

**(12) STANDARD PATENT**  
**(19) AUSTRALIAN PATENT OFFICE**

(11) Application No. **AU 2014200960 B2**

(54) Title  
**AN IMPROVED DRY POWDER DRUG DELIVERY SYSTEM**

(51) International Patent Classification(s)  
**A61K 9/72 (2006.01)**

(21) Application No: **2014200960**

(22) Date of Filing: **2014.02.24**

(43) Publication Date: **2014.03.13**

(43) Publication Journal Date: **2014.03.13**

(44) Accepted Journal Date: **2016.02.11**

(62) Divisional of:  
**2010221254**

(71) Applicant(s)  
**MannKind Corporation**

(72) Inventor(s)  
**Smutney, Chad C.;Adamo, Benoit;Polidoro, John M.;Kinsey, P. Spencer;Overfield, Dennis;Sahi, Carl R.;Billings, Christine;Marino, Mark T.**

(74) Agent / Attorney  
**Pizzey's, PO Box 291, WODEN, ACT, 2606**

(56) Related Art  
**US 2007/0196503 A1**  
**US 2006/0239934 A1**  
**US 2006/0153778 A1**

## ABSTRACT

A pulmonary drug delivery system is disclosed, including a breath-powered, dry powder inhaler, and a cartridge for delivering a dry powder formulation. The inhaler and cartridge can be provided with a drug delivery formulation comprising, for example, a diketopiperazine and an active ingredient, including, peptides and proteins such as insulin and glucagon-like peptide 1 for the treatment of endocrine disease, for example, diabetes and/or obesity.

2014200960 24 Feb 2014

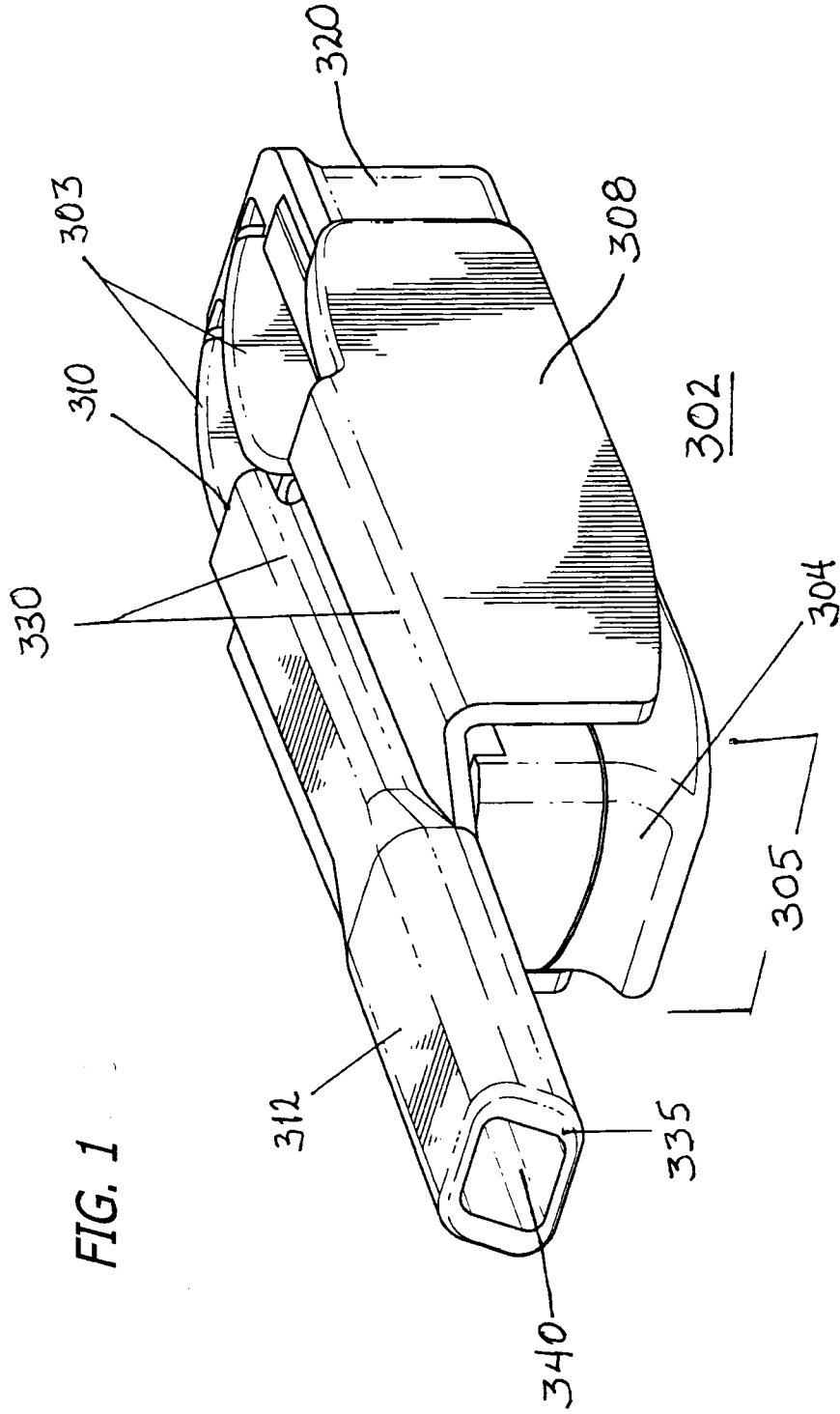


FIG. 1

## AN IMPROVED DRY POWDER DRUG DELIVERY SYSTEM

### CROSS REFERENCE TO RELATED APPLICATIONS

[0001] This application claims the benefit under 35 U.S.C. §119(e) from United States Provisional Patent Applications Serial Nos. 61/157,506 filed March 4, 2009, 61/222,810 filed July 2, 2009, and 61/258,184 filed November 4, 2009, the contents of each of these applications are incorporated herein by reference in their entirety.

### TECHNICAL FIELD

[0002] The present disclosure relates to dry powder inhalation system including dry powder inhalers, cartridges and pharmaceutical compositions for delivering drug to the pulmonary tract and pulmonary circulation for the treatment of disease such as diabetes and obesity.

[0003] All references cited in this specification, and their references, are incorporated by reference herein in their entirety where appropriate for teachings of additional or alternative details, features, and/or technical background.

### BACKGROUND

[0004] Drug delivery systems for the treatment of disease which introduce active ingredients into the circulation are numerous and include oral, transdermal, inhalation, subcutaneous and intravenous administration. Drugs delivered by inhalation are typically delivered using positive pressure relative to atmospheric pressure in air with propellants. Such drug delivery systems deliver drugs as aerosols, nebulized or vaporized. More recently, drug delivery to lung tissue has been achieved with dry powder inhalers. Dry powder inhalers can be breath activated or breath-powered and can deliver drugs by converting drug particles in a carrier into a fine dry powder which is entrained into an air flow and inhaled by the patient. Drugs delivered with the use of a dry powder inhaler can no longer be intended to treat pulmonary disease only, but also specific drugs can be used to treat many conditions, including diabetes and obesity.

[0005] Dry powder inhalers, used to deliver medicaments to the lungs, contain a dose system of a powder formulation usually either in bulk supply or quantified into individual doses stored in unit dose compartments, like hard gelatin capsules or blister packs. Bulk containers are equipped with a measuring system operated by the patient in order to isolate a single dose from the powder immediately before inhalation. Dosing reproducibility requires that the drug formulation is uniform and that the dose can be delivered to the patient with consistent and reproducible results. Therefore, the dosing system ideally operates to completely discharge all of the formulation effectively during an inspiratory maneuver when

2014200960 24 Feb 2014

the patient is taking his/her dose. However, complete discharge is not required as long as reproducible dosing can be achieved. Flow properties of the powder formulation, and long term physical and mechanical stability in this respect, are more critical for bulk containers than they are for single unit dose compartments. Good moisture protection can be achieved more easily for unit dose compartments such as blisters, however, the materials used to manufacture the blisters allow air into the drug compartment and subsequently the formulation loses viability with long storage. Additionally, dry powder inhalers which use blisters to deliver a medicament by inhalation can suffer with inconsistency of dose delivery to the lungs due to variations in the air conduit architecture resulting from puncturing films or peeling films of the blisters.

**[0006]** Dry powder inhalers such as those described in U.S. Patents No. 7,305,986 and 7,464,706, which disclosure is incorporated herein by reference in their entirety, can generate primary drug particles or suitable inhalation plumes during an inspiratory maneuver by deagglomerating the powder formulation within a capsule. The amount of fine powder discharged from the inhaler's mouthpiece during inhalation is largely dependent on, for example, the interparticulate forces in the powder formulation and the efficiency of the inhaler to separate those particles so that they are suitable for inhalation. The benefits of delivering drugs via the pulmonary circulation are numerous and include rapid entry into the arterial circulation, avoidance of drug degradation by liver metabolism, ease of use, i.e., lack of discomfort of administration by other routes of administration.

**[0007]** Dry powder inhaler products developed for pulmonary delivery have met with limited success to date, due to lack of practicality and/or cost of manufacture. Some of the persistent problems observed with prior art inhalers, include lack of ruggedness of device, propellants use to deliver the powder, consistency in dosing, inconvenience of the equipment, poor deagglomeration, and/or lack of patient compliance. Therefore, the inventors have identified the need to design and manufacture an inhaler with consistent powder delivery properties, easy to use without discomfort, and discrete inhaler configurations which would allow for better patient compliance.

### SUMMARY

**[0008]** In embodiments disclosed herein is a dry powder inhalation system for pulmonary delivery, including dry powder inhalers, cartridges for dry powder inhalers for rapid and effective delivery of dry powder formulations to the pulmonary tract is disclosed. The dry powder formulation of the inhalation system comprises active agents for the treatment of disease, including diabetes and obesity. The dry powder inhaler can be breath-powered, compact, reusable or disposable, has various shapes and sizes, and comprises a

2014200960 24 Feb 2014

system of airflow conduit pathways for the effective and rapid delivery of a dry powder medicament. In one embodiment, the inhaler can be a unit dose, reusable or disposable inhaler that can be used with or without a cartridge. By use without a cartridge we refer to systems in which cartridge-like structures are integral to the inhaler, as opposed systems in which a cartridge is installed for use by, for example, the user. In another embodiment, the inhaler can be a multidose inhaler, disposable or reusable that can be used with single unit dose cartridges installed in the inhaler or cartridge-like structures built-in or structurally configured as part of the inhaler.

**[0009]** In further embodiments, the dry powder inhalation system comprises a dry powder inhalation device or inhaler with or without a cartridge, and a pharmaceutical formulation comprising an active ingredient for pulmonary delivery. In some embodiments delivery is to the deep lung (that is, to the alveolar region) and in some of these embodiments the active agents is absorbed into the pulmonary circulation for systemic delivery. The system can also comprise a dry powder inhaler with or without a unit dose cartridge, and a drug delivery formulation comprising, for example, a diketopiperazine and an active ingredient such as peptides, polypeptides and proteins, including insulin and glucagon-like peptide 1.

**[0010]** In one embodiment, the dry powder inhaler comprises a housing, a moveable member, and a mouthpiece, wherein the moveable member is operably configured to move a container from a powder containment position to a dosing position. In this and other embodiments, the moveable member can be a sled, a slide tray or a carriage which is moveable by various mechanisms.

**[0011]** In another embodiment, the dry powder inhaler comprises a housing and a mouthpiece, structurally configured to have an open position, a closed position and a mechanism operably configured to receive, hold, and reconfigure a cartridge from a containment position to a dispensing, dosing or dose delivery position upon movement of said inhaler from the open position to the closed position. In versions of this embodiment, the mechanism can also reconfigure a cartridge installed in the inhaler from the dosing position to a containment position after use when the inhaler is opened to unload a used cartridge. In one embodiment, the mechanism can reconfigure a cartridge to a disposable or discarding configuration after use. In such embodiments, the housing is structurally configured to be moveably attached to the mouthpiece by various mechanisms including, a hinge. The mechanism configured to receive and reconfigure a cartridge installed in the inhaler from a containment position to the dosing position can be designed to operate manually or automatically upon movement of the inhaler components, for example, by

2014200960 24 Feb 2014

closing the device from an open configuration. In one embodiment, the mechanism for reconfiguring a cartridge comprises a slide tray or sled attached to the mouthpiece and movably attached to the housing. In another embodiment, the mechanism is mounted or adapted to the inhaler and comprises a geared mechanism integrally mounted within, for example, a hinge of the inhaler device. In yet another embodiment, the mechanism operably configured to receive and reconfigure the cartridge from a containment position to a dosing position comprises a cam that can reconfigure the cartridge upon rotation of, for example, the housing or the mouthpiece.

**[0012]** In an alternate embodiment, the dry powder inhaler can be made as a single use, unit dose disposable inhaler, which can be provided with a powder container configured to hold a powder medicament, wherein the inhaler can have a first and a second configuration in which the first configuration is a containment configuration and the second configuration is a dosing or dispensing configuration. In this embodiment, the inhaler can be provided with or without a mechanism for reconfiguring the powder container. According to aspects of the latter embodiment the container can be reconfigured directly by the user.

**[0013]** In yet another embodiment, an inhaler comprising a container mounting area configured to receive a container, and a mouthpiece having at least two inlet apertures and at least one exit aperture; wherein one inlet aperture of the at least two inlet apertures is in fluid communication with the container area, and one of the at least two inlet apertures is in fluid communication with the at least one exit aperture via a flow path configured to bypass the container area.

**[0014]** In one embodiment, the inhaler has opposing ends such as a proximal end for contacting a user's lips or mouth and a distal end, and comprises a mouthpiece and a medicament container; wherein the mouthpiece comprises a top surface and a bottom or undersurface. The mouthpiece undersurface has a first area configured relatively flat to maintain a container in a sealed or containment configuration, and a second area adjacent to the first area which is raised relative to the first area. In this embodiment, the container is movable from the containment configuration to the dosing configuration and *vice versa*, and in the dosing configuration, the second raised area of the mouthpiece undersurface and the container form or define an air inlet passageway to allow ambient air to enter the internal volume of the container or expose the interior of the container to ambient air. In one embodiment, the mouthpiece can have a plurality of openings, for example, an inlet port, an outlet port and at least one port for communicating with a medicament container in a dispensing or dosing position, and can be configured to have integrally attached panels extending from the bottom surface sides of the inhaler and having flanges protruding

2014200960 24 Feb 2014

towards the center of the inhaler mouthpiece, which serve as tracks and support for the container on the mouthpiece so that the container can move along the tracks from the containment position to a dispensing or dosing position and back to containment if desired. In one embodiment, the medicament container is configured with wing-like projections or winglets extending from its top border to adapt to the flanges on the mouthpiece panels. In one embodiment, the medicament container can be moved manually by a user from containment position to a dosing position and back to the containment position after dosing, or by way of a sled, a slide tray, or a carriage.

**[0015]** In another embodiment, a single use, unit dose, disposable inhaler can be constructed to have a sled incorporated and operably configured to the mouthpiece. In this embodiment, a bridge on the sled can abut or rest on an area of the medicament container to move the container along the mouthpiece panel tracks from the containment position to the dispensing or dosing position. In this embodiment, the sled can be operated manually to move the container on the mouthpiece tracks.

**[0016]** In one embodiment, the dry powder inhaler comprises one or more air inlets and one or more air outlets. When the inhaler is closed, at least one air inlet can permit flow to enter the inhaler and at least one air inlet allows flow to enter a cartridge compartment or the interior of the cartridge or container adapted for inhalation. In one embodiment, the inhaler has an opening structurally configured to communicate with the cartridge placement area and with a cartridge inlet port when the cartridge container is in a dosing position. Flow entering the cartridge interior can exit the cartridge through an exit or dispensing port or ports; or flow entering the container of an inhaler can exit through at least one of the dispensing apertures. In this embodiment, the cartridge inlet port or ports is/are structurally configured so that all, or a portion of the air flow entering the interior of the cartridge is directed at the exit or dispensing port or ports.

**[0017]** The medicament container is structurally configured to have two opposing, relatively curvilinear sides which can direct airflow. In this embodiment, flow entering the air inlet during an inhalation can circulate within the interior of the container about an axis relatively perpendicular to the axis of the dispensing ports, and thereby, the flow can lift, tumble and effectively fluidize a powder medicament contained in the cartridge. In this and other embodiments, fluidized powder in the air conduit can be further deagglomerated into finer powder particles by a change in direction or velocity, *i.e.*, acceleration or deceleration of the particles in the flow pathway. In certain embodiments, the change in acceleration or deceleration can be accomplished by changing the angle and geometries of, for example, the dispensing port or ports, the mouthpiece conduit and/or its interfaces. In the inhalers

described herewith, the mechanism of fluidization and acceleration of particles as they travel through the inhaler are methods by which deagglomeration and delivery of a dry powder formulation is effectuated.

**[0018]** In particular embodiments, a method for deagglomerating and dispersing a dry powder formulation comprises one or more steps such as tumbling within a primary container region started and enhanced by flow entering the container; a rapid acceleration of powder in the flow through the dispensing ports leaving the container; further accelerating the powder induced by a change in direction or velocity as the powder exits the dispensing port; shearing of powder particles caught within a flow gradient, wherein the flow on the top of the particle is faster than flow on bottom of the particle; deceleration of flow due to expansion of cross-sectional area within the mouthpiece air conduit; expansion of air trapped within a particle due to the particle moving from a higher pressure region to a lower pressure region, or collisions between particles and flow conduit walls at any point in the flow passageways.

**[0019]** In another embodiment, a dry powder inhaler comprises a mouthpiece; a sled, slide tray, or a carriage; a housing, a hinge, and a gear mechanism configured to effectuate movement of the sled or slide tray; wherein the mouthpiece and the housing are moveably attached by the hinge.

**[0020]** Cartridges for use with the dry powder inhaler can be manufactured to contain any dry powder medicament for inhalation. In one embodiment, the cartridge is structurally configured to be adaptable to a particular dry powder inhaler and can be made of any size and shape, depending on the size and shape of the inhaler to be used with, for example, if the inhaler has a mechanism which allows for translational movement or for rotational movement. In one embodiment, the cartridge can be configured with a securing mechanism, for example, having a beveled edge on the cartridge top corresponding to a matching beveled edge in an inhaler so that the cartridge is secured in use. In one embodiment, the cartridge comprises a container and a lid or cover, wherein the container can be adapted to a surface of the lid and can be movable relative to the lid or the lid can be movable on the container and can attain various configurations depending on its position, for example, a containment configuration, a dosing configuration or after use configuration. Alternatively the lid can be removable.

**[0021]** An exemplary embodiment can comprise an enclosure to hold medicament configured having at least one inlet aperture to allow flow into the enclosure; at least one dispensing aperture to allow flow out of the enclosure; the inlet aperture configured to direct at least a portion of the flow at the dispensing aperture or at the particles approaching the

2014200960 24 Feb 2014

dispensing aperture within the enclosure in response to a pressure gradient. The dispensing aperture or apertures and the intake gas aperture each independently can have a shape such as oblong, rectangular, circular, triangular, square and oval-shaped and can be in close proximity to one another. During inhalation, a cartridge adapted to the inhaler in a dosing position allows airflow to enter the enclosure and mix with the powder to fluidize the medicament. The fluidized medicament moves within the enclosure such that medicament gradually exits the enclosure through the dispensing aperture, wherein the fluidized medicament exiting the dispensing aperture is sheared and diluted by a secondary flow not originating from within the enclosure. In one embodiment, the flow of air in the internal volume rotates in a circular manner so as to lift a powder medicament in the container or enclosure and recirculate the entrained powder particles or powder mass in the internal volume of the container promoting the flow to tumble prior to the particles exiting dispensing ports of the container or one or more of the inhaler inlet ports or air outlet or dispensing apertures, and wherein the recirculating flow, can cause tumbling, or non-vortical flow of air in the internal volume acts to deagglomerate the medicament. In one embodiment, the axis of rotation is mostly perpendicular to gravity. In another embodiment the axis of rotation is mostly parallel to gravity. The secondary flow not originating from within the enclosure further acts to de-agglomerate the medicament. In this embodiment, the pressure differential is created by the user's inspiration. A cartridge for a dry powder inhaler, comprising: an enclosure configured to hold a medicament; at least one inlet port to allow flow into the enclosure, and at least one dispensing port to allow flow out of the enclosure; said at least one inlet port is configured to direct at least a portion of the flow entering the at least one inlet port at the at least one dispensing port within the enclosure in response to a pressure differential.

**[0022]** A unit dose cartridge for an inhaler comprising: a substantially flat cartridge top, arrow-like in configuration, having one or more inlet apertures, one or more dispensing apertures, and two side panels extending downwardly and each of the two side panels having a track; and a container moveably engaged to the track of the side panels of the cartridge top, and comprising a chamber configured to have a relatively cup-like shape with two relatively flat and parallel sides and a relatively rounded bottom, and interior surface defining an internal volume; said container configurable to attain a containment position and a dosing position with the cartridge top; wherein in use with a dry powder inhaler during an inhalation a flow entering the internal volume diverges as it enters the internal volume with a portion of the flow exiting through the one or more dispensing apertures and a portion of the flow rotating inside the internal volume and lifting a powder in the internal volume before exiting through the dispensing apertures.

2014200960 24 Feb 2014

**[0023]** In one embodiment, an inhalation system for pulmonary drug delivery is provided, comprising: a dry powder inhaler comprising a housing and a mouthpiece having an inlet and an outlet port, an air conduit between the inlet and the outlet, and an opening structurally configured to receive a cartridge; a cartridge mounting mechanism such as a sled; a cartridge configured to be adapted to the dry powder inhaler and containing a dry powder medicament for inhalation; wherein the cartridge comprises a container and a lid having one or more inlet ports or one or more dispensing ports; the dry powder inhaler system in use has a predetermined airflow balance distribution through said cartridge relative to total flow delivered to the patient.

**[0024]** In embodiments disclosed herewith, the dry powder inhaler system comprises a predetermined mass flow balance within the inhaler. For example, a flow balance of approximately 20% to 70% of the total flow exiting the inhaler and into the patient is delivered by the dispensing ports or passed through the cartridge, whereas approximately 30% to 80% is generated from other conduits of the inhaler. Moreover, bypass flow or flow not entering and exiting the cartridge can recombine with the flow exiting the dispensing port of the cartridge within the inhaler to dilute, accelerate and ultimately deagglomerate the fluidized powder prior to exiting the mouthpiece.

**[0025]** In the embodiments described herein, the dry powder inhaler is provided with relatively rigid air conduits or plumbing system and high flow resistance levels to maximize deagglomeration of powder medicament and facilitate delivery. Accordingly, effectiveness and consistency of powder medicament discharge is obtained from the inhaler after repeated use since the inhaler are provided with air conduit geometries which remain the same and cannot be altered. In some embodiments, the dry powder medicament is dispensed with consistency from the inhaler in less than about 3 seconds, or generally less than one second. In some embodiments, the inhaler system can have a high resistance value of, for example, approximately 0.065 to about 0.200 ( $\sqrt{\text{kPa}}$ )/liter per minute. Therefore, in the system, peak inhalation pressure drops of between 2 and 20 kPa produce resultant peak flow rates of about between 7 and 70 liters per minute. These flow rates result in greater than 75% of the cartridge contents dispensed in fill masses between 1 and 30 mg. In some embodiments, these performance characteristics are achieved by end users within a single inhalation maneuver to produce cartridge dispense percentages greater than 90%. In certain embodiments, the inhaler and cartridge system are configured to provide a single dose by discharging powder from the inhaler as a continuous flow, or as one or more pulses of powder delivered to a patient.

2014200960 24 Feb 2014

**[0026]** In one embodiment, a method for effectively deagglomerating a dry powder formulation during an inhalation in a dry powder inhaler is provided. The method can comprise the steps of providing a dry powder inhaler comprising a container having an air inlet, dispensing ports communicating with a mouthpiece air conduit and containing and delivering a formulation to a subject in need of the formulation; generating an airflow in the inhaler by the subject's inspiration so that about 20 to about 70% of the airflow entering the inhaler enters and exits the container; allowing the airflow to enter the container inlet, circulate and tumble the formulation in an axis perpendicular to the dispensing ports to fluidize the formulation so as to yield a fluidized formulation; accelerating metered amounts of fluidized formulation through the dispensing ports and in the air conduit, and decelerating the airflow containing fluidized formulation in the mouthpiece air conduit of the inhaler prior to reaching the subject. In some specific embodiments, 20% to 60% of the total flow through the inhaler goes through the cartridge during dose delivery.

**[0027]** In another embodiment, a method for deagglomerating and dispersing a dry powder formulation for inhalation is provided, comprising the steps of: generating an airflow in a dry powder inhaler comprising a mouthpiece and a container having at least one inlet port and at least one dispensing port and containing a dry powder formulation; said container forming an air passage between at least one inlet port and at least one dispensing port and the inlet port directs a portion of the airflow entering the container to at least one dispensing port; allowing airflow to tumble powder within the container in a substantially perpendicular axis to the at least one dispensing port so as to lift and mix the dry powder medicament in the container to form an airflow medicament mixture; and accelerating the airflow exiting the container through at least one dispensing port. In one embodiment, the inhaler mouthpiece is configured to have a gradual expanding cross-section to decelerate flow and minimize powder deposition inside the inhaler and promote maximal delivery of powder to the patient. In one embodiment, for example, the cross-sectional area of the oral placement region of an inhaler can be from about 0.05 cm<sup>2</sup> to about 0.25 cm<sup>2</sup> over an approximate length of about 3 cm. These dimensions depend on the type of powder used with the inhaler and the dimensions of the inhaler itself.

**[0028]** A cartridge for a dry powder inhaler, comprising: a cartridge top and a container defining an internal volume; wherein the cartridge top has an undersurface that extends over the container; said undersurface configured to engage said container, and comprising an area to contain the internal volume and an area to expose the internal volume to ambient air.

**[0029]** In an alternate embodiment, a method for the delivery of particles through a dry powder delivery device is provided, comprising: inserting into the delivery device a cartridge

2014200960 24 Feb 2014

for the containment and dispensing of particles comprising an enclosure enclosing the particles, a dispensing aperture and an intake gas aperture; wherein the enclosure, the dispensing aperture, and the intake gas aperture are oriented such that when an intake gas enters the intake gas aperture, the particles are deagglomerated, by at least one mode of deagglomeration as described above to separate the particles, and the particles along with a portion of intake gas are dispensed through the dispensing aperture; concurrently forcing a gas through a delivery conduit in communication with the dispensing aperture thereby causing the intake gas to enter the intake gas aperture, de-agglomerate the particles, and dispense the particles along with a portion of intake gas through the dispensing aperture; and, delivering the particles through a delivery conduit of the device, for example, in an inhaler mouthpiece. In embodiment described herein, to effectuate powder deagglomeration, the dry powder inhaler can be structurally configured and provided with one or more zones of powder deagglomeration, wherein the zones of deagglomeration during an inhalation maneuver can facilitate tumbling of a powder by air flow entering the inhaler, acceleration of the air flow containing a powder, deceleration of the flow containing a powder, shearing of a powder particles, expansion of air trapped in the powder particles, and/or combinations thereof.

**[0030]** In another embodiment, the inhalation system comprises a breath-powered dry powder inhaler, a cartridge containing a medicament, wherein the medicament can comprise, for example, a drug formulation for pulmonary delivery such as a composition comprising a diketopiperazine and an active agent. In some embodiments, the active agent comprises peptides and proteins, such as insulin, glucagon-like peptide 1, oxyntomodulin, peptide YY, exendin, parathyroid hormone, analogs thereof, and the like. The inhalation system of the invention can be used, for example, in methods for treating conditions requiring localized or systemic delivery of a medicament, for example, in methods for treating diabetes, pre-diabetes conditions, respiratory track infection, pulmonary disease and obesity. In one embodiment, the inhalation system comprises a kit comprising at least one of each of the components of the inhalation system for treating the disease or disorder.

**[0031]** In one embodiment, there is provided a method for the effective delivery of a formulation to the blood stream of a subject, comprising an inhalation system comprising an inhaler including a cartridge containing a formulation comprising a diketopiperazine, wherein the inhalation system delivers a powder plume comprising diketopiperazine microparticles having a volumetric median geometric diameter (VMGD) ranging from about 2.5  $\mu\text{m}$  to 10  $\mu\text{m}$ . In an example embodiment, the VMGD of the microparticles can range from about 2  $\mu\text{m}$  to 8  $\mu\text{m}$ . In an example embodiment, the VMGD of the powder particles can be from 4

2014200960 24 Feb 2014

$\mu\text{m}$  to about 7  $\mu\text{m}$  in a single inhalation of the formulation of fill mass ranging between 3.5 mg and 10 mg of powder. In this and other embodiments, the inhalation system delivers greater than 90 % of the dry powder formulation from the cartridge.

**[0032]** In another embodiment, an inhalation system is provided comprising an inhaler, a cartridge containing a dry powder formulation for delivery to the systemic circulation comprising diketopiperazine microparticles; wherein the diketopiperazine microparticles deliver a plasma level (exposure) of diketopiperazine having an  $\text{AUC}_{0-2 \text{ hr}}$  between 1,300  $\text{ng}\cdot\text{min}/\text{mL}$  and 3,200  $\text{ng}\cdot\text{min}/\text{mL}$  per mg of diketopiperazine emitted in a single inhalation. In another exemplary embodiment, an inhalation system is provided comprising an inhaler, a cartridge containing a dry powder formulation for delivery to the systemic circulation comprising diketopiperazine microparticles; wherein the diketopiperazine microparticles deliver a plasma level (exposure) of diketopiperazine having an  $\text{AUC}_{0-\infty}$  greater than 2,300  $\text{ng}\cdot\text{min}/\text{mL}$  per mg of powder emitted in a single inhalation. In an aspect of such embodiments the DKP is FDKP. In this and other embodiments, the diketopiperazine microparticles do not cause a reduction in lung function as assessed by pulmonary function tests and measured as forced expiratory volume in one second (FEV1). In certain embodiments, the measured plasma exposure of FDKP in a subject can be greater than 2,500  $\text{ng}\cdot\text{min}/\text{mL}$  per mg of FDKP powder emitted in a single inhalation. In alternate embodiments, the measured plasma exposure,  $\text{AUC}_{0-\infty}$  of FDKP of a subject can be greater than 3,000  $\text{ng}\cdot\text{min}/\text{mL}$  per mg of FDKP powder emitted in a single inhalation. In yet another embodiment, the measured plasma exposure of FDKP  $\text{AUC}_{0-\infty}$  in a subject can be less than or about 5,500  $\text{ng}\cdot\text{min}/\text{mL}$  per mg of FDKP emitted in a single inhalation of a dry powder composition comprising FDKP. In some embodiments, the stated level of exposure represents an individual exposure. In alternate embodiments, the stated level of exposure represents a mean exposure. Active agent quantities, including contents and exposures may be express alternatively in units of activity or mass.

**[0033]** In these and other embodiments, the microparticles can further comprise an active ingredient. In particular embodiments, the active ingredient is insulin. In another exemplary embodiment, an inhalation system is provided comprising an inhaler, a cartridge containing a dry powder formulation for delivery to the systemic circulation comprising diketopiperazine microparticles containing insulin; wherein the diketopiperazine microparticles deliver a plasma level (exposure) of insulin with an  $\text{AUC}_{0-2 \text{ hr}}$  greater than 160  $\mu\text{U}\cdot\text{min}/\text{mL}$  per units of insulin in the powder formulation emitted in a single inhalation. In an aspect of this embodiment, the inhalation system is configured to deliver and attain an insulin plasma level or exposure wherein the measured insulin  $\text{AUC}_{0-2 \text{ hr}}$  ranges from about

2014200960 24 Feb 2014

100 to 1,000  $\mu\text{U}\cdot\text{min}/\text{mL}$  per units of insulin in the powder formulation emitted in a single inhalation. In some embodiments, the stated level of exposure represents an individual exposure. In alternate embodiments, the stated level of exposure represents a mean exposure.

**[0034]** In another exemplary embodiment, an inhalation system is provided comprising an inhaler, a cartridge containing a dry powder formulation for delivery to the systemic circulation comprising diketopiperazine microparticles comprising insulin; wherein the diketopiperazine microparticles deliver a plasma level (exposure) of insulin with an  $\text{AUC}_{0-4 \text{ hr}}$  greater than 100  $\mu\text{U}\cdot\text{min}/\text{mL}$  per U of insulin filled emitted in a single inhalation. In an aspect of this embodiment, the inhalation system is configured to deliver to a patient a formulation of insulin and fumaryl diketopiperazine which attains a plasma exposure of insulin having measured  $\text{AUC}_{0-4 \text{ hr}}$  in the range of 100 to 250  $\mu\text{U}\cdot\text{min}/\text{mL}$  per U of insulin filled dose, emitted in a single inhalation. In aspects of these embodiments, the  $\text{AUC}_{0-4 \text{ hr}}$  can be greater than 110, 125, 150 or 175  $\mu\text{U}\cdot\text{min}/\text{mL}$  per U of insulin filled, emitted in a single inhalation. In this and other embodiments, the insulin content of the formulation comprises from about 10 to about 20% (w/w) of the formulation

**[0035]** In still another exemplary embodiment, an inhalation system is provided comprising an inhaler, a cartridge containing a dry powder formulation for delivery to the systemic circulation comprising diketopiperazine microparticles containing insulin; wherein the diketopiperazine microparticles deliver a plasma level of insulin with a  $C_{\text{max}}$  over 10  $\mu\text{U}/\text{mL}$  per mg of powder emitted in a single inhalation, within 30 minutes of administration. In an aspect of this embodiment, the insulin formulation administered generates a  $C_{\text{max}}$  ranging from about 10 to 20  $\mu\text{U}/\text{mL}$  per mg of powder emitted in a single inhalation, and within 30 minutes after administration. In further aspects of this embodiment, insulin  $C_{\text{max}}$  can be attained within 25, 20, or 15 minutes of administration. In alternatives of these  $C_{\text{max}}$  embodiments, the  $C_{\text{max}}$  attained after pulmonary inhalation of the formulation is greater than 3  $\mu\text{U}/\text{mL}$  per U of insulin filled into a cartridge, or in the range of 3 U to 6 U, or 4 U to 6  $\mu\text{U}/\text{mL}$  per U of insulin in a cartridge dose.

**[0036]** In another embodiment, an inhalation system, comprising: a dry powder inhaler; and a dry powder formulation comprising a plurality of powder particles of a diketopiperazine is provided, wherein the inhalation system is configured to deliver the diketopiperazine to the pulmonary circulation of a subject, and said diketopiperazine can be measured in the subject's plasma having a mean exposure or  $\text{AUC}_{0-\infty}$  greater than 2,300  $\text{ng}\cdot\text{min}/\text{mL}$  per mg of diketopiperazine content in the dry powder formulation administered in a single inhalation. In one embodiment, the inhalation system further comprises a cartridge configured to adapt

2014200960 24 Feb 2014

to a breath powered dry powder inhaler. In this and other embodiments, the diketopiperazine in the formulation is *bis*-3,6-(*N*-fumaryl-4-aminobutyl)-2,5-diketopiperazine (FDKP).

