

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization

International Bureau



(43) International Publication Date
10 December 2015 (10.12.2015)

WIPO | PCT

(10) International Publication Number

WO 2015/187804 A1

(51) International Patent Classification:

H04W 74/08 (2009.01)

(21) International Application Number:

PCT/US2015/033941

(22) International Filing Date:

3 June 2015 (03.06.2015)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

62/007,113 3 June 2014 (03.06.2014) US
14/728,859 2 June 2015 (02.06.2015) US

(71) Applicant: QUALCOMM INCORPORATED [US/US];
Attn: International Ip Administration, 5775 Morehouse
Drive, San Diego, CA 92121-1714 (US).

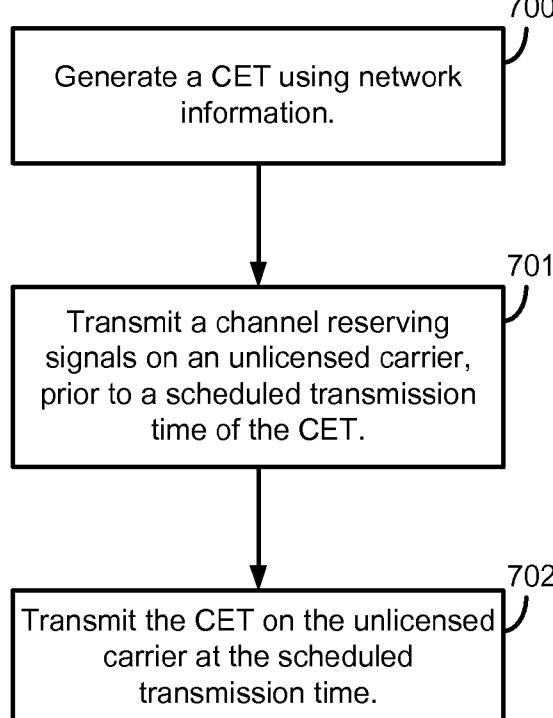
(72) Inventors: LUO, Tao; Qualcomm Incorporated, Attn: International Ip Administration, 5775 Morehouse Drive, San Diego, CA 92121-1714 (US). GAAL, Peter; Qualcomm Incorporated, Attn: International Ip Administration, 5775

Morehouse Drive, San Diego, CA 92121-1714 (US).

CHEN, Wanshi; Qualcomm Incorporated, Attn: International Ip Administration, 5775 Morehouse Drive, San Diego, CA 92121-1714 (US). DAMNJANOVIC, Aleksandar; Qualcomm Incorporated, Attn: International Ip Administration, 5775 Morehouse Drive, San Diego, CA 92121-1714 (US). WEI, Yongbin; Qualcomm Incorporated, Attn: International Ip Administration, 5775 Morehouse Drive, San Diego, CA 92121-1714 (US). MALLADI, Durga, Prasad; Qualcomm Incorporated, Attn: International Ip Administration, 5775 Morehouse Drive, San Diego, CA 92121-1714 (US). XU, Hao; Qualcomm Incorporated, Attn: International Ip Administration, 5775 Morehouse Drive, San Diego, CA 92121-1714 (US). VAJAPEYAM, Madhavan, Srinivasan; Qualcomm Incorporated, Attn: International Ip Administration, 5775 Morehouse Drive, San Diego, CA 92121-1717 (US). YERRAMALLI, Srinivas; Qualcomm Incorporated, Attn: International Ip Administration, 5775 Morehouse Drive, San Diego, CA 92121-1714 (US).

[Continued on next page]

(54) Title: PROTECTED CET TRANSMISSION AND RECEPTION



(57) Abstract: Protection of transmission and reception of clear channel assessment (CCA)- exempt transmission (CET) is disclosed in which channel reserving signals or protection signals may be transmitted by the transmitter or receiver of the CET. The transmitted signals may be received by the neighboring access points that could potentially cause interference with the reception of the CET.

FIG. 7



(74) **Agent:** MEANEY, Thomas J.; Norton Rose Fulbright US LLP, 2200 Ross Avenue, Suite 3600, Dallas, TX 75201-2784 (US).

(81) **Designated States** (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) **Designated States** (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

Published:

- with international search report (Art. 21(3))
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments (Rule 48.2(h))

PROTECTED CET TRANSMISSION AND RECEPTION

CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] This application claims the benefit of U.S. Provisional Patent Application No. 62/007,113, entitled, “PROTECTED CET TRANSMISSION AND RECEPTION,” filed on June 3, 2014, and U.S. Utility Patent Application No. 14/728,859, entitled, “PROTECTED CET TRANSMISSION AND RECEPTION”, filed on June 2, 2015, which are expressly incorporated by reference herein in their entirety.

BACKGROUND

Field

[0002] Aspects of the present disclosure relate generally to wireless communication systems, and more particularly, to protected clear channel assessment (CCA)-exempt transmission (CET) transmission and reception.

Background

[0003] Wireless communication networks are widely deployed to provide various communication services such as voice, video, packet data, messaging, broadcast, and the like. These wireless networks may be multiple-access networks capable of supporting multiple users by sharing the available network resources. Such networks, which are usually multiple access networks, support communications for multiple users by sharing the available network resources. One example of such a network is the Universal Terrestrial Radio Access Network (UTRAN). The UTRAN is the radio access network (RAN) defined as a part of the Universal Mobile Telecommunications System (UMTS), a third generation (3G) mobile phone technology supported by the 3rd Generation Partnership Project (3GPP). Examples of multiple-access network formats include Code Division Multiple Access (CDMA) networks, Time Division Multiple Access (TDMA) networks, Frequency Division Multiple Access (FDMA) networks, Orthogonal FDMA (OFDMA) networks, and Single-Carrier FDMA (SC-FDMA) networks.

[0004] A wireless communication network may include a number of base stations or node Bs that can support communication for a number of user equipments (UEs). A UE may communicate with a base station via downlink and uplink. The downlink (or forward link) refers to the communication link from the base station to the UE, and the uplink (or reverse link) refers to the communication link from the UE to the base station.

[0005] A base station may transmit data and control information on the downlink to a UE and/or may receive data and control information on the uplink from the UE. On the downlink, a transmission from the base station may encounter interference due to transmissions from neighbor base stations or from other wireless radio frequency (RF) transmitters. On the uplink, a transmission from the UE may encounter interference from uplink transmissions of other UEs communicating with the neighbor base stations or from other wireless RF transmitters. This interference may degrade performance on both the downlink and uplink.

[0006] As the demand for mobile broadband access continues to increase, the possibilities of interference and congested networks grows with more UEs accessing the long-range wireless communication networks and more short-range wireless systems being deployed in communities. Research and development continue to advance the UMTS technologies not only to meet the growing demand for mobile broadband access, but to advance and enhance the user experience with mobile communications.

SUMMARY

[0007] In one aspect of the disclosure, a method of wireless communication includes generating a control-reference transmission using network information, transmitting a channel reserving signals on an unlicensed carrier, prior to a scheduled transmission time of the control-reference transmission, and transmitting the control-reference transmission on the unlicensed carrier at the scheduled transmission time.

[0008] In an additional aspect of the disclosure, a method of wireless communication includes generating a control-reference transmission using network information, selecting a location within a scheduled control-reference transmission window for transmission of the control-reference transmission over an unlicensed carrier, and transmitting the control-reference transmission on the unlicensed carrier at the location within the scheduled control-reference transmission window.

[0009] In an additional aspect of the disclosure, a method of wireless communication includes determining a schedule of downlink control-reference transmission from a serving base station over an unlicensed carrier, transmitting a channel reserving signal over the unlicensed carrier prior to a next control-reference transmission according to the schedule, and receiving the next control-reference transmission over the unlicensed carrier.

[0010] In an additional aspect of the disclosure, a method of wireless communication including determining an expected duration of an uplink control-reference transmission reception from a UE served by a base station, transmitting a protection signal, wherein the protection signal identifies a protection duration of at least the duration of the expected duration of the uplink control-reference transmission reception, and monitoring for an expected uplink control-reference transmission.

[0011] In an additional aspect of the disclosure, an apparatus configured for wireless communication includes means for generating a control-reference transmission using network information, means for transmitting a channel reserving signals on an unlicensed carrier, prior to a scheduled transmission time of the control-reference transmission, and means for transmitting the control-reference transmission on the unlicensed carrier at the scheduled transmission time.

[0012] In an additional aspect of the disclosure, an apparatus configured for wireless communication includes means for generating a control-reference transmission using network information, means for selecting a location within a scheduled control-reference transmission window for transmission of the control-reference transmission over an unlicensed carrier, and means for transmitting the control-reference transmission on the unlicensed carrier at the location within the scheduled control-reference transmission window.

[0013] In an additional aspect of the disclosure, an apparatus configured for wireless communication includes means for determining a schedule of downlink control-reference transmission from a serving base station over an unlicensed carrier, means for transmitting a channel reserving signal over the unlicensed carrier prior to a next control-reference transmission according to the schedule, and means for receiving the next control-reference transmission over the unlicensed carrier.

[0014] In an additional aspect of the disclosure, an apparatus configured for wireless communication including means for determining an expected duration of an uplink control-reference transmission reception for an expected uplink control-reference transmission transmitted from a UE served by a base station, means for transmitting a protection signal, wherein the protection signal identifies a protection duration of at least the duration of the expected duration of the uplink control-reference transmission reception, and means for monitoring for the expected uplink control-reference transmission.

[0015] In an additional aspect of the disclosure, a computer-readable medium having program code recorded thereon. This program code includes code to generate a control-reference transmission using network information, code to transmit a channel reserving signals on an unlicensed carrier, prior to a scheduled transmission time of the control-reference transmission, and code to transmit the control-reference transmission on the unlicensed carrier at the scheduled transmission time.

[0016] In an additional aspect of the disclosure, a computer-readable medium having program code recorded thereon. This program code includes code to generate a control-reference transmission using network information, code to select a location within a scheduled control-reference transmission window for transmission of the control-reference transmission over an unlicensed carrier, and code to transmit the control-reference transmission on the unlicensed carrier at the location within the scheduled control-reference transmission window.

[0017] In an additional aspect of the disclosure, a computer-readable medium having program code recorded thereon. This program code includes code to determine a schedule of downlink control-reference transmission from a serving base station over an unlicensed carrier, code to transmit a channel reserving signal over the unlicensed carrier prior to a next control-reference transmission according to the schedule, and code to receive the next control-reference transmission over the unlicensed carrier.

[0018] In an additional aspect of the disclosure, a computer-readable medium having program code recorded thereon. This program code includes code to determine an expected duration of an uplink control-reference transmission reception for an expected uplink control-reference transmission transmitted from a UE served by a base station, code to transmit a protection signal, wherein the protection signal identifies a protection duration of at least the duration of the expected duration of the uplink control-reference transmission reception, and code to monitor for the expected uplink control-reference transmission.

[0019] In an additional aspect of the disclosure, an apparatus includes at least one processor and a memory coupled to the processor. The processor is configured to generate a control-reference transmission using network information, to transmit a channel reserving signals on an unlicensed carrier, prior to a scheduled transmission time of the control-reference transmission, and to transmit the control-reference transmission on the unlicensed carrier at the scheduled transmission time.

[0020] In an additional aspect of the disclosure, an apparatus includes at least one processor and a memory coupled to the processor. The processor is configured to generate a control-reference transmission using network information, to select a location within a scheduled control-reference transmission window for transmission of the control-reference transmission over an unlicensed carrier, and to transmit the control-reference transmission on the unlicensed carrier at the location within the scheduled control-reference transmission window.

[0021] In an additional aspect of the disclosure, an apparatus includes at least one processor and a memory coupled to the processor. The processor is configured to determine a schedule of downlink control-reference transmission from a serving base station over an unlicensed carrier, to transmit a channel reserving signal over the unlicensed carrier prior to a next control-reference transmission according to the schedule, and to receive the next control-reference transmission over the unlicensed carrier.

[0022] In an additional aspect of the disclosure, an apparatus includes at least one processor and a memory coupled to the processor. The processor is configured to determine an expected duration of an uplink control-reference transmission reception for an expected uplink control-reference transmission transmitted from a UE served by a base station, to transmit a protection signal, wherein the protection signal identifies a protection duration of at least the duration of the expected duration of the uplink control-reference transmission reception, and to monitor for the expected uplink control-reference transmission.

BRIEF DESCRIPTION OF THE DRAWINGS

[0023] FIG. 1 shows a diagram that illustrates an example of a wireless communications system according to various embodiments.

[0024] FIG. 2A shows a diagram that illustrates examples of deployment scenarios for using LTE in an unlicensed spectrum according to various embodiments.

[0025] FIG. 2B shows a diagram that illustrates another example of a deployment scenario for using LTE in an unlicensed spectrum according to various embodiments.

[0026] FIG. 3 shows a diagram that illustrates an example of carrier aggregation when using LTE concurrently in licensed and unlicensed spectrum according to various embodiments.

[0027] FIG. 4 is a block diagram illustrating a design of a base station/eNB and a UE configured according to one aspect of the present disclosure.

[0028] FIG. 5 is a block diagram illustrating a downlink transmission stream over an unlicensed carrier.

[0029] FIG. 6 is a block diagram illustrating wireless network configured to use at least unlicensed carriers for communication.

[0030] FIG. 7 is a functional block diagram illustrating example blocks executed to implement one aspect of the present disclosure.

[0031] FIG. 8A is a functional block diagram illustrating example blocks executed to implement one aspect of the present disclosure.

[0032] FIG. 8B is a block diagram illustrating transmission stream in a communication system configured according to one aspect of the present disclosure.

[0033] FIG. 9A is a block diagram illustrating a transmission stream of a communication system configured according to one aspect of the present disclosure.

[0034] FIG. 9B is a functional block diagram illustrating example blocks executed to implement one aspect of the present disclosure.

[0035] FIG. 9C is a block diagram illustrating a transmission stream in a communication system configured according to one aspect of the present disclosure.

[0036] FIG. 9D is a functional block diagram illustrating example blocks executed to implement one aspect of the present disclosure.

[0037] FIG. 10 is a block diagram illustrating a transmission stream in a communication system configured according to one aspect of the present disclosure.

[0038] FIG. 11A is a block diagram illustrating a transmission stream of a communication system configured according to one aspect of the present disclosure.

[0039] FIG. 11B is a functional block diagram illustrating example blocks executed to implement one aspect of the present disclosure.

DETAILED DESCRIPTION

[0040] The detailed description set forth below, in connection with the appended drawings, is intended as a description of various configurations and is not intended to limit the scope of the disclosure. Rather, the detailed description includes specific details for the purpose of providing a thorough understanding of the inventive subject matter. It will be apparent to those skilled in the art that these specific details are not required in

every case and that, in some instances, well-known structures and components are shown in block diagram form for clarity of presentation.

[0041] Operators have so far looked at WiFi as the primary mechanism to use unlicensed spectrum to relieve ever increasing levels of congestion in cellular networks. However, a new carrier type (NCT) based on LTE/LTE-A including an unlicensed spectrum may be compatible with carrier-grade WiFi, making LTE/LTE-A with unlicensed spectrum an alternative to WiFi. LTE/LTE-A with unlicensed spectrum may leverage LTE concepts and may introduce some modifications to physical layer (PHY) and media access control (MAC) aspects of the network or network devices to provide efficient operation in the unlicensed spectrum and to meet regulatory requirements. The unlicensed spectrum may range from 600 Megahertz (MHz) to 6 Gigahertz (GHz), for example. In some scenarios, LTE/LTE-A with unlicensed spectrum may perform significantly better than WiFi. For example, an all LTE/LTE-A with unlicensed spectrum deployment (for single or multiple operators) compared to an all WiFi deployment, or when there are dense small cell deployments, LTE/LTE-A with unlicensed spectrum may perform significantly better than WiFi. LTE/LTE-A with unlicensed spectrum may perform better than WiFi in other scenarios such as when LTE/LTE-A with unlicensed spectrum is mixed with WiFi (for single or multiple operators).

