## (19) World Intellectual Property Organization

International Bureau





### (43) International Publication Date 7 November 2002 (07.11.2002)

### **PCT**

### (10) International Publication Number WO 02/088664 A2

(51) International Patent Classification7:

G01N

(21) International Application Number: PCT/US02/13055

(22) International Filing Date: 23 April 2002 (23.04.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:

60/286,682 26 April 2001 (26.04.2001) 60/286,870 26 April 2001 (26.04.2001)

- (71) Applicant (for all designated States except US): BRIS-TOL-MYERS SQUIBB COMPANY [US/US]; P.O.Box 4000, Lawrenceville-Princeton Rd., Princeton, NJ 08543 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): SPROCKEL, Omar, Leopold [US/US]; 1250 Dogwood Dr., Bridgewater, NJ 08807 (US). LAI, Chiajen [CN/US]; 24 Labrador Dr., Kendall Park, NJ 08824 (US). DISCORDIA, Robert, Paul [US/US]; 1 Meadow Lane, Pennington, NJ 08534 (US). WEI, Chenkou [US/US]; 44 Windsor Dr., Princeton Junction, NJ 08550 (US). KOTHARI, Sanjeev, H. [IN/US]; 99 Harvard Circle, Princeton, NJ 08540 (US). KIM, Soojin [US/US]; 21 Linden Ae., West Orange, NJ 07052 (US).

- Agents: PEIST, Kenneth et al.; Bristol-Myers Squibb Co., P.O. Box 4000, Lawrenceville-Princeton Rd., Princeton, NJ 08543 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

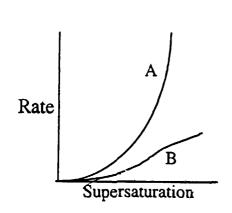
### Published:

without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: CONTROL OF COMPACTABILITY THROUGH CRYSTALLIZATION

# WO 02/088664 A2



(57) Abstract: The invention is directed toward a method for increasing the compactability of an active ingredient by determining the crystallization parameters of the active ingredient that affect compactability; and controlling the crystallization parameters to achieve increased compactability.

### Control of Compactability through Crystallization

### **Related Applications**

5

This application claims priority benefit under Title 35 § 119(e) of United States provisional Application No. 60/286,682 filed April 26, 2001, and United States provisional Application No. 60/286,870, filed April 26, 2001. The contents of which are herein incorporated by reference.

10

15

20

25

30

35

### Field of Invention

The present invention relates generally to the enhancement of the compactability of an active ingredient through control of crystallization.

### **Background of the Invention**

Formulation of tablets used in the pharmaceutical industry usually involves the mixing of the active pharmaceutical ingredient ("API") with excipient(s). Because the excipient tends to be the predominant portion of tablets, compaction typically entails excipient selection, enhancing the excipient's properties, or improving the process to mix or formulate the tablet. However, when a high API drug load is desired selection and/or manipulation of the excipient or process may not be enough to sufficiently compact the tablet. Furthermore, because of the high drug load, the mechanical properties (such as compactability) of the API predominate. The impact of insufficient compaction may lead to larger size tablets or the need for a patient to take more tablets then would be required if compaction were sufficient to obtain the desired drug load.

Currently, there are two general approaches to designing high drug load oral tablets containing API with low compactability (see *Pharmaceutical Powder Compaction Technology*, 1996, Ed. G. Alderborn and C. Nystrom, hereby incorporated by reference). The first approach is to add a pharmaceutically acceptable excipient(s) as a compaction aid. The second approach is to increase the

5

10

15

20

25

30

compactability of the API through mechanical comminution. These two approaches are discussed in turn below.

In the first approach, the addition of excipient(s) to aid in compactibility does not address the deficiency in API compactability, but rather circumvents this shortcoming by the addition of excipients as a compaction aid. The addition of excipient(s) to a powder mixture does improve the performance of the powder mixture relative to that of the API; however, the addition of such compaction aids will lower the maximum API drug load per tablet, thereby increasing the size of the tablet per unit dose. This is commercially undesirable. In addition, these compaction aids are susceptible to a reduction in their compactability due to pharmaceutical processes, such as granulation. Hence, for optimal performance, these compaction aids should be matched with the API based on its mechanical characteristics.

In the second approach, API compactability is increased through the use of mechanical comminution (a.k.a., milling) which is an onerous process and can add significantly to drug product finishing costs. It is generally acknowledged that both particle size and particle shape (morphology) can have a dominant effect on material compactability. However, the effect of particle size on compaction can be positive or negative depending on the particular material studied (see, N. Kaneniwa, K. Imagawa, and J-C. Ichikawa, "The Effects of Particle Size and Crystal Hardness on the Compaction of Crystalline Drug Powders", Powder Technology Bulletin Japan, 25 (6), 381 (1988), hereby incorporated by reference). In addition, the crystal morphology can be very critical to the amount of energy needed to bring the particles to full contact with each other therefore making a tablet with strong enough internal bonding strength. Further, comminution of API powder is a dusty and difficult operation, that is not friendly to large scale manufacturing. The level of increase in compactability with a reduction in API particle through mechanical means is unknown and may be insufficient to provide a high drug load tablet. Most importantly, a severe negative effect of mechanical comminution is the potential of increasing the amorphous content within the particles that could lead to serious stability problems.

Hence, there is a need to develop novel approaches to enhance the compactability of API powders.

5

10

15

20

25

30

preferred choice.

### **Summary of the Invention**

The instant invention provides a method for increasing the compactability of an active ingredient comprising determining the crystallization parameters of the active ingredient that affect compactability; and controlling said crystallization parameters to achieve increased compactability. The invention also provides a process for producing a solid dosage form having a high active ingredient load comprising determining the crystallization parameters of the active ingredient; controlling said crystallization parameters to achieve increased compactability; compacting the active ingredient into a tablet. The invention further provides the solid dosage form(s) produced by the process of the instant invention.

### **Description of Drawings:**

Figure 1 shows the nucleation and growth rate dependence on supersaturation.

Figure 2 shows the process employed to increase the compactability of the API. It can be seen from Figure 3 that on milling the API there was a gain in compactability after milling the API. However, milling the API also led to a reduction in the crystallinity of the API as seen from the X-ray diffraction patterns in Figure 4. This amorphization through the milling process can lead to chemical instability of the API. It is also evident from Figure 5 that particle size differences do not result in differences in degree of volume reduction. Hence, the differences in compactability are not related to the extent of volume reduction as the extent of volume reduction is independent of the particle size. This clearly illustrated that modification of the

crystallization process parameters to achieve higher compactability of the API is the

Figure 6 through 15 are also provided to illustrate properties of the API. Figure 6 shows the particle size distribution of the API. Figure 7 shows data related to the compactability of the API.

Figure 8 shows the compactability of the API with dry binders.

Figure 9 shows the effect of particle size on the compressibility of the API.

Figure 10 shows the effect of particle size on the extent of compaction of the API.

Figure 11 shows the effect of seed amount and size during crystallization.

5 Figure 12 shows the effect of seed size/amount on crystal structure.

Figure 13 shows the performance of the API produced with Optimized Crystallization Conditions.

Figure 14 shows the effect of speed on API tablet thickness.

Figure 15 shows the effect of speed on API tablet breaking force.

Figure 16 shows the compressibility of the API.

[Note: The API of Figures 1-16 is the compound of Example 1]

### **Description of the Invention**

15

20

25

30

The instant invention provides a method for improving the compactability of an active ingredient ("AI") by establishing a relationship between the crystallization parameters of the AI and the compactability of the AI. By establishing such a relationship it has been discovered that the improvement in AI compactability may be achieved without the limitations of the conventional approaches described above. Essentially, once such a relationship has been established, compactability of the AI can be manipulated by controlling the AI crystallization parameters. The invention is particularly useful to enhance the compactability of API for high drug load tablets.

Listed below are definitions and non-limiting descriptions of various concepts and techniques used to formulate, measure and evaluate various properties of AIs, excipients and tablets.

The term "AI" (or "active ingredient") is meant to include API(s) (active pharmaceutical ingredient(s)). "Active ingredient" may also be referred to as an "active agent". The AI(s) used in the method of the instant invention include, but are not limited to, systemically active therapeutic agents, locally active therapeutic agents, disinfecting agents, chemical impregnants, cleansing agents, deodorants,

fragrances, dyes, animal repellents, insect repellents, fertilizing agents, pesticides, herbicides, fungicides, and plant growth stimulants, and the like.

The phrase "increasing the compactability of an active ingredient" means increasing the compactability above what would normally be attainable without using the novel process described herein.

A wide variety of APIs can be used in the method of the present invention. The APIs include both water soluble and water insoluble drugs. Examples of such APIs include, but are not limited to, anti-cancer agents, antihistamines, analgesics, non-steroidal anti-inflammatory agents, anti-emetics, anti-epileptics, vasodilators, anti-tussive agents and expectorants, anti-asthmatics, antacids, anti-spasmodics, antidiabetics, anti-obesity, diuretics, anti-hypotensives, antihypertensives, bronchodilators, steroids, antibiotics, antihemorrhoidals, hypnotics, psychotropics, antidepressants, antidiarrheals, mucolytics, sedatives, decongestants, laxatives, vitamins, stimulants, and appetite suppressants. The above list is not meant to be exclusive.

