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(54) **Title:** ADJUSTABLE FLOW GLAUCOMA SHUNTS AND METHODS FOR MAKING AND USING SAME

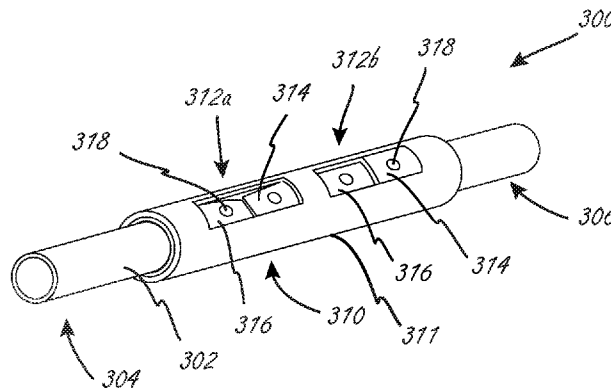


Fig. 3A

(57) **Abstract:** Adjustable flow glaucoma shunts are disclosed herein. In one embodiment, for example, a variable flow shunt for treatment of glaucoma in a human patient includes an elongated outflow tube having (a) a proximal inflow portion configured for placement within an anterior chamber in a region outside of an optical field of view of an eye of the patient, and (b) a distal outflow portion at a different location of the eye. The variable flow shunt further includes a flow control mechanism positioned along the outflow tube between the inflow portion and the outflow portion. The flow control mechanism comprises one or more control elements transformable between an open position that allows fluid to flow through the outflow tube and resistance positions that partially obstruct or attenuate fluid flow through the outflow tube. During operation, the control element(s) are movable between positions in response to non-invasive energy.



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**ADJUSTABLE FLOW GLAUCOMA SHUNTS AND  
METHODS FOR MAKING AND USING SAME**

**CROSS-REFERENCE TO RELATED APPLICATION**

**[0001]** This application claims the benefit of U.S. Patent Application No. 62/794,430, filed January 18, 2019, and titled "ADJUSTABLE FLOW GLAUCOMA SHUNTS AND METHODS FOR MAKING AND USING SAME," which is incorporated herein by reference in its entirety.

**TECHNICAL FIELD**

**[0002]** The present technology relates to adjustable flow glaucoma shunts and methods for making and using such devices.

**BACKGROUND**

**[0003]** Glaucoma (e.g., ocular hypertension) is a disease associated with an increase in pressure within the eye resultant from an increase in production of aqueous humor (aqueous) within the eye and/or a decrease in the rate of outflow of aqueous from within the eye into the blood stream. Aqueous is produced in the ciliary body at the boundary of the posterior and anterior chambers of the eye. It flows into the anterior chamber and eventually into the capillary bed in the sclera of the eye. Glaucoma typically results from a failure in mechanisms that transport aqueous out of the eye and into the blood stream.

**BRIEF DESCRIPTION OF THE DRAWINGS**

**[0004]** Many aspects of the present technology can be better understood with reference to the following drawings. The components in the drawings are not necessarily drawn to scale. Instead, emphasis is placed on illustrating clearly the principles of the present technology. Furthermore, components can be shown as transparent in certain views for clarity of illustration only and not to indicate that the component is necessarily transparent. Components may also be shown schematically.

**[0005]** FIGS. 1A–1C illustrate glaucoma plate shunts configured to provide constant resistance to flow.

[0006] FIG. 2A is simplified front view of an eye E with an implanted shunt, and FIG. 2B is an isometric view of the eye capsule of FIG. 2A.

[0007] FIGS. 3A and 3B illustrate an adjustable flow glaucoma shunt configured in accordance with an embodiment of the present technology.

[0008] FIGS. 4A and 4B illustrate an adjustable flow glaucoma shunt configured in accordance with another embodiment of the present technology.

[0009] FIGS. 5A–5C illustrate a variable fluid resistor device configured in accordance with an embodiment of the present technology.

[0010] FIGS. 6A–6C illustrate an adjustable flow glaucoma shunt configured in accordance with still another embodiment of the present technology.

#### DETAILED DESCRIPTION

[0011] The present technology is directed to adjustable flow glaucoma shunts and methods for making and using such devices. In many of the embodiments disclosed herein, the adjustable flow glaucoma shunts comprise an adjustable fluid resistor ("resistor" within the context of this document refers to a fluid resistor), actuator, and/or actuation mechanism. Additionally, in certain embodiments, the shunts may also include an adjustable opening pressure control mechanism. These mechanisms can be selectively adjusted or modulated to increase or decrease the outflow resistance and/or opening pressure of the shunt in response to changes in any (or any combination of) intraocular pressure (IOP), aqueous production rate, native aqueous outflow resistance, and/or native aqueous outflow rate.

[0012] In one embodiment, for example, a variable flow shunt for treating glaucoma in a human patient comprises an elongated outflow tube having (a) a proximal inflow portion configured for placement within an anterior chamber in a region outside of an optical field of view of an eye of the patient, and (b) a distal outflow portion at a different location of the eye. The variable flow shunt further includes a flow control mechanism positioned along the outflow tube between the inflow portion and the outflow portion. The flow control mechanism comprises one or more control elements transformable between an open position that allows fluid to flow through the outflow tube and resistance positions that partially obstruct or attenuate fluid flow through the outflow tube. During operation, the control element(s) are movable between positions in response to non-invasive energy.

[0013] In another embodiment of the present technology, a shunt for treatment of glaucoma in a human patient comprises an elongated outflow drainage tube having a proximal inflow region and a distal outflow region. The shunt also includes an inflow control assembly at the proximal inflow region, and a transition region along the outflow tube between the inflow region and the outflow region. During operation, the transition region is transformable between a first shape and a second shape different than the first shape to inhibit or attenuate fluid flow through the outflow tube.

[0014] A method for treating glaucoma in a human patient in accordance with still another embodiment of the present technology can include positioning a variable flow shunt within an eye of the patient. The shunt comprises an elongated outflow drainage tube having a proximal inflow region at a first portion of the eye and a distal outflow region at a second, different portion of the eye. The method also includes transforming a flow control assembly carried by the elongated outflow drainage tube from a first configuration to second, different configuration to selectively control flow of aqueous through the variable flow shunt. Throughout the method, the flow control assembly may be actuated via non-invasive energy.

[0015] Specific details of various embodiments of the present technology are described below with reference to FIGS. 1A–6C. Although many of the embodiments are described below with respect to adjustable flow glaucoma shunts and associated methods, other embodiments are within the scope of the present technology. Additionally, other embodiments of the present technology can have different configurations, components, and/or procedures than those described herein. For instance, shunts configured in accordance with the present technology may include additional elements and features beyond those described herein, or other embodiments may not include several of the elements and features shown and described herein.

[0016] For ease of reference, throughout this disclosure identical reference numbers are used to identify similar or analogous components or features, but the use of the same reference number does not imply that the parts should be construed to be identical. Indeed, in many examples described herein, the identically numbered parts are distinct in structure and/or function.

#### Implantable Shunts for Glaucoma Treatment

[0017] Glaucoma is a degenerative ocular condition characterized by an increase in pressure within the eye resultant from an increase in production of aqueous humor (aqueous) within the eye and/or a decrease in the rate of outflow of aqueous from within the eye into the

blood stream. The early stages of glaucoma are typically treated with drugs (e.g., eye drops). When drug treatments no longer suffice, however, surgical approaches may be used. Surgical or minimally invasive approaches primarily attempt to increase the outflow of aqueous from the anterior chamber to the blood stream either by the creation of alternative fluid paths or the augmentation of the natural paths for aqueous outflow.

