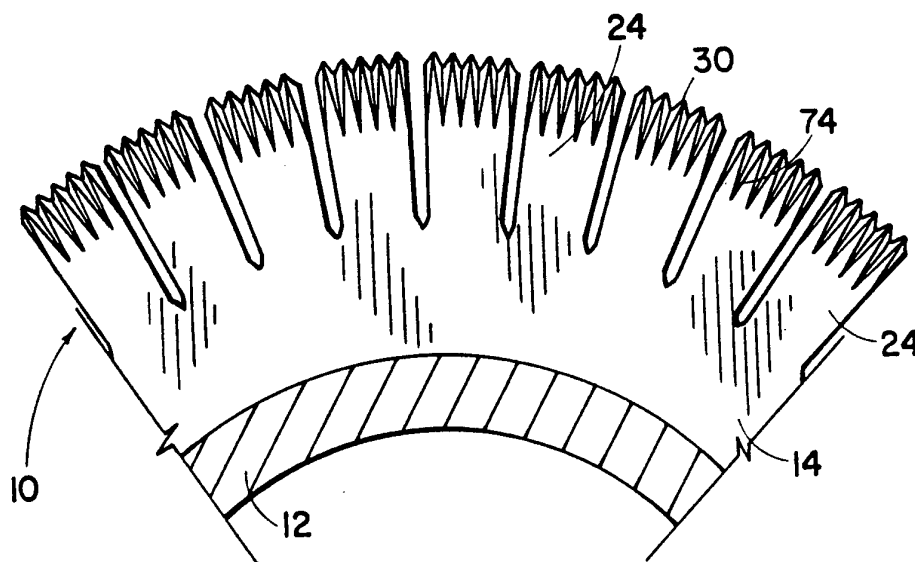




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(21) International Application Number: PCT/US93/06553 (22) International Filing Date: 15 July 1993 (15.07.93) (30) Priority data: 07/927,015 10 August 1992 (10.08.92) US (71) Applicant: FINTUBE LIMITED PARTNERSHIP [US/ US]; 2431 East 61st Street, #330, Tulsa, OK 74136 (US). (72) Inventor: RYAN, Jerry, E. ; 3201 East 65th, Tulsa, OK 74136 (US). (74) Agent: HARRIS, Richard, C.; Stevens, Davis, Miller & Mosher, 515 North Washington Street, P.O. Box 1427, Alexandria, VA 22313 (US).		(81) Designated States: AU, BR, CA, FI, JP, KR, RU, Euro- pean patent (AT, BE, CH, DE, DK, ES, FR, GB, IT, NL, SE). Published <i>With international search report.</i> <i>Before the expiration of the time limit for amending the</i> <i>claims and to be republished in the event of the receipt of</i> <i>amendments.</i>

(54) Title: ENHANCED SERRATED FIN FOR FINNED TUBE**(57) Abstract**

The present invention is an enhanced type of fin (14) for making an enhanced serrated finned tube (10) for use in heat exchange applications. The segments (24), which are formed on the fin (14) when the fin is serrated, are enhanced either prior to serration or after serration. The enhancement consists of impressing, cutting or otherwise providing indentations (74) into the segments (24), thus broadening the segments, increasing their surface area, and increasing their heat transfer capability. In addition, the base portion, i.e. the unserrated proximal portion of the fin, may also be enhanced to increase its surface area.

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ENHANCED SERRATED FIN FOR FINNED TUBEBackground of the Invention1. Field of the Invention

The present invention is an enhanced type of fin for a serrated finned tube. The enhancement consists of increasing the fin's heat transfer capability by increasing the surface area of the segments provided on the fin. Enhancement may be performed either prior to or after serration of the fin into segments. The enhancement of the present invention may be accomplished either by impressing, cutting, flattening, rolling or otherwise providing indentations into the segments, thus broadening the segments and increasing their surface area. The unserrated base portion of the fin may also be enhanced.

2. The Prior Art

Finned tubes are employed in a process heater or boiler. Finned tubes are used because the fins on the tubes increase the exterior surface area of the tubes and thus increase their heat transfer capability. The function of the finned tubes is to transfer heat from hot flue gases located outside the finned tubes to a liquid, generally high purity water or a hydrocarbon, circulating inside the finned tubes. The heated liquid is used to operate a turbine or used for other process purposes.

Because of the high cost of fuel required to heat the liquid, it is important that transfer of thermal energy, i.e. heat, through the finned tube be as efficient as possible so the amount of fuel can be reduced. When the number of BTU's of fuel needed to heat the liquid is reduced, operating costs

are significantly reduced, also. For these reasons finned tubes having large exterior surface areas are desirable.

The exterior surface areas of prior art finned tubes have been increased by at least two means, spacing the fins closer
5 together and providing higher fins.

First, the fins of prior art finned tubes are attached to a pipe helically with adjacent helical spirals of the fins spaced apart. By spacing the fins closer together, more fins, and thus more surface area, can be attached to the tube per
10 unit surface area of the tube, thus increasing the effective surface area of the tube.

However, if adjacent spirals of fins are spaced too closely together, space between adjacent fin spirals can plug up or become fouled. Fouling is dependent on the type of fuel
15 which is burned. The resulting inadequate flow of flue gas between the fin spirals decreases their ability to absorb thermal energy from the flue gas. Also, if spaced still closer together, adjacent fins touch each other, thus decreasing their effective surface area with a resulting
20 decrease in heat absorption efficiency. Providing adequate spacing between the spirals of prior art finned tubes thus limits the amount of exterior surface area attainable on a finned tube solely by means of spacing the fins closer together.

25 Second, the fins of prior art finned tubes are increased in height so that they extend outward further away from the tube, thus increasing the fin height and increasing surface area of the finned tubes. Increasing the fin height is more

costly due to the additional material needed to produce the higher fin and due to the additional costs associated with transporting a larger and heavier finned tube or in transporting a larger and heavier heat exchanger produced from the larger finned tubes. Space constraints associated with the applications where the finned tubes will be employed often dictate the maximum allowable fin height, thus precluding an increase in fin height.