Locally active agents can be used and include both water soluble and water insoluble agents. The locally active agent(s) which may be included in the controlled release formulation of the present invention is intended to exert its effect in the environment of use, e.g., the oral cavity, although in some instances the active agent may also have systemic activity via absorption into the blood via the surrounding mucosa.

25

30

5

10

15

20

The locally active agent(s) may include anti cancer agents, antifungal agents, antibiotic agents, antiviral agents, breath freshener, antitussive agents, anti-cariogenic, analgesic agents, local anesthetics, oral antiseptics, anti-inflammatory agents, hormonal agents, antiplaque agents, acidity reducing agents, and tooth desensitizers. This list is not meant to be exclusive.

The solid formulations produced from the method of the invention may also include other locally active agents, such as flavorants and sweeteners. Generally any flavoring or food additive such as those described in Chemicals Used in Food Processing, pub 1274 by the National Academy of Sciences, pages 63-258 (hereby incorporated by reference) may be used.

5

10

15

20

25

30

The tablets formed by the methods of the present invention may also contain effective amounts of coloring agents, (e.g., titanium dioxide, F.D. & C. and D. & C. dyes; see the Kirk-Othmer Encyclopedia of Chemical Technology, Vol. 5, pp. 857-884, hereby incorporated by reference), stabilizers, binders, odor controlling agents, and preservatives.

The term "as is" (when referring to the "AI", "API", or "material") means that the AI, API, or material has not gone through processing such as mechanical comminution or milling.

The term "excipient" means all ingredients other than the AI. Excipients used with the method of the instant invention shall include, but not limited to those described in the *Handbook of Pharmaceutical Excipients*, Second Edition, Ed. A. Wade and P. Weller, 1994, American Pharmaceutical Association, hereby incorporated by reference. In order to prepare a solid dosage form containing one or more active ingredients, it is often necessary that the material (which is to be compressed into the dosage form) possess certain physical characteristics which lend themselves to processing in such a manner. Among other things, the material to be compressed must be free-flowing, must be lubricated, and, importantly, must possess sufficient cohesiveness to insure that the solid dosage form remains intact after compression.

In the case of tablets, the tablet is formed by pressure being applied to the material to be tableted on a tablet press. A tablet press includes a lower punch which fits into a die from the bottom and a upper punch having a corresponding shape and dimension which enters the die cavity from the top after the tableting material fills the

die cavity. The tablet is formed by pressure applied on the lower and upper punches. The ability of the material to flow freely into the die is important in order to insure that there is a uniform filling of the die and a continuous movement of the material from the source of the material, e.g. a feeder hopper. The lubricity of the material is crucial in the preparation of the solid dosage forms since the compressed material must be readily ejected from the punch faces.

5

10

15

20

25

30

Since most drugs have none or only some of these properties, methods of tablet formulation have been developed in order to impart these desirable characteristics to the material(s) which is to be compressed into a solid dosage form. Typically, the material to be compressed into a solid dosage form includes one or more excipients which impart the free-flowing, lubrication, and cohesive properties to the drug(s) which is being formulated into a dosage form.

Lubricants are typically added to avoid the material(s) being tableted from sticking to the punches. Commonly used lubricants include magnesium stearate and calcium stearate. Such lubricants are commonly included in the final tableted product in amounts of less than 2% by weight.

In addition to lubricants, solid dosage forms often contain diluents. Diluents are frequently added in order to increase the bulk weight of the material to be tableted in order to make the tablet a practical size for compression. This is often necessary where the dose of the drug is relatively small. The choice of excipients used in dosage forms with a high drug load is essential to the mechanical performance of the formulation. For example, if the API is to be used in greater than 50% concentration may need to be balanced by use of ductile excipients. Conversely, if the API is ductile, one may want to use an excipient that would minimize the chances of the formulation being speed sensitive.

Another commonly used class of excipients in solid dosage forms are binders.

Binders are agents which impart cohesive qualities to the powdered material(s).

Commonly used binders include starch, and sugars such as sucrose, glucose, dextrose,

lactose, povidone, methylcellulose, hydroxypropyl cellulose, and hydroxypropyl methylcellulose,.

Disintegrants are often included in order to ensure that the ultimately prepared compressed solid dosage form has an acceptable disintegration rate in an environment of use (such as the gastrointestinal tract). Typical disintegrants include starch derivatives, salts of carboxymethyl cellulose, and crosslinked polymers of povidone.

5

15

20

25

30

There are three general methods of preparation of the materials to be included in the solid dosage form prior to compression: (1) dry granulation; (2) direct compression; and (3) wet granulation.

Dry granulation procedures may be utilized where one of the constituents, either the drug or the diluent, has sufficient cohesive properties to be tableted. The method includes mixing the ingredients, slugging or roller compacting the ingredients, dry screening, lubricating and finally compressing the ingredients.

In direct compression, the powdered material(s) to be included in the solid dosage form is compressed directly without modifying the physical nature of the material itself.

The wet granulation procedure includes mixing the powders to be incorporated into the dosage form in, e.g., a twin shell blender or double-cone blender and thereafter adding solutions of a binding agent to the mixed powders to obtain a granulation. Thereafter, the damp mass is screened, e.g., in a 6- or 8-mesh screen and then dried, e.g., via tray drying, the use of a fluid-bed dryer, spray-dryer, radio-frequency dryer, microwave, vacuum, or infra-red dryer. The dried granulation is dry screened, lubricated and finally compressed.

The use of direct compression is typically limited to those situations where the drug or active ingredient has a requisite crystalline structure and physical characteristics required for formation of a pharmaceutically acceptable tablet. On the

other hand, it is well known in the art to include one or more excipients which make the direct compression method applicable to drugs or active ingredients which do not possess the requisite physical properties. For solid dosage forms wherein the drug itself is to be administered in a relatively high dose (e.g., the drug itself comprises a substantial portion of the total tablet weight), it is necessary that the drug(s) itself have sufficient physical characteristics (e.g., cohesiveness) for the ingredients to be directly compressed.

A rational selection of manufacturing process has to be made based on the deformation mechanism of the active ingredient. For example, avoid dry granulation with very brittle materials, while choosing wet granulation in order to overcome elasticity issues.

Typically, however, excipients are added to the formulation which impart good flow and compression characteristics to the material as a whole which is to be compressed. Such properties are typically imparted to these excipients via a preprocessing step such as wet granulation, slugging or roller compaction, spray drying, spheronization, or crystallization. Useful direct compression excipients include processed forms of cellulose, sugars, and dicalcium phosphate dihydrate, among others.

20

25

30

15

5

10

A processed cellulose, microcrystalline cellulose, has been utilized extensively in the pharmaceutical industry as a direct compression vehicle for solid dosage forms. Microcrystalline cellulose is commercially available under the tradename EMCOCEL<sup>TM</sup> from Edward Mendell Co., Inc. and as Avicel<sup>TM</sup> from FMC Corp. Compared to other directly compressible excipients, microcrystalline cellulose is generally considered to exhibit superior compressibility and disintegration properties.

The preferred size of a commercially viable tablet is constrained on the low side (approximately 100 mg) by a patients ability to handle it, and on the high side (approximately 800 mg) by the ease of swallowing. These weights assume a formula of average density (0.3 g/mL to 0.6 g/mL). The desired tablet weight range is 200 mg to 400 mg. The preferred formulation would possess the desired properties of good

flow and good compactability, but at the same time requiring the least amount of excipients to overcome any deficiency in the API physical properties. Hence, it is advantageous to have the API possess as much of the desired qualities as possible.

Generally, to form an AI containing tablet, a given weight of powder bed (constituted of the AI or a mixture thereof with excipient(s)) is subjected to compression pressure in a confined space, as in a die between the upper and lower punch, it undergoes volume reduction leading to consolidation, thereby forming a tablet. The change in volume that occurs due to the applied pressure can be measured from the dimensions of the resulting tablet. The extent of volume change over the pressure range applied represents the extent of compression or volume reduction that the material undergoes. Similarly the slope or response of volume change with respect to pressure represents the compressibility of the powder. Consolidation occurs due to fresh new surfaces generated through the volume reduction process (either a plastic deformation or brittle fracture) that come in close contact at distances where interparticulate bonds become active. These bonds could be either intermolecular forces or weak dispersion forces depending on the juxtaposition of the contact points and the chemical environment existing around them. The consolidated powder bed, now a tablet, has a strength of its own that allows it to resist failure or further deformation when subjected to mechanical stress. The strength of the tablet can be conveniently measured in terms of a tensile test. In a "tensile test", the tablet is subjected to stress in a direction perpendicular to its plane having the longest width/diameter. The strength determined from this test is known as the "tensile strength" of the tablet.

25

30

5

10

15

20

AI powders generally show greater degree of consolidation with increasing compression pressure. However, it is virtually impossible to produce a compact that has no air in it or, in other words, is a 100% solid body. With increasing consolidation, there is in general, an increase in the tensile strength of the compact produced. The measure of increase in strength with increasing compression pressure (slope) is used as a measure of the ability of the material to respond to compression pressure or the "compactability". The extent of compaction can also be monitored by

measuring the area under the curve of such a profile as described in the preceding sentence.