**[0037]** In embodiments wherein FDKP is used in the formulation, the system can deliver the FDKP into the systemic circulation at a  $T_{max}$  of less than 1 hour. In some embodiments, the  $T_{max}$  for FDKP can be less than 15 or 30 minutes after administration of the FDKP in a single inhalation. In this and other embodiments, the AUC is measured from 0 to 2 hours, 0 to 4 hrs or 0 to  $\infty$ .

**[0038]** In another embodiment, an inhalation system, comprising: a breath-powered dry powder inhaler, and a dry powder formulation comprising a plurality of diketopiperazine particles is provided; wherein the inhalation system is operably configured to emit a powder plume comprising the diketopiperazine microparticles having a volumetric median geometric diameter ranging from 2  $\mu\text{m}$  to 8  $\mu\text{m}$  and a geometric standard deviation of less than 4  $\mu\text{m}$ .

**[0039]** In yet another embodiment, an inhalation system for pulmonary delivery of a drug, comprising: a breath-powered dry powder inhaler, and a dry powder formulation comprising a plurality of diketopiperazine particles is provided; wherein the inhalation system is operably configured to emit more than 90% of the dry powder formulation and diketopiperazine particles that dissolve and are absorbed into the blood in less than 30 minutes or less than 25 minutes yield a peak concentration of the diketopiperazine after a single inhalation of the dry powder formulation. In some embodiments, the system emits more than 95% of the powder particles in a single inhalation, which particles are absorbed into the circulation.

**[0040]** In one embodiment, an inhalation system, comprising: a dry powder inhaler; and a dry powder formulation comprising a plurality of dry powder particles comprising insulin is provided; wherein the inhalation system is configured to deliver the insulin to the pulmonary circulation of a subject, and the insulin can be measured in a subject's plasma at an exposure having a mean  $AUC_{0-2\text{ hr}}$  greater than 160  $\text{uU}\cdot\text{min}/\text{mL}$  per unit of insulin emitted in the dry powder formulation administered in a single inhalation.

**[0041]** In one embodiment, the inhalation system, the dry powder formulation is administered to a subject by oral inhalation and the formulation comprises powder particles of insulin which can deliver the insulin to the subject systemic circulation, wherein a  $C_{max}$  for insulin is measured in less than 30 minutes after administration to a patient in a single inhalation.

**[0042]** In an embodiment, there is provided an inhalation system, comprising: a breath-powered dry powder inhaler, and a powder formulation comprising a plurality of

diketopiperazine particles; wherein the inhalation system is operably configured to emit a powder plume comprising the diketopiperazine microparticles having a volumetric median geometric diameter ranging from 2  $\mu\text{m}$  to 8  $\mu\text{m}$  and a geometric standard deviation of less than 4  $\mu\text{m}$ .

**[0043]** In yet another embodiment, an inhalation system for pulmonary delivery of a drug is provided, comprising: a breath-powered dry powder inhaler, and a powder formulation comprising a plurality of diketopiperazine particles; wherein the inhalation system is operably configured to emit powder particles that are absorbed into the blood to yield a peak concentration of the drug in less than or equal to 30, 25, 20, or 15 minutes.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

**[0044]** FIG. 1 depicts an example embodiment of the inhaler used in the inhalation system, showing an isometric view of the inhaler in a closed configuration.

**[0045]** FIGs. 2, 3, 4, 5, and 6 depict side, top, bottom, proximal and distal views, respectively, of the inhaler of FIG. 1.

**[0046]** FIG. 7 depicts a perspective view of an embodiment of the inhalation system comprising the inhaler of in FIG. 1 in an open configuration showing a corresponding cartridge and a mouthpiece covering.

**[0047]** FIG. 8 depicts an isometric view of the inhaler of FIG. 6 in an open configuration with a cartridge installed in the holder in cross-section through the mid-longitudinal axis with a cartridge installed in the cartridge holder and in a containment configuration, and the closed configuration of the inhaler and in dosing configuration of the cartridge FIG. 9.

**[0048]** FIG. 10 illustrates a perspective view of an alternate embodiment of a dry powder inhalation system, the inhaler shown in an opened configuration, illustrating the type and orientation of a corresponding cartridge that can be installed in the inhaler.

**[0049]** FIG. 11 depicts an isometric view of the dry powder inhaler of FIG.10 in an open configuration.

**[0050]** FIG. 12 illustrates an exploded view of the inhaler embodiment of FIG 48 showing the inhaler component parts.

**[0051]** FIG. 13 illustrates a perspective view of the inhaler in FIG. 10 in the open configuration and showing a cartridge installed in the inhaler.

**[0052]** FIG. 14 illustrates a mid-longitudinal section of the inhaler depicted in FIG. 12 showing the cartridge container in the containment configuration and in contact with the sled and the gear mechanism in contact with the sled.

- [0053]** FIG. 15 illustrates a perspective view of the inhaler in FIG. 10 in the closed configuration and with a cartridge in the holder.
- [0054]** FIG. 16 illustrates a mid-longitudinal section of the inhaler depicted in FIG. 53 showing the cartridge container in the dosing configuration and the air flow pathway established through the container.
- [0055]** FIG. 17 illustrates a perspective view of a cartridge embodiment for use with the inhaler of FIG. 1 and depicting the cartridge in a containment configuration.
- [0056]** FIG. 18 illustrates a top view of the cartridge embodiment of FIG. 17, showing the component structures of the cartridge top surface.
- [0057]** FIG. 19 illustrates a bottom view of the cartridge embodiment of FIG. 17, showing the component structures of the cartridge undersurface.
- [0058]** FIG. 20 illustrates a perspective view of a cartridge embodiment of FIG. 17 in mid-longitudinal cross-section and in a containment configuration.
- [0059]** FIG. 21 illustrates a perspective view of a cartridge embodiment of FIG. 17 in a mid-longitudinal cross-section and in a dosing configuration.
- [0060]** FIG. 22 depicts a perspective view of an alternate embodiment of a cartridge in a containment configuration.
- [0061]** FIG. 23 through 27 depict the cartridge embodiment shown in FIG. 22 in a top, bottom, proximal, distal and side views, respectively.
- [0062]** FIG. 28 depicts a perspective view of the cartridge embodiment shown in FIG. 22 in a dosing configuration.
- [0063]** FIGs. 29 and 30 are cross-sections through the longitudinal axis of the cartridge embodiment of FIGs. 22 and 28, respectively.
- [0064]** FIG. 31 is a schematic representation of the movement of flow within the powder containment area of a dry powder inhaler as indicated by the arrows.
- [0065]** FIG. 32 is a schematic representation of an embodiment of a dry powder inhaler showing the flow pathways and direction of flow through the inhaler as indicated by the arrows.
- [0066]** FIG. 33 illustrates a graph of measurements of flow and pressure relationship based on the Bernoulli principle for an exemplary embodiment of the resistance to flow of an inhaler.

2014200960 24 Feb 2014

[0067] FIG. 34 depicts the particle size distribution obtained with a laser diffraction apparatus using an inhaler and cartridge containing a dry powder formulation for inhalation comprising insulin and fumaryl diketopiperazine particles.

[0068] FIG. 35 depicts graphic representations of data obtained from the average of all tests performed for an example inhalation system (DPI 2) and MEDTONE® (MTC), showing the cumulative geometric particle size distribution of particles emitted from the inhalation systems from different cartridge powder contents.

[0069] FIG. 36 depict graphs of inhalation recordings with an inhalation monitoring system and performed by a subject with an exemplary inhalation system without (curve A) and with (curve B) a powder formulation.

[0070] FIG. 37 is a graph of the concentration of FDKP in plasma from samples taken from the same subject as in FIG 36 for 6 hours after inhalation of a dry powder formulation containing FDKP microparticles.

[0071] FIG. 38 is a graph of insulin concentrations over time by dose group.

[0072] FIG. 39 is a graph of FDKP concentrations over time by dose group.

[0073] FIG. 40 is a graph of glucose excursions for each individual in the Study.

#### DETAILED DESCRIPTION

[0074] In embodiments disclosed herein, there is disclosed a dry powder inhaler, a cartridge for a dry powder inhaler and an inhalation system for delivering pharmaceutical medicaments to a patient via pulmonary inhalation. In one embodiment, the inhalation system comprises a breath-powered dry powder inhaler, and a cartridge containing a pharmaceutical formulation comprising a pharmaceutically active substance or active ingredient and a pharmaceutically acceptable carrier. The dry powder inhaler is provided in various shapes and sizes, and can be reusable or for single use, easy to use, is inexpensive to manufacture and can be produced in high volumes in simple steps using plastics or other acceptable materials. In addition to complete systems, inhalers, filled cartridges and empty cartridges constitute further embodiments disclosed herein. The present inhalation system can be designed to be used with any type of dry powder. In one embodiment, the dry powder is a relatively cohesive powder which requires optimal deagglomeration condition. In one embodiment, the inhalation system provides a re-useable, miniature breath-powered inhaler in combination with single-use cartridges containing pre-metered doses of a dry powder formulation.

2014200960 24 Feb 2014

**[0075]** Methods for the effective and consistent delivery of a pharmaceutical formulation to the systemic circulation are also disclosed.

**[0076]** As used herein the term “a unit dose inhaler” refers to an inhaler that is adapted to receive a single container a dry powder formulation and delivers a single dose of a dry powder formulation by inhalation from container to a user. It should be understood that in some instance multiple unit doses will be required to provide a user with a specified dosage.

**[0077]** As used herein the term “a multiple dose inhaler” refers to an inhaler having a plurality of containers, each container comprising a pre-metered dose of a dry powder medicament and the inhaler delivers a single dose of a medicament powder by inhalation at any one time.

**[0078]** As used herein a “container” is an enclosure configured to hold or contain a dry powder formulation, a powder containing enclosure, and can be a structure with or without a lid.

**[0079]** As used herein a “powder mass” is referred to an agglomeration of powder particles or agglomerate having irregular geometries such as width, diameter, and length.

**[0080]** As used herein, the term “microparticle” refers to a particle with a diameter of about 0.5 to about 1000  $\mu\text{m}$ , irrespective of the precise exterior or interior structure. However four pulmonary delivery microparticles that are less than 10  $\mu\text{m}$  are generally desired, especially those with mean particles sizes of less than about 5.8  $\mu\text{m}$  in diameter.

**[0081]** As used herein a “unit dose” refers to a pre-metered dry powder formulation for inhalation. Alternatively, a unit dose can be a single container having multiple doses of formulation that can be delivered by inhalation as metered single amounts. A unit dose cartridge/container contains a single dose. Alternatively it can comprise multiple individually accessible compartments, each containing a unit dose.

**[0082]** As used herein, the term “about” is used to indicate that a value includes the standard deviation of error for the device or method being employed to determine the value.

**[0083]** The present devices can be manufactured by several methods, however, in one embodiment, the inhalers and cartridges are made, for example, by injection molding techniques, thermoforming, using various types of plastic materials, including, polypropylene, cyclicolephin co-polymer, nylon, and other compatible polymers and the like. In certain embodiments, the dry powder inhaler can be assembled using top-down assembly of individual component parts. In some embodiments, the inhalers are provided in compact sizes, such as from about 1 inch to about 5 inches in dimension, and generally, the width and height are less than the length of the device. In certain embodiments the inhaler is

2014200960 24 Feb 2014

provided in various shapes including, relatively rectangular bodies, cylindrical, oval, tubular, squares, oblongs, and circular forms.

**[0084]** In embodiments described and exemplified herewith, the inhalation system comprising inhaler, cartridge and a dry powder formulation, the inhalers are configured with the cartridge to effectively fluidize, deagglomerate or aerosolize a dry powder formulation by using at least one relatively rigid flow conduit pathway for allowing a gas such as air to enter the inhaler. For example, the inhaler is provided with a first air/gas pathway for entering and exiting a cartridge containing the dry powder, and a second air pathway which can merge with the first air flow pathway exiting the cartridge. The flow conduits, for example, can have various shapes and sizes depending on the inhaler configuration.

**[0085]** In embodiments exemplified herewith, each inhaler can be used with a suitable cartridge. However, the inhalation system can perform more efficiently when inhaler and cartridge are designed to correspond to one another. For example, the cartridge mounting area of an inhaler can be designed to house only a specific cartridge and therefore, structural configurations of the openings of cartridge and inhaler match or fit one another, for example, as keying areas or surfaces which can aid as safety parameter for users. Examples of a corresponding inhaler and cartridge follows herewith as inhaler **302** which can be used with cartridge **170** and inhaler **900** which can be used with cartridge **150**. These inhalers and cartridges have been disclosed in U.S. Patent Applications Serial Nos. 12/484,125; 12/484,129, and 12/484,137, which disclosures are incorporated by reference herein in their entirety where appropriate for teachings of additional or alternative details, features, and/or technical background.

**[0086]** An embodiment of a dry powder inhaler is exemplified in FIGs. 1 - 9. In this embodiment, the dry powder inhaler has two configurations, *i.e.*, a closed configuration is illustrated in FIGs. 1 through 6 and 9, and an open configuration is illustrated in FIGs. 7 and 8. The dry powder inhaler **302** in the open configuration permits installation or removal of a cartridge containing a medicament for inhalation. FIGs. 1-6 depict inhaler **302** in a closed configuration from various views and having a relatively rectangular body comprising a housing **320**, mouthpiece **330** superiorly to the body and extending outwardly from the body. A portion of mouthpiece **330** tapers towards the end for contacting a user and has an opening **335**. Inhaler **302** also comprises a gear mechanism **363**, and a sled. Inhaler **302** can be manufactured using, for example, four parts in a top down assembly manner. Mouthpiece **330** further comprises air conduit **340** configured to run along the longitudinal axis of the inhaler and has an oral placement portion **312**, air inlet **310** and air outlet **335** configured to have its surface angular or beveled relative to the longitudinal axis of the air

2014200960 24 Feb 2014

conduit, and cartridge port opening **355** which is in fluid communication with housing **320** and/or a cartridge installed in housing **320** for allowing airflow to enter air conduit **340** from the housing or from a cartridge installed in the inhaler in use. FIG. 1 illustrates inhaler **302** in isometric view in a closed position having a more slender body **305** than inhaler **300** formed by housing **320** and cover portion **308** of mouthpiece **330**, which extends over and engages housing **320** by a locking mechanism **312**, for example, a protrusion. FIGs. 2-6 depict side, top, bottom, proximal and distal views, respectively, of the inhaler of FIG. 1. As shown in the figures, inhaler **302** comprises mouthpiece **330** having an oral placement section **312**, an extended portion configured as a cover **308** that can attach to housing **320** at at least one location as shown in FIG. 7. Mouthpiece **330** can pivot to open from a proximal position from a user's hands in an angular direction by hinge mechanism **363**. In this embodiment, inhaler **302** is configured also to have gear mechanism **363** as illustrated in FIG. 8 integrated within the hinge for opening the inhaler or mouthpiece **330** relative to housing **320**.

**[0087]** Gear mechanism or rack **319** which is part of sled **317** and pinion **363** are configured with the mouthpiece as part of the hinge mechanism to engage housing **320**, which housing can also be configured to house sled **317**. In this embodiment, sled **317** is configured as a separate part and has a portion configured as a rack which engages the gearwheel configured on the hinge mechanism. Hinge mechanism **363** allows movement of mouthpiece **330** to an open or cartridge loading configuration, and close configuration or position of inhaler **302** in an angular direction. Gear mechanism **363** in inhalers **300**, **302** can actuate the sled to allow concurrent movement of sled **317** within housing **320** when the inhaler is effectuated to open and close by movement of mouthpiece **330**, which sled **317** is integrally configured with rack **319** as part of gear mechanism **363**. In use with a cartridge, the inhaler's gear mechanism **363** can reconfigure a cartridge by movement of sled **317** during closing of the inhaler, from a cartridge containment configuration after a cartridge is installed on the inhaler housing or mounting area to a dosing configuration when the inhaler is closed. Movement of the mouthpiece **330** to an open inhaler configuration after inhalation with a cartridge **170**, or to a disposable configuration after a subject has effectuated dosing of a dry powder formulation. In the embodiment illustrated herein, the hinge and gear mechanism are provided at the distal end of the inhaler, however, other configurations can be provided so that the inhaler opens and closes to load or unload a cartridge as a clam-like configuration.

**[0088]** As shown in FIG.1 and in use, airflow enters the inhaler through air inlet **310** and simultaneously into air conduit **340** which passes cartridge **170** through air inlet **355**. In one example embodiment, the internal volume of mouthpiece **330** air conduit **340** extending from

inlet port **355** to outlet port **335** is greater than about 0.2 cm<sup>3</sup>. In other example embodiments, the internal volume is about 0.3 cm<sup>3</sup>, or about 0.3 cm<sup>3</sup>, or about 0.4 cm<sup>3</sup> or about 0.5 cm<sup>3</sup>. In another example embodiment, this internal volume of the mouthpiece is greater than 0.2 cm<sup>3</sup> is the internal volume of the mouthpiece **330**. In an example embodiment, the internal volume of mouthpiece ranges from 0.2 to 6.5 cm<sup>3</sup>. A powder contained within cartridge container 175 is fluidized or entrained into the airflow entering the cartridge through tumbling of the powder content. The fluidized powder then gradually exits through dispensing port 173, 127 and into the mouthpiece air conduit 340 and further deagglomerated and diluted with the airflow entering at air inlet 310, prior to exiting outlet port 335.

**[0089]** In one embodiment, housing **320** comprises one or more component parts, for example, a top portion **316** and a bottom portion **318**. The top and bottom portions are configured to adapt to one another in a tight seal, forming an enclosure which houses sled **317** and the hinge and/or gear mechanisms **363**. Housing **320** is also configured to have one or more openings **309** to allow air flow into the interior of the housing, a locking mechanism **313**, such as protrusions or snap rings to engage and secure mouthpiece cover portion **308** in the closed position of inhaler **302**. Housing **320** is also configured to have a cartridge holder or cartridge mounting area **315** which is configured to correspond to the type of cartridge to be used with the inhaler. In this embodiment, the cartridge placement area or holder is an opening in the top portion of housing **320** which opening also allows the cartridge bottom portion or container to lie on sled **317** once a cartridge is installed in inhaler **302**. The housing can further comprise grasping areas **304**, **307** configured to aid a user of the inhaler to firmly or securely grip the inhaler to open it to load or unload a cartridge. Housing **320** can further comprise flanges configured to define an air channel or conduit, for example, two parallel flanges **303** which are also configured to direct air flow into the inhaler air inlet **310** and into a cartridge air inlet of the cartridge air conduit positioned in the inhaler. Flanges **310** are also configured to prevent a user from obstructing inlet port **310** of inhaler **302**.

**[0090]** FIG. 7 depicts an isometric view of the inhaler of FIG. 1 in an open configuration with mouthpiece covering, for example, cap **342** and cartridge **170** which are configured to correspond to the cartridge mounting area and allow a cartridge to be installed in cartridge holder **315** for use. In one embodiment, reconfiguration of a cartridge from a containment position, as provided after manufacturing, can be effectuated once the cartridge is installed in cartridge holder **315**, which is configured within housing **320** and to adapt to the inhaler so that the cartridge has the proper orientation in the inhaler and can only be inserted or

installed in only one manner or orientation. For example, cartridge **170** can be configured with locking mechanism **301** that matches a locking mechanism configured in the inhaler housing, for example, the inhaler mounting area, or holder can comprise a beveled edge **301** which would correspond to a beveled edge **180** on the cartridge of, for example, cartridge **170** to be installed in the inhaler. In this embodiment, the beveled edges form the locking mechanism which prevents the cartridge from popping out of holder **315** during movement of sled **317**.

**[0091]** In one particular embodiment illustrated in FIGs. 8 and 9, the cartridge lid is configured with a beveled edge so that it remains secure in the housing mounting area in use, which mounting area has matching beveled edges. FIGs. 8 and 9 also show rack mechanism **319** configured with sled **317** to effectuate movement of a cartridge container **175** of cartridge **170** slideably under the cartridge top to align the container under the cartridge top undersurface configured to have dispensing port(s) in a closed inhaler configuration or cartridge dispensing or dosing position or configuration when inhaler **302** is ready for dosing a user. In the dosing configuration, an air inlet port forms by the border of the cartridge top and the rim of the container, since the undersurface of the cartridge top is raised relative to the containment undersurface. In this configuration, an air conduit is defined through the cartridge by the air inlet, the internal volume of the cartridge which is exposed to ambient air and the openings in the cartridge top or dispensing port in the cartridge top, which air conduit is in fluid communication with air conduit **340** of the mouthpiece.

**[0092]** Inhaler **302** can further include a mouthpiece cap **342** to protect the oral placement portion of the mouthpiece. FIG. 8 depicts the inhaler of FIG. 1 in cross-section through the mid-longitudinal axis with a cartridge installed in the cartridge holder and in an open configuration, and in the closed configuration FIG. 9 in a cartridge dispensing or dosing configuration.

**[0093]** FIG. 8 illustrates the position of cartridge **350** installed in holder or mounting area **315** and showing the internal compartment parts of inhaler **302** and cartridge **170** relative to one another, including boss **326** with dispensing ports **327**; gear mechanism **360**, **363** and snaps **380** which assist in maintaining the device in a closed configuration.

**[0094]** FIGs. 10 - 16 illustrate yet another embodiment of the dry powder inhaler of the inhalation system. FIG. 10 depicts inhaler **900** in an open configuration which is structurally configured similarly as inhaler **302** shown in FIGs. 1-9. Inhaler **900** comprises mouthpiece **930** and housing subassembly **920** which are attached to one another by a hinge so that mouthpiece **930** pivots relative to the housing subassembly **920**. Mouthpiece **930** further

comprises integrally formed side panels **932** wider than housing **920**, which engage with housing protrusions **905** to attain the closed configuration of inhaler **900**. Mouthpiece **930** further comprises air inlet **910**, air outlet **935**; air flow conduit **940** extending from air inlet **910** to air outlet **935** for contacting a user's lips or mouth, and aperture **955** on the floor or bottom surface which communicates with airflow conduit **940** of the inhaler. FIG. 12 illustrates inhaler **900** in an exploded view, showing the component parts of the inhaler, including the mouthpiece **930** and housing subassembly **920**. As depicted in FIG. 12, the mouthpiece is configured as a single component and further comprises a bar, cylinder or tube **911** configured with teeth or gear **913** for articulating with housing **920** so that movement of mouthpiece **930** relative to housing **920** in an angular direction attains closure of the device. An air channel **912** can be provided to the housing which can direct an air flow towards mouthpiece air inlet **910**. Air channel **912** is configured so that in use, a user's finger placed over the channel cannot limit or obstruct airflow into air conduit **940**.

**[0095]** FIG. 12 illustrates the housing subassembly **920** comprising two parts manufactured to make an enclosure and comprising a top portion having a cartridge placement or mounting area **908** and a notch **918** which is configured to define an air inlet when the inhaler is in a closed configuration. FIG. 12 illustrates housing **920** as an enclosure, further comprising two component parts for ease of manufacturing, although less or more parts can be used. The bottom portion of the housing forming has no openings and includes a tray **922** and is connected to the top portion or cover **925** to form an enclosure or housing **920**. Tray **922** is configured with notches **914** configured near its distal end which houses bar, cylinder or tube **911** in forming a hinge with mouthpiece **930**. Tray **922** also houses sled **917**. Sled **917** is configured to be movable within tray **922** and has a cartridge receiving area **921** and an arm-like structure having openings **915** for engaging the teeth or gear **913** of mouthpiece **930** so that in closing the device for use, movement of mouthpiece **930** relative to housing **920** moves the sled in a proximal direction, which results in the sled abutting a cartridge container seated on inhaler holder or mounting area **908** and can translocate the container from a containment position to a dosing position. In this embodiment, a cartridge seated in the cartridge holder **908** has the air inlet opening in a dosing configuration facing towards the proximal end of the inhaler or the user. Housing cover **925** is configured so that it can securely attach to tray **922** by having, for example, protrusions **926** extending from the bottom border as a securing mechanism. FIG. 12 illustrates inhaler **900** in the open configuration depicting the position and orientation of a cartridge **150** in a containment configuration to be installed in the mounting area of the inhaler. FIG. 13 further illustrates inhaler **900** in the open configuration with cartridge **150** seated in the cartridge holder in the containment configuration. FIG. 14 illustrates a mid-

2014200960 24 Feb 2014

longitudinal section of the inhaler in FIG. 13 showing the position of the gear **913** relative to sled **917** in the containment configuration of the cartridge container **151**, which abuts sled **917**. In this embodiment, container **151** moves relative to cartridge top **156**. Upon closing inhaler **900** (FIG. 15) and as mouthpiece **930** moves to attain a closed configuration, sled **917** pushes container **151** until the dosing configuration is attained and mouthpiece aperture **955** slides over cartridge boss **126** so that dispensing ports **127** are in communication with the mouthpiece conduit **940** and an air flow pathway is established for dosing through air inlet aperture **918**, cartridge air inlet **919** and dispensing ports **127** in air conduit **940**. As seen in FIG.16, mouthpiece **930** and therefore, air conduit **940** have a relatively tapered, hour-glass shape configuration at approximately mid to distal end. In this embodiment, sled **917** is configured so that when the inhaler is open after use, the sled cannot reconfigure a cartridge to the containment configuration. In some variations of this embodiment, it may be possible or desirable to reconfigure the cartridge depending on the powder medicament used.

**[0096]** In embodiments disclosed herein, inhaler apertures, for example, **355**, **955** can be provided with a seal, for example, crushed ribs, conformable surfaces, gaskets, and o-rings to prevent air flow leakage into the system so that the airflow only travels through the cartridge. In other embodiment, to effectuate the seal, the seal can be provided to the cartridge. The inhalers are also provided with one or more zones of deagglomeration, which are configured to minimize build-up of powder or deposition. Deagglomeration zones are provided, for example, in the cartridge, including, in the container and the dispensing ports, and at one or more locations in the air conduit of the mouthpiece.

**[0097]** Cartridge embodiments for use with the inhalers are describe above, such as cartridges **150**, **170**, illustrated, respectively, in FIGs. 10, 13, 14, 16-21, and in FIGs. 7-9, 22-30. The present cartridges are configured to form an enclosure having at least two configurations and contain a dry powder medicament in a storage, tightly sealed or contained position. In this and other embodiments, the cartridge can be reconfigured within an inhaler from a powder containment position to an inhalation or dosing configuration.

**[0098]** In certain embodiments, the cartridge comprises a lid or top and a container having one or more apertures, a containment configuration and dosing configuration, an outer surface, an inner surface defining an internal volume; and the containment configuration restricts communication to the internal volume and the dispensing configuration forms an air passage through said internal volume to allow an air flow to enter and exit the internal volume in a predetermined manner. For example, the cartridge container can be configured so that an airflow entering the cartridge air inlet is directed across the air outlets

within the internal volume to meter the medicament leaving the cartridge so that rate of discharge of a powder is controlled; and wherein airflow in the cartridge can tumble substantially perpendicular to the air outlet flow direction, mix and fluidize a powder in the internal volume prior to exiting through dispensing apertures.

**[0099]** In one embodiment, the cartridge can be coded with one or more indicators, including, label, etching, color, frostings, flanges, ridges, and the like. For example, if color is selected, color pigments of various types, which are compatible with plastics and pharmaceutical formulations or that are pharmaceutically-acceptable, can be incorporated during manufacturing of the cartridge. In this and other embodiments, the color can denote a specific active ingredient or dose strength, for example, a green lid can be indicative of 6 units of an FDKP and insulin formulation. Pharmaceutically acceptable colors can be green, blue, teal, poppy, violet, yellow, orange, etc.

**[00100]** FIGs. 17 further illustrate cartridge **150** comprising top or lid **156** and container **151** defining an interior space or volume. FIGs. 18 further exemplifies the cartridge top **156** having opposing ends and comprising recess area **154** and boss **126** at opposing ends of a longitudinal axis X, and relatively rectangular set of panels **152** along the sides and in the longitudinal axis X, which are integrally configured and attached to top **156** at their ends. The border **158** of cartridge top **156** extends downwardly and is continuous with panels **152**. Panels **152** extend downwardly from either side of top **156** in the longitudinal axis X and are separated from the area of boss **126** and recess area **154** by a longitudinal space or slit **157**. FIGs. 17-21 also show each panel **152** further comprising a flange **153** structurally configured to engage with projections or wings **166** of container **151**, support container **151** and allow container **151** to be movable from a containment position under recess area **154** to a dosing position under area of boss **126**. Panels **152** are structurally configured with a stop **132** at each end to prevent container **151** from moving beyond their end where they are attached to border **158**. In this embodiment, container **151** or lid **156** can be movable, for example, by translational movement upon top **156**, or top **156** can be movable relative to the container **151**. In one embodiment, container **151** can be movable by sliding on flanges **153** on lid **156** when lid or top **156** is stationary, or lid **156** can be movable by sliding on a stationary container **151** depending on the inhaler configuration. Border **158** near the boss **126** has a recess area which forms part of the perimeter of inlet port **119** in the dosing configuration of the cartridge.

**[00101]** FIG. 19 illustrates a bottom view of cartridge **150** showing the relationship of the structures in a containment configuration, such as container **151**, dispensing ports **127**, panels **152**, flanges **153** and area under the boss **126** or undersurface **168** which is relatively

hollow or recessed. FIG. 20 illustrates a cross-section through the mid-longitudinal axis X of cartridge **150** in a containment configuration and showing container **151** in tight contact with lid **156** at recess area **154** and supported by flanges **153**. The undersurface of the boss **126** is hollow and can be seen relatively at a higher position than the top border of container **151**. FIG. 21 illustrates cartridge **150** in a dosing configuration wherein the upper border of container **151** and panel **158** under the area of boss **126** form an inlet port **119** which allows flow entry into the interior of cartridge **151**.

**[00102]** In another embodiment, a translational cartridge **170** is illustrated in FIGs. 22-30, which is an alternate embodiment of cartridge **150** and can be used with, for example, inhaler **302** depicted in FIGs. 1 -9. FIG. 22 depicts cartridge **170** comprising an enclosure comprising a top or lid **172** and a container **175** defining an interior space, wherein the cartridge is shown in a containment configuration. In this cartridge configuration, the cartridge top **172** is configured to form a seal with container **175** and container or lid is movable relative to one another. Cartridge **170** can be configured from a containment position (FIGs. 22 and 29) to a dosing position (FIGs. 24-28 and 30) and to a disposable position (not shown), for example, in the middle of the cartridge, to indicate that the cartridge has been used. FIG. 22 also illustrates the various features of cartridge **170**, wherein top **172** comprises side panels **171** configured to partially cover the exterior of the container. Each side panel **172** comprises a flange **177** at its lower edge which forms a track to support wing-like structures of container **175**, which allows movement of container **175** along the lower border of top **172**. The cartridge top **172** further comprises an exterior relatively flat surface at one end, a relatively rectangular boss **174** having an opening or dispensing port **173**, and a concave or recess area configured internally to maintain the contents of container **175** in a tight seal. In one embodiment, the dispensing port can be configured to have various sizes, for example, the width and length of the opening can be from about 0.025 cm to about 0.25 cm in width and from about 0.125 cm to about 0.65 cm in length at its entry within the interior of the cartridge. In one embodiment, the dispensing port entry measures approximately 0.06 cm in width to 0.3 cm in length. In certain embodiments, cartridge top **172** can comprise various shapes which can include grasping surfaces, for example, tabs **176**, **179** and other configurations to orient the cartridge in the right orientation for proper placement in the holder, and a securing mechanism, for example, a chamfered or beveled edge **180** to adapt securely to a corresponding inhaler. The flanges, external geometry of the boss, tabs, and various other shapes can constitute keying surfaces that can indicate, facilitate, and/or necessitate proper placement of the cartridge in the inhaler. Additionally, these structures can be varied from one inhaler-cartridge pairing system to another in order to correlate a particular medicament or dosage provided by the cartridge with a particular

2014200960 24 Feb 2014

inhaler. In such manner, a cartridge intended for an inhaler associated with a first medicament or dosage can be prevented from being placed into or operated with a similar inhaler associated with a second medicament or dosage.

**[00103]** FIG. 23 is a top view of exemplifying the general shape of a cartridge top **172** with boss **174**, dispensing port **173**, recess area **178** and tabs **176** and **179**. FIG. 24 is a bottom view of cartridge **170** showing container **175** in a dosing position being supported by its wing-like projections **182** by each flange **177** from top **172**. FIG. 25 depicts cartridge **170** in a dosing configuration further comprising an air inlet **181** formed by a notch on the cartridge top **172** and the container **175** upper border. In this configuration, air inlet **181** is in communication with the interior of the cartridge and forms an air conduit with dispensing port **173**. In use, the cartridge air inlet **181** is configured to direct airflow entering the cartridge interior at the dispensing port **173**. FIG. 26 depicts the cartridge **170** from the opposite end of the dosing configuration or back view of FIG. 25.

**[00104]** FIG. 27 illustrates a side view of cartridge **150**, showing the relationship of the structures in a dosing configuration, such as container **175**, boss **174**, side panels **172**, and tab **176**. FIG. 28 illustrates a cartridge **170** in a dosing configuration for use and comprising a container **175** and a top **172** having a relatively rectangular air inlet **181** and a relatively rectangular dispensing port **173** piercing through a boss **174** which is relatively centrally located on the cartridge top **172** upper surface. Boss **174** is configured to fit into an aperture within a wall of a mouthpiece of an inhaler. FIGs. 29 and 30 illustrate cross-sections through the mid-longitudinal axis X of cartridge **170** in a containment configuration and dosing configuration, respectively, showing container **175** in contact with the lid **172** undersurface of the recess area **178** and supported by flanges **177** which form tracks for the container to slide from one position to another. As shown in FIG. 29, in the containment configuration, container **175** forms a seal with the undersurface of the cartridge top **172** at recess area **178**. FIG. 30 depicts the cartridge **170** in the dosing configuration wherein the container is at opposing end of the recess area **181** and the container **175** and cartridge top form an air inlet **181** which allows ambient air to enter cartridge **170** as well as to form an air conduit with dispensing port **173** and the interior of container **175**. In this embodiment, the cartridge top undersurface wherein the dosing position is attained is relatively flat and container **175** interior surface is configured to have somewhat of a U-shape. The boss **174** is configured to slightly protrude above the top surface of cartridge top **172**.

