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(54) Title: IDENTIFICATION, ASSESSMENT, AND THERAPY OF CANCERS WITH INNATE OR ACQUIRED RESIS-TANCE TO ALK INHIBITORS

(57) Abstract: Described herein are compositions, kits, and methods for determining whether subjects having cancer(s) positive for ALK mutations are likely to respond to treatment with an ALK inhibitor and/or whether a patient having such cancer(s) is likely to have a relatively slower disease progression. Further described are methods for prognosing a time course of disease in a subject having such cancer.

### Identification, Assessment, and Therapy of Cancers with Innate or Acquired Resistance to ALK Inhibitors

#### RELATED APPLICATIONS

This application claims the benefit of priority to United Stayes Provisional Patent Application serial number 61/337,465, filed February 4, 2010.

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#### **BACKGROUND OF THE INVENTION**

Tyrosine kinases are a class of enzymes that catalyze phopshorylation of tyrosine residues of protein substrates via a transfer of the terminal phosphate of adenosine triphosphate. In many contexts, tyrosine kinases play critical roles in signal transduction for a number of cell functions, including cell proliferation, carcinogenesis, and cell differentiation.

EML4-ALK is a fusion-type protein tyrosine kinase that is present in ~5% of nonsmall cell lung cancer (NSCLC) cases and which is generated as a result of a small inversion within the short arm of human chromosome 2 (Soda, M. et al. (2007) Nature 448:561-566; Mano, H. (2008) Cancer Sci. 99:2349-2355). EML4-ALK undergoes constitutive dimerization as a result of interaction between the coiled-coil domain within the EML4 region of each monomer and thereby acquires pronounced oncogenic activity. Transgenic mice that express EML4-ALK, specifically in lung epithelial cells, develop hundreds of adenocarcinoma nodules in both lungs soon after birth, and oral administration of a specific inhibitor of ALK tyrosine kinase activity rapidly eradicates such nodules from the lungs (Soda, M. et al. (2008) Proc. Natl. Acad. Sci. USA 105:19893-19897). These observations reveal the essential role of EML4-ALK in the carcinogenesis of NSCLC harboring this fusion kinase, and they further support the feasibility of molecularly targeted therapy with ALK inhibitors for this cancer. For example, clinical trials of an inhibitor, PF-02341066, of the tyrosine kinase activity of both ALK and MET are under way for the treatment of EML4-ALK-positive NSCLC, and their interim results are promising (Kwak, E.L. et al. (2009) J. Clin. Oncol. 27(suppl):15s (abstract 3509)). A subset of EML4-ALKpositive tumors, however, do not respond to the inhibitor, with unknown molecular basis of treatment failure.

In addition to PF-02341066, other tyrosine kinase inhibitors (TKIs) have been shown to possess pronounced therapeutic activity in cancer patients. Imatinib mesylate, a TKI for ABL1 and KIT, for instance, markedly improves the outcome of individuals with chronic myeloid leukemia positive for the BCR-ABL1 fusion kinase or with a gastrointestinal stromal tumor positive for activated KIT (Druker, B.J. et al. (2001) N. Engl. 5 J. Med. 344:1031-1037; Heinrich, M.C. et al. (2008) J. Clin. Oncol. 26:5360-5367). Furthermore, gefitinib and erlotinib, both of which are TKIs for the epidermal growth factor receptor (EGFR), are effective in the treatment of NSCLC associated with EGFR activation (Mok, T.S. et al. (2009) J. Clin. Oncol. 27:5080-2087; Mok, T.S. et al. (2009) N. Engl. J. Med. 361:947-957). Unfortunately, a subset of target tumors are either refractory to 10 corresponding TKIs from the start of treatment or become resistant after an initial response. Secondary mutations in the target kinases that directly or allosterically affect the shape of the ATP-binding pocket, resulting in hindrance of TKI binding, have been detected in some cases of treatment failure (Deininger, M. et al. (2005) Blood 105:2640-2653; Kobayashi, S. et al. (2005) N. Engl. J. Med. 352:786-792; Pao, W. et al. (2005) PLoS Med. 2:e73; Shah, 15 N.P. et al. (2002) Cancer Cell 2:117-125). Accordingly, there is an immediate need to identify mutations conferring resistance upon tyrosine kinases, such as EML4-ALK, in order to better develop compositions, kits, and methods for identifying, assessing, preventing, and treating disorders related to their aberrant expression and/or activity.

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#### **SUMMARY OF THE INVENTION**

The present invention provides, at least, composition, methods, and kits for the identification, assessment and treatment of cancer based upon the identification of novel anaplastic lymphoma kinase (ALK) mutation(s) conferring resistance to known ALK inhibitors. Such ALK mutations are also clinically relevant for the identification of pharmaceutical compositions that are able to fit into the abnormal ATP-binding pocket of generated by the novel ALK mutation(s) and inhibit ALK activity.

In one aspect, the present invention provides a method for identifying a subject having cancer or at risk for developing cancer as having an increased risk of unresponsiveness to treatment with an ALK inhibitor, comprising collecting a sample from the patient and analyzing the sample to detect the presence of one or more mutant ALK polynucleotide molecules, wherein the presence of the one or more mutant ALK

polynucleotide molecules indicates that the subject has an increased risk of unresponsiveness to treatment with the ALK inhibitor.

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In another aspect, the present invention provides a method for identifying a subject having cancer or at risk for developing cancer as having an increased risk of unresponsiveness to treatment with an ALK inhibitor, comprising collecting a sample from the patient and analyzing the sample to detect the expression level, structure, and/or activity of one or more mutant ALK polypeptides, wherein the presence of the one or more mutant ALK polypeptides indicates that the subject has an increased risk of unresponsiveness to treatment with the ALK inhibitor.

In some embodiments of any aspect of the present invention, the subject has not previously been treated with an ALK inhibitor, or has been previously treated with an ALK inhibitor and has developed at least partial resistance to the ALK inhibitor (e.g., PF-02341066, PDD, 2-methyl-11-(2-methylpropyl)-4-oxo-4,5,6,11,12,13-hexahydro-2*H*indazolo[5.4-a]pyrrolo[3.4-c]carbazol-8-yl [4-(dimethylamino) benzyl]carbamate, (1*S*,2*S*,3*R*,4*R*)-3-({5-chloro-2-[(1-ethyl-2,3,4,5-tetrahydro-6-methoxy-2-oxo-1*H*-1benzazepin-7-yl)amino]-4-pyrimidinyl}amino)bicyclo[2.2.1]hept-5-ene-2-carboxamide, and NVP-TAE684). In other embodiments, the cancer is selected from the group consisting of anaplastic large cell lymphoma, neuroblastoma, breast cancer, colorectal cancer, inflammatory myofibroblastic tumors, and non-small cell lung cancers. In still other embodiments, the sample is selected from the group consisting of sputum, bronchoalveolar lavage, pleural effusion, tissue, whole blood, serum, plasma, buccal scrape, saliva, cerebrospinal fluid, urine, stool, circulating tumor cells, circulating nucleic acids, and bone marrow. In yet other embodiments, the sample comprises cells or tissue. In some embodiments the tissue is a tumor or cancer tissue. In other embodiments, the one or more mutant ALK polynucleotide molecules or polypeptides are selected from the group consisting of the mutant ALK polynucleotide molecules or polypeptides listed in Table 1. In still other embodiments, the one or more ALK mutations are assessed by a nucleic acid hybridization assay. In yet other embodiments, the one or more ALK mutations are assessed by polymerase chain reaction. In other embodiments, the expression level of the one or more ALK polypeptides is detected using a reagent which specifically binds to one or more ALK polypeptides (e.g., antibody, an antibody derivative, and an antibody fragment). In still other embodiments, the amount, structure and/or activity of the one or more mutant ALK polypeptides is compared to a control sample. In yet other

embodiments, the one or more ALK mutations are assessed at a first point in time and at least one subsequent point in time. In other embodiments, the sample comprises germline or somatic genomic DNA.

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In still another aspect, the present invention provides a method of treating a patient having cancer, or at risk for developing cancer, comprising collecting a sample from the patient, analyzing the sample to detect the presence of one or more mutant ALK polynucleotide molecules set forth in Table 1, and administering to said patient a therapeutically effective amount of an ALK inhibitor. In some embodiments, the ALK inhibitor is selected from the group consisting of PF-02341066, PDD, 2-methyl-11-(2-methylpropyl)-4-oxo-4,5,6,11,12,13-hexahydro-2*H*-indazolo[5,4-*a*]pyrrolo[3,4-*c*]carbazol-8-yl [4-(dimethylamino) benzyl]carbamate, (1*S*,2*S*,3*R*,4*R*)-3-({5-chloro-2-[(1-ethyl-2,3,4,5-tetrahydro-6-methoxy-2-oxo-1*H*-1-benzazepin-7-yl)amino]-4-pyrimidinyl}amino)bicyclo[2.2.1]hept-5-ene-2-carboxamide, and NVP-TAE684. In other embodiments, the subject has not previously been treated with an ALK inhibitor, or has been previously treated with an ALK inhibitor and has developed at least partial resistance to the ALK inhibitor.

In yet another aspect, the present invention provides a kit for determining the chemosensitivity of a cancer patient to treatment with an ALK inhibitor, comprising: a reagent that specifically binds to one or more mutant ALK polynucleotide molecules or polypeptides; and instructions for use. In some embodiments, the kit further comprises an ALK inhibitor. In other embodiments, the reagent comprises one or more polynucleotide probes, each of which comprises a polynucleotide sequence which is complementary to a nucleotide sequence listed in Table 1 or complementary to a nucleotide sequence encoding a polypeptide listed in Table 1 (*e.g.*, oligonucleotides, cDNA molecules, RNA molecules, and synthetic gene probes comprising nucleobases). In still other embodiments, the probes comprise polynucleotides from about 50 to 10<sup>7</sup> nucleotides in length. In yet other embodiments, the reagent comprises an antibody, and antibody derivative, and an antibody fragment to a polypeptide encoded by one or more polynucleotide sequences listed in Table 1.

In another aspect, the present invention provides a method of determining whether a test compound modulates activity of one or more mutant ALK polypeptides comprising contacting mammalian cells transfected with a construct encoding the one or more mutant ALK polypeptides with the test compound and assessing the mammalian cells for activity

of the one or more mutant ALK polypeptides, wherein significantly modulated activity in the presence of the test compound relative to a control experiment identifies the test compound as a modulator of the one or more mutant ALK polypeptides. In some embodiments, the

one or more mutant ALK polynucleotide molecules or polypeptides are selected from the group consisting of the mutant ALK polynucleotide molecules or polypeptides listed in Table 1. In other embodiments, the control comprises mammalian cells expressing a wild type ALK polypeptide selected from the group consisting of polypeptides listed in Table 1. In still other embodiments, activity of the one or more mutant ALK polypeptides is selected from the group consisting of ATP binding, tyrosine kinase activity, cancer cell proliferation, tumor growth, tumor number, apoptosis, and tumor metastasis. In yet other embodiments, the control experiment comprises mammalian cells expressing the one or more mutant ALK polypeptides in the absence of the test compound as determined by, for example, activity of the one or more mutant ALK polypeptides (*e.g.*, ATP binding, tyrosine kinase activity, cancer cell proliferation, tumor growth, tumor number, apoptosis, and tumor metastasis).

#### **BRIEF DESCRIPTION OF THE FIGURES**

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Figure 1 depicts novel ALK mutations of the present invention associated with resistance to ALK tyrosine kinase inhibitors. Figure 1A shows a schematic representation of the EML4-ALK protein. Positions of two *de novo* mutations in the kinase domain are shown, and those of PCR primers for amplification of kinase-domain or fusion cDNAs are indicated by the closed and open arrows above, respectively. Figure 1B shows the results of deep sequencing of ALK kinase-domain cDNAs. PCR products of ~1000 bp from the NSCLC cell line H2228 or from specimen IDs J-#1, J-#12, J-#113, J-#127 or LK-#33 were sequenced with the GAII system. The numbers for total read coverage (Total) and mismatched reads (Mismatch) are shown at each position of the kinase-domain cDNAs with blue and red diamonds, respectively. Insets show magnified views for the 5' region of the cDNAs for J-#1 and J-#113 (depicted by green rectangles). Figure 1C shows electrophoretograms for the ALK cDNA clones surrounding G4374 and C4493 positions. PCR was performed with cDNAs prepared from sputum obtained before treatment (Initial) and from the cells in pleural effusion obtained after relapse (Relapse). Substituted A nucleotides are shown in red.

**Figure 2** depicts genomic sequences surrounding the positions corresponding to G4374 and C4493 of ALK cDNA. Genomic DNA isolated from cells in the pleural effusion of the patient was subjected to PCR for 35 cycles of 94°C for 15 s, 60°C for 30 s and 68°C for 2 min, with Platinum Taq DNA polymerase (Invitrogen, Carlsbad, CA) and the following primers (5'-GGTAAGAAGTGGCTCACTCTTGAG-3' and 5'-CACAACAACTGCAGCAAAGACTGG-3'), and the products were ligated into the pT7Blue-2 plasmid (Takara Bio). Inserts of the plasmids were then sequenced with the 3130xl Genetic Analyzer, resulting in the identification of PCR clones containing the G4374A (left panel) or C4493A (right panel) changes. Substituted A nucleotides are shown in red.

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**Figure 3** depicts the results of BA/F3 cells treated with PF-02341066. BA/F3 cells expressing EML4-ALK (wild type), EML4-ALK(C1156Y), EML4-ALK(L1196M), or the double mutant EML4-ALK(C1156Y/L1196M) were incubated in the presence of the indicated concentrations of PF-02341066 for 48 h, after which cell morphology was examined by phase-contrast microscopy. Scale bar, 20 μm.

Figure 4 depicts properties of novel ALK mutations of the present invention associated with resistance to ALK tyrosine kinase inhibitors. Figure 4A shows the number of BA/F3 cells expressing EML4-ALK (wild type), EML4-ALK(C1156Y), EML4-ALK(L1196M), or the double mutant EML4-ALK(C1156Y/L1196M) counted after incubation of  $5 \times 10^5$  cells for 48 h with the indicated concentrations of PF-02341066. The percentage of viable cells is shown relative to BA/F3 cells expressing the wild-type EML4-ALK. Data are means  $\pm$  s.d. from three separate experiments. Figure 4B shows the effect of PF-02341066 on tyrosine phosphorylation of wild type or mutant forms of EML4-ALK. BA/F3 cells expressing FLAG-tagged wild-type EML4-ALK or its mutants were exposed to the indicated concentrations of PF-02341066 for 15 h, after which EML4-ALK was immunoprecipitated from cell lysates and subjected to immunoblot analysis with antibodies specific for Tyr<sup>1604</sup>-phosphorylated ALK or for the FLAG epitope (ALK). Cells expressing an inactive mutant of EML4-ALK (KM) were examined as a negative control. Figure 4C shows an *in vitro* kinase assay for FLAG-tagged wild-type EML4-ALK or its mutants immunoprecipitated from BA/F3 cells (not exposed to an ALK inhibitor). The immunoprecipitates were incubated with  $[\gamma^{-32}P]ATP$ , a synthetic peptide, and the indicated concentrations of PF-02341066. Phosphorylation of the peptide substrate immunoprecipitates were separately subjected to immunoblot analysis with antibodies to

FLAG (lower panel).

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**Figure 5** depicts a three-dimensional structure model for the kinase domain of ALK. Amino acid positions of ALK were superimposed on the crystal structure of the insulin receptor with a bound ATP analog (ID "1ir3" in the Protein Data Bank of Japan, available on the world wide web at pdbj.org/index.html). The right panel shows the protein structure observed from the left side of the model in the left panel. The  $\alpha$  helices and  $\beta$  sheets are shown in magenta and orange, respectively. The positions of helix  $\alpha$ C, Cys<sup>1156</sup>, and Leu<sup>1196</sup> are also indicated.

#### **DETAILED DESCRIPTION OF THE INVENTION**

The present invention is based, at least in part, on the identification of specific regions of the genome, including for example, Anaplastic Lymphoma Kinase (ALK) mutations, associated with predicting efficacy of ALK inhibitors in treating cancer. In particular, novel ALK gene mutations (e.g., EML4-ALK polypeptide encoding mutations) have been identified herein that can lead to polypeptides at least partially resistant to therapy with ALK inhibitors. The present invention further provides methods for identifying such specific genomic regions using techniques known in the art, including, but not limited to, oligonucleotide-based microarrays (Brennan, et al. (2004) Cancer Res. 64(14):4744-8; Lucito, et al. (2003) Genome Res. 13:2291-2305; Bignell et al. (2004) Genome Res. 14:287-295; Zhao, et al (2004) Cancer Research, 64(9):3060-71), and other methods as described herein including, for example, polymerase chain reaction (PCR)- and direct sequencing-based methods. The present invention further provides diagnostic kits for use in the methods.

Various aspects of the present invention are described in further detail in the following subsections.

#### 25 I. Definitions

The articles "a" and "an" are used herein to refer to one or to more than one (*i.e.*, to at least one) of the grammatical object of the article. By way of example, "an element" means one element or more than one element.

The term "altered amount" of a marker or "altered level" of a marker refers to increased or decreased copy number of a marker or chromosomal region, such as ALK gene mutations and/or gene products (e.g., the markers set forth in Table 1), and/or increased or

decreased expression level of a particular marker gene or genes in a cancer sample, as compared to the expression level or copy number of the marker in a control sample. The term "altered amount" of a marker also includes an increased or decreased protein level of a marker in a sample, *e.g.*, a cancer sample, as compared to the protein level of the marker in a normal, control sample.

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The term "altered level of expression" of ALK gene mutations and/or gene products (e.g., the markers set forth in Table 1) refers to an expression level or copy number of a marker in a test sample such as a sample derived from a patient suffering from cancer, that is greater or less than the standard error of the assay employed to assess expression or copy number, and may be at least twice, at least twice three, at least twice four, at least twice five, or at least twice ten or more times the expression level or copy number of the ALK gene mutations and/or gene products (e.g., the markers set forth in Table 1) in a control sample (e.g., a sample from a healthy subject not having the associated disease), or the average expression level or copy number of the ALK gene mutations and/or gene products (e.g., the markers set forth in Table 1) in several control samples. The altered level of expression is greater or less than the standard error of the assay employed to assess expression or copy number, and is at least twice, at least three, at least four, at least five, at least ten or more times the expression level or copy number of the ALK gene mutations and/or gene products (e.g., the markers set forth in Table 1) in a control sample (e.g., a sample from a healthy subject not having the associated disease), or the average expression level or copy number of the ALK gene mutations and/or gene products (e.g., the markers set forth in Table 1) in several control samples.

The term "altered activity" of a marker refers to an activity of a marker which is increased or decreased in a disease state, *e.g.*, in a cancer sample, as compared to the activity of the marker in a normal, control sample. Altered activity of a marker may be the result of, for example, altered expression of the marker, altered protein level of the marker, altered structure of the marker, or, *e.g.*, an altered interaction with other proteins involved in the same or different pathway as the marker.

The term "altered structure" of a marker refers to the presence of mutations or mutations within the marker gene or maker protein, *e.g.*, mutations which affect expression or activity of the marker, as compared to the normal or wild-type gene or protein. For example, mutations include, but are not limited to inter- and intra-chromosomal

rearrangement, substitutions, deletions, and insertion mutations. Mutations may be present in the coding or non-coding region of the marker.

"Anaplastic lymphoma kinase" and "ALK" are used interchangeably herein and refer to native anaplastic lymphoma kinase, and certain variants and mutations thereof, derived from any source (*e.g.*, rodents, humans, and other mammals). In some embodiments, ALK protein is represented by NCBI Ref Seq identification number NP\_004295. Unless indicated otherwise, the terms refer to the human protein. The gene encoding ALK may also be referred to herein as "ALK". In some embodiments, ALK nucleotide sequences are represented by NCBI Ref Seq identification number NM\_004304.3 and GenBank accession number 29029631, relevant sequences therein (*e.g.*, the coding, 5' UTR, 3'UTR, transcription start, translation start, transcription stop, translation stop, etc. sequences) of which can readily be identified by a skilled artisan.

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In addition, "Anaplastic lymphoma kinase" and "ALK" are also used herein to include ALK fusion kinases and variants thereof, which are well known to a skilled artisan. Such ALK fusion kinases and variants thereof comprise ALK kinase activity and can 15 harbor mutations as described herein rendering the ALK kinase activity resistant to ALK inhibitors. Representative examples include EML4-ALK Variant 1 (AB274722.1; BAF73611.1), EML4-ALK Variant 2 (AB275889.1; BAF73612.1), EML4-ALK Variant 3a (AB374361.1; BAG55003.1), EML4-ALK Variant 3b (AB374362.1; BAG55004.1), EML4-ALK Variant 4 (AB374363.1; BAG75147.1), EML4-ALK Variant 5a (AB374364.1; 20 BAG75148.1), EML4-ALK Variant 5b (AB374365.1; BAG75149.1), EML4-ALK Variant 6 (AB462411.1; BAH57335.1), EML4-ALK Variant 7 (AB462412.1; BAH57336.1), KIF5B-ALK (AB462413.1; BAH57337.1), NPM-ALK, TPM3-ALK, TFGXL-ALK, TFGL-ALK, TFGS-ALK, ATIC-ALK, CLTC-ALK, MSN-ALK, TPM4-ALK, MYH9-ALK, RANBP2-ALK, ALO17-ALK, and CARS-ALK (see, for example, Pulford et al., 25 (2004) J. Cell. Physiol. 199:330-358, which is herein incorporated by reference in its entirety). In addition, a skilled artisan will understand that ALK kinase variants can arise depending upon the particular fusion event between an ALK kinase and its fusion partner (e.g., EML4 can fuse at least exon 2, 6a, 6b, 13, 14, and/or 15, as described, for example, in Horn and Pao, (2009) J. Clin. Oncol. 27:4247-4253, which is herein incorporated by 30 reference in its entirety). For example, representative ALK sequences are provided herein as follows:

#### Table 1

#### Wild Type ALK cDNA Sequence (NM 004304.3; GI:29029631):

1 gggggggga gcggtggtag cagctggtac ctcccgccgc ctctgttcgg agggtcgcgg 5 61 ggcaccgagg tgctttccgg ccgcctctg gtcggccacc caaagccgcg ggcgctgatg 121 atgggtgagg aggggggg aagatttcgg gcgccctgc cctgaacgcc ctcagctgct 181 gccgccgggg ccgctccagt gcctgcgaac tctgaggagc cgaggcgccg gtgagagcaa 241 ggacgctgca aacttgcgca gcgcgggggc tgggattcac gcccagaagt tcagcaggca 301 gacagteega ageetteeeg cageggagag atagettgag ggtgegeaag aeggeageet 10 361 ccqcctcqq ttcccqccca qaccqqqcaq aaqaqcttqq aqqaqccaaa aqqaacqcaa 421 aaggeggeea ggacagegtg cageagetgg gageegeegt teteageett aaaagttgea 481 qaqattqqaq qctqcccqa qaqqqqacaq accccaqctc cqactqcqqq qqqcaqqaqa 541 ggacggtacc caactgccac ctcccttcaa ccatagtagt tcctctgtac cgagcgcagc 601 gagetacaga egggggegeg geacteggeg eggagagegg gaggeteaag gteceageea 15 661 gtgagcccag tgtgcttgag tgtctctgga ctcgcccctg agcttccagg tctgtttcat 721 ttagactcct gctcgcctcc gtgcagttgg gggaaagcaa gagacttgcg cgcacgcaca 781 gtcctctgga gatcaggtgg aaggagccgc tgggtaccaa ggactgttca gagcctcttc 841 ccatctcggg gagagcgaag ggtgaggctg ggcccggaga gcagtgtaaa cggcctcctc 901 cggcgggatg ggagccatcg ggctcctgtg gctcctgccg ctgctgcttt ccacggcagc 20 961 tgtgggctcc gggatgggga ccggccagcg cgcgggctcc ccagctgcgg ggccgccgct 1021 gcagccccgg gagccactca gctactcgcg cctgcagagg aagagtctgg cagttgactt 1081 cgtggtgccc tcgctcttcc gtgtctacgc ccgggaccta ctgctgccac catcctcctc 1141 ggagctgaag gctggcaggc ccgaggcccg cggctcgcta gctctggact gcgcccgct 1201 getcaggttg ctggggccgg cgccgggggt ctcctggacc gccggttcac cagccccggc 25 1261 agaggcccgg acgctgtcca gggtgctgaa gggcggctcc gtgcgcaagc tccggcgtgc 1321 caagcagttg gtgctggagc tgggcgagga ggcgatcttg gagggttgcg tcgggcccc 1381 cggggaggcg gctgttggggc tgctccagtt caatctcagc gagctgttca gttggtggat 1441 tcgccaaggc gaagggcgac tgaggatccg cctgatgccc gagaagaagg cgtcggaagt 1501 gggcagagag ggaaggctgt ccgcggcaat tcgcgcctcc cagccccgcc ttctcttcca 30 1561 gatcttcggg actggtcata gctccttgga atcaccaaca aacatgcctt ctccttctcc 1621 tgattatttt acatggaatc tcacctggat aatgaaagac tccttccctt tcctgtctca 1681 tegcageega tatggtetgg agtgeagett tgaetteece tgtgagetgg agtatteece 1741 tecaetgeat gaceteagga accagagetg gteetggege egeateeeet eegaggagge 1801 ctcccagatg gacttgctgg atgggcctgg ggcagagcgt tctaaggaga tgcccagagg 35 1861 ctcctttctc cttctcaaca cctcagctga ctccaagcac accatcctga gtccgtggat 1921 gaggagcagc agtgagcact gcacactggc cgtctcggtg cacaggcacc tgcagccctc 1981 tggaaggtac attgcccagc tgctgcccca caacgaggct gcaagagaga tcctcctgat 2041 gcccactcca gggaagcatg gttggacagt gctccaggga agaatcgggc gtccagacaa 2101 cccatttcga gtggccctgg aatacatctc cagtggaaac cgcagcttgt ctgcagtgga 40 2161 cttctttgcc ctgaagaact gcagtgaagg aacatcccca ggctccaaga tggccctgca 2221 gageteette aettgttgga atgggacagt cetecagett gggeaggeet gtgaetteea 2281 ccaggactgt gcccagggag aagatgagag ccagatgtgc cggaaactgc ctgtgggttt 2341 ttactgcaac tttgaagatg gcttctgtgg ctggacccaa ggcacactgt caccccacac 2401 tcctcaatgg caggtcagga ccctaaagga tgcccggttc caggaccacc aagaccatgc 45 2461 tetattgete agtaceactg atgteecege ttetgaaagt getaeagtga eeagtgetae 2521 gtttcctgca ccgatcaaga gctctccatg tgagctccga atgtcctggc tcattcgtgg 2581 agtcttgagg ggaaacgtgt ccttggtgct agtggagaac aaaaccggga aggagcaagg 2641 caggatggtc tggcatgtcg ccgcctatga aggcttgagc ctgtggcagt ggatggtgtt 2701 gcctctcctc gatgtgtctg acaggttctg gctgcagatg gtcgcatggt ggggacaagg 50 2761 atccagagec ategtggett ttgacaatat etceateage etggaetget aceteaceat 2821 tagcggagag gacaagatcc tgcagaatac agcacccaaa tcaagaaacc tgtttgagag 2881 aaacccaaac aaggagctga aacccgggga aaattcacca agacagaccc ccatctttga 2941 ccctacaqtt cattqqctqt tcaccacatq tqqqqccaqc qqqccccatq qccccaccca 3001 ggcacagtgc aacaacgcct accagaactc caacctgagc gtggaggtgg ggagcgaggg 55 3061 cccctqaaa qqcatccaqa tctqqaaqqt qccaqccacc qacacctaca qcatctcqqq 3121 ctacqqaqct qctqqcqqqa aaqqcqqqaa qaacaccatq atqcqqtccc acqqcqtqtc 3181 tgtgctgggc atcttcaacc tggagaagga tgacatgctg tacatcctgg ttgggcagca 3241 gggagaggac gcctgcccca gtacaaacca gttaatccag aaagtctgca ttggagagaa 3301 caatgtgata gaagaagaaa toogtgtgaa cagaagogtg catgagtggg caggaggogg 60 3361 aggaggaggg ggtggagcca cctacgtatt taagatgaag gatggagtgc cggtgccct

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3421 gatcattgca gccggaggtg gtggcagggc ctacggggcc aagacagaca cgttccaccc
      3481 agagagactg gagaataact ceteggttet agggetaaac ggcaatteeg gageegeagg
      3541 tqqtqqaqqt qqctqqaatq ataacacttc cttgctctgg gccggaaaat ctttgcagga
      3601 gggtgccacc ggaggacatt cctgccccca ggccatgaag aagtgggggt gggagacaag
      3661 agggggtttc ggagggggtg gagggggtg ctcctcaggt ggaggaggcg gaggatatat
      3721 aggcggcaat gcagcctcaa acaatgaccc cgaaatggat ggggaagatg gggtttcctt
      3781 catcagteca etgggeatee tgtacacece agetttaaaa gtgatggaag gecaegggga
      3841 agtgaatatt aagcattatc taaactgcag tcactgtgag gtagacgaat gtcacatgga
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      4021 tgtggtgacc tctgccctcg tggccgccct ggtcctggct ttctccggca tcatgattgt
      4081 gtaccgccgg aagcaccagg agctgcaagc catgcagatg gagctgcaga gccctgagta
      4141 caagetgage aageteegea eetegaceat catgacegae tacaacecea actaetgett
      4201 tgctggcaag acctcctcca tcagtgacct gaaggaggtg ccgcggaaaa acatcaccct
15
      4261 catteggggt etgggecatg gegeetttgg ggaggtgtat gaaggeeagg tgteeggaat
      4321 gcccaacgac ccaagccccc tgcaagtggc tgtgaagacg ctgcctgaag tgtgctctga
      4381 acaggacgaa ctggatttcc tcatggaagc cctgatcatc agcaaattca accaccagaa
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      4561 ctcctccctg gccatgctgg accttctgca cgtggctcgg gacattgcct gtggctgtca
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      4741 cagggcgagc tactatagaa agggaggctg tgccatgctg ccagttaagt ggatgcccc
      4801 agaggeette atggaaggaa tatteaette taaaacagae acatggteet ttggagtget
25
      4861 gctatgggaa atcttttctc ttggatatat gccatacccc agcaaaagca accaggaagt
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30
      5161 tgagggggtt cctcctctc tggtctctca acaggcaaaa cgggaggagg agcgcagccc
      5221 agetgeecca ceaectetge etaceaecte etetggeaag getgeaaaga aaceeaeage
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      5461 gaataateet atageaaaga aggageeaca egacaggggt aacetgggge tggagggaag
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      5941 gagcatgggt tcatcctatt ctttcgaaag aagaaaatat cataaaaaatg agtgataaat
      6001 acaaggccca gatgtggttg cataaggttt ttatgcatgt ttgttgtata cttccttatg
45
      6061 cttctttcaa attgtgtgtg ctctgcttca atgtagtcag aattagctgc ttctatgttt
      6121 catagttggg gtcatagatg tttccttgcc ttgttgatgt ggacatgagc catttgaggg
      6181 gagagggaac ggaaataaag gagttatttg taatgactaa aa
      Wild Type cDNA sequence TGC (4373 to 4375) codon mutation(s) encoding
50
      an amino acid other than cysteine or a corresponding mutation in a
      homolog thereof
      Wild Type cDNA sequence CTG (4493 to 4495) codon mutation(s) encoding
      an amino acid other than leucine or a corresponding mutation in a
55
      homolog thereof
      Wild Type cDNA sequence G4374A mutation or a corresponding mutation in
      a homolog thereof
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11

Wild Type cDNA sequence C4493A mutation or a corresponding mutation in

60

a homolog thereof

#### Wild Type ALK Protein Sequence (NP 004295.2; GI:29029632):

1 mgaigllwll plllstaavg sgmgtggrag spaagpplgp replsysrlg rkslavdfvv 61 pslfrvyard lllppsssel kagrpeargs laldcapllr llgpapgvsw tagspapaea 121 rtlsrvlkgg svrklrrakq lvlelgeeai legcvgppge aavgllqfnl selfswwirq 181 gegrlrirlm pekkasevgr egrlsaaira sqprllfqif gtghsslesp tnmpspspdy 241 ftwnltwimk dsfpflshrs ryglecsfdf pceleysppl hdlrnqswsw rripseeasq 301 mdlldgpgae rskemprgsf lllntsadsk htilspwmrs ssehctlavs vhrhlqpsgr 361 yiaqllphne aareillmpt pgkhgwtvlq grigrpdnpf rvaleyissg nrslsavdff 10 421 alknosegts pgskmalqss ftcwngtvlq lgqacdfhqd caqgedesqm crklpvgfyc 481 nfedgfcgwt qgtlsphtpq wqvrtlkdar fqdhqdhall lsttdvpase satvtsatfp 541 apiksspcel rmswlirgvl rgnvslvlve nktgkeqgrm vwhvaayegl slwqwmvlpl 601 ldvsdrfwlq mvawwgqgsr aivafdnisi sldcyltisg edkilqntap ksrnlfernp 661 nkelkpgens prqtpifdpt vhwlfttcga sgphgptqaq cnnayqnsnl svevgsegpl 15 721 kgiqiwkvpa tdtysisgyg aaggkggknt mmrshgvsvl gifnlekddm lyilvgqqge 781 dacpstnqli qkvcigennv ieeeirvnrs vhewaggggg gggatyvfkm kdgvpvplii 841 aaggggrayg aktdtfhper lennssvlgl ngnsgaaggg ggwndntsll wagkslqega 901 tgghscpqam kkwgwetrgg fggggggcss ggggggyigg naasnndpem dgedgvsfis 961 plgilytpal kvmeghgevn ikhylncshc evdechmdpe shkvicfcdh gtvlaedgvs 20 1021 civsptpeph lplslilsvv tsalvaalvl afsgimivyr rkhqelqamq melqspeykl 1081 sklrtstimt dynpnycfag ktssisdlke vprknitlir glghgafgev yegqvsgmpn 1141 dpsplqvavk tlpevcseqd eldflmeali iskfnhqniv rciqvslqsl prfillelma 1201 ggdlksflre trprpsqpss lamldllhva rdiacgcqyl eenhfihrdi aarnclltcp 1261 gpgrvakigd fgmardiyra syyrkggcam lpvkwmppea fmegiftskt dtwsfgvllw 25 1321 eifslgympy psksnqevle fvtsggrmdp pkncpgpvyr imtgcwghqp edrpnfaiil 1381 erieyctqdp dvintalpie ygplveeeek vpvrpkdpeg vppllvsgga kreeerspaa 1441 ppplpttssg kaakkptaae isvrvprgpa vegghvnmaf sgsnppselh kvhgsrnkpt 1501 slwnptygsw ftekptkknn piakkephdr gnlglegsct vppnvatgrl pgasllleps 1561 sltanmkevp lfrlrhfpcg nvnygyqqqg lpleaatapg aghyedtilk sknsmnqpgp 30 Wild Type protein sequence Cys1156Xaa mutation wherein Xaa is an amino

Wild Type protein sequence Cys1156Xaa mutation wherein Xaa is an amino acid other than cysteine or a corresponding mutation in a homolog thereof

- Wild Type protein sequence Leull96Xaa mutation wherein Xaa is an amino acid other than leucine or a corresponding mutation in a homolog thereof
- Wild Type protein sequence Cys1156Tyr mutation or a corresponding mutation in a homolog thereof

Wild Type protein sequence Leull96Met mutation or a corresponding mutation in a homolog thereof

#### 45 <u>EML4-ALK Variant 1 cDNA Sequence (AB274722.1;</u> GI:152002652)

1 ggcggcgcgg cgcggcgctc gcggctgctg cctgggaggg aggccgggca ggcggctgag 61 cggcgcggct ctcaacgtga cggggaagtg gttcgggcgg ccgcggctta ctaccccagg 121 gcgaacggac ggacgacgga ggcgggagcc ggtagccgag ccgggcgacc tagagaacga 50 181 gegggteagg cteagegteg gecaetetgt eggteegetg aatgaagtge eegeeetet 241 gagcccggag cccggcgctt tccccgcaag atggacggtt tcgccggcag tctcgatgat 301 agtatttctg ctgcaagtac ttctgatgtt caagatcgcc tgtcagctct tgagtcacga 361 gttcagcaac aagaagatga aatcactgtg ctaaaggcgg ctttggctga tgttttgagg 421 cgtcttgcaa tctctgaaga tcatgtggcc tcagtgaaaa aatcagtctc aagtaaaggc 55 481 caaccaagee etegageagt tatteceatg teetgtataa eeaatggaag tggtgeaaae 541 agaaaaccaa gtcataccag tgctgtctca attgcaggaa aagaaactct ttcatctgct 601 gctaaaagtg gtacagaaaa aaagaaagaa aaaccacaag gacagagaga aaaaaaagag 661 gaateteatt etaatgatea aagteeacaa attegageat eacettetee eeageeetet 721 tcacaacctc tccaaataca cagacaaact ccagaaagca agaatgctac tcccaccaaa 60 781 agcataaaac gaccatcacc agctgaaaag tcacataatt cttgggaaaa ttcagatgat

