

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
21 December 2000 (21.12.2000)

PCT

(10) International Publication Number
WO 00/77132 A1

(51) International Patent Classification⁷: C10M 159/12,
C10L 1/22, C08F 8/30, C08G 81/02, 85/00 // (C10M
159/12, 129:92, 129:93, 129:95, 133:02, 133:04, 133:06,
145:12, 145:14, 145:16), C10N 20:04, 30:02, 30:04

Naresh, C.; 393 Leverett Lane, Highland Hts., OH 44143
(US).

(21) International Application Number: PCT/US00/15586

(74) Agent: FISCHER, Joseph, P.; The Lubrizol Corporation,
Patent Dept. - 022B, 29400 Lakeland Blvd., Wickliffe, OH
44092-2298 (US).

(22) International Filing Date: 6 June 2000 (06.06.2000)

(81) Designated States (national): AU, CA, SG.

(25) Filing Language: English

(84) Designated States (regional): European patent (BE, DE,
ES, FR, GB, IT, NL, SE).

(26) Publication Language: English

(30) Priority Data:
09/329,433 10 June 1999 (10.06.1999) US

Published:

- With international search report.
- Before the expiration of the time limit for amending the
claims and to be republished in the event of receipt of
amendments.

(71) Applicant: THE LUBRIZOL CORPORATION
[US/US]; Patent Dept. - 022B, 29400 Lakeland Blvd.,
Wickliffe, OH 44092-2298 (US).

(72) Inventors: SHANKLIN, James, R., Jr.; 7458 Hunt-
ing Lake Drive, Concord, OH 44077 (US). MATHUR,

For two-letter codes and other abbreviations, refer to the "Guid-
ance Notes on Codes and Abbreviations" appearing at the begin-
ning of each regular issue of the PCT Gazette.



WO 00/77132 A1

(54) Title: LUBRICATING OIL ADDITIVES

(57) Abstract: A composition, comprising from about 20% to about 80% by weight of a diluent, prepared by reacting a mixture comprising: (A) an esterified carboxy-containing interpolymers, said interpolymers being derived from at least two monomers, (i) one of said monomers being at least one of an aliphatic olefin containing from 2 to about 30 carbon atoms and a vinyl aromatic monomer and (ii) the other of said monomers being at least one alpha, beta unsaturated acylating agent, and having, before esterification, Mn determined by gel permeation chromatography ranging from about 8,000 to about 350,000, wherein from about 80% to about 99% of the carboxylic groups of said interpolymers are esterified, wherein from about 80 to about 100% of the ester groups contain from 8 to about 23 carbon atoms and from 0 to about 20% of the ester groups contain from 2 to 7 carbon atoms, and (B) a hydrocarbyl substituted carboxylic acid or functional derivative thereof wherein the hydrocarbyl group comprises from about 10 to about 400 carbon atoms, with (C) an amine having an average of more than 1 condensable N-H groups, wherein from about 0 to about 95% of the diluent is present during the reacting and the product obtained from said reacting is further combined with additional diluent such that the total diluent comprises from about 20% to about 80% by weight of the total weight of the composition.

TITLE: LUBRICATING OIL ADDITIVES

5 FIELD OF THE INVENTION

This invention relates to performance improving additives for lubricating oils. In particular, the invention relates to additives useful for improving viscosity and dispersancy characteristics of lubricating oils.

BACKGROUND OF THE INVENTION

10 The viscosity of oils of lubricating viscosity is generally dependent upon temperature. As the temperature of the oil is increased, the viscosity usually decreases, and as the temperature is reduced, the viscosity usually increases.

 The function of a viscosity improver is to reduce the extent of the decrease in viscosity as the temperature is raised or to reduce the extent of the increase in
15 viscosity as the temperature is lowered, or both. Thus, a viscosity improver ameliorates the change of viscosity of an oil containing it with changes in temperature. The fluidity characteristics of the oil are improved.

 Viscosity improvers are usually polymeric materials and are often referred to as viscosity index improvers.

20 Ester group containing polymers are well-known additives for improving the fluidity characteristic of lubricating oils. Polyacrylate, particularly polymethacrylate ester polymers, and esterified carboxy-containing interpolymers are well-known and are widely used for this purpose.

 Dispersants are also well-known in the lubricating art. Dispersants are
25 employed in lubricants to keep impurities, particularly those formed during operation of mechanical devices such as internal combustion engines, automatic transmissions, etc. in suspension rather than allowing them to deposit as sludge or other deposits on the surfaces of lubricated parts..

 Multifunctional additives that provide both viscosity improving properties
30 and dispersant properties are likewise known in the art. Such products are described in numerous publications including Dieter Klamann, "Lubricants and Related

Products", Verlag Chemie GmbH (1984), pp 185-193; C. V. Smalheer and R. K. Smith "Lubricant Additives", Lezius-Hiles Co. (1967); M. W. Ranney, "Lubricant Additives", Noyes Data Corp. (1973), pp 92-145, M. W. Ranney, "Lubricant Additives, Recent Developments", Noyes Data Corp. (1978), pp 139-164; and M.
5 W. Ranney, "Synthetic Oils and Additives for Lubricants", Noyes Data Corp. (1980), pp 96-166. Each of these publications is hereby expressly incorporated herein by reference.

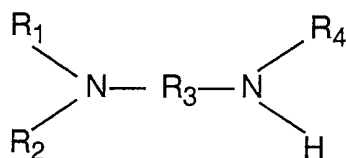
It is desirable that the viscosity improver or dispersant viscosity improver not adversely affect the low-temperature viscosity of the lubricant containing same.
10 Frequently, while many viscosity improvers or dispersant viscosity improvers enhance the high temperature viscosity characteristics of lubricating oil, that is, they reduce the loss of viscosity with increasing temperature, low temperature properties of the treated lubricant become worse.

One of the major requirements for automatic transmission fluids has been
15 improved low temperature performance as demonstrated by a maximum Brookfield viscosity of 20,000 centipoise at -40°C. The viscosity modifier, which can comprise nearly 50 weight percent of the total additive system employed in an automatic transmission fluid can have a major impact on the low temperature performance. Such characteristics are also desirable in other applications such as in gear
20 lubricants. The copolymers of this invention are also useful in many other lubricating oil compositions including, but not limited to engine oils, hydraulic oils, industrial oils, etc.

Various pour point depressants, additives which reduce the temperature at which oil will flow freely, have been developed and those to reach the commercial
25 market have primarily been organic polymers, although some monomeric substances such as tetra (long chain alkyl) silicates, phenyl tristearoxy-silane, and pentaerythritol tetrastearate have been shown to be effective. Presently available commercial pour point depressants are believed to be represented by the following types of polymeric materials: polymethacrylates, for example, copolymers of various
30 chain length alkyl methacrylates (see, for example, U.S. Patent 2,655,479); polyacrylamides (see, for example, U.S. Patent 2,387,501); Friedel-Crafts

condensation products of chlorinated paraffin wax with naphthalene (see, for example, U.S. Patents 1,815,022 and 2,015,748); Friedel-Crafts condensation products of chlorinated paraffin wax with phenol (see, for example, U.S. Patent 2,191,498); and vinyl carboxylate, such as dialkyl fumarate copolymers
 5 (see, for example, U.S. Patents 2,666,746; 2,721,877 and 2,721,878).

Esters of maleic anhydride/alpha-olefin copolymers have been suggested as pour point depressants. For example, U.S. Patent 2,977,334 describes the use of copolymers of maleic anhydride and ethylene which are esterified with low or high molecular weight alcohols and/or amidized with an amine. These resins are
 10 described as being useful as pour point modifiers, gelling agents, thickeners, viscosity improvers, etc., for mineral and synthetic oils including functional fluids and lubricating oils. U.S. Patent 2,992,987 describes a class of lubricant additives useful as pour point depressants which are ethylene-maleic anhydride copolymers esterified to 80% or more, preferably 90-100%, with a mixture of straight-chain
 15 saturated hydrocarbon alcohols having from 8 to 24 carbon atoms. The unesterified carboxylic groups can be left unreacted or can be reacted with such materials as ethylene or propylene oxide alcohol esters, or lower-dialkyl-amino-lower-alkylene-amines. U.S. Patents 3,329,658 and 3,449,250 describe copolymers of maleic anhydride and alpha-olefins such as ethylene, propylene, isobutylene or vinyl
 20 aromatic compounds such as styrene as being useful dispersancy and detergency additives for oils, as well as pour point depressants and viscosity index improvers. The copolymer is esterified to about 30 to about 95% with aliphatic alcohols or mixtures of alcohols having from 10 to 20 carbon atoms, and the remaining carboxyl groups are reacted with an amine of the following formula:



25

where R_1 and R_2 are selected from the group consisting of aliphatic hydrocarbon radicals having from 1 to 4 carbon atoms and the cyclohexyl radical, R_3 is an aliphatic hydrocarbon radical having from 2 to 4 carbon atoms, and R_4 is selected

from the group consisting of hydrogen and aliphatic hydrocarbon radicals having from 1 to 4 carbon atoms.

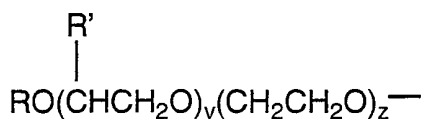
U.S. Patents 3,702,300 and 3,933,761 (Coleman) describe carboxy-containing interpolymers in which some of the carboxy radicals are esterified and the remaining carboxy radicals are neutralized by reaction with a polyamino compound having one primary or secondary amino group and at least one mono-functional amino group, and indicate that such interpolymers are useful as viscosity index improving and anti-sludge agents in lubricating compositions and fuels. The patentee indicates that it is critical that the mixed esters described in these patents include both relatively high molecular weight carboxylic ester groups having at least eight aliphatic carbon atoms in the ester radical and relatively low molecular weight carboxylic ester groups having no more than seven aliphatic carbon atoms in the ester radical.

U.S. Patent 4,604,221 (Bryant et al) relates to interpolymers similar to those described in the aforementioned '300 and '761 patents, except the ester groups contain at least 8 carbon atoms in the ester radical.

U.S. Patent 5,124,059 (Koch et al) describes esters of similar interpolymers characterized by the presence within its polymeric structure of the following groups which are derived from carboxy groups of said interpolymer:

(A) at least one carboxylic ester group having at least 8 aliphatic carbon atoms in the ester group;

(B) at least one carboxylic ester group having an ester group of the formula



wherein R is a hydrocarbyl group of about 1 to about 50 carbon atoms, R' is a hydrocarbyl group of about 1 to about 50 carbon atoms, y is a number in the range of zero to about 50 and z is a number in the range of zero to about 50 with the proviso that both y and z cannot be zero; and optionally

(C) at least one carboxylic ester group having no more than 7 aliphatic carbon atoms in the ester group.

U.S. Patent 3,956,149 issued to Coleman relates to a lubricant or fuel composition containing a nitrogen-containing ester of a carboxy-containing interpolymer.

U.S. Patent 3,959,159 issued to Coleman relates to lubricating compositions
5 containing a nitrogen-containing mixed ester of a carboxy-containing interpolymer.

U.S. Patent 4,284,414 issued to Bryant relates to a crude oil composition containing mixed alkyl esters of a carboxy-containing interpolymer.

U.S. Patent 4,180,637 issued to Evani et al. relates to a process for preparing a low molecular weight carboxy-containing copolymer.

10 U.S. Patent 4,200,720 issued to Evani et al. relates to a process for preparing a low molecular weight carboxy-containing interpolymer.

U.S. Patent 3,085,994 issued to Muskat relates to a carboxy-containing interpolymer.

U.S. Patent 3,388,106 issued to Muskat relates to a process for making a
15 carboxy-containing interpolymer.

U.S. Patent 3,392,155 issued to Muskat relates to a polyoxy alkylene glycol ester of a carboxy-containing interpolymer.

U.S. Patent 5,157,088 (Dishong et al) relates to nitrogen-containing esters of carboxy-containing interpolymers having relatively low inherent viscosity.

20 U.S. Patent 4,088,589 relates to lubricating oils blended from petroleum distillates and, if desired, a bright stock containing waxy or wax-like components and modified by the presence of copolymeric ethylene-higher alpha-olefins viscosity index improving agents, having their low temperature performance improved when said copolymer contains a minor weight proportion of ethylene by the addition of
25 from 0.15 to 1%, based on the total weight of said lubricating oil composition of a combination of pour point depressants comprising: (a) from about 0.05 to about 0.75 wt. % of an oil-soluble condensation product of a chlorinated wax of from 10 to 50 carbon atoms and a mono- or dinuclear aromatic compound; and (b) from 0.05 to 0.75 wt. % of an oil soluble polymer of C₁₀₋₁₈ alkyl acrylate and/or an interpolymer
30 of a vinyl alcohol ester of a C₂ to C₁₈ alkanolic acid and di-(C₄-C₁₈ alkyl) fumarate.

The Society of Automotive Engineers (SAE) has issued a standard, J-300 (December 1995), which defines limits for classification of engine lubricating oils in rheological terms. This standard contains limits for various engine oil viscosity grades. Also included in the standard are discussions of low temperature and of high
5 temperature test methods.

Dispersant-viscosity improvers are frequently prepared by functionalizing, i.e., adding polar groups, to a hydrocarbon polymer backbone.

Hayashi, et al., U.S. 4,670,173 relates to compositions suitable for use as dispersant-viscosity improvers made by reacting an acylating reaction product which
10 is formed by reacting a hydrogenated block copolymer and an alpha-beta olefinically unsaturated reagent in the presence of free-radical initiators, then reacting the acylating product with a primary amine and optionally with a polyamine and a mono-functional acid.

Chung et al., U.S. 5,035,821 relates to viscosity index improver-dispersants
15 comprised of the reaction products of an ethylene copolymer grafted with ethylenically unsaturated carboxylic acid moieties, a polyamine having two or more primary amino groups or polyol and a high functionality long chain hydrocarbyl substituted dicarboxylic acid or anhydride.

Van Zon et al., U.S. 5,049,294, relates to dispersant/VI improvers produced
20 by reacting an alpha-beta unsaturated carboxylic acid with a selectively hydrogenated star-shaped polymer then reacting the product so formed with a long chain alkane-substituted carboxylic acid and with a C₁ to C₁₈ amine containing 1 to 8 nitrogen atoms and/or with an alkane polyol having at least two hydroxy groups or with the preformed product thereof.

25 Bloch et al., U.S. 4,517,104, relates to oil soluble viscosity improving ethylene copolymers reacted or grafted with ethylenically unsaturated carboxylic acid moieties then with polyamines having two or more primary amine groups and a carboxylic acid component or the preformed reaction product thereof.

Gutierrez et al., U.S. 4,632,769, describes oil-soluble viscosity improving
30 ethylene copolymers reacted or grafted with ethylenically unsaturated carboxylic

acid moieties and reacted with polyamines having two or more primary amine groups and a C₂₂ to C₂₈ olefin carboxylic acid component.

Steckel, U.S. 5,160,648 describes dispersant materials prepared by reacting highly condensed polyamines with carboxylic reactants and phenolic reactants.

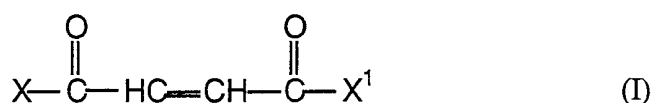
5 Covitch, U.S. 5,707,943 describes mixtures of esterified carboxy-containing interpolymers, wherein residual acidity of the esterified interpolymers may be neutralized by reaction with an amine, and additive concentrates and lubricating oil compositions containing same.

Harrison et al in U.S. Patent Nos. 5,821,205; 5,849,676; 5,851,965;
10 5,853,434 and 5,872,083 describe a succinimide composition prepared by reacting a mixture of an alkenyl or alkylsuccinic acid derivative, an unsaturated acidic reagent copolymer, and a polyamine under reactive conditions. The alkenyl or alkyl substituent of the alkenyl or alkylsuccinic acid derivative has a $\overline{M}_n$ of from 1000 to 5000. The unsaturated acidic reagent copolymer is a copolymer of an unsaturated
15 acidic reagent and an alkylene group. The alkylene group can be an α -olefin having 8 to 42 carbon atoms, a polyalkylene having from 8 to 28 carbon atoms, ethylene, styrene, 1,3-butadiene, vinyl alkyl ether having at least 3 carbon atoms, or vinyl alkanoate having at least 4 carbon atoms. The polyamine has at least 3 nitrogen atoms and 4 to 20 carbon atoms. The mixture contains from 0.5 to 10 equivalents of
20 the alkenyl or alkylsuccinic acid derivative per equivalent of unsaturated acidic reagent copolymer and from 0.4 to 1.0 moles of polyamine per equivalent of alkenyl or alkylsuccinic acid derivative plus unsaturated acidic reagent copolymer.

