

# Australia Patents Act 1990

## Notice of Entitlement

(to be filed before acceptance)

I/We

*Erik T. Engelson*

being authorised by

TARGET THERAPEUTICS INC.

of

 47201 Lakeview Boulevard, P.O. Box 5120, Fremont,  
 California 94537-5120, United States of America

the applicant in respect of an application for a patent for an invention entitled

SUPER ELASTIC ALLOY GUIDEWIRE

 filed under Australian Application No. 69467/91, state the following:-

### Part 1 - Must be completed for all applications.

The person(s) nominated for the grant of the patent:



is/are the actual inventor(s)

or



has, for the following reasons, gained entitlement from the actual inventor(s):

 The nominated person is the assignee of the invention  
 from the said actual inventor(s)

### Part 2 - Must be completed if the application is a Convention application.

The person(s) nominated for the grant of the patent is/are:



the applicant(s) of the basic application(s) listed on the patent request form

or



entitled to rely on the basic application(s) listed on the patent request form by reason of the following:

The basic application(s) listed on the request form is/are the first application(s) made in a Convention country in respect of the invention.

### Part 3 - must be completed if the application was made under the PCT and claims priority.

The person(s) nominated for the grant of the patent is/are:



the applicant(s) of the application(s) listed in the declaration under Article 8 of the PCT

or



entitled to rely on the application(s) listed in the declaration under Article 8 of the PCT by reason of the following:-

 The nominated person is the assignee of the basic application from the  
 said actual inventor(s)

The basic application(s) listed in the declaration made under Article 8 of the PCT is/are the first application(s) made in a Convention country in respect of the invention.

Signed:

*Erik T. Engelson*

Erik T. Engelson

Date:

3/6/95

Status:

Senior Vice President

## F.B. RICE & CO. PATENT ATTORNEYS



AU9469467

(12) PATENT ABRIDGMENT (11) Document No. AU-B-69467/94  
(19) AUSTRALIAN PATENT OFFICE (10) Acceptance No. 670204

- (54) Title  
SUPER ELASTIC ALLOY GUIDE WIRE
- (51)<sup>6</sup> International Patent Classification(s)  
A61M 025/09
- (21) Application No. : 69467/94 (22) Application Date : 11.05.94
- (87) PCT Publication Number : WO94/26337
- (30) Priority Data
- |             |           |                             |
|-------------|-----------|-----------------------------|
| (31) Number | (32) Date | (33) Country                |
| 062456      | 11.05.93  | US UNITED STATES OF AMERICA |
- (43) Publication Date : 12.12.94
- (44) Publication Date of Accepted Application : 04.07.96
- (71) Applicant(s)  
TARGET THERAPEUTICS, INC.
- (72) Inventor(s)  
THOMAS J. PALERMO
- (74) Attorney or Agent  
F B RICE & CO , 28A Montague Street, BALMAIN NSW 2041
- (56) Prior Art Documents  
US 5230348  
US 5120308  
EP 491349
- (57) Claim

1. A guidewire suitable for guiding a catheter within a body lumen, comprising an elongated, flexible metal wire core of a super-elastic alloy having, in a stress-strain test where the alloy is stressed to 6% strain and allowed to return, a stress found at the mid point of the upper plateau (UP) of  $517 \text{ MPa} \pm 69 \text{ MPa}$  ( $75 \text{ ksi} \pm 10 \text{ ksi}$ ), a value of stress measured at the mid point of the lower plateau of  $170 \text{ MPa} \pm 52 \text{ MPa}$  ( $25 \pm 7.5 \text{ ksi}$ ) measured at 3% strain and a residual stress (RS) of less than 0.25%.

4. The guidewire of claim 1 in which the super-elastic alloy is Ni-Ti.

OPI DATE 12/12/94 APPLN. ID 69467/94  
AOJP DATE 19/01/95 PCT NUMBER PCT/US94/05219



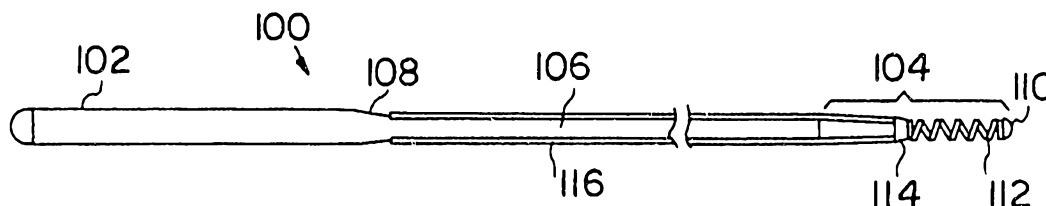
AU9469467

(CT)

(51) International Patent Classification <sup>5</sup> : <b>A61M 10/00</b> <i>Art. 25/10</i>		(11) International Publication Number: <b>WO 94/26337</b>
A1		(43) International Publication Date: 24 November 1994 (24.11.94)
(21) International Application Number: PCT/US94/05219		(81) Designated States: AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, ES, FI, GB, GE, HU, JP, KG, KP, KR, KZ, LK, LU, LV, MD, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SI, SK, TJ, TT, UA, US, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).
(22) International Filing Date: 11 May 1994 (11.05.94)		
(30) Priority Data: 08/062,456 11 May 1993 (11.05.93) US		
(60) Parent Application or Grant (63) Related by Continuation US 08/062,456 (CIP) Filed on 11 May 1993 (11.05.93)		
(71) Applicant (for all designated States except US): TARGET THERAPEUTICS, INC. [US/US]; 47201 Lakeview Boulevard, P.O. Box 5120, Fremont, CA 94537-5120 (US).		
(72) Inventor; and (75) Inventor/Applicant (for US only): PALERMO, Thomas, J. [US/US]; 275 Willow Road, Menlo Park, CA 94025 (US).		Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.
(74) Agents: WHEELOCK, E., Thomas et al.; Morrison & Foerster, 755 Page Mill Road, Palo Alto, CA 84304-1018 (US).		

670204

(54) Title: SUPER ELASTIC ALLOY GUIDE WIRE



(57) Abstract

This invention is a guide wire (100) for use in a catheter and is used for accessing a targeted site in a lumen system of a patient's body. The guide wire (100) may be of a high elasticity metal alloy, preferably an Ni-Ti alloy, having specific physical parameters, and is especially useful for accessing peripheral or soft tissue targets. The guide wire (100) includes a proximal section (102), a flexible distal section (104), a middle section (106) with necked down portion (108), wire coil (112), and end cap (110).

-1-

5

SUPER ELASTIC ALLOY GUIDEWIRE

10

FIELD OF THE INVENTION

This invention is a surgical device. It is a guidewire for use in a catheter and is used for accessing a targeted site in a lumen system of a patient's body. The guidewire may be of a high elasticity metal alloy, preferably a Ni-Ti alloy, having specified physical parameters, and is especially useful for accessing peripheral or soft tissue targets. A special variation of the inventive guidewire includes the coating of the wire with a one or more lubricious polymers to enhance its suitability for use within catheters and with the interior of vascular lumen. The "necked" guidewire tip also forms a specific variant of the invention.

25

BACKGROUND OF THE INVENTION

Catheters are used increasingly as a means for delivering diagnostic and therapeutic agents to internal sites within the human body that can be accessed through the various of the body's lumen systems, particularly through the vasculature. A catheter guidewire is used for guiding the catheter through the bends, loops, and branches forming the blood vessels within the body. One method of using a guidewire to direct the catheter through the torturous

-2-

paths of these systems of lumen involves the use of a torqueable guidewire which is directed as a unit from a body access point such as the femoral artery to the tissue region containing the target site. The  
5 guidewire is typically bent at its distal end, and may be guided by alternately rotating and advancing the guidewire along the small vessel pathway to the desired target. Typically the guidewire and the catheter are advanced by alternately moving the  
10 guidewire along a distance in the vessel pathway, holding the guidewire in place, and then advancing the catheter along the axis of the guidewire until it reaches the portion of the guidewire already advanced farther into the human body.

15 The difficulty in accessing remote body regions, the body's periphery or the soft tissues within the body, such as the brain and the liver, are apparent. The catheter and its attendant guidewire must be both flexible, to allow the combination to  
20 follow the complicated path through the tissue, and yet stiff enough to allow the distal end of the catheter to be manipulated by the physician from the external access site. It is common that the catheter is as long as a meter or more.

