### **PCT**

## WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5:

C12Q 1/00

A1

(11) International Publication Number: WO 92/12254

(43) International Publication Date: 23 July 1992 (23.07.92)

(21) International Application Number:

PCT/US91/00201

(22) International Filing Date:

10 January 1991 (10.01.91)

(71) Applicant: BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM [US/US]; 201 West Seventh Street, Austin, TX 78701 (US).

(72) Inventors: GREGG, Brian, A.; 2839 San Gabriel, Austin, TX 78705 (US). HELLER, Adam; 5317 Valburn Circle, Austin, TX 78731 (US).

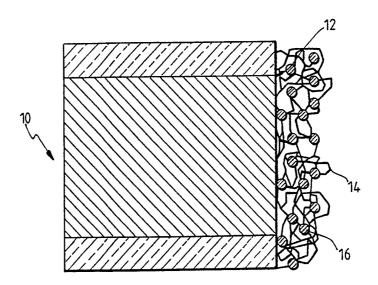
(74) Agent: BARBER, William, G.; Arnold, White & Durkee, P.O. Box 4433, Houston, TX 77210 (US).

(81) Designated States: AT, AT (European patent), AU, BB, BE (European patent), BF (OAPI patent), BG, BJ (OAPI patent), BR, CA, CF (OAPI patent), CG (OAPI patent), CH, CH (European patent), CM (OAPI patent), DE, DE (European patent), DK, DK (European patent), ES, ES (European patent), FI, FR (European patent), GA (OAPI patent), GB, GB (European patent), GR (European patent), HU, IT (European patent), JP, KP, KR, LK, LU, LU (European patent), MC, MG, ML (OAPI patent), MR (OAPI patent), MW, NL, NL (European patent), NO, RO, SD, SE, SE (European patent), SN (OAPI patent), SU ,TD (OAPI patent), TG (OAPI patent).

#### **Published**

With international search report.

(54) Title: ENZYME ELECTRODES



#### (57) Abstract

Enzyme electrodes having a surface coated with a film. The film is formed from materials in which a redox enzyme is covalently bonded to a three-dimensional molecular structure. The molecular structure is of the class having multiple redox centers, for example, a crosslinked redox polymer.

## FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

		ES	Spain	MG	Madagascar
ΑT	Austria		•	Ml.	Mali
ΑU	Australia	FI	Finland	MN	Mongolia
BB	Barbados	FR	1-rance		
BE	Belgium	GA	Gabon	MR	Mauritania
BF	Burkina Faso	GB	United Kingdom	MW	Malawi
		GN	Guinea	NL	Netherlands
BG	Bulgaria	GR	Greece	NO	Norway
BJ	Benin	_		PŁ.	Poland
BR	Brazil	HU	Hungary	RO	Romania
CA	Canada	iΤ	Italy		Russian Federation
CF	Central African Republic	JР	Japan	RU	
CG	Congo	KP	Democratic People's Republic	SD	Sudan
	-		of Korea	SE	Sweden
CH	Switzerland	KR	Republic of Korea	SN	Senegal
CI	Côte d'Ivoire		•	SU	Soviet Union
CM	Cameroon	LI	1 iechtenstein	TD	Chad
CS	Czechoslovakia	LK	Sri Lanka		
DE	Germany	LU	Luxembourg	TG	logo
DK	Denmark	MC	Monaco	US	United States of America

10

15

20

25

30

35

#### ENZYME ELECTRODES

This invention relates to electrodes that can selectively oxidize or reduce a biochemical in a solution. More particularly, it relates to electrodes that can translate the concentration of a biochemical to an electrical current, or can utilize an electrical current to selectively convert one biochemical to another.

Enzyme based biosensors (i.e., electrochemical sensors capable of detecting the concentration of a biochemical species in a medium containing a diverse mixture of other compounds) are used in an increasing number of clinical, environmental, agricultural biotechnological applications. Amperometric electrodes typically require some form of electrical communication between the electrode and the active site of the redox enzyme that is reduced or oxidized by the substrate. However, the electrooxidation of a reduced site or the electroreduction of an oxidized site (the rate, of which is proportional to the concentration of the enzyme substrate) is complicated by the fact that the active site is often located deep inside an insulating protein shell. Thus, redox enzymes such as glucose oxidase do not directly exchange electrons with simple metal electrodes.

Historically, electrical communication between the enzyme and electrode has been achieved through the use of diffusing mediators. The first mediator employed for FAD-enzyme electrodes was the natural substrate of the flavin-linked oxidases,  $O_2$ . For example, the reaction of glucose oxidase (GO) is

PCT/US91/00201

5

25

30

35

$$GO-FADH2 + O2 FAD + H2O2 (2)$$

and the first commercial amperometric glucose sensors measured either the decrease in  $O_2$  concentration at an oxygen electrode, or the increase in  $H_2O_2$  concentration at a platinum electrode.

There were several problems associated with such 10 devices: (1) the H2O2 formed degraded the enzyme. Nature alleviates this problem through the use of a second enzyme, usually catalase, which is present in high concentrations in cells and catalyses the disproportionation of the H2O2; (2) the electrode current depended on the concentration of 15 both the enzyme substrates, i.e., both glucose and O2; (3) measurement of the H2O2 concentration required both a highly catalytic electrode (e.g., Pt) and a potential (ca. 0.7 V vs. SCE) substantially positive of the reversible potential for the FAD/FADH2 couple (E° is approximately equal to -0.4 20 V vs. SCE). This resulted in large spurious currents due to a number of easily oxidized species in the system to be Because of (2) and (3), the amperometric biosensors were not adequately substance-specific.

