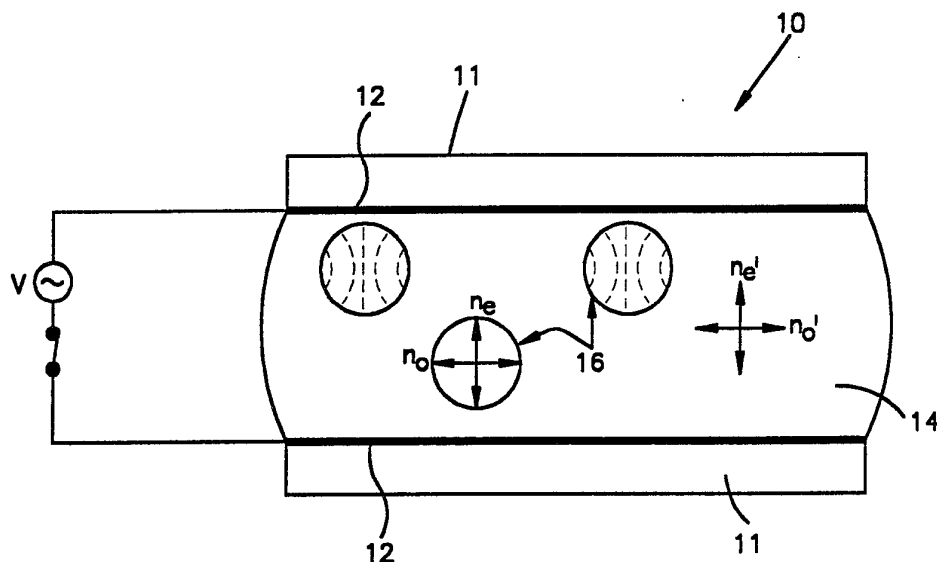




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>5</sup> :</b>  <b>C09K 19/52, 19/54</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 89/09807</b>  <b>(43) International Publication Date:</b> 19 October 1989 (19.10.89)
<b>(21) International Application Number:</b> PCT/US89/01446 <b>(22) International Filing Date:</b> 7 April 1989 (07.04.89)  <b>(30) Priority data:</b> 180,215                      11 April 1988 (11.04.88)      US 267,232                      4 November 1988 (04.11.88)      US 324,051                      20 March 1989 (20.03.89)      US  <b>(71) Applicant:</b> KENT STATE UNIVERSITY [US/US]; East Main & Lincoln Street, Kent, OH 44242 (US).  <b>(72) Inventors:</b> DOANE, Joseph, W. ; 1618 South Lincoln Street, Kent, OH 44240 (US). WEST, John, L. ; 164 North Main Street, Munroe Falls, OH 44262 (US).  <b>(74) Agent:</b> HEINKE, Lowell, L.; Watts, Hoffmann, Fisher & Heinke, 100 Erieview Plaza, Suite 2850, Cleveland, OH 44114 (US).		<b>(81) Designated States:</b> AT (European patent), AU, BE (European patent), BR, CH (European patent), DE (European patent), DK, FI, FR (European patent), GB (European patent), IT (European patent), JP, KR, LU (European patent), NL (European patent), SE (European patent), SU.  <b>Published</b> <i>With international search report.</i>

**(54) Title:** LIGHT MODULATING MATERIALS COMPRISING LIQUID CRYSTAL MICRODROPLETS DISPERSED IN A BIREFRINGENT POLYMERIC MATRIX

**(57) Abstract**

A haze-free light modulating polymer dispersed liquid crystal (PDLC) material is disclosed which comprises a polymer matrix which is birefringent and possesses anisotropic optical properties similar to those of the dispersed liquid crystal microdroplets such that the PDLC material in its transparent state exhibits a refractive index that is matched for all directions of incident light providing for an optically clear, scatter-free film for all angles of view. Electrooptic light shutters are possible from this material which are transparent in the field OFF-state and opaque in the field ON-state or vice versa, depending upon the microdroplet structure and configuration of the light shutter device.

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LIGHT MODULATING MATERIALS COMPRISING LIQUID CRYSTAL  
MICRODROPLETS DISPERSED IN A BIREFRINGENT POLYMERIC MATRIX

RELATED APPLICATIONS

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This application is a continuation-in-part of applica-  
tion Serial No. 267,232, filed November 4, 1988, which is a  
continuation-in-part of application Serial No. 180,215,  
filed April 11, 1988.

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BACKGROUND OF THE INVENTION

1. Technical Field

This invention relates to liquid crystal light  
modulating materials and, more particularly, to light  
modulating materials comprising liquid crystal micro-  
droplets dispersed in a birefringent, light transmissive  
synthetic resin matrix.

2. Description of the Related Art

The advantages associated with light modulating  
materials prepared as phase-separated dispersions of liquid  
crystal microdroplets in light transmissive, synthetic  
resins matrices are discussed in U.S. Patent Nos. 4,671,618;  
4,673,255; 4,685,771; and 4,688,900, the disclosures of  
which are incorporated by reference. Such materials are  
referred to as polymer dispersed liquid crystal (PDLC)  
materials.

The method of phase separation can be carried out  
by polymerization (PIPS), thermal induction (TIPS), or  
solvent evaporation (SIPS). As disclosed in U.S. Patent  
Nos. 4,685,771 and 4,688,900, epoxy resins are useful in  
these techniques. For PIPS, a matrix-providing composition  
containing liquid crystal and uncured epoxy is polymerized  
by the addition of a curing agent, e.g., a fatty amine, or  
by ultraviolet light, to yield microdroplets of liquid  
crystal in a thermoset polymeric epoxy resin. For TIPS, a

matrix-providing composition containing liquid crystal and epoxy resin modified by curing with a non-cross-linking curing agent, such as monoalkylamine, so as to exhibit thermoplastic behavior, is heated and then cooled to yield microdroplets of liquid crystal in a thermoplastic polymeric epoxy resin.

Electrically addressable, light modulating materials prepared by phase separation techniques have employed liquid crystals exhibiting positive dielectric anisotropy. Such materials are opaque to incident light in the absence of an applied electric field and are transmissive to incident light in the presence of a field.

Mechanical entrapment techniques have been used to fabricate devices employing liquid crystals exhibiting both positive dielectric anisotropy (U.S. Patent No. 4,435,047) and negative dielectric anisotropy (French Patent No. 2,139,537). Devices employing negative dielectric anisotropic liquid crystals are fairly transparent in the OFF-state and increase opacity as a function of applied voltage in the ON-state.

The various techniques of preparing light modulating materials having microdroplets of liquid crystal in a light transmissive resin matrix can be accompanied by techniques of matching and mismatching the effective index of refraction of the microdroplets to the index of refraction of the matrix in order to achieve a desired viewing angle in which displays, windows, etc. incorporating such materials may be made viewable or clear. For example, in the case of PDLC materials made with liquid crystal exhibiting positive dielectric anisotropy, the ordinary index of refraction typically is matched to the refractive index of the matrix so that in a field-ON state the display or window appears visible or clear because the optical axes of refraction of the microdroplets are aligned parallel to the field and normal to the viewing surface. In the field-OFF state, the

optical axes are misaligned or randomly oriented so that incident light is scattered and the display or window appears opaque.

5 In windows or displays as described above in which the ordinary index of refraction of the liquid crystal is matched to the refractive index of the matrix, the device appears most transparent (field-ON state) when viewed directly in the direction of the field which is usually normal to the viewing surface. Transparency decreases giving rise to increasing "haze" at increasing oblique 10 angles until an essentially opaque appearance is detected at an oblique enough angle. This condition of haze results from the fact that the further the viewing angle is from the orthogonal, the greater is the perceived mismatch between the extraordinary indices of refraction of the liquid 15 crystal microdroplets and the refractive index of the matrix.

