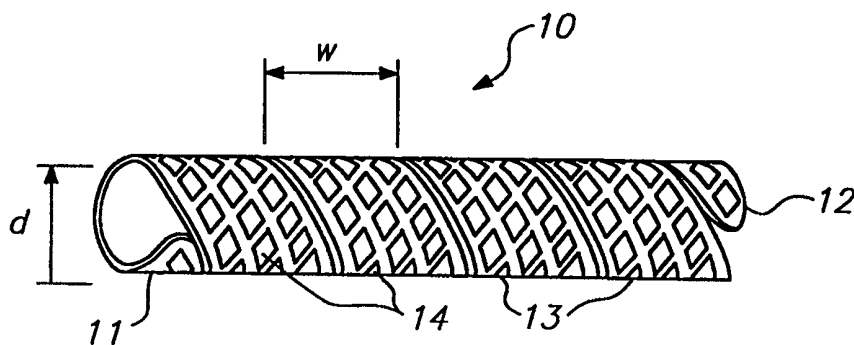


## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>A61F 2/06</b>	<b>A1</b>	(11) International Publication Number: <b>WO 99/48440</b> (43) International Publication Date: 30 September 1999 (30.09.99)
<p>(21) International Application Number: PCT/US99/06420</p> <p>(22) International Filing Date: 23 March 1999 (23.03.99)</p> <p>(30) Priority Data: 09/047,891 25 March 1998 (25.03.98) US</p> <p>(71) Applicant: ENDOTEX INTERVENTIONAL SYSTEMS, INC. [US/US]; 10231 Bubb Road, Cupertino, CA 95014 (US).</p> <p>(72) Inventors: KHOSRAVI, Farhad; Apartment 212, 1700 De Anza Boulevard, San Mateo, CA 94403 (US). HOGENDIJK, Michael; Apartment 904, 501 Forest Avenue, Palo Alto, CA 94301 (US). ROSS, Michael, R.; 1415 Marlborough Road, Hillsborough, CA 94010 (US).</p> <p>(74) Agent: ENGLISH, William, A.; Lyon &amp; Lyon LLP, Suite 4700, 633 West Fifth Street, Los Angeles, CA 90071-2066 (US).</p>	<p>(81) Designated States: CA, JP, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).</p> <p><b>Published</b> <i>With international search report.</i></p>	
(54) Title: HELICAL MESH ENDOPROSTHESIS AND METHODS OF USE		
(57) Abstract		
<p>A stent comprising a helical mesh coil is provided having a substantially rectangular cross section and a band width equal to at least one-quarter to one-third of the maximum expanded circumference of the stent. The helical mesh has a multiplicity of openings forming a lattice that preferably provides about 60 % open space or more. Alternative embodiments of the stent include free ends that preferentially overlap neighboring turns of the coil, and integrally formed barbs that enhance resistance to localized compressive forces. Methods of deploying the helical mesh coil stent are also provided.</p> <div data-bbox="520 1211 1378 1554" data-label="Image">  </div>		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

DESCRIPTIONHelical Mesh Endoprosthesis And Methods Of UseField Of The Invention

The present invention relates to vascular prostheses, commonly referred to as "stents," for maintaining the patency of a body vessel following a dilatation procedure, such as percutaneous transluminal coronary angioplasty. More specifically, the present invention relates to vascular prostheses formed of helical mesh coils, especially for use in exposed vessels and saphenous vein grafts.

Background Of The Invention

A number of vascular prostheses are known for use in maintaining the patency of a body lumen following a dilatation procedure. Generally, in a procedure such as percutaneous transluminal angioplasty, a balloon catheter is inserted transluminally to the site of a stenosis within an artery, and the balloon is dilated to crack the plaque lining the artery. To prevent the dilated artery from restenosing, it has become common practice to insert a vascular prosthesis, commonly referred to as a stent, within the artery to maintain the artery at the dilated diameter. For example, the Palmaz stent, sold by Cordis Inc., Miami Lakes, Florida, the Gianturco-Rubin stent sold by Cook Cardiology, Inc., Indianapolis, Indiana, and the Multi-Link stent sold by Advanced Cardiovascular Systems, Inc., Santa Clara, California, are commonly used following angioplasty in such a manner.

The foregoing stents, which are generally described in U.S. Patent No. 4,739,762 to Palmaz, the U.S. Patent No. 5,314,444 to Gianturco, and U.S. Patent 5,421,955 to Lau et al., respectively, are representative of many of the balloon expandable stent designs currently for being offered for

sale or under development. These stent designs employ a rigid member that is deployed by plastically deforming the member using a dilatation element, such as a balloon catheter.

5 A drawback of plastically deformable stents, however, is that such prostheses cannot be used in vessels that are close to the surface of the patient, and therefore are unprotected against crushing blows (such vessels referred to hereinafter as "exposed vessels"). For example, if a  
10 plastically deformable stent is used in a carotid artery in the vicinity of the neck, even a minor neck injury could result in the stent collapsing in vivo, with potentially fatal consequences. Recent clinical trials of balloon expandable stents in exposed vessels have shown that up to  
15 12% of the patients experience some collapse of the stent due to external forces.

Other stent designs which provide adequate crush resistance are known, however, these previously known stent designs suffer from other drawbacks. For example, U.S.  
20 Patent No. 5,443,500 to Sigwart and U.S. Patent No. 5,344,426 to Lau et al. each describe a vascular prosthesis formed of a self-expanding coil sheet, while U.S. Patent No. 5,423,885 to Williams describes a similar coiled sheet stent having a plurality of protrusions on its surface. A coiled  
25 sheet stent generally is rolled down to a small diameter, and then constrained within a delivery device at the small diameter. Once the stent is placed across a stenosis, a sheath of the delivery device is retracted, allowing the sheet to unroll. U.S. Patent 5,556,413 to Lam describes a  
30 variation of a coiled sheet stent having a plurality of longitudinal slits so that the sheet forms helical coils when expanded. A drawback of coiled sheet prostheses, however, is that such prostheses generally are limited to use in vessels having relatively long lengths of uniform  
35 diameter, and which possess relatively low tortuosity.

U.S. Patent No. 4,655,771 to Wallsten provides a woven wire tubular mesh member which is contracted to its delivery profile by elongating the stent. When the ends of the stent are released, the stent attains its expanded diameter by undergoing a considerable shortening of length. Drawbacks inherent in stents of this design include a limited range of diameters at which acceptable radial strength can be achieved, and relatively low longitudinal flexibility. In addition, the considerable shortening of the stent encountered during deployment can result in lack of precision during stent deployment.

U.S. Patent No. 4,665,918 to Garza et al. describes a vascular prosthesis and delivery system for a self-expanding helical coil or coiled sheet. The helical coil is held in a constrained shape within an outer sheath of the delivery system, and is deployed by retracting the outer sheath. U.S. Patent No. 5,147,370 to McNamara et al. describes a nitinol stent comprising a helical band having proximal and distal loops which is wound tightly onto a catheter and retained using a mandrel, so that the coil self-expands when released from restraint. U.S. Patent No. 4,553,545 to Maass et al. describes similar helical coils formed from stainless steel and delivery systems therefore. All three of these patents suggest the use of a helical coil having a rectangular cross-section, while Maass further suggests that the coil may include punched openings to form a double helix structure.

