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**PETERSON J A ET AL: "STRUCTURAL AND FUNCTIONAL ASPECTS OF THREE MAJOR GLYCOPROTEINS OF THE HUMAN MILK FAT GLOBULE MEMBRANE", ADVANCES IN EXPERIMENTAL MEDICINE AND BIOLOGY, SPRINGER, US, vol. 501, 1 January 2001 (2001-01-01), pages 179-187, XP009052067, ISSN: 0065-2598**  
**RUEDA: "The role of dietary gangliosides on immunity and the prevention of infection", BRITISH JOURNAL OF NUTRITION, vol. 98, no. 1, 2007, pages s68-s73, XP002729764,**



# DESCRIPTION

## Field of invention

**[0001]** The present invention concerns a new use of nutritional compositions for infants for example infant formulas.

## Background

**[0002]** There are differences seen in morbidity between breastfed and formula-fed infants. Breastfed infants have less respiratory infections, ear infections and gastroenteritis than formula-fed infants. (A. L. Wright et al. BMJ, vol. 299, 946-949, B. Duncan et al. Pediatrics, 2003, vol. 91 (5) 867-873, G. Aniansson et al. Pediatr Infect Dis J, 1994, vol. 13 (3) 182-188 and K.G.

**[0003]** Dewey et al. J Pediatr, 1992, vol. 126 (No.5) part 1 695-702). One possible explanation is that breast milk contains more immune modulating substances than cow's milk.

**[0004]** Sialic acid is found in milk both bound to proteins, e.g. kappa-casein with its content of glyco macro peptide (cGMP), and lipid bound in gangliosides. Sialic acid is found in high concentrations in human brain and breast milk and has been proposed as a milk factor that could have an impact on the development of the central nervous system (B. Wang et al. Eur J Clin Nutr, (2003) 57, 1351-1369). Enrichment of sialic acid in the diet of piglets has been shown to improve memory and learning (B. Wang et al. Am J Clin Nutr, 2007;85:561-569).

**[0005]** Furthermore, long-chain polyunsaturated fatty acids (LCPUFA) have a clear impact on the developing nervous system. Breastfed children have higher levels of arachidonic acid (ARA) and docosahexaenoic acid (DHA) in both blood and brain than children who received formula without the addition of ARA and DHA (M. Makrides et al. Am J, Clin Nutr 1994;60:189-94).

**[0006]** Dietary sphingomyelin is probably also important for the development of an infant's nervous system. In studies with rat it is shown that enrichment with sphingomyelin in the diet increases the myelisation of the nervous system (K.S.T. Oshida et al. Pediatr Res, 2003. 53: p. 589-593). Breast milk contains sphingomyelin and the amount is between 5-13mg/100 ml. (Garcia et al Food Chem 2012 135(3): P1777-1783) *Phospholipid fingerprints of milk from different mammals determined by 31NMR*)

## Special background

**[0007]** Another document describing that breast-feeding is protective against otitis, lower respiratory tract infections and gastrointestinal infections is (Ip, S., et al., A summary of the Agency for Healthcare Research and Quality's evidence report on breastfeeding in developed countries. *Breastfeed Med*, 2009. 4 Suppl 1: p. S17-30.). Also commentary by the ESPGHAN Committee (JPGN, 2009, 49: p 112-125). This is probably due to antimicrobial factors in human milk including growth promoters of protective enteric bacteria agents, enzymes that lyse bacteria, antibodies, oligosaccharides, glycosylated proteins, antiviral lipids and leukocytes (Labbok, M.H., D. Clark, and A.S. Goldman, Breastfeeding: maintaining an irreplaceable immunological resource. *Nat Rev Immunol*, 2004. 4(7): p. 565-72.2). During the last decades, the milk fat globule membrane (MFGM), a small but biologically active milk fraction, has gained interest of having health promoting effects in several areas, including infections (Spitsberg, V.L., Invited review: Bovine milk fat globule membrane as a potential nutraceutical. *J Dairy Sci*, 2005. 88(7): p. 2289-94.3). The MFGM fraction has historically been discarded in the manufacturing of infant formula. Recently, bovine MFGM-enriched milk fractions have become commercially available, but, to our knowledge, MFGM supplementation to infant formula for prophylaxis and prevention of infections, especially otitis has never been studied before. MFGM comprises 120 different proteins in a phospholipidic double layer which surrounds fat droplets within the milk.

#### **Prior art**

**[0008]** None of the prior art discloses a nutritional composition for infants that can be used for prophylaxis and prevention of infections, especially otitis and thus reduce the use of antipyretics.

#### **Object of the Invention**

**[0009]** The present study is part of a randomized controlled trial with the overall aim to evaluate health effects on infants fed an experimental formula (EF) with reduced energy and protein content and with supplementation with a bovine MFGM fraction. Primary outcomes in the main trial were weight at 6 months, body composition at 4 months and cognitive function at 12 months. As described by the present inventors in WO2013/153071, the EF group up-regulated their ingested volumes during the intervention, hence the total energy and protein intake was similar in both groups during intervention, and linear and ponderal growth and body composition was similar until 6 months. The object that now has been evaluated was to elucidate whether the EF, compared to the standard formula (SF), can influence the risk of infectious diseases and other disease symptoms in formula-fed infants in a favorable way to levels more close to breast-fed infants. The hypothesis was that infants receiving the EF formula would have fewer infections during the first 12 months compared to infants receiving the SF formula, and at a level more similar to breast-fed infants.

#### **Summary of the invention**

**[0010]** A nutritional composition for an infant, especially an infant formula, as a ready-to-use product or a ready-to-use product reconstituted with water from a manufactured powder, containing whey protein or milk protein concentrate solids rich in phospholipids and rich in milk fat globule membrane (MFGM) for use in the prevention or prophylaxis of bacterial otitis; wherein the whey protein concentrate solids is rich in milk fat globule membrane (MFGM) as such and one or more of lactoferrin,  $\alpha$ -lactalbumin, butyrophilin, MUC1, PAS6/7 (lactadherin), gangliosides, CD14, TLR1 and TLR4, IgG, cGMP, sialic acid and phospholipids (for example sphingomyelin, phosphatidyl choline, phosphatidyl serine and phosphatidyl ethanolamine); wherein said whey protein or milk protein concentrate solids rich in phospholipids comprise at least 20 wt% phospholipids, preferably 20 to 70 wt% phospholipids, more preferably 25 to 55 wt% phospholipids, based on total lipid of the enriched phospholipid whey protein concentrate solid source; and wherein the composition comprises whey protein/milk protein concentrate solids rich in phospholipids and MFGM in 5-7 weight % of the total dry weight of said nutritional composition.

**Whey protein concentrates solids (rich in phospholipids)**

**[0011]** Whey protein concentrate solids rich in phospholipids used as a component in the nutritional composition according to the invention is a whey protein concentrate with a high concentration of bioactive proteins and lipids. The whey protein concentrate has a high nutritional value, and is applicable in infant and clinical nutrition. Whey protein/milk protein concentrate solids rich in phospholipids contain most of the insoluble membrane protein fragments from MFGM originally present in the whey, in addition to residual whey components, proteins, lactose and salts. The invention utilizes, whey protein concentrate solids rich in phospholipids obtained after removal of the major whey proteins by known industrial processing, such as filtration, ion-exchange chromatography, and the like. This fraction contains most of the insoluble membrane fragments, which contain protein and associated fat. Similar raw materials from different suppliers may be used as enriched phospholipid whey protein/ milk protein concentrate solids in a formula according to the invention.

**[0012]** The source of enriched phospholipid whey protein concentrate solids comprises at least 20 wt % phospholipids based on total lipid content, for example 20 to 70 wt % or for example 25 to 55 wt % phospholipids based on total lipid of the enriched phospholipid whey protein concentrate solid source.

**[0013]** The whey protein concentrate solids rich in phospholipids used in the nutritional composition according to the present invention is not depleted of cGMP.

**[0014]** The components of the whey protein concentrate solids rich in phospholipids may affect the development of the nervous system, morbidity and psychomotor development in a

positive manner for infants fed with the nutritional composition according to the invention compared to infants fed standard infant formula or the control formula according to the invention.

**[0015]** Phospholipids are important constituents of cellular membranes contributing significantly to the membrane structure and function.

**[0016]** From the results in this study it can be expected that the component whey protein/milk protein solids rich in phospholipids and MFGM added to a standard formulation also would be active for prevention and prophylaxis of bacterial otitis in children during the first six months of life.

