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(54) Title: PROCESS FOR DYEING AND STRAIGHTENING HAIR

(57) Abstract: The present invention relates to a method for dyeing and semipermanent straightening of the hair in two steps, which are carried out one after another in one salon visit. The method uses a first composition (dye composition) comprising at least one hair dye, optionally at least one alkalizing agent and optionally at least one oxidizing agent, and a second composition (straightening composition) comprising at least one carboxylic acid of the Formula (I) : R-CO-COOH. The hair is treated with the said compositions successively, so that the straightening treatment is performed directly after the colouring treatment.

Process for dyeing and straightening hairField of the Invention

5 The present invention relates to a method for dyeing and semipermanent straightening of the hair in two steps.

Background of the Invention

10 A known method for straightening curly or frizzy hair involves the use of straightening irons. The high temperature of the iron leads to a breakage of hydrogen bonds in the keratin of the hair, achieving a temporary straightening. The hydrogen bonds are formed again by the action of moisture, so 15 that the hair reverts back to its original shape over the time because of air humidity, and the straightening effect vanishes after washing the hair.

20 The shape of the hair is largely determined by the disulfide bonds linking two cysteine moieties of the hair keratin. In order to achieve a more permanent shaping of the hair, known methods involve the cleavage of the disulfide bonds by the action of a sulfide- or thio group containing reducing agent. After the hair has been brought into the desired shape, new 25 disulfide bonds are formed by applying an oxidizing agent such as hydrogen peroxide, thus fixing the shape of the hair. The use of such agents, however, may cause damage to the hair.

30 As an example for this kind of hair shaping treatment, reference is made to GB 1 416 564, describing reducing compositions comprising thioglycolates or thiolactates as reducing agents and fixing compositions comprising hydrogen

peroxide as an oxidizing agent. The reducing compositions may further comprise a salt of an acid such as glyoxylic acid as a buffering agent.

5 As an alternative to the above-described two-step reduction and oxidation process, the disulfide bridges can be cleaved by the action of an alkaline agent such as sodium hydroxide at a pH of about 11 or higher. Under these conditions, the disulfide (or cystin) moiety can undergo a disproportionation

10 reaction under the elimination of sulfur, and is cleaved into an alpha-beta-unsaturated dehydro-alanine moiety and a cysteine moiety. After the hair has been brought into the desired shape, the dehydro-alanine moieties and the cysteine moieties form thioether bonds and combine to lanthionine,

15 stabilizing the straightened state of the hair. Since the disulfide or cysteine moieties are converted into lanthionine moieties, this type of hair straightening process using an alkaline agent is also called lanthionization.

20 Both the two-stage reduction/oxidation method and the lanthionization method rely on a cleavage of the disulfide bonds and the formation of new bonds among the hair proteins, leading to an irreversible change of the shape of the hair. This means that these processes can achieve a permanent

25 straightening, wherein the treated portion of the hair maintains its shape, and the straightening effect only vanishes because of the growth of the hair.

Recently, it has been found that carboxylic acids having a

30 carbonyl group adjacent to the carboxy group, such as glyoxylic acid, which are known as a buffering agent in cosmetic compositions, may have a semi-permanent straightening effect when used in combination with mechanical

straightening means. In this connection, semi-permanent means that the hair maintains its straightened appearance for several washing cycles. This is in contrast to permanent straightening, wherein the shape of the hair is modified 5 irreversibly, as in the above-described methods based on the cleavage of the disulfide bonds, and in contrast to the transient straightening achieved with a straightening iron, which vanishes by the action of moisture.

10 In this respect, WO 2011/104282 describes a process for semi-permanent hair straightening, which involves applying a composition comprising an α -keto acid onto the hair, leaving the composition in contact with the hair for 15 to 120 minutes, drying the hair and straightening the hair with a 15 straightening iron at a temperature of $200\pm50^\circ\text{C}$.

Furthermore, WO 2012/010351 describes a treatment for semi-permanent straightening of curly, frizzy or wavy hair by applying a solution of glyoxylic acid in combination with 20 mechanical straightening, using a straightening iron at a temperature of $200\pm30^\circ\text{C}$. After the treatment, the hair is said to retain its shape for at least six consecutive washings.

25 Hair dyeing methods and agents may be categorized in accordance with the type of the dye and the permanency of the colour on hair. Depending on the permanency of the colour, hair dyes are usually classified as "permanent", "demi-permanent", "semi-permanent" or "temporary". Permanent and 30 demi-permanent dyes are typically formed by oxidation dyes, while direct dyes are used for semi-permanent or temporary colouring.

Oxidation dyes are formed from low molecular intermediates which are known as "precursors" and "couplers", respectively. These molecules are small enough to penetrate into the hair. The precursors are usually aromatic para compounds such as 5 1,4-diaminobenzene, and are used alone or in combination with aromatic meta compounds such as 1,3-diaminobenzene as couplers. By the action of an oxidizing agent such as hydrogen peroxide under alkaline conditions, the precursor is oxidized and reacts with the coupler, thus forming the dye 10 molecule.

Typically, the oxidative dye composition comprises ammonia as an alkalinizing agent, in order to achieve a swelling of the hair and thus enhances the penetration of the precursor and 15 the coupler into the hair. By the action of the oxidizing agent, the intermediates are coupled inside the hair. Since the size of the thus formed dye molecule is larger than the size of the intermediates, the dye molecule stays trapped inside the hair, so that a permanent colouring can be 20 achieved.

In case of direct dyes, the actual dye molecule is applied to the hair and adheres to the hair surface because of, for example, electrostatic interactions. In contrast to the 25 oxidation dye intermediates, the penetration of the direct dyes into the hair is relatively poor because of the larger molecule size. As a result, direct dyes can be washed out, so that the colouring is merely temporary.

30 Some direct dyes, in particular nitro dyes, may adhere more firmly to the hair and may penetrate deeper into the hair surface to some extent. Colouring compositions comprising

these dyes are washed out less easily and thus are termed "semi-permanent".

5 The combination of these hair dyeing and straightening methods, however, has not yet been described in the state of the art.

