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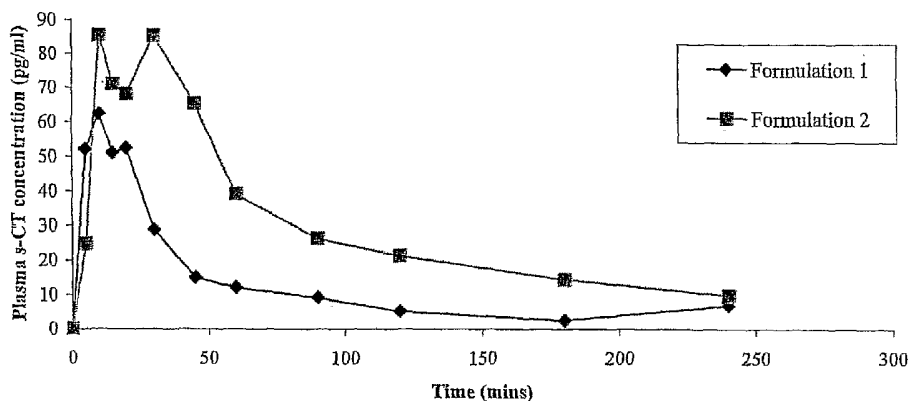
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(54) Title: CHITOSAN CONTAINING SOLUTION

Mean plasma concentration-time profiles following the intranasal absorption of s-CT in sheep



(57) Abstract: The invention provides a composition comprising (i) chitosan, a salt or derivative thereof or a salt of a derivative thereof, (ii) a polyol-phosphate or sugar-phosphate salt, (iii) a plasticizer, and (iv) a therapeutic agent. Typically, the composition is a solution or suspension at ambient temperature but forms a gel at physiological temperatures.



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## CHITOSAN CONTAINING SOLUTION

This invention relates to pharmaceutical compositions that provide for the uptake of therapeutic agents across mucosal surfaces.

5

Polar drugs, including low molecular weight drugs, high molecular weight peptides, proteins and polysaccharides, are not typically effectively absorbed across mucosal membranes, such as the gastrointestinal tract, the oral mucosal, the eye, the vagina, the nasal cavity or the rectum.

10

The use of "absorption enhancers" such as non-ionic surfactants, cyclodextrins, phospholipids and bile salts to improve the absorption of polar molecules across mucosal membranes has previously been described. (For a review see Davis *et al* (eds.), *Delivery Systems for Peptide Drugs*, Plenum Press, New York, 1987; and Lee (ed.), *Peptide and Protein Delivery*, Marcel Dekker Inc., New York, 1991).

15

20

Chitosan is a cationic biopolymer comprising glucosamine and N-acetyl glucosamine that has bioadhesive properties and has been shown to improve the systemic bioavailability of certain drug compounds across mucosal surfaces such as the nasal cavity (see *Illum, Drug Discovery Today*, 7, 1184-1189, 2002).

25

Injectable neutral solutions of chitosan which form biodegradable gels in-situ are described in the literature (A. Chenite *et al.*, *Biomaterials* 21: 2155-2161 (2000); E. Ruel-Gariepy *et al.*, *Int. J. Pharm.* 203: 89-98 (2000); A. Chenite *et al.*, *Carbohydrate Polymers* 46: 39-47 (2001)) and the patent literature (WO00/136000, WO99/07416 and US-B-6344488). In-situ gel formation is facilitated by the addition of an anionic polyol-phosphate salt

to the chitosan solution, this is reported to result in the neutralisation of the positively charged chitosan and the solution pH. When injected in vivo the liquid formulations are converted into gel implants in-situ and may be used to deliver biologically active molecules and as encapsulating matrices for tissue engineering applications (A. Chenite *et al.*, Carbohydrate Polymers 46: 39-47 (2001)).

It is an object of the present invention to provide a composition suitable for the delivery of therapeutic agents across a mucosal surface. In particular, the present invention is concerned with the provision of a solution that gels at physiological temperature, thereby prolonging the residence time of the therapeutic agent on the mucosal surface.

More particularly, in view of the known advantages of the use of chitosan in compositions for the transportation of drugs across mucosal membranes, such as the nasal cavity, it would be particularly advantageous to provide chitosan compositions that initially have a low viscosity but form a gel at physiological temperature such that a gel is formed shortly after application to a mucosal surface. This has not previously been possible because compositions comprising chitosan at relatively high concentration tend to have a high viscosity and may, therefore, be difficult to deliver, for example using a nasal spray device. Additionally, the onset of gelation using prior art compositions can be prolonged.

The present invention, therefore, provides a composition comprising (i) chitosan, a salt or derivative thereof or a salt of a derivative thereof, (ii) a polyol-phosphate or sugar-phosphate salt, (iii) a plasticizer, and (iv) a therapeutic agent.

The compositions of the invention may be in any suitable form. As the person of ordinary skill in the art will appreciate, suitable forms will depend on the intended method of administration. Preferably, the compositions of the invention are in the form of an aqueous solution or an aqueous composition in which the therapeutic agent is suspended. Alternatively, the composition may be in dry form, for example a freeze-dried powder. Any other suitable drying method known to the person of ordinary skill in the art may be used to provide a composition in dry form. If the composition is provided in dry form, it may be mixed with an aqueous solution to provide a solution or suspension as appropriate before use.

By the term "chitosan" we include all derivatives of chitin, or poly-N-acetyl-D-glucosamine, including all polyglucosamines and oligomers of glucosamine materials of different molecular weights, in which the greater proportion of the N-acetyl groups have been removed through hydrolysis (deacetylation). In accordance with the present invention, the degree of deacetylation, which represents the proportion of N-acetyl groups that have been removed through deacetylation, should preferably be greater than 40 %, for example from 40 to 97%, more preferably from 50 to 98%, more preferably from 60 to 95% and most preferably from 70 to 90%.

The chitosan, chitosan derivative or salt used in the present invention should preferably have a molecular weight of 4000 Daltons (Da) or greater, more preferably from 10,000 to 2,000,000 Da, more preferably from 25,000 to 1,000,000 Da and most preferably from 50,000 to 300,000 Da.

Salts of chitosan are suitable for use in the present invention. Salts with various organic and inorganic acids are suitable. Such suitable salts include, but are not limited to the nitrate, phosphate, glutamate, lactate,

sulphate, citrate, hydrochloride and acetate salts. Preferably, the glutamate or hydrochloride salt is used.

Chitosan derivatives and their salts are also suitable for use in this invention. Suitable chitosan derivatives include, but are not limited to, esters, ethers or other derivatives formed by bonding acyl and/or alkyl groups with the hydroxyl groups, but not the amino groups of chitosan. Examples include O-alkyl ethers of chitosan, O-acyl esters of chitosan, trimethyl chitosan and similar derivatives. Modified chitosans, such as those conjugated to polyethylene glycol may be used in the present invention. Conjugates of chitosan and polyethylene glycol are described in WO99/01498.

Chitosans suitable for use in the present invention may be obtained from various sources, including Primex, Haugesund, Norway; NovaMatrix, Drammen, Norway; Seigagaku America Inc., MD, USA; Meron (India) Pvt, Ltd., India; Vanson Ltd, VA, USA; and AMS Biotechnology Ltd., UK. Suitable derivatives include those that are disclosed in Roberts, Chitin Chemistry, MacMillan Press Ltd., London (1992).

Particularly preferred chitosan compounds that may be mentioned include chitosan glutamate (available as Protasan UPG213 from NovaMatrix, Drammen, Norway) and other low and medium viscosity chitosan compounds (for example UPG113, UPCL213 and UPCL113 grades also available from NovaMatrix, Drammen, Norway).

As will be appreciated, the amount of chitosan, a salt or derivative thereof or salt of a derivative thereof present in the compositions of the present invention will, at least to some extent, depend on factors such as the other

components present, their concentration and the intended mode of administration. If the composition of the invention is in the form of an aqueous solution or suspension, the chitosan, salt or derivative thereof or salt of a derivative thereof is preferably present in an amount of from 0.25  
5 to 3.0 %w/v, more preferably from 0.35 to 2.5 %w/v, for example from 0.35 to 2.0 %w/v, from 0.5 to 2.5 %w/v, from 0.75 to 2.0 %w/v or from 0.4 to 1 %w/v and most preferably from 0.45 to 1.5 %w/v expressed as chitosan base.

10 Preferably, the chitosan used in the present invention has a positive charge in solution.

The chitosan, salt or derivative thereof or salt of a derivative thereof used in the present invention is preferably water soluble. By the term "water  
15 soluble", we mean that the chitosan, salt or derivative thereof or salt of a derivative thereof has a solubility of at least 1 mg/ml and preferably at least 10 mg/ml in water at ambient temperature.

The compositions of the present invention comprise a polyol-phosphate or  
20 sugar-phosphate. These terms and the alternative terms mono-phosphate dibasic salts of a polyol or a sugar will be well understood by those skilled in the art and include, but are not limited to, all salts or derivatives of glycerol-, sorbitol-, xylitol-, mannitol-, fructose-, glucose-, galactose-, ribose-, xylose-, trehalose-, sucrose-phosphate or mixtures thereof.  
25 Preferably, a salt or derivative of glycerol, sorbitol, fructose or glucose is used. Most preferably, a salt or derivative of glycerol is used.

Preferably, the polyol-phosphate is  $\beta$ -glycerophosphate or glycerol-2-phosphate and most preferably  $\beta$ -glycerophosphate disodium is used in the present invention.

5 As will be appreciated, the amount of polyol-phosphate or sugar-phosphate salt present in the compositions of the present invention will, at least to some extent, depend on factors such as the other components present, their concentration and the intended mode of administration. If the final composition of the invention is in the form of an aqueous solution or  
10 suspension, it preferably contains from 0.25 to 3.0 % w/v, more preferably from 0.5 to 2.5 % w/v and most preferably from 0.75 to 2.0 % w/v of the polyol-phosphate or sugar-phosphate salt. It is particularly preferred that the compositions of the invention contain  $\beta$ -glycerophosphate disodium in these amounts.

15 The compositions of the invention comprise a plasticizer. By the term "plasticizer" we mean a material that is able to interact on a molecular level with the chitosan, salt or derivative thereof or salt of a derivative thereof and thus alter certain physical and mechanical properties of the chitosan,  
20 salt or derivative thereof or salt of a derivative thereof by enhancing the mobility of the polymer chains. Without wishing to be bound by theory, it is thought that the plasticizer has the effect of reducing the temperature at which gelation of the compositions of the invention occurs by modifying the electrostatic and hydrophobic interactions and hydrogen bonding  
25 between chitosan chains, which are the main forces involved in gel formation. It will be appreciated that the nature and amount of the plasticizer used in the compositions of the invention can be selected so that gelation of the composition occurs within a specified temperature range.