The instant invention provides a novel method for engineering those properties that enhance its compactability into the AI material to be compacted. There are several crystallization parameters which can be systematically studied for their effect on material compactability. Examples of such crystallization parameters include, but are not limited to, sonication, seed size, seed amount, volume of antisolvent, crystallization temperature, cooling profile, rate of agitation, as well as other parameters known to those skilled in the art. Generally, the crystallization process involves both nucleation and growth. Their empirical dependence on supersaturation is shown in Figure 1 which is a schematic representation of the nucleation (homogeneous, unseeded; Curve A) and growth rate (Curve B) dependence on supersaturation. One way to manipulate the crystallization process is to control the degree of supersaturation. For example, if large particle size is desirable, one can reduce supersaturation and therefore decrease the rate of nucleation and let the material in solution to crystallize/deposit upon existing crystals which serves as nucleates. On the other hand, if small particle size is desired, higher supersaturation usually force an increase in nucleation rate and consequently material in solution would prefer to initiate a nucleate and start a new crystal entity. The shape of the crystals (morphology), or the crystallization habit of the crystals, may or may not be changed by this modification depending on the material of interest. Through the manipulation of the supersaturation, it is possible to control the compactability of the end product AI.

25

30

5

10

15

20

Another way to modify the crystallization process is to enhance nucleation by introducing more seeds or to preclude nucleation by using no seeds at all and shift the balance between nucleation and growth for a specific degree of supersaturation. This approach is especially useful for materials with an extremely slow or fast nucleation rate.

For example, in a crystallization system where nucleation is slow and if only limited amount of seeds are present, supersaturation tends to drive the material in solution to grow upon the seeds instead of initiating new crystals. The results will be larger crystals upon the completion of the crystallization. Although there are other factors (e.g. the selection of different solvents) which might affect the morphology of the particles and therefore impact their performance, the application of excessive seeding definitely provides a powerful tool to control the particle size and accordingly the compactability of the product.

5

10

15

20

25

30

Figure 2 is provided as a non-limiting aid to help understand the overall process of increasing the compactability of the AI. As such, Figure 2 shows a feedback loop wherein the AI particles, or blends of AI and excipient(s), are evaluated for their deformation mechanism using mechanical tests such as the tablet indices procedure described herein. Further, other techniques such as the compressibility and compactability experiments described herein are used to help identify whether the AI is predominantly brittle or ductile under compression stress. If the AI is found to be brittle, the crystallization process is modified using the approaches described herein so as to achieve maximum compressibility and compactability by altering the crystal morphology/size/shape/surface area/surface energy. If the AI is determined to be ductile but exhibits low tensile strengths then the route of altering the crystallization process is taken to achieve maximum compactability. However, if tensile strength is not the issue but viscoelasticity is, then the crystallization approach can look at how the crystals can be made harder (e.g. high temperature treatment, etc.) The modified crystals and resulting powders are then re-evaluated for their mechanical properties through the feedback loop until the desired properties are attained.

Hence, the instant invention provides a method for increasing the compactability of an active ingredient comprising determining the crystallization parameters of the active ingredient; and controlling said crystallization parameters to achieve increased compactability.

In another embodiment, the invention provides a method for increasing the compactability of an active ingredient comprising the steps of: 1) determining the

desired compactability of the active ingredient; 2) evaluating the compactability of the active ingredient; 3) determining the crystallization parameters of the active ingredient; and 4) controlling said crystallization parameters to produce the active ingredient having said desired compactibility. In another embodiment, there is more than one active ingredient.

In a preferred embodiment, the method further comprises selecting at least one excipient having desirable mechanical properties. An excipient so selected should have a high compressibility, a high compactability, a high bonding index, and a low brittle fracture index. The methodology to determine these properties is described herein. Preferred excipients include microcrystalline cellulose, sodium starch glycolate, silicon dioxide and magnesium stearate. Other preferred excipients include diluents: lactose, maltodextrin, Mannitol, sorbitol, sucrose, calcium phosphate; disintegrants: Croscarmellose sodium, crospovidone, pregelatinized starch; lubricants: stearic acid, sodium stearate, calcium stearate, sodium stearyl fumarate; and glidant, talc.

In another preferred embodiment, the desired AI content in the final solid dosage form is greater than about 35%. In yet another preferred embodiment the desired AI content in the final solid dosage from is greater than about 50%. In yet another preferred embodiment the desired AI content in the final solid dosage from is greater than about 60%. In yet another preferred embodiment the desired AI content in the final solid dosage from is greater than about 70%. In yet another preferred embodiment the desired AI content in the final solid dosage form is greater than about 80%. In another preferred embodiment the desired AI content is greater than 90%.

In another preferred embodiment, the AI is an API.

In a preferred embodiment, the API is of the general formula (I):

5

10

15

20

25

where  $R^1$  is  $C_{1-7}$  alkyl,  $C_{2-6}$  alkenyl,  $C_{1-6}$  alkyl-aryl, aryl,  $C_{1-6}$  alkyl-heteroaryl, heteroaryl or

 $C_{1-6}$  alkyl-AR<sup>9</sup> group where A is O, NR<sup>9</sup> or S(O)<sub>m</sub> where m=0-2, and R<sup>9</sup> is H,  $C_{1-4}$  alkyl, aryl, heteroaryl,  $C_{1-4}$  alkyl-aryl or  $C_{1-4}$  alkyl-heteroaryl; if A=NR<sup>9</sup> the groups R<sup>9</sup> may be the same or different,

R<sup>2</sup> is hydrogen or a C<sub>1-6</sub> alkyl group;

5

10

15

20

 $R^3$  is a  $R^6$  group where Alk is a  $C_{1\text{-}6}$  alkyl or  $C_{2\text{-}6}$  alkenyl group and n is zero or 1;

X is heteroaryl or a group CONR<sup>4</sup> R<sup>5</sup> where R<sup>4</sup> is hydrogen or an  $C_{1-6}$  alkyl, aryl, heteroaryl,  $C_{1-6}$  alkyl-heteroaryl, cyclo( $C_{3-6}$ )alkyl,  $C_{1-6}$  alkyl-cyclo( $C_{3-6}$ )alkyl, heterocyclo( $C_{4-6}$ )alkyl or  $C_{1-6}$  alkyl-heterocyclo( $C_{4-6}$ )alkyl group and R<sup>5</sup> is hydrogen or  $C_{1-6}$  alkyl; NR<sup>4</sup> R<sup>5</sup> may also form a ring;

 $R^7$  is hydrogen or the group  $R^{10}$  CO where  $R^{10}$  is  $C_{1-4}$  alkyl,  $(C_{1-4}$  alkyl)aryl,  $(C_{1-6}$  alkyl)heteroaryl,  $cyclo(C_{3-6})$ alkyl,  $cyclo(C_{3-6})$ alkyl- $C_{1-4}$  alkyl,  $C_{2-6}$  alkenyl,  $C_{2-6}$  alkenylaryl, aryl or heteroaryl;

 $R^8$  and  $R^{16}$  are the same or different and are each  $C_{1.4}$  alkyl  $R^{11}$ ,  $R^{16}$  may also be H;  $R^6$  represents  $AR^9$  or  $cyclo(C_{3-6})$ alkyl,  $cyclo(C_{3-6})$ alkenyl,  $C_{1-6}$  alkyl,  $C_{1-6}$  alkoxyaryl, benzyloxyaryl, aryl, heteroaryl,  $(C_{1-3}$  alkyl)heteroaryl,  $(C_{1-3}$  alkyl)aryl,  $C_{1-6}$  alkyl-COOR $^9$ ,  $C_{1-6}$  alkyl-NHR $^{10}$ , CONHR $^{10}$ , NHCO $_2$   $R^{10}$ , NHSO $_2$   $R^{10}$ , NHCOR $^{10}$ , amidine or guanidine;

R<sup>11</sup> is COR<sup>13</sup>, NHCOR<sup>13</sup> or any of the groups

where p and q are each 0 or 1 and are the same or different but when p=q=1, Y cannot be H;

5 R and S are each CH or N and are the same or different;

W is O,  $S(O)_m$  where m=0,1 or 2 or  $NR^{12}$ ;

Y and Z are each H or  $C_{0-4}$  alkyl $R^{14}$  wherein  $R^{14}$  is NHR<sup>2</sup>, N( $R^2$ )<sub>2</sub> (where each  $R^2$  may be the same or different), COOR<sup>2</sup>, CONHR<sup>2</sup>, NHCO<sup>2</sup>  $R^2$  (where  $R^2$  is not H), NHSO<sub>2</sub>  $R^2$  (where  $R^2$  is not H) or NHCOR<sup>2</sup>; Z may be attached to any position on the ring;

 $R^{12}$  is hydrogen,  $C_{1-4}$  alkyl,  $COR^9$ ,  $CO_2$   $R^9$  (where  $R^9$  is not H),  $CONHR^9$ , or  $SO_2$   $R^9$  (where  $R^9$  is not H);

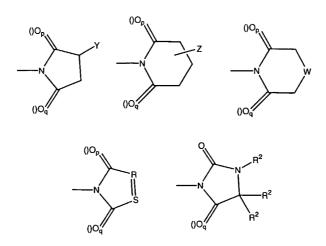
 $R^{13}$  is  $(C_{1-4}$  alkyl) $R^{15}$ ;

10

R<sup>15</sup> is N(R<sup>2</sup>)<sub>2</sub> (where each R<sup>9</sup> may be the same or different), CO<sub>2</sub> R<sup>9</sup>, CONHR<sup>9</sup>,

CON(R<sup>9</sup>)<sub>2</sub> (where each R<sub>9</sub> may be the same or different) or SO<sub>2</sub> R<sup>9</sup>

(where R<sup>9</sup> is not H), phthalimido or the groups



as defined above;

and the salts, solvates and hydrates thereof.

