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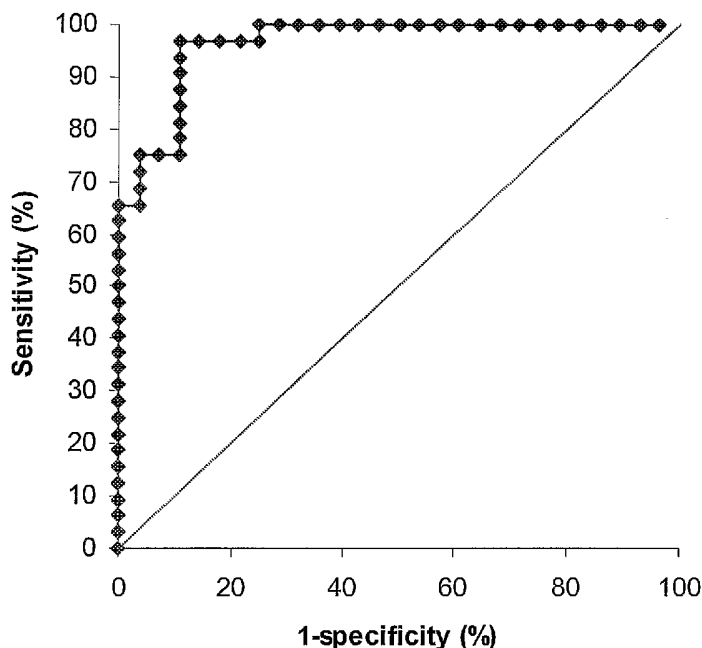
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(54) Title: DETERMINATION OF NEUTROPHIL GELATINASE-ASSOCIATED LIPOCALIN (NGAL) AS A DIAGNOSTIC MARKER FOR RENAL DISORDERS



(57) Abstract: Methods for diagnosing renal disorders by measuring human neutrophil gelatinase-associated lipocalin (NGAL) are provided.



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**Determination of Neutrophil Gelatinase-Associated
Lipocalin (NGAL) as a Diagnostic Marker for Renal
Disorders**

5 This application is a non-provisional of U.S. provisional applications Serial No. 60/637,503, filed 20 December, 2004, and 60/719,307, filed 21 September 2005, which are hereby incorporated by reference in their entirety.

10 **Field of the invention**

The present invention relates to methods for diagnosis and monitoring of human disease by means of measurement in a bodily fluid of human neutrophil gelatinase-associated lipocalin (NGAL), the abnormal
15 concentration of which is indicative of a disease or group of diseases, in this instance disorders of the kidney resulting in decreased renal function, including those caused by ischemic injury (due to impaired blood supply to the kidney) or exposure to nephrotoxic agents
20 or rejection of a transplanted kidney. The methods of the present invention are particularly useful for the early detection of the renal response to ischemic injury, the clinical or pathologic consequences of which are typically acute renal failure (ARF), acute tubular
25 necrosis (ATN) or acute tubulo-interstitial nephropathy (ATIN), and can also be used to monitor the course of renal disorders including the response to therapeutic measures.

30 **Background of the Invention**

The detection of renal ischemic injury that may result in ARF, ATN or ATIN has hitherto depended on clinical signs, such as oliguria and fluid retention,

combined with the results of chemical tests on blood and urine showing a low sodium excretion, raised and rising levels of creatinine and urea in the blood and, if measured, a low creatinine clearance. These signs are indicative of the presence of the established condition. Various urinary markers of renal tubular damage have also been analyzed. Of these, alpha 1-microglobulin, beta 2-microglobulin (Penders and Delanghe JR, 2004) and N-acetyl-beta-D-glucosaminidase (NAG) (Kotanko et al., 2000) are elevated in cases of established ATN, but may only appear 4 or 5 days after the ischemic or toxic insult in experimental animals. Kidney injury molecule-1 (KIM-1) is also elevated in the urine of patients with ATN, but the time course, though probably early, has not been established in human patients (Han et al., 2002). Cysteine rich protein 61 (CYR61) peaks in the urine at 6 to 9 hours after ischemic injury in experimental animals (Muramatsu et al., 2002). However, levels in humans are still unknown. Other markers that have been studied include clusterin (Aulitzky et al., 1992) and lipocalin-type prostaglandin D synthase (L-PGDS) (Tsuchida et al., 2004); their usefulness for the early diagnosis or prediction of ATN is still unclear. As a result of the generally slow time course of appearance of these marker molecules in urine after exposure of the kidney to ischemia or nephrotoxic agents, they have not entered into general use to diagnose early or impending renal disorders resulting from these insults.

Neutrophil gelatinase-associated lipocalin (NGAL) is also known as neutrophil lipocalin (NL; HNL in the case of human neutrophil lipocalin), lipocalin 2 (LCN2), 25-kDa alpha 2-microglobulin-related protein (in the rat) or 24P3 (in the mouse). In the rat, it has also been

referred to as neu-related lipocalin (NRL), as its gene is overexpressed in mammary tumors initiated by the neu (HER2/c-erbB-2) oncogene (Stoesz and Gould, 1995). NGAL is a 25-kDa glycoprotein first isolated from the granules of polymorphonuclear leukocytes (Triebel et al., 1992; Kjeldsen et al., 1993). It contains a disulfide bridge and forms a proportion of dimers and a smaller proportion of trimers. It is associated with 92-kDa human neutrophil type IV collagenase, also called matrix metalloproteinase 9 (MMP-9) or gelatinase B, either as a monomer forming a complex of apparent kDa 115, (Monier et al., 2000; Yan et al., 2001) or as a dimer, forming a complex of apparent kDa 125 (Yan et al., 2001). These complexes have been identified in the urine of patients with a variety of cancers, including cancers of the prostate, bladder, kidney and breast.

NGAL was initially disclosed as a marker of neutrophil activation, being released into the blood when invading microorganisms, in particular pyogenic bacteria, cause degranulation of the neutrophils and exocytosis of the granule proteins. As such, the measurement of elevated levels of NGAL in a plasma or serum sample from a human is believed to be indicative of the individual suffering from an inflammation, especially one caused by a bacterial infection (Venge, 2000; U.S. Patent 6,136,526; PCT application WO95/29404). In this respect, but in contradiction to its claimed specific derivation from neutrophils, NGAL (24P3) was identified as an acute phase protein of type 1 in the mouse, where expression was mainly located in the liver during the acute phase response (Liu and Nilsen-Hamilton, 1995).

US patent application 2004/0219603 describes the use of NGAL as a urinary biomarker for detecting the early

onset of renal tubular cell injury. However, it is not described whether or how renal tubular cell injury can be discriminated from systemic inflammation or bacterial infection as the cause of the elevated NGAL level.

5

Summary of the Invention

It has now surprisingly been found that NGAL levels due to renal injury are generally higher than levels of NGAL that result from inflammatory, infective or
10 cancerous conditions that do not affect renal function. This has allowed the establishment of methods for the diagnosis and/or monitoring of a renal disorder in a patient which distinguish renal disorders from other disorders that do not affect the kidney. By renal
15 disorder is meant any alteration of function, including the structural and ultrastructural correlates of that alteration, either of the kidney as a whole or of one or more cellular structures of which it is composed, that goes beyond the regulatory mechanisms which maintain the
20 normal healthy state. Non-exclusive examples of such disorders include conditions associated with renal ischemia such as acute tubular necrosis (ATN) or acute tubulo-interstitial nephropathy (ATIN), and also include acute renal failure (ARF) or chronic renal failure (CRF)
25 of whatever cause, acute and chronic glomerulonephritis of whatever cause, nephropathy due to urinary obstruction, nephropathy due to hypertension, nephropathy associated with pre-eclampsia or toxemia of pregnancy, rejection or recurrent disease of a transplanted kidney,
30 as well as congenital and neoplastic diseases of the kidney.