Plasticizers that may be used in the present invention include, but are not limited to, citrates such as triethyl citrate, acetyltriethyl citrate, tributyl citrate and acetyltriethyl citrate; phthalates such as diethyl phthalate, dibutyl phthalate; polyethylene glycols (PEGs) such as PEG 400 and PEG 6000; 5 propylene glycol; sorbitol; glycerol; triacetin; and polysorbates such as polysorbate 80. The use of citrates, especially triethyl citrate is preferred.

As will be appreciated, the amount of the plasticizer included in the 10 compositions of the present invention will, at least to some extent, depend on the nature and amounts of the other components of the composition and the intended mode of administration. Preferably, the final compositions of the invention comprise from 0.05 to 5.0 %w/v of the plasticizer, more preferably from 0.1 to 2.0 %w/v and most preferably from 0.2 to 1.0 %w/v. 15 For example, a composition of the invention may contain triethyl citrate in an amount within these ranges.

The compositions of the invention comprise a therapeutic agent. The term "therapeutic agent" encompasses any substance that may be used to prevent 20 or treat conditions or diseases of the animal body, including the human body and includes drugs, peptides, proteins, polysaccharides, genes (DNA) or gene constructs, vaccines or components thereof (for example isolated antigens or parts thereof) and monoclonal antibodies.

25 Preferably the therapeutic agent is a polar molecule. By the term "polar molecule" we mean molecules with a partition coefficient between water and octanol at pH 7.4 of less than 50, preferably less than 10.

The therapeutic agents that may be used in the present invention include, but are not limited to, insulin, PTH (parathyroid hormone), PTH analogues, PTHrP (human parathyroid hormone peptide), calcitonins (for example porcine, human, salmon, chicken or eel) and synthetic modifications thereof, enkephalins, LHRH (luteinising hormone releasing hormone) and analogues (nafarelin, buserelin, leuprolide, goserelin), glucagon, TRH (thyrotropine releasing hormone), vasopressin, desmopressin, growth hormone, heparins, GHRH (growth hormone releasing hormone) CCK (cholecystokinin), THF (thymic humoral factor), CGRP (calcitonin gene related peptide), atrial natriuretic peptide, nifedipine, metoclopramide, ergotamine, pizotizin, pentamidine and vaccines (for example, AIDS vaccines, measles vaccines, rhinovirus Type 13 and respiratory syncytial virus vaccines, influenza vaccines, pertussis vaccines, meningococcal vaccines, tetanus vaccines, diphtheria vaccines, cholera vaccines and DNA vaccines (such as one containing a plasmid DNA coding for a suitable antigen)).

Further therapeutic agents include, but are not limited to, antibiotics and antimicrobial agents, such as tetracycline hydrochloride, leucomycin, penicillin, penicillin derivatives, erythromycin, sulphathiazole and nitrofurazone; antimigrane compounds, such as naratriptan, sumatriptan, zolmitriptan, rizatriptan, eletriptan, frovatriptan, alnitidan, avitriptan, almotriptan or other 5-HT<sub>1</sub> agonists; vasoconstrictors (such as phenylephedrine hydrochloride, tetrahydrozoline hydrochloride, naphazoline nitrate, oxymetazoline hydrochloride and tramazoline hydrochloride, cardiotonics (such as digitalis and digoxin), vasodilators (such as nitroglycerin and papaverine hydrochloride), bone metabolism controlling agents (such as vitamin D and active vitamin D<sub>3</sub>), sex hormones, hypotensive, anti-tumour agents, steroidal anti-inflammatory

agents (such as hydrocortisone, prednisone, fluticasone, prednisolone, triamcinolone, triamcinolone acetonide, dexamethasone, betamethasone, beclomethasone and beclomethasone dipropionate), non-steroidal anti-inflammatory drugs (such as acetaminophen, aspirin, aminopyrine, 5 phenylbutazone, mefenamic acid, ibuprofen, diclofenac sodium, indomethacin, colchicines and probenecid), enzymatic anti-inflammatory agents (such as chymotrypsin and bromelain seratiopeptidase), anti-histaminic agents (such as diphenhydramine hydrochloride, chlorpheniramine maleate and clemastine), anti-tussive expectorants (such 10 as codeine phosphate and isoproterenol hydrochloride), analgesics such as opioids (such as diamorphine, hydromorphone, buprenorphine, fentanyl, oxycodone, codeine, morphine and its polar metabolites, such as morphine-6-glucuronides and morphine-3-sulphate), combinations of opioids and other analgesic agents (such as non-steroidal anti-inflammatory drugs), anti- 15 emetics (such as metoclopramide, ondansetron, chlorpromazine), drugs for treatment of epilepsy (such as clonazepam), drugs for treatment of sleeping disorders (such as melatonin), drugs for treatment of asthma (such as salbutamol), drugs for treatment of erectile dysfunction (such as apomorphine, sildenafil and alprostadil).

20

Preferred therapeutic agents for use in the present invention include calcitonin, sumatriptan, sildenafil, apomorphine, alprostadil, buprenorphine, fentanyl, morphine and hydromorphone.

25 Two or more of the therapeutic agents listed above may be used in combination in the present invention. The therapeutic agents listed above may also be used with therapeutic agents other than those listed above. If the compositions of the invention contain more than one therapeutic agent,

it is not necessary for each drug to have improved therapeutic effect as a result of its inclusion in a composition of the invention.

As the person of ordinary skill in the art will appreciate, the amount of the therapeutic agent incorporated into the compositions of the invention will depend on a number of factors such as the proposed dosing regimen, the route of administration and the potency of the therapeutic agent. The amount of the therapeutic agent incorporated into the compositions of the invention will typically be in the range 0.001 mg to 1000 mg.

10

The therapeutic agent(s) are preferably present in the compositions of the invention in solution or as a suspension.

The compositions of the invention may contain one or more excipients that reduce the viscosity of the composition prior to gel formation. Suitable viscosity reducing excipients include, but are not limited to, organic acids such as ascorbic acid, fumaric acid, malic acid and tartaric acid; triethyl citrate; polysorbates such as polysorbate 80; polyethylene glycols; and propylene glycol. The person of ordinary skill in the art would be able to readily determine suitable quantities of such excipients depending on factors such as the identity of the active ingredient(s) and the viscosity of the solution. It should also be noted that certain polyol-phosphate or sugar-phosphate salts such as  $\beta$ -glycerophosphate also reduce the viscosity of the compositions of the invention.

25

It is particularly advantageous that the compositions of the invention contain an excipient that reduces the viscosity of the composition if the composition in the absence of such an excipient has viscosity above about

150 cP. The amount of excipient that reduces the viscosity of the composition can be selected so that the composition has a viscosity suitable for the intended mode of administration while retaining desirable gelling properties.

5

In a preferred aspect of the invention, the compositions contain ascorbic acid. Preferably, the compositions of the present invention comprise from 0.001 to 0.5 %w/v of ascorbic acid, more preferably from 0.005 to 0.25 %w/v and most preferably from 0.01 to 0.2 %w/v as measured in relation to  
10 the total concentration of ascorbic acid in the composition.

The compositions of the present invention may also contain other pharmaceutically acceptable ingredients well known in the art. Such ingredients include, but are not limited to, antioxidants or antioxidant  
15 synergists or mixtures thereof (for example, ascorbic acid, ascorbyl palmitate, fumaric acid, malic acid, tartaric acid, sodium ascorbate or sodium metabisulphite or their synergists for example disodium edentate), chelating agents (such as edetic acid or one of its salts), preservatives (such  
as potassium sorbate, parabens, phenylethyl alcohol or benzalkonium  
20 chloride), flavours, sweeteners, thickening, adhesive or gelling agents, including, but not limited to, celluloses such as hydroxypropyl methylcellulose, methylcellulose, hydroxypropyl cellulose, sodium carboxyl cellulose and microcrystalline cellulose, poloxamers, polyethylene glycols, carbomers or polyethylene oxide.

25

It will be appreciated that some ingredients may have more than one function when used in the compositions of the invention. For example organic acids, such as ascorbic acid, fumaric acid, malic acid, tartaric acid,

may act as both a viscosity reducer and an antioxidant if an appropriate concentration of the acid is used.

Preferably the compositions of the invention contain a preservative and/or  
5 are sterile. If preservatives are omitted from the compositions, microorganisms may be removed using any suitable method known in the art, for example by making the compositions aseptically or by terminally sterilising them.

10 If the compositions of the invention contain a preservative, any suitable known preservative may be used. Suitable preservatives include, but are not limited to, benzalkonium chloride, benzethonium chloride, methyl hydroxybenzoate, phenylethyl alcohol, propyl hydroxybenzoate and sodium benzoate. Preferably the preservative is benzalkonium chloride. The  
15 person of ordinary skill in the art will be readily able to optimise the amount of preservative for a particular composition.

Preferably the compositions of the invention are non-pyrogenic.

20 As will be appreciated, the preferred viscosity of the compositions of the invention prior to gelling will, at least to some extent, depend on the intended mode of administration. The skilled person will appreciate what viscosities are suitable for particular modes of administration. The compositions of the invention preferably have a viscosity of 150 cP or less,  
25 more preferably 100 cP or less and most preferably 50 cP or less, when measured at 25 °C following manufacture and using a calibrated Brookfield DV-III Programmable Rheometer fitted with a CP40 cone and plate and a sample volume of 500 µl, a rotation speed of 0.1 rpm and an equilibration time of 3 minutes. Compositions having viscosities within these ranges are

particularly suitable for intranasal administration in the form of a spray but they may also be used in other forms of administration such as vaginal, rectal, oral mucosal, ophthalmic or ocular administration. As the skilled person will appreciate, the nasal route of administration is one of the most  
5 demanding in terms of suitable viscosity ranges for the compositions. It is possible that compositions having a viscosity of greater than 150 cP may be used in one or more of the other forms of administration.

The compositions of the invention are typically solutions or suspensions at  
10 ambient temperature (for example below 30 °C, such as at about 20 to 25 °C). The compositions of the invention form gels when subjected to higher temperature, for example temperatures of 30 °C or greater. Preferably, the compositions of the invention form gels at temperatures of from 30 to 40 °C. More preferably, the compositions of the invention gel at a  
15 physiological temperature such as 35 to 37 °C (35, 36 or 37 °C), for example at the temperature within the nose (about 35 °C).