Higher fin segments are also weaker structurally, and they present more adverse conditions for interfin gas penetration. Also, the incremental surface generated by increasing the fin height is less and less effective, as compared to base tube surface and, therefore, is less cost effective because the lower fin efficiencies tend to negate some of the surface area gain. With lower fin efficiencies comes an increase in fin tip operating temperatures requiring the fin to be produced from more costly, higher heat resistant materials.

The present invention provides a cost effective way to increase the surface area of a serrated fin without spacing the fins closer together and without increasing the fin height. The present invention increases the surface area of segments of a serrated fin, either prior to or after serration, by causing the segments to be broadened, thus filling in a portion of each of the gaps which are formed between the segments in the normal process of serrating and forming the fins helically around the tube. The segments are broadened by impressing, cutting, flattening, rolling or

otherwise providing an indentation, multiple indentations, or a pattern of indentations onto either part or all of the segment's surfaces. Indentations may also be provided in the base portion of the fin to increase its surface area.

5

Summary of the Invention

The present invention is an enhanced fin for attachment to a hollow tube to create an enhanced serrated finned tube. The fin is attached to the tube within 15 degrees of perpendicular and is wrapped helically around the tube with adjacent spirals of the fin being spaced apart.

10 Either prior to or after serration and before the fin is attached to the tube, the fin is enhanced by impressing, cutting, flattening, rolling or otherwise providing indentations therein, thus broadening the segments and increasing their surface area. The indentations can be of an endless variety of patterns and designs. The indentations are provided in either a top surface, a bottom surface, or both top and bottom surfaces of the segments. Also, indentations may be provided in a base portion, i.e. an unserrated proximal

15

20 portion of the fin.

Brief Description of the Drawings

Figure 1 is a side view of an enhanced serrated finned tube constructed according to a preferred embodiment of the present invention;

25 Figure 2 is a cross-sectional view taken along line 2-2 of Figure 1;

Figure 3 is a cross-sectional view of a prior art serrated finned tube, similar to the view of the enhanced serrated finned tube shown in Figure 2;

Figure 4 is an enlarged partial view of the enhanced serrated finned tube shown in Figure 2;

Figure 5 is a top plan view of a serrated fin strip as it appears prior to being enhanced;

Figure 6 is a front elevation of the serrated fin strip shown in Figure 5;

Figure 7 is a front elevation of the serrated fin strip shown in Figure 6 illustrating a method for enhancing the serrated fin strip;

Figure 8 is an enlarged top plan view of a single enhanced segment having a long tapered indentation;

Figure 9 is a cross-sectional view taken along line 9-9 of Figure 8;

Figure 10 is an enlarged top plan view of a prior art segment; Figure 11 is a cross-sectional view taken along line 11-11 of Figure 10;

Figure 12 is an enlarged top plan view of a single enhanced segment having a broad flat indentation;

Figure 13 is a cross-sectional view taken along line 13-13 of Figure 12;

Figure 14 is an enlarged top plan view of a single enhanced segment having a central triangular indentation;

Figure 15 is a cross-sectional view taken along line 15-15 of Figure 14;

Figure 16 is an enlarged top plan view of a single enhanced segment having a long, double tapered indentation;

Figure 17 is a cross-sectional view taken along line 17-17 of Figure 16;

5 Figure 18 is an enlarged top plan view of a single enhanced segment having dotted indentations;

Figure 19 is a cross-sectional view taken along line 19-19 of Figure 18;

10 Figure 20 is an enlarged top plan view of segments having a diamond pattern indentation impressed therein;

Figure 21 is an enlarged top plan view of segments having a pin point pattern indentation impressed therein;

Figure 22 is an enlarged top plan view of segments having a horizontal ribbed pattern indentation impressed therein;

15 Figure 23 is an enlarged top plan view of segments having a pitted pattern indentation impressed therein;

Figure 24 is an enlarged top plan view of segments having a diagonal ribbed pattern indentation impressed therein;

20 Figure 25 is an enlarged top plan view of segments having jagged, grooved indentations provided at the distal tip of the fin; Figure 26 is a top plan view of a unserrated enhanced fin strip with undulations impressed therein;

Figure 27 is a front elevation of the unserrated enhanced fin strip illustrated in Figure 26;

25 Figure 28 is a front elevation of the unserrated enhanced fin strip of Figure 27 as it appears after being serrated.

Detailed Description of the Preferred Embodiments

Referring now to the drawings and initially to Figures 1 and 2, there is illustrated an enhanced serrated finned tube, generally designated by the reference numeral 10, constructed according to a preferred embodiment of the present invention. The enhanced serrated finned tube 10 is provided with a central hollow tube 12 with a fin 14 attached thereto, usually attached by welding and preferably by high frequency resistance welding. The fin 14 extends outward from and is within 15 degrees of perpendicular with the tube 12. The fin 14 is also wrapped helically around the tube 12 with adjacent spirals of the fin 14 spaced apart from each other. The fin 14 may be constructed of carbon steel, nickel alloys or other suitable material.

Referring now to Figure 4, the fin 14 has a base portion 16 located adjacent to the tube 12 and a serrated portion 18 located adjacent to the base portion 16 and extending away from the tube 12. The base portion 16 is provided with a proximal edge 20 and an opposite distal area 22. The proximal edge 20 attaches to the tube 12 to secure the fin 14 thereto. The serrated portion 18 is provided with a multiplicity of segments 24, with adjacent segments 24 separated by gaps 26. Each segment 24 is provided with a proximal area 28 which is attached to the distal area 22 of the base portion 16, and with a distal tip 30 located opposite the proximal area 28. As shown in Figures 1 and 4, each segment 24 has a top surface 32 and a bottom surface 34 opposite the top surface 32, and

two sides 36 located adjacent to the gaps 26 and on either side of the top and bottom surfaces 32 and 34.

Each segment 24 has a segment height 38 measured on the segment 24 from the proximal area 28 to the distal tip 30.