Harrison et al in U.S. Patent No. 5,716,912; describe a succinimide composition prepared by reacting a mixture of an alkenyl or alkylsuccinic acid
25 derivative, an unsaturated acidic reagent copolymer, and a polyamine under reactive conditions; then treating the reaction product with either a cyclic carbonate or a linear mono- or polycarbonate or boron compound under reactive conditions. The alkenyl or alkyl substituent of the alkenyl or alkylsuccinic acid derivative has a $\overline{M}_n$ of from 1800 to 3000. The unsaturated acidic reagent copolymer has a $\overline{M}_n$ of from
30 2000 to 4800, and is a copolymer of an unsaturated acidic reagent and an olefin having an average of from 14 to 30 carbon atoms. The polyamine has at least 3

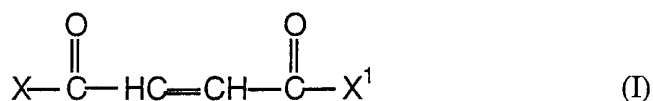
nitrogen atoms and 4 to 20 carbon atoms. The mixture contains from 1.5 to 10 equivalents of the alkenyl or alkylsuccinic acid derivative per equivalent of unsaturated acidic reagent copolymer and from 0.4 to 1.0 moles of polyamine per equivalent of alkenyl or alkylsuccinic acid derivative plus unsaturated acidic reagent copolymer.

Barr et al. (U.S. 5,670,462) discloses a process which comprises reacting at an elevated temperature a copolymer of an olefin and a monomer having the structure



wherein X and X¹ are the same or different provided that at least one of X and X¹ is such that the copolymer can function as a carboxylic acylating agent and a succinimide prepared from an acyclic hydrocarbyl substituted succinic acylating agent and a polyamine.

Wilby et al. (U.S. 5,719,108) disclose dispersant viscosity improvers for lubricating oils which comprise the reaction product of a copolymer of octadecene-1 and maleic anhydride, said copolymer having a number average molecular weight from greater than 6300 to less than 12000 and a succinimide prepared from a polyamine and an acyclic succinic acylating agent of the formula



wherein X and X¹ are the same or different provided that at least one of X and X¹ is such that the copolymer can function as a carboxylic acylating agent and optionally a primary or secondary hydrocarbyl monoamine., and optionally a compound having at least two primary or secondary amino groups separated by at least 3 carbon atoms.

SUMMARY OF THE INVENTION

It is desirable to enable the formulator to prepare compositions which provide a broad spectrum of performance benefits. The instant invention relates to a composition, comprising from about 20% to about 80% by weight of a diluent, prepared by reacting a mixture comprising

(A) an esterified carboxy-containing interpolpolymer, said interpolpolymer being derived from at least two monomers, (i) one of said monomers being at least one of an aliphatic olefin containing from 2 to about 30 carbon atoms and a vinyl aromatic monomer and (ii) the other of said monomers being at least one alpha, beta-unsaturated acylating agent, and having, before esterification, number average molecular weight ($\overline{M}_n$) determined by gel permeation chromatography ranging from about 8,000 to about 350,000, wherein from about 80% to about 99% of the carboxylic groups of said interpolpolymer are esterified, wherein from about 80 to about 100% of the ester groups contain from 8 to about 23 carbon atoms and from 0 to about 20% of the ester groups contain from 2 to 7 carbon atoms, and

(B) a hydrocarbyl substituted carboxylic acid or functional derivative thereof wherein the hydrocarbyl group comprises from about 10 to about 400 carbon atoms, with

(C) an amine having an average of more than 1 condensable N-H groups, wherein from about 0 to about 95% of the diluent is present during the reacting and the product obtained from said reacting is further combined with additional diluent such that the total diluent comprises from about 20% to about 80% by weight of the total weight of the composition.

Depending upon the relative amounts of reactant (A) and (B) used, a composition which acts primarily as a viscosity improver with dispersant properties (DVM) or primarily as a dispersant with viscosity improving properties (VMD) may be prepared. Compositions with properties intermediate between these are also possible. Thus, compositions can be custom made for specific applications.

Because the compositions are polymeric in nature, to facilitate handling it is common to incorporate a diluent into the product. Frequently, diluent is used during processing to prepare the composition. It has now been found that the amount of diluent present during the reaction has a significant effect on the nature of the final composition. When the reaction is conducted using reduced levels of diluent, and after reaction additional diluent is added to bring the total diluent up to the desired level, a significant increase in the bulk viscosity of the composition is attained compared to the same reaction conducted with all the diluent being present at the

outset. The resulting composition provides increased viscosity control when used as an additive in lubricating oil compositions.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

It is to be understood that the expression "before esterification" when used in
5 reference to the carboxy containing interpolymer includes reference to an interpolymer which may be derived from one or more ester group containing monomers, but which has not been subjected to further esterification such that at least about 80% of the carboxylic groups of the interpolymer are esterified.

As used herein, the terms "hydrocarbon", "hydrocarbyl" or "hydrocarbon based"
10 mean that the group being described has predominantly hydrocarbon character within the context of this invention. These include groups that are purely hydrocarbon in nature, that is, they contain only carbon and hydrogen. They may also include groups containing substituents or atoms which do not alter the predominantly hydrocarbon character of the group. Such substituents may include
15 halo-, alkoxy-, nitro-, etc. These groups also may contain hetero atoms. Suitable hetero atoms will be apparent to those skilled in the art and include, for example, sulfur, nitrogen and oxygen. Therefore, while remaining predominantly hydrocarbon in character within the context of this invention, these groups may contain atoms other than carbon present in a chain or ring otherwise composed of carbon atoms
20 provided that they do not adversely affect reactivity or utility of the process or products of this invention.

In general, no more than about three non-hydrocarbon substituents or hetero atoms, and preferably no more than one, will be present for every 10 carbon atoms in the hydrocarbon or hydrocarbon based groups. Most preferably, the groups are
25 purely hydrocarbon in nature, that is, they are essentially free of atoms other than carbon and hydrogen.

Throughout the specification and claims the expression oil soluble or dispersible is used. By oil soluble or dispersible is meant that an amount needed to provide the desired level of activity or performance can be incorporated by being
30 dissolved, dispersed or suspended in an oil of lubricating viscosity. Usually, this means that at least about 0.001% by weight of the material can be incorporated into a

lubricating oil. For a further discussion of the terms oil soluble and dispersible, particularly “stably dispersible”, see U.S. Patent 4,320,019 which is expressly incorporated herein by reference for relevant teachings in this regard.

The expression “lower” is used throughout the specification and claims. As
5 used herein to describe various groups, the expression “lower” is intended to mean groups containing no more than 7 carbon atoms, more often, no more than 4, frequently one or two carbon atoms.

It must be noted that as used in this specification and appended claims, the singular forms also include the plural unless the context clearly dictates otherwise.
10 Thus the singular forms “a”, “an”, and “the” include the plural; for example “a monomer” includes mixtures of monomers of the same type. As another example the singular form “monomer” is intended to include both singular and plural unless the context clearly indicates otherwise.

In the context of this invention the terms “interpolymer” and “copolymer”
15 mean a polymer derived from two or more different monomers. Thus, a polymer derived from a mixture of, for example, methyl-, butyl-, C₉₋₁₁-, and C₁₂₋₁₈-methacrylates, or a polymer having two or more distinct blocks, is a interpolymer or a copolymer as defined herein. The copolymers of this invention also may contain units derived from nitrogen-containing monomers.

20 The expression “substantially inert” is used in reference to diluents. When used in this context, “substantially inert” means the diluent is essentially inert with respect to any reactants or compositions of this invention, that is, it will not, under ordinary circumstances, undergo any significant reaction with any reactant or composition, nor will it interfere with any reaction or composition of this invention.

25 The expression viscosity index (often abbreviated VI), is frequently used herein. Viscosity index is an empirical number indicating the degree of change in viscosity within a given temperature range. A high VI signifies an oil that displays a relatively small change in viscosity with temperature.

As used in the specification and claims, the term carboxy-containing refers to
30 polymers which are prepared using a carboxy-containing monomer. The carboxy-containing monomer is polymerized with other monomers to form the carboxy-

containing interpolymer. Since the carboxy-containing monomer is incorporated into the polymer backbone, the carboxy groups extend from the polymer backbone, e.g., the carboxy groups are directly attached to, pendant from, the polymer backbone.

5 In reference to the size of the ester groups, it is pointed out that an ester group is represented by the formula



and that the number of carbon atoms in an ester group is thus the combined total of the carbon atom of the carbonyl group and the carbon atoms of the (OR) group.

10 Thus, methyl methacrylate contains two carbon atoms in the ester group. A butyl ester contains five carbon atoms in the ester group.

Amounts of reactive components used to prepare the compositions of this invention are expressed in terms of moles or of equivalents. A mole of a compound is its formula weight, or for a polymer, its $\overline{M}_n$. It is often convenient to express
15 amounts in terms of equivalents which relate to amounts of reactive moiety present in a reactant.

(A) The Esterified Interpolymer

Reactant (A) is an esterified carboxy containing interpolymer. The interpolymer is described in greater detail hereinbelow.

20 From about 80% often from about 85%, frequently from about 92% up to 99% often to about 97% of the carboxy groups of the interpolymer are esterified, wherein from about 80 to about 100% of the ester groups contain from 8 to about 23 carbon atoms and from 0 to about 20% of the ester groups contain from 2 to 7 carbon atoms.

25 In one embodiment esterified groups of interpolymer (A) are characterized by the presence of at least one member of the group consisting of (a) pendant ester groups containing from about 12 to about 23 carbon atoms, and (b) pendant ester groups containing from 8 to about 11 carbon atoms; and optionally, (c) up to about 20 mole % of pendant ester groups containing from 2 to 7 carbon atoms, based on
30 the total number of moles of carboxylic groups in said interpolymer. In particular, said esterified groups of interpolymer (A) are characterized by the presence of each

of the following groups which are derived from the carboxy groups of said interpolymers: (a) from about 20 to about 80 mole % of pendant ester groups containing from about 12 to about 23 carbon atoms, (b) from about 80 to about 20 mole % of pendant ester groups containing from 8 to about 11 carbon atoms, and
5 optionally, (c) up to about 20 mole % of pendant ester groups containing from 2 to 7 carbon atoms, all based on the total number of moles of carboxylic groups in said interpolymers.

In a particular embodiment, the esterified carboxy containing interpolymers (A) comprises from 1 up to about 20 mole % based on moles of carboxylic groups in
10 said interpolymers of pendant carboxylic acid or anhydride groups. In a particular embodiment, the esterified interpolymers (A) is substantially free of ester groups containing from 2 to 7 carbon atoms.

The esterified interpolymers may be obtained by a number of means. In one embodiment, the interpolymers is prepared from carboxy containing monomers
15 essentially free of ester groups, comprising primarily carboxylic acid or anhydride groups, which interpolymers is then reacted with alcohols to prepare the desired ester. In another embodiment, some of the interpolymers comprises ester groups when the interpolymers is prepared from monomers comprising ester groups. The interpolymers also contains carboxylic acid and anhydride groups. Some or all of the ester groups
20 may be replaced with the desired ester groups via transesterification with alcohols. In yet another embodiment, the interpolymers is prepared from ester-containing monomers having the desired number of carbon atoms in the ester group.

Methods for obtaining carboxy containing interpolymers and ester formation therefrom are given in greater detail hereinbelow.

25 The Interpolymers

The carboxy-containing interpolymers useful in preparing the esters useful in the invention are copolymers, terpolymers, and other interpolymers of at least two monomers, (i) one of said monomers being at least one of an aliphatic olefin containing from 2 to about 30 carbon atoms and a vinyl aromatic monomer and (ii)
30 the other of said monomers being at least one alpha, beta-unsaturated acylating agent, typically a carboxylic acid or derivative thereof, and having before

esterification, $\overline{M}_n$ determined by gel permeation chromatography ranging from about 8,000 to about 350,000. The derivatives of the carboxylic acid are derivatives which are polymerizable with (i) the olefin or the vinyl aromatic monomers, and as such may be the esters, especially lower alkyl esters, e.g., those containing from 2 to
5 7 carbon atoms in the ester alkyl group, especially 2 carbon atoms, halides and anhydrides of the acids. The molar ratio of (i) to (ii) ranges from about 1:2 to about 3:1, preferably about 1:1. The carboxy-containing interpolymer is prepared by polymerizing the olefin or vinyl aromatic monomer with the alpha, beta-unsaturated carboxylic acid or derivative thereof.

10 Mixtures of two or more compatible (i.e., nonreactive to one another) interpolymers which are separately prepared are contemplated herein for use in preparation of the esterified interpolymer. Thus, as used herein, and in the appended claims, the terminology "interpolymer" refers to either one separately prepared interpolymer or a mixture of two or more of such interpolymers. A separately
15 prepared interpolymer is one in which the reactants and/or reaction conditions are different from the preparation of another interpolymer.

Procedures for preparing the interpolymers are well known and are described in detail in many publications including the aforementioned patents by Coleman, Bryant and Dishong, which are hereby incorporated herein by reference for relevant
20 disclosures of such procedures.

Still another important element of the present invention is the molecular weight of the carboxy-containing interpolymer before esterification. Useful interpolymers before esterification have number average molecular weight ($\overline{M}_n$) determined by gel permeation chromatography ranging from about 8,000 to about
25 350,000, preferably ranging from about 10,000 to about 200,000 often to about 100,000 or to about 70,000. It is important that the method for determining molecular weight is reliable, being consistently repeatable.

As noted, molecular weights of the interpolymers are determined by gel permeation chromatography (GPC). As is well known, this method is also known as
30 size-exclusion chromatography. This separation method involves column chromatography in which the stationary phase is a heteroporous, solvent-swollen

polymer network of a polystyrene gel varying in permeability over many orders of magnitude. The mobile phase is typically tetrahydrofuran. As the mobile phase containing the polymer sample passes through the gel, the polymer molecules diffuse in and out of the pores of the gel. Smaller molecules diffuse or permeate more completely resulting in a longer residence time; larger molecules permeate less and elute from the columns more rapidly. The molecular weight distribution of the interpolymers can be obtained by one of skill in the art by relating the molecular weights of calibration standards to the elution curve of the interpolymers. For the purpose of this invention a series of narrow dispersity polystyrenes is used for calibration.

Some interpolymers may interact with the column material, resulting in adsorption of the polymer. As noted hereinabove, the permeation of the interpolymers to varying degrees results in the separation of the molecules making up the polymer. However, when the interpolymers interact with the column packing, the ability of the polymer to permeate the columns is retarded. Since size exclusion chromatography relies upon the ability of the polymeric species to permeate the column materials and to elute from the column, any interaction with the column preventing this permeation adversely affects the molecular weight distribution. Possible polymer adsorption can be prevented by the addition of acetic acid to the mobile phase.

Instrumentation for determining molecular weights of the interpolymers includes a Waters 2690 separations module, an Eppendorf CH-460 multiple column heater (500 watt) with TC-55 dual channel heater control, Waters 410 Differential Refractometer and Waters Millennium Gel Permeation Chromatography (GPC) software for data acquisition and processing. Columns are 3 x PLgel 5 μ m Mixed C (excl. limit ~ 6 M); 300 x 7.5 mm; Cat. #1110-6500 and 1 x PLgel 5 μ m 100A; 300 x 7.5 mm; Cat #1110-6520.

The GPC method used herein involves preconditioning the columns with the acetic acid containing tetrahydrofuran solvent. This conditioning results in the reduction of adverse interactions of the solute with polar sites on the column.

Consistently repeatable molecular weight distributions are produced using this procedure.

Literature references relating to styrene-maleic anhydride copolymers and characterization thereof include

- 5 Taccx, J.C.J.F et al, Polymer, Vol. 37, 4307-4310 (1996);
 Chow, C.D., J. Applied Poly. Sci., Vol. 20, 1619-1626 (1976); and
 Baruah, S.D. et al, ibid., Vol. 60, 649-658 (1996).