In a preferred embodiment, the API is a compound of formula I, wherein X is  $CONR^4 R^5$ ;  $R^4$  is H, alkyl or aryl;  $R^6$  is not amidine or guanidine;  $R^{11}$  is not  $NHCOR^{13}$  or the last of the given groups;  $R^{15}$  is not  $N(R^2)_2$  or the last of the given groups; and  $R^{16}$  is H.

In a preferred embodiment, the API is a compound of formula I selected from the group consisting of

10

5

- [(2S)-Sulfanyl-5-[(N,N-dimethylamino)acetyl]aminopentanoyl-L-leucyl-L-tert-leucine N-methylamide; and
- [(2S)-Sulfanyl-5-[(N-methylamino)acetyl]aminopentnoyl-L-leucyl-L-tert-leucine N-methylamide.

15

20

In a preferred embodiment, the AI is a compound of formula I selected from the group consisting of

- [(2S)-Acetylthio)-4(1,5,5-trimethylhydantoinyl)butanoyl]-L-Leucyl-L-tert-leucine N-methylamide;
  - [(2S)-Acetylthio)-4(1,5,5-trimethylhydantoinyl)butanoyl]-L-(S-methyl)cysteinyl-L-tert-leucine N-methylamide;
  - [(2S)-Acetylthio)-4(1,5,5-trimethylhydantoinyl)butanoyl]-L-norvalinyl-L-tert-leucine N-methylamide;
- N-[2-Sulfanyl-4-(1,5,5-trimethylhydantoinyl)butanoyl]-L-leucyl-L-tert-leucine N-methylamide;
  - N-[2-Sulfanyl-4-(1,5,5-trimethylhydantoinyl)butanoyl]-L-(S-methyl)cysteinyl -L-tert-leucine N-methylamnide; and
- N-[2-Sulfanyl-4-(1,5,5-trimethylhydantoinyl)butanoyl]-L-norvalinyl-L-tert-leucine N-methylamide.

In a preferred embodiment, the API is a compound of formula I in the form of a single enantiomer or diastereomer, or a mixture of such isomers.

In a preferred embodiment, the API is a compound of formula I, wherein the ring formed from NR<sup>4</sup>R<sup>5</sup> is pyrrolidino, piperidino or morpholino.

In a preferred embodiment, the API is a compound having the structure

This API and the procedure to make this API are fully described in U.S. Patent 5,981,490, WO 97/12902 and co-pending U.S. Patent Application Serial No. 09/961932 filed September 24, 2001, all of which are hereby incorporated by reference. This API is also referred to herein by its Chemical Abstracts Systematic Name, N-[(2S)-2-Mercapto-1-oxo-4-(3,4,4-trimethyl-2,5-dioxo-1-imidazolidinyl)butyl]-L-leucyl-N,3-dimethyl-L-valinamide (Chemical Abstracts Systematic Number: 259188-38-0). This compound has been demostrated to be an effective matrix metalloproteinase inhibitor (MMPI) as well as a tumor necrosis factor α (TNFα). Examples of the matrix metalloproteinases include collagenase and stromelysin.

20

25

5

In a preferred embodiment, the API is a pharmaceutical composition comprising a compound of formula I, and a pharmaceutically-acceptable diluent or carrier.

In a preferred embodiment, the tablet is a pharmaceutical composition as described above, wherein said pharmaceutical composition is formulated to be administered to a human or animal by a route selected from the group consisting of oral administration, topical administration, parenteral administration, inhalation administration and rectal administration.

In a preferred embodiment, the process is used to form a high AI content tablet that is a pharmaceutical composition, which is used for the treatment in a human or animal of a condition associated with matrix metalloproteinases (MMPI) or that is mediated by TNF. $\alpha$ . or L-selectin sheddase, wherein the tablet comprises a therapeutically effective amount of a compound of the formula I.

5

10

15

20

25

30

In a preferred embodiment, the process is used to make a high AI content tablet that is used as a pharmaceutical composition for the treatement of conditions selected from the group consisting of cancer, inflammation and inflammatory diseases, tissue degeneration, periodontal disease, ophthalmological disease, dermatological disorders, fever, cardiovascular effects, hemorrhage, coagulation and acute phase response, cachexia and anorexia, acute infection, HIV infection, shock states, graft versus host reactions, autoimmune disease, reperfusion injury, meningitis and migraine.

In a preferred embodiment, the process is used to make a high AI content tablet for use as a pharmaceutical composition for the treatement of conditions selected from the group consisting of tumour growth, angiogenesis, tumour invasion and spread, metastases, malignant ascites and malignant pleural effusion.

In a preferred embodiment, the process is used to make a high AI content tablet for use as a pharmaceutical composition for the treatement of conditions selected from the group consisting of rheumatoid arthritis, osteoporosis, asthma, multiple sclerosis, neurodegeneration, Alzheimer's atheroselerosis, stroke, vasculitis, Crohn's disease and ulcerative colitis.

In a preferred embodiment, the process is used to make a high AI content tablet for use as a pharmaceutical composition for the treatement of conditions selected from the group consisting of corneal ulceration, retinopathy and surgical wound healing.

In a preferred embodiment, the process is used to make a high AI content tablet for use as a pharmaceutical composition for the treatement of conditions selected from the group consisting of psoriasis, atopic dermatitis, chronic ulcers and epidermolysis bullosa.

5

10

15

20

25

30

In a preferred embodiment, the process is used to make a high AI content tablet for use as a pharmaceutical composition for the treatment of conditions selected from the group consisting of periodontitis and gingivitis.

In a preferred embodiment, the process is used to make a high AI content tablet for use as a pharmaceutical composition for the treatement of conditions selected from the group consisting of rhinitis, allergic conjunctivitis, eczema and anaphylaxis.

In a preferred embodiment, the process is used to make a high AI content tablet for use as a pharmaceutical composition for the treatment of conditions selected from the group consisting of restenosis, congestive heart failure, endometriosis, atherosclerosis and endosclerosis.

In a preferred embodiment, the process is used to make a high AI content tablet for use as a pharmaceutical composition for the treatement of osteoarthritis.

In still yet another preferred embodiment, the crystallization parameters are selected from the group consisting of sonication, seed size, seed amount, volume of antisolvent, crystallization temperature, cooling profile, and rate of agitation.

The invention additionally provides a process for producing a solid dosage form having a high active ingredient drug load comprising determining the crystallization parameters of the active ingredient; controlling said crystallization parameters to achieve increased compactability; compacting the active ingredient into the solid dosage form. This process may further comprise combining the active

ingredient with at least one excipient. In a preferred embodiment the percentage of the AI is at least 35%. In yet another preferred embodiment the desired AI content in the final solid dosage from is greater than about 50%. In yet another preferred embodiment the desired AI content in the final solid dosage from is greater than about 60%. In yet another preferred embodiment the desired AI content in the final solid dosage from is greater than about 70%. In yet another preferred embodiment the desired AI content in the final solid dosage from is greater than about 80%. In a preferred embodiment the solid dosage form is a tablet. The process may further comprise combing at least one other active ingredient. In a preferred embodiment, said crystallization parameters are selected from the group consisting of sonication, seed size, seed amount, volume of antisolvent, crystallization temperature, cooling profile, rate of agitation.

5

10

15

The invention further provides the product(s) of any of the aforementioned processes.

### Example 1

### Improving the Compactability of The AI

5

This example details how the method of the instant invention was used to formulate an API, having the structure

10

15

20

25

30

into a high drug load (80%) oral tablet dosage form. This API and the procedure to make this API are fully described in U.S. Patent 5,981,490, WO 97/12902 and copending U.S. Patent Application Serial No. 09/961,932 filed September 24, 2001, all of which are hereby incorporated by reference. This API is also referred to herein by its Chemical Abstracts Systematic Name, N-[(2S)-2-Mercapto-1-oxo-4-(3,4,4-trimethyl-2,5-dioxo-1-imidazolidinyl)butyl]-L-leucyl-N,3-dimethyl-L-valinamide (Chemical Abstracts Systematic Number: 259188-38-0).

Due to the unique structure of the API material at least four different groups of crystal structures were observed (forms 4, 5, 6, 7) and analyzed by single crystal x-ray. Orthorhombic Form 5 and monoclinic Form 7 (both solvates) were found to have similar molecular conformations containing solvent cavities which may accommodate CHCl<sub>3</sub>, IPA, acetone, and MEK, etc. Orthorhombic Form 6 consisted of a group of isostructural (1:1) solvates which accommodates solvents such as EtOAc, acetone and MEK. Out of the four crystal structures the Form 4 (a triclinic de-solvated form) was the only one which did not transform/decompose to other crystalline structures in the solid state and was thus selected for development. An exhaustive study of API crystallization on the feasibility of various solvents, control of polymorphs, and robustness of process concluded that the selected form could be consistently produced and kept stable in iPrOAc (or BuOAc)/Heptane (or Cyclohexane), following which a reproducible crystallization procedure in the iPrOAc/heptane solvent system was

developed and implemented. This procedure, associated with the aminolysis of penultimate compound (Chemical Abstracts Systematic Name, (αS)-α-(Benzoylthio)-3,4,4-trimethyl-2,5-dioxo-1-imidazolidinebutanoyl-L-leucyl-N,3-dimethyl-L-valinamide), is successful in purging undesirable side products/impurities such as α,α'-Dithiobis[N-[1-[[[2,2-dimethyl-1-[(methylamino)carbonyl]propyl]amino]-carbonyl]-3-methylbutyl]-3,4,4-trimethyl-2,5-dioxo-1-imidazolidinebutanamide] which is the S,S'-dimer of the API. The crystallization procedure is further described in Table 1.

