}_2\text{X})_n\text{-R}^c$, an optionally substituted aryl having 6 to 18 carbon atoms, an optionally substituted 5- to 18-membered heteroaryl ring containing one or more heteroatoms independently selected from nitrogen, oxygen, and sulfur, or an optionally substituted 3- to 18-membered heterocyclic ring containing 1 to 6 heteroatoms independently selected from O, S, N and P;

R' and R'' are each independently selected from -H, -OH, -OR, -NHR, - NR_2 , -COR, an optionally substituted linear, branched or cyclic alkyl, alkenyl or alkynyl having from 1 to 10 carbon atoms, a polyethylene glycol unit $-(\text{CH}_2\text{CH}_2\text{X})_n\text{-R}^c$, and an optionally substituted 3- to 18-membered heterocyclic ring having 1 to 6 heteroatoms independently selected from O, S, N and P;

R^c is -H or a substituted or unsubstituted linear or branched alkyl having 1 to 4 carbon atoms; and

n is an integer from 1 to 24.

2. The method of claim 1, wherein the reaction is carried out in a solvent selected from tetrahydrofuran (THF), 2-methyltetrahydrofuran (MeTHF), N-methyl-2-pyrrolidone (NMP), methanol, ethanol, isopropanol, dichloromethane, dichloroethane, acetonitrile, dimethylformamide (DMF), dimethylacetamide (DMA), cyclopentyl methyl ether (CPME), ethyl acetate, water, and a combination thereof.

3. The method of claim 2, wherein the reaction is carried out in a mixture of water and one or more organic solvents.

4. The method of any one of claims 1-3, wherein the reaction is carried out in a mixture of THF, methanol and water.

5. The method of any one of claims 1-4, wherein the reaction is carried out at a temperature between 40 °C and 90 °C.

6. The method of claim 5, wherein the reaction is carried out at a temperature between 50 °C and 80 °C.

7. The method of claim 6, wherein the reaction is carried out at a temperature between 60 °C and 70 °C.

8. The method of claim 7, wherein the reaction is carried out at 65 °C.

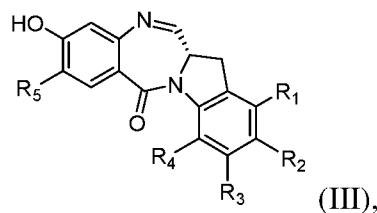
9. The method of any one of claims 1-8, wherein the reaction is carried out for 1 hour to 1 week.

10. The method of claim 9, wherein the reaction is carried out for 24 hours to 72 hours.

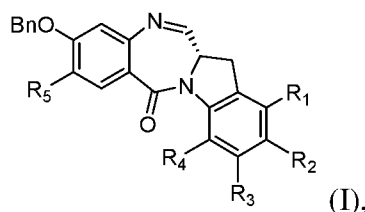
11. The method of claim 9, wherein the reaction is carried out for 48 hours.

12. The method of any one of claims 1-11, wherein the reaction is carried out under an inert atmosphere.

13. The method of claim 12, wherein the reaction is carried out under N₂ atmosphere.
14. The method of any one of claims 1-13, wherein the compound of formula (I) is purified by one or more precipitations, re-crystallizations or a combination thereof.
15. The method of claim 14, wherein the compound of formula (I) is re-crystallized or precipitated in a mixture of dichloromethane and ethanol.
16. The method of claim 15, wherein the volume ratio of dichloromethane and ethanol is 1:1.
17. The method of any one of claims 14-16, wherein the compound of formula (I) is further purified by re-crystallization or precipitation.
18. The method of claim 17, wherein the compound of formula (I) is re-crystallized or precipitated in a mixture of toluene and acetonitrile.
19. The method of claim 18, wherein the compound of formula (I) is re-crystallized by dissolving the compound in toluene at an elevated temperature and addition of acetonitrile.
20. The method of claim 19, wherein the compound of formula (I) is re-crystallized by dissolving the compound in toluene at 80 °C, followed by filtration and addition of acetonitrile.
21. A method of preparing a compound of formula (III):



or a salt thereof, comprising reacting the compound of formula (I):



or a salt thereof, with a hydrogenation reagent in the presence of a palladium catalyst, wherein:

