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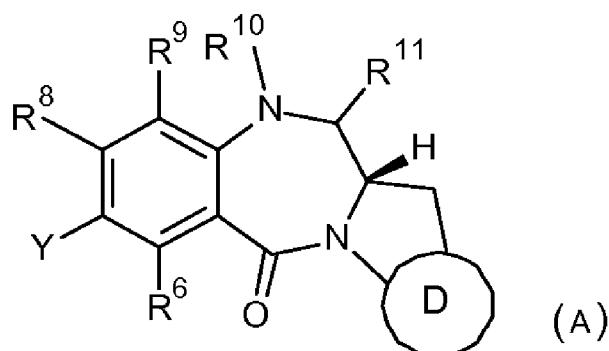
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(57) Abstract: A conjugate of formula (A): as described in claim 1. The conjugate comprises a pyrrolobenzodiazepine (PBD) compound with a linker for connecting to a cell binding agent, wherein the linker is attached in a cleavable manner to the C7 position of the PBD. The cell binding agent is preferably an antibody. The invention also provides the PBD compound with the linking unit attached and intermediates for their synthesis. The conjugate is used for treating a proliferative disease in a subject, preferably cancer.

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PYRROLOBENZODIAZEPINES AND CONJUGATES THEREOF

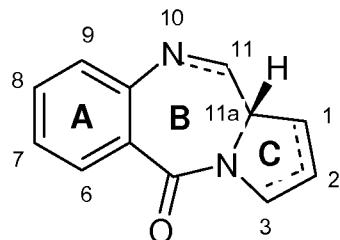
The present invention relates to pyrrolobenzodiazepines (PBDs), in particular pyrrolobenzodiazepines having a linker group connected to a cell binding agent.

5

Background to the invention

Pyrrolobenzodiazepines

Some pyrrolobenzodiazepines (PBDs) have the ability to recognise and bond to specific sequences of DNA; the preferred sequence is PuGpu. The first PBD antitumour antibiotic, 10 anthramycin, was discovered in 1965 (Leimgruber, *et al.*, *J. Am. Chem. Soc.*, **87**, 5793-5795 (1965); Leimgruber, *et al.*, *J. Am. Chem. Soc.*, **87**, 5791-5793 (1965)). Since then, a number of naturally occurring PBDs have been reported, and over 10 synthetic routes have been developed to a variety of analogues (Thurston, *et al.*, *Chem. Rev.* **1994**, 433-465 (1994); Antonow, D. and Thurston, D.E., *Chem. Rev.* **2011** 111 (4), 2815-2864). Family members 15 include abbeymycin (Hochlowski, *et al.*, *J. Antibiotics*, **40**, 145-148 (1987)), chicamycin (Konishi, *et al.*, *J. Antibiotics*, **37**, 200-206 (1984)), DC-81 (Japanese Patent 58-180 487; Thurston, *et al.*, *Chem. Brit.*, **26**, 767-772 (1990); Bose, *et al.*, *Tetrahedron*, **48**, 751-758 (1992)), mazethramycin (Kuminoto, *et al.*, *J. Antibiotics*, **33**, 665-667 (1980)), neothramycins A and B (Takeuchi, *et al.*, *J. Antibiotics*, **29**, 93-96 (1976)), porothramycin (Tsunakawa, *et al.*, *J. Antibiotics*, **41**, 1366-1373 (1988)), prothracarcin (Shimizu, *et al.*, *J. Antibiotics*, **29**, 2492-2503 (1982); Langley and Thurston, *J. Org. Chem.*, **52**, 91-97 (1987)), sibanomicin (DC-20 102)(Hara, *et al.*, *J. Antibiotics*, **41**, 702-704 (1988); Itoh, *et al.*, *J. Antibiotics*, **41**, 1281-1284 (1988)), sibiromycin (Leber, *et al.*, *J. Am. Chem. Soc.*, **110**, 2992-2993 (1988)) and tomamycin (Arima, *et al.*, *J. Antibiotics*, **25**, 437-444 (1972)). PBDs are of the general 25 structure:

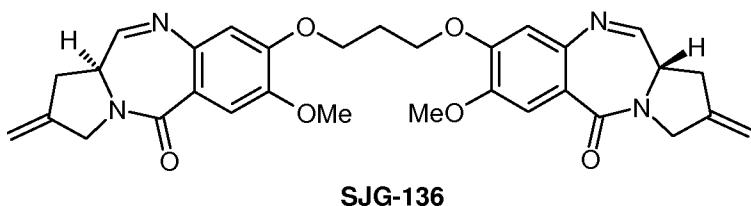


They differ in the number, type and position of substituents, in both their aromatic A rings and pyrrolo C rings, and in the degree of saturation of the C ring. In the B-ring there is either 30 an imine (N=C), a carbinolamine(NH-CH(OH)), or a carbinolamine methyl ether (NH-CH(OMe)) at the N10-C11 position which is the electrophilic centre responsible for alkylating

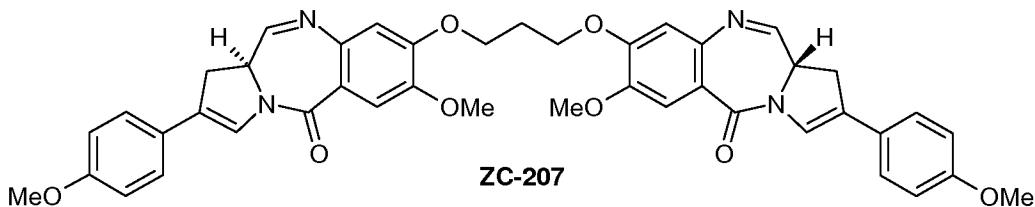
DNA. All of the known natural products have an (S)-configuration at the chiral C11a position which provides them with a right-handed twist when viewed from the C ring towards the A ring. This gives them the appropriate three-dimensional shape for isohelicity with the minor groove of B-form DNA, leading to a snug fit at the binding site (Kohn, In *Antibiotics III*.

5 Springer-Verlag, New York, pp. 3-11 (1975); Hurley and Needham-VanDevanter, *Acc. Chem. Res.*, **19**, 230-237 (1986)). Their ability to form an adduct in the minor groove, enables them to interfere with DNA processing, hence their use as antitumour agents.

10 A particularly advantageous pyrrolobenzodiazepine compound is described by Gregson *et al.* (*Chem. Commun.* **1999**, 797-798) as compound **1**, and by Gregson *et al.* (*J. Med. Chem.* **2001**, *44*, 1161-1174) as compound **4a**. This compound, also known as SJG-136, is shown below:



15 Other dimeric PBD compounds, such as those bearing C2 aryl substituents in WO 2005/085251, have been disclosed, an example being:



These compounds have been shown to be highly useful cytotoxic agents.

20

Antibody-drug conjugates

Antibody therapy has been established for the targeted treatment of patients with cancer, immunological and angiogenic disorders (Carter, P. (2006) *Nature Reviews Immunology* **6**:343-357). The use of antibody-drug conjugates (ADC), i.e. immunoconjugates, for the

25 local delivery of cytotoxic or cytostatic agents, i.e. drugs to kill or inhibit tumor cells in the treatment of cancer, targets delivery of the drug moiety to tumors, and intracellular accumulation therein, whereas systemic administration of these unconjugated drug agents may result in unacceptable levels of toxicity to normal cells as well as the tumor cells sought to be eliminated (Xie *et al* (2006) *Expert. Opin. Biol. Ther.* **6**(3):281-291; Kovtun *et al* (2006)

Cancer Res. 66(6):3214-3121; Law *et al* (2006) *Cancer Res.* 66(4):2328-2337; Wu *et al* (2005) *Nature Biotech.* 23(9):1137-1145; Lambert J. (2005) *Current Opin. in Pharmacol.* 5:543-549; Hamann P. (2005) *Expert Opin. Ther. Patents* 15(9):1087-1103; Payne, G. (2003) *Cancer Cell* 3:207-212; Trail *et al* (2003) *Cancer Immunol. Immunother.* 52:328-337; 5 Syrigos and Epenetos (1999) *Anticancer Research* 19:605-614).

Maximal efficacy with minimal toxicity is sought thereby. Efforts to design and refine ADC have focused on the selectivity of monoclonal antibodies (mAbs) as well as drug mechanism of action, drug-linking, drug/antibody ratio (loading), and drug-releasing properties (Junutula, 10 *et al.*, 2008b *Nature Biotech.*, 26(8):925-932; Dornan *et al* (2009) *Blood* 114(13):2721-2729; US 7521541; US 7723485; WO2009/052249; McDonagh (2006) *Protein Eng. Design & Sel.* 19(7): 299-307; Doronina *et al* (2006) *Bioconj. Chem.* 17:114-124; Erickson *et al* (2006) *Cancer Res.* 66(8):1-8; Sanderson *et al* (2005) *Clin. Cancer Res.* 11:843-852; Jeffrey *et al* (2005) *J. Med. Chem.* 48:1344-1358; Hamblett *et al* (2004) *Clin. Cancer Res.* 10:7063-15 7070). Drug moieties may impart their cytotoxic and cytostatic effects by mechanisms including tubulin binding, DNA binding, or topoisomerase inhibition. Some cytotoxic drugs tend to be inactive or less active when conjugated to large antibodies or protein receptor ligands.

20 *PBDs in ADCs*

Dimeric PBDs have been disclosed as the drugs in drug conjugates. For example, in WO 2011/130598, dimer PBD compounds having linker groups for connection to a cell binding agent, such as an antibody, are disclosed where the linker group is attached to one of the available N10 positions, and are generally cleaved by action of an enzyme on the linker 25 group.

By contrast, in WO 2011/130613 and WO 2011/130616, dimer PBD compounds having linker groups for connection to a cell binding agent, such as an antibody, are disclosed where the linker group is attached via an aromatic group at one of the C2 postions, and are 30 generally cleaved by action of an enzyme on the linker group. Such antibody drug conjugates are also described in Flyagre, J., *et al*, *Chem. Biol. Drug Des.* 81: 113-121 (2013), which also describes other types of antibody drug conjugates.

A further approach is described in WO 2007/085930, wherein tomamycin-like dimers have a 35 linker group for connection to a cell binding agent, such as an antibody, where the linker

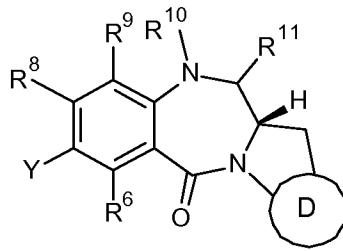
group is attached to the tether between the tomamycin units, and are generally cleaved by action of an enzyme on the linker group.

The present inventors have developed a novel approach to forming PBD conjugates with cell 5 binding agents, and in particular PBD antibody conjugates.

Summary of the Invention

In a general aspect the present invention provides a conjugate comprising a PBD compound with a linker for connecting to a cell binding agent, wherein the linker is attached in a non-10 cleavable manner to the C7 position of the one PBD units. The cell binding agent is preferably an antibody. The invention also provides the PBD compound with the linking unit attached, and intermediates for their synthesis.

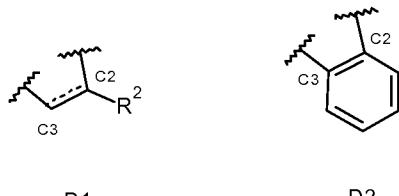
In a first aspect, the present invention provides a conjugate of formula A:



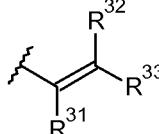
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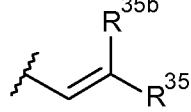
and salts and solvates thereof, wherein:

D represents either group D1 or D2:

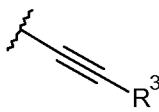


the dotted line indicates the optional presence of a double bond between C2 and C3; 20 when there is a double bond present between C2 and C3, R² is selected from the group consisting of:
 (ia) C₅₋₁₀ aryl group, optionally substituted by one or more substituents selected from the group comprising: halo, nitro, cyano, ether, carboxy, ester, C₁₋₇ alkyl, C₃₋₇ heterocyclyl and bis-oxy-C₁₋₃ alkylene;
 25 (ib) C₁₋₅ saturated aliphatic alkyl;
 (ic) C₃₋₆ saturated cycloalkyl;

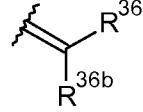
(id)  , wherein each of R³¹, R³² and R³³ are independently selected from H, C₁₋₃ saturated alkyl, C₂₋₃ alkenyl, C₂₋₃ alkynyl and cyclopropyl, where the total number of carbon atoms in the R² group is no more than 5;

(ie)  , wherein one of R^{35a} and R^{35b} is H and the other is selected from:

5 phenyl, which phenyl is optionally substituted by a group selected from halo, methyl, methoxy; pyridyl; and thiophenyl; and

(if)  , where R³⁴ is selected from: H; C₁₋₃ saturated alkyl; C₂₋₃ alkenyl; C₂₋₃ alkynyl; cyclopropyl; phenyl, which phenyl is optionally substituted by a group selected from halo, methyl, methoxy; pyridyl; and thiophenyl;

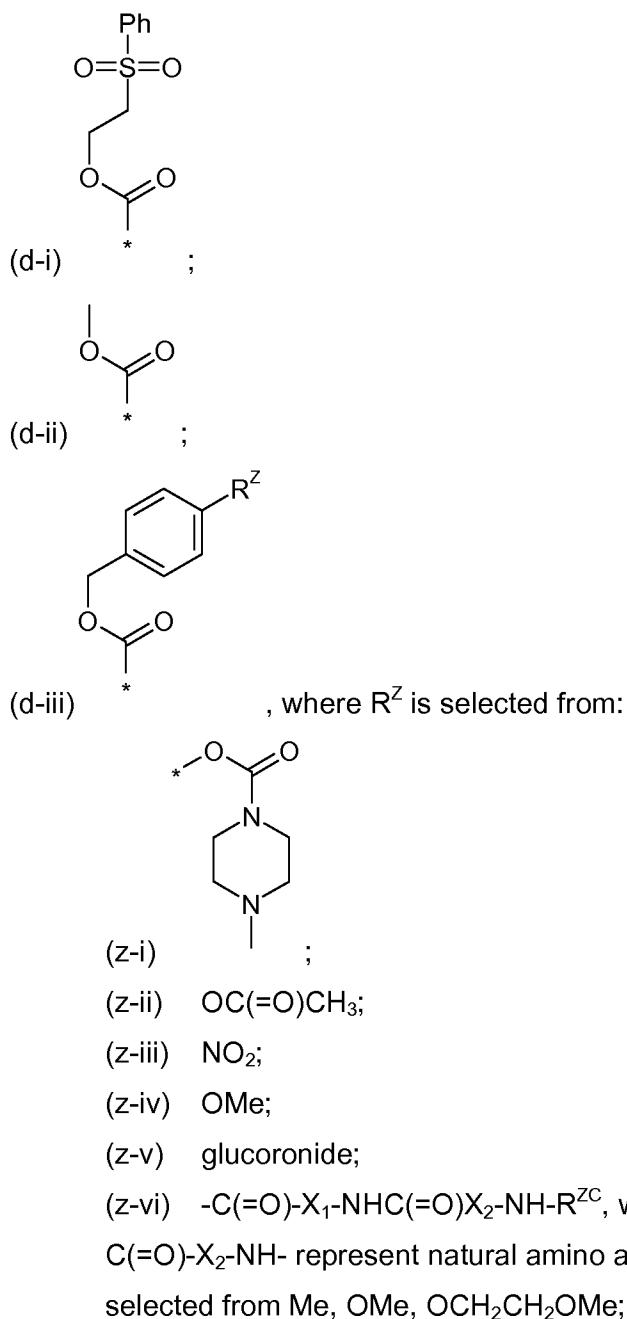
10 (ig) halo;
when there is a single bond present between C2 and C3,

R² is  , where R^{36a} and R^{36b} are independently selected from H, F, C₁₋₄ saturated alkyl, C₂₋₃ alkenyl, which alkyl and alkenyl groups are optionally substituted by a group selected from C₁₋₄ alkyl amido and C₁₋₄ alkyl ester; or, when one of R^{16a} and R^{16b} is H,

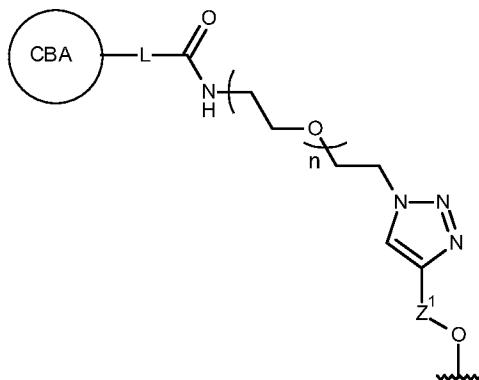
15 the other is selected from nitrile and a C₁₋₄ alkyl ester;
R⁶ and R⁹ are independently selected from H, R, OH, OR, SH, SR, NH₂, NHR, NRR', NO₂, SnMe₃ and halo;

either

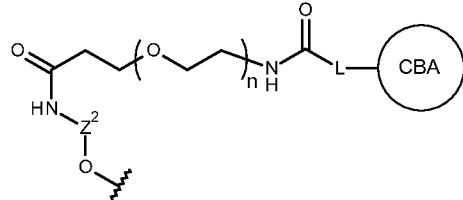
- (a) R¹⁰ is H, and R¹¹ is OH or OR^A, where R^A is C₁₋₄ alkyl; or
- 20 (b) R¹⁰ and R¹¹ form a nitrogen-carbon double bond between the nitrogen and carbon atoms to which they are bound; or
- (c) R¹⁰ is H and R¹¹ is OSO_zM, where z is 2 or 3 and M is a monovalent pharmaceutically acceptable cation; or
- (d) R¹¹ is OH or OR^A, where R^A is C₁₋₄ alkyl and R¹⁰ is selected from:



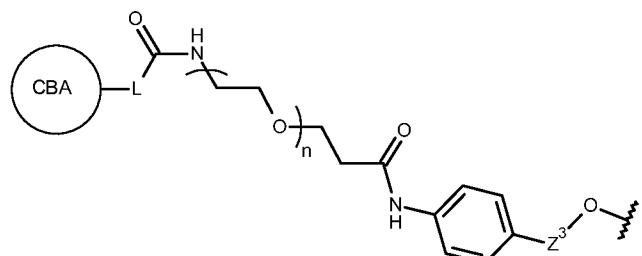
Y is selected from formulae A1, A2 and A3:



(A1)



(A2)



(A3)

Z^1 is a C_{1-3} alkylene group;

Z^2 is a C_{1-3} alkylene group;

5 Z^3 is a C_{1-3} alkylene group;

L is a linker connected to a cell binding agent;

CBA is the cell binding agent;

n is an integer between 0 and 48;

R and R' are each independently selected from optionally substituted C_{1-12} alkyl,

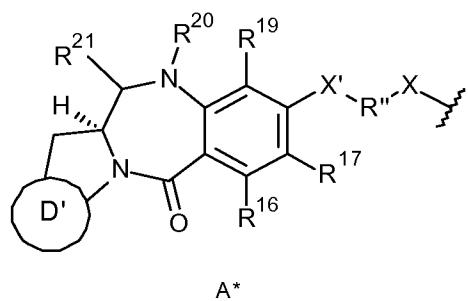
10 C_{3-20} heterocyclyl and C_{5-20} aryl groups, and optionally in relation to the group NRR', R and R' together with the nitrogen atom to which they are attached form an optionally substituted 4-, 5-, 6- or 7-membered heterocyclic ring;

R^8 is either:

(a) independently selected from H, R, OH, OR, SH, SR, NH₂, NHR, NRR', NO₂, SnMe₃ and

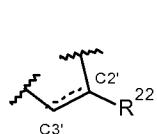
15 halo; or

(b) of formula A*:

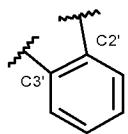


wherein:

D' represents either group D'1 or D2:



D'1



D'2

5 wherein the dotted line indicates the optional presence of a double bond between C2' and C3';

R¹⁷ is independently selected from H, R, OH, OR, SH, SR, NH₂, NHR, NRR', NO₂, SnMe₃ and halo;

R" is a C₃₋₁₂ alkylene group, which chain may be interrupted by one or more heteroatoms,

10 e.g. O, S, N(H), NMe and/or aromatic rings, e.g. benzene or pyridine, which rings are optionally substituted; and

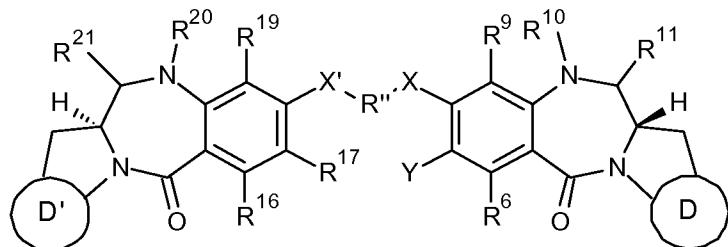
X and X' are independently selected from O, S and N(H); and

R²², R¹⁶, R¹⁹, R²⁰ and R²¹ are as defined for R², R⁶, R⁹, R¹⁰ and R¹¹ respectively.

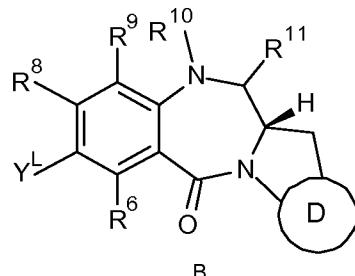
15 Thus formula A is selected from the following formulae A-I, A-II and A-III, depending on Y:

Y	A
(A1)	<p style="text-align: center;">A-I</p>
(A2)	<p style="text-align: center;">A-II</p>
(A3)	<p style="text-align: center;">A-III</p>

When R^8 is A^* , the compound is of the formula A^*A :

 A^*A

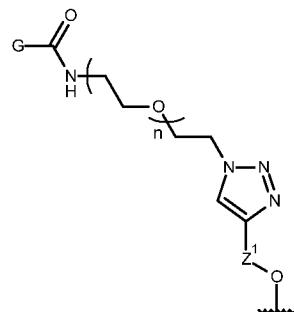
A second aspect of the present invention provides novel drug-linker compounds of formula 5 (B):



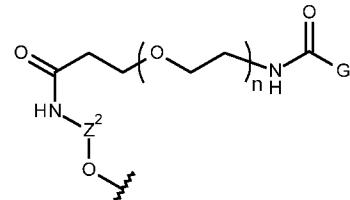
B

and salts and solvates thereof, wherein:

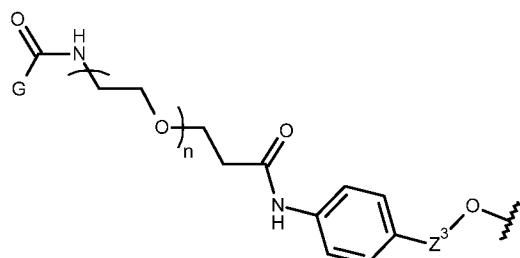
Y^L is selected from formulae B1, B2 and B3:



(B1)



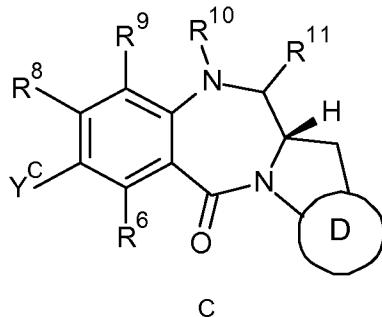
(B2)



(B3)

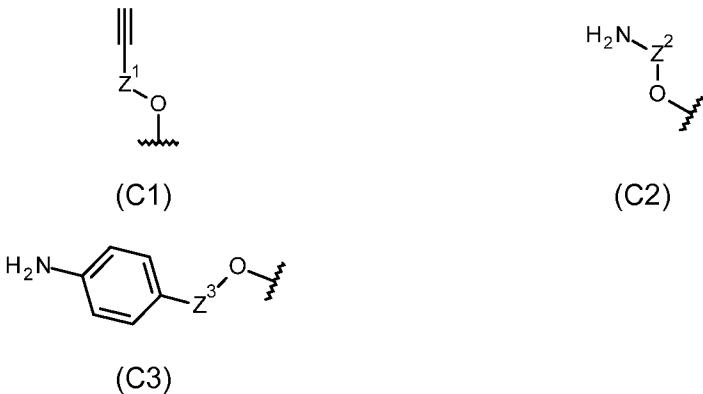
G is a linker for connecting to a cell binding agent; and the remaining groups are as defined in the first aspect.

5 A third aspect of the present invention also provides compounds of formula (C), which may be used in the preparation of the drug-linkers and conjugates of the invention:



and salts and solvates thereof,

Y^C is selected from from formulae C1, C2 and C3:



10 and the remaining groups are as defined in the first aspect.

A fourth aspect of the present invention provides the use of a compound of the first aspect of the invention in a method of medical treatment. The fourth aspect also provides a pharmaceutical composition comprising a compound of the first aspect, and a

15 pharmaceutically acceptable excipient.

A fifth aspect of the present invention provides a compound of the first aspect of the invention or a pharmaceutical composition of the fourth aspect of the invention for use in a method of treatment of a proliferative disease. The fifth aspect also provides the use of a compound of the first aspect in a method of manufacture of a medicament for the treatment of a proliferative disease, and a method of treating a mammal having a proliferative disease,

comprising administering an effective amount of a compound of the first aspect or a pharmaceutical composition of the fourth aspect.

A sixth aspect of the present invention provides a method of synthesis of a compound of the 5 first aspect of the present invention, comprising the step of conjugating a drug-linker of the second aspect with a cell-binding agent.

A seventh aspect of the present invention provides a method of synthesis of a drug-linker of the second aspect, comprising the step of reacting a compound of the third aspect with one 10 or more suitable reagents.

Detailed Description of the Invention

Preferences

The following preferences may apply to all aspects of the invention as described above, or 15 may relate to a single aspect. The preferences may be combined together in any combination.

D

In some embodiments, D is D1.

20

In some embodiments, D is D2.

*R*⁸

In some embodiments, R⁸ may be independently selected from H, OH, OR, SH, SR, NH₂, 25 NHR, NRR', and halo.

In some embodiments, R⁸ may be independently selected from H, OH and OR, where R may be selected from optionally substituted C₁₋₇ alkyl, C₃₋₁₀ heterocyclyl and C₅₋₁₀ aryl groups. R in R⁸ may in some of these embodiments be a C₁₋₄ alkyl group, which may or may 30 not be substituted. A substituent of interest is a C₅₋₆ aryl group (e.g. phenyl).

In some embodiments, R⁸ is selected from OMe and OCH₂Ph.

In some embodiments, R⁸ is of formula A*, such that the compound is a PBD dimer.

Dimer link

X and X' are preferably O.

5 R" is a C₃₋₁₂ alkylene group, which chain may be interrupted by one or more heteroatoms, e.g. O, S, N(H), NMe and/or aromatic rings, e.g. benzene or pyridine, which rings are optionally substituted.

In some embodiments, R" may be C₃₋₁₂ alkylene group, which chain may be interrupted by 10 one or more heteroatoms and/or aromatic rings, e.g. benzene or pyridine.

In some embodiments, R" may be C₃₋₁₂ alkylene group which is optionally interrupted by one or more heteroatoms selected from O, S, and NMe and/or aromatic rings, which rings are optionally substituted.

In some embodiments, the aromatic ring is a C₅₋₂₀ arylene group, where arylene pertains to a 15 divalent moiety obtained by removing two hydrogen atoms from two aromatic ring atoms of an aromatic compound, which moiety has from 5 to 20 ring atoms.

In some embodiments, R" may be a C₃₋₁₂ alkylene group, which chain may be interrupted by one or more heteroatoms, e.g. O, S, N(H), NMe and/or aromatic rings, e.g. benzene or pyridine, which rings are optionally substituted by NH₂.

20 In some embodiments, R" may be C₃₋₁₂ alkylene group.

In some embodiments, R" may be selected from a C₃, C₅, C₇, C₉ and a C₁₁ alkylene group.

In some embodiments, R" may be selected from a C₃, C₅ and a C₇ alkylene group.

In some embodiments, R" may be selected from a C₃ and a C₅ alkylene group.

In some embodiments, R" is a C₃ alkylene group.

25 In some embodiments, R" is a C₅ alkylene group.

The alkylene groups listed above may be optionally interrupted by one or more heteroatoms and/or aromatic rings, e.g. benzene or pyridine, which rings are optionally substituted.

The alkylene groups listed above may be optionally interrupted by one or more heteroatoms and/or aromatic rings, e.g. benzene or pyridine.

30 The alkylene groups listed above may be unsubstituted linear aliphatic alkylene groups.

R" is preferably a C₃₋₇ alkylene group with no substituents. More preferably R" is a C₃, C₅ or C₇ alkylene. Most preferably, R" is a C₃ or C₅ alkylene.

*R*⁶

35 In some embodiments, R⁶ may be independently selected from H, R, OH, OR, SH, SR, NH₂, NHR, NRR', NO₂, SnMe₃ and halo.

In some embodiments, R^6 may be independently selected from H, OH, OR, SH, NH₂, NO₂ and halo.

5 In some embodiments, R^6 is independently selected from H and halo.

In some embodiments, R^6 is independently H.

These embodiments also apply to R^{16} .

10

R^9

In some embodiments, R^9 may be independently selected from H, R, OH, OR, SH, SR, NH₂, NHR, NRR', NO₂, SnMe₃ and halo.

15 In some embodiments, R^9 is independently H.

These embodiments also apply to R^{19} .

R^{17}

20 In some embodiments, R^{17} may be independently selected from H, OH, OR, SH, SR, NH₂, NHR, NRR', and halo.

In some embodiments, R^{17} may be independently selected from H, OH and OR, where R may be selected from optionally substituted C₁₋₇ alkyl, C₃₋₁₀ heterocycl and C₅₋₁₀ aryl

25 groups. R in R^{17} may in some of these embodiments be a C₁₋₄ alkyl group, which may or may not be substituted. A substituent of interest is a C₅₋₆ aryl group (e.g. phenyl).

In some embodiments, R^{17} is selected from OMe and OCH₂Ph.

30 R^2

When R^2 is a C₅₋₁₀ aryl group, in some embodiments it may be a C₅₋₇ aryl group. A C₅₋₇ aryl group may be a phenyl group or a C₅₋₇ heteroaryl group, for example furanyl, thiophenyl and pyridyl. In some embodiments, R^2 may be phenyl. In other embodiments, R^2 may be thiophenyl, for example, thiophen-2-yl and thiophen-3-yl.

35

When R^2 is a C_{5-10} aryl group, in some embodiments it may be a C_{8-10} aryl, for example a quinolinyl or isoquinolinyl group. The quinolinyl or isoquinolinyl group may be bound to the PBD core through any available ring position. For example, the quinolinyl may be quinolin-2-yl, quinolin-3-yl, quinolin-4-yl, quinolin-5-yl, quinolin-6-yl, quinolin-7-yl and quinolin-8-yl. Of these quinolin-3-yl and quinolin-6-yl may be preferred. The isoquinolinyl may be isoquinolin-1-yl, isoquinolin-3-yl, isoquinolin-4-yl, isoquinolin-5-yl, isoquinolin-6-yl, isoquinolin-7-yl and isoquinolin-8-yl. Of these isoquinolin-3-yl and isoquinolin-6-yl may be preferred.

When R^2 is a C_{5-10} aryl group, it may bear any number of substituent groups. In some embodiments, it may bear from 1 to 3 substituent groups. In some embodiments, it may bear 1 or 2 substituent groups. In some embodiments, it may bear a single substituent group. The substituents may be any position.

Where R^2 is C_{5-7} aryl group, in some embodiments a single substituent may be on a ring atom that is not adjacent the bond to the remainder of the compound, i.e. it may be β or γ to the bond to the remainder of the compound. Therefore, in embodiments where the C_{5-7} aryl group is phenyl, the substituent may be in the meta- or para- positions, or may be in the para- position.

Where R^2 is a C_{8-10} aryl group, for example quinolinyl or isoquinolinyl, in some embodiments there may be any number of substituents at any position of the quinoline or isoquinoline rings. In some embodiments, it bears one, two or three substituents, and these may be on either the proximal and distal rings or both (if more than one substituent).

25 R^2 substituents, when R^2 is a C_{5-10} aryl group

In embodiments where a substituent on R^2 when R^2 is a C_{5-10} aryl group is halo, it may be F or Cl, and in some of these embodiments Cl.

In embodiments where a substituent on R^2 when R^2 is a C_{5-10} aryl group is ether, it may in some embodiments be an alkoxy group, for example, a C_{1-7} alkoxy group (e.g. methoxy, ethoxy) or it may in some embodiments be a C_{5-7} aryloxy group (e.g phenoxy, pyridyloxy, furanyloxy). The alkoxy group may itself be further substituted, for example by an amino group (e.g. dimethylamino).

35 In embodiments where a substituent on R^2 when R^2 is a C_{5-10} aryl group is C_{1-7} alkyl, it may be a C_{1-4} alkyl group (e.g. methyl, ethyl, propyl, butyl).

In embodiments where a substituent on R² when R² is a C₅₋₁₀ aryl group is C₃₋₇ heterocyclyl, it may be C₆ nitrogen containing heterocyclyl group, e.g. morpholino, thiomorpholino, piperidinyl, piperazinyl. These groups may be bound to the rest of the PBD moiety via the 5 nitrogen atom. These groups may be further substituted, for example, by C₁₋₄ alkyl groups. If the C₆ nitrogen containing heterocyclyl group is piperazinyl, the said further substituent may be on the second nitrogen ring atom.

10 In embodiments where a substituent on R² when R² is a C₅₋₁₀ aryl group is bis-oxy-C₁₋₃ alkylene, this may be bis-oxy-methylene or bis-oxy-ethylene.

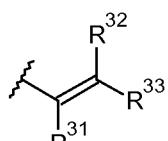
In embodiments where a substituent on R² when R² is a C₅₋₁₀ aryl group is ester, this is preferably methyl ester or ethyl ester.

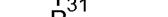
15 In some embodiments, substituents when R² is a C₅₋₁₀ aryl group may include methoxy, ethoxy, fluoro, chloro, cyano, bis-oxy-methylene, methyl-piperazinyl, morpholino, methyl-thiophenyl, dimethylaminopropoxy and carboxy.

20 In some embodiments, R² may be selected from 4-methoxy-phenyl, 3-methoxyphenyl, 4-ethoxy-phenyl, 3-ethoxy-phenyl, 4-fluoro-phenyl, 4-chloro-phenyl, 3,4-bisoxymethylene-phenyl, 4-methylthiophenyl, 4-cyanophenyl, 4-phenoxyphenyl, quinolin-3-yl and quinolin-6-yl, isoquinolin-3-yl and isoquinolin-6-yl, 2-thienyl, 2-furanyl, methoxynaphthyl, naphthyl, 4-nitrophenyl, 4-(4-methylpiperazin-1-yl)phenyl and 3,4-bisoxymethylene-phenyl.

25 When R² is C₁₋₅ saturated aliphatic alkyl, it may be methyl, ethyl, propyl, butyl or pentyl. In some embodiments, it may be methyl, ethyl or propyl (n-pentyl or isopropyl). In some of these embodiments, it may be methyl. In other embodiments, it may be butyl or pentyl, which may be linear or branched.

30 When R² is C₃₋₆ saturated cycloalkyl, it may be cyclopropyl, cyclobutyl, cyclopentyl or cyclohexyl. In some embodiments, it may be cyclopropyl.



When R² is  , in some embodiments, the total number of carbon atoms in the R² group is no more than 4 or no more than 3.

In some embodiments, one of R³¹, R³² and R³³ is H, with the other two groups being selected
5 from H, C₁₋₃ saturated alkyl, C₂₋₃ alkenyl, C₂₋₃ alkynyl and cyclopropyl.

In other embodiments, two of R³¹, R³² and R³³ are H, with the other group being selected
from H, C₁₋₃ saturated alkyl, C₂₋₃ alkenyl, C₂₋₃ alkynyl and cyclopropyl.

10 In some embodiments, the groups that are not H are selected from methyl and ethyl. In some of these embodiments, the groups that are not H are methyl.

In some embodiments, R³¹ is H.

15 In some embodiments, R³² is H.

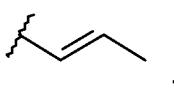
In some embodiments, R³³ is H.

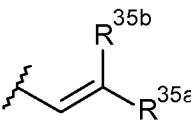
In some embodiments, R³¹ and R³² are H.

20

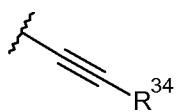
In some embodiments, R³¹ and R³³ are H.

In some embodiments, R³² and R³³ are H.

25 A R² group of particular interest is: 

When R² is  , in some embodiments, the group (R^{35a} or R^{35b}) which is not H is optionally substituted phenyl. If the phenyl optional substituent is halo, it may be fluoro. In some embodiment, the phenyl group is unsubstituted.

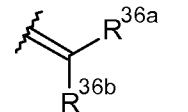
30



When R^2 is R^{34} , in some embodiments where R^{34} is phenyl, it is unsubstituted. In other embodiments, the phenyl group bears a single fluoro substituent. In other embodiments, R^{14} is selected from H, methyl, ethyl, ethenyl and ethynyl. In some of these embodiments, R^{14} is selected from H and methyl.

5

When R^2 is halo, in some embodiments, it is fluoro.



When there is a single bond present between C2 and C3, R^2 is

10 In some embodiments, R^{36a} and R^{36b} are both H.

In other embodiments, R^{36a} and R^{36b} are both methyl.

15 In further embodiments, one of R^{36a} and R^{36b} is H, and the other is selected from C_{1-4} saturated alkyl, C_{2-3} alkenyl, which alkyl and alkenyl groups are optionally substituted. In some of these further embodiment, the group which is not H may be selected from methyl and ethyl.

R^{22}

20 The above preferences for R^2 when there is a double bond present between C2 and C3 apply equally to R^{22} , when there is a double bond present between C2' and C3'.

The above preferences for R^2 when there is a single bond present between C2 and C3 apply equally to R^{22} , when there is a single bond present between C2' and C3'.

25

N10-C11

In some embodiment, R^{10} is H, and R^{11} is OH, OR^A , where R^A is C_{1-4} alkyl. In some of these embodiments, R^{11} is OH. In others of these embodiments, R^{11} is OR^A , where R^A is C_{1-4} alkyl. In some of these embodiments, R^A is methyl.

30

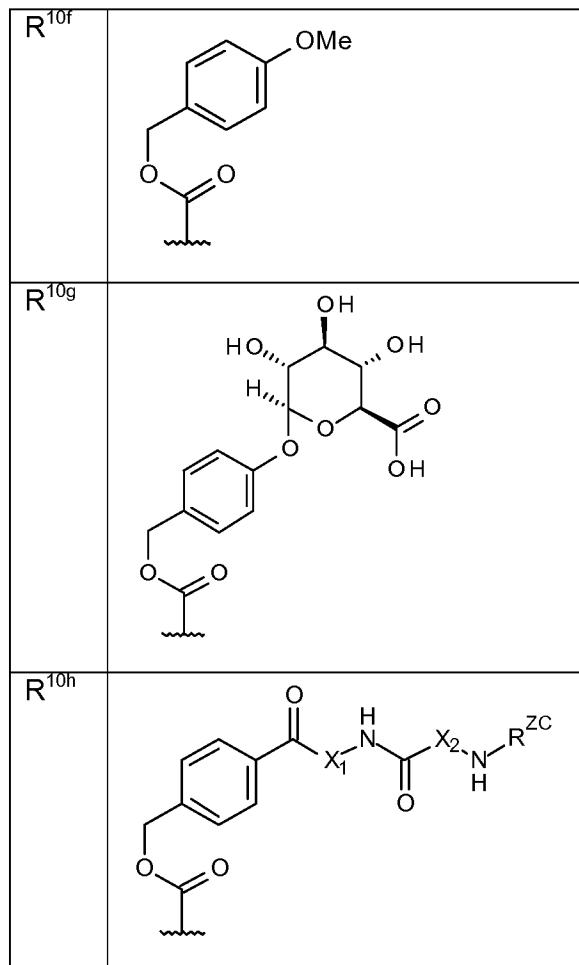
In some embodiments, R^{10} and R^{11} form a nitrogen-carbon double bond between the nitrogen and carbon atoms to which they are bound.

In some embodiments, R^{10} is H and R^{11} is OSO_zM , where z is 2 or 3 and M is a monovalent pharmaceutically acceptable cation. In some of these embodiments, M is a monovalent pharmaceutically acceptable cation, and may be Na^+ . Furthermore, in some embodiments z is 3.

In some embodiments where R^{10} is (d-iii), there may be an additional nitro group on the benzene ring, e.g. ortho to R^Z .

10 In some embodiments, R^{11} is OH or OR^A , where R^A is C_{1-4} alkyl and R^{10} is selected from:

R^{10a}	
R^{10b}	
R^{10c}	
R^{10d}	
R^{10e}	



-C(=O)-X₁-NHC(=O)X₂-NH- represent a dipeptide. The amino acids in the dipeptide may be any combination of natural amino acids. The dipeptide may be the site of action for cathepsin-mediated cleavage.

5

In one embodiment, the dipeptide, -C(=O)-X₁-NHC(=O)X₂-NH-, is selected from:

-Phe-Lys-,

-Val-Ala-,

-Val-Lys-,

10 -Ala-Lys-,

-Val-Cit-,

-Phe-Cit-,

-Leu-Cit-,

-Ile-Cit-,

15 -Phe-Arg-,

-Trp-Cit-

where Cit is citrulline.

Preferably, the dipeptide, $-C(=O)-X_1-NHC(=O)X_2-NH-$, is selected from:

-Phe-Lys-,

-Val-Ala-,

5 -Val-Lys-,

-Ala-Lys-,

-Val-Cit-.

Most preferably, the dipeptide, $-C(=O)-X_1-NHC(=O)X_2-NH-$, is -Phe-Lys- or -Val-Ala-.

10

Other dipeptide combinations may be used, including those described by Dubowchik *et al.*, *Bioconjugate Chemistry*, 2002, 13,855-869, which is incorporated herein by reference.

15 In one embodiment, the amino acid side chain is derivatised, where appropriate. For example, an amino group or carboxy group of an amino acid side chain may be derivatised.

In one embodiment, an amino group NH_2 of a side chain amino acid, such as lysine, is a derivatised form selected from the group consisting of NHR and NRR' .

20 In one embodiment, a carboxy group $COOH$ of a side chain amino acid, such as aspartic acid, is a derivatised form selected from the group consisting of $COOR$, $CONH_2$, $CONHR$ and $CONRR'$.

25 In one embodiment, the amino acid side chain is chemically protected, where appropriate. The side chain protecting group may be a group as discussed above. The present inventors have established that protected amino acid sequences are cleavable by enzymes. For example, it has been established that a dipeptide sequence comprising a Boc side chain-protected Lys residue is cleavable by cathepsin.

30 Protecting groups for the side chains of amino acids are well known in the art and are described in the Novabiochem Catalog. Additional protecting group strategies are set out in Protective Groups in Organic Synthesis, Greene and Wuts.

Possible side chain protecting groups are shown below for those amino acids having reactive side chain functionality:

35 Arg: Z, Mtr, Tos;

Asn: Trt, Xan;

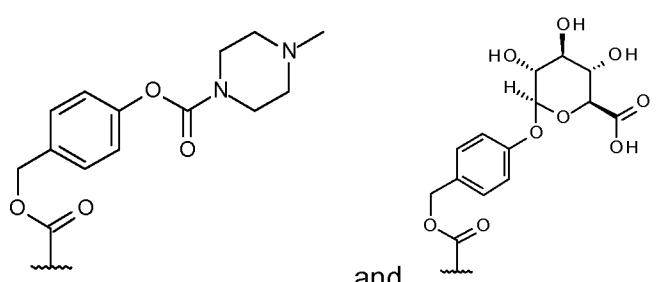
Asp: Bzl, t-Bu;
 Cys: Acm, Bzl, Bzl-OMe, Bzl-Me, Trt;
 Glu: Bzl, t-Bu;
 Gln: Trt, Xan;
 5 His: Boc, Dnp, Tos, Trt;
 Lys: Boc, Z-Cl, Fmoc, Z, Alloc;
 Ser: Bzl, TBDMS, TBDPS;
 Thr: Bz;
 Trp: Boc;
 10 Tyr: Bzl, Z, Z-Br.

In one embodiment, the side chain protection is selected to be orthogonal to a group provided as, or as part of, a capping group, where present. Thus, the removal of the side chain protecting group does not remove the capping group, or any protecting group 15 functionality that is part of the capping group.

In other embodiments of the invention, the amino acids selected are those having no reactive side chain functionality. For example, the amino acids may be selected from: Ala, Gly, Ile, Leu, Met, Phe, Pro, and Val.

20 It is particularly preferred in the present invention, that if L^1 comprises a dipeptide, then $-C(=O)-X_1-NHC(=O)X_2-NH-$ is the same dipeptide.

Other preferred R^{10} groups include:



The above preferences apply equally to R^{20} and R^{21} .

R and R'

In some embodiments, R is independently selected from optionally substituted C₁₋₁₂ alkyl, C₃₋₂₀ heterocyclyl and C₅₋₂₀ aryl groups. These groups are each defined in the substituents section below.

5

In some embodiments, R is independently optionally substituted C₁₋₁₂ alkyl. In other embodiments, R is independently optionally substituted C₃₋₂₀ heterocyclyl. In further embodiments, R is independently optionally substituted C₅₋₂₀ aryl. In further embodiments, R is independently optionally substituted C₁₋₁₂ alkyl.

10

Described above in relation to R² are various embodiments relating to preferred alkyl and aryl groups and the identity and number of optional substituents. The preferences set out for R² as it applies to R are applicable, where appropriate, to all other groups R.

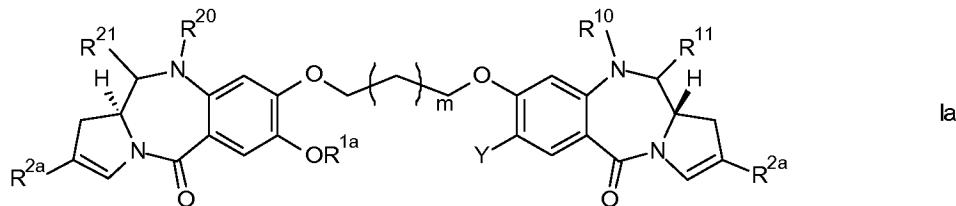
15 The preferences for R apply also to R'.

In some embodiments of the invention there is provided a compound having a substituent group -NRR'. In one embodiment, R and R' together with the nitrogen atom to which they are attached form an optionally substituted 4-, 5-, 6- or 7-membered heterocyclic ring. The 20 ring may contain a further heteroatom, for example N, O or S. In some of these embodiments, the heterocyclic ring is itself substituted with a group R. Where a further N heteroatom is present, the substituent may be on the N heteroatom.

Dimers

25 In some embodiments, the groups X', D, R¹⁶, R¹⁹, R²⁰ and R²¹ are the same as the groups X, D', R⁶, R⁹, R¹⁰ and R¹¹ respectively. In these embodiments, the PBD monomer units have the same substituents except for at the 7 position.

30 Particularly preferred compounds of the first aspect of the present invention may be of formula Ia:



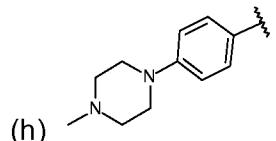
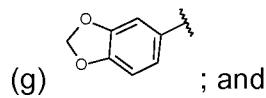
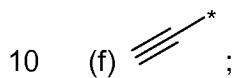
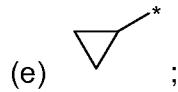
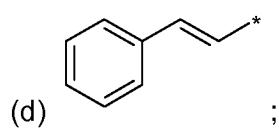
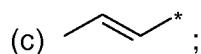
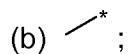
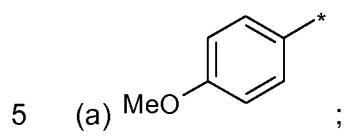
where

R^{10} , R^{11} , R^{20} , R^{21} and Y are as defined above;

m is 1 or 3;

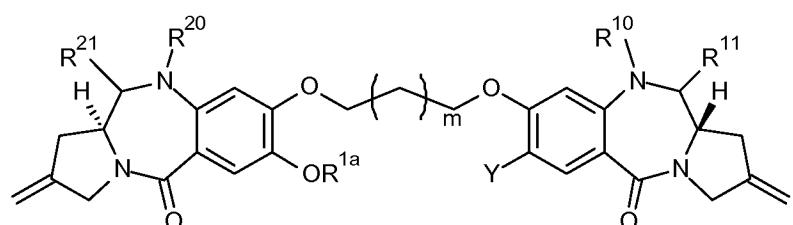
R^{1a} is methyl or phenyl; and

R^{2a} is selected from:



Particularly preferred compounds of the first aspect of the present invention may be of

15 formula Ib:



Ib

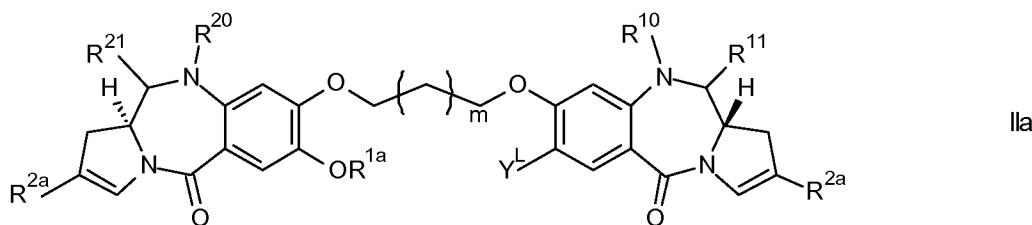
where

R^{10} , R^{11} , R^{20} , R^{21} and Y are as defined above;

20 m is 1 or 3; and

R^{1a} is methyl or phenyl.

Particularly preferred compounds of the second aspect of the present invention may be of formula IIa:



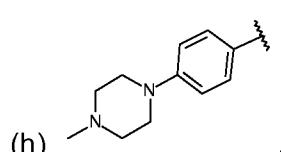
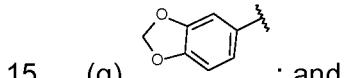
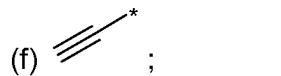
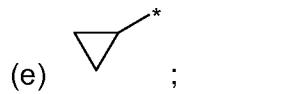
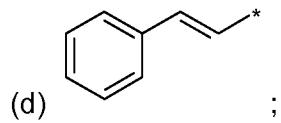
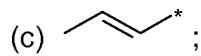
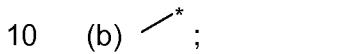
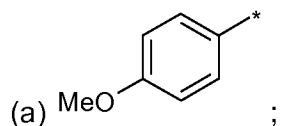
where

5 R¹⁰, R¹¹, R²⁰, R²¹ and Y^L are as defined above;

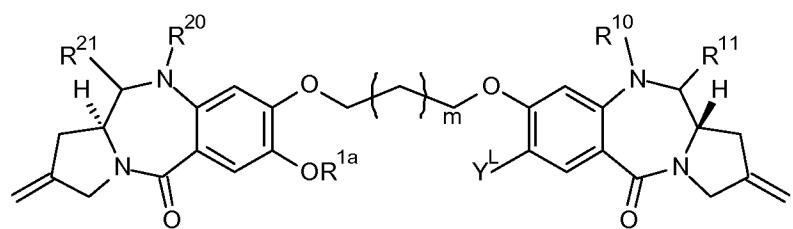
m is 1 or 3;

R^{1a} is methyl or phenyl; and

R^{2a} is selected from:



Particularly preferred compounds of the second aspect of the present invention may be of formula IIb:



IIIb

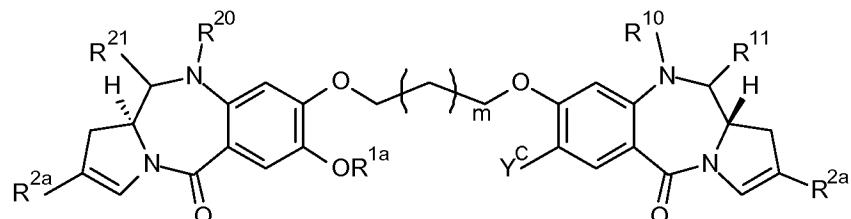
where

 R^{10} , R^{11} , R^{20} , R^{21} and Y^L are as defined above;

5 m is 1 or 3; and

 R^{1a} is methyl or phenyl.

Particularly preferred compounds of the third aspect of the present invention may be of formula IIIa:



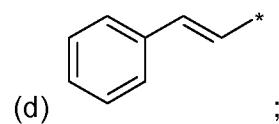
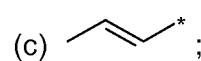
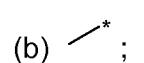
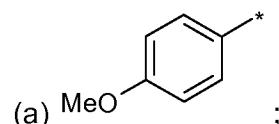
IIIa

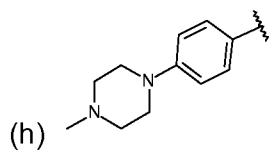
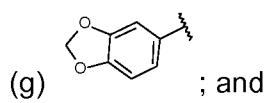
10

where

 R^{10} , R^{11} , R^{20} , R^{21} and Y^C are as defined above;

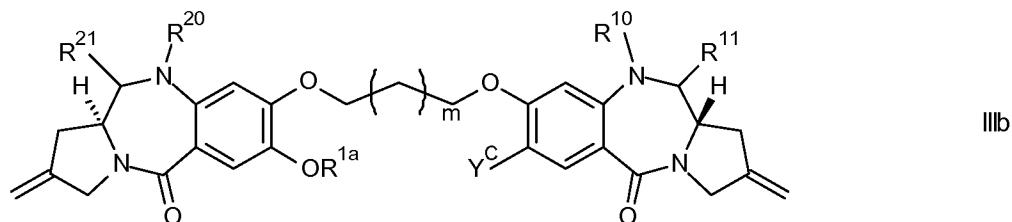
m is 1 or 3;

 R^{1a} is methyl or phenyl; and15 R^{2a} is selected from:



Particularly preferred compounds of the third aspect of the present invention may be of

5 formula IIIb:



where

R¹⁰, R¹¹, R²⁰, R²¹ and Y^C are as defined above;

10 m is 1 or 3; and

R^{1a} is methyl or phenyl.

Z¹, Z², Z³

In some embodiments, Z¹ is methylene. In some embodiments, Z¹ is ethylene. In some
15 embodiments, Z¹ is propylene.

In some embodiments, Z² is methylene. In some embodiments, Z² is ethylene. In some
embodiments, Z² is propylene.

20 In some embodiments, Z³ is methylene. In some embodiments, Z³ is ethylene. In some
embodiments, Z³ is propylene.

n (Y, Y^L)

In some embodiments, n (in Y or Y^L) is an integer between 0 and 24.

25

In some embodiments, n (in Y or Y^L) is an integer between 0 and 12.

In some embodiments, n (in Y or Y^L) is an integer between 0 and 8.

In some embodiments, n (in Y or Y^L) is an integer between 0 and 6.

In some embodiments, n (in Y or Y^L) is 0.

5 In some embodiments, n (in Y or Y^L) is 1.

In some embodiments, n (in Y or Y^L) is 2.

In some embodiments, n (in Y or Y^L) is 3.

In some embodiments, n (in Y or Y^L) is 4.

In some embodiments, n (in Y or Y^L) is 5.

10 In some embodiments, n (in Y or Y^L) is 6.

In some embodiments, n (in Y or Y^L) is 7.

In some embodiments, n (in Y or Y^L) is 8.

In some embodiments, Z¹ is methylene and n is 3.

15 In some embodiments, Z² is propylene and n is 8.

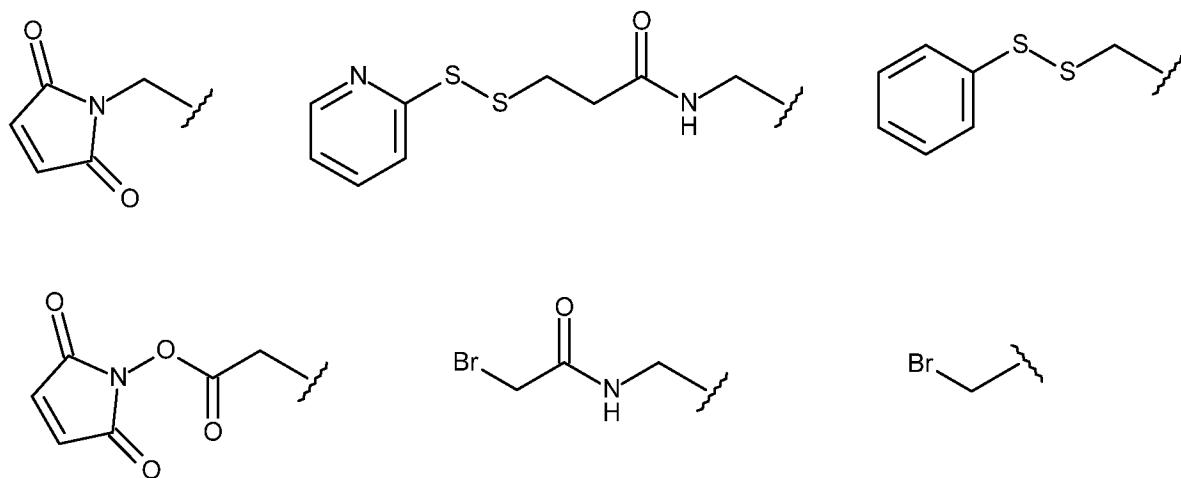
L and G

L is a linker connected to the cell binding agent in the conjugate compound. G is a linker for connecting the PBD dimer to the cell binding agent to form the conjugate compound.

20

Preferably, the linker contains an electrophilic functional group for reaction with a nucleophilic functional group on the cell binding agent. Nucleophilic groups on antibodies include, but are not limited to: (i) N-terminal amine groups, (ii) side chain amine groups, e.g. lysine, (iii) side chain thiol groups, e.g. cysteine, and (iv) sugar hydroxyl or amino groups

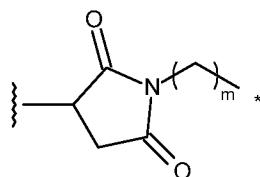
25 where the antibody is glycosylated. Amine, thiol, and hydroxyl groups are nucleophilic and capable of reacting to form covalent bonds with electrophilic groups on linker moieties and linker reagents including: (i) maleimide groups (ii) activated disulfides, (iii) active esters such as NHS (N-hydroxysuccinimide) esters, HOBT (N-hydroxybenzotriazole) esters, haloformates, and acid halides; (iv) alkyl and benzyl halides such as haloacetamides; and (v) 30 aldehydes, ketones, carboxyl, and, some of which are exemplified as follows:



Certain antibodies have reducible interchain disulfides, i.e. cysteine bridges. Antibodies may be made reactive for conjugation with linker reagents by treatment with a reducing agent such as DTT (dithiothreitol). Each cysteine bridge will thus form, theoretically, two reactive

5 thiol nucleophiles. Additional nucleophilic groups can be introduced into antibodies through the reaction of lysines with 2-iminothiolane (Traut's reagent) resulting in conversion of an amine into a thiol. Reactive thiol groups may be introduced into the antibody (or fragment thereof) by introducing one, two, three, four, or more cysteine residues (e.g., preparing mutant antibodies comprising one or more non-native cysteine amino acid residues). US
10 7521541 teaches engineering antibodies by introduction of reactive cysteine amino acids. In some embodiments, a Linker has a reactive nucleophilic group which is reactive with an electrophilic group present on an antibody. Useful electrophilic groups on an antibody include, but are not limited to, aldehyde and ketone carbonyl groups. The heteroatom of a nucleophilic group of a Linker can react with an electrophilic group on an antibody and form
15 a covalent bond to an antibody unit. Useful nucleophilic groups on a Linker include, but are not limited to, hydrazide, oxime, amino, hydroxyl, hydrazine, thiosemicarbazone, hydrazine carboxylate, and arylhydrazide. The electrophilic group on an antibody provides a convenient site for attachment to a Linker.