10 **Table 1.** 

# Preliminary crystallization procedure of the API in iPrOAc/Heptane solvent system

1	Post-aminolysis reaction mixture which contains impurities and 10 g of the
	API (N-[(2S)-2-Mercapto-1-oxo-4-(3,4,4-trimethyl-2,5-dioxo-1-
	imidazolidinyl)butyl]-L-leucyl-N,3-dimethyl-L-valinamide) added with 30
	mL iPrOAc (1g/3mL) is dissolved at 75-80°C (the final volume of the solution
	is 37-38 mL)
2	The solution is held at a temperature of 75-80°C
3	Charge ~20 mL heptane while maintaining the temperature of the solution at
	75-80°C. Up to this point there is no solid present in the crystallization
	solution.
4	Seed the crystallization solution with ~20mg (0.2% wt.) of the API
5	Hold the solution at 75-80°C for 1-2 hours
6	Charge another ~20 mL heptane while maintaining the temperature of the
	solution at 75-80°C. A slow rate of heptane addition is recommended to avoid
	localized nucleation.
7	Hold the slurry at 75-80°C for another 1-2 hours
8	Cool the solution at a linear steady rate from 75-80°C to ambient temperature
	over 4 hours and hold for 1-2 hours
9	Isolated the product by filtration on a Buchner funnel and Whatman # 1 filter
	paper
10	Dry the solid cake under vacuum at no more than 55°C until there is no further
	weight change.

15

20

The following illustrates how the method of the instant invention was used to improve the compactability of the API (N-[(2S)-2-Mercapto-1-oxo-4-(3,4,4-trimethyl-2,5-dioxo-1-imidazolidinyl)butyl]-L-leucyl-N,3-dimethyl-L-valinamide) through the control of crystallization parameters.

The crystallization parameters and seeding conditions (using "as is" API at 0.1-0.2%) described in the procedure outlined in Table 1 was adopted as a starting point for modifications. By changing the ratio of solvent/antisolvent (isopropyl acetate/heptane) in step 3 (Table 1) from 1.67 to 1.0 and varying the pot temperature from 80 to 50°C, the degree of supersaturation was increased by a factor of 5 (from about 3.5 to about 17.5). The materials made from these conditions are generally agglomerates formed by a cluster of primary crystals plus the conjunction material which glue these crystals together.

5

10

15

20

25

30

At low supersaturation, large agglomerates (500-1000 μm) with large primary crystals (also large) were obtained. At high supersaturation the procedure generates small agglomerates (200-300 µm) with smaller primary crystals. This is consistent with other crystallization systems, in which nucleation is rate limiting, where high supersaturation favors the formation of agglomerates and mild supersaturation results in elementary crystals. Generally, these agglomerated materials compact quite poorly and create difficulties for large scale, high speed tablet manufacture. In addition, the agglomeration process usually entrains certain amount of mother liquor in the agglomerates therefore retains impurities which are supposed to be purged by the crystallization (see K. Funakoshi, H. Takiyama, and M. Matsuoka, "Agglomeration Kinetics and ProductPurity of Sodium Chloride Crystals in Batch Crystallization", Journal of Chemical Engineering of Japan, Vol. 33, No.2, pp267-272, 2000, hereby incorporated by reference), and hence, lower purity of the material generated from the batches described above was observed. The manipulation of supersaturation was consequently not pursued further. However, invaluable information was obtained from the crystallization process—that for this API, nucleation is the rate limiting step for crystallization. This is revealed by two facts:

- (1) the formation of agglomerates—typically when nucleation is the bottleneck.
- (2) observation of the crystallization process—after seeds are added in step 4 (Table 1), it took more than one hour for the reaction mixture to become a nice and white slurry, much slower than a regular compound where the crystallization usually takes place within 20 minutes with seeding.

Moreover, the manipulation of supersaturation can still quite likely be used in the crystallization of other compounds where the nucleation is fast

To enhance nucleation and preclude growth in the API crystallization,

nucleation sites were introduced manually by excessive seeding. Although the current process does involves seeding, the seed loading ("as is" drug at 0.1-0.2% by weight) was not sufficient to effectively relieve supersaturation as well as to maintain the imbalance between nucleation and growth rate. Thus agglomerates or large size elementary crystals with poor compactability are formed. By increasing the seed load the extent of nucleation was significantly improved.

The introduction of more nucleation centers was achieved in a number of ways

1. Increased seed loading

On 100Kg scale using "as is" material at 1.5% seed loading the compactability of the powder blend comprised of 80% bulk drug and 20% excipient doubled from a representative 1.4-1.7 kPa/Mpa (with 0.1% seed loading) to 2.8-3.4 kPa/Mpa. As another example (on 50g scale) crystallization seeded with 5% large agglomerates the powder blend compactability rose to 3.65 kPa/MPa.

### 20 2. Reduction of seed particle size

15

25

30

For the same amount of seed loading (by weight), smaller seeds evidently represent more nucleation centers. Several size reduction strategies were evaluated. The mean particle size of the seeds generated by various comminution methods decreased in the following order: AirJet-milled seeds > seeds crystallized from a ground seeded batch > ball-milled seeds > ground seeds.

After recrystallizing 50-g samples using 1% milled seed. The product compactabilities increased in the following reverse order (i.e. smaller seeds produce API with improved compaction): AirJet-milled seeds (4.2 kPa/MPa) < seeds crystallized from a ground seeded batch (5.3 kPa/MPa) < ball-milled seeds (5.9 Kpa/MPa) < ground seeds (10.5 kPa/MPa).

3. Combination of seed load and size

5

10

15

20

25

30

Examples of 50-g samples are:

- i) 1.5% ball-milled seeds—7.0 kPa/MPa
- ii) 4% ground seeds—14.4 kPa/MPa—almost a 10-fold improvement over material generated by the current process
- iii) 5% ground seeds—12.6 kPa/MPa

In addition to the above nucleation-enhancement strategies, it was further demonstrated in a series of studies that sonication helps induce secondary nucleation, hence improves product compactability even further. API crystallized with 1% ground seeds, without and with sonication show compactabilities of 10.5 kPa/MPa and 12.3 kPa/MPa, respectively.

In order to evaluate the compressibility and compactability of all API lots generated by modifying the crystallization process, a blend of 80% API, 19.5% microcrystalline cellulose and 0.5% magnesium stearate was prepared by mixing in a tumble mixer for 5 minutes. Each mixture was then compressed on an Instron (Universal Stress-Strain Analyzer) using a 0.5 inch diameter tooling (upper and lower punches and die) at a speed of 100 mm/min at compression forces of 5, 10, 15, 20 and 25 kN each for a replicate of three tablets. The tablet dimensions were measured using a digital Vernier calliper and the strength of the tablets were determined using an Erweka hardness tester. The volume of the tablet can be calculated from the tablet dimensions normalized for the true density of the mixture being compressed. The compressibility curves are generated by plotting the solid fraction of the tablet generated at each compression pressure versus the respective compression pressure. The area under such a curve represents the extent of volume reduction. The force required to break the tablets is normalized for the area of the tablet to obtain the tensile strength value. Slopes for profiles of tensile strength versus the compression pressure represent the compactability of the material while the area under the curve of tensile strength versus the solid fraction of the tablets represents the extent of compaction or toughness of the material.

In order to characterize the deformation mechanism of the API, Hiestand's tablet indices (see, E.N. Hiestand and D.P. Smith, Powder Technology, 38, pp 145-159 (1984) hereby incorporated by reference) were evaluated. The identical procedure as developed by E. N. Hiestand, at the Pharmacia and Upjohn company was adapted for evaluating the deformation properties of the API. In brief, square shaped compacts (1.97 cm<sup>2</sup>) were prepared using a tri-axial decompression Loomis Engineering press. This tri-axial press facilitates compression pressure relief in three dimensions as opposed to two as in the uni-axial press. Hence, it minimizes the shear stresses generated at the compact edges that can lead to false information about the tensile strength of the compacts. Through tri-axial decompression it is possible to produce virtually flawless compacts. The API was compressed with the procedure describe above to produce compacts having a relative density or solid fraction of 0.85. The compacts were then subjected to tensile strength testing on an Instron stress-strain analyzer at a cross head speed of about 0.8 mm/min. This speed allowed the time constant between the peak stress and 1/e times the peak stress to be a constant of 10 seconds. The peak stress required to initiate fracture in the compact in the plane normal to those of the platens of the Instron is used to calculate the tensile strength as shown below:

$$\sigma = \frac{2F}{lh}$$

5

10

15

20

25

where,  $\sigma$  is the tensile strength calculated and F is the force required to initiate crack propagation in the compact and l and b are the length and breadth of the compact, respectively. MMPI Lot# 1 that was prepared with 0.2% w/w seeds during the crystallization process showed tensile strength values of 90.46 N/cm²  $\pm$  5.33 N/cm² for square compacts prepared at a solid fraction of 0.85. On optimizing the crystallization conditions (1.5% w/w seeds of small size) the lot obtained 2 showed tensile strength values of 181.90 N/cm²  $\pm$  9.16 N/cm² for square compacts prepared at a solid fraction of 0.85. Clearly, there is a two fold increase in the tensile strengths for API lots manufactured with the optimized crystallized conditions.