R_1 , R_2 , R_3 , and R_4 are each independently selected from the group consisting of -H, an optionally substituted linear, branched or cyclic alkyl, alkenyl or alkynyl having from 1 to 10 carbon atoms, $-(CH_2CH_2X)_n-R^c$, halogen, $-NH(C=NH)NH_2$, -OR, $-NR'R''$, -NCO, $-NR'COR''$, -SR, -SOR', $-SO_2R'$, $-SO_3H$, $-OSO_3H$, $-SO_2NR'R''$, cyano, azido, $-COR'$, $-OCOR'$, and $-OCONR'R''$;

X is selected from O, NH and S;

R_5 is -H, -R, -OR, -SR, $-NR'R''$, or halogen;

R, for each occurrence, is independently selected from the group consisting of -H, an optionally substituted linear, branched or cyclic alkyl, alkenyl or alkynyl having from 1 to 10 carbon atoms, a polyethylene glycol unit $-(CH_2CH_2X)_n-R^c$, an optionally substituted aryl having 6 to 18 carbon atoms, an optionally substituted 5- to 18-membered heteroaryl ring containing one or more heteroatoms independently selected from nitrogen, oxygen, and sulfur, or an optionally substituted 3- to 18-membered heterocyclic ring containing 1 to 6 heteroatoms independently selected from O, S, N and P;

R' and R'' are each independently selected from -H, -OH, -OR, -NHR, $-NR_2$, -COR, an optionally substituted linear, branched or cyclic alkyl, alkenyl or alkynyl having from 1 to 10 carbon atoms, a polyethylene glycol unit $-(CH_2CH_2X)_n-R^c$, and an optionally substituted 3- to 18-membered heterocyclic ring having 1 to 6 heteroatoms independently selected from O, S, N and P;

R^c is -H or a substituted or unsubstituted linear or branched alkyl having 1 to 4 carbon atoms; and

n is an integer from 1 to 24.

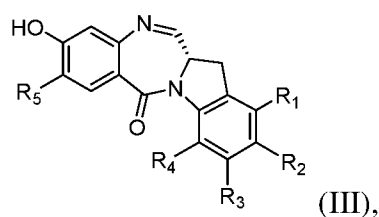
22. The method of claim 21, wherein the palladium catalyst is a Pd/Alox catalyst.

23. The method of claim 22, wherein the palladium catalyst is 5 wt% Pd/Alox.

24. The method of claim 21, wherein the palladium catalyst is a Pd/C catalyst.

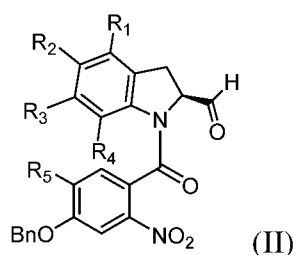
25. The method of any one of claims 21-24, wherein 0.05 to 0.5 equivalent of Pd is used for every 1 equivalent of the compound of formula (I).
26. The method of claim 25, wherein 0.075 to 0.15 equivalent of Pd is used for every 1 equivalent of the compound of formula (I).
27. The method of claim 25, wherein 0.09 or 0.1 equivalent of Pd is used for every 1 equivalent of compound of formula (I).
28. The method of any one of claims 21-27, wherein the hydrogenation reagent is 1,4-cyclohexadiene.
29. The method of claim 28, wherein 1.0 to 5.0 equivalent of 1,4-cyclohexadiene is used for every 1 equivalent of the compound of formula (I).
30. The method of claim 28, wherein 1.1 to 2.0 equivalent of 1,4-cyclohexadiene is used for every 1 equivalent of the compound of formula (I).
31. The method of claim 28, wherein 1.3 to 1.8 equivalent of 1,4-cyclohexadiene is used for every 1 equivalent of the compound of formula (I).
32. The method of claim 28, wherein 1.5 to 1.7 equivalent of 1,4-cyclohexadiene is used for every 1 equivalent of the compound of formula (I).
33. The method of claim 21, wherein the method comprises reacting the compound of formula (1) with 1,4-cyclohexadiene in the presence of 5 wt.% Pd/Alox, and wherein 1.5 to 1.7 equivalent of 1,4-cyclohexadiene and 0.075 to 0.15 equivalent of Pd are used for every 1 equivalent of the compound of formula (I).
34. The method of any one of claims 21-33, wherein the reaction is carried out in a solvent or a mixture of solvents.
35. The method of claim 34, wherein the solvent is selected from dimethylsulfoxide (DMSO), tetrahydrofuran (THF), 2-methyltetrahydrofuran (MeTHF), N-methyl-2-pyrrolidone (NMP), methanol, ethanol, isopropanol, dichloromethane, dichloroethane, acetonitrile, dimethylformamide (DMF), dimethylacetamide (DMA), cyclopentyl methyl ether (CPME), ethyl acetate, water, and a combination thereof.