It has now been discovered that it is possible to produce liquid crystal, light modulating material of the 20 type described which is essentially haze-free and transparent at all viewing angles. This is accomplished by using a birefringent material as the matrix and matching the ordinary and extraordinary indices of refraction of the microdroplets to the ordinary and extraordinary indices of refraction of the matrix. When the optical axes of the 25 microdroplets and the matrix are aligned or parallel, the material is transparent and there is no perceived mismatch of the indices regardless of the angle of view. The haze-free viewing angle is  $\pm 90^\circ$  from the perpendicular to the viewing surface. Because of surface reflections, such as 30 may occur at the inner and outer surfaces of the substrates, the actual full field of view may be about  $\pm 60^\circ$ .

The new material can operate in the usual manner so that it is transparent in a field-ON state and opaque in a 35 field-OFF state. Alternatively, it has been discovered that

the new material can be made to operate in a reverse or "fail-safe" mode such that the material is transparent in the absence of a field and is opaque in a field-ON state.

5 Disclosure of the Invention

The invention generally comprises a light modulating liquid crystal device incorporating a new PDLC material comprising microdroplets of liquid crystal dispersed in a  
100 birefringent, light transmissive matrix, the effective indices of refraction of the microdroplets and the matrix being matched for all directions of incident light so that the device is transparent and haze-free in one of a field-ON state or a field-OFF state, and the effective indices of  
15 refraction are mismatched so that the device is opaque in the other of the field-ON state or field-OFF state. In one particular embodiment of the invention to be described, either the liquid crystal of the microdroplets or the matrix is a liquid crystal polymer.

20 One embodiment of the invention more particularly comprises a light modulating liquid crystal device incorporating a material comprising microdroplets of low molecular weight liquid crystal dispersed in a light transmissive, liquid crystal polymeric matrix having its  
25 optical axis aligned relative to a surface of the material, the ordinary and the extraordinary indices of refraction of the liquid crystal microdroplets being respectively matched to the ordinary and extraordinary indices of refraction of the matrix, so that the material is transparent and haze-free for all directions of incident light when the optical  
30 axes of the microdroplets are aligned parallel to the optical axis of the matrix, and so that the material is opaque when the effective indices of refraction of the microdroplets and matrix are mismatched. An especially  
35 preferred matrix is a liquid-crystal-side-group polymer.

According to another aspect of the invention, there is provided a method of making a light modulating liquid crystal material having the characteristic of displaying haze-free transparency for all directions of incident light comprising the steps of forming phased dispersed liquid crystal microdroplets in a birefringent, light transmissive polymeric matrix, the microdroplets and matrix having matched ordinary and extraordinary indices of refraction, and aligning the optical axis of the matrix relative to a viewing surface of the material, whereby haze-free transparency is obtained by aligning the optical axis of the microdroplets parallel to the optical axis of the matrix, and the material is rendered opaque by mismatching the effective refractive indices of the microdroplets and matrix. In one preferred embodiment, the method is carried out by forming phased dispersed, low molecular weight liquid crystal droplets in a liquid crystal polymeric matrix wherein the mesogenic units have positive dielectric anisotropy, the liquid crystal of the droplets and the matrix being selected to have matching ordinary and extraordinary indices of refraction.

As recognized by those in the art, the extraordinary index of refraction,  $n_e$  of uniaxial liquid crystal is observed for a linearly polarized light wave where the electric vector is parallel to the optical axis. Thus, the optical axes of the birefringent matrix and the liquid crystal microdroplets of the new PDLC material will be understood to lie in the same directions associated with the respective extraordinary indices of refraction. It will also be understood that the direction associated with the ordinary indices of refraction of the birefringent matrix and the microdroplets is established by the electric vector vibrating perpendicular to the respective optical axes.

Polymeric liquid crystals are known in the art. Polymeric liquid crystals or liquid crystalline polymers

are unions of mesogenic units and polymer main chain. The mesogenic units and the polymer main chain may be combined in two ways to form two types of liquid crystalline polymers - those where the mesogenic unit is contained in the main chain of the polymer and those wherein the mesogenic unit is attached as a pendant (side-chain) from the polymer main chain. The general synthesis, structure and properties of liquid crystalline polymers are discussed in 57 Pure & Appl. Chem., 1009 (1985) and in Polymer Liquid Crystals, Academic Press, Inc., 1982.

The present invention contemplates either main chain or side chain polymers for the microdroplets or the matrix. The preferred liquid crystal is a side chain polymer, since the side chain mesogenic units are more easily alignable in a field than main chain units. The new PDLC materials of the invention incorporating the preferred liquid-crystal-line-side-group polymeric matrix are generally characterized by haze-free full field of view (no angular dependence), an optional reverse or "fail-safe" mode of operation, and improved electrical responses requiring a lower driving voltage, as compared to previous PDLC material.

The preferred liquid crystal polymeric matrix may be made from any suitable synthetic prepolymer cured by a mesogenic curing agent, or it may be made from an uncured resin having mesogenic units cured by a suitable curing agent for the resin. For example, a liquid-crystal-side-chain PDLC material is made by PIPS by mixing liquid crystal with the mesogenic curing agent and uncured synthetic resin and thereafter curing to induce polymerization of the matrix with concomitant microdroplet formation. Liquid-crystal-side-chain PDLC materials are fabricated by PIPS by mixing liquid crystal with curing agent and uncured synthetic resin having a mesogenic side chain, and thereafter curing to induce polymerization of the matrix with concomitant microdroplet formation. Liquid-crystal-side-chain PDLC



materials may be fabricated by TIPS by warming a mixture of liquid crystal and polymerized synthetic resin having liquid-crystal-side-chains to a temperature at which the resin and liquid crystal form a homogeneous solution and thereafter cooling the solution to induce microdroplet formation. Liquid-crystal-side-chain PDLC materials may also be fabricated by the SIPS technique by withdrawing solvent from a solution of liquid crystal and resin having mesogenic units to induce droplet formation.

A preferred matrix comprises a liquid-crystal-side-group synthetic polymer of the modified thermoplastic type, such as the epoxies, or poly(vinyl butyral), poly(vinyl acetate), poly(vinyl formal), polycarbonate, poly(vinyl methyl ketone), poly(methyl acrylate), poly(cyclohexyl methacrylate), poly(isobutyl methacrylate) and poly(methyl methacrylate) and equivalents thereof. The liquid-crystal-side-group preferably comprises a cyanobiphenyl. The cyanobiphenyl moiety is preferably spaced from the polymer main chain by a flexible alkyl spacer of sufficient length to allow the mesogenic moieties to align in a common direction. An example of a suitable mesogenic curing agent is a cyanobiphenyl alkoxy amine.

The mesogenic units of the liquid crystal polymeric matrix can be aligned in different ways. When the mesogenic units have positive anisotropy, an ac or magnetic field will cause the optical axes to be aligned parallel to the field, i.e., in a direction which usually is normal to the viewing surface. This alignment can be made to persist after the field is removed by cross-linking the polymeric matrix, by operating the material below the glass transition temperature, or by surface treatment of the glass substrates which contain the material.

When the device described above goes from the transparent state to the opaque state, the effective refractive index of the microdroplets change. This can happen in

several different ways. One is a change in the director configuration, for example to, a radial-type structure, that alters the value of the microdroplets' effective refractive index. Various types of droplet director configurations have been described in the literature. It will be understood that the director configuration of the droplets in the OFF-state can depend upon the droplet size, the elastic properties of the liquid crystal, and the surface conditions imposed by the polymer. Another mechanism to change the refractive index of the droplets is a change in the orientation of the optical axes of the droplets imposed by a non-spherical shape or by surface anchoring conditions at the droplet wall. Non-spherical droplets with random orientations can sometimes occur during the phase separation process and can provide a random orientation of the optical axes of the droplets in the absence of a field. Such conditions change the effective refractive index of the droplets relative to that of the polymer matrix and render the material opaque in the field-OFF state.