Similarly, the tensile strength is determined for square compacts that are prepared with a magnified flaw using the tri-axial decompression press and a upper punch having a 1 mm diameter pin spring loaded on its surface. This pin facilitates the introduction of a 1mm diameter hole in the center of the compact. The tensile strength values of the compacts with and without a hole are used to evaluate the brittle fracture index (BFI) of the material as shown below:

$$BFI = \left[\frac{\sigma_T}{\sigma_{T_0}} - 1\right] \div 2$$

Where,  $\sigma_T$  is the tensile strength of the square compacts without a hole in the center and  $\sigma_{To}$  is the tensile strength of the square compacts with a 1 mm hole in the center that acts as a stress concentrator. The BFI values of the API, Lot# 1 were found to be  $0.14 \pm 0.03$ . Similarly, the BFI values of the API, Lot# 2 were found to be  $0.20 \pm 0.02$ . The API shows a brittle fracture index that is on the lower side of the entire (BFI) scale, that ranges from 0 to 1. A value of 0 indicates that the material has very little propensity to show brittle fracture under stress due to predominantly plastic deformation that accommodates the surface stress induced due to the flaw. On the other hand, a BFI value of 1 indicates that the material is unable to accommodate the stress concentration in the center and the flaw in the compact propagates crack growth through the rest of the compact. Hence, it can be concluded that the API shows very little tendency for brittle fracture as its deformation mechanism.

The square compacts (without a hole) are then subjected to a dynamic indentation hardness evaluation using a pendulum impact apparatus as described in Tablet Indices<sup>11</sup>. The velocity at which the pendulum sphere impacts the compact as well as the speed with which the pendulum sphere is rebound from the compact is recorded. The indentation made on the compact surface by the procedure described above is measured with a surface analyzer that facilitates computation of the chordal radius of the indentation. These measurements are then used to calculate the dynamic indentation hardness of the material using the equation described below:

25

5

10

15

20

$$H = \frac{4 \, mgrh}{\pi \, a^4} \left( \frac{h_i}{h_r} - \frac{3}{8} \right)$$

where, m and r are the mass and radius of the indenting sphere, respectively and  $h_i$  and  $h_r$  are the inbound and rebound heights, respectively and a is the chordal radius of the indentation created on the compact surface. G is acceleration due to gravity. The dynamic indentation hardness value for the API, Lot # 1, was found to be 35.8 MN/m<sup>2</sup>  $\pm$  6.2 MN/m<sup>2</sup>. This value is much lower than that of the standard compressible filler, Avicel PH 102 that has a hardness of 352 MN/m<sup>2</sup>. This indicates that MMPI is a very ductile material. The hardness value for Lot # 2 was 52.9 MN/m<sup>2</sup>  $\pm$  8.2 MN/m<sup>2</sup>. The increase in hardness of the material from the optimized crystallization process is not significant enough to change the conclusion drawn earlier about its ductility.

5

10

15

20

25

The Bonding Index of the material can be calculated from the tensile strength measurements as well as the dynamic indentation hardness measurements described above using the equation shown below:

$$BI = \frac{\sigma}{H}$$

The bonding index of the API was found to be  $0.025 \pm 0.001$ . The highest bonding index value observed today is that of microcrystalline cellulose Avicel PH 101 which is 0.04. The bonding index of Lot # 2 was  $0.034 \pm 0.001$ . This indicates that the API is a predominantly ductile material.

It can be seen from Figure 3 that on milling the API there was a gain in compactability after milling the API. However, milling the API also led to a reduction in the crystallinity of the API as seen from the X-ray diffraction patterns in Figure 4. This amorphization through the milling process can lead to chemical instability of the API. It is also evident from Figure 5 that particle size differences do not result in differences in degree of volume reduction. Hence, the differences in compactability are not related to the extent of volume reduction as the extent of

volume reduction is independent of the particle size. This clearly illustrated that modification of the crystallization process parameters to achieve higher compactability of the API is the preferred choice.

This example shows that using the method of the instant invention, an API having a very high drug load (80% W/W) could be produced. The final composition of the tablet was designed as depicted in the table 2.

TABLE 2

10

Ingredient	Amount per Tablet
API (N-[(2S)-2-Mercapto-1-oxo-4-(3,4,4-trimethyl-2,5-dioxo-1-imidazolidinyl)butyl]-L-leucyl-N,3-dimethyl-L-valinamide)	600 .000 mg
Microcrystalline cellulose	97.500 mg
Sodium starch glycolate	37.500 mg
Silicon dioxide	9.375 mg
Magnesium stearate	5.625 mg
Total	750.000 mg

### **Claims**

What is claimed is:

1. A method for increasing the compactability of an active ingredient comprising determining the crystallization parameters of the active ingredient that affect compactability; and controlling said crystallization parameters to achieve increased compactability.

2. A method for increasing the compactability of an active ingredient comprising the steps of

determining the desired compactability of the active ingredient;

evaluating the compactability of the active ingredient;

determining the crystallization parameters of the active ingredient that affect

compactability;

15

controlling said crystallization parameters to produce the active ingredient having said desired compactibility.

- 3. The method according to claim 2 wherein said crystallization
  20 parameters are selected from the group consisting of sonication, seed size, seed
  amount, volume of antisolvent, crystallization temperature, cooling profile and rate of
  agitation.
- 4. The method according to claim 1 wherein there is more than one active ingredient.
  - 5. The method according to claim 1 further comprising selecting at least one excipient.
- 30 6. The method according to claim 5 wherein the active ingredient content is greater than about 35%.

7. The method according to claim 5 wherein the active ingredient content is greater than about 50%.

- 8. The method according to claim 5 wherein the active ingredient content is greater than about 60%.
  - 9. The method according to claim 5 wherein the active ingredient content is greater than about 70%.
- 10. The method according to claim 5 wherein the active ingredient content is greater than about 80%.
- 11. A process for producing a solid dosage form having a high active ingredient drug load comprising determining the crystallization parameters of the active ingredient that affect the compactability; controlling said crystallization parameters to achieve increased compactability; compacting the active ingredient into the solid dosage form.
- 20 12. The process according to claim 11 further comprising combining the active ingredient with at least one excipient.
  - 13. The method according to claim 11 wherein the active ingredient content in the final solid dosage form is greater than about 35%.

14. The method according to claim 11 wherein the active ingredient content in the final solid dosage form is greater than about 50%.

25

15. The method according to claim 11 wherein the active ingredient content in the final solid dosage form is greater than about 60%.

16. The method according to claim 11 wherein the active ingredient content in the final solid dosage form is greater than about 70%.

- 17. The method according to claim 11 wherein the active ingredient5 content in the final solid dosage form is greater than about 80%.
  - 18. The process according to claim 11 further comprising combining at least one other active ingredient.
- 19. The process according to claim 11 wherein said crystallization parameters are selected from the group consisting of sonication, seed size, seed amount, volume of antisolvent, crystallization temperature, cooling profile and rate of agitation.
- 15 20. The product of the process of any of claims 11.

PCT/US02/13055

1/15

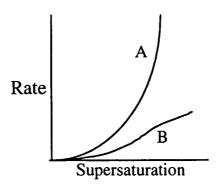
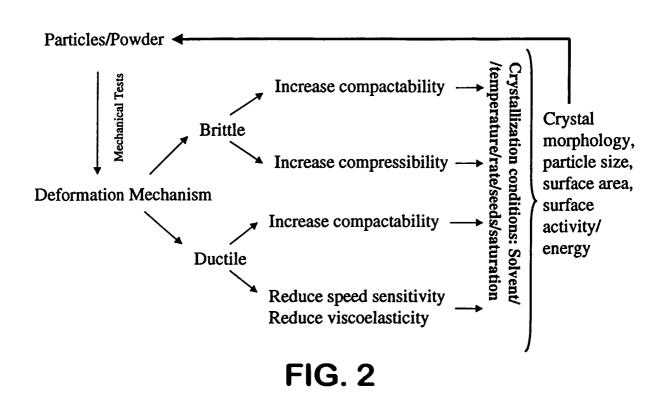