Summary of the Invention

10 The present invention relates to a process for dyeing and straightening hair, which comprises the following steps performed in this order:

- (a) application of a first composition (dye composition) comprising at least one hair dye, optionally at least one alkalizing agent and optionally at least one oxidizing agent onto the hair,
- (b) leaving the composition on the hair for 5 to 45 minutes, rinsing off the composition and optionally shampooing the hair,
- (c) optionally drying the hair,
- (d) application of a second composition (straightening composition) having a pH of 4 or lower and comprising at least one carboxylic acid of the formula (I) and/or a hydrate thereof and/or a salt thereof onto the hair:



30 wherein R is selected from hydrogen, COOH, CN, optionally substituted $\text{C}_1\text{-}\text{C}_{10}$ alkyl, optionally substituted $\text{C}_2\text{-}\text{C}_{10}$ alkenyl, optionally substituted $\text{C}_2\text{-}\text{C}_{10}$ alkynyl, optionally substituted $\text{C}_3\text{-}\text{C}_{10}$ cycloalkyl, optionally substituted $\text{C}_6\text{-}\text{C}_{10}$ aryl or a 5-10-membered, optionally substituted heteroaryl

group, wherein the optional substituents of the alkyl group are selected from halogen, hydroxyl, amino and C₁-C₄ alkoxy, and the optional substituents of the other groups are selected from halogen, hydroxyl, amino, C₁-C₄ alkyl and C₁-C₄

5 alkoxy,

- (e) leaving the composition on the hair for 1 to 120 minutes,
- (f) optionally rinsing off the hair with water,
- (g) drying the hair,
- 10 (h) treating the hair with an iron having a surface temperature of 130°C to 250°C, preferably 180 ± 50°C, and
- (i) optionally rinsing off the hair with water and drying the hair.

15

wherein the steps (d) to (i) are carried out directly after the steps (a) to (c).

In this connection, "directly after" means that the time interval between the completion of steps (a) to (c) and the beginning of steps (d) to (i) is not more than two or three hours, preferably not more than 1 hour, more preferably not more than 30 minutes.

25 The process of the invention achieves a semi-permanent straightening of the hair. Generally, hair straightening may be divided into two main categories. The first is permanent straightening, wherein hair shape is irreversibly changed either by a two-step reduction and oxidation process or 30 alternatively by treating hair with a strong alkaline composition having pH values 11.0 or above.

The second is semi-permanent straightening wherein hair is straightened relatively reversibly and returns to its approximately original shape after a certain number of washing cycles. The present invention relates to semi-5 permanent straightening, and does not involve the cleavage of disulfide bonds by sulfur-based reducing agents or alkali.

In another aspect, the present invention relates to a hair treatment kit comprising a straightening composition and a10 hair dye composition as described above, for use in the above-described process.

The dye composition and/or the straightening composition may suitably comprise further ingredients such as surfactants15 and/or conditioning components defined below, and may suitably be in the form of a solution, emulsion, cream, paste and mousse.

Detailed Description of the Invention

20 Conventionally, colouring and straightening treatment of the hair is carried out in two separate sessions which is time consuming and uneconomical for end users. Hair colouring, especially under alkaline conditions, causes usually hair25 damage which leads to poor colour stability.

Besides, conventional hair straightening techniques are also known to damage the hair, e.g., by the action of the disulfide-cleaving agents and/or the agents for forming new30 disulfide bonds. Accordingly, the combination of conventional hair straightening directly after hair colouring was likely to cause severe hair damage, leading to a dull appearance and

reduced colour stability, since the dye may be washed out through the formed cracks and fissures.

In other words, if a conventional hair straightening

5 treatment is performed directly after a dyeing treatment, the colours are washed out rapidly from hair so that dyed hair loses its attractive appearance in terms of shine and vibrancy of the colours relatively quickly.

10 The present invention solves this problem by providing a method which achieves a colouring and straightening of the hair in two steps in direct succession.

For this purpose, the method of the present invention uses a
15 first composition (dye composition) comprising at least one hair dye, optionally at least one alkalinizing agent and optionally at least one oxidizing agent, and a second composition (straightening composition) comprising at least one carboxylic acid of the Formula (I) below. The hair is
20 treated with the said compositions successively, so that the straightening treatment is performed directly after the colouring treatment.

With the term "directly after", it is meant that the time
25 interval between the two treatments is not more than two or three hours, preferably not more than one hour, more preferably is not more than 30 minutes.

It has surprisingly been found out by the inventors of the
30 present invention that dyeing and straightening processes carried out one after another in direct succession (e.g., in the same salon visit) result in less hair damage, better colour and straightening stability in comparison to two

separate sessions, so that the hair treated in accordance with the inventive process of the present invention keeps its newly achieved cosmetic properties for a longer period of time, i.e., longer lasting colour and straightening effects 5 are obtained.

1. The Dye Composition

The dye composition used in the present invention contains at 10 least one hair dye, optionally at least one alkalizing agent and optionally at least one oxidizing agent. The at least one hair dye generally is at least one direct dye, at least one oxidation dye or a combination thereof. This means that the dye composition may be a direct dye composition or an 15 oxidation dye composition. For instance, the oxidation dye compositions as described in EP 2 407 149 or the compositions as described in EP 2 329 809, which comprise a combination of an oxidation dye and a direct dye may be used.

20 The dye composition may suitably comprise further ingredients such as surfactants and/or conditioning component as defined below, and may suitably be in the form of a solution, emulsion, cream, paste and mousse.

25 Direct Dye

The dye composition may comprise a direct dye. In the present invention, there are no particular limitations as to the type of direct dye, and any direct dye suitable for hair colouring 30 may be used.

Examples of the direct dye include an anionic dye, a nitro dye, a disperse dye, and a cationic dye and mixtures thereof.

Non-limiting examples of the cationic dyes are Basic Blue 6, Basic Blue 7, Basic Blue 9, Basic Blue 26, Basic Blue 41, Basic Blue 99, Basic Brown 4, Basic Brown 16, Basic Brown 17, 5 Natural Brown 7, Basic Green 1, Basic Orange 31, Basic Red 2, Basic Red 12 Basic Red 22, Basic Red 51, Basic Red 76, Basic Violet 1, Basic Violet 2, Basic Violet 3, Basic Violet 10, Basic Violet 14, Basic Yellow 57 and Basic Yellow 87 and mixtures thereof. Particularly preferred are Basic Red 51, 10 Basic Orange 31, Basic Yellow 87 and mixtures thereof.