25 The catheter guidewires used in guiding a catheter through the human vasculature have a number of variable flexibility constructions. For instance, U.S. Patent Nos. 3,789,841; 4,545,390; and 4,619,274 show guidewires in which the distal end section of the  
30 wire is tapered along its length to allow great flexibility in that remote region of the guidewire. This is so, since the distal region is where the sharpest turns are encountered. The tapered section of the wire is often enclosed in a wire coil,  
35 typically a platinum coil, to increase the column strength of the tapered wire section without significant loss of flexibility in that region and

-3-

also to increase the radial capacity of the guidewire to allow fine manipulation of the guidewire through the vasculature.

Another effective guidewire design is found in U.S. Patent No. 5,095,915 which shows a guidewire having at least two sections. The distal portion is encased in an elongated polymer sleeve having axially spaced grooves to allow increased bending flexibility of the sleeve.

Others have suggested the use of guidewires made of various super-elastic alloys in an attempt to achieve some of the noted functional desires.

U.S. Patent 4,925,445, to Sakamoto et al., suggests the use of a two-portion guidewire having a body portion relatively high in rigidity and a distal end portion which is comparatively flexible. At least one portion of the body and the distal end portions is formed of super-elastic metallic materials. Although a number of materials are suggested, including Ni-Ti alloys of 49 to 58% (atm) nickel, the patent expresses a strong preference for Ni-Ti alloys in which the transformation between austenite and martensite is complete at a temperature of 10°C or below. The reason given is that "for the guidewire to be useable in the human body, it must be in the range of 10° to 20°C due to anesthesia at a low body temperature." The temperature of the human body is typically about 37°C.

Another document disclosing a guidewire using a metal alloy having the same composition as a Ni-Ti super-elastic alloy is WO91/15152 (to Sahatjian et al. and owned by Boston Scientific Corp.). That disclosure suggests a guidewire made of the precursor to the Ni-Ti elastic alloy. Super-elastic alloys of this type are typically made by drawing an ingot of the precursor alloy while simultaneously heating it. In the unstressed state at room temperature, such

-4-

super-elastic materials occur in the austenite crystalline phase and, upon application of stress, exhibit stress-induced austenite-martensite (SIM) crystalline transformations which produce nonlinear elastic behavior. The guidewires described in that published application, on the other hand, are said not to undergo heating during the drawing process. The wires are cold-drawn and great pain is taken to assure that the alloy is maintained well below 300°F during each of the stages of its manufacture. This temperature control is maintained during the step of grinding the guidewire to form various of its tapered sections.

U.S. Patent 4,665,906 suggests the use of stress-induced martensite (SIM) alloys as constituents in a variety of different medical devices. Such devices are said to include catheters and cannulas.

U.S. Patent 4,969,890 to Sugita et al., suggests the production of a catheter having a main body fitted with a shape memory alloy member, and having a liquid injection means to supply a warming liquid to allow the shape memory alloy member to recover its original shape upon being warmed by the fluid.

U.S. Patent 4,984,581, to Stice, suggests a guidewire having a core of a shape memory alloy, the guidewire using the two-way memory properties of the alloy to provide both tip-deflecting and rotational movement to the guidewire in response to a controlled thermal stimulus. The controlled thermal stimulus in this instance is provided through application of an RF alternating current. The alloy selected is one that has a transition temperature between 36°C and 45°C. The temperature 36°C is chosen because of the temperature of the human body; 45°C is chosen because operating at higher temperatures could be destructive to body tissue, particularly some body proteins.

-5-

U.S. Patent 4,991,602 to Amplatz et al., suggests a flexible guidewire made up of a shape memory alloy such as the nickel-titanium alloy known as nitinol. The guidewire is one having a single  
5 diameter throughout its midcourse, is tapered toward each end, and has a bead or ball at each of those ends. The bead or ball is selected to allow ease of movement through the catheter into the vasculature. The guidewire is symmetrical so that a physician  
10 cannot make a wrong choice in determining which end of the guidewire to insert into the catheter. The patent suggests that wound wire coils at the guidewire tip are undesirable. The patent further suggests the use of a polymeric coating (PTFE) and an anticoagulant.  
15 The patent does not suggest that any particular type of shape memory alloy or particular chemical or physical variations of these alloys are in any manner advantageous.

Another catheter guidewire using Ni-Ti  
20 alloys is described in U.S. Patent No. 5,069,226, to Yamauchi, et al. Yamauchi et al. describes a catheter guidewire using a Ni-Ti alloy which additionally contains some iron, but is typically heat-treated at a temperature of about 400° to 500°C so as to provide an  
25 end section which exhibits pseudo-elasticity at a temperature of about 37°C and plasticity at a temperature below about 80°C. A variation is that only the end portion is plastic at the temperatures below 80°C.

30 U.S. Patent No. 5,171,383, to Sagae, et al., shows a guidewire produced from a super-elastic alloy which is then subjected to a heat treatment such that the flexibility is sequentially increased from its proximal portion to its distal end portions. A  
35 thermoplastic coating or coil spring may be placed on the distal portion of the wire material. Generally speaking, the proximal end portion of the guidewire



-6-

maintains a comparatively high rigidity and the most distal end portion is very flexible. The proximal end section is said in the claims to have a yield stress of approximately five to seven kg/mm<sup>2</sup> and an  
5 intermediate portion of the guidewire is shown in the claims to have a yield stress of approximately 11 to 12 kg/mm<sup>2</sup>.

Published European Patent Application  
0,515,201-A1 also discloses a guidewire produced at  
10 least in part of a superelastic alloy. The publication describes a guidewire in which the most distal portion can be bent or curved into a desired shape by a physician immediately prior to use in a surgical procedure. Proximal of the guide tip, the  
15 guidewire is of a superelastic alloy. Although nickel-titanium alloys are said to be most desirable of the class shown in that disclosure, no particular physical description of those alloys is disclosed to be any more desirable than another.

20 Published European Patent Application  
0,519,604-A2 similarly discloses a guidewire which may be produced from a superelastic material such as nitinol. The guidewire core is coated with a plastic jacket, a portion of which may be hydrophilic and a  
25 portion of which is not.

Examples of Ni-Ti alloys are disclosed in U.S. Patent Nos. 3,174,851; 3,351,463; and 3,753,700.

None of these disclosures suggest the  
30 guidewire composition or configuration described below.

#### SUMMARY OF THE INVENTION

This invention is a guidewire, preferably a  
35 guidewire suitable for introduction into the vasculature of the brain, and a method for its use  
At least a distal portion of the guidewire may be of a

-7-

super-elastic alloy which preferably is a Ni-Ti alloy having specific physical characteristics, e.g., a stress-strain plateau at about  $517 \text{ MPa} \pm 70 \text{ MPa}$  ( $75 \pm 10 \text{ ksi}$ ) and another at  $170 \text{ MPa} \pm 53 \text{ MPa}$  ( $25 \pm 7.5 \text{ ksi}$ ) (each measured at 3% strain) when the stress-strain relationship is measured to a strain of 6%.

A highly desirable variation of the inventive guidewire comprises a long wire having a proximal section, an intermediate section, and a distal section. The guidewire further may have an eccentricity ratio of  $1 \pm 10^4$ . The distal end section is typically the most flexible of the sections and is at least about three centimeters long. Desirably, the flexible distal end section is partially tapered and is covered by a coil assembly which is connected to the distal end of the guidewire at its distal tip. The coil assembly may be attached to the distal tip by soldering, perhaps after plating or coating the distal end section with a malleable or solderable metal, such as gold. The guidewire assembly may be coated with a polymer or other material to enhance its ability to traverse the lumen of the catheter. A lubricious polymer may be placed directly upon the core wire or upon a "tie" layer. The tie layer may be a shrink-wrap tubing or a plasma deposition or may be a dip or spray coating of an appropriate material. The tie layer may also be radio opaque.

The guidewire of this invention may be of a composite in which a distal portion of the core is a super-elastic alloy of the type described below and the more proximal section or sections are of another material or configuration, e.g., stainless steel wire or rod, stainless steel hypotube, super-elastic alloy tubing, carbon fiber tubing, etc.