Ideally, the compositions of the invention form a gel shortly after being subjected to a temperature suitable for inducing gelling. Preferably the gel  
20 is formed in 30 minutes or less, more preferably in 15 minutes or less, more preferably in 10 minutes or less and most preferably in 5 minutes or less.

By the term "gel" we mean a transparent or translucent semi-solid or solid preparation comprising organic macromolecules distributed uniformly  
25 throughout a liquid in such a way that no apparent boundaries exist between the dispersed macromolecules and the liquid.

The preferred compositions of the invention form a gel at physiological temperature (35 to 37 °C) in 15 minutes or less on contact with a mucosal

membrane following administration, more preferably in 10 minutes or less following administration and most preferably in 5 minutes or less following administration.

- 5 The compositions of the invention can be used for delivery of a therapeutic agent across a mucosal membrane into the systemic circulation or for the local delivery of a therapeutic agent.

10 The compositions of the invention may be administered via any suitable route, for example via the nasal, vaginal, rectal, oral mucosal (buccal, sublingual), ophthalmic or ocular routes.

The preferred route of administration is the nasal route. The compositions of the invention may be administered to the nasal cavity in any suitable  
15 form. For example, when the compositions are in the form of a solution or a suspension, they may be administered in the form of drops or a spray.

A preferred method of administering the compositions of the invention that are in the form of solutions or suspensions is using a spray device. Spray  
20 devices can be single ("unit") dose or multiple dose systems, for example comprising a bottle, pump and actuator, and are available from various commercial sources, including Pfeiffer (Germany), Valois (France), Calmar (Germany), Ursatech (Germany), Bepak (UK) and Becton-Dickinson (USA). Electrostatic spray devices, such as described in US 5,655,517, are  
25 also suitable for the intranasal administration of the solutions of the invention.

For a spray device, the typical volume of liquid that is dispensed in a single spray actuation is from 0.01 to 0.14 ml, for example from 0.05 to 0.14 ml,



such as 0.1 ml. It is a practical proposition to administer up to about 0.2 ml into each nostril (i.e. two x 0.1 ml sprays) to provide a therapeutic dose of drug, although the most acceptable dosing regimen would be one spray into one or both nostrils.

5

If a composition of the invention, in the form of a solution or suspension, is to be administered to the buccal, otological, ophthalmic and sublingual surfaces, commercially available spray devices fitted with the appropriate actuator may be used, such as those available from Valois (France). Spray  
10 delivery devices suitable for preservative free systems in the form of multi-dose non-venting pumps are available for mucosal drug delivery to surfaces such as those of the nose, the ear and the buccal route. Such devices can be obtained from the sources listed above, for example from Valois (France) or Ursatech (Germany).

15

The present invention also provides a drug delivery device, such as a drug delivery device suitable for delivery of a composition via one or more of the nasal, vaginal, rectal, oral mucosal (buccal, sublingual), ophthalmic or ocular routes or a dose cartridge for use with such a device loaded with a  
20 composition as defined above.

The present invention also provides a process for the preparation of the composition described above, which process comprises mixing a solution comprising chitosan or a salt or derivative thereof or a salt of a derivative  
25 thereof with a solution comprising a polyol-phosphate or sugar-phosphate salt. Other components of the compositions may be present in either the chitosan containing solution or the polyol-phosphate or sugar-phosphate salt containing solution or may be introduced into the mixture separately.

The present invention also provides the use of the combination of chitosan or a salt or derivative thereof or the salt of a derivative thereof, a polyol-phosphate or sugar-phosphate salt and a plasticizer in the manufacture of a medicament for use in the transport of a therapeutic agent across a mucosal surface in an animal (such as a mammal, for example a human) and the use of this combination in the manufacture of a medicament for nasal, vaginal, rectal, oral mucosal, ophthalmic or ocular delivery. Medicaments produced in this way may be intended for local action or for systemic action.

10 The present invention also provides the use of a composition as described above in the manufacture of a medicament for use in the transport of a therapeutic agent across a mucosal surfaces in an animal (such as a mammal, for example a human) and in the manufacture of a medicament for nasal, vaginal, rectal, oral mucosal, ophthalmic or ocular delivery.

15 Medicaments produced in this way may be intended for local action or for systemic action.

The compositions of the present invention may be used in the administration of a therapeutic agent for transport of that therapeutic agent across a mucosal surface in an animal (such as a mammal, for example a human), for example in nasal, vaginal, rectal, oral mucosal, ophthalmic or ocular delivery of a therapeutic agent to an animal. The compositions of the invention may be used in the delivery of therapeutic agent is intended for local action or for systemic action.

25

The compositions of the invention may be used to treat/prevent diseases/conditions in mammalian patients depending upon the therapeutic agent(s) which is/are employed. For the above, non-exhaustive, lists of drugs, diseases/conditions which may be mentioned including those against

which the therapeutic agent(s) in question are known to be effective, include those specifically listed for the drugs in question in Martindale "The Extra Pharmacopoeia", 33<sup>rd</sup> Edition, Royal Pharmaceutical Society (2002).

- 5 It is an advantage of the present invention that the formation of the gel prolongs the residence time between the chitosan and the active moiety and the mucosal surface. Without wishing to be bound by theory, it is thought that the compositions of the invention can enhance the delivery of the therapeutic agent into the mucosal tissue to provide, for example, increased
- 10 absorption of systemically-acting drugs, peptides and proteins into the blood circulation, improved presentation of vaccine antigens to the underlying lymphoid tissue, and enhanced transfection by DNA of the cells of the mucosal lining.
- 15 The chitosan or a salt or derivative thereof or a salt of a derivative thereof in the compositions of the present invention preferably has a positive charge. The polyol-phosphate or sugar-phosphate neutralises the charge on the chitosan or a salt or derivative thereof or a salt of a derivative thereof. Thus, the ratio of the polyol-phosphate or sugar-phosphate to the chitosan
- 20 or a salt or derivative thereof or a salt of a derivative thereof should be such that not all of the positive charge on the chitosan or a salt or derivative thereof or a salt of a derivative thereof is neutralised. The ratio of the polyol-phosphate or sugar-phosphate to the chitosan or a salt or derivative thereof or a salt of a derivative thereof will depend on a number of factors
- 25 such as the molecular weight and degree of deacetylation of the chitosan or a salt or derivative thereof or a salt of a derivative thereof, the identity and molecular weight of the polyol-phosphate or sugar-phosphate and the percentage neutralisation of the chitosan or a salt or derivative thereof or a salt of a derivative thereof. On the basis of this information, the person of

ordinary skill in the art would be able to calculate a suitable ratio of the polyol-phosphate or sugar-phosphate to the chitosan or a salt or derivative thereof or a salt of a derivative thereof.

- 5 Preferably less than 100 %, more preferably less than 90 % and most preferably less than 80 % of the positive charge on the chitosan or a salt or derivative thereof or a salt of a derivative thereof is neutralised in the composition of the invention.
- 10 The following are examples of the number of moles of certain polyol-phosphates required to neutralise certain chitosan materials. Chitosan glutamate having a degree of deacetylation of 83% requires 3.4 moles of  $\text{PO}_4^{2-}$  (glycerophosphate) to neutralise each mole of chitosan ( $\text{NH}_3^+$ ). Chitosan glutamate having a degree of deacetylation of 87% requires 3.3
- 15 moles of  $\text{PO}_4^{2-}$  (glycerophosphate) to neutralise each mole of chitosan ( $\text{NH}_3^+$ ). Chitosan base having a degree of deacetylation of 92.6% requires 1.6 moles of  $\text{PO}_4^{2-}$  (glycerophosphate) to neutralise each mole of chitosan ( $\text{NH}_3^+$ ). Chitosan hydrochloride having a degree of deacetylation of 87% requires 2.1 moles of  $\text{PO}_4^{2-}$  (glycerophosphate) to neutralise each mole of
- 20 chitosan ( $\text{NH}_3^+$ ). As described in Ruel-Gariepy et al., Int. J. Pharm., 203 (2000), 89-98, Chitosan glutamate having a degree of deacetylation of 84% requires 2.6 moles of  $\text{PO}_4^{2-}$  (glycerophosphate) to neutralise each mole of chitosan ( $\text{NH}_3^+$ ). To ensure that the chitosan or a salt or derivative thereof or a salt of a derivative thereof retains a positive charge, the amount of the
- 25 polyol-phosphate or sugar-phosphate used in the compositions of the invention should be less than the amount that provides the number of moles of  $\text{PO}_4^{2-}$  required to neutralise the chitosan or a salt or derivative thereof or a salt of a derivative thereof.

In the Figures:

Figure 1 shows mean plasma concentration-time profiles following the  
5 intranasal absorption of s-CT (salmon calcitonin) in sheep obtained in  
Example 18.

The invention is illustrated by the following non-limiting Examples.

10 Examples i to iv are Comparative Examples and illustrate formulations  
which either failed to gel at physiological temperature and/or in which the  
solution viscosity is considered too high, thus rendering the product  
unsuitable for delivery using commercially available spray devices.

15

**Comparative Example i : Chitosan solution containing chitosan  
glutamate 18.8 mg/ml**

Approximately 8 ml ultrapure water (Elga) was dispensed into a glass  
20 beaker and 188 mg chitosan glutamate (UP G213, FMC BioPolymer AS,  
Drammen, Norway) was slowly added with stirring. The contents were  
stirred until dissolution occurred. The solution was transferred to a 10 ml  
volumetric flask, the washings transferred and the contents made up to  
volume with ultrapure water and mixed.

25

| <u>Composition</u> | <u>mg/ml</u>   |
|--------------------|----------------|
| Chitosan glutamate | 18.8           |
| Ultrapure water    | <u>to</u> 1 ml |

|                                                      |                  |
|------------------------------------------------------|------------------|
| Solution pH                                          | 5.0              |
| Onset of gelation (37 °C)                            | No gel formation |
| Viscosity of solution<br>( <i>control solution</i> ) | 307.5 cP         |

5

The onset of gelation of a 100 µl dose was determined qualitatively at physiological temperature using a pre-warmed glass microscope slide placed in an oven and a spatula to probe the sample. The viscosity (n=3) of the solution was determined following manufacture at 25 °C using a calibrated Brookfield DV-III Programmable Rheometer fitted with a CP40 cone and plate and a sample volume of 500 µl, a rotation speed of 0.1 rpm and an equilibration time of 3 minutes. Comparative Example i failed to gel at physiological temperature using the technique described.