While results of initial testing of helical band-type coil stents appeared promising, as described for example, in D. Maass et al., "'Radiological Follow-up of Transluminally Inserted Vascular Endoprosthesis: An Experimental Study Using Expanding Spirals", Radiology 1984, Vol. 152, No. 3, pp. 659-663 (1984), concerns over the safety and efficacy of such designs have resulted in little effort to commercialize this technology. In particular, the tendency of the ends of the stent to project into the blood flow, as in the McNamara

and Maass devices, is thought to promote thrombosis, while the large surface area contacted by the helical bands is thought to enhance restenosis.

Consequently, efforts to develop commercial systems using the coil-spring concept have concentrated on coiled springs made from nickel-titanium alloy wires, so as to minimize the contact area between the stent and the intima of the body vessel. For example, U.S. Patent No. 5,246,445 to Yachia et al. (commercialized by Instent, Inc., Minneapolis, Minnesota), describes a helical wire coil that is drawn down onto a catheter for delivery by axially extending the catheter. The stent is deployed by releasing one end of the stent. U.S. Patent No. 5,476,505 to Limon describes a similar helical wire coil stent.

Like the Wallsten device, the device described in the Yachia et al. patent experiences considerable longitudinal shortening during deployment. The device includes a further drawback that, as the device expands, the free end of the coil is believed to whip around the catheter at high speed. Because such behavior could dislodge pieces of plaque from the interior of the vessel wall, such stent designs appear unsuitable for use in the carotid arteries and in other vessels in which embolization presents a problem.

Previously known helical coil stent designs are thought to present a number of other drawbacks as well, such as having limited ranges of expanded diameters, the potential for tilting of coils and prolapse into gaps in a stenotic region, uneven expansion, migration, and thrombosis formation. For example, the devices described in the Maass et al. patent are expected to have only a limited range of expanded diameters due to the mechanical characteristics of stainless steel.

Likewise, the wire coils of the Yachia et al. device have been observed to expand unevenly, as well as to slip into cracks created in the plaque during the dilatation procedure, thereby creating nonuniform radial strength along

the length of the stent and increasing the chance of restenosis. The smooth outer wall surface of the stents, as well as the narrowness of individual turns of the stent (both resulting from the use of coiled wire), also is  
5 thought to cause slipping and localized migration of turns, further reducing radial strength.

In addition, the potential for individual turns of the coil of the Yachia et al. device to project (either by tilting or overlapping neighboring turns) into the  
10 bloodstream, like the loops in the McNamara et al. device, enhances the risk for thrombosis. More generally, since the ends of a helical coil stent do not experience the same outward force as full turns of the coil, it is thought that the free ends may also project into the bloodstream, and  
15 hence serve as sites for thrombi formation.

In view of the foregoing, it would be desirable to provide a helical coil stent that overcomes the drawbacks of the previously known stents. In particular, it would be desirable to provide a helical coil stent that has uniform  
20 and reproducible radial strength over a range of expanded diameters.

It also would be desirable to provide a helical coil stent that conforms to a diameter of the body lumen, but which is crush resistant and will not experience localized  
25 slipping or migration of individual turns of the coil if loaded in compression after deployment.

It further would be desirable to provide a helical coil stent possessing a high degree of longitudinal flexibility so that it can be advanced through a tortuous body lumen,  
30 yet which has high radial strength over a range of expanded diameters and that experiences much less overall shortening during deployment than previously known helical coil stents.

It also would be desirable to provide a self-expanding helical coil stent that can be contracted to its delivery  
35 diameter with relatively few turns, so that the stent expands in a controlled manner without the high speed

whipping action observed in previously known helical coil stents, thus reducing the risk of embolization.

#### Summary Of The Invention

5 In view of the foregoing, it is an object of the present invention to provide a helical coil stent that overcomes the drawbacks of the previously known stents relating to limited ranges of expanded diameters, tilting of coils and prolapse into gaps in a stenotic region, uneven expansion, migration, and thrombi formation.

10 It is therefore an object of the present invention to provide a helical coil stent that has uniform and reproducible radial strength over a range of expanded diameters.

15 It is another object of this invention to provide a helical coil stent that conforms to the diameter of the body lumen, but which is crush resistant and will not experience localized slipping or migration of individual turns of the coil if loaded in compression after deployment.

20 It is a further object of the invention to provide a helical coil stent possessing a high degree of longitudinal flexibility so that it can be advanced through a tortuous body lumen, yet which has high radial strength over a range of expanded diameters and that experiences much less overall shortening during deployment than previously known helical  
25 coil stents.

It is yet another object of this invention to provide a self-expanding helical coil stent that contracts to its delivery diameter with relatively few turns, so that the stent expands in a controlled manner without the high speed  
30 whipping action observed in previously known helical coil stents, thus reducing the risk of embolization.

These and other objects of the invention are accomplished by providing a stent comprising a self-expanding helical mesh coil having a substantially  
35 rectangular cross-section and a band width of at least about

one-quarter of the maximum expanded circumference of the stent. The helical mesh has a multiplicity of openings forming a lattice which preferably provides about 60% open space or more. The openings serve to secure the stent within the body lumen, and serve to resist sliding or localized migration of the turns of the stent after deployment. In addition, the large band width of the helical mesh coil enables the stent to be reduced to its contracted diameter with very few turns, thereby providing controlled expansion without the whipping action associated with previously known stent designs.

Alternative embodiments of the helical mesh coil stent of the present invention may include specially designed free ends of the stent, which are treated to preferentially overlap neighboring turns of the coil when deployed, thereby ensuring that the free ends of the stent do not project into the body lumen. In addition, the helical mesh may include integrally formed barbs that, in addition to the multiplicity of openings, induce a ratcheting effect enabling the stent to resist localized compressive forces.

Methods and apparatus for deploying the helical mesh coil stent of the present invention are also provided. In accordance with these methods, the helical mesh coil stent is first deployed into a body lumen so that it expands when released to conform to the diameter of the body lumen. Delivery apparatus is provided that includes a retractable element for restraining either or both ends of the stent during deployment. The delivery apparatus also may serve to hold a first end of the stent in engagement with a wall of the body vessel during deployment, thereby enhancing accuracy of placement of the stent. A dilatation element (which may be tapered) is then disposed within the stent and expanded, thereby ensuring that the turns of the stent are uniformly expanded into contact with the intima of the body lumen. The large band width, in conjunction with the multiplicity of openings (and barbs, if present), serve to

affix the stent in apposition to the body walls, without tilting or overlap.

