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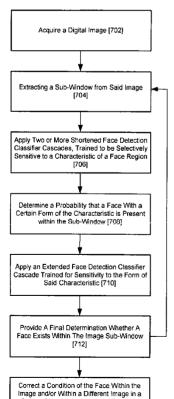
- WO 2008/107112 A3
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[Continued on next page]

#### (54) Title: ILLUMINATION DETECTION USING CLASSIFIER CHAINS

Acquire a Digital Image [702] acting a Sub-Windo [704] Apply Two or More Shortened Face Detection Classifier Cascades, Trained to be Selectively Sensitive to a Characteristic of a Face Region [706] Determine a Probability that a Face With a Certain Form of the Characteristic is Present within the Sub-Window [708] Apply an Extended Face Detection Classifier Cascade Trained for Sensitivity to the Form of Said Characteristic [710] Provide A Final Determination Whether A Face Exists Within The Image Sub-Window [712] Correct a Condition of the Face Within the Image and/or Within a Different Image in a Series of Images based on the Detected Characteristic [714]

Figure 9A



(57) Abstract: A face illumination normalization method includes acquiring a digital image including a face that appears to be illuminated unevenly. One or more uneven illumination classifier programs are applied to the face data to determine the presence of the face within the digital image and/or the uneven illumination condition of the face. The uneven illumination condition may be corrected to thereby generate a corrected face image appearing to have more uniform illumination, for example, to enhance face recognition.

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NO, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### **Published:**

- with international search report
- (88) Date of publication of the international search report: 8 January 2009

A CLASON	FIGURE OF OUR LEGT MATTER				
INV.	FICATION OF SUBJECT MATTER G06K9/00 G06K9/62		, .		
According to International Patent Classification (IPC) or to both national classification and IPC					
	SEARCHED cumentation searched (classification system followed by classification	ion symbols)			
G06K					
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched					
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)					
EPO-In	ternal				
-					
C. DOCUM	ENTS CONSIDERED TO BE RELEVANT				
Category*	Citation of document, with indication, where appropriate, of the re	levant passages	Relevant to claim No.		
χ	MITRA S ET AL: "Gaussian Mixture	e Models	1,2,5,6,		
17	Based on the Frequency Spectra for		8,9,18		
	Identification and Illumination		, , , _		
	Classification"	_			
	AUTOMATIC IDENTIFICATION ADVANCE		·		
	TECHNOLOGIES, 2005. FOURTH IEEE NOT NOT BUFFALO, NY, USA 17-18 OCT. 20				
	PISCATAWAY, NJ, USA, IEEE,	005,			
	17 October 2005 (2005-10-17), page	ges			
	245-250, XP010856528				
	ISBN: 978-0-7695-2475-7		,		
	Section 3. "Human identification				
	based on phase", Section 4. "Illo classification: GMM based on magn		•		
	in particular Section 4.1. "Appl				
	illumination normalization"		r.		
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X Further documents are listed in the continuation of Box C. See patent family annex.					
* Special c	ategories of cited documents:	"T" later document published after the inter	national filing date		
"A" document defining the general state of the art which is not		or priority date and not in conflict with t cited to understand the principle or the	he application but ory underlying the		
"E" earlier document but published on or after the international		invention "X" document of particular relevance; the cl	simed invention		
filing date ^ 'L' document which may throw doubts on priority_claim(s) or		cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone			
which is cited to establish the publication date of another "Y" document of particular relevant		"Y" document of particular relevance; the cl	aimed invention		
O' document referring to an oral disclosure, use, exhibition or			e other súch docu-		
other means ments, such combination being of in the art.					
	nan the priority date claimed	"&" document member of the same patent f	<del></del>		
Date of the	actual completion of the international search	Date of mailing of the international sear	ch report		
2	6 June 2008	23/10/2008			
Name and r	nailing address of the ISA/	Authorized officer			
European Patent Office, P.B. 5818 Patentlaan 2					
NL – 2280 HV Rijswijk Tel. (+31–70) 340–2040,		Grigorescu, Cosmir	, 1		
Fax: (+31-70) 340-3016		u go. ocou, ocosiii i	•		

Category*	Citation of document with indication where are widely to		
Calegory	Citation of document, with indication, where appropriate, of the relevant passages	·	Relevant to claim No.
X	KOUZANI A Z: "Illumination-effects compensation in facial images" SYSTEMS, MAN, AND CYBERNETICS, 1999. IEEE SMC '99 CONFERENCE PROCEEDIN GS. 1999 IEEE INTERNATIONAL CONFERENCE ON TOKYO, JAPAN 12-15 OCT. 1999, PISCATAWAY, NJ, USA,IEEE, US, vol. 6, 12 October 1999 (1999-10-12), pages 840-844, XP010363112 ISBN: 978-0-7803-5731-0 Section II. "Proposed illumination-effects compensation method", in particular p. VI-842, Algorithm 1: Training, Synthesis		1,18
X	SORIANO M ET AL: "Making saturated facial images useful again" PROCEEDINGS OF THE SPIE, SPIE, BELLINGHAM, VA, vol. 3826, 17 June 1999 (1999-06-17), pages 113-121, XP002325961 ISSN: 0277-786X the whole document, in particular Section 2.2. "Color correction by RGB eigenfaces"		1,5,18
A	SIM T ET AL: "The CMU Pose, Illumination, and Expression (PIE) database" AUTOMATIC FACE AND GESTURE RECOGNITION, 2002. PROCEEDINGS. FIFTH IEEE INTERNATIONAL CONFERENCE ON, IEEE, PISCATAWAY, NJ, USA, 20 May 2002 (2002-05-20), pages 53-58, XP010949335 ISBN: 978-0-7695-1602-8 the whole document		1–10
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## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box II.2

Claims Nos.: 3

It cannot be understood what is meant by the "simultaneous" in connection with "detection" since claim 1 does not clearly recite a step of detection.

The applicant's attention is drawn to the fact that claims relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure. If the application proceeds into the regional phase before the EPO, the applicant is reminded that a search may be carried out during examination before the EPO (see EPO Guideline C-VI, 8.2), should the problems which led to the Article 17(2)PCT declaration be overcome.

International application No. PCT/EP2008/001578

## INTERNATIONAL SEARCH REPORT

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)				
This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:				
1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:				
2. X  Claims Nos.:  S  because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:				
see FURTHER INFORMATION sheet PCT/ISA/210				
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).				
Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)				
This International Searching Authority found multiple inventions in this international application, as follows:				
see additional sheet				
1. As all required additional search fees were timely paid by the applicant, this international search report covers alisearchable claims.				
2. As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.				
3. As only some of the required additional search fees were timely paid by the applicant, this international search reportcovers only those claims for which fees were paid, specifically claims Nos.:				
4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:				
1,2,4-10,18				
Remark on Protest  The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.				
The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.				
No protest accompanied the payment of additional search fees.				

## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1,2,4-10,18

Method for correcting non-uniform face illumination; acquisition device provided with said functionality (claim 18)

2. claims: 11-17

Method for face region detection based on a cascade of classifiers