The most recent devices have employed small diffusing redox shuttles (Ox/Red) such as ferrocenes, quinones, ruthenium ammines, components of organic metals, and octacyanotungstates. In such electrodes, reaction (1) above is followed by

$$GO-FADH_2 + 2 Ox$$
  $GO-FAD + 2 Red + 2 H^+$  (3)

where the reduced form of the shuttle (Red) is subsequently electrooxidized. Catalase can be added to the system to protect the enzyme from  $H_2O_2$ . The potential at which these

10

15

20

25

electrodes operate is only slightly positive of the formal potential of the shuttle, and a highly active noble metal electrode is no longer required for the reaction. Thus, the spurious currents due to competing species may be reduced. Still, in an oxygen containing medium, there is a competition between the oxidized form of the shuttle (Ox) and oxygen for the reduced form of the enzyme (GO-FADH<sub>2</sub>), equations (2) and (3). Thus, the electrode current will be independent of the oxygen concentration only insofar as the shuttle can compete effectively with  $O_2$ .

Enzyme electrodes such as those just described generally require that the enzyme and shuttle be confined to the proximity of the electrode surface. The small shuttles commonly employed can, however, readily diffuse through the membranes that are needed to contain the enzyme, but permit the passage of the enzyme's substrate, e.g., glucose. Recently, a polymeric redox "wire" based on the poly(vinyl-pyridine) (PVP) complex of Os (bpy) 2Cl (abbreviated POst; the bpy of the complex is 2,2'bipyridine) has been introduced which electrically connects the enzyme to the electrode yet, by virtue of its molecular size, remains confined behind the enzyme-containing This polycationic redox polymer membrane. electrostatic complexes with the polyanionic glucose oxidase in a manner mimicking the natural attraction of some redox proteins for enzymes, e.g., cytochrome c for cytochrome c oxidase.

Enzyme electrodes now in use are of several different types. One type of electrode amperometrically measures the oxygen content of gas streams entering and leaving a reactor containing the substrate and its enzyme. If oxygen is involved in the substrate's enzymatic oxidation, its level is depleted and the substrate concentration can be deduced from the decrease in the oxygen content of the gas.

25

30

35

With a second type of enzyme electrode, a natural electroreactive product of the enzyme-catalyzed reaction is amperometrically monitored. For example, the enzymatic reaction of substrates like glucose or lactate with oxygen, catalyzed by some oxidases, produces hydrogen peroxide. Hydrogen peroxide can be electrooxidized and thereby the substrate concentration over a certain range can be translated into a current.

In a third type of enzyme electrode, a non-natural 10 redox couple mediates electron transfer from the substratereduced enzyme to the electrode. In this scheme, the enzyme is reduced by its natural substrate at a given rate; the reduced enzyme is in turn, rapidly oxidized by a nonnatural oxidizing component of a redox couple that diffuses 15 into the enzyme, is reduced, diffuses out and eventually diffuses to an electrode where it is oxidized. Here again, the oxidation current can be related to the concentration A specific example of such a redox of the substrate. carboxylate/ferrocene ferricinium the is 20 mediator carboxylate couple that diffusionally mediates electron transfer from glucose reduced glucose oxidase to a carbon electrode.

Most natural enzymes are not directly oxidized at electrodes, even if the latter are maintained at strongly oxidizing potentials, without being destroyed. Also they are not reduced at strongly reducing potentials without being decomposed. It has, however, been shown that enzymes can be chemically modified by binding to their proteins redox couples, whereupon, if in the reduced state, they transfer electrons to an electrode. Thus, amperometric glucose sensors have been made with glucose oxidase to which ferricinium/ferrocene functions have been chemically bound. It has also been shown that when redox polycations in solution electrostatically complex polyanionic enzymes, electrons will flow in these complexes from the substrate

10

15

20

25

30

35

to the enzyme, and from the enzyme through the redox polymer, to an electrode. Glucose electrodes have also been built with these complexes.

The current produced at a given substrate level can depend on the concentration of the active enzyme molecules. It has been shown that natural reaction products, like hydrogen peroxide, deactivate the enzyme. Enzymes are also continuously denatured. It has been shown that the denaturing of enzymes can be retarded by embedding the enzyme in a rigid three-dimensional polymer structure. It has been suggested that such embedding fixes the protein structure of the enzyme, preventing conformational changes that result in its eventual denaturing. For example, chymotrypsin has been stabilized by embedding it in crosslinked poly(methyl methacrylate).

Broadly, the invention relates to materials (and films formed from such materials) which include at least two components that can combine to form a three dimensional molecular structure. At least one of the components comprises a redox compound, and at least one other component comprises an oxidoreductase (hereinafter referred to as a redox enzyme). The resulting three dimensional molecular structure has multiple redox centers and has the redox enzyme bound within.

When such materials are coated onto a surface, the three dimensional molecular structure provides electrical contact between that surface and the redox enzyme. In the three dimensional structure sigma bonds dominate the polymer's backbone, wherefore electron delocalization is limited.

The term "three dimensional molecular structure" as used herein means a structure in which covalent chemical bonds extend in three dimensions. The term is not meant to

10

15

20

25

30

35

include a three dimensional structure formed by mere physical bonding of molecules, for example through Van der Waals forces.

The term "redox compound" is used herein to mean a compound that can be oxidized and reduced. The redox compound may have one or more functions that are reducible and oxidizable. Stated another way, the term "redox compound" means a compound which contains one or more redox centers, "redox center" meaning a chemical function that accepts and transfers electrons.

In one embodiment, a material is provided comprising a redox enzyme, a crosslinking agent, and a crosslinkable compound capable of reaching with the crosslinking agent and the redox enzyme. Either the crosslinkable compound or the crosslinking agent, or both, have one or more redox centers. In an alternative embodiment, a material is provided comprising a redox enzyme and a redox compound having two or more functional groups capable of reacting with the enzyme (i.e. a redox compound capable of crosslinking with the enzyme).