#### Brief Description Of The Drawings

Further features of the invention, its nature and various advantages will be more apparent from the accompanying drawings and the following detailed description of the preferred embodiments, in which:

FIG. 1 is a perspective view of an illustrative helical mesh coil stent constructed in accordance present invention;

FIG. 2A is a plan view of a strip having a triangular-shaped lattice suitable for forming a helical mesh coil stent in accordance with the invention;

FIG. 2B is plan view of a strip having a diamond-shaped lattice suitable for forming a helical mesh coil stent in accordance with the invention;

FIG. 2C is plan view of a strip having a rectangular lattice suitable for forming a helical mesh coil stent in accordance with the invention;

FIG. 2D is plan view of a strip having a circular lattice suitable for forming a helical mesh coil stent in accordance with the invention;

FIGS. 3A-3E are cross-sectional views of a delivery catheter having retractable and positioning elements suitable for use with the methods of the present invention;

FIGS. 4A-4D are views showing the steps of deploying a stent constructed in accordance with the present invention;

FIG. 5A is a plan view of a strip having plurality of barbs formed in the lattice and tabs that ensure the free ends of the stent are secured when deployed;

FIG. 5B is an elevation view of the strip of FIG. 5A when rolled into a helical mesh coil stent in accordance with the present invention; and

FIG. 6A and 6B are cross-sectional views of a turn of the helical mesh coil stent of the present invention.

Detailed Description Of The Invention

The present invention provides stents for treatment of intraluminal disease that overcome the limitations of previously known helical coil stents. In particular, an expanding helical mesh coil stent constructed in accordance with the present invention provides high radial strength uniformly over the length of the stent, while reducing the risk of tilted or overlapping coils found in previously known helical coil stent designs. In addition, the helical mesh coil stent of the present invention is highly flexible when in its contracted state, and highly crush resistant in its expanded state. The stent and methods of the present invention are believed to be especially useful in tapered vessels, such as regions of the coronary arteries, the carotid arteries, saphenous vein grafts and biliary ducts.

Referring to FIG. 1, stent 10 constructed in accordance the present invention is described. Stent 10 comprises a helical coil formed from a band or sheet having a substantially rectangular cross-section, as shown in FIGS. 2A-2D. The stent includes free ends 11 and 12 at the proximal and distal ends of the stent, respectively, and plurality of turns 13 including multiplicity of openings 14. When in its expanded state, preferably little or no gap exists between neighboring turns 13 of stent 10. Alternatively, the helical coil comprise a multiplicity of interwoven wires forming a band. The multiplicity of wires are welded together at the perimeter of the coil, and internal intersections of the wires also may be welded together.

A preferred embodiment of stent 10 may be satisfactorily employed in a number of body lumens over a range of expanded diameters, with width  $w$  of turns 13 preferably at least one-quarter of the maximum expanded diameter of the stent, or roughly about equal to the maximum expanded diameter  $d$ . Depending upon the pitch of turns 13, the turns may overlap one another when the stent is rolled

down to its contracted state, thus reducing the overall length of the stent and improving trackability through tortuous vessels. As will be apparent to one of skill in the art, however, the lengths of the helical mesh coil, the pitch and the number of turns may be varied depending upon the intended application and desired mechanical characteristics of the stent.

Referring now to FIGS. 2A to 2D, stent 10 includes multiplicity of openings 14 arranged in a lattice that preferably comprises 60% or more of the surface of the stent. As shown in FIGS. 2A-2D, openings 14 may be regular polygons, such as triangular-shaped, diamond-shaped, rectangular-shaped or circular-shaped openings, or any combination thereof. In particular, the triangular-shaped lattice of openings 14 in FIG. 2A results in a metal contact area of about 40%, with 60% open space; the diamond-shaped lattice has a metal contact area of about 35% (65% open space); and the rectangular-shaped and circular-shaped lattices have metal contact areas of about 31% and 32% (69% and 68% open space), respectively.

Openings 14 enable tissue lining the wall of the body lumen to grow through the openings to envelope the stent. In addition, openings 14 serve to fix the stent in position against localized slipping once the stent has been impressed into the intima of the body lumen using a mechanical expander, as described hereinafter. Based on testing of initial prototype stents 6 mm in diameter and 30 mm long (when deployed) the diamond-shaped lattice of FIG. 2B is thought to provide the highest radial strength.

Stent 10 generally comprises a thin (about 1-5 mils) band of a biocompatible material, such as a thermal shape-memory polymer or metal, super-elastic material such as a nickel-titanium alloy, or other biocompatible elastic material such as a stainless steel, tantalum, platinum or tungsten alloy. In a preferred embodiment, stent 10 comprises a nickel-titanium shape memory alloy having an

austenite transition temperature slightly below body temperature.

Stent 10 is preferably formed from a flat sheet of nickel-titanium alloy, and multiplicity of openings 14 are formed therein by any of a number of conventional metal working processes, including die and punch, laser cutting, or chemical etching. In other embodiments, stent 10 may comprise a band formed by interweaving a multiplicity of wires, for example, as shown in U.S. Patent No. 5,007,926 to Derbyshire. The multiplicity of wires are welded together at the perimeter of the band, and internal intersections of the wires also may be welded together. The band may be swaged to reduce its thickness.

For a stent made of a shape memory material, such as a nickel-titanium alloy, a band of suitable material is first formed into the shape depicted, for example, in FIG. 2B, by the methods described hereinabove. The sheet is then rolled about a mandrel (indicated by dotted line 15 in FIG. 2B) in a direction A (indicated by arrows in FIG. 2B) to form a coiled tubular member having an expanded shape as shown in FIG. 1. The coiled tubular member is then heat treated to activate the shape memory of the material. Stent 10 is then rolled to a contracted state for delivery by twisting free ends 11 and 12 in opposite directions.

When contracted, stent 10 may assume either an axially elongated shape, with adjacent turns of the stent lying adjacent to one another, or the adjacent coils may be configured to overlap one another. The latter configuration, wherein the coils overlap each other, is believed to be preferable to reduce overall change in the length of the stent during deployment.

Referring to FIG. 3A-3D, various embodiments of delivery system 20 suitable for use with the stent and methods of the present invention are described. In FIG. 3A, delivery system 20 is similar to that disclosed in Garza et al. U.S. Patent No. 4,665,918, and includes catheter 21

having central lumen 22 for accepting guide wire 200, nose cone 23 and outer sheath 24. Catheter 21 includes recess 25 that cooperates with outer sheath 24 to retain the stent in its contracted state for transluminal delivery. As is well-known in the art, delivery system 20 is inserted into a body lumen having a stenosis through a major vessel along a guide wire until the mid-point of the stent is located within the stenosis.

In FIG. 3B, delivery system 20' includes features 21-25 of delivery system 20 of FIG. 3A and further includes a positioning element consisting of compliant balloon 26 and inflation lumen 27. In accordance with the methods of the present invention, once the distal tip of outer sheath 24 has been partially retracted in a proximal direction to deploy the distal end of a stent, balloon 26 is inflated via inflation lumen 27. Inflation of balloon 26 urges the distal end of the stent into engagement with the wall of the body lumen. When the remaining portion of outer sheath 24 is then withdrawn, balloon 26 prevents axially displacement of the distal end of the stent, thereby ensuring accuracy in the placement of the stent.