**[0018]** Devices used to lower outflow resistance are generally referred to as "glaucoma shunts" or "shunts." FIGS. 1A–1C, for example, illustrate several different traditional glaucoma plate shunts 100 (identified individually as 100a-c) configured to provide constant resistance to flow. The shunt 100a of FIG. 1A, for example, includes a plate 103a, a plurality of outflow ports 102a, one or more inflow ports 101, and tie-downs or engagement features 104a. The shunts 100b and 100c shown in FIGS. 1B and 1C, respectively, include several features similar to the features of shunt 100a. For example, these shunts 100b-c include plates 103b-c, outflow ports 102b-c, and tie-downs or engagement features 104b-c. The shunts 100b-c, however, include an inflow tube 105 instead of the inflow ports 101 of the shunt 100a.

**[0019]** FIGS. 2A and 2B illustrate a human eye E and suitable location(s) in which shunts 100a-c may be implanted within the eye. More specifically, FIG. 2A is a simplified front view of the eye E, and FIG. 2B is an isometric view of the eye capsule of FIG. 2A. Referring first to FIG. 2A, the eye E includes a number of muscles to control its movement, including a superior rectus SR, inferior rectus IR, lateral rectus LR, medial rectus MR, superior oblique SO, and inferior oblique IO. The eye E also includes an iris, pupil, and limbus.

**[0020]** Referring to FIGS. 2A and 2B together, shunt 100c is positioned such that inflow tube 105 is positioned in an anterior chamber of the eye, and outflow ports 102c are positioned at a different location within the eye. Depending upon the design of the device, the outflow ports 102c may be placed in a number of different suitable outflow locations (e.g., between the choroid and the sclera, between the conjunctiva and the sclera). For purposes of illustration, only shunt 100c is shown implanted in the eye E. It will be appreciated, however, that shunts 100a-b may be similarly implanted within the eye E.

**[0021]** Outflow resistance changes over time as the outflow location goes through its healing process after surgical implantation of the device. Because the outflow resistance changes over time, in many procedures the shunt (e.g., shunts 100a-c) is modified at implantation to temporarily increase its outflow resistance. After a period of time deemed sufficient to allow for healing of the tissues and stabilization of the outflow resistance, the modification to the shunt

is reversed, thereby decreasing the outflow resistance. Such modifications can be invasive, time-consuming, and expensive for patients. If such a procedure is not followed, however, the likelihood of creating hypotony and its resultant problems is high. Accordingly, the present technology provides variable flow glaucoma shunts that enable a user to remotely actuate a flow control mechanism to selectively alter the flow of fluid through the shunt. The selectively adjustable shunts may be similar in certain aspects to those described in PCT Patent Publication No. 2019/018807, titled "ADJUSTABLE FLOW GLAUCOMA SHUNTS AND METHODS FOR MAKING AND USING SAME," the disclosure of which is incorporated by reference herein in its entirety.

#### Selected Embodiments of Variable Flow Glaucoma Shunts

**[0022]** FIGS. 3A–6C illustrate a number of different embodiments for variable flow glaucoma shunt devices, along with particular components and features associated with such devices. FIG. 3A, for example, illustrates a variable flow glaucoma shunt 300 ("shunt 300") configured in accordance with an embodiment of the present technology. The shunt 300 includes an elongated drainage tube 302 (e.g., a fine bore length of thin walled tubing) having a proximal portion with an inflow portion 304 and a distal outflow portion 306 opposite the proximal portion. The inflow portion 304 of the shunt 300 is configured for placement within an anterior chamber in a region outside of the optical field of view of the eye, but within a region visible through the cornea. For purposes of illustration, the outflow portion 306 is shown relatively adjacent to the inflow portion 304. It will be appreciated, however, that the drainage tube 302 is sized and shaped to span a region between the anterior chamber and a desired outflow location within the eye of the patient (e.g., a bleb space).

**[0023]** The shunt 300 further includes a flow control mechanism 310 positioned along the drainage tube 302 and configured to act as a variable resistor during operation and selectively control flow of fluid through the drainage tube 302. In the illustrated embodiment, for example, the flow control mechanism 310 comprises a body portion 311 slidably positioned along the drainage tube 302. In other embodiments, however, the flow control mechanism 310 may be carried by or engaged with the drainage tube 302 in a different arrangement. The flow control mechanism 310 includes one or more control elements 312 (two are shown in the illustrated embodiment as a first control element 312a and a second control element 312b). The flow control elements 312a-b are configured to be selectively activated by non-invasive energy (e.g., a surgical laser, light, heat, etc.) and, upon activation, pivotably move into the flow path through

the drainage tube 302 to inhibit/attenuate flow therethrough. In various embodiments, flow is modified in some manner (e.g. pressure and/or flow) between the activated and non-activated configurations. In various embodiments, activation inhibits and/or attenuates flow through the drainage tube. One will appreciate from the description herein that activation may refer to selecting or moving between one of a variety of positions or configurations of the flow control mechanism. Further, in various embodiments, in the unactivated configuration, the drainage tube 302 is fully open. In various embodiments, in the activated configuration, the drainage tube is fully closed, thus preventing/inhibiting fluid flow through the drainage tube 302.

**[0024]** FIG. 3B, for example, is a partially schematic cross-sectional side view of the shunt 300 during operation. Referring to FIGS. 3A and 3B together, the first control element 312a includes a first finger 314 and a second finger 316. The first and second fingers 314 and 316 are pivotably movable relative to each other and the drainage tube 302 to transform the flow control mechanism 310 between an open configuration and a variety of different partially closed configurations (up to a fully closed configuration). In the illustrated embodiment, the first finger 314 is positioned to partially overlap the second finger 316. In other embodiments, however, the first and second fingers 314 and 316 may have a different arrangement relative to each other.

**[0025]** The first and second fingers 314 and 316 also each include target indicia or marker(s) 318 ("targets 318"). One or more individual targets 318 are included on each of the first and second fingers 314 and 316 and positioned as markers/targets for non-invasive energy used to selectively activate the flow control mechanism 310. The first and second fingers 314 and 316 are composed of a shape memory material (e.g., nitinol) and adapted to pivotably move when such non-invasive energy is applied. For example, applying heat to the first finger 314 (e.g., non-invasive energy applied to target(s) 318 on the first finger 314) can induce this feature to depress or move downward, thereby pushing the corresponding second finger 316 into the flow path (as best seen in FIG. 3B). Likewise, applying heat to the second finger 316 can induce this feature to lift/pivotably move upward, thereby pushing the corresponding first finger 314 upward and back toward an initial, non-obstructive position within the flow path. The first finger 314 and/or second finger 316 can be selectively activated via the non-invasive energy to provide precise control over the flow control element 312 and the resulting fluid flow via the drainage tube 302.

**[0026]** In the illustrated embodiment, the shunt 300 includes two flow control elements 312a and 312b positioned adjacent each other along the drainage tube 302. In other

embodiments, however, the shunt 300 may include a different number of flow control elements 312 (e.g., a single flow control element 312 or greater than two flow control elements 312). Further, the individual flow control elements 312 may have a different arrangement relative to each other along the drainage tube 302.