Accordingly, the present invention provides methods for the diagnosis and/or monitoring of renal injury in

humans, comprising measuring a level of human neutrophil gelatinase-associated lipocalin (NGAL) in a sample of bodily fluid, preferably urine, obtained from the patient. Elevated levels of NGAL are indicative of renal injury if they are higher than specified less elevated levels of NGAL that result from other disorders that do not necessarily affect renal function, such as inflammatory or infective conditions or cancers. Thus, in a first aspect, the invention relates to a method of diagnosing, monitoring or determining the likelihood of a renal disorder in a human being, wherein said method discriminates between a renal disorder and another condition that does not affect the kidney, said method comprising the steps of

- i) determining the concentration of human NGAL in a sample of bodily fluid from the human being,
- ii) comparing said concentration with a predetermined cutoff value, said cutoff value being chosen to exclude lower concentrations of NGAL associated with conditions that do not affect the kidney, wherein a concentration above the cutoff value is indicative of a renal disorder.

Furthermore, the present invention, in one embodiment, allows the discrimination between different degrees of renal disorder. Thus, e.g. in one embodiment, the method of the invention comprises a further step of comparing said concentration with a second cutoff value, said second cutoff value being chosen to exclude lower concentrations of NGAL associated with a lesser degree of renal disorders that are unlikely to require treatment by dialysis, wherein a concentration above the cutoff value is indicative of a more severe disorder that is likely to require treatment by dialysis.

The analysis of other marker molecules for renal injury that may result in ARF, ATN or ATIN has not entered into routine clinical use, as these other markers appear in the urine too late in the course of development of the renal disorder to be useful in alerting the clinician to the development of the disorder or to guide preventive or therapeutic measures. This problem has been solved by the present invention which uses the analysis of NGAL in human samples such as urine to detect the development of renal disorders.

Animal studies suggest that this is the earliest marker of renal insult to appear in the urine, with levels in urine rising within 2 or 3 hours after the initiation of the insult. The analysis of urinary NGAL in accordance with the present invention thus offers a method of detecting renal injury that may result in ARF, ATN or ATIN, discriminating it from other disorders, and, if the rate of progression permits, instituting appropriate measures to reverse the process.

Levels of NGAL are preferably determined by an immunochemical method. Examples of such methods include, but are in no way limited to a sandwich ELISA (enzyme-linked immunosorbent assay), a lateral flow method, or a dipstick.

25

Description of the drawings

Figure 1

Receiver operating characteristics (ROC) curve for maximal urinary NGAL values with respect to the diagnosis of renal affection in 60 adult patients admitted to a hospital intensive care unit. Only patients that could be classified from other data as having or not having renal affection were included.

Figure 2

Receiver operating characteristics (ROC) curve for
maximal plasma NGAL values with respect to the diagnosis
5 of renal affection in the same patients as in Figure 1.

Figure 3

Receiver operating characteristics (ROC) curve for
maximal urinary NGAL values with respect to the diagnosis
10 of dialysis requirement in 32 adult patients admitted to
a hospital intensive care unit and clinically diagnosed
as having renal affection.

Figure 4

15 Time course of urinary NGAL and plasma creatinine values
in an elderly male patient operated acutely for rupture
of an abdominal aortic aneurysm.

Figure 5

20 Time course of urinary NGAL and plasma creatinine
values in a female patient who developed sepsis which did
not affect renal function. The urinary NGAL cutoff level
(329 ng/mL) for the diagnosis of renal affection and the
upper limit of normal for plasma creatinine in women
25 (0.088 mmol/L) are shown.

Detailed Description of the Invention

We have found that concentrations of human
neutrophil gelatinase-associated lipocalin (NGAL) in
30 samples of human urine are only influenced moderately by
infective or inflammatory states. However, NGAL levels
are markedly elevated in renal disorders attributed to
ischemic injury and the elevation of NGAL is particularly

high in ATN. Further, we have now found that serum or plasma levels of NGAL do not necessarily reflect infective or inflammatory states, and may be raised even when the blood neutrophil count is extremely low, as may
5 occur in leukemias or as a consequence of treating leukemia. There is in fact a close correlation between the concentration of NGAL in human serum or plasma and the concentration of NGAL in urine when spot samples of blood and urine from randomly selected critically ill
10 patients are analyzed, while there are very poor correlations of these levels with either the neutrophil count in peripheral blood or the concentration of C-reactive protein, an acute phase protein that is commonly used as a marker of inflammation. Thus, without being
15 bound by a particular theory, it may be hypothesized that NGAL is produced by the kidneys in response to ischemia and other influences capable of stimulating renal NGAL expression, and is not only released in large amounts in the urine, but also into the blood, thus confounding the
20 use of NGAL determinations in serum or plasma as a marker of inflammation or bacterial infection as set forth in U.S. Patent 6,136,526.

Accordingly, the present invention relates to measurement of NGAL in a sample of bodily fluid,
25 preferably human urine from which any neutrophils have been removed, as a diagnostic marker of renal disorders, especially those due to renal ischemia or nephrotoxic agents. In the present invention, for the concentration of NGAL to be specifically indicative of renal disorder,
30 it must exceed a cutoff value set to exclude those lower concentrations of NGAL that may result from infective or inflammatory states or carcinomas that do not give rise to renal injury.

The method of the present invention in one embodiment comprises the steps of measuring the concentration of human NGAL in a sample of urine, preferably centrifuged to remove any neutrophils, from
5 the individual to be diagnosed, and comparing the measured concentration with a selected cutoff value determined to exceed those urinary concentrations found in humans that have no renal disorder, but may either be apparently healthy or have other disorders including
10 inflammatory conditions, bacterial infections or carcinomas. If the measured NGAL concentration exceeds the cutoff level, this is an indication that the human has suffered renal injury and may develop or has developed ARN, ATN or ATIN.

15 The cutoff level below which the urinary level of NGAL cannot be diagnostic of renal injury with an acceptable degree of specificity because such a level can be found in healthy individuals or those suffering from inflammatory, infective or cancerous conditions is
20 preferably a level of 250 ng/mL or more, such a value between 250 ng/mL and 525 ng/mL, such as 275 ng/mL, or 300 ng/mL, or 325 ng/mL, or 350 ng/mL, or 375 ng/mL, or 400 ng/mL, or 425 ng/mL, or 450 ng/mL, or 475 ng/mL, or 500 ng/mL. In another embodiment, the cutoff value used
25 is a value of 1 µg/mL or a higher value. Preferably, the positive predictive value for the urinary cutoff value is 80% or more, such as 85% or more, e.g. 90% or more. Alternatively, or in addition, the negative predictive value for the urinary cutoff is preferably 80% or more,
30 such as 85% or more, e.g. 90% or more.

In another embodiment, the present invention comprises the steps of measuring the concentration of human NGAL in a sample of plasma or serum from the

individual to be diagnosed, and comparing the measured concentration with a selected cutoff value determined to exceed those plasma or serum concentrations found in humans that have no renal disorder, but may either be
5 apparently healthy or have other disorders including inflammatory conditions, bacterial infections or carcinomas. If the measured NGAL concentration exceeds the cutoff level, this is an indication that the human has suffered renal injury and may develop or has
10 developed ARN, ATN or ATIN.