In FIG. 3C, delivery system 20" is similar to that of FIG. 3A but further includes recesses 27 and 28 at either end of recessed portion 25 of length L. Delivery system 20" further comprises retractable retaining element 29 disposed within lumen 30. Lumen 30 includes opening 30a where retaining element 29 exits lumen 30 and opening 30b where retaining element 29 re-enters lumen 30. Recesses 27 and 28 are configured to capture ends 11 and 12 of stent 10 (see FIG. 1), while retractable retaining element 29 loops over and captures an intermediate turn of the helical coil (not shown) against recessed portion 25 of catheter 21.

In accordance with the methods of the present invention, retractable element 29, which may be a flexible filament, thread or fine wire of stainless steel or nickel-titanium, serves to retain a stent in its contracted

state for delivery. Once outer sheath 24 is retracted, retractable element 29 is withdrawn in the proximal direction, thereby permitting the central portion of the stent to expand. As the stent uncoils to a larger diameter, ends 11 and 12 are pulled free from recesses 27 and 28. Delivery catheter 20" therefore enhances the accuracy of the stent placement by enhancing the accuracy of placement of, for example, the mid-section of the stent, as opposed to an end of the stent as in FIG. 3B.

FIGS. 3D and 3E show alternative embodiments for securing the stent against length L of recessed portion 25 of catheter 21 for transluminal delivery, similar to the locking element disclosed in Sigwart U.S. Patent No. 5,443,500. Unlike the locking element in the Sigwart patent, however, retaining elements of the present invention not only prevent the stent from unwinding, but also enable the clinician to control the direction of deployment of the stent.

In FIG. 3D, separate retaining elements 31 and 33 are employed to secure the distal and proximal ends, respectively, of stent 10 of FIG. 1. Retaining elements 31 and 33 are withdrawn proximally, preferably sequentially, so that the stent uncoils from catheter 21 in a preferred direction. In FIG. 3E, a single retaining element 35 is provided that captures both the distal and proximal ends of the stent. In FIG. 3E, the stent is deployed in a distal to proximal direction (after removal of outer sheath 24), while the separate retaining elements of the embodiment of FIG. 3D enable the stent to be deployed in either a distal-to-proximal or proximal-to-distal direction. As will of course be understood by one of skill in the art, compliant balloon 26 of the embodiment of FIG. 3B may be used in conjunction with any of the embodiments of FIGS. 3C-3E.

Referring now to FIG. 4A, helical mesh coil stent 10 of FIG. 2B is shown rolled to its contracted state and disposed

within delivery system 20' described hereinabove. Delivery system 20' generally is inserted into the body lumen after a dilatation device, such as a balloon catheter, has already been inserted and expanded within body lumen 100 to crack  
5 the deposits constituting stenosis 101. It is expected, however, that once the dilatation device is contracted, there may be some recoil of the stenosis, resulting in the bulge illustrated in FIG. 4A.

Once the location of delivery system 20' is  
10 established, for example, using fluoroscopy and standard angiographic techniques, sheath 24 of the delivery system is retracted to release a distal portion of helical mesh coil stent 10 into body lumen 100. Compliant balloon 26 is then inflated to anchor the distal turn of stent 10 against the  
15 inner surface of the body lumen, and sheath 24 is fully retracted. As seen in FIG. 4B, when released from sheath 24, the individual turns of stent 10 unwind to conform to the diameter of the body lumen. As noted above, while stenosis 101 has already been expanded, the segments of the  
20 plaque may still result in some unevenness of expansion of the stent (for clarity, this effect is exaggerated in FIG. 4B).

Because the stent of the present invention involves many fewer turns than previously known helical coil stents,  
25 it is contemplated that the expansion of the stent will not produce the whipping action observed in some previously known stent designs. The presence of fewer turns 13, together with the overlap of some of the turns when in the contracted state, is also expected to reduce the extent of  
30 shortening of the stent relative to previously known designs, thereby improving the accuracy of the stent placement. Moreover, the use of compliant balloon 26 is further expected to enhance accuracy of the stent placement, since it reduces axial displacement of the distal end of the  
35 stent during deployment.

With respect to FIG. 4C, a mechanical expander, in the form of balloon catheter 250 carrying balloon 251, is transluminally inserted within stent 10. As balloon 251 expands, stent 10 further uncoils so that the stent conforms to the expanded shape of the balloon. Importantly, the step of conforming the helical mesh to the balloon shape involves a slight rotation of the ends 11 and 12 of the stent as the stent unwinds; however, this expansion does not involve plastic deformation of the helical mesh. In addition, as the balloon is expanded, it causes the open lattice of the stent to become embedded in the intima of the body lumen. When stent 10 is completely deployed, there preferably is little or no overlap, and little or no gap formation, between adjacent turns 13 of the helical mesh.

Once the stent has been embedded in the intima of the body lumen, balloon 251 is contracted, and balloon catheter 250 is withdrawn from the body lumen. Because the multiplicity of openings 14 tends to capture the vessel intima, stent 10 retains the shape impressed in it during the step of the balloon expansion, as illustrated in FIG. 4D, and does recoil elastically to the shape assumed when initially released from sheath 24.

Importantly, because stent 10 is elastically expanded and embedded in the intima of the body wall during dilation of the mechanical expander, the stent retains its elasticity and is capable of withstanding compressive loads without crushing, and without sliding or localized migration of turns 13, even when used in exposed vessels. Moreover, since the stent of the present invention preferably comprises a super-elastic shape memory alloy, such as nickel-titanium, the stent may be conformed to a range of body lumen diameters and still provide acceptable radial strength.

Referring now to FIGS. 5A and 5B, an alternative embodiment of the stent of the present invention is described. Stent 40 comprises a helical mesh coil having a

rectangular lattice (similar to the stent design of FIG. 2C), free ends 41 and 42, and plurality of turns 43. Stent 40 further comprises a multiplicity of barbs 44 integrally formed with the rectangular lattice, so that the barbs project outwardly from the stent when the band is rolled to form a tubular coil. Free ends 41 and 42 also include tabs 45 which overlap the neighboring turns of the stent, thereby fixing the free ends of the stent against the wall of the body lumen.

Stent 40 of FIGS. 5A and 5B is deployed in a manner similar to that described above with respect to FIGS. 4A to 4D. Barbs 44 are formed in the lattice of the helical sheet so that they engage the intima of the vessel wall only if the stent is loaded so as to wind the coil to a smaller diameter than its expanded diameter. In particular, the barbs are arranged so that when the balloon expands the stent from its initial deployment state in FIG. 4B by slightly unwinding the coil, the barbs do not engage the vessel wall. If however, a load is applied to stent 40 that would tend to reduce the stent wind to a smaller diameter, barbs 44 engage the vessel wall and resist compression of the stent. The barbs therefore provide a ratcheting effect, since they freely permit the stent to be expanded, but resist contraction.

