



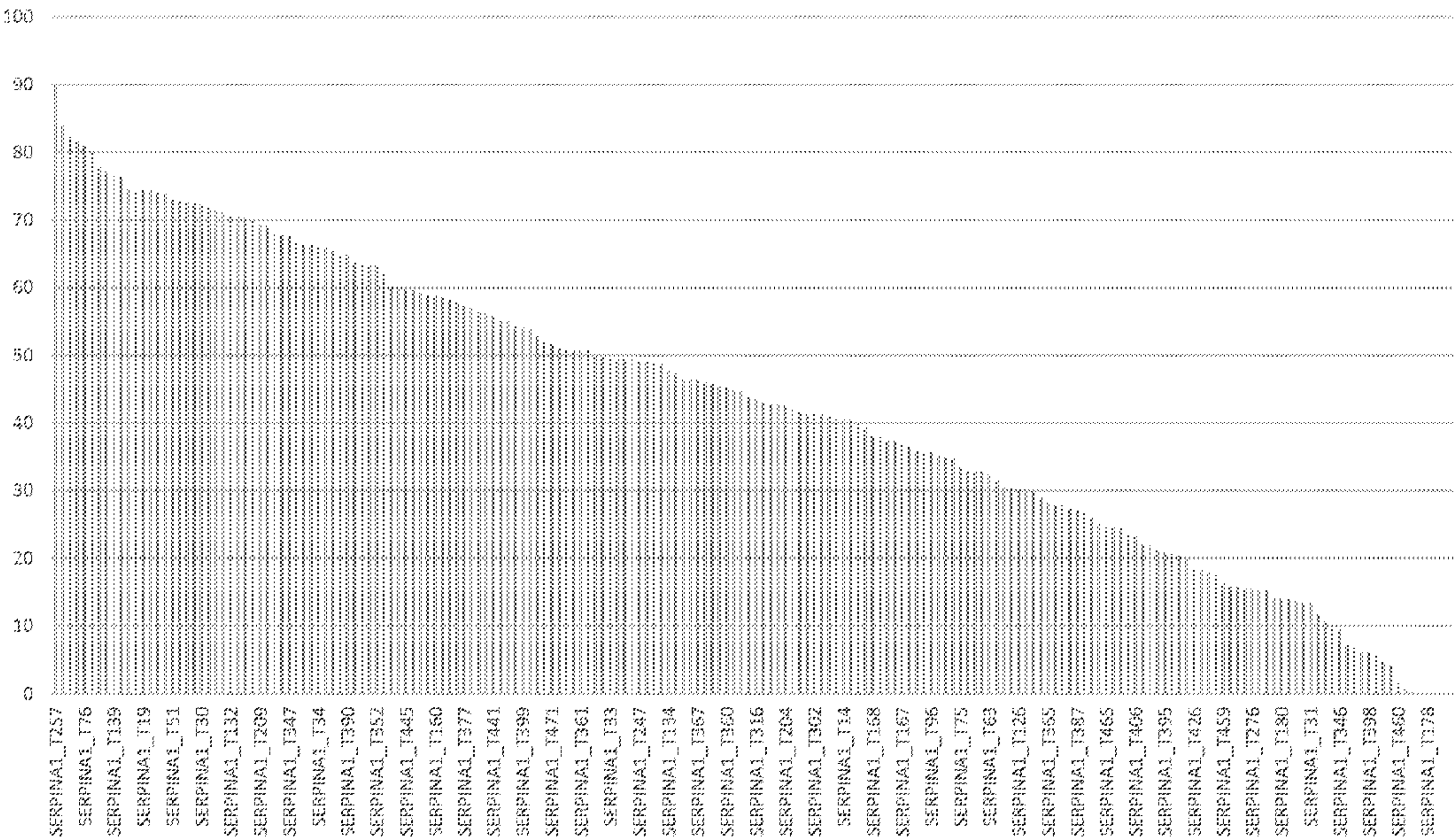
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(54) Titre : MATERIAUX ET METHODES DE TRAITEMENT D'UNE DEFICIENCE EN ANTITRYPSINE ALPHA-1
(54) Title: MATERIALS AND METHODS FOR TREATMENT OF ALPHA-1 ANTITRYPSIN DEFICIENCY

Fig. 3



(57) Abrégé/Abstract:
The present application provides materials and methods for treating a patient with Alpha-1 antitrypsin deficiency (AATD) both ex vivo and in vivo. In addition, the present application provides materials and methods for editing the SERPINA1 gene in a cell by genome editing.

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- (57) Abstract:** The present application provides materials and methods for treating a patient with Alpha-1 antitrypsin deficiency (AATD) both *ex vivo* and *in vivo*. In addition, the present application provides materials and methods for editing the SERPINA1 gene in a cell by genome editing.

MATERIALS AND METHODS FOR TREATMENT OF
ALPHA-1 ANTITRYPSIN DEFICIENCY

Related Applications

[0001] This application claims the benefit of U.S. Provisional Application No. 62/261,661, filed December 1, 2015; and U.S. Provisional Application No. 62/324,056, filed April 18, 2016; the contents of each of which are incorporated herein by reference in their entirety.

Field of the Invention

[0002] The present application provides materials and methods for treating a patient with alpha-1 antitrypsin deficiency (AATD) both *ex vivo* and *in vivo*. In addition, the present application provides materials and methods for modulating the expression, function, and/or activity of the SERPINA1 gene and/or the alpha-1 antitrypsin (AAT) protein in a cell by genome editing.

Incorporation by Reference of Sequence Listing

[0003] This application contains a Sequence Listing in computer readable form (filename: CRIS010001WO_ST25.txt; 13,706,379 bytes – ASCII text file; created November 30, 2016), which is incorporated herein by reference in its entirety and forms part of the disclosure.

Background of the Invention

[0004] Alpha-1 antitrypsin deficiency (AATD) is an autosomal recessive disorder characterized by an increased risk for: chronic obstructive pulmonary disease, including, emphysema, airflow obstruction, and/or chronic bronchitis, with evident manifestation in adults by the 4th–5th decades; liver disease, in a small portion of affected patients, with manifestation as obstructive jaundice; and increased aminotransferase levels in the early period of life. The liver disease in adults can even manifest as fibrosis and cirrhosis in the absence of a history of liver complications during childhood. Patients with AATD also have a higher incidence of hepatocellular carcinoma. (See e.g., Stoller JK, Aboussouan LS, “A review of alpha1-antitrypsin deficiency.” *Am J Respir Crit Care Med* 2012, 185(3):246-259; Teckman JH, “Liver disease in alpha-1 antitrypsin deficiency: current understanding

and future therapy.” COPD 2013, 10 Suppl 1:35-43; and Stoller JK, et al, “Alpha-1 Antitrypsin Deficiency.” In: GeneReviews(R). Edited by Pagon RA, et al. Seattle (WA); 1993).

[0005] The diagnosis of AATD is confirmed by demonstration of a low concentration of alpha-1 antitrypsin (AAT) in serum, followed by detection of a functionally deficient AAT protein or detection of bi-allelic pathogenic variants in SERPINA1, the gene encoding alpha-1 antitrypsin. (See e.g., Aboussouan LS, Stoller JK; “Detection of alpha-1 antitrypsin deficiency: a review.” Respir Med 2009, 103(3):335-341). The prevalence of AATD is about 40:100K (Swedish ancestry - 50-60:100K). More than 100 variants of the AAT gene (SERPINA1) have been described, but the majority of the patients (at least with the liver phenotype) are homozygous for PI Z (PI, GLU342LYS ON M1A [dbSNP:rs28929474]).

[0006] Current therapy for AATD includes: standard therapy for chronic obstructive pulmonary disease, which includes bronchodilators, inhaled corticosteroids, pulmonary rehabilitation, supplemental oxygen, and vaccinations (e.g., influenza and pneumococcal). Specific therapy for AATD, including periodic intravenous infusion of pooled human serum alpha-antitrypsin (augmentation therapy) is also used. (See e.g., Mohanka M, Khemasuwan D, Stoller JK, “A review of augmentation therapy for alpha-1 antitrypsin deficiency.” Expert Opin Biol Ther 2012, 12(6):685-700). Individuals with end-stage lung disease often have to undergo lung transplantation. A major treatment for patients with the liver disease is liver transplantation because other approaches, such as conventional therapies (chemical chaperones, stimulation of autophagy, antifibrotic therapy), often have a minimal effect.

[0007] Some newer approaches have been tested recently. (See e.g., Wewers MD, et al., “Replacement therapy for alpha 1-antitrypsin deficiency associated with emphysema.” N Engl J Med 1987, 316(17):1055-1062; Wewers MD, Crystal RG, “Alpha-1 antitrypsin augmentation therapy.” COPD 2013, 10 Suppl 1:64-67; and Teckman JH, “Lack of effect of oral 4-phenylbutyrate on serum alpha-1-antitrypsin in patients with alpha-1-antitrypsin deficiency: a preliminary study.” J Pediatr Gastroenterol Nutr 2004, 39(1):34-37). ARC-AAT is a single dose Phase 1 clinical study and ALNY-AAT is a Phase 1 clinical study. Both studies are harnessing the

RNA interference approach to reduce the amount of mutant AAT deposited in hepatocytes. However, these approaches do not address the lack of normal AAT in the circulation, and depend upon combination with augmentation therapy. In addition, rAAV1-CB-hAAT is a Phase 2 clinical study that combines downregulation of endogenous AAT with microRNA and simultaneous upregulation of exogenous AAT. (See e.g., Flotte TR, et al., "Phase 2 clinical trial of a recombinant adeno-associated viral vector expressing alpha1-antitrypsin: interim results." *Hum Gene Ther* 2011, 22(10):1239-1247; Mueller C, et al., "Sustained miRNA-mediated knockdown of mutant AAT with simultaneous augmentation of wild-type AAT has minimal effect on global liver miRNA profiles." *Mol Ther* 2012, 20(3):590-600).

[0008] Genome engineering refers to the strategies and techniques for the targeted, specific modification of the genetic information (genome) of living organisms. Genome engineering is a very active field of research because of the wide range of possible applications, particularly in the areas of human health; the correction of a gene carrying a harmful mutation, for example, or to explore the function of a gene. Early technologies developed to insert a gene into a living cell, such as transgenesis, were often limited by the random nature of the insertion of the new sequence into the genome. The new gene was usually positioned blindly, and may have inactivated or disturbed the functioning of other genes, or even caused severe unwanted effects. Furthermore, these technologies generally offered no degree of reproducibility, as there was no guarantee that the new sequence would be inserted at the same place in two different cells. More recent genome engineering strategies, such as ZFNs, TALENs, HEs and MegaTALs, enable a specific area of the DNA to be modified, thereby increasing the precision of the correction or insertion compared to early technologies, and offering some degree of reproducibility. Despite this, such recent genome engineering strategies have limitations.

[0009] Despite efforts from researchers and medical professionals worldwide who have been trying to address AATD, and despite the promise of genome engineering approaches, there still remains a critical need for developing safe and effective treatments for AATD.

[0010] Prior approaches addressing AATD have severe limitations, such as limited success and lack of adequate available therapies. The present invention solves these problems by using genome engineering tools to create permanent changes to the genome that can restore the AAT protein activity with a single treatment. Thus, the present invention corrects the underlying genetic defect causing the disease.

Summary of the Invention

[0011] Provided herein are cellular, *ex vivo* and *in vivo* methods for creating permanent changes to the genome by inserting, deleting, correcting, or modulating the expression or function of one or more mutations or exons within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene or knocking-in SERPINA1 cDNA or minigene into a safe harbor locus by genome editing and restoring alpha-1-antitrypsin (AAT) protein activity, which can be used to treat alpha-1 antitrypsin deficiency (AATD). Also provided herein are components, kits and compositions for performing such methods. Also provided are cells produced by them.

[0012] Provided herein is a method for editing a SERPINA1 gene in a human cell by genome editing, the method comprising the step of introducing into the human cell one or more deoxyribonucleic acid (DNA) endonucleases to effect one or more single-strand breaks (SSBs) or one or more double-strand breaks (DSBs) within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene that results in at least one of a permanent insertion, deletion, correction, or modulation of expression or function of one or more mutations or exons within or near or affecting the expression or function of the SERPINA1 gene, or within or near a safe harbor locus that results in a permanent insertion of the SERPINA1 gene or minigene, and results in restoration of alpha-1-antitrypsin (AAT) protein activity.

[0013] Also provided herein is a method for inserting a SERPINA1 gene in a human cell by genome editing, the method comprising the step of: introducing into the human cell one or more deoxyribonucleic acid (DNA) endonucleases to effect one or more single-strand breaks (SSBs) or double-strand breaks (DSBs) within or

near a safe harbor locus that results in a permanent insertion of the SERPINA1 gene or minigene, and results in restoration of alpha-1-antitrypsin (AAT) protein activity.

[0014] In one aspect, provided herein is a method for editing the SERPINA1 gene in a human cell by genome editing comprising introducing into the cell one or more deoxyribonucleic acid (DNA) endonucleases to effect one or more single-strand breaks (SSBs) or double-strand breaks (DSBs) within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene of the cell that results in permanent deletion, insertion, or correction of one or more mutations or exons within or near the SERPINA1 gene, or within or near a safe harbor locus that results in permanent insertion of the SERPINA1 gene or minigene, and restoration of alpha-1-antitrypsin (AAT) protein activity.

[0015] In another aspect, provided herein is an *ex vivo* method for treating a patient with alpha-1 antitrypsin deficiency (AATD) comprising the steps of: creating a patient specific induced pluripotent stem cell (iPSC); editing within or near the SERPINA1 gene of the iPSC or other DNA sequences that encode regulatory elements of the SERPINA1 gene of the iPSC or editing within or near a safe harbor locus of the iPSC; differentiating the genome edited iPSC into a hepatocyte; and implanting the hepatocyte into the patient.

[0016] In some embodiments, the step of creating a patient specific induced pluripotent stem cell (iPSC) comprises: isolating a somatic cell from the patient; and introducing a set of pluripotency-associated genes into the somatic cell to induce the cell to become a pluripotent stem cell. In some embodiments, the somatic cell is a fibroblast. In some embodiments, the set of pluripotency-associated genes is one or more of the genes selected from the group consisting of OCT4, SOX2, KLF4, Lin28, NANOG and cMYC.

[0017] The step of editing within or near a SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene of the iPSC or editing within or near a safe harbor locus of the SERPINA1 gene of the iPSC or editing within or near a locus of the first exon of the SERPINA1 gene of the iPSC can comprise introducing into the iPSC one or more deoxyribonucleic acid (DNA)

endonucleases to effect one or more single-strand breaks (SSBs) or double-strand breaks (DSBs) within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene that results in a permanent insertion, correction, deletion, or modulation of expression or function of one or more mutations or exons within or near or affecting the expression or function of the SERPINA1 gene or within or near a safe harbor locus that results in a permanent insertion of the SERPINA1 gene results in restoration of AAT protein activity. The safe harbor locus can be selected from the group consisting of: AAVS1 (PPP1R12C), ALB, Angptl3, ApoC3, ASGR2, CCR5, FIX (F9), G6PC, Gys2, HGD, Lp(a), Pcsk9, Serpina1, TF, and TTR. The safe harbor locus can be selected from the group consisting of: exon 1-2 of AAVS1 (PPP1R12C), exon 1-2 of ALB, exon 1-2 of Angptl3, exon 1-2 of ApoC3, exon 1-2 of ASGR2, exon 1-2 of CCR5, exon 1-2 of FIX (F9), exon 1-2 of G6PC, exon 1-2 of Gys2, exon 1-2 of HGD, exon 1-2 of Lp(a), exon 1-2 of Pcsk9, exon 1-2 of Serpina1, exon 1-2 of TF, and exon 1-2 of TTR.

[0018] In some embodiments, the step of editing the SERPINA1 gene of the iPSC comprises introducing into the iPSC one or more deoxyribonucleic acid (DNA) endonucleases to effect one or more double-strand breaks (DSBs) within or near the SERPINA1 gene that results in permanent deletion, insertion, or correction of one or more mutations within or near the SERPINA1 gene and restoration of alpha-1-antitrypsin (AAT) protein activity.

[0019] In some embodiments, the step of differentiating the genome edited iPSC into a liver progenitor cell or a hepatocyte comprises one or more of the following: contacting the genome edited iPSC with one or more of activin, B27 supplement, FGF4, HGF, BMP2, BMP4, Oncostatin M, or Dexametason.

[0020] In some embodiments, the step of implanting the hepatocyte into the patient comprises implanting the hepatocyte into the patient by transplantation, local injection, or systemic infusion, or combinations thereof.

[0021] In another aspect, provided herein is an *ex vivo* method for treating a patient with alpha-1 antitrypsin deficiency (AATD) comprising the steps of: performing a biopsy of the patient's liver; isolating a liver specific progenitor cell or

primary hepatocyte; editing within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene of the progenitor cell or primary hepatocyte or editing within or near a safe harbor locus of the progenitor cell or primary hepatocyte; and implanting the genome-edited progenitor cell or primary hepatocyte into the patient.

[0022] In some embodiments, the step of isolating a liver specific progenitor cell or primary hepatocyte from the patient comprises: perfusion of fresh liver tissues with digestion enzymes, cell differential centrifugation, and cell culturing, or combinations thereof.

[0023] In some embodiments, the step of editing within or near the SERPINA1 gene of the progenitor cell or primary hepatocyte or other DNA sequences that encode regulatory elements of the SERPINA1 gene of the progenitor cell or primary hepatocyte or editing within or near a safe harbor locus of the white blood cell of the progenitor cell or primary hepatocyte comprises introducing into the progenitor cell or primary hepatocyte one or more deoxyribonucleic acid (DNA) endonucleases to effect one or more single-strand breaks (SSBs) or double-strand breaks (DSBs) within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene that results in permanent insertion, correction, deletion, or modulation of expression or function of one or more mutations or exons within or near or affecting the expression or function of the SERPINA1 gene or editing within or near a safe harbor locus that results in permanent deletion, insertion, or correction of one or more mutations within or near the SERPINA1 gene and restoration of alpha-1-antitrypsin (AAT) protein activity. The safe harbor locus can be selected from the group consisting of: AAVS1 (PPP1R12C), ALB, Angptl3, ApoC3, ASGR2, CCR5, FIX (F9), G6PC, Gys2, HGD, Lp(a), Pcsk9, Serpina1, TF, and TTR. The safe harbor locus can be selected from the group consisting of: exon 1-2 of AAVS1 (PPP1R12C), exon 1-2 of ALB, exon 1-2 of Angptl3, exon 1-2 of ApoC3, exon 1-2 of ASGR2, exon 1-2 of CCR5, exon 1-2 of FIX (F9), exon 1-2 of G6PC, exon 1-2 of Gys2, exon 1-2 of HGD, exon 1-2 of Lp(a), exon 1-2 of Pcsk9, exon 1-2 of Serpina1, exon 1-2 of TF, and exon 1-2 of TTR.

[0024] In some embodiments, the step of implanting the progenitor cell or primary hepatocyte into the patient comprises implanting the progenitor cell or

primary hepatocyte into the patient by transplantation, local injection, or systemic infusion, or combinations thereof.

[0025] In an aspect, provided herein is an *ex vivo* method for treating a patient with alpha-1 antitrypsin deficiency (AATD), the method comprising the steps of: i) isolating a mesenchymal stem cell from the patient; ii) editing within or near a SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene of the mesenchymal stem cell or editing within or near a safe harbor locus of the SERPINA1 gene of the mesenchymal cell; iii) differentiating the genome-edited mesenchymal stem cell into a hepatocyte; and iv) implanting the hepatocyte into the patient.

[0026] In an aspect, provided herein is an *ex vivo* method for treating a patient with alpha-1 antitrypsin deficiency (AATD) comprising the steps of: performing a biopsy of the patient's bone marrow; isolating a mesenchymal stem cell; editing the SERPINA1 gene of the stem cell; differentiating the stem cell into a hepatocyte; and implanting the hepatocyte into the patient.

[0027] The mesenchymal stem cell can be isolated from the patient's bone marrow by performing a biopsy of the patient's bone marrow or the mesenchymal stem cell can be isolated from peripheral blood.

[0028] In some embodiments, the step of isolating a mesenchymal stem cell comprises: aspiration of bone marrow and isolation of mesenchymal cells by density centrifugation using Percoll™.

[0029] In some embodiments, the step of editing within or near the SERPINA1 gene of the stem cell or other DNA sequences that encode regulatory elements of the SERPINA1 gene of the mesenchymal stem cell comprises introducing into the mesenchymal stem cell one or more deoxyribonucleic acid (DNA) endonucleases to effect one or more single-strand breaks (SSBs) or double-strand breaks (DSBs) within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene that results in permanent deletion, insertion, correction, or modulation of expression or function of one or more mutations or exons within or near or affecting the expression or function of the SERPINA1 gene or within or near a safe harbor locus that results of one or more mutations within or

near the SERPINA1 gene that results in permanent insertion of the SERPINA1 gene or minigene, and restoration of alpha-1-antitrypsin (AAT) protein activity. The safe harbor locus can be selected from the group consisting of: AAVS1 (PPP1R12C), ALB, Angptl3, ApoC3, ASGR2, CCR5, FIX (F9), G6PC, Gys2, HGD, Lp(a), Pcsk9, Serpina1, TF, and TTR. The safe harbor locus can be selected from the group consisting of: exon 1-2 of AAVS1 (PPP1R12C), exon 1-2 of ALB, exon 1-2 of Angptl3, exon 1-2 of ApoC3, exon 1-2 of ASGR2, exon 1-2 of CCR5, exon 1-2 of FIX (F9), exon 1-2 of G6PC, exon 1-2 of Gys2, exon 1-2 of HGD, exon 1-2 of Lp(a), exon 1-2 of Pcsk9, exon 1-2 of Serpina1, exon 1-2 of TF, and exon 1-2 of TTR.

[0030] In some embodiments, the step of differentiating the genome-edited mesenchymal stem cell into a hepatocyte comprises one or more of the following to differentiate the genome edited mesenchymal stem cell into a hepatocyte: contacting the genome edited mesenchymal stem cell with one or more of insulin, transferrin, FGF4, HGF, or bile acids.

[0031] In some embodiments, the step of implanting the hepatocyte into the patient comprises implanting the hepatocyte into the patient by transplantation, local injection, or systemic infusion, or combinations thereof.

[0032] In another aspect, provided herein is an *in vivo* method for treating a patient with alpha-1 antitrypsin deficiency (AATD) comprising the step of editing the SERPINA1 gene in a cell of the patient, or other DNA sequences that encode regulatory elements of the SERPINA1 gene, or editing within or near a safe harbor locus in a cell of the patient.

[0033] In some embodiments, the step of editing the SERPINA1 gene in a cell of the patient comprises introducing into the cell one or more deoxyribonucleic acid (DNA) endonucleases to effect one or more single-strand breaks (SSBs) or double-strand breaks (DSBs) within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene that results in permanent deletion, insertion, or correction or modulation of one or more mutations or exons within the SERPINA1 gene that results in permanent insertion of the SERPINA1 gene or minigene, and restoration of AAT protein activity.

[0034] In some embodiments, the step of editing the SERPINA1 gene in a cell of the patient comprises introducing into the cell one or more deoxyribonucleic acid (DNA) endonucleases to effect one or more double-strand breaks (DSBs) within or near the SERPINA1 gene or DNA sequences that encode regulatory elements of the SERPINA1 gene or editing within or near a safe harbor locus of the SERPINA1 gene that results in permanent deletion, insertion, correction, or correction, or modulation of expression or function of one or more exons within or near or affecting the expression or function of the SERPINA1 gene and restoration of alpha-1-antitrypsin (AAT) protein activity.

[0035] In some embodiments, the one or more DNA endonucleases is a Cas1, Cas1B, Cas2, Cas3, Cas4, Cas5, Cas6, Cas7, Cas8, Cas9 (also known as Csn1 and Csx12), Cas100, Csy1, Csy2, Csy3, Cse1, Cse2, Csc1, Csc2, Csa5, Csn2, Csm2, Csm3, Csm4, Csm5, Csm6, Cmr1, Cmr3, Cmr4, Cmr5, Cmr6, Csb1, Csb2, Csb3, Csx17, Csx14, Csx10, Csx16, CsaX, Csx3, Csx1, Csx15, Csf1, Csf2, Csf3, Csf4, or Cpf1 endonuclease; a homolog thereof, recombination of the naturally occurring molecule, codon-optimized, or modified version thereof, and combinations of any of the foregoing.

[0036] In some embodiments, the method comprises introducing into the cell one or more polynucleotides encoding the one or more DNA endonucleases. In some embodiments, the method comprises introducing into the cell one or more ribonucleic acids (RNAs) encoding the one or more DNA endonucleases. In some embodiments, the one or more polynucleotides or one or more RNAs is one or more modified polynucleotides or one or more modified RNAs. In some embodiments, the method comprises introducing into the cell one or more DNA endonucleases wherein the endonuclease is a protein or polypeptide.

[0037] In some embodiments, the method further comprises introducing into the cell one or more guide ribonucleic acids (gRNAs). In some embodiments, the one or more gRNAs are single-molecule guide RNA (sgRNAs). In some embodiments, the one or more gRNAs or one or more sgRNAs is one or more modified gRNAs, one or more modified sgRNAs, or combinations thereof.

[0038] In some embodiments, the one or more DNA endonucleases is pre-complexed with one or more gRNAs or one or more sgRNAs, or combinations thereof.

[0039] In some embodiments, the method further comprises introducing into the cell a polynucleotide donor template comprising a part of the wild-type SERPINA1 gene or minigene (comprised of, natural or synthetic enhancer and promoter, one or more exons, and natural or synthetic introns, and natural or synthetic 3'UTR and polyadenylation signal), DNA sequences that encode wild-type regulatory elements of the SERPINA1 gene, and/or cDNA. In some embodiments, the part of the wild-type SERPINA1 gene or cDNA can be exon 1, exon 2, exon 3, exon 4, exon 5, intronic regions, fragments or combinations thereof, or the entire SERPINA1 gene or cDNA. In some embodiments, the donor template is either a single or double stranded polynucleotide. In some embodiments, the donor template has homologous arms to the 14q32.13 region.

[0040] In some embodiments, the method further comprises introducing into the cell one guide ribonucleic acid (gRNA) and a polynucleotide donor template comprising at least a portion of the wild-type SERPINA1 gene. In some embodiments, the method further comprises introducing into the cell one guide ribonucleic acid (gRNA) and a polynucleotide donor template comprising at least a portion of a codon optimized or modified SERPINA1 gene. The one or more DNA endonucleases is one or more Cas9 endonucleases that effect one double-strand break (DSB) at a DSB locus within or near the SERPINA1 gene (or codon optimized or modified SERPINA1 gene) or other DNA sequences that encode regulatory elements of the SERPINA1 gene, or within or near a safe harbor locus that facilitates insertion of a new sequence from the polynucleotide donor template into the chromosomal DNA at the locus or safe harbor locus that results in a permanent insertion or correction of a part of the chromosomal DNA of the SERPINA1 gene or other DNA sequences that encode regulator elements of the SERPINA1 gene proximal to the locus or safe harbor locus and restoration of AAT protein activity. In some embodiments, the gRNA comprises a spacer sequence that is complementary to a segment of the locus or safe harbor locus. In some

embodiments, proximal means nucleotides both upstream and downstream of the locus or safe harbor locus.

[0041] In some embodiments, the method further comprises introducing into the cell two guide ribonucleic acid (gRNAs) and a polynucleotide donor template comprising at least a portion of the wild-type SERPINA1 gene, and wherein the one or more DNA endonucleases is two or more Cas9 endonucleases that effect a pair of double-strand breaks (DSBs), the first at a 5' DSB locus and the second at a 3' DSB locus, within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene, or within or near a safe harbor locus that facilitates insertion of a new sequence from the polynucleotide donor template into the chromosomal DNA between the 5' DSB locus and the 3' DSB locus that results in permanent insertion or correction of the chromosomal DNA between the 5' DSB locus and the 3' DSB locus within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene, or within or near a safe harbor locus and restoration of AAT protein activity, and wherein the first guide RNA comprises a spacer sequence that is complementary to a segment of the 5' DSB locus and the second guide RNA comprises a spacer sequence that is complementary to a segment of the 3' DSB locus.

[0042] In some embodiments, the one or more gRNAs are one or more single-molecule guide RNA (sgRNAs). In some embodiments, the one or more gRNAs or one or more sgRNAs is one or more modified gRNAs or one or more modified sgRNAs.

[0043] In some embodiments, the one or more DNA endonucleases is pre-complexed with one or more gRNAs or one or more sgRNAs.

[0044] In some embodiments, the part of the wild-type SERPINA1 gene or cDNA is the entire SERPINA1 gene or cDNA.

[0045] In some embodiments, the donor template is either single or double stranded. In some embodiments, the donor template has homologous arms to the 14q32.13 region.

[0046] In some embodiments, the DSB, or 5' DSB and 3' DSB are in the first exon, first intron, or both the first exon and first intron of the SERPINA1 gene.

[0047] In some embodiments, the gRNA or sgRNA is directed to one or more of the following pathological variants: rs764325655, rs121912713, rs28929474, rs17580, rs121912714, rs764220898, rs199422211, rs751235320, rs199422210, rs267606950, rs55819880, rs28931570.

[0048] In some embodiments, the insertion or correction is by homology directed repair (HDR).

[0049] In some embodiments, the method further comprises introducing into the cell two guide ribonucleic acid (gRNAs), and wherein the one or more DNA endonucleases is two or more Cas9 endonucleases that effect a pair of double-strand breaks (DSBs), the first at a 5' DSB locus and the second at a 3' DSB locus, within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene, or within or near a safe harbor locus that causes a deletion of the chromosomal DNA between the 5' DSB locus and the 3' DSB locus that results in permanent deletion of the chromosomal DNA between the 5' DSB locus and the 3' DSB locus within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene, or within or near a safe harbor locus and restoration of AAT protein activity, and wherein the first guide RNA comprises a spacer sequence that is complementary to a segment of the 5' DSB locus and the second guide RNA comprises a spacer sequence that is complementary to a segment of the 3' DSB locus.

[0050] In some embodiments, the two gRNAs are two single-molecule guide RNA (sgRNAs). In some embodiments, the two gRNAs or two sgRNAs are two modified gRNAs or two modified sgRNAs.

[0051] In some embodiments, the one or more DNA endonucleases is pre-complexed with one or two gRNAs or one or two sgRNAs.

[0052] In some embodiments, both the 5' DSB and 3' DSB are in or near either the first exon, second exon, third exon, fourth exon or fifth exon or introns of the SERPINA1 gene.

[0053] In some embodiments, the correction is by homology directed repair (HDR).

[0054] In some embodiments, the deletion is a deletion of 1kb or less.

[0055] In some embodiments, the Cas9 or Cpf1 mRNA, gRNA, and donor template are either each formulated separately into lipid nanoparticles or all co-formulated into a lipid nanoparticle.

[0056] In some embodiments, the Cas9 or Cpf1 mRNA, gRNA, and donor template are formulated into separate exosomes or are co-formulated into an exosome.

[0057] In some embodiments, the Cas9 or Cpf1 mRNA is formulated into a lipid nanoparticle, and both the gRNA and donor template are delivered to the cell by a viral vector. In some embodiments, the viral vector is an adeno-associated virus (AAV) vector. In some embodiments, the AAV vector is an AAV6 vector.

[0058] The Cas9 or Cpf1 mRNA can be formulated into a lipid nanoparticle, and the gRNA can be delivered to the cell by electroporation and donor template can be delivered to the cell by a viral vector. In some embodiments, the viral vector is an adeno-associated virus (AAV) vector. In some embodiments, the AAV vector is an AAV6 vector.

[0059] In some embodiments, the SERPINA1 gene is located on Chromosome 14: 1,493,319 – 1,507,264 (Genome Reference Consortium – GRCh38/hg38).

[0060] The restoration of AAT protein activity can be compared to wild-type or normal AAT protein activity.

[0061] In another aspect, provided herein is one or more guide ribonucleic acids (gRNAs) comprising a spacer sequence selected from the group consisting of the nucleic acid sequences in Examples 1-6 and in SEQ ID NOs 54,860-68,297: for editing the SERPINA1 gene in a cell from a patient with alpha-1 antitrypsin deficiency. In some embodiments, the one or more gRNAs are one or more single-molecule guide RNAs (sgRNAs). In some embodiments, the one or more gRNAs or one or more sgRNAs is one or more modified gRNAs or one or more modified sgRNAs.

[0062] In another aspect, provided herein is one or more guide ribonucleic acids (gRNAs) comprising a spacer sequence selected from the group consisting of the nucleic acid sequences in SEQ ID NOs: 1-54,859 for editing a safe harbor locus in a cell from a patient with alpha-1 antitrypsin deficiency, wherein the safe harbor

locus is selected from the group consisting of AAVS1 (PPP1R12C), ALB, Angptl3, ApoC3, ASGR2, CCR5, FIX (F9), G6PC, Gys2, HGD, Lp(a), Pcsk9, Serpina1, TF, and TTR. In some embodiments, the one or more gRNAs are one or more single-molecule guide RNAs (sgRNAs). In some embodiments, the one or more gRNAs or one or more sgRNAs is one or more modified gRNAs or one or more modified sgRNAs.

[0063] In another aspect, provided herein are cells that have been modified by the preceding methods to permanently correct one or more mutations within the SERPINA1 gene and restore AAT protein activity. Further provided herein are methods for ameliorating Alpha-1 Antitrypsin Deficiency (AATD) by the administration of cells that have been modified by the preceding methods to an AATD patient.

[0064] In some embodiments, the methods and compositions of the disclosure comprise one or more modified guide ribonucleic acids (gRNAs). Non-limiting examples of modifications can comprise one or more nucleotides modified at the 2' position of the sugar, in some embodiments a 2'-O-alkyl, 2'-O-alkyl-O-alkyl, or 2'-fluoro-modified nucleotide. In some embodiments, RNA modifications include 2'-fluoro, 2'-amino or 2' O-methyl modifications on the ribose of pyrimidines, abasic residues, desoxy nucleotides, or an inverted base at the 3' end of the RNA.

[0065] In some embodiments, the one or more modified guide ribonucleic acids (gRNAs) comprise a modification that makes the modified gRNA more resistant to nuclease digestion than the native oligonucleotide. Non-limiting examples of such modifications include those comprising modified backbones, for example, phosphorothioates, phosphorothios, phosphotriesters, methyl phosphonates, short chain alkyl or cycloalkyl intersugar linkages or short chain heteroatomic or heterocyclic intersugar linkages.

[0066] Various other aspects are described and exemplified herein.

Brief Description of the Drawings

[0067] Various aspects of materials and methods for treatment of Alpha-1 Antitrypsin Deficiency (AATD) disclosed and described in this specification can be better understood by reference to the accompanying figures, in which:

[0068] Figure 1A is an illustration of a plasmid (referred to herein as “CTx-1”) comprising a codon optimized gene for *S. pyogenes* Cas9 endonuclease. The CTx-1 plasmid also comprises a gRNA scaffold sequence, which includes a 20 bp spacer sequence from the sequences listed in Examples 1 and 8.

[0069] Figure 1B is an illustration of a plasmid (referred to herein as “CTx-2”) comprising a different codon optimized gene for *S. pyogenes* Cas9 endonuclease. The CTx-2 plasmid also comprises a gRNA scaffold sequence, which includes a 20 bp spacer sequence from the sequences listed in Examples 1 and 8.

[0070] Figure 1C is an illustration of a plasmid (referred to herein as “CTx-3”) comprising yet another different codon optimized gene for *S. pyogenes* Cas9 endonuclease. The CTx-3 plasmid also comprises a gRNA scaffold sequence, which includes a 20 bp spacer sequence from the sequences listed in Examples 1 and 8.

[0071] Figure 2A is an illustration depicting the type II CRISPR/Cas system.

[0072] Figure 2B is another illustration depicting the type II CRISPR/Cas system.

[0073] Figure 3 is a graph depicting cutting efficiencies of gRNAs transcribed *in vitro* and transfected using messenger Max into HEK293T cells that constitutively express Cas9, as evaluated using TIDE analysis.

Brief Description of the Sequence Listing

[0074] SEQ ID NOs: 1-2,032 are 20 bp spacer sequences for targeting an AAVS1 (PPP1R12C) gene with a *S. pyogenes* Cas9 endonuclease.

[0075] SEQ ID NOs: 2,033-2,203 are 20 bp spacer sequences for targeting an AAVS1 (PPP1R12C) gene with a *S. aureus* Cas9 endonuclease.

[0076] SEQ ID NOs: 2,204-2,221 are 20 bp spacer sequences for targeting an AAVS1 (PPP1R12C) gene with a *S. thermophilus* Cas9 endonuclease.

[0077] SEQ ID NOs: 2,222-2,230 are 20 bp spacer sequences for targeting an AAVS1 (PPP1R12C) gene with a *T. denticola* Cas9 endonuclease.

[0078] SEQ ID NOs: 2,231-2,305 are 20 bp spacer sequences for targeting an AAVS1 (PPP1R12C) gene with a *N. meningitides* Cas9 endonuclease.

[0079] SEQ ID NOs: 2,306-3,481 are 22 bp spacer sequences for targeting an AAVS1 (PPP1R12C) gene with an *Acidominococcus*, *Lachnospiraceae*, and *Francisella novicida* Cpf1 endonuclease.

[0080] SEQ ID NOs: 3,482-3,649 are 20 bp spacer sequences for targeting an Alb gene with a *S. pyogenes* Cas9 endonuclease.

[0081] SEQ ID NOs: 3,650-3,677 are 20 bp spacer sequences for targeting an Alb gene with a *S. aureus* Cas9 endonuclease.

[0082] SEQ ID NOs: 3,678-3,695 are 20 bp spacer sequences for targeting an Alb gene with a *S. thermophilus* Cas9 endonuclease.

[0083] SEQ ID NOs: 3,696-3,700 are 20 bp spacer sequences for targeting an Alb gene with a *T. denticola* Cas9 endonuclease.

[0084] SEQ ID NOs: 3,701-3,724 are 20 bp spacer sequences for targeting an Alb gene with a *N. meningitides* Cas9 endonuclease.

[0085] SEQ ID NOs: 3,725-4,103 are 22 bp spacer sequences for targeting an Alb gene with an *Acidominococcus*, *Lachnospiraceae*, and *Francisella novicida* Cpf1 endonuclease.

[0086] SEQ ID NOs: 4,104-4,448 are 20 bp spacer sequences for targeting an Angpt13 gene with a *S. pyogenes* Cas9 endonuclease.

[0087] SEQ ID NOs: 4,449-4,484 are 20 bp spacer sequences for targeting an Angpt13 gene with a *S. aureus* Cas9 endonuclease.

[0088] SEQ ID NOs: 4,485-4,507 are 20 bp spacer sequences for targeting an Angpt13 gene with a *S. thermophilus* Cas9 endonuclease.

[0089] SEQ ID NOs: 4,508-4,520 are 20 bp spacer sequences for targeting an Angpt13 gene with a *T. denticola* Cas9 endonuclease.

[0090] SEQ ID NOs: 4,521-4,583 are 20 bp spacer sequences for targeting an Angpt13 gene with a *N. meningitides* Cas9 endonuclease.

[0091] SEQ ID NOs: 4,584-5,431 are 22 bp spacer sequences for targeting an Angpt13 gene with an *Acidominococcus*, *Lachnospiraceae*, and *Francisella novicida* Cpf1 endonuclease.

- [0092] SEQ ID NOs: 5,432-5,834 are 20 bp spacer sequences for targeting an ApoC3 gene with a *S. pyogenes* Cas9 endonuclease.
- [0093] SEQ ID NOs: 5,835-5,859 are 20 bp spacer sequences for targeting an ApoC3 gene with a *S. aureus* Cas9 endonuclease.
- [0094] SEQ ID NOs: 5,860-5,862 are 20 bp spacer sequences for targeting an ApoC3 gene with a *S. thermophilus* Cas9 endonuclease.
- [0095] SEQ ID NOs: 5,863-5,864 are 20 bp spacer sequences for targeting an ApoC3 gene with a *T. denticola* Cas9 endonuclease.
- [0096] SEQ ID NOs: 5,865-5,876 are 20 bp spacer sequences for targeting an ApoC3 gene with a *N. meningitides* Cas9 endonuclease.
- [0097] SEQ ID NOs: 5,877-6,108 are 22 bp spacer sequences for targeting an ApoC3 gene with an *Acidominococcus*, *Lachnospiraceae*, and *Francisella novicida* Cpf1 endonuclease.
- [0098] SEQ ID NOs: 6,109-7,876 are 20 bp spacer sequences for targeting an ASGR2 gene with a *S. pyogenes* Cas9 endonuclease.
- [0099] SEQ ID NOs: 7,877-8,082 are 20 bp spacer sequences for targeting an ASGR2 gene with a *S. aureus* Cas9 endonuclease.
- [00100] SEQ ID NOs: 8,083-8,106 are 20 bp spacer sequences for targeting an ASGR2 gene with a *S. thermophilus* Cas9 endonuclease.
- [00101] SEQ ID NOs: 8,107-8,118 are 20 bp spacer sequences for targeting an ASGR2 gene with a *T. denticola* Cas9 endonuclease.
- [00102] SEQ ID NOs: 8,119-8,201 are 20 bp spacer sequences for targeting an ASGR2 gene with a *N. meningitides* Cas9 endonuclease.
- [00103] SEQ ID NOs: 8,202-9,641 are 22 bp spacer sequences for targeting an ASGR2 gene with an *Acidominococcus*, *Lachnospiraceae*, and *Francisella novicida* Cpf1 endonuclease.
- [00104] SEQ ID NOs: 9,642-9,844 are 20 bp spacer sequences for targeting a CCR5 gene with a *S. pyogenes* Cas9 endonuclease.

[00105] SEQ ID NOs: 9,845-9,876 are 20 bp spacer sequences for targeting a CCR5 gene with a *S. aureus* Cas9 endonuclease.

[00106] SEQ ID NOs: 9,877-9,890 are 20 bp spacer sequences for targeting a CCR5 gene with a *S. thermophilus* Cas9 endonuclease.

[00107] SEQ ID NOs: 9,891-9,892 are 20 bp spacer sequences for targeting a CCR5 gene with a *T. denticola* Cas9 endonuclease.

[00108] SEQ ID NOs: 9,893-9,920 are 20 bp spacer sequences for targeting a CCR5 gene with a *N. meningitides* Cas9 endonuclease.

[00109] SEQ ID NOs: 9,921-10,220 are 22 bp spacer sequences for targeting a CCR5 gene with an *Acidominococcus*, *Lachnospiraceae*, and *Francisella novicida* Cpf1 endonuclease.

[00110] SEQ ID NOs: 10,221-11,686 are 20 bp spacer sequences for targeting an F9 gene with a *S. pyogenes* Cas9 endonuclease.

[00111] SEQ ID NOs: 11,687-11,849 are 20 bp spacer sequences for targeting an F9 gene with a *S. aureus* Cas9 endonuclease.

[00112] SEQ ID NOs: 11,850-11,910 are 20 bp spacer sequences for targeting an F9 gene with a *S. thermophilus* Cas9 endonuclease.

[00113] SEQ ID NOs: 11,911-11,935 are 20 bp spacer sequences for targeting an F9 gene with a *T. denticola* Cas9 endonuclease.

[00114] SEQ ID NOs: 11,936-12,088 are 20 bp spacer sequences for targeting an F9 gene with a *N. meningitides* Cas9 endonuclease.

[00115] SEQ ID NOs: 12,089-14,229 are 22 bp spacer sequences for targeting an F9 gene with an *Acidominococcus*, *Lachnospiraceae*, and *Francisella novicida* Cpf1 endonuclease.

[00116] SEQ ID NOs: 14,230-15,245 are 20 bp spacer sequences for targeting a G6PC gene with a *S. pyogenes* Cas9 endonuclease.

[00117] SEQ ID NOs: 15,246-15,362 are 20 bp spacer sequences for targeting a G6PC gene with a *S. aureus* Cas9 endonuclease.

[00118] SEQ ID NOs: 15,363-15,386 are 20 bp spacer sequences for targeting a G6PC gene with a *S. thermophilus* Cas9 endonuclease.

[00119] SEQ ID NOs: 15,387-15,395 are 20 bp spacer sequences for targeting a G6PC gene with a *T. denticola* Cas9 endonuclease.

[00120] SEQ ID NOs: 15,396-15,485 are 20 bp spacer sequences for targeting a G6PC gene with a *N. meningitides* Cas9 endonuclease.

[00121] SEQ ID NOs: 15,486-16,580 are 22 bp spacer sequences for targeting a G6PC gene with an *Acidominococcus*, *Lachnospiraceae*, and *Francisella novicida* Cpf1 endonuclease.

[00122] SEQ ID NOs: 16,581-22,073 are 20 bp spacer sequences for targeting a Gys2 gene with a *S. pyogenes* Cas9 endonuclease.

[00123] SEQ ID NOs: 22,074-22,749 are 20 bp spacer sequences for targeting a Gys2 gene with a *S. aureus* Cas9 endonuclease.

[00124] SEQ ID NOs: 22,750-20,327 are 20 bp spacer sequences for targeting a Gys2 gene with a *S. thermophilus* Cas9 endonuclease.

[00125] SEQ ID NOs: 23,028-23,141 are 20 bp spacer sequences for targeting a Gys2 gene with a *T. denticola* Cas9 endonuclease.

[00126] SEQ ID NOs: 23,142-23,821 are 20 bp spacer sequences for targeting a Gys2 gene with a *N. meningitides* Cas9 endonuclease.