Non-limiting examples of the anionic dyes are Acid Black 1, Acid Blue 1, Acid Blue 3, Food Blue 5, Acid Blue 7, Acid Blue 9, Acid Blue 74, Acid Orange 3, Acid Orange 6, Acid Orange 7, 15 Acid Orange 10, Acid Red 1, Acid Red 14, Acid Red 18, Acid Red 27, Acid Red 50, Acid Red 52, Acid Red 73, Acid Red 87, Acid Red 88, Acid Red 92, Acid Red 155, Acid Red 180, Acid Violet 9, Acid Violet 43, Acid Violet 49, Acid Yellow 1, Acid Yellow 23, Acid Yellow 3, Food Yellow No. 8, D&C Brown No. 1, 20 D&C Green No. 5, D&C Green No. 8, D&C Orange No. 4, D&C Orange No. 10, D&C Orange No. 11, D&C Red No. 21, D&C Red No. 27, D&C Red No. 33, D&C Violet 2, D&C Yellow No. 7, D&C Yellow No. 8, D&C Yellow No. 10, FD&C Red 2, FD&C Red 40, FD&C Red No. 4, FD&C Yellow No. 6, FD&C Blue 1, Food Black 1, 25 Food Black 2, Disperse Black 9 and Disperse Violet 1 and their alkali metal salts such as the sodium or potassium salt and mixtures thereof.

Among those, the preferred anionic dyes are Acid Red 52, Acid 30 Violet 2, Acid Red 33, Acid Orange 4, Acid Red 27 and Acid Yellow 10 and their salts. Even more preferred anionic dyes are Acid Red 52, Acid Violet 2, Acid Red 33, Acid Orange 4 and Acid Yellow 10, and their salts and mixtures thereof.

Non-limiting examples for the nitro dye are HC Blue No.2, HC Blue No.4, HC Blue No.5, HC Blue No.6, HC Blue No.7, HC Blue No.8, HC Blue No.9, HC Blue No.10, HC Blue No.11, HC Blue 5 No.12, HC Blue No.13, HC Brown No.1, HC Brown No.2, HC Green No.1, HC Orange No.1, HC Orange No.2, HC Orange No.3, HC Orange No.5, HC Red BN, HC Red No.1, HC Red No.3, HC Red No.7, HC Red No.8, HC Red No.9, HC Red No.10, HC Red No.11, HC Red No.13, HC Red No.54, HC Red No.14, HC Violet BS, HC 10 Violet No.1, HC Violet No.2, HC Yellow No.2, HC Yellow No.4, HC Yellow No.5, HC Yellow No.6, HC Yellow No.7, HC Yellow No.8, HC Yellow No.9, HC Yellow No.10, HC Yellow No.11, HC Yellow No.12, HC Yellow No.13, HC Yellow No.14, HC Yellow No.15, 2- Amino-6-chloro-4-nitrophenol, picramic acid, 1,2-Diamino-4-nitrobenzol, 1,4-Diamino-2-nitrobenzol, 3-Nitro-4-aminophenol, 1-Hydroxy-2-amino-3-nitrobenzol and 2-hydroxyethylpicramic acid and mixtures thereof.

The dye composition may suitably comprise only one direct dye 20 or a combination of direct dyes. In this respect the direct dyes of different ionic characters may also be comprised in the same composition.

The total amount of direct dye in the composition is within 25 the range of 0.001 to 10 %, preferably 0.01 to 7.5 %, more preferably 0.05 to 5% by weight, based on the total weight of the dye composition.

If the dye composition only comprises direct dyes, an 30 oxidizing agent is not required for colouring the hair. In case lightening of the hair colour is desired, an oxidizing agent may be included into the composition.

Oxidation Dye

In case the dye composition comprises an oxidation dye, it is typically of a two-component type, wherein the first 5 component comprises the oxidation dye intermediates (precursor and coupler) and an alkalizing agent, while the second component comprises an oxidizing agent such as hydrogen peroxide. The two components are typically stored separately and combined before application onto the hair.

10

In the present invention, there are no particular limitations as to the oxidation dye intermediates, any known precursors and couplers normally used in a hair dye product may suitably be used.

15

Non-limiting examples of the precursor include para-phenylenediamine, toluene-2,5-diamine, 2-chloro-para-phenylenediamine, N-methoxyethyl-para-phenylenediamine, N,N-bis(2-hydroxyethyl)-para-phenylenediamine, 2-(2-hydroxyethyl)-para-phenylenediamine, 2,6-dimethyl-para-phenylenediamine, 4,4'-diaminodiphenylamine, 1,3-bis(N-(2-hydroxyethyl)-N-(4-aminophenyl)amino)-2-propanol, PEG-3,3,2'-para-phenylenediamine, para-aminophenol, para-methylaminophenol, 3-methyl-4-aminophenol, 2-aminomethyl-4-aminophenol, 2-(2-hydroxyethylaminomethyl)-4-aminophenol, ortho-aminophenol, 2-amino-5-methylphenol, 2-amino-6-methylphenol, 2-amino-5-acetamide phenol, 3,4-diaminobenzoic acid, 5-aminosalicylic acid, 2,4,5,6-tetraaminopyrimidine, 2,5,6-triamino-4-hydroxypyrimidine, 4,5-diamino-1-(4'-chlorobenzyl)pyrazole, 4,5-diamino-1-hydroxyethylpyrazole, and salts of these substances and their mixtures.