**[0027]** FIGS. 4A and 4B illustrate a shunt 400 configured in accordance with another embodiment of the present technology. The shunt 400 includes a flow control mechanism 410 configured for use with the drainage tube 302 (other another suitable drainage tube), and configured to act as a variable resistor and selectively control flow of fluid through the tube. The shunt 400 includes several features similar to the features of the shunt 300 described above with reference to FIGS. 3A and 3B. For example, FIG. 4A is a partially exploded view of the shunt 400 showing individual components of the shunt 400, and FIG. 4B is an isometric view of the assembled flow control mechanism 410 before installation with the drainage tube 302. Referring to FIGS. 4A and 4B together, the shunt 400 includes a flow control mechanism 410 positioned along the drainage tube 302. Flow control mechanism 410 comprises a first plate 411a configured to be engaged with the drainage tube 302, and a second plate 411b configured to be operably coupled with or engaged with the first plate 411a. The flow control mechanism 410 further comprises a plurality of first flow control elements 412a carried by the first plate 411a, and a plurality of second flow control elements 412b carried by the second plate 411b. The first and second flow control elements 412a and 412b are positioned to be aligned when the first plate 411a and the second plate 411b are joined together and mated in a stacked configuration.

**[0028]** When engaged with the drainage tube 302, the flow control mechanism 410 is configured to function in a similar fashion to the flow control mechanism 310 described above with reference to FIGS. 3A and 3B. For example, the first flow control elements 412a each include a first finger 414 pivotably movable relative to the drainage tube 302 to transform the flow control mechanism 410 between an open configuration and a variety of different partially closed configurations (up to a fully closed configuration) in which the flow path through the drainage tube 302 is inhibited/attenuated (see, for example, the arrangement shown in FIG. 3B). The second flow control elements 412b each include a second finger 416 pivotably movable relative to the drainage tube 302 to engage the corresponding first fingers 414 and lift the first fingers 414 to transform the flow control mechanism 410 between the partially closed (or fully closed) configuration to a more open (or fully open) configuration. The first and second flow control elements 412a and 412b also each include target indicia or marker(s) 418. The targets

418 are positioned as markers/targets for non-invasive energy used to selectively activate the first and second flow control elements 412a and 412b during operation of the shunt 400.

**[0029]** In the illustrated embodiment, the flow control mechanism 410 of the shunt 400 includes three first flow control elements 412a and three second flow control elements 412b. In other embodiments, however, the shunt 400 may include a different number of flow control elements 412 and/or the individual flow control elements 412 may have a different arrangement relative to each other. In some embodiments, for example, the flow control elements 412 may be oriented in the opposite direction as that shown in FIGS. 4A and 4B.

**[0030]** FIGS. 5A–5C illustrate a variable fluid resistor shunt 500 ("shunt 500") configured in accordance with an embodiment of the present technology. Referring to FIGS. 5A and 5B together, for example, drainage tube 502 comprises a first portion 504 and a second portion 506 opposite the first portion. A manifold 520 (e.g., a variable resistor assembly) is engaged with the drainage tube 502 and positioned at or adjacent with the second portion 506. The manifold 520 comprises multiple parallel lumens 522 arrayed across the width of the manifold 520 (FIG. 5A). For example, the manifold 520 can comprise two, three, four, five, six, or more lumens 522. When implanted in an eye, the manifold 520 can reside within a desired outflow location (e.g., a bleb space) or within a desired inflow location (e.g., an anterior chamber). Accordingly, the shunt 500 can be configured such that flow goes in either direction through the shunt 500.

**[0031]** Each of the lumens 522 further comprises a flow control element 524. As best seen in FIG. 5B, individual flow control elements 524 are transformable between a first (e.g., open) position in which flow is not impeded and a second (e.g., closed) position in which flow through the corresponding lumen 522 is blocked or attenuated. The flow control elements 524 are all individually addressable (e.g., via non-invasive energy or other suitable mechanisms) such that flow through each of the lumens 522 can be selectively controlled. Referring to FIG. 5C, for example, flow through a majority of the lumens 522 has been attenuated or blocked via the corresponding flow control elements 524, but flow through the three lumens 522 on the leftmost portion of the manifold 520 remains open. It will be appreciated that selectively controlling flow via the manifold 520 allows for precise variable control of fluid outflow via the shunt 500. Further, in other embodiments, the manifold 520 of the shunt 500 may include a different number of lumens 522 and/or flow control elements 524, and the flow control elements 524 may have a different configuration.

**[0032]** In some embodiments, the lumens 522 may each have the same flow resistance. In embodiments in which the lumens 522 have the same flow resistance, opening additional lumens 522 is expected to result in a generally linear increase in the drainage rate, and blocking lumens 522 is expected to result in a generally linear decrease in the drainage rate. For example, moving from a single open lumen to two open lumens is expected to generally double the drainage rate, while moving from two open lumens to three open lumens is expected to generally increase the drainage rate by 50 percent.

**[0033]** In other embodiments, however, the lumens 522 may have different flow resistances. Flow resistance through the lumens 522, and thus drainage rates through the lumens 522, can be varied based on, for example, a length of the lumen and/or a diameter of the lumen. The length of the lumen is generally proportional to the flow resistance of the lumen, whereas the diameter of the lumen is generally inversely proportional to the flow resistance of the lumen. Accordingly, each individual lumen 522 may have a unique length, diameter, or length and diameter combination that gives it a certain flow resistance. Individual channels can then be selectively opened (or closed) to achieve a desired flow rate.

**[0034]** FIGS. 6A–6C illustrate an adjustable flow glaucoma shunt 600 ("shunt 600") configured in accordance with still another embodiment of the present technology. Referring first to FIG. 6A, for example, the shunt 600 includes an inflow control assembly 610 and an outflow drainage tube or outflow assembly 620. The inflow control assembly 610 is configured for placement within an anterior chamber in a region outside of the optical field of view of the eye, but within a region visible through the cornea. The outflow drainage tube 620 comprises tubing (e.g., a fine bore length of thin walled tubing) sized and shaped to span the region between the anterior chamber and a desired outflow location. As described in greater detail below, the inflow control assembly 610 comprises a control mechanism configured to act as a variable resistor during operation.

**[0035]** FIG. 6B is an enlarged view of the inflow control assembly 610 shown in operation. As best seen in FIG. 6B, the inflow control assembly 610 includes a first spring element 630a and a second spring element 630b arranged adjacent each other. In the illustrated embodiment, the first and second spring elements 630a and 630b are integrally formed from the same tube using a laser cutting process. In other embodiments, however, the first and second spring elements 630a and 630b may be separate, discrete components formed from different materials (e.g., nitinol, shape memory material, etc.). Further, it will be appreciated that the cut pattern,

cut profile, and/or cut sizes within the tube may be optimized to increase/decrease flow through the spring elements 630a and 630b. The inflow control assembly 610 further includes a core element or plug feature 640 slidably received within an inner portion of the inflow control assembly 610 and selectively engaged with a selected spring element 630a or 630b.

**[0036]** As best seen in FIG. 6B and 6C, in operation, the first and second spring elements 630a and 630b are configured to be selectively activated by non-invasive energy and, upon activation, expand to allow flow through the corresponding spring body (i.e., the helical cuts in the tube), as well as compress the opposing spring element. The core element 640 extends approximately the length of one of the spring elements (the first spring element 630a in the illustrated arrangement) and can be positioned such that when the first spring element 630a opens, there is little or no flow path therethrough. During further operation of the shunt 600, the compressed second spring element 630b can be targeted with non-invasive energy (e.g., laser energy), which causes the second spring element 630b to expand/actuate, while causing the first spring element 630a to compress, thereby oscillating the shunt 600 and selectively controlling the flow therethrough.

**[0037]** In further embodiments, the inflow control assembly 610 of the shunt 600 may include one or more additional spring elements 630 positioned to be actuated to selectively control fluid flow. Further, in some embodiments the shunt 600 can include a thermal isolation element positioned between the individual springs to help further ensure that only one spring actuates at a time after delivery of non-invasive energy. The thermal isolation element(s) are an optional feature that may not be included in some embodiments.