The cutoff level for the NGAL concentration in plasma or serum is similar to that for urine and is preferably a level of 250 ng/mL or more, e.g. 300 ng/mL or a higher value, or a value between 250 ng/mL and 525
15 ng/mL, such as 275 ng/mL, or 300 ng/mL, or 325 ng/mL, or 350 ng/mL, or 375 ng/mL, or 400 ng/mL, or 425 ng/mL, or 450 ng/mL, or 475 ng/mL, or 500 ng/mL. Preferably, the positive predictive value for the plasma cutoff value is 80% or more, such as 85% or more, e.g. 90% or more.
20 Alternatively, or in addition, the negative predictive value for the plasma cutoff value chosen is preferably 80% or more, such as 85% or more, e.g. 90% or more.

A further aspect of the present invention is that the method can be used to distinguish severe renal
25 insults that are likely to require some form of dialysis, which typically give rise to very high urinary levels of NGAL, from less severe renal insults that may only occasionally require dialysis, which typically give rise to lower elevations of urinary NGAL.

30 Thus, a second, higher cutoff level, below which the urinary level of NGAL is not predictive of dialysis requirement but is diagnostic of a lesser degree of renal injury, is preferably a level between 1000 ng/mL and 3000

ng/mL, such as 1250 ng/mL, or 1500 ng/mL, or 1750 ng/mL, or 2000 ng/mL, or 2250 ng/mL, or 2500 ng/mL, or 2750 ng/mL. Preferably, the positive predictive value for the higher cutoff value is 80% or more, such as 85% or more, e.g. 90% or more. Alternatively, or in addition, the negative predictive value for the higher cutoff value is preferably 70% or more.

A further aspect of the present invention is that the method can be used to detect the onset of renal affection in a patient who is under observation and/or treatment for another disease which may or may not itself be associated with an elevation in bodily fluid levels of NGAL, and in which renal affection is a possible complication. In this situation the urinary or plasma or serum concentrations of NGAL that are associated with the patient's underlying condition can be monitored daily or at shorter intervals, and the onset of renal affection will be indicated by a rise in the urinary or plasma or serum concentration of NGAL over the preceding levels. In these circumstances, the magnitude of the rise in NGAL concentrations indicative of the onset of renal affection is preferably 50 ng/mL or a more, such as 100 ng/mL or more, e.g. 150 ng/mL or more, such as 200 ng/mL or more, e.g. 300 ng/mL or more, such as 400 ng/mL or more, e.g. 500 ng/mL or more.

A further aspect of the present invention is that the method can be used to monitor the course of renal affections giving rise to raised NGAL levels, both in their natural evolution and in response to therapeutic measures. In these circumstances, the change in NGAL levels will reflect the status of renal injury or regeneration, provided that any concurrent inflammatory, infectious or cancerous condition remains relatively

stable during the period of monitoring. The intervals at which samples of bodily fluids are taken for monitoring can be short, thus providing the earliest possible indication of renal injury and thus permitting the early institution of therapeutic measures. Monitoring of NGAL levels in bodily fluids to detect renal affection is preferably carried out at intervals not longer than 24 hours, and more preferably at shorter intervals down to a suggested period of not longer than 3 h, or even shorter for instance if an insult is known to have occurred, e.g. during a surgical procedure.

Measurement of human NGAL in a sample of bodily fluid, such as a urine sample, can be performed by any method that provides satisfactory analytical specificity, sensitivity and precision. Preferred methods are binding assays using one or more binding molecules specific to human NGAL. Such binding molecules include, but are not limited to, polyclonal or monoclonal antibodies against NGAL or specific NGAL binding molecules generated by other means.

In a preferred method, monoclonal antibodies raised against recombinant human NGAL are used. One antibody is linked to a solid support to capture NGAL from a sample, such as a urine sample, while the other is linked to a label such as a dye complex, or biotin or enzyme that can be detected by any of many methods known to those skilled in the art. The solid support may e.g. be a polystyrene or polyvinyl chloride surface for enzyme-linked immunosorbent assay (ELISA), or latex (polystyrene) particles, or a filter frit composed of compressed polyethylene particles, or a porous nitrocellulose matrix, or indeed any suitable support used in immunochemical analyses.

A preferred means for measuring NGAL in accordance with the present invention in a sample of human urine comprises a dipstick, lateral flow or minicolumn test, which allows for the rapid, near-patient analysis of a sample. As will be understood by those of skill in the art upon reading this disclosure, however, other means for measuring NGAL can be used.

In a preferred embodiment, the method of the invention does not comprise a surgical, therapeutic or diagnostic step practiced on the human or animal body.

The following non-limiting examples are provided to further illustrate the present invention.

EXAMPLES

Example 1: NGAL Dipstick Test

The analytical area of a dipstick comprised of a polystyrene surface is coated with a capture antibody against human NGAL. An aliquot of the centrifuged, diluted sample is added to a solution of enzyme-labeled detection antibody against NGAL in the first tube, into which the dipstick is immersed. Complexes of enzyme-labeled detection antibody with NGAL are bound to the dipstick, which is then washed with tap water and placed in a chromogenic substrate solution in a second tube. The color developed in the substrate solution within a given time is read either by eye and compared with a chart of color intensities which indicates the concentration of NGAL in the urine sample, or in a simple colorimeter that can, for example, be programmed to indicate the NGAL concentration directly.

Example 2: NGAL Lateral Flow Device

A lateral flow device comprised of a strip of porous nitrocellulose is coated near its distal end with a capture antibody against NGAL applied as a transverse band. A further transverse band of antibody against
5 antibodies of the species from which the detection antibody is derived is placed distally to the capture antibody band and serves as a control of strip function. The proximal end of the strip contains the detection antibody against NGAL adsorbed or linked to labeled
10 polystyrene particles or particles of dye complex. When an aliquot of the centrifuged urine sample is applied to the proximal end of the strip, the labeled particles attached to detection antibody travel along the strip by capillary attraction. When reaching the band of capture
15 antibody, only those particles which have bound NGAL will be retained, giving rise to a detectable band. Particles reaching the control band of antibody against the detection antibody will produce a detectable band whether or not any NGAL has been bound. The intensity of the
20 labeled bands can be read by eye in the case of colored particles or by means of the appropriate detection device for the label used. A positive result is indicated by color development or the accumulation of label in both bands, while a negative result is indicated by color
25 development or other label only in the control band. Failure of color development or other label in the control band indicates inadequate strip function. The sensitivity of the test can be regulated by the dilution of the sample applied, which is adjusted so that only
30 NGAL concentrations above the determined cutoff values give rise to a positive result. The sensitivity of the test can also be adjusted by linking the detection antibody to a mixture of labeled and unlabelled

particles. Batches of strips can be pre-calibrated and equipped with a calibration code that can be read by the detection device, so that a quantitative or semi-quantitative result can be read from the device. Many variations of the individual aspects of this lateral flow technology are possible, as known to those skilled in the art.

Example 3: NGAL Minicolumn Test

10 A minicolumn contains a frit made of compressed polyethylene particles allowing the passage of fluid and cells. The frit is coated with capture antibody against human NGAL. The minicolumn is incorporated into a device, which by means of automated liquid handling allows the diluted sample to be applied at a fixed flow rate and volume, followed by detection antibody complexed with dye. After the passage of wash solution, the color intensity of the frit is read by light diffusion photometry. The batches of frits are pre-calibrated and the minicolumns equipped with a calibration code that can be read by the device, so that a quantitative result can be displayed by the instrument without the need for prior calibration with standards.