When the compounds of each embodiment are mixed together under appropriate conditions, a chemical reaction takes place resulting in the formation of a crosslinked (three-dimensional) redox polymer, with the redox enzyme bound within the crosslinked redox polymer network.

It should be noted that in the alternative embodiment discussed above, the redox enzyme itself is used as the crosslinking agent to crosslink the redox compound into a three dimensional molecular structure. Most (if not all) enzymes have multiple (more than two) functions that can react. Examples of such enzyme functions are amine, phenol, tryptophane, thiol, and imidazole functions.

30

35

By "bound within" it is meant that the redox enzyme is contained or incorporated within the crosslinked polymer structure in such a manner that the enzyme will not tend to diffuse out of the structure. Thus, for example, the enzyme may be chemically (covalently) bonded, electrostatically bonded, or hydrogen bonded to the polymer, or simply physically bound or trapped within cavities of the polymer surface.

- The term "crosslinkable compound" is used herein to mean a compound containing at least two groups (i.e., a bi-or-multifunctional compound) capable of reacting with itself or another bi-or-multifunctional compound, resulting in a macromolecule. The term "crosslinking agent" is used herein to mean a compound containing at least two functional groups capable of reacting with and crosslinking other compounds, i.e. it is the substance that crosslinks the crosslinkable compound.
- One particularly important application of these materials is in the area of amperometric biosensors. Biosensors can be made in accordance with this invention to selectively sense numerous chemicals, including glucose, lactates, glycerol-3-phosphate, L-amino acids, D-amino acids, and nitrates.

However, it should be understood that these materials have other applications where it is desired to electrically connect redox enzymes to electrodes, as in the electrosynthesis of biochemicals.

In another broad aspect of the invention, an electrode is provided having a surface coated with a film of a material of the class described above. The term "film" is used broadly to include any coating or layer of the material regardless of thickness or method of application.

10

15

20

25

30

35

In another broad aspect, the present invention provides for the construction of enzyme electrodes employing this class of materials. This process may involve the mixture of the enzyme and the various polymer components in a common solution followed by the application of the solution to an electrode surface. Various application methods may be used, including (1) addition of drops of the solution onto the electrode surface; (2) dipcoating; (3) spincoating, or (4) spraying the solution onto the electrode surface. The application step is followed by a curing step such as drying in air or vacuum.

Alternatively, the process may involve the addition of the enzyme and polymer components in separate solutions to the surface of the electrode, mixing, and then curing in air or vacuum.

The preferred crosslinkable compounds for use in this invention are hydrophilic, containing chemical groups such as alcohols, carboxylic acids, amines, amides, sulfonates, sulfates, phosphates and phosphonates. Such groups tend to promote the solubility of the components in water which facilitates contact with the water soluble enzymes. Such groups may also improve the stability of the immobilized enzyme against denaturation.

The redox compounds (or redox centers contained within compounds) used in this invention may be either organic or inorganic. Transition metal complexes with organic ligands such as bipyridine or cyclopentadiene are often preferred as redox centers because of their chemical stability in various oxidation states and their facile electron transfer kinetics. Typical examples of such complexes are the polypyridine complexes of di-or trivalent osmium ions and the various derivatives of ferrocene (bis-cyclopentadienyl iron) or cobaltocene (bis-cyclopentadienyl cobalt). However, a number of organic redox centers may also be

employed. The various derivatives of viologen (N,N'-bis alkyl-4,4'-bipyridine) constitute typical examples of this class.

5

The preferred crosslinking agents are water soluble compounds that react under conditions where most enzymes are stable, that is around pH 7 and room temperature. Included in this category of crosslinking agents are multifunctional epoxides, aldehydes, imidoesters, N-hydroxysuccinimide esters and carbodiimides. A number of reagents with limited solubility in water may also be used by dissolving them in a water-miscible organic solvent such as acetone, methanol, acetonitrile or dimethylformamide. Included in this category are reagents such as cyanuric chloride, tetrachlorobenzoguinone,

15

10

benzoquinone and tetracyanoquinodimethane. These reagents may react with one or more types of functions including amines, alcohols, thiols and carboxylic acids which may be present on the surface of enzymes and may also be included in the structure of the redox compound.

20

The electrodes to which the crosslinked redox polymer is applied can be made of any of a number of metals, semi-metals, or semiconductors. For example, gold, platinum, glassy carbon, or graphite electrodes may be used.

25

30

35

preferred embodiment, osmium bis(2,2'-In one bipyridine) dichloride is coordinated to a poly(vinylchain forming approximately pyridine) bis(bipyridine) vinylpyridine chloride complex per five vinylpyridine units. The remaining vinylpyridines are quaternized with bromoethylamine hydrobromide, leading to a very hydrophilic redox polymer containing pendant ethylamine groups. This polymer may be dissolved in an aqueous solution containing the enzyme and a water soluble diepoxide, such as poly(ethylene glycol diglycidyl ether). Upon applying the solution onto an electrode surface and

10

15

20

25

30

35

drying in air or vacuum, the epoxide may react with both the ethylamine pendant groups of the redox polymer and the surface lysine residues of the enzyme. This results in an enzyme-containing crosslinked redox polymer film on the electrode surface.

The method of operation of such an enzyme electrode may be illustrated using a glucose electrode as an example. Upon immersion of the electrode into a solution containing glucose, the glucose diffuses into the film where it may react with the glucose oxidase enzyme forming gluconolactone and the reduced form of the enzyme. The reduced enzyme may then be oxidized by the osmium complex-containing polymer. Electrons are subsequently transferred through the polymer to the electrode. Thus, an electrical current proportional to the concentration of the enzyme substrate is achieved.

