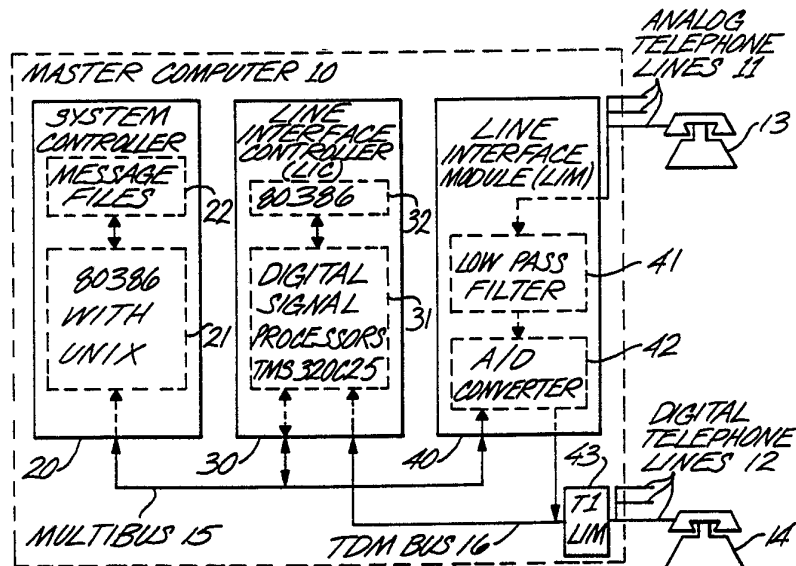




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<p>(21) International Application Number: PCT/US92/00518</p> <p>(22) International Filing Date: 22 January 1992 (22.01.92)</p> <p>(30) Priority data: 644,934 23 January 1991 (23.01.91) US</p> <p>(71) Applicant: DIGITAL SOUND CORPORATION [US/US]; 6307 Carpinteria Avenue, Carpinteria, CA 93013 (US).</p> <p>(72) Inventor: CROMACK, Mark, R. ; 2995 Redondo Court, Santa Ynez, CA 93460 (US).</p> <p>(74) Agent: PROUT, D., Bruce; Christie, Parker &amp; Hale, 350 West Colorado Boulevard, Suite 500, Pasadena, CA 91109 (US).</p>		<p>(81) Designated States: AT (European patent), BE (European patent), CH (European patent), DE (European patent), DK (European patent), ES (European patent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (European patent), MC (European patent), NL (European patent), SE (European patent).</p> <p><b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>

## (54) Title: CONFIGURABLE PARAMETERIZED DTMF DETECTOR



## (57) Abstract

In a digital messaging system (10), an apparatus (37) and method for determining whether a DTMF (Dual Tone Multi Frequency) digit is present on an input signal (11, 12). A heuristics engine (37) performs a series of tests on the input signal (11, 12) using a set of parameters that are stored in a RAM (38). There is one parameter for each of a set of input signal (11, 12) characteristics and for each of a set of operating modes of the digital messaging system (10). All of the parameters are configurable. The configurability allows for the use of non-standard telephone equipment (13, 14) and minimizes deleterious effects such as talkoff. The tests are from the set of sets comprising an absolute magnitude test, a frequency deviation test, a twist test, an echo test, a consistency test, and a temporal test.

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**CONFIGURABLE PARAMETERIZED DTMF DETECTOR****Field of the Invention**

This invention pertains to the field of digital  
massaging systems employing DTMF (Dual Tone Multi  
15 Frequency) signaling.

**Background of the Invention**

A prior art search was conducted through the  
records of the United States Patent and Trademark  
20 Office and uncovered the following U.S. patents:  
4,021,653; 4,354,248; 4,460,806; 4,510,601; 4,604,755;  
4,614,909; 4,689,760; 4,782,523; and 4,853,958. All of  
these patents disclose DTMF detectors, but none  
discloses a detector which may be configured according  
25 to a particular customer's needs and to the operational  
mode of the system, as in the present invention.

The following six references from Bell  
Laboratories and the Electronic Industries Association  
(particularly the first listed) define the DTMF  
30 standard:

Bell Communications Research, "Dual-Tone  
Multifrequency Receiver Generic Requirements for  
End-to-End Signaling Over Tandem-Switched Voice Links",  
TR-TSY-000181, Issue 1, March 1987.

35 Bell Communications Research, "Customer Line  
Signaling", Section 6.2, TR-TSY-000064 LSSGR, December,  
1984.

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1           Bell System, "Bell System Public Switched  
Telephone Service Interconnection Criteria For Domestic  
Public Land Mobile Radio Service, Domestic Public  
Cellular Telecommunications Service, and Maritime Radio  
5       Service", Bell System Technical Reference, No.  
326-1987, December, 1981.

          Bell System Technical Reference, "Description of  
the Analog Voiceband Interface Between the Bell System  
Local Exchange Lines and Terminal Equipment", No.  
10       326-125, January, 1983.

          Engineering Department, "EIA Standard, Telephone  
Instruments With Loop Signaling For Voiceband  
Applications", Electronic Industries Association, Issue  
1, RS-470, January, 1981.

15       Engineering Department, "EIA Standard, Private  
Branch Exchange (PBX) Switching Equipment For Voiceband  
Applications", Electronic Industries Association,  
RS-464, December, 1979.

          The following three references describe a Goertzel  
20       processor, which is used as one component 36 in the  
preferred embodiment of the present invention:

          Hartung et al., "Dual-Tone Multifrequency Receiver  
Using the WE DSP32 Digital Signal Processor", American  
Telephone & Telegraph Application Note.

25       Oppenheim, et al., Digital Signal Processing,  
Prentice-Hall, Inc., pp. 287-289 (1975).

          Mock, "Add DTMF generation and decoding to DSP-uP  
designs", Digital Signal Processing Applications with  
the TMS320 Family, Vol. 1, Texas Instruments, pp.  
30       545-557 (1989).

          The following two references describe DTMF systems  
used by companies active in this field:

Microelectronics Analog Communications Handbook,  
Mitel Corporation, pp. 3-27 to 3-33 (1990). This  
35       reference discloses a DTMF detector that is not  
configurable.

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1           Boston Technology Voice Processing Systems, "B.  
Technical Specification", ACCESS™ SERIES Technical  
Manual, pp. B-1 to B-18. On page B-8 of this reference  
is a statement that the minimum detectable tone  
5           duration is configurable from 16 msec to 100 msec.  
There is no suggestion that other characteristics are  
configurable, or that even this one characteristic is  
configurable from mode to mode. In the present  
invention, not just one but 16 characteristics are  
10           configurable; and they are independently configurable  
across four modes of operation, for a total of 64  
configurable parameters.

#### Summary of the Invention

15           The present invention is an apparatus and method  
for detecting DTMF signals in a real-time digital  
massaging system (10). An input signal (11,12)  
contains DTMF signals, audio, video, data, and/or  
noise. A determining means (37) determines whether a  
20           DTMF signal is present on the input signal (11, 12).  
The determining means (37) has as inputs the input  
signal (11,12) and a set of parameters (stored in 38)  
that describes a plurality of characteristics of the  
input signal (11, 12). All of the parameters are  
25           configurable by the user to minimize the effects of  
talkoff and to allow non-standard telephone equipment  
(13, 14) to be used in the digital massaging system  
(10).