15    **Comparative Example ii: Chitosan solution containing chitosan glutamate 18.8 mg/ml and triethyl citrate 6.6 mg/ml**

Part A Chitosan solution

152 mg triethyl citrate NF (Morflex Inc, North Carolina, USA) was weighed into a glass beaker and approximately 16 ml ultrapure water added. 434 mg chitosan glutamate was slowly added and the contents stirred until dissolution occurred. The solution was transferred to a 20 ml volumetric flask, the washings transferred and the contents made up to volume with ultrapure water and mixed.

25

Part B Chitosan solution

4.6 ml of cold chitosan solution Part A (chilled on ice) was dispensed into a glass beaker using a Gilson Microman pipette and 0.709 ml ultrapure water was added.

Stirring was stirring continued for a further 5 minutes. The onset of gelation and the solution viscosity were determined as detailed previously.

|    | <u>Composition</u>        | <u>mg/ml</u>     |
|----|---------------------------|------------------|
| 5  | Chitosan glutamate        | 18.8             |
|    | Triethyl citrate          | 6.6              |
|    | Ultrapure water <u>to</u> | 1 ml             |
|    | Solution pH               | 4.8              |
| 10 | Onset of gelation (37 °C) | No gel formation |
|    | Viscosity of solution     | 288.1 cP         |

Comparative Example ii failed to gel at physiological temperature using the technique described in Comparative Example i.

15

**Comparative Example iii: Chitosan solution containing chitosan glutamate 18.8 mg/ml and  $\beta$ -glycerophosphate disodium 14.1 mg/ml**

20 Part A Chitosan solution

Approximately 8 ml ultrapure water was dispensed into a glass beaker and 217 mg chitosan glutamate was slowly added with stirring. The contents were stirred until dissolution occurred. The solution was transferred to a 10 ml volumetric flask, the washings transferred and the contents made up to  
25 volume with ultrapure water and mixed.

Part B Self-gelling chitosan solution

4.6 ml of cold chitosan solution Part A (chilled on ice) was dispensed into a glass beaker using a Gilson Microman pipette and 0.5 ml of cold  $\beta$ -glycerophosphate (Sigma Aldrich, UK) solution 150 mg/ml (chilled on ice; prepared by dissolving 3 g of  $\beta$ -glycerophosphate disodium in ultrapure water in a 20 ml volumetric flask and making up to volume with ultrapure water) was slowly added (dropwise) with vigorous stirring. 0.209 ml ultrapure water was added and stirring continued for a further 5 minutes. The onset of gelation and the solution viscosity were determined as detailed previously.

10

| <u>Composition</u>                 | <u>mg/ml</u> |
|------------------------------------|--------------|
| Chitosan glutamate                 | 18.8         |
| $\beta$ -glycerophosphate disodium | 14.1         |
| Ultrapure water <u>to</u>          | 1 ml         |

15

|                           |               |
|---------------------------|---------------|
| Solution pH               | 6.4           |
| Onset of gelation (35 °C) | 15-20 minutes |
| Viscosity of solution     | 178.8 cP      |

20 Comparative Example iii exhibited an acceptable onset of gelation at physiological temperature using the technique described previously, although the high viscosity made the product unsuitable for dosing in vivo.

25



**Comparative Example iv: Chitosan solution containing chitosan glutamate 18.8 mg/ml,  $\beta$ -glycerophosphate disodium 14.1 mg/ml and triethyl citrate 5 mg/ml**

5 Part A Chitosan solution

57.5 mg triethyl citrate was weighed into a glass beaker and approximately 7.5 ml ultrapure water added. 217 mg chitosan glutamate was slowly added with stirring. The contents were stirred until dissolution occurred. The solution was transferred to a 10 ml volumetric flask, the washings  
10 transferred and the contents made up to volume with ultrapure water and mixed.

Part B Self-gelling chitosan solution

4.6 ml of cold chitosan solution Part A (chilled on ice) was dispensed into a  
15 glass beaker using a Gilson Microman pipette and 0.5 ml of cold  $\beta$ -glycerophosphate solution 150 mg/ml (chilled on ice) was slowly added (dropwise) with vigorous stirring. 0.209 ml ultrapure water was added and stirring continued for a further 5 minutes. The onset of gelation and the solution viscosity were determined as detailed previously.

20

| <u>Composition</u>                 |           | <u>mg/ml</u>  |
|------------------------------------|-----------|---------------|
| Chitosan glutamate                 |           | 18.8          |
| $\beta$ -glycerophosphate disodium |           | 14.1          |
| Triethyl citrate                   |           | 5             |
| 25 Ultrapure water                 | <u>to</u> | 1 ml          |
|                                    |           |               |
| Solution pH                        |           | 6.4           |
| Onset of gelation (35 °C)          |           | 10-15 minutes |

Viscosity of solution 173.7 cP

Comparative Example iv exhibited an acceptable rapid onset of gelation at physiological temperature using the technique described previously,  
5 although the high viscosity made the product unsuitable for dosing in vivo.

**Example 1: Self-gelling chitosan solution containing chitosan glutamate 18.8 mg/ml,  $\beta$ -glycerophosphate disodium 14.1 mg/ml, triethyl citrate 5mg/ml and ascorbic acid 0.1 mg/ml**

10

Part A Chitosan solution

57.5 mg triethyl citrate was weighed into a glass beaker and approximately 7.5 ml ultrapure water added. 0.23 ml ascorbic acid solution 5 mg/ml (prepared by dissolving and making up to volume, 100 mg ascorbic acid  
15 (Sigma Aldrich, UK) in ultrapure water in a 20 ml volumetric flask) was added to the beaker with stirring. 217 mg chitosan glutamate was slowly added and the contents stirred until dissolution occurred. The solution was transferred to a 10 ml volumetric flask, the washings transferred and the contents made up to volume with ultrapure water and mixed.

20

Part B Self-gelling chitosan solution

4.6 ml of cold chitosan solution Part A (chilled on ice) was dispensed into a glass beaker using a Gilson Microman pipette and 0.5 ml of cold  $\beta$ -glycerophosphate solution 150 mg/ml (chilled on ice) was slowly added  
25 (dropwise) with vigorous stirring. 0.209 ml ultrapure water was added and stirring continued for a further 5 minutes. The onset of gelation and the solution viscosity were determined as detailed previously.

|    | <u>Composition</u>                 | <u>mg/ml</u> |
|----|------------------------------------|--------------|
|    | Chitosan glutamate                 | 18.8         |
|    | $\beta$ -glycerophosphate disodium | 14.1         |
|    | Triethyl citrate                   | 5            |
| 5  | Ascorbic acid                      | 0.1          |
|    | Ultrapure water <u>to</u>          | 1 ml         |
|    | Solution pH                        | 6.4          |
|    | Onset of gelation (35 °C)          | 0-5 minutes  |
| 10 | Viscosity of solution              | 55.2 cP      |

Example 1, containing a chitosan glutamate concentration of 18.8 mg/ml, exhibited a rapid onset of gelation at 35 °C using the technique described previously and a significantly lower viscosity than a control solution of similar polymer concentration. It is thought that the reduction in viscosity was due to the presence of ascorbic acid in the composition of Example 1.

**Example 2: Self-gelling chitosan solution containing chitosan glutamate 18.8 mg/ml,  $\beta$ -glycerophosphate disodium 14.1 mg/ml, triethyl citrate 5 mg/ml and ascorbic acid 0.5 mg/ml**

Part A Chitosan solution

57.5 mg triethyl citrate was weighed into a glass beaker and approximately 6.5 ml ultrapure water added. 1.15 ml ascorbic acid solution 5 mg/ml was added to the beaker with stirring. 217 mg chitosan glutamate was slowly added and the contents stirred until dissolution occurred. The solution was transferred to a 10 ml volumetric flask, the washings transferred and the contents made up to volume with ultrapure water and mixed.

Part B Self-gelling chitosan solution

4.6 ml of cold chitosan solution Part A (chilled on ice) was dispensed into a glass beaker using a Gilson Microman pipette and 0.5 ml of cold  $\beta$ -glycerophosphate solution 150 mg/ml (chilled on ice) was slowly added (dropwise) with vigorous stirring. 0.209 ml ultrapure water was added and stirring continued for a further 5 minutes. The onset of gelation and the solution viscosity were determined as detailed previously.

|    |                                    |                |
|----|------------------------------------|----------------|
| 10 | <u>Composition</u>                 | <u>mg/ml</u>   |
|    | Chitosan glutamate                 | 18.8           |
|    | $\beta$ -glycerophosphate disodium | 14.1           |
|    | Triethyl citrate                   | 5              |
|    | Ascorbic acid                      | 0.5            |
| 15 | Ultrapure water                    | <u>to</u> 1 ml |
|    | Solution pH                        | 6.5            |
|    | Onset of gelation (35 °C)          | 0-5 minutes    |
|    | Viscosity of solution              | 10.2 cP        |

20

Example 2, containing the same chitosan concentration as the previous example but with an increased ascorbic acid concentration, again exhibited a rapid onset of gelation at 35 °C using the technique described previously and the viscosity of the solution was further reduced.

25

**Example 3: Self-gelling chitosan solution containing chitosan glutamate 18.8 mg/ml,  $\beta$ -glycerophosphate disodium 14.1 mg/ml, triethyl citrate 5 mg/ml and ascorbic acid 1 mg/ml**

5 Part A Chitosan solution

57.5 mg triethyl citrate was weighed into a glass beaker and approximately 5 ml ultrapure water added. 2.3 ml ascorbic acid solution 5 mg/ml was added to the beaker with stirring. 217 mg chitosan glutamate was slowly added and the contents stirred until dissolution occurred. The solution was  
10 transferred to a 10 ml volumetric flask, the washings transferred and the contents made up to volume with ultrapure water and mixed.

Part B Self-gelling chitosan solution

4.6 ml of cold chitosan solution Part A (chilled on ice) was dispensed into a  
15 glass beaker using a Gilson Microman pipette and 0.5 ml of cold  $\beta$ -glycerophosphate solution 150 mg/ml (chilled on ice) was slowly added (dropwise) with vigorous stirring. 0.209 ml ultrapure water was added and stirring continued for a further 5 minutes. The onset of gelation and the solution viscosity were determined as detailed previously.

20

| <u>Composition</u>                 | <u>mg/ml</u>   |
|------------------------------------|----------------|
| Chitosan glutamate                 | 18.8           |
| $\beta$ -glycerophosphate disodium | 14.1           |
| Triethyl citrate                   | 5              |
| 25 Ascorbic acid                   | 1              |
| Ultrapure water                    | <u>to</u> 1 ml |
| Solution pH                        | 6.4            |

|                           |             |
|---------------------------|-------------|
| Onset of gelation (35 °C) | 0-5 minutes |
| Viscosity of solution     | 0 cP        |

Example 3, containing chitosan glutamate 18.8 mg/ml and a further  
5 increased ascorbic acid concentration, exhibited a rapid onset of gelation at  
35 °C using the technique described previously and the viscosity of the  
solution following manufacture was again further reduced.