**[0017]** Lacprodan MFGM-10 (from Arla foods) or similar raw materials from other suppliers may be used as enriched phospholipid whey protein concentrate solids in a formula according to the invention. For example the source of enriched phospholipid whey protein concentrate solids comprises at least 20 wt % phospholipids based on total lipid content, for example 20 to 70 wt % or for example 25 to 55 wt % phospholipids based on total lipid of the enriched phospholipid whey protein concentrate solid source.

**[0018]** Whey protein concentrate solids rich in phospholipids are administered either as a milk fat membrane MFGM or as the different ingredients defined above one by one, but mixed together. It is important that the content of phospholipids based on the total lipid content comprises at least wt 20% phospholipids based on total lipid content.

**[0019]** The formula described in PCT/EP2013/057405 (published as WO 2013/153071) may be used for the prophylaxis of bacterial otitis. No one has previously produced a formula with both low protein and low energy content, which in combination with this has high sialic acid content and high content of milk derived cholesterol with the additional characteristic that it has a prophylactic effect on bacterial otitis.

**[0020]** There is nothing in the literature that would instruct the person skilled in the art to use a similar formula in such a way. Since there are many different parameters which have to be considered it was not obvious how to compose the infant formula which fulfills the need of the infants as well as the commission directive, national legislations and recommendations within the paediatric nutritional field. It was then further unexpected that the formulation also had a prophylactic effect against bacterial otitis.

**[0021]** Below different embodiments to be used for prophylaxis of bacterial otitis are shown. The embodiments are exemplifying embodiments and not limiting the scope of the invention

**[0022]** Also nutritional infant formula on the market will benefit from the addition of whey protein concentrate solids rich in phospholipids and in MFGM as defined above and give a prophylactic or preventive effect.

**[0023]** In one embodiment of the invention the nutritional composition used according to the invention comprises;

- a total energy content of 67 kcal/100 ml or lower, for example 62 kcal/100 ml or lower, especially lower than 60 kcal/100 ml or between 58-62 kcal/100 ml or for example 58-60 kcal/100 ml
- a protein content of 1.25 g/100 ml or lower, or for example lower than 1.25 g/100 ml or for example between 1.1-1.25 g/100 ml or between 1.1 g/100 ml to lower than 1.25 g/100 ml
- whey protein/milk protein concentrate solids rich in phospholipids and MFGM containing 5-7 weight % of the total dry weight of the composition

**[0024]** In one embodiment of the invention the nutritional composition used according to the invention comprises

- a total energy content of 67 kcal/100 ml or lower
- a protein content which is 1.5 g/100 ml or lower,
- an energy content from protein of 7.2-8.6 percentage of the total energy content of the nutritional composition,
- an energy content from fat which is at least 47 percentage or more of the total energy content of the nutritional composition,
- a medium chain fatty acid content comprising 8 to 10 carbons which is less than 3 weight % of total amount of fatty acids,
- a sialic acid content of 10-25 mg/100 ml or higher,
- a cholesterol content of 4-10 mg/100 ml
- a sphingomyelin content of 7-15 mg/100 ml

**[0025]** In one embodiment of the invention the nutritional composition used according to the invention has a total energy content of 62 kcal/100 ml or lower and the composition comprises;

- a protein content which is 1.25 g/100 ml or lower,
- a cholesterol content of 5-10 mg/100 ml.
- whey protein concentrate solids rich in phospholipids and MFGM containing 5-7 weight % of the total dry weight of the composition

**[0026]** In one embodiment for use according to the invention the total energy content of the nutritional composition is 67 kcal/100 ml or lower and the composition comprises;

- a protein content which is 1.5 g/100 ml or lower,
- an energy content from protein of 7.2-8.6 percent of the total energy content of the

nutritional composition,

- an energy content from fat which is at least 47 percent or more of the total energy content of the nutritional composition,
- a medium chain fatty acid content comprising 8 to 10 carbons which is less than 3 weight % of total amount of fatty acids,
- a sialic acid content of 10-25 mg/100 ml or higher,
- a cholesterol content of 4-10 mg/100 ml
- a sphingomyelin content of 7-15 mg/100 ml
- whey protein/milk protein concentrate solids rich in phospholipids and MFGM in 5-7 weight % of the total dry weight of said nutritional composition.

**[0027]** In one embodiment the nutritional composition used according to the invention has a total energy content of 62 kcal/100 ml or lower and the composition comprises;

- a protein content which is 1.25 g/100 ml or lower,
- an energy content from protein of 7.8-8.4 percent of the total energy content of the nutritional composition,
- an energy content from fat which is at least 49 percent or more of the total energy content of the nutritional composition,
- a medium chain fatty acid comprising 8 to 10 carbons content which is less than 3 weight % of total amount of fatty acids,
- a sialic acid content of 10-25 mg/100 ml or higher,
- a cholesterol content of 5-10 mg/100 ml
- a sphingomyelin content of 9-15 mg/100 ml or higher
- whey protein/milk protein concentrate solids rich in phospholipids and MFGM containing 5-7 weight % of the total dry weight of the composition

**[0028]** In another embodiment of the invention the nutritional composition to be used according to the invention comprises;

- a total energy content of 62 kcal/100 ml or lower, or for example lower than 60 kcal/100 ml or between 58-62 kcal/100 ml or for example 58-60 kcal/100 ml
- a protein content of 1.25 g/100 ml or lower, or for example lower than 1.25 g/100 ml or for example between 1.1-1.25 g/100 ml or between 1.1 g/100 ml to lower than 1.25 g/100 ml.
- an energy content from protein of 7.8-8.4 percent of the total energy content of the nutritional composition or for example 8.0-8.3 percent of the total energy content of the nutritional composition
- a fat content which is at least 49 percent or more of the total energy content of the nutritional composition or especially 50 percent or more for example 52-53 energy% fat, for example 52.5 % of the energy of the formula is derived from fat.

- a medium chain fatty acid comprising 8 to 10 carbons content which is 0.5-3 wt % of total amount of fatty acids or for example 1-3 % or for example 1- 2 % of total amount fatty acids in the composition.
- sialic acid content of 18 mg/100 ml or higher, or between 18-25 mg/100 ml.
- a sphingomyelin content of 9-15 mg/ 100ml or more than 10mg/100 ml or especially 13 mg/100 ml.
- a cholesterol content of between 5-10 mg/100 ml or between 7-10 mg/100 ml or for example 0.2-0.3 weight % of cholesterol expressed as percentage of total fat content of the formula or for example 8 mg/100 ml, which is 0.23 wt % cholesterol expressed as percentage of total fat content of the formula.
- whey protein/milk protein concentrate solids rich in phospholipids containing 5-7 weight % of the total dry weight of the composition.

**[0029]** In another embodiment the nutritional composition used according to the invention further comprises

- lipid bound sialic acid as gangliosides of between 1.5-5 wt % of total sialic acid content, or for example 4 wt % lipid bound sialic acid of total sialic acid content.

**[0030]** In one embodiment the energy content used in the nutritional composition to be used according to the invention is for example 58-60 kcal/100 ml and 51.8 - 53.4 energy% fat. The protein content is for example 1.1-1.25 g/100 ml and 7.8 - 8.2 energy% protein, and a low NPN content, is for example an NPN content between 0.015-0.020 g/100 ml and a high sialic acid content is for example 18-20 mg/100 ml. The level of milk derived cholesterol is for example 7-9 mg/100 ml.

**[0031]** In another embodiment the energy content in the nutritional composition to be used according to the invention is 60 kcal/100 ml and the composition comprises 52.5 energy% fat. The protein content is 1.2 g/100 ml and the composition comprises 8 energy% protein. In another embodiment of the invention the energy content in the nutritional composition according to the invention is 60 kcal/100 ml and 52.5 energy% fat, the protein content is for example 1.2 g/100 ml and the composition comprises 8 energy% protein, with non-protein-nitrogen (NPN) content of 0.015-0.020 g/100 ml or for example 0.016 g/100 ml and 13 mg/100 ml of sphingomyelin and a high sialic acid content is for example 19 g/100 ml. The level of milk derived cholesterol is for example 8 mg/100 ml and the composition comprises a medium chain fatty acid (comprising 8 to 10 carbons) content which is less than 3 wt % of total amount of fatty acids.

**[0032]** A nutritional composition to be used according to the invention, may also refer to the composition of the invention as a powder suitable for making a liquid composition after reconstitution with water.

**[0033]** The nutritional composition to be used according to the invention may be prepared from powder by mixing 114 g of a nutritional composition powder with 900 ml water to make 1000 ml of liquid composition according to the invention.