**[0038]** In many of the embodiments described herein, the actuators or fluid resistors are configured to introduce features that selectively impede or attenuate fluid flow through the drainage tube during operation. In this way, the actuators/fluid resistors can incrementally or continuously change the flow resistance through the drainage tube to selectively regulate pressure/flow. The actuators and fluid resistors configured in accordance with the present technology can accordingly adjust the level of interference or compression between a number of different positions, and accommodate a multitude of variables (e.g., IOP, aqueous production rate, native aqueous outflow resistance, and/or native aqueous outflow rate) to precisely regulate flow rate through the drainage tube.

**[0039]** The disclosed actuators and fluid resistors can all be operated using non-invasive energy. This feature allows such devices to be implanted in the patient and then

modified/adjusted over time without further invasive surgeries or procedures for the patient. Further, because the devices disclosed herein may be actuated via non-invasive energy, such devices do not require any additional power to maintain a desired orientation or position. Rather, the actuators/fluid resistors disclosed herein can maintain a desired position/orientation without power. This can significantly increase the usable lifetime of such devices and enable such devices to be effective long after the initial implantation procedure.

### Examples

**[0040]** Several aspects of the present technology are set forth in the following examples.

1. A variable flow shunt for treatment of glaucoma in a human patient, the variable flow shunt comprising:

an elongated outflow tube having (a) a proximal inflow portion configured for placement within an anterior chamber in a region outside of an optical field of view of an eye of the patient, and (b) a distal outflow portion at a different location of the eye; and

a flow control mechanism positioned along the outflow tube between the inflow portion and the outflow portion, wherein the flow control mechanism comprises one or more control elements transformable between an open position that allows fluid to flow through the outflow tube and at least one resistance position that reduces fluid flow through the outflow tube,

wherein during operation, the one or more control elements are movable between the open position and the at least one resistance position in response to non-invasive energy.

2. The variable flow shunt of example 1 wherein the one or more control elements are transformable between a first resistance position that provides a first level of reduction of fluid flow, and a second resistance position that provides a second level of reduction of fluid flow greater than the first level of reduction.

3. The variable flow shunt of example 1 wherein the one or more control elements are transformable between a first resistance position that provides a first level of flow reduction and a plurality of second resistance positions that provide increasing levels of flow reduction.

4. The variable flow shunt of example 1 or example 2 wherein the one or more control elements are configured to partially obstruct fluid flow through the outflow tube in the at least one resistance position by changing a diameter and/or a cross-sectional shape of a flow path through the outflow tube.

5. The variable flow shunt of any one of examples 1–4 wherein the one or more control elements are movable between the open position and the at least one resistance position in response to laser energy.

6. The variable flow shunt of any one of examples 1–5 wherein the one or more control elements are configured to hold the open position or the at least one resistance position without power.

7. The variable flow shunt of example 1 wherein at least one control element comprises:

a first finger pivotably movable between a first, open position in which the first finger is out of a flow path through the outflow tube and one or more second resistance positions in which the first finger impedes fluid flow along the flow path; and  
a second finger engaged with the first finger and configured to pivotably move the first finger from the one or more one or more second resistance positions toward the first open position,

wherein the first and second fingers are pivotably movable in response to the non-invasive energy.

8. A shunt for treatment of glaucoma in a human patient, the shunt comprising:  
an elongated outflow drainage tube having a proximal inflow region and a distal outflow region;

an inflow control assembly at the proximal inflow region; and

a transition region along the outflow tube between the inflow region and the outflow region, wherein, during operation, the transition region is transformable between a first shape and a second shape different than the first shape to inhibit and/or attenuate fluid flow through the outflow tube.

9. The shunt of example 8 wherein the transition region is configured to transform between the first shape and the second shape upon application of non-invasive energy to one or more selected areas of the transition region.

10. The shunt of example 9 wherein the non-invasive energy is laser energy.

11. A method for treating glaucoma in a human patient, the method comprising:  
positioning a variable flow shunt within an eye of the patient, wherein the shunt comprises an elongated outflow drainage tube having a proximal inflow region at a first portion of the eye and a distal outflow region at a second, different portion of the eye; and  
transforming a flow control assembly carried by the elongated outflow drainage tube from a first configuration to a second, different configuration to selectively control flow of aqueous through the variable flow shunt,  
wherein the flow control assembly is actuated via non-invasive energy.

12. The method of example 11 wherein transforming the flow control assembly carried by the elongated outflow drainage tube comprises actuating the flow control assembly, via the non-invasive energy, to pivotably move a control element of the control assembly into a flow path of the drainage tube such that flow along the flow path is attenuated.

13. The method of example 12, further comprising actuating the flow control assembly, via the non-invasive energy, to pivotably move the control element out of the flow path of the drainage tube such that flow along the flow path is returned to a non-attenuated state.

14. An adjustable flow shunt for treating glaucoma in a human patient, the shunt comprising:

an elongated outflow drainage tube having a proximal inflow region and a distal outflow region; and

an inflow control assembly at the proximal inflow region, wherein the inflow control assembly comprises—

a first spring element;

a second spring element positioned adjacent to the first element; and

a core element sized and shaped to be slidably received within one of the first or second spring elements,  
wherein the first spring element is configured to be selectively activated by non-invasive energy and, upon activation, expand such that (a) the second spring element positioned adjacent the first spring element is compressed, and (b) the expansion selectively allows fluid flow through the expanded first spring element.

15. The adjustable flow shunt of example 14 wherein the inflow control assembly is configured for placement within an anterior chamber in a region outside of the optical field of view of the eye.

16. The adjustable flow shunt of example 14 or example 15 wherein the spring element is configured to be activated via laser energy.

17. The adjustable flow shunt of any one of examples 14–16 wherein the spring element is composed of a shape memory material.

18. The adjustable flow shunt of any one of examples 14–16 wherein the spring element is composed of nitinol.

19. A variable fluid resistor shunt for treatment of glaucoma, the variable fluid resistor shunt comprising:

an elongated drainage tube having a first portion configured to be in fluid communication with a fluid chamber in an eye of a human patient and a second portion opposite the first portion;

a variable resistor assembly configured to selectively control flow of fluid through the drainage tube, wherein the variable resistor assembly comprises—

a base portion;

multiple fluid lumens arranged in an array across the base portion in fluid communication with the drainage tube; and

a plurality of flow control elements, wherein each fluid lumen includes a flow control element positioned to selectively control fluid flow along the corresponding fluid lumen;

wherein, during operation, the individual flow control elements are configured to be selectively targeted and actuated via non-invasive energy to change each flow control element between a first open position in which fluid can flow through the corresponding fluid lumen and a second at least partially closed position in which fluid flow through the corresponding fluid lumen is reduced.

20. The variable fluid resistor shunt of example 19 wherein at least two of the multiple fluid lumens have a different flow resistance when the corresponding flow control element is in the first open position.

21. The variable fluid resistor shunt of example 19 or example 20 wherein the multiple fluid lumens include a first lumen and a second lumen, and wherein the first lumen has a first diameter and the second lumen has a second diameter greater than the first diameter.

22. The variable fluid resistor shunt of any of examples 19–21 wherein the multiple fluid lumens include a first lumen and a second lumen, and wherein the first lumen has a first length and the second lumen has a second length greater than the first length.

23. The variable fluid resistor shunt of example 19 wherein each of the multiple fluid lumens have the same flow resistance when the corresponding flow control element is in the first open position.