A light modulating device, such as a window or light shutter, etc., incorporating the new haze-free PDLC material of the present invention can be made to operate in the usual way so that it is opaque in a field-OFF state and transparent or light transmissive in a field-ON state. This is accomplished by making the material so that the optical axis of the birefringent matrix is oriented normal to the viewing surface of the material. In the ON-state, an ac field aligns the optical axes of the liquid crystal microdroplets which also have positive dielectric anisotropy, parallel with the optical axis of the matrix, i.e. normal to the viewing surface. Since the ordinary and extraordinary indices of the microdroplets and the matrix are matched, the material is haze-free for all directions of incident light in the ON-state. In the OFF-state, the director configuration of the microdroplets is changed so

that the effective refractive index of the microdroplets is mismatched with respect to the effective refractive index of the matrix for all directions of incident light, whereby incident light is scattered and the material is opaque.

5           The new PDLC material of the invention also can be made to operate in a reverse or fail-safe mode such that the material is transparent in the OFF-state and opaque in the ON-state. In one embodiment of the new reverse mode  
10           operating material, liquid crystal microdroplets are phase dispersed in a birefringent, light transmissive matrix,  
100           preferably, a liquid crystal polymeric matrix, by any of the techniques described above. As in other embodiments of the invention, the ordinary and extraordinary indices of refraction of the microdroplets are respectively matched to  
15           those of the matrix. After the material has been made, oppositely directed shear forces are applied to align the optical axis of the matrix obliquely to the viewing surface. The shear stress also serves to elongate the microdroplets and align their optical axes parallel to the optical axis of  
20           the matrix. The effective refractive indices of the microdroplets and matrix are thus matched so that in the OFF-state the material is transparent and haze-free for all directions of incident light. When the material is  
25           incorporated into a light shutter device and a field is applied normal to the viewing surface, the microdroplets will align either parallel or perpendicular to the field depending upon whether the microdroplets have positive or negative anisotropy, respectively. In either situation, the field causes the effective refractive indices of the  
30           microdroplets to be mismatched with respect to the effective refractive index of the matrix so that the material is light scattering and opaque.

          Another embodiment of a reverse or fail-safe mode  
35           operating material is made with liquid crystal microdroplets having a bipolar structure and negative dielectric aniso-

5 tropy. The liquid crystal microdroplets are phase dispersed  
in a birefringent matrix, preferably a liquid crystal  
polymeric matrix wherein the mesogenic units have positive  
anisotropy. The optical axis of the matrix is aligned  
10 during its formation by application of an ac field. The  
bipolar liquid crystal microdroplets naturally align with  
the matrix so that the optical axes of the microdroplets and  
matrix are normal to the viewing surface of the material.  
Since the ordinary and extraordinary indices of refraction  
15 of the microdroplets are respectively matched to those of  
the matrix, the resulting material is transparent and haze-  
free for all directions of incident light in the OFF-state.  
In the ON-state, the negative dielectric anisotropic liquid  
crystal microdroplets respond to the electric field by  
20 aligning in a direction perpendicular to the field, thereby  
causing a mismatch in the indices of refraction between the  
matrix and the microdroplets for all angles of view. The  
reverse mode material using a low molecular weight liquid  
crystal exhibiting negative dielectric anisotropy for the  
25 microdroplet can be prepared by any of the SIPS, TIPS or  
PIPS methods of phase separation, and the liquid-crystal-  
side-groups of polymeric matrix aligned perpendicular to a  
viewing surface of the material by an ac field.

30 The low molecular weight liquid crystal employed in the  
full field of view PDLC material or device made according  
to the invention may be of the type that switches its  
dielectric anisotropy from positive to negative as a  
function of the frequency to which it is exposed. By way of  
example, this type of liquid crystal exhibits negative  
35 dielectric anisotropy at a high frequency and positive  
anisotropy at a low frequency. Similarly, the polymeric  
liquid crystal may be of the type that switches the sign of  
its dielectric anisotropy depending upon the value of  
frequency.

The use of a so-called cross-over frequency liquid crystal in the microdroplets allows for the fabrication of windows and devices with enhanced contrast and increased ease of alignment of the liquid crystal microdroplets with respect to the liquid-crystal-side-group of the matrix. This is because at a given frequency, the liquid crystal component of the matrix may be caused to align in one direction, while at the same frequency the liquid crystal component of the microdroplets may be caused to align in a direction perpendicular to the first.

Other features, advantages and a fuller understanding of the invention will become apparent to those skilled in the art from the following description of the best modes of the invention and the accompanying drawings.

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#### Brief Description of the Drawings

Figures 1(A) and 1(B) are diagrammatic, fragmentary cross-sectional views of a light shutter device in the ON- and-OFF-states, respectively, the device containing a sheet of the light modulating material of the invention depicted with a few representative microdroplets of liquid crystal contained in a liquid crystal polymeric matrix.

Figure 2(A) is a diagrammatic view of an apparatus for measuring the transparency of a device of Figure 1A for different directions of incident light.

Figure 2(B) is a graph of light transmission versus angle of incidence for a PDLC light shutter device made according to the invention in the transparent state compared with a similar graph of a PDLC shutter device in the transparent state made with an optically isotropic polymer.

Figures 3(A) and 3(B) depict a reverse mode or fail-safe light shutter device in which the optical axes of the droplets and the matrix are obliquely aligned by shear

stress, the device being shown in the OFF-and-ON-states, respectively.

Best Mode for Carrying Out the Invention

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Figure 1 illustrates how liquid crystal PDLC light modulating material of the present invention can be fabricated so that in the field ON state the material is transparent for all directions of incident light and, hence, haze-free as compared with PDLC materials prepared with the usual thermoset and thermoplastic synthetic resins which have isotropic optical properties. The field of view of the known PDLC materials made from isotropic polymers is limited by an unavoidable mismatch of indices of refraction at wide angles of view in the ON-state. This is because the extraordinary index of refraction of the liquid crystal usually is aligned normal to the surface of the material in order to achieve transparency in the ON-state. As the angle of view widens from the perpendicular, there is a greater perceived mismatch between the refractive index of the matrix and the extraordinary indices of refraction of the liquid crystal microdroplets. The full field of view of the PDLC materials of the invention which employ a birefringent, light transmissive matrix, such as a liquid crystal polymeric resin, is due to the fact that the matrix for the liquid crystal droplet is itself liquid crystalline possessing the same optical properties of the droplets so that the effective refractive index of the droplets can be matched to that of the matrix for all directions of incident light, whereby there is no light scattering in any direction or haze. The effective refractive indices of the matrix and microdroplets are matched for all directions of incident light when the ordinary and extraordinary refractive indices of the droplets are respectively matched to the ordinary and extraordinary refractive indices of the polymer matrix, and

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the optical axes of the droplets are aligned parallel to the optical axes of the matrix.

In Figure 1(A), the light modulating shutter device incorporating the new haze-free PDLC material of the invention is generally indicated by reference numeral 10. The device 10 comprises a pair of glass or plastic substrates 11 and a birefringent, polymeric matrix 14 containing phase dispersed microdroplets 16 of liquid crystal. The inner surfaces of the glass substrates 11 have transparent conducting electrode coatings 12 attached to an ac power source of voltage V. In accordance with a preferred embodiment of the invention, the matrix 14 is a polymer having pendant liquid crystal side groups with positive dielectric anisotropy. The ordinary index of refraction,  $n_0$ , and the extraordinary index of refraction,  $n_e$ , of the microdroplet 16 are respectively matched to the ordinary index of refraction  $n_0'$  and the extraordinary index of refraction  $n_e'$  of the birefringent matrix 14.