36. The method of claim 35, wherein the reaction is carried out in a mixture of DMSO and ethanol.
37. The method of any one of claims 21-36, wherein the reaction is carried out at a temperature between 30 °C and 90 °C.
38. The method of claim 37, wherein the reaction is carried out at a temperature between 40 °C and 70 °C.
39. The method of claim 37, wherein the reaction is carried out at a temperature between 40 °C and 60 °C.
40. The method of claim 37, wherein the reaction is carried out at a temperature between 45 °C and 55 °C.
41. The method of claim 37, wherein the reaction is carried out at 50 °C.
42. A method of preparing a compound of formula (III):

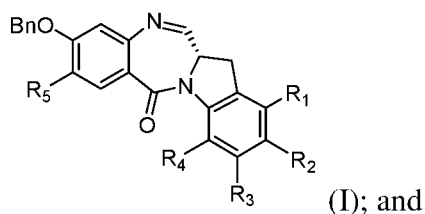


or a salt thereof, comprising the steps of:

- a) reacting the compound of formula (II):



with Fe in the presence of NH₄Cl to form a compound of formula (I):



b) reacting the compound of formula (I) with a hydrogenation reagent in the presence of a palladium catalyst to form the compound of formula (III), wherein:

R_1 , R_2 , R_3 , and R_4 are each independently selected from the group consisting of -H, an optionally substituted linear, branched or cyclic alkyl, alkenyl or alkynyl having from 1 to 10 carbon atoms, $-(CH_2CH_2X)_n-R^c$, halogen, $-NH(C=NH)NH_2$, -OR, -NR'R'', -NCO, -NR'COR'', -SR, -SOR', -SO₂R', -SO₃H, -OSO₃H, -SO₂NR'R'', cyano, azido, -COR', -OCOR', and -OCONR'R'';

X is selected from O, NH and S;

R_5 is -H, -R, -OR, -SR, -NR'R'', or halogen;

R, for each occurrence, is independently selected from the group consisting of -H, an optionally substituted linear, branched or cyclic alkyl, alkenyl or alkynyl having from 1 to 10 carbon atoms, a polyethylene glycol unit $-(CH_2CH_2X)_n-R^c$, an optionally substituted aryl having 6 to 18 carbon atoms, an optionally substituted 5- to 18-membered heteroaryl ring containing one or more heteroatoms independently selected from nitrogen, oxygen, and sulfur, or an optionally substituted 3- to 18-membered heterocyclic ring containing 1 to 6 heteroatoms independently selected from O, S, N and P;

R' and R'' are each independently selected from -H, -OH, -OR, -NHR, -NR₂, -COR, an optionally substituted linear, branched or cyclic alkyl, alkenyl or alkynyl having from 1 to 10 carbon atoms, a polyethylene glycol unit $-(CH_2CH_2X)_n-R^c$, and an optionally substituted 3- to 18-membered heterocyclic ring having 1 to 6 heteroatoms independently selected from O, S, N and P;

R^c is -H or a substituted or unsubstituted linear or branched alkyl having 1 to 4 carbon atoms; and

n is an integer from 1 to 24.

43. The method of claim 42, wherein the solvent is selected from tetrahydrofuran (THF), 2-methyltetrahydrofuran (MeTHF), N-methyl-2-pyrrolidone (NMP), methanol, ethanol, isopropanol, dichloromethane, dichloroethane, acetonitrile, dimethylformamide (DMF), dimethylacetamide, cyclopentyl methyl ether (CPME), ethyl acetate, water, and a combination thereof.

44. The method of claim 43, wherein the reaction of step (a) is carried out in a mixture of water and one or more organic solvents.

45. The method of any one of claims 42-44, wherein the reaction of step (a) is carried out in a mixture of THF, methanol and water.

46. The method of any one of claims 42-45, wherein the reaction of step (a) is carried out at a temperature between 40 °C and 90 °C.

47. The method of claim 46, wherein the reaction of step (a) is carried out at a temperature between 50 °C and 80 °C.

48. The method of claim 47, wherein the reaction of step (a) is carried out at a temperature between 60 °C and 70 °C.

49. The method of claim 48, wherein the reaction of step (a) is carried out at 65 °C.

50. The method of any one of claims 42-49, wherein the reaction of step (a) is carried out for 1 hour to 1 week.

51. The method of claim 50, wherein the reaction of step (a) is carried out for 24 hours to 72 hours.

52. The method of claim 51, wherein the reaction of step (a) is carried out for 48 hours.

53. The method of any one of claims 42-52, wherein the reaction of step (a) is carried out under an inert atmosphere.

54. The method of claim 53, wherein the reaction of step (a) is carried out under N₂ atmosphere.

55. The method of any one of claims 42-54, wherein the compound of formula (I) is purified by one or more precipitations, re-crystallizations or a combination thereof before the reaction of step (b) is carried out.