### Conclusion

**[0041]** The above detailed description of embodiments of the technology are not intended to be exhaustive or to limit the technology to the precise form disclosed above. Although specific embodiments of, and examples for, the technology are described above for illustrative purposes, various equivalent modifications are possible within the scope of the technology as those skilled in the relevant art will recognize. For example, any of the features of the variable flow shunts described herein may be combined with any of the features of the other variable flow shunts described herein and vice versa. Moreover, although steps are presented in a given order, alternative embodiments may perform steps in a different order. The various embodiments described herein may also be combined to provide further embodiments.

[0042] From the foregoing, it will be appreciated that specific embodiments of the technology have been described herein for purposes of illustration, but well-known structures and functions associated with variable flow shunts have not been shown or described in detail to avoid unnecessarily obscuring the description of the embodiments of the technology. Where the context permits, singular or plural terms may also include the plural or singular term, respectively.

[0043] Moreover, unless the word "or" is expressly limited to mean only a single item exclusive from the other items in reference to a list of two or more items, then the use of "or" in such a list is to be interpreted as including (a) any single item in the list, (b) all of the items in the list, or (c) any combination of the items in the list. Additionally, the term "comprising" is used throughout to mean including at least the recited feature(s) such that any greater number of the same feature and/or additional types of other features are not precluded. It will also be appreciated that specific embodiments have been described herein for purposes of illustration, but that various modifications may be made without deviating from the technology. Further, while advantages associated with some embodiments of the technology have been described in the context of those embodiments, other embodiments may also exhibit such advantages, and not all embodiments need necessarily exhibit such advantages to fall within the scope of the technology. Accordingly, the disclosure and associated technology can encompass other embodiments not expressly shown or described herein.

## CLAIMS

I/We claim:

1. A variable flow shunt for treatment of glaucoma in a human patient, the variable flow shunt comprising:

an elongated outflow tube having (a) a proximal inflow portion configured for placement within an anterior chamber in a region outside of an optical field of view of an eye of the patient, and (b) a distal outflow portion at a different location of the eye; and

a flow control mechanism positioned along the outflow tube between the inflow portion and the outflow portion, wherein the flow control mechanism comprises one or more control elements transformable between an open position that allows fluid to flow through the outflow tube and at least one resistance position that reduces fluid flow through the outflow tube,

wherein, during operation, the one or more control elements are movable between the open position and the at least one resistance position in response to non-invasive energy.

2. The variable flow shunt of claim 1 wherein the one or more control elements are transformable between a first resistance position that provides a first level of reduction of fluid flow, and a second resistance position that provides a second level of reduction of fluid flow greater than the first level of reduction.

3. The variable flow shunt of claim 1 wherein the one or more control elements are transformable between a first resistance position that provides a first level of flow reduction and a plurality of second resistance positions that provide increasing levels of flow reduction.

4. The variable flow shunt of claim 1 wherein the one or more control elements are configured to partially obstruct fluid flow through the outflow tube in the at least one resistance position by changing a diameter and/or a cross-sectional shape of a flow path through the outflow tube.

5. The variable flow shunt of claim 1 wherein the one or more control elements are movable between the open position and the at least one resistance position in response to laser energy.

6. The variable flow shunt of claim 1 wherein the one or more control elements are configured to hold the open position or the at least one resistance position without power.

7. The variable flow shunt of claim 1 at least one control element comprises:  
a first finger pivotably movable between a first, open position in which the first finger is out of a flow path through the outflow tube and one or more second resistance positions in which the first finger impedes fluid flow along the flow path; and  
a second finger engaged with the first finger and configured to pivotably move the first finger from the one or more one or more second resistance positions toward the first open position,  
wherein the first and second fingers are pivotably movable in response to the non-invasive energy.

8. A variable fluid resistor shunt for treatment of glaucoma, the variable fluid resistor shunt comprising:

an elongated drainage tube having a first portion configured to be in fluid communication with a fluid chamber in an eye of a human patient and a second portion opposite the first portion;

a variable resistor assembly configured to selectively control flow of fluid through the drainage tube, wherein the variable resistor assembly comprises—  
a base portion;

multiple fluid lumens arranged in an array across the base portion and in fluid communication with the drainage tube; and

a plurality of flow control elements, wherein each fluid lumen includes a flow control element positioned to selectively control fluid flow along the corresponding fluid lumen,

wherein, during operation, the individual flow control elements are configured to be selectively targeted and actuated via non-invasive energy to change each flow control element between a first open position in which fluid can flow through the

corresponding fluid lumen and a second at least partially closed position in which fluid flow through the corresponding fluid lumen is reduced.

9. The variable fluid resistor shunt of claim 8 wherein at least two of the multiple fluid lumens have a different flow resistance when the corresponding flow control elements are in the first open position.

10. The variable fluid resistor shunt of claim 8 wherein the multiple fluid lumens include a first lumen and a second lumen, and wherein the first lumen has a first diameter, and wherein the second lumen has a second diameter greater than the first diameter.

11. The variable fluid resistor shunt of claim 8 wherein the multiple fluid lumens include a first lumen and a second lumen, and wherein the first lumen has a first length, and wherein the second lumen has a second length greater than the first length.

12. The variable fluid resistor shunt of claim 8 wherein each of the multiple fluid lumens have the same flow resistance when the corresponding flow control element is in the first open position.

13. A shunt for treatment of glaucoma in a human patient, the shunt comprising:  
an elongated outflow drainage tube having a proximal inflow region and a distal outflow region;  
an inflow control assembly at the proximal inflow region; and  
a transition region along the outflow tube between the inflow region and the outflow region, wherein, during operation, the transition region is transformable between a first shape and a second shape different than the first shape to inhibit and/or attenuate fluid flow through the outflow tube.

14. The shunt of claim 13 wherein the transition region is configured to transform between the first shape and the second shape upon application of non-invasive energy to one or more selected areas of the transition region.

15. The shunt of claim 14 wherein the non-invasive energy is laser energy.

16. A method for treating glaucoma in a human patient, the method comprising:  
positioning a variable flow shunt within an eye of the patient, wherein the shunt comprises an elongated outflow drainage tube having a proximal inflow region at a first portion of the eye and a distal outflow region at a second, different portion of the eye; and  
transforming a flow control assembly carried by the elongated outflow drainage tube from a first configuration to a second, different configuration to selectively control flow of aqueous through the variable flow shunt,  
wherein the flow control assembly is actuated via non-invasive energy.

17. The method of claim 16 wherein transforming the flow control assembly carried by the elongated outflow drainage tube comprises actuating the flow control assembly, via the non-invasive energy, to pivotably move a control element of the control assembly into a flow path of the drainage tube such that flow along the flow path is attenuated.

18. The method of claim 17, further comprising actuating the flow control assembly, via the non-invasive energy, to pivotably move the control element out of the flow path of the drainage tube such that flow along the flow path is returned to a non-attenuated state.