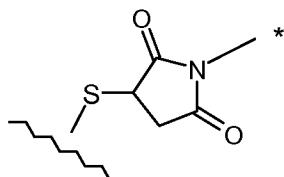
In one embodiment, the group L is:



where the asterisk indicates the point of attachment to the rest of group Y, the wavy line indicates the point of attachment to the cell binding agent, and m is 0 to 6. In one 5 embodiment, m is 5.

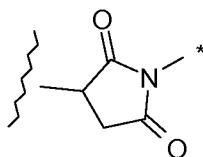
In one embodiment, the connection between the cell binding agent and L is through a thiol residue of the cell binding agent and a maleimide group of L.

10 In one embodiment, the connection between the cell binding agent and L is:



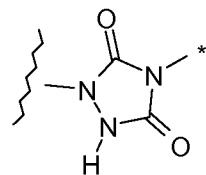
where the asterisk indicates the point of attachment to the remaining portion of the L group or the remaining portion of the Y group and the wavy line indicates the point of attachment to the remaining portion of the cell binding agent. In this embodiment, the S 15 atom is typically derived from the cell binding agent.

In each of the embodiments above, an alternative functionality may be used in place of the maleimide-derived group shown below:



20 where the wavy line indicates the point of attachment to the cell binding agent as before, and the asterisk indicates the bond to the remaining portion of the L group or the remaining portion of the Y group.

In one embodiment, the maleimide-derived group is replaced with the group:



where the wavy line indicates point of attachment to the cell binding agent, and the asterisk indicates the bond to the remaining portion of the L group or the remaining portion of the Y group.

5

In one embodiment, the maleimide-derived group is replaced with a group, which optionally together with the cell binding agent, is selected from:

-C(=O)NH-,

-C(=O)O-,

10

-NHC(=O)-,

-OC(=O)-,

-OC(=O)O-,

-NHC(=O)O-,

-OC(=O)NH-,

15

-NHC(=O)NH-,

-NHC(=O)NH,

-C(=O)NHC(=O)-,

-S-,

-S-S-,

20

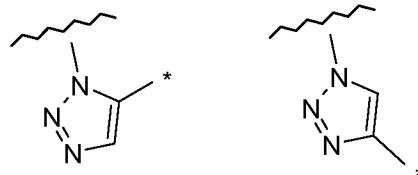
-CH₂C(=O)-

-C(=O)CH₂-,

=N-NH-, and

-NH-N=.

25 In one embodiment, the maleimide-derived group is replaced with a group, which optionally together with the cell binding agent, is selected from:

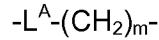


where the wavy line indicates either the point of attachment to the cell binding agent or the bond to the remaining portion of the L group or the remaining portion of the Y group,

and the asterisk indicates the other of the point of attachment to the cell binding agent or the bond to the remaining portion of the L group or the remaining portion of the Y group.

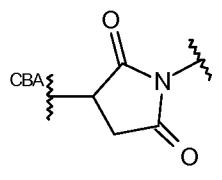
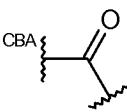
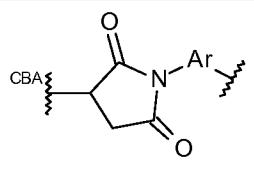
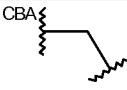
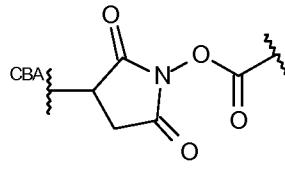
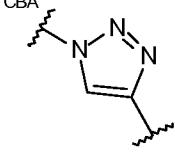
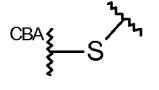
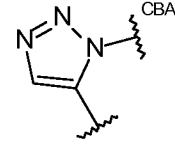
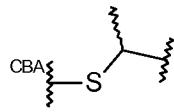
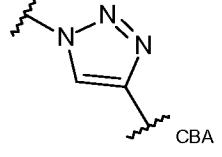
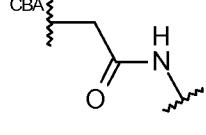
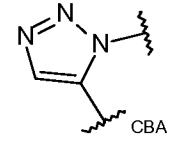
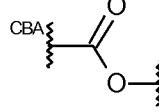
Other groups that can be used as L for connecting the remaining portion of the Y group to
5 the cell binding agent are described in WO 2005/082023.

Thus, in embodiments of the present invention, L is of formula:



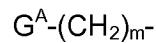
Where m is from 0 to 6; and

10 L^A is selected from:

(L^{A1-1})		(L^{A6})	
(L^{A1-2})		(L^{A7})	
(L^{A2})		(L^{A8-1})	
(L^{A3-1})		(L^{A8-2})	
(L^{A3-2})		(L^{A9-1})	
(L^{A4})		(L^{A9-2})	
(L^{A5})			

where Ar represents a C₅₋₆ arylene group, e.g. phenylene.

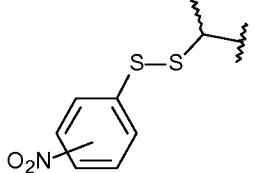
Thus, in embodiments of the present invention, G is of formula:



5 Where m is from 0 to 6; and

G^A is selected from:

(G^{A1-1})		(G^{A4})	
			Where Hal = I, Br, Cl
(G^{A1-2})			
(G^{A2})		(G^{A5})	
(G^{A3-1})		(G^{A6})	
	where the NO2 group is optional		
(G^{A3-2})		(G^{A7})	
	where the NO2 group is optional		
(G^{A3-3})		(G^{A8})	

	where the NO ₂ group is optional		
(G ^{A3-4})	 where the NO ₂ group is optional	(G ^{A9})	

where Ar represents a C₅₋₆ arylene group, e.g. phenylene.

In some embodiments, m may be 2 or 5.

5 Cell Binding Agent

A cell binding agent may be of any kind, and include peptides and non-peptides. These can include antibodies or a fragment of an antibody that contains at least one binding site, lymphokines, hormones, hormone mimetics, vitamins, growth factors, nutrient-transport molecules, or any other cell binding molecule or substance.

10

Peptides

In one embodiment, the cell binding agent is a linear or cyclic peptide comprising 4-30, preferably 6-20, contiguous amino acid residues. In this embodiment, it is preferred that one cell binding agent is linked to one monomer or dimer pyrrolobenzodiazepine compound.

15

In one embodiment the cell binding agent comprises a peptide that binds integrin $\alpha_v\beta_6$. The peptide may be selective for $\alpha_v\beta_6$ over XYS.

20 In one embodiment the cell binding agent comprises the A20FMDV-Cys polypeptide. The A20FMDV-Cys has the sequence: NAVPNLRGDLQVLAQKVARTC. Alternatively, a variant of the A20FMDV-Cys sequence may be used wherein one, two, three, four, five, six, seven, eight, nine or ten amino acid residues are substituted with another amino acid residue. Furthermore, the polypeptide may have the sequence NAVXXXXXXXXXXXXXXXXXRTC.

25 Antibodies

The term "antibody" herein is used in the broadest sense and specifically covers monoclonal antibodies, polyclonal antibodies, dimers, multimers, multispecific antibodies (e.g., bispecific antibodies), and antibody fragments, so long as they exhibit the desired biological activity (Miller *et al* (2003) *Jour. of Immunology* 170:4854-4861). Antibodies may be murine, human,

humanized, chimeric, or derived from other species. An antibody is a protein generated by the immune system that is capable of recognizing and binding to a specific antigen.

(Janeway, C., Travers, P., Walport, M., Shlomchik (2001) *Immuno Biology*, 5th Ed., Garland Publishing, New York). A target antigen generally has numerous binding sites, also called

5 epitopes, recognized by CDRs on multiple antibodies. Each antibody that specifically binds to a different epitope has a different structure. Thus, one antigen may have more than one corresponding antibody. An antibody includes a full-length immunoglobulin molecule or an immunologically active portion of a full-length immunoglobulin molecule, *i.e.*, a molecule that contains an antigen binding site that immunospecifically binds an antigen of a target of
10 interest or part thereof, such targets including but not limited to, cancer cell or cells that produce autoimmune antibodies associated with an autoimmune disease. The immunoglobulin can be of any type (e.g. IgG, IgE, IgM, IgD, and IgA), class (e.g. IgG1, IgG2, IgG3, IgG4, IgA1 and IgA2) or subclass of immunoglobulin molecule. The immunoglobulins can be derived from any species, including human, murine, or rabbit origin.

15

"Antibody fragments" comprise a portion of a full length antibody, generally the antigen binding or variable region thereof. Examples of antibody fragments include Fab, Fab', F(ab')₂, and scFv fragments; diabodies; linear antibodies; fragments produced by a Fab expression library, anti-idiotypic (anti-Id) antibodies, CDR (complementary determining
20 region), and epitope-binding fragments of any of the above which immunospecifically bind to cancer cell antigens, viral antigens or microbial antigens, single-chain antibody molecules; and multispecific antibodies formed from antibody fragments.

The term "monoclonal antibody" as used herein refers to an antibody obtained from a
25 population of substantially homogeneous antibodies, *i.e.* the individual antibodies comprising the population are identical except for possible naturally occurring mutations that may be present in minor amounts. Monoclonal antibodies are highly specific, being directed against a single antigenic site. Furthermore, in contrast to polyclonal antibody preparations which include different antibodies directed against different determinants (epitopes), each

30 monoclonal antibody is directed against a single determinant on the antigen. In addition to their specificity, the monoclonal antibodies are advantageous in that they may be synthesized uncontaminated by other antibodies. The modifier "monoclonal" indicates the character of the antibody as being obtained from a substantially homogeneous population of antibodies, and is not to be construed as requiring production of the antibody by any
35 particular method. For example, the monoclonal antibodies to be used in accordance with the present invention may be made by the hybridoma method first described by Kohler *et al*

(1975) *Nature* 256:495, or may be made by recombinant DNA methods (see, US 4816567). The monoclonal antibodies may also be isolated from phage antibody libraries using the techniques described in Clackson et al (1991) *Nature*, 352:624-628; Marks et al (1991) *J. Mol. Biol.*, 222:581-597 or from transgenic mice carrying a fully human immunoglobulin system (Lonberg (2008) *Curr. Opinion* 20(4):450-459).

The monoclonal antibodies herein specifically include “chimeric” antibodies in which a portion of the heavy and/or light chain is identical with or homologous to corresponding sequences in antibodies derived from a particular species or belonging to a particular antibody class or subclass, while the remainder of the chain(s) is identical with or homologous to corresponding sequences in antibodies derived from another species or belonging to another antibody class or subclass, as well as fragments of such antibodies, so long as they exhibit the desired biological activity (US 4816567; and Morrison et al (1984) *Proc. Natl. Acad. Sci. USA*, 81:6851-6855). Chimeric antibodies include “primatized” antibodies comprising variable domain antigen-binding sequences derived from a non-human primate (e.g. Old World Monkey or Ape) and human constant region sequences.

An “intact antibody” herein is one comprising a VL and VH domains, as well as a light chain constant domain (CL) and heavy chain constant domains, CH1, CH2 and CH3. The constant domains may be native sequence constant domains (e.g. human native sequence constant domains) or amino acid sequence variant thereof. The intact antibody may have one or more “effector functions” which refer to those biological activities attributable to the Fc region (a native sequence Fc region or amino acid sequence variant Fc region) of an antibody. Examples of antibody effector functions include C1q binding; complement dependent cytotoxicity; Fc receptor binding; antibody-dependent cell-mediated cytotoxicity (ADCC); phagocytosis; and down regulation of cell surface receptors such as B cell receptor and BCR.

Depending on the amino acid sequence of the constant domain of their heavy chains, intact antibodies can be assigned to different “classes.” There are five major classes of intact antibodies: IgA, IgD, IgE, IgG, and IgM, and several of these may be further divided into “subclasses” (isotypes), e.g., IgG1, IgG2, IgG3, IgG4, IgA, and IgA2. The heavy-chain constant domains that correspond to the different classes of antibodies are called α , δ , ϵ , γ , and μ , respectively. The subunit structures and three-dimensional configurations of different classes of immunoglobulins are well known.

Humanisation

Techniques to reduce the *in vivo* immunogenicity of a non-human antibody or antibody fragment include those termed "humanisation".

5 A "humanized antibody" refers to a polypeptide comprising at least a portion of a modified variable region of a human antibody wherein a portion of the variable region, preferably a portion substantially less than the intact human variable domain, has been substituted by the corresponding sequence from a non-human species and wherein the modified variable region is linked to at least another part of another protein, preferably the constant region of a

10 human antibody. The expression "humanized antibodies" includes human antibodies in which one or more complementarity determining region ("CDR") amino acid residues and/or one or more framework region ("FW" or "FR") amino acid residues are substituted by amino acid residues from analogous sites in rodent or other non-human antibodies. The expression "humanized antibody" also includes an immunoglobulin amino acid sequence variant or

15 fragment thereof that comprises an FR having substantially the amino acid sequence of a human immunoglobulin and a CDR having substantially the amino acid sequence of a non-human immunoglobulin.

"Humanized" forms of non-human (e.g., murine) antibodies are chimeric antibodies that

20 contain minimal sequence derived from non-human immunoglobulin. Or, looked at another way, a humanized antibody is a human antibody that also contains selected sequences from non-human (e.g. murine) antibodies in place of the human sequences. A humanized antibody can include conservative amino acid substitutions or non-natural residues from the same or different species that do not significantly alter its binding and/or biologic activity.

25 Such antibodies are chimeric antibodies that contain minimal sequence derived from non-human immunoglobulins.

There are a range of humanisation techniques, including 'CDR grafting', 'guided selection', 'deimmunization', 'resurfacing' (also known as 'veneering'), 'composite antibodies', 'Human

30 String Content Optimisation' and framework shuffling.

CDR grafting

In this technique, the humanized antibodies are human immunoglobulins (recipient antibody) in which residues from a complementary-determining region (CDR) of the recipient antibody

35 are replaced by residues from a CDR of a non-human species (donor antibody) such as mouse, rat, camel, bovine, goat, or rabbit having the desired properties (in effect, the non-

human CDRs are 'grafted' onto the human framework). In some instances, framework region (FR) residues of the human immunoglobulin are replaced by corresponding non-human residues (this may happen when, for example, a particular FR residue has significant effect on antigen binding).

5

Furthermore, humanized antibodies can comprise residues that are found neither in the recipient antibody nor in the imported CDR or framework sequences. These modifications are made to further refine and maximize antibody performance. Thus, in general, a humanized antibody will comprise all of at least one, and in one aspect two, variable domains, in which all or all of the hypervariable loops correspond to those of a non-human immunoglobulin and all or substantially all of the FR regions are those of a human immunoglobulin sequence. The humanized antibody optionally also will comprise at least a portion of an immunoglobulin constant region (Fc), or that of a human immunoglobulin.

10
15 **Guided selection**

The method consists of combining the V_H or V_L domain of a given non-human antibody specific for a particular epitope with a human V_H or V_L library and specific human V domains are selected against the antigen of interest. This selected human VH is then combined with a VL library to generate a completely human VHxVL combination. The method is described in
20 Nature Biotechnology (N.Y.) 12, (1994) 899-903.

Composite antibodies

In this method, two or more segments of amino acid sequence from a human antibody are combined within the final antibody molecule. They are constructed by combining multiple

25
30
35 human VH and VL sequence segments in combinations which limit or avoid human T cell epitopes in the final composite antibody V regions. Where required, T cell epitopes are limited or avoided by, exchanging V region segments contributing to or encoding a T cell epitope with alternative segments which avoid T cell epitopes. This method is described in US 2008/0206239 A1.**Deimmunization**

This method involves the removal of human (or other second species) T-cell epitopes from the V regions of the therapeutic antibody (or other molecule). The therapeutic antibodies V-region sequence is analysed for the presence of MHC class II- binding motifs by, for example, comparison with databases of MHC-binding motifs (such as the "motifs" database hosted at www.wehi.edu.au). Alternatively, MHC class II- binding motifs may be identified

using computational threading methods such as those devised by Altuvia et al. (J. Mol. Biol. 249 244-250 (1995)); in these methods, consecutive overlapping peptides from the V-region sequences are tested for their binding energies to MHC class II proteins. This data can then be combined with information on other sequence features which relate to successfully presented peptides, such as amphipathicity, Rothbard motifs, and cleavage sites for cathepsin B and other processing enzymes.

Once potential second species (e.g. human) T-cell epitopes have been identified, they are eliminated by the alteration of one or more amino acids. The modified amino acids are usually within the T-cell epitope itself, but may also be adjacent to the epitope in terms of the primary or secondary structure of the protein (and therefore, may not be adjacent in the primary structure). Most typically, the alteration is by way of substitution but, in some circumstances amino acid addition or deletion will be more appropriate.

15 All alterations can be accomplished by recombinant DNA technology, so that the final molecule may be prepared by expression from a recombinant host using well established methods such as Site Directed Mutagenesis. However, the use of protein chemistry or any other means of molecular alteration is also possible.

20 Resurfacing

This method involves:

(a) determining the conformational structure of the variable region of the non-human (e.g. rodent) antibody (or fragment thereof) by constructing a three-dimensional model of the non-human antibody variable region;

25 (b) generating sequence alignments using relative accessibility distributions from x-ray crystallographic structures of a sufficient number of non-human and human antibody variable region heavy and light chains to give a set of heavy and light chain framework positions wherein the alignment positions are identical in 98% of the sufficient number of non-human antibody heavy and light chains;

30 (c) defining for the non-human antibody to be humanized, a set of heavy and light chain surface exposed amino acid residues using the set of framework positions generated in step (b);

35 (d) identifying from human antibody amino acid sequences a set of heavy and light chain surface exposed amino acid residues that is most closely identical to the set of surface exposed amino acid residues defined in step (c), wherein the heavy and light chain from the human antibody are or are not naturally paired;

(e) substituting, in the amino acid sequence of the non-human antibody to be humanized, the set of heavy and light chain surface exposed amino acid residues defined in step (c) with the set of heavy and light chain surface exposed amino acid residues identified in step (d);

5 (f) constructing a three-dimensional model of the variable region of the non-human antibody resulting from the substituting specified in step (e);

(g) identifying, by comparing the three-dimensional models constructed in steps (a) and (f), any amino acid residues from the sets identified in steps (c) or (d), that are within 5 Angstroms of any atom of any residue of the complementarity determining regions of the 10 non-human antibody to be humanized; and

(h) changing any residues identified in step (g) from the human to the original non-human amino acid residue to thereby define a non-human antibody humanizing set of surface exposed amino acid residues; with the proviso that step (a) need not be conducted first, but must be conducted prior to step (g).

15

Superhumanization

The method compares the non-human sequence with the functional human germline gene repertoire. Those human genes encoding canonical structures identical or closely related to the non-human sequences are selected. Those selected human genes with highest

20 homology within the CDRs are chosen as FR donors. Finally, the non-human CDRs are grafted onto these human FRs. This method is described in patent WO 2005/079479 A2.

Human String Content Optimization

This method compares the non-human (e.g. mouse) sequence with the repertoire of human 25 germline genes and the differences are scored as Human String Content (HSC) that quantifies a sequence at the level of potential MHC/T-cell epitopes. The target sequence is then humanized by maximizing its HSC rather than using a global identity measure to generate multiple diverse humanized variants (described in Molecular Immunology, 44, (2007) 1986–1998).

30

Framework Shuffling

The CDRs of the non-human antibody are fused in-frame to cDNA pools encompassing all known heavy and light chain human germline gene frameworks. Humanised antibodies are then selected by e.g. panning of the phage displayed antibody library. This is described in

35 *Methods* 36, 43-60 (2005).

Examples of cell binding agents include those agents described for use in WO 2007/085930, which is incorporated herein.

5 Tumour-associate antigens and cognate antibodies for use in embodiments of the present invention are listed below.

TUMOR-ASSOCIATED ANTIGENS AND COGNATE ANTIBODIES

(1) BMPR1B (bone morphogenetic protein receptor-type IB)

Nucleotide

10 Genbank accession no. NM_001203
Genbank version no. NM_001203.2 GI:169790809
Genbank record update date: Sep 23, 2012 02:06 PM

Polypeptide

15 Genbank accession no. NP_001194
Genbank version no. NP_001194.1 GI:4502431
Genbank record update date: Sep 23, 2012 02:06 PM

Cross-references

20 ten Dijke,P., et al *Science* 264 (5155): 101-104 (1994), *Oncogene* 14 10 (11):1377-1382 (1997); WO2004/063362 (Claim 2); WO2003/042661 (Claim 12); US2003/134790-A1 (Page 38-39); WO2002/102235 (Claim 13; Page 296); WO2003/055443 (Page 91-92); WO2002/99122 (Example 2; Page 528-530); WO2003/029421 (Claim 6); WO2003/024392 (Claim 2; Fig 112); WO2002/98358 (Claim 1; Page 183); WO2002/54940 (Page 100-101); WO2002/59377 (Page 349-350); WO2002/30268 (Claim 27; Page 376); 15 WO2001/48204 (Example; Fig 4); NP_001194 bone morphogenetic protein receptor, type IB /pid=NP_001194.1.; MIM:603248; AY065994

(2) E16 (LAT1, SLC7A5)

30 **Nucleotide**
Genbank accession no. NM_003486
Genbank version no. NM_003486.5 GI:71979931
Genbank record update date: Jun 27, 2012 12:06 PM

35 **Polypeptide**
Genbank accession no. NP_003477

Genbank version no. NP_003477.4 GI:71979932

Genbank record update date: Jun 27, 2012 12:06 PM

Cross references

5 *Biochem. Biophys. Res. Commun.* 255 (2), 283-288 (1999), *Nature* 395 (6699):288-291 (1998), Gaugitsch, H.W., et al (1992) *J. Biol. Chem.* 267 (16):11267-11273); WO2004/048938 (Example 2); WO2004/032842 (Example IV); WO2003/042661 (Claim 12); WO2003/016475 (Claim 1); WO2002/78524 (Example 2); WO2002/99074 (Claim 19; Page 127-129); WO2002/86443 (Claim 27; Pages 222, 393); WO2003/003906 (Claim 10; Page 293); WO2002/64798 (Claim 33; Page 93-95); WO2000/14228 (Claim 5; Page 133-136); US2003/224454 (Fig 3); 10 WO2003/025138 (Claim 12; Page 150); NP_003477 solute carrier family 7 (cationic amino acid transporter, y⁺system), member 5 /pid=NP_003477.3 - Homo sapiens; 15 MIM:600182;; NM_015923.

(3) STEAP1 (six transmembrane epithelial antigen of prostate)

Nucleotide

Genbank accession no. NM_012449
20 Genbank version no. NM_012449.2 GI:22027487
Genbank record update date: Sep 9, 2012 02:57 PM

Polypeptide

Genbank accession no. NP_036581
25 Genbank version no. NP_036581.1 GI:9558759
Genbank record update date: Sep 9, 2012 02:57 PM

Cross references

Cancer Res. 61 (15), 5857-5860 (2001), Hubert, R.S., et al (1999) *Proc. Natl. Acad. Sci. U.S.A.* 96 (25):14523-14528); WO2004/065577 (Claim 6); WO2004/027049 (Fig 1L); EP1394274 (Example 11); WO2004/016225 (Claim 2); WO2003/042661 (Claim 12); US2003/157089 (Example 5); US2003/185830 (Example 5); US2003/064397 (Fig 2); WO2002/89747 (Example 5; Page 618-619); WO2003/022995 (Example 9; Fig 13A, 30 Example 53; Page 173, Example 2; Fig 2A); six transmembrane epithelial 35 antigen of the prostate; MIM:604415.

(4) 0772P (CA125, MUC16)

Nucleotide

Genbank accession no. AF361486

Genbank version no. AF361486.3 GI:34501466

5 Genbank record update date: Mar 11, 2010 07:56 AM

Polypeptide

Genbank accession no. AAK74120

Genbank version no. AAK74120.3 GI:34501467

10 Genbank record update date: Mar 11, 2010 07:56 AM

Cross references

J. Biol. Chem. 276 (29):27371-27375 (2001)); WO2004/045553 (Claim 14); WO2002/92836 (Claim 6; Fig 12); WO2002/83866 (Claim 15; Page 116-121); US2003/124140 (Example 16);
15 GI:34501467;

(5) MPF (MPF, MSLN, SMR, megakaryocyte potentiating factor, mesothelin)

Nucleotide

Genbank accession no. NM_005823

20 Genbank version no. NM_005823.5 GI:293651528

Genbank record update date: Sep 2, 2012 01:47 PM

Polypeptide

Genbank accession no. NP_005814

25 Genbank version no. NP_005814.2 GI:53988378

Genbank record update date: Sep 2, 2012 01:47 PM

Cross references

Yamaguchi, N., et al *Biol. Chem.* 269 (2), 805-808 (1994), *Proc. Natl. Acad. Sci. U.S.A.* 96 (20):11531-11536 (1999), *Proc. Natl. Acad. Sci. U.S.A.* 93 10 (1):136-140 (1996), *J. Biol. Chem.* 270 (37):21984-21990 (1995)); WO2003/101283 (Claim 14); (WO2002/102235 (Claim 13; Page 287-288); WO2002/101075 (Claim 4; Page 308- 309); WO2002/71928 (Page 320-321); WO94/10312 (Page 52-57); IM:601051.

35 **(6) Napi3b (NAPI-3B, NPTIIb, SLC34A2, solute carrier family 34 (sodium phosphate), member 2, type II sodium-dependent phosphate transporter 3b)**

Nucleotide

Genbank accession no. NM_006424

Genbank version no. NM_006424.2 GI:110611905

Genbank record update date: Jul 22, 2012 03:39 PM

5

Polypeptide

Genbank accession no. NP_006415

Genbank version no. NP_006415.2 GI:110611906

Genbank record update date: Jul 22, 2012 03:39 PM

10

Cross references

J. Biol. Chem. 277 (22):19665-19672 (2002), Genomics 62 (2):281-284 (1999), Feild, J.A., et al (1999) Biochem. Biophys. Res. Commun. 258 (3):578-582; WO2004/022778 (Claim 2); EP1394274 (Example 11); WO2002/102235 (Claim 13; Page 20 326); EP0875569 (Claim 1; Page 17-19); WO2001/57188 (Claim 20; Page 329); WO2004/032842 (Example IV); WO2001/75177 (Claim 24; Page 139-140); MIM:604217.

(7) Sema 5b (FLJ10372, KIAA1445, Mm.42015, SEMA5B, SEMAG, Semaphorin 5b Hlog, 25 sema domain, seven thrombospondin repeats (type 1 and type 1-like), transmembrane domain (TM) and short cytoplasmic domain, (semaphorin) 5B)

20

Nucleotide

Genbank accession no. AB040878

Genbank version no. AB040878.1 GI:7959148

Genbank record update date: Aug 2, 2006 05:40 PM

25

Polypeptide

Genbank accession no. BAA95969

Genbank version no. BAA95969.1 GI:7959149

Genbank record update date: Aug 2, 2006 05:40 PM

30

Cross references

Nagase T., et al (2000) DNA Res. 7 (2):143-150; WO2004/000997 (Claim 1); WO2003/003984 (Claim 1); WO2002/06339 (Claim 1; Page 50); WO2001/88133 (Claim 1; Page 41-43, 48-58); WO2003/054152 (Claim 20); WO2003/101400 (Claim 11); Accession: 35 30 Q9P283; Genew; HGNC:10737

(8) PSCA hlg (2700050C12Rik, C530008O16Rik, RIKEN cDNA 2700050C12, RIKEN cDNA 2700050C12 gene)

Nucleotide

Genbank accession no. AY358628

5 Genbank version no. AY358628.1 GI:37182377

Genbank record update date: Dec 1, 2009 04:15 AM

Polypeptide

Genbank accession no. AAQ88991

10 Genbank version no. AAQ88991.1 GI:37182378

Genbank record update date: Dec 1, 2009 04:15 AM

Cross references

Ross *et al* (2002) *Cancer Res.* 62:2546-2553; US2003/129192 (Claim 2); US2004/044180

15 (Claim 12); US2004/044179 35 (Claim 11); US2003/096961 (Claim 11); US2003/232056

(Example 5); WO2003/105758 16 (Claim 12); US2003/206918 (Example 5); EP1347046

(Claim 1); WO2003/025148 (Claim 20); GI:37182378.

(9) ETBR (*Endothelin type B receptor*)

20 Nucleotide

Genbank accession no. AY275463

Genbank version no. AY275463.1 GI:30526094

Genbank record update date: Mar 11, 2010 02:26 AM

25 Polypeptide

Genbank accession no. AAP32295

Genbank version no. AAP32295.1 GI:30526095

Genbank record update date: Mar 11, 2010 02:26 AM

30 Cross references

Nakamuta M., *et al* *Biochem. Biophys. Res. Commun.* 177, 34-39, 1991; Ogawa Y., *et al* *Biochem. Biophys. Res. Commun.* 178, 248-255, 1991; Arai H., *et al* *Jpn. Circ. J.* 56, 1303-1307, 1992; Arai H., *et al* *J. Biol. Chem.* 268, 3463-3470, 1993; Sakamoto A., Yanagisawa M., *et al* *Biochem. Biophys. Res. Commun.* 178, 656-663, 1991; Elshourbagy N.A., *et al* *J.*

35 *Biol. Chem.* 268, 3873-3879, 1993; Haendler B., *et al* *J. Cardiovasc. Pharmacol.* 20, s1-S4, 1992; Tsutsumi M., *et al* *Gene* 228, 43-49, 1999; Strausberg R.L., *et al* *Proc. Natl. Acad. Sci.*

U.S.A. 99, 16899-16903, 2002; Bourgeois C., et al *J. Clin. Endocrinol. Metab.* 82, 3116-3123, 1997;

Okamoto Y., et al *Biol. Chem.* 272, 21589-21596, 1997; Verheij J.B., et al *Am. J. Med. Genet.* 108, 223-225, 2002; Hofstra R.M.W., et al *Eur. J. Hum. Genet.* 5, 180-185, 1997;

5 Puffenberger E.G., et al *Cell* 79, 1257-1266, 1994; Attie T., et al *Hum. Mol. Genet.* 4, 2407-15 2409, 1995; Auricchio A., et al *Hum. Mol. Genet.* 5:351-354, 1996; Amiel J., et al *Hum. Mol. Genet.* 5, 355-357, 1996; Hofstra R.M.W., et al *Nat. Genet.* 12, 445-447, 1996; Svensson P.J., et al *Hum. Genet.* 103, 145-148, 1998; Fuchs S., et al *Mol. Med.* 7, 115-124, 2001;

10 Pingault V., et al (2002) *Hum. Genet.* 111, 198-206; WO2004/045516 (Claim 1); WO2004/048938 (Example 2); WO2004/040000 (Claim 151); WO2003/087768 (Claim 1); 20 WO2003/016475 (Claim 1); WO2003/016475 (Claim 1); WO2002/61087 (Fig 1); WO2003/016494 (Fig 6); WO2003/025138 (Claim 12; Page 144); WO2001/98351 (Claim 1; Page 124-125); EP0522868 (Claim 8; Fig 2); WO2001/77172 (Claim 1; Page 297-299); 15 US2003/109676; US6518404 (Fig 3); US5773223 (Claim 1a; Col 31-34); WO2004/001004.

(10) MSG783 (RNF124, hypothetical protein FLJ20315)

Nucleotide

Genbank accession no. NM_017763

20 Genbank version no. NM_017763.4 GI:167830482

Genbank record update date: Jul 22, 2012 12:34 AM

Polypeptide

Genbank accession no. NP_060233

25 Genbank version no. NP_060233.3 GI:56711322

Genbank record update date: Jul 22, 2012 12:34 AM

Cross references

WO2003/104275 (Claim 1); WO2004/046342 (Example 2); WO2003/042661 (Claim 12); 30 WO2003/083074 (Claim 14; Page 61); WO2003/018621 (Claim 1); WO2003/024392 (Claim 2; Fig 93); WO2001/66689 (Example 6); LocusID:54894.

(11) STEAP2 (HGNC_8639, IPCA-1, PCANAP1, STAMP1, STEAP2, STMP, prostate cancer associated gene 1, prostate cancer associated protein 1, six transmembrane epithelial

35 antigen of prostate 2, six transmembrane prostate protein)

Nucleotide

Genbank accession no. AF455138

Genbank version no. AF455138.1 GI:22655487

Genbank record update date: Mar 11, 2010 01:54 AM

5 Polypeptide

Genbank accession no. AAN04080

Genbank version no. AAN04080.1 GI:22655488

Genbank record update date: Mar 11, 2010 01:54 AM

10 Cross references

Lab. Invest. 82 (11):1573-1582 (2002)); WO2003/087306; US2003/064397 (Claim 1; Fig 1); WO2002/72596 (Claim 13; Page 54-55); WO2001/72962 (Claim 1; Fig 4B); 35

WO2003/104270 (Claim 11); WO2003/104270 (Claim 16); US2004/005598 (Claim 22);

WO2003/042661 (Claim 12); US2003/060612 (Claim 12; Fig 10); WO2002/26822 (Claim 23);

15 Fig 2); WO2002/16429 (Claim 12; Fig 10); GI:22655488.

(12) TrpM4 (BR22450, FLJ20041, TRPM4, TRPM4B, transient receptor potential cation

5 channel, subfamily M, member 4)

Nucleotide

20 Genbank accession no. NM_017636

Genbank version no. NM_017636.3 GI:304766649

Genbank record update date: Jun 29, 2012 11:27 AM

Polypeptide

25 Genbank accession no. NP_060106

Genbank version no. NP_060106.2 GI:21314671

Genbank record update date: Jun 29, 2012 11:27 AM

Cross references

30 Xu, X.Z., et al *Proc. Natl. Acad. Sci. U.S.A.* 98 (19):10692-10697 (2001), *Cell* 109 (3):397-407 (2002), *J. Biol. Chem.* 278 (33):30813-30820 (2003)); US2003/143557 (Claim 4); WO2000/40614 (Claim 14; Page 100-103); WO2002/10382 (Claim 1; Fig 9A); WO2003/042661 (Claim 12); WO2002/30268 (Claim 27; Page 391); US2003/219806 (Claim 4); WO2001/62794 (Claim 10 14; Fig 1A-D); MIM:606936.

(13) CRIPTO (CR, CR1, CRGF, CRIPTO, TDGF1, teratocarcinoma-derived growth factor)
Nucleotide

Genbank accession no. NM_003212

Genbank version no. NM_003212.3 GI:292494881

5 Genbank record update date: Sep 23, 2012 02:27 PM

Polypeptide

Genbank accession no. NP_003203

Genbank version no. NP_003203.1 GI:4507425

10 Genbank record update date: Sep 23, 2012 02:27 PM

Cross references

Ciccodicola, A., et al *EMBO J.* 8 (7):1987-1991 (1989), *Am. J. Hum. Genet.* 49 (3):555-565 (1991); US2003/224411 (Claim 1); WO2003/083041 (Example 1); WO2003/034984 (Claim 15 12); WO2002/88170 (Claim 2; Page 52-53); WO2003/024392 (Claim 2; Fig 58); WO2002/16413 (Claim 1; Page 94-95, 105); WO2002/22808 (Claim 2; Fig 1); US5854399 (Example 2; Col 17-18); US5792616 (Fig 2); MIM:187395.

(14) CD21 (CR2 (Complement receptor 2) or C3DR (C3d/Epstein Barr virus receptor) or

20 *Hs.73792*)

Nucleotide

Genbank accession no M26004

Genbank version no. M26004.1 GI:181939

Genbank record update date: Jun 23, 2010 08:47 AM

25

Polypeptide

Genbank accession no. AAA35786

Genbank version no. AAA35786.1 GI:181940

Genbank record update date: Jun 23, 2010 08:47 AM

30

Cross references

Fujisaku et al (1989) *J. Biol. Chem.* 264 (4):2118-2125; Weis J.J., et al *J. Exp. Med.* 167, 1047-1066, 1988; Moore M., et al *Proc. Natl. Acad. Sci. U.S.A.* 84, 9194-9198, 1987; Barel M., et al *Mol. Immunol.* 35, 1025-1031, 1998; Weis J.J., et al *Proc. Natl. Acad. Sci. U.S.A.* 83, 5639-5643, 1986; Sinha S.K., et al (1993) *J. Immunol.* 150, 5311-5320; WO2004/045520 (Example 4); US2004/005538 (Example 1); WO2003/062401 (Claim 9); WO2004/045520

(Example 4); WO91/02536 (Fig 9.1-9.9); WO2004/020595 (Claim 1); Accession: P20023; Q13866; Q14212; EMBL; M26004; AAA35786.1.

(15) CD79b (CD79B, CD79 β , IgB (immunoglobulin-associated beta), B29)

5 **Nucleotide**

Genbank accession no NM_000626

Genbank version no. NM_000626.2 GI:90193589

Genbank record update date: Jun 26, 2012 01:53 PM

10 **Polypeptide**

Genbank accession no. NP_000617

Genbank version no. NP_000617.1 GI:11038674

Genbank record update date: Jun 26, 2012 01:53 PM

15 **Cross references**

Proc. Natl. Acad. Sci. U.S.A. (2003) 100 (7):4126-

4131, Blood (2002) 100 (9):3068-3076, Muller et al (1992) Eur. J. Immunol. 22 (6):1621-1625); WO2004/016225 (claim 2, Fig 140); WO2003/087768, US2004/101874 (claim 1, page 102); WO2003/062401 (claim 9); WO2002/78524 (Example 2); US2002/150573 (claim 20 35 5, page 15); US5644033; WO2003/048202 (claim 1, pages 306 and 309); WO 99/58658, US6534482 (claim 13, Fig 17A/B); WO2000/55351 (claim 11, pages 1145-1146); MIM:147245

(16) FcRH2 (IFGP4, IRTA4, SPAP1A (SH2 domain containing phosphatase anchor protein

25 **5 1a), SPAP1B, SPAP1C)**

Nucleotide

Genbank accession no NM_030764

Genbank version no. NM_030764.3 GI:227430280

Genbank record update date: Jun 30, 2012 12:30 AM

30

Polypeptide

Genbank accession no. NP_110391

Genbank version no. NP_110391.2 GI:19923629

Genbank record update date: Jun 30, 2012 12:30 AM

35

Cross references

AY358130); *Genome Res.* 13 (10):2265-2270 (2003), *Immunogenetics* 54 (2):87-95 (2002), *Blood* 99 (8):2662-2669 (2002), *Proc. Natl. Acad. Sci. U.S.A.* 98 (17):9772-9777 (2001), Xu, M.J., et al (2001) *Biochem. Biophys. Res. Commun.* 280 (3):768-775; WO2004/016225 (Claim 2); WO2003/077836; WO2001/38490 (Claim 5; Fig 18D-1-18D-2); WO2003/097803 (Claim 12);

5 10 WO2003/089624 (Claim 25); MIM:606509.

(17) HER2 (ErbB2)

Nucleotide

10 Genbank accession no M11730
Genbank version no. M11730.1 GI:183986
Genbank record update date: Jun 23, 2010 08:47 AM

Polypeptide

15 Genbank accession no. AAA75493
Genbank version no. AAA75493.1 GI:306840
Genbank record update date: Jun 23, 2010 08:47 AM

Cross references

20 Coussens L., et al *Science* (1985) 230(4730):1132-1139); Yamamoto T., et al *Nature* 319, 230-234, 1986; Semba K., et al *Proc. Natl. Acad. Sci. U.S.A.* 82, 6497-6501, 1985; Swiercz J.M., et al *J. Cell Biol.* 165, 869- 15 880, 2004; Kuhns J.J., et al *J. Biol. Chem.* 274, 36422-36427, 1999; Cho H.-S., et al *Nature* 421, 756-760, 2003; Ehsani A., et al (1993) *Genomics* 15, 426-429; WO2004/048938 (Example 2); WO2004/027049 (Fig 1I); WO2004/009622;

25 WO2003/081210;
WO2003/089904 (Claim 9); WO2003/016475 (Claim 1); US2003/118592; WO2003/008537 (Claim 1); WO2003/055439 (Claim 29; Fig 1A-B); WO2003/025228 (Claim 37; Fig 5C);
20 WO2002/22636 (Example 13; Page 95-107); WO2002/12341 (Claim 68; Fig 7);
WO2002/13847 (Page 71-74); WO2002/14503 (Page 114-117); WO2001/53463 (Claim 2);
30 Page 41-46); WO2001/41787 (Page 15); WO2000/44899 (Claim 52; Fig 7); WO2000/20579 (Claim 3; Fig 2); US5869445 (Claim 3; Col 31-38); WO9630514 (Claim 2; Page 56-61);
EP1439393 (Claim 7); WO2004/043361 (Claim 7); WO2004/022709; WO2001/00244
25 (Example 3; Fig 4); Accession: P04626; EMBL; M11767; AAA35808.1. EMBL; M11761;
AAA35808.1

35

ANTIBODIES

Abbott: US20110177095

For example, an antibody comprising CDRs having overall at least 80% sequence identity to CDRs having amino acid sequences of SEQ ID NO:3 (CDR-H1), SEQ ID NO:4 (CDR-H2), SEQ ID NO:5 (CDR-H3), SEQ ID NO:104 and/or SEQ ID NO:6 (CDR-L1), SEQ ID NO:7 (CDR-L2), and SEQ ID NO:8 (CDR-L3), wherein the anti-HER2 antibody or anti-HER2 binding fragment has reduced immunogenicity as compared to an antibody having a VH of SEQ ID NO:1 and a VL of SEQ ID NO:2.

5

Biogen: US20100119511

10 For example, ATCC accession numbers: PTA-10355, PTA-10356, PTA-10357, PTA-10358
For example, a purified antibody molecule that binds to HER2 comprising all six CDR's from an antibody selected from the group consisting of BIIB71F10 (SEQ ID NOs:11, 13), BIIB69A09 (SEQ ID NOs:15, 17); BIIB67F10 (SEQ ID NOs:19, 21);
15 BIIB67F11 (SEQ ID NOs:23, 25), BIIB66A12 (SEQ ID NOs:27, 29), BIIB66C01 (SEQ ID NOs:31, 33), BIIB65C10 (SEQ ID NOs:35, 37), BIIB65H09 (SEQ ID NOs:39, 41) and BIIB65B03 (SEQ ID NOs:43, 45), or CDRs which are identical or which have no more than two alterations from said CDRs.

20 Herceptin (Genentech) - US6,054,297; ATCC accession no. CRL-10463 (Genentech)

Pertuzumab (Genentech)

US20110117097

25 for example, see SEQ IDs No. 15&16, SEQ IDs No. 17&18, SEQ IDs No. 23&24 & ATCC accession numbers HB-12215, HB-12216, CRL 10463, HB-12697.

US20090285837

US20090202546

30 for example, ATCC accession numbers: HB-12215, HB-12216, CRL 10463, HB-12698.

US20060088523

- for example, ATCC accession numbers: HB-12215, HB-12216
- for example, an antibody comprising the variable light and variable heavy amino acid sequences in SEQ ID Nos. 3 and 4, respectively.

- for example, an antibody comprising a light chain amino acid sequence selected from SEQ ID No. 15 and 23, and a heavy chain amino acid sequence selected from SEQ ID No. 16 and 24

US20060018899

5

- for example, ATCC accession numbers: (7C2) HB-12215, (7F3) HB-12216, (4D5) CRL-10463, (2C4) HB-12697.
- for example, an antibody comprising the amino acid sequence in SEQ ID No. 23, or a deamidated and/or oxidized variant thereof.

10 US2011/0159014

- for example, an antibody having a light chain variable domain comprising the hypervariable regions of SEQ ID NO: 1".
- For example, an antibody having a heavy chain variable domain comprising the hypervariable regions of SEQ ID NO: 2.

15

US20090187007

Glycotope: TrasGEX antibody <http://www.glycotope.com/pipeline>

For example, see International Joint Cancer Institute and Shanghai

20 Hospital Cancer Cent: HMTI-Fc Ab - Gao J., et al *BMB Rep.* 2009 Oct 31;42(10):636-41.

Symphegen: US20110217305

25 Union Stem Cell & Gene Engineering, China - Liu HQ., et al *Xi Bao Yu Fen Zi Mian Yi Xue Za Zhi.* 2010 May;26(5):456-8.

(18) NCA (CEACAM6)

Nucleotide

30 Genbank accession no M18728

Genbank version no. M18728.1 GI:189084

Genbank record update date: Jun 23, 2010 08:48 AM

Polypeptide

35 Genbank accession no. AAA59907

Genbank version no. AAA59907.1 GI:189085

Genbank record update date: Jun 23, 2010 08:48 AM

Cross references

Barnett T., *et al* *Genomics* 3, 59-66, 1988; Tawaragi Y., *et al* *Biochem. Biophys. Res. Commun.* 150, 89-96, 1988; Strausberg R.L., *et al* *Proc. Natl. Acad. Sci. U.S.A.* 99:16899-

5 16903, 2002; WO2004/063709; EP1439393 (Claim 7); WO2004/044178 (Example 4);
WO2004/031238; WO2003/042661 (Claim 12); WO2002/78524 (Example 2);
WO2002/86443 (Claim 27; Page 427); WO2002/60317 (Claim 2); Accession: P40199;
Q14920; EMBL; M29541; AAA59915.1.

10 EMBL; M18728.

(19) MDP (DPEP1)

Nucleotide

Genbank accession no BC017023

15 Genbank version no. BC017023.1 GI:16877538

Genbank record update date: Mar 6, 2012 01:00 PM

Polypeptide

Genbank accession no. AAH17023

20 Genbank version no. AAH17023.1 GI:16877539

Genbank record update date: Mar 6, 2012 01:00 PM

Cross references

Proc. Natl. Acad. Sci. U.S.A. 99 (26):16899-16903 (2002)); WO2003/016475 (Claim 1);

25 WO2002/64798 (Claim 33; Page 85- 87); JP05003790 (Fig 6-8); WO99/46284 (Fig 9);
MIM:179780.

(20) IL20R-alpha (IL20Ra, ZCYTOR7)

Nucleotide

30 Genbank accession no AF184971

Genbank version no. AF184971.1 GI:6013324

Genbank record update date: Mar 10, 2010 10:00 PM

Polypeptide

35 Genbank accession no. AAF01320

Genbank version no. AAF01320.1 GI:6013325

Genbank record update date: Mar 10, 2010 10:00 PM

Cross references

Clark H.F., *et al* *Genome Res.* 13, 2265-2270, 2003; Mungall A.J., *et al* *Nature* 425, 805-811, 2003; Blumberg H., *et al* *Cell* 104, 9-19, 2001; Dumoutier L., *et al* *J. Immunol.* 167, 3545-3549, 2001; Parrish-Novak J., *et al* *J. Biol. Chem.* 277, 47517-47523, 2002; Pletnev S., *et al* (2003) 10 *Biochemistry* 42:12617-12624; Sheikh F., *et al* (2004) *J. Immunol.* 172, 2006-2010; EP1394274 (Example 11); US2004/005320 (Example 5); WO2003/029262 (Page 74-75); 10 WO2003/002717 (Claim 2; Page 63); WO2002/22153 (Page 45-47); US2002/042366 (Page 20-21); WO2001/46261 (Page 57-59); WO2001/46232 (Page 63-65); WO98/37193 (Claim 1; Page 55-59); Accession: Q9UHF4; Q6UWA9; Q96SH8; EMBL; AF184971; AAF01320.1.

(21) Brevican (BCAN, BEHAB)

15 Nucleotide

Genbank accession no AF229053

Genbank version no. AF229053.1 GI:10798902

Genbank record update date: Mar 11, 2010 12:58 AM

20 Polypeptide

Genbank accession no. AAG23135

Genbank version no. AAG23135.1 GI:10798903

Genbank record update date: Mar 11, 2010 12:58 AM

25 Cross references

Gary S.C., *et al* *Gene* 256, 139-147, 2000; Clark H.F., *et al* *Genome Res.* 13, 2265-2270, 2003; Strausberg R.L., *et al* *Proc. Natl. Acad. Sci. U.S.A.* 99, 16899-16903, 2002; US2003/186372 (Claim 11); US2003/186373 (Claim 11); US2003/119131 (Claim 1; Fig 52); US2003/119122 (Claim 1; 20 Fig 52); US2003/119126 (Claim 1); US2003/119121 (Claim 1; 30 Fig 52); US2003/119129 (Claim 1); US2003/119130 (Claim 1); US2003/119128 (Claim 1; Fig 52); US2003/119125 (Claim 1); WO2003/016475 (Claim 1); WO2002/02634 (Claim 1)

(22) EphB2R (DRT, ERK, Hek5, EPHT3, Tyro5)

35 Nucleotide

Genbank accession no NM_004442

Genbank version no. NM_004442.6 GI:111118979

Genbank record update date: Sep 8, 2012 04:43 PM

Polypeptide

5 Genbank accession no. NP_004433

Genbank version no. NP_004433.2 GI:21396504

Genbank record update date: Sep 8, 2012 04:43 PM

Cross references

10 Chan,J. and Watt, V.M., Oncogene 6 (6), 1057-1061 (1991) Oncogene 10 (5):897-905
(1995), Annu. Rev. Neurosci. 21:309-345 (1998), Int. Rev. Cytol. 196:177-244 (2000));
WO2003042661 (Claim 12); WO200053216 (Claim 1; Page 41); WO2004065576 (Claim 1);
WO2004020583 (Claim 9); WO2003004529 (Page 128-132); WO200053216 (Claim 1; Page
42); MIM:600997.

15

(23) ASLG659 (B7h)

Nucleotide

Genbank accession no. AX092328

Genbank version no. AX092328.1 GI:13444478

20 Genbank record update date: Jan 26, 2011 07:37 AM

Cross references

US2004/0101899 (Claim 2); WO2003104399 (Claim 11); WO2004000221 (Fig 3);

US2003/165504 (Claim 1); US2003/124140 (Example 2); US2003/065143 (Fig 60);

25 WO2002/102235 (Claim 13; Page 299); US2003/091580 (Example 2); WO2002/10187
(Claim 6; Fig 10); WO2001/94641 (Claim 12; Fig 7b); WO2002/02624 (Claim 13; Fig 1A-1B);
US2002/034749 (Claim 54; Page 45-46); WO2002/06317 (Example 2; Page 320-321, Claim
34; Page 321-322); WO2002/71928 (Page 468-469); WO2002/02587 (Example 1; Fig 1);
WO2001/40269 (Example 3; Pages 190-192); WO2000/36107 (Example 2; Page 205-207);

30 WO2004/053079 (Claim 12); WO2003/004989 (Claim 1); WO2002/71928 (Page 233-234,
452-453); WO 01/16318.

(24) PSCA (Prostate stem cell antigen precursor)

Nucleotide

35 Genbank accession no AJ297436

Genbank version no. AJ297436.1 GI:9367211

Genbank record update date: Feb 1, 2011 11:25 AM

Polypeptide

Genbank accession no. CAB97347

5 Genbank version no. CAB97347.1 GI:9367212

Genbank record update date: Feb 1, 2011 11:25 AM

Cross references

Reiter R.E., *et al* *Proc. Natl. Acad. Sci. U.S.A.* 95, 1735-1740, 1998; Gu Z., *et al* *Oncogene*

10 19,

1288-1296, 2000; *Biochem. Biophys. Res. Commun.* (2000) 275(3):783-788;

WO2004/022709; EP1394274 (Example 11); US2004/018553 (Claim 17); WO2003/008537

(Claim 1); WO2002/81646 (Claim 1; Page 164); WO2003/003906 (Claim 10; Page 288);

WO2001/40309 (Example 1; Fig 17); US2001/055751 (Example 1; Fig 1b); WO2000/32752

15 (Claim 18; Fig 1); WO98/51805 (Claim 17; Page 97); WO98/51824 (Claim 10; Page 94);

WO98/40403 (Claim 2; Fig 1B); Accession: O43653; EMBL; AF043498; AAC39607.1

(25) GEDA

Nucleotide

20 Genbank accession no AY260763

Genbank version no. AY260763.1 GI:30102448

Genbank record update date: Mar 11, 2010 02:24 AM

Polypeptide

25 Genbank accession no. AAP14954

Genbank version no. AAP14954.1 GI:30102449

Genbank record update date: Mar 11, 2010 02:24 AM

Cross references

30 AP14954 lipoma HMGIC fusion-partnerlike protein /pid=AAP14954.1 - Homo sapiens

(human); WO2003/054152 (Claim 20); WO2003/000842 (Claim 1); WO2003/023013

(Example 3, Claim 20); US2003/194704 (Claim 45); GI:30102449;

(26) BAFF-R (B cell -activating factor receptor, BLyS receptor 3, BR3)

Nucleotide

Genbank accession no AF116456

Genbank version no. AF116456.1 GI:4585274

Genbank record update date: Mar 10, 2010 09:44 PM

Polypeptide

5 Genbank accession no. AAD25356

Genbank version no. AAD25356.1 GI:4585275

Genbank record update date: Mar 10, 2010 09:44 PM

Cross references

10 BAFF receptor /pid=NP_443177.1 - Homo sapiens: Thompson, J.S., *et al* *Science* 293 (5537), 2108-2111 (2001); WO2004/058309; WO2004/011611; WO2003/045422 (Example; Page 32-33); WO2003/014294 (Claim 35; Fig 6B); WO2003/035846 (Claim 70; Page 615-616); WO2002/94852 (Col 136-137); WO2002/38766 25 (Claim 3; Page 133); WO2002/24909 (Example 3; Fig 3); MIM:606269; NP_443177.1; NM_052945_1; AF132600

15

(27) CD22 (B-cell receptor CD22-B isoform, BL-CAM, Lyb-8, Lyb8, SIGLEC-2, FLJ22814)

Nucleotide

Genbank accession no AK026467

Genbank version no. AK026467.1 GI:10439337

20 Genbank record update date: Sep 11, 2006 11:24 PM

Polypeptide

Genbank accession no. BAB15489

Genbank version no. BAB15489.1 GI:10439338

25 Genbank record update date: Sep 11, 2006 11:24 PM

Cross references

Wilson *et al* (1991) *J. Exp. Med.* 173:137-146; 30 WO2003/072036 (Claim 1; Fig 1); IM:107266; NP_001762.1; NM_001771_1.

30 **(27a) CD22 (CD22 molecule)**

Nucleotide

Genbank accession no X52785

Genbank version no. X52785.1 GI:29778

Genbank record update date: Feb 2, 2011 10:09 AM

35

Polypeptide

Genbank accession no. CAA36988

Genbank version no. CAA36988.1 GI:29779

Genbank record update date: Feb 2, 2011 10:09 AM

5 Cross references

Stamenkovic I. et al., *Nature* 345 (6270), 74-77 (1990)

Other information

Official Symbol: CD22

10 Other Aliases: SIGLEC-2, SIGLEC2

Other Designations: B-cell receptor CD22; B-lymphocyte cell adhesion molecule; BL-CAM; CD22 antigen; T-cell surface antigen Leu-14; sialic acid binding Ig-like lectin 2; sialic acid-binding Ig-like lectin 2

15 **ANTIBODIES**

G5/44 (Inotuzumab): DiJoseph JF., et al *Cancer Immunol Immunother.* 2005 Jan;54(1):11-24.

Epratuzumab- Goldenberg DM., et al *Expert Rev Anticancer Ther.* 6(10): 1341-53, 2006.

20

(28) CD79a (CD79A, CD79alpha), immunoglobulin-associated alpha, a B cell-specific protein that covalently interacts with Ig beta (CD79B) and forms a complex on the surface with Ig M

25 35 molecules, transduces a signal involved in B-cell differentiation), pI: 4.84, MW: 25028 TM: 2

[P] Gene Chromosome: 19q13.2).