As also shown in Figure 1(A), the optical axes of the matrix 14 and the microdroplets 16, which will be understood to lie in the same directions associated with the respective extraordinary indices of refraction, are aligned parallel. Since the optical axes of the microdroplets are parallel to the optical axis of the polymer resin matrix, and since the ordinary and extraordinary indices of refraction of the microdroplets and the matrix are respectively matched, the effective refractive index of the microdroplets is matched to that of the matrix in all directions. Thus, in the ON-state of Figure 1(A), the device 10 is non-scattering and transmissive to all directions of incident light except for surface reflections from the inner and outer surfaces of the substrates 11.

It is possible to match the refractive indices of the microdroplets with those of the matrix by choosing similar materials for the liquid crystal pendants of the side chain

polymer matrix 14 and for the low molecular weight liquid crystal of the microdroplets 16. Cyanobiphenyl materials are preferred when a large positive dielectric anisotropy is desired. It is possible to align the optical axes of the microdroplets and the matrix by application of an electric or magnetic field during fabrication of the device 10. For example, when the matrix 14 is a polymer having liquid crystal side groups with positive dielectric anisotropy, the optical axes of the side groups can be aligned perpendicular to the viewing surface of the device 10 by applying an ac voltage of suitable strength to the conducting electrodes 12 while the polymer liquid crystal is at an elevated temperature in the nematic phase. The homeotropic alignment of the matrix may be made to persist after removal of the ac voltage by treating the surfaces of the substrates 12 in an known manner, or by cooling and operating the device 10 below the glass transition temperature of the aligned polymer liquid crystal, and/or by cross-linking the polymer. Cross-linking can be accomplished by providing the side groups of the polymer with a labile moiety, especially one having a double bond, that is subject to cross-linking with another moiety like itself with ultra violet radiation, thermal radiation, free radical polymerization, or the like.

The light shuttering capability of the device 10 in Figure 1(A) is demonstrated when the power source is disconnected so as to result in the droplet configuration shown in Figure 1(B). In the device 10' shown in Figure 1(B), the matrix 14 remains aligned in the OFF-state with its effective refractive index unchanged. The nematic director configuration of the microdroplets 16' is changed, thereby altering the effective refractive index so that it is mismatched from that of the matrix. This mismatch causes light scattering in all directions of incident light. The director configuration of the microdroplets 16' is illustrated in Figure 1(B) as radial, but it will be under-



stood that the configuration may be any other type which also alters the effective refractive index. For example, if the microdroplets are not spherical in shape, the orientation of the optical axes of the resulting configuration may be altered when the device is switched to the field OFF state, thereby causing the effective refractive index of the microdroplets to be mismatched from that of the matrix.

Referring now to Figure 2(A), an arrangement is shown for measuring the transparency of a PDLC light shutter device, such as the device 10, for different directions of incident light. A laser 15 is mounted to pass a beam through the device 10 to a detector 16 which measures the percentage of light transmission. The device 10 is mounted so that it can be oriented at different angles  $\theta$  in the laser beam. The angle of incidence  $\theta$  is measured from the normal to the surface of the device 10.

Figure 2(B) is a graph of transmission  $T$  versus angle of incidence  $\theta$ . The percent transmission was measured as the sample was rotated the indicated number of degrees from normal incidence. Curve (a) is for the PDLC material made according to the invention with an index matched liquid crystal side group epoxy. Curve (b) is for a PDLC material made with an optically isotropic epoxy, as disclosed, for example, in U.S. Patents 4,671,618; 4,673,255; 4,685,771; and 4,688,900. The dashed and dotted lines are used to facilitate comparison. Curve (b) shows that the percentage of light transmission through material having an optically isotropic matrix drops sharply a few degrees either side of normal incidence. With the material of the invention, Curve (a), made with a birefringent matrix, light transmission begins to drop sharply about  $\pm 60^\circ$  from the normal due to reflections from the inner and outer surfaces of the glass substrates. Were it not for such reflections, Curve (a) essentially would be a straight line showing no change in

light transmission through angles of  $\pm 90^\circ$  from normal incidence.

A reverse-mode or fail-safe light modulating shutter device is illustrated in Figure 3. The field-OFF or transparent state of the light modulating shutter incorporating the new haze-free PDLC material of the invention is generally indicated in Figure 3(A) by reference numeral 20. The device 20 comprises a pair of glass or plastic substrates 21 coated with transparent electrodes 22 and a birefringent polymer matrix 24 containing dispersed microdroplets 26 of liquid crystal. In accordance with a preferred embodiment of the invention, the matrix 24 is a polymer liquid crystal which is aligned in an oblique direction relative to the surface normal of the substrates 21 by a shear stress applied as indicated by the arrows 23, 23'. The shearing action also elongates and aligns the liquid crystal microdroplets 26. The ordinary index of refraction,  $n_o$ , and the extraordinary index of refraction,  $n_e$ , of the microdroplets 26 are respectively matched to the ordinary index of refraction  $n_o'$  and the extraordinary index of refraction  $n_e'$  of the birefringent matrix 24. As also shown in Figure 3(A), the optical axes of the matrix 24 and the microdroplets 26 are aligned parallel. Since the optical axes of the microdroplets are parallel to the optical axis of the polymer resin matrix, and since the ordinary and extraordinary indices of refraction of the microdroplets and the matrix are respectively matched, the effective refractive index of the microdroplets is matched to that of the matrix in all directions. Thus, in the OFF-state of Figure 3(A), the device 20 is non-scattering and transmissive to all directions of incident light except for surface reflections from the outside and inside of the glass or plastic substrates 21.

It is possible to align the optical axes of the microdroplets with that of the matrix by shear action when

the droplets are of the bipolar configuration type as illustrated in Figure 3(A) by reference numeral 26, but it is to be understood that the configuration may be of any other type in which shearing action of the substrates induces a match of the effective refractive index of the droplets with that of the matrix for all directions of incident light.

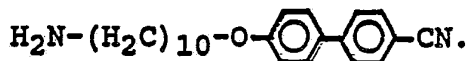
It is also understood that materials may exist in which the optical axes of bipolar type droplets may naturally align locally with the local optical axis of the polymer matrix without applying shear stress to the substrates. In such a situation the effective refractive index of the droplets will be matched to the effective refractive index of the birefringent polymer matrix for all directions of incident light so that the resultant material is transparent for all viewing angles irrespective of the direction of alignment of the optical axis relative to normal light incidence.

The light shuttering capability of the device 20 in Figure 3(A) is demonstrated when the power source of voltage V is connected to the transparent conducting electrodes 22 which results in the droplet configuration 26' shown in Figure 3(B). In the device 20' shown in Figure 3(B), the matrix 24 remains fixed in orientation in the ON-state with its effective refractive index unchanged. The nematic director configuration of the microdroplets 26' is changed by alignment of their optical axes parallel to the field, thereby altering the effective refractive index so that it is mismatched from that of the matrix. This mismatch causes light scattering in all directions of incident light.