Example 4: NGAL Sandwich ELISA

25 Purified recombinant human NGAL for use as a standard and as calibrator material was prepared as described by Kjeldsen et al. (1996). Antibodies against NGAL were those described by Kjeldsen et al. (1993; 1996). Polystyrene ELISA plates were coated overnight at 30 4°C with antibody 211-1 at a concentration of 2 µg/mL in 0.05 M sodium carbonate buffer, pH 9.4, applied at 100 µL/well. The wells were emptied, washed 3 times with wash

buffer of phosphate-buffered saline, pH 7.4, containing 0.05% Tween 20, and blotted. Dilutions of calibrator and samples in dilution buffer (wash buffer containing bovine albumin at 0.1 mg/mL) were applied to the wells in 100- μ L volumes and incubated for 1 hour at room temperature on a shaking table. The wells were then emptied, washed and blotted as before. Biotinylated antibody 211-2 at 0.25 μ g/mL in dilution buffer was added to each well at 100 μ L/well and incubated for 1 hour at room temperature on a shaking table. The wells were then emptied, washed and blotted as before. A complex of horseradish peroxidase and streptavidin (Zymed, CA) at a dilution of 1/2000 in dilution buffer was added to each well at 100 μ L/well and incubated for 1 hour at room temperature on a shaking table. The wells were then emptied, washed and blotted as before. A substrate solution containing tetramethylbenzidine and peroxide (TMB-ONE, Kem-En-Tech, Denmark) was then applied to each well at 100 μ L/well and incubated at room temperature in the dark for exactly 8 minutes, after which the color reaction was stopped by adding 50 μ L of 1 M sulfuric acid to each well. The light absorbances of the wells at a wavelength of 450 nm were then read in an ELISA plate reader, subtracting the light absorbances at 650 nm. The concentrations of NGAL in the samples were then calculated from the standard curve generated from the light absorbance readings of the calibrators of known concentration.

The assay had a range of 0.02 ng/mL to 1 ng/mL, with a detection limit (95% confidence limit of difference from zero) of 2.4 pg/mL, and showed parallelism between dilutions of purified calibrator and samples. The concentration of NGAL was 90 ng/mL in a pool of normal

human serum and 5.4 ng/mL in a pool of normal human urine.

Further examples show clinical and paraclinical correlations, together with an estimate of the diagnostic value with respect to renal disorders, of NGAL determination in serum or plasma and in urine from unselected adult patients admitted to a hospital intensive care unit. NGAL was determined by a sandwich ELISA similar in all essential details to that described in Example 4 above.

Example 5: Initial clinical and paraclinical correlations

Concentrations of NGAL in single spot samples of urine and serum from 11 unselected adult patients admitted to a hospital intensive care unit are shown in Table 1, where they are compared with clinical and paraclinical data.

Table 1. NGAL concentrations in urine and serum from 11 patients: correlations with clinical and paraclinical data

Pt. no.	Diagnoses	u-NGAL rank order ng/mL	s-NGAL rank order ng/mL	p- creatinine rank order μM	Neutroph. rank order x 10 ⁹ /mL	s-CRP rank order μg/mL
1	MT, oliguria	10	10	10	11	2
		8640	435	366	15.6	31
2	Rupt. aortic an., anuria, hemodialysis	7	9	11	7	6
		1490	342	605	9.6	71
3	B-cell ALL, pneumonia	9	8	2	2	10
		3800	330	63	2.0	97
4	Fecal peritonitis	8	7	7	9	9
		2700	273	108	16	87
5	<i>S. aureus</i> sepsis, ATN	11	11	6	8	8
		15,700	922	103	13.9	81
6	AML, septic shock	6	5	9	1	4
		1030	146	164	<0.1	56
7	HRS <i>E. faecalis</i> bacteremia	3	3	3	5	1
		900	92	82	6.7	19
8	Hematoma, ARDS	5	4	8	6	3
		1000	114	154	9.5	37
9	MT	1	1	4	4	11
		110	66	91	5.7	103
10	MT	4	6	5	3	7
		950	147	98	5.0	81
11	Splenectomy, perforated diaphragm	2	2	1	10	5
		130	79	30	19	62

Normal values: s-NGAL 90 ng/mL, u-NGAL 5.4 ng/mL

- 5 ALL: acute lymphatic leukemia; AML: acute myeloid leukemia; ARDS: adult respiratory distress syndrome; ATN: acute tubular necrosis; CRP: C-reactive protein; HRS: hepato-renal syndrome; MT: multiple trauma; p: plasma; s: serum; u: urine

- 10 **Spearman's coefficients of rank correlation (r)**
u-NGAL/s-NGAL: r 0.945 $P < 0.001$
u-NGAL/p-creatinine: r 0.418 $P 0.1$
s-NGAL/neutrophil count: r 0.273, not significant
s-NGAL/s-CRP: r 0.064, not significant

All the patients had raised urinary concentrations of NGAL. There was a very close correlation between serum and urinary NGAL levels, but only a moderate correlation between urinary NGAL and plasma creatinine. The case that
5 was clinically diagnosed as ATN was characterized by an extremely high urinary NGAL at a time when there had been no significant rise in plasma creatinine. The poor correlation between serum NGAL and the neutrophil count shows that serum NGAL is not a reflection of
10 neutrophilia. In particular, both the urine and serum NGAL were raised in patient no. 3, with a neutrophil count of only $2.0 \times 10^9/\text{mL}$ because of acute lymphatic leukemia, and in patient no. 6, with an uncountably low number of neutrophils because of acute myeloid leukemia.
15 Furthermore, the lack of correlation with CRP shows that s-NGAL is not just an acute phase protein. Repeated analyses of stored samples showed that NGAL may have limited stability in urine samples, while being more stable in serum samples. The results suggest that NGAL is
20 produced in organ damage, particularly, but not only, by the kidneys. It spills over into the blood and is excreted in the urine. However, renal NGAL in ATN also passes directly into the urine to produce a very high urinary concentration of NGAL.

25 Patient nos. 3 and 4, who at the time of sampling had no clinical diagnosis of renal disorder and whose p-creatinine was at that time within the normal range, had levels of urinary NGAL that were higher than that of patient no. 2, who was receiving hemodialysis for anuria
30 after rupture of an aortic aneurysm. The two patients (nos. 3 and 4) may have developed renal ischemic injury because of their severe infections, the rise in urinary NGAL preceding any rise in p-creatinine, as was also

observed in patient no. 5, who was clinically diagnosed as having ATN.

Example 6: Diagnostic power with respect to renal disorder of urine and plasma NGAL determinations in unselected adult patients admitted to intensive care

NGAL was determined in urine and plasma samples collected each morning from 109 consecutive patients admitted to a hospital intensive care unit. On the basis of discharge summaries and the results of routine blood tests, it was possible to classify (blindly with respect to NGAL data) 60 of these patients into those with and without a renal affection during their admission. Incomplete data made it impossible to classify the remaining 49 patients with sufficient certainty, and these patients were excluded from the analysis. Maximal NGAL concentrations in urine and plasma from the 60 clinically classifiable patients are given in Table 2. The diagnostic power with respect to renal affection of the maximal concentration of NGAL reached in urine and plasma from each classified patient was then determined by plotting receiver operating characteristic (ROC) curves for the urine and plasma values. The time courses of the daily urine and plasma NGAL values were also plotted for each patient and compared with paraclinical data such as the plasma creatinine values.