Nucleotide

Genbank accession no NM_001783

30 Genbank version no. NM_001783.3 GI:90193587

Genbank record update date: Jun 26, 2012 01:48 PM

Polypeptide

Genbank accession no. NP_001774

35 Genbank version no. NP_001774.1 GI:4502685

Genbank record update date: Jun 26, 2012 01:48 PM

Cross references

WO2003/088808, US2003/0228319; WO2003/062401 (claim 9); US2002/150573 (claim 4, pages 13-14); WO99/58658 (claim 13, Fig 16); WO92/07574 (Fig 1); US5644033; Ha *et al* (1992) *J. Immunol.* 148(5):1526-1531; Müller *et al* (1992) *Eur. J. Immunol.* 22:1621-1625; Hashimoto *et al* (1994) *Immunogenetics* 40(4):287-295; Preud'homme *et al* (1992) *Clin. Exp. Immunol.* 90(1):141-146; Yu *et al* (1992) *J. Immunol.* 148(2) 633-637; Sakaguchi *et al* (1988)

EMBO J. 7(11):3457-3464

10

(29) CXCR5 (Burkitt's lymphoma receptor 1, a G protein-coupled receptor that is activated by the CXCL13 chemokine, functions in lymphocyte migration and humoral defense, plays a role in HIV-2 infection and perhaps development of AIDS, lymphoma, myeloma, and leukemia); 372 aa, pl: 8.54 MW: 41959 TM: 7 [P] Gene Chromosome: 11q23.3,

15 Nucleotide

Genbank accession no NM_001716

Genbank version no. NM_001716.4 GI:342307092

Genbank record update date: Sep 30, 2012 01:49 PM

20 Polypeptide

Genbank accession no. NP_001707

Genbank version no. NP_001707.1 GI:4502415

Genbank record update date: Sep 30, 2012 01:49 PM

25 Cross references

WO2004/040000; WO2004/015426; US2003/105292 (Example 2); US6555339 (Example 2); WO2002/61087 (Fig 1); WO2001/57188 (Claim 20, page 269); WO2001/72830 (pages 12-13); WO2000/22129 (Example 1, pages 152-153, 15 Example 2, pages 254-256); WO99/28468 (claim 1, page 38); US5440021 (Example 2, col 49-52); WO94/28931 (pages 56-58); WO92/17497 (claim 7, Fig 5); Dobner *et al* (1992) *Eur. J. Immunol.* 22:2795-2799; Barella *et al* (1995) *Biochem. J.* 309:773-779

(30) HLA-DOB (Beta subunit of MHC class II molecule (Ia antigen) that binds peptides and presents them to CD4+ T lymphocytes); 273 aa, pl: 6.56, MW: 30820. TM: 1 [P] Gene Chromosome: 6p21.3)

Nucleotide

Genbank accession no NM_002120

Genbank version no. NM_002120.3 GI:118402587

Genbank record update date: Sep 8, 2012 04:46 PM

5 Polypeptide

Genbank accession no. NP_002111

Genbank version no. NP_002111.1 GI:4504403

Genbank record update date: Sep 8, 2012 04:46 PM

10 Cross references

Tonnelle *et al* (1985) *EMBO J.* 4(11):2839-2847; Jonsson *et al* (1989) *Immunogenetics* 29(6):411-413; Beck *et al* (1992) *J. Mol. Biol.* 228:433-441; Strausberg *et al* (2002) *Proc. Natl. Acad. Sci USA* 99:16899- 16903; Servenius *et al* (1987) *J. Biol. Chem.* 262:8759-8766; Beck *et al* (1996) *J. Mol. Biol.* 25 255:1-13; Naruse *et al* (2002) *Tissue Antigens* 59:512-519; 15 WO99/58658 (claim 13, Fig 15); US6153408 (Col 35-38); US5976551 (col 168-170); US6011146 (col 145-146); Kasahara *et al* (1989) *Immunogenetics* 30(1):66-68; Larhammar *et al* (1985) *J. Biol. Chem.* 260(26):14111-14119

(31) *P2X5 (Purinergic receptor P2X ligand-gated ion channel 5, an ion channel gated by extracellular ATP, may be involved in synaptic transmission and neurogenesis, deficiency may contribute to the pathophysiology of idiopathic detrusor instability); 422 aa, pl: 7.63, MW: 47206 TM: 1 [P] Gene Chromosome: 17p13.3).*

Nucleotide

Genbank accession no NM_002561

25 Genbank version no. NM_002561.3 GI:325197202

Genbank record update date: Jun 27, 2012 12:41 AM

Polypeptide

Genbank accession no. NP_002552

30 Genbank version no. NP_002552.2 GI:28416933

Genbank record update date: Jun 27, 2012 12:41 AM

Cross references

Le *et al* (1997) *FEBS Lett.* 418(1-2):195-199; WO2004/047749; WO2003/072035 (claim 10); 35 Touchman *et al* (2000) *Genome Res.* 10:165-173; WO2002/22660 (claim 20); WO2003/093444 (claim 1); WO2003/087768 (claim 1); WO2003/029277 (page 82)

(32) CD72 (B-cell differentiation antigen CD72, Lyb-2); 359 aa, pl: 8.66, MW: 40225, TM: 1 5 [P] Gene Chromosome: 9p13.3).

Nucleotide

5 Genbank accession no NM_001782
Genbank version no. NM_001782.2 GI:194018444
Genbank record update date: Jun 26, 2012 01:43 PM

Polypeptide

10 Genbank accession no. NP_001773
Genbank version no. NP_001773.1 GI:4502683
Genbank record update date: Jun 26, 2012 01:43 PM

Cross references

15 WO2004042346 (claim 65); WO2003/026493 (pages 51-52, 57-58); WO2000/75655 (pages 105-106); Von Hoegen *et al* (1990) *J. Immunol.* 144(12):4870-4877; Strausberg *et al* (2002) *Proc. Natl. Acad. Sci USA* 99:16899-16903.

(33) LY64 (Lymphocyte antigen 64 (RP105), type I membrane protein of the leucine rich 20 repeat (LRR) family, regulates B-cell activation and apoptosis, loss of function is associated with increased disease activity in patients with systemic lupus erythematosus); 661 aa, pl: 6.20, MW: 74147 TM: 1 [P] Gene Chromosome: 5q12).

Nucleotide

25 Genbank accession no NM_005582
Genbank version no. NM_005582.2 GI:167555126
Genbank record update date: Sep 2, 2012 01:50 PM

Polypeptide

30 Genbank accession no. NP_005573
Genbank version no. NP_005573.2 GI:167555127
Genbank record update date: Sep 2, 2012 01:50 PM

Cross references

35 US2002/193567; WO97/07198 (claim 11, pages 39-42); Miura *et al* (1996) 15 *Genomics* 38(3):299-304; Miura *et al* (1998) *Blood* 92:2815-2822; WO2003/083047; WO97/44452 (claim 8, pages 57-61); WO2000/12130 (pages 24-26).

(34) FcRH1 (*Fc receptor-like protein 1, a putative receptor for the immunoglobulin Fc domain that contains C2 type Ig-like and ITAM domains, may have a role in B-lymphocyte 20 differentiation*); 429 aa, pl: 5.28, MW: 46925 TM: 1 [P] Gene Chromosome: 1q21-1q22)

5 Nucleotide

Genbank accession no NM_052938

Genbank version no. NM_052938.4 GI:226958543

Genbank record update date: Sep 2, 2012 01:43 PM

10 Polypeptide

Genbank accession no. NP_443170

Genbank version no. NP_443170.1 GI:16418419

Genbank record update date: Sep 2, 2012 01:43 PM

15 Cross references

WO2003/077836; WO2001/38490 (claim 6, Fig 18E-1-18-E-2); Davis *et al* (2001) *Proc. Natl. Acad. Sci USA* 98(17):9772-9777; WO2003/089624 (claim 8); EP1347046 (claim 1); WO2003/089624 (claim 7).

20 **(35) IRTA2** (*Immunoglobulin superfamily receptor translocation associated 2, a putative immunoreceptor with possible roles in B cell development and lymphomagenesis; deregulation of the gene by translocation occurs in some B cell malignancies*); 977 aa, pl: 6.88, MW: 106468, TM: 1 [P] Gene Chromosome: 1q21)

Nucleotide

25 Genbank accession no AF343662

Genbank version no. AF343662.1 GI:13591709

Genbank record update date: Mar 11, 2010 01:16 AM

Polypeptide

30 Genbank accession no. AAK31325

Genbank version no. AAK31325.1 GI:13591710

Genbank record update date: Mar 11, 2010 01:16 AM

Cross references

35 AF343663, AF343664, AF343665, AF369794, AF397453, AK090423, AK090475, AL834187, AY358085; Mouse:AK089756, AY158090, AY506558; NP_112571.1;

WO2003/024392 (claim 2, Fig 97); Nakayama *et al* (2000) *Biochem. Biophys. Res. Commun.* 277(1):124-127; WO2003/077836; WO2001/38490 (claim 3, Fig 18B-1-18B-2).

(36) *TENB2 (TMEFF2, tomoregulin, TPEF, HPP1, TR, putative transmembrane*

5 *35 proteoglycan, related to the EGF/hereregulin family of growth factors and follistatin); 374 aa)*

Nucleotide

Genbank accession no AF179274

10 Genbank version no. AF179274.2 GI:12280939

Genbank record update date: Mar 11, 2010 01:05 AM

Polypeptide

Genbank accession no. AAD55776

15 Genbank version no. AAD55776.2 GI:12280940

Genbank record update date: Mar 11, 2010 01:05 AM

Cross references

NCBI Accession: AAD55776, AAF91397, AAG49451, NCBI RefSeq: NP_057276; NCBI

20 Gene: 23671; OMIM: 605734; SwissProt Q9UIK5; AY358907, CAF85723, CQ782436; WO2004/074320; JP2004113151; WO2003/042661; WO2003/009814; EP1295944 (pages 69-70); WO2002/30268 (page 329); WO2001/90304; US2004/249130; US2004/022727; WO2004/063355; US2004/197325; US2003/232350; 5 US2004/005563; US2003/124579; Horie *et al* (2000) *Genomics* 67:146-152; Uchida *et al* (1999) *Biochem. Biophys. Res. Commun.* 266:593-602; Liang *et al* (2000) *Cancer Res.* 60:4907-12; Glynne-Jones *et al* (2001) *Int J Cancer.* Oct 15; 94(2):178-84.

(37) *PSMA – FOLH1 (Folate hydrolase (prostate-specific membrane antigen) 1*

30 **Nucleotide**

Genbank accession no M99487

Genbank version no. M99487.1 GI:190663

Genbank record update date: Jun 23, 2010 08:48 AM

35 **Polypeptide**

Genbank accession no. AAA60209

Genbank version no. AAA60209.1 GI:190664

Genbank record update date: Jun 23, 2010 08:48 AM

Cross references

5 Israeli R.S., et al *Cancer Res.* 53 (2), 227-230 (1993)

Other information

Official Symbol: FOLH1

Other Aliases: GIG27, FGCP, FOLH, GCP2, GCPII, NAALAD1, NAALAdase, PSM, PSMA,

10 mGCP

Other Designations: N-acetylated alpha-linked acidic dipeptidase 1; N-acetylated-alpha-linked acidic dipeptidase I; NAALADase I; cell growth-inhibiting gene 27 protein; folylpoly-gamma-glutamate carboxypeptidase; glutamate carboxylase II; glutamate carboxypeptidase 2; glutamate carboxypeptidase II; membrane glutamate carboxypeptidase; prostate specific membrane antigen variant F; pteroylpoly-gamma-glutamate carboxypeptidase

15

ANTIBODIES

US 7,666,425:

Antibodies produced by Hybridomas having the following ATCC references: ATCC accession

20 No. HB-12101, ATCC accession No. HB-12109, ATCC accession No. HB-12127 and ATCC accession No. HB-12126.

25

Proscan: a monoclonal antibody selected from the group consisting of 8H12, 3E11, 17G1, 29B4, 30C1 and 20F2 (US 7,811,564; Moffett S., et al *Hybridoma (Larchmt)*. 2007 Dec;26(6):363-72).

30

Cytogen: monoclonal antibodies 7E11-C5 (ATCC accession No. HB 10494) and 9H10-A4 (ATCC accession No. HB11430) – US 5,763,202

35

GlycoMimetics: NUH2 - ATCC accession No. HB 9762 (US 7,135,301)

Human Genome Science: HPRAJ70 - ATCC accession No. 97131 (US 6,824,993); Amino acid sequence encoded by the cDNA clone (HPRAJ70) deposited as American Type Culture Collection ("ATCC") Deposit No. 97131

35

Medarex: Anti-PSMA antibodies that lack fucosyl residues - US 7,875,278

Mouse anti-PSMA antibodies include the 3F5.4G6, 3D7.1.1, 4E10-1.14, 3E11, 4D8, 3E6, 3C9, 2C7, 1G3, 3C4, 3C6, 4D4, 1G9, 5C8B9, 3G6, 4C8B9, and monoclonal antibodies.

Hybridomas secreting 3F5.4G6, 3D7.1.1, 4E10-1.14, 3E11, 4D8, 3E6, 3C9, 2C7, 1G3, 3C4,

5 3C6, 4D4, 1G9, 5C8B9, 3G6 or 4C8B9 have been publicly deposited and are described in U.S. Pat. No. 6,159,508. Relevant hybridomas have been publicly deposited and are described in U.S. Pat. No. 6,107,090. Moreover, humanized anti-PSMA antibodies, including a humanized version of J591, are described in further detail in PCT Publication WO 02/098897.

10

Other mouse anti-human PSMA antibodies have been described in the art, such as mAb 107-1A4 (Wang, S. et al. (2001) *Int. J. Cancer* 92:871-876) and mAb 2C9 (Kato, K. et al. (2003) *Int. J. Urol.* 10:439-444).

15 Examples of human anti-PSMA monoclonal antibodies include the 4A3, 7F12, 8C12, 8A11, 16F9, 2A10, 2C6, 2F5 and 1C3 antibodies, isolated and structurally characterized as originally described in PCT Publications WO 01/09192 and WO 03/064606 and in U.S. Provisional Application Ser. No. 60/654,125, entitled "Human Monoclonal Antibodies to Prostate Specific Membrane Antigen (PSMA)", filed on Feb. 18, 2005. The V.sub.H amino 20 acid sequences of 4A3, 7F12, 8C12, 8A11, 16F9, 2A10, 2C6, 2F5 and 1C3 are shown in SEQ ID NOs: 1-9, respectively. The V.sub.L amino acid sequences of 4A3, 7F12, 8C12, 8A11, 16F9, 2A10, 2C6, 2F5 and 1C3 are shown in SEQ ID NOs: 10-18, respectively.

25 Other human anti-PSMA antibodies include the antibodies disclosed in PCT Publication WO 03/034903 and US Application No. 2004/0033229.

NW Biotherapeutics: A hybridoma cell line selected from the group consisting of 3F5.4G6 having ATCC accession number HB12060, 3D7-1.I. having ATCC accession number HB12309, 4E10-1.14 having ATCC accession number HB12310, 3E11 (ATCC HB12488), 30 4D8 (ATCC HB12487), 3E6 (ATCC HB12486), 3C9 (ATCC HB12484), 2C7 (ATCC HB12490), 1G3 (ATCC HB12489), 3C4 (ATCC HB12494), 3C6 (ATCC HB12491), 4D4 (ATCC HB12493), 1G9 (ATCC HB12495), 5C8B9 (ATCC HB12492) and 3G6 (ATCC HB12485) – see US 6,150,508

PSMA Development Company / Progenics / Cytogen – Seattle Genetics: mAb 3.9, produced by the hybridoma deposited under ATCC Accession No. PTA-3258 or mAb 10.3, produced by the hybridoma deposited under ATCC Accession No. PTA-3347 - US 7,850,971

5 PSMA Development Company– Compositions of PSMA antibodies (US 20080286284, Table 1)

This application is a divisional of U.S. patent application Ser. No. 10/395,894, filed on Mar. 21, 2003 (US 7,850,971)

10 University Hospital Freiburg, Germany - mAbs 3/A12, 3/E7, and 3/F11 (Wolf P., et al *Prostate*. 2010 Apr 1;70(5):562-9).

(38) SST (Somatostatin Receptor; note that there are 5 subtypes)

(38.1) SSTR2 (Somatostatin receptor 2)

15 Nucleotide

Genbank accession no NM_001050

Genbank version no. NM_001050.2 GI:44890054

Genbank record update date: Aug 19, 2012 01:37 PM

20 Polypeptide

Genbank accession no. NP_001041

Genbank version no. NP_001041.1 GI:4557859

Genbank record update date: Aug 19, 2012 01:37 PM

25 Cross references

Yamada Y., et al Proc. Natl. Acad. Sci. U.S.A. 89 (1), 251-255 (1992); Susini C., et al Ann Oncol. 2006 Dec;17(12):1733-42

Other information

30 Official Symbol: SSTR2

Other Designations: SRIF-1; SS2R; somatostatin receptor type 2

(38.2) SSTR5 (Somatostatin receptor 5)

Nucleotide

35 Genbank accession no D16827

Genbank version no. D16827.1 GI:487683

Genbank record update date: Aug 1, 2006 12:45 PM

Polypeptide

Genbank accession no. BAA04107

5 Genbank version no. BAA04107.1 GI:487684

Genbank record update date: Aug 1, 2006 12:45 PM

Cross references

Yamada, Y., et al *Biochem. Biophys. Res. Commun.* 195 (2), 844-852 (1993)

10

Other information

Official Symbol: SSTR5

Other Aliases: SS-5-R

Other Designations: Somatostatin receptor subtype 5; somatostatin receptor type 5

15

(38.3) SSTR1

(38.4)SSTR3

(38.5) SSTR4

20

AvB6 – Both subunits (39+40)

(39) ITGAV (Integrin, alpha V;

Nucleotide

Genbank accession no M14648 J02826 M18365

25 Genbank version no. M14648.1 GI:340306

Genbank record update date: Jun 23, 2010 08:56 AM

Polypeptide

Genbank accession no. AAA36808

30 Genbank version no. AAA36808.1 GI:340307

Genbank record update date: Jun 23, 2010 08:56 AM

Cross references

Suzuki S., et al *Proc. Natl. Acad. Sci. U.S.A.* 83 (22), 8614-8618 (1986)

35

Other information

Official Symbol: ITGAV

Other Aliases: CD51, MSK8, VNRA, VTNR

Other Designations: antigen identified by monoclonal antibody L230; integrin alpha-V; integrin alphaVbeta3; integrin, alpha V (vitronectin receptor, alpha polypeptide, antigen

5 CD51); vitronectin receptor subunit alpha

(40) ITGB6 (Integrin, beta 6)

Nucleotide

10 Genbank accession no NM_000888
Genbank version no. NM_000888.3 GI:9966771
Genbank record update date: Jun 27, 2012 12:46 AM

Polypeptide

15 Genbank accession no. NP_000879
Genbank version no. NP_000879.2 GI:9625002
Genbank record update date: Jun 27, 2012 12:46 AM

Cross references

20 Sheppard D.J., et al *Biol. Chem.* 265 (20), 11502-11507 (1990)

Other information

Official Symbol: ITGB6

Other Designations: integrin beta-6

25

ANTIBODIES

Biogen: US 7,943,742 - Hybridoma clones 6.3G9 and 6.8G6 were deposited with the ATCC, accession numbers ATCC PTA-3649 and -3645, respectively.

30 Biogen: US7,465,449 - In some embodiments, the antibody comprises the same heavy and light chain polypeptide sequences as an antibody produced by hybridoma 6.1A8, 6.3G9, 6.8G6, 6.2B1, 6.2B10, 6.2A1, 6.2E5, 7.1G10, 7.7G5, or 7.1C5.

Centocor (J&J): US7,550,142; US7,163,681

For example in US 7,550,142 - an antibody having human heavy chain and human light chain variable regions comprising the amino acid sequences shown in SEQ ID NO: 7 and SEQ ID NO: 8.

5 Seattle Genetics: 15H3 (Ryan MC., et al *Cancer Res* April 15, 2012; 72(8 Supplement): 4630)

(41) CEACAM5 (Carcinoembryonic antigen-related cell adhesion molecule 5)

Nucleotide

10 Genbank accession no M17303
Genbank version no. M17303.1 GI:178676
Genbank record update date: Jun 23, 2010 08:47 AM

Polypeptide

15 Genbank accession no. AAB59513
Genbank version no. AAB59513.1 GI:178677
Genbank record update date: Jun 23, 2010 08:47 AM

Cross references

20 Beauchemin N., et al *Mol. Cell. Biol.* 7 (9), 3221-3230 (1987)

Other information

Official Symbol: CEACAM5
Other Aliases: CD66e, CEA
25 Other Designations: meconium antigen 100

ANTIBODIES

AstraZeneca-MedImmune:US 20100330103; US20080057063;
US20020142359

30 - for example an antibody having complementarity determining regions (CDRs) with the following sequences: heavy chain; CDR1 - DNYMH, CDR2 - WIDPENGDTE YAPKFRG, CDR3 - LIYAGYLAAMD Y; and light chain CDR1 - SASSSVTYMH, CDR2 - STSNLAS, CDR3 - QQRSTYPLT.
- Hybridoma 806.077 deposited as European Collection of Cell Cultures (ECACC) deposit no. 96022936.

35

Research Corporation Technologies, Inc.:US5,047,507

Bayer Corporation: US6,013,772

5 BioAlliance: US7,982,017; US7,674,605

- US 7,674,605
 - an antibody comprising the heavy chain variable region sequence from the amino acid sequence of SEQ ID NO: 1, and the light chain variable region sequence from the amino acid sequence of SEQ ID NO:2.
 - an antibody comprising the heavy chain variable region sequence from the amino acid sequence of SEQ ID NO:5, and the light chain variable region sequence from the amino acid sequence of SEQ ID NO:6.

Celltech Therapeutics Limited: US5,877,293

15

The Dow Chemical Company: US5,472,693; US6,417,337; US6,333,405

US5,472,693 – for example, ATCC No. CRL-11215

US6,417,337 – for example, ATCC CRL-12208

US6,333,405 – for example, ATCC CRL-12208

20

Immunomedics, Inc: US7,534,431; US7,230,084; US7,300,644; US6,730,300;

US20110189085

25

- an antibody having CDRs of the light chain variable region comprise: CDR1 comprises KASQDVGTSA (SEQ ID NO: 20); CDR2 comprises WTSTRHT (SEQ ID NO: 21); and CDR3 comprises QQYSLYRS (SEQ ID NO: 22);
and the CDRs of the heavy chain variable region of said anti-CEA antibody comprise: CDR1 comprises TYWMS (SEQ ID NO: 23); CDR2 comprises EIHPDSSTINYAPSLKD (SEQ ID NO: 24); and CDR3 comprises LYFGFPWFAY (SEQ ID NO: 25).

30

US20100221175; US20090092598; US20070202044; US20110064653;
US20090185974; US20080069775.

(42) MET (met proto-oncogene; hepatocyte growth factor receptor)

35

Nucleotide

Genbank accession no M35073

Genbank version no. M35073.1 GI:187553

Genbank record update date: Mar 6, 2012 11:12 AM

Polypeptide

5 Genbank accession no. AAA59589

Genbank version no. AAA59589.1 GI:553531

Genbank record update date: Mar 6, 2012 11:12 AM

Cross references

10 Dean M., et al *Nature* 318 (6044), 385-388 (1985)

Other information

Official Symbol: MET

Other Aliases: AUTS9, HGFR, RCCP2, c-Met

15 Other Designations: HGF receptor; HGF/SF receptor; SF receptor; hepatocyte growth factor receptor; met proto-oncogene tyrosine kinase; proto-oncogene c-Met; scatter factor receptor; tyrosine-protein kinase Met

ANTIBODIES

20 Abgenix/Pfizer: US20100040629

for example, the antibody produced by hybridoma 13.3.2 having American Type Culture Collection (ATCC) accession number PTA-5026; the antibody produced by hybridoma 9.1.2 having ATCC accession number PTA-5027; the antibody produced by hybridoma 8.70.2 having ATCC accession number PTA-5028; or the antibody produced by hybridoma 6.90.3 having ATCC accession number PTA-5029.

Amgen/Pfizer: US20050054019

for example, an antibody comprising a heavy chain having the amino acid sequences set forth in SEQ ID NO: 2 where X2 is glutamate and X4 is serine and a light chain having the amino acid sequence set forth in SEQ ID NO: 4 where X8 is alanine, without the signal sequences; an antibody comprising a heavy chain having the amino acid sequences set forth in SEQ ID NO: 6 and a light chain having the amino acid sequence set forth in SEQ ID NO: 8, without the signal sequences; an antibody comprising a heavy chain having the amino acid sequences set forth in SEQ ID NO: 10 and a light chain having the amino acid sequence set forth in SEQ ID NO: 12, without the signal sequences; or an antibody comprising a heavy chain having the

amino acid sequences set forth in SEQ ID NO: 14 and a light chain having the amino acid sequence set forth in SEQ ID NO: 16, without the signal sequences.

Agouron Pharmaceuticals (Now Pfizer): US20060035907

5

Eli Lilly: US20100129369

Genentech: US5,686,292; US20100028337; US20100016241; US20070129301;
US20070098707; US20070092520, US20060270594; US20060134104; US20060035278;

10 US20050233960; US20050037431

US 5,686,292 – for example, ATCC HB-11894 and ATCC HB-11895

US 20100016241 – for example, ATCC HB-11894 (hybridoma 1A3.3.13) or HB-
11895 (hybridoma 5D5.11.6)

15 National Defense Medical Center, Taiwan: Lu RM., et al Biomaterials. 2011
Apr;32(12):3265-74.

Novartis: US20090175860

20 - for example, an antibody comprising the sequences of CDR1, CDR2 and
CDR3 of heavy chain 4687, wherein the sequences of CDR1, CDR2, and
CDR3 of heavy chain 4687 are residues 26-35, 50-65, and 98-102,
respectively, of SEQ ID NO: 58; and the sequences of CDR1, CDR2, and
CDR3 of light chain 5097, wherein the sequences of CDR1, CDR2, and
CDR3 of light chain 5097 are residues 24-39, 55-61, and 94-100 of SEQ ID
25 NO: 37.

Pharmacia Corporation: US20040166544

Pierre Fabre: US20110239316, US20110097262, US20100115639

30

Sumsung: US 20110129481 – for example a monoclonal antibody produced from a
hybridoma cell having accession number KCLRF-BP-00219 or accession number of KCLRF-
BP-00223.

35 Samsung: US 20110104176 – for example an antibody produced by a hybridoma cell having
Accession Number: KCLRF-BP-00220.

University of Turin Medical School: DN-30 Pacchiana G., et al *J Biol Chem.* 2010 Nov 12;285(46):36149-57

5 Van Andel Research Institute: Jiao Y., et al *Mol Biotechnol.* 2005 Sep;31(1):41-54.

(43) MUC1 (Mucin 1, cell surface associated)

Nucleotide

Genbank accession no J05581

10 Genbank version no. J05581.1 GI:188869

Genbank record update date: Jun 23, 2010 08:48 AM

Polypeptide

Genbank accession no. AAA59876

15 Genbank version no. AAA59876.1 GI:188870

Genbank record update date: Jun 23, 2010 08:48 AM

Cross references

Gendler S.J., et al *J. Biol. Chem.* 265 (25), 15286-15293 (1990)

20

Other information

Official Symbol: MUC1

Other Aliases: RP11-263K19.2, CD227, EMA, H23AG, KL-6, MAM6, MUC-1, MUC-1/SEC, MUC-1/X, MUC1/ZD, PEM, PEMT, PUM

25 Other Designations: DF3 antigen; H23 antigen; breast carcinoma-associated antigen DF3; carcinoma-associated mucin; episialin; krebs von den Lungen-6; mucin 1, transmembrane; mucin-1; peanut-reactive urinary mucin; polymorphic epithelial mucin; tumor associated epithelial mucin; tumor-associated epithelial membrane antigen; tumor-associated mucin

30 **ANTIBODIES**

AltaRex- Quest Pharma Tech: US 6,716,966 – for example an Alt-1 antibody produced by the hybridoma ATCC No PTA-975.

AltaRex- Quest Pharma Tech: US7,147,850

35

CRT: 5E5 - Sørensen AL., et al *Glycobiology* vol. 16 no. 2 pp. 96–107, 2006; HMFG2 – Burchell J., et al *Cancer Res.*, 47, 5476–5482 (1987)

Glycotope GT-MAB: GT-MAB 2.5-GEX (Website:

5 http://www.glycotope.com/pipeline/pankomab-gex)

Immunogen: US7,202,346

- for example, antibody MJ-170: hybridoma cell line MJ-170 ATCC accession no. PTA-5286Monoclonal antibody MJ-171: hybridoma cell line MJ-171 ATCC accession no. PTA-5287; monoclonal antibody MJ-172: hybridoma cell line MJ-172 ATCC accession no. PTA-5288; or monoclonal antibody MJ-173: hybridoma cell line MJ-173 ATCC accession no. PTA-5302

15 Immunomedics: US 6,653,104

Ramot Tel Aviv Uni: US7,897,351

Regents Uni. CA: US 7,183,388; US20040005647; US20030077676.

20 Roche GlycArt: US8,021,856

Russian National Cancer Research Center: Imuteran- Ivanov PK., et al *Biotechnol J.* 2007 Jul;2(7):863-70

25 Technische Univ Braunschweig: (IIB6, HT186-B7, HT186-D11, HT186-G2, HT200-3A-C1, HT220-M-D1, HT220-M-G8) - Thie H., et al *PLoS One.* 2011 Jan 14;6(1):e15921

(44) CA9 (*Carbonic anhydrase IX*)

30 Nucleotide

Genbank accession no . X66839

Genbank version no. X66839.1 GI:1000701

Genbank record update date: Feb 2, 2011 10:15 AM

35 Polypeptide

Genbank accession no. CAA47315

Genbank version no. CAA47315.1 GI:1000702

Genbank record update date: Feb 2, 2011 10:15 AM

Cross references

5 Pastorek J., et al *Oncogene* 9 (10), 2877-2888 (1994)

Other information

Official Symbol: CA9

Other Aliases: CAIX, MN

10 Other Designations: CA-IX; P54/58N; RCC-associated antigen G250; RCC-associated protein G250; carbonate dehydratase IX; carbonic anhydrase 9; carbonic dehydratase; membrane antigen MN; pMW1; renal cell carcinoma-associated antigen G250

ANTIBODIES

15 Abgenix/Amgen: US20040018198

Affibody: Anti-CAIX Affibody molecules

(<http://www.affibody.com/en/Product-Portfolio/Pipeline/>)

20 Bayer: US7,462,696

Bayer/Morphosys: 3ee9 mAb - Petrus HM., et al *Mol Cancer Ther.* 2012 Feb;11(2):340-9

25 Harvard Medical School: Antibodies G10, G36, G37, G39, G45, G57, G106, G119, G6, G27, G40 and G125. Xu C., et al *PLoS One.* 2010 Mar 10;5(3):e9625

Institute of Virology, Slovak Academy of Sciences (Bayer) - US5,955,075

- for example, M75- ATCC Accession No. HB 11128 or MN12 – ATCC Accession No. HB 11647

30

Institute of Virology, Slovak Academy of Sciences: US7,816,493

- for example the M75 monoclonal antibody that is secreted from the hybridoma VU-M75, which was deposited at the American Type Culture Collection under ATCC No. HB 11128; or the V/10 monoclonal antibody secreted from the hybridoma V/10-VU, which was deposited at the International Depository Authority of the Belgian Coordinated Collection of

35

Microorganisms (BCCM) at the Laboratorium voor Moleculaire Biologie-
Plasmidencollectie (LMBP) at the Universiteit Gent in Gent, Belgium, under
Accession No. LMBP 6009CB.

5 Institute of Virology, Slovak Academy of Sciences US20080177046; US20080176310;
US20080176258; US20050031623

Novartis: US20090252738

10 Wilex: US7,691,375 – for example the antibody produced by the hybridoma cell line DSM
ASC 2526.

Wilex: US20110123537; Rencarex: Kennett RH., et al *Curr Opin Mol Ther.* 2003
Feb;5(1):70-5

15 Xencor: US20090162382

**(45) EGFRvIII (Epidermal growth factor receptor (EGFR), transcript variant 3,
Nucleotide**

20 Genbank accession no. NM_201283
Genbank version no. NM_201283.1 GI:41327733
Genbank record update date: Sep 30, 2012 01:47 PM

Polypeptide

25 Genbank accession no. NP_958440
Genbank version no. NP_958440.1 GI:41327734
Genbank record update date: Sep 30, 2012 01:47 PM

Cross-references

30 Batra SK., et al *Cell Growth Differ* 1995;6:1251–1259.

ANTIBODIES:

US7,628,986 and US7,736,644 (Amgen)

35 For example, a heavy chain variable region amino acid sequence selected from the
group consisting of SEQ ID NO: 142 and variants & a light chain variable region

amino acid sequence selected from the group consisting of: SEQ ID NO: 144 and variants.

US20100111979 (Amgen)

5 For example, an antibody comprising a heavy chain amino acid sequence comprising:

CDR1 consisting of a sequence selected from the group consisting of the amino acid sequences for the CDR1 region of antibodies 13.1.2 (SEQ ID NO: 138), 131 (SEQ ID NO: 2), 170 (SEQ ID NO: 4), 150 (SEQ ID NO: 5), 095 (SEQ ID NO: 7), 250 (SEQ ID NO: 9), 139 (SEQ ID NO: 10), 211 (SEQ ID NO: 12), 124 (SEQ ID NO: 13), 318 (SEQ ID NO: 15), 342 (SEQ ID NO: 16), and 333 (SEQ ID NO: 17);

10 CDR2 consisting of a sequence selected from the group consisting of the amino acid sequences for the CDR2 region of antibodies 13.1.2 (SEQ ID NO: 138), 131 (SEQ ID NO: 2), 170 (SEQ ID NO: 4), 150 (SEQ ID NO: 5), 095 (SEQ ID NO: 7), 250 (SEQ ID NO: 9), 139 (SEQ ID NO: 10), 211 (SEQ ID NO: 12), 124 (SEQ ID NO: 13), 318 (SEQ ID NO: 15), 342 (SEQ ID NO: 16), and 333 (SEQ ID NO: 17); and

15 CDR3 consisting of a sequence selected from the group consisting of the amino acid sequences for the CDR3 region of antibodies 13.1.2 (SEQ ID NO: 138), 131 (SEQ ID NO: 2), 170 (SEQ ID NO: 4), 150 (SEQ ID NO: 5), 095 (SEQ ID NO: 7), 250 (SEQ ID NO: 9), 139 (SEQ ID NO: 10), 211 (SEQ ID NO: 12), 124 (SEQ ID NO: 13), 318 (SEQ ID NO: 15), 342 (SEQ ID NO: 16), and 333 (SEQ ID NO: 17).

US20090240038 (Amgen)

20 For example, an antibody having at least one of the heavy or light chain polypeptides comprises an amino acid sequence that is at least 90% identical to the amino acid sequence selected from the group consisting of: SEQ ID NO: 2, SEQ ID NO: 19, SEQ ID NO: 142, SEQ ID NO: 144, and any combination thereof.

US20090175887 (Amgen)

30 For example, an antibody having a heavy chain amino acid sequence selected from the group consisting of the heavy chain amino acid sequence of antibody 13.1.2 (SEQ ID NO: 138), 131 (SEQ ID NO: 2), 170 (SEQ ID NO: 4), 150 (SEQ ID NO: 5), 095 (SEQ ID NO: 7), 250 (SEQ ID NO: 9), 139 (SEQ ID NO: 10), 211 (SEQ ID NO: 12), 124 (SEQ ID NO: 13), 318 (SEQ ID NO: 15), 342 (SEQ ID NO: 16), and 333 (SEQ ID NO: 17).

US20090156790 (Amgen)

For example, antibody having heavy chain polypeptide and a light chain polypeptide, wherein at least one of the heavy or light chain polypeptides comprises an amino acid sequence that is at least 90% identical to the amino acid sequence selected from the group consisting of: SEQ ID NO: 2, SEQ ID NO: 19, SEQ ID NO: 142, SEQ ID NO: 144, and any combination thereof.

5

US20090155282, US20050059087 and US20050053608 (Amgen)

For example, an antibody heavy chain amino acid sequence selected from the group 10 consisting of the heavy chain amino acid sequence of antibody 13.1.2 (SEQ ID NO: 138), 131 (SEQ ID NO: 2), 170 (SEQ ID NO: 4), 150 (SEQ ID NO: 5), 095 (SEQ ID NO: 7), 250 (SEQ ID NO: 9), 139 (SEQ ID NO: 10), 211 (SEQ ID NO: 12), 124 (SEQ ID NO: 13), 318 (SEQ ID NO: 15), 342 (SEQ ID NO: 16), and 333 (SEQ ID NO: 17).

15 MR1-1 (US7,129,332; Duke)

For example, a variant antibody having the sequence of SEQ ID NO.18 with the substitutions S98P-T99Y in the CDR3 VH, and F92W in CDR3 VL.

L8A4, H10, Y10 (Wikstrand CJ., et al *Cancer Res.* 1995 Jul 15;55(14):3140-8; Duke)

20

US20090311803 (Harvard University)

For example, SEQ ID NO:9 for antibody heavy chain variable region, and SEQ ID NO: 3 for light chain variable region amino acid sequences

25 US20070274991 (EMD72000, also known as matuzumab; Harvard University)

For example, SEQ ID NOs: 3 & 9 for light chain and heavy chain respectively

US6,129,915 (Schering)

For example, SEQ. ID NOs: 1, 2, 3, 4, 5 and 6.

30

mAb CH12 - Wang H., et al *FASEB J.* 2012 Jan;26(1):73-80 (Shanghai Cancer Institute).

RAbDMvIII - Gupta P., et al *BMC Biotechnol.* 2010 Oct 7;10:72 (Stanford University Medical Center).

35

mAb Ua30 - Ohman L., et al *Tumour Biol.* 2002 Mar-Apr;23(2):61-9 (Uppsala University).

Han DG., et al *Nan Fang Yi Ke Da Xue Xue Bao*. 2010 Jan;30(1):25-9 (Xi'an Jiaotong University).

5 (46) *CD33 (CD33 molecule)*

Nucleotide

Genbank accession no. M_23197

Genbank version no. NM_23197.1 GI:180097

Genbank record update date: Jun 23, 2010 08:47 AM

10

Polypeptide

Genbank accession no. AAA51948

Genbank version no. AAA51948.1 GI:188098

Genbank record update date: Jun 23, 2010 08:47 AM

15

Cross-references

Simmons D., et al *J. Immunol.* 141 (8), 2797-2800 (1988)

Other information

20 Official Symbol: CD33

Other Aliases: SIGLEC-3, SIGLEC3, p67

Other Designations: CD33 antigen (gp67); gp67; myeloid cell surface antigen CD33; sialic acid binding Ig-like lectin 3; sialic acid-binding Ig-like lectin

25 **ANTIBODIES**

H195 (Lintuzumab)- Raza A., et al *Leuk Lymphoma*. 2009 Aug;50(8):1336-44; US6,759,045 (Seattle Genetics/Immunomedics)

mAb OKT9: Sutherland, D.R. et al. *Proc Natl Acad Sci USA* 78(7): 4515-4519 1981,

30 Schneider,C., et al *J Biol Chem* 257, 8516-8522 (1982)

mAb E6: Hoogenboom,H.R., et al *J Immunol* 144, 3211-3217 (1990)

US6,590,088 (Human Genome Sciences)

35 For example, SEQ ID NOs: 1 and 2 and ATCC accession no. 97521

US7,557,189 (Immunogen)

For example, an antibody or fragment thereof comprising a heavy chain variable region which comprises three CDRs having the amino acid sequences of SEQ ID NOs:1-3 and a light chain variable region comprising three CDRs having the amino acid sequences of SEQ ID NOs:4-6.

5

(47) CD19 (CD19 molecule)**Nucleotide**

Genbank accession no. NM_001178098

10 Genbank version no. NM_001178098.1 GI:296010920

Genbank record update date: Sep 10, 2012 12:43 AM

Polypeptide

Genbank accession no. NP_001171569

15 Genbank version no. NP_001171569.1 GI:296010921

Genbank record update date: Sep 10, 2012 12:43 AM

Cross-references

Tedder TF., et al J. Immunol. 143 (2): 712-7 (1989)

20

Other information

Official Symbol: CD19

Other Aliases: B4, CVID3

25 Other Designations: B-lymphocyte antigen CD19; B-lymphocyte surface antigen B4; T-cell surface antigen Leu-12; differentiation antigen CD19

ANTIBODIES

Immunogen: HuB4 - Al-Katib AM., et al *Clin Cancer Res.* 2009 Jun 15;15(12):4038-45.

30 4G7: Kügler M., et al *Protein Eng Des Sel.* 2009 Mar;22(3):135-47

For example, sequences in Fig. 3 of of Knappik, A. et al. *J Mol Biol* 2000 Feb;296(1):57-86

AstraZeneca /MedImmune: MEDI-551 - Herbst R., et al *J Pharmacol Exp Ther.* 2010

35 Oct;335(1):213-22

Glenmark Pharmaceuticals: GBR-401 - Hou S., et al *Mol Cancer Ther* November 2011 10 (Meeting Abstract Supplement) C164

US7,109,304 (Immunomedics)

5 For example, an antibody comprising the sequence of hA19V_k (SEQ ID NO:7) and the sequence of hA19V_H (SEQ ID NO:10)

US7,902,338 (Immunomedics)

10 For example, an antibody or antigen-binding fragment thereof that comprises the light chain complementarity determining region CDR sequences CDR1 of SEQ ID NO: 16 (KASQSVVDYDGDSYLN); CDR2 of SEQ ID NO: 17 (DASNLVS); and CDR3 of SEQ ID NO: 18 (QQSTEDPWT) and the heavy chain CDR sequences CDR1 of SEQ ID NO: 19 (SYWMN); CDR2 of SEQ ID NO: 20 (QIWPGDGDTNYNGKFKG) and CDR3 of SEQ ID NO: 21 (RETTTVGRYYYAMDY) and also comprises human antibody framework (FR) and constant region sequences with one or more framework region amino acid residues substituted from the corresponding framework region sequences of the parent murine antibody, and wherein said substituted FR residues comprise the substitution of serine for phenylalanine at Kabat residue 91 of the heavy chain variable region.

15

20 Medarex: MDX-1342 – Cardarelli PM., et al *Cancer Immunol Immunother.* 2010 Feb;59(2):257-65.

25 MorphoSys /Xencor: MOR-208/XmAb-5574 - Zalevsky J., et al *Blood.* 2009 Apr 16;113(16):3735-43

US7,968,687 (Seattle Genetics)

30 An antibody or antigen-binding fragment comprising a heavy chain variable domain comprising the amino acid sequence of SEQ ID NO:9 and a light chain variable domain comprising the amino acid sequence of SEQ ID NO: 24.

4G7 chim - Lang P., et al *Blood.* 2004 May 15;103(10):3982-5 (University of Tübingen)
For example, fig. 6 and SEQ ID No: 80 of US20120082664

35 Zhejiang University School of Medicine: 2E8 - Zhang J., et al *J Drug Target.* 2010 Nov;18(9):675-8

(48) *IL2RA* (*Interleukin 2 receptor, alpha*); *NCBI Reference Sequence: NM_000417.2*);

Nucleotide

Genbank accession no. NM_000417

5 Genbank version no. NM_000417.2 GI:269973860

Genbank record update date: Sep 09, 2012 04:59 PM

Polypeptide

Genbank accession no. NP_000408

10 Genbank version no. NP_000408.1 GI:4557667

Genbank record update date: Sep 09, 2012 04:59 PM

Cross-references

Kuziel W.A., et al *J. Invest. Dermatol.* 94 (6 SUPPL), 27S-32S (1990)

15

Other information

Official Symbol: IL2RA

Other Aliases: RP11-536K7.1, CD25, IDDM10, IL2R, TCGFR

Other Designations: FIL-2 receptor subunit alpha; IL-2-RA; IL-2R subunit alpha; IL2-RA;

20 TAC antigen; interleukin-2 receptor subunit alpha; p55

ANTIBODIES

US6,383,487 (Novartis/UCL: Baxilisimab [Simulect])

25 US6,521,230 (Novartis/UCL: Baxilisimab [Simulect])

For example, an antibody having an antigen binding site comprises at least one domain which comprises CDR1 having the amino acid sequence in SEQ. ID. NO: 7, CDR2 having the amino acid sequence in SEQ. ID. NO: 8, and CDR3 having the amino acid sequence in SEQ. ID. NO: 9; or said CDR1, CDR2 and CDR3 taken in sequence as a whole comprise an amino acid sequence which is at least 90% identical to SEQ. ID. NOs: 7, 8 and 9 taken in sequence as a whole.

Daclizumab – Rech AJ., et al *Ann N Y Acad Sci.* 2009 Sep;1174:99-106 (Roche)

35 (49) *AXL* (*AXL receptor tyrosine kinase*)

Nucleotide

Genbank accession no. M76125

Genbank version no. M76125.1 GI:292869

Genbank record update date: Jun 23, 2010 08:53 AM

5 Polypeptide

Genbank accession no. AAA61243

Genbank version no. AAA61243.1 GI:29870

Genbank record update date: Jun 23, 2010 08:53 AM

10 Cross-references

O'Bryan J.P., et al *Mol. Cell. Biol.* 11 (10), 5016-5031 (1991); Bergsagel P.L., et al *J. Immunol.* 148 (2), 590-596 (1992)

Other information

15 Official Symbol: AXL

Other Aliases: JTK11, UFO

Other Designations: AXL oncogene; AXL transforming sequence/gene; oncogene AXL; tyrosine-protein kinase receptor UFO

20 ANTIBODIES

YW327.6S2 - Ye X., et al *Oncogene*. 2010 Sep 23;29(38):5254-64. (Genentech)

BergenBio: BGB324 (<http://www.bergenbio.com/BGB324>)

25 **(50) CD30 - TNFRSF8 (Tumor necrosis factor receptor superfamily, member 8)**

Nucleotide

Genbank accession no. M83554

Genbank version no. M83554.1 GI:180095

Genbank record update date: Jun 23, 2010 08:53 AM

30

Polypeptide

Genbank accession no. AAA51947

Genbank version no. AAA51947.1 GI:180096

Genbank record update date: Jun 23, 2010 08:53 AM

35

Cross-references

Durkop H., et al *Cell* 68 (3), 421-427 (1992)

Other information

Official Symbol: TNFRSF8

5 Other Aliases: CD30, D1S166E, Ki-1

Other Designations: CD30L receptor; Ki-1 antigen; cytokine receptor CD30; lymphocyte activation antigen CD30; tumor necrosis factor receptor superfamily member 8

(51) BCMA (B-cell maturation antigen) - TNFRSF17 (Tumor necrosis factor receptor

10 superfamily, member 17)

Nucleotide

Genbank accession no. Z29574

Genbank version no. Z29574.1 GI:471244

Genbank record update date: Feb 02, 2011 10:40 AM

15

Polypeptide

Genbank accession no. CAA82690

Genbank version no. CAA82690.1 GI:471245

Genbank record update date: Feb 02, 2011 10:40 AM

20

Cross-references

Laabi Y., et al *Nucleic Acids Res.* 22 (7), 1147-1154 (1994)

Other information

25 Official Symbol: TNFRSF17

Other Aliases: BCM, BCMA, CD269

Other Designations: B cell maturation antigen; B-cell maturation factor; B-cell maturation protein; tumor necrosis factor receptor superfamily member 17

30

(52) CT Ags – CTA (Cancer Testis Antigens)

Cross-references

Fratta E., et al. *Mol Oncol.* 2011 Apr;5(2):164-82; Lim SH., et al *Am J Blood Res.* 2012;2(1):29-35.

35

(53) CD174 (Lewis Y) - FUT3 (fucosyltransferase 3 (galactoside 3(4)-L-fucosyltransferase, Lewis blood group)

Nucleotide

Genbank accession no. NM000149

5 Genbank version no. NM000149.3 GI:148277008

Genbank record update date: Jun 26, 2012 04:49 PM

Polypeptide

Genbank accession no. NP_000140

10 Genbank version no. NP_000140.1 GI:4503809

Genbank record update date: Jun 26, 2012 04:49 PM

Cross-references

Kukowska-Latallo, J.F., et al *Genes Dev.* 4 (8), 1288-1303 (1990)

15

Other information

Official Symbol: FUT3

Other Aliases: CD174, FT3B, FucT-III, LE, Les

Other Designations: Lewis FT; alpha-(1,3/1,4)-fucosyltransferase; blood group Lewis alpha-

20 4-fucosyltransferase; fucosyltransferase III; galactoside 3(4)-L-fucosyltransferase

(54) CLEC14A (C-type lectin domain family 14, member A; Genbank accession no. NM175060)

Nucleotide

25 Genbank accession no. NM175060

Genbank version no. NM175060.2 GI:371123930

Genbank record update date: Apr 01, 2012 03:34 PM

Polypeptide

30 Genbank accession no. NP_778230

Genbank version no. NP_778230.1 GI:28269707

Genbank record update date: Apr 01, 2012 03:34 PM

Other information

35 Official Symbol: CLEC14A

Other Aliases: UNQ236/PRO269, C14orf27, CEG1, EGFR-5

Other Designations: C-type lectin domain family 14 member A; CIECT and EGF-like domain containing protein; epidermal growth factor receptor 5

(55) GRP78 – HSPA5 (heat shock 70kDa protein 5 (glucose-regulated protein, 78kDa)

5 Nucleotide

Genbank accession no. NM005347

Genbank version no. NM005347.4 GI:305855105

Genbank record update date: Sep 30, 2012 01:42 PM

10 Polypeptide

Genbank accession no. NP_005338

Genbank version no. NP_005338.1 GI:16507237

Genbank record update date: Sep 30, 2012 01:42 PM

15 Cross-references

Ting J., et al *DNA* 7 (4), 275-286 (1988)

Other information

Official Symbol: HSPA5

20 Other Aliases: BIP, GRP78, MIF2

Other Designations: 78 kDa glucose-regulated protein; endoplasmic reticulum luminal Ca(2+)-binding protein grp78; immunoglobulin heavy chain-binding protein

(56) CD70 (CD70 molecule) L08096

25 Nucleotide

Genbank accession no. L08096

Genbank version no. L08096.1 GI:307127

Genbank record update date: Jun 23, 2012 08:54 AM

30 Polypeptide

Genbank accession no. AAA36175

Genbank version no. AAA36175.1 GI:307128

Genbank record update date: Jun 23, 2012 08:54 AM

35 Cross-references

Goodwin R.G., et al *Cell* 73 (3), 447-456 (1993)

Other information

Official Symbol: CD70

Other Aliases: CD27L, CD27LG, TNFSF7

5 Other Designations: CD27 ligand; CD27-L; CD70 antigen; Ki-24 antigen; surface antigen CD70; tumor necrosis factor (ligand) superfamily, member 7; tumor necrosis factor ligand superfamily member 7

ANTIBODIES

10 MDX-1411 against CD70 (Medarex)

h1F6 (Oflazoglu, E., et al, Clin Cancer Res. 2008 Oct 1;14(19):6171-80; Seattle Genetics)

For example, see US20060083736 SEQ ID NOS: 1, 2, 11 and 12 and Fig. 1.

15 (57) *Stem Cell specific antigens. For example:*

- 5T4 (see entry (63) below)
- CD25 (see entry (48) above)
- CD32

- Polypeptide

20

- Genbank accession no. ABK42161
- Genbank version no. ABK42161.1 GI:117616286
- Genbank record update date: Jul 25, 2007 03:00 PM

- LGR5/GPR49

- Nucleotide

25

- Genbank accession no. NM_003667
- Genbank version no. NM_003667.2 GI:24475886
- Genbank record update date: Jul 22, 2012 03:38 PM

- Polypeptide

- Genbank accession no. NP_003658

30

- Genbank version no. NP_003658.1 GI:4504379
- Genbank record update date: Jul 22, 2012 03:38 PM

- Prominin/CD133

- Nucleotide

- Genbank accession no. NM_006017

35

- Genbank version no. NM_006017.2 GI:224994187

▪ Genbank record update date: Sep 30, 2012 01:47 PM

○ Polypeptide

▪ Genbank accession no. NP_006008

▪ Genbank version no. NP_006008.1 GI:5174387

5 ▪ Genbank record update date: Sep 30, 2012 01:47 PM

(58) ASG-5

Cross-references

(Smith L.M., et.al *AACR 2010 Annual Meeting* (abstract #2590); Gudas J.M., et.al. *AACR*

10 *2010 Annual Meeting* (abstract #4393)

ANTIBODIES

Anti- AGS-5 Antibody: M6.131 (Smith, L.M., et.al *AACR 2010 Annual Meeting* (abstract #2590))

15

(59) ENPP3 (Ectonucleotide pyrophosphatase/phosphodiesterase 3)

Nucleotide

Genbank accession no. AF005632

Genbank version no. AF005632.2 GI:4432589

20 Genbank record update date: Mar 10, 2010 09:41 PM

Polypeptide

Genbank accession no. AAC51813

Genbank version no. AAC51813.1 GI:2465540

25 Genbank record update date: Mar 10, 2010 09:41 PM

Cross-references

Jin-Hua P., et al *Genomics* 45 (2), 412-415 (1997)

30 Other information

Official Symbol: ENPP3

Other Aliases: RP5-988G15.3, B10, CD203c, NPP3, PD-IBETA, PDNP3

Other Designations: E-NPP 3; dJ1005H11.3 (phosphodiesterase I/nucleotide

pyrophosphatase 3); dJ914N13.3 (phosphodiesterase I/nucleotide pyrophosphatase 3);

35 ectonucleotide pyrophosphatase/phosphodiesterase family member 3; gp130RB13-6;

phosphodiesterase I beta; phosphodiesterase I/nucleotide pyrophosphatase 3; phosphodiesterase-I beta

(60) PRR4 (Proline rich 4 (lacrima))

5 Nucleotide

Genbank accession no. NM_007244

Genbank version no. NM_007244.2 GI:154448885

Genbank record update date: Jun 28, 2012 12:39 PM

10 Polypeptide

Genbank accession no. NP_009175

Genbank version no. NP_009175.2 GI:154448886

Genbank record update date: Jun 28, 2012 12:39 PM

15 Cross-references

Dickinson D.P., et al *Invest. Ophthalmol. Vis. Sci.* 36 (10), 2020-2031 (1995)

Other information

Official Symbol: PRR4

20 Other Aliases: LPRP, PROL4

Other Designations: lacrimal proline-rich protein; nasopharyngeal carcinoma-associated proline-rich protein 4; proline-rich polypeptide 4; proline-rich protein 4

(61) GCC – GUCY2C (guanylate cyclase 2C (heat stable enterotoxin receptor))

25 Nucleotide

Genbank accession no. NM_004963

Genbank version no. NM_004963.3 GI:222080082

Genbank record update date: Sep 02, 2012 01:50 PM

30 Polypeptide

Genbank accession no. NP_004954

Genbank version no. NP_004954.2 GI:222080083

Genbank record update date: Sep 02, 2012 01:50 PM

35 Cross-references

De Sauvage F.J., et al *J. Biol. Chem.* 266 (27), 17912-17918 (1991); Singh S., et al *Biochem. Biophys. Res. Commun.* 179 (3), 1455-1463 (1991)

Other information

5 Official Symbol: GUCY2C

Other Aliases: DIAR6, GUC2C, MUCIL, STAR

Other Designations: GC-C; STA receptor; guanylyl cyclase C; hSTAR; heat-stable enterotoxin receptor; intestinal guanylate cyclase

10 (62) *Liv-1 – SLC39A6 (Solute carrier family 39 (zinc transporter), member 6)*

Nucleotide

Genbank accession no. U41060

Genbank version no. U41060.2 GI:12711792

Genbank record update date: Nov 30, 2009 04:35 PM

15

Polypeptide

Genbank accession no. AAA96258

Genbank version no. AAA96258.2 GI:12711793

Genbank record update date: Nov 30, 2009 04:35 PM

20

Cross-references

Taylor KM., et al *Biochim Biophys Acta.* 2003 Apr 1;1611(1-2):16-30

Other information

25 Official Symbol: SLC39A6

Other Aliases: LIV-1

Other Designations: LIV-1 protein, estrogen regulated; ZIP-6; estrogen-regulated protein LIV-1; solute carrier family 39 (metal ion transporter), member 6; solute carrier family 39 member 6; zinc transporter ZIP6; zrt- and Irt-like protein 6

30

(63) *5T4, Trophoblast glycoprotein, TPBG – TPBG (trophoblast glycoprotein)*

Nucleotide

Genbank accession no. AJ012159

Genbank version no. AJ012159.1 GI:3805946

35 Genbank record update date: Feb 01, 2011 10:27 AM

Polypeptide

Genbank accession no. CAA09930

Genbank version no. CAA09930.1 GI:3805947

Genbank record update date: Feb 01, 2011 10:27 AM

5

Cross-references

King K.W., et al *Biochim. Biophys. Acta* 1445 (3), 257-270 (1999)

Other information

10

- Official Symbol: TPBG
- Other Aliases: 5T4, 5T4AG, M6P1
- Other Designations: 5T4 oncofetal antigen; 5T4 oncofetal trophoblast glycoprotein; 5T4 oncotrophoblast glycoprotein

15 **(64) CD56 – NCMA1 (Neural cell adhesion molecule 1)**Nucleotide

Genbank accession no. NM_000615

Genbank version no. NM_000615.6 GI:336285433

Genbank record update date: Sep 23, 2012 02:32 PM

20

Polypeptide

Genbank accession no. NP_000606

Genbank version no. NP_000606.3 GI:94420689

Genbank record update date: Sep 23, 2012 02:32 PM

25

Cross-references

Dickson, G., et al, *Cell* 50 (7), 1119-1130 (1987)

Other information

30 Official Symbol: NCAM1
Other Aliases: CD56, MSK39, NCAM
Other Designations: antigen recognized by monoclonal antibody 5.1H11; neural cell adhesion molecule, NCAM

35 **ANTIBODIES**

Immunogen: HuN901 (Smith SV., et al *Curr Opin Mol Ther.* 2005 Aug;7(4):394-401)

For example, see humanized from murine N901 antibody. See Fig. 1b and 1e of Roguska, M.A., et al. Proc Natl Acad Sci USA Feb 1994;91:969-973.