[00127] SEQ ID NOs: 23,822-32,253 are 22 bp spacer sequences for targeting a Gys2 gene with an *Acidominococcus*, *Lachnospiraceae*, and *Francisella novicida* Cpf1 endonuclease.

[00128] SEQ ID NOs: 32,254-33,946 are 20 bp spacer sequences for targeting an HGD gene with a *S. pyogenes* Cas9 endonuclease.

[00129] SEQ ID NOs: 33,947-34,160 are 20 bp spacer sequences for targeting an HGD gene with a *S. aureus* Cas9 endonuclease.

[00130] SEQ ID NOs: 34,161-34,243 are 20 bp spacer sequences for targeting an HGD gene with a *S. thermophilus* Cas9 endonuclease.

- [00131] SEQ ID NOs: 34,244-34,262 are 20 bp spacer sequences for targeting an HGD gene with a *T. denticola* Cas9 endonuclease.
- [00132] SEQ ID NOs: 34,263-34,463 are 20 bp spacer sequences for targeting an HGD gene with a *N. meningitides* Cas9 endonuclease.
- [00133] SEQ ID NOs: 34,464-36,788 are 22 bp spacer sequences for targeting an HGD gene with an *Acidominococcus*, *Lachnospiraceae*, and *Francisella novicida* Cpf1 endonuclease.
- [00134] SEQ ID NOs: 36,789-40,583 are 20 bp spacer sequences for targeting an Lp(a) gene with a *S. pyogenes* Cas9 endonuclease.
- [00135] SEQ ID NOs: 40,584-40,993 are 20 bp spacer sequences for targeting an Lp(a) gene with a *S. aureus* Cas9 endonuclease.
- [00136] SEQ ID NOs: 40,994-41,129 are 20 bp spacer sequences for targeting an Lp(a) gene with a *S. thermophilus* Cas9 endonuclease.
- [00137] SEQ ID NOs: 41,130-41,164 are 20 bp spacer sequences for targeting an Lp(a) gene with a *T. denticola* Cas9 endonuclease.
- [00138] SEQ ID NOs: 41,165-41,532 are 20 bp spacer sequences for targeting an Lp(a) gene with a *N. meningitides* Cas9 endonuclease.
- [00139] SEQ ID NOs: 41,533-46,153 are 22 bp spacer sequences for targeting an Lp(a) gene with an *Acidominococcus*, *Lachnospiraceae*, and *Francisella novicida* Cpf1 endonuclease.
- [00140] SEQ ID NOs: 46,154-48,173 are 20 bp spacer sequences for targeting a PCSK9 gene with a *S. pyogenes* Cas9 endonuclease.
- [00141] SEQ ID NOs: 48,174-48,360 are 20 bp spacer sequences for targeting a PCSK9 gene with a *S. aureus* Cas9 endonuclease.
- [00142] SEQ ID NOs: 48,361-48,396 are 20 bp spacer sequences for targeting a PCSK9 gene with a *S. thermophilus* Cas9 endonuclease.
- [00143] SEQ ID NOs: 48,397-48,410 are 20 bp spacer sequences for targeting a PCSK9 gene with a *T. denticola* Cas9 endonuclease.

[00144] SEQ ID NOs: 48,411-48,550 are 20 bp spacer sequences for targeting a PCSK9 gene with a *N. meningitides* Cas9 endonuclease.

[00145] SEQ ID NOs: 48,551-50,344 are 22 bp spacer sequences for targeting a PCSK9 gene with an *Acidominococcus*, *Lachnospiraceae*, and *Francisella novicida* Cpf1 endonuclease.

[00146] SEQ ID NOs: 50,345-51,482 are 20 bp spacer sequences for targeting a Serpina1 gene as a safe harbor locus with a *S. pyogenes* Cas9 endonuclease.

[00147] SEQ ID NOs: 51,483-51,575 are 20 bp spacer sequences for targeting a Serpina1 gene as a safe harbor locus with a *S. aureus* Cas9 endonuclease.

[00148] SEQ ID NOs: 51,576-51,587 are 20 bp spacer sequences for targeting a Serpina1 gene as a safe harbor locus with a *S. thermophilus* Cas9 endonuclease.

[00149] SEQ ID NOs: 51,588-51,590 are 20 bp spacer sequences for targeting a Serpina1 gene as a safe harbor locus with a *T. denticola* Cas9 endonuclease.

[00150] SEQ ID NOs: 51,591-51,641 are 20 bp spacer sequences for targeting a Serpina1 gene as a safe harbor locus with a *N. meningitides* Cas9 endonuclease.

[00151] SEQ ID NOs: 51,642-52,445 are 22 bp spacer sequences for targeting a Serpina1 gene as a safe harbor locus with an *Acidominococcus*, *Lachnospiraceae*, and *Francisella novicida* Cpf1 endonuclease.

[00152] SEQ ID NOs: 52,446-53,277 are 20 bp spacer sequences for targeting a TF gene with a *S. pyogenes* Cas9 endonuclease.

[00153] SEQ ID NOs: 53,278-53,363 are 20 bp spacer sequences for targeting a TF gene with a *S. aureus* Cas9 endonuclease.

[00154] SEQ ID NOs: 53,364-53,375 are 20 bp spacer sequences for targeting a TF gene with a *S. thermophilus* Cas9 endonuclease.

[00155] SEQ ID NOs: 53,376-53,382 are 20 bp spacer sequences for targeting a TF gene with a *T. denticola* Cas9 endonuclease.

[00156] SEQ ID NOs: 53,383-53,426 are 20 bp spacer sequences for targeting a TF gene with a *N. meningitides* Cas9 endonuclease.

[00157] SEQ ID NOs: 53,427-54,062 are 22 bp spacer sequences for targeting a TF gene with an *Acidominococcus*, *Lachnospiraceae*, and *Francisella novicida* Cpf1 endonuclease.

[00158] SEQ ID NOs: 54,063-54,362 are 20 bp spacer sequences for targeting a TTR gene with a *S. pyogenes* Cas9 endonuclease.

[00159] SEQ ID NOs: 54,363-54,403 are 20 bp spacer sequences for targeting a TTR gene with a *S. aureus* Cas9 endonuclease.

[00160] SEQ ID NOs: 54,404-54,420 are 20 bp spacer sequences for targeting a TTR gene with a *S. thermophilus* Cas9 endonuclease.

[00161] SEQ ID NOs: 54,421-54,422 are 20 bp spacer sequences for targeting a TTR gene with a *T. denticola* Cas9 endonuclease.

[00162] SEQ ID NOs: 54,423-54,457 are 20 bp spacer sequences for targeting a TTR gene with a *N. meningitides* Cas9 endonuclease.

[00163] SEQ ID NOs: 54,458-54,859 are 22 bp spacer sequences for targeting a TTR gene with an *Acidominococcus*, *Lachnospiraceae*, and *Francisella novicida* Cpf1 endonuclease.

[00164] SEQ ID NOs: 54,860-61,324 are 20 bp spacer sequences for targeting a SERPINA1 gene (other than as a safe harbor locus) with a *S. pyogenes* Cas9 endonuclease.

[00165] SEQ ID NOs: 61,325-61,936 are 20 bp spacer sequences for targeting a SERPINA1 gene (other than as a safe harbor locus) with a *S. aureus* Cas9 endonuclease.

[00166] SEQ ID NOs: 61,937-62,069 are 20 bp spacer sequences for targeting a SERPINA1 gene (other than as a safe harbor locus) with a *S. thermophilus* Cas9 endonuclease.

[00167] SEQ ID NOs: 62,070-62,120 are 20 bp spacer sequences for targeting a SERPINA1 gene (other than as a safe harbor locus) with a *T. denticola* Cas9 endonuclease.

[00168] SEQ ID NOs: 62,121-62,563 are 20 bp spacer sequences for targeting a SERPINA1 gene (other than as a safe harbor locus) with a *N. meningitides* Cas9 endonuclease.

[00169] SEQ ID NOs: 62,564-68,297 are 22 bp spacer sequences for targeting a SERPINA1 gene (other than as a safe harbor locus) with an *Acidominococcus*, *Lachnospiraceae*, and *Francisella novicida* Cpf1 endonuclease.

Detailed Description

[00170] Alpha-1 antitrypsin deficiency (AATD)

[00171] AATD is caused by mutations, or more rarely by deletions, to the SERPINA1 gene. The SERPINA1 gene is located at 14q32.13, with genomic coordinates (GRCh38) at chr14: 1,493,319-1,507,264. SERPINA1 is comprised of five exons and has a total length of 12.2 kb. The gene has two promoters, with one controlling expression in macrophages. Multiple transcript variants have been reported; however, they all encode the same AAT protein. About 95% of AATD results from pathological alleles PI Z or PI S or their combination.

[00172] The SERPINA1 gene encodes alpha-1-antitrypsin (AAT), also known as protease inhibitor (PI), a major plasma serine protease inhibitor. AAT complexes predominantly with elastase, but also with other serine proteases. An important inhibitory action of AAT is against neutrophil elastase, a protease that degrades elastin of the alveolar walls as well as other structural proteins in a variety of tissues.

[00173] Therapeutic approach

[00174] As the known forms of AATD are monogenic disorders with recessive inheritance, it is likely that correcting one of the mutant alleles per cell will be sufficient for correction and restoration or partial restoration of AATD function. The correction of one allele can coincide with one copy that remains with the original mutation, or a copy that was cleaved and repaired by non-homologous end joining (NHEJ) and therefore was not properly corrected. Bi-allelic correction can also occur. Various editing strategies that can be employed for specific mutations are discussed below.

[00175] Correction of one or possibly both of the mutant alleles provides an important improvement over existing or potential therapies, such as introduction of SERPINA1 expression cassettes through lentivirus delivery and integration. Gene editing has the advantage of precise genome modification and lower adverse effects, for example, the mutation can be corrected by the insertions or deletions that arise due to the NHEJ repair pathway. If the patient's SERPINA1 gene has an inserted or deleted base, a targeted cleavage can result in a NHEJ-mediated insertion or deletion that restores the frame. Missense mutations can also be corrected through NHEJ-mediated correction using one or more guide RNA. The ability or likelihood of the cut(s) to correct the mutation can be designed or evaluated based on the local sequence and micro-homologies. NHEJ can also be used to delete segments of the gene, either directly or by altering splice donor or acceptor sites through cleavage by one gRNA targeting several locations, or several gRNAs. This may be useful if an amino acid, domain or exon contains the mutations and can be removed or inverted, or if the deletion otherwise restored function to the protein. Pairs of guide strands have been used for deletions and corrections of inversions.

[00176] Alternatively, the donor for correction by HDR contains the corrected sequence with small or large flanking homology arms to allow for annealing. HDR is essentially an error-free mechanism that uses a supplied homologous DNA sequence as a template during DSB repair. The rate of HDR is a function of the distance between the mutation and the cut site so choosing overlapping or nearby target sites is important. Templates can include extra sequences flanked by the homologous regions or can contain a sequence that differs from the genomic sequence, thus allowing sequence editing.

[00177] In addition to correcting mutations by NHEJ or HDR, a range of other options are possible. If there are small or large deletions or multiple mutations, a cDNA can be knocked in that contains the exons affected. A full length cDNA can be knocked into any "safe harbor"—i.e., non-deleterious insertion point that is not the SERPINA1 gene itself--, with or without suitable regulatory sequences. If this construct is knocked-in near the SERPINA1 regulatory elements, it should have physiological control, similar to the normal gene. Two or more (e.g., a pair)

nucleases can be used to delete mutated gene regions, though a donor would usually have to be provided to restore function. In this case two gRNA and one donor sequence would be supplied.

[00178] Provided herein are methods to correct the specific mutation in the gene by inducing a double stranded break with Cas9 and a sgRNA or a pair of double stranded breaks around the mutation using two appropriate sgRNAs, and to provide a donor DNA template to induce Homology-Directed Repair (HDR). In some embodiments, the donor DNA template can be a short single stranded oligonucleotide, a short double stranded oligonucleotide, a long single or double stranded DNA molecule. These methods use gRNAs and donor DNA molecules for each of the variants of SERPINA1.

[00179] Provided herein are methods to knock-in SERPINA1 cDNA or a minigene (comprised of one or more exons and introns or natural or synthetic introns) into the locus of the corresponding gene. These methods use a pair of sgRNA targeting the first exon and/or the first intron of the SERPINA1 gene. In some embodiments, the donor DNA is single or double stranded DNA having homologous arms to the 17q21 region.

[00180] Provided herein are methods to knock-in SERPINA1 cDNA or a minigene (comprised of one or more exons and introns or natural or synthetic introns) into the locus of the hot-spot, e.g., ALB gene. These methods use a pair of sgRNA targeting the first exon and/or the first intron of the gene located in the liver hotspot. In some embodiments, the donor DNA is single or double stranded DNA having homologous arms to the corresponding region.

[00181] Provided herein are cellular, *ex vivo* and *in vivo* methods for using genome engineering tools to create permanent changes to the genome by: 1) correcting, by insertions or deletions that arise due to the imprecise NHEJ pathway, one or more mutations within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene, 2) correcting, by HDR, one or more mutations within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene, or 3) deletion of the mutant region and/or knocking-in SERPINA1 cDNA or minigene (comprised of,

natural or synthetic enhancer and promoter, one or more exons, and natural or synthetic introns, and natural or synthetic 3'UTR and polyadenylation signal) into the gene locus or a safe harbor locus of the SERPINA1 gene, and restoring AAT protein activity. Such methods use endonucleases, such as CRISPR-associated (CRISPR/Cas9, Cpf1, and the like) nucleases, to permanently delete, insert, edit, correct, or replace one or more exons or portions thereof (*i.e.*, mutations within or near the coding and/or splicing sequences) or insert in the genomic locus of the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene. In this way, examples set forth in the present disclosure can help to restore the reading frame or the wild-type sequence of, or otherwise correct, the gene with a single treatment (rather than deliver potential therapies for the lifetime of the patient). SERPINA1 gene.

[00182] Provided herein are methods for treating a patient with AATD. An embodiment of such method is an *ex vivo* cell based therapy. For example, a patient specific induced pluripotent stem cell (iPSC) is created. Then, the chromosomal DNA of these iPS cells is edited using the materials and methods described herein. Next, the genome-edited iPSCs are differentiated into hepatocytes. Finally, the hepatocytes are implanted into the patient.

[00183] Another embodiment of such method is an *ex vivo* cell based therapy. For example, a biopsy of the patient's liver is performed. Then, a liver specific progenitor cell or primary hepatocyte is isolated from the patient, *e.g.*, by a biopsy. Next, the chromosomal DNA of these progenitor cells or primary hepatocytes is edited using the materials and methods described herein. Finally, the genome-edited progenitor cells or primary hepatocytes are implanted into the patient.

[00184] Yet another embodiment of such method is an *ex vivo* cell based therapy. For example, a biopsy of the patient's bone marrow is performed. Then, a mesenchymal stem cell is isolated from the patient, which can be isolated from the patient's bone marrow, *e.g.*, by biopsy, or peripheral blood. Next, the chromosomal DNA of these mesenchymal stem cells is edited using the materials and methods described herein. Next, the genome-edited mesenchymal stem cells are differentiated into hepatocytes. Finally, these hepatocytes are implanted into the patient.

[00185] One advantage of an *ex vivo* cell therapy approach is the ability to conduct a comprehensive analysis of the therapeutic prior to administration. All nuclease based therapeutics have some level of off-target effects. Performing gene correction *ex vivo* allows one to fully characterize the corrected cell population prior to implantation. Aspects of the invention include sequencing the entire genome of the corrected cells to ensure that the off-target cuts, if any, are in genomic locations associated with minimal risk to the patient. Furthermore, populations of specific cells, including clonal populations, can be isolated prior to implantation.

[00186] Another advantage of *ex vivo* cell therapy relates to genetic correction in iPSCs compared to other primary cell sources. iPSCs are prolific, making it easy to obtain the large number of cells that will be required for a cell based therapy. Furthermore, iPSCs are an ideal cell type for performing clonal isolations. This allows screening for the correct genomic correction, without risking a decrease in viability. In contrast, other potential cell types, such as primary hepatocytes, are viable for only a few passages and difficult to clonally expand. Thus, manipulation of AATD iPSCs will be much easier, and will shorten the amount of time needed to make the desired genetic correction.

[00187] Another embodiment of such method is an *in vivo* based therapy. In this method, the chromosomal DNA of the cells in the patient is corrected using the materials and methods described herein.

[00188] An advantage of *in vivo* gene therapy is the ease of therapeutic production and administration. The same therapeutic approach and therapy will have the potential to be used to treat more than one patient, for example a number of patients who share the same or similar genotype or allele. In contrast, *ex vivo* cell therapy typically requires using a patient's own cells, which are isolated, manipulated and returned to the same patient.

[00189] Also provided herein is a cellular method for editing the SERPINA1 gene in a cell by genome editing. For example, a cell is isolated from a patient or animal. Then, the chromosomal DNA of the cell is edited using the materials and methods described herein.

[00190] The methods of the invention, regardless of whether a cellular or *ex vivo* or *in vivo* method, involves one or a combination of the following: 1) correcting, by insertions or deletions that arise due to the imprecise NHEJ pathway, one or more mutations within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene, 2) correcting, by HDR, one or more mutations within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene, or 3) deletion of the mutant region and/or knocking in SERPINA1 cDNA or a minigene (comprised of one or more exons or introns or natural or synthetic introns) or introducing exogenous SERPINA1 DNA or cDNA sequence or a fragment thereof into the locus of the gene or at a heterologous location in the genome (such as a safe harbor locus, such as, e.g., targeting an AAVS1 (PPP1R12C), an ALB gene, an Angptl3 gene, an ApoC3 gene, an ASGR2 gene, a CCR5 gene, a FIX (F9) gene, a G6PC gene, a Gys2 gene, an HGD gene, a Lp(a) gene, a Pcsk9 gene, a Serpina1 gene, a TF gene, and a TTR gene). Assessment of efficiency of HDR mediated knock-in of cDNA into the first exon can utilize cDNA knock-in into "safe harbor" sites such as: single-stranded or double-stranded DNA having homologous arms to one of the following regions, for example: ApoC3 (chr11:116829908-116833071), Angptl3 (chr1:62,597,487-62,606,305), Serpina1 (chr14:94376747-94390692), Lp(a) (chr6:160531483-160664259), Pcsk9 (chr1:55,039,475-55,064,852), FIX (chrX:139,530,736-139,563,458), ALB (chr4:73,404,254-73,421,411), TTR (chr18:31,591,766-31,599,023), TF (chr3:133,661,997-133,779,005), G6PC (chr17:42,900,796-42,914,432), Gys2 (chr12:21,536,188-21,604,857), AAVS1(PPP1R12C) (chr19:55,090,912-55,117,599), HGD (chr3:120,628,167-120,682,570), CCR5 (chr3:46,370,854-46,376,206), ASGR2 (chr17:7,101,322-7,114,310). Both the correction and knock-in strategies utilize a donor DNA template in Homology-Directed Repair (HDR). HDR in either strategy may be accomplished by making one or more double-stranded breaks (DSBs) at specific sites in the genome by using one or more endonucleases.

[00191] For example, the NHEJ correction strategy can involve restoring the reading frame in the SERPINA1 gene by inducing one single stranded break or double stranded break in the gene of interest with one or more CRISPR

endonucleases and a gRNA (e.g., crRNA + tracrRNA, or sgRNA), or two or more single stranded breaks or double stranded breaks in the gene of interest with two or more CRISPR endonucleases and two or more sgRNAs. This approach can require development and optimization of sgRNAs for the SERPINA1 gene.

[00192] For example, the HDR correction strategy involves restoring the reading frame in the SERPINA1 gene by inducing one double stranded break in the gene of interest with one or more CRISPR endonucleases and gRNA (e.g., crRNA + tracrRNA, or sgRNA), or two or more double stranded breaks in the gene of interest with one or more CRISPR endonucleases and two or more appropriate sgRNAs, in the presence of a donor DNA template introduced exogenously to direct the cellular DSB response to Homology-Directed Repair (the donor DNA template can be a short single stranded oligonucleotide, a short double stranded oligonucleotide, a long single or double stranded DNA molecule). This approach requires development and optimization of gRNAs and donor DNA molecules for the major variant of the SERPINA1 gene (PI Z).

[00193] For example, the knock-in strategy involves knocking-in SERPINA1 cDNA or a minigene (comprised of, natural or synthetic enhancer and promoter, one or more exons, and natural or synthetic introns, and natural or synthetic 3'UTR and polyadenylation signal) into the locus of the gene using a gRNA (e.g., crRNA + tracrRNA, or sgRNA) or a pair of sgRNAs targeting upstream of or in the first or other exon and/or intron of the SERPINA1 gene, or in a safe harbor site (such as AAVS1 (PPP1R12C), ALB, Angptl3, ApoC3, ASGR2, CCR5, FIX (F9), G6PC, Gys2, HGD, Lp(a), Pcsk9, Serpina1, TF, and/or TTR). The donor DNA will be single or double stranded DNA having homologous arms to the 14q32.13 region.

[00194] For example, the deletion strategy involves deleting one or more mutations in one or more of the five exons of the SERPINA1 gene using one or more endonucleases and two or more gRNAs or sgRNAs.

[00195] The advantages for the above strategies (correction and knock-in and deletion) are similar, including in principle both short and long term beneficial clinical and laboratory effects. In addition, it may be that only a low percentage of AAT activity is required to provide therapeutic benefit. Another advantage for all

strategies is that most patients have low-level gene and protein activity, therefore suggesting that additional protein expression, for example following gene correction, should not necessarily lead to an immune response against the target gene product. The knock-in approach does provide one advantage over the correction or deletion approach – the ability to treat all patients versus only a subset of patients. While there are common mutations in this gene, there are also many other possible mutations, and using the knock-in method could treat all of them. The other issue with gene editing in this manner is the need for a DNA donor for HDR.

[00196] In addition to the above genome editing strategies, another strategy involves modulating expression, function, or activity of SERPINA1 by editing in the regulatory sequence.

[00197] In addition to the editing options listed above, Cas9 or similar proteins can be used to target effector domains to the same target sites that can be identified for editing, or additional target sites within range of the effector domain. A range of chromatin modifying enzymes, methylases or demethylases can be used to alter expression of the target gene. One possibility is increasing the expression of the AAT protein if the mutation leads to lower activity. These types of epigenetic regulation have some advantages, particularly as they are limited in possible off-target effects.

[00198] A number of types of genomic target sites are present in addition to mutations in the coding and splicing sequences.

[00199] The regulation of transcription and translation implicates a number of different classes of sites that interact with cellular proteins or nucleotides. Often the DNA binding sites of transcription factors or other proteins can be targeted for mutation or deletion to study the role of the site, though they can also be targeted to change gene expression. Sites can be added through non-homologous end joining (NHEJ) or direct genome editing by homology directed repair (HDR). Increased use of genome sequencing, RNA expression and genome-wide studies of transcription factor binding have increased the ability to identify how the sites lead to developmental or temporal gene regulation. These control systems may be

direct or may involve extensive cooperative regulation that can require the integration of activities from multiple enhancers. Transcription factors typically bind 6–12 bp-long degenerate DNA sequences. The low level of specificity provided by individual sites suggests that complex interactions and rules are involved in binding and the functional outcome. Binding sites with less degeneracy may provide simpler means of regulation. Artificial transcription factors can be designed to specify longer sequences that have less similar sequences in the genome and have lower potential for off-target cleavage. Any of these types of binding sites can be mutated, deleted or even created to enable changes in gene regulation or expression (Canver, M.C. *et al.*, *Nature* (2015)).

[00200] Another class of gene regulatory regions having these features is microRNA (miRNA) binding sites. miRNAs are non-coding RNAs that play key roles in post-transcriptional gene regulation. miRNA may regulate the expression of 30% of all mammalian protein-encoding genes. Specific and potent gene silencing by double stranded RNA (RNAi) was discovered, plus additional small noncoding RNA (Canver, M.C. *et al.*, *Nature* (2015)). The largest class of noncoding RNAs important for gene silencing are miRNAs. In mammals, miRNAs are first transcribed as a long RNA transcripts, which can be separate transcriptional units, part of protein introns, or other transcripts. The long transcripts are called primary miRNA (pri-miRNA) that include imperfectly base-paired hairpin structures. These pri-miRNA are cleaved into one or more shorter precursor miRNAs (pre-miRNAs) by Microprocessor, a protein complex in the nucleus, involving Drosha.

[00201] Pre-miRNAs are short stem loops ~70 nucleotides in length with a 2-nucleotide 3'-overhang that are exported, into the mature 19-25 nucleotide miRNA:miRNA* duplexes. The miRNA strand with lower base pairing stability (the guide strand) is loaded onto the RNA-induced silencing complex (RISC). The passenger guide strand (marked with *), may be functional, but is usually degraded. The mature miRNA tethers RISC to partly complementary sequence motifs in target mRNAs predominantly found within the 3' untranslated regions (UTRs) and induces posttranscriptional gene silencing (Bartel, D.P. *Cell* 136, 215-233 (2009); Saj, A. & Lai, E.C. *Curr Opin Genet Dev* 21, 504-510 (2011)).

[00202] miRNAs are important in development, differentiation, cell cycle and growth control, and in virtually all biological pathways in mammals and other multicellular organisms. miRNAs are also involved in cell cycle control, apoptosis and stem cell differentiation, hematopoiesis, hypoxia, muscle development, neurogenesis, insulin secretion, cholesterol metabolism, aging, viral replication and immune responses.

[00203] A single miRNA can target hundreds of different mRNA transcripts, while an individual transcript can be targeted by many different miRNAs. More than 28645 microRNAs have been annotated in the latest release of miRBase (v.21). Some miRNAs are encoded by multiple loci, some of which are expressed from tandemly co-transcribed clusters. The features allow for complex regulatory networks with multiple pathways and feedback controls. miRNAs are integral parts of these feedback and regulatory circuits and can help regulate gene expression by keeping protein production within limits (Herranz, H. & Cohen, S.M. *Genes Dev* 24, 1339-1344 (2010); Posadas, D.M. & Carthew, R.W. *Curr Opin Genet Dev* 27, 1-6 (2014)).

[00204] miRNA are also important in a large number of human diseases that are associated with abnormal miRNA expression. This association underscores the importance of the miRNA regulatory pathway. Recent miRNA deletion studies have linked miRNA with regulation of the immune responses (Stern-Ginossar, N. *et al.*, *Science* 317, 376-381 (2007)).

[00205] miRNA also have a strong link to cancer and may play a role in different types of cancer. miRNAs have been found to be downregulated in a number of tumors. miRNA are important in the regulation of key cancer-related pathways, such as cell cycle control and the DNA damage response, and are therefore used in diagnosis and are being targeted clinically. MicroRNAs delicately regulate the balance of angiogenesis, such that experiments depleting all microRNAs suppresses tumor angiogenesis (Chen, S. *et al.*, *Genes Dev* 28, 1054-1067 (2014)).

[00206] As has been shown for protein coding genes, miRNA genes are also subject to epigenetic changes occurring with cancer. Many miRNA loci are

associated with CpG islands increasing their opportunity for regulation by DNA methylation (Weber, B., Stresmann, C., Brueckner, B. & Lyko, F. *Cell Cycle* 6, 1001-1005 (2007)). The majority of studies have used treatment with chromatin remodeling drugs to reveal epigenetically silenced miRNAs.

[00207] In addition to their role in RNA silencing, miRNA can also activate translation (Posadas, D.M. & Carthew, R.W. *Curr Opin Genet Dev* 27, 1-6 (2014)). Knocking out these sites may lead to decreased expression of the targeted gene, while introducing these sites may increase expression.

[00208] Individual miRNA can be knocked out most effectively by mutating the seed sequence (bases 2-8 of the microRNA), which is important for binding specificity. Cleavage in this region, followed by mis-repair by NHEJ can effectively abolish miRNA function by blocking binding to target sites. miRNA could also be inhibited by specific targeting of the special loop region adjacent to the palindromic sequence. Catalytically inactive Cas9 can also be used to inhibit shRNA expression (Zhao, Y. *et al.*, *Sci Rep* 4, 3943 (2014)). In addition to targeting the miRNA, the binding sites can also be targeted and mutated to prevent the silencing by miRNA.

[00209] Human Cells

[00210] For ameliorating AATD, as described and illustrated herein, the principal targets for gene editing are human cells. For example, in the *ex vivo* methods, the human cells are somatic cells, which after being modified using the techniques as described, can give rise to hepatocytes or progenitor cells. For example, in the *in vivo* methods, the human cells are hepatocytes, renal cells or cells from other affected organs.

[00211] By performing gene editing in autologous cells that are derived from and therefore already completely matched with the patient in need, it is possible to generate cells that can be safely re-introduced into the patient, and effectively give rise to a population of cells that will be effective in ameliorating one or more clinical conditions associated with the patient's disease.

[00212] Progenitor cells (also referred to as stem cells herein) are capable of both proliferation and giving rise to more progenitor cells, these in turn having the ability to generate a large number of mother cells that can in turn give rise to

differentiated or differentiable daughter cells. The daughter cells themselves can be induced to proliferate and produce progeny that subsequently differentiate into one or more mature cell types, while also retaining one or more cells with parental developmental potential. The term "stem cell" refers then, to a cell with the capacity or potential, under particular circumstances, to differentiate to a more specialized or differentiated phenotype, and which retains the capacity, under certain circumstances, to proliferate without substantially differentiating. In one embodiment, the term progenitor or stem cell refers to a generalized mother cell whose descendants (progeny) specialize, often in different directions, by differentiation, e.g., by acquiring completely individual characters, as occurs in progressive diversification of embryonic cells and tissues. Cellular differentiation is a complex process typically occurring through many cell divisions. A differentiated cell may derive from a multipotent cell that itself is derived from a multipotent cell, and so on. While each of these multipotent cells may be considered stem cells, the range of cell types that each can give rise to may vary considerably. Some differentiated cells also have the capacity to give rise to cells of greater developmental potential. Such capacity may be natural or may be induced artificially upon treatment with various factors. In many biological instances, stem cells are also "multipotent" because they can produce progeny of more than one distinct cell type, but this is not required for "stem-ness."

[00213] Self-renewal is another important aspect of the stem cell. In theory, self-renewal can occur by either of two major mechanisms. Stem cells may divide asymmetrically, with one daughter retaining the stem state and the other daughter expressing some distinct other specific function and phenotype. Alternatively, some of the stem cells in a population can divide symmetrically into two stems, thus maintaining some stem cells in the population as a whole, while other cells in the population give rise to differentiated progeny only. Generally, "progenitor cells" have a cellular phenotype that is more primitive (*i.e.*, is at an earlier step along a developmental pathway or progression than is a fully differentiated cell). Often, progenitor cells also have significant or very high proliferative potential. Progenitor cells can give rise to multiple distinct differentiated cell types or to a single

differentiated cell type, depending on the developmental pathway and on the environment in which the cells develop and differentiate.

[00214] In the context of cell ontogeny, the adjective "differentiated," or "differentiating" is a relative term. A "differentiated cell" is a cell that has progressed further down the developmental pathway than the cell to which it is being compared. Thus, stem cells can differentiate into lineage-restricted precursor cells (such as a myocyte progenitor cell), which in turn can differentiate into other types of precursor cells further down the pathway (such as a myocyte precursor), and then to an end-stage differentiated cell, such as a myocyte, which plays a characteristic role in a certain tissue type, and may or may not retain the capacity to proliferate further.

[00215] Induced Pluripotent Stem Cells

[00216] In some embodiments, the genetically engineered human cells described herein are induced pluripotent stem cells (iPSCs). An advantage of using iPSCs is that the cells can be derived from the same subject to which the progenitor cells are to be administered. That is, a somatic cell can be obtained from a subject, reprogrammed to an induced pluripotent stem cell, and then re-differentiated into a progenitor cell to be administered to the subject (e.g., autologous cells). Because the progenitors are essentially derived from an autologous source, the risk of engraftment rejection or allergic response is reduced compared to the use of cells from another subject or group of subjects. In addition, the use of iPSCs negates the need for cells obtained from an embryonic source. Thus, in one embodiment, the stem cells used in the disclosed methods are not embryonic stem cells.

[00217] Although differentiation is generally irreversible under physiological contexts, several methods have been recently developed to reprogram somatic cells to iPSCs. Exemplary methods are known to those of skill in the art and are described briefly herein below.

[00218] The term "reprogramming" refers to a process that alters or reverses the differentiation state of a differentiated cell (e.g., a somatic cell). Stated another way, reprogramming refers to a process of driving the differentiation of a cell backwards to a more undifferentiated or more primitive type of cell. It should be noted that

placing many primary cells in culture can lead to some loss of fully differentiated characteristics. Thus, simply culturing such cells included in the term differentiated cells does not render these cells non-differentiated cells (e.g., undifferentiated cells) or pluripotent cells. The transition of a differentiated cell to pluripotency requires a reprogramming stimulus beyond the stimuli that lead to partial loss of differentiated character in culture. Reprogrammed cells also have the characteristic of the capacity of extended passaging without loss of growth potential, relative to primary cell parents, which generally have capacity for only a limited number of divisions in culture.

[00219] The cell to be reprogrammed can be either partially or terminally differentiated prior to reprogramming. In some embodiments, reprogramming encompasses complete reversion of the differentiation state of a differentiated cell (e.g., a somatic cell) to a pluripotent state or a multipotent state. In some embodiments, reprogramming encompasses complete or partial reversion of the differentiation state of a differentiated cell (e.g., a somatic cell) to an undifferentiated cell (e.g., an embryonic-like cell). Reprogramming can result in expression of particular genes by the cells, the expression of which further contributes to reprogramming. In certain embodiments described herein, reprogramming of a differentiated cell (e.g., a somatic cell) causes the differentiated cell to assume an undifferentiated state (e.g., is an undifferentiated cell). The resulting cells are referred to as "reprogrammed cells," or "induced pluripotent stem cells (iPSCs or iPS cells)."

[00220] Reprogramming can involve alteration, e.g., reversal, of at least some of the heritable patterns of nucleic acid modification (e.g., methylation), chromatin condensation, epigenetic changes, genomic imprinting, etc., that occur during cellular differentiation. Reprogramming is distinct from simply maintaining the existing undifferentiated state of a cell that is already pluripotent or maintaining the existing less than fully differentiated state of a cell that is already a multipotent cell (e.g., a myogenic stem cell). Reprogramming is also distinct from promoting the self-renewal or proliferation of cells that are already pluripotent or multipotent, although the compositions and methods described herein can also be of use for such purposes, in some embodiments.

[00221] Many methods are known in the art that can be used to generate pluripotent stem cells from somatic cells. Any such method that reprograms a somatic cell to the pluripotent phenotype would be appropriate for use in the methods described herein.

[00222] Reprogramming methodologies for generating pluripotent cells using defined combinations of transcription factors have been described. Mouse somatic cells can be converted to ES cell-like cells with expanded developmental potential by the direct transduction of Oct4, Sox2, Klf4, and c-Myc; see, e.g., Takahashi and Yamanaka, *Cell* 126(4): 663–76 (2006). iPSCs resemble ES cells, as they restore the pluripotency-associated transcriptional circuitry and much of the epigenetic landscape. In addition, mouse iPSCs satisfy all the standard assays for pluripotency: specifically, *in vitro* differentiation into cell types of the three germ layers, teratoma formation, contribution to chimeras, germline transmission [see, e.g., Maherali and Hochedlinger, *Cell Stem Cell*. 3(6):595-605 (2008)], and tetraploid complementation.

[00223] Human iPSCs can be obtained using similar transduction methods, and the transcription factor trio, OCT4, SOX2, and NANOG, has been established as the core set of transcription factors that govern pluripotency; see, e.g., Budnietzky and Gepstein, *Stem Cells Transl Med*. 3(4):448-57 (2014); Barrett *et al.*, *Stem Cells Trans Med* 3:1-6 sctm.2014-0121 (2014); Focosi *et al.*, *Blood Cancer Journal* 4: e211 (2014); and references cited therein. The production of iPSCs can be achieved by the introduction of nucleic acid sequences encoding stem cell-associated genes into an adult, somatic cell, historically using viral vectors.

[00224] iPSCs can be generated or derived from terminally differentiated somatic cells, as well as from adult stem cells, or somatic stem cells. That is, a non-pluripotent progenitor cell can be rendered pluripotent or multipotent by reprogramming. In such instances, it may not be necessary to include as many reprogramming factors as required to reprogram a terminally differentiated cell. Further, reprogramming can be induced by the non-viral introduction of reprogramming factors, e.g., by introducing the proteins themselves, or by introducing nucleic acids that encode the reprogramming factors, or by introducing messenger RNAs that upon translation produce the reprogramming factors (see

e.g., Warren *et al.*, Cell Stem Cell, 7(5):618-30 (2010). Reprogramming can be achieved by introducing a combination of nucleic acids encoding stem cell-associated genes, including, for example, Oct-4 (also known as Oct-3/4 or Pou5f1), Sox1, Sox2, Sox3, Sox 15, Sox 18, NANOG, Klf1, Klf2, Klf4, Klf5, NR5A2, c-Myc, 1-Myc, n-Myc, Rem2, Tert, and LIN28. In one embodiment, reprogramming using the methods and compositions described herein can further comprise introducing one or more of Oct-3/4, a member of the Sox family, a member of the Klf family, and a member of the Myc family to a somatic cell. In one embodiment, the methods and compositions described herein further comprise introducing one or more of each of Oct-4, Sox2, Nanog, c-MYC and Klf4 for reprogramming. As noted above, the exact method used for reprogramming is not necessarily critical to the methods and compositions described herein. However, where cells differentiated from the reprogrammed cells are to be used in, e.g., human therapy, in one embodiment the reprogramming is not effected by a method that alters the genome. Thus, in such embodiments, reprogramming is achieved, e.g., without the use of viral or plasmid vectors.

[00225] The efficiency of reprogramming (*i.e.*, the number of reprogrammed cells) derived from a population of starting cells can be enhanced by the addition of various agents, e.g., small molecules, as shown by Shi *et al.*, Cell-Stem Cell 2:525-528 (2008); Huangfu *et al.*, Nature Biotechnology 26(7):795-797 (2008) and Marson *et al.*, Cell-Stem Cell 3: 132-135 (2008). Thus, an agent or combination of agents that enhance the efficiency or rate of induced pluripotent stem cell production can be used in the production of patient-specific or disease-specific iPSCs. Some non-limiting examples of agents that enhance reprogramming efficiency include soluble Wnt, Wnt conditioned media, BIX-01294 (a G9a histone methyltransferase), PD0325901 (a MEK inhibitor), DNA methyltransferase inhibitors, histone deacetylase (HDAC) inhibitors, valproic acid, 5'-azacytidine, dexamethasone, suberoylanilide, hydroxamic acid (SAHA), vitamin C, and trichostatin (TSA), among others.

[00226] Other non-limiting examples of reprogramming enhancing agents include: Suberoylanilide Hydroxamic Acid (SAHA (e.g., MK0683, vorinostat) and other hydroxamic acids), BML-210, Depudecin (e.g., (-)-Depudecin), HC Toxin,

Nullscript (4-(1,3-Dioxo-1H,3H-benzo[de]isoquinolin-2-yl)-N-hydroxybutanamide), Phenylbutyrate (e.g., sodium phenylbutyrate) and Valproic Acid (VP A) and other short chain fatty acids), Scriptaid, Suramin Sodium, Trichostatin A (TSA), APHA Compound 8, Apicidin, Sodium Butyrate, pivaloyloxymethyl butyrate (Pivanex, AN-9), Trapoxin B, Chlamydocin, Depsipeptide (also known as FR901228 or FK228), benzamides (e.g., CI-994 (e.g., N-acetyl dinaline) and MS-27-275), MGCD0103, NVP-LAQ-824, CBHA (m-carboxycinnaminic acid bishydroxamic acid), JNJ16241199, Tubacin, A-161906, proxamide, oxamflatin, 3-Cl-UCHA (e.g., 6-(3-chlorophenylureido)caproic hydroxamic acid), AOE (2-amino-8-oxo-9, 10-epoxydecanoic acid), CHAP31 and CHAP 50. Other reprogramming enhancing agents include, for example, dominant negative forms of the HDACs (e.g., catalytically inactive forms), siRNA inhibitors of the HDACs, and antibodies that specifically bind to the HDACs. Such inhibitors are available, e.g., from BIOMOL International, Fukasawa, Merck Biosciences, Novartis, Gloucester Pharmaceuticals, Titan Pharmaceuticals, MethylGene, and Sigma Aldrich.

[00227] To confirm the induction of pluripotent stem cells for use with the methods described herein, isolated clones can be tested for the expression of a stem cell marker. Such expression in a cell derived from a somatic cell identifies the cells as induced pluripotent stem cells. Stem cell markers are selected from the non-limiting group including SSEA3, SSEA4, CD9, Nanog, Fbxl5, Ecatl, Esgl, Eras, Gdf3, Fgf4, Cripto, Daxl, Zpf296, Slc2a3, Rexl, Utf1, and Natl. In one embodiment, a cell that expresses Oct4 or Nanog is identified as pluripotent. Methods for detecting the expression of such markers can include, for example, RT-PCR and immunological methods that detect the presence of the encoded polypeptides, such as Western blots or flow cytometric analyses. In some embodiments, detection involves not only RT-PCR, but also includes detection of protein markers. Intracellular markers may be best identified via RT-PCR, or protein detection methods such as immunocytochemistry, while cell surface markers are readily identified, e.g., by immunocytochemistry.

[00228] The pluripotent stem cell character of isolated cells can be confirmed by tests evaluating the ability of the iPSCs to differentiate into cells of each of the three germ layers. As one example, teratoma formation in nude mice can be used to

evaluate the pluripotent character of the isolated clones. The cells are introduced into nude mice and histology and/or immunohistochemistry is performed on a tumor arising from the cells. The growth of a tumor comprising cells from all three germ layers, for example, further indicates that the cells are pluripotent stem cells.

[00229] Hepatocytes

[00230] In some embodiments, the genetically engineered human cells described herein are hepatocytes. A hepatocyte is a cell of the main parenchymal tissue of the liver. Hepatocytes make up 70-85% of the liver's mass. These cells are involved in: protein synthesis; protein storage; transformation of carbohydrates; synthesis of cholesterol, bile salts and phospholipids; detoxification, modification, and excretion of exogenous and endogenous substances; and initiation of formation and secretion of bile.

[00231] SERPINA1 is primarily expressed in hepatocytes (parenchymal liver cells), which are a major source of circulating protein, with secondary expression in monocytes and neutrophils. Therefore, the correction of SERPINA1 would be primarily targeted at hepatocytes and the liver.

[00232] Creating patient specific iPSCs

[00233] One step of the *ex vivo* methods of the invention involves creating a patient specific iPS cell, patient specific iPS cells, or a patient specific iPS cell line. There are many established methods in the art for creating patient specific iPS cells, as described in Takahashi and Yamanaka 2006; Takahashi, Tanabe *et al.* 2007. For example, the creating step comprises: a) isolating a somatic cell, such as a skin cell or fibroblast from the patient; and b) introducing a set of pluripotency-associated genes into the somatic cell in order to induce the cell to become a pluripotent stem cell. In some embodiments, the set of pluripotency-associated genes is one or more of the genes selected from the group consisting of OCT4, SOX2, KLF4, Lin28, NANOG, and cMYC.

[00234] Performing a biopsy or aspirate of the patient's liver or bone marrow

[00235] A biopsy or aspirate is a sample of tissue or fluid taken from the body. There are many different kinds of biopsies or aspirates. Nearly all of them involve

using a sharp tool to remove a small amount of tissue. If the biopsy will be on the skin or other sensitive area, numbing medicine is applied first. A biopsy or aspirate may be performed according to any of the known methods in the art. For example, in a liver biopsy, a needle is injected into the liver through the skin of the belly, capturing the liver tissue. For example, in a bone marrow aspirate, a large needle is used to enter the pelvis bone to collect bone marrow.

[00236] Isolating a liver specific progenitor cell or primary hepatocyte

[00237] Liver specific progenitor cells and primary hepatocytes may be isolated according to any method known in the art. For example, human hepatocytes are isolated from fresh surgical specimens (e.g., an autologous sample). Healthy liver tissue is used to isolate hepatocytes by collagenase digestion. The obtained cell suspension is filtered through a 100-mm nylon mesh and sedimented by centrifugation at 50g for 5 minutes, resuspended, and washed two to three times in cold wash medium. Human liver stem cells are obtained by culturing under stringent conditions of hepatocytes obtained from fresh liver preparations. Hepatocytes seeded on collagen-coated plates are cultured for 2 weeks. After 2 weeks, surviving cells are removed, and characterized for expression of stem cells markers (Herrera *et al.*, STEM CELLS 2006;24: 2840 –2850).

[00238] Isolating a mesenchymal stem cell

[00239] Mesenchymal stem cells may be isolated according to any method known in the art, such as from a patient's bone marrow or peripheral blood. For example, marrow aspirate is collected into a syringe with heparin. Cells are washed and centrifuged on a Percoll™. Cells, such as blood cells, liver cells, interstitial cells, macrophages, mast cells, and thymocytes, are separated using Percoll™. The cells are cultured in Dulbecco's modified Eagle's medium (DMEM) (low glucose) containing 10% fetal bovine serum (FBS) (Pitinger MF, Mackay AM, Beck SC *et al.*, Science 1999; 284:143–147).