Non-limiting examples of the coupler include meta-phenylenediamine, 2,4-diaminophenaxyethanol, 2-amino-4-(2-hydroxyethylamino)anisole, 2,4-diamino-5-methylphenetole, 2,4-diamino-5-(2-hydroxyethoxy)toluene, 2,4-dimethoxy-1,3-diaminobenzene, 2,6-bis(2-hydroxyethylamino)toluene, 2,4-diamino-5-fluorotoluene, 1,3-bis(2,4-diaminophenoxy)propane, meta-aminophenol, 2-methyl-5-aminophenol, 2-methyl-5-(2-hydraxyethylamino)phenol, 2,4-dichloro-3-aminophenol, 2-chloro-3-amino-6-methylphenol, 2-methyl-4-chloro-5-aminophenol, N-cyclopentyl-meta-aminophenol, 2-methyl-4-methoxy-5-(2-hydroxyethylamino)phenol, 2-methyl-4-fluoro-5-aminophenol, resorcin, 2-methylresorcin, 4-chlororesorcin, 1-naphthol, 1,5-dihydroxynaphthalene, 1,7-dihydroxynaphthalene, 2,7-dihydroxynaphthalene, 2-isopropyl-5-methylphenol, 4-hydroxyindole, 5-hydroxyindole, 6-hydroxyindole, 7-hydroxyindole, 6-hydroxybenzomorpholine, 3,4-methylenedioxyphenol, 2-bromo-4,5-methylenedioxyphenol, 3,4-methylenedioxyaniline, 1-(2-hydroxyethyl)amino-3,4-methylenedioxybenzene, 2,6-dihydroxy-3,4-dimethylpyridine, 2,6-dimethoxy-3,5-diaminopyridine, 2,3-diamino-6-methoxypyridine, 2-methylamino-3-amino-6-methoxypyridine, 2-amino-3-hydroxypyridine, 2,6-diaminopyridine, and salts of these substances and their mixtures.

The concentration of the dye precursors and couplers each is in the range of 0.001 to 10%, preferably 0.01 to 7.5%, more preferably 0.1 to 5% by weight based on the total weight of the dye composition.

The oxidation dye composition further comprises an alkalizing agent. Suitable non-limiting examples of the alkalizing agent include ammonia and a salt thereof; alkanolamine such as monoethanolamine, isopropanolamine, 2-amino-2-methyl

propanol, and 2-aminobutanol, and a salt thereof; alkanediamine such as 1,3-propanediamine and a salt thereof; and carbonate such as guanidine carbonate, sodium carbonate, potassium carbonate, sodium bicarbonate, and potassium bicarbonate and their mixtures. The concentration of the alkalinizing agent is in the range of 0.1 to 15% preferably 0.5 to 10%, more preferably 1 to 7.5% by weight, based on the total weight of the dye composition.

10 In the oxidation dye composition, the component comprising the oxidizing agent is stored separately and mixed with the dye component prior to application onto hair. Suitable non-limiting examples of the oxidizing agent include hydrogen peroxide, urea peroxide, melamin peroxide and sodium bromate.

15 Among these oxidizing agents, hydrogen peroxide is preferable.

20 The concentration of the at least one oxidizing agent, preferably hydrogen peroxide, is in the range of 1 to 20%, preferably 1 to 15%, more preferably 1 to 12% and even more preferably 2 to 9% by weight, based on the total weight of the dye composition.

25 When stored separately, the pH of the component comprising the oxidizing agent is preferably 2 to 6, more preferably 2.5 to 4. The pH may be adjusted by means of suitable buffering agents.

30 After mixing the dye component with the oxidizing component, the pH of the dye composition is typically in the range of 6 to 11, preferably 6.5 to 10.5 and more preferably 6.8 to 10, measured at ambient temperature (25°C).

The oxidation dye composition may additionally comprise at least one direct dye. The direct dyes mentioned above are all suitable for this purpose.

5 2. The Straightening Composition

The straightening composition comprises at least one carboxylic acid of the following formula (I) as the active component:

10



wherein R is selected from hydrogen, COOH, CN, optionally substituted C₁-C₁₀ alkyl, optionally substituted C₂-C₁₀ 15 alkenyl, optionally substituted C₂-C₁₀ alkynyl, optionally substituted C₃-C₁₀ cycloalkyl, optionally substituted C₆-C₁₀ aryl or a 5-10-membered, optionally substituted heteroaryl group, wherein the optional substituents of the alkyl group are selected from halogen, hydroxyl, amino and C₁-C₄ alkoxy, 20 and the optional substituents of the other groups are selected from halogen, hydroxyl, amino, C₁-C₄ alkyl and C₁-C₄ alkoxy.

As preferred examples, glyoxylic acid, pyruvic acid and 2- 25 ketobutyric acid can be mentioned.

The carboxylic acid of Formula (I) may be comprised in the composition in its free acid form. The carbonyl group adjacent to the acid group of the acid may also be present in 30 the hydrate form. Apart from the free acid form and the hydrate thereof, salts of the acid or the hydrate may also be used.

The hydrate of the acid of Formula (I) may be formed when providing the composition as an aqueous solution. For instance, glyoxylic acid (H-CO-COOH) in aqueous solution is almost quantitatively present as the hydrate (H-C(OH)₂-COOH).

5 Besides, the hydrate may also condense to dimers.

A salt of the carboxylic acid of Formula (I) may also be used. As examples, alkali metal salts such as the sodium or potassium salt and alkaline earth metal salts such as the 10 magnesium salt or the calcium salt may be mentioned.

In the present invention, glyoxylic acid is the most preferred carboxylic acid of Formula (I).

15 The concentration of the at least one carboxylic acid of the formula (I) and/or a hydrate thereof and/or salts thereof is in the range of 0.1 to 40%, preferably 0.5 to 30%, more preferably 1 to 25% and even more preferably 2.5 to 20% by weight, based on the total weight of the straightening 20 composition.

The pH of the straightening composition is below or equal to 4.0, preferably in the range of 0.5 to 3, more preferably 1 to 2.5, as measured directly and at ambient temperature 25 (25°C). The pH of the compositions may be adjusted using known alkaline solutions, preferably with sodium hydroxide solution.

As discussed above, conventional permanent hair 30 shaping/straightening techniques are based on the re-organization of the disulfide bridges and involve a cleavage of the disulfide bonds either by using a sulfur-based reducing agent or an alkali agent, followed by the shaping of

the hair and the formation of new bonds (i.e., disulfide bonds formed by the action of an oxidizing agent or thioether bonds, respectively). In contrast to these permanent straightening methods, the present invention does not utilize 5 cleavage of the disulfide bonds and fixing the bonds in the new shape. Therefore, the straightening composition of the present invention does not require the presence of sulfur-based reducing agents. However, up to 2% by weight calculated to the total of the composition sulfur based reducing agents 10 does not disturb the straightening performance of the compositions. Therefore, the straightening composition has less than 2% by weight of sulfur-based reducing agents, and preferably is free of sulfur-based reducing agents.