841 agccgtaata aattgtcgaa aataccttca acacccaaat taataccaaa agttaccaaa 901 actgcagaca agcataaaga tgtcatcatc aaccaagaag gagaatatat taaaatgttt 961 atgcgcggtc ggccaattac catgttcatt ccttccgatg ttgacaacta tgatgacatc 1021 agaacggaac tgcctcctga gaagctcaaa ctggagtggg catatggtta tcgaggaaag 1081 gactgtagag ctaatgttta ccttcttccg accggggaaa tagtttattt cattgcatca 1141 qtaqtaqtac tatttaatta tqaqqaqaqa actcaqcqac actacctqqq ccatacaqac 1201 tqtqtqaaat qccttqctat acatcctqac aaaattaqqa ttqcaactqq acaqataqct 1261 ggcgtggata aagatggaag gcctctacaa ccccacgtca gagtgtggga ttctgttact 1321 ctatccacac tgcagattat tggacttggc acttttgagc gtggagtagg atgcctggat 10 1381 ttttcaaaag cagattcagg tgttcattta tgtgttattg atgactccaa tgagcatatg 1441 cttactgtat gggactggca gaagaaagca aaaggagcag aaataaagac aacaaatgaa 1501 gttgttttgg ctgtggagtt tcacccaaca gatgcaaata ccataattac atgcggtaaa 1561 totoatattt tottotggac otggagoggo aattoactaa caagaaaaca gggaattttt 1621 gggaaatatg aaaagccaaa atttgtgcag tgtttagcat tcttggggaa tggagatgtt 15 1681 cttactggag actcaggtgg agtcatgctt atatggagca aaactactgt agagcccaca 1741 cctgggaaag gacctaaagt gtaccgccgg aagcaccagg agctgcaagc catgcagatg 1801 gagctgcaga gccctgagta caagctgagc aagctccgca cctcgaccat catgaccgac 1861 tacaacccca actactgctt tgctggcaag acctcctcca tcagtgacct gaaggaggtg 1921 ccgcggaaaa acatcaccct cattcggggt ctgggccatg gagcctttgg ggaggtgtat 20 1981 gaaggccagg tgtccggaat gcccaacgac ccaagccccc tgcaagtggc tgtgaagacg 2041 ctgcctgaag tgtgctctga acaggacgaa ctggatttcc tcatggaagc cctgatcatc 2101 agcaaattca accaccagaa cattgttcgc tgcattgggg tgagcctgca atccctgccc 2161 eggttcatcc tgctggagct catggcgggg ggagacctca agtccttcct ccgagagacc 2221 cgccctcgcc cgagccagcc ctcctccctg gccatgctgg accttctgca cgtggctcgg 25 2281 gacattgcct gtggctgtca gtatttggag gaaaaccact tcatccaccg agacattgct 2341 gccagaaact gcctcttgac ctgtccaggc cctggaagag tggccaagat tggagacttc 2401 gggatggccc gagacatcta cagggcgagc tactatagaa agggaggctg tgccatgctg 2461 ccagttaagt ggatgccccc agaggccttc atggaaggaa tattcacttc taaaacagac 2521 acatggtcct ttggagtgct gctatgggaa atcttttctc ttggatatat gccatacccc 30 2581 agcaaaagca accaggaagt tctggagttt gtcaccagtg gaggccggat ggacccaccc 2641 aagaactgcc ctgggcctgt ataccggata atgactcagt gctggcaaca tcagcctgaa 2701 gacaggeeca aetttgeeat cattttggag aggattgaat aetgeaecca ggaeeeggat 2761 gtaatcaaca ccgctttgcc gatagaatat ggtccacttg tggaagagga agagaaagtg 2821 cctgtgaggc ccaaggaccc tgagggggtt cctcctctcc tggtctctca acaggcaaaa 35 2881 cgggaggagg agcgcagccc agctgcccca ccacctctgc ctaccacctc ctctggcaag 2941 gctgcaaaga aacccacagc tgcagaggtc tctgttcgag tccctagagg gccggccgtg 3001 gaagggggac acgtgaatat ggcattctct cagtccaacc ctccttcgga gttgcacagg 3061 gtccacggat ccagaaacaa gcccaccagc ttgtggaacc caacgtacgg ctcctggttt 3121 acagagaaac ccaccaaaaa gaataatcct atagcaaaga aggagccaca cgagaggggt 40 3181 aacctggggc tggagggaag ctgtactgtc ccacctaacg ttgcaactgg gagacttccg 3241 ggggcctcac tgctcctaga gccctcttcg ctgactgcca atatgaagga ggtacctctg 3301 ttcaggctac gtcacttccc ttgtgggaat gtcaattacg gctaccagca acagggcttg 3361 cccttagaag ccgctactgc ccctggagct ggtcattacg aggataccat tctgaaaagc 3421 aagaatagca tgaaccagcc tgggccctga gctcggtcac acactcactt ctcttccttg 45 3481 qqatccctaa qaccqtqqaq qaqaqaqqq caatcaatqq ctccttcaca aaccaqaqac 3541 caaatqtcac qttttqtttt qtqccaacct attttqaaqt accaccaaaa aaqctqtatt 3601 ttgaaaatgc tttagaaagg ttttgagcat gggttcatcc tattctttcg aaagaagaaa 3661 atatcataaa aatgagtgat aaatacaagg cccagatgtg gttgcataag gtttttatgc 3721 atgtttgttg tatacttcct tatgcttctt ttaaattgtg tgtgctctgc ttcaatgtag 50 3781 tcaqaattaq ctqcttctat qtttcataqt tqqqqtcata qatqtttcct tqccttqttq 3841 atqtqqacat qaqccatttq aqqqqaqaqq qaacqqaaat aaaqqaqtta tttqtaatqa 3901 aaaaaaaaa aaaaaaaaa aaaaaa

### EML4-ALK Variant 1 Protein Sequence (BAF73611.1; GI:152002653)

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1 mdgfagsldd sisaastsdv qdrlsalesr vqqqedeitv lkaaladvlr rlaisedhva 61 svkksvsskg qpspravipm scitngsgan rkpshtsavs iagketlssa aksgtekkke 121 kpqgqrekke eshsndqspq iraspspqps sqplqihrqt pesknatptk sikrpspaek 181 shnswensdd srnklskips tpklipkvtk tadkhkdvii nqegeyikmf mrgrpitmfi 241 psdvdnyddi rtelppeklk lewaygyrgk dcranvyllp tgeivyfias vvvlfnyeer

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421 dantiitcgk shiffwtwsg nsltrkqgif gkyekpkfvq claflgngdv ltgdsggvml
481 iwskttvept pgkgpkvyrr khqelqamqm elqspeykls klrtstimtd ynpnycfagk
5 541 tssisdlkev prknitlirg lghgafgevy egqvsgmpnd psplqvavkt lpevcseqde
601 ldflmealii skfnhqnivr cigvslqslp rfillelmag gdlksflret rprpsqpssl
661 amldllhvar diacgcqyle enhfihrdia arnclltcpg pgrvakigdf gmardiyras
721 yyrkggcaml pvkwmppeaf megiftsktd twsfgvllwe ifslgympyp sksnqevlef
781 vtsggrmdpp kncpgpvyri mtqcwqhqpe drpnfaiile rieyctqdpd vintalpiey
841 gplveeekv pvrpkdpegv ppllvsqqak reeerspaap pplpttssgk aakkptaaev
901 svrvprgpav egghvnmafs qsnppselhr vhgsrnkpts lwnptygswf tekptkknnp
961 iakkepherg nlglegsctv ppnvatgrlp gaslllepss ltanmkevpl frlrhfpcgn
1021 vnygyqqqgl pleaatapga ghyedtilks knsmnqpgp

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1 ggcggcgcgg cgcggcgctc gcggctgctg cctgggaggg aggccgggca ggcggctgag 61 cggcgcggct ctcaacgtga cggggaagtg gttcgggcgg ccgcggctta ctaccccagg 121 gcgaacggac ggacgacgga ggcgggagcc ggtagccgag ccgggcgacc tagagaacga 20 181 gegggtcagg ctcagegtcg gecactetgt eggtcegetg aatgaagtge eegeceetet 241 gageceggag ceeggegett teecegeaag atggaeggtt tegeeggeag tetegatgat 301 agtatttctg ctgcaagtac ttctgatgtt caagatcgcc tgtcagctct tgagtcacga 361 gttcagcaac aagaagatga aatcactgtg ctaaaggcgg ctttggctga tgttttgagg 421 cgtcttgcaa tctctgaaga tcatgtggcc tcagtgaaaa aatcagtctc aagtaaaggc 25 481 caaccaagee etegageagt tatteeeatg teetgtataa eeaatggaag tggtgeaaae 541 agaaaaccaa gtcataccag tgctgtctca attgcaggaa aagaaactct ttcatctgct 601 gctaaaagtg gtacagaaaa aaagaaagaa aaaccacaag gacagagaga aaaaaaagag 661 gaateteatt etaatgatea aagteeacaa attegageat eacettetee eeageeetet 721 tcacaacctc tccaaataca cagacaaact ccagaaagca agaatgctac tcccaccaaa 30 781 agcataaaac gaccatcacc agctgaaaag tcacataatt cttgggaaaa ttcagatgat 841 agccgtaata aattgtcgaa aataccttca acacccaaat taataccaaa agttaccaaa 901 actgcagaca agcataaaga tgtcatcatc aaccaagaag gagaatatat taaaatgttt 961 atgcgcggtc ggccaattac catgttcatt ccttccgatg ttgacaacta tgatgacatc 1021 aqaacqqaac tqcctcctqa qaaqctcaaa ctqqaqtqqq catatqqtta tcqaqqaaaq 35 1081 gactgtagag ctaatgttta cettetteeg aceggggaaa tagtttattt cattgeatea 1141 gtagtagtac tatttaatta tgaggagaga actcagcgac actacctggg ccatacagac 1201 tgtgtgaaat gccttgctat acatcctgac aaaattagga ttgcaactgg acagatagct 1261 ggcgtggata aagatggaag gcctctacaa ccccacgtca gagtgtggga ttctgttact 1321 ctatccacac tgcagattat tggacttggc acttttgagc gtggagtagg atgcctggat 40 1381 ttttcaaaag cagattcagg tgttcattta tgtgttattg atgactccaa tgagcatatg 1441 cttactgtat gggactggca gaagaaagca aaaggagcag aaataaagac aacaaatgaa 1501 gttgttttgg ctgtggagtt tcacccaaca gatgcaaata ccataattac atgcggtaaa 1561 teteatattt tettetggae etggagegge aatteaetaa eaagaaaaca gggaattttt 1621 gggaaatatg aaaagccaaa atttgtgcag tgtttagcat tcttggggaa tggagatgtt 45 1681 cttactggag actcaggtgg agtcatgctt atatggagca aaactactgt agagcccaca 1741 cctgggaaag gacctaaagg tgtatatcaa atcagcaaac aaatcaaagc tcatgatggc 1801 agtgtgttca cactttgtca gatgagaaat gggatgttat taactggagg agggaaagac 1861 agaaaaataa ttctgtggga tcatgatctg aatcctgaaa gagaaataga ggttcctgat 1921 cagtatggca caatcagagc tgtagcagaa ggaaaggcag atcaattttt agtaggcaca 50 1981 tcacgaaact ttattttacg aggaacattt aatgatggct tccaaataga agtacagggt 2041 catacagatg agetttgggg tettgecaca catecettea aagatttget ettgacatgt 2101 gctcaggaca ggcaggtgtg cctgtggaac tcaatggaac acaggctgga atggaccagg 2161 ctggtagatg aaccaggaca ctgtgcagat tttcatccaa gtggcacagt ggtggccata 2221 ggaacqcact caggcaggtg gtttgttctg gatgcagaaa ccagagatct agtttctatc 55 2281 cacacagacg ggaatgaaca gctctctgtg atgcgctact caatagatgg taccttcctg 2341 gctgtaggat ctcatgacaa ctttatttac ctctatgtag tctctgaaaa tggaagaaaa 2401 tatagcagat atggaaggtg cactggacat tccagctaca tcacacact tgactggtcc 2461 ccagacaaca agtatataat gtctaactcg ggagactatg aaatattgta cttgtaccgc 2521 cggaagcacc aggagctgca agccatgcag atggagctgc agagccctga gtacaagctg 60 2581 agcaagetee geacetegae cateatgaee gactacaace ceaactactg etttgetgge

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2641 aagacctect ceateagtga eetgaaggag gtgeegegga aaaacateae eeteattegg
      2701 ggtctgggcc atggagcctt tggggaggtg tatgaaggcc aggtgtccgg aatgcccaac
      2761 gacccaagec ceetgeaagt ggetgtgaag acgetgeetg aagtgtgete tgaacaggae
      2821 gaactggatt tcctcatgga agccctgatc atcagcaaat tcaaccacca gaacattgtt
5
      2881 egetgeattq gggtgageet geaateeetg eeeeggttea teetgetgga geteatggeg
      2941 gggggagacc tcaagtcctt cctccgagag acccgccctc gcccgagcca gccctcctcc
      3001 ctggccatgc tggaccttct gcacgtggct cgggacattg cctgtggctg tcagtatttg
      3061 gaggaaaacc acttcatcca ccgagacatt gctgccagaa actgcctctt gacctgtcca
      3121 ggccctggaa gagtggccaa gattggagac ttcgggatgg cccgagacat ctacagggcg
10
      3181 agctactata gaaagggagg ctgtgccatg ctgccagtta agtggatgcc cccagaggcc
      3241 ttcatggaag gaatattcac ttctaaaaca gacacatggt cctttggagt gctgctatgg
      3301 gaaatetttt etettggata tatgecatae eecageaaaa geaaceagga agttetggag
      3361 tttgtcacca gtggaggccg gatggaccca cccaagaact gccctgggcc tgtataccgg
      3421 ataatgactc agtgctggca acatcagcct gaagacaggc ccaactttgc catcattttg
15
      3481 gagaggattg aatactgcac ccaggacccg gatgtaatca acaccgcttt gccgatagaa
      3541 tatggtccac ttgtggaaga ggaagagaaa gtgcctgtga ggcccaagga ccctgagggg
      3601 gttcctcctc tcctggtctc tcaacaggca aaacgggagg aggagcgcag cccagctgcc
      3661 ccaccacctc tgcctaccac ctcctctggc aaggctgcaa agaaacccac agctgcagag
      3721 gtctctgttc gagtccctag agggccggcc gtggaagggg gacacgtgaa tatggcattc
20
      3781 teteagteca accetectte ggagttgeae agggtecaeg gatecagaaa caageecaee
      3841 agcttgtgga acccaacgta cggctcctgg tttacagaga aacccaccaa aaagaataat
      3901 cctatagcaa agaaggagcc acacgagagg ggtaacctgg ggctggaggg aagctgtact
      3961 gtcccaccta acgttgcaac tgggagactt ccgggggcct cactgctcct agagccctct
      4021 tegetgactg ccaatatgaa ggaggtacet etgtteagge taegteaett eeettgtggg
25
      4081 aatgtcaatt acggctacca gcaacagggc ttgcccttag aagccgctac tgcccctgga
      4141 gctggtcatt acgaggatac cattctgaaa agcaagaata gcatgaacca gcctgggccc
      4201 tgagctcggt cacacactca cttctcttcc ttgggatccc taagaccgtg gaggagagag
      4261 aggcaatcaa tggctccttc acaaaccaga gaccaaatgt cacgttttgt tttgtgccaa
      4321 cctattttga agtaccacca aaaaagctgt attttgaaaa tgctttagaa aggttttgag
30
      4381 catgggttca tcctattctt tcgaaagaag aaaatatcat aaaaatgagt gataaataca
      4441 aggcccagat gtggttgcat aaggttttta tgcatgtttg ttgtatactt ccttatgctt
      4501 cttttaaatt gtgtgtgctc tgcttcaatg tagtcagaat tagctgcttc tatgtttcat
      4561 agttggggtc atagatgttt ccttgccttg ttgatgtgga catgagccat ttgaggggag
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# EML4-ALK Variant 2 Protein Sequence (BAF73612.1; GI:152002655)

35

1 mdqfaqsldd sisaastsdv qdrlsalesr vqqqedeitv lkaaladvlr rlaisedhva 61 svkksvsskg qpspravipm scitngsgan rkpshtsavs iagketlssa aksgtekkke 40 121 kpqqqrekke eshsndqspq iraspspqps sqplqihrqt pesknatptk sikrpspaek 181 shnswensdd srnklskips tpklipkvtk tadkhkdvii ngegeyikmf mrgrpitmfi 241 psdvdnyddi rtelppeklk lewaygyrgk dcranvyllp tgeivyfias vvvlfnyeer 301 tqrhylghtd cvkclaihpd kiriatgqia gvdkdgrplq phvrvwdsvt lstlqiiglg 361 tfergygcld fskadsgyhl cyiddsnehm ltvwdwqkka kgaeikttne vylavefhpt 45 421 dantiitcgk shiffwtwsg nsltrkqgif gkyekpkfvq claflgngdv ltgdsggvml 481 iwskttvept pgkgpkgvyq iskqikahdg svftlcqmrn gmlltgggkd rkiilwdhdl 541 npereievpd qygtiravae gkadqflvgt srnfilrgtf ndgfqievqg htdelwglat 601 hpfkdllltc aqdrqvclwn smehrlewtr lvdepghcad fhpsgtvvai gthsgrwfvl 661 daetrdlvsi htdgneqlsv mrysidgtfl avgshdnfiy lyvvsengrk ysrygrctgh 50 721 ssyithldws pdnkyimsns gdyeilylyr rkhqelqamq melqspeykl sklrtstimt 781 dynpnycfag ktssisdlke vprknitlir glghgafgev yegqvsgmpn dpsplqvavk 841 tlpevcseqd eldflmeali iskfnhqniv rciqvslqsl prfillelma qqdlksflre 901 trprpsqpss lamldllhva rdiacqcqyl eenhfihrdi aarnclltcp qpqrvakiqd 961 fqmardiyra syyrkqqcam lpvkwmppea fmeqiftskt dtwsfqvllw eifslqympy 55 1021 psksnqevle fvtsqqrmdp pkncpqpvyr imtqcwqhqp edrpnfaiil erieyctqdp 1081 dvintalpie ygplveeeek vpvrpkdpeg vppllvsgga kreeerspaa ppplpttssg 1141 kaakkptaae vsvrvprqpa veqqhvnmaf sqsnppselh rvhqsrnkpt slwnptyqsw 1201 ftekptkknn piakkepher gnlglegsct vppnvatgrl pgasllleps sltanmkevp 1261 lfrlrhfpcg nvnygygggg lpleaatapg aghyedtilk sknsmngpgp 60

# EML4-ALK Variant 3a Nucleic Acid Sequence (AB374361.1; GI:194072592)

1 actetytogg toggetgaat gaagtgeeg eccetetaag eeggageee ggegetttee 61 ccgcaagatg gacggtttcg ccggcagtct cgatgatagt atttctgctg caagtacttc 121 tgatgttcaa gatcgcctgt cagctcttga gtcacgagtt cagcaacaag aagatgaaat 181 cactqtqcta aaqqcqqctt tqqctqatqt tttqaqqcqt cttqcaatct ctqaaqatca 241 tqtqqcctca qtqaaaaaat caqtctcaaq taaaqqccaa ccaaqccctc qaqcaqttat 301 teccatgtee tgtataacca atggaagtgg tgcaaacaga aaaccaagte ataccagtge 361 tgtctcaatt gcaggaaaag aaactctttc atctgctgct aaaagtggta cagaaaaaaa 10 421 gaaagaaaaa ccacaaggac agagagaaaa aaaagaggaa tctcattcta atgatcaaag 481 tocacaaatt cgagcatcac cttctcccca gccctcttca caacctctcc aaatacacag 541 acaaactcca gaaagcaaga atgctactcc caccaaaagc ataaaacgac catcaccagc 601 tqaaaaqtca cataattctt gggaaaattc agatgatagc cgtaataaat tgtcgaaaat 661 accttcaaca cccaaattaa taccaaaaqt taccaaaact qcaqacaaqc ataaaqatqt 15 721 catcatcaac caagtqtacc qccqqaaqca ccaqqaqctq caagccatqc aqatqqaqct 781 gcagageet gagtacaage tgagcaaget cegeaceteg accateatga eegactacaa 841 ccccaactac tgctttgctg gcaagacctc ctccatcagt gacctgaagg aggtgccgcg 901 gaaaaacatc accctcattc ggggtctggg ccatggagcc tttggggagg tgtatgaagg 961 ccaggtgtcc ggaatgccca acgacccaag ccccctgcaa gtggctgtga agacgctgcc 20 1021 tgaagtgtgc tctgaacagg acgaactgga tttcctcatg gaagccctga tcatcagcaa 1081 atteaaccae cagaacattg ttegetgeat tggggtgage ctgcaatcce tgccccggtt 1141 catectgetg gageteatgg eggggggaga ceteaagtee tteeteegag agaceegeee 1201 tegecegage cagecetect ecetggeeat getggacett etgeaegtgg etegggacat 1261 tgcctgtggc tgtcagtatt tggaggaaaa ccacttcatc caccgagaca ttgctgccag 25 1321 aaactgcctc ttgacctgtc caggccctgg aagagtggcc aagattggag acttcgggat 1381 ggcccgagac atctacaggg cgagctacta tagaaaggga ggctgtgcca tgctgccagt 1441 taagtggatg cccccagagg ccttcatgga aggaatattc acttctaaaa cagacacatg 1501 gtcctttgga gtgctgctat gggaaatctt ttctcttgga tatatgccat accccagcaa 1561 aagcaaccag gaagttctgg agtttgtcac cagtggaggc cggatggacc cacccaagaa 30 1621 ctgccctggg cctgtatacc ggataatgac tcagtgctgg caacatcagc ctgaagacag 1681 gcccaacttt gccatcattt tggagaggat tgaatactgc acccaggacc cggatgtaat 1741 caacaccgct ttgccgatag aatatggtcc acttgtggaa gaggaagaga aagtgcctgt 1801 gaggcccaag gaccctgagg gggttcctcc tctcctggtc tctcaacagg caaaacggga 1861 ggaggagege ageceagetg ecceaceace tetgeetace acetectetg geaaggetge 35 1921 aaagaaaccc acagctgcag aggtctctgt tcgagtccct agagggccgg ccgtggaagg 1981 gggacacgtg aatatggcat teteteagte caaccetect teggagttge acagggteca 2041 cggatccaga aacaagccca ccagcttgtg gaacccaacg tacggctcct ggtttacaga 2101 gaaacccacc aaaaagaata atcctatagc aaagaaggag ccacacgaga ggggtaacct 2161 ggggctggag ggaagctgta ctgtcccacc taacgttgca actgggagac ttccgggggc 40 2221 ctcactgctc ctagagccct cttcgctgac tgccaatatg aaggaggtac ctctgttcag 2281 gctacgtcac ttcccttgtg ggaatgtcaa ttacggctac cagcaacagg gcttgccctt 2341 agaagccgct actgcccctg gagctggtca ttacgaggat accattctga aaagcaagaa 2401 tagcatgaac cagcctgggc cctgagctcg gtcgcacact cacttctctt ccttgggatc 2461 cctaagaccg tgg

# EML4-ALK Variant 3a Protein Sequence (BAG55003.1; GI:194072593)

45

1 mdgfaqsldd sisaastsdv qdrlsalesr vgqqedeitv lkaaladvlr rlaisedhva 61 svkksvsskg qpspravipm scitngsgan rkpshtsavs iagketlssa aksgtekkke 50 121 kpqgqrekke eshsndqspq iraspspqps sqplqihrqt pesknatptk sikrpspaek 181 shnswensdd srnklskips tpklipkvtk tadkhkdvii nqvyrrkhqe lqamqmelqs 241 peyklsklrt stimtdynpn ycfagktssi sdlkevprkn itlirglghg afgevyegqv 301 sgmpndpspl qvavktlpev cseqdeldfl mealiiskfn hqnivrcigv slqslprfil 361 lelmaggdlk sflretrprp sqpsslamld llhvardiac gcqyleenhf ihrdiaarnc 55 421 lltcpgpgrv akigdfgmar diyrasyyrk ggcamlpvkw mppeafmegi ftsktdtwsf 481 gvllweifsl gympypsksn qevlefvtsg grmdppkncp gpvyrimtqc wqhqpedrpn 541 faiileriey ctqdpdvint alpieygplv eeeekvpvrp kdpegvppll vsqqakreee 601 rspaappplp ttssgkaakk ptaaevsvrv prgpaveggh vnmafsqsnp pselhrvhgs 661 rnkptslwnp tygswftekp tkknnpiakk ephergnlgl egsctvppnv atgrlpgasl 60 721 llepssltan mkevplfrlr hfpcgnvnyg yqqqglplea atapgaghye dtilksknsm

781 nappgp

# EML4-ALK Variant 3b Nucleic Acid Sequence (AB374362.1; GI:194072594)

1 actetytogy toggetgaat gaagtgeeg eccetetaag eeggageee ggegetttee 5 61 ccqcaaqatq qacqqtttcq ccqqcaqtct cqatqataqt atttctqctq caaqtacttc 121 tgatgttcaa gatcgcctgt cagctcttga gtcacgagtt cagcaacaag aagatgaaat 181 cactqtqcta aaqqcqqctt tqqctqatqt tttqaqqcqt cttqcaatct ctqaaqatca 241 tqtqqcctca qtqaaaaaat caqtctcaaq taaaqqccaa ccaaqccctc qaqcaqttat 10 301 teccatgtee tgtataacca atggaagtgg tgeaaacaga aaaccaagte ataccagtge 361 tgtctcaatt gcaggaaaag aaactctttc atctgctgct aaaagtggta cagaaaaaaa 421 gaaagaaaaa ccacaaggac agagagaaaa aaaagaggaa tctcattcta atgatcaaag 481 tocacaaatt cgagcatcac etteteecca geeetettea caacetetee aaatacacag 541 acaaactcca gaaagcaaga atgctactcc caccaaaagc ataaaacgac catcaccagc 15 601 tqaaaaqtca cataattctt qqqaaaattc aqatqataqc cqtaataaat tqtcqaaaat 661 accttcaaca cccaaattaa taccaaaagt taccaaaact gcagacaagc ataaagatgt 721 catcatcaac caagcaaaaa tgtcaactcg cgaaaaaaac agccaagtgt accgccggaa 781 gcaccaggag ctgcaagcca tgcagatgga gctgcagagc cctgagtaca agctgagcaa 841 gctccgcacc tcgaccatca tgaccgacta caaccccaac tactgctttg ctggcaagac 20 901 ctcctccatc agtgacctga aggaggtgcc gcggaaaaac atcaccctca ttcggggtct 961 gggccatgga gcctttgggg aggtgtatga aggccaggtg tccggaatgc ccaacgaccc 1021 aagcccctg caagtggctg tgaagacgct gcctgaagtg tgctctgaac aggacgaact 1081 ggatttcctc atggaagccc tgatcatcag caaattcaac caccagaaca ttgttcgctg 1141 cattggggtg agectgcaat ecetgeeeeg gtteateetg etggagetea tggegggggg 25 1201 agacctcaag teetteetee gagagaeeeg eeetegeeeg ageeageeet eeteeetgge 1261 catgctggac cttctgcacg tggctcggga cattgcctgt ggctgtcagt atttggagga 1321 aaaccacttc atccaccgag acattgctgc cagaaactgc ctcttgacct gtccaggccc 1381 tggaagagtg gccaagattg gagacttcgg gatggcccga gacatctaca gggcgagcta 1441 ctatagaaag ggaggctgtg ccatgctgcc agttaagtgg atgcccccag aggccttcat 30 1501 ggaaggaata ttcacttcta aaacagacac atggtccttt ggagtgctgc tatgggaaat 1561 cttttctctt ggatatatgc cataccccag caaaagcaac caggaagttc tggagtttgt 1621 caccagtgga ggccggatgg acccacccaa gaactgccct gggcctgtat accggataat 1681 gactcagtgc tggcaacatc agcctgaaga caggcccaac tttgccatca ttttggagag 1741 gattgaatac tgcacccagg acccggatgt aatcaacacc gctttgccga tagaatatgg 35 1801 tccacttgtg gaagaggaag agaaagtgcc tgtgaggccc aaggaccctg agggggttcc 1861 tectetectg gteteteaac aggeaaaacg ggaggaggag egeageecag etgeeceace 1921 acctctgcct accacctcct ctggcaaggc tgcaaagaaa cccacagctg cagaggtctc 1981 tgttcgagtc cctagagggc cggccgtgga agggggacac gtgaatatgg cattctctca 2041 gtccaaccct ccttcggagt tgcacagggt ccacggatcc agaaacaagc ccaccagctt 40 2101 gtggaaccca acgtacggct cctggtttac agagaaaccc accaaaaaga ataatcctat 2161 agcaaagaag gagccacacg agaggggtaa cctggggctg gagggaagct gtactgtccc 2221 acctaacgtt gcaactggga gacttccggg ggcctcactg ctcctagagc cctcttcgct 2281 gactgccaat atgaaggagg tacctctgtt caggctacgt cacttccctt gtgggaatgt 2341 caattacggc taccagcaac agggettgcc ettagaagcc getactgece etggagetgg 2401 tcattacgag gataccattc tgaaaagcaa gaatagcatg aaccagcctg ggccctgagc 45 2461 teggtegeae acteaettet etteettggg ateeetaaga eegtgg

## EML4-ALK Variant 3b Protein Sequence (BAG55004.1; GI:194072595)

1 mdgfagsldd sisaastsdv qdrlsalesr vqqqedeitv lkaaladvlr rlaisedhva
61 svkksvsskg qpspravipm scitngsgan rkpshtsavs iagketlssa aksgtekkke
121 kpqgqrekke eshsndqspq iraspspqps sqplqihrqt pesknatptk sikrpspaek
181 shnswensdd srnklskips tpklipkvtk tadkhkdvii nqakmstrek nsqvyrrkhq
241 elqamqmelq speyklsklr tstimtdynp nycfagktss isdlkevprk nitlirglgh
301 gafgevyegq vsgmpndpsp lqvavktlpe vcseqdeldf lmealiiskf nhqnivrcig
361 vslqslprfi llelmaggdl ksflretrpr psqpsslaml dllhvardia cgcqyleenh
421 fihrdiaarn clltcpgpgr vakigdfgma rdiyrasyyr kggcamlpvk wmppeafmeg
481 iftsktdtws fgvllweifs lgympypsks nqevlefvts ggrmdppknc pgpvyrimtq
541 cwqhqpedrp nfaiilerie yctqdpdvin talpieygpl veeeekvpvr pkdpegvppl
60 601 lvsqqakree erspaapppl pttssgkaak kptaaevsvr vprgpavegg hvnmafsqsn

661 ppselhrvhg srnkptslwn ptygswftek ptkknnpiak kephergnlg legsctvppn 721 vatgrlpgas lllepsslta nmkevplfrl rhfpcgnvny gyqqqglple aatapgaghy 781 edtilkskns mnqpgp

### 5 EML4-ALK Variant 4 Nucleic Acid Sequence (AB374363.1; GI:209837703)

1 actetytogy toggetgaat gaagtgeeg eccetetaag eeggageee ggegetttee 61 ccqcaaqatq qacqqtttcq ccqqcaqtct cqatqataqt atttctqctq caaqtacttc 121 tgatgttcaa gatcgcctgt cagctcttga gtcacgagtt cagcaacaag aagatgaaat 10 181 cactgtgcta aaggcggctt tggctgatgt tttgaggcgt cttgcaatct ctgaagatca 241 tgtggcctca gtgaaaaaat cagtctcaag taaaggccaa ccaagccctc gagcagttat 301 teccatgtee tgtataacca atggaagtgg tgeaaacaga aaaccaagte ataccagtge 361 tqtctcaatt qcaqqaaaaq aaactctttc atctgctgct aaaagtggta cagaaaaaaa 421 gaaagaaaaa ccacaaggac agagagaaaa aaaagaggaa tctcattcta atgatcaaag 15 481 tocacaaatt cgagcatcac cttctcccca gccctcttca caacctctcc aaatacacag 541 acaaactcca qaaaqcaaqa atqctactcc caccaaaaqc ataaaacqac catcaccaqc 601 tgaaaagtca cataattctt gggaaaattc agatgatagc cgtaataaat tgtcgaaaat 661 accttcaaca cccaaattaa taccaaaagt taccaaaact gcagacaagc ataaagatgt 721 catcatcaac caagaaggag aatatattaa aatgtttatg cgcggtcggc caattaccat 20 781 gttcattcct tccgatgttg acaactatga tgacatcaga acggaactgc ctcctgagaa 841 gctcaaactg gagtgggcat atggttatcg aggaaaggac tgtagagcta atgtttacct 901 tcttccgacc ggggaaatag tttatttcat tgcatcagta gtagtactat ttaattatga 961 ggagagaact cagcgacact acctgggcca tacagactgt gtgaaatgcc ttgctataca 1021 tectgacaaa attaggattg caactggaca gatagetgge gtggataaag atggaaggee 25 1081 tetacaaece caegteagag tgtgggatte tgttaeteta tecacaetge agattattgg 1141 acttggcact tttgagcgtg gagtaggatg cctggatttt tcaaaagcag attcaggtgt 1201 tcatttatgt gttattgatg actccaatga gcatatgctt actgtatggg actggcagag 1261 gaaagcaaaa ggagcagaaa taaagacaac aaatgaagtt gttttggctg tggagtttca 1321 cccaacagat gcaaatacca taattacatg cggtaaatct catattttct tctggacctg 30 1381 gagcggcaat tcactaacaa gaaaacaggg aatttttggg aaatatgaaa agccaaaatt 1441 tgtgcagtgt ttagcattct tggggaatgg agatgttctt actggagact caggtggagt 1501 catgcttata tggagcaaaa ctactgtaga gcccacacct gggaaaggac ctaaaggtgt 1561 atatcaaatc agcaaacaaa tcaaagctca tgatggcagt gtgttcacac tttgtcagat 1621 gagaaatggg atgttattaa ctggaggagg gaaagacaga aaaataattc tgtgggatca 35 1681 tgatctgaat cctgaaagag aaatagagat atgctggatg agccctgagt acaagctgag 1741 caageteege acetegacea teatgacega etacaaecee aactaetget ttgetggeaa 1801 gacctcctcc atcagtgacc tgaaggaggt gccgcggaaa aacatcaccc tcattcgggg 1861 tctgggccat ggagcctttg gggaggtgta tgaaggccag gtgtccggaa tgcccaacga 1921 cccaagcccc ctgcaagtgg ctgtgaagac gctgcctgaa gtgtgctctg aacaggacga 40 1981 actggatttc ctcatggaag ccctgatcat cagcaaattc aaccaccaga acattgttcg 2041 ctgcattggg gtgagcctgc aatccctgcc ccggttcatc ctgctggagc tcatggcggg 2101 gggagacete aagteettee teegagagae eegeeetege eegageeage eeteeteeet 2161 ggccatgctg gaccttctgc acgtggctcg ggacattgcc tgtggctgtc agtatttgga 2221 ggaaaaccac ttcatccacc gagacattgc tgccagaaac tgcctcttga cctgtccagg 45 2281 ccctggaaga gtggccaaga ttggagactt cgggatggcc cgagacatct acagggcgag 2341 ctactataga aagggaggct gtgccatgct gccagttaag tggatgcccc cagaggcctt 2401 catggaagga atattcactt ctaaaacaga cacatggtcc tttggagtgc tgctatggga 2461 aatcttttct cttggatata tgccataccc cagcaaaagc aaccaagaag ttctggagtt 2521 tgtcaccagt ggaggccgga tggacccacc caagaactgc cctgggcctg tataccggat 50 2581 aatgactcag tgctggcaac atcagcctga agacaggccc aactttgcca tcattttgga 2641 gaggattgaa tactgcaccc aggacccgga tgtaatcaac accgctttgc cgatagaata 2701 tggtccactt gtggaagagg aagagaaagt gcctgtgagg cccaaggacc ctgagggggt 2761 tecteetete etggtetete aacaggeaaa aegggaggag gagegeagee eagetgeeee 2821 accaectety cetaccaect cetetyqeaa qqetqeaaaq aaacceacaq etqeaqaqqt 55 2881 ctctqttcqa qtccctaqaq qqccqqccqt qqaaqqqqqa cacqtqaata tqqcattctc 2941 tcaqtccaac cctccttcqq aqttqcacaq qqtccacqqa tccaqaaaca aqcccaccaq 3001 cttqtqqaac ccaacqtacq qctcctqqtt tacaqaqaaa cccaccaaaa aqaataatcc 3061 tatagcaaag aaggagcac acgagagggg taacctgggg ctggagggaa gctgtactgt 3121 eccaectaac gttgcaactg ggagacttee gggggeetea etgeteetag agecetette 60 3181 gctgactgcc aatatgaagg aggtacctct gttcaggcta cgtcacttcc cttgtgggaa

3241 tgtcaattac ggctaccagc aacagggctt gcccttagaa gccgctactg cccctggagc 3301 tggtcattac gaggatacca ttctgaaaag caagaatagc atgaaccagc ctgggccctg 3361 agctcggtcg cacactcact tctcttcctt gggatcccta agaccgtgg

### EML4-ALK Variant 4 Protein Sequence (BAG75147.1; GI:209837704)

5

1 mdqfaqsldd sisaastsdv qdrlsalesr vqqqedeitv lkaaladvlr rlaisedhva 61 svkksvsskg gpspravipm scitngsgan rkpshtsavs iagketlssa aksgtekkke 121 kpqqqrekke eshsndqspq iraspspqps sqplqihrqt pesknatptk sikrpspaek 10 181 shnswensdd srnklskips tpklipkvtk tadkhkdvii ngegeyikmf mrgrpitmfi 241 psdvdnyddi rtelppeklk lewaygyrgk dcranvyllp tgeivyfias vvvlfnyeer 301 tqrhylghtd cvkclaihpd kiriatgqia gvdkdgrplq phvrvwdsvt lstlqiiglg 361 tfergygcld fskadsgyhl cviddsnehm ltvwdwgrka kgaeikttne vvlavefhpt 421 dantiitcqk shiffwtwsg nsltrkqqif gkyekpkfvq claflqnqdv ltqdsqqvml 15 481 iwskttvept pgkgpkgvyg iskqikahdg svftlcgmrn gmlltgggkd rkiilwdhdl 541 npereieicw mspeyklskl rtstimtdyn pnycfagkts sisdlkevpr knitlirglg 601 hgafgevyeg qvsgmpndps plqvavktlp evcseqdeld flmealiisk fnhqnivrci 661 gvslqslprf illelmaggd lksflretrp rpsqpsslam ldllhvardi acgcqyleen 721 hfihrdiaar nclltcpgpg rvakigdfgm ardiyrasyy rkggcamlpv kwmppeafme 20 781 giftsktdtw sfgvllweif slgympypsk snqevlefvt sggrmdppkn cpgpvyrimt 841 qcwqhqpedr pnfaiileri eyctqdpdvi ntalpieygp lveeeekvpv rpkdpegvpp 901 llvsqqakre eerspaappp lpttssgkaa kkptaaevsv rvprgpaveg ghvnmafsgs 961 nppselhrvh gsrnkptslw nptygswfte kptkknnpia kkephergnl glegsctvpp 1021 nvatgrlpga slllepsslt anmkevplfr lrhfpcgnvn ygyqqqglpl eaatapgagh 25 1081 yedtilkskn smngpgp

### EML4-ALK Variant 5a Nucleic Acid Sequence (AB374364.1; GI:209837705)

1 actetytegg teegetgaat gaagtgeeeg eeeetetaag eeeggageee ggegetttee 30 61 ccgcaagatg gacggtttcg ccggcagtct cgatgatagt atttctgctg caagtacttc 121 tgatgttcaa gatcgcctgt cagctcttga gtcacgagtt cagcaacaag aagatgaaat 181 cactgtgcta aaggcggctt tggctgatgt tttgaggcgt cttgcaatct ctgaagatca 241 tgtggcctca gtgaaaaaat cagtctcaag taaagtgtac cgccggaagc accaggagct 301 gcaagccatg cagatggagc tgcagagccc tgagtacaag ctgagcaagc tccgcacctc 35 361 gaccatcatg accgactaca accccaacta ctgctttgct ggcaagacct cctccatcag 421 tgacctgaag gaggtgccgc ggaaaaacat caccctcatt cggggtctgg gccatggagc 481 ctttggggag gtgtatgaag gccaggtgtc cggaatgccc aacgacccaa gcccctgca 541 agtggctgtg aagacgctgc ctgaagtgtg ctctgaacag gacgaactgg atttcctcat 601 ggaagccctg atcatcagca aattcaacca ccagaacatt gttcgctgca ttggggtgag 40 661 cctgcaatcc ctgccccggt tcatcctgct ggagctcatg gcggggggag acctcaagtc 721 cttcctccga gagacccgcc ctcgcccgag ccagccctcc tccctggcca tgctggacct 781 tctgcacgtg gctcgggaca ttgcctgtgg ctgtcagtat ttggaggaaa accacttcat 841 ccaccgagac attgctgcca gaaactgcct cttgacctgt ccaggccctg gaagagtggc 901 caagattgga gacttcggga tggcccgaga catctacagg gcgagctact atagaaaggg 45 961 aggctgtgcc atgctgccag ttaagtggat gcccccagag gccttcatgg aaggaatatt 1021 cacttctaaa acagacacat ggtcctttgg agtgctgcta tgggaaatct tttctcttgg 1081 atatatgcca taccccagca aaagcaacca ggaagttctg gagtttgtca ccagtggagg 1141 ccggatggac ccacccaaga actgccctgg gcctgtatac cggataatga ctcagtgctg 1201 gcaacatcag cctgaagaca ggcccaactt tgccatcatt ttggagagga ttgaatactg 50 1261 cacccaggac ccggatgtaa tcaacaccgc tttgccgata gaatatggtc cacttgtgga 1321 agaggaagag aaagtgcctg tgaggcccaa ggaccctgag ggggttcctc ctctcctggt 1381 ctctcaacag gcaaaacggg aggaggagcg cagcccagct gccccaccac ctctgcctac 1441 cacctcctct ggcaaggctg caaagaaacc cacagctgca gaggtctctg ttcgagtccc 1501 tagagggccg gccgtggaag ggggacacgt gaatatggca ttctctcagt ccaaccctcc 1561 ttcggagttg cacagggtcc acggatccag aaacaagccc accagcttgt ggaacccaac 55 1621 gtacggctcc tggtttacag agaaacccac caaaaagaat aatcctatag caaagaagga 1681 gccacacgag aggggtaacc tggggctgga gggaagctgt actgtcccac ctaacgttgc 1741 aactgggaga cttccggggg cctcactgct cctagagccc tcttcgctga ctgccaatat 1801 gaaggaggta cctctgttca ggctacgtca cttcccttgt gggaatgtca attacggcta 60 1861 ccagcaacag ggcttgccct tagaagccgc tactgcccct ggagctggtc attacgagga