Another feature of stent 40 is the presence of tabs 45 at each of free ends 41 and 42 of the stent. As illustrated in FIG. 5B, tabs 45 are treated, for example, by heat treatment during manufacture, to preferentially assume a position in which the tabs extend in an overlapping fashion outside the neighboring turns of the stent. In accordance with this feature of the invention, the free ends of stent 40 will be permanently affixed to the wall of the body lumen during the step of embedding the stent into the vessel wall with the mechanical expander. Accordingly, the free ends will be prevented from projecting into the body lumen, thus reducing the risk of thrombi formation. As will of course

be understood by one of skill in the art, tabs 45 may be advantageously used on any of the helical mesh coil stents described hereinabove.

Referring now to FIGS. 6A and 6B, a cross-section of  
5 stents 10 and 40 along the viewlines 6--6 is described (in which the internal detail has been omitted for clarity). In FIG. 6A, the band or sheet from which the stent is formed has a substantially rectangular cross-section with square edges 51, while in FIG. 6B, edges 52 of the sheet are  
10 rounded. The use of a band having rounded edges 52 may be beneficial, for example, to reduce the risk of injuring tissue pinched between gaps that may form between the turns of the coil, especially prior to the step of expanding the stent with the mechanical expander. Because the widths  $w$  of  
15 the bands in both FIGS. 6A and 6B are much greater than the thicknesses  $t$ , both designs constitute substantially rectangular cross-sections within the meaning of the present invention.

It is contemplated that a stent constructed in  
20 accordance with the present invention, for example, as shown in FIGS. 2A-2D, unlike conventional coiled sheet stents, may be disposed in a tapered lumen. In such applications, the mechanical expander may include a slight taper so that when the balloon is expanded, the stent adopts the taper of the  
25 balloon when it is embedded in the vessel wall. In addition, or alternatively, the stent of the present invention may also be formed as a tapered band or strip, i.e., a strip having a variable width along its length. In this case, the stent may be formed into a frustoconical  
30 tubular member using a tapered mandrel. After its initial expansion, the resulting stent may then be fully deployed using a tapered mechanical expander.

While preferred illustrative embodiments of the  
invention are described above, it will be apparent to one  
35 skilled in the art that various changes and modifications may be made therein without departing from the invention and

it is intended in the appended claims to cover all such changes and modifications which fall within the true spirit and scope of the invention.

Claims

1. A stent for supporting a body lumen, the stent comprising:

5 a helical mesh coil comprising a plurality of turns and first and second free ends, the stent having a maximum expanded diameter, each turn having a substantially rectangular cross-section and a width about equal to the maximum expanded diameter of the stent, the helical mesh coil including a lattice formed of a multiplicity of  
10 openings,

wherein the helical mesh coil is delivered transluminally to a position within the body lumen in a contracted state and supports the body lumen in an expanded state.

15 2. The stent as defined in claim 1 wherein the helical mesh coil has a pitch sufficient to prevent formation of gaps between the plurality of turns when the stent is deployed.

20 3. The stent as defined in claim 2 wherein the some of the turns of the helical mesh coil telescope within one another when the stent is wound to a contracted state.

4. The stent as defined in claim 1 wherein some of the multiplicity of openings have a shape selected from the group consisting of polygons and circles.

25 5. The stent as defined in claim 4 wherein the multiplicity of openings assist in embedding the stent in the intima of the body lumen.

6. The stent as defined in claim 5 further comprising a plurality of barbs, the plurality of barbs providing a

ratcheting effect to the stent, so that the stent resists contraction after deployment in the body lumen.

7. The stent as defined in claim 6 wherein the plurality of barbs is integrally formed with the  
5 multiplicity of openings.

8. The stent as defined in claim 1 wherein the first and second ends include tabs that preferentially overlap neighboring turns of the helical mesh coil, thereby fixing the free ends against the wall of the body lumen.

10 9. The stent as defined in claim 1 wherein the stent comprises a nickel-titanium alloy in its super-elastic state.

10. The stent as defined in claim 9 wherein the stent is formed from a strip having a thickness in a range of 1.0  
15 to 5.0 mils.

11. A stent for supporting a body lumen, the stent comprising:

a helical mesh coil having first and second free ends, a maximum expanded circumference, a thickness, and a  
20 plurality of turns, each turn of the coil having a substantially rectangular cross-section and a width of at least about one-quarter of the maximum expanded circumference of the stent, the helical mesh coil including a lattice formed of a multiplicity of openings,

25 wherein the stent has a contracted state suitable for transluminal delivery and an expanded state for supporting the body lumen when deployed at a selected one of a range of expanded circumferences.

12. The stent as defined in claim 11 wherein the  
30 helical mesh coil has a pitch sufficient to prevent

formation of gaps between the plurality of turns when the stent is deployed.

13. The stent as defined in claim 12 wherein the some of the turns of the helical mesh coil telescope within one another when the stent is wound to a contracted state.

14. The stent as defined in claim 11 wherein some of the multiplicity of openings have a shape selected from the group consisting of polygons and circles.

15. The stent as defined in claim 14 wherein the multiplicity of openings assist in encapsulating the stent in the intima of the body lumen.

16. The stent as defined in claim 15 further comprising a plurality of barbs, the plurality of barbs providing a ratcheting effect to the stent, so that the stent resists contraction once deployed in the body lumen.

17. The stent as defined in claim 16 wherein the plurality of barbs is integrally formed with the multiplicity of openings.

18. The stent as defined in claim 11 wherein the first and second ends include tabs that preferentially overlap neighboring turns of the helical mesh coil, thereby fixing the free ends against the wall of the body lumen.

19. The stent as defined in claim 1 wherein the stent comprises a resilient biocompatible material.

20. The stent as defined in claim 19 wherein the stent comprises a nickel-titanium alloy.

21. The stent as defined in claim 11 wherein the stent is formed from a strip having a thickness in a range of 1.0 to 5.0 mils.

22. A method of supporting a body lumen, the method  
5 comprising steps of:

providing a stent comprising a helical mesh coil having first and second free ends, a maximum expanded circumference, and a plurality of turns, each turn having a width of at least about one-quarter of the maximum expanded  
10 circumference, the helical mesh coil including a lattice formed of a multiplicity of openings;

rolling the stent to a contracted state of reduced diameter and retaining the stent in the contracted state while transluminally inserting the stent to a desired  
15 location in a body lumen;

releasing the a portion of the stent near the first end from the contracted state;

capturing the portion of the stent against an interior wall of the body lumen;

20 releasing the stent from the contracted state, the stent expanding to conform to the shape of the body lumen, the captured portion of the stent experiencing substantially no axial displacement;

25 elastically expanding the stent using a mechanical expander so that the stent conforms to the shape of the mechanical expander and becomes embedded in the wall of the body lumen; and