[0042] For a single service provider (SP), an LTE/LTE-A network with unlicensed spectrum may be configured to be synchronous with a LTE network on the licensed spectrum. However, LTE/LTE-A networks with unlicensed spectrum deployed on a given channel by multiple SPs may be configured to be synchronous across the multiple SPs. One approach to incorporate both the above features may involve using a constant timing offset between LTE/LTE-A networks without unlicensed spectrum and LTE/LTE-A networks with unlicensed spectrum for a given SP. An LTE/LTE-A network with unlicensed spectrum may provide unicast and/or multicast services according to the needs of the SP. Moreover, an LTE/LTE-A network with unlicensed spectrum may operate in a bootstrapped mode in which LTE cells act as anchor and provide relevant cell information (e.g., radio frame timing, common channel configuration, system frame number or SFN, etc.) for LTE/LTE-A cells with unlicensed spectrum. In this mode, there may be close interworking between LTE/LTE-A without unlicensed spectrum and LTE/LTE-A with unlicensed spectrum. For example, the

bootstrapped mode may support the supplemental downlink and the carrier aggregation modes described above. The PHY-MAC layers of the LTE/LTE-A network with unlicensed spectrum may operate in a standalone mode in which the LTE/LTE-A network with unlicensed spectrum operates independently from an LTE network without unlicensed spectrum. In this case, there may be a loose interworking between LTE without unlicensed spectrum and LTE/LTE-A with unlicensed spectrum based on RLC-level aggregation with co-located LTE/LTE-A with/without unlicensed spectrum cells, or multiframe across multiple cells and/or base stations, for example.

[0043] The techniques described herein are not limited to LTE, and may also be used for various wireless communications systems such as CDMA, TDMA, FDMA, OFDMA, SC-FDMA, and other systems. The terms “system” and “network” are often used interchangeably. A CDMA system may implement a radio technology such as CDMA2000, Universal Terrestrial Radio Access (UTRA), etc. CDMA2000 covers IS-2000, IS-95, and IS-856 standards. IS-2000 Releases 0 and A are commonly referred to as CDMA2000 1X, 1X, etc. IS-856 (TIA-856) is commonly referred to as CDMA2000 1xEV-DO, High Rate Packet Data (HRPD), etc. UTRA includes Wideband CDMA (WCDMA) and other variants of CDMA. A TDMA system may implement a radio technology such as Global System for Mobile Communications (GSM). An OFDMA system may implement a radio technology such as Ultra Mobile Broadband (UMB), Evolved UTRA (E-UTRA), IEEE 802.11 (Wi-Fi), IEEE 802.16 (WiMAX), IEEE 802.20, Flash-OFDM, etc. UTRA and E-UTRA are part of Universal Mobile Telecommunication System (UMTS). LTE and LTE-Advanced (LTE-A) are new releases of UMTS that use E-UTRA. UTRA, E-UTRA, UMTS, LTE, LTE-A, and GSM are described in documents from an organization named “3rd Generation Partnership Project” (3GPP). CDMA2000 and UMB are described in documents from an organization named “3rd Generation Partnership Project 2” (3GPP2). The techniques described herein may be used for the systems and radio technologies mentioned above as well as other systems and radio technologies. The description below, however, describes an LTE system for purposes of example, and LTE terminology is used in much of the description below, although the techniques are applicable beyond LTE applications.

[0044] Thus, the following description provides examples, and is not limiting of the scope, applicability, or configuration set forth in the claims. Changes may be made in

the function and arrangement of elements discussed without departing from the spirit and scope of the disclosure. Various embodiments may omit, substitute, or add various procedures or components as appropriate. For instance, the methods described may be performed in an order different from that described, and various steps may be added, omitted, or combined. Also, features described with respect to certain embodiments may be combined in other embodiments.

[0045] Referring first to FIG. 1, a diagram illustrates an example of a wireless communications system or network 100. The system 100 includes base stations (or cells) 105, communication devices 115, and a core network 130. The base stations 105 may communicate with the communication devices 115 under the control of a base station controller (not shown), which may be part of the core network 130 or the base stations 105 in various embodiments. Base stations 105 may communicate control information and/or user data with the core network 130 through backhaul links 132. In embodiments, the base stations 105 may communicate, either directly or indirectly, with each other over backhaul links 134, which may be wired or wireless communication links. The system 100 may support operation on multiple carriers (waveform signals of different frequencies). Multi-carrier transmitters can transmit modulated signals simultaneously on the multiple carriers. For example, each communication link 125 may be a multi-carrier signal modulated according to the various radio technologies described above. Each modulated signal may be sent on a different carrier and may carry control information (e.g., reference signals, control channels, etc.), overhead information, data, etc.

[0046] The base stations 105 may wirelessly communicate with the devices 115 via one or more base station antennas. Each of the base station 105 sites may provide communication coverage for a respective geographic area 110. In some embodiments, base stations 105 may be referred to as a base transceiver station, a radio base station, an access point, a radio transceiver, a basic service set (BSS), an extended service set (ESS), a NodeB, eNodeB (eNB), Home NodeB, a Home eNodeB, or some other suitable terminology. The coverage area 110 for a base station may be divided into sectors making up only a portion of the coverage area (not shown). The system 100 may include base stations 105 of different types (e.g., macro, micro, and/or pico base stations). There may be overlapping coverage areas for different technologies.

[0047] In some embodiments, the system 100 is an LTE/LTE-A network that supports one or more unlicensed spectrum modes of operation or deployment scenarios. In other embodiments, the system 100 may support wireless communications using an unlicensed spectrum and an access technology different from LTE/LTE-A with unlicensed spectrum, or a licensed spectrum and an access technology different from LTE/LTE-A. The terms evolved Node B (eNB) and user equipment (UE) may be generally used to describe the base stations 105 and devices 115, respectively. The system 100 may be a Heterogeneous LTE/LTE-A network with or without unlicensed spectrum in which different types of eNBs provide coverage for various geographical regions. For example, each eNB 105 may provide communication coverage for a macro cell, a pico cell, a femto cell, and/or other types of cell. Small cells such as pico cells, femto cells, and/or other types of cells may include low power nodes or LPNs. A macro cell generally covers a relatively large geographic area (e.g., several kilometers in radius) and may allow unrestricted access by UEs with service subscriptions with the network provider. A pico cell would generally cover a relatively smaller geographic area and may allow unrestricted access by UEs with service subscriptions with the network provider. A femto cell would also generally cover a relatively small geographic area (e.g., a home) and, in addition to unrestricted access, may also provide restricted access by UEs having an association with the femto cell (e.g., UEs in a closed subscriber group (CSG), UEs for users in the home, and the like). An eNB for a macro cell may be referred to as a macro eNB. An eNB for a pico cell may be referred to as a pico eNB. And, an eNB for a femto cell may be referred to as a femto eNB or a home eNB. An eNB may support one or multiple (e.g., two, three, four, and the like) cells.

[0048] The core network 130 may communicate with the eNBs 105 via a backhaul 132 (e.g., S1, etc.). The eNBs 105 may also communicate with one another, e.g., directly or indirectly via backhaul links 134 (e.g., X2, etc.) and/or via backhaul links 132 (e.g., through core network 130). The system 100 may support synchronous or asynchronous operation. For synchronous operation, the eNBs may have similar frame and/or gating timing, and transmissions from different eNBs may be approximately aligned in time. For asynchronous operation, the eNBs may have different frame and/or gating timing, and transmissions from different eNBs may not be aligned in time. The techniques described herein may be used for either synchronous or asynchronous operations.

[0049] The UEs 115 are dispersed throughout the system 100, and each UE may be stationary or mobile. A UE 115 may also be referred to by those skilled in the art as a mobile station, a subscriber station, a mobile unit, a subscriber unit, a wireless unit, a remote unit, a mobile device, a wireless device, a wireless communications device, a remote device, a mobile subscriber station, an access terminal, a mobile terminal, a wireless terminal, a remote terminal, a handset, a user agent, a mobile client, a client, or some other suitable terminology. A UE 115 may be a cellular phone, a personal digital assistant (PDA), a wireless modem, a wireless communication device, a handheld device, a tablet computer, a laptop computer, a cordless phone, a wireless local loop (WLL) station, or the like. A UE may be able to communicate with macro eNBs, pico eNBs, femto eNBs, relays, and the like.

[0050] The communications links 125 shown in system 100 may include uplink (UL) transmissions from a mobile device 115 to a base station 105, and/or downlink (DL) transmissions, from a base station 105 to a mobile device 115. The downlink transmissions may also be called forward link transmissions while the uplink transmissions may also be called reverse link transmissions. The downlink transmissions may be made using a licensed spectrum (e.g., LTE), an unlicensed spectrum (e.g., LTE/LTE-A with unlicensed spectrum), or both (LTE/LTE-A with/without unlicensed spectrum). Similarly, the uplink transmissions may be made using a licensed spectrum (e.g., LTE), an unlicensed spectrum (e.g., LTE/LTE-A with unlicensed spectrum), or both (LTE/LTE-A with/without unlicensed spectrum).

[0051] In some embodiments of the system 100, various deployment scenarios for LTE/LTE-A with unlicensed spectrum may be supported including a supplemental downlink (SDL) mode in which LTE downlink capacity in a licensed spectrum may be offloaded to an unlicensed spectrum, a carrier aggregation mode in which both LTE downlink and uplink capacity may be offloaded from a licensed spectrum to an unlicensed spectrum, and a standalone mode in which LTE downlink and uplink communications between a base station (e.g., eNB) and a UE may take place in an unlicensed spectrum. Base stations 105 as well as UEs 115 may support one or more of these or similar modes of operation. OFDMA communications signals may be used in the communications links 125 for LTE downlink transmissions in an unlicensed spectrum, while SC-FDMA communications signals may be used in the communications

links 125 for LTE uplink transmissions in an unlicensed spectrum. Additional details regarding the implementation of LTE/LTE-A with unlicensed spectrum deployment scenarios or modes of operation in a system such as the system 100, as well as other features and functions related to the operation of LTE/LTE-A with unlicensed spectrum, are provided below with reference to FIGs. 2A – 11B.

[0052] Turning next to FIG. 2A, a diagram 200 shows examples of a supplemental downlink mode and of a carrier aggregation mode for an LTE network that supports LTE/LTE-A with unlicensed spectrum. The diagram 200 may be an example of portions of the system 100 of FIG. 1. Moreover, the base station 105-a may be an example of the base stations 105 of FIG. 1, while the UEs 115-a may be examples of the UEs 115 of FIG. 1.

[0053] In the example of a supplemental downlink mode in diagram 200, the base station 105-a may transmit OFDMA communications signals to a UE 115-a using a downlink 205. The downlink 205 is associated with a frequency F1 in an unlicensed spectrum. The base station 105-a may transmit OFDMA communications signals to the same UE 115-a using a bidirectional link 210 and may receive SC-FDMA communications signals from that UE 115-a using the bidirectional link 210. The bidirectional link 210 is associated with a frequency F4 in a licensed spectrum. The downlink 205 in the unlicensed spectrum and the bidirectional link 210 in the licensed spectrum may operate concurrently. The downlink 205 may provide a downlink capacity offload for the base station 105-a. In some embodiments, the downlink 205 may be used for unicast services (e.g., addressed to one UE) services or for multicast services (e.g., addressed to several UEs). This scenario may occur with any service provider (e.g., traditional mobile network operator or MNO) that uses a licensed spectrum and needs to relieve some of the traffic and/or signaling congestion.

[0054] In one example of a carrier aggregation mode in diagram 200, the base station 105-a may transmit OFDMA communications signals to a UE 115-a using a bidirectional link 215 and may receive SC-FDMA communications signals from the same UE 115-a using the bidirectional link 215. The bidirectional link 215 is associated with the frequency F1 in the unlicensed spectrum. The base station 105-a may also transmit OFDMA communications signals to the same UE 115-a using a bidirectional link 220 and may receive SC-FDMA communications signals from the same UE 115-a using the

bidirectional link 220. The bidirectional link 220 is associated with a frequency F2 in a licensed spectrum. The bidirectional link 215 may provide a downlink and uplink capacity offload for the base station 105-a. Like the supplemental downlink described above, this scenario may occur with any service provider (e.g., MNO) that uses a licensed spectrum and needs to relieve some of the traffic and/or signaling congestion.

[0055] In another example of a carrier aggregation mode in diagram 200, the base station 105-a may transmit OFDMA communications signals to a UE 115-a using a bidirectional link 225 and may receive SC-FDMA communications signals from the same UE 115-a using the bidirectional link 225. The bidirectional link 225 is associated with the frequency F3 in an unlicensed spectrum. The base station 105-a may also transmit OFDMA communications signals to the same UE 115-a using a bidirectional link 230 and may receive SC-FDMA communications signals from the same UE 115-a using the bidirectional link 230. The bidirectional link 230 is associated with the frequency F2 in the licensed spectrum. The bidirectional link 225 may provide a downlink and uplink capacity offload for the base station 105-a. This example and those provided above are presented for illustrative purposes and there may be other similar modes of operation or deployment scenarios that combine LTE/LTE-A with or without unlicensed spectrum for capacity offload.

[0056] As described above, the typical service provider that may benefit from the capacity offload offered by using LTE/LTE-A with unlicensed spectrum is a traditional MNO with LTE spectrum. For these service providers, an operational configuration may include a bootstrapped mode (e.g., supplemental downlink, carrier aggregation) that uses the LTE primary component carrier (PCC) on the licensed spectrum and the LTE secondary component carrier (SCC) on the unlicensed spectrum.

[0057] In the supplemental downlink mode, control for LTE/LTE-A with unlicensed spectrum may be transported over the LTE uplink (e.g., uplink portion of the bidirectional link 210). One of the reasons to provide downlink capacity offload is because data demand is largely driven by downlink consumption. Moreover, in this mode, there may not be a regulatory impact since the UE is not transmitting in the unlicensed spectrum. There is no need to implement listen-before-talk (LBT) or carrier sense multiple access (CSMA) requirements on the UE. However, LBT may be implemented on the base station (e.g., eNB) by, for example, using a periodic (e.g., every

10 milliseconds) clear channel assessment (CCA) and/or a grab-and-relinquish mechanism aligned to a radio frame boundary.

[0058] In the carrier aggregation mode, data and control may be communicated in LTE (e.g., bidirectional links 210, 220, and 230) while data may be communicated in LTE/LTE-A with unlicensed spectrum (e.g., bidirectional links 215 and 225). The carrier aggregation mechanisms supported when using LTE/LTE-A with unlicensed spectrum may fall under a hybrid frequency division duplexing-time division duplexing (FDD-TDD) carrier aggregation or a TDD-TDD carrier aggregation with different symmetry across component carriers.

[0059] FIG. 2B shows a diagram 200-a that illustrates an example of a standalone mode for LTE/LTE-A with unlicensed spectrum. The diagram 200-a may be an example of portions of the system 100 of FIG. 1. Moreover, the base station 105-b may be an example of the base stations 105 of FIG. 1 and the base station 105-a of FIG. 2A, while the UE 115-b may be an example of the UEs 115 of FIG. 1 and the UEs 115-a of FIG. 2A.

[0060] In the example of a standalone mode in diagram 200-a, the base station 105-b may transmit OFDMA communications signals to the UE 115-b using a bidirectional link 240 and may receive SC-FDMA communications signals from the UE 115-b using the bidirectional link 240. The bidirectional link 240 is associated with the frequency F3 in an unlicensed spectrum described above with reference to FIG. 2A. The standalone mode may be used in non-traditional wireless access scenarios, such as in-stadium access (e.g., unicast, multicast). The typical service provider for this mode of operation may be a stadium owner, cable company, event hosts, hotels, enterprises, and large corporations that do not have licensed spectrum. For these service providers, an operational configuration for the standalone mode may use the PCC on the unlicensed spectrum. Moreover, LBT may be implemented on both the base station and the UE.

[0061] Turning next to FIG. 3, a diagram 300 illustrates an example of carrier aggregation when using LTE concurrently in licensed and unlicensed spectrum according to various embodiments. The carrier aggregation scheme in diagram 300 may correspond to the hybrid FDD-TDD carrier aggregation described above with reference to FIG. 2A. This type of carrier aggregation may be used in at least portions of the system 100 of FIG. 1. Moreover, this type of carrier aggregation may be used in the base

stations 105 and 105-a of FIG. 1 and FIG. 2A, respectively, and/or in the UEs 115 and 115-a of FIG. 1 and FIG. 2A, respectively.

[0062] In this example, an FDD (FDD-LTE) may be performed in connection with LTE in the downlink, a first TDD (TDD1) may be performed in connection with LTE/LTE-A with unlicensed spectrum, a second TDD (TDD2) may be performed in connection with LTE with licensed spectrum, and another FDD (FDD-LTE) may be performed in connection with LTE in the uplink with licensed spectrum. TDD1 results in a DL:UL ratio of 6:4, while the ratio for TDD2 is 7:3. On the time scale, the different effective DL:UL ratios are 3:1, 1:3, 2:2, 3:1, 2:2, and 3:1. This example is presented for illustrative purposes and there may be other carrier aggregation schemes that combine the operations of LTE/LTE-A with or without unlicensed spectrum.

