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<p>(21) International Application Number: PCT/GB99/02180</p> <p>(22) International Filing Date: 8 July 1999 (08.07.99)</p> <p>(30) Priority Data:  9814835.6            8 July 1998 (08.07.98)    GB  9825376.8            19 November 1998 (19.11.98)    GB  9900924.3            15 January 1999 (15.01.99)    GB</p> <p>(71) Applicants (for all designated States except US): EUROPEAN ORGANIZATION FOR NUCLEAR RESEARCH [-/CH]; CH-1211 Geneva 23 (CH). QUEEN MARY AND WESTFIELD COLLEGE [GB/GB]; Mile End Road, London E1 4NS (GB).</p> <p>(72) Inventors; and  (75) Inventors/Applicants (for US only): GANDI, Angelo [CH/CH]; EST Division, CERN, CH-1211 Geneva 23 (CH). DE OLIVEIRA, Rui [CH/CH]; EST Division, CERN, CH-1211 Geneva 23 (CH). CARTER, Anthony, Arthur [GB/GB]; Queen Mary and Westfield College, Dept. of Physics, Mile End Road, London E1 4NS (GB).</p> <p>(74) Agents: KINSLER, Maureen, Catherine et al.; Kilburn &amp; Strode, 20 Red Lion Street, London WC1R 4PJ (GB).</p>	<p>(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b>  With international search report.</p>	
(54) Title: A THERMAL MANAGEMENT DEVICE AND METHOD OF MAKING SUCH A DEVICE		
(57) Abstract		
<p>A thermal management device (13) comprising anisotropic carbon (10) encapsulated in an encapsulating material (12) that improves the strength of the carbon. The encapsulating material may be polyimide or epoxy resin or acrylic or polyurethane or polyester (12) or any other suitable polymer.</p>		

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### A Thermal Management Device And Method of Making Such A Device

5 The present invention relates to a thermal management device for managing the dissipation of heat in, for example, electronic equipment and a method of making such a device. In particular, the invention relates to a thermal management device that has electrical feed through capability and can act as a direct interface to active elements.

10 Electronic and electrical devices are the sources of both power and heat. As is well known, in order to provide reliable operation of such devices, it is necessary to maintain stable operating conditions and temperatures. Hence, efficient methods for heat management and dissipation are essential. Typically this is done by providing thermal management devices that are arranged  
15 adjacent and in contact with the electronic device or circuit board. Heat generated in the circuit is transferred to and dissipated in the thermal management device. For optimum efficiency, it is desirable that thermal management structures have the highest possible thermal conductivity, efficient external connectivity and appropriate mechanical strength.

20 To achieve these objectives in thermally demanding applications, some known devices encapsulate high thermal conductivity materials into composite structures. However, these devices often achieve only limited performance, with significant conductivity losses, typically 40%, and increases in mass and  
25 bulk. Examples of such structures are described in EP 0,147,014, EP 0,428,458, US 5,296,310, US 4,791,248 and EP 0,231,823. The best thermal management systems available at present have conductivities that typically do

not exceed 1,000W/mK.

Current technologies do not provide thermal management that is sufficient in many applications whilst at the same time providing efficient electrical interconnection between layers or sides of circuit boards. A further problem is that the mass and volume of known thermal management systems are relatively large. This affects the overall size of electronic systems in which such devices are incorporated. In this day and age when the general drive of the electronics industry is towards miniaturisation, this is highly disadvantageous.

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Thermal management systems are often used as substrates for supports for hybrid electronic circuits. In one known arrangement, beryllia is used as a heat sink. This has a thermal conductivity of around 280W/mK at room temperature. On top of this is a layer of dielectric on which gold contacts are subsequently formed, thereby to enable connection to other electrical circuits. A disadvantage of this arrangement is that beryllia is a hazardous material, in fact it is carcinogenic, and is generally difficult to process. In addition, the dielectric tends to be thick thereby making the overall structure bulky. Furthermore, partly because of the use of gold as a contact material, the overall structure is expensive to manufacture.

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An object of the present invention is to provide a thermal management system that has a high thermal conductivity but a low mass and volume.

According to a first aspect of the present invention there is provided a thermal management device comprising anisotropic carbon encapsulated in an encapsulating material that is applied directly to the carbon and is able to

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improve the rigidity of the carbon, preferably wherein the encapsulating material is polyimide or epoxy resin or acrylic or polyurethane or polyester or any other suitable polymer.

5 Preferably, the anisotropic carbon has mosaic or full ordering.

Preferably, the anisotropic carbon is thermalised pyrolytic graphite that has mosaic or full ordering. The thermalised pyrolytic graphite may have an in plane thermal conductivity of 1550-1850W/mK at around room temperature.

10 Typically, the thermalised pyrolytic graphite has a low value of tensile strength in the orthogonal direction.

The anisotropic carbon may alternatively be pyrolytic graphite. The pyrolytic graphite may be in an "as deposited" or partially ordered form. The conductivity of the pyrolytic graphite may be in the range of 300-420W/mK in one plane. The tensile strength of the plate may be 1.5Ksi in the orthogonal plane.

20 Preferably, the anisotropic carbon is a plate. Preferably the carbon plate has a thickness in the range 100-500 $\mu$ m. The carbon plate may have a thickness in the range of 200-250 $\mu$ m or 250-300 $\mu$ m or 300-350 $\mu$ m or 350-400 $\mu$ m or 400-450 $\mu$ m or 450-500 $\mu$ m.

25 Preferably the material encapsulating the carbon has a low thermal expansion coefficient and high degradation temperature, such as a polyimide, for example PI 2734 provided by DuPont (trade mark), where the thermal expansion coefficient is around 13ppm/C and the degradation temperature is around 500C.

The coating layer may have a thickness in the range from a few microns to many tens of microns. Multiple layers of coating may be formed on the carbon in order to build up a desired thickness.

- 5 A matrix of fine holes, preferably 200 $\mu$ m diameter, may be formed through the carbon plate, prior to encapsulation. These holes are filled during encapsulation of the plate. An advantage of this is that it reduces the possibility of internal delamination.
- 10 According to a second aspect of the present invention, there is provided an electrical system comprising a thermal management device in which the first aspect of the invention is embodied, on a surface of which electrical contacts and/or devices are provided.
- 15 The devices may be deposited directly on the surface or may be glued using, for example, a thin layer of liquid glue. Preferably, the devices are encapsulated in polyimide or epoxy resin or acrylic or polyurethane or polyester or any other suitable polymer.
- 20 Preferably, a plurality of layers of electrical components are provided, each spaced apart by layers of polyimide. Typically, the electrical contacts are made of thin film metal, for example aluminium.