1921 taccattctg aaaagcaaga atagcatgaa ccagcctggg ccctgagctc ggtcgcacac 1981 tcacttctct tccttgggat ccctaagacc gtgg

### EML4-ALK Variant 5a Protein Sequence (BAG75148.1; GI:209837706)

5

10

15

1 mdgfagsldd sisaastsdv qdrlsalesr vqqqedeitv lkaaladvlr rlaisedhva 61 svkksvsskv yrrkhqelqa mqmelqspey klsklrtsti mtdynpnycf agktssisdl 121 kevprknitl irglghgafg evyegqvsgm pndpsplqva vktlpevcse qdeldflmea 181 liiskfnhqn ivrcigvslq slprfillel maggdlksfl retrprpsqp sslamldllh 241 vardiacgcq yleenhfihr diaarncllt cpgpgrvaki gdfgmardiy rasyyrkggc 301 amlpvkwmpp eafmegifts ktdtwsfgvl lweifslgym pypsksnqev lefvtsggrm 361 dppkncpgpv yrimtqcwqh qpedrpnfai ilerieyctq dpdvintalp ieygplveee 421 ekvpvrpkdp egvppllvsq qakreeersp aappplptts sgkaakkpta aevsvrvprg 481 pavegghvnm afsqsnppse lhrvhgsrnk ptslwnptyg swftekptkk nnpiakkeph 541 ergnlglegs ctvppnvatg rlpgasllle pssltanmke vplfrlrhfp cgnvnygyqq 601 qglpleaata pgaghyedti lksknsmnqp gp

### EML4-ALK Variant 5b Protein Sequence (AB374365.1; GI:209837707)

20 1 actetytogg teegetgaat gaagtgeeeg eeeetetaag eeeggageee ggegetttee 61 ccgcaagatg gacggtttcg ccggcagtct cgatgatagt atttctgctg caagtacttc 121 tgatgttcaa gatcgcctgt cagctcttga gtcacgagtt cagcaacaag aagatgaaat 181 cactgtgcta aaggcggctt tggctgatgt tttgaggcgt cttgcaatct ctgaagatca 241 tgtggcctca gtgaaaaaat cagtctcaag taaaggttca gagctcaggg gaggatatgg 25 301 agatccaggg aggetteetg taggaagtgg cetgtgtagt getteaaggg eeaggetgee 361 aggccatgtt gcagctgacc acccacctgc agtgtaccgc cggaagcacc aggagctgca 421 agccatgcag atggagctgc agagccctga gtacaagctg agcaagctcc gcacctcgac 481 catcatgacc gactacaacc ccaactactg ctttgctggc aagacctcct ccatcagtga 541 cctgaaggag gtgccgcgga aaaacatcac cctcattcgg ggtctgggcc atggagcctt 30 601 tggggaggtg tatgaaggcc aggtgtccgg aatgcccaac gacccaagcc ccctgcaagt 661 ggctgtgaag acgctgcctg aagtgtgctc tgaacaggac gaactggatt tcctcatgga 721 agecetgate ateageaaat teaaceacea gaacattgtt egetgeattg gggtgageet 781 gcaatccctg ccccggttca tcctgctgga gctcatggcg gggggagacc tcaagtcctt 841 cctccgagag acccgccctc gcccgagcca gccctcctcc ctggccatgc tggaccttct 35 901 gcacgtggct cgggacattg cctgtggctg tcagtatttg gaggaaaacc acttcatcca 961 ccgagacatt gctgccagaa actgcctctt gacctgtcca ggccctggaa gagtggccaa 1021 gattggagac ttcgggatgg cccgagacat ctacagggcg agctactata gaaagggagg 1081 ctgtgccatg ctgccagtta agtggatgcc cccagaggcc ttcatggaag gaatattcac 1141 ttctaaaaca gacacatggt cctttggagt gctgctatgg gaaatctttt ctcttggata 40 1201 tatgccatac cccagcaaaa gcaaccagga agttctggag tttgtcacca gtggaggccg 1261 gatggaccca cccaagaact gccctgggcc tgtataccgg ataatgactc agtgctggca 1321 acatcagect gaagacagge ceaactttge catcattttg gagaggattg aatactgeae 1381 ccaggacccg gatgtaatca acaccgcttt gccgatagaa tatggtccac ttgtggaaga 1441 ggaagagaaa gtgcctgtga ggcccaagga ccctgagggg gttcctcctc tcctggtctc 45 1501 tcaacaggca aaacgggagg aggagcgcag cccagctgcc ccaccacctc tgcctaccac 1561 ctcctctggc aaggctgcaa agaaacccac agctgcagag gtctctgttc gagtccctag 1621 agggccggcc gtggaagggg gacacgtgaa tatggcattc tctcagtcca accctccttc 1681 ggagttgcac agggtccacg gatccagaaa caagcccacc agcttgtgga acccaacgta 1741 cggctcctgg tttacagaga aacccaccaa aaagaataat cctatagcaa agaaggagcc 50 1801 acacgagagg ggtaacctgg ggctggaggg aagctgtact gtcccaccta acgttgcaac 1861 tgggagactt ccgggggcct cactgctcct agagccctct tcgctgactg ccaatatgaa 1921 ggaggtacct ctgttcaggc tacgtcactt cccttgtggg aatgtcaatt acggctacca 1981 gcaacagggc ttgcccttag aagccgctac tgcccctgga gctggtcatt acgaggatac 2041 cattctgaaa agcaagaata gcatgaacca gcctgggccc tgagctcggt cgcacactca 55 2101 cttctcttcc ttgggatccc taagaccgtg g

### EML4-ALK Variant 5b Protein Sequence (BAG75149.1; GI:209837708)

1 mdgfagsldd sisaastsdv qdrlsalesr vqqqedeitv lkaaladvlr rlaisedhva 60 61 svkksvsskg selrggygdp grlpvgsglc sasrarlpgh vaadhppavy rrkhqelqam

```
121 qmelqspeyk lsklrtstim tdynpnycfa gktssisdlk evprknitli rglghqafge
181 vyegqvsqmp ndpsplqvav ktlpevcseq deldflmeal iiskfnhqni vrcigvslqs
241 lprfillelm aggdlksflr etrprpsqps slamldllhv ardiacgcqy leenhfihrd
301 iaarnclltc pgpgrvakig dfgmardiyr asyyrkggca mlpvkwmppe afmegiftsk
361 tdtwsfgvll weifslgymp ypsksnqevl efvtsggrmd ppkncpgpvy rimtqcwqhq
421 pedrpnfaii lerieyctqd pdvintalpi eygplveee kvpvrpkdpe gvppllvsqq
481 akreeerspa appplpttss gkaakkptaa evsvrvprgp avegghvnma fsqsnppsel
541 hrvhgsrnkp tslwnptygs wftekptkkn npiakkephe rgnlglegsc tvppnvatgr
601 lpgaslllep ssltanmkev plfrlrhfpc gnvnygyqqq glpleaatap gaghyedtil
10 661 ksknsmnqpg p
```