(65) CanAg (Tumor associated antigen CA242)

5 **Cross-references**

Haglund C., et al *Br J Cancer* 60:845-851, 1989; Baeckstrom D., et al *J Biol Chem* 266:21537-21547, 1991

ANTIBODIES

10 **huC242 (Tolcher AW et al., *J Clin Oncol.* 2003 Jan 15;21(2):211-22; Immunogen)**

For example, see US20080138898A1 SEQ ID NO: 1 and 2

(66) FOLR1 (Folate Receptor 1)

15 **Nucleotide**

Genbank accession no. J05013

Genbank version no. J05013.1 GI:182417

Genbank record update date: Jun 23, 2010 08:47 AM

20 **Polypeptide**

Genbank accession no. AAA35823

Genbank version no. AAA35823.1 GI:182418

Genbank record update date: Jun 23, 2010 08:47 AM

25 **Cross-references**

Elwood P.C., et al *J. Biol. Chem.* 264 (25), 14893-14901 (1989)

Other information

Official Symbol: FOLR1

30 **Other Aliases: FBP, FOLR**

Other Designations: FR-alpha; KB cells FBP; adult folate-binding protein; folate binding protein; folate receptor alpha; folate receptor, adult; ovarian tumor-associated antigen
MOv18

35 **ANTIBODIES**

M9346A - Whiteman KR., et al *Cancer Res* April 15, 2012; 72(8 Supplement): 4628 (Immunogen)

(67) GPNMB (Glycoprotein (transmembrane) nmb)

5 **Nucleotide**

Genbank accession no. X76534

Genbank version no. X76534.1 GI:666042

Genbank record update date: Feb 02, 2011 10:10 AM

10 **Polypeptide**

Genbank accession no. CAA54044

Genbank version no. CAA54044.1 GI:666043

Genbank record update date: Feb 02, 2011 10:10 AM

15 **Cross-references**

Weterman M.A., et al *Int. J. Cancer* 60 (1), 73-81 (1995)

Other information

Official Symbol: GPNMB

20 Other Aliases: UNQ1725/PRO9925, HGFIN, NMB

Other Designations: glycoprotein NMB; glycoprotein nmb-like protein; osteoactivin; transmembrane glycoprotein HGFIN; transmembrane glycoprotein NMB

ANTIBODIES

25 Celldex Therapeutics: CR011 (Tse KF., et al *Clin Cancer Res.* 2006 Feb 15;12(4):1373-82)

For example, see EP1827492B1 SEQ ID NO: 22, 24, 26, 31, 33 and 35

(68) TIM-1 – HAVCR1 (Hepatitis A virus cellular receptor 1)

Nucleotide

30 Genbank accession no. AF043724

Genbank version no. AF043724.1 GI:2827453

Genbank record update date: Mar 10, 2010 06:24 PM

Polypeptide

35 Genbank accession no. AAC39862

Genbank version no. AAC39862.1 GI:2827454

Genbank record update date: Mar 10, 2010 06:24 PM

Cross-references

Feigelstock D., et al *J. Virol.* 72 (8), 6621-6628 (1998)

5

Other information

Official Symbol: HAVCR1

Other Aliases: HAVCR, HAVCR-1, KIM-1, KIM1, TIM, TIM-1, TIM1, TIMD-1, TIMD1

Other Designations: T cell immunoglobulin domain and mucin domain protein 1; T-cell

10 membrane protein 1; kidney injury molecule 1

(69) RG-1/Prostate tumor target Mindin – Mindin/RG-1

Cross-references

Parry R., et al *Cancer Res.* 2005 Sep 15;65(18):8397-405

15

(70) B7-H4 – VTCN1 (V-set domain containing T cell activation inhibitor 1

Nucleotide

Genbank accession no. BX648021

Genbank version no. BX648021.1 GI:34367180

20 Genbank record update date: Feb 02, 2011 08:40 AM

Cross-references

Sica GL., et al *Immunity*. 2003 Jun;18(6):849-61

25 Other information

Official Symbol: VTCN1

Other Aliases: RP11-229A19.4, B7-H4, B7H4, B7S1, B7X, B7h.5, PRO1291, VCTN1

Other Designations: B7 family member, H4; B7 superfamily member 1; T cell costimulatory molecule B7x; T-cell costimulatory molecule B7x; V-set domain-containing T-cell activation inhibitor 1; immune costimulatory protein B7-H4

(71) PTK7 (PTK7 protein tyrosine kinase 7)

Nucleotide

35 Genbank accession no. AF447176

Genbank version no. AF447176.1 GI:17432420

Genbank record update date: Nov 28, 2008 01:51 PM

Polypeptide

Genbank accession no. AAL39062

5 Genbank version no. AAL39062.1 GI:17432421

Genbank record update date: Nov 28, 2008 01:51 PM

Cross-references

Park S.K., et al *J. Biochem.* 119 (2), 235-239 (1996)

10

Other information

Official Symbol: PTK7

Other Aliases: CCK-4, CCK4

15 Other Designations: colon carcinoma kinase 4; inactive tyrosine-protein kinase 7; pseudo tyrosine kinase receptor 7; tyrosine-protein kinase-like 7

(72) CD37 (CD37 molecule)

Nucleotide

Genbank accession no. NM_001040031

20 Genbank version no. NM_001040031.1 GI:91807109

Genbank record update date: Jul 29, 2012 02:08 PM

Polypeptide

Genbank accession no. NP_001035120

25 Genbank version no. NP_001035120.1 GI:91807110

Genbank record update date: Jul 29, 2012 02:08 PM

Cross-references

Schwartz-Albiez R., et al *J. Immunol.* 140 (3), 905-914 (1988)

30

Other information

Official Symbol: CD37

Other Aliases: GP52-40, TSPAN26

35 Other Designations: CD37 antigen; cell differentiation antigen 37; leukocyte antigen CD37; leukocyte surface antigen CD37; tetraspanin-26; tspan-26

ANTIBODIES

Boehringer Ingelheim: mAb 37.1 (Heider KH., et al *Blood*. 2011 Oct 13;118(15):4159-68)

Trubion: CD37-SMIP (G28-1 scFv-Ig) ((Zhao X., et al *Blood*. 2007;110: 2569-2577)

5 For example, see US20110171208A1 SEQ ID NO: 253

Immunogen: K7153A (Deckert J., et al *Cancer Res* April 15, 2012; 72(8 Supplement): 4625)

(73) CD138 – SDC1 (syndecan 1)

10 **Nucleotide**

Genbank accession no. AJ551176

Genbank version no. AJ551176.1 GI:29243141

Genbank record update date: Feb 01, 2011 12:09 PM

15 **Polypeptide**

Genbank accession no. CAD80245

Genbank version no. CAD80245.1 GI:29243142

Genbank record update date: Feb 01, 2011 12:09 PM

20 **Cross-references**

O'Connell FP., et al *Am J Clin Pathol*. 2004 Feb;121(2):254-63

Other information

Official Symbol: SDC1

25 Other Aliases: CD138, SDC, SYND1, syndecan

Other Designations: CD138 antigen; heparan sulfate proteoglycan fibroblast growth factor receptor; syndecan proteoglycan 1; syndecan-1

ANTIBODIES

30 Biotest: chimerized MAb (nBT062) - (Jagannath S., et al Poster ASH #3060, 2010; WIPO Patent Application WO/2010/128087)

For example, see US20090232810 SEQ ID NO: 1 and 2

Immunogen: B-B4 (Tassone P., et al *Blood* 104_3688-3696)

35 For example, see US20090175863A1 SEQ ID NO: 1 and 2

(74) CD74 (*CD74 molecule, major histocompatibility complex, class II invariant chain*)

Nucleotide

Genbank accession no. NM_004355

Genbank version no. NM_004355.1 GI:343403784

5 Genbank record update date: Sep 23, 2012 02:30 PM

Polypeptide

Genbank accession no. NP_004346

Genbank version no. NP_004346.1 GI:10835071

10 Genbank record update date: Sep 23, 2012 02:30 PM

Cross-references

Kudo,J., et al *Nucleic Acids Res.* 13 (24), 8827-8841 (1985)

15 Other information

Official Symbol: CD74

Other Aliases: DHLAG, HLADG, II, Ia-GAMMA

Other Designations: CD74 antigen (invariant polypeptide of major histocompatibility complex, class II antigen-associated); HLA class II histocompatibility antigen gamma chain;

20 HLA-DR antigens-associated invariant chain; HLA-DR-gamma; Ia-associated invariant chain; MHC HLA-DR gamma chain; gamma chain of class II antigens; p33

ANTIBODIES

Immunomedics: hLL1 (Milatuzumab,) - Berkova Z., et al *Expert Opin Investig Drugs.* 2010

25 Jan;19(1):141-9

For example, see US20040115193 SEQ ID NOs: 19, 20, 21, 22, 23 and 24

Genmab: HuMax-CD74 (see website)

30 (75) *Claudins – CLs (Claudins)*

Cross-references

Offner S., et al *Cancer Immunol Immunother.* 2005 May; 54(5):431-45, Suzuki H., et al *Ann N Y Acad Sci.* 2012 Jul;1258:65-70)

35 In humans, 24 members of the family have been described – see literature reference.

(76) EGFR (Epidermal growth factor receptor)

Nucleotide

Genbank accession no. NM_005228

Genbank version no. NM_005228.3 GI:41927737

5 Genbank record update date: Sep 30, 2012 01:47 PM

Polypeptide

Genbank accession no. NP_005219

Genbank version no. NP_005219.2 GI:29725609

10 Genbank record update date: Sep 30, 2012 01:47 PM

Cross-references

Dhomen NS., et al *Crit Rev Oncog.* 2012;17(1):31-50

15 Other information

Official Symbol: EGFR

Other Aliases: ERBB, ERBB1, HER1, PIG61, mENA

Other Designations: avian erythroblastic leukemia viral (v-erb-b) oncogene homolog; cell growth inhibiting protein 40; cell proliferation-inducing protein 61; proto-oncogene c-ErbB-1; 20 receptor tyrosine-protein kinase erbB-1

ANTIBODIES

BMS: Cetuximab (Erbitux) - Broadbridge VT., et al *Expert Rev Anticancer Ther.* 2012 May;12(5):555-65.

25 For example, see US6217866 – ATTC deposit No. 9764.

Amgen: Panitumumab (Vectibix) - Argiles G., et al *Future Oncol.* 2012 Apr;8(4):373-89
For example, see US6235883 SEQ ID NOs: 23-38.

30 Genmab: Zalutumumab - Rivera F., et al *Expert Opin Biol Ther.* 2009 May;9(5):667-74.

YM Biosciences: Nimotuzumab - Ramakrishnan MS., et al *MAbs.* 2009 Jan-Feb;1(1):41-8.
For example, see US5891996 SEQ ID NOs: 27-34.

35 **(77) Her3 (ErbB3) – ERBB3 (v-erb-b2 erythroblastic leukemia viral oncogene homolog 3 (avian))**

Nucleotide

Genbank accession no. M34309

Genbank version no. M34309.1 GI:183990

Genbank record update date: Jun 23, 2010 08:47 PM

5

Polypeptide

Genbank accession no. AAA35979

Genbank version no. AAA35979.1 GI:306841

Genbank record update date: Jun 23, 2010 08:47 PM

10

Cross-references

Plowman, G.D., et al., *Proc. Natl. Acad. Sci. U.S.A.* 87 (13), 4905-4909 (1990)

Other information

15 Official Symbol: ERBB3

Other Aliases: ErbB-3, HER3, LCCS2, MDA-BF-1, c-erbB-3, c-erbB3, erbB3-S, p180-ErbB3, p45-sErbB3, p85-sErbB3

Other Designations: proto-oncogene-like protein c-ErbB-3; receptor tyrosine-protein kinase erbB-3; tyrosine kinase-type cell surface receptor HER3

20

ANTIBODIES

Merimack Pharma : MM-121 (Schoeberl B., et al *Cancer Res.* 2010 Mar 15;70(6):2485-2494)

For example, see US2011028129 SEQ ID NOs: 1, 2, 3, 4, 5, 6, 7 and 8.

25

(78) RON - MST1R (macrophage stimulating 1 receptor (c-met-related tyrosine kinase))

Nucleotide

Genbank accession no. X70040

Genbank version no. X70040.1 GI:36109

30 Genbank record update date: Feb 02, 2011 10:17 PM

Polypeptide

Genbank accession no. CCA49634

Genbank version no. CCA49634.1 GI:36110

35 Genbank record update date: Feb 02, 2011 10:17 PM

Cross-references

Ronsin C., et al *Oncogene* 8 (5), 1195-1202 (1993)

Other information

5 Official Symbol: MST1R
Other Aliases: CD136, CDw136, PTK8, RON
Other Designations: MSP receptor; MST1R variant RON30; MST1R variant RON62; PTK8
protein tyrosine kinase 8; RON variant E2E3; c-met-related tyrosine kinase; macrophage-
stimulating protein receptor; p185-Ron; soluble RON variant 1; soluble RON variant 2;
10 soluble RON variant 3; soluble RONvariant 4

(79) EPHA2 (EPH receptor A2)

Nucleotide

Genbank accession no. BC037166
15 Genbank version no. BC037166.2 GI:33879863
Genbank record update date: Mar 06, 2012 01:59 PM

Polypeptide

Genbank accession no. AAH37166
20 Genbank version no. AAH37166.1 GI:22713539
Genbank record update date: Mar 06, 2012 01:59 PM

Cross-references

Strausberg R.L., et al *Proc. Natl. Acad. Sci. U.S.A.* 99 (26), 16899-16903 (2002)
25
Other information
Official Symbol: EPHA2
Other Aliases: ARCC2, CTPA, CTPP1, ECK
Other Designations: ephrin type-A receptor 2; epithelial cell receptor protein tyrosine kinase;
30 soluble EPHA2 variant 1; tyrosine-protein kinase receptor ECK

ANTIBODIES

Medimmune: 1C1 (Lee JW., et al *Clin Cancer Res.* 2010 May 1;16(9):2562-2570)
For example, see US20090304721A1 Fig. 7 and 8.

35
(80) CD20 – MS4A1 (membrane-spanning 4-domains, subfamily A, member 1)

Nucleotide

Genbank accession no. M27394

Genbank version no. M27394.1 GI:179307

Genbank record update date: Nov 30, 2009 11:16 AM

5

Polypeptide

Genbank accession no. AAA35581

Genbank version no. AAA35581.1 GI:179308

Genbank record update date: Nov 30, 2009 11:16 AM

10

Cross-references

Tedder T.F., et al *Proc. Natl. Acad. Sci. U.S.A.* 85 (1), 208-212 (1988)

Other information

15 Official Symbol: MS4A1

Other Aliases: B1, Bp35, CD20, CVID5, LEU-16, MS4A2, S7

Other Designations: B-lymphocyte antigen CD20; B-lymphocyte cell-surface antigen B1; CD20 antigen; CD20 receptor; leukocyte surface antigen Leu-16

20 **ANTIBODIES**

Genentech/Roche: Rituximab - Abdulla NE., et al *BioDrugs*. 2012 Apr 1;26(2):71-82.

For example, see US5736137, ATCC deposit No. HB-69119.

GSK/Genmab: Ofatumumab - Nightingale G., et al *Ann Pharmacother*. 2011

25 Oct;45(10):1248-55.

For example, see US20090169550A1 SEQ ID NOs: 2, 4 and 5.

Immunomedics: Veltuzumab - Goldenberg DM., et al *Leuk Lymphoma*. 2010 May;51(5):747-55.

30 For example, see US7919273B2 SEQ ID NOs: 1, 2, 3, 4, 5 and 6.

(81) Tenascin C – TNC (Tenascin C)Nucleotide

Genbank accession no. NM_002160

35 Genbank version no. NM_002160.3 GI:340745336

Genbank record update date: Sep 23, 2012 02:33 PM

Polypeptide

Genbank accession no. NP_002151

Genbank version no. NP_002151.2 GI:153946395

5 Genbank record update date: Sep 23, 2012 02:33 PM

Cross-references

Nies D.E., et al *J. Biol. Chem.* 266 (5), 2818-2823 (1991); Siri A., et al *Nucleic Acids Res.* 19 (3), 525-531 (1991)

10

Other information

Official Symbol: TNC

Other Aliases: 150-225, GMEM, GP, HXB, JI, TN, TN-C

Other Designations: GP 150-225; cytotactin; glioma-associated-extracellular matrix antigen;

15 hexabrachion (tenascin); myotendinous antigen; neuronectin; tenascin; tenascin-C isoform
14/AD1/16

ANTIBODIES

Philogen : G11 (von Lukowicz T., et al *J Nucl Med.* 2007 Apr;48(4):582-7) and F16 (Pedretti

20 M., et al *Lung Cancer.* 2009 Apr;64(1):28-33)

For example, see US7968685 SEQ ID NOs: 29, 35, 45 and 47.

(82) FAP (Fibroblast activation protein, alpha)Nucleotide

25 Genbank accession no. U09278

Genbank version no. U09278.1 GI:1888315

Genbank record update date: Jun 23, 2010 09:22 AM

Polypeptide

30 Genbank accession no. AAB49652

Genbank version no. AAB49652.1 GI:1888316

Genbank record update date: Jun 23, 2010 09:22 AM

Cross-references

35 Scanlan,M.J.,et al *Proc. Natl. Acad. Sci. U.S.A.* 91 (12), 5657-5661 (1994)

Other information

Official Symbol: FAP

Other Aliases: DPPIV, FAPA

Other Designations: 170 kDa melanoma membrane-bound gelatinase; integral membrane

5 serine protease; seprase

(83) DKK-1 (Dickkopf 1 homolog (*Xenopus laevis*)

Nucleotide

Genbank accession no. NM_012242

10 Genbank version no. NM_012242.2 GI:61676924

Genbank record update date: Sep 30, 2012 01:48 PM

Polypeptide

Genbank accession no. NP_036374

15 Genbank version no. NP_036374.1 GI:7110719

Genbank record update date: Sep 30, 2012 01:48 PM

Cross-references

Fedi P. et al *J. Biol. Chem.* 274 (27), 19465-19472 (1999)

20

Other information

Official Symbol: DKK1

Other Aliases: UNQ492/PRO1008, DKK-1, SK

Other Designations: dickkopf related protein-1; dickkopf-1 like; dickkopf-like protein 1;

25 dickkopf-related protein 1; hDkk-1

ANTIBODIES

Novartis: BHQ880 (Fulciniti M., et al *Blood*. 2009 Jul 9;114(2):371-379)

For example, see US20120052070A1 SEQ ID NOs: 100 and 108.

30

(84) CD52 (CD52 molecule)

Nucleotide

Genbank accession no. NM_001803

Genbank version no. NM_001803.2 GI:68342029

35 Genbank record update date: Sep 30, 2012 01:48 PM

Polypeptide

Genbank accession no. NP_001794

Genbank version no. NP_001794.2 GI:68342030

Genbank record update date: Sep 30, 2012 01:48 PM

5

Cross-references

Xia M.Q., et al *Eur. J. Immunol.* 21 (7), 1677-1684 (1991)

Other information

10 Official Symbol: CD52

Other Aliases: CDW52

Other Designations: CAMPATH-1 antigen; CD52 antigen (CAMPATH-1 antigen); CDW52 antigen (CAMPATH-1 antigen); cambridge pathology 1 antigen; epididymal secretory protein E5; he5; human epididymis-specific protein 5

15

ANTIBODIES

Alemtuzumab (Campath) - Skoetz N., et al *Cochrane Database Syst Rev.* 2012 Feb 15;2:CD008078.

For example, see Drugbank Acc. No. DB00087 (BIOD00109, BTD00109)

20

(85) CS1 - SLAMF7 (SLAM family member 7)

Nucleotide

Genbank accession no. NM_021181

Genbank version no. NM_021181.3 GI:1993571

25 Genbank record update date: Jun 29, 2012 11:24 AM

Polypeptide

Genbank accession no. NP_067004

Genbank version no. NP_067004.3 GI:19923572

30 Genbank record update date: Jun 29, 2012 11:24 AM

Cross-references

Boles K.S., et al *Immunogenetics* 52 (3-4), 302-307 (2001)

35 Other information

Official Symbol: SLAMF7

Other Aliases: UNQ576/PRO1138, 19A, CD319, CRACC, CS1

Other Designations: 19A24 protein; CD2 subset 1; CD2-like receptor activating cytotoxic cells; CD2-like receptor-activating cytotoxic cells; membrane protein FOAP-12; novel LY9 (lymphocyte antigen 9) like protein; protein 19A

5

ANTIBODIES

BMS: elotuzumab/HuLuc63 (Benson DM., et al *J Clin Oncol.* 2012 Jun 1;30(16):2013-2015)

For example, see US20110206701 SEQ ID NOs: 9, 10, 11, 12, 13, 14, 15 and 16.

10 **(86) Endoglin – ENG (Endoglin)**

Nucleotide

Genbank accession no. AF035753

Genbank version no. AF035753.1 GI:3452260

Genbank record update date: Mar 10, 2010 06:36 PM

15

Polypeptide

Genbank accession no. AAC32802

Genbank version no. AAC32802.1 GI:3452261

Genbank record update date: Mar 10, 2010 06:36 PM

20

Cross-references

Rius C., et al *Blood* 92 (12), 4677-4690 (1998)

Official Symbol: ENG

25 **Other information**

Other Aliases: RP11-228B15.2, CD105, END, HHT1, ORW, ORW1

Other Designations: CD105 antigen

(87) Annexin A1 – ANXA1 (Annexin A1)

30 **Nucleotide**

Genbank accession no. X05908

Genbank version no. X05908.1 GI:34387

Genbank record update date: Feb 02, 2011 10:02 AM

35 **Polypeptide**

Genbank accession no. CCA29338

Genbank version no. CCA29338.1 GI:34388

Genbank record update date: Feb 02, 2011 10:02 AM

Cross-references

5 Wallner B.P., et al *Nature* 320 (6057), 77-81 (1986)

Other information

Official Symbol: ANXA1

Other Aliases: RP11-71A24.1, ANX1, LPC1

10 Other Designations: annexin I (lipocortin I); annexin-1; calpactin II; calpactin-2; chromobindin-9; lipocortin I; p35; phospholipase A2 inhibitory protein

(88) V-CAM (CD106) - VCAM1 (Vascular cell adhesion molecule 1)

Nucleotide

15 Genbank accession no. M60335

Genbank version no. M60335.1 GI:340193

Genbank record update date: Jun 23, 2010 08:56 AM

Polypeptide

20 Genbank accession no. AAA61269

Genbank version no. AAA61269.1 GI:340194

Genbank record update date: Jun 23, 2010 08:56 AM

Cross-references

25 Hession C., et al *J. Biol. Chem.* 266 (11), 6682-6685 (1991)

Other information

Official Symbol VCAM1

Other Aliases: CD106, INCAM-100

30 Other Designations: CD106 antigen; vascular cell adhesion protein 1

Antibody Sequences

Anti-Integrin αvβ6

RHAB6.2

QVQLVQSGSELKKPGASVKISCKASGFAFTDSYMHWVRQAPGQGLEWMGWIDPENGDE
YAPKFQGRFVFSLDTSVSTAYLQISSLKAEDTAVYYCTRGTPAVPNLRGDLQVLAQKVAG
PYPDFYWGQGTLTVSS

5 RHCB6.2

QVQLVQSGAEVKKPGASVKVSCKASGYTFIDSYMHWVRQAPGQRLEWMGWIDPENGDE
YAPKFQGRVTITTDTSASTAYMELSSLRSEDTAVYYCARGTPAVPNLRGDLQVLAQKVAG
PYPDFYWGQGTLTVSS

10 RHF

QVQLVQSGAEVKKPGASVKVSCKASGFNFIDSYMHWVRQAPGQRLEWMGWIDPENGDT
EYAPKFQGRVTFTTDTSASTAYMELSSLRSEDTAVYYCNEGTPGPYYFDYWGQGTLTV
SS

15 RHFB6

QVQLVQSGAEVKKPGASVKVSCKASGFNFIDSYMHWVRQAPGQRLEWMGWIDPENGDT
EYAPKFQGRVTFTTDTSASTAYMELSSLRSEDTAVYYCNEGTPAVPNLRGDLQVLAQKVA
GPYYFDYWGQGTLTVSS

20 RHAY100bP

QVQLVQSGSELKKPGASVKISCKASGFAFTDSYMHWVRQAPGQGLEWMGWIDPENGDE
YAPKFQGRFVFSLDTSVSTAYLQISSLKAEDTAVYYCTRGTPGPYPFDYWGQGTLTVSS

RKF

25 ENVLTQSPGTLSLSPGERATLSCSASSSVSYMHWLQQKPGQAPRLLIYSTSNLASGIPDRF
SGSGSGTDFTLTISRLEPEDFAVYYCQQRSSYPLTFGGGTKVEIK

RKFL36L50

ENVLTQSPGTLSLSPGERATLSCSASSSVSYMHWLQQKPGQAPRLLIYSTSNLASGIPDRF
30 SGSGSGTDFTLTISRLEPEDFAVYYCQQRSSYPLTFGGGTKVEIK

RKC

EIVLTQSPGTLSLSPGERATLSCSASSSVSYMHWLQQKPGQAPRLLIYSTSNLASGIPDRFS
GSGSGTDFTLTISRLEPEDFAVYYCQQRSSYPLTFGGGTKVEIK

35

Anti-CD33

CD33 Hum195 VH

QVQLVQSGAEVKKPGSSVKVSCKASGYTFTDYNMHWVRQAPGQGLEWIGYIYPYNGGTG
YNQKFKSKATITADESTNTAYMELSSLRSEDTAVYYCARGRPAMDYWGQGTLTVSS

5 CD33 Hum195 VK

DIQMTQSPSSLSASVGDRVTITCRASESVDNYGISFMNWFQQKPGKAPKLLIYAAASNQGSG
VPSRFSGGSGSGTDFLTISLQPDDFATYYCQQSKEVPWTFGQGKVEIK

*Anti-CD19*10 CD19 B4 resurfaced VH

QVQLVQPGAEVVKPGASVKLSCKTSGYTFTSNWMHWVKQRPGQGLEWIGEIDPSDSYTN
YNQNFKGKAKLTVDKSTSTAYMEVSSLRSDDTAVYYCARGSNPYYYAMDYWGQGTSVTV
SS

15 CD19 B4 resurfaced VK

EIVLTQSPAAMSASPGERVTMTCASSGVNYMHWYQQKPGTSPRRWIYDTSKLASGVPAR
FSGSGSGTSYSLTISSMEPEDAATYYCHQRGSYTFGGGKLEIK

*Anti-Her2*20 Herceptin VH chain

EVQLVESGGGLVQPGGSLRLSCAASGFNIKDTYIHWVRQAPGKGLEWVARIYPTNGYTRY
ADSVKGRFTISADTSKNTAYLQMNSLRAEDTAVYYCSRWGGDGFYAMDYWGQGTLTVS
S

25 Herceptin VL chain

DIQMTQSPSSLSASVGDRVTITCRASQDVNTAVAWYQQKPGKAPKLLIYSASFLYSGVPSR
FSGSRSGTDFLTISLQPEDFATYYCQQHYTTPPTFGQGKVEIK

*Anti-CD25*Simulect VK (also known as Basiliximab)30 QIVSTQSPAAMSASPGEKVTMTCASSSRSYMQWYQQKPGTSPKRWIYDTSKLASGVPAR
FSGSGSGTSYSLTISSMEAEDAATYYCHQRSSYTFGGGKLEIKSimulect VH35 QLQQSGTQLARPGASVKMSCKASGYSFTRYWMHWIKQRPGQGLEWIGAIYPGNSDTSYN
QKFEGKAKLTAVTSASTAYMELSSLTHEDSAVYYCSR DYGYYFDFWGQGTTLVSS

*Anti-PSMA*Deimmunised VH '1

EVQLVQSGPEVKPGATVKISCKTSGYTFETYIHWVKQAPGKGLEWIGNINPNNGGTTYN
QKFEDKATLTVDKSTDAYMELSSLRSEDTAVYYCAAGWNFDYWGQGTLTVSS

5

Deimmunised VK '1

DIQMTQSPSSLSTSVGDRVLTCKASQDVGTAVDWYQQKPGPSPKLLIYWASTRHTGIPSR
FSGSGSGTDFLTISLQPEDFADYYCQQYNSYPLTFGPGTKVDIK

10 Deimmunised VH1 '5

EVKLVESGGGLVQPGGSMKLSVASGFTFSNYWMNWVRQAPGKGLEWVAEIRSQSNNF
ATHYAESVKGRVTISRDDSKSIVYLQMNNLRAEDTGVYYCTRRWNNFWGQGTTVTVSS

Deimmunised VH2 '515 EVKLVESGGGLVQPGGSLKLSVASGFTFSNYWMNWVRQAPGKGLEWVAEIRSQSNNFA
THYAESVKGRVTISRDDSKSIVYLQMNNLRAEDTAVYYCTRRWNNFWGQGTTVTVSSDeimmunised VH3 '520 EVKLVESGGGLVQPGGSLKLSVASGFTFSNYWMNWVRQAPGKGLEWVAEIRSQSNNFA
THYAESVKGRVTISRDDSKSIVYLQMNNLRAEDTAVYYCTRRWNNFWGQGTTVTVSSDeimmunised VH4 '525 EVKLVESGGGLVQPGGSLKLSVASGFTFSNYWMNWVRQAPGKGLEWVAEIRSQSNNFA
THYAESVKGRFTISRDDSKSIVYLQMNNLRAEDTAVYYCTRRWNNFWGQGTTVTVSSDeimmunised VK1 '5

NIVMTQFPSSMSASVGDRVTITCKASENVGTYVSWYQQKPDQSPKMLIYGASNRTGVPD
RFTGSGSATDFTLTISLQTEDLADYYCGQSYTFPYTFGQGKLEMK

30 Deimmunised VK2 '5

NIVMTQFPSSMSASVGDRVTITCKASENVGTYVSWYQQKPDQSPKMLIYGASNRTGVPD
RFSGSGSGTDFLTISLQAEDLADYYCGQSYTFPYTFGQGKLEMK

Deimmunised VK3 '535 NIQMTQFPSAMSASVGDRVTITCKASENVGTYVSWYQQKPDQSPKMLIYGASNRTGVPD
RFSGSGSGTDFLTISLQAEDLADYYCGQSYTFPYTFGQGKLEMK

Deimmunised VK4 '5

NIQMTQFPSAMSASVGDRVITCKASENVGTYVSWYQQKPDQSPKMLIYGASNRTGVPD
RFSGSGSGTDFTLTISSLQAEDEADYYCGQSYTFPYTFQGQGTKLEIK

5

Deimmunised VK DI '5

NIVMTQFPKSMSASAGERMLTCKASENVGTYVSWYQQKPTQSPKMLIYGASNRTGVPD
RFSGSGSGTDFILTISSVQAEDLVDYYCGQSYTFPYTFGGGTKLEMK

10 Deimmunised VH DI '5

EVKLEESGGGLVQPGGSMKISCVASGFTFSNYWMNWVRQSQEKGLEWVAEIRSQSNNFA
THYAESVKGRVIISRDDSKSSVYLQMNSLRAEDTAVYYCTRRWNNFWGQGTTVTVSS

Humanised RHA '515 EVQLVESGGGLVQPGGSLKLSCAASGFTFSNYWMNWVRQASGKGLEWVGEIRSQSNNFA
THYAESVKGRFTISRDDSKNTAYLQMNSLKTEDTAVYYCTRRWNNFWGQGTTVTVSSHumanised RHB '520 EVQLVESGGGLVQPGGSLKLSCAASGFTFSNYWMNWVRQASGKGLEWVAEIRSQSNNFA
THYAESVKGRVIISRDDSKNTVYLQMNSLRTEDTAVYYCTRRWNNFWGQGTTVTVSSHumanised RHC '525 EVQLVESGGGLVQPGGSLKLSCAASGFTFSNYWMNWVRQASGKGLEWVGEIRSQSNNFA
THYAESVKGRVIISRDDSKNTVYLQMNSLRTEDTAVYYCTRRWNNFWGQGTTVTVSSHumanised RHD '5EVKLVESGGGLVQPGGSLKLSCAASGFTFSNYWMNWVRQASGKGLEWVGEIRSQSNNFA
THYAESVKGRVIISRDDSKNTVYLQMNSLRTEDTAVYYCTRRWNNFWGQGTTVTVSS30 Humanised RHE '5

EVKLVESGGGLVQPGGSLKLSCAASGFTFSNYWMNWVRQASGKGLEWVAEIRSQSNNFA
THYAESVKGRFTISRDDSKNTVYLQMNSLRTEDTAVYYCTRRWNNFWGQGTTVTVSS

Humanised RHF '535 EVKLVESGGGLVQPGGSLKLSCAASGFTFSNYWMNWVRQASGKGLEWVAEIRSQSNNFA
THYAESVKGRVIISRDDSKNTAYLQMNSLRTEDTAVYYCTRRWNNFWGQGTTVTVSS

Humanised RHG '5

EVKLVESGGGLVQPGGSLKLSCAASGFTFSNYWMNWVRQASGKGLEWVAEIRSQSNNFA
THYAESVKGRVII SRDDSKNTAYLQMNSLRTEDTAVYYCTRRWNNFWGQGTTVTVSS

5

Humanised RKA '5

DIQMTQSPSSVSASVGDRVITCKASENVGTYVSWYQQKPGTAPKLLIYGASNRTGVPSR
FSGSGSATDFTLTINNLQPEDFATYYCGQSYTFPYTFGQGKVEIK

10 Humanised RKB '5

DIQMTQSPSSVSASVGDRVITCKASENVGTYVSWYQQKPGTAPKLLIYGASNRTGVPSR
FSGSGSATDFTLTINNLQPEDFATYYCGQSYTFPYTFGQGKVEIK

Humanised RKC '515

DIQMTQSPSSVSASVGDRVITCKASENVGTYVSWYQQKPGTAPKMLIYGASNRTGVPS
RFSGSGSATDFTLTINNLQPEDFATYYCGQSYTFPYTFGQGKVEIK

Humanised RKD '520

DIQMTQSPSSVSASVGDRVITCKASENVGTYVSWYQQKPGTAPKMLIYGASNRTGVPS
RFSGSGSATDFTLTINNLQPEDFATYYCGQSYTFPYTFGQGKVEIK

Humanised RKE '525

NIVMTQSPSSVSASVGDRVITCKASENVGTYVSWYQQKPGTAPKMLIYGASNRTGVPSR
FTGSGSATDFILTINNLQPEDFATYYCGQSYTFPYTFGQGKVEIK

Humanised RKF '5

30 NIVMTQSPSSVSASVGDRVITCKASENVGTYVSWYQQKPGTAPKMLIYGASNRTGVPSR
FTGSGSATDFILTINNLQPEDFATYYCGQSYTFPYTFGQGKVEIK

Humanised RKG '5

NIVMTQSPSSVSASVGDRVITCKASENVGTYVSWYQQKPGTAPKMLIYGASNRTGVPSR
FTGSGSATDFTLTINNLQPEDFATYYCGQSYTFPYTFGQGKVEIK

35 The parent antibody may also be a fusion protein comprising an albumin-binding peptide (ABP) sequence (Dennis *et al.* (2002) "Albumin Binding As A General Strategy For

Improving The Pharmacokinetics Of Proteins" *J Biol Chem.* 277:35035-35043; WO 01/45746). Antibodies of the invention include fusion proteins with ABP sequences taught by: (i) Dennis *et al* (2002) *J Biol Chem.* 277:35035-35043 at Tables III and IV, page 35038; (ii) US 2004/0001827 at [0076]; and (iii) WO 01/45746 at pages 12-13, and all of which are 5 incorporated herein by reference.

In one embodiment, the antibody has been raised to target specific the tumour related antigen $\alpha_v\beta_6$.

10 The cell binding agent may be labelled, for example to aid detection or purification of the agent either prior to incorporation as a conjugate, or as part of the conjugate. The label may be a biotin label. In another embodiment, the cell binding agent may be labelled with a radioisotope.

15 Embodiments of the present invention include ConjA wherein the cell binding agent is selected from an antibody to any of the antigens discussed above.

Embodiments of the present invention include ConjB wherein the cell binding agent is selected from an antibody to any of the antigens discussed above.

20 Embodiments of the present invention include ConjA wherein the cell binding agent is selected from any of the antibodies discussed above.

25 Embodiments of the present invention include ConjB wherein the cell binding agent is selected from any of the antibodies discussed above.

The present invention may also relate to conjugates where the cell binding agent is selected from an antibody to any of the antigens discussed above and any of the antibodies discussed above linked to different drugs.

30 *Drug loading*

The drug loading is the average number of PBD drugs per cell binding agent, e.g. antibody. Where the compounds of the invention are bound to cysteines, drug loading may range from 1 to 8 drugs (D) per cell binding agent, i.e. where 1, 2, 3, 4, 5, 6, 7, and 8 drug moieties are 35 covalently attached to the cell binding agent. Compositions of conjugates include collections of cell binding agents, e.g. antibodies, conjugated with a range of drugs, from 1 to 8.

Where the compounds of the invention are bound to lysines, drug loading may range from 1 to 80 drugs (D) per cell binding agent, although an upper limit of 40, 20, 10 or 8 may be preferred. Compositions of conjugates include collections of cell binding agents, e.g. antibodies, conjugated with a range of drugs, from 1 to 80, 1 to 40, 1 to 20, 1 to 10 or 1 to 8.

5

The average number of drugs per antibody in preparations of ADC from conjugation reactions may be characterized by conventional means such as UV, reverse phase HPLC, HIC, mass spectroscopy, ELISA assay, and electrophoresis. The quantitative distribution of ADC in terms of p may also be determined. By ELISA, the averaged value of p in a particular preparation of ADC may be determined (Hamblett et al (2004) Clin. Cancer Res. 10:7063-7070; Sanderson et al (2005) Clin. Cancer Res. 11:843-852). However, the distribution of p (drug) values is not discernible by the antibody-antigen binding and detection limitation of ELISA. Also, ELISA assay for detection of antibody-drug conjugates does not determine where the drug moieties are attached to the antibody, such as the heavy chain or light chain fragments, or the particular amino acid residues. In some instances, separation, purification, and characterization of homogeneous ADC where p is a certain value from ADC with other drug loadings may be achieved by means such as reverse phase HPLC or electrophoresis. Such techniques are also applicable to other types of conjugates.

20 For some antibody-drug conjugates, p may be limited by the number of attachment sites on the antibody. For example, an antibody may have only one or several cysteine thiol groups, or may have only one or several sufficiently reactive thiol groups through which a linker may be attached. Higher drug loading, e.g. p > 5, may cause aggregation, insolubility, toxicity, or loss of cellular permeability of certain antibody-drug conjugates.

25

Typically, fewer than the theoretical maximum of drug moieties are conjugated to an antibody during a conjugation reaction. An antibody may contain, for example, many lysine residues that do not react with the drug-linker intermediate (D-L) or linker reagent. Only the most reactive lysine groups may react with an amine-reactive linker reagent. Also, only the most reactive cysteine thiol groups may react with a thiol-reactive linker reagent. Generally, antibodies do not contain many, if any, free and reactive cysteine thiol groups which may be linked to a drug moiety. Most cysteine thiol residues in the antibodies of the compounds exist as disulfide bridges and must be reduced with a reducing agent such as dithiothreitol (DTT) or TCEP, under partial or total reducing conditions. The loading (drug/antibody ratio) of an ADC may be controlled in several different manners, including: (i) limiting the molar excess of drug-linker intermediate (D-L) or linker reagent relative to antibody, (ii) limiting the

conjugation reaction time or temperature, and (iii) partial or limiting reductive conditions for cysteine thiol modification.

Certain antibodies have reducible interchain disulfides, i.e. cysteine bridges. Antibodies may 5 be made reactive for conjugation with linker reagents by treatment with a reducing agent such as DTT (dithiothreitol). Each cysteine bridge will thus form, theoretically, two reactive thiol nucleophiles. Additional nucleophilic groups can be introduced into antibodies through the reaction of lysines with 2-iminothiolane (Traut's reagent) resulting in conversion of an amine into a thiol. Reactive thiol groups may be introduced into the antibody (or fragment 10 thereof) by engineering one, two, three, four, or more cysteine residues (e.g., preparing mutant antibodies comprising one or more non-native cysteine amino acid residues). US 7521541 teaches engineering antibodies by introduction of reactive cysteine amino acids.

Cysteine amino acids may be engineered at reactive sites in an antibody and which do not 15 form intrachain or intermolecular disulfide linkages (Junutula, et al., 2008b *Nature Biotech.*, 26(8):925-932; Dornan et al (2009) *Blood* 114(13):2721-2729; US 7521541; US 7723485; WO2009/052249). The engineered cysteine thiols may react with linker reagents or the drug-linker reagents of the present invention which have thiol-reactive, electrophilic groups such as maleimide or alpha-halo amides to form ADC with cysteine engineered antibodies 20 and the PBD drug moieties. The location of the drug moiety can thus be designed, controlled, and known. The drug loading can be controlled since the engineered cysteine thiol groups typically react with thiol-reactive linker reagents or drug-linker reagents in high yield. Engineering an IgG antibody to introduce a cysteine amino acid by substitution at a single site on the heavy or light chain gives two new cysteines on the symmetrical antibody. 25 A drug loading near 2 can be achieved with near homogeneity of the conjugation product ADC.

Where more than one nucleophilic or electrophilic group of the antibody reacts with a drug-linker intermediate, or linker reagent followed by drug moiety reagent, then the resulting 30 product is a mixture of ADC compounds with a distribution of drug moieties attached to an antibody, e.g. 1, 2, 3, etc. Liquid chromatography methods such as polymeric reverse phase (PLRP) and hydrophobic interaction (HIC) may separate compounds in the mixture by drug loading value. Preparations of ADC with a single drug loading value (p) may be isolated, however, these single loading value ADCs may still be heterogeneous mixtures because the 35 drug moieties may be attached, via the linker, at different sites on the antibody.

Thus the antibody-drug conjugate compositions of the invention include mixtures of antibody-drug conjugate compounds where the antibody has one or more PBD drug moieties and where the drug moieties may be attached to the antibody at various amino acid residues.

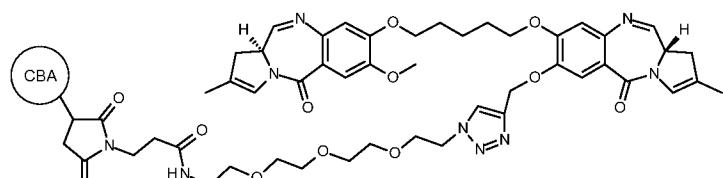
5

In one embodiment, the average number of dimer pyrrolobenzodiazepine groups per cell binding agent is in the range 1 to 20. In some embodiments the range is selected from 1 to 8, 2 to 8, 2 to 6, 2 to 4, and 4 to 8.

10 In some embodiments, there is one dimer pyrrolobenzodiazepine group per cell binding agent.

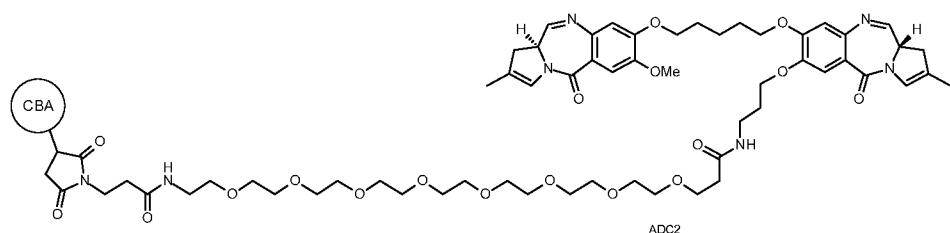
Preferred Compounds

Particularly preferred compounds of the second aspect of the present invention include:



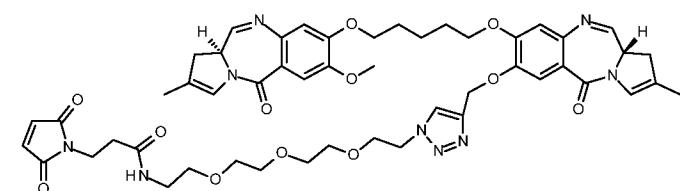
ADC1

15

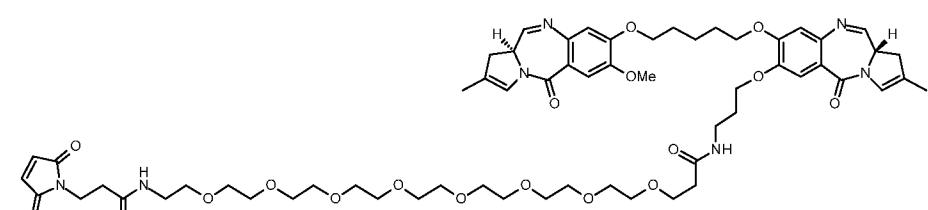


ADC2

Particularly preferred compounds of the second aspect of the present invention include:



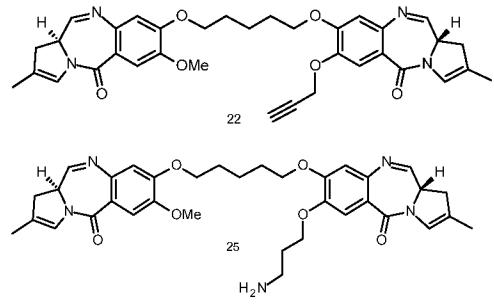
1



2

20

Particularly preferred compounds of the third aspect of the present invention include:



5

Substituents

The phrase “optionally substituted” as used herein, pertains to a parent group which may be unsubstituted or which may be substituted.

10 Unless otherwise specified, the term “substituted” as used herein, pertains to a parent group which bears one or more substituents. The term “substituent” is used herein in the conventional sense and refers to a chemical moiety which is covalently attached to, or if appropriate, fused to, a parent group. A wide variety of substituents are well known, and methods for their formation and introduction into a variety of parent groups are also well

15 known.

In a preferred embodiment, the substituents described herein (which include optional substituents) are limited to those groups that are not reactive to a cell binding agent. The link to the cell binding agent in the present case is formed from the bridge between the two PBD moieties through a linker group to the cell binding agent. Reactive functional groups located at other parts of the PBD structure may be capable of forming additional bonds to the cell binding agent (this may be referred to as crosslinking). These additional bonds may alter transport and biological activity of the conjugate. Therefore, in some embodiment, the additional substituents are limited to those lacking reactive functionality.

25

In one embodiment, the substituents are selected from the group consisting of R, OR, SR, NRR', NO₂, halo, CO₂R, COR, CONH₂, CONHR, and CONRR'.

In one embodiment, the substituents are selected from the group consisting of R, OR, SR, NRR', NO₂, CO₂R, COR, CONH₂, CONHR, and CONRR'.

30 In one embodiment, the substituents are selected from the group consisting of R, OR, SR, NRR', NO₂, and halo.

In one embodiment, the substituents are selected from the group consisting of R, OR, SR, NRR', and NO₂.

Any one of the embodiment mentioned above may be applied to any one of the substituents described herein. Alternatively, the substituents may be selected from one or more of the 5 groups listed below.

Examples of substituents are described in more detail below.

C₁₋₁₂ alkyl: The term "C₁₋₁₂ alkyl" as used herein, pertains to a monovalent moiety obtained 10 by removing a hydrogen atom from a carbon atom of a hydrocarbon compound having from 1 to 12 carbon atoms, which may be aliphatic or alicyclic, and which may be saturated or unsaturated (e.g. partially unsaturated, fully unsaturated). Thus, the term "alkyl" includes the sub-classes alkenyl, alkynyl, cycloalkyl, etc., discussed below.

15 Examples of saturated alkyl groups include, but are not limited to, methyl (C₁), ethyl (C₂), propyl (C₃), butyl (C₄), pentyl (C₅), hexyl (C₆) and heptyl (C₇).

Examples of saturated linear alkyl groups include, but are not limited to, methyl (C₁), ethyl (C₂), n-propyl (C₃), n-butyl (C₄), n-pentyl (amyl) (C₅), n-hexyl (C₆) and n-heptyl (C₇). 20

Examples of saturated branched alkyl groups include iso-propyl (C₃), iso-butyl (C₄), sec-butyl (C₄), tert-butyl (C₄), iso-pentyl (C₅), and neo-pentyl (C₅).

25 An alkyl group may optionally be interrupted by one or more heteroatoms selected from O, N(H) and S. Such groups may be referred to as "heteroalkyl".

C₂₋₁₂ Heteroalkyl: The term "C₂₋₁₂ heteroalkyl" as used herein, pertains to a monovalent moiety obtained by removing a hydrogen atom from a carbon atom of a hydrocarbon compound having from 2 to 12 carbon atoms, and one or more heteroatoms selected from 30 O, N(H) and S, preferably O and S.

35 Examples of heteroalkyl groups include, but are not limited to those comprising one or more ethylene glycol units of the type -(OCH₂CH₂)-. The terminal of a heteroalkyl group may be the primary form of a heteroatom, e.g. -OH, -SH or -NH₂. In a preferred embodiment, the terminal is -CH₃.

C₂₋₁₂ Alkenyl: The term “C₂₋₁₂ alkenyl” as used herein, pertains to an alkyl group having one or more carbon-carbon double bonds.

Examples of unsaturated alkenyl groups include, but are not limited to, ethenyl (vinyl,

5 -CH=CH₂), 1-propenyl (-CH=CH-CH₃), 2-propenyl (allyl, -CH-CH=CH₂), isopropenyl (1-methylvinyl, -C(CH₃)=CH₂), butenyl (C₄), pentenyl (C₅), and hexenyl (C₆).

C₂₋₁₂ alkynyl: The term “C₂₋₁₂ alkynyl” as used herein, pertains to an alkyl group having one or more carbon-carbon triple bonds.

10

Examples of unsaturated alkynyl groups include, but are not limited to, ethynyl (-C≡CH) and 2-propynyl (propargyl, -CH₂-C≡CH).

C₃₋₁₂ cycloalkyl: The term “C₃₋₁₂ cycloalkyl” as used herein, pertains to an alkyl group which

15 is also a cyclol group; that is, a monovalent moiety obtained by removing a hydrogen atom from an alicyclic ring atom of a cyclic hydrocarbon (carbocyclic) compound, which moiety has from 3 to 7 carbon atoms, including from 3 to 7 ring atoms.

Examples of cycloalkyl groups include, but are not limited to, those derived from:

20 saturated monocyclic hydrocarbon compounds:

cyclopropane (C₃), cyclobutane (C₄), cyclopentane (C₅), cyclohexane (C₆), cycloheptane (C₇), methylcyclopropane (C₄), dimethylcyclopropane (C₅), methylcyclobutane (C₅), dimethylcyclobutane (C₆), methylcyclopentane (C₆), dimethylcyclopentane (C₇) and methylcyclohexane (C₇);

25 unsaturated monocyclic hydrocarbon compounds:

cyclopropene (C₃), cyclobutene (C₄), cyclopentene (C₅), cyclohexene (C₆), methylcyclopropene (C₄), dimethylcyclopropene (C₅), methylcyclobutene (C₅), dimethylcyclobutene (C₆), methylcyclopentene (C₆), dimethylcyclopentene (C₇) and methylcyclohexene (C₇); and

30 saturated polycyclic hydrocarbon compounds:

norcarane (C₇), norpinane (C₇), norbornane (C₇).

C₃₋₂₀ heterocyclol: The term “C₃₋₂₀ heterocyclol” as used herein, pertains to a monovalent moiety obtained by removing a hydrogen atom from a ring atom of a heterocyclic compound,

35 which moiety has from 3 to 20 ring atoms, of which from 1 to 10 are ring heteroatoms.

Preferably, each ring has from 3 to 7 ring atoms, of which from 1 to 4 are ring heteroatoms.

In this context, the prefixes (e.g. C₃₋₂₀, C₃₋₇, C₅₋₆, etc.) denote the number of ring atoms, or range of number of ring atoms, whether carbon atoms or heteroatoms. For example, the term “C₅₋₆heterocycl”, as used herein, pertains to a heterocycl group having 5 or 6 ring atoms.

Examples of monocyclic heterocycl groups include, but are not limited to, those derived from:

N₁: aziridine (C₃), azetidine (C₄), pyrrolidine (tetrahydropyrrole) (C₅), pyrroline (e.g., 10 3-pyrroline, 2,5-dihydropyrrole) (C₅), 2H-pyrrole or 3H-pyrrole (isopyrrole, isoazole) (C₅), piperidine (C₆), dihydropyridine (C₆), tetrahydropyridine (C₆), azepine (C₇);

O₁: oxirane (C₃), oxetane (C₄), oxolane (tetrahydrofuran) (C₅), oxole (dihydrofuran) (C₅), oxane (tetrahydropyran) (C₆), dihydropyran (C₆), pyran (C₆), oxepin (C₇);

S₁: thiirane (C₃), thietane (C₄), thiolane (tetrahydrothiophene) (C₅), thiane (15 tetrahydrothiopyran) (C₆), thiepane (C₇);

O₂: dioxolane (C₅), dioxane (C₆), and dioxepane (C₇);

O₃: trioxane (C₆);

N₂: imidazolidine (C₅), pyrazolidine (diazolidine) (C₅), imidazoline (C₅), pyrazoline (dihydropyrazole) (C₅), piperazine (C₆);

20 N₁O₁: tetrahydrooxazazole (C₅), dihydrooxazole (C₅), tetrahydroisoxazole (C₅), dihydroisoxazole (C₅), morpholine (C₆), tetrahydrooxazine (C₆), dihydrooxazine (C₆), oxazine (C₆);

N₁S₁: thiazoline (C₅), thiazolidine (C₅), thiomorpholine (C₆);

N₂O₁: oxadiazine (C₆);

25 O₁S₁: oxathiole (C₅) and oxathiane (thioxane) (C₆); and,

N₁O₁S₁: oxathiazine (C₆).

Examples of substituted monocyclic heterocycl groups include those derived from saccharides, in cyclic form, for example, furanoses (C₅), such as arabinofuranose, 30 lyxofuranose, ribofuranose, and xylofuranose, and pyranoses (C₆), such as allopyranose, altropyranose, glucopyranose, mannopyranose, gulopyranose, idopyranose, galactopyranose, and talopyranose.

C₅₋₂₀ aryl: The term “C₅₋₂₀ aryl”, as used herein, pertains to a monovalent moiety obtained by 35 removing a hydrogen atom from an aromatic ring atom of an aromatic compound, which moiety has from 3 to 20 ring atoms. Preferably, each ring has from 5 to 7 ring atoms.

In this context, the prefixes (e.g. C₃₋₂₀, C₅₋₇, C₅₋₆, etc.) denote the number of ring atoms, or range of number of ring atoms, whether carbon atoms or heteroatoms. For example, the term "C₅₋₆ aryl" as used herein, pertains to an aryl group having 5 or 6 ring atoms.

5

The ring atoms may be all carbon atoms, as in "carboaryl groups".

Examples of carboaryl groups include, but are not limited to, those derived from benzene (i.e. phenyl) (C₆), naphthalene (C₁₀), azulene (C₁₀), anthracene (C₁₄), phenanthrene (C₁₄), naphthacene (C₁₈), and pyrene (C₁₆).

10

Examples of aryl groups which comprise fused rings, at least one of which is an aromatic ring, include, but are not limited to, groups derived from indane (e.g. 2,3-dihydro-1H-indene) (C₉), indene (C₉), isoindene (C₉), tetraline (1,2,3,4-tetrahydronaphthalene) (C₁₀), acenaphthene (C₁₂), fluorene (C₁₃), phenalene (C₁₃), acephenanthrene (C₁₅), and aceanthrene (C₁₆).

15

Alternatively, the ring atoms may include one or more heteroatoms, as in "heteroaryl groups". Examples of monocyclic heteroaryl groups include, but are not limited to, those derived from:

20 N₁: pyrrole (azole) (C₅), pyridine (azine) (C₆);O₁: furan (oxole) (C₅);S₁: thiophene (thiole) (C₅);N₁O₁: oxazole (C₅), isoxazole (C₅), isoxazine (C₆);N₂O₁: oxadiazole (furazan) (C₅);25 N₃O₁: oxatriazole (C₅);N₁S₁: thiazole (C₅), isothiazole (C₅);N₂: imidazole (1,3-diazole) (C₅), pyrazole (1,2-diazole) (C₅), pyridazine (1,2-diazine) (C₆), pyrimidine (1,3-diazine) (C₆) (e.g., cytosine, thymine, uracil), pyrazine (1,4-diazine) (C₆);N₃: triazole (C₅), triazine (C₆); and,30 N₄: tetrazole (C₅).

Examples of heteroaryl which comprise fused rings, include, but are not limited to:

C₉ (with 2 fused rings) derived from benzofuran (O₁), isobenzofuran (O₁), indole (N₁),isoindole (N₁), indolizine (N₁), indoline (N₁), isoindoline (N₁), purine (N₄) (e.g., adenine,35 guanine), benzimidazole (N₂), indazole (N₂), benzoxazole (N₁O₁), benzisoxazole (N₁O₁),

benzodioxole (O₂), benzofurazan (N₂O₁), benzotriazole (N₃), benzothiofuran (S₁), benzothiazole (N₁S₁), benzothiadiazole (N₂S); C₁₀ (with 2 fused rings) derived from chromene (O₁), isochromene (O₁), chroman (O₁), isochroman (O₁), benzodioxan (O₂), quinoline (N₁), isoquinoline (N₁), quinolizine (N₁),

5 benzoxazine (N₁O₁), benzodiazine (N₂), pyridopyridine (N₂), quinoxaline (N₂), quinazoline (N₂), cinnoline (N₂), phthalazine (N₂), naphthyridine (N₂), pteridine (N₄); C₁₁ (with 2 fused rings) derived from benzodiazepine (N₂); C₁₃ (with 3 fused rings) derived from carbazole (N₁), dibenzofuran (O₁), dibenzothiophene (S₁), carboline (N₂), perimidine (N₂), pyridoindole (N₂); and,

10 C₁₄ (with 3 fused rings) derived from acridine (N₁), xanthene (O₁), thioxanthene (S₁), oxanthrene (O₂), phenoxathiin (O₁S₁), phenazine (N₂), phenoxazine (N₁O₁), phenothiazine (N₁S₁), thianthrene (S₂), phenanthridine (N₁), phenanthroline (N₂), phenazine (N₂).

The above groups, whether alone or part of another substituent, may themselves optionally
15 be substituted with one or more groups selected from themselves and the additional
substituents listed below.

Halo: -F, -Cl, -Br, and -I.

20 Hydroxy: -OH.

Ether: -OR, wherein R is an ether substituent, for example, a C₁₋₇ alkyl group (also referred to as a C₁₋₇ alkoxy group, discussed below), a C₃₋₂₀ heterocyclyl group (also referred to as a C₃₋₂₀ heterocyclyloxy group), or a C₅₋₂₀ aryl group (also referred to as a C₅₋₂₀ aryloxy group),
25 preferably a C₁₋₇alkyl group.

Alkoxy: -OR, wherein R is an alkyl group, for example, a C₁₋₇ alkyl group. Examples of C₁₋₇ alkoxy groups include, but are not limited to, -OMe (methoxy), -OEt (ethoxy), -O(nPr) (n-propoxy), -O(iPr) (isopropoxy), -O(nBu) (n-butoxy), -O(sBu) (sec-butoxy), -O(iBu) (isobutoxy), and -O(tBu) (tert-butoxy).

30 Acetal: -CH(OR¹)(OR²), wherein R¹ and R² are independently acetal substituents, for example, a C₁₋₇ alkyl group, a C₃₋₂₀ heterocyclyl group, or a C₅₋₂₀ aryl group, preferably a C₁₋₇ alkyl group, or, in the case of a “cyclic” acetal group, R¹ and R², taken together with the two
35 oxygen atoms to which they are attached, and the carbon atoms to which they are attached,

form a heterocyclic ring having from 4 to 8 ring atoms. Examples of acetal groups include, but are not limited to, -CH(OMe)₂, -CH(OEt)₂, and -CH(OMe)(OEt).

Hemiacetal: -CH(OH)(OR¹), wherein R¹ is a hemiacetal substituent, for example, a C₁₋₇ alkyl

5 group, a C₃₋₂₀ heterocyclyl group, or a C₅₋₂₀ aryl group, preferably a C₁₋₇ alkyl group.

Examples of hemiacetal groups include, but are not limited to, -CH(OH)(OMe) and -CH(OH)(OEt).

Ketal: -CR(OR¹)(OR²), where R¹ and R² are as defined for acetals, and R is a ketal

10 substituent other than hydrogen, for example, a C₁₋₇ alkyl group, a C₃₋₂₀ heterocyclyl group, or a C₅₋₂₀ aryl group, preferably a C₁₋₇ alkyl group. Examples ketal groups include, but are not limited to, -C(Me)(OMe)₂, -C(Me)(OEt)₂, -C(Me)(OMe)(OEt), -C(Et)(OMe)₂, -C(Et)(OEt)₂, and -C(Et)(OMe)(OEt).

15 Hemiketal: -CR(OH)(OR¹), where R¹ is as defined for hemiacetals, and R is a hemiketal substituent other than hydrogen, for example, a C₁₋₇ alkyl group, a C₃₋₂₀ heterocyclyl group, or a C₅₋₂₀ aryl group, preferably a C₁₋₇ alkyl group. Examples of hemiacetal groups include, but are not limited to, -C(Me)(OH)(OMe), -C(Et)(OH)(OMe), -C(Me)(OH)(OEt), and -C(Et)(OH)(OEt).

20

Oxo (keto, -one): =O.

Thione (thioketone): =S.

25 Imino (imine): =NR, wherein R is an imino substituent, for example, hydrogen, C₁₋₇ alkyl group, a C₃₋₂₀ heterocyclyl group, or a C₅₋₂₀ aryl group, preferably hydrogen or a C₁₋₇ alkyl group. Examples of ester groups include, but are not limited to, =NH, =NMe, =NEt, and =NPh.