According to a third aspect of the present invention there is provided a method  
25 of fabricating a thermal management device comprising:

applying a coat of encapsulating material, preferably polyimide or epoxy resin or acrylic or polyurethane or polyester or any other suitable

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polymer directly to a clean carbon surface, the encapsulating material being such as to improve the rigidity of the carbon; and repeating the foregoing steps until the carbon is encapsulated.

5 The method may additionally involve curing the encapsulating material.

Preferably, the step of applying involves brushing, rolling, dipping, spraying, spinning, stamping or screen-printing. Preferably, for polyimide, which consists of a single-component, the step of applying the coating involves  
10 brushing the polyimide or applying it using a roller. For solid phase application a cast can be used. This requires a pre-polymerised foil of the encapsulating material to be applied directly on to the clean surface. This can be useful when simple thermal management devices are required with no internal holes.

Preferably, the carbon and cast are compressed within a vacuum and at high  
15 temperature.

Preferably, the step of applying involves applying multiple layers of encapsulating material, such as polyimide or epoxy resin or acrylic or polyurethane or polyester or any other suitable polymer, until a desired  
20 thickness is reached.

Preferably, the method includes cleaning a surface of the carbon thereby to produce said clean carbon surface.

25 Preferably, the step of cleaning involves using pumice powder under water to remove loose materials, followed by drying. Preferably, the step of drying involves drying the carbon by baking the carbon surface to remove moisture, for example, at 100C for one hour.

Preferably the step of cleaning includes degreasing the carbon by, for example, rinsing it with acetone.

5 When polyimide is used, it is preferable that the step of curing involves heating the carbon to 150C for, for example, 1 hour and subsequently temperature cycling the board to 150C for 30 minutes, 250C for 30 minutes and finally 300C for 30 minutes.

10 In the case of epoxy, this can consist of a single component or else be a double component mixture. For the single component type then a two stage gluing can be carried out by firstly drying the glue to remove the solvent at a given temperature, (typically around 120C) and form a solid phase, and then heating it at a higher temperature typically around 180° to complete the polymerisation. In the case of double component epoxy, the initial mixing of the components 15 causes the polymerisation process to begin, and the process may then need anything between minutes and several hours for the process to be completed, depending upon the particular epoxy.

20 Preferably the method further comprises drilling the carbon with at least one hole prior to application of the encapsulating material. The at least one hole may be completely infilled with encapsulating material. The holes may be infilled with encapsulating material that is mixed with glass fibre spheres, each sphere typically having a diameter of 30µm. This process may be carried out before the pure polyimide coating is used to encapsulate the surface of the 25 plate, and can improve the uniformity of coating thickness across the surface of the plate by preventing the possibility of thinning occurring around the edges of the initial holes in the plates. In either case, once the encapsulation process is



completed, the said at least one hole is re-drilled. thereby to provide a through hole that is electrically insulated from the carbon core.

5 Preferably, a layer of a conducting material is applied to the at least one hole to produce electrical connections, thereby to enable electrical connections through the carbon. Preferably, the conducting material is a metal, for example thin film aluminium. Alternatively, the edges that define the at least one hole may be coated with the encapsulating material in such a way as to maintain a passage through the carbon, thereby to avoid having to conduct the step of  
10 drilling through encapsulating material.

The method may further involve forming a matrix of fine holes through the carbon. These holes are of course infilled when the plate is fully encapsulated.

15 According to a fourth aspect of the present invention, there is provided a method of fabricating an electrical component comprising the method of the third aspect of the present invention and additionally the steps of forming electrical contacts on at least one surface of the carbon and/or depositing electrical devices thereon.

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The step of depositing may involve fabricating the devices directly on the surface or forming the devices or a thin film multi-layer circuit containing the devices separately from the carbon surface and fixing them to that surface. Preferably, the step of fixing involves applying glue to the devices or the circuit  
25 or the carbon surface and pressing the devices or circuit and the surface together at room temperature and at low vacuum.

Preferably, the electrical contacts are applied using thin film processing techniques, using, for example, aluminium.

5 Various devices and methods in which the present invention is embodied will now be described by way of example only and with reference to the following drawings, of which:

Figure 1 is a cross-section through a carbon plate;

10 Figure 2 is a cross-section through a plate that has been partially coated with an encapsulating material, such as polyimide or epoxy resin or acrylic or polyurethane or polyester or any other suitable polymer;

Figure 3 is a cross-section through a plate that has been fully encapsulated with the encapsulating material;

15 Figure 4 is a cross-section through a carbon plate that has been drilled with holes;

Figure 5 is similar cross-section to that of Figure 4 except that the plate has been coated with encapsulating material;

Figure 6 is a cross-section similar to that of Figure 5 except that holes are formed through the encapsulating material;

20 Figure 7 is a cross-section similar to that of Figure 6 in which the plate has been covered with metal;

Figure 8 is a cross-section similar to that of Figure 7 in which interconnection structures have been etched on both sides of the plate;

25 Figure 9 is a cross section through a plate similar to that shown in Figure 3 onto which a multi-layer electrical circuit has been directly fabricated;

Figure 10 is a cross section similar to that of Figure 9, but in this case the multi-layer electrical circuit has been fixed to a surface of the carbon

plate using epoxy resin;

Figure 11 is similar to Figure 10, except that the multi-layer circuit is mounted using epoxy on a surface of a carbon plate that has been coated with polyimide;

5 Figure 12 is a cross section through a structure that is similar to that of Figure 9, except a compensatory layer is included on the back-side of the structure;

Figure 13 is a cross section through a structure that is similar to that of Figure 11, except a compensatory layer is included on the back-side, and

10 Figure 14 is a top view of a large carbon plate, on which is a plurality processed sites.

Figure 1 shows a carbon plate 10. This is typically thermalised pyrolytic graphite with mosaic or full ordering, with an in plane thermal conductivity  
15 (indicated by arrow A) of 1550-1850W/mK and a thermal conductivity of 8-25W/mK in the orthogonal direction (indicated by arrow B), with both directions having low values of tensile strength. This material is friable, breaks easily and so is generally difficult to handle. In addition, due to its inherent softness and layered nature any contact with this material results in  
20 small traces of it being transferred to the surface it touched. This is disadvantageous in electrical circuits where any stray shards or pieces of conducting material can result in electrical shorts being formed.

The plate 10 may alternatively be pyrolytic graphite in an "as deposited" or  
25 partially ordered form. This material is anisotropic and typically has a thermal conductivity in the region of 300-420W/mK in one plane (indicated generally by arrow A in Figure 1) and 3W/mK in the orthogonal direction

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(indicated by arrow B in Figure 1) with respective tensile strengths of 14Ksi and 1.5Ksi.