#### Example 1

A liquid crystal epoxy polymer was prepared by mixing an equivalent weight ratio 1:1 of uncured epoxy (MK-107

available from Wilmington Chemicals) with a mesogenic ether-linked curing agent, BP-10, having the formula:



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The mixture was heated to above the melting point of the curing agent (110°C) where a clear homogeneous solution was formed after mixing for about one minute. The solution was then allowed to cure for about 48 hours at a temperature of about 90°C. The cured epoxy liquid crystal polymer was then mixed with low molecular weight liquid crystal (E-7 available from EM Chemicals) in a 1:1 ratio by weight and dissolved in chloroform (approximately 85% by weight chloroform). The solution was mixed for several minutes in a vortex-type mixer and then pipetted in a uniform layer over 26um spacer on an indium tin oxide conducting electrode-bearing glass substrate. The solvent was then allowed to evaporate at room temperature until the resultant material turned opaque; any remaining solvent was driven off by heating on a hot plate at about 125°C for five minutes. A preheated conducting electrode-bearing glass substrate was then placed on top of the mixture to form a sandwich and the sample allowed to cool to room temperature in a period of about five minutes. The sample was translucent white in appearance. Application of an ac voltage of 85V at 60 Hz to the conducting electrodes turned the sample clear or transparent after a few minutes. Use of a polarizing microscope under conoscopic view indicated that the polymer liquid crystal and dispersed liquid crystal droplets were homeotropically aligned under the applied voltage. Removal of the voltage switched the sample opaque white in a time of less than one second. Reapplication of the ac voltage switched the sample clear in a time less than one second. During application of the 85V potential, the sample remained clear and haze-free even for oblique viewing angles. the

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angular dependence of light transmission through the sample in its transparent state was measured by directing the light from a helium/neon laser through the sample into a detector which measured the amount of light transmission through the sample as its orientation in the laser beam was varied. The angle between the direction of the laser beam and the normal of the glass substrates was varies between  $\pm 85^\circ$  from normal incidence without any reduction in transmission other than reflection from the glass substrate surfaces (results shown in Fig. 2).

### Example 2

A liquid crystal epoxy polymer was prepared by mixing equivalent weights of epoxy and ether-linked amine curing agent. To the combined mixture was added low molecular weight liquid crystal in a ratio of 1:1 by weight, and the resultant mixture placed on an indium tin oxide coated glass substrate heated to a temperature above  $110^\circ\text{C}$  where it formed a homogeneous solution. After about 2 minutes of mixing a few 26 $\mu\text{m}$  spacers were tapped out onto the solution and a preheated indium tin oxide coated glass substrate mounted on the sample. The sample was cured at  $90^\circ\text{C}$  for 48 hours. The sample was then slowly cooled over a period of about 30 minutes to room temperature. Large nematic droplets of approximately 3-5 $\mu\text{m}$  in diameter were observed under a polarizing microscope, and the nematic director configuration of the droplets was observed to be of the radial type.

### Example 3

Equivalent weights of epoxy resin and amine curing compound were weighed out in a combined 1:1 ratio with low molecular weight liquid crystal on ITO coated glass slides.

The entire slide was heated at 115 - 120°C for 1.5 to 3 minutes, with mixing. A preheated ITO glass slide was mounted on each sample, and the samples were annealed at 90°C for 48 hours.

5 Under the application of an ac 60 Hz, 85 volt potential, the resultant PDLCS exhibited haze-free wide viewing angles.

10 Many modifications and variations of the invention will be apparent to those skilled in the art in the light of the foregoing disclosure. Therefore, it is to be understood that, within the scope of the appended claims, the invention can be practiced otherwise than has been specifically shown and described.

Claims

1. A light modulating liquid crystal material comprising microdroplets of liquid crystal dispersed in a birefringent, light transmissive matrix, the effective indices of refraction of the microdroplets and the matrix being matched for all directions of incident light so that the material is transparent and haze-free in one of a field-ON state or a field-OFF state, and the effective indices of refraction are mismatched so that the material is opaque in the other of the field-ON state or field-OFF state.

2. The material of Claim 1 wherein the microdroplets of liquid crystal have ordinary and extraordinary indices of refraction which are respectively matched to the ordinary and extraordinary indices of refraction of the matrix, the material being transparent and haze-free when the optical axes of the microdroplets and matrix are parallel and being opaque when the optical axes of the microdroplets and matrix are not aligned.

3. The material of claim 1 or claim 2 wherein the birefringent matrix is liquid crystal.

4. The material of claim 3 wherein at least one of the microdroplets or the matrix is a liquid crystal polymer.

5. The material of claim 4 wherein the polymer comprises a liquid-crystal-side-group.

6. The material of any one of claims 1, 2, 4 or 5 wherein the effective refractive indices of the microdroplets and the matrix are mismatched, whereby the material is opaque, and wherein the microdroplets are responsive to an applied field to align their optical axes parallel to the optical axis of the matrix and thereby render said material transparent in the presence of said field.

7. The material of any one of claims 1, 2, 4 or 5 wherein the optical axes of the microdroplets and the matrix

are parallel to the optical axis of the matrix, whereby the material is transparent, and wherein the microdroplets are responsive to an applied field to misalign their optical axes with respect to the optical axis of the matrix so that  
5 the material is rendered opaque in the presence of said field.

8. The material of claim 1 wherein the microdroplets comprise low molecular weight liquid crystal and the matrix is a liquid-crystal-side-group polymer.

9. The material of claim 8 wherein the matrix comprises a liquid-crystal-side-group polymeric epoxy.

10. The material of claims 8 or 9 wherein the liquid-crystal-side-groups comprise a cyanobiphenyl.

11. The material of claims 8 or 9 wherein the low molecular weight liquid crystal exhibits positive dielectric anisotropy.

12. The material of claims 8 or 9 wherein the low molecular weight liquid crystal exhibits negative dielectric anisotropy.

13. The material of claims 8 or 9 wherein the low molecular weight liquid crystal exhibits a frequency dependent dielectric anisotropy.

14. The material of claim 8 wherein the optical axes of the liquid-crystal-side-groups are aligned relative to a viewing surface of the material and wherein the low molecular weight liquid crystal responds to an applied  
5 external field by aligning parallel to the liquid-crystal-side-groups so that the material is transparent and haze-free at all viewing angles.

15. A light modulating liquid crystal material comprising microdroplets of a first liquid crystal dispersed in a birefringent, light transmissive matrix formed by a second liquid crystal, one of the liquid crystals being a  
5 liquid-crystal-side-group polymer having the optical axes of



the liquid-crystal-side-groups aligned relative to a surface of the material, the other liquid crystal being of low molecular weight, and the ordinary and extraordinary indices of refraction of the matrix, whereby the material is transparent and haze-free at all viewing angles when the optical axes of the microdroplets and the matrix are parallel, and the material is opaque when the effective refractive indices of the microdroplets and matrix are mismatched.

16. The material of claim 15 wherein the matrix is formed by the liquid-crystal-side-group polymer, and the microdroplets are formed of the low molecular weight liquid crystal.

17. The material of claim 15 or claim 16 wherein the material is transparent in the presence of an applied field effective to align the microdroplets with the matrix, and is opaque in the absence of the field.

18. The material of claim 15 or claim 16 wherein the material is opaque in the presence of an applied field effective to misalign the optical axes of the microdroplets with the matrix and is transparent in the absence of the field.

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19. The material of claim 16 wherein the low molecular weight liquid crystal is phase-separated from a matrix-providing composition to form a dispersion of liquid crystal microdroplets in a light transmissive, liquid-crystal-side-group polymeric matrix.

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20. The material of claim 19 wherein the low molecular weight liquid crystal is phase-separated in an external field effective to cause alignment of the optical axes of the liquid-crystal-side-groups.

21. The material of claim 16 wherein the liquid-crystal-side-groups comprise a labile moiety capable of cross-linking with another moiety identical to itself.

22. The material of claim 21 wherein the moieties are cross-linked to permanently align the liquid-crystal-side-groups.

23. The material of claim 21 wherein the labile moiety comprises a cinnamate.

24. A full field of view light modulating material comprising microdroplets of liquid crystal dispersed in a light transmissive, liquid-crystal-side-group polymeric matrix wherein the liquid-crystal-side-groups are aligned relative to a surface of the material so that in a field-OFF condition, the effective index of refraction of the matrix does not match the effective refractive index of the microdroplets, and in the field-ON condition the effective index of refraction of the matrix matches the effective refractive index of the microdroplets for all directions of incident light so that the material is transparent and haze-free.