### EML4-ALK Variant 6 Nucleic Acid Sequence (AB462411.1; GI:227452648)

```
1 tactetyteg greegetgaa tgaagtgeee geeestetaa geeeggagee eggegettte
15
      61 cccqcaaqat qqacqqtttc qccqqcaqtc tcqatqataq tatttctqct qcaaqtactt
      121 ctgatgttca agatcgcctg tcagctcttg agtcacgagt tcagcaacaa gaagatgaaa
      181 tcactgtgct aaaggcggct ttggctgatg ttttgaggcg tcttgcaatc tctgaagatc
      241 atgtggcctc agtgaaaaaa tcagtctcaa gtaaaggcca accaagccct cgagcagtta
      301 ttcccatgtc ctgtataacc aatggaagtg gtgcaaacag aaaaccaagt cataccagtg
20
      361 ctgtctcaat tgcaggaaaa gaaactcttt catctgctgc taaaagtggt acagaaaaaa
      421 agaaagaaaa accacaagga cagagagaaa aaaaagagga atctcattct aatgatcaaa
      481 gtccacaaat tcgagcatca ccttctcccc agccctcttc acaacctctc caaatacaca
      541 gacaaactcc agaaagcaag aatgctactc ccaccaaaag cataaaacga ccatcaccag
      601 ctgaaaagtc acataattct tgggaaaatt cagatgatag ccgtaataaa ttgtcgaaaa
25
      661 taccttcaac acccaaatta ataccaaaag ttaccaaaac tgcagacaag cataaagatg
      721 tcatcatcaa ccaagaagga gaatatatta aaatgtttat gcgcggtcgg ccaattacca
      781 tgttcattcc ttccgatgtt gacaactatg atgacatcag aacggaactg cctcctgaga
      841 ageteaaact ggagtgggea tatggttate gaggaaagga etgtagaget aatgtttace
      901 ttcttccgac cggggaaata gtttatttca ttgcatcagt agtagtacta tttaattatg
30
      961 aggagagaac tcagcgacac tacctgggcc atacagactg tgtgaaatgc cttgctatac
      1021 atcctgacaa aattaggatt gcaactggac agatagctgg cgtggataaa gatggaaggc
      1081 ctctacaacc ccacqtcaga qtqtqqqatt ctqttactct atccacactq cagattattq
      1141 gacttggcac ttttgagcgt ggagtaggat gcctggattt ttcaaaagca gattcaggtg
      1201 ttcatttatg tgttattgat gactccaatg agcatatgct tactgtatgg gactggcaga
35
      1261 ggaaagcaaa aggagcagaa ataaagacaa caaatgaagt tgttttggct gtggagtttc
      1321 acccaacaga tgcaaatacc ataattacat gcggtaaatc tcatattttc ttctggacct
      1381 qgagcggcaa ttcactaaca agaaaacagg gaatttttgg gaaatatgaa aagccaaaat
      1441 ttgtgcagtg tttagcattc ttggggaatg gagatgttct tactggagac tcaggtggag
      1501 tcatgcttat atggagcaaa actactgtag agcccacacc tgggaaagga cctaaaggaa
40
      1561 gtggcctgtg tagtgcttca agggccaggc tgccaggcca tgttgcagct gaccacccac
      1621 ctgcagtgta ccgccggaag caccaggagc tgcaagccat gcagatggag ctgcagagcc
      1681 ctgagtacaa gctgagcaag ctccgcacct cgaccatcat gaccgactac aaccccaact
      1741 actgctttgc tggcaagacc tcctccatca gtgacctgaa ggaggtgccg cggaaaaaca
      1801 tcaccctcat tcggggtctg ggccatggag cctttgggga ggtgtatgaa ggccaggtgt
45
      1861 ccggaatgcc caacgaccca agcccctgc aagtggctgt gaagacgctg cctgaagtgt
      1921 gctctgaaca ggacgaactg gatttcctca tggaagccct gatcatcagc aaattcaacc
      1981 accagaacat tgttcgctgc attggggtga gcctgcaatc cctgccccgg ttcatcctgc
      2041 tggageteat ggeggggga gaeeteaagt eetteeteeg agagaeeege eetegeeega
      2101 gecagecete etecetggee atgetggace ttetgeaegt ggetegggae attgeetgtg
50
      2161 gctgtcagta tttggaggaa aaccacttca tccaccgaga cattgctgcc agaaactgcc
      2221 tettgacetg tecaggeeet ggaagagtgg ceaagattgg agaetteggg atggeeegag
      2281 acatctacag ggcgagctac tatagaaagg gaggctgtgc catgctgcca gttaagtgga
      2341 tgcccccaga ggccttcatg gaaggaatat tcacttctaa aacagacaca tggtcctttg
      2401 gagtgctgct atgggaaatc ttttctcttg gatatatgcc ataccccagc aaaagcaacc
55
      2461 aggaagttct ggagtttgtc accagtggag gccggatgga cccacccaag aactgccctg
      2521 ggcctgtata ccggataatg actcagtgct ggcaacatca gcctgaagac aggcccaact
      2581 ttgccatcat tttggagagg attgaatact gcacccagga cccggatgta atcaacaccg
      2641 ctttgccgat agaatatggt ccacttgtgg aagaggaaga gaaagtgcct gtgaggccca
      2701 aggaccetga gggggtteet ceteteetgg teteteaaca ggcaaaacgg gaggaggage
60
      2761 gcagcccagc tgccccacca cctctgccta ccacctcctc tggcaaggct gcaaagaaac
```

```
2821 ccacagctgc agaggtctct gttcgagtcc ctagagggcc ggccgtggaa gggggacacg 2881 tgaatatggc attetetcag tccaaccetc cttcggagtt gcacagggtc cacggatcca 2941 gaaacaagcc caccagcttg tggaacccaa cgtacggctc ctggtttaca gagaaaccca 3001 ccaaaaagaa taatcctata gcaaagaagg agccacacga gaggggtaac ctggggctgg 3061 agggaagctg tactgtcca cctaacgttg caactgggag acttccgggg gcctcactgc 3121 tcctagagcc ctcttcgctg actgccaata tgaaggaggt acctctgttc aggctacgtc 3181 acttcccttg tgggaatgtc aattacggct accagcaaca gggcttgccc ttagaagccg 3241 ctactgccc tggagctggt cattacgagg ataccattct gaaaaagcaag aatagcatga 3301 accagcctgg gccctgagct cggtcgcaca ctcacttct ttccttggga tccctaagac 10 3361 cgtgg
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# EML4-ALK Variant 6 Protein Sequence (BAH57335.1; GI:227452649)

1 mdqfaqsldd sisaastsdv qdrlsalesr vqqqedeitv lkaaladvlr rlaisedhva 15 61 svkksvsskg gpspravipm scitngsgan rkpshtsavs iagketlssa aksgtekkke 121 kpqqqrekke eshsndqspq iraspspqps sqplqihrqt pesknatptk sikrpspaek 181 shnswensdd srnklskips tpklipkvtk tadkhkdvii ngegeyikmf mrgrpitmfi 241 psdvdnyddi rtelppeklk lewaygyrgk dcranvyllp tgeivyfias vvvlfnyeer 301 tqrhylghtd cvkclaihpd kiriatgqia gvdkdgrplq phvrvwdsvt lstlqiiglg 20 361 tfergygcld fskadsgyhl cviddsnehm ltvwdwgrka kgaeikttne vvlavefhpt 421 dantiitcgk shiffwtwsg nsltrkqqif gkyekpkfvq claflqngdv ltqdsqqvml 481 iwskttvept pgkgpkgsgl csasrarlpg hvaadhppav yrrkhqelqa mqmelqspey 541 klsklrtsti mtdynpnycf agktssisdl kevprknitl irglghgafg evyeggvsgm 601 pndpsplqva vktlpevcse qdeldflmea liiskfnhqn ivrcigvslq slprfillel 25 661 maggdlksfl retrprpsqp sslamldllh vardiacgcq yleenhfihr diaarncllt 721 cpgpgrvaki gdfgmardiy rasyyrkggc amlpvkwmpp eafmegifts ktdtwsfgvl 781 lweifslgym pypsksnqev lefvtsggrm dppkncpgpv yrimtqcwqh qpedrpnfai 841 ilerieyctq dpdvintalp ieygplveee ekvpvrpkdp egvppllvsq qakreeersp 901 aappplptts sgkaakkpta aevsvrvprg pavegghvnm afsgsnppse lhrvhgsrnk 30 961 ptslwnptyg swftekptkk nnpiakkeph ergnlglegs ctvppnvatg rlpgasllle 1021 pssltanmke vplfrlrhfp cgnvnygygg gglpleaata pgaghyedti lksknsmngp 1081 ap

### EML4-ALK Variant 7 Nucleic Acid Sequence (AB462412.1; GI:227452650)

35

1 tactetgteg gteegetgaa tgaagtgeee geeeetetaa geeeggagee eggegettte 61 cccgcaagat ggacggtttc gccggcagtc tcgatgatag tatttctgct gcaagtactt 121 ctgatgttca agatcgcctg tcagctcttg agtcacgagt tcagcaacaa gaagatgaaa 181 tcactgtgct aaaggcggct ttggctgatg ttttgaggcg tcttgcaatc tctgaagatc 40 241 atgtggcctc agtgaaaaaa tcagtctcaa gtaaaggcca accaagccct cgagcagtta 301 ttcccatgtc ctgtataacc aatggaagtg gtgcaaacag aaaaccaagt cataccagtg 361 ctgtctcaat tgcaggaaaa gaaactcttt catctgctgc taaaagtggt acagaaaaaa 421 agaaagaaaa accacaagga cagagagaaa aaaaagagga atctcattct aatgatcaaa 481 gtccacaaat tcgagcatca ccttctcccc agccctcttc acaacctctc caaatacaca 45 541 gacaaactcc agaaagcaag aatgctactc ccaccaaaag cataaaacga ccatcaccag 601 ctgaaaagtc acataattct tgggaaaatt cagatgatag ccgtaataaa ttgtcgaaaa 661 taccttcaac acccaaatta ataccaaaag ttaccaaaac tgcagacaag cataaagatg 721 tcatcatcaa ccaagaagga gaatatatta aaatgtttat gcgcggtcgg ccaattacca 781 tgttcattcc ttccgatgtt gacaactatg atgacatcag aacggaactg cctcctgaga 50 841 ageteaaact ggagtgggea tatggttate gaggaaagga etgtagaget aatgtttace 901 ttcttccgac cggggaaata gtttatttca ttgcatcagt agtagtacta tttaattatg 961 aggagagaac tcagcgacac tacctgggcc atacagactg tgtgaaatgc cttgctatac 1021 atcctgacaa aattaggatt gcaactggac agatagctgg cgtggataaa gatggaaggc 1081 ctctacaacc ccacgtcaga gtgtgggatt ctgttactct atccacactg cagattattg 55 1141 gacttggcac ttttgagcgt ggagtaggat gcctggattt ttcaaaagca gattcaggtg 1201 ttcatttatg tgttattgat gactccaatg agcatatgct tactgtatgg gactggcaga 1261 ggaaagcaaa aggagcagaa ataaagacaa caaatgaagt tgttttggct gtggagtttc 1321 acccaacaga tgcaaatacc ataattacat gcggtaaatc tcatattttc ttctggacct 1381 ggagcggcaa ttcactaaca agaaaacagg gaatttttgg gaaatatgaa aagccaaaat 60 1441 ttgtgcagtg tttagcattc ttggggaatg gagatgttct tactggagac tcaggtggag

1501 tcatgcttat atggagcaaa actactgtag agcccacacc tgggaaagga cctaaaggtg 1561 tatatcaaat cagcaaacaa atcaaagctc atgatggcag tgtgttcaca ctttgtcaga 1621 tqaqaaatqq qatqttatta actqqaqqaq qqaaaqacaq aaaaataatt ctqtqqqatc 1681 atgatetgaa teetgaaaga gaaatagage accaggaget geaageeatg cagatggage 1741 tgcaqaqccc tgaqtacaaq ctgaqcaaqc tccqcacctc qaccatcatq accqactaca 1801 accccaacta ctgctttgct ggcaagacct cctccatcag tgacctgaag gaggtgccgc 1861 ggaaaaacat caccctcatt cggggtctgg gccatggagc ctttggggag gtgtatgaag 1921 gccaggtgtc cggaatgccc aacgacccaa gcccctgca agtggctgtg aagacgctgc 1981 ctgaagtgtg ctctgaacag gacgaactgg atttcctcat ggaagccctg atcatcagca 10 2041 aattcaacca ccagaacatt gttcgctgca ttggggtgag cctgcaatcc ctgccccggt 2101 tcatcctqct ggaqctcatq qcqqqqqqq acctcaaqtc cttcctccqa qaqacccqcc 2161 etegecegag ceagecetee teeetggeea tgetggaeet tetgeaegtg getegggaea 2221 ttgcctgtgg ctgtcagtat ttggaggaaa accacttcat ccaccgagac attgctgcca 2281 gaaactgeet ettgaeetgt eeaggeeetg gaagagtgge eaagattgga gaetteggga 15 2341 tggcccgaga catctacagg gcgagctact atagaaaggg aggctgtgcc atgctgccag 2401 ttaagtggat gcccccagag gccttcatgg aaggaatatt cacttctaaa acagacacat 2461 ggtcctttgg agtgctgcta tgggaaatct tttctcttgg atatatgcca taccccagca 2521 aaagcaacca ggaagttctg gagtttgtca ccagtggagg ccggatggac ccacccaaga 2581 actgccctgg gcctgtatac cggataatga ctcagtgctg gcaacatcag cctgaagaca 20 2641 ggcccaactt tgccatcatt ttggagagga ttgaatactg cacccaggac ccggatgtaa 2701 tcaacaccgc tttgccgata gaatatggtc cacttgtgga agaggaagag aaagtgcctg 2761 tqaqqcccaa qqaccctqaq qqqqttcctc ctctcctqqt ctctcaacaq qcaaaacqqq 2821 aggaggageg cageceaget geceeaceae etetgeetae eaceteetet ggeaaggetg 2881 caaaqaaacc cacaqctqca qaqqtctctq ttcqaqtccc taqaqqqccq qccqtqqaaq 25 2941 ggggacacgt gaatatggca ttctctcagt ccaaccctcc ttcggagttg cacaaggtcc 3001 acggatccag aaacaagccc accagcttgt ggaacccaac gtacggctcc tggtttacag 3061 agaaacccac caaaaagaat aatcctatag caaagaagga gccacacgac aggggtaacc 3121 tggggctgga gggaagctgt actgtcccac ctaacgttgc aactgggaga cttccggggg 3181 cctcactgct cctagagccc tcttcgctga ctgccaatat gaaggaggta cctctgttca 30 3241 ggctacgtca cttcccttgt gggaatgtca attacggcta ccagcaacag ggcttgccct 3301 tagaagccgc tactgcccct ggagctggtc attacgagga taccattctg aaaagcaaga 3361 atagcatgaa ccagcctggg ccctgagctc ggtcgcacac tcacttctct tccttgggat 3421 ccctaagacc gtgga

# EML4-ALK Variant 7 Protein Sequence (BAH57336.1; GI:227452651)

1 mdqfaqsldd sisaastsdv qdrlsalesr vqqqedeitv lkaaladvlr rlaisedhva 61 svkksvsskq qpspravipm scitngsqan rkpshtsavs iagketlssa aksqtekkke 121 kpqqqrekke eshsndqspq iraspspqps sqplqihrqt pesknatptk sikrpspaek 40 181 shnswensdd srnklskips tpklipkvtk tadkhkdvii ngegeyikmf mrgrpitmfi 241 psdvdnyddi rtelppeklk lewaygyrgk dcranvyllp tgeivyfias vvvlfnyeer 301 tqrhylghtd cvkclaihpd kiriatgqia gvdkdgrplq phvrvwdsvt lstlqiiglg 361 tfergygcld fskadsgyhl cyiddsnehm ltvwdwqrka kgaeikttne vylavefhpt 421 dantiitcgk shiffwtwsg nsltrkqgif gkyekpkfvq claflgngdv ltgdsggvml 45 481 iwskttvept pgkgpkgvyq iskqikahdg svftlcqmrn gmlltgggkd rkiilwdhdl 541 npereiehqe lqamqmelqs peyklsklrt stimtdynpn ycfagktssi sdlkevprkn 601 itlirglghg afgevyegqv sgmpndpspl qvavktlpev cseqdeldfl mealiiskfn 661 hqnivrcigv slqslprfil lelmaggdlk sflretrprp sqpsslamld llhvardiac 721 gcqyleenhf ihrdiaarnc lltcpgpgrv akigdfgmar diyrasyyrk ggcamlpvkw 50 781 mppeafmegi ftsktdtwsf gvllweifsl gympypsksn qevlefvtsg grmdppkncp 841 gpvyrimtqc wqhqpedrpn faiileriey ctqdpdvint alpieygplv eeeekvpvrp 901 kdpegvppll vsqqakreee rspaappplp ttssqkaakk ptaaevsvrv prqpavegqh 961 vnmafsqsnp pselhkvhqs rnkptslwnp tygswftekp tkknnpiakk ephdrgnlgl 1021 egsctvppnv atgrlpgasl llepssltan mkevplfrlr hfpcgnvnyg ygggglplea 55 1081 atapgaghye dtilksknsm ngpgp

#### KIF5B-ALK Nucleic Acid Sequence (AB462413.1; GI:227452652)

1 tgcgagaaag atggcggacc tggccgagtg caacatcaaa gtgatgtgtc gcttcagacc 61 tctcaacgag tctgaagtga accgcggcga caagtacatc gccaagtttc agggagaaga 121 cacggtcgtg atcgcgtcca agccttatgc atttgatcgg gtgttccagt caagcacatc

60

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181 tcaagagcaa gtgtataatg actgtgcaaa gaagattgtt aaagatgtac ttgaaggata
      241 taatggaaca atatttgcat atggacaaac atcctctggg aagacacaca caatggaggg
      301 taaacttcat gatccagaag gcatgggaat tattccaaga atagtgcaag atatttttaa
      361 ttatatttac tccatggatg aaaatttgga atttcatatt aaggtttcat attttgaaat
5
      421 atatttggat aagataaggg acctgttaga tgtttcaaag accaaccttt cagttcatga
      481 agacaaaaac cgagttccct atgtaaaggg gtgcacagag cgttttgtat gtagtccaga
      541 tgaagttatg gataccatag atgaaggaaa atccaacaga catgtagcag ttacaaatat
      601 gaatgaacat agctctagga gtcacagtat atttcttatt aatgtcaaac aagagaacac
      661 acaaacggaa caaaagctga gtggaaaact ttatctggtt gatttagctg gtagtgaaaa
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      721 ggttagtaaa actggagctg aaggtgctgt gctggatgaa gctaaaaaca tcaacaagtc
      781 actttctgct cttggaaatg ttatttctgc tttggctgag ggtagtacat atgttccata
      841 tcgagatagt aaaatgacaa gaatccttca agattcatta ggtggcaact gtagaaccac
      901 tattgtaatt tgctgctctc catcatcata caatgagtct gaaacaaaat ctacactctt
      961 atttggccaa agggccaaaa caattaagaa cacagtttgt gtcaatgtgg agttaactgc
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      1021 agaacagtgg aaaaagaagt atgaaaaaga aaaagaaaaa aataagatcc tgcggaacac
      1081 tattcagtgg cttgaaaatg agctcaacag atggcgtaat ggggagacgg tgcctattga
      1141 tgaacagttt gacaaagaga aagccaactt ggaagctttc acagtggata aagatattac
      1201 tettaccaat gataaaccag caaccgcaat tggagttata ggaaatttta etgatgetga
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      1321 agaaattaac cagcaaagtc aactggtaga gaaactgaag acgcaaatgt tggatcagga
      1381 ggagcttttg gcatctacca gaagggatca agacaatatg caagctgagc tgaatcgcct
      1441 tcaagcagaa aatgatgcct ctaaagaaga agtgaaagaa gttttacagg ccctagaaga
      1501 acttgctgtc aattatgatc agaagtctca ggaagttgaa gacaaaacta aggaatatga
      1561 attgcttagt gatgaattga atcagaaatc ggcaacttta gcgagtatag atgctgagct
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      1621 tcagaaactt aaggaaatga ccaaccacca gaaaaaacga gcagctgaga tgatggcatc
      1681 tttactaaaa gaccttgcag aaataggaat tgctgtggga aataatgatg taaagcagcc
      1741 tgagggaact ggcatgatag atgaagagtt cactgttgca agactctaca ttagcaaaat
      1801 gaagtcagaa gtaaaaacca tggtgaaacg ttgcaagcag ttagaaagca cacaaactga
      1861 gagcaacaaa aaaatggaag aaaatgaaaa ggagttagca gcatgtcagc ttcgtatctc
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      1921 tcaacatgaa gccaaaatca agtcattgac tgaatacctt caaaatgtgg aacaaaagaa
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      2041 agagaaagtc catgaaatgg aaaaggagca cttaaataag gttcagactg caaatgaagt
      2101 taagcaagct gttgaacagc agatccagag ccatagagaa actcatcaaa aacagatcag
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      2341 agcaagacaa gacttgaagg gtttggaaga gacagtggca aaagaacttc agactttaca
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      2521 taatettgaa cageteacta aagtgeacaa acagttggta egtgataatg cagateteeg
      2581 ctgtgaactt cctaagttgg aaaagcgact tcgagctaca gctgagagag tgaaagcttt
      2641 ggaatcagca ctgaaagaag ctaaagaaaa tgcatctcgt gatcgcaaac gctatcagca
      2701 agaagtagat cgcataaagg aagcagtcag gtcaaagaat atggccagaa gagggcattc
      2761 tgcacagatt gtgtaccgcc ggaagcacca ggagctgcaa gccatgcaga tggagctgca
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      2821 gagccctgag tacaagctga gcaagctccg cacctcgacc atcatgaccg actacaaccc
      2881 caactactgc tttgctggca agacctcctc catcagtgac ctgaaggagg tgccgcggaa
      2941 aaacatcacc ctcattcqqq qtctqqqcca tqqcqccttt qqqqaqqtqt atqaaqqcca
      3001 ggtgtccgga atgcccaacg acccaagcc cctgcaagtg gctgtgaaga cgctgcctga
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      3121 caaccaccag aacattgttc gctgcattgg ggtgagcctg caatccctgc cccggttcat
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      3421 ccgagacatc tacagggcga gctactatag aaagggaggc tgtgccatgc tgccagttaa
      3481 gtggatgccc ccagaggcct tcatggaagg aatattcact tctaaaacag acacatggtc
      3541 ctttggagtg ctgctatggg aaatcttttc tcttggatat atgccatacc ccagcaaaag
      3601 caaccaggaa gttctggagt ttgtcaccag tggaggccgg atggacccac ccaagaactg
      3661 ccctgggcct gtataccgga taatgactca gtgctggcaa catcagcctg aagacaggcc
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      3721 caactttgcc atcattttgg agaggattga atactgcacc caggacccgg atgtaatcaa
      3781 caccgctttg ccgatagaat atggtccact tgtggaagag gaagagaaag tgcctgtgag
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3841 gcccaaggac cctgagggg ttcctctt cctggtctct caacaggcaa aacgggagga 3901 ggagcgcagc ccagctgcc caccacctct gcctacacc tcctctggca aggctgcaaa 3961 gaaacccaca gctgcagagg tctctgttcg agtccctaga gggccggccg tggaaggggg 4021 acacgtgaat atggcattct ctcagtcaa ccctccttcg gagttgcaca aggtccaccgg 4081 atccagaaac aagcccacca gcttgtggaa cccaacgtac ggctcctggt ttacaggaa 4141 acccaccaaa aagaataatc ctatagcaaa gaaggagcca cacgacaggg gtaacctggg 4201 gctggaggga agctgtactg tcccacctaa cgttgcaact gggagacttc cgggggcctc 4261 actgctccta gagccctctt cgctgactgc caatatgaag gaggtacctc tgttcaggct 4321 acgtcactc ccttgtggga atgtcaatta cggctaccag caacagggct tgccctaga 10 4381 agccgctact gccctggag ctggtcatta cgaggatacc attctgaaaa gcaagaatag 4441 catgaaccag cctgggcctt gagctcggtc gcacactca
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### KIF5B-ALK Protein Sequence (BAH57337.1; GI:227452653)

1 madlaecnik vmcrfrplne sevnrgdkyi akfqgedtvv iaskpyafdr vfqsstsqeq 15 61 vyndcakkiv kdvlegyngt ifaygqtssg kthtmegklh dpegmgiipr ivqdifnyiy 121 smdenlefhi kvsyfeiyld kirdlldvsk tnlsvhedkn rvpyvkgcte rfvcspdevm 181 dtidegksnr hvavtnmneh ssrshsifli nvkqentqte qklsgklylv dlagsekvsk 241 tgaegavlde akninkslsa lgnvisalae gstyvpyrds kmtrilqdsl ggncrttivi 301 ccspssynes etkstllfgq raktikntvc vnveltaeqw kkkyekekek nkilrntiqw 20 361 lenelnrwrn getvpidegf dkekanleaf tvdkditltn dkpataigvi gnftdaerrk 421 ceeeiaklyk qlddkdeein qqsqlveklk tqmldqeell astrrdqdnm qaelnrlqae 481 ndaskeevke vlqaleelav nydqksqeve dktkeyells delnqksatl asidaelqkl 541 kemtnhqkkr aaemmasllk dlaeigiavg nndvkqpegt gmideeftva rlyiskmkse 601 vktmvkrckq lestqtesnk kmeenekela acqlrisqhe akikslteyl qnveqkkrql 25 661 eesvdalsee lvqlraqekv hemekehlnk vqtanevkqa veqqiqshre thqkqisslr 721 deveakakli tdlqdqnqkm mleqerlrve heklkatdqe ksrklheltv mqdrreqarq 781 dlkgleetva kelqtlhnlr klfvqdlatr vkksaeidsd dtggsaaqkq kisflennle 841 qltkvhkqlv rdnadlrcel pklekrlrat aervkalesa lkeakenasr drkryggevd 901 rikeavrskn marrqhsaqi vyrrkhqelq amqmelqspe yklsklrtst imtdynpnyc 30 961 fagktssisd lkevprknit lirglqhqaf gevyeggvsg mpndpsplgv avktlpevcs 1021 eqdeldflme aliiskfnhq nivrcigvsl qslprfille lmaggdlksf lretrprpsq 1081 psslamldll hvardiacgc qyleenhfih rdiaarncll tcpgpgrvak igdfgmardi 1141 yrasyyrkgg camlpvkwmp peafmegift sktdtwsfgv llweifslgy mpypsksnge 1201 vlefvtsggr mdppkncpgp vyrimtqcwq hqpedrpnfa iilerieyct qdpdvintal 35 1261 pieygplvee eekvpvrpkd pegvppllvs qqakreeers paappplptt ssgkaakkpt 1321 aaevsvrvpr gpavegghvn mafsqsnpps elhkvhgsrn kptslwnpty gswftekptk 1381 knnpiakkep hdrgnlgleg sctvppnvat grlpgaslll epssltanmk evplfrlrhf 1441 pcgnvnygyq qqglpleaat apgaghyedt ilksknsmnq pgp

# 40 NPM-ALK Sequence (t(2;5)(p23;q35 chromosomal translocation)\*

45

# TPM3-ALK Sequence (t(1;2)(p25;p23) chromosomal translocation)\*

TFGXL-ALK Nucleic Acid Sequence (AF390893.1; GI:20269389) 1 atgaacggac agttggatct aagtgggaag ctaatcatca aagctcaact tggggaggat 61 attcggcgaa ttcctattca taatgaagat attacttatg atgaattagt gctaatgatg 121 caacgagttt tcagaggaaa acttctgagt aatgatgaag taacaataaa gtataaagat 50 181 gaagatggag atcttataac aatttttgat agttctgacc tttcctttgc aattcagtgc 241 agtaggatac tgaaactgac attatttgtt aatggccagc caagacccct tgaatcaagt 301 caggtgaaat atctccgtcg agaactgata gaacttcgaa ataaagtgaa tcgtttattg 361 gatagettgg aaccacetgg agaaccagga cettecacca atatteetga aaatgatact 421 gtggatggta gggaagaaaa gtctgcttct gattcttctg gaaaacagtc tactcaggtt 55 481 atggcagcaa gtatgtctgc ttttgatcct ttaaaaaaacc aagatgaaat caataaaaat 541 gttatgtcag cgtttggctt aacagatgat caggtttcag ggccacccag tgctcctgca 601 gaagategtt caggaacacc egacageatt getteeteet eeteageage teacceacea 661 ggcgttcagc cacagcagcc accatataca ggagctcaga ctcaagcagg tcagattgaa 721 gtgtaccgcc ggaagcacca ggagctgcaa gccatgcaga tggagctgca gagccctgag 781 tacaagetga geaageteeg cacetegace ateatgaceg actacaacee caactactge 60

841 tttgctggca agacctcctc catcagtgac ctgaaggagg tgccgcggaa aaacatcacc 901 ctcattcggg gtctgggcca tggcgccttt ggggaggtgt atgaaggcca ggtgtccgga 961 atgcccaacg acccaagcc cctgcaagtg gctgtgaaga cgctgcctga agtgtgctct 1021 gaacaggacg aactggattt cctcatggaa gccctgatca tcagcaaatt caaccaccag 1081 aacattgttc gctgcattgg ggtgagcctg caatccctgc cccggttcat cctgctggag 1141 ctcatggcgg ggggagacct caagtccttc ctccgagaga cccgccctcg cccgagccag 1201 ccctcctccc tggccatgct ggaccttctg cacgtggctc gggacattgc ctgtggctgt 1261 cagtatttgg aggaaaacca cttcatccac cgagacattg ctgccagaaa ctgcctcttg 1321 acctgtccag gccctggaag agtggccaag attggagact tcgggatggc ccgagacatc 10 1381 tacagggcga gctactatag aaagggaggc tgtgccatgc tgccagttaa gtggatgccc 1441 ccaqaggcct tcatggaagg aatattcact tctaaaacag acacatggtc ctttggagtg 1501 ctgctatggg aaatcttttc tcttggatat atgccatacc ccagcaaaag caaccaggaa 1561 gttctggagt ttgtcaccag tggaggccgg atggacccac ccaagaactg ccctgggcct 1621 gtataccgga taatgactca gtgctggcaa catcagcctg aagacaggcc caactttgcc 15 1681 atcattttgg agaggattga atactgcacc caggacccgg atgtaatcaa caccgctttg 1741 ccgatagaat atggtccact tgtggaagag gaagagaaag tgcctgtgag gcccaaggac 1801 cctgaggggg ttcctcctct cctggtctct caacaggcaa aacgggagga ggagcgcagc 1861 ccagctgccc caccacctct gcctaccacc tcctctggca aggctgcaaa gaaacccaca 1921 gctgcagagg tctctgttcg agtccctaga gggccggccg tggaaggggg acacgtgaat 20 1981 atggcattct ctcagtccaa ccctccttcg gagttgcaca aggtccacgg atccagaaac 2041 aagcccacca gcttgtggaa cccaacgtac ggctcctggt ttacagagaa acccaccaaa 2101 aagaataatc ctatagcaaa gaaggagcca cacgacaggg gtaacctggg gctggaggga 2161 agotgtactg teccaectaa egttgeaact gggagaette egggggeete actgeteeta 2221 gagecetett egetgaetge caatatgaag gaggtaeete tgtteagget aegteaette 25 2281 cettqtqqqa atqtcaatta cqqctaccaq caacaqqqct tqcccttaqa aqccqctact 2341 gccctggag ctggtcatta cgaggatacc attctgaaaa gcaagaatag catgaaccag 2401 cctgggcct ga

### TFGXL-ALK Protein Sequence (AAM17922.1; GI:20269390) \*

1 mngqldlsgk liikaqlged irripihned itydelvlmm qrvfrgklls ndevtikykd 30 61 edgdlitifd ssdlsfaiqc srilkltlfv ngqprpless qvkylrreli elrnkvnrll 121 dsleppgepg pstnipendt vdgreeksas dssgkgstqv maasmsafdp lkngdeinkn 181 vmsafqltdd qvsqppsapa edrsqtpdsi assssaahpp qvqpqqppyt qaqtqaqqie 241 vyrrkhqelq amqmelqspe yklsklrtst imtdynpnyc fagktssisd lkevprknit 35 301 lirglghgaf gevyegqvsg mpndpsplqv avktlpevcs eqdeldflme aliiskfnhq 361 nivrcigvsl qslprfille lmaggdlksf lretrprpsq psslamldll hvardiacgc 421 gyleenhfih rdiaarnoll topgpgrvak igdfgmardi yrasyyrkgg camlpvkwmp 481 peafmegift sktdtwsfgv llweifslgy mpypsksnge vlefvtsggr mdppkncpgp 541 vyrimtqcwq hqpedrpnfa iilerieyct qdpdvintal pieygplvee eekvpvrpkd 40 601 pegvppllvs qqakreeers paappplptt ssgkaakkpt aaevsvrvpr gpavegghvn 661 mafsqsnpps elhkvhqsrn kptslwnpty gswftekptk knnpiakkep hdrqnlqleq 721 sctvppnvat grlpgaslll epssltanmk evplfrlrhf pcgnvnygyg ggglpleaat 781 apgaghyedt ilksknsmng pgp

#### 45 TFGL-ALK Nucleic Acid Sequence (AF143407.1; GI:6739534)

1 cctccgcaag ccgtctttct ctagagttgt atatatagaa catcctggag tccaccatga 61 acggacagtt ggatctaagt gggaagctaa tcatcaaagc tcaacttggg gaggatattc 121 ggcgaattcc tattcataat gaagatatta cttatgatga attagtgcta atgatgcaac 181 gagttttcag aggaaaactt ctgagtaatg atgaagtaac aataaagtat aaagatgaag 50 241 atggagatet tataacaatt tttgatagtt etgaeettte etttgeaatt eagtgeagta 301 ggatactgaa actgacatta tttgttaatg gccagccaag accccttgaa tcaagtcagg 361 tgaaatatct ccgtcgagaa ctgatagaac ttcgaaataa agtgaatcgt ttattggata 421 gcttggaacc acctggagaa ccaggacctt ccaccaatat tcctgaaaat gatactgtgg 481 atggtaggga agaaaagtct gcttctgatt cttctggaaa acagtctact caggttatgg 55 541 caqcaaqtat qtctqctttt qatcctttaa aaaaccaaqa tqaaatcaat aaaaatqtta 601 tqtcaqcqtt tqqcttaaca qatqatcaqq tttcaqtqta ccqccqqaaq caccaqqaqc 661 tqcaaqccat qcaqatqqaq ctqcaqaqcc ctqaqtacaa qctqaqcaaq ctccqcacct 721 cgaccatcat gaccgactac aaccccaact actgctttgc tggcaagacc tectecatca 781 gtgacctgaa ggaggtgccg cggaaaaaca tcaccctcat tcggggtctg ggccatggcg 60 841 cctttgggga ggtgtatgaa ggccaggtgt ccggaatgcc caacgaccca agcccctgc

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901 aagtggctgt gaagacgctg cctgaagtgt gctctgaaca ggacgaactg gatttcctca
      961 tggaageest gateateage aaatteaace accagaacat tgttegetge attggggtga
      1021 geotgeaate cetgeecegg tteatectge tggageteat ggeggggga gaceteaagt
      1081 cettectecq agagaceeqe ecteqeeeqa qeeaqeeete eteeetqqee atqetqqace
      1141 ttctqcacqt qqctcqqqac attqcctqtq qctqtcaqta tttqqaqqaa aaccacttca
      1201 tocaccada cattactacc agaaactacc tottaaccta tocacquect agaaqaataa
      1261 ccaagattgg agacttcggg atggcccgag acatctacag ggcgagctac tatagaaagg
      1321 gaggetgtge catgetgeca gttaagtgga tgeececaga ggeetteatg gaaggaatat
      1381 tcacttctaa aacagacaca tggtcctttg gagtgctgct atgggaaatc ttttctcttg
10
      1441 gatatatgcc ataccccagc aaaagcaacc aggaagttct ggagtttgtc accagtggag
      1501 gccggatgga cccacccaag aactgccctg ggcctgtata ccggataatg actcagtgct
      1561 ggcaacatca gcctgaagac aggcccaact ttgccatcat tttggagagg attgaatact
      1621 gcacccagga cccggatgta atcaacaccg ctttgccgat agaatatggt ccacttgtgg
      1681 aagaggaaga gaaagtgcct gtgaggccca aggaccctga gggggttcct cctctcctgg
15
      1741 teteteaaca ggcaaaacgg gaggaggage geageceage tgeeceacea cetetgeeta
      1801 ccacctcctc tggcaagget gcaaagaaac ccacagctgc agaggtctct gttcgagtcc
      1861 ctagagggcc ggccgtggaa gggggacacg tgaatatggc attctctcag tccaaccctc
      1921 cttcggagtt gcacaaggtc cacggatcca gaaacaagcc caccagcttg tggaacccaa
      1981 cgtacggctc ctggtttaca gagaaaccca ccaaaaagaa taatcctata gcaaagaagg
20
      2041 agccacacga caggggtaac ctggggctgg agggaagctg tactgtccca cctaacgttg
      2101 caactgggag acttccgggg gcctcactgc tcctagagcc ctcttcgctg actgccaata
      2161 tgaaggaggt acctctgttc aggctacgtc acttcccttg tgggaatgtc aattacggct
      2221 accagcaaca gggcttgccc ttagaagccg ctactgcccc tggagctggt cattacgagg
      2281 ataccattct gaaaagcaag aatagcatga accagcctgg gccctgagct cggtcgcaca
25
      2341 ctcacttctc ttccttggga tccctaagac cgtggaggag agagaggcaa tggctccttc
      2401 acaaaccaga gaccaaatgt cacgttttgt tttgtgccaa cctattttga agtaccacca
      2461 aaaaagctgt attttgaaaa tgctttagaa aggttttgag catgggttca tcctattctt
      2521 tcgaaagaag aaaatatcat aaaaatgagt gataaataca aggcccagat gtggttgcat
      2581 aaggttttta tgcatgtttg ttgtatactt ccttatgctt cttttaaatt gtgtgtgctc
30
      2641 tgcttcaatg tagtcagaat tagctgcttc tatgtttcat agttggggtc atagatgttt
      2701 ccttgccttg ttgatgtgga catgagccat ttgaggggag agggaacgga aataaaggag
      2761 ttatttgtaa tgactaaaa
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#### TFGL-ALK Protein Sequence (AAF27292.1; GI:6739535) \*

35 1 mngqldlsgk liikaqlged irripihned itydelvlmm grvfrgklls ndevtikykd 61 edgdlitifd ssdlsfaigc srilkltlfv ngqprpless qvkylrreli elrnkvnrll 121 dsleppgepg pstnipendt vdgreeksas dssgkgstqv maasmsafdp lkngdeinkn 181 vmsafgltdd qvsvyrrkhq elqamqmelq speyklsklr tstimtdynp nycfagktss 241 isdlkevprk nitlirglgh gafgevyegg vsgmpndpsp lqvavktlpe vcseqdeldf 40 301 lmealiiskf nhqnivrcig vslqslprfi llelmaggdl ksflretrpr psqpsslaml 361 dllhvardia cgcqyleenh fihrdiaarn clltcpgpgr vakigdfgma rdiyrasyyr 421 kgqcamlpvk wmppeafmeg iftsktdtws fgvllweifs lgympypsks ngevlefvts 481 ggrmdppknc pgpvyrimtg cwqhqpedrp nfaiilerie yctqdpdvin talpieygpl 541 veeeekvpvr pkdpeqvppl lvsqqakree erspaapppl pttssqkaak kptaaevsvr 45 601 vprgpavegg hvnmafsqsn ppselhkvhg srnkptslwn ptygswftek ptkknnpiak 661 kephdrgnlg legsctvppn vatgrlpgas lllepsslta nmkevplfrl rhfpcgnvny 721 gyqqqglple aatapgaghy edtilkskns mnqpgp

#### TFGS-ALK Nucleic Acid Sequence (AF125093.1; GI:7229260)

1 ceteegeaag eegtetteet etagagttgt atatatagaa eateetggag teeaceatga 61 aeggacagtt ggatetaagt gggaagetaa teateaaage teaacttggg gaggatatte 121 ggegaattee tatteataat gaagatatta ettatgatga attagtgeta atgatgeaac 181 gagttteeg aggaaaactt etgagtaatg atgaagtaac aataaagtat aaagatgaag 241 atggagatet tataacaatt titgatagt etgacettee ettigeaatt eagtgeagta 301 ggataetgaa aetgacatta titgatagt geeageeaag acceettgaa teaagteagg 361 tgaaatatet eegtegagaa etgatagaac tiegaaataa agtgaategt titattggata 421 gettggaace acetggagaa eeaggacett eeaceaatat teetgaaaat gtgtaeegee 481 ggaageacea ggagetgeaa geeatgeaga tggagetgea gageeetgag tacaagetga 541 geaageteeg eacetegaee ateatgaeg actacaacee eacetaetge titgetggea 601 agaeeteete eateagtgae etgaaggagg tgeegeggaa aaacateace etcatteggg

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661 gtctgggcca tggcgccttt ggggaggtgt atgaaggcca ggtgtccgga atgcccaacg
      721 acccaagece cetgeaagtg getgtgaaga egetgeetga agtgtgetet gaacaggaeg
      781 aactggattt cctcatggaa gccctgatca tcagcaaatt caaccaccag aacattgttc
      841 gctgcattgg ggtgagcctg caatccctgc cccggttcat cctgctggag ctcatggcgg
      901 ggggagacct caagteette eteegagaga ecceectee ecceagecag eccteetee
      961 tggccatgct ggaccttctg cacgtggctc gggacattgc ctgtggctgt cagtatttgg
     1021 aggaaaacca cttcatccac cgagacattg ctgccagaaa ctgcctcttg acctgtccag
     1081 gccctggaag agtggccaag attggagact tcgggatggc ccgagacatc tacagggcga
     1141 gctactatag aaagggaggc tgtgccatgc tgccagttaa gtggatgccc ccagaggcct
10
     1201 tcatggaagg aatattcact tctaaaacag acacatggtc ctttggagtg ctgctatggg
     1261 aaatetttte tettggatat atgecatace eeageaaaag caaccaggaa gttetggagt
     1321 ttgtcaccag tggaggccgg atggacccac ccaagaactg ccctgggcct gtataccgga
     1381 taatgactca gtgctggcaa catcagcctg aagacaggcc caactttgcc atcattttgg
     1441 agaggattga atactgcacc caggacccgg atgtaatcaa caccgctttg ccgatagaat
15
     1501 atggtccact tgtggaagag gaagagaaag tgcctgtgag gcccaaggac cctgaggggg
     1561 ttcctcctct cctggtctct caacaggcaa aacgggagga ggagcgcagc ccagctgccc
     1621 caccacctct gcctaccacc tcctctggca aggctgcaaa gaaacccaca gctgcagagg
     1681 tetetgtteg agteectaga gggeeggeeg tggaaggggg acaegtgaat atggeattet
     1741 ctcagtccaa ccctccttcg gagttgcaca aggtccacgg atccagaaac aagcccacca
20
     1801 gcttgtggaa cccaacgtac ggctcctggt ttacagagaa acccaccaaa aagaataatc
     1861 ctatagcaaa gaaggagcca cacgacaggg gtaacctggg gctggaggga agctgtactg
     1921 teccacetaa egttgeaaet gggagaette egggggeete aetgeteeta gageeetett
     1981 egetgaetge caatatgaag gaggtaeete tgtteagget aegteaette eettgtggga
     2041 atgtcaatta cggctaccag caacagggct tgcccttaga agccgctact gcccctggag
25
     2101 ctggtcatta cgaggatacc attctgaaaa gcaagaatag catgaaccag cctgggccct
     2161 gageteggte geacacteae ttetetteet tgggateeet aagacegtgg aggagagaga
      2281 tttgaagtac caccaaaaaa gctgtatttt gaaaatgctt tagaaaggtt ttgagcatgg
     2341 gttcatccta ttctttcgaa agaagaaaat atcataaaaa tgagtgataa atacaaggcc
30
     2401 cagatgtggt tgcataaggt ttttatgcat gtttgttgta tacttcctta tgcttctttt
     2461 aaattgtgtg tgctctgctt caatgtagtc agaattagct gcttctatgt ttcatagttg
      2521 gggtcataga tgtttccttg ccttgttgat gtggacatga gccatttgag gggagaggga
     2581 acggaaataa aggagttatt tgtaatgact aaaa
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### 35 TFGS-ALK Protein Sequence (AAF42734.1; GI:7229261) \*

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1 mngqldlsgk liikaqlged irripihned itydelvlmm qrvfrgklls ndevtikykd 61 edgdlitifd ssdlsfaiqc srilkltlfv ngqprpless qvkylrreli elrnkvnrll 121 dsleppgepg pstnipenvy rrkhqelqam qmelqspeyk lsklrtstim tdynpnycfa 181 gktssisdlk evprknitli rglghgafge vyegqvsgmp ndpsplqvav ktlpevcseq 241 deldflmeal iiskfnhqni vrcigvslqs lprfillelm aggdlksflr etrprpsqps 301 slamldlhv ardiacgcqy leenhfihrd iaarnclltc pgpgrvakig dfgmardiyr 361 asyyrkggca mlpvkwmppe afmegiftsk tdtwsfgvll weifslgymp ypsksnqevl 421 efvtsggrmd ppkncpgpvy rimtqcwqhq pedrpnfaii lerieyctqd pdvintalpi 481 eygplveeee kvpvrpkdpe gvppllvsqq akreeerspa appplpttss gkaakkptaa 541 evsvrvprgp avegghvnma fsqsnppsel hkvhgsrnkp tslwnptygs wftekptkkn 601 npiakkephd rgnlglegsc tvppnvatgr lpgaslllep ssltanmkev plfrlrhfpc 661 gnvnygyqqq glpleaatap gaghyedtil ksknsmnqpg p

ATIC-ALK Sequence (inv(2)(p23;q35) chromosomal translocation)\*

CLTC-ALK Sequence (t(2;17)(p23;q23) chromosomal translocation)\*

#### MSN-ALK Nucleic Acid Sequence (AF295356.1; GI:14625823)

1 aactccgctg cctttgccgc caccatgccc aaaacgatca gtgtgcgtgt gaccaccatg
61 gatgcagagc tggagtttgc catccagccc aacaccaccg ggaagcagct atttgaccag
121 gtggtgaaaa ctattggctt gagggaagtt tggttctttg gtctgcagta ccaggacact
181 aaaggtttct ccacctggct gaaactcaat aagaaggtga ctgcccagga tgtgcggaag
241 gaaagccccc tgctctttaa gttccgtgcc aagttctacc ctgaggatgt gtccgaggaa
301 ttgattcagg acatcactca gcgcctgttc tttctgcaag tgaaagaggg cattctcaat

361 gatgatattt actgecegee tgagaceget gtgetgetgg cetegtatge tgtecagtet 421 aagtatggcg acttcaataa ggaagtgcat aagtctggct acctggccgg agacaagttg 481 ctcccqcaqa qaqtcctqqa acaqcacaaa ctcaacaaqq accaqtqqqa qqaqcqqatc 541 caggtgtggc atgaggaaca ccgtggcatg ctcagggagg atgctgtcct ggaatatctg 601 aagattgctc aagatctgga gatgtatggt gtgaactact tcagcatcaa gaacaagaaa 661 ggctcagagc tgtggctggg ggtggatgcc ctgggtctca acatctatga gcagaatgac 721 agactaactc ccaagatagg cttcccctgg agtgaaatca ggaacatctc tttcaatgat 781 aagaaatttg tcatcaagcc cattgacaaa aaagccccgg acttcgtctt ctatgctccc 841 cggctgcgga ttaacaagcg gatcttggcc ttgtgcatgg ggaaccatga actatacatg 10 901 cgccgtcgca agcctgatac cattgaggtg cagcagatga aggcacaggc ccgggaggag 961 aagcaccaga agcagatgga gcgtgctatg ctggaaaatg agaagaagaa gcgtgaaatg 1021 gcaqaqaagg agaaagagaa gattgaacgg gagaaggagg agctgatgga gaggctgaag 1081 cagategagg aacagactaa gaaggeteag caagaactgg aagaacagae eegtaggget 1141 ctggaacttg agcaggaacg gaagcgtgcc cagagcgagg ctgaaaagct ggccaaggag 15 1201 cgtcaagaag ctgaagaggc caaggaggcc ttgctgcagg cctcccggga ccagaaaaag 1261 actcaggaac agctggcctt ggaaatggca gagctgacag ctcgaatctc ccagctggag 1321 atggcccgac agaagaagga gagtgaggct gtggagtggc agcagaagca ggagctgcaa 1381 gccatgcaga tggagctgca gagccctgag tacaagctga gcaagctccg cacctcgacc 1441 atcatgaccg actacaaccc caactactgc tttgctggca agacctcctc catcagtgac 20 1501 ctgaaggagg tgccgcggaa aaacatcacc ctcattcggg gtctgggcca tggcgccttt 1561 ggggaggtgt atgaaggcca ggtgtccgga atgcccaacg acccaag

#### MSN-ALK Protein Sequence (AAK71522.1; GI:14625824)\*

1 mpktisvrvt tmdaelefai qpnttgkqlf dqvvktiglr evwffglqyq dtkgfstwlk
25 61 lnkkvtaqdv rkespllfkf rakfypedvs eeliqditqr lfflqvkegi lnddiycppe
121 tavllasyav qskygdfnke vhksgylagd kllpqrvleq hklnkdqwee riqvwheehr
181 gmlredavle ylkiaqdlem ygvnyfsikn kkgselwlgv dalglniyeq ndrltpkigf
241 pwseirnisf ndkkfvikpi dkkapdfvfy aprlrinkri lalcmgnhel ymrrrkpdti
301 evqqmkaqar eekhqkqmer amlenekkkr emaekekeki erekeelmer lkqieeqtkk
30 361 aqqeleeqtr raleleqerk raqseaekla kerqeaeeak eallqasrdq kktqeqlale
421 maeltarisq lemarqkkes eavewqqkqe lqamqmelqs peyklsklrt stimtdynpn
481 ycfagktssi sdlkevprkn itlirglqhq afqevyeqqv sqmpndp

# TPM4-ALK Minor Variant Nucleic Acid Sequence (AF362887.1; GI:14010353)

1 cgagaagttg agggagaaag gcgggcccgg gaacaggctg aggctgaggt ggcctccttg
61 aaccgtagga tccagctggt tgaagaagag ctggaccgtg ctcaggagcg tgcggaggtg
121 tctgaactaa aatgtggtga cctggaagaa gaactcaaga atgttactaa caatctgaaa
181 tctctggagg ctgcatctga aaagtattct gaaaaggagg acaaatatga agaagaaatt
241 aaacttctgt ctgacaaact gaaagaggct gagacccgtg ctgaatttgc agagagaacg
301 gttgcaaaac tggaaaagac aattgatgac ctggaagtgt acctccggaa gcaccaagag
361 ctgcaagcca tgcagatgga gctgcagagc cctgagtaca agctgagcaa gctccgcacc
421 ctcgac

# 45 TPM4-ALK Minor Variant Protein Sequence (AAK51964.1; GI:14010354)

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1 revegerrar eqaeaevasl nrriqlveee ldraqeraev selkcgdlee elknvtnnlk 61 sleaasekys ekedkyeeei kllsdklkea etraefaert vaklektidd levylrkhqe 121 lqamqmelqs peyklsklrt ld

# TPM4-ALK Major Variant Nucleic Acid Sequence (AF362886.1; GI:14010351)

1 ctggcagagt cccgttgccg agagatggat gagcagatta gactgatgga ccagaacctg 61 aagtgtctga gtgctgctga agaaaagtac tctcaaaaag aagataaata tgaggaagaa 121 atcaagattc ttactgataa actcaaggag gcagagaccc gtgctgaatt tgcagagaga 181 acggttgcaa aactggaaaa gacaattgat gacctggaag tgtaccgccg gaagcaccag 241 gagctgcaag ccatgcagat ggagctgcag agccctgagt acaagctgag caagctccgc 301 acctcgac

#### TPM4-ALK Major Variant Protein Sequence (AAK51963.1; GI:14010352)

1 laesrcremd eqirlmdqnl kclsaaeeky sqkedkyeee ikiltdklke aetraefaer 61 tvaklektid dlevyrrkhq elqamqmelq speyklsklr tst

MYH9-ALK Sequence (t(2;22)(p23;q11.2) chromosomal
translocation)\*

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RANBP2-ALK Sequence (t(2;2)(p23;q13) or inv(2)(p23;q11-13) chromosomal translocations)\*

ALO17-ALK Sequence (t(2;17) (p23;q25) chromosomal translocation)\*

CARS-ALK Sequence (t(2;11;2)(p23;p15;q31) chromosomal
translocation)\*

\* With the exception of MSN-ALK and MYH-9, all of the fusion proteins contain the final 563 amino acids of ALK.

MSN-ALK and MYH9 contain the final 567 and 566 amino acids, respectively.

"ALK mutations" generally refer to alterations in a nucleic acid and/or amino acid sequence relative to a reference anaplastic lymphoma kinase sequence. In some embodiments, however, "ALK mutations" can refer to specific anaplastic lymphomas kinase mutations predictive of response to treatment with ALK inhibiting agents (e.g., PF-02341066 and/or PDD). For example, mutations of the cysteine amino acid at position 1156 (C1156) and/or the leucine amino acid at position 1196 (L1196) of wild type ALK protein (NP 004295) to a different amino acid are described herein to confer resistance to ALK inhibiting agents. In one embodiment, the C1156 position comprises a tyrosine amino acid and/or the L1196 position comprises a methionine amino acid. A skilled artisan will also recognize that amino acid positions corresponding to the "C1156" and "L1196" mutations of wild type ALK protein will have different numbers relative to different reference sequences (e.g., ALK homologs, ALK fusion proteins, etc.) without affecting the predictive value of response to treatment with ALK inhibiting agents (e.g., PF-02341066 and/or PDD). A skilled artisan will further recognize that there is a known and definite correspondence between the amino acid sequence of a particular protein and the nucleotide sequences that can code for the protein, as defined by the genetic code (shown below). Likewise, there is a known and definite correspondence between the nucleotide sequence of a particular nucleic acid and the amino acid sequence encoded by that nucleic acid, as defined by the genetic code.

	GENETIC CODE						
	Alanine (Ala, A)	GCA,	GCC,	GCG,	GCT		
	Arginine (Arg, R)	AGA,	ACG,	CGA,	CGC,	CGG,	CGT
5	Asparagine (Asn, N)	AAC,	AAT				
	Aspartic acid (Asp, D)	GAC,	GAT				
	Cysteine (Cys, C)	TGC,	TGT				
	Glutamic acid (Glu, E)	GAA,	GAG				
	Glutamine (Gln, Q)	CAA,	CAG				
10	Glycine (Gly, G)	GGA,	GGC,	GGG,	GGT		
	Histidine (His, H)	CAC,	CAT				
	Isoleucine (Ile, I)	ATA,	ATC,	ATT			
	Leucine (Leu, L)	CTA,	CTC,	CTG,	CTT,	TTA,	TTG
	Lysine (Lys, K)	AAA,	AAG				
15	Methionine (Met, M)	ATG					
	Phenylalanine (Phe, F)	TTC,	TTT				
	Proline (Pro, P)	CCA,	CCC,	CCG,	CCT		
	Serine (Ser, S)	AGC,	AGT,	TCA,	TCC,	TCG,	TCT
	Threonine (Thr, T)	ACA,	ACC,	ACG,	ACT		
20	Tryptophan (Trp, W)	TGG					
	Tyrosine (Tyr, Y)	TAC,	TAT				
	Valine (Val, V)	GTA,	GTC,	GTG,	GTT		
	Termination signal (end)	TAA,	TAG,	TGA			

An important and well known feature of the genetic code is its redundancy, whereby, for most of the amino acids used to make proteins, more than one coding nucleotide triplet may be employed (for example, illustrated above). Therefore, a number of different nucleotide sequences may code for a given amino acid sequence. Such nucleotide sequences are considered functionally equivalent since they result in the production of the same amino acid sequence in all organisms (although certain organisms may translate some sequences more efficiently than they do others). Moreover, occasionally, a methylated variant of a purine or pyrimidine may be found in a given nucleotide sequence. Such methylations do not affect the coding relationship between the trinucleotide codon and the corresponding amino acid. In addition, a skilled artisan will

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understand how to mutate nucleotides of a specific codon so as to specifically alter an encoded amino acid based on the relevant codon chart. For example, the codon for Cys-1156 is "TGC" and that for Tyr may be "TAT" or "TAC". Thus, a single nucleotide G-to-A substitution at position 2 of the codon will encode tyrosine rather than cysteine. A skilled artisan can perform similar manipulations to design other mutations.

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"Binding compound" shall refer to a binding composition, such as a small molecule, an antibody, a peptide, a peptide or non-peptide ligand, a protein, an oligonucleotide, an oligonucleotide analog, such as a peptide nucleic acid, a lectin, or any other molecular entity that is capable of specifically binding to a target protein or molecule or stable complex formation with an analyte of interest, such as a complex of proteins.

"Binding moiety" means any molecule to which molecular tags can be directly or indirectly attached that is capable of specifically binding to an analyte. Binding moieties include, but are not limited to, antibodies, antibody binding compositions, peptides, proteins, nucleic acids and organic molecules having a molecular weight of up to about 1000 daltons and containing atoms selected from the group consisting of hydrogen, carbon, oxygen, nitrogen, sulfur and phosphorus.

A "biomarker" or "marker" is a gene, mRNA, or protein which may be altered, wherein said alteration is associated with cancer. The alteration may be in amount, structure, and/or activity in a cancer tissue or cancer cell, as compared to its amount, structure, and/or activity, in a normal or healthy tissue or cell (*e.g.*, a control), and is associated with a disease state, such as cancer. For example, a marker of the present invention which is associated with cancer or predictive of responsiveness to anti-cancer therapeutics may have an altered nucleotide sequence, amino acid sequence, chromosomal translocation, intra-chromosomal inversion, copy number, expression level, protein level, protein activity, or methylation status, in a cancer tissue or cancer cell as compared to a normal, healthy tissue or cell. Furthermore, a "marker" includes a molecule whose structure is altered, *e.g.*, mutated (contains an mutation), *e.g.*, differs from the wild type sequence at the nucleotide or amino acid level, *e.g.*, by substitution, deletion, or insertion, when present in a tissue or cell associated with a disease state, such as cancer.

The terms "cancer" or "tumor" refer to the presence of cells possessing characteristics typical of cancer-causing cells, such as uncontrolled proliferation, immortality, metastatic potential, rapid growth and proliferation rate, and certain

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characteristic morphological features. Cancer cells are often in the form of a tumor, but such cells may exist alone within an animal, or may be a non-tumorigenic cancer cell, such as a leukemia cell. As used herein, the term "cancer" includes premalignant as well as malignant cancers. Cancers include, but are not limited to, B cell cancer, e.g., multiple myeloma, Waldenström's macroglobulinemia, the heavy chain diseases, such as, for example, alpha chain disease, gamma chain disease, mu chain disease, benign monoclonal gammopathy, immunocytic amyloidosis, melanomas, breast cancer, lung cancer (such as non-small cell lung carcinoma or NSCLC), bronchus cancer, colorectal cancer, prostate cancer, pancreatic cancer, stomach cancer, ovarian cancer, urinary bladder cancer, brain or central nervous system cancer, peripheral nervous system cancer, esophageal cancer, cervical cancer, uterine or endometrial cancer, cancer of the oral cavity or pharynx, liver cancer, kidney cancer, testicular cancer, biliary tract cancer, small bowel or appendix cancer, salivary gland cancer, thyroid gland cancer, adrenal gland cancer, osteosarcoma, chondrosarcoma, cancer of hematological tissues, adenocarcinomas, inflammatory myofibroblastic tumors, gastrointestinal stromal tumor (GIST), colon cancer, multiple myeloma (MM), myelodysplastic syndrome (MDS), myeloproliferative disorder (MPD), acute lymphocytic leukemia (ALL), acute myelocytic leukemia (AML), chronic myelocytic leukemia (CML), chronic lymphocytic leukemia (CLL), polycythemia Vera, Hodgkin lymphoma, non-Hodgkin lymphoma (NHL), soft-tissue sarcoma, fibrosarcoma, myxosarcoma, liposarcoma, osteogenic sarcoma, chordoma, angiosarcoma, endotheliosarcoma, lymphangiosarcoma, lymphangioendotheliosarcoma, synovioma, mesothelioma, Ewing's tumor, leiomyosarcoma, rhabdomyosarcoma, squamous cell carcinoma, basal cell carcinoma, adenocarcinoma, sweat gland carcinoma, sebaceous gland carcinoma, papillary carcinoma, papillary adenocarcinomas, stadenocarcinoma, medullary carcinoma, bronchogenic carcinoma, renal cell carcinoma, hepatoma, bile duct carcinoma, choriocarcinoma, seminoma, embryonal carcinoma, Wilms' tumor, bladder carcinoma, epithelial carcinoma, glioma, astrocytoma, medulloblastoma, craniopharyngioma, ependymoma, pinealoma, hemangioblastoma, acoustic neuroma, oligodendroglioma, meningioma, neuroblastoma, retinoblastoma, follicular lymphoma, diffuse large B-cell lymphoma, mantle cell lymphoma, hepatocellular carcinoma, thyroid cancer, gastric cancer, head and neck cancer, small cell cancers, essential thrombocythemia, agnogenic myeloid metaplasia, hypereosinophilic syndrome, systemic mastocytosis, familiar

hypereosinophilia, chronic eosinophilic leukemia, neuroendocrine cancers, carcinoid tumors, and the like.

"Chemotherapeutic agent" means a chemical substance, such as a cytotoxic or cytostatic agent, that is used to treat a condition, *e.g.*, cancer.

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"Complementary" refers to the broad concept of sequence complementarity between regions of two nucleic acid strands or between two regions of the same nucleic acid strand. It is known that an adenine residue of a first nucleic acid region is capable of forming specific hydrogen bonds ("base pairing") with a residue of a second nucleic acid region which is antiparallel to the first region if the residue is thymine or uracil. Similarly, it is known that a cytosine residue of a first nucleic acid strand is capable of base pairing with a residue of a second nucleic acid strand which is antiparallel to the first strand if the residue is guanine. A first region of a nucleic acid is complementary to a second region of the same or a different nucleic acid if, when the two regions are arranged in an antiparallel fashion, at least one nucleotide residue of the first region is capable of base pairing with a residue of the second region. In certain embodiments, the first region comprises a first portion and the second region comprises a second portion, whereby, when the first and second portions are arranged in an antiparallel fashion, at least about 50%, at least about 75%, at least about 90%, or at least about 95% of the nucleotide residues of the first portion are capable of base pairing with nucleotide residues in the second portion. In other embodiments, all nucleotide residues of the first portion are capable of base pairing with nucleotide residues in the second portion.

The "copy number of a gene" or the "copy number of a marker" refers to the number of DNA sequences in a cell encoding a particular gene product. Generally, for a given gene, a mammal has two copies of each gene. The copy number can be increased, however, by gene amplification or duplication, or reduced by deletion.

A marker is "fixed" to a substrate if it is covalently or non-covalently associated with the substrate such that the substrate can be rinsed with a fluid (*e.g.*, standard saline citrate, pH 7.4) without a substantial fraction of the marker dissociating from the substrate.

"Hazard ratio", as used herein, refers to a statistical method used to generate an estimate for relative risk. "Hazard ratio" is the ratio between the predicted hazard of one group versus another group. For example, patient populations treated with an ALK inhibiting agent versus without an ALK inhibiting agent can be assessed for whether or not

the ALK inhibiting agent is effective in increasing the time to distant recurrence of disease, particularly with regard to ALK mutation status. For example, treating subjects harboring ALK mutations in cancerous tissue, as described herein, results in increased therapeutic benefit from ALK inhibiting agents relative to subjects not having said ALK mutations in cancerous tissue.