5 The plate 10 may have a thickness in the range 100-500 $\mu$ m, preferably 200 $\mu$ m, although could have any thickness suitable for a given application.

10 In order to form a thermal management board that has a high thermal conductivity and is sufficiently mechanically rigid to enable electrical components to be mounted thereon, the plate 10 is directly coated with an encapsulating material. Suitable encapsulating materials include polyimide or epoxy resin or acrylic or polyurethane or polyester 12 or any other such polymer that can be applied directly to the carbon surface and is able to improve the rigidity of the plate without reducing significantly its thermal conductivity. One example of a suitable polyimide is PI 2734, provided by  
15 DuPont (trade mark).

Prior to coating, a matrix of fine holes may be formed through the plate (not shown). The diameter of the holes is typically 200 $\mu$ m. This has the advantage of reducing the possibility of internal delamination.

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In order to carry out the encapsulating process, the surface of the plate 10, is firstly brushed under water with pumice powder, thereby to remove any loose material. The plate 10 is dried for one hour at 100°C and degreased with, for example, acetone. A coat of one of the encapsulating materials, for example, PI  
25 2734 approximately 8 $\mu$ m thick is then applied to one surface of the plate using a brush and the plate 10 is heated for about one hour at 150°C to partially polymerize the polyimide. This results in one side of the plate 10 being coated

with the polyimide 12, as shown in Figure 2.

The foregoing steps are then repeated on each side of the plate 10 until it is fully encapsulated and the desired thickness of the polyimide is reached, as shown in Figure 3, thereby forming a thermal management board 13. Generally, these steps are carried out on alternate surfaces so that the flatness of the board can be preserved. It is important at this stage to ensure that all sides and edges of the plate are covered. If, however, it is necessary to contact the graphite for some reason, small holes may be left in the polyimide, although these would be in-filled when the appropriate contact is made. Finally, the board 13 is thermally cycled so that it is cured. The thermal cycling for a carbon plate encapsulated by PI 2734 typically involves heating the board 13 to 150°C for 30 minutes, 200°C for 30 minutes, 250°C for 30 minutes and 300°C for 30 minutes. If a high level of flatness is required, then during the curing stage, the board surfaces are compressed within a press at low vacuum.

The encapsulation process is adapted to suit the specification and geometrical form of the required thermal management structure. For example, if the geometric form of the substrate includes internal holes and/or a complex perimeter with the need for all surfaces and edges to be coated uniformly it is preferred to apply the encapsulating material to the cleaned carbon surfaces using a brush or a roller. This allows all surfaces and edges to be coated as required. Alternatively, the substrate could be coated using techniques such as dipping, spinning, spraying, stamping or screen printing. The drying, heating and optional low vacuum pressing steps are then carried out in the same manner and sequence as previously described.

- The processing steps for all the encapsulating materials are essentially the same, but as will be appreciated the temperatures used to cause partial polymerization and curing vary. For example in the case of epoxy resin, if type G10 FR4 is used, once the carbon is completely encapsulated it is heated typically to 180C for about one hour to cure the resin and thereby form the thermal management board. If required, further epoxy layers can be added by repeating the steps of applying the resin and heating the board to form an encapsulation layer of the required thickness.
- 10 According to another encapsulation technique that uses epoxy resin, for example STYCAST (type 1266) which is a two component epoxy resin, all the resin processing steps can be carried out at room temperature. This minimises the possibilities of generating internal stresses or internal delamination of the substrate. The preparations of the substrate surfaces prior to encapsulation are carried out as previously described. The technique for applying the epoxy resin to the surface of the substrate, using for example screen printing or a brush or roller, is again determined by the same considerations of substrate geometry and form.
- 20 In the case of the room temperature processing, where curing times can be between minutes and several hours, depending upon the properties of the particular epoxy resin, the encapsulation process typically has a sequence of curing procedures. An initial low vacuum environment encourages degassing to produce a bubble-free coating. This is followed by the combined application to the board of both a low vacuum and high surface pressure. In this way, a high level of mechanical flatness can be provided for the

encapsulated thermal management structure.

The process of encapsulating the plate 10 in any one of the described encapsulation materials maintains the thermal conductivity of the plate at substantially its pre-coating level. For example, when thermalised pyrolytic graphite is used, the resulting thermal management board has an in-plane thermal conductivity of typically 1700W/mK at room temperature. It will be appreciated that for lower temperatures the conductivity is likely to be higher. This is advantageous. Another advantage of the process of encapsulating the carbon is that the flatness of the thermal management board can be maintained at typically plus or minus  $5\mu\text{m}$  across a plate that is 100mm by 100mm, provided the original material is suitably flat.

Using the encapsulation process described above it is possible to encapsulate, for example, a graphite plate 10 having a thickness of  $200\mu\text{m}$  in a polyimide or epoxy resin or acrylic or polyurethane or polyester layer having a thickness in the range of  $8\text{-}30\mu\text{m}$ , preferably  $15\mu\text{m}$ . This results in a thermal management board 13 having a total thickness in the range of  $208\text{-}230\mu\text{m}$ . Encapsulating the plate in this amount of material results in a board having a tensile strength that is significantly higher than that of the original carbon plate, thereby making the board sufficiently strong for it to be handled easily. This is done with a negligible increase in volume and loss of thermal conductivity. This is unexpected and advantageous.

In many applications, thermal management devices are sandwiched between layers of printed circuit boards. Hence, it is advantageous to be able to allow

direct electrical interconnection between opposing sides of the device. In order to achieve this in the present case, prior to encapsulation, a matrix of holes is formed in the graphite plate 10 by, for example, drilling. This is shown in Figure 4. The holes 14 should each have a diameter that is greater than the desired final diameter. Typically, the diameter of the holes 14 formed at this stage would be at least  $200\mu\text{m}$  greater than the desired diameter. The holes 14 can of course be formed in any desired layout. Polyimide or epoxy resin or acrylic or polyurethane or polyester 12 or any other suitable polymer is then applied to the plate 10 in order to coat its surfaces and fill in the holes 14, as shown in Figure 5. If desired, the holes could in fact be infilled with a mixture of the encapsulating material, for example polyimide, and glass spheres. This process can be carried out before the pure polyimide is used to encapsulate the surface of the plate. This improves the uniformity of the coating thickness across the surface of the plate, by preventing the possibility of thinning occurring around the edges of the initial holes. Once the plate is fully encapsulated, it is then processed as described above thereby to provide a rigid and highly thermally conducting board.