5 Likewise, the fin 14 has a fin height 40 measured from the proximal edge 20 of the base portion 16 to the distal tip 30 of the segments 24.

As illustrated in Figures 8 and 9, each segment 24 has at least one segment depth 42; each segment depth 42 is
10 measured from a point 44 on the top surface 32 of the segment 24, through the segment 24, i.e. from the top surface 32 to the bottom surface 34, perpendicularly to the segment height 38.

Obviously, if the top surface 32 and the bottom surface
15 34 are not parallel with each other, the segment depth 42 can vary depending upon which point 44 was selected for measuring the segment depth 42. As will become apparent, certain embodiments of the enhanced serrated finned tube 10 have segments 24 with top surfaces 32 and bottom surfaces 34 which
20 are not parallel.

Referring now to Figures 5 and 6, the base portion 16 has at least one base portion depth 46; each base portion depth 46 is measured from a spot 48 on the base portion 16, through the base portion 16 perpendicularly to the fin height 40.

25 Referring now to Figure 4, each segment 24 also has a proximal width 50 measured between the two sides 36 at the proximal area 28 of the segment 24 and a distal width 52

measured between the two sides 36 at the distal tip 30 of the segment 24.

Referring now to Figures 2, 3, 4, 8, 9 and 10, differences are illustrated between the enhanced serrated finned tube 10 and a prior art serrated fin tube, generally designated by numeral 10'. Similar to the enhanced serrated finned tube 10, the prior art serrated finned tube 10' is provided with all of the same features as previously described for the enhanced serrated finned tube 10; said features will be hereinafter referred to by designating the numeral of the same feature on the enhanced serrated finned tube 10, followed by a prime "'" symbol. For example, 12' is a central hollow tube provided on the prior art serrated finned tube 10' which corresponds with the central hollow tube 12 on the enhanced serrated finned tube 10.

First, the segments 24' of the prior art finned tube 10' have two sides 36' which are parallel with each other, and therefore, the segments 24' have distal widths 52' and proximal widths 50' which are equal to each other. This differs from the segments 24 of the enhanced serrated finned tube 10 which has distal widths 52 greater than its proximal widths 50. Widths 50 and 52 are not equal because the segments 24 have been enhanced and thus broadened.

Enhancing the segments 24 also produces a second difference in the enhanced serrated finned tube 10 with respect to the prior art serrated finned tube 10'. The second difference relates to the top and bottom surfaces 32 and 34 of the enhanced serrated finned 10 as compared to the top and

bottom surfaces 32' and 34' of the prior art serrated finned tube 10'.

Referring now to Figure 11, there is shown a cross-sectional view through the segment 24' of the prior art fin 14'. The top and bottom surfaces 32' and 34' are parallel with each other and the segment depth 42' is the same regardless of which point 44' on the top surface 32' is chosen. However, as illustrated in Figure 9, for example, the same is not true for the enhanced serrated fin 14 of the enhanced serrated finned tube 10. Depending on whether point 44 or an alternate point 44A on the top surface 32 is chosen, the segment depth 42 and an alternate segment depth 42A are not the same..

The fin 14 of the enhanced serrated finned tube 10 shown in Figures 8 and 9 is provided with a long, tapered indentation 54 impressed into both the top and bottom surfaces 32 and 34. By enhancing the fin 14 with the indentation 54, the segments 24 are thus broadened and their surface area is increased. Many patterns and designs are possible as indentations 54. A few possible embodiments are illustrated and discussed below.

Figures 12 and 13 illustrate another embodiment wherein a broad flat indentation 56 is impressed into both the top and bottom surfaces 32 and 34 at the distal tip 30 of the segment 24. Figures 14 and 15 illustrate another embodiment wherein a central triangular indentation 58 is impressed into both the top and bottom surfaces 32 and 34.

Figures 16 and 17 illustrate an additional embodiment wherein a long, double tapered indentation 60 is impressed into both the top and bottom surfaces 32 and 34.

Figures 18 and 19 illustrate another embodiment wherein
5 dotted indentations 62 are impressed into both the top and bottom surfaces 32 and 34.

Figures 20, 21, 22, 23, and 24 illustrate still other embodiments wherein the top and bottom surfaces 32 and 34 are impressed, respectively, with diamond pattern indentations 64,
10 pin point pattern indentations 66, horizontal ribbed pattern indentations 68, pitted pattern indentations 70, and diagonal ribbed pattern indentations 72.

Finally, Figure 25 illustrates another embodiment wherein the distal tips 30 of the segments 24 are impressed with
15 jagged, grooved indentations 74.

As an example of the amount of increase in surface area attainable by the present invention, the following percentages of surface area enhancement are attained utilizing a 2 inch tube 12, various fin heights 40, a base portion depth 46 of
20 18 gauge metal, a 0.172 inch proximal width 50, and various distal widths 52. The data listed below is attained for pie serrated fins 14 which are spaced five (5) fins 14 per inch of tube 12.

25	Distal Width		
	<u>Fin Height</u>	<u>of Segments</u>	<u>Surface Area Increase (In Percentage)</u>
	1 inch	0.256 inches	13.9
30	7/8 inch	0.237 inches	10.2
	3/4 inch	0.218 inches	6.7

Whereas several embodiments have been described above, the present invention is not limited to the specific embodiments disclosed. Although the enhanced serrated finned tube 10 has been

5 described as having indentations impressed in both the top and bottom surfaces 32 and 34 of the segments 24, the present invention encompasses embodiments wherein either the top surface 32 or the bottom surface 34 is enhanced, as well as embodiments wherein both the top and bottom surfaces 32 and
10 34 are enhanced. Also, enhancement is not confined to the serrated portion 18; the base portion 16 can also be enhanced. When the base portion 16 is enhanced, surface area is increased simply by roughening the base portion 16, not due to enhancing by broadening as previously described above for
15 the segments 24.

