

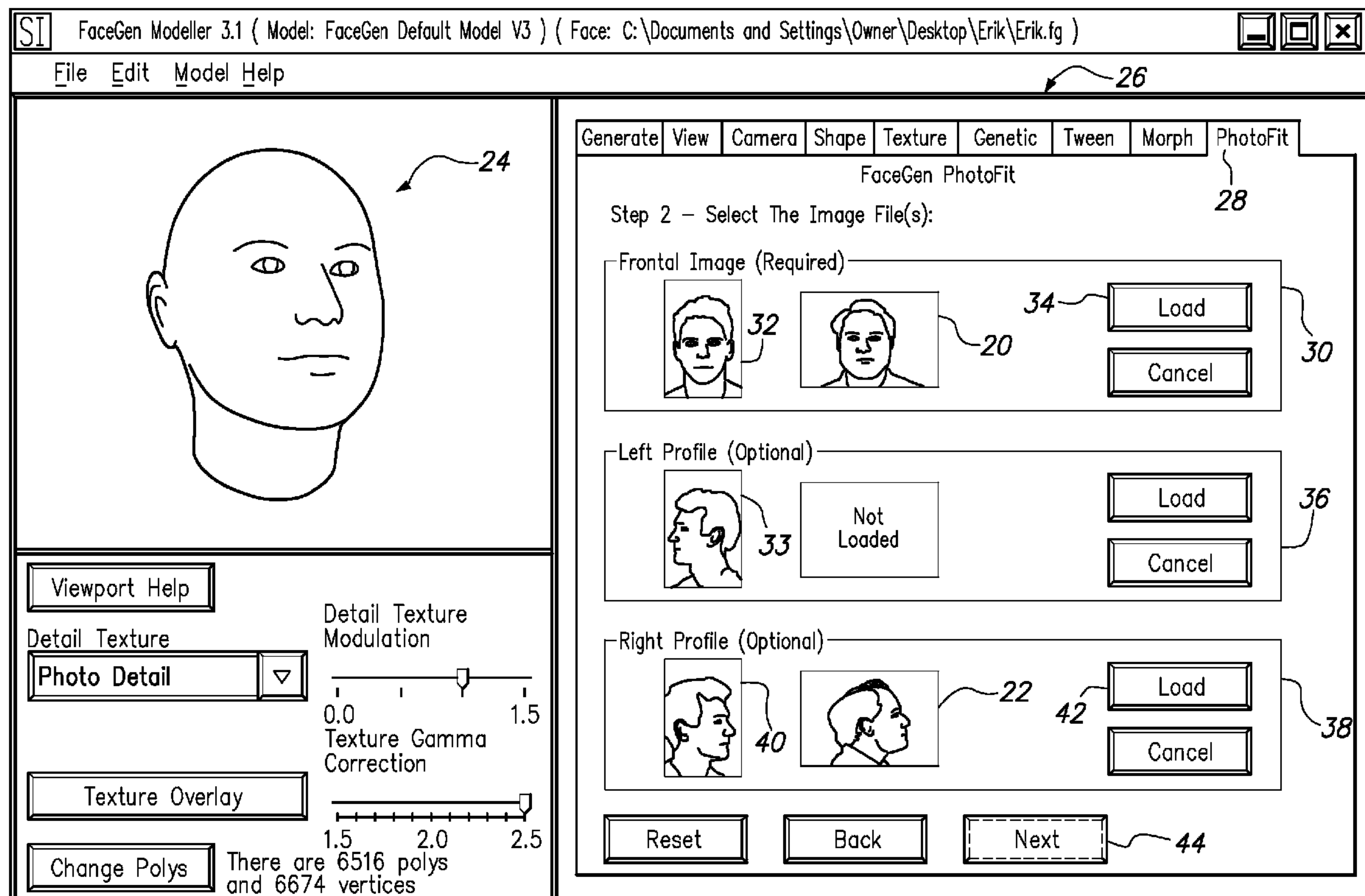


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(54) Title: FOLLICULAR UNIT TRANSPLANTATION PLANNER AND METHODS OF ITS USE



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A system and method for creating a plan for cosmetic transplantation of follicular units in a body surface, including a user interface comprising a software-controlled processor and an input device, wherein the processor is configured to generate proposed hair follicles implantation locations and orientation based on the control points. A system and method are also provided by creating a plan for hair removal.



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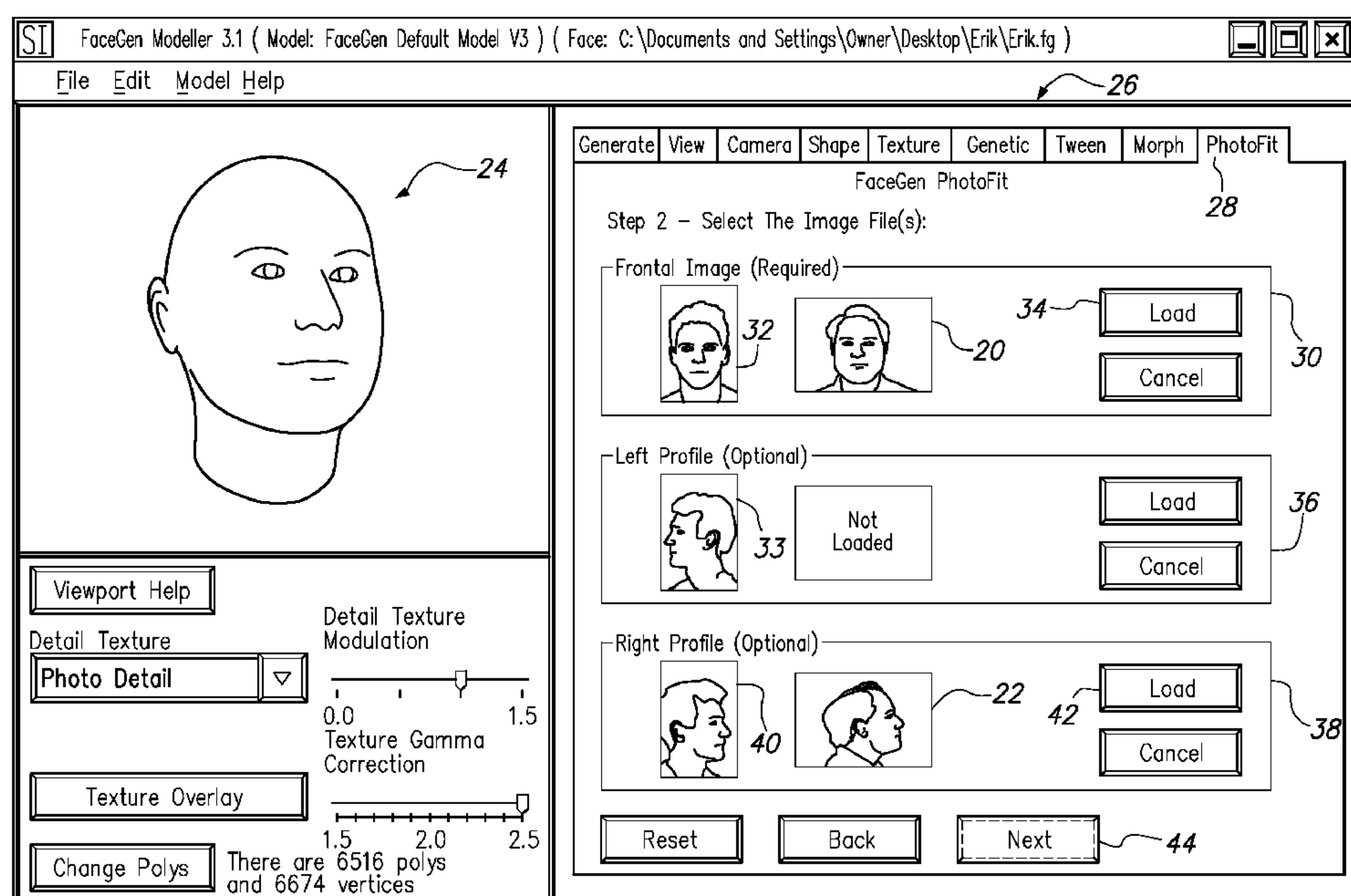


Fig. 2

(57) Abstract: A system and method for creating a plan for cosmetic transplantation of follicular units in a body surface, including a user interface comprising a software-controlled processor and an input device, wherein the processor is configured to generate proposed hair follicles implantation locations and orientation based on the control points. A system and method are also provided by creating a plan for hair removal.

FOLLICULAR UNIT TRANSPLANTATION PLANNER AND METHODS OF ITS USE

FIELD OF INVENTION

This invention relates generally to planning systems and their use for planning
5 transplantation (i.e., harvesting and implantation) of hair follicular units in a body surface,
usually a scalp.

BACKGROUND

Hair transplantation procedures are well-known, and typically involve harvesting
donor hair grafts from the side and back fringe areas ("donor areas") of the person's scalp,
10 and implanting the harvested follicular units in a bald, top area ("recipient area").
Historically, the harvested grafts were relatively large (3-5 mm), although more recently, the
donor grafts may be single follicular units, which are naturally occurring aggregates of 1-3
(and much less commonly, 4-5) closely spaced hair follicles that are distributed randomly
over the surface of the scalp.

15 In one well-known process, a linear portion of the scalp is removed from a donor area
using a scalpel cutting down into the fatty subcutaneous tissue. The strip is dissected (under
a microscope) into component follicular units, which are then implanted into a recipient area
in respective incisions or puncture holes made using a needle. Forceps may be used to grasp
and place the individual follicular unit grafts into the needle puncture locations, although
20 other instruments and methods are known for performing this task.

U.S. Patent No. 6,585,746 discloses a hair transplantation system utilizing a robotic
system, including a robotic arm and a hair follicle introducer associated with the robotic arm.
A video system is used to produce a three-dimensional image of the person's scalp, which is

used to plan the scalp locations to receive hair grafts implanted by the follicle introducer under the control of the robotic arm.

SUMMARY

In accordance with one aspect of the invention, a method of creating a plan for
5 cosmetic transplantation of follicular units in a body surface of a person includes using a three-dimensional model of a body surface to generate on the model proposed follicular unit implantation locations and hair follicle orientations based on certain control points. The method may further include acquiring images of the body surface, processing the images to produce a three-dimensional model of the body surface, and displaying a graphic rendering of
10 the body surface model on the monitor. A proposed recipient area may be originally identified, subsequently modified, or both, based at least in part on user input. By way of non-limiting examples, the user input may relate to a density or a type (or a mix of type) of follicular units when implanted in an area of the body surface corresponding to the recipient area. By way of further, non-limiting examples the location of the recipient area may relate
15 to an appearance or direction of hair follicles growing from follicular units when implanted in an area of the body surface corresponding to the recipient area.

The proposed method of the present invention allows to plan a frontal hair line, as well as variously located hair patch areas. By way of non-limiting example, the graphic rendering of the proposed hair follicles locations and orientations may be based, at least in
20 part, on a randomizing of implantation locations of follicular units to be implanted. The method may further include identifying user-defined recipient areas, or patches, in which follicular units are to be implanted.

The method may further include displaying on the body surface model a donor area for harvesting follicular units, wherein the location of the displayed donor area is based, at
25 least in part, on input received through the user interface. The location of the displayed donor

area may alternatively or additionally be based on one or more of (i) an amount of each of a type of existing follicular unit located in a donor area on the actual body surface corresponding to the displayed donor area, as determined from the acquired images, (ii) a minimum density of follicular units to be left remaining in the donor area after selected
5 harvesting of other follicular units has occurred, and (iii) an approximate amount of each type, color, or both, of existing follicular unit to be implanted in an area of the body surface corresponding to the displayed recipient area.

In some embodiments, the method further comprises identifying locations of follicular units to be harvested from an area on the body surface corresponding to the displayed donor
10 area, identifying locations of implantation sites on the body surface corresponding to the displayed recipient area at which the harvested follicular units are to be implanted, and providing the respective harvest and implantation locations to an automated (e.g., robotic) system for performing the implantation procedure.

In accordance with another embodiment of the invention, a system for planning for
15 the transplantation of follicular units in a body surface of a person includes a user interface comprising a software-controlled processor and an input device. The system is configured to display on the monitor a three-dimensional model of the body surface. The planning system is further configured to display a proposed recipient area for implanting follicular units on the body surface model, wherein the location of the displayed recipient area may be originally
20 identified, subsequently modified, or both, based at least in part on user input. By way of non-limiting examples, the user input may relate to a density or a type (or a mix of type) of follicular units when implanted in an area of the body surface corresponding to the displayed recipient area. By way of further, non-limiting examples the location of the displayed recipient area may relate to an appearance or direction of hair follicles growing from

follicular units when implanted in an area of the body surface corresponding to the displayed recipient area.

The system may also be configured to identify recipient areas, for example, hair line or one or more hair patches, in which follicular units are to be implanted.

5 The planning system may be further configured to display on the body surface model a donor area for harvesting follicular units, wherein the location of the displayed donor area is based, at least in part, on input received through the user interface. The location of the displayed donor area may alternatively or additionally be based on one or more of (i) an amount of each of a type of existing follicular unit located in a donor area on the actual body
10 surface corresponding to the displayed donor area, as determined from the acquired images, (ii) a minimum density of follicular units to be left remaining in the donor area after selected harvesting of other follicular units has occurred, and (iii) an approximate amount of each type, color, or both, of existing follicular unit to be implanted in an area of the body surface corresponding to the displayed recipient area.

15 In some embodiments, the planning system identifies locations of follicular units to be harvested from an area on the body surface corresponding to the displayed donor area, and of implantation sites on the body surface corresponding to the displayed recipient area at which the harvested follicular units are to be implanted, and inputs the respective harvest and
20 implantation locations into an automated (e.g., robotic) system for performing the implantation procedure.

 In one embodiment, a system for creating a plan for cosmetic transplantation of hair follicular units in a body surface includes a user interface including a software-controlled processor and a user input device, wherein the processor is configured to display a three-dimensional model of the body surface, and to generate and display on the model proposed
25 follicular unit implantation locations and hair follicle orientations based on specified control

points and orientations at the control points. In accordance with this embodiment, a user may interactively adjust the control point locations and orientations to thereby correspondingly adjust the proposed follicular unit implantation locations and hair follicle orientations.

In one embodiment, a system for creating a plan for cosmetic transplantation of hair follicular units in a body surface includes a user interface including a software-controlled processor and a user input device, wherein the processor is configured to display on a three-dimensional model of the body surface one or more proposed follicular unit recipient areas. In accordance with this embodiment, the user interface is configured to generate and display one or more input menus for receiving user inputs through the user input device for defining and adjusting the one or more proposed recipient areas.

