PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

WO 99/12040 (51) International Patent Classification 6: (11) International Publication Number: **A3** G01N 33/68 (43) International Publication Date: 11 March 1999 (11.03.99) PCT/US98/18311 (81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, (21) International Application Number: BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, (22) International Filing Date: 2 September 1998 (02.09.98) LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (30) Priority Data: (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent US 2 September 1997 (02.09.97) 08/922,201 (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, (71) Applicant: SEQUENOM, INC. [US/US]; 11555 Sorrento Valley Road, San Diego, CA 92121 (US). CM, GA, GN, GW, ML, MR, NE, SN, TD, TG). (72) Inventors: LITTLE, Daniel; Apartment 391, 8594 Villa La Published Jolla Drive, La Jolla, CA 92037 (US). KÖSTER, Hubert;

(74) Agent: SEIDMAN, Stephanie, L.; Heller Ehrman White & McAuliffe, Suite 700, 4250 Executive Square, La Jolla, CA 92037 (US).

Berwickshire TD1Y 55A (GB).

8636-C Via Mallorca Drive, La Jolla, CA 92037 (US).

HIGGINS, G., Scott; 33 Castleview Avenue, Paisley PA2 EE (GB). LOUGH, David; 32 Deanhead Road, Eyemouth,

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(88) Date of publication of the international search report:

2 September 1999 (02.09.99)

(54) Title: MASS SPECTROMETRIC DETECTION OF POLYPEPTIDES

(57) Abstract

A process for determining the identity of a target polypeptide using mass spectroscopy is provided. Depending on the target polypeptide to be identified, a process as disclosed can be used, for example, to diagnose a genetic disease or chromosomal abnormality, a predisposition to a disease or condition, or infection by a pathogenic organism; or for determining identity or heredity. Kits for performing the disclosed processes also are provided.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	ТJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
ВJ	Benin	ΙE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

In ational Application No PCT/US 98/18311

A. CLASSII IPC 6	FICATION OF SUBJECT MATTER G01N33/68		š)
	o International Patent Classification (IPC) or to both national classific	cation and IPC	
	SEARCHED commentation searched (classification system followed by classification)	tion symbols)	
IPC 6	GOIN		
Documentat	tion searched other than minimum documentation to the extent that	such documents are included in the fields se	earched
Electronic da	ata base consulted during the international search (name of data ba	ase and, where practical, search terms used)
C. DOCUME	ENTS CONSIDERED TO BE RELEVANT		
Category 3	Citation of document, with indication, where appropriate, of the re	elevant passages	Relevant to claim No.
P,X	WO 98 11249 A (GARVIN ALEX M) 19 March 1998 - see page 4, paragraph 5 - page 5 paragraph 2	,	1-11, 17-28, 34, 38-44, 48,49, 52,55, 58-60, 63,64, 76,107, 108,111
	see page 6, line 4 - line 9	-/	
X Furti	her documents are listed in the continuation of box C.	Patent family members are listed	in annex.
"A" docume consic filing c filing c "L" docume which citatio "O" docum other: "P" docume later ti	ent defining the general state of the art which is not dered to be of particular relevance document but published on or after the international date ent which may throw doubts on priority claim(s) or is cited to establish the publication date of another in or other special reason (as specified) ent referring to an oral disclosure, use, exhibition or means ent published prior to the international filing date but han the priority date claimed	"T" later document published after the interpretation or priority date and not in conflict with cited to understand the principle or the invention of the cannot be considered novel or cannot involve an inventive step when the document of particular relevance; the cannot be considered to involve an indocument is combined with one or ments, such combined with one or ments, such combination being obvious in the art. "&" document member of the same patent Date of mailing of the international set.	the application but every underlying the claimed invention to considered to comment is taken alone claimed invention inventive step when the one other such documents to a person skilled arch report
1	1 June 1999	,1 4. 07. 99	
Name and r	mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer Hart-Davis, J	-

In ational Application No
PCT/US 98/18311

C.(Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	4-
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 538 897 A (YATES III JOHN R ET AL) 23 July 1996	2,28,29, 31,34, 37-44, 48,49, 52,55, 58,60, 63-65, 71,72, 74,76
	see column 17, line 26 - column 18, line 56; claim 1	
X	PROME D ET AL: "Use of Combined Mass Spectrometry Methods for the Characterization of a New Variant of Human Hemoglobin: The Double Mutant Hemoglobin Villeparisis beta77(EF1) His @rarr Tyr, beta80 (EF4) Asn @rarr Ser" JOURNAL OF THE AMERICAN SOCIETY FOR MASS SPECTROMETRY, vol. 7, no. 2, February 1996, page 163-167 XP004051911	2,28, 39-44, 49,52, 55,58, 71,72,74
X	see the whole document	78, 80-83, 85,86, 89,93, 97,98, 100,101, 104,105, 113,114, 117-119
X	A MOSCA, R PALEARI, F M RUBINO, L ZECCA, G DE BELLIS, S DEBERNADI, F BAUDO, D CAPPELLINI, G FIORELLI: "Hb Abrruzzo '.beta.143(H21)His>-Arg! Identified by Mass Spectrometry and DNA Analysis" HEMOGLOBIN, vol. 17, no. 3, 1993, pages 261-268, XP002093188	2,28, 39-44, 49,52, 55,58, 71,72,74
X	see the whole document	78, 80-83, 85,86, 89,93, 97,98, 100,101, 104,105, 113,114, 117-119
	-/	