25. A full field of view light modulating material comprising microdroplets of liquid crystal dispersed in a light transmissive, liquid-crystal-side-group polymeric matrix in which the effective index of refraction of the microdroplets matches the effective index of refraction of the matrix for all directions of incident light so that the material is transparent in a field-OFF condition, and in a field-ON condition, the effective index of refraction of the microdroplets is mismatched with respect to that of the matrix so that the material is opaque.

26. A fail-safe, light modulating liquid crystal material comprising microdroplets of liquid crystal exhibiting negative dielectric anisotropy dispersed in a light transmissive, liquid-crystal-side-group polymeric synthetic resin matrix, the ordinary and extraordinary indices of refraction of the microdroplets being respectively matched to the ordinary and extraordinary refractive indices of the matrix, the liquid-crystal-side-groups

exhibiting positive dielectric anisotropy, and the optical axes of the matrix and microdroplets being perpendicular to a viewing surface of the material, whereby the material is transparent in the absence of an applied field and is opaque in the presence of an applied field.

27. The fail-safe material of claim 26 wherein the matrix comprises a liquid-crystal-side-group polymeric epoxy matrix.

28. A fail-safe, light modulating liquid crystal material comprising a light transmissive, liquid crystal polymeric synthetic resin matrix containing phase dispersed microdroplets of low molecular weight liquid crystal, the ordinary and extraordinary indices of refraction of the microdroplets and the matrix being respectively matched, and the optical axes of the microdroplets and matrix being aligned parallel in a direction oblique to the viewing surface of the material, whereby the material in an OFF-state is transparent and haze-free within a wide viewing angle of incident light, and the microdroplets being responsive to an applied field to misalign the optical axes of the microdroplets and material, whereby the material is opaque in the ON-state.

29. A method of making a light modulating liquid crystal material having the characteristic of displaying haze-free transparency for all directions of incident light comprising the steps of forming phased dispersed liquid crystal microdroplets in a birefringent, light transmissive polymeric matrix, the microdroplets and matrix having matched ordinary and extraordinary indices of refraction, whereby haze-free transparency is obtained by aligning the optical axis of the microdroplets parallel to the optical axis of the matrix, and the material is rendered opaque by mismatching the effective refractive indices of the microdroplets and matrix.

30. The method of claim 29 wherein the step of aligning the optical axis of the matrix is carried out so that the optical axis is normal to the viewing surface of the material.

31. The method of claim 29 or claim 30 wherein the step of aligning the optical axis of the matrix is carried out by applying an electric or magnetic field to the material.

32. The method of claim 31 including the step of fixing the alignment of the optical axis of the matrix so that it is maintained when the electric or magnetic field is removed.

33. The method of claim 1 wherein the step of fixing is carried out by cross-linking the polymeric matrix.

34. The method of claim 29 wherein the step of aligning the optical axis of the matrix is carried out by applying shear stress to opposite surfaces of the material so that the optical axis extends obliquely to the viewing surface of the material.

35. A method of making a liquid crystal light modulating material comprising the steps of forming a solution of liquid crystal, curing agent, and uncured synthetic resin, one of the curing agent or resin having mesogenic units, and thereafter curing the solution to induce spontaneous formation of liquid crystal microdroplets in a liquid-crystal-side-group polymeric synthetic resin matrix, the liquid crystal of the microdroplets and the mesogenic units being selected to have matching ordinary and extraordinary indices of refraction after the matrix is cured.

36. The method of claim 35 wherein the step of curing is carried out in an external electric or magnetic field effective to align the liquid-crystal-side-groups.

37. The method of claim 36 including the step of cross-linking the liquid-crystal-side-groups to cause

permanent alignment relative to a viewing surface of the material.

38. The method of claim 36 wherein the step of cross-linking is carried out by photochemical, thermal, free radical, or x-ray inducement.

39. A method of making a liquid crystal light modulating material comprising the steps of mixing low molecular weight liquid crystal and synthetic resin matrix cured with a mesogenic curing agent, heating the mixture, and thereafter cooling the mixture to induce spontaneous formation of liquid crystal microdroplets in a liquid-crystal-side-group polymeric synthetic resin matrix, the liquid microdroplets and the matrix having matching ordinary and extraordinary indices of refraction.

40. The method of claim 39 wherein the step of cooling is carried out in an external electric or magnetic field effective to align the liquid-crystal-side-groups.

41. The method of claim 40 including the step of cross-linking the liquid-crystal-side-groups to cause permanent alignment relative to a viewing surface of the material.

42. The method of claim 41 wherein the step of cross-linking is carried out by photochemical, thermal, free radical, or x-ray inducement.

43. The method of claim 42 wherein the step of thermal inducement of cross-linking is carried out at a temperature above the temperature at which the synthetic resin matrix was cured with the mesogenic curing agent.

44. A method of making a liquid crystal light modulating material comprising the steps of forming a solution of liquid crystal, synthetic resin mesogenic matrix forming material, and a solvent, and thereafter removing the solvent to induce spontaneous formation of liquid crystal microdroplets in a liquid-crystal-side-group polymeric synthetic resin matrix, the liquid microdroplets and the

matrix having matching ordinary and extraordinary indices of refraction.

45. The method of claim 49 wherein the step of removing the solvent is carried out in an external electric or magnetic field.

46. The method of claim 45 including the step of cross-linking the liquid-crystal-side-group to cause permanent alignment relative to a viewing surface of the material.

47. The method of claim 46 wherein the step of cross-linking is carried out by photochemical, thermal, free radical, or x-ray inducement.

48. A method of making a liquid crystal light modulating material comprising the steps of heating a liquid crystal polymer and low molecular weight liquid crystal to form a homogeneous solution and thereafter cooling the solution to produce phase dispersed microdroplets in a liquid crystal, synthetic resin matix, the liquid microdroplets and the matrix having matching ordinary and extraordinary indices of refraction.