**[00105]** In other embodiments of the cartridge, the cartridge can be adapted to the dry powder inhalers which are suitable for use with an inhaler with a rotatable mechanism for moving the inhaler or cartridge from a containment configuration to a dosing position,

wherein the cartridge top is movable relative to the container, or for moving the container relative to the top in achieving alignment of the dispensing ports with the container to a dosing position, or moving either the container or the top to the containment configuration.

**[00106]** In embodiments described herein, cartridges can be configured to deliver a single unit, pre-metered dose of a dry powder medicament in various amounts depending on the dry powder formulation used. Cartridge examples such as cartridge **150**, **170** can be structurally configured to contain a dose of, for example, from 0.1 mg to about 50 mg of a dry powder formulation. Thus the size and shape of the container can vary depending on the size of the inhaler and the amount or mass of powder medicament to be delivered. For example, the container can have a relatively cylindrical shape with two opposing sides relatively flat and having an approximate distance between of from about 0.4 cm to about 2.0 cm. To optimize the inhaler performance, the height of the inside of the cartridge along the Y axis may vary depending on the amount of powder that is intended to be contained within the chamber. For example, a fill of 5 mg to 15 mg of powder may optimally require a height of from about 0.6 cm to about 1.2 cm.

**[00107]** In an embodiment, a medicament cartridge for a dry powder inhaler is provided, comprising: an enclosure configured to hold a medicament; at least one inlet port to allow flow into the enclosure, and at least one dispensing port to allow flow out of the enclosure; the at least one inlet port is configured to direct at least a portion of the flow entering the at least one inlet port at the at least one dispensing port within the enclosure in response to a pressure differential. In one embodiment, the inhaler cartridge is formed from a high density polyethylene plastic. The cartridge has a container which has an internal surface defining an internal volume and comprising a bottom and side walls contiguous with one another, and having one or more openings. The can have a cup-like structure and has one opening with a rim and it is formed by a cartridge top and a container bottom which are configurable to define one or more inlet ports and one or more dispensing ports. The cartridge top and container bottom are configurable to a containment position, and a dispensing or dosing position.

**[00108]** In embodiments described herein, the dry powder inhaler and cartridge form an inhalation system which can be structurally configured to effectuate a tunable or modular airflow resistance, as it can be effectuated by varying the cross-sectional area at any section of the airflow conduits of the system. In one embodiment, the dry powder inhaler system can have an airflow resistance value of from about 0.065 to about 0.200 ( $\sqrt{\text{kPa}}$ )/liter per minute. In other embodiments, a check valve may be employed to prevent air flow through

the inhaler until a desired pressure drop, such as 4 kPa has been achieved, at which point the desired resistance reaches a value within the range given herewith.

**[00109]** In the embodiments disclosed herein, the dry powder inhaler system is configured to have a predetermined flow balance distribution in use, having a first flow pathway through the cartridge and second flow pathway through, for example, the mouthpiece air conduit. FIG. 31 and FIG. 32 depict a schematic representation of air conduits established by the cartridge and inhaler structural configurations which direct the balance of flow distribution. FIG. 31 depicts the general direction of flow within a cartridge in the dispensing or dosing position of a dry powder inhaler as shown by the arrows. FIG. 32 illustrates the movement of flow of an embodiment of a dry powder inhaler showing the flow pathways of the inhaler in the dosing position as indicated by the arrows.

**[00110]** The balance of mass flow within an inhaler is approximately 20% to 70% of the volume going through the cartridge flow pathway, and about 30% to 90% through the beginning portion of the mouthpiece conduit. In this embodiment, the airflow distribution through the cartridge mixes the medicament in a tumbling manner to fluidize or aerosolize the dry powder medicament in the cartridge container. Airflow fluidizing the powder within the container then lifts the powder and gradually lets the powder particles exit the cartridge container through the dispensing ports, then shear from the airflow entering the mouthpiece conduit converges with the airflow containing medicament emanating from the cartridge container. Predetermined or metered exiting airflow from the cartridge converge with bypass airflow entering the air conduit of the mouthpiece to further dilute and deagglomerate the powder medicament prior to exiting the mouthpiece outlet port and entering the patient.

**[00111]** In yet another embodiment, an inhalation system for delivering a dry powder formulation to a patient is provided, comprising an inhaler comprising a container mounting area configured to receive a container, and a mouthpiece having at least two inlet apertures and at least one exit aperture; wherein one inlet aperture of the at least two inlet apertures is in fluid communication with the container area, and one of the at least two inlet apertures is in fluid communication with the at least one exit aperture via a flow path configured to bypass the container area to deliver the dry powder formulation to the patient; wherein the flow conduit configured to bypass the container area delivers 30% to 90% of the total flow going through the inhaler during an inhalation.

**[00112]** In another embodiment, an inhalation system for delivering a dry powder formulation to a patient is also provided, comprising a dry powder inhaler comprising a container region and a container; said dry powder inhaler and container combined are configured to have rigid flow conduits in a dosing configuration and a plurality of structural

regions that provide a mechanism for powder deagglomeration of the inhalation system in use; wherein at least one of the plurality of mechanisms for deagglomeration is an agglomerate size exclusion aperture in the container region having a smallest dimension between 0.25 mm and 3 mm.

**[00113]** In an alternate embodiment, an inhalation system for delivering a dry powder formulation to a patient is provided, comprising a dry powder inhaler comprising a mouthpiece and a container; said dry powder inhaler and container combined are configured to have rigid flow conduits in a dosing configuration and a plurality of structural regions that provide a mechanism for powder deagglomeration of the inhalation system in use; wherein at least one of the plurality of mechanisms for deagglomeration is an air conduit configured in the mouthpiece which directs flow at an exit aperture in fluid communication with the container. In particular embodiments, the inhalation system includes a container further comprising a mechanisms for cohesive powder deagglomeration which comprises a cup-like structure configured to guide a flow entering the container to rotate, re-circulating in the internal volume of the cup-like structure and lifting up a powder medicament so as to entrain the powder agglomerates in the flow until the powder mass is small enough prior to exiting the container. In this embodiment, the cup-like structure has one or more radii configured to prevent flow stagnation.

**[00114]** In embodiments describe herein, the cartridge is structurally configured having the inlet opening in close proximity to the dispensing ports in a horizontal and vertical axis. For example, the proximity of the inlet to the dispensing ports can be immediately next to the air inlet to about within one cartridge width, although this relationship can vary depending on the flow rate, the physical and chemical properties of the powder. Because of this proximity, flow from the inlet crosses the opening to the dispensing ports within the cartridge creating a flow configuration that inhibits fluidized powder or powder entrained within the airflow, from exiting the cartridge. In this manner, during an inhalation maneuver, flow entering the cartridge container can effectuate tumbling of the dry powder formulation in the cartridge container, and fluidized powder approaching the exit or dispensing ports of a cartridge can be impeded by flow entering the inlet port of the cartridge, thereby, flow within the cartridge can be restricted from exiting the cartridge container. Due to differences in inertia, density, velocity, charge interaction, position of the flow, only certain particles can navigate the path needed to exit the dispensing ports. Particles that do not pass through the exit port must continue to tumble until they possess the proper mass, charge, velocity or position. This mechanism, in effect, can meter the amount of medicament leaving the cartridge and can contribute to deagglomeration of powder. To further help meter the exiting fluidized powder,

2014200960 24 Feb 2014

the size and number of dispensing ports can be varied. In one embodiment, two dispensing ports are used, configured to be circular in shape, each 0.10 cm in diameter and positioned near the inlet aperture about middle center line of the container to about 0.2 cm from the centerline towards the air inlet port. Other embodiments can, for example, have dispensing ports of various shapes including rectangular wherein the cross-sectional area of the one or more dispensing ports ranges from 0.05 cm<sup>2</sup> to about 0.25 cm<sup>2</sup>. In some embodiments, the sizes ranging of the dispensing ports can be from about 0.05 cm to about 0.25 cm in diameter. Other shapes and cross-sectional areas can be employed as long as they are similar in cross-sectional area to the values given herewith. Alternatively, for more cohesive powders larger cross sectional area of the dispensing port can be provided. In certain embodiments, the cross sectional area of the dispensing port can be increased depending on the size of the agglomerates relative to the minimum opening dimension of the port or ports so that the length relative to the width of the port remains large. In one embodiment, the intake aperture is wider in dimension than the width of the dispensing port or ports. In embodiments wherein the intake aperture is rectangular, the air inlet aperture comprises a width ranging from about 0.2 cm to about the maximal width of the cartridge. In one embodiment the height is about 0.15 cm, and width of about 0.40 cm. In alternate embodiments, the container can have a height of from about 0.05 cm to about 0.40 cm. In particular embodiments, the container can be from about 0.4 cm to about 1.2 cm in width, and from about 0.6 cm to about 1.2 cm in height. In an embodiment, the container comprise one or more dispensing ports having and each of the ports can have a diameter between 0.012 cm to about 0.25 cm.

**[00115]** In particular inhalation systems, a cartridge for a dry powder inhaler, comprising a cartridge top and a container is provided, wherein the cartridge top is configured relatively flat and having one or more openings and one or more flanges having tracks configured to engage the container; said container having an inner surface defining an internal volume and is moveably attached to the tracks on the one or more flanges on the cartridge top and configurable to attain a containment position and a dispensing or dosing position by moving along the tracks of the one or more flanges.

**[00116]** In another embodiment, the inhalation system comprises an enclosure having one or more exit ports configured to exclude a powder mass of a dry powder composition having a smallest dimension greater than 0.5 millimeters and less than 3 mm. In one embodiment, a cartridge for a dry powder inhaler, comprising an enclosure having two or more rigid parts; the cartridge having one or more inlet ports and one or more dispensing ports, wherein one or more inlet ports have a total cross-sectional area which is larger than

the total cross-sectional area of the dispensing ports, including wherein the total cross-sectional area of one or more dispensing ports ranges from 0.05 cm<sup>2</sup> to about 0.25 cm<sup>2</sup>.

**[00117]** In one embodiment, a method for deagglomerating and dispersing a dry powder formulation for inhalation, comprising the steps of: generating an airflow in a dry powder inhaler comprising a mouthpiece and a container having at least one inlet port and at least one dispensing port and containing a dry powder formulation; said container forming an air conduit between the at least one inlet port and the at least one dispensing port and said inlet port directs a portion of the airflow entering said container to the at least one dispensing port; allowing airflow to tumble powder within the container so as to lift and mix the dry powder medicament in the container to form an airflow medicament mixture; and accelerating the airflow exiting the container through the at least one dispensing port. In this embodiment, the powder medicament that passes through the dispensing ports can immediately accelerate due to reduction in cross-sectional area of the exit ports relative to the inlet port. This change in velocity may further deagglomerate the fluidized and aerosolized powder medicament during inhalation. Additionally, because of the inertia of the particles or groups of particles in the fluidized medicament, the velocity of the particles leaving the dispensing ports is not the same. The faster moving air flow in the mouthpiece conduit imparts a drag or shear force on each particle or group of particles of the slower moving fluidized powder leaving the exit or dispensing port or ports, which can further deagglomerate the medicament.

**[00118]** The powder medicament that passes through the dispensing port or ports immediately accelerates due to reduction in cross-sectional area of the exit or dispensing ports relative to the container, which are designed to be narrower in cross-sectional area than the air inlet of the container. This change in velocity may further deagglomerate the fluidized powder medicament. Additionally, because of the inertia of the particles or groups of particles in the fluidized medicament, the velocity of the particles leaving the dispensing ports and the velocity of the flow passing the dispensing ports is not the same.

**[00119]** In embodiments described herein, powder exiting the dispensing ports can further accelerate, for example, by an imparted change in direction and/or velocity of the fluidized medicament. Directional change of fluidized powder leaving the dispensing port and entering the mouthpiece conduit can occur at an angle of approximately 0° to about 180°, for example approximately 90°, to the axis of the dispensing port. Change in flow velocity and direction may further deagglomerate the fluidized powder through the air conduits. The change in direction can be accomplished through geometric configuration changes of the air flow conduit and/or by impeding the air flow exiting the dispensing ports

2014200960 24 Feb 2014

with a secondary air flow entering the mouthpiece inlet. The fluidized powder in the mouthpiece conduit expands and decelerates as it enters the oral placement portion of the mouthpiece prior to exiting due to a cross-sectional area increase in the conduit. Gas trapped within agglomerates also expands and may help to break apart the individual particles. This is a further deagglomeration mechanism of the embodiments described herein. Airflow containing medicament can enter the patient's oral cavity and be delivered effectively, for example, into the pulmonary circulation.

**[00120]** Each of the deagglomeration mechanisms described herein and part of the inhalation system represent a multi-stage approach which maximizes powder deagglomeration. Maximal deagglomeration and delivery of powder can be obtained by optimizing the effect of each individual mechanism, including, one or more acceleration/deceleration conduits, drag, or expansion of gas trapped within the agglomerates, interactions of powder properties with those of the inhaler components material properties, which are integral characteristics of the present inhaler system. In the embodiments described herein, the inhalers are provided with relatively rigid air conduits or plumbing system to maximize deagglomeration of powder medicament so that there is consistency of the powder medicament discharge from the inhaler during repeated use. Since the present inhalers are provided with conduits which are rigid or remain the same and cannot be altered, variations in the air conduit architecture resulting from puncturing films or peeling films associated with prior art inhalers using blister packs are avoided.

**[00121]** In one embodiment, there is provided a method of deagglomerating a powder formulation in a dry powder inhalation system, comprising: providing the dry powder formulation in a container having an internal volume to a dry powder inhaler; allowing a flow to enter said container which is configured to direct a flow to lift, entrain and circulate the dry powder formulation until the powder formulation comprises powder masses sufficiently small to pass through one or more dispensing apertures into a mouthpiece. In this embodiment, the method can further comprise the step of accelerating the powder masses entrained in the flow leaving the one or more dispensing apertures and entering the mouthpiece.

**[00122]** In embodiments disclosed herein, a dry powder medicament is dispensed with consistency from the inhaler in less than about 2 seconds. The present inhaler system has a high resistance value of approximately 0.065 to about 0.20 ( $\sqrt{\text{kPa}}$ )/liter per minute. Therefore, in the system comprising a cartridge, peak inhalation pressure drops applied of between 2 and 20 kPa produce resultant peak flow rates of about through the system of between 7 and 70 liters per minute. In some embodiments, the pressure differential for the inhaler cartridge system can be below 2 kPa. These flow rates result in greater than 75% of

the cartridge contents dispensed in fill masses between 1 and 30 mg of powder. In some embodiments, these performance characteristics are achieved by end users within a single inhalation maneuver to produce cartridge dispense percentage of greater than 90%. In certain embodiments, the inhaler and cartridge system are configured to provide a single dose by discharging powder from the inhaler as a continuous flow, or as one or more pulses of powder delivered to a patient. In an embodiment, an inhalation system for delivering a dry powder formulation to a patient's lung is provided, comprising a dry powder inhaler configured to have flow conduits with a total resistance to flow in a dosing configuration ranging in value from 0.065 to about 0.200 ( $\sqrt{\text{kPa}}$ )/liter per minute. In this and other embodiments, the total resistance to flow of the inhalation system is relatively constant across a pressure differential range of between 0.5 kPa and 7 kPa.

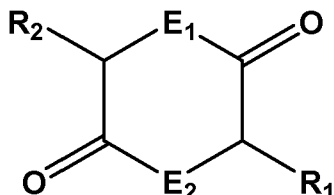
**[00123]** The structural configuration of the inhalation system allows the deagglomeration mechanism to produce respirable fractions greater than 50% and particles of less than 5.8  $\mu\text{m}$ . The inhalers can discharge greater than 85% of a powder medicament contained within a container during an inhalation maneuver. Generally, the inhalers herein depicted in FIG. 15I can discharge greater than 90% of the cartridge contents or container contents in less than 3 seconds at pressure differentials between 2 and 5 kPa with fill masses ranging up to 30 mg.

**[00124]** While the present inhalers are primarily described as breath-powered, in some embodiments, the inhaler can be provided with a source for generating the pressure differential required to deagglomerate and deliver a dry powder formulation. For example, an inhaler can be adapted to a gas powered source, such as compressed gas stored energy source, such as from a nitrogen can, which can be provided at the air inlet ports. A spacer can be provided to capture the plume so that the patient can inhale at a comfortable pace.

**[00125]** In embodiments described herewith, the inhaler can be provided as a reusable inhaler or as a single use inhaler. In alternate embodiments, a similar principle of deagglomeration can be adapted to multidose inhalers, wherein the inhaler can comprise a plurality of, for example, cartridge like structures in a single tray and a single dose can be dialed as needed. In variations of this embodiment, the multidose inhaler can be configured to provide enough doses, for example, for a day, a week or a month supply of a medication. In the multidose embodiments described herein, end-user convenience is optimized. For example, in prandial regimens, breakfast, lunch and dinner dosing is achieved with a system configured to provide dosing for a course of 7 days in a single device. Additional end-user convenience is provided by a system configured with an indicator mechanism that indicates the day and dosing, for example, day 3 (D3), lunchtime (L).

**[00126]** In one embodiment, the dry powder medicament may comprise, for example, a diketopiperazine and a pharmaceutically active ingredient. In this embodiment, the pharmaceutically active ingredient or active agent can be any type depending on the disease or condition to be treated. In another embodiment, the diketopiperazine can include, for example, symmetrical molecules and asymmetrical diketopiperazines having utility to form particles, microparticles and the like, which can be used as carrier systems for the delivery of active agents to a target site in the body. The term 'active agent' is referred to herein as the therapeutic agent, or molecule such as protein or peptide or biological molecule, to be encapsulated, associated, joined, complexed or entrapped within or adsorbed onto the diketopiperazine formulation. Any form of an active agent can be combined with a diketopiperazine. The drug delivery system can be used to deliver biologically active agents having therapeutic, prophylactic or diagnostic activities.

**[00127]** One class of drug delivery agents that has been used to produce microparticles that overcome problems in the pharmaceutical arts such as drug instability and/or poor absorption, are the 2,5-diketopiperazines. 2,5-diketopiperazines are represented by the compound of the general Formula 1 as shown below where E=N. One or both of the nitrogens can be replaced with oxygen to create the substitution analogs diketomorpholine and diketodioxane, respectively.



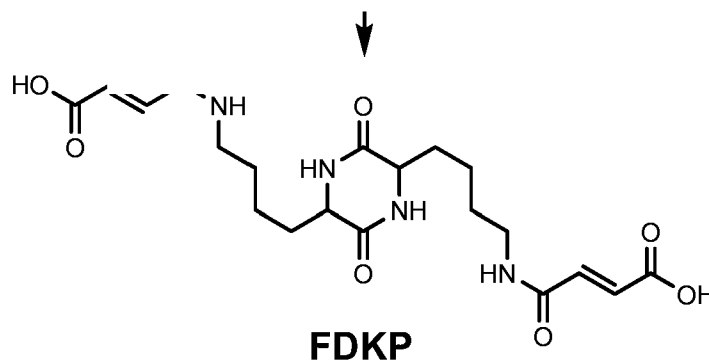
**Formula 1**

**[00128]** As used herein, "a diketopiperazine" or "a DKP" includes diketopiperazines and salts, derivatives, analogs and modifications thereof falling within the scope of the general Formula 1.

**[00129]** These 2,5 diketopiperazines have been shown to be useful in drug delivery, particularly those bearing acidic R groups (see for example U.S. Patent Nos. 5,352,461 entitled "Self Assembling Diketopiperazine Drug Delivery System;" 5,503,852 entitled "Method For Making Self-Assembling Diketopiperazine Drug Delivery System;" 6,071,497 entitled "Microparticles For Lung Delivery Comprising Diketopiperazine;" and 6,331,318 entitled "Carbon-Substituted Diketopiperazine Delivery System," each of which is incorporated herein by reference in its entirety for all that it teaches regarding diketopiperazines and diketopiperazine-mediated drug delivery). Diketopiperazines can be

formed into drug adsorbing microparticles. This combination of a drug and a diketopiperazine can impart improved drug stability and/or absorption characteristics. These microparticles can be administered by various routes of administration. As dry powders these microparticles can be delivered by inhalation to specific areas of the respiratory system, including the lung.

**[00130]** The fumaryl diketopiperazine (bis-3,6-(N-fumaryl-4-aminobutyl)-2,5-diketopiperazine; FDKP) is one preferred diketopiperazine for pulmonary applications:



**[00131]** FDKP provides a beneficial microparticle matrix because it has low solubility in acid but is readily soluble at neutral or basic pH. These properties allow FDKP to crystallize under acidic conditions and the crystals self-assemble to form particles. The particles dissolve readily under physiological conditions where the pH is neutral. In one embodiment, the microparticles disclosed herein are FDKP microparticles loaded with an active agent such as insulin.

**[00132]** FDKP is a chiral molecule having trans and cis isomers with respect to the arrangement of the substituents on the substituted carbons on the DKP ring. As described in US Provisional Patent Application No. 61/186,779, entitled "Diketopiperazine microparticles with defined isomer contents," more robust aerodynamic performance and consistency of particle morphology can be obtained by confining the isomer content to about 45-65% trans. Isomer ratio can be controlled in the synthesis and recrystallization of the molecule. Exposure to base promotes ring epimerization leading to racemization, for example during the removal of protecting groups from the terminal carboxylate groups. However increasing methanol content of the solvent in this step leads to increased trans isomer content. The trans isomer is less soluble than the cis isomers and control of temperature and solvent composition during recrystallization can be used to promote or reduce enrichment for the trans isomer in this step.

**[00133]** Microparticles having a diameter of between about 0.5 and about 10 microns can reach the lungs, successfully passing most of the natural barriers. A diameter of less

2014200960 24 Feb 2014

than about 10 microns is required to navigate the turn of the throat and a diameter of about 0.5 microns or greater is required to avoid being exhaled. DKP microparticles with a specific surface area (SSA) of between about 35 and about 67 m<sup>2</sup>/g exhibit characteristics beneficial to delivery of drugs to the lungs such as improved aerodynamic performance and improved drug adsorption.

**[00134]** As described in US Provisional Patent Application No. 61/186,773, entitled "Diketopiperazine microparticles with defined specific surface areas," the size distribution and shape of FDKP crystals are affected by the balance between the nucleation of new crystals and the growth of existing crystals. Both phenomena depend strongly on concentrations and supersaturation in solution. The characteristic size of the FDKP crystal is an indication of the relative rates of nucleation and growth. When nucleation dominates, many crystals are formed but they are relatively small because they all compete for the FDKP in solution. When growth dominates, there are fewer competing crystals and the characteristic size of the crystals is larger.

**[00135]** Crystallization depends strongly on supersaturation which, in turn, depends strongly on the concentration of the components in the feed streams. Higher supersaturation is associated with the formation of many small crystals; lower supersaturation produces fewer, larger crystals. In terms of supersaturation: 1) increasing the FDKP concentration raises the supersaturation; 2) increasing the concentration of ammonia shifts the system to higher pH, raises the equilibrium solubility and decreases the supersaturation; and 3) increasing the acetic acid concentration increases the supersaturation by shifting the endpoint to lower pH where the equilibrium solubility is lower. Decreasing the concentrations of these components induces the opposite effects.

**[00136]** Temperature affects FDKP microparticle formation through its effect on FDKP solubility and the kinetics of FDKP crystal nucleation and growth. At low temperatures, small crystals are formed with high specific surface area. Suspensions of these particles exhibit high viscosity indicating strong inter-particle attractions. A temperature range of about 12°C to about 26°C produced particles with acceptable (or better) aerodynamic performance with various inhaler systems including inhaler systems disclosed herein.

**[00137]** These present devices and systems are useful in the pulmonary delivery For powders with a wide range of characteristics. Embodiments of the invention include systems comprising an inhaler, an integral or installable unit dose cartridge, and powder of defined characteristic(s) providing an improved or optimal range of performance. For example, the devices constitute an efficient deagglomeration engine and thus can effectively deliver cohesive powders. This is distinct from the course pursued by many others who have sought

2014200960 24 Feb 2014

to develop dry powder inhalation systems based on free flowing or flow optimized particles (see for example US Patent Nos. 5,997,848 and 7,399,528, US Patent Application No. 2006/0260777; and Ferrari et al. *AAPS PharmSciTech* 2004; 5 (4) Article 60). Thus embodiments of the invention include systems of the device plus a cohesive powder.

**[00138]** Cohesiveness of a powder can be assessed according to its flowability or correlated with assessments of shape and irregularity such as rugosity. As discussed in the US Pharmacopeia USP 29, 2006 section 1174 four techniques commonly used in the pharmaceutical arts to assess powder flowability: angle of repose; compressibility (Carr's) index and Hausner ratio; flow through an orifice; and shear cell methods. For the latter two no general scales have been developed due to diversity of methodology. Flow through an orifice can be used to measure flow rate or alternatively to determine a critical diameter that allows flow. Pertinent variables are the shape and diameter of the orifice, the diameter and height of the powder bed, and the material the apparatus is made of. Shear cell devices include cylindrical, annular, and planar varieties and offer great degree of experimental control. For either of these two methods description of the equipment and methodology are crucial, but despite the lack of general scales they are successfully used to provide qualitative and relative characterizations of powder flowability.

**[00139]** Angle of repose is determined as the angle assumed by a cone-like pile of the material relative to a horizontal base upon which it has been poured. Hausner ratio is the unsettled volume divided by the tapped volume (that is the volume after tapping produces no further change in volume), or alternatively the tapped density divided by the bulk density. The compressibility index (CI) can be calculated from the Hausner ratio (HR) as

$$CI = 100 \times (1 - (1/HR)).$$

**[00140]** Despite some variation in experimental methods generally accepted scales of flow properties have been published for angle of repose, compressibility index and Hausner ratio (Carr, RL, *Chem. Eng.* 1965, 72:163-168).

Flow Character	Angle of Repose	Hausner Ratio	Compressibility Index (%)
Excellent	25-30°	1.00-1.11	≤10
Good	31-35°	1.12-1.18	11-15
Fair	36-40°	1.19-1.25	16-20
Passable	41-45°	1.26-1.34	21-25
Poor	46-55°	1.35-1.45	26-31
Very Poor	56-65°	1.46-1.59	32-27
Very, Very Poor	≥66°	≥1.60	≥38

2014200960 24 Feb 2014

**[00141]** The CEMA code provides a somewhat different characterization of angle of repose.

Angle of repose	Flowability
≤19°	Very free flowing
20-29°	Free flowing
30-39°	Average
≥40°	Sluggish

**[00142]** Powders with a flow character according to the table above that is excellent or good can be characterized in terms of cohesiveness as non- or minimally cohesive, and the powders with less flowability as cohesive and further dividing them between moderately cohesive (corresponding to fair or passable flow character) and highly cohesive (corresponding to any degree of poor flow character). In assessing angle of repose by the CEMA scale powders with an angle of repose ≥30° can be considered cohesive and those ≥40° highly cohesive. Powders in each of these ranges, or combinations thereof, constitute aspects of distinct embodiments of the invention.

**[00143]** Cohesiveness can also be correlated with rugosity, a measure of the irregularity of the particle surface. The rugosity is the ratio of the actual specific surface area of the particle to that for an equivalent sphere:

$$Rugosity = \frac{(SSA)_{particle}}{(SSA)_{sphere}}$$

**[00144]** Methods for direct measurement of rugosity, such as air permeametry, are also known in the art. Rugosity of 2 or greater has been associated with increased cohesiveness. It should be kept in mind that particle size also affects flowability so that larger particles (for example on the order of 100 microns) can have reasonable flowability despite somewhat elevated rugosity. However for particles useful for delivery into the deep lung, such as those with primary particle diameters of 1-3 microns, even modestly elevated rugosity or 2-6 may be cohesive. Highly cohesive powders can have rugosities ≥10 (see example A below).

**[00145]** Many of the examples below involve the use of dry powders comprising fumaryl diketopiperazine (bis-3,6-(N-fumaryl-4-aminobutyl)-2,5-diketopiperazine; FDKP). The component microparticles are self-assembled aggregates of crystalline plates. Powders comprised of particles with plate-like surfaces are known to have generally poor flowability, that is, they are cohesive. Indeed smooth spherical particles generally have the best

2014200960 24 Feb 2014

flowability, with flowability generally decreasing as the particles become oblong, have sharp edges, become substantially two dimensional and irregularly shaped, have irregular interlocking shapes, or are fibrous. While not wanting to be bound, it is the applicants' present understanding that the crystalline plates of the FDKP microparticles can interleave and interlock contributing to the cohesiveness (the inverse of flowability) of bulk powders comprising them and additionally making the powder more difficult to deagglomerate than less cohesive powders. Moreover factors affecting the structure of the particles can have effects on aerodynamic performance. It has been observed that as specific surface area of the particles increases past a threshold value their aerodynamic performance, measured as respirable fraction, tends to decrease. Additionally FDKP has two chiral carbon atoms in the piperazine ring, so that the N-fumaryl-4-aminobutyl arms can be in cis or trans configurations with respect to the plane of the ring. It has been observed that as the trans-cis ratio of the FDKP used in making the microparticles departs from an optimal range including the racemic mixture respirable fraction is decreased and at greater departures from the preferred range the morphology of the particles in SEM becomes visibly different. Thus embodiments of the invention include systems of the device plus DKP powders with specific surface areas within preferred ranges, and the device plus FDKP powders with trans-cis isomer ratios within preferred ranges.

**[00146]** FDKP microparticles either unmodified or containing a drug, for example insulin, constitute highly cohesive powders. FDKP microparticles have been measured to have a Hausner ratio of 1.8, a compressibility index of 47%, and an angle of repose of 40°. Insulin loaded FDKP microparticles (TECHNOSPHERE® Insulin; TI; MannKind Corporation, Valencia, CA) have been measured to have a Hausner ratio of 1.57, a compressibility index of 36%, and an angle of repose of 50°±3°. Additionally in critical orifice testing it was estimated that to establish flow under gravity an orifice diameter on the order of 2 to 3 feet (60-90 cm) would be needed (assumes a bed height of 2.5 feet; increased pressure increased the size of the diameter needed). Under similar conditions a free flowing powder would require an orifice diameter on the order of only 1-2 cm (Taylor, M.K. et al. *AAPS PharmSciTech* 1, art. 18).

**[00147]** Accordingly, in one embodiment, the present inhalation system comprises a dry powder inhaler and a container for deagglomerating cohesive powder is provided, comprising a cohesive dry powder having a Carr's index ranging from 16 to 50. In one embodiment, the dry powder formulation comprises a diketopiperazine, including, FDKP and a peptide or protein including an endocrine hormone such as insulin, GLP-1, parathyroid hormone, oxyntomodulin, and others as mentioned elsewhere in this disclosure.

2014200960 24 Feb 2014

**[00148]** Microparticles having a diameter of between about 0.5 and about 10 microns can reach the lungs, successfully passing most of the natural barriers. A diameter of less than about 10 microns is required to navigate the turn of the throat and a diameter of about 0.5 microns or greater is required to avoid being exhaled. Embodiments disclosed herein show that microparticles with a specific surface area (SSA) of between about 35 and about 67 m<sup>2</sup>/g exhibit characteristics beneficial to delivery of drugs to the lungs such as improved aerodynamic performance and improved drug adsorption.

**[00149]** Disclosed herein are also FDKP microparticles having a specific trans isomer ratio of about 45 to about 65%. In this embodiment, the microparticles provide improved flyability.

**[00150]** In one embodiment, there is also provided a system for the delivery of an inhalable dry powder comprising: a) a cohesive powder comprising a medicament, and b) an inhaler comprising an enclosure defining an internal volume for containing a powder, the enclosure comprising a gas inlet and a gas outlet wherein the inlet and the outlet are positioned so that gas flowing into the internal volume through the inlet is directed at the gas flowing toward the outlet. In an embodiment, the system is useful for deagglomerating a cohesive powder having a Carr's index of from 18 to 50. The system can also be useful for delivering a powder when the cohesive powder has an angle of repose from 30° to 55°. The cohesive powder can be characterized by a critical orifice dimension of  $\leq 3.2$  feet for funnel flow or  $\leq 2.4$  feet for mass flow, a rugosity  $> 2$ . Exemplary cohesive powder particles include particles comprising of FDKP crystals wherein the ratio of FDKP isomers in the range of 50% to 65% trans:cis.

**[00151]** In another embodiment, the inhalation system can comprise an inhaler comprising a mouthpiece and upon applying a pressure drop of  $\geq 2$  kPa across the inhaler to generate a plume of particles which is emitted from the mouthpiece wherein 50% of said emitted particles have a VMGD of  $\leq 10$  micron, wherein 50% of said emitted particles have a VMGD of  $\leq 8$  microns, or wherein 50% of said emitted particles have a VMGD of  $\leq 4$  microns.

**[00152]** In yet another embodiment, a system for the delivery of an inhalable dry powder comprising: a) a dry powder comprising particles composed of FDKP crystals wherein the ratio of FDKP isomers in the range of 50% to 65% trans:cis, and a medicament; and b) an inhaler comprising a powder containing enclosure, the chamber comprising a gas inlet and a gas outlet; and a housing in which to mount said chamber and defining two flow pathways, a first flow pathway allowing gas to enter the gas inlet of the chamber, a second flow pathway allowing gas to bypass the chamber gas inlet; wherein flow bypassing the enclosure gas

2014200960 24 Feb 2014

inlet is directed to impinge upon the flow exiting the enclosure substantially perpendicular to the gas outlet flow direction.

**[00153]** In certain embodiments, a system for the delivery of an inhalable dry powder is provided, comprising: a) a dry powder comprising particles composed of FDKP crystals wherein the microparticles have a specific surface area (SSA) of between about 35 and about 67 m<sup>2</sup>/g which exhibit characteristics beneficial to delivery of drugs to the lungs such as improved aerodynamic performance and improved drug adsorption per milligram, and a medicament; and b) an inhaler comprising a powder containing enclosure, wherein the enclosure comprises a gas inlet and a gas outlet; and a housing in which to mount said chamber and defining two flow pathways, a first flow pathway allowing gas to enter the gas inlet of the chamber, a second flow pathway allowing gas to bypass the chamber gas inlet; wherein flow bypassing the chamber gas inlet is directed to impinge upon the flow exiting the enclosure substantially perpendicular to the gas outlet flow direction.

**[00154]** A system for the delivery of an inhalable dry powder is also provided, comprising: a) a dry powder comprising a medicament, and b) an inhaler comprising a powder containing cartridge, the cartridge comprising a gas inlet and a gas outlet, and a housing in which to mount the cartridge and defining two flow pathways, a first flow pathway allowing gas to enter the gas inlet of the cartridge, a second flow pathway allowing gas to bypass the enclosure gas inlet, and a mouthpiece and upon applying a pressure drop of  $\geq 2$  kPa across the inhaler plume of particles is emitted from the mouthpiece wherein 50% of said emitted particles have a VMGD of  $\leq 10$  microns, wherein flow bypassing the cartridge gas inlet is directed to impinge upon the flow exiting the enclosure substantially perpendicular to the gas outlet flow direction.