Ideally there will be one or more radiopaque markers placed upon the guidewire, e.g., at its distal tip and potentially along the length of the



-8-

intermediate section. These markers may be used both to enhance the guidewire's radiopacity and its ability to transmit torque from the proximal end to the distal end while maintaining a desired flexibility.

5           A specific variation of the inventive guidewire includes a distal guidewire section having a "neck" or portion of smaller diameter surrounded by areas of larger diameter thereby allowing secure soldering of a ribbon or wire within a coil assembly  
10       which extends beyond the guidewire core distal end. The enclosed ribbon or wire may be bent or shaped prior to insertion of the guidewire into the catheter to facilitate movement of the guidewire through turns in the vasculature.

15           Another physical variation of this invention involves the use of grooves in the guidewire to enhance flexibility in those sections without sacrificing the guidewire's columnar strength.

          This invention also includes a catheter  
20       apparatus made up of the guidewire core and a thin-walled catheter designed to be advanced along the guidewire through the vasculature for positioning at a desired site.

25       BRIEF DESCRIPTION OF THE DRAWINGS

          Figure 1 shows a schematic side view (not to scale) of the major components of the inventive guidewire.

          Figure 2 is a partial cutaway, side view of  
30       composite guidewire according to this invention having a distal portion of a highly elastic alloy.

          Figure 3 is a partial cutaway side view of one embodiment of the distal tip of the Figure 1 device.

35       Figure 4 is a partial cutaway side view of a second embodiment of the distal tip of the Figure 1 device.

-9-

Figure 5A is a partial cutaway side view of a third embodiment of the distal tip of the Figure 1 device.

Figure 5B is a partial cutaway top view of the embodiment shown in Figure 5A.

Figure 6 is a partial side view of a midsection joint in the inventive guidewire.

Figure 7 shows a typical stress-strain diagram for a Ni-Ti alloy displaying objective criteria for selection of alloys for the inventive guidewire.

#### DESCRIPTION OF THE INVENTION

Figure 1 shows an enlarged side view of a guidewire made according to a very desirable variation of the inventive guidewire (100). The guidewire (100) is made up of the wire core formed of a flexible torqueable wire filament material, of the alloys described below, and has a total length typically between about 50 and 300 centimeters. The proximal section (102) preferably has a uniform diameter (along its length) of about ~~0.010 to 0.025 inches, preferably 0.025 mm to 0.46 mm~~ <sup>0.25 mm to 0.64 mm (0.010 to 0.025 inches), preferably 0.25 mm to 0.46 mm</sup> ~~(0.010 to 0.025 inches, preferably~~ <sup>(0.010 to 0.018 inches)</sup>. The relatively more flexible distal section (104) extends for 3 to 30 centimeters or more of the distal end of the guidewire (100). There may be a middle section (106) having a diameter intermediate between the diameter of the two portions of the wire adjoining the middle section. The middle section (106) may be continuously tapered, may have a number of tapered sections or sections of differing diameters, or may be of a uniform diameter along its length. If middle section (106) is of a generally uniform diameter, the guidewire core will neck down as is seen at (108). The distal section (104) of the guidewire (100) typically has an end cap (110), a fine wire coil (112), and a solder joint (114). The fine wire coil (112) may be radiopaque and made from



-10-

materials including but not limited to platinum and its alloys. Specific inventive variations of the distal section (104) are described below. The end cap (110) may be radiopaque to allow knowledge of the position of the coil (112) during the process of inserting the catheter and traversal of the guidewire through the vasculature. All or part of the guidewire proximal section (102) and middle section (106) and distal section (104) may be coated with a thin layer (116) of polymeric material to improve its lubricity without adversely affecting the flexibility or shapeability of the guidewire.

Figure 2 shows a variation of the inventive guidewire which is a composite, e.g., a distal portion of the guidewire core is produced of the specified alloy and the composite is of another material or configuration. In particular, the composite guidewire (140) is made up of a proximal section (142) that is a section of small diameter tubing of, e.g., an appropriate stainless steel or high elasticity alloy such as those discussed elsewhere herein. The tubular proximal section (142) is attached by soldering or by gluing or by other joining method suitable for the materials involved at the joint (144) to a distal section (146) that extends to the distal end of the composite guidewire assembly (140). The distal tip (148) of the catheter assembly (140) may be of the same configuration as those otherwise described herein. The catheter assembly may be coated (150) with polymeric material, as desired.

Figure 3 shows a partial cutaway of one embodiment of the distal section (104) and the distal end of the intermediate section (106). The metallic guidewire core is shown partially coated with polymer (116) and a malleable metal coating (118) on the tapered portion of the distal tip. The malleable metal may be selected from suitable radiopaque

-11-

materials such as gold or other easily solderable materials such as silver, platinum, palladium, rhodium, and alloys of the above. The tip also includes a radiopaque coil (112) which is bounded on its proximal end by a solder joint (114) and is joined with the end of the guidewire at (110). The radiopaque coil (112) may be made of known suitable materials such as platinum, palladium, rhodium, silver, gold, and their alloys. Preferred is an alloy containing platinum and a small amount of tungsten. The proximal and distal ends of coil (112) may be secured to the core wire by soldering.

Figure 4 shows a partial cutaway of another embodiment of the distal section (104) of the inventive guidewire. In this embodiment, the metal guidewire core has a proximal tapered portion (120), a distal tapered section (122) with a solder joint (114) separating the two sections, and a constant diameter tip (124). The distal tip (124) may have constant diameter typically between about <sup>0.051 mm and 0.13 mm</sup> ~~0.002 and 0.005~~ inches), preferably about <sup>0.076 mm</sup> ~~0.003~~ inches). The distal tip (124) is preferably between about 1 and 5 cm in length, preferably about 2 cm but the portion of constant diameter extends for at least about 25% of the distance between the solder joint (128) and the solder joint (114). This constant diameter section marginally stiffens the distal tip assembly for enhanced control. The entire distal section (104) desirably is between about 20 and 50 cm, preferably about 25 cm in length. The maximum diameter of the proximal tapered portion (120) of the guidewire core typically is between about <sup>0.13 mm and 0.51 mm</sup> ~~0.005 and 0.020~~ inches), preferably about <sup>0.25 mm</sup> ~~0.010~~ inches). The distal tapered portion (122) and distal tip (124) are again shown with a malleable metal coating (118) such that the distal tapered portion (122) and distal tip (124) stay bent upon forming by the physician. In this



embodiment, the fine wire coil (112) is bounded on its proximal end by a solder joint (114) and on its distal end by an end cap (110). The end cap (110) is connected to the guidewire by means of a metallic ribbon (126). The ribbon (126) may be made of stainless steel, platinum, palladium, rhodium, silver, gold, tungsten, and their alloys or other materials which are plastic and that are easily soldered. The ribbon (126) is soldered to the fine wire coil (112) and to the distal tip (124) of the distal section (104) of the guidewire at a solder joint (128) such that the end cap (110) is secured against the fine wire coil (112).

Figures 5A and 5B show yet another inventive embodiment of the distal section (104) of the guidewire (100). Figure 5A shows a side view, partial cutaway of the inventive guidewire. The fine wire coil (112) may be bounded by a polymer adhesive (136) that joins the coil (112) to the core wire and an end cap (110) and further secured to the guidewire core by a solder joint (128). In this embodiment, the distal section (104) of the guidewire again comprises a tapered portion (120) that is proximal to the polymer adhesive (136) and a tapered portion (122) that is distal to the polymer adhesive (136). The distal section (104) also comprises a smaller diameter portion (130) or "neck" that may be surrounded by optional inner coil (132). As may be seen from Figure 5A, just distal of the neck (130) is a section which is larger in side view than is the neck. The inner coil (132) may be made of a suitable metallic material preferably that is easy to solder and preferably radiopaque. It is preferably platinum or stainless steel. One way to produce neck (130) is to flatten the distal portion of the guidewire (134) distal to the neck so that the resulting spade (134) is no longer of circular cross-section but rather is of



rectangular shape. This may be more easily visualized in Figure 5B since that Figure shows a cutaway top view of the guidewire shown

5

10

15

20

25

30

35





-13-

in Figure 5A. As in above-described embodiments, the end cap (110) is secured to the guidewire by a metallic ribbon (126). The solder joint (128) secures the guidewire core to the inner helical coil (132) which secures the end cap (110) via the ribbon (126) and further secures the outer fine wire coil (112). This configuration is especially valuable for use with guidewire materials which are not easily solderable. The solder joint need not adhere to the guidewire and yet the inner coil (132), ribbon (126), and outer fine wire coil (112) all are maintained as a single integral unit and have no chance of slipping proximally or distally on the guidewire assembly.