Table 2. Maximal NGAL concentrations in plasma and urine from 60 patients admitted to intensive care that were clinically classifiable as with or without renal affection

5

Patient number	plasma NGAL ng/mL	urine NGAL ng/mL	Clinical classification			
			Renal affection	Sepsis	Cancer	Hemodialysis
1	92	50	-	-	-	-
2	1005	5000	+	-	-	+
3	151	183	-	+	-	-
6	1320	5000	+	+	-	+
7	777	3229	+	+	+	+
8	712	269	+	+	+	-
9	2941	5000	+	-	-	+
10	3092	5000	+	-	-	+
11	65	13	-	-	-	-
12	2117	5000	+	+	-	+
15	546	3128	+	+	+	-
17	110	10	+	-	-	-
18	135	68	-	-	-	-
19	191	2672	+	+	+	+
20	336	304	-	-	+	-
21	1434	5000	+	+	-	+
24	307	1042	+	-	-	+
29	71	50	-	-	-	-
30	320	874	-	-	-	-
39	1416	1073	+	+	-	-
41	181	42	-	-	-	-
42	115	29	-	-	-	-
43	436	176	+	-	-	-
44	446	680	+	-	-	-
45	270	37	-	-	-	-
46	1962	3222	+	+	+	+
47	222	89	-	+	-	-
48	1040	3974	+	-	-	+
49	228	48		-	-	-
51	256	519	+	-	+	+
52	294	685	+	+	+	-
53	586	1337	+	+	+	-
54	1376	2915	+	-	+	+
55	1276	5000	+	+	+	+
57	180	24	-	-	-	-
58	716	3431	+	-	-	+
59	108	9	-	+	-	-
60	1219	2713	+	+	+	+
65	460	1705	-	-	+	-
67	1470	5000	+	-	-	+
69	318	68	-	+	-	-
72	175	17	-	-	-	-
75	645	3360	+	-	+	+
80	322	328	-	+	+	-
82	216	30	-	+	-	-

Patient number	plasma NGAL ng/mL	urine NGAL ng/mL	Clinical classification			
			Renal affection	Sepsis	Cancer	Hemodialysis
83	259	34	-	+	-	-
84	25	20	-	-	-	-
85	1067	370	+	+	-	+
86	64	21	-	-	-	-
87	276	779	+	-	-	-
88	302	1024	-	+	-	-
91	236	17	-	-	-	-
93	1595	5000	+	+	-	+
97	3491	708	+	+	+	+
99	820	401	+	+	-	+
100	354	85	-	-	+	-
101	1144	5000	+	+	+	+
104	1844	2748	+	+	-	+
105	488	4473	+	-	-	+
108	111	46	-	+	-	-

Figure 1 shows the ROC curve for maximal urinary NGAL values with respect to the diagnosis of renal affection. The area under the curve was 0.930 and the cutoff value below which the concentration of urinary NGAL is not diagnostic of renal disorder was determined to be between 370 ng/mL and 329 ng/mL. With a cutoff in this range the diagnostic sensitivity was 96.9%, the diagnostic specificity was 89.3%, the positive predictive value was 91.2% and the negative predictive value was 96.2%.

Figure 2 shows the ROC curve for maximal plasma NGAL values with respect to the diagnosis of renal affection. The area under the curve was 0.914 and the cutoff value below which the concentration of plasma NGAL is not diagnostic of renal disorder was determined to be between 436 ng/mL and 355 ng/mL. With a cutoff in this range the diagnostic sensitivity was 84.8%, the diagnostic specificity was 96.3%, the positive predictive value was 93.1% and the negative predictive value was 83.9%.

Diagnosis of dialysis requirement

Patients with renal affection fell into two groups with respect to the maximal urinary NGAL values observed. The first group (11 patients) was characterized by urinary NGAL values of 1337 ng/mL or less, and contained patients with lesser degrees of renal affection as judged by other clinical and paraclinical data. In this group 3 patients received some form of hemodialysis. The second group (21 patients) was characterized by urinary NGAL values of 2672 ng/mL or more and contained 17 patients clinically diagnosed as having ATN or ATIN. In this group 20 patients required some form of hemodialysis. The cutoff value below which the urinary NGAL concentration

is not predictive of dialysis need but may be diagnostic of a lesser degree of renal disorder is therefore between 1338 and 2672 ng/mL.

Figure 3 shows the ROC curve for maximal urinary
5 NGAL values with respect to the diagnosis of renal
affection requiring dialysis. The area under the curve
was 0.807 and the cutoff value below which the
concentration of urinary NGAL is not diagnostic of
dialysis requirement was confirmed to be between 1338
10 ng/mL and 2672 ng/mL. With a cutoff in this range the
diagnostic sensitivity was 87.0%, the diagnostic
specificity was 88.9%, the positive predictive value was
95.2% and the negative predictive value was 72.7%.

15 **Time course of NGAL values**

When the time course of daily urinary and plasma
NGAL values was plotted and compared with the clinical
and paraclinical data in individual classified patients,
it was observed that rises of 86 ng/mL, 125 ng/mL and 200
20 ng/mL in urine NGAL over the preceding level were
associated with a deterioration of renal function as
recorded in the discharge summary or shown by a rising
plasma creatinine level. Rises in urinary NGAL of more
than 200 ng/mL above the preceding level were also
25 associated with decline in renal function when these
rises brought the urinary NGAL level above the cutoff
level of 329 ng/mL. The number of patients clearly
showing this type of intercurrent rise in urinary NGAL
was not large enough to permit a meaningful analysis of
30 the diagnostic value with respect to acute renal failure
in relation to the magnitude of the rise.

In some cases there was a parallelism between the
rise in urinary NGAL and the rise in plasma creatinine

attributed to declining renal function. Figure 4 shows such a parallel rise in urinary NGAL and plasma creatinine in an elderly male patient operated acutely for rupture of an abdominal aortic aneurysm. Here the ischemic insult to the kidneys due to hemorrhage and aortic clamping took place on the day preceding the first plasma and urine samples, so that the initial levels of urinary NGAL and plasma creatinine were both indicative of a degree of renal failure.

10 A rise in urinary NGAL of 200 ng/mL or more over the preceding value, but to a value that remains below the cutoff level of 329 ng/mL, is not diagnostic of acute renal failure, as it may be due to another condition, such as sepsis, which does not necessarily affect the kidneys. Figure 5 shows such a rise in urinary NGAL which was associated with the development of a sepsis that did not affect renal function, as supported by the fact that the plasma creatinine level remained well within the normal range throughout the clinical course in the intensive care unit.

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What is claimed is:

1. A method of diagnosing, monitoring or determining the likelihood of a renal disorder in a human being, wherein said method discriminates between a renal
5 disorder and another condition that does not affect the kidney, said method comprising the steps of
 - i) determining the concentration of human neutrophil gelatinase-associated lipocalin (NGAL) in a sample of bodily fluid from the human being,
 - 10 ii) comparing said concentration with a predetermined cutoff value, said cutoff value being chosen to exclude lower concentrations of NGAL associated with conditions that do not affect the kidney, wherein a concentration above the cutoff value is indicative of a
15 renal disorder.
2. The method of claim 1, wherein the sample is a urine sample and the cutoff value is 250 ng/mL or a higher value, such as a value between 250 ng/mL and 525
20 ng/mL.
3. The method of claim 1, wherein the sample is a plasma or serum sample and the cutoff value is 250 ng/mL or a higher value, such as a value between 250 ng/mL and
25 525 ng/mL.
4. The method of any of the preceding claims, wherein the other condition is an inflammatory disorder and the cutoff value is chosen to exclude lower
30 concentrations of NGAL associated with inflammatory disorders.