In one embodiment, a method for creating a plan for cosmetic transplantation of hair follicular units in a body surface includes displaying a three-dimensional model of the body surface, generating and displaying on the model proposed follicular unit implantation locations and hair follicle orientations based on specified control points and orientations at the control points, and interactively adjusting the control point locations and orientations to thereby correspondingly adjust the proposed follicular unit implantation locations and hair follicle orientations.

In one embodiment, a system for creating a plan for removing hair follicular units from a body surface includes a user interface including a software-controlled processor and a user input device, wherein the processor is configured to display a three dimensional model of the body surface, and to generate and display a proposed hair removal area on the body surface model. In accordance with this embodiment, the user interface is configured to generate and display one or more input menus for receiving user inputs for adjusting the proposed hair removal area.

In one embodiment, a method for creating a plan for removing hair follicular units from a body surface includes displaying a three dimensional model of a body surface, displaying a proposed hair removal area on the body surface model, and generating and displaying one or more input menus for receiving user inputs for adjusting the proposed hair removal area.

Other and further embodiments, objects and advantages of the invention will become apparent from the following detailed description when read in view of the accompanying figures.

BRIEF DESCRIPTION OF THE DRAWINGS

Embodiments of the invention are illustrated by way of example and not limitation in the figures of the accompanying drawings, in which like references indicate similar elements, and in which:

Figs. 1A and 1B are images (pictures) of a front and side profile of a person's head.

Figs. 2-6 are respective monitor screen shots of a user interface generated by modeling software used for creating a three-dimensional surface model of the person's head based on the acquired images, in accordance with one embodiment.

Fig. 7 is a further monitor screen shot of a user interface generated by the modeling software for creating a three-dimensional model based on selected appearance input parameters instead of acquired images.

Figs. 8A and 8B are respective front and back perspective views depicting a completed three-dimensional surface model of the person's head based on the acquired images.

Fig. 9 depicts a proposed front hair line boundary overlaying the body surface model of **Figs. 8A and 8B**.

Fig. 10 depicts a proposed hair patch area overlaying the body surface model of **Figs. 8A and 8B**.

Fig. 11 is a monitor screen shot of a user interface generated by a planning model constructed according to one embodiment.

5 **Fig. 12** is another monitor screen shot generated by a planning model constructed according to one embodiment, depicting a rudimentary hair line design employing a plurality of control points.

10 **Fig. 13** is a further monitor screen shot depicting the rudimentary hair line of **Fig. 12**, and further displaying graphically rendered hair follicles extending from potential implantation locations along the boundary.

Fig. 14 is a still further monitor screen shot depicting the rudimentary hair line of **Fig. 12**, in which the angle(s) at which the control hair follicles displayed in **Fig. 13** extend from the implantation locations have been modified.

15 **Fig. 15** is yet another monitor screen shot depicting the rudimentary hair line of **Fig. 12**, in which the density of the implantation locations displayed in **Fig. 13** has been modified.

Fig. 16 is a still further monitor screen shot depicting the rudimentary hair line of **Fig. 12**, in which user-specified level of distribution randomness has been applied to the implantation locations displayed in **Fig. 13**.

20 **Fig. 17** illustrates a technique used to design arbitrary shaped curves based on user-specified control points (e.g., for planning a front hair-line boundary), in accordance with one embodiment.

DETAILED DESCRIPTION

25 US patent application Serial No. 11/380907, published as 2007/0106306A1, and issued as US Patent 7,962,192 (hereinafter collectively, “Bodduluri *et al*”) disclose various embodiments of an automated system, in particular an image-guided robotic system, and methods of its use for performing

follicular unit harvesting and implantation (transplantation) procedures in a body surface, typically a human scalp. After the robotic system has been initiated and calibrated, image data of the body surface is acquired and processed by the system computer to identify objects, in particular follicular units in a donor region on a human scalp. From images of this region
5 of interest, image segmentation and screening software residing in the computer identifies and selects particular follicular units of interest for harvesting from the scalp.

As described in Bodduluri *et al*, the aesthetic result of a hair transplantation procedure depends in part on implanting the grafts in natural-looking patterns. A computer can efficiently "amplify" a surgeon's skill by "filling in the blanks" among a small fraction of the
10 implant sites for which the surgeon determines graft location and orientation. Achieving a natural-looking hair line is particularly important for a good aesthetic result. Instead of painstakingly making incisions for all of the near-hairline implant sites, the surgeon indicates a few hairline implant locations and orientations and the computer fills in the rest by interpolating among the designated sites, using the imaging system to identify and avoid
15 existing follicular units.

Bodduluri *et al* illustrates an algorithm using control points to design natural looking hairline. A curve is designed using control points based on, for example, b-spline cubic polynomials. The control points are specified by the operator. The orientation of the hair at each of the control points is specified. Points along the curve are identified at a given
20 spacing, for instance, by interpolation. The locations of the points along the curve may be randomized to make a natural looking hair line. The amount of randomization may be user-specified or computer-generated. It is preferable that the follicular unit orientations are not randomized but are interpolated, for example, the same way a cubic spline is generated. Randomization of the location and interpolation of the orientation create more natural looking
25 implants.

Natural looking randomness is important in both the critical hairline region and in the balance of the recipient sites. This can be achieved using a procedure illustrated in Bodduluri *et al*, wherein a surface is designed using control points based on, for example, b-spline cubic surfaces. Again, the orientation of the hair at each of the control points is specified. Implant
5 points along the surface are identified at a given spacing. The locations of the points along the surface may be randomized to make a natural looking hair distribution. The amount of randomization may be user-specified or computer-generated. Again, the orientation of the respective follicular units is preferably not randomized, but interpolated the same way a cubic spline surface is generated. Randomization and interpolation schemes are known in the art,
10 and can be adapted for this method.

Bodduluri *et al* shows an example of an automatic guidance feature of the robotic system, including the step of planning implant locations and orientations with respect to global landmarks (e.g., existing hairs, tattoos, or other distinguishing features). The robot is then moved to register landmarks on the person. The register information can be stored in
15 memory for reference. The robot can make use of the registered landmarks as reference points for recognizing its position relative to the working surface. The robot is moved to each of the implant location and orientation with respect to the global landmarks. The global landmarks provide a global reference for global movements. The location and orientation are fine-tuned based on the nearby landmarks such as neighboring preexisting hairs or newly
20 implanted hairs. The nearby landmarks provide a local reference for local movements.

Next, a treatment plan is input into the computer. For example, the treatment plan is a prescribed plan designed to transplant hair follicles from a first region (harvest region) to a target region (implant region). In such cases, the treatment plan may include one or more parameters, such as a number of hair follicles to be removed/implanted, location of harvest
25 region, location of implant region, a degree of randomness associated with targeted implant

locations, spacing between adjacent targeted implant locations, depth of follicle, depth of implant, person identification, geometric profile of harvest region, geometric profile of implant region, marker location(s), and density of targeted implant locations.

Various techniques may be used to input the treatment plan into the computer. In the
5 illustrated embodiments in Bodduluri *et al*, the treatment plan may be inputted using a user interface that includes a monitor and a keyboard. Alternatively, the treatment plan may be inputted using a storage device, such as a diskette or a compact disk. In other embodiments, the treatment plan may be downloaded from a remote server, or from a combination of the forgoing techniques. For example, some parameters may be inputted into the computer using
10 a diskette, while other parameters may be inputted using the user interface. In some embodiments, one or more parameters of the treatment plan may be determined in real time (e.g., during a treatment session).

After the treatment plan has been input into the computer, the computer then registers the treatment plan with a person. In some embodiments, such may be accomplished by using
15 one or more cameras to identify one or more markers on the person. The marker may be a reflector that is secured to the person, an ink mark drawn on the person, or an anatomy of the person. The identified marker(s) may be used to determine a position and/or orientation of a target region on the person.

In accordance with various embodiments of the invention, a system for planning a
20 procedure for the transplantation of follicular units in a body surface (e.g., a scalp) of a person comprises a user interface, including, for example, a software-controlled processor, a monitor, and an input device. These components are common to virtually all modern computer systems, whether a stand alone (e.g., "personal") computer system, or in a system employing a centralized server with multiple remote terminal(s). It will be appreciated that
25 embodiments of the planning system are preferably (if not exclusively from a practical point

of view) software implemented, and may be run on any computer system having the basic components (processor, monitor, input device), so long as such computer system is equipped with sufficient available memory and an appropriate graphic generation and display capability.

5 It will also be appreciated that embodiments of the invention may be implemented over the internet, e.g., with a user of such system employing his or her home computer as at least a part of the user interface (monitor and input device) that interacts with a remote server or computer. In such an internet-based planning system, the software that implements and controls the user interface may reside in whole or part on the user's computer or on the
10 remote server/computer, preferably transparent to the user. In one such embodiment, the remote server downloads one or more software modules to the user's computer for temporary or permanent use.

Exemplary embodiments of a software implemented and controlled user interface for planning a follicular unit transplantation procedure will now be described in conjunction with
15 the accompanying figures. It will be appreciated that various and multiple variations of the described embodiments may be implemented without departing from the general scope of the invention, which is set forth in the appended claims.

With reference to **Figs. 1A** and **1B**, images are acquired of a body surface of a person, in this case, a front view 20 (**Fig. 1A**) and a side view 22 (**Fig. 1B**) of the person's head (and, in particular, scalp), for which the subject transplantation procedure is being planned. By
20 way of non-limiting example, the images 20, 22 may be acquired using a hand held digital camera, and input through the user interface of the planning system, in accordance with well-known and available technology for transmitting digital image data. It is not necessary in preferred embodiments to include images of every portion of the person's head, since the
25 modeling software (described below in greater detail) can generate a sufficiently accurate

three-dimensional surface model of the head/scalp from just front and side views 20 and 22, respectively.

With reference generally to **Figs. 2-6**, the acquired images 20, 22 are then processed to generate a three-dimensional model of the person's head (scalp) using commercially available modeling software. In the illustrated embodiment, FaceGen Modeller 3.1 produced and distributed by Singular Inversions, Inc., Vancouver, Canada (www.facegen.com) is used to generate the three-dimensional model. It will be appreciated that other software programs may alternatively be used.

With more specific reference to **Fig. 2**, a monitor screen shot from the user interface taken during use of the FaceGen Modeller program includes a series of pull-down menus related to construction of a model of a human face. The menu "PhotoFit" 28 has been selected, resulting in the display of a frontal image 30 (in this case, image 20), a left profile image 36 (an optional input; in this case, not provided) and a right profile image (also optional; in this case, side image 22) of the person. The software program displays respective front 32, left profile 33, and right profile 40 images of a model as an example for users of the system. Once the images 20 and 22 are loaded into the modeling software (via user-interface menu inputs 34 and 42), a subsequent screen shot menu is provided (i.e., after "clicking" on the "next" menu field item 44).

Referring to field 46 in **Fig. 3**, in order to generate a three-dimensional model of the person's head/scalp, the user assigns a series of feature points 52 on the frontal image 20 (designated by reference numeral 48), based on the provided example 50. In brief, the feature points 52 are placed at distinct physical locations (e.g., corners of the mouth, nose, chin, ears, etc.) of the person's face. The image 48 may be rotated using the respective inputs 54. As shown in **Fig. 4**, the modeling software allows the user to enlarge the frontal image (designated by reference number 58) for accurate placement of the feature points 52.

Referring to **Figs. 5** and **6**, the process is repeated for assigning feature points to the side profile image(s), in this case to the right profile image 60 in **Fig. 5**, and enlarged 64 in **Fig. 6**), with an example model picture 62 provided for illustration. Further information regarding generation of a three-dimensional model based on the acquired front and side images may be
5 ascertained directly from the FaceGen Modeller software.

With reference to **Fig. 7**, in an alternate embodiment in which acquired images of the person's head/scalp (or other applicable body surface) are not provided, the modeling software is configured to generate (by selecting menu option "generate" 64) a three-dimensional model (designated as 24') based on inputs relating to selected characteristics,
10 such as race 66 and other characteristics selected through menus 68 and 70, some objective (e.g., gender, age) and others purely subjective (e.g., attractive).

In some embodiments, further input information is provided to the planning system in addition to generating the body surface model. For example, particular features specific to hair follicles (e.g., color or coarseness) may be derived from the images and/or input through
15 the user interface. Further information may be either user input or determined from the acquired images using image processing, such as geometric shape of the body surface (e.g., person's head), existing hair lines, and a number of each type (i.e., single or multiple follicle) and color (e.g., dark, light, gray, etc.) of follicular unit that are available for harvesting. It will be appreciated that the three dimensional model can alternatively be generated by a
20 number of other methods, for example, using a 3D laser scanner and/or by stitching multiple digital images together. The system of embodiments of the present invention will use the three dimensional information in the same way, without regard to how it is generated.

Referring to **Figs. 8A** and **8B**, whether from the acquired images, or through other descriptive feature inputs, the modeling software generates and displays on the user interface
25 monitor of the planning system a three-dimensional model 24 of the person's head/scalp. For

purposes of illustration, the model generated in **Figs. 8A** and **8B** exhibits characteristic male-pattern baldness, including a bald top region 74, and populated side 72 and back 76 regions.

Referring to **Figs. 9** and **10**, based on one or more physical features and information determined from processing the acquired images 20 and 22, and user inputs entered through the user interface, the planning system will display on the body surface model one or more proposed recipient areas 82 for implanting follicular units. An initial location of a proposed front boundary (or "hair line") 78 may be initially identified by the system, or generated based (at least in part) on user input received through the user interface. In either case, it may be modified by the user, as indicated by the arrows 80 e.g., by using a convention click and drag motion of a computer mouse, until the hair line 78 is in a desired location. Once the hair line 78 is established, one or more separate regions (or "patches") 85 behind the hair line may also be initially identified by the system, or generated based (at least in part) on user input received through the user interface. As with the hair line 78, the boundaries of the patches 85 may be modified by the user, as indicated by the arrows 84.

In some embodiments of the invention, the attending physician or operator can specify where a follicular unit needs to be implanted and at what angle, i.e., its relative location (or "implantation site"), orientation, and depth. This specification of the location, orientation and/or depth of a hair follicle to be implanted may be carried out by a treatment planning system. Alternatively, during the implanting mode, when the camera(s) are viewing the recipient area of the scalp, the attending operator may use a user interface (e.g., a conventional computer mouse) to specify the implant location and/or position and/or orientation and/or implant depth. Alternatively, the operator can point to location on the scalp by placing a temporary fiducial, such as an ink mark or a pointer that can be visualized, identified, and measured by the image processing system. Further, orientation can be specified directly on the computer monitor as a combination of two angles, such as rotation

about x-axis and a rotation about y-axis (assuming that z-axis is along the needle), or by placing an elongated pointer on the scalp, which the image processing system can visualize and measure the angles.