19. An adjustable flow shunt for treating glaucoma in a human patient, the shunt comprising:

an elongated outflow drainage tube having a proximal inflow region and a distal outflow region; and

an inflow control assembly at the proximal inflow region, wherein the inflow control assembly comprises—

a first spring element;

a second spring element positioned adjacent to the first element; and

a core element sized and shaped to be slidably received within one of the first or second spring elements,

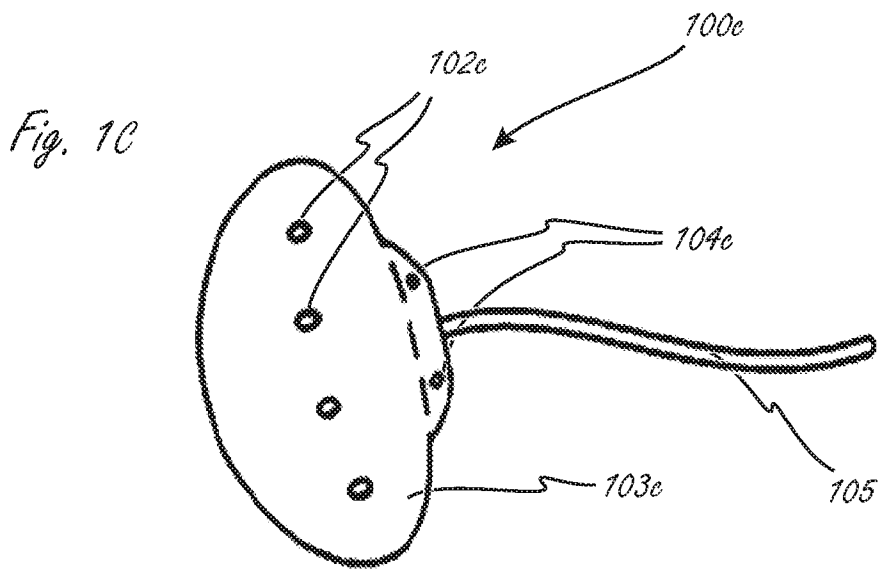
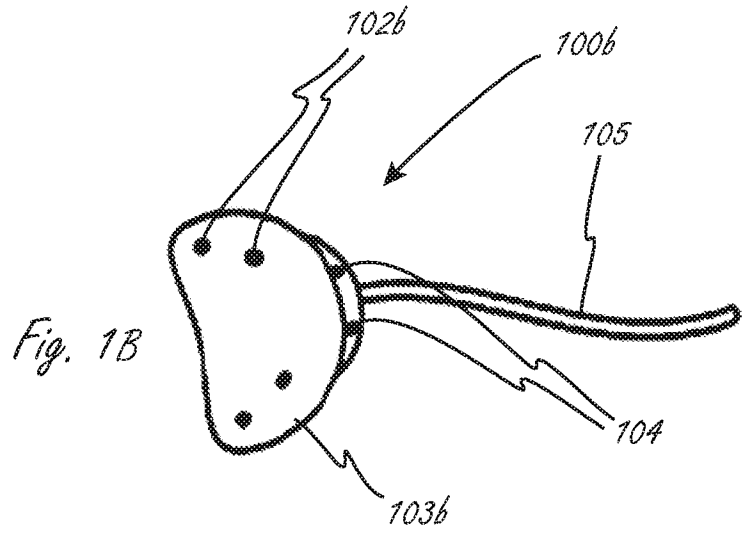
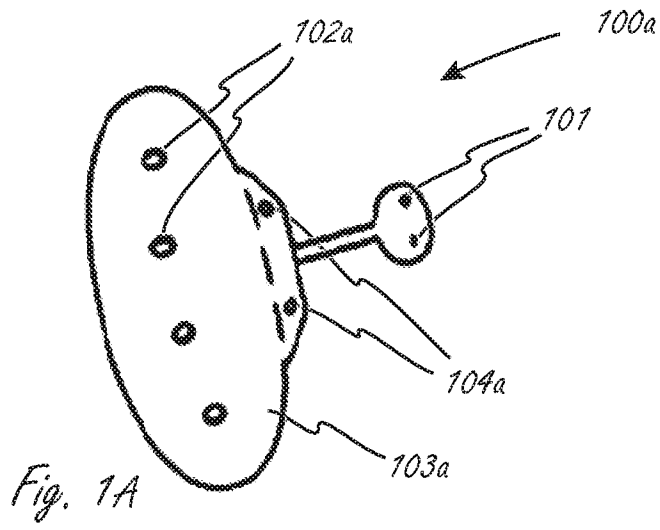
wherein the first spring element is configured to be selectively activated by non-invasive energy and, upon activation, expand such that (a) the second spring element positioned adjacent the first spring element is compressed, and (b) the expansion selectively allows fluid flow through the expanded first spring element.

20. The adjustable flow shunt of claim 19 wherein the inflow control assembly is configured for placement within an anterior chamber in a region outside of the optical field of view of the eye.