These are expressly incorporated herein by reference for relevant disclosures contained therein.

10 Aliphatic Olefins

 Suitable aliphatic olefin monomers that are useful in the preparation of the interpolymers of the invention are mono-olefins of about 2 to about 30 carbon atoms. Included in this group are internal olefins (i.e., wherein the olefinic unsaturation is not in the "1" or alpha position) and mono-1-olefins or alpha-olefins. Alpha olefins
15 are preferred. Exemplary olefins include ethylene, propylene, 1-butene, isobutene, 1-pentene, 2-methyl-1-butene, 3-methyl-1-butene, 1-hexene, 1-heptene, 1-octene, 1-dodecene, 1-tridecene, 1-tetradecene, 1-pentadecene, 1-hexadecene, 1-heptadecene, 1-octadecene, 1-nonadecene, 1-eicosene, 1-heneicosene, 1-docosene, 1-tetracosene, 1-pentacosene, 1-hexacosene, 1-octacosene, 1-nonacosene, etc.
20 Commercially available alpha-olefin can also be used. Exemplary alpha-olefin mixtures include C₁₅₋₁₈ alpha-olefins, C₁₂₋₁₆ alpha-olefins, C₁₄₋₁₆ alpha-olefins, C₁₄₋₁₈ alpha-olefins, C₁₆₋₁₈ alpha-olefins, C₁₆₋₂₀ alpha-olefins, C₂₂₋₂₈ alpha-olefins, etc. Additionally, C₃₀₊ alpha-olefin fractions such as those available from Conoco, Inc. can be used. Preferred olefin monomers include ethylene, propylene and 1-butene.

25 The mono-olefins can be derived from the cracking of paraffin wax. The wax cracking process yields both even and odd number C₆₋₂₀ liquid olefins of which 85 to 90% are straight chain 1-olefins. The balance of the cracked wax olefins is made up of internal olefins, branched olefins, diolefins, aromatics and impurities. Distillation of the C₆₋₂₀ liquid olefins obtained from the wax cracking process yields
30 fractions (e.g., C₁₅₋₁₈ alpha-olefins) which are useful in preparing the interpolymers of this invention.

Other mono-olefins can be derived from the ethylene chain growth process. This process yields even numbered straight chain 1-olefins from a controlled Ziegler polymerization.

Other methods for preparing the mono-olefins of this invention include
5 chlorination-dehydrochlorination of paraffin and catalytic dehydrogenation of paraffins.

The above procedures for the preparation of mono-olefins are well known to those of ordinary skill in the art and are described in detail under the heading "Olefins" in the Encyclopedia of Chemical Technology, Second Edition, Kirk and
10 Othmer, Supplement, pages 632-657, Interscience Publishers, Div. of John Wiley and Son, 1971, which is hereby incorporated by reference for its relevant disclosures pertaining to methods for preparing mono-olefins.

Vinyl Aromatic Monomers

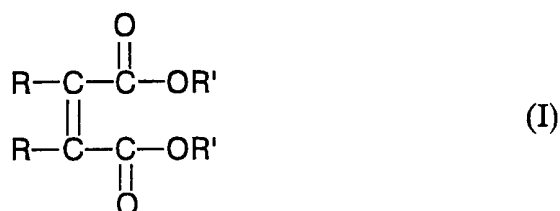
Suitable vinyl aromatic monomers which can be polymerized with the alpha,
15 beta-unsaturated acylating agents include styrene and the substituted styrenes although other vinyl aromatic monomers such as vinyl naphthalenes can also be used. The substituted styrenes include styrenes that have halo-, alkoxy-, carboxy-, hydroxy-, sulfonyl-, hydrocarbyl- wherein the hydrocarbyl group has from 1 to about 12 carbon atoms and other substituents. Exemplary of the hydrocarbyl-substituted
20 styrenes are alpha-methylstyrene, para-tert-butylstyrene, alpha-ethylstyrene, and para-lower alkoxy styrene. Mixtures of two or more vinyl aromatic monomers can be used. Styrene is preferred.

Alpha.Beta-Unsaturated Acylating Agent

Suitable alpha, beta-unsaturated acylating agents useful in the preparation of
25 the interpolymers are represented by carboxylic acids, anhydrides, halides, or esters, especially lower alkyl esters thereof. These include mono-carboxylic acids (e.g., acrylic acid, methacrylic acid, etc. or lower alkyl esters thereof, as well as dicarboxylic acids, anhydrides or lower alkyl esters thereof wherein a carbon-to-carbon double bond is in an alpha,beta- position to at least one of the carboxy
30 functions (e.g., itaconic acid, anhydride or lower esters thereof, α -methylene glutaric acid or esters thereof,) and preferably in an alpha, beta-position to both of the

carboxy functions of the alpha, beta-dicarboxylic acid, anhydride or the lower alkyl ester thereof (e.g., maleic acid or anhydride, fumaric acid, or lower alkyl esters thereof). Normally, the carboxy functions of these compounds will be separated by up to about 4 carbon atoms, preferably about 2 carbon atoms.

- 5 A class of preferred alpha,beta-unsaturated dicarboxylic acid, anhydrides or the lower alkyl esters thereof, includes those compounds corresponding to the formulae:



- (including the geometric isomers thereof, i.e., cis and trans) wherein each R is independently hydrogen; halogen (e.g., chloro, bromo, or iodo); hydrocarbyl or halogen-substituted hydrocarbyl of up to about 8 carbon atoms, preferably alkyl, alkaryl or aryl; (preferably, at least one R is hydrogen, more preferably, both R are hydrogen); and each R' is independently hydrogen or lower alkyl of up to about 7 carbon atoms (e.g., methyl, ethyl, butyl or heptyl). These alpha, beta-unsaturated dicarboxylic acids, anhydrides or alkyl esters thereof contain a total carbon content of up to about 25 carbon atoms, normally up to about 15 carbon atoms. Examples include maleic acid or anhydride; benzyl maleic anhydride; chloro maleic anhydride; heptyl maleate; itaconic acid or anhydride; ethyl fumarate; fumaric acid, mesaconic acid; ethyl isopropyl maleate; isopropyl fumarate; hexyl methyl maleate; phenyl maleic anhydride and the like. These and other alpha, beta-unsaturated dicarboxylic compounds are well known in the art. Maleic anhydride, maleic acid and fumaric

acid and the lower alkyl esters thereof are preferred. Interpolymers derived from the mixtures of two or more of any of these can also be used.

Alternatively, the (OR') group in the above formula may contain more than 7 carbon atoms, being derived from a mixture of alcohols, some containing over 7
5 carbon atoms, and in such instances, the ester group may remain attached to the carboxy group during and after formation of the interpolymers. This procedure provides a method of introducing the desirable ester groups initially, and eliminates the need to introduce the ester groups in a separate subsequent step.

In another preferred embodiment, the alpha,beta- unsaturated agent
10 comprises a mixture of two or more components. Thus, interpolymers prepared from reaction mixtures wherein (ii) comprises 2 or more, usually up to 4, preferably 2, different alpha-beta unsaturated acylating agents are contemplated. A non-limiting example might be a mixture of maleic acid or anhydride with esters of acrylic acids. Other mixtures are contemplated.

15 When (ii) comprises a mixture of monomeric components, they may be present in any amounts relative to one another. However, it is preferred that one of the components is present in a major amount, i.e., more than 50 mole % of the mixture. In an especially preferred embodiment, the total amount of additional components is present in amounts ranging from about 0.005 to about 0.3 moles, per
20 mole of major component, more often from about 0.01 to about 0.15 moles, preferably from about 0.03 to about 0.1 moles minor component per mole of major component.

Examples of preferred mixtures of acylating agents are maleic acid or anhydride with esters of acrylic acids, especially esters of methacrylic acid.
25 Preferred esters are lower alkyl esters. An especially preferred mixture of acylating agents is one containing maleic anhydride and lower alkyl esters of methacrylic acid. Especially preferred is a mixture of maleic anhydride and methyl or ethyl, preferably methyl, methacrylate.

Particularly preferred esters used in the compositions of this invention are
30 those of interpolymers made by reacting maleic acid, or anhydride or the lower esters thereof with styrene. Copolymers of maleic anhydride and styrene, and particularly

those having a molar ratio of maleic anhydride to styrene of about 1:1 are especially preferred. They can be prepared according to methods known in the art, as for example, free radical initiated (e.g., by benzoyl peroxide) solution polymerization. Examples of such suitable interpolymerization techniques are described in U.S. Patents 2,938,016; 2,980,653; 3,085,994; 3,342,787; 3,418,292; 3,451,979; 3,536,461; 3,558,570; 3,702,300; 3,723,375; 3,933,761; 4,284,414, and 4,604,221. These patents are incorporated herein by reference for their teaching of the preparation of suitable maleic anhydride and styrene containing interpolymers. Other preparative techniques are known in the art.

- 10 The carboxy-containing interpolymers may also be prepared using one or more additional interpolymerizable comonomers. The additional comonomer is present in relatively minor proportions. Generally, the total amount is less than about 0.3 mole, usually less than about 0.15 mole of additional comonomers for each mole of either the olefin or the alpha,beta- unsaturated carboxylic acylating agent.
- 15 Examples of additional comonomers include acrylamides, acrylonitrile, vinyl pyrrolidinone, vinyl pyridine, vinyl ethers, and vinyl carboxylates. In one embodiment, the additional comonomers are vinyl ethers or vinyl carboxylates.

Vinyl ethers are represented by the formula $R_1-CH=CH-OR_2$ wherein each R_1 is hydrogen or a hydrocarbyl group having 1 to about 30, or to about 24, or to about 12 carbon atoms and R_2 is a hydrocarbyl group having 1 to about 30 carbon atoms, or to about 24, or to about 12. Examples of vinyl ethers include methyl vinyl ether, propyl vinyl ether, 2-ethylhexyl vinyl ether and the like.

The vinyl ester of a carboxylic acid may be represented by the formula $R_3CH=CH-O(O)CR_4$ wherein R_3 is a hydrogen or hydrocarbyl group having from 1 to about 30, or to 12 carbon atoms, or just hydrogen, and R_4 is a hydrocarbyl group having 1 to about 30, or to about 12, or to about 8. Examples of vinyl esters include vinyl acetate, vinyl 2-ethylhexanoate, vinyl butanoate, vinyl crotonate. Vinyl carboxylates include vinyl acetate, vinyl butanoate, etc.

The molecular weight of such interpolymers can be adjusted to the range required in this invention, if necessary, according to conventional techniques, e.g., control of the reaction conditions.

As noted above, preferred interpolymers are prepared from a vinyl aromatic monomer and an aliphatic carboxylic acid or anhydride and esters thereof.

Preferred vinyl aromatic monomers are styrene or a substituted styrene (either ring substituted or substituted on the aliphatic $-C=C$ group), most preferably, styrene.

Preferred aliphatic carboxylic acids or anhydrides and esters thereof are at least one member selected from the group consisting of maleic acid or anhydride, itaconic acid or anhydride, fumaric acid, α -methylene glutaric acid, acrylic acid, methacrylic acid or an ester, especially a lower alkyl ester, more preferably a methyl ester, thereof.

In one particularly preferred embodiment the interpolpolymer is derived from styrene and maleic anhydride. In another preferred embodiment the interpolpolymer is derived from styrene, maleic anhydride and methacrylic acid or an ester thereof.

In the latter preferred embodiment, the mole ratio of styrene : maleic anhydride : methacrylic acid or ester thereof ranges from about (1-3):(2-1):(0.01-0.3), preferably from about (1-2):(1.5-1):(0.01-0.03), more preferably from 1:1:(0.03-0.08), most preferably from 1:1:0.05.

Esterification

As noted herein, component (A) is an esterified carboxy-containing interpolpolymer. Esterification (or transesterification, when the interpolpolymer comprises ester groups) of the interpolpolymers can be accomplished by heating any of the interpolpolymers (having the requisite molecular weight) and the desired alcohol(s) and alkoxylate(s) under conditions typical for effecting esterification. Such conditions include, for example, a temperature of at least about 80°C, but more preferably up to about 150°C or even more, provided that the temperature is maintained at a level below the decomposition point of the reaction mixture or products thereof. Water or lower alcohol is normally removed as the esterification proceeds. These conditions may optionally include the use of a substantially inert, normally liquid, organic solvent or diluent such as mineral oil, toluene, benzene, xylene or the like and an esterification catalyst such as toluenesulfonic acid, sulfuric acid, aluminum chloride, boron trifluoride-triethylamine, methanesulfonic acid, hydrochloric acid, ammonium

sulfate, phosphoric acid, sodium methoxide, Sn(II) 2-ethylhexanoate and the like. These conditions and variations thereof are well known in the art.

In one embodiment, the interpolymer may be prepared from monomers comprising ester groups. In one embodiment, the esterified interpolymer (A) may be prepared directly from ester containing monomers or a mixture of monomers containing both esterified and non-esterified monomers. An example of such a mixture is maleic anhydride and an acrylic ester, such as methyl methacrylate. In the event the interpolymer is prepared entirely from ester containing monomers, it is necessary that the interpolymer is subjected to hydrolysis conditions such that from about 80% to about 99% of the carboxylic groups in the interpolymer remain esterified.

As noted above, the esterified interpolymers (A) of this invention contain ester groups. From about 80% to about 100% of the ester groups contain from 8 to about 23 carbon atoms and from 0 to about 20% contain from 2 to 7 carbon atoms. The ester groups containing from 8 to about 23 carbon atoms may be formed by reacting the carboxy-containing interpolymer with an alcohol containing at least 7 carbon atoms. In one embodiment, the alcohol contains from about 7, or about 8 to about 22, or to about 18, or even to about 16 carbon atoms. Examples of useful alcohols include heptanol, octanol, decanol, dodecanol, tridecanol, pentadecanol, octadecanol, etc.

In the embodiment wherein the interpolymer is derived from styrene, maleic anhydride and lower alkyl methacrylic esters, it is preferred that esters derived from the maleic anhydride moiety are substantially free of lower alkyl esters.

The interpolymer may be esterified with alcohols selected from a class of alcohols which includes commercially available mixtures of alcohols. These include oxoalcohols which comprise, for example, a mixture of alcohols having from about 8-22 carbon atoms. Of the various commercial alcohols, another class of alcohols includes the alcohols having from about 8 to 30 aliphatic carbon atoms. The alcohols may comprise, for example, octyl alcohol, decyl alcohol, dodecyl alcohol, tetradecyl alcohol, pentadecyl alcohol, eicosyl alcohol, octadecyl alcohol, etc. Several suitable sources of these alcohol mixtures are the technical grade alcohols

sold under the name NEODOL[®] alcohols (Shell Oil Company, Houston, Texas) and under the name ALFOL[®] alcohols (Vista Chemical, Westlake, LA), and fatty alcohols derived from animal and vegetable fats and sold commercially by, for example, Henkel, Condea, and Emory.

5 The esters may be mixed esters derived from a combination of alcohols including alcohols containing at least 7 carbon atoms (relatively high molecular weight alcohols) and alcohols containing less than 7 carbon atoms (relatively low molecular weight alcohols). Alcohols containing at least 7 carbon atoms are those described hereinabove. Alcohols containing less than 7 carbon atoms generally
10 contain from 1 or about 2, to about 6, or to about 5 carbon atoms. Examples of the low molecular weight alcohols include methanol, ethanol, propanol, butanol, pentanol, hexanol, cyclopentanol, and cyclohexanol. The above list is also meant to include the various isomeric arrangements of these alcohols. For instance, butanol refers to n-butanol, sec-butanol, isobutanol, etc.

15 Mixed esters of the carboxy-containing interpolymer are most conveniently prepared by first esterifying the carboxy-containing interpolymer with a relatively high molecular weight alcohol and a relatively low molecular weight alcohol to convert from about 92% up to about 97% of the carboxy groups of the interpolymer to ester groups.