[00240] Genome Editing

[00241] Genome editing generally refers to the process of modifying the nucleotide sequence of a genome, preferably in a precise or pre-determined manner. Examples of methods of genome editing described herein include

methods of using site-directed nucleases to cut deoxyribonucleic acid (DNA) at precise target locations in the genome, thereby creating double-strand or single-strand DNA breaks at particular locations within the genome. Such breaks can be and regularly are repaired by natural, endogenous cellular processes, such as homology-directed repair (HDR) and non-homologous end-joining (NHEJ), as recently reviewed in Cox *et al.*, Nature Medicine 21(2), 121-31 (2015). These two main DNA repair processes consist of a family of alternative pathways. NHEJ directly joins the DNA ends resulting from a double-strand break, sometimes with the loss or addition of nucleotide sequence, which may disrupt or enhance gene expression. HDR utilizes a homologous sequence, or donor sequence, as a template for inserting a defined DNA sequence at the break point. The homologous sequence may be in the endogenous genome, such as a sister chromatid. Alternatively, the donor may be an exogenous nucleic acid, such as a plasmid, a single-strand oligonucleotide, a double-stranded oligonucleotide, a duplex oligonucleotide or a virus, that has regions of high homology with the nuclease-cleaved locus, but which may also contain additional sequence or sequence changes including deletions that can be incorporated into the cleaved target locus. A third repair mechanism is microhomology-mediated end joining (MMEJ), also referred to as "Alternative NHEJ", in which the genetic outcome is similar to NHEJ in that small deletions and insertions can occur at the cleavage site. MMEJ makes use of homologous sequences of a few basepairs flanking the DNA break site to drive a more favored DNA end joining repair outcome, and recent reports have further elucidated the molecular mechanism of this process; see, e.g., Cho and Greenberg, Nature 518, 174-76 (2015); Kent *et al.*, Nature Structural and Molecular Biology, Adv. Online doi:10.1038/nsmb.2961(2015); Mateos-Gomez *et al.*, Nature 518, 254-57 (2015); Ceccaldi *et al.*, Nature 528, 258-62 (2015). In some instances it may be possible to predict likely repair outcomes based on analysis of potential microhomologies at the site of the DNA break.

[00242] Each of these genome editing mechanisms can be used to create desired genomic alterations. A step in the genome editing process is to create one or two DNA breaks, the latter as double-strand breaks or as two single-stranded breaks, in the target locus as close as possible to the site of intended mutation.

This can be achieved via the use of site-directed polypeptides, as described and illustrated herein.

[00243] Site-directed polypeptides, such as a DNA endonuclease, can introduce double-strand breaks or single-strand breaks in nucleic acids, e.g., genomic DNA. The double-strand break can stimulate a cell's endogenous DNA-repair pathways (e.g., homology-dependent repair or non-homologous end joining or alternative non-homologous end joining (A-NHEJ) or microhomology-mediated end joining). NHEJ can repair cleaved target nucleic acid without the need for a homologous template. This can sometimes result in small deletions or insertions (indels) in the target nucleic acid at the site of cleavage, and can lead to disruption or alteration of gene expression. HDR can occur when a homologous repair template, or donor, is available. The homologous donor template comprises sequences that are homologous to sequences flanking the target nucleic acid cleavage site. The sister chromatid is generally used by the cell as the repair template. However, for the purposes of genome editing, the repair template is often supplied as an exogenous nucleic acid, such as a plasmid, duplex oligonucleotide, single-strand oligonucleotide, double-stranded oligonucleotide, or viral nucleic acid. With exogenous donor templates, it is common to introduce an additional nucleic acid sequence (such as a transgene) or modification (such as a single or multiple base change or a deletion) between the flanking regions of homology so that the additional or altered nucleic acid sequence also becomes incorporated into the target locus. MMEJ results in a genetic outcome that is similar to NHEJ in that small deletions and insertions can occur at the cleavage site. MMEJ makes use of homologous sequences of a few basepairs flanking the cleavage site to drive a favored end-joining DNA repair outcome. In some instances it may be possible to predict likely repair outcomes based on analysis of potential microhomologies in the nuclease target regions.

[00244] Thus, in some cases, homologous recombination is used to insert an exogenous polynucleotide sequence into the target nucleic acid cleavage site. An exogenous polynucleotide sequence is termed a donor polynucleotide (or donor or donor sequence or polynucleotide donor template) herein. In some embodiments, the donor polynucleotide, a portion of the donor polynucleotide, a copy of the donor

polynucleotide, or a portion of a copy of the donor polynucleotide is inserted into the target nucleic acid cleavage site. In some embodiments, the donor polynucleotide is an exogenous polynucleotide sequence, *i.e.*, a sequence that does not naturally occur at the target nucleic acid cleavage site.

[00245] The modifications of the target DNA due to NHEJ and/or HDR can lead to, for example, mutations, deletions, alterations, integrations, gene correction, gene replacement, gene tagging, transgene insertion, nucleotide deletion, gene disruption, translocations and/or gene mutation. The processes of deleting genomic DNA and integrating non-native nucleic acid into genomic DNA are examples of genome editing.

[00246] CRISPR Endonuclease System

[00247] A CRISPR (Clustered Regularly Interspaced Short Palindromic Repeats) genomic locus can be found in the genomes of many prokaryotes (*e.g.*, bacteria and archaea). In prokaryotes, the CRISPR locus encodes products that function as a type of immune system to help defend the prokaryotes against foreign invaders, such as virus and phage. There are three stages of CRISPR locus function: integration of new sequences into the CRISPR locus, expression of CRISPR RNA (crRNA), and silencing of foreign invader nucleic acid. Five types of CRISPR systems (*e.g.*, Type I, Type II, Type III, Type U, and Type V) have been identified.

[00248] A CRISPR locus includes a number of short repeating sequences referred to as "repeats." When expressed, the repeats can form secondary hairpin structures (*e.g.*, hairpins) and/or comprise unstructured single-stranded sequences. The repeats usually occur in clusters and frequently diverge between species. The repeats are regularly interspaced with unique intervening sequences referred to as "spacers," resulting in a repeat-spacer-repeat locus architecture. The spacers are identical to or have high homology with known foreign invader sequences. A spacer-repeat unit encodes a crisprRNA (crRNA), which is processed into a mature form of the spacer-repeat unit. A crRNA comprises a "seed" or spacer sequence that is involved in targeting a target nucleic acid (in the naturally occurring form in prokaryotes, the spacer sequence targets the foreign invader nucleic acid). A spacer sequence is located at the 5' or 3' end of the crRNA.

[00249] A CRISPR locus also comprises polynucleotide sequences encoding CRISPR Associated (Cas) genes. Cas genes encode endonucleases involved in the biogenesis and the interference stages of crRNA function in prokaryotes. Some Cas genes comprise homologous secondary and/or tertiary structures.

[00250] Type II CRISPR Systems

[00251] crRNA biogenesis in a Type II CRISPR system in nature requires a trans-activating CRISPR RNA (tracrRNA). The tracrRNA is modified by endogenous RNaseIII, and then hybridizes to a crRNA repeat in the pre-crRNA array. Endogenous RNaseIII is recruited to cleave the pre-crRNA. Cleaved crRNAs are subjected to exonuclease trimming to produce the mature crRNA form (e.g., 5' trimming). The tracrRNA remains hybridized to the crRNA, and the tracrRNA and the crRNA associate with a site-directed polypeptide (e.g., Cas9). The crRNA of the crRNA-tracrRNA-Cas9 complex guides the complex to a target nucleic acid to which the crRNA can hybridize. Hybridization of the crRNA to the target nucleic acid activates Cas9 for targeted nucleic acid cleavage. The target nucleic acid in a Type II CRISPR system is referred to as a protospacer adjacent motif (PAM). In nature, the PAM is essential to facilitate binding of a site-directed polypeptide (e.g., Cas9) to the target nucleic acid. Type II systems (also referred to as NmeI or CASS4) are further subdivided into Type II-A (CASS4) and II-B (CASS4a). Jinek *et al.*, *Science*, 337(6096):816-821 (2012) showed that the CRISPR/Cas9 system is useful for RNA-programmable genome editing, and international patent application publication number WO2013/176772 provides numerous examples and applications of the CRISPR/Cas endonuclease system for site-specific gene editing.

[00252] Type V CRISPR Systems

[00253] Type V CRISPR systems have several important differences from Type II systems. For example, Cpf1 is a single RNA-guided endonuclease that, in contrast to Type II systems, lacks tracrRNA. In fact, Cpf1-associated CRISPR arrays are processed into mature crRNAs without the requirement of an additional trans-activating tracrRNA. The Type V CRISPR array is processed into short mature crRNAs of 42-44 nucleotides in length, with each mature crRNA beginning

with 19 nucleotides of direct repeat followed by 23-25 nucleotides of spacer sequence. In contrast, mature crRNAs in Type II systems start with 20-24 nucleotides of spacer sequence followed by about 22 nucleotides of direct repeat. Also, Cpf1 utilizes a T-rich protospacer-adjacent motif such that Cpf1-crRNA complexes efficiently cleave target DNA preceded by a short T-rich PAM, which is in contrast to the G-rich PAM following the target DNA for Type II systems. Thus, Type V systems cleave at a point that is distant from the PAM, while Type II systems cleave at a point that is adjacent to the PAM. In addition, in contrast to Type II systems, Cpf1 cleaves DNA via a staggered DNA double-stranded break with a 4 or 5 nucleotide 5' overhang. Type II systems cleave via a blunt double-stranded break. Similar to Type II systems, Cpf1 contains a predicted RuvC-like endonuclease domain, but lacks a second HNH endonuclease domain, which is in contrast to Type II systems.

[00254] Cas Genes/Polypeptides and Protospacer Adjacent Motifs

[00255] Exemplary CRISPR/Cas polypeptides include the Cas9 polypeptides in Fig. 1 of Fonfara *et al.*, *Nucleic Acids Research*, 42: 2577-2590 (2014). The CRISPR/Cas gene naming system has undergone extensive rewriting since the Cas genes were discovered. Fig. 5 of Fonfara, *supra*, provides PAM sequences for the Cas9 polypeptides from various species.

[00256] Site-Directed Polypeptides

[00257] A site-directed polypeptide is a nuclease used in genome editing to cleave DNA. The site-directed may be administered to a cell or a patient as either: one or more polypeptides, or one or more mRNAs encoding the polypeptide.

[00258] In the context of a CRISPR/Cas or CRISPR/Cpf1 system, the site-directed polypeptide can bind to a guide RNA that, in turn, specifies the site in the target DNA to which the polypeptide is directed. In embodiments of CRISPR/Cas or CRISPR/Cpf1 systems herein, the site-directed polypeptide is an endonuclease, such as a DNA endonuclease.

[00259] In some embodiments, a site-directed polypeptide comprises a plurality of nucleic acid-cleaving (*i.e.*, nuclease) domains. Two or more nucleic acid-cleaving domains can be linked together via a linker. In some embodiments, the linker

comprises a flexible linker. Linkers may comprise 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 30, 35, 40 or more amino acids in length.

[00260] Naturally-occurring wild-type Cas9 enzymes comprise two nuclease domains, a HNH nuclease domain and a RuvC domain. Herein, the “Cas9” refers to both naturally-occurring and recombinant Cas9s. Cas9 enzymes contemplated herein comprises a HNH or HNH-like nuclease domain, and/or a RuvC or RuvC-like nuclease domain.

[00261] HNH or HNH-like domains comprise a McrA-like fold. HNH or HNH-like domains comprises two antiparallel β -strands and an α -helix. HNH or HNH-like domains comprises a metal binding site (e.g., a divalent cation binding site). HNH or HNH-like domains can cleave one strand of a target nucleic acid (e.g., the complementary strand of the crRNA targeted strand).

[00262] RuvC or RuvC-like domains comprise an RNaseH or RNaseH-like fold. RuvC/RNaseH domains are involved in a diverse set of nucleic acid-based functions including acting on both RNA and DNA. The RNaseH domain comprises 5 β -strands surrounded by a plurality of α -helices. RuvC/RNaseH or RuvC/RNaseH-like domains comprise a metal binding site (e.g., a divalent cation binding site). RuvC/RNaseH or RuvC/RNaseH-like domains can cleave one strand of a target nucleic acid (e.g., the non-complementary strand of a double-stranded target DNA).

[00263] Site-directed polypeptides can introduce double-strand breaks or single-strand breaks in nucleic acids, e.g., genomic DNA. The double-strand break can stimulate a cell's endogenous DNA-repair pathways (e.g., homology-dependent repair (HDR) or non-homologous end joining (NHEJ) or alternative non-homologous end joining (A-NHEJ) or microhomology-mediated end joining (MMEJ)). NHEJ can repair cleaved target nucleic acid without the need for a homologous template. This can sometimes result in small deletions or insertions (indels) in the target nucleic acid at the site of cleavage, and can lead to disruption or alteration of gene expression. HDR can occur when a homologous repair template, or donor, is available. The homologous donor template comprises sequences that are

homologous to sequences flanking the target nucleic acid cleavage site. The sister chromatid is generally used by the cell as the repair template. However, for the purposes of genome editing, the repair template is often supplied as an exogenous nucleic acid, such as a plasmid, duplex oligonucleotide, single-strand oligonucleotide or viral nucleic acid. With exogenous donor templates, it is common to introduce an additional nucleic acid sequence (such as a transgene) or modification (such as a single or multiple base change or a deletion) between the flanking regions of homology so that the additional or altered nucleic acid sequence also becomes incorporated into the target locus. MMEJ results in a genetic outcome that is similar to NHEJ in that small deletions and insertions can occur at the cleavage site. MMEJ makes use of homologous sequences of a few basepairs flanking the cleavage site to drive a favored end-joining DNA repair outcome. In some instances it may be possible to predict likely repair outcomes based on analysis of potential microhomologies in the nuclease target regions.

[00264] Thus, in some cases, homologous recombination is used to insert an exogenous polynucleotide sequence into the target nucleic acid cleavage site. An exogenous polynucleotide sequence is termed a donor polynucleotide (or donor or donor sequence) herein. In some embodiments, the donor polynucleotide, a portion of the donor polynucleotide, a copy of the donor polynucleotide, or a portion of a copy of the donor polynucleotide is inserted into the target nucleic acid cleavage site. In some embodiments, the donor polynucleotide is an exogenous polynucleotide sequence, *i.e.*, a sequence that does not naturally occur at the target nucleic acid cleavage site.

[00265] The modifications of the target DNA due to NHEJ and/or HDR can lead to, for example, mutations, deletions, alterations, integrations, gene correction, gene replacement, gene tagging, transgene insertion, nucleotide deletion, gene disruption, translocations and/or gene mutation. The processes of deleting genomic DNA and integrating non-native nucleic acid into genomic DNA are examples of genome editing.

[00266] In some embodiments, the site-directed polypeptide comprises an amino acid sequence having at least 10%, at least 15%, at least 20%, at least 30%, at least 40%, at least 50%, at least 60%, at least 70%, at least 75%, at least 80%, at

least 85%, at least 90%, at least 95%, at least 99%, or 100% amino acid sequence identity to a wild-type exemplary site-directed polypeptide [e.g., Cas9 from *S. pyogenes*, US2014/0068797 Sequence ID No. 8 or Sapranaukas *et al.*, *Nucleic Acids Res*, 39(21): 9275-9282 (2011)], and various other site-directed polypeptides).

[00267] In some embodiments, the site-directed polypeptide comprises an amino acid sequence having at least 10%, at least 15%, at least 20%, at least 30%, at least 40%, at least 50%, at least 60%, at least 70%, at least 75%, at least 80%, at least 85%, at least 90%, at least 95%, at least 99%, or 100% amino acid sequence identity to the nuclease domain of a wild-type exemplary site-directed polypeptide (e.g., Cas9 from *S. pyogenes*, *supra*).

[00268] In some embodiments, a site-directed polypeptide comprises at least 70, 75, 80, 85, 90, 95, 97, 99, or 100% identity to a wild-type site-directed polypeptide (e.g., Cas9 from *S. pyogenes*, *supra*) over 10 contiguous amino acids. In some embodiments, a site-directed polypeptide comprises at most: 70, 75, 80, 85, 90, 95, 97, 99, or 100% identity to a wild-type site-directed polypeptide (e.g., Cas9 from *S. pyogenes*, *supra*) over 10 contiguous amino acids. In some embodiments, a site-directed polypeptide comprises at least: 70, 75, 80, 85, 90, 95, 97, 99, or 100% identity to a wild-type site-directed polypeptide (e.g., Cas9 from *S. pyogenes*, *supra*) over 10 contiguous amino acids in a HNH nuclease domain of the site-directed polypeptide. In some embodiments, a site-directed polypeptide comprises at most: 70, 75, 80, 85, 90, 95, 97, 99, or 100% identity to a wild-type site-directed polypeptide (e.g., Cas9 from *S. pyogenes*, *supra*) over 10 contiguous amino acids in a HNH nuclease domain of the site-directed polypeptide. In some embodiments, a site-directed polypeptide comprises at least: 70, 75, 80, 85, 90, 95, 97, 99, or 100% identity to a wild-type site-directed polypeptide (e.g., Cas9 from *S. pyogenes*, *supra*) over 10 contiguous amino acids in a RuvC nuclease domain of the site-directed polypeptide. In some embodiments, a site-directed polypeptide comprises at most: 70, 75, 80, 85, 90, 95, 97, 99, or 100% identity to a wild-type site-directed polypeptide (e.g., Cas9 from *S. pyogenes*, *supra*) over 10 contiguous amino acids in a RuvC nuclease domain of the site-directed polypeptide.

[00269] In some embodiments, the site-directed polypeptide comprises a modified form of a wild-type exemplary site-directed polypeptide. The modified form of the wild-type exemplary site-directed polypeptide comprises a mutation that reduces the nucleic acid-cleaving activity of the site-directed polypeptide. In some embodiments, the modified form of the wild-type exemplary site-directed polypeptide has less than 90%, less than 80%, less than 70%, less than 60%, less than 50%, less than 40%, less than 30%, less than 20%, less than 10%, less than 5%, or less than 1% of the nucleic acid-cleaving activity of the wild-type exemplary site-directed polypeptide (e.g., Cas9 from *S. pyogenes*, *supra*). The modified form of the site-directed polypeptide can have no substantial nucleic acid-cleaving activity. When a site-directed polypeptide is a modified form that has no substantial nucleic acid-cleaving activity, it is referred to herein as "enzymatically inactive."

[00270] In some embodiments, the modified form of the site-directed polypeptide comprises a mutation such that it can induce a single-strand break (SSB) on a target nucleic acid (e.g., by cutting only one of the sugar-phosphate backbones of a double-strand target nucleic acid). In some embodiments, the mutation results in less than 90%, less than 80%, less than 70%, less than 60%, less than 50%, less than 40%, less than 30%, less than 20%, less than 10%, less than 5%, or less than 1% of the nucleic acid-cleaving activity in one or more of the plurality of nucleic acid-cleaving domains of the wild-type site directed polypeptide (e.g., Cas9 from *S. pyogenes*, *supra*). In some embodiments, the mutation results in one or more of the plurality of nucleic acid-cleaving domains retaining the ability to cleave the complementary strand of the target nucleic acid, but reducing its ability to cleave the non-complementary strand of the target nucleic acid. In some embodiments, the mutation results in one or more of the plurality of nucleic acid-cleaving domains retaining the ability to cleave the non-complementary strand of the target nucleic acid, but reducing its ability to cleave the complementary strand of the target nucleic acid. For example, residues in the wild-type exemplary *S. pyogenes* Cas9 polypeptide, such as Asp10, His840, Asn854 and Asn856, are mutated to inactivate one or more of the plurality of nucleic acid-cleaving domains (e.g., nuclease domains). In some embodiments, the residues to be mutated correspond to residues Asp10, His840, Asn854 and Asn856 in the wild-type exemplary *S.*

pyogenes Cas9 polypeptide (e.g., as determined by sequence and/or structural alignment). Non-limiting examples of mutations include D10A, H840A, N854A or N856A. One skilled in the art will recognize that mutations other than alanine substitutions are suitable.

[00271] In some embodiments, a D10A mutation is combined with one or more of H840A, N854A, or N856A mutations to produce a site-directed polypeptide substantially lacking DNA cleavage activity. In some embodiments, a H840A mutation is combined with one or more of D10A, N854A, or N856A mutations to produce a site-directed polypeptide substantially lacking DNA cleavage activity. In some embodiments, a N854A mutation is combined with one or more of H840A, D10A, or N856A mutations to produce a site-directed polypeptide substantially lacking DNA cleavage activity. In some embodiments, a N856A mutation is combined with one or more of H840A, N854A, or D10A mutations to produce a site-directed polypeptide substantially lacking DNA cleavage activity. Site-directed polypeptides that comprise one substantially inactive nuclease domain are referred to as “nickases”.

[00272] Nickase variants of RNA-guided endonucleases, for example Cas9, can be used to increase the specificity of CRISPR-mediated genome editing. Wild type Cas9 is typically guided by a single guide RNA designed to hybridize with a specified ~20 nucleotide sequence in the target sequence (such as an endogenous genomic locus). However, several mismatches can be tolerated between the guide RNA and the target locus, effectively reducing the length of required homology in the target site to, for example, as little as 13 nt of homology, and thereby resulting in elevated potential for binding and double-strand nucleic acid cleavage by the CRISPR/Cas9 complex elsewhere in the target genome – also known as off-target cleavage. Because nickase variants of Cas9 each only cut one strand, in order to create a double-strand break it is necessary for a pair of nickases to bind in close proximity and on opposite strands of the target nucleic acid, thereby creating a pair of nicks, which is the equivalent of a double-strand break. This requires that two separate guide RNAs - one for each nickase - must bind in close proximity and on opposite strands of the target nucleic acid. This requirement essentially doubles the minimum length of homology needed for the double-strand break to occur, thereby

reducing the likelihood that a double-strand cleavage event will occur elsewhere in the genome, where the two guide RNA sites - if they exist - are unlikely to be sufficiently close to each other to enable the double-strand break to form. As described in the art, nickases can also be used to promote HDR versus NHEJ. HDR can be used to introduce selected changes into target sites in the genome through the use of specific donor sequences that effectively mediate the desired changes. Descriptions of various CRISPR/Cas systems for use in gene editing can be found, e.g., in international patent application publication number WO2013/176772, and in *Nature Biotechnology* 32, 347–355 (2014), and references cited therein.

[00273] Mutations contemplated include substitutions, additions, and deletions, or any combination thereof. In some embodiments, the mutation converts the mutated amino acid to alanine. In some embodiments, the mutation converts the mutated amino acid to another amino acid (e.g., glycine, serine, threonine, cysteine, valine, leucine, isoleucine, methionine, proline, phenylalanine, tyrosine, tryptophan, aspartic acid, glutamic acid, asparagines, glutamine, histidine, lysine, or arginine). In some embodiments, the mutation converts the mutated amino acid to a non-natural amino acid (e.g., selenomethionine). In some embodiments, the mutation converts the mutated amino acid to amino acid mimics (e.g., phosphomimics). In some embodiments, the mutation is a conservative mutation. For example, the mutation can convert the mutated amino acid to amino acids that resemble the size, shape, charge, polarity, conformation, and/or rotamers of the mutated amino acids (e.g., cysteine/serine mutation, lysine/asparagine mutation, histidine/phenylalanine mutation). In some embodiments, the mutation causes a shift in reading frame and/or the creation of a premature stop codon. In some embodiments, mutations cause changes to regulatory regions of genes or loci that affect expression of one or more genes.

[00274] In some embodiments, the site-directed polypeptide (e.g., variant, mutated, enzymatically inactive and/or conditionally enzymatically inactive site-directed polypeptide) targets nucleic acid. In some embodiments, the site-directed polypeptide (e.g., variant, mutated, enzymatically inactive and/or conditionally enzymatically inactive endoribonuclease) targets DNA. In some embodiments, the

site-directed polypeptide (e.g., variant, mutated, enzymatically inactive and/or conditionally enzymatically inactive endoribonuclease) targets RNA.

[00275] In some embodiments, the site-directed polypeptide comprises one or more non-native sequences (e.g., the site-directed polypeptide is a fusion protein).

[00276] In some embodiments, the site-directed polypeptide comprises an amino acid sequence comprising at least 15% amino acid identity to a Cas9 from a bacterium (e.g., *S. pyogenes*), a nucleic acid binding domain, and two nucleic acid cleaving domains (i.e., a HNH domain and a RuvC domain).

[00277] In some embodiments, the site-directed polypeptide comprises an amino acid sequence comprising at least 15% amino acid identity to a Cas9 from a bacterium (e.g., *S. pyogenes*), and two nucleic acid cleaving domains (i.e., a HNH domain and a RuvC domain).

[00278] In some embodiments, the site-directed polypeptide comprises an amino acid sequence comprising at least 15% amino acid identity to a Cas9 from a bacterium (e.g., *S. pyogenes*), and two nucleic acid cleaving domains, wherein one or both of the nucleic acid cleaving domains comprise at least 50% amino acid identity to a nuclease domain from Cas9 from a bacterium (e.g., *S. pyogenes*).

[00279] In some embodiments, the site-directed polypeptide comprises an amino acid sequence comprising at least 15% amino acid identity to a Cas9 from a bacterium (e.g., *S. pyogenes*), two nucleic acid cleaving domains (i.e., a HNH domain and a RuvC domain), and non-native sequence (for example, a nuclear localization signal) or a linker linking the site-directed polypeptide to a non-native sequence.

[00280] In some embodiments, the site-directed polypeptide comprises an amino acid sequence comprising at least 15% amino acid identity to a Cas9 from a bacterium (e.g., *S. pyogenes*), two nucleic acid cleaving domains (i.e., a HNH domain and a RuvC domain), wherein the site-directed polypeptide comprises a mutation in one or both of the nucleic acid cleaving domains that reduces the cleaving activity of the nuclease domains by at least 50%.

[00281] In some embodiments, the site-directed polypeptide comprises an amino acid sequence comprising at least 15% amino acid identity to a Cas9 from a

bacterium (e.g., *S. pyogenes*), and two nucleic acid cleaving domains (i.e., a HNH domain and a RuvC domain), wherein one of the nuclease domains comprises mutation of aspartic acid 10, and/or wherein one of the nuclease domains comprises mutation of histidine 840, and wherein the mutation reduces the cleaving activity of the nuclease domain(s) by at least 50%.

[00282] In some embodiments of the invention, the one or more site-directed polypeptides, e.g. DNA endonucleases, include two nickases that together effect one double-strand break at a specific locus in the genome, or four nickases that together effect two double-strand breaks at specific loci in the genome. Alternatively, one site-directed polypeptide, e.g. DNA endonuclease, effects one double-strand break at a specific locus in the genome.

[00283] Genome-targeting Nucleic Acid

[00284] The present disclosure provides a genome-targeting nucleic acid that can direct the activities of an associated polypeptide (e.g., a site-directed polypeptide) to a specific target sequence within a target nucleic acid. In some embodiments, the genome-targeting nucleic acid is an RNA. A genome-targeting RNA is referred to as a "guide RNA" or "gRNA" herein. A guide RNA comprises at least a spacer sequence that hybridizes to a target nucleic acid sequence of interest, and a CRISPR repeat sequence. In Type II systems, the gRNA also comprises a second RNA called the tracrRNA sequence. In the Type II guide RNA (gRNA), the CRISPR repeat sequence and tracrRNA sequence hybridize to each other to form a duplex. In the Type V guide RNA (gRNA), the crRNA forms a duplex. In both systems, the duplex binds a site-directed polypeptide, such that the guide RNA and site-direct polypeptide form a complex. The genome-targeting nucleic acid provides target specificity to the complex by virtue of its association with the site-directed polypeptide. The genome-targeting nucleic acid thus directs the activity of the site-directed polypeptide.

[00285] Exemplary guide RNAs include the spacer sequences in SEQ ID NOs: 1-68,297, for example, in SEQ ID NOs: 54,860-68,297, shown with the genome location of their target sequence and the associated Cas9 or Cpf1 cut site, wherein the genome location is based on the GRCh38/hg38 human genome assembly. As

is understood by the person of ordinary skill in the art, each guide RNA is designed to include a spacer sequence complementary to its genomic target sequence. For example, each of the spacer sequences in SEQ ID NOs: 1-68,297, for example, in SEQ ID NOs: 54,860-68,297 may be put into a single RNA chimera or a crRNA (along with a corresponding tracrRNA). See Jinek *et al.*, Science, 337, 816-821 (2012) and Deltcheva *et al.*, Nature, 471, 602-607 (2011).

[00286] In some embodiments, the genome-targeting nucleic acid is a double-molecule guide RNA. In some embodiments, the genome-targeting nucleic acid is a single-molecule guide RNA.

[00287] A double-molecule guide RNA comprises two strands of RNA. The first strand comprises in the 5' to 3' direction, an optional spacer extension sequence, a spacer sequence and a minimum CRISPR repeat sequence. The second strand comprises a minimum tracrRNA sequence (complementary to the minimum CRISPR repeat sequence), a 3' tracrRNA sequence and an optional tracrRNA extension sequence.

[00288] A single-molecule guide RNA (sgRNA) in a Type II system comprises, in the 5' to 3' direction, an optional spacer extension sequence, a spacer sequence, a minimum CRISPR repeat sequence, a single-molecule guide linker, a minimum tracrRNA sequence, a 3' tracrRNA sequence and an optional tracrRNA extension sequence. The optional tracrRNA extension may comprise elements that contribute additional functionality (e.g., stability) to the guide RNA. The single-molecule guide linker links the minimum CRISPR repeat and the minimum tracrRNA sequence to form a hairpin structure. The optional tracrRNA extension comprises one or more hairpins.

[00289] A single-molecule guide RNA (sgRNA) in a Type V system comprises, in the 5' to 3' direction, a minimum CRISPR repeat sequence and a spacer sequence.

[00290] By way of illustration, guide RNAs used in the CRISPR/Cas system, or other smaller RNAs can be readily synthesized by chemical means, as illustrated below and described in the art. While chemical synthetic procedures are continually expanding, purifications of such RNAs by procedures such as high performance liquid chromatography (HPLC, which avoids the use of gels such as PAGE) tends

to become more challenging as polynucleotide lengths increase significantly beyond a hundred or so nucleotides. One approach used for generating RNAs of greater length is to produce two or more molecules that are ligated together. Much longer RNAs, such as those encoding a Cas9 or Cpf1 endonuclease, are more readily generated enzymatically. Various types of RNA modifications can be introduced during or after chemical synthesis and/or enzymatic generation of RNAs, e.g., modifications that enhance stability, reduce the likelihood or degree of innate immune response, and/or enhance other attributes, as described in the art.

[00291] Spacer Extension Sequence

[00292] In some embodiments of genome-targeting nucleic acids, a spacer extension sequence can provide stability and/or provide a location for modifications of a genome-targeting nucleic acid. A spacer extension sequence can modify on- or off-target activity or specificity. In some embodiments, a spacer extension sequence is provided. A spacer extension sequence may have a length of more than 1, 5, 10, 15, 20, 25, 30, 35, 40, 45, 50, 60, 70, 80, 90, 100, 120, 140, 160, 180, 200, 220, 240, 260, 280, 300, 320, 340, 360, 380, 400, 1000, 2000, 3000, 4000, 5000, 6000, or 7000 or more nucleotides. A spacer extension sequence may have a length of less than 1, 5, 10, 15, 20, 25, 30, 35, 40, 45, 50, 60, 70, 80, 90, 100, 120, 140, 160, 180, 200, 220, 240, 260, 280, 300, 320, 340, 360, 380, 400, 1000, 2000, 3000, 4000, 5000, 6000, 7000 or more nucleotides. In some embodiments, a spacer extension sequence is less than 10 nucleotides in length. In some embodiments, a spacer extension sequence is between 10-30 nucleotides in length. In some embodiments, a spacer extension sequence is between 30-70 nucleotides in length.

[00293] In some embodiments, the spacer extension sequence comprises another moiety (e.g., a stability control sequence, an endoribonuclease binding sequence, a ribozyme). In some embodiments, the moiety decreases or increases the stability of a nucleic acid targeting nucleic acid. In some embodiments, the moiety is a transcriptional terminator segment (i.e., a transcription termination sequence). In some embodiments, the moiety functions in a eukaryotic cell. In some embodiments, the moiety functions in a prokaryotic cell. In some embodiments, the moiety functions in both eukaryotic and prokaryotic cells. Non-

limiting examples of suitable moieties include: a 5' cap (e.g., a 7-methylguanylate cap (m⁷ G)), a riboswitch sequence (e.g., to allow for regulated stability and/or regulated accessibility by proteins and protein complexes), a sequence that forms a dsRNA duplex (*i.e.*, a hairpin), a sequence that targets the RNA to a subcellular location (e.g., nucleus, mitochondria, chloroplasts, and the like), a modification or sequence that provides for tracking (e.g., direct conjugation to a fluorescent molecule, conjugation to a moiety that facilitates fluorescent detection, a sequence that allows for fluorescent detection, etc.), and/or a modification or sequence that provides a binding site for proteins (e.g., proteins that act on DNA, including transcriptional activators, transcriptional repressors, DNA methyltransferases, DNA demethylases, histone acetyltransferases, histone deacetylases, and the like).

[00294] Spacer Sequence

[00295] The spacer sequence hybridizes to a sequence in a target nucleic acid of interest. The spacer of a genome-targeting nucleic acid interacts with a target nucleic acid in a sequence-specific manner via hybridization (*i.e.*, base pairing). The nucleotide sequence of the spacer thus varies depending on the sequence of the target nucleic acid of interest.

[00296] In a CRISPR/Cas system herein, the spacer sequence is designed to hybridize to a target nucleic acid that is located 5' of a PAM of the Cas9 enzyme used in the system. The spacer may perfectly match the target sequence or may have mismatches. Each Cas9 enzyme has a particular PAM sequence that it recognizes in a target DNA. For example, *S. pyogenes* recognizes in a target nucleic acid a PAM that comprises the sequence 5'-NRG-3', where R comprises either A or G, where N is any nucleotide and N is immediately 3' of the target nucleic acid sequence targeted by the spacer sequence.

[00297] In some embodiments, the target nucleic acid sequence comprises 20 nucleotides. In some embodiments, the target nucleic acid comprises less than 20 nucleotides. In some embodiments, the target nucleic acid comprises more than 20 nucleotides. In some embodiments, the target nucleic acid comprises at least: 5, 10, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 30 or more nucleotides. In some embodiments, the target nucleic acid comprises at most: 5, 10, 15, 16, 17, 18, 19,

20, 21, 22, 23, 24, 25, 30 or more nucleotides. In some embodiments, the target nucleic acid sequence comprises 20 bases immediately 5' of the first nucleotide of the PAM. For example, in a sequence comprising 5'-NNNNNNNNNNNNNNNNNNNNNNNNNNNNNRG-3' (SEQ ID NO: 68,298), the target nucleic acid comprises the sequence that corresponds to the Ns, wherein N is any nucleotide, and the underlined NRG sequence is the *S. pyogenes* PAM.

[00298] In some embodiments, the spacer sequence that hybridizes to the target nucleic acid has a length of at least about 6 nucleotides (nt). The spacer sequence can be at least about 6 nt, at least about 10 nt, at least about 15 nt, at least about 18 nt, at least about 19 nt, at least about 20 nt, at least about 25 nt, at least about 30 nt, at least about 35 nt or at least about 40 nt, from about 6 nt to about 80 nt, from about 6 nt to about 50 nt, from about 6 nt to about 45 nt, from about 6 nt to about 40 nt, from about 6 nt to about 35 nt, from about 6 nt to about 30 nt, from about 6 nt to about 25 nt, from about 6 nt to about 20 nt, from about 6 nt to about 19 nt, from about 10 nt to about 50 nt, from about 10 nt to about 45 nt, from about 10 nt to about 40 nt, from about 10 nt to about 35 nt, from about 10 nt to about 30 nt, from about 10 nt to about 25 nt, from about 10 nt to about 20 nt, from about 10 nt to about 19 nt, from about 19 nt to about 25 nt, from about 19 nt to about 30 nt, from about 19 nt to about 35 nt, from about 19 nt to about 40 nt, from about 19 nt to about 45 nt, from about 19 nt to about 50 nt, from about 19 nt to about 60 nt, from about 20 nt to about 25 nt, from about 20 nt to about 30 nt, from about 20 nt to about 35 nt, from about 20 nt to about 40 nt, from about 20 nt to about 45 nt, from about 20 nt to about 50 nt, or from about 20 nt to about 60 nt. In some embodiments, the spacer sequence comprises 20 nucleotides. In some embodiments, the spacer comprises 19 nucleotides.

[00299] In some embodiments, the percent complementarity between the spacer sequence and the target nucleic acid is at least about 30%, at least about 40%, at least about 50%, at least about 60%, at least about 65%, at least about 70%, at least about 75%, at least about 80%, at least about 85%, at least about 90%, at least about 95%, at least about 97%, at least about 98%, at least about 99%, or 100%. In some embodiments, the percent complementarity between the spacer sequence and the target nucleic acid is at most about 30%, at most about 40%, at

most about 50%, at most about 60%, at most about 65%, at most about 70%, at most about 75%, at most about 80%, at most about 85%, at most about 90%, at most about 95%, at most about 97%, at most about 98%, at most about 99%, or 100%. In some embodiments, the percent complementarity between the spacer sequence and the target nucleic acid is 100% over the six contiguous 5'-most nucleotides of the target sequence of the complementary strand of the target nucleic acid. In some embodiments, the percent complementarity between the spacer sequence and the target nucleic acid is at least 60% over about 20 contiguous nucleotides. The length of the spacer sequence and the target nucleic acid can differ by 1 to 6 nucleotides, which may be thought of as a bulge or bulges.

[00300] In some embodiments, a spacer sequence is designed or chosen using a computer program. The computer program can use variables, such as predicted melting temperature, secondary structure formation, predicted annealing temperature, sequence identity, genomic context, chromatin accessibility, % GC, frequency of genomic occurrence (e.g., of sequences that are identical or are similar but vary in one or more spots as a result of mismatch, insertion or deletion), methylation status, presence of SNPs, and the like.

[00301] Minimum CRISPR Repeat Sequence

[00302] In some embodiments, a minimum CRISPR repeat sequence is a sequence with at least about 30%, about 40%, about 50%, about 60%, about 65%, about 70%, about 75%, about 80%, about 85%, about 90%, about 95%, or 100% sequence identity to a reference CRISPR repeat sequence (e.g., crRNA from *S. pyogenes*).

[00303] A minimum CRISPR repeat sequence comprises nucleotides that can hybridize to a minimum tracrRNA sequence in a cell. The minimum CRISPR repeat sequence and a minimum tracrRNA sequence form a duplex, i.e. a base-paired double-stranded structure. Together, the minimum CRISPR repeat sequence and the minimum tracrRNA sequence bind to the site-directed polypeptide. At least a part of the minimum CRISPR repeat sequence hybridizes to the minimum tracrRNA sequence. In some embodiments, at least a part of the minimum CRISPR repeat sequence comprises at least about 30%, about 40%, about 50%, about 60%, about

65%, about 70%, about 75%, about 80%, about 85%, about 90%, about 95%, or 100% complementary to the minimum tracrRNA sequence. In some embodiments, at least a part of the minimum CRISPR repeat sequence comprises at most about 30%, about 40%, about 50%, about 60%, about 65%, about 70%, about 75%, about 80%, about 85%, about 90%, about 95%, or 100% complementary to the minimum tracrRNA sequence.

[00304] The minimum CRISPR repeat sequence can have a length from about 7 nucleotides to about 100 nucleotides. For example, the length of the minimum CRISPR repeat sequence is from about 7 nucleotides (nt) to about 50 nt, from about 7 nt to about 40 nt, from about 7 nt to about 30 nt, from about 7 nt to about 25 nt, from about 7 nt to about 20 nt, from about 7 nt to about 15 nt, from about 8 nt to about 40 nt, from about 8 nt to about 30 nt, from about 8 nt to about 25 nt, from about 8 nt to about 20 nt, from about 8 nt to about 15 nt, from about 15 nt to about 100 nt, from about 15 nt to about 80 nt, from about 15 nt to about 50 nt, from about 15 nt to about 40 nt, from about 15 nt to about 30 nt, or from about 15 nt to about 25 nt. In some embodiments, the minimum CRISPR repeat sequence is approximately 9 nucleotides in length. In some embodiments, the minimum CRISPR repeat sequence is approximately 12 nucleotides in length.

[00305] In some embodiments, the minimum CRISPR repeat sequence is at least about 60% identical to a reference minimum CRISPR repeat sequence (e.g., wild-type crRNA from *S. pyogenes*) over a stretch of at least 6, 7, or 8 contiguous nucleotides. For example, the minimum CRISPR repeat sequence is at least about 65% identical, at least about 70% identical, at least about 75% identical, at least about 80% identical, at least about 85% identical, at least about 90% identical, at least about 95% identical, at least about 98% identical, at least about 99% identical or 100% identical to a reference minimum CRISPR repeat sequence over a stretch of at least 6, 7, or 8 contiguous nucleotides.

[00306] Minimum tracrRNA Sequence

[00307] In some embodiments, a minimum tracrRNA sequence is a sequence with at least about 30%, about 40%, about 50%, about 60%, about 65%, about 70%, about 75%, about 80%, about 85%, about 90%, about 95%, or 100%

sequence identity to a reference tracrRNA sequence (e.g., wild type tracrRNA from *S. pyogenes*).

[00308] A minimum tracrRNA sequence comprises nucleotides that hybridize to a minimum CRISPR repeat sequence in a cell. A minimum tracrRNA sequence and a minimum CRISPR repeat sequence form a duplex, *i.e.* a base-paired double-stranded structure. Together, the minimum tracrRNA sequence and the minimum CRISPR repeat bind to a site-directed polypeptide. At least a part of the minimum tracrRNA sequence can hybridize to the minimum CRISPR repeat sequence. In some embodiments, the minimum tracrRNA sequence is at least about 30%, about 40%, about 50%, about 60%, about 65%, about 70%, about 75%, about 80%, about 85%, about 90%, about 95%, or 100% complementary to the minimum CRISPR repeat sequence.

[00309] The minimum tracrRNA sequence can have a length from about 7 nucleotides to about 100 nucleotides. For example, the minimum tracrRNA sequence can be from about 7 nucleotides (nt) to about 50 nt, from about 7 nt to about 40 nt, from about 7 nt to about 30 nt, from about 7 nt to about 25 nt, from about 7 nt to about 20 nt, from about 7 nt to about 15 nt, from about 8 nt to about 40 nt, from about 8 nt to about 30 nt, from about 8 nt to about 25 nt, from about 8 nt to about 20 nt, from about 8 nt to about 15 nt, from about 15 nt to about 100 nt, from about 15 nt to about 80 nt, from about 15 nt to about 50 nt, from about 15 nt to about 40 nt, from about 15 nt to about 30 nt or from about 15 nt to about 25 nt long. In some embodiments, the minimum tracrRNA sequence is approximately 9 nucleotides in length. In some embodiments, the minimum tracrRNA sequence is approximately 12 nucleotides. In some embodiments, the minimum tracrRNA consists of tracrRNA nt 23-48 described in Jinek *et al.*, *supra*.

[00310] In some embodiments, the minimum tracrRNA sequence is at least about 60% identical to a reference minimum tracrRNA (e.g., wild type, tracrRNA from *S. pyogenes*) sequence over a stretch of at least 6, 7, or 8 contiguous nucleotides. For example, the minimum tracrRNA sequence is at least about 65% identical, about 70% identical, about 75% identical, about 80% identical, about 85% identical, about 90% identical, about 95% identical, about 98% identical, about 99%

identical or 100% identical to a reference minimum tracrRNA sequence over a stretch of at least 6, 7, or 8 contiguous nucleotides.

[00311] In some embodiments, the duplex between the minimum CRISPR RNA and the minimum tracrRNA comprises a double helix. In some embodiments, the duplex between the minimum CRISPR RNA and the minimum tracrRNA comprises at least about 1, 2, 3, 4, 5, 6, 7, 8, 9, or 10 or more nucleotides. In some embodiments, the duplex between the minimum CRISPR RNA and the minimum tracrRNA comprises at most about 1, 2, 3, 4, 5, 6, 7, 8, 9, or 10 or more nucleotides.

[00312] In some embodiments, the duplex comprises a mismatch (*i.e.*, the two strands of the duplex are not 100% complementary). In some embodiments, the duplex comprises at least about 1, 2, 3, 4, or 5 or mismatches. In some embodiments, the duplex comprises at most about 1, 2, 3, 4, or 5 or mismatches. In some embodiments, the duplex comprises no more than 2 mismatches.

[00313] Bulges

[00314] In some embodiments, there is a "bulge" in the duplex between the minimum CRISPR RNA and the minimum tracrRNA. The bulge is an unpaired region of nucleotides within the duplex. In some embodiments, the bulge contributes to the binding of the duplex to the site-directed polypeptide. A bulge comprises, on one side of the duplex, an unpaired 5'-XXX_Y-3' where X is any purine and Y comprises a nucleotide that can form a wobble pair with a nucleotide on the opposite strand, and an unpaired nucleotide region on the other side of the duplex. The number of unpaired nucleotides on the two sides of the duplex can be different.

[00315] In one example, the bulge comprises an unpaired purine (*e.g.*, adenine) on the minimum CRISPR repeat strand of the bulge. In some embodiments, a bulge comprises an unpaired 5'-AAG_Y-3' of the minimum tracrRNA sequence strand of the bulge, where Y comprises a nucleotide that can form a wobble pairing with a nucleotide on the minimum CRISPR repeat strand.

[00316] In some embodiments, a bulge on the minimum CRISPR repeat side of the duplex comprises at least 1, 2, 3, 4, or 5 or more unpaired nucleotides. In some

embodiments, a bulge on the minimum CRISPR repeat side of the duplex comprises at most 1, 2, 3, 4, or 5 or more unpaired nucleotides. In some embodiments, a bulge on the minimum CRISPR repeat side of the duplex comprises 1 unpaired nucleotide.