[0063] FIG. 4 shows a block diagram of a design of a base station/eNB 105 and a UE 115, which may be one of the base stations/eNBs and one of the UEs in FIG. 1. The eNB 105 may be equipped with antennas 434a through 434t, and the UE 115 may be equipped with antennas 452a through 452r. At the eNB 105, a transmit processor 420 may receive data from a data source 412 and control information from a controller/processor 440. The control information may be for the physical broadcast channel (PBCH), physical control format indicator channel (PCFICH), physical hybrid automatic repeat request indicator channel (PHICH), physical downlink control channel (PDCCH), etc. The data may be for the physical downlink shared channel (PDSCH), etc. The transmit processor 420 may process (e.g., encode and symbol map) the data and control information to obtain data symbols and control symbols, respectively. The transmit processor 420 may also generate reference symbols, e.g., for the primary synchronization signal (PSS), secondary synchronization signal (SSS), and cell-specific reference signal. A transmit (TX) multiple-input multiple-output (MIMO) processor 430 may perform spatial processing (e.g., precoding) on the data symbols, the control symbols, and/or the reference symbols, if applicable, and may provide output symbol streams to the modulators (MODs) 432a through 432t. Each modulator 432 may process a respective output symbol stream (e.g., for OFDM, etc.) to obtain an output sample stream. Each modulator 432 may further process (e.g., convert to analog, amplify, filter, and upconvert) the output sample stream to obtain a downlink signal. Downlink signals

from modulators 432a through 432t may be transmitted via the antennas 434a through 434t, respectively.

[0064] At the UE 115, the antennas 452a through 452r may receive the downlink signals from the eNB 105 and may provide received signals to the demodulators (DEMODs) 454a through 454r, respectively. Each demodulator 454 may condition (e.g., filter, amplify, downconvert, and digitize) a respective received signal to obtain input samples. Each demodulator 454 may further process the input samples (e.g., for OFDM, etc.) to obtain received symbols. A MIMO detector 456 may obtain received symbols from all the demodulators 454a through 454r, perform MIMO detection on the received symbols if applicable, and provide detected symbols. A receive processor 458 may process (e.g., demodulate, deinterleave, and decode) the detected symbols, provide decoded data for the UE 115 to a data sink 460, and provide decoded control information to a controller/processor 480.

[0065] On the uplink, at the UE 115, a transmit processor 464 may receive and process data (e.g., for the physical uplink shared channel (PUSCH)) from a data source 462 and control information (e.g., for the physical uplink control channel (PUCCH)) from the controller/processor 480. The transmit processor 464 may also generate reference symbols for a reference signal. The symbols from the transmit processor 464 may be precoded by a TX MIMO processor 466 if applicable, further processed by the demodulators 454a through 454r (e.g., for SC-FDM, etc.), and transmitted to the eNB 105. At the eNB 105, the uplink signals from the UE 115 may be received by the antennas 434, processed by the modulators 432, detected by a MIMO detector 436 if applicable, and further processed by a receive processor 438 to obtain decoded data and control information sent by the UE 115. The processor 438 may provide the decoded data to a data sink 439 and the decoded control information to the controller/processor 440.

[0066] The controllers/processors 440 and 480 may direct the operation at the eNB 105 and the UE 115, respectively. The controller/processor 440 and/or other processors and modules at the eNB 105 may perform or direct the execution of various processes for the techniques described herein. The controllers/processor 480 and/or other processors and modules at the UE 115 may also perform or direct the execution of the functional blocks illustrated in FIGs. 7, 8A, 9B, and 11B, and/or other processes for the techniques described herein. The memories 442 and 482 may store data and program codes for the

eNB 105 and the UE 115, respectively. A scheduler 444 may schedule UEs for data transmission on the downlink and/or uplink.

[0067] Most transmissions onto the unlicensed carriers in LTE/LTE-A networks with unlicensed spectrum are made by transmitters after first complying with the LBT protocols. However, certain transmissions are made without first checking for a clear channel. CCA-exempt transmissions (CET) occur in both downlink and uplink communications.

[0068] FIG. 5 is a block diagram illustrating a downlink transmission stream 50 over an unlicensed carrier. Downlink transmission stream 50 shows periodic transmissions of downlink CET (D-CET) 500 from eNB 105. D-CETs, such as D-CET 500, generally include PSS, SSS, enhanced common reference signals (eCRS), enhanced physical broadcast channel (ePBCH), and the like. The D-CET may also include time/frequency information, the cell identifier (ID), measurements, network parameters, and the like, and spans four OFDM symbols per public land mobile number (PLMN). Thus, D-CET may include various control symbols and/or reference symbols transmitted periodically (control-reference transmissions). D-CET may be transmitted periodically at a certain time period, P. For example, in current system designs, D-CET are transmitted with a periodicity of 80 ms..

[0069] In LTE/LTE-A networks with unlicensed spectrum configured for standalone (SA) mode, a UE would first decode the D-CET in order to obtain the information used to access the network. Thus, for SA mode networks, D-CET includes time-critical information for UEs. In contrast, for LTE/LTE-A networks with unlicensed spectrum configured for either supplemental downlink (SDL) or carrier aggregation (CA) modes, the network access information may be provided to UEs in a connected mode through the primary component carrier (PCC). In such networks, the D-CET information is not time-critical for UEs.

[0070] In addition to the time-critical information that may be part of CET, as noted above, paging information may also be included in CET. When operating in an SA mode, no additional paging channel would be used. CET may also include upcoming traffic information for UEs. For example, wireless technologies based on IEEE 802.11 may use a traffic indication map (TIM) to indicate upcoming traffic for UEs. Such TIM information may be carried in a beacon signal from a wireless access point.

[0071] When CETs carry time-critical information, questions may arise as to whether protection should be provided for CET reception at UEs; especially when those UEs are operating in an SA mode. Since no CCA is performed at the eNB during CET transmission, WiFi interference may exist when CET is transmitted. FIG. 6 is a block diagram illustrating wireless network 60 configured to use at least unlicensed carriers for communication. eNB 600 provides communication network access to UE 601. When eNB 600 transmits a CET, it does not first perform a CCA check. Therefore, neighboring unlicensed access points (e.g., WiFi APs, LTE/LTE-A with unlicensed spectrum base stations, etc.) may be transmitting at the same time as the CET transmission. For example, any one or more of access points, AT/AP1, AT2/AP2, and AT3/AP3 may transmit their beacon signals with some periodicity, subject CCA detection. However, because eNB 600 does not perform CCA when transmitting CET, the CET may be consistently interfered with from adjacent access points.

[0072] Access points, AT/AP1, AT2/AP2, and AT3/AP3, may also detect eNB 600's CET and, therefore, backoff of transmissions when their CCA checks are not detected as clear. However, there may still be occasions where UE 601 will experience interference when receiving the CET. For example, AT/AP1 is located within the energy detection range 602 from eNB 600. Within this range, when AT/AP1 detects any transmission signals above a certain power level (e.g., 82 dBm, 68 dBm, etc.), then AT/AP1 may back off on transmissions. Similarly, AT3/AP3 is located within a preamble range 603. Within preamble range 603 any unlicensed band compatible transmitters will back off of transmissions when they are able to receive and decode various signals of neighboring base stations, such as request to send (RTS), clear to send (CTS), or a preamble to other transmission signals. As such, AT3/AP3 may decode a preamble from the signal transmitted by eNB 600 and back off transmissions on the unlicensed band. AT2/AP2 is located within a CET range 604 from eNB 600. CET range 604 is the range in which UEs may accurately receive the CET from eNB 600. However, at this range, AT2/AP2 may not be able to either detect a signal above the energy detection range or decode a preamble of the CET from eNB 600. Thus, AT2/AP2 may continue transmissions. Therefore, UE 601 would experience interference from the unlicensed band transmissions of AT2/AP2 when receiving the CET from eNB 600.

[0073] It should be noted that ranges illustrated in FIG. 6 are one example of possible ranges for the various transmissions. Thus, while FIG. 6 shows a larger range for CET

compared with the ED/Preamble detection ranges, CET range may also be shorter than either or both of the preamble range or ED range, depending on the deployment configurations, distances, and power of the transmitters.

[0074] Various aspects of the present disclosure provide for procedures and configurations that may be implemented to protect the transmission or reception of CET at the CET transmitting/receiving entities. For example, certain aspects provide protection to the base station for D-CET transmission, while other aspects provide protection to the UE for D-CET reception. Similarly, additional aspects of the present disclosure provide protections to the UE for U-CET transmission, while other aspects provide protection to the base stations for U-CET receptions.

[0075] FIG. 7 is a functional block diagram illustrating example blocks executed to implement one aspect of the present disclosure. At block 700, a base station or transmitter generates a CET using network information. For example, the base station or transmitter would use PSS, SSS, eCRS, ePBCH, and the like, to generate the CET. The base station or transmitter may also include time/frequency information, the cell ID, measurements, network parameters, and the like.

[0076] At block 701, the base station or transmitter transmits a channel reserving signal on an unlicensed carrier prior to any scheduled transmission times of the CET. A channel reserving signal may include signals such as CUBS, RTS, CTS, or the like, which will be transmitted before CET transmission. The channel reserving signal transmission may be part of signal scheduling right before the CET transmission. For example, CCA is performed before CET transmission.

[0077] At block 702, the base station or transmitter transmits the CET on the unlicensed carrier at the scheduled transmission time. The channel reserving signal transmission may be received and/or decoded by neighboring access points, which would cause such access points to back off of interfering transmissions. However, this may not completely protect the UE from interference from access points that are close to the UE, but further away from the base station transmitting the CET, such as, for example, AT2/AP2 (FIG. 6).

[0078] FIG. 8A is a functional block diagram illustrating example blocks executed to implement one aspect of the present disclosure. At block 800, a base station or transmitter generates a CET using network information. At block 801, the base station selects a location within a scheduled CET transmission window for transmission of the

CET over an unlicensed carrier. FIG. 8B is a block diagram illustrating transmission stream 80 in a communication system configured according to one aspect of the present disclosure. With reference to transmission stream 80 of FIG. 8B, a CET, such as CETs 807-810, are transmitted in a CET transmission window, such as CET transmission windows 803-806, by eNB 105. CET transmission windows 803-806, illustrated as having a duration of 2 ms, provide multiple possible locations for the base station to transmit the CET. Because the CET is only four OFDM symbols in length, the base station, eNB 105, may use various means for selecting a CET location within the CET transmission window, such as a randomized pattern, predefined pattern, hopping pattern, and the like. At block 802, the base station, such as eNB 105, transmits the CET on the unlicensed carrier at the location within the scheduled CET transmission window.

[0079] In execution of the blocks illustrated in FIG. 8A, the eNB transmits CET, such as CETs 807-810 (FIG. 8B), with varying location over the fixed windows of CET transmission windows 803-806. The base station, eNB 105, may change the location of CET placement over time within this window. For a given PLMN, such change of location may be predefined. For example, a randomized hopping pattern for a given PLMN may be predefined and broadcast to users accessing the PLMN. Thus, UEs in communication with eNB 105 may know the randomized hopping pattern and expect to locate the CET transmissions at their specifically selected locations within the CET transmission window. In other examples, multiple pre-defined locations may be broadcast which are sequentially selected based on time, or the like.

[0080] While the various aspects of the present disclosure that provide CET reception protection through transmissions made by the base station will provide some degree of reception protection to the UEs, these options may not provide full protection against interference when receiving CET. Additional aspects of the present disclosure provide for the UE to take action in protecting its own reception of the CETs.

[0081] FIG. 9A is a block diagram illustrating a transmission stream 90 of a communication system configured according to one aspect of the present disclosure. In order to more actively protect CET reception, a UE 115 transmits a channel reserving signal prior to the scheduled CET transmission known from eNB 105. Thus, channel reserving signals 900 and 903 are transmitted by UE 115 prior to CETs 901 and 904. The transmission of channel reserving signals 900 and 903 will serve to reserve the unlicensed carrier in the coverage area surrounding the UE. Thus, any neighboring

access points that could potentially cause interference to CET reception will detect channel reserving signals 900 and 903 and refrain from transmitting the interfering signals. UE 115 will then have a more clear CET reception period 902 and 905.

[0082] FIG. 9B is a functional block diagram illustrating example blocks executed to implement one aspect of the present disclosure. At block 906, a UE determines a schedule of downlink CET from a base station over an unlicensed carrier. For example, a UE may discover the CET transmission schedule from the base station when the UE enters the service area of the base station through broadcast system information.

[0083] At block 907, the UE transmits a channel reserving signal over the unlicensed carrier prior to the next scheduled CET. The channel reserving signal may be a CUBs, RTS, or CTS that reserves at least the next transmission frame (CUBs) or a defined length of time (RTS or CTS). Any neighboring transmitters that perform an LBT procedure may detect the CUBS or decode and read the RTS or CTS and refrain from interfering transmissions for the certain amount of time.

[0084] At block 908, the UE receives the next CET over the unlicensed carrier. With the previous transmission of the channel reserving signal, the potentially interfering neighboring transmitters would refrain from transmissions and, thus, the potential interference over the unlicensed carrier is reduced for CET reception at the UE.

[0085] It should be noted that the example aspect disclosed with regard to FIG 9B may not be applicable for initial system access when timing is unknown.

[0086] FIG. 9C is a block diagram illustrating a transmission stream 91 in a communication system configured according to one aspect of the present disclosure. A UE 115 may selectively transmit the channel reserving signal before decoding CET from eNB 105. Moreover, the transmit power of the channel reserving signal may be adaptively changed such that the transmit power for the channel reserving signal is minimized for power saving purpose. For example, UE 115 transmitting channel reserving signal 909 before the scheduled transmission of CET 910 from eNB 105 may transmit channel reserving signal 909 at a certain power, TxP1. At the receiving period UERX 911, UE 115 receives CET 910. At the next occasion on which UE 115 attempts to receive the CET, CET 912, UE 115 elects not to transmit a channel reserving signal before the transmission of CET 912 by eNB 105 because of the previous successful decoding of CET 910. However, during the receiving period UERX 913, UE 105 fails to receive or decode CET 912. In response to the failed decode attempt, UE 105 selects to

transmit a channel reserving signal 914 at a higher transmission power, TxP2. The higher transmission power may help to more clearly reach any neighboring access points that could provide interfering transmissions. Thus, at receiving period UERX 916, UE 105 is able to decode CET 915 with less interference from neighboring access points.

[0087] The determination of when to transmit the channel reserving signal may also be controlled by some various loops or by monitoring the failure rate of CET decoding or of the channel conditions at CET decoding. FIG. 9D is a functional block diagram illustrating example blocks executed to implement one aspect of the present disclosure. At block 917, a UE monitors the decoding conditions associated with the UE location. At block 918, a determination is made whether the decoding conditions are satisfactory. If the decoding conditions are satisfactory, then, at block 919, the UE determines not to transmit a channel reserving signal prior to the next scheduled CET. Otherwise, if the decoding conditions are not satisfactory, then, at block 920, the UE elects to transmit a channel reserving signal prior to the next scheduled CET.

[0088] For example, a decoding condition may be monitored by monitoring signal quality during the available paging occasions for a given base station. A base station may broadcast a paging persistency parameter, which identifies how many times that paging occasions will be repeated. The UE attempts to decode at every paging occasion and logs a measurement of the signal quality, such as the signal-to-noise ratio (SNR), signal-to-interference plus noise ratio (SINR), and the like. The UE counts how many consecutive paging occasions the signal quality was too low for accurate decoding. If the count approaches the minimum of either the paging persistency parameter or the desired paging latency for the UE, the UE will transmit a channel reserving signal before the next paging occasion.

[0089] Another example decoding condition may simply be to monitor the success rate in CET decoding. If a UE fails to decode a CET, it may determine that the decoding conditions are unsatisfactory and elect to transmit a channel reserving signal prior to the next scheduled CET. Every successful decode would reset the CUBS cycle, so CUBS transmission need not be periodic.

[0090] It should be noted that various aspects of the present disclosure may provide for different decoding conditions or loops that trigger a UE to transmit a channel reserving signal prior to a scheduled CET.

[0091] In addition to providing protections for the receiving of downlink CET, various additional aspects of the present disclosure provide for protections of the receiving of uplink CET. FIG. 10 is a block diagram illustrating a transmission stream 1000 in a communication system configured according to one aspect of the present disclosure. The communication system according to the example aspect provides for uplink CET 1002 from UE 105 to be slaved to the downlink CET 1001 timing of eNB 105. In this regard, the transmission of the downlink CET 1001 provides some interference protection against neighboring transmissions. Thus, there may be less interference right after a downlink CET 1001. Accordingly the uplink CET 1002 is slaved to that downlink CET 1001 timing. Uplink CET 1002 include periodic transmission of control frames and uplink control information (e.g., SRS/PRACH/CSI/SR) with a duty cycle typically less than 5 %.

[0092] It should be noted that, the slaving of the uplink CET to the downlink CET may also occur in aspects of the present disclosure in which the downlink CET is transmitted over a CET transmission window using a randomized hopping scheme over time.