30 Formyl (carbaldehyde, carboxaldehyde): -C(=O)H.

Acyl (keto): -C(=O)R, wherein R is an acyl substituent, for example, a C₁₋₇ alkyl group (also referred to as C₁₋₇ alkylacyl or C₁₋₇ alkanoyl), a C₃₋₂₀ heterocyclyl group (also referred to as C₃₋₂₀ heterocyclacyl), or a C₅₋₂₀ aryl group (also referred to as C₅₋₂₀ arylacyl), preferably a

35 C₁₋₇ alkyl group. Examples of acyl groups include, but are not limited to, -C(=O)CH₃ (acetyl), -C(=O)CH₂CH₃ (propionyl), -C(=O)C(CH₃)₃ (t-butyryl), and -C(=O)Ph (benzoyl, phenone).

Carboxy (carboxylic acid): $-\text{C}(=\text{O})\text{OH}$.

Thiocarboxy (thiocarboxylic acid): $-\text{C}(=\text{S})\text{SH}$.

5

Thiolcarboxy (thiolcarboxylic acid): $-\text{C}(=\text{O})\text{SH}$.

Thionocarboxy (thionocarboxylic acid): $-\text{C}(=\text{S})\text{OH}$.

10 Imidic acid: $-\text{C}(=\text{NH})\text{OH}$.

Hydroxamic acid: $-\text{C}(=\text{NOH})\text{OH}$.

15 Ester (carboxylate, carboxylic acid ester, oxycarbonyl): $-\text{C}(=\text{O})\text{OR}$, wherein R is an ester substituent, for example, a C_{1-7} alkyl group, a C_{3-20} heterocyclyl group, or a C_{5-20} aryl group, preferably a C_{1-7} alkyl group. Examples of ester groups include, but are not limited to, $-\text{C}(=\text{O})\text{OCH}_3$, $-\text{C}(=\text{O})\text{OCH}_2\text{CH}_3$, $-\text{C}(=\text{O})\text{OC}(\text{CH}_3)_3$, and $-\text{C}(=\text{O})\text{OPh}$.

20 Acyloxy (reverse ester): $-\text{OC}(=\text{O})\text{R}$, wherein R is an acyloxy substituent, for example, a C_{1-7} alkyl group, a C_{3-20} heterocyclyl group, or a C_{5-20} aryl group, preferably a C_{1-7} alkyl group. Examples of acyloxy groups include, but are not limited to, $-\text{OC}(=\text{O})\text{CH}_3$ (acetoxy), $-\text{OC}(=\text{O})\text{CH}_2\text{CH}_3$, $-\text{OC}(=\text{O})\text{C}(\text{CH}_3)_3$, $-\text{OC}(=\text{O})\text{Ph}$, and $-\text{OC}(=\text{O})\text{CH}_2\text{Ph}$.

25 Oxycarboxyloxy: $-\text{OC}(=\text{O})\text{OR}$, wherein R is an ester substituent, for example, a C_{1-7} alkyl group, a C_{3-20} heterocyclyl group, or a C_{5-20} aryl group, preferably a C_{1-7} alkyl group. Examples of ester groups include, but are not limited to, $-\text{OC}(=\text{O})\text{OCH}_3$, $-\text{OC}(=\text{O})\text{OCH}_2\text{CH}_3$, $-\text{OC}(=\text{O})\text{OC}(\text{CH}_3)_3$, and $-\text{OC}(=\text{O})\text{OPh}$.

30 Amino: $-\text{NR}^1\text{R}^2$, wherein R^1 and R^2 are independently amino substituents, for example, hydrogen, a C_{1-7} alkyl group (also referred to as C_{1-7} alkylamino or di- C_{1-7} alkylamino), a C_{3-20} heterocyclyl group, or a C_{5-20} aryl group, preferably H or a C_{1-7} alkyl group, or, in the case of a "cyclic" amino group, R^1 and R^2 , taken together with the nitrogen atom to which they are attached, form a heterocyclic ring having from 4 to 8 ring atoms. Amino groups may be primary ($-\text{NH}_2$), secondary ($-\text{NHR}^1$), or tertiary ($-\text{NHR}^1\text{R}^2$), and in cationic form, may be quaternary ($-\text{NR}^1\text{R}^2\text{R}^3$). Examples of amino groups include, but are not limited to, $-\text{NH}_2$, $-\text{NHCH}_3$, $-\text{NHC}(\text{CH}_3)_2$, $-\text{N}(\text{CH}_3)_2$, $-\text{N}(\text{CH}_2\text{CH}_3)_2$, and $-\text{NHPH}$. Examples of cyclic amino groups

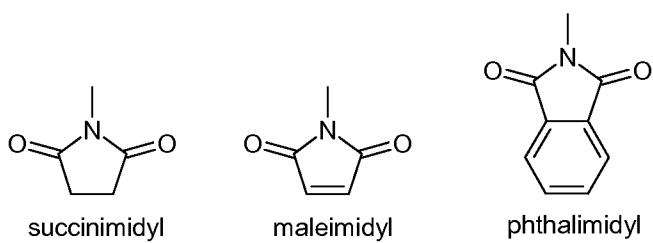
include, but are not limited to, aziridino, azetidino, pyrrolidino, piperidino, piperazino, morpholino, and thiomorpholino.

5 Amido (carbamoyl, carbamyl, aminocarbonyl, carboxamide): $-\text{C}(=\text{O})\text{NR}^1\text{R}^2$, wherein R^1 and R^2 are independently amino substituents, as defined for amino groups. Examples of amido groups include, but are not limited to, $-\text{C}(=\text{O})\text{NH}_2$, $-\text{C}(=\text{O})\text{NHCH}_3$, $-\text{C}(=\text{O})\text{N}(\text{CH}_3)_2$, $-\text{C}(=\text{O})\text{NHCH}_2\text{CH}_3$, and $-\text{C}(=\text{O})\text{N}(\text{CH}_2\text{CH}_3)_2$, as well as amido groups in which R^1 and R^2 , together with the nitrogen atom to which they are attached, form a heterocyclic structure as in, for example, piperidinocarbonyl, morpholinocarbonyl, thiomorpholinocarbonyl, and 10 piperazinocarbonyl.

Thioamido (thiocarbamyl): $-\text{C}(=\text{S})\text{NR}^1\text{R}^2$, wherein R^1 and R^2 are independently amino substituents, as defined for amino groups. Examples of amido groups include, but are not limited to, $-\text{C}(=\text{S})\text{NH}_2$, $-\text{C}(=\text{S})\text{NHCH}_3$, $-\text{C}(=\text{S})\text{N}(\text{CH}_3)_2$, and $-\text{C}(=\text{S})\text{NHCH}_2\text{CH}_3$.

15 Acylamido (acylamino): $-\text{NR}^1\text{C}(=\text{O})\text{R}^2$, wherein R^1 is an amide substituent, for example, hydrogen, a C_{1-7} alkyl group, a C_{3-20} heterocyclyl group, or a C_{5-20} aryl group, preferably hydrogen or a C_{1-7} alkyl group, and R^2 is an acyl substituent, for example, a C_{1-7} alkyl group, a C_{3-20} heterocyclyl group, or a C_{5-20} aryl group, preferably hydrogen or a C_{1-7} alkyl group.

20 Examples of acylamide groups include, but are not limited to, $-\text{NHC}(=\text{O})\text{CH}_3$, $-\text{NHC}(=\text{O})\text{CH}_2\text{CH}_3$, and $-\text{NHC}(=\text{O})\text{Ph}$. R^1 and R^2 may together form a cyclic structure, as in, for example, succinimidyl, maleimidyl, and phthalimidyl:



25 Aminocarbonyloxy: $-\text{OC}(=\text{O})\text{NR}^1\text{R}^2$, wherein R^1 and R^2 are independently amino substituents, as defined for amino groups. Examples of aminocarbonyloxy groups include, but are not limited to, $-\text{OC}(=\text{O})\text{NH}_2$, $-\text{OC}(=\text{O})\text{NHMe}_2$, $-\text{OC}(=\text{O})\text{NMe}_2$, and $-\text{OC}(=\text{O})\text{NEt}_2$.

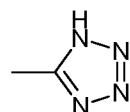
Ureido: $-\text{N}(\text{R}^1)\text{CONR}^2\text{R}^3$ wherein R^2 and R^3 are independently amino substituents, as defined for amino groups, and R^1 is a ureido substituent, for example, hydrogen, a C_{1-7} alkyl group, a C_{3-20} heterocyclyl group, or a C_{5-20} aryl group, preferably hydrogen or a C_{1-7} alkyl group. Examples of ureido groups include, but are not limited to, $-\text{NHCONH}_2$, $-\text{NHCONHMe}$,

-NHCONH₂, -NHCONMe₂, -NHCONEt₂, -NMeCONH₂, -NMeCONHMe, -NMeCONH_{Et}, -NMeCONMe₂, and -NMeCONEt₂.

Guanidino: -NH-C(=NH)NH₂.

5

Tetrazolyl: a five membered aromatic ring having four nitrogen atoms and one carbon atom,



Imino: =NR, wherein R is an imino substituent, for example, for example, hydrogen, a C₁₋₇ alkyl group, a C₃₋₂₀ heterocyclyl group, or a C₅₋₂₀ aryl group, preferably H or a C₁₋₇alkyl group. Examples of imino groups include, but are not limited to, =NH, =NMe, and =NEt.

Amidine (amidino): -C(=NR)NR₂, wherein each R is an amidine substituent, for example, hydrogen, a C₁₋₇ alkyl group, a C₃₋₂₀ heterocyclyl group, or a C₅₋₂₀ aryl group, preferably H or a C₁₋₇ alkyl group. Examples of amidine groups include, but are not limited to, -C(=NH)NH₂, -C(=NH)NMe₂, and -C(=NMe)NMe₂.

Nitro: -NO₂.

20 Nitroso: -NO.

Azido: -N₃.

Cyano (nitrile, carbonitrile): -CN.

25

Isocyano: -NC.

Cyanato: -OCN.

30 Isocyanato: -NCO.

Thiocyanato (thiocyanato): -SCN.

Isothiocyanato (isothiocyanato): -NCS.

Sulfhydryl (thiol, mercapto): -SH.

5 Thioether (sulfide): -SR, wherein R is a thioether substituent, for example, a C₁₋₇ alkyl group (also referred to as a C₁₋₇alkylthio group), a C₃₋₂₀ heterocyclyl group, or a C₅₋₂₀ aryl group, preferably a C₁₋₇ alkyl group. Examples of C₁₋₇ alkylthio groups include, but are not limited to, -SCH₃ and -SCH₂CH₃.

10 Disulfide: -SS-R, wherein R is a disulfide substituent, for example, a C₁₋₇ alkyl group, a C₃₋₂₀ heterocyclyl group, or a C₅₋₂₀ aryl group, preferably a C₁₋₇ alkyl group (also referred to herein as C₁₋₇ alkyl disulfide). Examples of C₁₋₇ alkyl disulfide groups include, but are not limited to, -SSCH₃ and -SSCH₂CH₃.

15 Sulfine (sulfinyl, sulfoxide): -S(=O)R, wherein R is a sulfine substituent, for example, a C₁₋₇ alkyl group, a C₃₋₂₀ heterocyclyl group, or a C₅₋₂₀ aryl group, preferably a C₁₋₇ alkyl group. Examples of sulfine groups include, but are not limited to, -S(=O)CH₃ and -S(=O)CH₂CH₃.

20 Sulfone (sulfonyl): -S(=O)₂R, wherein R is a sulfone substituent, for example, a C₁₋₇ alkyl group, a C₃₋₂₀ heterocyclyl group, or a C₅₋₂₀ aryl group, preferably a C₁₋₇ alkyl group, including, for example, a fluorinated or perfluorinated C₁₋₇ alkyl group. Examples of sulfone groups include, but are not limited to, -S(=O)₂CH₃ (methanesulfonyl, mesyl), -S(=O)₂CF₃ (triflyl), -S(=O)₂CH₂CH₃ (esyl), -S(=O)₂C₄F₉ (nonaflyl), -S(=O)₂CH₂CF₃ (tresyl), -S(=O)₂CH₂CH₂NH₂ (tauryl), -S(=O)₂Ph (phenylsulfonyl, besyl), 4-methylphenylsulfonyl (tosyl), 4-chlorophenylsulfonyl (closyl), 4-bromophenylsulfonyl (brosyl), 4-nitrophenyl (nosyl), 25 2-naphthalenesulfonate (napsyl), and 5-dimethylamino-naphthalen-1-ylsulfonate (dansyl).

Sulfinic acid (sulfino): -S(=O)OH, -SO₂H.

30 Sulfonic acid (sulfo): -S(=O)₂OH, -SO₃H.

35 Sulfinate (sulfinic acid ester): -S(=O)OR; wherein R is a sulfinate substituent, for example, a C₁₋₇ alkyl group, a C₃₋₂₀ heterocyclyl group, or a C₅₋₂₀ aryl group, preferably a C₁₋₇ alkyl group. Examples of sulfinate groups include, but are not limited to, -S(=O)OCH₃ (methoxysulfinyl; methyl sulfinate) and -S(=O)OCH₂CH₃ (ethoxysulfinyl; ethyl sulfinate).

Sulfonate (sulfonic acid ester): $-S(=O)_2OR$, wherein R is a sulfonate substituent, for example, a C₁₋₇ alkyl group, a C₃₋₂₀ heterocyclyl group, or a C₅₋₂₀ aryl group, preferably a C₁₋₇ alkyl group. Examples of sulfonate groups include, but are not limited to, $-S(=O)_2OCH_3$ (methoxysulfonyl; methyl sulfonate) and $-S(=O)_2OCH_2CH_3$ (ethoxysulfonyl; ethyl sulfonate).

5

Sulfinyloxy: $-OS(=O)R$, wherein R is a sulfinyloxy substituent, for example, a C₁₋₇ alkyl group, a C₃₋₂₀ heterocyclyl group, or a C₅₋₂₀ aryl group, preferably a C₁₋₇ alkyl group. Examples of sulfinyloxy groups include, but are not limited to, $-OS(=O)CH_3$ and $-OS(=O)CH_2CH_3$.

10 Sulfonyloxy: $-OS(=O)_2R$, wherein R is a sulfonyloxy substituent, for example, a C₁₋₇ alkyl group, a C₃₋₂₀ heterocyclyl group, or a C₅₋₂₀ aryl group, preferably a C₁₋₇ alkyl group. Examples of sulfonyloxy groups include, but are not limited to, $-OS(=O)_2CH_3$ (mesylate) and $-OS(=O)_2CH_2CH_3$ (esylate).

15 Sulfate: $-OS(=O)_2OR$; wherein R is a sulfate substituent, for example, a C₁₋₇ alkyl group, a C₃₋₂₀ heterocyclyl group, or a C₅₋₂₀ aryl group, preferably a C₁₋₇ alkyl group. Examples of sulfate groups include, but are not limited to, $-OS(=O)_2OCH_3$ and $-SO(=O)_2OCH_2CH_3$.

20 Sulfamyl (sulfamoyl; sulfinic acid amide; sulfinamide): $-S(=O)NR^1R^2$, wherein R¹ and R² are independently amino substituents, as defined for amino groups. Examples of sulfamyl groups include, but are not limited to, $-S(=O)NH_2$, $-S(=O)NH(CH_3)$, $-S(=O)N(CH_3)_2$, $-S(=O)NH(CH_2CH_3)$, $-S(=O)N(CH_2CH_3)_2$, and $-S(=O)NHPH$.

25 Sulfonamido (sulfinamoyl; sulfonic acid amide; sulfonamide): $-S(=O)_2NR^1R^2$, wherein R¹ and R² are independently amino substituents, as defined for amino groups. Examples of sulfonamido groups include, but are not limited to, $-S(=O)_2NH_2$, $-S(=O)_2NH(CH_3)$, $-S(=O)_2N(CH_3)_2$, $-S(=O)_2NH(CH_2CH_3)$, $-S(=O)_2N(CH_2CH_3)_2$, and $-S(=O)_2NHPH$.

30 Sulfamino: $-NR^1S(=O)_2OH$, wherein R¹ is an amino substituent, as defined for amino groups. Examples of sulfamino groups include, but are not limited to, $-NHS(=O)_2OH$ and $-N(CH_3)S(=O)_2OH$.

35 Sulfonamino: $-NR^1S(=O)_2R$, wherein R¹ is an amino substituent, as defined for amino groups, and R is a sulfonamino substituent, for example, a C₁₋₇ alkyl group, a C₃₋₂₀ heterocyclyl group, or a C₅₋₂₀ aryl group, preferably a C₁₋₇ alkyl group. Examples of sulfonamino groups include, but are not limited to, $-NHS(=O)_2CH_3$ and $-N(CH_3)S(=O)_2C_6H_5$.

Sulfinamino: $-\text{NR}^1\text{S}(=\text{O})\text{R}$, wherein R^1 is an amino substituent, as defined for amino groups, and R is a sulfinamino substituent, for example, a C_{1-7} alkyl group, a C_{3-20} heterocyclyl group, or a C_{5-20} aryl group, preferably a C_{1-7} alkyl group. Examples of sulfinamino groups include,

5 but are not limited to, $-\text{NHS}(=\text{O})\text{CH}_3$ and $-\text{N}(\text{CH}_3)\text{S}(=\text{O})\text{C}_6\text{H}_5$.

Phosphino (phosphine): $-\text{PR}_2$, wherein R is a phosphino substituent, for example, $-\text{H}$, a C_{1-7} alkyl group, a C_{3-20} heterocyclyl group, or a C_{5-20} aryl group, preferably $-\text{H}$, a C_{1-7} alkyl group, or a C_{5-20} aryl group. Examples of phosphino groups include, but are not limited to, $-\text{PH}_2$,

10 $-\text{P}(\text{CH}_3)_2$, $-\text{P}(\text{CH}_2\text{CH}_3)_2$, $-\text{P}(\text{t-Bu})_2$, and $-\text{P}(\text{Ph})_2$.

Phospho: $-\text{P}(=\text{O})_2$.

Phosphinyl (phosphine oxide): $-\text{P}(=\text{O})\text{R}_2$, wherein R is a phosphinyl substituent, for example,

15 a C_{1-7} alkyl group, a C_{3-20} heterocyclyl group, or a C_{5-20} aryl group, preferably a C_{1-7} alkyl group or a C_{5-20} aryl group. Examples of phosphinyl groups include, but are not limited to, $-\text{P}(=\text{O})(\text{CH}_3)_2$, $-\text{P}(=\text{O})(\text{CH}_2\text{CH}_3)_2$, $-\text{P}(=\text{O})(\text{t-Bu})_2$, and $-\text{P}(=\text{O})(\text{Ph})_2$.

Phosphonic acid (phosphono): $-\text{P}(=\text{O})(\text{OH})_2$.

20

Phosphonate (phosphono ester): $-\text{P}(=\text{O})(\text{OR})_2$, where R is a phosphonate substituent, for example, $-\text{H}$, a C_{1-7} alkyl group, a C_{3-20} heterocyclyl group, or a C_{5-20} aryl group, preferably $-\text{H}$, a C_{1-7} alkyl group, or a C_{5-20} aryl group. Examples of phosphonate groups include, but are not limited to, $-\text{P}(=\text{O})(\text{OCH}_3)_2$, $-\text{P}(=\text{O})(\text{OCH}_2\text{CH}_3)_2$, $-\text{P}(=\text{O})(\text{O-t-Bu})_2$, and $-\text{P}(=\text{O})(\text{OPh})_2$.

25

Phosphoric acid (phosphonoxy): $-\text{OP}(=\text{O})(\text{OH})_2$.

Phosphate (phosphonoxy ester): $-\text{OP}(=\text{O})(\text{OR})_2$, where R is a phosphate substituent, for example, $-\text{H}$, a C_{1-7} alkyl group, a C_{3-20} heterocyclyl group, or a C_{5-20} aryl group, preferably $-\text{H}$,

30 a C_{1-7} alkyl group, or a C_{5-20} aryl group. Examples of phosphate groups include, but are not limited to, $-\text{OP}(=\text{O})(\text{OCH}_3)_2$, $-\text{OP}(=\text{O})(\text{OCH}_2\text{CH}_3)_2$, $-\text{OP}(=\text{O})(\text{O-t-Bu})_2$, and $-\text{OP}(=\text{O})(\text{OPh})_2$.

Phosphorous acid: $-\text{OP}(\text{OH})_2$.

35 Phosphite: $-\text{OP}(\text{OR})_2$, where R is a phosphite substituent, for example, $-\text{H}$, a C_{1-7} alkyl group, a C_{3-20} heterocyclyl group, or a C_{5-20} aryl group, preferably $-\text{H}$, a C_{1-7} alkyl group, or a C_{5-20} aryl

group. Examples of phosphite groups include, but are not limited to, -OP(OCH₃)₂, -OP(OCH₂CH₃)₂, -OP(O-t-Bu)₂, and -OP(OPh)₂.

Phosphorimidite: -OP(OR¹)-NR²₂, where R¹ and R² are phosphorimidite substituents, for

5 example, -H, a (optionally substituted) C₁₋₇ alkyl group, a C₃₋₂₀ heterocyclyl group, or a C₅₋₂₀ aryl group, preferably -H, a C₁₋₇ alkyl group, or a C₅₋₂₀ aryl group. Examples of phosphorimidite groups include, but are not limited to, -OP(OCH₂CH₃)-N(CH₃)₂, -OP(OCH₂CH₃)-N(i-Pr)₂, and -OP(OCH₂CH₂CN)-N(i-Pr)₂.

10 Phosphorimidate: -OP(=O)(OR¹)-NR²₂, where R¹ and R² are phosphorimidate substituents, for example, -H, a (optionally substituted) C₁₋₇ alkyl group, a C₃₋₂₀ heterocyclyl group, or a C₅₋₂₀ aryl group, preferably -H, a C₁₋₇ alkyl group, or a C₅₋₂₀ aryl group. Examples of phosphorimidate groups include, but are not limited to, -OP(=O)(OCH₂CH₃)-N(CH₃)₂, -OP(=O)(OCH₂CH₃)-N(i-Pr)₂, and -OP(=O)(OCH₂CH₂CN)-N(i-Pr)₂.

15

Alkylene

C₃₋₁₂ alkylene: The term “C₃₋₁₂ alkylene”, as used herein, pertains to a bidentate moiety obtained by removing two hydrogen atoms, either both from the same carbon atom, or one from each of two different carbon atoms, of a hydrocarbon compound having from 3 to 12

20 carbon atoms (unless otherwise specified), which may be aliphatic or alicyclic, and which may be saturated, partially unsaturated, or fully unsaturated. Thus, the term “alkylene” includes the sub-classes alkenylene, alkynylene, cycloalkylene, etc., discussed below.

Examples of linear saturated C₃₋₁₂ alkylene groups include, but are not limited to, -(CH₂)_n-

25 where n is an integer from 3 to 12, for example, -CH₂CH₂CH₂- (propylene), -CH₂CH₂CH₂CH₂- (butylene), -CH₂CH₂CH₂CH₂CH₂- (pentylene) and -CH₂CH₂CH₂CH-₂CH₂CH₂- (heptylene).

Examples of branched saturated C₃₋₁₂ alkylene groups include, but are not limited to,

30 -CH(CH₃)CH₂- , -CH(CH₃)CH₂CH₂- , -CH(CH₃)CH₂CH₂CH₂- , -CH₂CH(CH₃)CH₂- , -CH₂CH(CH₃)CH₂CH₂- , -CH(CH₂CH₃)- , -CH(CH₂CH₃)CH₂- , and -CH₂CH(CH₂CH₃)CH₂- .

Examples of linear partially unsaturated C₃₋₁₂ alkylene groups (C₃₋₁₂ alkenylene, and alkynylene groups) include, but are not limited to, -CH=CH-CH₂- , -CH₂-CH=CH₂- ,

35 -CH=CH-CH₂-CH₂- , -CH=CH-CH₂-CH₂-CH₂- , -CH=CH-CH=CH- , -CH=CH-CH=CH-CH₂- , -

CH=CH-CH=CH-CH₂-CH₂-, -CH=CH-CH₂-CH=CH-, -CH=CH-CH₂-CH₂-CH=CH-, and -CH₂-C≡C-CH₂-.

Examples of branched partially unsaturated C₃₋₁₂ alkylene groups (C₃₋₁₂ alkenylene and 5 alkynylene groups) include, but are not limited to, -C(CH₃)=CH-, -C(CH₃)=CH-CH₂-, -CH=CH-CH(CH₃)- and -C≡C-CH(CH₃)-.

Examples of alicyclic saturated C₃₋₁₂ alkylene groups (C₃₋₁₂ cycloalkylenes) include, but are not limited to, cyclopentylene (e.g. cyclopent-1,3-ylene), and cyclohexylene 10 (e.g. cyclohex-1,4-ylene).

Examples of alicyclic partially unsaturated C₃₋₁₂ alkylene groups (C₃₋₁₂ cycloalkylenes) include, but are not limited to, cyclopentenylene (e.g. 4-cyclopenten-1,3-ylene), cyclohexenylene (e.g. 2-cyclohexen-1,4-ylene; 3-cyclohexen-1,2-ylene; 2,5-cyclohexadien-15 1,4-ylene).

Includes Other Forms

Unless otherwise specified, included in the above are the well known ionic, salt, solvate, and protected forms of these substituents. For example, a reference to carboxylic acid (-COOH) 20 also includes the anionic (carboxylate) form (-COO⁻), a salt or solvate thereof, as well as conventional protected forms. Similarly, a reference to an amino group includes the protonated form (-N⁺HR¹R²), a salt or solvate of the amino group, for example, a hydrochloride salt, as well as conventional protected forms of an amino group. Similarly, a reference to a hydroxyl group also includes the anionic form (-O⁻), a salt or solvate thereof, 25 as well as conventional protected forms.

Salts

It may be convenient or desirable to prepare, purify, and/or handle a corresponding salt of the active compound, for example, a pharmaceutically-acceptable salt. Examples of 30 pharmaceutically acceptable salts are discussed in Berge, *et al.*, *J. Pharm. Sci.*, **66**, 1-19 (1977).

For example, if the compound is anionic, or has a functional group which may be anionic (e.g. -COOH may be -COO⁻), then a salt may be formed with a suitable cation. Examples of 35 suitable inorganic cations include, but are not limited to, alkali metal ions such as Na⁺ and K⁺, alkaline earth cations such as Ca²⁺ and Mg²⁺, and other cations such as Al⁺³. Examples

of suitable organic cations include, but are not limited to, ammonium ion (i.e. NH_4^+) and substituted ammonium ions (e.g. NH_3R^+ , NH_2R_2^+ , NHR_3^+ , NR_4^+). Examples of some suitable substituted ammonium ions are those derived from: ethylamine, diethylamine, dicyclohexylamine, triethylamine, butylamine, ethylenediamine, ethanolamine, 5 diethanolamine, piperazine, benzylamine, phenylbenzylamine, choline, meglumine, and tromethamine, as well as amino acids, such as lysine and arginine. An example of a common quaternary ammonium ion is $\text{N}(\text{CH}_3)_4^+$.

If the compound is cationic, or has a functional group which may be cationic (e.g. $-\text{NH}_2$ may 10 be $-\text{NH}_3^+$), then a salt may be formed with a suitable anion. Examples of suitable inorganic anions include, but are not limited to, those derived from the following inorganic acids: hydrochloric, hydrobromic, hydroiodic, sulfuric, sulfurous, nitric, nitrous, phosphoric, and phosphorous.

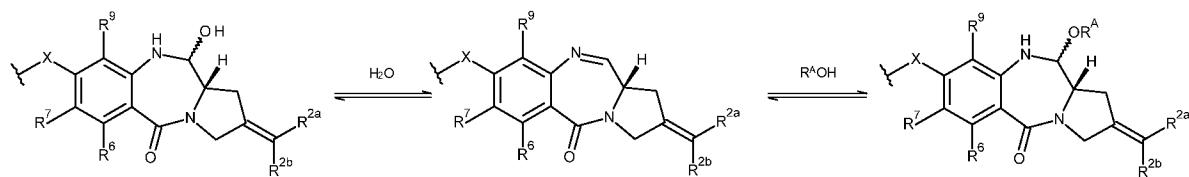
15 Examples of suitable organic anions include, but are not limited to, those derived from the following organic acids: 2-acethoxybenzoic, acetic, ascorbic, aspartic, benzoic, camphorsulfonic, cinnamic, citric, edetic, ethanesulfonic, ethanesulfonic, fumaric, glutheptonic, gluconic, glutamic, glycolic, hydroxymaleic, hydroxynaphthalene carboxylic, isethionic, lactic, lactobionic, lauric, maleic, malic, methanesulfonic, mucic, oleic, oxalic, 20 palmitic, pamoic, pantothenic, phenylacetic, phenylsulfonic, propionic, pyruvic, salicylic, stearic, succinic, sulfanilic, tartaric, toluenesulfonic, trifluoroacetic acid and valeric.

Examples of suitable polymeric organic anions include, but are not limited to, those derived from the following polymeric acids: tannic acid, carboxymethyl cellulose.

25 **Solvates**

It may be convenient or desirable to prepare, purify, and/or handle a corresponding solvate of the active compound. The term "solvate" is used herein in the conventional sense to refer to a complex of solute (e.g. active compound, salt of active compound) and solvent. If the solvent is water, the solvate may be conveniently referred to as a hydrate, for example, a 30 mono-hydrate, a di-hydrate, a tri-hydrate, etc.

The invention includes compounds where a solvent adds across the imine bond of the PBD moiety, which is illustrated below where the solvent is water or an alcohol ($\text{R}^{\text{A}}\text{OH}$, where R^{A} is C_{1-4} alkyl):



These forms can be called the carbinolamine and carbinolamine ether forms of the PBD (as described in the section relating to R¹⁰ above). The balance of these equilibria depend on

5 the conditions in which the compounds are found, as well as the nature of the moiety itself.

These particular compounds may be isolated in solid form, for example, by lyophilisation.

Isomers

10 Certain compounds of the invention may exist in one or more particular geometric, optical, enantiomeric, diastereomeric, epimeric, atropic, stereoisomeric, tautomeric, conformational, or anomeric forms, including but not limited to, cis- and trans-forms; E- and Z-forms; c-, t-, and r- forms; endo- and exo-forms; R-, S-, and meso-forms; D- and L-forms; d- and l-forms; (+) and (-) forms; keto-, enol-, and enolate-forms; syn- and anti-forms; synclinal- and 15 anticinal-forms; α - and β -forms; axial and equatorial forms; boat-, chair-, twist-, envelope-, and halfchair-forms; and combinations thereof, hereinafter collectively referred to as "isomers" (or "isomeric forms").

The term "chiral" refers to molecules which have the property of non-superimposability of the 20 mirror image partner, while the term "achiral" refers to molecules which are superimposable on their mirror image partner.

The term "stereoisomers" refers to compounds which have identical chemical constitution, but differ with regard to the arrangement of the atoms or groups in space.

25 "Diastereomer" refers to a stereoisomer with two or more centers of chirality and whose molecules are not mirror images of one another. Diastereomers have different physical properties, e.g. melting points, boiling points, spectral properties, and reactivities. Mixtures of diastereomers may separate under high resolution analytical procedures such as 30 electrophoresis and chromatography.

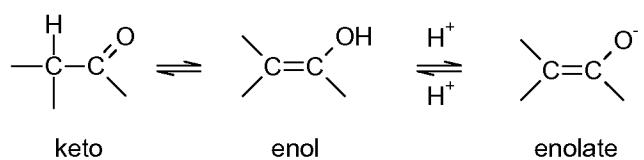
"Enantiomers" refer to two stereoisomers of a compound which are non-superimposable mirror images of one another.

Stereochemical definitions and conventions used herein generally follow S. P. Parker, Ed., *McGraw-Hill Dictionary of Chemical Terms* (1984) McGraw-Hill Book Company, New York; and Eliel, E. and Wilen, S., "Stereochemistry of Organic Compounds", John Wiley & Sons, Inc., New York, 1994. The compounds of the invention may contain asymmetric or chiral centers, and therefore exist in different stereoisomeric forms. It is intended that all stereoisomeric forms of the compounds of the invention, including but not limited to, diastereomers, enantiomers and atropisomers, as well as mixtures thereof such as racemic mixtures, form part of the present invention. Many organic compounds exist in optically active forms, i.e., they have the ability to rotate the plane of plane-polarized light. In describing an optically active compound, the prefixes D and L, or R and S, are used to denote the absolute configuration of the molecule about its chiral center(s). The prefixes d and l or (+) and (-) are employed to designate the sign of rotation of plane-polarized light by the compound, with (-) or l meaning that the compound is levorotatory. A compound prefixed with (+) or d is dextrorotatory. For a given chemical structure, these stereoisomers are identical except that they are mirror images of one another. A specific stereoisomer may also be referred to as an enantiomer, and a mixture of such isomers is often called an enantiomeric mixture. A 50:50 mixture of enantiomers is referred to as a racemic mixture or a racemate, which may occur where there has been no stereoselection or stereospecificity in a chemical reaction or process. The terms "racemic mixture" and "racemate" refer to an equimolar mixture of two enantiomeric species, devoid of optical activity.

Note that, except as discussed below for tautomeric forms, specifically excluded from the term "isomers", as used herein, are structural (or constitutional) isomers (i.e. isomers which differ in the connections between atoms rather than merely by the position of atoms in space). For example, a reference to a methoxy group, -OCH₃, is not to be construed as a reference to its structural isomer, a hydroxymethyl group, -CH₂OH. Similarly, a reference to ortho-chlorophenyl is not to be construed as a reference to its structural isomer, meta-chlorophenyl. However, a reference to a class of structures may well include structurally isomeric forms falling within that class (e.g. C₁₋₇ alkyl includes n-propyl and iso-propyl; butyl includes n-, iso-, sec-, and tert-butyl; methoxyphenyl includes ortho-, meta-, and para-methoxyphenyl).

The above exclusion does not pertain to tautomeric forms, for example, keto-, enol-, and enolate-forms, as in, for example, the following tautomeric pairs: keto/enol (illustrated

below), imine/enamine, amide/imino alcohol, amidine/amidine, nitroso/oxime, thioketone/enethiol, N-nitroso/hydroxyazo, and nitro/aci-nitro.



5 The term "tautomer" or "tautomeric form" refers to structural isomers of different energies which are interconvertible via a low energy barrier. For example, proton tautomers (also known as prototropic tautomers) include interconversions via migration of a proton, such as keto-enol and imine-enamine isomerizations. Valence tautomers include interconversions by reorganization of some of the bonding electrons.

10

Note that specifically included in the term "isomer" are compounds with one or more isotopic substitutions. For example, H may be in any isotopic form, including ¹H, ²H (D), and ³H (T); C may be in any isotopic form, including ¹²C, ¹³C, and ¹⁴C; O may be in any isotopic form, including ¹⁶O and ¹⁸O; and the like.

15

Examples of isotopes that can be incorporated into compounds of the invention include isotopes of hydrogen, carbon, nitrogen, oxygen, phosphorous, fluorine, and chlorine, such as, but not limited to ²H (deuterium, D), ³H (tritium), ¹¹C, ¹³C, ¹⁴C, ¹⁵N, ¹⁸F, ³¹P, ³²P, ³⁵S, ³⁶Cl, and ¹²⁵I. Various isotopically labeled compounds of the present invention, for example those

20 into which radioactive isotopes such as ³H, ¹³C, and ¹⁴C are incorporated. Such isotopically labelled compounds may be useful in metabolic studies, reaction kinetic studies, detection or imaging techniques, such as positron emission tomography (PET) or single-photon emission computed tomography (SPECT) including drug or substrate tissue distribution assays, or in radioactive treatment of patients. Deuterium labelled or substituted therapeutic compounds of the invention may have improved DMPK (drug metabolism and pharmacokinetics) properties, relating to distribution, metabolism, and excretion (ADME). Substitution with heavier isotopes such as deuterium may afford certain therapeutic advantages resulting from greater metabolic stability, for example increased in vivo half-life or reduced dosage requirements. An ¹⁸F labeled compound may be useful for PET or

25 SPECT studies. Isotopically labeled compounds of this invention and prodrugs thereof can generally be prepared by carrying out the procedures disclosed in the schemes or in the examples and preparations described below by substituting a readily available isotopically labeled reagent for a non-isotopically labeled reagent. Further, substitution with heavier

30

isotopes, particularly deuterium (i.e., ^2H or D) may afford certain therapeutic advantages resulting from greater metabolic stability, for example increased *in vivo* half-life or reduced dosage requirements or an improvement in therapeutic index. It is understood that deuterium in this context is regarded as a substituent. The concentration of such a heavier isotope, 5 specifically deuterium, may be defined by an isotopic enrichment factor. In the compounds of this invention any atom not specifically designated as a particular isotope is meant to represent any stable isotope of that atom.

Unless otherwise specified, a reference to a particular compound includes all such isomeric 10 forms, including (wholly or partially) racemic and other mixtures thereof. Methods for the preparation (e.g. asymmetric synthesis) and separation (e.g. fractional crystallisation and chromatographic means) of such isomeric forms are either known in the art or are readily obtained by adapting the methods taught herein, or known methods, in a known manner.

15 ***Biological Activity***

In vitro cell proliferation assays

Generally, the cytotoxic or cytostatic activity of an antibody-drug conjugate (ADC) is measured by: exposing mammalian cells having receptor proteins, e.g. HER2, to the 20 antibody of the ADC in a cell culture medium; culturing the cells for a period from about 6 hours to about 5 days; and measuring cell viability. Cell-based *in vitro* assays are used to measure viability (proliferation), cytotoxicity, and induction of apoptosis (caspase activation) of an ADC of the invention.

The *in vitro* potency of antibody-drug conjugates can be measured by a cell proliferation 25 assay. The CellTiter-Glo[®] Luminescent Cell Viability Assay is a commercially available (Promega Corp., Madison, WI), homogeneous assay method based on the recombinant expression of *Coleoptera* luciferase (US Patent Nos. 5583024; 5674713 and 5700670). This cell proliferation assay determines the number of viable cells in culture based on quantitation of the ATP present, an indicator of metabolically active cells (Crouch *et al* (1993) *J. Immunol.* 30 *Meth.* 160:81-88; US 6602677). The CellTiter-Glo[®] Assay is conducted in 96 well format, making it amenable to automated high-throughput screening (HTS) (Cree *et al* (1995) *AntiCancer Drugs* 6:398-404). The homogeneous assay procedure involves adding the single reagent (CellTiter-Glo[®] Reagent) directly to cells cultured in serum-supplemented medium. Cell washing, removal of medium and multiple pipetting steps are not required. The 35 system detects as few as 15 cells/well in a 384-well format in 10 minutes after adding reagent and mixing. The cells may be treated continuously with ADC, or they may be

treated and separated from ADC. Generally, cells treated briefly, i.e. 3 hours, showed the same potency effects as continuously treated cells.

The homogeneous “add-mix-measure” format results in cell lysis and generation of a

- 5 luminescent signal proportional to the amount of ATP present. The amount of ATP is directly proportional to the number of cells present in culture. The CellTiter-Glo® Assay generates a “glow-type” luminescent signal, produced by the luciferase reaction, which has a half-life generally greater than five hours, depending on cell type and medium used. Viable cells are reflected in relative luminescence units (RLU). The substrate, Beetle Luciferin, is oxidatively
- 10 decarboxylated by recombinant firefly luciferase with concomitant conversion of ATP to AMP and generation of photons.

The *in vitro* potency of antibody-drug conjugates can also be measured by a cytotoxicity assay. Cultured adherent cells are washed with PBS, detached with trypsin, diluted in

- 15 complete medium, containing 10% FCS, centrifuged, re-suspended in fresh medium and counted with a haemocytometer. Suspension cultures are counted directly. Monodisperse cell suspensions suitable for counting may require agitation of the suspension by repeated aspiration to break up cell clumps.

- 20 The cell suspension is diluted to the desired seeding density and dispensed (100µl per well) into black 96 well plates. Plates of adherent cell lines are incubated overnight to allow adherence. Suspension cell cultures can be used on the day of seeding.

A stock solution (1ml) of ADC (20µg/ml) is made in the appropriate cell culture medium.

- 25 Serial 10-fold dilutions of stock ADC are made in 15ml centrifuge tubes by serially transferring 100µl to 900µl of cell culture medium.

- 30 Four replicate wells of each ADC dilution (100µl) are dispensed in 96-well black plates, previously plated with cell suspension (100µl), resulting in a final volume of 200 µl. Control wells receive cell culture medium (100µl).

If the doubling time of the cell line is greater than 30 hours, ADC incubation is for 5 days, otherwise a four day incubation is done.

- 35 At the end of the incubation period, cell viability is assessed with the Alamar blue assay. AlamarBlue (Invitrogen) is dispensed over the whole plate (20µl per well) and incubated for 4

hours. Alamar blue fluorescence is measured at excitation 570nm, emission 585nm on the Varioskan flash plate reader. Percentage cell survival is calculated from the mean fluorescence in the ADC treated wells compared to the mean fluorescence in the control wells.

5

In vivo efficacy

The *in vivo* efficacy of antibody-drug conjugates (ADC) of the invention can be measured by tumor xenograft studies in mice. For example, the *in vivo* efficacy of an anti-HER2 ADC of the invention can be measured by a high expressing HER2 transgenic explant mouse model.

10 An allograft is propagated from the Fo5 mmtv transgenic mouse which does not respond to, or responds poorly to, HERCEPTIN® therapy. Subjects were treated once with ADC at certain dose levels (mg/kg) and PBD drug exposure ($\mu\text{g}/\text{m}^2$); and placebo buffer control (Vehicle) and monitored over two weeks or more to measure the time to tumor doubling, log cell kill, and tumor shrinkage.

15 **Use**

The conjugates of the invention may be used to provide a PBD conjugate at a target location.

20 The target location is preferably a proliferative cell population. The antibody is an antibody for an antigen present in a proliferative cell population.

In one embodiment the antigen is absent or present at a reduced level in a non-proliferative cell population compared to the amount of antigen present in the proliferative cell population, for example a tumour cell population.

25

The target location may be *in vitro*, *in vivo* or *ex vivo*.

30 The antibody-drug conjugate (ADC) compounds of the invention include those with utility for anticancer activity. In particular, the compounds include an antibody conjugated, i.e. covalently attached by a linker, to a PBD moiety.

35 At the target location the linker may not be cleaved. The antibody-drug conjugate (ADC) compounds of the invention may have a cytotoxic effect without the cleavage of the linker to release a PBD drug moiety. The antibody-drug conjugates (ADC) of the invention selectively deliver cytotoxic agent to tumor tissue whereby greater selectivity, i.e. a lower efficacious dose, may be achieved.

Thus, in one aspect, the present invention provides a conjugate compound as described herein for use in therapy.

- 5 In a further aspect there is also provides a conjugate compound as described herein for use in the treatment of a proliferative disease. A second aspect of the present invention provides the use of a conjugate compound in the manufacture of a medicament for treating a proliferative disease.
- 10 One of ordinary skill in the art is readily able to determine whether or not a candidate conjugate treats a proliferative condition for any particular cell type. For example, assays which may conveniently be used to assess the activity offered by a particular compound are described in the examples below.
- 15 The term "proliferative disease" pertains to an unwanted or uncontrolled cellular proliferation of excessive or abnormal cells which is undesired, such as, neoplastic or hyperplastic growth, whether *in vitro* or *in vivo*.

Examples of proliferative conditions include, but are not limited to, benign, pre-malignant, and malignant cellular proliferation, including but not limited to, neoplasms and tumours (e.g. histocytoma, glioma, astrocytoma, osteoma), cancers (e.g. lung cancer, small cell lung cancer, gastrointestinal cancer, bowel cancer, colon cancer, breast carcinoma, ovarian carcinoma, prostate cancer, testicular cancer, liver cancer, kidney cancer, bladder cancer, pancreas cancer, brain cancer, sarcoma, osteosarcoma, Kaposi's sarcoma, melanoma), leukemias, psoriasis, bone diseases, fibroproliferative disorders (e.g. of connective tissues), and atherosclerosis. Cancers of particular interest include, but are not limited to, leukemias and ovarian cancers.

Any type of cell may be treated, including but not limited to, lung, gastrointestinal (including, e.g. bowel, colon), breast (mammary), ovarian, prostate, liver (hepatic), kidney (renal), bladder, pancreas, brain, and skin.

In one embodiment, the treatment is of a pancreatic cancer.

In one embodiment, the treatment is of a tumour having $\alpha_v\beta_6$ integrin on the surface of the cell.

It is contemplated that the antibody-drug conjugates (ADC) of the present invention may be used to treat various diseases or disorders, e.g. characterized by the overexpression of a tumor antigen. Exemplary conditions or hyperproliferative disorders include benign or malignant tumors; leukemia, haematological, and lymphoid malignancies. Others include

5 neuronal, glial, astrocytal, hypothalamic, glandular, macrophagal, epithelial, stromal, blastocoelic, inflammatory, angiogenic and immunologic, including autoimmune, disorders.

Generally, the disease or disorder to be treated is a hyperproliferative disease such as cancer. Examples of cancer to be treated herein include, but are not limited to, carcinoma, 10 lymphoma, blastoma, sarcoma, and leukemia or lymphoid malignancies. More particular examples of such cancers include squamous cell cancer (e.g. epithelial squamous cell cancer), lung cancer including small-cell lung cancer, non-small cell lung cancer, adenocarcinoma of the lung and squamous carcinoma of the lung, cancer of the peritoneum, hepatocellular cancer, gastric or stomach cancer including gastrointestinal cancer, 15 pancreatic cancer, glioblastoma, cervical cancer, ovarian cancer, liver cancer, bladder cancer, hepatoma, breast cancer, colon cancer, rectal cancer, colorectal cancer, endometrial or uterine carcinoma, salivary gland carcinoma, kidney or renal cancer, prostate cancer, vulval cancer, thyroid cancer, hepatic carcinoma, anal carcinoma, penile carcinoma, as well as head and neck cancer.

20 Autoimmune diseases for which the ADC compounds may be used in treatment include rheumatologic disorders (such as, for example, rheumatoid arthritis, Sjögren's syndrome, scleroderma, lupus such as SLE and lupus nephritis, polymyositis/dermatomyositis, cryoglobulinemia, anti-phospholipid antibody syndrome, and psoriatic arthritis), osteoarthritis, 25 autoimmune gastrointestinal and liver disorders (such as, for example, inflammatory bowel diseases (e.g. ulcerative colitis and Crohn's disease), autoimmune gastritis and pernicious anemia, autoimmune hepatitis, primary biliary cirrhosis, primary sclerosing cholangitis, and celiac disease), vasculitis (such as, for example, ANCA-associated vasculitis, including Churg-Strauss vasculitis, Wegener's granulomatosis, and polyarteritis), autoimmune 30 neurological disorders (such as, for example, multiple sclerosis, opsoclonus myoclonus syndrome, myasthenia gravis, neuromyelitis optica, Parkinson's disease, Alzheimer's disease, and autoimmune polyneuropathies), renal disorders (such as, for example, glomerulonephritis, Goodpasture's syndrome, and Berger's disease), autoimmune dermatologic disorders (such as, for example, psoriasis, urticaria, hives, pemphigus vulgaris, 35 bullous pemphigoid, and cutaneous lupus erythematosus), hematologic disorders (such as, for example, thrombocytopenic purpura, thrombotic thrombocytopenic purpura, post-

transfusion purpura, and autoimmune hemolytic anemia), atherosclerosis, uveitis, autoimmune hearing diseases (such as, for example, inner ear disease and hearing loss), Behcet's disease, Raynaud's syndrome, organ transplant, and autoimmune endocrine disorders (such as, for example, diabetic-related autoimmune diseases such as insulin-

5 dependent diabetes mellitus (IDDM), Addison's disease, and autoimmune thyroid disease (e.g. Graves' disease and thyroiditis)). More preferred such diseases include, for example, rheumatoid arthritis, ulcerative colitis, ANCA-associated vasculitis, lupus, multiple sclerosis, Sjögren's syndrome, Graves' disease, IDDM, pernicious anemia, thyroiditis, and glomerulonephritis.

10

Methods of Treatment

The conjugates of the present invention may be used in a method of therapy. Also provided is a method of treatment, comprising administering to a subject in need of treatment a therapeutically-effective amount of a conjugate compound of the invention. The term

15 "therapeutically effective amount" is an amount sufficient to show benefit to a patient. Such benefit may be at least amelioration of at least one symptom. The actual amount administered, and rate and time-course of administration, will depend on the nature and severity of what is being treated. Prescription of treatment, e.g. decisions on dosage, is within the responsibility of general practitioners and other medical doctors.

20

A compound of the invention may be administered alone or in combination with other treatments, either simultaneously or sequentially dependent upon the condition to be treated. Examples of treatments and therapies include, but are not limited to, chemotherapy (the administration of active agents, including, e.g. drugs, such as chemotherapeutics); surgery; 25 and radiation therapy.

A "chemotherapeutic agent" is a chemical compound useful in the treatment of cancer, regardless of mechanism of action. Classes of chemotherapeutic agents include, but are not limited to: alkylating agents, antimetabolites, spindle poison plant alkaloids, 30 cytotoxic/antitumor antibiotics, topoisomerase inhibitors, antibodies, photosensitizers, and kinase inhibitors. Chemotherapeutic agents include compounds used in "targeted therapy" and conventional chemotherapy.

Examples of chemotherapeutic agents include: erlotinib (TARCEVA®, Genentech/OSI Pharm.), docetaxel (TAXOTERE®, Sanofi-Aventis), 5-FU (fluorouracil, 5-fluorouracil, CAS No. 51-21-8), gemcitabine (GEMZAR®, Lilly), PD-0325901 (CAS No. 391210-10-9, Pfizer),

cisplatin (cis-diamine, dichloroplatinum(II), CAS No. 15663-27-1), carboplatin (CAS No. 41575-94-4), paclitaxel (TAXOL®, Bristol-Myers Squibb Oncology, Princeton, N.J.), trastuzumab (HERCEPTIN®, Genentech), temozolomide (4-methyl-5-oxo- 2,3,4,6,8-pentazabicyclo [4.3.0] nona-2,7,9-triene- 9-carboxamide, CAS No. 85622-93-1,

5 TEMODAR®, TEMODAL®, Schering Plough), tamoxifen ((Z)-2-[4-(1,2-diphenylbut-1-enyl)phenoxy]-N,N-dimethylethanamine, NOLVADEX®, ISTUBAL®, VALODEX®), and doxorubicin (ADRIAMYCIN®), Akti-1/2, HPPD, and rapamycin. More examples of chemotherapeutic agents include: oxaliplatin (ELOXATIN®, Sanofi), bortezomib (VELCADE®, Millennium Pharm.), sutent (SUNITINIB®, SU11248, Pfizer),
10 letrozole (FEMARA®, Novartis), imatinib mesylate (GLEEVEC®, Novartis), XL-518 (Mek inhibitor, Exelixis, WO 2007/044515), ARRY-886 (Mek inhibitor, AZD6244, Array BioPharma, Astra Zeneca), SF-1126 (PI3K inhibitor, Semafore Pharmaceuticals), BEZ-235 (PI3K inhibitor, Novartis), XL-147 (PI3K inhibitor, Exelixis), PTK787/ZK 222584 (Novartis), fulvestrant (FASLODEX®, AstraZeneca), leucovorin (folinic acid), rapamycin (sirolimus,
15 RAPAMUNE®, Wyeth), lapatinib (TYKERB®, GSK572016, Glaxo Smith Kline), Ionafarnib (SARASAR™, SCH 66336, Schering Plough), sorafenib (NEXAVAR®, BAY43-9006, Bayer Labs), gefitinib (IRESSA®, AstraZeneca), irinotecan (CAMPTOSAR®, CPT-11, Pfizer), tipifarnib (ZARNESTRA™, Johnson & Johnson), ABRAXANE™ (Cremophor-free), albumin-engineered nanoparticle formulations of paclitaxel (American Pharmaceutical Partners,
20 Schaumberg, II), vandetanib (rINN, ZD6474, ZACTIMA®, AstraZeneca), chlorambucil, AG1478, AG1571 (SU 5271; Sugen), temsirolimus (TORISEL®, Wyeth), pazopanib (GlaxoSmithKline), canfosfamide (TELCYTA®, Telik), thiotapec and cyclophosphamide (CYTOXAN®, NEOSAR®); alkyl sulfonates such as busulfan, improsulfan and piposulfan; aziridines such as benzodopa, carboquone, meturedopa, and uredopa; ethylenimines and
25 methylamelamines including altretamine, triethylenemelamine, triethylenephosphoramide, triethylenethiophosphoramide and trimethylomelamine; acetogenins (especially bullatacin and bullatacinone); a camptothecin (including the synthetic analog topotecan); bryostatin; callystatin; CC-1065 (including its adozelesin, carzelesin and bizelesin synthetic analogs); cryptophycins (particularly cryptophycin 1 and cryptophycin 8); dolastatin; duocarmycin
30 (including the synthetic analogs, KW-2189 and CB1-TM1); eleutherobin; pancratistatin; a sarcodictyin; spongistatin; nitrogen mustards such as chlorambucil, chlornaphazine, chlorophosphamide, estramustine, ifosfamide, mechlorethamine, mechlorethamine oxide hydrochloride, melphalan, novembichin, phenesterine, prednimustine, trofosfamide, uracil mustard; nitrosoureas such as carmustine, chlorozotocin, fotemustine, lomustine, nimustine,
35 and ranimustine; antibiotics such as the enediyne antibiotics (e.g. calicheamicin, calicheamicin gamma1I, calicheamicin omegal1 (*Angew Chem. Intl. Ed. Engl.* (1994)

33:183-186); dynemicin, dynemicin A; bisphosphonates, such as clodronate; an esperamicin; as well as neocarzinostatin chromophore and related chromoprotein enediyne antibiotic chromophores), aclacinomysins, actinomycin, authramycin, azaserine, bleomycins, cactinomycin, carabacin, carminomycin, carzinophilin, chromomycinis, dactinomycin,
5 daunorubicin, detorubicin, 6-diazo-5-oxo-L-norleucine, morpholino-doxorubicin, cyanomorpholino-doxorubicin, 2-pyrrolino-doxorubicin and deoxydoxorubicin), epirubicin, esorubicin, idarubicin, nemorubicin, marcellomycin, mitomycins such as mitomycin C, mycophenolic acid, nogalamycin, olivomycins, peplomycin, porfiromycin, puromycin, quelamycin, rodarubicin, streptonigrin, streptozocin, tubercidin, ubenimex, zinostatin,
10 zorubicin; anti-metabolites such as methotrexate and 5-fluorouracil (5-FU); folic acid analogs such as denopterin, methotrexate, pteropterin, trimetrexate; purine analogs such as fludarabine, 6-mercaptopurine, thioguanine; pyrimidine analogs such as ancitabine, azacitidine, 6-azauridine, carmofur, cytarabine, dideoxyuridine, doxifluridine, enocitabine, floxuridine; androgens such as calusterone, dromostanolone propionate,
15 epitostanol, mepitiostane, testolactone; anti-adrenals such as aminoglutethimide, mitotane, trilostane; folic acid replenisher such as folinic acid; aceglatone; aldophosphamide glycoside; aminolevulinic acid; eniluracil; amsacrine; bestabucil; bisantrene; edatraxate; defofamine; demecolcine; diaziquone; el fornithine; elliptinium acetate; an epothilone; etoglined; gallium nitrate; hydroxyurea; lentinan; ionidainine; maytansinoids such as
20 maytansine and ansamitocins; mitoguazone; mitoxantrone; moidanmol; nitraerine; pentostatin; phenamet; pirarubicin; losoxantrone; podophyllinic acid; 2-ethylhydrazide; procarbazine; PSK® polysaccharide complex (JHS Natural Products, Eugene, OR); razoxane; rhizoxin; sizofiran; spirogermanium; tenuazonic acid; triaziquone; 2,2',2"-trichlorotriethylamine; trichothecenes (especially T-2 toxin, verracurin A, roridin A and
25 anguidine); urethan; vindesine; dacarbazine; mannomustine; mitobronitol; mitolactol; pipobroman; gacytosine; arabinoside ("Ara-C"); cyclophosphamide; thiotepe; 6-thioguanine; mercaptopurine; methotrexate; platinum analogs such as cisplatin and carboplatin; vinblastine; etoposide (VP-16); ifosfamide; mitoxantrone; vincristine; vinorelbine (NAVELBINE®); novantrone; teniposide; edatrexate; daunomycin; aminopterin; capecitabine
30 (XELODA®, Roche); ibandronate; CPT-11; topoisomerase inhibitor RFS 2000; difluoromethylornithine (DMFO); retinoids such as retinoic acid; and pharmaceutically acceptable salts, acids and derivatives of any of the above.

Also included in the definition of "chemotherapeutic agent" are: (i) anti-hormonal agents that
35 act to regulate or inhibit hormone action on tumors such as anti-estrogens and selective estrogen receptor modulators (SERMs), including, for example, tamoxifen (including

NOLVADEX®; tamoxifen citrate), raloxifene, droloxifene, 4-hydroxytamoxifen, trioxifene, keoxifene, LY117018, onapristone, and FARESTON® (toremifene citrate); (ii) aromatase inhibitors that inhibit the enzyme aromatase, which regulates estrogen production in the adrenal glands, such as, for example, 4(5)-imidazoles, aminoglutethimide, MEGASE®

5 (megestrol acetate), AROMASIN® (exemestane; Pfizer), formestane, fadrozole, RIVISOR® (vorozole), FEMARA® (letrozole; Novartis), and ARIMIDEX® (anastrozole; AstraZeneca); (iii) anti-androgens such as flutamide, nilutamide, bicalutamide, leuprolide, and goserelin; as well as troxacitabine (a 1,3-dioxolane nucleoside cytosine analog); (iv) protein kinase

10 inhibitors such as MEK inhibitors (WO 2007/044515); (v) lipid kinase inhibitors; (vi) antisense oligonucleotides, particularly those which inhibit expression of genes in signaling pathways implicated in aberrant cell proliferation, for example, PKC-alpha, Raf and H-Ras, such as oblimersen (GENASENSE®, Genta Inc.); (vii) ribozymes such as VEGF expression inhibitors (e.g., ANGIOZYME®) and HER2 expression inhibitors; (viii) vaccines such as gene therapy vaccines, for example, ALLOVECTIN®, LEUVECTIN®, and VAXID®; PROLEUKIN®

15 rIL-2; topoisomerase 1 inhibitors such as LURTOTECAN®; ABARELIX® rmRH; (ix) anti-angiogenic agents such as bevacizumab (AVASTIN®, Genentech); and pharmaceutically acceptable salts, acids and derivatives of any of the above.

Also included in the definition of "chemotherapeutic agent" are therapeutic antibodies such as alemtuzumab (Campath), bevacizumab (AVASTIN®, Genentech); cetuximab

20 (ERBITUX®, Imclone); panitumumab (VECTIBIX®, Amgen), rituximab (RITUXAN®, Genentech/Biogen Idec), pertuzumab (OMNITARG™, 2C4, Genentech), trastuzumab (HERCEPTIN®, Genentech), tositumomab (Bexxar, Corixia), and the antibody drug conjugate, gemtuzumab ozogamicin (MYLOTARG®, Wyeth).