By way of non-limiting examples, input received through the user interface for establishing or modifying the hair line and inner patch boundaries may relate to one or more of a resulting density of the follicular units after they are implanted in the recipient area, a direction of hair follicles that will grow out from the implanted follicular units, and an overall appearance (i.e., hair style) of the hair follicles growing out from the implanted follicular units. Other factors, such as a geometric shape of the body surface, an ethnicity of the person, an age of the person, a gender of the person, and/or existing hair lines, densities and follicle color(s) on the body surface. One or more parameters relating to existing follicular units in an identified donor area of the body surface may also be considered, such as an amount of each type (e.g., single or multiple follicle), color, and a relative coarseness of hair follicles growing from the existing follicular units.

In particular, the available quantity of single follicle follicular units available for harvesting may also play a role in defining the hair line of the recipient area, since the single follicle type of unit is predominantly found in natural front hair lines. U.S. patent application serial number 11/467,283, filed August 25, 2006, discloses a method for determining an approximate quantity of each type of existing follicular units that are available for harvesting based on processing images of the potential donor area(s). While such donor area(s) are typically in the back of the scalp, the sides may also be a desirable source of donor follicular units, especially where lighter or gray follicular units are needed, since hair follicles on the sides of the head tend to gray faster than those in the back.

Referring to **Fig. 11**, in one embodiment, the user-interface of the planning system may generate and display input menus for the user during a planning process. Such menus

may includes, but are not limited to a hair design studio 96, hair donor studio 100 and hair finalize studio 104. The hair design studio 96 may comprise, by way of non-limiting example, an input menu 98 for receiving user inputs relating to specific hair line and hair patch (or “hair area”) planning for the recipient area(s), along with related input parameters, and an automatic (“auto hair”) feature in which case the hair line and patch areas are identified, preferably including specific implantation locations, by the system without needing further user input.

The hair donor studio 100 may comprise, by way of non-limiting example, an input menu 102 for receiving user inputs relating to a proposed donor area 90, including related parameters and an automatic (“auto donate”) feature in which case the donor area is identified by the system without needing further user input. The hair finalize studio 104 may comprise, by way of non-limiting example, an input menu 106 for receiving user instructions relating to saving, transmitting, receiving, reviewing, etc., a completed or partially completed transplantation procedure plan. The display in **Fig. 11** also includes a four-way split screen of images, including a first image 89 of the initial person head model, a second image 91 of the head model during the hair line and patch planning process, a third image 88 of the donor planning process (including a currently identified donor region 90), and a fourth image 92 of a graphic representation of the person, post-transplantation 94 (i.e., with an artistic rendering of the resulting hair style of the person).

A person’s hair line is formed by the respective locations at which hair follicles emerge from the scalp, as well as the direction(s) relative to the surface of the scalp at which the hair follicles emerge, along the boundary line(s) of the region(s) which have existing or planned (implanted) hair follicular units. Thus, designing a suitable “hair line” curve is a crucial part of achieving a desired, resulting appearance from a hair follicular unit transplantation procedure. In accordance with embodiments of invention, the respective

location and direction of the follicular units to be implanted along the hair line boundary is preferably specified along a curve, in order to complete the design of the hair line. This “hair line curve” can be designed in a similar manner as designing a curve in the field of computer aided design, for example, using a well-known technique called a “Bezier representation,” or
 5 modifications thereof. A Bezier representation is a way of designing arbitrarily-shaped curves based on a specified number of “control points” that will define the curve.

By way of example, with reference to **Fig. 17**, given four control points, P_0, P_1, P_2, P_3 , a curve function $P(t)$ may be specified, where t is a parameter that varies from 0 to 1. The point on the curve may be calculated analytically, or may be obtained using a graphical
 10 construction technique, known as *de Casteljau's construction*. The steps of the curve construction are as follows:

(1) First, the line segment P_0P_1 is subdivided into two parts, based on the relationship, $P_0P_{01}: P_{01}P_1$ is $t: (1-t)$. That is, find the point P_{01} that subdivides the line segment P_0P_1 into subparts t and $(1-t)$.

15 (2) Similarly, find the point P_{12} on the line segment P_1P_2 and point P_{23} on the line segment P_2P_3 .

(3) Similarly, find the point P_{02} on the line segment $P_{01}P_{12}$ and the point P_{13} on the line segment $P_{12}P_{23}$.

(4) Finally, find the point $P(t)$ that subdivides, in the same fashion, the line segment
 20 $P_{02}P_{13}$.

As may be observed in **Fig. 17**, as t varies from 0 to 1, a curve is established that extends from P_0 to P_3 , that is, $P(0) = P_0$ and $P(1) = P_3$. Moreover, the line P_0P_1 is tangential to the curve at P_0 and the line P_2P_3 is tangential to the curve at $P(3)$.

Thus, given two points, P_0 and P_1 , finding every point $P(t)$ on the line segment that
 25 extends from P_0 to P_1 is called linear interpolation, given by the expression $P(t) = (1-t) P_0 + t$

P_1 . Note that when $t = 0$, $P(t) = P_0$ and when $t = 1$, $P(t) = P_1$. In the (so-called) subdividing each of the line segments described in the above construction, finding a point that subdivides the line segment given t is the same as finding the point on the line segment that corresponds to t by the linear interpolation formula. This construction (or linear interpolation idea) works
5 nicely with an arbitrary specification of the control points P_0 through P_3 in a plane, where the resulting curve remains in the plane. The construction also works well where one specifies the control points in three dimensions. However, if the four points are specified on a curved surface, the resulting curve does not necessarily stay on the curved surface. That is, if one specifies all the control points on a sphere, then the resulting curve constructed using the
10 above technique does not keep the curve on the sphere.

Thus, embodiments of the planning system according to the present invention preferably employ a modified construction, which keeps the curve on the surface of the planning sphere. Consider two points P_0 and P_1 lying on a unit sphere, with a center C . The three points together form a plane, and the plane intersects the sphere in a great circle. The
15 two radii CP_0 and CP_1 subtend an angle θ . Every point on the curve between the two points P_0 and P_1 can now be parameterized by $P(t)$ such that $CP_0P(t)$ form an angle $t\theta$, and $P(t)P_1$ form an angle $(1-t)\theta$, at the center C . This interpolation scheme is called spherical linear interpolation, in which the resulting curve $P(t)$ goes from P_0 to P_1 as t varies from 0 to 1. Thus, given four points on a sphere P_0 , P_1 , P_2 , and P_3 , a Bezier curve can be designed that
20 lies on the sphere similar to the planar construction described earlier, except the linear subdivision (linear interpolation) is replaced by angular subdivision (spherical linear interpolation).

It will be appreciated that an advantage of using control points is that a few user-input points may represent an otherwise complicated set of points forming the hair line curve.
25 Thus, in designing a hair line curve, the user needs to only adjust the control points

interactively until the resulting curve is the desired curve that the user is seeking. In a Bezier formulation, the curve passes through the first and the last control points but not the middle ones. The middle control points specify the slope of the curve at the beginning of the curve and at the end of the curve. Specifying points on the curve and the slopes of the curve may
 5 not be intuitive to the user, and it may be preferable to instead specify control points that lie on the curve. Such a formulation exists, and it is called Cardinal spline.

In the case of a Cardinal spline, a set of control points $P_0, P_1 \dots P_n$ are specified on the curve. For a pair of the control points P_i and P_{i+1} , the Bezier control points are as follows: $B_0 = P_i$; $B_3 = P_{i+1}$. B_1 is chosen such that the respective slope of the curve at B_0 is
 10 defined by P_{i+1} and P_{i-1} , B_2 is chosen such that the slope of the curve at B_3 is defined by P_{i+2} and P_i . The length of the tangent can be adjusted to alter the behavior of the curve. A Catmull ROM Spline is a special case of cardinal spline, wherein a specific length of the tangent is used in calculating B_1 and B_2 . Using these four control points, a Bezier curve can be calculated that goes through P_{i-1} and P_i , as per the Bezier formulation described earlier.
 15 The Cardinal spline formulation guarantees that the curve passes through all the control points. This curve on the sphere can be projected on to the surface of the person's 3D body surface (head/scalp) model to design the desired hair line for the person.

A more detailed explanation and further information regarding Bezier curves may be found at http://en.wikipedia.org/wiki/B%C3%A9zier_curve. A more detailed explanation
 20 and further information regarding Cardinal splines may be found at http://en.wikipedia.org/wiki/Cardinal_spline. A more detailed explanation and further information regarding Catmull-Rom splines may be found at http://en.wikipedia.org/wiki/Catmull-Rom_spline and <http://ibiblio.org/e-notes/Splines/Cardinal.htm>.

One embodiment of a hair transplantation planning system of the present invention that employs the above-described modified Bezier representation for hair line curve construction is depicted in **Fig. 12**, in which five control points (or “control hairs”) 110A-110E have been specified by a user through a user interface 112 to define an initial hair line curve 111 on a spherical modeling surface 109. The user interface 112 allows the user to select a hair line demonstration (or demo) 114 or a hair area demonstration (demo) 116. In the illustrated embodiments, the hair line demo 114 has been selected, which allows for additional user inputs including specifying a density, or spacing 122 (in mm) between implantation locations, and a “randomness” factor 120.

With reference to **Fig. 13**, once the hair line curve 111 has been designed to the user’s initial satisfaction, i.e., by manipulation of, or adding to, control points 110A-110E, the actual follicular unit implantation locations along the hair line curve are then automatically determined by the planning module, given a user-specified density in spacing selection 122, with one follicular unit per every 1 mm specified in the user interface screen shot in **Fig. 13**.

The planning system then traverses the curve 111 and places a follicular unit implantation site 126 at every 1 mm on the curve 111. Hair follicles 125 are then added along the proposed hair line 111 by selecting item 118 on the user interface 112. In the illustrated embodiment, the hair follicles 125 are initially generated by the system along a curve 121 that is based on identically spaced control points 120A-120E, that represent the distal tips of the hair follicles 125. The length of the hair follicles 125 is assumed to be uniform.

Referring to **Fig. 14**, the distal tip control points 120A-120E may then be manipulated by the user to change a direction of the hair follicles, i.e., by changing the curve 121, without changing curve 111 (points 110A-110E). As will be appreciated, the change in hair follicle direction may be localized to one area of the hair line by only shifting one of the distal control points (“control directions”). Thus, by using the control directions, which are unit

vectors (i.e., points on a unit sphere), one can obtain the direction of a given hair follicle 125 along the hair line 111 using the same formulation described above for the hair line implantation locations 126. The hair line direction and location together completely specify the hair line. Further hair lines may be replicated from the initial hair line, and may be added behind the initial hair line to add some thickness. The number of times the hair line is replicated may be specified by the user, within a reasonable limit. Each one of the curves can be adjusted by the user by adjusting the control points and control directions.

Fig. 15 depicts the hair follicles 125 of the hair line curve 111, with the density (i.e., spacing input 122) of the implantation locations 126 changed from every 1 mm on the curve 111 to every 3 mm. **Fig. 16** depicts the hair follicles 125 of the hair line curve 111, with the density at every 3 mm, and with the randomness 120 user-specified at 1.0 mm. In other words, each follicular unit location 126 is perturbed by 1 mm randomly, and the resulting locations are used by the planning system for the implantation sites, instead of along a uniform curve.

The hair patch design, or “Hair Area Demo” 116, is not shown but is substantially similar to that of the Hair Line Demo 114, wherein each patch is limited by a closed curve that is described by specified control points and direction(s) (hair follicle orientations). The hair patch is then filled, digitized, and randomized by the planning system, in accordance with user-specified density and randomness. It will also be appreciated that, in addition to graphic renderings of the person’s scalp following the implantation, the planning system will output a set of coordinates representing the specific follicular unit harvesting and implantation locations (and, in the later case, the implantation directions), which may be used by an automated (e.g., robotic) hair follicular unit transplantation system, such as that described in US patent application serial number 11/380,907.

The foregoing illustrated and described embodiments of the invention are susceptible to various modifications and alternative forms, and it should be understood that the invention generally, as well as the specific embodiments described herein, are not limited to the particular forms or methods disclosed, but also cover all modifications, equivalents and
5 alternatives falling within the scope of the appended claims. By way of non-limiting example, it will be appreciated by those skilled in the art that the planning systems and methods of their use are not limited to the use of an automated transplantation (e.g., robotic) system, and that the planning system and methods may also be used for planning transplantation procedures employing semi-automated, and manual systems and apparatus for
10 performing the transplantation procedure.

WE CLAIM:

1. A system for creating a plan for cosmetic transplantation of hair follicular units in a body surface, comprising:
 a user interface including a software-controlled processor and a user input device,
 5 wherein the processor is configured to display a three-dimensional model of the body surface, and to generate and display on the model a proposed boundary curve having follicular unit implantation locations and hair follicle orientations, the follicular unit implantation locations and hair follicle orientations based on specified control points and orientations at the control points,
 10 wherein the user interface is configured to allow a user to adjust a location and/or orientation of at least one of the control points to thereby correspondingly adjust the follicular unit implantation locations and hair/or follicle orientations of the proposed boundary curve.
2. The system of claim 1, wherein the control points are user selected, automatically generated, or a combination of both.
- 15 3. The system of any of claims 1-2, wherein the follicular unit implantation locations and/or orientations of the boundary curve are generated from the control points using cubic interpolation techniques.
4. The system of claim 3, wherein follicular unit implantation locations are further randomized.
- 20 5. The system of any of claims 1-4, wherein the user may interactively adjust one or more of a respective density, direction, implant depth, degree of randomization, or overall appearance of the follicular units in any of the proposed follicular unit implantation locations.
6. The system of any of claims 1-5, wherein the proposed boundary curve comprises a front hair line, and/or a boundary of a hair patch area.
- 25 7. The system of claim 1, wherein the processor is configured to determine additional proposed follicular unit implantation locations and hair follicle orientations on the body surface.
8. The system of any of claims 1-6, wherein the processor is configured to display on the body surface model a proposed boundary of a donor area for harvesting follicular units, and

wherein the proposed donor area boundary is originally determined, subsequently modified, or both, based on input received via the user input device.

9. The system of claim 8, wherein the proposed donor area boundary is determined based at least in part on one or more of

5 an amount of each of a type of existing follicular unit available for harvesting from the donor area,

an amount of each of a type of existing follicular unit to be implanted, or

a minimum density of follicular units to be left remaining in the donor area after selected harvesting of other follicular units.