[0093] FIG. 11A is a block diagram illustrating a transmission stream 1100 of a communication system configured according to one aspect of the present disclosure. Another manner in which to protect uplink CET is for the base station to transmit a protection signal prior to transmitting the downlink CET. A protection signal may be an informational signal, such as a CTS or RTS, in which the base station designates a specific length of time during which neighboring transmitters should not transmit onto the unlicensed carrier. For example, eNB 105 transmits protection signal 1101 which provides a duration of protection that includes both the duration of the transmission of CET 1102 and the expected duration of the receiving of uplink CET 1103 from the UE. Each neighboring transmitter that receives and decodes protection signal 1101 will refrain from transmitting onto the unlicensed carrier for the entire duration identified in protection signal 1101.

[0094] FIG. 11B is a functional block diagram illustrating example blocks executed to implement one aspect of the present disclosure. At block 1104, a base station determines an expected duration of an uplink CET reception from a UE. The base station will know the length of an uplink CET that is expected from a UE. In order to obtain at least the protection for receiving the uplink CET, the base station first determines this duration.

[0095] At block 1105, the base station transmits a protection signal that identifies a protection duration of at least the duration of the expected duration of the uplink CET reception. When the protection signal includes the protection duration of just the expected uplink CET reception, the base station may transmit the protection signal after transmitting the downlink CET, but before the expected transmission of the uplink CET. However, in additional aspects, the protection duration may also include the duration of the downlink CET. Thus, the base station may transmit the protection prior to the CET transmission, in which the protection duration identifies to neighboring transmitters the amount of time from the downlink CET transmission until the end of the uplink CET reception that the neighboring transmitters will not transmit over the unlicensed carrier.

[0096] At block 1106, the base station will then monitor for the expected uplink CET. With the protection signal protecting against interfering transmissions from the downlink CET transmission through the uplink CET reception, the base station should experience a reduction of the potential interference.

[0097] Those of skill in the art would understand that information and signals may be represented using any of a variety of different technologies and techniques. For example, data, instructions, commands, information, signals, bits, symbols, and chips that may be referenced throughout the above description may be represented by voltages, currents, electromagnetic waves, magnetic fields or particles, optical fields or particles, or any combination thereof.

[0098] The functional blocks and modules in FIGs. 7, 8A, 9B, and 11B may comprise processors, electronics devices, hardware devices, electronics components, logical circuits, memories, software codes, firmware codes, etc., or any combination thereof.

[0099] Those of skill would further appreciate that the various illustrative logical blocks, modules, circuits, and algorithm steps described in connection with the disclosure herein may be implemented as electronic hardware, computer software, or combinations of both. To clearly illustrate this interchangeability of hardware and software, various illustrative components, blocks, modules, circuits, and steps have been described above generally in terms of their functionality. Whether such functionality is implemented as hardware or software depends upon the particular application and design constraints imposed on the overall system. Skilled artisans may implement the described functionality in varying ways for each particular application, but such implementation decisions should not be interpreted as causing a departure from the scope of the present

disclosure. Skilled artisans will also readily recognize that the order or combination of components, methods, or interactions that are described herein are merely examples and that the components, methods, or interactions of the various aspects of the present disclosure may be combined or performed in ways other than those illustrated and described herein.

[00100] The various illustrative logical blocks, modules, and circuits described in connection with the disclosure herein may be implemented or performed with a general-purpose processor, a digital signal processor (DSP), an application specific integrated circuit (ASIC), a field programmable gate array (FPGA) or other programmable logic device, discrete gate or transistor logic, discrete hardware components, or any combination thereof designed to perform the functions described herein. A general-purpose processor may be a microprocessor, but in the alternative, the processor may be any conventional processor, controller, microcontroller, or state machine. A processor may also be implemented as a combination of computing devices, e.g., a combination of a DSP and a microprocessor, a plurality of microprocessors, one or more microprocessors in conjunction with a DSP core, or any other such configuration.

[00101] The steps of a method or algorithm described in connection with the disclosure herein may be embodied directly in hardware, in a software module executed by a processor, or in a combination of the two. A software module may reside in RAM memory, flash memory, ROM memory, EPROM memory, EEPROM memory, registers, hard disk, a removable disk, a CD-ROM, or any other form of storage medium known in the art. An exemplary storage medium is coupled to the processor such that the processor can read information from, and write information to, the storage medium. In the alternative, the storage medium may be integral to the processor. The processor and the storage medium may reside in an ASIC. The ASIC may reside in a user terminal. In the alternative, the processor and the storage medium may reside as discrete components in a user terminal.

[00102] In one or more exemplary designs, the functions described may be implemented in hardware, software, firmware, or any combination thereof. If implemented in software, the functions may be stored on or transmitted over as one or more instructions or code on a computer-readable medium. Computer-readable media includes both computer storage media and communication media including any medium that facilitates transfer of a computer program from one place to another. Computer-readable storage

media may be any available media that can be accessed by a general purpose or special purpose computer. By way of example, and not limitation, such computer-readable media can comprise RAM, ROM, EEPROM, CD-ROM or other optical disk storage, magnetic disk storage or other magnetic storage devices, or any other medium that can be used to carry or store desired program code means in the form of instructions or data structures and that can be accessed by a general-purpose or special-purpose computer, or a general-purpose or special-purpose processor. Also, a connection may be properly termed a computer-readable medium. For example, if the software is transmitted from a website, server, or other remote source using a coaxial cable, fiber optic cable, twisted pair, or digital subscriber line (DSL), then the coaxial cable, fiber optic cable, twisted pair, or DSL, are included in the definition of medium. Disk and disc, as used herein, includes compact disc (CD), laser disc, optical disc, digital versatile disc (DVD), floppy disk and blu-ray disc where disks usually reproduce data magnetically, while discs reproduce data optically with lasers. Combinations of the above should also be included within the scope of computer-readable media.

[00103] As used herein, including in the claims, the term “and/or,” when used in a list of two or more items, means that any one of the listed items can be employed by itself, or any combination of two or more of the listed items can be employed. For example, if a composition is described as containing components A, B, and/or C, the composition can contain A alone; B alone; C alone; A and B in combination; A and C in combination; B and C in combination; or A, B, and C in combination. Also, as used herein, including in the claims, “or” as used in a list of items prefaced by “at least one of” indicates a disjunctive list such that, for example, a list of “at least one of A, B, or C” means A or B or C or AB or AC or BC or ABC (i.e., A and B and C).

[00104] The previous description of the disclosure is provided to enable any person skilled in the art to make or use the disclosure. Various modifications to the disclosure will be readily apparent to those skilled in the art, and the generic principles defined herein may be applied to other variations without departing from the spirit or scope of the disclosure. Thus, the disclosure is not intended to be limited to the examples and designs described herein but is to be accorded the widest scope consistent with the principles and novel features disclosed herein.

[00105] **WHAT IS CLAIMED IS:**

CLAIMS

1. A method of wireless communication, comprising:
generating a control-reference transmission using network information;
transmitting a channel reserving signals on an unlicensed carrier, prior to a scheduled transmission time of the control-reference transmission; and
transmitting the control-reference transmission on the unlicensed carrier at the scheduled transmission time.

2. The method of claim 1, further comprising performing a clear channel assessment (CCA) on the unlicensed carrier,
wherein the channel reserving signals are transmitted in response to the CCA indicating the unlicensed carrier is clear, and
wherein the channel reserving signals include at least one of:
a channel usage beacon signal (CUBS);
a request to send (RTS) signal; or
a clear to send (CTS) signal.

3. The method of claim 1, wherein the network information includes one or more of:
synchronization information associated with a base station;
a common reference signal (CRS) associated with the base station;
a broadcast channel associated with the base station;
timing information associated with the base station;
frequency information associated with the base station;
cell identifier (ID) of the base station;
channel condition measurements associated with the base station;
one or more network parameters associated with the base station;
paging information associated with one or more user equipment (UE) served by the base station; and
upcoming traffic information associated with the one or more UE served by the base station.

4. The method of claim 1, wherein the control-reference transmission includes a clear channel assessment exempt transmission (CET).
5. A method of wireless communication, comprising:
generating a control-reference transmission using network information;
selecting a location within a scheduled control-reference transmission window for transmission of the control-reference transmission over an unlicensed carrier; and
transmitting the control-reference transmission on the unlicensed carrier at the location within the scheduled control-reference transmission window.
6. The method of claim 5, further comprising performing a clear channel assessment (CCA) on the unlicensed carrier, wherein the control-reference transmission is transmitted in response to the CCA indicating the unlicensed carrier is clear
7. The method of claim 5, wherein the location is selected based on a hopping function.
8. The method of claim 7, wherein the hopping function is predefined for each public land mobile number (PLMN) to which a base station is associated.
9. The method of claim 7, wherein the hopping function includes a randomized pattern known to a user equipment (UE).
10. The method of claim 5, wherein the network information includes one or more of:
synchronization information associated with a base station;
a common reference signal (CRS) associated with the base station;
a broadcast channel associated with the base station;
timing information associated with the base station;
frequency information associated with the base station;
cell identifier (ID) of the base station;
channel condition measurements associated with the base station;

one or more network parameters associated with the base station;
paging information associated with one or more user equipment (UE) served by
the base station; and
upcoming traffic information associated with the one or more UE served by the
base station.

11. The method of claim 5, wherein the control-reference transmission
includes a clear channel assessment exempt transmission (CET).

12. A method of wireless communication, comprising:
determining a schedule of a downlink control-reference transmission from a
serving base station over an unlicensed carrier;
transmitting a channel reserving signal over the unlicensed carrier prior to a next
control-reference transmission according to the schedule; and
receiving the next control-reference transmission over the unlicensed carrier.

13. The method of claim 12, wherein the schedule includes a location within a
scheduled control-reference transmission window for transmission of the downlink
control-reference transmission over the unlicensed carrier, wherein the location is based
on a hopping function known to a user equipment (UE).

14. The method of claim 13, wherein the hopping function includes a
randomized pattern.

15. The method of claim 12, wherein the channel reserving signals include at
least one of:
a channel usage beacon signal (CUBS);
a request to send (RTS) signal; or
a clear to send (CTS) signal.

16. The method of claim 12, further including:

determining a failure to decode a previous control-reference transmission according to the schedule of the downlink control-reference transmission, wherein the transmitting the channel reserving signal is performed in response to the failure.

17. The method of claim 12, further including:
monitoring a signal quality for each attempt to decode a paging occasion; and
determining a low signal quality metric representing each time the signal quality failed to meet a decoding threshold level, wherein the transmitting the channel reserving signal is performed in response to the low signal quality metric exceeding a predetermined threshold.

18. The method of claim 12, further including:
monitoring a failure rate of decoding a control-reference transmission according to the schedule of the downlink control-reference transmission; and
adjusting a transmission power of the signal reserving signal based, at least in part, on the failure rate.

19. The method of claim 12, wherein the downlink control-reference transmission includes a clear channel assessment exempt transmission (CET).

20. A method of wireless communication, comprising:
determining an expected duration of an uplink control-reference transmission reception for an expected uplink control-reference transmission transmitted from a user equipment (UE) served by a base station;
transmitting a protection signal, wherein the protection signal identifies a protection duration of at least the duration of the expected duration of the uplink control-reference transmission reception; and
monitoring for the expected uplink control-reference transmission.

21. The method of claim 20, further including:
performing a clear channel assessment (CCA) on an unlicensed carrier; and
transmitting a downlink control-reference transmission, wherein the control-reference transmission is transmitted in response to the CCA indicating the unlicensed

carrier is clear, and wherein the protection signal is transmitted after the downlink control-reference transmission, but before the expected uplink control-reference transmission.

22. The method of claim 20, further including:

determining a duration of a downlink control-reference transmission, wherein the expected duration identified by the protection signal further includes the duration of the downlink control-reference transmission.

23. The method of claim 20, wherein the protection signal includes at least one of:

a request to send (RTS) signal; or
a clear to send (CTS) signal.

24. The method of claim 20, wherein the control-reference transmission includes a clear channel assessment exempt transmission (CET).

25. An apparatus configured for wireless communication, comprising:
means for generating a control-reference transmission using network information;
means for transmitting a channel reserving signals on an unlicensed carrier, prior to a scheduled transmission time of the control-reference transmission; and
means for transmitting the control-reference transmission on the unlicensed carrier at the scheduled transmission time.

26. The apparatus of claim 25, further comprising means for performing a clear channel assessment (CCA) on the unlicensed carrier,

wherein the means for transmitting the channel reserving signals are executed in response to the CCA indicating the unlicensed carrier is clear, and

wherein the channel reserving signals include at least one of:

a channel usage beacon signal (CUBS);
a request to send (RTS) signal; or
a clear to send (CTS) signal.

27. The apparatus of claim 25, wherein the network information includes one or more of:

- synchronization information associated with a base station;
- a common reference signal (CRS) associated with the base station;
- a broadcast channel associated with the base station;
- timing information associated with the base station;
- frequency information associated with the base station;
- cell identifier (ID) of the base station;
- channel condition measurements associated with the base station;
- one or more network parameters associated with the base station;
- paging information associated with one or more user equipment (UE) served by the base station; and
- upcoming traffic information associated with the one or more UE served by the base station.

28. The apparatus of claim 25, wherein the control-reference transmission includes a clear channel assessment exempt transmission (CET).

29. An apparatus configured for wireless communication, comprising:
means for generating a control-reference transmission using network information;
means for selecting a location within a scheduled control-reference transmission window for transmission of the control-reference transmission over an unlicensed carrier; and
means for transmitting the control-reference transmission on the unlicensed carrier at the location within the scheduled control-reference transmission window.

30. The apparatus of claim 29, further comprising means for performing a clear channel assessment (CCA) on the unlicensed carrier, wherein the means for transmitting the control-reference transmission are executed in response to the CCA indicating the unlicensed carrier is clear.

31. The apparatus of claim 29, wherein the location is selected based on a hopping function.

32. The apparatus of claim 31, wherein the hopping function is predefined for each public land mobile number (PLMN) to which a base station is associated.

33. The apparatus of claim 31, wherein the hopping function includes a randomized pattern known to a user equipment (UE).

34. The apparatus of claim 29, wherein the network information includes one or more of:

synchronization information associated with a base station;
a common reference signal (CRS) associated with the base station;
a broadcast channel associated with the base station;
timing information associated with the base station;
frequency information associated with the base station;
cell identifier (ID) of the base station;
channel condition measurements associated with the base station;
one or more network parameters associated with the base station;
paging information associated with one or more user equipment (UE) served by the base station; and
upcoming traffic information associated with the one or more UE served by the base station.

35. The apparatus of claim 29, wherein the control-reference transmission includes a clear channel assessment exempt transmission (CET).

36. An apparatus configured for wireless communication, comprising:
means for determining a schedule of a downlink control-reference transmission from a serving base station over an unlicensed carrier;
means for transmitting a channel reserving signal over the unlicensed carrier prior to a next control-reference transmission according to the schedule; and
means for receiving the next control-reference transmission over the unlicensed carrier.

37. The apparatus of claim 36, wherein the schedule includes a location within a scheduled control-reference transmission window for transmission of the control-reference transmission over the unlicensed carrier, wherein the location is based on a hopping function known to a user equipment (UE).

38. The apparatus of claim 37, wherein the hopping function includes a randomized pattern.

39. The apparatus of claim 36, wherein the channel reserving signals include at least one of:

- a channel usage beacon signal (CUBS);
- a request to send (RTS) signal; or
- a clear to send (CTS) signal.

40. The apparatus of claim 36, further including:

means for determining a failure to decode a previous control-reference transmission according to the schedule of the downlink control-reference transmission, wherein the means for transmitting the channel reserving signal is performed in response to the failure.

41. The apparatus of claim 36, further including:

means for monitoring a signal quality for each attempt to decode a paging occasion; and

means for determining a low signal quality metric representing each time the signal quality failed to meet a decoding threshold level, wherein the means for transmitting the channel reserving signal is performed in response to the low signal quality metric exceeding a predetermined threshold.

42. The apparatus of claim 36, further including:

means for monitoring a failure rate of decoding a control-reference transmission according to the schedule of the downlink control-reference transmission; and

means for adjusting a transmission power of the signal reserving signal based, at least in part, on the failure rate.

43. The apparatus of claim 36, wherein the control-reference transmission includes a clear channel assessment exempt transmission (CET).

44. An apparatus configured for wireless communication, comprising:
means for determining an expected duration of an uplink control-reference transmission reception for an expected uplink control-reference transmission transmitted from a user equipment (UE) served by a base station;
means for transmitting a protection signal, wherein the protection signal identifies a protection duration of at least the duration of the expected duration of the uplink control-reference transmission reception; and
means for monitoring for the expected uplink control-reference transmission.