21. The adjustable flow shunt of claim 19 wherein the spring element is configured to be activated via laser energy.

22. The adjustable flow shunt of claim 19 wherein the spring element is composed of a shape memory material.

23. The adjustable flow shunt of claim 19 wherein the spring element is composed of nitinol.



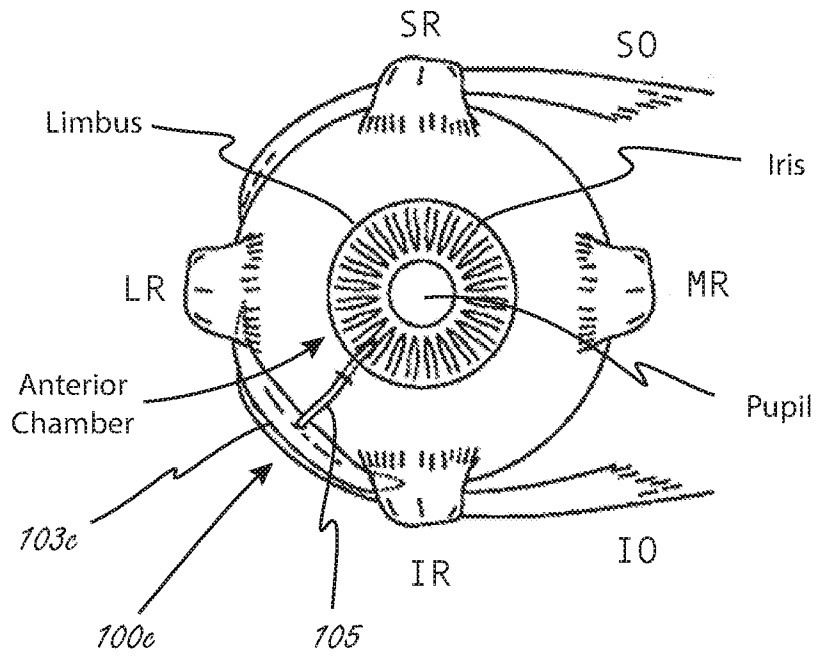


Fig. 2A

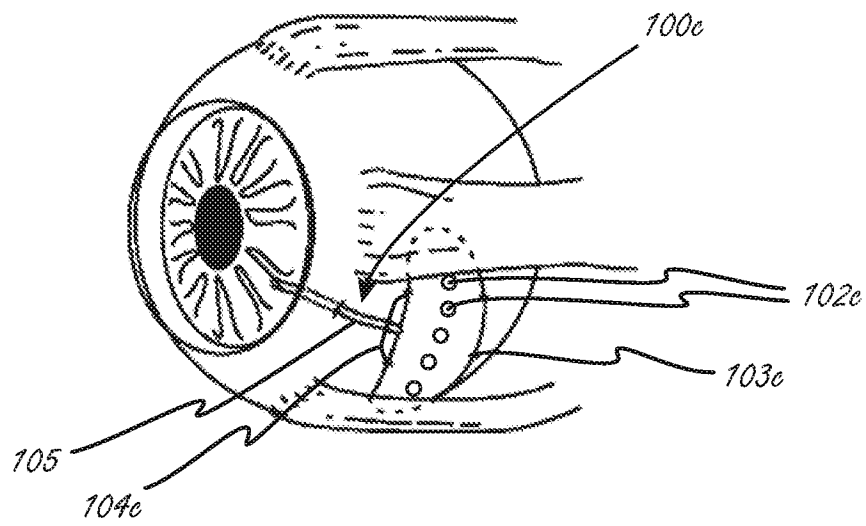


Fig. 2B

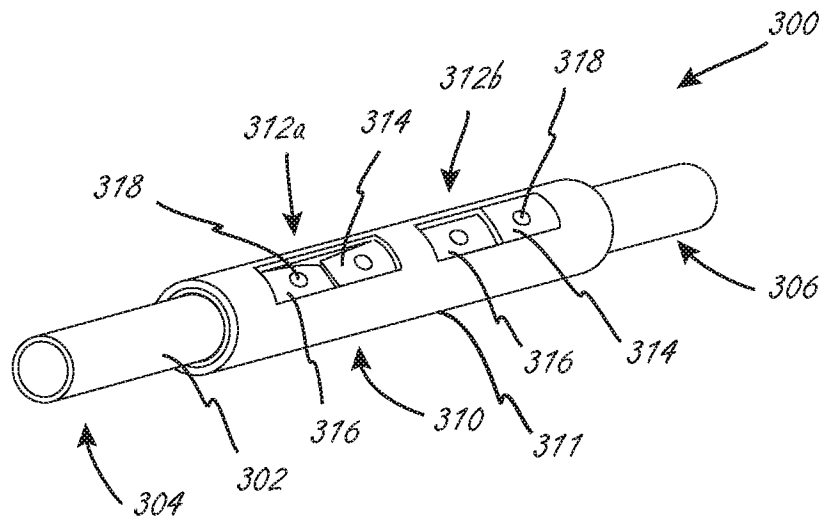


Fig. 3A

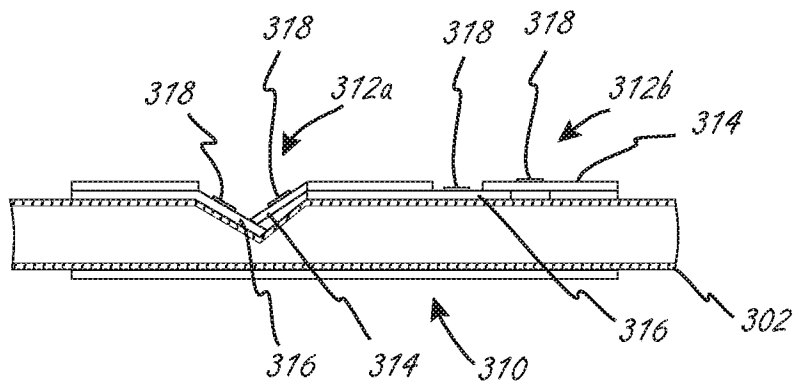


Fig. 3B

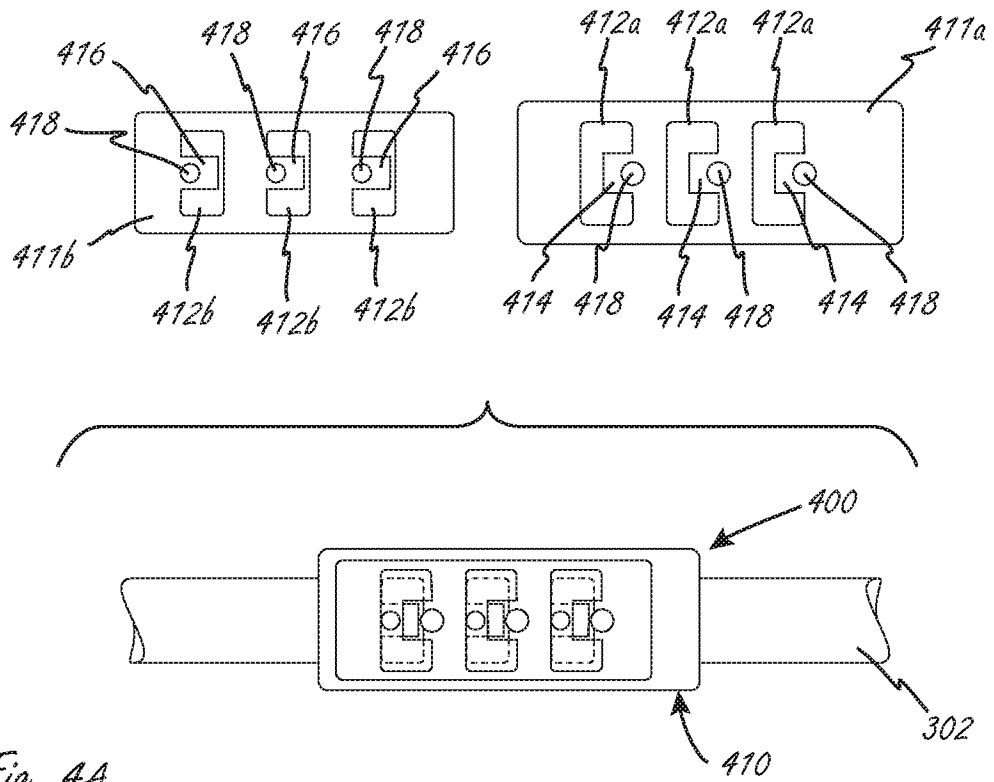


Fig. 4A

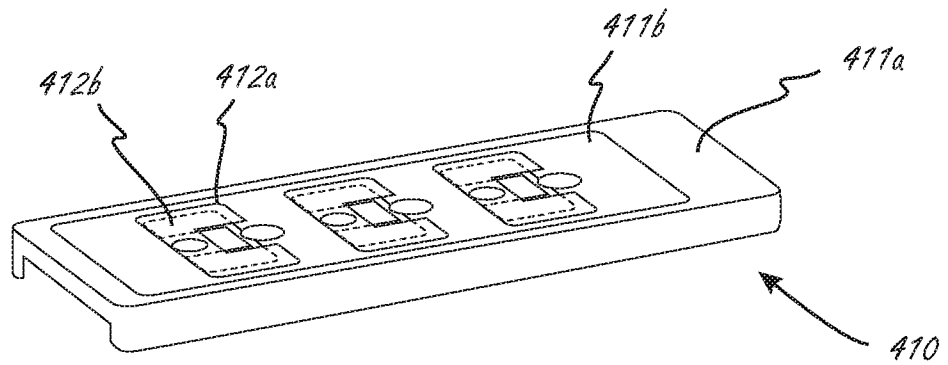
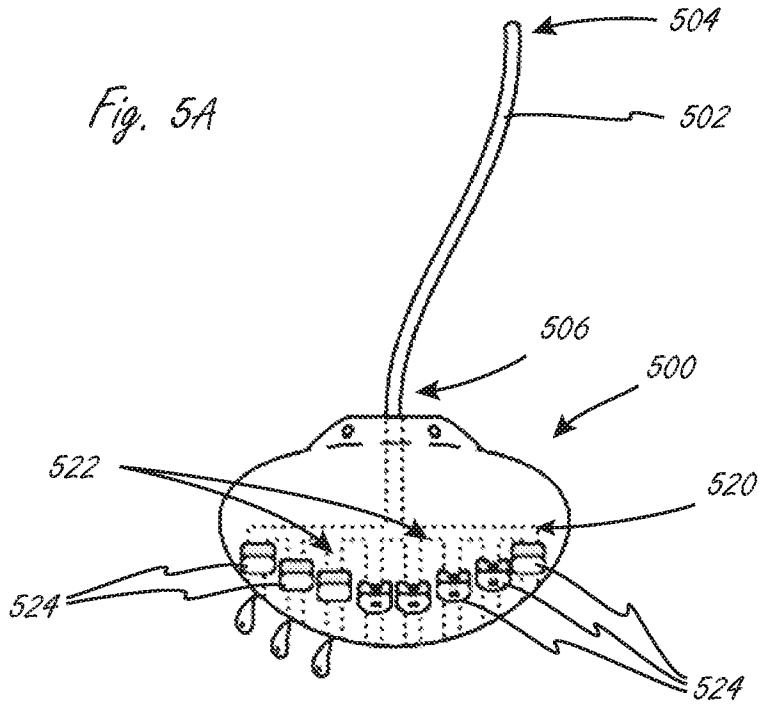
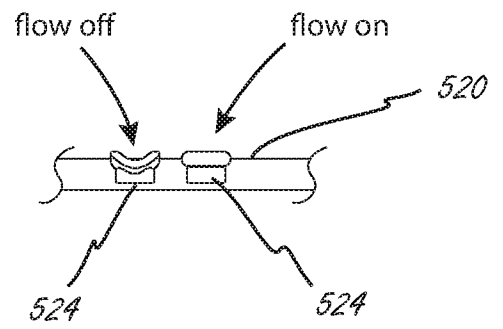