**[00155]** Active agents for use in the compositions and methods described herein can include any pharmaceutical agent. These can include, for example, synthetic organic compounds, proteins and peptides, polysaccharides and other sugars, lipids, inorganic compound, and nucleic acid sequences, having therapeutic, prophylactic, or diagnostic activities. Peptides, proteins, and polypeptides are all chains of amino acids linked by peptide bonds.

**[00156]** Examples of active agents that can be delivered to a target or site in the body using the diketopiperazine formulations, include hormones, anticoagulants, immunomodulating agents, vaccines, cytotoxic agents, antibiotics, vasoactive agents, neuroactive agents, anaesthetics or sedatives, steroids, decongestants, antivirals, antisense, antigens, and antibodies. More particularly, these compounds include insulin, heparin (including low molecular weight heparin), calcitonin, felbamate, sumatriptan, parathyroid

2014200960 24 Feb 2014

hormone and active fragments thereof, growth hormone, erythropoietin, AZT, DDI, granulocyte macrophage colony stimulating factor (GM-CSF), lamotrigine, chorionic gonadotropin releasing factor, luteinizing releasing hormone, beta-galactosidase, exendin, vasoactive intestinal peptide, and argatroban. Antibodies and fragments thereof can include, in a non-limiting manner, anti-SSX-2<sub>41-49</sub> (synovial sarcoma, X breakpoint 2), anti-NY-ESO-1 (esophageal tumor associated antigen), anti-PRAME (preferentially expressed antigen of melanoma), anti-PSMA (prostate-specific membrane antigen), anti-Melan-A (melanoma tumor associated antigen) and anti-tyrosinase (melanoma tumor associated antigen).

**[00157]** In certain embodiments, a dry powder formulation for delivering a pharmaceutical formulation to the pulmonary circulation comprises an active ingredient or agent, including a peptide, a protein, a hormone, analogs thereof or combinations thereof, wherein the active ingredient is insulin, calcitonin, growth hormone, erythropoietin, granulocyte macrophage colony stimulating factor (GM-CSF), chorionic gonadotropin releasing factor, luteinizing releasing hormone, follicle stimulating hormone (FSH), vasoactive intestinal peptide, parathyroid hormone (including black bear PTH), parathyroid hormone related protein, glucagon-like peptide-1 (GLP-1), exendin, oxyntomodulin, peptide YY, interleukin 2-inducible tyrosine kinase, Bruton's tyrosine kinase (BTK), inositol-requiring kinase 1 (IRE1), or analogs, active fragments, PC-DAC-modified derivatives, or O-glycosylated forms thereof. In particular embodiments, the pharmaceutical composition or dry powder formulation comprises fumaryl diketopiperazine and the active ingredient is one or more selected from insulin, parathyroid hormone 1-34, GLP-1, oxyntomodulin, peptide YY, heparin and analogs thereof.

**[00158]** In one embodiment, a method of self-administering a dry powder formulation to one's lung with a dry powder inhalation system is also provided, comprising: obtaining a dry powder inhaler in a closed position and having a mouthpiece; obtaining a cartridge comprising a pre-metered dose of a dry powder formulation in a containment configuration; opening the dry powder inhaler to install the cartridge; closing the inhaler to effectuate movement of the cartridge to a dose position; placing the mouthpiece in one's mouth, and inhaling once deeply to deliver the dry powder formulation.

**[00159]** In one embodiment, a method of delivering an active ingredient comprising: a) providing dry powder inhaler containing a cartridge with a dry powder formulation comprising a diketopiperazine and the active agent; and b) delivering the active ingredient or agent to an individual in need of treatment. The dry powder inhaler system can deliver a dry powder formulation such as insulin FDKP having a respirable fraction greater than 50% and particles sizes less than 5.8  $\mu\text{m}$ .

2014200960 24 Feb 2014

**[00160]** In still yet a further embodiment, a method of treating obesity, hyperglycemia, insulin resistance, and/or diabetes is disclosed. The method comprises the administration of an inhalable dry powder composition or formulation comprising a diketopiperazine having the formula 2,5-diketo-3,6-di(4-X-aminobutyl)piperazine, wherein X is selected from the group consisting of succinyl, glutaryl, maleyl, and fumaryl. In this embodiment, the dry powder composition can comprise a diketopiperazine salt. In still yet another embodiment of the present invention, there is provided a dry powder composition or formulation, wherein the diketopiperazine is 2,5-diketo-3,6-di-(4-fumaryl-aminobutyl)piperazine, with or without a pharmaceutically acceptable carrier, or excipient.

**[00161]** In one embodiment, the inhalation system for delivering a dry powder formulation to a patient's lungs, comprises a dry powder inhaler configured to have flow conduits with a total resistance to flow in a dosing configuration ranging in value from 0.065 to about 0.200 ( $\sqrt{\text{kPa}}$ )/liter per minute.

**[00162]** In one embodiment, a dry powder inhalation kit is provided comprising a dry powder inhaler as described above, and one or more medicament cartridge comprising a dry powder formulation for treating a disorder or disease such as respiratory tract disease, diabetes and obesity. In this embodiment, the kit can comprise materials with instructions for use.

**[00163]** The improved cartridge emptying and deagglomeration capabilities of the inhalation systems described herein contribute to increased bioavailability of dry powder formulation. In particular embodiments, the dry powders are DKP containing powders. By bioavailability we refer to the exposure to either the active ingredient (e.g. insulin) or the DKP (in those embodiments related to DKP powders) resultant from delivery into a subject's systemic circulation, as commonly assessed by the area under the curve (AUC) of a concentration versus time plot. By normalizing such measurements to dosage a characteristic of the system can be revealed. The dosage used in normalizing exposure can be based on filled or emitted dose and can be expressed in unit mass of powder. Alternatively exposure can be normalized to a cartridge of a particular fill mass. Either way exposure can be further adjusted to take into account the specific DKP or active ingredient content of a particular formulation, that is, the exposure can be normalized to the amount of active agent or the amount of DKP in the filled or emitted dose. Variables related to the subject, for example fluid volume, can affect the observed exposure so in various embodiments bioavailability of the system will be expressed as a range or limit.

**[00164]** The pharmacokinetic profile of insulin is an important factor in determining its physiologic effect. With similar insulin exposures an insulin administration of a formulation

which provides a pharmacokinetic profile characterized by a rapidly attained peak is more effective at suppressing prandial glucose excursions and hepatic glucose release than is an insulin administration resulting in a slower rise to  $C_{max}$  and characterized by an extended plateau. Thus, the inhalation systems disclosed herein also result in the more efficient delivery of insulin so that similar  $C_{max}$  levels can be attained with smaller doses of insulin as compared to prior art systems. Stated otherwise these inhalation systems attain a higher dose normalized  $C_{max}$ .

### EXAMPLE 1

#### Measuring the resistance and flow distribution of a dry powder inhaler – cartridge system

[00165] Several dry powder inhaler designs were tested to measure their resistance to flow – an important characteristic determined in part by the geometries or configurations of the inhaler pathways. Inhalers exhibiting high resistance require a greater pressure drop to yield the same flow rate as lower resistance inhalers. Briefly, to measure the resistance of each inhaler and cartridge system, various flow rates are applied to the inhaler and the resulting pressures across the inhaler are measured. These measurements can be achieved by utilizing a vacuum pump attached to the mouthpiece of the inhaler, to supply the pressure drop, and a flow controller and pressure meter to change the flow and record the resulting pressure. According to the Bernoulli principle, when the square root of the pressure drop is plotted versus the flow rate, the resistance of the inhaler is the slope of the linear portion of the curve. In these experiments, the resistance of the inhalation system, comprising a dry powder inhaler and cartridge as described herein, were measured in the dosing configuration using a resistance measuring device. The dosing configuration forms an air pathway through the inhaler air conduits and through the cartridge in the inhaler.

[00166] Since different inhaler designs exhibit different resistance values due to slight variations in geometries of their air pathways, multiple experiments were conducted to determine the ideal interval for pressure settings to use with a particular design. Based on the Bernoulli principle of linearity between square root of pressure and flow rate, the intervals for assessing linearity were predetermined for the three inhalers used after multiple tests so that the appropriate settings could be used with other batches of the same inhaler design. An exemplary graph for an inhaler can be seen in FIG. 33 for an inhalation system depicted in FIG. 7. The graph depicted in FIG. 33 indicates that the resistance of the inhalation system as depicted in FIG. 7 can be measured with good correlation to the Bernoulli principle at flow rates ranging from about 10 to 25 L/min. The graph also shows that the resistance of the exemplary inhalation system was determined to be  $0.093 \sqrt{kPa/LPM}$ . FIG.

2014200960 24 Feb 2014

33 illustrates that flow and pressure are related. Therefore, as the slope of the line in square root of pressure versus flow graph decreases, *i.e.*, inhalation systems exhibiting lower resistance, the change in flow for a given change in pressure is greater. Accordingly, higher resistance inhalation systems would exhibit less variability in flow rates for given changes in pressure provided by the patient with a breath powered system.

**[00167]** The data in Tables 1 show the results of a set of experiments using the inhalation system described in FIGs. 10 (DPI 1), and FIG. 7 (DPI 2). For the dry powder inhaler 1 (DPI 1), the cartridge illustrated in design 150, FIGs. 17-21, was used, and the cartridge illustrated in design 170, FIG. 22-30 was used with DPI 2. Accordingly, DPI 1 used Cartridge 1 and DPI 2 used Cartridge 2.

Table 1

Device Tested	Total Device Resistance	Cartridge Resistance	% of Total Flow Through Cartridge
MEDTONE®	0.1099	0.368	15.28
DPI 1	0.0874	0.296	29.50
DPI 2	0.0894	0.234	35.56

**[00168]** Table 1 illustrates the resistance of the inhalation system tested herewith is 0.0874 and 0.0894  $\sqrt{\text{kPa/LPM}}$ , respectively for DPI 1 and DPI 2. The data show that the resistance of the inhalation system to flow is in part determined by the geometry or configuration of the air conduits within the cartridge.

**EXAMPLE 2**

**Measurement of particle size distribution using an inhaler system with an insulin formulation**

**[00169]** Measurements of the particle size distribution with a laser diffraction apparatus (Helos Laser Diffraction system, Sympatec Inc.) with an adaptor (MannKind Corp.) were made of a formulation of various amounts in milligram (mg) of an insulin and fumaryl diketopiperazine particles provided in a cartridge-inhaler system as described herewith (inhaler of FIGs. 1-9 with cartridge 170 shown in FIGs. 22-30). The device is attached at one end to a tubing, which is adapted to a flow meter (TSI, Inc. Model 4043) and a valve to regulate pressure or flow from a compressed air source. Once the laser system is activated and the laser beam is ready to measure a plume, a pneumatic valve is actuated to allow the powder to be discharged from the inhaler. The laser system measures the plume exiting the inhaler device automatically based on predetermined measurement conditions. The laser diffraction system is operated by software integrated with the apparatus and controlled by

2014200960 24 Feb 2014

computer program. Measurements were made of samples containing different amounts of powder and different powder lots. The measurement conditions are as follows:

- Laser measurement start trigger conditions: when  $\geq 0.6\%$  laser intensity is detected on a particular detector channel;
- Laser measurement end trigger conditions: when  $\leq 0.4\%$  laser intensity is detected on a particular detector channel;
- Distance between vacuum source and inhaler chamber is approximately 9.525 cm.

**[00170]** Multiple tests were carried out using different amounts of powders or fill mass in the cartridges. Cartridges were only used once. Cartridge weights were determined before and after powder discharge from the inhaler to determine discharged powder weights. Measurements in the apparatus were determined at various pressure drops and repeated multiple times as indicated in Table 2 below. Once the powder plume is measured, the data is analyzed and graphed. Table 2 depicts data obtained from the experiments, wherein CE denotes cartridge emptying (powder discharged) and Q3 (50%) is the geometric diameter of the 50th percentile of the cumulative powder particle size distribution of the sample, and q3(5.8  $\mu\text{m}$ ) denotes the percentage of the particle size distribution smaller than 5.8  $\mu\text{m}$  geometric diameter.

Table 2

Test No.	Pressure Drop (kPa)	Discharge Time (s)	Fill Mass (mg)	Sample Size	% CE	Q3(50%)	q3(5.8 $\mu\text{m}$ )
1	4	3	6.7	30	98.0	4.020	63.8
2	4	3	6.7	20	97.0	3.700	67.4
3	4	3	6.7	20	98.4	3.935	64.6
4	4	3	3.5	20	97.8	4.400	61.0
5	2	4	6.7	7	92.9	4.364	61.0
6	2	4	6.7	7	95.1	4.680	57.9
7	4	4	6.7	7	97.0	3.973	64.4
8	4	4	6.7	7	95.5	4.250	61.7
9	6	4	6.7	7	97.3	3.830	65.3
10	6	4	6.7	7	97.8	4.156	62.2

**[00171]** The data in Table 2 showed that 92.9% to 98.4% of the total powder fill mass was emitted from the inhalation system. Additionally, the data indicate that regardless of the fill mass, 50% of the particles emitted from the inhalation system had a geometric diameter of less than 4.7  $\mu\text{m}$  as measured at the various times and pressure drops tested. Moreover, between 60% and 70% of the particles emitted had a geometric diameter of less than 5.8  $\mu\text{m}$ .

[00172] FIG. 34 depicts data obtained from another experiment in which 10 mg of powder fill mass was used. The graph shows the particle size distribution of the sample containing particles of a formulation comprising insulin and fumaryl diketopiperazine resulted in 78.35% of the measured particles had a particle size of  $\leq 5.8 \mu\text{m}$ . The laser detected 37.67% optical concentration during the measurement duration of 0.484 seconds at the above measurement conditions. The data show that the inhalation system effectively deagglomerates the insulin-FDKP formulation to small sizes over a relevant and lower range of user inhalation capacities, *i.e.*, pressure drops. These small geometric sizes for this cohesive (Carr's index = 36%) formulation are believed to be respirable.

### EXAMPLE 3

#### Measurement of powder discharge from a cartridge as a measure of inhalation system performance

[00173] The experiments were conducted using the inhalation system described herewith using multiple inhaler prototypes depicted in FIGs. 1-9 with cartridge 170 prototypes as shown in FIGs. 22-30. Multiple cartridges were used with each inhaler. Each cartridge was weighed in an electronic balance prior to fill. The cartridges were filled with a predetermined mass of powder, again weighed and each filled cartridge was placed in an inhaler and tested for efficiency of emptying a powder formulation, *i.e.*, TECHNOSPHERE® Insulin (insulin-FDKP; typically 3-4 U insulin/mg powder, approximately 10-15% insulin w/w) powder batches. Multiple pressure drops were used to characterize the consistency of performance. Table 3 depicts results of this testing using 35 cartridge discharge measurements per inhaler. In the data in Table 3, all tests were carried out using the same batch of a clinical grade insulin-FDKP powder. The results show that relevant user pressure drops, ranging from 2 through 5 kPa demonstrated a highly efficient emptying of the powder from the cartridge.

Table 3

Test No.	Pressure Drop (kPa)	Discharge Time (s)	Fill Mass (mg)	Sample Size	Mean %CE	%CE SD
1	5.00	3.00	3.08	35	99.42	0.75
2	5.00	3.00	3.00	35	98.11	1.11
3	5.00	3.00	6.49	35	99.49	0.81
4	5.00	3.00	6.55	35	99.05	0.55
5	5.00	2.00	6.57	35	98.69	0.94
6	5.00	2.00	6.57	35	99.33	1.03
7	4.00	3.00	6.47	35	98.15	1.15
8	4.00	3.00	6.50	35	99.37	0.46
9	4.00	3.00	3.28	35	98.63	0.93
10	4.00	3.00	3.18	35	98.63	1.48

Test No.	Pressure Drop (kPa)	Discharge Time (s)	Fill Mass (mg)	Sample Size	Mean %CE	%CE SD
11	4.00	2.00	6.61	35	92.30	3.75
12	4.00	2.00	6.58	35	98.42	1.71
13	3.00	3.00	6.55	35	92.91	5.04
14	3.00	3.00	6.56	35	98.88	0.63
15	3.00	2.00	6.56	35	96.47	3.19
16	3.00	2.00	6.59	35	99.49	0.54
17	3.00	1.00	6.93	35	98.06	2.37
18	3.00	1.00	6.95	35	98.74	0.67
19	3.00	1.00	3.12	35	97.00	1.06
20	3.00	1.00	3.15	35	96.98	0.99
21	2.00	1.00	6.53	35	97.24	1.65
22	2.00	1.00	6.49	35	98.48	2.27

#### EXAMPLE 4

##### Measurement of Predictive Deposition by Andersen Cascade Impaction:

**[00174]** The experiments were conducted using an Andersen Cascade Impactor to collect stage plate powder deposits during a simulated dose delivery using flow rates of 28.3 LPM. This flow rate resulted in a pressure drop across the inhalation system (DPI plus cartridge) of approximately 6 kPa. Depositions on the plate stages were analyzed gravimetrically using filters and electronic balances. Fill weights of a cohesive powder in 10 mg, 6.6 mg and 3.1 mg fill mass were evaluated for inhalation system performance. Each impaction test was conducted with five cartridges. The cumulative powder mass collected on stages 2-F was measured in accordance with aerodynamic particle sizes less than 5.8  $\mu\text{m}$ . The ratio of the collected powder mass to the cartridge fill content was determined and is provided as percent respirable fraction (RF) over the fill weight. The data is presented in Table 4.

**[00175]** The data show that a respirable fraction ranging from 50% to 70% was achieved with multiple powder batches. This range represents a normalized performance characteristic of the inhalation system.

**[00176]** The inhaler system performance measurements were repeated 35 times with a different cartridge. Fill mass (mg) and discharge time (seconds) were measured for each inhaler cartridge system used. Additionally, the percent of respirable fraction, *i.e.*, particles suitable for pulmonary delivery, in the powder was also measured. The results are presented in Table 4 below. In the table, the % RF/fill equals the percent of particles having a size ( $\leq 5.8 \mu\text{m}$ ) that would travel to the lungs in the powder; CE indicates cartridge emptying or powder delivered; RF indicates respirable fraction. In Table 4, Test Nos. 1-10

2014200960 24 Feb 2014

were conducted using a second batch of a clinical grade of the insulin-FDKP powder, but the test powder for 11-17 used the same powder as the tests conducted and presented in Table 3.

Table 4

No.	Pressure Drop (kPa)	Discharge Time (s)	Fill Mass (mg)	Sample Size	Mean %CE	% RF / Fill	% RF / Delivered
1	6.4	8	9.7	5	98.9	56.6	58.3
2	6.4	8	9.9	5	88.8	53.7	60.4
3	6.4	8	8.2	5	97.5	54.9	56.9
4	6.4	8	6.7	5	98.4	56.8	58.1
5	6.4	8	10.0	5	89.2	60.4	67.8
6	6.4	8	9.6	5	99.3	53.5	53.9
7	6.4	8	9.6	5	98.2	57.3	58.4
8	6.4	8	9.6	5	99.0	56.9	57.5
9	6.4	8	9.6	5	95.4	59.3	62.1
10	6.4	8	6.6	5	99.4	61.7	62.1
11	6.4	8	6.6	5	99.6	59.0	59.2
12	6.4	8	6.6	5	96.5	62.6	64.8
13	6.4	8	6.6	5	98.7	59.8	60.6
14	6.4	8	3.1	5	99.5	66.3	66.6
15	6.4	8	3.1	5	99.7	70.7	70.9
16	6.4	8	3.1	5	97.6	65.9	67.5
17	6.4	8	3.1	5	98.2	71.6	73.0

**[00177]** The data above show that the present inhalation system comprising a dry powder inhaler and a cartridge containing a cohesive powder, i.e., TECHNOSPHERE® Insulin (FDKP particles comprising insulin) can discharge effectively almost all of the powder content, since greater than 85% and in most cases greater than 95% of the total powder content of a cartridge at variable fill masses and pressure drops were obtained with consistency and significant degree of emptying. The Andersen cascade impaction measurements indicated that greater than 50% of the particles are in the respirable range wherein the particles are less than 5.8 µm and ranging from 53.5% to 73% of the total emitted powder.

**EXAMPLE 5**

**Rugosity of TECHNOSPHERE® Insulin (TI)**

**[00178]** The rugosity is the ratio of the actual specific surface area of the particle to that for an equivalent sphere. The specific surface area of a sphere is:

2014200960 24 Feb 2014

$$SSA_{\text{sphere}} = \frac{\pi d_{\text{eff}}^2}{\rho \frac{\pi}{6} d_{\text{eff}}^3} = \frac{6}{\rho d_{\text{eff}}}$$

where  $d_{\text{eff}} = 1.2 \mu\text{m}$  is the surface-weighted diameter of TI particles from Sympatec/RODOS laser diffraction measurements.

An average sphere with the same density as the TI particle matrix ( $1.4 \text{ g/cm}^3$ ) would therefore have an SSA of

$$SSA_{\text{sphere}} = \frac{6}{\rho d_{\text{eff}}} = \frac{6}{\left(1.4 \frac{\text{g}}{\text{cm}^3}\right) \left(1.2 \times 10^{-6} \text{ m}\right) \left(\frac{\text{m}^3}{10^6 \text{ cm}^3}\right)} = 3.6 \text{ m}^2 / \text{g}$$

**[00179]** Thus for TI particles with specific surface area (SSA) of approximately  $40 \text{ m}^2/\text{g}$

$$Rugosity = \frac{(SSA)_{\text{TI}}}{(SSA)_{\text{sphere}}} = \frac{40 \text{ m}^2 / \text{g}}{3.6 \text{ m}^2 / \text{g}} \approx 11 .$$

**[00180]** For similarly sized particles with specific surface area of 50 or 60  $\text{m}^2/\text{g}$  the rugosity would be roughly 14 and 16 respectively.

**EXAMPLE 6**

**Geometric Particle Size Analysis of Emitted Formulations by Volumetric Median Geometric Diameter (VMGD) Characterization**

**[00181]** Laser diffraction of dry powder formulations emitted from dry powder inhalers is a common methodology employed to characterize the level of de-agglomeration subjected to a powder. The methodology indicates a measure of geometric size rather than aerodynamic size as occurring in industry standard impaction methodologies. Typically, the geometric size of the emitted powder includes a volumetric distribution characterized by the median particle size, VMGD. Importantly, geometric sizes of the emitted particles are discerned with heightened resolution as compared to the aerodynamic sizes provided by impaction methods. Smaller sizes are preferred and result in greater likelihood of individual particles being delivered to the pulmonary tract. Thus, differences in inhaler de-agglomeration and ultimate performance can be easier to resolve with diffraction. In these experiments, an inhaler as specified in Example 3 and a predicate inhaler are tested with laser diffraction at

pressures analogous to actual patient inspiratory capacities to determine the effectiveness of the inhalation system to de-agglomerate powder formulations. Specifically, the formulations included cohesive diketopiperazine powders with an active insulin loaded ingredient and without. These powder formulations possessed characteristic surface areas, isomer ratios, and Carr's indices. Reported in Table 5 are a VMGD and an efficiency of the container emptying during the testing. FDKP powders have an approximate Carr's index of 50 and TI powder has an approximate Carr's index of 40.

Table 5

Inhaler system	powder	% trans	SSA	pressure drop (kPa)	sample size	%CE	VMGD (micron)
DPI 2	FDKP	56	55	4	15	92.5	6.800
MEDTONE®	FDKP	56	55	4	30	89.5	21.200
DPI 2	FDKP + active	56	45	4	30	98.0	4.020
DPI 2	FDKP + active	56	45	4	20	97.0	3.700
DPI 2	FDKP + active	56	45	4	20	98.4	3.935
DPI 2	FDKP + active	56	45	4	20	97.8	4.400
MEDTONE®	FDKP + active	56	45	4	10	86.1	9.280
MEDTONE®	FDKP + active	56	45	4	10	92.3	10.676
DPI 2	FDKP + active	56	45	2	7	92.9	4.364
DPI 2	FDKP + active	56	45	2	7	95.1	4.680
DPI 2	FDKP + active	56	45	4	7	97.0	3.973
DPI 2	FDKP + active	56	45	4	7	95.5	4.250
DPI 2	FDKP + active	56	56	4	10	99.6	6.254
DPI 2	FDKP + active	56	14	4	10	85.5	4.037
MEDTONE®	FDKP + active	56	56	4	20	89.7	12.045
MEDTONE®	FDKP + active	56	14	4	20	37.9	10.776
DPI 2	FDKP + active	54	50	4	10	97.1	4.417
DPI 2	FDKP + active	54	44	4	10	96.0	4.189
DPI 2	FDKP + active	56	35	4	10	92.0	3.235
DPI 2	FDKP + active	50	34	4	10	93.2	5.611
DPI 2	FDKP + active	66	33	4	10	79.0	4.678
DPI 2	FDKP + active	45	42	4	10	93.2	5.610
DPI 2	FDKP + active	56	9	4	10	78.9	5.860

**[00182]** These data in Table 5 show an improvement in powder de-agglomeration over a predicate inhaler system as compared to the inhaler system described herein. Diketopiperazine formulations with surface areas ranging from 14 – 56 m<sup>2</sup>/g demonstrated emptying efficiencies in excess of 85% and VMGD less than 7 microns. Similarly, formulations possessing an isomer ratio ranging from 45 – 66 % trans demonstrated improved performance over the predicate device. Last, performance of the inhaler system with formulations characterized with Carr's indices of 40-50 were shown to be improved over the predicate device as well. In all cases, the reported VMGD values were below 7 microns.

2014200960 24 Feb 2014

## EXAMPLE 7

### In Vitro Performance Improvement Realized in a Next Generation Dry Powder Delivery System

[00183] TECHNOSPHERE® formulations have been successfully delivered to patients with MEDTONE® delivery system (MTDS, MannKind Corporation, Valencia, CA). This system includes dry powder formulations, pre-metered into single-use cartridges and inserted into a high resistance, breath-powered, re-usable MEDTONE® inhaler. An improved delivery system (DPI 2 as described in Example 1) has been developed as an alternative to MTDS. *In vitro* powder performance for these systems was compared for various parameter of inhaler performance. For DPI 2 a single discharge per cartridge was used as compared to two discharges per cartridge in the MEDTONE® system.

[00184] Particle sizing by laser diffraction and quantification of emitted mass as described above were used in these experiments. A laser diffraction instrument (Sympatec HELOS) was adapted with a novel pressurized inhaler chamber to facilitate analysis of powder plumes. MTDS cartridges were discharged twice per determination versus once with DPI 2. The inhalation systems were used with peak pressures of 4 kPa to assess powder-emptying percentage and volumetric median geometric diameter (VMGD) with TECHNOSPHERE® (FDKP inhalation powder) and TECHNOSPHERE® Insulin (FDKP-insulin inhalation powder) formulations.

[00185] The results of the experiments are shown in Table 6 and FIG. 35. In summary, for DPI 2, powder-emptying percentages were 97.8% (FDKP-insulin, fill weight 3.5 mg; n=20), 96.8% (FDKP-insulin, fill weight 6.7 mg; n=20), and 92.6% (FDKP inhalation powder, fill weight 10.0 mg; n=15); VMGDs (microns) were 4.37, 3.69, and 6.84, respectively. For MTDS, powder-emptying percentages were 89.9% (FDKP-insulin, fill weight 5.0 mg; n=30), 91.7% (FDKP-insulin, fill weight 10.0 mg; n=30), and 89.4% (FDKP inhalation powder, fill weight 10.0 mg; n=30); VMGDs (microns) were 10.56, 11.23, and 21.21, respectively.

[00186] FIG. 35 depicts graphic representations of data obtained from the average of all tests performed for each inhalation system. As seen in FIG. 35, the cumulative distribution of particle sizes is smaller for DPI 2 than with MEDTONE®. When compared to MEDTONE®, the DPI 2 inhalation system produces a larger percentage of smaller particles. This is evidence of an improved deagglomeration mechanism provided in the DPI 2 system. These data support clinical use of DPI 2 as a viable and improved alternative for delivering FDKP inhalation powder formulations. Percent emptying was improved with DPI 2, offering users the significant advantage of a single discharge per cartridge compared with two discharges with MTDS. Reductions in median geometric particle size suggest increased

powder de-agglomeration within DPI 2. The clinical impact of this improved de-agglomeration must now be assessed.

Table 6

Inhaler System	Number of Cartridges	Ave. VMGD ( $\mu\text{m}$ )	Ave. Geometric SD ( $\mu\text{m}$ )	Ave. %Cartridge Emptying
DPI 2 (3.5 mg FDKP-insulin)	20	4.37	2.74	97.8
DPI 2 (6.7 mg FDKP-insulin)	20	3.69	2.73	96.8
DPI 2 (10 mg FDKP)	15	6.84	3.79	92.6
MEDTONE® (5 mg FDKP-insulin)	30	10.56	2.92	89.9
MEDTONE® (10 mg FDKP-insulin)	30	11.23	2.93	91.7
MEDTONE® (10 mg FDKP)	30	21.21	2.94	89.4

### EXAMPLE 8

#### Improvement in Bioavailability of FDKP with an exemplary embodiment of the inhalation system

**[00187]** To assess the safety and tolerability of various fill weights of TECHNOSPHERE® Inhalation Powder (FDKP-inhalation powder) delivered by DPI 1, described in Example 1 above, measurements were made using the inhalation system, *i.e.*, inhaler and cartridge containing various fill weights of a dry inhalation powder, a modified CQLQ, VAS, and peak flows of the inhalation system. The MEDTONE® inhaler system was used for comparison. Experiments were also conducted to collect data from the systems in used in order to assess the effect of altering inhalation efforts and inhalation times on the pharmacokinetics (PK) of FDKP inhaled as FDKP-Inhalation Powder through the DPI 1 inhaler.

**[00188]** At the onset of the study, subjects were monitored and instructed to practice taking “short” and “long” inhalations with the inhalation system adapted with a pressure sensing device as disclosed in U.S. Patent Application Serial No. 12/488,469, which can detect the presence of a dose emitted from the device in use. During an inhalation maneuver, the patient was instructed to maintain a nominal pressure differential of 4-6 kPa combined with a short inhalation of 3-4 seconds or a long inhalation of 6-7 seconds. To generate a “hard” inhalation, the subject provided a nominal inhalation time of about 6.5 seconds and a peak pressure of 7 kPa. Conversely, to generate an “easy” inhalation, the subject provided a nominal inhalation time of about 6.5 seconds and a peak pressure of 5

kPa. Coupled with the inhalation monitoring apparatus, gravimetric assessment of the powder mass discharged from the cartridge was performed. This enabled a linkage between inhalation maneuver during dosing, cartridge discharge mass and pharmacokinetic profile determinations for each subject.

**[00189]** The study was an open-label, crossover, 2-part study in healthy volunteers. In Part 1, a three-way, 3-period crossover study of 10 and 15 mg of FDKP inhalation powder was inhaled through the DPI 1 Inhaler and 10 mg through the MEDTONE® inhaler. Ten subjects were administered a dose of FDKP-inhalation powder and safety and tolerability measurements (CQLQ, VAS, and peak flows) were taken. Blood samples from the subjects were taken prior to dosing and at 5, 10, 15, 25, 30, 60, 120, 240 and 360 minutes after dosing to assess the pharmacokinetics of FDPK with each treatment.

**[00190]** In Part 2, after determining the tolerability of FDKP-inhalation powder in Part 1, 10 mg were then used in Part 2. Part 2 was carried out as a 2-part, 2-way crossover study for evaluating the effect of flow rate (15 versus 30 LPM) and inhalation time (3 versus 6 seconds). For each parameter tested (*i.e.*, flow rate, and inhalation time), ten subjects were crossed over for each parameter with 20 subjects total for all of the parameters. Pharmacokinetics of FDPK was assessed with each treatment from blood samples taken from the subjects. Measurements of pulmonary parameters (FEV1) were performed before and after inhalation of FDKP-inhalation powder. The results from these experiments are shown in the Table 7 and FIGs. 36 and 37.

**[00191]** Representative data of the results of the experiments are shown in Table 7 below which illustrates the mean  $AUC_{0-6\text{ hr}}$  for FDKP measured for the subjects tested as well as the mean  $C_{\text{max}}$ .

Table 7

Treatment	Mean AUC (ng*min/mL)	SD AUC (ng*min/mL)	Mean Cmax (ng/mL)	SD Cmax (ng/mL)
DPI 1 10 mg (n=10)	28523	7375	189	96
DPI 1 15 mg (n=10)	32031	17368	242	178
MEDTONE® 10 mg (n=10)	15143	3720	95	30

**[00192]** FIG. 36 depicts an example of a subject's profile using DPI 1 with a 10 mg dose of FDKP as monitored by the sensing device showing a practice inhalation without powder of about 4 seconds and a dosing inhalation of about 1 second with a powder dose of FDKP. FIG. 36 also shows that the discharge mass from the cartridge was gravimetrically measured

2014200960 24 Feb 2014

as 10.47 mg, which resulted in the subject having a FDKP systemic exposure characterized by an  $AUC_{0-6 \text{ hrs}}$  equaling 31,433 ng\*min/mL. The normalized AUC/mg of delivered FDKP powder was 3,003 ng\*min/mL per mg. FIG. 37 shows the FDKP concentration in blood plasma monitored for 6 hrs, which shows a  $C_{\text{max}}$  of about 270 ng/mL in about 10 min.

**[00193]** The DPI 1 inhalation system containing 10 mg of FDKP powder delivered almost twice FDKP into the blood as the MEDTONE® inhaler containing 10 mg. The DPI 1 inhalation system containing 15 mg of FDKP-inhalation powder on average did not deliver a dose proportional in exposure as compared to DPI 1 system containing 10 mg of powder, due to several individuals not having good exposure to the powder, as seen in the significantly higher standard deviation. Variations of the data in Part 1 of the experiments may be due to some subjects not using the inhalers in the proper position during dosing.

**[00194]** The DPI 1 10 mg dose results for longer, shorter, harder or easier inhalation data compared to the MEDTONE® inhaler system are listed in the Table 8 below. The study was conducted in three parts as indicated in Table 8. Table 8 illustrates delivery of the FDKP into the pulmonary circulation measured as the mean  $AUC_{0-\infty}$  of FDKP values obtained in the experiments. The data is exemplary of the effectiveness and performance of the DPI 1 inhalation system compared to the MEDTONE® inhaler system and shows that DPI 1 was more effective at delivering the FDKP into the systemic circulation, at about 30% better than the MEDTONE® inhaler, wherein the values for DPI 1 ranged from  $AUC_{0-\infty}$  2375 to 5277 ng\*min/mL per mg of FDKP emitted in the formulation.  $AUC_{0-\infty}$  for MEDTONE® ranged from 1465 to 2403 ng\*min/mL per mg of FDKP emitted in the formulation after two inhalations.