30

35

1     Brief Description of the Drawings

          These and other more detailed and specific objects  
and features of the present invention are more fully  
disclosed in the following specification, reference  
5     being had to the accompanying drawings, in which:

          Fig. 1 is a matrix from a conventional DTMF  
terminal (such as a touchtone keypad of a telephone),  
showing the pair of DTMF frequencies associated with  
each key;

10     Fig. 2 is a timing sketch showing a digital  
sampling frame of the preferred embodiment of the  
present invention superimposed upon a DTMF tone of  
minimum receive duration;

          Fig. 3 is a block system level diagram  
15     illustrating the environment in which the present  
invention operates;

          Fig. 4 is a block diagram illustrating the  
principal functional modules within each digital signal  
processor 31 of the preferred embodiment of the present  
20     invention;

          Fig. 5a - d are analog representations of a DTMF  
digit 1 as it progresses through various modules within  
the block diagram of Fig. 4; and

          Fig. 6 is a state diagram illustrating the  
25     operation of a preferred embodiment of heuristics  
engine 37.

30

35

1        **Detailed Description**

          The heart of a modern digital messaging system is a computer that is referred to herein as master computer 10. An example of such a device is described in Digital Sound Corporation's brochure entitled "DSC-2000 VoiceServer™: The Fast Track to Digital Voice Processing", which document is herein incorporated by reference. The primary means of interfacing with master computer 10 is the DTMF (Dual Tone Multi Frequency) signals that are created, e.g., when one presses the keys on a touchtone keypad of a telephone 13, 14. Each DTMF digit comprises two sinusoidal signal components added together. The DTMF keypad is typically arranged as a matrix, four rows by three columns or four rows by four columns, as illustrated in Fig. 1. Each intersection point in the matrix specifies the two frequency components that define a DTMF digit. As described in the above Bell/EIA publications, a number of criteria must be met for the digit to be registered as a valid DTMF digit.

          Although the requirements for DTMF detection are well specified, they are not well adhered to in practice. There is a large amount of telephone equipment 13,14 on the market which fails to meet one or more of the specified characteristics. When such equipment 13,14 is connected to a digital messaging center 10, failures often occur. For example, telephone equipment 13,14 that exceeds the twist specification will cause keypresses to be ignored.

          Another failure mode is talkoff. Talkoff occurs when the master computer 10 interprets non-DTMF signals, such as voice signals or noise, as a DTMF digit. This can result in inappropriate action being taken by the digital messaging center 10. A determination can be made as to a detector's acceptable limits of talkoff performance by using Bellcore's DTMF Digit Simulation Test Tape, which assesses a detector's

1 susceptibility to talkoffs. In the present invention,  
the parameters can be tailored to minimize talkoff,  
e.g., "required frames on" can be increased from 3 to  
4 (corresponding to an increase from 40 msec to 51  
5 msec).

It is an object of the present invention to create  
a configurable parameterized DTMF detector that allows  
the tailoring of DTMF recognition depending upon the  
particular telephone equipment 13,14 in place. With  
10 this configurability, the present invention is able to  
take corrective action to accommodate non-standard  
equipment 13,14 at a given site 10 without affecting  
installations at other sites 10.

It is important to note that there are a number of  
15 distinct signal characteristics that are representative  
of a DTMF digit. These characteristics relate to the  
absolute magnitude of the two frequencies, deviation of  
the frequencies from nominal, difference in amplitude  
between low band and high band peak amplitudes,  
20 presence of out-of-band energies, consistency of  
amplitude from frame to frame, and duration. In the  
present invention, 16 characteristics are used, each of  
which can vary across four modes of operation, for a  
total of 64 configurable parameters. Because of this  
25 configurability, the field support technician has the  
ability to modify the DTMF recognition environment 10.

The 16 characteristics used in the present  
invention are defined as follows. In each case, the  
definitions will become more meaningful when considered  
30 in light of the tests described later in this  
specification.

absm - absolute amplitude of a nominal DTMF signal  
in dBm.  
delta - range (tolerance) in dB within which every  
35 DFT (discrete Fourier transform) amplitude  
must remain from frame to frame based upon  
its initial value in state one.

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- 1 fdi - frequency deviation index. It can have a value of 0, 1 or 2, representing respectively 2%, 2.5% and 3% deviation between the frequency of the actual amplitude peak and the nominal DTMF amplitude peak.
- 5 h21t - high to low twist in dB, i.e., the difference between the high band amplitude peak and the low band amplitude peak when the high band peak is greater.
- 10 12ht - low to high twist in dB, i.e., the difference between the low band amplitude peak and the high band amplitude peak when the low band peak is greater.
- 15 cons - inter-frame consistency in dB. Measures the frame to frame consistency of the normalized DFT amplitudes.
- 20 rfon - required frames on. A positive integer representing the number of full frames for which a candidate DTMF signal must be present in order to determine that it is an actual DTMF signal.
- 25 rfoff - required frames off. A positive integer representing the required number of frames during which a candidate DTMF signal must not be present in order to make a determination that a DTMF signal is not in fact present.
- 30 echo0 - an absolute value in dBm representing a threshold for echo test 0.
- 35 ef10 - echo factor low for echo test 0 in dB. The amplitude of the candidate DTMF signal must equal or exceed another signal by this amount for certain compares in echo test 0.
- efh0 - echo factor high for echo test 0 in dB. The amplitude of the candidate DTMF signal must equal or exceed the amplitude of another signal by this amount for certain compares in echo test 0.

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- 1       efx0 -       echo factor x for echo test 0 in dB. The  
                  amplitude of the candidate DTMF signal must  
                  equal or exceed the amplitude of another  
                  signal by this amount for certain compares in  
5                   echo test 0.
- echo1 -       an absolute value in dBm representing a  
                  threshold for echo test 1.
- ef11 -       echo factor low for echo test 1 in dB. The  
                  amplitude of the candidate DTMF signal must  
10                   equal or exceed another signal by this amount  
                  for certain compares in echo test 1.
- efh1 -       echo factor high for echo test 1 in dB. The  
                  amplitude of the candidate DTMF signal must  
                  equal or exceed the amplitude of another  
15                   signal by this amount for certain compares in  
                  echo test 1.
- efx1 -       echo factor x for echo test 1 in dB. The  
                  amplitude of the candidate DTMF signal must  
                  equal or exceed the amplitude of another  
20                   signal by this amount for certain compares in  
                  echo test 1.

In the digital messaging system 10 illustrated herein, there are four modes of operation, defined as follows:

- 25       1.    Default Mode - the mode that is present  
          whenever microprocessor 21 is not recording into or  
          playing from a message file 22. A message file 22 is  
          a digital storage area, e.g., on a hard disk, where a  
          digital message is stored by microprocessor 21. The  
30       message file 22 may contain audio, data, video, or any  
          combination thereof.
2.   Record Mode - the mode that is present during  
          the recording of information by microprocessor 21 onto  
          a message file 22.
- 35       3.   Message Mode - the mode that is present  
          during the playing (sending back to a user 13, 14) of  
          an unprocessed message file 22. An unprocessed message

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1 file 22 is a message that entered via a telephone line  
11, 12 from an external source and will later be  
destroyed. In the illustrated embodiment, a message  
file 22 is a type of Unix file within the "Univox™"  
5 operating system that has been specifically created by  
Digital Sound Corporation for real time data flow.  
Univox™ is fully compatible with Unix System V Release  
3 from American Telephone & Telegraph Co.