Electrons from a substrate-reduced enzyme can be transferred either to the enzyme's natural re-oxidizer (oxygen in the case of glucose oxidase, lactate oxidase and other flavoenzymes) or, via the redox-centers of the Only the latter process polymer to the electrode. contributes to the current. Thus, it is desirable to make the latter process fast relative to the first. accomplished by (a) increasing the concentration of the redox centers (e.g. the number of osmium complexes) in the film, or (b) assuring that these centers are fast, i.e. that they are rapidly oxidized and reduced. It is also desirable to make the redox centers oxidizing with respect This often increases the rate of to the reduced enzyme. transfer of electrons to the electrode.

However, it is also true that the higher the oxidation potential of the redox couple, the more extraneous compounds may be oxidized by it, that is, the less selective is the electrode. Thus, there is an optimum

range of oxidation potential for the redox couple for any given application. Similar arguments hold for electrodes which will be used in the reduction of enzymes.

- It should be appreciated that this description applies equally to the operation of a biosensor (in the above case, a glucose sensor) or an electrosynthesizer of biochemicals (in this case, gluconolactone, the product that is electrosynthesized). Thus, although in practice, the two devices may be differently configured, the scope of the present invention encompasses both biosensors and bioelectrosynthesizers, and related devices.
- FIG. 1 is a schematic drawing of a crosslinked redox polymer-enzyme electrode as provided by the present invention.
- FIG. 2 shows several examples of redox centers bound to multifunctional compounds capable of forming crosslinked polymers when reacted with crosslinking agents, including enzymes or other multifunctional compounds, in accordance with the present invention.
- FIG. 3 shows several examples of crosslinking agents used by the present invention and some of the typical reactions which they undergo.
- FIG. 4 shows a synthetic scheme for one of the preferred crosslinkable redox polymers as provided by the present invention.
  - FIG. 5 shows a number of cyclic voltammograms of a crosslinked redox polymer film containing glucose oxidase prepared according to the present invention. There is no glucose in solution. Scan rates (mV/s)(a) 10, (b) 20, (c) 50, (d) 100, (e) 200.

PCT/US91/00201

FIG. 6 shows a cyclic voltammogram of the film used in FIG. 5 after addition of 40 mM glucose. Scan rate 5 mV/s.

FIG. 7 shows a typical response curve (current density versus substrate concentration) for a glucose electrode prepared in accordance with the present invention.

The materials and processes provided by the present invention, the crosslinked redox polymers and the incorporation of redox enzymes in them, have particularly important applications in the manufacture of enzyme electrodes of the type illustrated in FIG. 1. These electrodes may be used in such applications as amperometric biosensors and the electrosynthesis of biochemicals.

15

20

25

30

35

10

5

There are several advantages to an enzyme electrode system based on a crosslinked redox polymer. First, the use of crosslinked films on the electrode eliminates the requirement for a membrane which is often required in conventional systems to confine the enzyme to a small volume close to the electrode surface. Thus, the use of crosslinked redox films tends to simplify the design and the manufacture of the enzyme electrode. Second, the process by which the electrodes are produced is relatively simple, reproducible and can be easily automated. the enzyme may be stabilized by its interaction with the polymer matrix, thus retarding thermal denaturation. Also, it may be physically protected from attack by proteases in solution which are too large to diffuse through the polymer Fourth, the versatility of these materials allows the tailoring of properties for specific applications. example, the redox potential, the hydrophilicity and the charge on the polymer may be adjusted as may the crosslinking method. Fifth, the transport of interfering electroreactive substances to the electrode surfaces and/or their adsorption on these surfaces can be retarded by appropriate design of the polymer. Sixth, the resulting

electrodes are in general mechanically rugged and typically exhibit excellent stability during storage. Seventh, although enzymes are known to rapidly denature on many surfaces, the polymer apparently tends to protect the enzymes from the surface of the electrode. Thus, virtually any electrode surface may be used for these enzyme electrodes. Additionally, such polymers in general appear to be substantially biocompatible.

10

15

20

25

5

In one preferred embodiment, the water crosslinking agent polyethylene glycol diglycidylether (PEG-DGE, FIG. 3) is used to react with redox compounds with amine functions and with amine functions of the lysine groups of the enzyme. The reaction between epoxides and amines is particularly advantageous since the reaction (1) releases no low molecular weight species; (2) does not greatly change the local pH; (3) does not greatly change the charge on either the redox compound or the enzyme; and (4) is compatible with a number of different enzymes. PEG-DGE is also commercially available in a number of chain lengths. The reaction between PEG-DGE and amines proceeds very slowly in dilute aqueous solution. Thus, all the reactants may be combined in a single solution before the application step which greatly simplifies the manufacture The crosslinking reaction may then of the electrodes. proceed to completion when the solution is dried on the surface of the electrode. The cure time for the film is 24 to 48 hours at room temperature.

30

35

An enzyme electrode as provided by the present invention is shown schematically in FIG. 1. The electrode 10 has a surface 12 which is coated with a crosslinked redox polymer film 14. A redox enzyme 16 is bound to the polymer 14. The polymer 14 electrically connects the electrode 10 to the enzyme 16.

10

15

20

25

Various preferred crosslinkable compounds containing redox active centers are shown in FIG. 2. Polymer A and Polymer F are representative of that class of compounds which require only the addition of enzymes to form crosslinked films, i.e. the enzyme is the only required crosslinking agent. The other compounds are representative of that class of compounds which do not react directly with chemical functions on the enzyme. They therefore require a separate crosslinking agent such as those illustrated in FIG. 3.

FIG. 3 shows three representative classes of crosslinking agents, and their reactions with a typical organic compound having an amine group, represented as  $RNH_2$ . The crosslinking agents shown are an epoxide (e.g. PEGDGE), cyanuric chloride, and an N-Hydroxysuccinimide.