Although the embodiment described with reference to Figures 5A and 5B speaks generally of a guidewire made of a high elasticity alloy, materials for the guidewire and the ribbon such as stainless steel, platinum, palladium, rhodium and the like are suitable with that embodiment.

Figure 6 is a partial side view of a midsection joint in the inventive guidewire. On many variations of the inventive guidewire, various sections of the core are joined by tapered sections such as seen at (160). This means that the guidewire core is significantly stiffer at the proximal end of the tapered joint (160). We have found that it is sometimes desirable to place grooves (162) in that proximal end to lower the overall stiffness of the guidewire at that junction and yet retain the columnar strength.

#### GUIDEWIRE CORE

This guidewire is typically used in a catheter which is made up of an elongate tubular member having proximal and distal ends. The catheter is (again) about 50 to 300 centimeters in length, typically between about 100 and 200 centimeters in

-14-

length. Often, the catheter tubular member has a relatively stiff proximal section which extends along a major portion of the catheter length and one or more relatively flexible distal sections which provide greater ability of the catheter to track the guidewire through sharp bends and turns encountered as the catheter is advanced through the torturous paths found in the vasculature. The construction of a suitable catheter assembly having differential flexibility along its length is described in U.S. Patent No. 4,739,768.

We have found that certain alloys, particularly Ni-Ti alloys, retain their super-elastic properties during traversal through the vasculature and yet are sufficiently pliable that they provide the physician using the guidewire with enhanced "feel" or feedback and yet do not "whip" during use. That is to say, as a guidewire is turned it stores energy during as a twist and releases it precipitously as it "whips" to quickly recover the stored stress. The preferred alloys do not incur significant unrecovered strain during use. We have also found that if the eccentricity of the wire, i.e., the deviation of the cross-section of the guidewire from "roundness" (particularly in the middle section) is maintained at a very low value, the guidewire is much easier to steer or direct through the vasculature.

The material used in the guidewires of this invention are of shape memory alloys which exhibit super-elastic/pseudo-elastic shape recovery characteristics. These alloys are known. See, for instance, U.S. Patent Nos. 3,174,851 and 3,351,463 as well as 3,753,700; however, the '700 patent describes a less desirable material because of the higher modulus of the material due to an increased iron content. These metals are characterized by their ability to be transformed from an austenitic crystal

-15-

structure to a stress-induced martensitic (SIM) structure at certain temperatures, and return elastically to the austenitic structure when the stress is removed. These alternating crystalline structures provide the alloy with its super-elastic properties. One such well-known alloy, nitinol, is a nickel-titanium alloy. It is readily commercially available and undergoes the austenite-SIM-austenite transformation at a variety of temperature ranges between -20°C and 30°C.

These alloys are especially suitable because of their capacity to elastically recover almost completely to the initial configuration once the stress is removed. Typically there is little plastic deformation, even at relatively high strains. This allows the guidewire to undertake substantial bends as it passes through the body's vasculature, and yet return to its original shape once the bend has been traversed without retaining any hint of a kink or a bend. However, the tips shown are often sufficiently plastic that the initial tip formation is retained. Nevertheless, compared to similar stainless steel guidewires, less force need be exerted against the interior walls of the vessels to deform the guidewire of the invention along the desired path through the blood vessel thereby decreasing trauma to the interior of the blood vessel and reducing friction against the coaxial catheter.

A guidewire, during its passage through the vasculature to its target site, may undertake numerous bends and loops. The desirability of enhancing the ease with which a guidewire may be twisted to allow the bent distal tip to enter a desired branch of the vasculature cannot be overstated. We have found that a major factor in enhancing such ease of use, that is, in enhancing the controllability of the guidewires is by controlling the eccentricity of the cross-section

-16-

of the middle portion of the guidewire. We have found that by maintaining the middle portion of the guidewire (106 in Figure 1) to an eccentricity ratio of  $1 \pm 10^{-4}$ , the guidewire is significantly more

5 controllable than those which fall outside this ratio. By "eccentricity", we mean that at any point along the guidewire the ratio of the largest diameter at that cross-section to the smallest diameter of the wire at that cross-section.

10 To achieve these results of high strength and enhanced control even while allowing feedback to the attending physician during use, we have found that the following physical parameters of the alloy are important. In a stress-strain test as shown on a

15 stress-strain diagram such as that found in Figure 7, the stress found at the midpoint of the upper plateau (UP) (measured, e.g. at about 3% strain when the test end point is about 6% strain) should be in the range

---

20 of 75 ksi (thousand pounds per square inch)  $\pm 10$  ksi and, preferably, in the range of 75 ksi  $\pm 5$  ksi. Additionally, this material should exhibit a lower plateau (LP) of  $25 \pm 7.5$  ksi, preferably  $20 \pm 2.5$  ksi, measured at the midpoint of the lower plateau. The material preferably has no more than about 0.25%

25 residual strain (RS) (when stressed to 6% strain and allowed to return) and preferably no more than about 0.15% residual strain.

The preferred material is nominally 50.6%  $\pm 0.2\%$  Ni and the remainder Ti. The alloy should

30 contain no more than about 500 parts per million of any of O, C, or N. Typically such commercially available materials will be sequentially mixed, cast, formed, and separately co-worked to 30-40%, annealed and stretched.

35 By way of further explanation, Figure 7 shows a stylized stress-strain diagram showing the various parameters noted above and their measurement

---



of 520 MPa [Mega Pascal]  $\pm$  69 MPa, [75 ksi (thousand pounds per square inch)  $\pm$  10 ksi] and, preferably, in the range of 520 MPa  $\pm$  34 MPa, 75 ksi  $\pm$  5 ksi. Additionally, this material should exhibit a lower plateau (LP) of 170 MPa  $\pm$  51 MPa (25  $\pm$  7.5 ksi), preferably 140 MPa  $\pm$  17MPa (20  $\pm$  2.5 ksi),  
5 measured at the midpoint of the lower plateau. The material preferably has no more than about 0.25% residual strain (RS) (when stressed to 6% strain and allowed to return) and preferably no more than about 0.15% residual strain.

The preferred material is nominally 50.6%  $\pm$  0.2% Ni and the  
10 remainder Ti. The alloy should contain no more than about 500 parts per million of any of O,C, or N. Typically such commercially available materials will be sequentially mixed, cast, formed, and separately co-worked to 30-40%, annealed and stretched.

By way of further explanation, Figure 7 shows a stylized stress-strain  
15 diagram showing the various parameters noted above and their measurement

5  
10  
15  
20  
25  
30  
35  
40  
45  
50  
55  
60  
65  
70  
75  
80  
85  
90  
95  
100



-17-

on that diagram. As stress is initially applied to a sample of the material, the strain is at first proportional (a) until the phase change from austenite to martensite begins at (b). At the upper plateau (UP), the energy introduced with the applied stress is stored during the formation of the quasi-stable martensite phase or stress-induced-martensite (SIM). Upon substantial completion of the phase change, the stress-strained relationship again approaches a proportional relationship at (c). The stress is no longer applied when the strain reaches 6%. The measured value (UP) is found at the midpoint between zero and 6% strain, i.e., at 3% strain. If another terminal condition of strain is chosen, e.g., 7%, the measured value of (UP) and (LP) would be found at 3.5%.

Materials having high UP values produce guidewires which are quite strong and allow exceptional torque transmission but cause a compromise in the resulting "straightness" of the guidewire. We have found that guidewires having high UP values in conjunction with high LP values are not straight. These guidewires are difficult to use because of their tendency to "whip" as they are turned. Again, that is to say, as a guidewire is turned it stores energy during as a twist and releases it quickly. The difficulty of using such a whipping guidewire should be apparent. Materials having UP values as noted above are suitable as guidewires.