45. The apparatus of claim 44, further including:
means for performing a clear channel assessment (CCA) on an unlicensed carrier;
and
means for transmitting a downlink control-reference transmission, wherein the control-reference transmission is transmitted in response to the CCA indicating the unlicensed carrier is clear, wherein the protection signal is transmitted after the downlink control-reference transmission, but before the expected uplink control-reference transmission.

46. The apparatus of claim 44, further including:
means for determining a duration of a downlink control-reference transmission, wherein the protection duration identified by the protection signal further includes the duration of the downlink control-reference transmission.

47. The apparatus of claim 44, wherein the protection signal includes at least one of:
a request to send (RTS) signal; or
a clear to send (CTS) signal.

48. The apparatus of claim 44, wherein the control-reference transmission includes a clear channel assessment exempt transmission (CET).

49. A non-transitory computer-readable medium having program code recorded thereon, the program code including:

program code for causing a computer to generate a control-reference transmission using network information;

program code for causing the computer to transmit a channel reserving signals on an unlicensed carrier, prior to a scheduled transmission time of the control-reference transmission; and

program code for causing the computer to transmit the control-reference transmission on the unlicensed carrier at the scheduled transmission time.

50. The non-transitory computer-readable medium of claim 49, further comprising program code for causing the computer to perform a clear channel assessment (CCA) on the unlicensed carrier,

wherein the program code for causing the computer to transmit the channel reserving signals is executed in response to the CCA indicating the unlicensed carrier is clear, and

wherein the channel reserving signals include at least one of:

a channel usage beacon signal (CUBS);

a request to send (RTS) signal; or

a clear to send (CTS) signal.

51. The non-transitory computer-readable medium of claim 49, wherein the network information includes one or more of:

synchronization information associated with a base station;

a common reference signal (CRS) associated with the base station;

a broadcast channel associated with the base station;

timing information associated with the base station;

frequency information associated with the base station;

cell identifier (ID) of the base station;

channel condition measurements associated with the base station;

one or more network parameters associated with the base station;
paging information associated with one or more user equipment (UE) served by
the base station; and
upcoming traffic information associated with the one or more UE served by the
base station.

52. The non-transitory computer-readable medium of claim 49, wherein the
control-reference transmission includes a clear channel assessment exempt transmission
(CET).

53. A non-transitory computer-readable medium having program code
recorded thereon, the program code including:
program code for causing a computer to generate a control-reference transmission
using network information;
program code for causing the computer to select a location within a scheduled
control-reference transmission window for transmission of a control-reference
transmission over an unlicensed carrier; and
program code for causing the computer to transmit the control-reference
transmission on the unlicensed carrier at the location within the scheduled control-
reference transmission window.

54. The non-transitory computer-readable medium of claim 53, further
comprising program code for causing the computer to perform a clear channel
assessment (CCA) on the unlicensed carrier, wherein the program code for causing the
computer to transmit the control-reference transmission is executed in response to the
CCA indicating the unlicensed carrier is clear

55. The non-transitory computer-readable medium of claim 53, wherein the
location is selected based on a hopping function.

56. The non-transitory computer-readable medium of claim 55, wherein the
hopping function is predefined for each public land mobile number (PLMN) to which a
base station is associated.

57. The non-transitory computer-readable medium of claim 55, wherein the hopping function includes a randomized pattern known to a user equipment (UE).

58. The non-transitory computer-readable medium of claim 53, wherein the network information includes one or more of:

synchronization information associated with a base station;
a common reference signal (CRS) associated with the base station;
a broadcast channel associated with the base station;
timing information associated with the base station;
frequency information associated with the base station;
cell identifier (ID) of the base station;
channel condition measurements associated with the base station;
one or more network parameters associated with the base station;
paging information associated with one or more user equipment (UE) served by the base station; and
upcoming traffic information associated with the one or more UE served by the base station.

59. The non-transitory computer-readable medium of claim 53, wherein the control-reference transmission includes a clear channel assessment exempt transmission (CET).

60. A non-transitory computer-readable medium having program code recorded thereon, the program code including:

program code for causing a computer to determine a schedule of downlink control-reference transmission from a serving base station over an unlicensed carrier;
program code for causing the computer to transmit a channel reserving signal over the unlicensed carrier prior to a next control-reference transmission according to the schedule; and
program code for causing the computer to receive the next control-reference transmission over the unlicensed carrier.

61. The non-transitory computer-readable medium of claim 60, wherein the schedule includes a location within a scheduled control-reference transmission window for transmission of the control-reference transmission over the unlicensed carrier, wherein the location is based on a hopping function known to a user equipment (UE).

62. The non-transitory computer-readable medium of claim 61, wherein the hopping function includes a randomized pattern.

63. The non-transitory computer-readable medium of claim 60, wherein the channel reserving signals include at least one of:

- a channel usage beacon signal (CUBS);
- a request to send (RTS) signal; or
- a clear to send (CTS) signal.

64. The non-transitory computer-readable medium of claim 60, further including:

program code for causing the computer to determine a failure to decode a previous control-reference transmission according to the schedule of the downlink control-reference transmission, wherein the program code for causing the computer to transmit the channel reserving signal is executed in response to the failure.

65. The non-transitory computer-readable medium of claim 60, further including:

program code for causing the computer to monitor a signal quality for each attempt to decode a paging occasion; and

program code for causing the computer to determine a low signal quality metric representing each time the signal quality failed to meet a decoding threshold level, wherein the program code for causing the computer to transmit the channel reserving signal is executed in response to the low signal quality metric exceeding a predetermined threshold.

66. The non-transitory computer-readable medium of claim 60, further including:

program code for causing the computer to monitor a failure rate of decoding a control-reference transmission according to the schedule of the downlink control-reference transmission; and

program code for causing the computer to adjust a transmission power of the signal reserving signal based, at least in part, on the failure rate.

67. The non-transitory computer-readable medium of claim 60, wherein the control-reference transmission includes a clear channel assessment exempt transmission (CET).

68. A non-transitory computer-readable medium having program code recorded thereon, the program code including:

program code for causing a computer to determine an expected duration of an uplink control-reference transmission reception for an expected uplink control-reference transmission transmitted from a user equipment (UE) served by a base station;

program code for causing the computer to transmit a protection signal, wherein the protection signal identifies a protection duration of at least the duration of the expected duration of the uplink control-reference transmission reception; and

program code for causing the computer to monitor for the expected uplink control-reference transmission.

69. The non-transitory computer-readable medium of claim 68, further including:

program code for causing the computer to perform a clear channel assessment (CCA) on the unlicensed carrier; and

program code for causing the computer to transmit a downlink control-reference transmission, wherein the program code for causing the computer to transmit the control-reference transmission is executed in response to the CCA indicating the unlicensed carrier is clear, and wherein the protection signal is transmitted after the downlink control-reference transmission, but before the expected uplink control-reference transmission.

70. The non-transitory computer-readable medium of claim 68, further including:

program code for causing the computer to determine a duration of a downlink control-reference transmission, wherein the expected duration identified by the protection signal further includes the duration of the downlink control-reference transmission.

71. The non-transitory computer-readable medium of claim 68, wherein the protection signal includes at least one of:

a request to send (RTS) signal; or
a clear to send (CTS) signal.

72. The non-transitory computer-readable medium of claim 68, wherein the control-reference transmission includes a clear channel assessment exempt transmission (CET).

73. An apparatus configured for wireless communication, the apparatus comprising:

at least one processor; and
a memory coupled to the at least one processor,
wherein the at least one processor is configured:
to generate a control-reference transmission using network information;
to transmit a channel reserving signals on an unlicensed carrier, prior to a scheduled transmission time of the control-reference transmission; and
to transmit the control-reference transmission on the unlicensed carrier at the scheduled transmission time.

74. The apparatus of claim 73, further comprising configuration of the at least one processor to perform a clear channel assessment (CCA) on the unlicensed carrier,
wherein the channel reserving signals are transmitted in response to the CCA indicating the unlicensed carrier is clear, and

wherein the channel reserving signals include at least one of:
a channel usage beacon signal (CUBS);

a request to send (RTS) signal; or
a clear to send (CTS) signal.

75. The apparatus of claim 73, wherein the network information includes one or more of:

synchronization information associated with a base station;
a common reference signal (CRS) associated with the base station;
a broadcast channel associated with the base station;
timing information associated with the base station;
frequency information associated with the base station;
cell identifier (ID) of the base station;
channel condition measurements associated with the base station;
one or more network parameters associated with the base station;
paging information associated with one or more user equipment (UE) served by the base station; and
upcoming traffic information associated with the one or more UE served by the base station.

76. The apparatus of claim 73, wherein the control-reference transmission includes a clear channel assessment exempt transmission (CET).

77. An apparatus configured for wireless communication, the apparatus comprising:

at least one processor; and
a memory coupled to the at least one processor,
wherein the at least one processor is configured:
to generate a control-reference transmission using network information;
to select a location within a scheduled control-reference transmission window for transmission of the control-reference transmission over an unlicensed carrier; and
to transmit the control-reference transmission on the unlicensed carrier at the location within the scheduled control-reference transmission window.

78. The apparatus of claim 77, further comprising configuration of the at least one processor to perform a clear channel assessment (CCA) on the unlicensed carrier, wherein the control-reference transmission is transmitted in response to the CCA indicating the unlicensed carrier is clear

79. The apparatus of claim 77, wherein the location is selected based on a hopping function.

80. The apparatus of claim 79, wherein the hopping function is predefined for each public land mobile number (PLMN) to which a base station is associated.

81. The apparatus of claim 79, wherein the hopping function includes a randomized pattern known to a user equipment (UE).

82. The apparatus of claim 77, wherein the network information includes one or more of:

- synchronization information associated with a base station;
- a common reference signal (CRS) associated with the base station;
- a broadcast channel associated with the base station;
- timing information associated with the base station;
- frequency information associated with the base station;
- cell identifier (ID) of the base station;
- channel condition measurements associated with the base station;
- one or more network parameters associated with the base station;
- paging information associated with one or more user equipment (UE) served by the base station; and
- upcoming traffic information associated with the one or more UE served by the base station.

83. The apparatus of claim 77, wherein the control-reference transmission includes a clear channel assessment exempt transmission (CET).

84. An apparatus configured for wireless communication, the apparatus comprising:

at least one processor; and

a memory coupled to the at least one processor,

wherein the at least one processor is configured:

to determine a schedule of a downlink control-reference transmission from a serving base station over an unlicensed carrier;

to transmit a channel reserving signal over the unlicensed carrier prior to a next control-reference transmission according to the schedule; and

to receive the next control-reference transmission over the unlicensed carrier.

85. The apparatus of claim 84, wherein the schedule includes a location within a scheduled control-reference transmission window for transmission of the downlink control-reference transmission over the unlicensed carrier, wherein the location is based on a hopping function known to a user equipment (UE).

86. The apparatus of claim 85, wherein the hopping function includes a randomized pattern.

87. The apparatus of claim 84, wherein the channel reserving signals include at least one of:

a channel usage beacon signal (CUBS);

a request to send (RTS) signal; or

a clear to send (CTS) signal.

88. The apparatus of claim 84, further including configuration of the at least one processor to determine a failure to decode a previous control-reference transmission according to the schedule of the downlink control-reference transmission, wherein the configuration of the at least one processor to transmit the channel reserving signal is performed in response to the failure.

89. The apparatus of claim 84, further including configuration of the at least one processor:

to monitor a signal quality for each attempt to decode a paging occasion; and
to determine a low signal quality metric representing each time the signal quality failed to meet a decoding threshold level, wherein the configuration of the at least one processor to transmit the channel reserving signal is performed in response to the low signal quality metric exceeding a predetermined threshold.

90. The apparatus of claim 84, further including configuration of the at least one processor:

to monitor a failure rate of decoding a control-reference transmission according to the schedule of the downlink control-reference transmission; and
to adjust a transmission power of the signal reserving signal based, at least in part, on the failure rate.

91. The apparatus of claim 84, wherein the control-reference transmission includes a clear channel assessment exempt transmission (CET).

92. An apparatus configured for wireless communication, the apparatus comprising:

at least one processor; and
a memory coupled to the at least one processor,
wherein the at least one processor is configured:
to determine an expected duration of an uplink control-reference transmission reception for an expected uplink control-reference transmission transmitted from a user equipment (UE) served by a base station;
to transmit a protection signal, wherein the protection signal identifies a protection duration of at least the duration of the expected duration of the uplink control-reference transmission reception; and
to monitor for the expected uplink control-reference transmission.

93. The apparatus of claim 92, further including configuration of the at least one processor:

to perform a clear channel assessment (CCA) on the unlicensed carrier; and to transmit a downlink control-reference transmission, wherein the control-reference transmission is transmitted in response to the CCA indicating the unlicensed carrier is clear, and wherein the protection signal is transmitted after the downlink control-reference transmission, but before the expected uplink control-reference transmission.

94. The apparatus of claim 92, further including configuration of the at least one processor to determine a duration of a downlink control-reference transmission, wherein the expected duration identified by the protection signal further includes the duration of the downlink control-reference transmission.

95. The apparatus of claim 92, wherein the protection signal includes at least one of:

- a request to send (RTS) signal; or
- a clear to send (CTS) signal.

96. The apparatus of claim 92, wherein the control-reference transmission includes a clear channel assessment exempt transmission (CET).