Int Intional Application No PCT/US 98/18311

C.(Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	<u></u>
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X A	GB 2 168 478 A (SCAN LIMITED M) 18 June 1986 see the whole document	2,28,39, 40,58 78, 80-86, 89,93, 97-101, 104,105, 113,114, 117-119
X	WO 96 36732 A (UNIV ROCKEFELLER ;SCRIPPS RESEARCH INST (US); CIPHERGEN BIOSYSTEMS) 21 November 1996	2,28,39, 40,58
X	cited in the application see page 5, line 32 - page 7, line 38	58,78, 80-83, 85,86, 89,93, 97,98, 100,101, 104,105, 113,114, 117-119
A	WO 95 31429 A (UNIV BOSTON) 23 November 1995 cited in the application see page 29; figure 7; table 4 & US 5 643 722 A (ROTHSCHILD KENNETH J ET AL) 1 July 1997 cited in the application	2,34-36, 58,60-62
X	WO 93 24834 A (BEAVIS RONALD ; CHAIT BRIAN T (US); WANG RONG (US); KENT STEPHEN B) 9 December 1993	2,28,39, 40,58
X	cited in the application see page 30; claims 1-10; figures 3,4	78, 80-84, 89,93, 97-99, 104,105, 113,114, 117,118
	-/	

In ational Application No
PCT/US 98/18311

	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	<u> </u>
Category ³	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
x x	WO 94 28418 A (BAYLOR COLLEGE MEDICINE; HUTCHENS T WILLIAM (US); YIP TAI TUNG (US) 8 December 1994 see page 16, line 10 - page 17, line 21	2,14-16, 28-40, 58,60-65 78, 80-83, 85-98, 100-106, 113-119
	see page 59, line 20 - page 60, line 25 see page 67, line 18 - page 68, line 11 see page 81, line 1 - page 84, line 6 see page 29, line 9 - page 33, line 10	
X	WO 97 19110 A (STRATTON MICHAEL RUDOLF ;WOOSTER RICHARD FRANCIS (GB); ASHWORTH AL) 29 May 1997	2,28,39, 40,58
Α	see page 48, line 25 - line 33; examples 3,4	41-44, 48,49, 52,55, 71,72, 74,76
X A	EP 0 683 234 A (TAKEDA CHEMICAL INDUSTRIES LTD) 22 November 1995 see page 16, line 22 - line 36	2,28,39, 40,58 29,31, 34,37, 38, 41-44, 49,52, 55,60, 63-65, 71,72,74
X X	WO 96 36986 A (PERSEPTIVE BIOSYSTEMS INC) 21 November 1996 see claims 1,12-17	2,28,39, 40,58 58,78, 80-83, 85,86, 89,93, 97,98, 100,101, 104,105, 113,114, 117-119
X	WO 95 25737 A (PENN STATE RES FOUND; BENKOVIC STEPHEN J (US); WINOGRAD NICHOLAS () 28 September 1995 see page 28, line 12 - page 30, line 25; examples 4,5	2,28, 34-36, 38-40, 58,60-64

Int :tional Application No
PCT/US 98/18311

		1/05 98/18311
	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	Colourat to alain Ma
Category °	Citation of document, with indication,where appropriate, of the relevant passages	Relevant to claim No.
X	A BELANGER, H VAN HALBEEK, H C GRAVES, K GRANDBOIS, T A STAMEY, L HUANG, I POPPE, F LABRIE: "Molecular mass and carbohydrate structure of prostate specific antigen: studies for establishment of an international PSA standard" PROSTATE, vol. 27, no. 4, October 1995, pages 187-197, XP002105696 see the whole document	2,28, 39-47, 49,52, 55,58, 71,72, 74,75
X	A C TAS, J ODINK, J VAN DER GREEF, M D FERRARI, L VAN EKDOM, A C PETERS, W BOOGERD: "Characterization of virus infected cell cultures by pyrolysis / direct chemical ionization mass spectrometry" BIOMEDICAL AND ENVIRONMENTAL MASS SPECTROMETRY, vol. 18, no. 9, September 1989, pages 757-760, XP002105697 see the whole document	2,28,39, 40,56-58
P,X	A M HAAG, S N TAYLOR, K H JOHNSTON, R B COLE: "Rapid identification and speciation of Haemophilus bacteria by matrix-assisted laser desorption/ionization time-of-flight mass spectrometry" JOURNAL OF MASS SPECTROMETRY, vol. 33, no. 8, 1998, pages 750-756, XP002105698 see the whole document	2,28,39, 40,56-58
P,X	K J WELHAM, M A DOMIN, D E SCANNELL, E COHEN, S ASHSTON: "The rapid identification of intact microorganisms by matrix-assisted laser desorption/ionization time-of-flight mass spectrometry" PHARMACY AND PHARMACOLOGY COMMUNICATIONS, vol. 4, no. 2, February 1998, pages 81-87, XP002105699 see the whole document	2,28,39, 40,56-58
X	T KRISHNAMURTHY, P L ROSS, M T GOODE, D L MENKING, U RAJAMANI: "Biomolecules and mass spectroscopy" JOURNAL OF NATURAL TOXINS, vol. 6, no. 2, 1997, pages 121-162, XP002105700 see the whole document -/	2,28,39, 40,56-58