20 When utilizing a combination of a high molecular weight alcohol and a low molecular weight alcohol, the esterification may be carried out, for example, by initially esterifying at least about 50 molar percent or from about 50 to 75 molar percent, frequently up to about 90 molar percent of the carboxy radicals with the high molecular weight alcohol and then subsequently esterifying the partially-
25 esterified carboxy-containing interpolymer with a low molecular weight alcohol and remaining unreacted high molecular weight alcohol, if any, to obtain an esterified carboxy interpolymer having at least 80 molar percent of the ester groups derived from the high molecular weight aliphatic alcohol and up to 20 molar percent of ester groups derived from the low molecular weight aliphatic alcohol. For example,
30 esterification with a combination of high and low molecular weight alcohols may be accomplished, in sequence, by first carrying out the esterification with the high

molecular weight alcohol, e.g., up to about 75 molar percent and subsequently esterifying the remaining carboxylic groups with the low molecular weight alcohol, to attain the desired degree of esterification.

Alternatively, the carboxylic groups of the interpolymer may be
5 simultaneously esterified with a mixture of the alcohols to obtain a carboxy-
containing interpolymer esterified with a combination of high and low molecular
weight aliphatic alcohols.

The following examples illustrate several esterified interpolymers useful for
preparing the compositions of the instant invention. Unless otherwise indicated all
10 temperatures are in degrees Celsius, pressures are atmospheric, parts by volume are
given in relative amounts as parts by weight in grams to parts by volume in
milliliters. The extent of esterification is calculated by determining the total acid
number (phenolphthalein indicator) and the strong acid number (bromphenol blue
indicator) of the reaction mixture. The total acid number includes contributions from
15 unesterified polymer and catalyst. The strong acid number is the measure of the acid
number of the catalyst. The difference between the two acid numbers, the net acid
number, is the acid number due to unesterified polymer. Filtrations are conducted
using a diatomaceous earth filter aid. Molecular weights of the interpolymers are
determined employing the procedure set forth hereinabove employing conditioned
20 columns. Neat acid numbers (e.g., Neat TAN) are determined on diluent-free
product. When the product contains diluent, the neat acid number is calculated from
the observed acid number, adjusting for diluent.

Example A-1

A reactor is charged with 2850 parts of a 21.1 % solids in toluene slurry of a
25 maleic anhydride/styrene/methyl methacrylate (1:1:0.05 mole ratio) terpolymer
having $\overline{M}_n$ about 8400 and $\overline{M}_w$ about 30000, and 846 parts of Alfol 1218 (a mixture
of predominantly straight chain primary alcohols having from 12 to 18 carbon atoms).
The materials are heated at 115-120°C for 3.5 hours while toluene is removed and
collected in a Dean-Stark trap (2350 parts by volume removed). A mixture of 244
30 parts Alfol 810 (a mixture of predominantly straight chain primary alcohols having
from 8 to 10 carbon atoms).and 31.4 parts 70% methanesulfonic acid is added to the

reaction mixture over 1.5 hours while the temperature is increased to 150°C. The reaction is continued at 150°C for 14 hours; 52 parts by volume aqueous distillate is collected and a total of 2565 parts by volume toluene is collected. Total acid no = 22.4 and strong acid no = 3.71. An additional 25 parts Alfol 810 and 100 parts by volume toluene are added and reaction is continued for 14 hours. Toluene, 200 parts by volume, is added dropwise and heating is continued at 150°C for 14 hours. Total acid no = 15, infrared spectrum shows no -OH. The sulfonic acid is neutralized with 18.4 parts 50% aqueous NaOH by mixing at 150°C for 2 hours. Total acid no (TAN) = 14.6. Viscosity @ 100°C = 2844 centistokes.

10 Example A-2

A reactor is charged with 2485 parts of Alfol 1218 alcohol, 3183 parts of a 24 % by weight solids in toluene slurry of a maleic anhydride/styrene/methyl methacrylate (1:1:0.05 mole ratio) terpolymer having $\overline{M}_n$ about 10,000, 3343 parts of a 25.9% solids in toluene slurry of a maleic anhydride/styrene/methyl methacrylate (1:1:0.05 mole ratio) terpolymer having $\overline{M}_n$ about 10,000 and 712 parts of Alfol 810 alcohol. The temperature is increased to 66°C while removing toluene, 91.5 parts methane sulfonic acid are added, then the temperature is ramped to 138°C over 8.5 hours followed by heating at 138-149°C for 10 hours, removing distillate; acid number of residue = 5.6. The batch is neutralized with a total of 50.7 parts 50% aqueous NaOH, followed by vacuum stripping at about 120°C. The residue is filtered. TAN is about 12.2.

Example A-3

A reactor is charged with 1831 parts Alfol 1218 alcohol and 4298 parts of a 28.2 % by weight solids in toluene slurry of maleic anhydride/styrene (1:1 molar) copolymer having $\overline{M}_n$ about 35,000, which is stripped at 104°C, under reduced pressure near end of stripping procedure. A portion (100 parts by volume) distillate is returned to the reactor then 522 parts Alfol 810 alcohol and 64.8 parts methanesulfonic acid are added. The temperature is ramped to 150°C over 5 hours while removing distillate. A portion of the distillate (180 parts by volume) is returned to the reactor and the reaction is continued for 5 hours at about 150°C. The catalyst is neutralized with a total of 31.8 parts 50% aqueous NaOH then vacuum

stripped at about 150°C, the vacuum is released and 1188 parts mineral oil are added under N₂ blanket. The temperature is reduced to 67°C and the oil solution is filtered. Neat TAN = 4.8

Example A-4

- 5 The procedure of Example A-3 is repeated employing 4505 parts of a 26.9% by weight solids in toluene slurry of maleic anhydride/styrene (1:1 molar) copolymer having $\overline{M}_n$ about 35,000, 3456 parts mineral oil, 498 parts Alfol 810 alcohols and 61.8 parts methane sulfonic acid. The catalyst is neutralized with a total of 29.4 parts 50% aqueous NaOH. Neat TAN = 17.9,

10 Example A-5

- A reactor is charged with 1583 parts mineral oil, 766 parts Alfol 1218 alcohol and 4626 parts of a 26.2 % by weight solids in toluene slurry of maleic anhydride/styrene (1:1 molar) copolymer having $\overline{M}_n$ about 35,000 which is stripped at 107°C, under reduced pressure near end of stripping procedure. To the residue are
15 added 1189 parts Alfol 810 alcohol and 63.3 parts methane sulfonic acid. The temperature is ramped to 150°C over 5 hours while removing aqueous distillate and allowing organic distillate to return to reactor. The reaction is continued for 16 hours at about 150°C. Net neutralization number = 7.6 (acid). A mixture of 30 parts Alfol 1218 and 70 parts Alfol 810 is prepared and 54 parts of the mixture are added
20 to the reactor. The reaction is continued for 9.5 hours whereupon the catalyst is neutralized with a total of 31 parts 50% aqueous NaOH. The materials are vacuum stripped at about 150°C. The vacuum is released and 1583 parts mineral oil are added under N₂ blanket. The temperature is reduced to 120°C and the oil solution is filtered. Neat TAN = 12.2.

25 Example A-6

- A reactor is charged with 1752 parts mineral oil (Mobil 100N) and 1784 parts Alfol 1218 alcohols. The materials are mixed then 4590.9 parts of a 26.4% in toluene slurry of a maleic anhydride/styrene (1:1 molar) copolymer having $\overline{M}_n$ about 35,000 are added followed by heating to 103°C and stripping at 103°C-110°C
30 for 1 hour. The pressure is reduced to 249 mm Hg and additional distillate is removed. To the residue are added 508 parts Alfol 810 alcohols and 63 parts

methane sulfonic acid followed by heating to 143°C over 5 hours while collecting additional distillate. At this point strong acid number = 9.55 and weak acid number = 3.53. Heating is continued for 3 hours at 148°C. Strong acid number = 7.67 and weak acid number = 3.47. The strong acid is neutralized with 66.6 parts 50% aqueous NaOH at 145°C for 1 hour, the materials are stripped to 141°C at 40 mm Hg, 1752 parts additional mineral oil are added and the solution is filtered. Neat TAN = 7.2.

Example A-7

A reactor is charged with 3927 parts of a 18% in toluene slurry of a maleic anhydride/styrene (1:1 molar) copolymer having $\overline{M}_n$ about 65,000 and 976 parts of Alfol 1218 alcohols. After the mixture is heated to 100°C, 171 parts Alfol 810 alcohols and 13.6 parts methanesulfonic acid are added over 0.1 hour, heated at 100°C-110°C for 1 hour, then is heated to 150°C. After heating for 4 hours while removing distillate, net neutralization number = 6.29. To the reaction are charged 93 parts n-butanol and 3.9 parts methanesulfonic acid and the reaction is continued for 4 hours whereupon net neutralization no = 2.24. An additional 93 parts n-butanol and 3.9 parts methanesulfonic acid are added and the reaction was continued for 2 more hours at which time no further distillate forms. The temperature is reduced to 120°C and 8.4 parts hindered phenol are added. The temperature is increased to 150°C and is maintained for 6 hours while an additional amount of distillate is removed. Neat neutralization numbers are 3.42 (total) and 1.24 (strong acid).

(B) Hydrocarbyl Group Substituted Carboxylic Acid or Functional Derivative Thereof

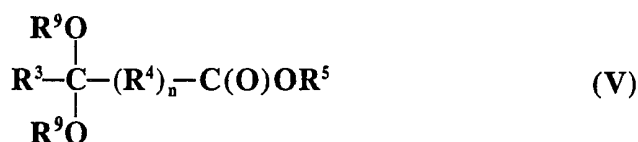
The compositions of this invention are obtained by reacting a mixture of the esterified interpolymer (A) and (B) a hydrocarbyl group substituted carboxylic acid or functional derivative thereof with (C) an amine having an average of more than 1, preferably at least 1.1, often at least 1.5 condensable N-H groups. A functional derivative is one which can react with (C) to generate N-containing derivatives analogous to the products prepared from the corresponding carboxylic acid. Examples of functional derivatives include esters, especially lower alkyl esters, anhydrides, acyl halides and the like

The hydrocarbyl group comprises from about 10 to about 400 carbon atoms, more often from about 30 to about 200 carbon atoms, often to about 100 carbon atoms, and frequently from about 30, often from about 50, to about 100 carbon atoms. The hydrocarbyl group generally has number average molecular weight
 5 ($\overline{M}_n$) ranging from about 100, often from about 500, to about 6000, often to about 4000, frequently from about 500 to about 3000, more frequently from about 900 to about 2000.

The carboxylic acids or functional derivatives thereof are usually derived by the reaction of a carboxylic acid containing compound with a polyalkene or
 10 halogenated derivative thereof or a suitable olefin. Carboxylic acid containing compounds useful as reactants to form component (B) include α,β -unsaturated materials such as acrylic and methacrylic acids, maleic acid, fumaric acid, itaconic acid, crotonic acid, esters, particularly lower alkyl esters, and anhydrides of these acids, compounds of the formula



and reactive sources thereof such as compounds of the formula



wherein each of R^3 , R^5 and each R^9 is independently H or a hydrocarbyl group, R^4 is a divalent hydrocarbylene group, preferably lower alkylene, more preferably
 20 methylene, ethylene or propylene, and n is 0 or 1, preferably, 0. Examples of (V) include the acetals and hemiacetals, esters, and others. Glyoxylic acid, its hydrate and glyoxylic acid methyl ester, methyl hemiacetal are particularly preferred reactants of this type.

Products derived from carboxylic compounds of Formula (IV) and Formula
 25 (V) include α -hydroxy substituted carboxylic acids and esters thereof and α -hydroxy lactones.

Useful hydrocarbyl substituted carboxylic acids or functional derivatives thereof may be prepared using any one of the foregoing carboxylic acid compounds,

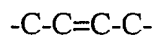
or with two or more thereof, simultaneously or sequentially, preferably sequentially, in any order.

The polyalkenes from which the carboxylic acids (B) are derived are homopolymers and interpolymers of polymerizable olefin monomers of 2 to about 16 carbon atoms; usually 2 to about 6 carbon atoms. The interpolymers are those in which two or more olefin monomers are interpolymerized according to well-known conventional procedures to form polyalkenes having units within their structure derived from each of said two or more olefin monomers. Thus, "interpolymer(s)" as used herein is inclusive of copolymers, terpolymers, tetrapolymers, and the like. As will be apparent to those of ordinary skill in the art, the polyalkenes from which the substituent groups are derived are often conventionally referred to as "polyolefin(s)".

Especially preferred polyalkenes are polypropylene and polybutylene, especially, polyisobutylene, containing from about 20 to about 300 carbon atoms, often from about 30, frequently from about 50 to about 100 carbon atoms.

The olefin monomers from which the polyalkenes are derived are polymerizable olefin monomers characterized by the presence of one or more ethylenically unsaturated groups (i.e., $>C=C<$); that is, they are monolefinic monomers such as ethylene, propylene, butene-1, isobutene, and octene-1 or polyolefinic monomers (usually diolefinic monomers) such as butadiene-1,3 and isoprene.

These olefin monomers are usually polymerizable terminal olefins; that is, olefins characterized by the presence in their structure of the group $>C=CH_2$. However, polymerizable internal olefin monomers (sometimes referred to in the literature as medial olefins) characterized by the presence within their structure of the group



can also be used to form the polyalkenes. When internal olefin monomers are employed, they normally will be employed with terminal olefins to produce polyalkenes which are interpolymers. For purposes of this invention, when a particular polymerized olefin monomer can be classified as both a terminal olefin

and an internal olefin, it will be deemed to be a terminal olefin. Thus, 1,3-pentadiene (i.e., piperylene) is deemed to be a terminal olefin.

The hydrocarbyl substituent of the carboxylic acid or functional derivative thereof may be derived from a terpolymer, a copolymer derived from at least two
5 olefins, usually alpha olefins, and a non-conjugated polyene, preferably a diene or triene, usually a diene. The terpolymers are generally lower molecular weight terpolymers such as those having $\overline{M}_n$ ranging from about 1000 to about 6,000, more often from about 2500 to about 4,000.

One of the olefins is usually ethylene and the other is an olefin having from 3
10 to about 28 carbon atoms, often 3 to about 8 carbon atoms, more often 3 or 4 carbon atoms. Most often one olefin is ethylene and the other is propylene.

The third component utilized in preparing the terpolymer is at least one non-conjugated polyene, usually a diene. Examples include aliphatic dienes such as 1,4- and 1,5- hexadienes, branched dienes such as 3- and 4- methyl 1,4- hexadienes,
15 bicyclic dienes such as exo- and endo-dicyclopentadiene, exo- and endo- alkenyl norbornenes, alkyl alkenyl norbornenes, alkylidene norbornenes such as 5-methylene-2-norbornene, alkyl norbornadienes such as methyl norbornadiene, cyclodienes, etc. In a preferred embodiment, the diene is a dicyclopentadiene or alkylidene norbornene.

The ethylene content of ethylene-alpha olefin-non-conjugated polyene
20 terpolymers generally ranges from about 25% to about 85% by weight, preferably from about 30% to about 75% and more preferably from about 40% to about 70% by weight. The polyene content typically is below about 25%, preferably between about 2% to about 20% and more often from about 0.5% or about 1% to about 15%
25 by weight.

The terpolymers are prepared by methods well known to those of skill in the art and are commercially available, for example those marketed by Uniroyal Chemical Co., Inc., Middlebury, Conn., USA, under the tradename TRILENE®. Specific examples include Trilene 67 and 68, terpolymers of ethylene, propylene and
30 ethylidene norbornene (ENB), and Trilene 55 and 65, terpolymers of ethylene, propylene and dicyclopentadiene. Some typical characteristics of Trilene 67 and 68

are iodine number 19 and 6, ethylene/propylene/(ENB) (wt) 46/54/9.5 and 45/55/3, viscosity average molecular weight 7500 and 8000, and average C=C per molecule 5.6 and 1.9, respectively.

5 Examples of procedures for determining the molecular weights of materials used to generate the hydrocarbyl substituent of (B) are gel permeation chromatography (GPC) (also known as size-exclusion chromatography) and vapor phase osmometry (VPO). These and other procedures are described in numerous publications including:

P.J. Flory, "Principles of Polymer Chemistry", Cornell University Press
10 (1953), Chapter VII, pp 266-316,

"Macromolecules, an Introduction to Polymer Science", F.A. Bovey and F.H. Winslow, Editors, Academic Press (1979), pp 296-312, and

W.W. Yau, J.J. Kirkland and D.D. Bly, "Modern Size Exclusion Liquid Chromatography", John Wiley and Sons, New York, 1979.