Referring now to Figures 5, 6 and 7 there is illustrated one method for producing the fin 14, i.e. enhancing after serrating and prior to the fin 14 being attached to the tube 12. Figures 5 and 6 illustrate a straight piece of unenhanced
20 serrated fin strip 76. Prior to enhancement, the base portion depth 46 and the segment depths 42 are all equal to each other. Figure 7 shows how the unenhanced serrated fin strip 76 passes between enhancing tools 78 and 80 and emerges as enhanced serrated fin 14 which is ready to be attached to the
25 tube 12 to form the enhanced serrated finned tube 10. If the base portion 16 is not enhanced, the base portion depth 46 will remain unaltered after enhancement. If the segments 24 are enhanced, their segment depths 42 and 42A will differ from

the base portion depth 46 and possibly differ from each other, depending on which points 44 or 44A are selected.

Alternately, another method for producing the fin 14, i.e. enhancing prior to serrating, is illustrated in Figures 26, 27 and 28. Figures 26 and 27 show a straight piece of unserrated enhanced fin strip 82. Figure 28 shows the same strip 82 after being serrated to form enhanced serrated fin 14 which is ready to be attached to the tube 12 to form the enhanced serrated finned tube 10.

Whereas two methods have been described above for producing the fin 14, the present invention is not limited as to the method of its production.

Whereas, the present invention has been disclosed in terms of the specific structure described above, it should be understood that other and further modifications, apart from those shown or suggested herein, may be made within the spirit and scope of this invention.

WHAT IS CLAIMED IS:

1. An enhanced serrated fin for attachment to a tube to form an enhanced serrated finned tube comprising:

the fin having a base portion and an opposite serrated portion, the base portion being provided with a proximal edge and an opposite distal area, said proximal edge being attached helically to the tube so the fin extends outward from the tube, said distal area being attached to the serrated portion, the serrated portion being provided with segments, indentations being provided in the fin in order to increase its surface area.

2. An enhanced serrated fin according to Claim 1 wherein indentations are provided in the base portion.

3. An enhanced serrated fin according to Claim 1 further comprising:

the segments having proximal areas and opposite distal tips, said proximal areas being attached to the distal area of the base portion, said distal tips being provided with jagged, grooved indentations.

4. An enhanced serrated fin according to Claim 1 further comprising:

each segment having a top surface and an opposite bottom surface, at least one surface being provided with indentations which broaden said segments and increase their surface area.