[00317] In some embodiments, a bulge on the minimum tracrRNA sequence side of the duplex comprises at least 1, 2, 3, 4, 5, 6, 7, 8, 9, or 10 or more unpaired nucleotides. In some embodiments, a bulge on the minimum tracrRNA sequence side of the duplex comprises at most 1, 2, 3, 4, 5, 6, 7, 8, 9, or 10 or more unpaired nucleotides. In some embodiments, a bulge on a second side of the duplex (e.g., the minimum tracrRNA sequence side of the duplex) comprises 4 unpaired nucleotides.

[00318] In some embodiments, a bulge comprises at least one wobble pairing. In some embodiments, a bulge comprises at most one wobble pairing. In some embodiments, a bulge comprises at least one purine nucleotide. In some embodiments, a bulge comprises at least 3 purine nucleotides. In some embodiments, a bulge sequence comprises at least 5 purine nucleotides. In some embodiments, a bulge sequence comprises at least one guanine nucleotide. In some embodiments, a bulge sequence comprises at least one adenine nucleotide.

[00319] Hairpins

[00320] In various embodiments, one or more hairpins are located 3' to the minimum tracrRNA in the 3' tracrRNA sequence.

[00321] In some embodiments, the hairpin starts at least about 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 15, or 20 or more nucleotides 3' from the last paired nucleotide in the minimum CRISPR repeat and minimum tracrRNA sequence duplex. In some embodiments, the hairpin can start at most about 1, 2, 3, 4, 5, 6, 7, 8, 9 or 10 or more nucleotides 3' of the last paired nucleotide in the minimum CRISPR repeat and minimum tracrRNA sequence duplex.

[00322] In some embodiments, a hairpin comprises at least about 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 15, or 20 or more consecutive nucleotides. In some embodiments, a hairpin comprises at most about 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 15, or more consecutive nucleotides.

[00323] In some embodiments, a hairpin comprises a CC dinucleotide (*i.e.*, two consecutive cytosine nucleotides).

[00324] In some embodiments, a hairpin comprises duplexed nucleotides (*e.g.*, nucleotides in a hairpin, hybridized together). For example, a hairpin comprises a CC dinucleotide that is hybridized to a GG dinucleotide in a hairpin duplex of the 3' tracrRNA sequence.

[00325] One or more of the hairpins can interact with guide RNA-interacting regions of a site-directed polypeptide.

[00326] In some embodiments, there are two or more hairpins, and in some embodiments there are three or more hairpins.

[00327] 3' tracrRNA sequence

[00328] In some embodiments, a 3' tracrRNA sequence comprises a sequence with at least about 30%, about 40%, about 50%, about 60%, about 65%, about 70%, about 75%, about 80%, about 85%, about 90%, about 95%, or 100% sequence identity to a reference tracrRNA sequence (*e.g.*, a tracrRNA from *S. pyogenes*).

[00329] In some embodiments, the 3' tracrRNA sequence has a length from about 6 nucleotides to about 100 nucleotides. For example, the 3' tracrRNA sequence can have a length from about 6 nucleotides (nt) to about 50 nt, from about 6 nt to about 40 nt, from about 6 nt to about 30 nt, from about 6 nt to about 25 nt, from about 6 nt to about 20 nt, from about 6 nt to about 15 nt, from about 8 nt to about 40 nt, from about 8 nt to about 30 nt, from about 8 nt to about 25 nt, from about 8 nt to about 20 nt, from about 8 nt to about 15 nt, from about 15 nt to about 100 nt, from about 15 nt to about 80 nt, from about 15 nt to about 50 nt, from about 15 nt to about 40 nt, from about 15 nt to about 30 nt, or from about 15 nt to about 25 nt. In some embodiments, the 3' tracrRNA sequence has a length of approximately 14 nucleotides.

[00330] In some embodiments, the 3' tracrRNA sequence is at least about 60% identical to a reference 3' tracrRNA sequence (*e.g.*, wild type 3' tracrRNA sequence from *S. pyogenes*) over a stretch of at least 6, 7, or 8 contiguous nucleotides. For example, the 3' tracrRNA sequence is at least about 60% identical, about 65%

identical, about 70% identical, about 75% identical, about 80% identical, about 85% identical, about 90% identical, about 95% identical, about 98% identical, about 99% identical, or 100% identical, to a reference 3' tracrRNA sequence (e.g., wild type 3' tracrRNA sequence from *S. pyogenes*) over a stretch of at least 6, 7, or 8 contiguous nucleotides.

[00331] In some embodiments, a 3' tracrRNA sequence comprises more than one duplexed region (e.g., hairpin, hybridized region). In some embodiments, a 3' tracrRNA sequence comprises two duplexed regions.

[00332] In some embodiments, the 3' tracrRNA sequence comprises a stem loop structure. In some embodiments, a stem loop structure in the 3' tracrRNA comprises at least 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 15 or 20 or more nucleotides. In some embodiments, the stem loop structure in the 3' tracrRNA comprises at most 1, 2, 3, 4, 5, 6, 7, 8, 9 or 10 or more nucleotides. In some embodiments, the stem loop structure comprises a functional moiety. For example, the stem loop structure may comprise an aptamer, a ribozyme, a protein-interacting hairpin, a CRISPR array, an intron, or an exon. In some embodiments, the stem loop structure comprises at least about 1, 2, 3, 4, or 5 or more functional moieties. In some embodiments, the stem loop structure comprises at most about 1, 2, 3, 4, or 5 or more functional moieties.

[00333] In some embodiments, the hairpin in the 3' tracrRNA sequence comprises a P-domain. In some embodiments, the P-domain comprises a double-stranded region in the hairpin.

[00334] tracrRNA Extension Sequence

[00335] A tracrRNA extension sequence may be provided whether the tracrRNA is in the context of single-molecule guides or double-molecule guides. In some embodiments, a tracrRNA extension sequence has a length from about 1 nucleotide to about 400 nucleotides. In some embodiments, a tracrRNA extension sequence has a length of more than 1, 5, 10, 15, 20, 25, 30, 35, 40, 45, 50, 60, 70, 80, 90, 100, 120, 140, 160, 180, 200, 220, 240, 260, 280, 300, 320, 340, 360, 380, or 400 nucleotides. In some embodiments, a tracrRNA extension sequence has a length from about 20 to about 5000 or more nucleotides. In some embodiments, a

tracrRNA extension sequence has a length of more than 1000 nucleotides. In some embodiments, a tracrRNA extension sequence has a length of less than 1, 5, 10, 15, 20, 25, 30, 35, 40, 45, 50, 60, 70, 80, 90, 100, 120, 140, 160, 180, 200, 220, 240, 260, 280, 300, 320, 340, 360, 380, 400 or more nucleotides. In some embodiments, a tracrRNA extension sequence can have a length of less than 1000 nucleotides. In some embodiments, a tracrRNA extension sequence comprises less than 10 nucleotides in length. In some embodiments, a tracrRNA extension sequence is 10-30 nucleotides in length. In some embodiments, tracrRNA extension sequence is 30-70 nucleotides in length.

[00336] In some embodiments, the tracrRNA extension sequence comprises a functional moiety (e.g., a stability control sequence, ribozyme, endoribonuclease binding sequence). In some embodiments, the functional moiety comprises a transcriptional terminator segment (*i.e.*, a transcription termination sequence). In some embodiments, the functional moiety has a total length from about 10 nucleotides (nt) to about 100 nucleotides, from about 10 nt to about 20 nt, from about 20 nt to about 30 nt, from about 30 nt to about 40 nt, from about 40 nt to about 50 nt, from about 50 nt to about 60 nt, from about 60 nt to about 70 nt, from about 70 nt to about 80 nt, from about 80 nt to about 90 nt, or from about 90 nt to about 100 nt, from about 15 nt to about 80 nt, from about 15 nt to about 50 nt, from about 15 nt to about 40 nt, from about 15 nt to about 30 nt, or from about 15 nt to about 25 nt. In some embodiments, the functional moiety functions in a eukaryotic cell. In some embodiments, the functional moiety functions in a prokaryotic cell. In some embodiments, the functional moiety functions in both eukaryotic and prokaryotic cells.

[00337] Non-limiting examples of suitable tracrRNA extension functional moieties include a 3' poly-adenylated tail, a riboswitch sequence (e.g., to allow for regulated stability and/or regulated accessibility by proteins and protein complexes), a sequence that forms a dsRNA duplex (*i.e.*, a hairpin), a sequence that targets the RNA to a subcellular location (e.g., nucleus, mitochondria, chloroplasts, and the like), a modification or sequence that provides for tracking (e.g., direct conjugation to a fluorescent molecule, conjugation to a moiety that facilitates fluorescent detection, a sequence that allows for fluorescent detection, etc.), and/or a

modification or sequence that provides a binding site for proteins (e.g., proteins that act on DNA, including transcriptional activators, transcriptional repressors, DNA methyltransferases, DNA demethylases, histone acetyltransferases, histone deacetylases, and the like). In some embodiments, a tracrRNA extension sequence comprises a primer binding site or a molecular index (e.g., barcode sequence). In some embodiments, the tracrRNA extension sequence comprises one or more affinity tags.

[00338] Single-Molecule Guide Linker Sequence

[00339] In some embodiments, the linker sequence of a single-molecule guide nucleic acid has a length from about 3 nucleotides to about 100 nucleotides. In Jinek *et al.*, *supra*, for example, a simple 4 nucleotide "tetraloop" (-GAAA-) was used, Science, 337(6096):816-821 (2012). An illustrative linker has a length from about 3 nucleotides (nt) to about 90 nt, from about 3 nt to about 80 nt, from about 3 nt to about 70 nt, from about 3 nt to about 60 nt, from about 3 nt to about 50 nt, from about 3 nt to about 40 nt, from about 3 nt to about 30 nt, from about 3 nt to about 20 nt, from about 3 nt to about 10 nt. For example, the linker can have a length from about 3 nt to about 5 nt, from about 5 nt to about 10 nt, from about 10 nt to about 15 nt, from about 15 nt to about 20 nt, from about 20 nt to about 25 nt, from about 25 nt to about 30 nt, from about 30 nt to about 35 nt, from about 35 nt to about 40 nt, from about 40 nt to about 50 nt, from about 50 nt to about 60 nt, from about 60 nt to about 70 nt, from about 70 nt to about 80 nt, from about 80 nt to about 90 nt, or from about 90 nt to about 100 nt. In some embodiments, the linker of a single-molecule guide nucleic acid is between 4 and 40 nucleotides. In some embodiments, a linker is at least about 100, 500, 1000, 1500, 2000, 2500, 3000, 3500, 4000, 4500, 5000, 5500, 6000, 6500, or 7000 or more nucleotides. In some embodiments, a linker is at most about 100, 500, 1000, 1500, 2000, 2500, 3000, 3500, 4000, 4500, 5000, 5500, 6000, 6500, or 7000 or more nucleotides.

[00340] Linkers can comprise any of a variety of sequences, although preferably the linker will not comprise sequences that have extensive regions of homology with other portions of the guide RNA, which might cause intramolecular binding that could interfere with other functional regions of the guide. In Jinek *et al.*, *supra*, a simple 4 nucleotide sequence -GAAA- was used, Science, 337(6096):816-821

(2012), but numerous other sequences, including longer sequences can likewise be used.

[00341] In some embodiments, the linker sequence comprises a functional moiety. For example, the linker sequence may comprise one or more features, including an aptamer, a ribozyme, a protein-interacting hairpin, a protein binding site, a CRISPR array, an intron, or an exon. In some embodiments, the linker sequence comprises at least about 1, 2, 3, 4, or 5 or more functional moieties. In some embodiments, the linker sequence comprises at most about 1, 2, 3, 4, or 5 or more functional moieties.

[00342] Genome engineering strategies to correct cells by insertion, correction, deletion, or replacement of one or more mutations or exons within or near the gene, or by knocking-in SERPINA1 cDNA or a minigene into the locus of the corresponding gene or safe harbor site

[00343] The methods of the present disclosure can involve correction of one or both of the mutant alleles. Gene editing to correct the mutation has the advantage of restoration of correct expression levels and temporal control. Sequencing the patient's SERPINA1 alleles allows for design of the gene editing strategy to best correct the identified mutation(s).

[00344] A step of the *ex vivo* methods of the invention involves editing/correcting the patient specific IPS cells using genome engineering. Alternatively, a step of the *ex vivo* methods of the invention involves editing/correcting the progenitor cell, primary hepatocyte, mesenchymal stem cell, or liver progenitor cell. Likewise, a step of the *in vivo* methods of the invention involves editing/correcting the cells in an AATD patient using genome engineering. Similarly, a step in the cellular methods of the invention involves editing/correcting the SERPINA1 gene in a human cell by genome engineering.

[00345] AATD patients exhibit one or more mutations in the SERPINA1 gene. Therefore, different patients will generally require different correction strategies. Any CRISPR endonuclease may be used in the methods of the invention, each CRISPR endonuclease having its own associated PAM, which may or may not be disease specific. For example, gRNA spacer sequences for targeting the

SERPINA1 gene with a CRISPR/Cas9 endonuclease from *S. pyogenes* have been identified in SEQ ID NOs: 54,860-61,324. gRNA spacer sequences for targeting the SERPINA1 gene with a CRISPR/Cas9 endonuclease from *S. aureus* have been identified in SEQ ID NOs: 61,325-61,936. gRNA spacer sequences for targeting the SERPINA1 gene with a CRISPR/Cas9 endonuclease from *S. thermophilus* have been identified in SEQ ID NOs: 61,9347-62,069. gRNA spacer sequences for targeting the SERPINA1 gene with a CRISPR/Cas9 endonuclease from *T. denticola* have been identified in SEQ ID NOs: 62,070-62,120. gRNA spacer sequences for targeting the SERPINA1 gene with a CRISPR/Cas9 endonuclease from *N. meningitides* have been identified in SEQ ID NOs: 62,121-62,563. gRNA spacer sequences for targeting the SERPINA1 gene with a CRISPR/Cpf1 endonuclease from *Acidominococcus*, *Lachnospiraceae*, and *Francisella novicida* have been identified in SEQ ID NOs: 62,564-68,297. gRNA spacer sequences for targeting, e.g., targeting exon 1-2 of AAVS1 (PPP1R12C), ALB, Angptl3, ApoC3, ASGR2, CCR5, FIX (F9), G6PC, Gys2, HGD, Lp(a), Pcsk9, Serpina1, TF, and TTR with a CRISPR/Cas9 endonuclease from *S. pyogenes* have been identified in Example 7. gRNA spacer sequences for targeting, e.g., targeting exon 1-2 of, AAVS1 (PPP1R12C), ALB, Angptl3, ApoC3, ASGR2, CCR5, FIX (F9), G6PC, Gys2, HGD, Lp(a), Pcsk9, Serpina1, TF, and TTR with a CRISPR/Cas9 endonuclease from *S. aureus* have been identified in Example 8. gRNA spacer sequences for targeting, e.g., targeting exon 1-2 of, AAVS1 (PPP1R12C), ALB, Angptl3, ApoC3, ASGR2, CCR5, FIX (F9), G6PC, Gys2, HGD, Lp(a), Pcsk9, Serpina1, TF, and TTR with a CRISPR/Cas9 endonuclease from *S. thermophilus* have been identified in Example 9. gRNA spacer sequences for targeting, e.g., targeting exon 1-2 of, AAVS1 (PPP1R12C), ALB, Angptl3, ApoC3, ASGR2, CCR5, FIX (F9), G6PC, Gys2, HGD, Lp(a), Pcsk9, Serpina1, TF, and TTR with a CRISPR/Cas9 endonuclease from *T. denticola* have been identified in Example 10. gRNA spacer sequences for targeting, e.g., targeting exon 1-2 of, AAVS1 (PPP1R12C), ALB, Angptl3, ApoC3, ASGR2, CCR5, FIX (F9), G6PC, Gys2, HGD, Lp(a), Pcsk9, Serpina1, TF, and TTR with a CRISPR/Cas9 endonuclease from *N. meningitides* have been identified in Example 11. gRNA spacer sequences for targeting, e.g., targeting exon 1-2 of, AAVS1 (PPP1R12C), ALB, Angptl3, ApoC3, ASGR2, CCR5, FIX (F9), G6PC, Gys2, HGD, Lp(a), Pcsk9, Serpina1, TF, and TTR

with a CRISPR/Cas9 endonuclease from *Acidominococcus*, *Lachnospiraceae*, and *Francisella novicida* have been identified in Example 12.

[00346] For example, the mutation can be corrected by the insertions or deletions that arise due to the imprecise NHEJ repair pathway. If the patient's SERPINA1 gene has an inserted or deleted base, a targeted cleavage can result in a NHEJ-mediated insertion or deletion that restores the frame. Missense mutations can also be corrected through NHEJ-mediated correction using one or more guide RNA. The ability or likelihood of the cut(s) to correct the mutation can be designed or evaluated based on the local sequence and micro-homologies. NHEJ can also be used to delete segments of the gene, either directly or by altering splice donor or acceptor sites through cleavage by one gRNA targeting several locations, or several gRNAs. This may be useful if an amino acid, domain or exon contains the mutations and can be removed or inverted, or if the deletion otherwise restored function to the protein. Pairs of guide strands have been used for deletions and corrections of inversions.

[00347] Alternatively, the donor for correction by HDR contains the corrected sequence with small or large flanking homology arms to allow for annealing. HDR is essentially an error-free mechanism that uses a supplied homologous DNA sequence as a template during DSB repair. The rate of homology directed repair (HDR) is a function of the distance between the mutation and the cut site so choosing overlapping or nearest target sites is important. Templates can include extra sequences flanked by the homologous regions or can contain a sequence that differs from the genomic sequence, thus allowing sequence editing.

[00348] In addition to correcting mutations by NHEJ or HDR, a range of other options are possible. If there are small or large deletions or multiple mutations, a cDNA can be knocked in that contains the exons affected. A full length cDNA can be knocked into any "safe harbor", but must use a supplied or other promoter. If this construct is knocked into the correct location, it will have physiological control, similar to the normal gene. Pairs of nucleases can be used to delete mutated gene regions, though a donor would usually have to be provided to restore function. In this case two gRNA would be supplied and one donor sequence.

[00349] Some genome engineering strategies involve correction of one or more mutations in or near the SERPINA1 gene or deleting the mutant SERPINA1 DNA and/or knocking-in SERPINA1 cDNA or a minigene (comprised of one or more exons and introns or natural or synthetic introns) into the locus of the corresponding gene or a safe harbor locus by homology directed repair (HDR), which is also known as homologous recombination (HR). Homology directed repair is one strategy for treating patients that have inactivating mutations in or near the SERPINA1 gene. These strategies will restore the SERPINA1 gene and completely reverse, treat, and/or mitigate the diseased state. This strategy will require a more custom approach based on the location of the patient's inactivating mutation(s). Donor nucleotides for correcting mutations are small (< 300 bp). This is advantageous, as HDR efficiencies may be inversely related to the size of the donor molecule. Also, it is expected that the donor templates can fit into size constrained viral vector molecules, e.g., adeno-associated virus (AAV) molecules, which have been shown to be an effective means of donor template delivery. Also, it is expected that the donor templates can fit into other size constrained molecules, including, by way of non-limiting example, platelets and/or exosomes or other microvesicles.

[00350] Homology direct repair is a cellular mechanism for repairing double-stranded breaks (DSBs). The most common form is homologous recombination. There are additional pathways for HDR, including single-strand annealing and alternative-HDR. Genome engineering tools allow researchers to manipulate the cellular homologous recombination pathways to create site-specific modifications to the genome. It has been found that cells can repair a double-stranded break using a synthetic donor molecule provided in trans. Therefore, by introducing a double-stranded break near a specific mutation and providing a suitable donor, targeted changes can be made in the genome. Specific cleavage increases the rate of HDR more than 1,000 fold above the rate of 1 in 10^6 cells receiving a homologous donor alone. The rate of homology directed repair (HDR) at a particular nucleotide is a function of the distance to the cut site, so choosing overlapping or nearest target sites is important. Gene editing offers the advantage over gene addition, as correcting in situ leaves the rest of the genome unperturbed.

[00351] Supplied donors for editing by HDR vary markedly but generally contain the intended sequence with small or large flanking homology arms to allow annealing to the genomic DNA. The homology regions flanking the introduced genetic changes can be 30 bp or smaller, or as large as a multi-kilobase cassette that can contain promoters, cDNAs, etc. Both single-stranded and double-stranded oligonucleotide donors have been used. These oligonucleotides range in size from less than 100 nt to over many kb, though longer ssDNA can also be generated and used. Double-stranded donors are often used, including PCR amplicons, plasmids, and mini-circles. In general, it has been found that an AAV vector is a very effective means of delivery of a donor template, though the packaging limits for individual donors is <5kb. Active transcription of the donor increased HDR three-fold, indicating the inclusion of promoter may increase conversion. Conversely, CpG methylation of the donor decreased gene expression and HDR.

[00352] In addition to wildtype endonucleases, such as Cas9, nickase variants exist that have one or the other nuclease domain inactivated resulting in cutting of only one DNA strand. HDR can be directed from individual Cas nickases or using pairs of nickases that flank the target area. Donors can be single-stranded, nicked, or dsDNA.

[00353] The donor DNA can be supplied with the nuclease or independently by a variety of different methods, for example by transfection, nano-particle, micro-injection, or viral transduction. A range of tethering options has been proposed to increase the availability of the donors for HDR. Examples include attaching the donor to the nuclease, attaching to DNA binding proteins that bind nearby, or attaching to proteins that are involved in DNA end binding or repair.

[00354] The repair pathway choice can be guided by a number of culture conditions, such as those that influence cell cycling, or by targeting of DNA repair and associated proteins. For example, to increase HDR, key NHEJ molecules can be suppressed, such as KU70, KU80 or DNA ligase IV.

[00355] Without a donor present, the ends from a DNA break or ends from different breaks can be joined using the several nonhomologous repair pathways in which the DNA ends are joined with little or no base-pairing at the junction. In

addition to canonical NHEJ, there are similar repair mechanisms, such as alt-NHEJ. If there are two breaks, the intervening segment can be deleted or inverted. NHEJ repair pathways can lead to insertions, deletions or mutations at the joints.

[00356] NHEJ was used to insert a 15-kb inducible gene expression cassette into a defined locus in human cell lines after nuclease cleavage. Maresca, M., Lin, V.G., Guo, N. & Yang, Y., *Genome Res* 23, 539-546 (2013).

[00357] In addition to genome editing by NHEJ or HDR, site-specific gene insertions have been conducted that use both the NHEJ pathway and HR. A combination approach may be applicable in certain settings, possibly including intron/exon borders. NHEJ may prove effective for ligation in the intron, while the error-free HDR may be better suited in the coding region.

[00358] As stated previously, the SERPINA1 gene contains 5 exons. Any one or more of the 5 exons or nearby introns may be repaired in order to correct a mutation and restore the inactive AAT protein. Alternatively, there are various mutations associated with AATD, which are a combination of missense, nonsense, frameshift and other mutations, with the common effect of inactivating AAT. Any one or more of the mutations may be repaired in order to restore the inactive AAT. For example, one or more of the following pathological variants may be corrected: rs764325655, rs121912713, rs28929474, rs17580, rs121912714, rs764220898, rs199422211, rs751235320, rs199422210, rs267606950, rs55819880, rs28931570 (See Table 1). These variants include deletions, insertions and single nucleotide polymorphisms. As a further alternative, SERPINA1 cDNA or minigene (comprised of, natural or synthetic enhancer and promoter, one or more exons, and natural or synthetic introns, and natural or synthetic 3'UTR and polyadenylation signal) may be knocked-in to the locus of the corresponding gene or knocked-in to a safe harbor site, such as AAVS1 (PPP1R12C), ALB, Angptl3, ApoC3, ASGR2, CCR5, FIX (F9), G6PC, Gys2, HGD, Lp(a), Pcsk9, Serpina1, TF, and/or TTR. The safe harbor locus can be selected from the group consisting of: exon 1-2 of AAVS1 (PPP1R12C), exon 1-2 of ALB, exon 1-2 of Angptl3, exon 1-2 of ApoC3, exon 1-2 of ASGR2, exon 1-2 of CCR5, exon 1-2 of FIX (F9), exon 1-2 of G6PC, exon 1-2 of Gys2, exon 1-2 of HGD, exon 1-2 of Lp(a), exon 1-2 of Pcsk9, exon 1-2 of Serpina1, exon 1-2 of TF, and exon 1-2 of TTR. In some embodiments, the

methods provide one gRNA or a pair of gRNAs that can be used to facilitate incorporation of a new sequence from a polynucleotide donor template to correct one or more mutations or to knock-in a part of or the entire SERPINA1 gene or cDNA.

Table 1

Variant	Location	Variant type
rs764325655	94,378,547 - 94,378,548	Insertion
rs121912713	94,378,561	single nucleotide variation
rs28929474	94,378,610	single nucleotide variation
rs17580	94,380,925	single nucleotide variation
rs121912714	94,380,949	single nucleotide variation
rs764220898	94,381,043	single nucleotide variation
rs199422211	94,381,067	single nucleotide variation
rs751235320	94,382,591	single nucleotide variation
rs199422210	94,382,686	single nucleotide variation
rs267606950	94,382,686	Insertion
rs55819880	94,383,008	single nucleotide variation
rs28931570	94,383,051	single nucleotide variation

[00359] Some embodiments of the methods provide gRNA pairs that make a deletion by cutting the gene twice, one gRNA cutting at the 5' end of one or more mutations and the other gRNA cutting at the 3' end of one or more mutations that facilitates insertion of a new sequence from a polynucleotide donor template to replace the one or more mutations, or deletion may exclude mutant amino acids or amino acids adjacent to it (e.g., premature stop codon) and lead to expression of a functional protein, or restore an open reading frame. The cutting may be accomplished by a pair of DNA endonucleases that each makes a DSB in the genome, or by multiple nickases that together make a DSB in the genome.

[00360] Alternatively, some embodiments of the methods provide one gRNA to make one double-strand cut around one or more mutations that facilitates insertion of a new sequence from a polynucleotide donor template to replace the one or more mutations. The double-strand cut may be made by a single DNA endonuclease or multiple nickases that together make a DSB in the genome, or single gRNA may lead to deletion (MMEJ), which may exclude mutant amino acid (e.g., premature stop codon) and lead to expression of a functional protein, or restore an open reading frame.

[00361] Illustrative modifications within the SERPINA1 gene include replacements within or near (proximal) to the mutations referred to above, such as within the region of less than 3 kb, less than 2kb, less than 1 kb, less than 0.5 kb upstream or downstream of the specific mutation. Given the relatively wide variations of mutations in the SERPINA1 gene, it will be appreciated that numerous variations of the replacements referenced above (including without limitation larger as well as smaller deletions), would be expected to result in restoration of AAT protein activity.

[00362] Such variants include replacements that are larger in the 5' and/or 3' direction than the specific mutation in question, or smaller in either direction. Accordingly, by "near" or "proximal" with respect to specific replacements, it is intended that the SSB or DSB locus associated with a desired replacement boundary (also referred to herein as an endpoint) may be within a region that is

less than about 3 kb from the reference locus noted. In some embodiments, the DSB locus is more proximal and within 2 kb, within 1 kb, within 0.5 kb, or within 0.1 kb. In the case of small replacement, the desired endpoint is at or "adjacent to" the reference locus, by which it is intended that the endpoint is within 100 bp, within 50 bp, within 25 bp, or less than about 10 bp to 5 bp from the reference locus.

[00363] Embodiments comprising larger or smaller replacements are expected to provide the same benefit, as long as the AAT protein activity is restored. It is thus expected that many variations of the replacements described and illustrated herein will be effective for ameliorating AATD.

[00364] Another genome engineering strategy involves exon deletion. Targeted deletion of specific exons is an attractive strategy for treating a large subset of patients with a single therapeutic cocktail. Deletions can either be single exon deletions or multi-exon deletions. While multi-exon deletions can reach a larger number of patients, for larger deletions the efficiency of deletion greatly decreases with increased size. Therefore, deletions range can be from 40 to 10,000 base pairs (bp) in size. For example, deletions may range from 40-100; 100-300; 300-500; 500-1,000; 1,000-2,000; 2,000-3,000; 3,000-5,000; or 5,000-10,000 base pairs in size.

[00365] Deletions can occur in enhancer, promoter, 1st intron, and/or 3'UTR leading to upregulation of the gene expression, and/or through deletion of the regulatory elements.

[00366] As stated previously, the AAT gene contains 5 exons. Any one or more of the 5 exons, or aberrant intronic splice acceptor or donor sites, may be deleted in order to restore the AAT reading frame. In some embodiments, the methods provide gRNA pairs that can be used to delete exons 1, 2, 3, 4, or 5, or any combination of them.

[00367] In order to ensure that the pre-mRNA is properly processed following exon deletion, the surrounding splicing signals can be deleted. Splicing donor and acceptors are generally within 100 base pairs of the neighboring intron. Therefore, in some examples, methods can provide all gRNAs that cut approximately +/- 100-3100 bp with respect to each exon/intron junction of interest.

[00368] For any of the genome editing strategies, gene editing can be confirmed by sequencing or PCR analysis.

[00369] Target Sequence Selection

[00370] Shifts in the location of the 5' boundary and/or the 3' boundary relative to particular reference loci are used to facilitate or enhance particular applications of gene editing, which depend in part on the endonuclease system selected for the editing, as further described and illustrated herein.

[00371] In a first, non-limiting example of such target sequence selection, many endonuclease systems have rules or criteria that guide the initial selection of potential target sites for cleavage, such as the requirement of a PAM sequence motif in a particular position adjacent to the DNA cleavage sites in the case of CRISPR Type II or Type V endonucleases.

[00372] In another non-limiting example of target sequence selection or optimization, the frequency of "off-target" activity for a particular combination of target sequence and gene editing endonuclease (*i.e.* the frequency of DSBs occurring at sites other than the selected target sequence) is assessed relative to the frequency of on-target activity. In some cases, cells that have been correctly edited at the desired locus may have a selective advantage relative to other cells. Illustrative, but nonlimiting, examples of a selective advantage include the acquisition of attributes such as enhanced rates of replication, persistence, resistance to certain conditions, enhanced rates of successful engraftment or persistence *in vivo* following introduction into a patient, and other attributes associated with the maintenance or increased numbers or viability of such cells. In other cases, cells that have been correctly edited at the desired locus may be positively selected for by one or more screening methods used to identify, sort or otherwise select for cells that have been correctly edited. Both selective advantage and directed selection methods may take advantage of the phenotype associated with the correction. In some embodiments, cells may be edited two or more times in order to create a second modification that creates a new phenotype that is used to select or purify the intended population of cells. Such a second modification could be created by adding a second gRNA for a selectable or screenable marker. In

some cases, cells can be correctly edited at the desired locus using a DNA fragment that contains the cDNA and also a selectable marker.

[00373] Whether any selective advantage is applicable or any directed selection is to be applied in a particular case, target sequence selection is also guided by consideration of off-target frequencies in order to enhance the effectiveness of the application and/or reduce the potential for undesired alterations at sites other than the desired target. As described further and illustrated herein and in the art, the occurrence of off-target activity is influenced by a number of factors including similarities and dissimilarities between the target site and various off target sites, as well as the particular endonuclease used. Bioinformatics tools are available that assist in the prediction of off-target activity, and frequently such tools can also be used to identify the most likely sites of off-target activity, which can then be assessed in experimental settings to evaluate relative frequencies of off-target to on-target activity, thereby allowing the selection of sequences that have higher relative on-target activities. Illustrative examples of such techniques are provided herein, and others are known in the art.

[00374] Another aspect of target sequence selection relates to homologous recombination events. Sequences sharing regions of homology can serve as focal points for homologous recombination events that result in deletion of intervening sequences. Such recombination events occur during the normal course of replication of chromosomes and other DNA sequences, and also at other times when DNA sequences are being synthesized, such as in the case of repairs of double-strand breaks (DSBs), which occur on a regular basis during the normal cell replication cycle but may also be enhanced by the occurrence of various events (such as UV light and other inducers of DNA breakage) or the presence of certain agents (such as various chemical inducers). Many such inducers cause DSBs to occur indiscriminately in the genome, and DSBs are regularly being induced and repaired in normal cells. During repair, the original sequence may be reconstructed with complete fidelity, however, in some cases, small insertions or deletions (referred to as "indels") are introduced at the DSB site.

[00375] DSBs may also be specifically induced at particular locations, as in the case of the endonucleases systems described herein, which can be used to cause

directed or preferential gene modification events at selected chromosomal locations. The tendency for homologous sequences to be subject to recombination in the context of DNA repair (as well as replication) can be taken advantage of in a number of circumstances, and is the basis for one application of gene editing systems, such as CRISPR, in which homology directed repair is used to insert a sequence of interest, provided through use of a "donor" polynucleotide, into a desired chromosomal location.

[00376] Regions of homology between particular sequences, which can be small regions of "microhomology" that may comprise as few as ten basepairs or less, can also be used to bring about desired deletions. For example, a single DSB is introduced at a site that exhibits microhomology with a nearby sequence. During the normal course of repair of such DSB, a result that occurs with high frequency is the deletion of the intervening sequence as a result of recombination being facilitated by the DSB and concomitant cellular repair process.

[00377] In some circumstances, however, selecting target sequences within regions of homology can also give rise to much larger deletions, including gene fusions (when the deletions are in coding regions), which may or may not be desired given the particular circumstances.

[00378] The examples provided herein further illustrate the selection of various target regions for the creation of DSBs designed to induce replacements that result in restoration of AAT protein activity, as well as the selection of specific target sequences within such regions that are designed to minimize off-target events relative to on-target events.

[00379] Nucleic acid modifications

[00380] In some embodiments, polynucleotides introduced into cells comprise one or more modifications that can be used individually or in combination, for example, to enhance activity, stability or specificity, alter delivery, reduce innate immune responses in host cells, or for other enhancements, as further described herein and known in the art.

[00381] In certain embodiments, modified polynucleotides are used in the CRISPR/Cas9/Cpf1 system, in which case the guide RNAs (either single-molecule

guides or double-molecule guides) and/or a DNA or an RNA encoding a Cas or Cpf1 endonuclease introduced into a cell can be modified, as described and illustrated below. Such modified polynucleotides can be used in the CRISPR/Cas9/Cpf1 system to edit any one or more genomic loci.

[00382] Using the CRISPR/Cas9/Cpf1 system for purposes of nonlimiting illustrations of such uses, modifications of guide RNAs can be used to enhance the formation or stability of the CRISPR/Cas9/Cpf1 genome editing complex comprising guide RNAs, which may be single-molecule guides or double-molecule, and a Cas or Cpf1 endonuclease. Modifications of guide RNAs can also or alternatively be used to enhance the initiation, stability or kinetics of interactions between the genome editing complex with the target sequence in the genome, which can be used, for example, to enhance on-target activity. Modifications of guide RNAs can also or alternatively be used to enhance specificity, e.g., the relative rates of genome editing at the on-target site as compared to effects at other (off-target) sites.

[00383] Modifications can also or alternatively be used to increase the stability of a guide RNA, e.g., by increasing its resistance to degradation by ribonucleases (RNases) present in a cell, thereby causing its half-life in the cell to be increased. Modifications enhancing guide RNA half-life can be particularly useful in embodiments in which a Cas or Cpf1 endonuclease is introduced into the cell to be edited via an RNA that needs to be translated in order to generate endonuclease, because increasing the half-life of guide RNAs introduced at the same time as the RNA encoding the endonuclease can be used to increase the time that the guide RNAs and the encoded Cas or Cpf1 endonuclease co-exist in the cell.

[00384] Modifications can also or alternatively be used to decrease the likelihood or degree to which RNAs introduced into cells elicit innate immune responses. Such responses, which have been well characterized in the context of RNA interference (RNAi), including small-interfering RNAs (siRNAs), as described below and in the art, tend to be associated with reduced half-life of the RNA and/or the elicitation of cytokines or other factors associated with immune responses.

[00385] One or more types of modifications can also be made to RNAs encoding an endonuclease that are introduced into a cell, including, without limitation, modifications that enhance the stability of the RNA (such as by increasing its degradation by RNAses present in the cell), modifications that enhance translation of the resulting product (*i.e.* the endonuclease), and/or modifications that decrease the likelihood or degree to which the RNAs introduced into cells elicit innate immune responses.

[00386] Combinations of modifications, such as the foregoing and others, can likewise be used. In the case of CRISPR/Cas9/Cpf1, for example, one or more types of modifications can be made to guide RNAs (including those exemplified above), and/or one or more types of modifications can be made to RNAs encoding Cas endonuclease (including those exemplified above).

[00387] By way of illustration, guide RNAs used in the CRISPR/Cas9/Cpf1 system, or other smaller RNAs can be readily synthesized by chemical means, enabling a number of modifications to be readily incorporated, as illustrated below and described in the art. While chemical synthetic procedures are continually expanding, purifications of such RNAs by procedures such as high performance liquid chromatography (HPLC, which avoids the use of gels such as PAGE) tends to become more challenging as polynucleotide lengths increase significantly beyond a hundred or so nucleotides. One approach used for generating chemically-modified RNAs of greater length is to produce two or more molecules that are ligated together. Much longer RNAs, such as those encoding a Cas9 endonuclease, are more readily generated enzymatically. While fewer types of modifications are generally available for use in enzymatically produced RNAs, there are still modifications that can be used to, *e.g.*, enhance stability, reduce the likelihood or degree of innate immune response, and/or enhance other attributes, as described further below and in the art; and new types of modifications are regularly being developed.

[00388] By way of illustration of various types of modifications, especially those used frequently with smaller chemically synthesized RNAs, modifications can comprise one or more nucleotides modified at the 2' position of the sugar, in some embodiments a 2'-O-alkyl, 2'-O-alkyl-O-alkyl, or 2'-fluoro-modified nucleotide. In

some embodiments, RNA modifications include 2'-fluoro, 2'-amino or 2' O-methyl modifications on the ribose of pyrimidines, abasic residues, or an inverted base at the 3' end of the RNA. Such modifications are routinely incorporated into oligonucleotides and these oligonucleotides have been shown to have a higher T_m (*i.e.*, higher target binding affinity) than 2'-deoxyoligonucleotides against a given target.

[00389] A number of nucleotide and nucleoside modifications have been shown to make the oligonucleotide into which they are incorporated more resistant to nuclease digestion than the native oligonucleotide; these modified oligos survive intact for a longer time than unmodified oligonucleotides. Specific examples of modified oligonucleotides include those comprising modified backbones, for example, phosphorothioates, phosphotriesters, methyl phosphonates, short chain alkyl or cycloalkyl intersugar linkages or short chain heteroatomic or heterocyclic intersugar linkages. Some oligonucleotides are oligonucleotides with phosphorothioate backbones and those with heteroatom backbones, particularly $\text{CH}_2\text{-NH-O-CH}_2$, $\text{CH}_2\text{-N(CH}_3\text{)-O-CH}_2$ (known as a methylene(methylimino) or MMI backbone), $\text{CH}_2\text{-O-N(CH}_3\text{)-CH}_2$, $\text{CH}_2\text{-N(CH}_3\text{)-N(CH}_3\text{)-CH}_2$ and $\text{O-N(CH}_3\text{)-CH}_2\text{-CH}_2$ backbones, wherein the native phosphodiester backbone is represented as O-P-O-CH_2); amide backbones [see De Mesmaeker *et al.*, *Acc. Chem. Res.*, 28:366-374 (1995)]; morpholino backbone structures (see Summerton and Weller, U.S. Pat. No. 5,034,506); peptide nucleic acid (PNA) backbone (wherein the phosphodiester backbone of the oligonucleotide is replaced with a polyamide backbone, the nucleotides being bound directly or indirectly to the aza nitrogen atoms of the polyamide backbone, see Nielsen *et al.*, *Science* 1991, 254, 1497). Phosphorus-containing linkages include, but are not limited to, phosphorothioates, chiral phosphorothioates, phosphorodithioates, phosphotriesters, aminoalkylphosphotriesters, methyl and other alkyl phosphonates comprising 3'-alkylene phosphonates and chiral phosphonates, phosphinates, phosphoramidates comprising 3'-amino phosphoramidate and aminoalkylphosphoramidates, thionophosphoramidates, thionoalkylphosphonates, thionoalkylphosphotriesters, and boranophosphates having normal 3'-5' linkages, 2'-5' linked analogs of these, and those having inverted polarity wherein the

adjacent pairs of nucleoside units are linked 3'-5' to 5'-3' or 2'-5' to 5'-2'; see US patent nos. 3,687,808; 4,469,863; 4,476,301; 5,023,243; 5,177,196; 5,188,897; 5,264,423; 5,276,019; 5,278,302; 5,286,717; 5,321,131; 5,399,676; 5,405,939; 5,453,496; 5,455,233; 5,466,677; 5,476,925; 5,519,126; 5,536,821; 5,541,306; 5,550,111; 5,563,253; 5,571,799; 5,587,361; and 5,625,050.

[00390] Morpholino-based oligomeric compounds are described in Braasch and David Corey, *Biochemistry*, 41(14): 4503-4510 (2002); *Genesis*, Volume 30, Issue 3, (2001); Heasman, *Dev. Biol.*, 243: 209-214 (2002); Nasevicius *et al.*, *Nat. Genet.*, 26:216-220 (2000); Lacerra *et al.*, *Proc. Natl. Acad. Sci.*, 97: 9591-9596 (2000); and U.S. Pat. No. 5,034,506, issued Jul. 23, 1991.

[00391] Cyclohexenyl nucleic acid oligonucleotide mimetics are described in Wang *et al.*, *J. Am. Chem. Soc.*, 122: 8595-8602 (2000).

[00392] Modified oligonucleotide backbones that do not include a phosphorus atom therein have backbones that are formed by short chain alkyl or cycloalkyl internucleoside linkages, mixed heteroatom and alkyl or cycloalkyl internucleoside linkages, or one or more short chain heteroatomic or heterocyclic internucleoside linkages. These comprise those having morpholino linkages (formed in part from the sugar portion of a nucleoside); siloxane backbones; sulfide, sulfoxide and sulfone backbones; formacetyl and thioformacetyl backbones; methylene formacetyl and thioformacetyl backbones; alkene containing backbones; sulfamate backbones; methyleneimino and methylenehydrazino backbones; sulfonate and sulfonamide backbones; amide backbones; and others having mixed N, O, S, and CH₂ component parts; see US patent nos. 5,034,506; 5,166,315; 5,185,444; 5,214,134; 5,216,141; 5,235,033; 5,264,562; 5,264,564; 5,405,938; 5,434,257; 5,466,677; 5,470,967; 5,489,677; 5,541,307; 5,561,225; 5,596,086; 5,602,240; 5,610,289; 5,602,240; 5,608,046; 5,610,289; 5,618,704; 5,623,070; 5,663,312; 5,633,360; 5,677,437; and 5,677,439, each of which is herein incorporated by reference.

[00393] One or more substituted sugar moieties can also be included, e.g., one of the following at the 2' position: OH, SH, SCH₃, F, OCN, OCH₃, OCH₃, OCH₃, O(CH₂)_n CH₃, O(CH₂)_n NH₂, or O(CH₂)_n CH₃, where n is from 1 to about 10; C1

to C10 lower alkyl, alkoxyalkoxy, substituted lower alkyl, alkaryl or aralkyl; Cl; Br; CN; CF₃; OCF₃; O-, S-, or N-alkyl; O-, S-, or N-alkenyl; SOCH₃; SO₂ CH₃; ONO₂; NO₂; N₃; NH₂; heterocycloalkyl; heterocycloalkaryl; aminoalkylamino; polyalkylamino; substituted silyl; an RNA cleaving group; a reporter group; an intercalator; a group for improving the pharmacokinetic properties of an oligonucleotide; or a group for improving the pharmacodynamic properties of an oligonucleotide and other substituents having similar properties. In some embodiments, a modification includes 2'-methoxyethoxy (2'-O-CH₂CH₂OCH₃, also known as 2'-O-(2-methoxyethyl)) (Martin *et al*, *Helv. Chim. Acta*, 1995, 78, 486). Other modifications include 2'-methoxy (2'-O-CH₃), 2'-propoxy (2'-OCH₂ CH₂CH₃) and 2'-fluoro (2'-F). Similar modifications may also be made at other positions on the oligonucleotide, particularly the 3' position of the sugar on the 3' terminal nucleotide and the 5' position of 5' terminal nucleotide. Oligonucleotides may also have sugar mimetics, such as cyclobutyls in place of the pentofuranosyl group.

[00394] In some embodiments, both a sugar and an internucleoside linkage, *i.e.*, the backbone, of the nucleotide units are replaced with novel groups. The base units are maintained for hybridization with an appropriate nucleic acid target compound. One such oligomeric compound, an oligonucleotide mimetic that has been shown to have excellent hybridization properties, is referred to as a peptide nucleic acid (PNA). In PNA compounds, the sugar-backbone of an oligonucleotide is replaced with an amide containing backbone, for example, an aminoethylglycine backbone. The nucleobases are retained and are bound directly or indirectly to aza nitrogen atoms of the amide portion of the backbone. Representative United States patents that teach the preparation of PNA compounds comprise, but are not limited to, US patent nos. 5,539,082; 5,714,331; and 5,719,262. Further teaching of PNA compounds can be found in Nielsen *et al*, *Science*, 254: 1497-1500 (1991).