**[0034]** The nutritional composition to be used according to the invention further comprises the following features in any combination;

**[0035]** In other embodiments to be used according to the invention the formula comprises 5-6 wt % whey protein concentrate solids rich in phospholipids and 12-15 wt % cream solids expressed as percentage of the total weight of the solids in the composition.

**[0036]** In one embodiment to be used according to the invention the formula further comprises a sphingomyelin content in the formula of for example between 9-15 mg/100 ml, or 10 mg/100 ml or higher for example 13 mg/100 ml.

**[0037]** Further embodiments are also alternative embodiments to be used according to the invention.

**[0038]** A nutritional composition to be used according to the present invention wherein the composition comprises intact or partly hydrolysed milk protein.

**[0039]** A nutritional composition to be used according to the present invention wherein the amino acid content in the composition is originated from sources selected from for example; sweet whey solids, casein solids, milk solids and cream solids.

**[0040]** A nutritional composition to be used according to the present invention wherein the sphingomyelin content in the composition according to the invention is between 9-15 mg/100 ml, or 10 mg/100 ml or higher, especially 13 mg/100 ml.

**[0041]** A nutritional composition to be used according to the present invention wherein the composition is comprised from the following raw materials;

- sweet whey solids 32-40 kg/1000 kg dry powder composition or between 32.6-39.9 kg/1000 kg dry powder composition
- sodium caseinate between 4.6-5.7 kg/1000 kg dry powder composition or between 4.66-5.69 kg/1000 kg dry powder composition
- skim milk solids between 66-81 kg/1000 kg dry powder composition or between 66.3-81 kg/1000 kg dry powder composition
- whey protein concentrate solids rich in phospholipids between 47-58 kg/1000 kg dry powder composition or between 47.1-57.6 kg/1000 kg dry powder composition
- cream solids between 117-143 kg/1000 kg dry powder composition.

**[0042]** A nutritional composition to be used according to the present invention wherein the composition comprises; sweet whey solids of 36.3 kg/1000 kg dry powder composition, sodium caseinate of 5.18 kg/1000 kg dry powder composition, skim milk solids of 73.7 kg/1000 kg dry powder composition, whey protein concentrate solids of 52.4 kg/1000 kg dry powder composition, cream solids of 130 kg/1000 kg dry powder composition.

**[0043]** A nutritional composition to be used according to the present invention wherein the values described above defining the ingredients in the composition in kg/1000 kg powder is the same when defining the ingredients in the composition by kg/8770 L of ready to drink nutritional composition.

**[0044]** Further the composition may comprise vitamins (see for example vitamins mentioned in Formula EF below), minerals (see for example minerals mentioned in Formula EF below), fats (see for example fats mentioned in Formula EF below or in the detailed description), lactose and/or other essential nutrients (for example choline, taurine, inositol, carnitine, fructo oligo saccharides (FOS), galacto oligo saccharides (GOS), probiotics or nucleotides).

**[0045]** Further, the nutritional composition used according to the invention may comprise smaller amounts of other ingredients, for example less than 7 wt % of the total formula weight. Examples of such other ingredients are other milk solids (not in specified formula) e.g. acid whey protein concentrate, butter milk solids, whole milk solids etc. Said other ingredients may be present as long as the specification of the nutritional composition, described above of the invention is fulfilled.

**[0046]** A nutritional composition to be used according to the present invention wherein the composition comprises; sweet whey solids of 36.3 kg/1000 kg dry powder composition, sodium caseinate of 5.18 kg/1000 kg dry powder composition, skim milk solids of 73.7 kg/1000 kg dry powder composition, whey protein concentrate solids rich in phospholipids of 52.4 kg/1000 kg dry powder composition, cream solids of 130 kg/1000 kg dry powder composition. Further the composition may comprise vitamins (see for example vitamins mentioned in Formula EF below), minerals (see for example minerals mentioned in Formula EF below), fats (see for example fats mentioned in Formula EF below), lactose and/or other essential nutrients (for example choline, taurine, inositol, carnitine).

**[0047]** Further, the nutritional composition to be used according to the invention may comprise other ingredients as long as the specification of the nutritional composition, described above of the invention is fulfilled.

## **Methods**

## **Inclusion**

**[0048]** From March 2008 to February 2012, 160 formula-fed infants (80 girls and 80 boys) and a breastfed reference (BFR) group with 80 infants (40 girls and 40 boys), all born at Umeå University Hospital, Umeå, Sweden, were recruited after inviting parents by telephone. Inclusion criteria were <2 months of age, gestational age at birth 37-42 weeks, birth weight 2500-4500 grams, absence of chronic illness, and exclusively formula-feeding or, for the breast-fed reference (BFR) group, exclusively breastfeeding at inclusion and mother's intention to exclusively breastfeed until 6 months. All groups were given a recommendation of only small amounts (taste portions) complementary foods between 4 and 6 months.

#### ***Randomization and blinding***

**[0049]** Formula-fed infants were stratified for sex and randomized in a computerized model with blocks of 8 to receive EF or SF from inclusion until 6 months of age. Twins were co-randomized to the same intervention group. The intervention was blinded both to parents and staff until all infants had finished the intervention. The intervention was blinded to parents until all infants had completed follow-up. Formula powder was distributed to families together with preparation instructions in identical boxes marked with a code number.

#### ***Study formula***

**[0050]** BabySemp® was used as SF and the EF was modified from this. Four weight percent of the protein in the EF came from supplementation with a bovine MFGM-enriched whey protein concentrate (Lacprodan® MFGM-io, Arla Foods Ingredients, Denmark). Macronutrient composition of the EF and SF are presented in **Table 1**.

**Table 1**

| Macronutrient contents in the experimental formula (EF) and standard formula (SF) per 100 ml. |      |      |
|-----------------------------------------------------------------------------------------------|------|------|
|                                                                                               | EF   | SF   |
| Energy (kcal)                                                                                 | 60   | 66   |
| Proteins (g)                                                                                  | 1.20 | 1.27 |
| Casein (g)                                                                                    | 0.35 | 0.50 |
| Whey (g)                                                                                      | 0.85 | 0.80 |
| Carbohydrates/lactose (g)                                                                     | 6.0  | 7.4  |
| Lipids (g)                                                                                    | 3.5  | 3.5  |
| Saturated FA (g)                                                                              | 1.35 | 1.30 |
| Monounsaturated fatty acids (g)                                                               | 1.35 | 1.40 |
| Polyunsaturated fatty acids (g)                                                               | 0.60 | 0.60 |

| Macronutrient contents in the experimental formula (EF) and standard formula (SF) per 100 ml. |     |     |
|-----------------------------------------------------------------------------------------------|-----|-----|
|                                                                                               | EF  | SF  |
| Linoleic acid (mg)                                                                            | 460 | 460 |
| $\alpha$ -linolenic acid (mg)                                                                 | 70  | 70  |
| Arachidonic acid (mg)                                                                         | 15  | 15  |
| Docosahexaenoic acid (mg)                                                                     | 9   | 9   |
| Cholesterol (mg)                                                                              | 8   | 4   |
| Phospholipids (mg)                                                                            | 70  | 30  |

### **Measurements**

**[0051]** Visits were made at inclusion (<2 months), 4 months, 6 months and 12 months. At each visit, anthropometric data, blood and fecal samples were obtained. Parents were asked to complete a symptom diary every day during the intervention period (until 6 months of age) with stool consistency and frequency, any disease symptoms, medication or hospitalization. Stool consistency on every portion was reported as a score from 1 (watery diarrhea) to 4 (hard stools). Between 6-12 months, parents were asked to write down any disease symptom, medication or hospitalization in the diary. Diaries were collected at every visit. All collected symptom diaries were included in the analysis.

### **Statistical analyses**

**[0052]** Statistical calculations were made using IBM SPSS Statistics Version 19 (©IBM 1989, 2010). All analyses were made on an intention-to-treat basis. Days with disease symptoms and antibiotics use were compared with the non-parametric Mann-Whitney U test. Comparisons of proportions were made by Chi-Square test or, if any cell had an expected count <5, Fisher's Exact Test (2-sided).

### **Results**

**[0053]** Rates of drop-outs and non-compliance are shown in Figure 1. From inclusion until 6 months of age, symptom diaries recorded by parents were collected from 75 (94%), 74 (93%) and 74 (93%) of the infants covering 89%, 89% and 90% of the total number of study days for the EF, SF and BFR group, respectively. Between 6 and 12 months of age, symptom diaries were collected from 57 (75%), 58 (81%) and 64 (89%) of the infants in the EF, SF and BFR group, respectively.