**Example 4: Self-gelling chitosan solution containing chitosan  
10 glutamate 14.1 mg/ml,  $\beta$ -glycerophosphate disodium 10.6 mg/ml,  
triethyl citrate 3.75 mg/ml and ascorbic acid 0.07 mg/ml**

#### Part A Chitosan solution

86.25 mg triethyl citrate was weighed into a glass beaker and approximately  
15 15 ml ultrapure water added. 0.345 ml ascorbic acid solution 5 mg/ml was  
added to the beaker with stirring. 325.5 mg chitosan glutamate was slowly  
added and the contents stirred until dissolution occurred. The solution was  
transferred to a 20 ml volumetric flask, the washings transferred and the  
contents made up to volume with ultrapure water and mixed.

20

#### Part B Self-gelling chitosan solution

13.8 ml of cold chitosan solution Part A (chilled on ice) was dispensed into  
a glass beaker using a Gilson Microman pipette and 1.125 ml of cold  $\beta$ -  
glycerophosphate solution 150 mg/ml (chilled on ice) was slowly added  
25 (dropwise) with vigorous stirring. 1.005 ml ultrapure water was added and  
stirring continued for a further 5 minutes. The onset of gelation and the  
solution viscosity were determined as detailed previously.

|    | <u>Composition</u>                                                                                                                                                                                                                                                                                                                                                      | <u>mg/ml</u>  |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
|    | Chitosan glutamate                                                                                                                                                                                                                                                                                                                                                      | 14.1          |
|    | $\beta$ -glycerophosphate disodium                                                                                                                                                                                                                                                                                                                                      | 10.6          |
|    | Triethyl citrate                                                                                                                                                                                                                                                                                                                                                        | 3.75          |
| 5  | Ascorbic acid                                                                                                                                                                                                                                                                                                                                                           | 0.07          |
|    | Ultrapure water <u>to</u>                                                                                                                                                                                                                                                                                                                                               | 1 ml          |
|    | Solution pH                                                                                                                                                                                                                                                                                                                                                             | 6.5           |
|    | Onset of gelation (35 °C)                                                                                                                                                                                                                                                                                                                                               | 10-15 minutes |
| 10 | Viscosity of solution                                                                                                                                                                                                                                                                                                                                                   | 70.5 cP       |
|    | Viscosity of control solution<br>(14.1 mg/ml chitosan glutamate)                                                                                                                                                                                                                                                                                                        | 135.9 cP      |
| 15 | Example 4, containing a reduced chitosan glutamate concentration of 14.4 mg/ml (and correspondingly reduced $\beta$ -glycerophosphate and triethyl citrate concentrations), exhibited a satisfactory onset of gelation at 35 °C using the technique described previously and a significantly lower viscosity than a control solution of the same polymer concentration. |               |

20

**Example 5: Self-gelling chitosan solution containing chitosan glutamate 14.1 mg/ml,  $\beta$ -glycerophosphate disodium 10.6 mg/ml, triethyl citrate 3.75 mg/ml and ascorbic acid 0.19 mg/ml**

#### 25 Part A Chitosan solution

86.25 mg triethyl citrate was weighed into a glass beaker and approximately 15 ml ultrapure water added. 0.863 ml ascorbic acid solution 5 mg/ml was added to the beaker with stirring. 325.5 mg chitosan glutamate was slowly added and the contents stirred until dissolution occurred. The solution was

transferred to a 20 ml volumetric flask, the washings transferred and the contents made up to volume with ultrapure water and mixed.

Part B Self-gelling chitosan solution

- 5 13.8 ml of cold chitosan solution Part A (chilled on ice) was dispensed into a glass beaker using a Gilson Microman pipette and 1.125 ml of cold  $\beta$ -glycerophosphate solution 150 mg/ml (chilled on ice) was slowly added (dropwise) with vigorous stirring. 1.005 ml ultrapure water was added and stirring continued for a further 5 minutes. The onset of gelation and the  
10 solution viscosity were determined as detailed previously.

| <u>Composition</u>                 |                           | <u>mg/ml</u>   |
|------------------------------------|---------------------------|----------------|
| Chitosan glutamate                 |                           | 14.1           |
| $\beta$ -glycerophosphate disodium |                           | 10.6           |
| 15                                 | Triethyl citrate          | 3.75           |
|                                    | Ascorbic acid             | 0.19           |
|                                    | Ultrapure water           | <u>to</u> 1 ml |
| Solution pH                        |                           | 6.5            |
| 20                                 | Onset of gelation (35 °C) | 10-15 minutes  |
|                                    | Viscosity of solution     | 32.7 cP        |

- Example 5, containing a reduced chitosan glutamate concentration of 14.4 mg/ml (and correspondingly reduced  $\beta$ -glycerophosphate and triethyl  
25 citrate concentrations) and a slightly higher ascorbic acid concentration than example 4, exhibited a satisfactory onset of gelation at 35 °C using the technique described previously and the viscosity of the solution was further reduced.



**Example 6: Self-gelling chitosan solution containing chitosan glutamate 14.1 mg/ml,  $\beta$ -glycerophosphate disodium 10.6 mg/ml, triethyl citrate 3.75 mg/ml and ascorbic acid 0.37 mg/ml**

5

Part A Chitosan solution

86.25 mg triethyl citrate was weighed into a glass beaker and approximately 15 ml ultrapure water added. 1.725 ml ascorbic acid solution 5 mg/ml was added to the beaker with stirring. 325.5 mg chitosan glutamate was slowly added and the contents stirred until dissolution occurred. The solution was transferred to a 20 ml volumetric flask, the washings transferred and the contents made up to volume with ultrapure water and mixed.

Part B Self-gelling chitosan solution

13.8 ml of cold chitosan solution Part A (chilled on ice) was dispensed into a glass beaker using a Gilson Microman pipette and 1.125 ml of cold  $\beta$ -glycerophosphate solution 150 mg/ml (chilled on ice) was slowly added (dropwise) with vigorous stirring. 1.005 ml ultrapure water was added and stirring continued for a further 5 minutes. The onset of gelation and the solution viscosity were determined as detailed previously.

| <u>Composition</u>                 | <u>mg/ml</u>   |
|------------------------------------|----------------|
| Chitosan glutamate                 | 14.1           |
| $\beta$ -glycerophosphate disodium | 10.6           |
| Triethyl citrate                   | 3.75           |
| Ascorbic acid                      | 0.37           |
| Ultrapure water                    | <u>to</u> 1 ml |

|                           |               |
|---------------------------|---------------|
| Solution pH               | 6.5           |
| Onset of gelation (35 °C) | 10-15 minutes |
| Viscosity of solution     | 10.2 cP       |

- 5 Example 6, containing a reduced chitosan glutamate concentration of 14.4 mg/ml (and correspondingly reduced  $\beta$ -glycerophosphate and triethyl citrate concentrations) and a slightly higher ascorbic acid concentration than Example 5, exhibited a satisfactory onset of gelation at 35 °C using the technique described previously and the viscosity of the solution was again  
10 further reduced.

**Example 7: Self-gelling chitosan solution containing chitosan glutamate 9.4 mg/ml,  $\beta$ -glycerophosphate disodium 7.1 mg/ml and triethyl citrate 5 mg/ml**

15

Part A Chitosan solution

114 mg triethyl citrate was weighed into a glass beaker and approximately 16 ml ultrapure water added. 217 mg chitosan glutamate was slowly added and the contents stirred until dissolution occurred. The solution was  
20 transferred to a 20 ml volumetric flask, the washings transferred and the contents made up to volume with ultrapure water and mixed.

Part B Self-gelling chitosan solution

9.2 ml of cold chitosan solution Part A (chilled on ice) was dispensed into a  
25 glass beaker using a Gilson Microman pipette and 0.133 ml of cold  $\beta$ -glycerophosphate solution 562.5 mg/ml (chilled on ice) was slowly added (dropwise) with vigorous stirring. 1.285 ml ultrapure water was added and stirring continued for a further 5 minutes. The onset of gelation and the solution viscosity were determined as detailed previously.

| <u>Composition</u> |                                                                                                                                                                                                                                                                                                                                                                 | <u>mg/ml</u>  |
|--------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
|                    | Chitosan glutamate                                                                                                                                                                                                                                                                                                                                              | 9.4           |
|                    | $\beta$ -glycerophosphate disodium                                                                                                                                                                                                                                                                                                                              | 7.1           |
| 5                  | Triethyl citrate                                                                                                                                                                                                                                                                                                                                                | 5             |
|                    | Ultrapure water <u>to</u>                                                                                                                                                                                                                                                                                                                                       | 1 ml          |
|                    | Solution pH                                                                                                                                                                                                                                                                                                                                                     | 6.4           |
|                    | Onset of gelation (35 °C)                                                                                                                                                                                                                                                                                                                                       | 10-15 minutes |
| 10                 | Viscosity of solution                                                                                                                                                                                                                                                                                                                                           | 25.5 cP       |
|                    | Viscosity of control solution<br>(9.4 mg/ml chitosan glutamate)                                                                                                                                                                                                                                                                                                 | 58.2 cP       |
| 15                 | Example 7 illustrates the effect of reducing the chitosan glutamate and $\beta$ -glycerophosphate concentrations to 9.4 mg/ml to 7.1 mg/ml respectively. A satisfactory onset of gelation was noted at 35 °C using the technique described previously and the formulation exhibited a lower viscosity than a control solution of similar polymer concentration. |               |
| 20                 | <b>Example 8: Self-gelling chitosan solution containing chitosan glutamate 18.8 mg/ml, <math>\beta</math>-glycerophosphate disodium 14.1 mg/ml, triethyl citrate 5 mg/ml and fumaric acid 1 mg/ml</b>                                                                                                                                                           |               |
| 25                 | <u>Part A Chitosan solution</u><br>57.5 mg triethyl citrate was weighed into a glass beaker and approximately 7.5 ml ultrapure water added. 11.5 mg of fumaric acid (Sigma Aldrich, UK) was added to the beaker with stirring. 217 mg chitosan glutamate was slowly added and the contents stirred until dissolution occurred. The                              |               |

solution was transferred to a 10 ml volumetric flask, the washings transferred and the contents made up to volume with ultrapure water and mixed.