4. Prompt Mode - the mode that is present during  
10 the playing of a processed message file 22 (prompt),  
i.e., a predefined message. One is able to pretest  
prompts 22 for talkoff susceptibility. This is not  
possible with unprocessed message files 22, and is the  
primary motivation for distinguishing between message  
15 and prompt modes.

A secondary motivation for distinguishing between  
message and prompt mode is to be able to recognize long  
distance (close to the -36 dBm level minimum) DTMF  
digits over prompts on a two-wire circuit 11, 12.  
20 Whenever there is a four-to-two-wire conversion in  
telephony analog electronics, a portion of the outbound  
signal is echoed back onto the input signal. This  
degrades the qualities of the input signal and may  
result in a missed digit. Because one is able to test  
25 that the prompts 22 will not talkoff, computer 10 can  
be configured to accept lower quality DTMFs and improve  
DTMF recognition performance in the prompt mode.  
Therefore, it is important to be able to configure the  
DTMF detector 10 based upon its mode of operation.

30 In the preferred embodiment, a set of standard  
configurations for each of the 64 parameters has been  
empirically derived, and is referred to as a DTMF  
configuration hub, stored in RAM 38 (see Fig. 4). Each  
hub has a default set of parameters that is expected to  
35 meet the needs of the majority of installations 10.  
Other sets of parameters deal with single point  
failures that typically occur. For example, it is

1 possible that a PBX (Private Branch Exchange) telephone  
 system will cause all legitimate DTMF signals to fail  
 the twist test (minus 8 dB negative twist plus 4 dB  
 positive twist). The system 10 transfer function so  
 5 alters the signals that a valid DTMF fails this test.  
 A particular set of twist parameters can then be used  
 for this installation 10 to correct this failure.  
 Another example is a customer with a large number of  
 individual telephones 13,14 that have a tendency to  
 10 generate talkoff. The major contributor to talkoff is  
 that the Bell/EIA 40 msec duration specification is so  
 short. It is not possible to eliminate all talkoff,  
 but if the prevalence of talkoff is high, the customer  
 may choose to lengthen the minimum duration needed to  
 15 validate a DTMF digit, thereby reducing the number of  
 speech simulating DTMFs that occur. The tradeoff is  
 that it takes longer to enter valid DTMF digits.

In tailoring the parameters, the tradeoff is  
 always talkoff. If the parameters are loosened to  
 20 accommodate non-conforming hardware 13,14, the  
 incidence of talkoff will increase.

Table 1 hereinbelow gives the set of 64 default  
 parameters for each of the sixteen characteristics in  
 each of the four modes:

25

Table 1

DEFAULT PARAMETERS

	<u>absm</u>	<u>delta</u>	<u>fdi</u>	<u>h2lt</u>	<u>12ht</u>	<u>cons</u>	<u>rfon</u>	<u>rfoff</u>
30 default mode	1-36	9	0	6	9	3	3	3
record mode	1-36	9	0	6	9	3	3	3
message mode	1-36	9	0	6	9	3	3	3
prompt mode	1-36	9	0	8	11	3	3	3
35								
	<u>echo0</u>	<u>ef10</u>	<u>efh0</u>	<u>efx0</u>	<u>echo1</u>	<u>ef11</u>	<u>efh1</u>	<u>efx1</u>
default mode	-30	2.5	9	12	-36	2.5	6	6

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1	record mode	-30	2.5	9	16	-36	2.5	9	10
	message mode	-30	2.5	9	12	-36	2.5	6	6
5	prompt mode	-36	2.5	3	3	-36	2.5	3	3

The default parameters are fully compliant with the Bell/EIA specifications. Only after specific analysis has been completed at a site 10 will a configuration change be made. If appropriate, the new parameters will not comply with the Bell/EIA specifications if this is needed in order to correct for a particular anomaly in the installation 10.

As can be seen from Fig. 3, computer 10 comprises a system controller 20, line Interface Controller (LIC) 30, and line interface module (LIM) 40. Analog telephone lines 11 connect user telephones 13 to LIM 40. The analog signal passes through low pass filter 41 within LIM 40. Filter 41 acts as an anti-aliasing filter, i.e., it removes high frequencies that are outside the Nyquist window. The filtered signal then passes through analog-to-digital converter 42, which is also present within LIM 40. In the preferred embodiment, an 8000 Hz sampling rate is used within A/D converter 42. This relatively high sampling rate is chosen, even in view of the fact that the highest nominal DTMF frequency is 1633 Hz, because at this point the signal contains other than DTMF signals, e.g., it might contain voice, and because of the Nyquist criterion, which mandates that the digital sampling frequency must be at least twice the analog frequency.

The output of A/D converter 42 is a digital signal having 256 levels (8 bits) traveling on bus 16 to LIC 30. Bus 16 uses techniques of time division multiplexing (TDM) to account for a plurality of telephone users 13, 14 simultaneously. Data entering

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1 LIC 30 has to be coded following the CODEC or PCM A-Law  
or Mu-Law standards.

The present invention can accommodate digital  
telephone lines 12 as well as analog telephone lines  
5 11. In this case, the telephone users 14 communicate  
over the digital telephone lines 12 to LIC 30 via T1  
LIM (line interface module) 43 and TDM bus 16.

System controller 20 contains a microprocessor 21,  
which in the preferred embodiment is an Intel 80386  
10 microprocessor running a version of the Unix operation  
system. System controller 20 is in two-way  
communications with LIC 30 and LIM 40 via multibus 15.

LIC 30 also contains an Intel 80386 microprocessor  
32 and a set of digital signal processors (DSPs) 31.  
15 In a working embodiment, there are eight DSPs 31, each  
having the capability of supporting two to three  
telephone lines 11, 12. Each DSP 31 is preferably a  
Texas Instruments TMS320C25. A TMS32010 would also be  
suitable, but with fewer telephone lines 11,12  
20 supported.

Within each digital signal processor 31 is a set  
of modules (typically RAM modules containing software),  
illustrated in Fig. 4, which carry out a sequence of  
events under the control of system controller 20.

25 Fig. 2 illustrates a set of frames superimposed  
upon a nominal DTMF tone having a duration of 40 msec.  
A frame is defined as the sampling interval during  
which a set of Fourier transforms is performed by  
Goertzel transform module 36. The Bell/EIA standard  
30 specification states that if a candidate DTMF signal is  
less than 23 msec in duration, one is obliged to reject  
it; if it is over 40 msec in duration, one is obliged  
to accept it; and if it is between 23 msec and 40 msec  
in duration, one can either accept it or reject it.  
35 The choice of frame length represents a tradeoff  
between temporal resolution and frequency resolution.  
If one lengthened the frame, one would obtain more

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1 accurate frequency determination, but the temporal  
resolution would suffer, as can be seen from Fig. 2;  
and vice-versa. In the preferred embodiment, the frame  
has been selected to be 11.25 msec, which corresponds  
5 to 90 sampling points within each frame at a sampling  
rate of 8 KHz. This provides enough resolution to  
handle the minimum temporal specifications and yet  
provide adequate frequency resolution to handle  
frequency deviated DTMFs. Because of the down-sampling  
10 introduced by down-sampling module 35, only 45 points  
are examined by Goertzel transform module 36.