**[0054]** Number of infants included in the study, included in the analysis (white boxes) and following the intervention/still breast-feeding at 6 months (grey boxes) for the experimental formula (EF), standard formula (SF) and breast-fed reference (BFR) groups.

### **Infections**

**[0055]** During the intervention, from inclusion until 6 months of age, parents of infants fed the EF reported fewer episodes of acute otitis media (AOM) treated with antibiotics compared to parents of infants fed the SF. There were no other significant differences between the formula groups in bacterial infections treated with antibiotics or viral infections leading to hospitalization before 6 months of age, nor between 6 and 12 months of age (**Table 2**).

**Table 2**

| Bacterial infections treated with antibiotics and viral infections leading to hospitalization during the first 12 months of life for the experimental formula (EF), the standard formula (SF) and breast-fed reference (BFR) groups, number (%) of infants. |                    |                    |                    |                    |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|--------------------|--------------------|--------------------|
|                                                                                                                                                                                                                                                             | EF                 | SF                 | p-value (EF vs SF) | BFR                |
| <i>Inclusion - 6 months</i>                                                                                                                                                                                                                                 |                    |                    |                    |                    |
| Otitis                                                                                                                                                                                                                                                      | 1 (1)              | 7 (9)              | 0.034              | 0 (0)              |
| Pneumonia                                                                                                                                                                                                                                                   | 0 (0)              | 1 (1)              | 1.0                | 0 (0)              |
| Other invasive bacterial infection                                                                                                                                                                                                                          | 1 (1) <sup>a</sup> | 0 (0)              | 1.0                | 0 (0)              |
| Other non-invasive bacterial infection                                                                                                                                                                                                                      | 0 (0)              | 0 (0)              | 1.0                | 5 (7) <sup>b</sup> |
| Gastroenteritis, hospitalized                                                                                                                                                                                                                               | 0 (0)              | 2 (3)              | 0.25               | 0 (0)              |
| Other viral infection, hospitalized                                                                                                                                                                                                                         | 1 (1) <sup>c</sup> | 1 (1) <sup>c</sup> | 1.0                | 1 (1) <sup>c</sup> |
| <i>6 - 12 months</i>                                                                                                                                                                                                                                        |                    |                    |                    |                    |
| Otitis                                                                                                                                                                                                                                                      | 6 (11)             | 4 (7)              | 0.53               | 4 (6)              |
| Pneumonia                                                                                                                                                                                                                                                   | 1 (2)              | 2 (3)              | 1.0                | 2 (3)              |
| Other invasive bacterial infection                                                                                                                                                                                                                          | 0 (0)              | 0 (0)              | 1.0                | 0 (0)              |
| Other non-invasive bacterial infection                                                                                                                                                                                                                      | 2 (4) <sup>d</sup> | 2 (4) <sup>e</sup> | 1.0                | 2 (3) <sup>f</sup> |
| Gastroenteritis, hospitalized                                                                                                                                                                                                                               | 1 (2)              | 2 (3)              | 1.0                | 0 (0)              |
| Other viral infection, hospitalized                                                                                                                                                                                                                         | 0 (0)              | 3 (5) <sup>g</sup> | 0.24               | 1 (2) <sup>h</sup> |

- <sup>a</sup> Urinary tract infection  
<sup>b</sup> Conjunctivitis (n=4), skin infection (n=1)  
<sup>c</sup> Obstructive bronchitis  
<sup>d</sup> Conjunctivitis (n=1), skin infection (n=1)  
<sup>e</sup> Skin infection (n=2)  
<sup>f</sup> Skin infection (n=1), fungal stomatitis (n=1)  
<sup>g</sup> Obstructive bronchitis (n=1), viral infection not otherwise specified (n=1), febrile seizure (n=1)  
<sup>h</sup> Obstructive bronchitis

**[0056]** The EF group reported less antipyretics use during intervention compared to the SF group (**Table 3**). 61 (81%), 59 (80%) and 63 (85%) of the infants had received pneumococcal vaccine from 3 months of age in the EF, SF and BFR group, respectively.

**Table 3**

| Consumed infection-related medication for the experimental formula (EF), the standard formula (SF) and breast-fed reference (BFR) groups (number (%) of infants and total number of days). |         |      |         |      |                    |            |         |      |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|------|---------|------|--------------------|------------|---------|------|
|                                                                                                                                                                                            | EF      |      | SF      |      | p-value (EF vs SF) |            | BFR     |      |
|                                                                                                                                                                                            | n (%)   | Days | n (%)   | Days | Proportion         | No of days | n (%)   | Days |
| <i>Inclusion - 6 months</i>                                                                                                                                                                |         |      |         |      |                    |            |         |      |
| Antibiotics                                                                                                                                                                                | 2 (3)   | 18   | 7(9)    | 42   | 0.086              | 0.092      | 5(7)    | 58   |
| Antipyretics                                                                                                                                                                               | 19 (25) | 54   | 32 (43) | 118  | 0.021              | 0.016      | 33 (45) | 119  |
| Airway dilating                                                                                                                                                                            | 13 (17) | 63   | 14 (19) | 77   | 0.80               | 0.79       | 15 (20) | 142  |
| <i>6 months - 12 months</i>                                                                                                                                                                |         |      |         |      |                    |            |         |      |
| Antibiotics                                                                                                                                                                                | 8 (14)  | 61   | 8 (14)  | 101  | 0.97               | 0.87       | 8 (13)  | 77   |
| Antipyretics                                                                                                                                                                               | 28 (49) | 137  | 30 (52) | 148  | 0.78               | 0.77       | 38 (59) | 226  |
| Airway dilating                                                                                                                                                                            | 15 (26) | 316  | 18 (31) | 150  | 0.58               | 0.57       | 30 (47) | 278  |

**[0057]** In conclusion, the present study has shown that supplementation with a bovine MFGM fraction to infant formula decreases the incidence of acute otitis media (AOM) in formula-fed infants between 0-6 months of age to a level similar to breast-fed infants. The effect is probably due to antimicrobial and/or immuno stimulating factors of MFGM previously not present in infant formula or present in a lower concentration than in human milk.

**[0058]** Further the production method of the invention is described according to the following

embodiments;

**[0059]** A method for producing a nutritional composition for use according to the present invention in order to get a high sialic acid content, a high sphingomyelin content and a high cholesterol content in combination with low protein and energy content, comprising the steps;

- providing ingredients per 1000 kg dry powder or per 8770 L ready to drink nutritional composition;
  - sweet whey solids between 32-40 kg/1000 kg dry powder composition or per 8770 L ready to drink nutritional composition
  - sodium caseinate between 4.6-5.7 kg/1000 kg dry powder composition or per 8770 L ready to drink nutritional composition
  - skim milk solids between 66-81 kg/1000 kg dry powder composition or per 8770 L ready to drink nutritional composition
  - whey protein concentrate solids rich in phospholipids between 47-58 kg/1000 kg dry powder composition or per 8770L ready to drink nutritional composition
  - cream solids between 117-143 kg/1000 kg dry powder composition or per 8770 L ready to drink nutritional composition
  - Further additionally comprising for example vitamins, minerals, fats, lactose and other essential nutrients (for example choline, taurine, inositol, carnitine); and,
  - mixing the ingredients.

**[0060]** A method for producing a nutritional composition for use according to the invention wherein no free amino acids are added other than naturally occurring free amino acids present in milk raw materials.

**[0061]** It is further possible to add small amounts of other ingredients into the composition for use according to the invention as long as the specification of the nutritional composition, described above of the invention is fulfilled. For example, acid whey solids can be used.

**[0062]** A method for producing a nutritional composition for use according to the invention wherein no free amino acids are added other than the additions made by adding raw materials from sources selected from; sweet whey solids, casein solids, milk solids and cream solids.

#### **Detailed description**

**[0063]** Definition of an infant is a child under the age of 12 months.

**[0064]** The use of dairy cream as an ingredient in the present nutritional composition (or the use of dairy cream solids when making a nutritional composition powder to be mixed with water) contributes to the high levels of milk derived cholesterol in the nutritional composition

according to the invention. This dairy cream usage contributes to the high levels of cholesterol (5-10 mg/100 ml) in the composition according to the invention. Due to the special composition according to the invention the addition of cholesterol as an ingredient (due to addition of dairy cream and whey protein concentrate rich in phospholipids) gives a similar blood cholesterol level as in breastfed infants during the feeding period but probably also later in life for those infants fed with the nutritional composition according to the invention.