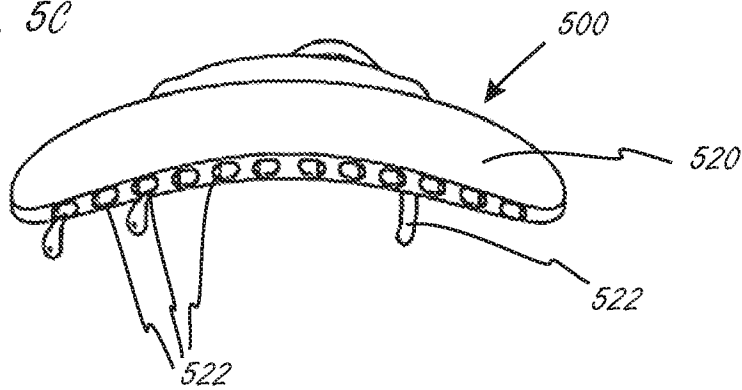
Fig. 4B



*Fig. 5B*



*Fig. 5C*



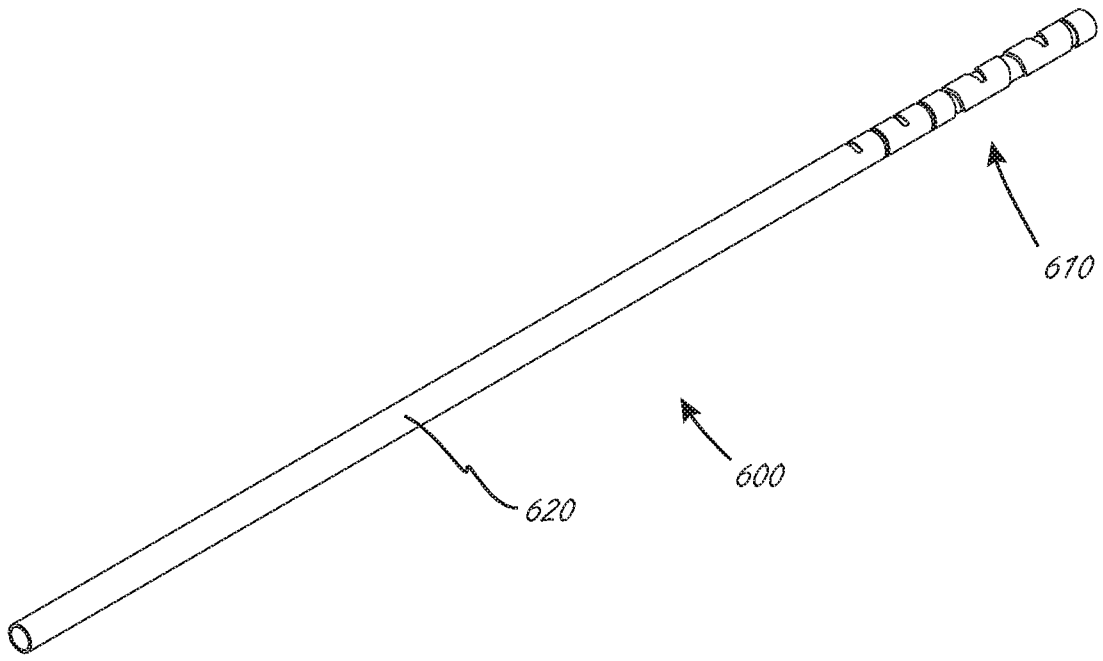


Fig. 6A

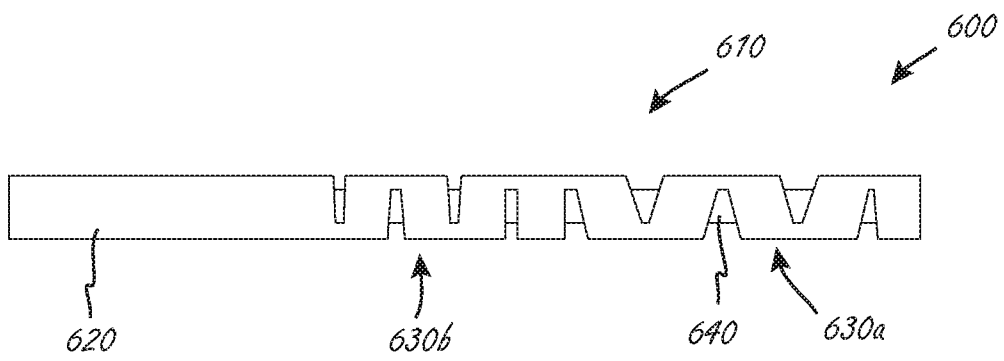


Fig. 6B

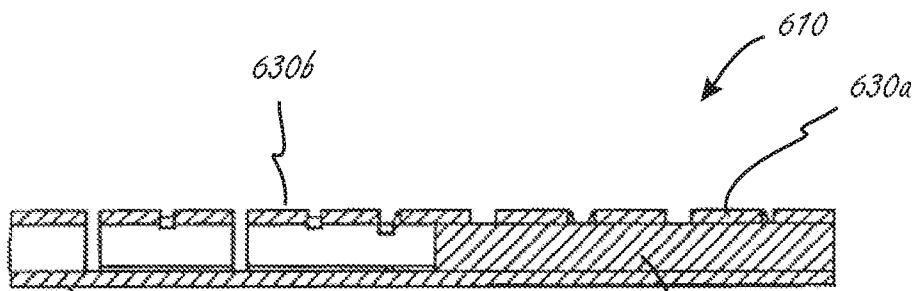


Fig. 6C

**A. CLASSIFICATION OF SUBJECT MATTER****A61F 9/007(2006.01)i, A61M 27/00(2006.01)i, A61L 31/14(2006.01)i**

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**Minimum documentation searched (classification system followed by classification symbols)  
A61F 9/007; A61B 17/00; A61F 9/00; A61F 9/008; A61M 27/00; A61M 37/00; A61L 31/14Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
Korean utility models and applications for utility models  
Japanese utility models and applications for utility modelsElectronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
eKOMPASS(KIPO internal) & Keywords: eye, glaucoma, anterior chamber, shunt, non-invasive energy, flow**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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Y		6, 22, 23
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A	JP 5576427 B2 (BARONOVA INC.) 20 August 2014 claims 1-7	1-23

 Further documents are listed in the continuation of Box C. See patent family annex.

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"&amp;" document member of the same patent family

Date of the actual completion of the international search

03 June 2020 (03.06.2020)

Date of mailing of the international search report

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