15 A measurement which is complementary to a polymer's molecular weight is the melt index (ASTM D-1238). Polymers of high melt index generally have low molecular weight, and vice versa. The grafted polymers of the present invention preferably have a melt index of up to 20 dg/min, more preferably 0.1 to 10 dg/min.

These publications are hereby incorporated by reference for relevant
20 disclosures contained therein relating to the determination of molecular weight.

A number of methods are available for reacting the α,β -unsaturated carboxylic compounds with the polyalkene or chlorinated derivative thereof or with a suitable olefin. Illustrative methods include the 'ene' reaction wherein the carboxylic compound is reacted, with heating, with the unsaturated reagent, by
25 blowing with halogen, usually chlorine, or by combinations of these methods. The (B) reactant may be prepared by any of these techniques or by others known in the art.

When the carboxylic compound is a compound of formula (IV) or is a functional derivative thereof, it is generally required that is reacted with an olefinic
30 reactant, preferably in the presence of an acidic catalyst.

Preferred materials useful as component (B) include polyolefin substituted carboxylic, preferably succinic, acids and anhydrides. Especially preferred are the succinic anhydrides. In one embodiment, component (B) is an aliphatic substituted succinic anhydride or acid containing from about 10 to about 400 carbon atoms in the aliphatic substituent, preferably from about 30 to about 400 carbon atoms, and often from about 50 to about 200 carbon atoms, frequently to about 100 carbon atoms.

Hydrocarbyl group substituted carboxylic acid or functional derivatives thereof useful as (B) are characterized by the presence of an average of from about 0.5, more often from about 1, frequently from about 2, up to about 6, often to about 4 carboxylic groups per mole of polyolefin or mole, based on $\overline{M}_n$, of polymer. In one embodiment, when the hydrocarbyl group is derived from polyolefin, there are an average of from 1 to about 4 carboxy groups per mole of polyolefin.

Patents describing hydrocarbyl substituted and especially aliphatic carboxylic acids and functional derivatives thereof useful in the preparation of the compositions of this invention, and methods for preparing the carboxylic acids and functional derivatives thereof include, among numerous others, U.S. Patent Nos. 3,215,707 (Rense); 3,219,666 (Norman et al), 3,231,587 (Rense); 3,912,764 (Palmer); 4,110,349 (Cohen); and 4,234,435 (Meinhardt et al); 5,696,060 (Baker et al); 5,696,067 (Adams et al); and U.K. 1,440,219. These patents are hereby incorporated by reference for their disclosure of carboxylic acids and functional derivatives thereof useful as component (B) of this invention.

Carboxylic acids and functional derivatives thereof and methods for preparing them are well known to those skilled in the art and details regarding such materials, including preparation thereof are given in the aforementioned patents. Non-limiting examples of compounds useful as component (B) include those illustrated in the following examples: Unless otherwise indicated all temperatures are in degrees Celsius, pressures are atmospheric, the relationship of parts by volume to parts by weight is as milliliters to grams, and filtrations are conducted using a diatomaceous earth filter aid..

Example B-1

A mixture of 6400 parts (4 moles) of a polybutene comprising predominantly isobutene units and having a molecular weight of about 1600 and 408 parts (4.16 moles) of maleic anhydride is heated at 225-240°C for 4 hours. It is then cooled to
5 170°C and an additional 102 parts (1.04 moles) of maleic anhydride is added, followed by 70 parts (0.99 mole) of chlorine; the latter is added over 3 hours at 170-215°C. The mixture is heated for an additional 3 hours at 215°C, vacuum stripped at 220°C and filtered. The product is the desired polybutenyl-substituted succinic anhydride having a saponification number of 61.8.

10 Example B-2

A monocarboxylic acid is prepared by chlorinating a polyisobutene having a molecular weight of 750 to a product having a chlorine content of 3.6% by weight, converting the product to the corresponding nitrile by reaction with an equivalent amount of potassium cyanide in the presence of a catalytic amount of cuprous
15 cyanide and hydrolyzing the resulting nitrile by treatment with 50% excess of dilute aqueous sulfuric acid at reflux temperature.

Example B-3

A high molecular weight mono-carboxylic acid is prepared by telomerizing ethylene with carbon tetrachloride to a telomer having an average of 35 ethylene
20 radicals per molecule and hydrolyzing the telomer to the corresponding acid in according with the procedure described in British Patent No. 581,899.

Example B-4

A polybutenyl succinic anhydride is prepared by the reaction of a chlorinated polybutylene with maleic anhydride at 200°C. The polybutenyl radical has an
25 average molecular weight of 805 and contains primarily isobutene units. The resulting alkenyl succinic anhydride is found to have an acid number of 113 (corresponding to an equivalent weight of 500).

Example B-5

A lactone acid is prepared by reacting 2 equivalents of a polyolefin ($\overline{M}_n$ about 900) substituted succinic anhydride with 1.02 equivalents of water at a
30 temperature of about 90°C in the presence of a catalytic amount of concentrated

sulfuric acid. Following completion of the reaction, the sulfuric acid catalyst is neutralized with sodium carbonate and the reaction mixture is filtered.

Example B-6

5 An ester acid is prepared by reacting 2 equivalents of an alkyl substituted succinic anhydride having an average of about 35 carbon atoms in the alkyl group with 1 mole of ethanol.

Example B-7

10 A reactor is charged with 1000 parts of polybutene having a molecular weight determined by vapor phase osmometry of about 950 and which consists primarily of isobutene units, followed by the addition of 108 parts of maleic anhydride. The mixture is heated to 100°C followed by the sub-surface addition of 100 parts Cl₂ over 6.5 hours at a temperature ranging from 110 to 188°C. The exothermic reaction is controlled as not to exceed 188°C. The batch is blown with nitrogen then stored.

15 Example B-8

The procedure of Example B-7 is repeated employing 1000 parts of polybutene having a molecular weight determined by vapor phase osmometry of about 1650 and consisting primarily of isobutene units and 106 parts maleic anhydride. Cl₂ is added beginning at 130°C and added at a nearly continuous rate
20 such that the maximum temperature of 188°C is reached near the end of chlorination. The residue is blown with nitrogen and collected.

Example B-9

A reactor is charged with 3000 parts of a polyisobutene having a number average molecular weight of about 1000 and which contains about 80 mole %
25 terminal vinylidene groups and 6 parts 70% aqueous methanesulfonic acid. The materials are heated to 160°C under N₂ followed by addition of 577.2 parts 50% aqueous glyoxylic acid over 4 hours while maintaining 155-160°C. Water is removed and is collected in a Dean-Stark trap. The reaction is held at 160°C for 5 hours, cooled to 140°C and filtered. The filtrate has total acid no. (ASTM Procedure
30 D-974) = 34.7 and saponification no. (ASTM Procedure D-74) = 53.2. $\overline{M}_n$ (Gel permeation chromatography (GPC)) = 1476 and $\overline{M}_w$ (GPC) = 3067; unreacted

polyisobutene (Thin layer chromatography-Flame ionization detector (TLC-FID)) = 8.6%.

Example B-10

A polyisobutylene chloride is obtained using 1 mole of polyisobutylene ($\overline{M}_n$ 2136) and 0.91 mole chlorine in hexane at 70-75°C with removal of hexane following chlorination. This chloride (500 parts, 0.2205 mole) and 32 parts maleic anhydride (0.3265 mole) are heated at 150°C-190°C under N₂ purge for 1 hour and held at 190°C for 7 hours. After cooling to about 150°C, 15.5 parts maleic anhydride are charged, N₂ is stopped and 12.5 parts (0.175 mole) Cl₂ are blown into the mixture over 2 hours. N₂ is resumed and the temperature is ramped from 150°C to 190°C and is held for 7 hours. The mixture is then heated to 220°C for 4 hours to total acid number of 77. The product has degree of succination about 1.5 and about 1600 parts per million residual Cl.

Example B-11

An aliphatic group substituted succinic anhydride is prepared by the direct alkylation reaction (thermal reaction) of a polyisobutylene ($\overline{M}_n = 1000$) with maleic anhydride. The resulting product has total acid number of about 76, about 100 parts per million Cl and contains no more than 0.4% by weight unreacted maleic anhydride.

Example B-12

A reactor charged with 592 parts 50% aqueous glyoxylic acid is heated to 70°C, a vacuum is applied and the materials are stripped to 80°C at 25 mm Hg., collecting 231 parts water. The reactor is cooled to room temperature whereupon 200 parts of the polyisobutene of Example 2, Part A and 3 parts 70% aqueous methane sulfonic acid are added followed by heating for a total of 8 hours at 160°C while collecting 207 parts aqueous distillate. The materials are diluted with 717.3 parts mineral oil, mixed and filtered at 130°C. The filtrate has saponification no. = 65.9.

Example B-13

A reactor is charged with 4830 parts of the reaction product derived by heating 1 mole polyisobutylene (Glissopal 2300, BASF) having $\overline{M}_n$ about 2300 and about 90% terminal vinylidene groups and 0.9 moles maleic anhydride, 422 parts glyoxylic acid methyl ester methyl hemiacetal, 15 parts 70% methane sulfonic acid, 0.1 part silicone antifoam agent and 1000 parts mineral oil. The materials are heated to 135°C over 0.5 hour, under N₂ and the temperature is maintained for 4 hours followed by stripping to 25 mm Hg for 1 hour. An additional 2426 parts mineral oil are added, the materials are mixed, then filtered.

10 The Amine

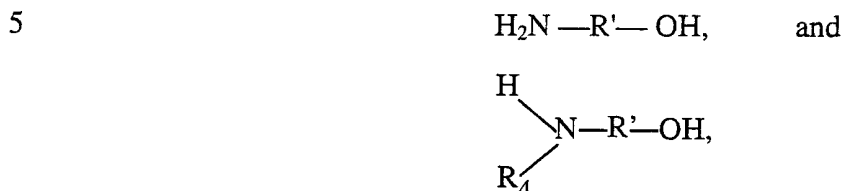
The amine used to prepare the compositions of the instant invention contains an average of more than 1, preferably at least 1.1 condensable N-H groups, often an average of at least 1.5, more preferably an average of at least 2 condensable N-H groups, up to about 10, often up to about 6 condensable N-H groups. In one embodiment, the amine (C) is reacted with the mixture of (B) the hydrocarbyl substituted carboxylic acid or functional derivative thereof and the esterified interpolpolymer (A).

Suitable amine reactants, as defined herein, include hydrazines, or polyamines. Monoamines may be used in admixture with polyamines but not as the sole amine reactant. The amine reactants must contain an average of more than 1 condensable N-H group. The amines may be aliphatic, cycloaliphatic, aromatic and heterocyclic.

The monoamines generally contain from 1 to about 24 carbon atoms, preferably 1 to about 12, and more preferably 1 to about 6. Examples of monoamines useful in the present invention include primary amines, for example methylamine, ethylamine, propylamine, butylamine, octylamine, and dodecylamine. Examples of secondary amines include dimethylamine, diethylamine, dipropylamine, dibutylamine, methylbutylamine, ethylhexylamine, etc. Tertiary monoamines do not possess an N-H group.

30 In another embodiment, the monoamine may be a hydroxyamine. Typically, the hydroxyamines are primary or secondary alkanolamines or mixtures thereof. As

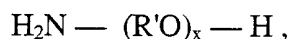
stated above, tertiary monoamines do not possess an N-H group; however, tertiary alkanol monoamines sometimes can react to form a tertiary amino group containing ester. Alkanol amines that possess an N-H group can be represented, for example, by the formulae:

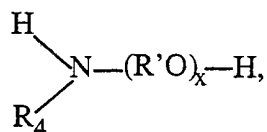


wherein each R_4 is independently a hydrocarbyl group of one to about 22 carbon atoms or hydroxyhydrocarbyl group of two to about 22 carbon atoms, preferably one to about four, and R' is a divalent hydrocarbyl group of about two to about 18 carbon
 10 atoms, preferably two to about four. The group $-\text{R}'-\text{OH}$ in such formulae represents the hydroxyhydrocarbyl group. R' can be an acyclic, alicyclic or aromatic group. Typically, R' is an acyclic straight or branched alkylene group such as an ethylene, 1,2-propylene, 1,2-butylene, 1,2-octadecylene, etc. group. When two R_4 groups are present in the same molecule they can be joined by a direct carbon-to-carbon bond or
 15 through a heteroatom (e.g., oxygen, nitrogen or sulfur) to form a 5-, 6-, 7- or 8-membered ring structure. Typically, however, each R_4 is independently a methyl, ethyl, propyl, butyl, pentyl or hexyl group.

Examples of alkanolamines include monoethanolamine, ethylaminoethanol, butylaminoethanol, etc.

20 The hydroxyamines can also be ether N-(hydroxyhydrocarbyl) amines. These are hydroxy poly(hydrocarbyloxy) analogs of the above-described hydroxy amines (these analogs also include hydroxyl-substituted oxyalkylene analogs). Such N-(hydroxyhydrocarbyl) amines can be conveniently prepared, for example, by reaction of epoxides with aforescribed amines and can be represented by the
 25 formulae:





wherein x is a number from about 2 to about 15 and R₄ and R' are as described above. R₄ may also be a hydroxypoly (hydrocarbyloxy) group.

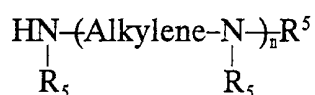
Other useful amines include ether amines of the general formula



wherein R₆ is a hydrocarbyl group, preferably an aliphatic group, more preferably an alkyl group, containing from 1 to about 24 carbon atoms, R¹ is a divalent hydrocarbyl group, preferably an alkylene group, containing from two to about 18 carbon atoms, more preferably two to about 4 carbon atoms and R₇ is H or hydrocarbyl, preferably H or aliphatic, more preferably H or alkyl, more preferably H. When R₇ is not H, then it preferably is alkyl containing from one to about 24 carbon atoms. Especially preferred ether amines are those available under the name SURFAM produced and marketed by Sea Land Chemical Co., Westlake, Ohio.

The amine will comprise a polyamine. The polyamine may be aliphatic, cycloaliphatic, heterocyclic or aromatic. Examples of polyamines include alkylene polyamines, hydroxy containing polyamines, polyoxyalkylene polyamines, arylpolyamines, and heterocyclic polyamines.

Alkylene polyamines are represented by the formula



wherein n has an average value between about 1 and about 10, preferably about 2 to about 7, more preferably about 2 to about 5, and the "Alkylene" group has from 1 to about 10 carbon atoms, preferably about 2 to about 6, more preferably about 2 to about 4. Each R₅ is independently hydrogen, an aliphatic group, an amino- or hydroxy- substituted aliphatic group of up to about 30 carbon atoms and the like. Preferably R₅ is H or lower alkyl, most preferably, H.

Alkylene polyamines include methylene polyamines, ethylene polyamines, butylene polyamines, propylene polyamines, pentylene polyamines, etc. Higher homologs and related heterocyclic amines such as piperazines and N-amino alkyl-

substituted piperazines are also included. Specific examples of such polyamines are ethylenediamine, diethylenetriamine, triethylenetetramine, tris-(2-aminoethyl)amine, propylenediamine, trimethylenediamine, tripropylenetetramine, tetraethylene pentamine, hexaethyleneheptamine, pentaethylenehexamine, dimethylaminopropyl-
5 amine, etc.

Higher homologs obtained by condensing two or more of the above-noted alkylene amines are similarly useful as are mixtures of two or more of the aforescribed polyamines.

Ethylene polyamines, such as some of those mentioned above, are preferred.
10 They are described in detail under the heading Ethylene Amines in Kirk Othmer's "Encyclopedia of Chemical Technology", 2d Edition, Vol. 7, pages 22-37, Interscience Publishers, New York (1965). Such polyamines are most conveniently prepared by the reaction of ethylene dichloride with ammonia or by reaction of an ethylene imine with a ring opening reagent such as water, ammonia, etc. These
15 reactions result in the production of a complex mixture of polyalkylene polyamines including cyclic condensation products such as the aforescribed piperazines. Ethylene polyamine mixtures are useful.