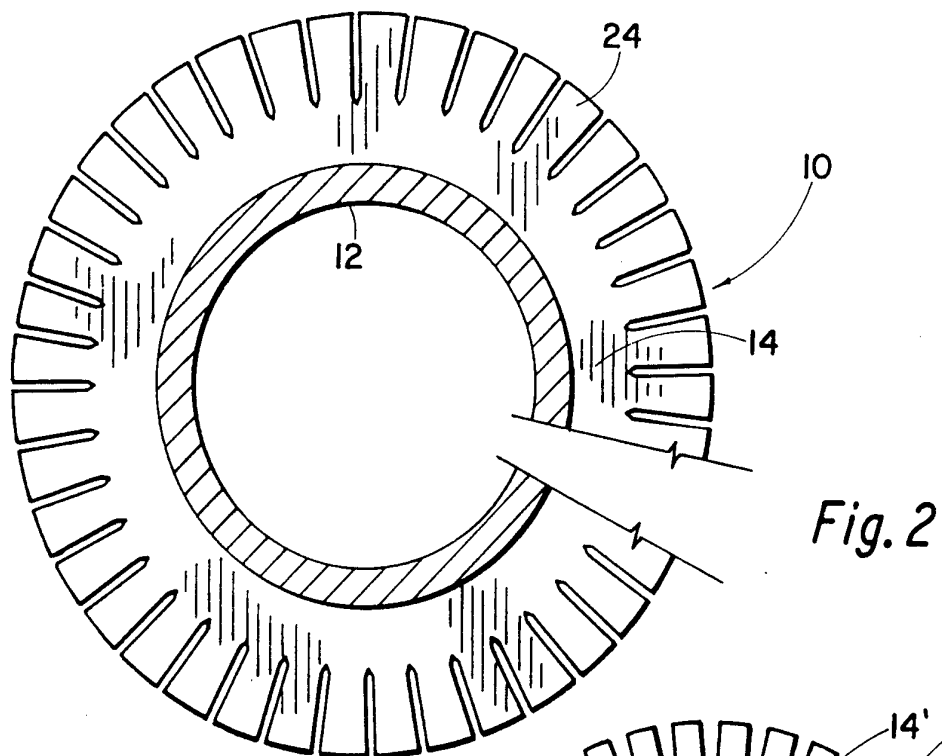
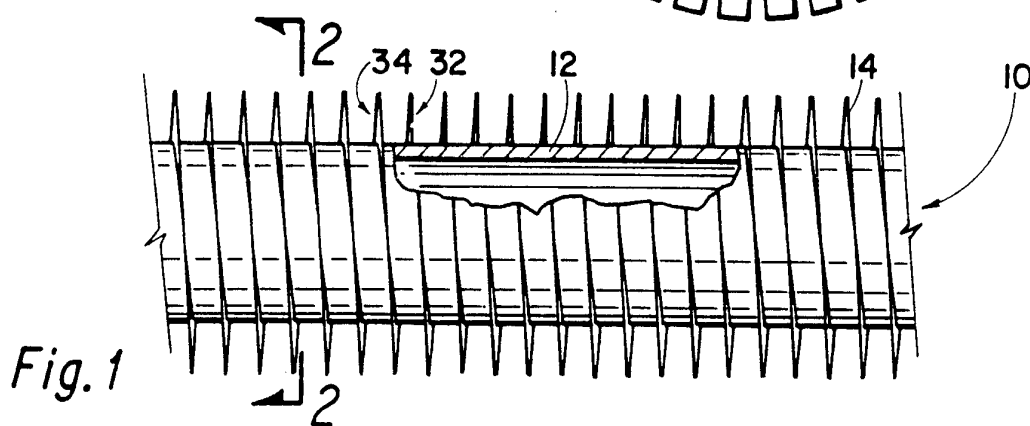
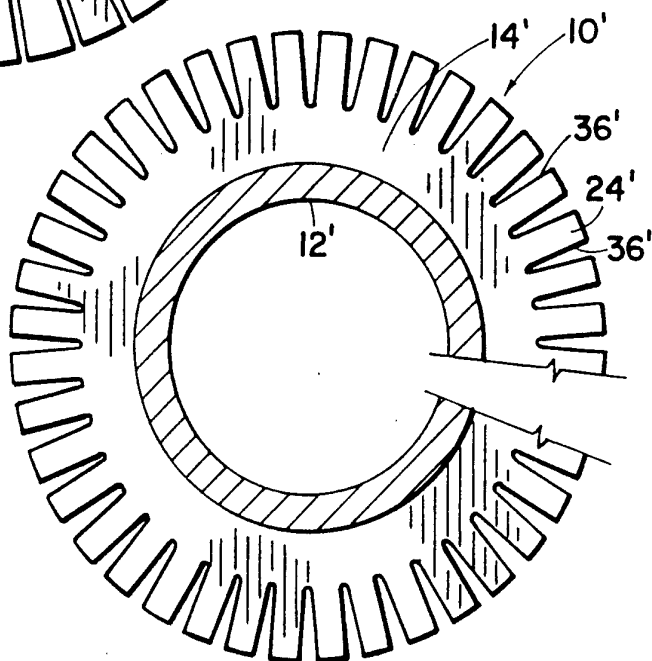
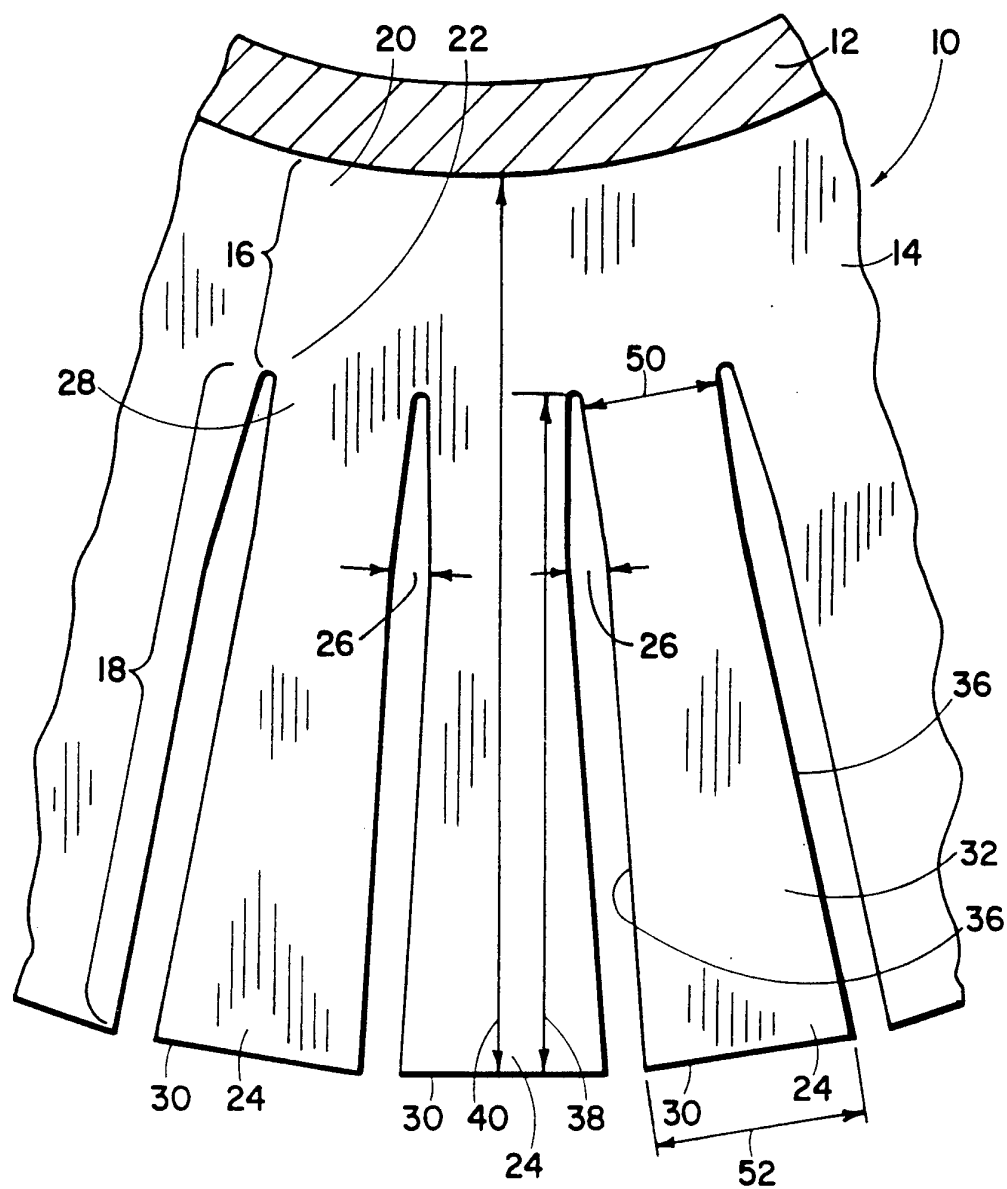
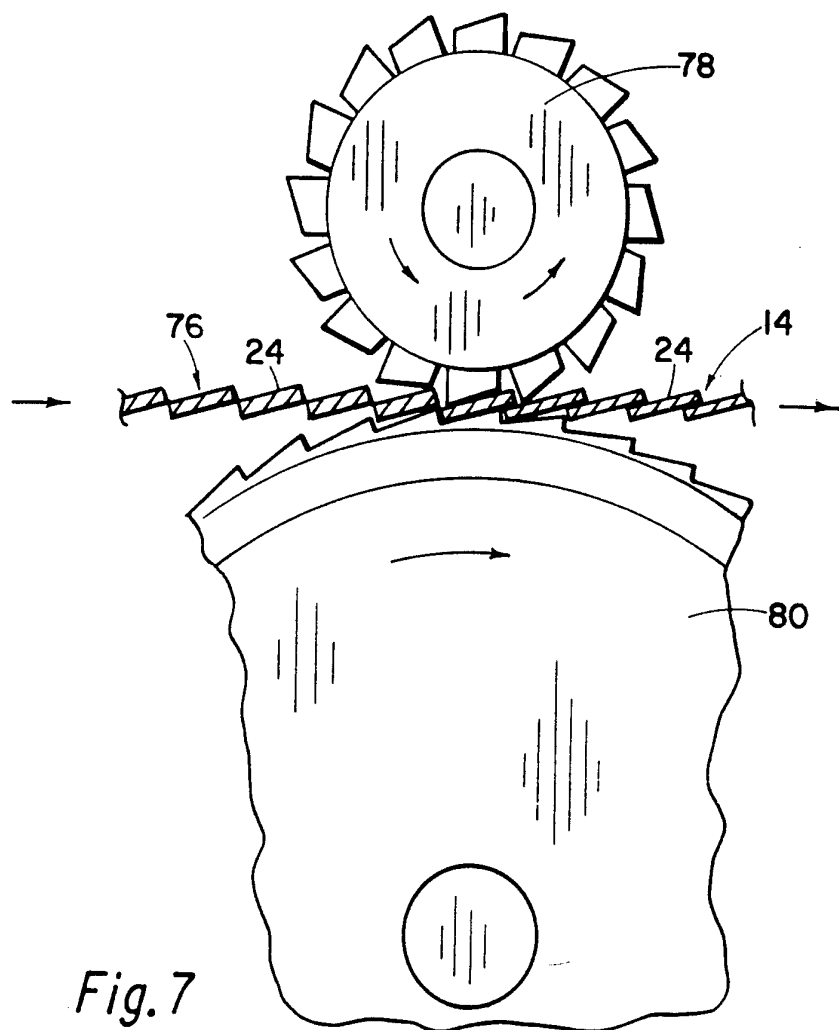
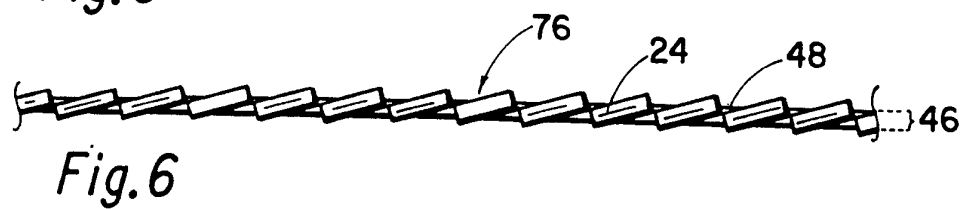