**[00195]** FDKP 10 mg as delivered by the DPI 1 device is more efficient at delivering FDKP as measured by FDKP plasma AUC by an almost 2-fold increase over MEDTONE®. The delivery of FDKP is independent of inhalation time and inhalation effort. The data show that DPI 1 has an improved bioavailability and efficiency over MEDTONE® as assessed by FDKP AUC and the effect of altering inhalation parameters on FDKP AUC. The  $C_{\text{max}}$  for FDKP in this study was greater than about 100 ng/mL with DPI 1 (one inhalation) and a lesser value using MEDTONE® (two inhalations), i.e.,  $96 \pm 30$  ng/mL.

2014200960 24 Feb 2014

Table 8: FDKP delivered via DPI 1 and MT in 3 part study

Inhaler System cartridge fdkp content (mg)  inhalation technique	Part 1		Part 2		Part 3	
	DPI 1 10  nominal time and inhalation effort	MT 10  inhalation effort	DPI 1 10 long inhalation time	DPI 1 10 short inhalation time	DPI 1 10 hard inhalation effort	DPI 1 10 easy inhalation effort
number of plasma analyses	10	10	10	10	10	10
<b>AUC (0-inf) fdkp</b>						
mean (ng*min/mL)	32575	17657	30488	31879	39324	38465
SD	7331	4281	8469	4713	11928	13248
plus 1 SD	39906	21938	38957	36592	51252	51713
minus 1 SD	25244	13376	22019	27166	27396	25217
AVG emitted mass powder (mg)	9.32	9.13	9.27	9.63	10.17	9.8
AUC fdkp per emitted fdkp mass minus 1 SD	2709	1465	2375	2821	2694	2573
AVG mean AUC fdkp per emitted fdkp mass (ng*min/mL*mg fdkp)	3495	1934	3289	3310	3867	3925
AUC fdkp per emitted fdkp mass plus 1 SD	4282	2403	4202	3800	5040	5277
<b>Cmax fdkp</b>						
mean (ng/mL)	189	96	206	196	256	230
SD	96	30	88	86	95	99

**EXAMPLE 9**

**Improvement in Bioavailability of FDKP and Insulin with an Exemplary Inhalation System.**

[00196] This study was designed to assess the relative bioavailability of various fill weights of TECHNOSPHERE® insulin inhalation powder (FDKP-insulin) delivered by a pulmonary inhalation delivery system (DPI 2) compared with MEDTONE® inhaler, as determined by the pharmacokinetics (PK) of insulin and FDKP.

[00197] This was an open-label, crossover, PK (insulin and FDKP) study in healthy volunteers. C-peptide corrections were used to determine the relative amounts of insulin delivered by inhalation versus insulin of endogenous origin. Twenty four subjects (12 per arm) were administered a dose of 6.7 mg and 7.3 mg of FDKP-insulin inhalation powder (20U and 22U insulin, respectively and about 10% insulin w/w) using a DPI 2, and 10 mg FDKP-insulin inhalation powder (30U insulin) using MEDTONE®. Subsequently, 12 subjects were given 20U using DPI 2, or 30U via MEDTONE® in a 3-way crossover arm of the study.

2014200960 24 Feb 2014

Blood samples from the subjects were taken prior to dosing and at 7, 15, 30, 60, 120, 240 and 360 minutes after dosing to assess the pharmacokinetics of FDKP with each treatment.

**[00198]** The data show that 20U or 22U insulin using DPI 2 delivered similar exposures of insulin and FDKP compared with 30U of insulin administered with MEDTONE®. For insulin, results of plasma exposures ( $AUC_{0-2hr}$ ) were  $3407 \pm 1460$  uUxmin/mL vs.  $4,154 \pm 1,682$  uU\*min /mL for DPI 2 20U and MEDTONE® 30U, respectively, and  $4,661 \pm 2,218$  uU\*min /mL vs.  $3,957 \pm 1,519$  uU\*min /mL for DPI 2 containing 22U and MEDTONE® 30 U, respectively. In the 3-way crossover arm, plasma insulin exposures were  $4,091 \pm 1,189$  uU\*min /mL and  $3,763 \pm 1,652$  uU\*min /mL for DPI 2 and MEDTONE®, respectively.

**[00199]** The results from the 3-way study also showed a reduction in  $T_{max}$  for insulin from  $20.8 \pm 18.7$  minutes in MEDTONE® to  $14.8 \pm 8.94$  minutes in DPI 2 (20 U) and to  $13.6 \pm 4.3$  minutes using the DPI 2 (22 U) system. In the 3-way cross-over study, wherein 6.7 mg FDKP-insulin was delivered in DPI 2 vs. 10.0 mg of FDKP-insulin powder delivered in MEDTONE®, FDKP plasma exposures ( $AUC_{0-2hr}$ ) normalized for delivered mass were 2,059 ng\*min/mL/mg (average of 16 subjects doses) for DPI 2 compared to 1,324 ng\*min/mL/mg for MEDTONE® (average of 17 subjects doses). In this exemplary embodiment, the bioavailability studies were conducted with approximately 10% insulin content in the powder formulation. Accordingly, higher bioavailabilities (not normalized for powder content) can be obtained by providing a higher concentration of the insulin, and similar results can be accomplished with other active ingredients. Similarly, formulations containing higher contents of an active ingredient would yield lower bioavailabilities of FDKP (not normalized for powder content).

**[00200]** In summary, DPI 2 was more efficient at delivering insulin as measured by insulin plasma exposures than MEDTONE®. DPI 2 system delivered similar insulin exposures with 20U of insulin as that of MEDTONE® with 30U of insulin.

**[00201]** Further results from the experiments above are presented in the tables below. The study described in the immediately above example was continued in two additional parts. In the 2<sup>nd</sup> part of this study subjects were given a dose of 10 U of insulin in an FDKP dry powder formulation using DPI 2, or 15 U of insulin in FDKP using the MEDTONE® inhalation system. In the 3<sup>rd</sup> part of this study, subjects were given 20 U of insulin in FDKP formulation using DPI 2 or 30 U using MEDTONE® in a 3-way crossover. Insulin concentration in blood was measured and the results were analyzed and evaluated.

**[00202]** The plasma insulin and FDKP exposures ( $AUC_{0-2 hr}$ ) attained from subjects treated using DPI 2 20U is similar to that obtained from subjects using the MEDTONE®

2014200960 24 Feb 2014

Inhaler. The data are presented in Tables 9. The values presented were obtained from all of the dosing groups that used DPI 2 with 20U of insulin, part I and III, while the values for the MEDTONE® Inhaler 30U of insulin were obtained from parts I, Ia and III. Lower than expected AUC plasma exposure of insulin for DPI 2 22U is most likely secondary to insufficient time points during the terminal elimination phase of insulin. It was recognized that some of the later time points were not contributing to the calculation of AUC and with an amendment were moved up in the timing sequence which gave improved results for  $AUC_{last}$ . This change in the insulin pharmacokinetic time points after the DPI 2 22U insulin cohort was completed improved the subsequent concentration time profiles. The lower doses of DPI 2 10U and MEDTONE® Inhaler 15U were also similar. Insulin concentrations from all individuals are plotted in Figure 38. The FDKP exposure from DPI 2 20U and MEDTONE® Inhaler 30U as well as the FDKP exposure for DPI 2 10U and MEDTONE® Inhaler 15U both fell within bioequivalent criteria. There is a good correlation with FDKP exposure and insulin exposure. FDKP concentrations from all individuals are plotted by dose group in Figure 39.

**[00203]** The data in Table 9 is representative of the inhaler system performance disclosed herein and shows that the average plasma mean  $AUC_{0-inf}$  measured for subjects in the experiment ranged from 1,879 to 3,383 ng\*min/mL per mg of FDKP emitted with MEDTONE® with two inhalations and for DPI 2 from 2,773 to 5124 ng\*min/mL per mg of FDKP emitted in the formulation after a single inhalation. The data also show that the average mean  $AUC_{0-inf}$  for FDKP per mg of emitted FDKP was in the formulation for all subjects was greater 3,500 or 3,568 ng\*min/mL.

**[00204]** Plasma insulin average mean  $AUC_{0-2hr}$  in this study for DPI 2 ranged from about 96 to 315  $\mu U$ \*min/mL per unit of insulin in the powder formulation administered in a single inhalation, wherein the average mean of insulin ranged from 168 to 216  $\mu U$ \*min/mL per unit of insulin in the powder formulation administered in a single inhalation. The  $AUC_{0-inf}$  ( $AUC_{0-\infty}$ ) values for MEDTONE® ranged from about 76 to about 239  $\mu U$ \*min/mL per unit of insulin in the powder formulation administered in two inhalations. It has been previously noted that the first inhalation with the MEDTONE® inhaler system provides less than half the total insulin emitted with two inhalations per cartridge typically used (data not shown), and the same characteristic is similarly exhibited for FDKP when used as a delivery agent in the formulation.

**[00205]** Post prandial glucose excursions were evaluated in each subject during the test meal used to establish the insulin C-Peptide relationship, as well as during meal challenges after the administration of insulin with DPI 2 or MEDTONE®. Glucose excursions in each individual comparing between DPI 2 or MEDTONE® are displayed in Figure 40. The doses

2014200960 24 Feb 2014

used in the study were not titrated to the individual, so the magnitude of the response varies from individual, but generally comparable glucose excursions were seen in each individual between the treatments with the two inhalers.

Table 9. FDKP and insulin Pharmacokinetic Parameters using FDKP–insulin dry powder formulation.

Inhaler System cartridge content (units of insulin)	Part 1		Part 2		Part 3		Part 4	
	DPI 2	MT	DPI 2	MT	DPI 2	MT	DPI 2	MT
number of plasma analyses	11	11	10	12	10	10	17	18
<b>AUC (0-2hr) insulin</b>								
Mean (uU*min/mL)	3407	4154	4661	3957	2268	2175	4091	3763
SD	1460	1682	2218	1519	958	1123	1189	1652
Mean minus 1 SD	1947	2472	2443	2438	1310	1052	2902	2111
Mean plus 1 SD	4867	5836	6879	5476	3226	3298	5280	5415
AVG emitted powder mass (mg)	6.78	9.13	7.27	9.24	3.49	4.59	6.81	9.14
AVG emitted insulin content (U)	20.34	27.39	21.81	27.72	10.47	13.77	20.43	27.42
Mean AUC per emitted insulin content minus 1 SD	95.72	90.25	112.01	87.95	125.12	76.40	142.05	76.99
AVG mean insulin AUC per emitted insulin content (uU*min/mL*U)	167.50	151.66	213.71	142.75	216.62	157.95	200.24	137.24
Mean AUC per emitted insulin content plus 1 SD	239.28	213.07	315.41	197.55	308.12	239.51	258.44	197.48
<b>Cmax insulin</b>								
mean uU/mL	76	86	127	103	53	49	103	89
SD	29	22	38	36	17	26	32	35
<b>AUC (0-Inf) fdkp</b>								
mean (ng*min/mL)	23826	23472	29107	26732	11084	11308	22462	19806
SD	6055	4019	4050	3932	2108	1332	4362	4524
AVG emitted mass powder (mg)	6.78	9.13	7.27	9.24	3.49	4.59	6.81	9.14
AVG fdkp emitted content (mg)	6.03	8.13	6.47	8.22	3.11	4.09	6.06	8.13
Mean minus 1 SD	17771	19453	25057	22800	8976	9976	18100	15282
Mean plus 1 SD	29881	27491	33157	30664	13192	12640	26824	24330
mean AUC fdkp per emitted fdkp mass minus 1 SD	2945	2394	3873	2773	2890	2442	2986	1879
AVG mean AUC fdkp per emitted fdkp mass (ng*min/mL*mg fdkp)	3948	2889	4499	3251	3568	2768	3706	2435
mean AUC fdkp per emitted fdkp mass plus 1 SD	4952	3383	5124	3729	4247	3094	4426	2991
<b>Cmax fdkp</b>								
mean (ng/mL)	175	161	219	194	93	96	204	179
SD	69	29	49	49	23	25	46	57

[00206] The bioavailability of the inhalers was also assessed as compared to the bioavailability of fumaryl diketopiperazine or FDKP administered by intravenous bolus using radiolabeled FDKP and measured as AUC AUC<sub>0-∞</sub>. The results of this study showed that for

2014200960 24 Feb 2014

the MEDTONE® system bioavailability was calculated to be about 26% and 32% for 10 mg and 20 mg, respectively of FDKP powder delivered. The bioavailable obtained measured using DPI 1 in a model analysis to deliver 10 mg of FDK was 57% when compared to a 10 mg of FDKP administered by an IV bolus injection. A model analysis of the data obtained using FDKP-insulin formulation was used to assess inhaler system performance or efficiency of powder delivered as measured by  $AUC_{0-\infty}$  for FDKP using DPI 2 and a single inhalation of the powder. DPI 2 delivered 64% of the FDKP from a 6.7 mg of total fill into the systemic circulation as compared to 46% for MEDTONE® with two inhalations. For this FDKP-insulin formulation, the FDKP content was about 6 mg.

**[00207]** The preceding disclosures are illustrative embodiments. It should be appreciated by those of skill in the art that the devices, techniques and methods disclosed herein elucidate representative embodiments that function well in the practice of the present disclosure. However, those of skill in the art should, in light of the present disclosure, appreciate that many changes can be made in the specific embodiments that are disclosed and still obtain a like or similar result without departing from the spirit and scope of the invention.

**[00208]** Unless otherwise indicated, all numbers expressing quantities of ingredients, properties such as molecular weight, reaction conditions, and so forth used in the specification and claims are to be understood as being modified in all instances by the term "about." Accordingly, unless indicated to the contrary, the numerical parameters set forth in the following specification and attached claims are approximations that may vary depending upon the desired properties sought to be obtained by the present invention. At the very least, and not as an attempt to limit the application of the doctrine of equivalents to the scope of the claims, each numerical parameter should at least be construed in light of the number of reported significant digits and by applying ordinary rounding techniques. Notwithstanding that the numerical ranges and parameters setting forth the broad scope of the invention are approximations, the numerical values set forth in the specific examples are reported as precisely as possible. Any numerical value, however, inherently contains certain errors necessarily resulting from the standard deviation found in their respective testing measurements.

**[00209]** The terms "a" and "an" and "the" and similar referents used in the context of describing the invention (especially in the context of the following claims) are to be construed to cover both the singular and the plural, unless otherwise indicated herein or clearly contradicted by context. Recitation of ranges of values herein is merely intended to serve as a shorthand method of referring individually to each separate value falling within the range.

2014200960 24 Feb 2014

Unless otherwise indicated herein, each individual value is incorporated into the specification as if it were individually recited herein. All methods described herein can be performed in any suitable order unless otherwise indicated herein or otherwise clearly contradicted by context. The use of any and all examples, or exemplary language (e.g. "such as") provided herein is intended merely to better illuminate the invention and does not pose a limitation on the scope of the invention otherwise claimed. No language in the specification should be construed as indicating any non-claimed element essential to the practice of the invention.

**[00210]** The use of the term "or" in the claims is used to mean "and/or" unless explicitly indicated to refer to alternatives only or the alternatives are mutually exclusive, although the disclosure supports a definition that refers to only alternatives and "and/or."

**[00211]** Groupings of alternative elements or embodiments of the invention disclosed herein are not to be construed as limitations. Each group member may be referred to and claimed individually or in any combination with other members of the group or other elements found herein. It is anticipated that one or more members of a group may be included in, or deleted from, a group for reasons of convenience and/or patentability. When any such inclusion or deletion occurs, the specification is herein deemed to contain the group as modified thus fulfilling the written description of all Markush groups used in the appended claims.

**[00212]** Preferred embodiments of this invention are described herein, including the best mode known to the inventors for carrying out the invention. Of course, variations on those preferred embodiments will become apparent to those of ordinary skill in the art upon reading the foregoing description. The inventor expects those of ordinary skill in the art to employ such variations as appropriate, and the inventors intend for the invention to be practiced otherwise than specifically described herein. Accordingly, this invention includes all modifications and equivalents of the subject matter recited in the claims appended hereto as permitted by applicable law. Moreover, any combination of the above-described elements in all possible variations thereof is encompassed by the invention unless otherwise indicated herein or otherwise clearly contradicted by context.

**[00213]** Specific embodiments disclosed herein may be further limited in the claims using consisting of or consisting essentially of language. When used in the claims, whether as filed or added per amendment, the transition term "consisting of" excludes any element, step, or ingredient not specified in the claims. The transition term "consisting essentially of" limits the scope of a claim to the specified materials or steps and those that do not materially affect the basic and novel characteristic(s). Embodiments of the invention so claimed are inherently or expressly described and enabled herein.

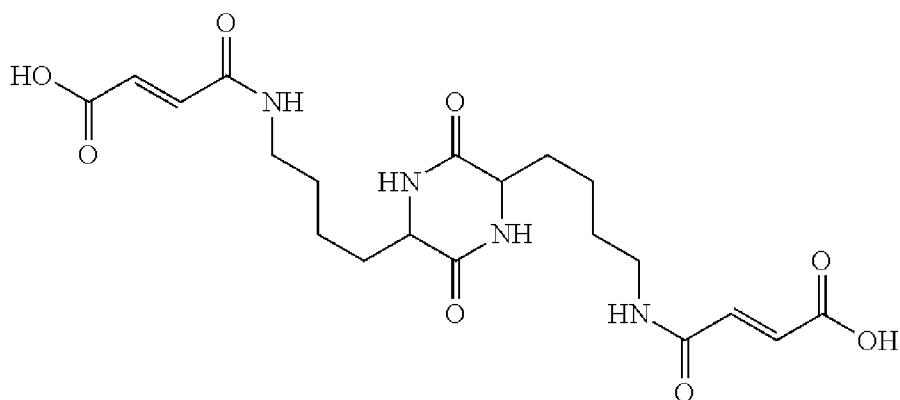
2014200960 24 Feb 2014

**[00214]** Furthermore, numerous references have been made to patents and printed publications throughout this specification. Each of the above cited references and printed publications are herein individually incorporated by reference in their entirety.

**[00215]** Further, it is to be understood that the embodiments of the invention disclosed herein are illustrative of the principles of the present invention. Other modifications that may be employed are within the scope of the invention. Thus, by way of example, but not of limitation, alternative configurations of the present invention may be utilized in accordance with the teachings herein. Accordingly, the present invention is not limited to that precisely as shown and described.

## CLAIMS

1. An inhalation system, comprising:  
a dry powder inhaler comprising a mouthpiece and a container, wherein the container includes a dry powder formulation comprising diketopiperazine particles;  
a first flow path wherein 20% to 70% of total airflow through the inhaler enters and exits the container; and a second flow path wherein 30-80% of total airflow through the inhaler by-passes the container;  
wherein after a single inhalation from the inhalation system, diketopiperazine in a subject's plasma has an  $AUC_{0-\infty}$  greater than 2,300 ng\*min/mL per mg of the diketopiperazine content in the dry powder formulation.
2. The inhalation system of claim 1, wherein the container is removable from the dry powder inhaler.
3. The inhalation system of claim 1, wherein the container is reconfigurable in the dry powder inhaler from a containment configuration to a dosing configuration.
4. The inhalation system of claim 1, wherein the dry powder inhaler is a breath powered inhaler.
5. The inhalation system of claim 1, wherein the diketopiperazine particles include



6. The inhalation system of claim 1, wherein the diketopiperazine has a  $T_{max}$  of less than 1 hour.
7. The inhalation system of claim 1, wherein the dry powder formulation includes an active ingredient.
8. The inhalation system of claim 7, wherein the active ingredient is a peptide, polypeptide, protein, or a combination thereof.
9. The inhalation system of claim 7, wherein the active ingredient is insulin, glucagon-like peptide 1, oxyntomodulin, peptide YY, exendin, heparin, calcitonin, felbamate, sumatriptan, parathyroid hormone, growth hormone, erythropoietin, AZT, DDI, granulocyte macrophage colony stimulating factor, lamotrigine, chorionic gonadotropin releasing factor, luteinizing releasing hormone, beta-galactosidase, exendin, vasoactive intestinal peptide, and argatroban, anti-SSX-2<sub>41-49</sub>, anti-NY-ESO-1, anti-PRAME, anti-PSMA, anti-Melan-A, anti-tyrosinase, or a combination thereof.
10. The inhalation system of claim 7, wherein the active ingredient is insulin, glucagon-like peptide 1, oxyntomodulin, peptide YY, exendin, analogs thereof, or a combination thereof.
11. The inhalation system of claim 7, wherein the active ingredient is insulin.
12. The inhalation system of claim 7, wherein the active ingredient is glucagon-like peptide 1.
13. The inhalation system of claim 7, wherein the active ingredient is oxyntomodulin.

14. The inhalation system of claim 1, wherein the inhalation system is operably configured to emit a powder plume comprising the diketopiperazine particles having a volumetric median geometric diameter ranging from 2  $\mu\text{m}$  to 8  $\mu\text{m}$  and a geometric standard deviation of less than 4  $\mu\text{m}$ .

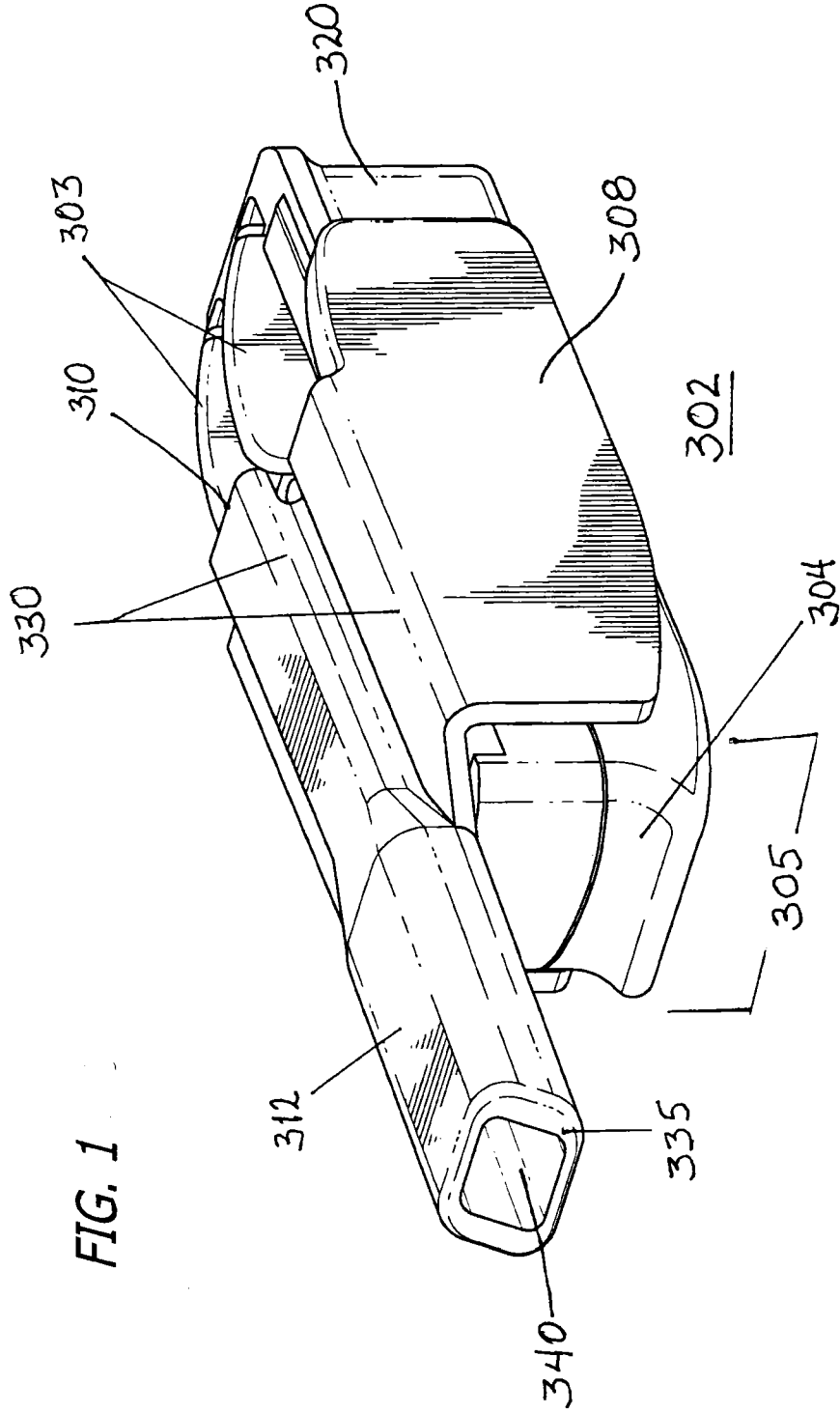
15. The inhalation system of claim 1, wherein the inhalation system is operably configured to emit more than 90% of the dry powder formulation and the diketopiperazine particles dissolve and are absorbed into the blood in less than 30 minutes yielding a peak concentration of the diketopiperazine after the single inhalation.

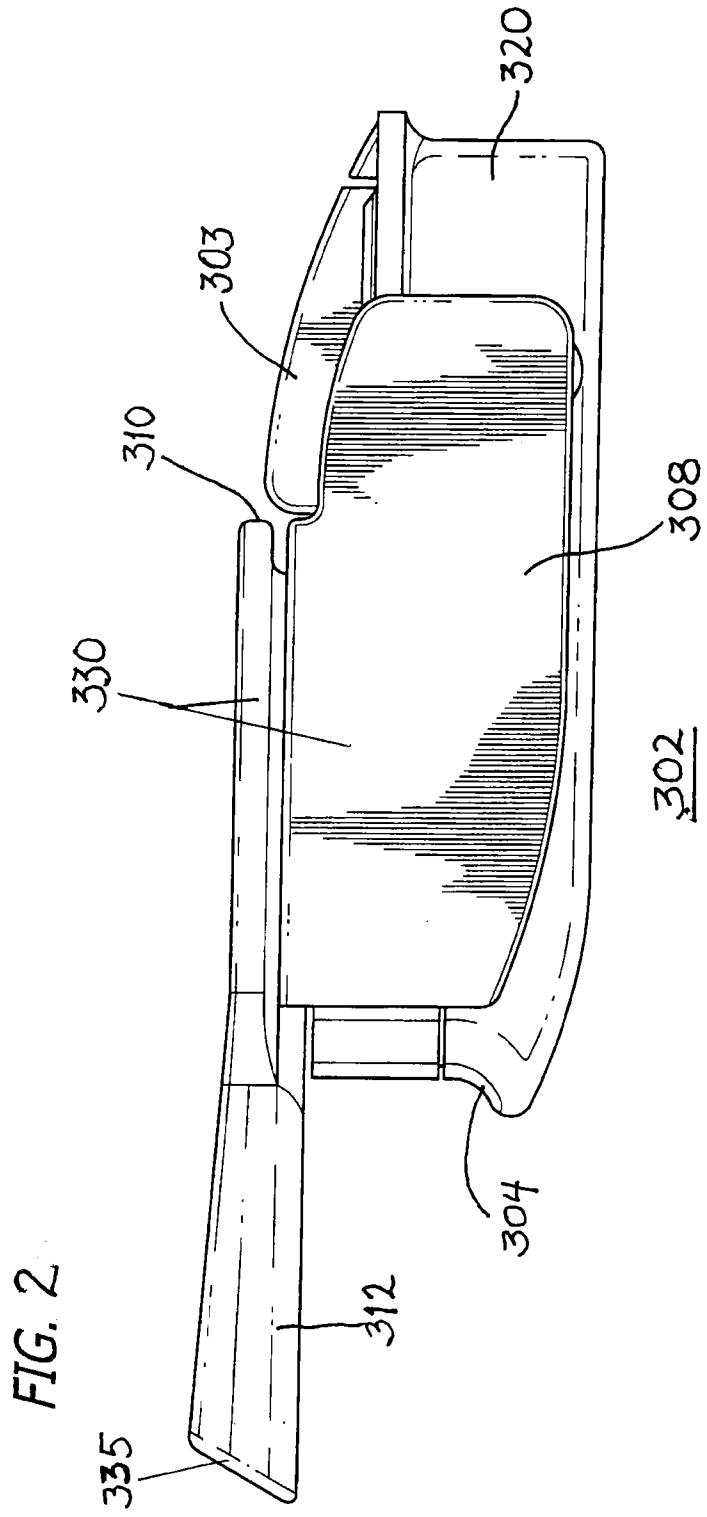
16. The inhalation system of claim 11, wherein the inhalation system is configured to deliver insulin to the pulmonary circulation of the subject, and the insulin measured at an exposure having a  $\text{AUC}_{0-2 \text{ hr}}$  greater than 160  $\mu\text{U}\cdot\text{min}/\text{mL}$  per unit of insulin emitted in the dry powder formulation.

17. The inhalation system of claim 16, wherein an insulin  $C_{\text{max}}$  is achieved less than 30 minutes after administration.

18. The inhalation system of claim 1, wherein the first flow path is sheared by the second flow path when the first flow path exits the container.

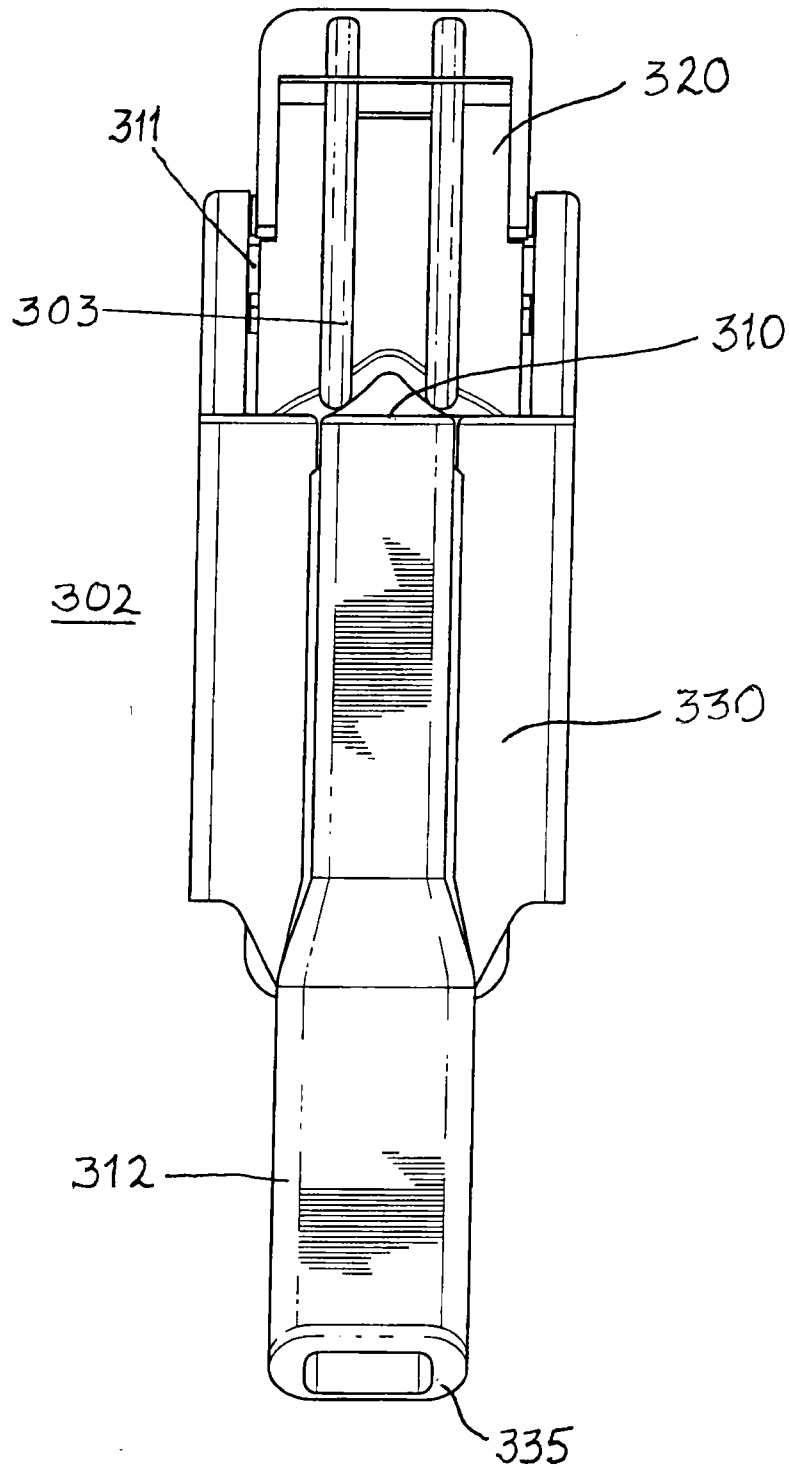
2014200960 24 Feb 2014





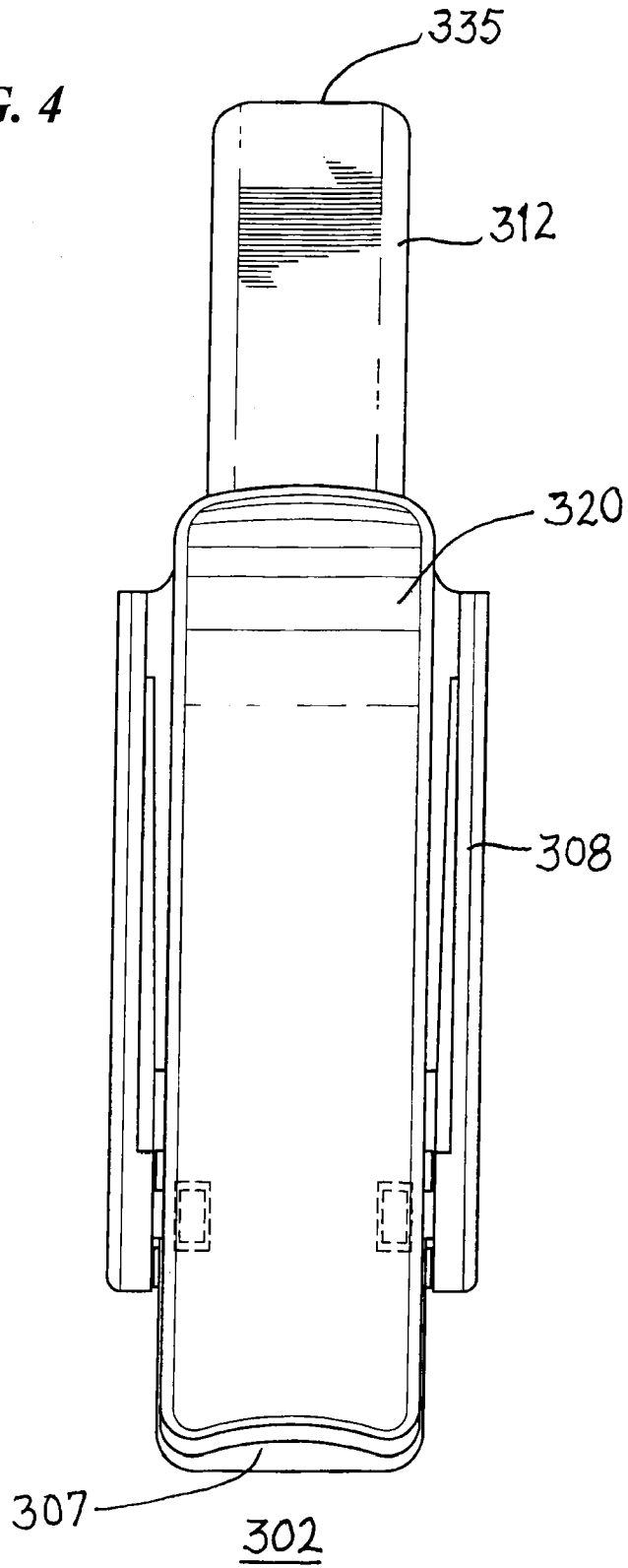
2014200960 24 Feb 2014

**FIG. 3**

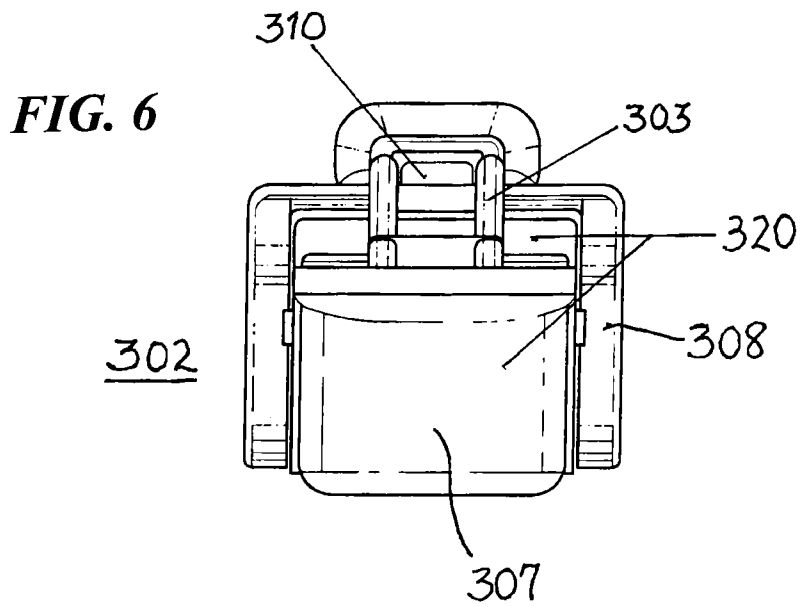
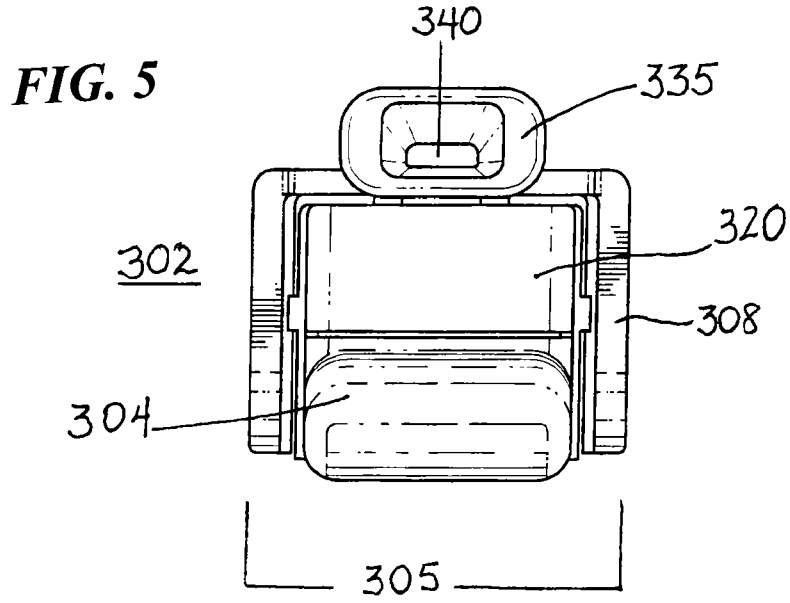