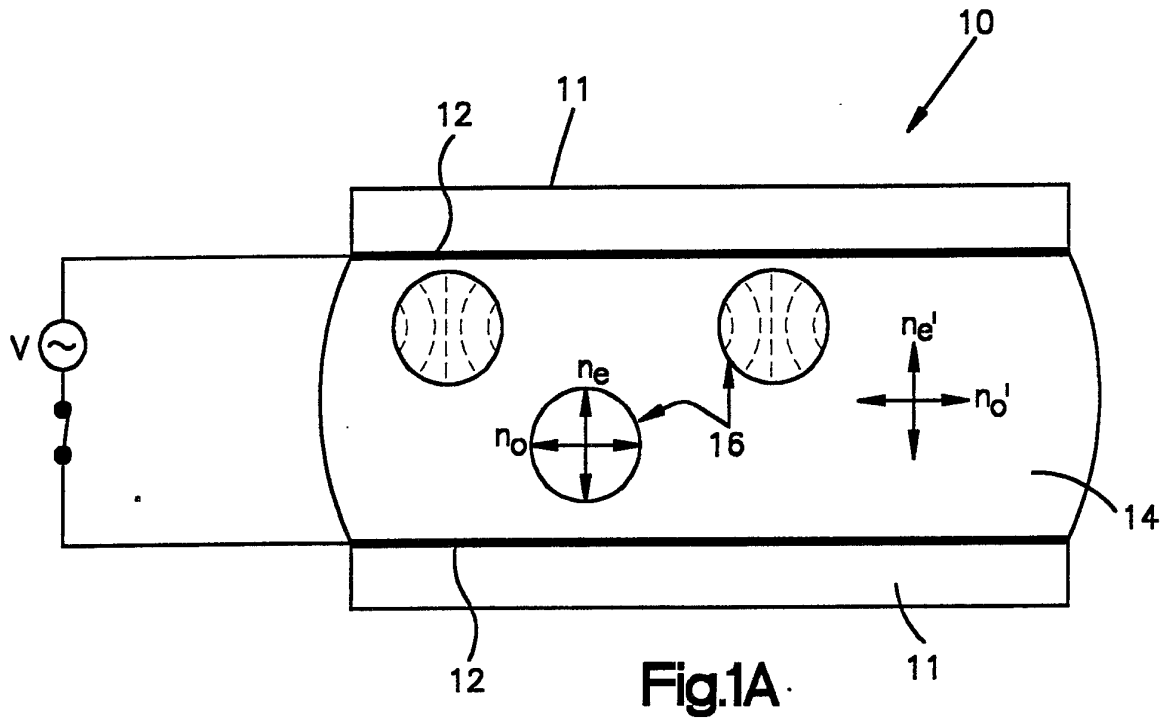


Fig.1A

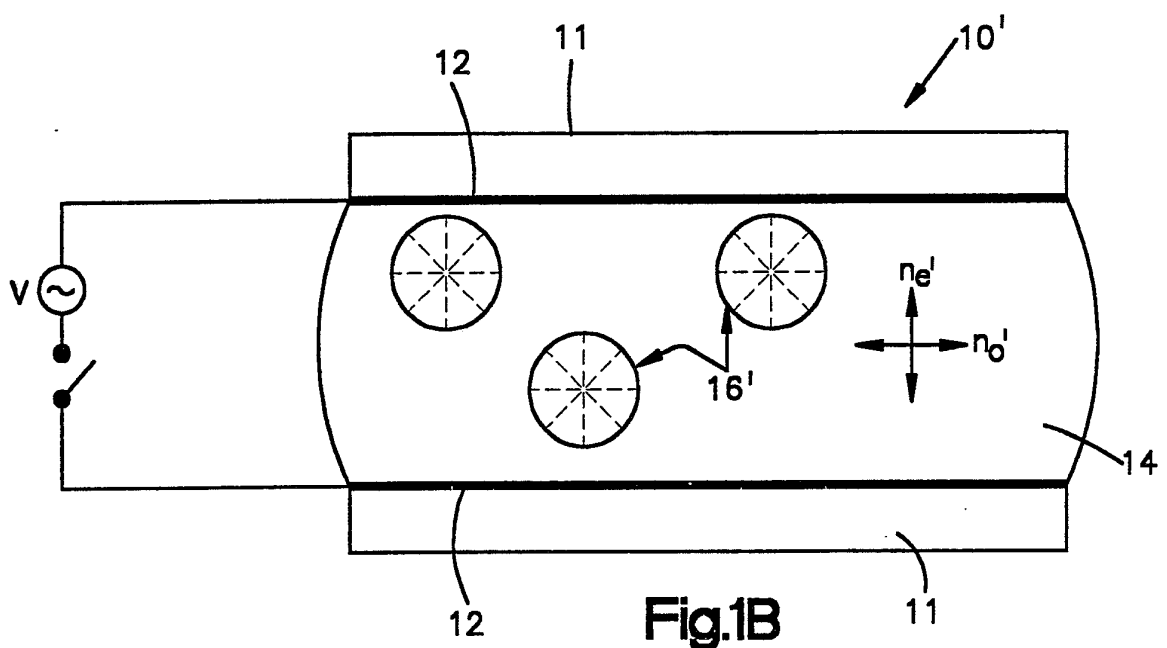


Fig.1B

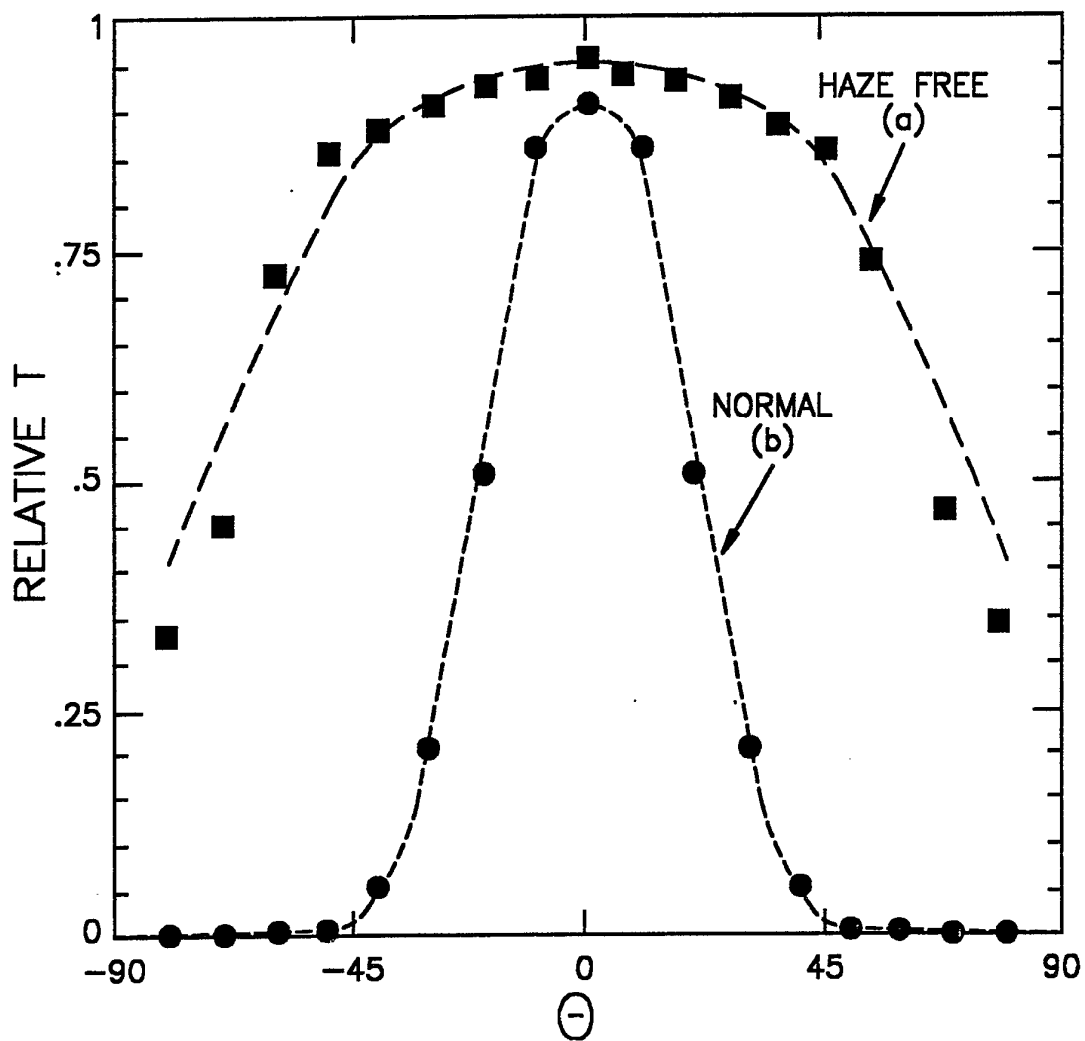
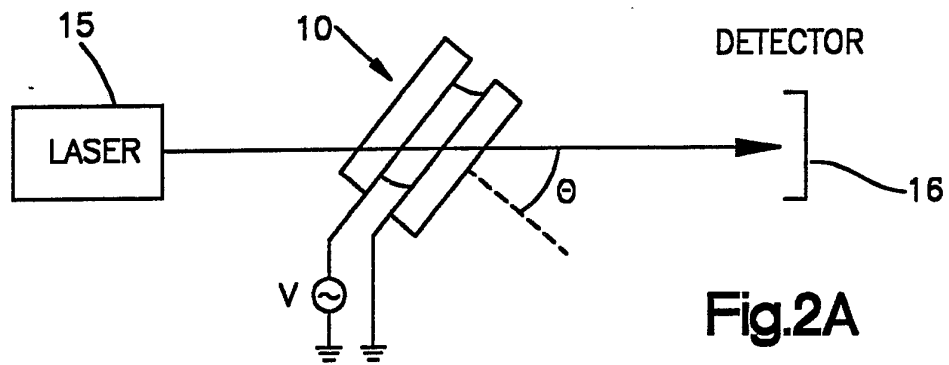