10 10. The system of claim 1 or 7, wherein the proposed follicular unit implantation locations and orientations are based at least in part on an attribute of existing follicular units in an identified donor area of the body surface and selected from the group comprising

a mix of type of follicular unit,

a quantity of each type of follicular unit,

15 a relative coarseness of hair follicles growing from the follicular units,

a direction of hair follicles growing from the follicular units,

a color of hair follicles growing from the follicular units, or

a randomness of the follicular units.

11. The system of claim 1 or 7, wherein the user interface is configured to generate and
20 display one or more input menus for receiving user inputs through the user input device for defining and adjusting the one or more proposed implantation locations.

12. The system of claim 11, wherein the one or more user-interface input menus include a hair design menu, a hair donor menu, and hair finalize menu.

13. The system of claim 12, wherein the hair design menu is configured to receive user
25 inputs relating to one or more of:

hair line planning,

hair patch area planning, or

a user-selected request for the system to automatically generate one or both of a planned hair line, and a planned patch area.

14. The system of claim 12, wherein the hair finalize menu is configured to receive user inputs relating to one or more of saving, transmitting, receiving and reviewing a completed or partially completed transplantation procedure plan.

15. The system of claim 1 or 7, wherein the processor is further configured to display a three dimensional model of the body surface, and to generate and display a proposed hair removal area on the body surface model, and

wherein the user interface is further configured to generate and display one or more input menus for receiving user inputs for adjusting the proposed hair removal area.

16. The system of claim 15, wherein the one or more input menus include an input menu for receiving user inputs through the user input device for initially defining the proposed hair removal area.

17. The system of claim 15, wherein the user interface generates the proposed hair removal area based at least in part on a density of existing follicular units available for removal from the proposed hair removal area.

18. The system of claim 15, wherein the user interface generates the proposed hair removal area based at least in part on a minimum density of follicular units to be left remaining in the proposed hair removal area after selected removal of other follicular units.

19. A method for creating a plan for cosmetic transplantation of hair follicular units in a body surface, comprising:

displaying a three-dimensional model of the body surface,
generating and displaying on the model a proposed boundary curve, wherein follicular unit implantation locations of the boundary curve and hair follicle orientations of the boundary curve are automatically generated based on specified control points and orientations at the control points; and
interactively adjusting any of the control point locations and/or orientations to thereby correspondingly adjust the proposed boundary curve.

20. The method of claim 19, wherein the proposed boundary curve comprises a front hair line, a boundary of a hair patch area, or one or more hair lines substantially replicating the front hair line or the boundary of the hair patch area.

21. The method of claim 20, wherein the control point locations and/or hair follicle orientations are initially generated by the processor.

22. The method of any of claims 19-21, further comprising using cubic interpolation techniques to generate follicular unit implantation locations and orientations.

23. The method of any of claims 19-22, further comprising displaying on the body surface a proposed boundary of a donor area for harvesting follicular units, wherein the proposed donor area boundary is determined based at least in part on one or more of

an amount of each of a type of existing follicular unit available for harvesting from the donor area,

an amount of each of a type of existing follicular unit to be implanted, or
a minimum density of follicular units to be left remaining in the donor area after selected harvesting of other follicular units.

24. The method of any of claims 19-23, further comprising displaying a proposed hair removal area on the body surface model; and generating and displaying one or more input menus for receiving user inputs for adjusting the proposed hair removal area.

25. The method of any of claims 19-24, wherein the proposed follicular unit implantation locations and orientations are based at least in part on an attribute of existing follicular units in an identified donor area of the body surface and selected from the group comprising

a mix of type of follicular unit,

a quantity of each type of follicular unit,

a relative coarseness of hair follicles growing from the follicular units,

a direction of hair follicles growing from the follicular units,

a color of hair follicles growing from the follicular units, and

a randomness of the follicular units.

26. The system of claim 1, wherein the boundary curve comprises a boundary of a hair patch area, and wherein additional follicular unit implantation locations and hair follicle orientations within an interior of the hair patch area are determined at least in part based on specified hair patch control points.

27. The system of claim 1, wherein the location and/or orientation of the at least one of the control points is adjusted by using a click and drag motion of the user input device.

28. The system of claim 1 or 7, wherein at least one of the proposed follicular implantation locations and/or at least one of the hair follicle orientations is generated using a Bezier, Cardinal spline, or b-spline interpolation technique.