2014200960 24 Feb 2014

**FIG. 4**



2014200960 24 Feb 2014



2014200960 24 Feb 2014

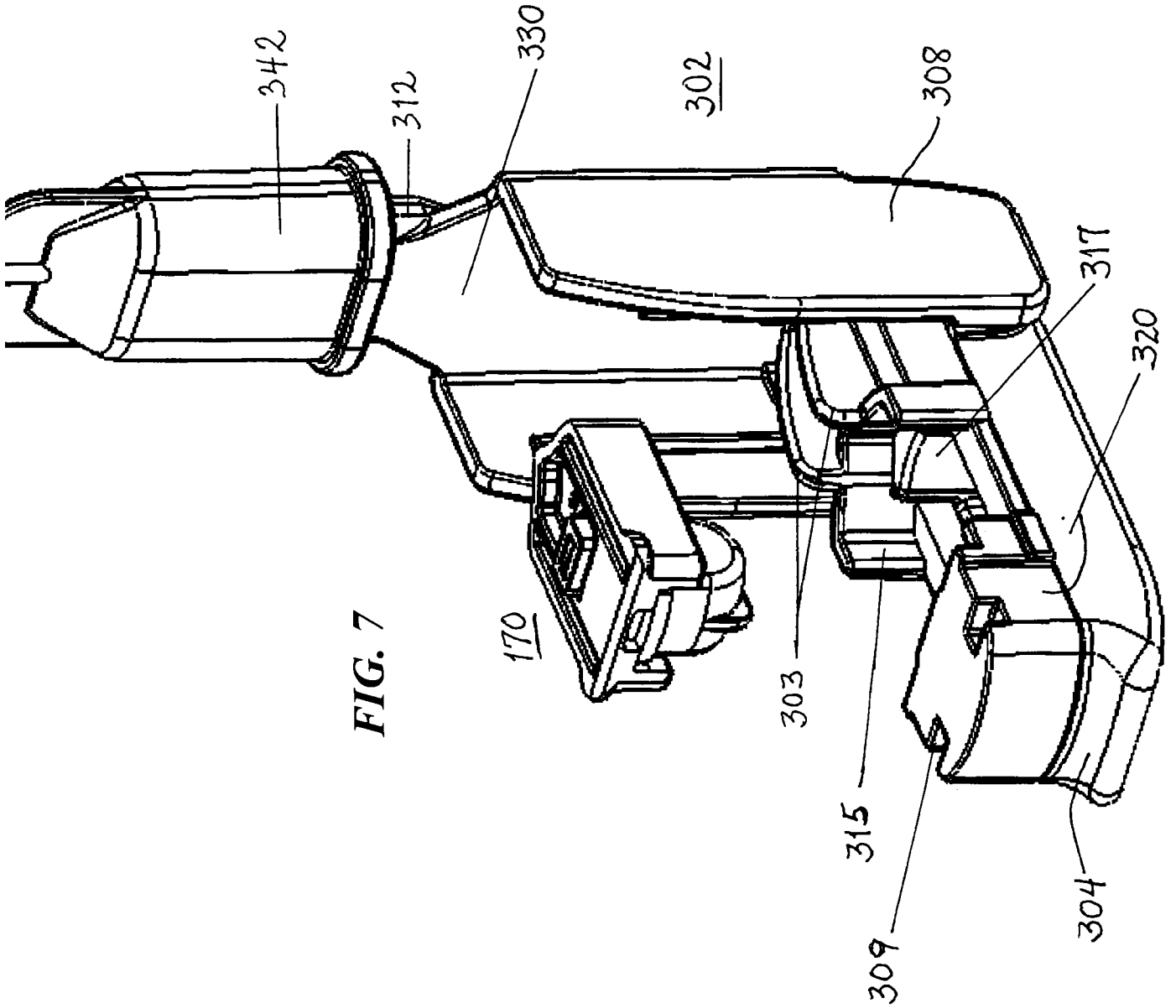


FIG. 7

2014200960 24 Feb 2014

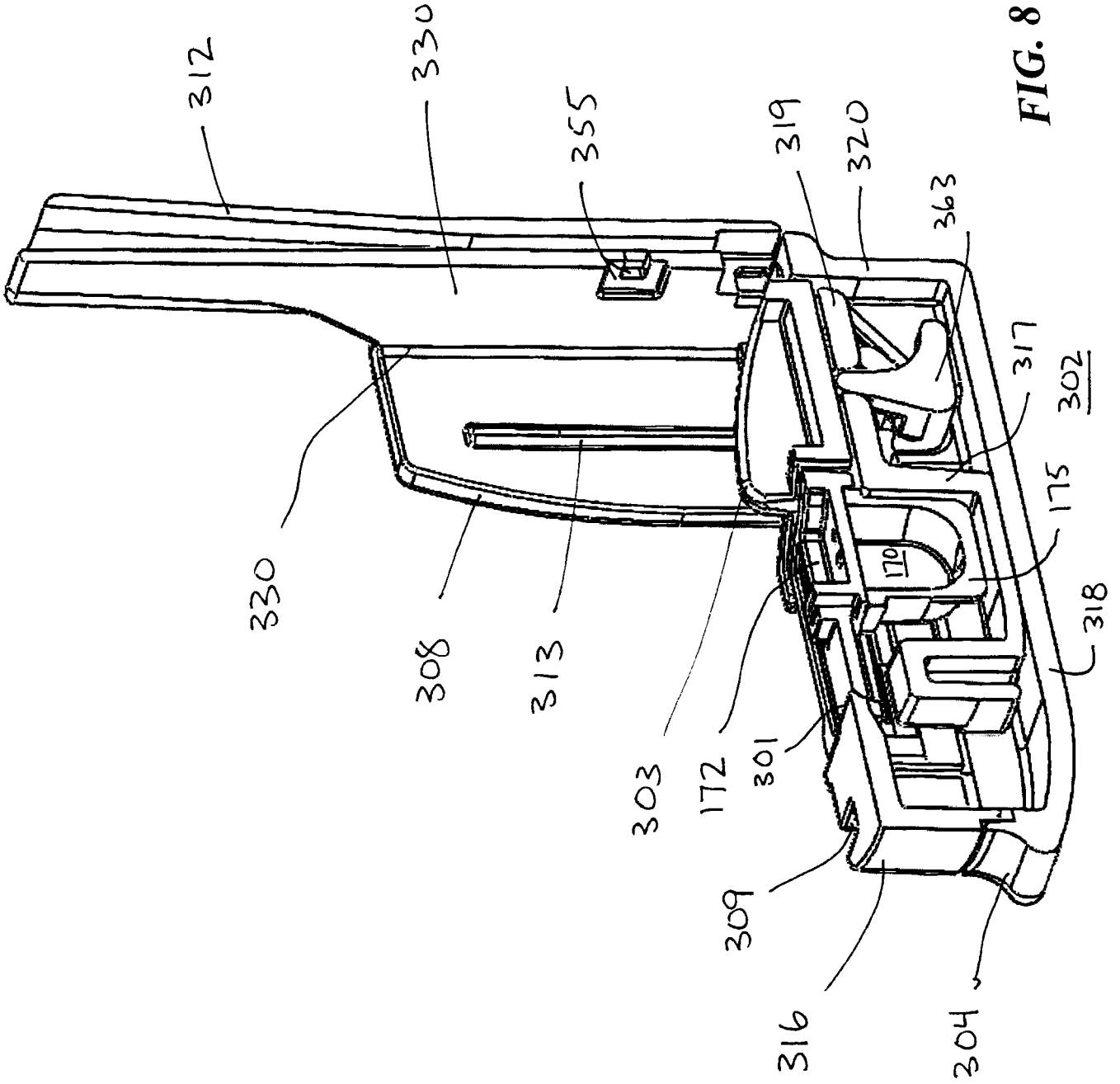
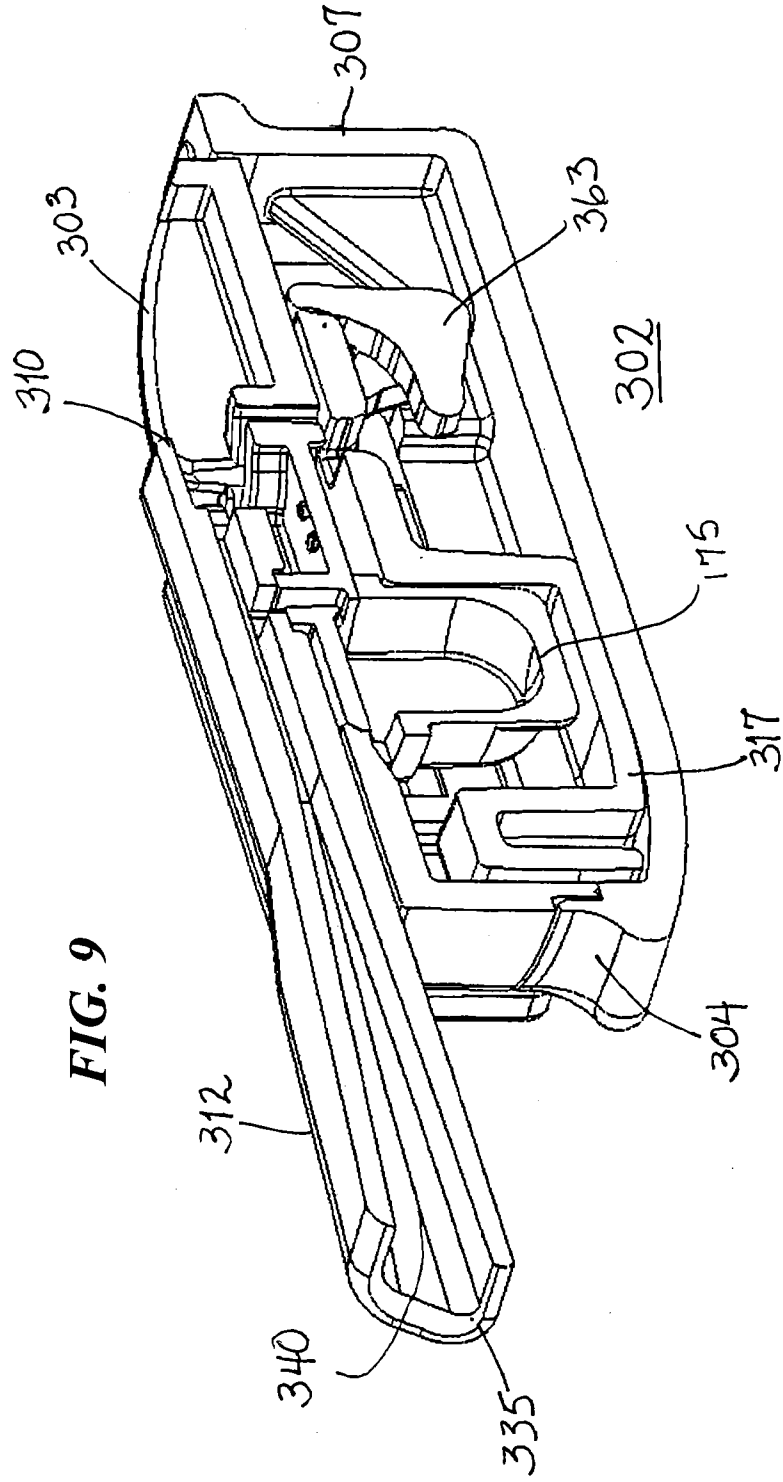
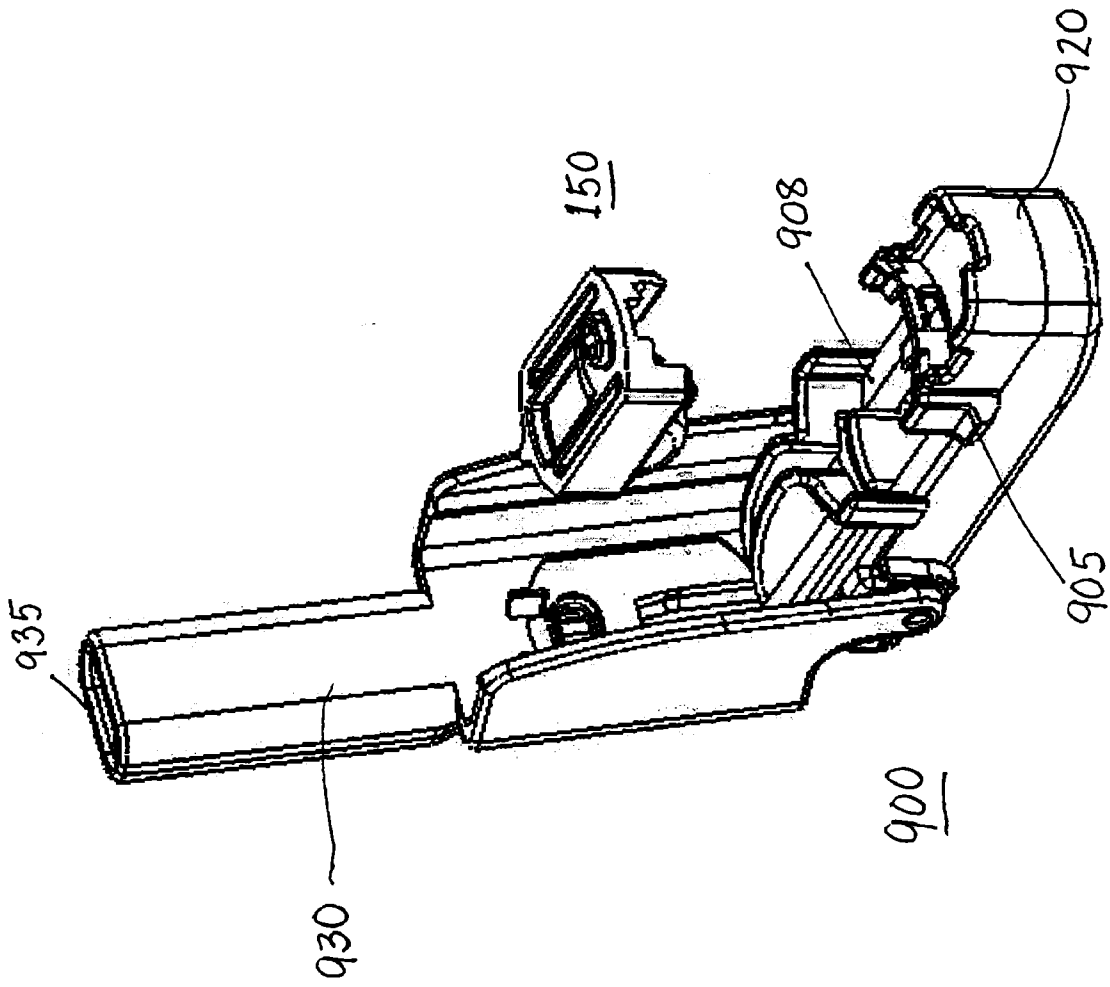


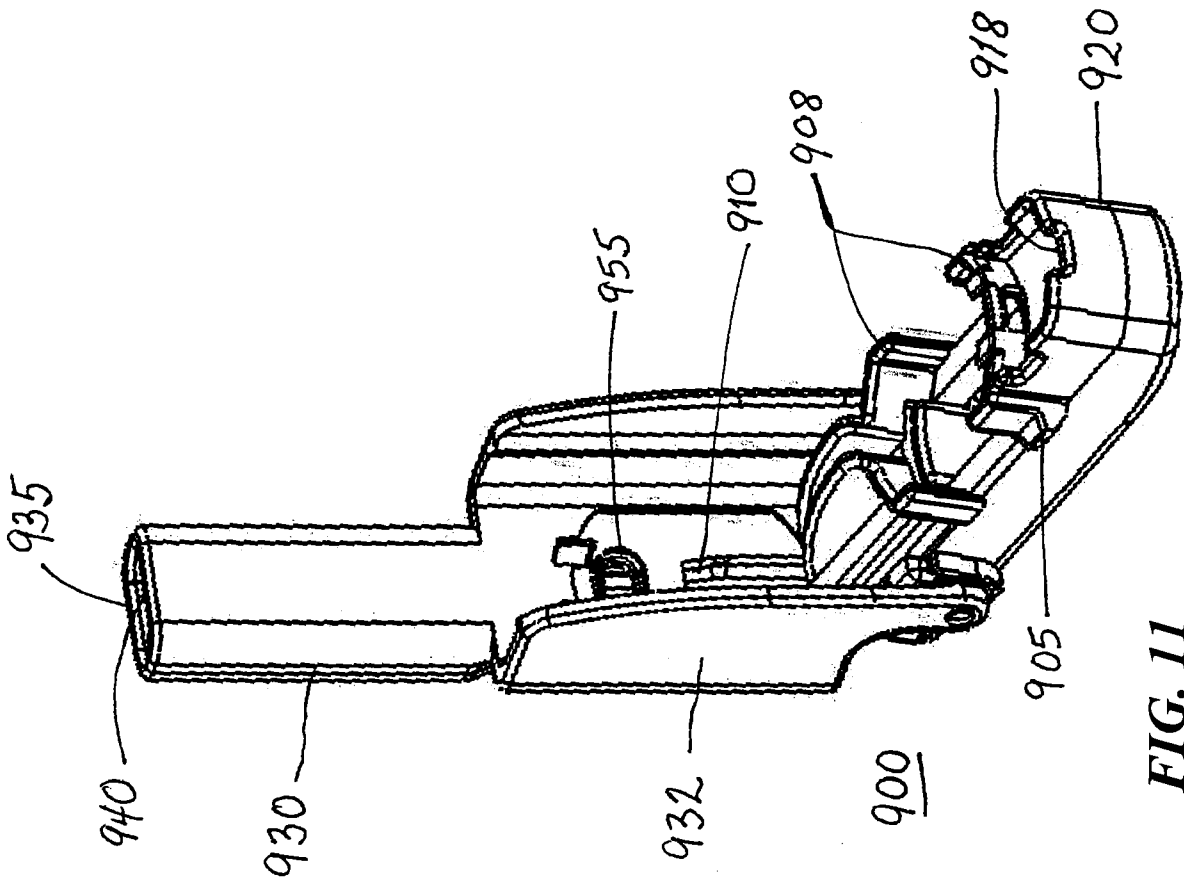
FIG. 8



**FIG. 9**



2014200960 24 Feb 2014



**FIG. 11**

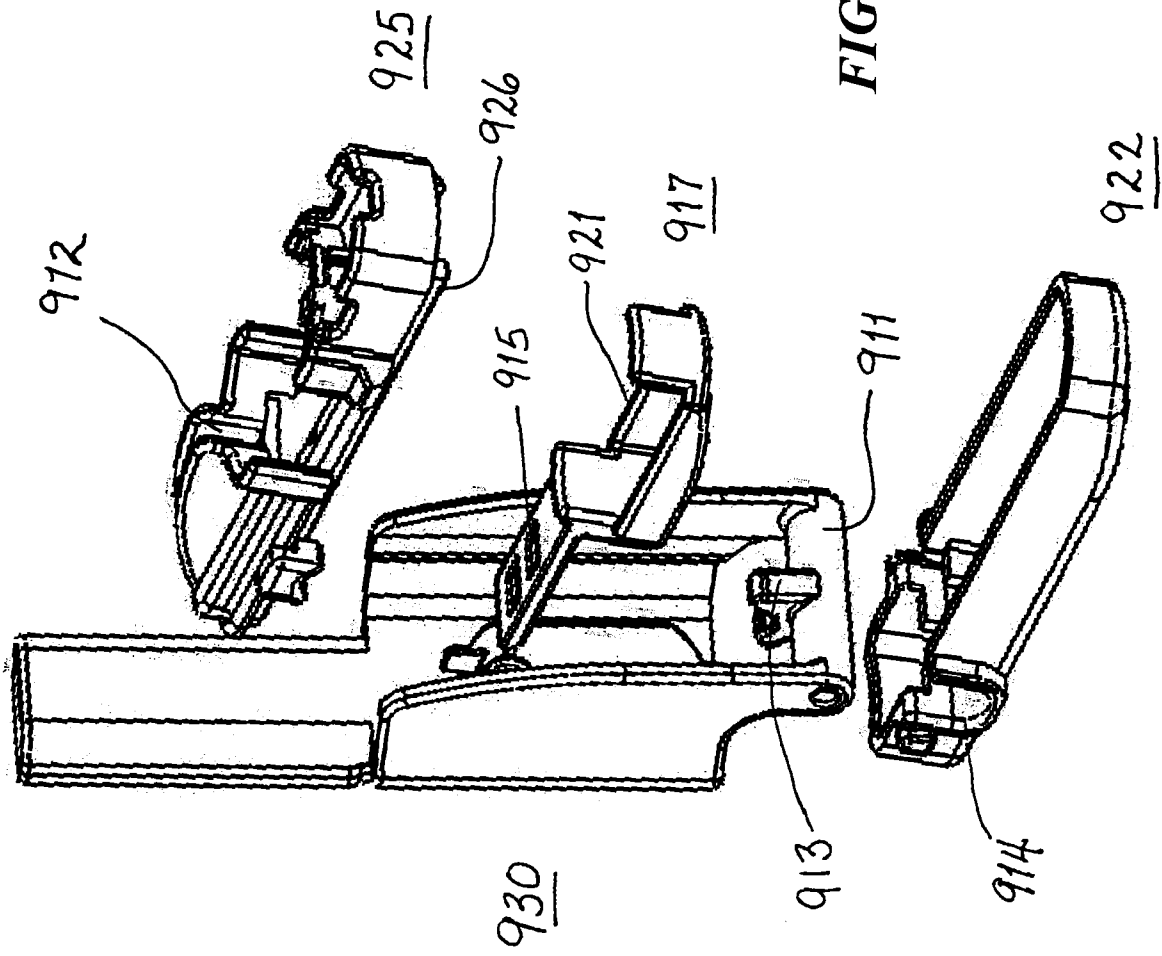


FIG. 12

2014200960 24 Feb 2014

FIG. 13

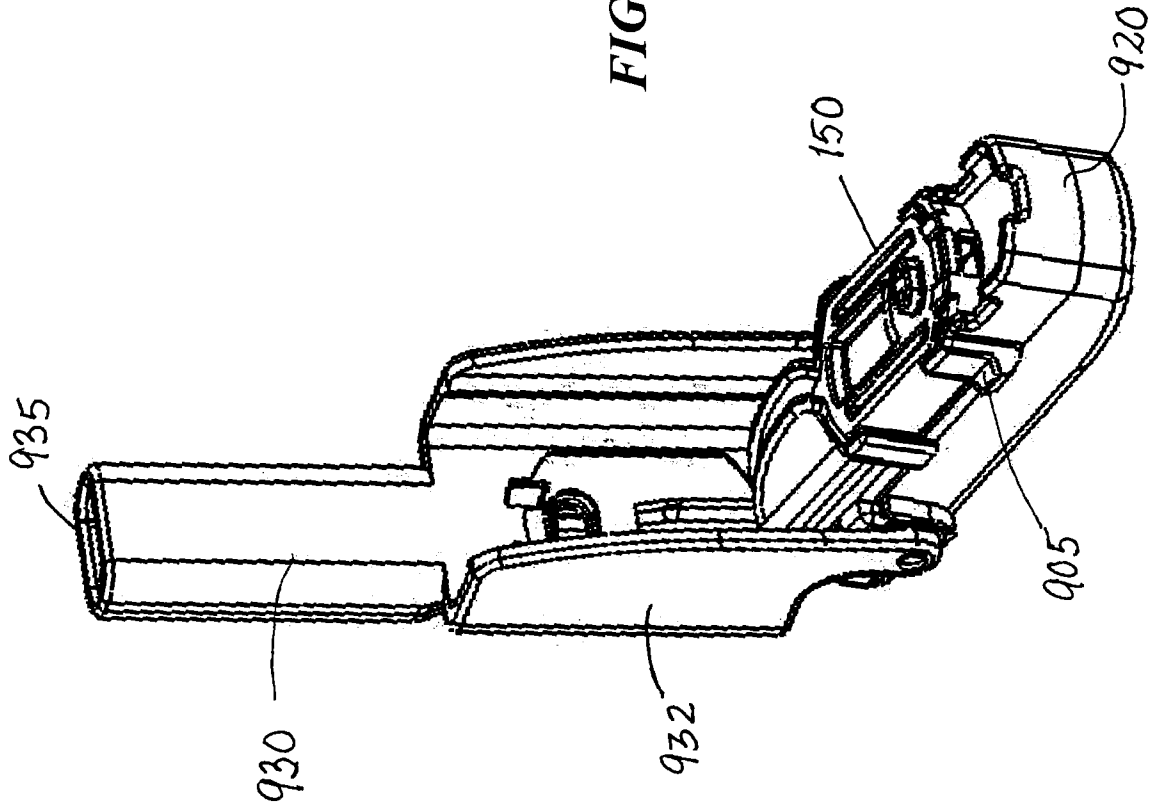
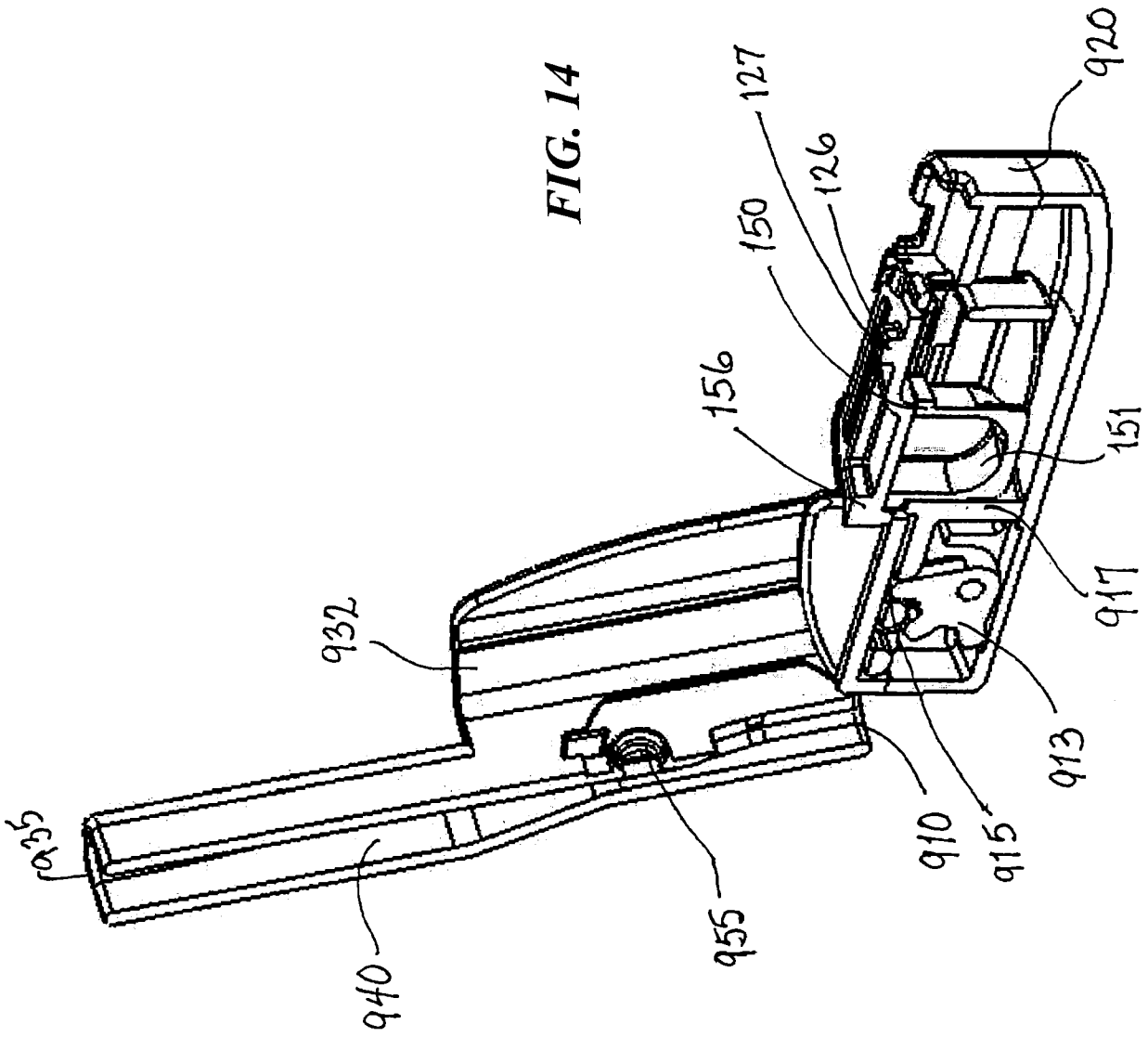