5 Part B Self-gelling chitosan solution

4.6 ml of cold chitosan solution Part A (chilled on ice) was dispensed into a glass beaker using a Gilson Microman pipette and 0.5 ml of cold  $\beta$ -glycerophosphate solution 150 mg/ml (chilled on ice) was slowly added (dropwise) with vigorous stirring. 0.209 ml ultrapure water was added and  
10 stirring continued for a further 5 minutes. The onset of gelation and the solution viscosity were determined as detailed previously.

| <u>Composition</u> |                                    | <u>mg/ml</u>   |
|--------------------|------------------------------------|----------------|
|                    | Chitosan glutamate                 | 18.8           |
| 15                 | $\beta$ -glycerophosphate disodium | 14.1           |
|                    | Triethyl citrate                   | 5              |
|                    | Fumaric acid                       | 1              |
|                    | Ultrapure water                    | <u>to</u> 1 ml |
| 20                 | Solution pH                        | 6.3            |
|                    | Onset of gelation (35 °C)          | 5-10 minutes   |
|                    | Viscosity of solution              | 95 cP          |

Example 8 illustrates the effectiveness of fumaric acid in reducing solution  
25 viscosity for a product containing chitosan glutamate 18.8 mg/ml. Compared with example 3 (of similar composition but containing ascorbic rather than fumaric acid), example 8 exhibited a higher solution viscosity at

25 °C, which is however still significantly lower than a control solution of similar polymer concentration.

**Example 9: Self-gelling chitosan solution containing salmon calcitonin (s-CT) 0.3 mg/ml, chitosan glutamate 18.8 mg/ml,  $\beta$ -glycerophosphate disodium 14.1 mg/ml, triethyl citrate 5 mg/ml and ascorbic acid 1 mg/ml**

Part A Chitosan-salmon calcitonin solution

115 mg triethyl citrate was weighed into a glass beaker and approximately 5 ml ultrapure water added. 7 mg of salmon calcitonin (Polypeptide Laboratories Inc., Torrance CA, USA) was weighed into a second small beaker (silanised) and approximately 10 ml ultrapure water added. The triethyl citrate solution was transferred to the beaker containing the salmon calcitonin solution and the contents stirred. 23 mg ascorbic acid was added to the beaker with stirring. 434 mg chitosan glutamate was slowly added and the contents stirred until dissolution occurred. The solution was transferred to a 20 ml volumetric flask (silanised), the washings transferred and the contents made up to volume with ultrapure water and mixed.

20

Part B Self-gelling chitosan solution containing salmon calcitonin

9.2 ml of cold chitosan-salmon calcitonin solution Part A (chilled on ice) was dispensed into a glass beaker (silanised) using a Gilson Microman pipette and 1 ml of cold  $\beta$ -glycerophosphate solution 150 mg/ml (chilled on ice) was slowly added (dropwise) with vigorous stirring. 0.418 ml ultrapure water was added and stirring continued for a further 5 minutes. The onset of gelation was determined as detailed previously.

|    | <u>Composition</u>                 | <u>mg/ml</u> |
|----|------------------------------------|--------------|
|    | Salmon calcitonin                  | 0.3          |
|    | Chitosan glutamate                 | 18.8         |
|    | $\beta$ -glycerophosphate disodium | 14.1         |
| 5  | Triethyl citrate                   | 5            |
|    | Ascorbic acid                      | 1            |
|    | Ultrapure water <u>to</u>          | 1 ml         |
|    | Solution pH                        | 6.4          |
| 10 | Onset of gelation (35 °C)          | 5-10 minutes |
|    | Viscosity of solution              | 3.1 cP       |

Example 9 illustrates the effect of the incorporation of salmon calcitonin into self-gelling chitosan solution containing chitosan glutamate 18.8 mg/ml. Compared with example 3, of similar composition but in the absence of drug, example 9 exhibited a slightly slower onset of gelation using the technique described previously. No significant difference in viscosity was noted.

**Example 10: Self-gelling chitosan solution containing morphine 20 mg/ml (as the methanesulphonate), chitosan glutamate 18.8 mg/ml,  $\beta$ -glycerophosphate disodium 14.1 mg/ml, triethyl citrate 5 mg/ml and ascorbic acid 1 mg/ml**

**Part A Chitosan-morphine solution**

115 mg triethyl citrate was weighed into a glass beaker and approximately 17 ml ultrapure water and 0.761 ml 2M methanesulphonic acid (Sigma Aldrich, UK) were added with stirring. 462 mg of morphine monohydrate

(Macfarlan Smith Ltd, UK), 23 mg of ascorbic acid and 434 mg chitosan glutamate were added to the beaker and the contents stirred until dissolution occurred. The solution was transferred to a 20 ml volumetric flask, the washings transferred and the contents made up to volume with ultrapure  
 5 water and mixed.

#### Part B Self-gelling chitosan solution containing morphine

9.2 ml of cold chitosan-morphine solution Part A (chilled on ice) was dispensed into a glass beaker using a Gilson Microman pipette and 1 ml of  
 10 cold  $\beta$ -glycerophosphate solution 150 mg/ml (chilled on ice) was slowly added (dropwise) with vigorous stirring. 0.418 ml ultrapure water was added and stirring continued for a further 5 minutes. The onset of gelation was determined as detailed previously.

|    |                                    |                |
|----|------------------------------------|----------------|
| 15 | <u>Composition</u>                 | <u>mg/ml</u>   |
|    | Morphine                           | 20             |
|    | Chitosan glutamate                 | 18.8           |
|    | $\beta$ -glycerophosphate disodium | 14.1           |
|    | Triethyl citrate                   | 5              |
| 20 | Ascorbic acid                      | 1              |
|    | Ultrapure water                    | <u>to</u> 1 ml |
|    | Solution pH                        | 6.3            |
|    | Onset of gelation (35 °C)          | 5-10 minutes   |
| 25 | Viscosity of solution              | 99.1 cP        |

Example 10 illustrates the effect of the incorporation of morphine 20 mg/ml (as the methanesulphonate) into self-gelling chitosan solution containing chitosan glutamate 18.8 mg/ml. Compared with example 3, of similar

composition but in the absence of drug, example 10 exhibited a slightly slower onset of gelation using the technique described previously, although significantly, a higher solution viscosity.

5

**Example 11: Self-gelling chitosan solution containing hydromorphone hydrochloride 4 mg/ml, chitosan glutamate 18.8 mg/ml,  $\beta$ -glycerophosphate disodium 14.1 mg/ml, triethyl citrate 5 mg/ml and ascorbic acid 1 mg/ml**

10

Part A Chitosan-hydromorphone solution

115 mg triethyl citrate was weighed into a glass beaker and approximately 15 ml ultrapure water was added with stirring. 23 mg of ascorbic acid was added to the beaker with stirring, followed by 92 mg hydromorphone hydrochloride (Macfarlan Smith Ltd, UK). 434 mg chitosan glutamate was slowly added and the contents stirred until dissolution occurred. The solution was transferred to a 20 ml volumetric flask, the washings transferred and the contents made up to volume with ultrapure water and mixed.

20

Part B Self-gelling chitosan solution containing hydromorphone

9.2 ml of cold chitosan-hydromorphone solution Part A (chilled on ice) was dispensed into a glass beaker using a Gilson Microman pipette and 1 ml of cold  $\beta$ -glycerophosphate solution 150 mg/ml (chilled on ice) was slowly added (dropwise) with vigorous stirring. 0.418 ml ultrapure water was added and stirring continued for a further 5 minutes. The onset of gelation was determined as detailed previously.



|    | <u>Composition</u>                 | <u>mg/ml</u> |
|----|------------------------------------|--------------|
|    | Hydromorphone hydrochloride        | 4            |
|    | Chitosan glutamate                 | 18.8         |
|    | $\beta$ -glycerophosphate disodium | 14.1         |
| 5  | Triethyl citrate                   | 5            |
|    | Ascorbic acid                      | 1            |
|    | Ultrapure water <u>to</u>          | 1 ml         |
|    | Solution pH                        | 6.3          |
| 10 | Onset of gelation (35 °C)          | 5-10 minutes |
|    | Viscosity of solution              | 11.2 cP      |

Example 11 illustrates the effect of the incorporation of hydromorphone hydrochloride 4 mg/ml into self-gelling chitosan solution containing  
15 chitosan glutamate 18.8 mg/ml. Compared with example 3, of similar composition but in the absence of drug, example 11 exhibited a slightly slower onset of gelation using the technique described previously. No significant difference in viscosity was noted.

20 **Example 12: Self-gelling chitosan solution containing apomorphine 5 mg/ml (as the hydrochloride), chitosan glutamate 18.8 mg/ml,  $\beta$ -glycerophosphate disodium 14.1 mg/ml, triethyl citrate 5 mg/ml and ascorbic acid 1 mg/ml**

25 Part A Chitosan-apomorphine solution

115 mg triethyl citrate was weighed into a glass beaker and approximately 15 ml ultrapure water added. 23.1 mg ascorbic acid and 118.4 mg apomorphine hydrochloride (Macfarlan Smith Ltd, UK) were added to the

beaker with stirring. 434 mg chitosan glutamate was slowly added and the contents stirred until dissolution occurred. The solution was transferred to a 20 ml volumetric flask, the washings transferred and the contents made up to volume with ultrapure water and mixed.

5

Part B Self-gelling chitosan solution containing apomorphine

9.2 ml of cold chitosan-apomorphine solution Part A (chilled on ice) was dispensed into a glass beaker using a Gilson Microman pipette and 1 ml of cold  $\beta$ -glycerophosphate solution 150 mg/ml (chilled on ice) was slowly added (dropwise) with vigorous stirring. 0.418 ml ultrapure water was added and stirring continued for a further 5 minutes. The onset of gelation and the solution viscosity were determined as detailed previously.

|    | <u>Composition</u>                 | <u>mg/ml</u> |
|----|------------------------------------|--------------|
| 15 | Apomorphine hydrochloride          | 5            |
|    | Chitosan glutamate                 | 18.8         |
|    | $\beta$ -glycerophosphate disodium | 14.1         |
|    | Triethyl citrate                   | 5            |
|    | Ascorbic acid                      | 1            |
| 20 | Ultrapure water <u>to</u>          | 1 ml         |
|    | Solution pH                        | 6.4          |
|    | Onset of gelation (35 °C)          | 5-10 minutes |
|    | Onset of gelation (37 °C)          | 0-5 minutes  |
| 25 | Viscosity of solution              | 36.8 cP      |

Example 12 illustrates the effect of the incorporation of apomorphine hydrochloride 5 mg/ml into self-gelling chitosan solution containing

chitosan glutamate 18.8 mg/ml. Compared with example 3, of similar composition but in the absence of drug, example 10 exhibited a slightly slower onset of gelation at 35 °C using the technique described previously and a slightly higher viscosity.