1/15

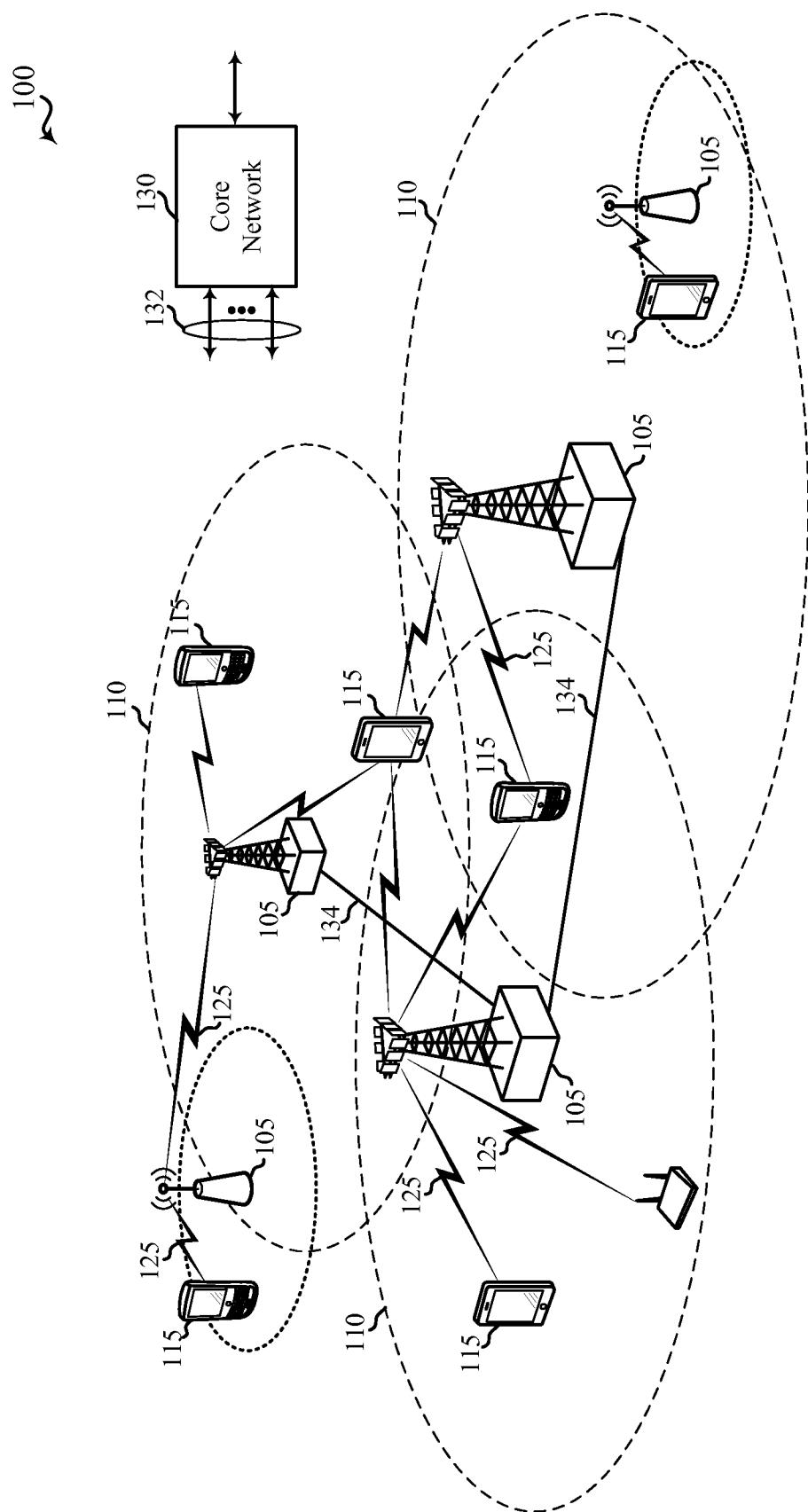


FIG. 1

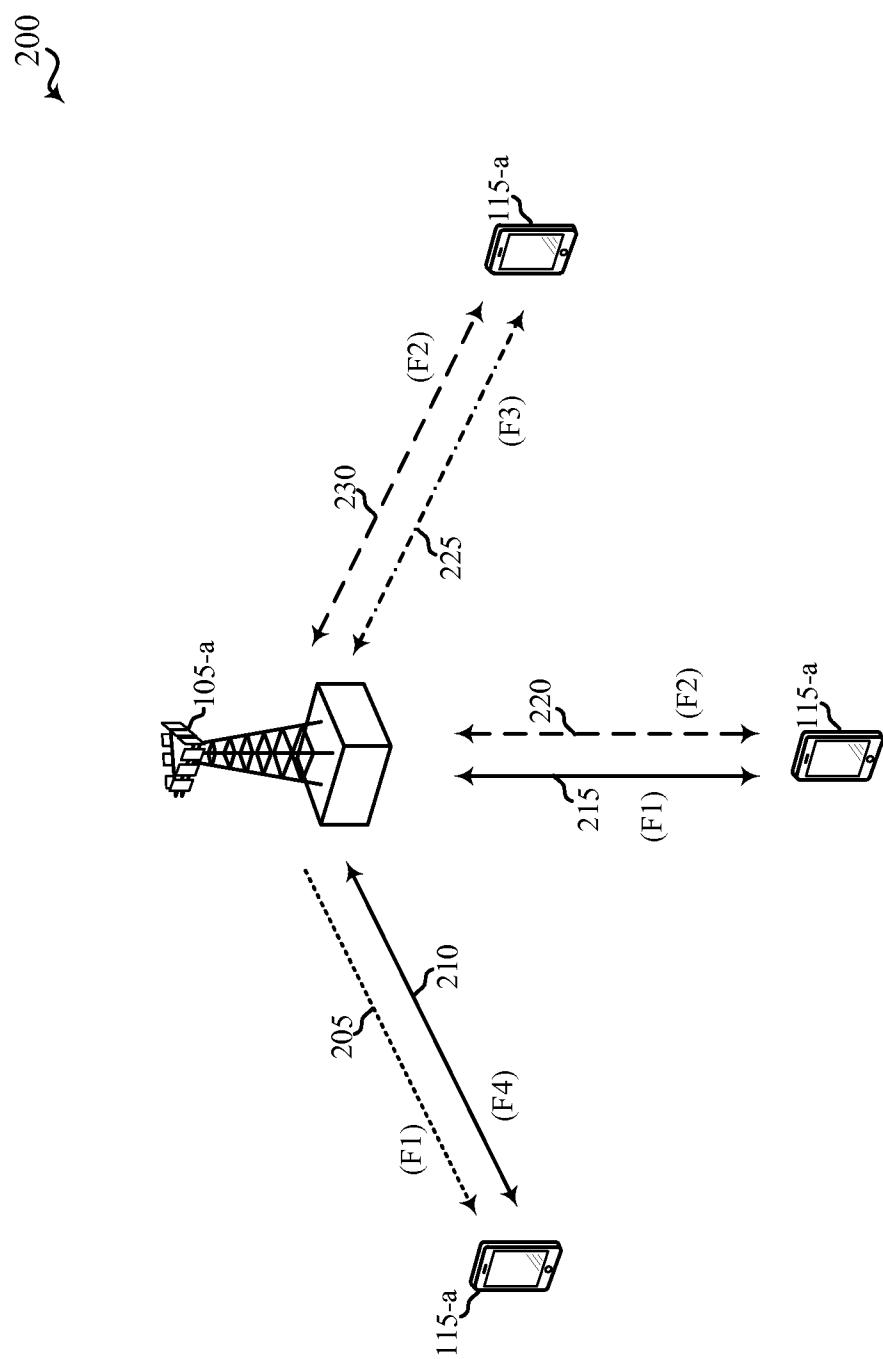


FIG. 2A

3/ 15

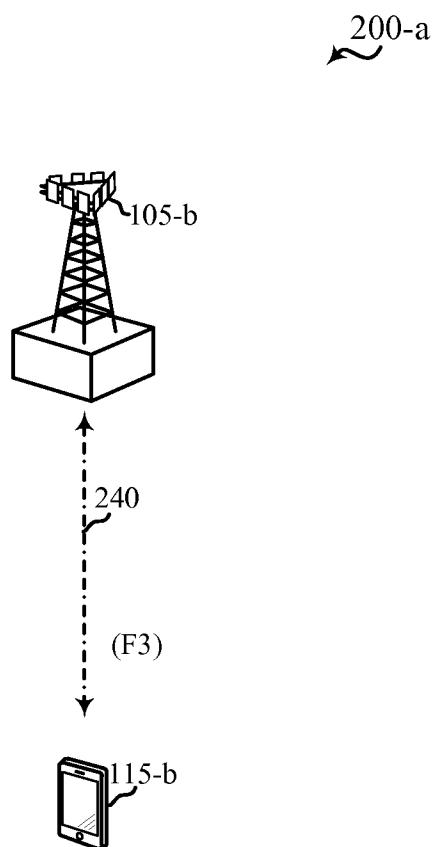


FIG. 2B

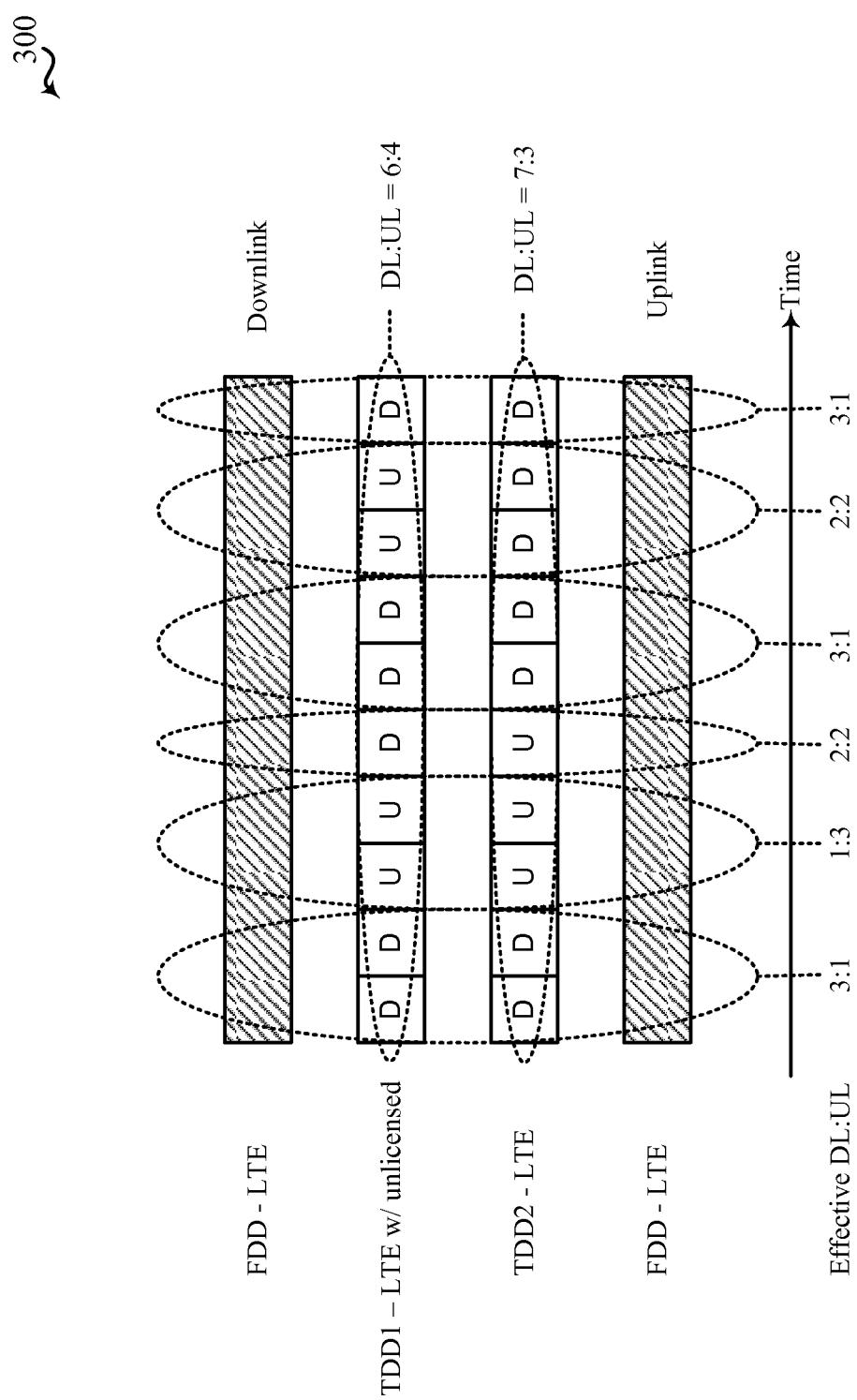