In order to provide electrical connections through the board, the in-filled holes 14 are re-drilled to form holes 16 of a smaller diameter, typically  $100\mu\text{m}$  or greater, as shown in Figure 6. In this way passages are formed through the board but the graphite 10 material remains encapsulated in the polyimide or resin or acrylic or polyurethane or polyester 12 or other suitable polymer and so electrically insulated. Metal 18, such as aluminium is then deposited on both sides of the board, as shown in Figure 7, typically using thin film



aluminium processing techniques. Interconnection structures 20 are subsequently etched using standard techniques on both sides of the board, as shown in Figure 8. In this way, a board 22 is produced having metalised holes through an encapsulated carbon plate, the metal of the holes being  
5 entirely insulated from the carbon 10.

The encapsulated thermal management board 13,22 can be used as an interface to various assemblies. For example, it can be used for direct thermal management of ceramic substrates such as alumina, beryllia and  
10 aluminium nitride, or metal substrates such as beryllium. This is achieved by applying, for example, a thin layer of liquid epoxy resin to one surface of the ceramic substrate, heating the substrate to 125°C to polymerize the resin, and then positioning the substrate on the carbon plate 10 or thermal management board 10,22. A high pressure, low vacuum pressing at 180°C is then applied  
15 to produce a bubble-free interface with a thickness of only a few microns. An alternative process is to coat the ceramic or metal substrate with a thin layer of liquid epoxy glue (typically a few microns thick), position it onto the anisotropic carbon plate or the thermal management board and attach it by allowing the epoxy to polymerise under pressing and low vacuum at room  
20 temperature, in order to produce a bubble-free interface.

The thermal management board 13,22 can also be used as a substrate for the custom design of thin film multi-layer circuits using alternating layers of vacuum deposited aluminium and polyimide. The aluminium 24 may be  
25 directly deposited onto the polyimide or resin or acrylic or polyurethane or polyester of the board 13,22 typically using thin film aluminium techniques so

that layers having thicknesses of  $5\mu\text{m}$  can be deposited. Figure 9 shows aluminium 24 deposited onto a layer of epoxy resin 26 which is in turn deposited on one surface of plate 10. Because the coated surface of the plate 10 is flat, the resolution of the lithography used to deposit the aluminium 24 is good. This means that small features can be readily defined. Polyimide 28 is then applied over the aluminium by spinning or screen-printing. Hence, the thickness of the polyimide layer 28 can be, for example, as little as  $8\mu\text{m}$ . Using standard fabrication techniques, holes are then defined through the polyimide 28 in appropriate places so that subsequent layers of metal 30 that fill these holes can provide electrical contact to the aluminium 24. Between the subsequent layers of metal 30 are, typically, layers of polyimide 28. Of course this processing could be done on opposing sides of the plate 10 thereby to provide a double sided electrical component with an intrinsic thermal management capability.

The thin-film multi-layer circuits can also be fabricated on alternative substrates, for example aluminium, and subsequently separated chemically. These circuits or other custom-designed multi-layer circuits which may be fabricated on polyimide layers or epoxy resin based layers 31 can also be interfaced to the initial anisotropic carbon plate by applying, for example, a thin layer of liquid epoxy glue 32 (typically a few microns thick) to the plate 10, placing the multi-layer circuit on that surface and allowing the epoxy to polymerise under pressing and low vacuum at room temperature, in order to produce a bubble-free interface. A device that has been fabricated by applying epoxy resin 32 to a carbon plate 10 is shown in Figure 10. In this case the epoxy resin 32 acts both as a fixing agent to secure the multi-layer

circuit to the carbon plate 10 and additionally as the material for encapsulating the carbon plate. In contrast, Figure 11 shows a multi-layer circuit that is interfaced using epoxy resin 32 with a surface of a carbon plate 10 that has been coated with, for example, polyimide 36.

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In hybrid structures fabricated using any of the processes described above, changes in the temperature can cause variations in the lengths of the structural component layers. The changes in length for the encapsulated board are different from those of the materials that form the attached multi-layer hybrid structure. This affect degrades the overall surface flatness, which can be a disadvantage in some applications. It has been found, however, that optimum flatness can be maintained, over a range of temperatures, typically 100C, by depositing a compensatory layer of encapsulating material on the opposite side of the thermal management board to that which carries the hybrid. This compensatory layer should be of the same material and substantially the same thickness as the layers of material that form the multi-layer hybrid structure. In this way, each side of the board has approximately the same co-efficient of thermal expansion and the overall flatness of the board does not vary substantially.

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The compensatory layer can be mounted either by building up additional layers of encapsulating material on the board until the desired thickness is achieved or alternatively by gluing a cast of the material onto the surface of the board in a similar manner as previously described. As an example, Figure 12 shows the structure of Figure 9 onto which has been deposited a compensatory layer of polyimide 37 that has a thickness that is roughly the

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same as the combined thickness of layers 28 of Figure 10, assuming in this case that the thickness of the hybrid structure is dominated by the layers of polyimide 28. As a further example, Figure 13 shows the structure of Figure 11, onto which has been glued a compensatory layer of polyimide 37, which has a thickness that is roughly the same as the combined thickness of the layers 28 and 31 of the structure of Figure 11. Again this assumes that the thickness of the hybrid structure is dominated by the layers 28 and 31.

To provide additional rigidity to the composite structure and/or to protect the edges against impact or delamination, the anisotropic carbon plate can be inserted within a surrounding thin frame, which is preferably made of material having the same co-efficient of thermal expansion as the structure, for example, carbon fibre. In this way, a single flat surface is provided that can be coated and attached to the multi-layer circuit in the room temperature process described above.

Hybrid devices that include, for example, multi-layer circuits and a thermal management board can be made in various ways. In one technique, a plurality of such devices is fabricated from one large carbon plate. Figure 14 shows such a plate 38 on which are six processing sites 40. Each processing site is coated with, for example, polyimide, onto which the multi-layer circuits can be either directly deposited or fixed using epoxy glue. Once the processing at each site is completed, the plate 38 is cut up to form six discrete devices. The uncoated sides of the carbon plate are then processed as previously described to ensure complete encapsulation of the carbon and formation of a thermal management board. An advantage of this particular

technique is that problems associated with the edges of the carbon plate 38 are avoided.

5 These procedures allow the thermal conductivity and low mass property of the initial thermal management structure to be preserved after interfacing with the custom-made multi-layer circuits.