1/15

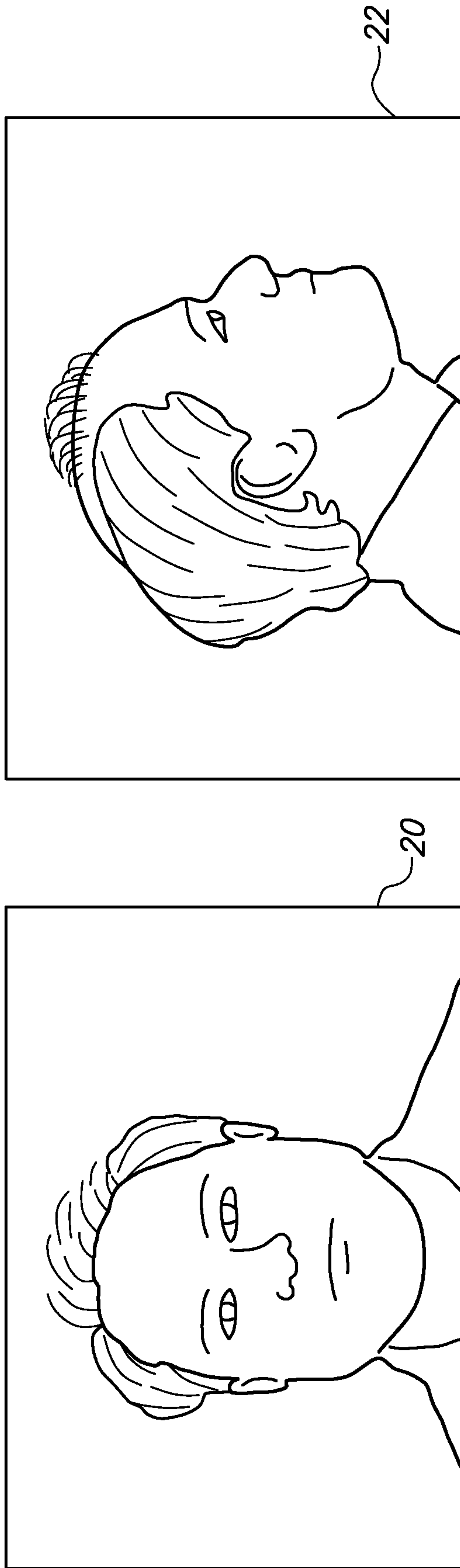


FIG. 1.B

FIG. 1.A

2/15

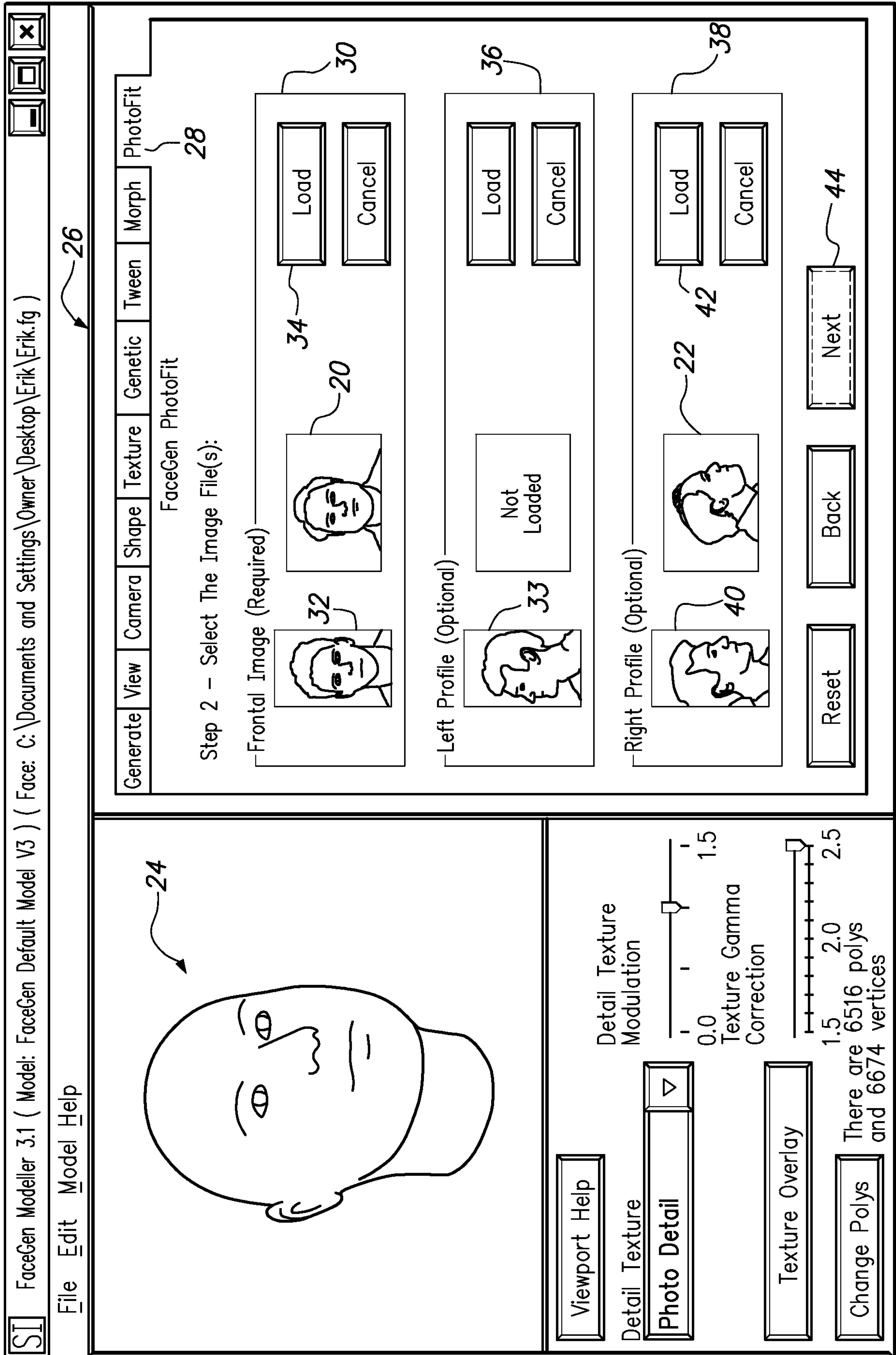


FIG. 2

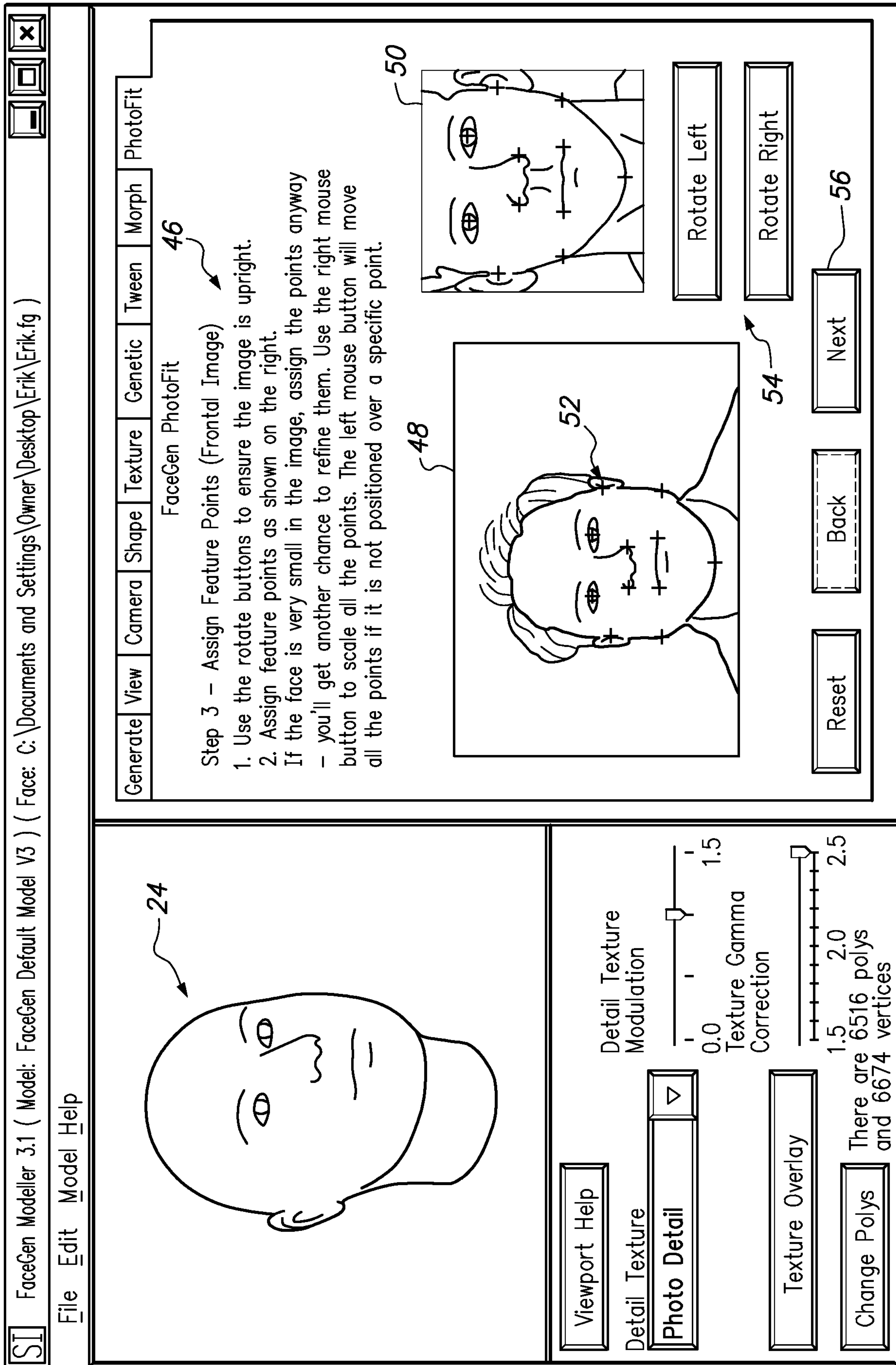


FIG. 3

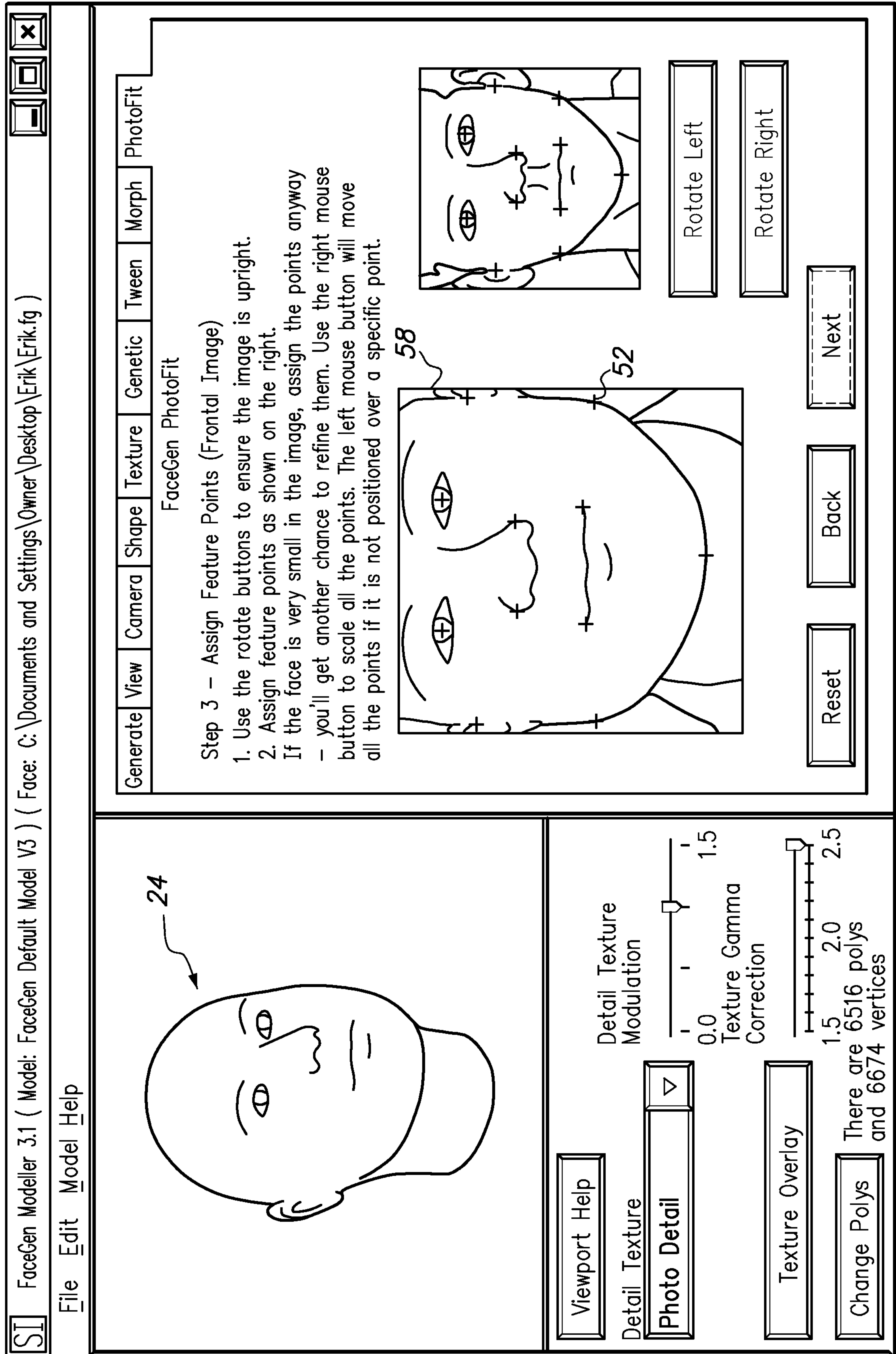


FIG. 4

5/15

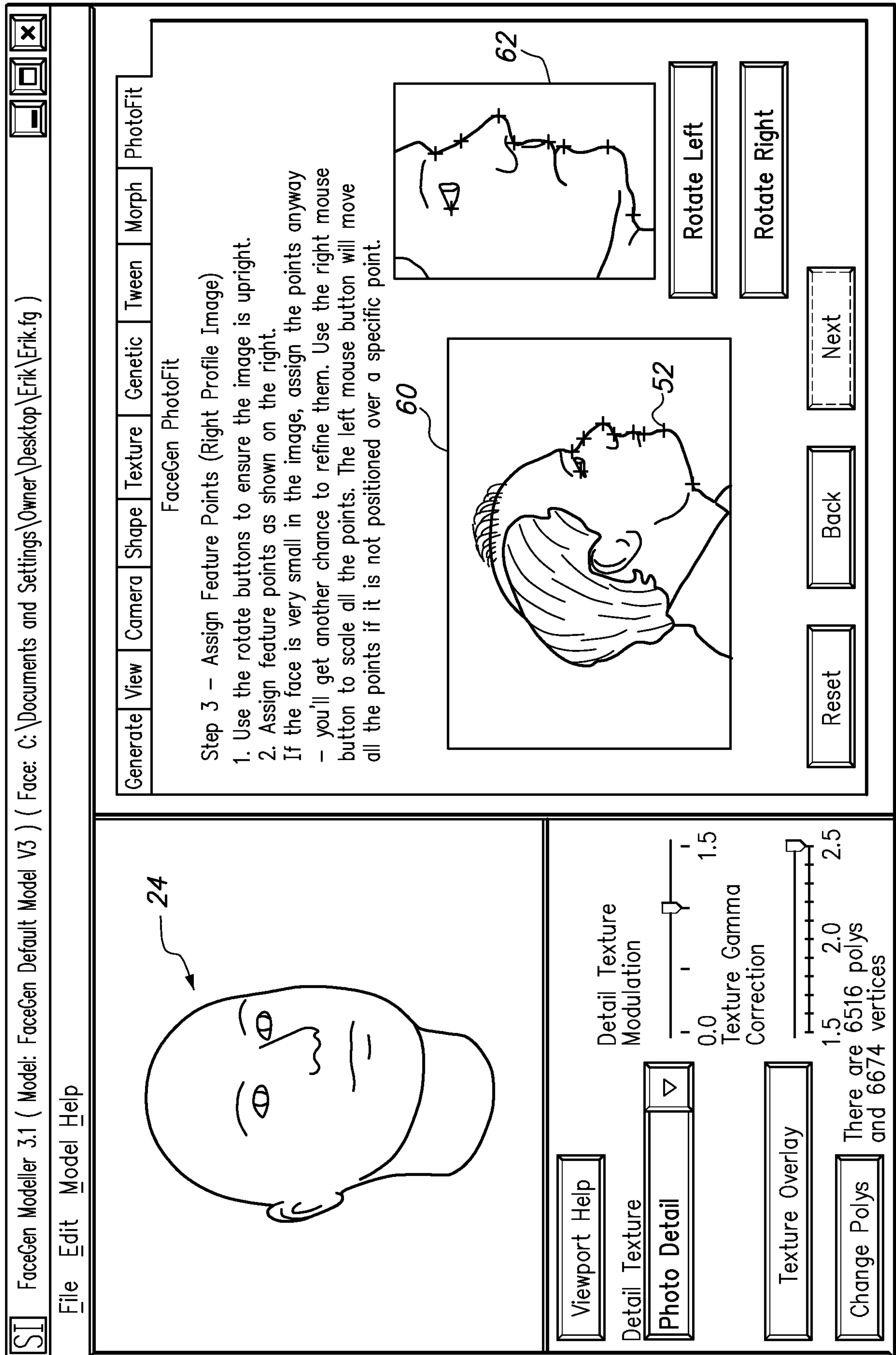