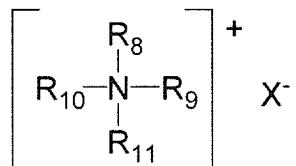
15 The straightening composition may suitably comprise further ingredients such as surfactants and/or conditioning component as defined below, and may suitably be in the form of a solution, emulsion, cream, paste and mousse.

20 3. Surfactant

The dye composition and the straightening composition may comprise a surfactant. As the surfactant, any of a cationic surfactant, a nonionic surfactant, an amphoteric surfactant 25 and an anionic surfactant can be used. It is also possible to use two or more types of surfactants in combination.

The cationic surfactant is preferably a mono-long chain alkyl quaternary ammonium salt, having a C₈-C₂₄ alkyl residue and 30 three C₁-C₄ alkyl residues.

Preferably at least one mono alkyl quaternary ammonium surfactant is selected from the compounds with the general formula



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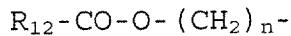
wherein R_8 is a saturated or unsaturated, branched or straight alkyl chain with 8-22 C atoms or

10



wherein R_{12} is a saturated or unsaturated, branched or straight alkyl chain with 7-21 C atoms and n is an integer of 1 - 4, or

15



wherein R_{12} is a saturated or unsaturated, branched or straight alkyl chain with 7-21 C atoms and n is an integer of 20 1 - 4, and

R_9 , R_{10} and R_{11} are independent from each other an alkyl group with 1 to 4 carbon atoms, hydroxyl alky chain with 1 to 4 carbon atoms, or ethoxy or propoxy group with a number of 25 ethoxy or propoxy groups varying in the range of 1 to 4, and X is chloride, bromide, methosulfate or ethosulfate.

Suitable cationic surfactants are, for example, long-chain quaternary ammonium compounds which can be used alone or in 30 admixture with one another, such as cetyl trimethyl ammonium

chloride, myristyl trimethyl ammonium chloride, behentrimonium chloride, trimethyl cetyl ammonium bromide, stearyl trimethyl ammonium chloride, stearyl trimonium chloride and stearamidopropyltrimonium chloride.

5

Examples of the nonionic surfactant include polyoxy-C₁₋₄-alkylene C₈₋₂₄-alkyl ether, polyoxy-C₁₋₄-alkylene C₈₋₂₄-alkylene alkenyl ether, higher (C₁₂-C₂₄) fatty acid sucrose ester, polyglycerin C₈₋₂₄-fatty acid ester, higher (C₁₂-C₂₄) fatty acid mono- or diethanolamide, polyoxyethylene hardened castor oil, polyoxyethylene sorbitan C₈₋₂₄-fatty acid ester, polyoxyethylene sorbit C₈₋₂₄-fatty acid ester, C₈₋₂₄-alkyl saccharide surfactant, C₈₋₂₄-alkylamine oxide, and C₈₋₂₄-alkylamidoamine oxide.

15

Examples of the amphoteric surfactant include an imidazoline-based surfactant, a carbobetaine-based surfactant, an amidobetaine-based surfactant, a sulfobetaine-based surfactant, a hydroxysulfobetaine-based surfactant and an 20 amidosulfobetaine-based surfactant.

Examples of the anionic surfactant include alkylbenzenesulfonate, alkyl or alkenyl ether sulfate, alkyl or alkenyl sulfate, olefin sulfonate, alkanesulfonate, 25 saturated or unsaturated fatty acid salts, alkyl or alkenyl ether carboxylate, α -sulfo fatty acid salts, N-acylamino acid type surfactants, phosphoric acid mono- or diester type surfactants, and sulfosuccinate. Examples of the alkyl ether sulfate include polyoxyethylene alkyl ether sulfate. Examples 30 of the counterion for the anionic residues of these surfactants include an alkali metal ion such as sodium ion or potassium ion; an alkaline earth metal ion such as calcium ion or magnesium ion; an ammonium ion; and an alkanolamine

having 1 to 3 alkanol groups each having 2 or 3 carbon atoms (for example, monoethanolamine, diethanolamine, triethanolamine, or triisopropanolamine).

5 The surfactant can be used singly or in combination of two or more kinds. When adding a surfactant to the dye composition and/or the straightening composition, the content thereof usually is 0.05 to 10% wt.%, more preferably 0.1 to 5 wt.%, based on the total weight of the dye composition and the
10 straightening composition, respectively.

4. Conditioning Component

15 The dye composition and the straightening composition may optionally comprise a conditioning component suitable for application to the hair. The conditioning component is an oil or polymer which adheres to the hair and improves the feel and the manageability.

20 When using the conditioning component, the total amount thereof is preferably 0.01 to 30 wt.%, more preferably 0.05 to 20 wt.%, and even more preferably 0.1% to 10 wt.%, based on the total weight of the dye composition and the straightening composition, respectively.

25 Examples of the conditioning component generally include silicones, higher alcohols, and organic conditioning oils (for example, hydrocarbon oil, polyolefin and fatty acid ester). The composition may comprise a single type of
30 conditioning component, or two or more in combination.

Silicones

In order to improve the feel of use, the dye composition and/or the straightening composition preferably contains a silicone. Examples of the silicone include dimethylpolysiloxane, and modified silicone (for example, 5 amino-modified silicone, fluorine-modified silicone, alcohol-modified silicone, polyether-modified silicone, epoxy-modified silicone, or alkyl-modified silicone), but dimethylpolysiloxane, polyether-modified silicone and amino-modified silicone are preferred.

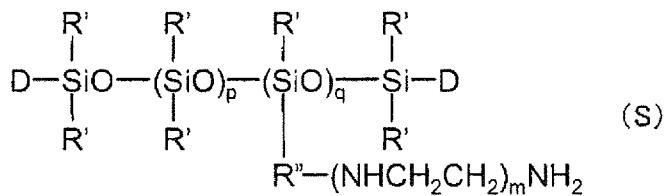
10

The dimethylpolysiloxane may be any cyclic or non-cyclic dimethylsiloxane polymer, and examples thereof include SH200 series, BY22-019, BY22-020, BY11-026, B22-029, BY22-034, BY22-050A, BY22-055, BY22-060, BY22-083, FZ-4188 (all by Dow 15 Corning Toray Co., Ltd.), KF-9008, KM-900 series, MK-15H, and MK-88 (all by Shin-Etsu Chemical Co., Ltd.).