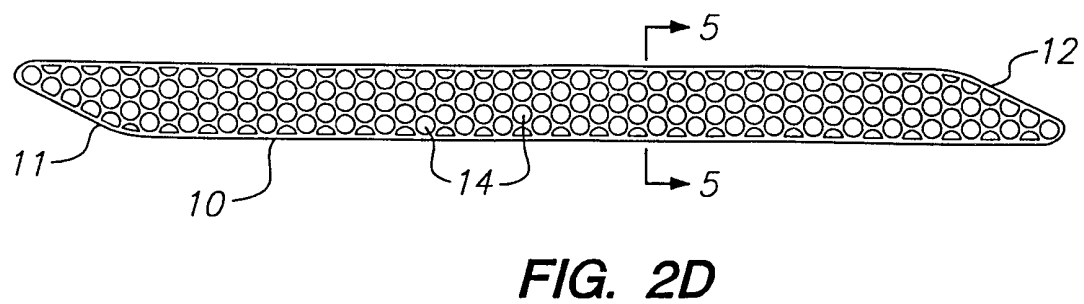
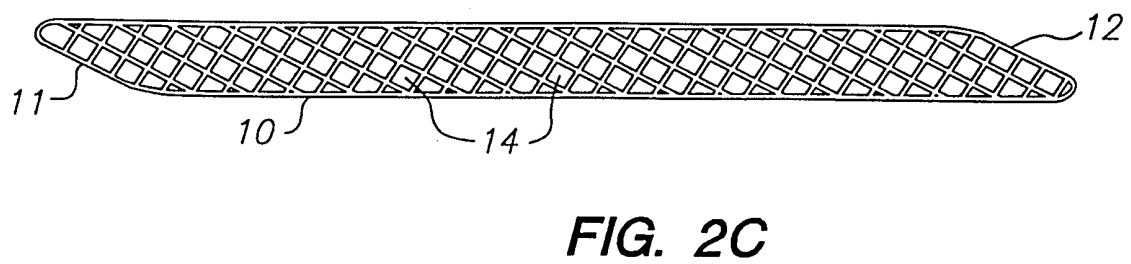
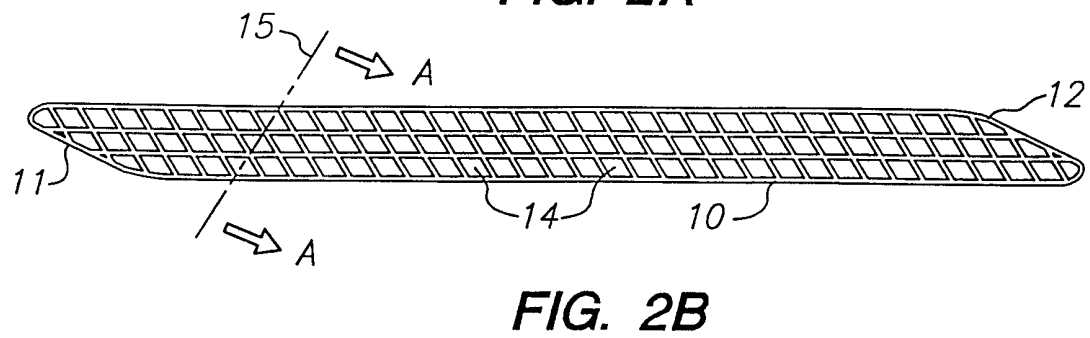
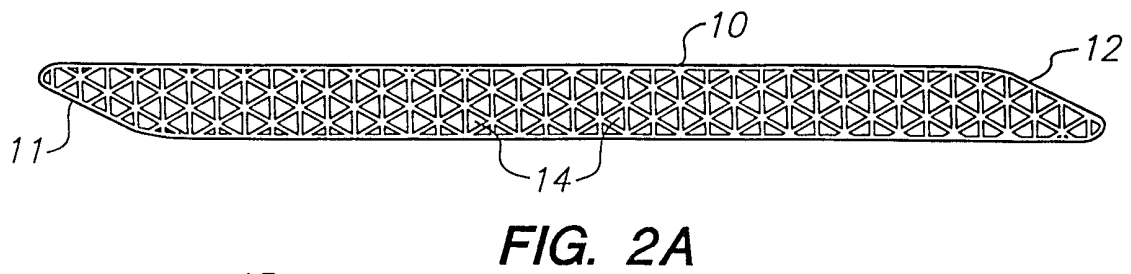
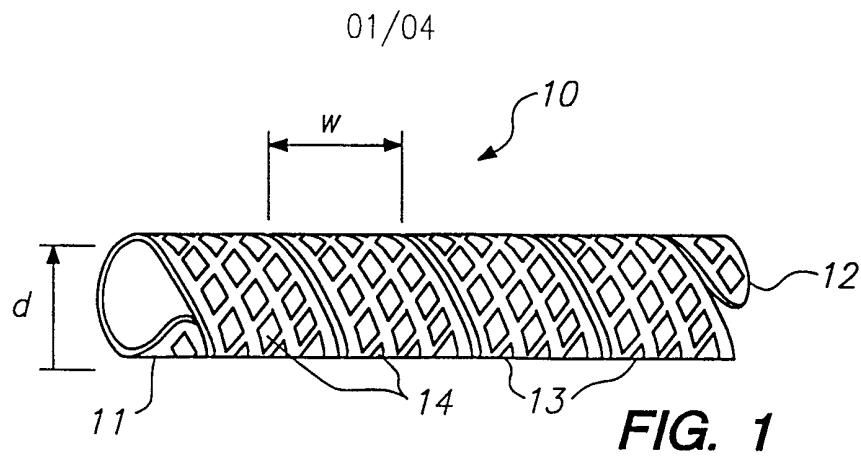
removing the mechanical expander.

23. The method as defined in claim 22 further  
30 comprising a step of dilating the body lumen with a dilatation device prior to deploying the stent.

24. The method as defined in claim 22 wherein the step of embedding the stent comprises urging the stent against

the wall of the body lumen so that the intima of the wall of the body lumen protrude through the multiplicity of openings.

25. The method as defined in claim 22 wherein the step  
5 of providing a stent further comprises a step of providing a stent having a plurality of barbs, the step of embedding comprising engaging the plurality of barbs with the wall of the body lumen.