[00395] Guide RNAs can also include, additionally or alternatively, nucleobase (often referred to in the art simply as "base") modifications or substitutions. As used herein, "unmodified" or "natural" nucleobases include adenine (A), guanine (G), thymine (T), cytosine (C), and uracil (U). Modified nucleobases include nucleobases found only infrequently or transiently in natural nucleic acids, *e.g.*, hypoxanthine, 6-methyladenine, 5-Me pyrimidines, particularly 5-methylcytosine

(also referred to as 5-methyl-2' deoxycytosine and often referred to in the art as 5-Me-C), 5-hydroxymethylcytosine (HMC), glycosyl HMC and gentobiosyl HMC, as well as synthetic nucleobases, e.g., 2-aminoadenine, 2-(methylamino)adenine, 2-(imidazolylalkyl)adenine, 2-(aminoalkylamino)adenine or other heterosubstituted alkyladenines, 2-thiouracil, 2-thiothymine, 5-bromouracil, 5-hydroxymethyluracil, 8-azaguanine, 7-deazaguanine, N6 (6-aminohexyl)adenine, and 2,6-diaminopurine. Komberg, A., DNA Replication, W. H. Freeman & Co., San Francisco, pp75-77 (1980); Gebeyehu *et al.*, Nucl. Acids Res. 15:4513 (1997). A "universal" base known in the art, e.g., inosine, can also be included. 5-Me-C substitutions have been shown to increase nucleic acid duplex stability by 0.6-1.2 °C. (Sanghvi, Y. S., in Crooke, S. T. and Lebleu, B., eds., Antisense Research and Applications, CRC Press, Boca Raton, 1993, pp. 276-278) and are embodiments of base substitutions.

[00396] Modified nucleobases comprise other synthetic and natural nucleobases, such as 5-methylcytosine (5-me-C), 5-hydroxymethyl cytosine, xanthine, hypoxanthine, 2-aminoadenine, 6-methyl and other alkyl derivatives of adenine and guanine, 2-propyl and other alkyl derivatives of adenine and guanine, 2-thiouracil, 2-thiothymine and 2-thiocytosine, 5-halouracil and cytosine, 5-propynyl uracil and cytosine, 6-azo uracil, cytosine and thymine, 5-uracil (pseudo-uracil), 4-thiouracil, 8-halo, 8-amino, 8-thiol, 8- thioalkyl, 8-hydroxyl and other 8-substituted adenines and guanines, 5-halo particularly 5- bromo, 5-trifluoromethyl and other 5-substituted uracils and cytosines, 7-methylquanine and 7-methyladenine, 8-azaguanine and 8-azaadenine, 7-deazaguanine and 7-deazaadenine, and 3-deazaguanine and 3-deazaadenine.

[00397] Further, nucleobases comprise those disclosed in United States Patent No. 3,687,808, those disclosed in 'The Concise Encyclopedia of Polymer Science And Engineering', pages 858-859, Kroschwitz, J.I., ed. John Wiley & Sons, 1990, those disclosed by Englisch *et al.*, Angewandte Chemie, International Edition', 1991, 30, page 613, and those disclosed by Sanghvi, Y. S., Chapter 15, Antisense Research and Applications', pages 289- 302, Crooke, S.T. and Lebleu, B. ea., CRC Press, 1993. Certain of these nucleobases are particularly useful for increasing the binding affinity of the oligomeric compounds of the invention. These include 5-substituted pyrimidines, 6-azapyrimidines and N-2, N-6 and O-6 substituted purines,

comprising 2-aminopropyladenine, 5-propynyluracil and 5-propynylcytosine. 5-methylcytosine substitutions have been shown to increase nucleic acid duplex stability by 0.6-1.2°C (Sanghvi, Y.S., Crooke, S.T. and Lebleu, B., eds, 'Antisense Research and Applications', CRC Press, Boca Raton, 1993, pp. 276-278) and are embodiments of base substitutions, even more particularly when combined with 2'-O-methoxyethyl sugar modifications. Modified nucleobases are described in US patent nos. 3,687,808, as well as 4,845,205; 5,130,302; 5,134,066; 5,175,273; 5,367,066; 5,432,272; 5,457,187; 5,459,255; 5,484,908; 5,502,177; 5,525,711; 5,552,540; 5,587,469; 5,596,091; 5,614,617; 5,681,941; 5,750,692; 5,763,588; 5,830,653; 6,005,096; and U.S. Patent Application Publication 2003/0158403.

[00398] Thus, the term "modified" refers to a non-natural sugar, phosphate, or base that is incorporated into a guide RNA, an endonuclease, or both a guide RNA and an endonuclease. It is not necessary for all positions in a given oligonucleotide to be uniformly modified, and in fact more than one of the aforementioned modifications may be incorporated in a single oligonucleotide, or even in a single nucleoside within an oligonucleotide.

[00399] In some embodiments, the guide RNAs and/or mRNA (or DNA) encoding an endonuclease are chemically linked to one or more moieties or conjugates that enhance the activity, cellular distribution, or cellular uptake of the oligonucleotide. Such moieties comprise, but are not limited to, lipid moieties such as a cholesterol moiety [Letsinger *et al.*, Proc. Natl. Acad. Sci. USA, 86: 6553-6556 (1989)]; cholic acid [Manoharan *et al.*, Bioorg. Med. Chem. Lett., 4: 1053-1060 (1994)]; a thioether, e.g., hexyl-S- tritylthiol [Manoharan *et al.*, Ann. N. Y. Acad. Sci., 660: 306-309 (1992) and Manoharan *et al.*, Bioorg. Med. Chem. Lett., 3: 2765-2770 (1993)]; a thiocholesterol [Oberhauser *et al.*, Nucl. Acids Res., 20: 533-538 (1992)]; an aliphatic chain, e.g., dodecandiol or undecyl residues [Kabanov *et al.*, FEBS Lett., 259: 327-330 (1990) and Svinarchuk *et al.*, Biochimie, 75: 49- 54 (1993)]; a phospholipid, e.g., di-hexadecyl-rac-glycerol or triethylammonium 1,2-di-O-hexadecyl- rac-glycero-3-H-phosphonate [Manoharan *et al.*, Tetrahedron Lett., 36: 3651-3654 (1995) and Shea *et al.*, Nucl. Acids Res., 18: 3777-3783 (1990)]; a polyamine or a polyethylene glycol chain [Mancharan *et al.*, Nucleosides & Nucleotides, 14: 969-973 (1995)]; adamantane acetic acid [Manoharan *et al.*,

Tetrahedron Lett., 36: 3651-3654 (1995)]; a palmityl moiety [(Mishra *et al.*, Biochim. Biophys. Acta, 1264: 229-237 (1995)]; or an octadecylamine or hexylamino-carbonyl-t oxysterol moiety [Crooke *et al.*, J. Pharmacol. Exp. Ther., 277: 923-937 (1996)]. See also US Patent Nos. 4,828,979; 4,948,882; 5,218,105; 5,525,465; 5,541,313; 5,545,730; 5,552,538; 5,578,717; 5,580,731; 5,580,731; 5,591,584; 5,109,124; 5,118,802; 5,138,045; 5,414,077; 5,486,603; 5,512,439; 5,578,718; 5,608,046; 4,587,044; 4,605,735; 4,667,025; 4,762,779; 4,789,737; 4,824,941; 4,835,263; 4,876,335; 4,904,582; 4,958,013; 5,082,830; 5,112,963; 5,214,136; 5,082,830; 5,112,963; 5,214,136; 5,245,022; 5,254,469; 5,258,506; 5,262,536; 5,272,250; 5,292,873; 5,317,098; 5,371,241; 5,391,723; 5,416,203; 5,451,463; 5,510,475; 5,512,667; 5,514,785; 5,565,552; 5,567,810; 5,574,142; 5,585,481; 5,587,371; 5,595,726; 5,597,696; 5,599,923; 5,599, 928 and 5,688,941.

[00400] Sugars and other moieties can be used to target proteins and complexes comprising nucleotides, such as cationic polysomes and liposomes, to particular sites. For example, hepatic cell directed transfer can be mediated via asialoglycoprotein receptors (ASGPRs); see, e.g., Hu, *et al.*, Protein Pept Lett. 21(10):1025-30 (2014). Other systems known in the art and regularly developed can be used to target biomolecules of use in the present case and/or complexes thereof to particular target cells of interest.

[00401] These targeting moieties or conjugates can include conjugate groups covalently bound to functional groups, such as primary or secondary hydroxyl groups. Conjugate groups of the invention include intercalators, reporter molecules, polyamines, polyamides, polyethylene glycols, polyethers, groups that enhance the pharmacodynamic properties of oligomers, and groups that enhance the pharmacokinetic properties of oligomers. Typical conjugate groups include cholesterols, lipids, phospholipids, biotin, phenazine, folate, phenanthridine, anthraquinone, acridine, fluoresceins, rhodamines, coumarins, and dyes. Groups that enhance the pharmacodynamic properties, in the context of this invention, include groups that improve uptake, enhance resistance to degradation, and/or strengthen sequence-specific hybridization with the target nucleic acid. Groups that enhance the pharmacokinetic properties, in the context of this invention, include groups that improve uptake, distribution, metabolism or excretion of the compounds

of the present invention. Representative conjugate groups are disclosed in International Patent Application No. PCT/US92/09196, filed Oct. 23, 1992, and U.S. Pat. No. 6,287,860, which are incorporated herein by reference. Conjugate moieties include, but are not limited to, lipid moieties such as a cholesterol moiety, cholic acid, a thioether, e.g., hexyl-5-tritylthiol, a thiocholesterol, an aliphatic chain, e.g., dodecandiol or undecyl residues, a phospholipid, e.g., di-hexadecyl-rac-glycerol or triethylammonium 1,2-di-O-hexadecyl-rac-glycero-3-H-phosphonate, a polyamine or a polyethylene glycol chain, or adamantane acetic acid, a palmityl moiety, or an octadecylamine or hexylamino-carbonyl-oxy cholesterol moiety. See, e.g., U.S. Pat. Nos. 4,828,979; 4,948,882; 5,218,105; 5,525,465; 5,541,313; 5,545,730; 5,552,538; 5,578,717; 5,580,731; 5,580,731; 5,591,584; 5,109,124; 5,118,802; 5,138,045; 5,414,077; 5,486,603; 5,512,439; 5,578,718; 5,608,046; 4,587,044; 4,605,735; 4,667,025; 4,762,779; 4,789,737; 4,824,941; 4,835,263; 4,876,335; 4,904,582; 4,958,013; 5,082,830; 5,112,963; 5,214,136; 5,082,830; 5,112,963; 5,214,136; 5,245,022; 5,254,469; 5,258,506; 5,262,536; 5,272,250; 5,292,873; 5,317,098; 5,371,241; 5,391,723; 5,416,203; 5,451,463; 5,510,475; 5,512,667; 5,514,785; 5,565,552; 5,567,810; 5,574,142; 5,585,481; 5,587,371; 5,595,726; 5,597,696; 5,599,923; 5,599,928 and 5,688,941.

[00402] Longer polynucleotides that are less amenable to chemical synthesis and are typically produced by enzymatic synthesis can also be modified by various means. Such modifications can include, for example, the introduction of certain nucleotide analogs, the incorporation of particular sequences or other moieties at the 5' or 3' ends of molecules, and other modifications. By way of illustration, the mRNA encoding Cas9 is approximately 4 kb in length and can be synthesized by *in vitro* transcription. Modifications to the mRNA can be applied to, e.g., increase its translation or stability (such as by increasing its resistance to degradation with a cell), or to reduce the tendency of the RNA to elicit an innate immune response that is often observed in cells following introduction of exogenous RNAs, particularly longer RNAs such as that encoding Cas9.

[00403] Numerous such modifications have been described in the art, such as polyA tails, 5' cap analogs (e.g., Anti Reverse Cap Analog (ARCA) or m7G(5')ppp(5')G (mCAP)), modified 5' or 3' untranslated regions (UTRs), use of

modified bases (such as Pseudo-UTP, 2-Thio-UTP, 5-Methylcytidine-5'-Triphosphate (5-Methyl-CTP) or N6-Methyl-ATP), or treatment with phosphatase to remove 5' terminal phosphates. These and other modifications are known in the art, and new modifications of RNAs are regularly being developed.

[00404] There are numerous commercial suppliers of modified RNAs, including for example, TriLink Biotech, AxoLabs, Bio-Synthesis Inc., Dharmacon and many others. As described by TriLink, for example, 5-Methyl-CTP can be used to impart desirable characteristics, such as increased nuclease stability, increased translation or reduced interaction of innate immune receptors with *in vitro* transcribed RNA. 5-Methylcytidine-5'-Triphosphate (5-Methyl-CTP), N6-Methyl-ATP, as well as Pseudo-UTP and 2-Thio-UTP, have also been shown to reduce innate immune stimulation in culture and *in vivo* while enhancing translation, as illustrated in publications by Kormann *et al.* and Warren *et al.* referred to below.

[00405] It has been shown that chemically modified mRNA delivered *in vivo* can be used to achieve improved therapeutic effects; see, e.g., Kormann *et al.*, Nature Biotechnology 29, 154–157 (2011). Such modifications can be used, for example, to increase the stability of the RNA molecule and/or reduce its immunogenicity. Using chemical modifications such as Pseudo-U, N6-Methyl-A, 2-Thio-U and 5-Methyl-C, it was found that substituting just one quarter of the uridine and cytidine residues with 2-Thio-U and 5-Methyl-C respectively resulted in a significant decrease in toll-like receptor (TLR) mediated recognition of the mRNA in mice. By reducing the activation of the innate immune system, these modifications can be used to effectively increase the stability and longevity of the mRNA *in vivo*; see, e.g., Kormann *et al.*, *supra*.

[00406] It has also been shown that repeated administration of synthetic messenger RNAs incorporating modifications designed to bypass innate anti-viral responses can reprogram differentiated human cells to pluripotency. See, e.g., Warren, *et al.*, Cell Stem Cell, 7(5):618-30 (2010). Such modified mRNAs that act as primary reprogramming proteins can be an efficient means of reprogramming multiple human cell types. Such cells are referred to as induced pluripotency stem cells (iPSCs), and it was found that enzymatically synthesized RNA incorporating 5-

Methyl-CTP, Pseudo-UTP and an Anti Reverse Cap Analog (ARCA) could be used to effectively evade the cell's antiviral response; see, e.g., Warren *et al.*, *supra*.

[00407] Other modifications of polynucleotides described in the art include, for example, the use of polyA tails, the addition of 5' cap analogs (such as m7G(5')ppp(5')G (mCAP)), modifications of 5' or 3' untranslated regions (UTRs), or treatment with phosphatase to remove 5' terminal phosphates – and new approaches are regularly being developed.

[00408] A number of compositions and techniques applicable to the generation of modified RNAs for use herein have been developed in connection with the modification of RNA interference (RNAi), including small-interfering RNAs (siRNAs). siRNAs present particular challenges *in vivo* because their effects on gene silencing via mRNA interference are generally transient, which can require repeat administration. In addition, siRNAs are double-stranded RNAs (dsRNA) and mammalian cells have immune responses that have evolved to detect and neutralize dsRNA, which is often a by-product of viral infection. Thus, there are mammalian enzymes such as PKR (dsRNA-responsive kinase), and potentially retinoic acid-inducible gene I (RIG-I), that can mediate cellular responses to dsRNA, as well as Toll-like receptors (such as TLR3, TLR7 and TLR8) that can trigger the induction of cytokines in response to such molecules; see, e.g., the reviews by Angart *et al.*, *Pharmaceuticals* (Basel) 6(4): 440–468 (2013); Kanasty *et al.*, *Molecular Therapy* 20(3): 513–524 (2012); Burnett *et al.*, *Biotechnol J.* 6(9):1130-46 (2011); Judge and MacLachlan, *Hum Gene Ther* 19(2):111-24 (2008); and references cited therein.

[00409] A large variety of modifications have been developed and applied to enhance RNA stability, reduce innate immune responses, and/or achieve other benefits that can be useful in connection with the introduction of polynucleotides into human cells, as described herein; see, e.g., the reviews by Whitehead KA *et al.*, *Annual Review of Chemical and Biomolecular Engineering*, 2: 77-96 (2011); Gaglione and Messere, *Mini Rev Med Chem*, 10(7):578-95 (2010); Chernolovskaya *et al.*, *Curr Opin Mol Ther.*, 12(2):158-67 (2010); Deleavey *et al.*, *Curr Protoc Nucleic Acid Chem* Chapter 16:Unit 16.3 (2009); Behlke, *Oligonucleotides*

18(4):305-19 (2008); Fucini *et al.*, *Nucleic Acid Ther* 22(3): 205–210 (2012); Bremsen *et al.*, *Front Genet* 3:154 (2012).

[00410] As noted above, there are a number of commercial suppliers of modified RNAs, many of which have specialized in modifications designed to improve the effectiveness of siRNAs. A variety of approaches are offered based on various findings reported in the literature. For example, Dharmacon notes that replacement of a non-bridging oxygen with sulfur (phosphorothioate, PS) has been extensively used to improve nuclease resistance of siRNAs, as reported by Kole, *Nature Reviews Drug Discovery* 11:125-140 (2012). Modifications of the 2'-position of the ribose have been reported to improve nuclease resistance of the internucleotide phosphate bond while increasing duplex stability (T_m), which has also been shown to provide protection from immune activation. A combination of moderate PS backbone modifications with small, well-tolerated 2'-substitutions (2'-O-Methyl, 2'-Fluoro, 2'-Hydro) have been associated with highly stable siRNAs for applications *in vivo*, as reported by Soutschek *et al.* *Nature* 432:173-178 (2004); and 2'-O-Methyl modifications have been reported to be effective in improving stability as reported by Volkov, *Oligonucleotides* 19:191-202 (2009). With respect to decreasing the induction of innate immune responses, modifying specific sequences with 2'-O-Methyl, 2'-Fluoro, 2'-Hydro have been reported to reduce TLR7/TLR8 interaction while generally preserving silencing activity; see, e.g., Judge *et al.*, *Mol. Ther.* 13:494-505 (2006); and Cekaite *et al.*, *J. Mol. Biol.* 365:90-108 (2007). Additional modifications, such as 2-thiouracil, pseudouracil, 5-methylcytosine, 5-methyluracil, and N6-methyladenosine have also been shown to minimize the immune effects mediated by TLR3, TLR7, and TLR8; see, e.g., Kariko, K. *et al.*, *Immunity* 23:165-175 (2005).

[00411] As is also known in the art, and commercially available, a number of conjugates can be applied to polynucleotides, such as RNAs, for use herein that can enhance their delivery and/or uptake by cells, including for example, cholesterol, tocopherol and folic acid, lipids, peptides, polymers, linkers and aptamers; see, e.g., the review by Winkler, *Ther. Deliv.* 4:791-809 (2013), and references cited therein.

[00412] Codon-Optimization

[00413] In some embodiments, a polynucleotide encoding a site-directed polypeptide is codon-optimized according to methods standard in the art for expression in the cell containing the target DNA of interest. For example, if the intended target nucleic acid is in a human cell, a human codon-optimized polynucleotide encoding Cas9 is contemplated for use for producing the Cas9 polypeptide.

[00414] Complexes of a Genome-targeting Nucleic Acid and a Site-Directed Polypeptide

[00415] A genome-targeting nucleic acid interacts with a site-directed polypeptide (e.g., a nucleic acid-guided nuclease such as Cas9), thereby forming a complex. The genome-targeting nucleic acid guides the site-directed polypeptide to a target nucleic acid.

[00416] RNPs

[00417] As stated previously, the site-directed polypeptide and genome-targeting nucleic acid may each be administered separately to a cell or a patient. On the other hand, the site-directed polypeptide may be pre-complexed with one or more guide RNAs, or one or more crRNA together with a tracrRNA. The pre-complexed material may then be administered to a cell or a patient. Such pre-complexed material is known as a ribonucleoprotein particle (RNP).

[00418] Nucleic Acids Encoding System Components

[00419] In another aspect, the present disclosure provides a nucleic acid comprising a nucleotide sequence encoding a genome-targeting nucleic acid of the disclosure, a site-directed polypeptide of the disclosure, and/or any nucleic acid or proteinaceous molecule necessary to carry out the embodiments of the methods of the disclosure.

[00420] In some embodiments, the nucleic acid encoding a genome-targeting nucleic acid of the disclosure, a site-directed polypeptide of the disclosure, and/or any nucleic acid or proteinaceous molecule necessary to carry out the

embodiments of the methods of the disclosure comprises a vector (e.g., a recombinant expression vector).

[00421] The term "vector" refers to a nucleic acid molecule capable of transporting another nucleic acid to which it has been linked. One type of vector is a "plasmid", which refers to a circular double-stranded DNA loop into which additional nucleic acid segments can be ligated. Another type of vector is a viral vector, wherein additional nucleic acid segments can be ligated into the viral genome. Certain vectors are capable of autonomous replication in a host cell into which they are introduced (e.g., bacterial vectors having a bacterial origin of replication and episomal mammalian vectors). Other vectors (e.g., non-episomal mammalian vectors) are integrated into the genome of a host cell upon introduction into the host cell, and thereby are replicated along with the host genome.

[00422] In some embodiments, vectors are capable of directing the expression of nucleic acids to which they are operatively linked. Such vectors are referred to herein as "recombinant expression vectors", or more simply "expression vectors", which serve equivalent functions.

[00423] The term "operably linked" means that the nucleotide sequence of interest is linked to regulatory sequence(s) in a manner that allows for expression of the nucleotide sequence. The term "regulatory sequence" is intended to include, for example, promoters, enhancers and other expression control elements (e.g., polyadenylation signals). Such regulatory sequences are well known in the art and are described, for example, in Goeddel; Gene Expression Technology: Methods in Enzymology 185, Academic Press, San Diego, CA (1990). Regulatory sequences include those that direct constitutive expression of a nucleotide sequence in many types of host cells, and those that direct expression of the nucleotide sequence only in certain host cells (e.g., tissue-specific regulatory sequences). It will be appreciated by those skilled in the art that the design of the expression vector can depend on such factors as the choice of the target cell, the level of expression desired, and the like.

[00424] Expression vectors contemplated include, but are not limited to, viral vectors based on vaccinia virus, poliovirus, adenovirus, adeno-associated virus,

SV40, herpes simplex virus, human immunodeficiency virus, retrovirus (e.g., Murine Leukemia Virus, spleen necrosis virus, and vectors derived from retroviruses such as Rous Sarcoma Virus, Harvey Sarcoma Virus, avian leukosis virus, a lentivirus, human immunodeficiency virus, myeloproliferative sarcoma virus, and mammary tumor virus) and other recombinant vectors. Other vectors contemplated for eukaryotic target cells include, but are not limited to, the vectors pXT1, pSG5, pSVK3, pBPV, pMSG, and pSVLSV40 (Pharmacia). Additional vectors contemplated for eukaryotic target cells include, but are not limited to, the vectors pCTx-1, pCTx-2, and pCTx-3, which are described in Figures 1A-1C. Other vectors may be used so long as they are compatible with the host cell.

[00425] In some embodiments, a vector comprises one or more transcription and/or translation control elements. Depending on the host/vector system utilized, any of a number of suitable transcription and translation control elements, including constitutive and inducible promoters, transcription enhancer elements, transcription terminators, etc. may be used in the expression vector. In some embodiments, the vector is a self-inactivating vector that either inactivates the viral sequences or the components of the CRISPR machinery or other elements.

[00426] Non-limiting examples of suitable eukaryotic promoters (*i.e.*, promoters functional in a eukaryotic cell) include those from cytomegalovirus (CMV) immediate early, herpes simplex virus (HSV) thymidine kinase, early and late SV40, long terminal repeats (LTRs) from retrovirus, human elongation factor-1 promoter (EF1), a hybrid construct comprising the cytomegalovirus (CMV) enhancer fused to the chicken beta-actin promoter (CAG), murine stem cell virus promoter (MSCV), phosphoglycerate kinase-1 locus promoter (PGK), and mouse metallothionein-I.

[00427] For expressing small RNAs, including guide RNAs used in connection with Cas endonuclease, various promoters such as RNA polymerase III promoters, including for example U6 and H1, can be advantageous. Descriptions of and parameters for enhancing the use of such promoters are known in art, and additional information and approaches are regularly being described; see, e.g., Ma, H. *et al.*, *Molecular Therapy - Nucleic Acids* 3, e161 (2014) doi:10.1038/mtna.2014.12.

[00428] The expression vector may also contain a ribosome binding site for translation initiation and a transcription terminator. The expression vector may also include appropriate sequences for amplifying expression. The expression vector may also include nucleotide sequences encoding non-native tags (e.g., histidine tag, hemagglutinin tag, green fluorescent protein, etc.) that are fused to the site-directed polypeptide, thus resulting in a fusion protein.

[00429] In some embodiments, a promoter is an inducible promoter (e.g., a heat shock promoter, tetracycline-regulated promoter, steroid-regulated promoter, metal-regulated promoter, estrogen receptor-regulated promoter, etc.). In some embodiments, a promoter is a constitutive promoter (e.g., CMV promoter, UBC promoter). In some embodiments, the promoter is a spatially restricted and/or temporally restricted promoter (e.g., a tissue specific promoter, a cell type specific promoter, etc.).

[00430] In some embodiments, the nucleic acid encoding a genome-targeting nucleic acid of the disclosure and/or a site-directed polypeptide are packaged into or on the surface of delivery vehicles for delivery to cells. Delivery vehicles contemplated include, but are not limited to, nanospheres, liposomes, quantum dots, nanoparticles, polyethylene glycol particles, hydrogels, and micelles. As described in the art, a variety of targeting moieties can be used to enhance the preferential interaction of such vehicles with desired cell types or locations.

[00431] Introduction of the complexes, polypeptides, and nucleic acids of the disclosure into cells can occur by viral or bacteriophage infection, transfection, conjugation, protoplast fusion, lipofection, electroporation, nucleofection, calcium phosphate precipitation, polyethyleneimine (PEI)-mediated transfection, DEAE-dextran mediated transfection, liposome-mediated transfection, particle gun technology, calcium phosphate precipitation, direct micro-injection, nanoparticle-mediated nucleic acid delivery, and the like.

[00432] Delivery

[00433] Guide RNA polynucleotides (RNA or DNA) and/or endonuclease polynucleotide(s) (RNA or DNA) can be delivered by viral or non-viral delivery vehicles known in the art. Alternatively, endonuclease polypeptide(s) may be

delivered by non-viral delivery vehicles known in the art, such as electroporation or lipid nanoparticles. In some embodiments, the DNA endonuclease may be delivered as one or more polypeptides, either alone or pre-complexed with one or more guide RNAs, or one or more crRNA together with a tracrRNA.

[00434] Polynucleotides may be delivered by non-viral delivery vehicles including, but not limited to, nanoparticles, liposomes, ribonucleoproteins, positively charged peptides, small molecule RNA-conjugates, aptamer-RNA chimeras, and RNA-fusion protein complexes. Some exemplary non-viral delivery vehicles are described in Peer and Lieberman, *Gene Therapy*, 18: 1127–1133 (2011) (which focuses on non-viral delivery vehicles for siRNA that are also useful for delivery of other polynucleotides).

[00435] Polynucleotides, such as guide RNA, sgRNA, and mRNA encoding an endonuclease, may be delivered to a cell or a patient by a lipid nanoparticle (LNP).

[00436] A LNP refers to any particle having a diameter of less than 1000 nm, 500 nm, 250 nm, 200 nm, 150 nm, 100 nm, 75 nm, 50 nm, or 25 nm. Alternatively, a nanoparticle may range in size from 1-1000 nm, 1-500 nm, 1-250 nm, 25-200 nm, 25-100 nm, 35-75 nm, or 25-60 nm.

[00437] LNPs may be made from cationic, anionic, or neutral lipids. Neutral lipids, such as the fusogenic phospholipid DOPE or the membrane component cholesterol, may be included in LNPs as 'helper lipids' to enhance transfection activity and nanoparticle stability. Limitations of cationic lipids include low efficacy owing to poor stability and rapid clearance, as well as the generation of inflammatory or anti-inflammatory responses.

[00438] LNPs may also be comprised of hydrophobic lipids, hydrophilic lipids, or both hydrophobic and hydrophilic lipids.

[00439] Any lipid or combination of lipids that are known in the art may be used to produce a LNP. Examples of lipids used to produce LNPs are: DOTMA, DOSPA, DOTAP, DMRIE, DC-cholesterol, DOTAP–cholesterol, GAP-DMORIE–DPyPE, and GL67A–DOPE–DMPE–polyethylene glycol (PEG). Examples of cationic lipids are: 98N12-5, C12-200, DLin-KC2-DMA (KC2), DLin-MC3-DMA (MC3), XTC, MD1, and

7C1. Examples of neutral lipids are: DPSC, DPPC, POPC, DOPE, and SM. Examples of PEG-modified lipids are: PEG-DMG, PEG-CerC14, and PEG-CerC20.

[00440] The lipids may be combined in any number of molar ratios to produce a LNP. In addition, the polynucleotide(s) may be combined with lipid(s) in a wide range of molar ratios to produce a LNP.

[00441] As stated previously, the site-directed polypeptide and genome-targeting nucleic acid may each be administered separately to a cell or a patient. On the other hand, the site-directed polypeptide may be pre-complexed with one or more guide RNAs, or one or more crRNA together with a tracrRNA. The pre-complexed material may then be administered to a cell or a patient. Such pre-complexed material is known as a ribonucleoprotein particle (RNP).

[00442] RNA is capable of forming specific interactions with RNA or DNA. While this property is exploited in many biological processes, it also comes with the risk of promiscuous interactions in a nucleic acid-rich cellular environment. One solution to this problem is the formation of ribonucleoprotein particles (RNPs), in which the RNA is pre-complexed with an endonuclease. Another benefit of the RNP is protection of the RNA from degradation.

[00443] The endonuclease in the RNP may be modified or unmodified. Likewise, the gRNA, crRNA, tracrRNA, or sgRNA may be modified or unmodified. Numerous modifications are known in the art and may be used.

[00444] The endonuclease and sgRNA are generally combined in a 1:1 molar ratio. Alternatively, the endonuclease, crRNA and tracrRNA are generally combined in a 1:1:1 molar ratio. However, a wide range of molar ratios may be used to produce a RNP.

[00445] A recombinant adeno-associated virus (AAV) vector may be used for delivery. Techniques to produce rAAV particles, in which an AAV genome to be packaged that includes the polynucleotide to be delivered, rep and cap genes, and helper virus functions are provided to a cell are standard in the art. Production of rAAV requires that the following components are present within a single cell (denoted herein as a packaging cell): a rAAV genome, AAV rep and cap genes separate from (*i.e.*, not in) the rAAV genome, and helper virus functions. The AAV

rep and cap genes may be from any AAV serotype for which recombinant virus can be derived, and may be from a different AAV serotype than the rAAV genome ITRs, including, but not limited to, AAV serotypes AAV-1, AAV-2, AAV-3, AAV-4, AAV-5, AAV-6, AAV-7, AAV-8, AAV-9, AAV-10, AAV-11, AAV-12, AAV-13 and AAV rh.74. Production of pseudotyped rAAV is disclosed in, for example, international patent application publication number WO 01/83692. See Table 2.

Table 2

AAV Serotype	Genbank Accession No.
AAV-1	NC_002077.1
AAV-2	NC_001401.2
AAV-3	NC_001729.1
AAV-3B	AF028705.1
AAV-4	NC_001829.1
AAV-5	NC_006152.1
AAV-6	AF028704.1
AAV-7	NC_006260.1
AAV-8	NC_006261.1
AAV-9	AX753250.1
AAV-10	AY631965.1
AAV-11	AY631966.1
AAV-12	DQ813647.1
AAV-13	EU285562.1

[00446] A method of generating a packaging cell involves creating a cell line that stably expresses all of the necessary components for AAV particle production. For example, a plasmid (or multiple plasmids) comprising a rAAV genome lacking AAV rep and cap genes, AAV rep and cap genes separate from the rAAV genome, and

a selectable marker, such as a neomycin resistance gene, are integrated into the genome of a cell. AAV genomes have been introduced into bacterial plasmids by procedures such as GC tailing (Samulski *et al.*, 1982, Proc. Natl. Acad. Sci. USA, 79:2077-2081), addition of synthetic linkers containing restriction endonuclease cleavage sites (Laughlin *et al.*, 1983, Gene, 23:65-73) or by direct, blunt-end ligation (Senapathy & Carter, 1984, J. Biol. Chem., 259:4661-4666). The packaging cell line is then infected with a helper virus, such as adenovirus. The advantages of this method are that the cells are selectable and are suitable for large-scale production of rAAV. Other examples of suitable methods employ adenovirus or baculovirus, rather than plasmids, to introduce rAAV genomes and/or rep and cap genes into packaging cells.

[00447] General principles of rAAV production are reviewed in, for example, Carter, 1992, Current Opinions in Biotechnology, 1533-539; and Muzyczka, 1992, Curr. Topics in Microbial. and Immunol., 158:97-129). Various approaches are described in Ratschin *et al.*, Mol. Cell. Biol. 4:2072 (1984); Hermonat *et al.*, Proc. Natl. Acad. Sci. USA, 81:6466 (1984); Tratschin *et al.*, Mol. Cell. Biol. 5:3251 (1985); McLaughlin *et al.*, J. Virol., 62:1963 (1988); and Lebkowski *et al.*, 1988 Mol. Cell. Biol., 7:349 (1988). Samulski *et al.* (1989, J. Virol., 63:3822-3828); U.S. Patent No. 5,173,414; WO 95/13365 and corresponding U.S. Patent No. 5,658,776 ; WO 95/13392; WO 96/17947; PCT/US98/18600; WO 97/09441 (PCT/US96/14423); WO 97/08298 (PCT/US96/13872); WO 97/21825 (PCT/US96/20777); WO 97/06243 (PCT/FR96/01064); WO 99/11764; Perrin *et al.* (1995) Vaccine 13:1244-1250; Paul *et al.* (1993) Human Gene Therapy 4:609-615; Clark *et al.* (1996) Gene Therapy 3:1124-1132; U.S. Patent. No. 5,786,211; U.S. Patent No. 5,871,982; and U.S. Patent. No. 6,258,595.

[00448] AAV vector serotypes can be matched to target cell types. For example, the following exemplary cell types may be transduced by the indicated AAV serotypes among others. See Table 3.

Table 3

Tissue/Cell Type	Serotype
Liver	AAV3, AAV5, AAV8, AAV9
Skeletal muscle	AAV1, AAV7, AAV6, AAV8, AAV9
Central nervous system	AAV5, AAV1, AAV4
RPE	AAV5, AAV4
Photoreceptor cells	AAV5
Lung	AAV9
Heart	AAV8
Pancreas	AAV8
Kidney	AAV2, AAV8

[00449] In addition to adeno-associated viral vectors, other viral vectors can be used. Such viral vectors include, but are not limited to, lentivirus, alphavirus, enterovirus, pestivirus, baculovirus, herpesvirus, Epstein Barr virus, papovavirus, poxvirus, vaccinia virus, and herpes simplex virus.

[00450] In some embodiments, Cas9 mRNA, sgRNA targeting one or two loci in SERPINA1 genes, and donor DNA are each separately formulated into lipid nanoparticles, or are all co-formulated into one lipid nanoparticle, or co-formulated into two or more lipid nanoparticles.

[00451] In some embodiments, Cas9 mRNA is formulated in a lipid nanoparticle, while sgRNA and donor DNA are delivered in an AAV vector. In some embodiments, Cas9 mRNA and sgRNA are co-formulated in a lipid nanoparticle, while donor DNA is delivered in an AAV vector.

[00452] Options are available to deliver the Cas9 nuclease as a DNA plasmid, as mRNA or as a protein. The guide RNA can be expressed from the same DNA, or can also be delivered as an RNA. The RNA can be chemically modified to alter or improve its half-life, or decrease the likelihood or degree of immune response. The

endonuclease protein can be complexed with the gRNA prior to delivery. Viral vectors allow efficient delivery; split versions of Cas9 and smaller orthologs of Cas9 can be packaged in AAV, as can donors for HDR. A range of non-viral delivery methods also exist that can deliver each of these components, or non-viral and viral methods can be employed in tandem. For example, nano-particles can be used to deliver the protein and guide RNA, while AAV can be used to deliver a donor DNA.

[00453] Exosomes

[00454] Exosomes, a type of microvesicle bound by phospholipid bilayer, can be used to deliver nucleic acids to specific tissue. Many different types of cells within the body naturally secrete exosomes. Exosomes form within the cytoplasm when endosomes invaginate and form multivesicular-endosomes (MVE). When the MVE fuses with the cellular membrane, the exosomes are secreted in the extracellular space. Ranging between 30-120nm in diameter, exosomes can shuttle various molecules from one cell to another in a form of cell-to-cell communication. Cells that naturally produce exosomes, such as mast cells, can be genetically altered to produce exosomes with surface proteins that target specific tissues, alternatively exosomes can be isolated from the bloodstream. Specific nucleic acids can be placed within the engineered exosomes with electroporation. When introduced systemically, the exosomes can deliver the nucleic acids to the specific target tissue.

[00455] Genetically Modified Cells

[00456] The term "genetically modified cell" refers to a cell that comprises at least one genetic modification introduced by genome editing (e.g., using the CRISPR/Cas system). In some *ex vivo* embodiments herein, the genetically modified cell is a genetically modified progenitor cell. In some *in vivo* embodiments herein, the genetically modified cell is a genetically modified liver cell. A genetically modified cell comprising an exogenous genome-targeting nucleic acid and/or an exogenous nucleic acid encoding a genome-targeting nucleic acid is contemplated herein.

[00457] The term "control treated population" describes a population of cells that has been treated with identical media, viral induction, nucleic acid sequences,

temperature, confluency, flask size, pH, etc., with the exception of the addition of the genome editing components. Any method known in the art can be used to measure restoration of SERPINA1 gene or protein expression or activity, for example, Western Blot analysis of the AAT protein or quantifying SERPINA1 mRNA.

[00458] The term "isolated cell" refers to a cell that has been removed from an organism in which it was originally found, or a descendant of such a cell. Optionally, the cell has been cultured *in vitro*, e.g., under defined conditions or in the presence of other cells. Optionally, the cell is later introduced into a second organism or re-introduced into the organism from which it (or the cell from which it is descended) was isolated.

[00459] The term "isolated population" with respect to an isolated population of cells refers to a population of cells that has been removed and separated from a mixed or heterogeneous population of cells. In some embodiments, an isolated population is a substantially pure population of cells, as compared to the heterogeneous population from which the cells were isolated or enriched. In some embodiments, the isolated population is an isolated population of human progenitor cells, e.g., a substantially pure population of human progenitor cells, as compared to a heterogeneous population of cells comprising human progenitor cells and cells from which the human progenitor cells were derived.

[00460] The term "substantially enhanced," with respect to a particular cell population, refers to a population of cells in which the occurrence of a particular type of cell is increased relative to pre-existing or reference levels, by at least 2-fold, at least 3-, at least 4-, at least 5-, at least 6-, at least 7-, at least 8-, at least 9, at least 10-, at least 20-, at least 50-, at least 100-, at least 400-, at least 1000-, at least 5000-, at least 20000-, at least 100000- or more fold depending, e.g., on the desired levels of such cells for ameliorating AATD.

[00461] The term "substantially enriched" with respect to a particular cell population, refers to a population of cells that is at least about 10%, about 20%, about 30%, about 40%, about 50%, about 60%, about 70% or more with respect to the cells making up a total cell population.

[00462] The terms "substantially enriched" or "substantially pure" with respect to a particular cell population, refers to a population of cells that is at least about 75%, at least about 85%, at least about 90%, or at least about 95% pure, with respect to the cells making up a total cell population. That is, the terms "substantially pure" or "essentially purified," with regard to a population of progenitor cells, refers to a population of cells that contain fewer than about 20%, about 15%, about 10%, about 9%, about 8%, about 7%, about 6%, about 5%, about 4%, about 3%, about 2%, about 1%, or less than 1%, of cells that are not progenitor cells as defined by the terms herein.

[00463] Differentiation of genome edited iPSCs into hepatocytes

[00464] Another step of the *ex vivo* methods of the invention involves differentiating the genome edited iPSCs into hepatocytes. The differentiating step may be performed according to any method known in the art. For example, hiPSC are differentiated into definitive endoderm using various treatments, including activin and B27 supplement (Life Technology). The definitive endoderm is further differentiated into hepatocyte, the treatment includes: FGF4, HGF, BMP2, BMP4, Oncostatin M, Dexametason, etc. (Duan et al, STEM CELLS; 2010;28:674–686, Ma et al, STEM CELLS TRANSLATIONAL MEDICINE 2013;2:409–419).

[00465] Differentiation of genome edited mesenchymal stem cells into hepatocytes

[00466] Another step of the *ex vivo* methods of the invention involves differentiating the genome edited mesenchymal stem cells into hepatocytes. The differentiating step may be performed according to any method known in the art. For example, hMSC are treated with various factors and hormones, including insulin, transferrin, FGF4, HGF, bile acids (Sawitza I et al, Sci Rep. 2015; 5: 13320).

[00467] Implanting cells into patients

[00468] Another step of the *ex vivo* methods of the invention involves implanting the hepatocytes into patients. This implanting step may be accomplished using any method of implantation known in the art. For example, the genetically modified cells

may be injected directly in the patient's liver or otherwise administered to the patient.

[00469] Another step of the *ex vivo* methods of the invention involves implanting the progenitor cells or primary hepatocytes into patients. This implanting step may be accomplished using any method of implantation known in the art. For example, the genetically modified cells may be injected directly in the patient's liver or otherwise administered to the patient. The genetically modified cells may be purified *ex vivo* using a selected marker.

[00470] Pharmaceutically Acceptable Carriers

[00471] The *ex vivo* methods of administering progenitor cells to a subject contemplated herein involve the use of therapeutic compositions comprising progenitor cells.

[00472] Therapeutic compositions contain a physiologically tolerable carrier together with the cell composition, and optionally at least one additional bioactive agent as described herein, dissolved or dispersed therein as an active ingredient. In some embodiments, the therapeutic composition is not substantially immunogenic when administered to a mammal or human patient for therapeutic purposes, unless so desired.

[00473] In general, the progenitor cells described herein are administered as a suspension with a pharmaceutically acceptable carrier. One of skill in the art will recognize that a pharmaceutically acceptable carrier to be used in a cell composition will not include buffers, compounds, cryopreservation agents, preservatives, or other agents in amounts that substantially interfere with the viability of the cells to be delivered to the subject. A formulation comprising cells can include e.g., osmotic buffers that permit cell membrane integrity to be maintained, and optionally, nutrients to maintain cell viability or enhance engraftment upon administration. Such formulations and suspensions are known to those of skill in the art and/or can be adapted for use with the progenitor cells, as described herein, using routine experimentation.

[00474] A cell composition can also be emulsified or presented as a liposome composition, provided that the emulsification procedure does not adversely affect

cell viability. The cells and any other active ingredient can be mixed with excipients that are pharmaceutically acceptable and compatible with the active ingredient, and in amounts suitable for use in the therapeutic methods described herein.

[00475] Additional agents included in a cell composition can include pharmaceutically acceptable salts of the components therein. Pharmaceutically acceptable salts include the acid addition salts (formed with the free amino groups of the polypeptide) that are formed with inorganic acids, such as, for example, hydrochloric or phosphoric acids, or such organic acids as acetic, tartaric, mandelic and the like. Salts formed with the free carboxyl groups can also be derived from inorganic bases, such as, for example, sodium, potassium, ammonium, calcium or ferric hydroxides, and such organic bases as isopropylamine, trimethylamine, 2-ethylamino ethanol, histidine, procaine and the like.

[00476] Physiologically tolerable carriers are well known in the art. Exemplary liquid carriers are sterile aqueous solutions that contain no materials in addition to the active ingredients and water, or contain a buffer such as sodium phosphate at physiological pH value, physiological saline or both, such as phosphate-buffered saline. Still further, aqueous carriers can contain more than one buffer salt, as well as salts such as sodium and potassium chlorides, dextrose, polyethylene glycol and other solutes. Liquid compositions can also contain liquid phases in addition to and to the exclusion of water. Exemplary of such additional liquid phases are glycerin, vegetable oils such as cottonseed oil, and water-oil emulsions. The amount of an active compound used in the cell compositions that is effective in the treatment of a particular disorder or condition will depend on the nature of the disorder or condition, and can be determined by standard clinical techniques.

[00477] Administration & Efficacy

[00478] The terms "administering," "introducing" and "transplanting" are used interchangeably in the context of the placement of cells, e.g., progenitor cells, into a subject, by a method or route that results in at least partial localization of the introduced cells at a desired site, such as a site of injury or repair, such that a desired effect(s) is produced. The cells e.g., progenitor cells, or their differentiated progeny can be administered by any appropriate route that results in delivery to a

desired location in the subject where at least a portion of the implanted cells or components of the cells remain viable. The period of viability of the cells after administration to a subject can be as short as a few hours, e.g., twenty-four hours, to a few days, to as long as several years, or even the life time of the patient, i.e., long-term engraftment. For example, in some embodiments described herein, an effective amount of myogenic progenitor cells is administered via a systemic route of administration, such as an intraperitoneal or intravenous route.

[00479] The terms “individual”, “subject,” “host” and “patient” are used interchangeably herein and refer to any subject for whom diagnosis, treatment or therapy is desired. In some embodiments, the subject is a mammal. In some embodiments, the subject is a human being.

[00480] When provided prophylactically, progenitor cells described herein can be administered to a subject in advance of any symptom of AATD, e.g., prior to the development of emphysema, airflow obstruction, chronic bronchitis, or liver disease. Accordingly, the prophylactic administration of a liver progenitor cell population serves to prevent AATD.

[00481] When provided therapeutically, liver progenitor cells are provided at (or after) the onset of a symptom or indication of AATD, e.g., upon the onset of lung or liver disease.

[00482] In some embodiments described herein, the liver progenitor cell population being administered according to the methods described herein comprises allogeneic liver progenitor cells obtained from one or more donors. “Allogeneic” refers to a liver progenitor cell or biological samples comprising liver progenitor cells or biological samples obtained from one or more different donors of the same species, where the genes at one or more loci are not identical. For example, a liver progenitor cell population being administered to a subject can be derived from one more unrelated donor subjects, or from one or more non-identical siblings. In some embodiments, syngeneic liver progenitor cell populations can be used, such as those obtained from genetically identical animals, or from identical twins. In other embodiments, the liver progenitor cells are autologous cells; that is,

the liver progenitor cells are obtained or isolated from a subject and administered to the same subject, *i.e.*, the donor and recipient are the same.