5

**Example 13: Self-gelling chitosan solution containing apomorphine 5 mg/ml (as the hydrochloride), chitosan glutamate 18.8 mg/ml,  $\beta$ -glycerophosphate disodium 14.1 mg/ml, triethyl citrate 5 mg/ml, ascorbic acid 1 mg/ml and disodium edetate 0.05 mg/ml**

10

Part A Chitosan-apomorphine solution

115 mg triethyl citrate was weighed into a glass beaker and approximately 15 ml ultrapure water added. 1 ml of disodium edetate solution 1 mg/ml, 23 mg ascorbic acid and 118.4 mg apomorphine hydrochloride were added to the beaker with stirring. 434 mg chitosan glutamate was slowly added and the contents stirred until dissolution occurred. The solution was transferred to a 20 ml volumetric flask, the washings transferred and the contents made up to volume with ultrapure water and mixed.

20 Part B Self-gelling chitosan solution containing apomorphine

9.2 ml of cold chitosan-apomorphine solution Part A (chilled on ice) was dispensed into a glass beaker using a Gilson Microman pipette and 1 ml of cold  $\beta$ -glycerophosphate solution 150 mg/ml (chilled on ice) was slowly added (dropwise) with vigorous stirring. 0.418 ml ultrapure water was added and stirring continued for a further 5 minutes. The onset of gelation was determined as detailed previously.

25

|    | <u>Composition</u>                 | <u>mg/ml</u> |
|----|------------------------------------|--------------|
|    | Apomorphine hydrochloride          | 5            |
|    | Chitosan glutamate                 | 18.8         |
|    | $\beta$ -glycerophosphate disodium | 14.1         |
| 5  | Triethyl citrate                   | 5            |
|    | Ascorbic acid                      | 1            |
|    | Disodium edetate                   | 0.05         |
|    | Ultrapure water <u>to</u>          | 1 ml         |
| 10 | Solution pH                        | 6.3          |
|    | Onset of gelation (35 °C)          | 5-10 minutes |

Example 13 illustrates the effect of the incorporation of disodium edetate 0.05 mg/ml into self-gelling chitosan solution containing apomorphine hydrochloride 5 mg/ml. Compared with Example 12, of similar composition but in the absence of the antioxidant synergist, no significant difference in the product was noted.

**Example 14: Self-gelling chitosan solution containing chitosan glutamate 18.8 mg/ml,  $\beta$ -glycerophosphate disodium 14.1 mg/ml and polysorbate 80 10  $\mu$ l/ml**

Part A Chitosan solution

434 mg chitosan glutamate was slowly added with stirring to a beaker containing approximately 18 ml ultrapure water. The contents were stirred until dissolution occurred. The solution was transferred to a 20 ml volumetric flask, the washings transferred and the contents made up to volume with ultrapure water and mixed.

Part B Self-gelling chitosan solution

9.2 ml of cold chitosan solution Part A (chilled on ice) was dispensed into a glass beaker using a Gilson Microman pipette and 0.266 ml of cold  $\beta$ -glycerophosphate solution 562.5 mg/ml (chilled on ice) was slowly added (dropwise) with vigorous stirring. 0.106 ml of polysorbate 80 (Sigma Aldrich, UK) and 1.046 ml ultrapure water were added and stirring continued for a further 5 minutes. The onset of gelation and the solution viscosity were determined as detailed previously.

10

| <u>Composition</u>                 |                 | <u>mg/ml</u>   |
|------------------------------------|-----------------|----------------|
| Chitosan glutamate                 |                 | 18.8           |
| $\beta$ -glycerophosphate disodium |                 | 14.1           |
| Polysorbate 80                     |                 | 10 $\mu$ l     |
| 15                                 | Ultrapure water | <u>to</u> 1 ml |
| Solution pH                        |                 | 6.2            |
| Onset of gelation (37 °C)          |                 | 5-10 minutes   |
| Viscosity of solution              |                 | 114.4 cP       |

20

Example 14 illustrates the effectiveness of polysorbate 80 in facilitating a rapid onset of gelation for a product containing chitosan glutamate 18.8 mg/ml. An onset of gelation of 5-10 minutes at 37 °C and a solution viscosity of 114 cP was noted, confirming the suitability of polysorbate 80 as an alternative to triethyl citrate in self-gelling chitosan solution.

25

**Example 15: Self-gelling chitosan solution containing chitosan glutamate 18.8 mg/ml,  $\beta$ -glycerophosphate disodium 14.1 mg/ml, triethyl citrate 5 mg/ml and malic acid 1 mg/ml**

5 Part A Chitosan solution

57.5 mg triethyl citrate was weighed into a glass beaker and approximately 7.5 ml ultrapure water added. 11.5 mg of malic acid (Sigma Aldrich, UK) was added to the beaker with stirring. 217 mg chitosan glutamate was slowly added and the contents stirred until dissolution occurred. The  
10 solution was transferred to a 10 ml volumetric flask, the washings transferred and the contents made up to volume with ultrapure water and mixed.

Part B Self-gelling chitosan solution

15 4.6 ml of cold chitosan solution Part A (chilled on ice) was dispensed into a glass beaker using a Gilson Microman pipette and 0.5 ml of cold  $\beta$ -glycerophosphate solution 150 mg/ml (chilled on ice) was slowly added (dropwise) with vigorous stirring. 0.209 ml ultrapure water was added and stirring continued for a further 5 minutes. The onset of gelation and the  
20 solution viscosity were determined as detailed previously.

| <u>Composition</u>                 |                  | <u>mg/ml</u>   |
|------------------------------------|------------------|----------------|
| Chitosan glutamate                 |                  | 18.8           |
| $\beta$ -glycerophosphate disodium |                  | 14.1           |
| 25                                 | Triethyl citrate | 5              |
|                                    | Malic acid       | 1              |
|                                    | Ultrapure water  | <u>to</u> 1 ml |

|                           |              |
|---------------------------|--------------|
| Solution pH               | 6.1          |
| Onset of gelation (35 °C) | 5-10 minutes |
| Viscosity of solution     | 113.4 cP     |

- 5 Example 15 illustrates the effectiveness of malic acid in reducing solution viscosity for product containing chitosan glutamate 18.8 mg/ml. Compared with Example 3 (of similar composition but containing ascorbic rather than malic acid), Example 15 exhibited a higher solution viscosity at 25 °C, which is however still significantly lower than a control solution of similar  
10 polymer concentration.

**Example 16: Self-gelling chitosan solution containing chitosan glutamate 18.8 mg/ml,  $\beta$ -glycerophosphate disodium 14.1 mg/ml,  
15 triethyl citrate 5 mg/ml and tartaric acid 1 mg/ml**

Part A Chitosan solution

57.5 mg triethyl citrate was weighed into a glass beaker and approximately 7.5 ml ultrapure water added. 11.5 mg of tartaric acid (Sigma Aldrich, UK)  
20 was added to the beaker with stirring. 217 mg chitosan glutamate was slowly added and the contents stirred until dissolution occurred. The solution was transferred to a 10 ml volumetric flask, the washings transferred and the contents made up to volume with ultrapure water and mixed.

25

Part B Self-gelling chitosan solution

4.6 ml of cold chitosan solution Part A (chilled on ice) was dispensed into a glass beaker using a Gilson Microman pipette and 0.5 ml of cold  $\beta$ -

glycerophosphate solution 150 mg/ml (chilled on ice) was slowly added (dropwise) with vigorous stirring. 0.209 ml ultrapure water was added and stirring continued for a further 5 minutes. The onset of gelation and the solution viscosity were determined as detailed previously.

5

| <u>Composition</u>                 |                       | <u>mg/ml</u>   |
|------------------------------------|-----------------------|----------------|
| Chitosan glutamate                 |                       | 18.8           |
| $\beta$ -glycerophosphate disodium |                       | 14.1           |
| Triethyl citrate                   |                       | 5              |
| 10                                 | Tartaric acid         | 1              |
|                                    | Ultrapure water       | <u>to</u> 1 ml |
| Solution pH                        |                       | 6.3            |
| Onset of gelation (35 °C)          |                       | 10-15 minutes  |
| 15                                 | Viscosity of solution | 83.8 cP        |

Example 16 illustrates the effectiveness of tartaric acid in reducing solution viscosity for product containing chitosan glutamate 18.8 mg/ml. Compared with Example 3 (of similar composition but containing ascorbic rather than tartaric acid), Example 16 exhibited a higher solution viscosity at 25 °C, which is however still significantly lower than a control solution of similar polymer concentration.

25



**Example 17: Self-gelling chitosan solution containing salmon calcitonin 0.368 mg/ml (2000 IU/ml), chitosan glutamate 18.8 mg/ml,  $\beta$ -glycerophosphate disodium 14.1 mg/ml, triethyl citrate 5 mg/ml and ascorbic acid 0.25 mg/ml**

5

Part A Chitosan-salmon calcitonin solution

287.5 mg triethyl citrate was weighed into a silanised 100 ml glass beaker and approximately 38 ml ultrapure water added. 2.875 ml of ascorbic acid solution 5 mg/ml (prepared by dissolving 100 mg of ascorbic acid in  
10 ultrapure water in a 20 ml volumetric flask and making up to volume) was added to the triethyl citrate solution with stirring. 4.25 ml of salmon calcitonin solution 5 mg/ml {prepared by dissolving 100 mg of salmon calcitonin (Polypeptide Laboratories Inc., Torrance CA, USA) in ultrapure water in a silanised 20 ml volumetric flask and making up to volume} was  
15 added to the beaker with stirring. 1085 mg chitosan glutamate was slowly added and the contents stirred until the chitosan had dissolved. The solution was transferred to a 50 ml volumetric flask (silanised), the washings transferred and the contents made up to volume with ultrapure water and mixed.