FIG. 5

6/15

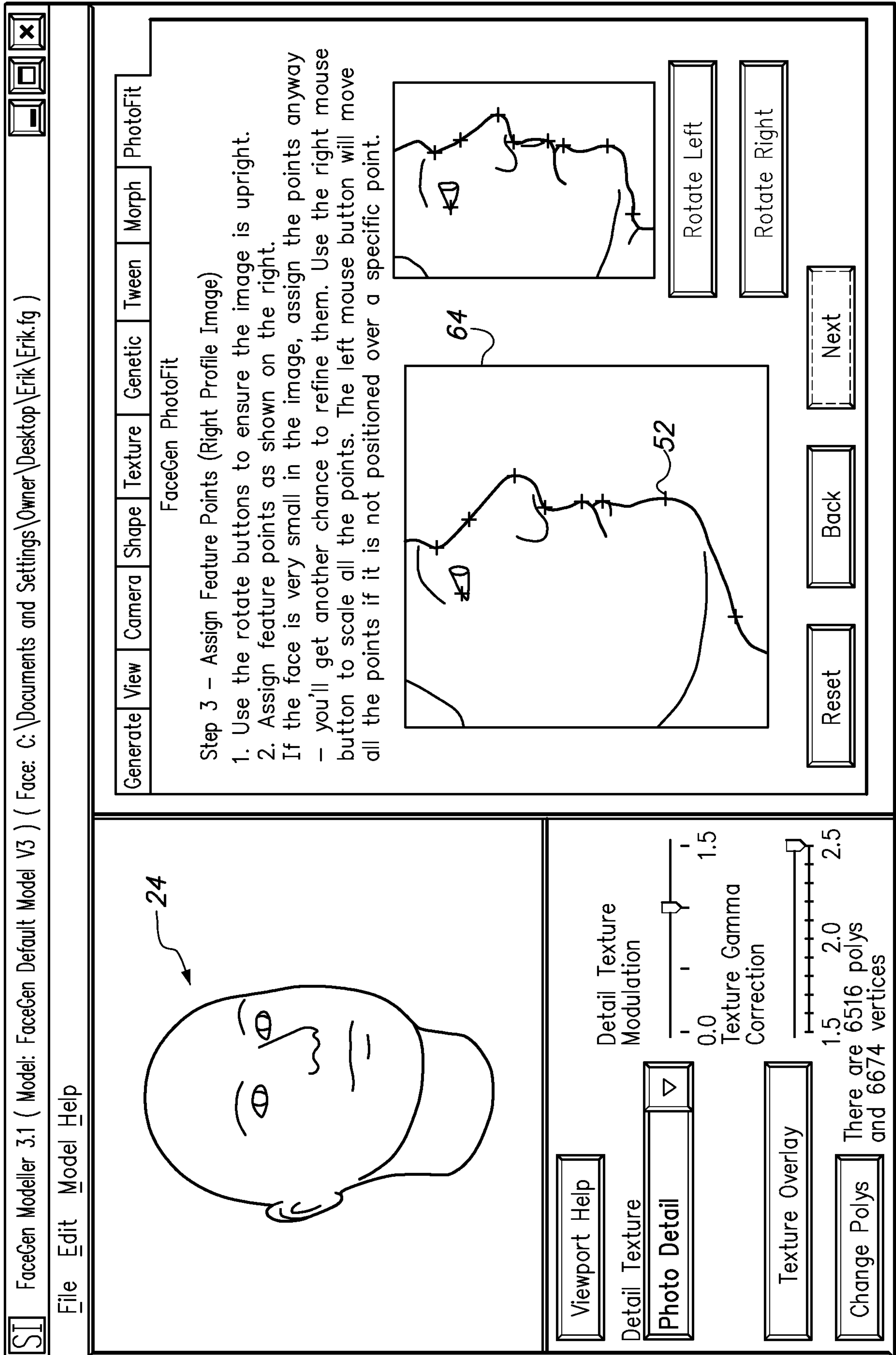


FIG. 6

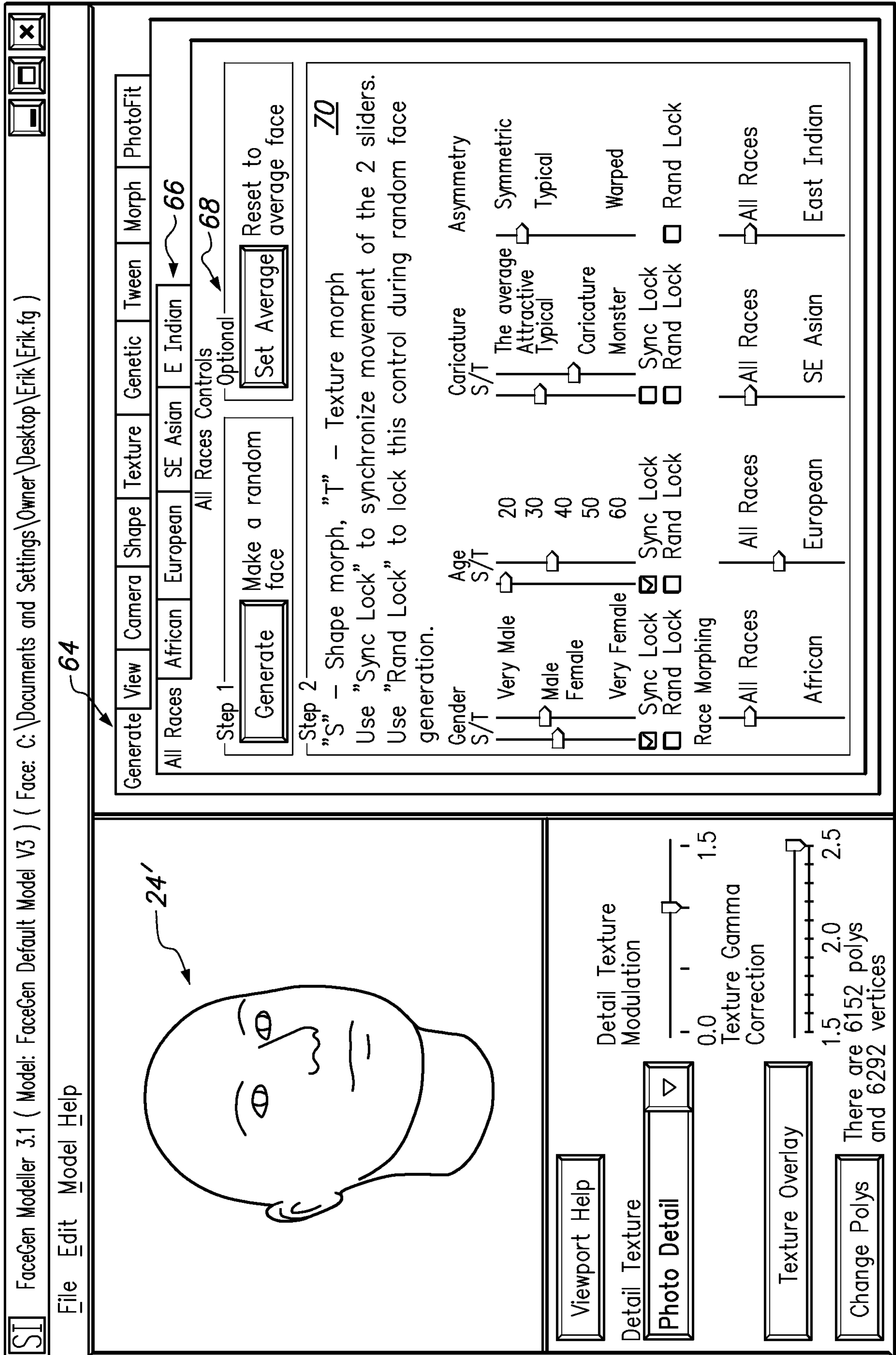
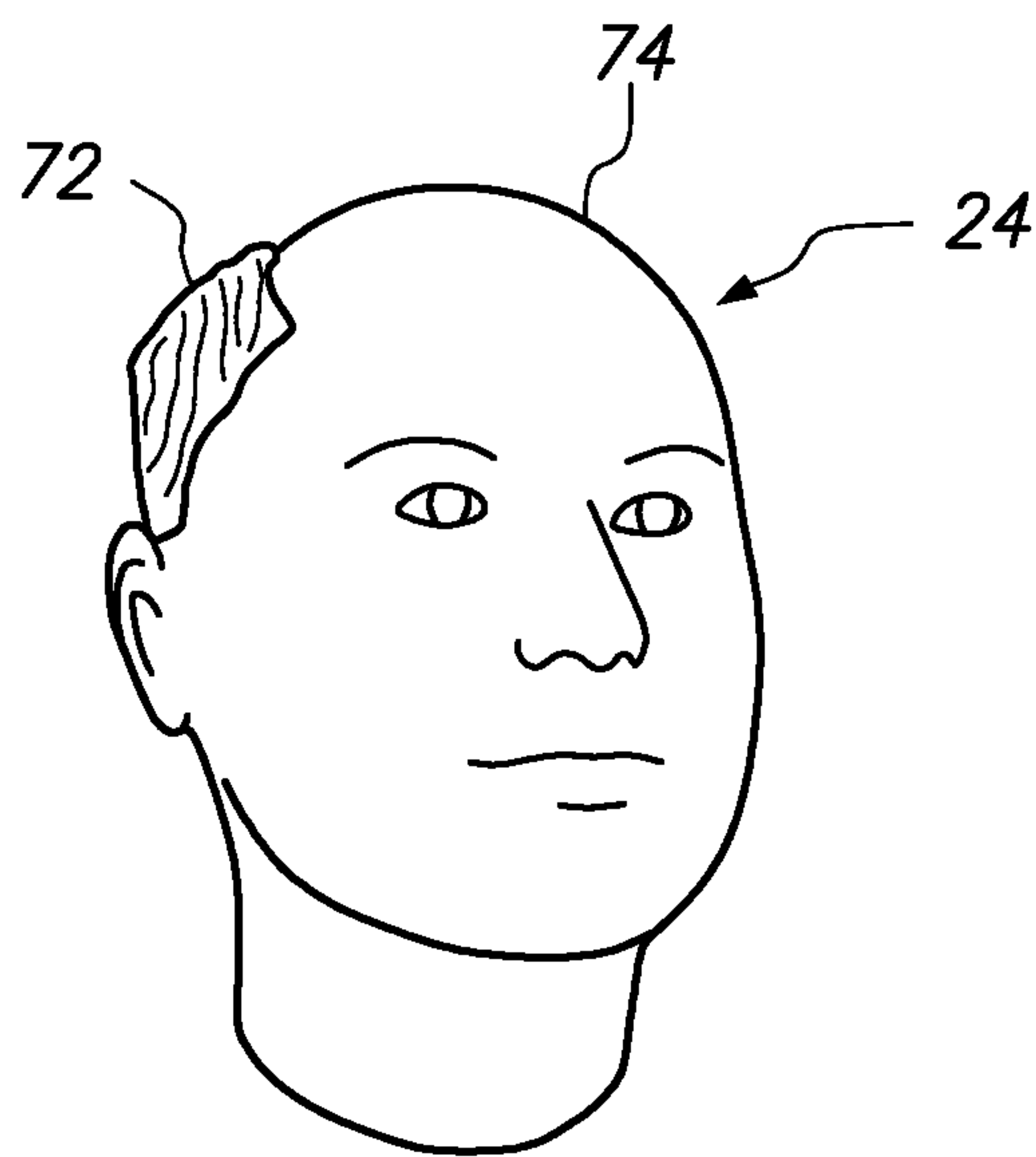
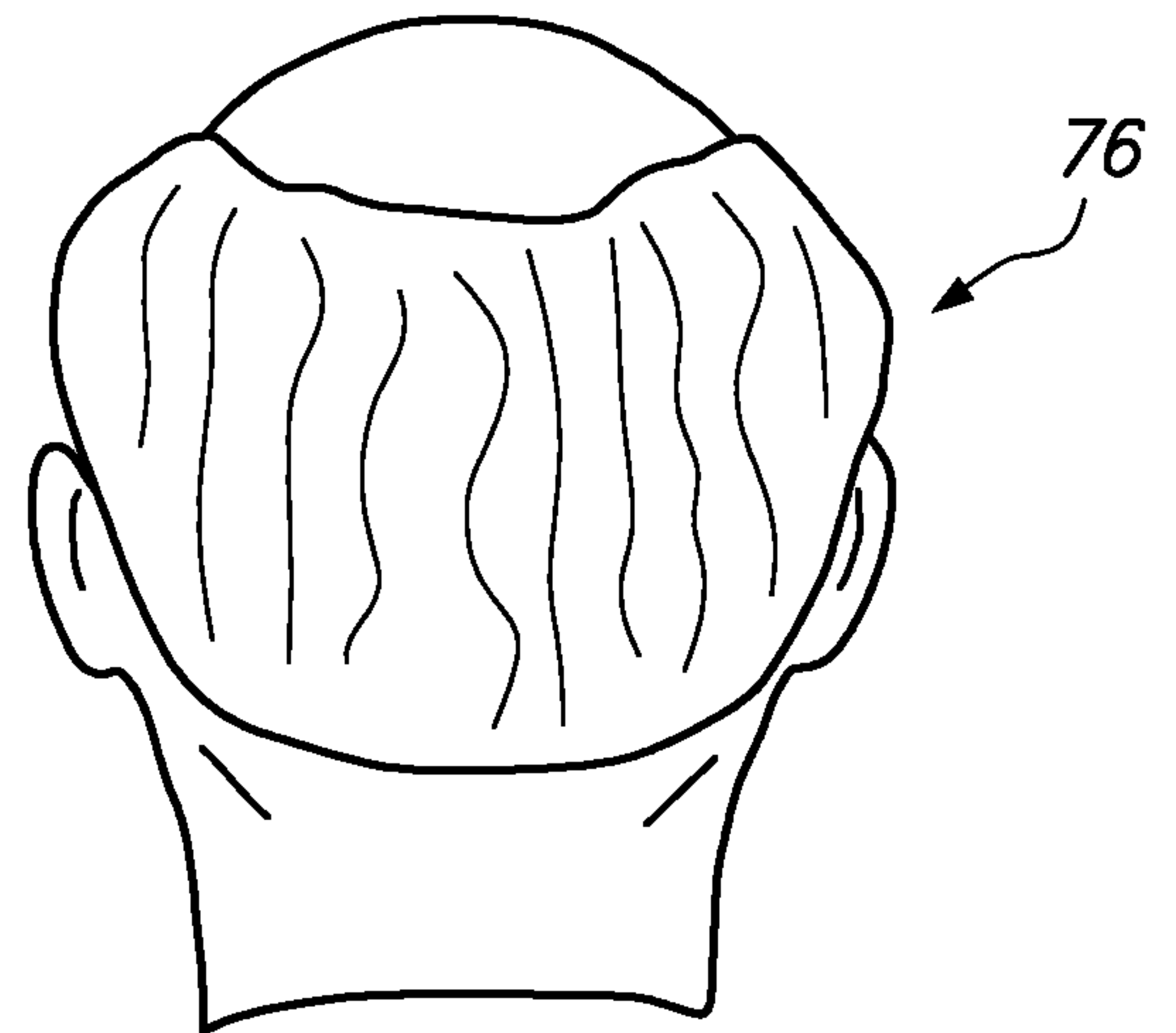
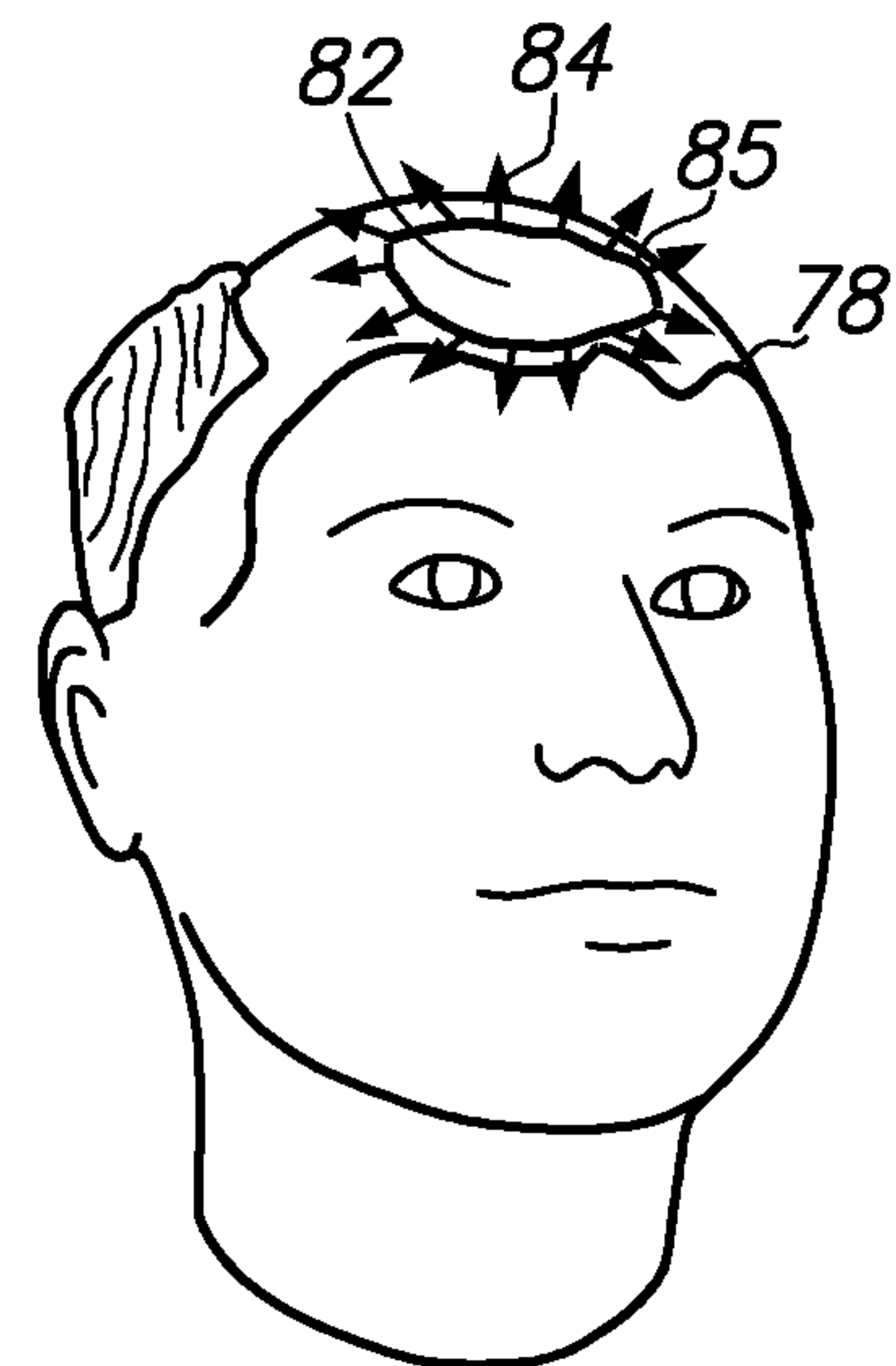
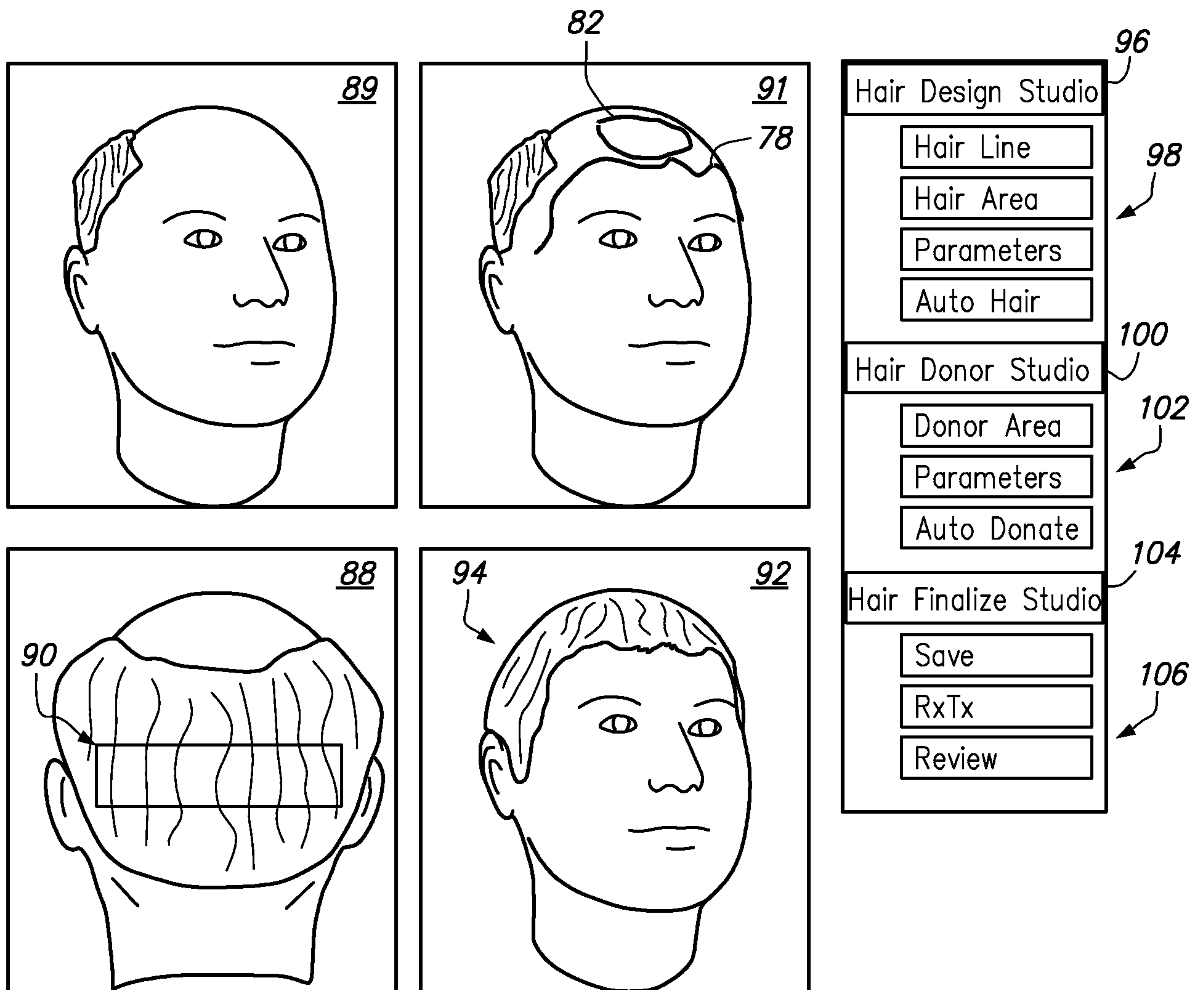