**[0065]** The cream also improves the taste of the invention formula. The formula also contains whey protein concentrate rich in phospholipids which also contribute to the content of milk derived cholesterol in the invention formula as well as of the milk derived phospholipid sphingomyelin. Sphingomyelin is included in an amount of preferably 10 mg/100 ml or higher. The invention formula comprises for example on dry basis between 26-32 weight percent fat or for example on dry basis 30.7 weight percent fat whereof between 15-19 weight percent of the total amount fat is vegetable fat, or for example 18.5 weight percent of total fat content is vegetable fat.

**[0066]** The content of medium chain fatty acids (MCT) comprising 8 to 10 carbons in the nutritional composition according to the invention is for example 0.5 - 3 weight percent of total amount of fatty acids or for example 1- 2 weight percent of total amount fatty acids in the composition. The invention formula mimics the content of MCT in breast milk. Mature breast milk has 1-2 weight percent MCT of total fatty acids (R.A. Gibson et al. Am. J. Clin. Nutr. 34: 252-257, 1981).

**[0067]** Further sweet whey, cream and whey protein concentrate rich in phospholipids, all comprise cGMP. By, for example not removing cGMP from the nutritional composition according to the invention the sialic acid content is kept high. High sialic acid content is for example about 10-25 mg/100 ml or between 18-20 mg/100 ml of the nutritional composition according to the invention. By not removing cGMP from the raw materials used to produce the formula, the nutritional composition according to the invention is likely to for example increase the level of sialic acid in saliva (more similar to breastfed) . The higher intake of sialic acid can have an effect on for example morbidity and cognitive behavior in the invention formula-fed infants.

**[0068]** Sphingomyelin is the major component of the phospholipid fraction in breast milk, and is found in lower concentrations in conventional nutritional compositions compared to the formula according to the invention. Sphingomyelin is metabolized to ceramide which concentration correlates with degree of myelination of the nervous system. Experiments on rats with experimentally inhibited myelination have shown that supplements of sphingomyelin increases myelination (K. Oshida et al. Pediatr Res, 2003, vol. 53 (4) 589-593). The milk derived sphingomyelin content in the formula according to the invention is 9 mg/100 ml or higher, or between 9-15 mg/100 ml, or 13 mg/100 ml.

**[0069]** The nutritional composition according to the invention comprises a special composition of raw materials. The nutritional composition according to the present invention still has a low

burden-effect on the metabolic system of the formula-fed infant although it comprises cGMP.

**[0070]** The levels of arachidonic acid (ARA) and docosahexaenoic acid (DHA) in the nutritional composition according to the invention are of similar levels as present in breast milk. (Brenna et al DHA and ARA concentrations in human breast milk worldwide Am J Clin Nutr 85, P1457-1464).

**[0071]** The present invention provides a nutritional composition and a method for producing such a composition.

### **Non-Protein-Nitrogen**

**[0072]** Non-protein nitrogen (NPN) is a term used to refer collectively to components which are not proteins which are present in food and which comprise nitrogen. (NPN in milk is mainly urea-nitrogen (about 50%), creatine, creatinine, NH<sub>3</sub> etc.)

**[0073]** Since it is important not to have a surplus of nitrogen in formulas for infants we have endeavoured to have a low level of NPN < 20 mg/100 ml in the nutritional composition according to the invention, for example a low non-protein nitrogen (NPN) value between 0.015-0.020 g/100 ml. Surprisingly the invention formula has a low NPN value although it has a totally new composition of raw materials.

### **Amino acid profile**

**[0074]** It is known that an infant formula needs a predetermined amino acid profile to fulfill the children's need. This is also regulated by the government Commission Directive 2006/141/EC. The amino acid supply in an infant's first months of life must be sufficient in quantity as well as quality to fulfill the needs of this period of life. Guidelines, recommendations with minimum values have been established with regard to amino acid composition of infant formulas. An amino acid profile of the nutritional composition according to the invention is presented in the table below. The clinical study confirms that the amino acid composition in the invention formula is adequate for the requirement for growth.

| <b>Amino acid</b> | <b>Minimum value<br/>Commission Directive<br/>(mg/100 kcal)</b> | <b>Interval according to the<br/>invention(mg/100 kcal)</b> |
|-------------------|-----------------------------------------------------------------|-------------------------------------------------------------|
| Leucine           | 166                                                             | 197-229 or 217                                              |
| Lysine            | 113                                                             | 165-192 or 180                                              |
| Methionine        | 23                                                              | 36-42 or 40                                                 |
| Cystine           | 38                                                              | 40-46 or 44                                                 |
| Phenylalanine     | 83                                                              | 83-96 or 87                                                 |

| Amino acid              | Minimum value<br>Commission Directive<br>(mg/100 kcal) | Interval according to the<br>invention(mg/100 kcal) |
|-------------------------|--------------------------------------------------------|-----------------------------------------------------|
| Tyrosine                | 76                                                     | 64-74 or 72                                         |
| Threonine               | 77                                                     | 114-132 or 124                                      |
| Tryptophan              | 32                                                     | 35-41 or 39                                         |
| Valine                  | 88                                                     | 116-135 or 127                                      |
| Isoleucine              | 90                                                     | 116-135 or 127                                      |
| Histidine               | 40                                                     | 46-53 or 50                                         |
| Cystine+Methionine*     | 61                                                     | 76-88 or 84                                         |
| Phenylalanine+Tyrosine* | 159                                                    | 147-170 or 159                                      |

[0075] The concentration of methionine and cysteine can be calculated together since the amino acid cysteine can be formed from methionine. Further, the concentration of tyrosine and phenylalanine can be calculated together since the amino acid tyrosine can be formed from phenylalanine. Therefore also combined levels of these amino acids are specified in the table above\*.

#### **Amino acid sources of the present nutritional composition:**

[0076] The formula according to the invention has a composition which is such that the wanted amino acid profile is achieved without addition of any free amino acid. The formula according to the invention is manufactured without addition of any free amino acid (or isolated amino acids) to get a desired amino acid profile.

[0077] The wording free amino acids or isolated amino acids in this application mean descriptions for an amino acid substance which is isolated as a free acid or as a salt.

[0078] The formula according to the present invention is therefore not enriched with isolated amino acids, for example free amino acids. This is an advantage since free amino acids are usually bitter in taste. It is also expensive to add amino acids in their pure form. By using the ingredients according to the present invention the nutritional composition according to the invention does not need further addition of free or isolated amino acids and is therefore more similar to breast milk in taste.

#### **Production method**

[0079] The formula according to the invention is according to one embodiment produced by mixing the below ingredients in kg per 1000 kg nutritional composition dry powder or kg per

8770 L finished nutritional composition.

| Raw material                                            | Interval in the formula according to the invention (kg/1000 kg dry powder or kg/8770 L) | Amount in the formula according to the invention (kg/1000 kg dry powder or kg/8770 L) |
|---------------------------------------------------------|-----------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| Sweet whey solids                                       | 32-40                                                                                   | $36 \pm 2$                                                                            |
| Sodium caseinate                                        | 4.6-5.7                                                                                 | $5.2 \pm 0.3$                                                                         |
| Skimmed milk solids                                     | 66-81                                                                                   | $74 \pm 4$                                                                            |
| Whey protein concentrate solids (rich in phospholipids) | 47-58                                                                                   | $52 \pm 3$                                                                            |
| Cream solids                                            | 117-143                                                                                 | $130 \pm 6$                                                                           |

**[0080]** The nutritional composition may further comprise vitamins, minerals, fats, lactose and/or other essential nutrients (for example choline, taurine, inositol, carnitine, nucleotides).

**[0081]** Further, the nutritional composition according to the invention may comprise other ingredients as long as the specification of the nutritional composition, described above of the invention is fulfilled.

#### **Sweet whey**

**[0082]** Sweet whey is rich in  $\alpha$ -lactalbumin. The high  $\alpha$ -lactalbumin content makes it ideally suited as a protein source in infant formulas in order to fulfil desired amino acid pattern. Sweet whey also contains cGMP which is a source of sialic acid.

#### **Cream**

**[0083]** Cream is a natural and valuable source of short and medium chain fatty acids as well as milk derived cholesterol. The milk derived phospholipids in cream are besides important nutritional substances also valuable emulsifiers. The formula according to the invention may be manufactured using cream or cream solids. The fat content of the cream used according to the invention is for example of 36-40 wt % fat or especially 37 wt % fat.

#### **Caseinate**

**[0084]** Sodium caseinate or partly other salts of caseinates may be used in the invention formula.

**Hydrolysed protein**

**[0085]** The protein source in the formula to be used according to the invention may be a hydrolysed protein.

**[0086]** Below is an exemplified description of the production process of the formula to be used according to the invention, the following example of the invention is not limiting the scope of the invention:

The present invention provides a ready to drink nutritional composition or a powder formula intended to be reconstituted with water to a ready to drink nutritional composition and a method for producing such compositions.