Furthermore, materials having values of LP which are high, again, are not straight. Lowering the value of LP compromises the ability of the guidewire to transmit torque but improves the ease with which a straight guidewire may be produced. Lowering the LP value too far, however, results in a guidewire which, although round, has poor tactile response. It feels somewhat "vague" and "soupy" during its use. The LP

-18-

values provided for above allow excellent torque transmission, straightness, and the valuable tactile response.

5       The values of residual strain discussed above define a materials which do not kink or otherwise retain a "set" or configuration after stress during use as a guidewire.

EXAMPLE

10       In each instance, the following procedure was used in producing the data displayed in the table which follows: commercial Ni-Ti alloy wires having a nominal composition of 50.6% Ni and the remainder Ti, and diameters of 0.13", 0.16", or 0.18" were stressed at room temperature. In each instance, values for  
15       transition temperature, RS, UP, and LP were measured. Additionally, several of the noted wires were introduced into a U-shaped Tygon tube and spun to allow qualitative evaluation of the roundness and tactile response of the wires. Comments on that  
20       response are also found in the following table.

25

30

35



15 205 00487



35

30

25

20

15

10

5

Table

#	Comparative /Invention_ (C/I)	UP (MPa)	LP (MPa)	RS (%)	A* T°C	Qualitative Spin Test
1 <sup>1</sup>	I	513.5	216.8	0.06	-11	Smooth rotation, good feel
2 <sup>2</sup>	I	530.5	130.3	0.121	-8	Smooth rotation, good feel
3 <sup>3</sup>	I	495.9	165.9	0.10	13.5	Smooth
4 <sup>4</sup>	C	539.4	405.3	0.20	-9	Very rough turning, whipped
5 <sup>5</sup>	C	439.9	91.35	0.2	12.5	Smooth turning, mushy feel
6 <sup>6</sup>	C	402.0	91.77	0.0	-12	Turned roughly, mushy feel
7 <sup>7</sup>	C	--	--	--	--	Difficult to turn

- 1 Commercially available from U.S. Nitinol, Inc.
- 2 Commercially available from Special Metals, Inc.
- 3 Commercially available from Shape Metal Alloys, Inc.
- 4 Commercially available as a plastic coated 3.32mm guidewire from Fuji Terumo, Inc.
- 5 Commercially available from ITI.
- 6 Commercially available from Metal Tek
- 7 Stainless Steel

\* Measured at room temperature with no applied stress.



-20-

These data describe both guidewires made according to the invention and comparative guidewires. Additionally, they show that guidewire made from a typical stainless steel alloy is very difficult to  
5 turn using the qualitative test described above.

#### GUIDEWIRE CORE COATINGS

As mentioned above, all or part of the guidewire core may be covered or coated with one or  
10 more layers of a polymeric material. The coating is applied typically to enhance the lubricity of the guidewire core during its traversal of the catheter lumen or the vascular walls.

#### 15 Coating Materials

As noted above, at least a portion of the guidewire core may simply be coated by dipping or spraying or by similar process with such materials as polysulfones, polyfluorocarbons (such as TEFLON),  
20 polyolefins such as polyethylene, polypropylene, polyesters (including polyamides such as the NYLON's), and polyurethanes; their blends and copolymers such as polyether block amides (e.g., PEBAX).

It is often desirable to utilize a coating  
25 such as discussed just above on the proximal portion of the guidewire and a coating such as discussed below on the more distal sections. Any mixture of coatings placed variously on the guidewire is acceptable as chosen for the task at hand.

30 The guidewire core may also be at least partially covered with other hydrophilic polymers including those made from monomers such as ethylene oxide and its higher homologs; 2-vinyl pyridine; N-vinylpyrrolidone; polyethylene glycol acrylates such  
35 as mono-alkoxy polyethylene glycol mono(meth) acrylates, including mono-methoxy triethylene glycol mono (meth) acrylate, mono-methoxy tetraethylene

-21-

glycol mono (meth) acrylate, polyethylene glycol mono (meth) acrylate; other hydrophilic acrylates such as 2-hydroxyethylmethacrylate, glycerylmethacrylate; acrylic acid and its salts; acrylamide and  
5 acrylonitrile; acrylamidomethylpropane sulfonic acid and its salts cellulose, cellulose derivatives such as methyl cellulose ethyl cellulose, carboxymethyl cellulose, cyanoethyl cellulose, cellulose acetate, polysaccharides such as amylose, pectin, amylopectin,  
10 alginic acid, and cross-linked heparin; maleic anhydride; aldehydes. These monomers may be formed into homopolymers or block or random copolymers. The use of oligomers of these monomers in coating the guidewire for further polymerization is also an  
15 alternative. Preferred precursors include ethylene oxide; 2-vinyl pyridine; N-vinylpyrrolidone and acrylic acid and its salts; acrylamide and acrylonitrile polymerized (with or without substantial crosslinking) into homopolymers, or into random or  
20 block copolymers.

Additionally, hydrophobic monomers may be included in the coating polymeric material in an amount up to about 30% by weight of the resulting copolymer so long as the hydrophilic nature of the  
25 resulting copolymer is not substantially compromised. Suitable monomers include ethylene, propylene, styrene, styrene derivatives, alkylmethacrylates, vinylchloride, vinylidenechloride, methacrylonitrile, and vinyl acetate. Preferred are ethylene, propylene,  
30 styrene, and styrene derivatives.

The polymeric coating may be cross-linked using various techniques, e.g., by light such as ultraviolet light, heat, or ionizing radiation, or by peroxides or azo compounds such as acetyl peroxide,  
35 cumyl peroxide, propionyl peroxide, benzoyl peroxide, or the like. A polyfunctional monomer such as divinylbenzene, ethylene glycol dimethacrylate,

-22-

trimethylolpropane, pentaerythritol di- (or tri- or  
tetra-) methacrylate, diethylene glycol, or  
polyethylene glycol dimethacrylate, and similar  
multifunctional monomers capable of linking the  
5 monomers and polymers discussed above.

Polymers or oligomers applied using the  
procedure described below are activated or  
functionalized with photoactive or radiation-active  
groups to permit reaction of the polymers or oligomers  
10 with the underlying polymeric surface. Suitable  
activation groups include benzophenone, thioxanthone,  
and the like; acetophenone and its derivatives  
specified as:



where  $\text{R}^1$  is H,  $\text{R}^2$  is OH,  $\text{R}^3$  is Ph; or  
 $\text{R}^1$  is H,  $\text{R}^2$  is an alkoxy group including -  
 $\text{OCH}_3$ ,  $-\text{OC}_2\text{H}_5$ ,  $\text{R}^3$  is Ph; or  
20  $\text{R}^1 = \text{R}^2 =$  an alkoxy group,  $\text{R}^3$  is Ph; or  
 $\text{R}^1 = \text{R}^2 =$  an alkoxy group,  $\text{R}^3$  is H; or  
 $\text{R}^1 = \text{R}^2 = \text{Cl}$ ,  $\text{R}^3$  is H or Cl.

Other known activators are suitable.

The polymeric coating may then be linked  
25 with the substrate using known and appropriate  
techniques selected on the basis of the chosen  
activators, e.g., by ultraviolet light, heat, or  
ionizing radiation. Crosslinking with the listed  
polymers or oligomers may be accomplished by use of  
30 peroxides or azo compounds such as acetyl peroxide,  
cumyl peroxide, propionyl peroxide, benzoyl peroxide,  
or the like. A polyfunctional monomer such as  
divinylbenzene, ethylene glycol dimethacrylate,  
trimethylolpropane, pentaerythritol di- (or tri- or  
35 tetra-) methacrylate, diethylene glycol, or  
polyethylene glycol dimethacrylate, and similar  
multifunctional monomers capable of linking the

-23-

polymers and oligomers discussed above is also appropriate for this invention.

The polymeric coating may be applied to the guidewire by any of a variety of methods, e.g., by spraying a solution or suspension of the polymers or of oligomers of the monomers onto the guidewire core or by dipping it into the solution or suspension. Initiators may be included in the solution or applied in a separate step. The guidewire may be sequentially or simultaneously dried to remove solvent after application of the polymer or oligomer to the guidewire and crosslinked.