FIG. 14



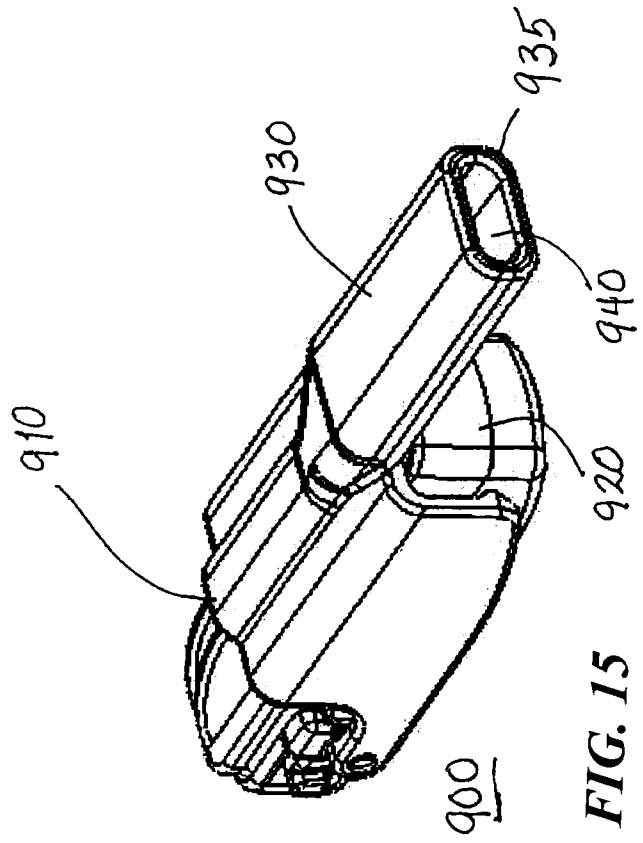
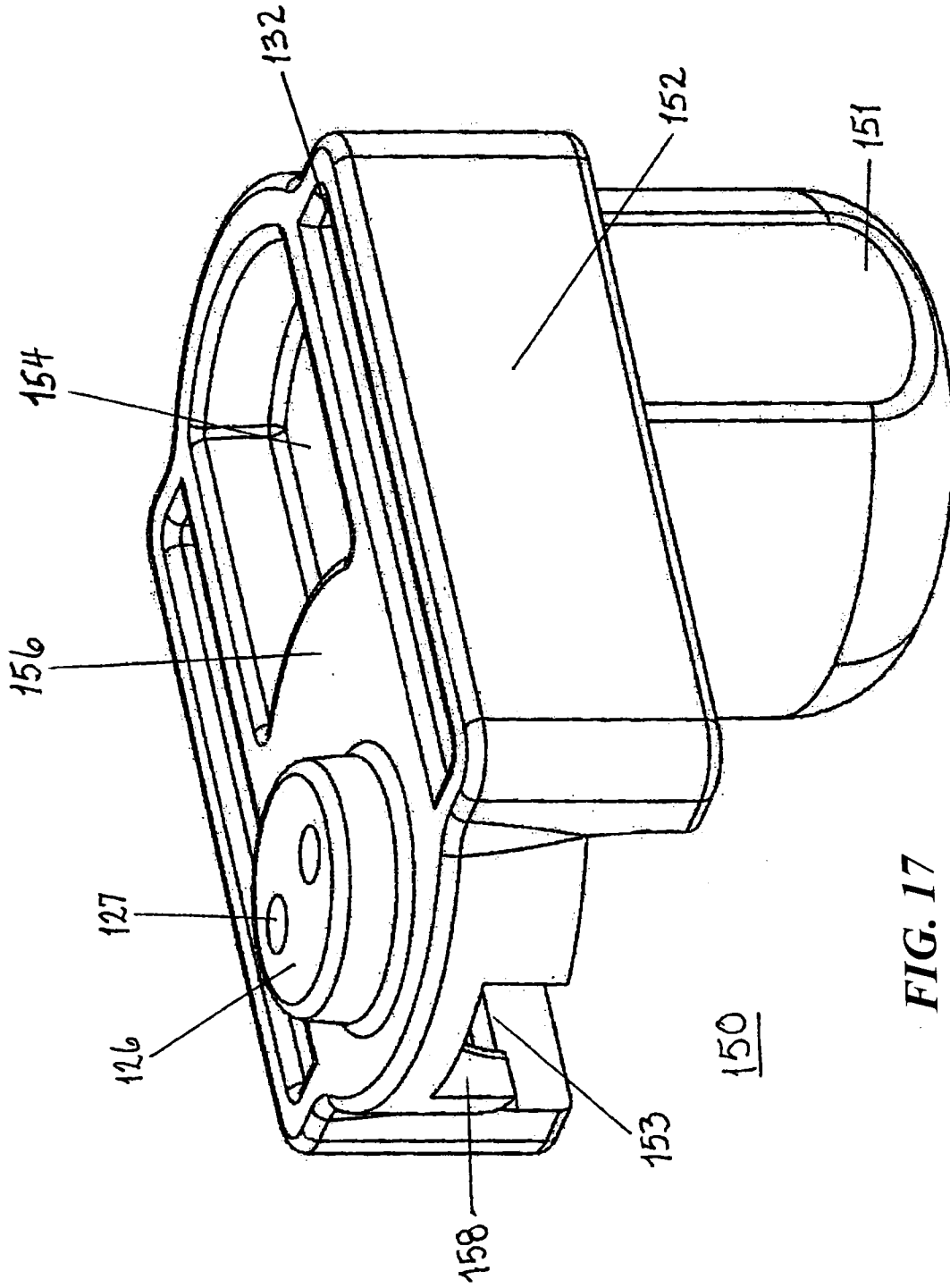


FIG. 15





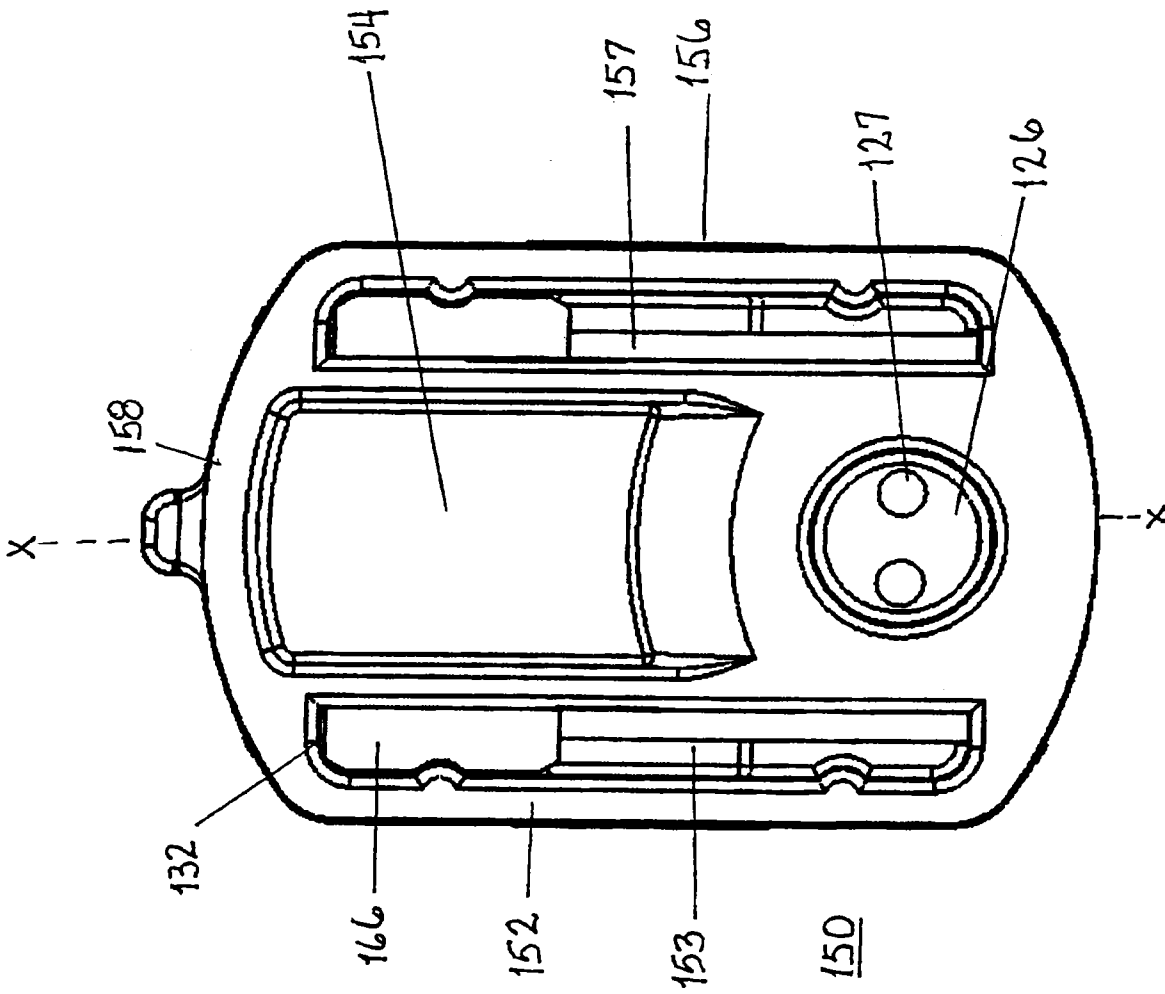
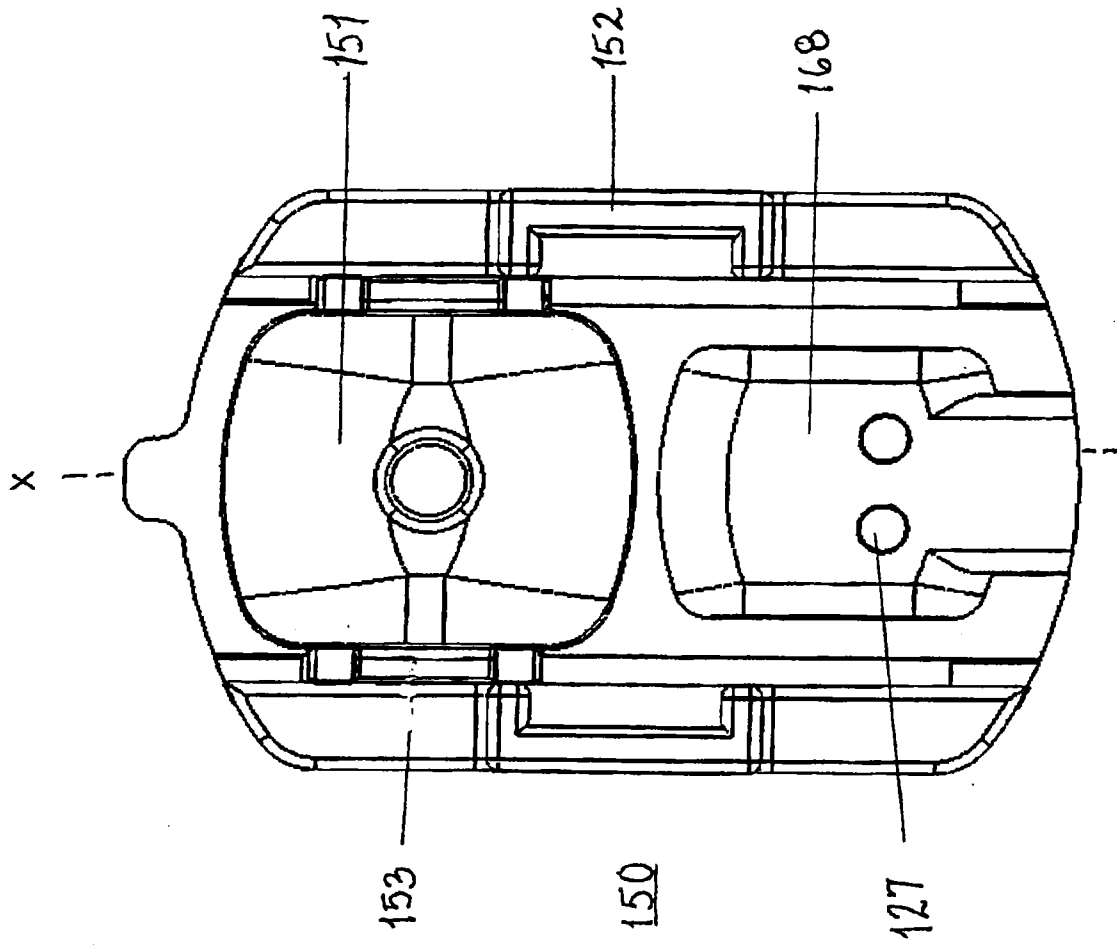


FIG. 18

2014200960 24 Feb 2014



**FIG. 19**

2014200960 24 Feb 2014

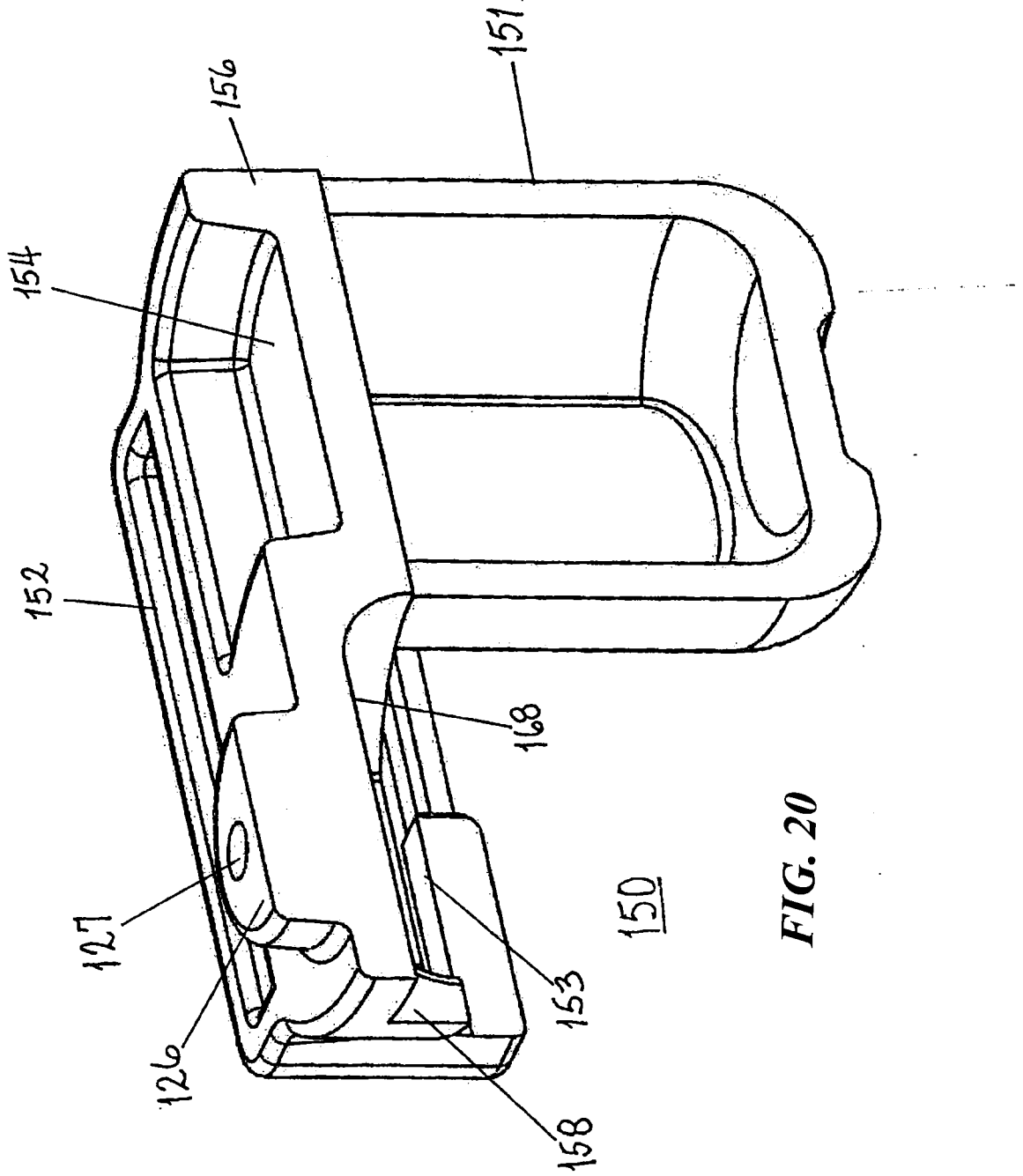


FIG. 20

2014200960 24 Feb 2014

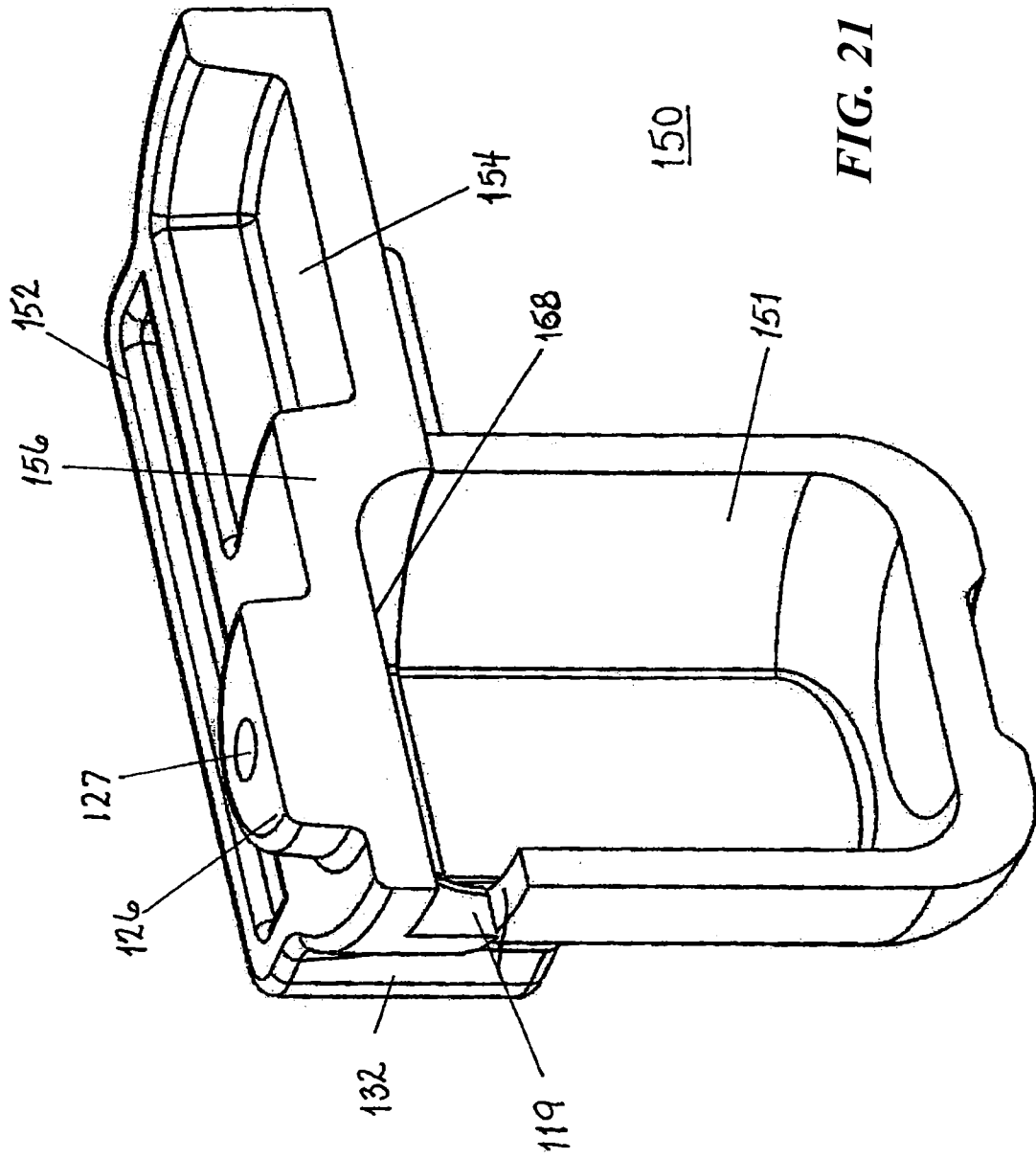


FIG. 21

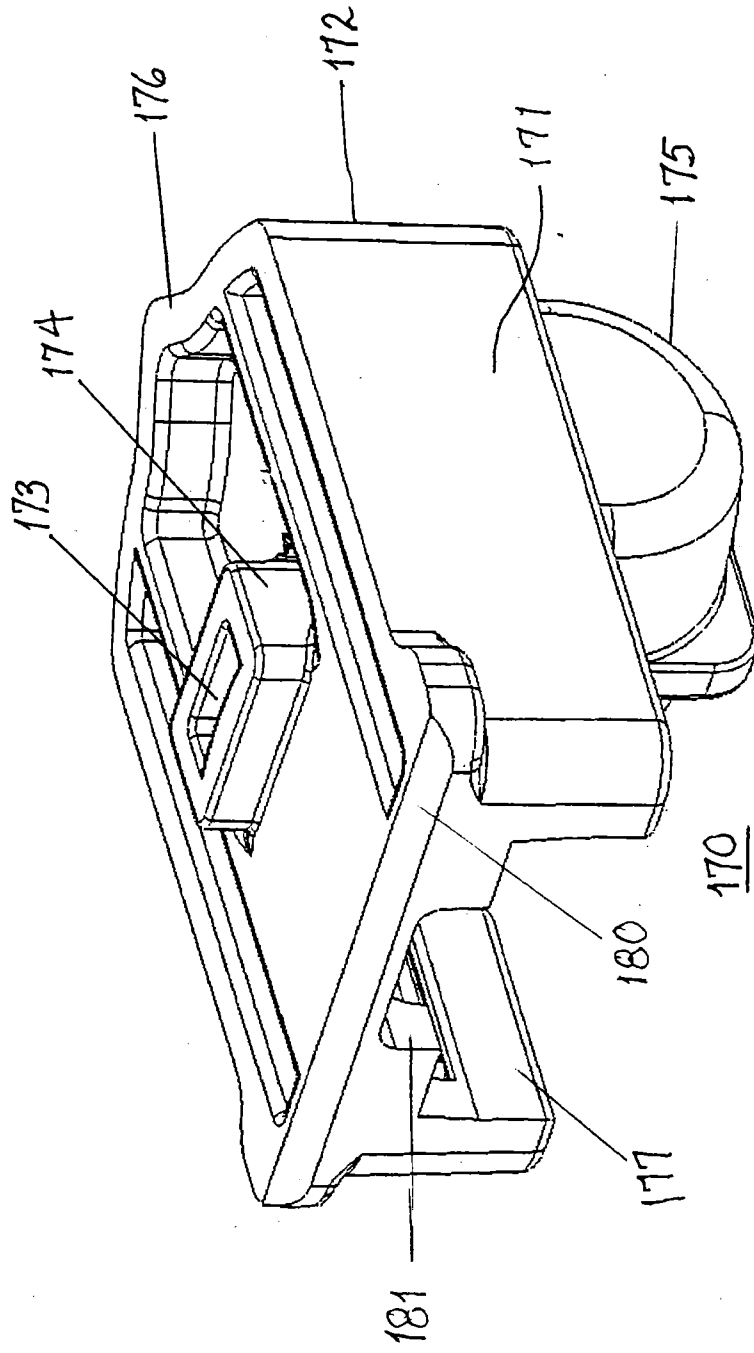
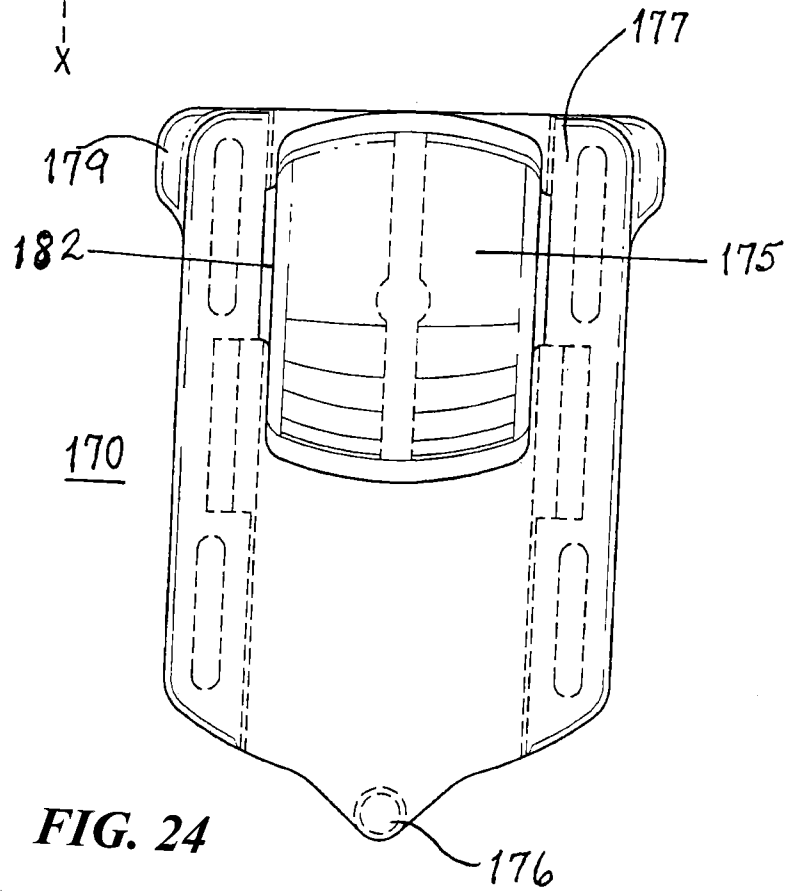
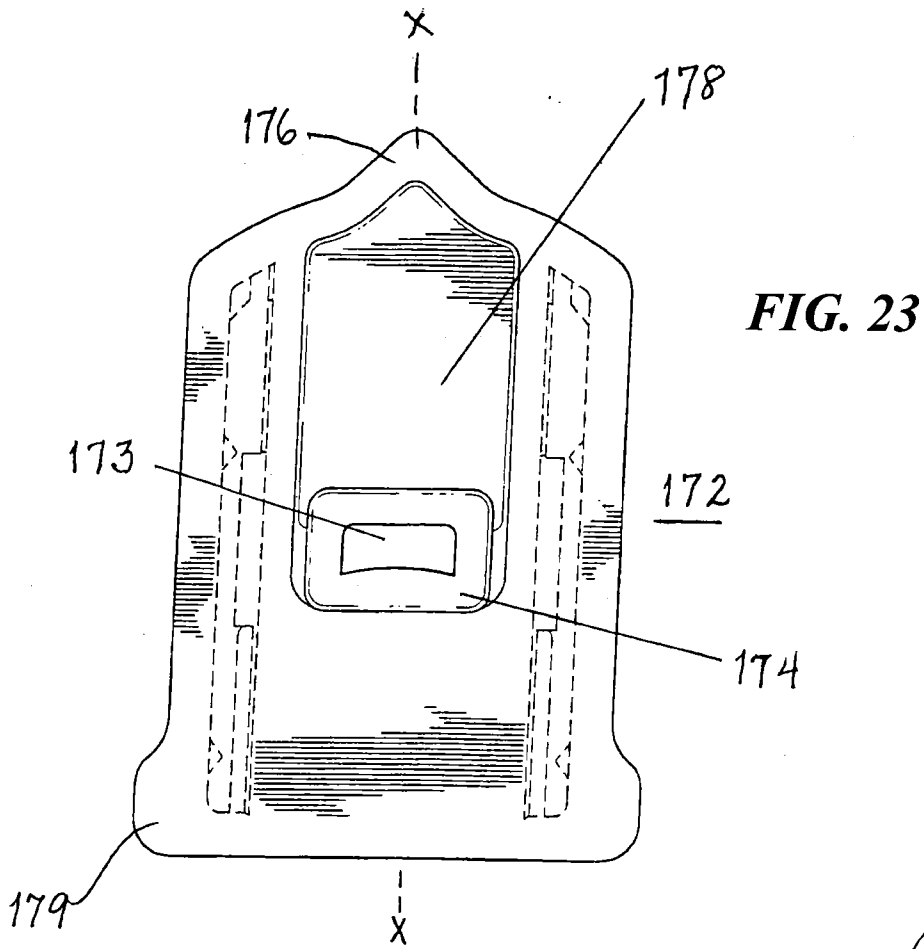
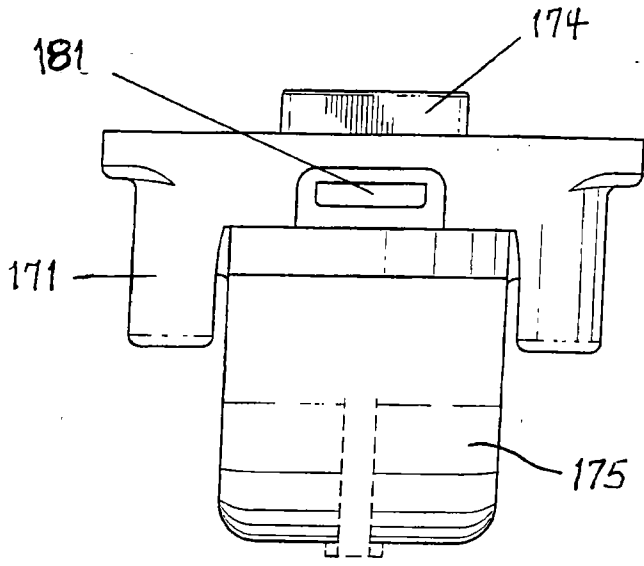


