(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization

International Bureau





(10) International Publication Number WO 2009/076188 A3

(51) International Patent Classification: **A61B 17/02** (2006.01)

(21) International Application Number:

PCT/US2008/085645

(22) International Filing Date:

5 December 2008 (05.12.2008)

(25) Filing Language:

English

(26) Publication Language:

English

US

(30) Priority Data:

60/992,402 5 December 2007 (05.12.2007)

(71) Applicant and

- (72) Inventor: DONAHUE, John R. [US/US]; 840 King of Prussia Road, Radnor, Pennsylvania 19087 (US).
- (74) Agent: HUIS, Randolph, J.; Volpe and Koenig, P.C., United Plaza, Suite 1600, 30 South 17th Street, Philadelphia, Pennsylvania 19103 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ,

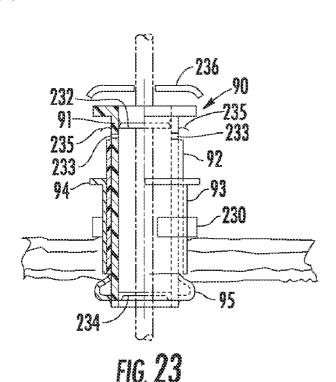
EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report (Art. 21(3))
- (88) Date of publication of the international search report: 30 December 2009

(54) Title: RETRACTOR AND SEALING SYSTEM FOR SURGICAL/NON-SURGICAL INSTRUMENTS



(57) Abstract: A universal retractor and sealing system used in conjunction with surgical instruments such as cannulas, endoscopes surgical or non-surgical instruments or other tools. The user manipulates the instrument so as to create a projecting surface located inside the cavity. An outward force applied to the instrument body seats the projecting surface against the inner wall of the cavity, which can be used to form at least a partial seal against fluid. With the projecting surface positioned against the cavity wall, the instrument can be manipulated so as to act as a retractor, expanding the workable area and/or the field of view as required.



INTERNATIONAL SEARCH REPORT

International application No.

			PC1/05 06/	
A. CLASSIFICATION OF SUBJECT MATTER IPC(8) - A61B 17/02 (2009.01) USPC - 600/203 According to International Patent Classification (IPC) or to both national classification and IPC				
B. FIELDS SEARCHED				
Minimum documentation searched (classification system followed by classification symbols) IPC - A61B 17/02 (2009.01) USPC - 600/203				
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched IPC - A61B17/34, 17/00 (2009.01); A61M39/00, 39/02 (2009.01) USPC - 600/201, 204; 606/198, 191; 604/48, 93.01, 264, 536, 534, 539				
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) PubWEST, DB=PGPB,USPT,USOC,EPAB,JPAB, Google Scholar				
C. DOCUMENTS CONSIDERED TO BE RELEVANT				
Category*	Citation of document, with indication, where ap	propriate, of the releva	ant passages	Relevant to claim No.
X Y	US 2007/0088258 A1 (WENCHELL et al.) 19 April 200 especially para [0027] to [0040]; Fig 1-4	7 (19.04.2007) entire d	ocument,	1-3, 5-7, 14, 19, 21
X Y	US 6,451,042 B1 (BONUTTI) 17 September 2002 (17.09.2002) entire document, especially col 2, Ins 38-43, col 4, Ins 40-68, col 5, Ins 1-44, col 7, Ins 7-31, col 9, Ins 34-48, col 11, Ins 9-50; Fig 1-4, 11		1-2, 4, 8, 9, 11,16, 23-27 10, 20, 22	
Υ	US 3,626,935 A (POLLOCK et al.) 14 December 1971 (14.12.1971) col 3, lns 50-64; Fig 2, 6		10	
Υ ,	US 2004/0210116 A1 (NAKAO) 21 October 2004 (21.10.2004) para [0041]		12-13	
Υ	US 6,840,951 B2 (DE LA TORRE et al.) 11 January 2005 (11.01.2005) col 9, lns 45-62; Fig 24-25		15	
Y	US 2007/0088275 A1 (STEARNS et al.) 19 April 2007 (19.04.2007) para [0122], [0124], [0131]; Fig 24, 26, 34		17-18	
			·	
				,
Further documents are listed in the continuation of Box C.				
* Special categories of cited documents: "A" document defining the general state of the art which is not considered date and not in conflict with the application but cited to understand				
to be of particular relevance the principle or theory underlying the invention "E" earlier application or patent but published on or after the international filing date "X" document of particular relevance; the claimed invention cannot be considered to involve an invention considered novel or cannot be considered to involve an invention the principle or theory underlying the invention document of particular relevance; the claimed invention cannot be considered to involve an invention the principle or theory underlying the invention document of particular relevance; the claimed invention cannot be considered to involve an invention cannot be c			claimed invention cannot be	
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other "		step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be		
"O" document referring to an oral disclosure, use, exhibition or other means		combined with or	volve an inventive s ne or more other such on a person skilled in the	step when the document is documents, such combination art
"P" document published prior to the international filing date but later than "&" document member of the street the priority date claimed			er of the same patent i	âmily
	actual completion of the international search 9 (23.04.2009)	Date of mailing of the international search report 04 MAY 2009		
Name and m	nailing address of the ISA/US	Authorized office	r:	<u> </u>
Mail Stop PC	T, Attn: ISA/US, Commissioner for Patents		Lee W. Young	
P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-3201		PCT Helpdesk: 571-272-430 PCT OSP: 571-272-7774	0	

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US 08/85645

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)				
This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:				
1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:				
2. Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:				
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).				
Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)				
This International Searching Authority found multiple inventions in this international application, as follows:				
Group I: claims 1-27 characterized by a system for sealing and affixing a surgical instrument with a deployable portion Group II: claim 28 characterized by a sealing cap for a cannula				
The inventions listed above do not relate to a single general inventive concept under PCT rule 13.1 because, under PCT Rule 13.2 the inventions lack the same or corresponding special technical features. Neither of these technical features is common to the other group nor do they correspond to a special technical feature in the other groups.				
1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable				
claims.				
2. As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.				
3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:				
4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: Claims 1-27				
Remark on Protest The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee. The additional search fees were accompanied by the applicant's protest but the applicable protest.				
The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.				
No protest accompanied the payment of additional search fees.				