**[0087]** In one embodiment the composition is a powder suitable for making a liquid composition after reconstitution with water. Alternatively the composition is for example a ready to use liquid product.

**[0088]** Below is an example of ingredients for making the nutritional composition to be used according to the invention.

**[0089]** Example of amounts of ingredients (kg/1000 kg) of a dry powder formula to be used according to the invention which is intended to be reconstituted with water before usage (amounts of ingredients for the a ready to drink formula is below described in kg/8770 L);

| <b>Description</b>                                    | <b>Amount (interval) (kg/1000 kg or kg/ 8770 L))</b> |
|-------------------------------------------------------|------------------------------------------------------|
| Lactose                                               | 476-527                                              |
| Cream solids                                          | 123-136                                              |
| Skim milk solids                                      | 70.0-77.3                                            |
| Rape seed oil                                         | 51.6-57.0                                            |
| Palm olein oil                                        | 51.6-57.0                                            |
| Whey protein concentrate solids rich in phospholipids | 49.7-55.0                                            |
| Sun flower oil                                        | 38.3-42.3                                            |
| Sweet whey solids                                     | 34.5-38.1                                            |
| Sun flower oil HO                                     | 24.9-27.6                                            |
| Minerals                                              | 16.1-17.8                                            |
| Sodium caseinate                                      | 4.92-5.44                                            |
| Dry lecithin                                          | 4.26-4.70                                            |
| Arachidonic acid oil                                  | 1.60-3.50                                            |
| Docosahexaenoic acid oil                              | 1.60-2.17                                            |

| Description                                 | Amount (interval) (kg/1000 kg or kg/ 8770 L)) |
|---------------------------------------------|-----------------------------------------------|
| Vitamin mix                                 | 1.29-1.43                                     |
| Choline, taurine, myo-inositol, L-carnitine | 1.21-1.34                                     |

**Examples of production methods according to the invention, not limiting the scope of the invention;**

**Production method of a powder nutritional composition according to the invention:**

**[0090]** Milk based raw materials are mixed to a slurry. Standardized milk or milk powder and liquid whey or whey powder are mixed, if necessary, with additional water. Suitable equipment mixes the slurry in a tank with negative pressure to reduce foaming and incorporation of air.

**[0091]** Emulsifier and fat soluble vitamins are added to a blend of vegetable oils. The fat phase is then incorporated in the milk phase either in the mixing tank or dosed in line before homogenization. In line dosing of oil means that a part of the milk phase is heated, oil is dosed into the stream, homogenized and cooled down again.

**[0092]** Water soluble vitamins, additives such as taurine and minerals with a pro oxidative effect such as ferrous- and copper salts are added just before concentrating the slurry by means of a finisher to a final dry matter content of 50 - 55 %. The concentrate is then heat treated to ensure the microbiological quality, spray dried, the powder cooled and stored. After quality control, product is packed or, if it is a semi product, first blended, combining the spray dried semi product with additional minerals, vitamins, bioactive ingredients and citric acid.

**Production method of a Ready-to-drink nutritional composition according to the invention:**

**[0093]** Water, made alkaline with calcium hydroxide, is mixed with whey or whey powder. The solution is neutralized before adding the carbohydrate source and standardized milk. Additional ingredients such as choline, taurine, inositol and carnitine are added before pasteurisation and fat phase injection and homogenization. The fat phase is made up of vegetable oils, emulsifiers and fat soluble vitamins. Before sterilization, vitamins are added and quality check is performed. The product is UHT-treated, a quick heat treatment at about 140 °C for 5 seconds, cooled and aseptically packed.

**[0094]** (UHT means Ultra High Temperature. Products from an UHT-process have good

keeping qualities with retained nutritional values).

**[0095]** Below is an example of the nutritional composition according to the invention and also a description of a control formula, both used in a comparative study. The below example of the invention is not limiting to the scope of the invention;

**[0096]** The infant formula (Formula EF) used in the study is an example of a nutritional composition according to the invention. The Control Formula (SF) is used for comparison. The comparative Control Formula (SF) is a representative infant formula of good quality available on the market. Compare also the specification of the formulas in Table 1 above

**[0097]** The formulas included in the study have the following compositions (see table below)-  
Formula EF is an example of the nutritional composition according to the invention;

| Description                                             | Formula EF<br>(kg/1000 kg) | Control Formula (SF)<br>(kg/1000 kg) |
|---------------------------------------------------------|----------------------------|--------------------------------------|
| Lactose                                                 | 502                        | 536                                  |
| Cream solids                                            | 130                        | 89.6                                 |
| Skim milk solids                                        | 73.7                       | 105                                  |
| Rape seed oil                                           | 54.3                       | 43.4                                 |
| Palm olein oil                                          | 54.3                       | 75.9                                 |
| Whey protein concentrate solids (rich in phospholipids) | 52.4                       |                                      |
| Sun flower oil                                          | 40.3                       | 30.7                                 |
| Sweet whey solids                                       | 36.3                       | 61.1                                 |
| Sun flower oil HO                                       | 26.3                       | 30.7                                 |
| Minerals                                                | 17.0                       | 10.1                                 |
| Sodium caseinate                                        | 5.18                       | 6.56                                 |
| Dry lecithin                                            | 4.48                       | 4.03                                 |
| Arachidonic acid oil                                    | 3.33                       | 2.91                                 |
| Docosahexaenoic acid oil                                | 2.07                       | 1.80                                 |
| Vitamin mix                                             | 1.38                       | 1.48                                 |
| Choline, taurine, myo-inositol, L-carnitine             | 1.27                       | 1.04                                 |
| L-arginine                                              |                            | 0.689                                |
| Potassium citrate                                       | 4.68                       | 2.58                                 |
| Calcium carbonate                                       | 3.33                       | 3.33                                 |
| Potassium chloride                                      | 3.08                       | 2.19                                 |
| Calcium hydrogenphosphate                               | 1.94                       |                                      |
| Magnesium sulphate                                      | 1.78                       | 0.583                                |

| Description              | Formula EF<br>(kg/1000 kg) | Control Formula (SF)<br>(kg/1000 kg) |
|--------------------------|----------------------------|--------------------------------------|
| Sodium chloride          | 1.40                       | 0.972                                |
| Ascorbic acid            | 1.08                       | 1.22                                 |
| Choline chloride         | 0.648                      | 0.486                                |
| Sodium citrate           | 0.500                      |                                      |
| Taurine                  | 0.415                      | 0.370                                |
| Ferrous sulphate         | 0.178                      | 0.150                                |
| myo-Inositol             | 0.135                      | 0.120                                |
| Zinc sulphate            | 0.0863                     | 0.0769                               |
| Ascorbyl palmitate       | 0.0782                     | 0.0751                               |
| L-carnitine              | 0.0756                     | 0.0656                               |
| Vitamin D3               | 0.0539                     | 0.0480                               |
| Vitamin A                | 0.0476                     | 0.0424                               |
| DL-a-tocopherol          | 0.0350                     | 0.0362                               |
| Calcium D-pantothenate   | 0.0296                     | 0.0263                               |
| Niacin                   | 0.0180                     | 0.0160                               |
| Copper sulphate          | 0.00846                    | 0.00754                              |
| Thiamine hydrochloride   | 0.00676                    | 0.00602                              |
| Vitamin K1               | 0.00561                    | 0.00500                              |
| Pyridoxine hydrochloride | 0.00546                    | 0.00486                              |
| Potassium iodide         | 0.00073                    | 0.00073                              |
| Folic acid               | 0.00062                    | 0.00055                              |
| Sodium selenite          | 0.00039                    | 0.00039                              |
| Biotin                   | 0.00011                    | 0.00010                              |

**[0098]** Formula EF, which is a nutritional composition to be used according to the invention, with the ingredients described above, comprises the nutritional values presented below as ready for consumption (114 g of powder formula EF is mixed with 900 ml water which gives 1000 ml ready to drink product);

- a total energy content of 60 kcal/100 ml,
- a protein content of 1.2 g/100 ml,
- an energy content from protein of 8.0 (E%) of the total energy content of the nutritional composition
- a protein:energy ratio of 2.0/100 kcal
- a fat content which is 52.5 (E%) of the total energy content of the nutritional composition according to Formula EF

- a medium chain fatty acid (comprising 8 to 10 carbons) content of 1.6 wt % of total amount of fatty acids in Formula EF
- sialic acid content of 19 mg/100 ml
- a cholesterol content of 8 mg/100 ml
- lipid bound sialic acid as gangliosides of 4 wt % of total sialic acid content
- sphingomyelin content of 13 mg/100ml

**[0099]** Formula EF has a high content of sialic acid from natural sources compared to standard formulas. The sialic acid content comes from two different sources, one, from whey protein concentrate solids (rich in phospholipids), which is lipid bound and the other from GMP, which is bound to carbohydrates. Formula EF has a high milk derived content of sphingomyelin from natural sources compared to the Control Formula (SF), namely whey protein concentrate solids (rich in phospholipids). It is an advantage to use said natural sources of sialic acid and sphingomyelin.