5. The method of any of the preceding claims,
wherein the method further discriminates between a renal
disorder and an infective disorder and the cutoff value
is chosen to exclude lower concentrations of NGAL
5 associated with infective disorders.

6. The method of any of the preceding claims,
wherein the method further discriminates between a renal
disorder and a cancerous disorder and the cutoff value is
10 chosen to exclude lower concentrations of NGAL associated
with cancerous disorders.

7. The monitoring method of any of the preceding
claims, comprising the further step of repeating steps i)
15 and ii) one or more times.

8. The monitoring method of any of the preceding
claims, comprising the further step of repeating steps i)
and ii) within 24 hours, e.g. within 12 hours, such as
20 within 6 hours, e.g. within 3 hours.

9. The monitoring method of any of the preceding
claims, comprising the further step of repeating steps i)
and ii) after a treatment of the renal disorder has been
25 initiated or completed.

10. The method of any of the preceding claims,
wherein the renal disorder is a post-ischemic renal
injury.

30

11. The method of any of the preceding claims,
wherein the renal disorder is a disorder that may cause

acute renal failure, acute tubular necrosis or acute tubulo-interstitial nephropathy.

12. The method of any of the preceding claims,
5 wherein the renal disorder is caused by a nephrotoxic agent.

13. The method of any of the preceding claims,
comprising the further step of comparing said
10 concentration with a second cutoff value, said second cutoff value being chosen to exclude lower concentrations of NGAL associated with a degree of renal disorder that is unlikely to require treatment of the patient by dialysis, wherein a concentration above the cutoff value
15 is indicative of a severe degree of renal disorder that is highly likely to require treatment by dialysis.

14. The method of claim 13, wherein said second cutoff value is between 1000 ng/mL and 3000 ng/mL, such
20 as 1250 ng/mL, or 1500 ng/mL, or 1750 ng/mL, or 2000 ng/mL, or 2250 ng/mL, or 2500 ng/mL, or 2750 ng/mL.

15. The method of any of the preceding claims,
wherein NGAL is measured by means of a molecule that
25 binds specifically to NGAL.

16. The method of any of the preceding claims,
wherein the bodily fluid is urine.

30 17. The method of any of the preceding claims,
wherein the bodily fluid is blood or plasma or serum.

18. A method of monitoring the onset of a renal disorder in a human being, said method comprising the steps of

- i) determining the concentration of human neutrophil gelatinase-associated lipocalin (NGAL) in a sample of bodily fluid from the human being,
- ii) repeating step i) on a further sample of bodily fluid from the same human being taken after a given time period, and
- iii) assessing whether or not the human being has developed a renal disorder, or is about to develop a renal disorder, by comparison of the concentrations obtained in step i) and ii), wherein a significantly higher concentration of NGAL in the second sample is indicative of the human being having developed a renal disorder, or being about to develop a renal disorder.

19. The method of claim 18, wherein the significantly higher concentration is a rise in NGAL concentration of 50 ng/mL or a more, such as 100 ng/mL or more, e.g. 150 ng/mL or more, such as 200 ng/mL or more, e.g. 300 ng/mL or more, such as 400 ng/mL or more, e.g. 500 ng/mL or more.

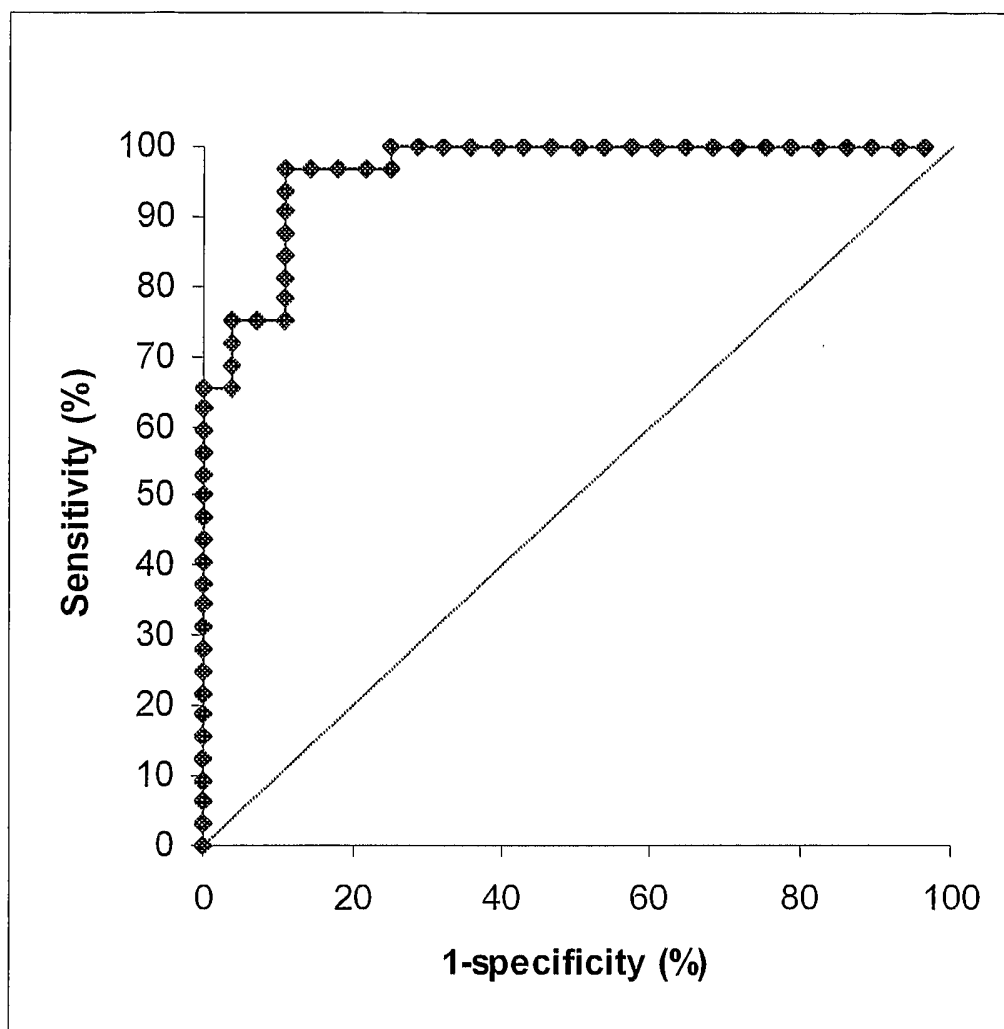
20. The method of claim 18 or 19, comprising the further step of repeating steps ii) and iii) one or more times.

21. The method of any of claims 18 to 20, wherein said given time period is 24 hours or less, e.g. 18 hours or less, such as 12 hours or less, e.g. 6 hours or less, such as 3 hours or less.