Characteristic cyclic voltammograms film of glucose oxidase and Polymer F, containing triethylenetetraamine in the absence of glucose on glassy carbon are shown in FIG. 5. The almost symmetrical shape of the oxidation and reduction waves, and the fact that the peak currents do not decrease over time show that the polymer film is strongly attached to the electrode surface and in good electrical contact with it. The fact that the peak shape changes very little upon increasing the scan rate from 10 mV/s to 200 mV/s is evidence for fast electron transfer through the polymer film.

FIG. 6 shows a cyclic voltammogram of the same film as FIG. 5 after the addition of glucose to a final concentration of 40 mM. A catalytic oxidation is exhibited as the electrons are transferred from the glucose-reduced enzyme to the redox polymer and from the redox polymer to the electrode.

A typical response curve of a Polymer C-glucose oxidase-PEG-DGE film is shown in FIG. 7. As the glucose concentration is increased the current response follows the characteristic Michaelis-Menten behavior of the enzyme.

5

### **EXAMPLES**

The following examples are designed to illustrate certain aspects of the present invention. The examples are not intended to be comprehensive of all features and all embodiments of the present invention, and should not be construed as limiting the claims presented herein.

#### EXAMPLE 1

15

10

The synthetic scheme for this example is illustrated in FIG. 4. cis - Bis(2,2'-bipyridine-N,N') dichloroosmium (II) (Osbpy<sub>2</sub>Cl<sub>2</sub>) was prepared by a standard literature procedure (Lay, P.A.; Sargeson, A.M.; Taube, H., Inorg. Syn. 1986, 24, 291). Polyvinylpyridine (PVP), nominal 20 molecular weight 50,000, was purchased from Polysciences, Inc. and purified three times by dissolution in methanol and precipitation with ether. 0.494 gram Os(bpy)2Cl2 and 0.430 gram PVP were added to 18 mls. of ethylene glycol in a round bottom flask under nitrogen. 25 The mixture was slowly heated to reflux (196°C) and maintained at reflux for about 105 minutes. It was then cooled to room temperature and 30 mls. of dimethylformamide (DMF) was 1.5 gram bromoethylamine hydrobromide was added to the mixture which was then stirred at about 35°C overnight. 30 The polymer solution was then poured into a rapidly stirred solution of acetone and the precipitate was filtered, washed with acetone and stored in a vacuum dessicator. approximate structure of this polymer is shown in FIG. 2 35 (Polymer C).

10

15

Three solutions were made up in aqueous 10 mM HEPES buffer at pH 8:1:

Solution 1 contained 10 mg/ml Polymer C Solution 2 contained 5 mg/ml glucose oxidase Solution 3 contained 2.7 mg/ml PEG-DGE

The enzyme containing solution was made up fresh every day; the other two solutions were stable for at least one month. 15 microliters of solution 1, 15 microliters of solution 2 and 5 microliters of solution 3 were thoroughly mixed in a vial and 3 microliters of the mixture was deposited onto a glassy carbon disk electrode (4.5 mm in diameter). The electrode was then placed in a vacuum dessicator for 24 hours. Upon exposure to solutions containing high concentrations of glucose ( $\geq$  60 mM), such electrodes commonly exhibited current densities of 400 -1100 microA/cm² at a potential in the 0.35 -0.45 volt range measured relative to the potential of the Standard Calomel Electrode (SCE). In the absence of glucose, the current density was approximately 1 microA/cm².

20

25

30

35

#### EXAMPLE 2

The procedure of Example 1 was repeated but cyanuric chloride was used as the crosslinking agent in place of PEG-DGE. In this case the polymer and enzyme were made up in 100 mM phosphate buffer solution at pH 7.1. 2 microliters each of the polymer and enzyme solution were mixed on the electrode surface with 0.5 microliters of an acetonitrile solution of cyanuric chloride (20 mM). This crosslinking reaction is quite fast and the electrode films required a curing time of only about 30 minutes in air or vacuum. Upon exposure to solutions containing high concentrations of glucose ( $\geq$  60 mM), such electrodes commonly exhibited current densities of 80 - 120 microA/cm² at a potential in the 0.35 - 0.45 volt range measured

relative to the SCE. In the absence of glucose, the current density was approximately 1 microA/cm<sup>2</sup>.

#### EXAMPLE 3

5

10

15

9.6 mls. bromoacetyl chloride was dissolved in 120 ml of methylene chloride and cooled to 0°C under nitrogen. 13.4 gram N-hydroxysuccinimide and 11.8 gram triethylamine were dissolved in 50 ml of methylene chloride and slowly dripped into the cold solution of acid chloride over 30 The solution was stirred for an additional 20 minutes. Then ice water was added, the phases were minutes. separated, the organic phase was washed two more times with ice water, once with saturated sodium chloride solution and sulfate. The solution dried over magnesium concentrated under vacuum until crystals started to appear. Then hexane was added and the solution was cooled to 0°C. The crystals of bromoacetoxysuccinimide were filtered and dried in a vacuum dessicator.

20

25

0.507 gram Osbpy<sub>2</sub>Cl<sub>2</sub> and 0.507 gram PVP were reacted in refluxing ethylene glycol for 30 minutes, the solution was then cooled, 20 mls. of acetone was added and the mixture was poured into rapidly stirred ethyl acetate. The resulting polymer (PVP-Osbpy<sub>2</sub>Cl) was filtered and dried in vacuum.

30

35

0.31 gram PVP-Osbpy<sub>2</sub>Cl and 0.12 gram 2-bromoethanol were dissolved in 25 mls. DMF and refluxed for 30 minutes. Then about 1 gram (a large excess) of bromoacetoxysuccinimide was added and the solution was heated at 40°C for about 2 hours. It was then cooled, poured into stirred acetone, filtered and stored in a vacuum dessicator. This procedure led to a polymer whose approximate structure is shown in FIG. 2 (Polymer A).