10 As mentioned previously in some known applications where relatively high thermal conductivity is required, beryllia substrates with a layer of dielectric formed thereon have been used, and gold contacts are deposited onto the dielectric. However, the hybrid electronic device that uses the thermal management device in which the present invention is embodied provides significantly higher thermal conductivity with a significant reduction in cost. Furthermore, because the materials involved are not hazardous, the  
15 fabrication of such devices is less problematic.

The process as described above allows the fabrication of electronic assemblies with high component densities constructed on a high thermal conductivity, low mass, graphite plate or core having the possibility of customised electrical  
20 interconnections between its opposing faces. This is achieved without the use of hazardous materials.

The thermal management board that uses thermalised pyrolytic graphite has an optimal in-plane thermal conductivity typically of 1550-1850mK at room  
25 temperature, whilst at the same time having a low mass and easy to handle structure. In addition, the substrates can be readily used as interfaces between

other circuits. Furthermore, any geometry of the carbon can be used prior to encapsulation so that the thermal management device can be custom made for each particular application. This is advantageous because it means that the application of the process is not significantly limited.

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In the use of thermal management structures for cooling electrical systems, thermal grease is often used as an interface. It is envisaged that a thermal management device in which the invention is embodied could be used in place of the grease, it being appreciated that the device used in such an application should be relatively thin.

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The skilled person will appreciate that variations of the disclosed arrangements are possible without departing from the invention. Accordingly, the above description of several embodiments is made by way of example and not for the purposes of limitation. In addition, it will be clear to the skilled person that minor modifications can be made without significant changes to the concepts described above.

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Claims

1. A thermal management device comprising anisotropic carbon encapsulated in an encapsulating material that is applied directly to the anisotropic carbon and improves the rigidity of the carbon, preferably wherein the encapsulating material is polyimide or epoxy resin or acrylic or polyurethane or polyester or any other suitable polymer.  
5
2. A thermal management device as claimed in claim 1, wherein the anisotropic carbon has mosaic or full ordering.  
10
3. A thermal management device as claimed in claim 1 or 2, wherein the anisotropic carbon is thermalised pyrolytic graphite.
4. A thermal management device as claimed in claim 3, wherein the thermalised pyrolytic graphite has an in plane thermal conductivity in the range of 1550-1850W/mK at room temperature.  
15
5. A thermal management device as claimed in claim 3 or 4, wherein the thermalised pyrolytic graphite has a low value of tensile strength in the orthogonal direction.  
20
6. A thermal management device as claimed in claim 1, wherein the anisotropic carbon is pyrolytic graphite.  
25
7. A thermal management device as claimed in claim 6, wherein the pyrolytic graphite is in an "as deposited" or partially ordered form.

8. A thermal management device as claimed in claim 6 or 7, wherein the conductivity of the pyrolytic graphite is in the range of 300-420W/mK in one plane.
- 5 9. A thermal management device as claimed in any one of claims 6 to 9, wherein the tensile strength of the carbon is 1.5Ksi in the orthogonal plane.
- 10 10. A thermal management device as claimed in any one of the preceding claims, wherein the anisotropic carbon is a plate.
11. A thermal management device as claimed in claim 10, wherein the carbon plate has a thickness in the range 100-500 $\mu$ m, preferably 200-250 $\mu$ m or 250-300 $\mu$ m or 300-350 $\mu$ m or 350-400 $\mu$ m or 400-450 $\mu$ m or 450-500 $\mu$ m.
- 15 12. A thermal management device as claimed in any one of the preceding claims, wherein the material encapsulating the carbon has a low thermal expansion coefficient and high degradation temperature.
- 20 13. A thermal management device as claimed in any one of the preceding claims wherein the encapsulating layer has a thickness in the range from a few microns to many tens of microns.
- 25 14. A thermal management device as claimed in any one of the preceding claims wherein multiple layers of encapsulating material are deposited on the carbon in order to build up a desired thickness.
15. A thermal management device as claimed in any one of the preceding



claims, wherein a matrix of fine holes, preferably each hole having a diameter of 200 $\mu$ m diameter, is formed through the carbon.

5 16. A thermal management device as claimed in claim 15, wherein the holes are filled during encapsulation of the plate.

17. An electrical system comprising a thermal management device as claimed in any of the preceding claims, on a surface of which electrical contacts and/or devices are provided.

10

18. An electrical system as claimed in claim 17, wherein the devices are deposited directly on the surface of the thermal management device or are glued using, for example, a thin layer of liquid glue.

15 19. An electrical system as claimed in claim 17 or 18, wherein the devices are encapsulated in polyimide or epoxy resin or acrylic or polyurethane or polyester or any other suitable polymer.

20 20. An electrical system as claimed in any one of claims 17 to 19, wherein a plurality of layers of electrical components are provided.

21. An electrical system as claimed in claim 20, wherein each layer of electrical components is spaced apart by layers of encapsulating material, preferably polyimide.

25

22. An electrical system as claimed in any one of claims 17 to 21, wherein the electrical contacts are made of thin film metal, preferably aluminium.

23. A method of fabricating a thermal management device comprising:
- 5           applying a coat of encapsulating material, preferably polyimide or epoxy resin or acrylic or polyurethane or polyester or any other suitable polymer directly to a clean carbon surface,
- repeating the foregoing steps until the carbon is encapsulated.
24. A method as claimed in claim 23 that further involves curing the encapsulating material.
- 10
25. A method as claimed in claim 23 or claim 24, wherein the step of applying involves brushing, rolling, dipping, spraying, spinning, stamping or screen-printing.
- 15
26. A method as claimed in claim 25, wherein for polyimide, which consists of a single-component, the step of applying the coating involves brushing the polyimide or applying it using a roller.
- 20
27. A method as claimed in claim 23 or claim 24, wherein for solid phase application a cast is used.
28. A method as claimed in claim 27, wherein the carbon and cast are pressed together within a vacuum and at high temperature.
- 25
29. A method as claimed in any one of claim 23 to 28, wherein multiple layers of encapsulating material are applied until a desired thickness is reached.

30. A method as claimed in any one of claims 23 to 29 including cleaning a surface of the carbon thereby to produce said clean carbon surface.
- 5 31. A method as claimed in claim 30, wherein the step of cleaning involves using pumice powder under water to remove loose materials, followed by drying.
- 10 32. A method as claimed in claim 31, wherein the step of drying involves drying the carbon by baking the carbon surface to remove moisture
33. A method as claimed in claim 32, wherein the step of drying involves baking the carbon at 100C for one hour.
- 15 34. A method as claimed in any one of claims 30 to 33, wherein the step of cleaning involves degreasing the carbon, preferably by rinsing it with acetone.
- 20 35. A method as claimed in any one of claims 23 to 34, wherein when polyimide is used, the step of curing involves heating the carbon to substantially 150C for, preferably, 1 hour and subsequently temperature cycling the board to 150C for 30 minutes, 250C for 30 minutes and finally 300C for 30 minutes.
- 25 36. A method as claimed in any one of claims 23 to 35, further comprising drilling the carbon with at least one hole prior to application of the encapsulating material.