25 Humanized monoclonal antibodies with therapeutic potential as chemotherapeutic agents in combination with the conjugates of the invention include: alemtuzumab, apolizumab, aselizumab, atlizumab, bapineuzumab, bevacizumab, bivatuzumab mertansine, cantuzumab mertansine, cedelizumab, certolizumab pegol, cidefusituzumab, cidefuzumab, daclizumab, eculizumab, efalizumab, epratuzumab, erlizumab, felyfizumab, fontolizumab, gemtuzumab

30 ozogamicin, inotuzumab ozogamicin, ipilimumab, labetuzumab, lintuzumab, matuzumab, mepolizumab, motavizumab, motovizumab, natalizumab, nimotuzumab, nolovizumab, numavizumab, ocrelizumab, omalizumab, palivizumab, pascolizumab, pecfusituzumab, pectuzumab, pertuzumab, pexelizumab, ralivizumab, ranibizumab, reslizumab, reslizumab, resyfizumab, rovelizumab, ruplizumab, sibrotuzumab, siplizumab, sontuzumab,

35 tacatuzumab tetraxetan, tadocizumab, talizumab, tefibazumab, tocilizumab, toralizumab,

trastuzumab, tucotuzumab celmoleukin, tucusituzumab, umavizumab, urtoxazumab, and visilizumab.

Pharmaceutical compositions according to the present invention, and for use in accordance
5 with the present invention, may comprise, in addition to the active ingredient, i.e. a conjugate compound, a pharmaceutically acceptable excipient, carrier, buffer, stabiliser or other materials well known to those skilled in the art. Such materials should be non-toxic and should not interfere with the efficacy of the active ingredient. The precise nature of the carrier or other material will depend on the route of administration, which may be oral, or by
10 injection, e.g. cutaneous, subcutaneous, or intravenous.

Pharmaceutical compositions for oral administration may be in tablet, capsule, powder or liquid form. A tablet may comprise a solid carrier or an adjuvant. Liquid pharmaceutical compositions generally comprise a liquid carrier such as water, petroleum, animal or
15 vegetable oils, mineral oil or synthetic oil. Physiological saline solution, dextrose or other saccharide solution or glycols such as ethylene glycol, propylene glycol or polyethylene glycol may be included. A capsule may comprise a solid carrier such a gelatin.

For intravenous, cutaneous or subcutaneous injection, or injection at the site of affliction, the
20 active ingredient will be in the form of a parenterally acceptable aqueous solution which is pyrogen-free and has suitable pH, isotonicity and stability. Those of relevant skill in the art are well able to prepare suitable solutions using, for example, isotonic vehicles such as Sodium Chloride Injection, Ringer's Injection, Lactated Ringer's Injection. Preservatives, stabilisers, buffers, antioxidants and/or other additives may be included, as required.

25

Formulations

While it is possible for the conjugate compound to be used (e.g., administered) alone, it is often preferable to present it as a composition or formulation.

30 In one embodiment, the composition is a pharmaceutical composition (e.g., formulation, preparation, medicament) comprising a conjugate compound, as described herein, and a pharmaceutically acceptable carrier, diluent, or excipient.

35 In one embodiment, the composition is a pharmaceutical composition comprising at least one conjugate compound, as described herein, together with one or more other pharmaceutically acceptable ingredients well known to those skilled in the art, including, but

not limited to, pharmaceutically acceptable carriers, diluents, excipients, adjuvants, fillers, buffers, preservatives, anti-oxidants, lubricants, stabilisers, solubilisers, surfactants (e.g., wetting agents), masking agents, colouring agents, flavouring agents, and sweetening agents.

5

In one embodiment, the composition further comprises other active agents, for example, other therapeutic or prophylactic agents.

Suitable carriers, diluents, excipients, etc. can be found in standard pharmaceutical texts.

10 See, for example, Handbook of Pharmaceutical Additives, 2nd Edition (eds. M. Ash and I. Ash), 2001 (Synapse Information Resources, Inc., Endicott, New York, USA), Remington's Pharmaceutical Sciences, 20th edition, pub. Lippincott, Williams & Wilkins, 2000; and Handbook of Pharmaceutical Excipients, 2nd edition, 1994.

15 Another aspect of the present invention pertains to methods of making a pharmaceutical composition comprising admixing at least one [¹¹C]-radiolabelled conjugate or conjugate-like compound, as defined herein, together with one or more other pharmaceutically acceptable ingredients well known to those skilled in the art, e.g., carriers, diluents, excipients, etc. If formulated as discrete units (e.g., tablets, etc.), each unit contains a predetermined amount
20 (dosage) of the active compound.

The term "pharmaceutically acceptable," as used herein, pertains to compounds, ingredients, materials, compositions, dosage forms, etc., which are, within the scope of sound medical judgment, suitable for use in contact with the tissues of the subject in question (e.g., human) without excessive toxicity, irritation, allergic response, or other problem or complication, commensurate with a reasonable benefit/risk ratio. Each carrier, diluent, excipient, etc. must also be "acceptable" in the sense of being compatible with the other ingredients of the formulation.

30 The formulations may be prepared by any methods well known in the art of pharmacy. Such methods include the step of bringing into association the active compound with a carrier which constitutes one or more accessory ingredients. In general, the formulations are prepared by uniformly and intimately bringing into association the active compound with carriers (e.g., liquid carriers, finely divided solid carrier, etc.), and then shaping the product, if
35 necessary.

The formulation may be prepared to provide for rapid or slow release; immediate, delayed, timed, or sustained release; or a combination thereof.

Formulations suitable for parenteral administration (e.g., by injection), include aqueous or

5 non-aqueous, isotonic, pyrogen-free, sterile liquids (e.g., solutions, suspensions), in which the active ingredient is dissolved, suspended, or otherwise provided (e.g., in a liposome or other microparticulate). Such liquids may additional contain other pharmaceutically acceptable ingredients, such as anti-oxidants, buffers, preservatives, stabilisers, bacteriostats, suspending agents, thickening agents, and solutes which render the

10 formulation isotonic with the blood (or other relevant bodily fluid) of the intended recipient.

Examples of excipients include, for example, water, alcohols, polyols, glycerol, vegetable oils, and the like. Examples of suitable isotonic carriers for use in such formulations include Sodium Chloride Injection, Ringer's Solution, or Lactated Ringer's Injection. Typically, the concentration of the active ingredient in the liquid is from about 1 ng/ml to about 10 µg/ml,

15 for example from about 10 ng/ml to about 1 µg/ml. The formulations may be presented in unit-dose or multi-dose sealed containers, for example, ampoules and vials, and may be stored in a freeze-dried (lyophilised) condition requiring only the addition of the sterile liquid carrier, for example water for injections, immediately prior to use. Extemporaneous injection solutions and suspensions may be prepared from sterile powders, granules, and tablets.

20

Dosage

It will be appreciated by one of skill in the art that appropriate dosages of the conjugate compound, and compositions comprising the conjugate compound, can vary from patient to patient. Determining the optimal dosage will generally involve the balancing of the level of

25 therapeutic benefit against any risk or deleterious side effects. The selected dosage level will depend on a variety of factors including, but not limited to, the activity of the particular compound, the route of administration, the time of administration, the rate of excretion of the compound, the duration of the treatment, other drugs, compounds, and/or materials used in combination, the severity of the condition, and the species, sex, age, weight, condition,

30 general health, and prior medical history of the patient. The amount of compound and route of administration will ultimately be at the discretion of the physician, veterinarian, or clinician, although generally the dosage will be selected to achieve local concentrations at the site of action which achieve the desired effect without causing substantial harmful or deleterious side-effects.

35

Administration can be effected in one dose, continuously or intermittently (e.g., in divided doses at appropriate intervals) throughout the course of treatment. Methods of determining the most effective means and dosage of administration are well known to those of skill in the art and will vary with the formulation used for therapy, the purpose of the therapy, the target 5 cell(s) being treated, and the subject being treated. Single or multiple administrations can be carried out with the dose level and pattern being selected by the treating physician, veterinarian, or clinician.

In general, a suitable dose of the active compound is in the range of about 100 ng to about 10 25 mg (more typically about 1 μ g to about 10 mg) per kilogram body weight of the subject per day. Where the active compound is a salt, an ester, an amide, a prodrug, or the like, the amount administered is calculated on the basis of the parent compound and so the actual weight to be used is increased proportionately.

15 In one embodiment, the active compound is administered to a human patient according to the following dosage regime: about 100 mg, 3 times daily.

In one embodiment, the active compound is administered to a human patient according to the following dosage regime: about 150 mg, 2 times daily.

20 In one embodiment, the active compound is administered to a human patient according to the following dosage regime: about 200 mg, 2 times daily.

However in one embodiment, the conjugate compound is administered to a human patient 25 according to the following dosage regime: about 50 or about 75 mg, 3 or 4 times daily.

In one embodiment, the conjugate compound is administered to a human patient according to the following dosage regime: about 100 or about 125 mg, 2 times daily.

30 The dosage amounts described above may apply to the conjugate (including the PBD moiety and the linker to the antibody) or to the effective amount of PBD compound provided, for example the amount of compound that is releasable after cleavage of the linker.

For the prevention or treatment of disease, the appropriate dosage of an ADC of the 35 invention will depend on the type of disease to be treated, as defined above, the severity and course of the disease, whether the molecule is administered for preventive or

therapeutic purposes, previous therapy, the patient's clinical history and response to the antibody, and the discretion of the attending physician. The molecule is suitably administered to the patient at one time or over a series of treatments. Depending on the type and severity of the disease, about 1 μ g/kg to 15 mg/kg (e.g. 0.1-20 mg/kg) of molecule is an

5 initial candidate dosage for administration to the patient, whether, for example, by one or more separate administrations, or by continuous infusion. A typical daily dosage might range from about 1 μ g/kg to 100 mg/kg or more, depending on the factors mentioned above. An exemplary dosage of ADC to be administered to a patient is in the range of about 0.1 to about 10 mg/kg of patient weight. For repeated administrations over several days or longer, 10 depending on the condition, the treatment is sustained until a desired suppression of disease symptoms occurs. An exemplary dosing regimen comprises a course of administering an initial loading dose of about 4 mg/kg, followed by additional doses every week, two weeks, or three weeks of an ADC. Other dosage regimens may be useful. The progress of this therapy is easily monitored by conventional techniques and assays.

15

Treatment

The term "treatment," as used herein in the context of treating a condition, pertains generally to treatment and therapy, whether of a human or an animal (e.g., in veterinary applications), in which some desired therapeutic effect is achieved, for example, the inhibition of the

20 progress of the condition, and includes a reduction in the rate of progress, a halt in the rate of progress, regression of the condition, amelioration of the condition, and cure of the condition. Treatment as a prophylactic measure (i.e., prophylaxis, prevention) is also included.

25 The term "therapeutically-effective amount," as used herein, pertains to that amount of an active compound, or a material, composition or dosage from comprising an active compound, which is effective for producing some desired therapeutic effect, commensurate with a reasonable benefit/risk ratio, when administered in accordance with a desired treatment regimen.

30

Similarly, the term "prophylactically-effective amount," as used herein, pertains to that amount of an active compound, or a material, composition or dosage from comprising an active compound, which is effective for producing some desired prophylactic effect, commensurate with a reasonable benefit/risk ratio, when administered in accordance with a desired treatment regimen.

35

Preparation of Antibody drug conjugates

Antibody drug conjugates may be prepared by several routes, employing organic chemistry reactions, conditions, and reagents known to those skilled in the art, including: (1) reaction of a nucleophilic group of an antibody with a bivalent linker reagent, to form antibody-linker

5 intermediate Ab-L, via a covalent bond, followed by reaction with an activated drug moiety reagent ; and (2) reaction of a drug moiety reagent with a linker reagent, to form drug-linker reagent D-L, via a covalent bond, followed by reaction with the nucleophilic of an antibody. Conjugation methods (1) and (2) may be employed with a variety of antibodies, and linkers to prepare the antibody-drug conjugates of the invention.

10

Nucleophilic groups on antibodies include, but are not limited to side chain thiol groups, e.g. cysteine. Thiol groups are nucleophilic and capable of reacting to form covalent bonds with electrophilic groups on linker moieties such as those of the present invention. Certain antibodies have reducible interchain disulfides, i.e. cysteine bridges. Antibodies may be

15 made reactive for conjugation with linker reagents by treatment with a reducing agent such as DTT (Cleland's reagent, dithiothreitol) or TCEP (tris(2-carboxyethyl)phosphine hydrochloride; Getz et al (1999) *Anal. Biochem.* Vol 273:73-80; Soltec Ventures, Beverly, MA). Each cysteine disulfide bridge will thus form, theoretically, two reactive thiol nucleophiles. Additional nucleophilic groups can be introduced into antibodies through the
20 reaction of lysines with 2-iminothiolane (Traut's reagent) resulting in conversion of an amine into a thiol.

The Subject/Patient

The subject/patient may be an animal, mammal, a placental mammal, a marsupial

25 (e.g., kangaroo, wombat), a monotreme (e.g., duckbilled platypus), a rodent (e.g., a guinea pig, a hamster, a rat, a mouse), murine (e.g., a mouse), a lagomorph (e.g., a rabbit), avian (e.g., a bird), canine (e.g., a dog), feline (e.g., a cat), equine (e.g., a horse), porcine (e.g., a pig), ovine (e.g., a sheep), bovine (e.g., a cow), a primate, simian (e.g., a monkey or ape), a monkey (e.g., marmoset, baboon), an ape (e.g., gorilla, chimpanzee, orangutang, gibbon), or
30 a human.

Furthermore, the subject/patient may be any of its forms of development, for example, a foetus. In one preferred embodiment, the subject/patient is a human.

35 In one embodiment, the patient is a population where each patient has a tumour having $\alpha_v\beta_6$ integrin on the surface of the cell.

Synthesis

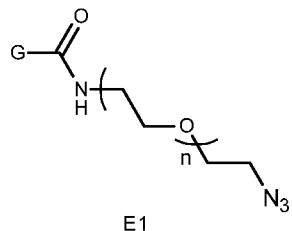
Conjugates of formula A may be synthesised from corresponding drug-linker compounds of formula B by reacting them with cell binding agents under appropriate conditions. Thus,

5 conjugates where Y is of formula A1 may be synthesised from drug-linker compounds where Y^L is of formula B1. Conjugates where Y is of formula A2 may be synthesised from drug-linker compounds where Y^L is of formula B2. Conjugates where Y is of formula A3 may be synthesised from drug-linker compounds where Y^L is of formula B3.

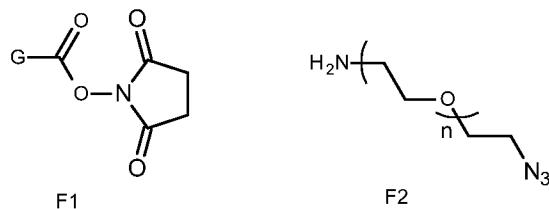
10 The conditions, as described above, will depend on the type of bond being formed between the drug-linker compound and the cell binding agent, which itself will reflect the nature of the binding site on the cell binding agent.

Drug-linker compounds of formula B may be synthesised from corresponding compounds of formula C.

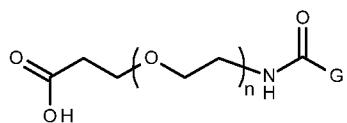
Drug-linker compounds where Y^L is of formula B1 may be synthesised from compounds where Y^C is of formula C1, by reaction with a compound of formula E1:



20 in an appropriate solvent. Compounds of formula E1 may be formed *in situ* by reaction of
compounds of formulae F1 and F2:



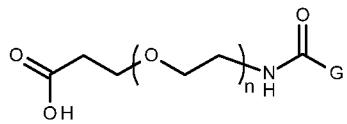
25 Drug-linker compounds where Y^L is of formula B2 may be synthesised from compounds where Y^C is of formula C2, by reaction with a compound of formula E2:



in an appropriate solvent, in the presence of an amide coupling reagent.

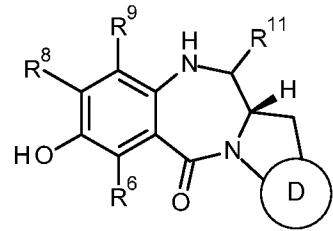
Drug-linker compounds where Y^L is of formula B3 may be synthesised from compounds

5 where Y^C is of formula C3, reaction with a compound of formula E2:



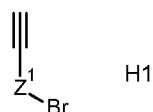
in an appropriate solvent, in the presence of an amide coupling reagent.

Compound of formula C can be made from the corresponding compound of formula G:



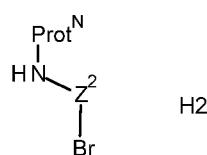
10

Compounds of formula C where Y^C is of formula C1 may be synthesised by reacting a compound of formula G with a compound of formula H1:



15 in the presence of tetrabutylammonium iodide and potassium carbonate.

Compounds of formula C where Y^C is of formula C2 may be synthesised by reacting a compound of formula G with a compound of formula H2:

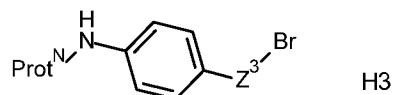


20 where $Prot^N$ is an amine protecting group, such as Alloc, in the presence of tetrabutylammonium iodide and potassium carbonate, followed by deprotection of the amine

under standard conditions. The protecting group used should be orthogonal to any other protecting groups in the compound.

Compounds of formula C where Y^C is of formula C3 may be synthesised by reacting a

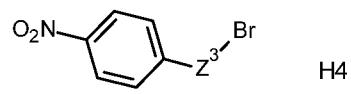
5 compound of formula G with a compound of formula H3:



where $Prot^N$ is an amine protecting group, such as Alloc, in the presence of tetrabutylammonium iodide and potassium carbonate, followed by deprotection of the amine

10 under standard conditions. The protecting group used should be orthogonal to any other protecting groups in the compound.

Alternatively, compounds of formula C where Y^C is of formula C3 may be synthesised by reacting a compound of formula G with a compound of formula H4:



15 in the presence of tetrabutylammonium iodide and potassium carbonate, followed by reduction of the nitro group under standard conditions.

Compound of formula G containing a single PBD moiety can be synthesised according to the disclosure of WO 2005/085259, and in particular the discussion from pages 31 to 39, which is incorporated herein by reference. Reference is also made to the teaching of co-pending application PCT/EP2012/070232, filed on 12 October 2012.

The synthesis of PBD compounds containing two imine moieties is extensively discussed in

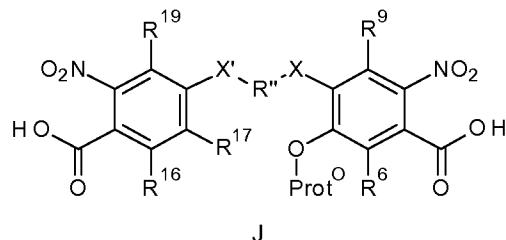
25 the following references, which discussions are incorporated herein by reference:

- a) WO 00/12508 (pages 14 to 30);
- b) WO 2005/023814 (pages 3 to 10);
- c) WO 2004/043963 (pages 28 to 29);
- d) WO 2005/085251 (pages 30 to 39); and
- e) WO 2011/130598 (pages 126 to 150).

The disclosure of WO 2005/085259 discussed above is also relevant to the synthesis of compounds of formula G which comprise two PBD moieties. The synthesis methods disclosed therein may be modified to include an orthogonally protected hydroxyl group at C7 (i.e. group R^A in Scheme 4).

5

Alternatively, compounds of formula G may be synthesised as described in the above references, but starting from a dimer core of formula J:



where Prot^O is a hydroxyl protecting group. Such compounds of formula J may be made by methods analogous to those in the examples of the present application.

Amine protecting groups

Amine protecting groups are well-known to those skilled in the art. Particular reference is made to the disclosure of suitable protecting groups in Greene's Protecting Groups in Organic Synthesis, Fourth Edition, John Wiley & Sons, 2007 (ISBN 978-0-471-69754-1), pages 696-871.

Hydroxyl protecting groups

Hydroxyl protecting groups are well-known to those skilled in the art. Particular reference is made to the disclosure of suitable protecting groups in Greene's Protecting Groups in Organic Synthesis, Fourth Edition, John Wiley & Sons, 2007 (ISBN 978-0-471-69754-1), pages 16-298.

General Conditions

Reaction progress was monitored by thin-layer chromatography (TLC) using Merck Kieselgel 60 F254 silica gel, with fluorescent indicator on aluminium plates. Visualisation of TLC was achieved with UV light or iodine vapour unless otherwise stated. Flash chromatography was performed using Merck Kieselgel 60 F254 silica gel. Extraction and chromatography solvents were bought and used without further purification from Fisher Scientific, U.K. All chemicals were purchased from Aldrich, VWR, and Combi Blocks.

¹H and ¹³C NMR spectra were obtained on a Bruker Avance 400 spectrometer. Coupling constants are quoted in hertz (Hz). Chemical shifts are recorded in parts per million (ppm) downfield from tetramethylsilane. Spin multiplicities are described as s (singlet), bs (broad singlet), d (doublet), t (triplet), q (quartet), p (pentuplet) and m (multiplet).

5

LCMS Method 1 (default when not specified)

The HPLC (Waters Alliance 2695) was run using a mobile phase of water (A) (formic acid 0.1%) and acetonitrile (B) (formic acid 0.1%). Gradient: initial composition 5% B held over 1.0 min, then increase from 5% B to 95% B over a 3 min period. The composition was held 10 for 0.1 min at 95% B, then returned to 5% B in 0.03 minutes and hold there for 0.87 min. Total gradient run time equals 5 min.

Flow rate 3.0 mL/min, 400µL was split *via* a zero dead volume tee piece which passes into the mass spectrometer. Wavelength detection range: 220 to 400 nm. Function type: diode array (535 scans). Column: Phenomenex Onyx Monolithic C18 50 x 4.60 mm

15

LCMS Method 2

The HPLC (Waters Alliance 2695) was run using a mobile phase of water (A) (formic acid 0.1%) and acetonitrile (B) (formic acid 0.1%). Gradient: initial composition 5% B held over 1.0 min, then increase from 5% B to 95% B over a 2.5 min period. The composition was held 20 for 0.5 min at 95% B, then returned to 5% B in 0.1 minutes and hold there for 0.9 min. Total gradient run time equals 5 min.

Flow rate 3.0 mL/min, 400µL was split *via* a zero dead volume tee piece which passes into the mass spectrometer. Wavelength detection range: 220 to 400 nm. Function type: diode array (535 scans). Column: Phenomenex Onyx Monolithic C18 50 x 4.60 mm."

25

LCMS Method 3

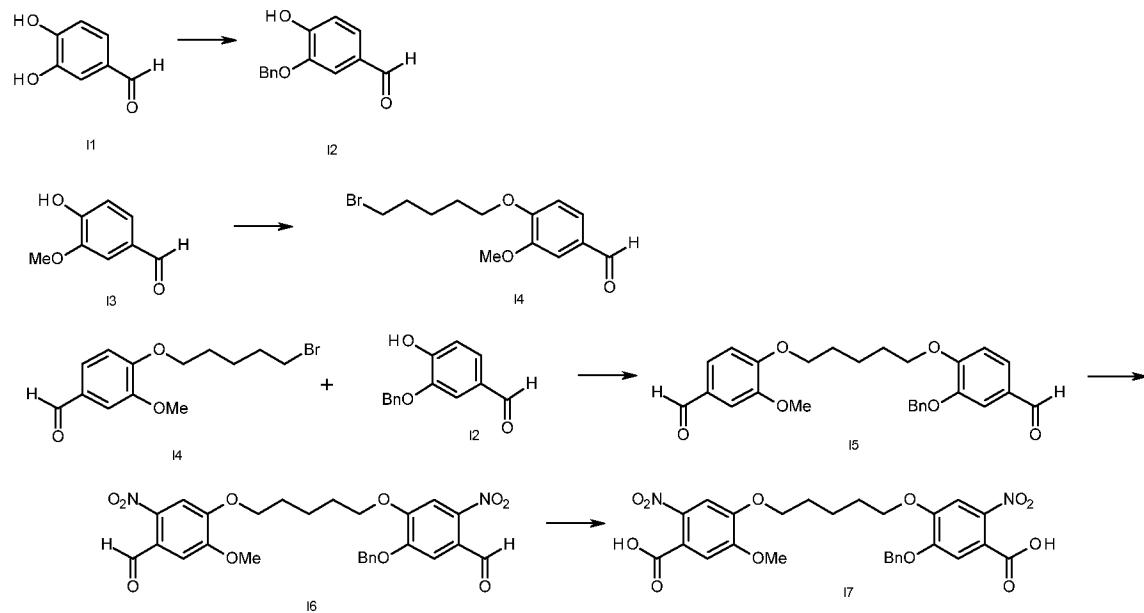
The HPLC (Shimazu LCMS-2020) was run using a mobile phase of water (A) (formic acid 0.1%) and acetonitrile (B) (formic acid 0.1%). Gradient: initial composition 5% B held over 0.25 min, then increase from 5% B to 100% B over a 2 min period. The composition was 30 held for 0.50 min at 100% B, then returned to 5% B in 0.05 minutes and hold there for 0.05 min. Total gradient run time equals 3 minutes.

Flow rate 0.8 mL/min. Wavelength detection range: 220 to 400 nm. Column: Waters Acuity UPLC BEH Shield RP18 1.7µm 2.1x50mm.

35

Synthesis of Key Intermediates

(a) 5-(benzyloxy)-4-((5-(4-carboxy-2-methoxy-5-nitrophenoxy)pentyl)oxy)-2-nitrobenzoic acid (I7)



5

(i) 3-(benzyloxy)-4-hydroxybenzaldehyde (I2)

Sodium hydride (51.2 g, 1.27 mol, 2.2 eq) was rinsed twice with hexane in a three-neck flask and anhydrous DMSO (800 mL) was added. The flask was placed in a water bath at room temperature. A solution of 3,4-dihydroxybenzaldehyde I1 (80 g, 579.2 mmol) in dry DMSO (160 mL) was added dropwise, with an addition funnel, over 40 minutes and the reaction mixture was stirred 30 minutes under Argon. During the addition a lot of hydrogen gas is formed, so an exit with cotton wool and calcium chloride is placed in one of the neck. Benzyl bromide (68.8 mL, 579.2 mmol, 1 eq) was then added dropwise and the reaction was stirred overnight. The reaction mixture was poured into ice and quenched with HCl (1M) until pH 10 acid and extracted with EtOAc. The organic phase was then washed with brine and was dried over magnesium sulphate, filtered and excess solvent was removed by rotary evaporation under reduced pressure. The resulting residue was subjected to a pad of Silica with pure dichloromethane. The resulting material was precipitated with a minimum of dichloromethane in hexane. The resulting white precipitate was filtered and dried to afford the desired compound (80.7 g, 60 % yield). LC/MS (Method 3) 1.43 min (no ionisation). ¹H NMR (400 MHz, CDCl₃) δ 9.81 (s, 1H), 7.51 (d, *J* = 1.8 Hz, 1H), 7.45 – 7.34 (m, 7H), 7.06 (d, *J* = 8.1 Hz, 1H), 6.24 (s, 1H), 5.17 (s, 2H).

(ii) 4-((5-bromopentyl)oxy)-3-methoxybenzaldehyde (I4)

Vanillin I3 (50 g, 328 mmol) was dissolved in acetone (1 L). Dibromopentane (227 g, 985 mmol, 3 eq) and potassium carbonate (68 g, 492 mmol, 1.5 eq) were added. The slurry was warmed to 65°C and stirred for 2 hours, and then 80°C for 30 minutes. The resulting

5 potassium carbonate was filtered and the excess solvent was removed by rotary evaporation under reduced pressure. The resulting residue was subjected to a pad of Silica: 7.5% EtOAc in Hexane (4 L) then 10% EtOAc in Hexane (1 L) and 25% EtOAc in Hexane to afford a white solid (53.7 g, 54 % yield). LC/MS (Method 3) 1.63 min (ES+) *m/z* (relative intensity) 302.53 ([M + H]⁺, 100). ¹H NMR (400 MHz, CDCl₃) δ 9.83 (s, 1H), 7.42 (dd, *J* = 8.1, 1.9 Hz, 1H), 7.40 (d, *J* = 1.9 Hz, 1H), 6.95 (d, *J* = 8.1 Hz, 1H), 4.10 (t, *J* = 6.6 Hz, 2H), 3.91 (s, 3H), 10 3.43 (t, *J* = 6.7 Hz, 2H), 1.92 (m, 4H), 1.64 (tt, *J* = 9.7, 6.2 Hz, 2H).

(iii) 3-(benzyloxy)-4-((5-(4-formyl-2-ethoxyphenoxy)pentyl)oxy) benzaldehyde (I5)

4-((5-bromopentyl)oxy)-3-methoxybenzaldehyde I4 (40.0 g, 132.81 mmol, 1 eq) and 3-

15 (benzyloxy)-4-hydroxybenzaldehyde I2 (30.3 g, 132.81 mmol, 1 eq) were dissolved in dimethylformamide (200 mL). Potassium carbonate (13.8 g, 99.61 mmol, 0.75 eq) and tetrabutylammonium iodide (4.9 g, 13.28 mmol, 0.1 eq) were added. The reaction mixture was warmed to 80°C and stirred for 12 hours. The resulting potassium carbonate was filtered and the excess solvent was removed by rotary evaporation under reduced pressure.

20 The resulting residue was dissolved in ethyl acetate and washed subsequently with water, 1N NaOH, 1N HCl, water and brine. The organic phase was dried over magnesium sulphate, filtered and excess solvent was removed by rotary evaporation under reduced pressure to afford the desired compound (75 g, quantitative yield) as a light yellow oil. LC/MS (Method 3) 1.77 min (ES+) *m/z* (relative intensity) 449.15 [M + H]⁺, 471.25 [M + Na]⁺. ¹H NMR (400 MHz, CDCl₃) δ 9.83 (s, 1H), 9.81 (s, 1H), 7.46 (dd, *J* = 3.1, 1.4 Hz, 2H), 7.43 (d, *J* = 1.7 Hz, 2H), 7.41 (dd, *J* = 8.1, 1.9 Hz, 1H), 7.39 (d, *J* = 1.8 Hz, 1H), 7.37 – 7.32 (m, 2H), 7.30 (dd, *J* = 5.0, 3.6 Hz, 1H), 6.97 (d, *J* = 8.1 Hz, 1H), 6.92 (d, *J* = 8.1 Hz, 1H), 5.15 (s, 2H), 4.13 (t, *J* = 6.4 Hz, 2H), 4.09 (t, *J* = 6.6 Hz, 2H), 3.88 (s, 3H), 2.01 – 1.89 (m, 4H), 1.77 – 1.64 (m, 2H).

30

(iv) 5-(benzyloxy)-4-((5-(4-formyl-2-methoxy-5-nitrophenoxy)pentyl)oxy)-2-nitrobenzaldehyde (I6)

3-(benzyloxy)-4-((5-(4-formyl-2-ethoxyphenoxy)pentyl)oxy) benzaldehyde I5 (30 g, 66.40 mmol) was dissolved in dichloromethane (60 mL) and added to nitric acid (68%, 60 mL) at

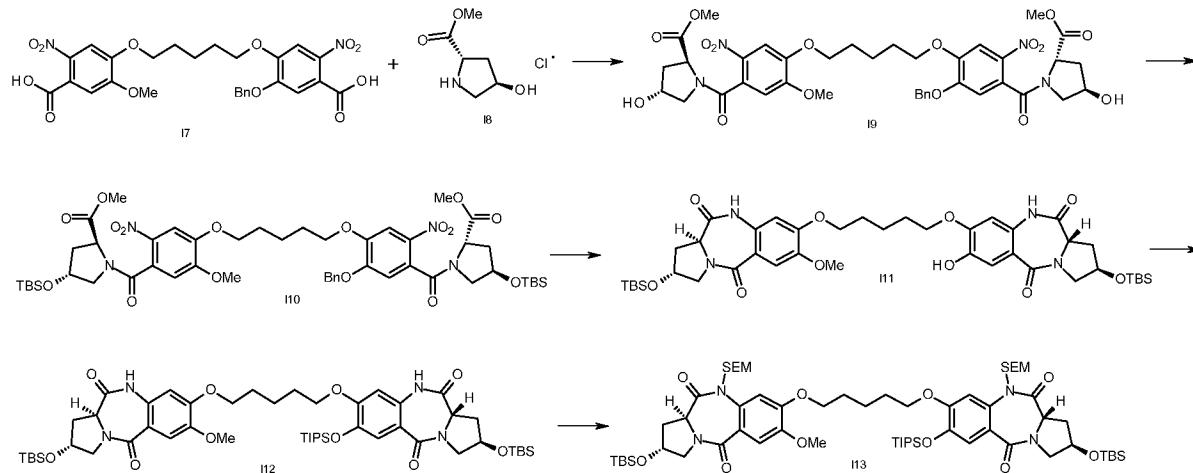
35 0°C. The reaction mixture was stirred at 0°C for 20 minutes and at room temperature for 3 hours and then cold water was added. The precipitate formed was filtered and washed with

water. The white solid was dried by vacuum. 42g of white solid was obtained (>100% yield due to water remaining). LC/MS (Method 3) 1.88 min, no ionisation. ¹H NMR (400 MHz, CDCl₃) δ 10.44 (s, 1H), 10.41 (s, 1H), 7.61 (s, 1H), 7.56 (s, 1H), 7.48 (s, 1H), 7.46 – 7.30 (m, 6H), 5.25 (s, 2H), 4.20 (dd, *J* = 12.2, 5.9 Hz, 2H), 4.13 (dd, *J* = 15.1, 8.7 Hz, 2H), 3.96 (s, 3H), 2.01 (dt, *J* = 14.1, 6.4 Hz, 4H), 1.80 – 1.65 (m, 2H).

5 (v) 5-(benzyloxy)-4-((5-(4-carboxy-2-methoxy-5-nitrophenoxy)pentyl)oxy)-2-nitrobenzoic acid (I7)

A solution of sodium chlorite (35.3 g, 390 mmol, 5 eq) and sodium phosphate (26.2 g, 218.4 mmol, 2.8 eq) in water (250 mL) was added to a solution of 5-(benzyloxy)-4-((5-(4-formyl-2-methoxy-5-nitrophenoxy)pentyl)oxy)-2-nitrobenzaldehyde I6 (42 g, 78 mmol) in THF (200 mL). Hydrogen peroxide (60%, 103 mL, 2.18 mol, 28 eq) was then added quickly. After 30 min, the reaction was quenched with 1N HCl and extracted with ethyl acetate. The organic layer was washed two times with brine, dried over magnesium sulphate; filtered and excess solvent was removed by rotary evaporation under reduced pressure. The resulting residue was dissolved in a minimum of dichloromethane and precipitated out with ether. Pale yellow solid (26 g, 58%) was filtered and washed with ether and used as crude for the next reaction. LC/MS (Method 3) 1.69 min (ES+) *m/z* (relative intensity) 569.35 [M + H]⁺.

20 (b) (2*R*,11*aS*)-2-((tert-butyldimethylsilyl)oxy)-8-((5-((2*R*,11*aS*)-2-((tert-butyldimethylsilyl)oxy)-5,11-dioxo-7-((triisopropylsilyl)oxy)-10-((2-(trimethylsilyl)ethoxy)methyl)-2,3,5,10,11,11*a*-hexahydro-1*H*-benzo[e]pyrrolo[1,2-*a*][1,4]diazepin-8-yl)oxy)pentyl)oxy)-7-methoxy-10-((2-(trimethylsilyl)ethoxy)methyl)-2,3-dihydro-1*H*-benzo[e]pyrrolo[1,2-*a*][1,4]diazepine-5,11(10*H*,11*aH*)-dione (I13)



(i) (2S,4R)-methyl 1-(4-((5-(2-(benzyloxy)-4-((2S,4R)-4-hydroxy-2-(methoxycarbonyl)pyrrolidine-1-carbonyl)-5-nitrophenoxy)pentyl)oxy)-5-methoxy-2-nitrobenzoyl)-4-hydroxypyrrrolidine-2-carboxylate (I9)

Oxalyl chloride (7.6 mL, 89.92 mmol, 3 eq) was added to a solution of I7 (17.1 g, 29.97

5 mmol) in dichloromethane (150 mL) and DMF (2 mL). After 20 min the solvent was removed by rotary evaporation under reduced pressure and minimum of dichloromethane was added to dissolve the crude and triturated with diethyl ether. The yellow solid formed was filtered and added portion wise to a solution of I8 (13.6 g, 74.93 mmol, 2.5 eq) and triethylamine (20.92 mL, 149.87 mmol, 5eq) in dichloromethane (100 mL) at -40°C. The reaction was
10 complete after few minutes. The solvent was removed by rotary evaporation under reduced pressure and the resulting residue was subjected to flash column chromatography (silica gel; 50% ethyl acetate in hexane to 100% ethyl acetate to collect the mono addition product and then 5% to 20% methanol in dichloromethane). Pure fractions were collected and combined and excess eluent was removed by rotary evaporation under reduced pressure to give the
15 product (15 g, 61% over 3 steps). LC/MS (Method 3) 1.47 min (ES+) *m/z* (relative intensity) 825.45 ([M + H]⁺, 100). ¹H NMR (400 MHz, CDCl₃) δ 7.65 (s, 1H), 7.61 (s, 1H), 7.45 – 7.27 (m, 5H), 6.89 (s, 1H), 6.81 (s, 1H), 5.20 (s, 2H), 4.85 – 4.74 (m, 2H), 4.40 (d, *J* = 20.3 Hz, 2H), 4.21 – 4.02 (m, 4H), 3.91 (s, 3H), 3.79 (s, 6H), 3.53 – 3.41 (m, 2H), 3.13 (d, *J* = 11.1 Hz, 1H), 3.04 (d, *J* = 11.0 Hz, 1H), 2.79 – 2.72 (m, *J* = 4.3 Hz, 1H), 2.63 (s, 1H), 2.42 – 2.32 (m, 2H), 2.21 – 2.06 (m, *J* = 11.3 Hz, 2H), 2.02 – 1.89 (m, 4H), 1.76 – 1.65 (m, 2H).

20

(ii) (2S,4R)-methyl 1-(4-((5-(2-(benzyloxy)-4-((2S,4R)-4-((tert-butyldimethylsilyl)oxy)-2-(methoxycarbonyl)pyrrolidine-1-carbonyl)-5-nitrophenoxy)pentyl)oxy)-5-methoxy-2-nitrobenzoyl)-4-((tert-butyldimethylsilyl)oxy)pyrrolidine-2-carboxylate (I10)

25 I9 (14 g, 16.97 mmol), tert-butyldimethylsilyl chloride (12.8 g, 84.87 mmol, 5 eq) and imidazole (13.9 g, 203.64 mmol, 12 eq) were melted together at 120°C. The reaction was complete after 30 minutes. The resulting residue was subjected to flash column chromatography (silica gel; 10% ethyl acetate in hexane to 100% ethyl acetate). Pure fractions were collected and combined and excess eluent was removed by rotary
30 evaporation under reduced pressure to give the product (17 g, quantitative). LC/MS (Method 3) 2.17 min. ¹H NMR (400 MHz, CDCl₃) δ 7.69 (s, 1H), 7.65 (s, 1H), 7.43 – 7.27 (m, 5H), 6.87 (s, 1H), 6.78 (s, 1H), 5.17 (d, *J* = 5.6 Hz, 2H), 4.73 – 7.79 (m, 2H), 4.45 – 4.34 (m, 2H), 4.32 – 4.10 (m, 2H), 4.09 – 4.00 (m, 2H), 3.90 (s, 3H), 3.80 (s, 3H), 3.79 (s, 3H), 3.48 – 3.41 (m, 1H), 3.29 (dd, *J* = 10.3, 4.6 Hz, 1H), 3.03 (dd, *J* = 10.4, 2.4 Hz, 2H),
35 2.96 (dd, *J* = 10.2, 2.8 Hz, 2H), 2.31 – 2.21 (m, 2H), 2.19 – 2.05 (m, 2H), 2.00 – 1.88 (m, 4H), 1.74 – 1.66 (m, 2H), 0.82 (s, 18H), 0.02 (d, *J* = 1.1 Hz, 6H), -0.03 (d, *J* = 5.5 Hz, 6H).

(iii) (2*R*,11*aS*)-2-((*tert*-butyldimethylsilyl)oxy)-8-((5-(((2*R*,11*aS*)-2-((*tert*-butyldimethylsilyl)oxy)-7-hydroxy-5,11-dioxo-2,3,5,10,11,11*a*-hexahydro-1*H*-benzo[e]pyrrolo[1,2-*a*][1,4]diazepin-8-yl)oxy)pentyl)oxy)-7-methoxy-2,3-dihydro-1*H*-

5 benzo[e]pyrrolo[1,2-*a*][1,4]diazepine-5,11(10*H*,11*aH*)-dione (I11)

Ammonium formate (106 g, 168 mmol, 10 eq) was added to a solution of I10 (17.7 g, 16.80 mmol) in ethanol (500 mL). Palladium on carbon (1.8 g, 10%) was wetted with ethyl acetate and added to the reaction mixture. The solution was warmed to 70°C. After 20 min, the reaction mixture was then filtered through celite and washed with ethyl acetate. The solvent 10 was removed by rotary evaporation. The residue was dissolved in ethyl acetate and washed with saturated aqueous ammonium chloride and brine, dried over magnesium sulphate; filtered and excess solvent was removed by rotary evaporation under reduced pressure to give the desired compound (13.9 g, 98%) as a yellow gum.

LC/MS (Method 3) 1.91 min, (ES+) *m/z* (relative intensity) 839.35 ([M + H]⁺, 100).

15

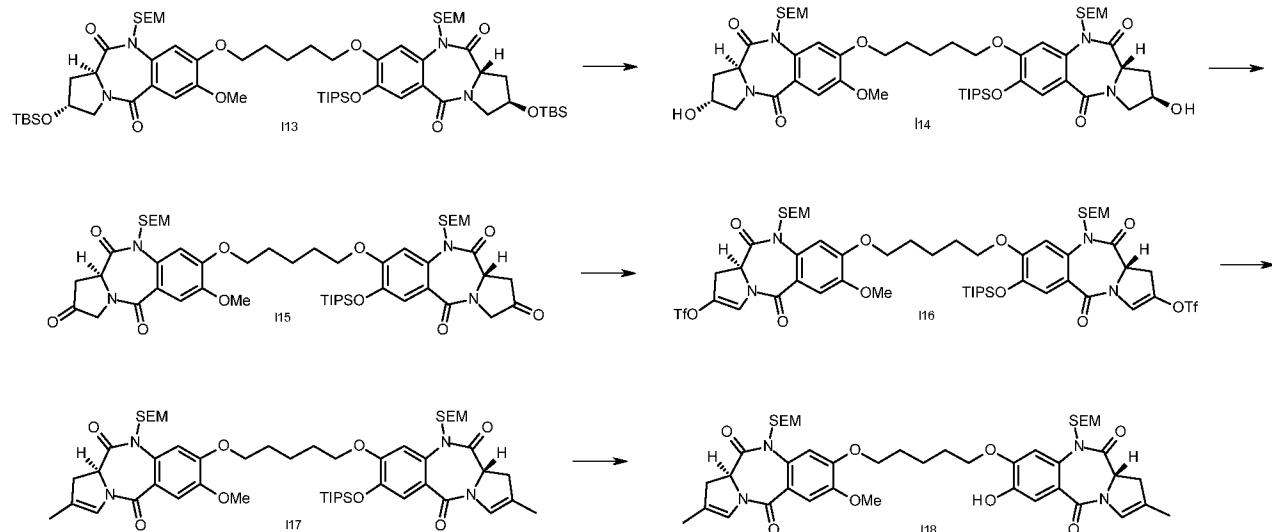
(iv) (2*R*,11*aS*)-2-((*tert*-butyldimethylsilyl)oxy)-8-((5-(((2*R*,11*aS*)-2-((*tert*-butyldimethylsilyl)oxy)-5,11-dioxo-7-((triisopropylsilyl)oxy)-2,3,5,10,11,11*a*-hexahydro-1*H*-benzo[e]pyrrolo[1,2-*a*][1,4]diazepin-8-yl)oxy)pentyl)oxy)-7-methoxy-2,3-dihydro-1*H*-benzo[e]pyrrolo[1,2-*a*][1,4]diazepine-5,11(10*H*,11*aH*)-dione (I12)

20 I11 (13.9 g, 16.56 mmol), triisopropylsilyl chloride (3.6 mL, 18.36 mmol, 1.1 eq) and imidazole (3.4 g, 49.94 mmol, 3 eq) were melted together at 120°C. The reaction was complete after 30 minutes. The resulting residue was subjected to flash column chromatography (silica gel; 10% ethyl acetate in hexane to 100% ethyl acetate). Pure fractions were collected and combined and excess eluent was removed by rotary 25 evaporation under reduced pressure to give the product (15.4 g, 93%). LC/MS (Method 3) 2.31 min, ¹H NMR (400 MHz, CDCl₃) δ 9.81 (s, 1H), 8.70 (s, 1H), 8.65 (s, 1H), 7.43 (s, 2H), 6.47 (s, 1H), 6.42 (s, 1H), 4.54 – 4.43 (m, 2H), 4.17 (dt, *J* = 7.6, 3.8 Hz, 2H), 4.00 (t, *J* = 6.4 Hz, 2H), 3.95 (t, *J* = 6.2 Hz, 2H), 3.87 (s, 3H), 3.73 – 3.58 (m, 4H), 2.84 – 2.76 (m, 2H), 2.09 – 1.96 (m, 1H), 1.92 – 1.85 (m, 4H), 1.68 – 1.62 (m, 2H), 1.31 – 1.17 (m, 3H), 1.08 (d, *J* = 2.5 Hz, 9H), 1.06 (d, *J* = 2.5 Hz, 9H), 0.85 (s, 9H), 0.84 (s, 9H), 0.06 (s, 6H), 0.07 (s, 6H).

30 (v) (2*R*,11*aS*)-2-((*tert*-butyldimethylsilyl)oxy)-8-((5-(((2*R*,11*aS*)-2-((*tert*-butyldimethylsilyl)oxy)-5,11-dioxo-7-((triisopropylsilyl)oxy)-10-((2-(trimethylsilyl)ethoxy)methyl)-2,3,5,10,11,11*a*-hexahydro-1*H*-benzo[e]pyrrolo[1,2-*a*][1,4]diazepin-8-yl)oxy)pentyl)oxy)-7-methoxy-10-((2-(trimethylsilyl)ethoxy)methyl)-2,3-dihydro-1*H*-benzo[e]pyrrolo[1,2-*a*][1,4]diazepine-5,11(10*H*,11*aH*)-dione (I13)

I12 (15.4 g, 15.74 mmol) was dissolved in dry tetrahydrofuran (250 mL) and cooled to -30°C (dry ice / acetone). n-Butyllithium (29 mL, 46.41 mmol, 3 eq) was then added dropwise and the reaction mixture was stirred for 1 hour at -30°C. 2-(Trimethylsilyl)ethoxymethyl chloride (8.2 mL, 46.41 mmol, 3 eq) was then added dropwise and the cold bath was removed. The reaction mixture was stirred at ambient temperature for 12 hours and the solvent was removed by rotary evaporation. The residue was dissolved in ethyl acetate and washed with water and brine, dried over magnesium sulphate; filtered and excess solvent was removed by rotary evaporation under reduced pressure to give the desired compound as yellow oil used as crude for the next reaction. ¹H NMR (400 MHz, CDCl₃) δ 7.34 (s, 1H), 7.33 (s, 1H), 7.20 (s, 1H), 7.15 (s, 1H), 5.49 (dd, *J* = 10.0, 1.8 Hz, 2H), 4.64 (dd, *J* = 9.9, 7.5 Hz, 2H), 4.56 (dt, *J* = 8.9, 5.7 Hz, 2H), 4.21 (dt, *J* = 8.6, 4.4 Hz, 2H), 4.09 – 3.93 (m, 4H), 3.91 (s, 3H), 3.82 – 3.61 (m, 6H), 3.61 – 3.50 (m, 2H), 2.90 – 2.76 (m, 2H), 2.03 – 1.97 (m, 2H), 1.97 – 1.86 (m, 4H), 1.75 – 1.64 (m, 2H), 1.34 – 1.19 (m, 3H), 1.10 (d, *J* = 2.7 Hz, 9H), 1.08 (d, *J* = 2.7 Hz, 9H), 0.96 (ddd, *J* = 9.1, 6.9, 2.0 Hz, 4H), 0.86 (d, *J* = 2.9 Hz, 9H), 0.85 (d, *J* = 2.9 Hz, 9H), 0.09 (s, 6H), 0.07 (s, 6H), 0.01 (d, *J* = 3.2 Hz, 18H).

(c) (S)-7-hydroxy-8-((5-(((S)-7-methoxy-2-methyl-5,11-dioxo-10-((2-(trimethylsilyl)ethoxy)methyl)-5,10,11,11a-tetrahydro-1H-benzo[e]pyrrolo[1,2-a][1,4]diazepin-8-yl)oxy)pentyl)oxy)-2-methyl-10-((2-(trimethylsilyl)ethoxy)methyl)-1H-benzo[e]pyrrolo[1,2-a][1,4]diazepine-5,11(10H,11aH)-dione (I18)



(i) (2*R*,11*aS*)-2-hydroxy-8-((5-(((2*R*,11*aS*)-2-hydroxy-5,11-dioxo-7-((triisopropylsilyl)oxy)-10-((2-(trimethylsilyl)ethoxy)methyl)-2,3,5,10,11,11*a*-hexahydro-1*H*-benzo[e]pyrrolo[1,2-a][1,4]diazepin-8-yl)oxy)pentyl)oxy)-7-methoxy-10-((2-(trimethylsilyl)ethoxy)methyl)-2,3-dihydro-1*H*-benzo[e]pyrrolo[1,2-a][1,4]diazepine-5,11(10*H*,11*aH*)-dione (I14)

Crude I13 (15.74 mmol) was dissolved in dry tetrahydrofuran (40 mL) and a solution of 1% v/v conc. HCl in methanol (120 mL). The reaction mixture was stirred 2 hours at ambient temperature; diluted with ethyl acetate and washed with water (two times), saturated aqueous sodium bicarbonate and brine, dried over magnesium sulphate; filtered and excess solvent was removed by rotary evaporation under reduced pressure to give the desired compound as yellow oil used as crude for the next reaction. LC/MS (Method 3) 2.08 min, (ES+) *m/z* (relative intensity) 1027.40 [M + H]⁺, ¹H NMR (400 MHz, CDCl₃) δ 7.32 (s, 1H), 7.32 (s, 1H), 7.20 (s, 1H), 7.14 (s, 1H), 5.49 (dd, *J* = 10.0, 3.2 Hz, 2H), 4.69 – 4.57 (m, 3H), 4.33 – 4.24 (m, 2H), 4.16 – 3.93 (m, 4H), 3.88 (s, 3H), 3.89 – 3.55 (m, 6H), 3.00 – 2.88 (m, 2H), 2.53 (br, 1H), 2.17 – 2.05 (m, 2H), 2.00 – 1.86 (m, 4H), 1.78 – 1.63 (m, 3H), 1.46 – 1.39 (m, 2H), 1.36 – 1.19 (m, 4H), 1.09 (s, 9H), 1.07 (s, 9H), 1.01 – 0.92 (m, 4H), 0.02 (s, 18H).

(ii) (S)-7-methoxy-10-((2-(trimethylsilyl)ethoxy)methyl)-8-((5-(((S)-2,5,11-trioxo-7-((triisopropylsilyl)oxy)-10-((2-(trimethylsilyl)ethoxy)methyl)-2,3,5,10,11,11a-hexahydro-1H-benzo[e]pyrrolo[1,2-a][1,4]diazepin-8-yl)oxy)pentyl)oxy)-1H-benzo[e]pyrrolo[1,2-a][1,4]diazepine-2,5,11(3H,10H,11aH)-trione (I15)

TCCA (1.62 g, 7.00 mmol, 1.2 eq) was added to a solution of crude I14 (5.84 mmol), TEMPO (90 mg, 0.58 mmol, 0.1 eq) and sodium acetate (1.14 g, 14.00 mmol, 2.4 eq) in dichloromethane (120 mL) at -10°C (acetone / ice). The reaction was stirred for 30 min and filtered through celite. The reaction mixture was then quenched with saturated aqueous sodium bicarbonate. The organic phase washed with sodium thiosulfate and brine, dried over magnesium sulphate; filtered and excess solvent was removed by rotary evaporation under reduced pressure. The resulting residue was subjected to flash column chromatography (silica gel; 20% to 70% ethyl acetate in hexane). Pure fractions were collected and combined and excess eluent was removed by rotary evaporation under reduced pressure to give the product (3.21 g, 54% over 3 steps). LC/MS (Method 3) 2.17 min, (ES+) *m/z* (relative intensity) 1023.75 [M + H]⁺, ¹H NMR (400 MHz, CDCl₃) δ 7.32 (s, 2H), 7.23 (s, 1H), 7.18 (s, 1H), 5.53 (dd, *J* = 10.0, 1.6 Hz, 2H), 4.70 (t, *J* = 9.6 Hz, 2H), 4.66 – 4.58 (m, 2H), 4.22 (d, *J* = 20.1 Hz, 2H), 4.13 – 3.95 (m, 4H), 3.91 (s, 3H), 3.89 – 3.74 (m, 4H), 3.72 – 3.62 (m, 2H), 3.56 (ddd, *J* = 19.2, 5.8, 3.1 Hz, 2H), 2.78 (dd, *J* = 19.3, 9.9 Hz, 2H), 1.95 (dd, *J* = 14.6, 7.3 Hz, 4H), 1.76 – 1.63 (m, 2H), 1.33 – 1.23 (m, 3H), 1.10 (s, 9H), 1.09 (s, 9H), 1.02 – 0.90 (m, 4H), 0.02 (s, 18H).

(iii) (S)-8-((5-(((S)-5,11-dioxo-2-((trifluoromethyl)sulfonyl)oxy)-7-((triisopropylsilyl)oxy)-10-((2-(trimethylsilyl)ethoxy)methyl)-5,10,11,11a-tetrahydro-1H-benzo[e]pyrrolo[1,2-a][1,4]diazepin-8-yl)oxy)pentyl)oxy)-7-methoxy-5,11-dioxo-10-((2-

(trimethylsilyl)ethoxy)methyl)-5,10,11,11a-tetrahydro-1H-benzo[e]pyrrolo[1,2-a][1,4]diazepin-2-yl trifluoromethanesulfonate (I16)

Anhydrous 2,6-lutidine (2.12 mL, 18.17 mmol, 6.2 eq) was injected in one portion to a solution of I15 (3 g, 2.93 mmol) in dry dichloromethane (40 mL) at -50 °C (acetone / dry ice).

5 Triflic anhydride (2.12 mL, 18.17 mmol, 6 eq) was then added slowly whilst monitoring the temperature. After 20 minutes cold water was added to the still cold reaction mixture and the organic layer was separated and washed with cold saturated sodium bicarbonate, brine, dried over magnesium sulphate; filtered and excess solvent was removed by rotary evaporation under reduced pressure. The resulting residue was subjected to flash column 10 chromatography (silica gel; 5% to 20% ethyl acetate in hexane). Pure fractions were collected and combined and excess eluent was removed by rotary evaporation under reduced pressure to give the product (3.1 g, 82%). LC/MS (Method 3) 2.37 min, no ionisation, ^1H NMR (400 MHz, CDCl_3) δ 7.32 (s, 2H), 7.23 (s, 1H), 7.19 (s, 1H), 7.14 (s, 1H), 7.11 (s, 1H), 5.54 (d, J = 10.0 Hz, 2H), 4.74 – 4.66 (m, 2H), 4.63 (d, J = 11.0 Hz, 2H), 15 4.09 – 3.96 (m, 4H), 3.94 – 3.87 (m, 2H), 3.90 (s, 3H), 3.82 – 3.76 (m, 2H), 3.69 – 3.65 (m, 2H), 3.22 – 3.08 (m, 2H), 1.99 – 1.90 (m, 4H), 1.75 – 1.64 (m, 2H), 1.33 – 1.21 (m, 3H), 1.11 (d, J = 1.1 Hz, 9H), 1.09 (d, J = 1.1 Hz, 9H), 1.01 – 0.91 (m, 4H), 0.02 (s, 18H).

(iv) *(S)-7-methoxy-2-methyl-8-((5-((S)-2-methyl-5,11-dioxo-7-((triisopropylsilyl)oxy)-10-((2-(trimethylsilyl)ethoxy)methyl)-5,10,11,11a-tetrahydro-1H-benzo[e]pyrrolo[1,2-a][1,4]diazepin-8-yl)oxy)pentyl)oxy)-10-((2-(trimethylsilyl)ethoxy)methyl)-1H-benzo[e]pyrrolo[1,2-a][1,4]diazepine-5,11(10H,11aH)-dione (I17)*

Triphenylarsine (588 mg, 1.92 mmol, 0.8 eq) was added to a mixture of triflate I16 (3.1 g, 2.4 mmol, 1 eq), methylboronic acid (1.0 g, 16.8 mmol, 7 eq), silver oxide (4.45 g, 19.2 mmol, 8 eq) and potassium phosphate tribasic (6.1 g, 28.8 mmol, 12 eq) in dry dioxane (40 mL) under an argon atmosphere. The reaction was flushed with argon 3 times and bis(benzonitrile)palladium(II) chloride (184 mg, 0.48 mmol, 0.2 eq) was added. The reaction was flushed with argon 3 more times before being stirred at 70 °C. After 1 hour the reaction was observed to be complete by TLC and filtered through a pad celite. The solvent was 25 removed by rotary evaporation under reduced pressure. The resulting residue was subjected to column flash chromatography (silica gel; 20% to 50% ethyl acetate / hexane). Pure fractions were collected and combined, and excess eluent was removed by rotary evaporation under reduced pressure afforded the product (1.1 g, 36 %). LC/MS (Method 3) 2.34 min, no ionisation, ^1H NMR (400 MHz, CDCl_3) δ 7.35 (s, 2H), 7.20 (s, 1H), 7.16 (s, 1H), 6.68 (d, J = 1.3 Hz, 1H), 6.64 (d, J = 1.4 Hz, 1H), 5.53 (s, 1H), 5.51 (s, 1H), 4.67 (t, J = 10.1 Hz, 2H), 4.45 (dt, J = 10.5, 3.2 Hz, 2H), 4.08 – 3.94 (m, 4H), 3.90 (s, 3H), 3.77 (dd, J = 30 35

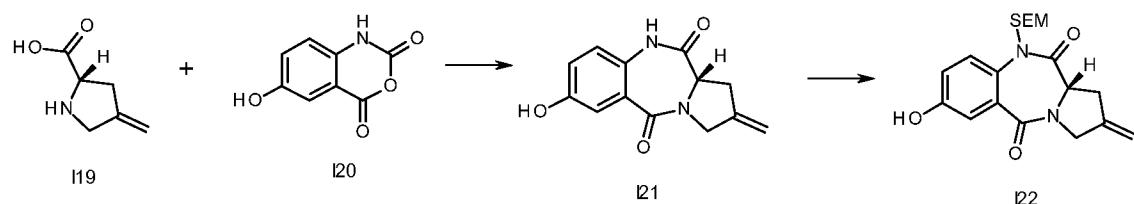
8.9, 7.5 Hz, 2H), 3.68 (dt, J = 10.0, 5.2 Hz, 2H), 3.43 (d, J = 16.5 Hz, 2H), 2.78 (d, J = 10.4 Hz, 2H), 2.00 – 1.86 (m, 4H), 1.83 (s, 3H), 1.82 (s, 3H), 1.72 – 1.68 (m, 2H), 1.30 – 1.25 (m, 3H), 1.09 (s, 9H), 1.06 (s, 9H), 0.99 – 0.94 (m, 4H), 0.02 (s, 18H).

