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(71) Applicant: GOLDMAN, SACHS & CO. [US/US]; One New York Plaza, New York, NY 10004 (US).

(72) Inventor: LAWRENCE, David; 85 Broad Street, New York, NY 10004 (US).

(74) Agent: KINCART, Joseph, P.; Clifford Chance US LLP, 200 Park Avenue, New York, NY 10166 (US).

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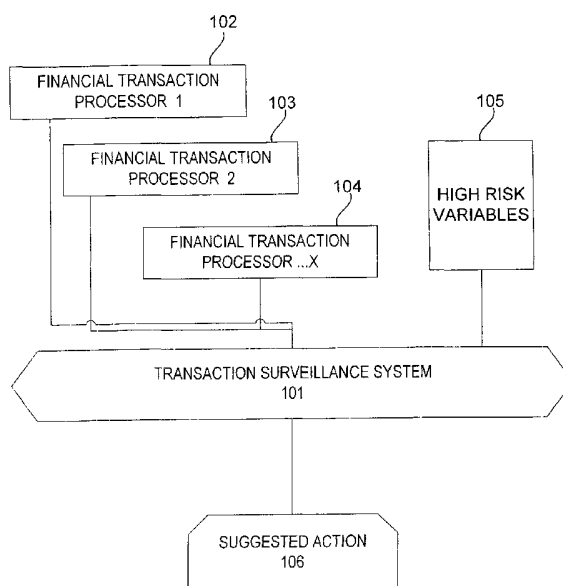
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(54) Title: TRANSACTION SURVEILLANCE



(57) Abstract: The present invention provides methods and systems (101) that facilitate the prevention of money laundering by monitoring various facets of a financial transaction via automated test routines, and automatically generating an action to address a high risk transaction based upon the results of the monitoring. To implement the invention, a reference of high risk variables (105) can be compiled and data descriptive of a financial transaction can be monitored for one or more indications of high risk variables (105) according to predetermined criteria. Indications of high risk variables can be reported and one or more suggested actions (106) can be generated based upon the report.



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INTERNATIONAL SEARCH REPORT

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A. CLASSIFICATION OF SUBJECT MATTER		
IPC(7) : G06F 17/60 US CL : 705/38		
According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED		
Minimum documentation searched (classification system followed by classification symbols) U.S. : 705/38, 37, 39		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) EAST: risk, management, high risk, money laundering, parameter, financial, transaction, entity.		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,819,226 A (GOPINATHAN et. al.) 06 October 1998 (09.10.1998); see Abstract; Figs. 12-20; col. 2, lines 27-42; col. 29, lines 12-24.	1-26
X,P	US 6,330,546 B1 (GOPINATHAN et. al.) 11 December 2001 (11.12.2001); see Abstract; Figs. 18-20; col. 2, lines 41-56; col. 27, lines 21-40.	1-26
X,E	US 2003/0074310 A1 (GROVIT et al.) 17 April 2003 (17.04.2003); Abstract; Fig.1-8; page 1, paragraphs 9 and 10; page 5, paragraph 48; pages 7-8, paragraphs 109-110.	1-26
A,P	US 2002/0138407 A1 (LAWRENCE et al.) 26 September 2002 (26.09.2002); see Abstract; Figs. 3-4; page 3, paragraph 26.	1-26
A	US 6,289,320 B1 (DRUMMOND et al.) 11 September 2001 (11.09.2001); see Abstract; col. 18, lines 23-36.	1-26
A,E	JP 02003050896 A (OMURA et al.) 21 February 2003 (21.02.2003); see Abstract.	1-26
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