Other useful types of polyamine mixtures are those resulting from stripping of the above-described polyamine mixtures to leave as residue what is often termed
20 "polyamine bottoms". In general, alkylene polyamine bottoms can be characterized as having less than two, usually less than 1% (by weight) material boiling below about 200°C. A typical sample of such ethylene polyamine bottoms obtained from the Dow Chemical Company of Freeport, Texas, designated "E-100" has a specific gravity at 15.6°C of 1.0168, a percent nitrogen by weight of 33.15 and a viscosity at
25 40°C of 121 centistokes. Gas chromatography analysis of such a sample contains about 0.93% "Light Ends" (most probably diethylenetriamine), 0.72% triethylenetetramine, 21.74% tetraethylenepentamine and 76.61% pentaethylene hexamine and higher (by weight). Another example of polyamine bottoms is one having an equivalent weight of 40.5 based on % N, sold as HPA-X by Union
30 Carbide. These alkylene polyamine bottoms include cyclic condensation products

such as piperazine and higher analogs of diethylenetriamine, triethylenetetramine and the like.

Another useful polyamine is a condensation product obtained by reaction of at least one hydroxy compound with at least one polyamine reactant containing at
5 least one primary or secondary amino group. The hydroxy compounds are preferably polyhydric alcohols and amines, especially polyhydric amines. Polyhydric amines include any of the above-described monoamines reacted with an alkylene oxide (e.g., ethylene oxide, propylene oxide, butylene oxide, etc.) having two to about 20 carbon
10 atoms, preferably two to about four. Examples of polyhydric amines include tri-(hydroxypropyl)amine, tris-(hydroxymethyl)amino methane, 2-amino-2-methyl-1,3-propanediol, N,N,N',N'-tetrakis(2-hydroxypropyl) ethylenediamine, and N,N,N',N'-tetrakis(2-hydroxyethyl) ethylenediamine.

Polyamine reactants, which react with the polyhydric alcohol or amine to form the condensation products or condensed amines, are described above.
15 Preferred polyamine reactants include triethylenetetramine (TETA), tetraethylenepentamine (TEPA), pentaethylenhexamine (PEHA), and mixtures of polyamines such as the above-described "amine bottoms".

The condensation reaction of the polyamine reactant with the hydroxy compound is conducted at an elevated temperature, usually about 60°C to about
20 265°C in the presence of an acid catalyst.

The amine condensates and methods of making the same are described in Steckel (US 5,053,152) which is incorporated by reference for its disclosure to the condensates and methods of making.

In another embodiment, the polyamines are hydroxy-containing polyamines.
25 Hydroxy-containing polyamine analogs of hydroxy monoamines, particularly alkoxylated alkylenepolyamines can also be used. Such polyamines can be made by reacting the above-described alkylene amines with one or more of the above-described alkylene oxides. Similar alkylene oxide-alkanolamine reaction products can also be used such as the products made by reacting the aforescribed primary,
30 secondary or tertiary alkanolamines with ethylene, propylene or higher epoxides in a

1.1 to 1.2 molar ratio. Reactant ratios and temperatures for carrying out such reactions are known to those skilled in the art.

Specific examples of alkoxylated alkylene polyamines include N-(2-hydroxyethyl) ethylenediamine, N,N-di-(2-hydroxyethyl)-ethylenediamine, 1-(2-hydroxyethyl) piperazine, mono-(hydroxypropyl)-substituted tetraethylenepentamine, N-(3-hydroxybutyl)-tetramethylene diamine, etc. Higher homologs obtained by condensation of the above illustrated hydroxy-containing polyamines through amino groups or through hydroxy groups are likewise useful. Condensation through amino groups results in a higher amine accompanied by removal of ammonia while condensation through the hydroxy groups results in products containing ether linkages accompanied by removal of water. Mixtures of two or more of any of the aforesaid polyamines are also useful.

Suitable amines also include polyoxyalkylene polyamines, e.g., polyoxyalkylene diamines and polyoxyalkylene triamines, having average molecular weights ranging from about 200 to 4000 and preferably from about 400 to 2000. Illustrative examples of these polyoxyalkylene polyamines may be characterized by the formulae: $\text{NH}_2\text{-Alkylene (O-Alkylene)}_m\text{NH}_2$, wherein m has a value of about 3 to 70 and preferably about 10 to 35; and $\text{R(Alkylene(O-Alkylene)}_n\text{NH}_2)_{3-6}$, wherein n is from about 1 to 40 with the proviso that the sum of all of the n values is from about 3 to about 70 and generally from about 6 to about 35 and R is a polyvalent saturated hydrocarbon group of up to 10 carbon atoms having a valence of 3 to 6. The alkylene groups may be straight or branched chains and contain from 1 to 7 carbon atoms and usually from 1 to 4 carbon atoms. The various alkylene groups present may be the same or different.

The preferred polyoxyalkylene polyamines include the polyoxyethylene and polyoxypropylene diamines and the polyoxypropylene triamines having average molecular weights ranging from about 200 to 4000 or from about 400 to about 2000. The polyoxyalkylene polyamines are commercially available and may be obtained, for example, from the Texaco Company, Inc. under the trade names "Jeffamines D-230, D-400, D-1000, D-2000, T-403, etc."

U.S. Patents 3,804,763 and 3,948,800 are expressly incorporated herein by reference for their disclosure of such polyoxyalkylene polyamines and process for acylating them with carboxylic acid acylating agents which processes can be applied to their reaction with the carboxylic compositions of the present invention.

5 In another embodiment, the polyamine may be a heterocyclic polyamine. The heterocyclic polyamines include aziridines, azetidines, azolidines, tetra- and dihydropyridines, pyrroles, indoles, piperidines, imidazoles, di- and tetrahydroimidazoles, piperazines, isoindoles, purines, N-aminoalkylmorpholines, N-aminoalkyl-thiomorpholines, N-aminoalkylpiperazines, N,N'-bis-aminoalkyl
10 piperazines, azepines, azocines, azonines, azecines and tetra-, di- and perhydro derivatives of each of the above and mixtures of two or more of these heterocyclic amines. Preferred heterocyclic amines are the saturated 5- and 6-membered heterocyclic amines containing only nitrogen, or nitrogen with oxygen and/or sulfur in the hetero ring, especially the piperidines, piperazines, thiomorpholines, morpholines, pyrrolidines, and the like. Piperidine, aminoalkyl substituted
15 piperidines, piperazine, aminoalkyl substituted piperazines, for example, aminoethylpiperazine, morpholine, aminoalkyl substituted morpholines, pyrrolidine, and aminoalkyl-substituted pyrrolidines, are especially preferred. Usually the aminoalkyl groups are substituted on a nitrogen atom forming part of the hetero ring.
20 Specific examples of such heterocyclic amines include N-aminopropylmorpholine, N-aminoethylpiperazine, and N,N'-diaminoethyl-piperazine. Hydroxy alkyl substituted heterocyclic polyamines are also useful. Examples include N-hydroxyethylpiperazine and the like.

In another embodiment, the amine is a polyalkene-substituted amine. These
25 polyalkene-substituted amines are well known to those skilled in the art. They are disclosed in U.S. patents 3,275,554; 3,438,757; 3,454,555; 3,565,804; 3,755,433; and 3,822,289. These patents are hereby incorporated by reference for their disclosure of polyalkene-substituted amines and methods of making the same.

Typically, polyalkene-substituted amines are prepared by reacting
30 halogenated-, preferably chlorinated-, olefins and olefin polymers (polyalkenes) with amines (mono- or polyamines). The amines may be any of the amines

described above. Examples of these compounds include poly(propylene)amine; N,N-dimethyl-N-poly(ethylene/propylene)amine, (50:50 mole ratio of monomers); polybutene amine; N,N-di(hydroxyethyl)-N-polybutene amine; N-(2-hydroxypropyl)-N-polybutene amine; N-polybutene-aniline; N-polybutenemorpholine; N-poly(butene) ethylenediamine; N-poly(propylene)tri-methylenediamine; N-poly(butene)diethylene-triamine; N',N'-poly(butene)tetra-ethylenepentamine; N,N-dimethyl-N'-poly(propyl-ene)-1,3-propylene-diamine and the like.

The polyalkene substituted amine is characterized as containing from at least about 8 carbon atoms, preferably at least about 30, more preferably at least about 35 up to about 300 carbon atoms, preferably 200, more preferably 100. In one embodiment, the polyalkene substituted amine is characterized by an n (number average molecular weight) value of at least about 500. Generally, the polyalkene substituted amine is characterized by an n value of about 500 to about 5000, preferably about 800 to about 2500. In another embodiment n varies between about 500 to about 1200 or 1300.

As noted hereinabove, ammonia and hydrazines having an average of at least 1.1 condensable N-H group are also useful. Preferably there are at least two hydrogens bonded directly to hydrazine nitrogen and, more preferably, both hydrogens are on the same nitrogen. Substituents which may be present on the hydrazine include alkyl, alkenyl, aryl, aralkyl, alkaryl, and the like. Usually, the substituents are alkyl, especially lower alkyl, phenyl, and substituted phenyl such as lower alkoxy-substituted phenyl or lower alkyl-substituted phenyl. Specific examples of substituted hydrazines are methylhydrazine, N,N-dimethyl-hydrazine, N,N'-dimethylhydrazine, phenylhydrazine, N-phenyl-N'-ethylhydrazine, N-phenyl-N'-cyclohexylhydrazine, and the like.

The amine (C) is typically used in amounts ranging from about 0.7 equivalents up to about 2 moles per equivalent of carboxylic acid or functional derivative thereof. Preferably, at least about 1 equivalent, often at least about 1.2 equivalents, up to about 1.5 moles, often up to about 1.5 equivalents, of amine are used per equivalent of carboxylic acid or functional derivative thereof.

A mole of any of (C) is its formula weight, for example, 17.03 for ammonia, 60.10 for ethylene diamine, and 189.31 for tetraethylenepentamine. The equivalent weights of these are 17.03, 30.05 and 37.86, respectively, each determined by dividing the formula weight by the number of nitrogen atoms having at least one H bonded thereto. Thus the equivalent weight of (C) is its formula weight divided by the number of nitrogen atoms per molecule having at least one H atom bonded thereto.

An equivalent of carboxylic acid is equal to one mole of functional groups that will react with the amine. For example, a monocarboxylic acid such as acetic acid provides one equivalent of carboxy group functionality per mole, while succinic anhydride provides two equivalents per mole. For more complex carboxylic compositions, the number of equivalents can be determined by titration with base using means well known in the art.

As noted hereinabove, the instant invention provides a means for custom making compositions which provide a broad range of characteristics, particularly ranging from compositions which are primarily DVMs to compositions which are primarily VMDs as defined hereinabove. In particular, the compositions of this invention may be prepared employing the esterified interpolpolymer (A) and the hydrocarbyl substituted carboxylic acid or functional derivative thereof (B) in amounts ranging from about 0.5 to about 99.5 weight % of (A) and about 99.5 to about 0.5 weight % of (B).

In one embodiment, compositions which serve primarily as viscosity modifying dispersants are prepared employing the esterified interpolpolymer (A) in amounts ranging from about 0.5 to about 30% of the total weight of (A) and hydrocarbyl substituted carboxylic acid or functional derivative thereof (B).

In another embodiment, compositions which serve primarily as viscosity modifiers with dispersant properties are prepared employing the esterified interpolpolymer (A) in amounts ranging from about 60 to about 99.5% of the total weight of (A) and (B) the hydrocarbyl substituted carboxylic acid or functional derivative thereof.

The Diluent

As noted above, the compositions of this invention comprise a diluent. A portion of the diluent may be present during the reaction of components (A), (B) and (C), with the balance being incorporated thereafter. Typically, the compositions
5 comprise a total of from about 20% up to about 80% by weight of diluent, often from about 35% to about 70% by weight, frequently from about 45% to about 60% by weight. From 0 to about 95% of the total diluent, often from about 20% to about 80% of the total diluent and frequently from about 40% to about 60% of the total diluent is present during the reaction, with the balance being added upon completion
10 of the reaction. Useful diluents are members of the group consisting of mineral oils, alpha olefin oligomers, vegetable oils, alkylated aromatic oils, synthetic carboxylic ester oils, and polyalkylene oxides. Oils of lubricating viscosity, including those described hereinafter, are preferred diluents.

The compositions of this invention may be prepared by reacting in the
15 presence of from about 0% to about 95% of the total diluent, a mixture of the esterified interpolpolymer (A) and the carboxylic acid or functional derivative thereof (B) with the amine (C) then adding to the product obtained from said reacting additional diluent such that the total diluent comprises from about 20% to about 80% by weight of the total weight of the composition.

20 The reaction is typically conducted at an elevated temperature under atmospheric pressure, removing volatile by-products of reaction by means known in the art such as by blowing the reaction mixture with an inert gas or by stripping under reduced pressure. Reaction temperatures above ambient, usually ranging from about 100°C up to the lowest decomposition temperature of any reactant, more often
25 from about 100°C, frequently from about 120° up to about 200°C, more often from about 120° up to about 170°C. While the reaction may also be conducted under superatmospheric pressure or under reduced pressure, no advantage is apparent, and it is convenient to conduct the reaction at atmospheric pressure. Under some circumstances, for example when about 20% by weight of reactant (A) is employed,
30 the product of the reaction may become very thick or gel-like. It has been found that the addition of a small amount, often as little as 2-3% by weight of additional diluent

oil, for example a mineral oil of lubricating viscosity, tends to ameliorate this problem.

The following examples are intended to illustrate several compositions of this invention as well as means for preparing same. Unless indicated otherwise all parts are parts by weight, filtrations are conducted employing a diatomaceous earth filter aid, and analytical values are by actual analysis. Viscosity is at 100°C using ASTM procedure D-445 and is reported in centistokes. TAN is total acid number using phenolphthalein indicator and TBN is total base number determined by a potentiometric titration using perchloric acid. Viscosities are measured using ASTM procedure D-445 and are expressed in centistokes. It is to be understood that these examples are not intended to limit the scope of the invention.

Examples 1-5

Mixtures of 24 parts of the product of Example A-2, 100 parts of the product of example B-10 and the indicated amounts of mineral oil (PetroCanada 100N) (Oil-1) are prepared by mixing the components at 100°C. While maintaining 100°C, 7.8 parts HPA-X polyamine bottoms are added to the oil solutions over 0.5 hour and the materials are reacted at 160°C, under N₂ purge, for 5 hours. Additional PetroCanada 100N oil (Oil-2) is added in the indicated amounts and the materials are mixed for 0.3 hour at 160°C then filtered. Viscosity is at 100°C using ASTM Procedure D-445 and is reported in centistokes, TAN is total acid number and TBN is total base number determined by a potentiometric titration using perchloric acid.

Example	1	2	3	4	5
Oil 1	112.2	99	92.3	79.1	66
Oil 2	19.8	32.8	39.5	52.7	65.8
Viscosity	233.7	248	273	426	465
TBN	23.3	23.7	23.3	23.7	22.4
TAN	1.18	0.69	0.95	0.84	0.79

Comparative Examples Comp-1-2

The procedures of Examples 1-5 are repeated except all of the oil (131.8 parts) is present in the mixture of reactants A-2 and B-10. The products analyzed as follows:

Example	Comp 1	Comp 2
Viscosity	219	216
TBN	24.1	24.0
TAN	0.57	0.54

5

Examples 6-9

Mixtures of 49 parts of the product of Example A-3 (containing 40% mineral oil diluent), 100 parts of the product of example B-10 and the indicated amounts of mineral oil (PetroCanada 100N) (Oil-1) are prepared by mixing followed by heating to 100°C. While maintaining 100°C, 7.8 parts HPA-X polyamine bottoms are added to the oil solutions over 0.5 hour and the materials are reacted at 160°C, under N₂ purge, for 5 hours. Additional PetroCanada 100N oil (Oil-2) is added in the indicated amounts and the materials are mixed for 0.3 hour at 160°C. Viscosity is at 100°C using ASTM Procedure D-445 and is reported in centistokes, TAN is total acid number and TBN is total base number determined by a potentiometric titration using perchloric acid.

10

15

Example	6	7	8	9
Oil 1	120	104.5	89	73.5
Oil 2	15.5	31.1	46.5	62
Viscosity	336.8	2093.7	2432.9	3488.6
TBN	22.3	20.9	21.1	21.2
TAN	1.13	0.41	0.45	0.52

Examples 10-11

20

Mixtures of 800 parts of the product of Example A-6, 100 parts of the product of example B-10 and the indicated amounts of mineral oil (PetroCanada

100N) (Oil-1) are prepared by mixing followed by heating to 100°C. While maintaining 100°C, 8 parts HPA-X polyamine bottoms are added to the oil solutions over 0.5 hour and the materials are reacted at 160°C, under N₂ purge, for 5 hours. Additional PetroCanada 100N oil (Oil-2) is added in the indicated amounts and the materials are mixed for 0.3 hour at 160°C.