Scaling module 32 is needed because DSP 31 uses  
fixed point arithmetic. The function of scaling module  
32 is to maximize arithmetic precision by using the  
15 full register length of DSP 31. A large signal is not  
changed by module 32. A small signal is scaled  
upwards, and information regarding the amount of the  
scaling is stored within DSP 31. Fig. 5a is an analog  
representation of a DTMF digit 1 as it exits module 2.  
20 One optimization is potentially used at this time: if  
the input data scaling factor used by module 32 to  
scale the input data up before filtering is a maximum,  
then it is already known that the input data is too  
weak to be a valid DTMF signal. If this condition is  
25 true, remaining calculations and tests are not  
performed, and low pass filter 34 is reinitialized.  
Because of this, overflow checking is not performed  
during filtering to save real-time.

The signal is next processed by Hamming window 33,  
30 which converts the signal so that the middle part of  
the frame is exaggerated. Fig. 5b is an analog  
representation of the digital signal as it exits window  
33. Hamming window 33 is used to minimize the effects  
of finite analysis, by minimizing the artifacts that  
35 are introduced when the signal is transformed from the  
time domain to the frequency domain in Goertzel  
transform module 36.

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1           The signal then passes through elliptic low pass  
filter 34, which acts as an anti-aliasing filter to  
remove high frequencies that are introduced as the  
meaning of high frequency is redefined from 8 KHz to 4  
5           KHz. This redefinition occurs because at this point,  
just candidate DTMF signals and not higher frequency  
signals such as voice are being processed. The 4 KHz  
sampling rate allows faster processing than the 8 KHz.  
The low pass filter specification is tailored to  
10          minimize the effects of the filter 34 in the passband,  
i.e., minimize passband ripple.

          Down-sampling module 35 completes the high  
frequency redefinition process by means of selecting  
only every other point in the digital data stream and  
15          rejecting the rest. Thus, each frame now has 45 data  
points. Prior to down-sampling, the data can be scaled  
down to avoid overflow during the Goertzel  
calculations.

          The signal then passes to Goertzel transform  
20          module 36. The functioning of Goertzel module 36 is  
described in references cited in the Background Art  
section of this specification. The Goertzel  
transformation is equivalent to performing Fourier  
transforms at 16 preselected frequency points. For  
25          this relatively low number of frequencies, the Goertzel  
transformation is more accurate than a fast Fourier  
transformation would be. Fig. 5c is an analog  
representation of the results of the Fourier  
transformation portion of module 36; and Fig. 5d  
30          illustrates the output of Goertzel module 36: a set of  
DFT (discrete Fourier transform) amplitudes for sixteen  
frequencies. The frequencies are the eight nominal  
DTMF frequencies, plus four frequencies that are just  
above and just below the two amplitude peaks, plus four  
35          other test frequencies. The "amplitude peaks" are  
those two DFTs having the highest amplitudes, one from  
the low band (697 Hz to 941 Hz) and one from the high

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1 band (1209 Hz to 1633 Hz). These become the candidate  
DTMF signals. The signal from the low band is known as  
the low band peak, and the signal from the high band is  
known as the high band peak. Two indices are also  
5 passed by Goertzel module 36, indicating the two  
candidate DTMF signals and therefore the candidate DTMF  
digit.

For each frame, the DFT amplitudes at the nominal  
frequencies for the eight components of the DTMF digits  
10 (697 Hz, 770 Hz, 852 Hz, 941 Hz, 1209 Hz, 1336 Hz, 1477  
Hz, and 1633 Hz) are computed on the 45 point data  
array. From these data points, the high band peak and  
low band peak are determined. Next, the frequency  
deviated frequencies are computed from the Goertzel  
15 coefficient tables.

The next step in the calculations is to compute  
the test DFT amplitudes. The frequencies for these  
points (310 Hz, 410 Hz, 510 Hz, and 1075 Hz) were  
chosen so as to cover as many as possible of the  
20 remaining frequency "bins" left in the 2000 Hz band.  
Additionally, these frequencies were chosen to have  
little interdependence (harmonic relationships). As  
will be seen later, these test points will play an  
important role in the heuristics tests.

25 Both normalized and unnormalized DFT values are  
used by heuristics engine 37. Normalized DFT values  
are used whenever absolute levels, independent of  
scaling, are needed and when data are to be compared  
between frames, as the scaling factors may be  
30 different. Normalized DFTs account for the scaling  
that was used in the computations, and correspond to  
physical voltage levels. These normalized DFT values  
provide good amplitude resolution with a large  
logarithmic dynamic range.

35 Unnormalized DFTs are internal numbers that are  
used when accuracy is a more important criterion than

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1 consistency. Due to scaling and recursive computation,  
they range between 0 and 400.

5 The 16 DFT amplitudes (in both normalized and  
unnormalized form) and two indices are fed to  
heuristics engine 37, along with the 64 configurable  
parameters that are stored in RAM 38. Heuristics  
engine 37 then determines whether the candidate DTMF  
digit is, in fact, an actual DTMF digit, and if so,  
which one. This information is fed to system  
10 controller 20, which takes appropriate action based  
upon the DTMF digit.

The heuristics comprise primarily of a set of  
routines that return a Boolean value for the given  
test. These Boolean values then drive a state machine.  
15 For example, starting with state 0 in the upper right  
of Fig. 6, if any one of tests a, f, and t are failed,  
the state remains at 0. If tests a, f, and t are all  
passed, state 1 is entered. The state machine accounts  
for most of the temporal heuristics, such as minimum  
20 signal duration, by outputting in each state the  
current state of the output DTMF digit. The state  
diagram (Fig. 6) transitions at the completion of each  
analysis frame, only state specific tests affect the  
state machine, as is evident in Fig. 6.

25 If the initial set of 64 parameters produces an  
unacceptable level of talkoff or otherwise causes  
malfunctioning of the system 10, some or all of the  
parameters are then reconfigured by changing the  
contents of RAM 38, to fit the requirements of the  
30 particular equipment 13, 14. This is accomplished by  
running a Unix shell script out of microprocessor 21.

In the preferred embodiment, DT is the name of the  
Unix DTMF detection module invoked by microprocessor  
21. DT\_unit initializes a DT\_Frame structure defined  
35 in DT\_Var, and initializes low pass filter 345.  
DT\_detect is called to process the input signal and

-17-

1 determine whether a valid DTMF digit is present in the  
input data stream 11,12.

The tests that are performed by heuristics engine  
37 are defined as follows. All of the tests are  
5 performed within the frame of 11.25 msec. Some of the  
test results are used many times, as shown by Fig. 6.

#### Absolute Magnitude Test

The absolute magnitude test checks to see that the  
low band peak amplitude and the high band peak  
10 amplitude are above the absolute magnitude threshold,  
absm. As can be seen from Table 1, the default value  
of absm in all modes is -36 dBm. Additionally, once  
into state 1 (s1), only full analysis frames are  
processed (i.e., the input signal has been activated  
15 long enough that the asynchronous character of the  
input signal 11,12 is no longer a factor: a full set  
of data points is analyzed for each frame except for  
the first and last frames). Therefore, in order to  
pass the absolute magnitude test, every DFT amplitude  
20 must remain within a specified tolerance, delta, of the  
initial value set in state 1. The default value of  
delta in all modes is 9 dB. The absolute magnitude  
test uses normalized DFT amplitudes, because DFTs are  
compared to absolute thresholds as well as compared  
25 between frames.