[00483] In one embodiment, the term "effective amount" refers to the amount of a population of progenitor cells or their progeny needed to prevent or alleviate at least one or more signs or symptoms of AATD, and relates to a sufficient amount of a composition to provide the desired effect, *e.g.*, to treat a subject having AATD. The term "therapeutically effective amount" therefore refers to an amount of progenitor cells or a composition comprising progenitor cells that is sufficient to promote a particular effect when administered to a typical subject, such as one who has or is at risk for AATD. An effective amount would also include an amount sufficient to prevent or delay the development of a symptom of the disease, alter the course of a symptom of the disease (for example but not limited to, slow the progression of a symptom of the disease), or reverse a symptom of the disease. It is understood that for any given case, an appropriate "effective amount" can be determined by one of ordinary skill in the art using routine experimentation.

[00484] For use in the various embodiments described herein, an effective amount of progenitor cells comprises at least 10^2 progenitor cells, at least 5×10^2 progenitor cells, at least 10^3 progenitor cells, at least 5×10^3 progenitor cells, at least 10^4 progenitor cells, at least 5×10^4 progenitor cells, at least 10^5 progenitor cells, at least 2×10^5 progenitor cells, at least 3×10^5 progenitor cells, at least 4×10^5 progenitor cells, at least 5×10^5 progenitor cells, at least 6×10^5 progenitor cells, at least 7×10^5 progenitor cells, at least 8×10^5 progenitor cells, at least 9×10^5 progenitor cells, at least 1×10^6 progenitor cells, at least 2×10^6 progenitor cells, at least 3×10^6 progenitor cells, at least 4×10^6 progenitor cells, at least 5×10^6 progenitor cells, at least 6×10^6 progenitor cells, at least 7×10^6 progenitor cells, at least 8×10^6 progenitor cells, at least 9×10^6 progenitor cells, or multiples thereof. The progenitor cells are derived from one or more donors, or are obtained from an autologous source. In some embodiments described herein, the progenitor cells are expanded in culture prior to administration to a subject in need thereof.

[00485] Modest and incremental increases in the levels of functional AAT expressed in cells of patients having AATD can be beneficial for ameliorating one or more symptoms of the disease, for increasing long-term survival, and/or for

reducing side effects associated with other treatments. Upon administration of such cells to human patients, the presence of liver progenitors that are producing increased levels of functional AAT is beneficial. In some embodiments, effective treatment of a subject gives rise to at least about 3%, 5% or 7% functional AAT relative to total AAT in the treated subject. In some embodiments, functional AAT will be at least about 10% of total AAT. In some embodiments, functional AAT will be at least about 20% to 30% of total AAT. Similarly, the introduction of even relatively limited subpopulations of cells having significantly elevated levels of functional AAT can be beneficial in various patients because in some situations normalized cells will have a selective advantage relative to diseased cells. However, even modest levels of liver progenitors with elevated levels of functional AAT can be beneficial for ameliorating one or more aspects of AATD in patients. In some embodiments, about 10%, about 20%, about 30%, about 40%, about 50%, about 60%, about 70%, about 80%, about 90% or more of the liver progenitors in patients to whom such cells are administered are producing increased levels of functional AAT.

[00486] "Administered" refers to the delivery of a progenitor cell composition into a subject by a method or route that results in at least partial localization of the cell composition at a desired site. A cell composition can be administered by any appropriate route that results in effective treatment in the subject, *i.e.* administration results in delivery to a desired location in the subject where at least a portion of the composition delivered, *i.e.* at least 1×10^4 cells are delivered to the desired site for a period of time. Modes of administration include injection, infusion, instillation, or ingestion. "Injection" includes, without limitation, intravenous, intramuscular, intra-arterial, intrathecal, intraventricular, intracapsular, intraorbital, intracardiac, intradermal, intraperitoneal, transtracheal, subcutaneous, subcuticular, intraarticular, sub capsular, subarachnoid, intraspinal, intracerebro spinal, and intrasternal injection and infusion. In some embodiments, the route is intravenous. For the delivery of cells, administration by injection or infusion can be made.

[00487] In one embodiment, the cells are administered systemically. The phrases "systemic administration," "administered systemically", "peripheral administration" and "administered peripherally" refer to the administration of a

population of progenitor cells other than directly into a target site, tissue, or organ, such that it enters, instead, the subject's circulatory system and, thus, is subject to metabolism and other like processes.

[00488] The efficacy of a treatment comprising a composition for the treatment of AATD can be determined by the skilled clinician. However, a treatment is considered "effective treatment," if any one or all of the signs or symptoms of, as but one example, levels of functional AAT are altered in a beneficial manner (e.g., increased by at least 10%), or other clinically accepted symptoms or markers of disease are improved or ameliorated. Efficacy can also be measured by failure of an individual to worsen as assessed by hospitalization or need for medical interventions (e.g., chronic obstructive pulmonary disease, or progression of the disease is halted or at least slowed). Methods of measuring these indicators are known to those of skill in the art and/or described herein. Treatment includes any treatment of a disease in an individual or an animal (some non-limiting examples include a human, or a mammal) and includes: (1) inhibiting the disease, e.g., arresting, or slowing the progression of symptoms; or (2) relieving the disease, e.g., causing regression of symptoms; and (3) preventing or reducing the likelihood of the development of symptoms.

[00489] The treatment according to the present invention ameliorates one or more symptoms associated with AATD by increasing the amount of functional AAT in the individual. Early signs typically associated with AATD, include for example, emphysema, airflow obstruction, chronic bronchitis, or liver disease (obstructive jaundice and increased aminotransferase levels in early life, and fibrosis and cirrhosis in the absence of a history of liver complications during childhood).

[00490] Kits

[00491] The present disclosure provides kits for carrying out the methods of the invention. A kit can include one or more of a genome-targeting nucleic acid, a polynucleotide encoding a genome-targeting nucleic acid, a site-directed polypeptide, a polynucleotide encoding a site-directed polypeptide, and/or any nucleic acid or proteinaceous molecule necessary to carry out the embodiments of the methods of the invention, or any combination thereof.

[00492] In some embodiments, a kit comprises: (1) a vector comprising a nucleotide sequence encoding a genome-targeting nucleic acid, and (2) the site-directed polypeptide or a vector comprising a nucleotide sequence encoding the site-directed polypeptide and (3) a reagent for reconstitution and/or dilution of the vector(s) and or polypeptide.

[00493] In some embodiments, a kit comprises: (1) a vector comprising (i) a nucleotide sequence encoding a genome-targeting nucleic acid, and (ii) a nucleotide sequence encoding the site-directed polypeptide and (2) a reagent for reconstitution and/or dilution of the vector.

[00494] In some embodiments of any of the above kits, the kit comprises a single-molecule guide genome-targeting nucleic acid. In some embodiments of any of the above kits, the kit comprises a double-molecule genome-targeting nucleic acid. In some embodiments of any of the above kits, the kit comprises two or more double-molecule guides or single-molecule guides. In some embodiments, the kits comprise a vector that encodes the nucleic acid targeting nucleic acid.

[00495] In some embodiments of any of the above kits, the kit can further comprise a polynucleotide to be inserted to effect the desired genetic modification.

[00496] Components of a kit may be in separate containers, or combined in a single container.

[00497] In some embodiments, a kit described above further comprises one or more additional reagents, where such additional reagents are selected from a buffer, a buffer for introducing a polypeptide or polynucleotide into a cell, a wash buffer, a control reagent, a control vector, a control RNA polynucleotide, a reagent for *in vitro* production of the polypeptide from DNA, adaptors for sequencing and the like. A buffer can be a stabilization buffer, a reconstituting buffer, a diluting buffer, or the like. In some embodiments, a kit can also include one or more components that may be used to facilitate or enhance the on-target binding or the cleavage of DNA by the endonuclease, or improve the specificity of targeting.

[00498] In addition to the above-mentioned components, a kit can further include instructions for using the components of the kit to practice the methods. The instructions for practicing the methods are generally recorded on a suitable

recording medium. For example, the instructions may be printed on a substrate, such as paper or plastic, etc. The instructions may be present in the kits as a package insert, in the labeling of the container of the kit or components thereof (*i.e.*, associated with the packaging or subpackaging), etc. The instructions can be present as an electronic storage data file present on a suitable computer readable storage medium, *e.g.* CD-ROM, diskette, flash drive, etc. In some instances, the actual instructions are not present in the kit, but means for obtaining the instructions from a remote source (*e.g.* via the Internet), can be provided. An example of this embodiment is a kit that includes a web address where the instructions can be viewed and/or from which the instructions can be downloaded. As with the instructions, this means for obtaining the instructions can be recorded on a suitable substrate.

[00499] Guide RNA Formulation

[00500] Guide RNAs of the invention are formulated with pharmaceutically acceptable excipients such as carriers, solvents, stabilizers, adjuvants, diluents, etc., depending upon the particular mode of administration and dosage form. Guide RNA compositions are generally formulated to achieve a physiologically compatible pH, and range from a pH of about 3 to a pH of about 11, about pH 3 to about pH 7, depending on the formulation and route of administration. In some embodiments, the pH is adjusted to a range from about pH 5.0 to about pH 8. In some embodiments, the compositions comprise a therapeutically effective amount of at least one compound as described herein, together with one or more pharmaceutically acceptable excipients. Optionally, the compositions comprise a combination of the compounds described herein, or may include a second active ingredient useful in the treatment or prevention of bacterial growth (for example and without limitation, anti-bacterial or anti-microbial agents), or may include a combination of reagents of the invention.

[00501] Suitable excipients include, for example, carrier molecules that include large, slowly metabolized macromolecules such as proteins, polysaccharides, polylactic acids, polyglycolic acids, polymeric amino acids, amino acid copolymers, and inactive virus particles. Other exemplary excipients include antioxidants (for example and without limitation, ascorbic acid), chelating agents (for example and

without limitation, EDTA), carbohydrates (for example and without limitation, dextrin, hydroxyalkylcellulose, and hydroxyalkylmethylcellulose), stearic acid, liquids (for example and without limitation, oils, water, saline, glycerol and ethanol), wetting or emulsifying agents, pH buffering substances, and the like.

[00502] Other Possible Therapeutic Approaches

[00503] Gene editing can be conducted using nucleases engineered to target specific sequences. To date there are four major types of nucleases: meganucleases and their derivatives, zinc finger nucleases (ZFNs), transcription activator like effector nucleases (TALENs), and CRISPR-Cas9 nuclease systems. The nuclease platforms vary in difficulty of design, targeting density and mode of action, particularly as the specificity of ZFNs and TALENs is through protein-DNA interactions, while RNA-DNA interactions primarily guide Cas9. Cas9 cleavage also requires an adjacent motif, the PAM, which differs between different CRISPR systems. Cas9 from *Streptococcus pyogenes* cleaves using a NRG PAM, CRISPR from *Neisseria meningitidis* can cleave at sites with PAMs including NNNNGATT, NNNNGTTT and NNNNGCTT. A number of other Cas9 orthologs target protospacer adjacent to alternative PAMs.

[00504] CRISPR endonucleases, such as Cas9, can be used in the methods of the invention. However, the teachings described herein, such as therapeutic target sites, could be applied to other forms of endonucleases, such as ZFNs, TALENs, HEs, or MegaTALs, or using combinations of nucleases. However, in order to apply the teachings of the present invention to such endonucleases, one would need to, among other things, engineer proteins directed to the specific target sites.

[00505] Additional binding domains can be fused to the Cas9 protein to increase specificity. The target sites of these constructs would map to the identified gRNA specified site, but would require additional binding motifs, such as for a zinc finger domain. In the case of Mega-TAL, a meganuclease can be fused to a TALE DNA-binding domain. The meganuclease domain can increase specificity and provide the cleavage. Similarly, inactivated or dead Cas9 (dCas9) can be fused to a cleavage domain and require the sgRNA/Cas9 target site and adjacent binding site for the fused DNA-binding domain. This likely would require some protein

engineering of the dCas9, in addition to the catalytic inactivation, to decrease binding without the additional binding site.

[00506] Zinc Finger Nucleases

[00507] Zinc finger nucleases (ZFNs) are modular proteins comprised of an engineered zinc finger DNA binding domain linked to the catalytic domain of the type II endonuclease FokI. Because FokI functions only as a dimer, a pair of ZFNs must be engineered to bind to cognate target “half-site” sequences on opposite DNA strands and with precise spacing between them to enable the catalytically active FokI dimer to form. Upon dimerization of the FokI domain, which itself has no sequence specificity per se, a DNA double-strand break is generated between the ZFN half-sites as the initiating step in genome editing.

[00508] The DNA binding domain of each ZFN is typically comprised of 3-6 zinc fingers of the abundant Cys2-His2 architecture, with each finger primarily recognizing a triplet of nucleotides on one strand of the target DNA sequence, although cross-strand interaction with a fourth nucleotide also can be important. Alteration of the amino acids of a finger in positions that make key contacts with the DNA alters the sequence specificity of a given finger. Thus, a four-finger zinc finger protein will selectively recognize a 12 bp target sequence, where the target sequence is a composite of the triplet preferences contributed by each finger, although triplet preference can be influenced to varying degrees by neighboring fingers. An important aspect of ZFNs is that they can be readily re-targeted to almost any genomic address simply by modifying individual fingers, although considerable expertise is required to do this well. In most applications of ZFNs, proteins of 4-6 fingers are used, recognizing 12-18 bp respectively. Hence, a pair of ZFNs will typically recognize a combined target sequence of 24-36 bp, not including the 5-7 bp spacer between half-sites. The binding sites can be separated further with larger spacers, including 15-17 bp. A target sequence of this length is likely to be unique in the human genome, assuming repetitive sequences or gene homologs are excluded during the design process. Nevertheless, the ZFN protein-DNA interactions are not absolute in their specificity so off-target binding and cleavage events do occur, either as a heterodimer between the two ZFNs, or as a homodimer of one or the other of the ZFNs. The latter possibility has been

effectively eliminated by engineering the dimerization interface of the FokI domain to create “plus” and “minus” variants, also known as obligate heterodimer variants, which can only dimerize with each other, and not with themselves. Forcing the obligate heterodimer prevents formation of the homodimer. This has greatly enhanced specificity of ZFNs, as well as any other nuclease that adopts these FokI variants.

[00509] A variety of ZFN-based systems have been described in the art, modifications thereof are regularly reported, and numerous references describe rules and parameters that are used to guide the design of ZFNs; see, e.g., Segal *et al.*, *Proc Natl Acad Sci USA* 96(6):2758-63 (1999); Dreier B *et al.*, *J Mol Biol.* 303(4):489-502 (2000); Liu Q *et al.*, *J Biol Chem.* 277(6):3850-6 (2002); Dreier *et al.*, *J Biol Chem* 280(42):35588-97 (2005); and Dreier *et al.*, *J Biol Chem.* 276(31):29466-78 (2001).

[00510] Transcription Activator-Like Effector Nucleases (TALENs)

[00511] TALENs represent another format of modular nucleases whereby, as with ZFNs, an engineered DNA binding domain is linked to the FokI nuclease domain, and a pair of TALENs operate in tandem to achieve targeted DNA cleavage. The major difference from ZFNs is the nature of the DNA binding domain and the associated target DNA sequence recognition properties. The TALEN DNA binding domain derives from TALE proteins, which were originally described in the plant bacterial pathogen *Xanthomonas sp.* TALEs are comprised of tandem arrays of 33-35 amino acid repeats, with each repeat recognizing a single basepair in the target DNA sequence that is typically up to 20 bp in length, giving a total target sequence length of up to 40 bp. Nucleotide specificity of each repeat is determined by the repeat variable diresidue (RVD), which includes just two amino acids at positions 12 and 13. The bases guanine, adenine, cytosine and thymine are predominantly recognized by the four RVDs: Asn-Asn, Asn-Ile, His-Asp and Asn-Gly, respectively. This constitutes a much simpler recognition code than for zinc fingers, and thus represents an advantage over the latter for nuclease design. Nevertheless, as with ZFNs, the protein-DNA interactions of TALENs are not absolute in their specificity, and TALENs have also benefitted from the use of obligate heterodimer variants of the FokI domain to reduce off-target activity.

[00512] Additional variants of the FokI domain have been created that are deactivated in their catalytic function. If one half of either a TALEN or a ZFN pair contains an inactive FokI domain, then only single-strand DNA cleavage (nicking) will occur at the target site, rather than a DSB. The outcome is comparable to the use of CRISPR/Cas9 “nickase” mutants in which one of the Cas9 cleavage domains has been deactivated. DNA nicks can be used to drive genome editing by HDR, but at lower efficiency than with a DSB. The main benefit is that off-target nicks are quickly and accurately repaired, unlike the DSB, which is prone to NHEJ-mediated mis-repair.

[00513] A variety of TALEN-based systems have been described in the art, and modifications thereof are regularly reported; see, e.g., Boch, *Science* 326(5959):1509-12 (2009); Mak *et al.*, *Science* 335(6069):716-9 (2012); and Moscou *et al.*, *Science* 326(5959):1501 (2009). The use of TALENs based on the “Golden Gate” platform, or cloning scheme, has been described by multiple groups; see, e.g., Cermak *et al.*, *Nucleic Acids Res.* 39(12):e82 (2011); Li *et al.*, *Nucleic Acids Res.* 39(14):6315-25(2011); Weber *et al.*, *PLoS One.* 6(2):e16765 (2011); Wang *et al.*, *J Genet Genomics* 41(6):339-47, Epub 2014 May 17 (2014); and Cermak T *et al.*, *Methods Mol Biol.* 1239:133-59 (2015).

[00514] Homing Endonucleases

[00515] Homing endonucleases (HEs) are sequence-specific endonucleases that have long recognition sequences (14-44 base pairs) and cleave DNA with high specificity – often at sites unique in the genome. There are at least six known families of HEs as classified by their structure, including LAGLIDADG (SEQ ID NO: 68,299), GIY-YIG, His-Cis box, H-N-H, PD-(D/E)xK, and Vsr-like that are derived from a broad range of hosts, including eukarya, protists, bacteria, archaea, cyanobacteria and phage. As with ZFNs and TALENs, HEs can be used to create a DSB at a target locus as the initial step in genome editing. In addition, some natural and engineered HEs cut only a single strand of DNA, thereby functioning as site-specific nickases. The large target sequence of HEs and the specificity that they offer have made them attractive candidates to create site-specific DSBs.

[00516] A variety of HE-based systems have been described in the art, and modifications thereof are regularly reported; see, e.g., the reviews by Steentoft *et al.*, *Glycobiology* 24(8):663-80 (2014); Belfort and Bonocora, *Methods Mol Biol.* 1123:1-26 (2014); Hafez and Hausner, *Genome* 55(8):553-69 (2012); and references cited therein.

[00517] MegaTAL / Tev-mTALEN / MegaTev

[00518] As further examples of hybrid nucleases, the MegaTAL platform and Tev-mTALEN platform use a fusion of TALE DNA binding domains and catalytically active HEs, taking advantage of both the tunable DNA binding and specificity of the TALE, as well as the cleavage sequence specificity of the HE; see, e.g., Boissel *et al.*, *NAR* 42: 2591-2601 (2014); Kleinstiver *et al.*, *G3* 4:1155-65 (2014); and Boissel and Scharenberg, *Methods Mol. Biol.* 1239: 171-96 (2015).

[00519] In a further variation, the MegaTev architecture is the fusion of a meganuclease (Mega) with the nuclease domain derived from the GIY-YIG homing endonuclease I-TevI (Tev). The two active sites are positioned ~30 bp apart on a DNA substrate and generate two DSBs with non-compatible cohesive ends; see, e.g., Wolfs *et al.*, *NAR* 42, 8816-29 (2014). It is anticipated that other combinations of existing nuclease-based approaches will evolve and be useful in achieving the targeted genome modifications described herein.

[00520] dCas9-FokI and Other Nucleases

[00521] Combining the structural and functional properties of the nuclease platforms described above offers a further approach to genome editing that can potentially overcome some of the inherent deficiencies. As an example, the CRISPR genome editing system typically uses a single Cas9 endonuclease to create a DSB. The specificity of targeting is driven by a 20 or 22 nucleotide sequence in the guide RNA that undergoes Watson-Crick base-pairing with the target DNA (plus an additional 2 bases in the adjacent NAG or NGG PAM sequence in the case of Cas9 from *S. pyogenes*). Such a sequence is long enough to be unique in the human genome, however, the specificity of the RNA/DNA interaction is not absolute, with significant promiscuity sometimes tolerated, particularly in the 5' half of the target sequence, effectively reducing the number of

bases that drive specificity. One solution to this has been to completely deactivate the Cas9 catalytic function – retaining only the RNA-guided DNA binding function – and instead fusing a FokI domain to the deactivated Cas9; see, e.g., Tsai *et al.*, *Nature Biotech* 32: 569-76 (2014); and Guilinger *et al.*, *Nature Biotech*. 32: 577-82 (2014). Because FokI must dimerize to become catalytically active, two guide RNAs are required to tether two Cas9-FokI fusions in close proximity to form the dimer and cleave DNA. This essentially doubles the number of bases in the combined target sites, thereby increasing the stringency of targeting by CRISPR-based systems.

[00522] As further example, fusion of the TALE DNA binding domain to a catalytically active HE, such as I-TevI, takes advantage of both the tunable DNA binding and specificity of the TALE, as well as the cleavage sequence specificity of I-TevI, with the expectation that off-target cleavage may be further reduced.

[00523] Methods and Compositions of the Invention

[00524] Accordingly, the present disclosure relates in particular to the following non-limiting inventions: In a first method, Method 1, the present disclosure provides a method for editing the SERPINA1 gene in a human cell by genome editing, the method comprising the step of introducing into the human cell one or more deoxyribonucleic acid (DNA) endonucleases to effect one or more single-strand breaks (SSBs) or double-strand breaks (DSBs) within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene that results in a permanent deletion, insertion, or correction or modulation of expression or function of one or more mutations or exons within or near or affecting the expression or function of the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene and restoration of alpha-1-antitrypsin (AAT) protein activity.

[00525] In another method, Method 2, the present disclosure provides a method for inserting a SERPINA1 gene in a human cell by genome editing, the method comprising introducing into the human cell one or more deoxyribonucleic acid (DNA) endonucleases to effect one or more single-strand breaks (SSBs) or double-strand breaks (DSBs) within or near a safe harbor locus that results in a permanent

insertion of the SERPINA1 gene or minigene, and results in restoration of AAT activity.

[00526] In another method, Method 3, the present disclosure provides an *ex vivo* method for treating a patient with alpha-1 antitrypsin deficiency (AATD) comprising the steps of: i) creating a patient specific induced pluripotent stem cell (iPSC); ii) editing within or near a SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene of the iPSC, or within or near a safe harbor locus of the iPSC; iii) differentiating the genome edited iPSC into a hepatocyte; and iv) implanting the hepatocyte into the patient.

[00527] In another method, Method 4, the present disclosure provides the method of Method 3, wherein the creating step comprises: a) isolating a somatic cell from the patient; and b) introducing a set of pluripotency-associated genes into the somatic cell to induce the somatic cell to become a pluripotent stem cell.

[00528] In another method, Method 5, the present disclosure provides the method of Method 4, wherein the somatic cell is a fibroblast.

[00529] In another method, Method 6, the present disclosure provides the method of Method 4, wherein the set of pluripotency-associated genes is one or more of the genes selected from the group consisting of OCT4, SOX2, KLF4, Lin28, NANOG and cMYC.

[00530] In another method, Method 7, the present disclosure provides the method of any one of Methods 3-6, wherein the editing step comprises introducing into the iPSC one or more deoxyribonucleic acid (DNA) endonucleases to effect one or more single-strand breaks (SSBs) or double-strand breaks (DSBs) within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene that results in permanent deletion, insertion, or correction or modulation of expression or function of one or more mutations or exons within or near or affecting the expression or function of the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene, or within or near a safe harbor locus that results in permanent insertion of the SERPINA1 or minigene, and restoration of alpha-1-antitrypsin (AAT) protein activity.

[00531] In another method, Method 8, the present disclosure provides the method of Method 7, wherein the safe harbor locus is selected from the group consisting of AAVS1 (PPP1R12C), ALB, Angptl3, ApoC3, ASGR2, CCR5, FIX (F9), G6PC, Gys2, HGD, Lp(a), Pcsk9, Serpina1, TF, and TTR.

[00532] In another method, Method 9, the present disclosure provides the method of any one of Methods 3-8, wherein the differentiating step comprises one or more of the following to differentiate the genome edited iPSC into a hepatocyte: contacting the genome edited iPSC with one or more of activin, B27 supplement, FGF4, HGF, BMP2, BMP4, Oncostatin M, Dexametason.

[00533] In another method, Method 10, the present disclosure provides the method of any one of Methods 3-9, wherein the implanting step comprises implanting the hepatocyte into the patient by transplantation, local injection, or systemic infusion, or combinations thereof.

[00534] In another method, Method 11, the present disclosure provides an *ex vivo* method for treating a patient with alpha-1 antitrypsin deficiency (AATD), the method comprising the steps of: i) performing a biopsy of the patient's liver; ii) isolating a liver specific progenitor cell or primary hepatocyte; iii) editing within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene of the progenitor cell or primary hepatocyte or editing within or near a safe harbor locus of the progenitor cell or primary hepatocyte; and iv) implanting the genome-edited progenitor cell or primary hepatocyte into the patient.

[00535] In another method, Method 12, the present disclosure provides the method of Method 11, wherein the isolating step comprises: perfusion of fresh liver tissues with digestion enzymes, cell differential centrifugation, cell culturing, and combinations thereof.

[00536] In another method, Method 13, the present disclosure provides the method of any one of Methods 11-12, wherein the editing step comprises introducing into the progenitor cell or primary hepatocyte one or more deoxyribonucleic acid (DNA) endonucleases to effect one or more single-strand breaks (SSBs) or double-strand breaks (DSBs) within or near the SERPINA1 gene

or other DNA sequences that encode regulatory elements of the SERPINA1 gene that results in a permanent deletion, insertion, correction, or modulation of expression or function of one or more mutations or exons within or near or affecting the expression or function the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene, or within or near a safe harbor locus that results in permanent insertion of the SERPINA1 gene or minigene, and restoration of alpha-1-antitrypsin (AAT) protein activity.

[00537] In another method, Method 14, the present disclosure provides the method of Method 13, wherein the safe harbor locus is selected from the group consisting of AAVS1 (PPP1R12C), ALB, Angptl3, ApoC3, ASGR2, CCR5, FIX (F9), G6PC, Gys2, HGD, Lp(a), Pcsk9, Serpina1, TF, and TTR.

[00538] In another method, Method 15, the present disclosure provides the method of any one of Methods 11-14, wherein the implanting step comprises implanting the genome-edited progenitor cell or primary hepatocyte into the patient by transplantation, local injection, or systemic infusion, or combinations thereof.

[00539] In another method, Method 16, the present disclosure provides an *ex vivo* method for treating a patient with alpha-1 antitrypsin deficiency (AATD), the method comprising the steps of: i) performing a biopsy of the patient's bone marrow; ii) isolating a mesenchymal stem cell from the patient; iii) editing within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene of the mesenchymal stem cell or editing within or near a safe harbor locus of the mesenchymal stem cell; iv) differentiating the genome-edited mesenchymal stem cell into a hepatocyte; and v) implanting the hepatocyte into the patient.

[00540] In another method, Method 17, the present disclosure provides the method of Method 16, wherein the mesenchymal stem cell is isolated from the patient's bone marrow or peripheral blood.

[00541] In another method, Method 18, the present disclosure provides the method of Method 16, wherein the isolating step comprises: aspiration of bone marrow and isolation of mesenchymal cells by density centrifugation using Percoll™.

[00542] In another method, Method 19, the present disclosure provides the method of any one of Methods 16-18, wherein the editing step comprises introducing into the mesenchymal stem cell one or more deoxyribonucleic acid (DNA) endonucleases to effect one or more single-strand breaks (SSBs) or double-strand breaks (DSBs) within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene that results in permanent deletion, insertion, correction, or modulation of expression or function of one or more mutations or exons within or near or affecting the expression or function of the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene, or within or near a safe harbor locus that results in permanent insertion of the SERPINA1 gene or minigene, and restoration of alpha-1-antitrypsin (AAT) protein activity.

[00543] In another method, Method 20, the present disclosure provides the method of Method 19, wherein the safe harbor locus is selected from the group consisting of AAVS1 (PPP1R12C), ALB, Angptl3, ApoC3, ASGR2, CCR5, FIX (F9), G6PC, Gys2, HGD, Lp(a), Pcsk9, Serpina1, TF, and TTR.

[00544] In another method, Method 21, the present disclosure provides the method of any one of Methods 16-19, wherein the differentiating step comprises one or more of the following to differentiate the genome edited stem cell into a hepatocyte: contacting the genome edited mesenchymal stem cell with one or more of insulin, transferrin, FGF4, HGF, or bile acids.

[00545] In another method, Method 22, the present disclosure provides the method of any one of Methods 16-21, wherein the implanting step comprises implanting the hepatocyte into the patient by transplantation, local injection, or systemic infusion, or combinations thereof.

[00546] In another method, Method 23, the present disclosure provides an *in vivo* method for treating a patient with alpha-1 antitrypsin deficiency (AATD), the method comprising the step of editing the SERPINA1 gene in a cell of the patient, or other DNA sequences that encode regulatory elements of the SERPINA1 gene, or editing within or near a safe harbor locus in a cell of the patient.

[00547] In another method, Method 24, the present disclosure provides the method of Method 23, wherein the editing step comprises introducing into the cell one or more deoxyribonucleic acid (DNA) endonucleases to effect one or more single-strand breaks (SSBs) or double-strand breaks (DSBs) within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene that results in permanent deletion, insertion, or correction or modulation of one or more mutations or exons within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene, or within or near a safe harbor locus that results in permanent insertion of the SERPINA1 gene or minigene, and restoration of alpha-1-antitrypsin (AAT) protein activity.

[00548] In another method, Method 25, the present disclosure provides the method of any one of Methods 1, 2, 7, 13, 19, or 24, wherein the one or more DNA endonucleases is a Cas1, Cas1B, Cas2, Cas3, Cas4, Cas5, Cas6, Cas7, Cas8, Cas9 (also known as Csn1 and Csx12), Cas100, Csy1, Csy2, Csy3, Cse1, Cse2, Csc1, Csc2, Csa5, Csn2, Csm2, Csm3, Csm4, Csm5, Csm6, Cmr1, Cmr3, Cmr4, Cmr5, Cmr6, Csb1, Csb2, Csb3, Csx17, Csx14, Csx10, Csx16, CsaX, Csx3, Csx1, Csx15, Csf1, Csf2, Csf3, Csf4, or Cpf1 endonuclease; or a homolog thereof, recombination of the naturally occurring molecule, codon-optimized, or modified version thereof, and combinations thereof.

[00549] In another method, Method 26, the present disclosure provides the method of Method 25, wherein the method comprises introducing into the cell one or more polynucleotides encoding the one or more DNA endonucleases.

[00550] In another method, Method 27, the present disclosure provides the method of Method 25, wherein the method comprises introducing into the cell one or more ribonucleic acids (RNAs) encoding the one or more DNA endonucleases.

[00551] In another method, Method 28, the present disclosure provides the method of any one of Methods 26 or 27, wherein the one or more polynucleotides or one or more RNAs is one or more modified polynucleotides or one or more modified RNAs, the present disclosure provides the method of

[00552] In another method, Method 29, the present disclosure provides the method of Method 26, wherein the DNA endonuclease is a protein or polypeptide.

[00553] In another method, Method 30, the present disclosure provides the method of The method of any one of the preceding Methods, wherein the method further comprises introducing into the cell one or more guide ribonucleic acids (gRNAs).

[00554] In another method, Method 31, the present disclosure provides the method of Method 30, wherein the one or more gRNAs are single-molecule guide RNA (sgRNAs).

[00555] In another method, Method 32, the present disclosure provides the method of any one of Methods 30-31, wherein the one or more gRNAs or one or more sgRNAs is one or more modified gRNAs or one or more modified sgRNAs.

[00556] In another method, Method 33, the present disclosure provides the method of any one of Methods 30-32, wherein the one or more DNA endonucleases is pre-complexed with one or more gRNAs or one or more sgRNAs.

[00557] In another method, Method 34, the present disclosure provides the method of The method of any one of the preceding Methods, wherein the method further comprises introducing into the cell a polynucleotide donor template comprising at least a portion of the wild-type SERPINA1 gene or minigene or cDNA.

[00558] In another method, Method 35, the present disclosure provides the method of Method 34, wherein the at least a portion of the wild-type SERPINA1 gene or minigene or cDNA is exon 1, exon 2, exon 3, exon 4, exon 5, intronic regions, fragments or combinations thereof, the entire SERPINA1 gene, DNA sequences that encode wild type regulatory elements of the SERPINA1 gene, minigene or cDNA.

[00559] In another method, Method 36, the present disclosure provides the method of any one of Methods 34-35, wherein the donor template is either a single or double stranded polynucleotide.

[00560] In another method, Method 37, the present disclosure provides the method of any one of Methods 34-36, wherein the donor template has homologous arms to the 14q32.13 region.

[00561] In another method, Method 38, the present disclosure provides the method of any one of Methods 1, 2, 7, 13, 19, or 24, wherein the method further comprises introducing into the cell one guide ribonucleic acid (gRNA) and a polynucleotide donor template comprising at least a portion of the wild-type SERPINA1 gene, and wherein the one or more DNA endonucleases is one or more Cas9 endonucleases that effect one double-strand break (DSB) at a DSB locus within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene, or within or near a safe harbor locus that facilitates insertion of a new sequence from the polynucleotide donor template into the chromosomal DNA at the locus or safe harbor locus that results in permanent insertion or correction of a part of the chromosomal DNA of the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene proximal to the locus or safe harbor locus and restoration of AAT protein activity, and wherein the gRNA comprises a spacer sequence that is complementary to a segment of the locus or safe harbor locus.

[00562] In another method, Method 39, the present disclosure provides the method of Method 38, wherein proximal means nucleotides both upstream and downstream of the locus or safe harbor locus.

[00563] In another method, Method 40, the present disclosure provides the method of any one of Methods 1, 2, 7, 13, 19, or 24, wherein the method further comprises introducing into the cell two guide ribonucleic acid (gRNAs) and a polynucleotide donor template comprising at least a portion of the wild-type SERPINA1 gene, and wherein the one or more DNA endonucleases is two or more Cas9 endonucleases that effect a pair of double-strand breaks (DSBs), the first at a 5' DSB locus and the second at a 3' DSB locus, within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene, or within or near a safe harbor locus that facilitates insertion of a new sequence from the polynucleotide donor template into the chromosomal DNA between the 5' DSB locus and the 3' DSB locus that results in permanent insertion or correction of

the chromosomal DNA between the 5' DSB locus and the 3' DSB locus within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene, or within or near a safe harbor locus and restoration of AAT protein activity, and wherein the first guide RNA comprises a spacer sequence that is complementary to a segment of the 5' DSB locus and the second guide RNA comprises a spacer sequence that is complementary to a segment of the 3' DSB locus.

[00564] In another method, Method 41, the present disclosure provides the method of any one of Methods 38-40, wherein the one or two gRNAs are one or two single-molecule guide RNA (sgRNAs).

[00565] In another method, Method 42, the present disclosure provides the method of any one of Methods 38-41, wherein the one or two gRNAs or one or two sgRNAs is one or two modified gRNAs or one or two modified sgRNAs.

[00566] In another method, Method 43, the present disclosure provides the method of any one of Methods 38-42, wherein the one or more DNA endonucleases is pre-complexed with one or two gRNAs or one or two sgRNAs.

[00567] In another method, Method 44, the present disclosure provides the method of any one of Methods 38-43, wherein the at least a portion of the wild-type SERPINA1 gene or minigene or cDNA is exon 1, exon 2, exon 3, exon 4, exon 5, intronic regions, fragments or combinations thereof, the entire SERPINA1 gene, DNA sequences that encode wild-type regulatory elements of the SERPINA1 gene, minigene, or cDNA.

[00568] In another method, Method 45, the present disclosure provides the method of any one of Methods 38-44, wherein the donor template is either a single or double stranded polynucleotide.

[00569] In another method, Method 46, the present disclosure provides the method of any one of Methods 38-45, wherein the donor template has homologous arms to the 14q32.13 region.

[00570] In another method, Method 47, the present disclosure provides the method of Method 44, wherein the DSB, or 5' DSB and 3' DSB are in the first, second, third, fourth, fifth exon or intron, SERPINA1 gene.

[00571] In another method, Method 48, the present disclosure provides the method of any one of Methods 1, 2, 7, 13, 19, 24, 30-33, or 38-41, wherein the gRNA or sgRNA is directed to one or more of the following pathological variants: rs764325655, rs121912713, rs28929474, rs17580, rs121912714, rs764220898, rs199422211, rs751235320, rs199422210, rs267606950, rs55819880, rs28931570.

[00572] In another method, Method 49, the present disclosure provides the method of any one of Methods 1, 2, 7, 13, 19, or 24-48, wherein the insertion or correction is by homology directed repair (HDR).

[00573] In another method, Method 50, the present disclosure provides the method of any one of Methods 1, 2, 7, 13, 19, or 24, wherein the method further comprises introducing into the cell two guide ribonucleic acid (gRNAs), and wherein the one or more DNA endonucleases is two or more Cas9 endonucleases that effect a pair of double-strand breaks (DSBs), the first at a 5' DSB locus and the second at a 3' DSB locus, within or near the SERPINA1 gene that causes a deletion of the chromosomal DNA between the 5' DSB locus and the 3' DSB locus that results in permanent deletion of the chromosomal DNA between the 5' DSB locus and the 3' DSB locus within or near the SERPINA1 gene and restoration of AAT protein activity, and wherein the first guide RNA comprises a spacer sequence that is complementary to a segment of the 5' DSB locus and the second guide RNA comprises a spacer sequence that is complementary to a segment of the 3' DSB locus.

[00574] In another method, Method 51, the present disclosure provides the method of Method 50, wherein the two gRNAs are two single-molecule guide RNA (sgRNAs).

[00575] In another method, Method 52, the present disclosure provides the method of any one of Methods 50-51, wherein the two gRNAs or two sgRNAs are two modified gRNAs or two modified sgRNAs.

[00576] In another method, Method 53, the present disclosure provides the method of any one of Methods 50-52, wherein the one or more DNA endonucleases is pre-complexed with one or two gRNAs or one or two sgRNAs.

[00577] In another method, Method 54, the present disclosure provides the method of any one of Methods 50-53, wherein both the 5' DSB and 3' DSB are in or near either the first exon, second exon, third exon, fourth exon or fifth exon of the SERPINA1 gene.

[00578] In another method, Method 55, the present disclosure provides the method of any one of Method 50-54, wherein the deletion is a deletion of 1kb or less.

[00579] In another method, Method 56, the present disclosure provides the method of any one of Methods 1, 2, 7, 13, or 19-55, wherein the Cas9 or Cpf1 mRNA, gRNA, and a donor template are either each formulated into separate lipid nanoparticles or all co-formulated into a lipid nanoparticle.

[00580] In another method, Method 57, the present disclosure provides the method of any one of Methods 1, 6, 11, 15 or 19-55, wherein the Cas9 or Cpf1 mRNA is formulated into a lipid nanoparticle, and both the gRNA and donor template are delivered by a viral vector.

[00581] In another method, Method 58, the present disclosure provides the method of Method 57, wherein the viral vector is an adeno-associated virus (AAV).

[00582] In another method, Method 59, the present disclosure provides the method of Method 58, wherein the AAV vector is an AAV6 vector.

[00583] In another method, Method 60, the present disclosure provides the method of any one of Methods 1, 2, 7, 13, 19 or 24-55, wherein the Cas9 or Cpf1 mRNA, gRNA and a donor template are either each formulated into separate exosomes or all co-formulated into an exosome.

[00584] In another method, Method 61, the present disclosure provides the method of any one of Methods 1, 2, 7, 13, 19 or 24-55, wherein the Cas9 or Cpf1 mRNA is formulated into a lipid nanoparticle, and the gRNA is delivered to the cell by electroporation and donor template is delivered to the cell by a viral vector, the present disclosure provides the method of

[00585] In another method, Method 62, the present disclosure provides the method of Method 61, wherein the viral vector is an adeno-associated virus (AAV) vector.

[00586] In another method, Method 63, the present disclosure provides the method of Method 62, wherein the AAV vector is an AAV6 vector.

[00587] In another method, Method 64, the present disclosure provides the method of any one of the preceding Methods, wherein the SERPINA1 gene is located on Chromosome 14: 1,493,319 – 1,507,264 (Genome Reference Consortium – GRCh38/hg38).

[00588] In another method, Method 65, the present disclosure provides the method of any one of Methods 1, 2, 7, 13, 19 or 24-55, wherein the restoration of AAT protein activity is compared to wild-type or normal AAT protein activity.

[00589] In another method, Method 66, the present disclosure provides the method of Method 1, wherein the human cell is a liver cell.

[00590] In another method, Method 67, the present disclosure provides the method of Method 23, wherein the cell is a liver cell.

[00591] In another method, Method 68, the present disclosure provides the method of any one of Methods 1, 2, 7, 13, 19 or 24-55, wherein the SERPINA1 gene is operably linked to an exogenous promoter that drives expression of the SERPINA1 gene.

[00592] In another method, Method 69, the present disclosure provides the method of any one of Methods 1, 2, 7, 13, 19 or 24-55, wherein the one or more DSBs occurs at a location immediately 3' to an endogenous promoter locus.

[00593] The present disclosure also provides a composition, Composition 1, of one or more guide ribonucleic acids (gRNAs) for editing a SERPINA1 gene in a cell from a patient with alpha-1 antitrypsin deficiency (AATD), the one or more gRNAs comprising a spacer sequence selected from the group consisting of the nucleic acid sequences in SEQ ID NOs: 54,860-68,297 for editing the SERPINA1 gene in a cell from a patient with alpha-1 antitrypsin deficiency.

[00594] In another composition, Composition 2, the present disclosure provides the composition of Composition 1, wherein the one or more gRNAs are one or more single-molecule guide RNAs (sgRNAs).

[00595] In another composition, Composition 3, the present disclosure provides the composition of Composition 1 or Composition 2, wherein the one or more gRNAs or one or more sgRNAs is one or more modified gRNAs or one or more modified sgRNAs.

[00596] In another composition, Composition 4, the present disclosure provides the composition of Composition 1 or Composition 2 or Composition 3, wherein the cell is a liver cell.

[00597] The present disclosure also provides a composition, Composition 5, of one or more guide ribonucleic acids (gRNAs) for editing a safe harbor locus in a cell from a patient with alpha-1 antitrypsin deficiency (AATD), the one or more gRNAs comprising a spacer sequence selected from the group consisting of the nucleic acid sequences in SEQ ID NOs: 1-54,859 for editing the safe harbor locus in a cell from a patient with alpha-1 antitrypsin deficiency, wherein the safe harbor locus is selected from the group consisting of AAVS1 (PPP1R12C), ALB, Angptl3, ApoC3, ASGR2, CCR5, FIX (F9), G6PC, Gys2, HGD, Lp(a), Pcsk9, Serpina1, TF, and TTR.

[00598] In another composition, Composition 6, the present disclosure provides the composition of Composition 5, wherein the one or more gRNAs are one or more single-molecule guide RNAs (sgRNAs).

[00599] In another composition, Composition 7, the present disclosure provides the composition of Composition 5 or Composition 6, wherein the one or more gRNAs or one or more sgRNAs is one or more modified gRNAs or one or more modified sgRNAs.

[00600] In another composition, Composition 8, the present disclosure provides the composition of Composition 5 or Composition 6 or Composition 7, wherein the cell is a liver cell.

[00601] Definitions

[00602] The term "comprising" or "comprises" is used in reference to compositions, methods, and respective component(s) thereof, that are essential to the invention, yet open to the inclusion of unspecified elements, whether essential or not.

[00603] The term "consisting essentially of" refers to those elements required for a given embodiment. The term permits the presence of additional elements that do not materially affect the basic and novel or functional characteristic(s) of that embodiment of the invention.

[00604] The term "consisting of" refers to compositions, methods, and respective components thereof as described herein, which are exclusive of any element not recited in that description of the embodiment.

[00605] The singular forms "a," "an," and "the" include plural references, unless the context clearly dictates otherwise.

[00606] Certain numerical values presented herein are preceded by the term "about." The term "about" is used to provide literal support for the numerical value the term "about" precedes, as well as a numerical value that is approximately the numerical value, that is the approximating unrecited numerical value may be a number which, in the context it is presented, is the substantial equivalent of the specifically recited numerical value. The term "about" means numerical values within $\pm 10\%$ of the recited numerical value.

[00607] When a range of numerical values is presented herein, it is contemplated that each intervening value between the lower and upper limit of the range, the values that are the upper and lower limits of the range, and all stated values with the range are encompassed within the disclosure. All the possible sub-ranges within the lower and upper limits of the range are also contemplated by the disclosure.

Examples

[00608] The invention will be more fully understood by reference to the following examples, which provide illustrative non-limiting embodiments of the invention.

[00609] The examples describe the use of the CRISPR system as an illustrative genome editing technique to create defined therapeutic genomic replacements, termed "genomic modifications" herein, in the SERPINA1 gene that lead to permanent correction of mutations in the genomic locus, or expression at a heterologous locus, that restore AAT protein activity. Introduction of the defined therapeutic modifications represents a novel therapeutic strategy for the potential amelioration of AATD, as described and illustrated herein.