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"ALK inhibiting agent" or "ALK inhibitor," as used herein, refers to a compound that can inhibit the biological activity of ALK. Biological activities can also include patient response as set forth in this application. Exemplary ALK inhibiting agents include, but are not limited to, PF-02341066, PDD, 2-methyl-11-(2-methylpropyl)-4-oxo-4,5,6,11,12,13-hexahydro-2*H*-indazolo[5,4-*a*]pyrrolo[3,4-*c*]carbazol-8-yl [4-(dimethylamino) benzyl]carbamate, (1*S*,2*S*,3*R*,4*R*)-3-({5-chloro-2-[(1-ethyl-2,3,4,5-tetrahydro-6-methoxy-2-oxo-1*H*-1-benzazepin-7-yl)amino]-4-pyrimidinyl}amino)bicyclo[2.2.1]hept-5-ene-2-carboxamide, and NVP-TAE684 (see, for example, *PNAS* 104:270-275, 2007; Choi, Y.L. *et al.* (2008) *Cancer Res.* 68:4971-2976; and *Biochemistry* 48:3600-3609, 2009, which are hereby incorporated by reference).

The terms "homology" or "identity," as used interchangeably herein, refer to sequence similarity between two polynucleotide sequences or between two polypeptide sequences, with identity being a more strict comparison. The phrases "percent identity or homology" and "% identity or homology" refer to the percentage of sequence similarity found in a comparison of two or more polynucleotide sequences or two or more polypeptide sequences. "Sequence similarity" refers to the percent similarity in base pair sequence (as determined by any suitable method) between two or more polynucleotide sequences. Two or more sequences can be anywhere from 0-100% similar, or any integer value there between. Identity or similarity can be determined by comparing a position in each sequence that may be aligned for purposes of comparison. When a position in the compared sequence is occupied by the same nucleotide base or amino acid, then the molecules are identical at that position. A degree of similarity or identity between polynucleotide sequences is a function of the number of identical or matching nucleotides at positions shared by the polynucleotide sequences. A degree of identity of polypeptide sequences is a function of the number of identical amino acids at positions shared by the polypeptide sequences. A degree of homology or similarity of polypeptide sequences is a function of the number of amino acids at positions shared by the polypeptide sequences. The term "substantial homology,"

as used herein, refers to homology of at least 50%, at least 60%, at least 65%, at least 70%, at least 75%, at least 80%, at least 85%, at least 90%, at least 95% or more.

Cancer is "inhibited" if at least one symptom of the cancer is alleviated, terminated, slowed, or prevented. As used herein, cancer is also "inhibited" if recurrence or metastasis of the cancer is reduced, slowed, delayed, or prevented.

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A "marker nucleic acid" or "biomarker nucleic acid" is a nucleic acid (*e.g.*, DNA, mRNA, cDNA) encoded by or corresponding to a marker of the present invention. For example, such marker nucleic acid molecules include DNA (*e.g.*, genomic DNA and cDNA) comprising the entire or a partial sequence of any of the nucleic acid sequences set forth in Table 1 or the complement or hybridizing fragment of such a sequence. The marker nucleic acid molecules also include RNA comprising the entire or a partial sequence of any of the nucleic acid sequences set forth in Table 1 or the complement of such a sequence, wherein all thymidine residues are replaced with uridine residues. A "marker protein" is a protein encoded by or corresponding to a marker of the present invention. A marker protein comprises the entire or a partial sequence of a protein encoded by any of the sequences set forth in Table 1 or a fragment thereof. The terms "protein" and "polypeptide" are used interchangeably herein.

The "normal" copy number of a marker or "normal" level of expression of a marker is the level of expression, copy number of the marker, in a biological sample, *e.g.*, a sample containing sputum, bronchoalveolar lavage, pleural effusion, tissue, whole blood, serum, plasma, buccal scrape, saliva, cerebrospinal fluid, urine, stool, and bone marrow, from a subject, *e.g.*, a human, not afflicted with cancer.

An "overexpression" or "significantly higher level of expression, copy number, and/or activity" of ALK gene mutations and/or gene products (*e.g.*, the markers set forth in Table 1) refers to an expression level, copy number, and/or activity in a test sample that is greater than the standard error of the assay employed to assess expression or copy number, and may be at least two, at least three, at least four, at least five, or at least ten or more times the expression level or copy number of the ALK gene mutations and/or gene products (*e.g.*, the markers set forth in Table 1) in a control sample (*e.g.*, a sample from a healthy subject not afflicted with cancer), or the average expression level or copy number of the ALK gene mutations and/or gene products (*e.g.*, the markers set forth in Table 1) in several control samples.

The term "probe" refers to any molecule which is capable of selectively binding to a specifically intended target molecule, for example a marker of the present invention. Probes can be either synthesized by one skilled in the art, or derived from appropriate biological preparations. For purposes of detection of the target molecule, probes may be specifically designed to be labeled, as described herein. Examples of molecules that can be utilized as probes include, but are not limited to, RNA, DNA, proteins, antibodies, and organic monomers.

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"RECIST" shall mean an acronym that stands for "Response Evaluation Criteria in Solid Tumours" and is a set of published rules that define when cancer patients improve ("respond"), stay the same ("stable") or worsen ("progression") during treatments. Response as defined by RECIST criteria have been published, for example, at Journal of the National Cancer Institute, Vol. 92, No. 3, Feb. 2, 2000 and RECIST criteria may include other similar published definitions and rule sets. One skilled in the art would understand definitions that go with RECIST criteria, as used herein, such as "PR," "CR," "SD" and "PD."

"Responsiveness," to "respond" to treatment, and other forms of this verb, as used herein, refer to the reaction of a subject to treatment with an ALK inhibiting agent. As an example, a subject responds to treatment with an ALK inhibiting agent if growth of a tumor in the subject is retarded about 10%, about 20%, about 30%, about 40%, about 50%, about 60%, about 70%, about 80%, about 90% or more. In another example, a subject responds to treatment with an ALK inhibiting agent if a tumor in the subject shrinks by about 5%, about 10%, about 20%, about 30%, about 40%, about 50% or more as determined by any appropriate measure, *e.g.*, by mass or volume. In another example, a subject responds to treatment with an ALK inhibiting agent if the subject experiences a life expectancy extended by about 5%, about 10%, about 20%, about 30%, about 40%, about 50% or more beyond the life expectancy predicted if no treatment is administered. In another example, a subject responds to treatment with an ALK inhibiting agent if the subject has an increased disease-free survival, overall survival or increased time to progression. Several methods may be used to determine if a patient responds to a treatment including the RECIST criteria, as set forth above.

"Sample," "tissue sample," "patient sample," "patient cell or tissue sample" or "specimen" each refer to a collection of similar cells obtained from a tissue of a subject or patient. The source of the tissue sample may be solid tissue as from a fresh, frozen and/or

preserved organ, tissue sample, biopsy, or aspirate; blood or any blood constituents; bodily fluids such as cerebral spinal fluid, amniotic fluid, peritoneal fluid or interstitial fluid; or cells from any time in gestation or development of the subject. The tissue sample may contain compounds that are not naturally intermixed with the tissue in nature such as preservatives, anticoagulants, buffers, fixatives, nutrients, antibiotics or the like.

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The amount of a marker, *e.g.*, expression or copy number of ALK gene mutations and/or gene products (*e.g.*, the markers set forth in Table 1), in a subject is "significantly" higher or lower than the normal amount of a marker, if the amount of the marker is greater or less, respectively, than the normal level by an amount greater than the standard error of the assay employed to assess amount, or at least two, at least three, at least four, at least five, at least ten or more times that amount. Alternately, the amount of the marker in the subject can be considered "significantly" higher or lower than the normal amount if the amount is at least about two, at least about three, at least about four, or at least about five times, higher or lower, respectively, than the normal amount of the marker.

As used herein, "significant event" shall refer to an event in a patient's disease that is important as determined by one skilled in the art. Examples of significant events include, for example, without limitation, primary diagnosis, death, recurrence, the determination that a patient's disease is metastatic, relapse of a patient's disease or the progression of a patient's disease from any one of the above noted stages to another. A significant event may be any important event used to assess OS, TTP and/or using the RECIST or other response criteria, as determined by one skilled in the art.

As used herein, the terms "subject" and "patient" are used interchangeably. As used herein, the terms "subject" and "subjects" refer to an animal, *e.g.*, a mammal including a non-primate (*e.g.*, a cow, pig, horse, donkey, goat, camel, cat, dog, guinea pig, rat, mouse, sheep) and a primate (*e.g.*, a monkey, such as a cynomolgous monkey, gorilla, chimpanzee and a human).

As used herein, "time course" shall refer to the amount of time between an initial event and a subsequent event. For example, with respect to a patient's cancer, time course may relate to a patient's disease and may be measured by gauging significant events in the course of the disease, wherein the first event may be diagnosis and the subsequent event may be metastasis, for example.

"Time to progression" or "TTP" refers to a time as measured from the start of the treatment to progression or a cancer or censor. Censoring may come from a study end or from a change in treatment. Time to progression can also be represented as a probability as, for example, in a Kaplein-Meier plot where time to progression may represent the probability of being progression free over a particular time, that time being the time between the start of the treatment to progression or censor.

A "transcribed polynucleotide" is a polynucleotide (*e.g.*, an RNA, a cDNA, or an analog of one of an RNA or cDNA) which is complementary to or homologous with all or a portion of a mature RNA made by transcription of a marker of the present invention and normal post-transcriptional processing (*e.g.*, splicing), if any, of the transcript, and reverse transcription of the transcript.

"Treat," "treatment," and other forms of this word refer to the administration of an ALK inhibiting agent to impede growth of a cancer, to cause a cancer to shrink by weight or volume, to extend the expected survival time of the subject and or time to progression of the tumor or the like.

An "underexpression" or "significantly lower level of expression, copy number, and/or activity" of ALK gene mutations and/or gene products (*e.g.*, the markers set forth in Table 1) refers to an expression level or copy number in a test sample that is greater than the standard error of the assay employed to assess expression or copy number, for example, at least twice, at least three, at least four, at least five, or at least ten or more times less than the expression level, copy number, and/or activity of the ALK gene mutations and/or gene products (*e.g.*, the markers set forth in Table 1) in a control sample (*e.g.*, a sample from a healthy subject not afflicted with cancer), or the average expression level, copy number, and/or activity of the ALK gene mutations and/or gene products (*e.g.*, the markers set forth in Table 1) in several control samples.

#### II. Exemplary Methods of the Invention

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The present invention is based, at least in part, on the identification of specific regions of the genome, including, for example, ALK mutations, associated with predicting efficacy of ALK inhibitors in treating cancer. Analysis of ALK gene expression sequences has led to the identification of novel mutations to ALK polypeptides (*e.g.*, biomarkers listed in Table 1, including EML4-ALK polypeptides) that can render the polypeptides at least partially resistant to therapy with ALK inhibitors. Accordingly, the presence and/or

absence of one or more of such biomarkers in various methods described herein is within the scope of the present invention.

In some embodiments, methods of the present invention may be used to monitor the progression of cancer in a subject, wherein if a sample in a subject presents one or more ALK mutations (*e.g.*, EML4-ALK mutations) identified herein during the progression of cancer, *e.g.*, at a first point in time and a subsequent point in time, then the cancer is less likely to respond to ALK inhibitor-mediated treatment and vice versa. In yet another embodiment, between the first point in time and a subsequent point in time, the subject has undergone treatment, *e.g.*, chemotherapy, radiation therapy, surgery, or any other therapeutic approach useful for inhibiting cancer, has completed treatment, or is in remission.

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As described further herein, one or more biomarkers of the present inventions (*e.g.*, ALK mutations, including EML4-ALK mutations) can be specifically identified by the presence in genomic (*e.g.*, germline and/or somatic) sequence when compared to a reference sequence, such as SEQ ID NO:1. For example, the methods described herein can involve detecting biomarkers of the present invention by carrying out a target nucleic acid amplification reaction of a stretch of DNA comprising one or more mutations listed in Table 1 and analyzing the amplified target nucleic acid for the presence of the one or more mutations.

Various techniques for amplifying nucleic acid are known in the art, such as: PCR (Polymerase Chain Reaction), described in U.S. Pat. No. 4,683,195 (incorporated by reference), U.S. Pat. No. 4,683,202 (incorporated by reference) and U.S. Pat. No. 4,800,159 (incorporated by reference), and its RT-PCR alternative (Reverse Transcription PCR), particularly in its one-step format as disclosed in patent EP-B-0.569.272, LCR (Ligase Chain Reaction), as described for example in patent application EP-A-0.201.184, RCR (Repair Chain Reaction), as described for example in international application WO-A-90/01069 (incorporated by reference), 3SR (Self Sustained Sequence Replication), as described for example in patent application WO-A-90/06995 (incorporated by reference), NASBA (Nucleic Acid Sequence-Based Amplification), as described for example instance in EP-B-0.397.269 and U.S. Pat. No. 5,466,586 (incorporated by reference) using double stranded DNA as template, and TMA (Transcription Mediated Amplification), as described for example in U.S. Pat. No. 5,399,491 (incorporated by reference).

Detection of the presence of one or more of the mutations in the amplified product can be performed in various manners that are well known in the art, such as DNA sequencing methodologies like Sanger sequencing and deep sequencing, use of restriction enzymes, allele specific amplification, Peptide Nucleic Acid (PNA)-mediated PCR, detection of conformational differences, like Single Strand Conformation Polymorphism (SSCP) and Denaturing Gradient Gel Electrophoresis (DGGE) assays with detection steps on membranes (dot blot) using labeled oligonucleotide probes, assays with detection steps in microtiter plates, like Reverse Hybridization, Oligonucleotide Ligation Assay (OLA, MLPA), First Nucleotide Change (FNC) technology, Cross-linking technology, Rapid cycle PCR and simultaneous fluorescence analysis (*e.g.* 5'nuclease/Taqman), and PCR followed by mini-sequencing using mass spectrometry or capillary electrophoresis

## III. Exemplary Isolated Nucleic Acid Molecules

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One aspect of the present invention pertains to isolated nucleic acid molecules that correspond to a biomarker of the present invention, including nucleic acids which encode a polypeptide corresponding to a marker of the present invention or a portion of such a polypeptide. The nucleic acid molecules of the present invention include those nucleic acid molecules which reside in ALK or ALK-related genomic (e.g., germline and/or somatic) regions identified herein and/or encode ALK or ALK-related (e.g., EML4-ALK) polypeptides. In some embodiments, the nucleic acid molecules of the present invention comprise, consist essentially of, or consist of the nucleic sequences, or fragments thereof, presented in Table 1. Isolated nucleic acid molecules of the present invention also include nucleic acid molecules sufficient for use as hybridization probes to identify nucleic acid molecules that correspond to a marker of the present invention, including nucleic acid molecules which encode a polypeptide corresponding to a marker of the present invention, and fragments of such nucleic acid molecules, e.g., those suitable for use as PCR primers for the amplification or mutation of nucleic acid molecules. As used herein, the term "nucleic acid molecule" is intended to include DNA molecules (e.g., cDNA or genomic DNA) and RNA molecules (e.g., mRNA) and analogs of the DNA or RNA generated using nucleotide analogs. The nucleic acid molecule can be single-stranded or double-stranded; in certain embodiments the nucleic acid molecule is double-stranded DNA.

An "isolated" nucleic acid molecule is one which is separated from other nucleic acid molecules which are present in the natural source of the nucleic acid molecule. In certain embodiments, an "isolated" nucleic acid molecule is free of sequences (such as

protein-encoding sequences) which naturally flank the nucleic acid (*i.e.*, sequences located at the 5' and 3' ends of the nucleic acid) in the genomic DNA of the organism from which the nucleic acid is derived. For example, in various embodiments, the isolated nucleic acid molecule can contain less than about 5 kB, less than about 4 kB, less than about 3 kB, less than about 2 kB, less than about 1 kB, less than about 0.5 kB or less than about 0.1 kB of nucleotide sequences which naturally flank the nucleic acid molecule in genomic DNA of the cell from which the nucleic acid is derived. Moreover, an "isolated" nucleic acid molecule, such as a cDNA molecule, can be substantially free of other cellular material or culture medium when produced by recombinant techniques, or substantially free of chemical precursors or other chemicals when chemically synthesized.

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The language "substantially free of other cellular material or culture medium" includes preparations of nucleic acid molecule in which the molecule is separated from cellular components of the cells from which it is isolated or recombinantly produced. Thus, nucleic acid molecule that is substantially free of cellular material includes preparations of nucleic acid molecule having less than about 30%, less than about 20%, less than about 10%, or less than about 5% (by dry weight) of other cellular material or culture medium.

A nucleic acid molecule of the present invention, *e.g.*, ALK gene mutations set forth in Table 1), can be isolated using standard molecular biology techniques and the sequence information in the database records described herein. Using all or a portion of such nucleic acid sequences, nucleic acid molecules of the present invention can be isolated using standard hybridization and cloning techniques (*e.g.*, as described in Sambrook *et al.*, ed., *Molecular Cloning: A Laboratory Manual, 2nd ed.*, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, NY, 1989).

A nucleic acid molecule of the present invention can be amplified using cDNA, mRNA, or genomic DNA (*e.g.*, germline and/or somatic genomic DNA) as a template and appropriate oligonucleotide primers according to standard PCR amplification techniques. The nucleic acid molecules so amplified can be cloned into an appropriate vector and characterized by DNA sequence analysis. Furthermore, oligonucleotides corresponding to all or a portion of a nucleic acid molecule of the present invention can be prepared by standard synthetic techniques, *e.g.*, using an automated DNA synthesizer.

In another embodiment, an isolated nucleic acid molecule of the present invention comprises a nucleic acid molecule which has a nucleotide sequence complementary to the

nucleotide sequence of a nucleic acid corresponding to a marker of the present invention or to the nucleotide sequence of a nucleic acid encoding a protein which corresponds to a marker of the present invention. A nucleic acid molecule which is complementary to a given nucleotide sequence is one which is sufficiently complementary to the given nucleotide sequence that it can hybridize to the given nucleotide sequence thereby forming a stable duplex.

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Moreover, a nucleic acid molecule of the present invention can comprise only a portion of a nucleic acid sequence, wherein the full length nucleic acid sequence comprises a marker of the present invention or which encodes a polypeptide corresponding to a marker of the present invention. Such nucleic acid molecules can be used, for example, as a probe or primer. The probe/primer typically is used as one or more substantially purified oligonucleotides. The oligonucleotide typically comprises a region of nucleotide sequence that hybridizes under stringent conditions to at least about 7, at least about 8, at least about 9, at least about 10, at least about 11, at least about 12, at least about 13, at least about 14, at least about 15, at least about 16, at least about 17, at least about 18, at least about 19, at least about 20, at least about 21, at least about 22, at least about 23, at least about 24, at least about 25, at least about 26, at least about 27, at least about 28, at least about 29, at least about 30, at least about 31, at least about 32, at least about 33, at least about 34, at least about 35, at least about 36, at least about 37, at least about 38, at least about 39, at least about 40, at least about 45, at least about 50, at least about 55 at least about 60, at least about 65, at least about 70, at least about 75, at least about 80, at least about 85 kb, at least about 90, at least about 95, at least about 100 or more consecutive nucleotides of a nucleic acid of the present invention.

Probes based on the sequence of a nucleic acid molecule of the present invention can be used to detect transcripts or genomic sequences corresponding to one or more markers of the present invention. The probe comprises a label group attached thereto, *e.g.*, a radioisotope, a fluorescent compound, an enzyme, or an enzyme co-factor. Such probes can be used as part of a diagnostic test kit for identifying cells or tissues which mis-express the protein, such as by measuring levels of a nucleic acid molecule encoding the protein in a sample of cells from a subject, *e.g.*, detecting mRNA levels or determining whether a gene encoding the protein has been mutated or deleted.

The invention further encompasses nucleic acid molecules that are substantially homologous to the ALK gene mutations and/or gene products (*e.g.*, the markers set forth in

Table 1) such that they are at least 60%, at least 65%, at least 70%, at least 75%, at least 80%, at least 85%, at least 86%, at least 87%, at least 88%, at least 89%, at least 90%, at least 91%, at least 92%, at least 93%, at least 94%, at least 95%, at least 96%, at least 97%, at least 98%, at least 99%, at least 99.5% or greater. In other embodiments, the invention further encompasses nucleic acid molecules that are substantially homologous to the ALK gene mutations and/or gene products (*e.g.*, the markers set forth in Table 1) such that they differ by only or at least 1, at least 2, at least 3, at least 4, at least 5, at least 6, at least 7, at least 8, at least 9, at least 10, at least 11, at least 12, at least 13, at least 14, at least 15, at least 16, at least 17, at least 18, at least 19, at least 20, at least 21, at least 22, at least 23, at least 24, at least 25, at least 26, at least 27, at least 28, at least 29, at least 30, at least 31, at least 32, at least 33, at least 34, at least 35, at least 36, at least 37, at least 38, at least 39, at least 40, at least 45, at least 50, at least 55, at least 60, at least 65, at least 70, at least 75, at least 80, at least 85, at least 90, at least 95, at least 100 nucleotides or any range in between.

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The term "single nucleotide polymorphism" (SNP) refers to a polymorphic site occupied by a single nucleotide, which is the site of variation between allelic sequences. The site is usually preceded by and followed by highly conserved sequences of the allele (e.g., sequences that vary in less than 1/100 or 1/1000 members of a population). A SNP usually arises due to substitution of one nucleotide for another at the polymorphic site. SNPs can also arise from a deletion of a nucleotide or an insertion of a nucleotide relative to a reference allele. Typically the polymorphic site is occupied by a base other than the reference base. For example, where the reference allele contains the base "T" (thymidine) at the polymorphic site, the altered allele can contain a "C" (cytidine), "G" (guanine), or "A" (adenine) at the polymorphic site. SNP's may occur in protein-coding nucleic acid sequences, in which case they may give rise to a defective or otherwise variant protein, or genetic disease. Such a SNP may alter the coding sequence of the gene and therefore specify another amino acid (a "missense" SNP) or a SNP may introduce a stop codon (a "nonsense" SNP). When a SNP does not alter the amino acid sequence of a protein, the SNP is called "silent." SNP's may also occur in noncoding regions of the nucleotide sequence. This may result in defective protein expression, e.g., as a result of alternative spicing, or it may have no effect on the function of the protein.

In another embodiment, an isolated nucleic acid molecule of the present invention is at least 7, at least 15, at least 20, at least 25, at least 30, at least 35, at least 40, at least 45, at least 50, at least 55, at least 60, at least 65, at least 70, at least 75, at least 80, at least 85, at

least 90, at least 95, at least 100, at least 125, at least 150, at least 175, at least 200, at least 250, at least 300, at least 350, at least 400, at least 450, at least 550, at least 650, at least 700, at least 800, at least 900, at least 1000, at least 1200, at least 1400, at least 1600, at least 1800, at least 2000, at least 2200, at least 2400, at least 2600, at least 2800, at least 3000, at least 3500, at least 4000, at least 4500, or more nucleotides in length and hybridizes under stringent conditions to a nucleic acid molecule corresponding to a marker of the present invention or to a nucleic acid molecule encoding a protein corresponding to a marker of the present invention. As used herein, the term "hybridizes under stringent conditions" is intended to describe conditions for hybridization and washing under which nucleotide sequences at least 60%, at least 65%, at least 70%, at least 75%, at least 80%, or at least 85% identical to each other typically remain hybridized to each other. Such stringent conditions are known to those skilled in the art and can be found in sections 6.3.1-6.3.6 of Current Protocols in Molecular Biology, John Wiley & Sons, N.Y. (1989). Another, non-limiting example of stringent hybridization conditions are hybridization in 6X sodium chloride/sodium citrate (SSC) at about 45°C, followed by one or more washes in 0.2X SSC, 0.1% SDS at 50-65°C.

The invention also includes molecular beacon nucleic acid molecules having at least one region which is complementary to a nucleic acid molecule of the present invention, such that the molecular beacon is useful for quantitating the presence of the nucleic acid molecule of the present invention in a sample. A "molecular beacon" nucleic acid is a nucleic acid molecule comprising a pair of complementary regions and having a fluorophore and a fluorescent quencher associated therewith. The fluorophore and quencher are associated with different portions of the nucleic acid in such an orientation that when the complementary regions are annealed with one another, fluorescence of the fluorophore is quenched by the quencher. When the complementary regions of the nucleic acid molecules are not annealed with one another, fluorescence of the fluorophore is quenched to a lesser degree. Molecular beacon nucleic acid molecules are described, for example, in U.S. Patent 5,876,930 (incorporated by reference).

# IV. Exemplary Isolated Proteins and Antibodies

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One aspect of the present invention pertains to isolated proteins which correspond to individual markers of the present invention, and biologically active portions thereof. In one embodiment, the native polypeptide corresponding to a marker can be isolated from cells or tissue sources by an appropriate purification scheme using standard protein purification

techniques. In another embodiment, polypeptides corresponding to a marker of the present invention are produced by recombinant DNA techniques. Alternative to recombinant expression, a polypeptide corresponding to a marker of the present invention can be synthesized chemically using standard peptide synthesis techniques.

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An "isolated" or "purified" protein or biologically active portion thereof is substantially free of cellular material or other contaminating proteins from the cell or tissue source from which the protein is derived, or substantially free of chemical precursors or other chemicals when chemically synthesized. The language "substantially free of cellular material" includes preparations of protein in which the protein is separated from cellular components of the cells from which it is isolated or recombinantly produced. Thus, protein that is substantially free of cellular material includes preparations of protein having less than about 30%, less than about 20%, less than about 10%, or less than about 5% (by dry weight) of heterologous protein (also referred to herein as a "contaminating protein"). When the protein or biologically active portion thereof is recombinantly produced, it may be substantially free of culture medium, i.e., culture medium represents less than about 20%, less than about 10%, or less than about 5% of the volume of the protein preparation. When the protein is produced by chemical synthesis, it may substantially be free of chemical precursors or other chemicals, i.e., it is separated from chemical precursors or other chemicals which are involved in the synthesis of the protein. Accordingly such preparations of the protein have less than about 30%, less than about 20%, less than about 10%, less than about 5% (by dry weight) of chemical precursors or compounds other than the polypeptide of interest.

Biologically active portions of a polypeptide corresponding to a marker of the present invention include polypeptides comprising amino acid sequences sufficiently identical to or derived from the amino acid sequence of the protein corresponding to ALK gene mutations and/or gene products (*e.g.*, the markers set forth in Table 1) of the present invention, which include fewer amino acids than the full length protein, and exhibit at least one activity of the corresponding full-length protein. Typically, biologically active portions comprise a domain or motif with at least one activity of the corresponding protein. A biologically active portion of a protein of the present invention can be a polypeptide which is, for example, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 30, 35, 40, 45, 50, 55, 60, 65, 70, 75, 80, 85, 90, 95, 100 or more amino acids in length. Moreover, other biologically active portions, in which other regions of the protein are deleted, can be

prepared by recombinant techniques and evaluated for one or more of the functional activities of the native form of a polypeptide of the present invention.

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In certain embodiments, the polypeptide has an amino acid sequence of a protein encoded by a nucleic acid molecule listed in Table 1. Other useful proteins are substantially identical (*e.g.*, at least 60, at least 65, at least 70, at least 75, at least 80, at least 85, at least 86, at least 87, at least 88, at least 89, at least 90, at least 91, at least 92, at least 93, at least 94, at least 95, at least 96, at least 97, at least 98, at least 99, at least 99.5% or greater) to one of these sequences and retain the functional activity of the protein (*e.g.*, conferring resistance or sensitivity to an ALK inhibitor) of the corresponding full-length protein yet differ in amino acid sequence.

To determine the percent identity of two amino acid sequences or of two nucleic acids, the sequences are aligned for optimal comparison purposes (e.g., gaps can be introduced in the sequence of a first amino acid or nucleic acid sequence for optimal alignment with a second amino or nucleic acid sequence). The amino acid residues or nucleotides at corresponding amino acid positions or nucleotide positions are then compared. When a position in the first sequence is occupied by the same amino acid residue or nucleotide as the corresponding position in the second sequence, then the molecules are identical at that position. The percent identity between the two sequences is a function of the number of identical positions shared by the sequences (i.e., % identity = # of identical positions/total # of positions (e.g., overlapping positions) x 100). In one embodiment the two sequences are the same length.

The determination of percent identity between two sequences can be accomplished using a mathematical algorithm. Another, non-limiting example of a mathematical algorithm utilized for the comparison of two sequences is the algorithm of Karlin and Altschul (1990) *Proc. Natl. Acad. Sci. USA* 87:2264-2268, modified as in Karlin and Altschul (1993) *Proc. Natl. Acad. Sci. USA* 90:5873-5877. Such an algorithm is incorporated into the NBLAST and XBLAST programs of Altschul, *et al.* (1990) *J. Mol. Biol.* 215:403-410. BLAST nucleotide searches can be performed with the NBLAST program, score = 100, wordlength = 12 to obtain nucleotide sequences homologous to a nucleic acid molecules of the present invention. BLAST protein searches can be performed with the XBLAST program, score = 50, wordlength = 3 to obtain amino acid sequences homologous to protein molecules of the present invention. To obtain gapped alignments for comparison purposes, Gapped BLAST can be utilized as described in Altschul *et al.* (1997)

Nucleic Acids Res. 25:3389-3402. Alternatively, PSI-Blast can be used to perform an iterated search which detects distant relationships between molecules. When utilizing BLAST, Gapped BLAST, and PSI-Blast programs, the default parameters of the respective programs (e.g., XBLAST and NBLAST) can be used (see the NCBI website on the world wide web at ncbi.nlm.nih.gov). Another non-limiting example of a mathematical algorithm utilized for the comparison of sequences is the algorithm of Myers and Miller, (1988) Comput Appl Biosci, 4:11-7. Such an algorithm is incorporated into the ALIGN program (version 2.0) which is part of the GCG sequence alignment software package. When utilizing the ALIGN program for comparing amino acid sequences, a PAM120 weight residue table, a gap length penalty of 12, and a gap penalty of 4 can be used. Yet another useful algorithm for identifying regions of local sequence similarity and alignment is the FASTA algorithm as described in Pearson and Lipman (1988) Proc. Natl. Acad. Sci. USA 85:2444-2448. When using the FASTA algorithm for comparing nucleotide or amino acid sequences, a PAM120 weight residue table can, for example, be used with a k-tuple value of 2.

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The percent identity between two sequences can be determined using techniques similar to those described above, with or without allowing gaps. In calculating percent identity, only exact matches are counted.

An isolated polypeptide corresponding to a marker of the present invention, or a fragment thereof, can be used as an immunogen to generate antibodies using standard techniques for polyclonal and monoclonal antibody preparation. The full-length polypeptide or protein can be used or, alternatively, the invention provides antigenic peptide fragments for use as immunogens. The antigenic peptide of a protein of the present invention comprises at least 8 (or at least 10, at least 15, at least 20, or at least 30 or more) amino acid residues of the amino acid sequence of one of the polypeptides of the present invention, and encompasses an epitope of the protein such that an antibody raised against the peptide forms a specific immune complex with a marker of the present invention to which the protein corresponds. Exemplary epitopes encompassed by the antigenic peptide are regions that are located on the surface of the protein, *e.g.*, hydrophilic regions. Hydrophobicity sequence analysis, hydrophilicity sequence analysis, or similar analyses can be used to identify hydrophilic regions.

An immunogen typically is used to prepare antibodies by immunizing a suitable (*i.e.*, immunocompetent) subject such as a rabbit, goat, mouse, or other mammal or

vertebrate. An appropriate immunogenic preparation can contain, for example, recombinantly-expressed or chemically-synthesized polypeptide. The preparation can further include an adjuvant, such as Freund's complete or incomplete adjuvant, or a similar immunostimulatory agent.

Accordingly, another aspect of the present invention pertains to antibodies directed against a polypeptide of the present invention. The terms "antibody" and "antibody substance" as used interchangeably herein refer to immunoglobulin molecules and immunologically active portions of immunoglobulin molecules, *i.e.*, molecules that contain an antigen binding site which specifically binds an antigen, such as a polypeptide of the present invention. A molecule which specifically binds to a given polypeptide of the present invention is a molecule which binds the polypeptide, but does not substantially bind other molecules in a sample, *e.g.*, a biological sample, which naturally contains the polypeptide. Examples of immunologically active portions of immunoglobulin molecules include F(ab) and F(ab')<sub>2</sub> fragments which can be generated by treating the antibody with an enzyme such as pepsin. The invention provides polyclonal and monoclonal antibodies. The term "monoclonal antibody" or "monoclonal antibody composition", as used herein, refers to a population of antibody molecules that contain only one species of an antigen binding site capable of immunoreacting with a particular epitope.

Polyclonal antibodies can be prepared as described above by immunizing a suitable subject with a polypeptide of the present invention as an immunogen. The antibody titer in the immunized subject can be monitored over time by standard techniques, such as with an enzyme linked immunosorbent assay (ELISA) using immobilized polypeptide. If desired, the antibody molecules can be harvested or isolated from the subject (*e.g.*, from the blood or serum of the subject) and further purified by well-known techniques, such as protein A chromatography to obtain the IgG fraction. At an appropriate time after immunization, *e.g.*, when the specific antibody titers are highest, antibody-producing cells can be obtained from the subject and used to prepare monoclonal antibodies by standard techniques, such as the hybridoma technique originally described by Kohler and Milstein (1975) *Nature* 256:495-497, the human B cell hybridoma technique (see Kozbor *et al.*, 1983, *Immunol. Today* 4:72), the EBV-hybridoma technique (see Cole *et al.*, pp. 77-96 In *Monoclonal Antibodies and Cancer Therapy*, Alan R. Liss, Inc., 1985) or trioma techniques. The technology for producing hybridomas is well known (see generally *Current Protocols in Immunology*, Coligan *et al.* ed., John Wiley & Sons, New York, 1994). Hybridoma cells producing a

monoclonal antibody of the present invention are detected by screening the hybridoma culture supernatants for antibodies that bind the polypeptide of interest, *e.g.*, using a standard ELISA assay.

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Alternative to preparing monoclonal antibody-secreting hybridomas, a monoclonal antibody directed against a polypeptide of the present invention can be identified and isolated by screening a recombinant combinatorial immunoglobulin library (e.g., an antibody phage display library) with the polypeptide of interest. Kits for generating and screening phage display libraries are commercially available (e.g., the Pharmacia Recombinant Phage Antibody System, Catalog No. 27-9400-01; and the Stratagene SurfZAP Phage Display Kit, Catalog No. 240612). Additionally, examples of methods and reagents particularly amenable for use in generating and screening antibody display library can be found in, for example, U.S. Patent No. 5,223,409 (incorporated by reference); PCT Publication No. WO 92/18619 (incorporated by reference); PCT Publication No. WO 91/17271 (incorporated by reference); PCT Publication No. WO 92/20791 (incorporated by reference); PCT Publication No. WO 92/15679 (incorporated by reference); PCT Publication No. WO 93/01288 (incorporated by reference); PCT Publication No. WO 92/01047 (incorporated by reference); PCT Publication No. WO 92/09690 (incorporated by reference); PCT Publication No. WO 90/02809 (incorporated by reference); Fuchs et al. (1991) Bio/Technology 9:1370-1372; Hay et al. (1992) Hum. Antibod. Hybridomas 3:81-85; Huse et al. (1989) Science 246:1275-1281; Griffiths et al. (1993) EMBO J. 12:725-734.

Additionally, recombinant antibodies, such as chimeric and humanized monoclonal antibodies, comprising both human and non-human portions, which can be made using standard recombinant DNA techniques, are within the scope of the present invention. Such chimeric and humanized monoclonal antibodies can be produced by recombinant DNA techniques known in the art, for example using methods described in PCT Publication No. WO 87/02671 (incorporated by reference); European Patent Application 184,187; European Patent Application 171,496; European Patent Application 173,494; PCT Publication No. WO 86/01533 (incorporated by reference); U.S. Patent No. 4,816,567 (incorporated by reference); European Patent Application 125,023; Better *et al.* (1988) *Science* 240:1041-1043; Liu *et al.* (1987) *Proc. Natl. Acad. Sci. USA* 84:3439-3443; Liu *et al.* (1987) *J. Immunol.* 139:3521-3526; Sun *et al.* (1987) *Proc. Natl. Acad. Sci. USA* 84:214-218; Nishimura *et al.* (1987) *Cancer Res.* 47:999-1005; Wood *et al.* (1985) *Nature* 314:446-449; and Shaw *et al.* (1988) *J. Natl. Cancer Inst.* 80:1553-1559; Morrison (1985) *Science* 

229:1202-1207; Oi *et al.* (1986) *Bio/Techniques* 4:214; U.S. Patent 5,225,539 (incorporated by reference); Jones *et al.* (1986) *Nature* 321:552-525; Verhoeyan *et al.* (1988) *Science* 239:1534; and Beidler *et al.* (1988) *J. Immunol.* 141:4053-4060.

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Completely human antibodies are particularly desirable for therapeutic treatment of human subjects. Such antibodies can be produced using transgenic mice which are incapable of expressing endogenous immunoglobulin heavy and light chains genes, but which can express human heavy and light chain genes. The transgenic mice are immunized in the normal fashion with a selected antigen, e.g., all or a portion of a polypeptide corresponding to a marker of the present invention. Monoclonal antibodies directed against the antigen can be obtained using conventional hybridoma technology. The human immunoglobulin transgenes harbored by the transgenic mice rearrange during B cell differentiation, and subsequently undergo class switching and somatic mutation. Thus, using such a technique, it is possible to produce therapeutically useful IgG, IgA and IgE antibodies. For an overview of this technology for producing human antibodies, see Lonberg and Huszar (1995) Int. Rev. Immunol. 13:65-93). For a detailed discussion of this technology for producing human antibodies and human monoclonal antibodies and protocols for producing such antibodies, see, e.g., U.S. Patent 5,625,126 (incorporated by reference); U.S. Patent 5,633,425 (incorporated by reference); U.S. Patent 5,569,825 (incorporated by reference); U.S. Patent 5,661,016 (incorporated by reference); and U.S. Patent 5,545,806 (incorporated by reference). In addition, companies such as Abgenix, Inc. (Freemont, CA), can be engaged to provide human antibodies directed against a selected antigen using technology similar to that described above.

Completely human antibodies which recognize a selected epitope can be generated using a technique referred to as "guided selection." In this approach a selected non-human monoclonal antibody, *e.g.*, a murine antibody, is used to guide the selection of a completely human antibody recognizing the same epitope (Jespers *et al.*, 1994, *Bio/technology* 12:899-903).

An antibody directed against a polypeptide corresponding to a marker of the present invention (*e.g.*, a monoclonal antibody) can be used to isolate the polypeptide by standard techniques, such as affinity chromatography or immunoprecipitation. Moreover, such an antibody can be used to detect the marker (*e.g.*, in a cellular lysate or cell supernatant) in order to evaluate the level and pattern of expression of the marker. The antibodies can also be used diagnostically to monitor protein levels in tissues or body fluids (*e.g.*, in a tumor

cell-containing body fluid) as part of a clinical testing procedure, *e.g.*, to, for example, determine the efficacy of a given treatment regimen. Detection can be facilitated by coupling the antibody to a detectable substance. Examples of detectable substances include, but are not limited to, various enzymes, prosthetic groups, fluorescent materials, luminescent materials, bioluminescent materials, and radioactive materials. Examples of suitable enzymes include, but are not limited to, horseradish peroxidase, alkaline phosphatase, β-galactosidase, or acetylcholinesterase; examples of suitable prosthetic group complexes include, but are not limited to, streptavidin/biotin and avidin/biotin; examples of suitable fluorescent materials include, but are not limited to, umbelliferone, fluorescein, fluorescein isothiocyanate, rhodamine, dichlorotriazinylamine fluorescein, dansyl chloride or phycoerythrin; an example of a luminescent material includes, but is not limited to, luciferase, luciferin, and aequorin, and examples of suitable radioactive materials include, but are not limited to, <sup>125</sup>I, <sup>131</sup>I, <sup>35</sup>S or <sup>3</sup>H.