37. A method as claimed in claim 36, wherein the at least one hole is infilled with encapsulating material.
38. A method as claimed in claim 37, wherein the holes are infilled with encapsulating material that is mixed with glass fibre spheres, each sphere typically having a diameter of  $30\mu\text{m}$ .
39. A method as claimed in any one of claims 36 to 38, wherein the infilled holes are drilled thereby to provide through passages that are electrically isolated from the carbon.
40. A method as claimed in claim 39, wherein a layer of a conducting material is applied to the at least one through passage to produce electrical connections, thereby to enable electrical connections through the carbon.
41. A method as claimed in claim 40, wherein the conducting material is a metal, preferably thin film aluminium.
42. A method as claimed claim 36, wherein edges that define the at least one hole are coated with the encapsulating material in such a way as to maintain a passage through the carbon.
43. A method as claimed in any one of claims 23 to 42, further involving forming a matrix of fine holes through the plate.
44. A method of fabricating an electrical component comprising the method of as defined in any one of claim 23 to 43, additionally comprising the steps of

forming electrical contacts on at least one surface of the carbon and/or depositing electrical devices thereon.

5 45. A method as claimed in claim 44, wherein the step of depositing may involve fabricating the devices directly on the surface or forming the devices or a thin film multi-layer circuit containing the devices separately from the carbon surface and fixing them to that surface.

10 46. A method as claimed in claim 45, wherein the step of fixing involves applying epoxy glue to the devices or the circuit or the carbon surface and pressing the devices or circuit and the surface together at room temperature and at low vacuum.

15 47. A method as claimed in any one of claims 44 to 46, wherein the electrical contacts are applied using thin film processing techniques, preferably using aluminium.

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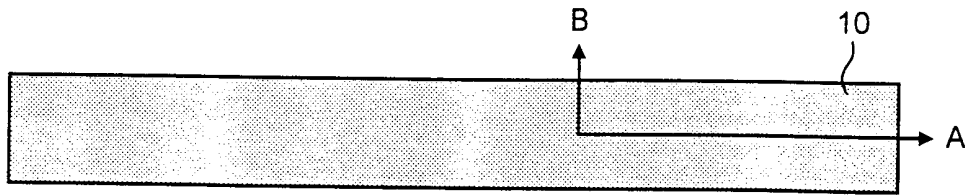


FIG. 1

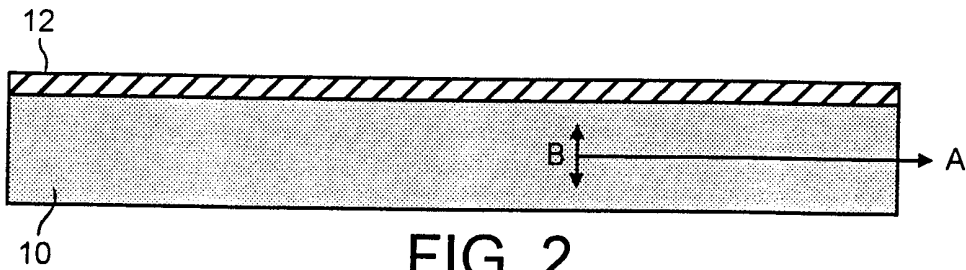


FIG. 2

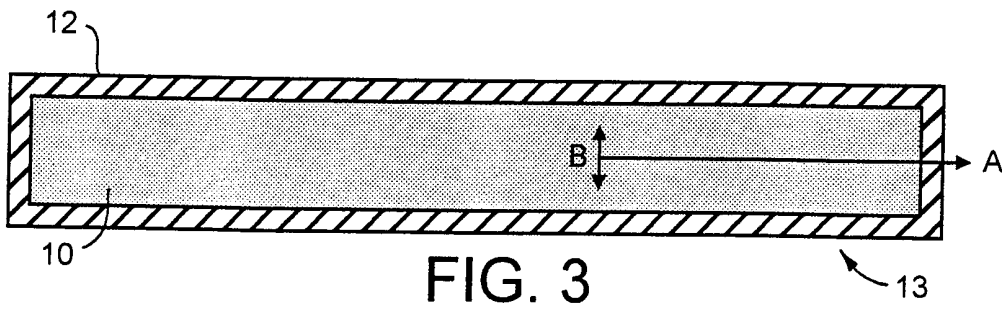


FIG. 3

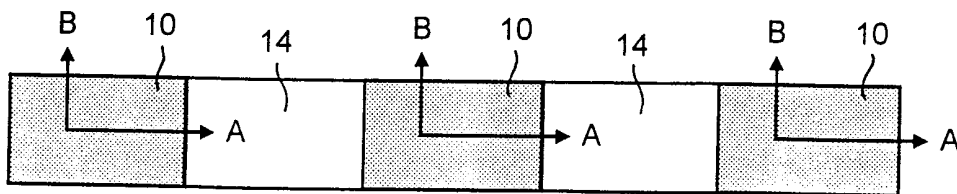


FIG. 4

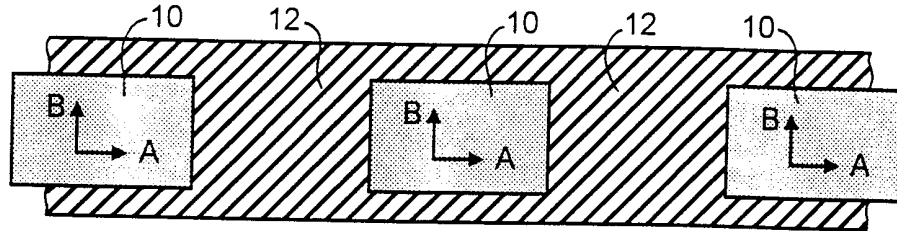


FIG. 5

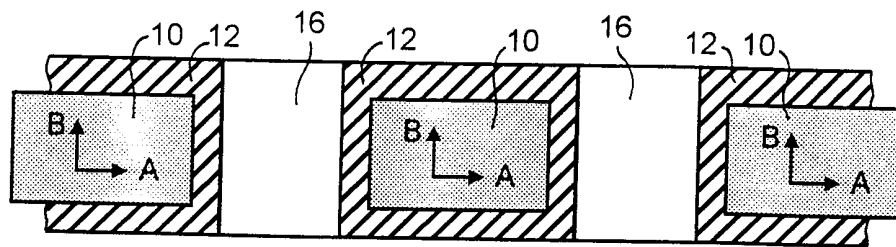


FIG. 6

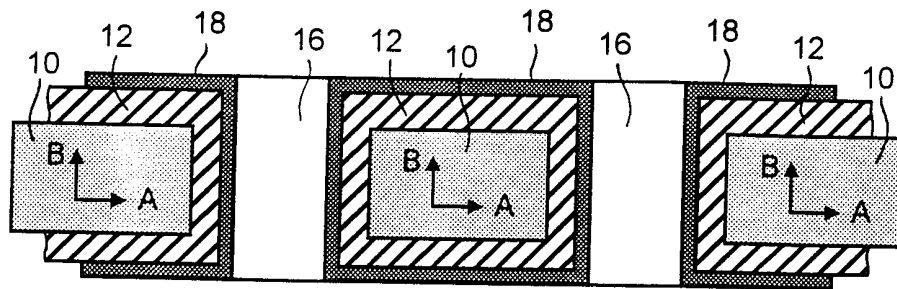


FIG. 7

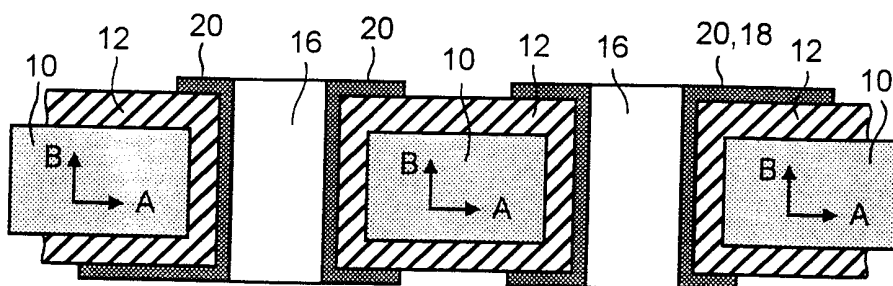


FIG. 8

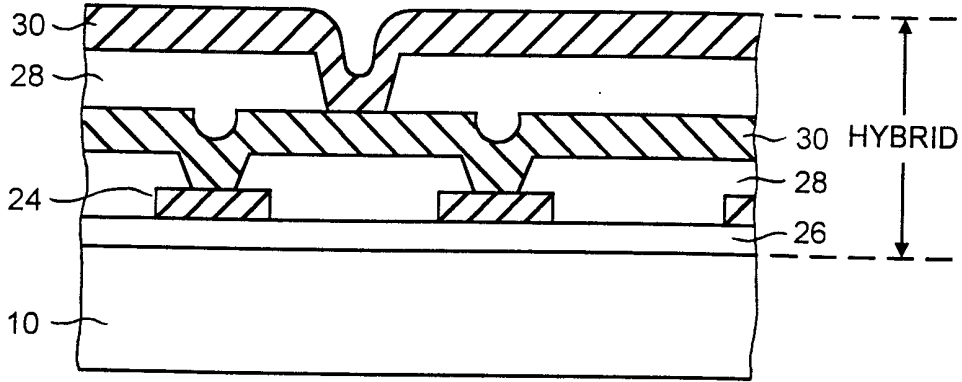


FIG. 9

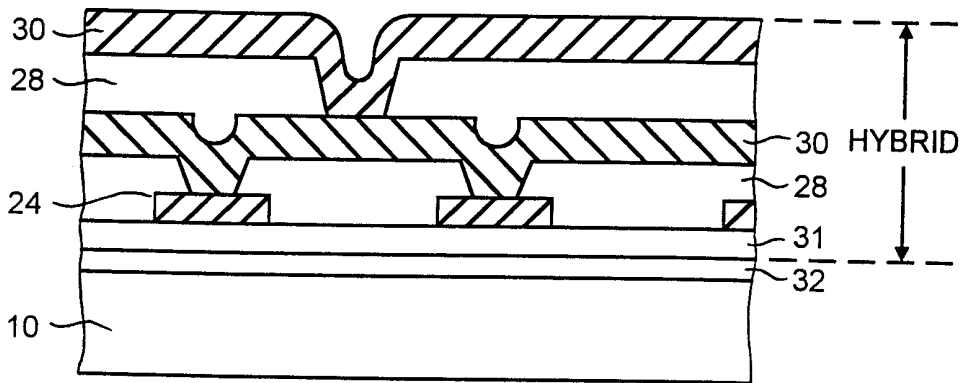


FIG. 10

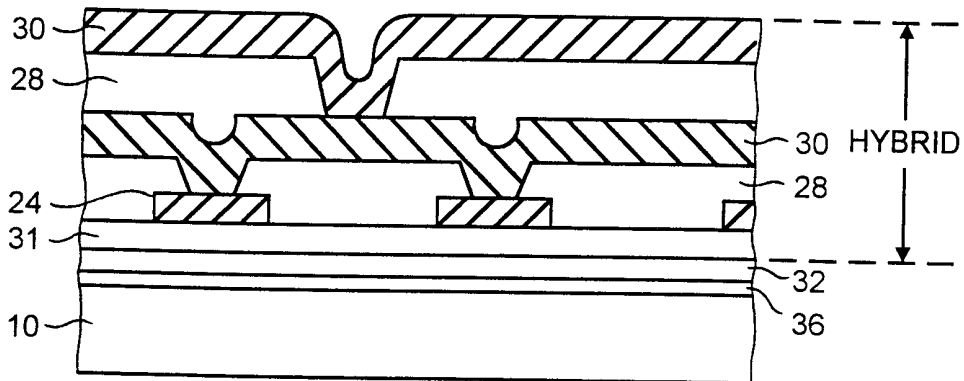


FIG. 11



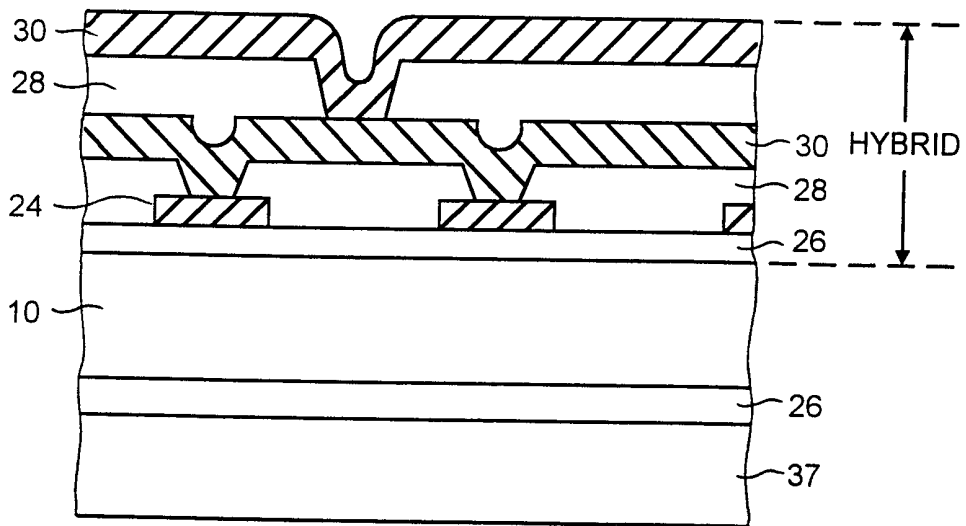


FIG. 12

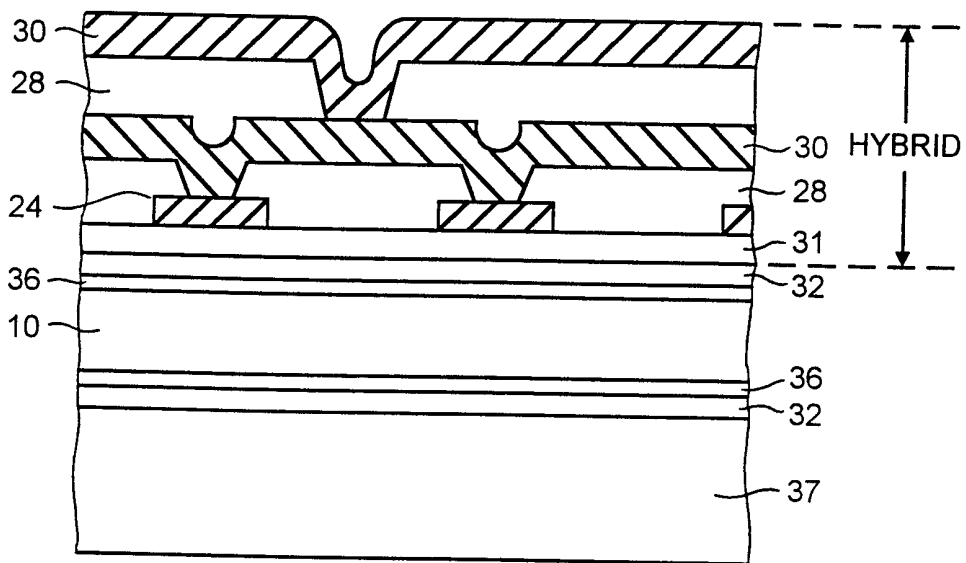


FIG. 13

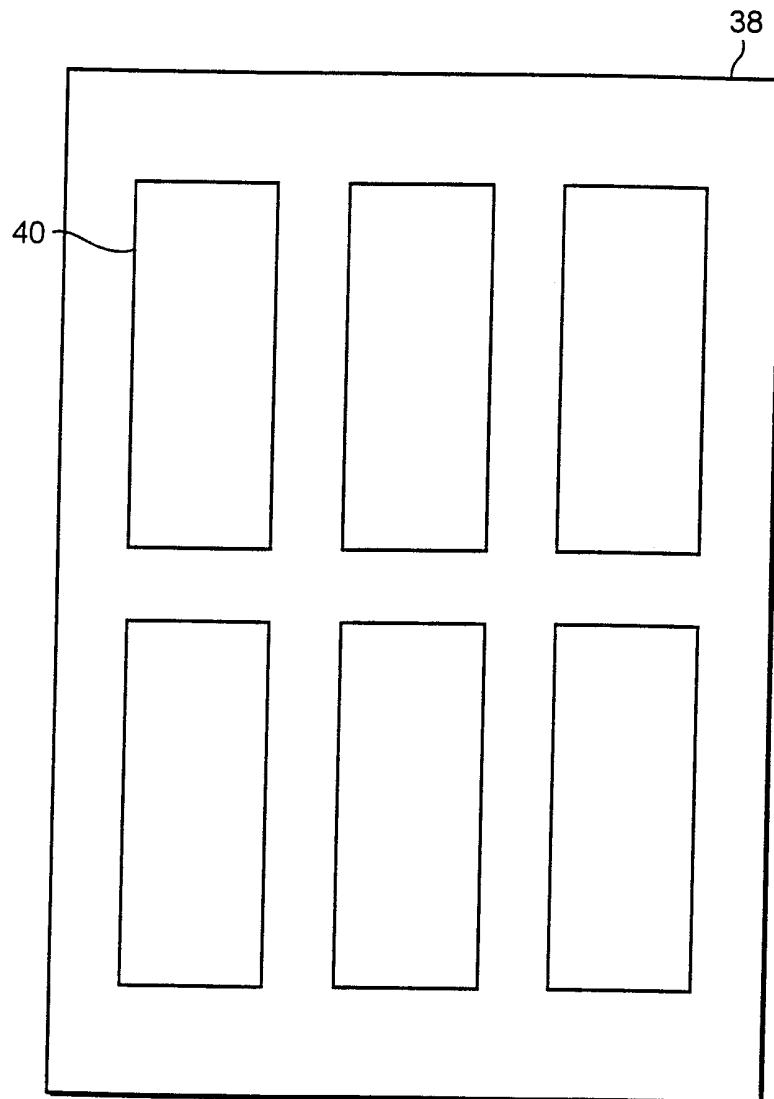


FIG. 14

**INTERNATIONAL SEARCH REPORT**

International Application No

PCT/GB 99/02180

**A. CLASSIFICATION OF SUBJECT MATTER**  
 IPC 7 H05K1/05

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H05K H01L C04B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	FR 2 546 878 A (SLONINA JEAN PIERRE) 7 December 1984 (1984-12-07) page 2, line 69 -page 3, line 4; claims 1-10 ---	1-47
A	DE 43 05 399 A (DYCONEX AG ZUERICH) 30 September 1993 (1993-09-30) column 3, line 22 - line 30; claims 1-8 ---	1,23-47
A	US 5 750 058 A (HECHT DANIEL H ET AL) 12 May 1998 (1998-05-12) the whole document -----	1

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

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- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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- "&" document member of the same patent family

Date of the actual completion of the international search

21 October 1999

Date of mailing of the international search report

05/11/1999

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Van Reeth, K

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/GB 99/02180

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