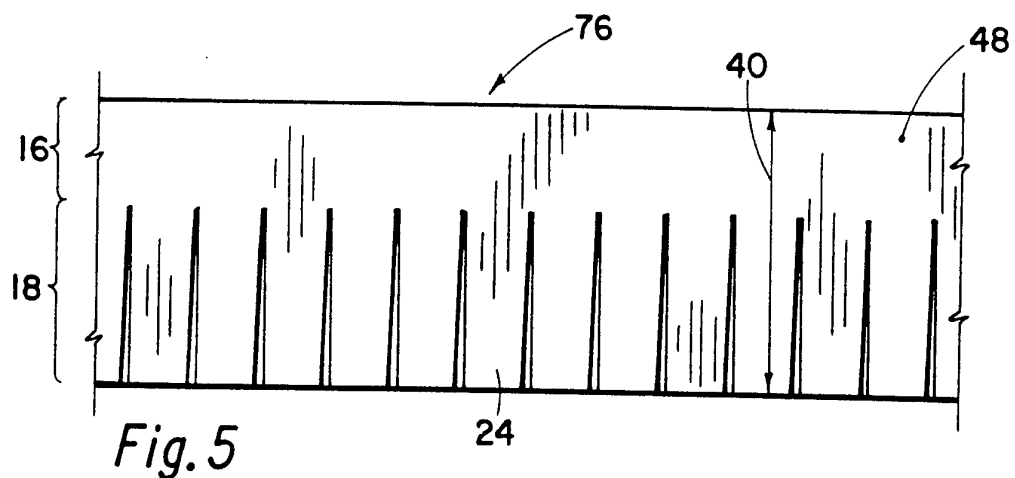
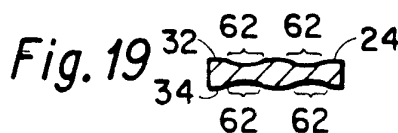
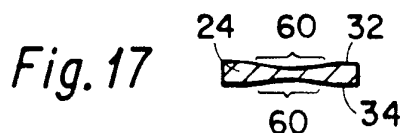
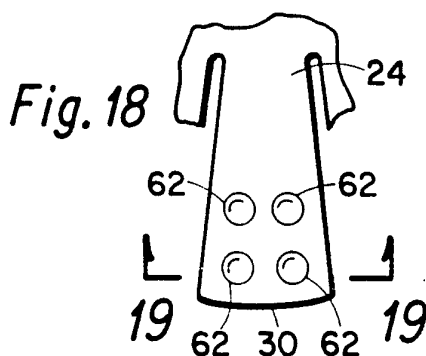
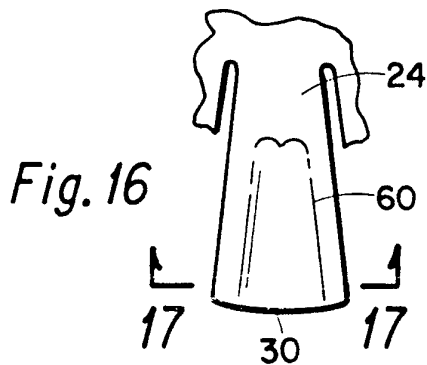
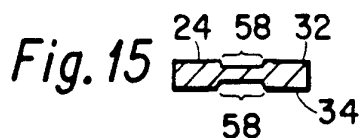
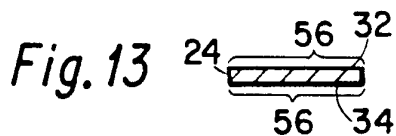