#### Frequency Deviation Test

The frequency deviation test is performed to verify  
that the low and high band peak amplitudes are not  
frequency deviated. The Bell/EIA DTMF specifications  
30 require that DTMF frequency components must be accepted  
if the frequencies deviate by 1.5 percent or less, and  
must be rejected if the frequencies deviate by 3.5  
percent or more. This test checks amplitudes at test  
frequencies that are +/- 4.0, 5.0, or 6.0 percent away  
35 from the nominal values. These values correspond to  
2.0, 2.5, and 3.0 percent rejection thresholds,  
respectively, all of which are between the 1.5 and 3.5

-18-

1 percent limits. For example, if the amplitude at the  
nominal frequency minus 4% is greater than the  
amplitude at the nominal frequency, it is known that  
the actual peak has a frequency less than the nominal  
5 frequency minus 2%. The choice allows for heuristic  
parameterization to allow for real world environments.  
The default value of fdi for all modes is zero, which  
corresponds to the 2.0 percent rejection threshold.  
Because this test is concerned only with the current  
10 frame (scale values constant) and the comparisons are  
relative, unnormalized DFT amplitudes are used, to  
maximize relative precision.

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1        Twist Test

          Twist is defined as the difference in amplitude  
between the low and high band peaks. Normal twist  
(h21t) is defined as the amplitude difference when the  
5        high band peak amplitude is greater. Reverse twist  
(12ht) is defined conversely. The twist values are  
relative, and only the current frame is considered.  
Therefore, unnormalized DFT values are used for this  
test. The Bell/EIA specifications require acceptance  
10        of normal twist up to 4.0 dB and reverse twist up to  
8.0 dB. As can be seen from Table 1, twist values  
exceed the specifications' minimum requirements.

Echo Test

          The echo test checks for out of band energies in  
15        order to minimize talkoff. This test checks the  
amplitudes of the low and high band peaks relative to  
the DFT amplitudes at the six other DTMF nominal  
frequencies as well as at the four test frequencies.  
Due to analytical limitations given the window size of  
20        11.25 msec, the analysis lobe associated with a given  
DFT amplitude is very large (the -30 dB -point  
bandwidth is 320 Hz). Therefore, the relative  
frequency difference between neighboring nominal DTMF  
frequency components is considered when computing the  
25        echo factors (ef10, efh0, efx0, ef11, efh1, efx1).  
These echo factors are the dB ratio between the low or  
high band peak amplitude and another DFT amplitude.  
The echo test is performed only if both the low and  
high band peak amplitudes are greater than the echo  
30        thresholds echo0 and echo1. The test checks the low  
band nominal frequencies (excluding the low peak)  
against the low peak. The test then checks the high  
band nominal frequencies (excluding the high peak)  
against the high peak. Finally, the low and high peaks  
35        are compared with the test frequencies. If any  
non-peak value exceeds the peak value minus the  
specific echo factor, the test fails, and the current

-20-

1 frame is considered to be "speech-like." Because all  
comparisons are relative and made within the same  
analysis frame, unnormalized DFT values are used.  
Relatively large echo factors are used in modes where  
5 one wishes to minimize susceptibility to talkoff (e.g.,  
record mode), whereas relatively small echo factors are  
used in modes that are considered "talkoff resistant"  
(e.g., prompt mode).

The echo test is performed in two stages: echo  
10 test 0 and echo test 1. The parameters echo0, ef10,  
efh0 and efx0 are used for echo test 0. The parameters  
echo1, ef11, efh1, and efx1 are used for echo test 1.

First echo test 0 is performed. The amplitude at  
the low band peak is compared with echo0. If this  
15 amplitude is greater than or equal to echo0, this part  
of the test is passed and the next part is entered. If  
the amplitude of the low band peak is less than echo0,  
the remaining portions of echo test 0 are not  
performed, i.e., it is equivalent to passing echo test  
20 0. Continuing with echo test 0, the amplitude of the  
low band peak is compared with the amplitudes at each  
of the three other low band DTMF frequencies. For the  
nearest frequency neighbor in either direction, ef10 is  
used for the compare. For frequencies offset by two  
25 frequency bins, efh0 is used for the compare. In each  
case, the ratio of the amplitude of the candidate DTMF  
signal to the amplitude of the other signal must be  
greater than or equal to the corresponding echo factor  
in order for the test to be passed.

30 Then the high band peak is compared with the  
amplitudes at the other high band frequencies. Ef10 is  
not used for these compares, because there are greater  
frequency separations within the high band. Rather,  
efh0 is used for compares with the nearest frequency  
35 neighbor in either direction, and efx0 is used for  
other compares. Again, the ratio of the amplitude of  
the high band peak to the other DFT amplitude must be

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1 greater than or equal to the corresponding echo factor  
in order for this portion of the test to be passed.

Then compares are performed with the DFT at the  
test frequency of 1075 Hz. If the low band peak is 941  
5 Hz, and the high band peak is not 1209 Hz, the low and  
peak amplitude is compared with the amplitude at 1075  
Hz using efh0. If the high band peak is 1209 Hz and  
the low band peak is not 941 Hz, the amplitude of the  
high band peak is compared with the amplitude at 1075  
10 Hz using efh0. If the low band peak is 941 Hz and the  
high band peak is 1209 Hz, no compares are performed  
against the amplitude at 1075 Hz; rather, this portion  
of the test is automatically passed. Next, compares  
are performed between the low band peak and each of the  
15 three test frequencies other than 1075 Hz using efx0.  
Finally, three more compares are performed: the high  
band peak is compared with each of the three test  
frequencies other than 1075 Hz using efx0.

If all of the above tests are passed, echo test 0  
20 is passed, and echo test 1 is performed. Echo test 1  
is performed exactly as for echo test 0, except that  
the parameters are echo1, ef11, efh1, and efx1.

If all of the compares for echo tests 0 and 1 are  
passed, then the echo test has been passed.

25 Consistency Test

The consistency test checks to see that the DFT  
amplitudes from frame to frame are within a specified  
range, cons. Because comparisons are performed across  
analysis frames, normalized DFT amplitudes are used.  
30 Because the Bell/EIA specifications require that a  
pulse must be accepted if it has a duration of at least  
40 msec and must be rejected if it has a duration of  
less than 23 msec, cons must be in the range of from  
1.1 dB to 5.4 dB. These numbers were derived from  
35 worst-case analysis given worst-case frame sampling and  
assuming partial frame sizes from 8.750 msec for the  
minimum acceptance case to 5.875 msec for the maximum

-22-

1 rejection case. The default value of cons for all  
modes is 3.0 dB.

Temporal Test

5 The temporal test checks to see that the low and  
high band peaks are consistent across frame boundaries.  
If the peak appears rfon number of times in a row, it  
is validated. If it is absent rfoff number of times in  
a row, it is assumed not to exist.

10 The above description is included to illustrate  
the operation of the preferred embodiment and is not  
meant to limit the scope of the invention. The scope  
of the invention is to be delimited only by the  
following claims. From the above discussion, many  
variations will be apparent to one skilled in the art  
15 that would yet be encompassed by the spirit and scope  
of the invention.