## V. Exemplary Recombinant Expression Vectors and Host Cells

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Another aspect of the present invention pertains to vectors, such as expression vectors, containing a nucleic acid encoding a polypeptide corresponding to a marker of the present invention (or a portion of such a polypeptide). As used herein, the term "vector" refers to a nucleic acid molecule capable of transporting another nucleic acid to which it has been linked. One type of vector is a "plasmid", which refers to a circular double stranded DNA loop into which additional DNA segments can be ligated. Another type of vector is a viral vector, wherein additional DNA segments can be ligated into the viral genome. Certain vectors are capable of autonomous replication in a host cell into which they are introduced (e.g., bacterial vectors having a bacterial origin of replication and episomal mammalian vectors). Other vectors (e.g., non-episomal mammalian vectors) are integrated into the genome of a host cell upon introduction into the host cell, and thereby are replicated along with the host genome. Moreover, certain vectors, namely expression vectors, are capable of directing the expression of genes to which they are operably linked. In general, expression vectors of utility in recombinant DNA techniques are often in the form of plasmids (vectors). However, the invention is intended to include such other forms of expression vectors, such as viral vectors (e.g., replication defective retroviruses, adenoviruses and adeno-associated viruses), which serve equivalent functions.

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The recombinant expression vectors of the present invention comprise a nucleic acid of the present invention in a form suitable for expression of the nucleic acid in a host cell. This means that the recombinant expression vectors include one or more regulatory sequences, selected on the basis of the host cells to be used for expression, which is operably linked to the nucleic acid sequence to be expressed. Within a recombinant expression vector, "operably linked" is intended to mean that the nucleotide sequence of interest is linked to the regulatory sequence(s) in a manner which allows for expression of the nucleotide sequence (e.g., in an in vitro transcription/translation system or in a host cell when the vector is introduced into the host cell). The term "regulatory sequence" is intended to include promoters, enhancers and other expression control elements (e.g., polyadenylation signals). Such regulatory sequences are described, for example, in Goeddel, Methods in Enzymology: Gene Expression Technology vol.185, Academic Press, San Diego, CA (1991). Regulatory sequences include those which direct constitutive expression of a nucleotide sequence in many types of host cells and those which direct expression of the nucleotide sequence only in certain host cells (e.g., tissue-specific regulatory sequences). It will be appreciated by those skilled in the art that the design of the expression vector can depend on such factors as the choice of the host cell to be transformed, the level of expression of protein desired, and the like. The expression vectors of the present invention can be introduced into host cells to thereby produce proteins or peptides, including fusion proteins or peptides, encoded by nucleic acids as described herein.

The recombinant expression vectors of the present invention can be designed for expression of a polypeptide corresponding to a marker of the present invention in prokaryotic (*e.g.*, *E. coli*) or eukaryotic cells (*e.g.*, insect cells {using baculovirus expression vectors}, yeast cells or mammalian cells). Suitable host cells are discussed further in Goeddel, *supra*. Alternatively, the recombinant expression vector can be transcribed and translated *in vitro*, for example using T7 promoter regulatory sequences and T7 polymerase.

Expression of proteins in prokaryotes is most often carried out in *E. coli* with vectors containing constitutive or inducible promoters directing the expression of either fusion or non-fusion proteins. Fusion vectors add a number of amino acids to a protein encoded therein, usually to the amino terminus of the recombinant protein. Such fusion vectors typically serve three purposes: 1) to increase expression of recombinant protein; 2)

to increase the solubility of the recombinant protein; and 3) to aid in the purification of the recombinant protein by acting as a ligand in affinity purification. Often, in fusion expression vectors, a proteolytic cleavage site is introduced at the junction of the fusion moiety and the recombinant protein to enable separation of the recombinant protein from the fusion moiety subsequent to purification of the fusion protein. Such enzymes, and their cognate recognition sequences, include Factor Xa, thrombin and enterokinase. Typical fusion expression vectors include pGEX (Pharmacia Biotech Inc; Smith and Johnson, 1988, *Gene* 67:31-40), pMAL (New England Biolabs, Beverly, MA) and pRIT5 (Pharmacia, Piscataway, NJ) which fuse glutathione S-transferase (GST), maltose E binding protein, or protein A, respectively, to the target recombinant protein.

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Examples of suitable inducible non-fusion *E. coli* expression vectors include pTrc (Amann *et al.*, 1988, *Gene* 69:301-315) and pET 11d (Studier *et al.*, p. 60-89, In *Gene Expression Technology: Methods in Enzymology* vol.185, Academic Press, San Diego, CA, 1991). Target gene expression from the pTrc vector relies on host RNA polymerase transcription from a hybrid trp-lac fusion promoter. Target gene expression from the pET 11d vector relies on transcription from a T7 gn10-lac fusion promoter mediated by a co-expressed viral RNA polymerase (T7 gn1). This viral polymerase is supplied by host strains BL21 (DE3) or HMS174(DE3) from a resident prophage harboring a T7 gn1 gene under the transcriptional control of the lacUV 5 promoter.

One strategy to maximize recombinant protein expression in *E. coli* is to express the protein in a host bacterium with an impaired capacity to proteolytically cleave the recombinant protein (Gottesman, p. 119-128, In *Gene Expression Technology: Methods in Enzymology* vol. 185, Academic Press, San Diego, CA, 1990). Another strategy is to alter the nucleic acid sequence of the nucleic acid to be inserted into an expression vector so that the individual codons for each amino acid may be among those utilized in *E. coli* (Wada *et al.*, 1992, *Nucleic Acids Res.* 20:2111-2118). Such alteration of nucleic acid sequences of the present invention can be carried out by standard DNA synthesis techniques.

In another embodiment, the expression vector is a yeast expression vector. Examples of vectors for expression in yeast *S. cerevisiae* include pYepSec1 (Baldari *et al.*, 1987, *EMBO J.* 6:229-234), pMFa (Kurjan and Herskowitz, 1982, *Cell* 30:933-943), pJRY88 (Schultz *et al.*, 1987, *Gene* 54:113-123), pYES2 (Invitrogen Corporation, San Diego, CA), and pPicZ (Invitrogen Corp, San Diego, CA).

Alternatively, the expression vector is a baculovirus expression vector. Baculovirus vectors available for expression of proteins in cultured insect cells (*e.g.*, Sf 9 cells) include the pAc series (Smith *et al.*, 1983, *Mol. Cell Biol.* 3:2156-2165) and the pVL series (Lucklow and Summers, 1989, *Virology* 170:31-39).

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In yet another embodiment, a nucleic acid of the present invention is expressed in mammalian cells using a mammalian expression vector. Examples of mammalian expression vectors include pCDM8 (Seed, 1987, *Nature* 329:840) and pMT2PC (Kaufman *et al.*, 1987, *EMBO J.* 6:187-195). When used in mammalian cells, the expression vector's control functions are often provided by viral regulatory elements. For example, commonly used promoters are derived from polyoma, Adenovirus 2, cytomegalovirus and Simian Virus 40. For other suitable expression systems for both prokaryotic and eukaryotic cells see chapters 16 and 17 of Sambrook *et al.*, *supra*.

In another embodiment, the recombinant mammalian expression vector is capable of directing expression of the nucleic acid in a particular cell type (e.g., tissue-specific regulatory elements are used to express the nucleic acid). Tissue-specific regulatory elements are known in the art. Non-limiting examples of suitable tissue-specific promoters include the albumin promoter (liver-specific; Pinkert et al., 1987, Genes Dev. 1:268-277), lymphoid-specific promoters (Calame and Eaton, 1988, Adv. Immunol. 43:235-275), such as promoters of T cell receptors (Winoto and Baltimore, 1989, EMBO J. 8:729-733) and immunoglobulins (Banerji et al., 1983, Cell 33:729-740; Queen and Baltimore, 1983, Cell 33:741-748), neuron-specific promoters (e.g., the neurofilament promoter; Byrne and Ruddle, 1989, Proc. Natl. Acad. Sci. USA 86:5473-5477), pancreas-specific promoters (Edlund et al., 1985, Science 230:912-916), and mammary gland-specific promoters (e.g., milk whey promoter; U.S. Patent No. 4,873,316 (incorporated by reference) and European Application Publication No. 264,166). Developmentally-regulated promoters are also encompassed, for example the murine hox promoters (Kessel and Gruss, 1990, Science 249:374-379) and the α-fetoprotein promoter (Camper and Tilghman, 1989, Genes Dev. 3:537-546).

The invention further provides a recombinant expression vector comprising a DNA molecule of the present invention cloned into the expression vector in an antisense orientation. That is, the DNA molecule is operably linked to a regulatory sequence in a manner which allows for expression (by transcription of the DNA molecule) of an RNA

molecule which is antisense to the mRNA encoding a polypeptide of the present invention. Regulatory sequences operably linked to a nucleic acid cloned in the antisense orientation can be chosen which direct the continuous expression of the antisense RNA molecule in a variety of cell types, for instance viral promoters and/or enhancers, or regulatory sequences can be chosen which direct constitutive, tissue-specific or cell type specific expression of antisense RNA. The antisense expression vector can be in the form of a recombinant plasmid, phagemid, or attenuated virus in which antisense nucleic acids are produced under the control of a high efficiency regulatory region, the activity of which can be determined by the cell type into which the vector is introduced. For a discussion of the regulation of gene expression using antisense genes see Weintraub *et al.*, 1986, *Trends in Genetics*, Vol. 1(1).

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Another aspect of the present invention pertains to host cells into which a recombinant expression vector of the present invention has been introduced. The terms "host cell" and "recombinant host cell" are used interchangeably herein. It is understood that such terms refer not only to the particular subject cell but to the progeny or potential progeny of such a cell. Because certain modifications may occur in succeeding generations due to either mutation or environmental influences, such progeny may not, in fact, be identical to the parent cell, but are still included within the scope of the term as used herein.

A host cell can be any prokaryotic (e.g., E. coli) or eukaryotic cell (e.g., insect cells, yeast or mammalian cells).

Vector DNA can be introduced into prokaryotic or eukaryotic cells via conventional transformation or transfection techniques. As used herein, the terms "transformation" and "transfection" are intended to refer to a variety of art-recognized techniques for introducing foreign nucleic acid into a host cell, including calcium phosphate or calcium chloride coprecipitation, DEAE-dextran-mediated transfection, lipofection, or electroporation. Suitable methods for transforming or transfecting host cells can be found in Sambrook, *et al.* (*supra*), and other laboratory manuals.

For stable transfection of mammalian cells, it is known that, depending upon the expression vector and transfection technique used, only a small fraction of cells may integrate the foreign DNA into their genome. In order to identify and select these integrants, a gene that encodes a selectable marker (*e.g.*, for resistance to antibiotics) is generally introduced into the host cells along with the gene of interest. Exemplary

selectable markers include those which confer resistance to drugs, such as G418, hygromycin and methotrexate. Cells stably transfected with the introduced nucleic acid can be identified by drug selection (*e.g.*, cells that have incorporated the selectable marker gene will survive, while the other cells die).

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A host cell of the present invention, such as a prokaryotic or eukaryotic host cell in culture, can be used to produce a polypeptide corresponding to a marker of the present invention. Accordingly, the invention further provides methods for producing a polypeptide corresponding to a marker of the present invention using the host cells of the present invention. In one embodiment, the method comprises culturing the host cell of invention (into which a recombinant expression vector encoding a polypeptide of the present invention has been introduced) in a suitable medium such that the marker is produced. In another embodiment, the method further comprises isolating the marker polypeptide from the medium or the host cell.

The host cells of the present invention can also be used to produce nonhuman transgenic animals. For example, in one embodiment, a host cell of the present invention is a fertilized oocyte or an embryonic stem cell into which sequences encoding a polypeptide corresponding to a marker of the present invention have been introduced. Such host cells can then be used to create non-human transgenic animals in which exogenous sequences encoding a marker protein of the present invention have been introduced into their genome or homologous recombinant animals in which endogenous gene(s) encoding a polypeptide corresponding to a marker of the present invention sequences have been altered. Such animals are useful for studying the function and/or activity of the polypeptide corresponding to the marker, for identifying and/or evaluating modulators of polypeptide activity, as well as in pre-clinical testing of therapeutics or diagnostic molecules, for marker discovery or evaluation, *e.g.*, therapeutic and diagnostic marker discovery or evaluation, or as surrogates of drug efficacy and specificity.

As used herein, a "transgenic animal" is a non-human animal, *e.g.*, a mammal, such as a rodent, *e.g.*, a rat or mouse, in which one or more of the cells of the animal includes a transgene. Other examples of transgenic animals include non-human primates, sheep, dogs, cows, goats, chickens, amphibians, etc. A transgene is exogenous DNA which is integrated into the genome of a cell from which a transgenic animal develops and which remains in the genome of the mature animal, thereby directing the expression of an encoded gene product in one or more cell types or tissues of the transgenic animal. As used herein, an

"homologous recombinant animal" is a non-human animal, such as a mammal, *e.g.*, a mouse, in which an endogenous gene has been altered by homologous recombination between the endogenous gene and an exogenous DNA molecule introduced into a cell of the animal, *e.g.*, an embryonic cell of the animal, prior to development of the animal. Transgenic animals also include inducible transgenic animals, such as those described in, for example, Chan I.T., *et al.* (2004) *J Clin Invest.* 113(4):528-38 and Chin L. *et al* (1999) *Nature* 400(6743):468-72.

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A transgenic animal of the present invention can be created by introducing a nucleic acid encoding a polypeptide corresponding to a marker of the present invention into the male pronuclei of a fertilized oocyte, e.g., by microinjection, retroviral infection, and allowing the oocyte to develop in a pseudopregnant female foster animal. Intronic sequences and polyadenylation signals can also be included in the transgene to increase the efficiency of expression of the transgene. A tissue-specific regulatory sequence(s) can be operably linked to the transgene to direct expression of the polypeptide of the present invention to particular cells. Methods for generating transgenic animals via embryo manipulation and microinjection, particularly animals such as mice, have become conventional in the art and are described, for example, in U.S. Patent Nos. 4,736,866 (incorporated by reference) and 4,870,009 (incorporated by reference), U.S. Patent No. 4,873,191 (incorporated by reference) and in Hogan, Manipulating the Mouse Embryo, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, N.Y., 1986. Similar methods are used for production of other transgenic animals. A transgenic founder animal can be identified based upon the presence of the transgene in its genome and/or expression of mRNA encoding the transgene in tissues or cells of the animals. A transgenic founder animal can then be used to breed additional animals carrying the transgene. Moreover, transgenic animals carrying the transgene can further be bred to other transgenic animals carrying other transgenes.

To create a homologous recombinant animal, a vector is prepared which contains at least a portion of a gene encoding a polypeptide corresponding to a marker of the present invention into which a deletion, addition or substitution has been introduced to thereby alter, *e.g.*, functionally disrupt, the gene. In another embodiment, the vector is designed such that, upon homologous recombination, the endogenous gene is functionally disrupted (*i.e.*, no longer encodes a functional protein; also referred to as a "knock out" vector). Alternatively, the vector can be designed such that, upon homologous recombination, the

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endogenous gene is mutated or otherwise altered but still encodes functional protein (e.g., the upstream regulatory region can be altered to thereby alter the expression of the endogenous protein). In the homologous recombination vector, the altered portion of the gene is flanked at its 5' and 3' ends by additional nucleic acid of the gene to allow for homologous recombination to occur between the exogenous gene carried by the vector and an endogenous gene in an embryonic stem cell. The additional flanking nucleic acid sequences are of sufficient length for successful homologous recombination with the endogenous gene. Typically, several kilobases of flanking DNA (both at the 5' and 3' ends) are included in the vector (see, e.g., Thomas and Capecchi, 1987, Cell 51:503 for a description of homologous recombination vectors). The vector is introduced into an embryonic stem cell line (e.g., by electroporation) and cells in which the introduced gene has homologously recombined with the endogenous gene are selected (see, e.g., Li et al., 1992, Cell 69:915). The selected cells are then injected into a blastocyst of an animal (e.g., a mouse) to form aggregation chimeras (see, e.g., Bradley, Teratocarcinomas and Embryonic Stem Cells: A Practical Approach, Robertson, Ed., IRL, Oxford, 1987, pp. 113-152). A chimeric embryo can then be implanted into a suitable pseudopregnant female foster animal and the embryo brought to term. Progeny harboring the homologously recombined DNA in their germ cells can be used to breed animals in which all cells of the animal contain the homologously recombined DNA by germline transmission of the transgene. Methods for constructing homologous recombination vectors and homologous recombinant animals are described further in Bradley (1991) Current Opinion in Bio/Technology 2:823-829 and in PCT Publication Nos. WO 90/11354 (incorporated by reference), WO 91/01140 (incorporated by reference), WO 92/0968 (incorporated by reference), and WO 93/04169 (incorporated by reference).

In another embodiment, transgenic non-human animals can be produced which contain selected systems which allow for regulated expression of the transgene. One example of such a system is the *cre/loxP* recombinase system of bacteriophage P1. For a description of the *cre/loxP* recombinase system, see, *e.g.*, Lakso *et al.* (1992) *Proc. Natl. Acad. Sci. USA* 89:6232-6236. Another example of a recombinase system is the FLP recombinase system of *Saccharomyces cerevisiae* (O'Gorman *et al.*, 1991, *Science* 251:1351-1355). If a *cre/loxP* recombinase system is used to regulate expression of the transgene, animals containing transgenes encoding both the *Cre* recombinase and a selected protein are required. Such animals can be provided through the construction of "double"

transgenic animals, *e.g.*, by mating two transgenic animals, one containing a transgene encoding a selected protein and the other containing a transgene encoding a recombinase.

Clones of the non-human transgenic animals described herein can also be produced according to the methods described in Wilmut *et al.* (1997) *Nature* 385:810-813 and PCT Publication Nos. WO 97/07668 (incorporated by reference) and WO 97/07669 (incorporated by reference).

#### V. Exemplary Kits

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A kit is any manufacture (*e.g.*, a package or container) comprising at least one reagent, *e.g.*, a probe, for specifically detecting a marker of the present invention, the manufacture being promoted, distributed, or sold as a unit for performing the methods of the present invention. When the compositions, kits, and methods of the present invention are used for carrying out the methods of the present invention, the ALK gene mutations and/or gene products (*e.g.*, the markers set forth in Table 1) of the present invention may be selected such that a positive result is obtained in at least about 20%, at least about 40%, at least about 60%, at least about 80%, at least about 90%, at least about 95%, at least about 99% or in 100% of subjects afflicted with cancer, of the corresponding stage, grade, histological type, or benign/premaligant/malignant nature. In certain embodiments, the marker or panel of markers of the present invention may be selected such that a PPV (positive predictive value) of greater than about 10% is obtained for the general population (*e.g.*, coupled with an assay specificity greater than 99.5%).

When a plurality of ALK gene mutations and/or gene products (*e.g.*, the markers set forth in Table 1) of the present invention are used in the compositions, kits, and methods of the present invention, the amount, structure, and/or activity of each marker or level of expression or copy number can be compared with the normal amount, structure, and/or activity of each of the plurality of markers or level of expression or copy number, in non-cancerous samples of the same type, either in a single reaction mixture (*i.e.*, using reagents, such as different fluorescent probes, for each marker) or in individual reaction mixtures corresponding to one or more of the ALK gene mutations and/or gene products (*e.g.*, the markers set forth in Table 1). If a plurality of ALK gene mutations and/or gene products (*e.g.*, the markers set forth in Table 1) is used, then 2, 3, 4, 5, 6, 7, 8, 9, 10, or more individual markers may be used or identified.

The invention includes compositions, kits, and methods for assaying cancer cells in a sample (*e.g.*, an archived tissue sample or a sample obtained from a subject). These compositions, kits, and methods are substantially the same as those described above, except that, where necessary, the compositions, kits, and methods are adapted for use with certain types of samples.

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The invention thus includes a kit for assessing the presence of cancer cells having or likely to have reduced responsiveness to ALK inhibitors (e.g., in a sample such as a subject sample). The kit may comprise one or more reagents capable of identifying ALK gene mutations and/or gene products (e.g., the markers set forth in Table 1) of the present invention, e.g., binding specifically with a nucleic acid or polypeptide corresponding to ALK gene mutations and/or gene products (e.g., the markers set forth in Table 1) of the present invention. Suitable reagents for binding with a polypeptide corresponding to a marker of the present invention include antibodies, antibody derivatives, antibody fragments, and the like. Suitable reagents for binding with a nucleic acid (e.g., a genomic DNA, an mRNA, a spliced mRNA, a cDNA, or the like) include complementary nucleic acids. For example, the nucleic acid reagents may include oligonucleotides (labeled or nonlabeled) fixed to a substrate, labeled oligonucleotides not bound with a substrate, pairs of PCR primers, molecular beacon probes, and the like. In some embodiments, the kits can comprise reagents useful for performing the methods described herein, such as comprising at least one pair of primers recognizing and hybridizing to stretches of nucleic acid surrounding at least one stretch of nucleic acid comprising at least one mutation listed in Table 1 and means for detecting the amplified-target nucleic acid for the presence of said mutation.

The kit of the present invention may optionally comprise additional components useful for performing the methods of the present invention. By way of example, the kit may comprise fluids (*e.g.*, SSC buffer) suitable for annealing complementary nucleic acids or for binding an antibody with a protein with which it specifically binds, one or more sample compartments, an instructional material which describes performance of a method of the present invention, a sample of normal cells, a sample of cancer cells, and the like.

A kit of the present invention may comprise a reagent useful for determining protein level or protein activity of a marker. In another embodiment, a kit of the present invention may comprise a reagent for determining methylation status of a marker, or may comprise a reagent for determining alteration of structure of a marker, *e.g.*, the presence of a mutation.

#### VI. Predictive Medicine

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The present invention also pertains to the field of predictive medicine in which diagnostic assays, pharmacogenomics, and monitoring clinical trials are used for predictive purposes to thereby treat an individual prophylactically. Accordingly, one aspect of the present invention relates to assays for determining the amount, structure, and/or activity of polypeptides or nucleic acids corresponding to one or more markers of the present invention, in order to determine whether an individual having cancer or at risk of developing cancer will be more likely to respond to ALK inhibitor-mediated therapy.

Accordingly, in one aspect, the invention is drawn to a method for determining whether a subject with a cancer is likely to respond to treatment with an ALK inhibiting agent. In another aspect, the invention is drawn to a method for predicting a time course of disease. In still another aspect, the method is drawn to a method for predicting a probability of a significant event in the time course of the disease. In certain embodiments, the method comprises detecting a biomarker or combination of biomarkers associated with responsiveness to treatment with an ALK inhibiting agent (*e.g.*, ALK mutations) as described herein, and determining whether the subject is likely to respond to treatment with the ALK inhibiting agent.

In some embodiments, the methods involve cytogenetic screening of biological tissue sample from a patient who has been diagnosed with or is suspected of having cancer (*e.g.*, presents with symptoms of cancer) to detect ALK mutations (*e.g.*, those listed in Table 1).

The results of the screening method and the interpretation thereof are predictive of the patient's response to treatment with ALK inhibiting agents (*e.g.*, PF-02341066 and/or PDD). According to the present invention, the presence of an ALK mutation is indicative that treatment with ALK inhibiting agents (*e.g.*, PF-02341066 and/or PDD) will provide enhanced therapeutic benefit against the cancer cells relative to those of patients not having an ALK mutation.

In one embodiment, the methods of the present invention comprise contacting a DNA sample, *e.g.*, a sample containing germline and/or somatic DNA, such as a chromosomal sample, obtained from cells isolated from the patient to polynucleotide probes that are specific for and hybridize under stringent conditions with genomic DNA in chromosomal regions associated with cytogenetic abnormalities (*e.g.*, ALK mutations

described herein) to determine the presence or absence of one or more of the abnormalities (*e.g.*, mutations) in the cells of the patient. The results of the analysis are predictive of the patient's likely response to treatment with therapeutic agents, particularly agents that inhibit ALK (*e.g.*, PF-02341066 and/or PDD).

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In another embodiment, a time course is measured by determining the time between significant events in the course of a patient's disease, wherein the measurement is predictive of whether a patient has a long time course. In another embodiment, the significant event is the progression from primary diagnosis to death. In another embodiment, the significant event is the progression from primary diagnosis to metastatic disease. In another embodiment, the significant event is the progression from primary diagnosis to relapse. In another embodiment, the significant event is the progression from metastatic disease to death. In another embodiment, the significant event is the progression from metastatic disease to relapse. In another embodiment, the significant event is the progression from relapse to death. In certain embodiments, the time course is measured with respect to overall survival rate, time to progression and/or using the RECIST or other response criteria.

In certain embodiments, a predetermined measure is created by dividing patient samples into at least two patient subgroups. In certain embodiments, the number of subgroups is two so that the patient sample is divided into a subgroup of patients having an ALK mutation(s) and a subgroup not having an ALK mutation(s). In certain embodiments, the ALK mutation status in the subject is compared to either the subgroup having or not having an ALK mutation(s); if the patient has an ALK mutation(s), then the patient is unlikely to respond to an ALK inhibitor (e.g., PF-02341066 and/or PDD) and/or the patient is likely to have a long time course. In certain embodiments, the number of subgroups is greater than two, including, without limitation, three subgroups, four subgroups, five subgroups and six subgroups, depending on stratification of predicted ALK inhibitor efficacy as correlated with particular ALK mutations. In certain embodiments, likeliness to respond is measured with respect to overall survival rate, time to progression and/or using the RECIST criteria. In certain embodiments, the ALK inhibitor is PF-02341066 and/or PDD.

In another aspect, the invention is drawn to a method for determining whether a subject with an ALK mutation positive cancer is likely to respond to treatment with an ALK inhibiting agent (*e.g.*, PF-02341066 and/or PDD) and/or the time course of disease is

long. In another aspect, the invention is drawn to a method for predicting a time course of disease in a subject with an ALK mutation positive cancer. In another aspect, the invention is drawn to a method for predicting the probability of a significant event in a subject with an ALK mutation positive cancer.

### 1. Methods for Detecting ALK Mutations

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Methods of evaluating ALK gene mutations and/or gene products (*e.g.*, the markers set forth in Table 1) are well known to those of skill in the art, including hybridization-based assays. For example, one method for evaluating the copy number of encoding nucleic acid in a sample involves a Southern Blot. In a Southern Blot, the genomic DNA (typically fragmented and separated on an electrophoretic gel) is hybridized to a probe specific for the target region. Comparison of the intensity of the hybridization signal from the probe for the target region with control probe signal from analysis of normal genomic DNA (*e.g.*, a non-amplified portion of the same or related cell, tissue, organ, *etc.*) provides an estimate of the presence/absence and relative copy number of the target nucleic acid. Alternatively, a Northern blot may be utilized for evaluating the copy number of encoding nucleic acid in a sample. In a Northern blot, mRNA is hybridized to a probe specific for the target region. Comparison of the intensity of the hybridization signal from the probe for the target region with control probe signal from analysis of normal mRNA (*e.g.*, a non-amplified portion of the same or related cell, tissue, organ, *etc.*) provides an estimate of the presence/absence and relative copy number of the target nucleic acid.

An alternative means for determining the copy number is *in situ* hybridization (*e.g.*, Angerer (1987) *Meth. Enzymol* 152: 649). Generally, *in situ* hybridization comprises the following steps: (1) fixation of tissue or biological structure to be analyzed; (2) prehybridization treatment of the biological structure to increase accessibility of target DNA, and to reduce nonspecific binding; (3) hybridization of the mixture of nucleic acids to the nucleic acid in the biological structure or tissue; (4) post-hybridization washes to remove nucleic acid fragments not bound in the hybridization and (5) detection of the hybridized nucleic acid fragments. The reagent used in each of these steps and the conditions for use vary depending on the particular application.

Exemplary hybridization-based assays include, but are not limited to, traditional "direct probe" methods such as Southern blots or *in situ* hybridization (*e.g.*, FISH and FISH plus SKY), and "comparative probe" methods such as comparative genomic hybridization

(CGH), *e.g.*, cDNA-based or oligonucleotide-based CGH. The methods can be used in a wide variety of formats including, but not limited to, substrate (*e.g.*, membrane or glass) bound methods or array-based approaches.

In one aspect, FISH analysis is used. Cell samples are obtained from patients according to methods well known in the art in order to be tested by an appropriate cytogenetic testing method known in the art, for example, the FISH method. In one embodiment, FISH can be performed according to the Vysis<sup>TM</sup> system (Abbott Molecular), whose manufacturer's protocols are incorporated herein by reference.

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Probes are used that contain DNA segments that are essentially complementary to DNA base sequences existing in different portions of chromosomes. Examples of probes useful according to the invention, and labeling and hybridization of probes to samples are described in two U.S. patents to Vysis, Inc. U.S. Patent Nos. 5,491,224 (incorporated by reference) and 6,277,569 (incorporated by reference) to Bittner, *et al.* 

Chromosomal probes are typically about 50 to about 10<sup>5</sup> nucleotides in length. Longer probes typically comprise smaller fragments of about 100 to about 500 nucleotides in length. Probes that hybridize with centromeric DNA and locus-specific DNA are available commercially, for example, from Vysis, Inc. (Downers Grove, Ill.), Molecular Probes, Inc. (Eugene, Oreg.) or from Cytocell (Oxfordshire, UK). Alternatively, probes can be made non-commercially from chromosomal or genomic DNA through standard techniques. For example, sources of DNA that can be used include genomic DNA, cloned DNA sequences, somatic cell hybrids that contain one, or a part of one, chromosome (*e.g.*, human chromosome) along with the normal chromosome complement of the host, and chromosomes purified by flow cytometry or microdissection. The region of interest can be isolated through cloning, or by site-specific amplification via the polymerase chain reaction (PCR). See, for example, Nath and Johnson, Biotechnic Histochem., 1998, 73(1):6-22, Wheeless *et al.*, Cytometry 1994, 17:319-326, and U.S. Patent No. 5,491,224 (incorporated by reference).

The probes to be used hybridize to a specific region of a chromosome to determine whether a cytogenetic abnormality is present in this region. One type of cytogenetic abnormality is a deletion. Although deletions can be of one or more entire chromosomes, deletions normally involve loss of part of one or more chromosomes. If the entire region of a chromosome that is contained in a probe is deleted from a cell, hybridization of that probe

to the DNA from the cell will normally not occur and no signal will be present on that chromosome. If the region of a chromosome that is partially contained within a probe is deleted from a cell, hybridization of that probe to the DNA from the cell may still occur, but less of a signal may be present. For example, the loss of a signal is compared to probe hybridization to DNA from control cells that do not contain the genetic abnormalities which the probes are intended to detect. In some embodiments, at least 1, 5, 10, 20, 30, 40, 50, 60, 70, 80, 90, 100, 110, 120, 130, 140, 150, 160, 170, 180, 190, 200, or more cells are enumerated for presence of the cytogenetic abnormality.

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Cytogenetic abnormalities to be detected can include, but are not limited to, non-reciprocal translocations, intra-chromosomal inversions, point mutations, deletions, gene copy number changes, gene expression level changes, and germ line mutations. In particular, one type of cytogenetic abnormality is a duplication. Duplications can be of entire chromosomes, or of regions smaller than an entire chromosome. If the region of a chromosome that is contained in a probe is duplicated in a cell, hybridization of that probe to the DNA from the cell will normally produce at least one additional signal as compared to the number of signals present in control cells with no abnormality of the chromosomal region contained in the probe. Although any probes that detect human chromosome 2p23 or ortholog thereof or any chromosomal region comprising a translocation with the ALK gene of 2p23 or ortholog thereof can be used. Suitable probes are well known in the art (e.g., available from Vysis, Inc. (Downers Grove, IL).

Chromosomal probes are labeled so that the chromosomal region to which they hybridize can be detected. Probes typically are directly labeled with a fluorophore, an organic molecule that fluoresces after absorbing light of lower wavelength/higher energy. The fluorophore allows the probe to be visualized without a secondary detection molecule. After covalently attaching a fluorophore to a nucleotide, the nucleotide can be directly incorporated into the probe with standard techniques such as nick translation, random priming, and PCR labeling. Alternatively, deoxycytidine nucleotides within the probe can be transaminated with a linker. The fluorophore then is covalently attached to the transaminated deoxycytidine nucleotides. See, U.S. Patent No. 5,491,224 (incorporated by reference).

U.S. Patent No. 5,491,224 describes probe labeling as a number of the cytosine residues having a fluorescent label covalently bonded thereto. The number of fluorescently labeled cytosine bases is sufficient to generate a detectable fluorescent signal while the

individual so labeled DNA segments essentially retain their specific complementary binding (hybridizing) properties with respect to the chromosome or chromosome region to be detected. Such probes are made by taking the unlabeled DNA probe segment, transaminating with a linking group a number of deoxycytidine nucleotides in the segment, covalently bonding a fluorescent label to at least a portion of the transaminated deoxycytidine bases.

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Probes can also be labeled by nick translation, random primer labeling or PCR labeling. Labeling is done using either fluorescent (direct)-or haptene (indirect)-labeled nucleotides. Representative, non-limiting examples of labels include: AMCA-6-dUTP, CascadeBlue-4-dUTP, Fluorescein-12-dUTP, Rhodamine-6-dUTP, TexasRed-6-dUTP, Cy3-6-dUTP, Cy5-dUTP, Biotin(BIO)-11-dUTP, Digoxygenin(DIG)-11-dUTP or Dinitrophenyl (DNP)-11-dUTP.

Probes also can be indirectly labeled with biotin or digoxygenin, or labeled with radioactive isotopes such as <sup>32</sup>p and .<sup>3</sup>H, although secondary detection molecules or further processing then is required to visualize the probes. For example, a probe labeled with biotin can be detected by avidin conjugated to a detectable marker. For example, avidin can be conjugated to an enzymatic marker such as alkaline phosphatase or horseradish peroxidase. Enzymatic markers can be detected in standard colorimetric reactions using a substrate and/or a catalyst for the enzyme. Catalysts for alkaline phosphatase include 5-bromo-4-chloro-3-indolylphosphate and nitro blue tetrazolium. Diaminobenzoate can be used as a catalyst for horseradish peroxidase.

Probes can also be prepared such that a fluorescent or other label is not part of the DNA before or during the hybridization, and is added after hybridization to detect the probe hybridized to a chromosome. For example, probes can be used that have antigenic molecules incorporated into the DNA. After hybridization, these antigenic molecules are detected using specific antibodies reactive with the antigenic molecules. Such antibodies can themselves incorporate a fluorochrome, or can be detected using a second antibody with a bound fluorochrome.

However treated or modified, the probe DNA is commonly purified in order to remove unreacted, residual products (*e.g.*, fluorochrome molecules not incorporated into the DNA) before use in hybridization.

Prior to hybridization, chromosomal probes are denatured according to methods well known in the art. In general, hybridization steps comprise adding an excess of blocking DNA to the labeled probe composition, contacting the blocked probe composition under hybridizing conditions with the chromosome region to be detected, *e.g.*, on a slide where the DNA has been denatured, washing away unhybridized probe, and detecting the binding of the probe composition to the chromosome or chromosomal region.

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Probes are hybridized or annealed to the chromosomal DNA under hybridizing conditions. "Hybridizing conditions" are conditions that facilitate annealing between a probe and target chromosomal DNA. Since annealing of different probes will vary depending on probe length, base concentration and the like, annealing is facilitated by varying probe concentration, hybridization temperature, salt concentration and other factors well known in the art.

Hybridization conditions are facilitated by varying the concentrations, base compositions, complexities, and lengths of the probes, as well as salt concentrations, temperatures, and length of incubation. For example, *in situ* hybridizations are typically performed in hybridization buffer containing 1-2x SSC, 50-65% formamide and blocking DNA to suppress non-specific hybridization. In general, hybridization conditions, as described above, include temperatures of about 25° C to about 55° C, and incubation lengths of about 0.5 hours to about 96 hours.

Non-specific binding of chromosomal probes to DNA outside of the target region can be removed by a series of washes. Temperature and concentration of salt in each wash are varied to control stringency of the washes. For example, for high stringency conditions, washes can be carried out at about 65° C to about 80° C, using 0.2x to about 2x SSC, and about 0.1% to about 1% of a non-ionic detergent such as Nonidet P-40 (NP40). Stringency can be lowered by decreasing the temperature of the washes or by increasing the concentration of salt in the washes. In some applications it is necessary to block the hybridization capacity of repetitive sequences. Thus, in some embodiments, tRNA, human genomic DNA, or Cot-I DNA is used to block non-specific hybridization.

After washing, the slide is allowed to drain and air dry, then mounting medium, a counterstain such as DAPI, and a coverslip are applied to the slide. Slides can be viewed immediately or stored at -20° C. before examination.

For fluorescent probes used in fluorescence in situ hybridization (FISH) techniques, fluorescence can be viewed with a fluorescence microscope equipped with an appropriate filter for each fluorophore, or by using dual or triple band-pass filter sets to observe multiple fluorophores. See, for example, U.S. Patent No. 5,776,688 (incorporated by reference). Alternatively, techniques such as flow cytometry can be used to examine the hybridization pattern of the chromosomal probes. FISH can be used to detect chromosome copy number or rearrangement of regions of chromosomes. These probes hybridize, or bind, to the complementary DNA and, because they are labeled with fluorescent tags, allow researchers to see the location of those sequences of DNA using a fluorescence microscope. Unlike most other techniques used to study chromosomes, which require that the cells be actively dividing, FISH can also be performed on non-dividing cells, making it a highly versatile procedure. Therefore, FISH can be performed using interphase cells, or cells in metaphase of the cell division cycle. Many of the techniques involved in FISH analysis are described in U.S. Patent No. 5,447,841 (incorporated by reference) by Gray and Pinkel.

FISH results can be interpreted with reference to control cells that are known not to contain the specific cytogenetic abnormality the probe is designed to detect. The FISH hybridization pattern of the probe to DNA from the control cells is compared to hybridization of the same probe to the DNA from cells that are being tested or assayed for the specific cytogenetic abnormality. When a probe is designed to detect a deletion of a chromosome or chromosomal region, there normally is less hybridization of the probe to DNA from the cells being tested than from the control cells. Normally, there is absence of a probe signal in the tested cells, indicative of loss of the region of a chromosome the probe normally hybridizes to. When a probe is designed to detect a chromosomal duplication or addition, there normally is more hybridization of the probe to DNA from the cells being tested than from the control cells. Normally, there is addition of a probe signal in the tested cells, indicative of the presence of an additional chromosomal region to which the probe normally hybridizes.

In CGH methods, a first collection of nucleic acids (*e.g.*, from a sample, *e.g.*, a possible tumor) is labeled with a first label, while a second collection of nucleic acids (*e.g.*, a control, *e.g.*, from a healthy cell/tissue) is labeled with a second label. The ratio of hybridization of the nucleic acids is determined by the ratio of the two (first and second) labels binding to each fiber in the array. Where there are chromosomal deletions or multiplications, differences in the ratio of the signals from the two labels will be detected

and the ratio will provide a measure of the copy number. Array-based CGH may also be performed with single-color labeling (as opposed to labeling the control and the possible tumor sample with two different dyes and mixing them prior to hybridization, which will yield a ratio due to competitive hybridization of probes on the arrays). In single color CGH, the control is labeled and hybridized to one array and absolute signals are read, and the possible tumor sample is labeled and hybridized to a second array (with identical content) and absolute signals are read. Copy number difference is calculated based on absolute signals from the two arrays. Hybridization protocols suitable for use with the methods of the present invention are described, e.g., in Albertson (1984) EMBO J. 3: 1227-1234; Pinkel (1988) Proc. Natl. Acad. Sci. USA 85: 9138-9142; EPO Pub. No. 430,402; Methods in Molecular Biology, Vol. 33: In situ Hybridization Protocols, Choo, ed., Humana Press, Totowa, N.J. (1994), etc. In one embodiment, the hybridization protocol of Pinkel, et al. (1998) Nature Genetics 20: 207-211, or of Kallioniemi (1992) Proc. Natl Acad Sci USA 89:5321-5325 (1992) is used. Array-based CGH is described in U.S. Patent No. 6,455,258, the contents of each of which are incorporated herein by reference.