The polyether-modified silicone may be any silicone having a polyoxyalkylene group, and the group constituting the 20 polyoxyalkylene group may be an oxyethylene group or an oxypropylene group. More specific examples include KF-6015, KF-945A, KF-6005, KF-6009, KF-6013, KF-6019, KF-6029, KF-6017, KF-6043, KF-353A, KF-354A, KF-355A (all by Shin-Etsu Chemical Co., Ltd.), FZ-2404, SS-2805, FZ-2411, FZ-2412, 25 SH3771M, SH3772M, SH3773M, SH3775M, SH3749, SS-280X series, BY22-008 M, BY11-030, and BY25-337 (all by Dow Corning Toray Co., Ltd.).

The amino-modified silicone may be any silicone having an 30 amino group or an ammonium group, and examples thereof include an amino-modified silicone oil having all or a part of the terminal hydroxyl groups capped with a methyl group or the like, and an amodimethicone which does not have the

terminals capped. A preferred example of the amino-modified silicone may be a compound represented by the following formula:



5

wherein R' represents a hydroxyl group, a hydrogen atom or R^x; R^x represents a substituted or unsubstituted monovalent hydrocarbon group having 1 to 20 carbon atoms; D represents R^x, R''-(NHCH₂CH₂)_mNH₂, OR^x, or a hydroxyl group; R'' represents a divalent hydrocarbon group having 1 to 8 carbon atoms; m represents a number from 0 to 3; p and q represent numbers, the sum of which is, as a number average, equal to or greater than 10 and less than 20,000, preferably equal to or greater than 20 and less than 3000, more preferably equal to or greater than 30 and less than 1000, and even more preferably equal to or greater than 40 and less than 800.

Specific examples of suitable commercially available products of the amino-modified silicone include amino-modified 20 silicone oils such as SF8452C, SS-3551 (all by Dow Corning Toray Co., Ltd.), KF-8004, KF-867S, and KF-8015 (all by Shin-Etsu Chemical Co., Ltd.); and amodimethicone emulsions such as SM8704C, SM8904, BY22-079, FZ-4671, and FZ-4672 (all by Dow Corning Toray Co., Ltd.).

25

The total content of these silicones in the compositions of the present invention is usually 0.1 to 20 wt.%, preferably 0.2% to 10 wt.% and more preferably 0.5 to 5 wt.%, based on

the total weight of the dye composition and the straightening composition, respectively.

Oil component

5

For improving the feel upon use, the dye composition and/or the straightening composition may also include an organic conditioning oil. The organic conditioning oil that is suitably used as a conditioning component is preferably a low-viscosity and water-insoluble liquid, and is selected from a hydrocarbon oil having at least 10 carbon atoms, a polyolefin, a fatty acid ester, a fatty acid amide, a polyalkylene glycol, and mixtures thereof. The viscosity of such an organic conditioning oil as measured at 40°C is preferably 1 to 200 mPa·s, more preferably 1 to 100 mPa·s, and even more preferably 2 to 50 mPa·s. For the determination of the viscosity, a capillary viscometer may be used.

Examples of the hydrocarbon oil include a cyclic hydrocarbon, a linear aliphatic hydrocarbon (saturated or unsaturated), and a branched aliphatic hydrocarbon (saturated or unsaturated), and polymers or mixtures thereof are also included. The linear hydrocarbon oil preferably has 12 to 19 carbon atoms. The branched hydrocarbon oil includes hydrocarbon polymers, and preferably has more than 19 carbon atoms.

The polyolefin is a liquid polyolefin, more preferably a liquid poly- α -olefin, and even more preferably a hydrogenated liquid poly- α -olefin. The polyolefin used herein is prepared by polymerizing an olefin monomer having 4 to 14 carbon atoms, and preferably 6 to 12 carbon atoms.

The fatty acid ester may be, for example, a fatty acid ester having at least 10 carbon atoms. Examples of such a fatty acid ester include esters having a hydrocarbon chain derived 5 from a fatty acid and an alcohol (for example, monoesters, polyhydric alcohol esters, or di- and tricarboxylic acid esters). The hydrocarbon group of these fatty acid esters may have another compatible functional group such as an amide group or an alkoxy group as a substituent, or the hydrocarbon 10 group may be covalently bonded to those functional groups. More specifically, an alkyl and alkenyl ester of a fatty acid having a fatty acid chain having 10 to 22 carbon atoms, a carboxylic acid ester of an aliphatic alcohol having an aliphatic chain derived from an alkyl and/or alkenyl alcohol 15 having 10 to 22 carbon atoms, and a mixture thereof are suitably used. Specific examples of these preferred fatty acid esters include isopropyl isostearate, hexyl laurate, isohexyl laurate, isohexyl palmitate, isopropyl palmitate, decyl oleate, isodecyl oleate, hexadecyl stearate, decyl 20 stearate, dihexadecyl adipate, lauryl lactate, myristyl lactate, cetyl lactate, oleyl stearate, oleyl oleate, oleyl myristate, lauryl acetate, cetyl propionate and dioleyl adipate.

25 Further suitable oil components are natural oils such as paraffin oil and natural triglycerides.

Suitable natural triglycerides are argan oil, shea butter oil, karite oil, olive oil, almond oil, avocado oil, ricinus 30 oil, coconut oil, palm oil, sesame oil, peanut oil, sunflower oil, peach kernel oil, wheat germ oil, macadamia nut oil, macadamia oil, night primrose oil, jojoba oil, castor oil, soya oil, lanolin, passiflora oil, black cumin oil, borage

oils, grapeseed oil, hempseed oil, kukui nut oil, and rosehip oil.

The organic conditioning oil may be used in combination of 5 two or more kinds, and the total concentration is typically in the range of 0.1 to 20 wt.%, preferably 0.2 to 10 wt.%, more preferably 0.5 to 5 wt.%, based on the total weight of the dye composition and the straightening composition, respectively.