The solution or suspension should be very dilute since only a very thin layer of polymer is to be applied. We have found that an amount of oligomer or polymer in a solvent of between 0.25% and 5.0% (wt), preferred is 0.5 to 2.0% (wt), is excellent for thin and complete coverage of the resulting polymer. Preferred solvents for this procedure when using the preferred polymers and procedure are water, low molecular weight alcohols, and ethers, especially methanol, propanol, isopropanol, ethanol, and their mixtures. Other water miscible solvents, e.g., tetrahydrofuran, methylene dichloride, methylethylketone, dimethylacetate, ethyl acetate, etc., are suitable for the listed polymers and must be chosen according to the characteristics of the polymer; they should be polar because of the hydrophilic nature of the polymers and oligomers but, because of the reactivity of the terminal groups of those materials, known quenching effects caused by oxygen, hydroxyl groups and the like must be recognized by the user of this process when choosing polymers and solvent systems.

Particularly preferred as a coating for the guidewire cores discussed herein are physical mixtures of homo-oligomers of at least one of polyethylene

-24-

oxide; poly 2-vinyl pyridine; polyvinylpyrrolidone, polyacrylic acid, polyacrylamide, and polyacrylonitrile. The catheter bodies or substrates are preferably sprayed or dipped, dried, and  
5 irradiated to produce a polymerized and crosslinked polymeric skin of the noted oligomers.

The lubricious hydrophilic coating is preferably produced using generally simultaneous solvent removal and crosslinking operations. The  
10 coating is applied at a rate allowing "sheeting" of the solution, e.g., formation of a visibly smooth layer without "runs". In a dipping operation for use with most polymeric substrates including those noted below, the optimum coating rates are found at a linear  
15 removal rate between 0.25 and 2.0 inches/sec, preferably 0.5 and 1.0 inches/sec.

The solvent evaporation operations may be conducted using a heating chamber suitable for maintaining the surface at a temperature between 25°C  
20 and the glass transition temperature ( $T_g$ ) of the underlying substrate. Preferred temperatures are 50°C to 125°C. Most preferred for the noted and preferred solvent systems is the range of 75° to 110°C.

Ultraviolet light sources may be used to  
25 crosslink the polymer precursors onto the substrate. Movement through an irradiation chamber having an ultraviolet light source at 90-375nm (preferably 300-350nm) having an irradiation density of 50-300 mW/cm<sup>2</sup> (preferably 150-250 mW/cm<sup>2</sup>) for a period of three to  
30 seven seconds is desired. Passage of a guidewire core through the chamber at a rate of 0.25 to 2.0 inches/second (0.5 to 1.0 inches/second) in a chamber having three to nine inches length is suitable. When using ionizing radiation, a radiation density of 1 to  
35 100 kRads/cm<sup>2</sup> (preferably 20 to 50 kRads/cm<sup>2</sup>) may be applied to the solution or suspension on the polymeric substrate.

-25-

Exceptional durability of the resulting coating is produced by repetition of the dipping/solvent removal/irradiation steps up to five times. Preferred are two to four repetitions.

5

#### Tie Layers

We have found that it is often desirable to incorporate a "tie" layer as a coating between the outer polymeric surface and the guidewire core to enhance the overall adhesion of the outer polymeric surface to the core. Of course, these materials must be able to tolerate the various other solvents, cleaners, sterilization procedures, etc. to which the guidewire and its components are placed during other production steps.

Choice of materials for such tie layers is determined through their functionality. Specifically, the materials are chosen for their affinity or tenacity to the outer polymeric lubricious or hydrophilic coating. Clearly, the tie layer material must be flexible and strong. The material must be extrudable and preferably easily made into shrinkable tubing for mounting onto the guidewire through heating. We have found that various NYLON's, polyethylene, polystyrene, polyurethane, and preferably polyethylene terephthalate (PET) make excellent tie layers. These tubing materials may be also formulated to include radio opaque materials such as barium sulfate, bismuth trioxide, bismuth carbonate, tungsten, tantalum or the like.

As noted above, one readily achievable manner of applying a tie layer is by heat-shrinking the tubing onto the guidewire. The guidewire core is simply inserted into a tubing of suitable size -- often with a small amount of a "caulking" at either end to seal the tubing from incursion of fluids or unsterile materials from beneath the tubing. The

-26-

tubing is cut to length and heated until it is sufficiently small in size. The resulting tubing tie layer desirably is between about 0.0025 and 0.015 inches in thickness. The thinner layers are typically produced from polyurethane or PET. The layer of lubricious polymer is then placed on the outer surface of the shrunk tubing.

Another procedure for preparing or pretreating guidewires prior to receiving a subsequent coating of a polymer, preferably a polymer which is lubricious, biocompatible, and hydrophilic, is via the use of a plasma stream to deposit a hydrocarbon or fluorocarbon residue. The procedure is described as follows: the guidewire core is placed in a plasma chamber and cleaned with an oxygen plasma etch. The guidewire core is then exposed to a hydrocarbon plasma to deposit a plasma-polymerized tie layer on the guidewire core to complete the pretreatment. The hydrocarbon plasma may comprise a lower molecular weight (or gaseous) alkanes such as methane, ethane, propane, isobutane, butane or the like; lower molecular weight alkenes such as ethene, propene, isobutene, butene or the like or; gaseous fluorocarbons such as tetrafluoromethane, trichlorofluoromethane, dichlorodifluoromethane, trifluorochloromethane, tetrafluoroethylene, trichlorofluoroethylene, dichlorodifluoroethylene, trifluorochloroethylene and other such materials. Mixtures of these materials are also acceptable. The tie layer apparently provides C-C bonds for subsequent covalent bonding to the outer hydrophilic polymer coating. Preferred flow rates for the hydrocarbon into the plasma chamber are in the range of 500 c.c./min. to 2000 c.c./min. and the residence time of the guidewire in the chamber is in the range of 1-20 minutes, depending on the chosen hydrocarbon and the plasma chamber operating parameters. Power settings

-27-

for the plasma chamber are preferably in the range of 200W to 1500W.

A tie layer of plasma-produced hydrocarbon residue having a thickness on the order of 10Å thick is disposed between core and coating. This process typically produces layers of hydrocarbon residue less than about 1000Å in thickness, and more typically less than about 100Å. Tie layer effectively bonds the outer layer to the guidewire core while adding very little additional bulk to the guidewire. Guidewires made according to this invention therefore avoid the size and maneuverability problems of prior art guidewires.

The pretreated guidewire may be coated by a polymer using a procedure such as described above. For example, the pretreated guidewire may be dipped in a solution of a photoactive hydrophilic polymer system, i.e., a latently photoreactive binder group covalently bonded to a hydrophilic polymer. After drying, the coated guidewire is cured by exposing it to UV light. The UV light activates the latently reactive group in the photoactive polymer system to form covalent bonds with crosslinked C-C bonds in the hydrocarbon residue tie layer. The dipping and curing steps are preferably repeated often enough, typically twice, to achieve the appropriate thickness of the hydrophilic coating layer.

One highly preferred variation of the invention involves a guidewire with metal core, preferably  $0.25\text{ mm}$  to  $0.64\text{ mm}$  (0.010 to 0.025") thick stainless steel or nitinol. The exterior surface of guidewire is a biocompatible coating of a polyacrylamide/polyvinylpyrrolidone mixture bonded to a photoactive binding agent. The preferred coating is made from a mixture of Bio-Metric Systems PA03 and PV05 (or PV01) binding systems according to the Examples below.





-28-

The photoactive hydrophilic polymer system of this preferred embodiment is a mixture of Bio-Metric Systems PA03 polyacrylamide/binder system and Bio-Metric Systems PV05 polyvinylpyrrolidone system.

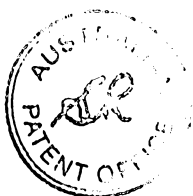
- 5 The polyacrylamide system provides lubricity, and the polyvinylpyrrolidone system provides both lubricity and binding for durability. The exact proportions of the two systems may be varied to suit the application. As an alternative, however, the hydrophilic
- 10 biocompatible coating may be polyacrylamide alone, polyvinylpyrrolidone alone, polyethylene oxide, or any suitable coating known in the art. In addition, a coating of heparin, albumin or other proteins may deposited over the hydrophilic coating in a manner
- 15 known in the art to provide additional biocompatibility features.