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1       **WHAT IS CLAIMED IS:**

1.     In a digital messaging system, apparatus for detecting DTMF signals, said apparatus comprising:

5             an input signal containing items from the set comprising DTMF signals, audio, video, data, and noise; and

           means for determining whether a DTMF signal is present on the input signal, said determining means having as inputs said input signal and a set of stored parameters describing a plurality of characteristics of the input signal for a plurality of modes of operation of the digital messaging system; wherein

10             all the parameters are configurable.

15

2.     The apparatus of claim 1 wherein the input signal is analog.

3.     The apparatus of claim 1 wherein the input signal is digital, said apparatus further comprising:

20

           interposed between the input signal and the determining means, an analog to digital converter.

4.     The apparatus of claim 1 wherein there is one parameter for each of a set of characteristics of the input signal and for each of a set of modes of operation of the digital messaging system.

25

5.     The apparatus of claim 4 wherein the characteristics pertain to the absolute magnitude of frequency components appearing on the input signal, the deviation of frequencies compared with nominal DTMF tones, the difference in amplitude between low band and high band frequency peaks, out of band energies, consistency of amplitudes from sampling frame to sampling frame, and consistency of low and high band peaks across frame boundaries.

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6. The apparatus of claim 4 wherein the modes of operation are default mode, record mode, message mode, and prompt mode.

5

7. The apparatus of claim 1 wherein when said determining means has determined that a DTMF signal is present on the input signal, said determining means further determines which of a set of possible DTMF digits is present.

10

8. The apparatus of claim 1 wherein:  
the determining means comprises a heuristics engine;

15

the parameters are stored in a random access memory; and

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interposed between the input signal and the heuristics engine are the following components, connected in the following sequence: a scaling module, a Hamming window, a low pass filter, a down-sampling module, and a Goertzel transform module.

25

9. The apparatus of claim 1 wherein the determining means performs the following tests on the input signal from the following set of tests: absolute magnitude test, frequency deviation test, twist test, echo test, consistency test, and temporal test.

30

10. In a digital messaging system, a computer-implemented process for determining whether a DTMF digit appears on an input signal, said process comprising the steps of:

35

creating a set of parameters for each of a group of input signal characteristics and each of a group of operating modes of the digital messaging system, wherein each of the parameters can be tailored to the peculiarities of the system; and

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1                   applying a series of tests to the input  
signal using the set of parameters, to make a  
determination as to whether the input signal contains  
a DTMF digit.

5

11. The process of claim 10 wherein the tests  
that are performed are from the following set of tests:  
absolute magnitude test, frequency deviation test,  
twist test, echo test, consistency test, and temporal  
10 test.

12. The process of claim 10 wherein the  
characteristics that are tested are selected from the  
group of characteristics comprising absolute magnitude  
15 of a signal, change in magnitude of a signal from frame  
to frame, frequency deviation of a signal compared with  
a nominal DTMF frequency, high to low test, low to high  
twist, consistency of signal from sampling frame to  
sampling frame, number of consecutive frames that a  
20 candidate DTMF digit must be present, number of  
consecutive frames that a candidate DTMF digit must not  
be present, and difference in amplitude between a  
candidate DTMF digit and other items present on the  
input signal.

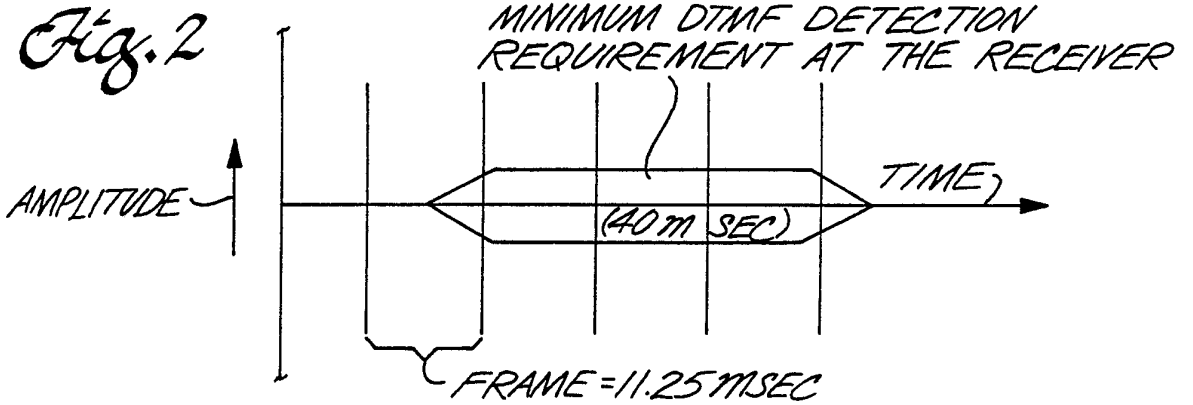
25

13. The process of claim 10 wherein the modes of  
operation of the digital messaging system are default  
mode, record mode, message mode, and prompt mode.

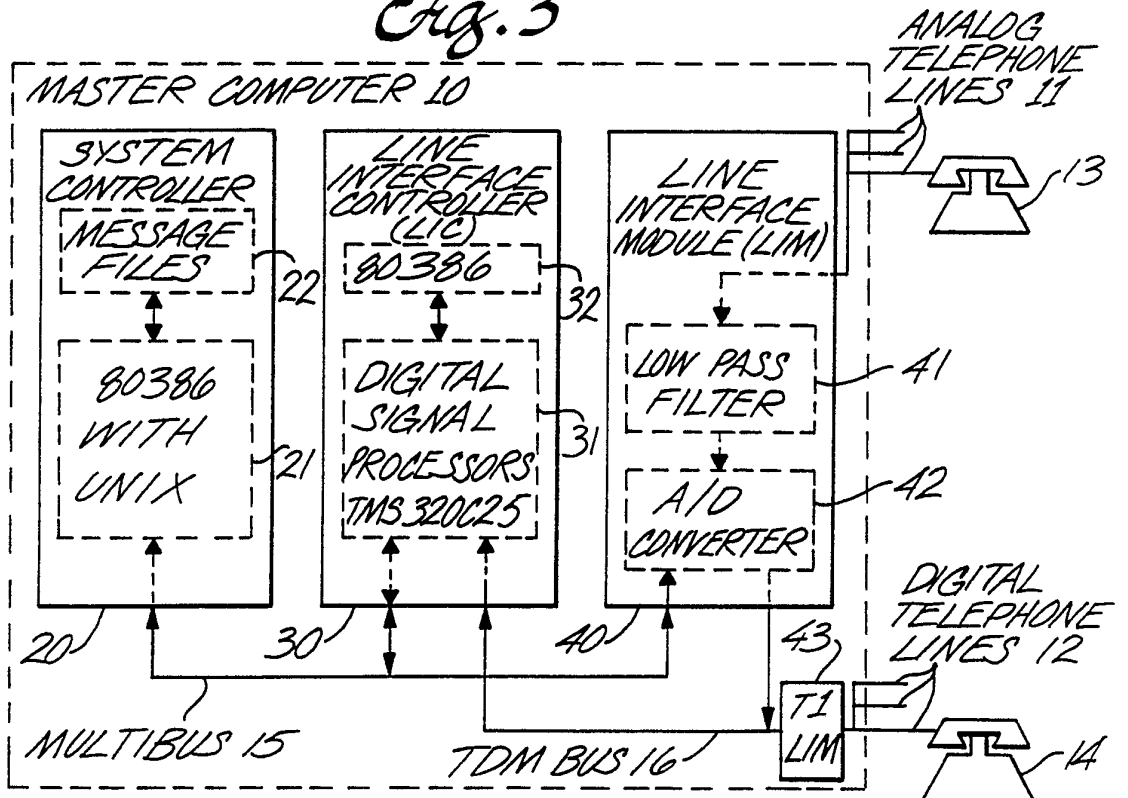
30                   14. The process of claim 10 wherein prior to the  
applying step, a set of Goertzel transformations in  
performed on the input signal, said transformations  
creating a set of amplitude values for eight nominal  
DTMF frequencies, four frequency deviation frequencies,  
35 and four test frequencies.