02/04

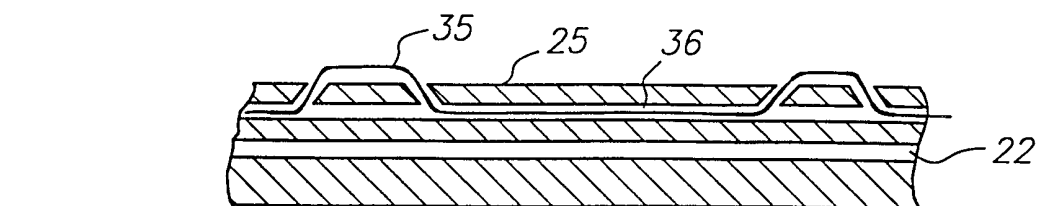
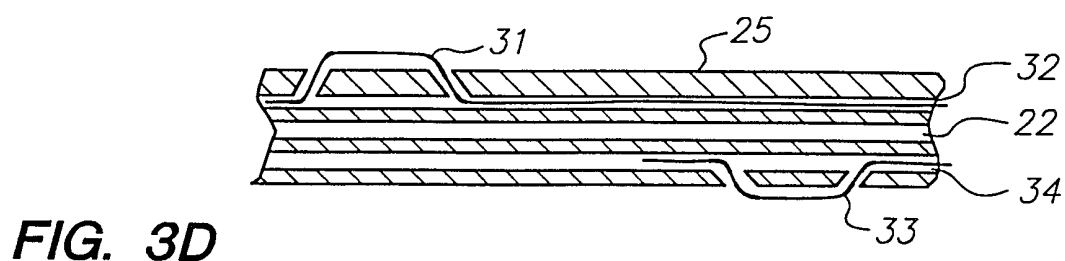
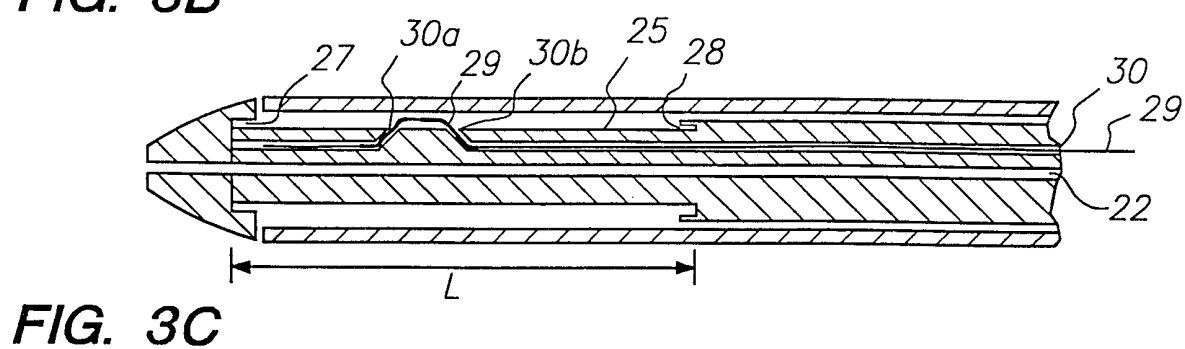
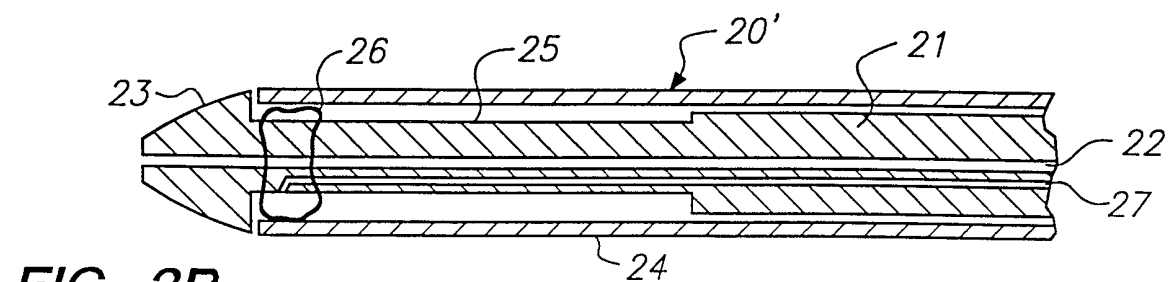
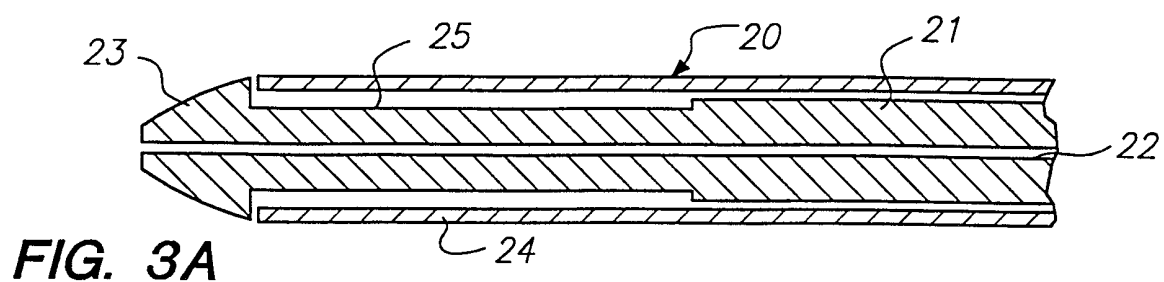
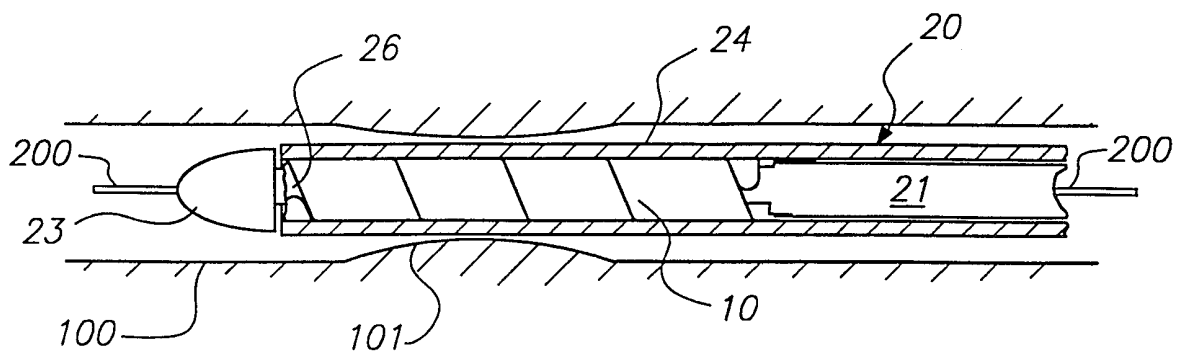
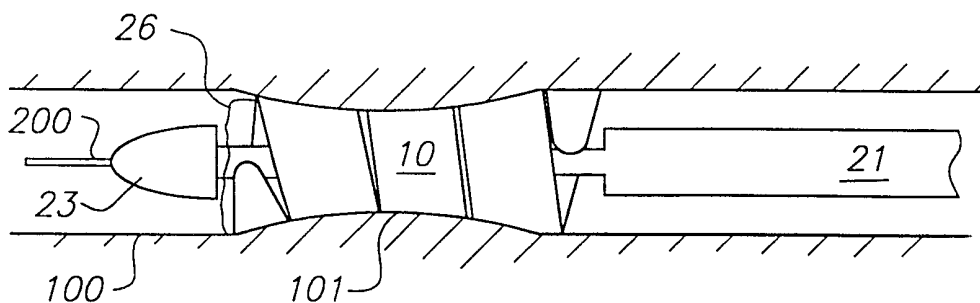