FIG. 7

8/15

**FIG. 8A****FIG. 8B****FIG. 9****FIG. 10**

9/15

**FIG. 11**

10/15

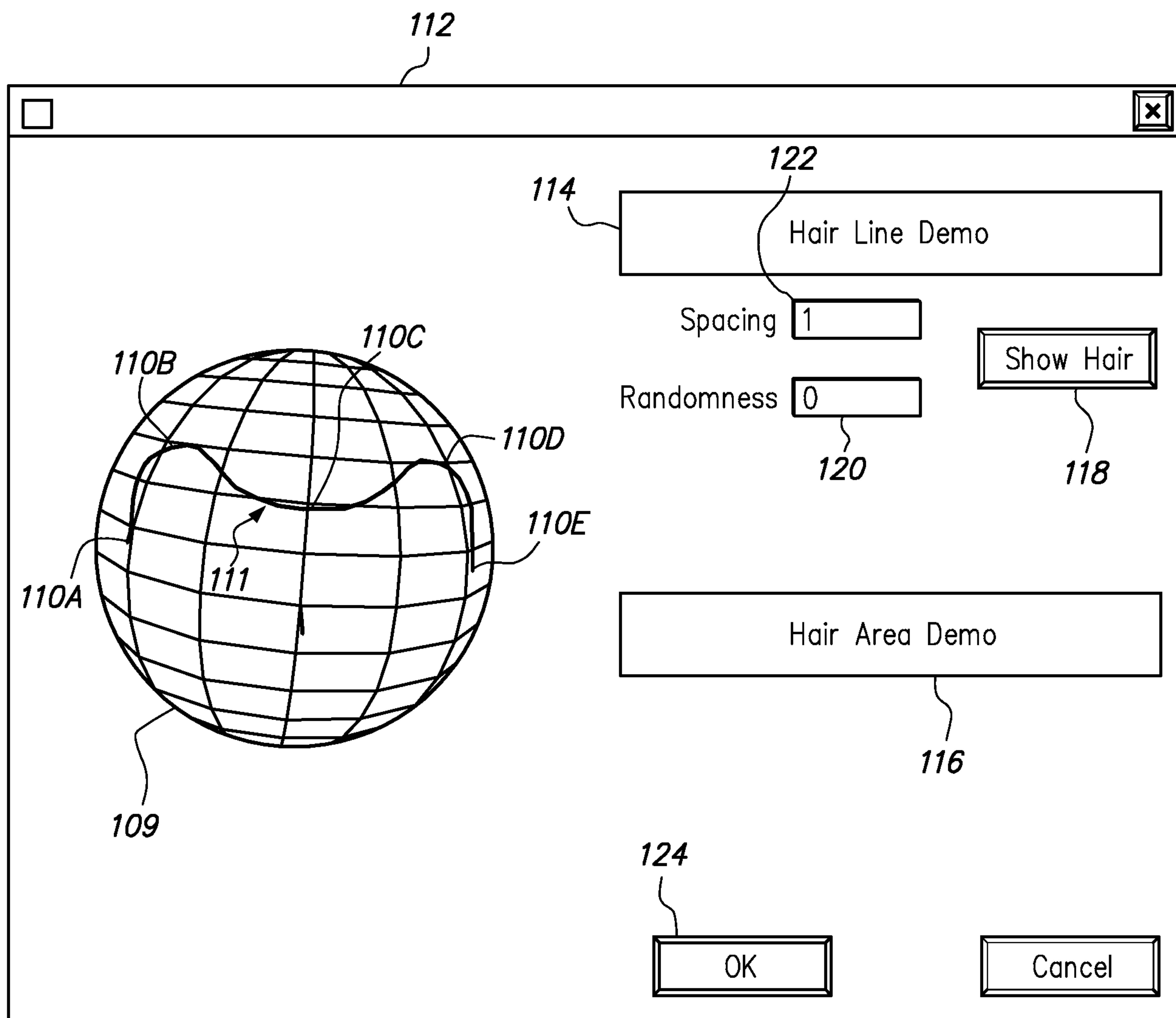
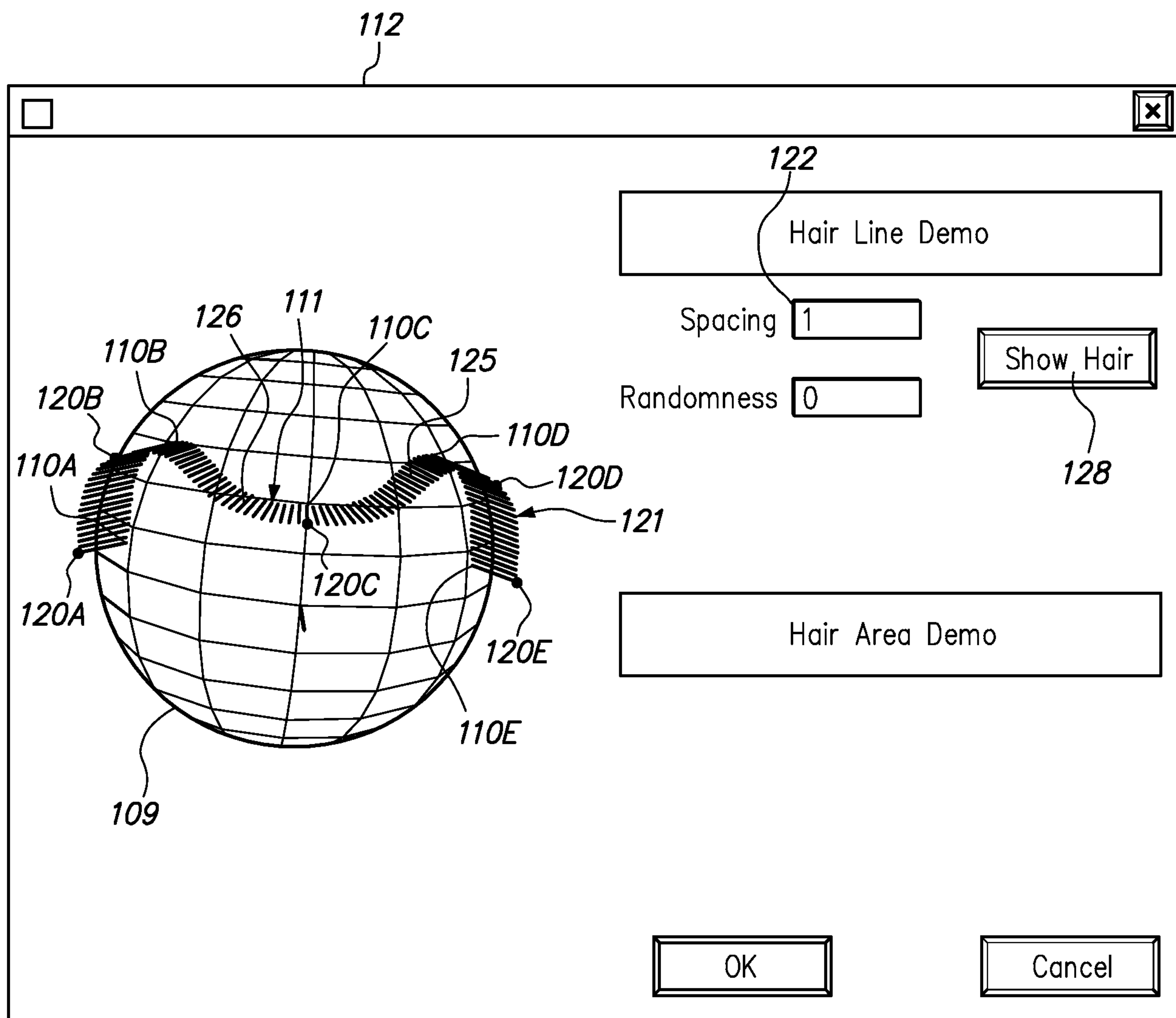
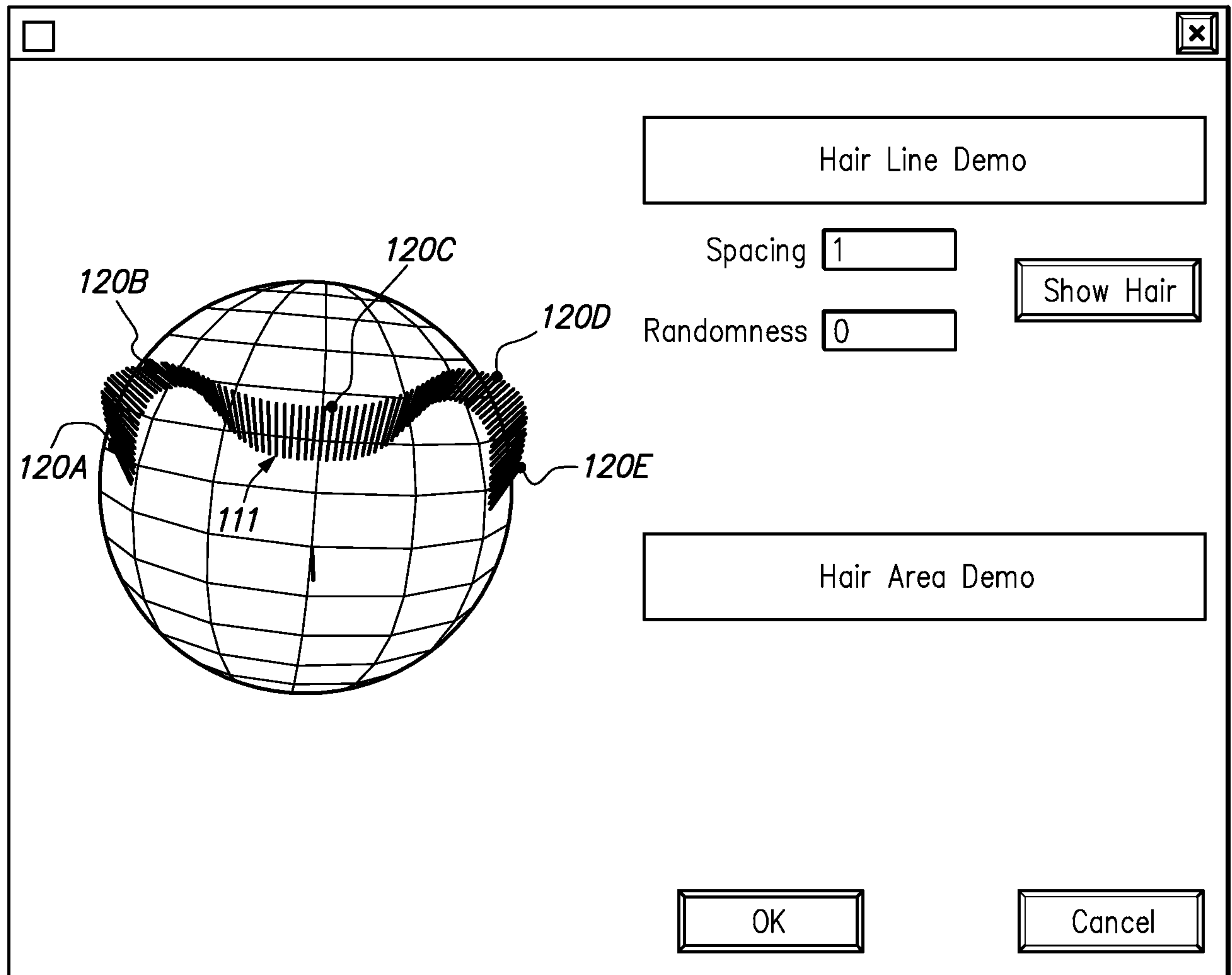