10

A solution of 22 mg/ml Polymer A in deionized water was prepared immediately before use. Another solution in 0.1 M HEPES buffer was prepared containing 22 mg/ml glucose oxidase and 1.1 microliter/ml catalase solution. microliters of each solution were mixed on the surface of a 6 mm diameter graphite rod electrode and cured at room temperature for 24 hours in vacuum. In a solution containing 31 mM glucose, this electrode exhibited a current density of about 300 microA/cm2 when held at a potential of 0.45 volt relative to the SCE. conditions, but in the absence of glucose, the electrode gave a background current density of about 4 microA/cm2. In such films the polymer probably reacts with the lysines on the enzyme surface resulting in a crosslinked film. of an additional polyamine, triethylenetetraamine, may also be added to such films to improve their physical properties.

#### EXAMPLE 4

20

25

15

The synthetic procedure of Example 3 was repeated with the substitution of 3-bromopropionyl chloride for bromoacetyl chloride. The resulting polymer containing esters of hydroxysuccinimide was dispersed in DMF and a large excess of ethanolamine was added. The mixture was stirred overnight at room temperature, filtered and poured into stirred tetrahydrofuran (THF). The precipitate was filtered and dried. This procedure led to a polymer whose approximate structure is shown in FIG. 2 (Polymer B).

30

Three solutions were made up in 10 mM HEPES at pH 8.4:

Solution 1 contained 10 mg/ml Polymer B

Solution 2 contained 8 mg/ml glycerol-3phosphate oxidase

35

Solution 3 contained 4 mg/ml cyanuric chloride in acetonitrile

PCT/US91/00201

5 microliters each of solutions 1 and 2 were mixed on the surface of a glassy carbon disk electrode with 2 microliters of solution 3. The electrode was dried in vacuum for 50 minutes. In the presence of 10 mM L-alphaglycerophosphate this electrode exhibited a current density of 30 microA/cm<sup>2</sup> when held at a potential of 0.45 volts relative to the SCE reference. In the absence of a Lalpha-glycerophosphate, the current density was microA/cm<sup>2</sup> at the same potential.

10

15

20

25

30

5

#### EXAMPLE 5

N-methyl-4,4'-bipyridinium iodide (monoquat) synthesized by a standard technique. 1.13 gram monoquat was dissolved in 70 mls. acetonitrile and 25 mls. DMF. 9.0 mls. 1,4-dibromobutane was added and the solution was refluxed overnight. It was then cooled, the precipitate was filtered, washed with acetone and dried. bromo, iodo salt of the resulting viologen was dissolved in water, filtered and precipitated as the hexafluorophosphate (PF<sub>6</sub>) salt through addition of ammonium hexafluorophosphate. This was filtered and dried in vacuum.

0.50 gram PVP and 1.50 gram viologen were dissolved in 60 mls. of DMF and heated to 68°C overnight. Then about 2 grams of 2-bromoethylamine hydrobromide was added to the After about 90 minutes, the DMF was warm solution. decanted from the precipitated polymer, and the polymer was dissolved in water, filtered and precipitated as the PF6 This was dried, then redissolved in DMF containing 2-bromoethylamine hydrobromide. After further warming at 68°C overnight, much of the polymer had precipitated. Tetrabutyl ammonium bromide was added to precipitate the rest which was filtered and washed with methylene chloride. The very hygroscopic polymer was stored in a vacuum

35

dessicator. The approximate structure of this polymer (Polymer D) is shown in FIG. 2.

Three solutions were made up in 10 mM HEPES buffer at pH 8:1:

Solution 1 was 5 mg/ml Polymer D Solution 2 was about 5 mg/ml nitrate reductase Solution 3 was 2.7 mg/ml PEG-DGE

25 microliters of solutions 1 and 2 were thoroughly mixed with 10 microliters of solution 3. 4 microliters of this mixture was applied to the surface of a 3 mm diameter glassy carbon disk electrode and cured overnight in a vacuum at room temperature. Upon exposure of this electrode to a deaerated solution containing 25 mM

nitrate, a reduction current density of 22.6 microA/cm² was recorded at a potential of -0.8 volts relative to the SCE reference. Under the same conditions in the absence of nitrate ion the background current density was 7.0 microA/cm².

20

10

#### EXAMPLE 6

4'-Methyl,4'-(4-bromobutyl) bipyridine, made from the monolithium salt of dimethylbipyridine and dibromobutane, was used as a starting material. 25 1.11 gram of this was dissolved in 50 mls. of ethylene diamine and warmed to about 80°C for 2.5 hours. The solvent was then removed under vacuum, the residue was dissolved in ethyl acetate and the product was extracted into aqueous solution at pH 5.1. The aqueous solution was washed with methylene 30 It was then made basic and the product was chloride. extracted into methylene chloride, washed with water, dried and evaporated.

190 mgs of the resulting 4-methyl,4'- (butylaminoethylamine) bipyridine was dissolved in 4 mls. DMF and 144 mgs of  $\rm K_2OsCl_6$  was added and refluxed for 1

10

hour. Water and dilute HCl were added to the DMF solution, it was filtered and the product was precipitated by the addition of ammonium hexafluorophosphate. The product was dried under vacuum. The structure of this compound is shown in FIG. 2 (Polymer G).

A 3mm glassy carbon disk electrode was made by applying 3 microliters of 5 mg/ml glucose oxidase in 10 mM HEPES buffer pH 8.1, 1 microliter of 2.7 mg/ml PEG-DGE in the same buffer and 3 microliters of 10 mg/ml Polymer G in acetonitrile. The electrode was cured overnight in vacuum. Upon exposure to a solution containing a high concentration of glucose (≥ 60 mM), this electrode exhibited a current density of 2.1 microA/cm² when held at a potential of 0.15 V relative to the SCE reference. The background current density in the absence of glucose was 0.84 microA/cm² at the same potential.