22. The method of any of claims 18 to 21, further having one or more of the features of any of claims 10 to 17.

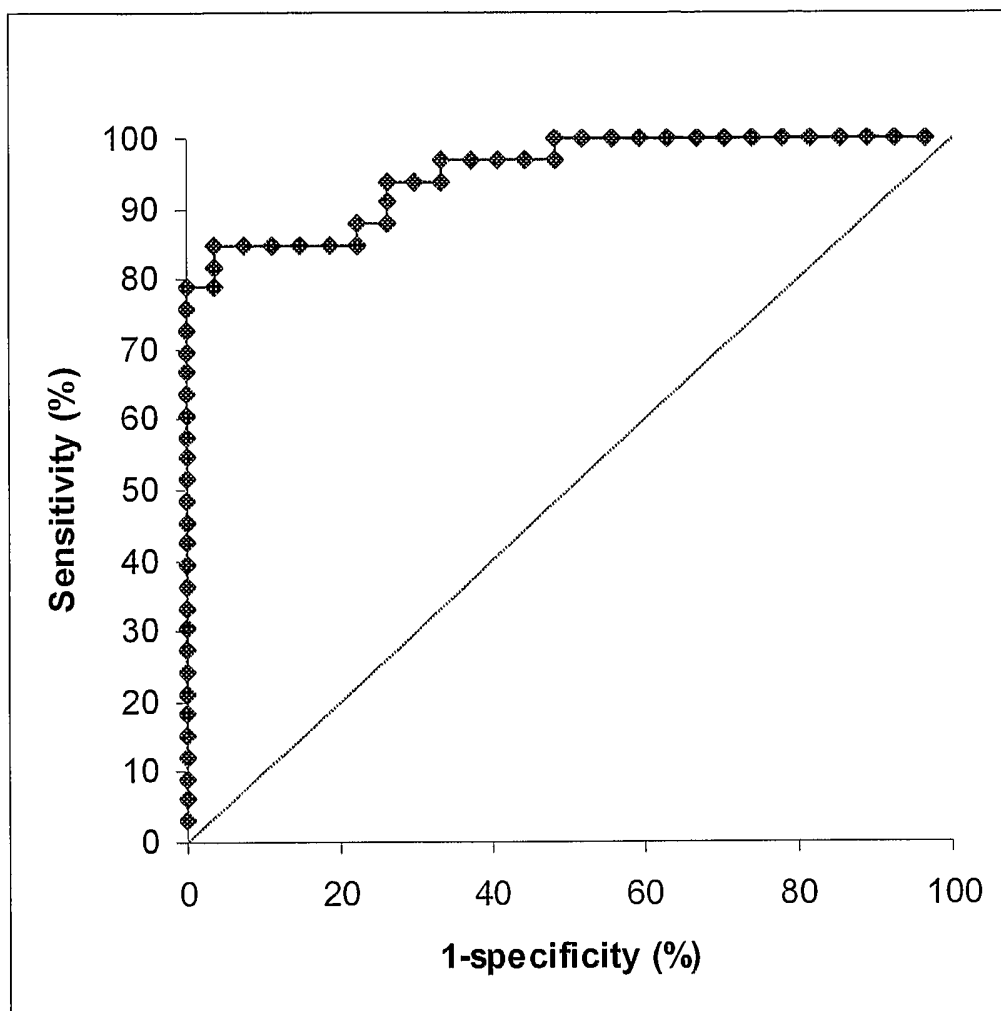
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Fig. 1



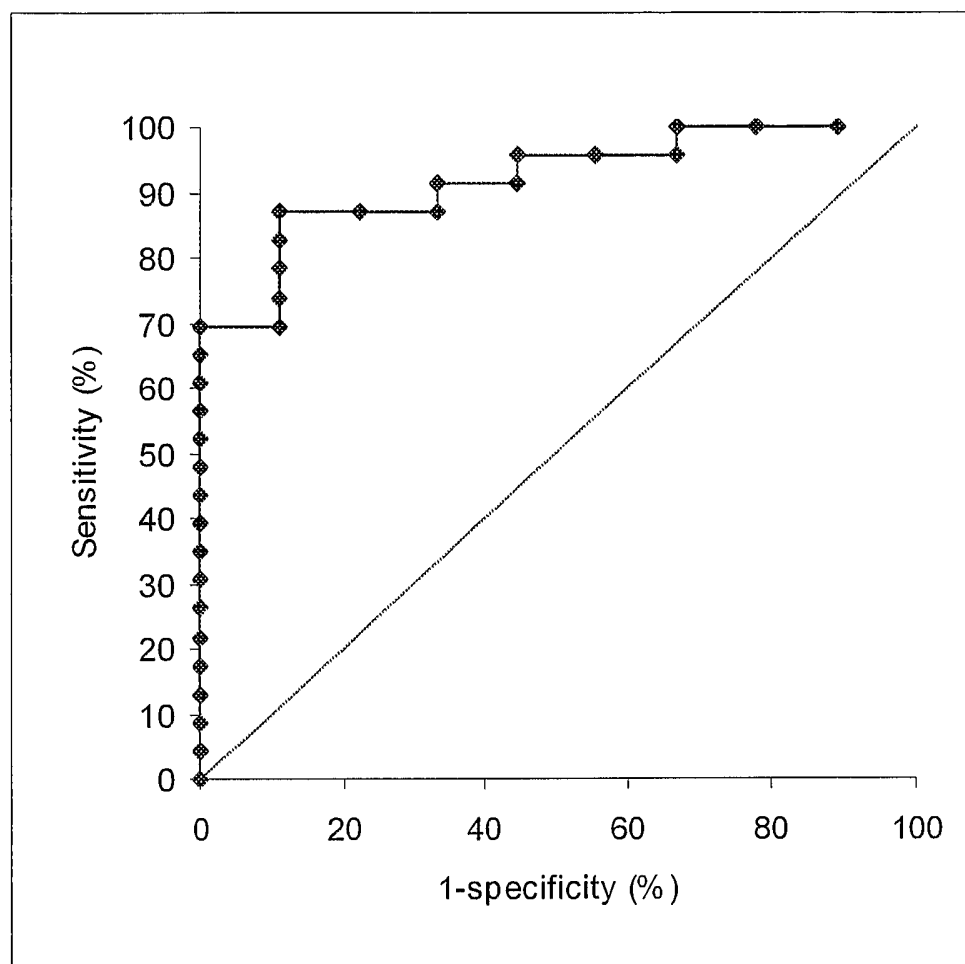
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Fig. 2