Example 1 - CRISPR/SpCas9 target sites for the SERPINA1 gene (other than as a safe harbor locus)

[00610] Regions of the SERPINA1 gene were scanned for target sites. Each area was scanned for a protospacer adjacent motif (PAM) having the sequence NRG. gRNA 20 bp spacer sequences corresponding to the PAM were identified, as shown in SEQ ID NOs: 54,860-61,324.

Example 2 - CRISPR/SaCas9 target sites for the SERPINA1 gene (other than as a safe harbor locus)

[00611] Regions of the SERPINA1 gene were scanned for target sites. Each area was scanned for a protospacer adjacent motif (PAM) having the sequence NNGRRT. gRNA 20 bp spacer sequences corresponding to the PAM were identified, as shown in SEQ ID NOs: 61,324-61,936.

Example 3 - CRISPR/StCas9 target sites for the SERPINA1 gene (other than as a safe harbor locus)

[00612] Regions of the SERPINA1 gene were scanned for target sites. Each area was scanned for a protospacer adjacent motif (PAM) having the sequence NNAGAAW. gRNA 20 bp spacer sequences corresponding to the PAM were identified, as shown in SEQ ID NOs: 61,937-62,069.

Example 4 - CRISPR/TdCas9 target sites for the SERPINA1 gene (other than as a safe harbor locus)

[00613] Regions of the SERPINA1 gene were scanned for target sites. Each area was scanned for a protospacer adjacent motif (PAM) having the sequence

NAAAAC. gRNA 20 bp spacer sequences corresponding to the PAM were identified, as shown in SEQ ID NOs: 69,070-62,120.

Example 5 - CRISPR/NmCas9 target sites for the SERPINA1 gene (other than as a safe harbor locus)

[00614] Regions of the SERPINA1 gene were scanned for target sites. Each area was scanned for a protospacer adjacent motif (PAM) having the sequence NNNNGHTT. gRNA 20 bp spacer sequences corresponding to the PAM were identified, as shown in SEQ ID NOs: 62,121-62,563.

Example 6 - CRISPR/Cpf1 target sites for the SERPINA1 gene (other than as a safe harbor locus)

[00615] Regions of the SERPINA1 gene were scanned for target sites. Each area was scanned for a protospacer adjacent motif (PAM) having the sequence YTN. gRNA 22 bp spacer sequences corresponding to the PAM were identified, as shown in SEQ ID NOs: 62,564-68,297.

Example 7 - CRISPR/SpCas9 target sites for safe harbor loci

[00616] The following safe harbor loci were scanned for target sites: Exons 1-2 of AAVS1 (PPP1R12C), Exons 1-2 of ALB, Exons 1-2 of Angptl3, Exons 1-2 of ApoC3, Exons 1-2 of ASGR2, Exons 1-2 of CCR5, Exons 1-2 of FIX (F9), Exons 1-2 of G6PC, Exons 1-2 of Gys2, Exons 1-2 of HGD, Exons 1-2 of Lp(a), Exons 1-2 of Pcsk9, Exons 1-2 of Serpina1, Exons 1-2 of TF, and Exons 1-2 of TTR. Each area was scanned for a protospacer adjacent motif (PAM) having the sequence NRG. gRNA 20 bp spacer sequences corresponding to the PAM were identified, as shown in the following sequences: AAVS1 (PPP1R12C): SEQ ID NOs. 1-2,032; ALB: SEQ ID NOs. 3,482-3,649; Angptl3: SEQ ID NOs. 4,104-4,448; ApoC3: SEQ ID NOs. 5,432-5,834; ASGR2: SEQ ID NOs. 6,109-7,876; CCR5: SEQ ID NOs. 9,642-9,844; FIX (F9): SEQ ID NOs. 10,221-11,686; G6PC: SEQ ID NOs. 14,230-15,245; Gys2: SEQ ID NOs. 16,581-22,073; HGD: SEQ ID NOs. 32,254-33,946; Lp(a): SEQ ID NOs. 36,789-40,583; Pcsk9: SEQ ID NOs. 46,154-48,173; Serpina1: SEQ ID NOs. 50,345-51,482; TF: SEQ ID NOs. 52,446-53,277; and TTR: SEQ ID NOs. 54,063-54,362.

Example 8 - CRISPR/SaCas9 target sites for safe harbor loci

[00617] The following safe harbor loci were scanned for target sites: Exons 1-2 of AAVS1 (PPP1R12C), Exons 1-2 of ALB, Exons 1-2 of Angptl3, Exons 1-2 of ApoC3, Exons 1-2 of ASGR2, Exons 1-2 of CCR5, Exons 1-2 of FIX (F9), Exons 1-2 of G6PC, Exons 1-2 of Gys2, Exons 1-2 of HGD, Exons 1-2 of Lp(a), Exons 1-2 of Pcsk9, Exons 1-2 of Serpina1, Exons 1-2 of TF, and Exons 1-2 of TTR. Each area was scanned for a protospacer adjacent motif (PAM) having the sequence NNGRRT. gRNA 20 bp spacer sequences corresponding to the PAM were identified, as shown in the following sequences: AAVS1 (PPP1R12C): SEQ ID NOs. 2,033-2,203; ALB: SEQ ID NOs. 3,650-3,677; Angptl3: SEQ ID NOs. 4,449-4,484; ApoC3: SEQ ID NOs. 5,835-5,859; ASGR2: SEQ ID NOs. 7,877-8,082; CCR5: SEQ ID NOs. 9,845-9,876; FIX (F9): SEQ ID NOs. 11,687-11,849; G6PC: SEQ ID NOs. 15,246-15,362; Gys2: SEQ ID NOs. 22,074-22,749; HGD: SEQ ID NOs. 33,947-34,160; Lp(a): SEQ ID NOs. 40,584-40,993; Pcsk9: SEQ ID NOs. 48,174-48,360; Serpina1: SEQ ID NOs. 51,483-51,575; TF: SEQ ID NOs. 53,278-53,363; and TTR: SEQ ID NOs. 54,363-54,403.

Example 9 - CRISPR/StCas9 target sites for safe harbor loci

[00618] The following safe harbor loci were scanned for target sites: Exons 1-2 of AAVS1 (PPP1R12C), Exons 1-2 of ALB, Exons 1-2 of Angptl3, Exons 1-2 of ApoC3, Exons 1-2 of ASGR2, Exons 1-2 of CCR5, Exons 1-2 of FIX (F9), Exons 1-2 of G6PC, Exons 1-2 of Gys2, Exons 1-2 of HGD, Exons 1-2 of Lp(a), Exons 1-2 of Pcsk9, Exons 1-2 of Serpina1, Exons 1-2 of TF, and Exons 1-2 of TTR. Each area was scanned for a protospacer adjacent motif (PAM) having the sequence NNAGAAW. gRNA 20 bp spacer sequences corresponding to the PAM were identified, as shown in the following sequences: AAVS1 (PPP1R12C): SEQ ID NOs. 2,204-2,221; ALB: SEQ ID NOs. 3,678-3,695; Angptl3: SEQ ID NOs. 4,485-4,507; ApoC3: SEQ ID NOs. 5,860-5,862; ASGR2: SEQ ID NOs. 8,083-8,106; CCR5: SEQ ID NOs. 9,877-9,890; FIX (F9): SEQ ID NOs. 11,850-11,910; G6PC: SEQ ID NOs. 15,363-15,386; Gys2: SEQ ID NOs. 22,750-20,327; HGD: SEQ ID NOs. 34,161-34,243; Lp(a): SEQ ID NOs. 40,994-41,129; Pcsk9: SEQ ID NOs.

48,361-48,396; Serpina1: SEQ ID NOs. 51,576-51,587; TF: SEQ ID NOs. 53,364-53,375; and TTR: SEQ ID NOs. 54,404-54,420.

Example 10 - CRISPR/TdCas9 target sites for safe harbor loci

[00619] The following safe harbor loci were scanned for target sites: Exons 1-2 of AAVS1 (PPP1R12C), Exons 1-2 of ALB, Exons 1-2 of Angptl3, Exons 1-2 of ApoC3, Exons 1-2 of ASGR2, Exons 1-2 of CCR5, Exons 1-2 of FIX (F9), Exons 1-2 of G6PC, Exons 1-2 of Gys2, Exons 1-2 of HGD, Exons 1-2 of Lp(a), Exons 1-2 of Pcsk9, Exons 1-2 of Serpina1, Exons 1-2 of TF, and Exons 1-2 of TTR. Each area was scanned for a protospacer adjacent motif (PAM) having the sequence NAAAAC. gRNA 20 bp spacer sequences corresponding to the PAM were identified, as shown in the following sequences: AAVS1 (PPP1R12C): SEQ ID NOs. 2,222-2,230; ALB: SEQ ID NOs. 3,696-3,700; Angptl3: SEQ ID NOs. 4,508-4,520; ApoC3: SEQ ID NOs. 5,863-5,864; ASGR2: SEQ ID NOs. 8,107-8,118; CCR5: SEQ ID NOs. 9,891-9,892; FIX (F9): SEQ ID NOs. 11,911-11,935; G6PC: SEQ ID NOs. 15,387-15,395; Gys2: SEQ ID NOs. 23,028-23,141; HGD: SEQ ID NOs. 34,244-34,262; Lp(a): SEQ ID NOs. 41,130-41,164; Pcsk9: SEQ ID NOs. 48,397-48,410; Serpina1: SEQ ID NOs. 51,588-51,590; TF: SEQ ID NOs. 53,376-53,382; and TTR: SEQ ID NOs. 54,421-54,422.

Example 11 - CRISPR/NmCas9 target sites for safe harbor loci

[00620] The following safe harbor loci were scanned for target sites: Exons 1-2 of AAVS1 (PPP1R12C), Exons 1-2 of ALB, Exons 1-2 of Angptl3, Exons 1-2 of ApoC3, Exons 1-2 of ASGR2, Exons 1-2 of CCR5, Exons 1-2 of FIX (F9), Exons 1-2 of G6PC, Exons 1-2 of Gys2, Exons 1-2 of HGD, Exons 1-2 of Lp(a), Exons 1-2 of Pcsk9, Exons 1-2 of Serpina1, Exons 1-2 of TF, and Exons 1-2 of TTR. Each area was scanned for a protospacer adjacent motif (PAM) having the sequence NNNNGHTT. gRNA 20 bp spacer sequences corresponding to the PAM were identified, as shown in the following sequences: AAVS1 (PPP1R12C): SEQ ID NOs. 2,231-2,305; ALB: SEQ ID NOs. 3,701-3,724; Angptl3: SEQ ID NOs. 4,521-4,583; ApoC3: SEQ ID NOs. 5,865-5,876; ASGR2: SEQ ID NOs. 8,119-8,201; CCR5: SEQ ID NOs. 9,893-9,920; FIX (F9): SEQ ID NOs. 11,936-12,088; G6PC: SEQ ID NOs. 15,396-15,485; Gys2: SEQ ID NOs. 23,142-23,821; HGD: SEQ ID

NOs. 34,263-34,463; Lp(a): SEQ ID NOs. 41,165-41,532; Pcsk9: SEQ ID NOs. 48,411-48,550; Serpina1: SEQ ID NOs. 51,591-51,641; TF: SEQ ID NOs. 53,383-53,426; and TTR: SEQ ID NOs. 54,423-54,457.

Example 12 - CRISPR/Cpf1 target sites for safe harbor loci

[00621] Exons 1-2 of the AAVS1 (PPP1R12C) gene were scanned for target sites. The following safe harbor loci were scanned for target sites: Exons 1-2 of AAVS1 (PPP1R12C), Exons 1-2 of ALB, Exons 1-2 of Angptl3, Exons 1-2 of ApoC3, Exons 1-2 of ASGR2, Exons 1-2 of CCR5, Exons 1-2 of FIX (F9), Exons 1-2 of G6PC, Exons 1-2 of Gys2, Exons 1-2 of HGD, Exons 1-2 of Lp(a), Exons 1-2 of Pcsk9, Exons 1-2 of Serpina1, Exons 1-2 of TF, and Exons 1-2 of TTR. Each area was scanned for a protospacer adjacent motif (PAM) having the sequence YTN. gRNA 22 bp spacer sequences corresponding to the PAM were identified, as shown in the following sequences: AAVS1 (PPP1R12C): SEQ ID NOs. 2,306-3,481; ALB: SEQ ID NOs. 3,725-4,103; Angptl3: SEQ ID NOs. 4,584-5,431; ApoC3: SEQ ID NOs. 5,877-6,108; ASGR2: SEQ ID NOs. 8,202-9,641; CCR5: SEQ ID NOs. 9,921-10,220; FIX (F9): SEQ ID NOs. 12,089-14,229; G6PC: SEQ ID NOs. 15,486-16,580; Gys2: SEQ ID NOs. 23,822-32,253; HGD: SEQ ID NOs. 34,464-36,788; Lp(a): SEQ ID NOs. 41,533-46,153; Pcsk9: SEQ ID NOs. 48,551-50,344; Serpina1: SEQ ID NOs. 51,642-52,445; TF: SEQ ID NOs. 53,427-54,062; and TTR: SEQ ID NOs. 54,458-54,859.

Example 13 – Bioinformatics analysis of the guide strands

[00622] Candidate guides will be screened and selected in a multi-step process that involves both theoretical binding and experimentally assessed activity. By way of illustration, candidate guides having sequences that match a particular on-target site, such as a site within the SERPINA1 gene, with adjacent PAM can be assessed for their potential to cleave at off-target sites having similar sequences, using one or more of a variety of bioinformatics tools available for assessing off-target binding, as described and illustrated in more detail below, in order to assess the likelihood of effects at chromosomal positions other than those intended. Candidates predicted to have relatively lower potential for off-target activity can then be assessed experimentally to measure their on-target activity, and then off-

target activities at various sites. Preferred guides have sufficiently high on-target activity to achieve desired levels of gene editing at the selected locus, and relatively lower off-target activity to reduce the likelihood of alterations at other chromosomal loci. The ratio of on-target to off-target activity is often referred to as the "specificity" of a guide.

[00623] For initial screening of predicted off-target activities, there are a number of bioinformatics tools known and publicly available that can be used to predict the most likely off-target sites; and since binding to target sites in the CRISPR/Cas9/Cpf1 nuclease system is driven by Watson-Crick base pairing between complementary sequences, the degree of dissimilarity (and therefore reduced potential for off-target binding) is essentially related to primary sequence differences: mismatches and bulges, *i.e.* bases that are changed to a non-complementary base, and insertions or deletions of bases in the potential off-target site relative to the target site. An exemplary bioinformatics tool called COSMID (CRISPR Off-target Sites with Mismatches, Insertions and Deletions) (available on the web at crispr.bme.gatech.edu) compiles such similarities. Other bioinformatics tools include, but are not limited to, GUIDO, autoCOSMID, and CCTop.

[00624] Bioinformatics were used to minimize off-target cleavage in order to reduce the detrimental effects of mutations and chromosomal rearrangements. Studies on CRISPR/Cas9 systems suggested the possibility of high off-target activity due to nonspecific hybridization of the guide strand to DNA sequences with base pair mismatches and/or bulges, particularly at positions distal from the PAM region. Therefore, it is important to have a bioinformatics tool that can identify potential off-target sites that have insertions and/or deletions between the RNA guide strand and genomic sequences, in addition to base-pair mismatches. The bioinformatics-based tool, COSMID (CRISPR Off-target Sites with Mismatches, Insertions and Deletions) was therefore used to search genomes for potential CRISPR off-target sites (available on the web at crispr.bme.gatech.edu). COSMID output ranked lists of the potential off-target sites based on the number and location of mismatches, allowing more informed choice of target sites, and avoiding the use of sites with more likely off-target cleavage.

[00625] Additional bioinformatics pipelines were employed that weigh the estimated on- and/or off-target activity of gRNA targeting sites in a region. Other features that may be used to predict activity include information about the cell type in question, DNA accessibility, chromatin state, transcription factor binding sites, transcription factor binding data, and other CHIP-seq data. Additional factors are weighed that predict editing efficiency, such as relative positions and directions of pairs of gRNAs, local sequence features and micro-homologies.

Example 14 – Testing of preferred guides in cells for on-target activity

[00626] The gRNAs predicted to have the lowest off target activity will then be tested for on-target activity in a model cell line, such as Huh-7 cells, and evaluated for indel frequency using TIDE or next generation sequencing. TIDE is a web tool to rapidly assess genome editing by CRISPR-Cas9 of a target locus determined by a guide RNA (gRNA or sgRNA). Based on the quantitative sequence trace data from two standard capillary sequencing reactions, the TIDE software quantifies the editing efficacy and identifies the predominant types of insertions and deletions (indels) in the DNA of a targeted cell pool. See Brinkman *et al*, Nucl. Acids Res. (2014) for a detailed explanation and examples. Next-generation sequencing (NGS), also known as high-throughput sequencing, is the catch-all term used to describe a number of different modern sequencing technologies including: Illumina (Solexa) sequencing, Roche 454 sequencing, Ion torrent: Proton/PGM sequencing, and SOLiD sequencing. These recent technologies allow one to sequence DNA and RNA much more quickly and cheaply than the previously used Sanger sequencing, and as such have revolutionized the study of genomics and molecular biology.

[00627] Transfection of tissue culture cells, allows screening of different constructs and a robust means of testing activity and specificity. Tissue culture cell lines, such as Huh-7 cells, are easily transfected and result in high activity. These or other cell lines will be evaluated to determine the cell lines that provide the best surrogate. These cells will then be used for many early stage tests. For example, individual gRNAs for *S. pyogenes* Cas9 will be transfected into the cells using plasmids, such as, for example, CTx-1, CTx-2, or CTx-3 described in Figure 1A-1C, which are suitable for expression in human cells. Several days later, the genomic

DNA is harvested and the target site amplified by PCR. The cutting activity can be measured by the rate of insertions, deletions and mutations introduced by NHEJ repair of the free DNA ends. Although this method cannot differentiate correctly repaired sequences from uncleaved DNA, the level of cutting can be gauged by the amount of mis-repair. Off-target activity can be observed by amplifying identified putative off-target sites and using similar methods to detect cleavage. Translocation can also be assayed using primers flanking cut sites, to determine if specific cutting and translocations happen. Un-guided assays have been developed allowing complementary testing of off-target cleavage including guide-seq. The gRNA or pairs of gRNA with significant activity can then be followed up in cultured cells to measure correction of SERPINA1 mutation. Off-target events can be followed again. These experiments allow optimization of nuclease and donor design and delivery.

Example 15 – Testing of preferred guides in cells for off-target activity

[00628] The gRNAs having the best on-target activity from the TIDE and next generation sequencing studies in the above example will then be tested for off-target activity using whole genome sequencing.

Example 16 – Testing different approaches for HDR gene editing

[00629] After testing the gRNAs for both on-target activity and off-target activity, the mutation correction and knock-in strategies will be tested for HDR gene editing.

[00630] For the mutation correction approach, the donor DNA template will be provided as a short single-stranded oligonucleotide, a short double-stranded oligonucleotide (PAM sequence intact/PAM sequence mutated), a long single-stranded DNA molecule (PAM sequence intact/PAM sequence mutated) or a long double-stranded DNA molecule (PAM sequence intact/PAM sequence mutated). In addition, the donor DNA template will be delivered by AAV.

[00631] For the cDNA knock-in approach, a single-stranded or double-stranded DNA having homologous arms to the 14q32.13 region may include more than 40 nt of the first exon (the first coding exon) of the SERPINA1 gene, the complete CDS of the SERPINA1 gene and 3'UTR of the SERPINA1 gene, and at least 40 nt of the following intron. The single-stranded or double-stranded DNA having homologous

arms to the 14q32.13 region, which includes more than 80 nt of the first exon of the SERPINA1 gene, the complete CDS of the SERPINA1 gene and 3'UTR of the SERPINA1 gene, and at least 80 nt of the following intron. The single-stranded or double-stranded DNA having homologous arms to the 14q32.13 region may include more than 100 nt of the first exon of the SERPINA1 gene, the complete CDS of the SERPINA1 gene and 3'UTR of the SERPINA1 gene, and at least 100 nt of the following intron. The single-stranded or double-stranded DNA having homologous arms to the 14q32.13 region may include more than 150 nt of the first exon of the SERPINA1 gene, the complete CDS of the SERPINA1 gene and 3'UTR of the SERPINA1 gene, and at least 150 nt of the following intron. The single-stranded or double-stranded DNA having homologous arms to the 14q32.13 region may include more than 300 nt of the first exon of the SERPINA1 gene, the complete CDS of the SERPINA1 gene and 3'UTR of the SERPINA1 gene, and at least 300 nt of the following intron. The single-stranded or double-stranded DNA having homologous arms to the 14q32.13 region may include more than 400 nt of the first exon of the SERPINA1 gene, the complete CDS of the SERPINA1 gene and 3'UTR of the SERPINA1 gene, and at least 400 nt of the following the first intron. Alternatively, the DNA template will be delivered by AAV.

[00632] For the cDNA or minigene knock-in approach, a single-stranded or double-stranded DNA having homologous arms to the 14q32.13, which includes more than 80 nt of the second exon (the first coding exon) of the SERPINA1 gene, the complete CDS of the SERPINA1 gene and 3'UTR of the SERPINA1 gene, and at least 80 nt of the following intron. Alternatively, the DNA template will be delivered by AAV.

[00633] Next, the efficiency of HDR mediated correction of the common mutation of the PI Z allele of SERPINA1 and knock-in of cDNA minigene (comprised of, natural or synthetic enhancer and promoter, one or more exons, and natural or synthetic introns, and natural or synthetic 3'UTR and polyadenylation signal) into the 2nd exon will be assessed.

Example 17 – Re-assessment of lead CRISPR-Cas9/DNA donor combinations

[00634] After testing the different strategies for HDR gene editing, the lead CRISPR-Cas9/DNA donor combinations will be re-assessed in primary human hepatocytes for efficiency of deletion, recombination, and off-target specificity. Cas9 mRNA or RNP will be formulated into lipid nanoparticles for delivery, sgRNAs will be formulated into nanoparticles or delivered as AAV, and donor DNA will be formulated into nanoparticles or delivered as AAV.

Example 18 – *In vivo* testing in relevant mouse model

[00635] After the CRISPR-Cas9/DNA donor combinations have been re-assessed, the lead formulations will be tested *in vivo* in an animal model. Suitable animal models include, by way of non-limiting example, a FGR mouse model with the livers repopulated with human hepatocytes or iPSC derived hepatocytes (normal or AAT deficient).

[00636] Culture in human cells allows direct testing on the human target and the background human genome, as described above.

[00637] Preclinical efficacy and safety evaluations can be observed through engraftment of modified mouse or human hepatocytes in FGR mice. The modified cells can be observed in the months after engraftment.

Example 19 – Screening of gRNAs

[00638] To identify a large spectrum of pairs of gRNAs able to edit the SERPINA1 DNA target region, an *in vitro* transcribed (IVT) gRNA screen was conducted. SERPINA1 genomic sequence was submitted for analysis using gRNA design software. The resulting list of gRNAs was narrowed to a list of about 200 gRNAs based on uniqueness of sequence – only gRNAs without a perfect match somewhere else in the genome were screened – and minimal predicted off targets. This set of gRNAs was transcribed *in vitro* and transfected using messenger Max into HEK293T cells that constitutively express Cas9. Cells were harvested 48 hours post transfection, the genomic DNA was isolated, and cutting efficiency was evaluated using TIDE analysis. The cutting efficiency of the gRNA (% indels) is listed in Table 4.

[00639] It was found that about 14% of the tested gRNAs induced cutting efficiencies over 70%. (Figure 3).

Table 4. gRNA sequences and cutting efficiencies in HEK293T-Cas9 cells

<u>Guide Name</u>	<u>Guide Sequence</u>	<u>% Indel</u>
SERPINA1__T61	CCCAATGTCTAGAAAGGTCTT (SEQ ID NO: 55,783)	70.3
SERPINA1__T37	GCCCAATGTCTAGAAAGGTCT (SEQ ID NO: 55,782)	50.6
SERPINA1__T185	ATCAAGGCCCAATGTCTAGA (SEQ ID NO: 55,781)	58.1
SERPINA1__T70	GCCCAAGACCTTCTAGACAT (SEQ ID NO: 60,476)	56.1
SERPINA1__T88	CCCAAGACCTTCTAGACATT (SEQ ID NO: 60,477)	60
SERPINA1__T162	GTGTCAGTATGGATAAATCA (SEQ ID NO: 55,778)	59.2
SERPINA1__T170	CAAACCTTTCTGTGTCAGTA (SEQ ID NO: 55,776)	7.1
SERPINA1__T390	TTATCCATACTGACACAGAA (SEQ ID NO: 60,480)	64.7
SERPINA1__T388	CATACTGACACAGAAAGGTT (SEQ ID NO: 60,481)	63.6
SERPINA1__T283	ATACTGACACAGAAAGGTTT (SEQ ID NO: 60,482)	70.5
SERPINA1__T282	TTTGGGCTAAGTTGTTTCAA (SEQ ID NO: 60,485)	40.5
SERPINA1__T72	CACCAAATCTCACAGATCGA (SEQ ID NO: 55,771)	81.6
SERPINA1__T73	CTCCTTCGATCTGTGAGATT (SEQ ID NO: 60,487)	47.3
SERPINA1__T259	GCTAAAGATGACACTTATTT (SEQ ID NO: 60,490)	73.9
SERPINA1__T275	CACTTATTTTGGAAAATAA (SEQ ID NO: 60,492)	0.6
SERPINA1__T182	ATGGAAGTGCAGTTGTTTCA (SEQ ID NO: 55,764)	52.8
SERPINA1__T323	AACAAGTGCAGTTCCATGAA (SEQ ID NO: 60,494)	66.2
SERPINA1__T383	CAAGATAATGCAGCCATTCA (SEQ ID NO: 55,762)	50.7
SERPINA1__T177	CATGAATGGCTGCATTATCT (SEQ ID NO: 60,495)	22
SERPINA1__T280	ATGAATGGCTGCATTATCTT (SEQ ID NO: 60,496)	57.8
SERPINA1__T244	TGAATGGCTGCATTATCTTG (SEQ ID NO: 60,497)	51.8
SERPINA1__T66	GGCTGCATTATCTTGGGGTC (SEQ ID NO: 60,498)	18
SERPINA1__T128	GCTGCATTATCTTGGGGTCT (SEQ ID NO: 60,499)	34.8
SERPINA1__T385	CACTGTGAAGGTCACTGCCA (SEQ ID NO: 60,504)	50.8
SERPINA1__T194	CTCCTTGAGGACACGGACCC (SEQ ID NO: 55,756)	15.2
SERPINA1__T418	TGCCAGGGTCCGTGTCCTCA (SEQ ID NO: 60,506)	49.6
SERPINA1__T59	ACACGGCTTGAAGCTCCTTG (SEQ ID NO: 55,754)	49.3
SERPINA1__T257	GCTCTCTCCTTTCTAGTACA (SEQ ID NO: 55,751)	90.1
SERPINA1__T13	CTTCAAGCCGTGTACTAGAA (SEQ ID NO: 60,511)	15.5
SERPINA1__T291	TACTAGAAAGGAGAGAGCCC (SEQ ID NO: 60,515)	45.8
SERPINA1__T431	TAGAAAGGAGAGAGCCCTGG (SEQ ID NO: 60,517)	60.1

SERPINA1_T341	TCACTCCACGTCTGCCTCCA	(SEQ ID NO: 55,749)	83.9
SERPINA1_T471	GTCACTCCACGTCTGCCTCC	(SEQ ID NO: 55,748)	51.7
SERPINA1_T294	GAGAGCCCTGGAGGCAGACG	(SEQ ID NO: 60,519)	44.8
SERPINA1_T187	TGCACCCACAACCTCAGAACA	(SEQ ID NO: 55,744)	77.1
SERPINA1_T92	GTGCACCCACAACCTCAGAAC	(SEQ ID NO: 55,743)	46.5
SERPINA1_T314	CTCTTCCCTGTTCTGAGTTG	(SEQ ID NO: 60,522)	27.8
SERPINA1_T120	AGTTGTGGGTGCACCTGAGC	(SEQ ID NO: 60,526)	10.6
SERPINA1_T224	GTTGTGGGTGCACCTGAGCA	(SEQ ID NO: 60,527)	72.5
SERPINA1_T274	TTGTGGGTGCACCTGAGCAG	(SEQ ID NO: 60,528)	39.8
SERPINA1_T167	AAGCGCCTCTCCCCCTGCTC	(SEQ ID NO: 55,740)	36.8
SERPINA1_T437	TGTGGGTGCACCTGAGCAGG	(SEQ ID NO: 60,529)	42.7
SERPINA1_T81	CAGGGGGAGAGGCGCTTGTC	(SEQ ID NO: 60,534)	15.8
SERPINA1_T168	AGAGGCGCTTGTCAGGAAGA	(SEQ ID NO: 60,536)	38
SERPINA1_T336	CTTGTCAGGAAGATGGACAG	(SEQ ID NO: 60,539)	46
SERPINA1_T360	TTGTCAGGAAGATGGACAGA	(SEQ ID NO: 60,540)	45.1
SERPINA1_T405	TGTCAGGAAGATGGACAGAG	(SEQ ID NO: 60,541)	80.2
SERPINA1_T379	AAGGCTTTGGCTGATGGGGC	(SEQ ID NO: 55,737)	17.5
SERPINA1_T180	CCTCAAGGCTTTGGCTGATG	(SEQ ID NO: 55,736)	14
SERPINA1_T135	TCCTCAAGGCTTTGGCTGAT	(SEQ ID NO: 55,735)	25.9
SERPINA1_T197	CTCCTCAAGGCTTTGGCTGA	(SEQ ID NO: 55,734)	20.3
SERPINA1_T345	CTTGCTCCTCCTCAAGGCTT	(SEQ ID NO: 55,733)	23.8
SERPINA1_T150	CCCCATCAGCCAAAGCCTTG	(SEQ ID NO: 60,547)	34.9
SERPINA1_T328	CATCAGCCAAAGCCTTGAGG	(SEQ ID NO: 60,549)	35.7
SERPINA1_T138	ATAGGCCTTGCTCCTCCTCA	(SEQ ID NO: 55,732)	76.2
SERPINA1_T348	CAAAGCCTTGAGGAGGAGCA	(SEQ ID NO: 60,552)	24.4
SERPINA1_T176	AGGAGCAAGGCCTATGTGAC	(SEQ ID NO: 60,554)	32.7
SERPINA1_T376	CCTCTCCCTCCCTGTCACAT	(SEQ ID NO: 55,730)	70.1
SERPINA1_T308	GGAGCAAGGCCTATGTGACA	(SEQ ID NO: 60,555)	58.8
SERPINA1_T181	GCAAGGCCTATGTGACAGGG	(SEQ ID NO: 60,557)	71
SERPINA1_T265	CAAGGCCTATGTGACAGGGA	(SEQ ID NO: 60,558)	44.6
SERPINA1_T465	CCTATGTGACAGGGAGGGAG	(SEQ ID NO: 60,561)	24.5
SERPINA1_T392	CAGGGAGGGAGAGGATGTGC	(SEQ ID NO: 60,563)	26.9
SERPINA1_T372	AGGGAGGGAGAGGATGTGCA	(SEQ ID NO: 60,564)	74.3
SERPINA1_T459	GGGAGAGGATGTGCAGGGCC	(SEQ ID NO: 60,566)	16.3
SERPINA1_T444	GGAGAGGATGTGCAGGGCCA	(SEQ ID NO: 60,567)	32.7
SERPINA1_T113	TCACTCCCCCTGGACGGCCC	(SEQ ID NO: 55,728)	9.7
SERPINA1_T253	GTGCAGGGCCAGGGCCGTCC	(SEQ ID NO: 60,569)	67.9

SERPINA1_T312	TGCAGGGGCCAGGGCCGTCCA	(SEQ ID NO: 60,570)	63.7
SERPINA1_T445	GCAGGGGCCAGGGCCGTCCAG	(SEQ ID NO: 60,571)	59.7
SERPINA1_T441	CAGGGGCCAGGGCCGTCCAGG	(SEQ ID NO: 60,572)	55.8
SERPINA1_T51	AAGCGCTCACTCCCCCTGGA	(SEQ ID NO: 55,727)	72.9
SERPINA1_T114	CAGGAAGCGCTCACTCCCC	(SEQ ID NO: 55,726)	69.2
SERPINA1_T164	CAGGGGGAGTGAGCGCTTCC	(SEQ ID NO: 60,575)	56.3
SERPINA1_T155	AGGGGGAGTGAGCGCTTCCT	(SEQ ID NO: 60,576)	37.3
SERPINA1_T240	GGGAGTGAGCGCTTCCTGGG	(SEQ ID NO: 60,578)	71.3
SERPINA1_T46	GGCTCACGTGGACACCTCCC	(SEQ ID NO: 55,724)	65.4
SERPINA1_T30	GGCCTCGAGCAAGGCTCACG	(SEQ ID NO: 55,722)	72.2
SERPINA1_T7	GTCCACGTGAGCCTTGCTCG	(SEQ ID NO: 60,581)	58.6
SERPINA1_T209	CTGATCCCAGGCCTCGAGCA	(SEQ ID NO: 55,721)	69.3
SERPINA1_T476	CGTGAGCCTTGCTCGAGGCC	(SEQ ID NO: 60,582)	13.4
SERPINA1_T67	GTGAGCCTTGCTCGAGGCCT	(SEQ ID NO: 60,583)	0.2
SERPINA1_T34	CACGTTGTAAGGCTGATCCC	(SEQ ID NO: 55,718)	66
SERPINA1_T139	AGAAGCAGAGACACGTTGTA	(SEQ ID NO: 55,716)	76.4
SERPINA1_T49	GCCTTATGCACGGCCTGGAG	(SEQ ID NO: 55,709)	66.2
SERPINA1_T19	AGCCTTATGCACGGCCTGGA	(SEQ ID NO: 55,708)	74.3
SERPINA1_T52	CAGCCTTATGCACGGCCTGG	(SEQ ID NO: 55,707)	25.1
SERPINA1_T32	GCACAGCCTTATGCACGGCC	(SEQ ID NO: 55,705)	65.9
SERPINA1_T54	TCCCCTCCAGGCCGTGCATA	(SEQ ID NO: 60,588)	73.8
SERPINA1_T107	GGTCAGCACAGCCTTATGCA	(SEQ ID NO: 55,704)	82.2
SERPINA1_T9	TTCAGTCCCTTTCTCGTCGA	(SEQ ID NO: 55,701)	42
SERPINA1_T5	GTGCTGACCATCGACGAGAA	(SEQ ID NO: 60,591)	53.9
SERPINA1_T14	TGCTGACCATCGACGAGAAA	(SEQ ID NO: 60,592)	40.5
SERPINA1_T355	GAGAAAGGGACTGAAGCTGC	(SEQ ID NO: 60,594)	15.3
SERPINA1_T75	GGGTATGGCCTCTAAAAACA	(SEQ ID NO: 55,697)	33.5
SERPINA1_T175	TGCTGGGGCCATGTTTTTAG	(SEQ ID NO: 60,599)	45.3
SERPINA1_T213	GGGGGGGATAGACATGGGTA	(SEQ ID NO: 55,696)	55
SERPINA1_T11	ACCTCGGGGGGGATAGACAT	(SEQ ID NO: 55,695)	39.3
SERPINA1_T33	GACCTCGGGGGGGATAGACA	(SEQ ID NO: 55,694)	49.4
SERPINA1_T6	ACCCATGTCTATCCCCCCCCG	(SEQ ID NO: 60,601)	66.5
SERPINA1_T31	TGTTGAACTTGACCTCGGGG	(SEQ ID NO: 55,692)	13.3
SERPINA1_T29	TTGTTGAACTTGACCTCGGG	(SEQ ID NO: 55,691)	4.6
SERPINA1_T23	TTTGTGAACTTGACCTCGG	(SEQ ID NO: 55,690)	36.4
SERPINA1_T18	GTTTGTGAACTTGACCTCG	(SEQ ID NO: 55,689)	20
SERPINA1_T74	GGTTTGTGAACTTGACCTC	(SEQ ID NO: 55,688)	56.9

SERPINA1_T63	GGGTTTGTGTTGAACTTGACCT	(SEQ ID NO: 55,687)	32.3
SERPINA1_T317	TCAATCATTAAGAAGACAAA	(SEQ ID NO: 55,686)	63.2
SERPINA1_T276	TTCAATCATTAAGAAGACAA	(SEQ ID NO: 55,685)	15.5
SERPINA1_T365	TCCCATGAAGAGGGGAGACT	(SEQ ID NO: 55,681)	28.2
SERPINA1_T188	TACCAAGTCTCCCCTCTTCA	(SEQ ID NO: 60,604)	27.4
SERPINA1_T243	ACCAAGTCTCCCCTCTTCAT	(SEQ ID NO: 60,605)	46.3
SERPINA1_T289	CACCACTTTTCCCATGAAGA	(SEQ ID NO: 55,678)	20.6
SERPINA1_T293	TCACCACTTTTCCCATGAAG	(SEQ ID NO: 55,677)	24.5
SERPINA1_T334	TCCCCTCTTCATGGGAAAAG	(SEQ ID NO: 60,607)	48.9
SERPINA1_T252	AGAGGCAGTTATTTTTTGGGT	(SEQ ID NO: 55,674)	14.1
SERPINA1_T346	GAGAGGCAGTTATTTTTTGGG	(SEQ ID NO: 55,673)	9.5
SERPINA1_T134	AGCGAGAGGCAGTTATTTTT	(SEQ ID NO: 55,672)	47.8
SERPINA1_T331	GAGCGAGAGGCAGTTATTTTT	(SEQ ID NO: 55,671)	54.3
SERPINA1_T399	GGGAGGGGTTGAGGAGCGAG	(SEQ ID NO: 55,669)	54.1
SERPINA1_T464	GGGAGGGGGCCAGGGATGGA	(SEQ ID NO: 55,658)	13.9
SERPINA1_T460	AGGGAGGGGGCCAGGGATGG	(SEQ ID NO: 55,657)	1.5
SERPINA1_T462	CAACCCCTCCCCTCCATCCC	(SEQ ID NO: 60,608)	21.9
SERPINA1_T256	CCCTTCTTTAATGTCATCCA	(SEQ ID NO: 55,646)	55
SERPINA1_T96	ACCCTTCTTTAATGTCATCC	(SEQ ID NO: 55,645)	35.6
SERPINA1_T229	ACATTAAAGAAGGGTTGAGC	(SEQ ID NO: 60,615)	30
SERPINA1_T361	TTTACAGTCACATGCAGGCA	(SEQ ID NO: 55,642)	50.7
SERPINA1_T201	ATTTACAGTCACATGCAGGC	(SEQ ID NO: 55,641)	49.4
SERPINA1_T132	AGGGATTTACAGTCACATGC	(SEQ ID NO: 55,639)	70.7
SERPINA1_T316	AGACTCAGAGAAAACATGGG	(SEQ ID NO: 55,635)	43.6
SERPINA1_T302	GGGAGACTCAGAGAAAACAT	(SEQ ID NO: 55,633)	41.3
SERPINA1_T271	ATACAGCCTCAGCAGGCAAA	(SEQ ID NO: 55,628)	67.7
SERPINA1_T193	CATACAGCCTCAGCAGGCAA	(SEQ ID NO: 55,627)	40.5
SERPINA1_T340	GAGTCTCCCTTTGCCTGCTG	(SEQ ID NO: 60,618)	72.6
SERPINA1_T169	AGCCCACATACAGCCTCAGC	(SEQ ID NO: 55,625)	49.8
SERPINA1_T129	TTGCCTGCTGAGGCTGTATG	(SEQ ID NO: 60,619)	74.3
SERPINA1_T145	TGCCTGCTGAGGCTGTATGT	(SEQ ID NO: 60,620)	41.2
SERPINA1_T204	TGAGGCTGTATGTGGGCTCC	(SEQ ID NO: 60,622)	42.6
SERPINA1_T35	CCGAAGACAGCACTGTTACC	(SEQ ID NO: 55,620)	29
SERPINA1_T184	CCAGGTAACAGTGCTGTCTT	(SEQ ID NO: 60,624)	0
SERPINA1_T178	CAGGTAACAGTGCTGTCTTC	(SEQ ID NO: 60,625)	0
SERPINA1_T157	CTCCATGAACACAGTTCAGG	(SEQ ID NO: 55,617)	0
SERPINA1_T140	GCTCCATGAACACAGTTCAG	(SEQ ID NO: 55,616)	41.6

SERPINA1_T147	AACTGTGTTCATGGAGCATC	(SEQ ID NO: 60,628)	18.2
SERPINA1_T273	TGTTTCATGGAGCATCTGGCT	(SEQ ID NO: 60,630)	61.9
SERPINA1_T247	CATGGAGCATCTGGCTGGGT	(SEQ ID NO: 60,632)	49.1
SERPINA1_T380	CTGGCTGGGTAGGCACATGC	(SEQ ID NO: 60,633)	77.7
SERPINA1_T456	CACATGCTGGGCTTGAATCC	(SEQ ID NO: 60,636)	0
SERPINA1_T352	ACATGCTGGGCTTGAATCCA	(SEQ ID NO: 60,637)	63.2
SERPINA1_T443	ATGCTGGGCTTGAATCCAGG	(SEQ ID NO: 60,639)	71.8
SERPINA1_T377	TGCTGGGCTTGAATCCAGGG	(SEQ ID NO: 60,640)	57.3
SERPINA1_T76	GCTGAGGATTTCAGTCCCCC	(SEQ ID NO: 55,607)	80.9
SERPINA1_T44	GGGCCCAGGTCCGTAAGCTG	(SEQ ID NO: 55,605)	42.7
SERPINA1_T160	GGGACTGAATCCTCAGCTTA	(SEQ ID NO: 60,642)	58.7
SERPINA1_T4	GAATCCTCAGCTTACGGACC	(SEQ ID NO: 60,643)	13.7
SERPINA1_T20	AATCCTCAGCTTACGGACCT	(SEQ ID NO: 60,644)	6.1
SERPINA1_T207	CTCCAGAAACAGATGGGCCC	(SEQ ID NO: 55,602)	43
SERPINA1_T260	GGAGCCCTCCAGAAACAGAT	(SEQ ID NO: 55,600)	74.5
SERPINA1_T403	TGGAGCCCTCCAGAAACAGA	(SEQ ID NO: 55,599)	37.8
SERPINA1_T87	GACCTGGGCCCCTCTGTTC	(SEQ ID NO: 60,645)	49.4
SERPINA1_T284	CTGGGCCCCTCTGTTCCTGG	(SEQ ID NO: 60,647)	32.7
SERPINA1_T228	TGGGCCCCTCTGTTCCTGGA	(SEQ ID NO: 60,648)	72.4
SERPINA1_T406	CAAGACAGGACAAGGAAGAC	(SEQ ID NO: 55,595)	23.2
SERPINA1_T304	CAGTCTTCCTTGTCTGTCT	(SEQ ID NO: 60,650)	37.4
SERPINA1_T126	CTTCTTGGGGACTCCAAGAC	(SEQ ID NO: 55,591)	30.1
SERPINA1_T137	CTGTCTTGGAGTCCCCAAGA	(SEQ ID NO: 60,654)	0
SERPINA1_T324	CTCCCCTGTGATTCCTTCTT	(SEQ ID NO: 55,587)	41.3
SERPINA1_T367	CCTCCCCTGTGATTCCTTCT	(SEQ ID NO: 55,586)	46.3
SERPINA1_T248	GTCCCCAAGAAGGAATCACA	(SEQ ID NO: 60,657)	51
SERPINA1_T310	TCCCCAAGAAGGAATCACAG	(SEQ ID NO: 60,658)	27.8
SERPINA1_T342	CCAAGAAGGAATCACAGGGG	(SEQ ID NO: 60,660)	49
SERPINA1_T332	GTGGAGCCTGGGGTCATGGC	(SEQ ID NO: 55,584)	48.7
SERPINA1_T358	CTTGGTGGAGCCTGGGGTCA	(SEQ ID NO: 55,583)	30
SERPINA1_T395	CAGATACCAGCCATGACCCC	(SEQ ID NO: 60,664)	20.8
SERPINA1_T362	AAGATGCTTGGTGGAGCCTG	(SEQ ID NO: 55,582)	21.1
SERPINA1_T235	GAAGATGCTTGGTGGAGCCT	(SEQ ID NO: 55,581)	4.1
SERPINA1_T238	TGAAGATGCTTGGTGGAGCC	(SEQ ID NO: 55,580)	5.8
SERPINA1_T255	GGGGACATGAAGATGCTTGG	(SEQ ID NO: 55,578)	59.5
SERPINA1_T296	CAGGGGGACATGAAGATGCT	(SEQ ID NO: 55,577)	64.9
SERPINA1_T455	GGGAGTGGGGGATGAGCAGG	(SEQ ID NO: 55,575)	43.7

SERPINA1_T347	GGGGAGTGGGGGATGAGCAG	(SEQ ID NO: 55,574)	67.5
SERPINA1_T387	GGGGGAGTGGGGGATGAGCA	(SEQ ID NO: 55,573)	27
SERPINA1_T416	GGGGGGAGTGGGGGATGAGC	(SEQ ID NO: 55,572)	15.8
SERPINA1_T440	CTCTGGGTGGGGGGGAGTGG	(SEQ ID NO: 55,569)	31.4
SERPINA1_T430	ACTCTGGGTGGGGGGGAGTG	(SEQ ID NO: 55,568)	11.8
SERPINA1_T389	AACTCTGGGTGGGGGGGAGT	(SEQ ID NO: 55,567)	6.7
SERPINA1_T398	CAACTCTGGGTGGGGGGGAG	(SEQ ID NO: 55,566)	6.1
SERPINA1_T415	ATGAGCAACTCTGGGTGGGG	(SEQ ID NO: 55,564)	35.7
SERPINA1_T412	GATGAGCAACTCTGGGTGGG	(SEQ ID NO: 55,563)	30.3
SERPINA1_T396	GGATGAGCAACTCTGGGTGG	(SEQ ID NO: 55,562)	30.4
SERPINA1_T426	AGGATGAGCAACTCTGGGTG	(SEQ ID NO: 55,561)	18.5
SERPINA1_T246	CAGGATGAGCAACTCTGGGT	(SEQ ID NO: 55,560)	35.1
SERPINA1_T373	GCAGGATGAGCAACTCTGGG	(SEQ ID NO: 55,559)	40.8
SERPINA1_T205	CTGGCAGGATGAGCAACTCT	(SEQ ID NO: 55,558)	#N/A
SERPINA1_T174	CCTGGCAGGATGAGCAACTC	(SEQ ID NO: 55,557)	#N/A
SERPINA1_T219	CCAGAGTTGCTCATCCTGCC	(SEQ ID NO: 60,669)	#N/A
SERPINA1_T171	CAGAGTTGCTCATCCTGCCA	(SEQ ID NO: 60,670)	#N/A

[00640] Note Regarding Illustrative Embodiments

[00641] While the present disclosure provides descriptions of various specific embodiments for the purpose of illustrating various aspects of the present invention and/or its potential applications, it is understood that variations and modifications will occur to those skilled in the art. Accordingly, the invention or inventions described herein should be understood to be at least as broad as they are claimed, and not as more narrowly defined by particular illustrative embodiments provided herein.