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In still another embodiment, amplification-based assays can be used to measure presence/absence and copy number. In such amplification-based assays, the nucleic acid sequences act as a template in an amplification reaction (*e.g.*, Polymerase Chain Reaction (PCR). In a quantitative amplification, the amount of amplification product will be proportional to the amount of template in the original sample. Comparison to appropriate controls, *e.g.*, healthy tissue, provides a measure of the copy number.

Methods of "quantitative" amplification are well known to those of skill in the art. For example, quantitative PCR involves simultaneously co-amplifying a known quantity of a control sequence using the same primers. This provides an internal standard that may be used to calibrate the PCR reaction. Detailed protocols for quantitative PCR are provided in Innis, *et al.* (1990) PCR Protocols, *A Guide to Methods and Applications*, Academic Press, Inc. N.Y.). Measurement of DNA copy number at microsatellite loci using quantitative PCR analysis is described in Ginzonger, *et al.* (2000) *Cancer Research* 60:5405-5409. The known nucleic acid sequence for the genes is sufficient to enable one of skill in the art to routinely select primers to amplify any portion of the gene. Fluorogenic quantitative PCR may also be used in the methods of the present invention. In fluorogenic quantitative PCR, quantitation is based on amount of fluorescence signals, *e.g.*, TaqMan and sybr green.

Other suitable amplification methods include, but are not limited to, ligase chain reaction (LCR) (see Wu and Wallace (1989) *Genomics* 4: 560, Landegren, *et al.* (1988) *Science* 241:1077, and Barringer *et al.* (1990) *Gene* 89: 117), transcription amplification (Kwoh, *et al.* (1989) *Proc. Natl. Acad. Sci. USA* 86: 1173), self-sustained sequence replication (Guatelli, *et al.* (1990) *Proc. Nat. Acad. Sci. USA* 87: 1874), dot PCR, and linker adapter PCR, *etc.* 

Loss of heterozygosity (LOH) mapping (Wang, Z.C., et al. (2004) Cancer Res 64(1):64-71; Seymour, A. B., et al. (1994) Cancer Res 54, 2761-4; Hahn, S. A., et al. (1995) Cancer Res 55, 4670-5; Kimura, M., et al. (1996) Genes Chromosomes Cancer 17, 88-93) may also be used to identify regions of amplification or deletion.

#### 2. Methods for Assessing Gene Expression

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Marker expression level can also be assayed. Expression of a marker of the present invention may be assessed by any of a wide variety of well known methods for detecting expression of a transcribed molecule or protein. Non-limiting examples of such methods include immunological methods for detection of secreted, cell-surface, cytoplasmic, or nuclear proteins, protein purification methods, protein function or activity assays, nucleic acid hybridization methods, nucleic acid reverse transcription methods, and nucleic acid amplification methods.

In certain embodiments, activity of a particular gene is characterized by a measure of gene transcript (*e.g.*, mRNA), by a measure of the quantity of translated protein, or by a measure of gene product activity. Marker expression can be monitored in a variety of ways, including by detecting mRNA levels, protein levels, or protein activity, any of which can be measured using standard techniques. Detection can involve quantification of the level of gene expression (*e.g.*, genomic DNA, cDNA, mRNA, protein, or enzyme activity), or, alternatively, can be a qualitative assessment of the level of gene expression, in particular in comparison with a control level. The type of level being detected will be clear from the context.

Methods of detecting and/or quantifying the gene transcript (mRNA or cDNA made therefrom) using nucleic acid hybridization techniques are known to those of skill in the art (see Sambrook *et al. supra*). For example, one method for evaluating the presence, absence, or quantity of cDNA involves a Southern transfer as described above. Briefly, the mRNA is isolated (*e.g.*, using an acid guanidinium-phenol-chloroform extraction method,

Sambrook *et al. supra*.) and reverse transcribed to produce cDNA. The cDNA is then optionally digested and run on a gel in buffer and transferred to membranes. Hybridization is then carried out using the nucleic acid probes specific for the target cDNA.

A general principle of such diagnostic and prognostic assays involves preparing a sample or reaction mixture that may contain a marker, and a probe, under appropriate conditions and for a time sufficient to allow the marker and probe to interact and bind, thus forming a complex that can be removed and/or detected in the reaction mixture. These assays can be conducted in a variety of ways.

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For example, one method to conduct such an assay would involve anchoring the marker or probe onto a solid phase support, also referred to as a substrate, and detecting target marker/probe complexes anchored on the solid phase at the end of the reaction. In one embodiment of such a method, a sample from a subject, which is to be assayed for presence and/or concentration of marker, can be anchored onto a carrier or solid phase support. In another embodiment, the reverse situation is possible, in which the probe can be anchored to a solid phase and a sample from a subject can be allowed to react as an unanchored component of the assay.

There are many established methods for anchoring assay components to a solid phase. These include, without limitation, marker or probe molecules which are immobilized through conjugation of biotin and streptavidin. Such biotinylated assay components can be prepared from biotin-NHS (N-hydroxy-succinimide) using techniques known in the art (*e.g.*, biotinylation kit, Pierce Chemicals, Rockford, IL), and immobilized in the wells of streptavidin-coated 96 well plates (Pierce Chemical). In certain embodiments, the surfaces with immobilized assay components can be prepared in advance and stored.

Other suitable carriers or solid phase supports for such assays include any material capable of binding the class of molecule to which the marker or probe belongs. Well-known supports or carriers include, but are not limited to, glass, polystyrene, nylon, polypropylene, polyethylene, dextran, amylases, natural and modified celluloses, polyacrylamides, gabbros, and magnetite.

In order to conduct assays with the above-mentioned approaches, the non-immobilized component is added to the solid phase upon which the second component is anchored. After the reaction is complete, uncomplexed components may be removed (*e.g.*,

by washing) under conditions such that any complexes formed will remain immobilized upon the solid phase. The detection of marker/probe complexes anchored to the solid phase can be accomplished in a number of methods outlined herein.

In another embodiment, the probe, when it is the unanchored assay component, can be labeled for the purpose of detection and readout of the assay, either directly or indirectly, with detectable labels discussed herein and which are well-known to one skilled in the art.

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It is also possible to directly detect marker/probe complex formation without further manipulation or labeling of either component (marker or probe), for example by utilizing the technique of fluorescence energy transfer (see, for example, Lakowicz et al., U.S. Patent No. 5,631,169 (incorporated by reference); Stavrianopoulos, et al., U.S. Patent No. 4,868,103 (incorporated by reference)). A fluorophore label on the first, 'donor' molecule is selected such that, upon excitation with incident light of appropriate wavelength, its emitted fluorescent energy will be absorbed by a fluorescent label on a second 'acceptor' molecule, which in turn is able to fluoresce due to the absorbed energy. Alternately, the 'donor' protein molecule may simply utilize the natural fluorescent energy of tryptophan residues. Labels are chosen that emit different wavelengths of light, such that the 'acceptor' molecule label may be differentiated from that of the 'donor'. Since the efficiency of energy transfer between the labels is related to the distance separating the molecules, spatial relationships between the molecules can be assessed. In a situation in which binding occurs between the molecules, the fluorescent emission of the 'acceptor' molecule label in the assay should be maximal. An FET binding event can be conveniently measured through standard fluorometric detection means well known in the art (e.g., using a fluorimeter).

In another embodiment, determination of the ability of a probe to recognize a marker can be accomplished without labeling either assay component (probe or marker) by utilizing a technology such as real-time Biomolecular Interaction Analysis (BIA) (see, *e.g.*, Sjolander, S. and Urbaniczky, C., 1991, *Anal. Chem.* 63:2338-2345 and Szabo *et al.*, 1995, *Curr. Opin. Struct. Biol.* 5:699-705). As used herein, "BIA" or "surface plasmon resonance" is a technology for studying biospecific interactions in real time, without labeling any of the interactants (*e.g.*, BIAcore). Changes in the mass at the binding surface (indicative of a binding event) result in alterations of the refractive index of light near the surface (the optical phenomenon of surface plasmon resonance (SPR)), resulting in a

detectable signal which can be used as an indication of real-time reactions between biological molecules.

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Alternatively, in another embodiment, analogous diagnostic and prognostic assays can be conducted with marker and probe as solutes in a liquid phase. In such an assay, the complexed marker and probe are separated from uncomplexed components by any of a number of standard techniques, including but not limited to: differential centrifugation, chromatography, electrophoresis and immunoprecipitation. In differential centrifugation, marker/probe complexes may be separated from uncomplexed assay components through a series of centrifugal steps, due to the different sedimentation equilibria of complexes based on their different sizes and densities (see, for example, Rivas, G., and Minton, A.P., 1993, Trends Biochem Sci. 18(8):284-7). Standard chromatographic techniques may also be utilized to separate complexed molecules from uncomplexed ones. For example, gel filtration chromatography separates molecules based on size, and through the utilization of an appropriate gel filtration resin in a column format, for example, the relatively larger complex may be separated from the relatively smaller uncomplexed components. Similarly, the relatively different charge properties of the marker/probe complex as compared to the uncomplexed components may be exploited to differentiate the complex from uncomplexed components, for example, through the utilization of ion-exchange chromatography resins. Such resins and chromatographic techniques are well known to one skilled in the art (see, e.g., Heegaard, N.H., 1998, J. Mol. Recognit. Winter 11(1-6):141-8; Hage, D.S., and Tweed, S.A. J Chromatogr B Biomed Sci Appl 1997 Oct 10;699(1-2):499-525). Gel electrophoresis may also be employed to separate complexed assay components from unbound components (see, e.g., Ausubel et al., ed., Current Protocols in Molecular Biology, John Wiley & Sons, New York, 1987-1999). In this technique, protein or nucleic acid complexes are separated based on size or charge, for example. In order to maintain the binding interaction during the electrophoretic process, non-denaturing gel matrix materials and conditions in the absence of reducing agent are typical. Appropriate conditions to the particular assay and components thereof will be well known to one skilled in the art.

In a particular embodiment, the level of mRNA corresponding to the marker can be determined both by *in situ* and by *in vitro* formats in a biological sample using methods known in the art. The term "biological sample" is intended to include tissues, cells, biological fluids and isolates thereof, isolated from a subject, as well as tissues, cells and fluids present within a subject. Many expression detection methods use isolated RNA. For

in vitro methods, any RNA isolation technique that does not select against the isolation of mRNA can be utilized for the purification of RNA from cells (see, e.g., Ausubel et al., ed., Current Protocols in Molecular Biology, John Wiley & Sons, New York 1987-1999). Additionally, large numbers of tissue samples can readily be processed using techniques well known to those of skill in the art, such as, for example, the single-step RNA isolation process of Chomczynski (1989, U.S. Patent No. 4,843,155 (incorporated by reference)).

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The isolated nucleic acid can be used in hybridization or amplification assays that include, but are not limited to, Southern or Northern analyses, polymerase chain reaction analyses and probe arrays. One diagnostic method for the detection of mRNA levels involves contacting the isolated mRNA with a nucleic acid molecule (probe) that can hybridize to the mRNA encoded by the gene being detected. The nucleic acid probe can be, for example, a full-length cDNA, or a portion thereof, such as an oligonucleotide of at least 7, 15, 30, 50, 100, 250 or 500 nucleotides in length and sufficient to specifically hybridize under stringent conditions to a mRNA or genomic DNA encoding a marker of the present invention. Other suitable probes for use in the diagnostic assays of the present invention are described herein. Hybridization of an mRNA with the probe indicates that the marker in question is being expressed.

In one format, the mRNA is immobilized on a solid surface and contacted with a probe, for example by running the isolated mRNA on an agarose gel and transferring the mRNA from the gel to a membrane, such as nitrocellulose. In an alternative format, the probe(s) are immobilized on a solid surface and the mRNA is contacted with the probe(s), for example, in an Affymetrix gene chip array. A skilled artisan can readily adapt known mRNA detection methods for use in detecting the level of mRNA encoded by the markers of the present invention.

The probes can be full length or less than the full length of the nucleic acid sequence encoding the protein. Shorter probes are empirically tested for specificity. Exemplary nucleic acid probes are 20 bases or longer in length (See, *e.g.*, Sambrook *et al.* for methods of selecting nucleic acid probe sequences for use in nucleic acid hybridization). Visualization of the hybridized portions allows the qualitative determination of the presence or absence of cDNA.

An alternative method for determining the level of a transcript corresponding to a marker of the present invention in a sample involves the process of nucleic acid

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amplification, e.g., by rtPCR (the experimental embodiment set forth in Mullis, 1987, U.S. Patent No. 4,683,202 (incorporated by reference)), ligase chain reaction (Barany, 1991, Proc. Natl. Acad. Sci. USA, 88:189-193), self sustained sequence replication (Guatelli et al., 1990, Proc. Natl. Acad. Sci. USA 87:1874-1878), transcriptional amplification system (Kwoh et al., 1989, Proc. Natl. Acad. Sci. USA 86:1173-1177), Q-Beta Replicase (Lizardi et al., 1988, Bio/Technology 6:1197), rolling circle replication (Lizardi et al., U.S. Patent No. 5,854,033 (incorporated by reference)) or any other nucleic acid amplification method, followed by the detection of the amplified molecules using techniques well known to those of skill in the art. Fluorogenic rtPCR may also be used in the methods of the present invention. In fluorogenic rtPCR, quantitation is based on amount of fluorescence signals, e.g., TaqMan and sybr green. These detection schemes are especially useful for the detection of nucleic acid molecules if such molecules are present in very low numbers. As used herein, amplification primers are defined as being a pair of nucleic acid molecules that can anneal to 5' or 3' regions of a gene (plus and minus strands, respectively, or *vice-versa*) and contain a short region in between. In general, amplification primers are from about 10 to 30 nucleotides in length and flank a region from about 50 to 200 nucleotides in length. Under appropriate conditions and with appropriate reagents, such primers permit the amplification of a nucleic acid molecule comprising the nucleotide sequence flanked by the primers.

For *in situ* methods, mRNA does not need to be isolated from the cells prior to detection. In such methods, a cell or tissue sample is prepared/processed using known histological methods. The sample is then immobilized on a support, typically a glass slide, and then contacted with a probe that can hybridize to mRNA that encodes the marker.

As an alternative to making determinations based on the absolute expression level of the marker, determinations may be based on the normalized expression level of the marker. Expression levels are normalized by correcting the absolute expression level of a marker by comparing its expression to the expression of a gene that is not a marker, *e.g.*, a housekeeping gene that is constitutively expressed. Suitable genes for normalization include housekeeping genes such as the actin gene, or epithelial cell-specific genes. This normalization allows the comparison of the expression level in one sample, *e.g.*, a subject sample, to another sample, *e.g.*, a non-cancerous sample, or between samples from different sources.

Alternatively, the expression level can be provided as a relative expression level. To determine a relative expression level of a marker, the level of expression of the marker is determined for 10 or more samples of normal versus cancer cell isolates, or even 50 or more samples, prior to the determination of the expression level for the sample in question. The mean expression level of each of the genes assayed in the larger number of samples is determined and this is used as a baseline expression level for the marker. The expression level of the marker determined for the test sample (absolute level of expression) is then divided by the mean expression value obtained for that marker. This provides a relative expression level.

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In certain embodiments, the samples used in the baseline determination will be from cancer cells or normal cells of the same tissue type. The choice of the cell source is dependent on the use of the relative expression level. Using expression found in normal tissues as a mean expression score aids in validating whether the marker assayed is specific to the tissue from which the cell was derived (*versus* normal cells). In addition, as more data is accumulated, the mean expression value can be revised, providing improved relative expression values based on accumulated data. Expression data from normal cells provides a means for grading the severity of the cancer state.

In another embodiment, expression of a marker is assessed by preparing genomic DNA or mRNA/cDNA (*i.e.*, a transcribed polynucleotide) from cells in a subject sample, and by hybridizing the genomic DNA or mRNA/cDNA with a reference polynucleotide which is a complement of a polynucleotide comprising the marker, and fragments thereof. cDNA can, optionally, be amplified using any of a variety of polymerase chain reaction methods prior to hybridization with the reference polynucleotide. Expression of one or more markers can likewise be detected using quantitative PCR (QPCR) to assess the level of expression of the marker(s). Alternatively, any of the many known methods of detecting mutations or variants (*e.g.*, single nucleotide polymorphisms, deletions) of a marker of the present invention may be used to detect occurrence of a mutated marker in a subject.

In a related embodiment, a mixture of transcribed polynucleotides obtained from the sample is contacted with a substrate having fixed thereto a polynucleotide complementary to or homologous with at least a portion (*e.g.*, at least 7, at least 10, at least 15, at least 20, at least 25, at least 30, at least 40, at least 50, at least 100, at least 500, or more nucleotide residues) of a marker of the present invention. If polynucleotides complementary to or homologous with a marker of the present invention are differentially detectable on the

substrate (e.g., detectable using different chromophores or fluorophores, or fixed to different selected positions), then the levels of expression of a plurality of markers can be assessed simultaneously using a single substrate (e.g., a "gene chip" microarray of polynucleotides fixed at selected positions). When a method of assessing marker expression is used which involves hybridization of one nucleic acid with another, the hybridization may be performed under stringent hybridization conditions.

In another embodiment, a combination of methods to assess the expression of a marker is utilized.

Because the compositions, kits, and methods of the present invention rely on detection of a difference in expression levels or copy number of one or more markers of the present invention, in certain embodiments the level of expression or copy number of the marker is significantly greater than the minimum detection limit of the method used to assess expression or copy number in at least one of normal cells and cancerous cells.

#### 3. Methods for Assessing Expressed Protein

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The activity or level of a marker protein can also be detected and/or quantified by detecting or quantifying the expressed polypeptide. The polypeptide can be detected and quantified by any of a number of means well known to those of skill in the art. These may include analytic biochemical methods such as electrophoresis, capillary electrophoresis, high performance liquid chromatography (HPLC), thin layer chromatography (TLC), hyperdiffusion chromatography, and the like, or various immunological methods such as fluid or gel precipitin reactions, immunodiffusion (single or double), immunoelectrophoresis, radioimmunoassay (RIA), enzyme-linked immunosorbent assays (ELISAs), immunofluorescent assays, Western blotting, immunohistochemistry and the like. A skilled artisan can readily adapt known protein/antibody detection methods for use in determining whether cells express a marker of the present invention.

Another agent for detecting a polypeptide of the present invention is an antibody capable of binding to a polypeptide corresponding to a marker of the present invention, e.g., an antibody with a detectable label. Antibodies can be polyclonal or monoclonal. An intact antibody, or a fragment thereof (e.g., Fab or  $F(ab')_2$  can be used. The term "labeled", with regard to the probe or antibody, is intended to encompass direct labeling of the probe or antibody by coupling (i.e., physically linking) a detectable substance to the probe or antibody, as well as indirect labeling of the probe or antibody by reactivity with another

reagent that is directly labeled. Examples of indirect labeling include detection of a primary antibody using a fluorescently labeled secondary antibody and end-labeling of a DNA probe with biotin such that it can be detected with fluorescently labeled streptavidin.

In another embodiment, the antibody is labeled, *e.g.*, a radio-labeled, chromophore-labeled, fluorophore-labeled, or enzyme-labeled antibody. In another embodiment, an antibody derivative (*e.g.*, an antibody conjugated with a substrate or with the protein or ligand of a protein-ligand pair {*e.g.*, biotin-streptavidin} ), or an antibody fragment (*e.g.*, a single-chain antibody, an isolated antibody hypervariable domain, *etc.*) which binds specifically with a protein corresponding to the marker, such as the protein encoded by the open reading frame corresponding to the marker or such a protein which has undergone all or a portion of its normal post-translational modification, is used.

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Immunohistochemistry or IHC refers to the process of localizing antigens (*e.g.* proteins) in cells of a tissue section exploiting the principle of antibodies binding specifically to antigens in biological tissues. Immunohistochemical staining is widely used in the diagnosis of abnormal cells such as those found in cancerous tumors. Specific molecular markers are characteristic of particular cellular events such as proliferation or cell death (apoptosis). IHC is also widely used in research to understand the distribution and localization of biomarkers and differentially expressed proteins in different parts of a biological tissue. Visualizing an antibody-antigen interaction can be accomplished in a number of ways. In the most common instance, an antibody is conjugated to an enzyme, such as peroxidase, that can catalyse a colour-producing reaction. Alternatively, the antibody can also be tagged to a fluorophore, such as fluorescein, rhodamine, DyLight Fluor or Alexa Fluor.

Proteins from cells can be isolated using techniques that are well known to those of skill in the art. The protein isolation methods employed can, for example, be such as those described in Harlow and Lane (Harlow and Lane, 1988, *Antibodies: A Laboratory Manual*, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, New York).

In one format, antibodies, or antibody fragments, can be used in methods such as Western blots or immunofluorescence techniques to detect the expressed proteins. In such uses, one may immobilize either the antibody or proteins on a solid support. Suitable solid phase supports or carriers include any support capable of binding an antigen or an antibody. Well-known supports or carriers include glass, polystyrene, polypropylene, polyethylene,

dextran, nylon, amylases, natural and modified celluloses, polyacrylamides, gabbros, and magnetite.

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One skilled in the art will know many other suitable carriers for binding antibody or antigen, and will be able to adapt such support for use with the present invention. For example, protein isolated from cells can be run on a polyacrylamide gel electrophoresis and immobilized onto a solid phase support, such as nitrocellulose. The support can then be washed with suitable buffers followed by treatment with the detectably labeled antibody. The solid phase support can then be washed with the buffer a second time to remove unbound antibody. The amount of bound label on the solid support can then be detected by conventional means. Means of detecting proteins using electrophoretic techniques are well known to those of skill in the art (see generally, R. Scopes (1982) *Protein Purification*, Springer-Verlag, N.Y.; Deutscher, (1990) *Methods in Enzymology* Vol. 182: *Guide to Protein Purification*, Academic Press, Inc., N.Y.).

In another embodiment, Western blot (immunoblot) analysis is used to detect and quantify the presence of a polypeptide in the sample. This technique generally comprises separating sample proteins by gel electrophoresis on the basis of molecular weight, transferring the separated proteins to a suitable solid support, (such as a nitrocellulose filter, a nylon filter, or derivatized nylon filter), and incubating the sample with the antibodies that specifically bind a polypeptide. The anti-polypeptide antibodies specifically bind to the polypeptide on the solid support. These antibodies may be directly labeled or alternatively may be subsequently detected using labeled antibodies (*e.g.*, labeled sheep anti-human antibodies) that specifically bind to the anti-polypeptide.

In another embodiment, the polypeptide is detected using an immunoassay. As used herein, an immunoassay is an assay that utilizes an antibody to specifically bind to the analyte. The immunoassay is thus characterized by detection of specific binding of a polypeptide to an anti-antibody as opposed to the use of other physical or chemical properties to isolate, target, and quantify the analyte.

The polypeptide is detected and/or quantified using any of a number of well recognized immunological binding assays (see, *e.g.*, U.S. Patent Nos. 4,366,241 (incorporated by reference); 4,376,110 (incorporated by reference); 4,517,288 (incorporated by reference); and 4,837,168 (incorporated by reference)). For a review of the general immunoassays, see also Asai (1993) *Methods in Cell Biology* Volume 37: *Antibodies in* 

Cell Biology, Academic Press, Inc. New York; Stites & Terr (1991) Basic and Clinical Immunology 7th Edition.

Immunological binding assays (or immunoassays) typically utilize a "capture agent" to specifically bind to and often immobilize the analyte (polypeptide or subsequence). The capture agent is a moiety that specifically binds to the analyte. In another embodiment, the capture agent is an antibody that specifically binds a polypeptide. The antibody (antipeptide) may be produced by any of a number of means well known to those of skill in the art.

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Immunoassays also often utilize a labeling agent to specifically bind to and label the binding complex formed by the capture agent and the analyte. The labeling agent may itself be one of the moieties comprising the antibody/analyte complex. Thus, the labeling agent may be a labeled polypeptide or a labeled anti-antibody. Alternatively, the labeling agent may be a third moiety, such as another antibody, that specifically binds to the antibody/polypeptide complex.

In one embodiment, the labeling agent is a second human antibody bearing a label. Alternatively, the second antibody may lack a label, but it may, in turn, be bound by a labeled third antibody specific to antibodies of the species from which the second antibody is derived. The second can be modified with a detectable moiety, *e.g.*, as biotin, to which a third labeled molecule can specifically bind, such as enzyme-labeled streptavidin.

Other proteins capable of specifically binding immunoglobulin constant regions, such as protein A or protein G may also be used as the label agent. These proteins are normal constituents of the cell walls of streptococcal bacteria. They exhibit a strong non-immunogenic reactivity with immunoglobulin constant regions from a variety of species (see, generally Kronval, *et al.* (1973) J. *Immunol.*, 111: 1401-1406, and Akerstrom (1985) *J. Immunol.*, 135: 2589-2542).

As indicated above, immunoassays for the detection and/or quantification of a polypeptide can take a wide variety of formats well known to those of skill in the art.

Exemplary immunoassays for detecting a polypeptide may be competitive or noncompetitive. Noncompetitive immunoassays are assays in which the amount of captured analyte is directly measured. In one "sandwich" assay, for example, the capture agent (anti-peptide antibodies) can be bound directly to a solid substrate where they are immobilized. These immobilized antibodies then capture polypeptide present in the test

sample. The polypeptide thus immobilized is then bound by a labeling agent, such as a second human antibody bearing a label.

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In competitive assays, the amount of analyte (polypeptide) present in the sample is measured indirectly by measuring the amount of an added (exogenous) analyte (polypeptide) displaced (or competed away) from a capture agent (anti-peptide antibody) by the analyte present in the sample. In one competitive assay, a known amount of, in this case, a polypeptide is added to the sample and the sample is then contacted with a capture agent. The amount of polypeptide bound to the antibody is inversely proportional to the concentration of polypeptide present in the sample.

In another embodiment, the antibody is immobilized on a solid substrate. The amount of polypeptide bound to the antibody may be determined either by measuring the amount of polypeptide present in a polypeptide/antibody complex, or alternatively by measuring the amount of remaining uncomplexed polypeptide. The amount of polypeptide may be detected by providing a labeled polypeptide.

The assays described herein are scored (as positive or negative or quantity of polypeptide) according to standard methods well known to those of skill in the art. The particular method of scoring will depend on the assay format and choice of label. For example, a Western Blot assay can be scored by visualizing the colored product produced by the enzymatic label. A clearly visible colored band or spot at the correct molecular weight is scored as a positive result, while the absence of a clearly visible spot or band is scored as a negative. The intensity of the band or spot can provide a quantitative measure of polypeptide.

Antibodies for use in the various immunoassays described herein, can be produced as described herein.

In another embodiment, level (activity) is assayed by measuring the enzymatic activity of the gene product. Methods of assaying the activity of an enzyme are well known to those of skill in the art.

In vivo techniques for detection of a marker protein include introducing into a subject a labeled antibody directed against the protein. For example, the antibody can be labeled with a radioactive marker whose presence and location in a subject can be detected by standard imaging techniques.

Certain markers identified by the methods of the present invention may be secreted proteins. It is a simple matter for the skilled artisan to determine whether any particular marker protein is a secreted protein. In order to make this determination, the marker protein is expressed in, for example, a mammalian cell, *e.g.*, a human cell line, extracellular fluid is collected, and the presence or absence of the protein in the extracellular fluid is assessed (*e.g.*, using a labeled antibody which binds specifically with the protein).

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The following is an example of a method which can be used to detect secretion of a protein. About 8 x 10<sup>5</sup> 293T cells are incubated at 37°C in wells containing growth medium (Dulbecco's modified Eagle's medium {DMEM} supplemented with 10% fetal bovine serum) under a 5% (v/v) CO<sub>2</sub>, 95% air atmosphere to about 60-70% confluence. The cells are then transfected using a standard transfection mixture comprising 2 micrograms of DNA comprising an expression vector encoding the protein and 10 microliters of LipofectAMINETM (GIBCO/BRL Catalog no. 18342-012) per well. The transfection mixture is maintained for about 5 hours, and then replaced with fresh growth medium and maintained in an air atmosphere. Each well is gently rinsed twice with DMEM which does not contain methionine or cysteine (DMEM-MC; ICN Catalog no. 16-424-54). About 1 milliliter of DMEM-MC and about 50 microcuries of Trans-<sup>35</sup>S<sup>TM</sup> reagent (ICN Catalog no. 51006) are added to each well. The wells are maintained under the 5% CO<sub>2</sub> atmosphere described above and incubated at 37°C for a selected period. Following incubation, 150 microliters of conditioned medium is removed and centrifuged to remove floating cells and debris. The presence of the protein in the supernatant is an indication that the protein is secreted.

It will be appreciated that subject samples, *e.g.*, a sample containing <u>sputum</u>, <u>bronchoalveolar lavage</u>, <u>pleural effusion</u>, tissue, whole blood, serum, plasma, buccal scrape, saliva, cerebrospinal fluid, urine, stool, and bone marrow, may contain cells therein, particularly when the cells are cancerous, and, more particularly, when the cancer is metastasizing, and thus may be used in the methods of the present invention. The cell sample can, of course, be subjected to a variety of well-known post-collection preparative and storage techniques (*e.g.*, nucleic acid and/or protein extraction, fixation, storage, freezing, ultrafiltration, concentration, evaporation, centrifugation, *etc.*) prior to assessing the level of expression of the marker in the sample. Thus, the compositions, kits, and methods of the present invention can be used to detect expression of markers corresponding to proteins having at least one portion which is displayed on the surface of cells which

express it. It is a simple matter for the skilled artisan to determine whether the protein corresponding to any particular marker comprises a cell-surface protein. For example, immunological methods may be used to detect such proteins on whole cells, or well known computer-based sequence analysis methods (*e.g.*, the SIGNALP program; Nielsen *et al.*, 1997, *Protein Engineering* 10:1-6) may be used to predict the presence of at least one extracellular domain (*i.e.*, including both secreted proteins and proteins having at least one cell-surface domain). Expression of a marker corresponding to a protein having at least one portion which is displayed on the surface of a cell which expresses it may be detected without necessarily lysing the cell (*e.g.*, using a labeled antibody which binds specifically with a cell-surface domain of the protein).

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The invention also encompasses kits for detecting the presence of a polypeptide or nucleic acid corresponding to a marker of the present invention in a biological sample, *e.g.*, a sample containing sputum, bronchoalveolar lavage, pleural effusion, tissue, whole blood, serum, plasma, buccal scrape, saliva, cerebrospinal fluid, urine, stool, and bone marrow. Such kits can be used to determine if a subject is suffering from or is at increased risk of developing cancer. For example, the kit can comprise a labeled compound or agent capable of detecting a polypeptide or an mRNA encoding a polypeptide corresponding to a marker of the present invention in a biological sample and means for determining the amount of the polypeptide or mRNA in the sample (*e.g.*, an antibody which binds the polypeptide). Kits can also include instructions for interpreting the results obtained using the kit.

For antibody-based kits, the kit can comprise, for example: (1) a first antibody (*e.g.*, attached to a solid support) which binds to a polypeptide corresponding to a marker of the present invention; and, optionally, (2) a second, different antibody which binds to either the polypeptide or the first antibody and is conjugated to a detectable label.

For oligonucleotide-based kits, the kit can comprise, for example: (1) an oligonucleotide, *e.g.*, a detectably labeled oligonucleotide, which hybridizes to a nucleic acid sequence encoding a polypeptide corresponding to a marker of the present invention or (2) a pair of primers useful for amplifying a nucleic acid molecule corresponding to a marker of the present invention. The kit can also comprise, *e.g.*, a buffering agent, a preservative, or a protein stabilizing agent. The kit can further comprise components necessary for detecting the detectable label (*e.g.*, an enzyme or a substrate). The kit can

also contain a control sample or a series of control samples which can be assayed and compared to the test sample. Each component of the kit can be enclosed within an individual container and all of the various containers can be within a single package, along with instructions for interpreting the results of the assays performed using the kit.

## 4. Method for Assessing Structural Alterations

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The invention also provides a method for assessing the presence of a structural alteration, *e.g.*, mutation.

Another detection method is allele specific hybridization using probes overlapping the polymorphic site and having about 5, about 10, about 20, about 25, or about 30 nucleotides around the polymorphic region. In another embodiment of the present invention, several probes capable of hybridizing specifically to mutations are attached to a solid phase support, *e.g.*, a "chip". Oligonucleotides can be bound to a solid support by a variety of processes, including lithography. For example a chip can hold up to 250,000 oligonucleotides (GeneChip, Affymetrix<sup>TM</sup>). Mutation detection analysis using these chips comprising oligonucleotides, also termed "DNA probe arrays" is described *e.g.*, in Cronin *et al.* (1996) *Human Mutation* 7:244. In one embodiment, a chip comprises all the mutations of at least one polymorphic region of a gene. The solid phase support is then contacted with a test nucleic acid and hybridization to the specific probes is detected. Accordingly, the identity of numerous mutations of one or more genes can be identified in a simple hybridization experiment. For example, the identity of the mutation of the nucleotide polymorphism in the 5' upstream regulatory element can be determined in a single hybridization experiment.

In other detection methods, it is necessary to first amplify at least a portion of a marker prior to identifying the mutation. Amplification can be performed, *e.g.*, by PCR and/or LCR (see Wu and Wallace (1989) *Genomics* 4:560), according to methods known in the art. In one embodiment, genomic DNA of a cell is exposed to two PCR primers and amplification for a number of cycles sufficient to produce the required amount of amplified DNA. In certain embodiments, the primers are located between 150 and 350 base pairs apart.

Alternative amplification methods include: self sustained sequence replication (Guatelli, J.C. *et al.*, (1990) *Proc. Natl. Acad. Sci. USA* 87:1874-1878), transcriptional amplification system (Kwoh, D.Y. *et al.*, (1989) *Proc. Natl. Acad. Sci. USA* 86:1173-1177),

Q-Beta Replicase (Lizardi, P.M. et al., (1988) Bio/Technology 6:1197), and self-sustained sequence replication (Guatelli et al., (1989) Proc. Nat. Acad. Sci. 87:1874), and nucleic acid based sequence amplification (NABSA), or any other nucleic acid amplification method, followed by the detection of the amplified molecules using techniques well known to those of skill in the art. These detection schemes are especially useful for the detection of nucleic acid molecules if such molecules are present in very low numbers.

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In one embodiment, any of a variety of sequencing reactions known in the art can be used to directly sequence at least a portion of a marker and detect mutations by comparing the sequence of the sample sequence with the corresponding reference (control) sequence. Exemplary sequencing reactions include those based on techniques developed by Maxam and Gilbert (Proc. Natl Acad Sci USA (1977) 74:560) or Sanger (Sanger et al. (1977) Proc. Nat. Acad. Sci 74:5463). It is also contemplated that any of a variety of automated sequencing procedures may be utilized when performing the subject assays (Biotechniques (1995) 19:448), including sequencing by mass spectrometry (see, for example, U.S. Patent Number 5,547,835 (incorporated by reference) and international patent application Publication Number WO 94/16101 (incorporated by reference), entitled DNA Sequencing by Mass Spectrometry by H. Köster; U.S. Patent Number 5,547,835 (incorporated by reference) and international patent application Publication Number WO 94/21822 (incorporated by reference) entitled DNA Sequencing by Mass Spectrometry Via Exonuclease Degradation by H. Köster), and U.S. Patent Number 5,605,798 (incorporated by reference) and International Patent Application No. PCT/US96/03651 (incorporated by reference) entitled DNA Diagnostics Based on Mass Spectrometry by H. Köster; Cohen et al. (1996) Adv Chromatogr 36:127-162; and Griffin et al. (1993) Appl Biochem Biotechnol 38:147-159). It will be evident to one skilled in the art that, for certain embodiments, the occurrence of only one, two or three of the nucleic acid bases need be determined in the sequencing reaction. For instance, A-track or the like, e.g., where only one nucleotide is detected, can be carried out.

Yet other sequencing methods are disclosed, *e.g.*, in U.S. Patent Number 5,580,732 (incorporated by reference) entitled "Method of DNA sequencing employing a mixed DNA-polymer chain probe" and U.S. Patent Number 5,571,676 (incorporated by reference) entitled "Method for mismatch-directed *in vitro* DNA sequencing."

In some cases, the presence of a specific allele of a marker in DNA from a subject can be shown by restriction enzyme analysis. For example, a specific nucleotide

polymorphism can result in a nucleotide sequence comprising a restriction site which is absent from the nucleotide sequence of another mutation.

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In a further embodiment, protection from cleavage agents (such as a nuclease, hydroxylamine or osmium tetroxide and with piperidine) can be used to detect mismatched bases in RNA/RNA DNA/DNA, or RNA/DNA heteroduplexes (Myers, et al. (1985) Science 230:1242). In general, the technique of "mismatch cleavage" starts by providing heteroduplexes formed by hybridizing a control nucleic acid, which is optionally labeled, e.g., RNA or DNA, comprising a nucleotide sequence of a marker mutation with a sample nucleic acid, e.g., RNA or DNA, obtained from a tissue sample. The double-stranded duplexes are treated with an agent which cleaves single-stranded regions of the duplex such as duplexes formed based on basepair mismatches between the control and sample strands. For instance, RNA/DNA duplexes can be treated with RNase and DNA/DNA hybrids treated with S1 nuclease to enzymatically digest the mismatched regions. In other embodiments, either DNA/DNA or RNA/DNA duplexes can be treated with hydroxylamine or osmium tetroxide and with piperidine in order to digest mismatched regions. After digestion of the mismatched regions, the resulting material is then separated by size on denaturing polyacrylamide gels to determine whether the control and sample nucleic acids have an identical nucleotide sequence or in which nucleotides they are different. See, for example, Cotton et al (1988) Proc. Natl Acad Sci USA 85:4397; Saleeba et al (1992) Methods Enzymol. 217:286-295. In another embodiment, the control or sample nucleic acid is labeled for detection.

In another embodiment, an mutation can be identified by denaturing high-performance liquid chromatography (DHPLC) (Oefner and Underhill, (1995) *Am. J. Human Gen.* 57:Suppl. A266). DHPLC uses reverse-phase ion-pairing chromatography to detect the heteroduplexes that are generated during amplification of PCR fragments from individuals who are heterozygous at a particular nucleotide locus within that fragment (Oefner and Underhill (1995) *Am. J. Human Gen.* 57:Suppl. A266). In general, PCR products are produced using PCR primers flanking the DNA of interest. DHPLC analysis is carried out and the resulting chromatograms are analyzed to identify base pair alterations or deletions based on specific chromatographic profiles (see O'Donovan *et al.* (1998) *Genomics* 52:44-49).

In other embodiments, alterations in electrophoretic mobility are used to identify the type of marker mutation. For example, single strand conformation polymorphism

(SSCP) may be used to detect differences in electrophoretic mobility between mutant and wild type nucleic acids (Orita *et al.* (1989) *Proc Natl. Acad. Sci USA* 86:2766, see also Cotton (1993) *Mutat Res* 285:125-144; and Hayashi (1992) *Genet Anal Tech Appl* 9:73-79). Single-stranded DNA fragments of sample and control nucleic acids are denatured and allowed to renature. The secondary structure of single-stranded nucleic acids varies according to sequence and the resulting alteration in electrophoretic mobility enables the detection of even a single base change. The DNA fragments may be labeled or detected with labeled probes. The sensitivity of the assay may be enhanced by using RNA (rather than DNA), in which the secondary structure is more sensitive to a change in sequence. In another embodiment, the subject method utilizes heteroduplex analysis to separate double stranded heteroduplex molecules on the basis of changes in electrophoretic mobility (Keen *et al.* (1991) *Trends Genet* 7:5).