56. The method of claim 55, wherein the compound of formula (I) is re-crystallized or precipitated in a mixture of dichloromethane and ethanol.
57. The method of claim 56, wherein the volume ratio of dichloromethane and ethanol is 1:1.
58. The method of any one of claims 55-57, wherein the compound of formula (I) is further purified by re-crystallization or precipitation.
59. The method of claim 58, wherein the compound of formula (I) is re-crystallized or precipitated in a mixture of toluene and acetonitrile.
60. The method of claim 59, wherein the compound of formula (I) is re-crystallized by dissolving the compound in toluene at an elevated temperature and addition of acetonitrile.
61. The method of claim 59, wherein the compound of formula (I) is re-crystallized by dissolving the compound in toluene at 80 °C followed by filtration and addition of acetonitrile.
62. The method of any one of claims 42-61, wherein the palladium catalyst in step (b) is a Pd/Alox catalyst.
63. The method of claim 62, wherein the palladium catalyst in step (b) is 5 wt% Pd/Alox.
64. The method of any one of claims 42-61, wherein the palladium catalyst is a Pd/C catalyst.
65. The method of any one of claims 62-64, wherein 0.05 to 0.2 equivalent of Pd is used for every 1 equivalent of the compound of formula (I).
66. The method of claim 65, wherein 0.075 to 0.15 equivalent of Pd is used for 1 equivalent of the compound of formula (I).
67. The method of claim 65, wherein 0.09 or 0.1 equivalent of Pd is used for 1 equivalent of the compound of formula (I).

68. The method of any one of claims 42-67, wherein the hydrogenation reagent in step (b) is 1,4-cyclohexadiene.

69. The method of claim 68, wherein 1.0 to 5.0 equivalent of 1,4-cyclohexadiene is used for every 1 equivalent of the compound of formula (I).

70. The method of claim 69, wherein 1.1 to 2.0 equivalent of 1,4-cyclohexadiene is used for every 1 equivalent of the compound of formula (I).

71. The method of claim 69, wherein 1.3 to 1.8 equivalent of 1,4-cyclohexadiene is used for every 1 equivalent of the compound of formula (I).

72. The method of claim 69, wherein 1.5 to 1.7 equivalent of 1,4-cyclohexadiene is used for every 1 equivalent of the compound of formula (I).

73. The method of any of claims 42-61, wherein the reaction of step (b) comprises reacting the compound of formula (I) with 1,4-cyclohexadiene in the presence of 5 wt.% Pd/Alox, and wherein 1.5 to 1.7 equivalent of 1,4-cyclohexadiene and 0.075 to 0.15 equivalent of Pd are used for every 1 equivalent of the compound of formula (I).

74. The method of any one of claims 42-73, wherein the reaction of step (b) is carried out in a solvent or a solvent mixture.

75. The method of claim 74, wherein the solvent is selected from tetrahydrofuran (THF), 2-methyltetrahydrofuran (MeTHF), N-methyl-2-pyrrolidone (NMP), methanol, ethanol, isopropanol, dichloromethane, dichloroethane, acetonitrile, dimethylformamide (DMF), dimethylacetamide, cyclopentyl methyl ether (CPME), ethyl acetate, water, and a combination thereof.

76. The method of claim 74, wherein the reaction is carried out in a mixture of DMSO and ethanol.

77. The method of any one of claims 42-76, wherein the reaction of step (b) is carried out at a temperature between 30 °C and 90 °C.

78. The method of claim 77, wherein the reaction is carried out at a temperature between 40 °C and 70 °C.

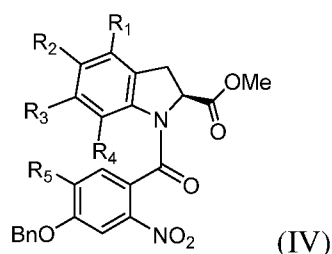
79. The method of claim 77, wherein the reaction is carried out at a temperature between 40 °C and 60 °C.