10

Alcohols

From the viewpoint of improving the sense of touch and stability, the hair dye composition and/or the straightening 15 composition may also contain a higher alcohol having 8 carbon atoms or more. Usually, the higher alcohol has 8 to 22 carbon atoms, and preferably 16 to 22 carbon atoms. Specific examples thereof include cetyl alcohol, stearyl alcohol, behenyl alcohol, and mixtures thereof.

20

The higher alcohol may be used in combination of two or more kinds, and the content thereof is typically 0.1 to 20 wt.%, preferably 0.2 to 10 wt.%, more preferably 0.5 to 5 wt.%, based on the total weight of the dye composition and the 25 straightening composition, respectively.

Additionally polyols may suitably be comprised in the compositions. Examples of the polyalkylene glycol include polyethylene glycol and polypropylene glycol, and a mixture 30 of the two may be used, or a copolymer of ethylene oxide and propylene oxide may also be used.

Besides, the dye composition may comprise further ingredients conventionally used in the field of cosmetics, such as preservatives, chelating agents, stabilizers, oxidation inhibitors, plant extracts, ultraviolet absorbers, vitamins, 5 dyes, and fragrances.

5. Hair Treatment Method

The hair treatment process of the present invention involves 10 two stages, namely the colouring treatment (steps (a) to (c)) and the straightening treatment (steps (d) to (i)).

In the colouring steps (steps (a) to (c)) of the method of the present invention, the first composition (dye 15 composition) is applied to the hair (step (a)) and left on the hair for 5 to 45 minutes, preferably 5 to 30 minutes, and is rinsed off with water (step (b)). Optionally, the hair may be shampooed.

20 The application ratio of hair to composition by weight is preferably 0.5:2 to 2:0.5, more preferably 0.5:1 to 1:0.5, even more preferably about 1:1.

25 Optionally, the hair may be dried after the colouring step, in order to reduce the water content of the hair. A hair dryer may be used for this purpose (step c).

30 After completion of the colouring treatment (steps (a) to (c)), the hair is subjected to the straightening treatment in accordance with steps (d) to (i).

The straightening treatment achieves a semi-permanent straightening of the hair, utilizing the acid of formula (I)

such as glyoxylic acid as the active agent. The straightening effect is not achieved by cleaving the disulfide bonds by reduction or the action of strong alkali. Accordingly, the usage of a reducing composition or an alkaline relaxer 5 (lanthionization agent) is not required.

In step (d), the straightening composition is applied to the hair. The application ratio of hair to composition by weight is preferably 0.5:2 to 2:0.5, more preferably 0.5:1 to 1:0.5, 10 even more preferably about 1:1.

Subsequent to the application, the hair straightening composition is left on the hair for 1 to 120 minutes, preferably 5 to 90 minutes, more preferably 10 to 60 minutes, 15 and in particular 15 to 45 minutes at a temperature range of 20 to 45°C and preferably at ambient temperature (step (e)). The time the composition is left on the hair depends on the type of the hair, whether the hair is damaged or resistant.

20 After the processing, the hair may optionally be rinsed off with water. Then, the hair is dried in order to avoid an excessive steam generation in the subsequent step of treating the hair with the iron (steps (f) and (g)). Typically, a hair dryer is used for this purpose. It is preferable to dry the 25 hair under continuous combing in order to prevent entanglement of the hair.

Subsequent to the drying, the hair is treated with an iron (step (h)). A usual straightening iron may be used for this 30 purpose. In order to prevent damage to the hair, the surface temperature of the iron is 250°C or lower, preferably 230°C or lower, more preferably 200°C or lower. For achieving a good straightening effect, the temperature of the iron is

130°C or higher, preferably 150°C or higher, more preferably 170° or higher. The iron may preferably have a surface temperature of 180±50°C, more preferably 170 to 200°C.

5 Furthermore, the hair may be rinsed off with water after treating the hair with the iron and dried with a hair drier (step (i)).

Examples

10

The following examples are to illustrate the invention but not to limit it.

Example 1:

15 Straightening Composition

% w/w		
10.00	-	Glyoxylic Acid
1.50	-	Amodimethicone
0.30	-	Fragrance / Perfume
20	q.s. to pH 1.5	- Sodium hydroxide
	To 100	- Water

Direct Dye Composition

% w/w		
25	1.50	- Amodimethicone
	1.40	- Hydroxyethylcellulose
	0.30	- Fragrance / Parfum
	0.55	- Acid Red 52 / C.I. 45100
	0.28	- Basic red 51
30	0.01	- HC red 3
	To 100	- Water

The hair is dyed with the direct dye composition and rinsed off with water. Subsequently, the straightening composition is applied to the pre-shampooed hair at a ratio of about 1:1, rubbed into the hair and left on the hair for about 30 5 minutes. Then, the hair is dried with a hair drier. A straightening iron having a temperature of about 200°C is used for the subsequent straightening.

Example 2:

10 Oxidative Hair Dye Composition for permanent colour

% w/w

0.25	-	Ammonium chloride
8.00	-	Ammonia 25%
0.30	-	Fragrance / Parfum
15	0.55	- p-toluenediamine
	0.28	- resorcinol
	0.01	- m-phenylenediamine
	To 100	- Water

pH: 9.5

20

The oxidative hair dye composition is mixed with a 6% H₂O₂ solution at a weight ratio of 1:1 before application onto the hair. The straightening composition described in Example 1 is used as the straightening composition.

25

Example 3:

Demi-permanent Dye Composition

% w/w

0.25	-	Ammonium chloride
30	0.80	- Monoethanolamine
	0.30	- Fragrance / Parfum
	0.55	- p-toluenediamine
	0.28	- resorcinol

0.01 - m-phenylenediamine
To 100 - Water
pH: 6.8

5 The demi-permanent dye composition is mixed with a 6% H₂O₂ solution at a weight ratio of 1:1 before application onto the hair. The straightening composition described in Example 1 is used as the straightening composition.

10 The hair dyed and straightened with the compositions of the above Examples 1-3 showed long lasting colour and the hair was straight for a long period of time.

Test Example

15 Four streaks of white goat hair (1 g each) were subjected to a permanent wave treatment, in order to obtain permed white goat hair samples.