**[0100]** Energy % (E%) is a normal way to express the amount of kcal, which comes from fat, protein and carbohydrates in a nutritional formulation. The National food agency in Sweden (Livsmedelsverket) has identified this on [http://www.slv.se/sv/grupp1/Mat-och\\_naring/naringsrekommendationer/Kalorier-kilojoule-och\\_energiprocent---hur\\_raknar-man/](http://www.slv.se/sv/grupp1/Mat-och_naring/naringsrekommendationer/Kalorier-kilojoule-och_energiprocent---hur_raknar-man/)

**[0101]** The control formula, with the ingredients described in the table above, comprises the nutritional values presented below as ready for consumption (130 g of powder control formula is mixed with 900 ml water gives 1000 ml ready to drink product);

- a total energy content of 66 kcal/100 ml
- a protein content of 1.27 g/100 ml,
- a protein energy % content of 7.7 of the total energy content of the nutritional composition according to the control formula
- a fat energy % content of 44.7 of the total energy content of the nutritional composition according to the control formula
- a medium chain fatty acid (comprising 8 to 10 carbons) content of 1.5 wt % of total amount of fatty acids in the control formula
- sialic acid content of 16 mg/100 ml
- a cholesterol content of 4 mg/100 ml
- lipid bound sialic acid as gangliosides of 2 wt % of total sialic acid content according to the control formula
- sphingomyelin 1.8 mg

## **Study**

**Study design**

**[0102]** The study is a randomized double-blind intervention trial with exclusively breastfed infants as a reference group which means that three groups of children participate in the study:

1. 1) Children who are breastfed.
2. 2) Children who receive experimental infant formula, (EF), according to the invention (see description above).
3. 3) Children who receive a representative infant formula of good quality, herein called "Control Formula" (SF) (see description above).

**[0103]** The study was set up to detect a possible difference of 0.5 standard deviation (SD) for each outcome variable, which corresponds to a weight difference of about 0.4 kg at 6 months or a difference of 3.25 percent of body fat measured by plethysmography at 2 months of age. The visual acuity equivalent to 0.5 SD is 0.25 octaves at 4 months of age, which is the difference seen when compared to children with feeding with or without DHA supplement. With a statistical "power" of 80 % a group size of just over 60 children is required. 80 children were recruited per group, which gave a sufficient number of children per group completing the study. Figure 1.

**Discussion**

**[0104]** The hypothesis, that MFGM supplementation to infant formula would give a reduction in infectious morbidity, was supported by the findings that infants fed the EF had a lower incidence of AOM and a reduced number of days with antipyretic medication during the intervention period. Observational studies have shown a clear protective effect of breastfeeding on some infections (Ip, S., et al., A summary of the Agency for Healthcare Research and Quality's evidence report on breastfeeding in developed countries. Breastfeed Med, 2009. 4 Suppl 1: p. S17-30.), and several studies with supplementation of different factors to infant formula have been performed in order to try to narrow this gap. Lactoferrin supplementation to infant formula was associated with fewer lower respiratory tract infections during the first year of life ( King, J.C., Jr., et al., A double-blind, placebo-controlled, pilot study of bovine lactoferrin supplementation in bottle-fed infants. J Pediatr Gastroenterol Nutr, 2007. 44(2): p. 245-514).

**[0105]** Several components of MFGM have been described to have an antimicrobial effect. In a proteomic characterization of human MFGM, 191 proteins were identified of which 19.9% were involved in immune function (Liao, Y., et al., Proteomic characterization of human milk fat globule membrane proteins during a 12 month lactation period. J Proteome Res, 2011. 10(8): p. 3530-41.6). In bovine MFGM, 120 different proteins were identified of which 4% had immunological effects and 21% had unknown effect (Liao, Y., et al., Proteomic characterization



| Reported infection-related symptoms for the experimental formula (EF), the standard formula (SF) and breast-fed reference (BFR) groups (number (%) of parents reporting each symptom and total number of days). |         |      |         |      |                    |            |         |      |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------|------|---------|------|--------------------|------------|---------|------|
|                                                                                                                                                                                                                 | EF      |      | SF      |      | p-value (EF vs SF) |            | BFR     |      |
|                                                                                                                                                                                                                 | n (%)   | Days | n (%)   | Days | Proportion         | No of days | n (%)   | Days |
| Fever                                                                                                                                                                                                           | 37 (49) | 110  | 35 (47) | 192  | 0.80               | 0.78       | 43 (58) | 105  |
| Coughing                                                                                                                                                                                                        | 46 (61) | 721  | 53 (72) | 615  | 0.18               | 0.29       | 49 (66) | 572  |
| Breathing difficulties                                                                                                                                                                                          | 10 (13) | 30   | 14 (19) | 61   | 0.35               | 0.36       | 12 (16) | 42   |
| Skin rash                                                                                                                                                                                                       | 13 (17) | 77   | 19 (26) | 292  | 0.22               | 0.23       | 22 (30) | 480  |
| <i>6 months - 12 months</i>                                                                                                                                                                                     |         |      |         |      |                    |            |         |      |
| Fever                                                                                                                                                                                                           | 43 (75) | 230  | 39 (67) | 129  | 0.33               | 0.16       | 51 (80) | 339  |
| Coughing                                                                                                                                                                                                        | 44 (77) | 638  | 45 (78) | 949  | 0.96               | 0.79       | 57 (89) | 1204 |
| Breathing difficulties                                                                                                                                                                                          | 10 (18) | 26   | 12 (21) | 55   | 0.81               | 0.57       | 11 (18) | 48   |
| Skin rash                                                                                                                                                                                                       | 13 (23) | 181  | 8 (14)  | 256  | 0.21               | 0.29       | 24 (38) | 597  |

**[0108]** The incidence of infectious diseases during the first 6 months in developed countries is low, and AOM is the most common cause of antibiotic treatment (McCaig, L.F., R.E. Besser, and J.M. Hughes, Trends in antimicrobial prescribing rates for children and adolescents. JAMA, 2002. 287(23): p. 3096-102). Antibiotics are most useful in children under two years of age with bilateral AOM or with both AOM and otorrhea (Venekamp, R.P., et al., Antibiotics for acute otitis media in children. CochraneDatabase Syst Rev, 2013. 1: p. CD000219.). In the present study, all infants that were diagnosed with AOM received antibiotic treatment. The introduction of pneumococcal vaccination to infants, has changed the epidemiology of otitis in infants in many countries (Coker, T.R., et al., Diagnosis, microbial epidemiology, and antibiotic treatment of acute otitis media in children: a systematic review. JAMA, 2010. 304(19): p. 2161-9.), and about 80% of the infants in the present study received 10-valent pneumococcal vaccine from 3 months of age after the introduction of general pneumococcal vaccination for infants born in the Umeå area after October 2008.

**[0109]** The ventilation of the bottle used can also influence the risk of otitis media in bottle-fed infants, under- or non-ventilation bottles creates a negative pressure continuing to the middle ear and probably increasing the risk of AOM( Brown, C.E. and B. Magnuson, On the physics of the infant feeding bottle and middle ear sequela: ear disease in infants can be associated with bottle feeding. Int J Pediatr Otorhinolaryngol, 2000. 54(1): p. 13-20.). Unfortunately, we have no data on bottle manufacturer in our study population, but with the randomization, we do not

expect any difference between the EF and SF group.

**[0110]** The EF group had slightly harder stools than the SF group, but their parents did not report more gastrointestinal symptoms or use of laxatives. The stools of the BFR group was looser than in the formula groups and the BFR group had less gastrointestinal symptoms and less use of laxatives, well in line with previous studies(Tunc, V.T., et al., Factors associated with defecation patterns in 0-24-month-old children. Eur J Pediatr, 2008. 167(12): p. 1357-62).