In yet another embodiment, the identity of a mutation of a polymorphic region is obtained by analyzing the movement of a nucleic acid comprising the polymorphic region in polyacrylamide gels containing a gradient of denaturant is assayed using denaturing gradient gel electrophoresis (DGGE) (Myers *et al.* (1985) *Nature* 313:495). When DGGE is used as the method of analysis, DNA will be modified to insure that it does not completely denature, for example by adding a GC clamp of approximately 40 bp of highmelting GC-rich DNA by PCR. In a further embodiment, a temperature gradient is used in place of a denaturing agent gradient to identify differences in the mobility of control and sample DNA (Rosenbaum and Reissner (1987) *Biophys Chem* 265:1275).

Examples of techniques for detecting differences of at least one nucleotide between two nucleic acids include, but are not limited to, selective oligonucleotide hybridization, selective amplification, or selective primer extension. For example, oligonucleotide probes may be prepared in which the known polymorphic nucleotide is placed centrally (allelespecific probes) and then hybridized to target DNA under conditions which permit hybridization only if a perfect match is found (Saiki *et al.* (1986) *Nature* 324:163); Saiki *et al* (1989) *Proc. Natl Acad. Sci USA* 86:6230; and Wallace *et al.* (1979) *Nucl. Acids Res.* 6:3543). Such allele specific oligonucleotide hybridization techniques may be used for the simultaneous detection of several nucleotide changes in different polymorphic regions of marker. For example, oligonucleotides having nucleotide sequences of specific mutations are attached to a hybridizing membrane and this membrane is then hybridized with labeled

sample nucleic acid. Analysis of the hybridization signal will then reveal the identity of the nucleotides of the sample nucleic acid.

Alternatively, allele specific amplification technology which depends on selective PCR amplification may be used in conjunction with the instant invention. Oligonucleotides used as primers for specific amplification may carry the mutation of interest in the center of the molecule (so that amplification depends on differential hybridization) (Gibbs *et al* (1989) *Nucleic Acids Res.* 17:2437-2448) or at the extreme 3' end of one primer where, under appropriate conditions, mismatch can prevent, or reduce polymerase extension (Prossner (1993) *Tibtech* 11:238; Newton *et al.* (1989) *Nucl. Acids Res.* 17:2503). This technique is also termed "PROBE" for Probe Oligo Base Extension. In addition it may be desirable to introduce a novel restriction site in the region of the mutation to create cleavage-based detection (Gasparini *et al* (1992) *Mol. Cell Probes* 6:1).

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In another embodiment, identification of the mutation is carried out using an oligonucleotide ligation assay (OLA), as described, *e.g.*, in U.S. Patent Number 4,998,617 and in Landegren, U. *et al.*, (1988) *Science* 241:1077-1080. The OLA protocol uses two oligonucleotides which are designed to be capable of hybridizing to abutting sequences of a single strand of a target. One of the oligonucleotides is linked to a separation marker, *e.g.*, biotinylated, and the other is detectably labeled. If the precise complementary sequence is found in a target molecule, the oligonucleotides will hybridize such that their termini abut, and create a ligation substrate. Ligation then permits the labeled oligonucleotide to be recovered using avidin, or another biotin ligand. Nickerson, D. A. *et al.* have described a nucleic acid detection assay that combines attributes of PCR and OLA (Nickerson, D. A. *et al.*, (1990) *Proc. Natl. Acad. Sci. (U.S.A.)* 87:8923-8927. In this method, PCR is used to achieve the exponential amplification of target DNA, which is then detected using OLA.

The invention further provides methods for detecting single nucleotide polymorphisms in a marker. Because single nucleotide polymorphisms constitute sites of variation flanked by regions of invariant sequence, their analysis requires no more than the determination of the identity of the single nucleotide present at the site of variation and it is unnecessary to determine a complete gene sequence for each subject. Several methods have been developed to facilitate the analysis of such single nucleotide polymorphisms.

In one embodiment, the single base polymorphism can be detected by using a specialized exonuclease-resistant nucleotide, as disclosed, *e.g.*, in Mundy, C. R. (U.S.

Patent Number 4,656,127 (incorporated by reference)). According to the method, a primer complementary to the allelic sequence immediately 3' to the polymorphic site is permitted to hybridize to a target molecule obtained from a particular animal or human. If the polymorphic site on the target molecule contains a nucleotide that is complementary to the particular exonuclease-resistant nucleotide derivative present, then that derivative will be incorporated onto the end of the hybridized primer. Such incorporation renders the primer resistant to exonuclease, and thereby permits its detection. Since the identity of the exonuclease-resistant derivative of the sample is known, a finding that the primer has become resistant to exonucleases reveals that the nucleotide present in the polymorphic site of the target molecule was complementary to that of the nucleotide derivative used in the reaction. This method has the advantage that it does not require the determination of large amounts of extraneous sequence data.

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In another embodiment of the present invention, a solution-based method is used for determining the identity of the nucleotide of a polymorphic site (Cohen, D. *et al.* French Patent 2,650,840; PCT Appln. No. WO91/02087 (incorporated by reference)). As in the Mundy method of U.S. Patent Number 4,656,127 (incorporated by reference), a primer is employed that is complementary to allelic sequences immediately 3' to a polymorphic site. The method determines the identity of the nucleotide of that site using labeled dideoxynucleotide derivatives, which, if complementary to the nucleotide of the polymorphic site will become incorporated onto the terminus of the primer.

An alternative method, known as Genetic Bit Analysis or GBA is described by Goelet, P. et al. (PCT Appln. No. 92/15712 (incorporated by reference)). The method of Goelet, P. et al. uses mixtures of labeled terminators and a primer that is complementary to the sequence 3' to a polymorphic site. The labeled terminator that is incorporated is thus determined by, and complementary to, the nucleotide present in the polymorphic site of the target molecule being evaluated. In contrast to the method of Cohen et al. (French Patent 2,650,840; PCT Appln. No. WO91/02087 (incorporated by reference)) the method of Goelet, P. et al. is a heterogeneous phase assay, in which the primer or the target molecule is immobilized to a solid phase.

Several primer-guided nucleotide incorporation procedures for assaying polymorphic sites in DNA have been described (Komher, J. S. *et al.*, (1989) *Nucl. Acids*. *Res.* 17:7779-7784; Sokolov, B. P., (1990) *Nucl. Acids Res.* 18:3671; Syvanen, A. -C., *et al.*, (1990) *Genomics* 8:684-692; Kuppuswamy, M. N. *et al.*, (1991) *Proc. Natl. Acad. Sci.* 

(U.S.A.) 88:1143-1147; Prezant, T. R. et al., (1992) Hum. Mutat. 1:159-164; Ugozzoli, L. et al., (1992) GATA 9:107-112; Nyren, P. (1993) et al., Anal. Biochem. 208:171-175). These methods differ from GBA in that they all rely on the incorporation of labeled deoxynucleotides to discriminate between bases at a polymorphic site. In such a format, since the signal is proportional to the number of deoxynucleotides incorporated, polymorphisms that occur in runs of the same nucleotide can result in signals that are proportional to the length of the run (Syvanen, A.C., et al., (1993) Amer. J. Hum. Genet. 52:46-59).

For determining the identity of the mutation of a polymorphic region located in the coding region of a marker, yet other methods than those described above can be used. For example, identification of a mutation which encodes a mutated marker can be performed by using an antibody specifically recognizing the mutant protein in, *e.g.*, immunohistochemistry or immunoprecipitation. Antibodies to wild-type markers or mutated forms of markers can be prepared according to methods known in the art.

Alternatively, one can also measure an activity of a marker, such as binding to a marker ligand. Binding assays are known in the art and involve, *e.g.*, obtaining cells from a subject, and performing binding experiments with a labeled ligand, to determine whether binding to the mutated form of the protein differs from binding to the wild-type of the protein.

## VI. Exemplary Screening Methods Based on ALK-Inhibition

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The present invention further provides methods for identifying substances that inhibit ALK polypeptides (*e.g.*, EML4-ALK polypeptides) to thereby inhibit cancer cell proliferation, growth, differentiation, apoptosis, and/or metastasis. The methods include contacting a test compound with an ALK polypeptide (*e.g.*, polypeptides listed in Table 1). In some embodiments, the ALK polypeptide comprises a variant (*e.g.*, polypeptides listed in Table 1) that increases the risk of partial or nonresponsiveness to inhibition by one or more ALK inhibitors. A compound that is an inhibitor of tumor metastasis may be identified by determining the effect of a test compound on activity of the ALK polypeptide variant (including, for example, ligand binding such as ATP binding and/or tyrosine kinase activity). In a particular example, a test compound that inhibits tyrosine kinase activity as compared to activity in the absence of the test compound identifies the test compound as an

inhibitor of tumor metastasis. If the compound inhibits activity of an ALK variant, it can further be evaluated for its ability to inhibit tumor growth or metastasis.

In particular, activating tyrosine kinase mutants, including the novel biomarkers of the present invention listed in Table 1 (*e.g.*, ALK mutants), are useful to identify compounds that can be used to treat, ameliorate, or prevent neoplasms, for example by inhibiting or preventing cancer cell proliferation, growth, differentiation, apoptosis, and/or metastasis. Screening chemical libraries for molecules which modulate, *e.g.*, inhibit, antagonize, or agonize or mimic, are known in the art. The chemical libraries, for example, can be peptide libraries, peptidomimetic libraries, chemically synthesized libraries, recombinant, *e.g.*, phage display libraries, and in vitro translation-based libraries, other non-peptide synthetic organic libraries.

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The screening or creation, identification and selection of appropriate high affinity inhibitors of a novel biomarker of the present invention listed in Table 1 (*e.g.*, ALK mutants) can be accomplished by a variety of methods. Broadly speaking these may include, but are not limited to, two general approaches. One approach is to use structural knowledge about the target protein to design a candidate molecule with which it will precisely interact. An example would be computer assisted molecular design, particularly based on novel structure-function information disclosed herein as Figure 6. A second approach is to use combinatorial or other libraries of molecules, whereby a large library of molecules is screened for affinity with regard to the target enzyme, or ability to inhibit activity of the target enzyme. In a further example, a panel of antibodies may be screened for the ability to inhibit the target enzyme.

Some embodiments provided herein involve determining the ability of a given compound to inhibit a novel biomarker of the present invention listed in Table 1 (*e.g.*, ALK mutants). Test compounds can be assessed for their probable ability to treat neoplastic lesions either directly, or indirectly by comparing their activities against compounds known to be useful for treating neoplasia. For example, the ability of test compounds to inhibit ligand binding such as ATP binding and/or tyrosine kinase activity against novel biomarkers of the present invention listed in Table 1 (*e.g.*, ALK mutants) can be compared to that of known ALK inhibitors such as PF-02341066 and/or PDD. In one embodiment, such test compounds would have at least 100%, at least 99.9%, at least 99.8%, at least 99.8%, at least 99.2%, at least 99.2%, at least 99.2%, at least 99.1%, at least 99%, at least 97.5%, at least 97.5%, at least 97.5%, at least 97%, at least

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96.5%, at least 96%, at least 95.5%, at least 94%, at least 93.5%, at least 93%, at least 92.5%, at least 92%, at least 91.5%, at least 91%, at least 90.5%, at least 90%, at least 89.5%, at least 89%, at least 88.5%, at least 87.5%, at least 87%, at least 86.5%, at least 86%, at least 85.5%, at least 84.5%, at least 84%, at least 83.5%, at least 83%, at least 82.5%, at least 82%, at least 81.5%, at least 81%, at least 80.5%, at least 80%, at least 79%, at least 78%, at least 77%, at least 76%, at least 75%, at least 74%, at least 73%, at least 72%, at least 71%, at least 70%, at least 69%, at least 68%, at least 67%, at least 66%, at least 65%, at least 64%, at least 63%, at least 62%, at least 61%, at least 60%, at least 59%, at least 58%, at least 57%, at least 56%, at least 55%, at least 54%, at least 53%, at least 52%, at least 51%, at least 50%, or any range in between, of inhibition of a novel biomarker of the present invention listed in Table 1 (e.g., ALK mutants) relative to that of a known ALK inhibitor under the same assay conditions. In certain embodiments, cells can be transfected with a construct encoding a novel biomarker of the present invention listed in Table 1 (e.g., ALK mutants), contacted with a test compound that is tagged or labelled with a detectable marker and analyzed for the presence bound test compound. In certain embodiments, the transfected cells are observed to bind the test compound as compared to cells that have not been transfected with a novel biomarker of the present invention listed in Table 1 (e.g., ALK mutants), which is an indication that the test compound is binding to a novel biomarker of the present invention listed in Table 1 (e.g., ALK mutants) expressed by those cells. The binding of the compound is typically determined by any one of a wide variety of assays known in the art such as ELISA, RIA, and/or BIAcore assays.

Compounds can be screened for inhibitory or other effects on the activity of a novel biomarker of the present invention listed in Table 1 (*e.g.*, ALK mutants) using an expressed recombinant version of the enzyme, or a homolog or ortholog isolated from another species. Alternatively, cells expressing one of these novel biomarker polypeptides can be treated with a test compound and the effect of the test compound on phosphorylation of a specific target can be determined, for instance using one of the techniques described herein. In one example, tyrosine kinase activity is determined. Methods for determining tyrosine kinase phosphorylation influencing activity (*e.g.*, inhibition) are well known to one of skill in the art. In some examples, tyrosine kinase activity may be determined by assessing incorporation of a labeled phosphate (such as <sup>32</sup>P-labeled phosphate) into a substrate which is capable of being phosphorylated by a novel biomarker of the present invention listed in

Table 1 (*e.g.*, ALK mutants) (*e.g.*, a protein or a peptide fragment, especially those of downstream signaling components). In other embodiments, tyrosine kinase activity can be measured using a universal tyrosine kinase activity kit (for example, Universal Tyrosine Kinase Assay Kit (Takara Bio, Inc., Madison, Wis.); Tyrosine Kinase Assay Kit (Millipore, Billerica, Mass.)).

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In another embodiment, screening methods are provided that involve further determining whether the compound reduces the growth of tumor cells, for instance, tumor cells known to express an activated tyrosine kinase mutation such as a novel biomarker of the present invention listed in Table 1 (*e.g.*, ALK mutants). Various cell lines can be used, which may be selected based on the tissue to be tested that are well known to a skilled artisan (*e.g.*, BA/F3 cells). For example, many cell lines are well characterized, and are used for instance by the United States National Cancer Institute (NCI) in their screening program for new anti-cancer drugs.

Significant and statistically significant tumor cell growth inhibition, such as occurs for greater than about 50% at a dose of 100  $\mu$ M, 90  $\mu$ M, 80  $\mu$ M, 70  $\mu$ M, 60  $\mu$ M, 50  $\mu$ M, 40  $\mu$ M, 30  $\mu$ M, 20  $\mu$ M, 10  $\mu$ M, 9  $\mu$ M, 8  $\mu$ M, 7  $\mu$ M, 6  $\mu$ M, 5  $\mu$ M, 4.5  $\mu$ M, 4  $\mu$ M, 3.5  $\mu$ M, 3  $\mu$ M, 2.5  $\mu$ M, 1.5  $\mu$ M, 1  $\mu$ M, 900 nM, 850 nM, 800 nM, 750 nM, 700 nM, 650 nM, 600 nM, 550 nM, 500 nM, 450 nM, 400 nM, 350 nM, 300 nM, 250 nM, 200 nM, 150 nM, 100 nM, 95 nM, 90 nM, 85 nM, 80 nM, 75 nM, 70 nM, 65 nM, 60 nM, 55 nM, 50 nM, 45 nM, 40 nM, 35 nM, 30 nM, 25 nM, 20 nM, 15 nM, 10 nM, 5 nM, 4 nM, 3 nM, 2 nM, 1 nM or below, is further indicative that the compound is useful for treating neoplastic lesions. An IC<sub>50</sub> value may be determined and used for comparative purposes. This value is the concentration of drug needed to inhibit tumor cell growth by 50% relative to the control.

These values can further be applied to other criteria. For example, in other embodiments, screening methods provided herein further involve determining whether the test compound induces apoptosis in cultures of tumor cells. Two distinct forms of cell death may be described by morphological and biochemical criteria: necrosis and apoptosis. Necrosis is accompanied by increased permeability of the plasma membrane, whereby the cells swell and the plasma membrane ruptures within minutes. Apoptosis is characterized by membrane blebbing, condensation of cytoplasm, and the activation of endogenous endonucleases.

Apoptosis occurs naturally during normal tissue turnover and during embryonic development of organs and limbs. Apoptosis also can be induced by various stimuli, including cytotoxic T-lymphocytes and natural killer cells, by ionizing radiation and by certain chemotherapeutic drugs. Inappropriate regulation of apoptosis is thought to play an important role in many pathological conditions including cancer, AIDS, or Alzheimer's disease, etc.

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Test compounds can be screened for induction of apoptosis using cultures of tumor cells maintained under conditions as described above. In some examples of such screening methods, treatment of cells with test compounds involves either pre- or post-confluent cultures and treatment for one to seven days at various concentrations of the test compounds. Apoptotic cells can be measured in both the attached and "floating" portions of the cultures. Both are collected by removing the supernatant, trypsinizing the attached cells, and combining both preparations following a centrifugation wash step (10 minutes, 2000 rpm). Following treatment with a test compound, cultures can be assayed for apoptosis and necrosis, for instance by florescent microscopy following labeling with acridine orange and ethidium bromide. Many methods for measuring apoptotic cells are known to those of ordinary skill in the art; for instance, one method for measuring apoptotic cell number has been described by Duke & Cohen (Curr. Prot. Immuno., Coligan et al., eds., 3.17.1-3.17.1, 1992). For example, floating and attached cells are collected by trypsinization and washed three times in PBS. Aliquots of cells are then centrifuged. The pellet is resuspended in media and a dye mixture containing acridine orange and ethidium bromide prepared in PBS and mixed gently. The mixture then can be placed on a microscope slide and examined for morphological features of apoptosis. Apoptosis also can be quantified by measuring an increase in DNA fragmentation in cells that have been treated with test compounds. Commercial photometric enzyme immunoassays (EIA) for the quantitative *in vitro* determination of cytoplasmic histone-associated-DNA-fragments (mono- and oligo-nucleosomes) are available (e.g., Cell Death Detection ELISA, Boehringer Mannheim).

In additional embodiments, screening methods provided herein further include determining whether the test compound decreases tumor metastasis, for example in an animal model of metastasis. Methods for assessing tumor metastasis are known to one of skill in the art (see *e.g.* Khanna and Hunter, Carcinogenesis 26:513-523, 2005). One model of metastasis involves human-mouse xenografts, in which human cancer cell lines or tissues

are transplanted into immunocompromised mice (such as SCID mice or nude mice). In similar methods, a cell line that has been engineered to express a novel biomarker of the present invention listed in Table 1 (e.g., ALK mutants) can be transplanted into an immunocompromised mouse. In one example, tumor cells or cell lines are injected directly into the systemic circulation. The site of injection largely defines the site to which metastases develop in these experimental systems. The most common site of tumor cell injection employed for experimental metastasis models is the lateral tail vein in mice, which results primarily in pulmonary metastases. In contrast, intrasplenic or portal vein injection of tumor cells is the most common site employed for developing metastasis in the liver and intracardiac injection of cells may result in metastases to several sites, including bone. Following injection of tumor cells or other cell lines into the circulation, development of metastases at the site of interest (such as lung) is monitored over a period of days or weeks.

Another model for assessing tumor metastasis utilizes orthotopic transplantation, wherein cancer cells are transplanted to the anatomic location or tissue from which a tumor was derived (for example by direct injection or surgical implantation of tumor fragments). Spontaneous metastases that arise from the orthotopic tumor can be assessed over a period of days or weeks. The ability of a test compound to decrease or prevent tumor metastasis may be assessed by administering a test compound to an animal following injection of tumor cells subcutaneously, intramuscularly, or into the circulation or by orthotopic transplantation. The number, size, or time of development of metastases may be assessed. A compound that inhibits tumor metastasis may decrease the number of metastases, for example by at least 10%, at least 20%, at least 30%, at least 40%, at least 50%, at least 55%, at least 60%, at least 65%, at least 70%, at least 75%, at least 80%, at least 85%, at least 90%, at least 95%, or even 100% as compared to a control sample. A compound that inhibits tumor metastasis may also decrease the size of metastases as compared to a control sample. Similarly, a compound that inhibits tumor metastasis may delay the onset of development of metastases, for example by at least one week, two weeks, one month, six months, one year, or even indefinitely.

## VII. Exemplary ALK Inhibitors

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The methods disclosed herein include identifying a subject as a candidate for treatment with an inhibitor of a novel biomarker of the present invention listed in Table 1 (e.g., ALK mutants) to induce tumor cell death, reduce tumor growth, or decrease risk of tumor metastasis. Inhibitors of ALK polypeptides are known to one of skill in the art. For

example, PF-02341066, PDD, 2-methyl-11-(2-methylpropyl)-4-oxo-4,5,6,11,12,13-hexahydro-2*H*-indazolo[5,4-*a*]pyrrolo[3,4-*c*]carbazol-8-yl [4-(dimethylamino) benzyl]carbamate, (1*S*,2*S*,3*R*,4*R*)-3-({5-chloro-2-[(1-ethyl-2,3,4,5-tetrahydro-6-methoxy-2-oxo-1*H*-1-benzazepin-7-yl)amino]-4-pyrimidinyl}amino)bicyclo[2.2.1]hept-5-ene-2-carboxamide, and NVP-TAE684 9 see, for example, *PNAS* 104:270-275, 2007; Choi, Y.L. *et al.* (2008) *Cancer Res.* 68:4971-2976; and *Biochemistry* 48:3600-3609, 2009, which are hereby incorporated by reference).

#### **Incorporation by Reference**

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All publications, patents, and patent applications mentioned herein are hereby incorporated by reference in their entirety as if each individual publication, patent or patent application was specifically and individually indicated to be incorporated by reference. In case of conflict, the present application, including any definitions herein, will control.

Also incorporated by reference in their entirety are any polynucleotide and polypeptide sequences which reference an accession number correlating to an entry in a public database, such as those maintained by The Institute for Genomic Research (TIGR) on the world wide web at tigr.org and/or the National Center for Biotechnology Information (NCBI) on the world wide web at ncbi.nlm.nih.gov.

#### **EXEMPLIFICATION**

This invention is further illustrated by the following examples which should not be construed as limiting. The contents of all references, figures, sequence listing, patents and published patent applications cited throughout this application are hereby incorporated by reference.

#### Example 1 - Materials and Methods for Examples 2-4

## a. DNA sequencing

Oligo(dT)-primed cDNAs were generated from specimen RNAs extracted with the use of the EZ1 system (Qiagen, Valencia, CA) and were subjected to the polymerase chain reaction (PCR) of 30 cycles (consisting of 98°C for 10 s and 68°C for 1 min) with PrimeSTAR HS DNA polymerase (Takara Bio Inc., Shiga, Japan) and the primers ALK-

TK-F (5'-TACAACCCCAACTACTGCTTTGCT-3') and ALK-TK-R1 (5'-AGGCACTTTCTCTTCCTCTCCAC-3'). The PCR products corresponding to the kinase domain of ALK were then fragmented and sequenced with an Illumina Genome Analyzer II (GAII) for 76 bases from both ends by the paired-end sequencing system (Illumina, San Diego, CA). Raw read data were quality-filtered on the basis of the presence of the PCR primer sequences and a Q value of ≥20 for all bases. The filter-passed reads were then aligned to the ALK cDNA sequence with the use of the Bowtie algorithm (available on the world wide web at bowtie-bio.sourceforge.net/index.shtml).

For capillary sequencing with a 3130xl Genetic Analyzer (Applied Biosystems, Foster City, CA), PCR products were prepared from cDNAs with the same primer set or with the combination of the EA-F-g-S (5'-CCACACCTGGGAAAGGACCTAAAG-3') and ALK-TK-R2 (5'-CCTCCAAATACTGACAGCCACAGG-3') primers.

#### b. Mutant EML4-ALK

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A cDNA encoding FLAG epitope–tagged EML4-ALK variant 1 (Soda, M. *et al.* (2007) Nature 448:561-566) was inserted into the pMX-iresCD8 retroviral vector (Yamashita Y. *et al.* (2001) J. Biol. Chem. 276:39012-39020) for simultaneous expression of FLAG-tagged EML4-ALK and mouse CD8. Nucleotide changes corresponding to the C1156Y and L1196M mutations of ALK were introduced into the plasmid individually or in combination for expression of EML4-ALK(C1156Y), EML4-ALK(L1196M), or EML4-ALK(C1156Y/L1196M). Recombinant retroviruses based on these plasmids were generated with the use of the packaging cell line, BOSC23 (Pear, W.S. *et al.* (1993) Proc. Natl. Acad. Sci. USA 90:8392-8396), and were used to infect the mouse interleukin-3–dependent cell line BA/F3 (Palacious, R. *et al.* (1985) Cell 41:727-734). The resulting CD8-positive cells were purified with the use of a miniMACS cell separation column and magnetic beads conjugated with antibodies to CD8 (both from Miltenyi Biotec, Gladbach, Germany). PF-02341066 was obtained from Selleck.

For examination of the tyrosine phosphorylation of EML4-ALK, BA/F3 cells expressing the fusion protein were exposed to ALK inhibitors for 15 h, after which EML4-ALK was immunoprecipitated from cell lysates with antibodies to FLAG (Sigma-Aldrich, St. Louis, MO) and subjected to immunoblot analysis with antibodies to Tyr<sup>1604</sup>-phosphorylated ALK (Cell Signaling Technology, Danvers, MA). An *in vitro* kinase assay was performed at room temperature for 30 min as described previously (Donella-Deana, A.

*et al.* (2005) Biochemistry 44:8533-8542) with the synthetic YFF peptide (Operon Biotechnologies, Huntsville, AL).

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## Example 2 - Novel ALK mutations associated with resistance to ALK tyrosine kinase inhibitors

The patient was a 28-year-old man without a history of smoking, and was diagnosed with lung adenocarcinoma at a clinical stage of T4N3M1 in April 2008. Given that the tumor did not harbor any EGFR mutations, the patient was treated by conventional chemotherapy, which resulted in disease progression with the formation of multiple metastases in the brain and bone. In November 2008, the presence of mRNA for EML4-ALK variant 1 in the tumor was confirmed by reverse transcription–PCR analysis of sputum as well as by fluorescence *in situ* hybridization analysis of a biopsy specimen. The patient was thus enrolled in a trial of PF-02341066 and experienced a marked improvement in his performance status (reduction from level 4 to 2). Although he showed a "partial response" to the treatment, his pleural effusion was not totally eradicated. After 5 months of treatment, however, the tumor abruptly started to grow again, resulting in an increase in pleural effusion and in the formation of multiple cancer nodules in both lungs. The patient was dropped from the trial in May 2009, and pleural effusion was then obtained for molecular analysis.

Given that the tumor resumed growth despite sustained administration of the ALK inhibitor, it was determined whether the tumor acquired secondary genetic changes conferring resistance to the drug. Furthermore, given that resistance to TKIs often results from acquired mutations within the target kinases, the possibility that EML4-ALK itself had undergone amino acid changes was examined.

Sputum (ID J-#1) and pleural effusion (ID J-#113) specimens were available for molecular analysis of the patient's tumor before and after treatment, respectively. Given that the proportion of tumor cells in the two specimens may have differed, a next-generation sequencer was used to perform deep sequencing of EML4-ALK cDNAs derived from these specimens. The cDNAs corresponding to the tyrosine kinase domain of ALK were thus amplified from both specimens (Figure 1A), fragmented, and subjected to nucleotide sequencing with the GAII system. For comparison, the EML4-ALK-positive NSCLC cell line, H2228, and three other clinical specimens also positive for the fusion protein were similarly analyzed. A known single nucleotide polymorphism, rs3795850, was detected in

the cDNAs from the four specimens (Figure 1B). In addition, a T→C change at a position corresponding to nucleotide 4230 of human wild-type ALK cDNA (GenBank accession number, NM\_004304) was detected at a low frequency (8.9%) in the J-#1 cDNAs. Furthermore, two novel alterations, G→A and C→A changes at positions corresponding to nucleotides 4374 and 4493 of wild-type ALK cDNA, were detected at frequencies of 41.8 and 14.0%, respectively, in the J-#113 cDNAs. There were no other recurrent alterations (present in ≥5% of reads) in the kinase-domain cDNAs derived from any of the specimens.

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These nucleotide changes were subsequently confirmed using a Sanger sequencer. To exclude the possibility that the mutations had occurred in endogenous wild-type ALK rather than in EML4-ALK, PCR was also performed with a forward primer targeted to EML4 cDNA so that only the fusion cDNA would be amplified (Figure 1A). The T4230C change was not detected among hundreds of fusion cDNAs derived from J-#1, indicating that it was an artifact that arose in the initial PCR or the GAII sequencing step.

However, both the G4374A and C4493A changes were readily confirmed by Sanger sequencing. Among 73 fusion cDNA clones sequenced for J-#113, 34 clones (46.6%) were positive for G4374A, 11 (15.1%) were positive for C4493A, and the remainder (38.4%) were wild type (Figure 1C). Whereas the PCR analysis covered both nucleotide positions in the same products, none of the products contained both mutations, indicating that each mutation occurred independently. Genomic fragments encompassing the G4374 or C4493 positions were also amplified by PCR and subjected to nucleotide sequencing, resulting in confirmation of each change in the tumor genome (Figure 2).

The G4374A and C4493A substitutions result in Cys→Tyr and Leu→Met changes at the positions corresponding to amino acids 1156 and 1196, respectively, of wild-type human ALK.

Example 3 - Novel ALK mutations confer resistance to ALK tyrosine kinase inhibitors

It was next examined whether such amino acid changes affect the sensitivity of EML4-ALK to ALK inhibitors. Wild-type EML4-ALK, the single mutants EML4-ALK(C1156Y) and EML4-ALK(L1196M), and the double mutant EML4-ALK(C1156Y/L1196M) were expressed individually in BA/F3 cells, and the cells were then exposed to ALK inhibitors. PF-02341066 inhibited in a concentration-dependent manner the growth of BA/F3 cells expressing wild-type EML4-ALK (Figure 4A). In

contrast, cells expressing either C1156Y or L1196M mutants manifested a markedly reduced sensitivity to this drug, with repeated experiments showing that BA/F3 cells expressing EML4-ALK(L1196M) were more resistant to PF-02341066 than were those expressing EML4-ALK(C1156Y) (Figure 3). The presence of both mutations did not result in an additive effect on the resistance of cells to PF-02341066. These data thus showed that C1156Y and L1196M mutations each confer resistance to this drug.

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Tyrosine phosphorylation of EML4-ALK was examined by immunoblot analysis with antibodies specific for ALK phosphorylated at Tyr1604. Although exposure of BA/F3 cells to PF-02341066 markedly inhibited the tyrosine phosphorylation of wild-type EML4-ALK, it had no substantial effect on that of EML4-ALK(C1156Y) or EML4-ALK(L1196M) (Figure 4B). Consistent with these findings, an in vitro kinase assay revealed that the C1156Y and L1196M mutants of EML4-ALK were less sensitive to inhibition of enzymatic activity by PF-02341066 than was the wild-type protein (Figure 4C). As was the case for inhibition of cell growth (Figure 4A), the L1196M mutant was more refractory to inhibition of kinase activity by PF-02341066 than was the C1156Y mutant (Figure 4C).

# Example 4 - Structure-function relationships between novel ALK mutations and resistance to ALK tyrosine kinase inhibitors

Figure 5 shows the positions of Cys1156 and Leu1196 in a three-dimensional structural model of the kinase domain of ALK based on the crystal structure of a related kinase, the insulin receptor. The former residue is positioned adjacent to the aminoterminus of the predicted helix αC as well as close to the upper lid of the ATP-binding pocket. No activating mutations have been reported at this position in other tyrosine kinases. Leu1196 of ALK corresponds to Thr315 of ABL1 and Thr790 of EGFR, each of which is the site of the most frequent acquired mutations that confer resistance to TKIs in these kinases (Deininger, M. *et al.* (2005) Blood 105:2640-2653; Linardou, H. *et al.* (2009) Nat. Rev. Clin. Oncol. 6:352-366). This "gatekeeper" site is located at the bottom surface of the ATP-binding pocket (Figure 5), and the presence of an amino acid with a bulky side chain at this position is known to interfere with the binding of many TKIs (Shah, N.P. *et al.* (2002) Cancer Cell 2:117-125; Tsao, M.S. *et al.* (2005) N. Engl. J. Med. 353:133-144).

Thus, two *de novo* mutations within the kinase domain of EML4-ALK that confer resistance to multiple ALK inhibitors were identified. Given that no EML4-ALK cDNAs were observed harboring both mutations, it is believed that each mutation developed

independently in distinct subclones of the tumor.

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Without being bound by theory, given that cDNAs prepared from sputum of the patient before treatment did not contain nucleotide changes corresponding to the C1156Y or L1196M mutations, it is likely that the tumor subclones acquired the mutations de novo during treatment with PF-02341066. However, because pleural effusion could not be examined before treatment, the possibility that tumor cells harboring the C1156Y or L1196M mutants were already present in pleural effusion on initial admission of the patient cannot be completely excluded. If this were the case, the tumor might have acquired other, as yet unknown mutations during the 5-month period of treatment with PF-02341066 that allowed its subsequent rapid growth. However, the subclones of tumor cells with the C1156Y or L1196M mutations should have been refractory to the initial treatment and should have expanded during the treatment course. On the contrary, there were no signs of tumor expansion in the patient for at least 5 months, indicating that the C1156Y and L1196M mutations developed during treatment with PF-02341066. This notion is further supported by the fact that the T790M mutation of EGFR that confers resistance to gefitinib or erlotinib is frequently detected in patients previously treated with TKIs but rarely found in untreated individuals (Pao, W. et al. (2005) PLoS Med. 2:e73).

Amino acid substitutions at the gatekeeper position of several tyrosine kinases have been detected in tumors treated with TKIs (Kobayashi, S. *et al.* (2005) N. Engl. J. Med. 352:786-792; Pao, W. *et al.* (2005) PLoS Med. 2:e73; Shah, N.P. *et al.* (2002) Cancer Cell 2:117-125; Cools, J. *et al.* (2003) N. Engl. J. Med. 348:1201-1214; Tamborini, E. *et al.* (2004) Gastroenterology 127:294-299). Whereas no mutations at this site have previously been reported for EML4-ALK or ALK, the effects of various artificial amino acid substitutions at the gatekeeper position of NPM-ALK, another fusion-type oncokinase for ALK, were recently examined (Lu, L. *et al.* (2009) Biochemistry 48:3600-3609). Consistent with the present analysis of tumor cells *in vivo*, introduction of Met at this position was found to render NPM-ALK most resistant to multiple ALK inhibitors.

In contrast to gatekeeper substitutions, activating mutations at the position immediately amino-terminal to the  $\alpha$ C helix (Cys1156 in ALK) have not been reported for other tyrosine kinases. Whereas a Thr $\rightarrow$ Ile change at the corresponding position of EGFR was described in one NSCLC case, its relevance to drug sensitivity was not examined (Tsao, M.S. *et al.* (2005) N. Engl. J. Med. 353:133-144). The importance of helix  $\alpha$ C for allosteric regulation of enzymatic activity has been demonstrated for serine-threonine

kinases (Hindie, V. *et al.* (2009) Nat. Chem. Biol. 5:758-764). A change at Cys1156 of ALK might therefore interfere allosterically with TKI binding, or Cys1156 might be directly involved in the physical interaction between the kinase domain and TKIs.

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## **Equivalents**

Those skilled in the art will recognize, or be able to ascertain using no more than routine experimentation, many equivalents to the specific embodiments of the present invention described herein. Such equivalents are intended to be encompassed by the following claims.

What is claimed is:

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1. A method for identifying a subject having cancer or at risk for developing cancer as having an increased risk of unresponsiveness to treatment with an ALK inhibitor, comprising:

- a. collecting a sample from the patient; and
- b. analyzing the sample to detect the presence of one or more mutant ALK polynucleotide molecules,

wherein the presence of the one or more mutant ALK polynucleotide molecules indicates that the subject has an increased risk of unresponsiveness to treatment with the ALK inhibitor.

- 2. A method for identifying a subject having cancer or at risk for developing cancer as having an increased risk of unresponsiveness to treatment with an ALK inhibitor, comprising:
  - a. collecting a sample from the patient; and
- b. analyzing the sample to detect the expression level, structure, and/or activity of one or more mutant ALK polypeptides,

wherein the presence of the one or more mutant ALK polypeptides indicates that the subject has an increased risk of unresponsiveness to treatment with the ALK inhibitor.

- 3. The method of claim 1 or 2, wherein the subject has not previously been treated with an ALK inhibitor, or has been previously treated with an ALK inhibitor and has developed at least partial resistance to the ALK inhibitor.
- 4. The method of claim 1 or 2, wherein the cancer is selected from the group consisting of anaplastic large cell lymphoma, neuroblastoma, breast cancer, colorectal cancer, inflammatory myofibroblastic tumors, and non-small cell lung cancers.
- 5. The method of claim 1, 2, or 3, wherein the ALK inhibitor is selected from the group consisting of PF-02341066, PDD, 2-methyl-11-(2-methylpropyl)-4-oxo-4,5,6,11,12,13-hexahydro-2*H*-indazolo[5,4-*a*]pyrrolo[3,4-*c*]carbazol-8-yl [4-(dimethylamino) benzyl]carbamate, (1*S*,2*S*,3*R*,4*R*)-3-({5-chloro-2-[(1-ethyl-2,3,4,5-tetrahydro-6-methoxy-2-oxo-1*H*-1-benzazepin-7-yl)amino]-4-
- pyrimidinyl}amino)bicyclo[2.2.1]hept-5-ene-2-carboxamide, and NVP-TAE684.

6. The method of claim 1 or 2, wherein the sample is selected from the group consisting of sputum, bronchoalveolar lavage, pleural effusion, tissue, whole blood, serum, plasma, buccal scrape, saliva, cerebrospinal fluid, urine, stool, circulating tumor cells, circulating nucleic acids, and bone marrow.

- 5 7. The method of claim 6, wherein the sample is tissue; and the tissue is a tumor or cancer tissue.
  - 8. The method of claim 1 or 2, wherein the sample comprises cells.

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- 9. The method of claim 1 or 2, wherein the one or more mutant ALK polynucleotide molecules or polypeptides are selected from the group consisting of the mutant ALK polynucleotide molecules or polypeptides listed in Table 1.
- 10. The method of claim 1, wherein the one or more ALK mutations are assessed by a nucleic acid hybridization assay.
- 11. The method of claim 1, wherein the one or more ALK mutations are assessed by polymerase chain reaction.
- 15 12. The method of claim 2, wherein the expression level of the one or more ALK polypeptides is detected using a reagent which specifically binds to one or more ALK polypeptides.
  - 13. The method of claim 12, wherein the reagent is selected from the group consisting of an antibody, an antibody derivative, and an antibody fragment.
- 14. The method of claim 2, wherein the amount, structure and/or activity of the one or more mutant ALK polypeptides is compared to a control sample.
  - 15. The method of claim 1 or 2, wherein the one or more ALK mutations are assessed at a first point in time and at least one subsequent point in time.
- 16. The method of claim 1 or 2, wherein the sample comprises germline or somatic genomic DNA.
  - 17. A method of treating a patient having cancer, or at risk for developing cancer, comprising:
    - a. collecting a sample from the patient;
- b. analyzing the sample to detect the presence of one or more mutant ALK
   polynucleotide molecules set forth in Table 1; and

c. administering to said patient a therapeutically effective amount of an ALK inhibitor.

- 18. The method of claim 17, wherein the ALK inhibitor is selected from the group consisting of PF-02341066, PDD, 2-methyl-11-(2-methylpropyl)-4-oxo-4,5,6,11,12,13-
- hexahydro-2*H*-indazolo[5,4-*a*]pyrrolo[3,4-*c*]carbazol-8-yl [4-(dimethylamino) benzyl]carbamate, (1*S*,2*S*,3*R*,4*R*)-3-({5-chloro-2-[(1-ethyl-2,3,4,5-tetrahydro-6-methoxy-2-oxo-1*H*-1-benzazepin-7-yl)amino]-4-pyrimidinyl}amino)bicyclo[2.2.1]hept-5-ene-2-carboxamide, and NVP-TAE684.
  - 19. The method of claim 17, wherein the ALK inhibitor is PF-02341066.
- 10 20. The method of claim 17, wherein the subject has not previously been treated with an ALK inhibitor, or has been previously treated with an ALK inhibitor and has developed at least partial resistance to the ALK inhibitor.
  - 21. A kit for determining the chemosensitivity of a cancer patient to treatment with an ALK inhibitor, comprising: a reagent that specifically binds to one or more mutant ALK polynucleotide molecules or polypeptides; and instructions for use.
  - 22. The kit of claim 21, further comprising an ALK inhibitor.

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- 23. The kit of claim 21, wherein the reagent comprises one or more polynucleotide probes, each of which comprises a polynucleotide sequence which is complementary to a nucleotide sequence listed in Table 1 or complementary to a nucleotide sequence encoding a polypeptide listed in Table 1.
- 24. The kit of claim 23, wherein the probes comprise polynucleotides from about 50 to  $10^7$  nucleotides in length.
- 25. The kit of claim 23, wherein the probes are selected from the group consisting of oligonucleotides, cDNA molecules, RNA molecules, and synthetic gene probes comprising nucleobases.
- 26. The kit of claim 21, wherein the reagent comprises an antibody, and antibody derivative, and an antibody fragment to a polypeptide encoded by one or more polynucleotide sequences listed in Table 1.
- 27. A method of determining whether a test compound modulates activity of one or more mutant ALK polypeptides comprising:

(a) contacting mammalian cells transfected with a construct encoding the one or more mutant ALK polypeptides with the test compound; and

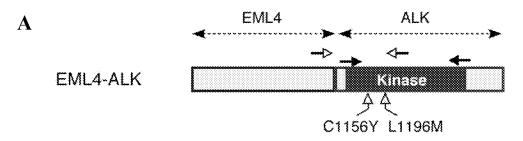
- (b) assessing the mammalian cells for activity of the one or more mutant ALK polypeptides, wherein significantly modulated activity in the presence of the test compound relative to a control experiment identifies the test compound as a modulator of the one or more mutant ALK polypeptides.
- 28. The method of claim 27, wherein the one or more mutant ALK polynucleotide molecules or polypeptides are selected from the group consisting of the mutant ALK polynucleotide molecules or polypeptides listed in Table 1.

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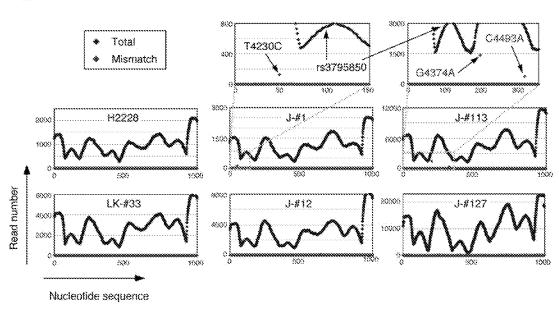
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- 10 29. The method of claim 27 or 28, wherein the control comprises mammalian cells expressing a wild type ALK polypeptide selected from the group consisting of polypeptides listed in Table 1.
  - 30. The method of claim 29, wherein activity of the one or more mutant ALK polypeptides is selected from the group consisting of: ATP binding, tyrosine kinase activity, cancer cell proliferation, tumor growth, tumor number, apoptosis, and tumor metastasis.
  - 31. The method of claim 27 or 28, wherein the control experiment comprises mammalian cells expressing the one or more mutant ALK polypeptides in the absence of the test compound.
- 20 32. The method of claim 31, wherein activity of the one or more mutant ALK polypeptides is selected from the group consisting of: ATP binding, tyrosine kinase activity, cancer cell proliferation, tumor growth, tumor number, apoptosis, and tumor metastasis.

Figure 1



B



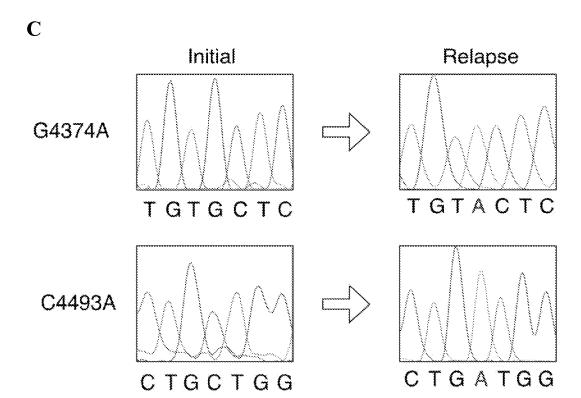


Figure 2

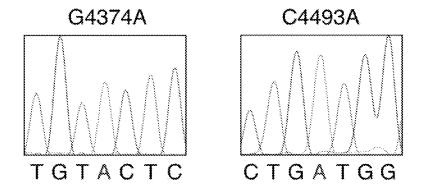


Figure 3

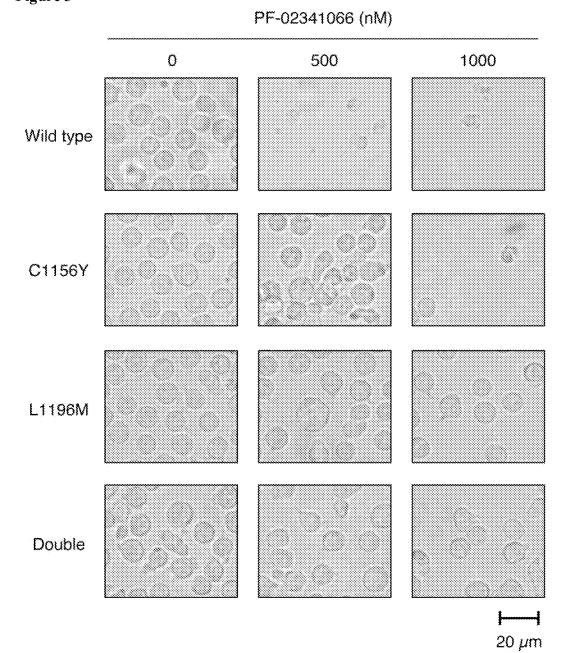
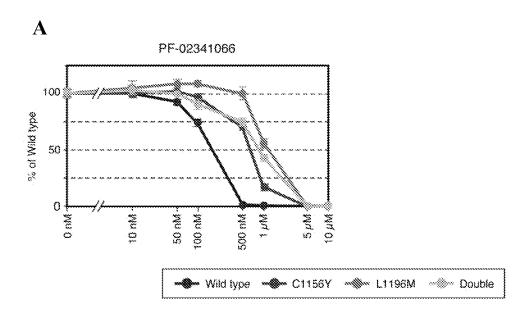
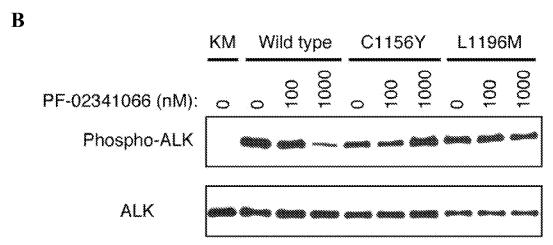


Figure 4





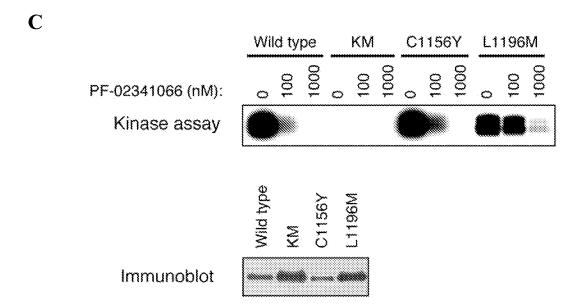


Figure 5