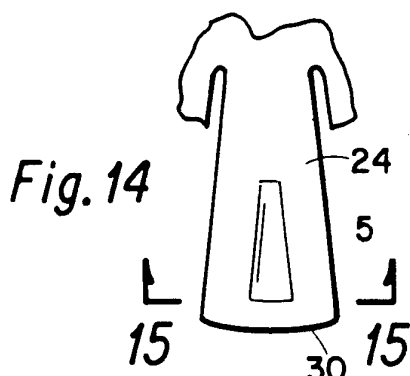
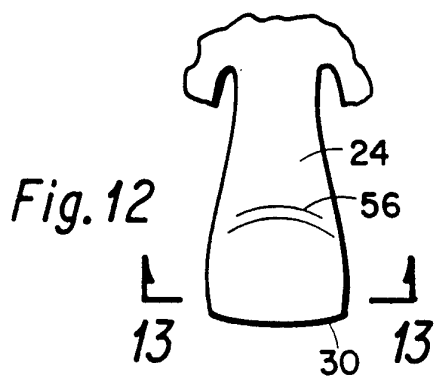
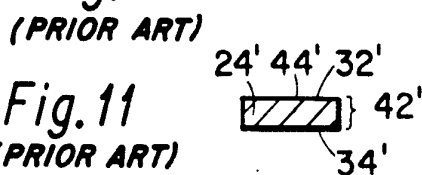
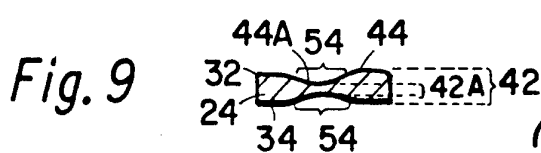
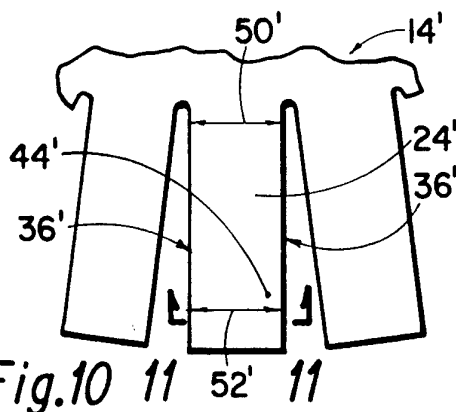
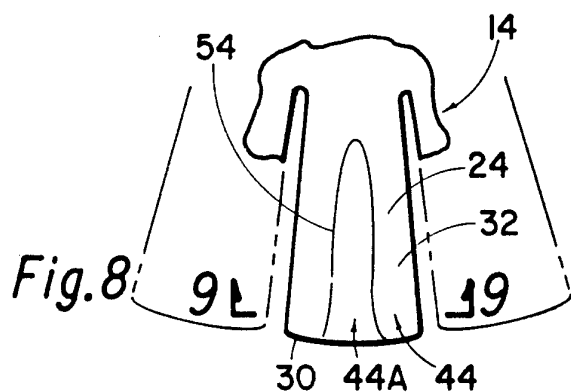