**[0111]** In conclusion, the present study has shown that supplementation with a bovine MFGM fraction to infant formula decreases the incidence of AOM in formula-fed infants between 0-6 months of age to a level similar to breast-fed infants. The effect is probably due to antimicrobial and/or immune stimulating factors of MFGM previously not present in infant formula or present in a lower concentration than in human milk. The results should be of interest for future recommendations on the composition of infant formulas.

## REFERENCES CITED IN THE DESCRIPTION

This list of references cited by the applicant is for the reader's convenience only. It does not form part of the European patent document. Even though great care has been taken in compiling the references, errors or omissions cannot be excluded and the EPO disclaims all liability in this regard.

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## PATENTKRAV

1. Næringssammensætning til et spædbarn, især en modermælkserstatning, som et brugsklart produkt eller et brugsklart produkt, der rekonstitueres med vand ud fra et fremstillet pulver, hvilken næringssammensætning indeholder
- 5 valleprotein- eller mælkeproteinkoncentrattørstof, som er rigt på phospholipider og rigt på mælkefedtkuglemembran (MFGM - milk fat globule membrane), til anvendelse ved forebyggelse eller profylakse af bakteriel otitis; hvor valleproteinkoncentrattørstoffet er rigt på MFGM som sådan og en eller flere af lactoferrin,  $\alpha$ -lactalbumin, butyrophilin, MUC<sub>1</sub>, PAS6/7 (lactadherin), gangliosider,
- 10 CD<sub>14</sub>, TLR<sub>1</sub> og TLR<sub>4</sub>, IgG, cGMP, sialinsyre og phospholipider (for eksempel sphingomyelin, phosphatidylcholin, phosphatidylserin og phosphatidylethanolamin); hvor valleprotein- eller mælkeproteinkoncentrattørstoffet, som er rigt på phospholipider, omfatter mindst 20 vægt-% phospholipider, fortrinsvis 20 til 70 vægt-% phospholipider, mere
- 15 fortrinsvis 25 til 55 vægt-% phospholipider, baseret på totallipid i den med phospholipid berigede valleproteinkoncentrattørstof-kilde; og hvor sammensætningen omfatter valleprotein-/mælkeproteinkoncentrattørstof, som er rigt på phospholipider og MFGM, i en mængde på 5-7 vægt-% af den samlede tørvægt af næringssammensætningen.
- 20 2. Næringssammensætning til anvendelse ifølge krav 1, hvor det samlede energiindhold er 67 kcal/100 ml eller lavere, og sammensætningen omfatter:
  - et proteinindhold, som er 1,5 g/100 ml eller lavere,
  - et energiindhold fra protein på 7,2-8,6 % af næringssammensætningens samlede energiindhold,
  - 25 - et energiindhold fra fedt, som er mindst 47 % eller mere af næringssammensætningens samlede energiindhold,
  - et indhold af fedtsyrer med mellemlange kæder omfattende 8 til 10 carbonatomer, som er mindre end 3 vægt-% af den samlede mængde fedtsyrer,
  - et indhold af sialinsyre, som er 10-25 mg/100 ml eller højere,

- et indhold af kolesterol på 4-10 mg/100 ml,
  - et indhold af sphingomyelin på 7-15 mg/100 ml og
  - valleprotein-/mælkeproteinkoncentrattørstof, som er rigt på phospholipider og MFGM, i en mængde på 5-7 vægt-% af den samlede tørvægt af
- 5 næringssammensætningen.

3. Næringssammensætning til anvendelse ifølge krav 1 eller 2, hvor det samlede energiindhold er 67 kcal/100 ml eller lavere, og sammensætningen omfatter:

- et proteinindhold, som er 1,5 g/100 ml eller lavere,
- 10 - et energiindhold fra protein på 7,2-8,4 % af næringssammensætningens samlede energiindhold,
- et energiindhold fra fedt, som er mindst 49 % eller mere af næringssammensætningens samlede energiindhold,
  - et indhold af fedtsyrer med mellemlange kæder omfattende 8 til 10
- 15 carbonatomer, som er mindre end 3 vægt-% af den samlede mængde fedtsyrer,
- et indhold af sialinsyre, som er 10-25 mg/100 ml eller højere,
  - et indhold af kolesterol på 5-10 mg/100 ml,
  - et indhold af sphingomyelin på 9-15 mg/100 ml og
  - valleprotein-/mælkeproteinkoncentrattørstof, som er rigt på phospholipider og
- 20 MFGM, i en mængde på 5-7 vægt-% af den samlede tørvægt af næringssammensætningen.

4. Næringssammensætning til anvendelse ifølge krav 2 eller 3, hvor sammensætningen endvidere omfatter lipidbundet sialinsyre som gangliosider, og hvor indholdet af lipidbundet sialinsyre som gangliosider er 1,5-5 vægt-% eller
- 25 4 vægt-% af det samlede indhold af sialinsyre.

5. Næringssammensætning til anvendelse ifølge et hvilket som helst af de foregående krav, hvor indholdet af kolesterol er 0,2-0,3 vægt-% af næringssammensætningens samlede fedtindhold eller 0,23 vægt-% af det samlede fedtindhold.
- 5 6. Næringssammensætning til anvendelse ifølge et hvilket som helst af de foregående krav, hvor indholdet af kolesterol er på 7-10 mg/100 ml eller 8 mg/100 ml.
7. Næringssammensætning til anvendelse ifølge et hvilket som helst af de foregående krav, hvor cholesterotet er kolesterol, der stammer fra mælk.
- 10 8. Næringssammensætning til anvendelse ifølge et hvilket som helst af de foregående krav, hvor sammensætningen omfatter intakt eller delvist hydrolyseret mælkeprotein.
9. Næringssammensætning til anvendelse ifølge et hvilket som helst af de foregående krav, hvor indholdet af aminosyrer i sammensætningen stammer fra  
15 mælketørstof, sødt valletørstof, caseintørstof og flødetørstof, valleproteinkoncentrat, som er rigt på phospholipider.
10. Næringssammensætning til anvendelse ifølge et hvilket som helst af de foregående krav, hvor energiindholdet fra fedt er 47-54 % af næringssammensætningens samlede energiindhold eller 52-53 % af  
20 sammensætningens samlede energiindhold.
11. Næringssammensætning til anvendelse ifølge et hvilket som helst af de foregående krav, hvor energiindholdet i næringssammensætningen er lavere end 60 kcal/100 ml.
12. Næringssammensætning til anvendelse ifølge et hvilket som helst af de  
25 foregående krav, hvor indholdet af sialinsyre er 10-30 mg/100 ml.
13. Næringssammensætning til anvendelse ifølge et hvilket som helst af de foregående krav, hvor sammensætningen omfatter sphingomyelin, og hvor indholdet af sphingomyelin i sammensætningen ifølge opfindelsen er 9-17 mg/100 ml eller 13 mg/100 ml.

14. Næringssammensætning til anvendelse ifølge et hvilket som helst af de foregående krav, hvor den bakterielle otitis er akut otitis media.

15. Næringssammensætning til anvendelse ifølge et hvilket som helst af de foregående krav, hvor næringssammensætningen gives til spædbørn indtil 6-  
5 månedersalderen.

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# DRAWINGS

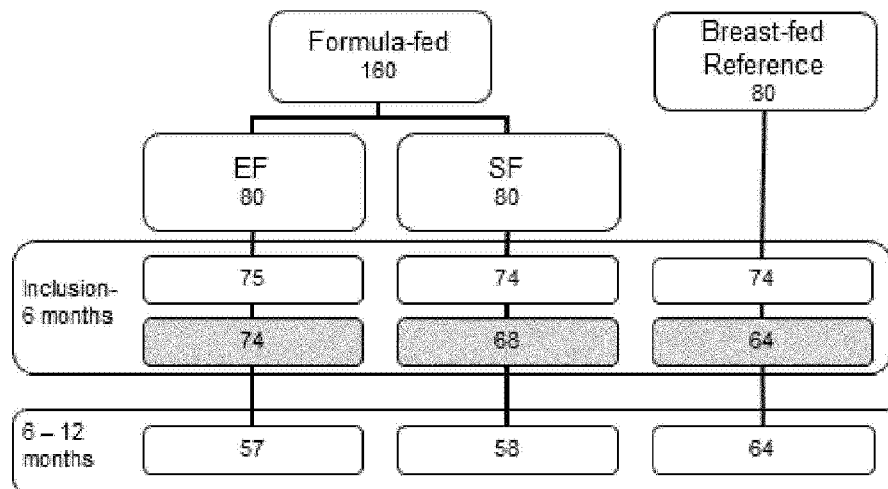


Fig. 1