FIG. 1

# Feedback Loop- Compactability-Crystallization



# Extent of Compaction of API, Lot# N005B Retained on 270 # Before and After Milling

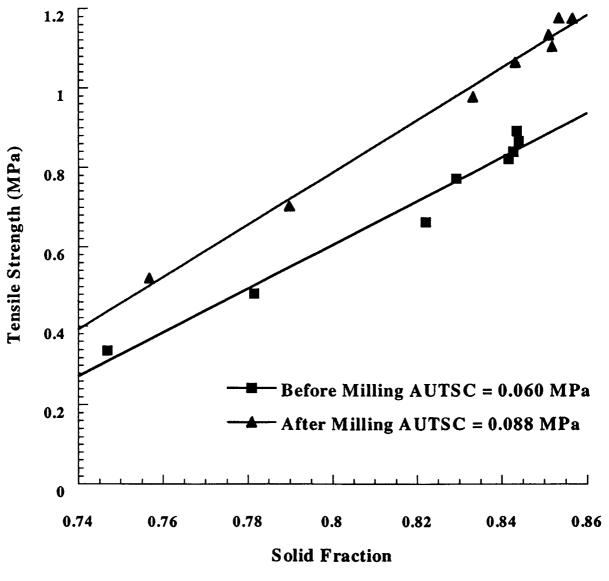
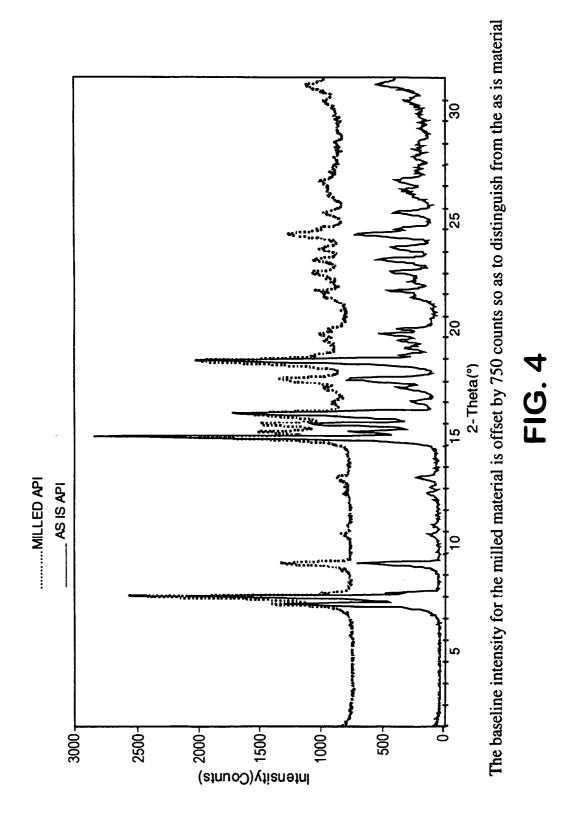


FIG. 3



SUBSTITUTE SHEET (RULE 26)

## Extent of Volume Reduction of Different Particle Size Fractions of API, Lot# N005B

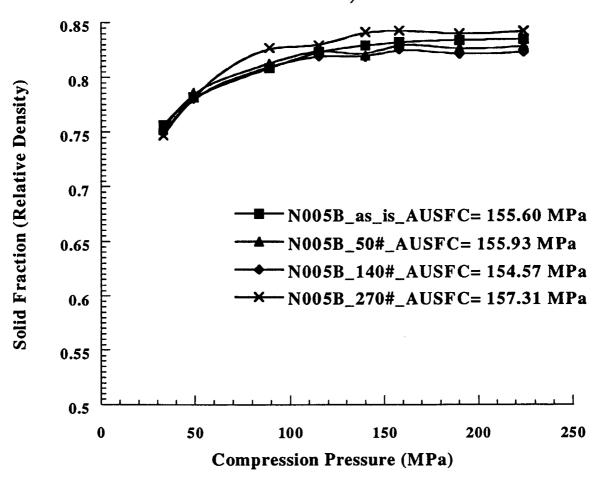


FIG. 5

PCT/US02/13055

## Particle Size Distribution of API, Lot N005B (sieve analysis)

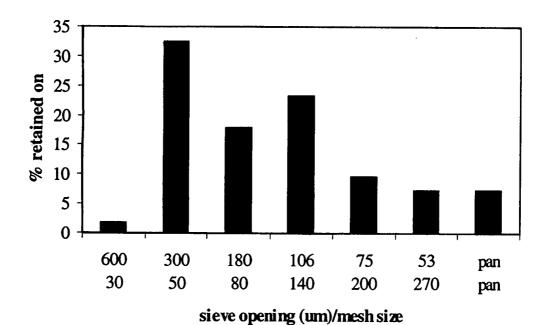
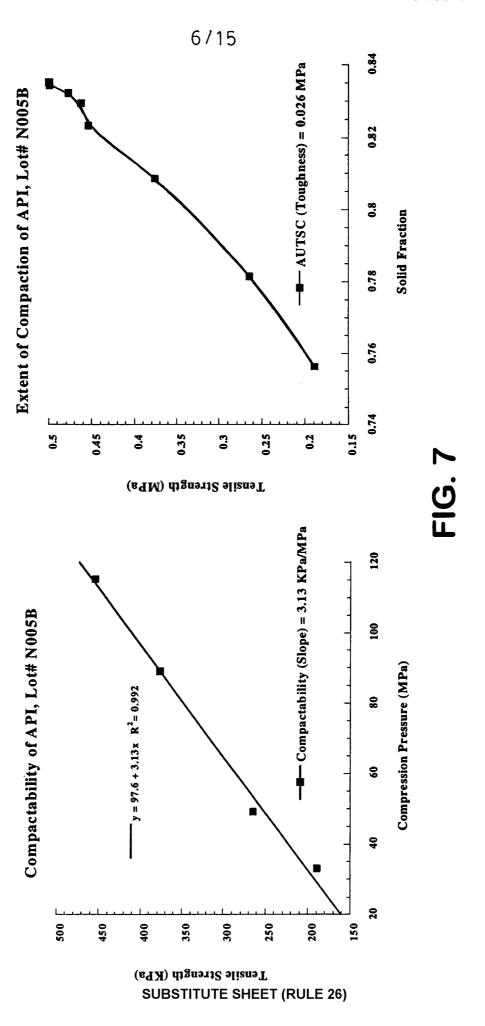
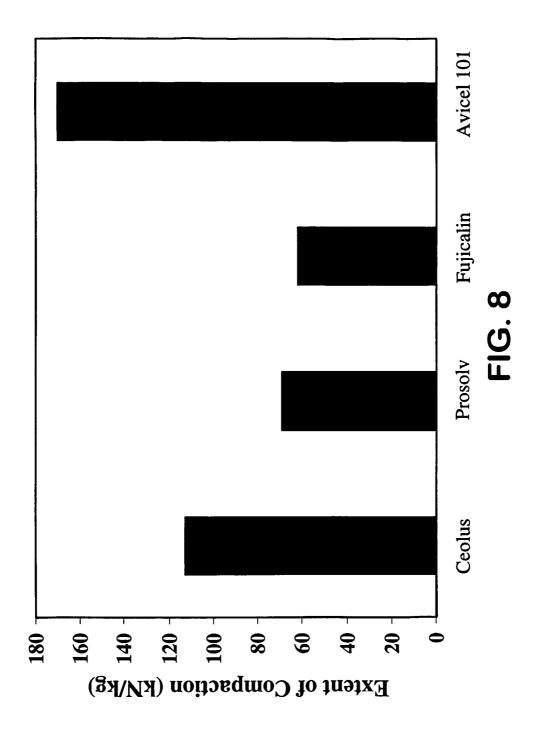