Fig. 3
(PRIOR ART)



*Fig. 4*





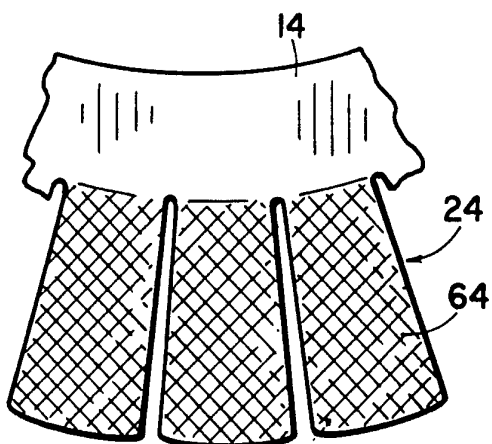


Fig. 20

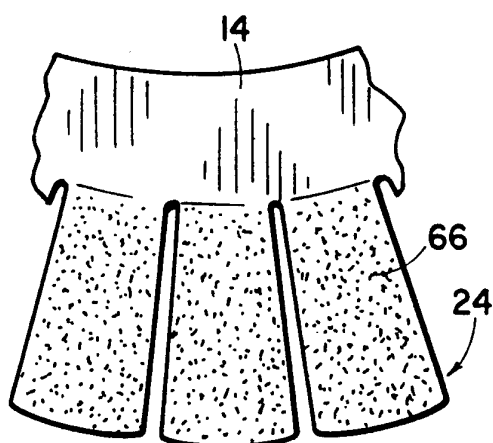


Fig. 21

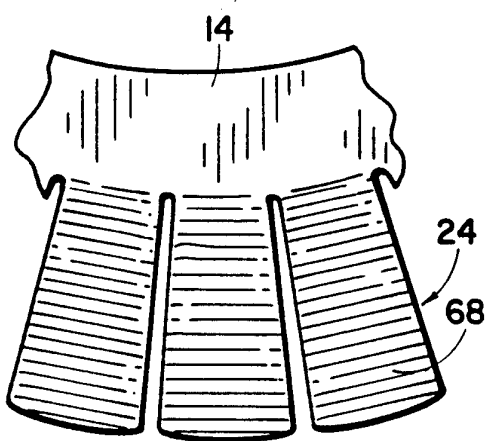


Fig. 22

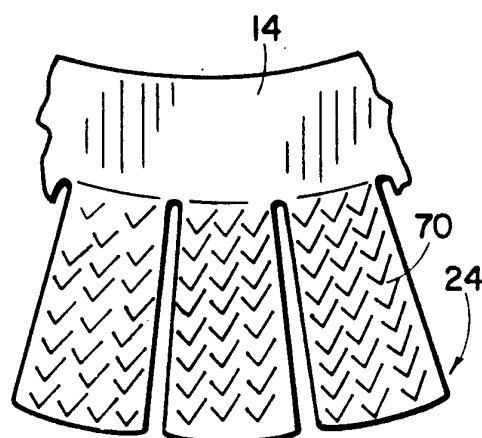


Fig. 23

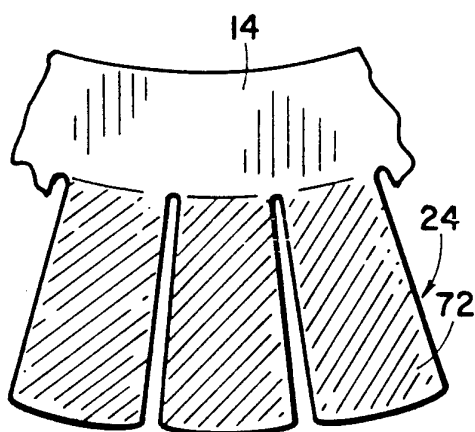


Fig. 24

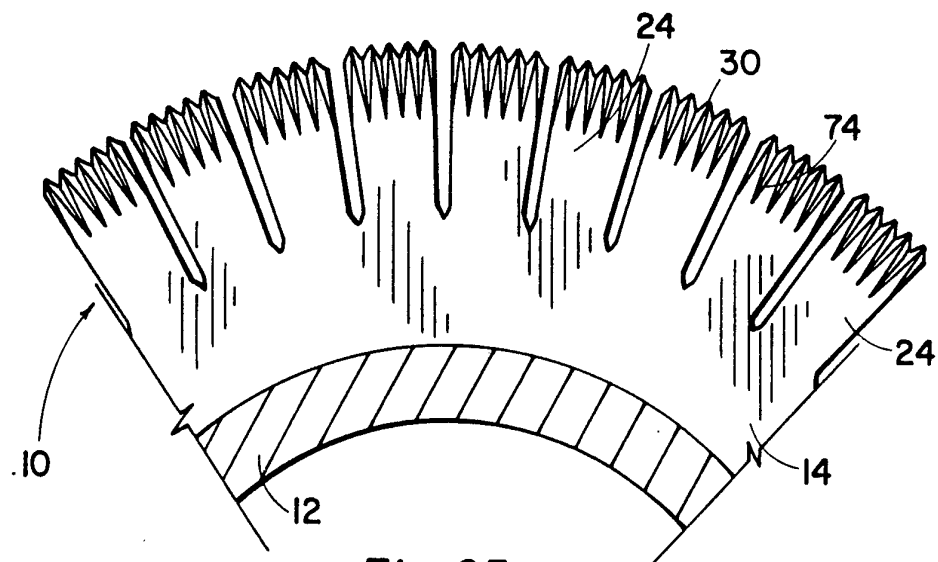


Fig. 25

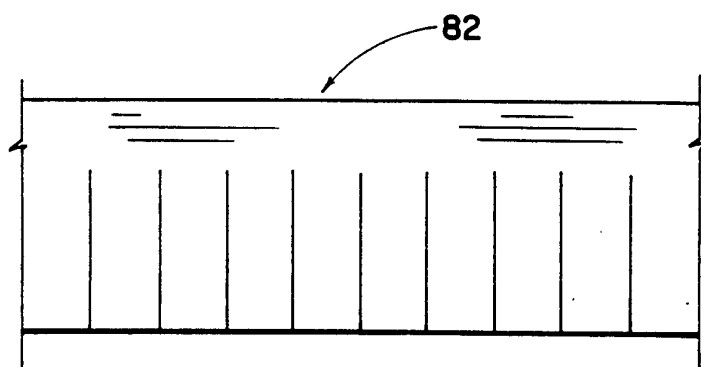


Fig. 26

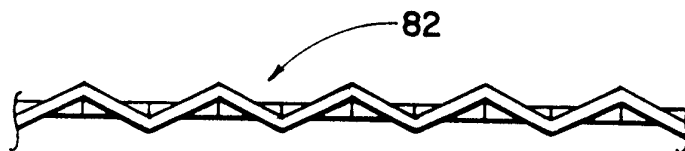


Fig. 27

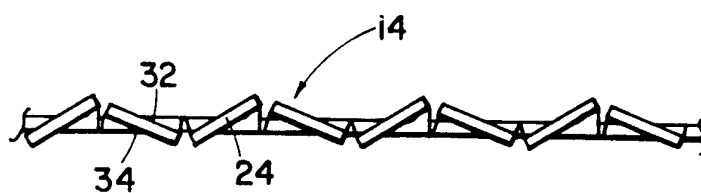


Fig. 28

INTERNATIONAL SEARCH REPORT

 International application No.
PCT/US93/06553

A. CLASSIFICATION OF SUBJECT MATTER

IPC(5) : F28F 1/36

US CL : 165/184

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 165/184, 181

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US, A, 4,258,782 (Kao) 31 March 1981, Fig. 4, lines 18-22 of col. 2.	1, 4
Y	JP, A, 61-1995 (Oguro) 07 January 1986, Figs. 3 & 4, col. 4.	2, 3
Y	GB, A, 906,282 (Carr) 19 September 1962, Figs. 1, 4, 6, 7, lines 32-42 and 116-129 of page 2, lines 21-33 of page 3.	2, 3
A	US, A, 4,648,441 (van de Sluys et al) 10 March 1987	1-4
A	SZ, A, 235,639 (Brown, Boveri & Cie.) 15 December 1944	1-4
A	JP, A, 56-130,598 (Kamisaka) 13 October 1981	1-4

☒ Further documents are listed in the continuation of Box C.
 ☐ See patent family annex.

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Date of the actual completion of the international search

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 Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. NOT APPLICABLE

Authorized officer

ALLEN J. FLANIGAN

Telephone No. (703) 308-1015

INTERNATIONAL SEARCH REPORT

International application No.
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C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP,A, 169,478 (Semedard et al) 29 January 1986	1-4