20

Part B Self-gelling chitosan solution containing salmon calcitonin

43.323 ml of cold chitosan-salmon calcitonin solution Part A (chilled on ice) was dispensed into a glass beaker (silanised) using a Gilson pipette and 4.71 ml of cold  $\beta$ -glycerophosphate solution 150 mg/ml (chilled on ice) was  
25 slowly added (dropwise) with vigorous stirring. 1.968 ml ultrapure water was added and stirring continued for a further 5 minutes. The onset of gelation was determined as detailed previously.

|    | <u>Composition</u>                 | <u>mg/ml</u>                     |
|----|------------------------------------|----------------------------------|
|    | Salmon calcitonin                  | 0.368 (equivalent to 2000 IU/ml) |
|    | Chitosan glutamate                 | 18.8                             |
|    | $\beta$ -glycerophosphate disodium | 14.1                             |
| 5  | Triethyl citrate                   | 5                                |
|    | Ascorbic acid                      | 0.25                             |
|    | Ultrapure water <u>to</u>          | 1 ml                             |
|    | Solution pH                        | 6.3                              |
| 10 | Onset of gelation (35 °C)          | 0-5 minutes                      |
|    | Viscosity of solution              | 39.6 cP                          |

Example 17 illustrates the effect of the incorporation of salmon calcitonin 2000 IU/ml into self-gelling chitosan solution containing chitosan glutamate 18.8 mg/ml. Compared with Example 9, of similar composition but containing 1 mg/ml ascorbic acid, Example 17 exhibited a slightly higher solution viscosity and a slightly faster onset of gelation using the technique described previously. No significant difference in solution pH was noted.

20

**Example 18: Intranasal absorption of self-gelling chitosan solution containing salmon calcitonin 2000 IU/ml in sheep**

Self-gelling chitosan solution containing 2000 IU/ml s-CT and 18.8 mg/ml chitosan glutamate described in Example 17, was dosed to sheep in a study designed to determine the influence of self-gelling chitosan solution on its effectiveness as an intranasal absorption enhancer. The absorption of salmon calcitonin in sheep was evaluated from self-gelling chitosan

25

solution containing 18.8 mg/ml chitosan and from a nasal control solution. The formulations dosed were as follows:

Formulation 1 (F1) Salmon calcitonin solution (control) containing 2000  
5 IU/ml s-CT

Formulation 2 (F2) Self-gelling chitosan solution containing 2000 IU/ml  
s-CT and 18.8 mg/ml chitosan glutamate

The method of preparation of the self-gelling chitosan solution is as  
10 described in Example 17. The control solution was prepared by dispensing  
approximately 35 ml ultrapure water into a small glass beaker and adding  
425 mg sodium chloride (Sigma Aldrich, UK) with stirring. When the  
sodium chloride had dissolved, the solution was transferred to a silanised 50  
ml volumetric flask and 2.5 ml benzethonium chloride solution 3 mg/ml  
15 (prepared by dissolving 60 mg benzethonium chloride (Sigma Aldrich, UK)  
in 20 ml ultrapure water) was added with stirring, followed by 3.683 ml of  
salmon calcitonin solution 5 mg/ml. The pH of the solution was adjusted  
to pH 4 using 0.1M HCl, the contents made up to volume and the solution  
mixed thoroughly.

20

A nominal dose of 0.6 ml of each formulation (1200 IU) was dosed to each  
of six sheep. Blood samples were collected and the plasma separated.  
Quantitative analysis of salmon calcitonin in plasma was performed using  
an ELISA method. Pharmacokinetic analysis of data was performed using  
25 a WinNonlin program for PC (Scientific Consulting Inc., North Carolina,  
USA). A summary of the pharmacokinetic data is provided in Table 1.  
Mean plasma concentration-time curves are presented in Figure 1.

As a simple solution, salmon calcitonin was reasonably well absorbed from the nasal cavity of the sheep. For the self-gelling chitosan solution, a marked increase in  $C_{\max}$ , AUC and relative bioavailability ( $F_{\text{rel}}$ ) was noted. In addition the  $T_{\max}$  was also extended confirming prolonged residence time  
 5 between the formulation and the mucosal surface.

In conclusion the study demonstrated the ability of self-gelling chitosan solution to enhance the residence time between the formulation and the nasal mucosa, with the consequence of enhanced bioavailability of salmon  
 10 calcitonin following the intranasal administration to sheep.

**Table 1 Summary pharmacokinetic parameters**

| Formulation                        | PK parameters                   | Mean  | SD   | CV (%) |
|------------------------------------|---------------------------------|-------|------|--------|
| F1: nasal control solution         | $T_{\max}$ (min)                | 10    | 6    | 60     |
|                                    | $C_{\max}$ (pg/ml)              | 60.0  | 26.0 | 43     |
|                                    | $AUC_{\text{last}}$ (pg.min/ml) | 993   | 618  | 62     |
|                                    | Dose (IU)                       | 1200  | 0    | 0      |
|                                    | $F_{\text{rel}}$ (%)            | 100   | -    |        |
| F2: self-gelling chitosan solution | $T_{\max}$ (min)                | 18    | 10   | 56     |
|                                    | $C_{\max}$ (pg/ml)              | 148.0 | 44.0 | 30     |
|                                    | $AUC_{\text{last}}$ (pg.min/ml) | 6520  | 4648 | 71     |
|                                    | Dose (IU)                       | 1200  | 0    | 0      |
|                                    | $F_{\text{rel}}$ (%)            | 657   | 468  | 71     |

$F_{\text{rel}}$  (%): bioavailability relative to nasal control solution

**CLAIMS:**

1. A composition comprising (i) chitosan, a salt or derivative thereof or a salt of a derivative thereof, (ii) a polyol-phosphate or sugar-phosphate salt, (iii) a plasticizer, and (iv) a therapeutic agent.  
5
2. A composition according to claim 1 in the form of an aqueous solution or suspension.
- 10 3. A composition according to claim 1 or 2, which forms a gel at a temperature 30 °C or greater.
4. A composition according to claim 3, which forms a gel in 15 minutes or less at a temperature of from 30 to 40 °C.  
15
5. A composition according to claim 4, which forms a gel in 15 minutes or less at a temperature of from 35 to 37 °C.
6. A composition according to any one of the preceding claims, wherein the plasticizer is triethyl citrate.  
20
7. A composition as claimed in any one of the preceding claims, wherein the chitosan, salt or derivative thereof or salt of a derivative thereof has a molecular weight of 4000 Dalton or greater.  
25
8. A composition according to claim 7, wherein the chitosan, salt or derivative thereof or salt of a derivative thereof, has a molecular weight of from 50,000 to 300,000 Dalton.

9. A composition according to any one of the preceding claims, comprising chitosan base or a chitosan derivative that has been formed by bonding of acyl or alkyl groups with the hydroxyl groups of the chitosan or a nitrate, phosphate, sulphate, citrate, hydrochloride, glutamate, lactate or acetate salt of chitosan.
10. A composition according to any one of the preceding claims, wherein the chitosan has a degree of deacetylation of 40 % or greater.
11. A composition according to claim 12, wherein the degree of deacetylation is from 70 to 90 %.
12. A composition according to any of the preceding claims comprising from 0.25 to 3.0 % w/v of chitosan, a salt or a derivative thereof or a salt of a derivative thereof expressed as chitosan base.
13. A composition according to claim 12 comprising from 0.45 to 1.5 %w/v of chitosan, a salt or a derivative thereof or a salt of a derivative thereof expressed as chitosan base.
14. A composition according to any one of the preceding claims, wherein the therapeutic agent is present in solution or as a suspension.
15. A composition according to any one of the preceding claims, wherein the polyol-phosphate salt is  $\beta$ -glycerophosphate disodium.

16. A composition according to any one of the preceding claims, wherein the polyol-phosphate or sugar-phosphate salt is present in an amount of from 0.25 to 3.0 % w/v.
- 5 17. A composition according to claim 16, wherein the polyol-phosphate or sugar-phosphate salt is present in an amount of from 0.75 to 2.0 % w/v.
- 10 18. A composition according to any one of the preceding claims comprising from 0.05 to 5.0 % w/v of the plasticizer.
19. A composition as claimed in Claim 18 comprising from 0.2 to 1.0 % w/v of the plasticizer.
- 15 20. A composition according to any of the preceding claims additionally comprising ascorbic acid.
21. A composition according to claim 20 comprising from 0.01 to 0.2 % w/v ascorbic acid.
- 20 22. A composition according to any one of the preceding claims, wherein the therapeutic agent is a polar drug, a polypeptide, a gene or a gene construct.
- 25 23. A composition according to claim 22, wherein the therapeutic agent is insulin, calcitonin, leuprolide, luteinising hormone releasing hormone, growth hormone or a growth hormone releasing factor, naratriptan, sumatriptan, zolmitriptan, rizatriptan, eletriptan, frovatriptan, alnitidan, avitriptan, almotriptan, apomorphine, sildenafil, alprostadil,

diamorphine, hydromorphone, buprenorphine, fentanyl, oxycodone, codeine, morphine or morphine-6-glucuronide.

24. A drug delivery device suitable for delivery of a composition via one  
5 or more of the nasal, vaginal, rectal, oral mucosal, ophthalmic or  
ocular routes or a dose cartridge for use with such a device loaded  
with a composition as defined in any one of the preceding claims.
25. A process for the preparation of the composition as defined in any one  
10 of claims 1 to 23, which process comprises mixing a solution  
comprising chitosan or a salt or derivative thereof or a salt of a  
derivative thereof with a solution comprising a polyol-phosphate or  
sugar-phosphate salt.
- 15 26. The use of the combination of chitosan or a salt or derivative thereof  
or the salt of a derivative thereof, a polyol-phosphate or sugar-  
phosphate salt and a plasticizer in the manufacture of a medicament  
for use in the transport of a therapeutic agent across a mucosal surface  
in an animal.
- 20 27. The use of the combination of chitosan or a salt or derivative thereof  
or the salt of a derivative thereof, a polyol-phosphate or sugar-  
phosphate salt and a plasticizer in the manufacture of a medicament  
for nasal, vaginal, rectal, oral mucosal, ophthalmic or ocular delivery.
- 25 28. The use of a composition as defined in any one of claims 1 to 23 in the  
manufacture of a medicament for use in the transport of a therapeutic  
agent across a mucosal surface in an animal.



29. The use of a composition as defined in any one of claims 1 to 23 in the manufacture of a medicament for nasal, vaginal, rectal, oral mucosal, ophthalmic or ocular delivery.
- 5 30. The use according to any one of claims 26 to 29, wherein the medicament is intended for local action.
31. The use according to any one of claims 26 to 29, wherein the medicament is intended for systemic action.
- 10 32. The use of a composition as defined in any one of claims 1 to 23 in the administration of a therapeutic agent for transport thereof across a mucosal surface in an animal.
- 15 33. The use of a composition as defined in any one of claims 1 to 23 in nasal, vaginal, rectal, oral mucosal, ophthalmic or ocular delivery of a therapeutic agent to an animal.
- 20 34. The use according to claim 32 or 33, wherein the therapeutic agent is intended for local action.
35. The use according to claim 32 or 33, wherein the therapeutic agent is intended for systemic action.