\* \* \*

20

25

15

This invention has been disclosed in connection with specific embodiments. However, it will be apparent to those skilled in the art that variations may be undertaken without departing the spirit and scope of the invention.

#### CLAIMS:

- 1. An amperometric biosensor capable of selectively sensing a lactate, glycerol-3-phosphate, an L-amino acid, a D-amino acid, or a nitrate, the biosensor comprising an electrode coated with a film, the film comprising a redox enzyme covalently bonded to a three dimensional molecular structure with multiple redox centers, said structure providing electrical contact between the electrode and enzyme.
  - 2. The amperometric biosensor of claim 1 which is capable of selectively sensing a lactate.

3. The amperometric biosensor of claim 1 which is capable of selectively sensing glycerol-3-phosphate.

 The amperometric biosensor of claim 1 which is capable of selectively sensing an L-amino acid.

- 5. The amperometric biosensor of claim 1 which is capable of selectively sensing a D-amino acid.
- 6. The amperometric biosensor of claim 1 which is capable of selectively sensing a nitrate.
- 7. The amperometric biosensor of claim 1, 2, 3, 4, 5 or 6, wherein the three dimensional molecular structure comprises a crosslinked redox polymer.

PCT/US91/00201

- A material for coating electrodes, comprising: 8.
  - a redox enzyme;
- a crosslinking agent; and 5
- a polymer capable of reacting with the crosslinking and the redox enzyme, the agent a polyamine, a polyamide, comprising polysulfonate, a polysulfate, a polyphosphate, or 10 a polyphosphonate;

wherein the crosslinking agent, the crosslinkable compound, or both include at least one redox center.

15

- The material of claim 8, wherein the polymer comprises a polyamine.
- 20 The material of claim 8, wherein the polymer comprises a polyamide.
- The material of claim 8, wherein the polymer comprises 25 a polysulfonate.
- The material of claim 8, wherein the polymer comprises 30 a polysulfate.
  - The material of claim 8, wherein the polymer comprises a polyphosphate.

35

- 14. The material of claim 8, wherein the polymer comprises a polyphosphonate.
- 5 15. The material of claim 8, 9, 10, 11, 12, 13 or 14, wherein the polymer comprises a redox polymer.
  - 16. The material of claim 15 which is crosslinked.

- 17. A material for coating electrodes, comprising:
  - a redox enzyme;

15

a crosslinking agent comprising an expoxide, an aldehyde, an imido ester, a carbodiimide, cyanuric chloride, tetrabenzoquinone, benzoquinone, or tetracyanoquinodimethane; and

20

- a crosslinkable compound capable of reacting with the crosslinking agent and the redox enzyme;
- wherein the crosslinking agent, the crosslinkable compound, or both include at least one redox center.
- 18. The material of claim 17, wherein the crosslinking agent comprises an expoxide, an aldehyde, an imido ester, or a carbodiimide.
- 19. The material of claim 17, wherein the crosslinking agent comprises cyanuric chloride, tetrachlorobenzoquinone, benzoquinone, or tetracyanoquinodimethane.

- 20. The material of claim 17, wherein the crosslinking agent comprises an expoxide.
- 5 21. The material of claim 17, 18, 19 or 20 which is crosslinked.
- 22. An amperometric biosensor capable of selectively sensing a lactate, glycerol-3-phosphate, an L-amino acid, a D-amino acid, or a nitrate, the biosensor comprising an electrode coated with a material, the material comprising:
  - a redox enzyme;

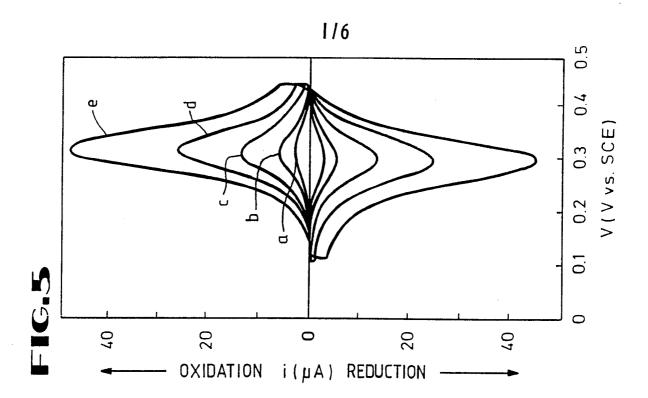
a crosslinking agent comprising an expoxide, an aldehyde, an imido ester, a carbodiimide, cyanuric chloride, tetrachlorobenzoquinone, benzoquinone, or tetracyanoquinodimethane; and

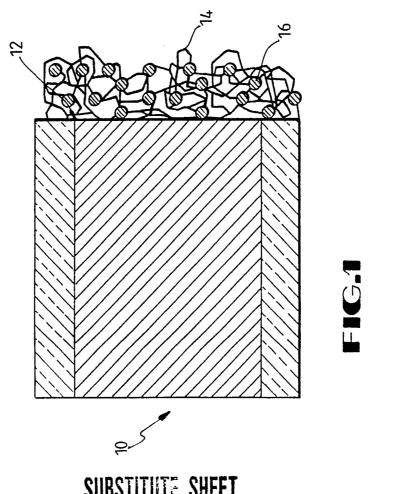
20

25

a redox polymer capable of reacting with the crosslinking agent and the redox enzyme, the redox polymer comprising a polyamine, a polyamide, a polysulfonate, a polysulfate, a polyphosphate, or a polyphosphonate.