Example	10	11
Oil 1	60	120
Oil 2	100	180

Example 12

A reactor is charged with 441 parts of the product of Example B-11, 118 parts of Mobil 100N mineral oil and 84 parts of the product of Example A-4. The materials are heated to 110°C followed by dropwise addition over 0.25 hour of 22.8 parts HPA-X polyamines bottoms. The temperature is increased to 160° and is maintained for 2 hours while collecting aqueous distillate. An additional 165 parts Mobil 100N oil are added and the materials are mixed. Viscosity @ 100°C = 288.3, TBN = 19.9 and TAN = 2.4.

Example 13

The procedure of Example 12 is repeated replacing the product of Example B-11 with the product of Example B-12.

Comparative Example Comp-3

The procedure of Examples 6-9 is repeated except all of the oil (135.5 parts) is present in the mixture of reactants A-3 and B-10. The products analyzed as follows:

Example	Comp-3
Viscosity	296
TBN	21.2
TAN	0.91

It is apparent from the foregoing that reducing the amount of diluent present during reaction has a significant effect on the bulk viscosity of the product without a significant reduction in TBN.

Other Additives

Additive concentrates and lubricating oil compositions of this invention may contain other additives. The use of such additives is optional and the presence thereof in the compositions of this invention will depend on the particular use and
5 level of performance required. Thus the other additive may be included or excluded.

Compositions often comprise a zinc salt of a dithiophosphoric acid. Zinc salts of dithiophosphoric acids are often referred to as zinc dithiophosphates, zinc O,O-dihydrocarbyl dithiophosphates, and other commonly used names. They are sometimes referred to by the abbreviation ZDP. One or more zinc salts of
10 dithiophosphoric acids may be present in a minor amount to provide additional extreme pressure, anti-wear and anti-oxidancy performance.

Other additives that may optionally be used in the lubricating oils of this invention include, for example, detergents, dispersants, supplemental viscosity improvers, oxidation inhibiting agents, corrosion inhibiting agents, pour point
15 depressing agents, extreme pressure agents, anti-wear agents, color stabilizers and anti-foam agents. The above-mentioned dispersants and supplemental viscosity improvers may be used in addition to the nitrogen containing esters of this invention.

Extreme pressure agents and corrosion and oxidation inhibiting agents which may be included in the compositions of the invention are exemplified by chlorinated
20 aliphatic hydrocarbons, organic sulfides and polysulfides, phosphorus esters including dihydrocarbon and trihydrocarbon phosphites, molybdenum compounds, and the like.

Other oxidation inhibiting agents include materials such as alkylated diphenyl amines, hindered phenols, especially those having tertiary alkyl groups
25 such as tertiary butyl groups in the position ortho to the phenolic -OH group, and others. Such materials are well known to those of skill in the art.

Auxiliary viscosity improvers (also sometimes referred to as viscosity index improvers or viscosity modifiers) may be included in the compositions of this invention. Viscosity improvers are usually polymers, including polyisobutenes,
30 polymethacrylic acid esters, hydrogenated diene polymers, polyalkyl styrenes, esterified styrene-maleic anhydride copolymers, hydrogenated alkenylarene-

conjugated diene copolymers and polyolefins. Multifunctional viscosity improvers, other than those of the present invention, which also have dispersant and/or antioxidancy properties are known and may optionally be used in addition to the products of this invention. Such products are described in numerous publications including those mentioned in the Background of the Invention. Each of these publications is hereby expressly incorporated by reference.

Pour point depressants may be included in the additive concentrates and lubricating oils described herein. Those which may be used are described in the literature and are well-known to those skilled in the art; see for example, page 8 of 'Lubricant Additives' by C.V. Smalheer and R. Kennedy Smith (Lezius-Hiles Company Publisher, Cleveland, Ohio, 1967). Pour point depressants useful for the purpose of this invention, techniques for their preparation and their use are described in U. S. Patent numbers 2,387,501; 2,015,748; 2,655,479; 1,815,022; 2,191,498; 2,666,748; 2,721,877; 2,721,878; and 3,250,715 which are expressly incorporated by reference for their relevant disclosures.

Anti-foam agents used to reduce or prevent the formation of stable foam include silicones or organic polymers. Examples of these and additional anti-foam compositions are described in "Foam Control Agents", by Henry T. Kerner (Noyes Data Corporation, 1976), pages 125-162.

Detergents and dispersants may be of the ash-producing or ashless type. The ash-producing detergents are exemplified by oil soluble neutral and basic salts of alkali or alkaline earth metals with sulfonic acids, carboxylic acids, phenols or organic phosphorus acids characterized by a least one direct carbon-to-phosphorus linkage.

The term "basic salt" is used to designate metal salts wherein the metal is present in stoichiometrically larger amounts than the organic acid radical. The relative amount of metal present in "basic salts" is frequently indicated by the expression "metal ratio" (abbreviated MR), which is defined as the number of equivalents of metal present compared to a "normal", stoichiometric amount. Thus, for example, a basic salt containing twice the amount of metal compared to the stoichiometric amount, has a metal ratio (MR) of 2. Basic salts and techniques for

preparing and using them are well known to those skilled in the art and need not be discussed in detail here.

Ashless detergents and dispersants are so-called despite the fact that, depending on its constitution, the detergent or dispersant may upon combustion yield a nonvolatile residue such as boric oxide or phosphorus pentoxide; however, it does not ordinarily contain metal and therefore does not yield a metal-containing ash on combustion. Many types are known in the art, and any of them are suitable for use in the lubricants of this invention. The following are illustrative:

(1) Reaction products of carboxylic acids (or derivatives thereof) containing at least about 34 and preferably at least about 54 carbon atoms with nitrogen containing compounds such as amine, organic hydroxy compounds such as phenols and alcohols, and/or basic inorganic materials. Examples of these "carboxylic dispersants" are described in British Patent number 1,306,529 and in many U.S. patents including the following:

3,163,603	3,399,141	3,574,101
3,184,474	3,415,750	3,576,743
3,215,707	3,433,744	3,630,904
3,219,666	3,444,170	3,632,510
3,271,310	3,448,048	3,632,511
3,272,746	3,448,049	3,697,428
3,281,357	3,451,933	3,725,441
3,306,908	3,454,607	4,194,886
3,311,558	3,467,668	4,234,435
3,316,177	3,501,405	4,491,527
3,340,281	3,522,179	5,696,060
3,341,542	3,541,012	5,696,067
3,346,493	3,541,678	5,779,742
3,351,552	3,542,680	RE 26,433
3,381,022	3,567,637	

(2) Reaction products of relatively high molecular weight aliphatic or alicyclic halides with amines, preferably polyalkylene polyamines. These may be

characterized as "amine dispersants" and examples thereof are described for example, in the following U.S. patents:

3,275,554	3,454,555
3,438,757	3,565,804

- 5 (3) Reaction products of alkyl phenols in which the alkyl groups contains at least about 30 carbon atoms with aldehydes (especially formaldehyde) and amines (especially polyalkylene polyamines), which may be characterized as "Mannich dispersants". The materials described in the following U. S. patents are illustrative:

3,413,347	3,725,480
10 3,697,574	3,726,882
3,725,277	

- 15 (4) Products obtained by post-treating the carboxylic amine or Mannich dispersants with such reagents as urea, thiourea, carbon disulfide, aldehydes, ketones, carboxylic acids, hydrocarbon-substituted succinic anhydrides, nitriles, epoxides, boron compounds, phosphorus compounds or the like. Exemplary materials of this kind are described in the following U.S. patents:

3,036,003	3,282,955	3,493,520	3,639,242
3,087,936	3,312,619	3,502,677	3,649,229
3,200,107	3,366,569	3,513,093	3,649,659
3,216,936	3,367,943	3,533,945	3,658,836
3,254,025	3,373,111	3,539,633	3,697,574
3,256,185	3,403,102	3,573,010	3,702,757
3,278,550	3,442,808	3,579,450	3,703,536
3,280,234	3,455,831	3,591,598	3,704,308
3,281,428	3,455,832	3,600,372	3,708,522
			4,234,435

- 20 (5) Polymers and copolymers of oil-solubilizing monomers such as decyl methacrylate, vinyl decyl ether and high molecular weight olefins with monomers containing polar substituents, e.g., aminoalkyl acrylates or methacrylates, acrylamides and poly-(oxyethylene)-substituted acrylates. These may be

characterized as “polymeric dispersants” and examples thereof are disclosed in the following U.S. patents:

	3,329,658	3,666,730
	3,449,250	3,687,849
5	3,519,565	3,702,300

The above-noted patents are incorporated herein by reference for their disclosures of ashless dispersants.

The above-illustrated additives may each be present in lubricating compositions at a concentration of as little as 0.001% by weight, usually ranging from about 0.01% to about 20% by weight. In most instances, they each contribute from about 0.1% to about 10% by weight, more often up to about 5% by weight.

These additives can be added directly to lubricating oil. Preferably, however, they are diluted with a substantially inert, normally liquid organic diluent such as mineral oil, naphtha, benzene, toluene or xylene, to form an additive concentrate. The additive concentrates of this invention may be incorporated into these additive concentrates to form a new concentrate. Alternatively, these additional; additives may be incorporated into the additive concentrates of this invention. These concentrates usually comprise from about 0.01 to about 90% by weight, often about 0.1 to about 80% by weight of the compositions of this invention and may contain, in addition, one or more other additives known in the art or described hereinabove. Concentrations such as 15%, 20%, 30% or 50% or higher may be employed.

Additive Concentrates

The various additives described herein can be added directly to the lubricating oil or fuel. Preferably, however, they are diluted with a substantially inert, normally liquid organic diluent such as mineral oil, naphtha, benzene, toluene or xylene, to form an additive concentrate. These concentrates usually comprise about 0.1 to about 90% by weight, more often from about 1% frequently from about 10% to about 90% by weight often to about 80% by weight of the compositions of this invention and may contain, in addition, one or more other additives known in the art or described hereinabove. Concentrations such as 15%, 20%, 30% or 50% or higher may be employed.

Additive concentrates are prepared by mixing together the desired components, often at elevated temperatures, usually less than 100°C, often no more than about 70°C.

Additive concentrates used for preparing lubricating oil compositions are illustrated by the following examples. The amounts shown are indicated as parts by weight. Unless indicated otherwise, components are indicated as parts or percentages by weight of chemical present on an oil or diluent free basis. When products of Examples set forth hereinabove are used, the amounts listed are as prepared, including diluent, if any.

10 Examples AC-1- AC-6

Additive concentrates are prepared by mixing together 9.4 parts of a zinc salt of mixed isopropyl-methyl amyl (46.8 : 53.2 by weight) dithiophosphoric acid, 3.8 parts dinonylphenyl amine, 5.5 parts of a sulfurized butadiene-butyl acrylate Diels-Alder adduct, 6.6 parts of a calcium overbased (MR 3.5) sulfurized alkyl phenol, 1.6 parts oleylamide, 35.3 parts of the product of the indicated example, 7.4 parts of calcium overbased (MR 12) alkyl benzene sulfonic acid, 0.1 parts of a kerosene solution of a silicone antifoam agent and sufficient mineral oil diluent to bring the total of all ingredients up to 100 parts.

Example	AC-1	AC-2	AC-3	AC-4	AC-5	AC-6
Prod of Example	Comp-1	Comp-2	3	5	Comp-3	8

Lubricating Oil Compositions

The compositions of this invention are useful as viscosity improving dispersants or as viscosity improvers with dispersant properties. They are typically used in minor amounts, that is less than 50% by weight of the lubricating oil composition with a major amount of an oil of lubricating viscosity, that is, the oil of lubricating viscosity comprises greater than 50% by weight of the lubricating oil composition. More often, the compositions of this invention comprise from about 0.1% to about 25% by weight, frequently from about 0.5%, often from about 1% to about 10%, often to about 5% by weight of the lubricating oil composition.

The Oil of Lubricating Viscosity

The lubricating compositions of this invention employ an oil of lubricating viscosity, including natural or synthetic lubricating oils and mixtures thereof. Mixture of mineral oil and synthetic oils, particularly polyalphaolefin oils and
5 polyester oils, are often used.

Natural oils include animal oils and vegetable oils (e.g. castor oil, lard oil and other vegetable acid esters) as well as mineral lubricating oils such as liquid petroleum oils and solvent-treated or acid treated mineral lubricating oils of the paraffinic, naphthenic or mixed paraffinic-naphthenic types. Hydrotreated or
10 hydrocracked oils are included within the scope of useful oils of lubricating viscosity.

Oils of lubricating viscosity derived from coal or shale are also useful. Synthetic lubricating oils include hydrocarbon oils and halosubstituted hydrocarbon oils such as polymerized and interpolymerized olefins, etc. and mixtures thereof,
15 alkylbenzenes, polyphenyl, (e.g., biphenyls, terphenyls, alkylated polyphenyls, etc.), alkylated diphenyl ethers and alkylated diphenyl sulfides and their derivatives, analogs and homologues thereof and the like.

Alkylene oxide polymers and interpolymers and derivatives thereof, and those where terminal hydroxyl groups have been modified by esterification, etherification, etc., constitute other classes of known synthetic lubricating oils that
20 can be used.

Another suitable class of synthetic lubricating oils that can be used comprises the esters of dicarboxylic acids and those made from C₅ to C₁₂ monocarboxylic acids and polyols or polyether polyols.

25 Other synthetic lubricating oils include liquid esters of phosphorus-containing acids, polymeric tetrahydrofurans, alkylated diphenyloxides and the like.

Hydrotreated naphthenic oils are well known.

Many viscosity improvers, and particularly functionalized dispersant viscosity improvers such as acylated polyolefins reacted with amines or alcohols are
30 not readily compatible with certain types of oils of lubricating viscosity, notably

polyolefin oils and hydrotreated oils. The dispersant viscosity improvers of this invention display outstanding compatibility with these oils.

Unrefined, refined and rerefined oils, either natural or synthetic (as well as mixtures of two or more of any of these) of the type disclosed hereinabove can used
5 in the compositions of the present invention. Unrefined oils are those obtained directly from a natural or synthetic source without further purification treatment. Refined oils are similar to the unrefined oils except they have been further treated in one or more purification steps to improve one or more properties. Rerefined oils are obtained by processes similar to those used to obtain refined oils applied to refined
10 oils which have been already used in service. Such rerefined oils often are additionally processed by techniques directed to removal of spent additives and oil breakdown products.

Specific examples of the above-described oils of lubricating viscosity are given in Chamberlin III, U.S. 4,326,972 and European Patent Publication 107,282,
15 both of which are hereby incorporated by reference for relevant disclosures contained therein.

A basic, brief description of lubricant base oils appears in an article by D.V. Brock, "Lubrication Engineering", Volume 43, pages 184-5, March, 1987, which article is expressly incorporated by reference for relevant disclosures contained
20 therein.

The following examples illustrate lubricating oil compositions of this invention. All parts are parts by weight. Unless indicated otherwise, components are indicated as parts or percentages by weight of chemical present on an oil or diluent free basis. When products of Examples set forth hereinabove are used, the amounts
25 listed are as prepared, including diluent, if any. Viscosity @ 40°C and 100°C are reported in centistokes employing ASTM procedure D-445. Brookfield viscosity is determined at -30°C and is reported in centipoise employing ASTM procedure D-2983, Standard Test Method for Low Temperature Viscosity of Automotive Fluid Lubricants Measured by Brookfield Viscometer, both of which appear in the Annual
30 Book of ASTM Standards, Section 5, ASTM, Philadelphia, PA, USA.

Examples L-1- L-3

5W-30 lubricating oil compositions are prepared by combining 9.2 parts of the additive concentrate of the indicated Example, 7 parts of a 8.5% in oil solution of an olefin copolymer viscosity improver, 0.08% of a styrene maleate copolymer neutralized with aminopropylmorpholine, in sufficient mineral oil basestock (PetroCanada stocks) to prepare 100 parts of lubricant.

Example	L-1	L-2	L-3
Additive conc.	AC-3	AC-4	AC-6
Vis @ 40°	59.2	58.9	62.0
Vis @ 100°	9.9	9.9	10.9
Brookfield Vis	12800	13200	14900

Comparative Examples Comp L-1- Comp L-3

Comparative lubricating oil compositions are prepared as in Examples L-1- L-3. Comp L-1 and Comp L-2 compare to Examples L-1 and L-2 and Comp L-3 compares to Example L-3.