- The guidewire or other device may be cleaned by using an argon plasma etch in place of the oxygen plasma etch. The thickness of the plasma-polymerized
- 20 tie layer may also vary without departing from the scope of this invention.

- The following examples are further illustrative of the articles and methods of this invention. The invention is not limited to these
- 25 examples.

#### Example

- 0.41 mm  
A<sub>x</sub>(0.016") diameter nitinol guidewire was
- 30 placed in a Plasma Etch MK II plasma chamber and cleaned with an oxygen plasma for 10 minutes. Methane flowing at a rate of 2000 c.c./min. was admitted into the chamber, and the chamber operated at a power setting of 400W for 2 minutes to deposit a
- 35 hydrocarbonaceous residue onto the surface of the wire. All but approximately six inches of the wire was dipped in a polyvinylpyrrolidone/polyacrylamide



-29-

(PVP/PA) photocrosslinkable solution of a mixture of 67% BSI PV01 and 33% BSI PA03. The coated guidewire was then dried and exposed to an ultraviolet light (325 nm.) for 8 seconds. The dipping, drying, and exposing steps were repeated twice. When wetted, the resulting wire felt lubricious and required less force to pull through an <sup>0.46 mm</sup> (0.018") ID catheter than an uncoated wire.

10

Example

<sup>0.41 mm</sup>  
A) (0.016") diameter nitinol guidewire was placed in a Plasma Etch MK II plasma chamber and cleaned with an oxygen plasma for 10 minutes. Methane flowing at a rate of 1500 c.c./min. was admitted into the chamber, and the chamber was operated at a power setting of 600W for 5 minutes to plasma-treat the methane into a hydrocarbonaceous residue on the surface of the wire. All but approximately six inches of the wire was dipped in a polyvinyl-pyrrolidone/polyacrylamide (PVP/PA) photocrosslinkable solution consisting essentially a mixture of 50% BSI PV01 and 50% BSI PA03. The coated guidewire was then dried and exposed to an ultraviolet light (325 nm.) for 8 seconds. The dipping, drying, and exposing steps were repeated. When wetted, the resulting wire felt lubricious and required less force to pull through an <sup>0.46 mm</sup> (0.018") ID catheter than an uncoated wire.

25

30

Example

<sup>0.41 mm</sup>  
A) (0.016") diameter nitinol guidewire was placed in a Plasma Etch MK II plasma chamber and cleaned with an oxygen plasma for 10 minutes. Ethane flowing at a rate of 900 c.c./min. was admitted into the chamber, and the chamber was operated at a power setting of 600W for 10 minutes to deposit a hydrocarbon residue onto the surface of the wire. All but approximately six inches of the wire was dipped in

35



-30-

a polyvinylpyrrolidone/polyacrylamide (PVP/PA)  
photocrosslinkable solution of a mixture of 33% BSI  
PV01 and 67% BSI PA03. The coated guidewire was then  
dried and exposed to an ultraviolet light (325 nm.)  
5 for 8 seconds. The dipping, drying, and exposing  
steps were repeated twice. When wetted, the resulting  
wire felt lubricious and required less force to pull  
through an <sup>0.46mm</sup> (0.018") ID catheter than an uncoated wire.

10

Although preferred embodiments of the  
present invention have been described, it should be  
understood that various changes, adaptations, and  
modifications may be made therein without departing  
15 from the spirit of the invention and the scope of the  
claims which follow.

20

25

30

35



## THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:-

1. A guidewire suitable for guiding a catheter within a body lumen, comprising an elongated, flexible metal wire core of a super-elastic alloy having, in a stress-strain test where the alloy is stressed to 6% strain and allowed to return, a stress found at the mid point of the upper plateau (UP) of 517 MPa  $\pm$  69 MPa (75 ksi  $\pm$  10 ksi), a value of stress measured at the mid point of the lower plateau of 170 MPa  $\pm$  52 MPa (25  $\pm$  7.5 ksi) measured at 3% strain and a residual stress (RS) of less than 0.25%.
2. The guidewire of claim 1 where the guidewire has an eccentricity ratio of  $1 \pm 10^{-4}$ .
3. The guidewire of claim 1 having a distal section, a middle section, and a proximal section.
4. The guidewire of claim 1 in which the super-elastic alloy is Ni-Ti.
5. The guidewire of claim 3 in which the distal section is tapered to a point.
6. The guidewire of claim 5 in which the distal section is coated with a malleable metal.
7. The guidewire of claim 6 in which the malleable metal is selected from the group consisting of gold, nickel, silver, platinum, palladium and alloys thereof.
8. The guidewire of claim 6 in which the at least a portion of the malleable metal coating on the distal portion is covered by an outer coil comprising platinum, tungsten, or an alloy thereof.



-32-

9. The guidewire of claim 4 in which the distal section comprises a proximal tapered portion and a constant diameter distal portion.

5           10. The guidewire of claim 9 in which the distal section is coated with a malleable metal.

10           11. The guidewire of claim 10 in which the malleable metal is selected from the group consisting of gold, silver, platinum, palladium and alloys thereof.

15           12. The guidewire of claim 11 in which the at least a portion of the malleable metal coating on the distal portion is covered by an outer coil comprising platinum, tungsten, or an alloy thereof.

20           13. The guidewire of claim 11 wherein an inner coil surrounds the constant diameter distal portion.

25           14. The guidewire of claim 13 wherein the inner coil comprises a radiopaque metal selected from the group consisting of platinum, tungsten, or an alloy of the two.

30           15. The guidewire of claim 14 wherein a metallic ribbon secures the wire core to the inner coil and further to the outer coil.

            16. The guidewire of claim 4 in which the distal section comprises a necked down portion of smaller diameter than its surrounding distal section.

35           17. The guidewire of claim 16 in which at least a portion of the necked down section of the distal portion is covered by an outer coil.

-33-

18. The guidewire of claim <sup>17</sup>\* in which the outer coil is connected at its distal end by a ribbon joined to the necked down section of the distal portion.

5

19. The guidewire of claim 18 in where an inner coil is secured between the ribbon and the necked down section all within the outer coil.

10

20. The guidewire of claim 1 in which at least a portion of the guidewire is coated with a polymeric material.

15

21. The guidewire of claim 20 where the polymeric material comprises polymers produced from monomers selected from ethylene oxide; 2-vinyl pyridine; N-vinylpyrrolidone; polyethylene glycol acrylates such as mono-alkoxy polyethylene glycol mono(meth) acrylates, including mono-methoxy triethylene glycol mono (meth) acrylate, mono-methoxy tetraethylene glycol mono (meth) acrylate, polyethylene glycol mono (meth) acrylate; other hydrophilic acrylates such as 2-hydroxyethylmethacrylate, glycerylmethacrylate; acrylic acid and its salts; acrylamide and acrylonitrile; acrylamidomethylpropane sulfonic acid and its salts, cellulose, cellulose derivatives such as methyl cellulose ethyl cellulose, carboxymethyl cellulose, cyanoethyl cellulose, cellulose acetate, polysaccharides such as amylose, pectin, amylopectin, alginic acid, and cross-linked heparin.

20

25

30

35

22. The guidewire of claim 21 additionally comprising a tie layer disposed between the polymeric layer and the guidewire core.



-34-

23. The guidewire of claim 22 where the tie layer disposed between the polymeric layer and the guidewire core is a heat shrunk tubing.

5           24. The guidewire of claim 23 where the tie layer disposed between the polymeric layer and the guidewire core is a heat shrunk tubing comprising a material selected from polyethylene terephthalate and polyurethane.

10           25. The guidewire of claim 23 where the tie layer disposed between the polymeric layer and the guidewire core is a heat shrunk tubing and further comprises a radiopaque material.

15           26. The guidewire of claim 22 where the tie layer disposed between the polymeric layer and the guidewire core is deposited by plasma.

20           27. The guidewire of claim 1 additionally comprising a catheter sheath.