*Fig. 1*  
PRIOR ART

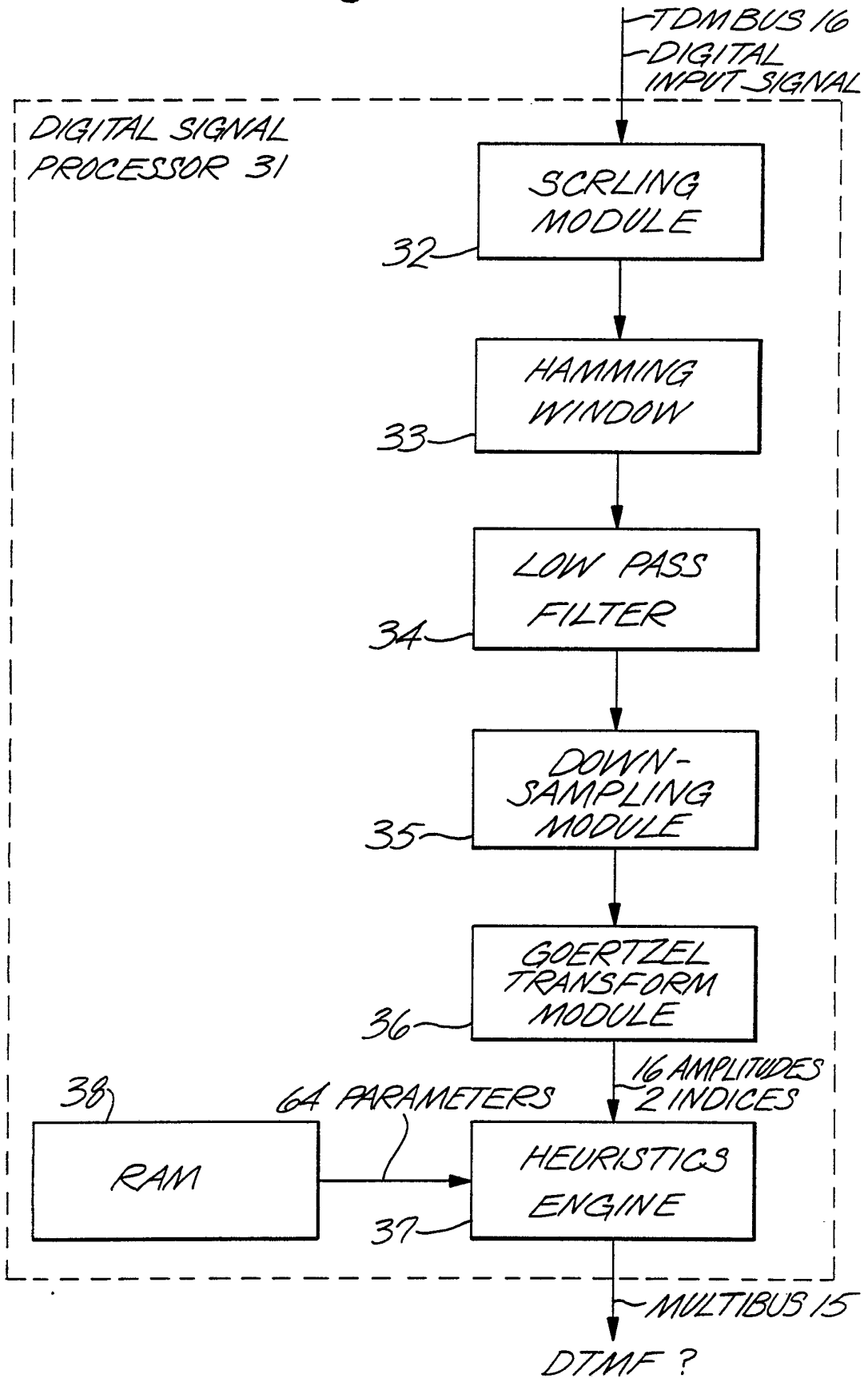
		HIGH BAND FREQUENCY (Hz)			
		1209	1336	1477	1633
LOW BAND FREQUENCY (Hz)	697	1	2	3	A
	770	4	5	6	B
	852	7	8	9	C
	941	*	0	#	D