FIG. 6

WO 02/088664 PCT/US02/13055

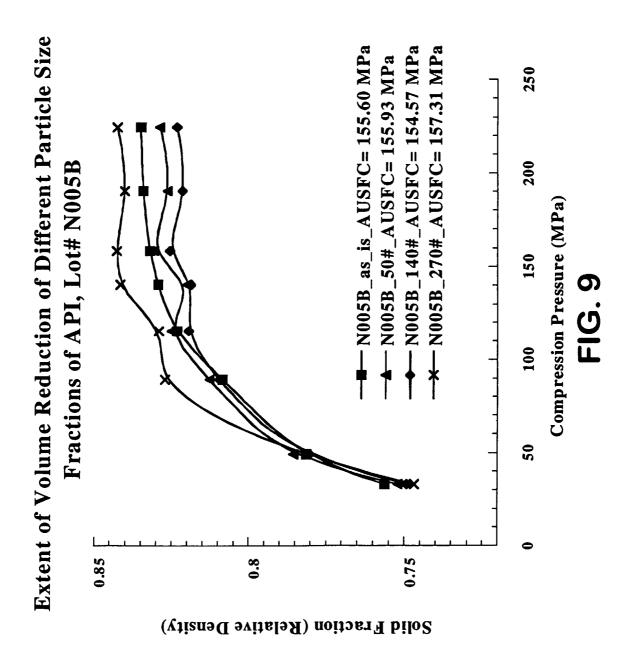


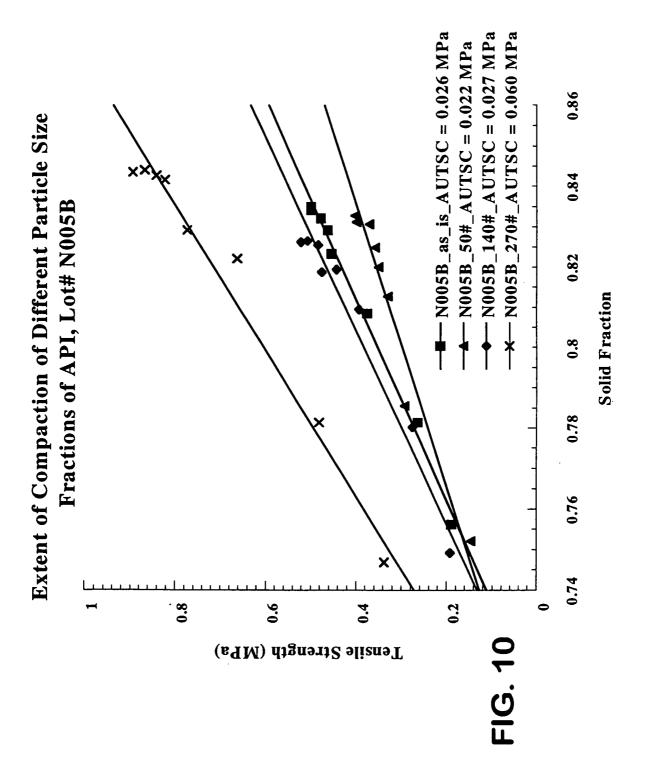
WO 02/088664



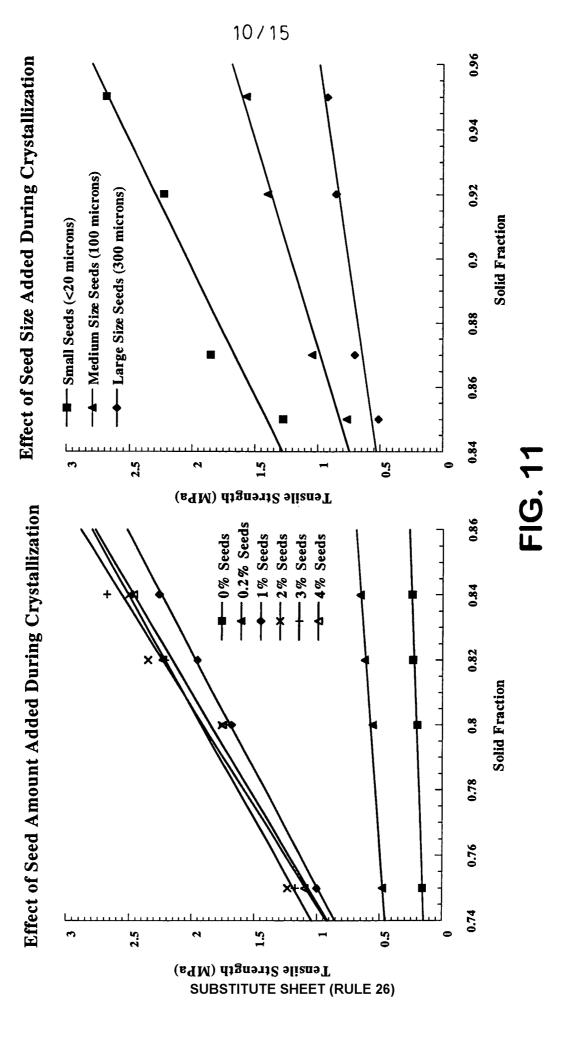
8/15

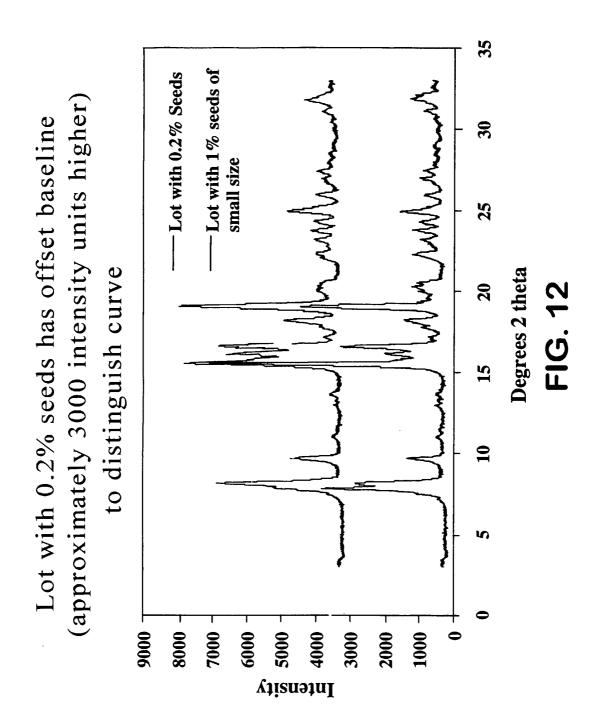
PCT/US02/13055

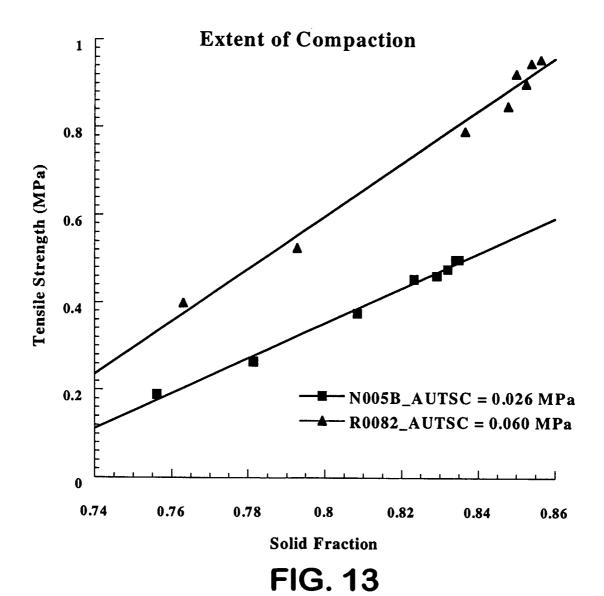




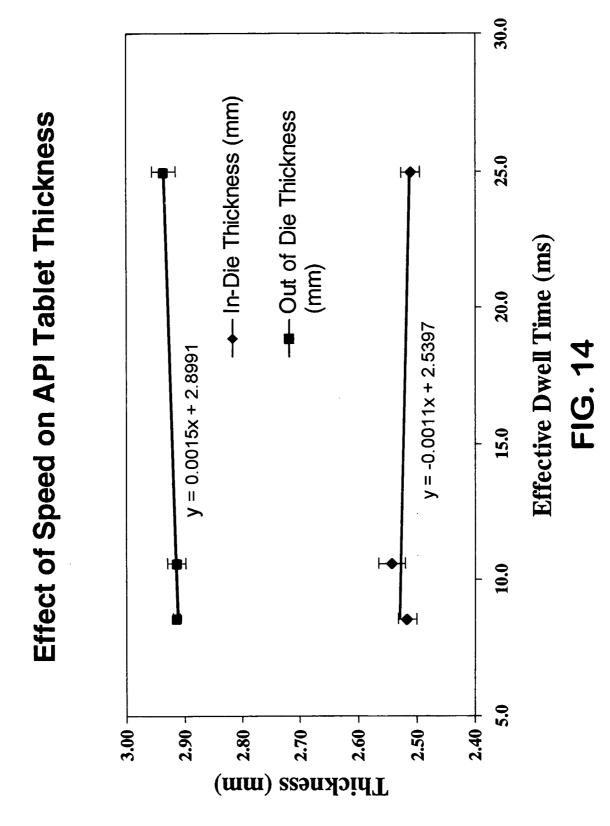
WO 02/088664 PCT/US02/13055





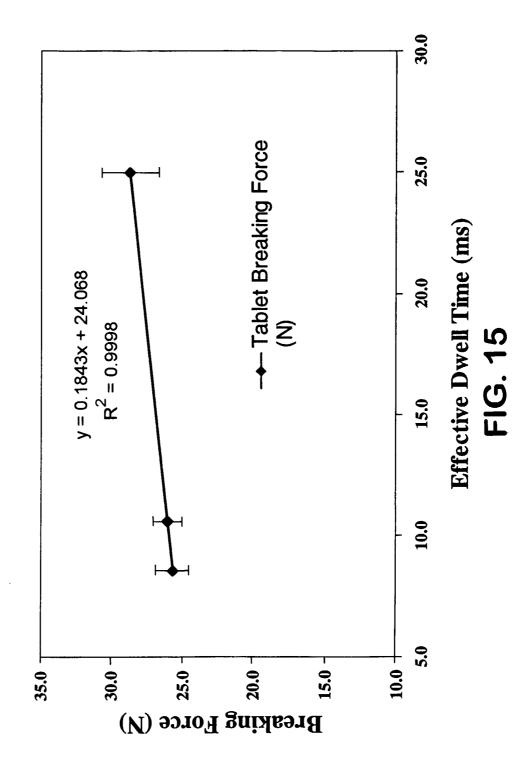


**SUBSTITUTE SHEET (RULE 26)** 



**SUBSTITUTE SHEET (RULE 26)** 

Effect of Speed on API Tablet Breaking Force



WO 02/088664

## Extent of Volume Reduction of API, Lot# N005B

PCT/US02/13055

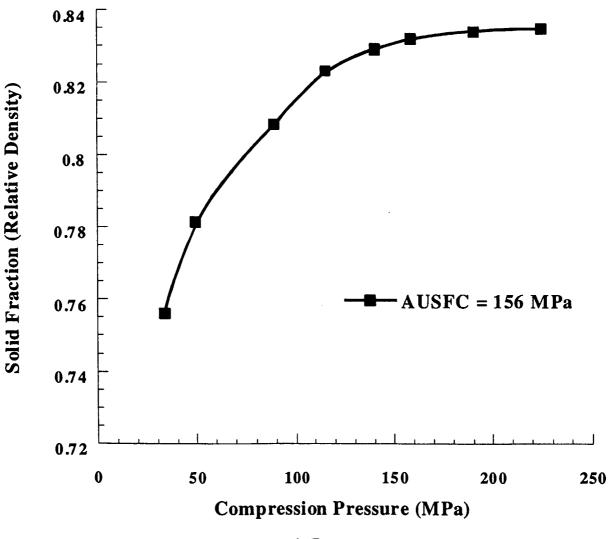


FIG. 16