FIG. 3

5/15

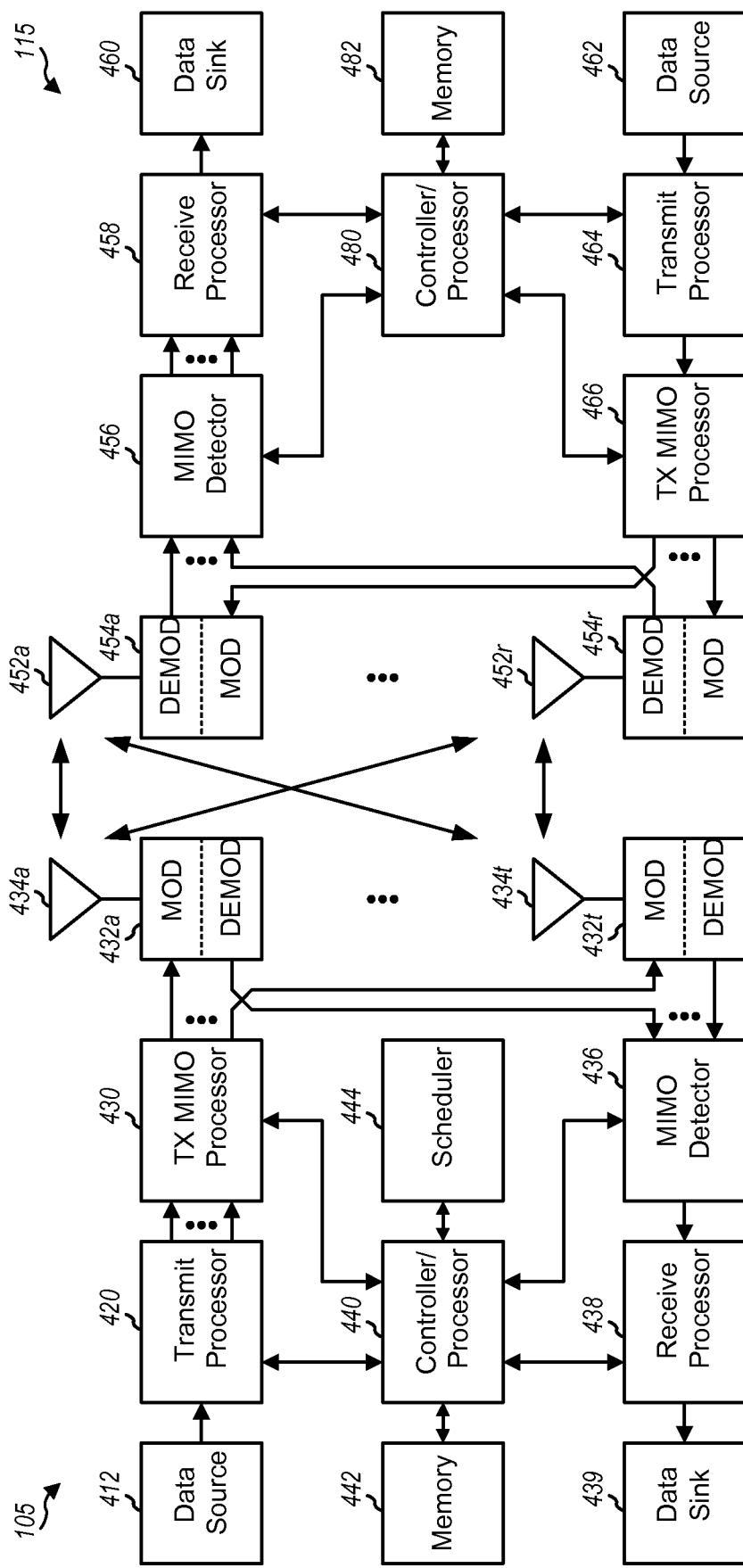


FIG. 4

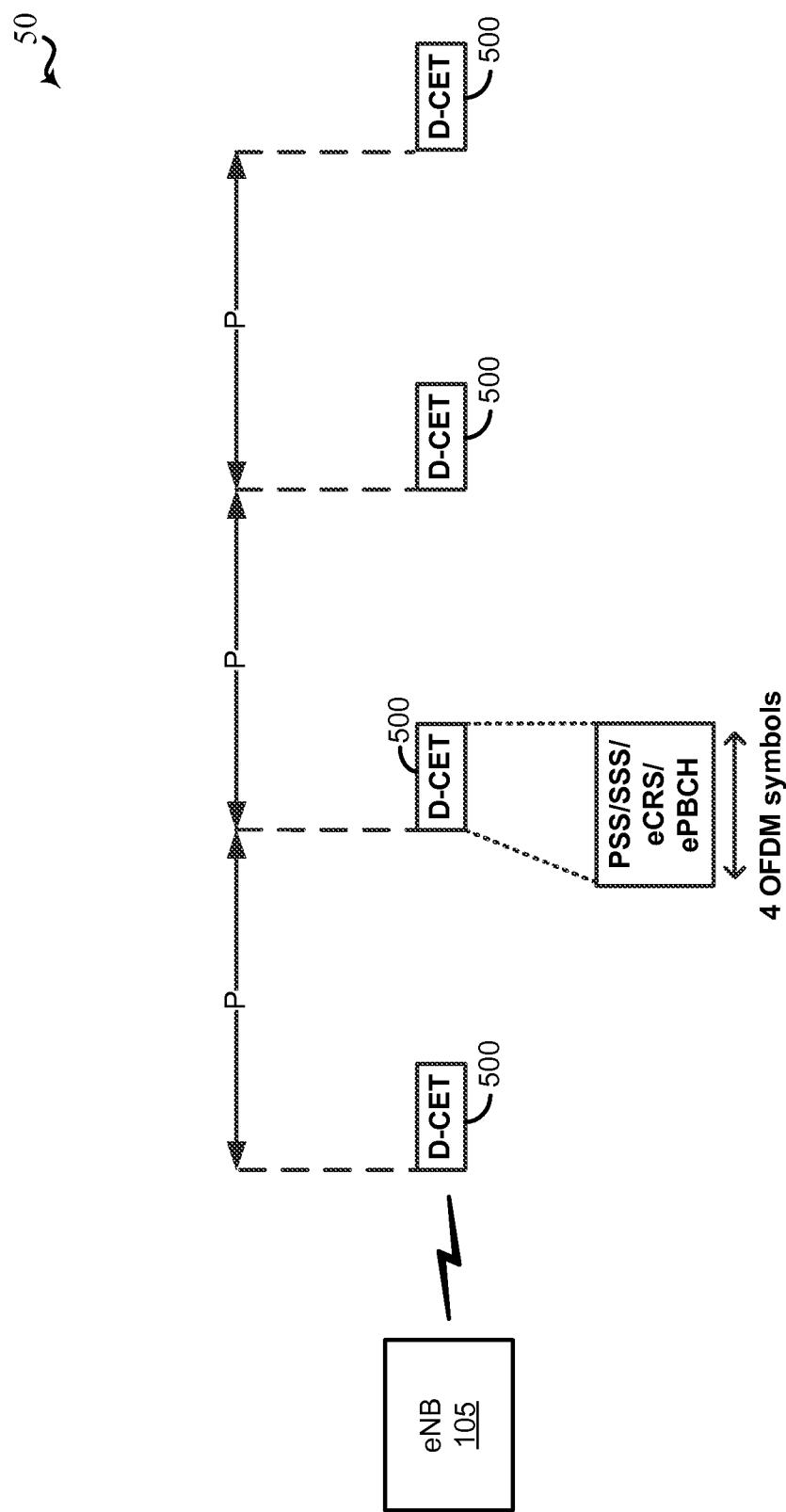


FIG. 5

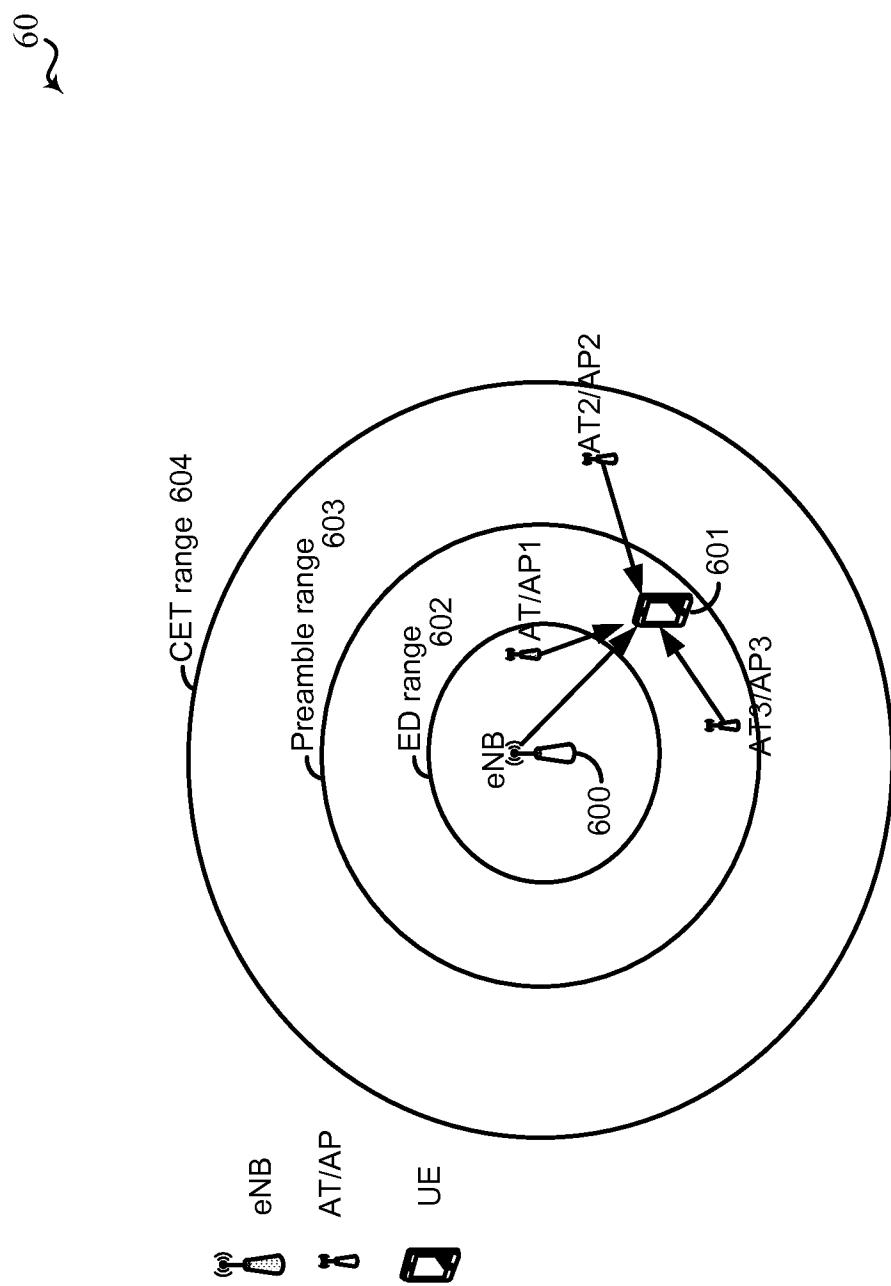
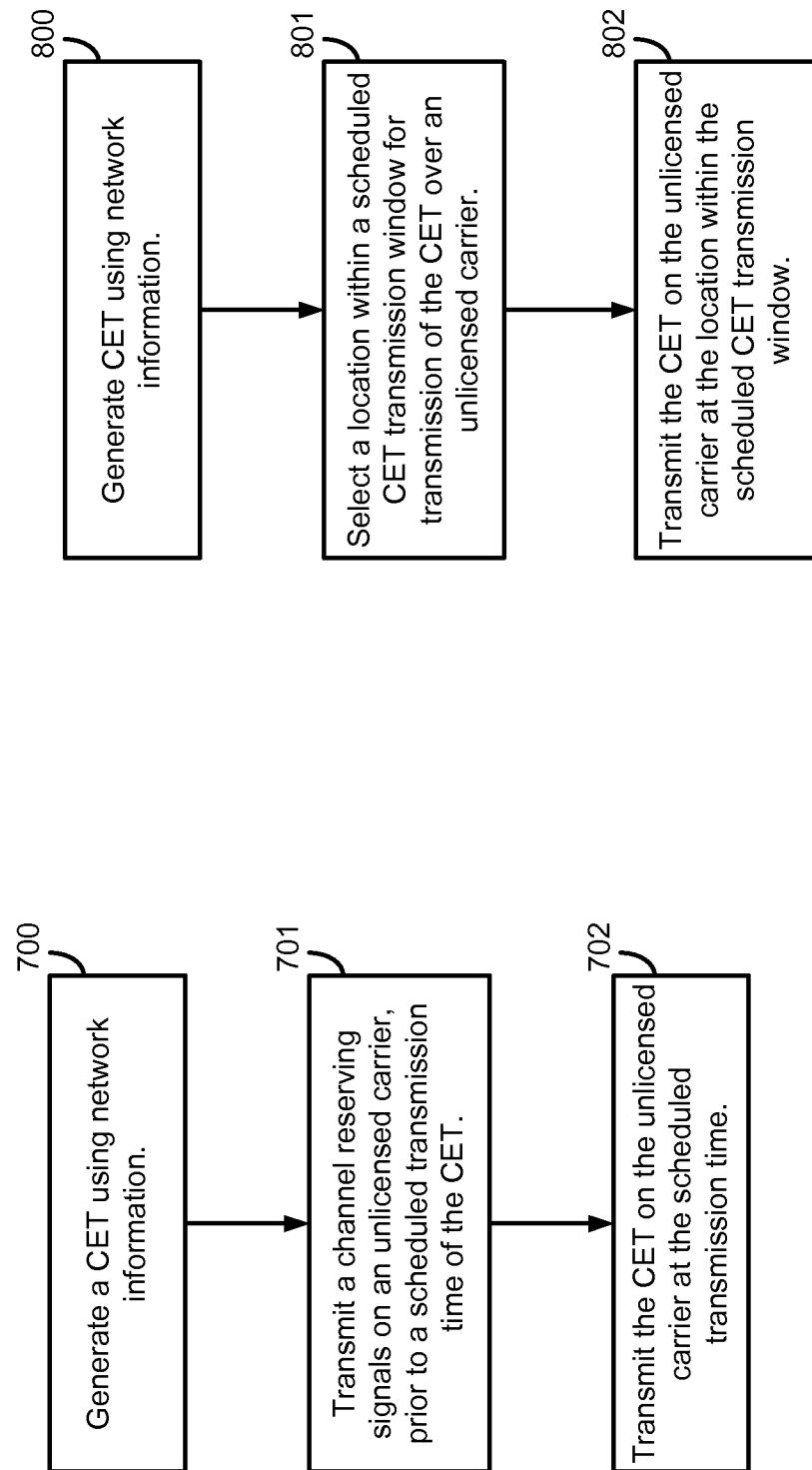