[00642] Any patent, publication, or other disclosure material identified herein is incorporated by reference into this specification in its entirety unless otherwise indicated, but only to the extent that the incorporated material does not conflict with existing descriptions, definitions, statements, or other disclosure material expressly set forth in this specification. As such, and to the extent necessary, the express disclosure as set forth in this specification supersedes any conflicting material incorporated by reference. Any material, or portion thereof, that is said to be incorporated by reference into this specification, but which conflicts with existing

definitions, statements, or other disclosure material set forth herein, is only incorporated to the extent that no conflict arises between that incorporated material and the existing disclosure material. Applicants reserve the right to amend this specification to expressly recite any subject matter, or portion thereof, incorporated by reference herein.

Claims

What is claimed is:

1. A method for editing the SERPINA1 gene in a human cell by genome editing, the method comprising the step of introducing into the human cell one or more deoxyribonucleic acid (DNA) endonucleases to effect one or more single-strand breaks (SSBs) or double-strand breaks (DSBs) within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene that results in a permanent deletion, insertion, or correction or modulation of expression or function of one or more mutations or exons within or near or affecting the expression or function of the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene and restoration of alpha-1-antitrypsin (AAT) protein activity.

2. A method for inserting a SERPINA1 gene in a human cell by genome editing, the method comprising introducing into the human cell one or more deoxyribonucleic acid (DNA) endonucleases to effect one or more single-strand breaks (SSBs) or double-strand breaks (DSBs) within or near a safe harbor locus that results in a permanent insertion of the SERPINA1 gene or minigene, and results in restoration of AAT activity.

3. An *ex vivo* method for treating a patient with alpha-1 antitrypsin deficiency (AATD) comprising the steps of:

- i) creating a patient specific induced pluripotent stem cell (iPSC);
- ii) editing within or near a SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene of the iPSC, or within or near a safe harbor locus of the iPSC;
- iii) differentiating the genome edited iPSC into a hepatocyte; and
- iv) implanting the hepatocyte into the patient.

4. The method of claim 3, wherein the creating step comprises:

- a) isolating a somatic cell from the patient; and
- b) introducing a set of pluripotency-associated genes into the somatic cell to induce the somatic cell to become a pluripotent stem cell.

5. The method of claim 4, wherein the somatic cell is a fibroblast.

6. The method of claim 4, wherein the set of pluripotency-associated genes is one or more of the genes selected from the group consisting of OCT4, SOX2, KLF4, Lin28, NANOG and cMYC.

7. The method of any one of claims 3-6, wherein the editing step comprises introducing into the iPSC one or more deoxyribonucleic acid (DNA) endonucleases to effect one or more single-strand breaks (SSBs) or double-strand breaks (DSBs) within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene that results in permanent deletion, insertion, or correction or modulation of expression or function of one or more mutations or exons within or near or affecting the expression or function of the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene, or within or near a safe harbor locus that results in permanent insertion of the SERPINA1 gene or minigene, and restoration of alpha-1-antitrypsin (AAT) protein activity.

8. The method of claim 7, wherein the safe harbor locus is selected from the group consisting of AAVS1 (PPP1R12C), ALB, Angptl3, ApoC3, ASGR2, CCR5, FIX (F9), G6PC, Gys2, HGD, Lp(a), Pcsk9, Serpina1, TF, and TTR.

9. The method of any one of claims 3-8, wherein the differentiating step comprises one or more of the following to differentiate the genome edited iPSC into a hepatocyte: contacting the genome edited iPSC with one or more of activin, B27 supplement, FGF4, HGF, BMP2, BMP4, Oncostatin M, Dexametason.

10. The method of any one of claims 3-9, wherein the implanting step comprises implanting the hepatocyte into the patient by transplantation, local injection, or systemic infusion, or combinations thereof.

11. An *ex vivo* method for treating a patient with alpha-1 antitrypsin deficiency (AATD), the method comprising the steps of:

- i) performing a biopsy of the patient's liver;
- ii) isolating a liver specific progenitor cell or primary hepatocyte;
- iii) editing within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene of the progenitor cell or primary hepatocyte or editing within or near a safe harbor locus of the progenitor cell or primary hepatocyte; and
- iv) implanting the genome-edited progenitor cell or primary hepatocyte into the patient.

12. The method of claim 11, wherein the isolating step comprises: perfusion of fresh liver tissues with digestion enzymes, cell differential centrifugation, cell culturing, and combinations thereof.

13. The method of any one of claims 11-12, wherein the editing step comprises introducing into the progenitor cell or primary hepatocyte one or more deoxyribonucleic acid (DNA) endonucleases to effect one or more single-strand breaks (SSBs) or double-strand breaks (DSBs) within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene that results in a permanent deletion, insertion, correction, or modulation of expression or function of one or more mutations or exons within or near or affecting the expression or function the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene, or within or near a safe harbor locus that results in permanent insertion of the SERPINA1 gene or minigene, and restoration of alpha-1-antitrypsin (AAT) protein activity.

14. The method of claim 13, wherein the safe harbor locus is selected from the group consisting of AAVS1 (PPP1R12C), ALB, Angptl3, ApoC3, ASGR2, CCR5, FIX (F9), G6PC, Gys2, HGD, Lp(a), Pcsk9, Serpina1, TF, and TTR.

15. The method of any one of claims 11-14, wherein the implanting step comprises implanting the genome-edited progenitor cell or primary hepatocyte into the patient by transplantation, local injection, or systemic infusion, or combinations thereof.

16. An *ex vivo* method for treating a patient with alpha-1 antitrypsin deficiency (AATD), the method comprising the steps of:

- i) performing a biopsy of the patient's bone marrow;
- ii) isolating a mesenchymal stem cell from the patient;
- iii) editing within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene of the mesenchymal stem cell or editing within or near a safe harbor locus of the mesenchymal stem cell;
- iv) differentiating the genome-edited mesenchymal stem cell into a hepatocyte; and
- v) implanting the hepatocyte into the patient.

17. The method of claim 16, wherein the mesenchymal stem cell is isolated from the patient's bone marrow or peripheral blood.

18. The method of claim 16, wherein the isolating step comprises: aspiration of bone marrow and isolation of mesenchymal cells by density centrifugation using Percoll™.

19. The method of any one of claims 16-18, wherein the editing step comprises introducing into the mesenchymal stem cell one or more deoxyribonucleic acid (DNA) endonucleases to effect one or more single-strand breaks (SSBs) or double-strand breaks (DSBs) within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene that results in permanent deletion, insertion, correction, or modulation of expression or function of one or more mutations or exons within or near or affecting the expression or function of the SERPINA1 gene or other DNA sequences that encode regulatory elements of the

SERPINA1 gene, or within or near a safe harbor locus that results in permanent insertion of the SERPINA1 gene or minigene, and restoration of alpha-1-antitrypsin (AAT) protein activity.

20. The method of claim 19, wherein the safe harbor locus is selected from the group consisting of AAVS1 (PPP1R12C), ALB, Angptl3, ApoC3, ASGR2, CCR5, FIX (F9), G6PC, Gys2, HGD, Lp(a), Pcsk9, Serpina1, TF, and TTR.

21. The method of any one of claims 16-19, wherein the differentiating step comprises one or more of the following to differentiate the genome edited stem cell into a hepatocyte: contacting the genome edited mesenchymal stem cell with one or more of insulin, transferrin, FGF4, HGF, or bile acids.

22. The method of any one of claims 16-21, wherein the implanting step comprises implanting the hepatocyte into the patient by transplantation, local injection, or systemic infusion, or combinations thereof.

23. An *in vivo* method for treating a patient with alpha-1 antitrypsin deficiency (AATD), the method comprising the step of editing the SERPINA1 gene in a cell of the patient, or other DNA sequences that encode regulatory elements of the SERPINA1 gene, or editing within or near a safe harbor locus in a cell of the patient.

24. The method of claim 23, wherein the editing step comprises introducing into the cell one or more deoxyribonucleic acid (DNA) endonucleases to effect one or more single-strand breaks (SSBs) or double-strand breaks (DSBs) within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene that results in permanent deletion, insertion, or correction or modulation of one or more mutations or exons within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene, or within or near a safe harbor locus that results in permanent insertion of the

SERPINA1 gene or minigene, and restoration of alpha-1-antitrypsin (AAT) protein activity.

25. The method of any one of claims 1, 2, 7, 13, 19, or 24, wherein the one or more DNA endonucleases is a Cas1, Cas1B, Cas2, Cas3, Cas4, Cas5, Cas6, Cas7, Cas8, Cas9 (also known as Csn1 and Csx12), Cas100, Csy1, Csy2, Csy3, Cse1, Cse2, Csc1, Csc2, Csa5, Csn2, Csm2, Csm3, Csm4, Csm5, Csm6, Cmr1, Cmr3, Cmr4, Cmr5, Cmr6, Csb1, Csb2, Csb3, Csx17, Csx14, Csx10, Csx16, CsaX, Csx3, Csx1, Csx15, Csf1, Csf2, Csf3, Csf4, or Cpf1 endonuclease; or a homolog thereof, recombination of the naturally occurring molecule, codon-optimized, or modified version thereof, and combinations thereof.

26. The method of claim 25, wherein the method comprises introducing into the cell one or more polynucleotides encoding the one or more DNA endonucleases.

27. The method of claim 25, wherein the method comprises introducing into the cell one or more ribonucleic acids (RNAs) encoding the one or more DNA endonucleases.

28. The method of any one of claims 26 or 27, wherein the one or more polynucleotides or one or more RNAs is one or more modified polynucleotides or one or more modified RNAs.

29. The method of claim 26, wherein the DNA endonuclease is a protein or polypeptide.

30. The method of any one of the preceding claims, wherein the method further comprises introducing into the cell one or more guide ribonucleic acids (gRNAs).

31. The method of claim 30, wherein the one or more gRNAs are single-molecule guide RNA (sgRNAs).

32. The method of any one of claims 30-31, wherein the one or more gRNAs or one or more sgRNAs is one or more modified gRNAs or one or more modified sgRNAs.

33. The method of any one of claims 30-32, wherein the one or more DNA endonucleases is pre-complexed with one or more gRNAs or one or more sgRNAs.

34. The method of any one of the preceding claims, wherein the method further comprises introducing into the cell a polynucleotide donor template comprising at least a portion of the wild-type SERPINA1 gene or minigene or cDNA.

35. The method of claim 34, wherein the at least a portion of the wild-type SERPINA1 gene or minigene or cDNA is exon 1, exon 2, exon 3, exon 4, exon 5, intronic regions, fragments or combinations thereof, the entire SERPINA1 gene, DNA sequences that encode wild type regulatory elements of the SERPINA1 gene, minigene or cDNA.

36. The method of any one of claims 34-35, wherein the donor template is either a single or double stranded polynucleotide.

37. The method of any one of claims 34-36, wherein the donor template has homologous arms to the 14q32.13 region.

38. The method of any one of claims 1, 2, 7, 13, 19, or 24, wherein the method further comprises introducing into the cell one guide ribonucleic acid (gRNA) and a polynucleotide donor template comprising at least a portion of the wild-type SERPINA1 gene, and wherein the one or more DNA endonucleases is one or more Cas9 endonucleases that effect one double-strand break (DSB) at a DSB locus within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene, or within or near a safe harbor locus that facilitates insertion of a new sequence from the polynucleotide donor template into the chromosomal DNA at the locus or safe harbor locus that results in permanent insertion or correction of a part of the chromosomal DNA of the SERPINA1 gene or

other DNA sequences that encode regulatory elements of the SERPINA1 gene proximal to the locus or safe harbor locus and restoration of AAT protein activity, and wherein the gRNA comprises a spacer sequence that is complementary to a segment of the locus or safe harbor locus.

39. The method of claim 38, wherein proximal means nucleotides both upstream and downstream of the locus or safe harbor locus.

40. The method of any one of claims 1, 2, 7, 13, 19, or 24, wherein the method further comprises introducing into the cell two guide ribonucleic acid (gRNAs) and a polynucleotide donor template comprising at least a portion of the wild-type SERPINA1 gene, and wherein the one or more DNA endonucleases is two or more Cas9 endonucleases that effect a pair of double-strand breaks (DSBs), the first at a 5' DSB locus and the second at a 3' DSB locus, within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene, or within or near a safe harbor locus that facilitates insertion of a new sequence from the polynucleotide donor template into the chromosomal DNA between the 5' DSB locus and the 3' DSB locus that results in permanent insertion or correction of the chromosomal DNA between the 5' DSB locus and the 3' DSB locus within or near the SERPINA1 gene or other DNA sequences that encode regulatory elements of the SERPINA1 gene, or within or near a safe harbor locus and restoration of AAT protein activity, and wherein the first guide RNA comprises a spacer sequence that is complementary to a segment of the 5' DSB locus and the second guide RNA comprises a spacer sequence that is complementary to a segment of the 3' DSB locus.

41. The method of any one of claims 38-40, wherein the one or two gRNAs are one or two single-molecule guide RNA (sgRNAs).

42. The method of any one of claims 38-41, wherein the one or two gRNAs or one or two sgRNAs is one or two modified gRNAs or one or two modified sgRNAs.

43. The method of any one of claims 38-42, wherein the one or more DNA endonucleases is pre-complexed with one or two gRNAs or one or two sgRNAs.

44. The method of any one of claims 38-43, wherein the at least a portion of the wild-type SERPINA1 gene or minigene or cDNA is exon 1, exon 2, exon 3, exon 4, exon 5, intronic regions, fragments or combinations thereof, the entire SERPINA1 gene, DNA sequences that encode wild-type regulatory elements of the SERPINA1 gene, minigene, or cDNA.

45. The method of any one of claims 38-44, wherein the donor template is either a single or double stranded polynucleotide.

46. The method of any one of claims 38-45, wherein the donor template has homologous arms to the 14q32.13 region.

47. The method of claim 44, wherein the DSB, or 5' DSB and 3' DSB are in the first, second, third, fourth, fifth exon or intron, SERPINA1 gene.

48. The method of any one of claims 1, 2, 7, 13, 19, 24, 30-33, or 38-41, wherein the gRNA or sgRNA is directed to one or more of the following pathological variants: rs764325655, rs121912713, rs28929474, rs17580, rs121912714, rs764220898, rs199422211, rs751235320, rs199422210, rs267606950, rs55819880, rs28931570.

49. The method of any one of claims 1, 2, 7, 13, 19, or 24-48, wherein the insertion or correction is by homology directed repair (HDR).

50. The method of any one of claims 1, 2, 7, 13, 19, or 24, wherein the method further comprises introducing into the cell two guide ribonucleic acid (gRNAs), and wherein the one or more DNA endonucleases is two or more Cas9 endonucleases that effect a pair of double-strand breaks (DSBs), the first at a 5' DSB locus and the second at a 3' DSB locus, within or near the SERPINA1 gene that causes a deletion of the chromosomal DNA between the 5' DSB locus and the 3' DSB locus that results in permanent deletion of the chromosomal DNA between the 5' DSB locus and the 3' DSB locus within or near the SERPINA1 gene and restoration of AAT protein activity, and wherein the first guide RNA comprises a spacer sequence that is complementary to a segment of the 5' DSB locus and the second guide RNA comprises a spacer sequence that is complementary to a segment of the 3' DSB locus.

51. The method of claim 50, wherein the two gRNAs are two single-molecule guide RNA (sgRNAs).

52. The method of any one of claims 50-51, wherein the two gRNAs or two sgRNAs are two modified gRNAs or two modified sgRNAs.

53. The method of any one of claims 50-52, wherein the one or more DNA endonucleases is pre-complexed with one or two gRNAs or one or two sgRNAs.

54. The method of any one of claims 50-53, wherein both the 5' DSB and 3' DSB are in or near either the first exon, second exon, third exon, fourth exon or fifth exon of the SERPINA1 gene.

55. The method of any one of claim 50-54, wherein the deletion is a deletion of 1kb or less.

56. The method of any one of claims 1, 2, 7, 13, or 19-55, wherein the Cas9 or Cpf1 mRNA, gRNA, and a donor template are either each formulated into separate lipid nanoparticles or all co-formulated into a lipid nanoparticle.

57. The method of any one of claims 1, 6, 11, 15 or 19-55, wherein the Cas9 or Cpf1 mRNA is formulated into a lipid nanoparticle, and both the gRNA and donor template are delivered by a viral vector.

58. The method of claim 57, wherein the viral vector is an adeno-associated virus (AAV).

59. The method of claim 58, wherein the AAV vector is an AAV6 vector.

60. The method of any one of claims 1, 2, 7, 13, 19 or 24-55, wherein the Cas9 or Cpf1 mRNA, gRNA and a donor template are either each formulated into separate exosomes or all co-formulated into an exosome.

61. The method of any one of claims 1, 2, 7, 13, 19 or 24-55, wherein the Cas9 or Cpf1 mRNA is formulated into a lipid nanoparticle, and the gRNA is delivered to the cell by electroporation and donor template is delivered to the cell by a viral vector.

62. The method of claim 61, wherein the viral vector is an adeno-associated virus (AAV) vector.

63. The method of claim 62, wherein the AAV vector is an AAV6 vector.

64. The method of any one of the preceding claims, wherein the SERPINA1 gene is located on Chromosome 14: 1,493,319 – 1,507,264 (Genome Reference Consortium – GRCh38/hg38).

65. The method of any one of claims 1, 2, 7, 13, 19 or 24-55, wherein the restoration of AAT protein activity is compared to wild-type or normal AAT protein activity.

66. The method of claim 1, wherein the human cell is a liver cell.

67. The method of claim 23, wherein the cell is a liver cell.

68. The method of any one of claims 1, 2, 7, 13, 19 or 24-55, wherein the SERPINA1 gene is operably linked to an exogenous promoter that drives expression of the SERPINA1 gene.

69. The method of any one of claims 1, 2, 7, 13, 19 or 24-55, wherein the one or more DSBs occurs at a location immediately 3' to an endogenous promoter locus.

70. One or more guide ribonucleic acids (gRNAs) for editing a SERPINA1 gene in a cell from a patient with alpha-1 antitrypsin deficiency (AATD), the one or more gRNAs comprising a spacer sequence selected from the group consisting of the nucleic acid sequences in SEQ ID NOs: 54,860-68,297 for editing the SERPINA1 gene in a cell from a patient with alpha-1 antitrypsin deficiency.

71. The one or more gRNAs of claim 70, wherein the one or more gRNAs are one or more single-molecule guide RNAs (sgRNAs).

72. The one or more gRNAs or sgRNAs of claim 70 or 71, wherein the one or more gRNAs or one or more sgRNAs is one or more modified gRNAs or one or more modified sgRNAs.

73. The one or more gRNAs or sgRNAs of any one of claims 70-72, wherein the cell is a liver cell.

74. One or more guide ribonucleic acids (gRNAs) for editing a safe harbor locus in a cell from a patient with alpha-1 antitrypsin deficiency (AATD), the one or more gRNAs comprising a spacer sequence selected from the group consisting of the nucleic acid sequences in SEQ ID NOs: 1-54,859 for editing the safe harbor locus

in a cell from a patient with alpha-1 antitrypsin deficiency, wherein the safe harbor locus is selected from the group consisting of AAVS1 (PPP1R12C), ALB, Angptl3, ApoC3, ASGR2, CCR5, FIX (F9), G6PC, Gys2, HGD, Lp(a), Pcsk9, Serpina1, TF, and TTR.

75. The one or more gRNAs of claim 74, wherein the one or more gRNAs are one or more single-molecule guide RNAs (sgRNAs).

76. The one or more gRNAs or sgRNAs of claim 74 or 75, wherein the one or more gRNAs or one or more sgRNAs is one or more modified gRNAs or one or more modified sgRNAs.

77. The one or more gRNAs or sgRNAs of any one of claims 74-76, wherein the cell is a liver cell.

Figure 1A

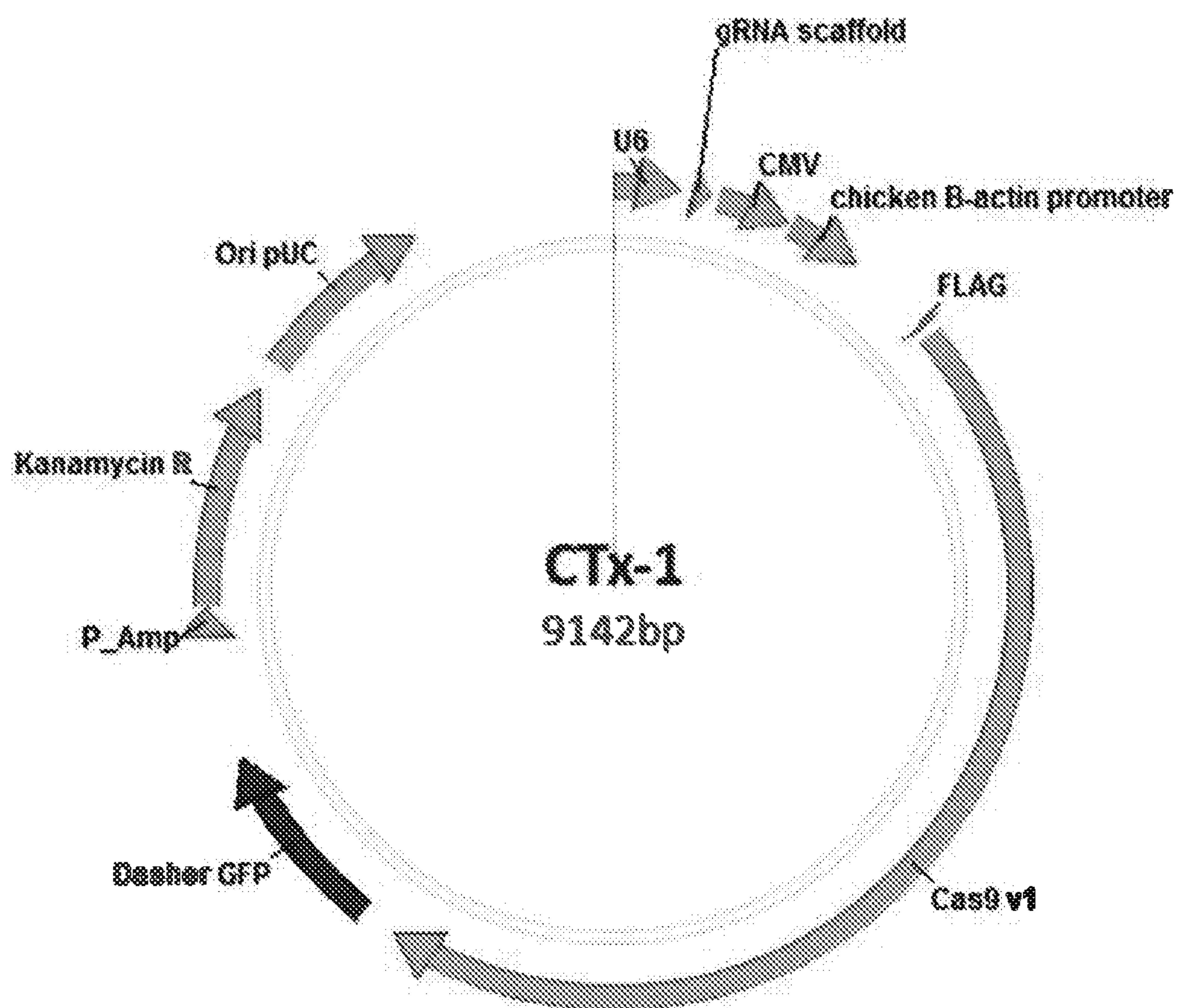


Figure 1B

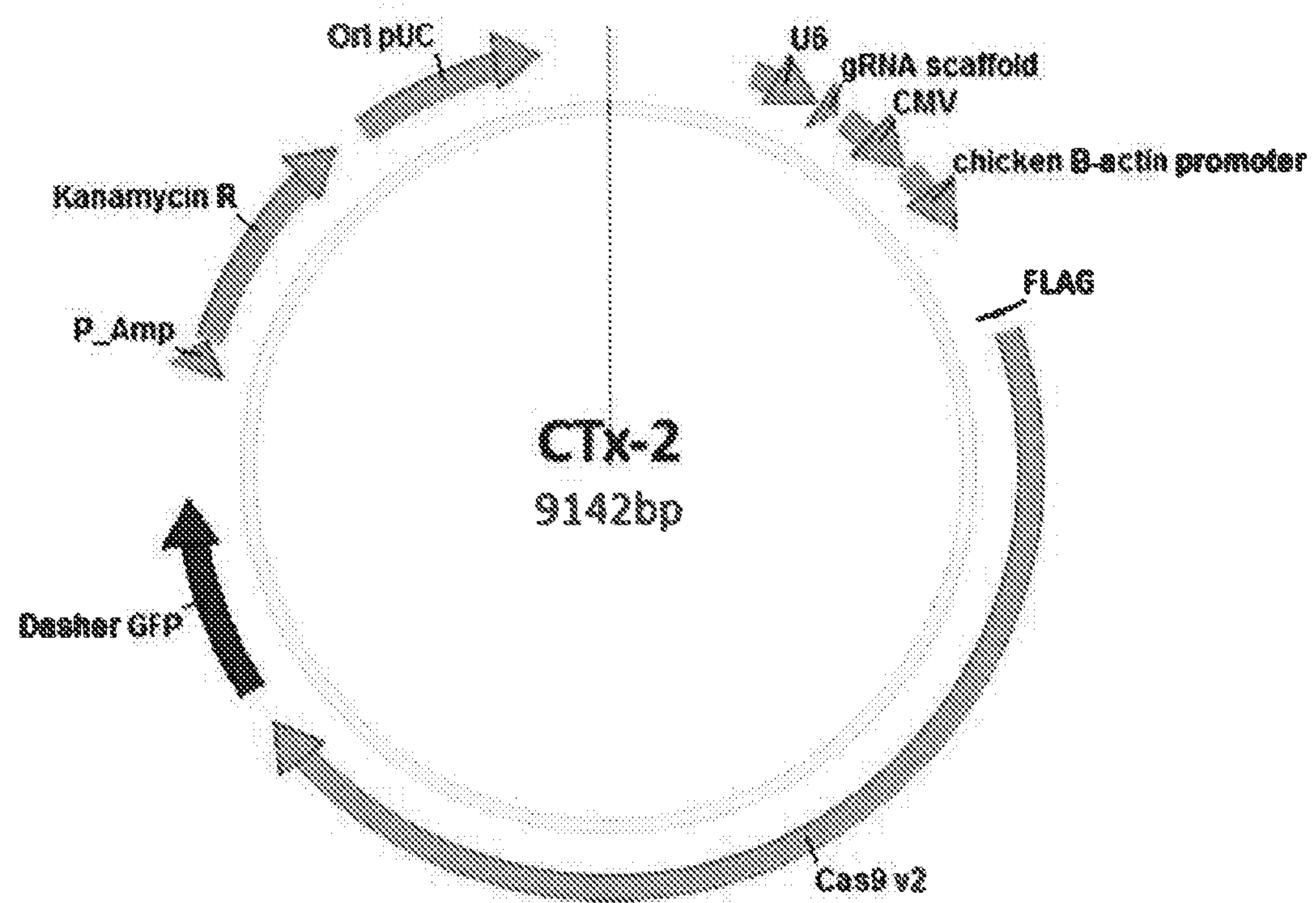


Figure 1C

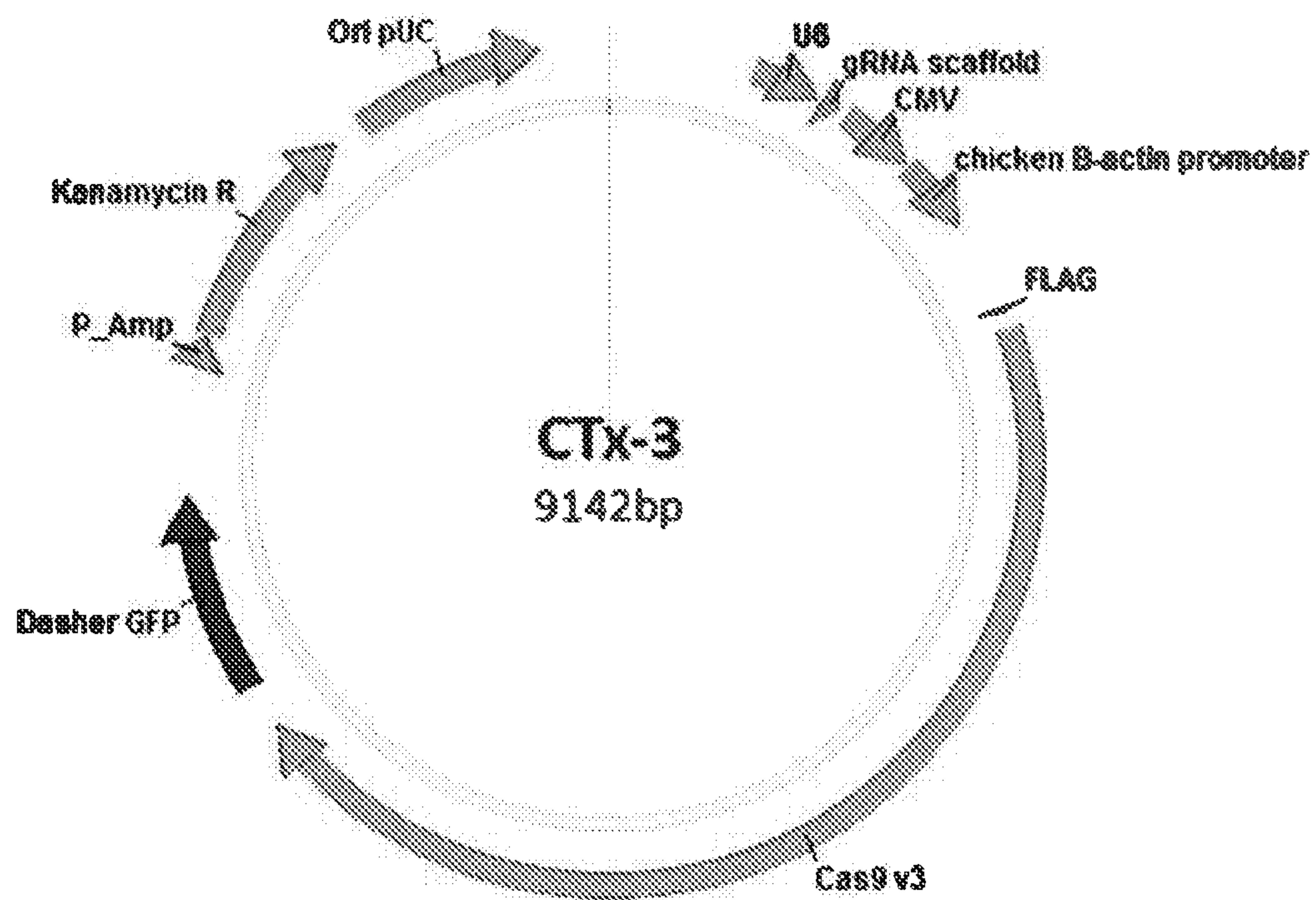


Figure 2A

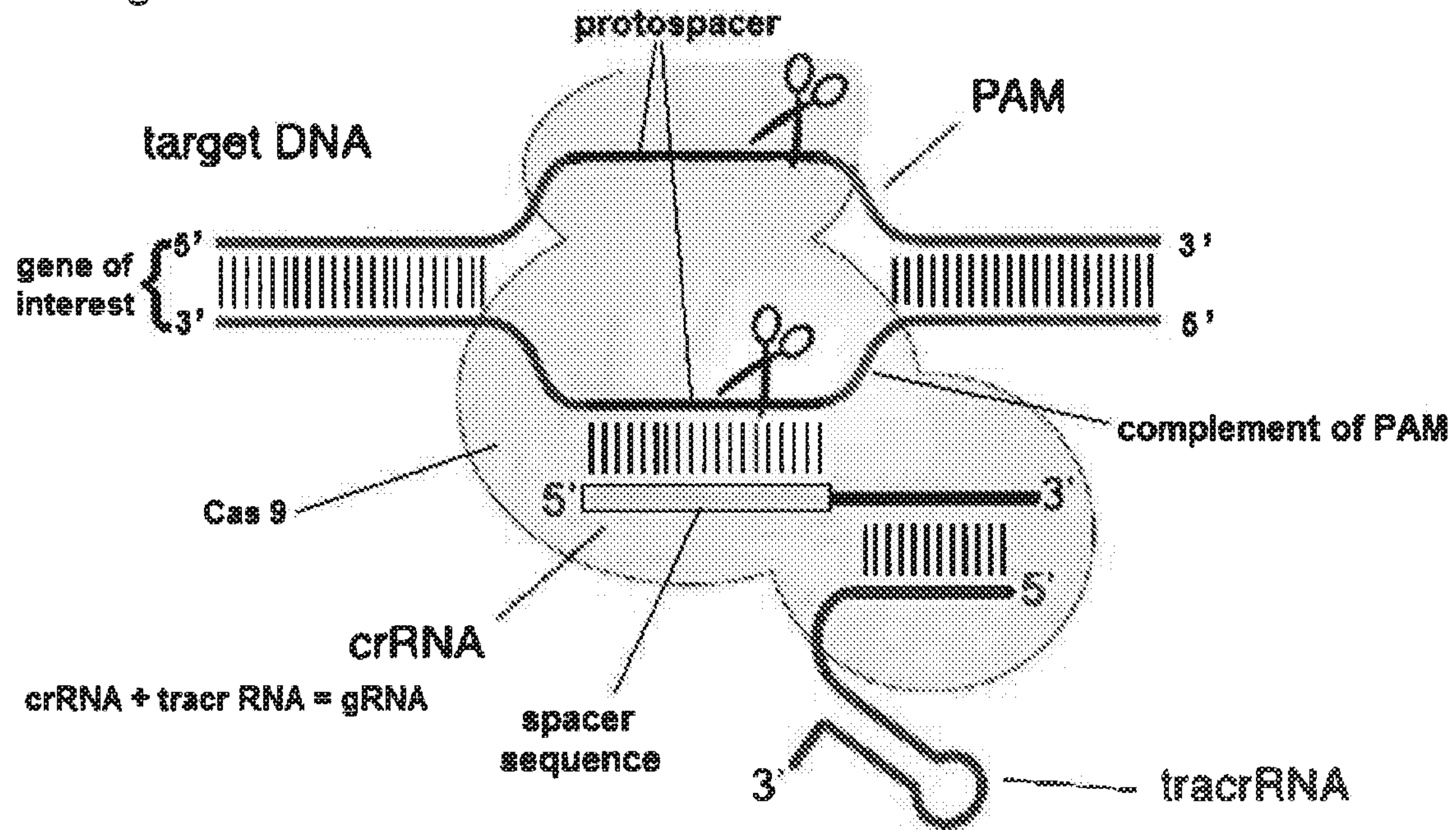


Figure 2B

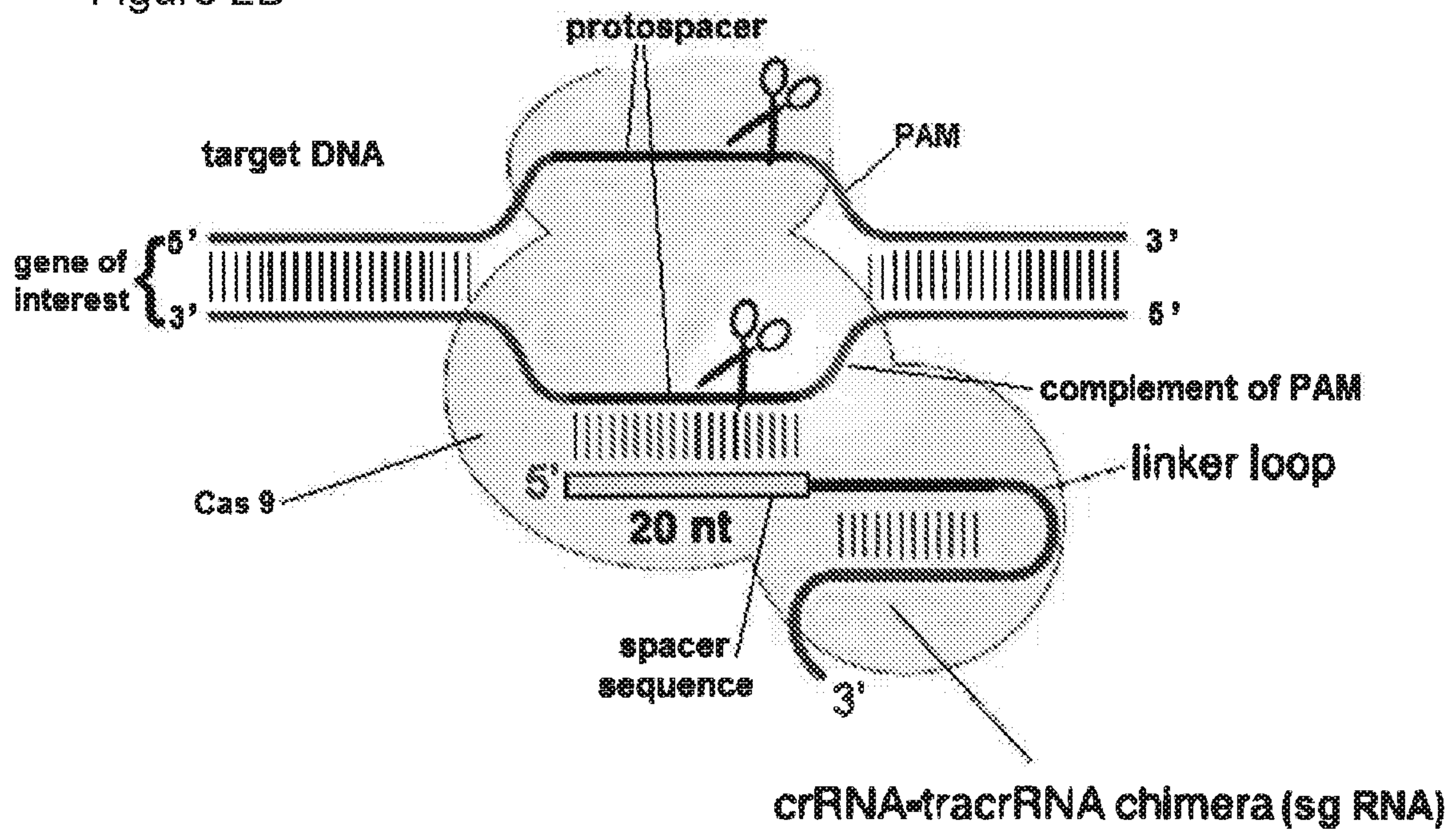
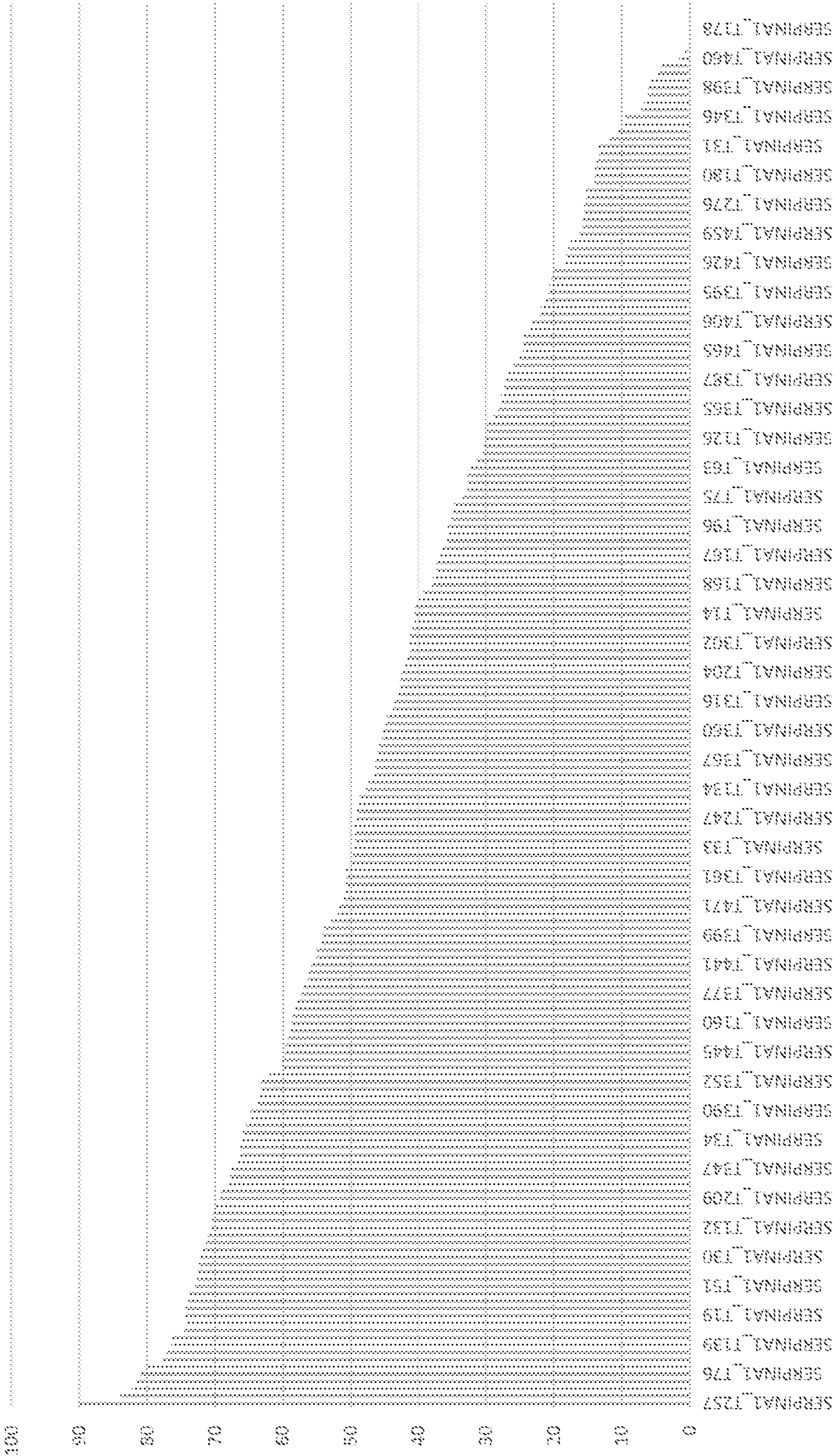


Fig. 3



Bar chart showing the number of reads for 48 different SerpinA1 transcripts. The y-axis represents the number of reads, ranging from 0 to 100. The x-axis lists the transcripts, ordered by decreasing read count. The transcripts are labeled as SERPIN1A1_T1257, SERPIN1A1_T176, SERPIN1A1_T139, SERPIN1A1_T119, SERPIN1A1_T151, SERPIN1A1_T130, SERPIN1A1_T132, SERPIN1A1_T109, SERPIN1A1_T347, SERPIN1A1_T134, SERPIN1A1_T390, SERPIN1A1_T352, SERPIN1A1_T445, SERPIN1A1_T160, SERPIN1A1_T377, SERPIN1A1_T441, SERPIN1A1_T399, SERPIN1A1_T471, SERPIN1A1_T361, SERPIN1A1_T133, SERPIN1A1_T147, SERPIN1A1_T134, SERPIN1A1_T367, SERPIN1A1_T360, SERPIN1A1_T316, SERPIN1A1_T204, SERPIN1A1_T302, SERPIN1A1_T14, SERPIN1A1_T168, SERPIN1A1_T167, SERPIN1A1_T96, SERPIN1A1_T175, SERPIN1A1_T63, SERPIN1A1_T126, SERPIN1A1_T365, SERPIN1A1_T387, SERPIN1A1_T465, SERPIN1A1_T406, SERPIN1A1_T395, SERPIN1A1_T426, SERPIN1A1_T459, SERPIN1A1_T276, SERPIN1A1_T180, SERPIN1A1_T131, SERPIN1A1_T346, SERPIN1A1_T398, SERPIN1A1_T460, and SERPIN1A1_T178. The read counts decrease from approximately 85 for the first transcript to near zero for the last transcript.