FIG. 3E

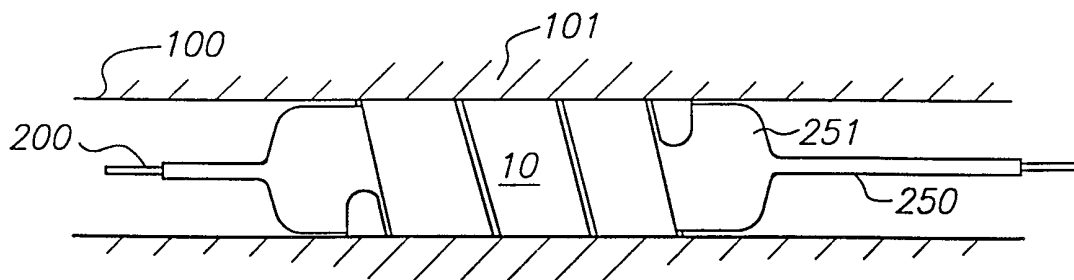
03/04



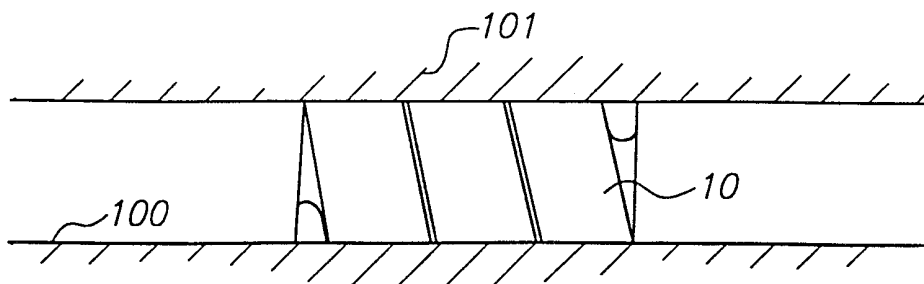
**FIG. 4A**



**FIG. 4B**

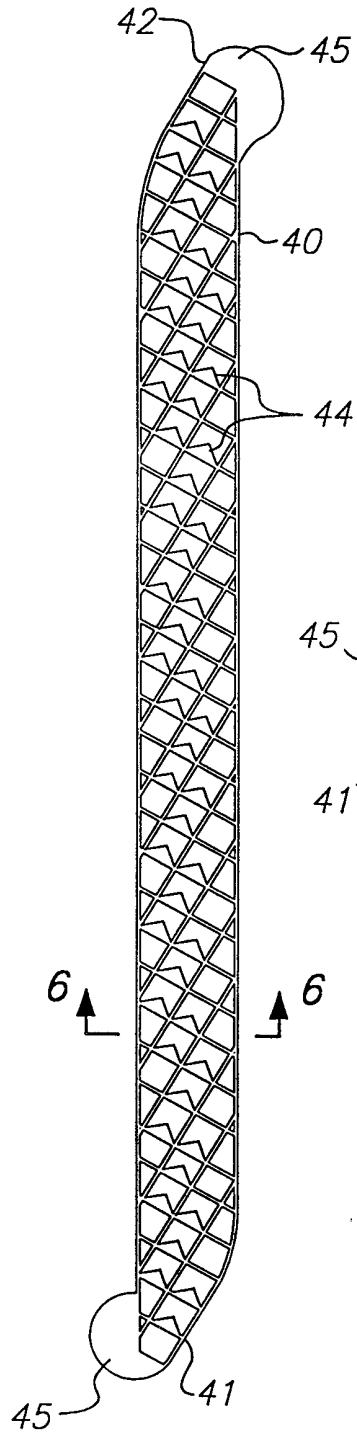


**FIG. 4C**

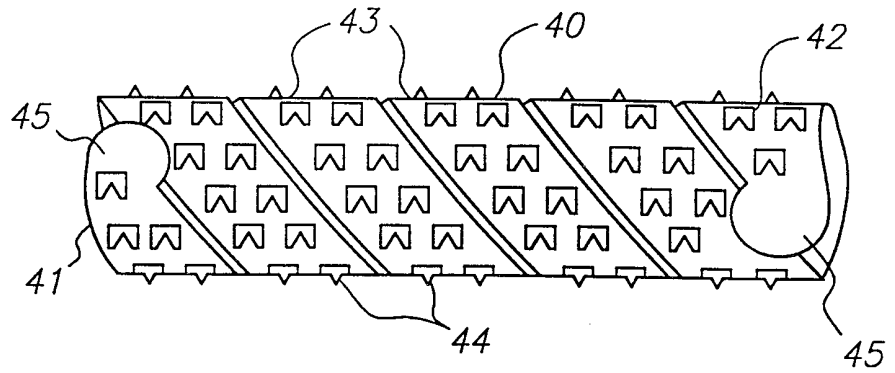


**FIG. 4D**

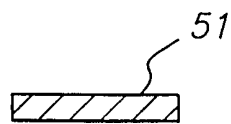
04/04



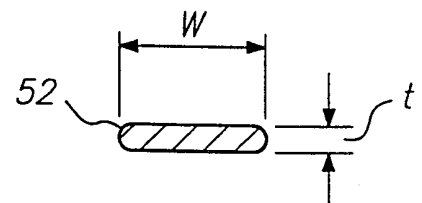
**FIG. 5A**



**FIG. 5B**



**FIG. 6A**



**FIG. 6B**

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/06420

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 A61F2/06

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 201 466 A (MEDINVENT S.A.) 12 November 1986	1, 4, 5, 11, 14, 15, 19, 21
Y	see the whole document	2, 6, 7, 9, 10, 12, 16, 17, 20
Y	--- US 5 147 370 A (MCNAMARA ET AL) 15 September 1992 cited in the application see the whole document	2, 9, 10, 12, 20
Y	--- EP 0 621 017 A (ADVANCED CARDIOVASCULAR SYSTEMS, INC.) 26 October 1994 see the whole document --- -/-	6, 7, 16, 17

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

2 July 1999

Date of mailing of the international search report

13/07/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Smith, C

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 99/06420

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4 553 545 A (MAASS) 19 November 1985 cited in the application see the whole document -----	1-5, 11-15, 19

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 99/ 06420

### Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 22-25  
because they relate to subject matter not required to be searched by this Authority, namely:  
Rule 39.1 (iv) PCT - Method for treatment of the human or animal body by surgery
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

#### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

information on patent family members

Intr tional Application No

PCT/US 99/06420

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP 201466 A	12-11-1986	SE 450809 B JP 61234875 A SE 8501762 A US 4760849 A	03-08-1987 20-10-1986 11-10-1986 02-08-1988
US 5147370 A	15-09-1992	AT 158161 T AU 2237792 A DE 69222305 D DE 69222305 T EP 0588959 A WO 9222263 A	15-10-1997 12-01-1993 23-10-1997 16-04-1998 30-03-1994 23-12-1992
EP 621017 A	26-10-1994	US 5441515 A CA 2121985 A JP 7000531 A US 5618299 A	15-08-1995 24-10-1994 06-01-1995 08-04-1997
US 4553545 A	19-11-1985	AT 392733 B AT 907182 A AU 8954282 A BR 8208063 A CA 1204643 A CH 657521 A DE 3249027 T DE 3250058 C EP 0088118 A FR 2512678 A GB 2124908 A, B JP 3049575 B JP 58501458 T NL 8220336 T SE 8105510 A WO 8300997 A SE 444761 B SE 8202740 A	27-05-1991 15-11-1990 08-04-1983 10-01-1984 20-05-1986 15-09-1986 31-10-1984 27-08-1992 14-09-1983 18-03-1983 29-02-1984 30-07-1991 01-09-1983 02-01-1984 16-03-1983 31-03-1983 12-05-1986 17-03-1983