FIG. 6

8/15



9/15

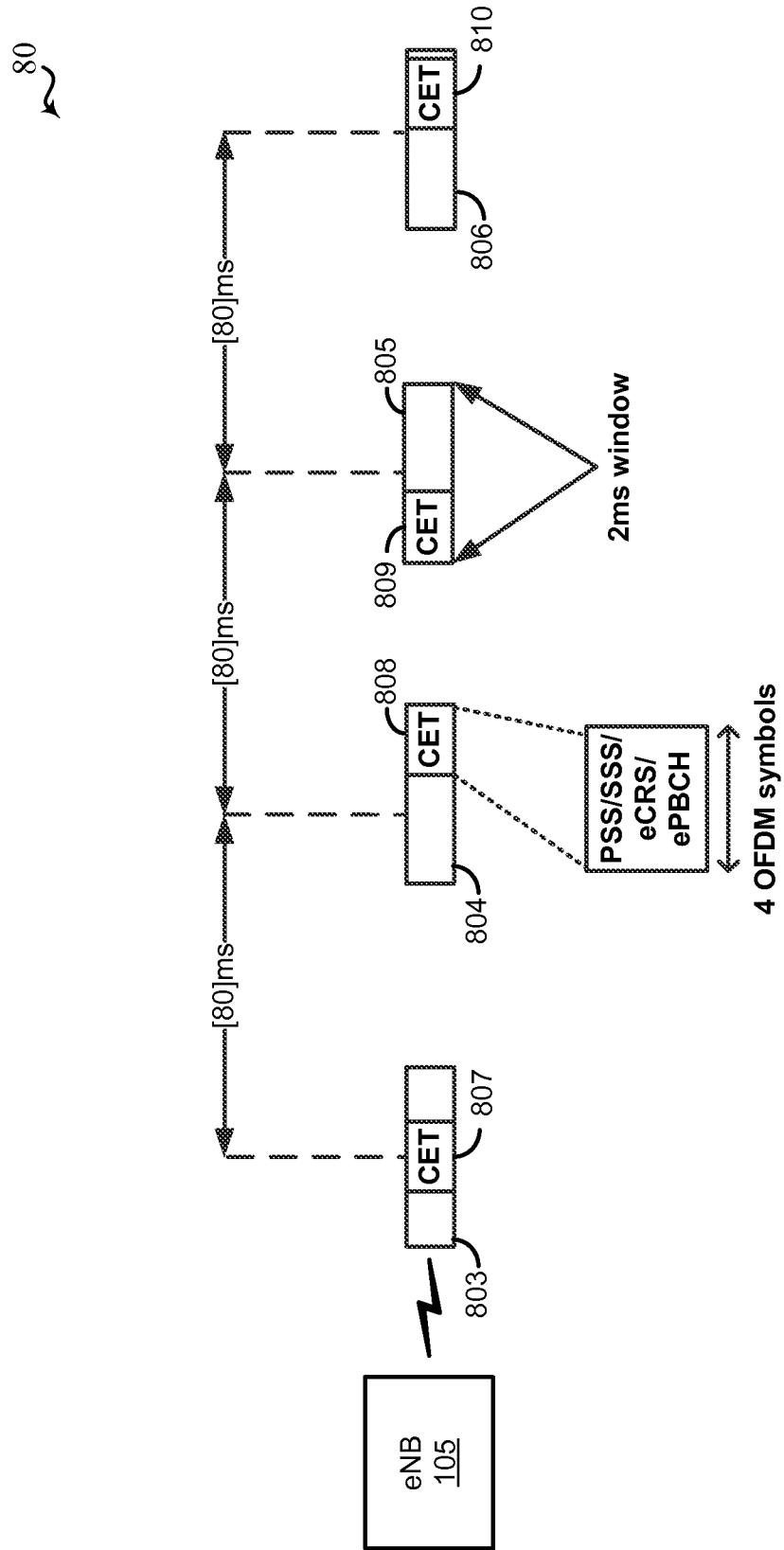


FIG. 8B

10/15

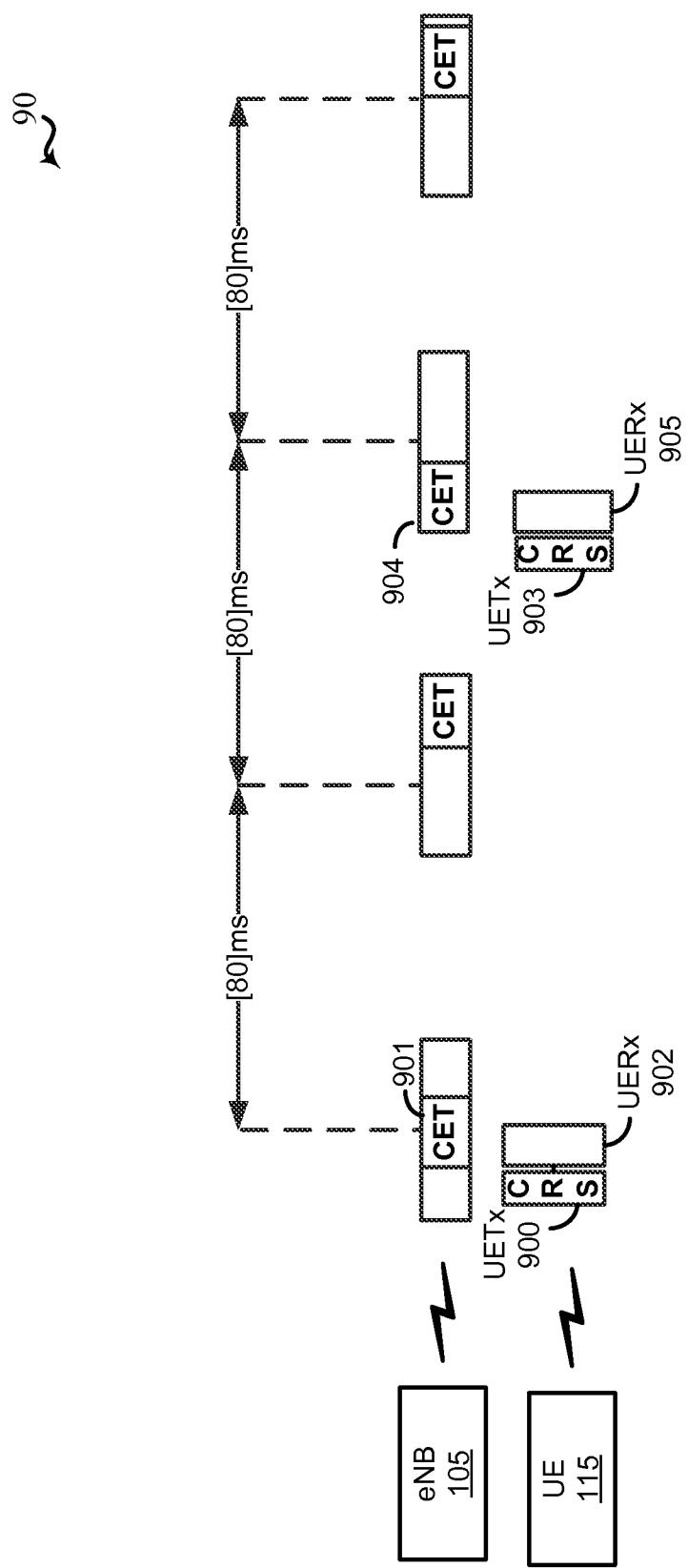


FIG. 9A

11/15

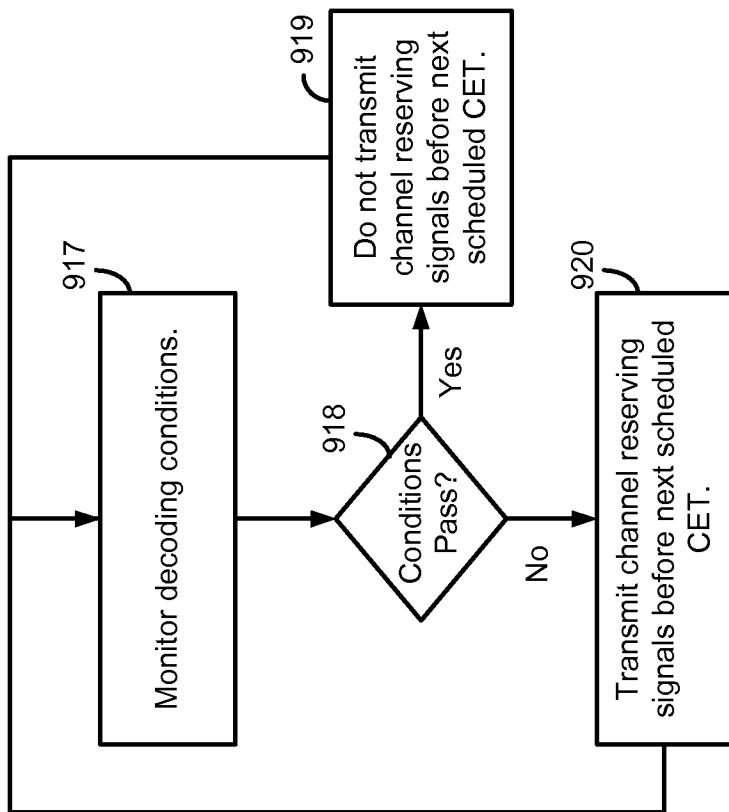


FIG. 9D

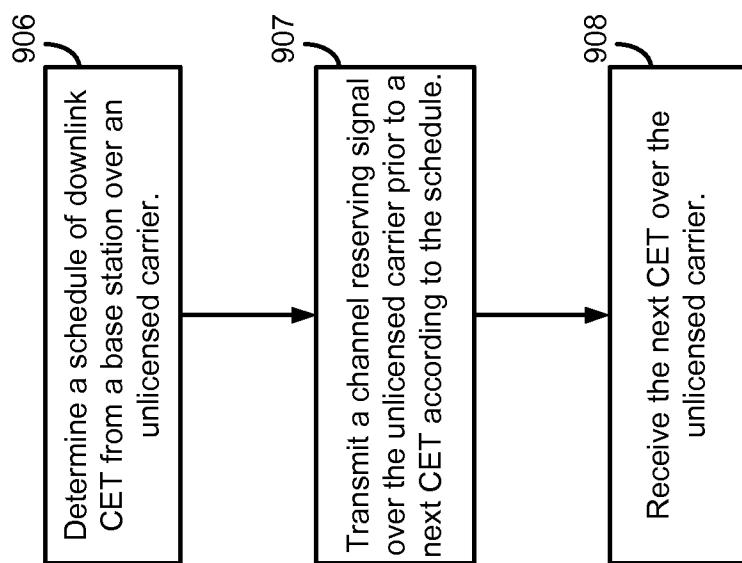


FIG. 9B

12/15

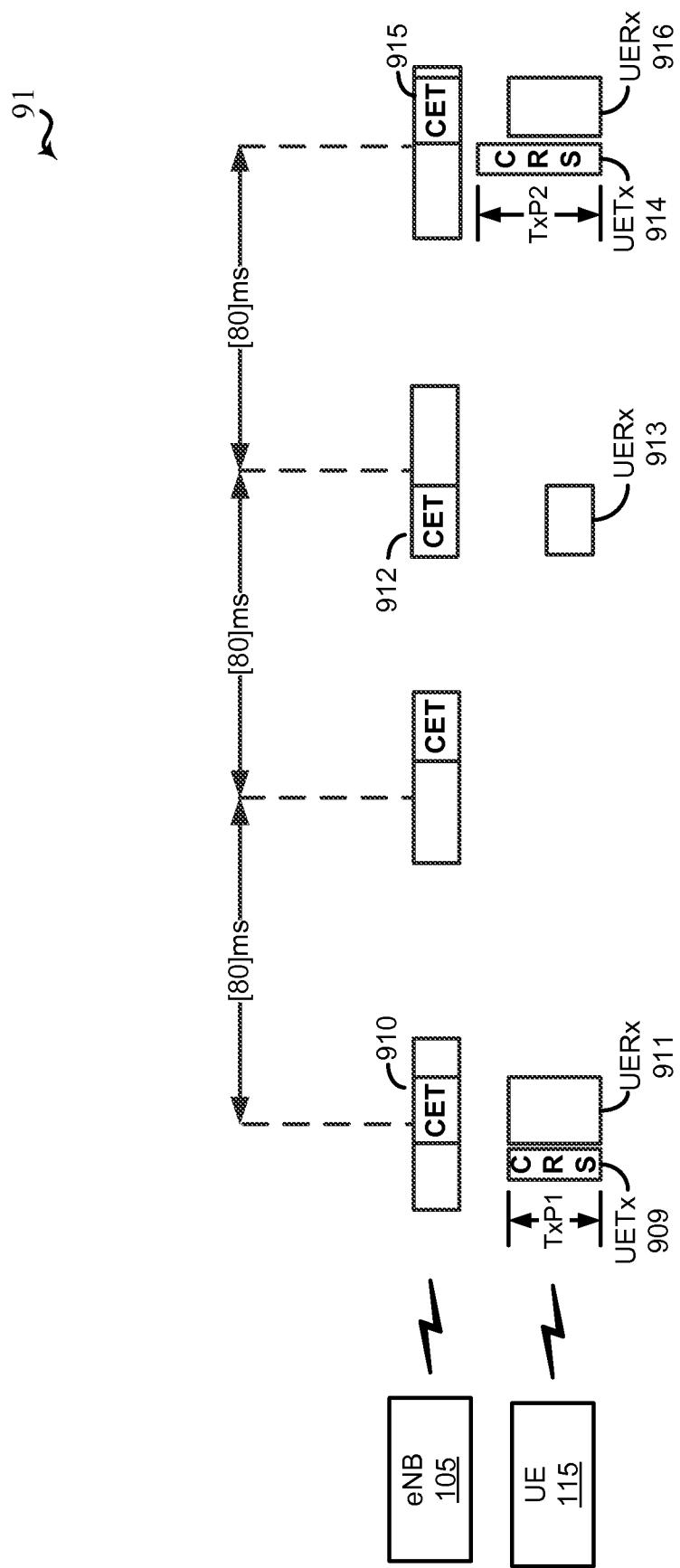


FIG. 9C

13/15

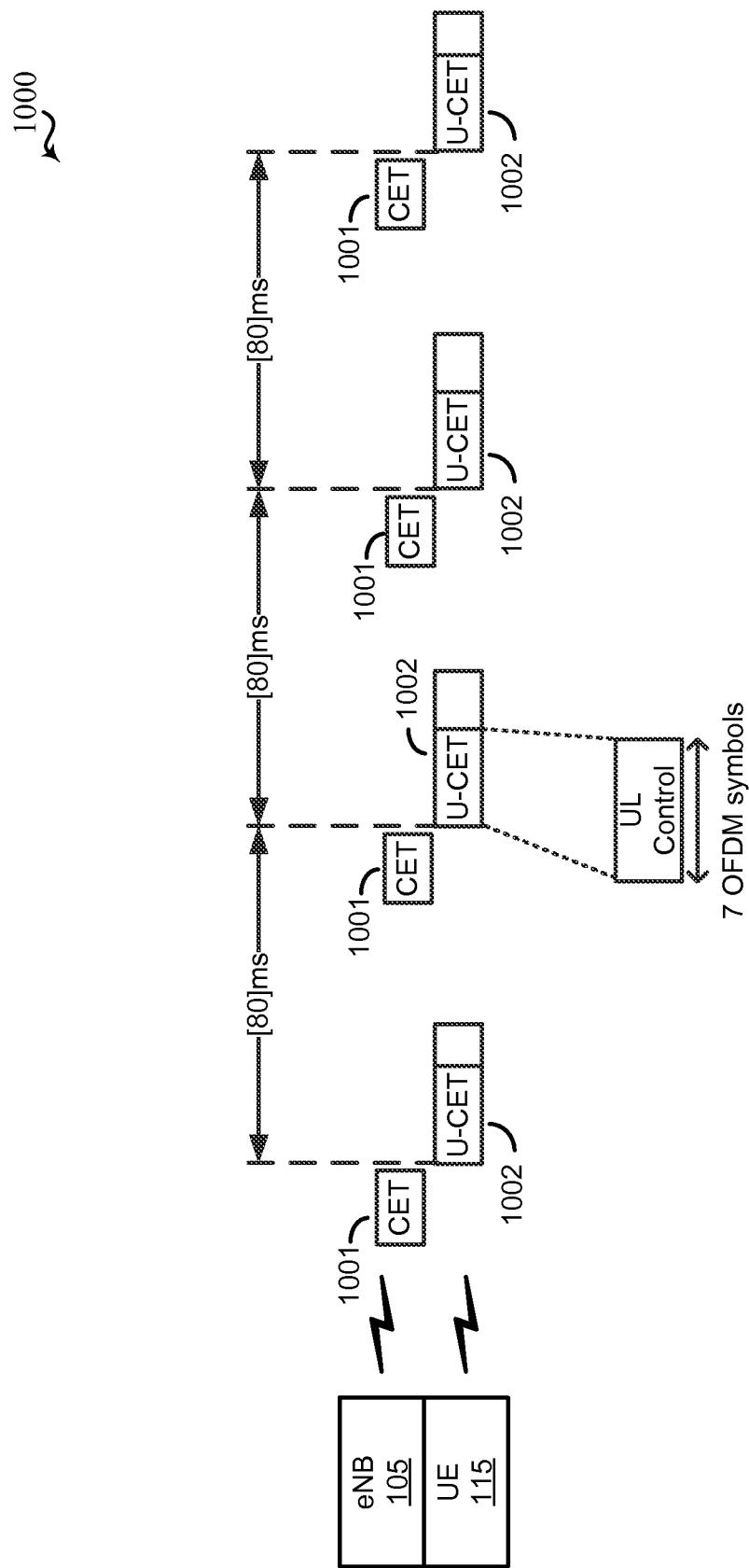


FIG. 10

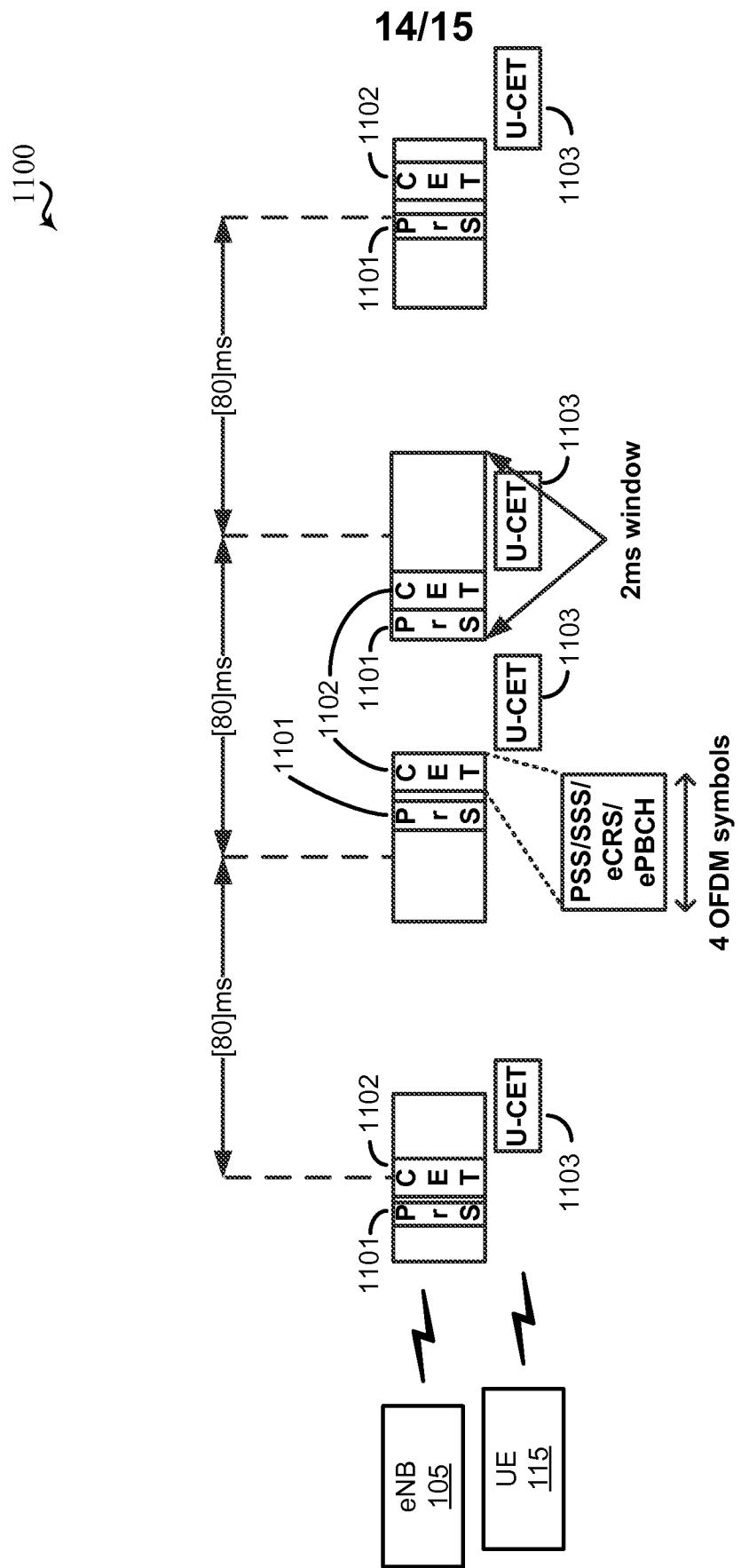


FIG. 11A

15/ 15

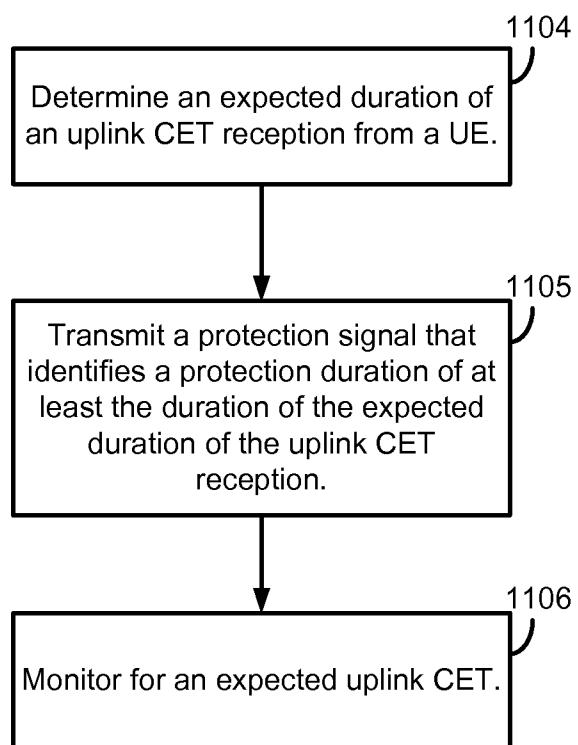


FIG. 11B

INTERNATIONAL SEARCH REPORT

International application No
PCT/US2015/033941

A. CLASSIFICATION OF SUBJECT MATTER
INV. H04W74/08
ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
H04W

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-Internal, WPI Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>WO 2013/185835 A1 (NOKIA SIEMENS NETWORKS OY [FI]; CATTONI ANDREA [DK]; WAGNER GUSTAVO [D]) 19 December 2013 (2013-12-19)</p> <p>page 3, line 5 - page 4, line 10; figure 3 page 5, line 4 - page 6, line 4 page 6, line 35 - page 7, line 9 page 8, line 31 - line 35 page 9, line 22 - line 30</p> <p>-----</p> <p style="text-align: center;">-/-</p>	1-4, 25-28, 49-52, 73-76

Further documents are listed in the continuation of Box C.

See patent family annex.

* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance
"E" earlier application or patent but published on or after the international filing date
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
"O" document referring to an oral disclosure, use, exhibition or other means
"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
13 August 2015	06/11/2015
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer Orfanos, Georgios

INTERNATIONAL SEARCH REPORT

International application No
PCT/US2015/033941

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>HUAWEI ET AL: "Radio resource management for 1:M D2D communication", 3GPP DRAFT; R2-134131, 3RD GENERATION PARTNERSHIP PROJECT (3GPP), MOBILE COMPETENCE CENTRE ; 650, ROUTE DES LUCIOLES ; F-06921 SOPHIA-ANTIPOLIS CEDEX ; FRANCE</p> <p>, vol. RAN WG2, no. San Francisco, U.S; 20131111 - 20131115 13 November 2013 (2013-11-13), XP050736916, Retrieved from the Internet: URL:http://www.3gpp.org/ftp/Meetings_3GPP_SYNC/RAN/RAN2/Docs/ [retrieved on 2013-11-13] paragraph [03.4] - paragraph [03.5]; table 2</p> <p>-----</p>	1-4, 25-28, 49-52, 73-76
1		

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US2015/033941

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

2. Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.

2. As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.

3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:

4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-4, 25-28, 49-52, 73-76

Remark on Protest

The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.

The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.

No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-4, 25-28, 49-52, 73-76

Handshake for control data transmissions in unlicensed carrier.

2. claims: 5-11, 29-35, 53-59, 77-83

Randomisation of interference for control data transmissions in unlicensed carrier.

3. claims: 12-19, 36-43, 60-67, 84-91

Resource reservation for control data transmissions in unlicensed carrier.

4. claims: 20-24, 44-48, 68-72, 92-96

Idle time avoidance in control data transmissions in unlicensed carrier.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No
PCT/US2015/033941

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 2013185835 A1	19-12-2013	EP 2862384 A1 US 2015223241 A1 WO 2013185835 A1	22-04-2015 06-08-2015 19-12-2013