Example	Comp L-1	Comp L-2	Comp L-3
Additive conc.	AC-1	AC-2	AC-5
Vis @ 40°	57.6	57.5	59.9
Vis @ 100°	9.7	9.7	10.2
Brookfield Vis	13500	12800	14700

From the foregoing, it is seen that the products of the invention improve the high temperature characteristics of lubricating oil compositions without adversely affecting low temperature performance.

15 It is known that some of the materials described above may interact in the final formulation, so that the components of the final formulation may be different from those that are initially added. For instance, metal ions (of, e.g., a detergent) can migrate to other acidic sites of other molecules. The products formed thereby, including the products formed upon employing the composition of the present invention in its intended use, may not susceptible of easy description. Nevertheless, 20 all such modifications and reaction products are included within the scope of the

present invention; the present invention encompasses the composition prepared by admixing the components described above.

Each of the documents referred to above is incorporated herein by reference. Except in the examples, or where otherwise explicitly indicated, all numerical
5 quantities in this description specifying amounts of materials, reaction conditions, molecular weights, number of carbon atoms, and the like, are to be understood as modified by the word "about". Unless otherwise indicated, each chemical or composition referred to herein should be interpreted as being a commercial grade material which may contain the isomers, by-products, derivatives, and other such
10 materials which are normally understood to be present in the commercial grade. However, the amount of each chemical component is presented exclusive of any solvent or diluent oil which may be customarily present in the commercial material, unless otherwise indicated. It is to be understood that the upper and lower amount, range, and ratio limits set forth herein may be independently combined. As used
15 herein, the expression "consisting essentially of" permits the inclusion of substances which do not materially affect the basic and novel characteristics of the composition under consideration.

While the invention has been explained in relation to its preferred embodiments, it is to be understood that various modifications thereof will become
20 apparent to those skilled in the art upon reading the specification. Therefore, it is to be understood that the invention disclosed herein is intended to cover such modifications that fall within the scope of the appended claims.

What is claimed is

- 1 1. A composition comprising from about 20% to about 80% by weight of a
2 diluent, prepared by reacting a mixture comprising
3 (A) an esterified carboxy-containing interpolymer, said interpolymer being
4 derived from at least two monomers, (i) one of said monomers being at least one of
5 an aliphatic olefin containing from 2 to about 30 carbon atoms and a vinyl aromatic
6 monomer and (ii) the other of said monomers being at least one alpha, beta-
7 unsaturated acylating agent, and having, before esterification, $\overline{M}_n$ determined by gel
8 permeation chromatography ranging from about 8,000 to about 350,000, wherein
9 from about 80% to about 99% of the carboxylic groups of said interpolymer are
10 esterified, wherein from about 80 to about 100% of the ester groups contain from 8
11 to about 23 carbon atoms and from 0 to about 20% of the ester groups contain from
12 2 to 7 carbon atoms, and
13 (B) a hydrocarbyl substituted carboxylic acid or functional derivative
14 thereof wherein the hydrocarbyl group comprises from about 10 to about 400 carbon
15 atoms, with
16 (C) an amine having an average of more than 1 condensable N-H group,
17 wherein from about 0 to about 95% of the diluent is present during the reacting and
18 the product obtained from said reacting is further combined with additional diluent
19 such that the total diluent comprises from about 20% to about 80% by weight of the
20 total weight of the composition.

- 1 2. The composition of claim 1 wherein said esterified groups of
2 interpolymer (A) are characterized by the presence of at least one member of the
3 group consisting of
4 (a) pendant ester groups containing from about 12 to about 23 carbon
5 atoms, and

6 (b) pendant ester groups containing from 8 to about 11 carbon atoms; and
7 optionally,

8 (c) up to about 20 mole % of pendant ester groups containing from 2 to 7
9 carbon atoms, based on the total number of moles of carboxylic groups in said
10 interpolymer.

1 3. The composition of claim 2 wherein said esterified groups of
2 interpolymer (A) are characterized by the presence of each of the following groups
3 which are derived from the carboxy groups of said interpolymer:

4 (a) from about 20 to about 80 mole % of pendant ester groups containing
5 from about 12 to about 23 carbon atoms,

6 (b) from about 80 to about 20 mole % of pendant ester groups containing
7 from 8 to about 11 carbon atoms, and optionally,

8 (c) up to about 20 mole % of pendant ester groups containing from 2 to 7
9 carbon atoms,
10 all based on the total number of moles of carboxylic groups in said interpolymer.

1 4. The composition of claim 1 wherein the $\overline{M}_n$ of the interpolymer,
2 before esterification, ranges from about 10,000 to about 100,000.

1 5. The composition of claim 1 wherein the aliphatic olefin is an alpha
2 olefin.

1 6. The composition of claim 1 wherein the vinyl aromatic monomer
2 comprises styrene or a substituted styrene.

1 7. The composition of claim 1 wherein the alpha,beta- unsaturated
2 acylating agent comprises an aliphatic carboxylic acid or anhydride, or esters thereof.

1 8. The composition of claim 1 wherein the alpha, beta-unsaturated
2 acylating agent comprises at least one member selected from the group consisting of

3 maleic acid or anhydride, itaconic acid or anhydride, fumaric acid, α -methylene
4 glutaric acid, acrylic acid, methacrylic acid or an ester of any of these.

1 9. The composition of claim 1 wherein the interpolymer is derived from
2 styrene and maleic anhydride.

1 10. The composition of claim 9 wherein the interpolymer is further derived
2 from methacrylic acid or an ester thereof.

1 11. The composition of claim 1 wherein the mole ratio of (i):(ii) ranges
2 from about 1:2 to about 3:1.

1 12. The composition of claim 10 wherein the mole ratio of styrene:maleic
2 anhydride : methacrylic acid or ester thereof ranges from about (1-3):(2-1):(0.01-
3 0.3).

1 13. The composition of claim 12 wherein the mole ratio of styrene : maleic
2 anhydride : methacrylic acid or ester thereof is about 1:1:0.05.

1 14. The composition of claim 1 wherein the esterified carboxy containing
2 interpolymer (A) comprises up to about 20 mole % based on moles of carboxylic
3 groups in said interpolymer of pendant carboxylic acid or anhydride groups.

1 15. The composition of claim 1 wherein the esterified interpolymer (A) is
2 substantially free of ester groups containing from 2 to 7 carbon atoms.

1 16. The composition of claim 1 wherein the hydrocarbyl substituted
2 carboxylic acid or functional derivative thereof (B) is a polyolefin substituted
3 carboxylic acid.

1 17. The composition of claim 16 wherein the polyolefin substituted
2 carboxylic acid or functional derivatives thereof has from about 1 to about 4 carboxy
3 groups per mole of polyolefin.

1 18. The composition of claim 16 wherein the polyolefin substituent is
2 derived from homopolymerized and interpolymerized olefins containing from 2 to
3 about 18 carbon atoms.

1 19. The composition of claim 1 wherein the polyolefin substituent is
2 derived from a polybutene.

1 20. The composition of claim 19 wherein the polybutene comprises
2 polyisobutylene.

1 21. The composition of claim 1 wherein the hydrocarbyl substituent on (B),
2 the hydrocarbyl substituted carboxylic acid or functional derivative thereof, has a
3 number average molecular weight ranging from about 500 to about 4000.

1 22. The composition of claim 1 wherein the hydrocarbyl substituted
2 carboxylic acid or functional derivative thereof comprises a succinic acid or
3 anhydride thereof.

1 23. The composition of claim 1 wherein (B) the hydrocarbyl substituted
2 carboxylic acid or functional derivative thereof comprises an α -hydroxy acid or ester
3 thereof.

1 24. The composition of claim 1 wherein (B) the hydrocarbyl substituted
2 carboxylic acid or functional derivative thereof comprises an α -hydroxy lactone.

1 25. The composition of claim 1 wherein the amine (C) is an alkylene
2 polyamine.

1 26. The composition of claim 25 wherein the alkylene polyamine
2 comprises ethylene polyamine bottoms.

1 27. The composition of claim 1 wherein the diluent is at least one member
2 selected from the group consisting of mineral oils, alpha olefin oligomers, vegetable
3 oils, alkylated aromatic oils, synthetic carboxylic ester oils, and polyalkylene oxides.

1 28. The composition of claim 1 wherein the esterified interpolymers (A)
2 and the hydrocarbyl substituted carboxylic acid or functional derivative thereof (B)
3 are used in amounts ranging from about 0.5 to about 99.5 weight % of (A) and about
4 99.5 to about 0.5 weight % of (B).

1 29. The composition of claim 28 wherein the esterified interpolymers (A) is
2 used in amounts ranging from about 0.5 to about 30 weight % of the total weight of
3 (A) and hydrocarbyl substituted carboxylic acid or functional derivative thereof (B).

1 30. The composition of claim 28 wherein the esterified interpolymers (A) is
2 used in amounts ranging from about 60 to about 99.5 weight % of the total weight of
3 (A) and (B) hydrocarbyl substituted carboxylic acid or functional derivative thereof.

1 31. The composition of claim 1 comprising from about 45% to about 60%
2 by weight substantially inert organic diluent, wherein from about 40 to about 60% of
3 the diluent is present during the reacting of the amine (C) with the mixture of
4 esterified interpolymers (A) and hydrocarbyl substituted carboxylic acid or functional
5 derivative thereof (B) and the product obtained thereby is further combined with
6 additional diluent such that the total diluent comprises from about 45% to about 60%
7 by weight of the total weight of the composition.

1 32. The composition of claim 1 wherein the amine (C) is used in amounts
2 ranging from about 0.7 equivalents up to about 2 moles per equivalent of carboxylic
3 acid or functional derivative (B).

1 33. A process for preparing a composition comprising from about 20% to
2 about 80% by weight, based on the total weight of the composition, of a substantially
3 inert organic diluent, comprising reacting a mixture of

4 (A) an esterified carboxy-containing interpolymer, said interpolymer being
5 derived from at least two monomers, (i) one of said monomers being at least one of
6 an aliphatic olefin containing from 2 to about 30 carbon atoms and a vinyl aromatic
7 monomer and (ii) the other of said monomers being at least one alpha, beta-
8 unsaturated acylating agent, and having, before esterification, $\overline{M}_n$ determined by gel
9 permeation chromatography ranging from about 8,000 to about 350,000, wherein
10 from about 80% to about 99% of the carboxylic groups of said interpolymer are ester
11 groups, wherein from about 80 to about 100% of said ester groups contain from 8 to
12 about 23 carbon atoms and from 0 to about 20% of said ester groups contain from 2
13 to 7 carbon atoms, and

14 (B) a hydrocarbyl substituted carboxylic acid or functional derivative
15 thereof wherein the hydrocarbyl group comprises from about 10 to about 400 carbon
16 atoms, with

17 (C) an amine having an average of more than 1 condensable N-H group,
18 wherein from about 0 to about 95% of the diluent is present during the reacting and
19 the product obtained from said reacting is further combined with additional diluent
20 such that the total diluent comprises from about 20% to about 80% by weight of the
21 total weight of the composition.

1 34. The process of claim 33 wherein the composition comprises from about
2 45% to about 60% by weight substantially inert organic diluent, wherein from about
3 40 to about 60% of the diluent is present during the reacting of the amine (C) with
4 the mixture of esterified interpolymer (A) and hydrocarbyl substituted carboxylic
5 acid or functional derivative thereof (B) and the product obtained from said reacting

6 is further combined with additional diluent such that the total diluent comprises from
7 about 45% to about 60% by weight of the total weight of the composition.

1 35. The process of claim 33 wherein the amine (C) is used in amounts
2 ranging from about 0.7 equivalents up to about 2 moles per equivalent of carboxylic
3 acid or functional derivative (B).

1 36. An additive concentrate comprising from about 10 to about 90% by
2 weight of a substantially inert, normally liquid organic diluent and from about 90 to
3 about 10% by weight of the composition of claim 1.

1 37. A lubricating oil composition comprising a major amount of an oil of
2 lubricating viscosity and a minor amount of the composition of claim 1.

INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 00/15586

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C10M159/12 C10L1/22 C08F8/30 C08G81/02 C08G85/00
 //(C10M159/12, 129:92, 129:93, 129:95, 133:02, 133:04, 133:06, 145:12,
 145:14, 145:16), C10N20:04, C10N30:02, C10N30:04

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C10M C10L C08F C08G

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4 594 378 A (TIPTON CRAIG D ET AL) 10 June 1986 (1986-06-10) example 32B1 ---	1-3, 5-9, 11, 14, 15, 27, 36, 37
A	EP 0 853 115 A (LUBRIZOL CORP) 15 July 1998 (1998-07-15) claims 1-13; example 7N ---	1-3, 5-15, 27, 28, 30, 36, 37
A	US 3 933 761 A (COLEMAN LESTER EARL) 20 January 1976 (1976-01-20) cited in the application example 23 ---	1-3, 5-12, 14, 28, 30, 36, 37
	-/--	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

17 October 2000

Date of mailing of the international search report

30/10/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
 NL - 2280 HV Rijswijk
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
 Fax: (+31-70) 340-3016

Authorized officer

De La Morinerie, B

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/15586

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 352 072 A (EXXON CHEMICAL PATENTS INC) 24 January 1990 (1990-01-24) cited in the application the whole document ---	1-37
A	EP 0 759 443 A (LUBRIZOL CORP) 26 February 1997 (1997-02-26) cited in the application the whole document ---	24
A	EP 0 825 249 A (CHEVRON CHEM CO) 25 February 1998 (1998-02-25) cited in the application the whole document ---	1-37
A	EP 0 773 234 A (SHELL INT RESEARCH) 14 May 1997 (1997-05-14) the whole document ---	1-37
A	EP 0 775 740 A (BP CHEMICALS ADDITIVES) 28 May 1997 (1997-05-28) cited in the application -----	

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 00/15586

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 4594378 A	10-06-1986	AT 76658 T AU 589936 B AU 5191086 A BR 8507093 A CA 1260451 A DE 3586130 A DE 3586130 D DK 324186 A EP 0203991 A ES 549107 D ES 8705021 A FI 862979 A,B, IL 77253 A IN 167626 A IN 167627 A IN 167690 A IN 166311 A MX 167980 B NO 178932 B WO 8603221 A US 4654403 A US 4734446 A ZA 8508903 A	15-06-1992 26-10-1989 18-06-1986 31-03-1987 26-09-1989 02-07-1992 02-07-1992 08-07-1986 10-12-1986 16-04-1987 01-07-1987 18-07-1986 12-07-1990 24-11-1990 24-11-1990 08-12-1990 07-04-1990 27-04-1993 25-03-1996 05-06-1986 31-03-1987 29-03-1988 26-11-1986
EP 0853115 A	15-07-1998	US 5880074 A CA 2226462 A JP 10204464 A	09-03-1999 09-07-1998 04-08-1998
US 3933761 A	20-01-1976	NONE	
EP 0352072 A	24-01-1990	US 5035821 A AU 627472 B AU 3814289 A BR 8903515 A DE 68902964 D DE 68902964 T JP 2160888 A	30-07-1991 27-08-1992 18-01-1990 13-03-1990 29-10-1992 07-01-1993 20-06-1990
EP 0759443 A	26-02-1997	US 6020500 A AU 714506 B AU 6215996 A CA 2183610 A JP 9132789 A SG 64397 A US 6114547 A	01-02-2000 06-01-2000 27-02-1997 23-02-1997 20-05-1997 27-04-1999 05-09-2000
EP 0825249 A	25-02-1998	US 5753597 A CA 2212495 A JP 10087948 A	19-05-1998 20-02-1998 07-04-1998
EP 0773234 A	14-05-1997	AU 7172196 A BR 9605535 A CA 2189918 A DE 69609924 D JP 9194591 A SG 47191 A	22-05-1997 11-08-1998 14-05-1997 28-09-2000 29-07-1997 20-03-1998
EP 0775740 A	28-05-1997	DE 69602068 D	20-05-1999

INTERNATIONAL SEARCH REPORT

Information on patent family members

Intern: al Application No

PCT/US 00/15586

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP 0775740 A		DE 69602068 T	05-08-1999
		JP 9176673 A	08-07-1997
		US 5719108 A	17-02-1998