*Fig. 3*

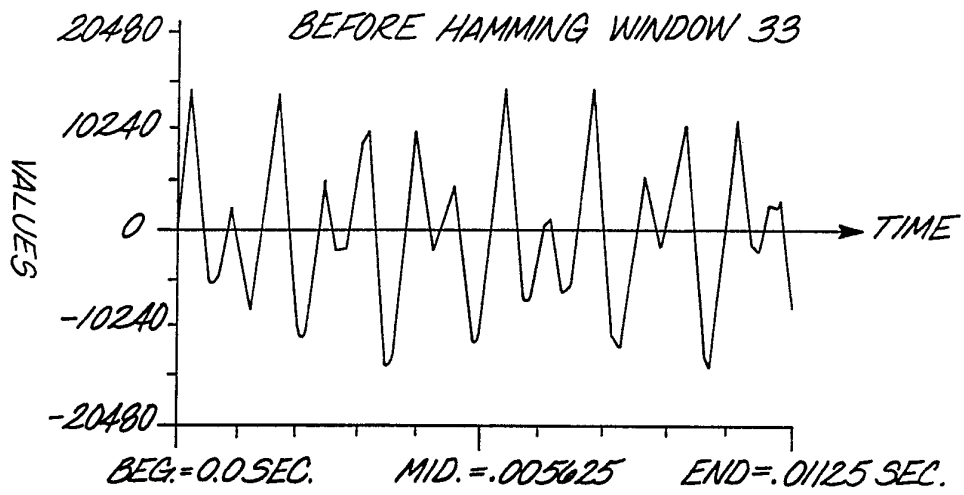


215  
Fig. 4



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*Fig. 5a*



*Fig. 5b*



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Fig. 5c

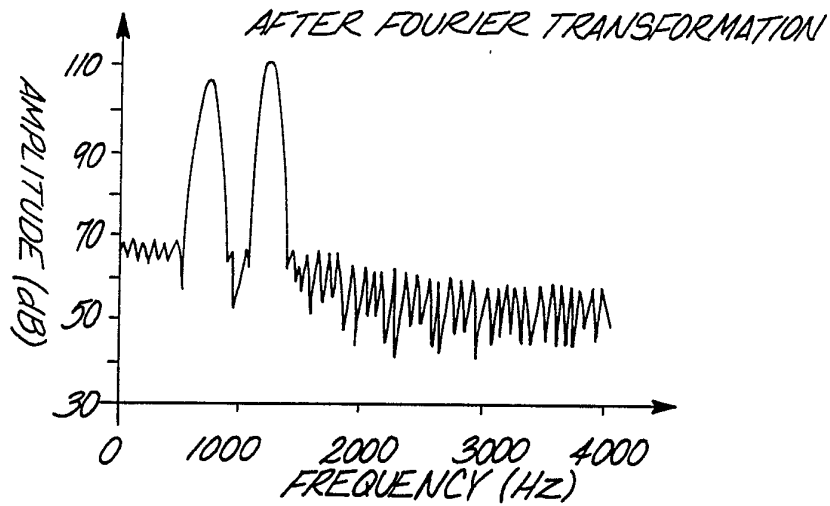
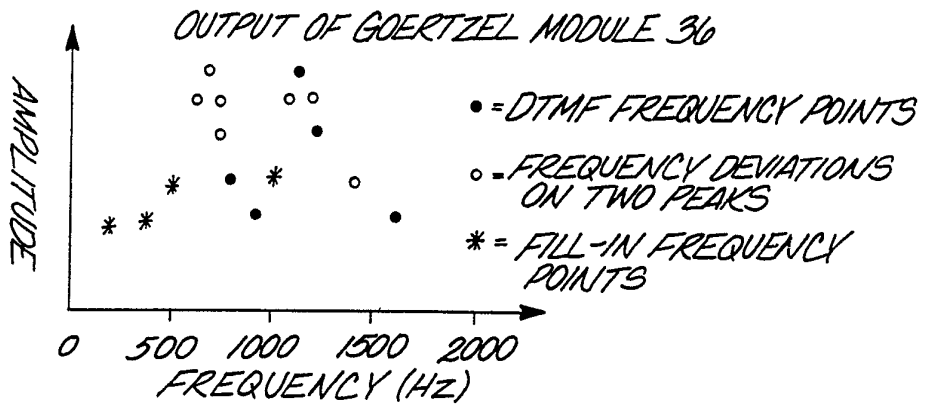
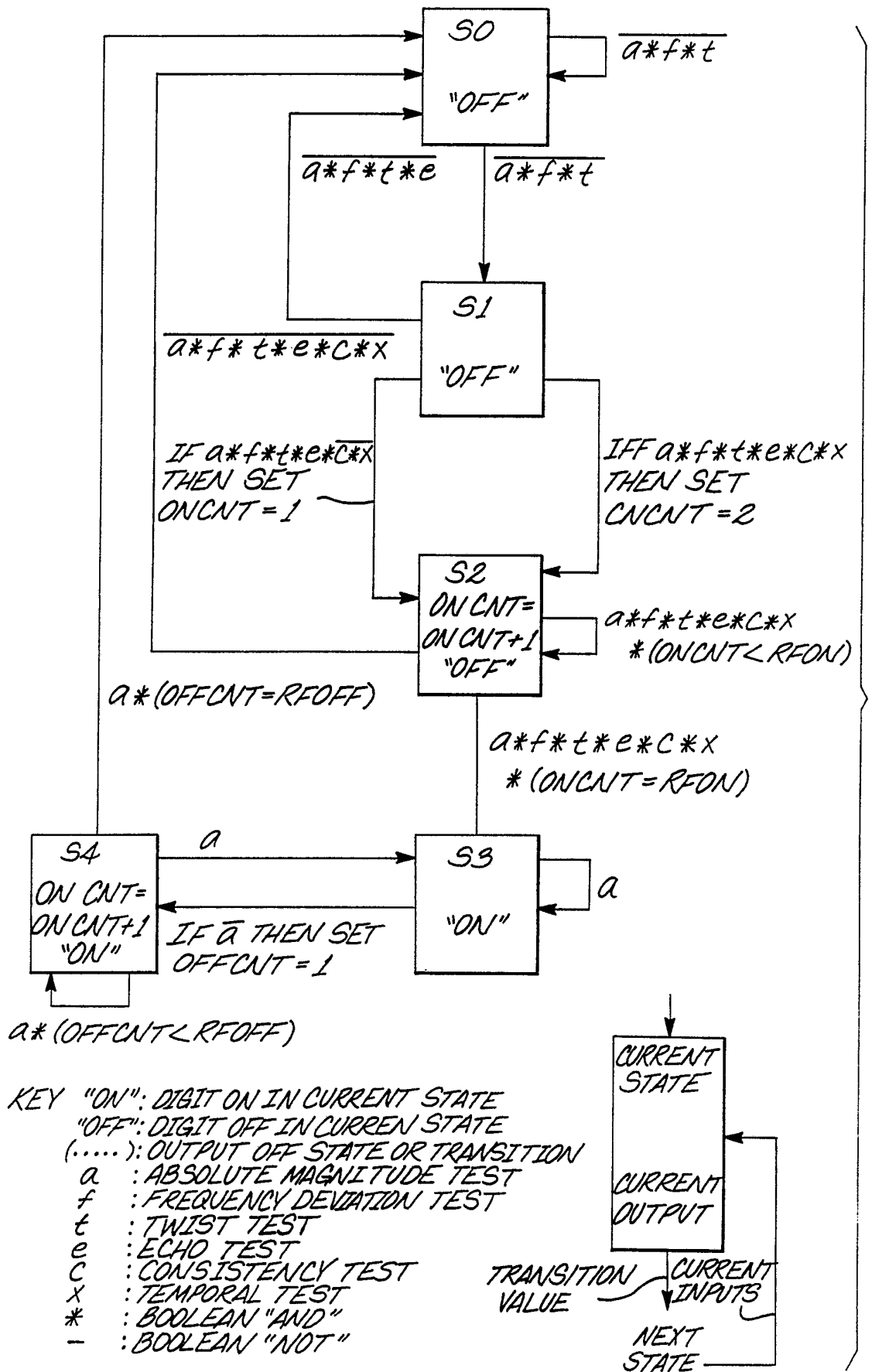


Fig. 5d



5/5 Fig. 6



# INTERNATIONAL SEARCH REPORT

International Application No. **PCT/US92/00518**

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>3</sup>		
According to International Patent Classification (IPC) or to both National Classification and IPC		
IPC (5) : H04J 3/12 US CL : 370/110.3		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>4</sup>		
Classification System	Classification Symbols	
U.S.	370/110.3, 110.2, 17; 379/40, 41, 97, 339	
Documentation Searched other than Minimum Documentation to the extent that such Documents are included in the Fields Searched <sup>5</sup>		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>14</sup>		
Category*	Citation of Document, <sup>16</sup> with indication, where appropriate, of the relevant passages <sup>17</sup>	Relevant to Claim No. <sup>18</sup>
X	US, A, 4,748,654 (Gray) 31 May 1988 See col. 4, line 42 to col. 5, line 5	1-3,7,10
A	US, A, 4,755,985 (Jayapalan) 05 July 1988 See figs. 2 and 7, col. 8	4,5,8,9,11-14
<p>* Special categories of cited documents:<sup>16</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"&amp;" document member of the same patent family</p>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search <sup>2</sup>	Date of Mailing of this International Search Report <sup>2</sup>	
02 JUNE 1992	05 JUN 1992	
International Searching Authority <sup>1</sup>	Signature of Authorized Officer <sup>2a</sup>	
ISA/US	Tejesghen Samuel	