WO 92/12254 PCT/US91/00201





SUBSTITUTE SHEET

## FIG.2A

POLYMER A

# FIG.2B

POLYMER B

POLYMER C

FIG.2C

FIG.2D

SUBSTITUTE SHEET

FIG.2E
POLYMERE

SUBSTITUTE SHEET

PEG - DGE

FIG.3A

EPOXIDE REACTION WITH AN AMINE FIG. 3 B

CYANURIC CHLORIDE

FIG.3D

N-HYDROXYSUCCINIMIDE ESTERS

FIG.3D

Os(bpy)<sub>2</sub>C1<sub>3</sub> + Na<sub>2</sub>S<sub>2</sub>O<sub>4</sub> 
$$\longrightarrow$$
 Os(bpy)<sub>2</sub>C1<sub>2</sub>

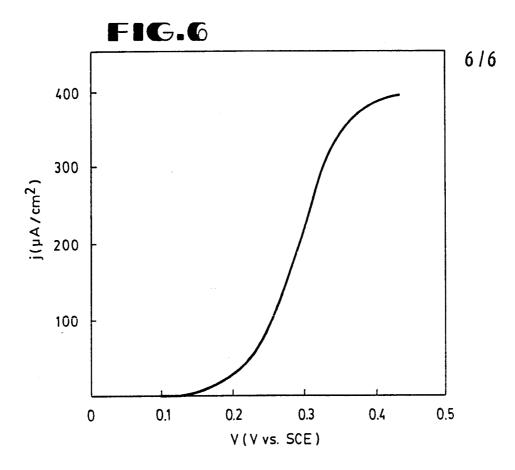
Os(bpy)<sub>2</sub>C1<sub>2</sub> + Os(bpy)<sub>2</sub>C1<sub>2</sub>

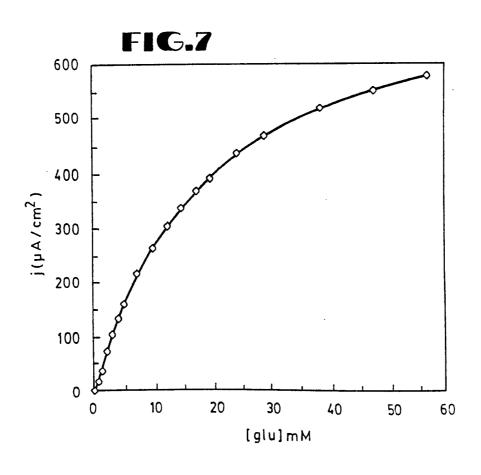
Os(bpy)<sub>2</sub>C1<sub>2</sub> + Os(bpy)<sub>2</sub>C1

FIG.4

POLYMER C

# SUBSTITUTE SHEET





SUBSTITUTE SHEET

International Application No

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) <sup>6</sup>						
		Classification (IPC) or to both Nationa	l Classification and IPC			
Int.CI.	5 C12Q1/00					
T THE DE	SEARCHED					
II. FIELDS	SEARCHED	Minimum Doct	umentation Searched?			
Classification	ion System		Classification Symbols			
CIEDATION	Casalitation bys.					
Int.Cl.	. 5	C12Q				
		Documentation Searched othe to the Extent that such Document	her than Minimum Documentation ats are Included in the Fields Searched <sup>2</sup>			
III. DOCUM		ED TO BE RELEVANT <sup>9</sup>		Relevant to Claim No.13		
Category °	Citation of D	ocument, <sup>11</sup> with indication, where appro	opriate, of the relevant passages 12	Reevant to Claim 140		
v	ANAIVTT	CAL CHEMISTRY		1-22		
Υ		, 1990, WASHINGTON DC	USA			
	pages 2	58 - 263:				
;	B.A. GR	EGG ET AL.: 'Cross-li ing glucose oxidase f	nked redox gels			
	contain   biosens					
	See who	le article.				
		UGHLAN. 'Molybdenum-c	and date of the control of	1-22		
Y	M.P. CO 1980 ,	1				
	Chanter	•				
	underst	anding of electron tr	ansfer processes in			
	enzymes Palmer	containing multiple	redox centers. G.			
1		e 187 - page 220				
			-/			
	al categories of cited d		"T" later document published after the intern	national filing date		
"A" do	cument defining the s	eneral state of the art which is not	or priority date and not in conflict with a cited to understand the principle or theo	The application put		
(C)	pasidered to be of parti	cular relevance dished on or after the international	invention  "Y" document of particular relevance: the cla	imed invention		
fil	ling date	ow doubts on priority claim(s) or	cannot be considered novel or cannot be involve an inventive step	considered to		
i wh	nich is cited to establistation or other special	h the publication date of abother	"Y" document of particular relevance; the cli cannot be considered to involve an inver	itive step when the		
"O" do	ocument referring to al	oral disclosure, use, exhibition or	document is combined with one or more ments, such combination being obvious	other such tocu-		
P do		r to the international filing date but tte claimed	in the art. "&" document member of the same patent fa			
1	TFICATION		Description of the Version of the Company of the Co	and Pennt		
Date of the		the International Search	Date of Mailing of this International Sec	nen wehou		
<u>:</u>	27 JA	IUARY 1992	<b>1 0</b> . 02. <b>92</b>			
Internation	nal Searching Authorit	<b>y</b>	Signature of Authorized Officer	11d B. For		
	EUROP	EAN PATENT OFFICE	Van Bohemen Ch G	Mon B. tom		
1						

L DOCUME	OCUMENTS CÔNSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)						
	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claim No.					
stegory °	DATENT ADCIDACTS OF JAPAN	8-14					
	vol. 6, no. 2 (P-96)(880) 8 January 1982 & JP,A,56 126 757 ( TOKYO SHIBAURA DENKI KK ) 5 October 1981 see abstract						
And and and analysis of the special state of the sp							
-							
7							