5 (v) (S)-7-hydroxy-8-((5-((S)-7-methoxy-2-methyl-5,11-dioxo-10-((2-
(trimethylsilyl)ethoxy)methyl)-5,10,11,11a-tetrahydro-1H-benzo[e]pyrrolo[1,2-a][1,4]diazepin-
8-yl)oxy)pentyl)oxy)-2-methyl-10-((2-(trimethylsilyl)ethoxy)methyl)-1H-benzo[e]pyrrolo[1,2-
a][1,4]diazepine-5,11(10H,11aH)-dione (I18)

Lithium acetate (110 mg, 1.08 mmol, 1 eq) was added to a solution of compound I17 (1.1 g, 10 1.08 mmol) in wet dimethylformamide (20 mL, 50:1 DMF/water). The reaction was stirred for 12 hours at ambient temperature and diluted with ethyl acetate and washed with citric acid (pH ~ 3), brine, dried over magnesium sulphate; filtered and excess solvent was removed by rotary evaporation under reduced pressure to provide the product (1.03 g, quantitative). LC/MS (Method 3) 1.98 min, (ES+) m/z (relative intensity) 863.35 [M + H]⁺, ¹H NMR (400 MHz, CDCl₃) δ 7.44 (s, 1H), 7.35 (s, 1H), 7.20 (s, 1H), 7.19 (s, 1H), 6.70 – 6.62 (m, 2H), 6.21 (br, 1H), 5.51 (dd, J = 10.0, 5.3 Hz, 2H), 4.66 (dd, J = 11.3, 10.1 Hz, 2H), 4.46 (dd, J = 10.4, 3.2 Hz, 2H), 4.18 – 3.99 (m, 4H), 3.90 (s, 3H), 3.78 (td, J = 9.7, 6.9 Hz, 2H), 3.67 (td, J = 9.7, 6.8 Hz, 2H), 3.49 – 3.35 (m, 2H), 2.81 – 2.68 (m, 2H), 2.00 – 1.89 (m, 4H), 1.82 (s, 3H), 1.81 (s, 3H), 1.75 – 1.63 (m, 2H), 0.97 (ddd, J = 9.9, 6.6, 3.3 Hz, 4H), 0.01 (s, 18H).

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(d) (S)-7-hydroxy-2-methylene-10-((2-(trimethylsilyl)ethoxy)methyl)-2,3-dihydro-1H-
benzo[e]pyrrolo[1,2-a][1,4]diazepine-5,11(10H,11aH)-dione (I23)



(i) (S)-7-hydroxy-2-methylene-2,3-dihydro-1H-benzo[e]pyrrolo[1,2-a][1,4]diazepine-

25 5,11(10H,11aH)-dione (I21)

Phenol isatoic anhydride I20 (1.59 g, 8.88 mmol, 1 eq), hydrochloride I19 (1.60 g, 9.7 mmol, 1.1 eq), diisopropylethylamine (2.06 mL, 11.8 mmol, 1.3 eq) were suspended in DMSO (8mL) and heated at 120°C for 15 minutes. LC/MS monitoring showed total consumption of starting material. The reaction mixture was diluted with water and ice and the resulting 30 precipitate was collected by filtration (1g). The product was recrystallized in acetonitrile to yield the desired product (600 mg). LC/MS (1.97 min (ES-) m/z (relative intensity) 242.7 ([M - H]⁻, 100)); ¹H NMR (400 MHz, DMSO) δ 10.24 (s, 1H), 9.64 (s, 1H), 7.15 (d, J = 2.5 Hz,

1H), 7.01 – 6.90 (m, 2H), 5.08 (s, 2H), 4.31 – 4.16 (m, 2H), 4.03 (dd, J = 16.2, 1.3 Hz, 1H), 3.20 (d, J = 16.1 Hz, 1H), 2.77 (dd, J = 15.9, 9.4 Hz, 1H).

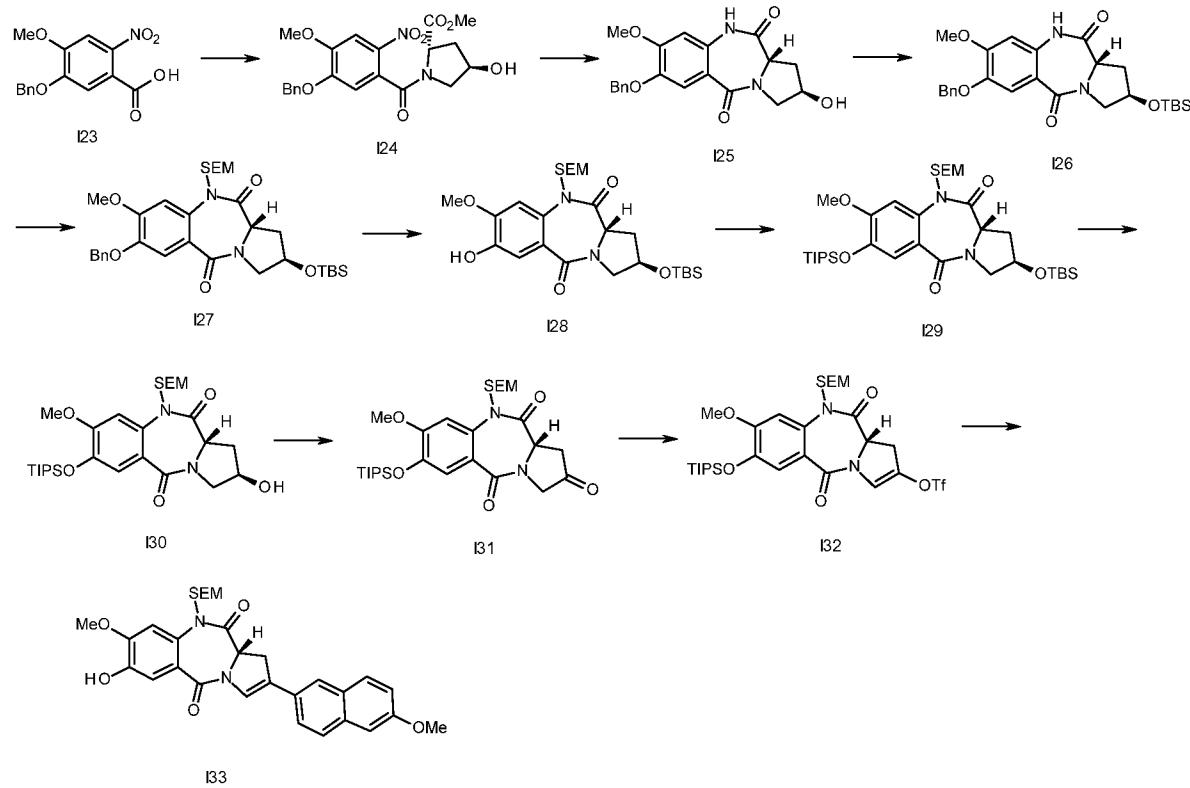
(ii) (S)-7-hydroxy-2-methylene-10-((2-(trimethylsilyl)ethoxy)methyl)-2,3-dihydro-1*H*-

5 *benzo[e]pyrrolo[1,2-a][1,4]diazepine-5,11(10*H*,11*aH*)-dione (I22)*

n-Buli (2.51 mL, 4.02 mmol, 2.2 eq) was added to a suspension of phenol dilactam I21 (447 mg, 1.83 mmol, 1eq) in anhydrous THF at -78°C. SEM chloride (670 mg, 711 μ L, 4.02 mmol, 2.2 eq) was injected slowly under vigorous stirring. The mixture was allowed to return to room temperature and was treated with dilute HCl in methanol (3 drops of concentrated hydrochloric acid / 50 mL methanol). The mixture was heated at 40°C to accelerate the hydrolysis of phenolic SEM ethers and the reaction was monitored by LC/MS and TLC (ethyl acetate). The mixture was partitioned between ethyl acetate and water, followed by a wash with brine. Flash column chromatography (80/20 Ethyl acetate / hexane) gave the product in 73% yield (502 mg). LC/MS (3.10 min (ES-) m/z (relative intensity) 372.9 ([M - H]⁺, 100)).

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15 (e) (S)-8-methoxy-2-(6-methoxynaphthalen-2-yl)-7-((triisopropylsilyl)oxy)-10-((2-(trimethylsilyl)ethoxy)methyl)-1*H*-benzo[e]pyrrolo[1,2-a][1,4]diazepine-5,11(10*H*,11*aH*)-dione (I33)



20 (i) (2*S*,4*R*)-methyl 1-(5-(benzyloxy)-4-methoxy-2-nitrobenzoyl)-4-hydroxypyrrolidine-2-carboxylate (I24)

Oxalyl chloride (1.19 mL, 1.73 g, 13.6 mmol) was added to a stirred suspension of the nitrobenzoic acid I23 (2.77 g, 9.1 mmol) and DMF (3 drops) in anhydrous DCM (50 mL). Following initial effervescence the reaction suspension became a solution and the mixture was allowed to stir at room temperature for 16 hours. Conversion to the acid chloride was confirmed by treating a sample of the reaction mixture with MeOH and the resulting methyl ester was observed by LC/MS (3.27 min). The majority of solvent was removed by evaporation under reduced pressure; the resulting concentrated solution was re-dissolved in a minimum amount of dry DCM and triturated with diethyl ether. The resulting yellow precipitate was collected by filtration, washed with cold diethyl ether and dried for 1 hour in a vacuum oven at 40 °C. The solid acid chloride was added portionwise over a period of 5 min to a stirred suspension of (2S,4R)-methyl-4-hydroxypyrrolidine-2-carboxylate hydrochloride (1.86 g, 10.2 mmol, 1.15 eq) and TEA (3.10 mL, 2.25 g, 22.2 mmol, 2.5 eq) in DCM (40mL) at -40 °C (dry ice/CH₃CN). Immediately, the reaction was complete as judged by LC/MS (2.70 min (ES+) *m/z* (relative intensity) 431.01 ([M + H]⁺, 100)) and by TLC (ethyl acetate). The mixture was diluted with DCM (20 mL) and washed with 1N HCl (30 mL), saturated NaHCO₃ (30 mL), brine (40 mL), dried (MgSO₄), filtered and the solvent evaporated *in vacuo* to give the pure product as an orange solid (3.7 g, 94%). LC/MS (2.70 min (ES+) *m/z* (relative intensity) 431.01 ([M + H]⁺, 100)); ¹H NMR (400 MHz, CDCl₃) δ 7.70 (d, *J* = 1.8 Hz, 1H), 7.48 – 7.30 (m, 5H), 6.89 (s, 1H), 5.29 (d, *J* = 5.5 Hz, 1H), 5.25 (d, *J* = 7.2 Hz, 1H), 4.84 (t, *J* = 8.1 Hz, 1H), 4.40 (s, 1H), 3.99 (d, *J* = 10.0 Hz, 3H), 3.82 (s, 3H), 3.46 (s, 1H), 3.33 (dd, *J* = 11.3, 4.2 Hz, 1H), 3.12 – 2.96 (m, 1H), 2.51 – 2.29 (m, 1H), 2.22 – 2.07 (m, 1H).

(ii) (2*R*,11*aS*)-7-(benzyloxy)-2-hydroxy-8-methoxy-2,3-dihydro-1*H*-benzo[e]pyrrolo[1,2-a][1,4]diazepine-5,11(10*H*,11*aH*)-dione (I25)

Zinc dust (10 g, 153 mmol, 19 eq (excess)) was added to a solution of nitro-ester I24 (3.5 g, 8.1 mmol) in 5% formic acid in methanol (70 mL). An exotherm was observed, followed by reduction of the nitro to the aniline. LC/MS (2.47 min (ES+) *m/z* (relative intensity) 401.03 ([M + H]⁺, 100)). The resulting suspension was filtered through celite and washed with methanol (30 mL) to give a clear filtrate. The solvent and residual formic acid were removed by evaporation. The residue was redissolved in methanol and hydrazine hydrate (380 µL, 12.2 mmol) was added to the solution and the reaction mixture was heated at 60 °C until completion was observed. LC/MS (2.45 min (ES+) *m/z* (relative intensity) 369.01 ([M + H]⁺, 100)). The mixture was allowed to cool down to room temperature, the solvent removed under vacuum, the residue triturated with diethyl ether and the precipitate retrieved by filtration and dried in a vacuum desiccator to provide the desired product as a white powder

(2.77 g, 92%). LC/MS (2.45 min (ES+) *m/z* (relative intensity) 369.01 ($[M + H]^+$, 100)). ^1H NMR (400 MHz, DMSO) δ 7.95 (s, 1H), 7.52 – 7.34 (m, 6H), 6.77 (s, 1H), 5.14 (s, 2H), 4.33 (dd, *J* = 8.9, 4.4 Hz, 1H), 4.19 (dd, *J* = 8.0, 6.0 Hz, 1H), 3.83 (s, 3H), 3.65 (dd, *J* = 11.8, 3.3 Hz, 1H), 3.50 – 3.42 (m, 1H), 2.70 – 2.60 (m, 1H), 2.03 – 1.91 (m, 1H).

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(iii) (2*R*,11*aS*)-7-(benzyloxy)-2-((*tert*-butyldimethylsilyl)oxy)-8-methoxy-2,3-dihydro-1*H*-benzo[*e*]pyrrolo[1,2-*a*][1,4]diazepine-5,11(10*H*,11*aH*)-dione (I26)

TBSCl (5.32g, 35.3 mmol) and imidazole (5.76 g, 84.6 mmol) were added to a solution of the dilactam I25 (2.6 g, 7.1 mmol) in anhydrous DMF (30 mL) at 0°C (ice/acetone). The mixture 10 was allowed to stir under a nitrogen atmosphere for 3 hours after which time the reaction was deemed complete as judged by LC/MS (3.60 min (ES+) *m/z* (relative intensity) 483.09 ($[M + H]^+$, 100)). The reaction mixture was poured onto ice (~ 200 mL) and allowed to warm to room temperature with stirring. The resulting white precipitate was collected by vacuum filtration, washed with H_2O , redissolved in ethyl acetate, and dried over magnesium sulphate 15 followed by rotovaporation under vacuum. The residue was purified by flash column chromatography (50 /50 ethyl acetate / hexane) to provide the desired compound (2.42 g, 71%). LC/MS (3.60 min (ES+) *m/z* (relative intensity) 483.09 ($[M + H]^+$, 100)). ^1H NMR (400 MHz, CDCl_3) δ 8.26 (s, 1H), 7.53 (s, 1H), 7.49 – 7.28 (m, 5H), 6.47 (s, 1H), 5.16 (q, *J* = 11.9 Hz, 2H), 4.51 (p, *J* = 5.5 Hz, 1H), 4.19 (dd, *J* = 8.2, 4.3 Hz, 1H), 3.89 (s, 3H), 3.68 (ddd, *J* = 27.8, 11.9, 5.4 Hz, 2H), 2.82 (dt, *J* = 12.7, 4.9 Hz, 1H), 2.17 – 1.94 (m, 1H), 0.92 – 0.81 (m, 9H), 0.08 (d, *J* = 3.0 Hz, 6H).

(iv) (2*R*,11*aS*)-7-(benzyloxy)-2-((*tert*-butyldimethylsilyl)oxy)-8-methoxy-10-((2-*trimethylsilyl*)ethoxy)methyl)-2,3-dihydro-1*H*-benzo[*e*]pyrrolo[1,2-*a*][1,4]diazepine-5,11(10*H*,11*aH*)-dione (I27)

A solution of *n*-BuLi (4.7 mL of a 1.6 M solution in hexane, 7.52 mmol) was added dropwise to a stirred suspension of the dilactam I26 (2.42 g, 5.01 mmol) in anhydrous THF (30 mL) at -60 °C under a nitrogen atmosphere. The reaction mixture was allowed to stir at this temperature for 1 hour at which point neat SEMCl (1.33 mL, 1.25 g, 7.51 mmol) was added 30 dropwise. The reaction mixture was allowed to slowly warm to room temperature and was stirred for 2 hours under a nitrogen atmosphere. The reaction was deemed complete as judged by TLC (EtOAc) and LC/MS (4.30 min (ES+) *m/z* (relative intensity) 613.16 ($[M + H]^+$, 100)). The THF was removed by evaporation *in vacuo* and the resulting residue dissolved in EtOAc (100 mL), washed with H_2O (100 mL), brine (30 mL), dried (MgSO_4) filtered and 35 evaporated *in vacuo* to provide the crude N10-SEM-protected dilactam (2.23 g, 72%). Product carried through to next step without purification. LC/MS (4.30 min (ES+) *m/z*

(relative intensity) 613.16 ($[M + H]^+$, 100)). ^1H NMR (400 MHz, CDCl_3) δ 7.49 – 7.28 (m, 6H), 7.24 (s, 1H), 5.55 – 5.49 (m, 1H), 5.18 (q, J = 11.9 Hz, 2H), 4.68 – 4.61 (m, 1H), 4.56 (p, J = 5.8 Hz, 1H), 4.22 (dd, J = 8.2, 3.8 Hz, 1H), 3.89 (s, 3H), 3.83 – 3.58 (m, 4H), 3.58 – 3.50 (m, 1H), 2.84 (ddd, J = 12.8, 5.5, 4.0 Hz, 1H), 2.05 – 1.96 (m, 1H), 1.04 – 0.89 (m, 6H), 0.89 – 0.84 (m, 9H), 0.05 – 0.00 (m, 9H).

5 (v) *(2R,11aS)-2-((tert-butyldimethylsilyl)oxy)-7-hydroxy-8-methoxy-10-((2-trimethylsilyl)ethoxy)methyl)-2,3-dihydro-1H-benzo[e]pyrrolo[1,2-a][1,4]diazepine-5,11(10H,11aH)-dione (I28)*

10 Benzyl ether I27 (2.23g, 3.63 mmol) was hydrogenated in ethyl acetate (40 mL) at 40 PSI overnight in Parr hydrogenator in the presence of 10% Pd/C (220 mg, 10% w/w) after which completion was observed by LC/MS. The solids were removed by filtration over celite and the resulting filtrate was concentrated under vacuum. The resulting residue was found to be of satisfactory purity and was carried through to the next steps without further purification.

15 (1.90 g, 100%). LC/MS (3.87 min (ES+)) m/z (relative intensity) 522.81 ($[M + H]^+$, 100)). ^1H NMR (400 MHz, CDCl_3) δ 7.46 (s, 1H), 7.22 (s, 1H), 6.11 (s, 1H), 5.51 (d, J = 9.9 Hz, 1H), 4.66 (d, J = 8.1 Hz, 1H), 4.57 (p, J = 5.8 Hz, 1H), 4.23 (dd, J = 8.2, 3.8 Hz, 1H), 3.92 (s, 3H), 3.84 – 3.50 (m, 4H), 2.92 – 2.74 (m, 1H), 2.10 – 1.90 (m, 1H), 1.06 – 0.89 (m, 2H), 0.89 – 0.82 (m, 9H), 0.09 (d, J = 0.9 Hz, 6H), 0.03 (d, J = 3.2 Hz, 9H).

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(vi) *(2R,11aS)-2-((tert-butyldimethylsilyl)oxy)-8-methoxy-7-((triisopropylsilyl)oxy)-10-((2-trimethylsilyl)ethoxy)methyl)-2,3-dihydro-1H-benzo[e]pyrrolo[1,2-a][1,4]diazepine-5,11(10H,11aH)-dione (I29)*

Neat triisopropylsilylchloride (1.55 mL, 1.39 g, 7.24 mmol) was added to a mixture of 25 imidazole (743 mg, 10.9 mmol) and the previously prepared phenol I28 (1.90 g, 3.64 mmol) (ground together). The mixture was heated until the phenol and imidazole melted and went into solution (100 °C). The reaction mixture was allowed to stir for 5 mins and was then allowed to cool, whereupon a solid was observed to form at the bottom of the flask. The reaction mixture was diluted with 5% EtOAc/ hexanes and loaded directly onto silica gel and 30 the column was eluted with 5% EtOAc/ hexanes, followed by 10% EtOAc/hexanes. Excess eluent was removed by rotary evaporation under reduced pressure, followed by drying under high vacuum to afford as an oil (2.5 g, 100 %). LC/MS (4.50 min (ES+)) m/z (relative intensity) 679.49 ($[M + H]^+$, 100)). ^1H NMR (400 MHz, CDCl_3) δ 7.36 (s, 1H), 7.18 (s, 1H), 5.51 (d, J = 9.9 Hz, 1H), 4.63 (d, J = 9.9 Hz, 1H), 4.55 (p, J = 5.6 Hz, 1H), 4.21 (dd, J = 8.1, 4.1 Hz, 1H), 3.83 (s, 3H), 3.72 – 3.51 (m, 4H), 2.91 – 2.75 (m, 1H), 2.10 – 1.94 (m, 1H), 1.33

– 1.21 (m, 3H), 1.09 (dd, J = 7.4, 2.0 Hz, 18H), 1.00 – 0.89 (m, 2H), 0.89 – 0.81 (m, 9H), 0.08 (s, 6H), 0.03 (s, 9H).

(vii) (2*R*,11*a**S*)-2-hydroxy-8-methoxy-7-((triisopropylsilyl)oxy)-10-((2-

5 (trimethylsilyl)ethoxy)methyl)-2,3-dihydro-1*H*-benzo[*e*]pyrrolo[1,2-*a*][1,4]diazepine-5,11(10*H*,11*a**H*)-dione (I30)

The secondary TBS ether I29 (2.5 g, 3.68 mmol) was dissolved in a mixture of 1% v/v conc aq HCl in methanol (20 mL) and THF (5 mL). After stirring for 6h at 20°C, analysis of the reaction mixture by TLC (50:50 v/v EtOAc/Hexane) revealed that the reaction was almost

10 complete. The reaction mixture was dissolved in EtOAc (100 mL) and washed with water (2 x 100 mL). The combined organic layers were washed with brine (60 mL), dried (MgSO_4), filtered and evaporated under reduced pressure to provide the crude product which was taken directly to the next step as a crude. (2.08 g, 3.68 mmol, 100%). LC/MS 3.77 min (ES+) *m/z* (relative intensity) 565.29 ($[M + H]^+$, 100).

15

(viii) (S)-8-methoxy-7-((triisopropylsilyl)oxy)-10-((2-(trimethylsilyl)ethoxy)methyl)-1*H*-benzo[*e*]pyrrolo[1,2-*a*][1,4]diazepine-2,5,11(3*H*,10*H*,11*a**H*)-trione (I31)

TCCA (600 mg, 2.58 mmol, 0.7 eq) was added to a stirred solution of I30 (2.08 g, 3.68

mmol, 1 eq) and TEMPO (57 mg, 0.36 mmol, 0.1 eq) in dry dichloromethane (50 mL) at -10

20 °C (ice/acetone bath). The reaction mixture was vigorously stirred for 20 minutes, at which point TLC (25/75 ethyl acetate/hexane) revealed complete consumption of the starting material. The reaction mixture was filtered through celite and the filtrate washed with aqueous saturated sodium bicarbonate (50 mL), sodium thiosulphate (1.5 g in 50 mL), brine (100 mL) and dried over magnesium sulphate. Rotary evaporation under reduced pressure

25 followed by flash column chromatography (gradient elution: 90:10 v/v Hexane/EtOAc to 80:20 v/v Hexane/EtOAc) afforded the desired product (1.40 g, 67%). LC/MS 3.87 min (ES+) *m/z* (relative intensity) 563.05 ($[M + H]^+$, 100); ^1H NMR (400 MHz, CDCl_3) δ 7.35 (s, 1H), 7.22 (s, 1H), 5.55 (d, J = 9.9 Hz, 1H), 4.69 (d, J = 9.9 Hz, 1H), 4.63 (dd, J = 9.9, 3.1 Hz, 1H), 4.23 (d, J = 20.1 Hz, 1H), 3.91 – 3.83 (m, 4H), 3.79 (td, J = 9.7, 6.7 Hz, 1H), 3.68 (td, J = 9.7, 6.7 Hz, 1H), 3.56 (dd, J = 19.2, 3.1 Hz, 1H), 2.78 (dd, J = 18.2, 9.9 Hz, 1H), 1.34 – 1.21 (m, 3H), 1.10 (d, J = 7.3 Hz, 18H), 0.98 (ddd, J = 9.6, 6.5, 4.1 Hz, 2H), 0.05 – 0.01 (m, 9H).

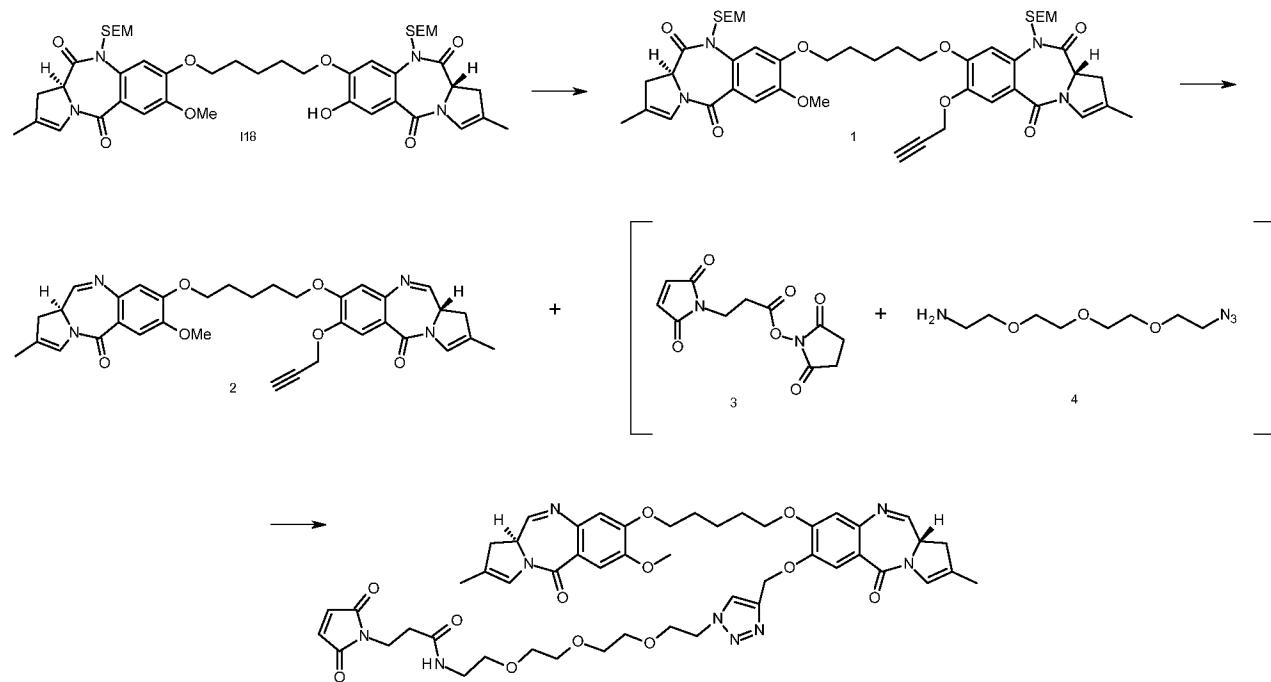
(ix) (S)-8-methoxy-5,11-dioxo-7-((triisopropylsilyl)oxy)-10-((2-(trimethylsilyl)ethoxy)methyl)-5,10,11,11*a*-tetrahydro-1*H*-benzo[*e*]pyrrolo[1,2-*a*][1,4]diazepin-2-yl trifluoromethanesulfonate (I32)

Triflic anhydride (1.24 mL, 2.08 g, 7.37 mmol, 3 eq) was injected (temperature controlled) to a vigorously stirred suspension of ketone I31 (1.40 g, 2.49 mmol, 1 eq) in dry dichloromethane (50 mL) in the presence of 2,6-lutidine (1.16 mL, 1.06 g, 9.96 mmol, 4 eq, dried over sieves) at -50°C (acetone/dry ice bath). The reaction mixture was allowed to stir 5 for 1.5 hours when LC/MS, following a mini work-up (water/dichloromethane), revealed the reaction to be complete. Water was added to the still cold reaction mixture and the organic layer was separated and washed with saturated sodium bicarbonate, brine and magnesium sulphate. The organic phase was filtered and excess solvent was removed by rotary evaporation under reduced pressure. The residue was subjected to column flash 10 chromatography (gradient elution: 95:5 v/v Hexane/EtOAc to 80:20 v/v Hexane/EtOAc) afforded the desired product (1.34 g, 77%). LC/MS (Method 2) 4.08 min (ES+) *m/z* (relative intensity) 716.82 ([M + Na]⁺, 100). ¹H NMR (400 MHz, CDCl₃) δ 7.34 (s, 1H), 7.22 (s, 1H), 7.11 (t, *J* = 2.0 Hz, 1H), 5.55 (d, *J* = 9.9 Hz, 1H), 4.69 (d, *J* = 9.9 Hz, 1H), 4.63 (dd, *J* = 11.0, 15 3.7 Hz, 1H), 3.91 (ddd, *J* = 16.3, 3.7, 1.8 Hz, 1H), 3.86 (s, 3H), 3.80 (td, *J* = 9.5, 7.1 Hz, 1H), 3.69 (td, *J* = 9.5, 7.1 Hz, 1H), 3.16 (ddd, *J* = 16.3, 11.1, 2.4 Hz, 1H), 1.34 – 1.20 (m, 3H), 1.10 (d, *J* = 7.2 Hz, 18H), 1.02 – 0.94 (m, 2H), 0.05 – 0.01 (m, 9H).

(*x*) (*S*)-8-methoxy-2-(6-methoxynaphthalen-2-yl)-7-((triisopropylsilyl)oxy)-10-((2-trimethylsilyl)ethoxy)methyl)-1*H*-benzo[e]pyrrolo[1,2-a][1,4]diazepine-5,11(10*H*,11*aH*)-dione 20 (I33)

Pd(PPh₃)₄ (112 mg, 95.2 μmol, 0.05 eq) was added to a stirred mixture of enol triflate I32 (1.35 g, 1.94 mmol), 6-methoxy-2-naphthylboronic acid (1.02 g, 5.05 mmol, 2.6 eq), K₃PO₄ (1.07 g, 5.04 mmol), dioxane (15 mL). The reaction mixture was allowed to stir under a argon atmosphere for 2 hours at 35°C after which time the complete consumption of starting 25 material was observed by TLC (EtOAc/Hexane) and LC/MS (4.22 min (ES+) *m/z* (relative intensity) 702.88 ([M + H]⁺, 100)). The reaction mixture was diluted with EtOAc (100 mL) and washed with brine (200 mL), dried (MgSO₄), filtered and evaporated under reduced pressure to provide the crude product. Purification by flash chromatography (gradient elution: 90:10 v/v Hexane/EtOAc to 70:30 v/v Hexane/EtOAc) afforded the TIPS protected 30 C2-aryl which was immediately redissolved in wet DMF (50/1 DMF/water v/v). Solid lithium acetate (198 mg, 1.94 mmol, 1 eq) was added and the reaction allowed to proceed for 3 hours at 40°C followed by three days at -20°C. The reaction mixture was diluted with ethyl acetate and washed with citric acid (pH ~ 3), water and brine. The organic layer was dried over magnesium sulphate filtered and excess ethyl acetate was removed by rotary 35 evaporation under reduced pressure to provide the deprotected material (1.17g, Quantitative). : LC/MS (3.33 min (ES+) *m/z* (relative intensity) 546.77 ([M + H]⁺, 100)).

Example 1



(a) (S)-7-methoxy-2-methyl-8-((5-((S)-2-methyl-5,11-dioxo-7-(prop-2-yn-1-yloxy)-10-((2-(trimethylsilyl)ethoxy)methyl)-5,10,11,11a-tetrahydro-1H-benzo[e]pyrrolo[1,2-a][1,4]diazepin-8-yl)oxy)pentyl)oxy)-10-((2-(trimethylsilyl)ethoxy)methyl)-1H-benzo[e]pyrrolo[1,2-a][1,4]diazepine-5,11(10H,11aH)-dione (1)

Tetrabutylammonium iodide (34 mg, 0.093 mmol, 0.2 eq), potassium carbonate (96 mg, 0.694 mmol, 1.5 eq) and propargyl bromide (0.1 mL, 0.973 mmol, 2.1 eq) were added to a solution of alcohol I18 (400 mg, 0.463 mmol) of dimethylformamide (10 mL). The reaction mixture was stirred for 1 hour at 70°C after when the reaction was observed to be complete by LC/MS. The reaction mixture was diluted with ethyl acetate and washed with water three times, brine, dried over magnesium sulphate; filtered and excess solvent was removed by rotary evaporation under reduced pressure to provide the desired product (448 mg, quantitative). LC/MS (Method 3) 2.04 min, no ionisation, ¹H NMR (400 MHz, CDCl₃) δ 7.52 (s, 1H), 7.35 (s, 1H), 7.23 (s, 1H), 7.20 (s, 1H), 6.67 (s, 2H), 5.52 (dd, *J* = 10.0, 4.2 Hz, 2H), 4.78 (t, *J* = 2.5 Hz, 2H), 4.68 (dd, *J* = 10.0, 7.8 Hz, 2H), 4.46 (dt, *J* = 10.4, 3.4 Hz, 2H), 4.14 – 4.00 (m, 4H), 3.90 (s, 3H), 3.84 – 3.73 (m, 2H), 3.73 – 3.62 (m, 2H), 3.44 (d, *J* = 16.6 Hz, 2H), 2.80 – 2.72 (m, 2H), 2.52 (t, *J* = 2.4 Hz, 1H), 1.99 – 1.92 (m, 4H), 1.83 (s, 3H), 1.83 (s, 3H), 1.75 – 1.68 (m, 2H), 0.97 (ddd, *J* = 9.7, 6.7, 3.0 Hz, 4H), 0.01 (s, 18H).

(b) (S)-7-methoxy-2-methyl-8-((5-(((S)-2-methyl-5-oxo-7-(prop-2-yn-1-yloxy)-5,11a-dihydro-1H-benzo[e]pyrrolo[1,2-a][1,4]diazepin-8-yl)oxy)pentyl)oxy)-1H-benzo[e]pyrrolo[1,2-a][1,4]diazepin-5(11aH)-one (2)

Compound 1 (500 mg, 0.55 mmol) was dissolved in dry THF (15 mL) and cooled to -78 °C.

5 Lithium triethylborohydride (1.39 mL, 1.39 mmol, 2.5 eq) was then added dropwise. The reaction was stirred under argon at -78°C. After 30 minutes, the cold bath was removed and water added. The reaction mixture was extracted with ethyl acetate and washed with brine, dried over magnesium sulphate; filtered and excess solvent was removed by rotary evaporation under reduced pressure. The resulting residue was dissolved with a mixture of 10 dichloromethane / methanol / water (3 / 6 / 1, 6 mL / 12 mL / 2 mL). Silica gel was added until the solution gets thick and left stirring at ambient temperature for 5 days. The reaction mixture was filtered and washed with brine, dried over magnesium sulphate; filtered and excess solvent was removed by rotary evaporation under reduced pressure. The resulting residue was subjected to column flash chromatography (silica gel; 5% methanol / 15 chloroform). Pure fractions were collected and combined, and excess eluent was removed by rotary evaporation under reduced pressure afforded the desired product (240 mg, 72 %). LC/MS (Method 3) 1.88 min, (ES+) m/z (relative intensity) 608.68 [M + H]⁺, ¹H NMR (400 MHz, CDCl₃) δ 7.80 (d, *J* = 4.1 Hz, 1H), 7.79 (d, *J* = 4.1 Hz, 1H), 7.63 (s, 1H), 7.48 (s, 1H), 6.78 (s, 1H), 6.77 (s, 1H), 6.72 (br, 2H), 4.81 – 4.76 (m, 2H), 4.28 – 4.18 (m, 2H), 4.12 – 20 4.01 (m, 4H), 3.91 (s, 3H), 3.24 – 3.09 (m, 2H), 2.94 (dd, *J* = 16.8, 5.0 Hz, 2H), 2.52 (t, *J* = 2.3 Hz, 1H), 1.99 – 1.85 (m, 4H), 1.81 (s, 6H), 1.71 – 1.60 (m, 2H).

(c) 3-(2,5-dioxo-2,5-dihydro-1H-pyrrol-1-yl)-N-(2-(2-(2-(4-(((S)-8-((5-(((S)-7-methoxy-2-

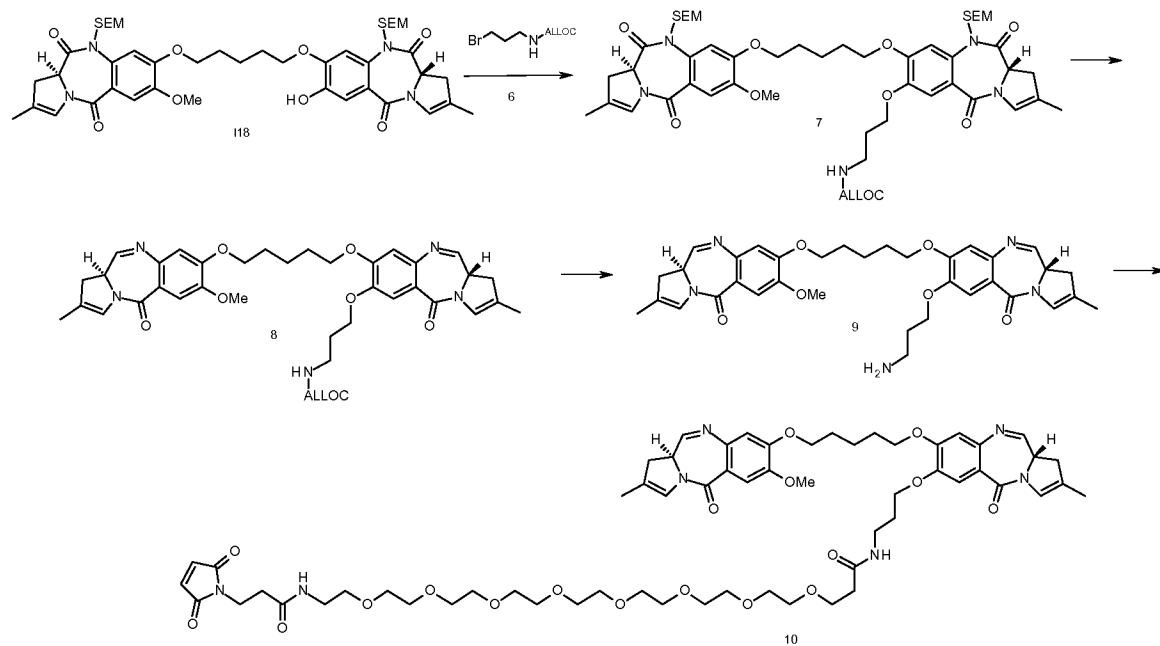
25 methyl-5-oxo-5,11a-dihydro-1H-benzo[e]pyrrolo[1,2-a][1,4]diazepin-8-yl)oxy)pentyl)oxy)-2-methyl-5-oxo-5,11a-dihydro-1H-benzo[e]pyrrolo[1,2-a][1,4]diazepin-7-yl)oxy)methyl)-1H-1,2,3-triazol-1-yl)ethoxy)ethoxy)ethyl)propanamide (5)

Azide 4 (64 µL, 0.328 mmol, 1.2 eq) was added to a solution of succinimide 3 (100 mg, 0.375 mmol, 1.3 eq) in dry DMSO (2 mL) and the reaction mixture was stirred at ambient temperature for 12 hours. In another round bottom flask, compound 2 (170 mg, 0.279 mmol) 30 was dissolved in tert-butanol (2 mL). Water (2 mL) was added followed by sodium ascorbate (11 mg, 0.056 mmol, 0.2 eq) and copper sulphate (4 mg, 0.014 mmol, 0.05 eq). The reaction mixture (with reacted 4 and 3) in DMSO was then added to the reaction mixture in water / tert-butanol. The reaction mixture was degassed with argon and stirred at ambient temperature. The reaction was complete after 1 hour and then diluted with dichloromethane 35 and washed with water two times, brine, dried over magnesium sulphate; filtered and excess solvent was removed by rotary evaporation under reduced pressure. The resulting residue

was subjected to column flash chromatography (silica gel; 2% to 10% methanol / chloroform). Pure fractions were collected and combined, and excess eluent was removed by rotary evaporation under reduced pressure afforded the desired product (40 mg, 15 %). LC/MS (Method 3) 1.29 min, (ES+) *m/z* (relative intensity) 978.40 [M + H]⁺

5

Example 2



(a) allyl (3-((S)-8-((5-((S)-7-methoxy-2-methyl-5,11-dioxo-10-((2-(trimethylsilyl)ethoxy)methyl)-5,10,11,11a-tetrahydro-1H-benzo[e]pyrrolo[1,2-a][1,4]diazepin-8-yl)oxy)pentyl)oxy)-2-methyl-5,11-dioxo-10-((2-(trimethylsilyl)ethoxy)methyl)-5,10,11,11a-tetrahydro-1H-benzo[e]pyrrolo[1,2-a][1,4]diazepin-7-yl)oxy)propyl)carbamate (7)

Tetrabutylammonium iodide (34 mg, 0.093 mmol, 0.2 eq), potassium carbonate (96 mg, 0.694 mmol, 1.5 eq) and 6 (154 mg, 0.695 mmol, 1.5 eq) were added to a solution of alcohol I18 (400 mg, 0.463 mmol) in dimethylformamide (5 mL). The reaction mixture was stirred for 15 1 hour at 70°C after when the reaction was observed to be complete by LC/MS. The reaction mixture was diluted with ethyl acetate and washed with water three times, brine, dried over magnesium sulphate; filtered and excess solvent was removed by rotary evaporation under reduced pressure to provide the desired product (420 mg, 90%).

LC/MS (Method 3) 2.05 min, (ES+) *m/z* (relative intensity) 863.35 [M + H]⁺, ¹H NMR (400 MHz, CDCl₃) δ 7.33 (s, 1H), 7.31 (s, 1H), 7.18 (s, 1H), 7.17 (s, 1H), 6.64 (br, 2H), 5.87 (ddd, *J* = 22.7, 10.9, 5.7 Hz, 1H), 5.57 (br, 1H), 5.48 (dd, *J* = 10.0, 3.0 Hz, 2H), 5.22 (dd, *J* = 18.3, 1.4 Hz, 1H), 5.15 (dd, *J* = 10.4, 1.2 Hz, 1H), 4.67 (t, *J* = 9.9 Hz, 2H), 4.51 (d, *J* = 5.5 Hz, 2H), 4.44 (ddd, *J* = 10.4, 5.2, 3.3 Hz, 2H), 4.16 – 3.99 (m, 6H), 3.87 (s, 3H), 3.79 – 3.72 (tdd, 2H), 3.70 – 3.59 (m, 2H), 3.43 (br, 1H), 3.42 – 3.34 (m, 3H), 2.73 (dd, *J* = 16.6, 10.5 Hz, 2H), 2.04

– 1.88 (m, 6H), 1.80 (s, 6H), 1.66 (d, J = 7.0 Hz, 2H), 0.94 (ddt, J = 9.4, 6.6, 2.5 Hz, 4H), 0.01 (s, 18H).

(b) *Allyl (3-(((S)-8-((5-((S)-7-methoxy-2-methyl-5-oxo-5,11a-dihydro-1H-benzo[e]pyrrolo[1,2-*

5 *a][1,4]diazepin-8-yl)oxy)pentyl)oxy)-2-methyl-5-oxo-5,11a-dihydro-1H-benzo[e]pyrrolo[1,2-
a][1,4]diazepin-7-yl)oxy)propyl)carbamate (8)*

Compound 7 (580 mg, 0.55 mmol) was dissolved in dry THF (15 mL) and cooled to -78°C.

Lithium triethylborohydride (1.44 mL, 1.44 mmol, 2.5 eq) was then added dropwise. The reaction was stirred under argon at -78°C. After 30 minutes, the cold bath was removed and 10 water added. The reaction mixture was extracted with ethyl acetate and washed with brine, dried over magnesium sulphate; filtered and excess solvent was removed by rotary evaporation under reduced pressure. The resulting residue was dissolved with a mixture of dichloromethane / methanol / water (3 / 6 / 1, 6 mL / 12 mL / 2 mL). Silica gel was added until the solution gets thick and left stirring at ambient temperature for 5 days. The reaction 15 mixture was filtered and washed with brine, dried over magnesium sulphate; filtered and excess solvent was removed by rotary evaporation under reduced pressure. The resulting residue was subjected to column flash chromatography (silica gel; 5% methanol / chloroform). Pure fractions were collected and combined, and excess eluent was removed by rotary evaporation under reduced pressure afforded the desired product (220 mg, 54 %).

20 LC/MS (Method 3) 1.41 min, (ES+) m/z (relative intensity) 712.40 [M + H]⁺, ¹H NMR (400 MHz, CDCl₃) δ 7.80 (dd, J = 3.9, 1.0 Hz, 2H), 7.49 (s, 1H), 7.47 (s, 1H), 6.78 (s, 2H), 6.73 (d, J = 1.3 Hz, 2H), 5.96 – 5.83 (m, 1H), 5.68 (br, 1H), 5.27 (d, J = 17.2 Hz, 1H), 5.17 (dd, J = 10.4, 1.2 Hz, 1H), 4.54 (d, J = 5.1 Hz, 2H), 4.28 – 4.16 (m, 2H), 4.16 – 4.00 (m, 6H), 3.91 (s, 3H), 3.48 (br, 1H), 3.50 – 3.38 (m, 2H), 3.36 – 3.34 (m, 1H), 3.24 – 3.11 (m, 2H), 2.95 (dd, J = 16.8, 4.8 Hz, 2H), 2.05 – 2.00 (m, 2H), 1.99 – 1.89 (m, 4H), 1.83 (s, 6H).

(c) *(S)-7-(3-aminopropoxy)-8-((5-((S)-7-methoxy-2-methyl-5-oxo-5,11a-dihydro-1H-benzo[e]pyrrolo[1,2-a][1,4]diazepin-8-yl)oxy)pentyl)oxy)-2-methyl-1H-benzo[e]pyrrolo[1,2-a][1,4]diazepin-5(11aH)-one (9)*

30 *Tetrakis(triphenylphosphine)palladium(0)* (7 mg, 0.006 mmol, 0.06 eq) was added to a solution of 8 (80 mg, 0.112 mmol) and pyrrolidine (23 μL, 0.28 mmol, 2.5 eq) in dry dichloromethane (2 mL). The reaction was flushed with argon three times and stirred 3 hours at room temperature. Then the reaction was diluted with dichloromethane and washed sequentially with saturated aqueous ammonium chloride and brine. The organic phase was 35 dried over magnesium sulphate filtered and excess dichloromethane removed by rotary evaporation under reduced pressure. The resulting residue was used as a crude mixture for

the next reaction. LC/MS (Method 3) 1.05 min, (ES+) *m/z* (relative intensity) 628.35 [M + H]⁺.

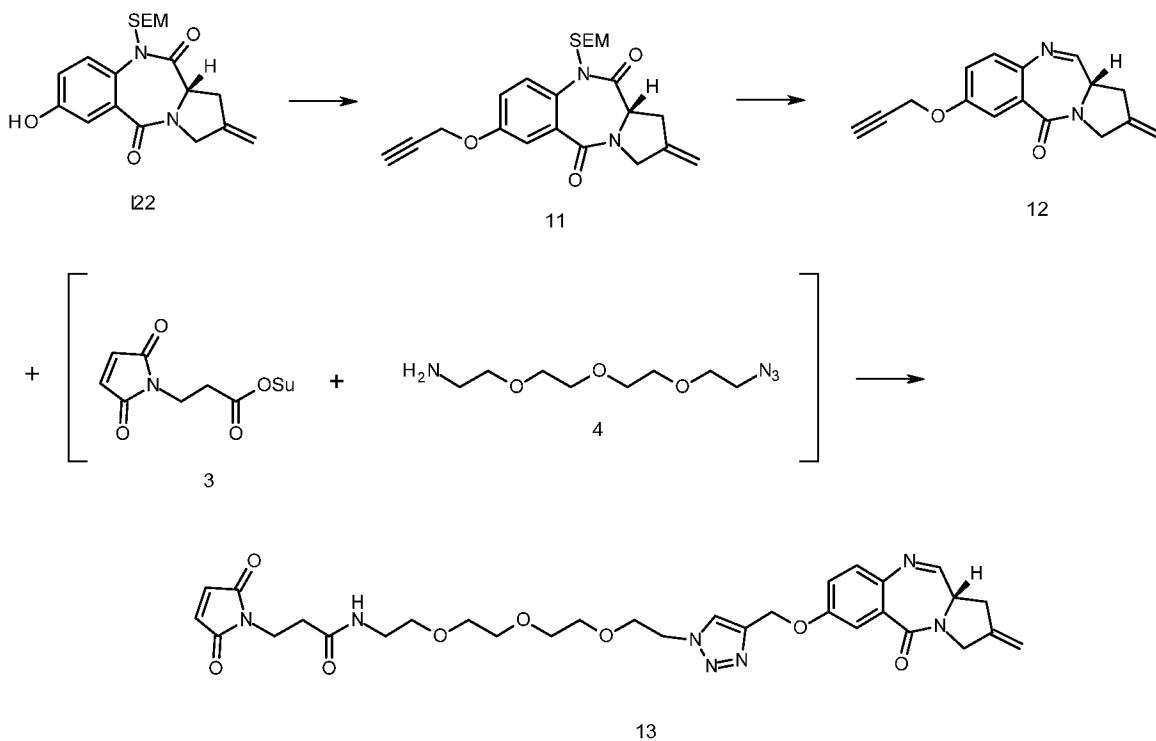
(d) 1-(3-(2,5-dioxo-2,5-dihydro-1*H*-pyrrol-1-yl)propanamido)-*N*-(3-(((S)-8-((5-(((S)-7-methoxy-

5 2-methyl-5-oxo-5,11a-dihydro-1*H*-benzo[e]pyrrolo[1,2-a][1,4]diazepin-8-yl)oxy)pentyl)oxy)-2-methyl-5-oxo-5,11a-dihydro-1*H*-benzo[e]pyrrolo[1,2-a][1,4]diazepin-7-yl)oxy)propyl)-3,6,9,12,15,18,21,24-octaoxaheptacosan-27-amide (10)

10 1-ethyl-3-(3'-dimethylaminopropyl)carbodiimide (EDCI, 11 mg, 0.060 mmol, 1.1 eq) was added to a solution of crude 9 (0.056 mmol) and Mal-(PEG)₈-acid (35 mg, 0.060 mmol, 1.1 eq) in dry dichloromethane (2 mL). The reaction was degassed three times with Argon and stirred for 1 hours and the presence of starting material was no longer observed by LC/MS. The reaction was diluted with dichloromethane and washed sequentially with water and brine. The organic phase was dried over magnesium sulphate filtered and excess dichloromethane removed by rotary evaporation under reduced pressure. The resulting 15 residue was subjected to flash column chromatography (silica gel; 100% chloroform to 10% methanol in chloroform). Pure fractions were collected and combined and excess eluent was removed by rotary evaporation under reduced pressure to give the desired product (19 mg, 28% over 2 steps). LC/MS (Method 3) 1.30 min, (ES+) *m/z* (relative intensity) 1202.55 [M + H]⁺. ¹H NMR (400 MHz, CDCl₃) δ 7.79 (d, *J* = 4.0 Hz, 1H), 7.48 (d, *J* = 5.1 Hz, 1H), 6.77 (d, *J* = 1.8 Hz, 1H), 6.72 (d, *J* = 3.5 Hz, 1H), 6.68 (s, 2H), 6.45 – 6.34 (m, 1H), 4.59 – 4.48 (m, 1H), 4.28 – 4.20 (m, 1H), 4.18 – 3.95 (m, 6H), 3.91 (s, 2H), 3.86 – 3.75 (m, 4H), 3.75 – 3.67 (m, 2H), 3.63 – 3.58 (m, 28H), 3.54 – 3.49 (m, 2H), 3.49 – 3.36 (m, 6H), 3.35 – 3.34 (m, 1H), 3.20 – 3.13 (m, 2H), 2.94 (d, *J* = 16.8 Hz, 2H), 2.50 (t, *J* = 7.2 Hz, 2H), 2.46 – 2.37 (m, 2H), 2.07 – 1.99 (m, 2H), 1.97 – 1.91 (m, 4H), 1.82 (s, 3H), 1.77 (s, 3H), 1.69 – 1.63 (m, 2H), 0.90 20 – 0.77 (m, 1H).

25

Example 3



(a) (S)-2-methylene-7-(prop-2-yn-1-yloxy)-10-((2-(trimethylsilyl)ethoxy)methyl)-2,3-dihydro-1H-benzo[e]pyrrolo[1,2-a][1,4]diazepine-5,11(10H,11aH)-dione (11)

5 Propargyl bromide (149 μ L, 158 mg, 1.33 mmol, 1.05 eq) was added to a suspension of phenol I22 (477 mg, 1.27 mmol, 1eq), TBAI (47 mg, 0.127 mmol, 0.1eq), and potassium carbonate (132 mg, 0.96 mmol, 0.75 eq) in dry DMF (10 mL), and stirred at 75°C for 1 hour when completion was observed. The reaction mixture was partitioned between ethyl acetate (100 mL) and water (100mL). The organics were further washed with water (2 \times 100 mL),
 10 brine (50 mL) and dried over magnesium sulphate. Yield = 420 mg (80%). LC/MS (3.43 min (ES+) m/z (relative intensity) 413.07 ($[M + H]^+$, 100)); 1 H NMR (400 MHz, $CDCl_3$) δ 7.63 (dd, J = 9.0, 1.7 Hz, 1H), 7.44 (d, J = 3.1 Hz, 1H), 7.17 – 7.11 (m, 1H), 5.48 (dd, J = 9.9, 1.5 Hz, 1H), 5.22 – 5.07 (m, 2H), 4.74 (d, J = 2.7 Hz, 2H), 4.68 (d, J = 9.2 Hz, 1H), 4.40 – 4.14 (m, 3H), 3.69 (dtd, J = 16.8, 9.6, 7.7 Hz, 2H), 3.43 (d, J = 15.9 Hz, 1H), 2.88 – 2.71 (m, 1H),
 15 2.60 – 2.47 (m, 1H), 1.04 – 0.88 (m, 2H), 0.08 – -0.06 (m, 9H).

(b) (S)-2-methylene-7-(prop-2-yn-1-yloxy)-2,3-dihydro-1H-benzo[e]pyrrolo[1,2-a][1,4]diazepin-5(11aH)-one (12)

1M superhydride solution in THF (1.32 mL, 1.3 eq) was injected slowly to a solution of dilactam 11 (420 mg, 1.02 mmol, 1eq) in THF at -78°C. The reaction was monitored for 1 hour after which time the complete conversion of starting material directly was observed by LC/MS (3.02 min (ES+) m/z (relative intensity) 267.10 ($[M + H]^+$, 100)). The reaction mixture

was carefully diluted with H₂O (500 mL) and extracted with ethyl acetate (50 mL). The combined organic layers were washed with water (1 x 50 mL), brine (1 x 20 mL), dried over MgSO₄, filtered and evaporated under reduced pressure at 35°C to provide the intermediate SEM-carbinolamine. The white solid were immediately dissolved in EtOH (40 mL), DCM (15mL) and H₂O (5 mL) and treated with flash silica gel (30 g). The thick suspension was allowed to stir at room temperature for 72 hours after which time the formation of a significant quantity of desired product was observed by TLC (95:5 v/v CHCl₃/MeOH). The reaction mixture was filtered through a very wide porosity 3 sinter funnel and the pad rinsed slowly and thoroughly with 90:10 v/v CHCl₃/MeOH until no further product eluted (checked by TLC). The filtrate was washed with brine (300 mL), dried (MgSO₄), filtered and evaporated *in vacuo*, followed by high vacuum drying, to provide the crude product. Purification by flash chromatography (gradient elution: 100% HPLC grade CHCl₃ to 98:2 v/v CHCl₃/MeOH) gave the desired product as a mixture of carbinolamine ethers and imine (155 mg, 57%). In order to obtain an NMR sample, material (10 mg) was treated with HPLC grade CHCl₃ (50 mL) and allowed to stand overnight to promote the formation of the imine form. The solvent was removed by evaporation under reduced pressure, and the residue was again treated with HPLC grade CHCl₃ (50 mL) and allowed to stand for 4 hours. LC/MS (2.28 min (ES+) *m/z* (relative intensity) 267.10 ([M + H]⁺, 100)); ¹H NMR (400 MHz, CDCl₃) δ 7.71 (d, *J* = 4.4 Hz, 1H), 7.60 (d, *J* = 3.0 Hz, 1H), 7.29 (d, *J* = 8.8 Hz, 1H), 7.17 (dd, 1H, *J* = 8.8, 3.0 Hz, 1H), 5.23 – 5.19 (m, 1H), 5.18 (s, 1H), 4.79 – 4.75 (m, 2H), 4.32 – 4.26 (m, 1H), 3.89 (s, 1H), 3.78 – 3.69 (m, 1H), 3.49 (d, *J* = 5.5 Hz, 1H), 3.12 (dd, *J* = 16.1, 9.1 Hz, 1H), 2.96 (dd, *J* = 3.0, 1.4 Hz, 1H).