Fig.2B



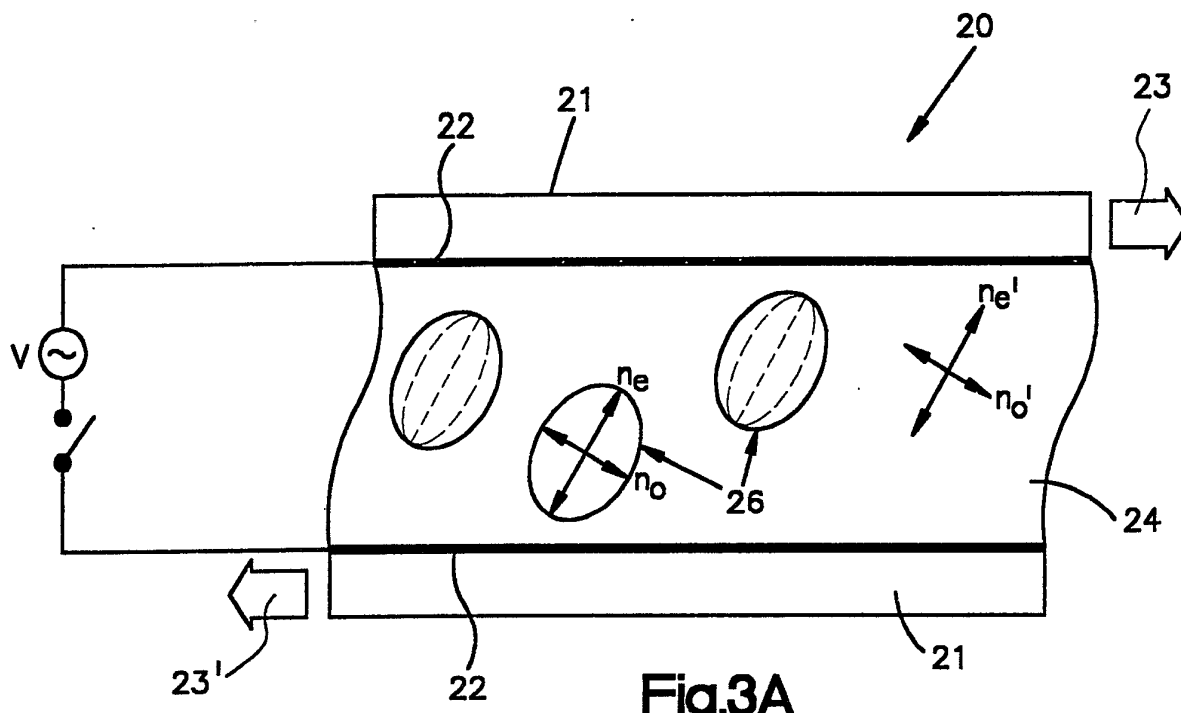


Fig.3A

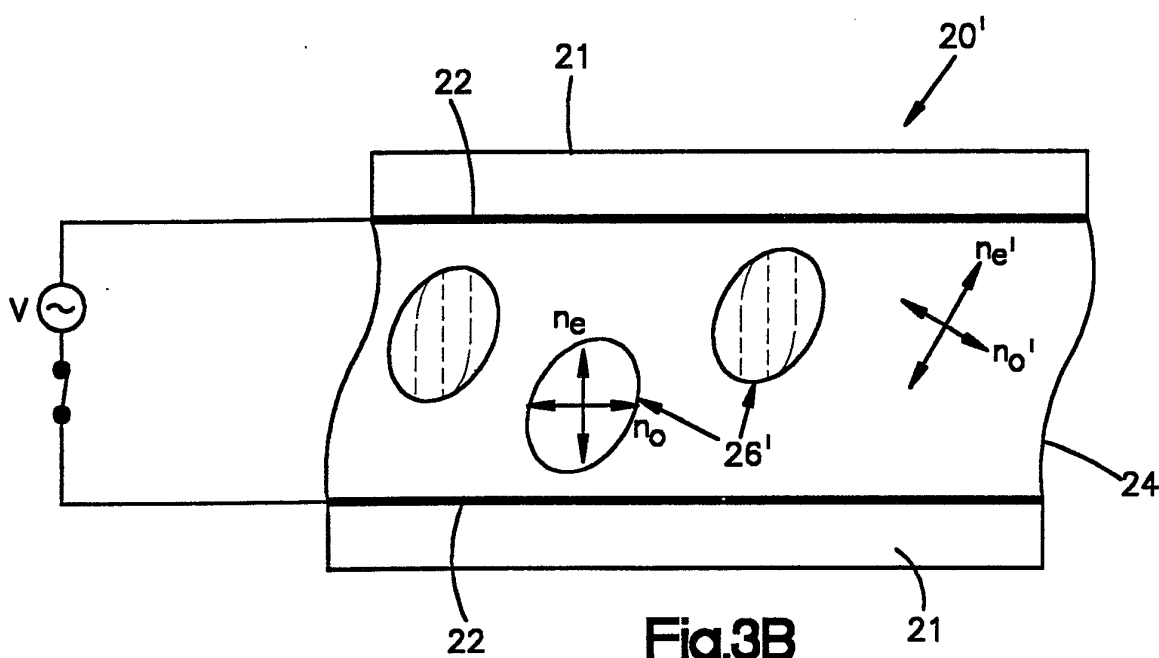
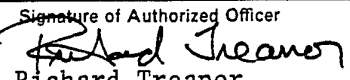


Fig.3B

# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US89/01446

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>6</sup>		
According to International Patent Classification (IPC) or to both National Classification and IPC		
IPC (5) : C 09 K 19/52 ; C 09 K 19/54		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>7</sup>		
Classification System	Classification Symbols	
US	252/299.01, 299.5, 582 350/330, 348	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup>		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>9</sup>		
Category *	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
Y	J. West, Mol. Cryst. Liq. Cryst. 157, 427, 1988 See the abstract, Figure 1, and the Experimental section	1-48
X,P Y,P	JA, A 63-243165 (Nippon) 11 November 1988	<u>1-3</u> 1-48
Y,P	M. Amano et al. Mol. Cryst. Liq. Cryst. 164, 77, 1988 See the abstract and the results and discussion	1-48
Y	US, A, 4,673,255 (West et al) 16 June 1987. See the abstract, column 4, and the examples	1-48
Y	H. Finkleman et al. Mol. Cryst. Liq. Cryst. 89 23, 1982. See the introduction and page 26.	1-48
Y	US, A, 4,685,771 (West et al) 11 August 1987 See the abstract, claims, and examples	1-48
Y	US, A, 4,688,900 (Doane et al) 25 August 1987 See the Examples and the claims	1-48
<p>* Special categories of cited documents: <sup>10</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&amp;" document member of the same patent family</p>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search	Date of Mailing of this International Search Report	
03/ July/1989	26 JUL 1989	
International Searching Authority	Signature of Authorized Officer	
ISA/US	 Richard Treanor	