80. The method of claim 77, wherein the reaction is carried out at a temperature between 45 °C and 55 °C.

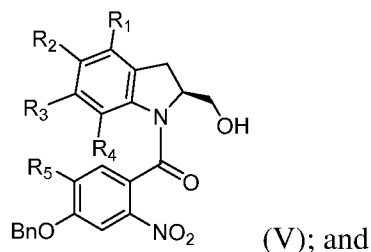
81. The method of claim 77, wherein the reaction is carried out at 50 °C.

82. The method of any one of claims 1-20 and 42-81, wherein the compound of formula (II) is prepared by a method comprising the following steps:

a) reducing the compound of formula (IV):



with a reducing agent to form the compound of formula (V):



b) oxidizing the compound of formula (V) with an oxidizing agent to form the compound of formula (II).

83. The method of claim 82, wherein the reducing agent is a hydride reducing agent.

84. The method of claim 82, wherein the reducing agent is sodium borohydride, sodium triacetoxy borohydride, sodium cyanoborohydride, lithium aluminum hydride, hydrogen gas, ammonium formate, borane, 9-borabicyclo[3.3.1]nonane (9-BBN), diisobutylaluminum hydride (DIBAL), lithium borohydride (LiBH₄), potassium borohydride (KBH₄), or sodium bis(2-methoxyethoxy)aluminumhydride (Red-Al).

85. The method of claim 82, wherein the reducing agent is sodium borohydride.

86. The method of any one of claims 82-85, wherein the oxidizing agent is Dess–Martin periodinane (DMP), 2-iodoxybenzoic acid, Collins reagent ($\text{CrO}_3 \cdot \text{Py}_2$), pyridinium dichromate (PDC), pyridinium chlorochromate (PCC), tetrapropylammonium perruthenate (TPAP)/*N*-methylmorpholine *N*-oxide (NMO), (2,2,6,6-tetramethylpiperidin-1-yl)oxyl (TEMPO)/NaClO, DMSO/oxalyl chloride, DMSO/carbodiimide or DMSO/ $\text{SO}_3 \cdot \text{Py}$.

87. The method of claim 86, wherein the oxidizing agent is DMP.

88. The method of any one of claims 1-87, wherein R_1 , R_2 , R_3 , and R_4 are each independently selected from the group consisting of -H, $(\text{C}_1\text{-C}_3)\text{alkyl}$, halogen, -OH, $(\text{C}_1\text{-C}_3)\text{alkoxy}$, and cyano.

89. The method of claim 88, wherein R_1 , R_2 , R_3 , and R_4 are all H.

90. The method of any one of claims 1-89, wherein R_5 is a $(\text{C}_1\text{-C}_3)\text{alkoxy}$.

91. The method of claim 90, wherein R_5 is -OMe.

92. The method of any one of claims 1-87, wherein R_1 , R_2 , R_3 , and R_4 are all H; and R_5 is -OMe.

INTERNATIONAL SEARCH REPORT

International application No
PCT/US2018/028253

A. CLASSIFICATION OF SUBJECT MATTER
INV. C07D487/04
ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
C07D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-Internal, WPI Data, CHEM ABS Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 2010/091150 A1 (IMMUNOGEN INC [US]; LI WEI [US]; FISHKIN NATHAN ELLIOTT [US]; ZHAO ROB) 12 August 2010 (2010-08-12)	21-41
A	page 104, synthesis of compound 7; page 106, synthesis of compound 8. claims 34-35	1-20, 42-92
X	----- WO 2012/128868 A1 (IMMUNOGEN INC [US]; LI WEI [US]; MILLER MICHAEL [US]; FISHKIN NATHAN []) 27 September 2012 (2012-09-27)	21-41
A	cited in the application example 3 ----- -/--	1-20, 42-92



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

5 July 2018

Date of mailing of the international search report

12/07/2018

Name and mailing address of the ISA/

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Authorized officer

Fanni, Stefano

INTERNATIONAL SEARCH REPORT

International application No
PCT/US2018/028253

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>KRISHNAMURTHY RAMADAS ET AL: "Iron-Ammonium Chloride - A Convenient and Inexpensive Reductant", SYNTHETIC COMMUNICATIONS, vol. 22, no. 22, 1 December 1992 (1992-12-01), pages 3189-3195, XP055490295, PHILADELPHIA, PA; US ISSN: 0039-7911, DOI: 10.1080/00397919208021132 the whole document -----</p>	1-92

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US2018/028253

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box No. III Observations where unity of invention is lacking (Continuation of Item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☒ As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/US2018/028253

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INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/US2018/028253

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		ZA 201305386 B	25-11-2015

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows: