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(74) Agents: FISHMAN, Bella et al.; Varian, Inc., Legal Department, 3120 Hansen Way, D-102, Palo Alto, CA 94304 (US).

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(71) Applicant: VARIAN, INC. [US/US]; 3120 Hansen Way, D-102, Palo Alto, CA 94304 (US).

(72) Inventors: WELLS, Gregory, J.; 3011 Beechwood Court, Fairfield, CA 94533 (US). SCHACHTERLE, Steven, D.; 6652 Las Animas Drive, Martinez, CA 94553 (US).

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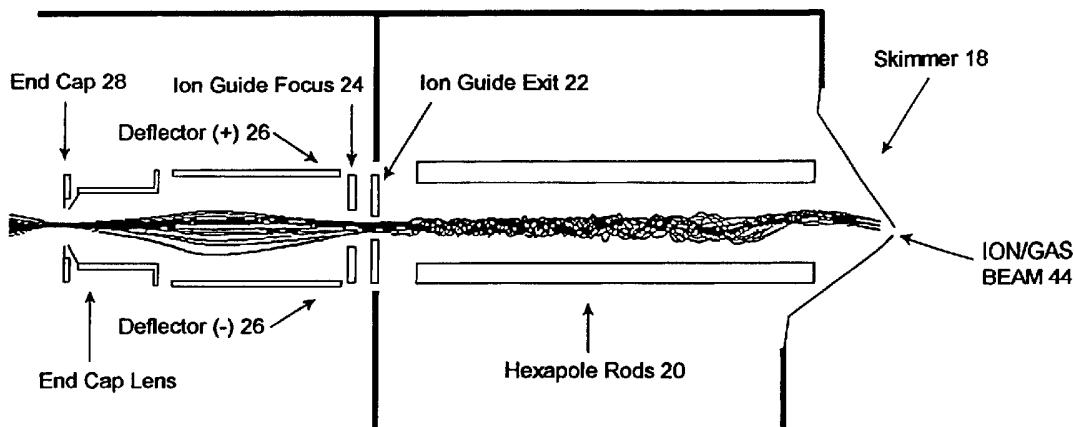
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(54) Title: INTEGRATED ION FOCUSING AND GATING OPTICS FOR ION TRAP MASS SPECTROMETER



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(57) Abstract: An integrated ion focusing and gating lens (26) for use in an ion trap mass spectrometer includes first and second members of combined generally cylindrical configuration with the members focusing an ion beam along an axis of the generally cylindrical configuration when biased with the same voltage on each member, and the members deflecting an ion beam when the members are biased with different voltages. In a preferred embodiment, the first and second members are identical in configuration.

## INTEGRATED ION FOCUSING AND GATING OPTICS FOR ION TRAP MASS SPECTROMETER

### BACKGROUND OF THE INVENTION

5 This invention relates generally to ion trap mass spectrometers, and more particularly the invention relates to ion focusing and gating optics for use in an ion trap mass spectrometer.

Atmospheric pressure ionization sources are coupled to mass spectrometers with ion optic assemblies. Ions formed in an atmospheric pressure ionization source are passed through a sampling orifice into a vacuum chamber and then through a beam skimmer into a quadrupole, 10 hexapole or octopole ion guide and transported to an exit lens having a small aperture. Ions passing through the exit lens pass through a second ion optics stage including an ion guide focus lens and end cap lens which cooperatively function to direct the ion beam into the entrance hole of the ion trap end cap. The ion trap selects ions for passage on to an ion detector.

Electrospray ionization occurs at atmospheric pressure and produces charged droplets 15 having a wide distribution of droplet diameters. The largest of these droplets are removed by applying a flow of heated gas that flows away from the entrance orifice into the vacuum chamber. When the droplets are large, the gas flow is sufficient to transport the droplets away from the orifice against the attractive force of an electric field existing between the spray source and the entrance orifice. Droplets that are very small are evaporated by the heated gas to 20 produce charged sample ions that are easily attracted by the electric field to the entrance orifice, moving counter to the flow of gas. Droplets of intermediate size are also attracted by the electric field into the orifice and thereby into the vacuum chamber. The ions exit the orifice, or vacuum restriction that limits the gas load on the vacuum pumps, moving almost at the velocity of the expanding gas, which is supersonic. Hence the kinetic energy of droplets moving at nearly the 25 same velocity as the gas will have very high kinetic energies due to the large mass of the droplets. It is undesirable to allow these charged droplets to enter the trap when the trapped ions are being scanned out for detection. The droplets will cause large noise spikes that obscure the spectrum. This problem becomes greater at larger liquid flow rates into the electrospray. Prior art devices have used one of the focusing electrodes as a gate to stop ions from entering the trap 30 during the scanning process by applying a large repulsive potential to stop the ions from passing through the lens. See U.S. Patent No. 5,750,993 for example. However, this requires the charged droplets to stop and reverse direction to avoid entering the end cap hole which creates background noise in the detector.

The present invention is directed to reducing background noise from charged droplets.

### **SUMMARY OF THE INVENTION**

In accordance with the invention, a combined ion focusing and gating optics is provided 5 for coupling ion flow from an ion guide to an ion trap in a mass spectrometer. The ion focusing and gating optics includes a split generally cylindrical ion optic deflector lens element which serves as a focus lens in focusing an ion beam into the entrance hole or aperture in the ion trap end cap.

When it is desirable to fill the ion trap with ions, the voltage applied to the two halves of 10 the deflector lens are identical, and the lens functions as a focusing lens. When it is desired to prevent ions from entering the entrance hole of the end cap, the two halves of the lens are set at large potentials with opposite polarities. Thus, ions are deflected off from the axis of symmetry or axis of focus and away from the entrance hole. By gating ions with the split deflector lens, background noise in a detected spectrum is reduced.

15 The features of the invention will be more readily apparent from the following detailed description and appended claims when taken with the drawings.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

Fig. 1 is a schematic diagram of an ion trap MS/MS mass spectrometer in which the 20 present invention is used.

Fig. 2 illustrates a hexapole rod ion guide, ion guide focus lens, deflector lens, and end cap lens which together focus an ion beam into the entrance hole of an ion trap end cap in accordance with an embodiment of the present invention.

Fig. 3 is a perspective view of one half of the deflector lens of Fig. 2.

25 Fig. 4 illustrates voltages applied to the deflector lens for passing ions and for deflecting ions, respectively.

Figs. 5A, 5B illustrate the mass spectrum when using an ion guide exit lens to stop ions and when using the deflector lens in accordance with the invention, respectively.

30 Figs. 6A, 6B illustrate the background noise in an ion spectrum and the effect of a gas flow against ion droplet flow.

Fig. 7 illustrates normal electronics background noise when no liquid is flowing in a mass spectrometer.

Figs. 8A, 8B illustrate spectrum without gas using the exit lens gate and the deflector lens gate, respectively.

Figs. 9A, 9B compare ion spectrum with gas flow using the exit lens gate and the deflector gate, respectively.

5

### **DETAILED DESCRIPTION OF THE INVENTION**

Fig. 1 is a simplified schematic diagram of an ion trap mass spectrometer in which the ion focusing and gating optics of the present invention are employed. Briefly, electrospray ionization occurs in atmospheric pressure ionization source 10 which produces charged droplets having a wide distribution of droplet diameters. As described above, the largest of these droplets are removed by applying a flow of heated gas that flows away from the entrance orifice 12 into a vacuum chamber 14 at 1-2 Torr pressure. When the droplets are large, the gas flow is sufficient to transport the droplets away from the orifice against the attractive force of an electric field existing between the source 10 and the entrance orifice 12. Droplets that are very small are evaporated by heated gas to produce charged sample ions that are easily attracted by the electric field to the entrance orifice and through capillary 16 to vacuum chamber 14. Ions move through a skimmer 18 into a hexapole ion guide 20 within a second chamber 17 at 8 mTorr. Ion guide 20 directs the ions to an ion guide exit 22 and to an ion guide focus lens 24 and deflector lens 26 to an aperture in end cap 28 of the ion trap 30. Ions from ion trap 30 are subsequently detected by a detector 32 which along with vacuum chamber 33 is maintained at 30  $\mu$ Torr pressure. A hyperbolic ring 34 of the ion trap is energized by a 760 kHz RF source 36, and the vacuum within the mass spectrometer is maintained by pumps 38 and a foreline pump (not shown). As is conventional, an inert gas atmosphere such as helium is provided in the ion trap 30 by helium flow controller 40.

25 Fig. 2 is a view of hexapole ion guide 20 which receives ion beam 44 through skimmer 18 and directs the ion beam through ion guide exit 22 and ion guide focus lens 24 to deflector lens 26 and thereafter to an aperture in end cap 28 of the ion trap.

30 Fig. 3 is a perspective view illustrating the bottom portions of the two deflector members in the lens 26. Unlike the prior art which biases the ion guide exit 22 or ion guide focus lens 24 to terminate the flow of ion and gas beam 44, in accordance with the invention the two halves of the generally cylindrical deflector lens 26 is voltage biased to deflect the ion and gas beam 44 away from the aperture in end cap 28.

The operation of the deflector lens is illustrated with the voltage wave forms of Fig. 4. When the two halves of deflector lens 26 are of opposite polarity (e.g., +170 volt, -170 volt) the deflector member turns off the ion beam by deflection. When the deflector lens passes ions to end cap 28, both halves of the deflector lens are at the same low voltage as illustrated in Fig. 4.

5 Figs. 5A, 5B compare a spectrum with two sample ions of mass to charge ratios of 242 and 410. No heated gas is used in this example and the liquid flow rate is only 5  $\mu$ /min. Fig. 5A shows the baseline of the spectrum when the ion guide exit lens is used as a gate electrode to stop ions from entering the trap during a scan. Fig. 5B illustrates the results of applying the gating voltage to the split lens of the deflector lens 26. Droplet noise spikes were eliminated and 10 the sample ions are now clearly discernable.

Figs. 6A, 6B compare the effect of having 2 l/min of gas flowing against the ion/droplet flow. Although the gas flow reduces spike noise when using the exit lens as a gate, it does not totally eliminate it.

15 Fig. 7 illustrates normal electronic background when no liquid is flowing for comparison.

Figs. 8A, 8B compare spectrum without gas but at a liquid flow rate of 500  $\mu$ /min. into the electrospray, where the exit lens (figure 8A) and deflector (figure 8B) are used as a gate.

20 Figs. 9A, 9B compare the detected mass spectrum obtained with 11 l/min of gas and gating with the exit lens versus using 2 l/min and the split deflector lens. It will be noted that in Fig. 9A the noise is considerably reduced due to the high gas flow rate, but at the expense of significantly reduced sensitivity. These figures demonstrate the effectiveness of deflecting ions away from the entrance hole in the ion trap end cap versus stopping and reversing ions by means of a repelling voltage applied to one of the focusing lenses as in the prior art.

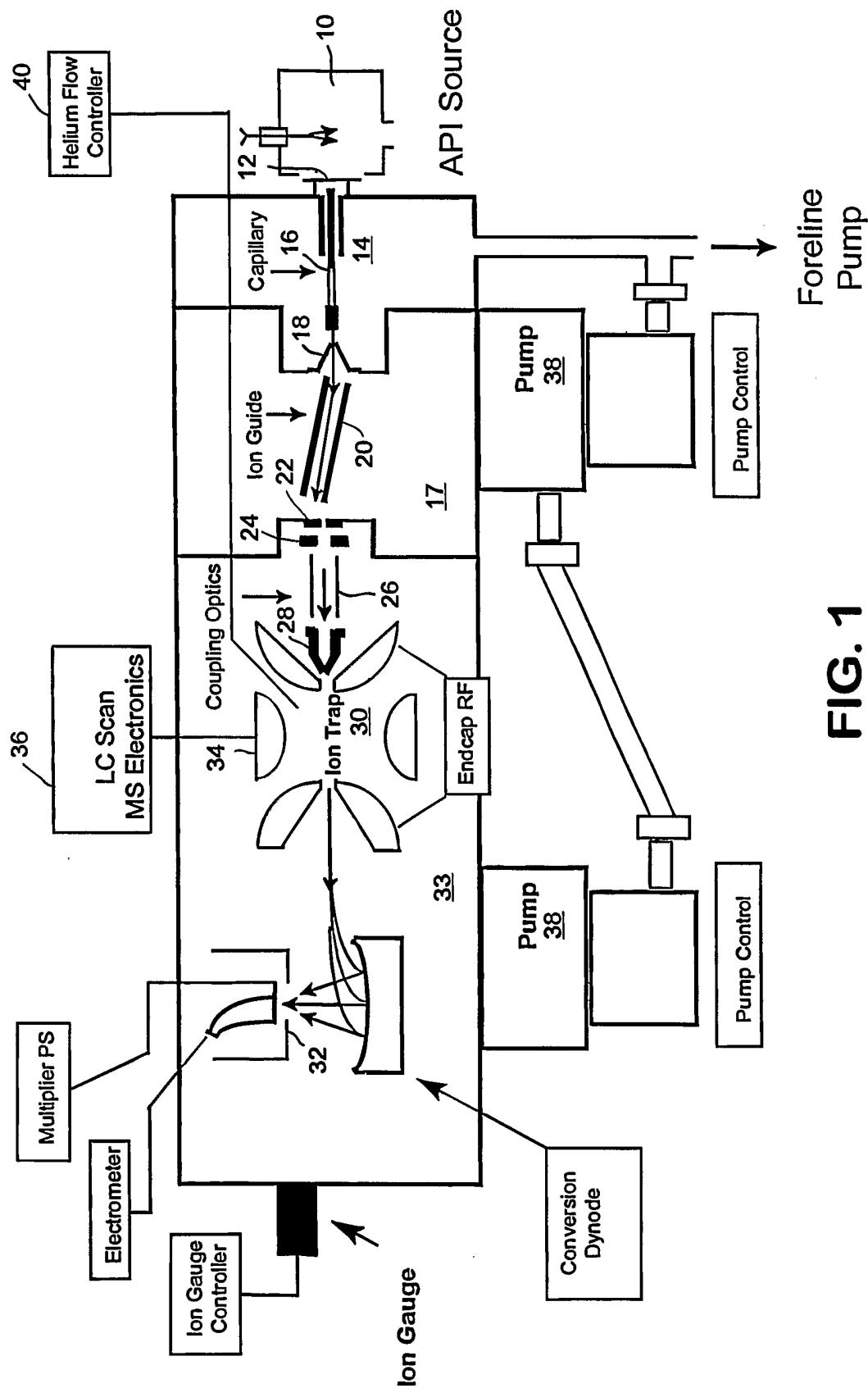
25 There has been described an integrated ion focusing and gating optics lens for use in an ion trap mass spectrometer to reduce background noise of detected ion spectrum. While the invention has been described with reference to specific embodiments, the description is illustrative of the invention and is not to be construed as limiting the invention. Various modifications and changes may occur to those skilled in the art without departing from the true 30 spirit and scope of the invention as defined by the appended claims.

**WHAT IS CLAIMED IS:**

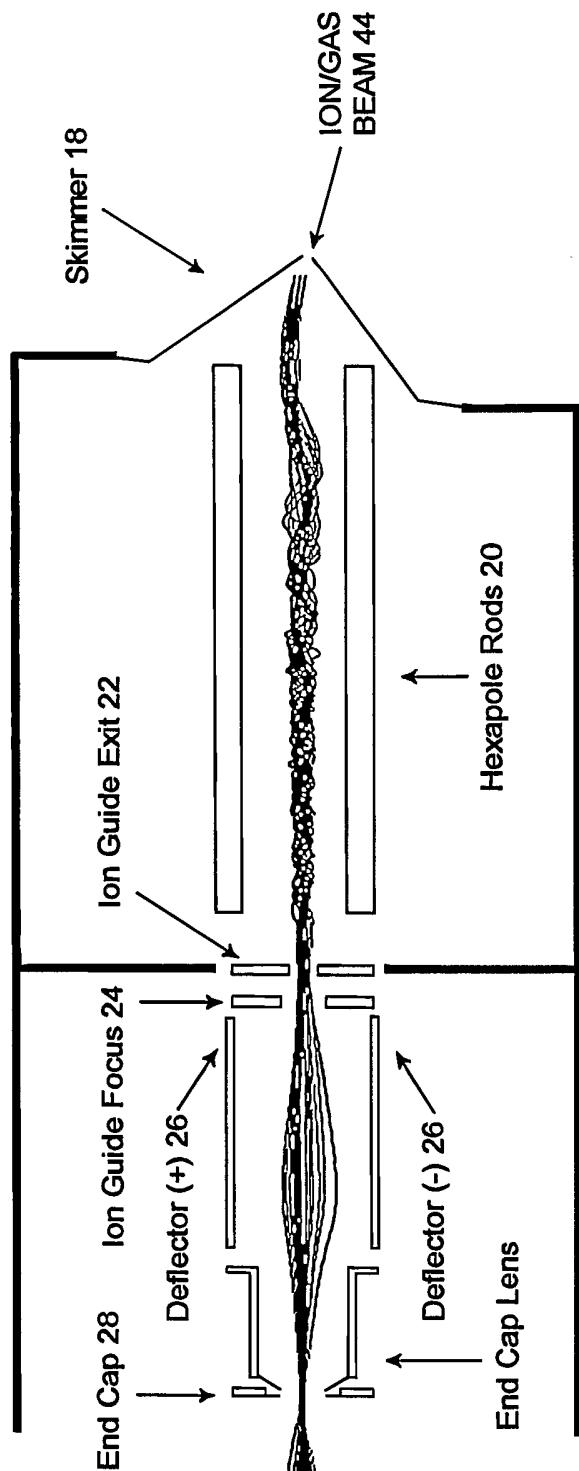
1. An integrated ion focusing and gating lens for use in a mass spectrometer comprising:  
first and second members forming generally cylindrical configuration about an axis for focusing ion flow along said axis when each said member biased with the same voltage and for deflecting  
5 an ion flow when each said member is biased with different voltage.
  
2. The integrated ion focusing and gating lens as defined by claim 1, wherein the mass spectrometer includes an ion trap having an end cap lens with an aperture therein, the axis of the ion focusing and gating lens being aligned with an axis of the end cap lens for directing the ion  
10 flow through the aperture.
  
3. The integrated ion focusing and gating lens as defined by claim 2, wherein the mass spectrometer further includes multipole rods functioning as an ion guide for directing ions through an ion focus lens to the ion focusing and gating lens.  
15
  
4. The integrated ion focusing and gating lens as defined by claim 1, wherein the first and second members are identical in configuration.
  
5. In an ion trap mass spectrometer, apparatus for directing ions from a source to the ion  
20 trap comprising:
  - a) an ion guide including multipole rods and an ion guide exit for receiving an ion beam from the source and directing the ion beam through the ion guide exit,
  - b) an ion guide focus lens having an aperture for receiving the ion beam when voltage biased,
  - c) a deflector lens including first and second members forming of generally cylindrical configuration, said members focusing ion flow along an axis of the generally cylindrical configuration when biased with the same voltage on each member, and said members deflecting an ion flow when biased with different voltages, and
  - d) an ion trap end cap lens having an aperture for receiving an ion beam from the  
30 deflector lens for the ion trap.
  
6. Apparatus as defined by claim 5, wherein the first and second members are identical in configuration.

7. Apparatus as defined by claim 6, further comprising a vacuum pump for maintaining evacuated atmospheres in the ion guide and in the deflector lens.
8. An ion trap mass spectrometer comprising:
  - 5 a) an atmospheric pressure ion source,
  - b) an ion guide for receiving an ion beam from the ion source,
  - c) a deflector lens having first and second members of generally cylindrical configuration, said members focusing ion flow along an axis of the generally cylindrical configuration when biased with the same voltage on each member, and said members deflecting an ion flow when said plates are biased with different voltages, and
  - 10 d) an ion trap including an end cap having an aperture for receiving the ion beam from the deflector lens.
9. The ion trap mass spectrometer as defined by claim 8, further comprising a vacuum pump for maintaining evacuated atmospheres in the ion guide and in the deflector lens.
- 15
10. The ion trap mass spectrometer as defined by claim 9, wherein the first and second members of the deflector lens are identical in configuration.
- 20
11. The ion trap mass spectrometer as defined by claim 10, wherein the ion guide includes multipole rods and an ion exit guide.
- 25
12. The ion trap mass spectrometer as defined by claim 11, further comprising an ion guide focus lens having an aperture for receiving an ion beam from the ion guide exit.
13. The ion trap mass spectrometer as defined by claim 12, further comprising an ion detector for receiving and detecting the mass of ions from the ion trap.
- 30
14. The ion trap mass spectrometer as defined by claim 8, further comprising an ion detector for receiving and detecting the mass of ions from the ion trap.

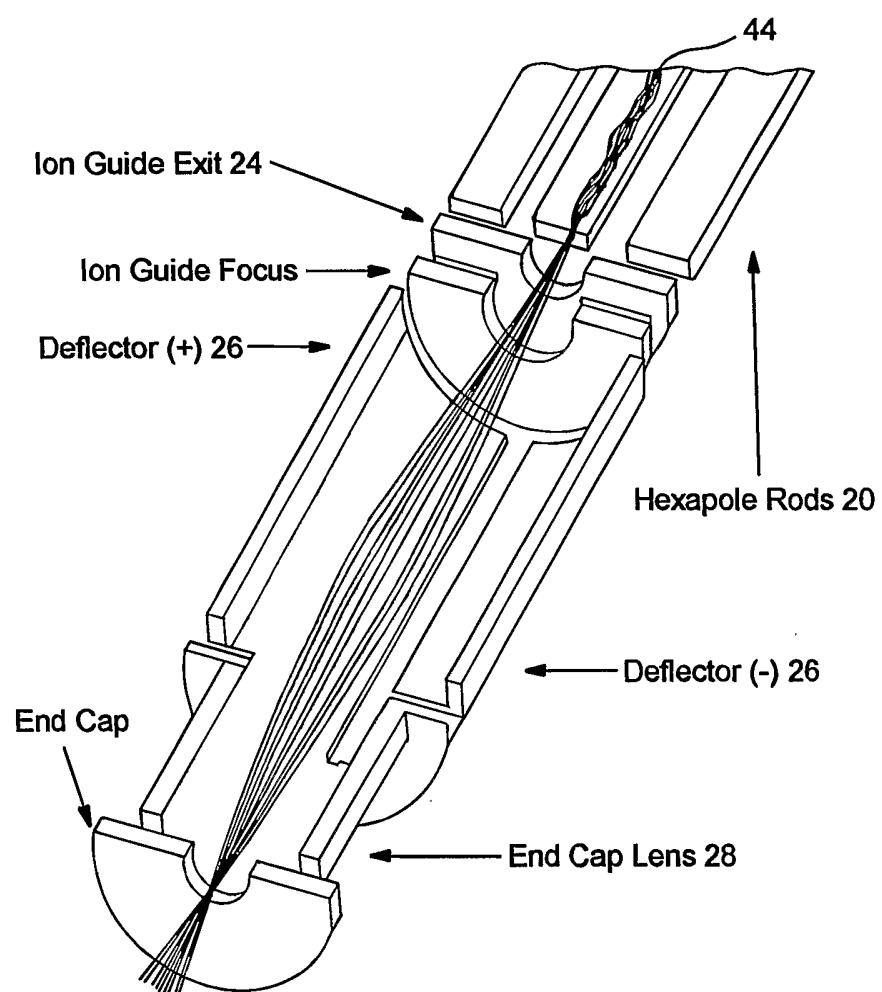
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**FIG. 2**

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**FIG. 3**

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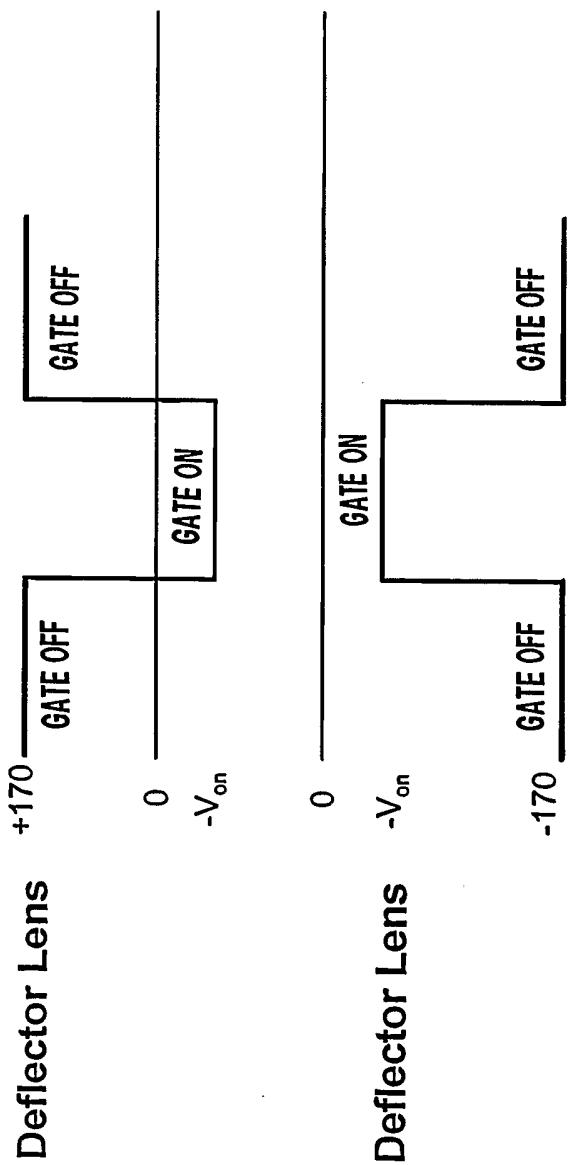
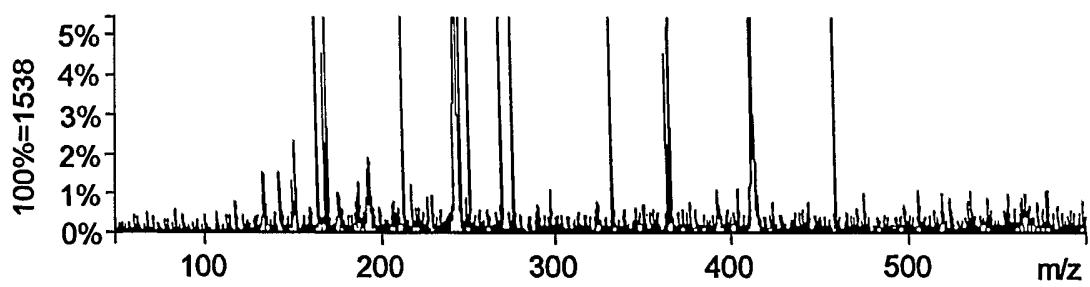
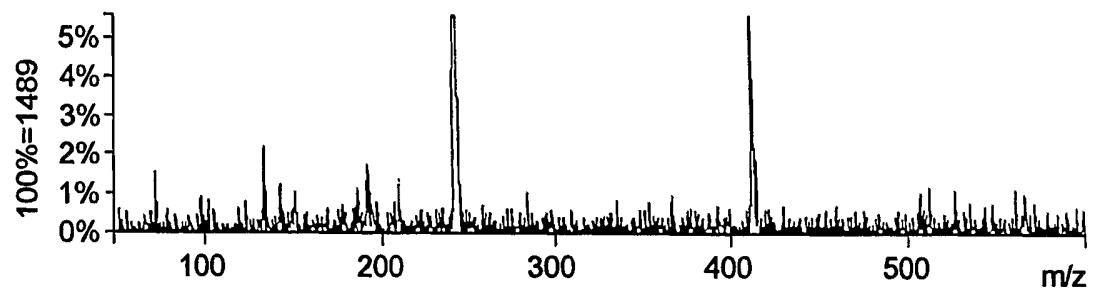
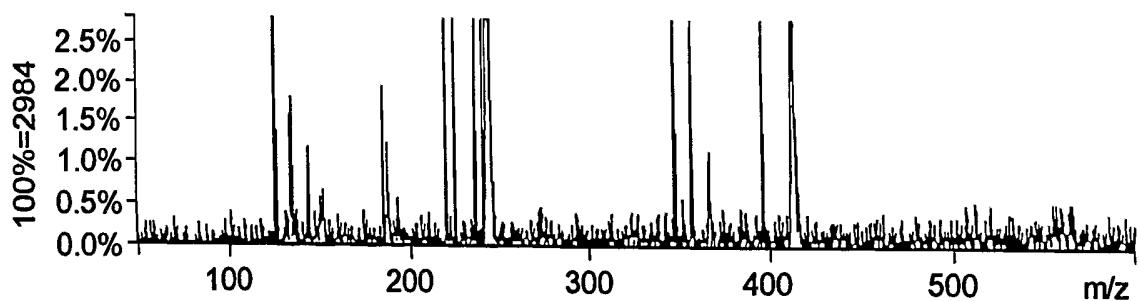
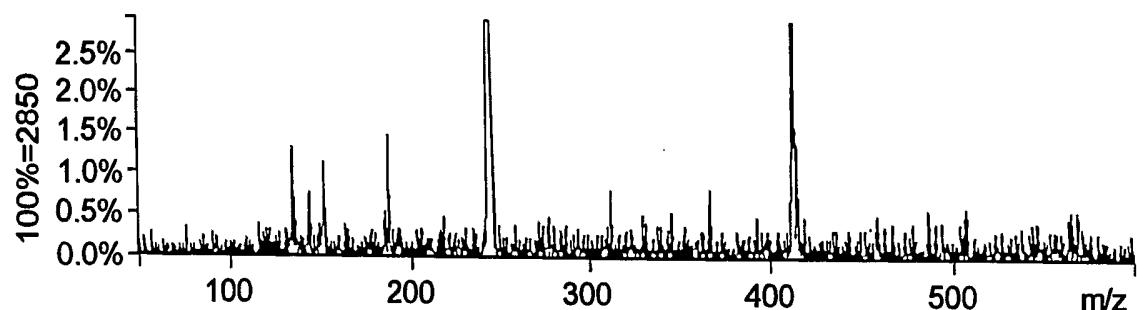


FIG. 4

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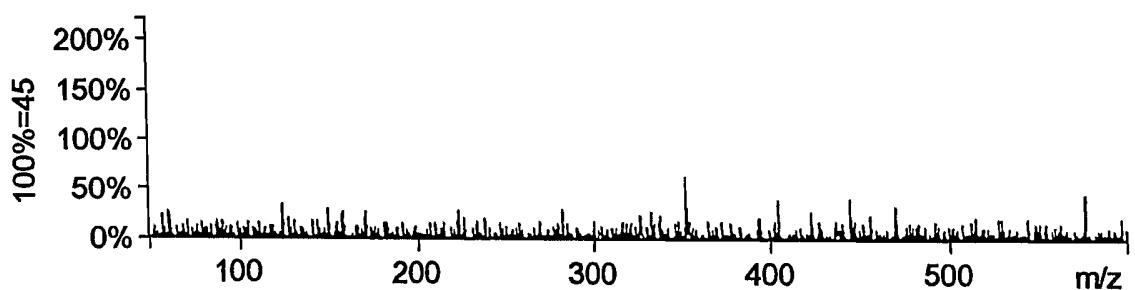
**FIG. 5A****FIG. 5B**

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**FIG. 6A****FIG. 6B**

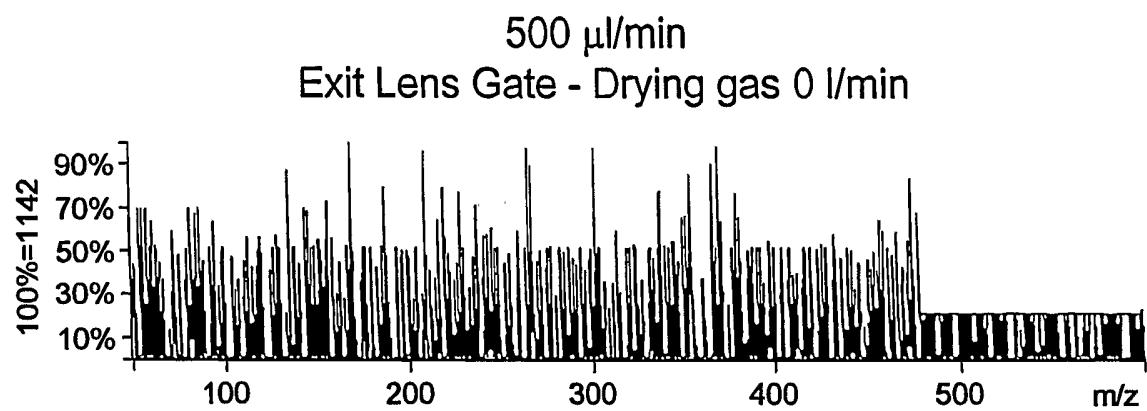
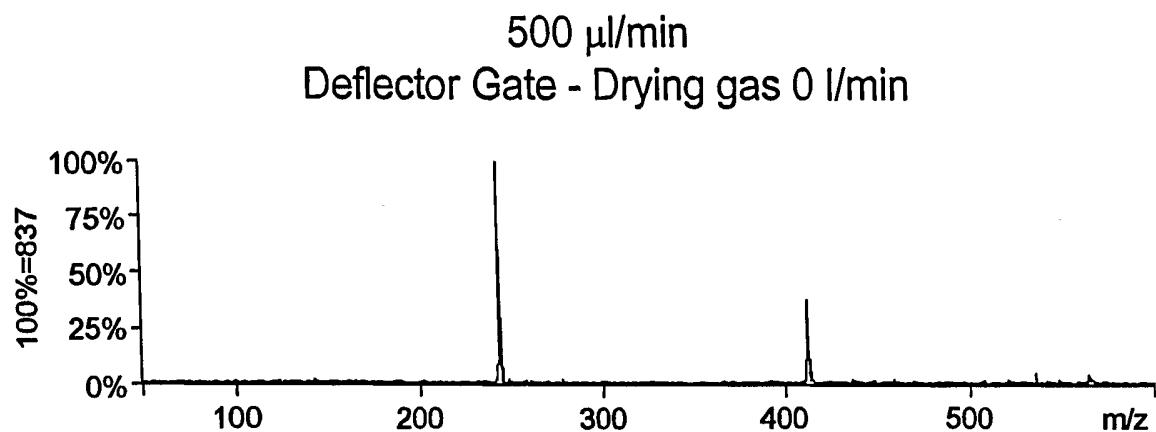
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Conversion Dynode Background  
Electrospray Off

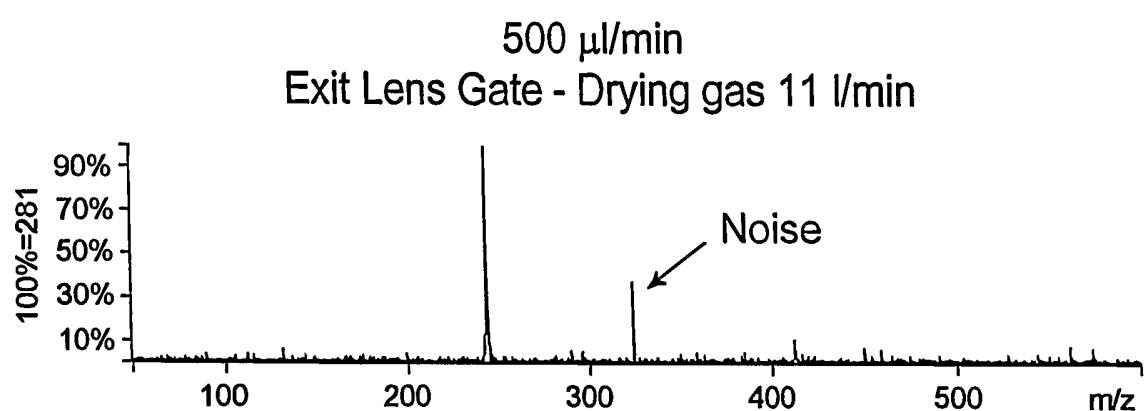
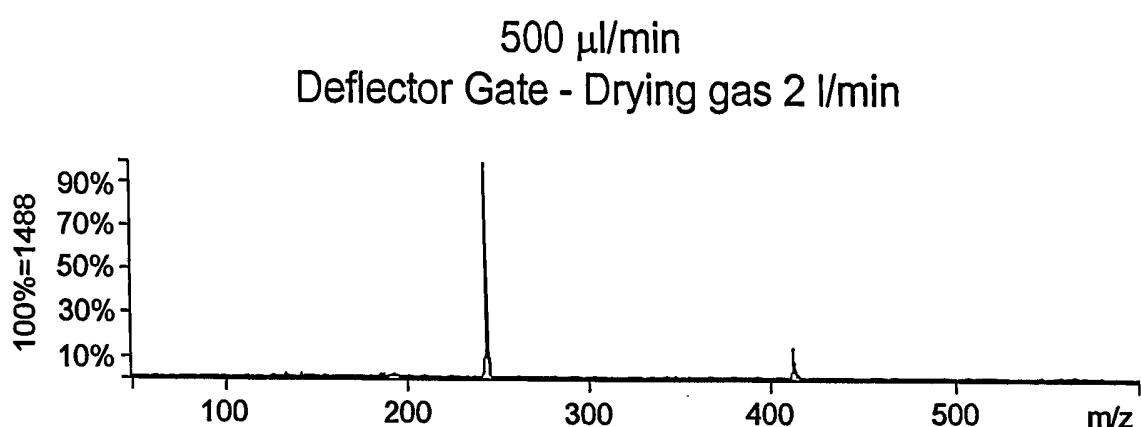


**FIG. 7**

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**FIG. 8A****FIG. 8B**

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**FIG. 9A****FIG. 9B**

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 03/01503

A. CLASSIFICATION OF SUBJECT MATTER  
 IPC 7 H01J49/04 H01J37/12 H01J49/42

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
 IPC 7 H01J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	VAN BERKEL G J ET AL: "Electrospray Ionization Combined with Ion Trap Mass Spectrometry" ANALYTICAL CHEMISTRY, AMERICAN CHEMICAL SOCIETY, COLUMBUS, US, vol. 62, no. 13, 1 July 1990 (1990-07-01), pages 1284-1295, XP002239488 ISSN: 0003-2700 page 1285, right-hand column, paragraph 1; figure 1 --- US 4 924 089 A (CARAVATTI PABLO) 8 May 1990 (1990-05-08) abstract column 4, line 52 -column 6, line 23; figure 2 --- -/-	1-14
X		1-14

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

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28 April 2003

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03/07/2003

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## INTERNATIONAL SEARCH REPORT

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PCT/US 03/01503

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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A	WO 98 02901 A (VARIAN ASSOCIATES) 22 January 1998 (1998-01-22) page 9, line 4 -page 10, line 6; figure 4 ---	3,5,11
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X	SZILAGYI M ET AL: "Synthesis of electrostatic focusing and deflection systems" JOURNAL OF VACUUM SCIENCE AND TECHNOLOGY: PART B, AMERICAN INSTITUTE OF PHYSICS. NEW YORK, US, vol. 15, no. 6, November 1997 (1997-11), pages 1971-1982, XP002239490 ISSN: 0734-211X abstract page 1974, right-hand column, last paragraph -page 1975, left-hand column, paragraph 2; figures 2-4 ---	1-4
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## INTERNATIONAL SEARCH REPORT

Internat'l Application No  
PCT/US 03/01503

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>DOWKER I C ET AL: "Aberrations of an electrostatic three-cylinder system used to simultaneously focus and deflect charged particles"</p> <p>NUCLEAR INSTRUMENTS &amp; METHODS IN PHYSICS RESEARCH, SECTION - A: ACCELERATORS, SPECTROMETERS, DETECTORS AND ASSOCIATED EQUIPMENT, NORTH-HOLLAND PUBLISHING COMPANY. AMSTERDAM, NL, vol. A363, no. 1, 1995, pages 54-58, XP004009621</p> <p>ISSN: 0168-9002</p> <p>abstract</p> <p>page 54, right-hand column, paragraph 2</p> <p>-page 55, right-hand column, paragraph 2;</p> <p>figures 1,2</p> <p>---</p>	1-4
X	<p>LOURIS J N ET AL: "INJECTION OF IONS INTO A QUADRUPOLE ION TRAP MASS SPECTROMETER"</p> <p>INTERNATIONAL JOURNAL OF MASS SPECTROMETRY AND ION PROCESSES, ELSEVIER SCIENTIFIC PUBLISHING CO. AMSTERDAM, NL, vol. 88, no. 2/3 INDEX, 1 April 1989 (1989-04-01), pages 97-111, XP000024373</p> <p>ISSN: 0168-1176</p> <p>page 100-101; figures 1,2</p> <p>---</p>	1-4
A	<p>US 6 005 245 A (KOIZUMI HIDEAKI ET AL)</p> <p>21 December 1999 (1999-12-21)</p> <p>column 10, line 21 -column 15, line 36;</p> <p>figures 12-15,28,29</p> <p>-----</p>	

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## Information on patent family members

Internal Application No

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