Int tional Application No PCT/US 98/18311

	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	T KRISHNAMURTHY, P L ROSS: "Rapid identification of bacteria by direct matrix assisted laser desorption / ionization mass spectrometric analysis of whole cells" RAPID COMMUNICATIONS IN MASS SPECTROMETRY, vol. 10, no. 15, 1996, pages 1992-1996, XP002105701 see the whole document	2,28,39,40,56-58

PCT/US 98/18311

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
2. Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
see additional sheet
1. As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on Protest The additional search fees were accompanied by the applicant's protest. X No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1, 2 (in part), 3-13, 14-16 (in part), 17-27, 28-38 (in part), 39-40, 41-58 (in part), 59, 66-70

Use of mass spectroscopy in the identification of polypeptides, the polypeptides having been obtained by prior translation of nucleic acids. Claims 14-16 and 28-57 have been searched in so far as they as they refer back to Claim 1 (these claims as filed depend on Claims 1 or 2).

2. Claims: 2 (in part), 14-16 (in part), 30-33 (in part)

Use of mass spectroscopy in the identification of polypeptides, the polypeptide comprising a tag moiety. Claims 14-16 and 30-33 have been searched in so far as they as they refer back to Claim 2 (these claims as filed depend on Claims 1 or 2).

3. Claims: 2 (in part), 28-29 (in part), 34-38 (in part), 58 (in part), 60-65

Use of mass spectroscopy in the identification of a polypeptide or of a plurality of polypeptides, the polypeptide(s) having been immobilized on a solid support prior to analysis by mass spectroscopy. Claims 34-38 have been searched in so far as they as they refer back to Claim 2 (these claims as filed depend on Claims 1 or 2).

4. Claims: 2 (in part), 41-57 (in part), 71-77

Use of mass spectroscopy in the identification of polypeptides, the polypeptide(s) being associated with allelic variants and/or disease states. Claims 41-57 have been searched in so far as they as they refer back to Claim 2 (these claims as filed depend on Claims 1 or 2).

5. Claims: 78-120

Use of mass spectroscopy in the identification of polypeptides, the polypeptide(s) having been treated prior to MS analysis by an agent which cleaves peptide bonds in the said polypeptides, thus producing peptide fragments.

Information on patent family members

Int ional Application No PCT/US 98/18311

Patent document cited in search repor	t	Publication date		atent family member(s)	Publication date
WO 9811249	Α	19-03-1998	NONE		
US 5538897	Α	23-07-1996	CA EP JP WO	2185574 A 0750747 A 9510780 T 9525281 A	21-09-1995 02-01-1997 28-10-1997 21-09-1995
GB 2168478	Α	18-06-1986	US	4701419 A	20-10-1987
WO 9636732	Α	21-11-1996	US AU CA EP	5792664 A 5749096 A 2221727 A 0883692 A	11-08-1998 29-11-1996 21-11-1996 16-12-1998
WO 9531429	Α	23-11-1995	US AU CA EP JP	5643722 A 2635995 A 2189848 A 0763009 A 10500409 T	01-07-1997 05-12-1995 23-11-1995 19-03-1997 13-01-1998
WO 9324834	А	09-12-1993	AU CA EP JP US	685055 B 4395893 A 2136717 A 0664884 A 7507394 T 5792664 A	15-01-1998 30-12-1993 09-12-1993 02-08-1995 10-08-1995 11-08-1998
WO 9428418	A	08-12-1994	AU AU CA EP JP NZ US US	676582 B 7048394 A 2163426 A 0700521 A 9501489 T 267842 A 5719060 A 5894063 A	13-03-1997 20-12-1994 08-12-1994 13-03-1996 10-02-1997 22-09-1997 17-02-1998 13-04-1999
WO 9719110	A	29-05-1997	AU CA EP GB	7635096 A 2238010 A 0858467 A 2307477 A,B	11-06-1997 29-05-1997 19-08-1998 28-05-1997
EP 0683234	Α	22-11-1995	WO US	9417197 A 5750349 A	04-08-1994 12-05-1998
WO 9636986	А	21-11-1996	US EP US US	5869240 A 0827628 A 5827659 A 5821063 A	09-02-1999 11-03-1998 27-10-1998 13-10-1998
WO 9525737	Α	28-09-1995	EP JP US		08-01-1997 28-10-1997 10-11-1998