25

30

35

1/2

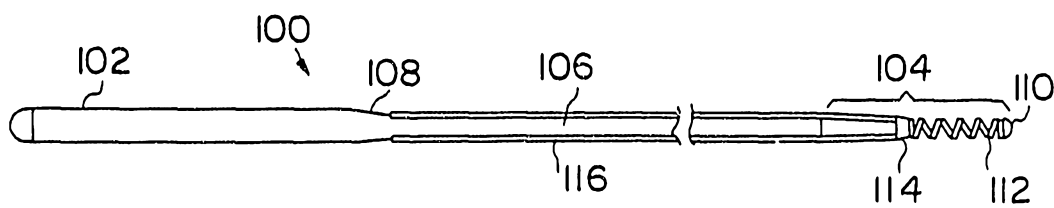


FIG. 1

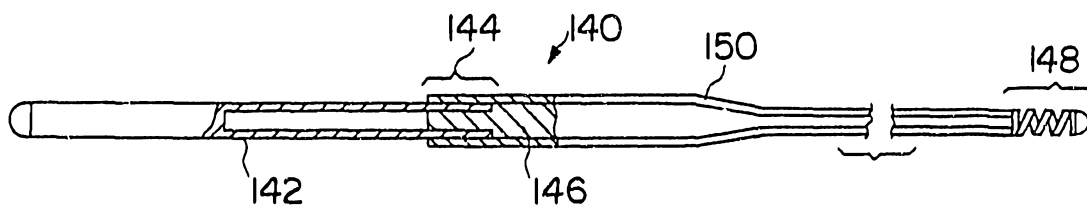


FIG. 2

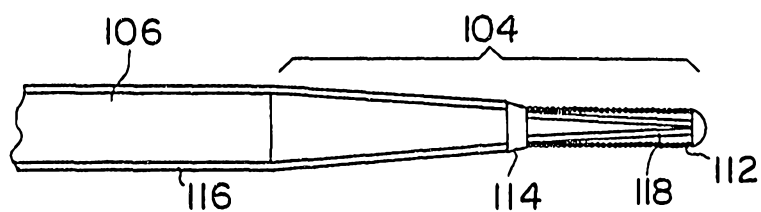


FIG. 3

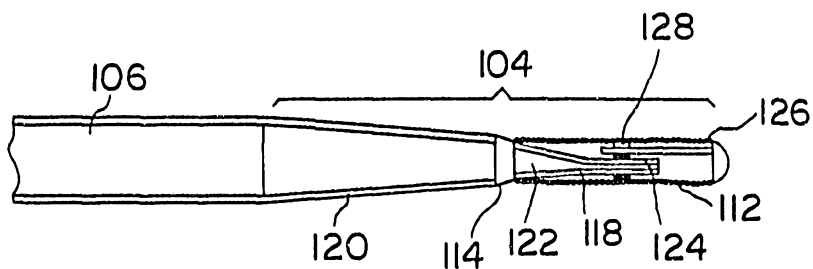


FIG. 4



69467/94

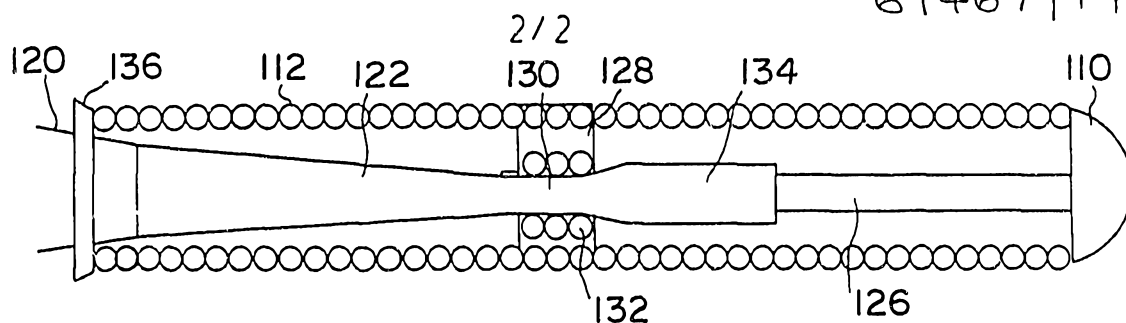


FIG. 5A

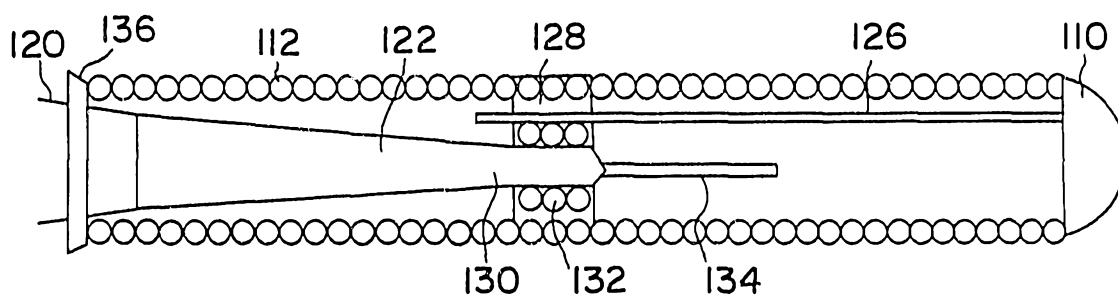


FIG. 5B

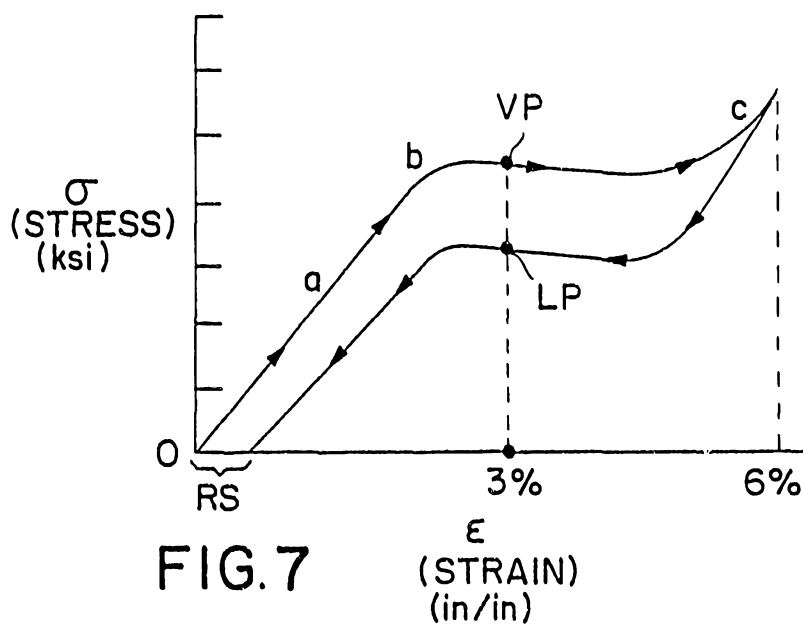


FIG. 7

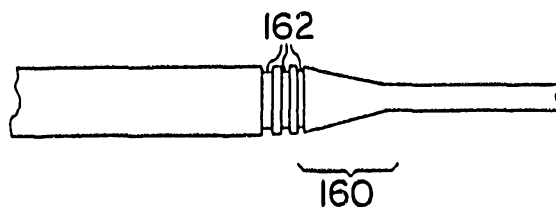


FIG. 6

## INTERNATIONAL SEARCH REPORT

International application No  
PCT/US94/05219

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(5) :A61M 10/00

US CL :128/772

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 128/657, 772; 604/95,164,280-284

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
NONEElectronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
NONE

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US, A, 5,144,959, (GAMBALE ET AL.), 08 September 1992. See Fig. 2.	28-30
---		-----
Y		31-33
A	US, A, 5,120,308, (HESS), 09 June 1992.	1-39
A	US, A, 4,934,380, (DE TOLEDO), 19 June 1990.	1-39

☐ Further documents are listed in the continuation of Box C.
 ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*I* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*Z* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

19 AUGUST 1994

Date of mailing of the international search report

SEP 16 1994

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3590

Authorized officer

for

MAX HINDENBURG

Telephone No. (703) 308-3130