FIG. 12

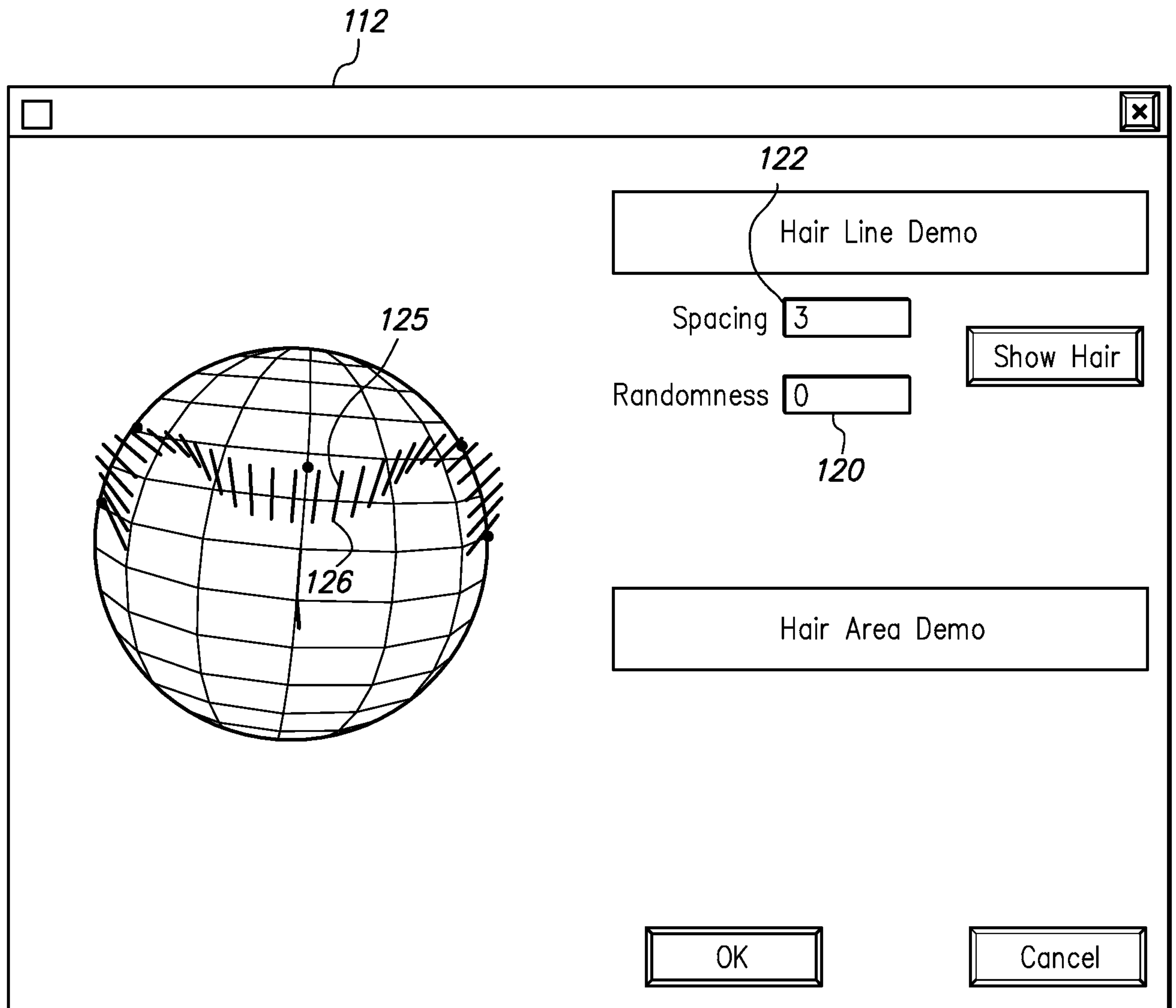
11/15

**FIG. 13**

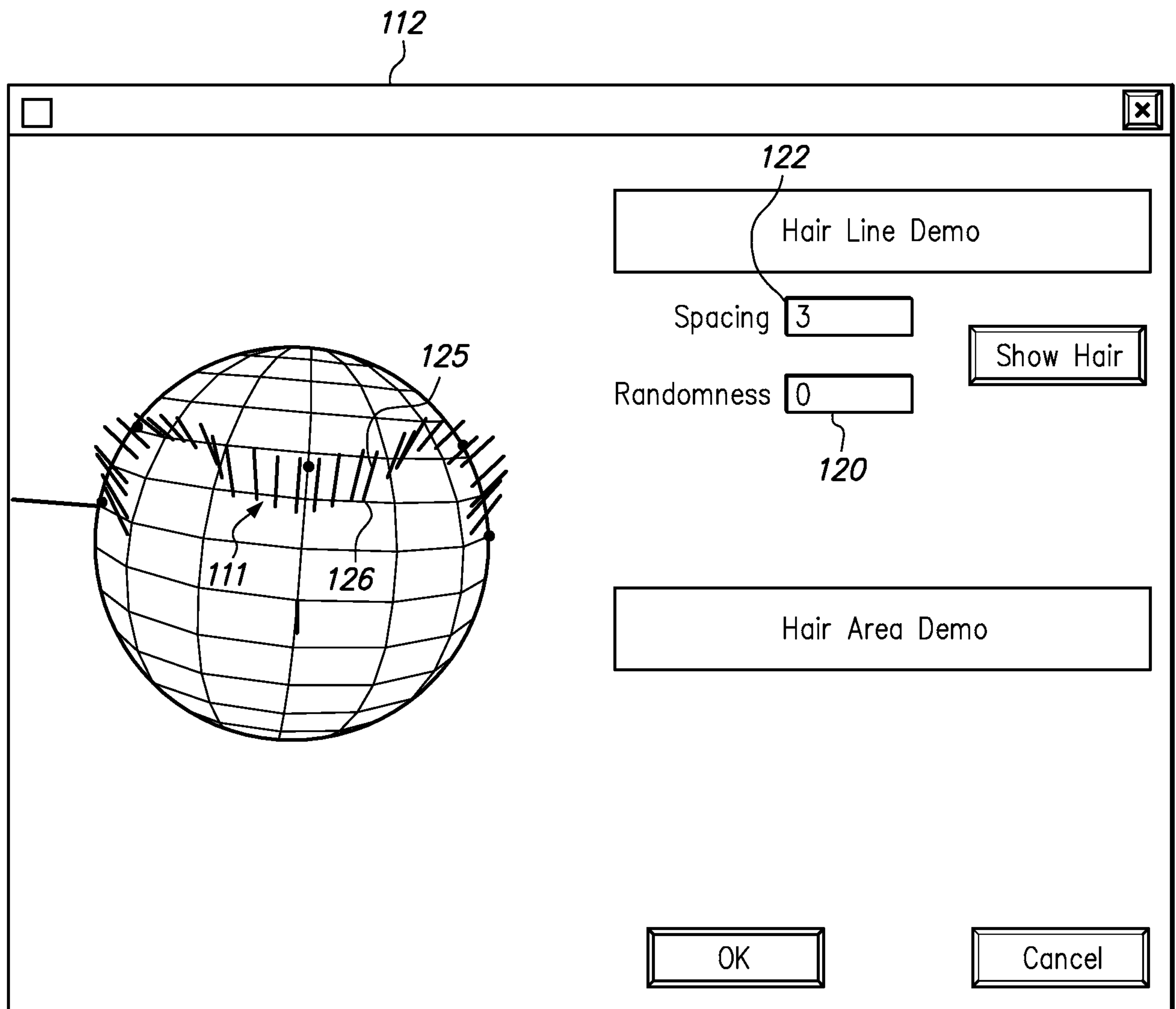
12/15

**FIG. 14**

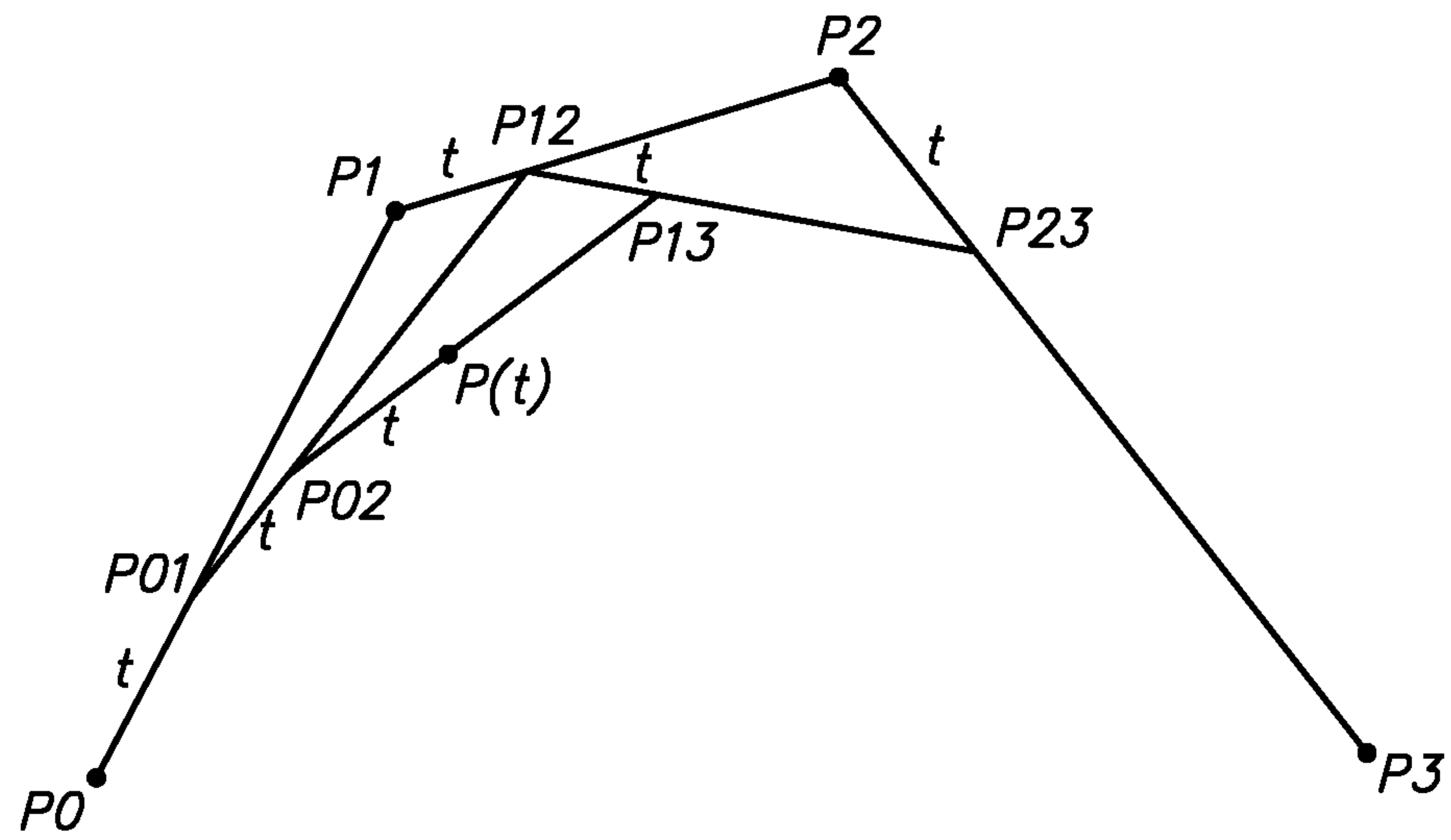
13/15

**FIG. 15**

14/15

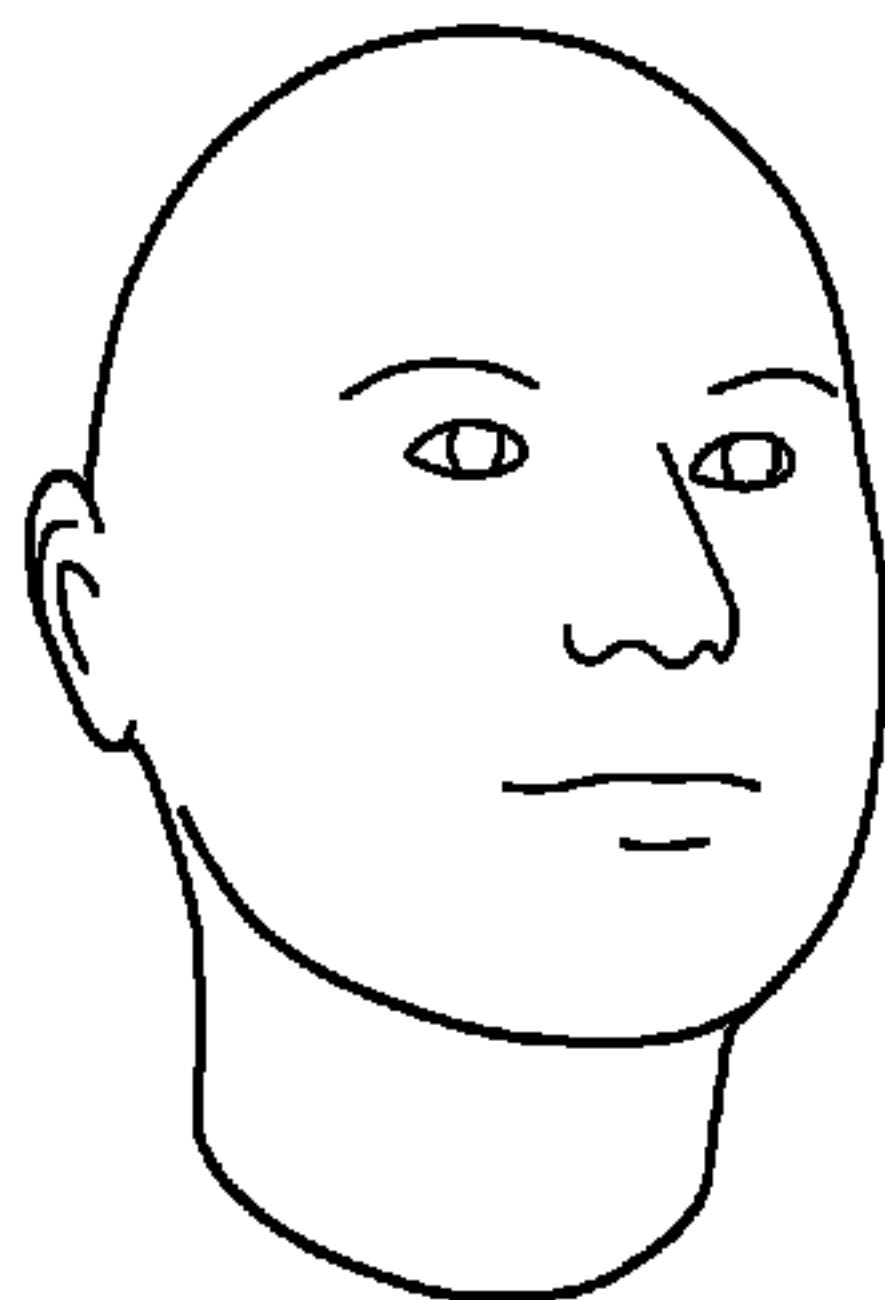
**FIG. 16**

15/15

**FIG. 17**



File Edit Model Help



24

Viewport Help

Detail Texture

Photo Detail

Detail Texture
Modulation

0.0 1.5

Texture Gamma
Correction

1.5 2.0 2.5

Texture Overlay

Change Polys

There are 6516 polys
and 6674 vertices

Generate View Camera Shape Texture Genetic Tween Morph PhotoFit

FaceGen PhotoFit

Step 2 - Select The Image File(s):

Frontal Image (Required)



32



20

Load

Cancel

30

Left Profile (Optional)



33

Not
Loaded

Load

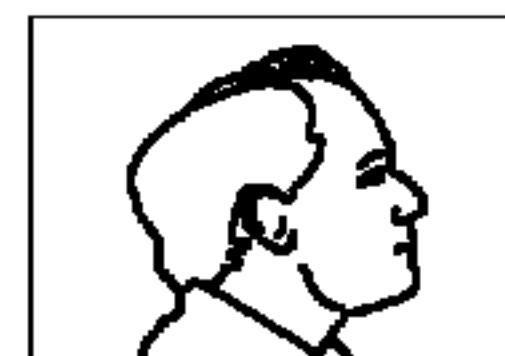
Cancel

36

Right Profile (Optional)



40



22

Load

Cancel

38

Reset

Back

Next

44

26

28