(c) (S)-3-(2,5-dioxo-2,5-dihydro-1H-pyrrol-1-yl)-N-(2-(2-(2-(4-(((2-methylene-5-oxo-2,3,5,11a-tetrahydro-1H-benzo[e]pyrrolo[1,2-a][1,4]diazepin-7-yl)oxy)methyl)-1H-1,2,3-triazol-1-yl)ethoxy)ethoxy)ethyl)propanamide (13)

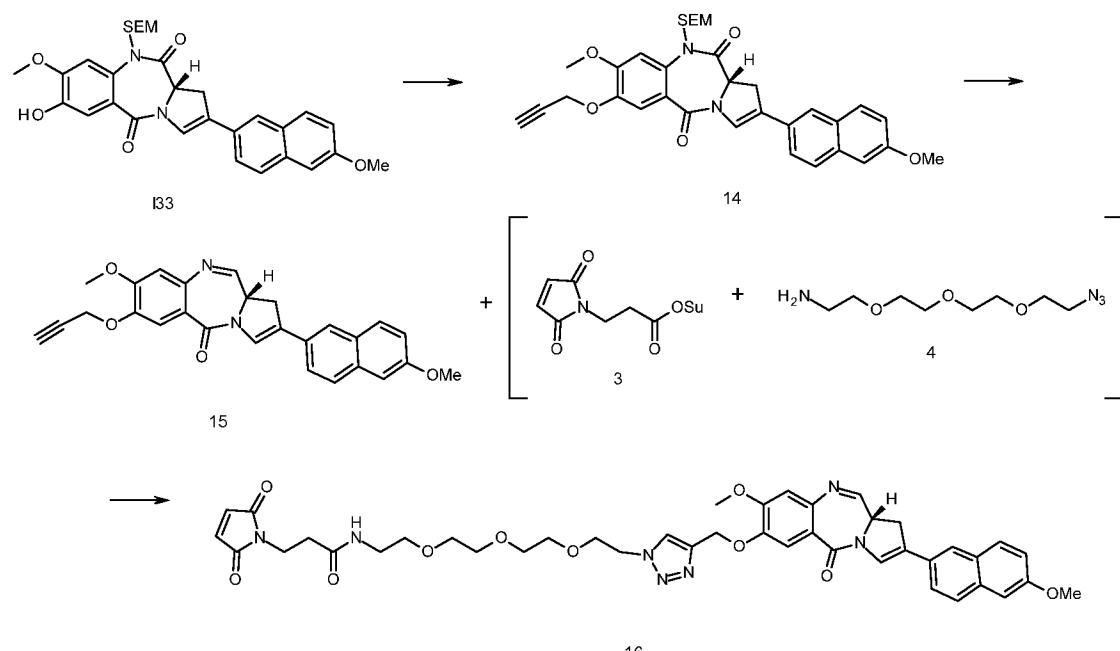
Amino-(Peg)₃-azide 4 (86.1 mg, 78μL, 0.39 mmol, 1.05 eq) was added to a solution of maleimide succinimide 3 (100 mg, 0.38 mmol, 1eq) in DMSO (0.5 mL) at 25°C and reacted for 45 minutes. This solution was added to a mixture of propargyl-PBD 12 (100 mg, 0.38 mmol, 1eq), sodium ascorbate (15 mg, 0.076 mmol, 0.2 eq), and copper sulphate (4.69 mg, 0.018 mmol, 0.05 eq) in *tert*-butanol/water 1/1 v/v (1.2 mL). The reaction was degassed and allowed to proceed under argon. After 2 hours, the LC/MS profile of the reaction was judged encouraging with significant formation of product alongside the amino-(peg)₃-PBD. When allowed to proceed overnight, the LC/MS profile became poorer. The reaction mixture was partitioned between chloroform/methanol (90/10, v/v) and water. The organics were washed with water, followed by brine and dried over magnesium sulphate. The volatiles were

removed by rotovaporation under vacuum. The residue was purified by flash column chromatography (gradient methanol/chloroform 1/99 to 20/80). The product (dark spot under UV) came as mixed fractions with the amino-(peg)₃-PBD (blue glow under UV) and was further purified by preparative TLC (25 mg, 10%). LC/MS (2.28 min (ES+) *m/z* (relative

5 intensity) 636.16 ($[M + H]^+$, 100)); ¹H NMR (400 MHz, CDCl₃) δ 7.90 (s, 1H), 7.71 (d, *J* = 4.4 Hz, 1H), 7.61 (d, *J* = 2.9 Hz, 1H), 7.29 (d, *J* = 8.8 Hz, 1H), 7.18 (dd, *J* = 8.8, 3.0 Hz, 1H), 6.67 (s, 2H), 6.46 (s, 1H), 5.26 (d, *J* = 7.1 Hz, 1H), 5.23 – 5.16 (m, 2H), 4.61 – 4.53 (m, 2H), 4.32 – 4.25 (m, 1H), 3.94 – 3.87 (m, 2H), 3.82 (t, *J* = 7.2 Hz, 2H), 3.65 – 3.53 (m, 10H), 3.52 – 3.46 (m, 3H), 3.43 – 3.35 (m, 2H), 3.14 (dd, *J* = 16.0, 9.0 Hz, 1H), 2.95 (dd, *J* = 16.0, 1.5 Hz, 1H), 2.49 (t, *J* = 7.2 Hz, 2H).

10

Example 4



(a) (S)-8-methoxy-2-(6-methoxynaphthalen-2-yl)-7-(prop-2-yn-1-yloxy)-10-((2-

15 (trimethylsilyl)ethoxy)methyl)-1*H*-benzo[e]pyrrolo[1,2-*a*][1,4]diazepine-5,11(10*H*,11*aH*)-dione (14)

Propargyl bromide (251 μ L, 2.24 mmol 1.05 eq) was added to a suspension of crude phenol

I33 (1.17g, 2.14 mmol, 1 eq), TBAI (79mg, 0.21 mmol, 1 eq) and potassium carbonate

(221mg, 1.60 mmol, 0.75 eq) in dry DMF (10 mL), and stirred at 75°C for 1 hour when

20 completion was observed. The reaction mixture was partitioned between ethyl acetate (100 mL) and water (100mL). The organics were further washed with water (2 x 100 mL), brine (50 mL) and dried over magnesium sulphate. The residue was subjected to column flash chromatography (gradient elution: 35:65 v/v Hexane/Et₂O to 0:100 v/v Hexane/Et₂O)

afforded the desired product (594 mg, 47% from crude, 52% over three steps). LC/MS (3.85 min (ES+) *m/z* (relative intensity) 584.92 ($[M + H]^+$, 100)); $[\alpha]^{21}_D = +345^\circ$ (*c* = 0.229, Chloroform); ^1H NMR (400 MHz, CDCl_3) δ 7.75 – 7.64 (m, 3H), 7.64 – 7.55 (m, 2H), 7.52 (s, 1H), 7.32 (s, 1H), 7.19 – 7.08 (m, 2H), 5.59 (d, *J* = 10.0 Hz, 1H), 4.92 – 4.77 (m, 2H), 4.71 (dd, *J* = 10.4, 3.8 Hz, 2H), 4.08 (ddd, *J* = 16.0, 3.3, 1.7 Hz, 1H), 3.97 – 3.89 (m, 6H), 3.83 (td, *J* = 9.4, 7.2 Hz, 1H), 3.72 (td, *J* = 9.4, 7.1 Hz, 1H), 3.27 (ddd, *J* = 15.9, 10.6, 2.1 Hz, 1H), 2.56 (t, *J* = 2.4 Hz, 1H), 1.05 – 0.95 (m, 2H), 0.07 – 0.02 (m, 9H).

(b) (*S*)-8-methoxy-2-(6-methoxynaphthalen-2-yl)-7-(prop-2-yn-1-yloxy)-1*H*-

10 *benzo[e]pyrrolo[1,2-a][1,4]diazepin-5(11aH)-one* (15)

1M superhydride solution in THF (1.27 mL, 1.27 mmol, 1.3 eq) was injected slowly to a solution of dilactam 14 (573 mg, 0.98 mmol, 1 eq) in THF (10 mL) at -78°C. The reaction was monitored for 1 hour after which time the complete conversion of starting material directly was observed by LC/MS (3.03 min (ES+) *m/z* (relative intensity) 456.88 ($[M + H]^+$, 100)). The reaction mixture was carefully diluted with H_2O (500 mL) and extracted with chloroform (50 mL). The combined organic layers were washed with water (1 x 50 mL), brine (1 x 20 mL), dried over MgSO_4 , filtered and evaporated under reduced pressure at 35°C to provide the intermediate SEM-carbinolamine. The white solid were immediately dissolved in EtOH (40 mL), DCM (15mL) and H_2O (5 mL) and treated with flash silica gel (30 g). The thick suspension was allowed to stir at room temperature for 72 hours after which time the formation of a significant quantity of desired product was observed by TLC (95:5 v/v $\text{CHCl}_3/\text{MeOH}$). The reaction mixture was filtered through a very wide porosity 3 sinter funnel and the pad rinsed slowly and thoroughly with 90:10 v/v $\text{CHCl}_3/\text{MeOH}$ until no further product eluted (checked by TLC). The filtrate was washed with brine (100 mL), dried (MgSO_4), filtered and evaporated *in vacuo*, followed by high vacuum drying, to provide the crude product. Purification by flash chromatography (Ethyl acetate) gave the desired product (100 mg, 23%). In order to obtain an NMR sample, material (10 mg) was treated with HPLC grade CHCl_3 (50 mL) and allowed to stand overnight to promote the formation of the imine form. The solvent was removed by evaporation under reduced pressure, and the residue was again treated with HPLC grade CHCl_3 (50 mL) and allowed to stand for 4 hours. LC/MS (3.03 min (ES+) *m/z* (relative intensity) 456.88 ($[M + \text{H}_2\text{O}]^+$, 100)); ^1H NMR (500 MHz, CDCl_3) δ 7.96 (d, *J* = 4.0 Hz, 1H), 7.74 – 7.68 (m, 3H), 7.63 – 7.57 (m, 3H), 7.19 – 7.09 (m, 2H), 6.87 (s, 1H), 4.87 (dd, *J* = 4.6, 2.4 Hz, 2H), 4.49 (ddd, *J* = 11.6, 5.1, 4.1 Hz, 1H), 3.99 – 3.91 (m, 6H), 3.72 (ddd, *J* = 16.1, 11.5, 2.0 Hz, 1H), 3.53 (ddd, *J* = 16.2, 5.1, 1.7 Hz, 1H), 2.56 (t, *J* = 2.4 Hz, 1H).

(c) (S)-3-(2,5-dioxo-2,5-dihydro-1H-pyrrol-1-yl)-N-(2-(2-(2-(4-(((8-methoxy-2-(6-methoxynaphthalen-2-yl)-5-oxo-5,11a-dihydro-1H-benzo[e]pyrrolo[1,2-a][1,4]diazepin-7-yl)oxy)methyl)-1H-1,2,3-triazol-1-yl)ethoxy)ethoxy)ethyl)propanamide (16)

Amino-(Peg)₃-azide 4 (34.2 μ L, 37.6 mg, 0.17 mmol, 0.9 eq) was added to a solution of

5 maleimide succinimide 3 (56.1mg, 0.21 mmol, 1.1 eq) in DMSO (0.5 mL) at 25°C and reacted for 45 minutes. This solution was added to a mixture of propargyl-PBD 15 (84 mg, 0.19 mmol, 1 eq), sodium ascorbate (7.6 mg, 0.038 mmol, 0.2 eq), and copper sulphate (2.4 mg, 0.010 mmol, 0.05 eq) in *tert*-butanol/water 1/1 v/v (1 mL). DMSO (2.5 mL) to improve solubility. The reaction was degassed and allowed to proceed under argon. After 5 hours, the 10 LC/MS profile of the reaction showed significant formation of product. The reaction mixture was partitioned between chloroform and water. The organics were washed with water, followed by brine and dried over magnesium sulphate. The volatiles were removed by rotovaporation under vacuum. The residue was purified by flash column chromatography (gradient methanol/chloroform 1/99 to 5/95) to give the desired product. Yield: 64 mg (41%).

15 LC/MS (2.85 min (ES+) *m/z* (relative intensity) 808.61 ([M + H]⁺, 100)); ¹H NMR (500 MHz, CDCl₃) δ 7.96 (d, *J* = 4.0 Hz, 1H), 7.94 (s, 1H), 7.74 – 7.68 (m, 3H), 7.64 – 7.56 (m, 3H), 7.19 – 7.10 (m, 2H), 6.86 (s, 1H), 6.65 (s, 2H), 6.44 (s, 1H), 5.33 (q, *J* = 11.9 Hz, 2H), 4.60 – 4.54 (m, 2H), 4.50 (dt, *J* = 9.1, 5.0 Hz, 1H), 3.95 – 3.88 (m, 8H), 3.82 (t, *J* = 7.3 Hz, 2H), 3.77 – 3.65 (m, 2H), 3.65 – 3.58 (m, 5H), 3.58 – 3.51 (m, 3H), 3.52 – 3.47 (m, 2H), 3.39 (dd, *J* = 20 10.6, 5.3 Hz, 2H), 2.49 (td, *J* = 7.0, 0.8 Hz, 2H).

Example 5

General antibody conjugation procedure

Antibodies are diluted to 1-5 mg/mL in a reduction buffer (examples: phosphate buffered

25 saline PBS, histidine buffer, sodium borate buffer, TRIS buffer). A freshly prepared solution of TCEP (tris(2-carboxyethyl)phosphine hydrochloride) is added to selectively reduce cysteine disulfide bridges. The amount of TCEP is proportional to the target level of reduction, within 1 to 4 molar equivalents per antibody, generating 2 to 8 reactive thiols. After reduction for several hours at 37°C, the mixture is cooled down to room temperature and excess drug-linker (**5, 10, 13, 16**) added as a diluted DMSO solution (final DMSO content of up to 10% volume/volume of reaction mixture). The mixture was gently shaken at either 4°C or room temperature for the appropriate time, generally 1-3 hours. Excess reactive thiols can be reacted with a 'thiol capping reagent' like N-ethyl maleimide (NEM) at the end of the conjugation. Antibody-drug conjugates are concentrated using centrifugal spin-filters with a 30 molecular weight cut-off of 10 kDa or higher, then purified by tangential flow filtration (TFF) or Fast Protein Liquid Chromatography (FPLC). Corresponding antibody-drug conjugates 35

can be determined by analysis by High-Performance Liquid Chromatography (HPLC) or Ultra-High-Performance Liquid Chromatography (UHPLC) to assess drug-per-antibody ratio (DAR) using reverse-phase chromatography (RP) or Hydrophobic-Interaction

Chromatography (HIC), coupled with UV-Visible, Fluorescence or Mass-Spectrometer

5 detection; aggregate level and monomer purity can be analysed by HPLC or UHPLC using size-exclusion chromatography coupled with UV-Visible, Fluorescence or Mass-Spectrometer detection. Final conjugate concentration is determined by a combination of spectroscopic (absorbance at 280, 214 and 330 nm) and biochemical assay (bicinchoninic acid assay BCA; Smith, P.K., *et al.* (1985) *Anal. Biochem.* **150** (1): 76–85; using a known-
10 concentration IgG antibody as reference). Antibody-drug conjugates are generally sterile filtered using 0.2 µm filters under aseptic conditions, and stored at +4°C, -20°C or -80°C.

Examples of particular conjugations are described below.

15 *Conjugate A1 (Herceptin-10, ConjA)*

Herceptin™ (2.0 mg, 13.3 nanomoles) was diluted into 1.8 mL of a reduction buffer containing 10 mM sodium borate pH 8.4, 2.5 mM EDTA and a final antibody concentration of 1.11 mg/mL. A 10 mM solution of TCEP was added (2 molar equivalent/antibody, 26.6 nanomoles, 2.66 µL) and the reduction mixture was heated at +37°C for 2.5 hours in a
20 heating block. After cooling down to room temperature, compound **10** was added as a DMSO solution (3.5 molar equivalent/antibody, 45 nanomoles, in 0.2 mL DMSO). The solution was mixed for 2 hour at room temperature, then the conjugation was quenched by addition of N-ethyl maleimide (1 micromole, 10µL at 100 mM) followed 15 minutes later by N-acetyl cystein (1.5 micromoles, 15µL at 100 mM), then injected into a AKTA™FPLC using
25 a GE Healthcare XK16/70 column packed with Superdex 200 PG, eluting with 1.5 mL/min of sterile-filtered Phosphate-buffered saline (PBS). Fractions corresponding to ConjA1 monomer peak were pooled, concentrated using a 15mL Amicon Ultracell 50KDa MWCO spin filter, analysed and sterile-filtered. BCA assay gives a concentration of final ConjA at 1.49 mg/mL in 1.2 mL, obtained mass of ConjA1 is 1.79 mg (90% yield).

30

UHPLC analysis on a Shimadzu Prominence system using a Phenomenex Aeris 3.6u XB-C18 150 x 2.1 mm column eluting with a gradient of water and acetonitrile on a reduced sample of ConjA1 at 280 nm and 330 nm (Compound **10** specific) shows a mixture of light and heavy chains attached to several molecules of compound **10**, consistent with a drug-per-antibody ratio (DAR) of 2.7 molecules of compound **10** per antibody.

35

UHPLC analysis on a Shimadzu Prominence system using a Waters Acquity UPLC BEH200 SEC 1.7 um 4.6 x 150 mm column eluting with sterile-filtered Phosphate-buffered saline (PBS) containing 5% isopropanol (v/v) on a sample of ConjA at 280 nm shows a monomer 5 purity of over 99% with no impurity detected.

Conjugate A2 (Herceptin-10, ConjA2)

Herceptin™ (2.0 mg, 13.3 nanomoles) was diluted into 1.8 mL of a reduction buffer containing 10 mM sodium borate pH 8.4, 2.5 mM EDTA and a final antibody concentration of 10 1.11 mg/mL. A 10 mM solution of TCEP was added (2 molar equivalent/antibody, 26.6 nanomoles, 2.66 µL) and the reduction mixture was heated at +37°C for 2.5 hours in a heating block. After cooling down to room temperature, compound **10** was added as a DMSO solution (3.5 molar equivalent/antibody, 45 nanomoles, in 0.2 mL DMSO). The solution was mixed for 2 hours at room temperature, then the conjugation was quenched by 15 addition of *N*-ethyl maleimide (1 micromole, 10 µL at 100 mM) followed 15 minutes later by *N*-acetyl cysteine (1.5 micromoles, 15 µL at 100 mM), then injected into an AKTA™ FPLC using a GE Healthcare XK16/70 column packed with Superdex™ 200 PG, eluting with 1.5 mL/min of sterile-filtered phosphate-buffered saline (PBS). Fractions corresponding to ConjA2 monomer peak were pooled, concentrated using a 15mL Amicon Ultracell 50KDa 20 MWCO spin filter, analysed and sterile-filtered. BCA assay gives a concentration of final ConjA2 at 1.49 mg/mL in 1.2 mL, obtained mass of ConjA2 is 1.79 mg (90% yield).

UHPLC analysis on a Shimadzu Prominence system using a Phenomenex Aeris 3.6u XB-C18 150 x 2.1 mm column eluting with a gradient of water and acetonitrile on a reduced 25 sample of ConjA2 at 280 nm and 330 nm (compound **10** specific) shows a mixture of light and heavy chains attached to several molecules of compound **10**, consistent with a drug-per-antibody ratio (DAR) of 2.7 molecules of compound **10** per antibody.

UHPLC analysis on a Shimadzu Prominence system using a Waters Acquity UPLC BEH200 30 SEC 1.7 um 4.6 x 150 mm column eluting with sterile-filtered phosphate-buffered saline (PBS) containing 5% isopropanol (v/v) on a sample of ConjA2 at 280 nm shows a monomer purity of over 99% with no impurity detected.

Conjugate B (Herceptin-5, ConjB)

HerceptinTM (2.0 mg, 13.3 nanomoles) was diluted into 1.8 mL of a reduction buffer containing 10 mM sodium borate pH 8.4, 2.5 mM EDTA and a final antibody concentration of 1.11 mg/mL. A 10 mM solution of TCEP was added (2 molar equivalent/antibody, 26.6 nanomoles, 2.66 μ L) and the reduction mixture was heated at +37°C for 2.0 hours in a

5 heating block. After cooling down to room temperature, compound 5 was added as a DMSO solution (10 molar equivalent/antibody, 133 nanomoles, in 0.2 mL DMSO). The solution was mixed for 1 hours at room temperature, then the conjugation was injected into an AKTATM FPLC using a GE Healthcare XK16/70 column packed with Superdex 200 PG, eluting with 1.5 mL/min of sterile-filtered phosphate-buffered saline (PBS). Fractions corresponding to
10 ConjB monomer peak were pooled, concentrated using a 15mL Amicon Ultracell 50KDa MWCO spin filter, analysed and sterile-filtered. BCA assay gives a concentration of final ConjB at 3.13 mg/mL in 0.65 mL, obtained mass of ConjB is 1.61 mg (80% yield).

UHPLC analysis on a Shimadzu Prominence system using a Phenomenex Aeris 3.6u XB-

15 C18 150 x 2.1 mm column eluting with a gradient of water and acetonitrile on a reduced sample of ConjB at 280 nm and 330 nm (compound 5 specific) shows a mixture of light and heavy chains attached to several molecules of compound 5, consistent with a drug-per-antibody ratio (DAR) of 4 molecules of compound 5 per antibody.

20 UHPLC analysis on a Shimadzu Prominence system using a Waters Acquity UPLC BEH200 SEC 1.7 um 4.6 x 150 mm column eluting with sterile-filtered Phosphate-buffered saline (PBS) containing 5% isopropanol (v/v) on a sample of ConjB at 280 nm shows a monomer purity of over 98.6%.

25 *Conjugate C (Herceptin-13, ConjC)*

HerceptinTM (1.0 mg, 6.7 nanomoles) was diluted into 0.9 mL of a reduction buffer containing 10 mM sodium borate pH 8.4, 2.5 mM EDTA and a final antibody concentration of 1.11 mg/mL. A 1 mM solution of TCEP was added (3 molar equivalent/antibody, 20 nanomoles, 20 μ L) and the reduction mixture was heated at +37°C for 1.5 hours in a heating block. After
30 cooling down to room temperature, compound 13 was added as a DMSO solution (10 molar equivalent/antibody, 67 nanomoles, in 0.1 mL DMSO). The solution was mixed for 1 hour at room temperature, the conjugation mixture was analysed by HPLC and then injected into an AKTATM FPLC using a GE Healthcare XK16/70 column packed with SuperdexTM 200 PG, eluting with 1.5 mL/min of sterile-filtered phosphate-buffered saline (PBS). Fractions
35 corresponding to ConjC monomer peak were pooled, concentrated using a 15mL Amicon Ultracell 50KDa MWCO spin filter, analysed and sterile-filtered. BCA assay gives a

concentration of final ConjC at 0.70 mg/mL in 1.0 mL, obtained mass of ConjC is 0.70 mg (70% yield).

UHPLC analysis on a Shimadzu Prominence system using an Agilent Technologies PLRP-S

5 1000A 5 μ m 50 x 2.1 mm column eluting with a gradient of water and acetonitrile on a reduced sample of ConjC at 280 nm and 330 nm (compound **13** specific) shows a mixture of light and heavy chains attached to several molecules of compound **13**, consistent with a drug-per-antibody ratio (DAR) of >2.7 molecules of compound **13** per antibody.

10 **Conjugate D (Herceptin-16, ConjD)**

HerceptinTM was diluted into 0.9 mL of a reduction buffer containing 10 mM sodium borate pH 8.4, 2.5 mM EDTA and a final antibody concentration of 1.11 mg/mL. A 1 mM solution of

TCEP was added (3 molar equivalent/antibody, 20 nanomoles, 20 μ L) and the reduction mixture was heated at +37°C for 1.5 hours in a heating block. After cooling down to room

15 temperature, compound **16** was added as a DMSO solution (10 molar equivalent/antibody, 67 nanomoles, in 0.1 mL DMSO). The solution was mixed for 1 hour at room temperature,

the conjugation mixture was analysed by HPLC and then injected into an AKTATM FPLC using a GE Healthcare XK16/70 column packed with SuperdexTM 200 PG, eluting with 1.5 mL/min of sterile-filtered phosphate-buffered saline (PBS). Fractions corresponding to ConjD

20 monomer peak were pooled, concentrated using a 15mL Amicon Ultracell 50KDa MWCO spin filter, analysed and sterile-filtered. BCA assay gives a concentration of final ConjD at 0.55 mg/mL in 1.0 mL, obtained mass of ConjD is 0.55 mg (55% yield).

UHPLC analysis on a Shimadzu Prominence system using an Agilent Technologies PLRP-S

25 1000A 5 μ m 50 x 2.1 mm column eluting with a gradient of water and acetonitrile on a reduced sample of ConjD at 280 nm and 330 nm (compound **16** specific) shows a mixture of light and heavy chains attached to several molecules of compound **16**, consistent with a drug-per-antibody ratio (DAR) of 3.56 molecules of compound **16** per antibody.

30 **Example 6: In vivo ADC efficacy studies**

CB.17 SCID mice, aged 8-12 weeks, may be injected with 1 mm³ tumour fragments sub cutaneously in the flank. When tumours reach an average size of 100 - 150 mg, treatment may be begun. Mice may be weighed twice a week. Tumour size may be measured twice a week. Animals may be monitored individually. The endpoint of the experiment is a tumour

35 volume of 1000 mm³ or 60 days, whichever comes first. Responders can be followed longer.

Groups of 10 xenografted mice can be injected i.v. with 0.2ml of antibody drug conjugate (ADC), or naked antibody, in phosphate buffered saline (vehicle) or with 0.2ml of vehicle alone. The concentration of ADC can be adjusted to give, for example, 0.3 or 1.0 mg ADC/

5 kg body weight in a single dose. Three identical doses may be given to each mouse at intervals of, for example, 1 week.

Example 7: In vitro ADC efficacy studies

Medium from subconfluent (about 80-90% confluence) SK-BR-3 cells in a T75 flask was

10 aspirated and PBS (about 20ml) was added to rinse away the culture medium. The PBS was aspirated and Trypsin-EDTA (5ml) added. The flask was returned to the 37°C gassed incubator for up to about 5 minutes. The flask was rapped sharply to dislodge and dissociate cells from the plastic. The cell suspension was transferred to a sterile 50ml screw-top centrifuge tube. Medium (McCoy's + 10% FCS) was added to a final volume

15 of 15ml, then the tube was centrifuged (400g for 5 min). The supernatant was aspirated and the pellet re-suspended in 10ml culture medium. Repeated aspiration (up and down a 10ml pipette) may be necessary to break up cell clumps and produce monodisperse cell suspensions suitable for counting. Cell suspension (10µl) was mixed with Trypan blue (10µl) and live/dead cells counted with a haemocytometer to determine cell concentration and

20 viability. The cell suspension was diluted to 20x10⁴/ml and 50µl was dispensed into clear 96 well flat bottomed plates. The cells were incubated overnight to allow recovery before use.

A stock solution (1ml) of antibody drug conjugate (ADC) (20µg/ml) was made by dilution of filter-sterilised ADC into cell culture medium. A set of 8x 10-fold dilutions of stock ADC was

25 made in a 24 well plate by serial transfer of 100µl onto 900µl of cell culture medium.

50µl of each ADC dilution is dispensed into 4 replicate wells of the 96 well plate, containing 50µl cell suspension seeded the previous day. Control wells receive 50µl cell culture medium. The 96-well plate containing cells and ADCs was incubated at 37°C in a CO₂-gassed incubator for 4 days. At the end of the incubation period, viable cells

30 were measured by MTS assay. MTS (Promega) was dispensed (20µl per well) into each well and incubated for 4 hours at 37°C in the CO₂-gassed incubator. Well absorbance was measured at 490nm. Percentage cell survival is calculated from the mean absorbance in the 4 ADC-treated wells compared to the mean absorbance in the 4 control wells (100%).

ADC	EC ₅₀ (µg/ml)
ConjA	0.06187

Abbreviations

Ac	acetyl
5 Acm	acetamidomethyl
Alloc	allyloxycarbonyl
Boc	di- <i>tert</i> -butyl dicarbonate
t-Bu	<i>tert</i> -butyl
Bzl	benzyl, where Bzl-OMe is methoxybenzyl and Bzl-Me is methylbenzene
10 Cbz or Z	benzyloxy-carbonyl, where Z-Cl and Z-Br are chloro- and bromobenzoyloxy carbonyl respectively
DMF	<i>N,N</i> -dimethylformamide
Dnp	dinitrophenyl
DTT	dithiothreitol
15 Fmoc	9 <i>H</i> -fluoren-9-ylmethoxycarbonyl
imp	<i>N</i> -10 imine protecting group: 3-(2-methoxyethoxy)propanoate-Val-Ala-PAB
MC-OSu	maleimidocaproyl- <i>O</i> - <i>N</i> -succinimide
Moc	methoxycarbonyl
MP	maleimidopropanamide
20 Mtr	4-methoxy-2,3,6-trimethylbenzenesulfonyl
PAB	para-aminobenzoyloxycarbonyl
PEG	ethyleneoxy
PNZ	<i>p</i> -nitrobenzyl carbamate
Psec	2-(phenylsulfonyl)ethoxycarbonyl
25 TBDMS	<i>tert</i> -butyldimethylsilyl
TBDPS	<i>tert</i> -butyldiphenylsilyl
Teoc	2-(trimethylsilyl)ethoxycarbonyl
Tos	tosyl
Troc	2,2,2-trichlorethoxycarbonyl chloride
30 Trt	trityl
Xan	xanthyl

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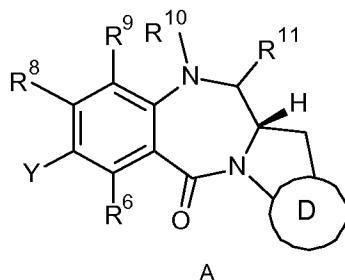
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Claims

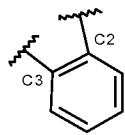
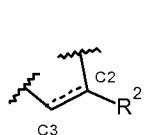
1. A conjugate of formula (A):



5

and salts and solvates thereof, wherein:

D represents either group D1 or D2:



;

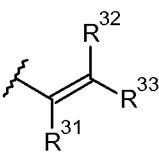
the dotted line indicates the optional presence of a double bond between C2 and C3;

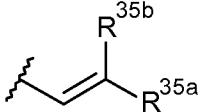
10 when there is a double bond present between C2 and C3, R² is selected from the group consisting of:

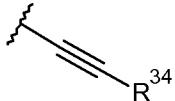
(ia) C₅₋₁₀ aryl group, optionally substituted by one or more substituents selected from the group comprising: halo, nitro, cyano, ether, carboxy, ester, C₁₋₇ alkyl, C₃₋₇ heterocyclyl and bis-oxy-C₁₋₃ alkylene;

15 (ib) C₁₋₅ saturated aliphatic alkyl;

(ic) C₃₋₆ saturated cycloalkyl;

(id)  , wherein each of R³¹, R³² and R³³ are independently selected from H, C₁₋₃ saturated alkyl, C₂₋₃ alkenyl, C₂₋₃ alkynyl and cyclopropyl, where the total number of carbon atoms in the R² group is no more than 5;

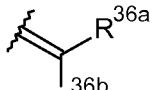
(ie)  , wherein one of R^{35a} and R^{35b} is H and the other is selected from: phenyl, which phenyl is optionally substituted by a group selected from halo, methyl, methoxy; pyridyl; and thiophenyl; and

(if)  , where R^{34} is selected from: H; C_{1-3} saturated alkyl; C_{2-3} alkenyl; C_{2-3}

5 alkynyl; cyclopropyl; phenyl, which phenyl is optionally substituted by a group selected from halo, methyl, methoxy; pyridyl; and thiophenyl;

(ig) halo;

when there is a single bond present between C2 and C3,

R^2 is  , where R^{36a} and R^{36b} are independently selected from H, F, C_{1-4}

10 saturated alkyl, C_{2-3} alkenyl, which alkyl and alkenyl groups are optionally substituted by a group selected from C_{1-4} alkyl amido and C_{1-4} alkyl ester; or, when one of R^{16a} and R^{16b} is H, the other is selected from nitrile and a C_{1-4} alkyl ester;

R^6 and R^9 are independently selected from H, R, OH, OR, SH, SR, NH_2 , NHR , NRR' , NO_2 , $SnMe_3$ and halo;

15 either

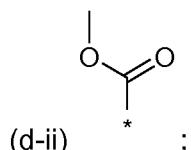
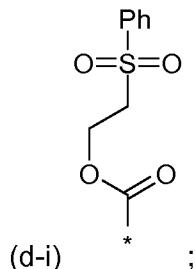
(a) R^{10} is H, and R^{11} is OH or OR^A , where R^A is C_{1-4} alkyl; or

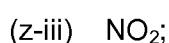
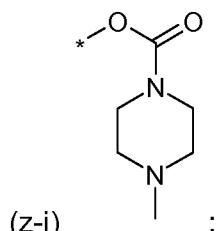
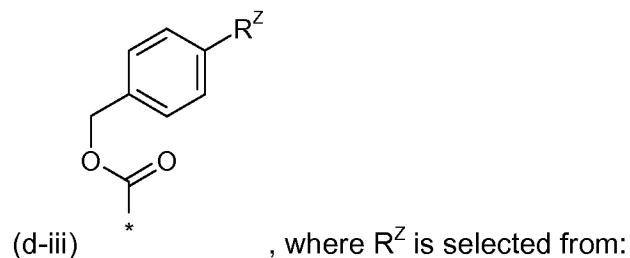
(b) R^{10} and R^{11} form a nitrogen-carbon double bond between the nitrogen and carbon atoms to which they are bound; or

(c) R^{10} is H and R^{11} is OSO_zM , where z is 2 or 3 and M is a monovalent

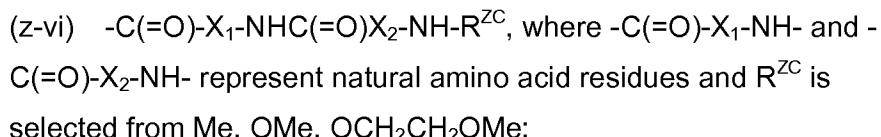
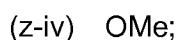
20 pharmaceutically acceptable cation; or

(d) R^{11} is OH or OR^A , where R^A is C_{1-4} alkyl and R^{10} is selected from:

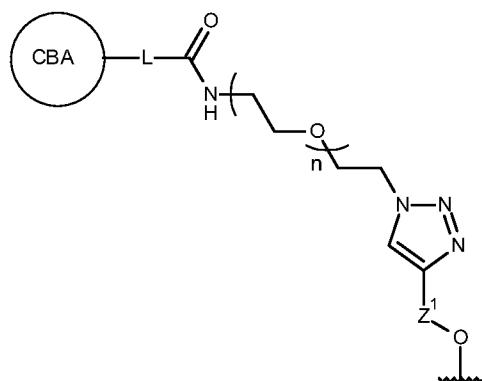




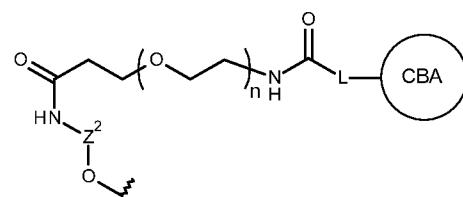
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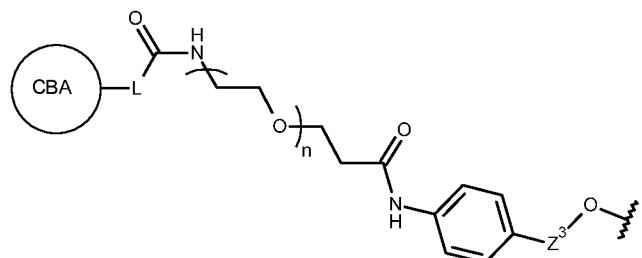
10 Y is selected from formulae A1, A2 and A3:



(A1)



(A2)



(A3)

Z^1 is a C_{1-3} alkylene group;

Z^2 is a C_{1-3} alkylene group;

Z^3 is a C_{1-3} alkylene group;

L is a linker connected to a cell binding agent;

5 CBA is the cell binding agent;

n is an integer between 0 and 48;

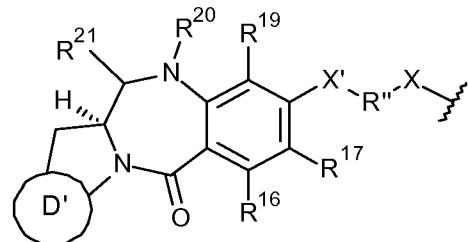
R and R' are each independently selected from optionally substituted C_{1-12} alkyl, C_{3-20} heterocyclyl and C_{5-20} aryl groups, and optionally in relation to the group NRR', R and R' together with the nitrogen atom to which they are attached form an optionally substituted

10 4-, 5-, 6- or 7-membered heterocyclic ring;

R^8 is either:

(a) independently selected from H, R, OH, OR, SH, SR, NH_2 , NHR , NRR' , NO_2 , $SnMe_3$ and halo; or

(b) of formula A*:



15 A*

wherein:

D' represents either group D'1 or D'2:



D'1

D'2

wherein the dotted line indicates the optional presence of a double bond between C2' and

20 C3';

R^{17} is independently selected from H, R, OH, OR, SH, SR, NH_2 , NHR , NRR' , NO_2 , $SnMe_3$ and halo;

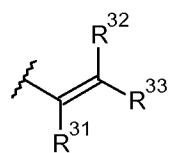
R'' is a C_{3-12} alkylene group, which chain may be interrupted by one or more heteroatoms, e.g. O, S, N(H), NMe and/or aromatic rings, e.g. benzene or pyridine, which rings are

25 optionally substituted; and

X and X' are independently selected from O, S and N(H); and

R^{22} , R^{16} , R^{19} , R^{20} and R^{21} are as defined for R^2 , R^6 , R^9 , R^{10} and R^{11} respectively.

2. The conjugate according to claim 1, wherein R^9 is H.
- 5 3. The conjugate according to either claim 1 or claim 2, wherein R^6 is H.
4. The conjugate according to any one of claims 1 to 3, wherein D is D2.
- 10 5. The conjugate according to any one of claims 1 to 3, wherein D is D1, there is a double bond between C2 and C3, and R^2 is a C_{5-7} aryl group.
6. The conjugate according to claim 5, wherein R^{12} is phenyl.
- 15 7. The conjugate according to any one of claims 1 to 3, wherein D is D1, there is a double bond between C2 and C3, and R^2 is a C_{8-10} aryl group.
8. The conjugate according to any one of claims 5 to 7, wherein R^{12} bears one to three substituent groups.
- 20 9. The conjugate according to any one of claims 5 to 8, wherein the substituents are selected from methoxy, ethoxy, fluoro, chloro, cyano, bis-oxy-methylene, methyl-piperazinyl, morpholino and methyl-thiophenyl.
10. The conjugate according to any one of claims 1 to 3, wherein D is D1, there is a double bond between C2 and C3, and R^2 is a C_{1-5} saturated aliphatic alkyl group.
- 25 11. The conjugate according to claim 10, wherein R^2 is methyl, ethyl or propyl.
12. The conjugate according to any one of claims 1 to 3, wherein D is D1, there is a double bond between C2 and C3, and R^2 is a C_{3-6} saturated cycloalkyl group.
- 30 13. The conjugate according to claim 12, wherein R^2 is cyclopropyl.
14. The conjugate according to any one of claims 1 to 3, wherein D is D1, there is a double bond between C2 and C3, and R^2 is a group of formula:



15. The conjugate according to claim 14, wherein the total number of carbon atoms in the R² group is no more than 4.

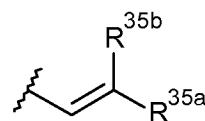
5

16. The conjugate according to claim 15, wherein the total number of carbon atoms in the R² group is no more than 3.

17. The conjugate according to any one of claims 14 to 16, wherein one of R³¹, R³² and 10 R³³ is H, with the other two groups being selected from H, C₁₋₃ saturated alkyl, C₂₋₃ alkenyl, C₂₋₃ alkynyl and cyclopropyl.

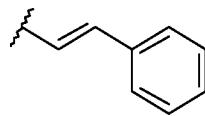
18. The conjugate according to any one of claims 14 to 16, wherein two of R³¹, R³² and 15 R³³ are H, with the other group being selected from H, C₁₋₃ saturated alkyl, C₂₋₃ alkenyl, C₂₋₃ alkynyl and cyclopropyl.

19. The conjugate according to any one of claims 1 to 3, wherein D is D1, there is a double bond between C2 and C3, and R² is a group of formula:

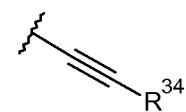


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20. The conjugate according to claim 19, wherein R¹² is the group:



21. The conjugate according to any one of claims 1 to 3, wherein D is D1, there is a 25 double bond between C2 and C3, and R² is a group of formula:

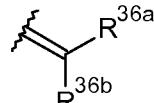


22. The conjugate according to claim 21, wherein R^{34} is selected from H, methyl, ethyl, ethenyl and ethynyl.

23. The conjugate according to claim 22, wherein R^{34} is selected from H and methyl.

5

24. The conjugate according to any one of claims 1 to 3, wherein D is D1, there is a



double bond between C2 and C3, and R^2 is

25. The conjugate according to claim 24, wherein R^{36a} and R^{36b} are both H.

10

26. The conjugate according to claim 24, wherein R^{36a} and R^{36b} are both methyl.

15

27. The conjugate according to claim 24, wherein one of R^{36a} and R^{36b} is H, and the other is selected from C_{1-4} saturated alkyl, C_{2-3} alkenyl, which alkyl and alkenyl groups are optionally substituted.

20

28. The conjugate according to claim 24, wherein the group of R^{36a} and R^{36b} which is not H is selected from methyl and ethyl.

25

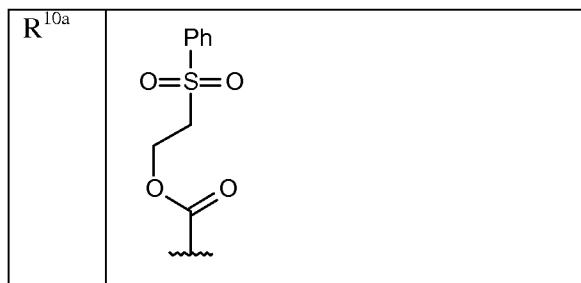
29. The conjugate according to any one of claims 1 to 28, wherein R^{10} is H, and R^{11} is OH.

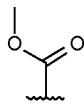
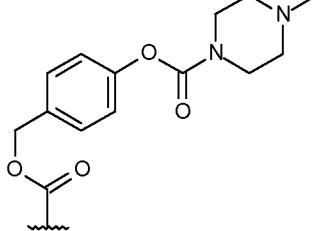
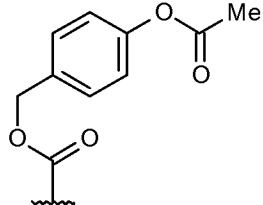
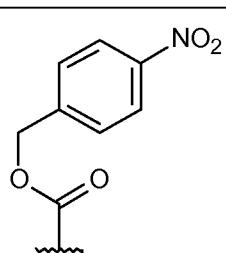
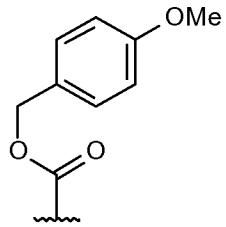
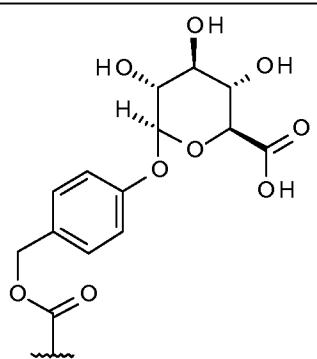
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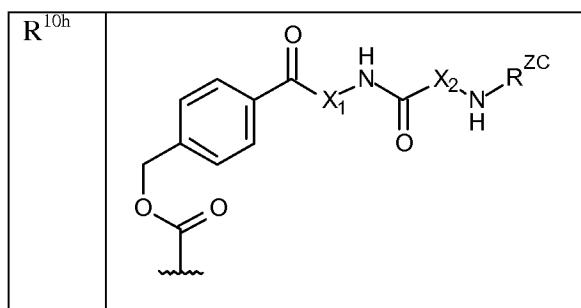
The conjugate according to any one of claims 1 to 28, wherein R^{10} and R^{11} form a nitrogen-carbon double bond between the nitrogen and carbon atoms to which they are bound.

25

31. The conjugate according to any one of claims 1 to 28, wherein R^{11} is OH or OR^A and R^{10} is selected from:



R^{10b}	
R^{10c}	
R^{10d}	
R^{10e}	
R^{10f}	
R^{10g}	



32. The conjugate according to any one of claims 1 to 31, wherein -C(=O)-X₁-NHC(=O)X₂-NH-, is selected from: -Phe-Lys-, -Val-Ala-, -Val-Lys-, -Ala-Lys-, and -Val-Cit-.

5

33. The conjugate according to claim 32, wherein -C(=O)-X₁-NHC(=O)X₂-NH-, is selected from: -Phe-Lys-, and -Val-Ala-.

34. The conjugate according to any one of claims 31 to 33, wherein R¹¹ is OMe.

10

35. The conjugate according to any one of claims 1 to 34, wherein R⁸ is OR^{8A}, where R^{8A} is optionally substituted C₁₋₄ alkyl.

36. The conjugate of claim 35, wherein R^{8A} is Me.

15

37. The conjugate according to any one of claims 1 to 34, wherein R⁸ is of formula A*.

38. The conjugate according to claim 37, wherein X and X' are O.

20 39. The conjugate according to either claim 37 or claim 38, wherein R" is C₃₋₇ alkylene.

40. The conjugate according to claim 39, wherein R" is C₃ alkylene or C₅ alkylene.

25 41. The conjugate according to any one of claims 37 to 40, wherein R¹⁷ is OR^{17A}, where R^{17A} is optionally substituted C₁₋₄ alkyl.

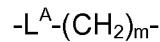
42. The conjugate of claim 41, wherein R^{17A} is Me.

30 43. The conjugate according to any one of claims 37 to 42, wherein R¹⁶, R¹⁹, R²⁰, R²¹ and D' are the same as R⁶, R⁹, R¹⁰, R¹¹ and D respectively.

44. The conjugate according to any one of claims 1 to 43, wherein the group L contains a moiety derived from an electrophilic functional group selected from (i) maleimide groups (ii) activated disulfides, (iii) active esters such as NHS (N-hydroxysuccinimide) esters, HOEt (N-hydroxybenzotriazole) esters, haloformates, and acid halides; (iv) alkyl and benzyl halides such as haloacetamides; and (v) aldehydes, ketones, carboxyl.

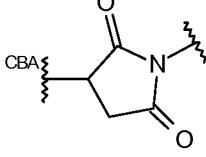
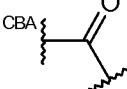
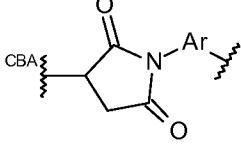
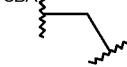
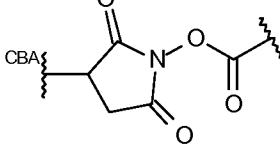
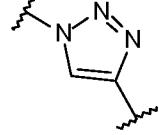
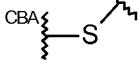
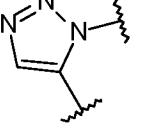
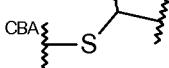
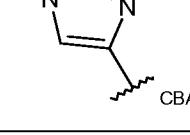
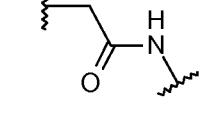
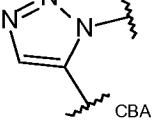
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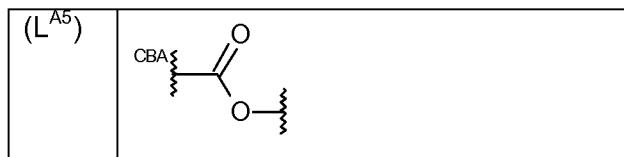
45. The conjugate according to any one of claims 1 to 43, wherein L is of formula:



10 where m is from 0 to 6; and

L^A is selected from:

(L^{A1-1})		(L^{A6})	
(L^{A1-2})		(L^{A7})	
(L^{A2})		(L^{A8-1})	
(L^{A3-1})		(L^{A8-2})	
(L^{A3-2})		(L^{A9-1})	
(L^{A4})		(L^{A9-2})	



where Ar represents a C₅₋₆ arylene group.

46. The conjugate of claim 45, wherein L^A is L^{A1-1}.

5 47. The conjugate according to either claim 45 or claim 46, wherein m is 2, 3 or 5.

48. The conjugate according to any one of claims 1 to 47, wherein n is an integer between 0 and 16.

10 49. The conjugate according to any one of claims 1 to 48, wherein n is an integer between 0 and 8.

50. The conjugate according to any one of claims 1 to 49, wherein n is 3 or 4.

15 51. The conjugate according to any one of claims 1 to 50, wherein the cell binding agent is an antibody or an active fragment thereof.

52. The conjugate according to claim 51, wherein the antibody or antibody fragment is an antibody or antibody fragment for a tumour-associated antigen.

20

53. The conjugate of claim 52 wherein the antibody or antibody fragment is an antibody which binds to one or more tumor-associated antigens or cell-surface receptors selected from (1)-(88):

(1) BMPR1B;

25 (2) E16;

(3) STEAP1;

(4) 0772P;

(5) MPF;

(6) Napi3b;

30 (7) Sema 5b;

(8) PSCA hlg;

(9) ETBR;

(10) MSG783;

- (11) STEAP2;
- (12) TrpM4;
- (13) CRIPTO;
- (14) CD21;
- 5 (15) CD79b;
- (16) FcRH2;
- (17) HER2;
- (18) NCA;
- (19) MDP;
- 10 (20) IL20R-alpha;
- (21) Brevican;
- (22) EphB2R;
- (23) ASLG659;
- (24) PSCA;
- 15 (25) GEDA;
- (26) BAFF-R;
- (27) CD22;
- (28) CD79a;
- (29) CXCR5;
- 20 (30) HLA-DOB;
- (31) P2X5;
- (32) CD72;
- (33) LY64;
- (34) FcRH1;
- 25 (35) IRTA2;
- (36) TENB2;
- (37) PSMA – FOLH1;
- (38) SST;
- (38.1) SSTR2;
- 30 (38.2) SSTR5;
- (38.3) SSTR1;
- (38.4) SSTR3;
- (38.5) SSTR4;
- (39) ITGAV;
- 35 (40) ITGB6;
- (41) CEACAM5;

- (42) MET;
- (43) MUC1;
- (44) CA9;
- (45) EGFRvIII;
- 5 (46) CD33;
- (47) CD19;
- (48) IL2RA;
- (49) AXL;
- (50) CD30 - TNFRSF8;
- 10 (51) BCMA - TNFRSF17;
- (52) CT Ags – CTA;
- (53) CD174 (Lewis Y) - FUT3;
- (54) CLEC14A;
- (55) GRP78 – HSPA5;
- 15 (56) CD70;
- (57) Stem Cell specific antigens;
- (58) ASG-5;
- (59) ENPP3;
- (60) PRR4;
- 20 (61) GCC – GUCY2C;
- (62) Liv-1 – SLC39A6;
- (63) 5T4;
- (64) CD56 – NCMA1;
- (65) CanAg;
- 25 (66) FOLR1;
- (67) GPNMB;
- (68) TIM-1 – HAVCR1;
- (69) RG-1/Prostate tumor target Mindin – Mindin/RG-1;
- (70) B7-H4 – VTCN1;
- 30 (71) PTK7;
- (72) CD37;
- (73) CD138 – SDC1;
- (74) CD74;
- (75) Claudins – CLs;
- 35 (76) EGFR;
- (77) Her3;

(78) RON - MST1R;

(79) EPHA2;

(80) CD20 – MS4A1;

(81) Tenascin C – TNC;

5 (82) FAP;

(83) DKK-1;

(84) CD52;

(85) CS1 - SLAMF7;

(86) Endoglin – ENG;

10 (87) Annexin A1 – ANXA1;

(88) V-CAM (CD106) - VCAM1.

54. The conjugate of claim 51 wherein the antibody or antibody fragment is a cysteine-engineered antibody.

15

55. The conjugate according to any one of claims 51 to 54 wherein the drug loading (p) of drugs (D) to antibody (Ab) is an integer from 1 to about 8.

56. The conjugate according to claim 55, wherein p is 1, 2, 3, or 4.

20

57. The conjugate according to claim 56 comprising a mixture of the antibody-drug conjugate compounds, wherein the average drug loading per antibody in the mixture of antibody-drug conjugate compounds is about 2 to about 5.

25 58. The conjugate according to any one of claims 1 to 57, for use in therapy.

59. A pharmaceutical composition comprising the conjugate of any one of claims 1 to 57 a pharmaceutically acceptable diluent, carrier or excipient.

30 60. The conjugate according to any one of claims 1 to 57 or the pharmaceutical composition according to claim 59, for use in the treatment of a proliferative disease in a subject.

61. The conjugate according to claim 60, wherein the disease is cancer.

35

62. Use of a conjugate according to any one of claims 1 to 57 or a pharmaceutical composition according to claim 59 in a method of medical treatment.

63. A method of medical treatment comprising administering to a patient the 5 pharmaceutical composition of claim 59.

64. The method of claim 63 wherein the method of medical treatment is for treating cancer.

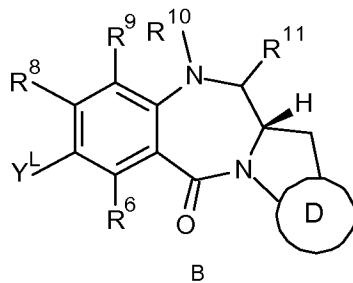
10 65. The method of claim 64, wherein the patient is administered a chemotherapeutic agent, in combination with the conjugate.

66. Use of a compound according to any one of claims 1 to 57 in a method of manufacture of a medicament for the treatment of a proliferative disease.

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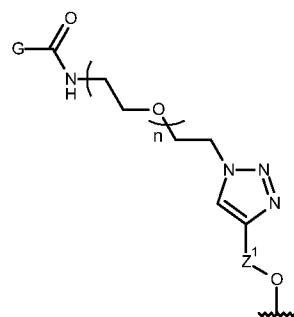
67. A method of treating a mammal having a proliferative disease, comprising administering an effective amount of a compound according to any one of claims 1 to 57 or a pharmaceutical composition according to claim 60 or claim 61.

20 68. A compound of formula (B):

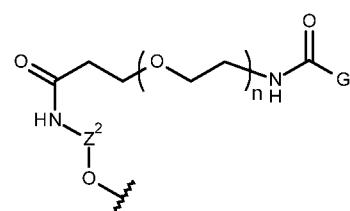


wherein:

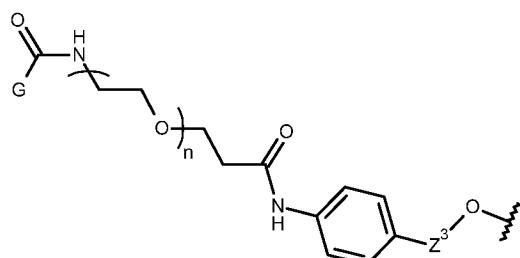
Y^L is selected from formulae B1, B2 and B3:



(B1)



(B2)



(B3)

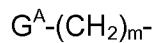
G is a linker for connecting to a cell binding agent; and

D, R⁶, R⁸, R⁹, R¹⁰, R¹¹, Z¹, Z², Z³ and n are as defined in any one of claims 1 to 50.

5 69. The compound according to claim 68, wherein the group G contains an electrophilic group selected from (i) maleimide groups (ii) activated disulfides, (iii) active esters such as NHS (N-hydroxysuccinimide) esters, HOBT (N-hydroxybenzotriazole) esters, haloformates, and acid halides; (iv) alkyl and benzyl halides such as haloacetamides; and (v) aldehydes, ketones, carboxyl.

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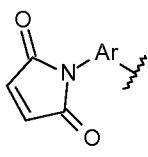
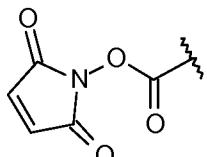
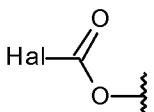
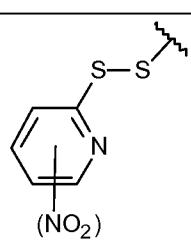
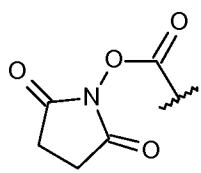
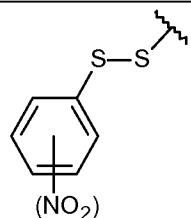
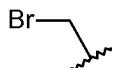
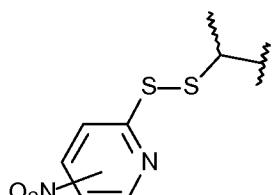
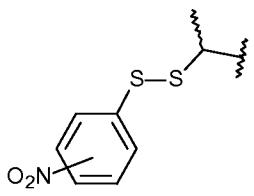
70. The compound according to claim 69, wherein G is of formula:



where m is from 0 to 6; and

G^A is selected from:

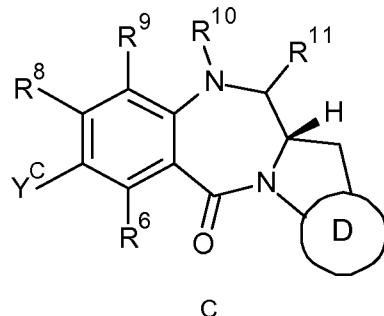
(G ^{A1-1})		(G ^{A4})	
			Where Hal = I, Br, Cl

(G ^{A1-2})			
(G ^{A2})		(G ^{A5})	
(G ^{A3-1})		(G ^{A6})	
	where the NO ₂ group is optional		
(G ^{A3-2})		(G ^{A7})	
	where the NO ₂ group is optional		
(G ^{A3-3})		(G ^{A8})	
	where the NO ₂ group is optional		
(G ^{A3-4})		(G ^{A9})	
	where the NO ₂ group is optional		

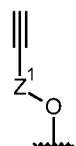
where Ar represents a C₅₋₆ arylene group.

71. The compound of claim 70, wherein G^A is G^{A1-1}.

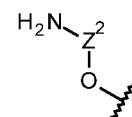
5 72. A compound of formula (C):



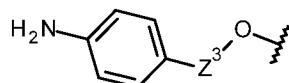
wherein Y^C is selected from formulae C1, C2 and C3:



(C1)



(C2)



(C3)

and

D, R⁶, R⁸, R⁹, R¹⁰, R¹¹, Z¹, Z² and Z³ are as defined in any one of claims 1 to 50.

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73. A method of synthesis of a compound according to any one of claims 1 to 57, comprising the step of conjugating a drug-linker according to any one of claims 68 to 71 with a cell-binding agent.

INTERNATIONAL SEARCH REPORT

International application No
PCT/EP2014/054958

A. CLASSIFICATION OF SUBJECT MATTER
INV. A61K47/48 A61P35/00
ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-Internal, WPI Data, CHEM ABS Data, EMBASE, BIOSIS

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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A	IIDA, HIROKAZU ET AL: "Design and synthesis of pyrrolo[2,1-c][1,4]benzodiazepine (PBD)-polyaminoalkyl conjugates by the use of SNAr reaction of 2-nitro-5-fluorobenzoate precursor as key reaction", HETEROCYCLES , 62, 693-711 CODEN: HTCYAM; ISSN: 0385-5414, 2004, XP008170094, page 693, paragraph 1 - page 694, last paragraph ----- -/-	1-73

Further documents are listed in the continuation of Box C.

See patent family annex.

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- "E" earlier application or patent but published on or after the international filing date
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"&" document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
24 June 2014	03/07/2014
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer Langer, Miren

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International application No
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C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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INTERNATIONAL SEARCH REPORT

International application No
PCT/EP2014/054958

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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Information on patent family members

International application No

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