20 For the dyeing, a composition for an oxidative hair dye comprising the following ingredients was used:

0.5333 wt.% Toluene-2,5-diamine sulfate
0.2667 wt.% Resorcinol
25 5.00 wt.% Isopropyl alcohol
0.50 wt.% Sodium sulfite
0.20 wt.% Ascorbic acid
8.00 wt.% Ammonia 25%
Ad 100 wt.% water

30 The composition was mixed with an aqueous 6% hydrogen peroxide solution at a ratio of 1:1, in order to prepare the oxidative dye composition. The mixed oxidative dye

composition was applied to the permed samples at a ratio of dry hair to colour of 1:3, uniformly distributed with a brush and processed on the hair for 20 minutes at a temperature of 40°C. Then, the samples were rinsed off with water (2 l, for 5 30 sec. at 37°C) and allowed to dry for four hours at ambient temperature.

Two of the four permed, dyed streaks served as reference samples and were not subjected to the straightening 10 treatment.

The remaining streaks were treated with an aqueous solution of 10 wt.% glyoxylic acid having a pH of 1.5 (adjusted with NaOH) as the straightening composition in a ratio of dry hair 15 to straightening composition of 1:1.5. The straightening composition was uniformly distributed with a comb and processed for 15 minutes at 40°C. Then, the hair was dried with a hair dryer and treated with a flat-iron at a temperature of 170°C (5 strokes). After rinsing off the hair 20 with water (2 l, for 30 sec. at 37°C), the hair was allowed to dry for four hours at ambient temperature.

The colour of the straightened, dyed streaks and of the non-straightened, dyed reference streaks was measured in terms of 25 L*a*b values.

Then, each streak was immersed in 100 ml of a 5% sodium lauryl ether sulfate solution and treated in a shaking bath for 30 minutes at 40°C and an agitation rate of 100 min⁻¹. 30

After rinsing off the streaks with water and drying for four hours at ambient temperature, the colour of the streaks was

measured in terms of the L*a*b values. Then, the colour difference (ΔE value) was determined.

For the reference streaks not subjected to the straightening 5 treatment with glyoxylic acid, ΔE values of 5.2 and 8.8, respectively, were obtained. Besides, the sodium lauryl ether sulfate solution after the shaking bath treatment had a clearly visible colour.

10 For the streaks dyed and straightened according to the present invention, the ΔE values were 1.1 and 1.3, respectively, and the sodium lauryl ether sulfate solution was clear. A visual inspection also showed that the streaks treated according to the present invention exhibited a deeper 15 colour.

This shows that the method of the present invention does not only allow a colouring and straightening in the same treatment session, but also achieves a substantial 20 improvement of the wash fastness and colour durability.

Claims

1. Process for dyeing and straightening hair, characterized in that it comprises the following steps:

- (a) application of a first composition (dye composition) comprising at least one hair dye, optionally at least one alkalizing agent and optionally at least one oxidizing agent onto the hair,
- (b) leaving the composition on the hair for 5 to 45 minutes, rinsing off the composition and optionally shampooing the hair,
- (c) optionally drying the hair,
- (d) application of a second composition (straightening composition) having a pH of 4 or lower and comprising at least one carboxylic acid of the Formula (I) and/or a hydrate thereof and/or a salt thereof onto the hair:



wherein R is selected from hydrogen, COOH, CN, optionally substituted C₁-C₁₀ alkyl, optionally substituted C₂-C₁₀ alkenyl, optionally substituted C₂-C₁₀ alkynyl, optionally substituted C₃-C₁₀ cycloalkyl, optionally substituted C₆-C₁₀ aryl or a 5-10-membered, optionally substituted heteroaryl group, wherein the optional substituents of the alkyl group are selected from halogen, hydroxyl, amino and C₁-C₄ alkoxy, and the optional substituents of the other groups are selected from halogen, hydroxyl, amino, C₁-C₄ alkyl and C₁-C₄ alkoxy,

- (e) leaving the composition on the hair for 1 to 120 minutes,

- (f) drying the hair,
- (g) optionally rinsing off the hair with water and drying the hair,
- (h) treating the hair with an iron having a surface temperature of 130 to 250, °C, preferably 180 ± 50°C, and
- (i) optionally rinsing off the hair with water and drying the hair,

wherein the steps (d) to (i) are carried out directly after steps (a) to (c).

2. The process according to claim 1, characterized in that the dye composition comprises one or more direct dyes, preferably selected from anionic, cationic, and nitro dyes.

3. The process according to claim 1 or 2, characterized in that the dye composition comprises oxidative dye precursors and couplers.

4. The process according to any of the claims 1 to 3, characterized in that the dye composition comprises an alkalizing agent.

5. The process according to any of the claims 1 to 4, characterized in that the dye composition comprises an oxidizing agent.

6. The process according to claim 5, characterized in that the oxidizing agent is hydrogen peroxide.

7. The process according to any of the claims 1 to 6, characterized in that the carboxylic acid of Formula (I) is

glyoxylic acid and/or a hydrate thereof and/or a salt thereof.

8. The process according to any of the claims 1 to 7, characterized in that the straightening composition comprises the at least one carboxylic acid of Formula (I) and/or a hydrate thereof and/or a salt thereof at a concentration in the range of 0.1 to 40% by weight, calculated on the basis of the total weight of the straightening composition.

9. The process according to any of the claims 1 to 8, characterized in that the straightening composition has a pH of 0.5 to 3.

10. The process according to any of the claims 1 to 9, characterized in that the straightening composition and/or the dye composition further comprises one or more conditioning components.

11. The process according to claim 10, wherein the conditioning component is a silicone.

12. The process according to any of the claims 1 to 11, wherein the straightening composition comprises less than 2 wt.% of sulfur-based reducing agents, based on the weight of the composition.

13. The process according to any of the claims 1 to 12, characterized in that the straightening composition is free of any sulfur-based reducing agents.

14. The process according to any of the claims 1 to 13, characterized in that the straightening composition and/or

the dye composition is applied onto the hair in a weight ratio of hair to composition in the range of 0.5:2 to 2:0.5.

15. The process according to any of the claims 1 to 14, characterized in that the temperature of the iron is in the range of 170 to 200°C.

16. The process according to any of the claims 1 to 15, wherein a step comprising the application of a reducing composition or an alkaline relaxer is excluded.