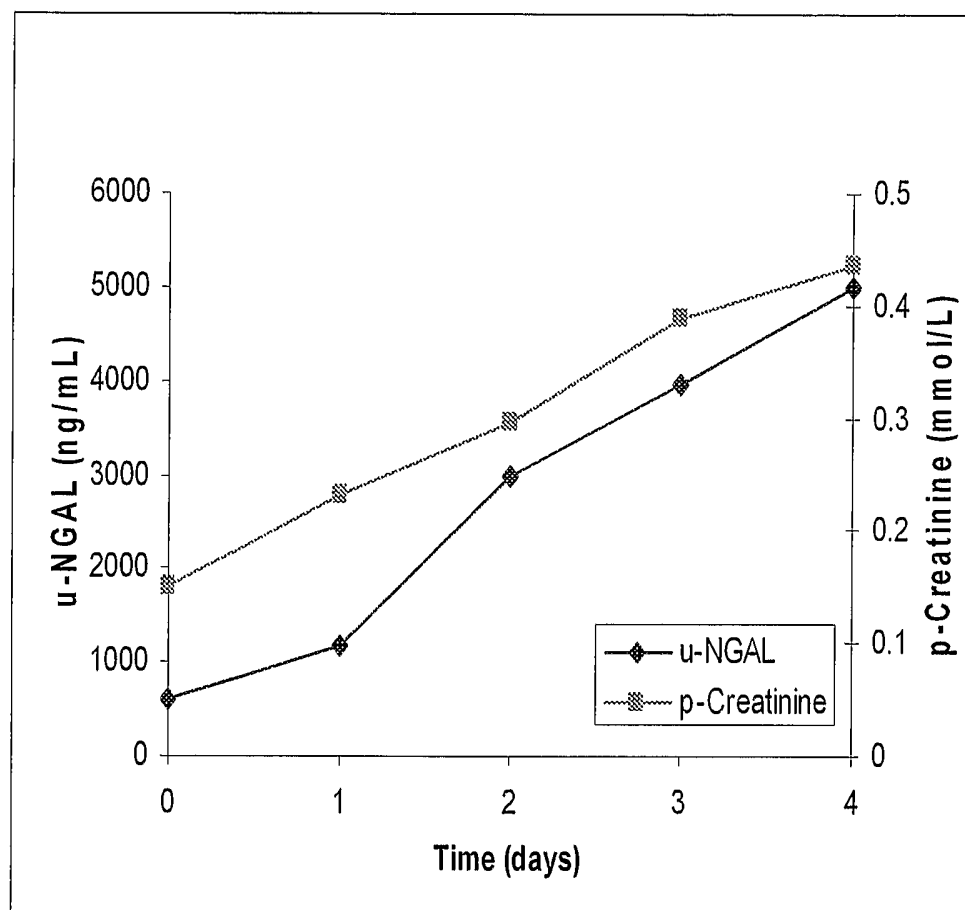
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Fig. 3



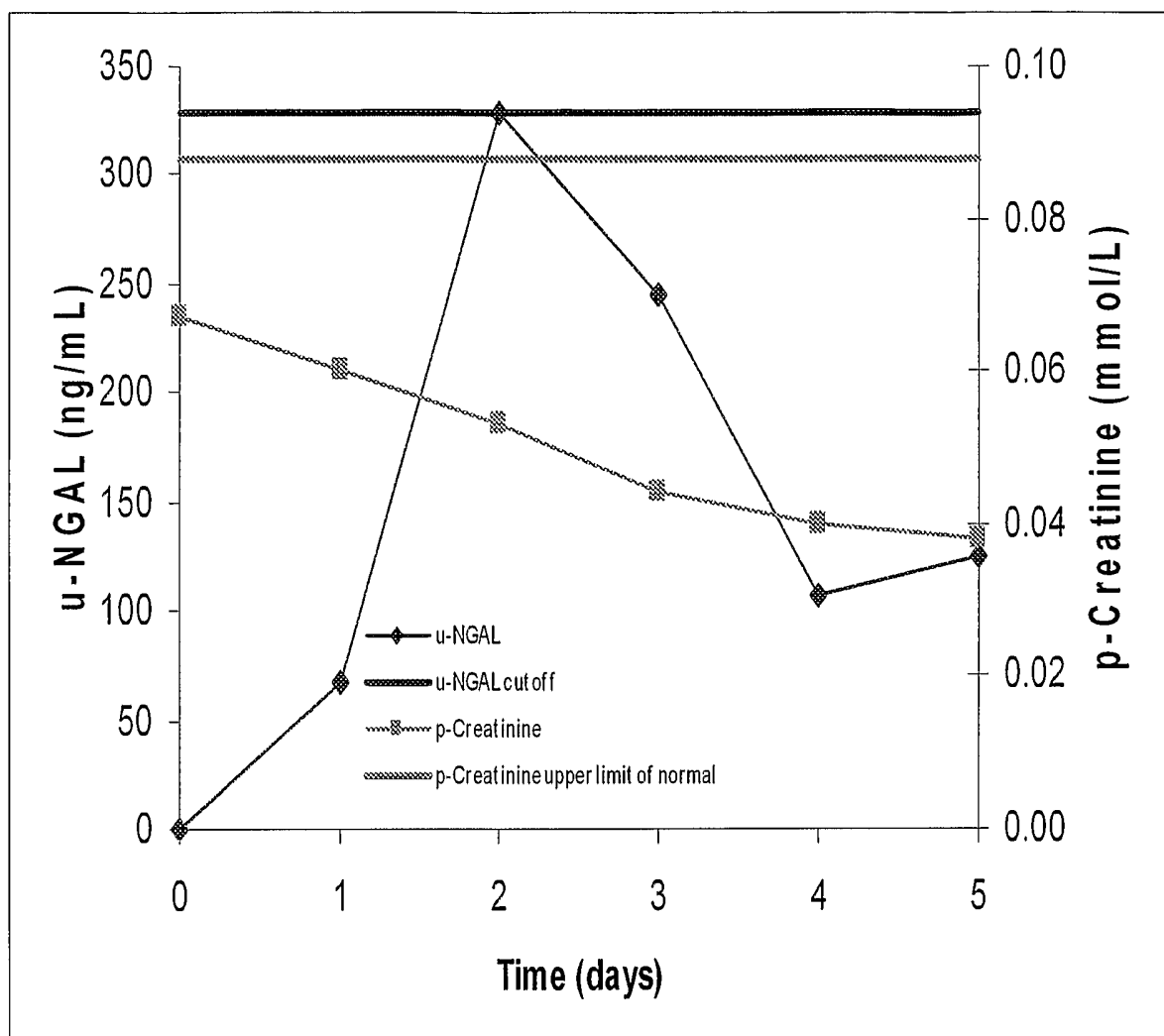
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Fig. 4



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Fig. 5



INTERNATIONAL SEARCH REPORT

International application No

PCT/DK2005/000806

A. CLASSIFICATION OF SUBJECT MATTER

INV. G01N33/68

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, BIOSIS, EMBASE

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 2004/088276 A (CHILDREN'S HOSPITAL MEDICAL CENTER; THE TRUSTEES OF COLUMBIA UNIVERSIT) 14 October 2004 (2004-10-14)	1,4,5, 7-16, 18-22
Y	abstract; claim 6; figures 15,16; examples 5,6 paragraph [0095] paragraph [0040] - paragraph [0045]	6,17
Y	FRIEDL A ET AL: "Neutrophil gelatinase-associated lipocalin in normal and neoplastic human tissues. Cell type-specific pattern of expression." THE HISTOCHEMICAL JOURNAL. JUL 1999, vol. 31, no. 7, July 1999 (1999-07), pages 433-441, XP002373719 ISSN: 0018-2214 abstract ----- -/--	6

☒ Further documents are listed in the continuation of Box C.☒ See patent family annex.

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Date of the actual completion of the international search

25 April 2006

Date of mailing of the international search report

18/05/2006

Name and mailing address of the ISA/

European Patent Office, P.B. 5818 Patentlaan 2
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INTERNATIONAL SEARCH REPORT

International application No

PCT/DK2005/000806

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	XU S ET AL: "Lipocalins as biochemical markers of disease." BIOCHIMICA ET BIOPHYSICA ACTA. 18 OCT 2000, vol. 1482, no. 1-2, 18 October 2000 (2000-10-18), pages 298-307, XP002376345 ISSN: 0006-3002 figure 1	17
E	WO 2005/121788 A (CHILDREN'S HOSPITAL MEDICAL CENTER; THE TRUSTEES OF COLUMBIA UNIVERSIT) 22 December 2005 (2005-12-22) abstract; claims 4,8,9; figures 2-10; examples 2-5; table 2 paragraph [0062] - paragraph [0063]	1-3, 7-13, 15-22

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/DK2005/000806

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WO 2005121788	A	22-12-2005	US	2005272101 A1	08-12-2005