FIG. 22

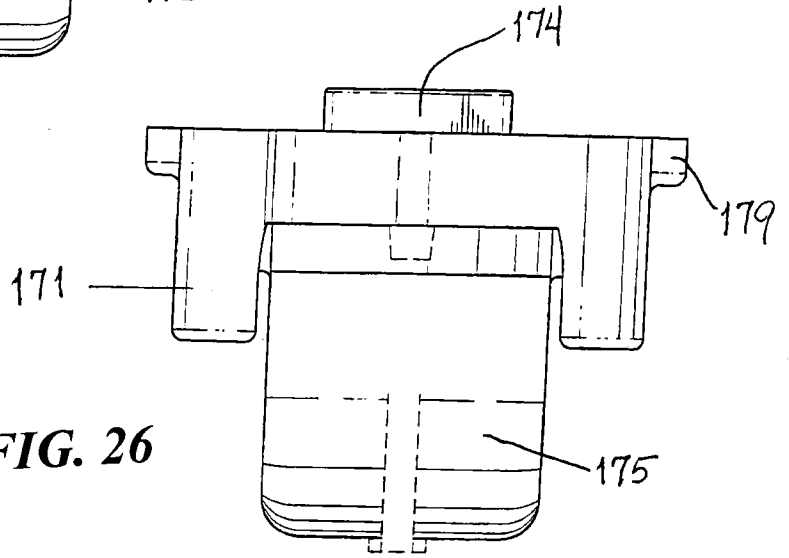
2014200960 24 Feb 2014



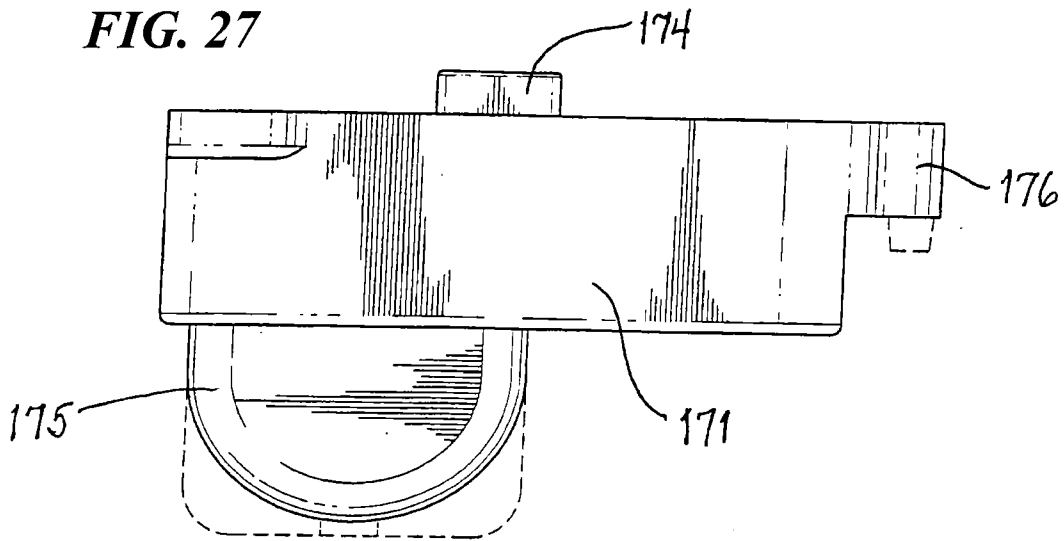
2014200960 24 Feb 2014



**FIG. 25**



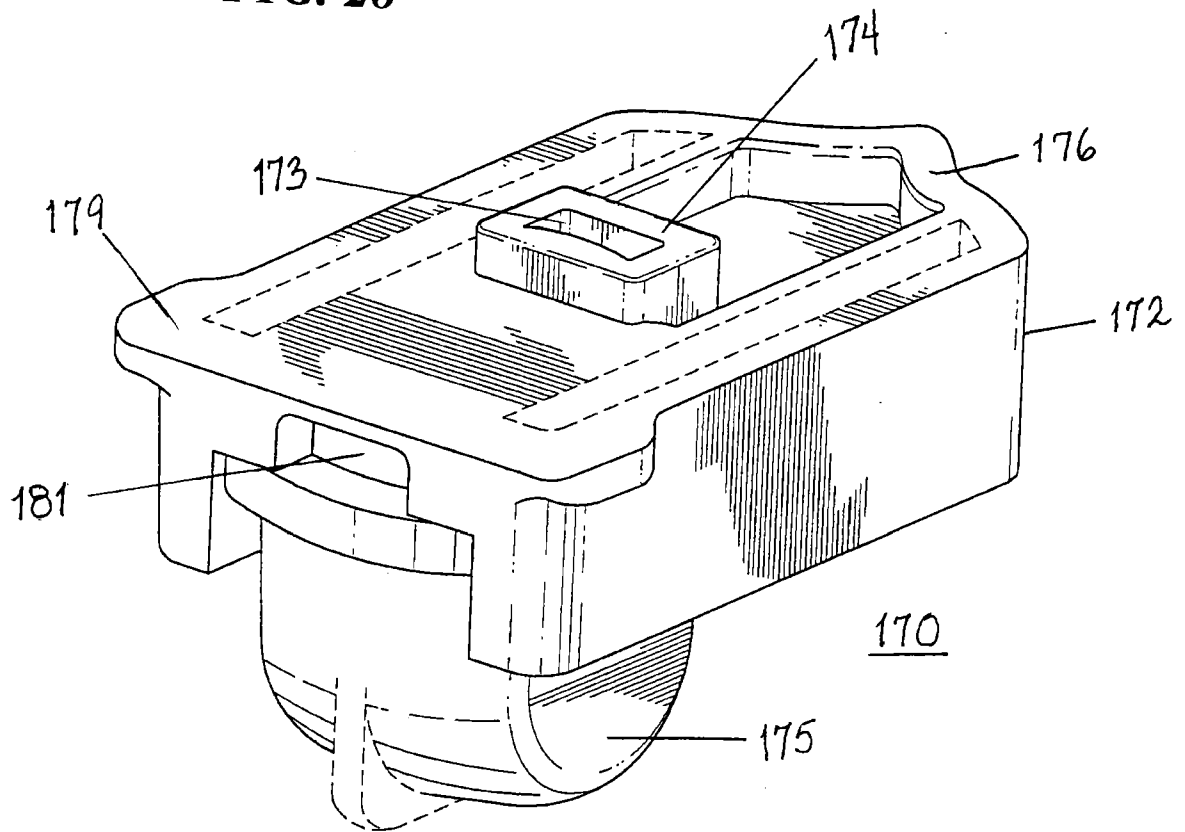
**FIG. 26**



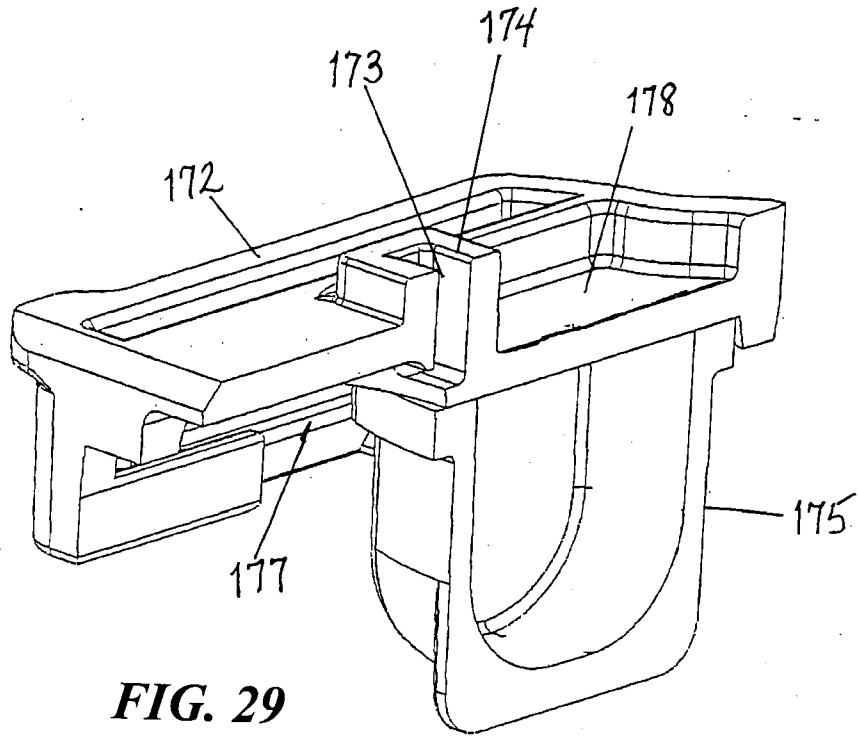
**FIG. 27**

2014200960 24 Feb 2014

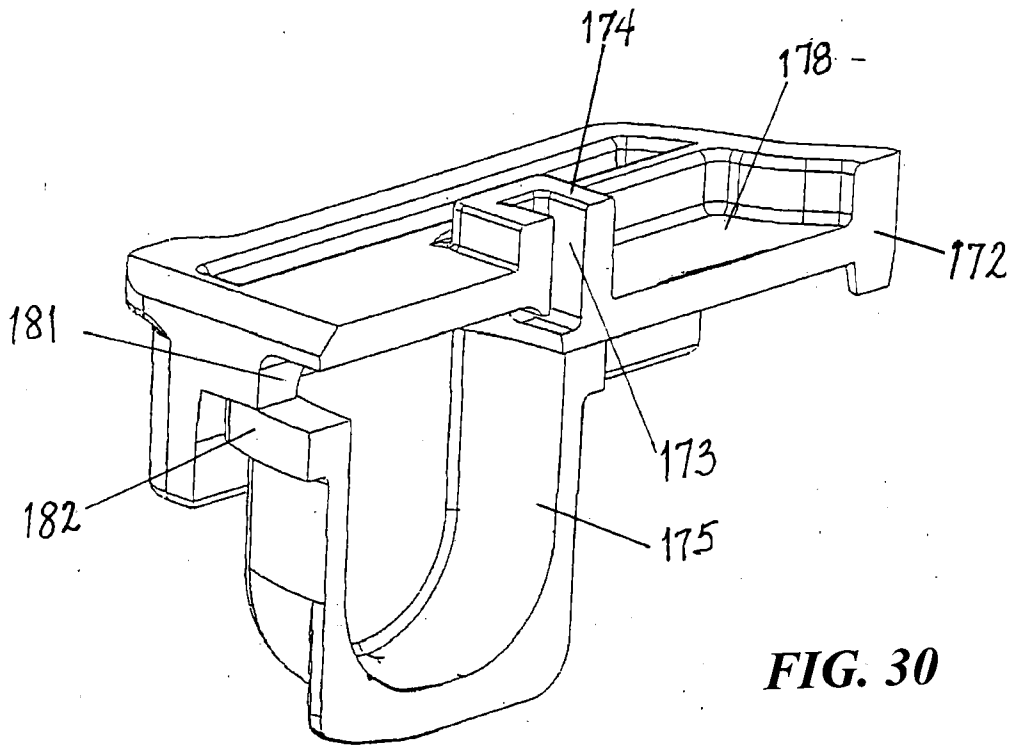
**FIG. 28**



2014200960 24 Feb 2014

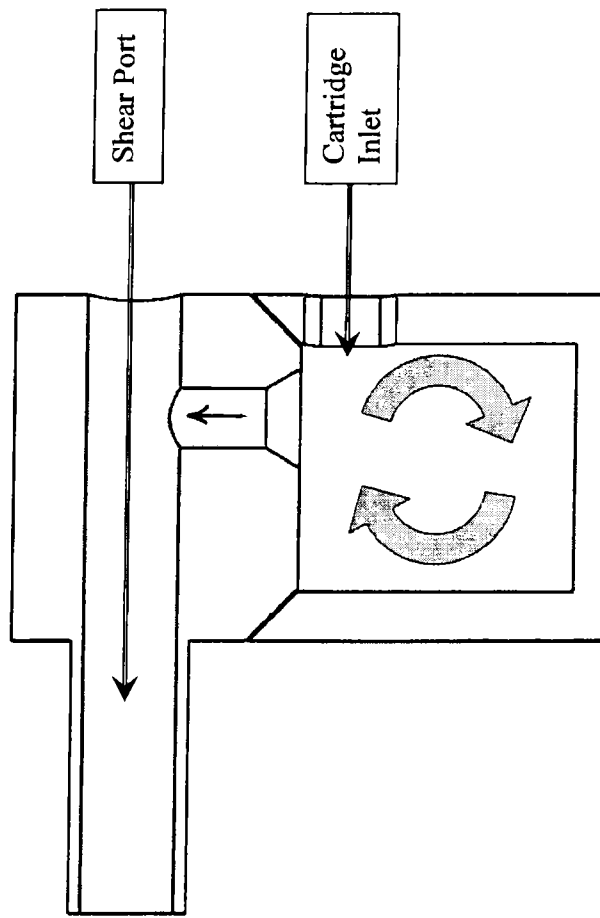


**FIG. 29**



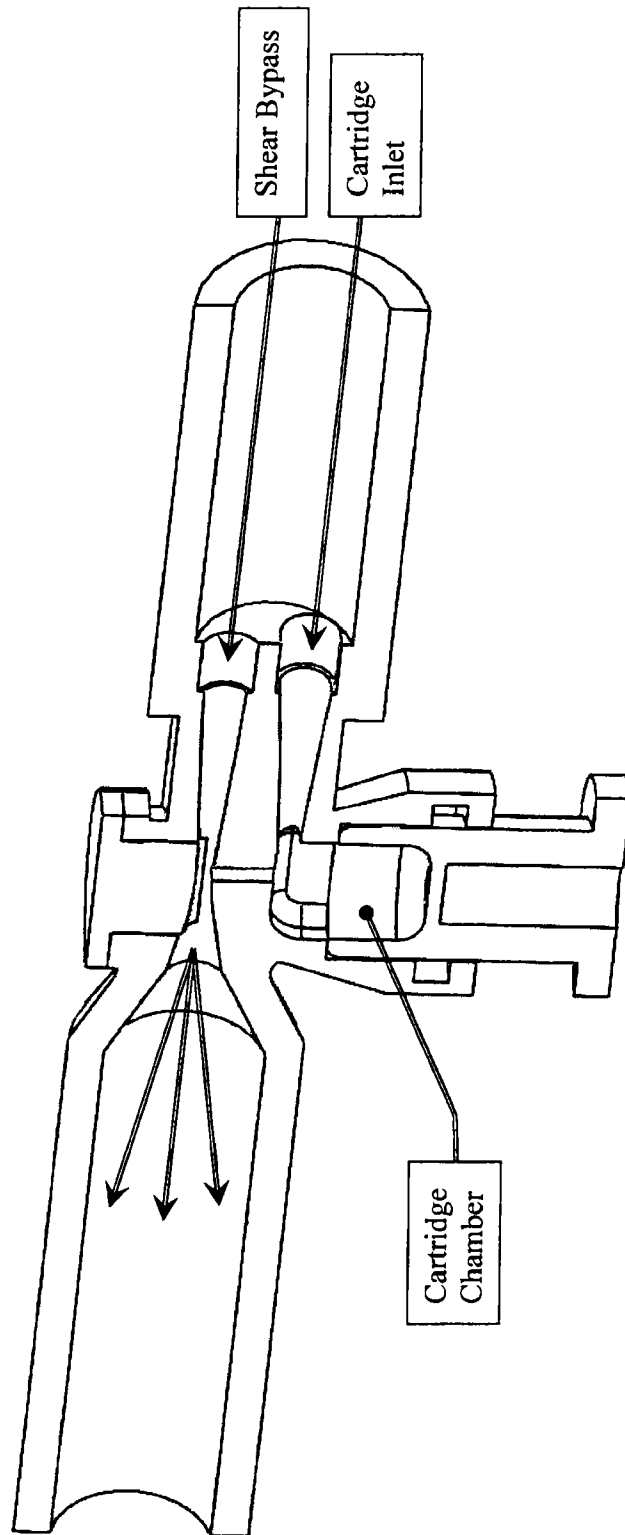
**FIG. 30**

2014200960 24 Feb 2014



**FIG. 31**

2014200960 24 Feb 2014



**FIG. 32**

2014200960 24 Feb 2014

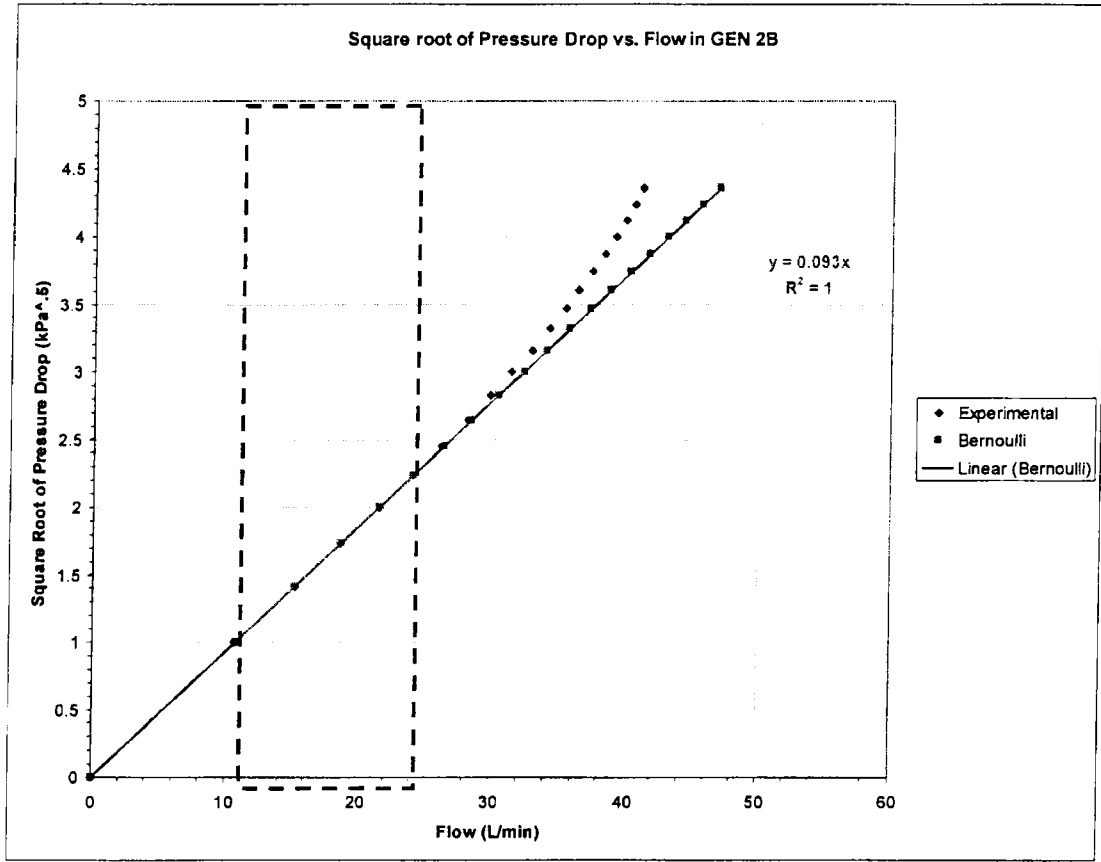


FIG. 33

2014200960 24 Feb 2014

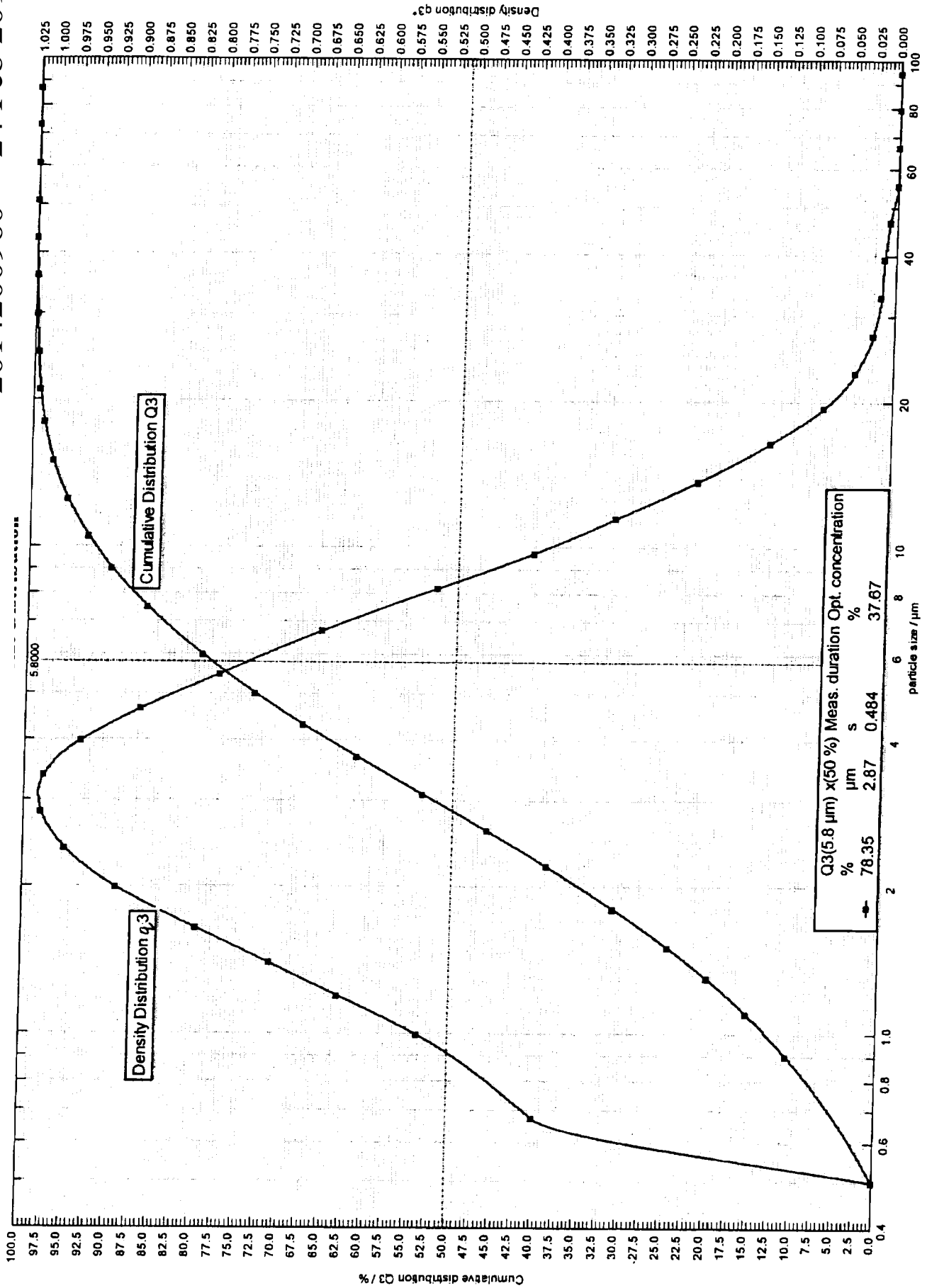


FIG. 34

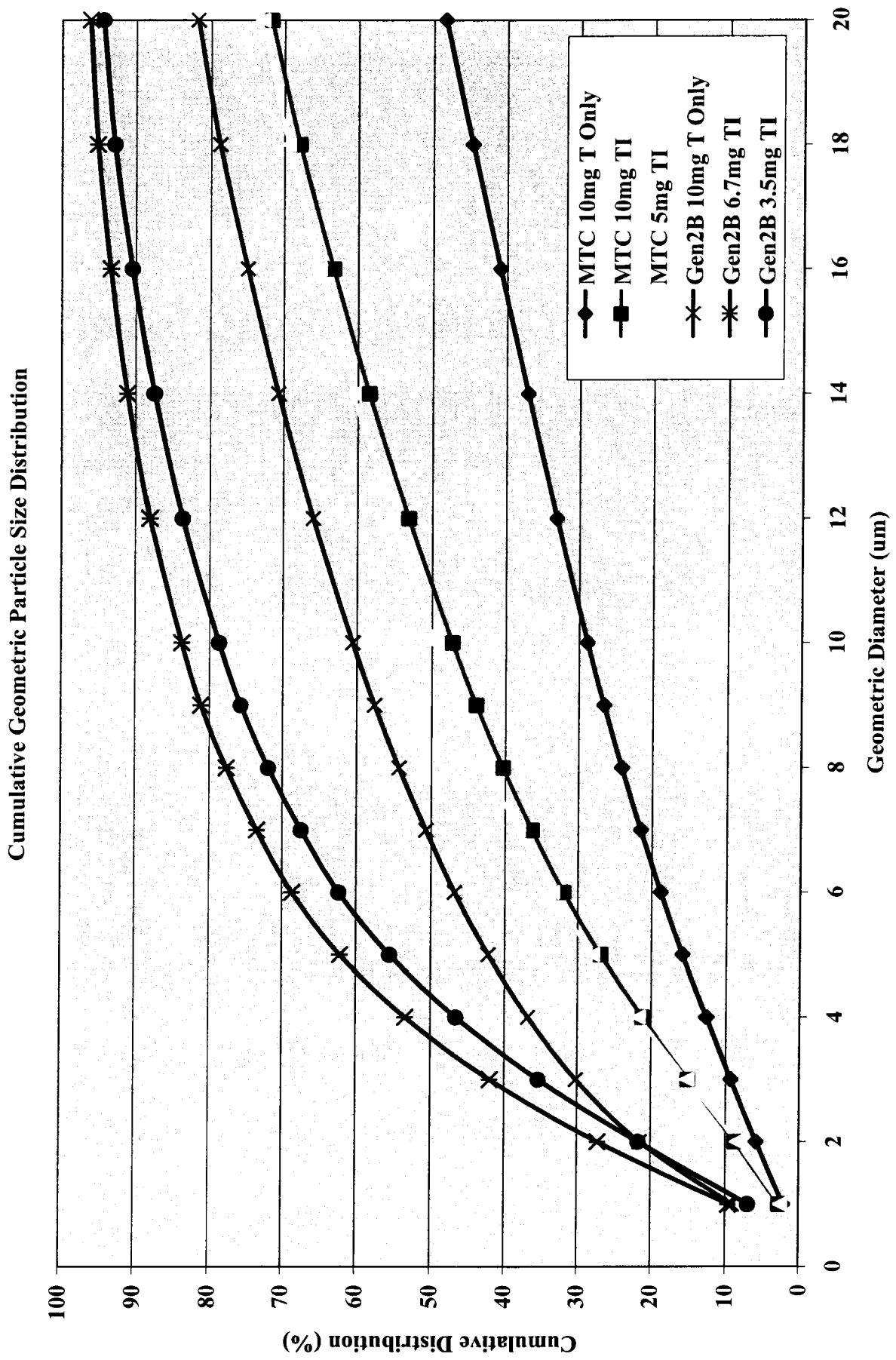


FIG. 35

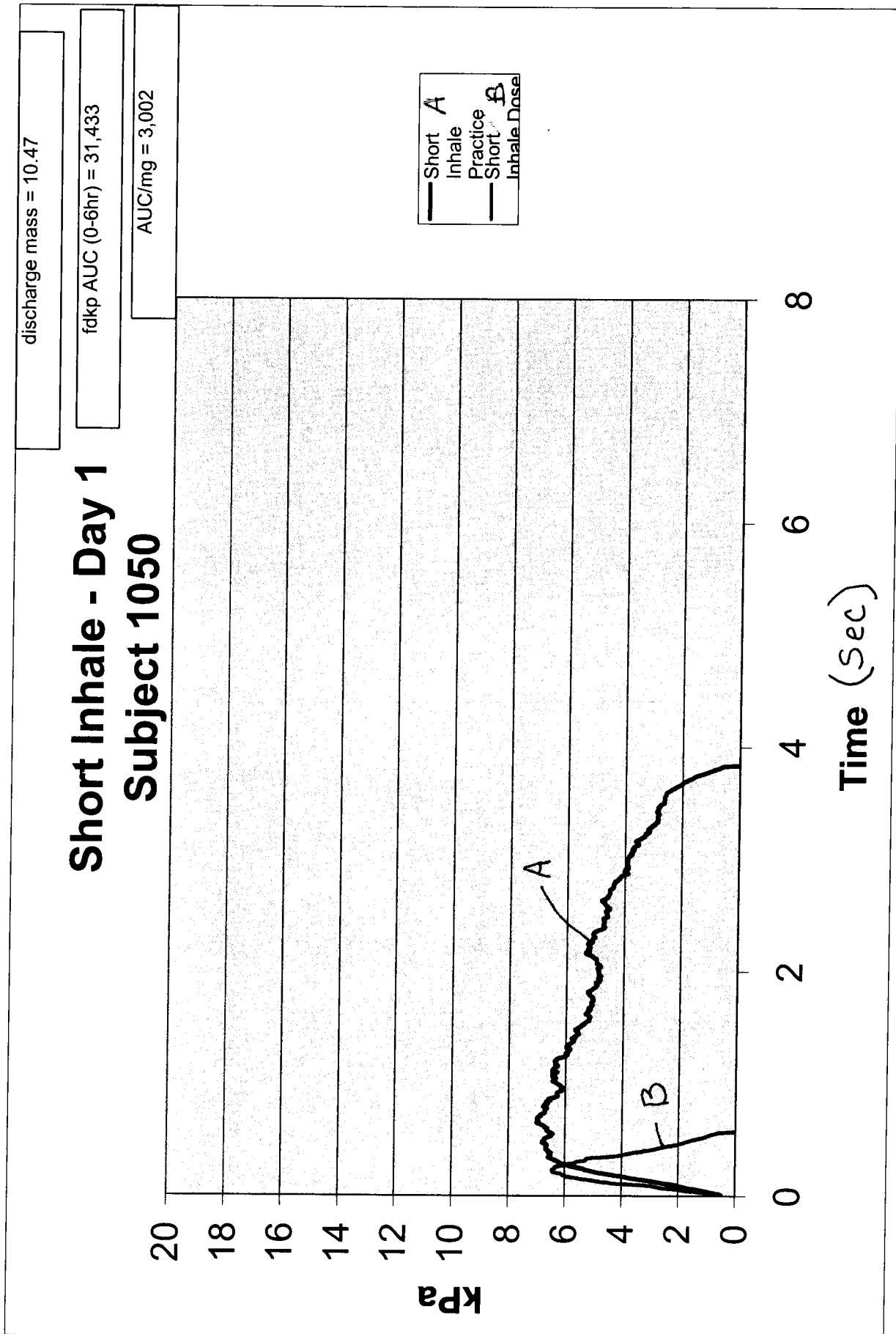
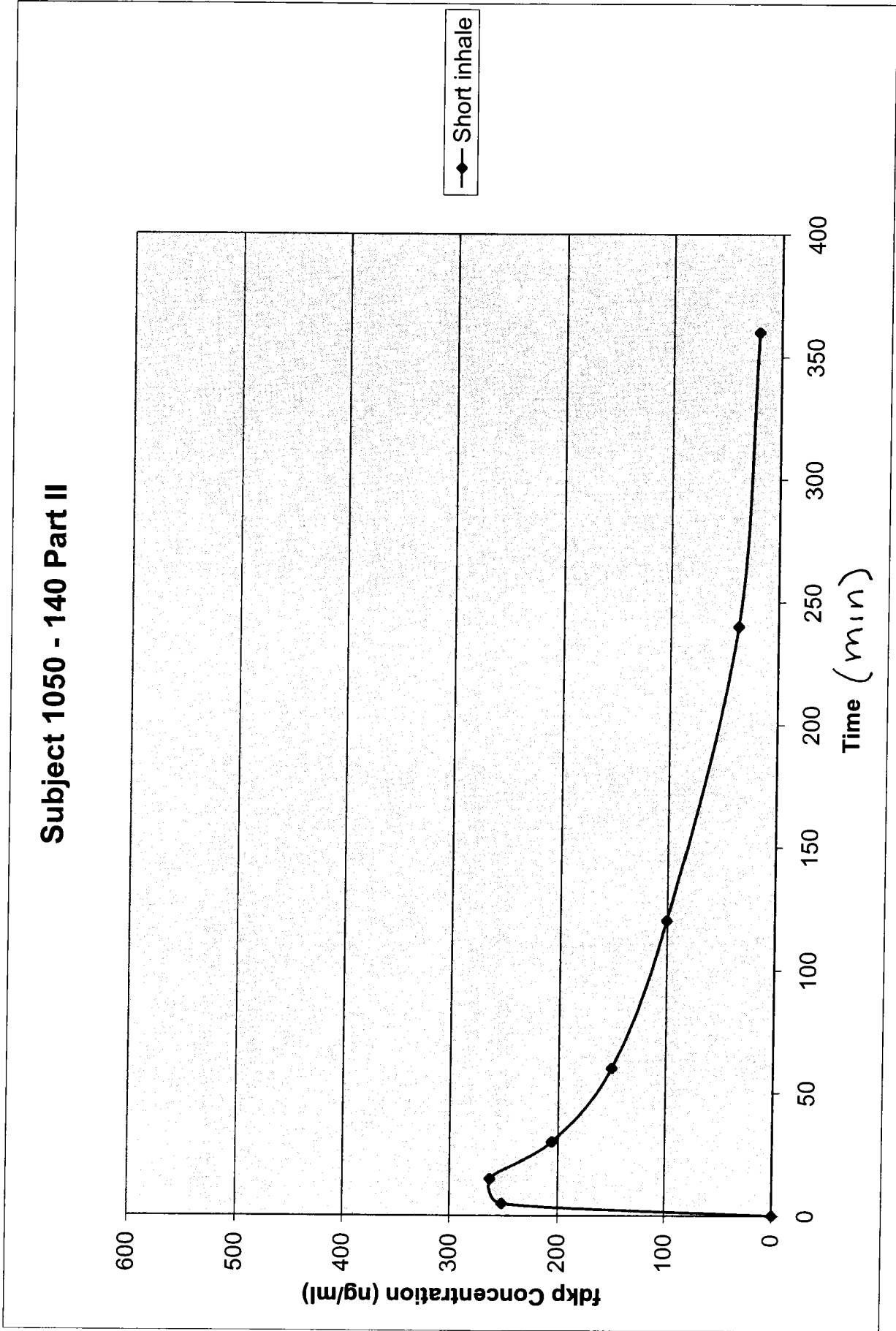


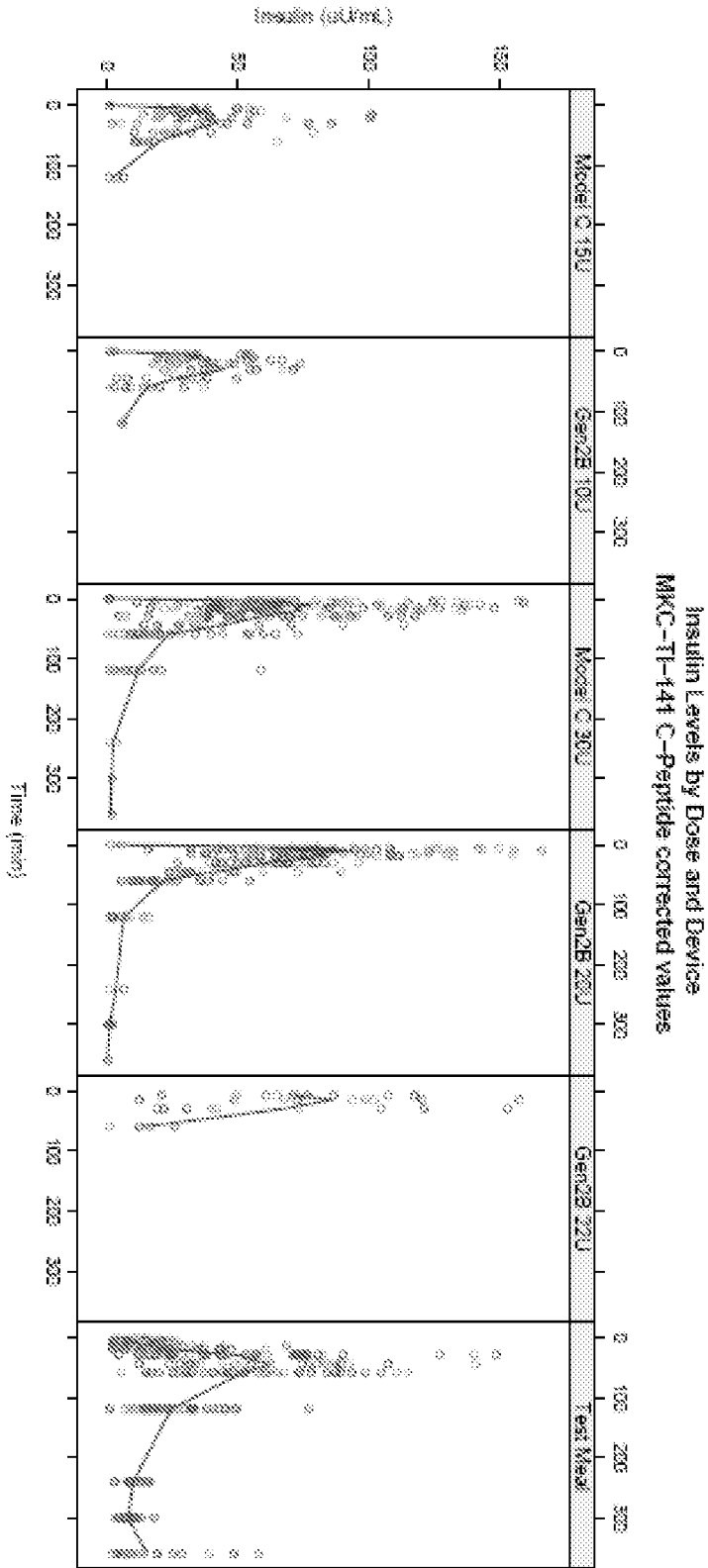
FIG. 36

2014200960 24 Feb 2014



**FIG. 37**

Figure 38: Insulin concentrations over Time by Dose Group



2014200960 24 Feb 2014

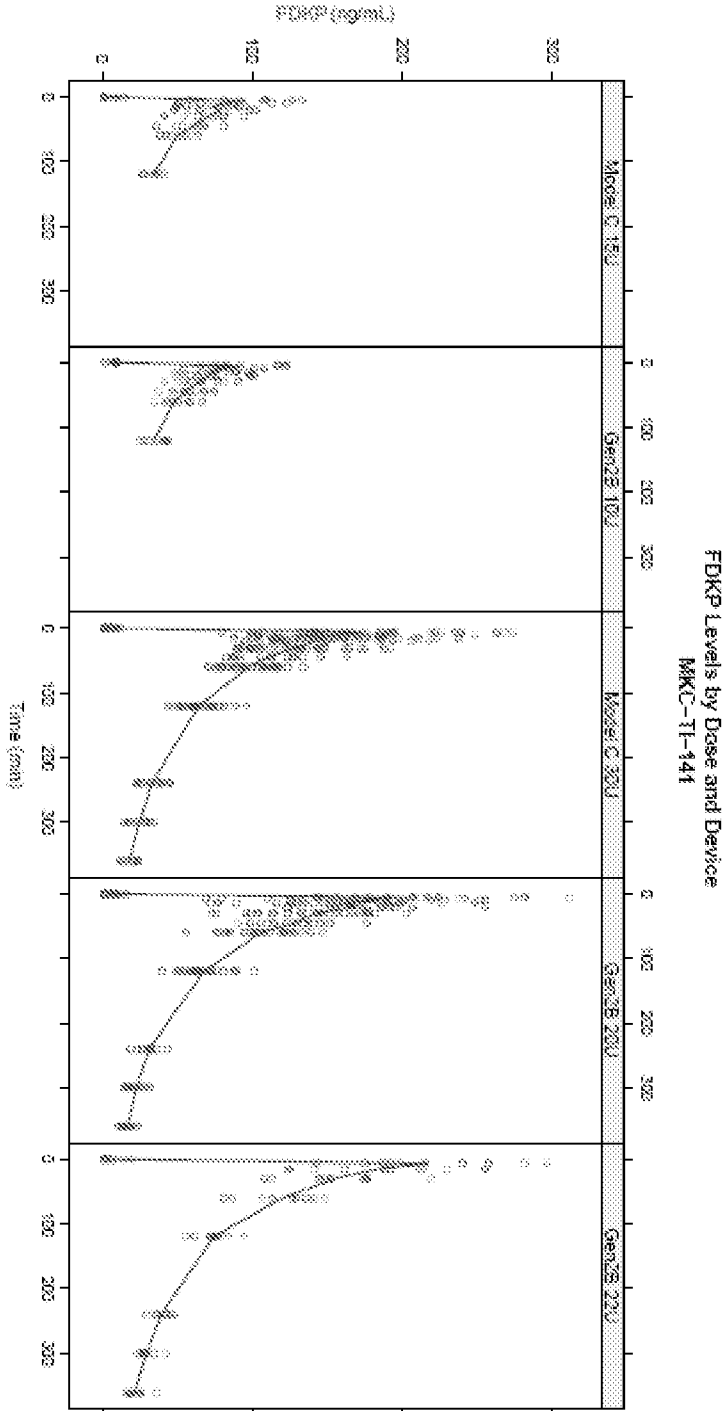


FIG. 39: FDKP concentrations over Time by Dose Group

FIG. 40: Glucose Excursions for each Individual in the Study

